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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning JUL 1, 2011, and ending JUN 30, 2012

Name of foundation  
**PATTONY FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**CHOATE LLP PO BOX 961019**

City or town, state, and ZIP code  
**BOSTON, MA 02196**

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 4,069,319.** (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

A Employer identification number  
**04-3577440**

B Telephone number  
**(617) 248-4760**

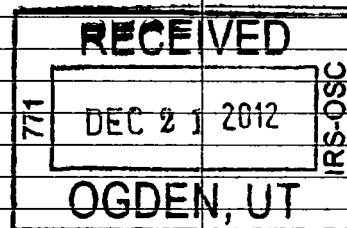
C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	300,085.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	86.	86.		STATEMENT 1
	4 Dividends and interest from securities	82,053.	81,646.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	170,805.			
	b Gross sales price for all assets on line 6a	957,662.			
	7 Capital gain net income (from Part IV, line 2)		170,805.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	553,029.	252,537.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	14,405.	0.		14,405.
	b Accounting fees STMT 4	6,100.	3,050.		3,050.
	c Other professional fees STMT 5	42,643.	31,982.		10,661.
	17 Interest				
	18 Taxes STMT 6	5,289.	2,525.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	125.	0.		125.
	24 Total operating and administrative expenses. Add lines 13 through 23	68,562.	37,557.		28,241.
	25 Contributions, gifts, grants paid	0.			0.
26 Total expenses and disbursements. Add lines 24 and 25	68,562.	37,557.		28,241.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	484,467.				
b Net investment income (if negative, enter -0-)		214,980.			
c Adjusted net income (if negative, enter -0-)			N/A		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	225,239.	232,027.	232,027.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 606,320.	STATEMENT 9		
	Less: allowance for doubtful accounts ▶ 0.	599,434.	606,320.	606,320.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 10	2,350,372.	2,655,501.	3,126,252.
	c Investments - corporate bonds STMT 11	74,128.	99,888.	104,720.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	3,249,173.	3,593,736.	4,069,319.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	3,249,173.	3,530,198.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	63,538.	
30 Total net assets or fund balances	3,249,173.	3,593,736.		
31 Total liabilities and net assets/fund balances	3,249,173.	3,593,736.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,249,173.
2 Enter amount from Part I, line 27a	2	484,467.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,733,640.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	139,904.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,593,736.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE STATEMENT ATTACHED			VARIOUS	VARIOUS
b SEE STATEMENT ATTACHED			VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 68,732.		70,261.	-1,529.
b 884,612.		716,596.	168,016.
c 4,318.			4,318.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-1,529.
b			168,016.
c			4,318.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	170,805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	168,277.	2,953,085.	.056983
2009	124,747.	2,394,361.	.052100
2008	85,551.	1,786,387.	.047891
2007	116,569.	2,045,933.	.056976
2006	109,490.	1,740,561.	.062905

2 Total of line 1, column (d)	2	.276855
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055371
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,149,496.
5 Multiply line 4 by line 3	5	174,391.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,150.
7 Add lines 5 and 6	7	176,541.
8 Enter qualifying distributions from Part XII, line 4	8	144,241.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	4,300.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,300.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,300.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,700.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,700.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,400.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 4,400. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHOATE HALL & STEWART LLP Telephone no ► (617) 248-4760 Located at ► TWO INTERNATIONAL PLACE, BOSTON, MA ZIP+4 ► 02110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes X	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PATRICIA A. RUBBICCO	TRUSTEE			
CHOATE HALL & STEWART LLP TWO INT'L BOSTON, MA 02110	1.00	0.	0.	0.
ANTHONY F. RUBBICCO	TRUSTEE			
CHOATE HALL & STEWART LLP TWO INT'L BOSTON, MA 02110	1.00	0.	0.	0.
WILLIAM A. LOWELL	TRUSTEE			
CHOATE HALL & STEWART LLP TWO INT'L BOSTON, MA 02110	1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

▶ 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 SCHOLARSHIP LOANS (NON-INTEREST BEARING) TO INDIVIDUALS - PAID DIRECTLY TO EDUCATIONAL INSTITUTIONS	116,000.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	116,000.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,991,493.
b	Average of monthly cash balances	1b	205,965.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,197,458.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,197,458.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	47,962.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,149,496.
6	Minimum investment return. Enter 5% of line 5	6	157,475.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	157,475.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4,300.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,300.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	153,175.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	153,175.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	153,175.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	28,241.
b	Program-related investments - total from Part IX-B	1b	116,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	144,241.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	144,241.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				153,175.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	16,856.			
b From 2007	19,238.			
c From 2008				
d From 2009	5,673.			
e From 2010	23,911.			
f Total of lines 3a through e	65,678.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$	144,241.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				144,241.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	8,934.			8,934.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	56,744.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	7,922.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	48,822.			
10 Analysis of line 9:				
a Excess from 2007	19,238.			
b Excess from 2008				
c Excess from 2009	5,673.			
d Excess from 2010	23,911.			
e Excess from 2011				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

## 10

**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
NONE				
<b>Total</b>			<b>▶ 3a</b>	<b>0.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>▶ 3b</b>	<b>0.</b>

**Part XVI-A      Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments			14	86.	
<b>4</b> Dividends and interest from securities			14	82,053.	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property					
<b>b</b> Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	170,805.	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e)		0.		252,944.	0.
<b>13</b> Total. Add line 12, columns (b), (d), and (e)				252,944.	

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

PATTONY FOUNDATION

Employer identification number

04-3577440

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

**PATTONY FOUNDATION****04-3577440****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>ANTHONY F. &amp; PATRICIA A. RUBBICCO</u> <u>CHOATE HALL &amp; STEWART LLP TWO INTL</u> <u>PLACE</u>  <u>BOSTON, MA 02110</u>	\$ <u>300,085.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Employer identification number

04-3577440

[illegible]

Name of organization

Employer identification number

**PATTONY FOUNDATION****04-3577440****Part III**

*Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
BLACKROCK FEDERAL TRUST FUND	86.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	86.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM SECURITIES	86,371.	4,318.	82,053.
TOTAL TO FM 990-PF, PART I, LN 4	86,371.	4,318.	82,053.

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FORM 990-PF LEGAL FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CHOATE HALL & STEWART LLP - LEGAL FEES RE SCHOLARSHIP LOAN PROGRAM	14,405.	0.		14,405.
TO FM 990-PF, PG 1, LN 16A	14,405.	0.		14,405.

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FORM 990-PF ACCOUNTING FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CHOATE HALL & STEWART LLP - TAX PREPARATION FEE	6,100.	3,050.		3,050.
TO FORM 990-PF, PG 1, LN 16B	6,100.	3,050.		3,050.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CHOATE HALL & STEWART LLP - INVESTMENT MANAGEMENT, CUSTODY, RECORDKEEPING AND GRANT ADMINISTRATION	42,643.	31,982.		10,661.
TO FORM 990-PF, PG 1, LN 16C	42,643.	31,982.		10,661.

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FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD ON DIVIDENDS	2,525.	2,525.		0.
FEDERAL EXCISE TAX 6/30/12	1,700.	0.		0.
FEDERAL EXCISE TAX 6/30/11	1,064.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,289.	2,525.		0.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEE FOR FORM PC	125.	0.		125.
TO FORM 990-PF, PG 1, LN 23	125.	0.		125.

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FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
EXCESS OF MARKET VALUE OVER COST OF DONATED SECURITIES	137,198.
BASIS ADJUSTMENT FOR NON TAXABLE RETURN OF CAPITAL	788.
6/30/12 DIVIDENDS AND INTEREST POSTED 7/2/12	1,918.
TOTAL TO FORM 990-PF, PART III, LINE 5	139,904.

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FORM 990-PF	OTHER NOTES AND LOANS RECEIVABLE	STATEMENT	9
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DESCRIPTION	BALANCE DUE	DOUBTFUL ACCT ALLOWANCE	FMV OF LOAN
VARIOUS - SEE STATEMENT ATTACHED	606,320.	0.	606,320.
TOTAL TO FM 990-PF, PART II, LN 7	606,320.	0.	606,320.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	2,066,332.	2,431,961.
ALTERNATIVE AND OTHER INVESTMENTS	589,169.	694,291.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,655,501.	3,126,252.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	99,888.	104,720.
TOTAL TO FORM 990-PF, PART II, LINE 10C	99,888.	104,720.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGERPATRICIA A. RUBBICCO  
ANTHONY F. RUBBICCO

ACCT 2YX6 856938014  
FROM 07/01/2011  
TO 06/30/2012

CHOATE, HALL & STEWART LLP  
STATEMENT OF CAPITAL GAINS AND LOSSES  
THE PATTONY FOUNDATION

PAGE 43

RUN 08/30/2012  
12 54 32 PM

TRUST YEAR ENDING 06/30/2012

TAX PREPARER: TPK  
TRUST ADMINISTRATOR 19  
INVESTMENT OFFICER:

LEGEND: F - FEDERAL S - STATE I - INHERITED  
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
785 0000 CREDIT SUISSE COMM RET ST-CO - 22544R305 - MINOR = 64							
SOLD		07/28/2011	7496 75				
ACQ	785 0000	01/21/2010	7496 75	6578 30	918.45	LT15	Y
890 0000 ISHARES MSCI CANADA INDEX FUND - 464286509 - MINOR = 63							
SOLD		07/28/2011	27945.56				
ACQ	380 0000	11/09/2009	11931 81	9858 00	2073 81	LT15	Y
	360 0000	02/10/2011	11303.82	11555 21	-251.39	ST	Y
	150 0000	07/06/2009	4709.93	3112.66	1597 27	LT15	Y
1120.0000 ISHARES MSCI GERMANY - 464286806 - MINOR = 63							
SOLD		07/28/2011	28749 75				
ACQ	1120 0000	07/13/2010	28749 75	22814 40	5935 35	LT15	Y
695 0000 LAZARD EMERGING MARKETS EQUITY INST - 52106N889 - MINOR = 61							
SOLD		07/28/2011	15060 65				
ACQ	695 0000	12/01/2008	15060.65	7200.20	7860 45	LT15	Y
465 0000 MFS EMERGING MKTS DEBT FD I - 55273E640 - MINOR = 64							
SOLD		07/28/2011	6942.45				
ACQ	465.0000	01/21/2010	6942 45	6570 45	372 00	LT15	Y
535 0000 T ROWE PRICE REAL ESTATE FUND - 779919109 - MINOR = 59							
SOLD		07/28/2011	10384 35				
ACQ	321 3260	03/16/2009	6236.94	2274 55	3962 39	LT15	Y
	213 6740	07/08/2009	4147 41	260 58	3886 83	LT15	Y
***FOUND UPDATE***							
90 0000 VANGUARD DIVIDEND APPREC ETF - 921908844 - MINOR = 63							
SOLD		07/28/2011	4908 05				
ACQ	90 0000	07/13/2010	4908 05	4187 70	720 35	LT15	Y
135 0000 VANGUARD MSCI EMERGING MARKETS ETF - 922042858 - MINOR = 63							
SOLD		07/28/2011	6503.50				
ACQ	120 0000	01/19/2010	5780 89	5098.80	682 09	LT15	Y
	15 0000	07/06/2009	722 61	472 57	250 04	LT15	Y
394 2830 VANGUARD 500 INDEX FUND-SIGN - 922908496 - MINOR = 59							
SOLD		07/28/2011	39065.56				
ACQ	276 4310	07/02/2008	27388 78	26523 56	865 22	LT15	Y
	117 8520	07/08/2009	11676.78	7897 26	3779.52	LT15	Y
1265.0000 WELLS FARGO INTL BOND FUND-INST - 94985D582 - MINOR = 64							
SOLD		07/28/2011	15470 95				
ACQ	1265 0000	06/15/2010	15470 95	13522 85	1948 10	LT15	Y
3145 0000 CREDIT SUISSE COMM RET ST-CO - 22544R305 - MINOR = 64							
SOLD		04/05/2012	25883 35				
ACQ	3145 0000	02/10/2011	25883 35	29814.60	-3931 25	LT15	Y
2767 4080 FIDELITY ADV GLOB COMM ST - 31618H606 - MINOR = 64							
SOLD		04/05/2012	40570 20				
ACQ	2116 4010	07/15/2010	31026 44	28000 00	3026 44	LT15	Y
	651 0070	02/15/2011	9543 76	11640 00	-2096 24	LT15	Y
1240 0000 ISHARES MSCI GERMANY - 464286806 - MINOR = 63							
SOLD		04/05/2012	27351 15				
ACQ	1240 0000	07/13/2010	27351 15	25258.80	2092 35	LT15	Y
3869 0000 ISHARES S&P 500 INDEX FUND - 464287200 - MINOR = 63							
SOLD		04/05/2012	542195 31				
ACQ	1550 0000	11/09/2009	217214 46	170138 23	47076 23	LT15	Y
	1509.0000	01/19/2010	211468.78	173075.81	38392 97	LT15	Y
	810.0000	02/10/2011	113512 07	107413.87	6098 20	LT15	Y
235 0000 ISHARES MSCI EAFE INDEX FUND - 464287465 - MINOR = 63							
SOLD		04/05/2012	12445 51				
ACQ	235 0000	07/28/2011	12445 51	13835 14	-1389 63	ST	Y
210 0000 ISHARES S&P SMALLCAP 600 - 464287804 - MINOR = 63							
SOLD		04/05/2012	15788 17				
ACQ	210 0000	03/12/2009	15788 17	7135.07	8653 10	LT15	Y
1650 0000 LAZARD EMERGING MARKETS EQUITY INST - 52106N889 - MINOR = 61							
SOLD		04/05/2012	32472 00				
ACQ	1117 3090	12/01/2008	21988 64	11575 32	10413 32	LT15	Y
	532 6910	03/16/2009	10483 36	5236 35	5247 01	LT15	Y
345.0000 SPDR S&P MIDCAP 400 ETF TRUST - 78467Y107 - MINOR = 63							
SOLD		04/05/2012	61633 81				
ACQ	100 0000	08/28/2008	17864 87	14972.38	2892 49	LT15	Y
	50 0000	07/06/2009	8932 44	5088 02	3844 42	LT15	Y
	70 0000	07/28/2011	12505 41	12096 00	409 41	ST	Y
	125 0000	12/01/2008	22331.09	10875 38	11455 71	LT15	Y
915 0000 WISDOMTREE JAPAN DIVIDEND FD - 97717W851 - MINOR = 63							
SOLD		04/05/2012	32476 89				
ACQ	915 0000	07/28/2011	32476 89	32774 56	-297 67	ST	Y
TOTALS			953343 96	786856 62			

51T 68,731.03 70,260.91 (1,529.28)  
L/T 884,612.33 716,595.71 168,016.62

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	-1529 28	0 00	168016 62	0 00	0 00	0 00*
COVERED FROM ABOVE	0 00	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	295 10	0 00	4318 34			0 00
	-1234 18	0 00	172334 96	0 00	0 00	0 00
=====	=====	=====	=====	=====	=====	=====



## Investment Detail

856938014 | THE PATTONY FOUNDATION

06-Aug-2012 4 00 PM ET

Asset Types: Alternative, Cash, Cash & Equivalents, Equity, Fixed Income, Other  
 Data Current as of: 30-Jun-2012

## SUMMARY

Asset Type	Current Allocation	Market Value	Total Cost	Unrealized Gain/Loss
Alternative	16.092%	\$557,101.59	\$452,744.31	\$104,357.28
Cash	0.000%	\$0.00	\$0.00	\$0.00
Cash & Equivalents	6.675%	\$231,093.59	\$231,093.59	\$0.00
Equity	70.246%	\$2,431,960.94	\$2,066,332.26	\$365,628.68
Fixed Income	3.025%	\$104,719.64	\$99,888.00	\$4,831.64
Other	3.963%	\$137,188.87	\$136,425.00	\$763.87
<b>Totals</b>		<b>\$3,462,064.63</b>	<b>\$2,986,483.16</b>	<b>\$475,581.47</b>

## DETAIL

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
<b>Alternative: 16.092%</b>									
CREDIT SUISSE COMM RET ST- CO Ticker CRSOX   Asset ID 22544R305	-	22544R305 CRSOX	2.627%	11,643.2210	7.8100	29-Jun-2012	\$90,933.56	\$88,521.23	\$2,412.33
GATEWAY FUND Y Ticker GTEYX   Asset ID 367829884	-	367829884 GTEYX	3.796%	4,866.8540	27.0000	29-Jun-2012	\$131,405.06	\$129,945.00	\$1,460.06
MFS EMERGING MKTS DEBT FD I Ticker MEDIX   Asset ID 55273E640	-	55273E640 MEDIX	3.332%	7,603.7350	15.1700	29-Jun-2012	\$115,348.66	\$94,887.89	\$20,460.77
SPDR DJ WILSHIRE INTL REAL ESTATE Ticker RWX   Asset ID 78463X863	-	78463X863 RWX	0.680%	640.0000	36.7800	29-Jun-2012	\$23,539.20	\$18,581.77	\$4,957.43
T ROWE PRICE REAL ESTATE FUND Ticker TRREX   Asset ID 779919109	-	779919109 TRREX	2.202%	3,640.2590	20.9400	29-Jun-2012	\$76,227.02	\$4,032.68	\$72,194.34
WELLS FARGO INTL BOND FUND- INST Ticker ESICX   Asset ID 94985D582	-	94985D582 ESICX	3.456%	10,635.3860	11.2500	29-Jun-2012	\$119,648.09	\$116,775.74	\$2,872.35
<b>Subtotal</b>			<b>16.092%</b>				<b>\$557,101.59</b>	<b>\$452,744.31</b>	<b>\$104,357.28</b>
<b>Cash: 0.000%</b>									
CASH - INCOME	-	-	1.881%	65,112.0000	1.0000		\$65,112.00	\$65,112.00	\$0.00
CASH - PRINCIPAL	-	-	(1.881)%	(65,112.0000)	1.0000		(\$65,112.00)	(\$65,112.00)	\$0.00
<b>Subtotal</b>			<b>0.000%</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Cash &amp; Equivalents: 6.675%</b>									
BLACKROCK LIQ FD FED TRST-IN Ticker TFFXX   Asset ID 09248U874	-	09248U874 TFFXX	6.675%	231,093.5900	100.0000%	29-Jun-2012	\$231,093.59	\$231,093.59	\$0.00
<b>Subtotal</b>			<b>6.675%</b>				<b>\$231,093.59</b>	<b>\$231,093.59</b>	<b>\$0.00</b>



Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Pnced	Market Value	Total Cost	Unrealized Gain/Loss
<b>Equity: 70.246%</b>									
FIDELITY SPART INTL INDEX FA Ticker FSIVX   Asset ID 315911875	-	315911875 FSIVX	7.231%	8,127.7730	30.8000	29-Jun-2012	\$250,335.41	\$218,637.08	\$31,698.33
GOLDMAN SACHS LOC EMG MK-I Ticker GIMDX   Asset ID 38145N303	-	38145N303 GIMDX	3.304%	12,499.9060	9.1500	29-Jun-2012	\$114,374.14	\$116,160.00	(\$1,785.86)
ISHARES MSCI CANADA INDEX FUND Ticker EWC   Asset ID 464286509	-	464286509 EWC	0.780%	1,045.0000	25.8400	29-Jun-2012	\$27,002.80	\$20,510.63	\$6,492.17
ISHARES S&P SMALLCAP 600 Ticker IJR   Asset ID 464287804	-	464287804 IJR	0.974%	460.0000	73.2700	29-Jun-2012	\$33,704.20	\$15,629.19	\$18,075.01
LAZARD EMERGING MARKETS EQUITY INST Ticker LZEMX   Asset ID 52106N889	2236609	52106N889 LZEMX	1.887%	3,592.3600	18.1900	29-Jun-2012	\$65,345.03	\$35,312.90	\$30,032.13
MFS INTL VALUE I Ticker MINIX   Asset ID 55273E822	-	55273E822 MINIX	4.313%	5,688.8030	26.2500	29-Jun-2012	\$149,331.08	\$147,340.00	\$1,991.08
POWERSHARES BUYBACK ACHIEVERS Ticker PKW   Asset ID 73935X286	-	73935X286 PKW	2.332%	2,840.0000	28.4300	29-Jun-2012	\$80,741.20	\$83,213.95	(\$2,472.75)
SPDR S&P MIDCAP 400 ETF TRUST Ticker MDY   Asset ID 78467Y107	-	78467Y107 MDY	1.212%	245.0000	171.3000	29-Jun-2012	\$41,968.50	\$20,380.96	\$21,587.54
T ROWE INSTI AFR&MID EAST Ticker TRIAX   Asset ID 74144Q609	-	74144Q609 TRIAx	2.293%	15,120.3010	5.2500	29-Jun-2012	\$79,381.58	\$74,000.00	\$5,381.58
TEMPLETON FRONTIER MARKET-AD Ticker FFRZX   Asset ID 88019R641	-	88019R641 FFRZX	0.951%	2,351.2680	14.0000	29-Jun-2012	\$32,917.75	\$35,885.00	(\$2,967.25)
VANGUARD 500 INDEX FUND-SIGN Ticker VIFSX   Asset ID 922908496	-	922908496 VIFSX	21.142%	7,058.2300	103.7000	29-Jun-2012	\$731,938.45	\$446,689.84	\$285,248.61
VANGUARD DIVIDEND APPREC ETF Ticker VIG   Asset ID 921908844	-	921908844 VIG	3.013%	1,840.0000	56.6900	29-Jun-2012	\$104,309.60	\$87,264.87	\$17,044.73
VANGUARD FTSE ALL WORLD X-US SC Ticker VSS   Asset ID 922042718	-	922042718 VSS	3.961%	1,685.0000	81.3800	29-Jun-2012	\$137,125.30	\$149,419.95	(\$12,294.65)
VANGUARD MSCI EAFE ETF Ticker VEA   Asset ID 921943858	-	921943858 VEA	9.142%	10,025.0000	31.5700	29-Jun-2012	\$316,489.25	\$348,742.82	(\$32,253.57)
VANGUARD MSCI EMERGING MARKETS ETF Ticker VWO   Asset ID 922042858	-	922042858 VWO	4.302%	3,730.0000	39.9300	29-Jun-2012	\$148,938.90	\$142,322.67	\$6,616.23
VIRTUS EMERGING MKTS OPPOR-I	-	92828T889 HIEMX	1.971%	7,266.0540	9.3900	29-Jun-2012	\$68,228.25	\$71,280.00	(\$3,051.75)

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Pnced	Market Value	Total Cost	Unrealized Gain/Loss
<b>Ticker HIEMX  </b> <b>Asset</b> <b>ID 92828T889</b>									
WISDOMTREE EMERGING MKTS S/C DIV FD Ticker DGS   Asset ID 97717W281	-	97717W281 DGS	1 439%	1,150 0000	43 3300	29-Jun-2012	\$49,829 50	\$53,542 40	(\$3,712 90)
<b>Subtotal</b>			<b>70.246%</b>				<b>\$2,431,960 94</b>	<b>\$2,066,332.26</b>	<b>\$365,628.68</b>
<b>Fixed Income: 3.025%</b>									
DOMINION RESOURCES 5 000% 3/15/13 Asset ID 25746UAM1	-	25746UAM1	0 743%	25,000 0000	102 9560%	29-Jun-2012	\$25,739 00	\$23,940 50	\$1,798 50
GOLDMAN SACHS GROUP 5 150% 1/15/14 Asset ID 38143UAB7	-	38143UAB7	0 750%	25,000 0000	103 9230%	29-Jun-2012	\$25,980 75	\$24,987 50	\$993 25
PIMCO TOTAL RETURN FUND- INST Ticker PTTRX   Asset ID 693390700	-	693390700 PTTRX	0 782%	2,395 8510	11 3000	29-Jun-2012	\$27,073 12	\$25,860 00	\$1,213 12
VANGUARD TOTL BD MKT IDX Ticker VBTSX   Asset ID 921937868	-	921937868 VBTSX	0 749%	2,335 7450	11 1000	29-Jun-2012	\$25,926 77	\$25,100 00	\$826 77
<b>Subtotal</b>			<b>3.025%</b>				<b>\$104,719.64</b>	<b>\$99,888.00</b>	<b>\$4,831.64</b>
<b>Other: 3.963%</b>									
FIDELITY HIGH INCOME FUND Ticker SPHIX   Asset ID 316146406	2835943	316146406 SPHIX	3 963%	15,277 1570	8 9800	29-Jun-2012	\$137,188 87	\$136,425 00	\$763 87
<b>Subtotal</b>			<b>3.963%</b>				<b>\$137,188.87</b>	<b>\$136,425.00</b>	<b>\$763.87</b>



## Investment Detail

856939012 | PATTONY FOUNDATION PROMISSORY NOTES

31-Aug-2012 9 58 AM ET

Asset Types: Alternative, Cash, Cash & Equivalents, Equity, Fixed Income, Other  
 Data Current as of: 30-Jun-2012

## SUMMARY

Asset Type	Current Allocation	Market Value	Total Cost	Unrealized Gain/Loss
Cash	0.000%	\$0.00	\$0.00	\$0.00
Cash & Equivalents	0.154%	\$933.34	\$933.34	\$0.00
Other	99.846%	\$606,320.04	\$606,320.04	\$0.00
<b>Totals</b>		<b>\$607,253.38</b>	<b>\$607,253.38</b>	<b>\$0.00</b>

## DETAIL

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
<b>Cash: 0.000%</b>									
CASH - INCOME	-	-	(0.259)%	(1,574.0900)	1.0000		(\$1,574.09)	(\$1,574.09)	\$0.00
CASH - PRINCIPAL	-	-	0.259%	1,574.0900	1.0000		\$1,574.09	\$1,574.09	\$0.00
<b>Subtotal</b>			<b>0.000%</b>				<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
<b>Cash &amp; Equivalents: 0.154%</b>									
FEDERATED GOV OBL TX MGD-I Ticker GOTXX   Asset ID 60934N856	-	60934N856 GOTXX	0.154%	933.3400	100.0000%	29-Jun-2012	\$933.34	\$933.34	\$0.00
<b>Subtotal</b>			<b>0.154%</b>				<b>\$933.34</b>	<b>\$933.34</b>	<b>\$0.00</b>
<b>Other: 99.846%</b>									
AIELLO, CASEY L, \$32,000 NOTE Ticker 998622AC1   Asset ID 998622AC1	-	998622AC1 998622AC1	5.006%	30,400.0100	100.0000%	18-Jan-2012	\$30,400.01	\$30,400.01	\$0.00
BANZY, ALEXANDER Ticker 998622AL1   Asset ID 998622AL1	-	998622AL1 998622AL1	0.823%	5,000.0000	100.0000%	17-Oct-2011	\$5,000.00	\$5,000.00	\$0.00
BANZY, ALEXANDER \$5,000 NOTE Ticker 998622BA1   Asset ID 998622BA1	-	998622BA1 998622BA1	0.823%	5,000.0000	100.0000%	02-Nov-2011	\$5,000.00	\$5,000.00	\$0.00
BANZY, AMANDA Ticker 998622AM1   Asset ID 998622AM1	-	998622AM1 998622AM1	0.823%	5,000.0000	100.0000%	29-Sep-2010	\$5,000.00	\$5,000.00	\$0.00
BANZY, AMANDA Ticker 998622AM2   Asset ID 998622AM2	-	998622AM2 998622AM2	0.823%	5,000.0000	100.0000%	17-Oct-2011	\$5,000.00	\$5,000.00	\$0.00
BERNAZANI, NICOLE Asset ID 998622NI1	-	998622NI1 -	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
BRADBURY, KARLEIGH Ticker 998622KB1   Asset ID 998622KB1	-	998622KB1 998622KB1	1.647%	10,000.0000	100.0000%	29-Sep-2010	\$10,000.00	\$10,000.00	\$0.00
BRADBURY, KARLEIGH Ticker 998622KB2   Asset ID 998622KB2	-	998622KB2 998622KB2	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
BROWN, ERIC	-	998622EB1	1.235%	7,500.0000	100.0000%	17-Oct-2011	\$7,500.00	\$7,500.00	\$0.00

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
Ticker 998622EB1   Asset ID 998622EB1		998622EB1							
BUTLER, STEPHEN F, \$20,000 NOTE Ticker 998622SB3   Asset ID 998622SB3	-	998622SB3 998622SB3	3.294%	20,000.0000	100.0000%	18-Jan-2012	\$20,000.00	\$20,000.00	\$0.00
CLARK, MEGHAN E, \$28,000 NOTE Ticker 998622MC1   Asset ID 998622MC1	-	998622MC1 998622MC1	4.611%	28,000.0000	100.0000%	18-Jan-2012	\$28,000.00	\$28,000.00	\$0.00
COMSTOCK, DESIREE, \$5,000 NOTE Ticker 998001AT8   Asset ID 998001AT8	-	998001AT8 998001AT8	0.823%	5,000.0000	100.0000%	31-Aug-2010	\$5,000.00	\$5,000.00	\$0.00
CRUIKSHANK, KRISTIN Ticker 998622KC6   Asset ID 998622KC6	-	998622KC6 998622KC6	1.647%	10,000.0000	100.0000%	29-Sep-2010	\$10,000.00	\$10,000.00	\$0.00
DICARLO, JANELLE, \$5,000 NOTE Ticker 998622JD3   Asset ID 998622JD3	-	998622JD3 998622JD3	0.823%	5,000.0000	100.0000%	31-Aug-2010	\$5,000.00	\$5,000.00	\$0.00
DICARLO, JENALEE \$20,500 NOTE Ticker 998622JD8   Asset ID 998622JD8	-	998622JD8 998622JD8	1.800%	10,933.2400	100.0000%	31-Aug-2010	\$10,933.24	\$10,933.24	\$0.00
DIQUATTRO, GORDON Ticker 998622DG1   Asset ID 998622DG1	-	998622DG1 998622DG1	1.647%	10,000.0000	100.0000%	29-Sep-2010	\$10,000.00	\$10,000.00	\$0.00
DIQUATTRO, GORDON Ticker 998622DG2   Asset ID 998622DG2	-	998622DG2 998622DG2	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
DYER, SUSAN MARIE, \$25,000 NOTE Ticker 998662SS1   Asset ID 998662SS1	-	998662SS1 998662SS1	0.412%	2,500.3600	100.0000%	31-Aug-2010	\$2,500.36	\$2,500.36	\$0.00
GIORE, MATTHEW, \$7,000 NOTE Ticker 998001AH4   Asset ID 998001AH4	-	998001AH4 998001AH4	0.038%	233.3200	100.0000%	31-Aug-2010	\$233.32	\$233.32	\$0.00
FORAN, BRIAN PATRICK Ticker 998622BF2   Asset ID 998622BF2	-	998622BF2 998622BF2	1.647%	10,000.0000	100.0000%	29-Sep-2010	\$10,000.00	\$10,000.00	\$0.00
FORAN, BRIAN PATRICK Ticker 998622BF3   Asset ID 998622BF3	-	998622BF3 998622BF3	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
GLICK, MATTHEW, \$14,000 NOTE Ticker 998622MG0   Asset ID 998622MG0	-	998622MG0 998622MG0	1.171%	7,108.4300	100.0000%	31-Aug-2010	\$7,108.43	\$7,108.43	\$0.00
HURKETT, MOLLY ROSE, \$10,000 NOTE Ticker 998622MH2   Asset ID 998622MH2	-	998622MH2 998622MH2	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00
HURKETT, PETER, \$10,000 NOTE Ticker 998622MH3   Asset ID 998622MH3	-	998622MH3 998622MH3	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Prced	Market Value	Total Cost	Unrealized Gain/Loss
ID 998622MH3 JARMUSIK, BRIAN RILEY, \$7,000 NOTE Ticker 998001AP6   Asset ID 998001AP6	-	998001AP6 998001AP6	0 077%	466 4600	100 0000%	31-Aug-2010	\$466 46	\$466 46	\$0 00
KONTOS, MARINA, \$5,000 NOTE Ticker 998001AQ4   Asset ID 998001AQ4	-	998001AQ4 998001AQ4	0 041%	250 1600	100 0000%	31-Aug-2010	\$250 16	\$250 16	\$0 00
LAMB, ROBIN P, \$5,000 NOTE Ticker 998622RL0   Asset ID 998622RL0	-	998622RL0 998622RL0	0 246%	1,493 4700	100 0000%	31-Aug-2010	\$1,493 47	\$1,493 47	\$0 00
LAVITA, JENNA, \$5,000 NOTE Ticker 998622JL0   Asset ID 998622JL0	-	998622JL0 998622JL0	0 371%	2,250 0900	100 0000%	31-Aug-2010	\$2,250 09	\$2,250 09	\$0 00
LAVORGNA, JACQUELINE Ticker 998622JL2   Asset ID 998622JL2	-	998622JL2 998622JL2	1 153%	7,000 0000	100 0000%	17-Oct-2011	\$7,000 00	\$7,000 00	\$0 00
LAVORGNA, JACQUELINE Ticker 998622JL1   Asset ID 998622JL1	-	998622JL1 998622JL1	1 153%	7,000 0000	100 0000%	29-Sep-2010	\$7,000 00	\$7,000 00	\$0 00
LAVORGNA, JACQUELINE Ticker 998622CB4   Asset ID 998622CB4	-	998622CB4 998622CB4	1 153%	7,000 0000	100 0000%	31-Aug-2010	\$7,000 00	\$7,000 00	\$0 00
LEE, NICOLE J, \$8,000 NOTE Ticker 998622CD3   Asset ID 998622CD3	-	998622CD3 998622CD3	1 317%	8,000 0000	100 0000%	31-Aug-2010	\$8,000 00	\$8,000 00	\$0 00
LEE, NICOLE J Ticker 998622NL2   Asset ID 998622NL2	-	998622NL2 998622NL2	1 647%	10,000 0000	100 0000%	17-Oct-2011	\$10,000 00	\$10,000 00	\$0 00
LEE, NICOLE J Ticker 998622NL1   Asset ID 998622NL1	-	998622NL1 998622NL1	1 317%	8,000 0000	100 0000%	29-Sep-2010	\$8,000 00	\$8,000 00	\$0 00
LEE, NICOLE J, \$10,000 NOTE Ticker 998622NLO   Asset ID 998622NLO	-	998622NLO 998622NLO	1 647%	10,000 0000	100 0000%	31-Aug-2010	\$10,000 00	\$10,000 00	\$0 00
LEE, TIFFANY Ticker 998622TL3   Asset ID 998622TL3	-	998622TL3 998622TL3	1 647%	10,000 0000	100 0000%	29-Sep-2010	\$10,000 00	\$10,000 00	\$0 00
LEE, TIFFANY M, \$32,500 NOTE Ticker 998622MG1   Asset ID 998622MG1	-	998622MG1 998622MG1	1 962%	11,916 5400	100 0000%	31-Aug-2010	\$11,916 54	\$11,916 54	\$0 00
LEE, VICTOR, \$34,500 NOTE Ticker 998662SS2   Asset ID 998662SS2	-	998662SS2 998662SS2	0 473%	2,875 0000	100 0000%	31-Aug-2010	\$2,875 00	\$2,875 00	\$0 00
LINCOURT, JUSTIN H, \$10,000 NOTE Ticker 998622CB6   Asset ID 998622CB6	-	998622CB6 998622CB6	1 592%	9,666 6600	100 0000%	31-Aug-2010	\$9,666 66	\$9,666 66	\$0 00
LYNCH, BENJAMIN JAMES, \$32,500 NOTE Ticker 998622MG2   Asset ID 998622MG2	-	998622MG2 998622MG2	1 516%	9,208 1900	100 0000%	31-Aug-2010	\$9,208 19	\$9,208 19	\$0 00
MARROTTO, SABRINA, \$7,500 NOTE Ticker 998622SM0   Asset ID 998622SM0	-	998622SM0 998622SM0	0 021%	125 0000	100 0000%	31-Aug-2010	\$125 00	\$125 00	\$0 00

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
MASERIAN, JAY D Ticker 998622JA1   Asset ID 998622JA1	-	998622JA1 998622JA1	1 235%	7,500 0000	100 0000%	17-Oct-2011	\$7,500 00	\$7,500 00	\$0 00
MASERIAN, JAY D Ticker 998622JM5   Asset ID 998622JM5	-	998622JM5 998622JM5	1 647%	10,000 0000	100 0000%	29-Sep-2010	\$10,000 00	\$10,000 00	\$0 00
MASERIAN, JAY D Ticker 998622CB7   Asset ID 998622CB7	-	998622CB7 998622CB7	1 647%	10,000 0000	100 0000%	31-Aug-2010	\$10,000 00	\$10,000 00	\$0 00
MASERIAN, JENNA L, \$38,000 NOTE Ticker 998622JM0   Asset ID 998622JM0	-	998622JM0 998622JM0	6 049%	36,733 3400	100 0000%	18-Jan-2012	\$36,733 34	\$36,733 34	\$0 00
MASERIAN, KAYLA R, \$10,000 NOTE Ticker 998622KM0   Asset ID 998622KM0	-	998622KM0 998622KM0	1 460%	8,867 5300	100 0000%	31-Aug-2010	\$8,867 53	\$8,867 53	\$0 00
MCCORMICK, CHELSEY Ticker 998622CM4   Asset ID 998622CM4	-	998622CM4 998622CM4	0 659%	4,000 0000	100 0000%	06-Dec-2011	\$4,000 00	\$4,000 00	\$0 00
MCCORMICK, CHELSEY Ticker 998622CM1   Asset ID 998622CM1	-	998622CM1 998622CM1	0 823%	5,000 0000	100 0000%	29-Sep-2010	\$5,000 00	\$5,000 00	\$0 00
MCCORMICK, CHRISTIAN Ticker 998622CM2   Asset ID 998622CM2	-	998622CM2 998622CM2	1 647%	10,000 0000	100 0000%	29-Sep-2010	\$10,000 00	\$10,000 00	\$0 00
MCCORMICK, CHRISTIAN Ticker 998622CM3   Asset ID 998622CM3	-	998622CM3 998622CM3	1 647%	10,000 0000	100 0000%	17-Oct-2011	\$10,000 00	\$10,000 00	\$0 00
MCLAUGHLIN, JOHN R, \$24,500 NOTE Ticker 998662SS3   Asset ID 998662SS3	-	998662SS3 998662SS3	0 082%	500 0000	100 0000%	31-Aug-2010	\$500 00	\$500 00	\$0 00
NEEB, CHRISTINE V, \$22,500 NOTE Ticker 998662SS4   Asset ID 998662SS4	-	998662SS4 998662SS4	0 124%	750 0000	100 0000%	31-Aug-2010	\$750 00	\$750 00	\$0 00
O'CONNELL, DANIEL Ticker 998622DO1   Asset ID 998622DO1	-	998622DO1 998622DO1	1 647%	10,000 0000	100 0000%	29-Sep-2010	\$10,000 00	\$10,000 00	\$0 00
O'CONNELL, THOMAS Ticker 998622TO1   Asset ID 998622TO1	-	998622TO1 998622TO1	1 647%	10,000 0000	100 0000%	29-Sep-2010	\$10,000 00	\$10,000 00	\$0 00
O'FARRELL, BRITTANY L, \$30,000 NOTE Ticker 998622MG3   Asset ID 998622MG3	-	998622MG3 998622MG3	1 317%	8,000 0000	100 0000%	31-Aug-2010	\$8,000 00	\$8,000 00	\$0 00
O'FARRELL, COURTNEY, \$27,500 NOTE Ticker 998662SS5   Asset ID 998662SS5	-	998662SS5 998662SS5	0 000%	0 2000	100 0000%	31-Aug-2010	\$0 20	\$0 20	\$0 00
PAULIN, LAURA MARIE, \$10,000 NOTE Ticker 998622LM0   Asset	-	998622LM0 998622LM0	0 475%	2,883 1900	100 0000%	31-Aug-2010	\$2,883 19	\$2,883 19	\$0 00

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Priced	Market Value	Total Cost	Unrealized Gain/Loss
ID 998622LM0 PINO, ANNA-MARIA, \$5,000 NOTE Ticker 998622CD5   Asset ID 998622CD5	-	998622CD5 998622CD5	0.823%	5,000.0000	100.0000%	31-Aug-2010	\$5,000.00	\$5,000.00	\$0.00
PORTALEWSKA, AGNES, \$7,500.00 NOTE Ticker 998622AP1   Asset ID 998622AP1	-	998622AP1 998622AP1	0.453%	2,750.0000	100.0000%	31-Aug-2010	\$2,750.00	\$2,750.00	\$0.00
PUGLIESE, JEFFREY, \$10,000 NOTE Ticker 998662SS6   Asset ID 998662SS6	-	998662SS6 998662SS6	0.028%	167.0400	100.0000%	31-Aug-2010	\$167.04	\$167.04	\$0.00
PUGLIESE, SASHA, \$15,000 NOTE Ticker 998622SP9   Asset ID 998622SP9	-	998622SP9 998622SP9	1.359%	8,250.0000	100.0000%	31-Aug-2010	\$8,250.00	\$8,250.00	\$0.00
QUILLING, MARK, \$7,500 NOTE Ticker 998001BF7   Asset ID 998001BF7	-	998001BF7 998001BF7	0.226%	1,375.0000	100.0000%	31-Aug-2010	\$1,375.00	\$1,375.00	\$0.00
ROBBLEE, CHRISTOPHER, \$10,000 NOTE Ticker 998622CR0   Asset ID 998622CR0	-	998622CR0 998622CR0	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00
ROBBLEE, CHRISTOPHER, \$5,000 NOTE Ticker 998622CD6   Asset ID 998622CD6	-	998622CD6 998622CD6	0.823%	5,000.0000	100.0000%	31-Aug-2010	\$5,000.00	\$5,000.00	\$0.00
ROJAS, PETER, \$11,000 NOTE Ticker 998622MG4   Asset ID 998622MG4	-	998622MG4 998622MG4	0.483%	2,933.4600	100.0000%	31-Aug-2010	\$2,933.46	\$2,933.46	\$0.00
SAINTE, JR., STEVEN L. Ticker 998622CB9   Asset ID 998622CB9	-	998622CB9 998622CB9	1.290%	7,833.2900	100.0000%	31-Aug-2010	\$7,833.29	\$7,833.29	\$0.00
SCIASCIA, TIMOTHY, \$20,000 NOTE Ticker 998662SS7   Asset ID 998662SS7	-	998662SS7 998662SS7	2.799%	17,000.0300	100.0000%	31-Aug-2010	\$17,000.03	\$17,000.03	\$0.00
THIBAUT, ADAM B. Ticker 998622AT1   Asset ID 998622AT1	-	998622AT1 998622AT1	1.647%	10,000.0000	100.0000%	29-Sep-2010	\$10,000.00	\$10,000.00	\$0.00
THIBAUT, ADAM B. Ticker 998622AT2   Asset ID 998622AT2	-	998622AT2 998622AT2	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
THIBAUT, ADAM B. Ticker 998622CC2   Asset ID 998622CC2	-	998622CC2 998622CC2	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00
TRACY, HILLARY Ticker 998622HT1   Asset ID 998622HT1	-	998622HT1 998622HT1	1.647%	10,000.0000	100.0000%	17-Oct-2011	\$10,000.00	\$10,000.00	\$0.00
TURESKY, RACHEL, \$10,000.00 NOTE Ticker 998622RT0   Asset ID 998622RT0	-	998622RT0 998622RT0	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00
ULLRICH,	-	998622UV0	0.220%	1,333.1600	100.0000%	31-Aug-2010	\$1,333.16	\$1,333.16	\$0.00

Asset Name	SEDOL	CUSIP Ticker	Current Allocation	Quantity	Current Price	Date Pnced	Market Value	Total Cost	Unrealized Gain/Loss
VALERIE, \$10,000 NOTE Ticker 998622UV0   Asset ID 998622UV0		998622UV0							
VINCENT, CAITLIN, \$5,000 00 NOTE Ticker 998622CV0   Asset ID 998622CV0	-	998622CV0 998622CV0	0.398%	2,416.7700	100.0000%	31-Aug-2010	\$2,416.77	\$2,416.77	\$0.00
WOODBERRY, KAREN, \$5,000 NOTE Ticker 998001BJ9   Asset ID 998001BJ9	-	998001BJ9 998001BJ9	0.082%	500.1800	100.0000%	31-Aug-2010	\$500.18	\$500.18	\$0.00
WOODBERRY, KRISTIN A, \$10,000 NOTE Ticker 998622CD7   Asset ID 998622CD7	-	998622CD7 998622CD7	0.922%	5,599.9200	100.0000%	31-Aug-2010	\$5,599.92	\$5,599.92	\$0.00
ZUNIGA, JULIE ANNE, Ticker 998622CC3   Asset ID 998622CC3	-	998622CC3 998622CC3	1.647%	10,000.0000	100.0000%	31-Aug-2010	\$10,000.00	\$10,000.00	\$0.00
Subtotal			99.846%				\$606,320.04	\$606,320.04	\$0.00

TOTAL  
ORIGINAL  
AMOUNT  
\$920,000



# THE PATTONY FOUNDATION

## Educational Loan Application for School Year 2012-2013

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The Pattony Foundation provides interest-free loans to help students finance their education. Loans range in amounts from \$1,000 to \$10,000. The Foundation makes interest-free loans rather than outright grants because it believes that it is important for students to invest in their own education.

### Selection Criteria

In order to qualify as an applicant, you must meet the following criteria:

- Have graduated or be about to graduate from a high school or college located in New England (i.e., the states of Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, or Vermont).
- Be enrolled or enrolling in an accredited post-secondary institution.
- Demonstrate, in order of most to least important, the following: financial need; character, motivation and leadership skills; and academic promise.
- Complete and submit this application with the required attachments, prior to the deadline listed below.

In order to receive the interest-free loan, if selected:

- Dependent students: you and your parents will be required to sign a promissory note agreeing to repay the loan (without interest).
- Independent students: you will be required to sign a promissory note agreeing to repay the loan (without interest).

The terms of the loan (e.g., length of time to repay, frequency and amount of payments, etc.) will depend on your particular circumstances. Those terms will be determined in consultation with you at the time of your selection.

\* Applicants who have qualified and received interest-free loan(s) from the Foundation in prior year(s) may be eligible to apply for additional loans in succeeding year(s) \*

### Deadline

Your completed application and attachments must arrive in one envelope at the address listed below no later than **May 31, 2012**. Applicants selected to receive a loan will be notified on or about July 29, 2012.

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Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation  
c/o William A. Lowell  
Choate, Hall & Stewart LLP  
Two International Place  
Boston, Massachusetts 02110

Please contact Eileen Vincent at (617) 248-4868 if you have any questions concerning the application or submission process.

**THE PATTONY FOUNDATION**  
**CHECK LIST OF REQUIREMENTS FOR SUBMITTING**  
**STUDENT LOAN APPLICATION**

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*This check list is intended only as a "check list" and has been included to assist in keeping track of the application requirements which need to be completed.*

- ☐ 1.     **Application filled in completely**
  - \_\_\_\_\_ **Background Information** (Section A)
  - \_\_\_\_\_ **Financial Aid Information** (Section B)
  - \_\_\_\_\_ **Leadership and Personal Qualities** (Section C)
  - \_\_\_\_\_ **Academic Information** (Section D)
  - \_\_\_\_\_ **Additional Information** (Section E)
  - \_\_\_\_\_ **Certification and Signatures** (Section F)
- ☐ 2.     **Acceptance Letter** (Section A) \*
- ☐ 3.     **Character Reference** (Section A) \*
- ☐ 4.     **Financial Award Letter and/or SAR** (Section B1)
- ☐ 5.     **School Literature** (Section B2) \*
- ☐ 6.     **Form 4506-T – Request for Transcript of Tax Return** (Section B4 or B5)
- ☐ 7.     **Resume of Activities** (Section C1)
- ☐ 8.     **Essay** (Section C2)
- ☐ 9.     **Scholastic Reference Letter** (Section C3)
- ☐ 10.    **Official Transcript** (Section D1)

Loans are made to the **student applicant only** (not the parents, the school or outside agencies).

It is important that you follow these instructions carefully - your ability to follow these instructions is a factor taken into consideration by the Foundation Trustees when selecting loan recipients.

The application form **MUST BE FILLED IN COMPLETELY** by the student applying for the loan with all the requested information. If a particular question or section is not applicable to you, indicate either "*Not Applicable*", "*No*" or "*None*".

Recipients must notify the Foundation if any of the following changes have occurred: change of graduation date; change of school; change of major; change of address or change of telephone number.

(\*) Students who have previously received funds from the Foundation **DO NOT** need to complete these sections

# THE PATTONY FOUNDATION EDUCATIONAL LOAN APPLICATION

Please type or print legibly in black or blue ink.

## **A. Background Information**

Name: \_\_\_\_\_  
Social Security No.: \_\_\_\_\_  
Birth Date: \_\_\_\_\_  
Permanent Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_  
E-mail: \_\_\_\_\_

Please provide the address and phone number for the best place to contact you, if different from above:

Address: \_\_\_\_\_  
Telephone: \_\_\_\_\_

### **High School**

Graduated: Yes/No (circle one)

If yes, date of graduation: \_\_\_\_\_ If no, expected date of graduation: \_\_\_\_\_

Name, City and State of High School: \_\_\_\_\_

### **Post-Secondary Institution**, if applicable

Graduated: Yes/No (circle one)

If yes, date of graduation: \_\_\_\_\_ If no, expected date of graduation: \_\_\_\_\_

Name, City and State of Institution: \_\_\_\_\_

### **Post-Secondary or Graduate Institution**, for Loan Request

Name, City and State of Institution: \_\_\_\_\_

Loan Amount Requested: \$ \_\_\_\_\_, Not to exceed \$10,000.  
(The Foundation reserves the right to award less than the amount you request.)

**Enrollment Status:**

In Fall 2012,

I am: Accepted/Enrolled/Awaiting a Decision (*circle one*)

*If applicable, attach copy of Acceptance Letter*

I will be a: Freshman/Sophomore/Junior/Senior/Graduate Student (*circle one*)

Full-time/Part-time (*circle one*)

My expected graduation date is: \_\_\_\_\_

My intended field of study and degree are: \_\_\_\_\_

My housing status is: On Campus/Off Campus/Home with Family (*circle one*)

**Character Reference:**

Attach a reference letter from someone who will know your address after graduation. (Personal friends, neighbors, employers, clergy, etc.)

**\*\* Immediate family and persons from the academic field may not be used \*\***

**B. Financial Aid Information**

(1) **Financial Aid:**

If you have **NOT** applied for financial aid, check this box ☐

If you **HAVE** applied for financial aid, please attach the following documents:

- Copy of the financial aid award letter from the college or institution of higher education you will be attending (if you are undecided, please send award letters from your top two choices)
- Copy of your final Student Aid Report (SAR);  
"Information Acknowledgement 2012-2013 Student Aid Report Federal Student Aid Programs"

(2) **Budget** (*Attach Official School Brochure or Letter Showing Costs of Tuition, Room & Board, Etc*)

**Budget for Academic Year**

Tuition/Fees	\$ _____
Room/Board	\$ _____
Books	\$ _____
Travel	\$ _____
Other	\$ _____
<b>Total</b>	\$ _____

**Your Resources for Academic Year**

Given by Family	\$ _____
Your Own Resources	\$ _____
Wages (if any)	\$ _____
Scholarships (if any)	\$ _____
Other Loans (if any)	\$ _____
<b>Total</b>	\$ _____

(3) Educational Indebtedness:

Total student loans owed to date - please list sources, interest rate and repayment terms. Include any previous loans from this Foundation. Estimate your total debt upon completion of your studies/graduation.

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(4) Financial Resources – Dependent Student:

*Parental Information:*

Complete this question using information from the most recent U.S. Income Tax Return.  
(A completed Form 4506-T – Request for Transcript of Tax Return must also be included)

Father - Name and Occupation: \_\_\_\_\_

Mother - Name and Occupation: \_\_\_\_\_

Adjusted Gross Income: \$ \_\_\_\_\_ (Amount from Father \$ \_\_\_\_\_)  
(Amount from Mother \$ \_\_\_\_\_)

Number of Family Members \_\_\_\_\_ Number of Dependents \_\_\_\_\_

List all family members supported at least half-time by parents, not including student applicant.

Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant

(5) Financial Resources - Independent Student:

Complete this question about you and your spouse (if applicable) using information from your most recent U.S. Income Tax Return.

*(A completed Form 4506-T – Request for Transcript of Tax Return must also be included)*

Adjusted Gross Income: \$ \_\_\_\_\_ (Amount from Student \$ \_\_\_\_\_)  
(Amount from Spouse \$ \_\_\_\_\_)

List all family members that you support at least half-time, **not** including yourself.

Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant

(6) Special Circumstances

- Do you have any unusual personal, financial, or family circumstances that warrant special attention? Please be specific.

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

## C. Leadership And Personal Qualities

- (1) Resume/Activities: Please attach a resume or list of activities that you participate in including positions held, dates of participation, estimated time spent on each activity and any special honors received.

- (2) Essay:

If you are going to be a college freshman in the fall –

Submit an essay describing your educational goals, why you selected a particular college, efforts you have made to finance your education, and general information about yourself.

If you are a first-time applicant and you are already enrolled in college or graduate school or you will be attending graduate school in the fall –

Submit **one** of the following:

- either an essay describing your educational goals, why you selected a particular college, efforts you have made to finance your education, and general information about yourself.
- or answer the following questions (no more than 500 words): If you had no external pressures (i.e., no need to make money, no need to live in any particular place, etc.), what career would you choose? why?

If you have previously received a loan from the Pattony Foundation –

Submit an essay of no more than 300 words and describe what the funding you have received has meant to you and your family.

- (3) Optional:

Submit a letter of recommendation that addresses your leadership skills and potential. You may submit the letter directly or have the person writing the recommendation send it directly to the Pattony Foundation.

## D. Academic Information

- (1) Submit an official copy of your most recent transcript; high school, college, or graduate school.

## E. Additional Information

- (1) Will you be the first person in your family to pursue a post-secondary education?

☐ Yes

☐ No

- (2) How did you hear about the interest-free loans from the Pattony Foundation?

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## F. CERTIFICATION AND SIGNATURES

I (we) certify that the information on this form is true and complete to the best of my (our) knowledge. I (we) shall provide on request any information required to verify statements made in this application. I (we) realize that if I (we) do not comply with requests for information, eligibility for loans will be lost. I (we) further agree that, if the Pattony Foundation selects me to receive an interest-free loan, I (we) will execute a promissory note to repay the loan.

\_\_\_\_\_  
Signature of Applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Applicant's Mother, if applicant is a dependent

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Applicant's Father, if applicant is a dependent

\_\_\_\_\_  
Date

\*\*\*

Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation  
c/o William A. Lowell  
Choate, Hall & Stewart LLP  
Two International Place  
Boston, Massachusetts 02110

\*\*\*

*Your envelope must arrive no later than May 31, 2012*

\*\*\*

*Please **do not** staple the application or attachments, and include your name  
on all materials submitted*



Application for Extension of Time To File an  
Exempt Organization Return

OMB No. 1545-1709  
Office Copy

File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒
  - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	PATTONY FOUNDATION	<input checked="" type="checkbox"/> 04-3577440
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	CHOATE LLP PO BOX 961019	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	BOSTON, MA 02196	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CHOATE HALL & STEWART LLP

- The books are in the care of TWO INTERNATIONAL PLACE - BOSTON, MA 02110  
Telephone No (617) 248-4760 FAX No (617) 502-4760

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for ☐ calendar year or ☒ tax year beginning JUL 1, 2011, and ending JUN 30, 2012.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	8,700.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,700.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	7,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)

11/8/12