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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 05-01-2011 , and ending 04-30-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
THE DONNA AND MARVIN SCHWARTZ FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
C/O NEUBERGER BERMAN 605 THIRD AVENUE

City or town, state, and ZIP code
NEW YORK, NY 101583698

A Employer identification number
13-7114848

B Telephone number (see page 10 of the instructions)
(212) 509-6700

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 89,691,062

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	4,910			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	1,442,469	1,442,469		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-102,216			
	b Gross sales price for all assets on line 6a 3,238,797				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	66,372	1,308		
	12 Total. Add lines 1 through 11	1,411,535	1,443,777		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	36,906	36,906		0
	c Other professional fees (attach schedule)				
	17 Interest	26,736	26,736		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	158,963	23,540		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	26,255	1,500		0
	24 Total operating and administrative expenses. Add lines 13 through 23	248,860	88,682		0
	25 Contributions, gifts, grants paid	3,699,645			3,699,645
	26 Total expenses and disbursements. Add lines 24 and 25	3,948,505	88,682		3,699,645
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,536,970			
	b Net investment income (if negative, enter -0-)		1,355,095		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	268,586	230,397	230,397
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	62,625,364	63,435,811	87,434,419
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,817,214	1,961,061	1,982,758
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	2,760,489	43,488	43,488	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	67,471,653	65,670,757	89,691,062	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	2,658,958	3,395,032	
	23	Total liabilities (add lines 17 through 22)	2,658,958	3,395,032	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	64,812,695	62,275,725	
30	Total net assets or fund balances (see page 17 of the instructions)	64,812,695	62,275,725		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	67,471,653	65,670,757		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	64,812,695
2	Enter amount from Part I, line 27a	2	-2,536,970
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	62,275,725
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	62,275,725

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-102,216
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	4,357,158	84,431,384	0 051606
2009	3,908,125	78,341,871	0 049886
2008	3,336,889	78,575,563	0 042467
2007	5,232,028	113,843,899	0 045958
2006	4,622,535	108,643,593	0 042548

2 Total of line 1, column (d).	2	0 232465
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046493
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	82,053,559
5 Multiply line 4 by line 3.	5	3,814,916
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	13,551
7 Add lines 5 and 6.	7	3,828,467
8 Enter qualifying distributions from Part XII, line 4.	8	3,699,645

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	27,102
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3	Add lines 1 and 2.		3	27,102
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	27,102
6	Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	29,176	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d.		7	29,176
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	2,074
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 2,074	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☐ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of FREEDMAN CO CPA PC Telephone no (212) 509-6700 Located at 61 BROADWAY SUITE 1405 NEW YORK NY ZIP+4 100062704			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☐		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes	☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>			6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARVIN C SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0 00	0	0	0
DONNA SCHWARTZ 2 EAST 88TH ST NEW YORK, NY 10128	TRUSTEE 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	83,980,419
b	Average of monthly cash balances.	1b	-736,261
c	Fair market value of all other assets (see page 24 of the instructions).	1c	58,948
d	Total (add lines 1a, b, and c).	1d	83,303,106
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	83,303,106
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,249,547
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	82,053,559
6	Minimum investment return. Enter 5% of line 5.	6	4,102,678

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,102,678
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	27,102
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	21,387
c	Add lines 2a and 2b.	2c	48,489
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,054,189
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,054,189
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,054,189

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,699,645
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,699,645
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	3,699,645
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1		Distributable amount for 2011 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2011			
a		Enter amount for 2010 only. 0			
b		Total for prior years 20____, 20____, 20____ 0			
3		Excess distributions carryover, if any, to 2011			
a		From 2006.			
b		From 2007. 75,120			
c		From 2008.			
d		From 2009. 111,489			
e		From 2010. 309,534			
f		Total of lines 3a through e. 496,143			
4		Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 3,699,645			
a		Applied to 2010, but not more than line 2a 0			
b		Applied to undistributed income of prior years (Election required—see page 26 of the instructions) 0			
c		Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . 0			
d		Applied to 2011 distributable amount. 3,699,645			
e		Remaining amount distributed out of corpus 0			
5		Excess distributions carryover applied to 2011 354,544 354,544			
		(If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 141,599			
b		Prior years' undistributed income Subtract line 4b from line 2b. 0			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. 0			
d		Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . 0			
e		Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions 0			
f		Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). 0			
8		Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). 0			
9		Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a 141,599			
10		Analysis of line 9			
a		Excess from 2007.			
b		Excess from 2008.			
c		Excess from 2009.			
d		Excess from 2010. 141,599			
e		Excess from 2011.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	3,699,645
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	1,442,469	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.	523000	65,064			1,308
8	Gain or (loss) from sales of assets other than inventory			18	-102,216	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .		65,064		1,340,253	1,308
13	Total. Add line 12, columns (b), (d), and (e).			13		1,406,625

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge			
	*****		2013-03-08	*****
	Signature of officer or trustee		Date	Title
	Paid Preparer's Use Only	Preparer's Signature ▶ PAUL J FREEDMAN	Date 2013-03-04	Check if self-employed ☒
Firm's name ▶ FREEDMAN & CO CPA PC 61 BROADWAY		Firm's EIN ▶ 13-3598907		
Firm's address ▶ NEW YORK, NY 10006		Phone no (212) 509-6700		

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FROM PASS THRU ACTIVITY	P	2011-01-01	2011-12-31
FROM PASS THRU ACTIVITY	P	2010-12-31	2011-12-31
LITIGATION SETTLEMENT	P	2010-12-31	2011-12-31
FROM PASS THRU ACTIVITY - COST BASIS ADJUSTMENT	P	2010-12-31	2011-12-31
REGAL ENTMT GROUP CL A	P	2011-06-20	2011-08-01
COMPUTER SCIENCES CORP	P	2010-11-30	2011-06-13
COMPUTER SCIENCES CORP	P	2010-12-21	2011-06-13
***BARCLAYS PLC-ADR	P	2011-01-07	2012-03-02
***BARCLAYS PLC-ADR	P	2011-02-22	2012-03-02
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2009-08-27	2011-08-09
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-16	2011-10-03
DELPHI FINANCIAL GRP INC CL A	P	2009-08-18	2011-12-21
HEWLETT PACKARD CO	P	2010-02-01	2011-12-30
HEWLETT PACKARD CO	P	2009-04-06	2011-12-30
ANNALY CAPITAL MANAGEMENT INC	P	2011-01-25	2012-01-26
ANNALY CAPITAL MANAGEMENT INC	P	2010-07-27	2011-07-28
ANNALY CAPITAL MANAGEMENT INC	P	2010-10-26	2011-10-27
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		4,092	-4,092
9,450			9,450
230			230
49,050			49,050
319,031		300,573	18,458
187,352		224,463	-37,111
168,617		217,872	-49,255
684,620		736,562	-51,942
120,815		157,327	-36,512
281,787		438,250	-156,463
66,585		98,197	-31,612
876,377		420,064	456,313
270,687		501,908	-231,221
185,614		241,705	-56,091
250			250
250			250
1,750			1,750
16,332			16,332

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,092
			9,450
			230
			49,050
			18,458
			-37,111
			-49,255
			-51,942
			-36,512
			-156,463
			-31,612
			456,313
			-231,221
			-56,091
			250
			250
			1,750
			16,332

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

MARVIN C SCHWARTZ
DONNA SCHWARTZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMER'S ASSOCIATION225 N MICHIGAN AVE FL 17 CHICAGO,IL 606017633	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	22,350
AMERICAN COUNCIL OF TRUSTEES & ALUMNI1726 M STREET NW - STE 802 WASHINGTON,DC 200364525	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
AMERICAN FOLK ART MUSEUM2 LINCOLN SQUARE NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
AMERICAN FRIENDS OF THE ISRAEL MUSEUM500 FIFTH AVE - STE 2540 NEW YORK,NY 10110	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
AMERICAN MUSEUM OF NATURAL HISTORY200 CENTRAL PARK WEST NEW YORK,NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	48,250
BEDFORD FIRE DEPARTMENT34 VILLAGE GREEN - PO BOX 230 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,100
BEDFORD HISTORICAL SOCIETY 612 OLD POST RD - PO BOX 491 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,150
BEDFORD PBA307 BEDFORD RD BEDFORD HILLS,NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
BEDFORD RIDING LANES ASSN INCPO BOX 178 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
BETH ISRAEL FOUNDATION555 W 57 ST - STE 523 NEW YORK,NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	45,000
BIDEAWEE410 E 38 ST NEW YORK,NY 10016	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	45,120
CAMP BROWDERWAY215 PARK AVENUE SOUTH - STE 1403 NEW YORK,NY 10003	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
CARNEGIE HILL NEIGHBORS170 EAST 91ST STREET NEW YORK,NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
CCFA (CROHN'S & COLITIS FDN OF AMERICA)386 PARK AVENUE SOUTH - FL 17 NEW YORK,NY 10016	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	7,500
CENTRAL PARK CONSERVANCY14 E 60 ST NEW YORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	128,500
CHESS IN THE SCHOOLS520 EIGHTH AVE - FL 2 NEW YORK,NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
CIVITAS55 TUFTON STREET - FL 1 WESTMINSTER,LONDON UK	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	250
COLUMBIA UNIVERSITY622 WEST 113TH STREET NEW YORK,NY 10025	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
DAMON RUNYON CANCER RESEARCH FUND55 BROADWAY - STE 302 NEW YORK,NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,699
DIABETES RESEARCH INSTITUTE FOUNDATION200 S PARK RD - STE 100 HOLLYWOOD,FL 33021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
EAST END HOSPICE481 WESTHAMPTON-RIVERHEAD ROAD PO BOX 1048 WESTHAMPTON BEACH,NY 119787048	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
EAST SIDE SETTLEMENT337 ALEXANDER AVE BRONX,NY 10454	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,850
EMORY UNIVERSITY201 DOWMAN DR ATLANTA,GA 30322	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
FAMILY COUNSELING SERVICES 120 WASHINGTON ST - STE 510 WATERTOWN,NY 13601	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	17,000
FIDELITY CHARITABLE SERVICES PO BOX 770001 CINCINNATI,OH 45277	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	200,000
FRIENDS OF THE WEST HAMPTON AMBULANCE3 HAZELWOOD AVE WHAMPTON BEACH,NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
GEORGIA O'KEEFE MUSEUM217 JOHNSON STREET SANTA FE,NM 87501	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	76,000
GILDER LEHERMAN INSTITUTE OF AMERICAN HISTORY19 W 44 ST NEW YORK,NY 10036	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
HILLEL800 EIGHTH STREET NW WASHINGTON,DC 200013724	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
HISTORIC HUDSON VALLEY639 BEDFORD RD POCANTICO HILLS,NY 10591	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4,800

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
INTERSCHOOL ORCHESTRAS OF NEW YORK1556 THIRD AVENUE - STE 601 NEW YORK,NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	12,500
JEWISH COMMUNAL FUND575 MADISON AVENUE - STE 703 NEW YORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,400,000
LINCOLN CENTER FOR THE PERFORMING ARTS10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	24,210
MANHATTAN INSTITUTE52 VANDERBILT AVE NEW YORK,NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	22,800
MOUNT SINAI CRYSTAL PARTY ONE GUSTAVE L LEVY PLACE NEW YORK,NY 10029	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
NEUBERGER MUSEUM OF ART735 ANDERSON HILL ROAD PURCHASE,NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	52,750
NEW JERSEY SYMPHONY ORCHESTRA60 PARK PLACE - FL 9 NEWARK,NJ 07102	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK,NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	20,000
NEW YORK PHILHARMONIC10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	209,600
NORTH SALEM VOLUNTEER AMBULANCE CORP14 DANIEL ROAD NORTH SALEM,NY 10560	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
NORTH SHORE UNIVERSITY HOSPITAL AUXILIARY300 COMMUNITY DR MANHASSET,NY 11030	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
ORT AMERICA75 MAIDEN LANE - FL 10 NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
OVERCOMING OBSTACLES125 MAIDEN LANE - FL 5 NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	27,500
PETS ALIVE363 DERBY ROAD MIDDLETOWN,NY 10940	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
PHI BETA KAPPA SOCIETY1606 NEW HAMPSHIRE AVE NW WASHINGTON,DC 20009	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	55
PHOENIX HOUSE164 WEST 74TH STREET - FL 4 NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	7,000
RUBIN MUSEUM OF ART150 W 17 ST NEW YORK,NY 10011	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	14,600
SABIN VACCINE INSTITUTE2000 PENNSYLVANIA AVE NW - STE 7100 WASHINGTON,DC 20006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
SARGENT SHRIVER NAT CENT OF POVERTY LAW50 E WASHING ST - STE 500 CHICAGO,IL 60602	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
SCHOOL FOR CHILDREN WHIDDEN INTELLIGENCE1001 AVENUE OF THE AMERICAS - FL 4 NEW YORK,NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
SMITHSONIAN INSTITUTIONPO BOX 9016 PITTSFIELD,MA 01202	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS TO PUBLIC CHARITY	50,000
THE AMERICAN INDIAN FOUNDATION15 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS TO PUBLIC CHARITY	25,000
THE AMERICAN ISRAEL EDUCATION FOUNDATION251 H STREET NW WASHINGTON,DC 20001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS TO PUBLIC CHARITY	75,000
THE BARUCH COLLEGE FUNDONE BERNARD BARUCH WAY NEW YORK,NY 10010	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS TO PUBLIC CHARITY	297,800
THE BROOKLYN ACADEMY OF MUSIC30 LAFAYETTE AVE BROOKLYN,NY 11217	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTIONS TO PUBLIC CHARITY	10,000
THE CAMPAIGN STORE8795 FOLSOM BLVD - STE 103 SACRAMENTO,CA 95826	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
THE DOE FUND INC232 E 84 ST NEW YORK,NY 10028	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100,000
THE GLAUCOMA FOUNDATION80 MAIDEN LANE - ST 700 NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
THE JEWISH FOUNDATION FOR THE RIGHTEOUS305 7TH AVE - FL 19 NEW YORK,NY 10001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,900
THE METROPOLITAN MUSEUM OF ART1000 FIFTH AVE NEW YORK,NY 10028	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE METROPOLITAN OPERA ASSOCIATION INCLINCOLN CENTER NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	245,510
THE NEW YORK BOTANICAL GARDEN2900 SOUTHERN BLVD BRONX,NY 10458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	60,000
THE SCHOOL FOR STRINGS419 W 54 ST NEW YORK,NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
UJA OF METROWEST NJ901 ROUTE 10 - PO BOX 929 WHIPPANY,NJ 07981	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
UNCF - UNITED NEGRO COLLEGE FUNDPO BOX 10444 FAIRFAX,VA 22031	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
UNITED JEWISH COMMUNITIES OF METROWEST NJ901 ROUTE 10 - PO BOX 929 WHIPPANY,NJ 07981	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
UNITED WAY OF WESTCHESTER & PUTNAM336 CENTRAL PARK AVE WHITE PLAINS,NY 10606	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
WALL STREET SYNAGOGUE47 BEEKMAN ST NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	300
WEST HAMPTON BEACH PAC76 MAIN STREET WESTHAMPTON BEACH,NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	55,000
WESTCHESTER LAND TRUST403 HARRIS RD BEDFORD HILLS,NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	18,500
WHITNEY MUSEUM OF AMERICAN ART945 MADISON AVE NEW YORK,NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	9,515
WILDLIFE CONSERVATION SOCIETY2300 SOUTHERN BOULEVARD BRONX,NY 10460	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	49,958
ZERO TO THREE1255 23 ST NW - STE 350 WASHINGTON,DC 20037	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
MISC - FROM PASS THRU ACTIVITYVARIOUS VARIOUS,NY 10105	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	378
Total  3a				3,699,645

TY 2011 Accounting Fees Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	36,906	36,906		0

TY 2011 Investments Corporate
Stock Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION
EIN: 13-7114848

Name of Stock	End of Year Book Value	End of Year Fair Market Value
67500 SHS ABBOTT LABORATORIES	2,999,987	4,189,050
45000 SHS ALLIANCEBERNSTEIN HOLDING LP	682,640	634,050
50000 SHS AMGEN INC	2,685,903	3,555,500
115000 SHS ANADARKO PETROLEUM CORP	2,521,931	8,419,150
25000 SHS ANNALY CAPITAL MGMT INC	433,231	408,000
30000 SHS AON CORP	1,152,025	1,554,000
71000 SHS ASSURANT INC	2,362,947	2,864,140
25000 SHS BANK OF NEW YORK MELLON	643,228	591,250
35000 SHS BARCLAYS PLC-ADR	605,951	498,400
10000 SHS BARRICK GOLD CORP	496,338	404,690
2000 SHS BP PLC SPONSORED ADR	89,440	86,820
25000 SHS CANADIAN NATURAL RESOURCES LTD	882,288	868,930
70000 SHS COMCAST CORP	1,141,425	2,088,100
110000 SHS DR HORTON INC *	1,011,191	1,798,500
50000 SHS DEEP SEA SUPPLY PLC	77,301	109,680
40000 SHS DELTA AIR LINES INC DEL COM NEW	379,872	438,400
20000 SHS DENBURY RESOURCES INC NEW	378,404	380,800
136520 SHS DEVON ENERGY CORPORATION NEW	1,734,744	9,535,922
25000 ENCANA CORP	721,668	523,840
70000 SHS ENSCO INTERNATIONAL INC	4,507,781	3,825,500
10000 SHS EOG RES INC	934,418	1,098,100
188400 SHS FORTRESS INVT GROUP LLC	638,768	674,472
1005 SHS GOLDMAN SACHS GROUP INC	118,498	115,726
72800 SHS HEWLETT PACKARD CO	2,428,590	1,802,528
45000 SHS INTERNATIONAL BUSINESS MACHINES CORP	5,405,222	9,318,600
53000 SHS JPMORGAN CHASE & CO	2,054,601	2,277,940
40000 SHS LENNAR CORP	603,792	1,109,600
40000 SHS LINCOLN NATIONAL CORP INC	1,021,332	990,800
10000 SHS LOEWS CORPORATION	351,939	411,300
29500 SHS METHANEX CORP	526,042	1,039,081

Name of Stock	End of Year Book Value	End of Year Fair Market Value
28630 SHS METLIFE INC	1,100,168	1,031,539
100000 SHS NORTHERN OFFSHORE	121,873	203,630
16500 SHS OCCIDENTAL PETE CORP	1,203,974	1,505,130
25000 SHS PIONEER NATURAL RESOURCES CO	792,383	2,895,500
385000 SHS PULTEGROUP INC	4,080,501	3,788,400
70000 SHS SYMANTEC CORPORATION	1,041,304	1,156,400
400650 SHS TALISMAN ENERGY INC	6,643,954	5,242,345
5000 TOLL BROTHERS INC	89,509	127,000
6750 TORCHMARK CORP	156,630	328,793
48000 SHS TRAVELERS COMPANIES INC	1,807,350	3,087,360
26500 SHS TSAKOS ENERGY NAVIG USD1	405,614	168,275
10811 VALIDUS HOLDINGS LTD	245,405	351,358
15000 SHS WELLPOINT INC	996,015	1,017,300
46000 SHS WHITLING PETROLEUM CORPORATION	808,349	2,631,200
294000 SHS XEROX CORP	4,351,285	2,287,320

TY 2011 Investments - Other Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
214584 SHS NEUBERGER BERMAN HIGH INCOME BD FD INSTL CL	AT COST	1,961,061	1,982,758

TY 2011 Other Assets Schedule**Name:** THE DONNA AND MARVIN SCHWARTZ FOUNDATION**EIN:** 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PENDING TRADES RECEIVABLE	2,708,739	0	0
ACCRUED DIVIDENDS	51,750	43,488	43,488
ROUNDING ADJUSTMENT			

TY 2011 Other Expenses Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES FROM PASS THRU ACTIVITY	24,755	0		0
FILING FEE	1,500	1,500		0

TY 2011 Other Income Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME FROM PASS THRU ACTIVITY	1,308	1,308	1,308
ORDINARY INCOME FROM PASS THRU ACTIVITY	65,064	0	65,064

TY 2011 Other Liabilities Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER	2,658,958	3,395,032

TY 2011 Taxes Schedule**Name:** THE DONNA AND MARVIN SCHWARTZ FOUNDATION**EIN:** 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	130,000	0		0
FOREIGN TAX	23,540	23,540		0
STATE TAX	5,423	0		0