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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2011

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning **MAY 1, 2011**, and ending **APR 30, 2012**

Name of foundation THE WILEY FOUNDATION, INC. C/O D. WILEY WILEY & SONS		A Employer identification number 13-4163744
Number and street (or P O box number if mail is not delivered to street address) 111 RIVER STREET	Room/suite	B Telephone number 201-748-6783
City or town, state, and ZIP code HOBOKEN, NJ 07030		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,065,382. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	151,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	310.	310.		STATEMENT 1
4 Dividends and interest from securities	13,858.	13,858.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-12,932.			
b Gross sales price for all assets on line 6a 69,184.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	794.	0.		STATEMENT 3
12 Total. Add lines 1 through 11	153,030.	14,168.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 4	1,956.	0.		1,956.
c Other professional fees STMT 5	6,191.	6,191.		0.
17 Interest				
18 Taxes				
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	3,009.	0.		3,009.
22 Printing and publications	1,207.	0.		1,207.
23 Other expenses STMT 6	7,605.	0.		7,605.
24 Total operating and administrative expenses. Add lines 13 through 23	19,968.	6,191.		13,777.
25 Contributions, gifts, grants paid	86,001.			86,001.
26 Total expenses and disbursements. Add lines 24 and 25	105,969.	6,191.		99,778.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	47,061.			
b Net investment income (if negative, enter -0-)		7,977.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED AUG 20 2012 Revenue

Operating and Administrative Expenses

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	428.	76,687.	76,687.
	2 Savings and temporary cash investments	1,017,893.	200,444.	200,444.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 7	0.	108,011.	108,011.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	0.	680,240.	680,240.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,018,321.	1,065,382.	1,065,382.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,018,321.	1,065,382.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	1,018,321.	1,065,382.		
31 Total liabilities and net assets/fund balances	1,018,321.	1,065,382.		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,018,321.
2	Enter amount from Part I, line 27a	2	47,061.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,065,382.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,065,382.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 69,184.		82,116.	-12,932.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-12,932.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-12,932.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	139,189.	960,756.	.144874
2009	68,858.	608,257.	.113205
2008	70,711.	552,891.	.127893
2007	59,465.	493,427.	.120514
2006	75,620.	432,702.	.174762

2 Total of line 1, column (d)	2	.681248
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.136250
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	1,078,552.
5 Multiply line 4 by line 3	5	146,953.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	80.
7 Add lines 5 and 6	7	147,033.
8 Enter qualifying distributions from Part XII, line 4	8	99,778.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	160.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	160.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	160.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	235.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	235.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	75.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 75. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.WILEYFOUNDATION.ORG	X		
14	The books are in care of ► D. WILEY Telephone no. ► 201-748-6783 Located at ► WILEY & SONS, 111 RIVER STREET, HOBOKEN, NJ ZIP+4 ► 07030			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year			<input type="checkbox"/>
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		Yes	No
		15	N/A	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

	5b	X
	6b	X
	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	773,208.
b Average of monthly cash balances	1b	321,769.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,094,977.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,094,977.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,425.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,078,552.
6 Minimum investment return. Enter 5% of line 5	6	53,928.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	53,928.
2a Tax on investment income for 2011 from Part VI, line 5	2a	160.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	160.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	53,768.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	53,768.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,768.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	99,778.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,778.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	99,778.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				53,768.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	54,393.			
b From 2007	35,216.			
c From 2008	43,248.			
d From 2009	38,451.			
e From 2010	91,155.			
f Total of lines 3a through e	262,463.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 99,778.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				53,768.
e Remaining amount distributed out of corpus	46,010.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	308,473.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	54,393.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	254,080.			
10 Analysis of line 9:				
a Excess from 2007	35,216.			
b Excess from 2008	43,248.			
c Excess from 2009	38,451.			
d Excess from 2010	91,155.			
e Excess from 2011	46,010.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities.					
3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE ATTACHMENT B

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT B

c Any submission deadlines:

SEE ATTACHMENT B

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT B

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
WILLIAM PATERSON UNIVERSITY FOUNDATION 300 POMPTON ROAD WAYNE, NJ 07470	NONE	501(C)(3)	TO SUPPORT WILLIAM PATTERSON UNIVERSITY	50,000.
PROF. MICHAEL WAGNER 1536 SILVERLEAF LANE CONCORD, CA 94521	NONE	N/A	GLOVER-KLINGMAN PRIZE WINNER	500.
PROF. PATRICK JAILLET 15 DIANA LANE LEXINGTON, MA 02421	NONE	N/A	GLOVER-KLINGMAN PRIZE WINNER	500.
JAMES A. SPUDICH STANFORD UNIVERSITY SCHOOL OF MEDICINE, BECKMAN CENTER B405 STANFORD, CA 94305	NONE	N/A	2012 WILEY PRIZE AWARD	11,667.
DR. RON VALE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, 600 16TH STREET SAN FRANCISCO, CA 94158	NONE	N/A	2012 WILEY PRIZE AWARD	11,667.
Total SEE CONTINUATION SHEET(S) ▶ 3a				86,001.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DR. MICHAEL SHEETZ COLUMBIA UNIVERSITY, 713 FAIRCHILD CENTER, MC 2408 NEW YORK, NY 10027	NONE	N/A	2012 WILEY PRIZE AWARD	11,667.
Total from continuation sheets				11,667.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

THE WILEY FOUNDATION, INC.
C/O D. WILEY WILEY & SONS

Employer identification number

13-4163744

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

-
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization THE WILEY FOUNDATION, INC. C/O D. WILEY WILEY & SONS	Employer identification number 13-4163744
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN WILEY & SONS, INC. 111 RIVER ST. HOBOKEN, NJ 07030	\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization THE WILEY FOUNDATION, INC. C/O D. WILEY WILEY & SONS	Employer identification number 13-4163744
---	---

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____
	<div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div> <div style="border-bottom: 1px solid black; margin-bottom: 2px;"> </div>	\$ _____	_____

Name of organization THE WILEY FOUNDATION, INC. C/O D. WILEY WILEY & SONS	Employer identification number 13-4163744
---	---

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held									
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>			
(e) Transfer of gift												
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee										
	<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>			
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	<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>				<table border="1" style="width:100%; border-collapse: collapse;"> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> <tr><td style="height: 15px;"> </td></tr> </table>			
(e) Transfer of gift												
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee										

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN	310.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	310.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ROCHDALE INVESTMENTS	13,858.	0.	13,858.
TOTAL TO FM 990-PF, PART I, LN 4	13,858.	0.	13,858.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	794.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	794.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,956.	0.		1,956.
TO FORM 990-PF, PG 1, LN 16B	1,956.	0.		1,956.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	6,191.	6,191.		0.
TO FORM 990-PF, PG 1, LN 16C	6,191.	6,191.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
RECEPTION	7,318.	0.		7,318.
MISCELLANEOUS EXPENSE	37.	0.		37.
TO FORM 990-PF, PG 1, LN 23	7,605.	0.		7,605.

FORM 990-PF	CORPORATE BONDS		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS - SEE ATTACHMENT A	108,011.	108,011.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	108,011.	108,011.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EQUITY SECURITIES - SEE ATTACHMENT A	FMV	403,890.	403,890.
EXCHANGE TRADED FUNDS - FIXED INCOME - SEE ATTACHMENT A	FMV	75,304.	75,304.
MUTUAL FUNDS - INTERNATIONAL STOCKS - SEE ATTACHMENT A	FMV	28,489.	28,489.
ROCHDALE INVESTMENT EMERGING MARKETS - MUTUAL FUND - SEE ATTACHMENT A	FMV	19,175.	19,175.

ROCHDALE INVESTMENT FIXED INCOME -	FMV		
MUTUAL FUND - SEE ATTACHMENT A		136,526.	136,526.
INVESTMENT CASH AND MONEY MARKET	FMV		
FUND - SEE ATTACHMENT A		16,856.	16,856.
TOTAL TO FORM 990-PF, PART II, LINE 13		680,240.	680,240.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DEBORAH E. WILEY JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	CHAIRMAN/PRESIDENT 1.00	0.	0.	0.
PROF. GUENTER BLOBEL JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	VICE PRESIDENT 1.00	0.	0.	0.
DENISE GIGLIO JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	SECRETARY/TREASURER 1.00	0.	0.	0.
DR. PATRICK KELLY JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	DIRECTOR 1.00	0.	0.	0.
KAYE PACE JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	DIRECTOR 1.00	0.	0.	0.
MIKE DAVIS (STM/UK) JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	DIRECTOR 1.00	0.	0.	0.
ELIZABETH COX JOHN WILEY & SONS 111 RIVER STREET HOBOKEN, NJ 07030	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Close

100%

Fid | Acc.

Q-1 Rcpct

Rochdale Investment Management
 PORTFOLIO APPRAISAL
 THE WILEY FOUNDATION, INC
 xxx-xxx291
 April 30, 2012

Quantity	Security	Unit Cost	Total Cost	Price	Market Value	Pct Assets	Unit Income	Annual Income	Cur. Yield
COMMON STOCK - US DOLLARS									
<i>Large Cap</i>									
30	3M CO COM (mmr)	89.48	2,883.85	89.36	2,680.80	0.33	2,390	70.80	2.6
70	ACCENTURE PLC IRELAND SHS CLASS A (ecn)	80.33	4,223.19	64.95	4,546.50	0.56	1,350	84.50	2.1
50	AMERICAN EXPRESS CO COM (exp)	54.88	2,744.06	60.21	3,010.50	0.37	0.800	40.00	1.3
4	APPLE INC COM (appl)	572.72	2,290.88	583.98	2,335.92	0.29	10.600	42.40	1.8
80	ASML HOLDING N V NY REG SHS (asm)	48.25	3,850.90	60.99	4,079.20	0.50	0.504	40.30	1.0
1*0	BROADCOM CORP CL A (brcm)	38.27	4,210.14	36.60	4,026.00	0.49	0.400	44.00	1.1
50	CAMERON INTERNATIONAL CORP COM (cam)	54.19	2,709.33	51.26	2,582.50	0.31	0.000	0.00	0.0
110	COMCAST CORP NEW CL A (cmca)	27.55	3,030.00	30.35	3,337.95	0.41	0.850	71.50	2.1
50	COSTCO WHSL CORP NEW COM (cost)	82.51	4,125.57	88.18	4,409.00	0.54	0.960	48.00	1.1
80	COVIDIEN PLC SHS (cov)	49.63	3,970.27	55.23	4,418.40	0.54	0.900	72.00	1.6
180	CBX CORP COM (cax)	21.96	3,953.89	22.29	4,012.20	0.49	0.480	88.40	2.2
50	CVS CAREMARK CORPORATION COM (cvs)	42.86	3,657.07	44.62	4,015.60	0.46	0.650	58.50	1.5
50	DAVITA INC COM (dva)	79.27	3,963.52	88.58	4,429.00	0.54	0.000	0.00	0.0
60	DIRECTV COM CL A (dtrv)	46.87	2,800.45	49.28	2,958.80	0.36	0.000	0.00	0.0
100	DISNEY WALT CO COM DISNEY (dis)	38.81	3,681.34	43.11	4,311.00	0.53	0.800	60.00	1.4
140	E M C CORP MASS COM (emc)	28.48	3,987.78	28.21	3,949.40	0.48	0.000	0.00	0.0
80	EBAY INC COM (ebay)	36.29	2,903.12	41.02	3,281.60	0.40	0.000	0.00	0.0
40	EDWARDS LIFESCIENCES CORP COM (ew)	75.23	3,009.08	82.97	3,318.80	0.41	0.000	0.00	0.0
10	GOOGLE INC CL A (goog)	589.49	5,894.91	604.85	6,048.50	0.74	0.000	0.00	0.0
50	HEINZ H J CO COM (hiz)	53.03	2,851.38	53.31	2,685.50	0.33	1.920	95.00	3.8
80	HOME DEPOT INC COM (hd)	46.03	2,781.80	51.79	3,107.40	0.38	1.160	89.80	2.2
20	INTERNATIONAL BUSINESS MACHS COM (ibm)	201.03	4,020.80	207.08	4,141.80	0.51	3.000	60.00	1.4
110	INTL PAPER CO COM (ip)	36.13	3,874.48	33.31	3,684.10	0.45	1.050	115.50	3.2
120	JOHNSON CTLS INC COM (jci)	32.19	3,882.41	31.97	3,838.40	0.47	0.720	86.40	2.3
130	JPMORGAN CHASE & CO COM (jpm)	38.12	4,855.18	42.98	5,587.40	0.69	1.200	158.00	2.8
70	LAM RESEARCH CORP COM (lrc)	43.82	3,087.23	41.67	2,918.00	0.38	0.600	0.00	0.0
30	M & T BK CORP COM (mtb)	88.11	2,843.35	86.27	2,588.10	0.32	2.800	84.00	3.2
40	MEAD JOHNSON NUTRITION CO COM (mjn)	74.70	2,988.19	85.58	3,422.40	0.42	1.200	48.00	1.4
150	METLIFE INC COM (met)	38.98	5,844.54	38.03	5,404.50	0.66	0.740	111.00	2.1
80	OMNICOM GROUP INC COM (omc)	49.52	3,961.40	51.31	4,104.80	0.50	1.200	96.00	2.3
140	ORACLE CORP COM (orcl)	27.55	3,857.03	29.40	4,118.00	0.51	0.240	33.80	0.8
40	PARKER HANNIFIN CORP COM (ph)	82.58	3,303.17	87.89	3,507.80	0.43	1.560	82.40	1.8
70	PEPSICO INC COM (pep)	86.57	4,888.99	86.00	4,820.00	0.57	2.080	144.20	3.1
80	SNAP ON INC COM (sna)	62.28	3,735.82	62.54	3,752.40	0.46	1.360	81.80	2.2
70	ST JUDE MED INC COM (stj)	43.81	3,052.98	38.72	2,710.40	0.33	0.920	64.40	2.4
50	THERMO FISHER SCIENTIFIC INC COM (tmo)	56.37	2,818.68	55.65	2,782.50	0.34	0.520	26.00	0.9
60	TRAVELERS COMPANIES INC COM (trv)	61.14	3,668.67	64.32	3,859.20	0.47	1.640	98.40	2.5
40	VARIAN MED SYS INC COM (var)	85.89	2,835.79	63.42	2,536.80	0.31	0.000	0.00	0.0
30	VISA INC COM CL A (v)	118.05	3,559.38	122.98	3,889.40	0.45	0.880	26.40	0.7
130	WELLS FARGO & CO NEW COM (wfc)	30.85	4,010.44	33.42	4,343.95	0.53	0.880	114.40	2.6
80	YUM BRANDS INC COM (yum)	83.05	3,782.89	72.73	4,383.80	0.54	1.140	68.40	1.6
			147,921.31		153,451.02	18.83		2,370.70	1.5
<i>High Dividend Income</i>									
540	AT&T INC COM (t)	30.26	16,343.07	32.91	17,771.40	2.18	1.780	950.40	5.3
500	ATMOS ENERGY CORP COM (ato)	34.35	17,174.44	32.58	16,290.00	2.00	1.380	690.00	4.2
310	DOMINION RES INC VA NEW COM (d)	50.91	15,781.57	52.19	16,178.90	1.99	2.110	854.10	4.0
370	FIRSTENERGY CORP COM (fe)	43.95	18,260.40	46.82	17,323.40	2.13	2.200	814.00	4.7
330	HEALTH CARE REIT INC COM (hcn)	48.98	15,964.66	58.70	18,709.35	2.30	2.960	978.80	5.2
250	KIMBERLY CLARK CORP COM (kmb)	64.89	16,223.30	78.47	19,817.50	2.41	2.960	740.00	3.8
450	KRAFT FOODS INC CL A (kft)	34.83	15,583.49	39.87	17,941.50	2.20	1.180	522.00	2.9
490	LIBERTY PPTY TR SH BEN INT (lty)	31.80	15,583.11	38.45	17,880.50	2.18	1.900	931.00	5.2
800	NATIONAL RETAIL PRTYS INC COM (nmn)	26.86	18,115.73	27.38	18,428.00	2.02	1.540	824.00	5.6
448	NORTHEAST UTILS COM (neu)	34.98	15,604.67	36.77	16,399.42	2.01	1.372	811.91	3.7
340	PROGRESS ENERGY INC COM (peg)	47.37	16,105.81	53.22	18,094.80	2.22	2.480	843.20	4.7
360	SCANA CORP NEW COM (scg)	44.16	15,895.91	46.12	16,603.20	2.04	1.980	712.80	4.3
480	UIL HLDG CORP COM (uil)	33.49	16,075.72	34.37	16,497.80	2.02	1.728	829.44	5.0
420	VERIZON COMMUNICATIONS INC COM (vz)	37.31	15,669.63	40.38	16,959.80	2.08	2.000	840.00	5.0
580	WASHINGTON REAL ESTATE INVT TR SH BEN INT (wre)	27.19	15,769.52	23.55	17,139.00	2.10	1.735	1,008.30	5.8
610	XCEL ENERGY INC COM (xel)	25.93	15,817.86	27.06	16,508.60	2.03	1.080	858.80	4.0
			255,968.89		276,320.77	33.91		12,704.75	4.6
			403,890.00		429,771.78	52.74		15,075.46	3.5
EXCHANGE TRADED FUNDS - US DOLLARS									
<i>Fixed Income Tax</i>									
719	ISHARES TR BARCLYS 1-3YR CR (csj)	104.73	75,303.98	104.85	75,387.15	0.25	1.882	1,353.16	1.8
			75,303.98		75,387.15	0.25		1,353.16	1.8
MUTUAL FUNDS - US DOLLARS									
<i>International</i>									
585 09	FEDERATED EQUITY FDS INTRCONTNTAL A (fimax)	47.87	28,488.77	47.17	28,070.39	3.44	0.475	282.55	1.0
			28,488.77		28,070.39	3.44		282.55	1.0

ROCHDALE FUNDS - US DOLLARS

International

065 192 ROCHDALE INVT TR EMRGN MKTS PTF (771193889)	28 83	19,174.85	28 31	19,498 78	2 39	0 000	0 00	0.0
		<u>19,174 85</u>		<u>19,498 78</u>	<u>2 39</u>		<u>0 00</u>	<u>0 0</u>

Fixed Income Tax

5,125 789 ROCHDALE INVT TR FXD INCM OPPTN (771193877)	28 84	138,526 40	28 94	138,088 80	18 95	1 405	7,199 56	5.2
		<u>138,526 42</u>		<u>138,088 75</u>	<u>18 95</u>		<u>7,199 56</u>	<u>5.2</u>
		<u>155,701 07</u>		<u>157,585 53</u>	<u>19 34</u>		<u>7,199 56</u>	<u>4 6</u>

CORPORATE BONDS - US DOLLARS

Fixed Income Tax

20,000 BEST BUY INC (086516ak7) 3 750% Due 03-15-16	99 83	19,826 00	96 12	19,224 40	2 36	3 750	750 00	3 9
25,000 WHIRLPOOL CORP (963320ah6) 7 750% Due 07-15-16	114 87	26,718 50	115 67	28,891 50	3 55	7 750	1,937 50	6 7
30,000 ENTERGY CORP NEW (29364gpg8) 4 700% Due 01-15-17	105 11	31,531 70	104 88	31,465 20	3 86	4 700	1,410 00	4 5
25,000 FITTNEY BOWES INC GLOBL MTN (72447xac1) 5 750% Due 09-15-17	111 35	27,836 75	105 40	28,350 76	3 23	6 750	1,437 50	5 5
Accrued Interest				1,273 00	0 18			
		<u>108,010 95</u>		<u>107,204 85</u>	<u>13 16</u>		<u>5,535 00</u>	<u>5 2</u>

CASH AND EQUIVALENTS - US DOLLARS

Cash & Equivalents

ACCRUED DIVIDEND (drvacd)		793 98		793 98	0 10	0 000	0 00	0 0
GOVT OBUG TAX MANAGED SH (gotaxd)		16,061 86		16,061 86	1 97	0 010	1 61	0 0
		<u>16,855 84</u>		<u>16,855 84</u>	<u>2 07</u>		<u>1 61</u>	<u>0 0</u>

TOTAL PORTFOLIO

	<u>788,250 81</u>	<u>814,875 55</u>	<u>100.00</u>	<u>29,447 32</u>	<u>3 6</u>
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If \$0.00 appears in the unit cost column, please provide us with the date of purchase and total cost information (average cost basis for mutual funds) to better reflect your unrealized gains and losses.

Trade corrections, interest/dividend adjustments and account activity after the creation date of this report may alter the Total Portfolio Value of your account. Please review your custodian's monthly statements, visit www.rochdale.com or contact your Portfolio Manager if you have questions about any changes.

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ETW # 13-4163744
FORM. 90PF
FYE: 4/30/2012

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 - ii. What are the unique aspects of the nominee's work that set it apart from other notable advances in the biomedical sciences?
 - iii. Who in your opinion could share in this award?
3. Please provide the following additional information:
 - a. a brief, one-page biography—including past positions and awards or recognition given each nominee
 - b. on an additional page, a list of six (6) peer-reviewed journal references that substantiate the statements in your nomination. DO NOT send reprints.
4. At least two (2) supporting letters from Colleagues familiar with the nominee's work. These can be either included with the nomination package or mailed separately.
5. The nominee's Curriculum Vitae
6. Submit one (1) ORIGINAL and three (3) COPIES of the above requested information.
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