Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 07-01-2011 and ending 06-30-2012

B Check if applicable
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
UNIVERSITY OF SAINT JOSEPH

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
1678 ASYLUM AVENUE

City or town, state or country, and ZIP + 4
WEST HARTFORD, CT 061172791

D Employer identification number
06-0646829

E Telephone number
(860) 232-4571

G Gross receipts $ 68,560,021

F Name and address of principal officer
PAMELA TROTMAN REID PHD
1678 ASYLUM AVENUE
WEST HARTFORD, CT 061172791

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) **Are all affiliates included?** ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶ 0928

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW USJ EDU

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1925

M State of legal domicile CT

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE UNIVERSITY OF SAINT JOSEPH PROVIDES A RIGOROUS LIBERAL ARTS AND PROFESSIONAL EDUCATION FOR A DIVERSE STUDENT POPULATION WHILE MAINTAINING A STRONG COMMITMENT TO DEVELOPING THE POTENTIAL OF WOMEN

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	25
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	24
5	Total number of individuals employed in calendar year 2011 (Part V, line 2a)	5	1,168
6	Total number of volunteers (estimate if necessary)	6	100
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	268,001
b	Net unrelated business taxable income from Form 990-T, line 34	7b	-110,855

			Prior Year	**Current Year**
Revenue	8	Contributions and grants (Part VIII, line 1h)	10,163,000	6,966,937
	9	Program service revenue (Part VIII, line 2g)	51,010,000	56,038,471
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	738,456	555,490
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	115,000	225,592
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	62,026,456	63,786,490
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	12,384,000	12,747,000
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	31,669,355	34,751,598
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶1,446,146		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	14,286,101	16,380,892
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	58,339,456	63,879,490
	19	Revenue less expenses Subtract line 18 from line 12	3,687,000	-93,000
Net Assets or Fund Balances			**Beginning of Current Year**	**End of Year**
	20	Total assets (Part X, line 16)	59,512,055	64,093,566
	21	Total liabilities (Part X, line 26)	26,711,055	32,462,566
	22	Net assets or fund balances Subtract line 21 from line 20	32,801,000	31,631,000

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

****** | 2013-05-10
Signature of officer | Date

Shawn M Harrington VP of Finance & Admin & Treasury
Type or print name and title

Paid Preparer's Use Only

Preparer's signature | Date | Check if self-employed ▶ ☐ | Preparer's taxpayer identification number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ KPMG LLP
One Financial Plaza
Hartford, CT 061032608

EIN ▶

Phone no ▶ (860) 522-3200

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

THE UNIVERSITY OF SAINT JOSEPH, FOUNDED BY THE SISTERS OF MERCY IN THE ROMAN CATHOLIC TRADITION, PROVIDES A RIGOROUS LIBERAL ARTS AND PROFESSIONAL EDUCATION FOR A DIVERSE STUDENT POPULATION WHILE MAINTAINING A STRONG COMMITMENT TO DEVELOPING THE POTENTIAL OF WOMEN THE UNIVERSITY IS A COMMUNITY WHICH PROMOTES THE GROWTH OF THE WHOLE PERSON IN A CARING ENVIRONMENT THAT ENCOURAGES STRONG ETHICAL VALUES, PERSONAL INTEGRITY, AND A SENSE OF RESPONSIBILITY TO THE NEEDS OF SOCIETY CORE VALUES *CATHOLIC IDENTITY* THE UNIVERSITY OF SAINT JOSEPH IS GROUNDED IN ITS HERITAGE AS A CATHOLIC INSTITUTION, EXPRESSING THE CATHOLIC TRADITION IN AN ECUMENICAL AND CRITICAL MANNER *COMMITMENT TO WOMEN* THE UNIVERSITY OF SAINT JOSEPH ENCOURAGES, INSPIRES, AND CHALLENGES EACH WOMAN TO DEVELOP EVERY ASPECT OF HER PERSONHOOD, INTELLECTUAL, SPIRITUAL, SOCIAL, EMOTIONAL, AND PHYSICAL *COMPASSIONATE SERVICE* THE UNIVERSITY OF SAINT JOSEPH PROMOTES, SUPPORTS, AND FACILITATES CARING SERVICE AS AN INTEGRAL PART O

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 42,573,307 including grants of $ 12,747,000) (Revenue $ 45,665,077)

COLLEGE AND MASTERS/DOCTORAL PROGRAMS THE UNIVERSITY OF SAINT JOSEPH, WHICH OFFERS OUTSTANDING EDUCATION VALUE IN UNDERGRADUATE, MASTERS, AND DOCTORAL PROGRAMS, IS LOCATED IN WEST HARTFORD, CONNECTICUT ENHANCED BY THE CATHOLIC INTELLECTUAL TRADITION AND THE VALUES OF THE SISTERS OF MERCY, THE UNIVERSITY ENCOURAGES STUDENTS TO THRIVE IN A CULTURE OF ACHIEVEMENT AND COLLABORATION WITH SMALL CLASSES TAUGHT BY EXPERT FACULTY, THE UNIVERSITY PROVIDES A VALUES-CENTERED EDUCATION IN AN ENVIRONMENT THAT FOSTERS LEADERSHIP, CONFIDENCE, AND CREATIVITY IN ADDITION TO THE UNDERGRADUATE WOMEN'S COLLEGE WITH 870 STUDENTS ENROLLED, THE UNIVERSITY OF SAINT JOSEPH HAS A CO-EDUCATIONAL GRADUATE AND PROFESSIONAL STUDIES PROGRAM OFFERING MASTER'S DEGREES AND CERTIFICATES TO 1,486 STUDENTS, A CO-EDUCATIONAL WEEKEND PROGRAM SERVING 169 ADULT LEARNERS PURSUING THEIR BACCALAUREATE DEGREES, AND A SCHOOL OF PHARMACY, LOCATED IN DOWNTOWN HARTFORD, CONNECTICUT OFFERING A UNIQUE THREE CALENDAR YEAR CO-EDUCATIONAL DOCTORAL DEGREE (PHARM D) PROGRAM IN PHARMACY THE SCHOOL OF PHARMACY'S INAUGURAL CLASS BEGAN IN AUGUST, 2011, WITH 67 STUDENTS

4b (Code) (Expenses $ 8,178,000 including grants of $) (Revenue $ 10,255,100)

MODEL SCHOOLS THE UNIVERSITY IS HOME TO TWO MODEL SCHOOLS THE GENGRAS CENTER AND THE SCHOOL FOR YOUNG CHILDREN THE GENGRAS CENTER IS A STATE-APPROVED PRIVATE SPECIAL EDUCATION FACILITY THAT PROVIDES HIGHLY STRUCTURED, INTENSIVE AND SELF-CONTAINED SPECIAL EDUCATION PROGRAMS FOR ELEMENTARY, MIDDLE AND HIGH SCHOOL STUDENTS IT SERVES 125 STUDENTS FROM SURROUNDING COMMUNITIES UNIVERSITY OF SAINT JOSEPH STUDENTS FULFILL REQUIRED CLASSES IN THE FIELDS OF SPECIAL EDUCATION, NURSING, SOCIAL WORK, COUNSELING, AND NUTRITION AT THE CENTER THE SCHOOL FOR YOUNG CHILDREN IS A NATIONALLY-ACCREDITED PRESCHOOL SERVING 150 CHILDREN SINCE 1936 IT SERVES AS A TRAINING PROGRAM FOR UNIVERSITY STUDENTS PURSUING CAREERS IN EARLY CHILDHOOD EDUCATION AND RELATED FIELDS

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶$ 50,751,307

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	Yes	
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is 'Yes,' then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*	11b		No
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX.*	11d	Yes	
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X.*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13	Yes	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Part I*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the U S ? *If "Yes," complete Schedule F, Part II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the U S ? *If "Yes," complete Schedule F, Part III and IV*	16		No
17	Did the organization report a total of more than $15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach its audited financial statement to this return? **Note.** All Form 990 filers that operated one or more hospitals must attach audited financial statements	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25*	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34	Yes	
35a	Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?	35a		No
b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V . . . . ☑

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	**1a** 205			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	**1b** 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		**1c**	Yes	
2a	Enter the number of employees reported on Form W-3, *Transmittal of Wage and Tax Statements* filed for the calendar year ending with or within the year covered by this return	**2a** 1,168			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		**2b**	Yes	
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		**3a**	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		**3b**	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?		**4a**		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		**5b**		No
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?		**6a**		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		**7a**	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		**7b**	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		**7c**		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		**7e**		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**7f**		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		**7g**		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		**7h**		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		**8**		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		**9a**		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		**9b**		
10	**Section 501(c)(7) organizations.** Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12	**10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**			
11	**Section 501(c)(12) organizations.** Enter				
a	Gross income from members or shareholders	**11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	**11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	**12b**			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state		**13a**		
b	Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**			
c	Enter the aggregate amount of reserves on hand	**13c**			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		**14a**		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		**14b**		

Part VI **Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a 25			
b	Enter the number of voting members included in line 1a, above, who are independent	1b 24			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		No
6	Did the organization have members or stockholders?		6	Yes	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a	Yes	
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b	Yes	
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body?		8a	Yes	
b	Each committee with authority to act on behalf of the governing body?		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		9		No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review the Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	Yes	
b	Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes	
13	Did the organization have a written whistleblower policy?	13	Yes	
14	Did the organization have a written document retention and destruction policy?	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	Yes	
b	Other officers or key employees of the organization	15b		No
	If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶ AK , AZ , CO , DC , KY , ME , MD , MA , MI , NH , NJ , OH , OK , OR , SC , WA , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public See Additional Data Table

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶

WILLIAM HAWKINS CONTROLLER
1678 ASYLUM AVENUE
WEST HARTFORD, CT 06117
(860) 231-5405

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . .

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation, and **current** key employees Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

1b	**Sub-Total** ▶			
c	**Total from continuation sheets to Part VII, Section A** ▶			
d	**Total (add lines 1b and 1c)** ▶	1,231,542	0	241,162

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶20

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	**3**		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	**4**	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	**5**		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
SODEXO INC AFFILIATES 9801 WASHINGTON BLVD GAITHERSBURG, MD 20878	FACILITIES MGMT	821,890
BON APPETIT CO COMPASS GROUP USA 2400 YORKMONT RD CHARLOTTE, NC 28217	FOOD SERVICES	1,305,910
K-12 TEACHERS ALLIANCE 20624 ABBEY WOODS CT NORTH FRANKFORT, IL 60423	MARKETING & ADMIN	897,521
NETWORK INTERIORS INC 55 ROBERT JACKSON WAY PLAINVILLE, CT 06062	CONSTRUCTION	313,862
PAC GROUP INC 34 HAYDEN RD HARWINTON, CT 06791	CONSTRUCTION	495,228

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶8

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a	Federated campaigns . .	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c 187,015				
	d	Related organizations . . .	1d				
	e	Government grants (contributions)	1e 4,414,000				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f 2,365,922				
	g	Noncash contributions included in lines 1a-1f $ 165,890					
	h	**Total.** Add lines 1a-1f		6,966,937			
Program Service Revenue			Business Code				
	2a	TUITION AND FEES	611710	41,155,000	41,155,000		
	b	RESIDENCE & DINING	721310	4,510,077	4,510,077		
	c	SALES & SERVICES	611710	10,255,100	10,255,100		
	d	FITNESS CENTER REVENUE	713940	118,294		118,294	
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a–2f		56,038,471			
Other Revenue	3	Investment income (including dividends, interest and other similar amounts)		528,103			528,103
	4	Income from investment of tax-exempt bond proceeds . .		0			
	5	Royalties		0			
			(i) Real / (ii) Personal				
	6a	Gross rents	(i) 200,529				
	b	Less rental expenses					
	c	Rental income or (loss)	(i) 200,529				
	d	Net rental income or (loss)		200,529		89,609	110,920
			(i) Securities / (ii) Other				
	7a	Gross amount from sales of assets other than inventory	(i) 4,556,000				
	b	Less cost or other basis and sales expenses	(i) 4,528,613				
	c	Gain or (loss)	(i) 27,387				
	d	Net gain or (loss)		27,387			27,387
	8a	Gross income from fundraising events (not including $ 187,015 of contributions reported on line 1c) See Part IV, line 18 . . .	a 163,981				
	b	Less direct expenses . . .	b 244,918				
	c	Net income or (loss) from fundraising events . .		-80,937			-80,937
	9a	Gross income from gaming activities See Part IV, line 19 . . .	a				
	b	Less direct expenses . . .	b				
	c	Net income or (loss) from gaming activities . . .		0			
	10a	Gross sales of inventory, less returns and allowances .	a				
	b	Less cost of goods sold . .	b				
	c	Net income or (loss) from sales of inventory . .		0			
		Miscellaneous Revenue	Business Code				
	11a	OTHER INCOME	900099	106,000		60,098	45,902
	b						
	c						
	d	All other revenue					
	e	**Total.** Add lines 11a–11d		106,000			
	12	**Total revenue.** See Instructions . . .		63,786,490	55,920,177	268,001	631,375

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)
Check if Schedule O contains a response to any question in this Part IX . . . .

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	0			
2 Grants and other assistance to individuals in the United States See Part IV, line 22	12,747,000	12,747,000		
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	652,500	168,230	441,635	42,635
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	26,682,266	22,362,362	3,526,644	793,260
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	1,963,845	1,618,693	285,098	60,054
9 Other employee benefits	3,524,741	2,905,257	511,698	107,786
10 Payroll taxes	1,928,246	1,589,350	279,930	58,966
11 Fees for services (non-employees)				
a Management	991,812		991,812	
b Legal	150,005		150,005	
c Accounting	185,051		185,051	
d Lobbying	40,500			40,500
e Professional fundraising *See Part IV, line 17* . .	0			
f Investment management fees	173,490		173,490	
g Other	2,751,181	2,169,617	482,994	98,570
12 Advertising and promotion	336,339	101,089	223,224	12,026
13 Office expenses	568,378	183,016	348,092	37,270
14 Information technology	650,805	97,954	552,851	
15 Royalties . .	0			
16 Occupancy	1,820,234	1,274,164	546,070	
17 Travel	414,351	192,658	190,491	31,202
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	254,341	210,151	37,507	6,683
20 Interest	641,338		641,338	
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	2,692,871	1,885,010	807,861	
23 Insurance	298,190	1,516	296,674	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O)				
a FOOD SERVICE	1,469,045	1,469,045		
b TRANSPORTATION	79,555	163	79,392	
c POSTAGE	128,688	89,138	22,014	17,536
d TELEPHONE AND CABLE	240,306	76,376	163,930	
e				
f All other expenses	2,494,412	1,610,518	744,236	139,658
25 **Total functional expenses.** Add lines 1 through 24f	63,879,490	50,751,307	11,682,037	1,446,146
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X **Balance Sheet**

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		147,636	1	317,522
	2	Savings and temporary cash investments		25,388	2	54,787
	3	Pledges and grants receivable, net		1,696,206	3	1,493,555
	4	Accounts receivable, net		1,340,998	4	1,866,001
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		326,074	9	207,299
	10a	Land, buildings, and equipment cost or other basis *Complete Part VI of Schedule D*	10a 68,548,882			
	b	Less accumulated depreciation	10b 34,641,758	33,463,770	10c	33,907,124
	11	Investments—publicly traded securities		21,597,996	11	21,794,239
	12	Investments—other securities See Part IV, line 11		0	12	0
	13	Investments—program-related See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		913,987	15	4,453,039
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		59,512,055	16	64,093,566
Liabilities	17	Accounts payable and accrued expenses		7,440,258	17	8,895,784
	18	Grants payable		0	18	0
	19	Deferred revenue		1,998,694	19	3,334,099
	20	Tax-exempt bond liabilities		14,125,000	20	13,665,000
	21	Escrow or custodial account liability *Complete Part IV of Schedule D*		0	21	0
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons *Complete Part II of Schedule L*		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	4,285,714
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		3,147,103	25	2,281,969
	26	**Total liabilities.** Add lines 17 through 25		26,711,055	26	32,462,566
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		15,302,000	27	14,060,000
	28	Temporarily restricted net assets		5,846,000	28	5,106,000
	29	Permanently restricted net assets		11,653,000	29	12,465,000
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		32,801,000	33	31,631,000
	34	Total liabilities and net assets/fund balances		59,512,055	34	64,093,566

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	63,786,490
2	Total expenses (must equal Part IX, column (A), line 25)	2	63,879,490
3	Revenue less expenses Subtract line 2 from line 1	3	-93,000
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	32,801,000
5	Other changes in net assets or fund balances (explain in Schedule O)	5	-1,077,000
6	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	6	31,631,000

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
c	If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	Yes	
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separated basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

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SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches **section 170(b)(1)(A)(i).**

2 ☑ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See**section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) a person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the the supported organization?	**11g(i)**		No
(ii) a family member of a person described in (i) above?	**11g(ii)**		No
(iii) a 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		No

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section (see instructions))	**(iv)** Is the organization in col (i) listed in your governing document?		**(v)** Did you notify the organization in col (i) of your support?		**(vi)** Is the organization in col (i) organized in the U S ?		**(vii)** Amount of support?
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public Support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in)	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income (Explain in Part IV) Do not include gain or loss from the sale of capital assets						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (See instructions) | 12 |

13 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ►☐

Section C. Computation of Public Support Percentage

14 Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f)) | 14 |

15 Public Support Percentage for 2010 Schedule A, Part II, line 14 | 15 |

16a **33 1/3% support test—2011.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ►☐

b **33 1/3% support test—2010.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ►☐

17a **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ►☐

b **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization ►☐

18 **Private Foundation** If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions ►☐

Part III **Support Schedule for Organizations Described in IRC 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public Support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in)	**(a)** 2007	**(b)** 2008	**(c)** 2009	**(d)** 2010	**(e)** 2011	**(f)** Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support** (Add lines 9, 10c, 11 and 12)						

14 **First Five Years** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f))	**15**	
16 Public support percentage from 2010 Schedule A, Part III, line 15	**16**	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2011** (line 10c column (f) divided by line 13 column (f))	**17**	
18 Investment income percentage from **2010** Schedule A, Part III, line 17	**18**	

19a **33 1/3% support tests—2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

b **33 1/3% support tests—2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ☐

20 **Private Foundation** If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions ☐

Part IV **Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

If the organization answered "Yes," to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
UNIVERSITY OF SAINT JOSEPH	06-0646829

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing Organization's Totals	**(b)** Affiliated Group Totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2008	**(b)** 2009	**(c)** 2010	**(d)** 2011	**(e)** Total
2a Lobbying non-taxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots non-taxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		No	
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		40,500
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities? If "Yes," describe in Part IV		No	
j	Total lines 1c through 1i			40,500
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carryover lobbying and political expenditures from the prior year?	3		

Part III-B **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".**

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) non-deductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV **Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i Also, complete this part for any additional information

Identifier	Return Reference	Explanation
LOBBYING ACTIVITIES	SCHEDULE C, PART IV	THE UNIVERSITY OF SAINT JOSEPH BELONGS TO THE CONNECTICUT CONSORTIUM OF INDEPENDENT COLLEGES (CCIC) AND OTHER ACADEMIC ASSOCIATIONS, WHICH AT TIMES MAY ENGAGE IN LOBBYING ACTIVITIES DURING THE YEAR ENDED JUNE 30, 2012, THE UNIVERSITY PAID AN OUTSIDE LOBBYING FIRM $40,500 FOR FEDERAL AND STATE GOVERNMENT RELATIONS AND LOBBYING SERVICES IN ADDITION, THE UNIVERSITY MAY HAVE DIRECT CONTACT WITH LEGISLATORS, TO PROVIDE INFORMATION AND EDUCATION ABOUT THE UNIVERSITY

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.
Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit ☐ Yes ☐ No

Part II Conservation Easements.
Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
- ☐ Preservation of land for public use (e g , recreation or pleasure)
- ☐ Preservation of an historically importantly land area
- ☐ Protection of natural habitat
- ☐ Preservation of a certified historic structure
- ☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ ________

4 Number of states where property subject to conservation easement is located ▶ ________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ ________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.
Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ 672,785

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☑ Public exhibition
d ☑ Loan or exchange programs
b ☑ Scholarly research
e ☑ Other TEACHING
c ☑ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☑ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current Year	**(b)** Prior Year	**(c)** Two Years Back	**(d)** Three Years Back	**(e)** Four Years Back
1a	Beginning of year balance	21,406,000	15,495,000	15,503,000	18,110,000	
b	Contributions	1,085,000	3,730,000	82,000	316,000	
c	Investment earnings or losses	-51,000	2,921,000	1,622,000	-2,058,000	
d	Grants or scholarships	553,000	450,000	349,000	338,000	
e	Other expenditures for facilities and programs	224,000	290,000	1,363,000	527,000	
f	Administrative expenses					
g	End of year balance	21,663,000	21,406,000	15,495,000	15,503,000	

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ▶ 30 000 %

b Permanent endowment ▶ 70 000 %

c Term endowment ▶

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		No
	(ii) related organizations	3a(ii)		No
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	**(a)** Cost or other basis (investment)	**(b)** Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land		660,380		660,380
b Buildings		42,756,746	18,521,070	24,235,676
c Leasehold improvements		2,105,281	1,097,871	1,007,410
d Equipment				
e Other		23,026,475	15,022,817	8,003,658
Total. Add lines 1a-1e *(Column (d) should equal Form 990, Part X, column (B), line 10(c).)* ▶				33,907,124

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
Other		
Total. *(Column (b) should equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) should equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) UNAMORT BOND ISSUANCE COSTS	462,707
(2) LIFE INSURANCE	9,721
(3) DEPOSITS WITH BOND TRUSTEE	472,724
(4) HELD IN ESCROW	3,507,887
Total. *(Column (b) should equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	4,453,039

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of Liability	(b) Amount
Federal Income Taxes	0
CAPITAL LEASE OBLIGATION	269,973
GOVERNMENT GRANTS REFUNDABLE	349,729
SHORT-TERM LINE OF CREDIT	1,662,267
Total. *(Column (b) should equal Form 990, Part X, col (B) line 25)* ▶	2,281,969

2. Fin 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC740)

Part XI Reconciliation of Change in Net Assets from Form 990 to Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	63,786,490
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	63,879,490
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-93,000
4	Net unrealized gains (losses) on investments	4	-423,000
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	-654,000
9	Total adjustments (net) Add lines 4 - 8	9	-1,077,000
10	Excess or (deficit) for the year per financial statements Combine lines 3 and 9	10	-1,170,000

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	50,443,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	-423,000		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV)	2d			
e	Add lines **2a** through **2d**			2e	-423,000
3	Subtract line **2e** from line **1**			3	50,866,000
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	173,490		
b	Other (Describe in Part XIV)	4b	12,747,000		
c	Add lines **4a** and **4b**			4c	12,920,490
5	Total Revenue Add lines **3** and **4c.** (This should equal Form 990, Part I, line 12)			5	63,786,490

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	51,613,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV)	2d	654,000		
e	Add lines **2a** through **2d**			2e	654,000
3	Subtract line **2e** from line **1**			3	50,959,000
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	173,490		
b	Other (Describe in Part XIV)	4b	12,747,000		
c	Add lines **4a** and **4b**			4c	12,920,490
5	Total expenses Add lines **3** and **4c.** (This should equal Form 990, Part I, line 18)			5	63,879,490

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
ORGANIZATION'S COLLECTIONS OF ART	SCHEDULE D, PART III, LINE 4	THE UNIVERSITY OF SAINT JOSEPH ART GALLERY OPENED IN 2001 IN THE CAROL AUTORINO CENTER FOR THE ARTS AND HUMANITIES, IT NOW HOUSES A COLLECTION OF OVER 2,000 WORKS OF ART A PAINTINGS COLLECTION THAT IS FOCUSED ON AMERICAN ART OF THE TWENTIETH CENTURY, INCLUDING WORKS BY THOMAS HART BENTON, GEORGIA O'KEEFE AND MILTON AVERY, IS COMPLEMENTED BY A COLLECTION OF WORKS OF ART ON PAPER THAT RANGES FROM SIXTEENTH-CENTURY EUROPEAN PRINTS TO NINETEENTH-CENTURY JAPANESE WOODBLOCK PRINTS AND CONTEMPORARY AMERICAN WORKS WITH SIX EXHIBITION GALLERIES, A PRINT STUDY ROOM, AND UP-TO-DATE ART STORAGE FACILITIES, THE UNIVERSITY OF SAINT JOSEPH ART GALLERY HAS MADE THE UNIVERSITY'S COLLECTION MORE ACCESSIBLE AND HAS OPENED A MYRIAD OF OPPORTUNITIES FOR THE STUDY AND ENJOYMENT OF ART EACH YEAR SPECIAL EXHIBITIONS ARE PRESENTED IN ADDITION TO CHANGING INSTALLATIONS OF THE PERMANENT COLLECTION A VARIETY OF EDUCATIONAL PROGRAMS ARE OFFERED FOR THE UNIVERSITY COMMUNITY AND THE GENERAL PUBLIC
ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	THE UNIVERSITY'S ENDOWMENT CONSISTS OF APPROXIMATELY 160 INDIVIDUAL FUNDS ESTABLISHED FOR A VARIETY OF PURPOSES, INCLUDING BOTH DONOR-RESTRICTED ENDOWMENT FUNDS AND FUNDS DESIGNATED BY THE UNIVERSITY TO FUNCTION AS ENDOWMENTS (QUASI - ENDOWMENT) THE UNIVERSITY MANAGES ITS LONG-TERM INVESTMENTS IN ACCORDANCE WITH THE TOTAL RETURN CONCEPT AND THE GOAL OF MAXIMIZING LONG-TERM RETURN WITHIN ACCEPTABLE LEVELS OF RISK THE UNIVERSITY'S SPENDING POLICY IS DESIGNED TO PROVIDE A STABLE LEVEL OF FINANCIAL SUPPORT AND TO PRESERVE THE REAL VALUE OF ITS ENDOWMENT THE UNIVERSITY COMPARES THE PERFORMANCE OF ITS INVESTMENTS AGAINST SEVERAL BENCHMARKS
UNCERTAIN TAX POSITIONS	PART X, ITEM 2	THE UNIVERSITY WAS GRANTED AN EXEMPT STATUS UNDER THE INTERNAL REVENUE CODE (IRC) SECTION 501 (A), AS AN ORGANIZATION DESCRIBED IN SECTION 501 (C)(3) UNDER IRC SECTION 501(A) THE UNIVERSITY IS GENERALLY EXEMPT FROM INCOME TAXES THE UNIVERSITY BELIEVES IT HAS TAKEN NO SIGNIFICANT UNCERTAIN TAX POSITIONS
OTHER ADJUSTMENTS TO NET ASSETS	PART XI, LINE 8	LOSS ON INTEREST RATE SWAPS (654,000) __________ TOTAL OTHER ADJUSTMENTS (654,000)
OTHER ADJUSTMENTS TO REVENUE	PART XII, LINE 4B	AMOUNTS INCLUDED ON RETURN BUT NOT BOOKS FINANCIAL AID 12,747,000 ___________ TOTAL 12,747,000
OTHER ADJUSTMENTS TO EXPENSES	PART XIII, LINES 2D AND 4B	PART XIII, LINE 2D OTHER EXPENSES INCLUDED ON BOOKS BUT NOT RETURN LOSS ON INTEREST RATE SWAP 654,000 ___________ TOTAL 654,000 PART XIII, LINE 4B OTHER EXPENSES INCLUDED ON RETURN BUT NOT BOOKS FINANCIAL AID 12,747,000 ___________ TOTAL 12,747,000

SCHEDULE E
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I

			YES	NO
1	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?	1	Yes	
2	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?	2	Yes	
3	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II	3	Yes	
4	Does the organization maintain the following?			
a	Records indicating the racial composition of the student body, faculty, and administrative staff?	4a	Yes	
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?	4b	Yes	
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?	4c	Yes	
d	Copies of all material used by the organization or on its behalf to solicit contributions?	4d	Yes	
	If you answered "No" to any of the above, please explain If you need more space, use Part II			
5	Does the organization discriminate by race in any way with respect to			
a	Students' rights or privileges?	5a		No
b	Admissions policies?	5b		No
c	Employment of faculty or administrative staff?	5c		No
d	Scholarships or other financial assistance?	5d		No
e	Educational policies?	5e		No
f	Use of facilities?	5f		No
g	Athletic programs?	5g		No
h	Other extracurricular activities?	5h		No
	If you answered "Yes" to any of the above, please explain If you need more space, use Part II			
6a	Does the organization receive any financial aid or assistance from a governmental agency?	6a	Yes	
b	Has the organization's right to such aid ever been revoked or suspended?	6b		No
	If you answered "Yes" to either line 6a or line 6b, explain on Part II			
7	Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II	7	Yes	

Part II Supplemental Information

Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable Also complete this part to provide any other additional information (see instructions)

Identifier	Return Reference	Explanation
PUBLICIZING NON DISCRIMINATION POLICY		THE UNIVERSITY PUBLISHES ITS NON-DISCRIMINATION POLICY IN THE MAJOR NEWSPAPER IN THE METROPOLITAN REGION FROM WHICH MOST OF ITS STUDENTS COME.
FINANCIAL AID FROM GOVERNMENT AGENCY		THE UNIVERSITY OF SAINT JOSEPH RECEIVES FINANCIAL AID OR ASSISTANCE FROM GOVERNMENTAL AGENCIES FOR THE BENEFIT OF THE UNIVERSITY'S STUDENTS

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SCHEDULE G (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☐ Mail solicitations
b ☐ Internet and e-mail solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization Form 990-EZ filers are not required to complete this table

(i) Name and address of individual or entity (fundraiser)	**(ii)** Activity	**(iii)** Did fundraiser have custody or control of contributions? Yes	No	**(iv)** Gross receipts from activity	**(v)** Amount paid to (or retained by) fundraiser listed in col **(i)**	**(vi)** Amount paid to (or retained by) organization
Total . . . . ▶						

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

			(a) Event #1 DINNER/AUCTION (event type)	(b) Event #2 GALA (event type)	(c) Other Events 0 (total number)	(d) Total Events (Add col (a) through col (c))
Revenue	1	Gross receipts	17,986	333,010		350,996
	2	Less Charitable contributions	610	186,405		187,015
	3	Gross income (line 1 minus line 2)	17,376	146,605		163,981
Direct Expenses	4	Cash prizes				
	5	Non-cash prizes		11,320		11,320
	6	Rent/facility costs		13,809		13,809
	7	Food and beverages	2,100	88,697		90,797
	8	Entertainment		27,800		27,800
	9	Other direct expenses	3,445	97,747		101,192
	10	Direct expense summary Add lines 4 through 9 in column (d)				(244,918)
	11	Net income summary Combine lines 3 and 10 in column (d).				-80,937

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

			(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (Add col (a) through col (c))
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Non-cash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	☐ Yes ____ ☐ No	☐ Yes ____ ☐ No	☐ Yes ____ ☐ No	
	7	Direct expense summary Add lines 2 through 5 in column (d)				( )
	8	Net gaming income summary Combine lines 1 and 7 in column (d)				

9 Enter the state(s) in which the organization operates gaming activities ____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," Explain ____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," Explain ____

11 Does the organization operate gaming activities with nonmembers? . . . . Yes No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . Yes No

13 Indicate the percentage of gaming activity operated in

a The organization's facility	13a	
b An outside facility	13b	

14 Provide the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

c If "Yes," enter name and address

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

Director/officer Employee Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . Yes No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV Complete this part to provide additional information for responses to quuestion on Schedule G (see instructions.)

Identifier	ReturnReference	Explanation
GALA EVENT - INCLUDING ACTIVITY RECORDED SUBSEQUENT TO FY12	SCHEDULE G, EVENT #2	GALA REVENUE - CONTRIBUTIONS $186,405 GALA REVENUE - OTHER 141,750 ___________ TOTAL GALA REVENUE 328,155 TOTAL GALA REVENUE 328,155 DIRECT GALA EXPENSES (254,917) ___________ GALA TOTAL NET INCOME $73,238

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Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . ▶ ☐

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) COLLEGE SCHOLARSHIPS & GRANTS	973	12,747,000		FMV	SCHOLARSHIPS

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Identifier	Return Reference	Explanation
MONITOR THE USE OF GRANT FUNDS	PART I, LINE 2	THE UNIVERSITY'S GRANTS IN THE US CONSIST OF FINANCIAL AID AWARDS WHICH ARE APPLIED AGAINST STUDENTS' RECEIVABLE BALANCES DUE TO THE UNIVERSITY THE UNIVERSITY MONITORS THESE GRANTS BY CONTROLLING THE APPLICATION OF GRANTS TO STUDENTS' BALANCES

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Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
► Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
► Attach to Form 990. ► See separate instructions.

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2011

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Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Travel for companions ☐ Tax idemnification and gross-up payments ☐ Discretionary spending account ☑ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☑ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement orprovision of all the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director Check all that apply ☑ Compensation committee ☑ Independent compensation consultant ☑ Form 990 of other organizations ☑ Written employment contract ☑ Compensation survey or study ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regs section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use Schedule J-1 if additional space needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, columns (D) and (E) for that individual

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) PAMELA TROTMAN REID	(i)	254,284	0	3,044	28,325	57,177	342,830	0
	(ii)	0	0	0			0	0
(2) SHAWN M HARRINGTON	(i)	161,543			18,700	19,232	199,475	
	(ii)							
(3) BERNARD LINDAUER	(i)	122,448			14,144	16,822	153,414	
	(ii)							
(4) JOSEPH OFOSU	(i)	215,066			23,760	1,423	240,249	
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8 Also complete this part for any additional information

Identifier	Return Reference	Explanation
PRESIDENT'S HOUSING	SCHEDULE J, PART I, LINE 1	AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN THE PRESIDENTIAL RESIDENCE LOCATED ON THE COLLEGE CAMPUS THE FAIR MARKET VALUE OF THE HOUSING IN THE AMOUNT OF $48,660 HAS BEEN INCLUDED IN THE PRESIDENT'S NONTAXABLE BENEFITS
HEALTH AND SOCIAL CLUB DUES	SCHEDULE J, PART 1, QUESTION 1A	THE UNIVERSITY PAYS MEMBERSHIP DUES AT THE HARTFORD CLUB AND THE HARTFORD GOLF CLUB FOR THE PRESIDENT OF THE UNIVERSITY THESE MEMBERSHIPS ALLOW THE PRESIDENT TO MEET WITH MEMBERS OF THE COMMUNITY TO PROMOTE THE AIMS OF THE UNIVERSITY

Schedule K (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Schedule O (Form 990).
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Bond Issues

	(a) Issuer Name	(b) Issuer EIN	(c) CUSIP #	(d) Date Issued	(e) Issue Price	(f) Description of Purpose	(g) Defeased Yes	(g) Defeased No	(h) On Behalf of Issuer Yes	(h) On Behalf of Issuer No	(i) Pool financing Yes	(i) Pool financing No
A	CONNECTICUT HEALTH EDUCATION FACILITIES AUTHORITY	06-0806186	20774UUB9	04-30-2008	15,000,000	RESIDENCE HALLS & REFUNDING		X		X		X
B	CONNECTICUT HEALTH EDUCATION FACILITIES AUTHORITY	06-0806186		08-01-2011	5,000,000	EQUIPMENT FINANCING		X		X		X

Part II Proceeds

		A	B	C	D
1	Amount of bonds retired	1,335,000	0		
2	Amount of bonds defeased	0	0		
3	Total proceeds of issue	15,000,000	5,000,000		
4	Gross proceeds in reserve funds	0	0		
5	Capitalized interest from proceeds	0	0		
6	Proceeds in refunding escrow	0	0		
7	Issuance costs from proceeds	328,547	0		
8	Credit enhancement from proceeds	0	0		
9	Working capital expenditures from proceeds	0	0		
10	Capital expenditures from proceeds	5,949,839	1,492,462		
11	Other spent proceeds	8,721,614	0		
12	Other unspent proceeds	0	3,507,538		
13	Year of substantial completion	2008			

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
14	Were the bonds issued as part of a current refunding issue?		X		X				
15	Were the bonds issued as part of an advance refunding issue?	X			X				
16	Has the final allocation of proceeds been made?	X			X				
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X					

Part III Private Business Use

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X				
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X		X				

Part III Private Business Use *(Continued)*

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
3a	Are there any management or service contracts that may result in private business use?		X		X				
b	If 'Yes' to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X		X				
d	If 'Yes' to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶	0 %		0 %					
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶								
6	Total of lines 4 and 5								
7	Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X		X					

Part IV Arbitrage

		A Yes	A No	B Yes	B No	C Yes	C No	D Yes	D No
1	Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X		X				
2	Is the bond issue a variable rate issue?	X			X				
3a	Has the organization or the governmental issuer entered into a hedge with respect to the bond issue?	X			X				
b	Name of provider	ROYAL BANK OF CANADA		0					
c	Term of hedge	10							
d	Was the hedge superintegrated?		X						
e	Was a hedge terminated?		X						
4a	Were gross proceeds invested in a GIC?		X		X				
b	Name of provider	0		0					
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
5	Were any gross proceeds invested beyond an available temporary period?		X		X				
6	Did the bond issue qualify for an exception to rebate?		X		X				

Part V Procedures To Undertake Corrective Action

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations . . . . ☐ Yes ☑ No

Part VI Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule K (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE K, PART II, LINE 6	0	SEE SCHEDULE O FOR DETAIL
SCHEDULE K, PART II, LINES 7 & 11	0	SEE SCHEDULE O FOR DETAIL
SCHEDULE K, PART III, LINE 7	0	SEE SCHEDULE O FOR DETAIL

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

NonCash Contributions

▶Complete if the organization answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Types of Property

	(a) Check if applicable	(b) Number of Contributions or items contributed	(c) Contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining contribution amounts
1 Art—Works of art	X	1		SEE PART II
2 Art—Historical treasures .				
3 Art—Fractional interests . .				
4 Books and publications . .				
5 Clothing and household goods				
6 Cars and other vehicles . .				
7 Boats and planes				
8 Intellectual property . . .				
9 Securities—Publicly traded .	X	9	165,890	AVG OF MARKET
10 Securities—Closely held stock .				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous . .				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other . . .				
15 Real estate—Residential .				
16 Real estate—Commercial . .				
17 Real estate—Other . . .				
18 Collectibles				
19 Food inventory . . .				
20 Drugs and medical supplies .				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens . .				
24 Archeological artifacts . . .				
25 Other ▶ (AUCTION PRIZES)	X	64	0	SEE PART II
26 Other ▶ (OTHER MISCELLANEOUS)	X	8	0	SEE PART II
27 Other ▶(____________)				
28 Other ▶ (____________)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . | **29** |

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b	If "Yes," describe the arrangement in Part II			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell non-cash contributions?	32a		No
b	If "Yes," describe in Part II			
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
NONCASH CONTRIBUTIONS	SCHEDULE M, PART I	OTHER THAN CONTRIBUTIONS OF STOCK, NON-CASH ITEMS ARE NOT INCLUDED IN THE FINANCIAL STATEMENTS OF THE UNIVERSITY UNLESS THEY ARE SIGNIFICANT IN AMOUNT FOR 2011, THE UNIVERSITY RECEIVED 1 COLLECTION OF ARTWORK, 64 AUCTION ITEMS, AND 8 OTHER MISCELLANEOUS ITEMS WHICH WERE RECORDED AT ZERO VALUE

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SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Identifier	Return Reference	Explanation
ORGANIZATION'S MEMBERS	FORM 990, PART VI, QUESTION 2	TRUSTEES MARTIN J GAVIN AND GERALD BOISVERT HAVE A BUSINESS RELATIONSHIP

Identifier	Return Reference	Explanation
CHANGES TO GOVERNING DOCUMENTS	FORM 990, PART VI, QUESTION 4	ON JUNE 8, 2012 SAINT JOSEPH COLLEGE LEGALLY CHANGED ITS NAME TO THE UNIVERSITY OF SAINT JOSEPH THE UNIVERSITY FILED CORRESPONDENCE WITH WITH THE IRS REPORTING THE CHANGE SEVERAL CHANGES WERE MADE TO THE GOVERNANCE DOCUMENTS OF THE UNIVERSITY OF SAINT JOSEPH THE UNIVERSITY FILED AN AMENDED AND RESTATED CERTIFICATE OF INCORPORATION WITH THE CONNECTICUT SECRETARY OF STATE'S OFFICE THAT INCLUDED ADDITIONAL MINOR GOVERNANCE PROVISIONS (I)THAT ARE REQUIRED UNDER THE INTERNAL REVENUE CODE AND (II)THAT ARE IN COMPLIANCE WITH THE CONNECTICUT REVISED NONSTOCK CORPORATION ACT THE REVISED CERTIFICATE OF INCORPORATION AND BYLAWS ARE ATTACHED TO THIS FORM 990 BOTH DOCUMENTS REFLECT THE NAME CHANGE FROM SAINT JOSEPH COLLEGE TO UNIVERSITY OF SAINT JOSEPH

Identifier	Return Reference	Explanation
ORGANIZATION'S MEMBERS	FORM 990, PART VI, QUESTION 6	THE CONFERENCE FOR MERCY HIGHER EDUCATION, INC ACTS AS A CORPORATE MEMBER OF THE UNIVERSITY OF SAINT JOSEPH

Identifier	Return Reference	Explanation
ROLE OF SISTERS OF MERCY	FORM 990, PART VI, QUESTION 7A	ELECTION TO THE BOARD OF TRUSTEES IS BY MAJORITY VOTE OF THE UNICAMERAL BODY, WHICH CONSISTS OF THE BOARD OF TRUSTEES, AND ONE PERSON DESIGNATED BY THE MEMBER OF THE CORPORATION (THE CONFERENCE FOR MERCY HIGHER EDUCATION, INC , A MARYLAND NON-STOCK, NOT-FOR-PROFIT CORPORATION, ACTING BY AND THROUGH ITS DULY CONSTITUTED AND AUTHORIZED OFFICERS)

Identifier	Return Reference	Explanation
ROLE OF SISTERS OF MERCY	FOROM 990, PART VI, QUESTION 7B	THE FOLLOWING ACTIONS REQUIRE AN AFFIRMATIVE VOTE BY THE MEMBER OF THE CORPORATION (THE CONFERENCE FOR MERCY HIGHER EDUCATION, INC) IN ORDER TO BE VALID -DISSOLUTION OF THE CORPORATION, OR CESSATION OF OPERATION AS A UNIVERSITY AND INSTITUTION OF HIGHER EDUCATION, -SALE OF LAND, -SALE, LEASE, EXCHANGE, MORTGAGE, PLEDGE, OR ANY OTHER DISPOSITION OF OTHER ASSETS OR PROPERTY OF THE CORPORATION, IN OTHER THAN THE ORDINARY COURSE OF BUSINESS APPOINTMENT OR REMOVAL OF THE PRESIDENT OF THE UNIVERSITY IS BY MAJORITY VOTE OF THE UNICAMERAL BODY, WHICH CONSISTS OF THE BOARD OF TRUSTEES AND ONE PERSON DESIGNATED BY THE MEMBER OF THE CORPORATION (THE CONFERENCE FOR MERCY HIGHER EDUCATION, INC)

Identifier	Return Reference	Explanation
FORM 990 REVIEW PROCESS	FORM 990, PART VI, QUESTION 11	THE FORM 990 IS REVIEWED IN DRAFT FORM BY THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES THE FINAL VERSION IS DISTRIBUTED TO THE BOARD OF TRUSTEES IN ADVANCE OF FILING

Identifier	Return Reference	Explanation
CONFLICT OF INTEREST POLICY	FORM 990, PART VI, QUESTION 12	THE PRESIDENT'S OFFICE SENDS ANNUAL CONFLICT OF INTEREST QUESTIONNAIRES TO MEMBERS OF THE BOARD OF TRUSTEES THE VICE PRESIDENT FOR FINANCE AND ADMINISTRATION REVIEWS THE COMPLETED QUESTIONNAIRES, AND PRESENTS THE RESULTS TO THE BOARD'S AUDIT COMMITTEE

Identifier	Return Reference	Explanation
COMPENSATION POLICY	FORM 990, PART VI, QUESTION 15 AND SCHEDULE J, PART 1, QUESTION 3	COMPENSATION FOR THE UNIVERSITY'S PRESIDENT IS SET BY THE EXECUTIVE COMMITTEE OF THE BOARD OF TRUSTEES THE PROCESS INCLUDES THE COMPARISON WITH COMPENSATION OF PRESIDENTS OF COMPARABLE INSTITUTIONS, BY MEANS OF INDUSTRY SURVEYS AND REVIEW OF FORM 990'S, AND CONTEMPORANEOUS DOCUMENTATION OF DELIBERATIONS AND DECISIONS REGARDING THE COMPENSATION AGREEMENT THE PRESIDENT'S COMPENSATION AGREEMENT IS A WRITTEN DOCUMENT THIS FULL PROCESS WAS MOST RECENTLY UNDERTAKEN DURING THE YEAR ENDED JUNE 30, 2011

Identifier	Return Reference	Explanation
PUBLIC DISCLOSURE	FORM 990, PART VI, LINE 19	THE ORGANIZATION'S FORM 990, GOVERNING DOCUMENTS, AND AUDITED FINANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST IN ADDITION, THE FORM 990 AND THE AUDITED FINANCIAL STATEMENTS ARE AVAILABLE THROUGH THE UNIVERSITY'S WEBSITE FORM 990 IS ALSO POSTED ON WWW GUIDESTAR ORG

Identifier	Return Reference	Explanation
OTHER CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 5	OTHER CHANGES IN NET ASSETS OR FUND BALANCES FORM 990, PART XI, LINE 5 UNREALIZED GAIN ON INVESTMENTS (423,000) LOSS ON INTEREST RATE SWAPS (654,000) _________________ OTHER CHANGES IN NET ASSETS (1,077,000)

Identifier	Return Reference	Explanation
PROCEEDS IN REFUNDING ESCROWS	SCHEDULE K, PART II, LINE 6	OF THE AMOUNT SHOWN ON PART II, LINE 11 "OTHER SPENT PROCEEDS," $8,716,111 WAS PUT INTO A REFUNDING ESCROW ACCOUNT

Identifier	Return Reference	Explanation
ADVANCE REFUNDING	SCHEDULE K, PART II, LINES 7 & 11	OF THE PROCEEDS FROM SERIES B BONDS ISSUED ON APRIL 3, 2008, $8,716,111 WAS AN ADVANCE REFUNDING OF MAY 1999 BONDS PROCEEDS USED FOR BOND ISSUANCE COSTS 300,000 PROCEEDS USED FOR CREDIT ENHANCEMENT 28,547 ___________ TOTAL SCHEDULE K, LINE 7 328,547

Identifier	Return Reference	Explanation
BOND-FINANCED FACILITIES	SCHEDULE K, PART III, LINE 7	THE UNIVERSITY REVIEWS EVERY ACTIVITY PROPOSED FOR ITS BOND-FINANCED FACILITIES, AND DOES NOT ALLOW ANY PRIVATE-USE ACTIVITY IN THOSE FACILITIES

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization
UNIVERSITY OF SAINT JOSEPH

Employer identification number
06-0646829

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled organization Yes	No
(1) CONFERENCE FOR MERCY HIGHER EDUCATION 8380 COLESVILLE ROAD SUITE 300 SILVER SPRING, MD 20910	EDUCATION	MD	501(c)(3)	1	NA		No

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b		No
c Gift, grant, or capital contribution from related organization(s)	1c		No
d Loans or loan guarantees to or for related organization(s)	1d		No
e Loans or loan guarantees by related organization(s)	1e		No
f Sale of assets to related organization(s)	1f		No
g Purchase of assets from related organization(s)	1g		No
h Exchange of assets with related organization(s)	1h		No
i Lease of facilities, equipment, or other assets to related organization(s)	1i		No
j Lease of facilities, equipment, or other assets from related organization(s)	1j		No
k Performance of services or membership or fundraising solicitations for related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations by related organization(s)	1l		No
m Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1m		No
n Sharing of paid employees with related organization(s)	1n		No
o Reimbursement paid to related organization(s) for expenses	1o		No
p Reimbursement paid by related organization(s) for expenses	1p		No
q Other transfer of cash or property to related organization(s)	1q		No
r Other transfer of cash or property from related organization(s)	1r		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	**(b)** Transaction type(a-r)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" on Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	**(b)** Primary activity	**(c)** Legal domicile (state or foreign country)	**(d)** Predominant income(related, unrelated, excluded from tax under sections 512-514)	**(e)** Are all partners section 501(c)(3) organizations?		**(f)** Share of total income	**(g)** Share of end-of-year assets	**(h)** Disproprtionate allocations?		**(i)** Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	**(j)** General or managing partner?		**(k)** Percentage ownership
				Yes	**No**			**Yes**	**No**		**Yes**	**No**	

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493133015113

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2011

Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
UNIVERSITY OF SAINT JOSEPH	GENERAL DEPRECIATION	06-0646829

Part I **Election To Expense Certain Property Under Section 179**
Note: *If you have any listed property, complete Part V before you complete Part I.*

1 Maximum amount (see instructions)	1	$ 500,000
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation (see instructions)	3	$ 2,000,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7 Listed property Enter the amount from line 29	7	
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9 Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10 Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2012 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)** (See instructions)

14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15 Property subject to section 168(f)(1) election	15	
16 Other depreciation (including ACRS)	16	

Part III **MACRS Depreciation (Do not** include listed property.**)** (See instructions.)

Section A

17 MACRS deductions for assets placed in service in tax years beginning before 2011	17	65,482
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV **Summary** (see instructions)

21 Listed property Enter amount from line 28	21	
22 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	71,067
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☑ **No** | **24b** If "Yes," is the evidence written? ☐ **Yes** ☑ **No**

(a) Type of property (list vehicles first)	**(b)** Date placed in service	**(c)** Business/ investment use percentage	**(d)** Cost or other basis	**(e)** Basis for depreciation (business/investment use only)	**(f)** Recovery period	**(g)** Method/ Convention	**(h)** Depreciation/ deduction	**(i)** Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						**25**		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 . **28**

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . **29**

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		**(b)** Vehicle 2		**(c)** Vehicle 3		**(d)** Vehicle 4		**(e)** Vehicle 5		**(f)** Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI **Amortization**

(a) Description of costs	**(b)** Date amortization begins	**(c)** Amortizable amount	**(d)** Code section	**(e)** Amortization period or percentage	**(f)** Amortization for this year
42 Amortization of costs that begins during your 2011 tax year (see instructions)					

43 Amortization of costs that began before your 2011 tax year **43**

44 Total. Add amounts in column (f) See the instructions for where to report . . **44**

FILING #0004660674 PG 01 OF 07 VOL B-01687
FILED 06/08/2012 11:00 AM PAGE 02337
SECRETARY OF THE STATE
CONNECTICUT SECRETARY OF THE STATE

SECRETARY OF THE STATE OF CONNECTICUT
MAILING ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, P.O. BOX 150470, HARTFORD, CT 06115-0470
DELIVERY ADDRESS: COMMERCIAL RECORDING DIVISION, CONNECTICUT SECRETARY OF THE STATE, 30 TRINITY STREET, HARTFORD, CT 06106
PHONE: 860-509-6003 WEBSITE: www.concord-sots.ct.gov

CERTIFICATE OF AMENDMENT
NONSTOCK CORPORATION

USE INK COMPLETE ALL SECTIONS PRINT OR TYPE ATTACH 8/12 X 11 SHEETS IF NECESSARY.

FILING PARTY *(CONFIRMATION WILL BE SENT TO THIS ADDRESS):* NAME: Eileen B. Nelson, Paralegal ADDRESS: Robinson & Cole LLP, 280 Trumbull Street CITY: Hartford STATE: CT ZIP: 06103	**FILING FEE: $20** MAKE CHECKS PAYABLE TO "SECRETARY OF THE STATE"

1. NAME OF CORPORATION:

St. Joseph College

2. THE CERTIFICATE OF INCORPORATION IS (check A, B or C):

☐ A. AMENDED

☐ B. RESTATED

☒ C. AMENDED AND RESTATED

THE RESTATED CERTIFICATE CONSOLIDATES ALL AMENDMENTS INTO A SINGLE DOCUMENT

3. TEXT OF EACH AMENDMENT/RESTATEMENT:

St. Joseph College (the "Corporation") is hereby amending and restating its certificate of incorporation. The Corporation is updating its certificate of incorporation in its entirety to provide more detailed language in compliance with the Connecticut Revised Nonstock Corporation Act. *Article 1 is amended and restated to reflect that the Corporation is changing its name from St. Joseph College to University of Saint Joseph.*

The full text of the Amended and Restated Certificate of Incorporation is set forth on *Exhibit A*, attached hereto and made a part hereof.

The Amended and Restated Certificate of Incorporation was approved by the Corporation's Board of Trustees on April 27, 2012 and by the Corporation's Member on April 12, 2012.

4. VOTE INFORMATION (CHECK A, B or C)

☒ **A. THE AMENDMENT WAS DULY APPROVED BY THE MEMBERS IN THE MANNER REQUIRED BY SECTIONS 33-1140 TO 33-1147 OF THE CONNECTICUT GENERAL STATUTES, AND BY THE CERTIFICATE OF INCORPORATION.**

☐ **B. THE AMENDMENT WAS DULY APPROVED BY THE INCORPORATORS AND MEMBER APPROVAL WAS NOT REQUIRED.**

☐ **C. THE AMENDMENT WAS DULY APPROVED BY THE BOARD OF DIRECTORS AND MEMBER APPROVAL WAS NOT REQUIRED.**

5. EXECUTION:

DATED THIS 8th **DAY OF** June **, 2012**

NAME OF SIGNATORY	CAPACITY/TITLE OF SIGNATORY	SIGNATURE
Pamela Trotman Reid	President	Pamela T Reid

AMENDED AND RESTATED

CERTIFICATE OF INCORPORATION

of

UNIVERSITY OF SAINT JOSEPH

The board of trustees of the University of Saint Joseph hereby amends and restates its Certificate of Incorporation pursuant to the Connecticut Revised Nonstock Corporation Act (the "Act"). The University of Saint Joseph was originally chartered by the Connecticut General Assembly as "The Mount Saint Joseph College of Hartford" on March 19, 1925, with Ellen M. Garvan, Mary Byrnes, Ellen Ledwith, Mary Blake, and Mary E. Coffey, as incorporators. The university's name was changed to "St. Joseph College" by act of the Connecticut General Assembly on May 8, 1935.

References included in this certificate to provisions of the "Internal Revenue Code" shall be deemed to refer to provisions of the Internal Revenue Code of 1986, as amended, or to any corresponding provision of future federal law.

1. **Name**. The name of the corporation is University of Saint Joseph (the "University").

2. **Nonprofit Corporation**. The University is nonprofit and shall not have or issue shares of stock or make distributions except as otherwise provided in this Certificate of Incorporation or the Bylaws of the University or by applicable statute.

3. **Membership**. The University is a membership corporation. The University shall have the following two classes of members:

(a) Corporate Member. The sole corporate member of the University shall be the Conference for Mercy Higher Education, Inc., a Missouri nonstock corporation (the "Corporate Member"). The Corporate Member shall have the right in accordance with this certificate of incorporation and the University's bylaws: (i) to appoint one representative to the

Unicameral Body; and (ii) to approve fundamental changes as set forth in Article 8. The Corporate Member may transfer or assign its membership rights to its successors-in-interest or assigns. The Corporate Member shall have no other rights.

(b) Unicameral Body. Those individuals who from time to time serve as Trustees of the University, and one individual appointed by the Corporate Member, shall comprise the University's unicameral body ("Unicameral Body"). The Unicameral Body shall have the right in accordance with this Certificate of Incorporation and the University's Bylaws: (i) to appoint the University's Board of Trustees; (ii) to fill vacancies on the Board of Trustees; (iii) to remove any Trustee with or without cause; and (iv) to appoint and remove the University's President. Individuals serving on the Unicameral Body shall have one vote on each matter presented for a vote of the Unicameral Body. No individual serving on the Unicameral Body may transfer or assign any membership rights. The Unicameral Body shall have no other rights.

4. **Purpose**. The purpose of the University is to establish, organize, maintain and conduct an institution of higher education dedicated to the intellectual, social and personal development of its students and to community enrichment through teaching, research, scholarship, the dissemination of knowledge, and the promotion of cultural events and civic engagement and to perform such other works of education, charity and religion as may relate to such purposes. Subject to the foregoing limitation and those set forth in Article 7 below, the University may engage in any lawful act or activity for which a corporation may be organized under the Act, including without limitation, the making of grants to organizations that qualify as exempt organizations under Section 501(c)(3) of the Internal Revenue Code, or any corresponding provision of future federal law to promote the purposes of the University.

5. **Board of Trustees**. The activities, business, property and affairs of the University shall be managed by a Board of not less than three Trustees appointed by the Unicameral Body and ex-officio Trustees, as may be further provided in the University's Bylaws.

6. **Limitation on Liability of Trustees**. The personal liability of a Trustee to the University, or its members, for monetary damages for breach of duty as a Trustee shall be limited to the amount of compensation, if any, received by the Trustee for serving the University during the year of the violation, so long as the breach was not of a sort for which such limitation of liability is not permitted by Section 33-1026(b)(4) of the General Statutes of Connecticut.

Nothing contained in this Article 6 shall be construed to deny a Trustee of the University the benefit of Section 52-557m of the General Statutes of Connecticut, or of any other limitation of liability available to such Trustee under law. Any repeal or modification of this Article 6 shall not adversely affect any right or protection of a Trustee of the University existing at the time of such repeal or modification.

7. **Limitations**. Notwithstanding any other provision of this certificate of incorporation:

(a) The University shall at all times be organized and operated exclusively for religious, charitable, scientific, literary, educational or other purpose within the meaning of Section 501(c)(3) of the Internal Revenue Code;

(b) No part of the net earnings of the University shall inure to the benefit of or be distributable to the University's Trustees, officers or other private persons, provided that the University may pay reasonable compensation for services actually rendered, may reimburse reasonable expenses actually incurred by any such persons, and may make payments and distributions, to the extent reasonable and necessary, in furtherance of the purpose set forth in Article 4 above;

(c) No substantial part of the activities of the University shall include carrying on propaganda or otherwise attempting to influence legislation, and the University shall not participate or intervene (including by the publication or distribution of statements) in any political campaign on behalf of or in opposition to any candidate for public office; and

(d) The University shall not conduct any activities, nor exercise any power, not permitted to be conducted by a corporation exempt from taxation under Section 501(a) of the Internal Revenue Code as an organization described under Section 501(c)(3) of the Internal Revenue Code, or by a corporation the contributions to which are deductible by a contributor under Section 170(c)(2), 2055(a)(2) or 2522(a)(2) of the Internal Revenue Code.

8. **Fundamental Changes**. Subject to the approval of the Corporate Member, the Trustees, by the affirmative vote of two-thirds of all Trustees present at a meeting of the Board of Trustees at which a quorum is present, provided notice of such proposal shall have been provided to all Trustees at least seven days before such meeting, may:

(a) Amend this certificate of incorporation, provided, however, that no such amendment shall operate to terminate the deductibility of gifts or bequests to the University for federal gift, estate, or income tax purposes, or permit the conduct of affairs of the University in any manner or for any purpose contrary to the provisions of Section 501(c)(3) of the Internal Revenue Code;

(b) Transfer substantially all of the University's assets to, or merge the University with, another corporation exempt from taxation under Section 501(a) of the Internal Revenue Code as an organization described under Section 501(c)(3) of the Internal Revenue Code, and formed for purposes similar to those of the University;

(c) Sell or mortgage substantially all of the assets of the University;

(d) Amend the University's bylaws; and

(e) Dissolve the University.

The Trustees of the University shall have the right to make other fundamental changes to the extent and in the manner permitted by Connecticut law to Trustees of a Connecticut nonstock corporation except as otherwise provided in this Certificate of Incorporation or the Bylaws of the University.

9. **Indemnification**. The University shall indemnify and advance expenses to its trustees to the fullest extent permitted by law. Without limiting the foregoing, the University shall indemnify its Trustees against liability to any person for any action taken, or any failure to take any action, as a Trustee, except liability of a sort for which indemnification is not permitted by Section 33-1026(b)(5) of the General Statutes of Connecticut; provided, however, that the University's duty to so indemnify shall extend to an indemnitee in connection with a proceeding initiated by such indemnitee only if such proceeding was authorized by the Board of Trustees of the University. In addition, the University may indemnify and advance expenses to officers, employees and agents of the University who are not Trustees to the same extent as Trustees, and may further indemnify such officers, employees and agents to the extent provided by the specific action of the University and permitted by law. The University may also procure insurance providing greater indemnification as provided by law.

10. **Dissolution**. The existence of the University shall be perpetual unless sooner dissolved. If the University is dissolved, all of its assets remaining for distribution after payment of obligations or provision for the same shall be distributed (subject to any restrictions imposed by any applicable will, trust, deed, agreement or other document) for use restricted to the purpose set forth in this Certificate of Incorporation to one or more organizations organized and operated for religious, charitable, scientific, literary, educational or other purpose set forth in Section 501(c)(3) of the Internal Revenue Code, in such proportions as the Board of Trustees (or if the Board of Trustees fails to act a court of competent jurisdiction) may determine.

Amended and Restated 1984, 1985,
1987, 1989, 1990, 1992, 1998,
2001, 2002, 2006, 2008, 2012

AMENDED AND RESTATED

BYLAWS

OF

UNIVERSITY OF SAINT JOSEPH

PREAMBLE

These Bylaws supplement certain provisions of the Certificate of Incorporation of the University of Saint Joseph (the "University) and the Connecticut Revised Nonstock Corporation Act, as amended (the "Act"). References in these Bylaws to provisions of the Act shall refer to any corresponding provisions of future state law.

ARTICLE I - PURPOSE

Section 1. The University is an institution of higher education founded by the Sisters of Mercy of Connecticut and sponsored by the Institute of the Sisters of Mercy of the Americas to continue the tradition of service and leadership in higher education as part of the Catholic church's mission to teach all people. It endeavors to fulfill its purpose as stated in its Certificate of Incorporation through a personal, value-oriented approach to learning. The President of the University shall preferably be a woman who is knowledgeable about and committed to the Catholic intellectual tradition and fosters the values of the Sisters of Mercy.

ARTICLE II – CORPORATE MEMBER

Section 1. In accordance with the Certificate of Incorporation, the Corporate Member of the University shall be the Conference for Mercy Higher Education, Inc., a Missouri nonstock, not-for-profit corporation, acting by and through its duly constituted and authorized officers.

Section 2. The Corporate Member shall have the rights set forth in the University's Certificate of Incorporation.

ARTICLE III - MEETINGS OF THE CORPORATE MEMBER

Section 1. The annual meeting of the Corporate Member of the University shall be held at such time and location within or without the State of Connecticut as the Corporate Member from time to time may determine. The failure to hold an annual meeting of the Corporate Member of the University shall not affect the validity of any corporate action of the University. Special meetings of the Corporate Member shall be called at any time, for any purpose, upon direction of the Board of Trustees or the Corporate Member.

<u>Section 2</u>. Any action which may be taken at a meeting of the Corporate Member may be taken without a meeting by consent in writing, setting forth the action so taken or to be taken, signed by the Corporate Member or its duly authorized attorneys. Such consent shall be filed with the minutes of the meetings of the Corporate Member. Any consent which becomes effective as provided herein shall have the same force and effect as a vote of the Corporate Member at a meeting duly held, and may be stated in any certificate or document filed.

ARTICLE IV - THE BOARD OF TRUSTEES

<u>Section 1</u>. The Board of Trustees shall consist of not fewer than fifteen (15) and not more than thirty-five (35) persons. The number of Trustees shall be fixed by resolution of the Unicameral Body (defined in Article VIII below) at any time or, in the absence thereof, shall be the number of Trustees elected and serving at the most recently held meeting of the Unicameral Body for such purpose. Included among the Trustees shall be the following ex-officio Trustees: President of the University and the President of the Alumnae Association. Ex-officio Trustees shall count in determining a quorum and shall have the right to vote. No fewer than six (6) Trustees shall be Sisters of Mercy of the Americas.

<u>Section 2</u>. Election of Trustees shall be by the Unicameral Body as provided in Article VIII of these Bylaws.

<u>Section 3</u>. Trustees shall be elected to staggered terms of service beginning July 1 following election at the annual meeting of the Unicameral Body. The total number of Trustees shall be divided into three groups, with each group containing approximately the same percentage of the total, as near as may be. The terms of each group will expire every three years on June 30 in successive years, so that in any year approximately one-third of all Trustees' terms shall expire. Except as otherwise provided in these Bylaws, Trustees may be elected for terms which shall not exceed three consecutive three-year terms. The President of the University and the President of the Alumni Association shall serve as Trustees during the term of their respective presidencies notwithstanding the foregoing limitation. A Trustee elected to fill a vacancy in an unexpired term shall be deemed to have served one three-year term for purposes of eligibility for reelection only if the Trustee has served more than two years of the unexpired term.

<u>Section 4</u>. The Board of Trustees may appoint any person having given distinguished service as a Trustee of the University to be Trustee Emeritus with the privilege of attending all meetings of the Board of Trustees, but without the right to vote.

<u>Section 5</u>. Any vacancy or vacancies occurring on the Board of Trustees may be filled until the next meeting at which Trustees are elected by action of the Unicameral Body. A vacancy that will occur at a specific later date, by reason of a resignation effective at a later date, may be filled before the vacancy occurs, but the new Trustee may not take office until that vacancy occurs.

Section 6. The Officers of the Board of Trustees shall be a Chair, Vice Chair and a Secretary. The Board of Trustees shall elect the foregoing officers from among their number. Such officers of the Board shall be elected at the annual meeting of the Board of Trustees for a term of three (3) years extending until the third succeeding annual meeting of the Board of Trustees and until his or her successor has been elected and qualified. Any vacancy or vacancies occurring in any office of the Board may be filled until the next meeting at which officers of the Board are elected by the affirmative vote of the remaining Trustees in office at any meeting of the Board of Trustees though such remaining Trustees are fewer than a quorum. No such officer shall serve for more than two (2) consecutive three-year terms in any one of such positions. Such officers shall, during their term of office, remain as Trustees notwithstanding the limitation on the term of office of Trustees provided in these Bylaws. The Board of Trustees may elect such other officers, who need not be Trustees, and specify their terms and duties as they deem appropriate.

Section 7. The Chair shall preside at all meetings of the Board of Trustees, of the Executive Committee and of the Unicameral Body, and shall have a right to vote on all questions. The Chair shall appoint to all advisory committees the members who are not appointed by the Board of Trustees, and shall have such other powers and duties as the Board from time-to-time may prescribe. In the absence of the Chair, the Vice Chair shall perform the duties of the office of the Chair. The Vice Chair shall have such other powers and duties as the Board from time-to-time may prescribe.

Section 8. The Secretary shall perform all the duties normally incident to the office of the Secretary and shall give proper notice of all meetings of the Board of Trustees, of the Executive Committee and of the Corporate Member and the Unicameral Body as provided in these Bylaws. The Secretary shall keep the minutes of all meetings of the Board of Trustees, of the Executive Committee and of the Unicameral Body and keep a record of the appointments of all committees of the Board of Trustees.

The Secretary shall have custody of all records, books, books of account, papers and documents of the University. The Secretary shall be the keeper of the Corporate seal with the authority to affix the same and attest it by his or her signature to all instruments requiring execution under seal. The Secretary shall be responsible for authenticating records of the University. The Secretary shall have such other powers and duties as the Board from time-to-time may prescribe.

ARTICLE V - POWERS AND DUTIES OF THE BOARD OF TRUSTEES

Section 1. The Board of Trustees, subject to the laws of the State of Connecticut, the provisions of the Certificate of Incorporation and these Bylaws, shall manage the activities, property and affairs of the University. The Board of Trustees shall have the power and duty to establish policies consistent with the purposes of the University; to interpret and apply the stated objectives of the University's Certificate of Incorporation and policies to the current scene; to oversee the financial administration of the University; to plan and guide development of the University; to bear responsibility for the administration of the University; to establish regulations to govern its procedures and proceedings; to prescribe and revise such rules or regulations as in their judgment may be necessary for the proper administration of the University.

ARTICLE VI - MEETINGS OF THE BOARD OF TRUSTEES

Section 1. The Board of Trustees shall meet not fewer than three (3) times annually upon a day and at an hour set by the Chair of the Board of Trustees. Special meetings of the Board may be called at any time by the Chair of the Board of Trustees and shall be called whenever so requested in writing by a majority of the Board of Trustees. A majority of the Trustees then serving shall constitute a quorum for the transaction of business at any regular or special meeting of the Board. The Chair of the Board of Trustees or, in the absence thereof, the presiding officer for the time being shall have the right to vote. Unless otherwise specified in these Bylaws or by statute, the vote of a majority of the votes entitled to be cast by Trustees participating at a duly constituted meeting of the Board of Trustees shall be sufficient to pass any measure before the Board of Trustees.

Section 2. Regular meetings of the Board of Trustees may not be held without notice of the date, time, place or purpose of the meeting. Special meetings of the Board of Trustees shall be preceded by at least two (2) days' notice of the date, time and place of the meeting. The Secretary shall endeavor to give one (1) week's written notice of all meetings of the Board but failure to do so shall not invalidate any meeting or actions taken thereat so long as the other requirements of this section are met. Notwithstanding the foregoing, unless stated in a written notice of the meeting, no bylaw may be brought up for adoption, amendment or repeal.

Section 3. Action required or permitted to be taken at a Board of Trustees meeting *may* be taken without a meeting if the action is taken by all members of the Board. The action shall be evidenced by one or more written consents describing the action taken, signed by each Trustee, and included in the minutes or filed with the corporate records reflecting the action taken. Action taken under this section is effective when the last Trustee signs the consent unless the consent specifies a different effective date. A consent signed under this section has the effect of a meeting vote and may be described as such in any document.

Section 4. The Board of Trustees may permit any or all Trustees to participate in a regular or special meeting by, or conduct the meeting through the use of, any means of communication by which all Trustees participating may simultaneously hear each other during the meeting. A Trustee participating in a meeting by this means is deemed to be present in person at the meeting.

ARTICLE VII - COMMITTEES OF THE BOARD OF TRUSTEES

Section 1. There shall be an Executive Committee of the Board of Trustees. The Committee shall consist of the Chair of the Board of Trustees, the President of the University and not fewer than three (3) other Trustees who shall be appointed by a majority of all of the Trustees then serving. The Chair and President shall have the right to vote and be counted toward a quorum. At least one (1) Trustee appointed to the Executive Committee shall be a Sister of Mercy of the

Americas. Any person retiring as Chair may be appointed by the new Chair to serve as a non-voting member of the Executive Committee for a one-year period following the end of the person's term as Chair, whether or not such person is a Trustee. If such person is not a Trustee, such person may participate in meetings of the Board of Trustees, without a vote, for such one-year period at the request of the new Chair.

Section 2 Subject to any prior limitations imposed by the Board of Trustees, the Executive Committee shall have the power to transact all regular business of the University during the interim between the meetings of the Board of Trustees, and its actions shall have the same force and effect of action by the Board of Trustees, provided, however, the Executive Committee may not: (1) approve or recommend to the Corporate Member action that Sections 33-1000 to 33-1290 of the Act, inclusive, require to be approved by the Corporate Member; (2) fill vacancies on the Board of Trustees or on any committee with the power to act on behalf of the University; (3) adopt, amend or repeal these Bylaws; (4) approve a plan of merger; (5) approve a sale, lease, exchange or other disposition of all or substantially all, of the property of the University except as provided in Section 33-1101(e)(5) of the Act; or (6) approve a proposal to dissolve. The Executive Committee shall report any actions and recommendations to the Board at each Board meeting. The Executive Committee shall have the power to establish rules and regulations relating to its meetings; provided, however, that a reasonable notice of all meetings of the committee be given to the members thereof and that no acts of the committee shall be valid unless approved by an affirmative vote of a majority of the committee. The committee shall keep regular minutes of its meetings.

Section 3. There shall be a Committee on Trustees of the Board of Trustees to consist of one (1) representative designated by the Corporate Member and three (3) Trustees appointed by the Chair of the Board of Trustees. The Committee on Trustees shall be responsible for recommending appointment and reappointment of members of the Board of Trustees and of the Executive Committee and officers of the Board of Trustees.

Section 4. The Board of Trustees may constitute such other committees, consisting of two or more Trustees, with such power and authority as the Board shall designate. Members and the chair of such committees shall be appointed by the Chair of the Board of Trustees. Except as provided in these Bylaws or as specifically authorized by a majority of all the Trustees then serving, all committees shall be advisory in nature and shall not have authority to act on behalf of the Board of Trustees. Non-board members may be appointed to any committee that does not have authority to act on behalf of the Board of Trustees. Except for the Executive Committee and as otherwise provided in these Bylaws or by the Board of Trustees, the President shall serve as an ex-officio member of all committees of the Board of Trustees, but shall not count in determining a quorum and shall not have the right to vote. The Chair shall be an ex-officio member of all committees of the Board. Except as otherwise provided herein or by the Board of Trustees, ex-officio members of any advisory committee and non-board members shall each be counted in determining a quorum and shall each have the right to vote.

ARTICLE VIII - UNICAMERAL BODY

Section 1. In accordance with the Certificate of Incorporation, one person designated by the Corporate Member and those persons from time to time serving on the Board of Trustees shall together constitute a Unicameral Body for the purpose of fixing the number of the Board of Trustees, electing or removing members to the Board of Trustees, and the appointment or removal of the President, with or without cause, subject to any contract rights of the President. Each member of the Unicameral Body shall have one vote on each matter presented for vote of the Unicameral Body. Unless otherwise specified in these Bylaws, the vote of a majority of the votes entitled to be cast by the members of the Unicameral Body participating at a duly constituted meeting thereof shall be sufficient to pass any measure before the Unicameral Body.

Section 2. The Unicameral Body shall meet annually on the day of the last regular meeting of the Board of Trustees for any fiscal year at a time and a place set by the Chair of the Board of Trustees. Special meetings of the Unicameral Body may be called at any time by said Chair or whenever so requested in writing by either the Corporate Member or by a majority of the Trustees. Written or printed notice of every regular and special meeting shall be given by mail to each member of the Unicameral Body by the Secretary at least ten (10) days but no more than sixty (60) days before the date appointed therefor. A majority of the members of the Unicameral Body then serving shall constitute a quorum for the transaction of business at any regular or special meeting of the Unicameral body. The Chair of the Board of Trustees or, in the absence thereof, the presiding officer for the time being shall have the right to vote.

Section 3. Any action which may be taken at a meeting of the Unicameral Body may be taken without a meeting by consent in writing, setting forth the action so taken or to be taken, signed by each member of the Unicameral Body. Such consent shall be filed with the minutes of the meetings of the Unicameral Body. Any consent which becomes effective as provided herein shall have the same force and effect as a vote of the Unicameral Body at a meeting duly held, and may be stated in any certificate or document filed.

ARTICLE IX – UNIVERSITY MANAGEMENT TEAM

Section 1. The University shall have a President, a Chief Academic Officer and a Chief Financial Officer or Treasurer. The President shall be appointed and may be removed by the Unicameral Body as provided in Article VIII. The Chief Financial Officer or Treasurer shall be appointed by the President, with input from a Trustee appointed by the Chair to the search committee. The President may appoint one or more subordinate management team members, including one or more Vice Presidents. All members of the University's management team, other than the President, shall be appointed and evaluated and may be removed by the President, with or without cause, subject to any contract rights of the management team member.

Section 2. The President of the University shall be the chief executive officer of the University and the official advisor to and executive agent of the Board of Trustees and its committees. The President shall, as educational and administrative head of the University, exercise general supervisory authority over all the affairs of the University, and bring such matters to the attention of the Board of Trustees as are appropriate and necessary to keep the Board of Trustees fully informed in meeting its fiduciary and policy-making responsibilities.

Except as otherwise provided in these Bylaws, the President shall have the power, on behalf of the University, to perform all acts and execute all documents to make effective the actions of the Corporate Member, the Unicameral Body, the Board of Trustees and the Executive Committee. In the course of the President's performance of the President's management duties, the President may delegate to one or more members of the University's management team the performance of one or more of the President's management duties. If the President is unable to perform the duties of the President, due to disability or other cause, and as may be determined by the Board of Trustees or the Executive Committee, the Executive Committee or the Board of Trustees shall designate the person to perform such duties until such time as the President is able to serve or a new President is elected pursuant to Article VIII.

Section 3. Each Vice President shall have such powers and shall perform such duties as may be assigned to each by the President.

Section 4. The Chief Financial Officer or Treasurer shall collect and keep safely all funds belonging to the University and disburse the funds of the University for the payment of its debts and obligations. At intervals of not more than twelve (12) months, the Chief Financial Officer or Treasurer shall prepare a balance sheet showing the financial condition of the University and a statement of receipts and disbursements for the twelve (12) months preceding such date and shall deposit said balance sheet and statement at the principal office of the University to be kept for at least ten (10) years from its date. The Chief Financial Officer or Treasurer shall have such powers and shall perform such duties as may be assigned by the President.

ARTICLE X – FISCAL YEAR

The fiscal year of the University shall commence on July 1 of each calendar year and end on June 30 of the next calendar year.

ARTICLE XI – GENERAL

Section 1. The seal of the University shall be circular in form and bear the inscription "University of Saint Joseph".

Section 2. Written waiver signed at any time by a the Corporate Member, a member of the Unicameral Body, a Trustee or committee member entitled to notice shall be equivalent to the giving of notice. A written waiver shall be delivered to the University and filed with the minutes or corporate records. The attendance by any of the Corporate Member, a member of the Unicameral Body, a Trustee or committee member at a meeting without protesting the lack of proper notice prior to the commencement of, at the beginning of, or promptly upon such person's arrival to the meeting shall be deemed to be a waiver by such person of notice of the meeting.

Section 3. Any written notice required hereunder may, without limitation, be issued by regular mail, hand delivery, electronic means or facsimile. Any written signature required under these Bylaws or the University's Certificate of Incorporation, or by Connecticut law, may be evidenced by manual, facsimile or electronic signature, any of which shall have the same legal effect as the manual signature of the signing party.

ARTICLE XII - AMENDMENTS

In accordance with the Certificate of Incorporation, subject to the approval of the Corporate Member, these Bylaws may be amended by the affirmative vote of two-thirds of all Trustees present at a meeting of the Board of Trustees at which a quorum is present, provided notice of such proposal shall have been provided to all Trustees at least seven days before such meeting

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions

Name of exempt organization or other filer, see instructions.
UNIVERSITY OF SAINT JOSEPH

Employer identification number (EIN) or
[X] 06-0646829

Number, street, and room or suite no. If a P O. box, see instructions
1678 ASYLUM AVENUE

Social security number (SSN)
[ ]

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
WEST HARTFORD, CT 06117-2791

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ WILLIAM HAWKINS, CONTROLLER
  Telephone No. ▶ 860 231-5405 FAX No. ▶ 860 231-8273
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 05/15 , 20 13 .

5 For calendar year ______ , or other tax year beginning 07/01 , 20 11 , and ending 06/30 , 20 12 .

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	**8a**	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**8c**	$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [signature] CPA Title ▶ CPA Date ▶ 1/30/2013

Form **8868**
(Rev January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions.

Name of exempt organization or other filer, see instructions. UNIVERSITY OF SAINT JOSEPH	Employer identification number (EIN) or ☒ 06-0646829
Number, street, and room or suite no if a P O box, see instructions 1678 ASYLUM AVENUE	Social security number (SSN) ☐
City, town or post office, state, and ZIP code. For a foreign address, see instructions. WEST HARTFORD, CT 06117-2791	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ WILLIAM HAWKINS, CONTROLLER

Telephone No. ▶ 860 231-5405 FAX No. ▶ 860 231-8273

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/15 , 20 13 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning 07/01 , 2011 , and ending 06/30 , 20 12 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Additional Data

Software ID:
Software Version:
EIN: 06-0646829
Name: UNIVERSITY OF SAINT JOSEPH

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
PAMELA TROTMAN REID PRESIDENT, TRUSTEE	37 5	X		X				257,328	0	85,502
KATHLEEN D AMATANGELO TRUSTEE	1 0	X						0	0	
GERALD J BOISVERT TRUSTEE	1 0	X						0		
DIANE F BROWN TRUSTEE	1 0	X						0		
JUDITH A CAREY RSM PHD TRUSTEE	1 0	X						0		
SANDRA BENDER FROMSON PHD TRUSTEE	1 0	X						0		
SUZANNE GALLAGHER RSM PHD TRUSTEE	1 0	X						0		
MARTIN J GAVIN TRUSTEE	1 0	X						0		
E CLAYTON GENGRAS JR TRUSTEE	1 0	X						0		
SHEILA W HORAN TRUSTEE	1 0	X						0		
ROSEMARY E JEFFRIES RSM PHD TRUSTEE	1 0	X						0		
WILLIAM T KOSTURKO JD TRUSTEE	1 0	X						0		
PATRICIA LESHANE TRUSTEE	1 0	X						0		
LOIS F LEWIS TRUSTEE	1 0	X						0		
MARILYNN R MALERBA TRUSTEE	1 0	X						0		
MARY A MCCARTHY RSM TRUSTEE	1 0	X						0		
JEANNE B MEROLA TRUSTEE	1 0	X						0		
LOIS NESCI TRUSTEE	1 0	X						0		
FAYE O'BRIEN RSM PHD TRUSTEE	1 0	X						0		
BREWSTER PERKINS TRUSTEE	1 0	X						0		
PATRICIA J ROONEY RSM TRUSTEE	1 0	X						0		
EDMUND M SEE JD TRUSTEE	1 0	X						0		
EDWARD G SULLIVAN CPA TRUSTEE	1 0	X						0		
CHRISTINE M WHITEHEAD JD TRUSTEE	1 0	X						0		
LAURA BERRY TRUSTEE	1 0	X						0	0	

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
SHAWN M HARRINGTON VP FINANCE & ADMIN, TREASURER	37 5			X				161,543		37,932
MICHELLE KALIS PROVOST	37 5			X				102,587		15,332
MARY KATE COX ASST VP, INST ADVANCEMENT	37 5					X		119,235		13,621
JAMES HENKEL ASSOC DEAN, SCHOOL OF PHARM	37 5					X		130,615		14,832
BERNARD LINDAUER DIRECTOR, GENGRAS CENTER	37 5					X		122,448		30,966
BERTAM NICHOLAS ASST DEAN, SCHOOL OF PHARMACY	37 5					X		122,720		17,794
JOSEPH OFOSU DEAN, SCHOOL OF PHARMACY	37 5					X		215,066		25,183