Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 07-01-2011 and ending 06-30-2012

B Check if applicable
☑ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Doing Business As
ASME

Number and street (or P O box if mail is not delivered to street address) | Room/suite
TWO PARK AVENUE

City or town, state or country, and ZIP + 4
NEW YORK, NY 10016

D Employer identification number
13-1623899

E Telephone number
(212) 591-7000

G Gross receipts $ 174,073,276

F Name and address of principal officer
WILLIAM GAROFALO
TWO PARK AVENUE
NEW YORK, NY 10016

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW ASME ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1880

M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
TO PROMOTE THE ART, SCIENCE, AND MULTIDISCIPLINARY ENGINEERING AND ALLIED SCIENCES AROUND THE GLOBE

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	11
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	11
5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	380
6	Total number of volunteers (estimate if necessary)	6	5,000
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	1,633,527
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	10,312,083	10,326,422
	9	Program service revenue (Part VIII, line 2g)	41,560,815	44,065,181
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	4,664,536	5,064,731
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	44,017,867	39,776,803
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	100,555,301	99,233,137
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	486,351	477,971
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	43,655,318	44,864,608
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶0		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	44,323,346	49,910,337
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	88,465,015	95,252,916
	19	Revenue less expenses Subtract line 18 from line 12	12,090,286	3,980,221

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	159,939,112	155,822,510
	21	Total liabilities (Part X, line 26)	70,172,550	77,133,010
	22	Net assets or fund balances Subtract line 21 from line 20	89,766,562	78,689,500

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

****** | 2013-05-10
Signature of officer | Date

WILLIAM GAROFALO ASSISTANT TREASURER
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶ THOMAS LANNING	Date	Check if self-employed ▶ ☐	Preparer's taxpayer identification number (see instructions) P00851654
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ COHNREZNICK LLP 1212 6TH AVENUE NEW YORK, NY 10036			EIN ▶ 22-1478099 Phone no ▶ (212) 297-0400

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

ASME'S MISSION IS TO SERVE DIVERSE GLOBAL COMMUNITIES BY ADVANCING, DISSEMINATING AND APPLYING ENGINEERING KNOWLEDGE FOR IMPROVING THE QUALITY OF LIFE, AND COMMUNICATING THE EXCITEMENT OF ENGINEERING

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 8,979,895 including grants of $) (Revenue $ 982,884)

CODES & STANDARDS - ESTABLISHES AND PUBLISHES PUBLIC SAFETY RELATED INDUSTRIAL AND MANUFACTURING CODES AND STANDARDS FOR THE DESIGN, MANUFACTURING, AND INSTALLATION OF MECHANICAL DEVICES THIS AREA ALSO OFFERS CONFORMITY ASSESSMENT PROGRAMS FOR MANUFACTURERS OF MECHANICAL DEVICES

4b (Code) (Expenses $ 12,611,072 including grants of $) (Revenue $ 218,123)

PUBLISHING - PRODUCES AND PREPARES THE TECHNICAL CONTENT OF THE SOCIETY FOR PUBLIC DISTRIBUTION AND SALE IN THE FORM OF JOURNALS, CONFERENCE PROCEEDINGS AND BOOKS, BOTH IN PRINT AND ELECTRONIC MEDIA

4c (Code) (Expenses $ 17,650,189 including grants of $) (Revenue $ 25,996,039)

CONFORMITY ASSESSMENT - ASME CONFORMITY ASSESSMENT PROGRAMS-UNDER WHICH A COMPANY OR AN INDIVIDUAL IS ASSESSED AND CERTIFIED BASED ON DEMONSTRATED ABILITY TO MEET THE REQUIREMENTS OF AN ASME STANDARDCONTINUE TO PROVIDE A VITAL SERVICE TO ENHANCEMENT OF PUBLIC SAFETY AND FACILITATION OF INTERNATIONAL COMMERCE

(Code) (Expenses $ 8,970,214 including grants of $) (Revenue $ 3,844,124)

KNOWLEDGE & COMMUNITY - ANNUALLY PERFORMS RESEARCH ON TECHNICAL MECHANICAL ENGINEERING ISSUES, AND HOLDS TECHNICAL MEETINGS AND CONFERENCES FOR MECHANICAL ENGINEERS ON A VARIETY OF MECHANICAL ENGINEERING TOPICS AND SUBJECTS

(Code) (Expenses $ 8,657,098 including grants of $ 477,971) (Revenue $ 33,968)

PUBLIC AFFAIRS AND OUTREACH - THE PUBLIC AFFAIRS AND OUTREACH SECTOR, UNDER THE DIRECTION OF THE BOARD OF GOVERNORS, IS RESPONSIBLE FOR THE COORDINATED OUTREACH TO INDUSTRY, GOVERNMENT, EDUCATION, AND THE PUBLIC AS WELL AS INITIATIVES THAT ADDRESS DIVERSITY AND GLOBAL DEVELOPMENT PROGRAMS THE PUBLIC AFFAIRS AND OUTREACH SECTOR IS LED BY A COUNCIL THE UNITS OF THE SECTOR INCLUDE THE BOARD ON EDUCATION, BOARD ON GLOBAL OUTREACH, BOARD ON GOVERNMENT RELATIONS, INDUSTRY ADVISORY BOARD, DIVERSITY AND INCLUSION STRATEGY COMMITTEE, INNOVATION COMMITTEE AND THE STRATEGIC ISSUES COMMITTEE

(Code) (Expenses $ 2,616,156 including grants of $) (Revenue $ 4,434,104)

INSTITUTES SECTOR - PROVIDING A FOCUSED ARENA FOR BUSINESS ACTIVITIES RELEVANT TO IDENTIFIED TECHNICAL, EDUCATIONAL OR TECHNOLOGICAL ENDEAVORS IS THE UNDERLYING PURPOSE OF ASME'S INSTITUTES AREA INSTITUTES WILL BE INVOLVED WITH MATURE TECHNICAL OR EDUCATIONAL AREAS, WHICH STILL AFFORD ROOM FOR INNOVATION, OR RAPIDLY ADVANCING TECHNOLOGIES, WHERE IMPLEMENTATION STRATEGIES ARE STILL DEVELOPING

(Code) (Expenses $ 5,964,778 including grants of $) (Revenue $ 6,922,412)

INSTITUTES/TRAINING - PROVIDES CONTINUING EDUCATION COURSES FOR MECHANICAL ENGINEERS, AND PERFORMS THE DEVELOPMENT AND ACCREDITATION OF ENGINEERING CURRICULA ADDITIONALLY, THIS AREA HOLDS MEETINGS, CONFERENCES, AND EXHIBITS FOR THE ADVANCED TECHNOLOGIES PROGRAMS, THE INTERNATIONAL GAS TURBINE INSTITUTE, AND THE INTERNATIONAL PETROLEUM TECHNOLOGY INSTITUTE

4d Other program services (Describe in Schedule O)
(Expenses $ 26,208,246 including grants of $ 477,971) (Revenue $ 15,234,608)

4e **Total program service expenses** ▶$ 65,449,402

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*	11e		No
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X.*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a		No
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Yes	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Part I*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II and IV*	15	Yes	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III and IV*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? **Note.** All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	353			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	2a	380			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b	Yes	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?			4a	Yes	
b	If "Yes," enter the name of the foreign country ▶UK, CH See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state			13a		
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the aggregate amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .			14b		

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	**1a** 11			
b	Enter the number of voting members included in line 1a, above, who are independent	**1b** 11			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .		**5**		No
6	Did the organization have members or stockholders?		**6**	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		**7a**	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		**7b**	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body?		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		**9**		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	**10a**	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	**12a**	Yes	
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	**12c**	Yes	
13	Did the organization have a written whistleblower policy?	**13**	Yes	
14	Did the organization have a written document retention and destruction policy?	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	**15a**	Yes	
b	Other officers or key employees of the organization	**15b**	Yes	
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ CA , GA , IN , NJ , NY , DC

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

THOMAS MEEHAN CONTROLLER
22 LAW DRIVE
FAIRFIELD, NJ 07004
(973) 244-2291

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . .

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) AMOS E HOLT PAST PRESIDENT	4 00	X						0	0	0
(2) BETTY L BOWERSOX MEMBER-AT-LARGE	4 00	X						0	0	0
(3) CHARLA K WISE MEMBER-AT-LARGE	4 00	X						0	0	0
(4) EDMUND J SEIDERS MEMBER-AT-LARGE	4 00	X						0	0	0
(5) J ROBERT SIMS JR MEMBER-AT-LARGE	4 00	X						0	0	0
(6) JULIO C GUERRERO MEMBER-AT-LARGE	4 00	X						0	0	0
(7) K K ROE MEMBER-AT-LARGE	4 00	X						0	0	0
(8) M KOTB MEMBER-AT-LARGE	4 00	X						0	0	0
(9) MARC W GOLDSMITH PRESIDENT-ELECT	4 00	X						0	0	0
(10) RICHARD C BENSON MEMBER-AT-LARGE	4 00	X						0	0	0
(11) ROBERT N PANGBORN MEMBER-AT-LARGE	4 00	X						0	0	0
(12) ROBERT T SIMMONS PAST PRESIDENT	4 00	X		X				0	0	0
(13) S CARMI MEMBER-AT-LARGE	4 00	X						0	0	0
(14) SAID JAHANMIR MEMBER-AT-LARGE	4 00	X						0	0	0
(15) THOMAS D PESTORIUS MEMBER-AT-LARGE	4 00	X						0	0	0
(16) VICTORIA A ROCKWELL PRESIDENT	4 00	X						0	0	0
(17) JOHN DELLI VENNERI ASSISTANT SECRETARY	40 00			X				284,922	0	40,576

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(18) MICHAEL K WEIS ASSISTANT TREASURER	40 00			X				344,606	0	122,442
(19) THOMAS G LOUGHLIN EXECUTIVE DIRECTOR	40 00			X				526,039	0	318,398
(20) WEBB MARNER SECRETARY AND TREASURER	40 00			X				0	0	0
(21) JUNE LING ASSOCIATE EXECUTIVE DIRECTOR	40 00				X			348,038	0	301,142
(22) ROY ARBEIT MANAGING DIRECTOR	40 00				X			264,043	0	35,544
(23) JAMES R MEISINGER MANAGING DIRECTOR	40 00					X		244,019	0	128,134
(24) MARK E SHEEHAN MANAGING DIRECTOR	40 00					X		253,453	0	221,254
(25) MICHAEL M MERKER MANAGING DIRECTOR	40 00					X		272,583	0	219,579
(26) PHILIP W HAMILTON ASSOCIATE EXECUTIVE DIRECTOR	40 00					X		310,768	0	282,125
(27) WILLIAM BERGER MANAGING DIRECTOR	40 00					X		260,517	0	228,873
(28) WARREN R LEONARD ASSISTANT SECRETARY (FORME	40 00						X	185,060	0	149,071

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ►			
c	**Total from continuation sheets to Part VII, Section A** . . . . ►			
d	**Total (add lines 1b and 1c)** . . . . ►	3,294,048	0	2,047,138

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ►113

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**	Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
3 PARK AVENUE LLC C/O COHEN BROTHERS REALTY PO BOX 3 PITTSBURGH, PA 15251	RENT AND PROPERTY SERVICES	3,356,139
HUGE LLC PO BOX 7247-7387 PHILADELPHIA, PA 19170	WEBSITE DESIGN	2,669,013
PATTERSON BELKNAP WEBB TYLER 1133 AVENUE OF THE AMERICAS NEW YORK, NY 10036	LEGAL SERVICES	800,108
DELL MARKETING LP C/O DELL USA LP PO BOX 64356 PITTSBURGH, PA 15264	COMPUTERS	689,326
CDW DIRECT LLC PO BOX 75723 CHICAGO, IL 60675	COMPUTERS	431,951

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ►37

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . . 1a					
	b	Membership dues 1b	8,184,455				
	c	Fundraising events 1c					
	d	Related organizations . . . 1d	499,448				
	e	Government grants (contributions) 1e					
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	1,642,519				
	g	Noncash contributions included in lines 1a-1f $ ________					
	h	**Total.** Add lines 1a-1f . . . . ▶		10,326,422			
Program Service Revenue			Business Code				
	2a	CONFORMITY ASSESSMENT	611430	25,996,039	25,996,039		
	b	TRAINING AND DEVELOPME	511130	6,922,412	6,922,412		
	c	INSTITUTES SECTOR	611430	4,415,775	4,415,775		
	d	KNOWLEDGE AND COMMUNIT	611430	3,844,124	3,844,124		
	e	PUBLICATIONS	511120	1,757,987	218,123	1,539,864	
	f	All other program service revenue		1,128,844	1,035,181	93,663	
	g	**Total.** Add lines 2a–2f . . . . ▶		44,065,181			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts) . . . . . ▶		3,277,473			3,277,473
	4	Income from investment of tax-exempt bond proceeds . . ▶					
	5	Royalties . . . . ▶		2,608,906			2,608,906
			(i) Real / (ii) Personal				
	6a	Gross rents	(i) 80,486				
	b	Less rental expenses	(i) 63,862				
	c	Rental income or (loss)	(i) 16,624				
	d	Net rental income or (loss) . . . . ▶		16,624			16,624
			(i) Securities / (ii) Other				
	7a	Gross amount from sales of assets other than inventory	(i) 65,228,976				
	b	Less cost or other basis and sales expenses	(i) 63,441,718				
	c	Gain or (loss)	(i) 1,787,258				
	d	Net gain or (loss) . . . . ▶		1,787,258			1,787,258
	8a	Gross income from fundraising events (not including $ ________ of contributions reported on line 1c) See Part IV, line 18 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from fundraising events . . ▶					
	9a	Gross income from gaming activities See Part IV, line 19 . . . a					
	b	Less direct expenses . . . b					
	c	Net income or (loss) from gaming activities . . . ▶					
	10a	Gross sales of inventory, less returns and allowances . a	46,881,718				
	b	Less cost of goods sold . . b	11,334,559				
	c	Net income or (loss) from sales of inventory . . ▶		35,547,159	35,547,159		
		Miscellaneous Revenue	Business Code				
	11a	OTHER INCOME	900001	1,604,114	1,604,114		
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a–11d . . . . . . ▶		1,604,114			
	12	**Total revenue.** See Instructions . . . ▶		99,233,137	79,582,927	1,633,527	7,690,261

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)
Check if Schedule O contains a response to any question in this Part IX . . . . ☐

	Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States See Part IV, line 21	442,564	442,564		
2	Grants and other assistance to individuals in the United States See Part IV, line 22				
3	Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	35,407	35,407		
4	Benefits paid to or for members				
5	Compensation of current officers, directors, trustees, and key employees	2,670,444	1,800,899	869,545	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages	32,480,223	23,466,723	9,013,500	
8	Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	3,810,340	2,862,457	947,883	
9	Other employee benefits	3,734,997	1,063,488	2,671,509	
10	Payroll taxes	2,168,604	1,566,800	601,804	
11	Fees for services (non-employees)				
a	Management				
b	Legal	806,143	126,038	680,105	
c	Accounting	812,415	3,786	808,629	
d	Lobbying	237,867		237,867	
e	Professional fundraising *See Part IV, line 17* . .				
f	Investment management fees	245,297		245,297	
g	Other	17,449,396	15,089,318	2,360,078	
12	Advertising and promotion	1,731,012	1,713,591	17,421	
13	Office expenses	1,466,733	1,223,121	243,612	
14	Information technology	196,367	115,098	81,269	
15	Royalties . .	129,197	129,197		
16	Occupancy	8,117,552	1,374,051	6,743,501	
17	Travel	5,120,851	4,422,988	697,863	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	5,797,442	5,519,600	277,842	
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	3,962,693	1,051,271	2,911,422	
23	Insurance	175,817	77,000	98,817	
24	Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a	PROFESSIONAL SUPPORT	998,070	891,361	106,709	
b	AWARDS & HONORARIUMS	986,776	922,683	64,093	
c	CONFORMITY ASSESSMENTS	409,853	409,853		
d	PUBLICATION PRODUCTION	126,688	126,104	584	
e					
f	All other expenses	1,140,168	1,016,004	124,164	
25	**Total functional expenses.** Add lines 1 through 24f	95,252,916	65,449,402	29,803,514	0
26	**Joint costs.** Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	1,443,701	1	2,732,623
	2	Savings and temporary cash investments	13,487,010	2	6,773,705
	3	Pledges and grants receivable, net		3	
	4	Accounts receivable, net	9,175,975	4	9,180,144
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use	745,393	8	643,494
	9	Prepaid expenses and deferred charges	2,683,080	9	2,847,341
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D* — 10a: 41,349,828			
	b	Less accumulated depreciation — 10b: 25,805,890	13,206,497	10c	15,543,938
	11	Investments—publicly traded securities	119,197,456	11	118,101,265
	12	Investments—other securities See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11		15	
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	159,939,112	16	155,822,510
Liabilities	17	Accounts payable and accrued expenses	27,238,845	17	40,184,938
	18	Grants payable		18	
	19	Deferred revenue	42,933,705	19	36,948,072
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		25	
	26	**Total liabilities.** Add lines 17 through 25	70,172,550	26	77,133,010
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	89,229,906	27	78,182,300
	28	Temporarily restricted net assets	400,089	28	370,633
	29	Permanently restricted net assets	136,567	29	136,567
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	89,766,562	33	78,689,500
	34	Total liabilities and net assets/fund balances	159,939,112	34	155,822,510

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	99,233,137
2	Total expenses (must equal Part IX, column (A), line 25)	2	95,252,916
3	Revenue less expenses Subtract line 2 from line 1	3	3,980,221
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . .	4	89,766,562
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-15,057,283
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	78,689,500

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☑

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? . .	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits . .	3b		

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☑ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		
(ii) a family member of a person described in (i) above?	**11g(ii)**		
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col (i) of your support? Yes	(v) No	(vi) Is the organization in col (i) organized in the U S ? Yes	(vi) No	(vii) Amount of support?
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (See instructions) **12**

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f)) **14**

15 Public Support Percentage for 2010 Schedule A, Part II, line 14 **15**

16a **33 1/3% support test—2011.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

b **33 1/3% support test—2010.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶

17a **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶

b **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ▶

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ▶

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	9,062,391	8,899,407	8,846,922	10,312,083	10,326,422	47,447,225
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	79,297,185	73,322,217	76,687,494	92,359,704	89,313,372	410,979,972
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5	88,359,576	82,221,624	85,534,416	102,671,787	99,639,794	458,427,197
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b						0
8 **Public Support** (Subtract line 7c from line 6)						458,427,197

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
9 Amounts from line 6	88,359,576	82,221,624	85,534,416	102,671,787	99,639,794	458,427,197
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	4,926,818	4,982,671	4,460,233	4,759,212	5,966,865	25,095,799
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975	2,297,631	1,863,219	1,550,922	1,703,568	1,633,527	9,048,867
c Add lines 10a and 10b	7,224,449	6,845,890	6,011,155	6,462,780	7,600,392	34,144,666
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)			46,985		1,604,114	1,651,099
13 **Total support** (Add lines 9, 10c, 11 and 12)	95,584,025	89,067,514	91,592,556	109,134,567	108,844,300	494,222,962

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	15	92 760 %
16 Public support percentage from 2010 Schedule A, Part III, line 15	16	92 930 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2011** (line 10c column (f) divided by line 13 column (f))	17	6 910 %
18 Investment income percentage from **2010** Schedule A, Part III, line 17	18	7 060 %

19a **33 1/3% support tests—2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☑

b **33 1/3% support tests—2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
AMERICAN SOCIETY OF MECHANICAL ENGINEERS	13-1623899

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV
2 Political expenditures ▶ $
3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $
2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $
3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
4a Was a correction made? ☐ Yes ☐ No
b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $
2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $
3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $
4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

	Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	237,867	
c	Total lobbying expenditures (add lines 1a and 1b)	237,867	
d	Other exempt purpose expenditures	95,015,049	
e	Total exempt purpose expenditures (add lines 1c and 1d)	95,252,916	
f	Lobbying nontaxable amount Enter the amount from the following table in both columns	1,000,000	

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)	250,000	
h	Subtract line 1g from line 1a If zero or less, enter -0-	0	
i	Subtract line 1f from line 1c If zero or less, enter -0-	0	
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	☐ **Yes**	☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

	Calendar year (or fiscal year beginning in)	**(a)** 2008	**(b)** 2009	**(c)** 2010	**(d)** 2011	**(e)** Total
2a	Lobbying non-taxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b	Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c	Total lobbying expenditures	110,800	112,494	134,978	237,867	596,139
d	Grassroots non-taxable amount	250,000	250,000	250,000	250,000	1,000,000
e	Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f	Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities? If "Yes," describe in Part IV			
j	Total lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Identifier	Return Reference	Explanation

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SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure)
☐ Preservation of an historically importantly land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ►________

4 Number of states where property subject to conservation easement is located ►________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ►________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ► $ ________

(ii) Assets included in Form 990, Part X ► $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ► $ ________

b Assets included in Form 990, Part X ► $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current Year	(b) Prior Year	(c) Two Years Back	(d) Three Years Back	(e) Four Years Back
1a Beginning of year balance	2,076,697	1,918,842	1,651,043	2,516,899	
b Contributions		500	95,839		
c Investment earnings or losses	18,817	388,445	259,116	-451,985	
d Grants or scholarships				4,580	
e Other expenditures for facilities and programs	40,581	231,090	87,156	409,291	
f Administrative expenses	417,479				
g End of year balance	1,637,454	2,076,697	1,918,842	1,651,043	

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 69 030 %

b Permanent endowment ▶ 8 340 %

c Term endowment ▶ 22 630 %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	No
(i) unrelated organizations	3a(i)		No
(ii) related organizations	3a(ii)		No
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		583,077		583,077
b Buildings		2,805,797	1,114,977	1,690,820
c Leasehold improvements		5,191,324	3,674,740	1,516,584
d Equipment		26,946,647	15,655,302	11,291,345
e Other		5,822,983	5,360,871	462,112
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				15,543,938

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	

2. Fin 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC740)

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	99,233,137
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	95,252,916
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	3,980,221
4	Net unrealized gains (losses) on investments	4	-4,300,223
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-10,757,060
9	Total adjustments (net) Add lines 4 - 8	9	-15,057,283
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-11,077,062

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	106,086,039
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	-4,300,223		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	-4,300,223
3	Subtract line **2e** from line **1**			3	110,386,262
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	245,296		
b	Other (Describe in Part XIV)	4b	-11,398,421		
c	Add lines **4a** and **4b**			4c	-11,153,125
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	99,233,137

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	106,406,041
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	0
3	Subtract line **2e** from line **1**			3	106,406,041
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	245,296		
b	Other (Describe in Part XIV)	4b	-11,398,421		
c	Add lines **4a** and **4b**			4c	-11,153,125
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	95,252,916

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
DESCRIPTION OF INTENDED USE OF ENDOWMENT FUNDS	PART V, LINE 4	LINE 4 - ENDOWMENT FUNDS ARE USED FOR MECHANICAL ENGINEERING AWARDS AND MEDALS
DESCRIPTION OF UNCERTAIN TAX POSITIONS UNDER FIN 48	PART X	ASME HAS NO UNCERTAIN TAX POSITIONS AS OF JUNE 30, 2012 AND 2011 IN ACCORDANCE WITH ACCOUNTING STANDARDS CODIFICATION ("ASC") TOPIC 740, "INCOME TAXES," WHICH PROVIDES STANDARDS FOR ESTABLISHING AND CLASSIFYING ANY TAX PROVISIONS FOR UNCERTAIN TAX POSITIONS ASME IS NO LONGER SUBJECT TO FEDERAL OR STATE AND LOCAL INCOME TAX EXAMINATIONS BY TAX AUTHORITIES FOR THE YEAR ENDED JUNE 30, 2009 AND PRIOR YEARS
PART XI, LINE 8 - OTHER ADJUSTMENTS		POST RETIREMENT BENEFITS -10,757,060
PART XII, LINE 4B - OTHER ADJUSTMENTS		RENTAL EXPENSE -63,862 COST OF GOODS SOLD -11,334,559
PART XIII, LINE 4B - OTHER ADJUSTMENTS		COST OF GOODS SOLD -11,334,559 RENTAL EXPENSE -63,862

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☐ Yes ☑ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States

3 Activites per Region (Use Part V if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region or independent contractors	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region/investments in region
EAST ASIA AND THE PACIFIC	1	4	PROGRAM SERVICES	ASME, THROUGH A WHOLLY OWNED ASME PACIFIC LLC, PROMOTES UNDERSTANDING AND THE USE OF CODES AND STANDARDS PROGRAMS IN THE ASIA/PACIFIC MARKETS, SERVING AS AN INQUIRY POINT FOR INFORMATION REGARDING ASME EDUCATIONAL COURSES AND SEMINARS, AND FACILITATING NEW MEMBER RECRUITMENT AND CONFERENCE DEVELOPMENT	409,192
EUROPE	1	5	PROGRAM SERVICES	ASME THROUGH INDEPENDENT CONTRACTORS, PROMOTES DIALOGUE WITH LOCAL ENGINEERS, CORPORATIONS, EDUCATIONAL INSTITUTIONS, AND TECHNICAL ASSOCIATIONS AS WELL AS PROVIDE LOGISTICAL SUPPORT FOR ASME EVENTS SUCH AS CONTINUING EDUCATION AND WORKSHOPS	704,593
INDIA	1	2	PROGRAM SERVICES	ASME THROUGH INDEPENDENT CONTRACTORS, PROMOTING UNDERSTANDING AND USE OF ASME PROGRAM OR SERVICES, AND EXPANDING RELATIONSHIPS WITH VARIOUS ORGANIZATIONS AND INSTITUTIONS IN THE COUNTRY	275,500
3a Sub-total	3	11			1,389,285
b Total from continuation sheets to Part I	0	0			0
c **Totals** (add lines 3a and 3b)	3	11			1,389,285

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . ▶ ☐
Use Part V if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SECTOR OPERATIONS - APPROPRIATIONS	5,932	WIRE			
		SOUTH ASIA	SECTOR OPERATIONS - APPROPRIATIONS	8,185	WIRE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶ 2

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Part V if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

1	Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*	☐ Yes	☑ No
2	Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*	☐ Yes	☑ No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*	☐ Yes	☑ No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*	☐ Yes	☑ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*	☐ Yes	☑ No
6	Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*	☐ Yes	☑ No

Additional Data

Software ID:
Software Version:
EIN: 13-1623899
Name: AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Part V Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☐ Yes ☑ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . ▶ ☐

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . ▶ 24

3 Enter total number of other organizations listed in the line 1 table . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
PROCEDURE FOR MONITORING GRANTS IN THE U S	PART I, LINE 2	SCHEDULE I, PART I, LINE 2 THE ORGANIZATION DOES NOT MONITOR THE USE OF GRANT FUNDS IN THE U S

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-1623899
Name: AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME ANTHRACITE-LEHIGH VALLEY22 LAW DR FAIRFIELD,NJ 07004	22-3135864	501 (C)(3)	5,298				SECTOR OPERATIONS - APPROPRIATIONS
ASME ARIZONA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136341	501 (C)(3)	7,712				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME ATLANTA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136269	501 (C)(3)	8,982				SECTOR OPERATIONS - APPROPRIATIONS
ASME BALTIMORE SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135865	501 (C)(3)	8,197				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME CENTRAL INDIANA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135996	501 (C)(3)	6,017				SECTOR OPERATIONS - APPROPRIATIONS
ASME CHICAGO SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136001	501 (C)(3)	9,317				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME COLORADO SECTION22 LAW DR FAIRFIELD,NJ 07004	84-1188998	501 (C)(3)	8,365				SECTOR OPERATIONS - APPROPRIATIONS
ASME EASTERN NORTH CAROLINA SECT 22 LAW DR FAIRFIELD,NJ 07004	22-3135916	501 (C)(3)	5,699				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME FLORIDA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136275	501 (C)(3)	5,633				SECTOR OPERATIONS - APPROPRIATIONS
ASME FLORIDA WEST COAST SECT22 LAW DR FAIRFIELD,NJ 07004	22-3136278	501 (C)(3)	5,172				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME GREENVILLE SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135919	501 (C)(3)	5,549				SECTOR OPERATIONS - APPROPRIATIONS
ASME HARTFORD SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135830	501 (C)(3)	6,388				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME LOS ANGELES SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136213	501 (C)(3)	6,000				SECTOR OPERATIONS - APPROPRIATIONS
ASME METROPOLITAN SCTN22 LAW DR FAIRFIELD,NJ 07004	22-3135849	501 (C)(3)	6,250				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME MINNESOTA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136182	501 (C)(3)	10,653				SECTOR OPERATIONS - APPROPRIATIONS
ASME NORTH TEXAS SECTION 22 LAW DR FAIRFIELD,NJ 07004	22-3136244	501 (C)(3)	6,795				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME OREGON SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136200	501 (C)(3)	5,118				SECTOR OPERATIONS - APPROPRIATIONS
ASME PHILADELPHIA SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135886	501 (C)(3)	10,426				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME SAINT LOUIS UNIVERSITY22 LAW DR FAIRFIELD,NJ 07004	22-3136191	501 (C)(3)	6,196				SECTOR OPERATIONS - APPROPRIATIONS
ASME SAN DIEGO SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136218	501 (C)(3)	6,029				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME SANTA CLARA VALLEY SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3136220	501 (C)(3)	9,695				SECTOR OPERATIONS - APPROPRIATIONS
ASME SOUTH TEXAS SECTION 22 LAW DR FAIRFIELD,NJ 07004	22-3136248	501 (C)(3)	15,184				SECTOR OPERATIONS - APPROPRIATIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
ASME WASHINGTON DC SECTION22 LAW DR FAIRFIELD,NJ 07004	22-3135910	501 (C)(3)	12,337				SECTOR OPERATIONS - APPROPRIATIONS
THE ASME FOUNDATION INC 1828 L STREET WASHINGTON,DC 20036	13-3372934	501 (C)(3)	39,000				SECTOR OPERATIONS - APPROPRIATIONS

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Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☑ Travel for companions ☐ Payments for business use of personal residence ☑ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☑ Compensation committee ☑ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) JOHN DELLI VENNERI	(i)	238,675	45,000	1,247	11,800	28,776	325,498	0
	(ii)	0	0	0	0	0	0	0
(2) MICHAEL K WEIS	(i)	279,994	63,136	1,476	96,879	25,563	467,048	0
	(ii)	0	0	0	0	0	0	0
(3) THOMAS G LOUGHLIN	(i)	406,469	98,640	20,930	291,826	26,572	844,437	0
	(ii)	0	0	0	0	0	0	0
(4) JUNE LING	(i)	292,141	53,100	2,797	285,308	15,834	649,180	0
	(ii)	0	0	0	0	0	0	0
(5) ROY ARBEIT	(i)	219,182	41,580	3,281	11,539	24,005	299,587	0
	(ii)	0	0	0	0	0	0	0
(6) JAMES R MEISINGER	(i)	213,363	29,987	669	126,934	1,200	372,153	0
	(ii)	0	0	0	0	0	0	0
(7) MARK E SHEEHAN	(i)	219,531	31,903	2,019	210,700	10,554	474,707	0
	(ii)	0	0	0	0	0	0	0
(8) MICHAEL M MERKER	(i)	239,579	31,903	1,101	215,729	3,850	492,162	0
	(ii)	0	0	0	0	0	0	0
(9) PHILIP W HAMILTON	(i)	259,384	48,834	2,550	255,793	26,332	592,893	0
	(ii)	0	0	0	0	0	0	0
(10) WILLIAM BERGER	(i)	225,659	32,775	2,083	218,970	9,903	489,390	0
	(ii)	0	0	0	0	0	0	0
(11) WARREN R LEONARD	(i)	145,207	0	39,853	137,004	12,067	334,131	0
	(ii)	0	0	0	0	0	0	0

Part III **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
	PART I, LINES 4A-B	PART I, LINES 4A-B SERVERANCE PAYMENTS WARREN LEONARD $39,208 SERP PAYMENTS MICHAEL K WEIS $20,248 JUNE LING $55,543 PHILIP W HAMILTON $37,937 THOMAS G LOUGHLIN $139,577
SUPPLEMENTAL INFORMATION	PART III	SCH J, PART II, COLUMN(C) - THIS AMOUNTS INCLUDE AMONG OTHERS THE INCREASE IN ACTUARIAL VALUE OF DEFINED BENEFIT PLAN

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION A, LINE 6	ASME IS A MEMBERSHIP ORGANIZATION AND HAS NO STOCKHOLDERS
	FORM 990, PART VI, SECTION A, LINE 7A	ASME'S MEMBERS ELECT MEMBERS OF THE ASME BOARD OF GOVERNORS
	FORM 990, PART VI, SECTION A, LINE 7B	DECISIONS BY THE GOVERNING BODY TO CHANGE THE ASME CONSTITUTION ARE SUBJECT TO APPROVAL BY MEMBERS
	FORM 990, PART VI, SECTION B, LINE 11	THE ASME FORM 990 AND SUPPORTING SCHEDULES ARE PREPARED BY INDEPENDENT ACCOUNTING FIRM, AND SUBMITTED TO UPPER MANAGEMENT FOR REVIEW ALL REVIEW NOTES ARE CLEARED, AND CHANGES ARE MADE, AND THE DRAFT IS MADE AVAILABLE TO THE ASME BOARD OF GOVERNORS (BOG) ON THE BOG SECURE WEBSITE AT THE END OF THE REVIEW PERIOD, THE FINAL DRAFT IS GIVEN TO ASME'S EXTERNAL ACCOUNTING FIRM FOR ELECTRONIC FILING BY DUE DATE
	FORM 990, PART VI, SECTION B, LINE 12C	THE CONFLICT OF INTEREST POLICY IS REVIEWED AND SIGNED ANNUALLY BY THE ASME BOARD OF GOVERNORS
	FORM 990, PART VI, SECTION B, LINE 15	THE PROCESS FOR DETERMINING THE COMPENSATION OF THE EXECUTIVE DIRECTOR INCLUDES A REVIEW OF COMPARABILITY DATA BY AN INDEPENDENT COMPENSATION CONSULTANT, AS WELL AS REVIEW AND APPROVAL BY INDEPENDENT PERSONS, AND THE CONTEMPORANEOUS SUBSTANTIATION OF THE DELIBERATION AND DECISION THE PROCESS FOR DETERMINING THE COMPENSATION OF OTHER OFFICERS INCLUDES A REVIEW OF THE COMPARABILITY DATA PROVIDED BY AN INDEPENDENT COMPENSATION CONSULTANT ANNUALLY, THIS INDEPENDENT CONSULTANT PROVIDES AN UPDATE ON COMPENSATION FOR ALL STAFF, WHICH REQUIRES THE REVIEW AND APPROVAL BY INDEPENDENT PERSONS OF STAFF SALARY RANGES, AVERAGE SALARY INCREASES, AND INCENTIVE COMPENSATION STRUCTURES THESE INDEPENDENT PERSONS ALSO REVIEW, IN AGGREGATE, THE AVERAGE COMPENSATION, RELATIVE TO SALARY BAND MIDPOINT, OF INDIVIDUALS ASSIGNED TO EXEMPT SALARY BANDS
	FORM 990, PART VI, SECTION C, LINE 19	THE ASME CONSTITUTION, BY-LAWS, SOCIETY POLICIES INCLUDING THE CONFLICT-OF-INTEREST POLICY, AND THE ASME FINANCIAL STATEMENTS ARE MADE AVAILABLE UPON REQUEST
CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 5	NET UNREALIZED LOSSES ON INVESTMENTS -4,300,223 POST RETIREMENT BENEFITS -10,757,060 TOTAL TO FORM 990, PART XI, LINE 5 -15,057,283
	FORM 990, PART XII, LINE 2C	THE SELECTION AND OVERSIGHT PROCESS DID NOT CHANGE FROM PRIOR YEAR

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Employer identification number
13-1623899

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) INNOVATIVE TECHNOLOGIES INSTITUTE LLC 1828 L STREET NW SUITE 810 WASHINGTON, DC 200365104 20-1285874	CRIT ASSET PROT STDS	NY	651,542	281,712	ASME
(2) STANDARD TECHNOLOGY LLC TWO PARK AVENUE NEW YORK, NY 10016 20-1471292	DEV NEW CODES AND STDS	NY	1,027,812	1,263,863	ASME
(3) ASIA PACIFIC LLC UNIT 07B TWIN TOWERS BEIJING, CHAOYANG 100022 CH 20-5946886	STDS INFO TRAINING	CH	409,192	92,820	ASME
(4) ENGINEERING FOR CHANGE LLC TWO PARK AVENUE NEW YORK, NY 10016 27-0287469	PROVIDING MULTIDISCIPLINARY ENGGINEERING AND ALLIED SCIENCES EXPERTISE	DE	1,075,500	343,905	ASME
(5) ASME EAST ASIA HOLDING LLC 2711 CENTERVILLE ROAD SUITE 400 WILMINGTON, DE 19808 11-1239908	PROVIDING MULTIDISCIPLINARY ENGGINEERING AND ALLIED SCIENCES EXPERTISE	DE	0	0	ASME

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization	
						Yes	No
(1) ASME AUXILIARY INC TWO PARK AVENUE NEW YORK, NY 10016 13-6128507	SCHOOL AND STUDENT LOANS	NY	501(C)(3)	LINE 11B, II	ASME		No
(2) ASME FOUNDATION INC TWO PARK AVENUE NEW YORK, NY 10016 13-3372934	ME AWARDS & HONORS	NY	501(C)(3)	LINE 11B, II	ASME		No
(3) THE ASME MINING & EXCAVATION RESEARCH INSTITUTE TWO PARK AVENUE NEW YORK, NY 10016 52-1582156	ENGINEERING ASSOCIATION	NY	501(C)(3)	LINE 11B, II	ASME		No

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

		Yes	No
Note. Complete line 1 if any entity is listed in Parts II, III or IV			
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity	**1a**		No
b Gift, grant, or capital contribution to related organization(s)	**1b**	Yes	
c Gift, grant, or capital contribution from related organization(s)	**1c**	Yes	
d Loans or loan guarantees to or for related organization(s)	**1d**		No
e Loans or loan guarantees by related organization(s)	**1e**		No
f Sale of assets to related organization(s)	**1f**		No
g Purchase of assets from related organization(s)	**1g**		No
h Exchange of assets with related organization(s)	**1h**		No
i Lease of facilities, equipment, or other assets to related organization(s)	**1i**	Yes	
j Lease of facilities, equipment, or other assets from related organization(s)	**1j**		No
k Performance of services or membership or fundraising solicitations for related organization(s)	**1k**		No
l Performance of services or membership or fundraising solicitations by related organization(s)	**1l**		No
m Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	**1m**		No
n Sharing of paid employees with related organization(s)	**1n**	Yes	
o Reimbursement paid to related organization(s) for expenses	**1o**		No
p Reimbursement paid by related organization(s) for expenses	**1p**		No
q Other transfer of cash or property to related organization(s)	**1q**		No
r Other transfer of cash or property from related organization(s)	**1r**		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	**(b)** Transaction type(a-r)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation

Additional Data

Software ID:
Software Version:
EIN: 13-1623899
Name: AMERICAN SOCIETY OF MECHANICAL ENGINEERS

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ 8,970,214 including grants of $) (Revenue $ 3,844,124)

KNOWLEDGE & COMMUNITY - ANNUALLY PERFORMS RESEARCH ON TECHNICAL MECHANICAL ENGINEERING ISSUES, AND HOLDS TECHNICAL MEETINGS AND CONFERENCES FOR MECHANICAL ENGINEERS ON A VARIETY OF MECHANICAL ENGINEERING TOPICS AND SUBJECTS

(Code) (Expenses $ 8,657,098 including grants of $ 477,971) (Revenue $ 33,968)

PUBLIC AFFAIRS AND OUTREACH - THE PUBLIC AFFAIRS AND OUTREACH SECTOR, UNDER THE DIRECTION OF THE BOARD OF GOVERNORS, IS RESPONSIBLE FOR THE COORDINATED OUTREACH TO INDUSTRY, GOVERNMENT, EDUCATION, AND THE PUBLIC AS WELL AS INITIATIVES THAT ADDRESS DIVERSITY AND GLOBAL DEVELOPMENT PROGRAMS THE PUBLIC AFFAIRS AND OUTREACH SECTOR IS LED BY A COUNCIL THE UNITS OF THE SECTOR INCLUDE THE BOARD ON EDUCATION, BOARD ON GLOBAL OUTREACH, BOARD ON GOVERNMENT RELATIONS, INDUSTRY ADVISORY BOARD, DIVERSITY AND INCLUSION STRATEGY COMMITTEE, INNOVATION COMMITTEE AND THE STRATEGIC ISSUES COMMITTEE

Form 990, Part III - 4 Program Service Accomplishments (See the Instructions)

4d. Other program services

(Code) (Expenses $ 2,616,156 including grants of $) (Revenue $ 4,434,104)

INSTITUTES SECTOR - PROVIDING A FOCUSED ARENA FOR BUSINESS ACTIVITIES RELEVANT TO IDENTIFIED TECHNICAL, EDUCATIONAL OR TECHNOLOGICAL ENDEAVORS IS THE UNDERLYING PURPOSE OF ASME'S INSTITUTES AREA INSTITUTES WILL BE INVOLVED WITH MATURE TECHNICAL OR EDUCATIONAL AREAS, WHICH STILL AFFORD ROOM FOR INNOVATION, OR RAPIDLY ADVANCING TECHNOLOGIES, WHERE IMPLEMENTATION STRATEGIES ARE STILL DEVELOPING

(Code) (Expenses $ 5,964,778 including grants of $) (Revenue $ 6,922,412)

INSTITUTES/TRAINING - PROVIDES CONTINUING EDUCATION COURSES FOR MECHANICAL ENGINEERS, AND PERFORMS THE DEVELOPMENT AND ACCREDITATION OF ENGINEERING CURRICULA ADDITIONALLY, THIS AREA HOLDS MEETINGS, CONFERENCES, AND EXHIBITS FOR THE ADVANCED TECHNOLOGIES PROGRAMS, THE INTERNATIONAL GAS TURBINE INSTITUTE, AND THE INTERNATIONAL PETROLEUM TECHNOLOGY INSTITUTE