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Form 990

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 07-01-2011 and ending 06-30-2012

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

American Lung Association

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

14 Wall Street

Room/suite

City or town, state or country, and ZIP + 4

New York, NY 10005

F Name and address of principal officer

Charles D Connor

14 Wall Street No 8C

New York, NY 10005

H(a) Is this a group return for affiliates?

☐ Yes ☒ No

H(b) Are all affiliates included?

☐ Yes ☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) () ☐ (Insert no) ☐ 4947(a)(1) or ☐ 527

J Website:

www lungusa org

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation

1918

M State of legal domicile

ME

Part I

Summary

Activities & Governance	1	Briefly describe the organization's mission or most significant activities The mission of the American Lung Association is to save lives by improving lung health and preventing lung disease		
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	27
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	27
Revenue	5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	166
	6	Total number of volunteers (estimate if necessary)	6	200,589
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
	b	Net unrelated business taxable income from Form 990-T, line 34	7b	
	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	11,915,758	10,546,204
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	33,841,279	36,852,621
Expenses	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,057,248	541,690
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	1,750,772	1,716,269
	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	48,565,057	49,656,784
	14	Benefits paid to or for members (Part IX, column (A), line 4)	6,101,403	6,439,114
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	9,706,509	9,444,069
	b	Total fundraising expenses (Part IX, column (D), line 25) 2,622,866	0	0
Net Assets or Fund Balances	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)		
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	32,755,570	33,960,346
	19	Revenue less expenses Subtract line 18 from line 12	48,563,482	49,843,529
			1,575	-186,745
			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	29,342,436	31,049,040
	21	Total liabilities (Part X, line 26)	19,026,863	20,712,298
	22	Net assets or fund balances Subtract line 21 from line 20	10,315,573	10,336,742

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

2013-02-15

Date

Adrienne Glasgow CFO

Type or print name and title

Paid Preparer's Use Only

Preparer's signature

Laura Kielczewski

Date

Check if self-employed

☐

Preparer's taxpayer identification number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4

GRANT THORNTON LLP

666 THIRD AVENUE

NEW YORK, NY 100174057

EIN

Phone no

(212) 599-0100

May the IRS discuss this return with the preparer shown above? (see instructions)

☐ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2011)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☐

☒

1

Briefly describe the organization’s mission

The American Lung Association's mission is to save lives by improving lung health and preventing lung disease, with the ultimate vision of a world free of lung disease The National Headquarters of the American Lung Association fights lung disease through its own activities and by servicing, supporting, and leading its local Lung Associations Among its varied responsibilities, the National Headquarters - Funds research into the causes, prevention, and cures of lung disease - Advocates for policies that protect lung health, including fighting for healthy air - Provides a broad array of health educational programs and services to support smoking cessation, help prevent lung disease and to assist people with lung disease in better managing their condition - Supports local Lung Associations' implementation of health educational programs and dissemination of educational material - Provides an array of learning opportunities and tools to develop nationwide volunteer and staff leaders

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

If “Yes,” describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

If “Yes,” describe these changes on Schedule O

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code) (Expenses \$ 12,699,518 including grants of \$ 243,362) (Revenue \$ 24,409,870)
	Health Education Every year, the American Lung Association helps people manage their lung disease, overcome their nicotine addiction, and live healthier lives The two key components of the National Headquarters' work are supporting our network of local Lung Associations and developing lung health education materials for the public Local Lung Associations rely on us to develop health programs and provide expert training, guidance and materials to support their mission outreach We are also a trusted resource where the public can find information on myriad lung diseases, make treatment decisions, and get support and tools to manage their conditions or care for a loved one This past year we provided a wide array of services to help prevent and treat lung disease In 2011-12 we substantially advanced this mission, touching lives from coast to coast Lung Disease For Over 40 Years, the Choice of People with Chronic Lung Disease - In the U S alone, over 33 million adults have a chronic lung disease, such as COPD and asthma Because these chronic conditions do not have a cure, people need to learn how to manage living with their lung disease In 2011-2012 we created new web-based training courses that allow us to reach more healthcare providers and other community partners who are interested in becoming certified facilitators for our Better Breathers Clubs American Lung Association Better Breathers Clubs help by providing patient-focused, community-based educational opportunities and support Together, members learn the skills that help them manage their condition and improve their quality of life Hundreds of clubs are serving many thousands of people across the U S living with chronic lung disease Connecting Patient and Families with Expert Advice - In all of our programs and health education materials, people with questions about lung health are referred to the American Lung Association's Lung HelpLine at 1-800-LUNG-USA In fiscal year 2011-12, the nurses and respiratory therapists who staff the Lung HelpLine answered more than 139,000 calls on topics ranging from clean air to helping a family member quit smoking Matching Lung Cancer Patients with Help - The Lung Cancer Clinical Trials Matching Service is specifically designed for lung cancer patients and their families and is being conducted in collaboration with twelve lung cancer advocacy organizations This service provides personalized education and helps to identify clinical trial options based on a person's specific diagnosis, stage and treatment history Each person is also assigned a specially trained Clinic Trials Navigator who serves as a guide though the process Supporting Asthma Management and Asthma-Friendly Learning Environments - Through the American Lung Association's Asthma-Friendly School's Initiative (AFSI), hundreds of schools nationwide worked toward creating safer school environments for students, faculty, and staff In the 2011-12 school year, over 11,000 students were reached through the Open Airways For Schools program, a recommended component of AFSI that builds self-management skills for students with asthma We created new web-based training courses that allow us to reach more healthcare providers, school personnel and other community partners who are interested in becoming certified facilitators for our Open Airways for Schools self-management programs for people living with chronic lung disease We developed a number of new tools to help children and adults living with asthma better understand and manage their disease, including the Lungtropolis online asthma game, Asthma Basics, and how-to videos We also performed an extensive revision of existing asthma education content on the American Lung Association website, www lung org so we can continue to provide the best support and most up-to-date support for people with asthma New Tools for Lung Cancer and COPD Patients In 2011-2012 we began to develop exciting new online tools to give new hope to patients with lung cancer and Chronic Obstructive Pulmonary Disease (COPD) These new resources will help patients and caregivers understand their disease, make informed treatment options and better manage their conditions Both our Facing Lung Cancer Support from Day One website (www mylungcancersupport org) and COPD Action Plan were released in November 2012 Highlighting Disparities in Lung Health - This year, we continued to spotlight the ways in which some groups are disproportionately affected by lung disease in our society in the ongoing Disparities in Lung Health Series Our report "Luchando por el Aire The Burden of Asthma on Hispanics," takes a look at the complex factors that increase asthma's burden on the Hispanic population Tobacco Helping Thousands of Smokers to Quit - The American Lung Association's Freedom From Smoking and Not-On-Tobacco (N-O-T) have helped hundreds of thousands of teen and adult smokers quit These community-based programs guide the smokers through the quitting process, helping them to determine their smoking pattern, create an individualized quit plan and navigate the first few weeks as a nonsmoker Because not everyone quits smoking the same way, the Lung Association also offers Freedom From Smoking Online and one-on-one cessation counseling through the Lung HelpLine Through our workplace wellness initiative, we worked with more than 40 major employers, helping their employees quit smoking In fiscal year 2011-12, these programs helped tens of thousands of smokers end their addiction to nicotine and transition to a new, smokefree lifestyle Supporting Smokefree Communities - In 2011-2012, we expanded smokefree communities around the country We successfully completed a two-year Communities Putting Prevention to Work (CPPW) project in which Lung Association's Smokefree Communities team provided technical assistance to 13 communities to decrease smoking prevalence, teen smoking initiation, and exposure to secondhand smoke As a result of the initiative 26 million people in 21 communities across America have been protected against deadly secondhand smoke, more the 4 million more Americans now live in communities with strengthened oversight on tobacco sales to youth, and more effective messages on the dangers of secondhand smoke and tobacco use have been delivered to more than 21 million people across the country
4b	(Code) (Expenses \$ 9,551,567 including grants of \$) (Revenue \$ 9,842,735)
	Field Fundraising The American Lung Association's Planned Giving team focuses its work on assisting with field development by providing support to the ALA chartered regional associations This support includes training local staff to be effective planned giving fundraisers, helping develop annual regional work plans, being informed on local follow up activities pertaining to requests from donors for planned giving information, reviewing quarterly reports on planned giving income and bequest notifications, preparing training materials and events to enhance the understanding of planned giving for people from fundraising staff to volunteer leadership A variety of National staff provide Special Events support to the ALA chartered regional associations This support is designed to assist in the development, marketing and implementation of events and promotions strategies Among the assistance provided are planning (goal setting, strategic review, development of template material, feasibility studies), training (monthly conference calls, best practice staff training, listserv based materials, staff training webinars, etc), implementation (sponsorship calls, recruitment, event attendance/participation), coaching (staff, leadership, volunteers), coordination of collateral, solicit national sponsors/teams, build, facilitate and integrate e-commerce platforms, and evaluation of new events The primary focus is to increase the Chartered Associations net revenue and fully integrate best practice strategies into the overall work plan The Major Gifts team works with the ALA chartered regional associations on joint funding prospects and provides one-on-one assistance when requested Among the support activities are compilation of research lists and development of research profiles, evaluation of funding proposals, strategic advice regarding funding initiatives and prospects, providing training at staff meetings and conference calls This year we provided a wealth screening overlay and a follow-on research tool, a donor centric assessment, and predictive donor modeling for the chartered association staff The Direct Response team develops and implements campaign plans for all direct mail appeals, telemarketing campaigns and the residential program in conjunction with our direct response consulting agency All funds generated are deposited directly into local association accounts on a regular (weekly or bi-weekly) basis with detailed reports by campaign for the current period and YTD Local associations are billed monthly for direct response expenses and quarterly for a 30% share of the net income generated by the programs Associations are provided with a budget for each program along with cash flow and billing schedules, as well as quarterly updates on actual performance Billed expenses are reconciled to actual costs at the end of the fiscal year Images of all rollout mailing packages are posted on LungNet for reference by local associations Monthly direct response calls or meetings are conducted to which all local CEOs are invited to participate for updates on the program and any issues or questions that arise
4c	(Code) (Expenses \$ 6,930,326 including grants of \$ 5,801,943) (Revenue \$ 2,600,016)
	RESEARCH Funding research has been a cornerstone of the Lung Association's fight against lung disease for more than a century In 2011-12, our donors again made it possible to fund high quality researchers seeking treatments and cures for an array of lung diseases from asthma to lung cancer Our Nationwide Research Awards and Grants Program fosters laboratory and patient-centered and social behavior research to prevent, treat and hopefully find a cure for all lung diseases In 2011-12, our program funded 68 grants to further promising, cutting-edge research Additional support in funding this year's research program came from partnerships with AAAAI and the CHEST Foundation The Lung Association's Asthma Clinical Research Centers Network (ACRC) continues to contribute major improvements to asthma treatments through a network of 18 clinical centers and a data coordinating center at Johns Hopkins In 2011-12, research conducted by the ACRC found that treatment with proton pump inhibitors (e.g. prevacid) did not improve asthma control in those with asymptomatic GER, concluding that acid reflux without symptoms is not a likely cause of poorly controlled asthma awards for highest achievement are given in specific categories The American Lung Association Board of Directors provides strategic direction for the national headquarters and its chartered associations Coordination and monitoring of national and chartered association strategic alignment is provided through a Board-approved metric-based performance management system The American Lung Association Board of Directors is responsible for oversight of chartered association compliance to policies and performance standards Assistance is provided by the national headquarters to those chartered associations that do not meet requirements and/or standards
	(Code) (Expenses \$ 9,803,233 including grants of \$ 380,600) (Revenue \$)
	Advocacy
	(Code) (Expenses \$ 3,845,390 including grants of \$) (Revenue \$)
	Field program development
	(Code) (Expenses \$ 2,188,709 including grants of \$ 5,050) (Revenue \$)
	Field Management Advisory
	(Code) (Expenses \$ 8,159 including grants of \$ 8,159) (Revenue \$)
	OTHER
4d	Other program services (Describe in Schedule O) (Expenses \$ 15,845,491 including grants of \$ 393,809) (Revenue \$)
4e	Total program service expenses➤\$ 45,026,902

Part IV

Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> 	1	Yes
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? 	2	Yes
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> 	3	No
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> 	4	Yes
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> 	5	No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> 	6	No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> 	7	No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> 	8	No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> 	9	Yes
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> 	10	Yes
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> 	11a	Yes
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> 	11b	No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> 	11c	No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> 	11d	Yes
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> 	11e	Yes
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> 	11f	Yes
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i> 	12a	Yes
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.</i> 	12b	No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i>	13	No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Part I.</i>	14b	No
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S ? <i>If "Yes," complete Schedule F, Part II and IV.</i>	15	No
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S ? <i>If "Yes," complete Schedule F, Part III and IV.</i>	16	No
17	Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I.</i>	17	No
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>	18	No
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>	19	No
20a	Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H.</i>	20a	No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? Note. All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>	34		No
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance		
Check if Schedule O contains a response to any question in this Part V ☐		
		YesNo
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	58
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	0
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1cYes
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return	2a166
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2bYes
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3aNo
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	3b
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?	4aNo
b	If "Yes," enter the name of the foreign country See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts	
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .	5aNo
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5bNo
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	6aNo
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b
7	Organizations that may receive deductible contributions under section 170(c).	
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7aYes
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7bYes
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7cNo
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7eNo
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	7fNo
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8
9	Sponsoring organizations maintaining donor advised funds.	
a	Did the organization make any taxable distributions under section 4966?	9a
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b
10	Section 501(c)(7) organizations. Enter	
a	Initiation fees and capital contributions included on Part VIII, line 12	10a
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b
11	Section 501(c)(12) organizations. Enter	
a	Gross income from members or shareholders	11a
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	
a	Is the organization licensed to issue qualified health plans in more than one state? Note. All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state	13a
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b
c	Enter the aggregate amount of reserves on hand	13c
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14aNo
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . .	14b

Part VI

Governance, Management, and Disclosure For each “Yes” response to lines 2 through 7b below, and for a “No” response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.
Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a27		
b	Enter the number of voting members included in line 1a, above, who are independent	1b27		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		No
5	Did the organization become aware during the year of a significant diversion of the organization’s assets?	5		No
6	Did the organization have members or stockholders?	6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body?	8a	Yes	
b	Each committee with authority to act on behalf of the governing body?	8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization’s mailing address? If “Yes,” provide the names and addresses in Schedule O	9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Yes	
b	If “Yes,” did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization’s exempt purposes?	10b	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Did the organization have a written conflict of interest policy? <i>If “No,” go to line 13</i>	12a	Yes	
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If “Yes,” describe in Schedule O how this was done	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization’s CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b	Yes	
	If “Yes,” to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If “Yes,” did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization’s exempt status with respect to such arrangements?	16b		

Section C. Disclosure	
17	List the States with which a copy of this Form 990 is required to be filed▶AL , AK , AZ , AR , CA , CO , CT , DC , FL , GA , HI , IL , KS , KY , ME , MD , MA , MI , MN , MS , NH , NJ , NM , NY , NC , ND , OH , OK , OR , PA , RI , SC , TN , VA , WA , WV , WI
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c) (3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☒ Own website ☐ Another’s website ☒ Upon request
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ ADRIENNE GLASGOW 14 WALL STREET NO 8C New York,NY 10005 (212) 315-8762

Check if Schedule O contains a response to any question in this Part VII

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

[illegible]

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	1,817,580	0	375,147

2 Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization in 2012

3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Moore Wallace 375 Park Avenue NEW YORK, NY 10152	Print/Digital/Stamps	5,657,245
INFOCISION MANAGEMENT CORP 325 SPRINGSIDE DRIVE AKRON, OH 44333	TELEMARKETING	2,043,677
LABEL TECH 16 INTERSTATE DRIVE SOMERSWORTH, NH 03878	PRINTING	1,707,197
ALANIZ Metrogroup 425 N IRIS STREET MT PLEASANT, IA 52641	PRINTING	3,754,469
BRICKMILL MARKETING SERVICES 24 MILL BROOK ROAD WILTON, NJ 03086	MARKETING	2,462,073
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 5		

Part VIII

Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues	1b	25,474			
	c	Fundraising events	1c				
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	1,146,213			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	9,374,517			
	g	Noncash contributions included in lines 1a-1f \$					
	h	Total. Add lines 1a-1f		10,546,204			
Program Service Revenue			Business Code				
	2a	CHARTERED ASSOCIATION ASSESSMENTS	900099	4,609,824	4,609,824		
	b	PROGRAM REIMBURSEMENTS CHARTERED ASSOC	900099	23,301,773	23,301,773		
	c	PROGRAM SERVICE CONTRACTS	900099	8,941,024	8,941,024		
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		36,852,621			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		415,928			415,928
	4	Income from investment of tax-exempt bond proceeds . .		0			
	5	Royalties		1,176,315			1,176,315
			(i) Real	(ii) Personal			
	6a	Gross rents					
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
			(i) Securities	(ii) Other			
	7a	Gross amount from sales of assets other than inventory	8,719,674	10,867			
	b	Less cost or other basis and sales expenses	8,604,779				
	c	Gain or (loss)	114,895	10,867			
	d	Net gain or (loss)		125,762			125,762
	8a	Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from fundraising events . .		0			
	9a	Gross income from gaming activities See Part IV, line 19	a				
	b	Less direct expenses	b				
	c	Net income or (loss) from gaming activities . .		0			
	10a	Gross sales of inventory, less returns and allowances	a	21,162			
	b	Less cost of goods sold	b	16,339			
	c	Net income or (loss) from sales of inventory . .		4,823			4,823
		Miscellaneous Revenue	Business Code				
	11a	OTHER	900099	535,131			535,131
	b						
	c						
d	All other revenue						
e	Total. Add lines 11a-11d		535,131				
12	Total revenue. See Instructions		49,656,784	36,852,621		2,257,959	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)
Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	6,439,114	6,439,114		
2	Grants and other assistance to individuals in the United States See Part IV, line 22	0			
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors, trustees, and key employees	1,528,987	703,386	689,467	136,134
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	6,107,351	5,281,299	78,538	747,514
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	643,787	504,536	64,765	74,486
9	Other employee benefits	621,844	510,497	35,972	75,375
10	Payroll taxes	542,100	424,844	54,535	62,721
11	Fees for services (non-employees)				
a	Management	0			
b	Legal	963,086	823,464	89,358	50,264
c	Accounting	132,326		84,689	47,637
d	Lobbying	1,043,418	1,043,418		
e	Professional fundraising See Part IV, line 17	0			
f	Investment management fees	57,593		36,860	20,733
g	Other	2,323,903	1,888,462	272,882	162,559
12	Advertising and promotion	5,658,567	5,658,567		
13	Office expenses	545,848	396,393	106,960	42,495
14	Information technology	303,307	215,226	63,924	24,157
15	Royalties	0			
16	Occupancy	1,080,822	707,844	270,685	102,293
17	Travel	629,405	489,794	76,563	63,048
18	Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	321,530	261,819	41,026	18,685
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	167,452	102,684	47,005	17,763
23	Insurance	113,960	70,486	31,551	11,923
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	PUBLIC AWARENESS CAMPAIGN	20,505,698	19,498,057	53,556	954,085
b	SERVICE CHARGES	4,435	2,634	1,307	494
c	MISCELLANEOUS	108,996	4,378	94,118	10,500
d					
e					
f	All other expenses				
25	Total functional expenses. Add lines 1 through 24f	49,843,529	45,026,902	2,193,761	2,622,866
26	Joint costs. Check here ☒ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation	1,750,225	911,470		838,755

Part X

Balance Sheet

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			499	1	467
	2	Savings and temporary cash investments			7,378,085	2	6,924,978
	3	Pledges and grants receivable, net			0	3	0
	4	Accounts receivable, net			2,084,891	4	5,718,016
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			0	5	0
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L			0	6	0
	7	Notes and loans receivable, net			0	7	0
	8	Inventories for sale or use			21,380	8	62,566
	9	Prepaid expenses and deferred charges			623,785	9	463,313
	10a	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	10a	3,099,938			
	b	Less: accumulated depreciation	10b	2,622,095	388,164	10c	477,843
	11	Investments—publicly traded securities			14,054,898	11	14,162,982
	12	Investments—other securities. See Part IV, line 11			0	12	0
	13	Investments—program-related. See Part IV, line 11			0	13	0
	14	Intangible assets			0	14	0
	15	Other assets. See Part IV, line 11			4,790,734	15	3,238,875
16	Total assets. Add lines 1 through 15 (must equal line 34)			29,342,436	16	31,049,040	
Liabilities	17	Accounts payable and accrued expenses			2,928,476	17	2,513,801
	18	Grants payable			3,160,233	18	3,236,853
	19	Deferred revenue			3,313,304	19	4,043,224
	20	Tax-exempt bond liabilities			0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D			2,050,636	21	0
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L			0	22	0
	23	Secured mortgages and notes payable to unrelated third parties			2,229,182	23	2,086,108
	24	Unsecured notes and loans payable to unrelated third parties			0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D			5,345,032	25	8,832,312
	26	Total liabilities. Add lines 17 through 25			19,026,863	26	20,712,298
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			7,394,100	27	5,864,453
	28	Temporarily restricted net assets			1,123,830	28	832,488
	29	Permanently restricted net assets			1,797,643	29	3,639,801
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			10,315,573	33	10,336,742
	34	Total liabilities and net assets/fund balances			29,342,436	34	31,049,040

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	49,656,784
2	Total expenses (must equal Part IX, column (A), line 25)	2	49,843,529
3	Revenue less expenses Subtract line 2 from line 1	3	-186,745
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	10,315,573
5	Other changes in net assets or fund balances (explain in Schedule O)	5	207,914
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	10,336,742

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII ☐

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		No
b	Were the organization's financial statements audited by an independent accountant?	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis		
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization American Lung Association	Employer identification number 13-1632524
---	--

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☒

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10

☐

An organization organized and operated exclusively to test for public safety Se**section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Other

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?

(ii)

a family member of a person described in (i) above?

(iii)

a 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of support?
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	13,991,885	14,100,696	18,165,400	11,915,758	10,546,204	68,719,943
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	13,991,885	14,100,696	18,165,400	11,915,758	10,546,204	68,719,943
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public Support. Subtract line 5 from line 4						68,719,943

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4	13,991,885	14,100,696	18,165,400	11,915,758	10,546,204	68,719,943
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	1,454,604	584,295	985,737	1,419,418	1,592,243	6,036,297
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets	121,136	101,141	165,047	1,024,657	556,293	1,968,274
11 Total support (Add lines 7 through 10)						76,724,514

12 Gross receipts from related activities, etc (See instructions)

12193,412,178

13 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))	14	89 567 %
15 Public Support Percentage for 2010 Schedule A, Part II, line 14	15	88 116 %

16a 33 1/3% support test—2011. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support test—2010. If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

b 10%-facts-and-circumstances test—2010. If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization

18 Private Foundation If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions

Part IIIPart III

Support Schedule for Organizations Described in IRC 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public Support (Subtract line 7c from line 6)						

Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support (Add lines 9, 10c, 11 and 12.)						
14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage			
15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15		
16 Public support percentage from 2010 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2011 (line 10c column (f) divided by line 13 column (f))	17		
18 Investment income percentage from 2010 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2011. If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization			
b 33 1/3% support tests—2010. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization			
20 Private Foundation If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions			

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization American Lung Association	Employer identification number 13-1632524
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	☐ Yes ☐ No
4a	Was a correction made?	☐ Yes ☐ No
b	If "Yes," describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	☐ Yes ☐ No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check
- ☐
- if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check
- ☐
- if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing Organization's Totals	(b) Affiliated Group Totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		☐ Yes ☐ No													

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			737,602 16,535 33,246 2,750 228,486 7,080 17,719 1,043,418
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?	Yes		
d	Mailings to members, legislators, or the public?	Yes		
e	Publications, or published or broadcast statements?	Yes		
f	Grants to other organizations for lobbying purposes?	Yes		
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	Yes		
i	Other activities? If "Yes," describe in Part IV	Yes		
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	2a 2b 2c	
a	Current year		
b	Carryover from last year		
c	Total		
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
Schedule C, Part II-B, Line 1(i)		THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ENGAGE IN A WIDE RANGE OF ADVOCACY ACTIVITIES TO FURTHER OUR MISSION TO SAVE LIVES BY IMPROVING LUNG HEALTH AND PREVENTING LUNG DISEASE. OUR WORK INCLUDES EFFORTS TO EDUCATE MEMBERS OF CONGRESS, THEIR STAFF AND THE PUBLIC ON LUNG HEALTH ISSUES AND ACCESS TO HEALTHCARE. WE ADVOCATE FOR CLEAN, HEALTHY AIR TO REDUCE THE HEALTH IMPACTS OF AIR POLLUTION. WE FOCUS ON SUPPORTING THE IMPLEMENTATION AND STRENGTHENING OF THE NATION'S CLEAN AIR LAWS. WE STRONGLY SUPPORT THE PUBLIC HEALTH INFRASTRUCTURE AND LUNG HEALTH RESEARCH FUNDING INCLUDING FUNDING FOR LUNG CANCER, CHRONIC OBSTRUCTIVE PULMONARY DISEASE (COPD), ASTHMA, TUBERCULOSIS AND OTHER LUNG DISEASES. FURTHERMORE, THE AMERICAN LUNG ASSOCIATION VOLUNTEERS AND STAFF ACTIVELY ADVOCATE IN WASHINGTON, D.C. AND IN THE STATES FOR TOBACCO CONTROL LAWS, INCLUDING EFFORTS TO REGULATE TOBACCO PRODUCTS, PROMOTE TOBACCO CESSATION AND ELIMINATE EXPOSURE TO SECOND HAND SMOKE.

SCHEDULE D

(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b**
▶ **Attach to Form 990. ▶ See separate instructions.**

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes☐ No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)

☐ Preservation of an historically importantly land area

☐ Protection of natural habitat

☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ _____

4

Number of states where property subject to conservation easement is located ▶ _____

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ _____

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes☐ No

9

In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b

Assets included in Form 990, Part X

▶ \$ _____

For Privacy Act and Paperwork Reduction Act Notice, see the Intructions for Form 990

Cat No 52283D

Schedule D (Form 990) 2011

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization’s accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

- a**

☐ Public exhibition
- d**

☐ Loan or exchange programs
- b**

☐ Scholarly research
- e**

☐ Other
- c**

☐ Preservation for future generations

4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection?

☐ **Yes**

☐ **No**

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ **Yes**

☒ **No**

b If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21?

☒ **Yes**

☐ **No**

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current Year	(b)Prior Year	(c)Two Years Back	(d)Three Years Back	(e)Four Years Back
1a Beginning of year balance	1,099,684	980,525	950,135	636,664	
b Contributions					
c Investment earnings or losses	-23,972	201,713	97,595	387,717	
d Grants or scholarships	34,318	72,000	56,728	65,612	
e Other expenditures for facilities and programs					
f Administrative expenses	10,585	10,554	10,477	8,634	
g End of year balance	1,030,809	1,099,684	980,525	950,135	

2 Provide the estimated percentage of the year end balance held as

- a**

Board designated or quasi-endowment ▶
- b**

Permanent endowment ▶ 48 500 %
- c**

Term endowment ▶ 51 500 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

	Yes	No
(i) unrelated organizations	3a(i)	No
(ii) related organizations	3a(ii)	No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		210,700	134,897	75,803
d Equipment		1,940,688	1,686,525	254,163
e Other		948,550	800,673	147,877
Total. Add lines 1a-1e <i>(Column (d) should equal Form 990, Part X, column (B), line 10(c).)</i> ▶				477,843

Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	49,656,784
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	49,843,529
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-186,745
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	207,914
9	Total adjustments (net) Add lines 4 - 8	9	207,914
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	21,169

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	63,310,043
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	-4,533
b	Donated services and use of facilities	2b	13,429,006
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	212,447
e	Add lines 2a through 2d	2e	13,636,920
3	Subtract line 2e from line 1	3	49,673,123
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	-16,339
c	Add lines 4a and 4b	4c	-16,339
5	Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12)	5	49,656,784

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	63,288,874
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	13,429,006
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV)	2d	16,339
e	Add lines 2a through 2d	2e	13,445,345
3	Subtract line 2e from line 1	3	49,843,529
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	
c	Add lines 4a and 4b	4c	
5	Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18)	5	49,843,529

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
Schedule D, Part V, LIne 4	Use of endowment funds	PERMANENTLY RESTRICTED NET ASSETS ARE PRIMARILY DEDICATED TO SUPPORTING RESEARCH SCHOLARS IN INTERSTITIAL RELATED LUNG DISEASES DALSEMER ENDOWMENT IN 1983,LEONARD DALSEMER THE JOHN A HARTFORD FOUNDATION AND THE WHEELABRATOR FOUNDATION ENDOWED THE NATIONAL OFFICE WITH \$500,000 (THE CORPUS)TO FUND RESEARCH TO FIND A CURE FOR INTERSTITIAL LUNG DISEASE THE EARNINGS FROM THE CORPUS ARE TO FUND AN ANNUAL RESEARCH AWARD IN A MINIMAL AMOUNT OF \$30,000 THE TERM OF EACH AWARD IS THREE YEARS ANNUAL EXPENDITURES ARE LIMITED TO 6% OF THE FAIR MARKET VALUE OF THE ENDOWMENT THE AMERICAN LUNG ASSOCIATION IS TO STRIVE TO GROWTHE ENDOWMENT BY SOLICITING ADDITIONAL DONOR CONTRIBUTIONS THE AWARD IS ONLY TO BE CONFERRED WHEN THE REVIEW COMMITTEE DEEMS THE PROPOSED RESEARCH MERITORIOUS MARY FULLER RUSSELL RESEARCH FUND IN A SETTLEMENT ENTERED BY AMERICAN LUNG ASSOCIATION OF NEW HAMPSHIRE ("ALANH") AND NATIONAL OFFICE ("ALA") ON JULY 9, 2003, ALANH AGREED TO SET ASIDE, AS A SEGREGATED FUND, WITHIN ITS ENDOWMENT FUND, THE SUM OF \$1,297,643 REPRESENTING ALA'S 10% SHARE, AND TO MAINTAIN SUCH SEGREGATED FUND INTACT FOR THE PURPOSE OF PAYING TO AND ALLOWING ALA TO USE ALL THE INCOME AND THE ANNUAL NET APPRECIATION, IF ANY, IN THE FAIR VALUE OF THE SEGREGATED FUND FOR RESEARCH PURPOSES DETERMINED BY ALA UNDER THE SETTLEMENT, SUCH SEGREGATED FUND IS TO BE HELD FOR ALA'S BENEFIT IN PERPETUITY ALANH AND ALA AGREED THAT DISTRIBUTIONS FROM THE MARY FULLER RUSSELL RESEARCH FUND SHALL BE USED TO SUPPORT RESEARCH INTO LUNG HEALTH ISSUES, AND THAT RESEARCH GRANTS TO RECIPIENTS SHALL BE MADE UNDER THE NAME MARY FULLER RUSSELL RESEARCH FUND
Schedule D, pART x, IINE 2	FIN48 FOOTNOTE	IN JULY 2006, THE FASB ISSUED GUIDANCE IN THE AREA OF "ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES " THE STANDARD CLARIFIES THE ACCOUNTING FOR UNCERTAINTY IN TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN, INCLUDING ISSUES RELATING TO FINANCIAL STATEMENT RECOGNITION AND MEASUREMENT THIS STANDARD PROVIDES THAT THE TAX EFFECTS FROM AN UNCERTAIN TAX POSITION CAN BE RECOGNIZED IN THE FINANCIAL STATEMENTS ONLY IF THE POSITION IS "MORE-LIKELY-THAN-NOT" TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY THE STANDARD ALSO PROVIDES GUIDANCE ON MEASUREMENT, CLASSIFICATION, INTEREST AND PENALTIES, AND DISCLOSURE IT WAS EFFECTIVE FOR NATIONAL HEADQUARTERS ON JULY 1, 2009, AND HAD NO IMPACT ON THE ACCOMPANYING FINANCIAL STATEMENTS THE TAX YEARS ENDED 2009, 2010 AND 2011 ARE STILL OPEN TO AUDIT FOR BOTH FEDERAL AND STATE PURPOSES NATIONAL HEADQUARTERS HAS PROCESSES PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS, TO IDENTIFY AND REPORT UNRELATED INCOME, TO DETERMINE ITS FILING AND TAX OBLIGATIONS IN JURISDICTIONS FOR WHICH IT HAS NEXUS, AND TO IDENTIFY AND EVALUATE OTHER MATTERS THAT MAY BE CONSIDERED TAX POSITIONS
Schedule D, Part XI, Line 8	Other changes in net assets or fund balances	unrealized gains/(losses) (\$4,533) transfer of benficial interest in perpetual trust 1,776,399 change in fair value of beneficial interest in trust (2,203) change in value of split-interest agreements 724 pension and life insurance benefit plan activities (1,562,473) ----- Total \$207,914 SCHEDULE D, Part XII, Line 2D Audit Committee The Audit Committee of the Board has the fiduciary responsibility for hiring of the audit firm, the review of the risk issues for the association and the final audit review and package that is accepted by the Board The Committee meets with the audit firm independently from staff during the audit review process and recommends to the Board the acceptance of the Audit and its findings Schedule D, Part XII, Line 2d & 4b Reconciliation of Revenue transfer of benficial interest in perpetual trust 1,776,399 change in fair value of beneficial interest in trust (2,203) change in value of split-interest agreements 724 pension and life insurance benefit plan activities (1,562,473) ----- Total \$212,447 Cost of goods sold (\$16,339) Schedule D, Part XIII, Line 2D Reconciliation of Expenses Cost of goods sold \$16,339

Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public
Inspection

Name of the organization
American Lung Association

Employer identification number
13-1632524

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

69

3

Enter total number of other organizations listed in the line 1 table

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Use Schedule I-1 (Form 990) if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance

Part IV

Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
Schedule I, Part I, Line 2	Procedure for Monitoring Grants in the US	Award recipients are required to submit a renewal application after their first Year of funding. Renewal applications are then reviewed by our Research Committee Chairs for approval of second year funding. At the time of termination (after the second year of funding), award recipients are required to submit a summary of their activities, copies of presentations and/or publications, and a cash disbursement report for the entire grant time.

Schedule J
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization American Lung Association	Employer identification number 13-1632524
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Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
☐ First-class or charter travel		
☐ Travel for companions		
☐ Tax idemnification and gross-up payments		
☐ Discretionary spending account		
☐ Housing allowance or residence for personal use		
☐ Payments for business use of personal residence		
☐ Health or social club dues or initiation fees		
☐ Personal services (e g , maid, chauffeur, chef)		
1b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?		
3 Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply		
☒ Compensation committee		
☒ Independent compensation consultant		
☒ Form 990 of other organizations		
☐ Written employment contract		
☒ Compensation survey or study		
☒ Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a Receive a severance payment or change-of-control payment?		No
b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		No
c Participate in, or receive payment from, an equity-based compensation arrangement?		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 5a or 5b, describe in Part III		
6 For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a The organization?		No
b Any related organization?		No
If "Yes," to line 6a or 6b, describe in Part III		
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III		No
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III		No
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) CHARLES D CONNOR	(i) (ii)	319,133 0	 0	 0	54,457 0	12,906 0	386,496 0	
(2) ADRIENNE GIASGOW	(i) (ii)	203,240 0	 0	 0	36,217 0	9,874 0	249,331 0	
(3) B SUSAN DAVIS	(i) (ii)	211,136 0	 0	 0	37,624 0	9,780 0	258,540 0	
(4) JAMES THIE	(i) (ii)	165,076 0	 0	 0	5,778 0	9,371 0	180,225 0	
(5) SUSAN J RAPPAPORT	(i) (ii)	165,612 0	 0	 0	31,996 0	19,244 0	216,852 0	
(6) JANET WIDMER	(i) (ii)	168,061 0	 0	 0	32,469 0	1,234 0	201,764 0	
(7) PAUL BILLINGS	(i) (ii)	158,577 0	 0	 0	30,637 0	1,232 0	190,446 0	
(8) DELIA NAUGHTON	(i) (ii)	136,134 0	 0	 0	26,301 0	7,315 0	169,750 0	
(9) RUSSELL BURWELL	(i) (ii)	141,614 0	 0	 0	27,360 0	9,575 0	178,549 0	
(10) CARRIE MARTIN	(i) (ii)	148,997 0	 0	 0	5,215 0	6,556 0	160,768 0	

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
▶ **Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

2011

**Open to Public
Inspection**

Name of the organization
American Lung Association

Employer identification number

13-1632524

Identifier	Return Reference	Explanation
Form 990, Part III, Line 4d	other program service accomplishments	Advocacy Every year, the American Lung Association fights for public policies that support our mission to save lives by improving lung health and preventing lung disease. In 2011-12, our advocacy efforts again yielded important results. Healthy Air Our Healthy Air Campaign continued in its fight to protect the Clean Air Act from attempts to weaken the law, and to push the Environmental Protection Agency (EPA) to implement its lifesaving protections. As part of the campaign, we launched the Red Carriage initiative, an ad campaign and more, that created an iconic symbol of the importance of clean air to the health of our children. With allies, we issued the "Sick of Soot" report that pointed to the 35,700 premature deaths annually from particulate matter pollution that could be prevented if the EPA adopts strong national air quality standards. EPA proposed new standards on particle pollution following our successful legal actions against them for failing to follow the Clean Air Act to regularly review and set standards that actually protect public health. Our "State of the Air 2012" report (www.stateoftheair.org) showed that the air quality in many places has improved, but that over 127 million people-41 percent of the nation-still suffer pollution levels that are too often dangerous to breathe. We also introduced a State of the Air phone app that delivers air quality updates to smartphones. Health Care The American Lung Association worked to implement the landmark Patient Protection and Affordable Care Act and expand insurance coverage of quit-smoking treatments. The law extends health insurance coverage to millions of Americans who are currently uninsured -- including those with lung disease. The law puts a major emphasis on prevention and management of chronic diseases, including COPD and asthma. Lung Disease In 2011-2012 the Lung Association was once again instrumental in leading the nationwide fight to save the National Asthma Control Program at the Centers for Disease Control and Prevention from elimination. This program funds states to provide community-based organizations to help people living with asthma better manage their disease to reduce hospitalizations and deaths. Tobacco Control The 2009 Family Smoking Prevention and Tobacco Control Act is under threat from the cigar industry. In 2011-2012 the Lung Association continued to fight to make sure candy-flavored and other cigars are not exempted from basic oversight by the Food and Drug Administration. Our signature report, "State of Tobacco Control 2012" found that most states have failed to put in place proven tobacco prevention policies to fight the tobacco epidemic, while the federal government continued to take important steps forward. We also issued the report "Helping Smokers Quit: Tobacco Cessation Coverage 2011," which showed states are missing a big opportunity to help smokers quit, and to save taxpayer money by covering comprehensive tobacco cessation treatments. The report also discussed the many opportunities the federal government has to help smokers quit through the implementation of the Affordable Care Act and other policies. Field Program Development SUPPORT OF AMERICAN LUNG ASSOCIATION CHARTERED ASSOCIATIONS The American Lung Association national headquarters supports its 9 chartered associations through coaching, training, consultation and technical assistance. American Lung Association staff and volunteers throughout the country are provided skill-building and other learning opportunities to help them successfully deliver the American Lung Association's mission. Through implementation of these staff learning and volunteer development offerings, chartered associations are kept current on best practices in lung health programs and delivery, advocacy, financial management, fundraising, leadership development and volunteer management. One-on-one coaching and consultation by national headquarters staff is offered as needed or requested. Individual discipline groups (e.g. chief executive officers, chief financial officers, chief development officers, program managers, etc.) meet regularly with national headquarters peers to share ideas, problem-solve, and network. The American Lung Association conducts a nationwide volunteer and staff recognition program. Volunteers and staff are recognized on an ongoing basis for outstanding performance. An annual recognition ceremony is held at a meeting of the American Lung Association Board of Directors and Nationwide Assembly where awards for highest achievement are given in specific categories. The American Lung Association Board of Directors provides strategic direction for the national headquarters and its chartered associations. Coordination and monitoring of national and chartered association strategic alignment is provided through a Board-approved metric-based performance management system. The American Lung Association Board of Directors is responsible for oversight of chartered association.

Identifier	Return Reference	Explanation
Form 990, Part III, Line 4d	other program service accomplishments	compliance to policies and performance standards Assistance is provided by the national headquarters to those chartered associations that do not meet requirements and/or standards

Identifier	Return Reference	Explanation
Form 990, Part VI, Section b		Line 11 Review process of Form 990 The American Lung Association prepares its Form 990 and submits it to an outside accounting firm for review ALA has established the following review process to ensure that the information reported is complete and accurate once the Form 990 is prepared, reviewed by management and the accounting firm, and ready to be filed with the Internal Revenue Service, it is submitted electronically to members of the organization's governing body, the Audit Committee, for any comments prior to its submission These members of the governing body have 10 days to review the form, they then meet by conference call to review any comments by the group and agree to any changes that may need to be made to the form prior to its submission to the Internal Revenue Service All comments are documented, addressed and finalized in the 990 before the submission Line 12 Conflict of Interest Policy ALA currently has in place a conflict of interest policy which it monitors and enforces annually The board currently mandates that all members of management, the governing body, and staff annually sign a conflict of interest policy and disclose any potential or actual conflicts that may exist The signed conflict of interest policy statements are submitted to the governance committee These statements are reviewed for potential or actual conflicts If a potential or actual conflict of interest exists, the committee will notify members of management about such conflict and investigate the conflict If management establishes that an actual conflict exists, the member of management will be notified immediately and will not be allowed to vote or be a part of any decisions about any such transactions that have to do with the conflict until such time there is no longer a conflict Line 15 Compensation Review The American Lung Association has established a compensation policy for their leadership committee to follow in establishing the compensation for their CEO, top management official, other officers or key employees The policy mandates that executive compensation be periodically reviewed by the committee and that the committee should be free of conflicts of interest In addition, the approving committee needs to review appropriate and adequate data to determine the reasonableness of the compensation being considered The committee may use a variety of information and studies that are available to determine that the appropriate level of compensation is being paid to its executives The committee's decision on the amount of compensation paid is documented in a contemporaneously written format and should document the date of the decision, the members present during the meeting and those who voted on it, the details of the transaction that was approved and the comparable data used and relied upon to make the decision The compensation review process for the CEO and other officer or key employees was last undertaken in December 2010

Identifier	Return Reference	Explanation
Form 990, part vi, section c		Line 17 States with which a copy of the Form 990 is filed AL, AK, AZ, AR, GA, IL, KS, KY, ME, MD, MA, MN, MS, NH, NM, NC, ND, OH, OK, OR, RI, SC, TN, VA, WA, WV, WI, PA, NY, NJ, MI, FL, CT, CA, CO, DC, HI Line 19 Forms available to public The three most recent years of Form 990 and Annual Reports are available on American Lung Association's website www.lung.org Governing documents and conflict of interest policy are available to the public upon request Our website also provides the names of our Board of Directors and our Ethics Policy

Identifier	Return Reference	Explanation
Form 990, Part XI, Line 5	Other Changes in net assets or fund balances	unrealized gains/(losses) (\$4,533) transfer of beneficial interest in perpetual trust 1,776,399 change in fair value of beneficial interest in trust (2,203) change in value of split-interest agreements 724 pension and life insurance benefit plan activities (1,562,473) ----- Total \$207,914 FORM 990, Part XII, Line 2c Financial Statements and Reporting The joint Finance/Audit Committee of the Board has the fiduciary responsibility for hiring of the audit firm, the review of the risk issues for the association and the final audit review and package that is accepted by the Board The Committee meets with the Audit firm independently from staff during the audit review process and recommends to the Board the acceptance of the Audit and its findings

Additional Data

Software ID:
Software Version:
EIN: 13-1632524
Name: American Lung Association

Form 990, Special Condition Description:

Special Condition Description

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services				
(Code)	(Expenses \$	9,803,233	including grants of \$	380,600) (Revenue \$)
Advocacy				
(Code)	(Expenses \$	3,845,390	including grants of \$	) (Revenue \$)
Field program development				

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services				
(Code)	(Expenses \$	2,188,709	including grants of \$	5,050) (Revenue \$)
Field Management Advisory				
(Code)	(Expenses \$	8,159	including grants of \$	8,159) (Revenue \$)
OTHER				

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)							(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099- MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former				
DON AWERKAMP PHD JD BOARD MEMBER	2 0	X									
SUSAN s GRIFFIN RPA CPM CCIM BOARD MEMBER	2 0	X									
CHRISTINE BRYANT SECRETARY/TREASURER	2 0	X		X							
TIMOTHY D BYRUM MSN CRNP BOARD MEMBER	2 0	X									
ARTHUR CERULLO JD BOARD MEMBER	2 0	X									
CAROLYN H CLIFT LLM BOARD MEMBER	2 0	X									
JOHN F EMANUEL JD BOARD MEMBER	2 0	X									
KATHRYN A FORBES CPA BOARD MEMBER	2 0	X									
ERNEST V FREEMAN BOARD MEMBER	2 0	X									
MICHAEL A GARDNER BOARD MEMBER	2 0	X									
ADAM S GOLDBERG ESQ BOARD MEMBER	2 0	X									
DARIUS A JOSEPH BOARD MEMBER	2 0	X									
H JAMES GOODEN BOARD CHAIR	2 0	X		X							
PAULINE GRANT MS MBA FACHE BOARD MEMBER	2 0	X									
VIRGINIA L HALL BOARD MEMBER	2 0	X									
ELIZABETH BAKER KEFFER BOARD MEMBER	2 0	X									
ROSS P LANZAFAME BOARD MEMBER	2 0	X									
VENKATARMA rKOPPAKA MD PHD BOARD MEMBER	2 0	X									
LESLIE M NEWMAN JD BOARD MEMBER	2 0	X									
AUSTIN k PUGH BOARD MEMBER	2 0	X									
GERI REINARDY MPA BOARD MEMBER	2 0	X									
ALBERT A RIZZO MD BOARD MEMBER	2 0	X									
E NEIL SCHACHTER MD BOARD MEMBER	2 0	X									
ROBERT G TWEEL JD BOARD MEMBER	2 0	X									
DEAN A ZERBE JD LLM BOARD MEMBER	2 0	X									

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JANE REARDON MSN APRN CS AE-C BOARD MEMBER	2 0	X								
MARCIA d WILLIAMS EDD ASSEMBLY SPEAKER ELECT	2 0	X								
Frank Scott Rotruck Board Member	2 0	X						0	0	
James L McDaniel Board Member	2 0	X								
CHARLES D CONNOR PRESIDENT & CEO	40 0			X				319,133	0	67,363
ADRIENNE GIASGOW CHIEF FINANCIAL OFFICER	40 0			X				203,240	0	46,091
B SUSAN DAVIS CHIEF DEVELOPMENT OFFICER	40 0			X				211,136	0	47,404
JAMES THIE CHIEF INFORMATION OFFICER	40 0			X				165,076	0	15,149
SUSAN J RAPPAPORT VP RESEARCH AND PROGRAM	40 0				X			165,612	0	51,240
JANET WIDMER VP FIELD SUPPORT	40 0					X		168,061	0	33,703
PAUL BILLINGS VP NATIONAL POLICY & ADVOCACY	40 0					X		158,577	0	31,869
DELIA NAUGHTON VP BUSINESS & MARKETING	40 0					X		136,134	0	33,616
RUSSELL BURWELL VP GOVERNANCE	40 0					X		141,614	0	36,935
CARRIE MARTIN VP COMMUNICATIONS & MARKETING	40 0					X		148,997	0	11,771

Software ID:
Software Version:
EIN: 13-1632524
Name: American Lung Association

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Baylor College of MedicineOne Baylor Plaza Houston, TX 770303411	74-1613878	501(c)(3)	215,500		FMV		Research
Brigham & Women's Hospital75 Francis Street Boston, MA 02115	04-2312909	501(c)(3)	40,000		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Case Western Reserve University 10900 Euclid Ave Cleveland, OH 441064960	34-1018992	501(c)(3)	120,000		FMV		Research
Children's Hospital Medical Center- Cincinnati Childrens Hospital Medical Center Cincinnati, OH 45229	31-0833936	501(c)(3)	140,000		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Colorado State University200 West Lake Street Fort Collins, CO 80523	84-6000545	government	60,000		FMV		Research
Cornell University Veterinary Medical Center Ithaca, NY 14853	15-0532082	501(c)(3)	40,000		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Dana-Farber Cancer Institute4 4 Binney Street Boston, MA 02115	04-2263040	501(c)(3)	100,000		FMV		Research
Drexel University 2900 Queen Lane Philadelphia, PA 19129	23-1352630	501(c)(3)	40,000		FMV		Research

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Georgetown University3800 Reservoir Rd NW Washington, DC 200571465	53-0196603	501(c)(3)	21,000		FMV		Research
Georgia State University Research Foundation Inc140 Decatur St Urban Life Building Atlanta, GA 30303	58-1845423	501(c)(3)	38,761		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Harvard School of Public HealthRoom 305 665 Huntington Ave Boston, MA 02115	04-2103580	501(c)(3)	40,000		FMV		Research
Indiana University PO Box 66057 Indianapolis, IN 46266	35-6001673	501(c)(3)	77,500		FMV		Research

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Lovelace Respiratory Research Inst2425 Ridgecrest Drive SE Albuquerque, NM 87108	85-0110669	501(c)(3)	40,000		FMV		Research
Massachusetts General Hospital55 Fruit Street Boston, MA 02114	04-1564655	501(c)(3)	140,000		FMV		Research

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National Jewish Medical and Research Center 1400 Jackson Street Denver, CO 80206	74-2044647	501(c)(3)	163,000		FMV		Research
NYU School of Medicine545 First Avenue New York, NY 10016	13-5562308	501(c)(3)	298,000		FMV		Research

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Oregon Health & Science University 3181 SW Sam Jackson Park Road Portland, OR 97239	93-1176109	government	40,000		FMV		Research
Regents of the University of California SF3333 California St San Francisco, CA 94118	94-6036493	501(c)(3)	137,500		FMV		Research

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Regents of Univ of Michigan3003 S State Street Ann Arbor, MI 48109	38-6006309	501(c)(3)	65,000		FMV		Research
Rochester General Hospital1425 Portland Ave Rochester, NY 14621	16-0743134	501(c)(3)	40,000		FMV		Research

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Seattle Biomedical Research Institute 307 Westlake Ave N Suite 500 Seattle, WA 98109	91-0961784	501(c)(3)	40,000		FMV		Research
Stanford University Corte Madera Creek Bldg Palo Alto, CA 94304	94-1156365	501(c)(3)	82,500		FMV		Research

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Texas Tech University Health Sciences Center 3601 4th St Lubbock,TX 79430	75-6043842	501(c)(3)	40,000		FMV		Research
The Children's Hospital of Philadelphia3615 Civic Center Blvd Philadelphia, PA 19104	23-1352166	501(c)(3)	32,500		FMV		Research

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The Ohio State University Research Foundation1960 Kenny Road Columbus, OH 43210	31-6401599	501(c)(3)	271,500		FMV		Research
The Rockefeller University1230 York Ave New York, NY 10065	13-1624158	501(c)(3)	32,500		FMV		Research

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The Trustees of the University of Pennsylvania BRBII/III 438 421 Curie Blvd Philadelphia, PA 19104	23-1352685	501(c)(3)	72,500		FMV		Research
The University of North Carolina at Chapel Hill104 Airport Drive Chapel Hill, NC 27599	59-1711424	501(c)(3)	252,000		FMV		Research

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The University of Texas MD Anderson Cancer Cente1515 Holcombe Blvd Houston, TX 77030	74-6001118	government	100,000		FMV		Research
The University of Wisconsin600 Highland Ave Madison, WI 53792	39-0743975	501(c)(3)	40,000		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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Trustees of Boston University B U Medical Campus72 East Concord St RBoston, MA 02118	04-2103547	501(c)(3)	32,500		FMV		Research
University of Alabama at Birmingham University Station Birmingham, AL 35294	63-6005396	501(c)(3)	32,500		FMV		Research

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University of Chicago5801 South Ellis Avenue Chicago,IL 60637	36-2177139	501(c)(3)	40,000		FMV		Research
University of Cincinnati51 Goodman Drive PO Box 210222 Cincinnati, OH 452210222	31-0896555	501(c)(3)	39,844		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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University of Florida 2055 Mowry Rd SUITE 250 PO Box 1 Gainesville, FL 32611	59-0974739	501(c)(3)	39,738		FMV		Research
University of Georgia Research Foundation617 Boyd GSRC Athens, GA 306027411	58-1353149	501(c)(3)	39,197		FMV		Research

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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University of Illinois at Urbana-Champaign601 S Mathews Avenue Urbana,IL 61801	37-6000511	government	100,000		FMV		Research
University of Miami Miller School of MedicinePO Box 025405 Miami,FL 33102	59-0624458	501(c)(3)	159,000		FMV		Research

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University of Pennsylvania Medical Center Abramson Research Building 3615 Civ Philadelphia, PA 19104	23-2810852	501(c)(3)	40,000		FMV		Research
University of PittsburghOffice of Financial Information Pittsburgh, PA 15260	25-0965591	501(c)(3)	145,000		FMV		Research

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University of Washington3917 University Way NE Seattle, WA 98105	91-6001537	government	40,000		FMV		Research
USCUniversity of Southern California Biggy St NRT5509 Los Angeles, CA 90033	95-1642394	501(c)(3)	32,500		FMV		Research

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UT Southwestern Medical Center5323 Harry Hines Blvd Dallas, TX 753909034	75-2556007	501(c)(3)	40,000		FMV		Research
Virginia Commonwealth UniversityRoom 356E Smith Bldg 410 North Richmond, VA 23298	54-0757884	501(c)(3)	40,000		FMV		Research

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Washington University School of Medicine902 Yalem Box 8052 660 S Euclid A StLouis,MO 63110	43-0653611	501(c)(3)	227,000		FMV		Research
West Virginia University Research Corporation1 Medical Rd 4052 HSN PO Box 9128 Morgantown,WV 26506	55-0665758	501(c)(3)	40,000		FMV		Research

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Yale University2 Whitney Avenue New Haven, CT 06510	06-0646973	501(c)(3)	32,500		FMV		Research
University of Vermont85 South Prospect Street Burlington, VT 05405	03-0179440	501(c)(3)	139,000		FMV		Research

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University of Virginia PO Box 400202 Charlottesville, VA 22904	54-6001796	501(c)(3)	123,000		FMV		Research
Duke UniversityBox 104132 Durham, NC 27708	56-0532129	501(c)(3)	91,000		FMV		Research

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University of Missouri - Kansas City5100 Rockhill Road Kansas City, MO 641102499	43-6003859	government	167,000		FMV		Research
University of ArizonaPO Box 3308 Tucson, AZ 85722	74-2652689	501(c)(3)	195,000		FMV		Research

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Regents of the Univ of CA San Diego 9500 Gilman Drive La Jolla, CA 92093	95-6006144	501(c)(3)	159,000		FMV		Research
North Shore Long Island Jewish 300 Community Drive Manhasset, NY 11030	11-1562701	501(c)(3)	159,000		FMV		Research

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Northwestern University619 Clark Street Evanston, IL 60208	36-2167817	501(c)(3)	115,000		FMV		Research
Johns Hopkins University1101 E33rd Street Baltimore, MD 21218	52-0595110	501(c)(3)	500,000		FMV		Research

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Louisiana State University Health Sciences Center 433 Bolivar Street New Orleans, LA 70112	72-6087770	government	139,000		FMV		Research
ALA of California 424 Pendleton Way Oakland, CA 94621	94-0362650	501(c)(3)	18,750		FMV		Federal Grants & Program Site Grants

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ALA of Mid-Atlantic3001 Old Gettysburg Road Camp Hill, PA 17011	25- 1825116	501(c)(3)	78,421		FMV		Federal Grants & Program Site Grants
ALA of Mountain Pacific7420 SW Bridgeport Road Suite 200 Tigard, OR 97224	93- 0386887	501(c)(3)	81,058		FMV		Federal Grants & Program Site Grants

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ALA of New York 116 John Street New York, NY 10038	26- 2818624	501(c)(3)	6,000		FMV		Federal Grants & Program Site Grants
ALA of the Plains Gulf Region2325 Severn Avenue Suite 8 Metairie, LA 70001	63- 0320189	501(c)(3)	36,456		FMV		Federal Grants & Program Site Grants

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ALA of Midland States1950 Arlingate Lane Columbus, OH 43228	31-4379531	501(c)(3)	126,000		FMV		Federal Grants & Program Site Grants
ALA of the Southeast6852 Belfort Oaks Place Jacksonville, FL 32216	59-0662271	501(c)(3)	18,421		FMV		Federal Grants & Program Site Grants

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ALA of the Southwest5600 Greenwood Plaza Blvd Suite 1 Greenwood Village, CO 80111	86-0111676	501(c)(3)	80,851		FMV		Federal Grants & Program Site Grants
ALA of the Upper Midwest3000 Kelly Lane Springfield, IL 62707	20-4392201	501(c)(3)	102,585		FMV		Federal Grants & Program Site Grants

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ALA of the Northeast7420 SW Bridgeport Road Suite 200 Tigard,OR 97224	93-0386887	501(c)(3)	69,712		FMV		Federal Grants & Program Site Grants
University of Central Florida 2325 Severn Avenue Suite 8 Metairie, LA 70001	63-0320189	501(c)(3)	39,860		FMV		Research

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Tufts University 1950 Arlingate Lane Columbus, OH 43228	31-4379531	501(c)(3)	32,500		FMV		Research