Form 990-PF

Department of the Treasury
Internal Revenue Service

[illegible]eturn of Private Foundation
or S[illegible]ion 4947(a)(1) Nonexempt Charitable T[illegible]
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning APR 1, 2011 **, and ending** MAR 31, 2012

Name of foundation
THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

A Employer identification number
33-0644620

Number and street (or P O box number if mail is not delivered to street address): 1450 N. TUSTIN AVENUE
Room/suite: 209

B Telephone number
(714) 245-1650

City or town, state, and ZIP code
SANTA ANA, CA 92705

C If exemption application is pending, check here ▶☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 15,035,887.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	17.	17.		STATEMENT 1
	4	Dividends and interest from securities	384,081.	384,081.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	717,866.			
	b	Gross sales price for all assets on line 6a 21,965.				
	7	Capital gain net income (from Part IV, line 2)		717,866.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	1,101,964.	1,101,964.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages	56,084.	0.		39,259.
	15	Pension plans, employee benefits	20,054.	0.		14,038.
	16a	Legal fees				
	b	Accounting fees STMT 3	33,055.	0.		0.
	c	Other professional fees STMT 4	98,270.	91,644.		0.
	17	Interest				
	18	Taxes STMT 5	5,609.	0.		2,218.
	19	Depreciation and depletion	4,498.	0.		
	20	Occupancy	16,812.	0.		0.
	21	Travel, conferences, and meetings	638.	0.		533.
	22	Printing and publications				
	23	Other expenses STMT 6	17,195.	0.		8,157.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	252,215.	91,644.		64,205.
	25	Contributions, gifts, grants paid	485,695.			485,695.
	26	**Total expenses and disbursements.** Add lines 24 and 25	737,910.	91,644.		549,900.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	364,054.			
	b	**Net investment income** (if negative, enter -0-)		1,010,320.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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12-02-11 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2011)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	3,693.	6,713.	6,713.
	2	Savings and temporary cash investments	614,769.	474,560.	474,560.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ 1,182,010.			
		Less allowance for doubtful accounts ▶	1,234,553.	1,182,010.	1,182,010.
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,401.	1,450.	1,450.
	10a	Investments - U S and state government obligations STMT 9	1,462,095.	1,243,476.	1,243,476.
	b	Investments - corporate stock STMT 10	6,375,501.	6,920,692.	6,920,692.
	c	Investments - corporate bonds STMT 11	561,920.	0.	0.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 12	4,958,969.	5,002,725.	5,002,725.
	14	Land, buildings, and equipment basis ▶ 110,737.			
		Less accumulated depreciation STMT 13▶ 102,914.	9,435.	7,823.	7,823.
	15	Other assets (describe ▶ STATEMENT 14)	236,438.	196,438.	196,438.
	16	**Total assets** (to be completed by all filers)	15,458,774.	15,035,887.	15,035,887.
Liabilities	17	Accounts payable and accrued expenses	3,507.	987.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	3,507.	987.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	14,129,565.	13,852,890.	
	25	Temporarily restricted	1,325,702.	1,182,010.	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances**	15,455,267.	15,034,900.	
	31	**Total liabilities and net assets/fund balances**	15,458,774.	15,035,887.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,455,267.
2	Enter amount from Part I, line 27a	2	364,054.
~~3~~	~~Other increases not included in line 2 (itemize) ▶ BOOK/TAX DEPRECIATION DIFFERENCE~~	~~3~~	~~942.~~
4	Add lines 1, 2, and 3	4	15,820,263.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	785,363.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,034,900.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	21,965.			717,866.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				717,866.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 717,866.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	690,759.	12,474,609.	.055373
2009	488,285.	11,594,800.	.042112
2008	1,282,147.	12,529,119.	.102333
2007	374,444.	15,520,182.	.024126
2006	683,186.	14,782,868.	.046215

2 **Total** of line 1, column (d)	2	.270159
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054032
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	12,596,793.
5 Multiply line 4 by line 3	5	680,630.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,103.
7 Add lines 5 and 6	7	690,733.
8 Enter qualifying distributions from Part XII, line 4	8	549,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	20,206.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	20,206.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	20,206.
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	10,240.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	16,500.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	26,740.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	6,534.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶	6,534.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.HFOC.ORG

14 The books are in care of ▶ KRAMER & OLSEN ACCOUNTANCY CORP Telephone no ▶ (714) 558-3236

Located at ▶ SANTA ANA, CALIFORNIA ZIP+4 ▶ 92705

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B **Statements Regarding [illegible]ivities for Which Form 4720 May Be Re[illegible]red** *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MMC, INC. 8150 BEVERLY BLVD., LOS ANGELES, CA 90048	SEE FOOTNOTE	81,915.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 HEALTH CARE WEBSITE MAINTENANCE: LUMINYS, INC.	3,000.
2 HEALTH CARE TRAINING/MTG. HOSTED/FUNDED ANNUAL MEETING; SESSION WITH DONOR FOUNDATIONS	3,867.
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	12,005,253.
b	Average of monthly cash balances		1b	773,236.
c	Fair market value of all other assets		1c	10,133.
d	**Total** (add lines 1a, b, and c)		1d	12,788,622.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	12,788,622.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	191,829.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	12,596,793.
6	**Minimum investment return.** Enter 5% of line 5		6	629,840.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	629,840.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 20,206.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	20,206.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	609,634.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	609,634.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	609,634.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	549,900.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	549,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	549,900.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				609,634.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			450,276.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 549,900.				
a Applied to 2010, but not more than line 2a			450,276.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				99,624.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				510,010.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a *Paid during the year*				
CHILDRENS HOSPITAL OF ORANGE COUNTY 455 S. MAIN STREET ORANGE, CA 92868	NONE	NON-PROFIT CORP	COLLABORATIVE AGAINST OBESITY	250,000.
MOMS ORANGE COUNTY 1128 W. SANTA ANA BLVD. SANTA ANA, CA 92703	NONE	NON-PROFIT CORP	TO HELP MOTHERS AND THEIR FAMILIES HAVE HEALTHY BABIES BY PROVIDING HEALTH COORDINATION,	42,000.
ONE O.C. 1901 E. FOURTH STREET, STE 100 SANTA ANA, CA 92705	NONE	NON-PROFIT CORP	MOBILIZES VOLUNTEER ACTION	10,000.
ST. JOSEPH HOSPITAL OF ORANGE 1100 WEST STEWART DRIVE ORANGE, CA 92863	NONE	NON-PROFIT CORP	PROVIDE HIGH-QUALITY DENTAL SERVICES TO AGRICULTURAL WORKERS & THEIR FAMILIES (PUENTE A SALUD DENTAL CLINIC	125,000.
VIETNAMESE AMERICAN CANCER FOUNDATION 17150 NEWHOPE STREET, #501-502 FOUNTAIN VALLEY, CA 92708	NONE	NON-PROFIT CORP	"WOMEN HELP WOMEN"-DEVELOP CULTURALLY AND LINGUISTICALLY SENSITIVE SERVICES FOR	8,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	485,695.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	17.	
4 Dividends and interest from securities			14	406,046.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	695,901.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		1,101,964.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 1,101,964.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee

Date 11/12/12

Title Chairman of the Board

Paid Preparer Use Only

Print/Type preparer's name GARY KRAMER

Preparer's signature

Date 11/8/1

Check ☐ if self-employed

PTIN P00064881

Firm's name ▶ KRAMER & OLSEN ACCOUNTANCY CORP.

Firm's EIN ▶ 95-3417301

Firm's address ▶ 2010 N. TUSTIN AVE.
SANTA ANA, CA 92705

Phone no (714)558-3236

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY
33-0644620

Part XV **Supplementary Informa**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YWCA NORTH ORANGE COUNTY 215 E. COMMONWEALTH AVE., STE E FULLERTON, CA 92832	NONE	NON-PROFIT CORP	EARLY BREAST CANCER SCREENING & EDUCATION PROGRAM TO SERVE THE UNINSURED, LINGUISTICALLY	9,000.
FAMILY SUPORT NETWORK 181 WEST ORANGETHORPE AVENUE, STE D PLACENTIA, CA 92870	NONE	NON-PROFIT CORP	COMMUNITY RESOURCE FOR UNDERSERVED, LOW-INCOME FAMILIES OF CHILDREN WITH SPECIAL NEEDS.	15,000.
HEALTHY SMILES FOR KIDS OF O.C. 10602 CHAPMAN AVENUE #200 GARDEN GROVE, CA 92840	NONE	NON-PROFIT CORP	REDUCE ORAL DISEASE IN CHILDREN THROUGH PROGRAMS AIMED AT PREVENTION, EDUCATION, TREATMENT & ADVOCACY.	18,000.
SPECIAL SERVICE FOR GROUPS/SAATH 605 W. OLYMPIC BLVD. SUITE 600 LOS ANGELES, CA 90015	NONE	NON-PROFIT CORP	RESEARCH & OUTREACH TO ASSIST SOUTH ASIANS AFFLICTED WITH CANCER	8,000.
AMERICAN SECURITIZATION FORUM ONE WORLD FINANCIAL CENTER 30TH FLOOR NEW YORK, NY 10281	NONE	NON-PROFIT CORP	ENABLES MEMBERS TO ADVOCATE COMMON INTERESTS.	695.
Total from continuation sheets				50,695.

123631 08-03-11

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY 33-0644620

Part XV **Supplementary Informa**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - MOMS ORANGE COUNTY

TO HELP MOTHERS AND THEIR FAMILIES HAVE HEALTHY BABIES BY PROVIDING HEALTH COORDINATION, EDUCATION AND ACCESS TO COMMUNITY SERVICES

NAME OF RECIPIENT - ST. JOSEPH HOSPITAL OF ORANGE

PROVIDE HIGH-QUALITY DENTAL SERVICES TO AGRICULTURAL WORKERS & THEIR FAMILIES (PUENTE A SALUD DENTAL CLINIC AT VALLEY HIGH SCHOOL)

NAME OF RECIPIENT - VIETNAMESE AMERICAN CANCER FOUNDATION

"WOMEN HELP WOMEN"-DEVELOP CULTURALLY AND LINGUISTICALLY SENSITIVE SERVICES FOR PATIENTS WHO ARE WOMEN DEALING WITH BREAST AND CERVICAL CANCER.

NAME OF RECIPIENT - YWCA NORTH ORANGE COUNTY

EARLY BREAST CANCER SCREENING & EDUCATION PROGRAM TO SERVE THE UNINSURED, LINGUISTICALLY ISOLATED, MULTIETHNIC WOMEN, 40 YEARS AND OLDER.

THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY

CONTINUATION FOR 990-PF, PART IV

33-06446[redacted] PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on [redacted]ment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SMITH BARNEY #72560-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
b	SMITH BARNEY #72373-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
c	SMITH BARNEY #71865-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
d	SMITH BARNEY #71583-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
e	SMITH BARNEY #71094-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
f	SMITH BARNEY #71097-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
g	SMITH BARNEY #71098-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
h	SMITH BARNEY #71099-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
i	SMITH BARNEY #71102-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
j	SMITH BARNEY #71578-DETAIL AVAILABLE UPON REQUEST		VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				<4,544.>
b				<48,224.>
c				162,474.
d				10,278.
e				236,668.
f				19,806.
g				72,498.
h				78,760.
i				57,319.
j				110,866.
k	21,965.			21,965.
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				<4,544.>
b				<48,224.>
c				162,474.
d				10,278.
e				236,668.
f				19,806.
g				72,498.
h				78,760.
i				57,319.
j				110,866.
k				21,965.
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	717,866.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

123591
05-01-11

Asset Number	Description of property	Date placed in service	Method/ IRC sec	Life or rate	Line No	Cost or other basis	Basis reduction	Accumulated depreciation/amortization	Current year deduction
1	VARIOUS EQUIPMENT	VARIES	SL	7.00	16	60,000.		60,000.	0.
7	MICROEDGE SOFTWARE	033199		60M	43	12,700.		12,700.	0.
15	COMPUTER WORKSTATION	091703	200DB	5.00	17	1,433.	717.	716.	0.
16	HP 8000N	103103	200DB	5.00	17	1,945.	973.	972.	0.
17	LAPTOP	021704	200DB	5.00	17	2,290.	1,320.	970.	0.
18	LAPTOP	030104	200DB	5.00	17	2,299.	1,325.	974.	0.
19	PHOTOCOPIER	030904	200DB	7.00	17	1,675.	838.	837.	0.
20	LCD PROJECTOR	110204	200DB	7.00	17	1,672.		1,585.	87.
22	COMPUTER	010105	200DB	5.00	17	2,839.		2,839.	0.
23	EQUIPMENT	092905	200DB	7.00	17	3,297.		2,855.	295.
24	PRINTER	100705	200DB	5.00	17	349.		349.	0.
25	EQUIPMENT	120205	200DB	7.00	17	115.		99.	11.
27	EXTERNAL HARD DRIVES	052507	200DB	5.00	17	603.		528.	67.
28	COMPUTER EQUIPMENT	013108	200DB	5.00	17	749.	375.	297.	41.
29	EQUIPMENT	022508	200DB	7.00	17	1,063.	532.	345.	53.
30	SOFTWARE	071608	SL	3.00	16	3,945.	1,973.	1,807.	164.
31	LAPTOP & ACCESSORIES	042709	200DB	5.00	17	1,037.	519.	270.	99.
32	COMPUTER	052709	200DB	5.00	17	1,799.	900.	468.	172.
33	DESK	052709	200DB	7.00	17	1,286.	643.	249.	113.
34	PRINTER (MAGICOLO 1680MF)	062909	200DB	5.00	17	724.	362.	188.	70.
35	ARTWORK	052709	200DB	7.00	17	1,674.	837.	325.	146.
36	ARTWORK	092909	200DB	7.00	17	399.	200.	77.	35.
37	CREDENZA	062810	200DB	7.00	17	971.		139.	238.
38	CHAIRS & DESK	071510	200DB	7.00	17	3,929.		561.	962.
39	VERIZON XOOM TABLET	040111	200DB	5.00	19B	668.	668.		668.
40	LAPTOP	050911	200DB	5.00	19B	627.	627.		627.
41	IPAD	061311	200DB	5.00	19B	650.	650.		650.

116261
05-01-11

- Current year section 179 (D) - Asset disposed

Depreciation and Amortization Det[illegible]ORM 990-PF PAGE 1 990-PF

Asset Number	Description of property Date placed in service	Method/ IRC sec	Life or rate	Line No	Cost or other basis	Basis reduction	Accumulated depreciation/amortization	Current year deduction
	* TOTAL 990-PF PG 1 DEPR & AMORT				110,738.	13,459.	90,150.	4,498.

116261
05-01-11

- Current year section 179 (D) - Asset disposed

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
DRM	17.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	17.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CITI SMITH BARNEY	405,796.	21,965.	383,831.
EDISON INT'L	180.	0.	180.
MANULIFE	59.	0.	59.
QWEST	11.	0.	11.
TOTAL TO FM 990-PF, PART I, LN 4	406,046.	21,965.	384,081.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	33,055.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	33,055.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	98,270.	91,644.		0.
TO FORM 990-PF, PG 1, LN 16C	98,270.	91,644.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	105.	0.		0.
PAYROLL TAXES	3,169.	0.		2,218.
FOREIGN TAXES	2,035.	0.		0.
FILING FEES	190.	0.		0.
BUSINESS PROPERTY TAXES	110.	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,609.	0.		2,218.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE AND OTHER	9,536.	0.		6,334.
INSURANCE	4,703.	0.		0.
EQUIPMENT LEASE-REFUND	<201.>	0.		0.
TELEPHONE AND PAGERS	2,166.	0.		1,625.
SUPPLIES	991.	0.		198.
TO FORM 990-PF, PG 1, LN 23	17,195.	0.		8,157.

FOOTNOTES STATEMENT 7

THE FOUNDATION LEASES EMPLOYEES FROM MMC, INC. THEY PAID THIS VENDOR $81,915 FOR THE YEAR ENDING 3/31/12 IN WAGES AND RELATED EXPENSES.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
UNREALIZED GAINS AND LOSSES	732,820.
VALUATION ADJUSTMENT-CRT	52,543.
TOTAL TO FORM 990-PF, PART III, LINE 5	785,363.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71097	X		1,243,476.	1,243,476.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,243,476.	1,243,476.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,243,476.	1,243,476.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71094	1,592,227.	1,592,227.
MS SMITH BARNEY #71098	1,535,826.	1,535,826.
MS SMITH BARNEY #71099	2,452,548.	2,452,548.
MS SMITH BARNEY #71102	0.	0.
MS SMITH BARNEY #71578	0.	0.
MS SMITH BARNEY #71865	660,093.	660,093.
MS SMITH BARNEY #72560	679,998.	679,998.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,920,692.	6,920,692.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71578	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	0.	0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MS SMITH BARNEY #71583	FMV	2,027,979.	2,027,979.
MS SMITH BARNEY #71097	FMV	1,143,214.	1,143,214.
MS SMITH BARNEY #71576	FMV	0.	0.
MS SMITH BARNEY #72373	FMV	356,087.	356,087.
MS SMITH BARNEY #72195	FMV	673,736.	673,736.
MS SMITH BARNEY #72178	FMV	644,529.	644,529.
MS SMITH BARNEY #72739	FMV	156,897.	156,897.
MS SMITH BARNEY #71095	FMV	283.	283.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,002,725.	5,002,725.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 13

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
VARIOUS EQUIPMENT	60,000.	60,000.	0.
MICROEDGE SOFTWARE	12,700.	12,700.	0.
COMPUTER WORKSTATION	1,433.	1,433.	0.
HP 8000N	1,945.	1,945.	0.
LAPTOP	2,290.	2,290.	0.
LAPTOP	2,299.	2,299.	0.
PHOTOCOPIER	1,675.	1,675.	0.
LCD PROJECTOR	1,672.	1,672.	0.
COMPUTER	2,839.	2,839.	0.
EQUIPMENT	3,297.	3,150.	147.
PRINTER	349.	349.	0.
EQUIPMENT	115.	110.	5.
EXTERNAL HARD DRIVES	603.	595.	8.
COMPUTER EQUIPMENT	749.	713.	36.
EQUIPMENT	1,063.	930.	133.
SOFTWARE	3,945.	3,944.	1.

LAPTOP & ACCESSORIES	1,037.	888.	149.
COMPUTER	1,799.	1,540.	259.
DESK	1,286.	1,005.	281.
PRINTER (MAGICOLO 1680MF)	724.	620.	104.
ARTWORK	1,674.	1,308.	366.
ARTWORK	399.	312.	87.
CREDENZA	971.	377.	594.
CHAIRS & DESK	3,929.	1,523.	2,406.
VERIZON XOOM TABLET	668.	668.	0.
LAPTOP	627.	627.	0.
IPAD	650.	650.	0.
TOTAL TO FM 990-PF, PART II, LN 14	110,738.	106,162.	4,576.

FORM 990-PF OTHER ASSETS STATEMENT 14

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSIT	859.	859.	859.
SETTLEMENT RECOVERY RECEIVABLE	235,579.	195,579.	195,579.
TO FORM 990-PF, PART II, LINE 15	236,438.	196,438.	196,438.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LILIA M. TANAKEYOWMA 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	CHAIRMAN 5.00	0.	0.	0.
J.FERNANDO NIEBLA 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	VICE CHAIRMAN 5.00	0.	0.	0.
MARVEN E. HOWARD 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	CHIEF FINANCIAL OFFICER 5.00	0.	0.	0.
JOHN O. STRONG, M.D. 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	SECRETARY 5.00	0.	0.	0.
TIMOTHY P. MULLINS 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 1.00	0.	0.	0.
QUYNH KIEU M.C. 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 5.00	0.	0.	0.
ANTHONY MAGNO 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 5.00	0.	0.	0.
DONALD P. KENNEDY 1450 N. TUSTIN AVE. STE. 209 SANTA ANA, CA 92705	MEMBER 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 16
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CATHERINE KOENIG, THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY
1450 N. TUSTIN AVE. STE. 209
SANTA ANA, CA 92705

TELEPHONE NUMBER

(714) 245-1650

FORM AND CONTENT OF APPLICATIONS

SEE ATTACHED APPLICATION GUIDELINES

ANY SUBMISSION DEADLINES

SEE ATTACHED APPLICATION GUIDELINES

RESTRICTIONS AND LIMITATIONS ON AWARDS

SEE ATTACHED APPLICATION GUIDELINES

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990-PF
(Including Information on Listed Property)

▶ **See separate instructions.** ▶ **Attach to your tax return.**

OMB No 1545-0172
2011
Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY	FORM 990-PF PAGE 1	33-0644620

Part I **Election To Expense Certain Property Under Section 179** **Note:** *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2012 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	1,945.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	164.

Part III **MACRS Depreciation (Do not** include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2011	17	2,389.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV **Summary** (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr.	22	4,498.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** 24a, 24b, *columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26. Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2011 tax year:					
43 Amortization of costs that began before your 2011 tax year				43	
44 **Total.** Add amounts in column (f). See the instructions for where to report				44	

Ref: 00000859 00096361

MorganStanley
SmithBarney

Reserved Client
Financial Management Account
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

***Account number* 602-72739-18 531**

Morgan Stanley Smith Barney LLC. Member SIPC.

G/L # 1233

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248
TTY/TDD Deaf & Hard of hearing. 800-227-4238

1233	Inv	1,739.88	159,006.56
4504	Inv Mgmt	—	253 09
4200	Unreal G/L	1,569.79	5,467.09
1240	Inv	–	40,000,c
1243	Inv	–	13,618.00
1244	Inv	–	100,000.00
4300	Div	168.66	172.89
4301	C.G Dist	1.40	1.40
4400	Int.	0.03	0 27

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Cash balance	$ 04	$ 0 00	
Money fund	1,939.34	2,109.47	1.33
Mutual funds	155,327 30	156,897 09	98.67
Total value	**$ 157,266.68**	**$ 159,006.56**	**100.00**

Earnings summary

	This period	This year
Other dividends	$ 168 66	$ 172 89
Money fund earnings	0.00	.20
Cap. gains distributions-ST	0.00	0.00
Cap. gains distributions-LT	1.40	1 40
Total	**$ 170.06**	**$ 174.49**

Additional summary information

	This period	This year
Other income	$ 03	$ .07

Gain/loss summary

		This period	This year
Unrealized gain or (loss) to date	$ 5,467 09		

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 1,939.38**	
Deposits	0.00	153,618.00
Withdrawals	0.00	(253.09)
Dividends credited	168.66	
Capital gains distributions credited	1.40	
Other income credited	.03	
Closing balance	**$ 2,109.47**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 157,266.68**	**$ 0 00**
Net security deposits/withdrawals	0.00	0 00
Net cash deposits/withdrawals	0.00	153,364 91
Beginning value net of deposits/withdrawals	**157,266.68**	**153,364.91**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 159,006 56**	**$ 159,006.56**
Change in value	**$ 1,739 88**	**$ 5,641.65**
less :- earnings	< 170.09 >	
	1,569.79	

HEALTHCARE FOUNDATION

Account number 602-72739-18 531

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12. but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
2,109 47	MORGAN STANLEY AA MONEY TRUST	$ 2,109 47		01 %	$ .21
Total money fund		$ 2,109.47	$ 0.00		$ 21

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only.* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account.* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
778.134	ASTON MONTAG & CALDWELL GROWTH FUND CL I	MCGIX	01/26/12	**$ 18,434.00**	$ 23.69	$ 25 35	**$ 19,725.70**	**$ 1,291.70** ST		.753%	**$ 148.62**
	Total Purchases vs. Current Value			**18,434 00**			**19,725.70**		**1,291.70**		
	Fund Value Increase/Decrease								**1,291.70**		
140.018	AQR DIVERSIFIED ARBITRAGE FUND CL I	ADAIX	01/26/12	**1,536.00**	10 97	11.09	**1,552.80**	**16.80** ST		**.838**	**13.02**
	Total Purchases vs. Current Value			**1,536 00**			**1,552.80**		**16.80**		
	Fund Value Increase/Decrease								**16 80**		
570.654	BRANDES INSTITUTIONAL INTERNATIONAL EQUITY FD CL I	BIIEX	01/26/12	**7,681.00**	13.46	14.10	**8,046.22**	**365 22** ST		**4.666**	**375.49**
	Total Purchases vs. Current Value			**7,681.00**			**8,046.22**		**365.22**		
	Fund Value Increase/Decrease								**365.22**		
125.49	CALAMOS MARKET NEUTRAL INCOME FUND CL I	CMNIX	01/26/12	**1,536.00**	12.24	12.42	**1,558.59**	**22 59** ST		**1 634**	**25.47**
	Cash distributions (since inception)								**4.14**		
	Total Purchases vs. Current Value			**1,536 00**			**1,558.59**		**22.59**		
	Fund Value Increase/Decrease								**26.73**		
532 621	DAVIS N Y VENTURE FD INC CLASS Y	DNVYX	01/26/12	**18,434.00**	34.61	36.65	**19,520.56**	**1,086 56** ST		**.791**	**154.46**
	Total Purchases vs. Current Value			**18,434 00**			**19,520 56**		**1,086.56**		
	Fund Value Increase/Decrease								**1,086.56**		

HEALTHCARE FOUNDATION *Account number 602-72739-18 531*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
645 161	DREYFUS APPRECIATION FUND	DGAGX	01/26/12	$ 27,000 00	$ 41 85	$ 44 50	$ 28,709 66	$ 1,709 66 ST		1 301 %	$ 373 54
	Total Purchases vs. Current Value			27,000 00			28,709 66		1,709 66		
	Fund Value Increase/Decrease								1,709 66		
144 515	DREYFUS LIMITED TERM HIGH YIELD FD CL I	DLHRX	01/26/12	922.00	6.379	6 43	929.23	7.23 ST		7.869	73.12
	Cash distributions (since inception)								4 76		
	Total Purchases vs Current Value			922.00			929.23		7.23		
	Fund Value Increase/Decrease								11 99		
237 526	EATON VANCE COMMODITY STRATEGY FUND CL I	EICSX	01/26/12	2,304 00	9.70	9.40	2,232 74	(71 26) ST		074	1 66
	Cash distributions (since inception)								1.40		
	Total Purchases vs. Current Value			2,304 00			2,232 74		(71 26)		
	Fund Value Increase/Decrease								(69 86)		
169 536	GOLDMAN SACHS ABSOLUTE RETURN TRACKER CLASS I	GJRTX	01/26/12	1,536 00	9.06	9 14	1,549.56	13.56 ST		.24	3 72
	Total Purchases vs. Current Value			1,536 00			1,549.56		13.56		
	Fund Value Increase/Decrease								13 56		
150	IQ ALPHA HEDGE STRATEGY FD INSTL SHARE CLASS	IQHIX	01/26/12	1,536.00	10 24	10 30	1,545 00	9 00 ST			
	Total Purchases vs Current Value			1,536 00			1,545 00		9 00		
	Fund Value Increase/Decrease								9 00		
62 363	IVY ASSET STRATEGY FUND CLASS I	IVAEX	01/26/12	1,536.00	24.63	25.67	1,600 86	64 86 ST		1 293	20.70
	Total Purchases vs Current Value			1,536 00			1,600.86		64 86		
	Fund Value Increase/Decrease								64 86		
102.674	JPMORGAN HIGHBRIDGE STAT MKT NEUTRAL FD SELECT CLASS	HSKSX	01/26/12	1,536 00	14.96	15 10	1,550 38	14 38 ST		218	3 38
	Total Purchases vs. Current Value			1,536 00			1,550.38		14 38		
	Fund Value Increase/Decrease								14 38		
411 409	LAZARD EMERGING MKTS EQUITY PORT INST'L SHS	LZEMX	01/26/12	7,681 00	18 67	19.71	8,108 87	427 87 ST		2.785	225 86
	Total Purchases vs. Current Value			7,681 00			8,108 87		427 87		
	Fund Value Increase/Decrease								427 87		
121.316	LORD ABBETT HIGH YIELD FD CL F	LHYFX	01/26/12	922.00	7.60	7.74	938.99	16 99 ST		7.39	69.39
	Cash distributions (since inception)								5.95		
	Total Purchases vs. Current Value			922 00			938.99		16.99		
	Fund Value Increase/Decrease								22.94		

HEALTHCARE FOUNDATION *Account number 602-72739-18 531*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
98 588	MERGER FUND (NO LOAD)	MERFX	01/26/12	$ 1,536 00	$ 15 58	$ 15.76	$ 1,553.75	$ 17.75 ST		.526%	$ 8 18
	Total Purchases vs Current Value			1,536.00			1,553 75		17.75		
	Fund Value Increase/Decrease								17.75		
143.284	NATIXIS FDS - ASG GLOBAL ALTERNATIVES FUND CLASS Y	GAFYX	01/26/12	1,536.00	10.72	10 62	1,521.68	(14 32) ST		1.082	16.47
	Total Purchases vs. Current Value			1,536.00			1,521.68		(14.32)		
	Fund Value Increase/Decrease								(14.32)		
425.954	NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y	NRFYX	01/26/12	7,681.00	18.032	18.70	7,965.34	284.34 ST		.887	70.70
	Total Purchases vs. Current Value			7,681.00			7,965.34		284.34		
	Fund Value Increase/Decrease								284.34		
109 539	NEUBERGER BERMAN HIGH INCOME BD. FD INST'L CL.	NHILX	01/26/12	999 00	9.12	9.18	1,005.57	6.57 ST		6 819	68.57
	Cash distributions (since inception)								5.51		
	Total Purchases vs Current Value			999 00			1,005.57		6.57		
	Fund Value Increase/Decrease								12.08		
702.745	PIMCO UNCONSTRAINED BOND FUND CLASS P	PUCPX	01/26/12	7,681.00	10.93	11.04	7,758.30	77.30 ST		1.585	122 98
	Cash distributions (since inception)								10.40		
	Total Purchases vs Current Value			7,681.00			7,758.30		77.30		
	Fund Value Increase/Decrease								87 70		
2,777.939	PIMCO TOTAL RETURN FUND CL P	PTTPX	01/26/12	30,724.00	11 06	11 09	30,807.34	83.34 ST		3.146	969 50
	Cash distributions (since inception)								78 25		
	Total Purchases vs. Current Value			30,724 00			30,807.34		83.34		
	Fund Value Increase/Decrease								161.59		
108 351	PIMCO HIGH YIELD FUND CL P	PHLPX	01/26/12	999.00	9.22	9.29	1,006 58	7.58 ST		7.061	71 07
	Cash distributions (since inception)								5.35		
	Total Purchases vs. Current Value			999.00			1,006 58		7.58		
	Fund Value Increase/Decrease								12.93		
336 547	PIMCO EMERGING MARKETS BOND FUND CL P	PEMPX	01/26/12	3,840.00	11.41	11.67	3,927.50	87.50 ST		4.695	184.42
	Cash distributions (since inception)								13.83		
	Total Purchases vs Current Value			3,840.00			3,927.50		87 50		
	Fund Value Increase/Decrease								101.33		

Reserved Client Financial Management Account

March 1 - March 31, 2012

HEALTHCARE FOUNDATION ***Account number* 602-72739-18 531**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
333 913	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P	PCRPX	01/26/12	$ 2,304.00	$ 6 90	$ 6 68	$ 2,230.54	($ 73.46) ST		26.766%	$ 597.03
	Cash distributions (since inception)								19.29		
	Total Purchases vs. Current Value			2,304.00			2,230.54		(73.46)		
	Fund Value Increase/Decrease								(54.17)		
127 787	PIMCO ALL ASSET FUND CL P	PALPX	01/26/12	1,536 00	12.02	12.14	1,551.33	15 33 ST		6.96	107.98
	Cash distributions (since inception)								14.40		
	Total Purchases vs. Current Value			1,536.00			1,551.33		15 33		
	Fund Value Increase/Decrease								29 73		
Total mutual funds (Tax based)				**$ 151,430.00**			**$ 156,897.09**	**$ 5,467.09 ST**		**2.36**	
								$ 0.00 LT			**$ 3,705 33**
Total Fund Value Increase/Decrease									**$ 5,630 37**		
Total portfolio value				**$ 153,539.47**			**$ 159,006.56**	**$ 5,467.09 ST**		**2.33**	**$ 3,705.54**
								$ 0.00 LT			

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Other security activity

Date	Activity	Description	Quantity	Value
03/23/12	Journal	NATIXIS FDS TR IV AEW REAL ESTATE FD CL A SHR CLASS CONV TO A191660	-411.629	
03/23/12	Journal	NATIXIS FDS TR IV AEW REAL ESTATE FD CL Y SHR CLASS CONV FROM H231323	425 954	
Net value of securities deposited/(withdrawn) + capital contributions				**$ 0 00**

Money fund activity

Opening money fund balance **$ 1,939.34**

All transactions are traded at $1.00 per share.

Date	Activity	Description	Amount
03/02/12	Autoinvest	MORGAN STANLEY AA MONEY TRUST	120.58
03/15/12	Autoinvest	MORGAN STANLEY AA MONEY TRUST	1 40
03/23/12	Autoinvest	MORGAN STANLEY AA MONEY TRUST	37 86
03/26/12	Autoinvest	MORGAN STANLEY AA MONEY TRUST	10 29
		Closing balance	**$ 2,109 47**

Ref: 00000859 00096345

MorganStanley
SmithBarney

Reserved Client Financial Management Account

March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-72560-12 531

Morgan Stanley Smith Barney LLC. Member SIPC.

G/L # 1234

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248
TTY/TDD Deaf & Hard of hearing: 800-227-4238

1234	Inv		128.18	64,598.44	
4504	Inv mgmt	11.02		1,380.46	
6852	Excise & othr	35.38		229.45	
4200	Unreal G/L	1,251.56			63,290 64
4300	Div		1,169 72		2,917.41
4400	Int		0 06		0 30

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

Account value	Last period	This period	%
Cash balance	$ 0 00	**$ 146.40**	.02
Money fund	13,796.85	**14,345.70**	2 07
Common stocks & options	677,248.76	**679,997.55**	97 91
Unsettled purchases/sales	-1,909.73	**-5,481.95**	
Total value	**$ 689,135.88**	**$ 689,007.70**	**100.00**

Unsettled purchases/sales are reflected in the "Portfolio details" section.

Earnings summary

	This period	This year
Other dividends	$ 1,169.72	$ 2,917.61
Money fund earnings	06	30
Total	**$ 1,169.78**	**$ 2,917.91**

Additional summary information

	This period	This year
FRGN tax withheld	$ 35.38	$ 229 45

Gain/loss summary

		This period	This year
Realized gain or (loss)		($ 2,738 49)	$ 0.00 LT ($ 3,871.81) ST
Unrealized gain or (loss) to date	(24,712 59)		

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 13,796 85**	
Securities bought and other subtractions	(21,018.02)	
Securities sold and other additions	17,017 67	
Prior transactions settling/cancelled	(1,909 73)	
Net unsettled purchases/sales	5,481.95	
Deposits	0.00	12.30
Withdrawals	(11.02)	(1,392.76)
Dividends credited	1,134.34	
Money fund earnings reinvested	.06	
Closing balance	**$ 14,492.10**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 689,135.88**	**$ 624,409 26**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(11.02)	(1,380.46)
Beginning value net of deposits/withdrawals	**689,124.86**	**623,028.80**
Total value as of 3/30/2012 (excl accr. int.)	**$ 689,007.70**	**$ 689,007 70**
Change in value	**($ 117.16)**	**$ 65,978.90**
less: earnings	⟨1,134 40⟩	
	1,251.56	

MorganStanley
SmithBarney

Ref: 00000859 00096346

Reserved Client
Financial Management Account
March 1 - March 31, 2012

HEALTHCARE FOUNDATION **Account number 602-72560-12 531**

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

	Rating		Rating
Brandes - International	AL		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareh[illegible]rs This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check wr[illegible]r ATM debits from your account

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
14,345.70	MORGAN STANLEY AA CALIFORNIA TAX FREE TRUST	$ 14,345.70		01 %	$ 1.43
Total money fund		**$ 14,345 70**	**$ 0.00**		**$ 1.43**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
85	DEUTSCHE BANK-EUR	DB	06/20/11	$ 4,893 83	$ 57 574	$ 49 79	$ 4,232 15	($ 661 68) ST	2 14%	$ 90 61

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
295	TE CONNECTIVITY LTD-CHF	TEL	06/20/11	$ 10,082.19	$ 34.176	$ 36.75	$ 10,841.25	$ 759.06 ST		
15			08/25/11	435.00	29 00	36.75	551.25	116.25 ST		
310				**10,517.19**	**33 926**		**11,392.50**	**875.31**	**1 959**	**223 20**
470	UBS AG (NEW)	UBS	06/20/11	8,457.42	17.994	14.02	6,589.40	(1,868.02) ST		
50			09/23/11	547.33	10.946	14.02	701.00	153.67 ST		
120			10/17/11	1,418.93	11.824	14.02	1,682.40	263.47 ST		
40			12/14/11	464.25	11.606	14.02	560.80	96.55 ST		
680				**10,887.93**	**16.012**		**9,533.60**	**(1,354.33)**	**.713**	**68 00**
1,060	FLEXTRONICS INTL LTD USD Exchange rate: .7954183 Shares traded in: Singapore dollars	FLEX	06/20/11	**6,675.99**	6 298	7.22	**7,653.20**	**977.21** ST		
1,580	AEGON N V-ADR AMER REG-ADR	AEG	06/20/11	10,000.14	6 329	5.56	8,784.80	(1,215.34) ST		
480			08/23/11	2,032.66	4 234	5.56	2,668.80	636.14 ST		
2,060				**12,032.80**	**5 841**		**11,453.60**	**(579.20)**	**2 014**	**230 72**
225	AKZO NOBEL N.V.ADR-EUR	AKZOY	06/20/11	4,979.54	22.131	19.62	4,414.50	(565.04) ST		
300			08/02/11	5,827.55	19.425	19.62	5,886.00	58.45 ST		
30			08/26/11	476.87	15.895	19.62	588.60	111.73 ST		
30			09/12/11	430.64	14.354	19.62	588 60	157.96 ST		
585				**11,714.60**	**20.025**		**11,477.70**	**(236.90)**	**2.721**	**312.39**
380	AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	AMX	08/05/11	8,968 84	23 602	24.83	9,435.40	466.56 ST		
25			10/07/11	545 27	21.81	24.83	620.75	75.48 ST		
40			02/17/12	938.31	23.457	24.83	993.20	54.89 ST		
445				**10,452.42**	**23.489**		**11,049.35**	**596.93**	**1.151**	**127.27**
220	ASTELLAS PHARMA INC-JPY	ALPMY	06/20/11	**8,443.84**	38.381	41.08	**9,037.60**	593.76 ST	**3.468**	**313.50**
270	ASTRAZENECA PLC SPON ADR	AZN	06/20/11	**13,285.57**	49.205	44.49	**12,012.30**	**(1,273.27)** ST	**6.293**	**756.00**
240	BP PLC SPONS ADR	BP	06/20/11	10,038 72	41.828	45 00	10,800 00	761.28 ST		
20			08/17/11	824.51	41 225	45 00	900 00	75.49 ST		
15			08/19/11	584 63	38 975	45 00	675 00	90 37 ST		
15			09/20/11	575.45	38 363	45.00	675 00	99.55 ST		
15			10/04/11	504 89	33.659	45.00	675.00	170.11 ST		
40			03/21/12	1,841.19	46.029	45.00	1,800.00	(41.19) ST		
345				**14,369.39**	**41.65**		**15,525.00**	**1,155.61**	**4.266**	**662.40**
585	BANCO SANTANDER BRASIL SA ADS RPSTG 1 UNIT	BSBR	12/15/11	**4,535.04**	7.752	9.17	**5,364.45**	**829.41** ST	**2.671**	**143.33**

HEALTHCARE FOUNDATION

Account number 602-72560-12 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
405	BARCLAYS PLC-ADR	BCS	06/20/11	$ 6,669.46	$ 16.467	$ 15 15	$ 6,135.75	($ 533.71) ST		
160			12/01/11	1,793 71	11 21	15.15	2,424 00	630.29 ST		
565				**8,463 17**	**14 979**		**8,559.75**	**96 58**	**2.514**	**215.27**
485	CRH PLC ADR-USD	CRH	06/20/11	10,071.07	20.765	20 51	9,947 35	(123.72) ST		
25			08/10/11	428 33	17.133	20 51	512 75	84 42 ST		
30			08/25/11	501 00	16 70	20 51	615 30	114 30 ST		
100			01/27/12	2,042 47	20 424	20 51	2,051 00	8 53 ST		
640				**13,042 87**	**20 379**		**13,126 40**	**83 53**	**3 539**	**464 64**
205	CANON INC ADR	CAJ	06/20/11	**9,537 63**	46 525	47.66	**9,770.30**	**232 67** ST	**3 036**	**296 64**
1,730	CARREFOUR SA-SP ADR	CRRFY	06/20/11	13,265 47	7.667	4 74	8,200 20	(5,065 27) ST		
330			10/03/11	1,491.04	4 518	4.74	1,564 20	73.16 ST		
420			10/13/11	1,971.90	4.695	4 74	1,990.80	18.90 ST		
100			12/08/11	482 72	4.827	4.74	474 00	(8.72) ST		
230			12/16/11	983 60	4.276	4.74	1,090 20	106.60 ST		
115			01/06/12	499 86	4.346	4.74	545 10	45.24 ST		
2,925				**18,694 59**	**6 391**		**13,864 50**	**(4,830 09)**	**4 81**	**666 90**
1,085	CEMEX S.A B DE C V SPONS ADR NEW	CX	06/20/11	**8,346 15**	7 692	7 76	**8,419 60**	**73 45** ST		
640	CENTRAIS ELETRICAS BRASILEIRAS SPON ADR	EBR	06/20/11	8,355 97	13 056	9 36	5,990 40	(2,365 57) ST		
210			12/01/11	1,948 51	9 278	9.36	1,965 60	17 09 ST		
850				**10,304.48**	**12 123**		**7,956.00**	**(2,348 48)**	**1.901**	**151 30**
615	DAI NIPPON PRTG LTD JAPAN SPONS ADR	DNPLY	06/20/11	**6,673 61**	10.851	10.17	**6,254.55**	**(419 06)** ST	**3.225**	**20**[illegible]
440	DAIICHI SANKYO CO SPONSORED ADR	DSNKY	06/20/11	**8,355 60**	18.99	18.22	**8,016.80**	**(338 80)** ST	**3 496**	**280 28**
890	DEUTSCHE TELEKOM AG SP ADR	DTEGY	06/20/11	13,348.75	14 998	12 05	10,724.50	(2,624 25) ST		
270			01/25/12	3,043.52	11 272	12.05	3,253 50	209 98 ST		
1,160				**16,392.27**	**14.131**		**13,978.00**	**(2,414.27)**	**7.551**	**1,055 60**
465	DEUTSCHE POST	DPSGY	06/20/11	8,313.87	17.879	19 25	8,951.25	637 38 ST		
30			08/16/11	475.84	15 861	19.25	577 50	101 66 ST		
495				**8,789.71**	**17 757**		**9,528.75**	**739 04**	**4.67**	**445 01**
445	ENI SPA SPONSORED ADR	E	06/20/11	20,016.81	44 981	46.82	20,834 90	818.09 ST		
60			08/10/11	2,140.63	35 677	46.82	2,809 20	668.57 ST		
505				**22,157.44**	**43 876**		**23,644 10**	**1,486.66**	**4.376**	**1,034.75**
740	ERICSSON AMER DEP SHS ADR	ERIC	06/20/11	10,047 28	13 577	10.31	7,629.40	(2,417.88) ST		
50			12/08/11	515.27	10.305	10.31	515.50	.23 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
120	ERICSSON AMER DEP SHS ADR	ERIC	01/26/12	$ 1,049.54	$ 8.746	$ 10.31	$ 1,237.20	$ 187 66 ST		
910				**11,612.09**	**12.761**		**9,382.10**	**(2,229.99)**	**2 57**	**241.15**
810	FRANCE TELECOM SA SPON ADR	FTE	06/20/11	16,660 65	20.568	14.85	12,028.50	(4,632.15) ST		
120			12/01/11	2,046 68	17.055	14.85	1,782.00	(264.68) ST		
140			01/13/12	2,071 26	14.794	14.85	2,079 00	7.74 ST		
1,070				**20,778.59**	**19.419**		**15,889.50**	**(4,889.09)**	**11.05**	**1,755.87**
335	FUJIFILM HLDGS CORP-JPY	FUJIY	06/20/11	10,177 77	30.381	23.51	7,875.85	(2,301.92) ST		
60			12/01/11	1,438.16	23.969	23 51	1,410 60	(27.56) ST		
395				**11,615.93**	**29.407**		**9,286.45**	**(2,329.48)**	**1.267**	**117 71**
260	GDF SUEZ-EUR	GDFZY	03/22/12	6,739.72	25.922	25 85	6,721.00	(18.72) ST		
20			03/27/12	525.09	26 254	25.85	517.00	(8.09) ST		
60			03/29/12	1,552.41	25.873	25 85	1,551.00	(1.41) ST		
340				**8,817.22**	**25.933**		**8,789.00**	**(28.22)**	**8.127**	**714 34**
270	GLAXOSMITHKLINE PLC SP ADR	GSK	06/20/11	**11,190.99**	41.448	44.91	**12,125 70**	**934.71** ST	**5 018**	**608 58**
405	H LUNDBECK A/S-ADR	HLUKY	06/20/11	**9,967.05**	24.61	19.99	**8,095 95**	**(1,871 10)** ST	**2 026**	**164.03**
100	HSBC HLDG PLC SP ADR NEW	HBC	06/20/11	4,844.88	48.448	44 39	4,439.00	(405 88) ST		
10			06/23/11	487 12	48.712	44.39	443.90	(43.22) ST		
60			07/29/11	2,934.62	48.91	44.39	2,663.40	(271.22) ST		
35			12/01/11	1,357.45	38 784	44.39	1,553.65	196.20 ST		
205				**9,624.07**	**46 947**		**9,099.95**	**(524.12)**	**4.618**	**420 25**
275	HONDA MOTOR CO LTD ADR-NEW	HMC	06/20/11	10,004.72	36.38	38.43	10,568.25	563.53 ST		
30			12/22/11	893.23	29.774	38.43	1,152.90	259.67 ST		
305				**10,897.95**	**35.731**		**11,721.15**	**823.20**	**1.803**	**211.37**
330	INTESA SANPAOLO S P A SPONSORED ADR	ISNPY	09/06/11	2,899 02	8.784	10.72	3,537.60	638.58 ST		
490			12/01/11	4,885.30	9.97	10.72	5,252.80	367.50 ST		
820				**7,784 32**	**9.493**		**8,790.40**	**1,006.08**	**4.524**	**397.70**
745	ITALCEMENTI FABRICH RIUNTE ADR	ITALY	06/20/11	6,515 17	8.745	6.87	5,118.15	(1,397.02) ST		
275			12/01/11	1,690 45	6.147	6.87	1,889 25	198 80 ST		
1,020				**8,205 62**	**8 045**		**7,007.40**	**(1,198.22)**	**1 499**	**105 06**
635	J SAINSBURY PLC-GBP SPONS ADR NEW	JSAIY	06/20/11	**13,446 38**	21.175	19.80	**12,573.00**	**(873.38)** ST	**4.909**	**617.22**
985	KINGFISHER PLC SPONSORED ADR NEW	KGFHY	06/20/11	8,440.76	8.569	9.74	9,593.90	1,153.14 ST		
210			08/11/11	1,524.56	7.259	9 74	2,045.40	520.84 ST		
1,195				**9,965 32**	**8.339**		**11,639.30**	**1,673.98**	**2.217**	**258.12**

HEALTHCARE FOUNDATION

Account number 602-72560-12 531

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
760	KONINKLIJKE AHOLD NV ADR (NEW)	AHONY	06/20/11	**$ 10,085 96**	$ 13.271	$ 13.78	**$ 10,472.80**	**$ 386.84**	ST	3 164%	**$ 331.36**
660	KOREA ELEC PWR CORP SP ADR	KEP	06/20/11	**8,328 34**	12.618	9.73	**6,421 80**	**(1,906 54)**	ST	**2 816**	**180 84**
885	MS&AD INSURANCE UNSPON ADR	MSADY	06/20/11	**10,077 14**	11 386	10 26	**9,080.10**	**(997 04)**	ST	**2 514**	**228 33**
855	MARKS & SPENCER GROUP PLC SPONSORED ADR	MAKSY	06/20/11	**9,974 86**	11 666	12.06	**10,311 30**	**336.44**	ST	**4 162**	**429 21**
1,495	MITSUBISHI UFJ FINANCIAL GROUP INC ADR	MTU	06/20/11	**6,694 61**	4.478	4.98	**7,445.10**	**750.49**	ST	**2 791**	**207.81**
715	NIPPON TEL & TEL SPON ADR	NTT	06/20/11	**16,743.73**	23.417	22 62	**16,173.30**	**(570.43)**	ST	**3.351**	**541.97**
20	LUKOIL OIL SPONS ADR	LUKOY	02/03/12	1,189.26	59.463	60.80	1,216.00	26 74	ST		
30			02/10/12	1,818 95	60.631	60 80	1,824 00	5 05	ST		
30			02/14/12	1,855 46	61.848	60 80	1,824 00	(31 46)	ST		
30			02/28/12	1,909 73	63 657	60.80	1,824 00	(85 73)	ST		
10			03/16/12	648 10	64 81	60.80	608 00	(40 10)	ST		
10			03/20/12	632 84	63.283	60.80	608.00	(24 84)	ST		
40			03/22/12	2,491.42	62 285	60 80	2,432 00	(59.42)	ST		
170				**10,545.76**	**62.034**		**10,336 00**	**(209.76)**		**2 817**	**291 21**
110	POSCO SPON ADR	PKX	12/01/11	**9,641.38**	87 648	83 70	**9,207.00**	**(434 38)**	ST	**2.206**	**203 17**
330	PETROLEO BRASILEIRO SA ADR	PBRA	06/17/11	9,829.81	29 787	25 56	8,434.80	(1,395 01)	ST		
15			06/22/11	451.56	30.103	25.56	383.40	(68.16)	ST		
40			08/08/11	961 74	24 043	25 56	1,022.40	60.66	ST		
25			10/07/11	525 14	21 005	25.56	639.00	113.86	ST		
410				**11,768 25**	**28 703**		**10,479 60**	**(1,288.65)**		**1 079**	**11[illegible]**
1,035	PORTUGAL TELECOM SPON ADR	PT	06/20/11	10,027 70	9.688	5 41	5,599 35	(4,428 35)	ST		
235			12/01/11	1,462 52	6 223	5 41	1,271 35	(191 17)	ST		
1,270				**11,490.22**	**9 047**		**6,870 70**	**(4,619 52)**		**16.395**	**1,126 49**
475	SK TELECOM LTD SPON ADR	SKM	06/20/11	8,307 09	17.488	13 91	6.607 25	(1,699 84)	ST		
30			08/03/11	466.75	15.558	13 91	417.30	(49.45)	ST		
505				**8,773.84**	**17 374**		**7,024 55**	**(1,749 29)**		**.582**	**40 91**
360	SANOFI SPONS ADR	SNY	06/20/11	**13,407.37**	37 242	38 75	**13,950 00**	**542.63**	ST	**3.84**	**535.68**
1,035	SEIKO EPSON CORP UNSPON ADR	SEKEY	06/20/11	**8,383 50**	8 10	7 01	**7,255 35**	**(1,128.15)**	ST	**1.626**	**117 99**
185	SEVEN & I HLDGS CO LTD-JPY	SVNDY	06/20/11	**10,026.63**	54 198	59.41	**10,990.85**	**964 22**	ST	**2 216**	**243 65**
275	SONY CORP SPON ADR-NEW	SNE	06/17/11	6,816 70	24.788	20 77	5.711.75	(1,104.95)	ST		
130			12/01/11	2,336 15	17 97	20 77	2,700 10	363.95	ST		
405				**9,152 85**	**22.60**		**8,411 85**	**(741.00)**		**1 381**	**116 24**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1,030	STMICROELECTRONICS N V SHS- N Y REGISTRY	STM	06/20/11	$ 10,010 57	$ 9 719	$ 8.19	$ 8,435.70	($ 1,574.87) ST		
260			08/01/11	1,980.32	7.616	8.19	2,129.40	149.08 ST		
70			08/08/11	469 11	6.701	8.19	573.30	104.19 ST		
80			08/26/11	484.92	6.061	8.19	655.20	170.28 ST		
160			12/08/11	952.11	5.95	8.19	1,310.40	358.29 ST		
1,600				**13,897.03**	**8.686**		**13,104.00**	**(793.03)**	**4 151**	**544.00**
1,165	SUMITOMO MITSUI FINL GROUP INC-JPY	SMFG	06/20/11	**6,684.77**	5.738	6.64	**7,735.60**	**1,050.83** ST	**3.478**	**269.12**
240	SWISS RE LTD ADR	SSREY	06/22/11	13,496 76	56.236	62.90	15,096.00	1,599.24 ST		
10			09/08/11	459.86	45 985	62.90	629 00	169.14 ST		
250				**13,956.62**	**55 826**		**15,725.00**	**1,768.38**	**5.205**	**818 50**
220	SWISSCOM AG SPONS ADR	SCMWY	06/20/11	10,018.80	45.54	40.28	8,861.60	(1,157.20) ST		
30			12/01/11	1,126.50	37.55	40.28	1,208.40	81.90 ST		
250				**11,145.30**	**44.581**		**10,070.00**	**(1,075.30)**	**10.451**	**1,052.50**
105	TDK CORP AMER DEPOSIT SHS	TTDKY	10/18/11	3,822 15	36.401	57.50	6,037 50	2,215.35 ST		
30			02/01/12	1,380.85	46.028	57.50	1,725 00	344.15 ST		
135				**5,203.00**	**38.541**		**7,762.50**	**2,559.50**	**1.547**	**120.15**
445	TAKEDA PHARMACEUTICAL CO	TKPYY	06/20/11	**10,110.40**	22.72	22.00	**9,790 00**	**(320.40)** ST	**4.581**	**448.56**
1,285	TELECOM ITALIA S.P.A-ADR (NEW)	TI	06/20/11	**16,716.18**	13.008	11.87	**15,252.95**	**(1,463.23)** ST	**8.955**	**1,365.96**
355	TELEFONICA S.A. SPON ADR	TEF	06/20/11	8,345.06	23.507	16.41	5,825.55	(2,519.51) ST		
70			12/01/11	1,304.80	18.64	16.41	1,148.70	(156.10) ST		
425				**9,649.86**	**22.706**		**6,974.25**	**(2,675.61)**	**10.432**	**727.60**
35	TESCO PLC SPONSORED ADR	TSCDY	02/21/12	537.98	15.37	15.99	559.65	21.67 ST		
175			03/01/12	2,657.71	15.186	15.99	2,798.25	140.54 ST		
180			03/28/12	2,923.16	16.239	15.99	2,878.20	(44.96) ST		
390				**6,118.85**	**15.689**		**6,236.10**	**117 25**	**4.146**	**258.57**
235	TIM PARTICIPACOES SA ADR	TSU	06/20/11	**6,586.55**	28.071	32.26	**7,581.10**	**994.55** ST	**1.915**	**145 23**
380	TOKIO MARINE HLDGS INC ADR	TKOMY	06/20/11	**10,001.33**	26.319	27 66	**10,510.80**	**509.47** ST	**1.945**	**204.44**
335	TOTAL S.A SPONS ADR	TOT	06/20/11	18,248.96	54 474	51.12	17,125.20	(1,123.76) ST		
20			08/10/11	924.80	46.24	51.12	1,022.40	97.60 ST		
20			03/28/12	1,006.38	50 318	51.12	1,022.40	16.02 ST		
375				**20,180.14**	**53.814**		**19,170 00**	**(1,010.14)**	**5 001**	**958 88**

HEALTHCARE FOUNDATION **Account number 602-72560-12 531**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
165	TOYOTA MOTOR CORP ADR NEW	TM	06/20/11	$ 13,150 34	$ 79 699	$ 86 82	$ 14,325 30	$ 1,174 96 ST		
25			12/01/11	1,643 17	65 726	86 82	2,170.50	527.33 ST		
190				**14,793 51**	**77 861**		**16,495 80**	**1,702 29**	**1 34**	**221 16**
415	UNILEVER NV NY SHS-NEW	UN	06/20/11	**13,288.55**	32 02	34 03	**14,122 45**	**833 90** ST	**3.123**	**441 15**
380	VODAFONE GROUP PLC SPONS ADR NEW	VOD	06/20/11	**10,027.06**	26 387	27.67	**10,514 60**	**487 54** ST	**5.168**	**543**[illegible]
320	WOLTERS KLUWER N V SP ADR	WTKWY	06/20/11	6,687.30	20 897	18.80	6,016.00	(671.30) ST		
120			12/01/11	2,097.60	17 48	18.80	2,256 00	158.40 ST		
440				**8,784 90**	**19 966**		**8,272.00**	**(512 90)**	**4.127**	**341 44**
Total common stocks and options				**$ 704,710.14**			**$ 679,997.55**	**($ 24,712.59) ST** **$ 0.00 LT**	**3 89**	**$ 26,519.61**
Total portfolio value				**$ 719,055.84**			**$ 694,343.25**	**($ 24,712.59) ST** **$ 0.00 LT**	**3.81**	**$ 26,521.04**

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
03/29/12	04/03/12	Bought	GDF SUEZ-EUR MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES	60	$ 25.8735	$ -1,552.41
03/28/12	04/02/12	Bought	TESCO PLC SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES	180	16.2398	-2,923.16

MorganStanley
SmithBarney

Ref: 00000859 00096338

Reserved Client
Financial Management Account
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-72373-19 531

Morgan Stanley Smith Barney LLC. Member SIPC. G/L #1235

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248
TTY/TDD Deaf & Hard of hearing: 800-227-4238

1235	Inv	16,664.45	33124.90	
4504	Inv Mgmt	—	318.14	
1239	Inv	—		25000,00
4200	Unreal G/L 18,309.37			6,795 78
4300	Div	1,530.78		1,530 78
4301	C.G. Dist.	111.40		111 40
4400	Int	2 74		5 08

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Money fund	$ 24,229 67	**$ 24,232.41**	6 37
Accrued money fund dividends	.09 + 0 19	**.28**	
Mutual funds	372,754.91	**356,087.53**	93.63
Total value	**$ 396,984.67**	**$ 380,320.22**	**100.00**
Total value (excluding accrued interest)	$ 396,984.58	$ 380,319.94	

Earnings summary

	This period	This year
Other dividends	$ 1,530 78	$ 1,530 78
Money fund earnings	2 74	5 08
Cap. gains distributions-ST	0.00	0 00
Cap gains distributions-LT	111 40	111.40
Total	**$ 1,644 92**	**$ 1,647 26**

Gain/loss summary

		This period	This year
Unrealized gain or (loss) to date	($ 89,500 66)		

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 24,229 67**	
Securities bought and other subtractions	(1,642.18)	
Securities sold and other additions	0 00	
Deposits	0.00	25,000.00
Withdrawals	0.00	(318.14)
Dividends credited	1,530.78	
Money fund earnings reinvested	2.74	
Capital gains distributions credited	111.40	
Closing balance	**$ 24,232 41**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 396,984.58**	**$ 347,195 32**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	24,681.86
Beginning value net of deposits/withdrawals	396,984.58	371,877.18
Total value as of 3/30/2012 (excl. accr. int.)	**$ 380,319.94**	**$ 380,319.94**
Change in value	**($ 16,664.64)**	**$ 8,442 76**
Less - earnings	<1,644 92>	
Add:-	0.19	
	<18,309.37>	

HEALTHCARE FOUNDATION

Account number 602-72373-19 531

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the [illegible] of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
24,232.41	MORGAN STANLEY AA INSTIT MONEY TRUST	$ 24,232 41	$ .28	14%	$ 33 92
Total money fund		$ 24,232.41	$ 28	13%	$ 33 92

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain mutual funds. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.
Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only.* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account.* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
18,246.46	EATON VANCE COMMODITY STRATEGY FUND CL I **Rating:** CG RESEARCH : AL	EICSX	01/19/11	$ 202,900.63	$ 11.12	$ 9.40	$ 171,516.72	($ 31,383.91) LT			
18,246 46	**Total Purchases**			**202,900.63**	**11 12**	**9.40**	**171,516.72**	**(31,383.91)**			
20.07	Reinvestments to date			216.76	10.80	9.40	188.66	(28 10) LT			
625 833	Reinvestments to date			5,825.17	9.307	9 40	5,882.83	57 66 ST			
18,892.363	**Tax-based Cost vs. Current Value**			**208,942 56**	**11 06**		**177,588.21**	**(31,354 35)**		.074	132 24
	Total Purchases vs. Current Value			**202,900.63**			**177,588.21**		**(25,312 42)**		
	Fund Value Increase/Decrease								**(25,312.42)**		
17,989.22	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P **Rating**· CG RESEARCH : FL	PCRPX	01/19/11	167,839 42	9.33	6 68	120,167.99	(47,671.43) LT			
17,989 22	**Total Purchases**			**167,839.42**	**9.33**	**6.68**	**120,167.99**	**(47,671.43)**			
990.146	Reinvestments to date			9,238 06	9.329	6.68	6,614.18	(2,623.88) LT			
7,742 089	Reinvestments to date			59,568.15	7.694	6.68	51,717.15	(7,851.00) ST			
26,721.455	**Tax-based Cost vs. Current Value**			**236,645.63**	**8.856**		**178,499.32**	**(58,146.31)**		**26 766**	**47,777.96**

Reserved Client
Financial Management Account
March 1 - March 31, 2012

HEALTHCARE FOUNDATION *Account number 602-72373-19 531*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P	PCRPX									
	Total Purchases vs Current Value			**$ 167,839 42**			**$ 178,499 32**		**$ 10,659 90**		
	Fund Value Increase/Decrease								**10,659.90**		
Total mutual funds (Tax based)				**$ 445,588.19**			**$ 356,087.53**	**($ 7,793.34) ST**		**13.45**	
								($ 81,707.32) LT			**$ 47,910 20**
Total Fund Value Increase/Decrease									**($ 14,652.52)**		
Total portfolio value				**$ 469,820 60**			**$ 380,319.94**	**($ 7,793.34) ST**		**12.60**	**$ 47,944 12**
								($ 81,707.32) LT			

TRANSACTION DETAILS

All transactions appearing are based on trade-date

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/14/12	Reinvest	EATON VANCE COMMODITY STRATEGY FUND CL I WITHDRAWAL, PENDING REINVEST			$ -111.40
03/14/12	Reinvest	EATON VANCE COMMODITY STRATEGY FUND CL I REINVESTMENT SHS FOR 03/14/12 REINVESTED AMOUNT $111 40	11.449	9 73	0 00
03/22/12	Reinvest	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P WITHDRAWAL, PENDING REINVEST			-1,530 78
03/22/12	Reinvest	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P REINVESTMENT SHS FOR 03/22/12 REINVESTED AMOUNT $1,530.78	228.134	6.71	0.00
Total securities bought and other subtractions					**$ -1,642 18**
Total securities sold and other additions					**$ 0.00**

MorganStanley
SmithBarney

Ref: 00000859 00096334

Reserved Client
Financial Management Account
March 1 - March 31, 2012

Account number ***602-72195-15 531***

Morgan Stanley Smith Barney LLC. Member SIPC. G/L #1236

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www smithbarney.com

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TTY/TDD Deaf & Hard of hearing: 800-227-4238

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

1236 Inv
4200 Unreal G/L

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Money fund	$ 5.65	$ 5.65	
Alternative investments	653,545.00	673,736 00	100.00
Total value	**$ 653,550.65**	**$ 673,741.65**	**100.00**

Cash, money fund, bank deposits

	This period
Opening balance	**$ 5.65**
Closing balance	**$ 5.65**

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 0.00	

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 653,550.65	$ 638,304.65
Net security deposits/withdrawals	0 00	0.00
Net cash deposits/withdrawals	0.00	0 00
Beginning value net of deposits/withdrawals	653,550 65	638,304 65
Total value as of 3/30/2012 (excl. accr. int.)	$ 673,741 65	$ 673,741.65
Change in value	$ 20,191.00	$ 35,437.00

HEALTHCARE FOUNDATION **Account number 602-72195-15 531**

PORTFOLIO DETAILS

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Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end o the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

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Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
5 65	MORGAN STANLEY AA INSTIT MONEY TRUST	$ 5.65		.14%	
Total money fund		**$ 5.65**	**$ 0.00**		**$ 0.00**

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources. These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc. (CGMI) does not maintain custody of these investments. They are listed below solely as a service to the customer and are not covered by SIPC. If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding.

Cash distributions from these investments are categorized as "other income" herein and will not be reported as taxable income on your year-end Form 1099. You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return. Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds. For more information regarding the source of a cash distribution, please consult the fund's investor reports, investor services or year-end tax report.

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only No investment advice or research is provided If you have any questions, please contact your Financial Advisor.

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
PRIV ADV HED EQTY QP LTD CL A	$ 600,000			02/29/12	$ 673,736	$ 673,736
Total Alternative Investments					**$ 673,736.00**	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions.

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor. These Disclosure Documents contain important information about advisory programs.

Message: *Consolidated Statement of Financial Condition:*

On December 31, 2011, Citigroup Global Markets Inc had net capital of $ 7 8 billion which exceeded the Securities and Exchange Commission's minimum requirement by $ 7.0 billion. A copy of the Citigroup Global Markets Inc Consolidated Statement of Financial Condition can be viewed online at: www.smithbarney.com/pdf/sfc2436 pdf or may be mailed to you at no cost by calling (877) 936-2737

MorganStanley
SmithBarney

Ref: 00000859 00096331

Reserved Client
Financial Management Account
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

***Account number* 602-72178-16 531**

Morgan Stanley Smith Barney LLC. Member SIPC. G/L # 1237

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248
TTY/TDD Deaf & Hard of hearing: 800-227-4238

1237 Inv 6332.00 | 11,194 00

4200 Unreal G/L 6332 00 | 11,194 00

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Cash balance	$ .74	$ .74	
Alternative investments	638,197.00	**644,529.00**	100.00
Total value	**$ 638,197.74**	**$ 644,529.74**	**100.00**

Cash, money fund, bank deposits

	This period
Opening balance	**$ .74**
Closing balance	**$ .74**

A free credit balance in any securities account may be paid to you on demand Although properly accounted for, these funds may be used for business purposes.

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 0 00	

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int)	**$ 638,197.74**	**$ 633,335.74**
Net security deposits/withdrawals	0.00	0 00
Net cash deposits/withdrawals	0.00	0 00
Beginning value net of deposits/withdrawals	**638,197.74**	**633,335.74**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 644,529.74**	**$ 644,529 74**
Change in value	**$ 6,332 00**	**$ 11,194.00**

HEALTHCARE FOUNDATION **Account number 602-72178-16 531**

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end [illegible] the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources. These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc. (CGMI) does not maintain custody of these investments They are listed below solely as a service to the customer and are not covered by SIPC If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer. The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner. Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding

Cash distributions from these investments are categorized as "other income" herein and will not be reported as taxable income on your year-end Form 1099 You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds. For more information regarding the source of a cash distribution, please consult the fund's investor reports, investor services or year-end tax report

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement.

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only No investment advice or research is provided. If you have any questions, please contact your Financial Advisor.

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
PA STABLE VALUE FD LTD (3C1)	$ 600,000			02/29/12	$ 644,529	$ 644,529
Total Alternative Investments					**$ 644,529.00**	

C

Ref: 00000859 00096317

MorganStanley SmithBarney

Reserved Client Statement
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-71865-16 531

Morgan Stanley Smith Barney LLC. Member SIPC.

G/L # 1238

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248

1238	Inv	33,456.42		205,672.77
4504	Inv Mgmt			1,226.33
6852	Foreign & other	7.92		7 92
1239	Inv		–	50,000.00
1243	Inv		–	101,000.00
4200	Unreal G/L		32,060.14	51,047 74
4300	Div		1,403.96	4,858.74
4400	Int.		0 74	0.54

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

Account value	Last period	This period	%
Cash balance	$ 1,939.93	**$ 440.49**	.06
Money fund	16,443 09	**19,127.23**	2.81
Accrued money fund dividends	0 00	**.01**	
Common stocks & options	627,820.98	**660,092.69**	97 12
Total value	**$ 646,204.00**	**$ 679,660.42**	**100.00**
Total value (excluding accrued interest)	$ 646,204.00	$ 679,660.41	

IMPORTANT NOTICE: Your year to date earnings have been adjusted to reflect proper tax information.

Cash, money fund, bank deposits

Cash, money fund, bank deposits	This period	This year
Opening balance	**$ 18,383.02**	
Securities bought and other subtractions	(3,342.98)	
Securities sold and other additions	3,131.40	
Deposits	0.00	151,000.00
Withdrawals	0.00	(1,226.33)
Dividends credited	1,396.04	
Money fund earnings reinvested	.24	
Closing balance	**$ 19,567.72**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Earnings summary

Earnings summary	This period	This year
Other dividends	$ 2,030.64	$ 4,041.11
Money fund earnings	.24	.54
Total	**$ 2,030 88**	**$ 4,041.65**

Portfolio summary

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	**$ 646,204.00**	**$ 473,987.65**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	149,773.67
Beginning value net of deposits/withdrawals	**646,204.00**	**623,761.32**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 679,660.41**	**$ 679,660.41**
Change in value	**$ 33,456.41**	**$ 55,899.09**
less - earnings	(1,396.28)	
Add - Accruals	0 01	
	32,060.14	

Additional summary information

Additional summary information	This period	This year
FRGN tax withheld	$ 7.92	$ 7.92

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 808.79	$ 1,025 83 LT ($ 417.53) ST
Realized losses disallowed based on wash sales rules		0.00	(274 61)
Unrealized gain or (loss) to date	145,762.73		

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes All status codes represent the opinions of CG IAR and are not representations or guarantees of performance

	Rating		Rating
AEW Capital Management - Reits	AL		

PORTFOLIO DETAILS

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Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
19,127 23	MORGAN STANLEY LIQUID ASSET FUND INC	**$ 19,127 23**	**$ .01**	**.01 %**	**$ 1.91**
Total money fund		**$ 19,127 23**	**$ .01**		**$ 1.91**

HEALTHCARE FOUNDATION

Account number 602-71865-16 531

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
32	ALEXANDRIA REAL EST EQUITIES	ARE	12/28/10	$ 2,356.57	$ 73.642	$ 73 13	$ 2,340.16	($ 16 41) LT		
66			07/20/11	5,546.15	84.032	73.13	4,826.58	(719.57) ST		
38			09/21/11	2,458.55	64.698	73.13	2,778.94	320.39 ST		
42			01/26/12	3,029.04	72 12	73.13	3,071.46	42 42 ST		
178				**13,390.31**	**75.226**		**13,017 14**	**(373.17)**	**2 68**	**348 88**
5	AMERICAN ASSETS TRUST INC	AAT	01/31/11	103.99 *R*	21.367	22.80	114.00	10.01 LT		
127			02/24/11	2,609.63 *R*	21.123	22 80	2,895 60	285.97 LT		
89			11/22/11	1,797.16 *R*	20.343	22.80	2,029.20	232.04 ST		
82			01/26/12	1,777.76	21.68	22 80	1,869.60	91 84 ST		
303				**6,288.54**	**20.754**		**6,908.40**	**619 86**	**3.684**	**254 52**
19	AMERICAN CAMPUS CMNTYS INC	ACC	02/24/11	612.47	32.235	44 72	849 68	237.21 LT		
87			03/21/11	2,771.52	31.856	44.72	3,890.64	1,119.12 LT		
46			01/26/12	1,955.00	42.50	44 72	2,057.12	102.12 ST		
152				**5,338.99**	**35.125**		**6,797 44**	**1,458.45**	**3 018**	**205.20**
106	AVALONBAY CMNTYS INC	AVB	01/29/10	8,263.23	77.955	141 35	14,983 10	6,719 87 LT		
42			02/16/10	3,190 53	75 965	141 35	5,936 70	2,746 17 LT		
27			03/18/10	2,414.59	89 429	141.35	3,816.45	1,401.86 LT		
53			01/26/12	7,153.94	134 98	141 35	7,491 55	337.61 ST		
228				**21,022.29**	**92.203**		**32,227.80**	**11,205.51**	**1.98**	**638,40**
61	BIOMED REALTY TRUST INC	BMR	01/29/10	866.57 *R*	14.697	18.98	1,157.78	291.21 LT		
135			05/02/11	2,653.47 *R*	19.826	18.98	2,562.30	(91.17) ST		
175			08/17/11	3,155.03 *R*	18.114	18.98	3,321.50	166.47 ST		
156			01/26/12	2,853.08	18.289	18.98	2,960.88	107.80 ST		
527				**9,528 15**	**18.08**		**10,002.46**	**474.31**	**4 531**	**453.22**
47	BOSTON PROPERTIES INC	BXP	10/13/09	2,981.06 *R*	64.259	104 99	4,934.53	1,953.47 LT		
190			01/29/10	12,346 17 *R*	65.604	104.99	19,948 10	7,601 93 LT		
62			01/26/12	6,439.32	103 86	104 99	6,509.38	70.06 ST		
299				**21,766.55**	**72 798**		**31,392.01**	**9,625.46**	**2.095**	**657.80**
301	BROOKFIELD OFFICE PROPERTIES	BPO	12/02/10	5,053.73	16.789	17.45	5,252.45	198.72 LT		
76			01/26/12	1,349.00	17.75	17.45	1,326.20	(22.80) ST		
377				**6,402.73**	**16.983**		**6,578.65**	**175.92**	**3.209**	**211.12**
101	CAMDEN PROPERTY TRUST SBI	CPT	01/29/10	3,970.82 *R*	39 545	65.75	6,640.75	2,669.93 LT		
55			09/29/10	2,590 58 *R*	47.216	65.75	3,616.25	1,025.67 LT		
25			01/18/12	1,521.13	60 845	65 75	1,643.75	122 62 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated Income (annualized)
53	CAMDEN PROPERTY TRUST SBI	CPT	01/26/12	$ 3,397.83	$ 64.11	$ 65.75	$ 3,484.75	$ 86 92	ST		
234				**11,480.36**	**49 061**		**15,385.50**	**3,905.14**		**3.406**	**524 16**
137	CORESITE RLTY CORP	COR	09/29/10	**2,210 58**	16.135	23 59	**3,231 83**	**1,021.25**	LT	**3.052**	**98 64**
236	CUBESMART	CUBE	10/31/11	2,309 87	9 787	11 90	2,808.40	498.53	ST		
98			12/19/11	998 26	10 186	11.90	1,166.20	167.94	ST		
334				**3,308 13**	**9 905**		**3,974.60**	**666 47**		**2.689**	**106.88**
215	DDR CORP	DDR	07/21/10	2,205.30 *R*	10 277	14.60	3,139 00	933.70	LT		
178			12/02/10	2,348 94	13.196	14 60	2,598 80	249 86	LT		
214			09/21/11	2,428.32	11.347	14 60	3,124.40	696 08	ST		
87			12/19/11	994.32	11.429	14.60	1,270.20	275 88	ST		
246			01/26/12	3,517.55	14.299	14.60	3,591 60	74.05	ST		
940				**11,494 43**	**12 228**		**13,724 00**	**2,229.57**		**3 287**	**451 20**
192	DUPONT FABROS TECHNOLOGY INC	DFT	01/29/10	3,215.23	16.746	24 45	4,694 40	1,479.17	LT		
111			10/20/10	2 654.37	23.913	24 45	2,713 95	59.58	LT		
108			11/22/11	2,312.16	21 408	24 45	2,640.60	328 44	ST		
115			01/26/12	2,920 89	25.399	24.45	2,811.75	(109.14)	ST		
526				**11,102.65**	**21 108**		**12,860 70**	**1,758.05**		**1.963**	**252 48**
37	ENTERTAINMENT PPTYS TR SBI	EPR	01/29/10	1,219 84 *R*	34 93	46 38	1,716.06	496.22	LT		
51			02/16/10	1,699.73 *R*	35.288	46 38	2,365 38	665.65	LT		
66			05/21/10	2,533.31 *R*	39.942	46 38	3,061 08	527 77	LT		
48			12/02/10	2,200.53 *R*	46.601	46 38	2.226 24	25.71	LT		
50			01/26/12	2,230 00	44 60	46.38	2,319 00	89 00	ST		
252				**9,883 41**	**39.22**		**11,687 76**	**1,804 35**		**6 468**	**756 00**
37	EQUITY LIFESTYLE PROPERTIES INC	ELS	01/29/10	1,808 19	48 87	69 74	2,580 38	772 19	LT		
71			07/21/10	3,552 95	50 041	69 74	4 951 54	1,398 59	LT		
34			01/26/12	2,330 70	68 55	69 74	2,371 16	40 46	ST		
142				**7,691 84**	**54 168**		**9,903 08**	**2,211 24**		**2 509**	**248 50**
19	EQUITY RESIDENTIAL	EQR	10/13/09	561 17	29 535	62 62	1,189 78	628 61	LT		
43			01/07/10	1,458 04	33.908	62 62	2,692.66	1,234 62	LT		
452			01/29/10	14,687.79	32 495	62 62	28,304 24	13,616.45	LT		
39			06/22/11	2,349 20	60.236	62.62	2 442.18	92.98	ST		
30			01/18/12	1,677 19	55 906	62 62	1.878 60	201 41	ST		
173			01/26/12	10,262 01	59.318	62 62	10,833 26	571 25	ST		
756				**30,995.40**	**40 999**		**47,340 72**	**16,345 32**		**2.155**	**1,020.60**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
25	ESSEX PROPERTY TRUST INC	ESS	01/29/10	$ 1,995 38 *R*	$ 81.20	$ 151.51	$ 3,787.75	$ 1,792 37 LT		
10			02/22/12	1,385.00	138.50	151.51	1,515.10	130.10 ST		
35				**3,380 38**	**96.582**		**5,302.85**	**1,922.47**	**2.904**	**154.00**
213	EXTRA SPACE STORAGE INC	EXR	01/29/10	2,423.51	11.378	28.79	6,132.27	3,708.76 LT		
123			10/18/11	2,460.77	20.006	28.79	3,541.17	1,080.40 ST		
106			01/26/12	2,736.71	25.818	28.79	3,051.74	315.03 ST		
442				**7,620.99**	**17.242**		**12,725.18**	**5,104.19**	**2.778**	**353.60**
113	FEDERAL REALTY INVT TR SBI-NEW	FRT	01/29/10	7,347.79 *R*	65.266	96.79	10,937.27	3,589 48 LT		
28			09/29/10	2,285.80 *R*	81.825	96.79	2,710.12	424.32 LT		
31			01/26/12	2,944.69	94.99	96.79	3,000.49	55 80 ST		
172				**12,578.28**	**73.13**		**16,647.88**	**4,069 60**	**2.851**	**474.72**
278	FIRST POTOMAC REALTY TRUST	FPO	12/02/10	4,360.99 *R*	16.198	12 09	3,361.02	(999.97) LT		
147			12/28/10	2,440.43 *R*	17.112	12.09	1,777.23	(663.20) LT		
134			01/26/12	2,001.58	14.937	12.09	1,620.06	(381.52) ST		
559				**8,803 00**	**15 748**		**6,758.31**	**(2,044.69)**	**6.617**	**447.20**
52	FOREST CITY ENTERPRISES INC CLASS A	FCEA	09/21/11	619.56	11.914	15.66	814.32	194.76 ST		
215			10/18/11	2,610.01	12.139	15.66	3,366.90	756.89 ST		
133			01/26/12	1,791.86	13.472	15.66	2,082.78	290.92 ST		
400				**5,021.43**	**12.554**		**6,264.00**	**1,242 57**		
271	HCP INC (NEW)	HCP	01/29/10	7,418.90 *R*	28.798	39.46	10,693.66	3,274.76 LT		
142			06/25/10	4,466.22 *R*	32.538	39.46	5,603.32	1,137 10 LT		
98			12/28/10	3,547.61 *R*	36.949	39.46	3,867.08	319.47 LT		
131			01/26/12	5,510 78	42.067	39.46	5,169.26	(341.52) ST		
642				**20,943.51**	**32 622**		**25,333.32**	**4,389.81**	**5.068**	**1,284.00**
18	HEALTH CARE REIT INC	HCN	10/20/10	890.74 *R*	51.348	54.96	989.28	98.54 LT		
51			03/21/11	2,596.99 *R*	51.997	54.96	2,802.96	205.97 LT		
69				**3,487 73**	**50.547**		**3,792 24**	**304.51**	**5.385**	**204.24**
634	HOST HOTELS & RESORTS INC	HST	01/29/10	6,832.04	10 776	16 42	10,410.28	3,578.24 LT		
198			05/21/10	2,761.51	13.947	16 42	3,251.16	489.65 LT		
158			10/31/11	2,263.73	14.327	16 42	2,594 36	330.63 ST		
311			01/26/12	5,093.03	16 376	16.42	5,106.62	13 59 ST		
1,301				**16,950.31**	**13.029**		**21,362.42**	**4,412.11**	**1.461**	**312.24**
11	KILROY REALTY CORPORATION	KRC	10/13/09	263.07 *R*	26.486	46.61	512.71	249.64 LT		
230			01/29/10	6,209.14 *R*	29 216	46.61	10,720.30	4,511.16 LT		
68			05/02/11	2,783.33 *R*	41.516	46.61	3,169.48	386.15 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
89	KILROY REALTY CORPORATION	KRC	01/26/12	$ 3,714 86	$ 41 74	$ 46 61	$ 4 148 29	$ 433 43 ST		
398				**12,970.40**	**32.589**		**18,550.78**	**5,580 38**	**3 003**	**557 20**
278	KITE REALTY GROUP TRUST	KRG	01/29/10	960 06 *R*	3.787	5.27	1,465 06	505.00 LT		
554			08/17/11	2,115.03 *R*	3 857	5 27	2,919.58	804.55 ST		
832				**3,075 09**	**3 696**		**4,384.64**	**1,309.55**	**4.554**	**199.68**
2	LIBERTY PROPERTY TRUST-SBI	LRY	01/07/10	64 30 *R*	32.558	35.72	71.44	7.14 LT		
256			01/29/10	7,842.03 *R*	30 937	35.72	9,144 32	1,302.29 LT		
80			01/26/12	2,723 20	34.04	35 72	2,857.60	134 40 ST		
338				**10,629 53**	**31 448**		**12,073 36**	**1,443.83**	**5 319**	**642 20**
131	MACERICH COMPANY	MAC	01/29/10	3,926.63 *R*	31.127	57 75	7.565 25	3,638 62 LT		
10			02/16/10	373 81 *R*	38.533	57 75	577.50	203 69 LT		
69			06/25/10	2,666 07 *R*	39 792	57 75	3,984 75	1,318.68 LT		
63			10/20/10	2,785 40 *R*	45.365	57.75	3,638 25	852 85 LT		
69			08/17/11	3,410 46 *R*	49 736	57.75	3,984.75	574 29 ST		
101			01/26/12	5,586 21	55 309	57.75	5,832 75	246.54 ST		
443				**18,748 58**	**42.322**		**25,583 25**	**6,834 67**	**3.809**	**974.60**
19	NATIONAL RETAIL PROPERTIES INC	NNN	03/21/11	469 24 *R*	25.028	27.19	516 61	47.37 LT		
107			08/17/11	2,633.41 *R*	24 722	27 19	2,909 33	275.92 ST		
51			01/26/12	1,396 89	27 39	27.19	1,386.69	(10.20) ST		
177				**4,499.54**	**25.421**		**4,812.63**	**313.09**	**5 663**	**272.58**
83	OMEGA HEALTHCARE INVESTORS INC	OHI	10/13/09	1 184.06 *R*	15 498	21 26	1 764 58	580 52 LT		
305			01/29/10	5,454 35 *R*	18.898	21 26	6,484.30	1,029.95 LT		
149			01/26/12	3,101 88	20 818	21 26	3,167 74	65.86 ST		
537				**9,740 29**	**18.138**		**11,416.62**	**1,676 33**	**7 714**	**880 68**
100	PEBBLEBROOK HOTEL TRUST	PEB	07/21/10	1,704 44	17 044	22.58	2,258 00	553 56 LT		
152			08/17/11	2,451 15	16.126	22.58	3,432 16	981 01 ST		
65			01/26/12	1,420 25	21 85	22 58	1.467.70	47 45 ST		
317				**5,575 84**	**17.589**		**7,157 86**	**1,582 02**	**2.125**	**152.16**
199	PIEDMONT OFFICE REALTY TRUST	PDM	02/16/10	3,158 64 *R*	16.397	17 75	3,532 25	373.61 LT		
74			02/22/12	1,320 90	17 85	17 75	1 313 50	(7.40) ST		
273				**4,479.54**	**16.409**		**4,845 75**	**366.21**	**4.507**	**218 40**
26	PRO LOGIS INC	PLD	06/30/11	943 45	32 528	36.02	936.52	(6 93) ST X		
50			07/20/11	1,720.14	32 528	36 02	1,801 00	80.86 ST X		
27			07/20/11	948.14	35.116	36.02	972 54	24.40 ST		
19			07/28/11	701.18	32 528	36.02	684.38	(16.80) ST X		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
381	PRO LOGIS INC	PLD	08/17/11	$ 11,069.69	$ 29.054	$ 36.02	$ 13,723.62	$ 2,653.93 ST		
134			09/21/11	3,703.53	27.638	36 02	4,826 68	1,123.15 ST		
118			11/22/11	3,055.89	25.897	36.02	4,250 36	1,194.47 ST		
115			01/26/12	3,740.72	32.528	36.02	4,142.30	401.58 ST		
870				**25,882.74**	**29.75**		**31,337.40**	**5,454.66**	**3.109**	**974 40**
133	PUBLIC STORAGE (MD)	PSA	01/29/10	10,657.84	80.134	138.17	18,376.61	7,718.77 LT		
27			08/30/10	2,647.58	98.058	138.17	3,730.59	1,083.01 LT		
20			02/24/11	2,187 78	109.389	138.17	2,763.40	575.62 LT		
17			01/18/12	2,308.07	135.768	138.17	2,348.89	40.82 ST		
58			01/26/12	7,942.52	136.94	138 17	8,013 86	71.34 ST		
255				**25,743.79**	**100.956**		**35,233 35**	**9,489 56**	**3.184**	**1,122.00**
116	RLJ LODGING TRUST	RLJ	06/22/11	2,067.35	17.822	18.63	2,161.08	93 73 ST		
160			09/21/11	2,156.21	13.476	18.63	2,980 80	824 59 ST		
89			01/26/12	1,602 89	18.01	18.63	1,658.07	55.18 ST		
365				**5,826.45**	**15.963**		**6,799.95**	**973.50**	**3 542**	**240 90**
47	RAMCO GERSHENSON PROPERTIES	RPT	05/21/10	499.40 *R*	10 952	12.22	574.34	74.94 LT		
201			10/20/10	2,370.14 *R*	12.015	12.22	2,456.22	86 08 LT		
248				**2,869.54**	**11.571**		**3,030.56**	**161 02**	**13.747**	**416 64**
78	REGENCY CENTERS CORP	REG	10/13/09	2,465 19 *R*	34 046	44.48	3,469.44	1,004 25 LT		
43			10/21/09	1,433 00 *R*	35.767	44.48	1,912.64	479.64 LT		
156			01/29/10	4,948 62 *R*	34 016	44.48	6,938 88	1,990.26 LT		
81			01/26/12	3,347.73	41.33	44.48	3,602 88	255 15 ST		
358				**12,194.54**	**34.063**		**15,923.84**	**3,729.30**	**4 766**	**758 96**
381	RETAIL OPPORTUNITY INVESTMENTS CORP	ROIC	03/18/10	3,885.26 *R*	10.364	12.04	4,587.24	701.98 LT		
155			01/26/12	1,841.71	11.882	12.04	1,866.20	24.49 ST		
536				**5,726.97**	**10.685**		**6,453.44**	**726.47**	**3.986**	**257.28**
150	SELECT INCOME REIT SBI	SIR	03/23/12	**3,342 98**	22.286	22.58	**3,387.00**	**44.02** ST		
213	SIMON PPTY GROUP INC NEW	SPG	01/29/10	15,558.60 *R*	73.214	145.68	31,029.84	15,471.24 LT		
24			02/16/10	1,754 65 *R*	73.28	145.68	3,496.32	1,741.67 LT		
26			03/18/10	2,232.06 *R*	85.978	145 68	3,787.68	1,555.62 LT		
32			07/21/10	2,655 92 *R*	83.088	145 68	4,661.76	2,005 84 LT		
33			09/29/10	3,079.17 *R*	93.36	145.68	4,807 44	1,728 27 LT		
30			12/28/10	2,975.74	99.191	145.68	4,370.40	1,394.66 LT		
39			06/22/11	4,494.11	115.233	145.68	5,681.52	1,187.41 ST		
26			07/20/11	3,128 99	120.345	145.68	3,787.68	658.69 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
126	SIMON PPTY GROUP INC NEW	SPG	01/26/12	$ 17,189 25	$ 136.422	$ 145.68	$ 18,355 68	$ 1,166 43 ST		
549				**53,068 49**	**96.664**		**79,978 32**	**26,909.83**	**2.608**	**2,086.20**
50	STARWOOD HOTELS & RESORTS WORLDWIDE INC -NEW	HOT	08/30/10	2,302 86	46.057	56.41	2,820 50	517 64 LT		
41			12/28/10	2,493.52	60.817	56.41	2,312.81	(180.71) LT		
52			08/17/11	2,276 42	43.777	56.41	2,933 32	656 90 ST		
42			01/26/12	2,263 80	53.90	56.41	2,369 22	105.42 ST		
185				**9,336 60**	**50 468**		**10,435.85**	**1,099.25**	**.744**	**77 70**
31 445	VENTAS INC	VTR	07/21/10	1,441 36 *R*	45 861	57 10	1,795 51	354 15 LT		
93 555			12/02/10	4,207 72	45.00	57 10	5,341.99	1,134 27 LT		
183			07/20/11	9,958.40	54 417	57.10	10.449 30	490.90 ST		
60			10/18/11	3,002.28	50.038	57.10	3,426 00	423.72 ST		
21			01/18/12	1,170 48	55 737	57.10	1,199 10	28 62 ST		
115			01/26/12	6,768 56	58 857	57.10	6,566 50	(202 06) ST		
504				**26,548.80**	**52 676**		**28,778 40**	**2,229 60**	**4 343**	**1,249 92**
10	VORNADO REALTY TR SBI	VNO	10/13/09	616.98 *R*	61 732	84 20	842 00	225 02 LT		
1			11/10/09	68.73 *R*	68 77	84 20	84 20	15 47 LT		
176			01/29/10	11,533.92 *R*	65 568	84 20	14,819 20	3,285 28 LT		
24			01/31/11	2,115.12	88 13	84.20	2.020 80	(94 32) LT		
27			05/02/11	2,613.33	96 79	84 20	2,273 40	(339 93) ST		
79			01/26/12	6,432 18	81.42	84.20	6,651.80	219.62 ST		
317				**23,380 26**	**73 755**		**26,691.40**	**3,311 14**	**3.277**	**874 92**
Total common stocks and options				**$ 514,329.96**			**$ 660,092.69**	**$ 21,410.41 ST** **$ 124,352.32 LT**	**3.24**	**$ 21,414 02**
Total portfolio value				**$ 533,457.19**			**$ 679,219.92**	**$ 21,410.41 ST** **$ 124,352.32 LT**	**3 15**	**$ 21,415.93**

R The basis for this tax lot has been adjusted due to a reclassification of income.

X The cost basis and acquisition date of this tax lot were adjusted due to a wash sale.

MorganStanley SmithBarney

Ref 00000859 00096307

Reserved
Client Statement
March 1 - March 31, 2012

Account number 602-71583-17 531

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Morgan Stanley Smith Barney LLC. Member SIPC. 1239

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center. 800-423-7248

1239 Inv		19,637.75	139,534.99	
1241 Inv	10,000 00		10,000.00	
4200 Unreal G/L 6852 Excise&Othr	13,481.31 —			139,829.58
			17.27	
4300 Div		3,838.63		11,346.30
4400 Int		2 73		37.42
4504 Inv Mgmt		2 20	1,661.04	

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Cash balance	$ 0.00	$ 2 20	
Money fund	13,019.63	3,068.53	.15
Mutual funds	2,037,667.44	2,027,978.59	99 85
Total value	**$ 2,050,687.07**	**$ 2,031,049.32**	**100.00**

Earnings summary

	This period	This year
Other dividends	$ 3,838 63	$ 7,276.78
Money fund earnings	.01	06
Total	**$ 3,838.64**	**$ 7,276 84**

Additional summary information

	This period	This year
Other income	$ 2.72	$ 37 36

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	$ 10,022 86 LT $ 0 00 ST
Unrealized gain or (loss) to date	191,906.02		

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 13,019 63**	
Securities bought and other subtractions	(3,792.46)	
Securities sold and other additions	0 00	
Deposits	2.20	50.25
Withdrawals	(10,000.00)	(86,711.29)
Dividends credited	3,838.63	
Money fund earnings credited	.01	
Other income credited	2.72	
Closing balance	**$ 3,070.73**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl accr. int.)	**$ 2,050,687 07**	**$ 1,966,514 33**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(9,997.80)	(86,661.04)
Beginning value net of deposits/withdrawals	2,040,689.27	1,879,853 29
Total value as of 3/30/2012 (excl accr. int.)	**$ 2,031,049.32**	**$ 2,031,049.32**
Change in value	**($ 9,639.95)**	**$ 151,196.03**
less: earnings	(3,841.36)	
	(13,481.31)	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
3,068 53	MORGAN STANLEY LIQUID ASSET FUND INC	$ 3,068.53		.01 %	$ 30
Total money fund		$ 3,068.53	$ 0.00		$ .30

Reserved
Client Statement
March 1 - March 31, 2012

HEALTHCARE FOUNDATION ***Account number 602-71583-17 531***

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain mutual funds. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes All status codes represent the opinions of CG IAR and are not representations or guarantees of performance
Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following. investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
9,428.4346	DREYFUS LIMITED TERM HIGH YIELD FD CL I	DLHRX	03/06/09	$ 50,143.21	$ 5.318	$ 6.43	$ 60,624.83	$ 10,481.62 LT			
9,428.4346	**Total Purchases**			**50,143.21**	**5.32**	**6.43**	**60,624.83**	**10,481.62**			
2,107.8428	Reinvestments to date			13,343.83	6.33	6.43	13,553.43	209.60 LT			
971.7166	Reinvestments to date			6,261.50	6.443	6.43	6,248.14	(13.36) ST			
12,507.994	**Tax-based Cost vs. Current Value**			**69,748 54**	**5.576**		**80,426.40**	**10,677.86**		**7.869**	**6,329.04**
	Total Purchases vs. Current Value			**50,143.21**			**80,426.40**		**30,283.19**		
	Fund Value Increase/Decrease								**30,283.19**		
15,819.733	LAZARD EMERGING MKTS EQUITY PORT INST'L SHS	LZEMX	01/28/10	276,212.53	17.46	19 71	311,806.94	35,594 41 LT			
17,666.854			11/29/11	315,000.00	17.83	19 71	348,213.69	33,213.69 ST			
	Rating. CG RESEARCH : FL										
33,486 587	**Total Purchases**			**591,212 53**	**17 66**	**19.71**	**660,020.63**	**68,808.10**			
266 56	Reinvestments to date			5,577 80	20.925	19 71	5,253.90	(323.90) LT			
2,248 766	Reinvestments to date			37,608.16	16 723	19.71	44,323.18	6,715.02 ST			
36,001.913	**Tax-based Cost vs. Current Value**			**634,398.49**	**17 621**		**709,597.71**	**75,199.22**		**2 785**	**19,765 05**
	Total Purchases vs. Current Value			**591,212.53**			**709,597 71**		**118,385.18**		
	Fund Value Increase/Decrease								**118,385.18**		

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
7,820 9657	LORD ABBETT HIGH YIELD FD CL F	LHYFX	03/06/09	$ 41,945 63	$ 5.363	$ 7.74	$ 60,534.27	$ 18,588.64 LT			
7,820 9657	**Total Purchases**			**41,945 63**	**5 36**	**7 74**	**60,534 27**	**18,588 64**			
1,850 6199	Reinvestments to date			13,312 52	7 193	7 74	14,323.80	1,011.28 LT			
855.6454	Reinvestments to date			6,503 93	7 601	7 74	6,622.70	118 77 ST			
10,527 231	**Tax-based Cost vs. Current Value**			**61,762 08**	**5 867**		**81,480 77**	**19,718 69**		**7 39**	**6,021 57**
	Total Purchases vs Current Value			**41,945 63**			**81,480 77**		**39,535.14**		
	Fund Value Increase/Decrease								**39,535.14**		
7,261 1248	NEUBERGER BERMAN HIGH INCOME BD. FD INST'L CL.	NHILX	03/06/09	46,377 80	6.387	9.18	66,657 13	20,279.33 LT			
7,261 1248	**Total Purchases**			**46,377 80**	**6 39**	**9 18**	**66,657.13**	**20,279.33**			
1,474 8692	Reinvestments to date			12 894 17	8 742	9 18	13,539 30	645 13 LT			
721 137	Reinvestments to date			6,597.05	9.148	9 18	6,620.04	22.99 ST			
9,457 131	**Tax-based Cost vs Current Value**			**65,869 02**	**6 965**		**86,816 47**	**20,947 45**		**6 819**	**5,920.16**
	Total Purchases vs Current Value			**46,377 80**			**86,816.47**		**40,438 67**		
	Fund Value Increase/Decrease								**40,438 67**		
51,124 587	PIMCO UNCONSTRAINED BOND FUND CLASS P	PUCPX	01/28/10	557,257 99	10 90	11.04	564,415.44	7,157.45 LT			
4,672 956	**Rating** CG RESEARCH . FL		01/18/11	52,010 00	11 13	11.04	51,589.43	(420.57) LT			
55,797 543	**Total Purchases**			**609,267.99**	**10.92**	**11 04**	**616,004 87**	**6,736.88**			
1,518 976	Reinvestments to date			16,907 96	11 131	11 04	16,769 50	(138 46) LT			
1,406 499	Reinvestments to date			15,469 65	10 998	11.04	15,527.75	58 10 ST			
58,723 018	**Tax-based Cost vs Current Value**			**641,645 60**	**10.927**		**648,302 12**	**6,656 52**		**1 585**	**10,276 52**
	Total Purchases vs. Current Value			**609,267 99**			**648,302 12**		**39,034 13**		
	Fund Value Increase/Decrease								**39,034 13**		
7,287 725	PIMCO HIGH YIELD FUND CL P **Rating** CG RESEARCH . AL	PHLPX	03/06/09	46,277 06	6.349	9 29	67,702 97	21,425 91 LT			
7,287 725	**Total Purchases**			**46,277 06**	**6.35**	**9 29**	**67,702.97**	**21,425 91**			
1,407 035	Reinvestments to date			12,115.98	8.611	9.29	13,071 36	955 38 LT			
675 575	Reinvestments to date			6,196.21	9 171	9.29	6,276.09	79 88 ST			
9,370 335	**Tax-based Cost vs. Current Value**			**64,589 25**	**6.893**		**87,050.42**	**22,461 17**		**7.061**	**6,146 93**
	Total Purchases vs Current Value			**46,277.06**			**87,050.42**		**40,773.36**		
	Fund Value Increase/Decrease								**40,773 36**		
25,533 074	PIMCO EMERGING MARKETS BOND FUND CL P **Rating** CG RESEARCH FL	PEMPX	01/28/10	263,501 33	10 32	11 67	297,970.97	34,469 64 LT			
25,533.074	**Total Purchases**			**263,501.33**	**10.32**	**11.67**	**297,970.97**	**34,469 64**			

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIMCO EMERGING MARKETS BOND FUND CL P	PEMPX									
1,601 546	Reinvestments to date			$ 17,542.54	$ 10.953	$ 11.67	$ 18,690.04	$ 1,147.50 LT			
1,511 884	Reinvestments to date			17,015.72	11 254	11.67	17,643.69	627.97 ST			
28,646.504	**Tax-based Cost vs. Current Value**			298,059.59	10 405		334,304 70	36,245.11		4 695	15,698 28
	Total Purchases vs. Current Value			263,501 33			334,304.70		70,803.37		
	Fund Value Increase/Decrease								70,803.37		
Total mutual funds (Tax based)				**$ 1,836,072.57**			**$ 2,027,978.59**	**$ 40,823.06 ST**		**3.45**	
								$ 151,082.96 LT			**$ 70,157.55**
Total Fund Value Increase/Decrease									**$ 379,253.04**		
Total portfolio value				**$ 1,839,141.10**			**$ 2,031,047.12**	**$ 40,823.06 ST**		**3.45**	**$ 70,157.85**
								$ 151,082.96 LT			

TRANSACTION DETAILS

All transactions appearing are based on trade-date

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/01/12	Reinvest	DREYFUS LIMITED TERM HIGH YIELD FD CL I WITHDRAWAL, PENDING REINVEST			$ -409 92
03/01/12	Reinvest	DREYFUS LIMITED TERM HIGH YIELD FD CL I REINVESTMENT SHS FOR 02/29/12 REINVESTED AMOUNT $409.92	63.357	6.47	0.00
03/01/12	Reinvest	LORD ABBETT HIGH YIELD FD CL F WITHDRAWAL, PENDING REINVEST			-496.22
03/01/12	Reinvest	LORD ABBETT HIGH YIELD FD CL F REINVESTMENT SHS FOR 02/29/12 REINVESTED AMOUNT $496 22	64.028	7.75	0.00
03/01/12	Reinvest	NEUBERGER BERMAN HIGH INCOME BD. FD INST'L CL. WITHDRAWAL, PENDING REINVEST			-472.81
03/01/12	Reinvest	NEUBERGER BERMAN HIGH INCOME BD FD INST'L CL. REINVESTMENT SHS FOR 02/29/12 REINVESTED AMOUNT $472.81	50.895	9 29	0 00

MorganStanley
SmithBarney

Ref: 00000859 00096244

Reserved
Client Statement
March 1 - March 31, 2012

Account number 602-71094-19 531

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Morgan Stanley Smith Barney LLC. Member SIPC. G/L # 1240

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center. 800-423-7248

1240	Inv	59,428 60		153,093.28	
1241	Inv	–		6,000.00	
4504	Inv Mgmt	–		3,234.23	
6852	Excise & Othr	42.07		42.07	
4200	Unreal G/L		57,072.29		155,996.47
4300	Div		2,397.78		6,371.06
4400	Int		.60		2.05

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

Account value	Last period	This period	%
Cash balance	$ 11,038.11	**$ 530.26**	.03
Money fund	71,624.91	**77,139.24**	4.62
Accrued money fund dividends	.02	**.02**	
Common stocks & options	1,535,087.65	**1,592,227.41**	95.35
Unsettled purchases/sales	-7,282.36	**0.00**	
Total value	**$ 1,610,468.33**	**$ 1,669,896.93**	**100.00**
Total value (excluding accrued interest)	$ 1,610,468.31	$ 1,669,896.91	

Unsettled purchases/sales are reflected in the "Portfolio details" section

Earnings summary

Earnings summary	This period	This year
Other dividends	$ 2,397.78	$ 6,371.06
Money fund earnings	.60	2.05
Total	**$ 2,398 38**	**$ 6,373.11**

Additional summary information

Additional summary information	This period	This year
FRGN tax withheld	$ 42.07	$ 42 07

Cash, money fund, bank deposits

Cash, money fund, bank deposits	This period	This year
Opening balance	**$ 82,663 02**	
Securities bought and other subtractions	(46,744.74)	
Securities sold and other additions	46,677.27	
Prior transactions settling/cancelled	(7,282.36)	
Deposits	0.00	67 36
Withdrawals	0.00	(49,301.59)
Dividends credited	2,355 71	
Money fund earnings reinvested	.60	
Closing balance	**$ 77,669.50**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	**$ 1,610,468.31**	**$ 1,556,803 65**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(49,234 23)
Beginning value net of deposits/withdrawals	**1,610,468.31**	**1,507,569.42**
Total value as of 3/30/2012 (excl accr. int)	**$ 1,669,896.91**	**$ 1,669,896 91**
Change in value	**$ 59,428 60**	**$ 162,327 49**
less: - earnings	⟨ 2,356.31⟩	
	61,784.91	

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 12,939 67	$ 27.462 83 LT $ 19,387.84 ST
Unrealized gain or (loss) to date	285,872 84		

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance

	Rating		Rating
Montag & Caldwell - Large Cap Growth	FL		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12. but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
77,139 24	MORGAN STANLEY LIQUID ASSET FUND INC	**$ 77,139.24**	**$ .02**	**.01 %**	**$ 7 71**
Total money fund		**$ 77,139 24**	**$ .02**		**$ 7.71**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
564	ACCENTURE PLC	ACN	09/26/11	$ 28,898.40	$ 51.238	$ 64 50	$ 36,378.00	$ 7,479 60 ST		
117			10/04/11	6,115.33	52.267	64.50	7,546.50	1,431.17 ST		
681				**35,013.73**	**51.415**		**43,924.50**	**8,910.77**	**2.093**	**919.35**
1,228	ABBOTT LABORATORIES	ABT	09/26/11	**62,011 54**	50.498	61.29	**75,264.12**	**13,252.58** ST	**3.328**	**2,505.12**
264	ALLERGAN INC	AGN	11/04/08	10,669 96	40.416	95.43	25,193.52	14,523.56 LT		
212			09/16/09	12,431.28	58.638	95.43	20,231.16	7,799.88 LT		
9			02/04/10	520 11	57.79	95.43	858.87	338.76 LT		
199			06/15/10	12,164.27	61.127	95.43	18,990 57	6,826.30 LT		
684				**35,785.62**	**52 318**		**65,274.12**	**29,488.50**	**.209**	**136 80**
80	AMAZON COM INC	AMZN	01/26/12	15,461.48	193.268	202.51	16,200 80	739.32 ST		
54			02/01/12	9,579.27	177.393	202 51	10,935 54	1,356 27 ST		
134				**25,040.75**	**186.871**		**27,136 34**	**2,095.59**		
592	AMERISOURCEBERGEN CORP	ABC	09/26/11	22,730 73	38.396	39 66	23,478.72	747 99 ST		
202			01/26/12	7,852 37	38.873	39.66	8,011.32	158.95 ST		
794				**30,583.10**	**38.518**		**31,490.04**	**906.94**	**1.311**	**412.88**
89	APPLE INC	AAPL	11/04/08	9,531.01	107.09	599.55	53,359.95	43,828.94 LT		
18			02/04/10	3,480.12	193.34	599.55	10,791.90	7,311.78 LT		
15			10/06/11	5,735 60	382.373	599.55	8,993.25	3,257.65 ST		
17			01/25/12	7,616 25	448 014	599.55	10,192.35	2,576.10 ST		
139				**26,362.98**	**189.662**		**83,337.45**	**56,974.47**		
571	BED BATH & BEYOND	BBBY	09/26/11	33,506.28	58.68	65 77	37,554.67	4,048.39 ST		
96			12/27/11	5,516.31	57 461	65.77	6,313.92	797 61 ST		
667				**39,022.59**	**58 505**		**43,868.59**	**4,846.00**		
388	CAMERON INTERNATIONAL CORP	CAM	10/13/10	16,936.94	43 651	52.83	20,498 04	3,561.10 LT		
19			01/12/11	984.35	51.807	52.83	1,003 77	19.42 LT		
142			03/07/11	8,734 02	61.507	52.83	7,501.86	(1,232.16) LT		
116			02/24/12	6,601.48	56.909	52.83	6,128.28	(473.20) ST		
665				**33,256.79**	**50.01**		**35,131.95**	**1,875.16**		
844	CISCO SYS INC	CSCO	10/27/11	15,514.83	18.382	21.15	17,850.60	2,335.77 ST		
378			11/10/11	7,076.12	18 719	21 15	7,994.70	918.58 ST		
376			12/27/11	6,991.95	18.595	21 15	7,952 40	960 45 ST		
1,598				**29,582.90**	**18 512**		**33,797.70**	**4,214.80**	**1.513**	**511 36**
1,010	COCA-COLA CO	KO	09/26/11	**69,375 59**	68.688	74.01	**74,750.10**	**5,374.51** ST	**2 756**	**2,060.40**
418	COLGATE PALMOLIVE CO	CL	09/26/11	38,169 04	91.313	97.78	40,872.04	2,703.00 ST		
58			09/28/11	5,202.56	89.699	97.78	5,671.24	468.68 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
78	COLGATE PALMOLIVE CO	CL	02/03/12	$ 7,158.09	$ 91 77	$ 97.78	$ 7,626 84	$ 468 75 ST		
554				**50,529.69**	**91 209**		**54,170.12**	**3,640.43**	2.536	1,373 92
443	COSTCO WHOLESALE CORP NEW	COST	09/26/11	37,186.31	83.942	90 80	40,224 40	3,038.09 ST		
81			01/10/12	6,428 80	79.367	90 80	7,354.80	926.00 ST		
69			03/05/12	6,030 49	87 398	90.80	6,265 20	234.71 ST		
593				**49,645.60**	**83.719**		**53,844 40**	**4,198 80**	1.057	569.28
603	EMC CORP-MASS	EMC	02/02/12	**15,670.58**	25.987	29.88	**18,017.64**	**2,347.06** ST		
451	EBAY INC	EBAY	03/13/12	**16,626.34**	36.865	36.90	**16,641.90**	**15 56** ST		
901	GENERAL ELECTRIC CO	GE	12/19/11	15,206.18	16 877	20.07	18,083.07	2,876.89 ST		
492			12/22/11	8,817.92	17 922	20 07	9,874 44	1,056.52 ST		
387			01/20/12	7,383 46	19 078	20 07	7,767.09	383 63 ST		
349			02/23/12	6,714.48	19.239	20 07	7,004.43	289.95 ST		
373			03/14/12	7,383 57	19 795	20 07	7,486 11	102 54 ST		
2,502				**45,505 61**	**18 188**		**50,215.14**	**4,709 53**	3 388	1,701 36
29	GOOGLE INC CLASS A	GOOG	12/09/03	9,076.62	312 987	641 24	18,595 96	9,519.34 LT		
15			02/07/11	9,241 19	616.079	641 24	9.618.60	377.41 LT		
9			10/27/11	5,387.26	598.584	641.24	5,771.16	383 90 ST		
53				**23,705.07**	**447.265**		**33,985.72**	**10,280 65**		
677	HALLIBURTON CO HOLDINGS CO	HAL	09/26/11	**21,892 83**	32.338	33.19	**22,469 63**	**576 80** ST	1 084	243.72
1,753	KRAFT FOODS INC CLASS A	KFT	09/26/11	**59,966.62**	34.208	38 01	**66,631 53**	**6,664 91** ST	3 051	2,033.48
307	LAS VEGAS SANDS CORP	LVS	02/03/12	15,818 11	51 524	57 57	17,673.99	1,855 88 ST		
131			02/29/12	7 282 36	55 59	57.57	7.541 67	259 31 ST		
438				**23,100 47**	**52 741**		**25,215 66**	**2,115 19**	1 737	438 00
547	MCDONALDS CORP	MCD	09/26/11	**48,627 26**	88 898	98.10	**53,660 70**	**5,033 44** ST	2 854	1,531.60
533	MEDCO HEALTH SOLUTIONS INC	MHS	09/26/11	25,715.12	48 246	70.30	37,469 90	11,754.78 ST		
129			10/27/11	6,935 13	53 76	70.30	9.068 70	2,133 57 ST		
662				**32,650 25**	**49 321**		**46,538 60**	**13,888 35**		
133	MONSANTO CO NEW	MON	01/25/11	9,471 42	71.213	79 76	10,608.08	1,136 66 LT		
93			02/07/11	7,022 62	75 512	79 76	7.417 68	395 06 LT		
167			07/25/11	12,679.73	75 926	79 76	13,319.92	640.19 ST		
120			10/03/11	7,266 35	60.552	79.76	9.571 20	2.304 85 ST		
100			03/06/12	7,799 06	77 99	79 76	7.976 00	176 94 ST		
613				**44,239 18**	**72 168**		**48,892 88**	**4,653 70**	1 504	735 60
222	NIKE INC CL B	NKE	09/26/11	**19,585 88**	88 224	108 44	**24,073 68**	**4,487 80** ST	1 327	319 68

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
203	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/13/10	$ 17,208.10	$ 84 769	$ 95.23	$ 19,331.69	$ 2,123.59 LT		
80			12/06/10	7,436 56	92.957	95.23	7,618.40	181.84 LT		
18			01/12/11	1,759.69	97.76	95.23	1,714.14	(45.55) LT		
84			02/07/11	8,184.09	97.429	95.23	7,999.32	(184 77) LT		
98			10/04/11	6,689.79	68.263	95.23	9,332.54	2,642.75 ST		
483				**41,278 23**	**85.462**		**45,996.09**	**4,717.86**	**2.268**	**1,043.28**
542	OMNICOM GROUP INC	OMC	09/26/11	20,321.96	37.494	50.65	27,452.30	7,130.34 ST		
158			10/04/11	5,804.98	36.74	50.65	8,002.70	2,197.72 ST		
700				**26,126.94**	**37.324**		**35,455.00**	**9,328.06**	**2.369**	**840.00**
350	ORACLE CORP	ORCL	09/26/11	10,180 06	29.085	29.16	10,206.00	25 94 ST		
217			10/04/11	6,024.51	27.762	29.16	6,327.72	303 21 ST		
296			03/21/12	8,905.28	30.085	29.16	8,631.36	(273.92) ST		
863				**25,109 85**	**29.096**		**25,165.08**	**55.23**	**.823**	**207.12**
705	PEPSICO INC	PEP	09/26/11	**43,621 46**	61.874	66.35	**46,776.75**	**3,155 29** ST	**3.104**	**1,452 30**
798	PROCTER & GAMBLE CO	PG	09/26/11	**50,010.66**	62.67	67 21	**53,633.58**	**3,622.92** ST	**3.124**	**1,675.80**
18	QUALCOMM INC	QCOM	06/20/08	871.38	48.41	68.06	1,225 08	353.70 LT		
514			11/04/08	19,051.92	37.066	68.06	34,982 84	15,930.92 LT		
58			02/04/10	2,231.84	38.48	68.06	3,947.48	1,715.64 LT		
336			09/21/10	14,599.20	43.45	68.06	22,868.16	8,268.96 LT		
182			02/07/11	9,984.32	54.858	68.06	12,386.92	2,402.60 LT		
1,108				**46,738.66**	**42.183**		**75,410.48**	**28,671.82**	**1.263**	**952.88**
150	SCHLUMBERGER LTD	SLB	02/11/09	6,404.31	42.695	69.93	10,489.50	4,085.19 LT		
52			02/04/10	3,259.36	62.68	69.93	3,636.36	377 00 LT		
201			03/07/11	18,131.53	90.206	69.93	14,055.93	(4,075 60) LT		
101			02/07/12	7,950.32	78 716	69.93	7,062.93	(887.39) ST		
504				**35,745.52**	**70.924**		**35,244.72**	**(500.80)**	**1.573**	**554.40**
797	STRYKER CORP	SYK	09/26/11	37,486.42	47 034	55.48	44,217.56	6,731.14 ST		
81			09/28/11	3,967 85	48.985	55.48	4,493 88	526.03 ST		
878				**41,454.27**	**47.214**		**48,711.44**	**7,257.17**	**1.532**	**746 30**
1,278	TJX COMPANIES INC NEW	TJX	09/26/11	**36,740.20**	28.748	39.71	**50,749.38**	**14,009.18** ST	**956**	**485.64**
346	UNILEVER NV NY SHS-NEW	UN	11/29/11	11,382.98	32.898	34.03	11,774.38	391.40 ST		
231			12/20/11	7,706.76	33.362	34.03	7,860.93	154.17 ST		
193			01/12/12	6,323.18	32.762	34.03	6,567.79	244.61 ST		
176			02/03/12	5,838.84	33.175	34 03	5,989.28	150.44 ST		
946				**31,251 76**	**33.036**		**32,192 38**	**940.62**	**3.123**	**1,005 60**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
607	UNITED PARCEL SERVICE CL B	UPS	09/26/11	$ 38,176 66	$ 62 894	$ 80.72	$ 48,997.04	$ 10,820 38 ST		
93			02/07/12	7,159.18	76.98	80 72	7,506 96	347.78 ST		
700				**45,335.84**	**64 765**		**56,504.00**	**11,168.16**	**2.824**	**1,596.00**
360	VISA INC COM CL A	V	09/26/11	32,176.08	89.378	118.00	42,480.00	10,303 92 ST		
67			10/04/11	5,556 66	82.935	118.00	7,906.00	2,349.34 ST		
65			02/14/12	7,427 47	114.268	118.00	7,670.00	242.53 ST		
492				**45,160 21**	**91 789**		**58,056 00**	**12,895.79**	**.745**	**432.96**
Total common stocks and options				**$ 1,306,354.57**			**$ 1,592,227.41**	**$ 160,333.27 ST**	**1.66**	
								$ 125,539.57 LT		**$ 26,484 83**
Total portfolio value				**$ 1,383,493 81**			**$ 1,669,366.65**	**$ 160,333.27 ST**	**1.58**	**$ 26,492.54**
								$ 125,539.57 LT		

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/05/12	Bought	COSTCO WHOLESALE CORP NEW CGMI AND/OR ITS AFFILIATES	69	$ 87 3984	$ -6,030 49
03/06/12	Bought	MONSANTO CO NEW	100	77.9906	-7,799 06
03/07/12	Sold	EMERSON ELECTRIC CO	-305	49.1513	14,990.88
03/13/12	Bought	EBAY INC CGMI AND/OR ITS AFFILIATES	451	36 8655	-16,626 34
03/13/12	Sold	PEPSICO INC	-112	64.2769	7 198 88
03/13/12	Sold	TJX COMPANIES INC NEW	-178	37.978	6,759.95
03/14/12	Bought	GENERAL ELECTRIC CO	373	19.7951	-7.383 57
03/20/12	Sold	APPLE INC CGMI AND/OR ITS AFFILIATES	-15	596.9997	8,954 83
03/21/12	Sold	NIKE INC CL B	-79	111 0493	8 772 73
03/21/12	Bought	ORACLE CORP CGMI AND/OR ITS AFFILIATES	296	30 0854	-8,905.28
Total securities bought and other subtractions					**$ -46,744 74**
Total securities sold and other additions					**$ 46,677 27**

C

MorganStanley SmithBarney

Ref: 00000859 00096254

Reserved Client Financial Management Account
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-71095-18 531

Morgan Stanley Smith Barney LLC. Member SIPC. 1241

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248
TTY/TDD Deaf & Hard of hearing: 800-227-4238

1241	Inv	25000.85	54,184.18
4504	Inv Mgmt	-	1,101.58
1244	Inv	-	10,000.00
1245	Inv	25,000.00	36,000.00
1247	Inv	-	7,000.00
4200	Unreal G/L	1.31	51.12
4300	Div	2.16	6.42
4400	Int	-	0.01
4502	Misc income	-	2,228.39

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Pending reinvested cash	$ 2.14	**$ 2.16**	.76
Money fund	1.53	**1.53**	.54
Exchange traded & closed end funds	279.54	**280.37**	98.70
Total value	**$ 283.21**	**$ 284.06**	**100.00**

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 1 53**	
Securities bought and other subtractions	(2.16)	
Securities sold and other additions	0.00	
Deposits	35,000.00	71,228.39
Withdrawals	(35,000.00)	(72,101 58)
Dividends credited	2.16	
Closing balance	**$ 1.53**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes

Earnings summary

	This period	This year
Other dividends	$ 2.16	$ 6.42
Money fund earnings	0.00	.01
Total	**$ 2.16**	**$ 6 43**

Gain/loss summary

		This period	This year
Unrealized gain or (loss) to date	($ 22 34)		

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 283.21**	**$ 1,099.88**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(873.19)
Beginning value net of deposits/withdrawals	**283.21**	**226.69**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 284.06**	**$ 284.06**
Change in value	**$ .85**	**$ 57.37**
less -	< 2.16 >	
	< 1.31 >	

HEALTHCARE FOUNDATION **Account number 602-71095-18 531**

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
1.53	MORGAN STANLEY AA MONEY TRUST	$ 1.53		.01 %	
Total money fund		**$ 1.53**	**$ 0.00**		**$ 0 00**

HEALTHCARE FOUNDATION **Account number 602-71095-18 531**

Exchange traded & closed end funds

Citi Investment Research & Analysis (CIRA) ratings may be shown for certain closed-end funds Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA closed-end fund recommendations include an investment rating and a risk rating. The Investment Rating code (1,2, 3) is based upon CIRA's expectation of the security's performance relative to its peer group of closed-end funds. The Risk Rating (L, M, H or S) represents the fund's expected risk, taking into account the quality and liquidity of the underlying securities, financial leverage and foreign currency exposure. Please refer to the end of this statement for a guide describing CIRA stock and closed-end fund ratings in further detail.

Closed end investment companies are grouped below by portfolio designations. Gain/Loss is provided to assist in tax preparation. It is not intended to calculate investment returns or performance.

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
40	ALPINE GLOBAL PREMIER PPTYS FD SHS OF BEN INT Equity portfolio	AWP	12/31/10	$ 283.44	$ 7.086	$ 6.50	$ 260.00	($ 23.44) LT		
3 1339	Reinvestments to date			19.27	6 148	6.50	20.37	1.10 ST		
43.1339				**302.71**	**7.018**		**280.37**	**(22.34)**	**9.23**	**25 88**
Total closed end fund equity allocation							**$ 280.37**			
Total exchange traded funds and closed end funds				**$ 302.71**			**$ 280.37**	**$ 1.10 ST**	**9.23**	
								($ 23.44) LT		**$ 25.88**
Total portfolio value				**$ 304.24**			**$ 281.90**	**$ 1.10 ST**	**9.18**	
								($ 23.44) LT		**$ 25.88**

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/14/12	Reinvest	ALPINE GLOBAL PREMIER PPTYS FD SHS OF BEN INT REINVESTMENT SHS FOR 02/29/12 REINVESTED AMOUNT $2.14	.3251	$ 6.5835	$ 0.00
03/30/12	Reinvest	ALPINE GLOBAL PREMIER PPTYS FD SHS OF BEN INT WITHDRAWAL, PENDING REINVEST			-2.16
Total securities bought and other subtractions					**$ -2.16**
Total securities sold and other additions					**$ 0.00**

Ref· 00000859 00096261

MorganStanley
SmithBarney

Reserved
Client Statement
March 1 - March 31, 2012

Account number 602-71097-16 531

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Morgan Stanley Smith Barney LLC. Member SIPC.
155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com
Reserved Client Service Center: 800-423-7248

1243

1243	Inv		6,510 25	52,608.88	
4504	Inv Mgmt		-	3,645.65	
4200	Unrel G/L	13,419.51			28,632.74
4300	Div		4,033.89		13,699.25
4400	Int		2,875.37		13,922.54

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period		This period	%
Cash balance	$ 119,444 58		**$ 0.00**	
Money fund	83,991.45		**197,818.23**	7.65
Accrued money fund dividends	02	0.03	**05**	
Accrued interest on bonds/CDs	4,223.76	619.69	**4,843 45**	19
Mortgage and asset backed securities	707,445.97		**715,509.87**	27 68
Mutual funds	1,148,607.60		**1,143,213 60**	44.23
Government & GSE bonds	531,764.02		**523,122.81**	20.24
Unsettled purchases/sales	-4,459.14		**0 00**	
Total value	**$ 2,591,018.26**		**$ 2,584,508.01**	**100.00**
Total value (excluding accrued interest)	$ 2,586,794 48		$ 2,579,664 51	

Unsettled purchases/sales are reflected in the "Portfolio details" section.

Earnings summary

	This period	This year
Interest	$ 2,621.12	$ 12,572.73
Accrued interest received	252 74	1,347.19
Other dividends	4,033.89	8,339.90
Money fund earnings	1.51	2.62
Total	**$ 6,909.26**	**$ 22,262.44**

Additional summary information

	This period	This year
Accrued interest you paid	$ 56 65	$ 772 23
Return of principal	21,370.37	57,727 45

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 203,436.03**	
Securities bought and other subtractions	(118,007 63)	
Securities sold and other additions	88,822.08	
Prior transactions settling/cancelled	(4,459 14)	
Deposits	0.00	115.40
Withdrawals	0.00	(118,379 05)
Interest credited	2,621.12	
Return of principal credited	21,370.37	
Dividends credited	4,033 89	
Money fund earnings reinvested	1 51	
Closing balance	**$ 197,818.23**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 2,586,794 48**	**$ 2,639,276.50**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(118,263.65)
Beginning value net of deposits/withdrawals	**2,586,794.48**	**2,521,012.85**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 2,579,664.51**	**$ 2,579,664.51**
Change in value	**($ 7,129 97)**	**$ 58,651.66**
less: earnings	< 6,656 52>	
Add: Accr int	619.72	
less: Accr. int received	< 252.74>	
	13,419.51	

Gain/loss summary

		This period	This year
Original Realized gain or (loss)		$ 1,722.77	$ 11,020 04 LT $ 9,113 46 ST
Adjusted Realized gain or (loss)		1,923 78	9,463 40 LT 9,661.99 ST
Ordinary income (realized)		0.00	140.38
Capital gain or (loss) (realized)		1,923.78	18,985 01
Unrealized gain or (loss) to date	57,993 69		

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes All status codes represent the opinions of CG IAR and are not representations or guarantees of performance

	Rating	Rating
PIMCO - Total Return	FL	

PORTFOLIO DETAILS

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Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

HEALTHCARE FOUNDATION **Account number 602-71097-16 531**

Money fund

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Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
197,818 23	MORGAN STANLEY LIQUID ASSET FUND INC	**$ 197,818.23**	**$ .05**	.01 %	**$ 19.78**
Total money fund		**$ 197,818.23**	**$ .05**		**$ 19.78**

Mortgage and asset backed securities

Current Value is calculated as follows: Original Principal Amount x Factor x Price = Current Value

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
200,000	FNMA PL#735580 DTD 05/01/2005 INT. 05.000% MATY: 06/01/2035 FACTOR: .29705999 Curr.face $ 59,412.00 Int paid monthly	05/16/08 31402RFV6	**$ 57,313.05**	$ 98.53	$ 108 227 **$ 247.55**	**$ 64,299.82**	**$ 6,986.77*** LT	**4 619 %**	**$ 2,970.60**
66,000	FNMA PL#AE0115 DTD 06/01/2010 INT: 05.500% MATY: 12/01/2035 FACTOR. .60547520 Curr.face $ 39,961.36 Int paid monthly	04/19/11 31419ADV6	**43,982.19**	107.839	109.78 **183.16**	**43,869.58**	**(112.61)** ST	**5.01**	**2,197.87**
17,000	FNMA PL#903812 DTD 12/01/2006 INT: 05.500% MATY: 12/01/2036 FACTOR: .24303052 Curr.face $ 4,131 52 Int paid monthly	05/10/11 31411CDR9	**4,611.61**	108.203	109.207 **18.94**	**4,511.91**	**(99.70)** ST	**5 036**	**227.23**
18,000	FNMA PL#AE0609 DTD 11/01/2010 INT· 05.500% MATY: 04/01/2037 FACTOR 66964302 Curr.face $ 12,053.57 Int paid monthly	03/07/12 31419AVB0	**13,185 48**	109.39	109.894 **55.25**	**13,246.16**	**60.68** ST	**5 004**	**662.94**
16,000	FNMA PL#888893 DTD 11/01/2007 INT: 05.500% MATY: 08/01/2037 FACTOR: .32497945 Curr.face $ 5,199 67 Int paid monthly	01/26/11 31410GRN5	**5,743.46**	107.32	109.457 **23 83**	**5,691.40**	**(52 06)** LT	**5 024**	**285 98**

HEALTHCARE FOUNDATION ***Account number 602-71097-16 531***

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated income (annualized)
17,000	FHLMC PL#G03432 DTD 10/01/2007 INT: 05 500% MATY 11/01/2037 FACTOR: .27462754 Curr face $ 15,379.14 Int paid monthly	01/15/08 3128M5ED8	$ 4,911.07	$ 101.35	$ 108 843	$ 5,081.52	$ 170 45* LT		
39,000		01/23/08	$ 11,373 95	$ 101 85	$ 108.843	$ 11,657 60	$ 283 65* LT		
56,000			**$ 16,285 02**	**$ 29 10**	**$ 70 49**	**$ 16,739.12**	**$ 454.10**	**5 053**	**$ 845[illegible]**
16,000	FNMA PL#972295 DTD 02/01/2008 INT: 05.500% MATY· 02/01/2038 FACTOR· 19054126 Curr.face $ 3,048 66 Int paid monthly	06/09/11 31414PUU1	**$ 3,430.11**	$ 108.734	$ 109.05 **$ 13.97**	**$ 3,324.56**	**($ 105 55) ST**	**5.043%**	**$ 167.67**
6,000	FHLMC PL#G04913 DTD 11/01/2008 INT 05 000% MATY· 03/01/2038 FACTOR: 38157662 Curr.face $ 2,289 46 Int paid monthly	11/10/11 3128M6YJ1	**2,471 05**	107 031	107 864 **9.54**	**2,469 50**	**(1 55) ST**	**4.635**	**114.47**
20,000	FNMA PL#995018 DTD 10/01/2008 INT· 05 500% MATY 06/01/2038 FACTOR 31583335 Curr face $ 6,316 67 Int paid monthly	05/12/09 31416BK72	**7,004.49**	103.921	109.207 **28.95**	**6,898.24**	**(106.25) LT**	**5 036**	**347.41**
26,000	FHLMC PL#G04688 DTD 09/01/2008 INT 05.500% MATY 09/01/2038 FACTOR. 29833279 Curr face $ 7,756 65 Int paid monthly	11/10/11 3128M6RH3	**8,465 82**	107 929	108 812 **35 55**	**8,440.17**	**(25 65) ST**	**5 054**	**426 61**
13,000	FNMA PL#889982 DTD 10/01/2008 INT. 05.500% MATY 11/01/2038 FACTOR· .31490647 Curr face $ 28,026 68 Int paid monthly	04/22/09 31410KXK5	4,530.39	103 777	109.05	4,464 27	(66.12) LT		
18.000		06/18/09	6,073.74	102.71	109.05	6,181.30	107.56 LT		
31,000		07/10/09	10,786.35	104.109	109.05	10,645.57	(140.78) LT		
27.000		08/27/09	9,349 21	104.00	109 05	9,271.95	(77.26) LT		
89,000			**30,739 69**	**34.50**	**128 46**	**30,563 09**	**(176 60)**	**5.043**	**1,541.46**
178,000	FNMA PL#934231 DTD 12/01/2008 INT. 05.000% MATY. 01/01/2039 FACTOR· 30175106 Curr.face $ 53,711.69 Int paid monthly	10/11/11 31412TLL5	**58,671.67**	107 39	108.102 **223.80**	**58,063 41**	**(608 26) ST**	**4 625**	**2,685.58**

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
3,000	FNMA PL#995676 DTD 04/01/2009 INT: 04.500% MATY: 05/01/2039 FACTOR: .67537469 Curr.face $ 2,026.12 Int paid monthly	07/11/11 31416CCM6	**$ 2,137.98**	$ 104.406	$ 107.736 **$ 7.60**	**$ 2,182 87**	**$ 44 89** ST	**4.176%**	**$ 91 17**
14,000	FNMA PL#AD0227 DTD 09/01/2009 INT: 05.500% MATY: 09/01/2039 FACTOR: .39219026 Curr.face $ 5,490.66 Int paid monthly	07/11/11 31418MHD7	**6,142.30**	108.984	109.894 **25.17**	**6,033.91**	**(108.39)** ST	**5.004**	**301.98**
126,000	FHLMC PL#G06031 DTD 09/01/2010 INT: 05.500% MATY 03/01/2040 FACTOR: .53772480 Curr face $ 67,753.32 Int paid monthly	02/07/11 3128M8A87	**73,677 47**	105 89	108 843 **310.54**	**73,744.75**	**67.28** LT	**5 053**	**3,726 43**
120,000	FNMA PL#AB1389 DTD 07/01/2010 INT 04 500% MATY 08/01/2040 FACTOR: .67282515 Curr.face $ 80,739.02 Int paid monthly	02/02/11 31416WRK0	**82,460 42**	101.632	106.517 **302 77**	**86,000.78**	**3,540.36** LT	**4.224**	**3,633.25**
81,000	FHLMC PL#A97047 DTD 02/01/2011 INT. 04.500% MATY 02/01/2041 FACTOR: .83412383 Curr.face $ 67,564.03 Int paid monthly	02/11/11 312945ZL5	**68,098 70**	100 66	106.095 **253.37**	**71,682.06**	**3,583.36** LT	**4.241**	**3,040.38**
82,000	FNMA PL#AH5583 DTD 02/01/2011 INT. 04 500% MATY: 02/01/2041 FACTOR· .85085028 Curr.face $ 69,769.72 Int paid monthly	02/09/11 3138A7FZ6	**70,410 31**	100.781	106.705 **261.64**	**74,447.78**	**4,037.47** LT	**4.217**	**3,139.63**
53,000	FNMA PL#AE0984 DTD 02/01/2011 INT. 04.500% MATY 02/01/2041 FACTOR: .86016069 Curr face $ 45,588.52 Int paid monthly	03/02/11 31419BCW3	**46,587.76**	101.89	106.517 **170.96**	**48,559.52**	**1,971.76** LT	**4.224**	**2,051.48**
21,000	FNMA PL#AI4815 DTD 06/01/2011 INT 04.500% MATY. 06/01/2041 FACTOR· .81245354 Curr.face $ 17,061.52 Int paid monthly	03/07/12 3138AJK50	**18,165 19**	106 468	106 705 **63 98**	**18,205.50**	**40.31** ST	**4.217**	**767.76**

Mortgage and asset backed securities *continued*

Original principal amount	Description	Date acquired/ CUSIP #	Cost	Share cost	Current share price/accrued interest	Current value	Unrealized gain/(loss)	Current % yield	Anticipated Income (annualized)
4,000	FNMA PL#AB4113 DTD 11/01/2011 INT: 03 500% MATY. 12/01/2041 FACTOR: .98429950 Curr face $ 3,937.20 Int paid monthly	01/18/12 31417ASB6	$ 4,067.06	$ 103.257	$ 102.798 $ 11 48	$ 4,047 36	($ 19.70) ST	3.404 %	$ 137.80
35,000	FNMA PL#AJ4893 DTD 01/01/2012 INT: 03.500% MATY. 01/01/2042 FACTOR: .99207104 Curr face $ 34,722.49 Int paid monthly	02/08/12 3138AWNK5	36,082.06	103.89	102 798 101 27	35,694 02	(388.04) ST	3 404	1,215 [illegible]
36,000	FNMA PL#AB4297 DTD 12/01/2011 INT 03 500% MATY· 01/01/2042 FACTOR· .99424656 Curr face $ 35,792 88 Int paid monthly	01/10/12 31417AX38	36,910.63	103 109	102.798 104.40	36,794 36	(116.27) ST	3 404	1,252 75
Total mortgage and asset backed securities			**$ 696,643.52**		**$ 2,652.67**	**$ 715,509.87**	**($ 1,439.84) ST**	**4.44**	
1,283,000							**$ 20,306.19 LT**		**$ 31,831.58**

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account* ***"Total Purchases vs. Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account.* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	**Net Value Increase/ Decrease**	Yield	Anticipated income (annualized)
26.336	FIXED INCOME SHARES FD SERIES C	FXICX	06/25/08	$ 331 833 60	$ 12.60	$ 13.06	$ 343,948.16	$ 12,114.56 LT			
3,880			03/31/09	43,223 20	11 14	13.06	50,672 80	7,449 60 LT			

Reserved Client Statement

March 1 - March 31, 2012

HEALTHCARE FOUNDATION — *Account number 602-71097-16 531*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
2,754	FIXED INCOME SHARES FD SERIES C	FXICX	01/04/11	$ 35,141.04	$ 12 76	$ 13.06	$ 35,967.24	$ 826.20 LT			
3,625			01/19/11	46,327 50	12.78	13.06	47,342.50	1,015.00 LT			
5,075			02/23/11	65,061 50	12 82	13.06	66,279.50	1,218 00 LT			
2,340			10/04/11	28,454.40	12 16	13.06	30,560.40	2,106 00 ST			
44,010				**550,041.24**	**12.498**		**574,770.60**	**24,729 36**		**6.102**	**35,075 96**
	Cash distributions (since inception)								**408,068.55**		
	Total Purchases vs. Current Value			**550,041.24**			**574,770.60**		**24,729.36**		
	Fund Value Increase/Decrease								**432,797.91**		
37,067	FIXED INCOME SHARES FD SERIES M	FXIMX	06/25/08	385,126.13	10.39	10.68	395,875 56	10,749.43 LT			
1,950			07/06/09	17,803.50	9.13	10.68	20,826 00	3,022.50 LT			
750			03/24/10	7,395.00	9.86	10.68	8,010.00	615.00 LT			
2,352			01/05/11	24,013.92	10.21	10.68	25,119.36	1,105.44 LT			
4,550			01/19/11	46,956.00	10 32	10.68	48,594 00	1,638.00 LT			
6,556			02/23/11	67,526.80	10.30	10.68	70,018.08	2,491.28 LT			
53,225				**548,821.35**	**10.311**		**568,443.00**	**19,621.65**		**5.262**	**29,912.44**
	Cash distributions (since inception)								**255,236.45**		
	Total Purchases vs. Current Value			**548,821.35**			**568,443.00**		**19,621.65**		
	Fund Value Increase/Decrease								**274,858.10**		
Total mutual funds (Tax based)				**$ 1,098,862.59**			**$ 1,143,213.60**	**$ 2,106.00 ST**		**5.68**	
								$ 42,245.01 LT			**$ 64,988.40**
Total Fund Value Increase/Decrease									**$ 707,656.01**		

HEALTHCARE FOUNDATION **Account number 602-71097-16 531**

Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings

Government & government sponsored entity (GSE) bonds

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
9,000	US TSY INFLATION INDEX NTS CPI	07/06/09	$ 11,302 31	$ 105 828	102.25	$ 11,597.82	$ 295.51 LT		$ 0 00
	U NON-SEASONALLY ADJ	912828AF7	$ 11,406.06	$ 100.573			$ 191.76 LT		$ 191 76
15,000	DTD 07/15/2002	09/03/09	18,993 82	105 57	102.25	19,329.70	335.88 LT		0.00
	INT. 03 000% MATY 07/15/2012		19 011.05	100.578			318.65 LT		318 65
5,000	Factor. 1.26029	03/11/10	6,509 52	108 265	102.25	6,443.23	(66.29) LT		0.00
	Curr face $ 86,960.01		6 509 52	108 265			(66 29) LT		(66 29)
25,000		03/24/10	32,424.83	107.703	102.25	32.216 16	(208 67) LT		0 00
			32,424 83	107 703			(208.67) LT		(208.67)
5,000		06/29/10	6,458.13	106.531	102 25	6,443 23	(14 90) LT		0.00
			6,458.13	106 531			(14.90) LT		(14 90)
5,000		07/29/10	6,453.73	106.375	102.25	6,443 23	(10.50) LT		0 00
			6,453 73	106 375			(10 50) LT		(10 50)
5,000		01/19/11	6,490.49	106 687	102.25	6,443.23	(47.26) LT		0.00
			6,490.49	106 687			(47 26) LT		(47 26)
69,000			88,632.83	128.50		**88,916.60**	283.77	**2 933**	**0**
			88,753.81	128 60	**551.94**		**162.79**	**2,608 80**	**162 7**
23,000	FEDERAL NATL MTG ASSOCIATION	01/11/11	22,734 81	98.847	100.69	23.158.70	423 89 LT		108.56
	BK/ ENTRY	31398A5W8	22,734.81	98.847			423.89 LT		315.33
40,000	DTD 20101101	01/19/11	39,595.04	98.987	100.69	40,276.00	680.96 LT		163.76
	INT: 00.750% MATY. 12/18/2013		39,595.04	98.987			680.96 LT		517 20
63,000			62,329 85	98 90		**63,434 70**	1,104 85	**.744**	**272.32**
			62,329 85	98 90	**135.19**		**1,104.85**	**472 50**	**832 53**
16,000	FEDERAL HOME LOAN MTG CORP	02/16/12	16,035.20	100.22	100.164	16.026 24	(8.96) ST		0.00
	BK/ ENTRY	3137EADA4	16,033.76	100 211			(7 52) ST		(7.52)
17,000	DTD 12/16/2011	02/22/12	17,044.54	100.262	100.164	17,027.88	(16 66) ST		0.00
	INT. 00 625% MATY· 12/29/2014		17,042.84	100 252			(14.96) ST		(14 96)
33,000			33,079.74	100.20		**33,054.12**	(25.62)	**.623**	**0.00**
			33,076.60	100.20	**60.16**		**(22.48)**	**206 25**	**(22 48)**

HEALTHCARE FOUNDATION **Account number 602-71097-16 531**

Government & government sponsored entity (GSE) bonds *continued*

Amount	Description	Date acquired/ CUSIP #	Cost/ Adjusted cost	Share cost/ Adjusted share cost	Current share price/Accrued Interest	Current value	Unrealized Gain/(loss) Original/ Adjusted	Current % Yield/ Anticip Income (annualized)	Ordinary Income/ Capital gain/(loss)
79,000	U S TREASURY NOTES SER H-2019 DTD 02/29/2012 INT. 01 375% MATY: 02/28/2019	03/01/12 912828SH4	$ 78,629.69 **$ 78,629.69**	$ 99.531 $ 99.531	98.578 **$ 94.46**	**$ 77,876.62**	($ 753.07) ST **($ 753.07)** ST	**1.394** **$ 1,086.25**	**$ 0.00** **($ 753.07)**
76,000	US TREASURY NOTES SER E-2021 DTD 08/15/2011 INT: 02.125% MATY: 08/15/2021	09/13/11 912828RC6	76,950.00 **76,902.12**	101.25 101.187	99.93 **204 09**	**75,946 80**	(1,003.20) ST **(955 32)** ST	**2 126** **1,615.00**	**0 00** **(955 32)**
45,000	U S TREASURY NOTES SER F-2021 DTD 11/15/2011	01/26/12 912828RR3	45,203.90 45,200.70	100 453 100.446	98.445	44,300 25	(903.65) ST (900.45) ST		0.00 (900 45)
18,000	INT. 02.000% MATY: 11/15/2021	01/27/12	18,120 94 18,118 98	100.671 100.661	98.445	17,720.10	(400 84) ST (398.88) ST		0.00 (398 88)
70,000		01/31/12	71,159.38 71,141 70	101 656 101.631	98.445	68,911.50	(2,247.88) ST (2,230.20) ST		0.00 (2,230.20)
133,000			134,484 22 **134,461 38**	101 10 101 10	**1,008 46**	**130,931.85**	(3,552.37) **(3,529 53)**	**2.031** **2,660.00**	**0.00** **(3,529 53)**
23,000	U S TREASURY NOTES SER B-2022 DTD 02/15/2012	02/28/12 912828SF8	23,217 42 23,215.51	100 945 100.937	98 078	22,557 94	(659.48) ST (657.57) ST		0 00 (657.57)
23,000	INT. 02.000% MATY· 02/15/2022	02/29/12	23,007.19 23,006.90	100.031 100 03	98 078	22,557.94	(449 25) ST (448 96) ST		0.00 (448.96)
8,000		03/01/12	7,970.62 7,970.62	99 632 99.632	98 078	7,846.24	(124 38) ST (124.38) ST		0.00 (124.38)
54,000			54,195 23 **54,193.03**	100.40 100.40	**136.48**	**52,962.12**	(1,233.11) **(1,230 91)**	**2.039** **1,080.00**	**0.00** **(1,230 91)**
Total government & government sponsored entity (GSE) bonds **507,000**			$ 528,301.56 **$ 528,346.48**		**$ 2,190.78**	**$ 523,122.81**	**($ 6,491.31) ST** **$ 1,267.64 LT**	**1.85** **$ 9,728.80**	**$ 272.32** **($ 5,495.99)**
Total portfolio value			**$ 2,521,670.82**			**$ 2,579,664.51**	**($ 5,825.15) ST** **$ 63,818.84 LT**	**4.13** **$ 106,568.56**	**$ 272.32** **($ 5,495.99)**

**Based on information supplied by client or other financial institution, not verified by us*

MorganStanley
SmithBarney

Reserved Client Statement
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

***Account number* 602-71098-15 531**

Morgan Stanley Smith Barney LLC. Member SIPC.

G/L # 1244

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248

1244	Inv	35,887.16	165,702.66
4504	Inv Mgmt fees	—	3,220.77
6852	Tax	—	19 74
4200	Unreal G/L	33,663.29	161,828.19
4300	Div	2,223 01	7,112.65
4400	Int	.86	2.33

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Cash balance	$ 0.00	**$ 528.01**	.03
Money fund	93,832 54	**96,008.10**	5.88
Accrued money fund dividends	.03	**.03**	
Common stocks & options	1,520,637.04	**1,535,826.45**	94.09
Unsettled purchases/sales	0.00	**17,994.18**	
Total value	**$ 1,614,469.61**	**$ 1,650,356.77**	**100.00**
Total value (excluding accrued interest)	$ 1,614,469 58	$ 1,650,356.74	

Unsettled purchases/sales are reflected in the "Portfolio details" section.

Earnings summary

	This period	This year
Other dividends	$ 2,223.01	$ 7,112.65
Money fund earnings	.86	2.33
Total	**$ 2,223.87**	**$ 7,114.98**

Additional summary information

	This period	This year
FRGN tax withheld	$ 0.00	$ 19.74

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 93,832.54**	
Securities bought and other subtractions	(11,550.96)	
Securities sold and other additions	30,024.84	
Net unsettled purchases/sales	(17,994.18)	
Deposits	0.00	161.09
Withdrawals	0.00	(113,381.86)
Dividends credited	2,223.01	
Money fund earnings reinvested	.86	
Closing balance	**$ 96,536.11**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	**$ 1,614,469.58**	**$ 1,594,654.11**
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0 00	(113,220.77)
Beginning value net of deposits/withdrawals	**1,614,469 58**	**1,481,433 34**
Total value as of 3/30/2012 (excl. accr. int.)	**$ 1,650,356.74**	**$ 1,650,356.74**
Change in value	**$ 35,887.16**	**$ 168,923.40**
less - earnings	< 2,223.87 >	
	33,663.29	

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 7,594.10	$ 59,723.84 LT $ 0 00 ST
Unrealized gain or (loss) to date	484,351 23		

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

	Rating	Rating
Davis Advisors - Large Cap Value	FL	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases. these values are as of 03/31/12. but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
96,008.10	MORGAN STANLEY LIQUID ASSET FUND INC	**$ 96,008 10**	**$ 03**	**01 %**	**$ 9.60**
Total money fund		**$ 96,008.10**	**$ .03**		**$ 9.60**

C

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
150	TRANSOCEAN LTD SWITZERLAND NEW	RIG	10/27/08	$ 9,917.47	$ 66.116	$ 54 70	$ 8,205.00	($ 1,712.47) LT		
50			11/03/08	3,996.50	79 93	54.70	2,735.00	(1,261.50) LT		
200				**13,913.97**	**69.57**		**10,940.00**	**(2,973.97)**	**5.776**	**632 00**
40	AGILENT TECHNOLOGIES INC	A	10/27/08	778.37	19.459	44.51	1,780.40	1,002.03 LT		
225			11/03/08	5,074.11	22.551	44.51	10,014.75	4,940 64 LT		
185			02/20/09	2,793.22	15.098	44.51	8,234.35	5,441.13 LT		
150			08/02/10	4,302 47	28.683	44.51	6,676.50	2,374.03 LT		
600				**12,948.17**	**21.58**		**26,706.00**	**13,757 83**	**898**	**240.00**
30 911	ALLEGHANY CORP DEL	Y	10/27/08	6,748 37	218 316	329.10	10,172.81	3,424 44 LT		
37 6963			11/03/08	8,468 58	224 652	329 10	12,405 85	3,937 27 LT		
3.3927			03/05/09	488.38	143.95	329 10	1,116 54	628.16 LT		
72				**15,705 33**	**218.13**		**23,695.20**	**7,989 87**		
140	AMERICAN EXPRESS CO	AXP	10/27/08	3,385 45	24.181	57.86	8,100 40	4,714.95 LT		
650			11/03/08	18,166.20	27.948	57.86	37,609 00	19,442 80 LT		
675			02/18/09	9,467.55	14.026	57.86	39,055.50	29,587.95 LT		
85			02/04/10	3,213.85	37.81	57.86	4,918.10	1,704.25 LT		
125			08/02/10	5,638.14	45.105	57.86	7,232.50	1,594.36 LT		
1,675				**39,871.19**	**23.804**		**96,915.50**	**57,044.31**	**1 382**	**1,340 00**
75	AMERIPRISE FINANCIAL INC	AMP	10/27/08	1,599 64	21.328	57 13	4,284 75	2,685.11 LT		
200			11/03/08	4,296 68	21 483	57 13	11,426.00	7,129.32 LT		
275				**5,896 32**	**21 441**		**15,710 75**	**9,814 43**	**1.96**	**308 00**
175	BANK NEW YORK MELLON CORP	BK	10/27/08	4,862 12	27.783	24.13	4,222 75	(639.37) LT		
250			11/03/08	8,082.45	32.329	24.13	6,032.50	(2,049.95) LT		
300			02/18/09	7,288.80	24 296	24 13	7,239 00	(49.80) LT		
55			03/09/09	1,003.69	18 248	24.13	1,327.15	323.46 LT		
465			12/18/09	12,362.58	26.586	24.13	11,220.45	(1,142 13) LT		
145			02/04/10	4,052.46	27.948	24.13	3,498.85	(553.61) LT		
90			06/08/10	2,250 00	25.00	24.13	2,171.70	(78.30) LT		
225			08/02/10	5,758.65	25 594	24 13	5,429 25	(329.40) LT		
145			10/07/10	3,842.50	26 50	24.13	3,498.85	(343.65) LT		
135			04/11/11	4,068 59	30.137	24 13	3,257 55	(811.04) ST		
945			07/20/11	23,551.20	24 921	24 13	22,802.85	(748 35) ST		
410			08/30/11	8,523.78	20.789	24.13	9,893.30	1,369.52 ST		
3,340				**85,646 82**	**25.643**		**80,594.20**	**(5,052.62)**	**2 154**	**1,736.80**
185	BAXTER INTL INC	BAX	07/28/10	**8,155.11**	44.081	59.78	**11,059 30**	**2,904 19** LT	**2 241**	**247.90**

HEALTHCARE FOUNDATION ***Account number*** **602-71098-15 531**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
175	BECTON DICKINSON & CO	BDX	06/08/09	$ 11,751.35	$ 67.15	$ 77.65	$ 13,588.75	$ 1,837 40 LT		
25			08/02/10	1,737.47	69.498	77 65	1,941.25	203.78 LT		
200				**13,488.82**	**67 444**		**15,530.00**	**2,041.18**	**2.318**	**360.00**
95	BED BATH & BEYOND	BBBY	10/27/08	2,274.92	23 946	65 77	6,248.15	3,973 23 LT		
125			11/03/08	3,185.81	25.486	65 77	8,221 25	5,035 44 LT		
445			10/27/09	16,013 33	35.985	65 77	29,267.65	13,254.32 LT		
665				**21,474 06**	**32 292**		**43,737.05**	**22,262 99**		
25	BERKSHIRE HATHAWAY INC CL B	BRKB	10/27/08	1,843 50	73 74	81 15	2,028 75	185 25 LT		
400			11/03/08	30,992.00	77.48	81 15	32,460.00	1,468.00 LT		
200			02/18/09	10,700 00	53.50	81 15	16,230.00	5,530 00 LT		
110			02/04/10	7,981 49	72.559	81.15	8,926.50	945 01 LT		
735				**51,516 99**	**70.091**		**59,645 25**	**8,128 26**		
160	CVS CAREMARK CORP	CVS	10/27/08	4,287.88	26.799	44 80	7,168.00	2,880.12 LT		
250			11/03/08	7,801 63	31 206	44.80	11,200 00	3,398 37 LT		
570			11/26/08	15,524 52	27 236	44.80	25,536 00	10,011 48 LT		
185			12/29/09	6,012.50	32 50	44.80	8,288 00	2,275 50 LT		
300			01/15/10	10,163 73	33 879	44 80	13.440 00	3,276 27 LT		
395			06/14/10	12,635.89	31 989	44 80	17,696 00	5,060 11 LT		
215			08/19/10	6,171 62	28 705	44 80	9.632.00	3,460.38 LT		
2,075				**62,597 77**	**30 168**		**92,960 00**	**30,362.23**	**1 45**	**1,348 75**
280	CANADIAN NATURAL RES LTD	CNQ	10/27/08	5.554 75	19 838	33.18	9,290.40	3,735.65 LT		
200			11/03/08	4,904 00	24.52	33 18	6,636.00	1,732.00 LT		
600			02/18/09	9,455 25	15.758	33 18	19,908 00	10,452.75 LT		
150			09/22/11	4,493.96	29 959	33.18	4,977.00	483 04 ST		
1,230				**24,407 96**	**19 844**		**40,811 40**	**16,403 44**	**1 268**	**517 83**
107	COCA-COLA CO	KO	02/03/10	5 866 43	54 826	74 01	7,919 07	2,052 64 LT		
86			03/18/10	4,630 83	53 846	74 01	6 364 86	1.734 03 LT		
172			05/24/10	8 872 53	51 584	74 01	12,729.72	3,857 19 LT		
365				**19,369 79**	**53 068**		**27,013 65**	**7,643 86**	**2 756**	**744 60**
360	COSTCO WHOLESALE CORP NEW	COST	10/27/08	18,653 54	51 815	90 80	32,688.00	14,034 46 LT		
525			11/03/08	28,995 44	55 229	90 80	47,670 00	18.674 56 LT		
125			08/02/10	7,147 90	57.183	90 80	11,350 00	4.202 10 LT		
1,010				**54,796 88**	**54 254**		**91,708 00**	**36,911.12**	**1 057**	**969 60**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
425.0666	DEVON ENERGY CORP NEW	DVN	10/27/08	$ 28,930.88	$ 68.062	$ 71.12	$ 30,230.74	$ 1,299.86 LT		
275			11/03/08	21,498.90	78.177	71.12	19,558.00	(1,940.90) LT		
700 0666				**50,429 78**	**72 036**		**49,788.74**	**(641 04)**	**1 124**	**560 05**
200	DIAGEO PLC SPON ADR-NEW	DEO	10/27/08	11,252.44	56.262	96.50	19,300.00	8,047 56 LT		
150			11/03/08	9,445.80	62.972	96.50	14,475.00	5,029.20 LT		
50			08/02/10	3,568.71	71.374	96.50	4,825.00	1,256.29 LT		
400				**24,266.95**	**60.667**		**38,600.00**	**14,333.05**	**2.729**	**1,053 60**
465	WALT DISNEY CO	DIS	08/16/11	15,288.32	32.878	43.78	20,357.70	5,069.38 ST		
50			01/20/12	1,965.33	39.306	43.78	2,189.00	223.67 ST		
515				**17,253 65**	**33 502**		**22,546.70**	**5,293.05**	**1.37**	**309 00**
175	EOG RESOURCES INC	EOG	10/27/08	11,625.79	66.433	111.10	19,442.50	7,816 71 LT		
150			11/03/08	11,386.73	75.911	111.10	16,665.00	5,278.27 LT		
200			02/18/09	11,191.00	55.955	111.10	22,220.00	11,029.00 LT		
50			02/04/10	4,690.00	93.80	111.10	5,555.00	865 00 LT		
100			08/02/10	10,153.08	101.53	111.10	11,110.00	956.92 LT		
25			01/20/12	2,577.52	103.10	111.10	2,777.50	199.98 ST		
700				**51,624.12**	**73.749**		**77,770.00**	**26,145.88**	**.612**	**476.00**
90	EXPRESS SCRIPTS INC.COMMON	ESRX	10/27/08	2,332.41	25.915	54.18	4,876.20	2,543.79 LT		
400			01/22/10	17,147.54	42.868	54.18	21,672.00	4,524.46 LT		
110			07/16/10	5,224.98	47.499	54.18	5,959.80	734.82 LT		
600				**24,704.93**	**41.175**		**32,508.00**	**7,803.07**		
7	GOOGLE INC CLASS A	GOOG	01/15/09	2,029.81	289 973	641.24	4,488 68	2,458.87 LT		
13			02/18/09	4,554.42	350.34	641 24	8,336.12	3,781.70 LT		
15			08/02/10	7,367.00	491.133	641.24	9,618.60	2,251.60 LT		
17			05/16/11	8,904.55	523.797	641.24	10,901.08	1,996 53 ST		
25			01/20/12	14,693.50	587.74	641.24	16,031.00	1,337.50 ST		
77				**37,549 28**	**487.653**		**49,375 48**	**11,826.20**		
450	HARLEY-DAVIDSON INC	HOG	10/27/08	8,806.23	19.569	49.08	22,086.00	13,279 77 LT		
250			11/03/08	5,940.10	23.76	49.08	12,270.00	6,329.90 LT		
150			08/02/10	4,197.92	27 986	49.08	7,362.00	3,164 08 LT		
850				**18,944 25**	**22.287**		**41,718.00**	**22,773 75**	**1.263**	**527 00**
375	HEWLETT PACKARD CO	HPQ	10/27/08	11,940.26	31.84	23 83	8,936.25	(3,004.01) LT		
75			11/03/08	2,922.35	38 964	23.83	1,787.25	(1,135.10) LT		
450				**14,862.61**	**33 028**		**10,723.50**	**(4,139.11)**	**2 014**	**216 00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
290	IRON MTN INC (DEL)	IRM	10/27/08	$ 5,870.70	$ 20.243	$ 28 80	$ 8,352 00	$ 2,481 30 LT		
225			11/03/08	5,566.28	24 739	28 80	6,480 00	913 72 LT		
275			08/02/10	6,596 15	23 986	28 80	7,920.00	1,323.85 LT		
100			10/08/10	2,041 36	20.413	28 80	2,880.00	838.64 LT		
890				**20,074 49**	**22 556**		**25,632 00**	**5,557.51**	**3 472**	**890 00**
178	JOHNSON & JOHNSON	JNJ	03/05/09	8,543 49	47 997	65 96	11,740.88	3,197 39 LT		
200			06/25/09	11,247.60	56.238	65.96	13,192.00	1,944.40 LT		
92			09/24/09	5,583 43	60.689	65.96	6,068.32	484.89 LT		
40			02/04/10	2,528 40	63.21	65 96	2,638.40	110.00 LT		
18			04/27/10	1,161 01	64.50	65 96	1,187.28	26.27 LT		
122			06/14/10	7,148 36	58.593	65 96	8,047.12	898 76 LT		
650				**36,212.29**	**55 711**		**42,874.00**	**6,661 71**	**3 456**	**1,482 00**
150	LOCKHEED MARTIN CORP	LMT	11/11/10	**10,508 72**	70 058	89 86	**13,479 00**	**2,970.28** LT	**4 451**	**600 00**
825	LOEWS CORP	L	10/27/08	23,207 50	28.13	39 87	32.892 75	9,685.25 LT		
375			11/03/08	12,457 88	33.221	39.87	14,951 25	2,493.37 LT		
300			08/02/10	11,323.47	37.744	39 87	11,961.00	637 53 LT		
1,500				**46,988 85**	**31.326**		**59,805.00**	**12,816 15**	**.627**	**375.00**
164 0033	MERCK & CO INC NEW	MRK	11/07/08	2,437 36	14 863	38.40	6,297 73	3,860.37 LT		
40 3612			11/11/08	602.39	14 926	38.40	1,549.87	947.48 LT		
230 6355			02/18/09	4,239.41	18 383	38.40	8,856 40	4,616.99 LT		
575			03/18/09	15,344 22	26.688	38 40	22,080.00	6,735.78 LT		
220			02/04/10	8,278 16	37 628	38 40	8,448 00	169.84 LT		
5			02/10/10	181 90	36.38	38 40	192.00	10 10 LT		
315			12/01/10	10,955 32	34 778	38 40	12,096 00	1,140.68 LT		
1,550				**42,038.76**	**27 122**		**59,520 00**	**17,481 24**	**4.375**	**2,604 00**
475	MICROSOFT CORP	MSFT	11/03/08	**10,709 59**	22 546	32.255	**15,321 13**	**4,611 54** LT	**2 48**	**380 00**
110	MONSANTO CO NEW	MON	02/04/10	8,389 69	76.269	79 76	8,773 60	383.91 LT		
175			01/12/11	13,023 50	74 42	79.76	13,958.00	934 50 LT		
285				**21,413 19**	**75 134**		**22,731 60**	**1,318 41**	**1.504**	**342.00**
375	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/27/08	16,735.72	44 628	95.23	35,711.25	18,975 53 LT		
250			11/03/08	13,494.00	53.976	95.23	23,807.50	10,313.50 LT		
200			02/18/09	10,412.80	52.064	95 23	19,046 00	8,633 20 LT		
825				**40,642.52**	**49 264**		**78,564.75**	**37,922.23**	**2.268**	**1,782.00**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
680	PFIZER INC	PFE	02/04/10	$ 12,450.80	$ 18.31	$ 22.645	$ 15,398.60	$ 2,947.80 LT		
90			02/16/10	1,601.94	17.799	22.645	2,038.05	436.11 LT		
770				**14,052.74**	**18 25**		**17,436 65**	**3,383.91**	**3.886**	**677.60**
350	PHILIP MORRIS INTL INC	PM	11/03/08	**14,973 00**	42 78	88.61	**31,013 50**	**16,040 50** LT	**3 475**	**1,078 00**
255	POTASH CORP SASK INC-	POT	03/29/12	**11,550.96**	45.297	45.69	**11,650 95**	**99 99** ST	**1 225**	**142.80**
890	PROGRESSIVE CORP-OHIO-	PGR	10/27/08	10,989 81	12 348	23.18	20,630 20	9,640 39 LT		
600			11/03/08	8,514.66	14 191	23 18	13,908 00	5,393 34 LT		
300			08/02/10	5,973.12	19.91	23 18	6,954 00	980 88 LT		
1,790				**25,477 59**	**14 233**		**41,492 20**	**16,014 61**	**1 755**	**728.53**
1,100	SEALED AIR CORP -NEW-	SEE	11/03/08	18,890 52	17 173	19.31	21,241 00	2,350.48 LT		
200			08/02/10	4,415 30	22 076	19 31	3,862.00	(553 30) LT		
1,300				**23,305.82**	**17 928**		**25,103 00**	**1,797.18**	**2.692**	**676.00**
330	TEXAS INSTRUMENTS INC	TXN	10/27/08	5,844.76	17.711	33 61	11,091.30	5,246.54 LT		
450			11/03/08	8,565.57	19.034	33 61	15,124.50	6,558 93 LT		
95			11/05/08	1,765 10	18.58	33 61	3,192.95	1.427 85 LT		
875				**16,175.43**	**18.486**		**29,408.75**	**13,233 32**	**2.023**	**595 00**
700	WELLS FARGO & CO NEW	WFC	01/27/09	11,212 46	16.017	34 14	23,898.00	12,685.54 LT		
1,700			02/18/09	22,761 47	13.389	34.14	58,038 00	35,276.53 LT		
100			02/04/10	2,734.80	27.348	34.14	3,414.00	679 20 LT		
480			09/06/11	11,217.79	23.37	34.14	16,387.20	5,169.41 ST		
2,980				**47,926.52**	**16 083**		**101,737.20**	**53,810 68**	**1.405**	**1,430.40**
Total common stocks and options				**$ 1,051,475 22**			**$ 1,535,826.45**	**$ 14,389.63 ST**	**1.65**	
								$ 469,961.60 LT		**$ 25,370.46**
Total portfolio value				**$ 1,147,483.32**			**$ 1,631,834.55**	**$ 14,389.63 ST**	**1.55**	**$ 25,380 06**
								$ 469,961.60 LT		

Reserved
Client Statement
March 1 - March 31, 2012

HEALTHCARE FOUNDATION **Account number 602-71098-15 531**

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date.

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
03/29/12	04/03/12	Sold	GRUPO TELEVISA SA DE CV SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	-615	$ 20.9208	$ 12,866.00
03/29/12	04/03/12	Bought	POTASH CORP SASK INC- MorganStanley SmithBarney LLC acted as your agent in this transaction	255	45.2979	-11,550.96
03/28/12	04/02/12	Sold	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	-440	37.908	16,679 14
Total Securities Bought						**$ -11,550.96**
Total Securities Sold						**$ 29,545.14**
Total Unsettled purchases/sales						**$ 17,994.18**

TRANSACTION DETAILS

All transactions appearing are based on trade-date

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/12/12	Exchange	ALLEGHANY CORP DEL			$ 51 71
03/12/12	Exchange	ALLEGHANY CORP DEL	72		0 00
03/12/12	Tender	TRANSATLANTIC HLDGS INC			427 99
03/12/12	Tender	TRANSATLANTIC HLDGS INC	-7		0 00
03/12/12	Exchange	TRANSATLANTIC HLDGS INC	-382		0 00
03/28/12	Sold	KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	-440	37.908	16 679.14

MorganStanley SmithBarney

Reserved Client Statement
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-71099-14 531

Morgan Stanley Smith Barney LLC. Member SIPC. G/L # 1245

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248

1245	Inv	53,813.00		978,063.70	
4504	Inv Mgmt		8.74	4,139.14	
6852	Tax	100.17		235.36	
1247	Inv		-		783,031.50
4200	Unreal G/L		47,904.45		188,424.6
4300	Div		5,999.68		10,980.82
4400	Int		.30		1 27

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

Account value	Last period	This period	%
Cash balance	$ 0.00	**$ 506 41**	.02
Money fund	47,560.59	**25,751.46**	1.04
Accrued money fund dividends	.01	**01**	
Common stocks & options	2,402,432.45	**2,452,548 17**	98.94
Total value	**$ 2,449,993.05**	**$ 2,478,806.05**	**100.00**
Total value (excluding accrued interest)	$ 2,449,993.04	$ 2,478,806.04	

Earnings summary

Earnings summary	This period	This year
Other dividends	$ 5,999 68	$ 10,980 82
Money fund earnings	30	1.27
Total	**$ 5,999.98**	**$ 10,982 09**

Additional summary information

Additional summary information	This period	This year
FRGN tax withheld	$ 100 17	$ 235.36

Gain/loss summary

Gain/loss summary	This period	This year
Realized gain or (loss)	$ 0.00	$ 24,516.52 LT $ 9,610 19 ST
Realized losses disallowed based on wash sales rules	0.00	(418.50)
Unrealized gain or (loss) to date 221,532 27		

Cash, money fund, bank deposits

Cash, money fund, bank deposits	This period	This year
Opening balance	**$ 47,560.59**	
Securities bought and other subtractions	(2,211.27)	
Securities sold and other additions	0.00	
Deposits	8.74	87,896.64
Withdrawals	(25,000.00)	(40,147.88)
Dividends credited	5,899.51	
Money fund earnings reinvested	.30	
Closing balance	**$ 26,257.87**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	**$ 2,449,993.04**	**$ 1,536,742 35**
Net security deposits/withdrawals	0.00	695,143.60
Net cash deposits/withdrawals	(24,991.26)	47,748 76
Beginning value net of deposits/withdrawals	2,425,001.78	2,279,634.71
Total value as of 3/30/2012 (excl. accr. int.)	**$ 2,478,806.04**	**$ 2,478,806.04**
Change in value	**$ 53,804.26**	**$ 199,171.33**
less: earnings	< 5,899.91 >	
	47,904.45	

SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

	Rating	Rating
Fayez Sarofim & Company - Large Cap Core	FL	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
25,751 46	MORGAN STANLEY LIQUID ASSET FUND INC	**$ 25,751.46**	**$ .01**	**.01 %**	**$ 2.57**
Total money fund		**$ 25,751 46**	**$ .01**		**$ 2.57**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
337	ARCOS DORADOS HOLDINGS INC	ARCO	12/01/11	$ 7,571.72	$ 22 468	$ 18 09	$ 6.096.33	($ 1,475.39) ST		
5			12/07/11	118.24	21 547	18.09	90.45	(27.79) ST X		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
106	ARCOS DORADOS HOLDINGS INC	ARCO	01/26/12	$ 2,283 98	$ 21.547	$ 18.09	$ 1,917.54	($ 366.44) ST		
102			02/13/12	2,100.74	20.595	18.09	1,845.18	(255.56) ST		
16			02/16/12	344.48	21.53	18.09	289 44	(55.04) ST		
566				**12,419.16**	**21.942**		**10,238 94**	**(2,180 22)**	**.989**	**101 31**
550	ABBOTT LABORATORIES	ABT	12/01/11	30,017.90	54.578	61.29	33,709 50	3,691.60 ST		
125			01/26/12	6,874 88	54.999	61.29	7,661.25	786.37 ST		
156			02/13/12	8,622.10	55.269	61.29	9,561.24	939.14 ST		
35			02/16/12	1,948.45	55.67	61.29	2,145.15	196.70 ST		
866				**47,463.33**	**54.808**		**53,077 14**	**5,613 81**	**3.328**	**1,766 64**
180	AIR PRODUCTS & CHEMICALS INC	APD	12/01/11	15,073.56	83.742	91.80	16,524.00	1,450.44 ST		
44			01/26/12	3,899.72	88.63	91.80	4,039 20	139.48 ST		
29			02/13/12	2,622 47	90.43	91.80	2,662.20	39.73 ST		
12			02/16/12	1,094.40	91.20	91.80	1,101.60	7.20 ST		
265				**22,690 15**	**85 623**		**24,327 00**	**1,636.85**	**2.788**	**678 40**
1,041	ALTRIA GROUP INC	MO	12/01/11	30,205 66	29 016	30 87	32,135.67	1,930.01 ST		
10			12/07/11	292.23	28 816	30.87	308.70	16.47 ST X		
272			01/26/12	7,837 95	28 816	30 87	8,396.64	558.69 ST		
245			02/13/12	7,180 41	29.307	30 87	7,563.15	382 74 ST		
70			02/16/12	2,059.95	29.427	30.87	2,160.90	100.95 ST		
1,638				**47,576.20**	**29 045**		**50,565.06**	**2,988.86**	**5 312**	**2,686.32**
150	AMERICAN EXPRESS CO	AXP	12/01/11	7,150.17	47.667	57.86	8,679 00	1,528.83 ST		
30			01/26/12	1,510.20	50.34	57.86	1,735 80	225.60 ST		
41			02/13/12	2,129.95	51 95	57.86	2,372.26	242.31 ST		
11			02/16/12	576.29	52.39	57 86	636.46	60.17 ST		
232				**11,366 61**	**48 994**		**13,423.52**	**2,056.91**	**1.382**	**185 60**
152	APACHE CORP	APA	12/01/11	15,243.47	100.286	100.44	15,266.88	23.41 ST		
45			01/26/12	4,451.85	98.93	100.44	4,519.80	67 95 ST		
21			02/13/12	2,235.87	106 47	100.44	2,109.24	(126 63) ST		
10			02/16/12	1,056 10	105.61	100 44	1,004.40	(51.70) ST		
228				**22,987.29**	**100 821**		**22,900.32**	**(86.97)**	**.677**	**155 04**
188	APPLE INC	AAPL	12/01/11	72,521.00	385.75	599.55	112,715.40	40,194.40 ST		
18			01/26/12	8,032.50	446.25	599.55	10,791.90	2,759.40 ST		
30			02/02/12	13,644.51	454.817	599.55	17,986.50	4,341.99 ST		
19			02/13/12	9,472 07	498.529	599.55	11,391.45	1,919.38 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
15	APPLE INC	AAPL	02/16/12	$ 7,411 65	$ 494.11	$ 599 55	$ 8,993.25	$ 1,581.60 ST		
270				**111,081.73**	**411.414**		**161,878 50**	**50,796.77**		
291	AUTOMATIC DATA PROCESSING INC.	ADP	12/01/11	14.898 56	51.197	55 19	16,060 29	1,161 73 ST		
46			01/26/12	2,566 15	55.785	55 19	2,538.74	(27.41) ST		
87			02/13/12	4,707.44	54.108	55.19	4,801.53	94.09 ST		
20			02/16/12	1,080.60	54.03	55.19	1,103.80	23.20 ST		
444				**23,252.75**	**52 371**		**24,504.36**	**1,251.61**	**2 862**	**701.52**
152	BECTON DICKINSON & CO	BDX	12/01/11	11,381.46	74 878	77 65	11,802.80	421.34 ST		
29			01/26/12	2,316 23	79 87	77.65	2,251 85	(64 38) ST		
44			02/13/12	3,369 08	76 57	77 65	3.416 60	47.52 ST		
10			02/16/12	774 70	77 47	77 65	776.50	1.80 ST		
235				**17,841 47**	**75 921**		**18,247 75**	**406.28**	**2.318**	**423 00**
44	BLACKROCK INC	BLK	11/03/10	7,345.79	166.949	204 90	9,015 60	1,669.81 LT		
7			01/26/12	1,334.34	190.62	204.90	1.434 30	99.96 ST		
55			02/02/12	10,054 98	182.817	204 90	11,269.50	1,214 52 ST		
15			02/13/12	2,864.70	190.98	204.90	3,073 50	208 80 ST		
6			02/16/12	1,153 74	192 29	204 90	1,229 40	75 66 ST		
127				**22,753 55**	**179 162**		**26,022.30**	**3,268 75**	**2.928**	**762.00**
305	CATERPILLAR INC	CAT	12/01/11	29,563 04	96 928	106 52	32,488 60	2,925 56 ST		
55			01/26/12	6,143.27	111 695	106 52	5.858 60	(284 67) ST		
50			02/13/12	5,602.50	112.05	106.52	5,326.00	(276 50) ST		
15			02/16/12	1,701 60	113.44	106.52	1.597 80	(103.80) ST		
425				**43,010 41**	**101 201**		**45,271 00**	**2,260 59**	**1 727**	**782 00**
549	CHEVRON CORP	CVX	12/01/11	56,265.91	102.488	107.21	58,858.29	2.592.38 ST		
134			01/26/12	14,435.82	107.73	107.21	14,366 14	(69.68) ST		
133			02/13/12	14,068 18	105.775	107.21	14,258.93	190 75 ST		
43			02/16/12	4,533.92	105 44	107 21	4,610.03	76 11 ST		
859				**89,303 83**	**103.963**		**92,093 39**	**2,789 56**	**3.022**	**2,783 16**
888	COCA-COLA CO	KO	12/01/11	59.883 17	67.436	74 01	65.720 88	5 837 71 ST		
223			01/26/12	15 255.18	68 408	74 01	16,504 23	1,249 05 ST		
180			02/02/12	12,233.56	67.964	74 01	13.321.80	1,088 24 ST		
226			02/13/12	15 421 86	68 238	74 01	16 726 26	1,304 40 ST		
61			02/16/12	4,185 73	68 618	74 01	4 514.61	328 88 ST		
1,578				**106,979 50**	**67.794**		**116,787 78**	**9,808 28**	**2 756**	**3,219 12**

Reserved
Client Statement
March 1 - March 31, 2012

HEALTHCARE FOUNDATION *Account number 602-71099-14 531*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
629	CONOCOPHILLIPS	COP	12/01/11	$ 45,140.81	$ 71.766	$ 76.01	$ 47,810.29	$ 2,669.48 ST		
6			12/07/11	422.56	69 648	76.01	456.06	33.50 ST X		
177			01/26/12	12,327.69	69 648	76.01	13,453.77	1,126.08 ST		
139			02/13/12	10,061 90	72.387	76.01	10,565.39	503.49 ST		
46			02/16/12	3,330.40	72.40	76.01	3,496.46	166.06 ST		
997				**71,283 36**	**71.498**		**75,781.97**	**4,498.61**	**3.473**	**2,632.08**
417	WALT DISNEY CO	DIS	12/01/11	15,052.78	36.097	43.78	18,256.26	3,203.48 ST		
79			01/26/12	3,121.05	39.507	43.78	3,458.62	337.57 ST		
56			02/13/12	2,339.68	41.78	43.78	2,451.68	112.00 ST		
30			02/16/12	1,237.80	41 26	43.78	1,313.40	75.60 ST		
582				**21,751 31**	**37.373**		**25,479.96**	**3,728.65**	**1.37**	**349.20**
1,123	EXXON MOBIL CORP	XOM	12/01/11	89,916.36	80.068	86 73	97,397.79	7,481.43 ST		
195			01/26/12	17,038.52	87.377	86.73	16,912.35	(126.17) ST		
321			02/13/12	26,963.04	83.997	86.73	27,840.33	877.29 ST		
73			02/16/12	6,193.89	84.847	86.73	6,331.29	137.40 ST		
1,712				**140,111.81**	**81.841**		**148,481.76**	**8,369.95**	**2.167**	**3,218.56**
151	FRANKLIN RESOURCES INC	BEN	12/01/11	15,015.89	99.443	124.03	18,728.53	3,712.64 ST		
36			01/26/12	3,857.04	107.14	124.03	4,465.08	608.04 ST		
10			02/13/12	1,173.60	117.36	124.03	1,240.30	66.70 ST		
10			02/16/12	1,163.40	116.34	124.03	1,240.30	76.90 ST		
207				**21,209.93**	**102.463**		**25,674.21**	**4,464.28**	**.87**	**223.56**
663	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	12/01/11	26,352 92	39.748	38 04	25,220.52	(1,132.40) ST		
82			01/26/12	3,875 14	47.257	38 04	3,119.28	(755.86) ST		
147			02/13/12	6,561 86	44.638	38.04	5,591.88	(969.98) ST		
100			02/16/12	4,312.70	43.127	38.04	3,804.00	(508.70) ST		
992				**41,102.62**	**41.434**		**37,735.68**	**(3,366.94)**	**3.286**	**1,240.00**
1,210	GENERAL ELECTRIC CO	GE	12/01/11	19,113.16	15.796	20.07	24,284.70	5,171.54 ST		
270			01/26/12	5,191 56	19.228	20.07	5,418.90	227.34 ST		
340			02/13/12	6,441.98	18.947	20.07	6,823.80	381.82 ST		
93			02/16/12	1,759.19	18.916	20.07	1,866.51	107.32 ST		
1,913				**32,505 89**	**16.992**		**38,393.91**	**5,888 02**	**3.388**	**1,300.84**
553	HSBC HLDG PLC SP ADR NEW	HBC	12/01/11	21,654.37	39.158	44.39	24,547.67	2,893.30 ST		
127			01/26/12	5,375.78	42.329	44.39	5,637.53	261.75 ST		
102			02/13/12	4,529.55	44.407	44.39	4,527.78	(1.77) ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
23	HSBC HLDG PLC SP ADR NEW	HBC	02/16/12	$ 1,038 68	$ 45.16	$ 44 39	$ 1,020 97	($ 17 71) ST		
805				**32,598 38**	**40 495**		**35,733.95**	**3,135 57**	**4.618**	**1,650 25**
1,194	INTEL CORP	INTC	12/01/11	29,212.28	24.465	28 115	33,569.31	4,357.03 ST		
228			01/26/12	6,128.14	26 877	28.115	6,410 22	282 08 ST		
294			02/13/12	7,822.69	26.607	28.115	8,265 81	443.12 ST		
99			02/16/12	2,642 31	26.69	28 115	2,783 39	141 08 ST		
1,815				**45,805 42**	**25 237**		**51,028 73**	**5,223.31**	**2.987**	**1,524 60**
64	INTL BUSINESS MACHINES CORP	IBM	10/21/09	7,885 61	123 212	208 65	13,353 60	5,467.99 LT		
57			01/29/10	7,010 43	122 99	208 65	11 893.05	4,882 62 LT		
29			03/24/10	3,734 04	128.76	208.65	6,050.85	2,316.81 LT		
11			12/01/11	2,070.53	188.23	208.65	2,295.15	224.62 ST		
38			01/26/12	7,282 70	191.65	208.65	7,928 70	646.00 ST		
39			02/13/12	7,507 50	192.50	208.65	8,137 35	629.85 ST		
12			02/16/12	2,310 72	192 56	208 65	2,503 80	193.08 ST		
250				**37,801.53**	**151 206**		**52,162 50**	**14,360.97**	**1 437**	**750 00**
35	INTUITIVE SURGICAL INC	ISRG	12/01/11	15,215 20	434.72	541 75	18,961.25	3,746 05 ST		
7			01/26/12	3,194.17	456 31	541.75	3.792 25	598.08 ST		
5			02/13/12	2,508 75	501.749	541 75	2.708 75	200 00 ST		
47				**20,918 12**	**445.066**		**25,462 25**	**4,544.13**		
1,215	JPMORGAN CHASE & CO	JPM	12/01/11	37,176 57	30.598	45 98	55,865.70	18,689 13 ST		
90			01/26/12	3,382.83	37.587	45 98	4,138 20	755.37 ST		
217			02/13/12	8,241 01	37 977	45 98	9,977.66	1,736 65 ST		
92			02/16/12	3,459.19	37 599	45.98	4,230.16	770 97 ST		
1,614				**52,259.60**	**32.379**		**74,211 72**	**21,952.12**	**2.609**	**1,936 80**
694	JOHNSON & JOHNSON	JNJ	12/01/11	44,893.47	64.688	65.96	45.776 24	882.77 ST		
180			01/26/12	11,843.82	65 799	65.96	11,872.80	28.98 ST		
101			02/13/12	6,539 35	64 746	65 96	6,661 96	122.61 ST		
975				**63,276 64**	**64 899**		**64,311 00**	**1,034.36**	**3 456**	**2,223.00**
413	KRAFT FOODS INC CLASS A	KFT	12/01/11	15,052 20	36.446	38 01	15,698.13	645.93 ST		
85			01/26/12	3,292.82	38.739	38 01	3,230.85	(61.97) ST		
96			02/13/12	3,705 39	38.597	38.01	3,648.96	(56.43) ST		
30			02/16/12	1,155.00	38.50	38 01	1,140.30	(14.70) ST		
624				**23,205 41**	**37.188**		**23,718.24**	**512.83**	**3.051**	**723.84**
260	ESTEE LAUDER COS INC CL A	EL	12/01/11	15,278.61	58.763	61 94	16,104.40	825.79 ST		
61			01/26/12	3,611 97	59.212	61.94	3,778.34	166.37 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
283	ESTEE LAUDER COS INC CL A	EL	02/13/12	$ 15,889.80	$ 56.147	$ 61.94	$ 17,529.02	$ 1,639 22 ST		
46			02/16/12	2,559.44	55.64	61 94	2,849.24	289.80 ST		
650				**37,339 82**	**57 446**		**40,261.00**	**2,921 18**	**847**	**341 25**
470	MCDONALDS CORP	MCD	12/01/11	44,826 72	95.376	98 10	46,107 00	1,280.28 ST		
106			01/26/12	10,512.02	99.17	98 10	10,398.60	(113.42) ST		
115			02/13/12	11,466.48	99.708	98.10	11,281.50	(184.98) ST		
40			02/16/12	3,967.20	99.18	98.10	3,924.00	(43.20) ST		
731				**70,772.42**	**96.816**		**71,711.10**	**938.68**	**2 854**	**2,046 80**
325	MCGRAW HILL COS INC	MHP	12/01/11	14,016.93	43 129	48.47	15,752 75	1,735.82 ST		
77			01/26/12	3,621.14	47 027	48.47	3,732.19	111 05 ST		
101			02/13/12	4,619.32	45.735	48.47	4,895.47	276 15 ST		
21			02/16/12	970 20	46 20	48.47	1,017.87	47 67 ST		
524				**23,227 59**	**44 327**		**25,398.28**	**2,170 69**	**2.104**	**534 48**
193	MEDTRONIC INC	MDT	12/01/11	7,196 78	37.289	39 19	7,563 67	366.89 ST		
57			01/26/12	2,276.92	39 946	39 19	2,233.83	(43 09) ST		
38			02/13/12	1,528 74	40.23	39.19	1,489.22	(39.52) ST		
288				**11,002.44**	**38.203**		**11,286.72**	**284.28**	**2.475**	**279 36**
628	MERCK & CO INC NEW	MRK	12/01/11	22,575.98	35.949	38.40	24,115.20	1,539.22 ST		
114			01/26/12	4,432 07	38.877	38 40	4,377.60	(54.47) ST		
164			02/13/12	6,257.99	38.158	38.40	6,297.60	39.61 ST		
42			02/16/12	1,600.20	38.10	38.40	1,612.80	12.60 ST		
948				**34,866.24**	**36.779**		**36,403 20**	**1,536.96**	**4 375**	**1,592.64**
790	NESTLE S A SPONSORED ADR	NSRGY	12/01/11	44,951 00	56.90	63.00	49,770 00	4,819.00 ST		
200			01/26/12	11,604 00	58.02	63.00	12,600.00	996.00 ST		
184			02/13/12	10,857.84	59.01	63 00	11,592.00	734.16 ST		
20			02/16/12	1,204.77	60 238	63 00	1,260.00	55.23 ST		
1,194				**68,617.61**	**57.469**		**75,222.00**	**6,604 39**	**2.834**	**2,132.48**
768	NEWS CORP CLASS A NEW	NWSA	12/01/11	13,505 97	17.585	19.71	15,137 28	1,631 31 ST		
238			01/26/12	4,454.65	18.717	19.71	4,690.98	236.33 ST		
188			02/13/12	3,624.23	19.277	19.71	3,705.48	81.25 ST		
79			02/16/12	1,496.09	18.937	19 71	1,557.09	61.00 ST		
1,273				**23,080 94**	**18 131**		**25,090.83**	**2,009 89**	**.862**	**216 41**
195	NOVO-NORDISK A S ADR	NVO	12/01/11	21,979.81	112.717	138 71	27,048 45	5,068.64 ST		
45			01/26/12	5,353 20	118.96	138.71	6,241.95	888 75 ST		
15			02/13/12	2,044.50	136.299	138.71	2,080.65	36.15 ST		

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	NOVO-NORDISK A S ADR	NVO	02/16/12	$ 1,366.80	$ 136 68	$ 138 71	$ 1,387.10	$ 20.30 ST		
265				**30,744 31**	**116 016**		**36,758 15**	**6,013.84**	1 32	485 48
378	OCCIDENTAL PETROLEUM CORP-DEL	OXY	12/01/11	37,365 30	98 85	95 23	35,996.94	(1,368 36) ST		
83			01/26/12	8,459 03	101.916	95 23	7,904 09	(554 94) ST		
96			02/13/12	9.892 80	103 05	95 23	9,142 08	(750 72) ST		
23			02/16/12	2,389.24	103.879	95.23	2,190 29	(198.95) ST		
580				**58,106 37**	**100 183**		**55,233 40**	**(2,872.97)**	2 268	1,252 80
466	PEPSICO INC	PEP	12/01/11	30,018.79	64 418	66.35	30,919 10	900 31 ST		
105			01/26/12	7,020 07	66.857	66.35	6,966.75	(53 32) ST		
145			02/13/12	9,216 20	63.56	66.35	9,620 75	404 55 ST		
45			02/16/12	2,845 35	63 23	66.35	2,985.75	140.40 ST		
761				**49,100.41**	**64.521**		**50,492.35**	**1,391.94**	3 104	1,567 66
977	PHILIP MORRIS INTL INC	PM	12/01/11	75,067.89	76.835	88 61	86,571 97	11,504 08 ST		
9			12/07/11	711 05	76.567	88 61	797 49	86 44 ST X		
249			01/26/12	19,065 38	76.567	88.61	22,063 89	2 998 51 ST		
188			02/13/12	15,251.31	81.124	88.61	16,658 68	1,407 37 ST		
49			02/16/12	4,004 28	81.72	88 61	4,341.89	337.61 ST		
1,472				**114,099 91**	**77 514**		**130,433 92**	**16,334 01**	3 475	4,533 76
216	PRAXAIR INC	PX	04/29/10	18 234 63	84.419	114.64	24,762 24	6,527 61 LT		
53			01/26/12	5 668.13	106.945	114 64	6,075 92	407 79 ST		
52			02/13/12	5,566 08	107 04	114 64	5,961 28	395 20 ST		
15			02/16/12	1,620 00	108 00	114 64	1,719.60	99 60 ST		
336				**31,088 84**	**92 526**		**38,519 04**	**7,430 20**	1 919	739 20
695	PROCTER & GAMBLE CO	PG	12/01/11	44,931 06	64 649	67 21	46.710 95	1,779 89 ST		
182			01/26/12	11,874.95	65.247	67 21	12,232 22	357.27 ST		
198			02/13/12	12.732 79	64.307	67 21	13,307 58	574 79 ST		
37			02/16/12	2,402 04	64 919	67 21	2,486.77	84 73 ST		
1,112				**71,940 84**	**64 695**		**74,737 52**	**2,796 68**	3 124	2,335 20
341	QUALCOMM INC	QCOM	12/01/11	18,614 51	54 588	68 06	23,208 46	4,593 95 ST		
64			01/26/12	3,766 26	58 847	68 06	4,355.84	589 58 ST		
59			02/13/12	3,621 41	61 379	68 06	4,015 54	394 13 ST		
22			02/16/12	1 360.92	61.86	68 06	1,497 32	136 40 ST		
486				**27,363 10**	**56 303**		**33,077 16**	**5,714 06**	1 263	417 96
425	RIO TINTO PLC-GBP	RIO	12/01/11	22,425 68	52 766	55 59	23,625.75	1,200 07 ST		
72			01/26/12	4,370 98	60 708	55 59	4,002.48	(368.50) ST		

Reserved
Client Statement
March 1 - March 31, 2012

HEALTHCARE FOUNDATION **Account number 602-71099-14 531**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
82	RIO TINTO PLC-GBP	RIO	02/13/12	$ 4,960.79	$ 60.497	$ 55.59	$ 4,558.38	($ 402.41) ST		
58			02/16/12	3,303.68	56.96	55.59	3,224.22	(79 46) ST		
637				**35,061.13**	**55.041**		**35,410.83**	**349.70**	**2.601**	**921.10**
556	ROCHE HLDG LTD SPON ADR	RHHBY	12/01/11	22,651.44	40.74	43.64	24,263.84	1,612.40 ST		
111			01/26/12	4,831.83	43.53	43.64	4,844 04	12.21 ST		
109			02/13/12	4,882.11	44 79	43.64	4,756.76	(125.35) ST		
48			02/16/12	2,103.36	43 82	43.64	2,094 72	(8 64) ST		
824				**34,468.74**	**41.831**		**35,959 36**	**1,490 62**	**3 565**	**1,282 14**
538	ROYAL DUTCH SHELL PLC ADR CL A	RDSA	12/01/11	37,626 64	69.938	70 13	37,729.94	103 30 ST		
144			01/26/12	10,302.80	71.547	70.13	10,098.72	(204 08) ST		
113			02/13/12	8,215.78	72.706	70.13	7,924.69	(291.09) ST		
39			02/16/12	2,828.67	72.53	70.13	2,735.07	(93.60) ST		
834				**58,973.89**	**70.712**		**58,488.42**	**(485.47)**	**4.072**	**2,381.90**
54	SABMILLER PLC SPON ADR	SBMRY	03/20/12	**2,211.27**	40.949	40.49	**2,186.46**	**(24.81)** ST	**1.953**	**42 71**
569	TARGET CORP	TGT	12/01/11	30,167.24	53.018	58.27	33,155.63	2,988.39 ST		
6			12/07/11	321.10	50.576	58.27	349.62	28.52 ST X		
193			01/26/12	9,761.16	50.576	58.27	11,246.11	1,484 95 ST		
106			02/13/12	5,542.58	52.288	58.27	6,176.62	634.04 ST		
51			02/16/12	2,646.90	51.90	58.27	2,971 77	324.87 ST		
925				**48,438.98**	**52.366**		**53,899.75**	**5,460.77**	**2.059**	**1,110.00**
745	TEXAS INSTRUMENTS INC	TXN	12/01/11	22,525.82	30.236	33.61	25,039.45	2,513.63 ST		
131			01/26/12	4,323.79	33.006	33.61	4,402.91	79.12 ST		
154			02/13/12	5,052.43	32 808	33.61	5,175.94	123.51 ST		
47			02/16/12	1,582.49	33.67	33.61	1,579.67	(2.82) ST		
1,077				**33,484.53**	**31 091**		**36,197.97**	**2,713.44**	**2 023**	**732.36**
125	TIME WARNER CABLE INC	TWC	12/01/11	7,655 44	61.243	81.50	10,187.50	2,532.06 ST		
15			01/26/12	1,114 65	74.31	81 50	1,222.50	107.85 ST		
12			02/13/12	910.68	75.89	81 50	978.00	67.32 ST		
6			02/16/12	457 50	76.25	81.50	489.00	31.50 ST		
158				**10,138 27**	**64 166**		**12,877.00**	**2,738.73**	**2.748**	**353 92**
722	TOTAL S.A SPONS ADR	TOT	12/01/11	37,499.82	51.938	51.12	36,908.64	(591 18) ST		
7			12/07/11	372 94	53.027	51.12	357.84	(15.10) ST X		
193			01/26/12	10,234.25	53.027	51.12	9,866.16	(368.09) ST		
145			02/13/12	7,816 66	53.908	51.12	7,412.40	(404.26) ST		

MorganStanley
SmithBarney

Ref 00000859 00096299

Reserved
Client Statement
March 1 - March 31, 2012

HEALTHCARE FOUNDATION **Account number 602-71099-14 531**

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
60	TOTAL S A SPONS ADR	TOT	02/16/12	$ 3,223 20	$ 53 72	$ 51 12	$ 3,067 20	($ 156 00) ST		
1,127				**59,146 87**	**52 482**		**57,612 24**	**(1,534 63)**	**5 001**	**2,881 74**
390	UNITED TECHNOLOGIES CORP	UTX	12/01/11	30,061 98	77 082	82.94	32,346 60	2,284 62 ST		
5			12/07/11	392.51	78.158	82 94	414.70	22 19 ST X		
94			01/26/12	7,346 85	78 158	82 94	7,796 36	449.51 ST		
59			02/13/12	4,971 33	84 259	82.94	4,893 46	(77 87) ST		
31			02/16/12	2,573.00	82 999	82.94	2,571 14	(1.86) ST		
579				**45,345 67**	**78 317**		**48,022.26**	**2,676.59**	**2 314**	**1,111.68**
508	WAL-MART STORES INC	WMT	12/01/11	29,991 30	59 038	61.20	31,089.60	1.098.30 ST		
112			01/26/12	6,886.63	61 487	61 20	6,854 40	(32 23) ST		
120			02/13/12	7,433 52	61 946	61 20	7,344.00	(89.52) ST		
37			02/16/12	2,300 66	62 179	61 20	2.264 40	(36.26) ST		
777				**46,612 11**	**59.99**		**47,552.40**	**940 29**	**2 598**	**1,235 43**
368	WALGREEN CO NEW	WAG	12/01/11	12 610 62	34 268	33 49	12,324 32	(286 30) ST		
207			12/01/11	7,443.17	34.739	33 49	6,932.43	(510.74) ST X		
8			12/07/11	284 46	34 739	33 49	267 92	(16.54) ST X		
80			12/13/11	2,889.35	34.898	33 49	2,679 20	(210.15) ST X		
663				**23,227.60**	**35 034**		**22,203 87**	**(1,023.73)** X	**2 687**	**596.70**
Total common stocks and options				**$ 2,231,015 90**			**$ 2,452,548.17**	**$ 200,667.43 ST** **$ 20,864.84 LT**	**2.57**	**$ 63,142 00**
Total portfolio value				**$ 2,256,767 36**			**$ 2,478,299.63**	**$ 200,667.43 ST** **$ 20,864.84 LT**	**2.54**	**$ 63,144.57**

X The cost basis and acquisition date of this tax lot were adjusted due to a wash sale.

TRANSACTION DETAILS *All transactions appearing are based on trade-date.*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
03/20/12	Bought	SABMILLER PLC SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction. CGMI AND/OR ITS AFFILIATES	54	$ 40 9495	$ -2.211 27
Total securities bought and other subtractions					**$ -2,211 27**
Total securities sold and other additions					**$ 0 00**

MorganStanley
SmithBarney

Ref: 00000859 00096304

Reserved
Client Statement
March 1 - March 31, 2012

C12000000859 312090AA01 APWMVR44A
HEALTHCARE FOUNDATION
FOR ORANGE COUNTY
1450 N. TUSTIN AVENUE STE 209
SANTA ANA CA 92705-8667

Account number 602-71102-19 531

Morgan Stanley Smith Barney LLC. Member SIPC. G/L # 1247

155 CADILLAC DRIVE
SACRAMENTO CA 95825
Website: www.smithbarney.com

Reserved Client Service Center: 800-423-7248

1247 Inv 63.40631
4504 Inv Mgmt 406 58
4200 Unreal G/L 62,43873
4300 Div 1,373.00
4400 Int 1.16

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value

	Last period	This period	%
Money fund	$ 285.41	$ 285 41	100.00
Total value	**$ 285.41**	**$ 285.41**	**100.00**

Earnings summary

	This period	This year
Other dividends	$ 0 00	$ 1,373 00
Money fund earnings	0 00	1 16
Total	**$ 0.00**	**$ 1,374 16**

Gain/loss summary

		This period	This year
Realized gain or (loss)		$ 0.00	$ 0.00 LT ($ 5,034.04) ST
Unrealized gain or (loss) to date	0 00		

Cash, money fund, bank deposits

	This period	This year
Opening balance	**$ 285 41**	
Deposits	0.00	1,135 01
Withdrawals	0.00	(96,429 49)
Closing balance	**$ 285.41**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 285.41	$ 726,910.60
Net security deposits/withdrawals	0 00	(695,143 60)
Net cash deposits/withdrawals	0 00	(95,294.48)
Beginning value net of deposits/withdrawals	285 41	(63,527.48)
Total value as of 3/30/2012 (excl. accr. int.)	$ 285.41	$ 285 41
Change in value	$ 0.00	$ 63,812 89

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 03/31/12, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
285.41	MORGAN STANLEY LIQUID ASSET FUND INC	$ 285 41		01 %	$ 02
Total money fund		**$ 285 41**	**$ 0 00**		**$ .02**

Message: *Consolidated Statement of Financial Condition.*

On December 31, 2011, Citigroup Global Markets Inc had net capital of $ 7 8 billion which exceeded the Securities and Exchange Commission's minimum requirement by $ 7.0 billion. A copy of the Citigroup Global Markets Inc Consolidated Statement of Financial Condition can be viewed online at: www smithbarney com/pdf/sfc2436 pdf or may be mailed to you at no cost by calling (877) 936-2737.

Message: ***Important information if you are a margin customer***

If you have a margin account with us, as permitted by law we may use certain securities in your account for, among other things, settling short sales and lending the securities for short sales, and as a result may receive compensation in connection therewith.

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☐ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☒ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name: Special Service for Groups
Federal Tax ID Number: 95-1716914
Executive Director: Herbert Hatanaka, DSW
Address: 605 W. Olympic Blvd, Suite 600
City, State, Zip: Los Angeles, CA 90015
Telephone: 213-553-1875
Fax: 213-553-1822
E-mail: hhata@ssgmain.org
Website: www.saathusa.org
Parent Organization: [Enter parent organization, if any]
Subsidiaries: [List subsidiaries, if any]
Number of Board Members: 10
Full-time employees: 410
Part-time employees: 138
Contractors: 127
Number of Volunteers: 125
Fiscal Sponsor: Special Service for Groups
Number of locations: 25
Primary County: Los Angeles and Orange County (satellite office in OC)
Cities Served: ☒ Tustin ☒ Santa Ana
☒ Anaheim ☒ Orange
Other Cities: [Buena Park, Garden Grove]
Tax Status: ☒ 501(c)(3) and: ☐ 509(a)(1)
☐ 509(a)(2)
☐ 509(a)(3)

Annual Operating Budget: $44,960,691

Primary Organization's Mission:

Saath was formed by South Asian community leaders in 2004 and is supported fiscally and administratively by Special Service for Groups (SSG). Saath focuses on the "cancer continuum:" cancer prevention, early detection, diagnosis, treatment and survivorship in order to assist clients in receiving appropriate services at their time of need. Saath, a word for collective action and support in several South Asian languages, is the only non-profit exclusively focused on improving the health of Southern California's South Asian community through evidence-based, culturally tailored approaches. Saath's base includes a wide network of community, faith and cultural organizations, healthcare providers, domestic violence shelters and social justice organizations that all are in support of Saath's efforts to improve the overall health of South Asians in Los Angeles and Orange County.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	$2,114,015	$2,114,015	$2,156,296
2. Government grants	$35,560,633	$42,666,176	$43,519,500
3. Individual contributions	[$0]	[$0]	[$0]
4. In-kind contributions	[$0]	[$0]	[$0]
5. Earned income	$95,000	$133,000	$135,660
6. Other	[$0]	[$0]	[$0]
7. **Total Revenue**	**$37,769,648**	**$44,913,191**	**$45,811,456**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	$1,528,900	$1,576,725	$1,608,260
9. Salaries and wages	$18,476,695	$23,032,919	$23,493,578
10. Benefits	$5,766,616	$7,144,146	$7,287,029
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	$545,778	$770,427	$785,836
12. Communication & media (including web)	$537,383	$545,447	$556,356
13. Materials & office supplies	$683,433	$1,033,028	$1,053,689
14. Other expenses*	$473,586	$653,291	$666,357
15. Leases/facility rental	$4,016,421	$4,103,598	$4,185,670
16. Subcontracts	$4,841,516	$4,992,964	$5,092,824
17. Training, conferences, meetings	$652,827	$665,419	$678,728
18. Travel and mileage	$292,900	$442,727	$451,582
19. Project evaluation/data collection	[$0]	[$0]	[$0]

20.	**Total Expenses**	**$37,816,055**	**$44,960,691**	**$45,859,909**

Proposal Information

☐ Existing Project ☒ New Project

Project Title:

Well Done Masi!

Project Summary:

Routine mammogram and pap test are the standard practice in finding breast and cervical cancer early. Breast cancer is the leading cause of cancer death among California's SA women and only 1% are diagnosed as "in-situ". Breast cancer screening rates for SA women is only 55% while cervical cancer is 34%, according to a 2006 study conducted in Southern California. Saath, formed in 2004 and supported fiscally by Special Service for Groups (SSG), has over 8 years of community service, advocacy and research experience in cancer with SAs. Saath focuses on cancer prevention, early detection, diagnosis, treatment and survivorship. The proposed project, Well Done Masi!, utilizes a culturally tailored DVD photonovella and lay health advisors to increase awareness of breast and cervical cancer risks, the benefits of early detection, and available resources of breast and cervical health services among low-income, uninsured eligible SA women in Orange County (OC) with a focus in Anaheim, Buena Park, Garden Grove and Santa Ana. Outreach efforts will target 1,000 SA women through TV spots, distribution of photonovellas at cultural/community events, small women's group gatherings, and one-on-one education. Evidence-based counseling techniques of motivational interviewing will be used with 90 eligible women to move them along the stages of change towards screening and 55 will receive their annual screening. All activities will be conducted by Saath's culturally competent trained community outreach staff.

Project Lead or Primary Contact Information:

First name: Zul
Last name: Surani
Title: Project Director
Phone: 323-286-6439
Fax: [213-553-1822
E-mail: zsurani@usc.edu
Address: 605 W. Olympic Blvd., Suite 600
City: Los Angeles
State: CA
Zip Code: 90015

Project Description

Project Start Date: March 2012
Project End Date: February 2013

Proposed Approach

Saath formed by South Asian (SA) community leaders in 2004 and supported fiscally and administratively by Special Service for Groups (SSG), has strong community service and research experience in cancer. Saath focuses on the "cancer continuum": cancer prevention, early detection, diagnosis, treatment and survivorship in order to assist clients in receiving appropriate services at their time of need. Saath, a word for collective action and support in several SA languages, is the only non-profit exclusively focused on improving the health of Southern California's SA communities through evidence-based, culturally tailored approaches. Saath founders, outreach team and staff possess extensive experience in providing linguistically and culturally competent health education and outreach services to SAs in Southern California, and as a result have tremendous social capital with local leaders, providers and networks that can help reach the medically underserved.

The proposed project, Well Done Masi!, aims to increase awareness of breast and cervical cancer risks, the benefits of early detection, and available resources of breast and cervical health services among eligible low-income, uninsured/underinsured SA women, age 40 and over in OC with a focus on SA communities in Anaheim, Buena Park, Garden Grove and Santa Ana. Saath proposes to expand our existing work on breast and cervical cancer in OC by utilizing evidence-based approaches successfully implemented in Los Angeles County. The proposed project uses a culturally appropriate, in-language photonovella called "Well Done Masi!" and other proven approaches to reach its target population. The photonovella, which means "Well Done Aunt (maternal)!" introduces women to the importance of breast health, cancer screening and prevention, and creates a communication channel to reach SAs who are typically underserved due to lack of linguistically and culturally appropriate care. "Well Done Masi!" was developed through extensive community input. It depicts the story of Maya who meets a SA doctor in college and falls in love. In the course of her courtship and subsequent wedding, her maternal aunt develops breast cancer. The story shows that though early detection, her precious aunt's life was saved. It also shows the power of culture, family and community in supporting women so that they are able to take care of themselves and participate in screening and early detection. South Asian community leaders in focus groups felt the DVD was extremely innovative and will be an important tool to fight cancer for many years to come.

Saath staff includes SAs with management, community outreach, public health, research/evaluation and advocacy experience. Saath is directed by Zul Surani who has worked in cancer prevention and control for over 14 years. He has also worked with SA organizations pioneering cancer prevention, control and survivorship research. Saath Community Experts are Bangladeshi (Janet Biswas and Kaniz Rahman), Indian (Khushbindar Kaur Lally and Shobha Dravid), Nepali (Ashish Pokrel) and Pakistani (Rahat Zaidi) and are active and trusted in their communities. Saath is supported by SSG, a nonprofit agency that provides program and fiscal management to diverse ethnic minority and disenfranchised communities for the past 56 years.

Needs Addressed

SAs (those of Indian, Pakistani, Sri Lankan, Bangladeshi, Nepali, Maldivian, and Bhutanese origin) are the fastest growing Asian group in the United States (2010 Census). More than 30,000 SAs live in OC, of which, 35% live below the Federal Poverty Level (FPL). According to the Asian Pacific American Legal Center (APALC, 2005), 11% of Sri Lankans are below FPL while 21% are below 200% of FPL, 11% of Bangladeshis are below FPL while 33% are below 200% of FPL, 6% of Asian Indians are below FPL while 18% are below 200% of FPL, and 7% of Pakistanis are below FPL while 22% are below 200% of FPL. Among those groups, 44% of Bangladeshis speak English less than very well and another 30% are considered linguistically isolated. Similarly, 12% of Pakistanis are linguistically isolated and another 21% speak English less than very well.

Linguistic isolation contributes to inaccessibility of mainstream information and resources, including preventive health practices for breast and cervical cancer. According to the first of 4 monographs ("Disparities in Late Stage Diagnosis" report) released by Komen for the Cure, OC, women from low socioeconomic areas are more likely to have a late stage diagnosis of breast cancer. South Asians do not participate in risk reduction activities for diseases that affect them disproportionately (www.sapha.net). Data from the California Registry in 2005 shows that breast cancer is the most detected cancer among SA women, comprising 38.5% of all cancer diagnoses. Furthermore, among all types of cancers, breast cancer ranked as the leading cause of mortality among this population comprising 23.5% of all deaths (California Cancer Registry, www.ccr.cal.org). A special cancer data run from the Cancer Surveillance Program showed that 30% to 40% of breast cancers diagnosed among SAs were late-stage (regional and distant). Of all cancers diagnosed in California among SAs, only 1% was in-situ where survival is 100%.

Published research conducted in 2006 (Glenn B, Surani Z, Bastani R) showed that only 64.1% of SA women had ever received a mammogram compared to 85% for all racial and ethnic groups (CHIS 2003). This study, a needs assessment of 360 SAs in the L.A. area reported only 55% of eligible women had received a mammogram, due to cultural barriers. Only 34% of women in the study received a pap test, while 64% reported lifetime receipt of one.

The study also showed SA women with a regular source of care most likely participated in regular screening in comparison to SAs who were uninsured/without a regular source of care. At least 32% of the respondents lacked any form of health insurance.

This lack of insurance coverage, high rates of late-stage disease and cultural barriers further justifies the need to promote preventative practices and screening for breast and cervical cancer among SA women through culturally appropriate education and timely access to clinical services, including programs such as Cancer Detection Programs: Every Women Counts (CDP:EWC). Saath's strategies are based on assessment of needs of SAs to address community health priorities and gaps.

Types or Amounts of Service to be Offered

This project aims to increase awareness of breast and cervical cancer risks, benefits of early detection, and linkages to screening for low-income, uninsured/underinsured SA women in OC focusing on Anaheim, Buena Park, Garden Grove and Santa Ana. Building on existing relationships and work in OC, outreach efforts will target 1,000 SAs through TV spots, distribution of photonovellas and one-on-one education. Using evidence-based techniques of motivational interviewing (MI), 90 eligible SA women will be counseled to move along the stages of change towards screening, and 55 will complete their annual breast and cervical cancer screening. Preliminary results of the MI counseling techniques in Los Angeles County indicates that the strategy plays a role in moving SA women forward on the continuum to taking action. All activities will be conducted by Saath's trained community outreach staff.

The proposed objectives uses CDC recommended evidence based multi-level strategies to increase and maintain breast and cervical cancer screening. As such, strategies chosen to accomplish the objectives include tailored print materials/messages, small media, one-on-one outreach and reduction of structural barriers. These strategies are effective because they fit the language and culture of SA communities.

Objective 1: By December 31, 2012, Saath will provide 1,000 South Asian women with culturally and linguistically appropriate educational messages and information on breast and cervical cancer screening in order to increase self-awareness.

Community experts will distribute 500 "Well Done Masi!" photonovellas/DVDs through faith organizations and community venues. Religious centers (Jain Center in Buena Park, mosques in Irvine and Garden Grove and Sikh temples in Buena Park and Santa Ana) organize weekly programs and special events such as health fairs and religious celebrations that draw 100-500 people. Saath intends to arrange for booths at these events and distribute materials, provide education and link women to services.

Additionally, the Bangladeshi community has started two shows on Channel 18. These are the only Bengali language shows on television which reach 66,000 viewers. Saath PR consultant has developed formats for these shows and launched a web and social media presence for Saath. It is expected that PR efforts will further promote information seeking and linkages to community experts for counseling.

Objective 2: By February 28, 2013, Saath will conduct counseling with 90 underserved South Asian women in need of breast and cervical health screenings, and as a result at least 55 uninsured/underserved South Asian women will complete their screening.

Saath's community experts will seek out 90 eligible women from the 1,000 reached in our outreach and media efforts. Levels of readiness towards getting screened will be assessed and MI techniques will be utilized to move them forward on the continuum to taking action (Prochaska an DiClemente). All our community experts were trained this year in MI techniques and how to link women to CDP clinics.

At least 55 of these women will complete their screenings. Lastly, women identified through outreach who have already had their mammogram and pap test will be entered into our database for reminders next year as they approach their screening date.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

The proposed project aims to increase the number of low-income, uninsured/ underinsured SA women, age 40 and over in OC that receive breast and cervical cancer screenings as well as increase awareness of breast and cervical cancer risks, the benefits of early detection, and availability of breast and cervical health services within linguistically and culturally isolated South Asian communities.

The project will document and measure its progress during the funding period by tracking a number of indicators. The Project Director, Project Assistant and lead community expert with support from our Motivational Interviewing (MI) consultant will oversee and coordinate all evaluation activities. Evaluation data will be collected throughout the grant period to adjust strategies as needed. The project will evaluate the efficacy of outreach through the use of our tailored education tool ("Well Done Masi!" DVD), outreach and the use of MI follow-up counseling to move women along the "stages of change" and towards obtaining mammogram and pap. Our primary target population is uninsured/underinsured SA women in Anaheim, Buena Park, Garden Grove and Santa Ana. The secondary target population is all uninsured, eligible SA women in Central OC. An increase in the number of SA women viewing the DVD, an increase in movement along the stages (contemplation to preparation to action) in the number of eligible women who need a mammogram and pap test and finally the number of women who complete a screening are all measures of success. The results will be measured using short-term impact evaluation and outcome evaluation data collected from interviews with project collaborators, summary reports, and surveys.

Process evaluation strategies, data sources and tracking measures for the First Objective will include: 1) records of conducted outreach including names of sites visited, 2) number of in-language photonovella DVDs or print versions distributed, 3) number of women who received information on where to access free and low-cost screenings, 4) TV segments with messages and screening times, and 5) a log of times, dates and websites for web and social media promotion. Process evaluation strategies, data sources and tracking measures for Second Objective will include: 1) sign-in sheets and list of eligible women in need of screening, 2) MI *counseling protocol/questionnaire for follow-up, which includes measures on movement along* the "stages of change", 3) evaluation of staff's MI skills by our MI consultant, 4) completed follow-up forms for each client who was called and participated in counseling, 5) number of women who needed assistance with navigation and type of information and solution provided for navigation, and 6) number of women who completed screening will be determined through self reports upon follow-up calls.

Outcomes:

1) 1,000 women will receive materials and messages

2) 90 women will improve awareness and complete Motivational Interviewing counseling session.

3) 90 women will increase movement along the stages of change (contemplation to preparation to action)

4) 55 women will complete screening

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

Saath is the only organization providing culturally appropriate cancer prevention, control and survivorship to underserved SAs in Southern California. Saath staff have developed a successful track record in providing health education and linkages to services to SA communities since 2004 helping thousands. Saath focuses on SA populations (those of Indian, Pakistani, Sri Lankan, Bangladeshi, Nepali, Maldivian, and Bhutanese origin) and their health needs in Orange, Los Angeles and Riverside counties. Supported by Special Service for groups, Saath has physical locations and staff in both Los Angeles and Orange counties. Saath's programs are innovative, effective and well received as they utilize a culturally appropriate, in-language materials and community experts who can guide people to resources. For example, "Well Done Masi!" is the only cancer education program that is tailored specifically to SAs and can be made available in OC. As such, we are dedicated to our mission and will continue to implement cancer education programs.

Saath has received steady funding and developed strong partnerships since it was founded in 2004. The California Breast Cancer Research Program was Saath's first grant in collaboration with UCLA's Division of Cancer Prevention and Control Research. The project met 100% of its objectives to interview 40 cancer survivors and 10 key informant community leaders. In 2008, Saath was re-funded by the California Breast Cancer Research program to adapt an evidence-based program for SA cancer survivors which has now been completed and will be research tested.

Later in 2008, Saath offered breast cancer education workshops that included pre/post surveys of 46 South Asian women in Southern California. Results of the pre/post surveys showed that Saath was able to address a significant gap identified by 86% of these women as not having access to culturally appropriate education and screening. Utilizing multiple evidence-based strategies Saath implemented and evaluated its cancer education programs. Since then, Saath has implemented proven programs funded by Komen for the Cure (L.A. and O.C). In addition, through multiple grants, Saath developed the first ever print and electronic photonovella in various SA languages through a community engagement process.

Saath received funding from Healthcare Foundation 2009 to build OC programs to promote breast and cervical health. In 2011, Saath continued to build its OC programs through a new Prevention and Early Intervention mental health program through a collaborative grant with OC Asian and Pacific Islander Alliance (OCAPICA).

Saath staff and stakeholders are participating in strategic planning in 2012 to chart the agency's 3-5 year course. We are developing a diversified fund development plan as part of this process funded by The California Endowment. This grant also funds the development of a web portal connecting Saath communities spread out all over Southern California to culturally appropriate information, screening resources in their neighborhoods and community-based support, just a phone call away.

In conclusion, Saath's successful history of obtaining funding to focus on health education among SAs will enable us to continue to provide needed programs for SAs in OC.

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): 12

Sources of Revenue for this Project

Funds requested from HFOC:	$25,000
Funds requested from other sources that will support this project:	$10,181
Total funds (add two lines above):	$35,181
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	$800	$800	$1,600
2. Salaries and wages	$16,182	$3250	$19,432
3. Benefits	$3,027	$1,138	$4,165
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	$0	$0	$0
5. Communication & media (including website)	$640	$1,800	$2,440
6. Materials & office supplies	$0	$3,000	$3,000
7. Other expenses*	$4,351	$193	$4,544
8. Leases/facility rental	$0	$0	$0
9. Subcontracts	$0	$0	$0
10. Training, conferences, meetings	$0	$0	$0
11. Travel and mileage	$0	$0	$0
12. Project evaluation/data collection	$0	$0	$0
13. Total Expenses	**$25,000**	**$10,181**	**$35,181**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

Personnel Expenses

1. Consultants and professional fees

a. Motivational Interviewing Consultant: Liz Barnett, a motivational interviewing expert and a doctoral student at USC's Institute for Prevention Research will provide expert consultation and refresher training to build Saath's capacity in this evidence-based technique proven effective in increasing mammography screening. Furthermore, Ms. Barnett will provide expert advice on project evaluation and support the development of evaluation tools. Her training and protocol can be used for future projects without additional costs to Saath. $50/hour x 16 hours = $800.

b. PR & Media Consultant: Thea Fernandez, holds a Masters degree in Public Health with a specialization in Health Communication. Ms. Fernandez will utilize social media to promote HFOC funded interventions to the SA community throughout Orange County. She will update Saath's website to include promotional videos of the photonovella. Additionally, she will work with South Asian television, print and media outlets to place and promote stories on breast cancer within the South Asian community. $50/hour x 16 hours = $800. This will be in-kind.

Total Requested Consultants and *professional fee*: $800

2. Salaries and wages

Mr. Zul Surani, the Project Director-Responsibilities: Devote 5% of his time to the project as an in-kind contribution. He will supervise the Project Assistant and 3 Community Experts (outreach staff) to ensure timely progress of the project objectives. Mr. Surani will also be responsible for reporting project activities to HFOC. Base Salary $65,000 x 5% FTE = $3,250 (excluding fringe). This will be in-kind.

Ms. Courtney Kashiwagi, the Project Assistant-Responsibilities: Devote 5% of her time to *coordinate project activities such as training staff, outreach and education activities in the* community, television spots, design and keep database of clients who are eligible for screening, coordinate program mailings, reminders, and track hours and activities of the Community Experts. Base salary $44,595 x 5% FTE= $2,230 (excluding fringe).

Khushbindar Lally, Lead Community Expert-Responsibilities: Lead community outreach efforts, identify eligible women, conduct motivational interview counseling, and follow-up as stated in the Services Offered section. Base salary rate $49,920 x 10% FTE= $4,992 (excluding fringe).

2 Community Experts (Shobha Dravid and Rahat Zaidi) –Responsibilities: Provide community outreach, identify eligible women, conduct motivational interview counseling, and follow-up as stated in the Project Plan. Base salary rate $44,800 x 10% FTE= $4,480 each= $8,960 (excluding fringe).

Total Requested Salaries and wages-- $16,182

3. Benefits

Project Director: 35% fringe benefits ($1,138-in kind donation); Project Assistant: eligible for 15% Fringe Benefits ($334); Lead Community Expert: Fringe benefits will be applied at 27% ($1,348); 2 Community Experts: Fringe benefits will be applied at 15% ($1,344).

Total Requested Benefits: $3,027

Non-personnel

4. Capital equipment (computers, furniture, etc)

No capital equipment expenses

5. Communication & media (including website)

a. Communication:

Costs for a prepaid phone for the outreach coordinators for the counseling and follow-up time period calculated at $20/month x 4 staff x 8 months for a total cost of $640.

b. Television Spots:

We will buy 2-4 television spots through a local Bangladeshi TV station to reach 1000 women containing key messages on screening. Total cost of television spots is $1,800 will be an in-kind donation.

Total Requested Communication & media: $640

6. Materials & office supplies

a. Culturally/Linguistically Appropriate Materials:

Total cost of culturally/linguistically appropriate material estimated is $3,000 which will be an in-kind donation.

Total Requested Materials & office supplies: $0

7. Other Expenses

a. Promotional items:

Costs to purchase approximately 550 personalized promotional items with the Saath logo and toll-free information number (1-866-459-8474) to be distributed to women. Calculated at $1.40/item x 550 items for a total cost of $770.

b. Community Cultural Fairs:

Costs for participating in community festivals with a booth, table and chair rental, parking, supplies, props and advertising space is estimated at $150.30 per event. Community Fairs are estimated at $150.30 per event x 6=$902.

c. Postage:

Cost of mailing informational brochures, photonovellas, clinic location information, invitations to community events, and follow-up post cards calculated at approximately $16.10/month x 12 months for a total cost of $193, which will be an in-kind donation.

d. Indirect costs: $2,679 (12% of direct cost associated with the project). Note that SSG maintains an approved indirect cost rate of 12% of direct costs.

Total Requested Other Expenses: $4,351

8. Lease/facility rental

No Lease/facility rental expenses

9. Subcontracts

No subcontract costs expenses

10. Training, conferences and meetings

No training, conferences and meetings expenses

11. Travel and mileage

No travel and mileage expenses

12. Project evaluation/data collection

No project evaluation/data collection expenses

Total Project Funding Request: $25,000

Demographics

Age Group

☐ 0 – 5 Early Childhood
☐ 6 – 11 Middle School
☐ 12 – 25 Youth/Young Adult
☒ 25 – 55 Adults
☒ 56+ Seniors

Geograhic Area

☒ Tustin
☒ Santa Ana
☒ Anaheim
☒ Orange
☒ Other

Ethnicity

☐ African American
☒ Asian/Pacific Islander
☐ Caucasian
☐ Hispanic/Latino/South & Central American
☐ Southeast Asian
☐ Other

Gender

☐ Male
☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

☒ Empower parents and caregivers with information and support, to assure family health
☒ Bring culturally relevant information and services into communities
☒ Remove access barriers to care
☒ Address community health priorities and delivery system gaps
☒ Assess the changing health needs of women, children and adolescents to develop effective health strategies

Healthcare Foundation for Orange County
CHOC Collaborative Against Obesity
December 9, 2008

Description of Participating Organizations

Children's Hospital of Orange County (CHOC Children's)

Children's health is fundamental to healthy communities. And when they are sick, these children deserve access to quality healthcare. Across the nation, children battle life-threatening diseases that do not discriminate based on economic status, race, age, or insurance coverage. Locally and regionally, Children's Hospital of Orange County provides children and families with access to a full continuum of pediatric specialists, state-of-the-art technology and nationally recognized hospital programs, regardless of their ability to pay. Established in 1964, Children's Hospital of Orange County (CHOC Children's) is a 232-bed hospital and the anchor of an innovative, regional, not-for-profit pediatric health system which has grown to include CHOC Children's at Mission Hospital (48-bed acute care hospital in Mission Viejo), five outpatient clinics around Orange County, two Breathmobile™ mobile asthma clinics, and one Healthy Tomorrows van. Our mission is to *nurture, advance and protect the health and well-being of children.* Patients from throughout Orange County, as well as some from neighboring counties, seek services at CHOC Children's.

The CHOC Children's Foundation at Children's Hospital of Orange County is responsible for securing philanthropic support to ensure the health and well-being of children. All charitable support for the hospital and clinic is sought through the CHOC Children's Foundation.

CHOC Children's Community Clinics

CHOC is dedicated to providing comprehensive primary pediatric care to children from infancy through 18 years of age. The clinics provide sick and well-child care. In addition to five community based clinics (Orange, Costa Mesa, Garden Grove, Santa Ana and Clinica CHOC Para Ninos), CHOC has three mobile healthcare units that visit different schools and community centers; one Healthy Tomorrows van provides primary health care services, immunizations and screenings to school-age children, while the other two Breathmobile mobile asthma clinics are focused on specialty care for asthma screenings and treatment.

The community clinics will be key in dispersing nutritional education, physical activity information, and early intervention services for children and families who are overweight or obese. Each CHOC Clinic has a Community Health Educator who is able to provide group and individual education and instruction in a variety of subjects.

CHOC Children's Specialty Clinics

Specialty Clinics are designed to provide comprehensive specialized pediatric care to children with specific chronic illnesses or medical conditions through effective utilization of pediatric sub-specialists, nurse practitioners, nursing staff, and support staff. Based on community need the clinics meet daily, weekly or monthly. Clinic services include consultation, evaluation, care of chronic conditions and treatment of episodic illnesses. The clinics are available to all persons seeking specialty pediatric care and are seen by appointment. One of the goals of the Specialty Clinics is to provide facilities for teaching of pediatric specialty care to appropriate residents, fellows, nurses and other students involved in the health care profession. The clinics are also an integral part in the continuum of healthcare for the child and family.

Specifically for this proposal, the CHOC specialty clinic division of Endocrine and Diabetes provides care and education for families and patients with pre-diabetes, Type I and Type II diabetes, and a wide variety of endocrine disorders. Clinicians and Educators provide care at the Orange and Costa Mesa clinics.

Boys and Girls Clubs of Santa Ana and Garden Grove

The Boys and Girls Clubs of Santa Ana and Garden Grove have collaborated with CHOC-based clinical and education programs, and have a proven track record of success in reaching targeted populations to promote health and well-being. Two of our community based clinics share the same campus as these well established Clubs.

The mission of the Boys and Girls Club is *to promote the positive and healthy development of youth, especially from disadvantaged circumstances, by providing services in a safe environment that build the skills, civility and self-confidence necessary to succeed in a competitive world.* Additionally, the Club's vision is *to provide safe places and results-based programs where young people learn, grow, have life changing experiences, and establish ongoing relationships with caring adult professionals that will lead to opportunity, accomplishment and a life as productive, responsible adults.* With the help of CHOC Children's continued participation through clinical and educational interventions, the mission and vision of the Boys and Girls Clubs are fulfilled and furthered.

Orange County Department of Education (OCDE)

CHOC Community Education has been providing school based education and interventions for over 10 years in most of all of the 28 different School Districts in Orange County. With education programs starting at the pre-school through the high school level, the Community Educators have established trusted and collaborative relationships with teachers, principals and parents alike.

Community Education staff will continue to provide and expand our Healthy Habits nutrition education program for children at various school sites in the county with an emphasis on those districts surrounding our clinic service area.

In addition, we will partner and collaborate with the OCDE efforts of their Health and Wellness programs and initiatives to "provide the support our schools need to maintain a healthy environment that fosters learning".

Proof of Nonprofit Status

Please see attached documentation of CHOC Children's Foundation IRS exemption letter.

California Hospital License

Please see attached licensure documentation for CHOC Children's.

Description of Addressed Need

The Center for Disease Control and Prevention states that childhood obesity has grown into an epidemic. A growing concern in Orange County and across the country is the rise of Type 2 diabetes in children. Ten years ago, Type 2 diabetes (previously known as adult-onset diabetes) was virtually unheard of in children. Currently, about 20% percent of the children newly diagnosed with diabetes at CHOC have Type 2 diabetes. With the clear link between childhood obesity and Type 2 diabetes, there are undoubtedly more children who are at risk.

Additionally, obesity is a major risk factor for more than just diabetes, but also respiratory diseases, heart disease, sleep apnea, musculoskeletal problems, hypertension and strokes. Studies indicate that contributing factors to obesity are reduced exercise, changes in diet, sugar-laden sodas replacing milk and other options as the childhood beverage of choice, schools signing franchise agreements with high-sugar/high-calorie food vendors, and living in neighborhoods that have limited access to healthy foods and/or few opportunities for physical activity.

In 2006, a total of 17,113 children were considered overweight in California; 58 % of them were in the 5-11 age group (Pediatric Nutrition Surveillance, Grown Indicators by Race/Ethnicity and Age 2006). The importance of these statistics is that overweight children serve as indicator for overall nutritional health. Overweight adolescents have a 70% chance of becoming overweight or obese adults—the time is now for education and intervention to prevent this epidemic from growing even more widespread.

Project Description

Evidence shows that successful intervention for overweight and obesity must involve more than just the individual. For this reason, CHOC is bridging together family-based programs, outpatient clinic healthcare providers, educational programs at schools, and local communities. In this effort, the multi-disciplinary team will focus on a three-tiered approach to fight overweight and obesity: prevention, early intervention, and treatment.

Prevention

CHOC Children's Endocrine Department currently has a bilingual family-based obesity and diabetes education/prevention program based out of Costa Mesa. Community based education that is culturally competent, fun, and involves the entire family unit is imperative to prevent and treat overweight children and adolescents, obesity and Type 2 diabetes. This prevention based class is five weeks long and provides nutritional and exercise counseling, addresses cultural beliefs about diabetes, assists with behavior changes for healthy lifestyles, promotes media literacy and provides diabetes education.

At this time, the class is geared towards Hispanic families, and the program is offered by a bilingual Certified Diabetes Educator (CDE).

CHOC's Community Education Department offers the Healthy Habits school education program which teaches children the importance of eating a wide variety of foods and getting exercise everyday, "Stress Free Feeding," a program for parents of children aged 0-5 years, and a Lactation Education program. Studies indicate that breastfeeding reduces the risk for cardiovascular disease and diabetes later in life and that exclusive breastfeeding can reduce the risk of childhood obesity (American Association of Pediatrics, 2005).

Early Intervention

Early intervention programs address the needs of children who are overweight; this condition can be prevented and treated largely through proper nutrition and physical activity. CHOC offers weight management classes based out of the community clinics to provide education for children and adolescents who are overweight or have a high BMI (body mass index). The "New You" clinic and class is a six-month program led by a Registered Dietician (RD) and pediatrician, and "FIT-tastic" is an eight-week class that focuses on physical activity and nutrition. Both of these classes help educate children on good choices for their nutrition and overall health, with the goal of reducing their BMI and increasing knowledge about good food choices, and ultimately changing behavior (for example, reduction in soda consumption).

CHOC physicians also make referrals for one-on-one appointments with the Registered Dietician. These meetings allow the dietician to provide personalized care for each patient and develop the best plan for success to reduce their propensity towards obesity and Type 2 diabetes.

Treatment

Because of the known link between Type 2 diabetes and obesity, multidisciplinary treatment programs based through CHOC's Specialty Care Clinics help aggressively address children and adolescents in Orange County who are obese (based on BMI). Endocrine and Cardiology specialists work with CDEs, RDs, the Lipid Clinic, and Psychologists, to provide treatment for health conditions associated with obesity, while further providing educational sessions in nutrition and physical activity.

CHOC Collaborative Against Obesity Implementation

Year One

In Year One, CHOC's team will develop an advisory steering committee to coordinate services, provide direction and oversight of the multi-faceted program, and begin a plan for long-term sustainability. Members of the community who have successfully completed one of the prevention focused education classes will be invited to join this advisory committee. Under the advisory counsel's direction, CHOC's obesity prevention team will focus on expanding the prevention program into one clinic in Central Orange County (Boys and Girls Clinic in Garden Grove or Santa Ana). This will include the family-based program, Lactation Education, FIT-tastic and New You weight management classes.

During the first year, the team will build relationships in the Vietnamese community and collaborate with service providers (such as Champions for Change) within this community with the goal of expanding overweight and obesity prevention programs in a culturally appropriate manner. The 2008 Conditions of Children Report indicates that the Asian population has a similar trend to other ethnic groups, at 12.2% of children ages 0-4 being overweight; 12% aged 5-11 years, and then dropping to only 7.9% for the 12-19 age group. With this information, the team will focus more heavily on the early intervention and family educational sessions to decrease the overweight population in the 0-4 age group, and 5-11 age group. Training additional educators will be crucial in Year One, to address the expansion needs in future years. Via Grand Rounds, local providers will be educated on the obesity prevention efforts.

Year Two

In Year Two, the team will continue to build upon the activities started in Year One, and will assess and revise as needed. The prevention programs will expand to one more clinic, also in the central/north areas of Orange County. Training with a Vietnamese-speaking nurse and physician will begin, with marketing and education efforts beginning in order to being providing prevention, early intervention and treatment programs for the Vietnamese population. Training for additional educators and providers will continue as well.

Year Three

In Year Three, CHOC will continue the initiatives started previously, while concurrently piloting the expansion of comprehensive obesity services in the Vietnamese population. Additionally, with the steering committee and team working together, CHOC could feasibly begin a comprehensive obesity program, with referrals and coordination of all the CHOC and surrounding community programs. CHOC would also host an Obesity Symposium for local and regional healthcare providers and community educators.

This three-year approach was selected to maintain reasonable expectations and achievable results, while concurrently achieving widespread success through clinic participation, the Boys and Girls Clubs and the Orange County schools. The annual review of the program's components will allow the team to make necessary adjustments along the way when necessary to continue successful rollout of the program.

Role of Each Partner Organization

The prevention program will be extended to each of the CHOC Community Clinics during the three-year rollout of the program. Community educators will lead prevention and education programs/seminars at the schools and at the Boys and Girls Clubs. Additionally, the FIT-tastic program will continue to use Boys and Girls Club's in-kind gift of space for the program. The CHOC Specialty Clinics will be the primary host for all treatment programs for young patients and their families.

CHOC Children's Community Clinics, Boys and Girls Clubs, OCDE: Health Educators will provide group and individual instruction and education to children and families in schools, at the clinics and in the community (at health fairs and other community events) on the importance of proper nutrition and physical activity efforts. In addition they will co-present the FIT-tastic program with the Registered Dietician.

CHOC Children's Community Clinics and Specialty Care Clinics: A Registered Dietician will provide one-on-one educational and nutritional counseling, facilitate the New You Clinic and the FIT-tastic program in the community clinics and provide curriculum support to materials and handouts produced for the community.

Primary Care Physicians: Will provide education to families as part of the routine check up, refer families to appropriate services with the Community Educator, Registered Dietician or specialty clinic. They will also provide community provider education through Grand Rounds and the Obesity Symposium to increase and unify the efforts of obesity prevention in Orange County.

Specialty Clinics: Will provide comprehensive care to families referred by the Registered Dietician or Primary Care Physician to their clinic. Will also participate in provider education via Grand Rounds and the Obesity Symposium. Through the Endocrine Department, Certified Diabetes Educators will provide their family based education and intervention program at community clinic locations and train the community education staff on the implementation of the program to expand the number of classes that can be offered. They will also provide expertise in the area of physical activity and developing simple yet effective activities that families can do for free or low cost ways of increasing physical fitness.

Desired Outcomes

Outcomes from the CHOC Collaborative Against Obesity are to see a significant change in behavior based upon a measurable increase in knowledge regarding nutrition and physical activity. Collectively, these outcomes will decrease on BMI (body mass index). Data will be tracked at the onset of program attendance and again at the completion of programs. The number of children and families attending programs will be tracked as well.

While we may never know exact reduction in diabetes due directly to the program's intervention (because of other things changing in the environment such as school lunch and reward structure, media, and government rules on calories on billboards), the team can measure objective risk factors for diabetes. This measurement will be assessed before the educational prevention-based programs and after the program.

With the reduction in BMI, the team anticipates a correlating decrease in type 2 diabetes, overweight, and obesity. Ultimately, through this collaborative effort across the county, CHOC Children's and its partners hope to see a decline in overweight and obesity in alignment with the Healthy People 2010 goals (reducing the proportion of children and adolescents who are overweight to 5%).

Project Sustainability

As mentioned previously, one of the goals for Healthy People 2010 is to reduce the proportion of children and adolescents who are overweight to 5% for ages 6-19. Reports from 2006 indicate that none of the age or other groups achieved this goal; in fact, most groups had three to six times the recommended proportion of overweight children. These startling numbers indicate the need for greater intervention programs. The CHOC Collaborative Against Obesity is designed to fill the gap in nutrition education and obesity prevention programs throughout Orange County. Additionally, there are few programs focused on the nutritional needs of the Vietnamese population to fight overweight and obesity. This comprehensive program further seeks to serve the needs of this ethnic group.

One of the major components of the CHOC Collaborative Against Obesity Steering Committee is to develop a financial sustainability plan for the initiatives outlined in the program. We anticipate this will be achieved partially through insurance

Budget

Please see attached budget for direct costs of the project in line item detail.

Funding will be distributed to the CHOC Children's Specialty Care Clinics to provide the funding for the Medical Director, Pediatrician and Certified Diabetes Educator. All other partners will be providing in-kind support for the project.

Budget Justification

All staffing information for the project is listed below. Having the proper staffing infrastructure is vital to successful project implementation.

Non-salary expenses are directly related to the prevention and early-intervention needs of the program. Food demonstrations, handouts/materials (printing of materials) are essential for all of the prevention-focused programs and early interventions. Premiums and incentives for program participants help with program retention, which in turn produces better educated children and families regarding nutrition, healthy eating habits and physical activity. Outside training/travel and mileage are compensation the Community Educators who will be training the OCDE partners in overweight and obesity education.

Additional funds are designated each year for program evaluation, tracking measures to be able to collect and analyze pre-and post-program data.

Staffing

The following positions will be funded in direct correlation with the rollout of the proposed project:
Program Coordinator (.4 FTE / $30/hr)
Registered Dietician (.4 FTE / $30.50/hr)
Medical Director (.05 FTE / $87.54/hr)
Pediatrician (.1 FTE / $60/hr)

Vietnamese Pediatrician (.05 FTE in Years 2-3 / $63/hr)
Certified Diabetes Educator (.4 FTE / $42 hr)
Community Health Worker (.2 FTE / $18/hr)
Community Health Educator (.4 FTE / $26/hr)
Administrative Assistant (.1 FTE / $20/hr)

Role of Each Staff Member

Program Manager: (In-Kind) Will provide fiscal and program oversight for the CHOC Collaborative Against Obesity. The Program Manager will complete grant reporting requirements and assist in the development of the Obesity Symposium.

Program Coordinator: The Program Coordinator works in the Community Education Department and is both a Certified Health Education Specialist and a Lactation Educator. She will have program oversight for the prevention and intervention aspects of the program including the FIT-tastic, Healthy Habits and Stress-Free Feeding programs. She will be the liaison with community providers and will work on increasing the number of services offered in the schools and community. The Coordinator in conjunction with the Medical Director will chair the Collaborative's meetings.

Registered Dietician: Will provide one-on-one counseling, education and support in the Primary Care Clinics, facilitate and oversee the New You Clinic and program and lead the FIT-tastic educational program. Will also be a content expert for the development of new materials and curriculum related to nutrition and diet. Will assist in the development of the Obesity Symposium for community providers and physicians.

Medical Director: Will oversee the Primary Care Physicians and provide continuing education opportunities for providers both in the clinics and in the community on obesity prevention, diagnosis and treatment. Will oversee the development of the Obesity Symposium in the community and co-chair the Collaborative's meetings.

Pediatrician: Will provide education and interventions to families as part of the routine well-child visits and make referrals to educators, dieticians and specialty providers as appropriate. Will provide the New You Clinic in conjunction with the Registered Dietician and train the Vietnamese Pediatrician on the New You program. Will work in collaboration with the Medical Director to provide physician educational opportunities.

Vietnamese Pediatrician: Will provide education and interventions to families as part of the routine well-child visits and make referrals to educators, dieticians and specialty providers as appropriate. Will provide the New You Clinic in conjunction with a Registered Dietician or Community Educator (as appropriate). Will work in collaboration with the Medical Director to provide physician educational opportunities.

Certified Diabetes Educator: Will provide training to the Community Health Educators on the family-based obesity/diabetes prevention and education program. The CDE will also provide the community based classes and work in conjunction with the

Vietnamese Pediatrician to adapt and pilot the program in the Vietnamese community. This position is part of the Endocrine Department and is also an Exercise Physiologist and will be a content expert for all physical activity components of each program deployed in the community.

Community Health Worker: Works in collaboration with the CDE to provide outreach to the community and support to the community based classes. This is a bilingual position that will assist in the scheduling and follow-up with families participating in the program.

Community Health Educator: Is a bilingual, Bachelor's prepared health educator who will provide the school based and community based programs including Healthy Habits, Stress-Free Feeding and FIT-tastic programs. The Educator will also be responsible for participating and initiating community outreach efforts including collaborative building and partnership opportunities.

Administrative Assistant: Will provide support to the Program manager and Coordinator in completing all grant reporting requirements, facilitating the acquisition of supplies and materials for the program and taking meeting notes and minutes for the collaborative.

Board of Directors

Please see attached for the Board of Directors for the CHOC Children's Foundation, the Boys & Girls Clubs, and the Orange County Department of Education.

Business Plan

CHOC Children's has a Business Development department that is responsible for a wide array of services that support both Children's Hospital of Orange County, CHOC Children's at Mission Hospital, and all community clinics. Directed by the hospital's strategic plan, mission statement and vision, the Business Development Department is responsible for identifying and securing new hospital business, program development, strategic planning, market research and analysis, application assistance for government insurance programs: MediCal, Healthy Families, and California Kids, and more.

Ultimately the department's primary goal is to secure new business for the hospital while managing the existing business relationships with our physician, medical group, and provider partners.

CHOC's Business Plan is driven by the Strategic Plan, and is designed to work towards the following goals:

1. Partnerships and Affiliations—Secure partnerships and affiliations that enhance CHOC's services and network of care.
2. Workforce/Culture—Create a high-performance culture that attracts, engages, develops and retains healthcare's finest people.
3. Operations and Infrastructure—Deliver exceptional and efficient care in premier facilities.

4. Clinical Quality, Safety and Service—Provide exceptional and compassionate healthcare in a safe patient environment.
5. Physician Alignment—Ensure CHOC is the hospital partner of choice for primary and specialty care physicians.
6. Growth and Program Development—Establish CHOC as the region's largest and most distinguished pediatric tertiary/quaternary hospital.
7. Financial Stewardship—Increase financial strength to fully realize CHOC's mission and vision.

The CHOC Children's Foundation at Children's Hospital of Orange County would like to thank you, in advance, for your consideration of this project.

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☒ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☐ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name: MOMS Orange County

Federal Tax ID Number: 33-0518078

Executive Director: Pamela Pimentel, RN

Address: 1128 W. Santa Ana Blvd.

City, State, Zip: Santa Ana, CA 92703

Telephone: (714) 972-2610

Fax: (714) 972-2620

E-mail: msilva@momsorangecounty.org

Website: www.momsorangecounty.org

Parent Organization: [Enter parent organization, if any]

Subsidiaries: [List subsidiaries, if any]

Number of Board Members: 13

Full-time employees: 40

Part-time employees: 2

Contractors: 5 (consultants)

Number of Volunteers: 125

Fiscal Sponsor: N/A

Number of locations: 1

Primary County: Orange County

Cities Served: ☒ Tustin ☒ Santa Ana
☒ Anaheim ☒ Orange
Other Cities: All of Orange County

Tax Status: ☒ 501(c)(3) and: ☒ 509(a)(1)
☐ 509(a)(2)
☐ 509(a)(3)

Annual Operating Budget: $3,326,738

Primary Organization's Mission:

The mission of MOMS Orange County is to help mothers and their families have healthy babies by providing health coordination, education and access to community services.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	$859,189	$799,000	[$0]
2. Government grants	$1,057,455	$1,152,000	[$0]
3. Individual contributions	$75,028	$65,000	[$0]
4. In-kind contributions	$400	[$0]	[$0]
5. Earned income	$18,190	[$0]	[$0]
6. Other	$1,718,622	$1,442,000	[$0]
7. Total Revenue	**[$3,728,884]**	**[$3,458,000 projected]**	**[proj. not yet avail.**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	$82,938	$101,000	[$0]
9. Salaries and wages	$2,458,487	$2,451,591	[$0]
10. Benefits	$332,149	$367,737	[$0]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	$32,923	$34,000	[$0]
12. Communication & media (including web)	$15,018	$35,500	[$0]
13. Materials & office supplies	$39,151	$32,000	[$0]
14. Other expenses*	$33,601	$84,700	[$0]
15. Leases/facility rental	$193,108	$165,710	[$0]
16. Subcontracts	[$0]	[$0]	[$0]
17. Training, conferences, meetings	$7,633	$3,500	[$0]
18. Travel and mileage	$48,503	$45,000	[$0]
19. Project evaluation/data collection	[$under consultants]	[$under consultants]	[$0]
20. Total Expenses	**[$3,243,511]**	**[$3,320,738 projected]**	**[proj. not yet avai**

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

MOMS Orange County Infant Health & Development Program]

Project Summary:

MOMS Orange County (MOMS) is a uniquely qualified community-based organization that provides services to low-income mothers and their babies in underserved communities each year in Orange County. Under Registered Nurse supervision, MOMS provides access to prenatal care, health screenings, developmental screenings, health education and referral services through monthly home visits and group classes. MOMS mothers and their babies receive one-on-one education and support during pregnancy and through the baby's first birthday. During pregnancy, the program focuses on measures to increase the mother's chance of delivering a healthy baby. After birth, the focus is on promoting the infant's healthy development and mother's well-being by •Establishing a medical home • Tracking immunizations • Promoting nutrition • Educating parents on early brain stimulation • Screening for achievement of developmental milestones • Modeling positive play behavior • Enhancing parenting skills. MOMS efforts have resulted in improved birth outcomes and improved infant health and development. MOMS can provide one mother and her baby with a full range of services for less than $1,500 a year - a wise investment considering the life-long costs and consequences of poor birth outcomes and delayed infant development. Based on the most conservative estimates, every dollar invested in MOMS services saves the community $3.33 – this does not include the untold millions saved over a lifetime for just one infant with a poor birth outcome and/or delayed development.

Project Lead or Primary Contact Information:

First name: Pamela
Last name: Pimentel, RN
Title: CEO
Phone: 714-352-3423
Fax: 714-972-2620
E-mail: ppimentel@momsorangecounty.org
Address: 1128 W. Santa Ana Blvd.
City: Santa Ana
State: CA
Zip Code: 92703

Project Description

Project Start Date: 2000
Project End Date: On-going

Proposed Approach

The infant development component of MOMS Maternal-Child Health Care Coordination program was developed in response to the overwhelming concern clients had about their baby's normal development and future school readiness as revealed in client focus groups. At the time, MOMS had made great strides in improving the physical health of mothers and their babies through its prenatal support services, but found that 50% of its babies were not meeting their developmental milestones.

In early 2000, MOMS conducted a pilot project to determine if interventions implemented during the first year of life could impact the infant's ability to reach developmental milestones on time. Interventions included: 1) educating parents on early brain development, 2) modeling positive play activities for early brain stimulation, 3) screening infants for achievements of developmental milestones, and 4) referring infants with delays for appropriate medical intervention. The infants in the pilot project were compared to a control group of their siblings who had not received MOMS services. The MOMS infants scored significantly higher than their siblings in the control group. With funding from the Children & Families Commission of Orange County in late 2000, MOMS was able to enhance its already successful health care coordination model by extending services until the baby's first birthday and by incorporating infant development screenings, education, and referrals into the home visitation model.

MOMS staff receive intensive infant development training such as a 4-day course on developmental screening through the CHOC/UCI Help Me Grow program in addition to on-going training opportunities. One of MOMS Maternal Child Health Coordinators is a developmental specialist who provides on-going support to other staff members. One key element to MOMS approach is that staff share the same culture and language and are able to gain the trust and confidence of the client because of the personalized relationship developed over time. This enables MOMS staff to observe a mother and her baby in their home on multiple occasions - allowing for unique opportunities to screen for potential developmental risks and health issues that can benefit from early interventions.

MOMS has been recognized by the Health Care Agency of Orange County, the Children & Families Commission of Orange County, the California Department of Health Services, and the United States Congress for its innovative work in the community and impact on the health of women and babies in Orange County.

Needs Addressed

Despite the fact that Orange County is one of the wealthiest counties in the United States, more than 45% of the 39,000 babies born each year are born into poverty - MOMS serves more than 3,500 of these babies each year. MOMS client families are the "working poor" who are uninsured or underinsured and have difficulty paying for private health care and, therefore are unlikely to access preventative health care.

Low-income, medically underserved women are at higher risk for delivering infants with poor birth outcomes and subsequent health problems-including pre-term birth, low birth weight, low rates of breastfeeding, medical complications, excessive emergency room visits, poor infant bonding, and delayed infant development. The short-term consequences of poor birth outcomes and delayed infant development, combined with poverty and inadequate parenting, can set up the preconditions for a startling number of long-term consequences - including retarded cognitive development and lack of preparation for education, poor grades, high teen pregnancy rates, high rates of gang affiliation, unemployment, and continued poverty. Poor birth outcomes and delayed infant development have severe long-term ramifications and costs to the community but can be prevented or reduced with MOMS programs.

According to the 10th Annual Report on the Conditions of Children in Orange County, "The effects of poverty on child development are devastating," in large part because it is "the single most powerful factor that can influence brain development." The report goes on to explain, "The experience of poverty can contribute to a young child's delay in physical, cognitive, language and emotional development, which in turn impacts the child's readiness to enter school."

The 2005 Orange County Health Needs Asssesment sited, "While most newborns are born healthy, some infants are born too early, with low birth weight, or with disabilites. It is particularly important that these newborns and their parents have access to health care to minimize complications. However, long-term outcomes for all infants and young children are improved when they receive regular health care and check-ups to ensure that the child is growing in a normal and healthy way."

According to the 13th Annual Report on the Conditions of Children in Orange County, 17% of children in the United States have a developmental or behavioral disability such as autism, mental retardation, or attention-deficit/hyperactivity disorder. The report also noted that the "early identification of children with developmental, physical, and mental concerns is important because it leads to early treatment, which can reduce the impact of developmental and behavioral disorders that have high costs and long-term consequences for our health, education, welfare, and justice systems. Early screening and identification allow for opportunities to improve a child's health and well-being and connect families to necessary resources." According to the report, "many children with behavioral or developmental delays or disabilities are missing vital opportunities for early detection and intervention. Less than 50% of these children are identified as having a problem before starting school, by which time opportunities for early treatment of significant delays have been missed."

Types or Amounts of Service to be Offered

Throughout the infant's first year of life, MOMS specially trained Maternal-Child Health Coordinators (MCHCs) screen for normal growth and development during every monthly home visit. While age appropriate activities to stimulate mental and physical development are demonstrated, parents are provided with anticipatory guidance on what to expect in their infant's health and development over the next month. At 4-months, 8-months, and 12-months of age, infants are formally screened for achievement of developmental milestones. Screenings are focused on five key developmental areas: (1) communication; (2) gross motor; (3) fine motor; (4) problem solving; and (5) personal-social. Infants identified as having a risk for potential delay in one or two developmental areas are provided with extra instruction on activities that will stimulate growth and achievement in that particular developmental area of concern. Infants are then screened again at the next visit to assess for achievement of the previously under-achieved developmental milestone. Infants with more than 2 areas of concern are immediately referred to their medical home and/or the Early Development Assessment Center at CHOC/UCI or Regional Center of Orange County for a comprehensive developmental assessment by a medical professional.

Infant development tool kits are provided to each family for use over the baby's first year of life to enhance early brain development and fine motor skills. The kits include an infant book, rattle, developmental toys (stacking blocks, balls or rings), crayons, coloring book, and a box of Cherrios (finger foods to stimulate fine motor skills). Early literacy is promoted by showing parents how to read to their children - even if they are illiterate or have a low literacy level themselves. Parents are encouraged to read to their infant daily and to allow their infant to play with and hold books as often as possible.

Over the last year, MOMS has conducted more than 1,200 developmental screenings on low-income infants at risk for developmental delays in its primary service area (Santa Ana, Tustin, Orange and Anaheim).

In addition to MOMS in-home developmental screening and education services, MOMS offers supplemental programs in its Health Education & Outreach platform of service that enhances infant health and development, specifically the Mommy & Me program.

Mothers and babies are invited to attend MOMS Mommy & Me program, a 4-week infant development workshop that trains and empowers mothers to lead their own infant development play groups. Age appropriate activities that promote healthy infant development are demonstrated in a fun and creative environment. The group dynamic among parents and babies allows for social, interactive learning not possible during home visits. After graduating from the Mommy & Me program, mothers are provided with infant development curriculum and guidance from MOMS staff to conduct weekly play groups in their local neighborhoods. Several neighborhoold play groups have been established and continue to meet on a regular basis.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

Central Orange County represents 62% of MOMS primary service area. MOMS estimates that 1,700 or more unduplicated clients are served each year in Central Orange County and expects to conduct 1,200 infant developmental screenings in this service area this year. The health of the community has changed because MOMS mothers access prenatal care, babies are born healthy and reach their developmental milestones on time, and fathers are prepared for a positive role in the family. MOMS anticipates that its 2011 outcomes will be consistent with or better than its 2010 infant health and development outcomes:

Improved birth weights – MOMS babies are born healthier with only 6% born at a low birth weight (compared to a U.S. rate of 8.2% and CA rate of 6.8%).

Reduced rates of premature births – MOMS mothers are less likely to deliver babies prematurely (6.5% preterm birth rate compared to a U.S. rate of 12.3%, CA rate of 10.5%, and O.C. rate of 9.4%).

Decreased Neonatal Intensive Care Unit (NICU) admissions – MOMS babies are less likely to be admitted to the NICU (7.25% admission rate compared to 28% of Orange County babies who required special care at birth).

Improved infant development – 86.3% of MOMS babies met their developmentally milestones on time.

At every home visit, a comprehensive health screening is completed on both the mother and the infant. To further measure infant health and development outcomes, MOMS uses two in-home instruments, the Family Investment in Relationships, Safety and Trust (FIRST) assessment tool and the Ages and Stages Questionnaire (ASQ). The FIRST tool was developed by MOMS and piloted by the American Academy of Pediatrics (Chapter IV). The tool uses a combination of staff observation and parent report to gather information. It measures three distinct areas important to the infant's environment: (1) responsivity of the mother; (2) infant brain stimulation; and (3) infant health and safety. The FIRST tool is first administered when the infant is 4 weeks old, then again at 4 months, 8 months and 12 months.

The ASQ tool determines if the developing infant is reaching major developmental milestones in a timely way. Infants are "scored" in five areas: (1) communication; (2) gross motor; (3) fine motor; (4) problem solving; and (5) personal-social. Scores in each area are compared to a scientifically validated threshold indicating "normal" development. Scores falling below the numerical threshold in an area trigger a referral for more rigorous assessment. The ASQ is administered when the infant reaches 4 months, 8 months and 12 months of age. The ASQ allows MCHCs to make objective judgments about children that should receive professional assessments to determine if suspected delays warrant intervention. Some "low scores" are quickly corrected with education by the MCHC and participation in MOMS Mommy & Me workshops.

All of MOMS health and developmental measurement indicators are monitored regularly and evaluated quarterly by a contracted program evaluator to measure the achievement of program goals and objectives. Client focus groups are held to collect direct feedback as to the effectiveness of MOMS programs and client satisfaction. In addition, the MOMS Program Committee consisting of staff, board and volunteers meets quarterly to evaluate program outcomes, focus group data, and feedback from frontline staff as to program effectiveness. Based on these findings, changes and enhancements are made as needed if resources are available.

MOMS has demonstrated through rigorous outside evaluation that its program is not only sound, but is bringing children in a highly disadvantaged population to health and developmental levels that exceed those set by the general U.S. population

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

The financial ramifications of the economic crisis have significantly impacted the availability of infant development services in the community. With many service partners becoming dismantled as a result of loss of funding, there are fewer providers who offer developmental screenings and parent education to the at-risk population. Diverted Prop 10 funds previously allocated for Orange County programs, cuts to Medi-Cal and decreased Medi-Cal reimbursements for medical providers will have a monumental impact on schools, clinics, hospitals and family support organizations. MOMS is the only community-based organization in Orange County providing in-home prenatal and infant health services to poor and underserved communities.

Additionally, MOMS has a proven track record of securing funding, meeting program objectives, and sustaining programs. MOMS has been successful in securing non-traditional revenue sources to leverage against philanthropic funding. Armed with solid birth and maternal outcome data, MOMS is well-positioned to secure long-term funding for this core program.

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): 12 months (7/1/11-6/30/12)

Sources of Revenue for this Project

Funds requested from HFOC:	$75,000
Funds requested from other sources that will support this project:	$45,000
Total funds (add two lines above):	$120,000
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	[$0]	[$0]	[$0]
2. Salaries and wages	$65,000	$25,000	$90,000
3. Benefits	$10,000	$3,500	$13,500
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	$1,500	$1,500
5. Communication & media (including website)	[$0]	[$0]	[$0]
6. Materials & office supplies	[$0]	$2,000	$2,000
7. Other expenses*	[$0]	$1,000	$1,000
8. Leases/facility rental	[$0]	$7,300	$7,300
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	$200	$200
11. Travel and mileage	[$0]	$4,500	$4,500
12. Project evaluation/data collection	[$0]	[$0]	[$0]
13. Total Expenses	**[$75,000]**	**[$45,000]**	**[$120,000]**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

[Salaries - $65,000]

Position #1 Maternal Child Health Coordinator (MCHC)

FTE Status: 1.0 FTE (40 hours per week) Average Hourly Rate: $19.25

Justification: *$65,000 will support a portion of the salary for two (2.0 FTEs) MCHCs*

Position Description: Under nurse supervision, MCHCs provide access to prenatal care, conducts health and developmental screenings, provides health education and referral services to low-income mothers and their babies through monthly home visits.

Role: Conducts in-home developmental screenings to measure achievement of developmental milestones.

Qualifications: MOMS MCHCs are required to be bi-lingual and bi-cultural in English and Spanish or Vietnamese. Five years experience in Maternal Child Health and/or a Bachelors Degree in a health related field is required.

Benefits - $10,000

Justification: $10,000 will support a portion of the personnel benefits for 2.0 FTEs MCHCs. Personnel benefits are calculated at $15%. Benefits include medical insurance, worker's compensation insurance, payroll taxes, staff development, and uniforms.

Project budget also includes 0.1 FTE of a Registered Nurse Case Manager who provides clinical oversight of all client services. The RN Case Manager reviews all health and developmental screenings and collaborates with MCHCs to develop Individualized Care Plans for clients. The RN Case Manager facilitates communication with medical providers and community health partners to coordinate appropriate medical care and referrals for clients. The cost of the RN Case Manager is not included in the funding request.

*Other expenses include office phones and cell phones

The HFOC service area of central Orange County is also MOMS primary service area (Santa Ana, Tustin, Orange and Anaheim). MOMS expects to conduct approximately 1,200 developmental screenings on low-income babies in the HFOC service area during the current fiscal year (July 1, 2010- June 30, 2011). The average cost for a developmental screening is $100. This cost includes the salaries and benefits for the Maternal Child Health Coordinator conducting the screening as well as the Nurse Case Manager who is reviewing the screening results and making recommendations for follow-up and referrals. The cost also includes the screening tools, educational materials, and travel expenses to and from the client's home.

MOMS Orange County invites the HealthCare Foundation for Orange County to consider a $75,000 investment to support 1,200 in-home developmental screenings by specially trained Maternal Child Health Coordinators for low-income, at-risk babies in central Orange County.

Demographics

Age Group

☒ 0 – 5 Early Childhood
☐ 6 – 11 Middle School
☐ 12 – 25 Youth/Young Adult
☐ 25 – 55 Adults
☐ 56+ Seniors

Geograhic Area

☒ Tustin
☒ Santa Ana
☒ Anaheim
☒ Orange
☒ Other

Ethnicity

☒ African American
☒ Asian/Pacific Islander
☒ Caucasian
☒ Hispanic/Latino/South & Central American
☒ Southeast Asian
☐ Other

Gender

☒ Male
☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

☒ Empower parents and caregivers with information and support, to assure family health
☒ Bring culturally relevant information and services into communities
☒ Remove access barriers to care
☒ Address community health priorities and delivery system gaps
☒ Assess the changing health needs of women, children and adolescents to develop effective health strategies

The HealthCare Foundation for Orange County

Grant Application

Thank you for applying. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grant making activities draw on the proceeds of the sale of United Western Medical Centers to for-profit hospitals, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grant making comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of county residents. The Foundation also provides stewardship for grants from other foundations, dedicated to improving health services in Orange County. The Foundation seeks to support efforts of non-profit hospitals and community-based organizations to improve access to health care and foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Instructions

- To apply for a grant, complete this electronic form and submit it online.
- Move from field-to-field using the **Tab** and **Shift +Tab** keys.
- When entering dollar amounts, omit commas and currency prefixes, (enter numbers only).
- Upon completion of the online form click the **Submit** button on page seven of this application. Your information will be forwarded to HFOC automatically.
- State the project objective(s), (under Outcome Measures on page five) using the *SMART* model:
  Specific – Objective must clearly detail what is to be achieved, e.g., *the objective of this project is to decrease obesity for people living in the cities of Orange and Tustin over a 12 month period.*
  Measurable – Objectives must be quantifiable, using metrics such as percentages, number of participants/patients, etc., e.g., *Within one year, we will have significantly decreased obesity rates by 25% as compared to last year's rate of ###.*
  Achievable / Realistic - Are the objectives you set truly attainable? Evaluate objectives more rigorously. Is the 25% goal for 12 months indeed realistic? Do you have the resources, manpower & finances to achieve it within the specified time frame? Should the organization consider adjusting the percentage, time period or even the geographic scope of the project?
  Time bound – When do you expect results? Target dates / time frames must be identified in the objective. In this example the organization set a period of 12 months to achieve the 25% target. Specific dates must be stated within the objective, e.g., *no later than, or by August 1, 2012.* The resulting statement below is an objective that employs the SMART model:

  > *Objective one of this project is to decrease the obesity rate for a selected group of children living in the city of Tustin by 25% as compared to the current baseline, no later than August 1, 2012.*

Remember to review your information for accuracy before submitting the application.

If you have a question, please go to www.hfoc.org and choose ***Contact Us*** for further instructions.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suits your project. ***Please check only one.***

☐ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☐ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

☑ Partners for Health - This program offers an opportunity for one or more non-profit hospitals in Orange County to provide stewardship to a collaborative of community providers and the hospital itself, to offer services consistent with the Foundation's mission.

HFOC Objective Areas

Please check each item this project seeks to address:

☑ Empower parents and caregivers with information and support, to assure family health

☑ Bring culturally relevant information and services into communities

☑ Remove access barriers to care

☑ Address community health priorities and delivery system gaps

☐ Assess the changing health needs of women, children and adolescents to develop effective health strategies

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Organization Information

Organization Legal Name: St. Joseph Hospital of Orange

Parent Organization (if applicable): St. Joseph Health System

Address: 1100 W. Stewart Dr

City: Orange State: CA Zip Code: 92868

Tax Status: 501$^{(c)(3)}$ and Select

Number of Locations: 1 Target Population served: Low Income Families

Primary County Served: Orange County Other Counties Served:

Cities Served: Santa Ana

Other Cities:

Website Address www.sjo.org

Organizations Mission (a website address, if provided in the previous field may be used in lieu of this):

Mission: To continually improve the health and quality of life of people in the communities we serve.
Healthy Smiles For Kids of Orange County website: www.healthysmilesoc.org

Organization Information

Executive Director / CEO

Name: Steven Moreau, President & CEO
Email: steve.moreau@stjoe.org
Telephone: 714-771-8020

Grant Application Contact

Name: Stephen Hollister
Title: Grants Manager
Email: stephen.hollister@stjoe.org
Telephone: 714-347-7912

Board Membership & Staffing

Parent Organization:	St. Joseph Health System	Number of Board Members:	18
Number of Full-time employees:	2,700	Number of Part-time employees:	1,075
Number of Contractors:	28	Number of Active Volunteers	883
Number of locations:	1		

Financial Information

Fiscal Sponsor (if any): N/A
Annual Operating Budget: $656,313,477.00

Organizational Revenue & Expenses

Revenue:	Last Year	This Year
1. Foundations/corporate giving	$ 2,349,003 00	$ 2,027,831 00
2. Government grants	$ 373,263 00	$ 616,746 00
3. Individual contributions	$ 3,028,751.00	$ 2,270,674 00
4. In-kind contributions	$ 105,488 00	$ 114,214 00
5. Earned income	$ 629,965,477 00	$ 661,217,830 00
6. Other	$ 27,860,671.00	$ 45,661,373.00
7. Total Revenue	$ 663,682,653.00	$ 711,908,668.00

Expenses:

Personnel Expenses

	Last Year	This Year
8. Consultants and professional fees	$ 18,991,168.00	$ 18,549,699.00
9. Salaries and wages	$ 197,092,976.00	$ 207,097,310 00
10. Benefits	$ 95,760,267.00	$ 98,440,145.00

Non-personnel Expenses

	Last Year	This Year
11. Capital equipment (computers, furniture, etc)	$ 32,256,307.00	$ 31,866,732.00
12. Communication & media (including web)	$ 1,228,781.00	$ 1,218,464.00
13. Materials & office supplies	$ 122,643,018 00	$ 123,798,565 00
14. Other expenses*	$ 88,009,217.00	$ 103,229,919 00
15. Leases/facility rental	$ 9,371,284.00	$ 8,095,221.00
16. Subcontracts	$ 56,183,101.00	$ 63,799,758.00
17. Training, conferences, meetings	$ 202,421.00	$ 199,084.00
18. Travel and mileage	$ 16,893.00	$ 18,580 00
19. Project evaluation/data collection	$	$
20. Total Expenses	$ 621,755,433.00	$ 656,313,477.00

Proposal Information

☑ Existing Project ■ New Project

Project Title: St. Joseph Hospital of Orange – Puente a La Salud Dental Clinic at Valley High School

Project Summary: The Valley High Children's Dental Clinic was established in March 2011 as part of the Santa Ana Building Healthy Communities (SABHC) place-based initiative The Clinic is a partnership between St Joseph Hospital's Puente a La Salud Dental Clinic and Healthy Smiles For Kids of Orange County to provide comprehensive oral care to students at Valley High School, and to underserved children ages 0 to 18 years of age from low-income families living in the SABHC zones and surrounding Santa Ana neighborhoods

Project Lead or Primary Contact Information:

First name: Cathy
Last name: Teschke
Title: Clinic Director
Office Phone: (714) 771-8000
Address: 353 S. Main Street
City: Orange
State: CA
Zip Code: 92868
Email: cteschke@stjoe.org
Mobile Phone:

Project Description

Project Start Date: 01/01/2012

Project End Date: 12/31/2012

Proposed Approach: Puente a La Salud and Healthy Smiles For Kids will partner to develop a full-service pediatric dental clinic within renovated space at Valley High School (SAUSD) Puente will serve as the primary clinical provider and will manage administration, billing and patient records Healthy Smiles will drive clinic traffic through ongoing mobile prevention services at neighborhood SAUSD schools, and will develop community-based education programs to improve the overall oral health and hygiene of children within the Santa Ana region

Needs Addressed: One of the most significant areas of disadvantage in Orange County is Santa Ana, the fourth most densely populated city in the nation, 78% of its approximately 325,000 citizens are Latino and 50% live under the Federal Poverty level In 2010, one out of three children screened in Santa Ana schools showed moderate to severe oral decay, which can lead to pain and absenteeism in school, and poor overall health

Source(s) of Data: Orange County 2011 Community Indicators, U.S. Census Data

Others with whom you will collaborate on this project:

Healthy Smiles For Kids of Orange County

Outcome Measures [use the SMART model as outlined on page one]:

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

The objective of the Valley High School Puente Children's Dental Clinic is to provide a new access point to oral care, prevention and education programs for underserved children ages 0 to 18 years of age. A minimum of 1,500 children will receive prevention and education services from Healthy Smiles For Kids, and once the clinic opens (March 2012), an additional 416 children will have access to restorations and care directly at the Clinic. These numbers are calculated based on the number of children we currently serve per day in other locations indicating that they are achievable by December 31, 2012.

Number of Individuals Served by this Project: 1,916

Project Sustainability: Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

The Valley High Puente Dental Clinic will be established as a permanent access point for under and uninsured children in the Santa Ana Building Healthy Communities area (and beyond), and will be sustained partially through state insurance revenue (Denti-Cal), and by private grants and donations. Puente and Healthy Smiles will continue its partnership beyond the establishment of the Clinic, and will seek grants through all channels, including federal grants, private foundations/donations and contract opportunities that are aligned to support the broad spectrum of oral health services and community-based education and nutrition programs.

Project Budget

Budget Summary

Time period covered by this project (in months): 12

Sources of Revenue for this Project

Funds requested from HFOC:	$125,000.00
Funds requested from other sources that will support this project:	$115,808.00
Total funds (add two lines above):	$240,808.00
Willing to accept partial funding:	☑

Personnel Expenses	Funds from HFOC	Funds from Other Sources	Total
1. Consultants and professional fees*	$	$	$0.00
2. Salaries and wages	$81,400 00	$96,507.00	$177,907 00
3. Benefits	$16,280.00	$19,301.00	$35,581.00

Provide clear and detailed explanations regarding such fees in the budget narrative section (bottom of this page)

Non-personnel Expenses	Funds from HFOC	Funds from Other Sources	Total
4. Capital equipment (computers, furniture, etc)	$25,000.00	$0.00	$25,000.00
5. Communication & media (including website)	$	$	$0.00
6. Materials & office supplies	$1,000 00	$	$1,000.00
7. Other expenses**	$1,320.00	$	$1,320 00
8. Leases/facility rental	$	$	$0.00
9. Subcontracts	$	$	$0.00
10. Training, conferences, meetings	$	$	$0.00
11. Travel and mileage	$	$	$0.00
12. Project evaluation/data collection	$	$	$0.00
13. Total Expenses	$125,000.00	$115,808.00	$240,808.00

*** Other expenses: please document this in the budget narrative below*

Budget Narrative

Personnel: Oral Health Educator (Healthy Smiles) - $26,400; Information Technology Lead (St Joseph) - $20,000, Biller (St. Joseph) - $20,000; Project Assistant (St. Joseph) - $15,000.
Benefits (20% of salary, Healthy Smiles and St. Joseph) - $16,280; Information Technology Infrastructure and Equipment (St. Joseph) - $25,000; Hygiene Kits for Community Partners (Healthy Smiles) - $1,320; Printing and Design Costs for Outreach Materials (Healthy Smiles) - $1,000.

Demographics

Age Group

☑ 0 – 5 Early Childhood
☑ 6 – 11 Middle School
☑ 12 – 25 Youth/Young Adult
☐ 25+ Adults

Geographic Area

☐ Tustin
☑ Santa Ana
☐ Anaheim
☐ Orange
☐ Other (population previously served by Western Medical Center)

Explain:

Ethnicity of primary population being served by this project

☐ African American
☐ Asian/Pacific Islander
☐ Caucasian
☑ Hispanic/Latino/South & Central American
☐ Southeast Asian
☐ Other

Gender of primary population being served by this project (if applicable)

☑ Male
☑ Female

Additional Information (optional)

Use this page to provide further elaboration on your application responses, including but not limited to **outcome measures** on page five:

The City of Santa Ana is located in the heart of Orange County, and is one of the most densely populated cities in the country, with over 250,000 mostly low-income Latino residents. The 2000 U.S. Surgeon General report Oral Health in America identified low-income and minority – specifically Latino – children as having decay rates 71% higher than the U.S. average. Currently, Orange County has the third highest decay rate in the state, and one out of three children screened by Healthy Smiles (2010 data) showed visible and extensive decay!

Due to the critical need for children's oral care in central Santa Ana, St. Joseph Hospital's La Amistad and Puente a La Salud clinics engage in partnerships with community organizations focused on delivering oral care, regardless of a family's ability to pay. Puente a La Salud is well-recognized in central Santa Ana, and coordinates its mobile oral health unit as an HFOC 'partner' with Healthy Smiles For Kids of Orange County, the leading specialty provider of clinical care and school-based prevention for elementary, Title I children in our County. Through these partner efforts, approximately 25,000 children annually receive classroom education, out of which 8,000+ children receive prevention and/or restorative care; however, there is still a significant unmet need. Many children in Santa Ana do not have access to 'dental homes' - clinics that could provide consistent, high quality oral care and an IT/administrative infrastructure to track dental records and oral care delivery over time. The result is that families wait to bring their children to dentists until they experience pain or are unable to eat, leading to extensive and costly restorative procedures, and missed time in school.

The project proposed to the Healthcare Foundation for Orange County directly leverages both Healthy Smiles and Puente a La Salud's experience in delivering oral care to help make possible our new Valley High project in the 'Santa Ana Building Healthy Community' region, which is partially supported by the California Endowment. Through the Valley High School Puente Children's Dental Clinic, we will expand our partnership to develop a permanent 'one-stop shop' for oral health care for underserved children that includes direct services and bilingual educational counseling for parents in a centrally accessible location. Our request to the Foundation for $125,000 will provide mission-critical resources to the project, for project management and administrative personnel, a full-time oral health educator, and for hygiene kits and printing costs that will support community partner involvement. During 2012, Healthy Smiles will conduct prevention activities (screening, fluoride varnish and sealants) for a minimum of 1,500 children and will continue classroom education and community training programs in oral ⊞

Submit

Reset

Grant Application

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Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☒ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☐ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name:	Vietnamese American Cancer Foundation	
Federal Tax ID Number:	91-2170415	
Executive Director:	Tanh Phan]	
Address:	17150 Newhope Street #501-502	
City, State, Zip:	Fountain Valley	
Telephone:	(714) 751-5805	
Fax:	(714) 751-5824	
E-mail:	tanhphan@vacf.org	
Website:	vacf.org	
Parent Organization:	[Enter parent organization, if any]	
Subsidiaries:	[List subsidiaries, if any]	
Number of Board Members:	8	
Full-time employees:	3	
Part-time employees:	2	
Contractors:	[Enter number of contractors]	
Number of Volunteers:	58	
Fiscal Sponsor:	[Enter name of fiscal sponsor]	
Number of locations:	1	
Primary County:	Orange County	
Cities Served:	☒ Tustin	☒ Santa Ana
	☒ Anaheim	☒ Orange
	Other Cities: Garden Grove, Stanton,	
Tax Status:	☒ 501(c)(3) and:	☐ 509(a)(1)
		☐ 509(a)(2)
		☐ 509(a)(3)
Annual Operating Budget:	[Enter annual operating budget]	

Primary Organization's Mission:
[Breifly describe your organization's mission.]

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	[$195,571]	[$230,860]	[$320,000]
2. Government grants	[$0]	[$0]	[$0]
3. Individual contributions	[$22,762]	[$40,000]	[$22,000]
4. In-kind contributions	[$34,845]	[$35,000]	[$7,000]
5. Earned income	[$190]	[$200]	[$200]
6. Other	[$214]	[$200]	[$200]
7. Total Revenue	**[$253,582]**	**[$306,260]**	**[$359,400]**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	[$19,909]	[$30,900]	[$31,000]
9. Salaries and wages	[$158,983]	[$157,248]	[$195,000]
10. Benefits	[$28,000]	[$29,8270]	[$39,000]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$330]	[$2,000]	[$1,200]
12. Communication & media (including web)	[$25,000]	[$24,100]	[$26,000]
13. Materials & office supplies	[$11,700]	[$7,000]	[$10,000]
14. Other expenses*	[$9,666]	[$28,000]	[$30,000]
15. Leases/facility rental	[$22,500]	[$21,600]	[$21,600]
16. Subcontracts	[$0]	[$0]	[$0]
17. Training, conferences, meetings	[$255]	[$1,500]	[$0]
18. Travel and mileage	[$607]	[$1,000]	[$0]
19. Project evaluation/data collection	[$0]	[$0]	[$0]
20. Total Expenses	**[$276,950]**	**[$273,348]**	**[$354,000]**

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

WOMEN HELP WOMEN]

Project Summary:

The "Women Help Women" is a project that aims to reach Vietnamese women and their families, who are underserved because of the cultural, language, and legal barriers to get health care resources. Our goal is to develop a culturally and linguistically sensitive services for patients who are women dealing with breast and cervical cancer. Our services will provide a clear and easy to understand navigation to understanding the health cares system, how to obtain the appropriate assistance, legal rights, and psychological services.

We focus on three objectives:
1) Detect breast and cervical cancer at the earliest stages
2) Navigate these patients to our available resources for consultations and treatments
3) Provide peer-to-peer support between breast cancer survivors and patients

Project Lead or Primary Contact Information:

First name: Tanh
Last name: Phan
Title: Executive Diretor
Phone: (714) 751-5805
Fax: (714) 751-5824
E-mail: tanhphan@vacf.org
Address: 17150 Newhope Street # 501-502
City: Fountain Valley
State: CA
Zip Code: 92708

Project Description

Project Start Date: January 1, 2012
Project End Date: December 31, 2012

Proposed Approach

In our collaborative work with many other cancer organizations since 2002, we have recognized a gap in the patient support and service for Vietnamese breast and cervical cancer patients. Currently during our events we reach out to many women who are diagnosed with cancer, and these women are faced with a difficult and complex health care system. Their situation worsens by barriers such as language, cultural, and economical hardship.

Our goal is to reach out to these women and let them know that they are not alone, and that help is available. Following approaches that has shown great success with patients and the community.

1) Detect breast and cervical cancer at the earliest stages
We propose to hold two Clinical Breast Exam events in Orange Coast Memorial Medical Center in February and November 2012. Every month, we also collaborate with Nhan Hoa Clinic to have at least one mammogram and one pap smear screening. These events will help to discover any breast and cervical cancer at the earliest stages.

2) Patient Knowledge Empowerment
After these events, we will provide the patients free consultations with specialists and oncologists through the various stages of their cancer concerns. We will also help cancer patients to apply for treatment medications and side-effect medications with free of charge.

Our staff is fully qualified to answer most, if not all, health care system questions or concerns. Our long term goal for all our staff is to become MSI certified to apply for MSI directly, and provide better assistant for these women in need.

To broaden our scope of service to the Vietnamese community in Orange County, we will also visit community groups, community clinics and Vietnamese speaking doctors for both knowledge transfer and share and promote our services. We will continue to network with other local clinics, community hospitals, doctors, other healthcare providers, and legal professionals in the community to form collaboration in improving the navigation system which will enhance the patient's access to appropriate medical, legal, and psychological services. We will continue to educate the community using radio program on Little Saigon Radio. In addition, we will also advertise our services and events on several prominent Vietnamese newspapers.

3) Peer-to-Peer Support Program
We will continue to have our monthly "Women To Women" support group meeting with breast and cervical cancer patients and survivors. The survivors have proven to be a very effective "mentors" and psychological support for these women going through such a dark and difficult time. In addition, we invite specialists, psychologists, or social workers to assist patients..

Needs Addressed

Majority of Vietnamese American women encounter many obstacles to understanding about breast and cervcial cancer, as well as accessing timely cancer screenings, treatments, and suppports. Below are the reasons why our community needs our services in battle of breast and cervical cancer.

1) Orange County has become the largest Vietnamese concentration area in the United States.

According to the 2010 US Census, Vietnamese Americans reside the most in Orange County with more than 100,000 people. Compared to other Asian/ Pacific Islander groups, Vietnamese are a relatively new immigrant group. Therefore, many Vietnamese struggle with economic stability, language, and cultural barriers. Sixty-five percent of Vietnamese Americans do not fluently speak English and 45% are linguistically isolated. Furthermore, most of recent Vietnamese immigrants live in poverty. These cultural, educational, and financial factors put Vietnamese American women at a great disadvantage in accessing health care needed which then lead to health problems. Our services, website, and bi-yearly newsletters will maximize available health care resources for the Vietnamese community.

2) Vietnamese women have higher rate of breast and cervical cancer than other ethnic groups.

A study has found that Vietnamese American women are diagnosed with breast cancer about 10 years younger than non-Hispanic Whites, 7 years younger than African Americans, and 6 years younger than Hispanics/Latina. According to the American Cancer Society (ACS), breast cancer is the most common cancer among women in California. ACS reports that breast cancer is among the top five most common cancers to affect Vietnamese women. Our weekly radio talk show and television programs will increase personal responsibility for health and strengthen women's ability to make timely use of available community resources.

3) Due to cultural belief, limited English communications, and lack of education, Vietnamese women are reluctant to seek clinical screenings and treatments.

Because of traditional beliefs, language, economical hardship, and legal in combination with lack of familiarity to the modern health care system, Vietnamese women are at higher risk for underutilization the current clinical treatment services available to the community. In the long run, these barriers will not only lead to marginalized care and assistance, but also lead to a lower quality for life for cancer patients and their relatives. Our bi-yearly clinical breast exams, monthly mammogram and pap smear screenings will ensure every Vietnamese woman can detect cancer at the earliest stage confidentially.

4) Without the appropriate support from family and community, cancer patients have a higher rate of suicide during or after the treatments.

National Cancer Institute has research that incidence of suicide in cancer patients may be as much as 10 times higher than the rate of suicide in the general population. Vietnamese women as a whole still hold on to their cultural values of modesty, self-sacrifice, and karma which prevents them from seeking treament and support until their conditions are unbearable. Our monthly “Women To Women” group meeting will provide a great mental and emotional support to these cancer patients.

Types or Amounts of Service to be Offered

VACF's goal is to ensure that no woman will be without breast and cervical health services. We will maximize the media, community health care outreach, and workshops specially designed to education and increase awareness on breast and cervical cancer. We will also utilize our experiences, trained skills, collaborative efforts with other organizations or clinics, and especially staff and volunteers with passion to provide services culturally and linguistically appropriate to all Vietnamese women as well as women from other ethnic groups. All services are free of charge.

1.Maximizing media outreach:

•Eight dedicated weekly talk shows, which are aired during peak hours (5:30pm-6:00pm every Thursday) on the Little Saigon Radio, to educate and announce new updates related to diagnosis, treatment options for breast and cervical cancer,

•Monthly Advertisements on two prominent newspapers: Nguoi-Viet and Vien-Dong daily newspapers.

•Two educational television programs designed for breast and cervical cancer on Little Saigon TV reaching Vietnamese women nationwide.

•Enhance and post updated calendar of events, news, and educational materials on our websites (www.vacf.org in English and www.unthu.org in Vietnamese).

•Mail out about 1,500 copies for each Spring and Fall newsletter.

2.Providing comprehensive services, including but not limited to:

•Navigation and linkage services – This is an on-going program to refer and schedule mammogram and pap-smear screenings for individual women in different state and federal programs depending on their qualifications.

•Bi-monthly breast and cervical health workshops in collaboration with Nhan Hoa (community) clinic.

•Bi-yearly Clinical Breast Exams by breast oncologist Bich-Lien Nguyen, and Dr. Thuy-Anh Nguyen, as well as breast concern consultations with Dr. Bich-Lien Nguyen practicing in Orange County more than 15 years.

•Following up with former clients by phone calls to schedule them for re-screening of mammogram and pap-smear.

•Assisting qualified breast cancer patients while undergoing cancer treatments to apply for financial reliefs or co-payments at different foundations and organizations such as: Breast Cancer Solutions, Beckstrand Cancer Foundation, Breast Cancer Charities of America, Breast Can Angels, Breast Cancer Society, Brenda Mehling Cancer Fund, etc.

•Monthly "Women To Women" (W2W) support group meeting at the office of VACF. The W2W curriculum for this year is to focus on diet, nutrition, physical activities, support for emotional, spiritual and mental well-being, and living healthy after treatment.

•On-going interpretation and limited transportation services.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

We plan to assess the efficacy of this program by maintaining a tally of the number of women reached during the funding year. This number will be counted by how many women enroll in our events and how many women we serve by number of in-take forms. We will keep a log of services provided and administer a self-reported survey to participants to assess the efficacy of services provided. The number of "Women To Women" participants will also be tallied and asked to assess the program's effectiveness with a support group survey.

- Two Clinical Breast Exams: At least 40 women will sign up for each event.
- Monthly mammogram and pap-smear screenings: At least 10 women will get tested per month.
- Bi-monthly breast and cervical health workshops: At least 8 women will attend each workshop, or 16 per month, to learn more about any changes in their body relate to breast and cervical cancer, and seek for medical assistance or professional opinion as soon as possible to protect themselves. We conduct pre and post questionnaires to measure the improvement in

their knowledge. This result can demonstrate how our workshop can help the participants learn more about breast and cervical health.

- Luncheon & Symposium on Breast and Cervical Cancer: The goal is to deliver the woman health presentation to about 120 attendants, as well as a Q&A session with a 3-4 oncological specialist panel. The pre- and post-surveys will be conducted.
- Our advertisements, website, weekly radio talk show and television programs: Estimated to reach at least 5,000 women to be aware of our services, all the available resources, and upcoming events.
- Our Spring and Fall newsletter campaigns: Approximately 1,500 newsletters will be mailed out or handed out for each season.
- Monthly "Women To Women" support group survey: After each meeting, at least 20 participants will complete the survey to measure the level of our support group's success. The survey will evaluate if the support group meeting can help the cancer patients mentally, reduce their depression, get more colleagues for mutual support and comfort in between group meetings.
- Our navigation and linkage services: More than 300 women will be navigated and linked to appropriate programs or needed services. We also help the patients make appointments, arrange free or low cost transportation to doctor visits or treatments. We remind their schedules, follow up with results, refer to specialists, set up for recheck-up, and etc. to ensure the patients get helped thoroughly. A tally of in-take forms can measure how many women will be served, and the log sheet will record how much time in minutes that we spend to help each patient or client.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

[Describe project sustainability. Please limit response to 500 words.

VACF has been able to manage and maintain steady growth since its inception in 2002. In order to endure this project beyond the proposed funding period, we have identified and secured additional funding from other grant-making organizations year round. The Executive Director, Programs & Development Director, Board of Directors, and staff are jointly engaged in developing short-term and long-term strategies for the next five years to provide not only enough annual operating budget but also a hefty reserve.

Private Grants: expected $100,000

Grants having been renewed for 2012: $50,000

- Allergan Foundation
- Boeing Employee Contribution Fund
- Orange County Afiliate of Susan G. Komen for the Cure
- Paficif Life Foundation

- Southern California Edison

Grants to be secured for 2012 and beyond: $50,000

- Aetna Foundation
- Avon Foundation

Seeking new grant opportunities: $25,000

- The Programs & Development Director has developed a fund development plan and will lead in seeking and obtaining new funding sources.

Fundraising Strategies: $125,000

- Our major fundraising event occurs in December drawing about 500 supporters. The fundraiser typically raises about $25,000-$30,000 for core operations.

- Annual Cancer Survivor Celebration Dinner Fundraiser brings in about $30,000-$45,000 from ticket sales and donations. Last year we honored more than 100 cancer patients and survivors as VACF's special guests and do not have to pay, of which were 80 breast cancer patients and survivors.

- We solicit individual donations through our events, radio shows, television programs, website, and newsletter. We generally receive about $50,000 from individual donations in the community per year.

- VACF currently receives a small percentage from groceries sales of one local Asian supermarket (only when shoppers mention our organization at check-out) and the Ralph supermarket chain. These sources have consistantly funded $15,000 per year.

- VACF is currently building similar relationships with other local supermarkets and businesses, and expect to raise another $10,000 - $15,000.

We are confident that VACF will be able to continue providing necessary services to improve quality of life and to meet the needs of many Vietnamese American women by providing services to diagnose and detect breast and cervical cancer at the earliest stage to save more lives. To maintain this support system, we have already renewed this grant for the following year, and simultaneously fund raise to assure that this program will continue surpass its development and implementation. We anticipate long-term sustainability of this project to continue to serve the needed Vietnamese women.

]

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): [Enter months (less than 60 months)]

Sources of Revenue for this Project

Funds requested from HFOC: [$25,000]

Funds requested from other sources that will support this project: [$0]

Total funds (add two lines above): [$0]

Willing to accept partial funding: ☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	[$0]	[$2,000]	[$2,000]
2. Salaries and wages	[$20,000]	[$75,000]	[$93,000]
3. Benefits	[$0]	[$18,600]	[$18,000]
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$1,500]	[$1,500]
5. Communication & media (including website)	[$1,000]	[$5,800]	[$6,800]
6. Materials & office supplies	[$1,000]	[$2,600]	[$3,600]
7. Other expenses*	[$1,700]	[$4,250]	[$5,950]
8. Leases/facility rental	[$0]	[$9,900]	[$9,900]
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$0]	[$0]
11. Travel and mileage	[$600]	[$1,000]	[$1,600]
12. Project evaluation/data collection	[$700]	[$1,300]	[$2,000]
13. Total Expenses	**[$25,000]**	**[$119,950]**	**[$144,350]**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

[Describe project budget.]

VACF respectfully requests $25,000 to support 1.0 FTE and program related expenses.

Program Manager (0.5 FTE): Works with Project Director/Executive Director and program staff to lead and implement all activities of the program. Ensures that all aspects of the program are going as planned, and communicates with the Project Director to reassess activities if necessary. Initiates meetings and network opportunities with other breast cancer organizations/medical facilities. Coordinates and conducts outreach, educational workshops, and patient navigation for screenings and diagnostic services. Records and tracks all progress for reporting and evaluation. We request $12,000 to support the Program Manager position.

Program Coordinator (0.5 FTE):): Works directly with all program staff and volunteers to accomplish all steps necessary for the program. Collects and records all in-take forms, surveys, and other tracking forms. Helps to organize and conduct outreach, educational workshops, and patient navigation for screenings and other breast health services. Helps with case management and patient navigation for women over and under 40. Maintains and tracks program progress in database. Coordinates navigation and consultation with volunteer doctors. We request $8,000 to support the Program Coordinator position.

Project Director/Director of Programs and Development (.25 FTE): Works directly with all program staff to accomplish all steps necessary for the program. Coordinates to link and find resources for cancer patients. Will serve as the Acting/Interim Executive Director (ED) in the absence of the ED to provide guidance for the staff on the project. Will assist the ED to strategize and secure future funding for the program. We request $0 to support the position of the Director of Programs & Development.

Executive Director (.125 FTE): Provides overall supervision of staff, program implementation, financials, and day-to-day activities of the organization; updates and reports to Board of Directors. Provides guidance for staff on the project. Leads the program evaluation, and completes and submit reports. Will work and strategize with staff and Board to seek and secure future funding for program. We request $0 to support the Executive Director (part-time) position.

Volunteer Oncologist (.125 FTE): No funding is allocated for this position. Dr. Bich-Lien Nguyen is the co-founder and current Board Chair for VACF. She volunteers for VACF to help guide our clients and encourage them to defeat cancer. Through her counseling, patients have learned to advocate for themselves and take charge of their cancer survival journey. Since the beginning of the Breast Health Program, she has overseen the program and works closely with all staff to ensure its success.

Direct Costs: Operating Expenses

Supplies

General office supplies include paper, toner, postage, copies, printing costs, etc. Annual cost for supplies is about $1,800 for this program, of which we request $500.

Travel

Mileage is calculated at $.52 x 100 miles/month for 1 FTE. Staff will be going to a lot of meetings and outreach events. Calculated at $.52 x 100 miles x 12 months x 2.0 FTE = $1,248. We are requesting $600.

Media Outreach and Advertisement

Radio announcements – We will place weekly promo ads on the radio about the breast health services. It costs $20 for 1 minute. We will place 52 promo ads ($20 x 52 = $1,400). We request $700 to support this expense.

Newspaper ads – We will place 3 smaller ads (about ¼ page), estimated at $100 per ad. We request $300 to support this line item. This is in addition to the 1 article we will write, which is free if we submit educational pieces.

TV talk shows – we will conduct 3 TV shows either on Little Saigon TV, SBTN, or VHN. It generally costs about $1,800 ($600 x 3 = $1,800); we will try to get one or two free TV talk shows from these TV networks. We request $0 for this expense.

Radio talk shows - We will host 8 half-hour radio shows that cost $425 per show. We request $0 to support this expense.

Printing/Reprinting Educational Materials

Printing Nutrition for the Person with Cancer Booklet in Vietnamese (published by ACS; translated by staff & approved by Dr. Bich-Lien) x 1,000 copies. We request $1,000 to cover part of the printing cost.

Reprinting the Breast Health Information Booklet for Vietnamese Women and Family - Booklet x 1,000 copies. We request $500 to cover part of the printing cost.

Reprinting Breast Health Information Booklet for Vietnamese Women Under Age 40 - Booklet x 500 copies. We request $500 to cover part of the printing cost.

Office Space

Since this program is the largest program at VACF, rent is calculated at $825/month for this program ($9,900/year). We need space to provide one-on-one counseling with women who need navigation services, for privacy and confidentiality purposes. Office will also be used for Peer Health Educator meetings and trainings. We request $0 to support this line item.

Luncheon and Symposium on Breast & Cervical Cancer

This community luncheon and symposium lecture (for about 20-30 breast cancer patients and 100 family members) cost VACF about $1,300. We want to hold this lecture around Summer 2012, to educate the general public at the same time to celebrate the courageous women and family members who survived breast cancer. We expect about 20-30 breast cancer survivors to attend. Their friends and families will be strong advocates for our program. We are requesting $1,000 to support this event.

Indirect Expenses (indicate percentage)

Indirect is usually calculated at 10% of the program subtotal.

Demographics

Age Group

☐ 0 – 5 Early Childhood
☐ 6 – 11 Middle School
☐ 12 – 25 Youth/Young Adult
☒ 25 – 55 Adults
☐ 56+ Seniors

Geograhic Area

☒ Tustin
☒ Santa Ana
☒ Anaheim
☒ Orange
☒ Other

Ethnicity

☐ African American
☐ Asian/Pacific Islander
☐ Caucasian
☐ Hispanic/Latino/South & Central American
☒ Southeast Asian
☐ Other

Gender

☐ Male
☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

☐ Empower parents and caregivers with information and support, to assure family health
☒ Bring culturally relevant information and services into communities
☐ Remove access barriers to care
☐ Address community health priorities and delivery system gaps
☐ Assess the changing health needs of women, children and adolescents to develop effective health strategies

The HealthCare Foundation for Orange County

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation also provides stewardship for grants from other foundations, dedicated to improving health services in Orange County. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

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☒ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name: [YWCA of North Orange County]
Federal Tax ID Number: [95-1687482]
Executive Director: [Diane Masseth-Jones]
Address: [215 E. Commonwealth Avenue, Suite E]
City, State, Zip: [Fullerton, CA 92832]
Telephone: [1.714. 871.4488]
Fax: [1.714. 871.4709]
E-mail: [dmassethjones@ywcanoc.org]
Website: [www.ywcanoc.org]
Parent Organization: [YWCA of the USA]
Subsidiaries: [None]
Number of Board Members: [15 Directors]
Full-time employees: [5 Full Time Employees]
Part-time employees: [4 Part Time Employees]
Contractors: [1-Outside Contractor-Bookkeeper]
Fiscal Sponsor: [None]
Number of locations: [1 locations]
Primary County: [Orange County]
Cities Served: ☒ Tustin ☒ Santa Ana
☒ Anaheim ☒ Orange
Other Cities: [Anaheim Hills
Tax Status: ☒ 501(c)(3) and: ☐ 509(a)(1)
☐ 509(a)(2)
☐ 509(a)(3)
Annual Operating Budget: [554,768.00]

Primary Organization's Mission:

[The YWCA is dedicated to eliminate racism, empower women and promote peace, justice, freedom and dignity for all.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	[$285,626.00	[$418,600.00]	[N/A]
2. Government grants	[$25,000]	[$16,000.00]	[N/A]
3. Individual contributions	[$47,783.00]	[$39,839.00]	[N/A]
4. In-kind contributions	[$39,935.00]	[$37,500.00]	[N/A]
5. Earned income	[$0]	[$0]	[N/A]
6. Other	[$14,966.00]	[$47,090.00]	N/A
7. Total Revenue	**[$413,310.00]**	**[$559,029.00]**	**[N/A]**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	[$27,854.00]	[$26,415.00]	[N/A]
9. Salaries and wages	[$219,546.00]	[$240,575.00]	[N/A]
10. Benefits	[$40,252.00]	[$46,600.00]	[N/A]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[N/A]
12. Communication & media (including web)	[$8,449.00]	[$13,995.00]	[N/A]
13. Materials & office supplies	[$11,067.00]	[$18,332.00]	[N/A]
14. Other expenses*	[$97,677.00]	[$161,799.00]	[N/A]
15. Leases/facility rental	[$20,435.00]	[$33,850.00]	[N/A]
16. Subcontracts	[$0]	[$0]	[N/A]
17. Training, conferences, meetings	[$5,748.00]	[$9,522.00]	[N/A]
18. Travel and mileage	[$2,222.00]	[$3,680.00]	[N/A]
19. Project evaluation/data collection	[$0]	[$0]	[$0]
20. Total Expenses	**[$433,250.00]**	**[$554,768.00]**	**[N/A]**

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

[Early Breast Cancer Screening and Education Program.]

Project Summary:

[The YWCA Early Breast Cancer Screening and Education Program is the largest mobile, breast caner screening and educaton program in Orange County. We provide quality breast cancer screening services to uninsured, linguistically isolated, multiethnic women, 40 years and older, in their own neighborhoods and in their own languages, in a manner consistent with their cultural beliefs. In 2011, the YWCA screened or led to screening over 6,100 uninsured or underserved women, who received mammograms, clinical breast exam and breast self exam/awareness education.in over 140 clinics and in 54 neighorhoods thoroughtout Orange County. We set up screenings in local churches, temples, senior centers, and other community based sites, as well as educational events such as health fairs, cultural festivals, and women's groups. Our program will help to overcome barriers such as language, lack of transportation, lack of childcare, and fear of large clinical settings to ensure that many of the country's hardest to reach women have access to quality breast care.

Project Lead or Primary Contact Information:

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City: [Fullerton]
State: [CA]
Zip Code: [92832]

Project Description

Project Start Date: [Ongoing]
Project End Date: [Ongoing]

Proposed Approach

[Since 1993, over 56,000 of the hardest to reach, uninsured women,age 40-64, of diverse ethnic backgrounds participated in our breast health screenings and education program. In 2012, we anticipate over 5,000 women to use our services. We are experienced and trained facilitators using innovative and culturally appropriate, one on one personal outreach, to reduce barriers, educate and navigate uninsured women to free quality local mobile breast health screenings in partnership with the California Cancer Detection Partnership Every Woman Counts (CDP:EWC) qualifed physicians, and two mammographers--Inner Images and Whitefield Radiology.

We reduce awareness barriers regarding susceptibility, risk factors, the importance of early detection and available resources through culturally appropriate breast health education and outreach. Our multi-ethnic staff understands cultural barriers and addresses the issues personally via phone and in the community. Annually we participate in more than 80 health fairs, ethnic festivals, cultural and educational events, including the annual Vietnamese Tet and Korean Festivals, Breast Self Exam Education/Awareness Workshops with church groups, women's group spas and in-home intergenerational gatherings. We promote our free breast cancer early detection screening schedules by distributing flyers and posters, e-mail blasts, placing notices in faith based newsletters, church bulletins, and announcing screenings in written, electronic and broadcast media.

We reduce fear barriers by creating trust and one-on-one relationships with women that we lead to screenings. Our staff personally qualified and schedules each woman, filling out the paperwork and answering questions. This process takes two to five personal contacts to schedule an appointment. We also mail reminders to women and call each one to maximize compliance. Our final step is to coordinate screening days, and operations to make sure each woman has a positive experience. From filling out paperwork and translating, to chaperoning during clinical services, we assist women through the entire potentially intimidating process. We also empower women by sharing breast health education to ensure compliance with annual screenings, monthly breast self-exams and activities to reduce risk of developing breast cancer.

We reduce cost barriers We reduce cost barriers by helping new clients become eligible for free screenings by qualifying them for CDP:EWC, Medicare or Medical/Healthy Families, then making appointments for approved women at our screenings. We also lead women previously screened to be rescreened the next year. We hold screenings almost every Saturday so women don't have to take a day off work for a mammogram. We reduce cost barriers for women who do not qualify for CDP:EWC by referring ineligible clients to resources for low cost screenings, by directing high risk and symptomatic women under 40 to the Komen Treatment Fund or other low cost clinics, and by directing linguistically isolated Medicare patients with no place to go for health care to our screenings (covered by Medicare) or another culturally appropriate local Medicare provider.

We reduce childcare barriers by welcoming and providing child care using special videos and coloring activitis for children at each of our 160 scheduled screenings. Our screenings are held in local neighborhoods where women can conveniently bring their children with them.

We reduce transportation barriers by holding screenings at convenient locations including churches, temples, mosques, senior and community centers located in low income neighborhoods and or on bus routes throughout Orange County. Most of our screenings are in cities with the highest number of uninsured women including Anaheim, Fullerton, Garden Grove Orange, Santa Ana, and Westminster.

Many callers, including undocumented women, qualify for CDP:EWC. However, some women will qualify for additional healthcare. Our staff opens the door for women to apply for Medical or

Healthy Families and find culturally appropriate providers, including screening at our clinics. Some women, due to age, income, or existing breast health systems will need referrals to other providers. Our knowledgeable staff keeps up to date on all community breast health resources for timely navigation assuring that no woman will fall through the cracks of the medical care system.

Because our outreach, we successfully target the hardest to reach groups. Other non-profit organizations utilize our screenings or provide information. The following organizations have collaborated with the YWCA : American Cancer Society, Cancer Legal Resources Center, Alzheimer's and American Heart Association. This collaboration maximizes our outreach efforts that effectively impact women's general health and legal needs when women face cancer.

Needs Addressed

According to a study issued by the Public Health Institute/California Department of Public Health Chronic Disease Surveillance and Research Branch, Sacramento, California, breast cancer is one of the most commonly diagnosed cancers among California women and the second most common cause of cancer-related deaths, regardless of race or ethnicity.

Early detection is the key to successful treatment of breast cancer. When breast cancer is caught at an early stage, 97% have a probability of surviving 5 years. However, once the cancer has spread beyond the breast to other parts of the body a women's likelihood of surviving 5 years decreases to 20% (ACS Facts and Figures 2010). Women who receive regular breast cancer screening, regularly scheduled physical examinations and mammograms have the best opportunity to detect breast cancer at an earlier stage when the likelihood of survival is much higher.

Access to health care coverage is a gateway to important health services. The Urban Institute reports that people without health care coverage receive less medical care, such as preventive screenings, and less timely care. Although the lack of health care coverage may not fully obstruct the utilization of health care, it is certain that it greatly diminishes the amount and quality of care a person receives, endangering his or her health and well being. According to the California Health Interview Survey (2007) 16% of adult women do not have health insurance and 13% are living below the federal poverty level . With the news of increasing unemployment in the county (9.5% as of April 2010), it would be expected that more adults currently lack health coverage due to lay-offs and smaller household incomes. (U.S. Bureau of Labor Statistics. 2010.)

Barriers to health care coverage. The Centers for Disease Control and Prevention (CDC) reports some of the commonly known barriers to access of care include: gaps in coverage for pre-retirement aged adults, educational level, income level, race/ethnicity and experience with the health care delivery system. Women without health insurance are less likely to have access to cancer screening services that are so essential in identifying breast cancer early. Uninsured women with breast cancer were 76% more likely than those with health insurance to be diagnosed at a later stage North Ornage County has the highest rate of late stage breast cancer diagnoses in Orange County. (OCKomen Affiliate & UCI Data Project, 2010).

Gaps in Coverage for Pre-Retirement Adults: The 2009 Community Profile Report, compiled by the Orange County Affiliate, Susan G. Komen for the Cure predicts that the number of women, aged 55-69 years, will increase by 60%from 2005 to 2020. Almost one of every 15 (6.8% or an estimated 17,224) adults, ages 55 to 64 years living in Orange County is estimated to be without health insurance. Since these adults are not yet eligible for Medicare, their access to health care is limited at a time in their lives when they begin to need and utilize it more. Of the estimated 6.8% without health coverage, 72.2% (12,443) were White, 11% (1,902) were Hispanic, and 16.5% (2,840) were Vietnamese. Of this population 70.4% (11,839) were female (OCHNA 2009).

Educational Level: In Orange County, adults who reported their level of education as less than high school were nearly twice as likely to be without health care coverage as those who reported high school in turn, twice as likely to be without coverage than their less as college counterparts. Over 42% of adults without health care coverage have no more than a high school education. (OCHNA, 2009).

Income Level: Adults with a reported household income of $10,000 to $24,999 were the most likely to be without health care coverage (two in five adults). Approximately one in three adults in the less than $10,000 income range was likely to be without health care coverage. In Orange County more than 9% of adults are without health care coverage, approximately 80% (145,868) reported an annual household income below $50,000 (OCHNA 2009). Women living in poverty or low income families cannot afford transportation costs or childcare. Providing early breast cancer screenings available in their own neighborhoods overcome these obstacles.

Geographic Areas In Need: A large proportion of women who live in North Orange County such as Anaheim, Brea, Fullerton, La Habra, Orange and Santa Ana were diagnosed with late stage breast cancer than women who live in the coastal cities such as Huntington Beach, Laguna Beach, Newport Beach, Seal Beach, as well as inland cities in the South of Orange County such as Costa Mesa, Irvine, Lake Forest, Mission Viejo, and Tustin are less likely to have a cancer that has progressed to late stage diagnosis. Differences were attributed to lack of existing health services, neighborhood socioeconomic status which are strongly correlated to late stage diagnosis (OCKomen Affiliate: UCI Data Project). Out of 164 screenings offered by the YWCA in 2009, 108 were conducted in North County cities identified as at-risk.

Types or Amounts of Service to be Offered

[The services offered by the YWCA Early Breast Cancer Screening and Education Program are designed to save lives by reducing barriers to quality breast cancer early detection screening, diagnosis, and treatment for Orange County women through culturally sensitive and dignified multi-ethnic education and outreach. A special emphasis on navigating underserved women to free or low cost breast health screening and diagnositc programs are also provided based on each woman's age and eligibility. In order to reach these women, the program will:

Screen or lead to screenings 5,000 uninsured, multiethnic, linguistically isolated women 40 years or older who would otherwise go undiagnosed, or diagnosed at a later more life-threatning stage.

Organize and arrange over 140 screening events at area churches, temples, senior centers and other community locations providing free mammograms, clinical breast exams and breast self-exam education to uninsured, multicultural women in accessible and confortable neighborhood locations.

Promote early detection targeting hardest to reach women through participating in over 80 health, community and ethnic fairs, distributing promotional flyers at churches and community, promote in newsletters,and press releases to appropriate local and ethnic media.

Establish an ongoing series of breast health education workshops focusing on lifestyle changes and breast self exam education with local women's groups and the community-at-large.

Process over 20,000 points of contact with women via telephone providing crucial information about breast health and communtiy resources, making informed, appropriate referrals and scheduling appointments for early breast cancer screenings.

Navigate and refer 250 women who present with abnormal clinical breast exams or mammograms at YWCA screenings to local breast cancer centers, hospitals, or low cost clinics for diagnostic services and further treatment if warrented.

Collaborate with local physicians, medical providers, the breast cancer community, governmental agencies, academic institutions, similar non-profits, neighborhood screening sites, and women's groups throughout the county. Some of our collaborative partners include: Cancer Detection Partnership: Every Woman Counts, OraAssocation; Vietnamese American Cancer Foundation, OC Korean American Federation and local breast cancer centers and low cost clinics.

Maintain quarterly advisory board committee meetings to monitor best practice methodology, quality control and efficient, effective services to the clients we serve.

Continue to work with the Susan G. Komen for the Cure, Orange County Affiliate and the Cancer Detection Partnership providing needed follow up services to women 40 years or older for diagnosic and further medical treatment if warrented. Also, these organizations provides early breast cancer screening to women 40 years or younger who do not qualify for YWCA screenings.

Continue to work with Inner Images Inc., and Whitefield Radiology, two leading mobile imaging companies in Southern California providing mammography services to a wide range of clients and institutions, including the Cancer Detection Program:Every Woman Counts.

Continue to work with physicians qualified by the California Detection Partnership:Every Woman Counts program. Physicians include: Kae Ja Pai, M.D., Internal Medicine, physician at majority Korean/English language screenings. Daniel Reich, M.D. and Ronald Schreier, M.D. Obstetrics/Gynecology, physicians at maority Spanish/English language screenings; Phuong Chi Phan, M.D., Internal Medicine, physician at majority Vietnamese/English language screenings. nge County Breast Cancer Coalition, representing 60 members from the breast cancer community, Avon Breast Care Fund Foundation, Susan G. Komen for the Cure, Orange County Affiliate, OC Asian Pacific Islander Enter types or amounts of service to be offered. Please limit reponse to 800 words.]

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

[Success in this program can be defined by screening the hardest to reach women, increasing access to health care for more women, and empowering them to take chargeof their health. Measures of program impact include:

Reach 20,000 points of telephone contacts including incoming calls for information and appointments and outgoing calls for scheduling mammograms/clinical breast exams, providing breast health information, and referrals to appropriate resources by December 31, 2012.. Outcome: Educate and empower women to get screened, qualifying women for screenings and/or lead to screenng 5,000 uninsured, multiethnic women. Instrument used to measure: Phone logs.

Women I receive screening and education at 140 YWCA community screening events. Outcome: Detect 250 abnormal clinical breast exams and mammograms at screenings resulting in approximately 24 breast cancer diagnosis by December 31, 2012. Instrument used to measure: Doctor referrals, crew chief reports day of event. This service opens the door for women to receive free diagnostic and treatment services, provides peace of mind to women who have normal screening results and empwoers woen to care for their own health.

Qualify 200 women ages 40-64 eligible for MediCal/Healthy Families for additional medical care, including access to YWCA screenings by December 31, 2012. . Outcome: women and families receive access to early prevention leading to healthier lifestyle which may ultimately reduce breast cancer risk. Instrument USed to measure: Phone logs, client data sheets.

Refer 350 ineligible underserved women ages 40-64 to low cost clinics by December 31, 2012. Outcome:women access care through low cost resoru ces, eve n if they do not quality for Cancer Detection Partnership: Every Woman Counts. Instrument to measure: Phone logs, client data sheets.

Refer 100 symptomat ic women under age 40 to Komen Treatment Grant Program and local clinics for funded or low cost diagnostics by December 31, 2012.. Outcome: opens the door for women to receive free or low cost diagnostic and treatment service.Describe outcome measures.Instrument used to measure: Physician referrals, phone logs, client data sheets.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

[The YWCA North Orange County's Early Breast Cancer Screening and Education Program has been serving uninsured women in Orange County since 1996. We are confident that we will be serving uninsured, multicultural women in the future due to our diversified funding base.

However, since the decline in the economy, it has been more difficult to raise and maintain our funding base, requiring more manpower and resources to secure funding opportunities. To date we have diversified our funding base to include the following funding sources:

1. Grants: We have submitted over $345,000 grant applications to community, family and private foundtions for fiscal year 2010/2011. We have secured $242,000 and have $62,000 pending, including this grant. In 2011 we will also be applying for a government grant under the Department of Health.

2. Individual gifts and Special Events: Through individual gifts and special events, we expect to raise over $50,000 to support the Early Breast Cancer Screening and Education program.

3. Legacy Program: In concert with the Orange County Community Foundation, we anticipate raising over $50,000 in planned gifts that will be place in an Endowment with Return on Investments going to the Early Breast Cancer Screening Program.

4. Collaborative Support: We have worked hard in establishing a collaborative with over 60 agencies, non-profit, governmental, and community based organizations. This is significant when you consider in-kind donations to help support our program. We estimate that we secure over $30,000 in value for in-kind support is shared with the YWCA resulting in securing screening sites throughout Orange County, volunteer time, supplies, and in-kind marketing/media contributions. Describe project sustainability. Please limit response to 500 words.]

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): [24 months]

Sources of Revenue for this Project

Funds requested from HFOC: [$25,000]

Funds requested from other sources that will support this project: [$303,523.00]

Total funds (add two lines above): [$328,523.00]

Willing to accept partial funding: ☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	[$2,000.00]	[$13,464.00]	[$15,464.00]
2. Salaries and wages	[$15,000]	[$192,224.00]	[$207,224.00]
3. Benefits	[$2,000]	[$49,506.00]	[$51,506.00]
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$2,000.00]	[$2,000.00]
5. Communication & media (including website)	[$1,500]	[$3,486]	[$4,986.00]
6. Materials & office supplies	[$0]	[$3,697.00]	[$3,697.00]
7. Other expenses*	[$0]	[$20,322]	[$20,322]
8. Leases/facility rental	[$4,000]	[$10,931]	[$14,931]
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$2,393]	[$2,393]
11. Travel and mileage	[$0]	[$5,000]	[$5,000]
12. Project evaluation/data collection	[$500.00]	[$500.00]	[$1,000.000]
13. Total Expenses	**[$25,000]**	**[$303,523.00]**	**[$ 328,523.00]**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

[Equipment:Actual use expense of leases for postal meter and duplicator; computers, network, and phone equipment.

Postage: Grants, reports, reminder letters to women

Printing:Stationary and envelopes,intake forms, flyers, forms for qualiflying women in Every Woman Counts or Family Pack programs.

Telephones/cell phones for four lines, voice mail, and fax line to maintain communication for staff, clients, scheduling. Cell phones used in the field.

Computer/Software/Information Technician maintenance for computers, network and software/data management activities.

Models/ learning resources   Anatomical Models, Displays; BSE models; 5000 printed educational materials @$0.26

Legal/ accounting: Annual audit, 990s, taxes, and legal retainer.

Bank Accounts, payroll, MAS 90 software

Insurance: Liability, Director's Officers, Commercial, Auto, Property

Business Tax & License. Required in city of Fullerton

Publications: Grant newsletters, breast health resources, references

Staff hiring/ training: Cost to post jobs, training materials, and staff workshops

Dues YWCA Pacific Region. YWCA requirement

Dues other organizations: Membership in local coalition, advocacy groups

Repairs/ maintenance: Cost to fix resources in the office and in the field

Security: Program utilizes 80% of office space. Cost reflects 80% of totalescribe project budget.
]

Demographics

Age Group

☐ 0 – 5 Early Childhood
☐ 6 – 11 Middle School
☐ 12 – 25 Youth/Young Adult
☒ 25 – 55 Adults
☒ 56+ Seniors

Geograhic Area

☒ Tustin
☒ Santa Ana
☒ Anaheim
☒ Orange
☒ Other

Ethnicity

☒ African American
☒ Asian/Pacific Islander
☒ Caucasian
☒ Hispanic/Latino/South & Central American
☒ Southeast Asian
☒ Other

Gender

☐ Male
☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

☐ Empower parents and caregivers with information and support, to assure family health
☒ Bring culturally relevant information and services into communities
☒ Remove access barriers to care
☒ Address community health priorities and delivery system gaps
☐ Assess the changing health needs of women, children and adolescents to develop effective health strategies

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☒ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☐ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name:	Family Support Network	
Federal Tax ID Number:	33-0248195	
Executive Director:	Linda Smith	
Address:	181 West Orangethorpe Avenue, Suite D	
City, State, Zip:	Placentia, CA 92870	
Telephone:	714-854-7762	
Fax:	714-854-7765	
E-mail:	fsnca@sbcglobal.net	
Website:	www.familysupportnetworkca.com	
Parent Organization:	N/A	
Subsidiaries:	N/A	
Number of Board Members:	12	
Full-time employees:	7	
Part-time employees:	18	
Contractors:	18	
Number of Volunteers:	230	
Fiscal Sponsor:	N/A	
Number of locations:	1	
Primary County:	Orange	
Cities Served:	☒ Tustin	☒ Santa Ana
	☒ Anaheim	☒ Orange
	Other Cities: All Orange County cities served.	
Tax Status:	☒ 501(c)(3) and:	☐ 509(a)(1)
		☐ 509(a)(2)
		☐ 509(a)(3)
Annual Operating Budget:	$1,000,000.00	

Primary Organization's Mission:

FSN is a 26 year, Orange County based, non-profit organization which has developed into an important community resource for underserved, low-income families of children with "special needs". FSN exists to provide services and resources that help these low-income families who have children with special needs reach their full potential.

*** For below. Last year financial information is based on 6-month data. Agency made the conversion from a calendar year to fiscal year budget.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	$78,701	$226,577	$207,700
2. Government grants	$332,443	$546,673	$497,500
3. Individual contributions	$6,215	$16,000	$14,600
4. In-kind contributions	[$0]	[$0]	[$0]
5. Earned income	$53,296	$156,150	$142,000
6. Other	$38,815	$82,600	$75,200
7. Total Revenue	**$509,470**	**$1,028,000**	**$937,000**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	$11,279	$10,245	$9,325
9. Salaries and wages	$218,556	$472,380]	$429,900
10. Benefits	$34,922	$67,230	$61,120
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[$0]
12. Communication & media (including web)	$3,495	$7,275	$6,620
13. Materials & office supplies	$8,690	$12,125	$11,035
14. Other expenses*	$80,719	$176,720	$160,815
15. Leases/facility rental	$22,883	$46,459	$46,460
16. Subcontracts	$85,206	$214,711	$192,475
17. Training, conferences, meetings	$9,058	$10,670	$9,710
18. Travel and mileage	$5,091	$10,185	$9,270
19. Project evaluation/data collection	[$0]	[$0]	[$0]
20. Total Expenses	**$479,699**	**$1,028,000**	**$937,000**

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

Parent to Parent Outreach Program

Project Summary:

The Parent to Parent Outreach Program, which has been the backbone program for FSN for over 18 years, provides parent support and emergency needs support for parents of a child born with a significant developmental or medical disability. A premature birth can happen to any parent, rich or poor, black or white. It can happen to a high-powered CEO, a lawyer, a grocery store employee or your neighbor or co-worker. For the parents of a premature newborn with a significant disability, the first months and even years can be filled with stress and a great deal of confusion about where to turn for help. FSN's Parent-to-Parent Outreach Program is designed to reduce confusion and stress, and to help educate and support parents who have children with special needs so that they have the tools to provide the best care for their children.

Project Lead or Primary Contact Information:

First name: Linda
Last name: Smith
Title: Executive Director
Phone: 714-854-7762
Fax: 714-854-7765
E-mail: fsnca@sbcglobal.net
Address: 181 W. Orangethorpe Avenue, Suite D
City: Placentia
State: CA
Zip Code: 92870

Project Description

Project Start Date: July 1, 2011
Project End Date: June 30, 2012

Proposed Approach

FSN utilizes bilingual mentors in an effort to break down the barriers and reach the English, Spanish and Vietnamese speaking populations. Families are visited and consoled by some of the very parents that the program has assisted in the past. These parent mentors work at seven area hospitals and responsibilities include initial parent contacts at the hospital, establishing connections with community resources, ongoing support, follow-up on agency referrals, accessing resource information and completing required tracking reports. FSN's trained, qualified staff members assist families directly in the NICU area of the hospital but phase into phone contact and in-home visits when the newborn has gone home. Each year, FSN mentors visit and support over 200 families.

FSN has learned from parents who have a child with a disability that they need direct support and information from other parents who have shared the same life experiences. Through this program, parents have that opportunity. The goal is to educate and support parents so that they can seek optimum care for their infant. Designed by parents of children with special needs, the Parent-to-Parent Program is staffed by some of the same parents that the program has assisted in the past. All mentors are available 24 hours a day, seven days a week.

Early intervention is more cost effective than preventable illness and welfare dependency. Preventative programs not only benefit children and their families, but also benefits communities by saving tax dollars. By providing support and assistance to these families, FSN enables parents to advocate for their children. They are empowered to access resources that they may never have been aware existed. As a result, their children receive appropriate care and intervention. These families state that giving birth to a child with a disability brings tremendous stress, challenges and confusion to the entire family. Their dream of having a "perfect" child is instantly shattered. FSN is there to provide much needed emotional support, answers to their questions about help that is available to them, and the benefit of a parent who has experienced firsthand the challenges the family is encountering. As with all the programs FSN offers, all services are provided to families free of charge.

Needs Addressed

The Mayo Clinic reports that nearly 12% of all births in the United States are premature. These premature babies are at a higher risk for cerebral palsy, seizures, lasting neurological problems, developmental problems, bleeding in the brain, problems to the eyes and intestines, and are more likely to die of Sudden Infant Death Syndrome (SIDS). For the parents of a premature newborn with a significant disability, the first months and even years can be filled with stress and a great deal of confusion about where to turn for help. Studies show that a child's disabilities can produce enormous stress and emotional trauma for most parents, which, in some cases, may lead to the abuse and neglect of a child. Nearly 1 million children annually in this country are victims of abuse. Of that staggering number, it is estimated that nearly 20 percent of those children suffer from a physical, emotional or behavioral disability.

This program is the only one of its kind in Orange County serving this special needs population where parents can be directly linked to other critical resources for their child. FSN's one-stop-shop approach allows parents to take advantage of all the services FSN provides. Whether it be basic needs support, health care application assistance, or various support groups, FSN links these families with other vital program services.

The Parent to Parent Outreach Program is a crucial resource which many key County agencies to rely on. Referrals can come from school districts, hospital or school nurses, Regional Center of Orange County, Heath Care Agency, Social Services Agency and various other community resource centers who know about and understand this program and its importance in the community assisting these families. It's also important to note that all services are provided free of charge to families.

Types or Amounts of Service to be Offered

Parent mentors:

- provide one on one emotional support to 260 parents annually who have a newborn with special needs;
- conduct three (3) monthly support groups with an average attendance of eight families per group;
- provide information and referrals to 260 parents each year;
- provide assistance to 260 parents each year in accessing services for their child with special needs;
- assist 260 parents in navigating the service delivery system.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

Program Objectives/Outcomes:

1. FSN parent mentors will provide mentorship and emotional support to 260 parents in a 12-month period starting July 1, 2011.
2. FSN parent mentors will provide community referrals and resources to 195 parents in a 12-month period starting July 1, 2011. Mentors will help facilitate families in becoming more knowledgeable about community resources and programs available for their child with special needs. As a result, families will possess the necessary knowledge and tools to advocate effectively for their child.
3. FSN will conduct pre and post surveys on each family mentored through this program and capture that data into a database for review.

Family Support Network evaluates this program's impact through parent surveys conducted four months from the onset of services. Parents are surveyed to measure increased and decreased levels of knowledge, support, and feelings of isolation and stress. FSN maintains a detailed database of these families surveyed. This database, which FSN outsourced to a qualified outside agency to develop, establishes all the protocols necessary to effectively measure the success of this program. By providing these mentoring services and educating parents to programs and services available to them and their children, FSN has found through these surveys that the stress, anger and guilt found early in these cases decreases by over 92%. FSN's database tracks family responses so that parent mentors are able to tailor services to meet families' needs. FSN will provide this data to HFOC for review.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

The Parent to Parent Outreach Program is a program in which FSN's referring partners have become dependent on and one that is a priority for its Board to fund each and every year. This program is one of the toughest for FSN to fund and it has taken several funding hits over the last couple of years. In a funding era where many funders are looking for new and innovative programs, this tried and true program often gets overlooked. Although program funding is becoming tougher, FSN's goal is to continue offering this program at a high level.

With the development of its latest Strategic Plan (1st Qtr, 2011), FSN's board has realized the immediate need of diversifying its funding sources. With a budget growth of nearly 20% over the last three years, FSN can no longer rely on just grant funding to sustain its programs and has geared much of its energy into the private and corporate sector.

Family Support Network is a highly visible organization in the community and works with some of the County's largest providers which include Regional Center of Orange County, Kaiser Permanente, CalOptima, all Orange County school districts and more. The success of this program, and more than a dozen others, is the result of a wide-spread collaborative effort amongst FSN's many referring partners.

To keep up with growing trend of social networking, FSN plans to further promote its programs and achievements in the following new ways:

- FSN will continue to develop the capability of the Internet by utilizing networking tools such as Twitter and Facebook to solicit donations.
- FSN released its new website in the Spring of last year. This new site now addresses each program, program results and also has a page dedicated to sponsors, corporate donors and more.
- FSN is developing an e-mail newsletter that will be e-mailed to the community on a quarterly basis.

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): 12

Sources of Revenue for this Project

Funds requested from HFOC:	$15,000
Funds requested from other sources that will support this project:	$26,782
Total funds (add two lines above):	$41,782
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	$150	$184	$500
2. Salaries and wages	$11,220	$20,100	$54,600
3. Benefits	$1350	$2,412	$6,552
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[$0]
5. Communication & media (including website)	$150	$258	$700
6. Materials & office supplies	$135	$250	$650
7. Other expenses*	$1600	$2,871	$7,798
8. Leases/facility rental	$245	$442	$1,200
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$0]	[$0]
11. Travel and mileage	$150	$265	$750
12. Project evaluation/data collection	[$0]	[$0]	[$0]
13. Total Expenses	**$15,000**	**$26,782**	**$72,750**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

Total Budget Narrative

Total Project Budget - $72,750

Personnel:

Executive Director - $32 per hour, .05 hours per week (.02 FTE) - $3,328
Parent Mentor - $17 per hour, 58 hours per week (1.45 FTE) - $51,272
$54,600

Consultants and professionals fees - $500

Executive Director – The Executive Director will be responsible for assisting in the implementation and coordination of the Parent to Parent Program.

Parent Mentor – Parent mentors will provides parent support and emergency needs support to parents, provide information and referrals, conduct support groups, etc.

Benefits – factored at 12% of personnel expense.

Non-Personnel Expenses:

Communication and media – $58.33 x 12 months - $700

Materials/office supplies – $54.17 x 12 months - $650

Other Expenses - $649.83 x 12 months - $7798***

Lease - $100 x 12 months - $1200

Mileage - $62.50 x 12 months - $750

*** Legal and accounting, janitorial, utilities, insurance, indirects @ 9%

Demographics

Age Group

- ☒ 0 – 5 Early Childhood
- ☒ 6 – 11 Middle School
- ☒ 12 – 25 Youth/Young Adult
- ☒ 25 – 55 Adults
- ☒ 56+ Seniors

Geograhic Area

- ☒ Tustin
- ☒ Santa Ana
- ☒ Anaheim
- ☒ Orange
- ☒ Other

Ethnicity

- ☒ African American
- ☒ Asian/Pacific Islander
- ☒ Caucasian
- ☒ Hispanic/Latino/South & Central American
- ☒ Southeast Asian
- ☒ Other

Gender

- ☒ Male
- ☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

- ☒ Empower parents and caregivers with information and support, to assure family health
- ☒ Bring culturally relevant information and services into communities
- ☒ Remove access barriers to care
- ☒ Address community health priorities and delivery system gaps
- ☒ Assess the changing health needs of women, children and adolescents to develop effective health strategies

The HealthCare Foundation for Orange County

Grant Application

Thank you for downloading our grant application form. Grants are limited to applicants located in or having programs operating within the Foundation's primary service area of Santa Ana, Tustin, Orange and Anaheim, or which otherwise serve populations previously served by United Western Medical Center's (UWMC) hospitals. To qualify for a grant your organization must be a 501(c)(3) non-profit organization.

Funds for our annual grantmaking activities draw on the proceeds of the sale of United Western Medical Centers to Tenet, and are intended to continue the charitable legacy of those hospitals, and their nonprofit community partners, in central Orange County. In addition, a portion of our grantmaking comes from charitable remainder trusts, gifts and bequests of generous individuals and families, who want their charitable giving to improve the health of County residents. The Foundation seeks to support efforts of community-based organizations to foster better health of the population – particularly of children, adolescents and families – in Central Orange County. Additional information can be found in the current Request for Proposals (RFP), located on our website.

Directions

To apply for a grant, complete this Microsoft Word form, save it to your computer, and then print and verify your information. To move from field-to-field, use Tab and Shift-Tab.

When entering dollar amounts, use commas and prefix with a dollar sign (e.g., $0,000).

Once you are ready to submit your application to HFOC, return to our home page at www.hfoc.org and click APPLY FOR A GRANT. Fill out the online form, attach your document, and click the Submit button. Your application will be submitted to HFOC automatically.

Remember: Print and Verify Your Information before Submitting

If you have a question, please go to www.hfoc.org and choose Contact Us to send a question.

Sincerely,

The HealthCare Foundation for Orange County

HealthCare for Orange County Funds

The HealthCare Foundation for Orange County is entrusted with funds from a number of sources. Choose the fund that best suites your project. ***Please check only one.***

☒ Healthy Orange County – This fund is for community-based non-profit organizations or collaboratives addressing specific health priorities in Orange County.

☐ Gold Fund for Health – This fund is used to increase access to information, early detection and treatment services for breast and cervical cancer among underserved women in Orange County.

Organization Information

Organization Legal Name: Healthy Smiles For Kids of Orange County
Federal Tax ID Number: 614586928
Executive Director: Elizabeth Bear
Address: 10602 Chapman Avenue #200
City, State, Zip: Garden Grove, CA 92840
Telephone: (714) 537-0700 x7928
Fax: (714) 537-0733
E-mail: jstorey@healthysmilesoc.org
Website: http://www.healthysmilesoc.org
Parent Organization: N/A
Subsidiaries: N/A
Number of Board Members: 10
Full-time employees:
Part-time employees:
Contractors:
Number of Volunteers: 140
Fiscal Sponsor:
Number of locations: 3]
Primary County: Orange
Cities Served: ☒ Tustin ☒ Santa Ana
☒ Anaheim ☒ Orange
Other Cities: Brea, Placentia, Garden Grove, Huntington Beach, Stanton, Fullerton and Placentia.
Tax Status: ☒ 501(c)(3) and: ☐ 509(a)(1)
☒ 509(a)(2)
☐ 509(a)(3)
Annual Operating Budget: $ 3,800,000

Primary Organization's Mission:

The mission of Healthy Smiles For Kids is to reduce oral disease in children through programs aimed at prevention, education, treatment and advocacy. Healthy Smiles For Kids of Orange County (Healthy Smiles) is the leading provider of oral care – including school-based prevention, education and outreach – to underserved, mostly Latino children aged 0 to 17 years of age. In 2010, Healthy Smiles reached approximately 30,000 children, the majority of who are at 200% of the Federal Poverty Level and naturalized U.S. citizens.

Organizational Revenue & Expenses

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Revenue:	**Last Year**	**This Year**	**Next Year**
1. Foundations/corporate giving	[$352,066]	[$423,495]	$500,000
2. Government grants	[$2,299,157]	$1,354,371	$686,941
3. Individual contributions	[$28,323]	[$65,000]	[$50,000]
4. In-kind contributions	$0	$0	$10,000
5. Earned income	$1,189,509	$885,000	$850,000
6. Other	$9,850	$25,700	$30,000
7. Total Revenue	**[$3,878,905]**	**[$2,753,366]**	**[$2,126,941]**
Expenses:			
Personnel Expenses			
8. Consultants and professional fees	[$978,405]	[$980,000]	[$800,000]
9. Salaries and wages	[$1,913,752]	[$1,888,885]	[$1,450,000]
10. Benefits	[$269,872]	[$275,000]	[$200,000]
Non-personnel Expenses			
11. Capital equipment (computers, furniture, etc)	[$21,182]	[$10,000]	$25,000
12. Communication & media (including web)	[$800]	[$3,000]	[$5,000]
13. Materials & office supplies	[$6,709]	[$5,000]	[$5,000]
14. Other expenses*	[$138,692]	[$128,000]	[$75,000]
15. Leases/facility rental	[$221,714]	[$84,00]	[$65,000]
16. Subcontracts	[$]	[$0]	[$0]
17. Training, conferences, meetings	[$9,428]	[$8,000]	[$7,800]
18. Travel and mileage	[$0]	[$1,500]	[$1,500]
19. Project evaluation/data collection	[$2,000]	[$0]	[$2,000]
20. Total Expenses	**[$3,518,890]**	**[$3,383,385]**	**[$2,636,300]**

Proposal Information

☒ Existing Project ☐ New Project

Project Title:

Care Administration and Oral Health Education for Underserved Families

Project Summary:

The proposed project aims to improve the communication, administrative operations and educational counseling that take place at the main Healthy Smiles For Kids Garden Grove 'Smile Center' - a site that provides oral care and education to approximately 8,000 underserved children annually.

Project Lead or Primary Contact Information:

First name: Jeanette
Last name: Storey
Title: Director of Development
Phone: (714) 537-0700 x7928
Fax: (714) 537-0733
E-mail: jstorey@healthysmilesoc.org
Address: 10602 Chapman Avenue Suite 200
City: Garden Grove
State: CA
Zip Code: 92840

Project Description

Project Start Date: 07/01/2011
Project End Date: 06/30/2012

Proposed Approach

Due to recent economic challenges, Healthy Smiles For Kids is restructuring existing Client Services Associate and Oral Health Educator positions to encompass cross-training and improved client management for families. Our approach is to seek partial-funding for an existing, but combined "Client Services Associate/Educator" position that would afford a talented, bilingual staff member additional training in oral health education and care coordination toward improved customer service and process efficiency.

Needs Addressed

The Healthy Smiles For Kids Garden Grove 'Smile Center' provides care to over 8,000 children and parents annually through an eight-chair dental clinic and state-of-the-art 'Tooth Fairy Learning Center'. The majority of children served at 200% below the Federal Poverty Level, and are Latino (or from other underserved minority households) - families with significant levels of decay due to lack of knowledge and access to oral care (Oral Health America, 2001 U.S. Surgeon General). Children are referred from partner clinics or schools specifically for our specialty services, which include oral and general sedation - for children with special medical or behavioral needs, or who require extensive restorations to chew or realize nutrition from food.

Due to the high volume of specialty cases treated, our reception and education staff serve as critical 'first points of contact' for families. As such, our reception area is staffed with experienced and bilingual 'Client Services Associates' (CSA's) who work with families on payment, insurance options, scheduling and treatment plans. While families wait for their appointment, they are encouraged to visit the Tooth Fairy Learning Center, which is currently staffed with bilingual educators/counselors who work children and parents on understanding basic oral hygiene, health and nutrition. Through both of these areas of service, families receive both medical and dental information that help improve the long-term outcome of a child's treatment plan, and their overall wellness.

Due to recent and unexpected cuts to Proposition 10 (First Five) funding, Healthy Smiles will take drastic measures to cut its Fiscal Year 2012 operating budget to address shortages in Children and Families Commission grant support and Dentical reimbursements. Healthy Smiles has informed staff of the need to consolidate and cut positions in both the front reception area, and the Tooth Fairy Learning Center, however we can only cut so much before our clinic is unable to fulfill its mission (Prevention,Education,Treatment and Advocacy). Through grant support from the HealthCare Foundation For Orange County, Healthy Smiles will be able to sustain and consolidate an existing 'Client Services Associate' position in the front office with duties from an education position in the Tooth Fairy Learning Center. This individual will be trained on oral health education, hygiene and care coordination, but will also utilize patient in-take and insurance knowledge to help minimize the wait time for families.

Our significant budget cuts do not lessen the volume of patients we aim to treat at the Smile Clinic in the coming fiscal year; but in order to achieve this goal, we will need to secure critical salary support, improve efficiencies and cross-train talented staff. Through partial grant support toward an existing Client Service Associate's salary, we can help lessen the burden of increased job scope with additional training and assurance of position security – while also improving customer service to our many high-need families.

Types or Amounts of Service to be Offered

The types of direct treatment services offered by Healthy Smiles For Kids include full oral care, prevention and follow-up treatment with an emphasis on children requiring extensive oral restorations, or special needs/medically complex cases. Healthy Smiles offers a higher level of care (than other community dental clinics) due to its status as a 'satellite' for the USC Herman Ostrow School of Dentistry 'Advanced Pediatric Residency Program - a postdoctoral (DDS) training program for dentists who want to specialize in advanced pediatric cases.

The Client Services Associates work mostly in the front reception area, and coordinate scheduling, clarify insurance/payment information (including sliding fees) to families, maintain patient records and as of recently, coordinate billing with our interim Controller. One of the CSA positions will be expanded to encompass duties in the Tooth Fairy Learning Center, which include family counseling on oral health, hygiene and wellness, in addition to clarification on a child's treatment plan. The expanded CSA position will also work with children directly to utilize interactive resources within the Center, which include bilingual computer programs on oral health, hygiene sinks for practicing brushing and flossing, and a nutrition kitchen that helps children make better 'choices' in food and beverages.

Outcome Measures

Describe the intended impact of this project in terms of number of people helped, how they are helped, and how their change in condition will be measured.

The outcome measures utilized for this project will include the following:

a) The number of families served by the expanded Client Services Associate role, quantitatively measured by appointment volume, and dental notes that will be added by the CSA into a child's file. It is our goal that through this expanded role, the CSA will provide more contact with families in our waiting room on a wider scope of issues. As such, we will work with the CSA on recording the type of information provided to families through an easy, pre-populated paper form that will record both the quantity and level of interaction taking place with families throughout the day.

b) The substantive quality of counseling and education received by families will be assessed through periodic surveys provided to families (in English and Spanish). Surveys will be short, and will inquire as to the clarity of communication, information and help provided by the CSA, with the goal of improving position training and scope over time.

Project Sustainability

Will this project endure beyond the proposed funding period? If so, how, and what sources of funding will be utilized.

Healthy Smiles receives the majority of operational support through restricted grants and donations, fees for services through state dentical insurance, and funding from the Children and Families Commission of Orange County (Proposition 10 - "First Five"). During FY12, Healthy Smiles will continue to aggressively pursue grant opportunities, particularly unrestricted support from individuals and foundations, that will support the remaining balances in key organizational salaries.

Through FY2012, Healthy Smiles For Kids will continue to receive funding from the Children and Families Commission, though at a lower level. Previously, this funding was used to (partially) support all of our existing CSA positions; however due to cuts, we see the need to reduce and consolidate our CSA and Tooth Fairy Educator positions, and will cross-train remaining Associates with job functions (i.e. education, billing) from other departments throughout the organization. Through this operational 'reorganization,' Healthy Smiles will be able to sustain its core level of services to clients at a lower operational budget, while also improving clinic efficiency over the long-term.

Project Budget

Budget Summary

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Time period covered in months (must be less than 60): 12 months

Sources of Revenue for this Project

Funds requested from HFOC:	[$18,000]
Funds requested from other sources that will support this project:	[$18,500]
Total funds (add two lines above):	[$36,500]
Willing to accept partial funding:	☒

Note: Please use comma-formatting for all dollars figures (e.g., $1,234).

Personnel Expenses	**Funds from HFOC**	**Funds from Other Sources**	**Total**
1. Consultants and professional fees	[$0]	[$0]	[$0]
2. Salaries and wages	[$15,000]	[$15,000]	$30,000
3. Benefits	[$3,000]	[$3,000]	[$6,000]
Non-personnel Expenses			
4. Capital equipment (computers, furniture, etc)	[$0]	[$0]	[$0]
5. Communication & media (including website)	[$0]	[$0]	[$0]
6. Materials & office supplies	[$0]	[$500]	[$500]
7. Other expenses*	[$0]	[$0]	[$0]
8. Leases/facility rental	[$0]	[$0]	[$0]
9. Subcontracts	[$0]	[$0]	[$0]
10. Training, conferences, meetings	[$0]	[$0]	[$0]
11. Travel and mileage	[$0]	[$0]	[$0]
12. Project evaluation/data collection	[$0]	[$0]	[$0]
13. Total Expenses	**[$18,000]**	**[$18,500]**	**[$36,500]**

** Other expenses: please document other expenses in budget narrative.*

Budget Narrative

Our request to the Healthcare Foundation For Orange County includes salary support for .5 of a Full-Time Equivalent Client Services Associate with expanded duties in Education. The salary request includes half of the benefit costs to Healthy Smiles For Kids, which are estimated at 20% above base salary for each full-time employee.

Demographics

Age Group

- ☒ 0 – 5 Early Childhood
- ☒ 6 – 11 Middle School
- ☐ 12 – 25 Youth/Young Adult
- ☐ 25 – 55 Adults
- ☐ 56+ Seniors

Geograhic Area

- ☒ Tustin
- ☒ Santa Ana
- ☒ Anaheim
- ☒ Orange
- ☒ Other

Ethnicity

- ☒ African American
- ☒ Asian/Pacific Islander
- ☒ Caucasian
- ☒ Hispanic/Latino/South & Central American
- ☒ Southeast Asian
- ☐ Other

Gender

- ☒ Male
- ☒ Female

HFOC Objective Areas

Please check each item this project seeks to address:

- ☒ Empower parents and caregivers with information and support, to assure family health
- ☒ Bring culturally relevant information and services into communities
- ☒ Remove access barriers to care
- ☒ Address community health priorities and delivery system gaps
- ☐ Assess the changing health needs of women, children and adolescents to develop effective health strategies

Form **8868**
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

Appl[illegible]tion for Extension of Time T[illegible]File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. THE HEALTHCARE FOUNDATION FOR ORANGE COUNTY	Employer identification number (EIN) or ☒ 33-0644620
	Number, street, and room or suite no. If a P.O. box, see instructions. 1450 N. TUSTIN AVENUE, NO. 209	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SANTA ANA, CA 92705	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ KRAMER & OLSEN ACCOUNTANCY CORP SANTA ANA, CALIFORNIA - 92705

  Telephone No ▶ (714) 558-3236 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ . If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 15, 2012 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year ______ or

▶ ☒ tax year beginning APR 1, 2011 , and ending MAR 31, 2012 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$	26,740.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$	10,240.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**3c**	$	16,500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.** Form **8868** (Rev. 1-2012)

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01-04-12