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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2011

For calendar year 2011, or tax year beginning 05-01-2011 , and ending 04-30-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☒ Address change

☐ Name change

Name of foundation
STANLEY AND CATHERINE MAAS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
19 TURKEY HILL CIRCLE

Room/suite

City or town, state, and ZIP code
WESTPORT, CT 06880

A Employer identification number
11-2690167

B Telephone number (see page 10 of the instructions)
(203) 254-0516

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 2,594,576








J Accounting method

☐ Cash

☒ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	135			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	79,637	72,324		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	116,874			
	b Gross sales price for all assets on line 6a _____ 789,097				
	7 Capital gain net income (from Part IV, line 2)		116,874		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	196,646	189,198	0	
	13 Compensation of officers, directors, trustees, etc	0	0	0	0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	6,000	0	0	0
	c Other professional fees (attach schedule)	34,767	34,767	0	0
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	4,759	1,619	0	0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	43	0	0	0
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	45,569	36,386	0	0
	25 Contributions, gifts, grants paid.	110,000			110,000
	26 Total expenses and disbursements. Add lines 24 and 25	155,569	36,386	0	110,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	41,077			
	b Net investment income (if negative, enter -0-)		152,812		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	22,769	13,605	13,605
	2	Savings and temporary cash investments	96,801	93,805	93,805
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	358,695	 360,105	378,320
	b	Investments—corporate stock (attach schedule)	1,278,764	 1,292,606	1,589,481
	c	Investments—corporate bonds (attach schedule).	422,524	 478,398	518,594
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	14,990	 0	0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	 3,670	 771	 771
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,198,213	2,239,290	2,594,576
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	2,198,213	2,239,290	
	30	Total net assets or fund balances (see page 17 of the instructions)	2,198,213	2,239,290	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,198,213	2,239,290	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,198,213
2	Enter amount from Part I, line 27a	2	41,077
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	2,239,290
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,239,290

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	116,874
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	62,300	2,434,848	0 025587
2009	62,300	1,365,546	0 045623
2008	69,122	1,047,944	0 065960
2007	64,081	1,352,252	0 047388
2006	73,707	1,372,910	0 053687

2	Total of line 1, column (d).	2	0 238245
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047649
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	2,546,166
5	Multiply line 4 by line 3.	5	121,322
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,528
7	Add lines 5 and 6.	7	122,850
8	Enter qualifying distributions from Part XII, line 4.	8	110,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)








1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	3,056
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	3,056
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	3,056
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,400	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	2,400
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	10
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	666
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/>	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  N/A	13	Yes	
14	The books are in care of  CATHERINE NOLAN Telephone no  (203) 254-0516 Located at  19 TURKEY HILL CIRCLE WESTORT CT ZIP +4  06220			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.  Yes  No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. 	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?.  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.  Yes  No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHERINE NOLAN 19 TURKEY HILL CIRCLE WESTPORT, CT 06880	PRESIDENT 2 00	0	0	0
MATTHEW SPIEGEL 19 TURKEY HILL CIRCLE WESTPORT, CT 06880	TREASURER 0 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,566,703
b	Average of monthly cash balances.	1b	18,237
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,584,940
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,584,940
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	38,774
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,546,166
6	Minimum investment return. Enter 5% of line 5.	6	127,308

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	127,308
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	3,056
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,056
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	124,252
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	124,252
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	124,252

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	110,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	110,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	110,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2011			
a	Enter amount for 2010 only. 104,967			
b	Total for prior years 20____, 20____, 20____ 0			
3	Excess distributions carryover, if any, to 2011			
a	From 2006.			
b	From 2007.			
c	From 2008.			
d	From 2009.			
e	From 2010.			
f	Total of lines 3a through e. 0			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 110,000			
a	Applied to 2010, but not more than line 2a 104,967			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions) 0			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . . 0			
d	Applied to 2011 distributable amount. 5,033			
e	Remaining amount distributed out of corpus 0			
5	Excess distributions carryover applied to 2011 0			
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5 0			
b	Prior years' undistributed income Subtract line 4b from line 2b. 0			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. 0			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions 0			
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions 0			
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. 119,219			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). 0			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). 0			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a. 0			
10	Analysis of line 9			
a	Excess from 2007.			
b	Excess from 2008.			
c	Excess from 2009.			
d	Excess from 2010.			
e	Excess from 2011.			

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	110,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	79,637	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					116,874
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		79,637	116,874
13 Total. Add line 12, columns (b), (d), and (e). 13					196,511

[illegible]

Part XVII

1

(a)

2.

1

4.

Additional Data

Software ID:
Software Version:
EIN: 11-2690167
Name: STANLEY AND CATHERINE MAAS FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ABB LTD SPON ADR	P	2009-03-26	2012-03-01
ABBOTT LABS	P	2009-05-20	2012-04-11
ABBOTT LABS	P	2009-05-20	2012-03-01
ACCENTURE PLC IRELAND CIA	P	2008-10-07	2012-03-01
ACCENTURE PLC IRELAND CL A	P	2008-10-07	2011-07-14
ACCENTURE PLC IRELAND CL A	P	2008-10-07	2011-07-06
ACCENTURE PLC IRELAND CL A	P	2008-10-07	2011-06-29
ACCENTURE PLC IRELAND CL A	P	2009-03-26	2012-03-01
ACE LTD CHF	P	2010-09-08	2012-03-01
AFLAC INC	P	2010-12-20	2012-03-01
AGCO CORP	P	2009-03-26	2011-12-15
AGCO CORP	P	2010-09-09	2011-12-15
AGILENT TECHNOLOGIES INC	P	2010-02-23	2011-11-02
AGILENT TECHNOLOGIES INC	P	2010-02-23	2012-03-01
AIR PROD & CHEMICAL INC	P	2010-04-14	2012-04-18
AIR PROD & CHEMICAL INC	P	2010-04-14	2012-03-01
AKAMAI TECHNOLOGIES INC	P	2009-02-18	2012-03-01
AKAMAI TECHNOLOGIES INC	P	2009-02-18	2011-11-02
AKAMAI TECHNOLOGIES INC	P	2009-02-18	2012-04-23
ALLERGAN INC	P	2010-04-09	2012-03-01
ALLIANZ FIXED INCOME SHARES SERIES C	P	2009-04-01	2011-08-16
ALLIANZ FIXED INCOME SHARES, SERIES M	P	2009-04-01	2011-08-16
ALLIANZ SE ADR	P	2010-05-21	2012-03-01
AI STOM ADR	P	2011-08-18	2012-03-01
ALTRIA GROUP INC	P	2011-09-19	2012-03-01
ALTRIA GROUP INC	P	2011-09-19	2012-04-04
ALTRIA GROUP INC	P	2011-09-28	2012-04-04
ALTRIA GROUP INC	P	2011-09-28	2012-04-11
AMAZON COM INC	P	2006-08-01	2011-07-14
AMAZON COM INC	P	2008-09-29	2011-10-04

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AMAZON COM INC	P	2011-02-07	2012-03-01
AMERICAN TOWER CORP SBI	P	2009-02-18	2012-03-01
AMERIPRISE FINANCIAL INC	P	2010-04-01	2012-03-01
AMGEN INC	P	2008-01-02	2011-10-04
AMGEN INC	P	2008-01-02	2011-07-14
AMGEN INC	P	2008-01-02	2011-06-17
AMGEN INC	P	2008-05-22	2011-11-08
AMGEN INC	P	2010-02-19	2011-11-08
ANADARKO PETROLEUM CORP	P	2010-05-20	2012-03-01
ANGLOGOLD ASHANTI LTD NEW SPON ADR	P	2008-03-18	2011-12-16
ANSYS INC	P	2009-02-18	2012-03-01
AON CORP **MERGER EFF 0412012**	P	2010-07-28	2011-05-18
AON CORP **MERGER EFF 04/2012**	P	2010-08-02	2011-05-18
APACHE CORP	P	2008-10-07	2012-03-01
APACHE CORP	P	2008-10-07	2011-08-31
APACHE CORP	P	2008-10-07	2012-03-21
APPLE INC	P	2009-03-26	2012-03-01
ASML HOLDING NV NY REG SHS NEW	P	2011-08-10	2012-03-01
ASTRAZENECA PLC SPON ADR	P	2010-01-11	2011-08-23
AT&T INC	P	2008-10-07	2012-03-01
AT&T INC	P	2008-10-07	2011-09-07
AT&T INC	P	2008-10-07	2012-02-08
AT&T INC	P	2008-10-07	2012-02-03
AUTODESK INC	P	2009-02-18	2011-11-02
AUTODESK INC	P	2009-02-18	2012-03-01
AXIS CAPITAL HOLDINGS LTD SHS	P	2009-10-22	2012-03-01
BANK OF AMER CORP	P	2010-05-14	2012-01-11
BANK OF AMER CORP	P	2010-05-19	2012-01-11
BANK OF AMER CORP	P	2010-06-09	2012-01-11
BANK OF AMER CORP	P	2010-07-14	2012-01-11

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
BANK OF AMER CORP SER 5 PREFERRED CLBL	P	2010-05-13	2012-03-09
BANK OF NEW YORK MELLON CORP	P	2008-10-07	2012-03-01
BANK OF NEW YORK MELLON CORP	P	2008-10-07	2011-06-08
BARCLAYS BANK PLC 8,125% SER 5 PREFERRED CLBL PAR VALUE - 25 00 USD	P	2011-03-09	2012-03-09
BARD C R INC	P	2009-02-18	2012-03-01
BARRICK GOLD CORP CAD	P	2008-09-16	2011-08-22
BARRICK GOLD CORP CAD	P	2009-02-27	2011-08-22
BECKMAN COULTER INC	P	2009-02-18	2011-06-30
BECKMAN COULTER INC	P	2009-03-03	2011-06-30
BECKMAN COULTER INC	P	2009-03-30	2011-06-30
BECTON DICKINSON & CO	P	2009-12-22	2012-01-04
BECTON DICKINSON & CO	P	2010-01-14	2012-01-04
BECTON DICKINSON & CO	P	2010-01-14	2012-01-11
BECTON DICKINSON & CO	P	2010-01-21	2012-01-11
BECTON DICKINSON & CO	P	2011-02-16	2012-01-11
BECTON DICKINSON & CO	P	2011-02-18	2012-01-11
BED BATH & BEYOND INC	P	2008-01-02	2011-06-17
BIO RAD LABORATORIES INC CL A	P	2009-02-18	2012-03-01
BIOGEN [DEC INC	P	2005-08-17	2011-08-23
BLACKROCK INC	P	2011-06-08	2012-03-01
BORG WARNER INC	P	2009-02-18	2012-03-01
BORG WARNER INC	P	2010-06-22	2012-03-01
BOSTON PROPERTIES INC	P	2009-02-18	2012-03-01
BROADCOM CORP CL A	P	2010-06-24	2012-03-01
CABOT OIL & GAS CORP	P	2010-05-17	2011-11-28
CABOT OIL & GAS CORP	P	2010-05-17	2011-11-02
CABOT OIL & GAS CORP	P	2010-10-28	2011-11-28
CAMECO CORP CANADA CAD	P	2008-09-16	2012-04-11
CAMECO CORP CANADA CAD	P	2008-09-16	2012-03-01
CAMECO CORP CANADA CAD	P	2009-03-30	2012-04-11

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CAMECO CORP CANADA CAD	P	2010-03-03	2012-04-11
CAMERON INTL CORP	P	2009-03-26	2012-03-01
CARNIVAL CORP NEW (PAIRED STOCK)	P	2009-09-25	2012-03-26
CARNIVAL CORP NEW (PAIRED STOCK)	P	2009-09-25	2012-03-01
CARNIVAL CORP NEW (PAIRED STOCK)	P	2010-10-29	2012-03-26
CARREFOUR SA SPON ADR	P	2009-09-25	2012-03-01
CATERPILLAR INC	P	2010-11-30	2012-03-01
CELGENE CORP	P	2010-04-09	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2007-02-09	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2007-05-24	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2007-12-20	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2008-09-10	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2008-10-13	2012-03-01
CENTRALS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	P	2008-10-22	2012-03-01
CERNER CORP	P	2009-03-26	2012-03-01
CHESAPEAKE ENERGY CORP OKLA	P	2009-02-18	2012-04-23
CHESAPEAKE ENERGY CORP OKLA	P	2009-02-18	2012-03-01
CHESAPEAKE ENERGY CORP OKLA	P	2009-02-18	2011-11-02
CHEVRON CORP	P	2008-06-26	2012-02-14
CHEVRON CORP	P	2008-06-26	2012-02-08
CHEVRON CORP	P	2008-06-26	2012-03-01
CHEVRON CORP	P	2011-08-12	2012-03-01
CISCO SYSTEMS INC	P	2005-10-19	2011-07-08
CISCO SYSTEMS INC	P	2005-10-28	2011-07-08
CISCO SYSTEMS INC	P	2008-07-16	2011-07-08
CNOOC LTD SPON ADR	P	2010-06-22	2012-03-01
COCA COLA CO COM	P	2005-07-25	2011-08-05
COCA COLA CO COM	P	2005-07-25	2011-05-26
COCA COLA CO COM	P	2005-07-25	2011-09-09
COGNIZANT TECH SOLUTIONS CRP	P	2009-03-26	2012-03-01

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
COGNIZANT TECH SOLUTIONS CRP	P	2009-03-26	2011-08-26
COHEN & STEERS INFRASTRUCTURE FUND INC	P	2009-09-22	2012-03-09
COMCAST CORP NEW SPECIAL CL A	P	2011-07-14	2012-03-01
COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR	P	2009-03-26	2012-01-23
COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR	P	2009-03-26	2012-03-01
CORNING INC	P	2010-07-09	2011-06-21
COVANCE INC	P	2005-07-26	2012-03-01
CSX CORPORATION	P	2009-02-18	2012-03-01
CSX CORPORATION	P	2009-02-18	2011-11-02
CUMMINS INC	P	2009-02-18	2012-03-01
CUMMINS INC	P	2009-02-18	2011-11-02
CUMMINS INC	P	2009-02-18	2012-03-22
CUMMINS INC	P	2009-02-18	2012-03-12
CUMMINS INC	P	2011-09-09	2012-01-13
CUMMINS INC	P	2011-10-04	2012-01-13
CVS CAREMARK CORP	P	2008-10-22	2011-06-17
CVS CAREMARK CORP	P	2008-10-28	2011-06-17
CVS CAREMARK CORP	P	2008-11-06	2011-06-17
CVS CAREMARK CORP	P	2008-11-06	2012-01-04
CVS CAREMARK CORP	P	2009-02-27	2012-01-04
CVS CAREMARK CORP	P	2012-01-04	2012-03-01
D R HORTON INC	P	2009-02-18	2011-11-02
D R HORTON INC	P	2009-02-18	2012-04-23
D R NORTON INC	P	2009-02-18	2012-03-01
DAIWA HOUSE IND LTD ADR JAPAN ADR	P	2008-03-05	2011-08-10
DAIWA HOUSE IND LTD ADR JAPAN ADR	P	2008-08-28	2011-08-10
DANAHER CORP	P	2009-03-26	2012-03-01
DANAHER CORP	P	2011-03-02	2012-03-01
DARDEN RESTAURANTS INC	P	2009-02-18	2012-04-23
DARDEN RESTAURANTS INC	P	2009-02-16	2012-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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DARDEN RESTAURANTS INC	P	2009-02-18	2011-11-02
DEERE AND CO	P	2011-12-21	2012-03-01
DIAGEO PLC NEW GB SPON ADR	P	2008-10-07	2012-03-01
DIAGEO PLC NEW GB SPON ADR	P	2011-05-03	2012-03-01
DOVER CORP	P	2009-11-09	2012-03-01
DOVER CORP	P	2009-11-09	2012-01-10
DU PONT DE NMOURS	P	2011-08-12	2012-03-01
EASTMAN CHEMICAL CO	P	2009-02-18	2012-03-01
EASTMAN CHEMICAL CO	P	2009-02-18	2011-11-02
EATON VANCE CORP NON VTG	P	2005-07-26	2012-03-01
EATON VANCE CORP NON VTG	P	2005-07-26	2011-11-02
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SRI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST S81	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EATON VANCE SENIOR INCOME TRUST SBI	P	2010-06-11	2012-04-03
EBAY INC	P	2007-03-14	2012-01-04
EBAY INC	P	2011-03-17	2012-03-01
EMBRAER S A SPON ADR	P	2009-11-03	2011-08-01
EMBRAER S A SPON ADR	P	2009-11-03	2011-07-29

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EMBRAER S A SPON ADR	P	2010-05-14	2011-08-01
[MC CORP MASS	P	2010-09-30	2012-03-01
EQUINIX INC NEW	P	2011-08-29	2012-03-01
EXPRESS SCRIPTS INC `MERGER EFF 04/2012	P	2009-02-18	2012-03-01
EXPRESS SCRIPTS INC *MERGER EFF 0412012'	P	2009-03-26	2012-03-01
EXXON MOBIL CORP	P	2006-09-19	2012-03-01
EXXON MOBIL CORP	P	2006-10-23	2012-03-01
FEDEX CORP	P	2010-08-10	2011-05-03
FEDEX CORP	P	2010-08-18	2011-05-03
FHLMC PL A97047 04 5000 DUE 02101/41 FACTOR	P	2011-02-11	2012-04-16
FHLMC PL A97618 04 5000 DUE 03101141 FACTOR	P	2011-11-10	2012-04-05
FINMECCANICA SPA ADR	P	2010-05-13	2011-10-12
FINMECCANICA SPA ADR	P	2010-05-14	2011-10-12
FINMECCANICA SPA ADR	P	2010-05-19	2011-10-12
FIRST TR HIGH INCOME LONG I SHORT FD COM	P	2012-01-05	2012-01-25
FIRST TR HIGH INCOME LONG I SHORT FD COM	P	2012-01-05	2012-01-26
FIRST TR HIGH INCOME LONG / SHORT FD COM	P	2012-01-05	2012-01-26
FIRST TR HIGH INCOME LONG 1 SHORT FD CQM	P	2012-01-05	2012-01-26
FIRST TR HIGH INCOME LONG I SHORT FD COM	P	2012-01-05	2012-01-26
FIRST TR HIGH INCOME LONG 1 SHORT FD COM	P	2012-01-05	2012-01-26
FIRST TR HIGH INCOME LONG 1 SHORT FD COM	P	2012-01-05	2012-01-25
FIRST TR HIGH INCOME LONG 1 SHORT FD COM	P	2012-01-05	2012-01-25
FIRST TR HIGH INCOME LONG / SHORT FD COM	P	2012-01-05	2012-01-25
FLEET CAP TRUST VI17 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VII 7 2000% DUE 03115132 CALL03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VII 7 2000% DUE 03/15/32 CALL03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VIII 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2011-05-23

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FLEET CAP TRUST VII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2012-03-09
FLEET CAP TRUST VIA 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2012-03-02
FLEET CAP TRUST VIII 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2012-02-24
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2012-02-24
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07	P	2010-05-28	2012-02-24
FLEET CAP TRUST VIII 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2012-02-24
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-09
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-08
FLEET CAP TRUST VIII 7 2600% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-08
FLEET CAP TRUST VLL 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-08
FLEET CAP TRUST V817 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-08
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-07
FLEET CAP TRUST VII 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-06
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-06
FLEET CAP TRUST VII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-06
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-06-06
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-01
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-06-01
FLEET CAP TRUST VII 7 2000% DUE 03/15/32 CALL 03/08/07	P	2010-05-28	2011-06-01
FLEET CAP TRUST VIII 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2011-05-31
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-31
FLEET CAP TRUST VII 7 2000/4 DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-31
FLEET CAP TRUST VIN 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-05-27
FLEET CAP TRUST VII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-05-28	2011-05-27
FLEET CAP TRUST V817 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-27
FLEET CAP TRUST VIA 7 2000% DUE 03115/32 CALL 03/08/07@25 00	P	2010-05-28	2011-05-27
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-05-28	2011-05-25
FLEET CAP TRUST VAI 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIA 7,2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 20D0% DUE 03/15/32 CALL 03/08/07	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIA 7 2000% DUE 03/15/32 CALL 03/08/07	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIA 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-09-22	2011-05-20
FLEET CAP TRUST VIII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-09-22	2011-05-20
FLEET CAP TRUST VIL 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-20
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-18
FLEET CAP TRUST VIA 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIA 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VII 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-23
FLEET CAP TRUST VIII 7 2000% DUE 03/15132 CALL 03/08/07@25 00	P	2010-09-22	2011-05-20
FLEET CAP TRUST VIA 7 2000% DUE 03/15/32 CALL 03/08/07@25 00	P	2010-09-22	2011-05-20
FLEET CAP TRUST VIII 7 2000% DUE 03115132 CALL 03/08/07@25 00	P	2012-01-05	2012-03-09
FLOWERVE CORP	P	2009-03-26	2012-03-01
FLUOR CORP NEW	P	2011-03-29	2012-03-01
FNMA NTS 00,750 % DUE 121813 DTD 110110 FC	P	2011-01-11	2011-08-16
FNMA PL AB1389 04 5000 DUE 08/01140 FACTOR	P	2011-02-02	2012-04-16
FNMA PL AE0609 05 5000 DUE 04101137 FACTOR	P	2012-03-07	2012-04-16
FNMA PL AE0609 05 5000 DUE 04/01/37 FACTOR	P	2012-03-07	2012-04-05
FNMA PL A10620 04 5000 DUE 05101141 FACTOR	P	2012-01-18	2012-04-16
FNMA PL A11888 04 5000 DUE 05/01/41 FACTOR	P	2011-10-07	2012-04-16
FNMA PL A14815 04 5000 DUE 06/01/41 FACTOR	P	2012-03-08	2012-04-16
FNMA PL AL0662 05 5000 DUE 01101/38 FACTOR	P	2012-01-18	2012-04-05
FNMA PL 190391 06 0000 RATE 6 00% DUE 09/01/38	P	2009-04-01	2011-08-16

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FNMA PL 190391 06 0000 RATE 6 00% DUE 09/01/38	P	2009-06-25	2011-08-16
FNMA PL 727098 05 0000 DUE 08/01/33 FACTOR	P	2012-02-08	2012-04-16
FNMA PL 735676 05 0000 DUE 07101/35 FACTOR	P	2011-10-07	2012-04-16
FNMA PL 735676 05 0000 DUE 07/01135 FACTOR	P	2011-10-07	2012-04-05
FNMA PL 848647 05 5000 DUE 01101136 FACTOR	P	2006-03-17	2012-04-16
FNMA PL 889982 05 5000 DUE 11101/38 FACTOR	P	2009-06-25	2012-04-16
GATX CORP	P	2009-02-18	2012-03-01
GATX CORP	P	2009-02-18	2011-11-02
GENL DYNAMICS CORP	P	2009-02-18	2012-03-01
GENL ELECTRIC CO	P	2008-07-18	2011-10-04
GENL ELECTRIC CO	P	2009-02-27	2011-10-04
GENL MILLS INC	P	2009-10-19	2012-03-01
GLAXO SMITHKUNE PLC ADR	P	2010-01-08	2011-05-31
GLAXO SMITHKUNE PLC ADR	P	2010-01-08	2012-04-30
GLAXO SMITHKLINE PLC ADR	P	2010-01-08	2011-11-08
GLAXO SMITHKLINE PLC ADR	P	2010-01-14	2012-04-30
GLOBAL PAYMENTS INC	P	2005-11-08	2012-03-01
GLOBAL PAYMENTS INC	P	2005-11-23	2012-03-01
GOLD FIELDS LTD SPON ADR	P	2007-11-07	2011-10-05
GOLD FIELDS LTD SPON ADR	P	2008-02-01	2011-10-05
GOLD FIELDS LTD SPON ADR	P	2008-02-05	2011-10-05
GOLDMAN SACHS GROUP INC	P	2008-10-07	2011-08-31
GOLDMAN SACHS GROUP INC	P	2008-10-07	2012-03-21
GOLDMAN SACHS GROUP INC	P	2008-10-07	2012-03-01
GOODRICH CORP	P	2010-05-17	2012-03-01
GOOGLE INC CL A	P	2008-09-23	2012-03-01
HALUBURTON CO (HOLDING COMPANY)	P	2009-03-26	2011-12-06
HALLIBURTON CO (HOLDING COMPANY)	P	2009-03-26	2012-03-01
HALLIBURTON CO (HOLDING COMPANY)	P	2011-08-19	2011-11-08
HARRIS CORP DELA	P	2009-02-18	2012-01-19

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HARRIS CORP DELA	P	2009-03-03	2012-01-19
HARRIS CORP DELA	P	2009-03-30	2012-01-19
HARRIS CORP DELA	P	2010-02-16	2012-01-19
HARSCO CORP	P	2005-07-26	2012-03-01
HARSCO CORP	P	2005-07-26	2011-11-02
HASBRO INC	P	2009-02-06	2012-01-19
HASBRO INC	P	2009-06-10	2012-01-19
HASBRO INC	P	2009-06-10	2012-02-01
HASBRO INC	P	2010-02-16	2012-02-01
HASBRO INC	P	2011-06-01	2012-02-22
HASBRO INC	P	2011-06-01	2012-02-01
HASBRO INC	P	2011-08-17	2012-02-22
HASBRO INC	P	2011-08-18	2012-02-22
HASBRO INC	P	2011-09-14	2012-02-22
HELIX ENERGY SOLUTIONS GROUP INC	P	2009-02-18	2011-11-02
HELIX ENERGY SOLUTIONS GROUP INC	P	2009-02-18	2012-04-23
HELIX ENERGY SOLUTIONS GROUP INC	P	2009-02-18	2012-03-01
HESS CORP	P	2008-10-07	2011-06-08
HESS CORP	P	2009-02-06	2011-06-08
HESS CORP	P	2009-03-27	2011-06-08
HESS CORP	P	2009-03-27	2011-06-15
HESS CORP	P	2009-07-09	2011-06-15
HESS CORP	P	2010-02-19	2011-06-15
HESS CORP	P	2011-01-18	2011-08-12
HOME DEPOT INC	P	2008-01-02	2012-01-04
HOME RETAIL GROUP PLC SPON ADR	P	2011-01-07	2012-01-27
HOME RETAIL GROUP PLC SPON ADR	P	2011-01-10	2012-01-27
HONEYWELL INTL INC	P	2010-05-26	2012-03-01
HUMAN GENOME SCIENCES INC	P	2011-02-28	2011-11-08
HUMAN GENOME SCIENCES INC	P	2011-07-26	2011-11-08

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INTEGRYS ENERGY GROUP INC	P	2009-02-18	2012-03-01
INTEL CORP	P	2008-04-25	2012-03-01
INTEL CORP	P	2008-04-25	2011-09-07
INTERCONTINENTALEXCHANGE INC	P	2009-02-18	2012-03-01
INTL BUSINESS MACH	P	2008-10-07	2011-09-14
INTL BUSINESS MACH	P	2008-10-07	2011-08-10
INTL BUSINESS MACH	P	2008-10-07	2011-12-21
INTL BUSINESS MACH	P	2009-02-06	2012-03-01
INTL BUSINESS MACH	P	2009-03-26	2012-03-01
INTL BUSINESS MACH	P	2010-06-23	2012-03-01
INTL GAME TECHNOLOGY	P	2009-02-18	2012-03-01
INTL RECTIFIER CORP	P	2009-02-18	2012-03-01
INTL RECTIFIER CORP	P	2009-02-18	2011-11-02
INTUIT	P	2009-02-18	2011-11-02
INTUIT	P	2009-02-18	2012-03-23
INTUIT	P	2009-02-18	2012-03-01
JACOBS ENGINEERING GROUP INC	P	2009-03-26	2011-10-03
JACOBS ENGINEERING GROUP INC	P	2010-10-08	2011-10-03
JEFFERIES GROUP INC NEW	P	2005-07-26	2012-03-01
JOHNSON & JOHNSON COM	P	2005-08-15	2011-09-09
JOHNSON & JOHNSON COM	P	2005-08-15	2011-07-29
JOHNSON & JOHNSON COM	P	2008-10-07	2012-03-01
JOHNSON CONTROLS INC	P	2010-05-26	2012-03-01
JOY GLOBAL INC	P	2009-02-18	2012-03-01
JOY GLOBAL INC	P	2009-02-18	2011-11-02
JPMORGAN CHASE & CO	P	2008-11-12	2012-03-01
KAO CORP REPSTG 10 SHS COM SPON ADR	P	2009-04-23	2011-08-24
KAO CORP REPSTG 10 SHS COM SPON ADR	P	2009-04-23	2011-08-23
KAO CORP REPSTG 10 SHS COM SPON ADR	P	2009-04-23	2011-08-16
KAO CORP REPSTG 10 SHS COM SPON ADR	P	2009-04-23	2011-08-10

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KAO CORP REPSTG 10 SHS COM SPUN ADR	P	2009-05-18	2011-08-24
KAO CORP REPSTG 10 SHS COM SPON ADR	P	2009-05-18	2011-08-24
KAZAKHGOLD GROUP LTD "NAME CHANGE EFF 0812011" SPON GBP	P	2011-07-22	2011-07-25
KEYCORP NEW	P	2012-01-25	2012-04-23
KINROSS GOLD CORP NEWCAD	P	2009-07-07	2011-08-30
KINROSS GOLD CORP NEWCAD	P	2009-07-09	2011-08-30
LAUDER ESTEE COS CL A	P	2011-05-06	2012-03-01
LIBERTY MEDIA START "EXCHANGE EXCHANGE EFF 09/2011 * * SER A	P	2006-01-03	2011-06-01
LIFE TECHNOLOGIES CORP	P	2011-06-21	2011-10-14
LOCKHEED MARTIN CORP	P	2005-11-22	2012-03-07
LOCKHEED MARTIN CORP	P	2005-11-22	2012-03-01
LOCKHEED MARTIN CORP	P	2007-09-27	2012-03-07
LOCKHEED MARTIN CORP	P	2008-05-01	2012-03-07
LOCKHEED MARTIN CORP	P	2008-05-09	2012-03-07
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	P	2010-06-16	2011-05-06
LORILLARD INC	P	2012-02-29	2012-03-01
MASTERCARD INC CL A	P	2010-05-14	2011-09-19
MASTERCARD INC CL A	P	2010-05-14	2011-11-02
MASTERCARD INC CL A	P	2010-05-14	2011-10-12
MASTERCARD INC CL A	P	2010-05-19	2011-11-02
MASTERCARD INC CL A	P	2010-05-20	2011-11-02
MCDONALDS CORP	P	2009-03-26	2012-03-01
MCDONALDS CORP	P	2010-10-26	2011-08-24
MCDONALDS CORP	P	2010-10-26	2011-08-11
MCDONALDS CORP	P	2010-11-17	2011-09-14
MCDONALDS CORP	P	2010-11-17	2011-08-24
MCDONALDS CORP	P	2011-04-06	2011-09-28
MCDONALDS CORP	P	2011-04-06	2011-09-14
MCDONALDSCORP	P	2011-04-27	2011-10-12
MCDONALDS CORP	P	2011-04-27	2011-09-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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MEDTRONIC INC	P	2009-04-29	2012-03-01
MEDTRONIC INC	P	2009-04-29	2012-04-11
MEDTRONIC INC	P	2009-05-06	2012-04-11
METLIFE INC	P	2008-10-07	2012-03-01
MICROSOFT CORP	P	2005-10-28	2012-03-01
MICROSOFT CORP	P	2009-03-26	2012-03-01
MS&AD INS GROUP HLDGS AOR	P	2007-11-08	2012-02-27
MS&AD INS GROUP HLDGS ADR	P	2007-11-08	2012-03-01
MS&AD INS GROUP HLDGS ADR	P	2007-11-28	2012-03-01
NABORS INDUSTRIES LTD NEW (BERMUDA)	P	2011-11-02	2012-03-01
NASDAQ OMX GROUP (THE)	P	2008-05-09	2011-10-18
NASDAQ OMX GROUP (THE)	P	2008-05-09	2011-06-23
NASDAQ OMX GROUP (THE)	P	2008-06-11	2011-10-18
NASDAQ OMX GROUP (THE)	P	2009-12-02	2011-10-18
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	P	2008-10-07	2012-03-01
NETEASE INC SPON ADR	P	2011-04-06	2012-04-16
NETEASE INC SPON ADR	P	2011-04-06	2012-03-01
NEWCREST MINING LTD SPON AUSTRALIA ADR	P	2006-07-18	2012-03-01
NEWFIELD EXPLORATION COS	P	2009-02-18	2011-11-02
NEWFIELD EXPLORATION COS	P	2009-02-18	2012-03-01
NEXEN INC CAD	P	2009-01-28	2012-03-01
NEXEN INC CAD	P	2009-02-23	2012-03-01
NEXEN INC CAD	P	2009-10-28	2012-03-01
NII HOLDINGS INC CL B	P	2011-08-04	2012-03-01
NII HOLDINGS INC CL B	P	2011-08-04	2011-11-02
NIKE INC CL B	P	2009-03-26	2012-03-01
NIPPON TELEG & TEL CORP SPON ADR	P	2006-03-03	2011-10-04
NIPPON TELEG & TEL CORP SPON ADR	P	2007-05-15	2011-10-04
NIPPON TELEG & TEL CORP SPON ADR	P	2007-05-30	2011-10-04
NORTHROP GRUMMAN CORP	P	2008-10-07	2012-03-01

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NVIDIA CORP	P	2008-05-30	2011-05-17
NVIDIA CORP	P	2008-05-30	2011-08-12
NVIDIA CORP	P	2008-05-30	2011-07-14
NVIDIA CORP	P	2008-09-10	2011-08-12
NYC MUNI WTR FIN AU W&S SYS SERB RV AMBAC	P	2009-05-19	2012-04-27
OAD GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	P	2010-10-15	2012-03-01
OAD GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	P	2010-10-15	2012-01-20
OCCIDENTAL PETROLEUM CRP	P	2011-06-08	2012-03-01
ONEOK INC NEW	P	2009-12-10	2012-03-01
ONEOK INC NEW	P	2009-12-10	2011-11-02
ORACLE CORP	P	2008-10-07	2012-03-01
ORACLE CORP	P	2008-10-07	2012-03-07
ORACLE CORP	P	2010-02-19	2012-03-01
ORACLE CORP	P	2010-02-19	2012-03-26
P T TELEKOMUNIKASI INDONESIA SPON ADR	P	2011-02-17	2012-04-17
P T TELEKOMUNIKASI INDONESIA SPON ADR	P	2011-02-17	2012-04-26
P T TELEKOMUNIKASI INDONESIA SPON ADR	P	2011-02-25	2012-04-26
PEPSICO INC	P	2005-08-24	2012-02-27
PEPSICO INC	P	2005-08-24	2011-08-05
PEPSICOINC	P	2006-02-10	2012-02-27
PEPSICO INC	P	2008-10-15	2012-03-01
PEPSICO INC	P	2008-10-15	2012-02-29
PEPSICO INC	P	2008-10-15	2012-03-14
PEPSICOINC	P	2009-07-01	2012-03-14
PEPSICO INC	P	2010-02-16	2012-03-14
PETROLEO BRASILEIRO SA SPON ADR	P	2009-05-20	2011-05-25
PETROLEO BRASILEIRO SA SPON ADR	P	2010-06-22	2011-05-25
PFIZER INC	P	2009-04-09	2012-03-01
PG & E CORP (HOLDING COMPANY)	P	2009-10-21	2011-11-16
PG & E CORP (HOLDING COMPANY)	P	2009-10-21	2011-09-28

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PG & E CORP (HOLDING COMPANY)	P	2010-02-19	2011-11-16
PG & E CORP (HOLDING COMPANY)	P	2010-09-15	2011-11-16
PHARMACEUTICAL PROD '*MERGER EFF 1212011 * *	P	2005-07-26	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 12/2011 * *	P	2005-08-09	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 1212011**	P	2005-10-21	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 12/2011 **	P	2006-07-13	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 12/2011"	P	2010-02-16	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 12/2011 "	P	2011-07-12	2011-12-06
PHARMACEUTICAL PROD "MERGER EFF 1712011 * *	P	2011-11-02	2011-12-06
PHILIP MORRIS INTL INC	P	2008-10-07	2012-03-01
PHILIP MORRIS INTL INC	P	2008-10-07	2012-02-29
PHILIP MORRIS INTL INC	P	2008-10-07	2011-12-21
PHILIP MORRIS INTL INC	P	2008-10-07	2011-08-10
PIONEER NAT RES CO	P	2010-05-20	2012-03-01
PNC FINANCIAL SERVICES GROUP	P	2011-05-11	2012-03-01
POLYUS GOLD ADR	P	2011-06-16	2011-07-22
PPG INDUSTRIES INC	P	2008-10-07	2012-03-01
PRAXAIR INC	P	2009-03-26	2012-02-27
PRICE T ROWE GROUP INC	P	2009-03-26	2011-08-12
PRICE T ROWE GROUP INC	P	2009-03-26	2011-05-06
PRICELINE COM INC NEW	P	2009-03-26	2012-03-01
PROCTER & GAMBLE CO	P	2006-01-04	2011-06-07
PROCTER & GAMBLE CO	P	2006-01-04	2011-08-05
PROGRESSIVE CORP OHIO	P	2010-05-17	2011-11-02
PROGRESSIVE CORP OHIO	P	2010-05-17	2012-03-01
PRUDENTIAL FINANCIAL INC	P	2009-06-03	2011-11-16
PRUDENTIAL FINANCIAL INC	P	2009-06-03	2012-03-01
PULTE GROUP INC	P	2009-02-18	2012-04-23
PULTE GROUP INC	P	2009-02-18	2011-11-02
QUEST DIAGNOSTICS INC	P	2011-03-14	2011-08-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
QUEST DIAGNOSTICS INC	P	2011-03-14	2011-08-10
QUEST DIAGNOSTICS INC	P	2011-04-20	2011-08-17
RALPH LAUREN CORP CL A	P	2010-10-29	2012-03-01
RAYMOND JAMES FINANCIAL CORP	P	2005-07-26	2012-03-01
RAYMOND JAMES FINANCIAL CORP	P	2005-07-26	2011-11-02
REINSURANCE GROUP AMER INC NEW	P	2009-12-10	2012-03-01
REINSURANCE GROUP AMER INC NEW	P	2009-12-10	2011-11-02
REPUBLIC SERVICES INC	P	2005-07-26	2012-03-01
REYNOLDS AMERN INC (HOLDING CO)	P	2011-01-27	2011-08-03
ROCKWELL AUTOMATION INC NEW	P	2012-02-27	2012-03-01
ROHM CO LTD ADR	P	2009-01-15	2012-03-01
ROHM CO LTD ADR	P	2009-01-30	2012-03-01
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2005-07-25	2011-08-03
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2005-07-25	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2005-10-19	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2006-03-03	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2007-02-27	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2008-02-13	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2008-02-13	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2009-03-30	2011-10-06
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	P	2010-02-02	2011-10-06
SANOFI SPON ADR	P	2007-10-02	2012-03-08
SANOFI SPON ADR	P	2008-04-08	2012-03-08
SCHEIN HENRY INC	P	2009-03-26	2012-03-01
SCHLUMBERGER LTD NETHERLANDS ANTILLES	P	2009-03-26	2012-03-01
SCOTTS MIRACLE-GRO CO CL A	P	2005-07-26	2012-03-01
SEKISUI HOUSE LTD SPON ADR	P	2007-09-26	2012-04-17
SEKISUI HOUSE LTD SPON ADR	P	2007-09-26	2012-03-01
SEVEN & I HLDGS CO LTD ADR	P	2009-02-17	2011-06-23
SEVEN & I HLDGS CO LTD ADR	P	2009-02-17	2011-06-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SEVEN & I HLDGS CO LTD ADR	P	2009-02-23	2011-12-19
SEVEN & I HLDGS CO LTD ADR	P	2009-02-23	2011-09-30
SEVEN & I HLDGS CO LTD ADR	P	2009-02-23	2011-06-23
SHISEIDO CO LTD SPONS ADR JAPAN	P	2005-07-27	2012-03-01
SHISEIDO CO LTD SPONS ADR JAPAN	P	2005-10-21	2012-03-01
SIEMENS A G SPON ADR	P	2008-09-29	2011-06-27
SK TELECOM CO LTD ADR	P	2008-02-14	2011-05-25
SNAP ON INC	P	2005-10-21	2012-03-01
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2009-02-23	2012-02-08
SOCIETE GENERALE FRANCE SPONS ADR ADR	P	2010-05-17	2012-02-08
ST JUDE MEDICAL INC	P	2010-04-21	2011-05-04
ST JUDE MEDICAL INC	P	2010-07-07	2011-05-04
ST JUDE MEDICAL INC	P	2010-07-07	2011-06-01
ST JUDE MEDICAL INC	P	2010-12-15	2011-06-01
ST JUDE MEDICAL INC	P	2011-12-21	2012-03-01
STANLEY BLACK & DECKER INC COM	P	2010-06-30	2011-11-16
STANLEY BLACK & DECKER INC COM	P	2010-06-30	2012-01-19
STANLEY BLACK & DECKER INC COM	P	2010-08-11	2012-01-19
STANLEY BLACK & DECKER INC COM	P	2010-08-11	2012-03-01
STATOIL ASA SPON ADR	P	2010-11-09	2011-10-18
STATOIL ASA SPON ADR	P	2010-11-10	2011-10-18
SUNCOR ENERGY INC NEW CAD	P	2010-05-21	2011-05-31
SUNCOR ENERGY INC NEW CAD	P	2010-05-21	2011-07-22
SUNCOR ENERGY INC NEW CAD	P	2010-05-21	2011-07-21
SWISSCOM AG SPON ADR	P	2005-07-27	2011-07-28
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	P	2010-05-12	2011-05-20
TALISMAN ENERGY INC CAD	P	2011-10-20	2012-03-01
TARGET CORP	P	2011-01-12	2012-03-01
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	P	2011-05-25	2012-04-16
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	P	2011-05-25	2012-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
TEXAS INSTRUMENTS	P	2005-07-25	2011-07-29
TEXAS INSTRUMENTS	P	2005-10-19	2011-08-26
TEXAS INSTRUMENTS	P	2005-10-19	2012-03-01
THE DIRECTV GROUP CL A	P	2009-03-26	2011-05-06
THE DIRECTV GROUP CL A	P	2009-03-26	2012-03-01
THERMO FISHER SCIENTIFIC INC	P	2009-03-26	2012-03-01
THERMO FISHER SCIENTIFIC INC	P	2011-08-10	2012-03-01
TJX COS INC NEW	P	2009-02-18	2012-03-01
TJX COS INC NEW	P	2009-02-18	2012-03-22
TNT EXPRESS N V ADR	P	2011-06-29	2012-01-18
TNT EXPRESS N V ADR	P	2011-06-29	2012-02-17
TNT EXPRESS N V ADR	P	2011-06-29	2012-01-19
TNT EXPRESS N V ADR	P	2011-06-30	2012-02-17
TNT EXPRESS N V ADR	P	2011-07-05	2012-02-17
TNT EXPRESS N V ADR	P	2011-08-30	2012-02-17
TNT EXPRESS N V ADR	P	2011-09-06	2012-02-17
TNT EXPRESS N V ADR	P	2011-09-06	2012-02-23
TNT EXPRESS N V ADR	P	2011-09-07	2012-02-23
TNT EXPRESS N V ADR	P	2011-09-07	2012-02-27
TNT EXPRESS N V ADR	P	2011-09-29	2012-02-27
TNT EXPRESS N V ADR	P	2011-09-30	2012-02-27
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	P	2010-09-09	2012-02-16
TRANSOCEAN LTD CHF	P	2011-12-07	2012-03-01
TRAVELERS COS INCITHE	P	2009-04-01	2011-06-22
TRAVELERS COS INCITHE	P	2009-04-02	2011-06-22
TRAVELERS COS INCITHE	P	2009-04-02	2012-03-01
TYCO INTL LTD CHF	P	2011-11-16	2012-03-01
UNION PACIFIC CORP	P	2009-03-26	2012-01-10
UNION PACIFIC CORP	P	2009-03-26	2012-03-01
UNITED STATES TREAS BILL DUE 08/11/11	P	2011-05-26	2011-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
UNITED STATES TREAS BILL DUE 09/01/11	P	2011-05-31	2011-09-01
UNITED STATES TREAS BILL DUE 09/08/11	P	2011-03-10	2011-05-31
UNITED STATES TREAS BILL DUE 09108111	P	2011-03-10	2011-05-26
UNTD TECHNOLOGIES CORP	P	2008-10-07	2012-03-01
UNTD TECHNOLOGIES CORP	P	2012-01-10	2012-03-01
URS CORP NEW	P	2010-05-12	2012-03-01
US TSY INFL PROT BOND 03 625 % DUE 04115128	P	2010-09-09	2012-02-14
US TSY INFL PROT BOND 03 625 % DUE 04115128	P	2010-09-09	2011-09-16
US TSY INFL PROT BOND 03 625 % DUE 04115/28	P	2011-03-29	2012-02-14
US TSY INFL PROT BOND 03 625 % DUE 04115/28	P	2011-09-20	2012-02-16
US TSY NFL PROT NOTE 03 000 % DUE 07/15112	P	2009-04-01	2011-08-16
US TSY NOTE 00 875 % DUE 02129/12 DTD 02128/10	P	2010-03-03	2012-02-29
US TSY NOTE 00 875 % DUE 02129/12 DTD 02128/10 FC 08/31/10	P	2010-03-03	2011-08-16
US TSY N07E 00 875 % DUE 02129/12 DTD 02/28/10 FC 08/31/10	P	2010-03-25	2012-02-29
US TSY NOTE 00 875 % DUE 02129112 DTD 02/28110 FC 08/30/10	P	2010-04-06	2012-02-29
US TSY NOTE 00 875 % DUE 02/29/12 DTD 02/28/10 FC 08/30/10	P	2010-06-02	2012-02-29
US TSY NOTE 00 875 % DUE 02/29/12 DTD 02128/10 FC 08/30/10	P	2010-11-29	2012-02-29
US TSY NOTE 00 875 % DUE 02129/12 DTD 02128/10 FC 08/31/10	P	2011-05-10	2012-02-29
US TSY NOTE 00 875 % DUE 02129112 DTD 02128110 FC 08/30/10	P	2011-09-20	2012-02-29
US TSY NOTE 01 375 % DUE 03115113 DTD 03/15/10 FC 9/15/10	P	2010-03-26	2012-03-01
US TSY NOTE 01 500 % DUE 07/31/16 DTD 07131/11 FC 01/31/12	P	2011-08-08	2012-03-01
US TSY NOTE 01 500 % DUE 07/31/16 DID 07131/11 FC 01/31/12	P	2011-08-23	2012-03-01
US TSY NOTE 01 500 % DUE 07131/16 DTD 07131111 FC 01/31/12	P	2011-09-20	2012-03-01
US TSY NOTE 02 500 % DUE 01/15129 FACTOR	P	2010-09-09	2011-08-16
US TSV NOTE 02 500 % DUE 01/15/29 FACTOR	P	2010-10-12	2011-08-16
US TSY NOTE 02 500 % DUE 01115129 FACTOR	P	2011-03-29	2011-08-16
US TSY NOTE 02 500 % DUE 01 /15129 FACTOR	P	2011-03-29	2012-01-26
US TSY NOTE 02 500 % DUE 01/15/29 FACTOR	P	2011-07-15	2012-01-26
US TSY NOTE 02,500 % DUE 01/15/29 FACTOR	P	2011-08-03	2012-01-27
US TSY NOTE 02,500 % DUE 01115/29 FACTOR	P	2011-08-03	2012-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
USTSY NOTE 02 500 % DUE 01/15129 FACTOR	P	2011-08-03	2012-01-31
USTSY NOTE 02 500 % DUE 01115/29 FACTOR	P	2011-08-03	2012-02-29
USTSY NOTE 02 500 % DUE 01/15/29 FACTOR	P	2011-09-20	2012-02-29
USTSY NOTE 02 500 % DUE 01/15/29 FACTOR	P	2011-09-20	2012-03-01
VALSPAR CORP	P	2007-10-22	2012-03-01
VALSPAR CORP	P	2007-10-22	2011-11-02
VARIAN MEDICAL SYSTEMS INC	P	2009-03-26	2012-03-01
VIACOM INC NEW CL B	P	2011-03-16	2012-03-01
VISA INC CL A	P	2009-04-23	2011-06-10
VODAFONE GROUP PLC NEW SPON ADR	P	2006-05-25	2012-01-05
VODAFONE GROUP PLC NEW SPON ADR	P	2006-08-04	2012-01-05
VODAFONE GROUP PLC NEW SPON ADR	P	2008-06-17	2012-01-05
VODAFONE GROUP PLC NEW SPON ADR	P	2008-10-07	2012-03-01
WACOAL HOLDINGS CORP ADR	P	2005-07-27	2012-03-01
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2001-10-05	2011-08-19
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2008-10-07	2011-07-14
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2008-10-07	2011-07-27
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2009-02-06	2011-07-27
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2009-03-27	2011-07-27
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2011-02-25	2012-03-01
WALT DISNEY CO (HOLDING CO) DISNEY COM	P	2011-10-12	2012-01-25
WELLS FARGO & CO NEW	P	2009-05-13	2011-05-04
WELLS FARGO & CO NEW	P	2009-12-16	2011-05-04
WELLS FARGO & CO NEW	P	2009-12-16	2011-05-11
WELLS FARGO & CO NEW	P	2010-02-19	2011-05-11
WELLS FARGO & CO NEW	P	2010-03-11	2011-05-11
WELLS FARGO & CO NEW	P	2010-07-14	2011-05-11
WESTERN UNION CO	P	2012-01-11	2012-03-01
XILINX INC	P	2010-02-18	2012-03-01
YAHOO INC	P	2009-03-20	2011-07-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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YAHOO INC	P	2009-03-20	2011-06-17
YAHOO INC	P	2010-02-19	2011-07-14
YAHOO INC	P	2010-02-19	2011-07-20
YUMI BRANDS INC	P	2009-02-18	2012-03-01
3M CO	P	2008-12-10	2012-03-01
3M CO	P	2008-12-17	2012-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
435		303	132
1,914		1,392	522
746		566	180
953		515	438
2,197		1,160	1,037
2,292		1,192	1,100
2,515		1,353	1,162
298		158	140
505		385	120
474		566	-92
1,065		538	527
1,065		978	87
145		123	22
177		123	54
1,956		1,661	295
366		302	64
217		106	111
334		212	122
230		106	124
628		444	184
22,281		19,135	3,146
24,801		19,697	5,104
605		508	97
691		717	-26
300		269	31
2,125		1,832	293
94		80	14
2,184		1,857	327
426		52	374
1,021		327	694

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
180		177	3
502		219	283
393		322	71
532		467	65
844		700	144
289		233	56
289		214	75
289		286	3
514		326	188
1,532		1,258	274
510		197	313
4,455		3,114	1,341
681		495	186
436		330	106
1,961		1,568	393
2,181		1,733	448
2,178		434	1,744
727		530	197
1,174		1,193	-19
367		313	54
1,565		1,461	104
2,196		1,905	291
2,037		1,774	263
205		100	105
230		100	130
876		855	21
205		488	-283
2,270		5,419	-3,149
773		1,724	-951
506		1,150	-644

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,456		4,510	946
717		797	-80
2,103		1,993	110
1,584		1,599	-15
372		348	24
1,249		664	585
208		120	88
5,511		3,311	2,200
418		205	213
4,843		2,873	1,970
1,685		1,791	-106
439		460	-21
971		998	-27
523		537	-14
1,942		2,093	-151
75		81	-6
790		427	363
206		126	80
2,392		1,109	1,283
395		380	15
675		160	515
925		456	469
614		231	383
334		314	20
16,515		6,784	9,731
648		261	387
159		56	103
436		521	-85
766		734	32
159		134	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
297		405	-108
564		249	315
1,689		1,724	-35
366		398	-32
520		683	-163
818		1,495	-677
227		170	57
370		308	62
91		67	24
761		626	135
1,308		1,079	229
989		827	162
837		543	294
1,810		1,025	785
896		275	621
109		99	10
50		33	17
226		132	94
2,007		1,864	143
2,132		1,962	170
876		785	91
329		287	42
780		850	-70
780		859	-79
312		423	-111
457		354	103
669		440	229
1,329		880	449
345		220	125
285		85	200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,332		468	864
5,427		4,084	1,343
544		454	90
2,214		590	1,624
678		167	511
2,320		2,289	31
192		193	-1
423		188	235
175		75	100
488		91	397
598		137	461
2,152		410	1,742
4,238		821	3,417
489		438	51
489		409	80
560		429	131
187		130	57
187		150	37
208		150	58
208		129	79
722		667	55
249		196	53
429		250	179
85		54	31
1,917		1,543	374
1,917		1,496	421
581		306	275
581		551	30
198		111	87
202		111	91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
191		111	80
83		77	6
579		368	211
772		646	126
320		204	116
1,930		1,348	582
359		332	27
323		72	251
236		72	164
347		294	53
156		147	9
51		46	5
15		13	2
94		86	8
87		80	7
36		33	3
58		53	5
51		46	5
7		7	0
44		40	4
58		53	5
22		20	2
51		46	5
51		46	5
29		27	2
36		33	3
150		153	-3
837		704	133
1,077		745	332
1,006		685	321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,688		1,307	381
1,018		737	281
711		458	253
530		283	247
424		183	241
780		591	189
87		69	18
1,879		1,723	156
658		587	71
6,062		5,715	347
1,726		1,712	14
195		313	-118
915		1,397	-482
278		415	-137
283		265	18
251		234	17
268		250	18
251		234	17
167		156	11
535		500	35
367		343	24
250		234	16
317		297	20
153		134	19
612		538	74
280		246	34
153		134	19
127		112	15
917		806	111
179		157	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
229		202	27
1,535		1,366	169
51		45	6
100		90	10
75		67	8
276		246	30
126		112	14
255		224	31
280		246	34
255		224	31
510		448	62
153		134	19
255		224	31
433		381	52
408		358	50
969		851	118
204		179	25
102		90	12
102		90	12
51		45	6
485		426	59
460		403	57
153		134	19
281		246	35
255		224	31
383		366	17
178		157	21
892		784	108
331		325	6
815		801	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
356		351	5
534		526	8
153		150	3
407		401	6
254		250	4
153		150	3
381		376	5
152		150	2
178		175	3
152		150	2
153		150	3
763		751	12
178		175	3
738		726	12
127		125	2
305		300	5
153		150	3
305		300	5
704		616	88
473		233	240
618		725	-107
2,016		1,977	39
8,972		8,601	371
1,440		1,425	15
1,430		1,425	5
1,700		1,697	3
1,659		1,635	24
3,352		3,332	20
2,655		2,642	13
47,233		44,301	2,932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,659		8,125	534
2,584		2,568	16
620		613	7
1,854		1,840	14
1,984		1,782	202
2,333		2,198	135
176		87	89
154		87	67
145		106	39
143		278	-135
783		471	312
796		693	103
1,604		1,522	82
1,109		987	122
1,377		1,275	102
555		503	52
104		88	16
219		171	48
30		36	-6
1,621		1,589	32
1,383		1,263	120
1,999		1,984	15
2,129		1,984	145
1,326		1,284	42
504		295	209
623		436	187
811		404	407
401		193	208
963		1,009	-46
3,028		3,190	-162

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
393		328	65
2,517		1,770	747
157		182	-25
135		179	-44
138		179	-41
629		449	180
1,470		1,171	299
809		598	211
70		70	0
351		461	-110
1,407		1,846	-439
1,472		1,585	-113
351		360	-9
1,577		1,644	-67
905		205	700
526		115	411
273		57	216
1,556		1,330	226
593		468	125
370		292	78
355		292	63
1,990		1,359	631
142		122	20
1,934		2,802	-868
1,497		944	553
298		598	-300
51		102	-51
601		426	175
285		750	-465
95		214	-119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
419		318	101
321		267	54
1,642		1,826	-184
557		235	322
1,970		1,149	821
2,131		1,245	886
1,257		670	587
592		281	311
988		491	497
197		130	67
240		152	88
224		133	91
94		53	41
319		134	185
1,597		623	974
464		178	286
1,257		1,648	-391
741		924	-183
241		293	-52
318		317	1
646		633	13
842		820	22
469		394	75
705		159	546
349		79	270
1,327		1,148	179
180		141	39
1,127		888	239
3,463		2,686	777
2,510		1,939	571

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,028		831	197
1,285		1,039	246
3		3	0
64		66	-2
1,432		1,517	-85
1,135		1,167	-32
645		538	107
778		193	585
1,284		1,796	-512
876		607	269
1,505		1,032	473
350		423	-73
613		743	-130
175		211	-36
4,411		3,984	427
130		130	0
1,400		864	536
359		216	143
1,664		1,080	584
2,153		1,244	909
1,077		613	464
695		387	308
179		158	21
1,986		1,812	174
603		543	60
1,794		1,551	243
1,341		1,151	190
1,291		1,151	140
2,223		1,942	281
626		544	82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
645		531	114
1,058		874	184
831		704	127
971		952	19
1,033		814	219
290		164	126
568		994	-426
308		534	-226
541		955	-414
221		178	43
246		387	-141
1,044		1,742	-698
246		319	-73
123		97	26
430		274	156
1,067		975	92
472		462	10
741		301	440
245		122	123
145		81	64
561		402	159
1,536		892	644
498		527	-29
252		252	0
95		163	-68
868		383	485
468		447	21
421		437	-16
2,268		2,218	50
421		344	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,210		1,712	-502
407		734	-327
220		367	-147
610		492	118
32,984		30,783	2,201
667		537	130
1,215		1,127	88
837		827	10
166		83	83
307		165	142
1,131		655	476
2,074		1,189	885
775		632	143
1,594		1,337	257
993		1,003	-10
1,136		1,103	33
619		612	7
1,327		1,146	181
650		546	104
1,895		1,739	156
312		271	41
1,323		1,137	186
1,413		1,191	222
1,992		1,751	241
128		122	6
1,086		1,335	-249
339		380	-41
1,225		768	457
79		85	-6
1,813		1,784	29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39		43	-4
1,731		1,995	-264
3,458		2,870	588
333		269	64
1,663		1,530	133
499		529	-30
333		207	126
4,057		3,421	636
266		264	2
1,681		899	782
1,427		764	663
1,324		764	560
1,767		1,214	553
447		229	218
604		636	-32
1,699		1,950	-251
648		361	287
4,176		2,610	1,566
1,842		1,047	795
1,817		843	974
1,274		167	1,107
980		883	97
611		589	22
75		82	-7
300		286	14
1,948		1,499	449
494		324	170
164		194	-30
272		505	-233
340		398	-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,345		2,843	-498
1,945		2,329	-384
534		292	242
288		161	127
119		80	39
229		185	44
205		185	20
478		280	198
2,393		2,244	149
650		655	-5
325		322	3
250		253	-3
1,872		1,696	176
1,778		1,759	19
2,032		1,990	42
508		519	-11
444		476	-32
508		558	-50
318		349	-31
762		517	245
762		661	101
1,886		2,123	-237
302		307	-5
812		438	374
313		183	130
283		223	60
684		917	-233
845		1,103	-258
53		50	3
654		600	54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
863		696	167
1,483		1,131	352
107		87	20
750		527	223
929		792	137
661		465	196
1,467		1,786	-319
368		223	145
811		722	89
1,201		1,662	-461
105		84	21
1,212		828	384
1,300		936	364
2,800		2,339	461
292		234	58
2,151		1,625	526
712		508	204
1,353		1,062	291
618		447	171
1,272		1,086	186
2,369		2,023	346
1,838		1,274	564
1,986		1,390	596
1,999		1,390	609
852		595	257
1,929		1,789	140
1,049		1,013	36
964		945	19
1,700		1,881	-181
317		347	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
600		617	-17
916		1,053	-137
199		176	23
1,995		953	1,042
139		70	69
400		254	146
457		406	51
885		259	626
1,474		410	1,064
208		267	-59
402		329	73
279		360	-81
2,363		1,941	422
1,307		1,062	245
13		9	4
415		286	129
778		529	249
1,059		734	325
670		478	192
918		555	363
186		108	78
1,480		1,258	222
588		499	89
982		704	278
1,270		927	343
643		464	179
414		384	30
1,336		526	810
335		131	204
6,000		5,999	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,000		8,999	1
8,999		8,994	5
5,999		5,996	3
840		509	331
252		227	25
355		386	-31
2,126		1,852	274
2,042		1,851	191
4,252		3,584	668
2,109		2,045	64
2,595		2,681	-86
1,000		1,001	-1
5,021		5,007	14
9,000		8,969	31
1,000		996	4
1,000		1,003	-3
4,000		4,026	-26
1,000		1,006	-6
5,000		5,018	-18
4,048		3,971	77
4,125		4,083	42
3,094		3,084	10
2,062		2,065	-3
1,371		1,223	148
1,371		1,278	93
2,743		2,369	374
1,426		1,187	239
4,277		3,786	491
2,850		2,656	194
4,307		3,974	333

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,886		2,655	231
1,425		1,325	100
1,425		1,368	57
2,831		2,737	94
280		152	128
352		253	99
791		360	431
576		526	50
1,498		1,173	325
357		321	36
1,127		916	211
742		803	-61
576		448	128
1,206		1,160	46
641		392	249
1,426		962	464
3,951		2,673	1,278
277		133	144
316		149	167
339		343	-4
2,956		2,557	399
953		826	127
1,329		1,198	131
732		677	55
84		82	2
1,155		1,211	-56
1,155		1,135	20
735		735	0
441		307	134
371		342	29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
585		547	38
148		155	-7
977		1,084	-107
663		290	373
175		115	60
262		176	86

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			132
			522
			180
			438
			1,037
			1,100
			1,162
			140
			120
			-92
			527
			87
			22
			54
			295
			64
			111
			122
			124
			184
			3,146
			5,104
			97
			-26
			31
			293
			14
			327
			374
			694

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3
			283
			71
			65
			144
			56
			75
			3
			188
			274
			313
			1,341
			186
			106
			393
			448
			1,744
			197
			-19
			54
			104
			291
			263
			105
			130
			21
			-283
			-3,149
			-951
			-644

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			946
			-80
			110
			-15
			24
			585
			88
			2,200
			213
			1,970
			-106
			-21
			-27
			-14
			-151
			-6
			363
			80
			1,283
			15
			515
			469
			383
			20
			9,731
			387
			103
			-85
			32
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-108
			315
			-35
			-32
			-163
			-677
			57
			62
			24
			135
			229
			162
			294
			785
			621
			10
			17
			94
			143
			170
			91
			42
			-70
			-79
			-111
			103
			229
			449
			125
			200

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			864
			1,343
			90
			1,624
			511
			31
			-1
			235
			100
			397
			461
			1,742
			3,417
			51
			80
			131
			57
			37
			58
			79
			55
			53
			179
			31
			374
			421
			275
			30
			87
			91

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			80
			6
			211
			126
			116
			582
			27
			251
			164
			53
			9
			5
			2
			8
			7
			3
			5
			5
			0
			4
			5
			2
			5
			5
			2
			3
			-3
			133
			332
			321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			381
			281
			253
			247
			241
			189
			18
			156
			71
			347
			14
			-118
			-482
			-137
			18
			17
			18
			17
			11
			35
			24
			16
			20
			19
			74
			34
			19
			15
			111
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			169
			6
			10
			8
			30
			14
			31
			34
			31
			62
			19
			31
			52
			50
			118
			25
			12
			12
			6
			59
			57
			19
			35
			31
			17
			21
			108
			6
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			8
			3
			6
			4
			3
			5
			2
			3
			2
			3
			12
			3
			12
			2
			5
			3
			5
			88
			240
			-107
			39
			371
			15
			5
			3
			24
			20
			13
			2,932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			534
			16
			7
			14
			202
			135
			89
			67
			39
			-135
			312
			103
			82
			122
			102
			52
			16
			48
			-6
			32
			120
			15
			145
			42
			209
			187
			407
			208
			-46
			-162

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			65
			747
			-25
			-44
			-41
			180
			299
			211
			0
			-110
			-439
			-113
			-9
			-67
			700
			411
			216
			226
			125
			78
			63
			631
			20
			-868
			553
			-300
			-51
			175
			-465
			-119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			101
			54
			-184
			322
			821
			886
			587
			311
			497
			67
			88
			91
			41
			185
			974
			286
			-391
			-183
			-52
			1
			13
			22
			75
			546
			270
			179
			39
			239
			777
			571

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			197
			246
			0
			-2
			-85
			-32
			107
			585
			-512
			269
			473
			-73
			-130
			-36
			427
			0
			536
			143
			584
			909
			464
			308
			21
			174
			60
			243
			190
			140
			281
			82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			114
			184
			127
			19
			219
			126
			-426
			-226
			-414
			43
			-141
			-698
			-73
			26
			156
			92
			10
			440
			123
			64
			159
			644
			-29
			0
			-68
			485
			21
			-16
			50
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-502
			-327
			-147
			118
			2,201
			130
			88
			10
			83
			142
			476
			885
			143
			257
			-10
			33
			7
			181
			104
			156
			41
			186
			222
			241
			6
			-249
			-41
			457
			-6
			29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-264
			588
			64
			133
			-30
			126
			636
			2
			782
			663
			560
			553
			218
			-32
			-251
			287
			1,566
			795
			974
			1,107
			97
			22
			-7
			14
			449
			170
			-30
			-233
			-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-498
			-384
			242
			127
			39
			44
			20
			198
			149
			-5
			3
			-3
			176
			19
			42
			-11
			-32
			-50
			-31
			245
			101
			-237
			-5
			374
			130
			60
			-233
			-258
			3
			54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			167
			352
			20
			223
			137
			196
			-319
			145
			89
			-461
			21
			384
			364
			461
			58
			526
			204
			291
			171
			186
			346
			564
			596
			609
			257
			140
			36
			19
			-181
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-137
			23
			1,042
			69
			146
			51
			626
			1,064
			-59
			73
			-81
			422
			245
			4
			129
			249
			325
			192
			363
			78
			222
			89
			278
			343
			179
			30
			810
			204
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			5
			3
			331
			25
			-31
			274
			191
			668
			64
			-86
			-1
			14
			31
			4
			-3
			-26
			-6
			-18
			77
			42
			10
			-3
			148
			93
			374
			239
			491
			194
			333


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			231
			100
			57
			94
			128
			99
			431
			50
			325
			36
			211
			-61
			128
			46
			249
			464
			1,278
			144
			167
			-4
			399
			127
			131
			55
			2
			-56
			20
			0
			134
			29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			38
			-7
			-107
			373
			60
			86

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN RED CROSSPO BOX 4002018 DES MOINES,IA 503402018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	12,500
CATHOLIC CHARITIES USAPO BOX 17066 BALTIMORE,MD 21297	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
THE AMERICAN IRELAND FUND345 PARK AVENUE 17TH FLOOR NEW YORK,NY 10154	NONE	PUBLIC CHARITY	GENERAL SUPPORT	15,000
CHILDREN'S SCHOLARSHIP FUND8 WEST 38TH STREET 9TH FLOOR NEW YORK,NY 10018	NONE	PUBLIC CHARITY	GENERAL SUPPORT	12,500
INNER- CITY SCHOLARSHIP FUND 1011 FIRST AVENUE SUITE 1400 NEW YORK,NY 10022	NONE	PUBLIC CHARITY	GENERAL SUPPORT	12,500
A BETTER CHANCE OF WESTPORT PO BOX 2153 WESTPORT,CT 06880	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
ROBIN HOOD FOUNDATION826 BROADWAY 9TH FLOOR NEW YORK,NY 10003	NONE	PUBLIC CHARITY	GENERAL SUPPORT	12,500
WOUNDED WARRIOR PROJECT4899 BELFORT ROAD SUITE 300 JACKSONVILLE,FL 32256	NONE	PUBLIC CHARITY	GENERAL SUPPORT	7,500
ST FRANCIS HOSPITAL100 PORT WASHINGTON BLVD ROSLYN,NY 11576	NONE	PUBLIC CHARITY	GENERAL SUPPORT	7,500
MICHAEL J FOX FOUNDATION FOR PARKINSON'S RESEARCHCHURCH STREET STATION PO BOX 780 NEW YORK,NY 100080780	NONE	PUBLIC CHARITY	GENERAL SUPPORT	7,500
JEWISH SOCIAL SERVICE AGENCY 200 WOOD HILL ROAD ROCKVILLE,MD 20850	NONE	PUBLIC CHARITY	GENERAL SUPPORT	7,500
Total  3a				110,000

TY 2011 Accounting Fees Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	6,000	0	0	0

TY 2011 Investments Corporate Bonds Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS 63388 20	185,125	210,708
UBS 68328 20	293,273	307,886

**TY 2011 Investments Corporate
Stock Schedule****Name:** STANLEY AND CATHERINE MAAS FOUNDATION**EIN:** 11-2690167

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS 63392 20	268,966	422,690
UBS 63391 20	351,737	293,153
UBS 63389 20	363,302	429,836
UBS 63390 20	112,021	170,138
UBS 68328 20	55,324	63,305
UBS 68329 20	141,256	210,359

TY 2011 Investments Government Obligations Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

US Government Securities - End of Year Book Value:	207,885
US Government Securities - End of Year Fair Market Value:	212,643
State & Local Government Securities - End of Year Book Value:	152,220
State & Local Government Securities - End of Year Fair Market Value:	165,677

TY 2011 Investments - Other Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
UBS 63388 20	AT COST	0	0

TY 2011 Other Assets Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST RECEIVABLE	3,670	771	771

TY 2011 Other Expenses Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	43	0	0	0

TY 2011 Other Professional Fees Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATION

EIN: 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	34,767	34,767	0	0

TY 2011 Taxes Schedule**Name:** STANLEY AND CATHERINE MAAS FOUNDATION**EIN:** 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW	250	0	0	0
FOREIGN TAXES PAID	1,619	1,619	0	0
FEDERAL ESTIMATES TAXES	2,890	0	0	0