



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

**2011****Open to Public Inspection****A For the 2011 calendar year, or tax year beginning 07/01/11, and ending 06/30/12****B** Check if applicable:☐ Address change☒ Name change☐ Initial return☐ Terminated☐ Amended return☐ Application pending**C** Name of organization**Rivier University****f.k.a. Rivier College**

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

**420 South Main Street**

City or town, state or country, and ZIP + 4

**Nashua****NH 03060-5086****D** Employer identification number**02-0223339****E** Telephone number**603-888-1311****G** Gross receipts \$ **40,700,188****F** Name and address of principal officer**Sister Paula Marie Buley, IHM****420 South Main Street****Nashua****NH 03060-5086****H(a)** Is this a group return for affiliates? ☐ Yes ☒ No**H(b)** Are all affiliates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**I** Tax-exempt status☒ 501(c)(3)☐ 501(c)

( ) (insert no )

☐ 4947(a)(1) or☐ 527**J** Website: **www.rivier.edu****H(c)** Group exemption number ▶**K** Form of organization☒ Corporation☐ Trust☐ Association☐ Other ▶**L** Year of formation **1947****M** State of legal domicile **NH****Part I Summary****1** Briefly describe the organization's mission or most significant activities**See Schedule O****2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets**3** Number of voting members of the governing body (Part VI, line 1a)**3** **22****4** Number of independent voting members of the governing body (Part VI, line 1b)**4** **21****5** Total number of individuals employed in calendar year 2011 (Part VII, line 2a)**5** **926****6** Total number of volunteers (estimate if necessary)**6** **125****7a** Total unrelated business revenue from Part VIII, column (C), line 12**7a** **0****b** Net unrelated business taxable income from Form 990-T, line 34**7b** **0****8** Contributions and grants (Part VIII, line 1h)

Prior Year

Current Year

**1,252,696****640,066****9** Program service revenue (Part VIII, line 2g)**39,240,081****38,549,142****10** Investment income (Part VIII, column (A), lines 3, 4, and 7d)**682,456****807,162****11** Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)**97,230****130,596****12** Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)**41,272,463****40,126,966****13** Grants and similar amounts paid (Part IX, column (A), lines 1-3)**7,717,503****7,877,596****14** Benefits paid to or for members (Part IX, column (A), line 4)**0****0****15** Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)**20,311,339****20,451,186****16a** Professional fundraising fees (Part IX, column (A), line 11e)**0****0****b** Total fundraising expenses (Part IX, column (D), line 25) ▶**228,788****17** Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)**11,426,952****11,565,621****18** Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)**39,455,794****39,894,403****19** Revenue less expenses Subtract line 18 from line 12**1,816,669****232,563****20** Total assets (Part X, line 16)

Beginning of Current Year

End of Year

**75,677,285****75,305,851****21** Total liabilities (Part X, line 26)**22,506,784****24,414,758****22** Net assets or fund balances Subtract line 21 from line 20**53,170,501****50,891,093****Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Sign Here**

Signature of officer

Date

**Brent Winiger****VP of Finance & Administration**

Type or print name and title

**Paid****Preparer Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

**Peter J. Houde, CPA****Peter Houde CPA****01/04/13****P00392416**Firm's name ▶ **Houde & Company Prof. Assn.**Firm's EIN ▶ **02-0374548**Firm's address ▶ **215-A Main St****Nashua, NH 03060-2938**Phone no **603-882-2785**

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

DAA

Form **990** (2011)**22 G19**

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III

☒**1** Briefly describe the organization's mission:**See Schedule O****2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.**4a** (Code ) (Expenses \$ **29,952,933** including grants of \$ **7,877,596** ) (Revenue \$ **32,732,854** )

**Instructional, academic support, and student services expenses related to providing instructional services for 2,905 undergraduate, graduate, and doctoral students. Instructional programs range from liberal arts to professional studies. Programs such as nursing and education lead to licensure.**

**4b** (Code ) (Expenses \$ **4,034,841** including grants of \$ ) (Revenue \$ **3,960,254** )

**For students living beyond a reasonable commuting distance, or students within a reasonable commuting distance who elect to have an in-residence experience, the University provided room and board for 380 students during FY 2011-12.**

**4c** (Code ) (Expenses \$ **1,272,497** including grants of \$ ) (Revenue \$ **1,380,354** )

**Early Childhood Center, which functions as a laboratory school for the University's undergraduate and graduate Education Programs, serves the greater Nashua community providing a safe, learning environment for children up to kindergarten age.**

**4d** Other program services (Describe in Schedule O )(Expenses \$ **285,333** including grants of \$ ) (Revenue \$ **475,680** )**4e** Total program service expenses **35,545,604**

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	<b>X</b>	
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	<b>X</b>	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		<b>X</b>
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		<b>X</b>
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III		<b>X</b>
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I		<b>X</b>
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II		<b>X</b>
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	<b>X</b>	
<b>9</b> Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV		<b>X</b>
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	<b>X</b>	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	<b>X</b>	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII		<b>X</b>
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII		<b>X</b>
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX		<b>X</b>
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	<b>X</b>	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X		<b>X</b>
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII	<b>X</b>	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional		<b>X</b>
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	<b>X</b>	
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?		<b>X</b>
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV		<b>X</b>
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV		<b>X</b>
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV		<b>X</b>
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)		<b>X</b>
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	<b>X</b>	
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III		<b>X</b>
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		<b>X</b>
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>21</b> Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II		<b>X</b>
<b>22</b> Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	<b>X</b>	
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	<b>X</b>	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25	<b>X</b>	
<b>24b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		<b>X</b>
<b>24c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		<b>X</b>
<b>24d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		<b>X</b>
<b>25a</b> <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		<b>X</b>
<b>25b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		<b>X</b>
<b>26</b> Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		<b>X</b>
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		<b>X</b>
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions).		
<b>28a</b> A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	<b>X</b>	
<b>28b</b> A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		<b>X</b>
<b>28c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	<b>X</b>	
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	<b>X</b>	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		<b>X</b>
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		<b>X</b>
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		<b>X</b>
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		<b>X</b>
<b>34</b> Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1	<b>X</b>	
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		<b>X</b>
<b>35b</b> Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		<b>X</b>
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		<b>X</b>
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		<b>X</b>
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O	<b>X</b>	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V ☐

		Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	<b>1a</b> 72		
<b>1b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	<b>1b</b> 0		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	<b>1c</b>	X	
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	<b>2a</b> 926		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	<b>2b</b>	X	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year?	<b>3a</b>		X
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	<b>3b</b>		
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	<b>4a</b>		X
<b>b</b> If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	<b>5a</b>		X
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	<b>5b</b>		X
<b>c</b> If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?	<b>6a</b>		X
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	<b>7a</b>	X	
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided?	<b>7b</b>	X	
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	<b>7c</b>		X
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year.	<b>7d</b>		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<b>7e</b>		X
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>7f</b>		X
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	<b>7g</b>		X
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	<b>7h</b>		X
<b>8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	<b>8</b>		X
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b> Did the organization make any taxable distributions under section 4966?	<b>9a</b>		X
<b>b</b> Did the organization make a distribution to a donor, donor advisor, or related person?	<b>9b</b>		X
<b>10 Section 501(c)(7) organizations.</b> Enter:			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12.	<b>10a</b>		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	<b>10b</b>		
<b>11 Section 501(c)(12) organizations.</b> Enter:			
<b>a</b> Gross income from members or shareholders.	<b>11a</b>		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	<b>11b</b>		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	<b>12b</b>		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	<b>13a</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	<b>13b</b>		
<b>c</b> Enter the amount of reserves on hand.	<b>13c</b>		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?	<b>14a</b>		X
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	<b>14b</b>		

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response to any question in this Part VI ☒

**Section A. Governing Body and Management**

	Yes	No
<b>1a</b> Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O	22	
<b>b</b> Enter the number of voting members included in line 1a, above, who are independent	21	
<b>2</b> Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
<b>3</b> Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
<b>4</b> Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
<b>5</b> Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
<b>6</b> Did the organization have members or stockholders?	6	X
<b>7a</b> Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
<b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
<b>8</b> Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b> The governing body?	8a	X
<b>b</b> Each committee with authority to act on behalf of the governing body?	8b	X
<b>9</b> Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
<b>10a</b> Did the organization have local chapters, branches, or affiliates?	10a	X
<b>b</b> If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
<b>11a</b> Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
<b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990		
<b>12a</b> Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
<b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
<b>c</b> Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	X
<b>13</b> Did the organization have a written whistleblower policy?	13	X
<b>14</b> Did the organization have a written document retention and destruction policy?	14	X
<b>15</b> Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b> The organization's CEO, Executive Director, or top management official	15a	X
<b>b</b> Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	15b	X
<b>16a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
<b>b</b> If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

**Section C. Disclosure**

**17** List the states with which a copy of this Form 990 is required to be filed **None**

**18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☐ Own website ☐ Another's website ☒ Upon request

**19** Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

**20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization **Brent Winiger, VP of Finance & Admin 420 South Main Street Nashua**

NH 03060-5086 603-888-1311

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**Check if Schedule O contains a response to any question in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
  - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
  - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
  - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
  - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organizations compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Sister Helene Cote, p.m. Corporation Member	0.00	X						0	0	0
(2) Leon P Brassard Trustee	0.00	X						0	0	0
(3) Dr. John J Dagianis Trustee	0.00	X						0	0	0
(4) Roger M Damphousse Trustee	0.00	X						0	0	0
(5) Rev. Jonathan Defelice, Trustee	0.00	X						0	0	0
(6) Sister Claire Guerin, p.m. Trustee	0.00	X						0	0	0
(7) Dr. Richard Foemmel Trustee, Vice-Chair	0.00	X		X				0	0	0
(8) Sister Jacqueline Lambert, p.m. Trustee	0.00	X						0	0	0
(9) Sr. Cecile Gamache, p.m. Corporation Member	0.00	X						0	0	0
(10) Sr. Marie Henault, p.m. Corporation Member	0.00	X						0	0	0
(11) Dr. Jamison G. Hoff Trustee, Chair	0.00	X		X				0	0	0
(12) Ms. Linda Johnson, Esq. Trustee, Secretary	0.00	X		X				0	0	0
(13) Mr. Bradley R. Kreick Trustee	0.00	X						0	0	0
(14) The Honorable Joseph N Laplante Trustee	0.00	X						0	0	0



**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Mr. Richard Mangenello Trustee	0.00	X						0	0	0
(16) Ms. Dianne Mercier Trustee	0.00	X						0	0	0
(17) Ms. Martha O'Neill, Esq. Trustee	0.00	X						0	0	0
(18) Sr. Jeanne Perreault, p.m. Trustee	0.00	X						0	0	0
(19) Mr. Robert G. Prunier Trustee	0.00	X						0	0	0
(20) Ms. Tina-marie Legere Trustee	0.00	X						0	0	0
(21) Mrs. Linda L. Robinson, Esq. Trustee	0.00	X						0	0	0
(22) Dr. Tracy Schier Trustee	0.00	X						0	0	0
(23) Mr. Thomas Space Trustee	0.00	X						0	0	0
(24) Ms. Claudia A. Spada Trustee	0.00	X						0	0	0
(25) Mr. K. Brian McLaughlin Trustee	0.00	X						0	0	0
<b>1b Sub-total</b>										
<b>c Total from continuation sheets to Part VII, Section A</b>								<b>722,313</b>		<b>120,934</b>
<b>d Total (add lines 1b and 1c)</b>								<b>722,313</b>		<b>120,934</b>

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **6**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3	X	
4	X	
5		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **0**

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Mr. Samuel Tamposi, Jr. Trustee	0.00	X						0	0	0
(16) Sr. Rolande Theroux, p.m. Trustee	0.00	X						0	0	0
(17) Sister Janice Perrault, p.m. Trustee	0.00	X						0	0	0
(18) Sister Therese Larochelle, p.m. Corporation Member	0.00	X						0	0	0
(19) Mr. Michael S. Rose Trustee, Treasurer	0.00	X		X				0	0	0
(20) Mrs. Linda Robinson Corporation Member	0.00	X						0	0	0
(21) Sister Paula Marie Buley, i.h.m. President	0.00			X				0	0	0
(22) Dr. Carol Langelier Professor	40.00					X		131,890	0	14,417
(23) Mr. Herman Schliefer Chief Infor. Officer	40.00					X		105,879	0	10,832
(24) Mr. Joseph A. Fagan VP Finance	40.00						X	141,115	0	27,312
(25) Dr. William J. Farrell President Ex-Officio	0.00						X	131,496	0	20,478
<b>1b Sub-total</b>								<b>510,380</b>		<b>73,039</b>
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>										

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ►

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		
4		
5		

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

(A) Name and title	(B) Average hours per week (describe hours for related organizations in Schedule O)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15) Ms. Linda Jansky Stud.Dev. VP	40.00						X	108,773	0	24,344
(16) Mr. David Boisvert VP Enrollment Manage	40.00						X	103,160	0	23,551
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
<b>1b Sub-total</b>								<b>211,933</b>		<b>47,895</b>
<b>c Total from continuation sheets to Part VII, Section A</b>										
<b>d Total (add lines 1b and 1c)</b>										

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization ►

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

	Yes	No
3		
4		
5		

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►

**Part VIII Statement of Revenue**

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns	<b>1a</b>				
	<b>b</b> Membership dues	<b>1b</b>				
	<b>c</b> Fundraising events	<b>1c</b>				
	<b>d</b> Related organizations	<b>1d</b>				
	<b>e</b> Government grants (contributions)	<b>1e</b>	256,869			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	383,197			
	<b>g</b> Noncash contributions included in lines 1a-1f		\$ 64,704			
	<b>h</b> Total. Add lines 1a-1f		640,066			
<b>Program Service Revenue</b>		Busn. Code				
	<b>2a</b> Tuition and Fees		32,732,854	32,732,854		
	<b>b</b> Student Room & Board		3,960,254	3,960,254		
	<b>c</b> Early Childhood Center		1,380,354	1,380,354		
	<b>d</b> Conferences & workshops		224,513	224,513		
	<b>e</b> Sports Camps		110,246	110,246		
	<b>f</b> All other program service revenue		140,921	140,921		
	<b>g</b> Total. Add lines 2a-2f		38,549,142			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		793,078	793,078		
	<b>4</b> Income from investment of tax-exempt bond proceeds					
	<b>5</b> Royalties					
		(i) Real	(ii) Personal			
	<b>6a</b> Gross rents	80,350				
	<b>b</b> Less rental exps	128,446				
	<b>c</b> Rental inc or (loss)	-48,096				
	<b>d</b> Net rental income or (loss)		-48,096	-48,096		
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
		419,164				
	<b>b</b> Less cost or other basis & sales exps	405,080				
	<b>c</b> Gain or (loss)	14,084				
	<b>d</b> Net gain or (loss)		14,084	14,084		
	<b>8a</b> Gross income from fundraising events (not including \$ of contributions reported on line 1c). See Part IV, line 18	a	218,388			
	<b>b</b> Less: direct expenses	b	39,696			
	<b>c</b> Net income or (loss) from fundraising events		178,692			
<b>9a</b> Gross income from gaming activities See Part IV, line 19	a					
<b>b</b> Less direct expenses	b					
<b>c</b> Net income or (loss) from gaming activities						
<b>10a</b> Gross sales of inventory, less returns and allowances	a					
<b>b</b> Less. cost of goods sold	b					
<b>c</b> Net income or (loss) from sales of inventory						
<b>Miscellaneous Revenue</b>		Busn. Code				
<b>11a</b>						
<b>b</b>						
<b>c</b>						
<b>d</b> All other revenue						
<b>e</b> Total. Add lines 11a-11d						
<b>12</b> Total revenue. See instructions			40,126,966	39,308,208	0	0

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	7,877,596	7,877,596		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	203,935		203,935	
7 Other salaries and wages	14,920,232	13,171,581	1,631,271	117,380
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	423,471	381,007	39,069	3,395
9 Other employee benefits	3,818,639	3,370,772	417,828	30,039
10 Payroll taxes	1,084,909	966,766	109,528	8,615
11 Fees for services (non-employees)				
a Management	86,313		86,313	
b Legal	76,391		76,391	
c Accounting	71,802		71,802	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion	532,478	351,602	180,876	
13 Office expenses	281,076	235,399	44,394	1,283
14 Information technology	424,399	418,745	5,654	
15 Royalties				
16 Occupancy	2,283,213	2,147,372	135,076	765
17 Travel	276,533	249,931	26,220	382
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	108,625	98,338	9,666	621
20 Interest	629,970	602,353	27,617	
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,609,116	1,609,116		
23 Insurance	184,623	70,499	114,124	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Student Board Plan	1,109,029	1,109,029		
b Contr. Svcs - Religious	735,776	307,129	428,647	
c Co-curricular & Support	570,641	438,940	104,824	26,877
d Library Materials	494,708	494,708		
e All other expenses	2,090,928	1,644,721	406,776	39,431
25 Total functional expenses. Add lines 1 through 24e	39,894,403	35,545,604	4,120,011	228,788
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**

		(A) Beginning of year		(B) End of year
<b>Assets</b>	1 Cash—non-interest bearing	15,763	1	15,425
	2 Savings and temporary cash investments	4,365,547	2	3,396,939
	3 Pledges and grants receivable, net	316,637	3	296,798
	4 Accounts receivable, net	531,631	4	808,799
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions)		6	
	7 Notes and loans receivable, net	568,773	7	560,793
	8 Inventories for sale or use	75,748	8	60,233
	9 Prepaid expenses and deferred charges	577,505	9	804,379
	10a Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 52,429,650		
	b Less accumulated depreciation	10b 20,627,357		
		31,646,292	10c	31,802,293
	11 Investments—publicly traded securities	37,579,151	11	37,560,192
	12 Investments—other securities See Part IV, line 11		12	
	13 Investments—program-related See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets See Part IV, line 11	238	15		
16 <b>Total assets.</b> Add lines 1 through 15 (must equal line 34)	75,677,285	16	75,305,851	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	3,628,307	17	6,130,641
	18 Grants payable	487,973	18	493,154
	19 Deferred revenue	1,588,121	19	1,393,377
	20 Tax-exempt bond liabilities	16,715,000	20	16,345,000
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	87,383	25	52,586
	26 <b>Total liabilities.</b> Add lines 17 through 25	22,506,784	26	24,414,758
<b>Net Assets or Fund Balances</b>	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	40,843,238	27	38,885,082
	28 Temporarily restricted net assets	5,652,021	28	5,199,246
	29 Permanently restricted net assets	6,675,242	29	6,806,765
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	53,170,501	33	50,891,093
	34 <b>Total liabilities and net assets/fund balances</b>	75,677,285	34	75,305,851

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI ☐

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	<b>40,126,966</b>
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	<b>39,894,403</b>
<b>3</b>	Revenue less expenses Subtract line 2 from line 1	<b>3</b>	<b>232,563</b>
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	<b>53,170,501</b>
<b>5</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>5</b>	<b>-2,511,971</b>
<b>6</b>	Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))	<b>6</b>	<b>50,891,093</b>

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII ☐

- 1** Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other \_\_\_\_\_  
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both  
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
<b>2a</b>		<b>X</b>
<b>2b</b>	<b>X</b>	
<b>2c</b>	<b>X</b>	
<b>3a</b>	<b>X</b>	
<b>3b</b>	<b>X</b>	

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

**2011**

Open to Public  
Inspection

Name of the organization

**Rivier University**  
**f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☒ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state.
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I      b ☐ Type II      c ☐ Type III—Functionally integrated      d ☐ Type III—Other
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
- f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box.
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?
- (ii) A family member of a person described in (i) above?
- (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U.S.?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>4</b> <b>Total.</b> Add lines 1 through 3						
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
<b>6</b> <b>Public support.</b> Subtract line 5 from line 4						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>7</b> Amounts from line 4						
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on						
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
<b>11</b> <b>Total support.</b> Add lines 7 through 10						
<b>12</b> Gross receipts from related activities, etc. (see instructions)					<b>12</b>	
<b>13</b> <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> ► <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f))	<b>14</b>	%
<b>15</b> Public support percentage from 2010 Schedule A, Part II, line 14	<b>15</b>	%
<b>16a</b> <b>33 1/3% support test—2011.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>b</b> <b>33 1/3% support test—2010.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>17a</b> <b>10%-facts-and-circumstances test—2011.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>b</b> <b>10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>18</b> <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/>		

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.  
If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2007	(b) 2008	(c) 2009	(d) 2010	(e) 2011	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> <span style="float: right;">► <input type="checkbox"/></span>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2010 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2011 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2010 Schedule A, Part III, line 17	<b>18</b>	%

**19a 33 1/3% support tests—2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**b 33 1/3% support tests—2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ► ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► ☐

---

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b, and Part III, line 12. Also complete this part for any additional information. (See instructions)

---

**SCHEDULE D  
(Form 990)**Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**

► Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

**2011****Open to Public  
Inspection**

Name of the organization

**Rivier University  
f.k.a. Rivier College**

Employer identification number

**02-0223339****Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4 Number of states where property subject to conservation easement is located ►

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B) (i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1	► \$
(ii) Assets included in Form 990, Part X	► \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1	► \$
b Assets included in Form 990, Part X	► \$

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes ☒ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

	Amount
1c	
1d	
1e	
1f	

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	29,871,211	25,413,629	23,012,712	27,630,522	
b Contributions	131,523	442,362	667,008	768,025	
c Net investment earnings, gains, and losses	351,640	5,012,704	2,753,949	-4,432,243	
d Grants or scholarships	-1,074,836	-997,484	-1,020,040	-953,592	
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	29,279,538	29,871,211	25,413,629	23,012,712	

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 63.70 %

b Permanent endowment ▶ 13.10 %

c Temporarily restricted endowment ▶ 23.20 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		<input checked="" type="checkbox"/>
3a(ii)		<input checked="" type="checkbox"/>
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		1,407,697		1,407,697
b Buildings		42,299,758	14,081,085	28,218,673
c Leasehold improvements				
d Equipment		8,085,385	6,062,775	2,022,610
e Other		636,810	483,497	153,313
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				31,802,293

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 12 )		

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 13 )		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 15.)	

**Part X Other Liabilities.** See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) Copier Lease	43,673
(3) Recycle Center	5,663
(4) Unamortized Facilities Financing	3,250
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col (B) line 25 )	52,586

2. FIN 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740)

**Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements**

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	40,126,966
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	39,894,403
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	232,563
4	Net unrealized gains (losses) on investments	4	-2,511,971
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV)	8	0
9	Total adjustments (net) Add lines 4 through 8	9	-2,511,971
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	-2,279,408

**Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1	Total revenue, gains, and other support per audited financial statements	1	29,588,779
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12.		
a	Net unrealized gains on investments	2a	-2,511,971
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV)	2d	168,142
e	Add lines 2a through 2d	2e	-2,343,829
3	Subtract line 2e from line 1	3	31,932,608
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	8,194,358
c	Add lines 4a and 4b	4c	8,194,358
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	40,126,966

**Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1	Total expenses and losses per audited financial statements	1	31,868,187
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	168,142
e	Add lines 2a through 2d	2e	168,142
3	Subtract line 2e from line 1	3	31,700,045
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV)	4b	8,194,358
c	Add lines 4a and 4b	4c	8,194,358
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	39,894,403

**Part XIV Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

**Part XI, Line 8 - Reconciliation of Changes - Other**

<b>Part XII, Line 2c, Rental Expenses</b>	\$	128,446
<b>Part XII, Line 2d, Fundraising Events</b>	\$	39,696
<b>Part IX, Line 2, Student Scholarships &amp; Grants</b>	\$	-7,877,595
<b>Part IX, Line 7, Student Labor</b>	\$	-316,763
<b>Part VIII, Line 6b, Rental Expenses</b>	\$	-128,446
<b>Part VIII, Line 8b, Fundraising Events Expenses</b>	\$	-39,363

**Part XIV Supplemental Information (continued)**

---

Part IX, Line 2, Grants \$ 7,877,595

Part IX, Line 7, Student Labor \$ 316,763

Part XII, Line 2d - Revenue Amounts Included in Financials - Other

Part XII, Line 2c, Rental Expenses \$ 128,446

Part XII, Line 2d, Fundraising Events \$ 39,696

Part XII, Line 4b - Revenue Amounts Included on Return - Other

Part IX, Line 2, Student Scholarships & Grants \$ 7,877,595

Part IX, Line 7, Student Labor \$ 316,763

Part XIII, Line 2d - Expense Amounts Included in Financials - Other

Part VIII, Line 6b, Rental Expenses \$ 128,446

Part VIII, Line 8b, Fundraising Events Expenses \$ 39,363

Part XIII, Line 4b - Expense Amounts Included on Return - Other

Part IX, Line 2, Grants \$ 7,877,595

Part IX, Line 7, Student Labor \$ 316,763



**SCHEDULE E**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Schools**

- Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

**2011**

Open to Public Inspection

Name of the organization

**Rivier University  
f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I**

**1** Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?

	YES	NO
<b>1</b>	<b>X</b>	

**2** Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?

<b>2</b>	<b>X</b>	
----------	----------	--

**3** Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe. If "No," please explain. If you need more space, use Part II.

<b>3</b>	<b>X</b>	
----------	----------	--

**Rivier University publicizes its racially nondiscriminatory policy and is included in all its catalogues and publications including employee handbooks. The statement reads as follows:**  
**NOTICE OF NONDISCRIMINATION:**

**Rivier University does not discriminate "continued on Schedule**

**4** Does the organization maintain the following?

- a** Records indicating the racial composition of the student body, faculty, and administrative staff?  
**b** Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?  
**c** Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?  
**d** Copies of all material used by the organization or on its behalf to solicit contributions?  
If you answered "No" to any of the above, please explain. If you need more space, use Part II.

<b>4a</b>	<b>X</b>	
<b>4b</b>	<b>X</b>	
<b>4c</b>	<b>X</b>	
<b>4d</b>	<b>X</b>	

**5** Does the organization discriminate by race in any way with respect to

- a** Students' rights or privileges?  
**b** Admissions policies?  
**c** Employment of faculty or administrative staff?  
**d** Scholarships or other financial assistance?  
**e** Educational policies?  
**f** Use of facilities?  
**g** Athletic programs?  
**h** Other extracurricular activities?  
If you answered "Yes" to any of the above, please explain. If you need more space, use Part II.

<b>5a</b>		<b>X</b>
<b>5b</b>		<b>X</b>
<b>5c</b>		<b>X</b>
<b>5d</b>		<b>X</b>
<b>5e</b>		<b>X</b>
<b>5f</b>		<b>X</b>
<b>5g</b>		<b>X</b>
<b>5h</b>		<b>X</b>

**6a** Does the organization receive any financial aid or assistance from a governmental agency?

**b** Has the organization's right to such aid ever been revoked or suspended?

If you answered "Yes" to either line 6a or line 6b, explain on Part II.

<b>6a</b>	<b>X</b>	
<b>6b</b>		<b>X</b>

**7** Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," explain on Part II.

<b>7</b>	<b>X</b>	
----------	----------	--

**Part II** Supplemental Information. Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

**Sch E - Publication of Nondiscriminatory Policy in Media Explanation**

On the basis of race, color, national origin, religion, age, veteran or marital status, sex or handicap in admission to, access to, treatment in or employment in its programs and activities. The following persons have been designated to handle inquiries regarding the nondiscrimination policies: Director of Human Resources, (for employees) and Vice President for Student Development (for students). Inquiries concerning the application of nondiscrimination policies may also be referred to the Regional Director, Office of Civil Rights, U.S. Department of Education, J.W. McCormack P.O.C.H., Room 222, Boston, MA 02109-4557.

**Sch E - Financial Aid or Government Assistance Explanation**

The institution receives financial aid from a government agency in the form of student aid programs:

Federal Pell Grants

Federal Work-Study

Federal Supplemental Educational Opportunity Grants

Federal Family Education Loans

Federal Perkins Loans

**SCHEDULE G**  
**(Form 990 or 990-EZ)**Department of the Treasury  
Internal Revenue Service**Supplemental Information Regarding**  
**Fundraising or Gaming Activities**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the  
organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

**2011****Open To Public**  
**Inspection**

Name of the organization

**Rivier University**  
**f.k.a. Rivier College**

Employer identification number

**02-0223339****Part I****Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17  
Form 990-EZ filers are not required to complete this part.**1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a** ☐ Mail solicitations                      **e** ☐ Solicitation of non-government grants  
**b** ☐ Internet and email solicitations      **f** ☐ Solicitation of government grants  
**c** ☐ Phone solicitations                      **g** ☐ Special fundraising events  
**d** ☐ In-person solicitations

**2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees  
or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?☐ **Yes**    ☐ **No****b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be  
compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
<b>1</b>						
<b>2</b>						
<b>3</b>						
<b>4</b>						
<b>5</b>						
<b>6</b>						
<b>7</b>						
<b>8</b>						
<b>9</b>						
<b>10</b>						
<b>Total</b>						

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from  
registration or licensing

**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 <b>Golf Tournament</b> (event type)	(b) Event #2 <b>Alumni Weekend</b> (event type)	(c) Other events <b>None</b> (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	209,973	8,415		218,388
	2 Less Charitable contributions				
	3 Gross income (line 1 minus line 2)	209,973	8,415		218,388
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	28,563	11,133		39,696
	10 Direct expense summary Add lines 4 through 9 in column (d)				39,696
11 Net income summary Combine line 3, column (d), and line 10				178,692	

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	<input type="checkbox"/> Yes % <input type="checkbox"/> No	<input type="checkbox"/> Yes % <input type="checkbox"/> No	<input type="checkbox"/> Yes % <input type="checkbox"/> No	
	7 Direct expense summary Add lines 2 through 5 in column (d)				
	8 Net gaming income summary Combine line 1, column d, and line 7				

9 Enter the state(s) in which the organization operates gaming activities

a Is the organization licensed to operate gaming activities in each of these states?

9a ☐ Yes ☐ No

b If "No," explain

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

10a ☐ Yes ☐ No

b If "Yes," explain

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity operated in
- |                                      |            |   |
|--------------------------------------|------------|---|
| <b>a</b> The organization's facility | <b>13a</b> | % |
| <b>b</b> An outside facility         | <b>13b</b> | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ►

Address ►

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ and the amount of gaming revenue retained by the third party ► \$
- c** If "Yes," enter name and address of the third party

Name ►

Address ►

**16** Gaming manager information

Name ►

Gaming manager compensation ► \$

Description of services provided ►

☐ Director/officer
 ☐ Employee
 ☐ Independent contractor
**17** Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$

**Part IV Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

**SCHEDULE I  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

Name of the organization

**Rivier University  
f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I General Information on Grants and Assistance**

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

☐ Yes ☒ No

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000.

Part II can be duplicated if additional space is needed

1	(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1)								
(2)								
(3)								
(4)								
(5)								
(6)								
(7)								
(8)								
(9)								

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

**3** Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2011)

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1	Federal Schol & Grants	289	150,749			
2	State Schol & Grants	60	99,500			
3	Donor Restr & Endow Schol	165	268,311			
4	Unrestr Schol & Gr	1692	7,359,036			
5						
6						
7						
<b>Part IV</b> <b>Supplemental Information.</b> Complete this part to provide the information required in Part I, line 2, and any other additional information.						

**SCHEDULE J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

**2011**

Open to Public  
Inspection

Name of the organization

**Rivier University**  
**f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |  |
|--|--|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees   |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

**3** Indicate which, if any, of the following the filing organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director. Explain in Part III.

- |  |  |
|--|--|
| <input type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                     |
| <input type="checkbox"/> Independent compensation consultant | <input type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations     | <input type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.**

**5** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

**6** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

**7** For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

**8** Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

**9** If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

**1b**

**2**

**4a**

**X**

**4b**

**X**

**4c**

**X**

**5a**

**X**

**5b**

**X**

**6a**

**X**

**6b**

**X**

**7**

**X**

**8**

**X**

**9**

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2011



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 Mr. Joseph A. Fagan	(i) 141,115	0	0	6,973	20,339	168,427	0
(ii) 0	0	0	0	0	0	0	0
2 Dr. William J. Farrell	(i) 131,496	0	0	6,386	14,092	151,974	0
(ii) 0	0	0	0	0	0	0	0
3 Ms. Linda Jansky	(i) 108,773	0	0	5,470	18,874	133,117	0
(ii) 0	0	0	0	0	0	0	0
4 Mr. David Boisvert	(i) 103,160	0	0	5,073	18,478	126,711	0
(ii) 0	0	0	0	0	0	0	0
5	(i)						
(ii)							
6	(i)						
(ii)							
7	(i)						
(ii)							
8	(i)						
(ii)							
9	(i)						
(ii)							
10	(i)						
(ii)							
11	(i)						
(ii)							
12	(i)						
(ii)							
13	(i)						
(ii)							
14	(i)						
(ii)							
15	(i)						
(ii)							
16	(i)						
(ii)							

**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II.  
Also complete this part for any additional information.

**SCHEDULE K  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information on Tax-Exempt Bonds**

► Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.

► Attach to Form 990.

► See separate instructions.

OMB No. 1545-0047

**2011**

Open to Public  
Inspection

Name of the organization

**Rivier University  
f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I Bond Issues**

(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pooled financing	
						Yes	No	Yes	No	Yes	No
<b>A NH Higher Ed &amp; Health Facilities</b>	<b>02-0279866</b>	<b>644614VH9</b>	<b>02/08/08</b>	<b>17,745,000</b>	<b>Schedule O</b>		<b>X</b>		<b>X</b>		<b>X</b>
<b>B</b>											
<b>C</b>											
<b>D</b>											

**Part II Proceeds**

	A		B		C		D	
	1,400,000							
1 Amount of bonds retired								
2 Amount of bonds legally defeased								
3 Total proceeds of issue	<b>17,745,000</b>							
4 Gross proceeds in reserve funds								
5 Capitalized interest from proceeds								
6 Proceeds in refunding escrows								
7 Issuance costs from proceeds	<b>338,707</b>							
8 Credit enhancement from proceeds								
9 Working capital expenditures from proceeds								
10 Capital expenditures from proceeds	<b>8,000,000</b>							
11 Other spent proceeds	<b>9,406,293</b>							
12 Other unspent proceeds								
13 Year of substantial completion	<b>2008</b>							

14 Were the bonds issued as part of a current refunding issue?	<b>X</b>										
15 Were the bonds issued as part of an advance refunding issue?		<b>X</b>									
16 Has the final allocation of proceeds been made?	<b>X</b>										
17 Does the organization maintain adequate books and records to support the final allocation of proceeds?	<b>X</b>										

**Part III Private Business Use**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		<b>X</b>						
2 Are there any lease arrangements that may result in private business use of bond-financed property?		<b>X</b>						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule K (Form 990) 2011

**Part III Private Business Use (Continued)**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property?								
<b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?		X						
<b>c</b> Are there any research agreements that may result in private business use of bond-financed property?		X						
<b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government		%		%		%		%
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government		%		%		%		%
<b>6</b> Total of lines 4 and 5		%		%		%		%
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?	X							

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?		X						
<b>2</b> Is the bond issue a variable rate issue?	X							
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
<b>b</b> Name of provider								
<b>c</b> Term of hedge								
<b>d</b> Was the hedge superintegrated?								
<b>e</b> Was the hedge terminated?								
<b>4a</b> Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
<b>b</b> Name of provider								
<b>c</b> Term of GIC								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
<b>5</b> Were any gross proceeds invested beyond an available temporary period?		X						
<b>6</b> Did the bond issue qualify for an exception to rebate?		X						

**Part V Procedures To Undertake Corrective Action**

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations

☐ Yes ☒ No

**Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions)****Schedule K - Purpose of Issue Description****NH Higher Ed & Health Facilities**

**A - The Rivier College Series 2008 bond proceeds were used for the**

**following purposes:**

**a) A library addition and renovation, dormitory renovation - \$8,000,000**

**Part III Private Business Use (Continued)**

02-0223339

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>3a</b> Are there any management or service contracts that may result in private business use of bond-financed property?								
<b>b</b> If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
<b>c</b> Are there any research agreements that may result in private business use of bond-financed property?								
<b>d</b> If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
<b>4</b> Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government <span style="float: right;">%</span>								
<b>5</b> Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government <span style="float: right;">%</span>								
<b>6</b> Total of lines 4 and 5 <span style="float: right;">%</span>								
<b>7</b> Has the organization adopted management practices and procedures to ensure the post-issuance compliance of its tax-exempt bond liabilities?								

**Part IV Arbitrage**

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
<b>1</b> Has a Form 8038-T, Arbitrage Rebate, Yield Reduction and Penalty in Lieu of Arbitrage Rebate, been filed with respect to the bond issue?								
<b>2</b> Is the bond issue a variable rate issue?								
<b>3a</b> Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?								
<b>b</b> Name of provider								
<b>c</b> Term of hedge								
<b>d</b> Was the hedge superintegrated?								
<b>e</b> Was the hedge terminated?								
<b>4a</b> Were gross proceeds invested in a guaranteed investment contract (GIC)?								
<b>b</b> Name of provider								
<b>c</b> Term of GIC								
<b>d</b> Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
<b>5</b> Were any gross proceeds invested beyond an available temporary period?								
<b>6</b> Did the bond issue qualify for an exception to rebate?								

**Part V Procedures To Undertake Corrective Action**

Check the box if the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations ☐ Yes ☒ No

**Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).**
**b) Refund of prior bond issues as follows:**

Series 1998 - \$6,692,714

Series 2001 - \$2,713,579

Total \$9,406,293

**c) Cost of issuance and underwriter expense - \$338,707**

**SCHEDULE L**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

**Transactions With Interested Persons**

▶ **Complete if the organization answered**  
"Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c,  
or Form 990-EZ, Part V, line 38a or 40b.  
▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions**

OMB No 1545-0047

**2011**

Open To Public  
Inspection

**Rivier University**  
**f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Part I Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only)

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Description of transaction	(c) Corrected?	
			Yes	No
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958

▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization

▶ \$

**Part II Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

(a) Name of interested person and purpose	(b) Loan to or from the organization?		(c) Original principal amount	(d) Balance due	(e) In default?		(f) Approved by board or committee?		(g) Written agreement?	
	To	From			Yes	No	Yes	No	Yes	No
(1)										
(2)										
(3)										
(4)										
(5)										
(6)										
(7)										
(8)										
(9)										
(10)										

**Total** ▶ \$

**Part III Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount and type of assistance
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		

## Part IV Business Transactions Involving Interested Persons.

**Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c**

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of org revenues?	
				Yes	No
(1) R.G. Prunier - Harvey Construction	Trustee/Officer	42,537	Constr Mgt w/Harvey		X
(2) Sisters of Presentation of Mary	Corp. Members	485,456	See Sch R Part V2		X
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

## Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions).

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

**SCHEDULE M**  
**(Form 990)**

**Noncash Contributions**

OMB No 1545-0047

**2011**

**Open To Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

► Complete if the organizations answered "Yes" on Form  
990, Part IV, lines 29 or 30  
► Attach to Form 990.

Name of the organization **Rivier University  
f.k.a. Rivier College**

Employer identification number  
**02-0223339**

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ( )	<b>X</b>	<b>1</b>	<b>64,704</b>	
26 Other ► ( )				
27 Other ► ( )				
28 Other ► ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1–28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

	Yes	No
30a		<b>X</b>
31		<b>X</b>
32a		<b>X</b>
33		



---

**Part II** **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information

---

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

**2011**

**Open to Public  
Inspection**

Name of the organization

**Rivier University  
f.k.a. Rivier College**

Employer identification number

**02-0223339**

**Form 990 - Organization's Mission or Most Significant Activities**

Founded by the Sisters of the Presentation of Mary, Rivier University is a Catholic coeducational institution dedicated to transforming hearts and minds to serve the world. The University is dedicated to the education of undergraduate, graduate, and doctoral students in both the liberal arts and professional courses of study.

**Form 990, Part III, Line 4d - All Other Accomplishment**

Other program services include (a) conferences and workshops, most of which occur during the summer months, (b) bookstore space rental, (c) youth sports camps run by the athletic department during the summer, (d) recovery of accounts receivable expensed in prior years (e) student dormitory policy violation fees, and (f) student club dues and revenues.

**Form 990, Part VI, Line 2 - Related Party Information Among Officers  
Sisters of the Presentation of Mary**

**Form 990, Part VI, Line 11b - Organization's Process to Review Form 990**

In addition to a review by the Board of Trustee Audit Committee, a copy of the Form 990 is provided to all Board members for their review and comments.

**Form 990, Part VI, Line 12c - Enforcement of Conflicts Policy**

The University's Corporation and board of Trustees, Directors, and Key

Name of the organization

Rivier University

Employer identification number

02-0223339

Employees complete an "Annual Conflict of Interest Disclosure Statement" that not only address federal, but also New Hampshire State Law, requirements. The Board of Trustee Audit Committee reviews a summary of the Annual Conflict of Interest Disclosure Statement submitted by members of the University's Corporation and Board of Trustees, Directors, and Key Employees. Any issues needing resolution are referred by the Audit Committee to the Board of Trustees Executive Committee for resolution.

Form 990, Part VI, Line 15a - Compensation Process for Top Official

The process for determining the compensation involves comparisons with comparable schools, as reported on their form 990's.

Form 990, Part VI, Line 19 - Governing Documents Disclosure Explanation

The documents are made available to the public upon request.

**SCHEDULE R**  
**(Form 990)**

**Related Organizations and Unrelated Partnerships**

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

**2011**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization  
**Rivier University  
c.k.a. Rivier College**

Employer identification number  
**02-0223339**

**Part I Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1)					
(2)					
(3)					
(4)					
(5)					

**Part II Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?
(1) <b>Sisters of the Presentation of Mary</b> <b>495 Mammoth Rd</b> <b>Manchester</b> NH 03051 02-0263066	<b>Religious</b>	<b>NH</b>	<b>501c3</b>	<b>1</b>	<b>N/A</b>	<b>X</b>
(2)						
(3)						
(4)						
(5)						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2011

**Part III Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate alloc?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
								Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

	(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership
(1)								
(2)								
(3)								
(4)								

**Part V Transactions With Related Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.**1** During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?**a** Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity**b** Gift, grant, or capital contribution to related organization(s)**c** Gift, grant, or capital contribution from related organization(s)**d** Loans or loan guarantees to or for related organization(s)**e** Loans or loan guarantees by related organization(s)**f** Sale of assets to related organization(s)**g** Purchase of assets from related organization(s)**h** Exchange of assets with related organization(s)**i** Lease of facilities, equipment, or other assets to related organization(s)**j** Lease of facilities, equipment, or other assets from related organization(s)**k** Performance of services or membership or fundraising solicitations for related organization(s)**l** Performance of services or membership or fundraising solicitations by related organization(s)**m** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)**n** Sharing of paid employees with related organization(s)**o** Reimbursement paid to related organization(s) for expenses**p** Reimbursement paid by related organization(s) for expenses**q** Other transfer of cash or property to related organization(s)**r** Other transfer of cash or property from related organization(s)**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

	(a) Name of other organization	(b) Transaction type (a-r)	(c) Amount involved	(d) Method of determining amount involved
(1)	<b>Sisters of the Presentation of Mary</b>	<b>1</b>	<b>485,456</b>	
(2)				
(3)				
(4)				
(5)				
(6)				

**Part VI Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	
(1)													
(2)													
(3)													
(4)													
(5)													
(6)													
(7)													
(8)													
(9)													
(10)													
(11)													

**Part VII Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

---



Forms  
**990 / 990-PF**

**Other Notes and Loans Receivable**

**2011**

For calendar year 2011, or tax year beginning **07/01/11**, and ending **06/30/12**

Name

**Rivier University  
f.k.a. Rivier College**

Employer Identification Number

**02-0223339**

**Form 990, Part X, Line 7 - Additional Information**

Name of borrower	Relationship to disqualified person
(1) <b>Perkins Student Loans</b>	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Original amount borrowed	Date of loan	Maturity date	Repayment terms	Interest rate
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

Security provided by borrower	Purpose of loan
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Consideration furnished by lender	Balance due at beginning of year	Balance due at end of year	Fair market value (990-PF only)
(1)	<b>568,773</b>	<b>560,793</b>	
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
Totals	<b>568,773</b>	<b>560,793</b>	

# Tax-Exempt Bond Liabilities

Form **990**

**2011**

For calendar year 2011, or tax year beginning

**07/01/11**, and ending

**06/30/12**

Name

**Rivier University  
f.k.a. Rivier College**

Employer Identification Number

**02-0223339**

## Form 990, Part X, Line 20 - Additional Information

Name of lender	Purpose of issue
(1) <b>NH Higher Ed &amp; Health Facilities</b>	<b>Repay all OS Bonds &amp; Facil. Constr</b>
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	

Issue date	Original amount of issue	Form 8038 filed Y/N Date filed	Date retired	Completion date of project	Unexpended bond proceeds
(1) <b>02/08/08</b>	<b>17,745,000</b>	<b>N</b>	<b>02/01/38</b>		
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					
(8)					
(9)					
(10)					

Third party use percent	Maturity date	Repayment terms	Interest rate
(1)	<b>02/01/38</b>	<b>Annual-Var. Interest Rate</b>	
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			

Security provided by borrower	Amount outstanding at beginning of year	Amount outstanding at end of year
(1) <b>Letter of Credit</b>	<b>16,715,000</b>	<b>16,345,000</b>
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Totals</b>	<b>16,715,000</b>	<b>16,345,000</b>

# Federal Statements

## Taxable Interest on Investments

<u>Description</u>		<u>Amount</u>	<u>Unrelated Business Code</u>	<u>Exclusion Code</u>	<u>Postal Code</u>	<u>Acquired after 6/30/75</u>	<u>US Obs (\$ or %)</u>
Investment Income		\$ 793,078					
Total		<u>\$ 793,078</u>					

02-0223339

## Federal Statements

## Form 990, Part IX, Line 24e - All Other Expenses

Description	Total Expenses	Program Service	Management & General	Fund Raising
Miscellaneous	\$ 426,817	\$ 406,810	\$ 16,976	\$ 3,031
Collection Costs	235,244		235,244	
Waivers of Tuition	192,883	192,883		
Contract Service Support	164,561	110,689	53,872	
Athletic Supplies	162,476	162,476		
Teaching Supplies	154,618	154,618		
Telephone	144,814	118,364	24,500	1,950
Memberships	123,982	100,538	17,162	6,282
Postage	104,454	76,470	19,502	8,482
Meals & Functions	90,633	84,893	3,328	2,412
Educational Vouchers	82,974	82,974		
Printing	61,327	12,235	32,126	16,966
Athletic Referees	61,220	61,220		
Graduation Expense	47,357	47,357		
Photocopies	34,696	30,322	4,066	308
Accreditation & Licensing	2,872	2,872		
Total	\$ 2,090,928	\$ 1,644,721	\$ 406,776	\$ 39,431

## **PREAMBLE**

### **MISSION STATEMENT**

**(Contained in Foundation Document – October 2000, p. 10  
Revised 7-23-2007)**

**Founded in 1933 by the Sisters of the Presentation of Mary, Rivier University is a Catholic coeducational institution of higher education dedicated to transforming hearts and minds to serve the world.** As a co-educational institution, the University is dedicated to the education of the undergraduate and graduate students in both the liberal arts and professional courses of study.

Committed to the faith heritage, intellectual tradition, and social teachings of the Roman Catholic Church, the University educates the whole person in the context of an academic community that cultivates critical thought, sound judgment, and respect for all people. This community supports the intellectual growth of all its members while offering them opportunities for social, cultural, moral, and spiritual development. The challenge to the University community is to search for truth through the dialogue between faith and reason.

Rivier creates an environment in which integrated learning is the shared responsibility of students, faculty, staff, and administrators, and is pursued in all the curricular and co-curricular programs of the University. To participate in the life of Rivier University is to strive for academic excellence, to take responsibility for ourselves and for others, and to engage in dialogue about basic human issues facing society, especially the plight of the poor and powerless. The University extends to all of its members and also to the greater community an invitation to join in intellectual inquiry and dialogue.

**BYLAWS OF RIVIER UNIVERSITY**  
**(as Revised August 2012)**

**ARTICLE ONE**

**NAME AND OFFICES**

The name of the Corporation is Rivier University (sometimes herein-after referred to as "the University"). The principal office of the University shall be located in Nashua, New Hampshire. The University may have other offices within or without the State of New Hampshire as the Board of Trustees may from time to time determine.

**ARTICLE TWO**

**PURPOSE**

The purpose of the Corporation shall be the preservation and enhancement of the Catholic identity and mission of Rivier University. The purpose of the Corporation shall also be the maintenance and operation of a fully accredited, non-profit liberal arts and professional studies university for youth and adults without regard to race, creed, or national origin, to confer appropriate collegiate degrees in accordance with the curricular requirements established by the University and approved by the Board of Trustees and to do any and all things consistent with the powers granted in the Charter of the Corporation and with the laws of the State of New Hampshire.

**ARTICLE THREE**

**MEMBERSHIP OF THE CORPORATION**

The Corporation Membership consists of seven (7) Members and one (1) non-voting Member all appointed by the Provincial Superior and her Council.

Sisters of the Presentation of Mary appointed by the Provincial Superior and her Council. At least two of the sisters would be members of the Provincial Administration. There may be one, but no more than two of the Sisters of the Presentation of Mary in the employ of the University.

Non-Members of the Sisters of the Presentation of Mary appointed by the Provincial Superior and her Council.

Section 3.1. The Chairperson of the Corporation shall be the Provincial Superior of the Sisters of the Presentation of Mary, United States Province, *ex officio* or her designee. Any such designee shall serve at the pleasure of the Provincial Superior.

Section 3.2. The President of the University shall be an *ex officio* non-voting Member of the Corporation.

Section 3.3. The Chairperson of the Corporation will appoint a Vice-Chair who will function in her absence should an emergency necessitate such an absence. If in the opinion of the Vice-Chair, an emergency situation exists and with all other Members of the Corporation present, a vote will be permitted if time constraints and/or imminent deadlines require a vote.

Section 3.4. All members of the Corporation that are not Sisters of the Presentation of Mary should be current or past Members of the Board of Trustees.

Section 3.5. Sisters of the Presentation of Mary shall constitute more than half of the membership of the Corporation.

Section 3.6. The Recorder of Corporation minutes shall be elected by its Members, the Chairperson of the Corporation cannot function as Recorder.

Section 3.7. Newly appointed members of the Corporation must have no conflict of interest with the University and/or the Congregation of the Sisters of the Presentation of Mary. Any conflict that may arise thereafter shall be prohibited, unless the requirements of RSA 7.19 are complied with.

Section 3.8. With the exception of the Provincial Superior and the President who serve their terms according to the terms set out by the Congregation of the Sisters of the Presentation of Mary and the Rivier University Board of Trustees respectively, Members of the Corporation shall serve a term of three years renewable twice for three years for a maximum term of nine years. After a one-year hiatus, following a Corporation member's term completion, he or she may be elected to a renewed term. No Corporation member may be elected for more than two nine-year terms.

## **ARTICLE FOUR**

### **POWERS OF MEMBERS OF THE CORPORATION**

Section 4.1. The following powers are reserved exclusively to the Members of the Corporation.

- (a) an amendment to the Articles of Incorporation;
- (b) an amendment to the mission and purpose of the University;
- (c) an amendment to or repeal of the bylaws;
- (d) the purchase, sale, lease, mortgage, gift or other disposition of land, buildings or other real estate interests or capital assets of the Corporation;
- (e) the merger, consolidation, liquidation, dissolution or affiliation of the Corporation;
- (f) any increase in the capital debt or any renegotiation, modification or other change in the capital debt of the Corporation, not to exceed an amount and term as set by the Members each year at their annual meeting;
- (g) any borrowing or other incurrence of indebtedness by the Corporation, except that the Board of Trustees shall have the power to incur indebtedness for operational purposes not to exceed an amount and term as set by the members each year at their annual meeting;
- (h) the election of Trustees to the Board of Trustees, upon the search and nomination by the Board of Trustees;
- (i) the removal of any Trustee(s) with or without cause;
- (j) the appointment of the President of the University upon the recommendation of the Board of Trustees and subject to Article 7, Section 7.1(e);
- (k) the removal of the President of the University; the Board of Trustees may recommend to the Members the removal of the President by a two-thirds (2/3) vote of the Trustees;
- (l) review all proposals for the naming of buildings and retain the final decision over the naming of the buildings.

Section 4.2. In addition to the powers enumerated in Section 4.1, the Members shall also nullify and/or vacate any decision that would adversely affect in any way the Catholicity of the University as set forth in Article Two.

Section 4.3. An affirmative vote of two-thirds (2/3) of the Members is required to approve any action undertaken under Sections 4.1 and/or 4.2.

Section 4.4. The members of the Corporation shall receive a copy of the annual audit and management letter.

## ARTICLE FIVE

### MEETINGS OF THE MEMBERS OF THE CORPORATION

Section 5.1 Annual Meeting The Corporation shall meet at a minimum of twice (two times) a year, one of which meetings shall be the Annual Meeting.

Section 5.2 Regular Corporation meetings are convened by the Chairperson of the Corporation who shall send out the agenda at least one week before the meeting

Section 5.3 Special meetings of the Members may be called at any time by the Chairperson or on request to the Chairperson by a majority of the Members. Special meetings may also be requested by the President of the University or by a majority of the Board of Trustees. Upon such a request, a meeting shall be called by the Chairperson.

Section 5.4 As much as possible, all Corporation members must be present at all Corporation meetings. Participation by conference call shall constitute attendance.

Section 5.5. A quorum shall consist of two thirds (2/3) of the voting members

Section 5.6 All regular and special meetings of the Members shall be held at the office of the Corporation or at such other place within the State of New Hampshire as shall be fixed by the notice of the meeting. However, when all the Members meet at any time and place, either within or without the State of New Hampshire, and consent to the holding of a special meeting, such meeting shall be valid.

Section 5.7. In the event that a Corporation Member is unable to complete his/her term, the Provincial Superior and her Council will appoint another Corporation Member to complete the departing Member's term. This newly appointed Corporation Member will be eligible to serve two additional 3-year terms. The replacement must meet the conditions stated above in Section 3, subdivisions 3.4; 3.5; 3.7.

Section 5.8. Members may be removed by the Provincial Council of the Sisters of the Presentation of Mary with or without cause.

Section 5.9. Any act of the Corporation shall require a two-thirds (2/3) affirmative vote of all the voting members.

Section 5.10 Only a formal motion that has been made and seconded and duly discussed is eligible to be voted in as action of the Corporation.

Section 5.11 The approved minutes of the Corporation, signed by the Provincial Superior and the Recorder, will be kept at the Provincial House in Methuen, MA.

## ARTICLE SIX

### MEMBERSHIP OF THE BOARD OF TRUSTEES

Section 6.1. Composition of the Board of Trustees The Board of Trustees shall consist of no fewer than fifteen (15) and no more than thirty-five (35) voting members of whom, no fewer than three (3) and no more than seven (7) shall be Sisters of the Presentation of Mary. Members of the Corporation, other than the Chair of the Members, shall be ex-officio Trustees without vote. The President of the University shall be an ex-officio Trustee without vote. No person in the employ of the University shall be a voting Trustee

Section 6.2 Selection Process. The Trustees shall be searched for, screened and nominated for election by the Board of Trustees. Except for the ex-officio Trustees, Trustees shall be elected by the Members of the Corporation from those persons nominated by the Board. The Members may appoint Sisters of the Presentation of Mary as voting trustees as stipulated in 6.1.

Bylaws of Rivier University

Amended by Corporation Members to include University status as of July 1, 2012 and the new United States Province of the Sisters of the Presentation of Mary, August 15, 2012



**Section 6 3. Term of Office** The term of office for each elected Trustee shall be three (3) years. However, Trustees may initially be elected for terms of less than three (3) years for the purpose of dividing the Board of Trustees into three (3) groups, substantially equal in number, with terms expiring at intervals of one (1) year.

Except as otherwise provided herein, no Trustee may be re-elected for more than three (3) consecutive terms, and at least one (1) year hiatus must intervene after three (3) consecutive terms before a Trustee is eligible for re-election. Any person elected to an unexpired term shall be eligible for two additional terms of three (3) years. However, if the Board determines that, due to special circumstances, it is in the best interest of the University to appoint a Trustee to continue beyond this limitation and such appointment is approved by the members of the Corporation, then a Trustee's terms may be extended for a period not to exceed three (3) years.

An ex-officio Trustee serves for the duration of time that the ex-official Trustee serves in the office which entitles the Trustee to ex-officio membership.

**N.B. On 12/5/97 the Board voted that all currently active Trustees would be deemed as having a new starting date of 7/11/97.**

**Section 6 4. Removal of a Trustee.** Any elected Trustee may be removed from the Board of Trustees, with or without cause, at any time by a two-thirds (2/3) vote of the Members of the Corporation, or following a recommendation to the Members by a two-thirds (2/3) vote of the Trustees.

**Section 6.5 Resignation of a Trustee.** A Trustee may resign at any time by submitting a letter of resignation to the Chair of the Board of Trustees. Such resignation shall be effective upon receipt unless specified to be effective at some other time.

**Section 6 6 Vacancies on the Board of Trustees.** Vacancies on the Board of Trustees may be filled by the Members of the Corporation at any time. Nominations shall conform to criteria set forth in Article Six, Section 6.2.

## **ARTICLE SEVEN**

### **POWERS OF THE BOARD OF TRUSTEES**

**Section 7 1.** The affairs of the University shall be governed by its Board of Trustees who shall have and exercise the corporate powers prescribed by law and in accordance with these bylaws and the Articles of Incorporation. The primary functions of the Board of Trustees shall be policy-making and responsibility for sound resource management of the University. The powers of the Board of Trustees shall include, but shall not be limited to, the following:

- (a) periodically review the purpose and the mission of the University,
- (b) establish, review and approve changes in the educational program of the University, consistent with its mission;
- (c) establish procedures regarding appointment, promotion, tenure and dismissal of faculty members;
- (d) approve annually, through the budget review, salaries and benefits for all staff, faculty, administrators and other employees of the University;
- (e) recommend to the Members for appointment the President of the University. Because of the foundation and sponsorship of the University by the Sisters of the Presentation of Mary, preference for President shall be given to a qualified candidate from the Sisters of the Presentation of Mary. If such a candidate does not present herself, the Board of Trustees shall conduct a search in the broader academic community. To conduct such a search, the Chair of the Board shall appoint a presidential search committee on which the Chair and Vice Chair of the Members, or their designees, shall serve;
- (f) assess, from time to time, the performance of the President of the University and determine appropriate terms of employment including compensation;
- (g) recommend to the Members by a two-thirds (2/3) vote the removal of the President

- of the University in accordance with Article Four, Section 4.1(k);
- (h) search for and recommend to the Members nominees for election to the Board of Trustees in accordance with Article Six, Section 6 2;
- (i) confer all honorary degrees and authorize the President to award all earned degrees as recommended by the faculty and approved by the President,
- (j) oversee and approve the operational and capital budgets of the University;
- (k) engage a certified public accountant to conduct an annual certified audit as recommended by the audit committee,
- (l) establish policy guidelines for the endowment and for all investments and major fund raising efforts;
- (m) authorize any changes in tuition and fees within the University;
- (n) authorize the President of the University to enter into contracts, including employment and insurance contracts;
- (o) authorize offices or agents of the University to accept gifts or bequests on behalf of the University according to policy established by the Board of Trustees;
- (p) contribute financially to the fund-raising goals of the University, and participate actively in strategies to secure sources of support for the University;
- (q) oversee strategic planning;
- (r) do all else necessary or desirable to promote the educational and financial well being of the University

Section 7.2. The Trustees shall have and may exercise all of their powers notwithstanding the existence of one or more vacancies in their number providing a quorum exists.

## **ARTICLE EIGHT**

### **MEETINGS OF THE BOARD OF TRUSTEES**

Section 8.1. Annual and Regular Meetings. Regular meetings of the Board of Trustees shall be held at least four (4) times a year at such time, date and place as may be determined by the Chair of the Board or the President of the University. The annual meeting shall be held no later than six (6) months after the close of the fiscal year

Section 8.2. Special Meetings. Special meetings may be held at the call of the Chair of the Board of Trustees, the President of the University or the Chair of the Members. Further, it shall be the duty of the Chair of the Board or the President of the University to call such special meetings on the written request of five (5) Trustees.

Section 8.3. Notice of Meeting. Written notice of all regular meetings of the Board of Trustees shall be mailed by the Secretary of the Board to each Trustee at least five (5) calendar days before the date of the meeting. In the case of special meetings, the notice shall state the purpose(s) of the meeting, and business at such meetings shall be confined to stated purpose(s) unless all Trustees are present. In the case of meetings held without previous notice, a written waiver of notice, executed before or after a meeting and filed with the records of the meeting, shall be deemed equivalent to notice of the meeting

Section 8.4. Quorum. A majority of the voting Trustees shall be necessary and sufficient to constitute a quorum for the transaction of business. If there is no quorum at a duly called meeting of the Board of Trustees, any action taken must be ratified by a majority of the Board of Trustees at their next meeting or by telephone.

Section 8.5. Voting. All action taken by the Board of Trustees shall be by a majority vote unless otherwise provided in these bylaws.

Section 8.6. Voting by Ballot. Voting on any question or in any election may be by voice, unless the presiding officer shall order, or a Trustee shall request, that voting be by ballot.

Section 8.7. Attendance by Telephone. Trustees may participate in a meeting of the Board by a conference telephone call or by use of similar communications equipment by means of which all persons

participating in the meeting can communicate with each other at the same time. Participation by these means shall constitute presence in person at a meeting

Section 8.8. Action by Trustees without a Meeting. Any action required or permitted to be taken at any meeting of Trustees may be taken without a meeting if all Trustees entitled to vote on the matter consent to the action in writing and the written consents are filed with the records of the meeting. The consents may be contained in more than a single document so long as the documents in the aggregate contain the required signatures.

## **ARTICLE NINE**

### **OFFICERS OF THE UNIVERSITY**

Section 9.1. Officers. The Officers of the University shall be the Chair, Vice Chair, Secretary, and Treasurer of the Board of Trustees, and the President and one or more Vice Presidents. All Officers shall serve at the pleasure of the Board of Trustees except for the Vice Presidents, who shall serve at the pleasure of the President in consultation with the Board of Trustees

The Chair, Vice Chair, Secretary, and Treasurer shall be Trustees. The President shall be an ex-officio member of the Board of Trustees without power to vote, and her or his presence at meetings shall not be counted as part of quorum determinations. The other university Officers shall not be members of the Board.

The terms of office for Officers will vary as provided elsewhere in these bylaws. The Board may approve the appointment of other Officers upon recommendation of the President.

Section 9.2. Terms and Responsibilities of the Chair and Vice Chair of the Board of Trustees. The Chair and Vice Chair shall be elected annually upon nomination by the Committee on Trusteeship and shall ordinarily serve for at least three (3) consecutive years but not more than nine (9) years. Vacancies may be filled at any time by a majority vote of the members of the Board, but election or reelection shall normally take place at the designated annual meeting

The Chair shall preside at all Board and Executive Committee meetings, have the right to vote on all questions, appoint committee chairs, determine the composition of all Board committees with the exception of the Executive Committee, and otherwise serve as spokesperson for the Board. He or she shall serve as chair of the Executive Committee, ex-officio member of all other committees with vote, and have other duties as the Board may prescribe from time to time.

In the absence of the Chair, the Vice Chair shall perform the duties of the office of the Chair, including presiding at Board and Executive Committee meetings. He or she shall have other powers and duties as the Board may from time to time prescribe and may or may not be nominated to succeed the Chair when a vacancy occurs.

Section 9.3. Term and Responsibilities of the Secretary. The Secretary shall be elected annually upon nomination of the Committee on Trusteeship and shall ordinarily serve for at least three (3) consecutive years but not more than five (5) years.

The Secretary shall ensure that the Board of Trustees is acting in accordance with these bylaws, that amendments to the bylaws are made as necessary, that minutes of Board and Executive Committee meetings are accurate and promptly distributed to all Trustees, that meetings are properly scheduled and Trustees notified, and that Board policy statements and other official records are properly maintained. The Secretary shall perform other duties as prescribed from time to time by the Board and may be assisted in all duties by a staff person designated by the President

Section 9.4. Term and Responsibilities of the Treasurer. The Treasurer shall be elected annually upon nomination of the Committee on Trusteeship and shall ordinarily serve for at least three consecutive years but not more than five years.

The Treasurer shall ordinarily serve as Chair of the Finance/Investment Committee of the Board of Trustees and otherwise serve as the key leader of the Board on all financial management policy matters. He or she shall ensure that all Trustees regularly receive appropriate and comprehensible financial statements from the administration of the University that include comparisons of revenues and expenditures with both the

approved annual budget and the preceding fiscal year for the same time periods. The Treasurer shall ensure that other financial reports, including those for special or major board-approved expenditures, university investments, and annual or special audits, are provided to all Trustees in a timely manner for review and discussion as appropriate. He or she shall work closely with the chief financial officer of the University, the board-approved auditor, and the investment and audit committees of the Board as appropriate or necessary.

**Section 9 5. Authority and Responsibilities of the President of the University** The President of the University shall be the chief executive officer of the University. The President's authority includes responsibilities for all University educational and managerial affairs. The President is responsible for leading the University, hiring all Vice Presidents, implementing Board policies, keeping the Board and the Members of the Corporation informed on appropriate matters, consulting with the Board in a timely fashion on matters appropriate to its policy-making and fiduciary functions, and serving as the key spokesperson for the University. The President shall have authority on behalf of the Trustees to perform all acts and execute all documents on behalf of the University and the Board of Trustees consistent with Board policies and the best interests of the University. The President serves as an ex-officio member of all Board committees except the audit committee.

**Section 9 6. Selection and Tenure of the President** The President shall be appointed by the Members upon recommendation of the Board of Trustees as provided in Article Seven, Section 7.1(e).

The President shall hold office for the period specified by the terms of his/her appointment or until (s)he dies, resigns, is removed or becomes disqualified.

In case of the disability, death, resignation or removal of the President, the duties of that office shall be performed by an Acting President appointed by the Board of Trustees subject to ratification by the Members.

**Section 9 7. Vice Presidents of the University** The President may appoint one or more Vice Presidents. Each Vice President shall have such powers and perform such duties as may be assigned by the President and as are set forth, from time to time, in the Statutes of Organization of Rivier University. Vice Presidents appointed by the President may be removed by the President.

## ARTICLE TEN

### COMMITTEES OF THE BOARD OF TRUSTEES

**Section 10 1. Committees** The standing committees of the Board of Trustees shall be an Executive Committee, a Finance/Investment Committee, a Building and Grounds Committee, an Audit Committee, an Academic Affairs Committee, a Student Affairs Committee, a Development Committee, a Committee on Trusteeship, and a Mission Committee.

The Chair of the Board may also appoint, with Board approval, such other standing or ad hoc committees as, from time to time, may be necessary or desirable, for the conduct of the affairs of the University. With the approval of the Board, the Chair at any time may create, suspend, or discontinue any committee.

In addition to the responsibilities assigned to committees in this Article, committees shall have such further responsibilities as may be assigned, from time to time, by vote of the Board of Trustees.

(a) **Executive Committee** The Executive Committee of the Board of Trustees shall consist of the Chair of the Corporation, Chair of the Board of Trustees, Vice Chair, Secretary and Treasurer, the President of the University, and two Trustees at large.

The Chair of the Board shall be the Chair of the Executive Committee. The Executive Committee shall have full authority to act for the Board of Trustees on emergency and urgent matters between regular and special meetings of the Board. All actions of the Committee shall be reported at the next regular or special meeting of the Board. A majority of the members of the Executive Committee shall constitute a quorum for the transaction of business.

(b) **Finance/Investment Committee** The Finance/Investment Committee shall have the responsibility to oversee the business affairs of the University, to recommend fiscal policy and to oversee the financial management of the University and to review and recommend an annual budget to the Board of Trustees. It shall

also have charge of the investment and reinvestment of all of the funds of the University within the scope of investment policy guidelines established by the Board of Trustees and subject to such limitations and restrictions as may be set by the Board. The committee may employ investment counsel to furnish advice to its members and may delegate authority to investment counsel to invest and reinvest university funds. The committee shall make a report of its activities and of the investments of the University at each regular meeting of the Board.

(c) Buildings and Grounds Committee. The membership shall include: the Vice President for Finance and Administration, the Director of Facilities Management, at least two Trustees, and members from the community at large. It shall be the responsibility of the Buildings and Grounds Committee to review maintenance and operations policy, plans and cost estimates for new facilities.

(d) Audit Committee. It shall be the responsibility of the Audit Committee to periodically examine the financial control and accounting systems of the University and recommend any changes it deems appropriate. It shall recommend to the Board of Trustees each year an independent auditor to conduct an annual certified audit of the financial condition of the University as of the close of the fiscal year and of the receipts and expenditures for each year. Said audit shall be submitted to the Board of Trustees and to the Members of the Corporation.

(e) Academic Affairs Committee. It shall be the responsibility of the Academic Affairs Committee to oversee academic policy and to make recommendations to the Board of Trustees regarding the University's academic mission and goals, academic programs and quality of instruction, faculty personnel policies, including recommended promotion, tenure and related matters.

(f) Student Affairs Committee. It shall be the responsibility of the Student Affairs Committee to concern itself with a continuing review of the extra-curricular aspects of student life, maintaining an ongoing relationship and communication with students, student leaders and student organizations and to review student due-process policies.

(g) Development Committee. It shall be the responsibility of the Development Committee to formulate fundraising programs and to recommend to the Board of Trustees actions appropriate to enable the University financially to carry out its Mission and Strategic Plan. Activities of the Development Committee include annual fund drive, capital fund drives, fundraising events and related activities.

(h) Committee on Trusteeship. It shall be the responsibility of the Committee on Trusteeship to present to the Board of Trustees, for recommendation to the Members of the Corporation, the names of candidates for membership on the Board of Trustees. The Committee shall also present to the Board nominations for the Chair, Vice Chair of the Board of Trustees, the Secretary and Treasurer of the University and nominations for persons to be considered for honorary degrees.

(i) Mission Committee. It shall be the responsibility of the Mission Committee to assess and encourage ways in which the quality of the Rivier University Mission is lived, understood, reflected, promoted and sustained by all members of the University Community: Students, Staff, Faculty, Alumni(ae), Administration, Trustees.

Section 10.2. Appointment of Committee Members and the Chair. Except as otherwise provided in these bylaws, the Chair of the Board of Trustees shall appoint at the annual meeting of the Board all standing committee members and chairs. The Chair of the Board shall also appoint the members and chair of any ad hoc committee. The number of persons serving on a committee shall be determined by the Chair.

Section 10.3. Meetings of Committees. All committees shall meet at least three times each year unless otherwise determined by the chair of the committee. In the case of the Executive Committee, meetings shall be held from time to time as necessary at the call of the chair of the committee and or at the request of a majority of the members of the committee.

Section 10.4. Members of Committees. Committee membership shall always include representation from the Board of Trustees, and, except in the case of the Executive and Trustee Committees, or unless as otherwise specified in these bylaws, may include former Trustees and other persons whose expertise and experience would be an asset to the Board. The chair of each standing committee shall be a member of the Board of Trustees.

Section 10.5. Powers of Committees. Committees are designed to enable the Board to function more efficiently and effectively. Committees are recommendatory only and do not have the authority of the Board except where expressly authorized by the Board

Section 10.6 Quorum. Unless otherwise provided in the bylaws, or voted by the Board of Trustees, a majority of the committee members present at a meeting shall constitute a quorum.

## **ARTICLE ELEVEN**

### **INDEMNIFICATION**

Each Trustee and Officer of the University shall be indemnified against all expenses actually and necessarily incurred by such Trustee or Officer in connection with the defense of any action, suit, or proceeding to which he or she has been made a party by reason of being or having been such Trustee or Officer. The University shall maintain appropriate Trustee and Officer liability insurance coverage for this purpose. The University shall cover such expenses *except* in relation to matters where the Trustee or Officer shall be adjudicated in such action, suit, or proceeding to be liable for gross negligence or willful misconduct in the performance of duty.

## **ARTICLE TWELVE**

### **CONFLICTS OF INTEREST**

A Trustee shall be considered to have a conflict of interest if

(a) such Trustee has existing or potential financial or other interests that impair or might reasonably appear to impair such member's independent, unbiased judgment in the discharge of his or her responsibilities to the University, or

(b) such Trustee is aware that a member of his or her family, or any organization in which such Trustee (or member of his or her family) is an officer, director, employee, member, partner, Trustee, or controlling stockholder, has such existing or potential financial or other interests. For the purposes of this provision, a family member is defined as a spouse, parents, siblings, children, and any other relative if the latter resides in the same household as the Trustee. All Trustees shall disclose to the Board any possible conflict of interest at the earliest practical time. Furthermore, the Trustee shall absent himself or herself from discussions of, and abstain from voting on, such matters under consideration by the Board of Trustees or its committees. The minutes of such meetings shall reflect that a disclosure was made and that the Trustee who has a conflict or possible conflict absented herself or himself and abstained from voting. Any Trustee who is uncertain whether a conflict of interest may exist in any matter may request that the Board or committee resolve the question in his or her absence by majority vote. Each Trustee shall complete and sign a disclosure form provided annually by the Secretary of the Board of Trustees. The University as well as each Member of the Board shall abide by the conflict-of-interest policy required by NH law. Any conflict shall be prohibited unless the requirements of RSA 7:19-a are complied with.

## **ARTICLE THIRTEEN**

### **CHARITABLE NATURE OF THE UNIVERSITY**

Section 13.1 Non-Profit Tax Exempt. The University is a private, non-profit, tax-exempt corporation organized exclusively for charitable, religious, educational and scientific purposes under Section 501(c)(3) of the Internal Revenue Code.

Section 13.2. No Private Inurement. None of the assets or earnings of the University shall be distributed or inure to the benefit of any Officer or Trustee of the University or to any other individual for their private use or benefit or be appropriated for any purposes other than the purposes of the University as set forth in these bylaws.

Bylaws of Rivier University  
Amended by Corporation Members to include University status as of July 1, 2012 and the new United States  
Province of the Sisters of the Presentation of Mary, August 15, 2012

The University shall not carry on propaganda, or attempt to influence legislation, that is not in conformity with the requirements of Section 501(h) of the Internal Revenue Code. The University shall not participate in any political campaign on behalf of any candidate for public office.

Section 13.3. Dissolution. Upon dissolution of the University, none of its property and assets of any nature and description shall be distributed to any Trustee or Officer of the University, or to any other individual, but all of its property and assets shall be distributed, after satisfaction of its debts and obligations, to Presentation of Mary, Inc., Massachusetts Corporation, for the continuation of the Corporation's apostolic and charitable works, or to such organization or organizations as may be chosen by the said Corporation, provided such organizations are qualified for exemption under Section 501(c)(3) of the Internal Revenue Code, or corresponding sections of any future federal tax code.

## **ARTICLE FOURTEEN**

### **MISCELLANEOUS PROVISIONS**

Section 14.1. Principal Office. The principal office of the University shall be 420 Main Street, Nashua, NH 03060.

Section 14.2. Fiscal Year. The fiscal year of the University shall be the twelve months beginning July 1 and ending June 30 of the following year.

Section 14.3. Seal. The Board of Trustees shall have power to adopt and alter the seal of the University.

Section 14.4. Execution of Instruments. Except as the Trustees may otherwise determine, all deeds, leases, transfers, contracts, bonds, notes and other obligations to be entered into by the University in the ordinary course of its business without Trustee action, may be executed on behalf of the University by the President or the President's designee.

## **ARTICLE FIFTEEN**

### **AMENDMENT OF BYLAWS**

These bylaws may be amended or repealed in whole or in part by a vote of two-thirds (2/3) of the Members of the Corporation at any annual or special meeting provided that the substance of the proposed amendments or repeal is sent to the Members in the notice of the meeting

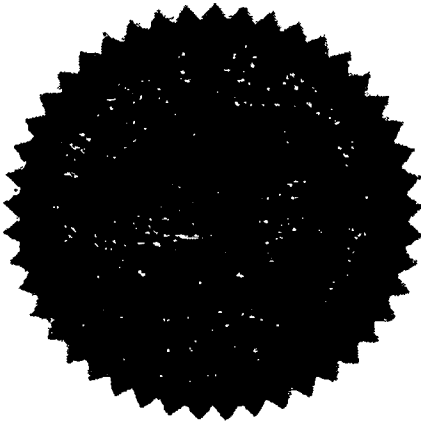
Amendments or repeal of the bylaws may also be initiated by a two-thirds (2/3) vote of the Trustees. Final action on all amendments or repeal to the bylaws is the exclusive responsibility of the Members of the Corporation as provided in Article Four, Section 4.1(c).

# State of New Hampshire

## Department of State

### CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that Rivier University is a New Hampshire nonprofit corporation formed April 19, 1948. I further certify that it is in good standing as far as this office is concerned, having filed the return(s) and paid the fees required by law.



In TESTIMONY WHEREOF, I hereto  
set my hand and cause to be affixed  
the Seal of the State of New Hampshire,  
this 11<sup>th</sup> day of May A.D. 2012

A handwritten signature in dark ink, appearing to read "William M. Gardner", written in a cursive style.

William M. Gardner  
Secretary of State



**8868**Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension-check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Enter filer's identifying number, see instructions**

<b>Type or print</b>  File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions <b>Rivier University f.k.a. Rivier College</b>	Employer identification number (EIN) or <input checked="" type="checkbox"/> <b>02-0223339</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>420 South Main Street</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>Nashua NH 03060-5086</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**01**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ► **Brent Winiger** **420 South Main Street, Nashua** **NH 03060**

Telephone No ► **603-888-1311**FAX No ► **603-897-8814**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **02/15/13**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
 ► ☐ calendar year \_\_\_\_\_ or

► ☒ tax year beginning **07/01/11**, and ending **06/30/12**

**2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b>	\$
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions