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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning JUN 1, 2011, and ending MAY 31, 2012

Name of foundation <b>JOHN STAUFFER CHARITABLE TRUST</b>		A Employer identification number <b>23-7434707</b>
Number and street (or P O box number if mail is not delivered to street address) <b>301 N. LAKE AVE., SUITE 1000</b>	Room/suite	B Telephone number <b>626-793-9400</b>
City or town, state, and ZIP code <b>PASADENA, CA 91101-5123</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, 2. check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>42,602,491.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,274,057.	1,274,057.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a	4,297,558.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<141.>	<141.>		STATEMENT 2
12 Total. Add lines 1 through 11		1,245,111.	1,273,916.		
13 Compensation of officers, directors, trustees, etc		195,000.	78,000.		117,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees	STMT 3	55,278.	42,011.		13,267.
b Accounting fees	STMT 4	18,184.	16,366.		1,818.
c Other professional fees	STMT 5	115,902.	115,902.		0.
17 Interest					
18 Taxes	STMT 6	41,459.	41,417.		42.
19 Depreciation and depletion					
20 Occupancy		6,190.	5,881.		309.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 7	72,617.	72,617.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		504,630.	372,194.		132,436.
25 Contributions, gifts, grants paid		960,170.			960,170.
26 Total expenses and disbursements. Add lines 24 and 25		1,464,800.	372,194.		1,092,606.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<219,689.>			
b Net investment income (if negative, enter -0-)			901,722.		
c Adjusted net income (if negative, enter -0-)				N/A	

915-16

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,405,351.	812,689.	812,689.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations <b>STMT 8</b>	8,834,747.	6,491,222.	6,922,952.
	b	Investments - corporate stock <b>STMT 9</b>	19,907,190.	21,028,882.	27,273,439.
	c	Investments - corporate bonds <b>STMT 10</b>	5,728,993.	7,323,940.	7,544,156.
Liabilities	11	Investments - land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans <b>STMT 11</b>	41,098.	33,465.	37,721.
	13	Investments - other <b>STMT 12</b>	25,897.	25,756.	1,032.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶ <b>STATEMENT 13</b> )	2,869.	10,502.	10,502.
	16	<b>Total assets</b> (to be completed by all filers)	35,946,145.	35,726,456.	42,602,491.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	35,946,145.	35,726,456.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	<b>Total net assets or fund balances</b>	35,946,145.	35,726,456.	
	31	<b>Total liabilities and net assets/fund balances</b>	35,946,145.	35,726,456.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,946,145.
2	Enter amount from Part I, line 27a	2	<219,689.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	35,726,456.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,726,456.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a GAIN ON SALE OF ASSETS-SEE ATTACHED</b>	P	VARIOUS	12/30/11
<b>b LOSS ON SALE OF ASSETS-SEE ATTACHED</b>	P	VARIOUS	12/30/11
<b>c CAPITAL GAINS DIVIDENDS</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 2,837,837.</b>		<b>2,804,300.</b>	<b>33,537.</b>
<b>b 1,456,281.</b>		<b>1,522,063.</b>	<b>&lt;65,782.&gt;</b>
<b>c 3,440.</b>			<b>3,440.</b>
<b>d</b>			
<b>e</b>			

  

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>33,537.</b>
<b>b</b>			<b>&lt;65,782.&gt;</b>
<b>c</b>			<b>3,440.</b>
<b>d</b>			
<b>e</b>			

  

<b>2 Capital gain net income or (net capital loss)</b>	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	<b>&lt;28,805.&gt;</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b>		<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	1,399,377.	39,184,712.	.035712
2009	2,015,326.	37,248,434.	.054105
2008	577,144.	37,098,222.	.015557
2007	2,615,964.	45,734,784.	.057199
2006	2,300,050.	43,698,621.	.052634

  

<b>2 Total of line 1, column (d)</b>	<b>2</b>	<b>.215207</b>
<b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>	<b>3</b>	<b>.043041</b>
<b>4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5</b>	<b>4</b>	<b>41,158,412.</b>
<b>5 Multiply line 4 by line 3</b>	<b>5</b>	<b>1,771,499.</b>
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>	<b>6</b>	<b>9,017.</b>
<b>7 Add lines 5 and 6</b>	<b>7</b>	<b>1,780,516.</b>
<b>8 Enter qualifying distributions from Part XII, line 4</b>	<b>8</b>	<b>1,092,606.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	18,034.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	18,034.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	18,034.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	23,773.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	23,773.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,739.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 5,739. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>WWW.GUIDESTAR.ORG</b>	13	X	
14	The books are in care of ► <b>LAGERLOF, SENEAL, ET AL</b> Telephone no. ► <b>626-793-9400</b> Located at ► <b>301 N. LAKE AVENUE, SUITE 1000, PASADENA, CA</b> ZIP+4 ► <b>91101-5123</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL R. WHALEN 4705 LAUREL CANYON BLVD., SUITE 204 VALLEY VILLAGE, CA 91607	TRUSTEE VARIABLE TO 10	65,000.	0.	0.
H. JESS SENECA 301 N. LAKE AVENUE, SUITE 1000 PASADENA, CA 91101-5123	TRUSTEE VARIABLE TO 10	65,000.	0.	0.
JOHN F. BRADLEY 180 SOUTH LAKE AVENUE, SUITE 440 PASADENA, CA 91101	TRUSTEE VARIABLE TO 10	65,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
EVERETT HARRIS & CO - 26522 LA ALAMEDA, SUITE 270, MISSION VIEJO, CA 92691	INVESTMENT ADVICE	115,902.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	41,160,808.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	623,348.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	1,034.
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	41,785,190.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	41,785,190.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	626,778.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	41,158,412.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	2,057,921.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	2,057,921.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	18,034.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	18,034.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	2,039,887.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	2,039,887.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	2,039,887.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,092,606.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,092,606.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	1,092,606.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				2,039,887.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007	357,236.			
<b>c</b> From 2008				
<b>d</b> From 2009	170,184.			
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	527,420.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ► \$ 1,092,606.				
<b>a</b> Applied to 2010, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				1,092,606.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	527,420.			527,420.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				419,861.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

	4942(1)(3) or		4942(1)(5)
--	---------------	--	------------

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:  
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

<b>Part XV</b>	<b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)</b>
----------------	--

- ### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 14

- b The form in which applications should be submitted and information and materials they should include:**

SEE STATEMENT 14

- c Any submission deadlines:

SEE STATEMENT 14

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 14

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
OCCIDENTAL COLLEGE 1600 CAMPUS ROAD LOS ANGELES, CA 90041-3314	NONE	COLLEGE	CHALLENGE GRANT FOR ENDOWMENT OF UNDERGRADUATE SUMMER RESEARCH PROGRAM IN CHEMISTRY/BIOCHEMISTRY	210,170.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD. PASADENA, CA 91125	NONE	UNIVERSITY	CONSTRUCTION OF RESEARCH LABORATORY IN NEW CHEMISTRY BUILDING	500,000.
GOOD SAMARITAN HOSPITAL 1225 WILSHIRE BLVD. LOS ANGELES, CA 90017	NONE	HOSPITAL	CREATION OF A PERIPHERAL ARTERY DISEASE (PAD) CARDIOLOGY LAB	100,000.
HARVEY MUDD COLLEGE 301 PLATT BOULEVARD CLAREMONT, CA 91711	NONE	COLLEGE	SUMMER RESEARCH FELLOWSHIP IN CHEMISTRY	150,000.
<b>Total</b>				<b>960,170.</b>
<b>b Approved for future payment</b>				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD. PASADENA, CA 91125	NONE	UNIVERSITY	CONSTRUCTION OF RESEARCH LABORATORY IN NEW CHEMISTRY BUILDING	500,000.
HARVEY MUDD COLLEGE 301 PLATT BOULEVARD CLAREMONT, CA 91711	NONE	COLLEGE	SUMMER RESEARCH FELLOWSHIP IN CHEMISTRY	150,000.
GOOD SAMARITAN HOSPITAL 1225 WILSHIRE BLVD. LOS ANGELES, CA 90017	NONE	HOSPITAL	CREATION OF A PERIPHERAL ARTERY DISEASE (PAD) CARDIOLOGY LAB	200,000.
<b>Total</b>				<b>1,350,000.</b>

SEE CONTINUATION SHEET(S)

▶ 3b

Form 990-PF (2011)



## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- [illegible]

- | b If "Yes," complete the following schedule. |                          |                                 |
|--|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |
|  |                          |                                 |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: Timothy J. Rooney Date: 9-12-12 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instr. 7)?

☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	DAVID BERINGER	<i>David P. Beringer CPA</i>	8/30/12		P00072312
	Firm's name ▶ MARTIN WERBELOW LLP			Firm's EIN ▶ 95-1720829	
	Firm's address ▶ 300 N LAKE AVE, SUITE 930 PASADENA, CA 91101-4106			Phone no. (626) 577-1440	

2011.04020 JOHN STAUFFER CHARITABLE TR 6634-101

23-7434707

**3 Grants and Contributions Approved for Future Payment (Continuation)**

123635 08-03-11

## JOHN STAUFFER CHARITABLE TRUST

## Gains on Sales of Assets

For the Period 06/01/11 - 05/31/12

**STOCKS**

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Gain</u>
05/08/2012	n/a	settlement AOL Time Warner	\$55.43	\$0.00	\$55.43
05/14/2012	10/08/2007	0.5 Shares CIA Ener De Minas	8.52	6.13	2.39
06/23/2011	n/a	settlement Enron	17.59	0.00	17.59
03/12/2012	n/a	settlement Juniper	556.16	0.00	556.16
06/14/2011	n/a	settlement Qwest	149.39	0.00	149.39
05/08/2012	n/a	settlement Qwest	79.57	0.00	79.57
12/12/2011	08/09/2011	2500 Shares Teva Pharmaceutical	101,820.81	96,958.95	4,861.86
12/27/2011	n/a	settlement Tyco Int'l	28.54	0.00	28.54
01/10/2012	n/a	settlement Tyco Int'l	34.27	0.00	34.27
03/02/2012	10/01/2007	4,250 Shares Unilever	140,746.86	132,597.63	8,149.23
03/02/2012	10/02/2007	3,750 Shares Unilever	124,188.40	114,598.70	9,589.70
03/02/2012	10/03/2007	2,000 Shares Unilever	66,233.81	60,689.95	5,543.86
03/02/2012	07/12/2011	5,000 Shares Unilever	165,584.54	161,508.95	4,075.59
<b><u>Total Stocks</u></b>			<b>\$599,503.89</b>	<b>\$566,360.31</b>	<b>\$33,143.58</b>



## JOHN STAUFFER CHARITABLE TRUST

## Gains on Sales of Assets

For the Period 06/01/11 - 05/31/12

**BONDS & TREASURY NOTES**

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Gain</u>
10/14/2011	10/02/2008	\$500,000 Par Value - Due 10/14/2011 Federal Farm Credit Bank 3.6%	500,000.00	500,000.00	0.00
02/17/2012	03/17/2009	\$500,000 Par Value - Due 02/17/2012 Federal Farm Credit Bank 2.25%	500,000.00	500,000.00	0.00
06/10/2011	11/08/2008	\$280,000 Par Value - Due 06/10/2011 Federal Home Loan Banks 5.25%	280,000.00	280,000.00	0.00
07/29/2011	07/08/2010	\$500,000 Par Value - Due 10/29/2014 Federal Home Loan Banks 1 25%	133,333.33	132,939.53	393.80
09/15/2011	05/01/2007	\$200,000 Par Value - Due 09/12/2011 Federal Home Loan Banks 5.50%	200,000.00	200,000.00	0.00
02/10/2012	10/18/2011	\$156,250 Par Value - Due 11/10/2017 Federal Home Loan Banks 2.0%	156,250.00	156,250.00	0.00
04/20/2012	10/18/2011	\$93,750 Par Value - Due 11/10/2017 Federal Home Loan Banks 2.0%	93,750.00	93,750.00	0.00
10/11/2011	09/09/2003	\$200,000 Par Value - Due 10/15/2011 Household Financial 6.375%	200,000.00	200,000.00	0.00
02/15/2012	03/12/2007	\$175,000 Par Value - Due 02/15/2012 U.S. Treasury Notes 4.875%	175,000.00	175,000.00	0.00
<b><u>Total Bonds</u></b>			\$2,238,333.33	\$2,237,939.53	\$393.80
			\$2,837,837.22	\$2,804,299.84	\$33,537.38
			=====	=====	=====

## JOHN STAUFFER CHARITABLE TRUST

## Losses on Sales of Assets

For the Period 06/01/11 - 05/31/12

Schedule 9

<u>Date Sold</u>	<u>Date Acq'd</u>	<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Loss</u>
09/26/2011	01/11/2007	507 Shares Abbott Laboratories	\$25,567.28	\$25,663.24	\$95.96
09/26/2011	01/23/2007	1,094 Shares Abbott Laboratories	55,168.84	58,393.00	3,224.16
09/26/2011	03/27/2007	399 Shares Abbott Laboratories	20,120.99	22,642.00	2,521.01
09/26/2011	06/18/2007	4,222 Shares Baxter International	226,465.88	244,051.68	17,585.80
09/26/2011	07/10/2007	2,000 Shares Baxter International	41,731.52	44,373.97	2,642.45
12/12/2011	05/04/2011	5,000 Shares Teva Pharmaceutical	203,641.62	233,154.45	29,512.83
07/12/2011	01/28/2004	12,000 Shares Wells Fargo & Co.	333,584.64	343,745.00	10,160.36
			<u>\$906,280.77</u>	<u>\$972,023.34</u>	<u>\$65,742.57</u>

BONDS AND TREASURY NOTES

<u>Date Sold</u>		<u>Description</u>	<u>Sales Proceeds</u>	<u>Carrying Value</u>	<u>Loss</u>
07/29/2011	07/08/2010	\$300,000 Due: 11/09/2015 Federal Farm Credit Bank Nts 1.6%	300,000.00	300,019.83	19.83
03/09/2012	03/02/2011	\$250,000 Due: 03/09/2016 Federal Farm Credit Bank Nts 2.6%	250,000.00	250,019.96	19.96
<u>Total Bonds and Treasury Notes</u>			<u>\$550,000.00</u>	<u>\$550,039.79</u>	<u>\$39.79</u>
			<u>\$1,456,280.77</u>	<u>\$1,522,063.13</u>	<u>\$65,782.36</u>
=====					

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS AND INTEREST FROM SECURITIES	1,277,497.	3,440.	1,274,057.
TOTAL TO FM 990-PF, PART I, LN 4	1,277,497.	3,440.	1,274,057.

FORM 990-PF	OTHER INCOME		STATEMENT 2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT LOSS FROM JMB IV	<141.>	<141.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<141.>	<141.>	

FORM 990-PF	LEGAL FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LAGERLOF, SENEAL, GOSNEY & KRUSE, LLP	11,099.	8,435.		2,664.
LOEB & LOEB, LLP	44,179.	33,576.		10,603.
TO FM 990-PF, PG 1, LN 16A	55,278.	42,011.		13,267.

FORM 990-PF	ACCOUNTING FEES		STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LAGERLOF, SENEAL, GOSNEY & KRUSE, LLP	14,784.	13,306.		1,478.
MARTIN WERBELOW LLP	3,400.	3,060.		340.
TO FORM 990-PF, PG 1, LN 16B	18,184.	16,366.		1,818.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EVERETT HARRIS & CO.	115,902.	115,902.		0.
TO FORM 990-PF, PG 1, LN 16C	115,902.	115,902.		0.

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FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	5.		5.
FOREIGN TAXES	26,374.	26,374.		0.
CA ATTORNEY GENERAL	75.	38.		37.
FEDERAL TAX ESTIMATES	15,000.	15,000.		0.
TO FORM 990-PF, PG 1, LN 18	41,459.	41,417.		42.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION	68,591.	68,591.		0.
ADR PASS THRU FEES	2,238.	2,238.		0.
DOCUMENT REPRODUCTION	504.	504.		0.
LIABILITY INSURANCE	819.	819.		0.
DELIVERY AND MESSENGER SERVICE	28.	28.		0.
LAKE CORSON DIRECTORY STRIP ALS	27.	27.		0.
	15.	15.		0.
FILING FEE	395.	395.		0.
TO FORM 990-PF, PG 1, LN 23	72,617.	72,617.		0.

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FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY NOTE 4.375% DUE 08/15/12	X		180,381.	181,575.
US TREASURY NOTE 4.0% DUE 2/15/14	X		589,076.	638,063.
US TREASURY NOTE 5.125% DUE 5/15/16	X		249,645.	294,883.
US TREASURY NOTE 4.25% DUE 8/15/15	X		594,274.	673,219.
US TREASURY NOTE 4.5% DUE 2/15/16	X		223,059.	258,082.
US TREASURY NOTE 4.875% DUE 2/15/12	X		0.	0.
US TREASURY NOTE 4.25% DUE 11/15/13	X		412,748.	438,992.
FED HOME LOAN BANKS 5.25% DUE 9/13/13	X		200,639.	212,682.
FED HOME LOAN MORTGAGE 5.5% DUE 9/15/11	X		0.	0.
FEDERAL FARM CREDIT BANK NOTES 3.6% DUE 10/14/11	X		0.	0.
FEDERAL HOME LOAN BANK NOTES 5.25% DUE 06/10/11	X		0.	0.
FEDERAL FARM CREDIT BANK NOTES 2.6% DUE 02/19/13	X		500,487.	508,523.
FED HOME LOAN BANK NOTES 2.93% DUE 03/11/14	X		501,467.	522,242.
US TREASURY NOTE 7.25% DUE 05/15/16	X		307,326.	359,902.
FEDERAL HOME LOAN BANK NOTES 3.125% DUE 12/13/13	X		481,490.	500,384.
FEDERAL FARM CREDIT BANK NOTES 2.25% DUE 02/17/12	X		0.	0.
CALIFORNIA STATE GO 4.85% DUE 10/01/14		X	251,484.	270,388.
FEDERAL FARM CREDIT BANK NOTES 2.9% DUE 1/5/15	X		499,594.	530,744.
FEDERAL HOME LOAN BANK NOTES 1.5% DUE 1/16/13	X		499,715.	504,051.
FEDERAL HOME LOAN BANK NOTES 3.125% DUE 7/7/14	X		500,010.	527,861.
FEDERAL FARM CREDIT BANK NOTES 1.6% DUE 11/09/15	X		0.	0.
FEDERAL FARM CREDIT BANK NOTES 2.6% DUE 3/9/16	X		0.	0.
FEDERAL HOME LOAN BANK NOTES 1.25% DUE 10/29/14	X		0.	0.
FEDERAL HOME LOAN BANK NOTES 2.0% DUE 1/27/16	X		499,827.	501,361.
TOTAL U.S. GOVERNMENT OBLIGATIONS			6,239,738.	6,652,564.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			251,484.	270,388.
TOTAL TO FORM 990-PF, PART II, LINE 10A			6,491,222.	6,922,952.

FORM 990-PF

CORPORATE STOCK

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ABBOTT LABORATORIES	415,368.	556,110.
CISCO SYSTEMS	607,734.	408,250.
GENERAL ELECTRIC CO.	733,419.	763,600.
GOOGLE, INC.	627,719.	1,022,314.
JOHNSON & JOHNSON	636,336.	811,590.
PEPSICO, INC.	730,238.	882,050.
PROCTOR & GAMBLE CO.	570,828.	622,900.
UNITED PARCEL SERVICE CLASS B	797,617.	824,340.
WELLS FARGO & CO.	0.	0.
MICROSOFT CORP	649,806.	671,370.
WAL-MART STORES, INC.	947,721.	1,382,220.
APPLE INC.	219,531.	1,213,233.
BAXTER INTERNATIONAL	479,471.	506,200.
COSTCO WHOLESALE CORP	785,711.	1,295,850.
MCDONALDS CORP	858,479.	1,608,120.
1/100 BERKSHIRE HATHAWAY	891,464.	950,800.
CHEVRON CORP	685,147.	786,480.
CIA ENER DE MINAS ADR F	389,339.	634,443.
CONOCOPHILLIPS	778,427.	782,400.
NESTLE	1,039,078.	1,421,250.
NOVO-NORDISK A-S ADR F	364,325.	936,530.
UNILEVER N V NY SHS	806,584.	789,500.
VODAFONE GROUP NEW ADR F	752,427.	736,725.
WAL-MART DE MEX SAB ADRF	439,835.	624,780.
YUM BRANDS INC	497,191.	1,055,400.
SPDR GOLD TRUST	362,206.	606,480.
CHINA MOBILE LTD	889,435.	913,140.
ZIMMER HOLDINGS	640,642.	667,150.
COLGATE-PALMOLIVE	389,130.	491,500.
LAB CP OF AMERICA HLDG NEW	558,107.	582,960.
NOVARTIS AG	487,808.	456,199.
SYSCO CORPORATION	590,599.	558,200.
TARGET CORPORATION	244,907.	289,550.
TEMPLETON GLOBAL INCM FD	104,992.	90,400.
TEVA PHARMACEUTICAL INDS LTD	0.	0.
NIKE INC CLASS B	527,453.	649,080.
PHLLIPS 66	231,337.	225,225.
WALT DISNEY CO.	298,471.	457,100.
TOTAL TO FORM 990-PF, PART II, LINE 10B	21,028,882.	27,273,439.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BELLSOUTH CORP. 5.2% DUE 12/15/16	179,917.	207,468.
GOLDMAN SACHS 5.7% DUE 9/1/12	200,000.	201,728.
MORGAN STANLEY CO. 5.3% DUE 3/1/13	250,483.	254,476.
HOUSEHOLD FIN CORP. 6.375% DUE 10/15/11	0.	0.
MBNA CORP. 7.5% DUE 3/15/12	0.	0.
CLOROX 5.45% DUE 10/15/12	250,450.	254,324.
BERKSHIRE HATHAWAY FIN NOTES 5.00% DUE 08/15/13	254,477.	263,168.
GENERAL ELECTRIC CAP. CORP. 4.8% DUE 05/01/13	248,856.	259,170.
GENERAL MILLS 5.25% DUE 08/15/13	254,306.	263,325.
COMCAST CORP. NEW 6.5% DUE 01/15/15	255,678.	283,557.
MIDAMERICAN ENERGY SR. NOTE 5.875% DUE 10/1/12	504,997.	508,308.
AES CORP 8% DUE 10/15/17	267,354.	277,813.
BRINKER INT'L 5.75% DUE 6/1/14	284,073.	288,235.
CAMPBELL SOUP 3.375% DUE 8/15/14	206,268.	211,817.
COMCAST 4.95% DUE 6/15/16	265,810.	281,862.
ENTERPRISE PRODS 3.2% DUE 2/1/16	250,242.	263,186.
HOST MARRIOTT 6.375% DUE 3/15/15	254,346.	252,500.
KC STRATHERN RAIL 8.00% DUE 6/1/15	258,579.	260,000.
PITNEY BOWES 4.875% DUE 8/15/14	257,464.	261,184.
SARA LEE 2.75% DUE 9/15/15	252,783.	256,978.
VODAFONE GROUP 4.15% DUE 6/10/14	258,796.	265,775.
YUM BRANDS 3.875% DUE 11/1/20	240,985.	271,027.
AMERICAN INTERNATIONAL GROUP 4.25 DUE 09/15/14	248,996.	259,293.
AMGEN INC 2.3% DUE 06/15/15	246,223.	253,906.
AMGEN INC 3.875 DUE 11/15/21	247,786.	260,603.
BANK OF AMERICA NOTES 6.25% DUE 05/15/16	307,609.	308,455.
ENERGY TRANSFER NOTES 6% DUE 07/01/13	259,271.	261,075.
ENTERPRISE PRODS 4.6% DUE 08/01/12	301,603.	301,798.
HOST HOTELS RESORT BONDS 6.75% DUE 06/01/16	516,588.	513,125.
TOTAL TO FORM 990-PF, PART II, LINE 10C	7,323,940.	7,544,156.

FORM 990-PF	MORTGAGE LOANS	STATEMENT 11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GNMA 8.00% DUE 10/15/24	426.	433.
FNMA 7.50% DUE 10/1/24	4,109.	4,712.
GNMA II 6.00% DUE 12/20/28	22,777.	25,788.
FNMA 7.00% DUE 4/1/31	2,537.	2,912.
FNMA 6.5% DUE 6/1/16	3,616.	3,876.
TOTAL TO FORM 990-PF, PART II, LINE 12	33,465.	37,721.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ENDOWMENT & FDTN REALTY LTD-JMB IV	FMV	25,756.	1,032.
TOTAL TO FORM 990-PF, PART II, LINE 13		25,756.	1,032.

FORM 990-PF	OTHER ASSETS	STATEMENT 13
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
MINERAL RIGHTS	2.	2.	2.
PREPAID INTEREST	2,867.	10,500.	10,500.
TO FORM 990-PF, PART II, LINE 15	2,869.	10,502.	10,502.



FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 14

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

TIMOTHY GOSNEY  
301 N. LAKE AVENUE, SUITE 1000  
PASADENA, CA 91101-5123

## TELEPHONE NUMBER

626-793-9400

## FORM AND CONTENT OF APPLICATIONS

A LETTER STATING THE PURPOSE OF THE APPLICATION AND AMOUNT, TAX EXEMPT  
STATUS FROM IRS AND STATE AUTHORITIES AND IRS PRIVATE FOUNDATION RULING.  
GUIDELINES ARE AVAILABLE UPON REQUEST.

## ANY SUBMISSION DEADLINES

NONE

## RESTRICTIONS AND LIMITATIONS ON AWARDS

AWARDS MADE TO HOSPITALS, COLLEGES AND UNIVERSITIES IN CALIFORNIA.