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SCANNED JUL 20 2012 Operating and Administrative Expenses

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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

05/01, 2011, and ending

04/30, 2012

Name of foundation SOPHIA F ROMERO T/U/W		A Employer identification number 04-6029538
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (888) 866-3275
City or town, state, and ZIP code PROVIDENCE, RI 02901-1802		C If exemption application is pending, check here ☐
G Check all that apply:		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
☐ Final return	☐ Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
☐ Address change	☐ Name change	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,658,402.		
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	97,461.	97,461.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	191,892.			
b	Gross sales price for all assets on line 6a	1,713,250.			
7	Capital gain net income (from Part IV, line 2)		191,892.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	289,353.	289,353.		
13	Compensation of officers, directors, trustees, etc.	25,253.	15,152.		10,101.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	1,250.	NONE	NONE	1,250.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	3,003.	1,253.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	6,935.			6,935.
24	Total operating and administrative expenses. Add lines 13 through 23	36,441.	16,405.	NONE	18,286.
25	Contributions, gifts, grants paid	70,000.			70,000.
26	Total expenses and disbursements Add lines 24 and 25	106,441.	16,405.	NONE	88,286.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	182,912.			
b	Net investment income (if negative, enter -0-)		272,948.		
c	Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE	NONE	
	2	Savings and temporary cash investments	90,571.	347,214.	347,214.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ * Less: allowance for doubtful accounts ▶	NONE	* NONE NONE	NONE
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule) .	NONE	NONE	NONE
	b	Investments - corporate stock (attach schedule)	2,232,065.	2,158,333.	2,311,188.
	c	Investments - corporate bonds (attach schedule)	NONE	NONE	NONE
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶	NONE	NONE	
	12	Investments - mortgage loans	NONE	NONE	
	13	Investments - other (attach schedule)	NONE	NONE	NONE
	14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	NONE	NONE	NONE	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,322,636.	2,505,547.	2,658,402.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	2,322,636.	2,505,547.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .	NONE	NONE	
	30	Total net assets or fund balances (see instructions)	2,322,636.	2,505,547.	
	31	Total liabilities and net assets/fund balances (see instructions)	2,322,636.	2,505,547.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,322,636.
2	Enter amount from Part I, line 27a	2	182,912.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,505,548.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	1.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,505,547.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,684,150.		1,521,358.	162,792.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			162,792.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	191,892.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	93,379.	2,220,277.	0.042057
2009	89,034.	2,220,277.	0.040100
2008	114,895.	2,116,164.	0.054294
2007	140,537.	2,581,782.	0.054434
2006	11,870.	2,536,441.	0.004680
2 Total of line 1, column (d)			2 0.195565
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.039113
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 2,549,682.
5 Multiply line 4 by line 3			5 99,726.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,729.
7 Add lines 5 and 6			7 102,455.
8 Enter qualifying distributions from Part XII, line 4			8 88,286.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	5,459.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	5,459.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
3 Add lines 1 and 2		5	5,459.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	3,081.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,081.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,378.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers. ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ US TRUST FIDUCIARY TAX SERVICES Telephone no ☐ (888) 866-3275			
Located at ☐ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ☐ 02901-1802				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		☐
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ☐				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
If "Yes," list the years ☐			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ☐		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No**5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No**6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		25,253.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 8		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,439,061.
b	Average of monthly cash balances	1b	149,449.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	2,588,510.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	2,588,510.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	38,828.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,549,682.
6	Minimum investment return. Enter 5% of line 5	6	127,484.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	127,484.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	5,459.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,459.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	122,025.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	122,025.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	122,025.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	88,286.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	88,286.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	88,286.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				122,025.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			47,762.	
b Total for prior years 20 09, 20 , 20		NONE		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	NONE			
b From 2007	NONE			
c From 2008	NONE			
d From 2009	NONE			
e From 2010	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 88,286.				
a Applied to 2010, but not more than line 2a			47,762.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				40,524.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				81,501.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2007	NONE			
b Excess from 2008	NONE			
c Excess from 2009	NONE			
d Excess from 2010	NONE			
e Excess from 2011	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 10				
Total			3a	70,000.
b Approved for future payment				
Total			3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

[illegible]

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

06/22/2012
Date

▶ SVP Tax
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date	
------	--

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INVESCO INTL GROWTH FUND CL Y	1,177.	1,177.
ARTIO GLOBAL HIGH INCOME FUND CL I	5,386.	5,386.
BOFA GOVERNMENT RESERVES CAPITAL CLASS	2.	2.
COLUMBIA ACORN FUND CLASS Z SHARES	245.	245.
COLUMBIA ACORN INTERNATIONAL FUND CL Z	953.	953.
COLUMBIA SMALL CAP VALUE I FUND CLASS Z	1,902.	1,902.
EATON VANCE LARGE-CAP VALUE FUND CL I	6,074.	6,074.
HARBOR INTERNATIONAL FUND INSTL CL	1,882.	1,882.
JOHN HANCOCK FDS III DISCIPLINED VALUE M	375.	375.
LAZARD FUNDS INC EMERGING MKTS PORTFOLIO	8,514.	8,514.
METRO WEST T/R BD CL I	2,054.	2,054.
NATIXIS FDS TR IV AEW REAL ESTATE FD CL	404.	404.
PIMCO TOTAL RETURN FUND INSTL	22,900.	22,900.
PIMCO HIGH YIELD FUND	1,075.	1,075.
PIMCO COMMODITY REALRETURN STRATEGY FUND	43,518.	43,518.
PIMCO EMERGING MKT CURRENCY FUND INSTL	315.	315.
ROWE T PRICE INTL FUNDS INC INTL BD FUND	685.	685.
	-----	-----
TOTAL	97,461.	97,461.
	=====	=====

SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	1,250.			1,250.
TOTALS	1,250.	NONE	NONE	1,250.
	=====	=====	=====	=====

SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL ESTIMATES - PRINCIPAL	1,750.	
FOREIGN TAXES ON QUALIFIED FOR	1,056.	1,056.
FOREIGN TAXES ON NONQUALIFIED	197.	197.
	-----	-----
TOTALS	3,003.	1,253.
	=====	=====

SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-INCOME	435.	435.
GRANTMAKING FEE PD TO TRUSTEE	6,500.	6,500.
TOTALS	----- 6,935. =====	----- 6,935. =====



SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT

ROUNDING

1.

TOTAL

1.

=====



SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BANK OF AMERICA, N.A.

ADDRESS:

100 FEDERAL STREET

BOSTON, MA 02110

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 25,253.

TOTAL COMPENSATION:

25,253.

=====

SOPHIA F ROMERO T/U/W

04-6029538

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE

STATEMENT 7



SOPHIA F ROMERO T/U/W

04-6029538

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

NONE



SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

DIGHTON COUNCIL ON AGING

ADDRESS:

300 LINCOLN AVENUE

NORTH DIGHTON, MA 02764

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

DIABETES ASSOCIATION, INC

ADDRESS:

101 ROCK STREET

FALL RIVER, MA 02722

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 15,000.

RECIPIENT NAME:

FAMILY SERVICE ASSOC OF

GREATER FALL RIVER, INC

ADDRESS:

151 ROCK STREET

FALL RIVER, MA 02720

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 25,000.



SOPHIA F ROMERO T/U/W

04-6029538

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

=====

RECIPIENT NAME:

SHEPARD'S CENTER OF
FALL RIVER

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:

SOUTHEASTERN MASS HOME
HEALTH

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

YWCA OF SOUTHEASTERN
MASS

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

SUPPORTS NAMED ORGANIZATION

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 5,000.

TOTAL GRANTS PAID:

70,000.

=====


SCHEDULE D
(Form 1041)

 Department of the Treasury
 Internal Revenue Service

Capital Gains and Losses
► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust

SOPHIA F ROMERO T/U/W

Employer identification number

04-6029538

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	7,464.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	7,464.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					
LONG-TERM CAPITAL GAIN DIVIDENDS					29,100.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	155,328.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►	12	184,428.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		7,464.
14	Net long-term gain or (loss):			
a	Total for year	14a		184,428.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		191,892.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	()
-----------	--	-----------	-----

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25		
26	Subtract line 25 from line 24	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (.15)	30		
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

2011

04-6029538

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

SOPHIA F ROMERO T/U/W

04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2132.341 ARTIO GLOBAL HIGH CL I	05/15/2009	11/30/2011	20,023.00	17,501.00	2,522.00
98.715 ARTIO GLOBAL HIGH I CL I	09/02/2009	11/30/2011	927.00	924.00	3.00
30.051 ARTIO GLOBAL HIGH I CL I	03/25/2010	04/18/2012	290.00	313.00	-23.00
39.801 ARTIO GLOBAL HIGH I CL I	04/05/2010	04/18/2012	384.00	415.00	-31.00
2183.944 ARTIO GLOBAL HIGH CL I	01/22/2010	04/18/2012	21,075.00	22,473.00	-1,398.00
44.348 ARTIO GLOBAL HIGH I CL I	03/08/2010	04/18/2012	428.00	456.00	-28.00
846. ARTIO GLOBAL HIGH INC I	12/31/2010	04/18/2012	8,164.00	8,621.00	-457.00
105.12 ARTIO GLOBAL HIGH I CL I	06/10/2010	04/18/2012	1,014.00	1,040.00	-26.00
2063.338 ARTIO GLOBAL HIGH CL I	09/02/2009	04/18/2012	19,911.00	19,315.00	596.00
678.646 COLUMBIA ACORN FUN SHARES	02/28/2003	01/31/2012	20,353.00	10,112.00	10,241.00
1.048 COLUMBIA ACORN FUND SHARES	12/05/2003	01/31/2012	31.00	23.00	8.00
1.354 COLUMBIA ACORN FUND SHARES	06/02/2004	01/31/2012	41.00	32.00	9.00
39.409 COLUMBIA ACORN FUND SHARES	12/10/2004	01/31/2012	1,182.00	1,007.00	175.00
1.149 COLUMBIA ACORN FUND SHARES	06/07/2005	01/31/2012	34.00	30.00	4.00
16.255 COLUMBIA ACORN FUND SHARES	06/07/2005	01/31/2012	487.00	427.00	60.00
1.148 COLUMBIA ACORN FUND SHARES	12/09/2005	01/31/2012	34.00	33.00	1.00
48.547 COLUMBIA ACORN FUND SHARES	12/09/2005	01/31/2012	1,456.00	1,380.00	76.00
13.387 COLUMBIA ACORN FUND SHARES	06/06/2006	01/31/2012	401.00	391.00	10.00
3.355 COLUMBIA ACORN FUND SHARES	12/08/2006	01/31/2012	101.00	100.00	1.00
84.402 COLUMBIA ACORN FUND SHARES	12/08/2006	01/31/2012	2,531.00	2,517.00	14.00
13.831 COLUMBIA ACORN FUND SHARES	06/05/2007	01/31/2012	415.00	460.00	-45.00
1.877 COLUMBIA ACORN FUND SHARES	06/05/2007	01/31/2012	56.00	62.00	-6.00
5.168 COLUMBIA ACORN FUND SHARES	12/11/2007	01/31/2012	155.00	154.00	1.00
82.709 COLUMBIA ACORN FUND SHARES	12/11/2007	01/31/2012	2,480.00	2,463.00	17.00
1.766 COLUMBIA ACORN FUND SHARES	06/11/2008	01/31/2012	53.00	49.00	4.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

SOPHIA F ROMERO T/U/W

04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 27.4 COLUMBIA ACORN FUND C SHARES	06/11/2008	01/31/2012	822.00	756.00	66.00
9.486 COLUMBIA ACORN FUND SHARES	12/09/2008	01/31/2012	284.00	159.00	125.00
666.824 COLUMBIA ACORN FUND SHARES	05/15/2009	01/31/2012	19,998.00	12,136.00	7,862.00
825.088 COLUMBIA ACORN FUND SHARES	09/02/2009	01/31/2012	24,744.00	17,632.00	7,112.00
240.585 COLUMBIA ACORN FUND SHARES	01/12/2010	01/31/2012	7,215.00	6,022.00	1,193.00
8.15 COLUMBIA ACORN FUND C SHARES	04/05/2010	01/31/2012	244.00	221.00	23.00
32.059 COLUMBIA ACORN FUND SHARES	05/21/2010	01/31/2012	961.00	807.00	154.00
62.434 COLUMBIA ACORN FUND SHARES	06/10/2010	01/31/2012	1,872.00	1,562.00	310.00
90.706 COLUMBIA ACORN FUND SHARES	12/15/2010	01/31/2012	2,720.00	2,680.00	40.00
116.783 COLUMBIA ACORN INT FUND CL Z	05/15/2009	11/30/2011	4,121.00	3,000.00	1,121.00
445.56 COLUMBIA ACORN INTE FUND CL Z	05/15/2009	03/30/2012	17,591.00	11,446.00	6,145.00
262.931 COLUMBIA ACORN INT FUND CL Z	09/02/2009	03/30/2012	10,381.00	7,959.00	2,422.00
56.328 COLUMBIA ACORN INTE FUND CL Z	05/21/2010	03/30/2012	2,224.00	1,819.00	405.00
1703.315 COLUMBIA MARSICO L OPPORTUNITIES FD CLASS Z	05/15/2009	09/30/2011	16,130.00	14,836.00	1,294.00
959.498 COLUMBIA MARSICO I OPPORTUNITIES FD CLASS Z SH	09/02/2009	09/30/2011	9,086.00	9,461.00	-375.00
1469.768 COLUMBIA MARSICO L OPPORTUNITIES FD CLASS Z	01/12/2010	09/30/2011	13,919.00	16,020.00	-2,101.00
1243.778 COLUMBIA MARSICO L OPPORTUNITIES FD CLASS Z	01/22/2010	09/30/2011	11,779.00	12,749.00	-970.00
87.156 COLUMBIA MARSICO IN OPPORTUNITIES FD CLASS Z SH	04/20/2010	09/30/2011	825.00	953.00	-128.00
186.515 COLUMBIA MARSICO I OPPORTUNITIES FD CLASS Z SH	05/03/2010	09/30/2011	1,766.00	1,983.00	-217.00
345.814 COLUMBIA MARSICO I OPPORTUNITIES FD CLASS Z SH	05/21/2010	09/30/2011	3,275.00	3,275.00	
266.468 COLUMBIA SMALL CAP FUND CLASS Z SHARES	09/02/2009	01/31/2012	11,639.00	9,068.00	2,571.00
240.141 COLUMBIA SMALL CAP FUND CLASS Z SHARES	01/12/2010	01/31/2012	10,489.00	9,212.00	1,277.00
472.489 COLUMBIA SMALL CAP FUND CLASS Z SHARES	05/15/2009	07/29/2011	15,909.00	8,344.00	7,565.00
591.453 COLUMBIA SMALL CAP FUND CLASS Z SHARES	09/02/2009	07/29/2011	19,914.00	12,148.00	7,766.00
12.764 COLUMBIA SMALL CAP FUND CLASS Z SHARES	04/05/2010	07/29/2011	430.00	348.00	82.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011



Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

SOPHIA F ROMERO T/U/W

04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15.454 COLUMBIA SMALL CAP FUND CLASS Z SHARES	05/21/2010	07/29/2011	520.00	388.00	132.00
22.785 COLUMBIA SMALL CAP FUND CLASS Z SHARES	06/10/2010	07/29/2011	767.00	571.00	196.00
637.598 COLUMBIA SELECT SM CLASS Z SHARES	05/15/2009	07/29/2011	10,820.00	6,535.00	4,285.00
989.098 COLUMBIA SELECT SM CLASS Z SHARES	09/02/2009	07/29/2011	16,785.00	11,879.00	4,906.00
26.459 COLUMBIA SELECT SMA CLASS Z SHARES	04/05/2010	07/29/2011	449.00	407.00	42.00
26.738 COLUMBIA SELECT SMA CLASS Z SHARES	05/21/2010	07/29/2011	454.00	378.00	76.00
37.509 COLUMBIA SELECT SMA CLASS Z SHARES	06/10/2010	07/29/2011	637.00	528.00	109.00
52.226 COLUMBIA SELECT LAR GROWTH FUND CLASS Z SHARES	01/22/2010	07/29/2011	720.00	517.00	203.00
2992.485 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	01/22/2010	11/30/2011	37,496.00	29,626.00	7,870.00
9011.737 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	03/05/2010	11/30/2011	112,917.00	93,271.00	19,646.00
8469.9 COLUMBIA SELECT LAR GROWTH FUND CLASS Z SHARES	03/24/2010	11/30/2011	106,128.00	89,866.00	16,262.00
2681.316 COLUMBIA SELECT L GROWTH FUND CLASS Z SHARES	04/05/2010	11/30/2011	33,597.00	28,744.00	4,853.00
255.267 COLUMBIA SELECT LA GROWTH FUND CLASS Z SHARES	04/05/2010	01/31/2012	3,290.00	2,736.00	554.00
965.884 COLUMBIA SELECT LA GROWTH FUND CLASS Z SHARES	04/20/2010	01/31/2012	12,450.00	10,528.00	1,922.00
1421.118 EATON VANCE LARGE FUND CL I	09/02/2009	11/30/2011	24,187.00	21,374.00	2,813.00
1259.238 EATON VANCE LARGE FUND CL I	01/13/2010	11/30/2011	21,432.00	21,923.00	-491.00
2677.176 EATON VANCE LARGE FUND CL I	01/22/2010	11/30/2011	45,566.00	44,280.00	1,286.00
259.164 EATON VANCE LARGE- FUND CL I	03/08/2010	11/30/2011	4,411.00	4,465.00	-54.00
621.651 EATON VANCE LARGE- FUND CL I	03/08/2010	01/31/2012	11,072.00	10,711.00	361.00
2.86 HARBOR INTERNATIONAL CL	05/15/2009	11/30/2011	157.00	115.00	42.00
76.905 HARBOR INTERNATIONAL CL	05/15/2009	01/31/2012	4,347.00	3,099.00	1,248.00
2864.647 JOHN HANCOCK FDS DISCIPLINED VALUE MID CAP F	03/31/2011	04/18/2012	36,095.00	35,321.00	774.00
380.02 LAZARD FUNDS INC EM PORTFOLIO INSTL	01/13/2010	01/31/2012	7,122.00	7,027.00	95.00
2542.827 LAZARD FUNDS INC MKTS PORTFOLIO INSTL	01/22/2010	01/31/2012	47,653.00	44,830.00	2,823.00
777.307 LAZARD FUNDS INC E PORTFOLIO INSTL	03/08/2010	01/31/2012	14,567.00	14,217.00	350.00

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Employer identification number

SOPHIA F ROMERO T/U/W

04-6029538

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 866.909 NATIXIS FDS TR IV ESTATE FD CL Y COM	05/15/2009	11/30/2011	14,183.00	7,083.00	7,100.00
870.925 NATIXIS FDS TR IV ESTATE FD CL Y COM	09/02/2009	11/30/2011	14,248.00	8,727.00	5,521.00
86.565 NATIXIS FDS TR IV A ESTATE FD CL Y COM	09/02/2009	01/31/2012	1,560.00	867.00	693.00
58.57 NATIXIS FDS TR IV AE ESTATE FD CL Y COM	04/05/2010	04/18/2012	1,090.00	810.00	280.00
82.507 NATIXIS FDS TR IV A ESTATE FD CL Y COM	05/21/2010	04/18/2012	1,535.00	1,121.00	414.00
1361.911 NATIXIS FDS TR IV ESTATE FD CL Y COM	09/02/2009	04/18/2012	25,345.00	13,648.00	11,697.00
5232.146 PIMCO TOTAL RETUR	05/15/2009	09/30/2011	56,455.00	54,362.00	2,093.00
8824.089 PIMCO TOTAL RETUR	05/15/2009	01/31/2012	98,124.00	91,682.00	6,442.00
3521.234 PIMCO TOTAL RETUR	01/13/2010	01/31/2012	39,156.00	38,417.00	739.00
13449.704 PIMCO TOTAL RETU INSTL	01/22/2010	01/31/2012	149,561.00	147,409.00	2,152.00
681.617 PIMCO TOTAL RETURN	03/08/2010	01/31/2012	7,580.00	7,491.00	89.00
3967.728 PIMCO TOTAL RETUR	03/25/2010	01/31/2012	44,121.00	43,645.00	476.00
1642.828 PIMCO TOTAL RETUR	04/05/2010	01/31/2012	18,268.00	18,055.00	213.00
1011.793 PIMCO COMMODITY R STRATEGY FUND	05/15/2009	01/31/2012	6,941.00	6,971.00	-30.00
1447.362 PIMCO COMMODITY R STRATEGY FUND	09/02/2009	01/31/2012	9,929.00	10,783.00	-854.00
1878.254 PIMCO COMMODITY R STRATEGY FUND	01/13/2010	01/31/2012	12,885.00	15,815.00	-2,930.00
2971.156 PIMCO COMMODITY R STRATEGY FUND	01/22/2010	01/31/2012	20,382.00	24,245.00	-3,863.00
1980.438 PIMCO COMMODITY R STRATEGY FUND	03/08/2010	01/31/2012	13,586.00	16,160.00	-2,574.00
509.176 PIMCO EMERGING MKT FUND INSTL	05/15/2009	11/30/2011	5,132.00	4,466.00	666.00
260.334 PIMCO EMERGING MKT FUND INSTL	09/02/2009	11/30/2011	2,624.00	2,500.00	124.00
291.713 PIMCO EMERGING MKT FUND INSTL	09/02/2009	01/31/2012	3,022.00	2,801.00	221.00
1169.317 PIMCO EMERGING MK FUND INSTL	01/13/2010	01/31/2012	12,114.00	11,941.00	173.00
39.055 PIMCO EMERGING MKT FUND INSTL	03/25/2010	01/31/2012	405.00	394.00	11.00
16.375 PIMCO EMERGING MKT FUND INSTL	04/20/2010	01/31/2012	170.00	169.00	1.00
147.023 PIMCO EMERGING MKT FUND INSTL	12/31/2010	01/31/2012	1,523.00	1,558.00	-35.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Employer identification number

04-6029538

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	155,328.00
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SOPHIA F ROMERO T/U/W
04-6029538
Balance Sheet
04/30/2012



Cusip	Asset	Units	Basis	Market Value
008882532	INVESCO INTL GROWTH FUND	2621 833	63,238 61	72,913 18
19765N567	COLUMBIA SMALL CAP VALUE I FUND	1581 098	67,554.76	69,394 39
19765Y688	COLUMBIA SELECT LARGE CAP	22750 430	237,381.12	326,241 17
269858304	EAGLE SM CAP GROWTH FUND	1699.337	68,017 45	73,564 30
277905642	EATON VANCE LARGE-CAP VALUE	16435.411	282,625 65	309,971 85
302993993	MID CAP VALUE CTF	5420.703	102,403.04	102,403 04
411511306	HARBOR INTERNATIONAL FUND	1185 269	58,066.48	70,333.86
52106N889	LAZARD EMERGING MKTS EQUITY	10542 409	194,583 40	202,625.10
592905509	METRO WEST T/R BD CL I	27624 666	290,058 99	293,097.71
693390700	PIMCO TOTAL RETURN FUND	27671 838	303,436 19	310,478 02
693390841	PIMCO HIGH YIELD FD	21594 868	199,429 84	201,480.12
722005667	PIMCO COMMODITY REALRETURN	21593.666	166,752.49	145,325.37
74441C808	PRUDENTIAL JENNISON MID-CAP	3157 903	96,694.99	104,684.48
922908553	VANGUARD REIT	438 000	28,090.74	28,675.86
	Cash/Cash Equivalent	347213.710	347,213.71	347,213.71
		Totals	2,505,547 46	2,658,402.16