Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2011**

**Open to Public Inspection**

A For the 2011 calendar year, or tax year beginning 5/1/2011 , and ending 4/30/2012

B Check if applicable: [ ] Address change [ ] Name change [ ] Initial return [ ] Terminated [ ] Amended return [ ] Application pending

C Name of organization: CU DIABETES

Doing Business As

Number and street (or P O box if mail is not delivered to street address): Wells Fargo Bank N A - PO Box 53456 MAC S4101-22G    Room/suite

City or town, state or country, and ZIP + 4: Phoenix AZ 85072-3456

D Employer identification number: 74-6349039

E Telephone number: 888-730-4933

G Gross receipts $ 902,531

F Name and address of principal officer: Wells Fargo Bank N A PO Box 53456 MAC S4101-22G, PHOENIX, A

H(a) Is this a group return for affiliates? [ ] Yes [X] No

H(b) Are all affiliates included? [ ] Yes [ ] No

If "No," attach a list (see instructions)

I Tax-exempt status [X] 501(c)(3) [ ] 501(c) ( ) ◀ (insert no ) [ ] 4947(a)(1) or [ ] 527

J Website: ▶ N/A

H(c) Group exemption number ▶

K Form of organization [ ] Corporation [X] Trust [ ] Association [ ] Other ▶

L Year of formation 1986

M State of legal domicile CO

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR CHILDHOOD DIABETES

2 Check this box ▶[ ] if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 3 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 3 |
| 5 | Total number of individuals employed in calendar year 2011 (Part V, line 2a) | 5 | 0 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 6 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| **Revenue** | 8 | Contributions and grants (Part VIII, line 1h) | | 0 |
| | 9 | Program service revenue (Part VIII, line 2g) | | 0 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 197,195 | 132,638 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | | 0 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 197,195 | 132,638 |
| **Expenses** | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 294,506 | 203,276 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | | 0 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | | 0 |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶ 0 | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 35,960 | 37,478 |
| | 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 330,466 | 240,754 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | -133,271 | -108,116 |
| | | | **Beginning of Current Year** | **End of Year** |
| **Net Assets or Fund Balances** | 20 | Total assets (Part X, line 16) | 4,931,145 | 4,825,702 |
| | 21 | Total liabilities (Part X, line 26) | 0 | 0 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 4,931,145 | 4,825,702 |

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SCANNED OCT 0 2 2012

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

SVP Wells Fargo Bank N A    9/17/2012

Signature of officer    Date

JOHN SULENTIC    SVP Wells Fargo Bank, N A

Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check [X] if self-employed | PTIN |
|---|---|---|---|---|
| JOSEPH J CASTRIANO | | 9/17/2012 | | P01251603 |

Firm's name ▶ PricewaterhouseCoopers, LLP    Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777    Phone no (412) 355-6000

May the IRS discuss this return with the preparer shown above? (see instructions) [X] Yes [ ] No

**For Paperwork Reduction Act Notice, see the separate instructions.**    Form **990** (2011)

(HTA)

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**Part III** **Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . ☐

1 Briefly describe the organization's mission

TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR CHILDHOOD DIABETES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 211,417 including grants of $ 203,276 ) (Revenue $ 0 )

TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR CHILDHOOD DIABETES

**4b** (Code ) (Expenses $ 0 including grants of $ 0 ) (Revenue $ 0 )

**4c** (Code ) (Expenses $ 0 including grants of $ 0 ) (Revenue $ 0 )

**4d** Other program services (Describe in Schedule O )

(Expenses $ 0 including grants of $ 0 ) (Revenue $ 0 )

**4e** **Total program service expenses** ▶ 211,417

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | X | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | | X |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | X |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | X |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | X |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | X |
| 9 | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | X |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | | X |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI* | 11a | | X |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | | X |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | X |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | X |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | | X |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | | X |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII* | 12a | | X |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional* | 12b | | X |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | X |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | | X |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV* | 15 | | X |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | X |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)* | 17 | | X |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | X |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | X |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | X |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | X | |
| 22 | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | | X |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | X | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25* | 24a | | X |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | X |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | 26 | | X |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | 27 | | X |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | | X |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | | X |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | X |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | 33 | | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1* | 34 | | X |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | X |
| b | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | 35b | | X |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | X |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | X |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | X | |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V ☐

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable | 1a | 0 | | | |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | X | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 0 | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions) | | | 2b | | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year? | | | 3a | | X |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* | | | 3b | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | | X |
| b | If "Yes," enter the name of the foreign country ► See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | X |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | X |
| c | If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | | | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible? | | | 6a | | X |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | | X |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | X |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | X |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | X |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | 7h | | |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | X |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | X |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | X |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) | 11b | | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |
| 13 | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O | | | 13a | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | 13b | | | | |
| c | Enter the amount of reserves on hand | 13c | | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? | | | 14a | | X |
| b | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* | | | 14b | | |

**Part VI** **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI . [X]

**Section A. Governing Body and Management**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a 3 | | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b 3 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | | 2 | | X |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | | 3 | | X |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | | 4 | | X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | | 5 | | X |
| 6 | Did the organization have members or stockholders? | | 6 | | X |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | | 7a | X | |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | | 7b | | X |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | |
| a | The governing body? | | 8a | X | |
| b | Each committee with authority to act on behalf of the governing body? | | 8b | X | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* | | 9 | | X |

**Section B. Policies** *(This Section B requests information about policies not required by the Internal Revenue Code )*

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | X |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | | X |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | X | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | X | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* | 12c | X | |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | X | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | | X |
| b | Other officers or key employees of the organization | 15b | | X |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | X |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed ▶ N/A

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

[ ] Own website [X] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ Wells Fargo Bank N A 888-730-4933

PO BOX 53456 MAC S4101-22G, PHOENIX, AZ 85072-3456

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check ıf Schedule O contains a response to any question ın this Part VII ☐

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (1) LILLY MARKS<br>CO-TRUSTEE | 1 00 | X | | | | | | 0 | 456,790 | 117,604 |
| (2) DR RICHARD ABRAMS<br>CO-TRUSTEE | 1 00 | X | | | | | | 0 | 0 | 0 |
| (3) WELLS FARGO BANK, N A<br>CO-TRUSTEE | 4 00 | | X | | | | | 32,563 | 0 | 0 |
| (4) | | | | | | | | | | |
| (5) | | | | | | | | | | |
| (6) | | | | | | | | | | |
| (7) | | | | | | | | | | |
| (8) | | | | | | | | | | |
| (9) | | | | | | | | | | |
| (10) | | | | | | | | | | |
| (11) | | | | | | | | | | |
| (12) | | | | | | | | | | |
| (13) | | | | | | | | | | |
| (14) | | | | | | | | | | |

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position: Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (15) | | | | | | | | | | |
| (16) | | | | | | | | | | |
| (17) | | | | | | | | | | |
| (18) | | | | | | | | | | |
| (19) | | | | | | | | | | |
| (20) | | | | | | | | | | |
| (21) | | | | | | | | | | |
| (22) | | | | | | | | | | |
| (23) | | | | | | | | | | |
| (24) | | | | | | | | | | |
| (25) | | | | | | | | | | |
| **1b Sub-total** ► | | | | | | | | 32,563 | 456,790 | 117,604 |
| **c Total from continuation sheets to Part VII, Section A** ► | | | | | | | | 0 | 0 | 0 |
| **d Total (add lines 1b and 1c)** ► | | | | | | | | 32,563 | 456,790 | 117,604 |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ► 0

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | X | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| NONE | | 0 |
| | | 0 |
| | | 0 |
| | | 0 |
| | | 0 |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ► 0

**Part VIII Statement of Revenue**

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a Federated campaigns | 1a | 0 | | | | |
| | b Membership dues | 1b | 0 | | | | |
| | c Fundraising events | 1c | 0 | | | | |
| | d Related organizations | 1d | 0 | | | | |
| | e Government grants (contributions) | 1e | 0 | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 0 | | | | |
| | g Noncash contributions included in lines 1a-1f | $ | 0 | | | | |
| | h **Total.** Add lines 1a–1f | | ▶ | 0 | | | |
| Program Service Revenue | | | Business Code | | | | |
| | 2a | | | 0 | | | |
| | b | | | 0 | | | |
| | c | | | 0 | | | |
| | d | | | 0 | | | |
| | e | | | 0 | | | |
| | f All other program service revenue | | | 0 | | | |
| | g **Total.** Add lines 2a–2f | | ▶ | 0 | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) | | ▶ | 114,984 | | | 114,984 |
| | 4 Income from investment of tax-exempt bond proceeds | | ▶ | 0 | | | |
| | 5 Royalties | | ▶ | 0 | | | |
| | | (i) Real | (ii) Personal | | | | |
| | 6a Gross rents | | | | | | |
| | b Less rental expenses | | | | | | |
| | c Rental income or (loss) | 0 | 0 | | | | |
| | d Net rental income or (loss) | | ▶ | 0 | | | |
| | 7a Gross amount from sales of assets other than inventory | (i) Securities 787,547 | (ii) Other 0 | | | | |
| | b Less cost or other basis and sales expenses | 769,893 | 0 | | | | |
| | c Gain or (loss) | 17,654 | 0 | | | | |
| | d Net gain or (loss) | | ▶ | 17,654 | | | 17,654 |
| | 8a Gross income from fundraising events (not including $ 0 of contributions reported on line 1c) See Part IV, line 18 | a | 0 | | | | |
| | b Less direct expenses | b | 0 | | | | |
| | c Net income or (loss) from fundraising events . | | ▶ | 0 | | | |
| | 9a Gross income from gaming activities See Part IV, line 19 | a | 0 | | | | |
| | b Less direct expenses | b | 0 | | | | |
| | c Net income or (loss) from gaming activities | | ▶ | 0 | | | |
| | 10a Gross sales of inventory, less returns and allowances | a | 0 | | | | |
| | b Less cost of goods sold | b | 0 | | | | |
| | c Net income or (loss) from sales of inventory | | ▶ | 0 | | | |
| | Miscellaneous Revenue | | Business Code | | | | |
| | 11a | | | 0 | | | |
| | b | | | 0 | | | |
| | c | | | 0 | | | |
| | d All other revenue | | | 0 | | | |
| | e **Total.** Add lines 11a–11d | | ▶ | 0 | | | |
| | 12 **Total revenue.** See instructions | | ▶ | 132,638 | 0 | 0 | 132,638 |

## Part IX Statement of Functional Expenses

*Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)*

Check if Schedule O contains a response to any question in this Part IX ☐

| ***Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.*** | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21 | 203,276 | 203,276 | | |
| 2 Grants and other assistance to individuals in the United States See Part IV, line 22 | 0 | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16 | 0 | | | |
| 4 Benefits paid to or for members | 0 | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | | | | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | 0 | | | |
| 7 Other salaries and wages | 0 | | | |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 0 | | | |
| 9 Other employee benefits | 0 | | | |
| 10 Payroll taxes | 0 | | | |
| 11 Fees for services (non-employees) | | | | |
| a Management | 0 | | | |
| b Legal | 0 | | | |
| c Accounting | 0 | | | |
| d Lobbying | 0 | | | |
| e Professional fundraising services See Part IV, line 17 | 0 | | | |
| f Investment management fees | 0 | | | |
| g Other | 0 | | | |
| 12 Advertising and promotion | 0 | | | |
| 13 Office expenses | 0 | | | |
| 14 Information technology | 0 | | | |
| 15 Royalties | 0 | | | |
| 16 Occupancy | 0 | | | |
| 17 Travel | 0 | | | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | 0 | | | |
| 19 Conferences, conventions, and meetings | 0 | | | |
| 20 Interest | 0 | | | |
| 21 Payments to affiliates | 0 | | | |
| 22 Depreciation, depletion, and amortization | 0 | 0 | 0 | 0 |
| 23 Insurance | 0 | | | |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a TRUSTEE FEES | 32,563 | 8,141 | 24,422 | |
| b FOREIGN TAXES PAID | 1,629 | | 1,629 | |
| c PY EXCISE TAX DUE | 3,200 | | | |
| d INVESTMENT FEES | 86 | | 86 | |
| e All other expenses | 0 | | | |
| 25 **Total functional expenses.** Add lines 1 through 24e | 240,754 | 211,417 | 26,137 | 0 |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

## Part X Balance Sheet

| | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | | 1 | 0 |
| | 2 | Savings and temporary cash investments | 178,041 | 2 | 399,048 |
| | 3 | Pledges and grants receivable, net | 0 | 3 | 0 |
| | 4 | Accounts receivable, net | 0 | 4 | 0 |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) | | 6 | |
| | 7 | Notes and loans receivable, net | 0 | 7 | 0 |
| | 8 | Inventories for sale or use | | 8 | 0 |
| | 9 | Prepaid expenses and deferred charges | | 9 | 0 |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a: 0 | | | |
| | b | Less accumulated depreciation — 10b: 0 | 0 | 10c | 0 |
| | 11 | Investments—publicly traded securities | 4,753,104 | 11 | 4,426,654 |
| | 12 | Investments—other securities See Part IV, line 11 | 0 | 12 | 0 |
| | 13 | Investments—program-related See Part IV, line 11 | 0 | 13 | 0 |
| | 14 | Intangible assets | 0 | 14 | 0 |
| | 15 | Other assets See Part IV, line 11 | 0 | 15 | 0 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | 4,931,145 | 16 | 4,825,702 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 17 | 0 |
| | 18 | Grants payable | | 18 | |
| | 19 | Deferred revenue | | 19 | 0 |
| | 20 | Tax-exempt bond liabilities | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | 21 | |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | 0 | 23 | 0 |
| | 24 | Unsecured notes and loans payable to unrelated third parties | 0 | 24 | 0 |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | 0 | 25 | 0 |
| | 26 | **Total liabilities.** Add lines 17 through 25 | 0 | 26 | 0 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☐ and complete lines 27 through 29, and lines 33 and 34.** | | | |
| | 27 | Unrestricted net assets | | 27 | |
| | 28 | Temporarily restricted net assets | | 28 | |
| | 29 | Permanently restricted net assets | | 29 | |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☒ and complete lines 30 through 34.** | | | |
| | 30 | Capital stock or trust principal, or current funds | 4,931,145 | 30 | 4,825,702 |
| | 31 | Paid-in or capital surplus, or land, building, or equipment fund | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | 32 | |
| | 33 | Total net assets or fund balances | 4,931,145 | 33 | 4,825,702 |
| | 34 | Total liabilities and net assets/fund balances | 4,931,145 | 34 | 4,825,702 |

**Part XI** **Reconciliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI [X]

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 132,638 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 240,754 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | -108,116 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 4,931,145 |
| 5 | Other changes in net assets or fund balances (explain in Schedule O) | 5 | 2,673 |
| 6 | Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) | 6 | 4,825,702 |

**Part XII** **Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII [ ]

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 [X] Cash [ ] Accrual [ ] Other ________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | X |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | | X |
| c | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both [ ] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | X |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | | |

**SCHEDULE A**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

**2011**

**Open to Public Inspection**

Name of the organization: CU DIABETES

Employer identification number: 74-6349039

**Part I** **Reason for Public Charity Status** (All organizations must complete this part ) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I  b ☐ Type II  c ☐ Type III–Functionally integrated  d ☒ Type III–Other

e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☒

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | 11g(i) | | X |
| (ii) A family member of a person described in (i) above? | 11g(ii) | | X |
| (iii) A 35% controlled entity of a person described in (i) or (ii) above? | 11g(iii) | | X |

h Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1–9 above or IRC section (see instructions)) | (iv) Is the organization in col (i) listed in your governing document? Yes | (iv) No | (v) Did you notify the organization in col (i) of your support? Yes | (v) No | (vi) Is the organization in col (i) organized in the U S ? Yes | (vi) No | (vii) Amount of support |
|---|---|---|---|---|---|---|---|---|---|
| (A) UNIVERSITY OF COL( | 84-6000555 | 2 | X | | X | | X | | 203,276 |
| (B) | | | | | | | | | 0 |
| (C) | | | | | | | | | 0 |
| (D) | | | | | | | | | 0 |
| (E) | | | | | | | | | 0 |
| Total 1 | | | | | | | | | 203,276 |

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III If the organization fails to qualify under the tests listed below, please complete Part III )

**Section A. Public Support**

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | 0 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0 |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | 0 |
| 4 **Total.** Add lines 1 through 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 0 |

**Section B. Total Support**

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | 0 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | 0 |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | 0 |
| 11 **Total support.** Add lines 7 through 10 | | | | | | 0 |

12 Gross receipts from related activities, etc (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f)) | 14 | 0 00%

15 Public support percentage from 2010 Schedule A, Part II, line 14 | 15 | 0 00%

16a **33 1/3% support test—2011.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support test—2010.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2010.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II If the organization fails to qualify under the tests listed below, please complete Part II )

**Section A. Public Support**

| | Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|---|
| 1 | Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | 0 |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | 0 |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | 0 |
| 4 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | 0 |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | 0 |
| 6 | **Total.** Add lines 1 through 5 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7a | Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | 0 |
| b | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | 0 |
| c | Add lines 7a and 7b | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 | **Public support** (Subtract line 7c from line 6 ) | | | | | | 0 |

**Section B. Total Support**

| | Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|---|
| 9 | Amounts from line 6 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10a | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | 0 |
| b | Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | 0 |
| c | Add lines 10a and 10b | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | 0 |
| 12 | Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | 0 |
| 13 | **Total support.** (Add lines 9, 10c, 11, and 12 ) | 0 | 0 | 0 | 0 | 0 | 0 |

14 **First five years.** *If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and* **stop here** ▶ ☐

**Section C. Computation of Public Support Percentage**

| | | | |
|---|---|---|---|
| 15 | Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f)) | 15 | 0 00% |
| 16 | Public support percentage from 2010 Schedule A, Part III, line 15 | 16 | 0 00% |

**Section D. Computation of Investment Income Percentage**

| | | | |
|---|---|---|---|
| 17 | Investment income percentage for **2011** (line 10c, column (f) divided by line 13, column (f)) | 17 | 0 00% |
| 18 | Investment income percentage from **2010** Schedule A, Part III, line 17 | 18 | 0 00% |

19a **33 1/3% support tests—2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12 Also complete this part for any additional information (See instructions)

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**

OMB No 1545-0047
**2011**
**Open to Public Inspection**

Name of the organization: CU DIABETES
Employer identification number: 74-6349039

**Part I General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

**Part II Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000 Check this box if no one recipient received more than $5,000 Part II can be duplicated if additional space is needed ▶ [ ]

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| (1) UNIVERSITY OF COLORADO 1800 GRANT ST STE 600 DENVE | 84-6000555 | 501(C)(3) | 203,276 | 0 | | | GENERAL SUPPORT |
| (2) | | | 0 | 0 | | | |
| (3) | | | 0 | 0 | | | |
| (4) | | | 0 | 0 | | | |
| (5) | | | 0 | 0 | | | |
| (6) | | | 0 | 0 | | | |
| (7) | | | 0 | 0 | | | |
| (8) | | | 0 | 0 | | | |
| (9) | | | 0 | 0 | | | |
| (10) | | | 0 | 0 | | | |
| (11) | | | 0 | 0 | | | |
| (12) | | | 0 | 0 | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 1

3 Enter total number of other organizations listed in the line 1 table ▶ 0

CU DIABETES 74-6349039



**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV appraisal other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|
| 1 | 0 | 0 | 0 | | |
| 2 | 0 | 0 | 0 | | |
| 3 | 0 | 0 | 0 | | |
| 4 | 0 | 0 | 0 | | |
| 5 | 0 | 0 | 0 | | |
| 6 | 0 | 0 | 0 | | |
| 7 | 0 | 0 | 0 | | |

**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information

Part I Line 2 ORGANIZATION MAKES CONTRIBUTIONS TO PRESELECTED ORGANIZATIONS

**SCHEDULE J (Form 990)**

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

OMB No 1545-0047

**2011**

**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| CU DIABETES | 74-6349039 |

## Part I Questions Regarding Compensation

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items<br>☐ First-class or charter travel ☐ Housing allowance or residence for personal use<br>☐ Travel for companions ☐ Payments for business use of personal residence<br>☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees<br>☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef) | | | | |
| b | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | | 1b | | |
| 2 | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | | 2 | | |
| 3 | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director Explain in Part III<br>☐ Compensation committee ☐ Written employment contract<br>☐ Independent compensation consultant ☐ Compensation survey or study<br>☐ Form 990 of other organizations ☐ Approval by the board or compensation committee | | | | |
| 4 | During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization | | | | |
| a | Receive a severance payment or change-of-control payment? | | 4a | | X |
| b | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | | 4b | | X |
| c | Participate in, or receive payment from, an equity-based compensation arrangement?<br>If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III | | 4c | | X |
| | **Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.** | | | | |
| 5 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | | |
| a | The organization? | | 5a | | X |
| b | Any related organization?<br>If "Yes" to line 5a or 5b, describe in Part III | | 5b | | X |
| 6 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | | |
| a | The organization? | | 6a | | X |
| b | Any related organization?<br>If "Yes" to line 6a or 6b, describe in Part III | | 6b | | X |
| 7 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | | 7 | | X |
| 8 | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III | | 8 | | X |
| 9 | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)? | | 9 | | |

CU DIABETES 74-6349039



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)–(D) | (F) Compensation reported as deferred in prior Form 990 |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| 1 LILLY MARKS | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 456,790 | 0 | 117,604 | 0 | 0 | 574,394 | 0 |
| 2 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 7 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 8 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 10 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 11 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 12 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 13 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 16 | (i) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

CU DIABETES | 74-6349039



**Part III Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information

Part II Line 1 LILLY MARKS IS AN EMPLOYEE OF THE UNIVERSITY OF COLORADO AND HER COMPENSATION IS THE RESULT OF SAID EMPLOYMENT

LILLY MARKS WAS NAMED CO-TRUSTEE TO BE A UNIVERSITY REPRESENTATIVE IN ACCORDANCE WITH THE TRUST AGREEMENT SHE DOES NOT RECEIVE

ANY COMPENSATION FROM THE ORGANIZATION FILING THIS RETURN

**SCHEDULE O**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047
**2011**
**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| CU DIABETES | 74-6349039 |

Form 990 Part VI Section A Line 7a THE CHANCELLOR OF THE UNIVERSITY OF COLORADO APPOINTS A TRUSTEE

Form 990 Part VI Section B Line 11b THE TRUSTEES RECEIVE AND REVIEW THE FORM 990

Form 990 Part VI Section B Line 12c ORGANIZATION COMPLIES WITH THE SAME POLICY AND ENFORCEMENT AS ITS TRUSTEE, WELL FARGO BANK, N A

Form 990 Part VI Section C Line 19 NO DOCUMENTS MADE AVAILABLE TO THE PUBLIC

Form 990 Part XI Line 5 NET ADJUSTMENT INCLUDES PRIOR YEAR RETURN OF CAPITAL, FEDERAL EXCISE TAX REFUND, AND COST BASIS ADJUSTMENTS

| Name of the organization | Employer identification number |
|---|---|
| CU DIABETES | 74-6349039 |

**CU DIABETES**
**74-6349039**

| | | | FMV | COST |
|---|---|---|---|---|
| Savings & Temp Inv | 1115970902 | WF ADV CASH INVT MM FD-SVC #250 | 399,048 | 399,048 |
| Invest - Corp Stock | 1115970902 | ROYAL CARRIBEAN CRUISE | 22,443 | 18,972 |
| Invest - Corp Stock | 1115970902 | AFLAC INC | 14,863 | 22,255 |
| Invest - Corp Stock | 1115970902 | ADOBE SYS INC | 10,401 | 7,248 |
| Invest - Corp Stock | 1115970902 | ALLSTATE CORP | 17,832 | 30,155 |
| Invest - Corp Stock | 1115970902 | AMAZON COM INC COM | 34,785 | 11,091 |
| Invest - Corp Stock | 1115970902 | ANADARKO PETROLEUM CORP | 38,801 | 27,532 |
| Invest - Corp Stock | 1115970902 | APACHE CORP | 16,310 | 14,108 |
| Invest - Corp Stock | 1115970902 | APPLE INC | 64,238 | 9,457 |
| Invest - Corp Stock | 1115970902 | AUTODESK INC | 16,929 | 7,779 |
| Invest - Corp Stock | 1115970902 | B B & T CORP COM | 43,895 | 43,131 |
| Invest - Corp Stock | 1115970902 | BOEING CO | 25,344 | 23,806 |
| Invest - Corp Stock | 1115970902 | BRISTOL MYERS SQUIBB CO | 33,704 | 30,660 |
| Invest - Corp Stock | 1115970902 | CATERPILLAR INC | 41,108 | 27,008 |
| Invest - Corp Stock | 1115970902 | CERNER CORP COM | 34,066 | 22,907 |
| Invest - Corp Stock | 1115970902 | CISCO SYSTEMS INC | 24,186 | 31,831 |
| Invest - Corp Stock | 1115970902 | CINTAS CORP | 38,778 | 28,338 |
| Invest - Corp Stock | 1115970902 | DANAHER CORP | 25,483 | 21,639 |
| Invest - Corp Stock | 1115970902 | DEERE & CO | 23,061 | 19,398 |
| Invest - Corp Stock | 1115970902 | WALT DISNEY CO | 24,142 | 19,974 |
| Invest - Corp Stock | 1115970902 | DU PONT E I DE NEMOURS & CO | 36,887 | 34,781 |
| Invest - Corp Stock | 1115970902 | E M C CORP MASS | 36,673 | 35,848 |
| Invest - Other | 1115970902 | EQUITY RESIDENTIAL PPTYS TR SH BEN | 47,309 | 30,328 |
| Invest - Corp Stock | 1115970902 | FREEPORT-MCMORAN COPPER & GOLD INC | 22,214 | 22,838 |
| Invest - Corp Stock | 1115970902 | GENERAL ELECTRIC CO | 44,545 | 13,822 |
| Invest - Corp Stock | 1115970902 | HALLIBURTON CO | 14,372 | 21,560 |
| Invest - Corp Stock | 1115970902 | HOME DEPOT INC | 34,699 | 21,849 |
| Invest - Corp Stock | 1115970902 | INTEL CORP | 40,889 | 34,221 |
| Invest - Corp Stock | 1115970902 | INTERNATIONAL BUSINESS MACHS CORP | 55,912 | 32,288 |
| Invest - Corp Stock | 1115970902 | JOHNSON & JOHNSON | 36,131 | 32,867 |
| Invest - Corp Stock | 1115970902 | LENNAR CORPORATION CLASS A COMMON | 29,404 | 21,972 |
| Invest - Corp Stock | 1115970902 | MCDONALDS CORP | 36,057 | 19,208 |
| Invest - Corp Stock | 1115970902 | MICROSOFT CORP | 43,540 | 38,289 |
| Invest - Corp Stock | 1115970902 | MORGAN STANLEY | 15,206 | 22,611 |
| Invest - Corp Stock | 1115970902 | OCCIDENTAL PETE CORP | 26,454 | 16,481 |
| Invest - Corp Stock | 1115970902 | ORACLE CORPORATION | 27,048 | 18,639 |
| Invest - Corp Stock | 1115970902 | PNC FINANCIAL SERVICES GROUP | 51,730 | 45,657 |
| Invest - Corp Stock | 1115970902 | PFIZER INC | 47,289 | 50,964 |
| Invest - Corp Stock | 1115970902 | POTASH CORP SASK | 25,488 | 26,250 |
| Invest - Corp Stock | 1115970902 | PROCTER & GAMBLE CO | 34,366 | 36,052 |
| Invest - Corp Stock | 1115970902 | QUALCOMM INC | 42,766 | 34,024 |
| Invest - Corp Stock | 1115970902 | SCHLUMBERGER LTD | 29,656 | 37,328 |
| Invest - Corp Stock | 1115970902 | UNION PACIFIC CORP | 37,105 | 16,539 |
| Invest - Corp Stock | 1115970902 | UNITED TECHNOLOGIES CORP | 33,472 | 28,331 |

| | | | | |
|---|---|---|---|---|
| Invest - Corp Stock | 1115970902 | WAL MART STORES INC | 34,168 | 25,548 |
| Invest - Corp Stock | 1115970902 | WALGREEN CO | 21,036 | 21,823 |
| Invest - Corp Stock | 1115970902 | WATSON PHARMACEUTICALS INC COM | 25,622 | 22,315 |
| Invest - Corp Stock | 1115970902 | COCA COLA CO | 29,002 | 22,868 |
| Invest - Corp Stock | 1115970902 | EBAY INC | 22,971 | 17,759 |
| Invest - Corp Stock | 1115970902 | BANK OF AMERICA CORP | 14,517 | 59,664 |
| Invest - Corp Stock | 1115970902 | GOLDMAN SACHS GROUP INC | 23,030 | 36,434 |
| Invest - Corp Stock | 1115970902 | COSTCO WHOLESALE CORP | 25,572 | 22,103 |
| Invest - Other | 1115970902 | WF ADV SMALL CAP OPPOR FD-ADMIN #84 | 666,552 | 564,676 |
| Invest - Corp Stock | 1115970902 | EXXON MOBIL CORPORATION | 66,914 | 45,110 |
| Invest - Corp Stock | 1115970902 | FEDEX CORPORATION | 22,942 | 19,311 |
| Invest - Corp Stock | 1115970902 | UNITEDHEALTH GROUP INC | 33,129 | 21,595 |
| Invest - Corp Stock | 1115970902 | VERIZON COMMUNICATIONS | 26,651 | 22,151 |
| Invest - Corp Stock | 1115970902 | MONSANTO CO NEW | 23,616 | 21,770 |
| Invest - Corp Stock | 1115970902 | BHP BILLITON LIMITED - ADR | 17,832 | 9,020 |
| Invest - Corp Stock | 1115970902 | FLUOR CORP NEW | 17,903 | 22,964 |
| Invest - Corp Stock | 1115970902 | JPMORGAN CHASE & CO | 59,742 | 57,008 |
| Invest - Corp Stock | 1115970902 | T ROWE PRICE GROUP INC | 56,172 | 51,821 |
| Invest - Corp Stock | 1115970902 | US BANCORP DEL NEW | 49,542 | 33,267 |
| Invest - Corp Stock | 1115970902 | ACCENTURE PLC | 30,527 | 19,917 |
| Invest - Corp Stock | 1115970902 | KRAFT FOODS INC | 28,308 | 22,031 |
| Invest - Other | 1115970902 | AMERICAN EUROPAC GROWTH-F #416 | 416,292 | 470,589 |
| Invest - Corp Stock | 1115970902 | CHEVRON CORP | 34,099 | 26,701 |
| Invest - Corp Stock | 1115970902 | MERCK & CO INC NEW | 22,759 | 29,282 |
| Invest - Corp Bonds | 1115970902 | U S TREASURY NOTES 4 375% 8/15/12 | 202,460 | 204,938 |
| Invest - Corp Stock | 1115970902 | CONOCOPHILLIPS | 35,815 | 35,714 |
| Invest - Other | 1115970902 | ISHARES IBOXX $ INV GR CORP BD FD | 425,152 | 396,038 |
| Invest - Other | 1115970902 | WELLS FARGO ADV TOT RT BD FD-IN #944 | 273,901 | 262,066 |
| Invest - Other | 1115970902 | WELLS FARGO ADV EMG MK E-INS #4146 | 201,462 | 203,385 |
| Invest - Other | 1115970902 | ISHARES BARCLAYS TIPS BOND FUND | 234,660 | 198,787 |
| Invest - Corp Stock | 1115970902 | UNITED CONTINENTAL HOLDINGS, INC | 63,415 | 57,227 |
| Invest - Corp Stock | 1115970902 | GENERAL MOTORS CO | 25,300 | 36,300 |
| Invest - Corp Stock | 1115970902 | GOOGLE INC | 39,315 | 28,857 |
| Invest - Corp Stock | 1115970902 | CITIGROUP, INC | 27,394 | 26,114 |
| Invest - Corp Stock | 1115970902 | AT & T INC | 38,505 | 39,654 |
| Invest - Corp Stock | 1115970902 | VIACOM INC NEW | 31,777 | 27,965 |
| Invest - Other | 1115970902 | MARKET VECTORS SEMICONDUCTOR | 49,810 | 34,214 |
| Invest - Other | 1115970902 | ISHARES BARCLAYS MBS BOND FUND | 89,339 | 83,300 |
| Invest - Other | 1115970902 | SPDR SERIES TRUST | 25,818 | 16,338 |
| Cash | 1115970902 | | - | - |
| | | **Total Cash and Equivalents** | **399,048** | **399,048** |
| | | **Total Other Investments** | **4,932,599** | **4,426,654** |
| | | **Total** | **5,331,647** | **4,825,702** |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

Account Id: 1115970902

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 001055102 | AFLAC INC | | | | | | | | |
| Sold | | 03/28/12 | 20 | 924 37 | | | | | |
| Acq | | 04/17/08 | 20 | 924 37 | 1,348 80 | 1,348 80 | -424 43 | -424 43 | L |
| | | | Total for 3/28/2012 | | 1,348 80 | 1,348 80 | -424 43 | -424 43 | |
| 00206R102 | AT & T INC | | | | | | | | |
| Sold | | 03/28/12 | 50 | 1,562 46 | | | | | |
| Acq | | 10/31/06 | 50 | 1,562 46 | 1,702 00 | 1,702 00 | -139 54 | -139 54 | L |
| | | | Total for 3/28/2012 | | 1,702 00 | 1,702 00 | -139 54 | -139 54 | |
| 00724F101 | ADOBE SYS INC | | | | | | | | |
| Sold | | 03/28/12 | 10 | 343 49 | | | | | |
| Acq | | 12/10/08 | 10 | 343 49 | 233 80 | 233 80 | 109 69 | 109 69 | L |
| | | | Total for 3/28/2012 | | 233 80 | 233 80 | 109 69 | 109 69 | |
| 020002101 | ALLSTATE CORP COM | | | | | | | | |
| Sold | | 03/28/12 | 20 | 659 58 | | | | | |
| Acq | | 11/11/05 | 20 | 659 58 | 1,132 60 | 1,132 60 | -473 02 | -473 02 | L |
| | | | Total for 3/28/2012 | | 1,132 60 | 1,132 60 | -473 02 | -473 02 | |
| 023135106 | AMAZON COM INC | | | | | | | | |
| Sold | | 05/16/11 | 10 | 1,972 08 | | | | | |
| Acq | | 07/17/07 | 10 | 1,972 08 | 739 40 | 739 40 | 1,232 68 | 1,232 68 | L |
| | | | Total for 5/16/2011 | | 739 40 | 739 40 | 1,232 68 | 1,232 68 | |
| 023135106 | AMAZON COM INC | | | | | | | | |
| Sold | | 03/28/12 | 10 | 2,012 75 | | | | | |
| Acq | | 07/17/07 | 10 | 2,012 75 | 739 40 | 739 40 | 1,273 35 | 1,273 35 | L |
| | | | Total for 3/28/2012 | | 739 40 | 739 40 | 1,273 35 | 1,273 35 | |
| 032511107 | ANADARKO PETE CORP | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,532 76 | | | | | |
| Acq | | 06/04/07 | 20 | 1,532 76 | 1,038 92 | 1,038 92 | 493 84 | 493 84 | L |
| | | | Total for 3/28/2012 | | 1,038 92 | 1,038 92 | 493 84 | 493 84 | |
| 037411105 | APACHE CORPORATION COM | | | | | | | | |
| Sold | | 03/28/12 | 10 | 986 67 | | | | | |
| Acq | | 06/04/07 | 10 | 986 67 | 829 90 | 829 90 | 156 77 | 156 77 | L |
| | | | Total for 3/28/2012 | | 829 90 | 829 90 | 156 77 | 156 77 | |
| 037833100 | APPLE COMPUTER INC COM | | | | | | | | |
| Sold | | 06/08/11 | 90 | 29,908 47 | | | | | |
| Acq | | 02/08/07 | 80 | 26,585 31 | 6,877 50 | 6,877 50 | 19,707 81 | 19,707 81 | L |
| Acq | | 12/10/08 | 10 | 3,323 16 | 975 85 | 975 85 | 2,347 31 | 2,347 31 | L |
| | | | Total for 6/8/2011 | | 7,853 35 | 7,853 35 | 22,055 12 | 22,055 12 | |
| 052769106 | AUTODESK INC COM | | | | | | | | |
| Sold | | 05/16/11 | 10 | 450 91 | | | | | |
| Acq | | 01/07/09 | 10 | 450 91 | 202 47 | 202 47 | 248 44 | 248 44 | L |
| | | | Total for 5/16/2011 | | 202 47 | 202 47 | 248 44 | 248 44 | |

Account Id: 1115970902

**Wells Fargo**
**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**UNIV OF COL CHILD DIAB RESEARCH CHAR**
**Trust Year 5/1/2011 - 4/30/2012**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 052769106 | AUTODESK INC COM | | | | | | | | |
| Sold | | 08/03/11 | 340 | 11,399 37 | | | | | |
| Acq | | 01/07/09 | 320 | 10,728 82 | 6,479 14 | 6,479 14 | 4,249 68 | 4,249 68 | L |
| Acq | | 12/10/08 | 20 | 670 55 | 362 80 | 362 80 | 307 75 | 307 75 | L |
| | | | Total for 8/3/2011 | | 6,841 94 | 6,841 94 | 4,557 43 | 4,557 43 | |
| 052769106 | AUTODESK INC COM | | | | | | | | |
| Sold | | 03/28/12 | 20 | 832 78 | | | | | |
| Acq | | 12/10/08 | 20 | 832 78 | 362 80 | 362 80 | 469 98 | 469 98 | L |
| | | | Total for 3/28/2012 | | 362 80 | 362 80 | 469 98 | 469 98 | |
| 054937107 | BB&T CORP COM | | | | | | | | |
| Sold | | 03/28/12 | 60 | 1,889 95 | | | | | |
| Acq | | 05/17/07 | 60 | 1,889 95 | 2,554 49 | 2,554 49 | -664 54 | -664 54 | L |
| | | | Total for 3/28/2012 | | 2,554 49 | 2,554 49 | -664 54 | -664 54 | |
| 060505104 | BANK AMER CORP | | | | | | | | |
| Sold | | 03/28/12 | 80 | 766 38 | | | | | |
| Acq | | 08/22/07 | 80 | 766 38 | 4,122 99 | 4,122 99 | -3,356 61 | -3,356 61 | L |
| | | | Total for 3/28/2012 | | 4,122 99 | 4,122 99 | -3,356 61 | -3,356 61 | |
| 088606108 | BHP BILLITON LIMITED | | | | | | | | |
| Sold | | 03/28/12 | 10 | 701 18 | | | | | |
| Acq | | 10/30/08 | 10 | 701 18 | 375 83 | 375 83 | 325 35 | 325 35 | L |
| | | | Total for 3/28/2012 | | 375 83 | 375 83 | 325 35 | 325 35 | |
| 097023105 | BOEING COMPANY | | | | | | | | |
| Sold | | 03/26/12 | 210 | 15,709 89 | | | | | |
| Acq | | 06/04/07 | 210 | 15,709 89 | 20,979 53 | 20,979 53 | -5,269 64 | -5,269 64 | L |
| | | | Total for 3/26/2012 | | 20,979 53 | 20,979 53 | -5,269 64 | -5,269 64 | |
| 097023105 | BOEING COMPANY | | | | | | | | |
| Sold | | 03/28/12 | 10 | 743 68 | | | | | |
| Acq | | 06/04/07 | 10 | 743 68 | 999 02 | 999 02 | -255 34 | -255 34 | L |
| | | | Total for 3/28/2012 | | 999 02 | 999 02 | -255 34 | -255 34 | |
| 110122108 | BRISTOL MYERS SQUIBB CO | | | | | | | | |
| Sold | | 05/16/11 | 10 | 288 81 | | | | | |
| Acq | | 06/04/07 | 10 | 288 81 | 303 57 | 303 57 | -14 76 | -14 76 | L |
| | | | Total for 5/16/2011 | | 303 57 | 303 57 | -14 76 | -14 76 | |
| 110122108 | BRISTOL MYERS SQUIBB CO | | | | | | | | |
| Sold | | 03/28/12 | 50 | 1,675 46 | | | | | |
| Acq | | 06/04/07 | 50 | 1,675 46 | 1,517 84 | 1,517 84 | 157 62 | 157 62 | L |
| | | | Total for 3/28/2012 | | 1,517 84 | 1,517 84 | 157 62 | 157 62 | |
| 149123101 | CATERPILLAR INC | | | | | | | | |
| Sold | | 03/26/12 | 120 | 13,130 88 | | | | | |
| Acq | | 06/12/07 | 120 | 13,130 88 | 9,418 98 | 9,418 98 | 3,711 90 | 3,711 90 | L |
| | | | Total for 3/26/2012 | | 9,418 98 | 9,418 98 | 3,711 90 | 3,711 90 | |

Account Id: 1115970902

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 149123101 | CATERPILLAR INC | | | | | | | | |
| Sold | | 03/28/12 | 20 | 2,081 55 | | | | | |
| Acq | | 06/12/07 | 20 | 2,081 55 | 1,569 83 | 1,569 83 | 511 72 | 511 72 | L |
| | | | Total for 3/28/2012 | | 1,569 83 | 1,569 83 | 511 72 | 511 72 | |
| 156782104 | CERNER CORP COM | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,507 96 | | | | | |
| Acq | | 03/25/11 | 20 | 1,507 96 | 1,090 79 | 1,090 79 | 417 17 | 417 17 | L |
| | | | Total for 3/28/2012 | | 1,090 79 | 1,090 79 | 417 17 | 417 17 | |
| 165167107 | CHESAPEAKE ENERGY CORP COM | | | | | | | | |
| Sold | | 03/28/12 | 20 | 465 58 | | | | | |
| Acq | | 08/03/11 | 20 | 465 58 | 676 06 | 676 06 | -210 48 | -210 48 | S |
| | | | Total for 3/28/2012 | | 676 06 | 676 06 | -210 48 | -210 48 | |
| 165167107 | CHESAPEAKE ENERGY CORP COM | | | | | | | | |
| Sold | | 04/18/12 | 650 | 11,738 73 | | | | | |
| Acq | | 08/03/11 | 650 | 11,738 73 | 21,971 95 | 21,971 95 | -10,233 22 | -10,233 22 | S |
| | | | Total for 4/18/2012 | | 21,971 95 | 21,971 95 | -10,233 22 | -10,233 22 | |
| 166764100 | CHEVRON CORP | | | | | | | | |
| Sold | | 03/28/12 | 10 | 1,054 77 | | | | | |
| Acq | | 11/13/07 | 10 | 1,054 77 | 839 21 | 839 21 | 215 56 | 215 56 | L |
| | | | Total for 3/28/2012 | | 839 21 | 839 21 | 215 56 | 215 56 | |
| 17275R102 | CISCO SYSTEMS INC | | | | | | | | |
| Sold | | 03/28/12 | 50 | 1,039 97 | | | | | |
| Acq | | 11/21/06 | 50 | 1,039 97 | 1,349 19 | 1,349 19 | -309 22 | -309 22 | L |
| | | | Total for 3/28/2012 | | 1,349 19 | 1,349 19 | -309 22 | -309 22 | |
| 172908105 | CINTAS CORP | | | | | | | | |
| Sold | | 03/28/12 | 40 | 1,563 96 | | | | | |
| Acq | | 02/11/11 | 40 | 1,563 96 | 1,168 16 | 1,168 16 | 395 80 | 395 80 | L |
| | | | Total for 3/28/2012 | | 1,168 16 | 1,168 16 | 395 80 | 395 80 | |
| 172967424 | CITIGROUP INC | | | | | | | | |
| Sold | | 03/28/12 | 40 | 1,462 76 | | | | | |
| Acq | | 12/16/09 | 40 | 1,462 76 | 1,260 00 | 1,260 00 | 202 76 | 202 76 | L |
| | | | Total for 3/28/2012 | | 1,260 00 | 1,260 00 | 202 76 | 202 76 | |
| 191216100 | COCA COLA CO | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,447 16 | | | | | |
| Acq | | 11/13/07 | 20 | 1,447 16 | 1,207 82 | 1,207 82 | 239 34 | 239 34 | L |
| | | | Total for 3/28/2012 | | 1,207 82 | 1,207 82 | 239 34 | 239 34 | |
| 20825C104 | CONOCOPHILLIPS | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,511 96 | | | | | |
| Acq | | 05/27/08 | 20 | 1,511 96 | 1,812 20 | 1,812 20 | -300 24 | -300 24 | L |
| | | | Total for 3/28/2012 | | 1,812 20 | 1,812 20 | -300 24 | -300 24 | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

Account Id: 1115970902

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 22160K105 | COSTCO WHOLESALE CORP | | | | | | | | |
| Sold | | 03/28/12 | 10 | 906 77 | | | | | |
| Acq | | 08/03/11 | 10 | 906 77 | 762 18 | 762 18 | 144 59 | 144 59 | S |
| | | Total for 3/28/2012 | | | 762 18 | 762 18 | 144 59 | 144 59 | |
| 235851102 | DANAHER CORP | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,090 57 | | | | | |
| Acq | | 08/03/11 | 20 | 1,090 57 | 920 79 | 920 79 | 169 78 | 169 78 | S |
| | | Total for 3/28/2012 | | | 920 79 | 920 79 | 169 78 | 169 78 | |
| 244199105 | DEERE & CO | | | | | | | | |
| Sold | | 03/28/12 | 10 | 801 28 | | | | | |
| Acq | | 08/18/11 | 10 | 801 28 | 692 80 | 692 80 | 108 48 | 108 48 | S |
| | | Total for 3/28/2012 | | | 692 80 | 692 80 | 108 48 | 108 48 | |
| 254687106 | WALT DISNEY CO | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,313 07 | | | | | |
| Acq | | 05/09/07 | 30 | 1,313 07 | 1,070 05 | 1,070 05 | 243 02 | 243 02 | L |
| | | Total for 3/28/2012 | | | 1,070 05 | 1,070 05 | 243 02 | 243 02 | |
| 263534109 | DU PONT E I DE NEMOURS & CO | | | | | | | | |
| Sold | | 05/16/11 | 10 | 532 20 | | | | | |
| Acq | | 06/12/07 | 10 | 532 20 | 504 07 | 504 07 | 28 13 | 28 13 | L |
| | | Total for 5/16/2011 | | | 504 07 | 504 07 | 28 13 | 28 13 | |
| 263534109 | DU PONT E I DE NEMOURS & CO | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,580 66 | | | | | |
| Acq | | 06/12/07 | 30 | 1,580 66 | 1,512 20 | 1,512 20 | 68 46 | 68 46 | L |
| | | Total for 3/28/2012 | | | 1,512 20 | 1,512 20 | 68 46 | 68 46 | |
| 268648102 | E M C CORP MASS | | | | | | | | |
| Sold | | 03/28/12 | 60 | 1,779 56 | | | | | |
| Acq | | 04/20/11 | 60 | 1,779 56 | 1,688 77 | 1,688 77 | 90 79 | 90 79 | S |
| | | Total for 3/28/2012 | | | 1,688 77 | 1,688 77 | 90 79 | 90 79 | |
| 278642103 | EBAY INC | | | | | | | | |
| Sold | | 03/28/12 | 20 | 743 58 | | | | | |
| Acq | | 04/20/11 | 20 | 743 58 | 634 25 | 634 25 | 109 33 | 109 33 | S |
| | | Total for 3/28/2012 | | | 634 25 | 634 25 | 109 33 | 109 33 | |
| 291011104 | EMERSON ELECTRIC CO | | | | | | | | |
| Sold | | 08/03/11 | 630 | 29,995 36 | | | | | |
| Acq | | 08/22/07 | 630 | 29,995 36 | 29,328 52 | 29,328 52 | 666 84 | 666 84 | L |
| | | Total for 8/3/2011 | | | 29,328 52 | 29,328 52 | 666 84 | 666 84 | |
| 29476L107 | EQUITY RESIDENTIAL PPTYS TR SH BEN | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,811 05 | | | | | |
| Acq | | 09/13/07 | 30 | 1,811 05 | 1,206 22 | 1,206 22 | 604 83 | 604 83 | L |
| | | Total for 3/28/2012 | | | 1,206 22 | 1,206 22 | 604 83 | 604 83 | |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 30231G102 | EXXON MOBIL CORPORATION | | | | | | | | |
| Sold | | 03/28/12 | 30 | 2,560 14 | | | | | |
| Acq | | 11/29/05 | 30 | 2,560 14 | 1,760 40 | 1,760 40 | 799 74 | 799 74 | L |
| | | | Total for 3/28/2012 | | 1,760 40 | 1,760 40 | 799 74 | 799 74 | |
| 3133XS4S4 | FED HOME LN BK 3 625% 9/16/11 | | | | | | | | |
| Sold | | 09/16/11 | 50000 | 50,000 00 | | | | | |
| Acq | | 11/05/08 | 50000 | 50,000 00 | 50,852 55 | 50,852 55 | -852 55 | -852 55 | L |
| | | | Total for 9/16/2011 | | 50,852 55 | 50,852 55 | -852 55 | -852 55 | |
| 31428X106 | FEDEX CORPORATION | | | | | | | | |
| Sold | | 03/28/12 | 10 | 908 07 | | | | | |
| Acq | | 08/18/11 | 10 | 908 07 | 742 71 | 742 71 | 165 36 | 165 36 | S |
| | | | Total for 3/28/2012 | | 742 71 | 742 71 | 165 36 | 165 36 | |
| 343412102 | FLUOR CORP NEW | | | | | | | | |
| Sold | | 03/28/12 | 10 | 597 88 | | | | | |
| Acq | | 03/25/11 | 10 | 597 88 | 740 77 | 740 77 | -142 89 | -142 89 | L |
| | | | Total for 3/28/2012 | | 740 77 | 740 77 | -142 89 | -142 89 | |
| 35671D857 | FREEPORT-MCMORAN COPPER & GOLD INC | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,113 57 | | | | | |
| Acq | | 03/08/12 | 30 | 1,113 57 | 1,181 29 | 1,181 29 | -67 72 | -67 72 | S |
| | | | Total for 3/28/2012 | | 1,181 29 | 1,181 29 | -67 72 | -67 72 | |
| 369604103 | GENERAL ELECTRIC CO | | | | | | | | |
| Sold | | 03/28/12 | 100 | 1,986 95 | | | | | |
| Acq | | 10/06/05 | 100 | 1,986 95 | 3,350 00 | 3,350 00 | -1,363 05 | -1,363 05 | L |
| | | | Total for 3/28/2012 | | 3,350 00 | 3,350 00 | -1,363 05 | -1,363 05 | |
| 37045V100 | GENERAL MOTORS CO | | | | | | | | |
| Sold | | 05/16/11 | 140 | 4,387 86 | | | | | |
| Acq | | 11/18/10 | 140 | 4,387 86 | 4,620 00 | 4,620 00 | -232 14 | -232 14 | S |
| | | | Total for 5/16/2011 | | 4,620 00 | 4,620 00 | -232 14 | -232 14 | |
| 37045V100 | GENERAL MOTORS CO | | | | | | | | |
| Sold | | 03/28/12 | 50 | 1,252 97 | | | | | |
| Acq | | 11/18/10 | 50 | 1,252 97 | 1,650 00 | 1,650 00 | -397 03 | -397 03 | L |
| | | | Total for 3/28/2012 | | 1,650 00 | 1,650 00 | -397 03 | -397 03 | |
| 38141G104 | GOLDMAN SACHS GROUP INC | | | | | | | | |
| Sold | | 03/28/12 | 10 | 1,248 47 | | | | | |
| Acq | | 06/26/07 | 10 | 1,248 47 | 2,172 85 | 2,172 85 | -924 38 | -924 38 | L |
| | | | Total for 3/28/2012 | | 2,172 85 | 2,172 85 | -924 38 | -924 38 | |
| 406216101 | HALLIBURTON CO | | | | | | | | |
| Sold | | 03/28/12 | 20 | 644 78 | | | | | |
| Acq | | 08/03/11 | 20 | 644 78 | 1,026 65 | 1,026 65 | -381 87 | -381 87 | S |
| | | | Total for 3/28/2012 | | 1,026 65 | 1,026 65 | -381 87 | -381 87 | |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

Account Id: 1115970902

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 437076102 | HOME DEPOT INC | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,493 66 | | | | | |
| Acq | | 08/03/11 | 30 | 1,493 66 | 978 31 | 978 31 | 515 35 | 515 35 | S |
| | | | Total for 3/28/2012 | | 978 31 | 978 31 | 515 35 | 515 35 | |
| 458140100 | INTEL CORP | | | | | | | | |
| Sold | | 03/28/12 | 60 | 1,675 76 | | | | | |
| Acq | | 07/18/07 | 60 | 1,675 76 | 1,509 20 | 1,509 20 | 166 56 | 166 56 | L |
| | | | Total for 3/28/2012 | | 1,509 20 | 1,509 20 | 166 56 | 166 56 | |
| 459200101 | INTERNATIONAL BUSINESS MACHS CORP | | | | | | | | |
| Sold | | 03/28/12 | 10 | 2,070 65 | | | | | |
| Acq | | 03/24/08 | 10 | 2,070 65 | 1,195 86 | 1,195 86 | 874 79 | 874 79 | L |
| | | | Total for 3/28/2012 | | 1,195 86 | 1,195 86 | 874 79 | 874 79 | |
| 464287465 | ISHARES MSCI EAFE | | | | | | | | |
| Sold | | 03/28/12 | 905 | 49,294 24 | | | | | |
| Acq | | 03/31/04 | 905 | 49,294 24 | 42,779 35 | 42,779 35 | 6,514 89 | 6,514 89 | L |
| | | | Total for 3/28/2012 | | 42,779 35 | 42,779 35 | 6,514 89 | 6,514 89 | |
| 46625H100 | JPMORGAN CHASE & CO | | | | | | | | |
| Sold | | 03/28/12 | 60 | 2,732 93 | | | | | |
| Acq | | 09/26/08 | 10 | 455 49 | 452 90 | 452 90 | 2 59 | 2 59 | L |
| Acq | | 11/13/07 | 50 | 2,277 44 | 2,222 12 | 2,222 12 | 55 32 | 55 32 | L |
| | | | Total for 3/28/2012 | | 2,675 02 | 2,675 02 | 57 91 | 57 91 | |
| 478160104 | JOHNSON & JOHNSON | | | | | | | | |
| Sold | | 05/16/11 | 10 | 665 90 | | | | | |
| Acq | | 11/13/07 | 10 | 665 90 | 670 00 | 670 00 | -4 10 | -4 10 | L |
| | | | Total for 5/16/2011 | | 670 00 | 670 00 | -4 10 | -4 10 | |
| 478160104 | JOHNSON & JOHNSON | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,303 77 | | | | | |
| Acq | | 11/13/07 | 20 | 1,303 77 | 1,340 00 | 1,340 00 | -36 23 | -36 23 | L |
| | | | Total for 3/28/2012 | | 1,340 00 | 1,340 00 | -36 23 | -36 23 | |
| 494368103 | KIMBERLY CLARK CORP COM | | | | | | | | |
| Sold | | 08/03/11 | 470 | 30,099 72 | | | | | |
| Acq | | 08/22/07 | 470 | 30,099 72 | 32,888 67 | 32,888 67 | -2,788 95 | -2,788 95 | L |
| | | | Total for 8/3/2011 | | 32,888 67 | 32,888 67 | -2,788 95 | -2,788 95 | |
| 500255104 | KOHLS CORP | | | | | | | | |
| Sold | | 08/18/11 | 400 | 17,916 17 | | | | | |
| Acq | | 01/28/08 | 400 | 17,916 17 | 16,809 15 | 16,809 15 | 1,107 02 | 1,107 02 | L |
| | | | Total for 8/18/2011 | | 16,809 15 | 16,809 15 | 1,107 02 | 1,107 02 | |
| 50075N104 | KRAFT FOODS INC | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,140 57 | | | | | |
| Acq | | 12/09/10 | 30 | 1,140 57 | 930 90 | 930 90 | 209 67 | 209 67 | L |
| | | | Total for 3/28/2012 | | 930 90 | 930 90 | 209 67 | 209 67 | |

Account Id: 1115970902

**Wells Fargo**
**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**UNIV OF COL CHILD DIAB RESEARCH CHAR**
**Trust Year 5/1/2011 - 4/30/2012**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 526057104 | LENNAR CORPORATION CLASS A COMMON | | | | | | | | |
| Sold | | 05/16/11 | 10 | 177 21 | | | | | |
| Acq | | 04/26/10 | 10 | 177 21 | 207 28 | 207 28 | -30 07 | -30 07 | L |
| | | | Total for 5/16/2011 | | 207 28 | 207 28 | -30 07 | -30 07 | |
| 526057104 | LENNAR CORPORATION CLASS A COMMON | | | | | | | | |
| Sold | | 03/28/12 | 50 | 1,380 46 | | | | | |
| Acq | | 04/26/10 | 50 | 1,380 46 | 1,036 40 | 1,036 40 | 344 06 | 344 06 | L |
| | | | Total for 3/28/2012 | | 1,036 40 | 1,036 40 | 344 06 | 344 06 | |
| 548661107 | LOWES COS INC | | | | | | | | |
| Sold | | 08/03/11 | 860 | 17,450 69 | | | | | |
| Acq | | 07/05/07 | 860 | 17,450 69 | 26,432 96 | 26,432 96 | -8,982 27 | -8,982 27 | L |
| | | | Total for 8/3/2011 | | 26,432 96 | 26,432 96 | -8,982 27 | -8,982 27 | |
| 580135101 | MCDONALDS CORP | | | | | | | | |
| Sold | | 05/16/11 | 10 | 810 60 | | | | | |
| Acq | | 06/12/07 | 10 | 810 60 | 519 14 | 519 14 | 291 46 | 291 46 | L |
| | | | Total for 5/16/2011 | | 519 14 | 519 14 | 291 46 | 291 46 | |
| 580135101 | MCDONALDS CORP | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,945 35 | | | | | |
| Acq | | 06/12/07 | 20 | 1,945 35 | 1,038 27 | 1,038 27 | 907 08 | 907 08 | L |
| | | | Total for 3/28/2012 | | 1,038 27 | 1,038 27 | 907 08 | 907 08 | |
| 58933Y105 | MERCK & CO INC NEW | | | | | | | | |
| Sold | | 05/16/11 | 10 | 374 61 | | | | | |
| Acq | | 11/13/07 | 10 | 374 61 | 564 93 | 564 93 | -190 32 | -190 32 | L |
| | | | Total for 5/16/2011 | | 564 93 | 564 93 | -190 32 | -190 32 | |
| 58933Y105 | MERCK & CO INC NEW | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,157 37 | | | | | |
| Acq | | 11/13/07 | 30 | 1,157 37 | 1,694 79 | 1,694 79 | -537 42 | -537 42 | L |
| | | | Total for 3/28/2012 | | 1,694 79 | 1,694 79 | -537 42 | -537 42 | |
| 594918104 | MICROSOFT CORP | | | | | | | | |
| Sold | | 05/16/11 | 10 | 248 41 | | | | | |
| Acq | | 08/22/07 | 10 | 248 41 | 281 54 | 281 54 | -33 13 | -33 13 | L |
| | | | Total for 5/16/2011 | | 281 54 | 281 54 | -33 13 | -33 13 | |
| 594918104 | MICROSOFT CORP | | | | | | | | |
| Sold | | 03/28/12 | 60 | 1,930 75 | | | | | |
| Acq | | 08/22/07 | 60 | 1,930 75 | 1,689 23 | 1,689 23 | 241 52 | 241 52 | L |
| | | | Total for 3/28/2012 | | 1,689 23 | 1,689 23 | 241 52 | 241 52 | |
| 61166W101 | MONSANTO CO NEW | | | | | | | | |
| Sold | | 03/28/12 | 10 | 784 18 | | | | | |
| Acq | | 08/03/11 | 10 | 784 18 | 702 26 | 702 26 | 81 92 | 81 92 | S |
| | | | Total for 3/28/2012 | | 702 26 | 702 26 | 81 92 | 81 92 | |

Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

Account Id· 1115970902

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 617446448 | MORGAN STANLEY | | | | | | | | |
| Sold | | 03/28/12 | 40 | 807 78 | | | | | |
| Acq | | 11/02/09 | 40 | 807 78 | 1,279 98 | 1,279 98 | -472 20 | -472 20 | L |
| | | | Total for 3/28/2012 | | 1,279 98 | 1,279 98 | -472 20 | -472 20 | |
| 637640103 | NATIONAL SEMICONDUCTOR CORP | | | | | | | | |
| Sold | | 09/26/11 | 86 4 | 2,160 00 | | | | | |
| Acq | | 01/07/09 | 86 4 | 2,160 00 | 901 54 | 901 54 | 1,258 46 | 1,258 46 | L |
| | | | Total for 9/26/2011 | | 901 54 | 901 54 | 1,258 46 | 1,258 46 | |
| 674599105 | OCCIDENTAL PETE CORP | | | | | | | | |
| Sold | | 03/28/12 | 10 | 948 57 | | | | | |
| Acq | | 06/12/07 | 10 | 948 57 | 568 32 | 568 32 | 380 25 | 380 25 | L |
| | | | Total for 3/28/2012 | | 568 32 | 568 32 | 380 25 | 380 25 | |
| 68389X105 | ORACLE CORPORATION | | | | | | | | |
| Sold | | 03/28/12 | 40 | 1,170 77 | | | | | |
| Acq | | 07/05/07 | 40 | 1,170 77 | 810 40 | 810 40 | 360 37 | 360 37 | L |
| | | | Total for 3/28/2012 | | 810 40 | 810 40 | 360 37 | 360 37 | |
| 693475105 | PNC FINANCIAL SERVICES GROUP | | | | | | | | |
| Sold | | 03/28/12 | 40 | 2,555 14 | | | | | |
| Acq | | 07/05/07 | 40 | 2,555 14 | 2,934 80 | 2,934 80 | -379 66 | -379 66 | L |
| | | | Total for 3/28/2012 | | 2,934 80 | 2,934 80 | -379 66 | -379 66 | |
| 704549104 | PEABODY ENERGY CORPORATION | | | | | | | | |
| Sold | | 05/16/11 | 10 | 599 90 | | | | | |
| Acq | | 12/09/10 | 10 | 599 90 | 615 49 | 615 49 | -15 59 | -15 59 | S |
| | | | Total for 5/16/2011 | | 615 49 | 615 49 | -15 59 | -15 59 | |
| 704549104 | PEABODY ENERGY CORPORATION | | | | | | | | |
| Sold | | 08/03/11 | 280 | 14,797 18 | | | | | |
| Acq | | 12/09/10 | 280 | 14,797 18 | 17,233 83 | 17,233 83 | -2,436 65 | -2,436 65 | S |
| | | | Total for 8/3/2011 | | 17,233 83 | 17,233 83 | -2,436 65 | -2,436 65 | |
| 71654V408 | PETROLEO BRASILEIRO S A - COMM - ADR | | | | | | | | |
| Sold | | 08/03/11 | 480 | 15,465 44 | | | | | |
| Acq | | 06/01/10 | 480 | 15,465 44 | 17,391 12 | 17,391 12 | -1,925 68 | -1,925 68 | L |
| | | | Total for 8/3/2011 | | 17,391 12 | 17,391 12 | -1,925 68 | -1,925 68 | |
| 717081103 | PFIZER INC | | | | | | | | |
| Sold | | 05/16/11 | 10 | 210 71 | | | | | |
| Acq | | 07/17/07 | 10 | 210 71 | 261 00 | 261 00 | -50 29 | -50 29 | L |
| | | | Total for 5/16/2011 | | 261 00 | 261 00 | -50 29 | -50 29 | |
| 717081103 | PFIZER INC | | | | | | | | |
| Sold | | 03/28/12 | 90 | 2,004 25 | | | | | |
| Acq | | 07/17/07 | 90 | 2,004 25 | 2,349 00 | 2,349 00 | -344 75 | -344 75 | L |
| | | | Total for 3/28/2012 | | 2,349 00 | 2,349 00 | -344 75 | -344 75 | |

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 73755L107 | POTASH CORP SASK | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,352 36 | | | | | |
| Acq | | 11/04/10 | 30 | 1,352 36 | 1,412 13 | 1,412 13 | -59 77 | -59 77 | L |
| | | | Total for 3/28/2012 | | 1,412 13 | 1,412 13 | -59 77 | -59 77 | |
| 74144T108 | T ROWE PRICE GROUP INC | | | | | | | | |
| Sold | | 03/28/12 | 40 | 2,559 14 | | | | | |
| Acq | | 10/02/07 | 40 | 2,559 14 | 2,330 94 | 2,330 94 | 228 20 | 228 20 | L |
| | | | Total for 3/28/2012 | | 2,330 94 | 2,330 94 | 228 20 | 228 20 | |
| 742718109 | PROCTER & GAMBLE CO | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,339 36 | | | | | |
| Acq | | 11/13/07 | 20 | 1,339 36 | 1,421 06 | 1,421 06 | -81 70 | -81 70 | L |
| | | | Total for 3/28/2012 | | 1,421 06 | 1,421 06 | -81 70 | -81 70 | |
| 747525103 | QUALCOMM INC | | | | | | | | |
| Sold | | 03/28/12 | 30 | 2,026 75 | | | | | |
| Acq | | 04/20/11 | 30 | 2,026 75 | 1,645 79 | 1,645 79 | 380 96 | 380 96 | S |
| | | | Total for 3/28/2012 | | 1,645 79 | 1,645 79 | 380 96 | 380 96 | |
| 806857108 | SCHLUMBERGER LTD | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,379 16 | | | | | |
| Acq | | 05/27/08 | 20 | 1,379 16 | 2,025 60 | 2,025 60 | -646 44 | -646 44 | L |
| | | | Total for 3/28/2012 | | 2,025 60 | 2,025 60 | -646 44 | -646 44 | |
| 816636203 | SEMICONDUCTOR HOLDRS TR | | | | | | | | |
| Sold | | 12/20/11 | 0 | 18,911 66 | | | | | |
| Acq | | 01/07/09 | 0 | | 10,716 02 | 10,716 02 | | | L |
| | | | Total for 12/20/2011 | | 10,716 02 | 10,716 02 | 0 00 | 0 00 | |
| 87612EAH9 | TARGET CORP 5 875% 3/01/12 | | | | | | | | |
| Sold | | 03/01/12 | 50000 | 50,000 00 | | | | | |
| Acq | | 05/18/04 | 39000 | 39,000 00 | 40,825 59 | 40,825 59 | -1,825 59 | -1,825 59 | L |
| Acq | | 05/18/04 | 11000 | 11,000 00 | 11,531 41 | 11,531 41 | -531 41 | -531 41 | L |
| | | | Total for 3/1/2012 | | 52,357 00 | 52,357 00 | -2,357 00 | -2,357 00 | |
| 881624209 | TEVA PHARMACEUTICAL INDUSTRIES - ADR | | | | | | | | |
| Sold | | 08/03/11 | 470 | 19,793 24 | | | | | |
| Acq | | 02/17/09 | 320 | 13,476 25 | 14,676 84 | 14,676 84 | -1,200 59 | -1,200 59 | L |
| Acq | | 03/04/09 | 150 | 6,316 99 | 6,485 39 | 6,485 39 | -168 40 | -168 40 | L |
| | | | Total for 8/3/2011 | | 21,162 23 | 21,162 23 | -1,368 99 | -1,368 99 | |
| 902973304 | US BANCORP DEL NEW | | | | | | | | |
| Sold | | 03/28/12 | 70 | 2,213 35 | | | | | |
| Acq | | 08/22/07 | 20 | 632 39 | 653 05 | 653 05 | -20 66 | -20 66 | L |
| Acq | | 09/26/08 | 50 | 1,580 96 | 1,783 00 | 1,783 00 | -202 04 | -202 04 | L |
| | | | Total for 3/28/2012 | | 2,436 05 | 2,436 05 | -222 70 | -222 70 | |

**Wells Fargo**
**Statement of Capital Gains and Losses From Sales**
**(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)**
**UNIV OF COL CHILD DIAB RESEARCH CHAR**
**Trust Year 5/1/2011 - 4/30/2012**

**Account Id: 1115970902**

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 907818108 | UNION PACIFIC CORP | | | | | | | | |
| **Sold** | | 03/28/12 | 10 | 1,075 57 | | | | | |
| **Acq** | | 05/20/09 | 10 | 1,075 57 | 501 17 | 501 17 | 574 40 | 574 40 | L |
| | | | **Total for 3/28/2012** | | 501 17 | 501 17 | 574 40 | 574 40 | |
| 911312106 | UNITED PARCEL SERVICE-CL B | | | | | | | | |
| **Sold** | | 08/18/11 | 440 | 27,297 95 | | | | | |
| **Acq** | | 11/13/07 | 310 | 19,232 65 | 22,561 90 | 22,561 90 | -3,329 25 | -3,329 25 | L |
| **Acq** | | 01/28/08 | 130 | 8,065 30 | 9,195 55 | 9,195 55 | -1,130 25 | -1,130 25 | L |
| | | | **Total for 8/18/2011** | | 31,757 45 | 31,757 45 | -4,459 50 | -4,459 50 | |
| 9128277L0 | U S TREASURY NOTE 4 875% 2/15/12 | | | | | | | | |
| **Sold** | | 02/15/12 | 100000 | 100,000 00 | | | | | |
| **Acq** | | 06/27/05 | 100000 | 100,000 00 | 106,496 09 | 106,496 09 | -6,496 09 | -6,496 09 | L |
| | | | **Total for 2/15/2012** | | 106,496 09 | 106,496 09 | -6,496 09 | -6,496 09 | |
| 912909108 | UNITED STS STL CORP NEW | | | | | | | | |
| **Sold** | | 08/03/11 | 450 | 16,367 13 | | | | | |
| **Acq** | | 01/02/09 | 450 | 16,367 13 | 17,744 22 | 17,744 22 | -1,377 09 | -1,377 09 | L |
| | | | **Total for 8/3/2011** | | 17,744 22 | 17,744 22 | -1,377 09 | -1,377 09 | |
| 913017109 | UNITED TECHNOLOGIES CORP | | | | | | | | |
| **Sold** | | 03/28/12 | 20 | 1,631 96 | | | | | |
| **Acq** | | 05/17/07 | 20 | 1,631 96 | 1,382 00 | 1,382 00 | 249 96 | 249 96 | L |
| | | | **Total for 3/28/2012** | | 1,382 00 | 1,382 00 | 249 96 | 249 96 | |
| 91324P102 | UNITEDHEALTH GROUP INC | | | | | | | | |
| **Sold** | | 03/28/12 | 30 | 1,655 96 | | | | | |
| **Acq** | | 12/09/10 | 30 | 1,655 96 | 1,098 04 | 1,098 04 | 557 92 | 557 92 | L |
| | | | **Total for 3/28/2012** | | 1,098 04 | 1,098 04 | 557 92 | 557 92 | |
| 92343V104 | VERIZON COMMUNICATIONS | | | | | | | | |
| **Sold** | | 03/28/12 | 30 | 1,145 37 | | | | | |
| **Acq** | | 12/09/10 | 30 | 1,145 37 | 1,006 88 | 1,006 88 | 138 49 | 138 49 | L |
| | | | **Total for 3/28/2012** | | 1,006 88 | 1,006 88 | 138 49 | 138 49 | |
| 92553P201 | VIACOM INC NEW | | | | | | | | |
| **Sold** | | 03/28/12 | 30 | 1,423 76 | | | | | |
| **Acq** | | 08/16/05 | 30 | 1,423 76 | 1,264 54 | 1,264 54 | 159 22 | 159 22 | L |
| | | | **Total for 3/28/2012** | | 1,264 54 | 1,264 54 | 159 22 | 159 22 | |
| 931142103 | WAL MART STORES INC | | | | | | | | |
| **Sold** | | 05/16/11 | 10 | 559 80 | | | | | |
| **Acq** | | 11/13/07 | 10 | 559 80 | 461 70 | 461 70 | 98 10 | 98 10 | L |
| | | | **Total for 5/16/2011** | | 461 70 | 461 70 | 98 10 | 98 10 | |
| 931142103 | WAL MART STORES INC | | | | | | | | |
| **Sold** | | 03/28/12 | 30 | 1,833 55 | | | | | |
| **Acq** | | 11/13/07 | 30 | 1,833 55 | 1,385 10 | 1,385 10 | 448 45 | 448 45 | L |
| | | | **Total for 3/28/2012** | | 1,385 10 | 1,385 10 | 448 45 | 448 45 | |

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual funds or CTF's - See Tax Transaction Detail)
UNIV OF COL CHILD DIAB RESEARCH CHAR
Trust Year 5/1/2011 - 4/30/2012

Account Id: 1115970902

| Type | Description | Date | Shares | Price | Fed Cost | State Cost | Fed Gain | State Gain | (Type) |
|---|---|---|---|---|---|---|---|---|---|
| 931142CF8 | WAL-MART STORES INC 5 000% 4/05/12 | | | | | | | | |
| Sold | | 04/05/12 | 50000 | 50,000 00 | | | | | |
| Acq | | 10/31/08 | 50000 | 50,000 00 | 50,696 50 | 50,696 50 | -696 50 | -696 50 | L |
| | | | Total for 4/5/2012 | | 50,696 50 | 50,696 50 | -696 50 | -696 50 | |
| 931422109 | WALGREEN CO | | | | | | | | |
| Sold | | 03/28/12 | 30 | 1,048 17 | | | | | |
| Acq | | 12/09/10 | 30 | 1,048 17 | 1,091 14 | 1,091 14 | -42 97 | -42 97 | L |
| | | | Total for 3/28/2012 | | 1,091 14 | 1,091 14 | -42 97 | -42 97 | |
| 942683103 | WATSON PHARMACEUTICALS INC COM | | | | | | | | |
| Sold | | 03/28/12 | 10 | 656 78 | | | | | |
| Acq | | 08/03/11 | 10 | 656 78 | 656 33 | 656 33 | 0 45 | 0 45 | S |
| | | | Total for 3/28/2012 | | 656 33 | 656 33 | 0 45 | 0 45 | |
| 94975G488 | WF ADV SMALL CAP OPPOR FD-ADMIN 84 | | | | | | | | |
| Sold | | 05/13/11 | 906 344 | 33,000 00 | | | | | |
| Acq | | 04/12/06 | 906 344 | 33,000 00 | 33,226 57 | 33,226 57 | -226 57 | -226 57 | L |
| | | | Total for 5/13/2011 | | 33,226 57 | 33,226 57 | -226 57 | -226 57 | |
| 963320106 | WHIRLPOOL CORP | | | | | | | | |
| Sold | | 08/18/11 | 200 | 11,510 33 | | | | | |
| Acq | | 12/09/10 | 200 | 11,510 33 | 17,146 12 | 17,146 12 | -5,635 79 | -5,635 79 | S |
| | | | Total for 8/18/2011 | | 17,146 12 | 17,146 12 | -5,635 79 | -5,635 79 | |
| 989701107 | ZIONS BANCORP | | | | | | | | |
| Sold | | 09/20/11 | 1020 | 16,847 72 | | | | | |
| Acq | | 12/09/10 | 1020 | 16,847 72 | 23,751 00 | 23,751 00 | -6,903 28 | -6,903 28 | S |
| | | | Total for 9/20/2011 | | 23,751 00 | 23,751 00 | -6,903 28 | -6,903 28 | |
| G1151C101 | ACCENTURE PLC | | | | | | | | |
| Sold | | 03/28/12 | 20 | 1,290 17 | | | | | |
| Acq | | 03/23/10 | 20 | 1,290 17 | 847 53 | 847 53 | 442 64 | 442 64 | L |
| | | | Total for 3/28/2012 | | 847 53 | 847 53 | 442 64 | 442 64 | |
| V7780T103 | ROYAL CARRIBEAN CRUISE | | | | | | | | |
| Sold | | 03/28/12 | 40 | 1,185 57 | | | | | |
| Acq | | 08/18/11 | 40 | 1,185 57 | 925 47 | 925 47 | 260 10 | 260 10 | S |
| | | | Total for 3/28/2012 | | 925 47 | 925 47 | 260 10 | 260 10 | |
| | | | Total for Account: 1115970902 | | 769,893 32 | 769,893 32 | -17,990 30 | -17,990 30 | |

**Total Proceeds:**

760,098 66

| | Fed | State |
|---|---|---|
| S/T Gain/Loss | -24,089 63 | -24,089 63 |
| L/T Gain/Loss | 6,099 33 | 6,099 33 |

FORM 990

STATEMENT A

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Colorado Attorney General does not require and prefers that we do not furnish them a copy of Form 990 if the organization does not solicit contributions from the public or is required to register with the state.