Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

**For calendar year 2011 or tax year beginning** 04/01 **, 2011, and ending** 03/31, **20**12

Name of foundation: THE 25TH CENTURY FOUNDATION

**A** Employer identification number: 31-1738216

Number and street (or P O box number if mail is not delivered to street address): 1525 W W.T. HARRIS BLVD. D1114-044

Room/suite:

**B** Telephone number (see instructions): (215) 973-3143

City or town, state, and ZIP code: CHARLOTTE, NC 28288

**C** If exemption application is pending, check here ▶ ☐

**D** 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 15,265,535.

**J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc, received (attach schedule) | 5,000,000. | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 456,435. | 454,912. | | STMT 1 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 102,231. | | | |
| | b | Gross sales price for all assets on line 6a 1,521,677. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 102,231. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10 a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 5,558,666. | 557,143. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 43,576. | 32,682. | | 10,894. |
| | 14 | Other employee salaries and wages | | NONE | NONE | |
| | 15 | Pension plans, employee benefits | | NONE | NONE | |
| | 16a | Legal fees (attach schedule) STMT 7 | 33,520. | NONE | NONE | 33,520. |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) STMT 8 | 13,924. | 13,924. | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) STMT 9 | 15,019. | 10,053. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | NONE | NONE | |
| | 22 | Printing and publications | | NONE | NONE | |
| | 23 | Other expenses (attach schedule) STMT 10 | 1,257. | 767. | | 490. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 107,296. | 57,426. | NONE | 44,904. |
| | 25 | Contributions, gifts, grants paid | 710,000. | | | 710,000. |
| | 26 | **Total expenses and disbursements** Add lines 24 and 25 | 817,296. | 57,426. | NONE | 754,904. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 4,741,370. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 499,717. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

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**For Paperwork Reduction Act Notice, see instructions.**

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Form **990-PF** (2011)

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 333. | 317. | 317. |
| | 2 | Savings and temporary cash investments | 664,419. | 888,671. | 888,671. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ NONE | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| | b | Investments - corporate stock (attach schedule) STMT 11 | 1,303,513. | 1,210,783. | 1,621,788. |
| | c | Investments - corporate bonds (attach schedule) | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) STMT 15 | 7,691,370. | 12,298,686. | 12,754,759. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 9,659,635. | 14,398,457. | 15,265,535. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | 9,659,635. | 14,398,457. | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 9,659,635. | 14,398,457. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 9,659,635. | 14,398,457. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 9,659,635. |
| 2 | Enter amount from Part I, line 27a | 2 | 4,741,370. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 19 | 3 | 10,465. |
| 4 | Add lines 1, 2, and 3 | 4 | 14,411,470. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 20 | 5 | 13,013. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 14,398,457. |

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## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr) | (d) Date sold (mo., day, yr) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,394,047. | | 1,419,446. | -25,399. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -25,399. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 102,231. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 252,241. | 9,813,306. | 0.025704 |
| 2009 | 367,807. | 8,971,729. | 0.040996 |
| 2008 | 524,691. | 8,889,608. | 0.059023 |
| 2007 | 451,446. | 7,808,757. | 0.057813 |
| 2006 | 386,705. | 6,134,265. | 0.063040 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.246576 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.049315 |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | 4 | 14,164,788. |
| 5 | Multiply line 4 by line 3 | 5 | 698,537. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 4,997. |
| 7 | Add lines 5 and 6 | 7 | 703,534. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 754,904. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . .<br>Date of ruling or determination letter ________________ **(attach copy of letter if necessary - see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b . . . . . . . . . . . . . . . . . . . . . . . . | | | 1 | 4,997. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 2 | |
| 3 | Add lines 1 and 2 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | | | 3 | 4,997. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . | | | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- . . . . . . . . . . . . . . | | | 5 | 4,997. |
| 6 | Credits/Payments· | | | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . | 6a | 3,237. | | |
| b | Exempt foreign organizations - tax withheld at source . . . . . . . . . . . . . | 6b | NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) . . . . . . . | 6c | NONE | | |
| d | Backup withholding erroneously withheld . . . . . . . . . . . . . . . . . | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d . . . . . . . . . . . . . . . . . . . . . . . . . | | | 7 | 3,237. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached . . . . . . . | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . . . . . . . . . . . . . ▶ | | | 9 | 1,760. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . . . . . . . ▶ | | | 10 | |
| 11 | Enter the amount of line 10 to be· **Credited to 2012 estimated tax** ▶ **Refunded** ▶ | | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . <br>*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation ▶$ ____________ (2) On foundation managers ▶ $ ____________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . . . . . . . . . <br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* . . . . . . . . . . . | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? . . . . . . . . . . . . . . | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . . . . . . . . . . . <br>*If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either·<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>PA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* . . . . . . . . . . . . . | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . STMT 21 . . . . . . . | 10 | X | |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | |
|---|---|---|---|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ Wells Fargo Bank NA Telephone no ▶ (215)973-3143

Located at ▶ 1500 MARKET ST Y1367-200, PHILADELPHIA, PA ZIP + 4 ▶ 19102-2100

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | Yes | No |
|---|---|---|---|
| 16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Yes | No |
|---|---|---|---|
| 1a During the year did the foundation (either directly or indirectly): | | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| (6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | 1c | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No | | | |
| If "Yes," list the years ▶ , , , | | | |
| b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | X |
| c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ , , , | | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011 )* | 3b | | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | Yes | No |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . . . ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . . . ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . . . ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . . . | **5b** | | |
| Organizations relying on a current notice regarding disaster assistance check here . . . . . . ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . . ☐ Yes ☐ No<br>*If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . .<br>*If "Yes" to 6b, file Form 8870.* | **6b** | | X |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . . . | **7b** | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 22 | | 43,576. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 . . . . . . . . . . ▶ NONE

Form **990-PF** (2011)

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . . . . . . . . ▶ | | NONE |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 12,851,100. |
| b | Average of monthly cash balances | 1b | 1,529,395. |
| c | Fair market value of all other assets (see instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 14,380,495. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) **1e** | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 14,380,495. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 215,707. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 14,164,788. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 708,239. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 708,239. |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | 4,997. | | |
| b | Income tax for 2011. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 4,997. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 703,242. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 703,242. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 703,242. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 754,904. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 754,904. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 4,997. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 749,907. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2011 from Part XI, line 7 | | | | | 703,242. |
| 2 | Undistributed income, if any, as of the end of 2011 | | | | | |
| a | Enter amount for 2010 only | | | | NONE | |
| b | Total for prior years 20 09 ,20____,20____ | | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2011: | | | | | |
| a | From 2006 | NONE | | | | |
| b | From 2007 | NONE | | | | |
| c | From 2008 | 42,163. | | | | |
| d | From 2009 | NONE | | | | |
| e | From 2010 | NONE | | | | |
| f | **Total** of lines 3a through e | | 42,163. | | | |
| 4 | Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 754,904. | | | | | |
| a | Applied to 2010, but not more than line 2a | | | | NONE | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | | NONE | | | |
| d | Applied to 2011 distributable amount | | | | | 703,242. |
| e | Remaining amount distributed out of corpus | | 51,662. | | | |
| 5 | Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 93,825. | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | NONE | | |
| d | Subtract line 6c from line 6b. Taxable amount - see instructions | | | NONE | | |
| e | Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount - see instructions | | | | NONE | |
| f | Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 | | | | | NONE |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | NONE | | | |
| 8 | Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) | | NONE | | | |
| 9 | **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a | | 93,825. | | | |
| 10 | Analysis of line 9. | | | | | |
| a | Excess from 2007 | NONE | | | | |
| b | Excess from 2008 | 42,163. | | | | |
| c | Excess from 2009 | NONE | | | | |
| d | Excess from 2010 | NONE | | | | |
| e | Excess from 2011 | 51,662. | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| b 85% of line 2a . . . . . | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . . | | | | | |
| b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE STATEMENT 29 | | | | |
| **Total** ▶ **3a** | | | | 710,000. |
| **b** *Approved for future payment* | | | | |
| **Total** ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 456,435. | |
| 5 Net rental income or (loss) from real estate. | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 102,231. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 558,666. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 558,666.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |
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2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 07/30/2012 | Title TREASURER

WELLS FARGO, N.A.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| CYNTHIA PETERS | | 07/30/2012 | | P00116953 |

Firm's name ▶ WELLS FARGO BANK, N.A. | Firm's EIN ▶ 94-3080771

Firm's address ▶ 1525 W W.T. HARRIS BLVD. D1114-044
CHARLOTTE, NC 28288 | Phone no 704-427-6882

JSA
1E1493 2 000

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

**2011**

**Name of the organization**

THE 25TH CENTURY FOUNDATION

**Employer identification number**

31-1738216

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

| Name of organization | Employer identification number |
|---|---|
| THE 25TH CENTURY FOUNDATION | 31-1738216 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | J. MAHLON BUCK, JR. REVOCABLE TRUST<br>1525 W WT HARRIS BLVD, D1114-044<br>CHARLOTTE, NC 28288 | $ 5,000,000. | Person ☒<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---:|---:|
| AFLAC INC COM | 99. | 99. |
| ABERDEEN EMERG MARKETS-INST #5840 | 5,414. | 5,414. |
| ADMIRAL GROUP PLC - UNSPON ADR | 468. | 468. |
| ACADIAN EMERGING MARKETS PTF-1 #1260 | 7,961. | 7,961. |
| AIR LIQUIDE ADR FRANCE ADR | 733. | 733. |
| AIR PRODS & CHEMICALS INC COM W/ RTS TO | 812. | 812. |
| ALLEGHENY TECHNOLOGIES INC COM | 513. | 513. |
| ALLERGAN INC COM W/RIGHTS ATTACHED EXP 2 | 94. | 94. |
| ALLIANZ AG-ADR COM | 999. | 999. |
| ALLSTATE CORP COM W/RIGHTS ATTACHED EXP | 420. | 420. |
| AMERICA MOVIL S A DE C V SPONSORED ADR R | 206. | 206. |
| AMERICAN TOWER CORP CL A | 158. | 158. |
| AON CORP COM | 60. | 60. |
| ARM HLDGS PLC SPONSORED ADR | 144. | 144. |
| ATLAS COPCO AB ADR | 793. | 793. |
| AVON PRODS INC COM W/RTS ATTACHED | 345. | 345. |
| BB&T CORP COM | 510. | 510. |
| BG GROUP PLC- SPON ADR ISIN US0554342032 | 201. | 201. |
| BANCO SANTANDER CENT HISPANO SA ADR | 5. | 5. |
| BANK NEW YORK MELLON CORP COM | 26. | 26. |
| BARRICK GOLD CORP COM | 309. | 309. |
| BEST BUY INC COM | 69. | 69. |
| BOEING CO COM | 170. | 170. |
| BRISTOL-MYERS SQUIBB CO COM | 733. | 733. |
| BROADCOM CORP COM | 223. | 70. |
| CAE INC COM | 36. | 36. |
| CSL LIMITED - ADR | 217. | 217. |
| CAMECO CORP COM | 93. | 93. |
| CANADIAN NATL RY CO COM | 313. | 313. |
| CANADIAN NAT RES LTD COM CN$ | 51. | 51. |
| CANON INC ADR REPSTG 5 SHS | 719. | 719. |
| CARNIVAL CORP PAIRED CTF 1 COM | 697. | 697. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| CATERPILLAR INC COM W/RTS EXP 12/11/2006 | 138. | 138. |
| CENOVUS ENERGY INC | 742. | 742. |
| CHEVRONTEXACO CORP COM | 668. | 668. |
| CHINA RESOURCES ENTER-SP ADR | 149. | 149. |
| CISCO SYS INC COM W/RIGHTS ATTACHED EXPI | 123. | 123. |
| COACH INC COM W/RTS ATTACHED EXP 5/2/201 | 165. | 165. |
| COCHLEAR LTD-UNSPON ADR | 490. | 490. |
| COLGATE-PALMOLIVE CO COM | 290. | 290. |
| COMCAST CORP NEW CL A | 720. | 720. |
| COSTCO WHOLESALE CORP COM | 36. | 36. |
| DBS GRP HLDGS SPONSORED ADR | 435. | 435. |
| DANAHER CORP COM | 54. | 54. |
| DASSAULT SYSTEMES S.A. SPA ADR | 290. | 290. |
| DISNEY (WALT) COMPANY HOLDING COMPANY CO | 300. | 300. |
| EOG RES INC COM | 112. | 112. |
| EATON VANCE GLOBAL MACRO - I #0088 | 22,627. | 21,673. |
| EATON CORP COM W/RTS EXP 07/12/2005 | 190. | 190. |
| ECOLAB INC COM | 199. | 199. |
| EDISON INTL COM | 257. | 257. |
| EMERSON ELEC CO COM W/RTS ATTACHED EXP 1 | 914. | 914. |
| ENCANA CORP COM | 544. | 544. |
| ENSCO INTERNATIONAL PLC ADR | 56. | 56. |
| ERSTE BANK DER OESTERREICHISCHEN SPARKAS | 489. | 489. |
| EXXON MOBIL CORP COM | 282. | 282. |
| FANUC CORPORATION ADR | 436. | 436. |
| FEDEX CORP COM | 98. | 98. |
| FLUOR CORP NEW COM | 20. | 20. |
| FRESENIUS MED CARE AKTIENGESELLSCHAFT SP | 204. | 204. |
| GAZPROM O A O SPONSORED ADR | 150. | 150. |
| GENERAL ELEC CO COM | 589. | 589. |
| GENERAL MILLS INC COM W/RTS EXP 02/01/20 | 444. | 444. |
| GOLDMAN SACHS GRP INC COM | 305. | 305. |
| HSBC HLDGS PLC SPONSORED ADR NEW | 382. | 382. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| HALLIBURTON CO COM W/RIGHTS EXP 12/15/20 | 135. | 135. |
| HARBOR FD INTL FD | 22,794. | 22,794. |
| HENRY JACK & ASSOC INC COM | 303. | 303. |
| HEWLETT-PACKARD CO COM | 30. | 30. |
| HOME DEPOT INC COM | 406. | 406. |
| HOYA CORP ADR | 570. | 570. |
| ING INTERNATIONAL REAL EST-I #2664 | 5,225. | 5,225. |
| ICICI BK LTD SPON ADR SPONSORED ADR | 278. | 278. |
| ILLINOIS TOOL WORKS INC COM | 620. | 620. |
| IMPERIAL OIL LTD COM NEW | 149. | 149. |
| INTL BUSINESS MACHINES CORP COM | 900. | 900. |
| IRON MTN INC PA COM | 708. | 708. |
| ISRAEL CHEMICALS LIMITED - ADR | 169. | 169. |
| ITAU UNIBANCO BANCO HOLDING S.A. ADR | 425. | 425. |
| IVY ASSET STRATEGY FUND CLASS I #473 | 10,246. | 10,246. |
| JP MORGAN CHASE & CO COM | 950. | 950. |
| JP MORGAN ALERIAN MLP INDEX EXCHANGE TRA | 20,234. | 20,234. |
| JABIL CIRCUIT INC COM | 24. | 24. |
| JUPITER TELECOM-UNSPON ADR | 214. | 214. |
| KLA TENCOR CORP COM RTS EXP 04/25/2006 | 455. | 455. |
| KRAFT FOODS INC-A COM | 232. | 232. |
| LI & FUNG LTD ADR | 396. | 396. |
| L'OREAL - ADR | 376. | 376. |
| LUMH MOET HENNESSY LOUIS VUITTON | 210. | 210. |
| LONZA GROUP AG ADR | 203. | 203. |
| LOWES COS INC COM W/RIGHTS ATTACHED EXPI | 475. | 475. |
| MARSH & MCLENNAN COS INC COM | 296. | 296. |
| MAXIM INTEGRATED PRODS INC COM | 755. | 755. |
| MCDONALDS CORP COM | 61. | 61. |
| MERCK & CO INC NEW | 257. | 257. |
| MICROSOFT CORP COM | 864. | 864. |
| MICROCHIP TECHNOLOGY INC COM W/RTS ATTAC | 556. | 140. |
| MONSANTO CO NEW COM | 436. | 436. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---:|---:|
| MTN GROUP LTD ADR | 816. | 816. |
| NATIONAL GRID PLC ADR | 300. | 300. |
| NATL INSTRS CORP COM | 90. | 90. |
| NESTLE S A SPONSORED ADR REPSTG REG SH | 1,591. | 1,591. |
| NIKE INC CL B COM | 65. | 65. |
| NINTENDO LTD ADR | 192. | 192. |
| NOBLE ENERGY INC COM | 189. | 189. |
| NORDSTROM INC COM | 35. | 35. |
| NORFOLK SOUTHN CORP COM W/RIGHTS ATTACHE | 346. | 346. |
| NOVO-NORDIST A S ADR | 323. | 323. |
| NUCOR CORP COM W/RIGHTS ATTACHED EXP 3/8 | 381. | 381. |
| OMNICOM GRP INC COM | 125. | 125. |
| ORACLE CORP COM | 168. | 168. |
| PG&E CORP COM W/RIGHTS ATTACHED EXP 12/2 | 410. | 410. |
| PIMCO FDS PAC INVT MGMT SER TOTAL RETURN | 40,231. | 40,231. |
| PEPSICO INC COM | 489. | 489. |
| PETROLEO BRASILEIRO SA PETROBRAS SPONSOR | 668. | 668. |
| PFIZER INC COM W/RTS ATTACHED EXP 10/15/ | 410. | 410. |
| PHILIP MORRIS INTERNATIONAL IN | 564. | 564. |
| PIMCO FOREIGN BOND (UNHEDGED) #1853 | 38,500. | 38,500. |
| PIMCO COMMODITY REAL RET STRAT-I#45 | 140,829. | 140,829. |
| PROCTER & GAMBLE CO COM | 105. | 105. |
| PROGRESSIVE CORP OHIO COM | 244. | 244. |
| QUALCOMM INC COM W/RTS ATTACHED | 392. | 392. |
| REPUBLIC SVCS INC COM | 420. | 420. |
| RIO TINTO PLC SPONSORED ADR | 107. | 107. |
| ROCHE HLDG LTD SPONSORED ADR | 764. | 764. |
| ROWE T PRICE EQUITY INCOME FD SH BEN INT | 9,338. | 9,338. |
| ROYAL DUTCH SHELL PLC SPONSORED | 2,310. | 2,310. |
| ROYCE PREMIER FUND W CLASS #566 | 1,075. | 1,075. |
| SAP AG SPONSORED ADR | 456. | 456. |
| SASOL LTD (SOUTH AFRICA) SPONSORED ADR | 284. | 284. |
| SCHLUMBERGER LTD COM | 831. | 831. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| SCHNEIDER ELECTRIC SA - ADR | 627. | 627. |
| SCHWAB CHARLES CORP NEW COM | 360. | 360. |
| SCRIPPS NETWORKS INTERACTIVE | 106. | 106. |
| SHIRE PHARMACEUTICALS GR SPONSORED ADR | 180. | 180. |
| SONOVA HOLDIN-UNSPON ADR | 118. | 118. |
| SPECTRA ENERGY CORP | 160. | 160. |
| STANLEY BLACK & DECKER,INC. | 381. | 381. |
| STRAYER ED INC COM | 40. | 40. |
| SWATCH GROUP AG/THE-UNSP ADR | 188. | 188. |
| TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORE | 343. | 343. |
| TARGET CORP COM | 761. | 761. |
| TEMPLETON GLOBAL BOND FD-ADV #616 | 62,366. | 62,366. |
| TESCO PLC SPONSORED ADR | 302. | 302. |
| TEVA PHARMACEUTICAL INDS LTD ADR | 107. | 107. |
| 3M CO COM | 649. | 649. |
| TIFFANY & CO NEW COM W/RIGHTS ATTACHED E | 420. | 420. |
| TIME WARNER CABLE INC | 180. | 180. |
| TURKIYE GARANTI BANKSASI-ADR | 278. | 278. |
| UNICHARM CORP-UNSP ADR | 160. | 160. |
| UNILEVER PLC SPONSORED ADR NEW | 316. | 316. |
| UNION PAC CORP COM | 370. | 370. |
| UNITED PARCEL SERVICE CL B COM | 266. | 266. |
| UNITED TECHNOLOGIES CORP COM | 744. | 744. |
| UNITEDHEALTH GRP INC COM | 244. | 244. |
| USINAS SIDERURGICAS DE MINAS GER ADR | 20. | 20. |
| VANGUARD SHORT-TERM CORPORATE FD | 11,020. | 11,020. |
| VERIZON COMMUNICATIONS COM | 198. | 198. |
| VISA INC-CLASS A SHRS | 121. | 121. |
| VIVENDI ADR | 3. | 3. |
| VULCAN MATERIALS CO COM W/RIGHTS ATTACHE | 138. | 138. |
| WPP GRP PLC AMER DEPOSITARY SH - ADR | 561. | 561. |
| CRM MIDCP VAL INST | 3,328. | 3,328. |
| WAL-MART DE MEXICO S A DE C V SPONSORED | 265. | 265. |

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|---|
| ACCENTURE PLC | | 51. | 51. |
| BUNGE LIMITED COM | | 153. | 153. |
| SIGNET JEWELERS LIMITED | | 50. | 50. |
| ACE LIMITED | | 146. | 146. |
| TE CONNECTIVITY LTD | | 270. | 270. |
| FEDERATED TREAS OBL FD 68 | | 82. | 82. |
| FEDERATED PRIME OBLIGATION #10 | | 874. | 874. |
| | TOTAL | 456,435. | 454,912. |

## FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES - PRINCIPAL (ALLOCA | 33,520. | | | 33,520. |
| TOTALS | 33,520. | NONE | NONE | 33,520. |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| INVESTMENT MGMT FEES | 13,924. | 13,924. |
| TOTALS | 13,924. | 13,924. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 3,791. | 3,791. |
| FEDERAL TAX PAYMENT - PRIOR YE | 1,729. | |
| FEDERAL ESTIMATES - PRINCIPAL | 3,237. | |
| FOREIGN TAXES ON QUALIFIED FOR | 4,846. | 4,846. |
| FOREIGN TAXES ON NONQUALIFIED | 1,416. | 1,416. |
| TOTALS | 15,019. | 10,053. |

## FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| ADR FEES | 767. | 767. | |
| DIRECTORS/OFFICERS LIABILITY P | 490. | | 490. |
| TOTALS | 1,257. | 767. | 490. |

THE 25TH CENTURY FOUNDATION 31-1738216

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 086516101 BEST BUY INC | | |
| 189754104 COACH INC | 8,107. | 15,456. |
| 20030N101 COMCAST CORP | 30,244. | 48,016. |
| 25470F104 DISCOVERY COMMUNICAT | 2,133. | 5,060. |
| 26153C103 DREAMWORKS ANIMATION | | |
| 548661107 LOWES COS | | |
| 580135101 MCDONALD CORP | | |
| 650111107 NEW YORK TIMES CO | | |
| 654106103 NIKE INC CL | 6,189. | 8,133. |
| 655664100 NORDSTROM INC | | |
| 681919106 OMNICOM GROUP | | |
| 811065101 SCRIPPS NETWORKS INT | 11,058. | 14,607. |
| 854502101 STANLEY BLACK & DECK | 18,434. | 25,397. |
| 863236105 STRAYER ED INC | | |
| 87612E106 TARGET CORP | 26,111. | 30,592. |
| 886547108 TIFFANY & CO NEW | 15,892. | 25,924. |
| 88732J207 TIME WARNER CABLE | 4,928. | 7,335. |
| 917047102 URBAN OUTFITTERS | | |
| 254687106 WALT DISNEY | 10,142. | 17,512. |
| 013078100 ALBERTO-CULVER CO | | |
| 194162103 COLGATE PALMOLIVE CO | 7,894. | 9,778. |
| 22160K105 COSTCO WHOLESALE COR | | |
| 29266R108 ENERGIZER HOLDINGS I | | |
| 370334104 GENERAL MILLS INC | | |
| 50075N104 KRAFT FOODS INC | | |
| 713448108 PEPSICO INC | 7,964. | 8,294. |
| 718172109 PHILIP MORRIS INTERN | 14,225. | 22,153. |
| 742718109 PROCTER & GAMBLE CO | | |
| 166764100 CHEVRON CORP | 21,792. | 25,730. |

## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 26875P101 EOG RESOURCES, INC | 11,801. | 19,443. |
| 30231G102 EXXON MOBIL CORP | 13,110. | 13,010. |
| 406216101 HALLIBURTON CO | 17,594. | 19,914. |
| 565849106 MARATHON OIL CORP | | |
| 655044105 NOBLE ENERGY INC | 16,002. | 22,001. |
| 847560109 SPECTRA ENERGY CORP | | |
| 020002101 ALLSTATE CORP | 6,217. | 6,584. |
| 037389103 AON CORP | 3,977. | 4,906. |
| 054937107 BB &T CORP COM | 24,141. | 30,605. |
| 064058100 B ANK NEW YORK MELLO | | |
| 38141G104 GOLDMAN SACHS GROUP | 24,897. | 31,093. |
| 46625H100 JPMORGAN CHASE & CO | 35,781. | 43,681. |
| 571748102 MARSH & MCLENNAN COS | | |
| 743315103 PROGRESSIVE CORP OHI | 9,252. | 13,908. |
| 808513105 SCHWAB CHARLES CORP | 12,592. | 14,370. |
| 097023105 BOEING CO | 4,807. | 7,437. |
| 235851102 DANAHER CORP | 21,990. | 33,600. |
| 291011104 EMERSON ELECTRIC CO | 26,416. | 28,699. |
| 31428X106 FEDEX CORP | 7,323. | 11,955. |
| 336433107 FIRST SOLAR INC | 8,645. | 3,006. |
| 343412102 FLUOR CORP NEW | | |
| 369604103 GENERAL ELECTRIC | | |
| 452308109 ILLINOIS TOOL WORKS | | |
| 462846106 IRON MOUNTAIN INC | 22,866. | 25,200. |
| 469814107 JACOBS ENGR GROUP | | |
| 655844108 NORFOLK SOUTHERN COR | 16,222. | 19,749. |
| 760759100 REPUBLIC SERVICES IN | 17,610. | 18,336. |
| 9078181808 UNION PACIFIC CORP | 7,419. | 13,435. |
| 911312106 UNITED PARCEL SERVIC | 9,153. | 10,090. |

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 913017109 UNITED TECHNOLOGIES | 32,903. | 35,250. |
| 88579Y101 3M CO | 21,389. | 23,641. |
| 00846U101 AGILENT TECHONOLOGIE | 2,961. | 4,451. |
| 037833100 APPLE INC | 7,791. | 38,971. |
| 111320107 BROADCOM CORP | 18,436. | 27,510. |
| 111621306 BROCADE COMMUNICATIO | | |
| 17275R102 CISCO SSYTEMS INC | | |
| 278642103 EBAY INC | 3,697. | 9,225. |
| 38259P508 GOOGLE INC | 19,443. | 41,681. |
| 428236103 HEWLETT PACKARD | | |
| 459200101 IBM CORP | 33,866. | 62,595. |
| 48203R104 JUNIPER NETWORKS | | |
| 482480100 KLA - TENCOR CORP | 12,172. | 19,047. |
| 543524300 LOOPNET INC | | |
| 577772K101 MAXIM INTEGRATED | 15,982. | 18,854. |
| 595017104 MICROCHIP TECHNOLOGY | 12,828. | 14,880. |
| 594918104 MICROSOFT CORP | 30,639. | 38,706. |
| 636518102 NATIONAL INSTRS CORP | | |
| 68389X105 ORACLE CORP | 24,065. | 26,244. |
| 73172K104 POLYCOM INC | | |
| 747525103 QUALCOMM INC | 17,929. | 28,926. |
| 92826C839 VISA INC-CLASS | 5,047. | 8,850. |
| 009158106 AIR PRODS & CHEMS | 32,844. | 32,130. |
| 01741R102 ALLEGHENY TECHNOLOGI | 24,885. | 30,878. |
| 278865100 ECOLAB INC | 8,988. | 16,973. |
| 61166W101 MONSANTO CO NEW | 21,566. | 31,904. |
| 670346105 NUCOR CORP | 8,202. | 10,738. |
| 888339207 TITANIUM METALS CORP | | |
| 929160109 VULCAN MATLS CO | | |

## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| 029912201 AMERICAN TOWER SYSTE | | |
| 92343V104 VERIZON COMMUNIATION | | |
| 030420103 AMERICAN WATER WORKS | | |
| 281020107 EDISON INTL COM | 6,518. | 8,502. |
| 69331C108 PG&G CORP | 15,681. | 14,976. |
| 018490102 ALLERGAN INC | 26,986. | 44,852. |
| 110122108 BRISTOL MYERS SQUIBB | 32,361. | 37,125. |
| 156782104 CERNER CORP | 9,414. | 34,272. |
| 23918K108 DAVITA INC | 4,769. | 9,017. |
| 58933Y105 MERCK & CO INC | | |
| 91324P102 UNITEDHEALTH GROUP | 8,313. | 17,682. |
| 437076102 HOME DEPOT | 23,455. | 35,217. |
| 459902102 INTERNATIONAL GAME T | 3,504. | 3,358. |
| 19075F106 COBALT INTERNATIONAL | 6,039. | 6,006. |
| 262037104 DRIL-QUIP INC | 10,840. | 11,379. |
| 001055102 AFLAC INC | 10,256. | 11,498. |
| 09247X101 BLACKROCK INC | 14,618. | 15,368. |
| 302182100 EXPRESS SCRIPT | 20,134. | 24,381. |
| 375558103 GILEAD SCIENCES INC | 31,559. | 31,759. |
| 717081103 PFIZER INC | 10,268. | 11,323. |
| 812578102 SEATTLE GENETICS INC | 20,552. | 21,399. |
| 149123101 CATERPILLAR INC | 13,740. | 15,978. |
| 278058102 EATON CORP | 29,973. | 29,898. |
| 426281101 HENRY JACK & ASSOC I | 28,620. | 29,002. |
| 466313103 JABIL CIRCUIT INC CO | 7,462. | 7,536. |
| 57772K101 MAXIM INTEGRATED PRO | 11,194. | 17,869. |
| 260543103 DOW CHEMICAL CO | 5,966. | 6,928. |
| TOTALS | 1,210,783. | 1,621,788. |

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 693390700 PIMCO TOTAL RETURN | C | 1,011,871. | 1,040,279. |
| 922031836 VANGUARD SHORT-TERM | C | 405,257. | 413,173. |
| 722005220 PIMCO FOREIGN BOND | C | 817,376. | 815,091. |
| 880208400 TEMPLETON GLOBAL BON | C | 950,000. | 966,518. |
| 05964H105 BANCO SANTANDER CEN | C | | |
| 92934R769 CRM MID CAP | C | 465,277. | 493,904. |
| 277923751 EATON VANCE STRUCTUR | C | | |
| 0075W0759 EDGEWOOD GROWTHER | C | 314,320. | 381,197. |
| 483438206 KALMAR GROWTH WITH | C | 379,373. | 481,061. |
| 780905451 ROYCE PREMIER FUND | C | 269,640. | 326,525. |
| 779547108 T ROWE PRICE EQUITY | C | 454,272. | 511,007. |
| 779556109 T ROWE PRICE MID CAP | C | 555,636. | 659,525. |
| 003021714 ABERDEEN EMERGING MK | C | 380,000. | 458,843. |
| 00758M162 ACADIAN EMERGING MKT | C | 482,515. | 438,522. |
| 411511306 HARBOR INTERNATIONAL | C | 1,025,000. | 998,574. |
| 44980Q518 ING INTERNATIONAL RE | C | 228,000. | 248,255. |
| 46625H365 JPMORGAN ALERIAN MLP | C | 451,082. | 473,594. |
| 722005667 PIMCO COMMODITY REAL | C | 720,000. | 548,366. |
| 465562106 ITAU UNIBANCO BANCO | C | 12,842. | 12,896. |
| 009126202 AIR LIQUIDE | C | 36,539. | 37,446. |
| H01301102 ALCON INC | C | | |
| 018805101 ALLIANZ | C | 24,185. | 22,945. |
| 007192107 AMDIRAL GROUP PLC | C | | |
| 02364W105 AMERICA MOVIL S A B | C | 23,973. | 19,914. |
| 042068106 ARM HOLDINGS PLC | C | 18,762. | 30,949. |
| 049255706 ATLAS COPCO | C | 13,018. | 17,316. |
| 055434203 BG GROUP PLC | C | 22,644. | 23,739. |
| G16962105 BUNGE LIMITED | C | 15,101. | 11,361. |
| 136375102 CANADIAN NATL RR | C | 15,781. | 17,236. |

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 138006309 CANON INC | C | 21,707. | 22,448. |
| 143658300 CARNIVAL CORP | C | 7,298. | 6,801. |
| 16940R109 CHINA RESOURCES ENTE | C | 9,876. | 9,512. |
| 191459205 COCHLEAR LIMITED | C | 14,014. | 13,029. |
| 12637N105 CSL LIMITED | C | 7,367. | 9,839. |
| 237545108 DASSAULT SYSTEMES | C | 18,802. | 29,853. |
| 23304Y100 DBS GROUP HOLDINGS | C | 14,933. | 15,428. |
| 292505104 ENCANA CORP | C | 9,753. | 9,825. |
| 296036304 ERSTE GROUP BANK | C | 37,181. | 19,755. |
| 307305102 FANUC LTD | C | 16,786. | 31,848. |
| 358029106 FRESENIUS MEDICAL | C | 22,727. | 26,988. |
| 443251103 HOYA CORP | C | 17,730. | 17,651. |
| 404280406 HSBC - ADR | C | 16,286. | 8,700. |
| 45104G104 ICICI BANK LTD | C | 23,787. | 19,981. |
| 453038408 IMPERIAL OIL LTD | C | 19,640. | 17,566. |
| 48206M102 JUPITER TELECOM-UNSP | C | 9,356. | 12,103. |
| 502117203 L'OREAL - ADR | C | 22,872. | 22,044. |
| 501897102 LI & FUNG LTD | C | 33,911. | 37,447. |
| H50430232 LOGITECH INTERN | C | | |
| 54338V101 LONZA GROUP | C | 14,872. | 10,306. |
| 502441306 LVMH MOET HENNESSY | C | 7,460. | 13,793. |
| 62474M108 MTN GROUP LTD | C | 8,574. | 9,563. |
| 641069406 NESTLE S. A. REGISTE | C | 31,930. | 38,682. |
| 670100205 NOVO NORDISK A/S | C | 7,140. | 13,871. |
| 71654V101 PETROLEO BRASILEIRO | C | 18,809. | 14,850. |
| N72482107 QIAGEN N.V. | C | 11,723. | 9,108. |
| 771195104 ROCHE HOLDINGS LTD | C | 25,536. | 24,089. |
| 803054204 SAP AG - ADR | C | 5,985. | 10,473. |
| 803866300 SASOL LIMITED - ADR | C | 8,172. | 8,172. |

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 806857108 SCHLUMBERGER LTD | C | 25,580. | 22,238. |
| 80687P106 SCHNEIDER ELECTRIC | C | 19,608. | 22,069. |
| 83569C102 SONOVA HOLDINGS | C | 14,139. | 16,532. |
| 870123106 SWATCH GROUP | C | 16,039. | 18,564. |
| 874039100 TAIWAN SEMICONDUCTOR | C | 5,090. | 10,100. |
| 881575302 TESCO PLC - ADR | C | 17,174. | 12,472. |
| 881624209 TEVA PHARMACEUTICAL | C | | |
| 900148701 TURKIYE GARANTI BANK | C | 23,819. | 18,396. |
| 90460M105 UNICHARM CORP | C | 19,464. | 24,695. |
| 904767704 UNILEVER PLC - ADR | C | 8,551. | 8,362. |
| 93114W107 WAL-MART DE MEXICO | C | 15,477. | 23,902. |
| 92933H101 WPP GROUP PLC AMERIC | C | 17,228. | 24,340. |
| 368287207 O GAZPROM LEVEL 1 | C | 9,507. | 7,001. |
| 067901108 BARRICK GOLD CORP | C | 18,175. | 21,740. |
| 13321L108 CAMECO CORP | C | | |
| 136385101 CANADIAN NAT RES | C | | |
| 138006309 CANON INC - ADR | C | | |
| 15135U109 CENOVUS ENERGY | C | 29,823. | 33,245. |
| 292505104 ENCANA CORP | C | | |
| G3922B107 GENPACT LTD | C | 9,375. | 9,780. |
| 465036200 ISRAEL CHEMICALS | C | | |
| 636274300 NATIONAL GRID | C | 5,505. | 5,048. |
| 641069406 NESTLE S.A. REGISTER | C | 13,861. | 17,325. |
| N63218106 NIELSEN HOLDINGS | C | 18,362. | 21,098. |
| 654445303 NINTENDO CO | C | | |
| 670100205 NOVO NORDISK A/S | C | | |
| 767204100 RIO TINTO PLC | C | 9,241. | 11,118. |
| 780259206 ROYAL DUTCH SHELL | C | 44,803. | 50,844. |
| 803054204 SAP AG - ADR | C | 26,345. | 34,351. |

## FORM 990PF, PART II - OTHER INVESTMENTS

| DESCRIPTION | COST/ FMV C OR F | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 806857108 SCHLUMBERGER LTD | C | 42,467. | 38,462. |
| 82481R106 SHIRE PLC | C | 19,622. | 42,638. |
| H84989104 TE CONNECTIVITY LTD | C | 6,528. | 11,025. |
| H27013103 WEATHERFORD INTNTL L | C | | |
| 922042858 VANGUARD MSCI EMERGI | C | 144,445. | 152,145. |
| 03875R205 ARBITRAGE FUND CLASS | C | 400,000. | 404,686. |
| 277923728 EATON VANCE GLOBAL | C | 800,000. | 783,098. |
| 466001864 IVY ASSET STRATEGY F | C | 800,000. | 791,465. |
| 001317205 AIA GROUP LTD | C | 14,232. | 15,450. |
| 466140100 JGC CORPORATION | C | 11,738. | 13,888. |
| 65528V107 NOKIAN RENKAAT | C | 10,954. | 11,912. |
| 66987V109 NOVARTIS AG - ADR | C | 8,350. | 8,367. |
| G11581C101ACCENTURE PLC | C | 16,662. | 19,673. |
| H0023R105 ACE LIMITED | C | 8,065. | 9,150. |
| 124765108 CAE INC | C | 9,819. | 9,207. |
| 143658300 CARNIVAL CORP | C | 29,053. | 30,155. |
| 25243Q205 DIAGEO PLC - ADR | C | 4,314. | 4,825. |
| 29358Q109 ENSCO INTERNATIONAL | C | 20,032. | 18,526. |
| G81276100 SIGNET JEWLERS LIMIT | C | 9,682. | 11,820. |
| 03027X100 AMERICAN TOWER CORP | C | 18,866. | 33,086. |
| TOTALS | | 12,298,686. | 12,754,759. |

## FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE | 6,819. |
| CAP GAIN POSTED CURRENT YEAR EFFECTIVE PRIOR YEAR | 3,639. |
| ROUNDING | 7. |
| TOTAL | 10,465. |

## FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| MUTUAL FUND TAX EFFECTIVE DATE AFTER TYE | 12,249. |
| ROC ADJUSTMENTS | 764. |
| TOTAL | 13,013. |

THE 25TH CENTURY FOUNDATION 31-1738216

## FORM 990PF, PART VII-A - NEW SUBSTANTIAL CONTRIBUTORS

NAME AND ADDRESS

J. MAHLON BUCK, JR. REVOCABLE TRUST
1525 W WT HARRIS BLVD, D1114-044
CHARLOTTE, NC 28288

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
Wells Fargo Bank NA
ADDRESS:
1525 WEST WT HARRIS BLVD
CHARLOTTE, NC 28288
TITLE:
DIRECTOR and TREASURER
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5
COMPENSATION .............................................. 43,576.

OFFICER NAME:
J. MAHLON BUCK JR
ADDRESS:
121 ROSE LANE
HAVERFORD, PA 19041
TITLE:
DIRECTOR and CHAIR

OFFICER NAME:
ELINOR BUCK
ADDRESS:
409 GARDEN LANE
BRYN MAWR, PA 19010
TITLE:
DIRECTOR

OFFICER NAME:
CAROLINE BUCK ROGERS
ADDRESS:
204 CHESWOLD LANE
HAVERSTOWN, PA 19041
TITLE:
DIRECTOR and PRESIDENT

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
JAMES BUCK III
ADDRESS:
409 GARDEN LANE
BRYN MAWR, PA 19010
TITLE:
DIRECTOR and V PRESIDENT

OFFICER NAME:
ELIA BUCK
ADDRESS:
121 ROSE LANE
HAVERFORD, PA 19041
TITLE:
DIRECTOR and ASST TREAS

OFFICER NAME:
JOSEPH W ROGERS JR
ADDRESS:
204 CHESWOLD LANE
HAVERSFORD, PA 19041
TITLE:
DIRECTOR

| | |
|---|---|
| TOTAL COMPENSATION: | 43,576. |

THE 25TH CENTURY FOUNDATION 31-1738216
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
RUSSELL BYERS CHARTER SCHOOL
ADDRESS:
210 W RITTENHOUSE SQ, STE 400
PHILADELPHIA, PA 19103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
INTEGRATE PLAYWORKS HEALTH & LEADERSHIP PRGRM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID .................................... 40,000.

RECIPIENT NAME:
GIRARD COLLEGE
ADDRESS:
2101 S COLLEGE AVE
PHILADELPHIA, PA 19121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXPAND GREENHOUSE CAPACITY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID .................................... 50,000.

RECIPIENT NAME:
AFTER SCHOOL ACTIVITIES PARTNERSHIP
ADDRESS:
PO BOX 93
S. BETHLEHEM, NY 12161
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
REDESIGN AND UPDATE TECHNOLOGY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID .................................... 50,000.

STATEMENT 24

RECIPIENT NAME:
MAIN LINE SCHOOL NIGHT
ADDRESS:
260 GULPH CREEK ROAD
RADNOR, PA 19087
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RENOVATIONS OF CREUTZBURG CENTER
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................ 50,000.

RECIPIENT NAME:
PENNSYLVANIA HORTICULTURAL SOCIETY
ADDRESS:
100 N 20TH STREET
PHILADELPHIA, PA 19103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
BUILDING OF GREENHOUSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................ 75,000.

RECIPIENT NAME:
HISTORICAL SOCIETY OF PENNSYLVANIA
ADDRESS:
1300 LOCUST STREET
PHILADELPHIA, PA 19107
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
KATZ DOCUMENTARY
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................ 75,000.

THE 25TH CENTURY FOUNDATION 31-1738216
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MAIN LINE ART CENTER
ADDRESS:
746 PANNURE RD
HAVERFORD, PA 19041
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EAST SIDE GARDEN
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 20,000.

RECIPIENT NAME:
BRYN MAWR HOSPITAL FOUNDATION
ADDRESS:
130 S BRYN MAWR AVE
BRYN MAWR, PA 19010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MOSAIC PROJECT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 5,000.

RECIPIENT NAME:
THORNCRAFT EQUESTRIAN CENTER
ADDRESS:
190 LINE ROAD
MALVERN, PA 19355
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
LIBRARY AND RESOURCE CENTER
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 50,000.

RECIPIENT NAME:
PROJECT LEAP FORWARD
ADDRESS:
1706 RACE STREET
PHILADELPHIA, PA 19103
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
TRANSPORTATION ENDOWMENT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 50,000.

RECIPIENT NAME:
JENKINS ARBORETUM
ADDRESS:
631 BERWYN BAPTIST ROAD
DEVON, PA 19333
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
REPAIR AND UPGRADE OF CARETAKER HOUSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 40,000.

RECIPIENT NAME:
GESU SCHOOL
ADDRESS:
1700 WEST THOMPSON STREET
PHILADELPHIA, PA 19121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
MATCHING FUNDS CHALLENGE GRANT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 50,000.

RECIPIENT NAME:
NATIONAL FATHERHOOD INITIATIVE
ADDRESS:
20410 OBSERVATION DRIVE
GERMANTOWN, MD 20876
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
PROGRAM SUPPLIES
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 30,000.

RECIPIENT NAME:
MURAL ARTS PROGRAM
ADDRESS:
1729 MT. VERNON STREET
PHILADELPHIA, PA 19130
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
COMPLTION OF PHILLIES MURAL PROJECT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 25,000.

RECIPIENT NAME:
LIVECONNECTIONS.ORG
ADDRESS:
3025 WALNUT STREET
PHILADELPHIA, PA 19104
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
COLLABORATIVE CONNECTIONS INITIATIVE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID ........................................... 50,000.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
OPERATION WARM, INC.
ADDRESS:
1653 BRINTONS BRIDGE ROAD
CHADDS FORD, PA 19317
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
FEASIBILITY STUDY - US MANUFACTURE OF COATS
FOUNDATION STATUS OF RECIPIENT:
PUBLIC EXEMPT
AMOUNT OF GRANT PAID .......................................... 50,000.

TOTAL GRANTS PAID: 710,000.

**SCHEDULE D**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

**▶ Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

**2011**

Name of estate or trust: THE 25TH CENTURY FOUNDATION

**Employer identification number**: 31-1738216

**Note:** *Form 5227 filers need to complete **only** Parts I and II*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| | (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 1a | SHORT-TERM CAPITAL GAIN DIVIDENDS | | | | | 28,683. |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | -63,298. |
| 2 | Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 | Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 | Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet | 4 | ( ) |
| 5 | **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶ | 5 | -34,615. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| | (a) Description of property (Example 100 shares 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 6a | LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 98,947. |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| | | | |
|---|---|---|---|
| b | Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | 37,899. |
| 7 | Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 | Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 | Capital gain distributions | 9 | |
| 10 | Gain from Form 4797, Part I | 10 | |
| 11 | Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet | 11 | ( ) |
| 12 | **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶ | 12 | 136,846. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.**

**Schedule D (Form 1041) 2011**

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## Part III Summary of Parts I and II

**Caution:** *Read the instructions* ***before*** *completing this part.*

| | | | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 13 | **Net short-term gain or (loss)** | 13 | | | -34,615. |
| 14 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 14a | | | 136,846. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht.) | 14b | | | |
| c | 28% rate gain | 14c | | | |
| 15 | **Total net gain or (loss).** Combine lines 13 and 14a ▶ | 15 | | | 102,231. |

**Note:** *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

## Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:

a The loss on line 15, column (3) **or** b $3,000 16 ( )

**Note:** *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover.*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

**Caution:** *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 17 | | | |
| 18 | Enter the **smaller** of line 14a or 15 in column (2) but not less than zero | 18 | | | | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | | | | |
| 20 | Add lines 18 and 19 | 20 | | | | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶ | 21 | | | | | |
| 22 | Subtract line 21 from line 20. If zero or less, enter -0- | | | 22 | | | |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0- | | | 23 | | | |
| 24 | Enter the **smaller** of the amount on line 17 or $2,300 | | | 24 | | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24?<br>☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box.<br>☐ **No.** Enter the amount from line 23 | | | 25 | | | |
| 26 | Subtract line 25 from line 24 | | | 26 | | | |
| 27 | Are the amounts on lines 22 and 26 the same?<br>☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 | | | 27 | | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | | | 28 | | | |
| 29 | Subtract line 28 from line 27 | | | 29 | | | |
| 30 | Multiply line 29 by 15% (.15) | | | | | 30 | |
| 31 | Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 31 | |
| 32 | Add lines 30 and 31 | | | | | 32 | |
| 33 | Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | | | 33 | |
| 34 | **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | | | | | 34 | |

**Schedule D (Form 1041) 2011**

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**SCHEDULE D-1**
**(Form. 1041)**

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

Name of estate or trust
THE 25TH CENTURY FOUNDATION

**Employer identification number**
31-1738216

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| | (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 1a | 329. ADMIRAL GROUP PLC - U | 01/27/2011 | 11/04/2011 | 6,233.00 | 8,843.00 | -2,610.00 |
| | 121. ADMIRAL GROUP PLC - U | 07/21/2011 | 11/04/2011 | 2,292.00 | 3,184.00 | -892.00 |
| | 200. AVON PRODS INC COM W/ | 04/15/2011 | 11/17/2011 | 3,438.00 | 5,610.00 | -2,172.00 |
| | 100. AVON PRODS INC COM W/ | 04/15/2011 | 11/18/2011 | 1,701.00 | 2,839.00 | -1,138.00 |
| | 100. AVON PRODS INC COM W/ | 06/24/2011 | 11/21/2011 | 1,673.00 | 2,751.00 | -1,078.00 |
| | 200. AVON PRODS INC COM W/ | 08/09/2011 | 12/20/2011 | 3,365.00 | 4,875.00 | -1,510.00 |
| | 200. BB&T CORP COM | 03/24/2011 | 10/04/2011 | 4,023.00 | 5,303.00 | -1,280.00 |
| | 200. BB&T CORP COM | 03/25/2011 | 10/04/2011 | 4,023.00 | 5,368.00 | -1,345.00 |
| | 15. BANCO SANTANDER CENT H ADR | 02/10/2011 | 05/06/2011 | 175.00 | 180.00 | -5.00 |
| | 200. CAMECO CORP COM | 09/03/2010 | 04/12/2011 | 5,615.00 | 5,097.00 | 518.00 |
| | 100. CAMECO CORP COM | 08/24/2011 | 11/09/2011 | 1,973.00 | 2,203.00 | -230.00 |
| | 100. CISCO SYS INC COM W/R ATTACHED EXPIRING 6/10/2008 | 12/21/2010 | 07/08/2011 | 1,562.00 | 1,955.00 | -393.00 |
| | .9201 DBS GRP HLDGS SPONSO | 07/18/2011 | 07/26/2011 | 46.00 | 45.00 | 1.00 |
| | 2. DBS GRP HLDGS SPONSORED | 07/18/2011 | 11/14/2011 | 80.00 | 97.00 | -17.00 |
| | 87. DASSAULT SYSTEMES S.A. | 07/21/2011 | 02/14/2012 | 7,091.00 | 7,415.00 | -324.00 |
| | 50. DREAMWORKS ANIMATION I | 07/19/2010 | 06/15/2011 | 1,070.00 | 1,503.00 | -433.00 |
| | 100. DREAMWORKS ANIMATION | 07/20/2010 | 06/22/2011 | 2,149.00 | 2,990.00 | -841.00 |
| | 100. DREAMWORKS ANIMATION | 07/21/2010 | 06/22/2011 | 2,147.00 | 2,986.00 | -839.00 |
| | 50. DREAMWORKS ANIMATION I | 07/21/2010 | 06/27/2011 | 1,008.00 | 1,499.00 | -491.00 |
| | 50. DREAMWORKS ANIMATION I | 02/01/2011 | 06/27/2011 | 1,008.00 | 1,432.00 | -424.00 |
| | 50. DREAMWORKS ANIMATION I | 02/01/2011 | 06/28/2011 | 998.00 | 1,432.00 | -434.00 |
| | 100. DREAMWORKS ANIMATION | 08/12/2011 | 11/15/2011 | 1,819.00 | 2,032.00 | -213.00 |
| | 500. ENCANA CORP COM | 03/17/2011 | 11/07/2011 | 10,633.00 | 16,055.00 | -5,422.00 |
| | 100. ENCANA CORP COM | 03/17/2011 | 11/08/2011 | 2,116.00 | 3,327.00 | -1,211.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . .

**SCHEDULE D-1**
**(Form 1041)**

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2011**

| Name of estate or trust | Employer identification number |
|---|---|
| THE 25TH CENTURY FOUNDATION | 31-1738216 |

**Part I** **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| | (a) Description of property (Example: 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 1a | 200. ENCANA CORP COM | 09/02/2011 | 11/08/2011 | 4,233.00 | 5,042.00 | -809.00 |
| | 124. ENCANA CORP COM | 07/21/2011 | 11/16/2011 | 2,451.00 | 3,935.00 | -1,484.00 |
| | 131. ENCANA CORP COM | 01/28/2011 | 11/16/2011 | 2,589.00 | 4,190.00 | -1,601.00 |
| | 50. FIRST SOLAR INC | 08/09/2011 | 11/07/2011 | 2,377.00 | 4,997.00 | -2,620.00 |
| | 400. GENERAL ELEC CO COM | 08/09/2011 | 11/04/2011 | 6,533.00 | 7,069.00 | -536.00 |
| | 100. HUMAN GENOME SCIENCES W/RTS EXP 5/20/2008 | 04/11/2011 | 11/03/2011 | 974.00 | 2,860.00 | -1,886.00 |
| | 100. HUMAN GENOME SCIENCES W/RTS EXP 5/20/2008 | 04/11/2011 | 11/04/2011 | 982.00 | 2,860.00 | -1,878.00 |
| | 200. HUMAN GENOME SCIENCES W/RTS EXP 5/20/2008 | 09/01/2011 | 11/04/2011 | 1,963.00 | 2,526.00 | -563.00 |
| | 400. ISRAEL CHEMICALS LIMI | 10/07/2010 | 08/11/2011 | 5,191.00 | 5,838.00 | -647.00 |
| | 200. ISRAEL CHEMICALS LIMI | 10/11/2010 | 08/15/2011 | 2,851.00 | 3,073.00 | -222.00 |
| | 50. JACOBS ENGR GRP INC CO | 11/02/2010 | 08/03/2011 | 1,885.00 | 1,986.00 | -101.00 |
| | 200. KRAFT FOODS INC-A COM | 07/21/2011 | 08/23/2011 | 6,735.00 | 7,091.00 | -356.00 |
| | 225. MTN GROUP LTD ADR | 07/21/2011 | 02/08/2012 | 3,940.00 | 4,831.00 | -891.00 |
| | 100. NEW YORK TIMES CO CL | 12/17/2010 | 11/08/2011 | 737.00 | 983.00 | -246.00 |
| | 100. NEW YORK TIMES CO CL | 12/17/2010 | 11/11/2011 | 716.00 | 983.00 | -267.00 |
| | 50. NINTENDO LTD ADR | 11/09/2010 | 10/12/2011 | 945.00 | 1,602.00 | -657.00 |
| | 150. NINTENDO LTD ADR | 11/09/2010 | 11/07/2011 | 2,870.00 | 4,807.00 | -1,937.00 |
| | .99 NOVARTIS AG SPONSORED | 04/11/2011 | 05/04/2011 | 59.00 | 55.00 | 4.00 |
| | 100. PHARMASSET INC | 10/03/2011 | 01/11/2012 | 13,700.00 | 7,868.00 | 5,832.00 |
| | 600. PULTE HOMES,INC COM | 10/04/2011 | 01/04/2012 | 3,859.00 | 2,112.00 | 1,747.00 |
| | 200. SCHWAB CHARLES CORP N | 12/07/2010 | 09/06/2011 | 2,245.00 | 3,253.00 | -1,008.00 |
| | 200. SCHWAB CHARLES CORP N | 07/15/2011 | 09/06/2011 | 2,253.00 | 3,025.00 | -772.00 |
| | 100. SCHWAB CHARLES CORP N | 12/09/2010 | 09/06/2011 | 1,127.00 | 1,677.00 | -550.00 |
| | 200. SCHWAB CHARLES CORP N | 10/12/2011 | 02/07/2012 | 2,475.00 | 2,482.00 | -7.00 |

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . .

**SCHEDULE D-1**
**(Form. 1041)**

Department of the Treasury
Internal Revenue Service

# Continuation Sheet for Schedule D (Form 1041)

▶ **See instructions for Schedule D (Form 1041).**
▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

OMB No 1545-0092

**2011**

Name of estate or trust
THE 25TH CENTURY FOUNDATION

**Employer identification number**
31-1738216

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| | (a) Description of property (Example 100 sh 7% preferred of "Z" Co) | (b) Date acquired (mo, day, yr) | (c) Date sold (mo, day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| **1a** | 100. SCHWAB CHARLES CORP N | 10/12/2011 | 02/10/2012 | 1,221.00 | 1,259.00 | -38.00 |
| | 20. STRAYER ED INC COM | 02/23/2011 | 10/12/2011 | 1,725.00 | 2,712.00 | -987.00 |
| | 20. STRAYER ED INC COM | 09/06/2011 | 11/08/2011 | 1,822.00 | 1,752.00 | 70.00 |
| | 65. TEVA PHARMACEUTICAL IN | 07/21/2011 | 08/10/2011 | 2,520.00 | 3,127.00 | -607.00 |
| | 238.515 VANGUARD SHORT-TER FD | 03/22/2011 | 04/08/2011 | 2,557.00 | 2,564.00 | -7.00 |
| | 3250. VANGARD MSCI EMERGIN EFT | 08/03/2011 | 10/10/2011 | 124,801.00 | 150,443.00 | -25,642.00 |
| | 424. LOGITECH INTERNATIONA | 08/10/2010 | 06/14/2011 | 5,087.00 | 6,936.00 | -1,849.00 |

**1b Total.** Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . . . . . . . . . . . . . . . . . -63,298.00

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D-1 (Form 1041) 2011**

JSA
1F1221 2 000

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: THE 25TH CENTURY FOUNDATION

**Employer identification number** 31-1738216

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| **(a)** Description of property (Example 100 sh 7% preferred of "Z" Co ) | **(b)** Date acquired (mo , day, yr ) | **(c)** Date sold (mo , day, yr ) | **(d)** Sales price | **(e)** Cost or other basis (see instructions) | **(f) Gain or (loss)** Subtract (e) from (d) |
|---|---|---|---|---|---|
| **6a** 125. ALBERTO-CULVER CO | 11/17/2009 | 05/10/2011 | 4,688.00 | 3,574.00 | 1,114.00 |
| 400. ALLSTATE CORP COM W/R ATTACHED EXP 2/12/2009 | 05/22/2009 | 09/06/2011 | 9,779.00 | 10,465.00 | -686.00 |
| 100. BANK NEW YORK MELLON | 06/17/2009 | 09/01/2011 | 2,069.00 | 2,849.00 | -780.00 |
| 100. BARRICK GOLD CORP COM | 03/27/2008 | 01/24/2012 | 4,540.00 | 4,519.00 | 21.00 |
| 150. BEST BUY INC COM | 03/27/2008 | 11/03/2011 | 4,035.00 | 6,501.00 | -2,466.00 |
| 300. BROCADE COMMUNICATION | 01/07/2009 | 01/04/2012 | 1,589.00 | 1,072.00 | 517.00 |
| 200. BROCADE COMMUNICATION | 01/14/2009 | 01/05/2012 | 1,069.00 | 717.00 | 352.00 |
| 600. CAMECO CORP COM | 05/04/2009 | 04/12/2011 | 16,844.00 | 12,918.00 | 3,926.00 |
| 100. CANADIAN NAT RES LTD | 03/27/2008 | 04/26/2011 | 4,603.00 | 3,550.00 | 1,053.00 |
| 100. CANADIAN NAT RES LTD | 03/27/2008 | 04/28/2011 | 4,552.00 | 3,550.00 | 1,002.00 |
| 50. CANADIAN NAT RES LTD C | 11/26/2008 | 04/29/2011 | 2,328.00 | 912.00 | 1,416.00 |
| 100. CANADIAN NAT RES LTD | 11/26/2008 | 07/11/2011 | 4,053.00 | 1,824.00 | 2,229.00 |
| 50. CANADIAN NAT RES LTD C | 11/26/2008 | 07/12/2011 | 2,045.00 | 912.00 | 1,133.00 |
| 100. CANON INC ADR REPSTG | 04/07/2008 | 01/09/2012 | 4,353.00 | 4,886.00 | -533.00 |
| 75. CERNER CORP COM | 03/27/2008 | 05/06/2011 | 8,983.00 | 2,929.00 | 6,054.00 |
| 100. CERNER CORP COM | 03/27/2008 | 07/27/2011 | 6,202.00 | 1,953.00 | 4,249.00 |
| 100. CERNER CORP COM | 03/27/2008 | 08/09/2011 | 5,612.00 | 1,953.00 | 3,659.00 |
| 100. CERNER CORP COM | 03/27/2008 | 01/24/2012 | 6,025.00 | 1,953.00 | 4,072.00 |
| 600. CISCO SYS INC COM W/R ATTACHED EXPIRING 6/10/2008 | 03/27/2008 | 04/05/2011 | 10,380.00 | 14,730.00 | -4,350.00 |
| 350. CISCO SYS INC COM W/R ATTACHED EXPIRING 6/10/2008 | 05/01/2008 | 04/08/2011 | 6,202.00 | 8,699.00 | -2,497.00 |
| 450. CISCO SYS INC COM W/R ATTACHED EXPIRING 6/10/2008 | 10/16/2008 | 07/08/2011 | 7,030.00 | 7,981.00 | -951.00 |
| 100. COLGATE-PALMOLIVE CO | 08/05/2009 | 06/27/2011 | 8,564.00 | 7,156.00 | 1,408.00 |
| 50. COSTCO WHOLESALE CORP | 03/27/2008 | 01/09/2012 | 3,956.00 | 3,309.00 | 647.00 |
| 56. DASSAULT SYSTEMES S.A. | 03/25/2008 | 02/14/2012 | 4,565.00 | 3,250.00 | 1,315.00 |
| 100. DAVITA INC COM | 03/27/2008 | 01/23/2012 | 8,037.00 | 4,769.00 | 3,268.00 |

**6b Total**. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| THE 25TH CENTURY FOUNDATION | 31-1738216 |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| **6a** 100. DISNEY (WALT) COMPANY COMPANY COM | 03/27/2008 | 01/23/2012 | 3,919.00 | 3,165.00 | 754.00 |
| 200. DREAMWORKS ANIMATION | 02/10/2010 | 06/10/2011 | 4,260.00 | 7,769.00 | -3,509.00 |
| 100. DREAMWORKS ANIMATION | 03/23/2010 | 06/13/2011 | 2,126.00 | 4,083.00 | -1,957.00 |
| 100. DREAMWORKS ANIMATION | 05/25/2010 | 06/14/2011 | 2,142.00 | 3,677.00 | -1,535.00 |
| 50. DREAMWORKS ANIMATION I | 05/25/2010 | 06/15/2011 | 1,070.00 | 1,557.00 | -487.00 |
| 13783.597 EATON VANCE STRC | 08/01/2008 | 06/10/2011 | 217,092.00 | 200,000.00 | 17,092.00 |
| 150. EBAY INC COM | 01/05/2009 | 01/24/2012 | 4,787.00 | 3,845.00 | 942.00 |
| 100. EMERSON ELEC CO COM W ATTACHED EXP 11/01/2008 | 03/27/2008 | 03/01/2012 | 5,023.00 | 5,183.00 | -160.00 |
| 218. ENCANA CORP COM | 03/25/2008 | 11/16/2011 | 4,308.00 | 8,321.00 | -4,013.00 |
| 50. ENERGIZER HLDGS INC CO | 06/06/2008 | 11/10/2011 | 3,468.00 | 3,937.00 | -469.00 |
| 50. FEDEX CORP COM | 03/27/2008 | 07/19/2011 | 4,577.00 | 4,586.00 | -9.00 |
| 50. FEDEX CORP COM | 03/27/2008 | 10/07/2011 | 3,576.00 | 4,586.00 | -1,010.00 |
| 70. FIRST SOLAR INC | 02/26/2009 | 11/07/2011 | 3,328.00 | 7,332.00 | -4,004.00 |
| 40. FLUOR CORP NEW COM | 07/23/2008 | 01/18/2012 | 2,214.00 | 3,461.00 | -1,247.00 |
| 1100. GENERAL ELEC CO COM | 07/14/2010 | 11/04/2011 | 17,965.00 | 28,378.00 | -10,413.00 |
| 100. GENERAL MILLS INC COM 02/01/2006 | 11/19/2009 | 04/11/2011 | 3,653.00 | 3,351.00 | 302.00 |
| 200. GENERAL MILLS INC COM 02/01/2006 | 11/19/2009 | 07/21/2011 | 7,567.00 | 6,703.00 | 864.00 |
| 50. GENERAL MILLS INC COM 02/01/2006 | 11/19/2009 | 01/24/2012 | 2,010.00 | 1,676.00 | 334.00 |
| 200. GENERAL MILLS INC COM 02/01/2006 | 11/20/2009 | 02/03/2012 | 7,979.00 | 6,773.00 | 1,206.00 |
| 100. HEWLETT-PACKARD CO CO | 11/14/2008 | 07/15/2011 | 3,511.00 | 3,064.00 | 447.00 |
| 50. HEWLETT-PACKARD CO COM | 11/14/2008 | 07/15/2011 | 1,750.00 | 1,532.00 | 218.00 |
| 300. ILLINOIS TOOL WORKS I | 03/27/2008 | 08/03/2011 | 14,635.00 | 14,694.00 | -59.00 |
| 200. ILLINOIS TOOL WORKS I | 03/27/2008 | 08/10/2011 | 8,610.00 | 9,796.00 | -1,186.00 |
| 100. ILLINOIS TOOL WORKS I | 03/27/2008 | 02/28/2012 | 5,611.00 | 4,898.00 | 713.00 |
| 100. ILLINOIS TOOL WORKS I | 11/04/2010 | 02/29/2012 | 5,590.00 | 4,779.00 | 811.00 |
| **6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . . | | | | | |

| Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number |
|---|---|
| THE 25TH CENTURY FOUNDATION | 31-1738216 |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| | (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 6a | 300. JP MORGAN CHASE & CO | 03/27/2008 | 12/27/2011 | 9,940.00 | 13,089.00 | -3,149.00 |
| | 100. JP MORGAN CHASE & CO | 03/27/2008 | 12/27/2011 | 3,329.00 | 4,363.00 | -1,034.00 |
| | 75. JACOBS ENGR GRP INC CO | 11/02/2010 | 11/09/2011 | 2,840.00 | 2,979.00 | -139.00 |
| | 100. JUNIPER NETWORKS INC | 06/02/2009 | 12/14/2011 | 1,881.00 | 2,398.00 | -517.00 |
| | 200. KRAFT FOODS INC-A COM | 03/27/2008 | 08/22/2011 | 6,719.00 | 6,194.00 | 525.00 |
| | 200. KRAFT FOODS INC-A COM | 03/27/2008 | 08/23/2011 | 6,735.00 | 6,194.00 | 541.00 |
| | 200. LOOPNET INC | 03/27/2008 | 01/17/2012 | 3,387.00 | 2,742.00 | 645.00 |
| | 200. LOWES COS INC COM W/R ATTACHED EXPIRING 9/9/2008 | 03/27/2008 | 09/13/2011 | 3,825.00 | 4,700.00 | -875.00 |
| | 1500. LOWES COS INC COM W/ ATTACHED EXPIRING 9/9/2008 | 08/03/2009 | 09/14/2011 | 29,220.00 | 35,225.00 | -6,005.00 |
| | 200. LOWES COS INC COM W/R ATTACHED EXPIRING 9/9/2008 | 10/21/2009 | 09/14/2011 | 3,855.00 | 4,363.00 | -508.00 |
| | 100. MARATHON OIL CORP COM | 07/01/2008 | 04/11/2011 | 5,163.00 | 5,217.00 | -54.00 |
| | 200. MARATHON OIL CORP COM | 10/29/2008 | 04/12/2011 | 10,022.00 | 5,230.00 | 4,792.00 |
| | 100. MAXIM INTEGRATED PROD | 01/23/2009 | 01/09/2012 | 2,637.00 | 1,264.00 | 1,373.00 |
| | 100. MAXIM INTEGRATED PROD | 01/27/2009 | 01/10/2012 | 2,631.00 | 1,367.00 | 1,264.00 |
| | 100. MAXIM INTEGRATED PROD | 01/27/2009 | 01/17/2012 | 2,603.00 | 1,367.00 | 1,236.00 |
| | 25. MAXIM INTEGRATED PRODS | 01/28/2009 | 01/18/2012 | 684.00 | 336.00 | 348.00 |
| | 100. MCDONALDS CORP COM | 06/08/2010 | 07/18/2011 | 8,544.00 | 6,816.00 | 1,728.00 |
| | 225. MERCK & CO INC NEW | 09/10/2010 | 10/07/2011 | 7,146.00 | 8,226.00 | -1,080.00 |
| | 306. MTN GROUP LTD ADR | 11/20/2009 | 02/08/2012 | 5,359.00 | 4,859.00 | 500.00 |
| | 100. NATL INSTRS CORP COM | 03/27/2008 | 01/11/2012 | 2,550.00 | 1,772.00 | 778.00 |
| | 100. NATL INSTRS CORP COM | 03/27/2008 | 01/12/2012 | 2,554.00 | 1,772.00 | 782.00 |
| | 100. NATL INSTRS CORP COM | 03/27/2008 | 01/12/2012 | 2,533.00 | 1,772.00 | 761.00 |
| | 200. NINTENDO LTD ADR | 01/20/2010 | 10/11/2011 | 3,825.00 | 7,653.00 | -3,828.00 |
| | 50. NORDSTROM INC COM | 03/27/2008 | 01/11/2012 | 2,470.00 | 1,763.00 | 707.00 |
| | 71. NOVO-NORDIST A S ADR | 07/17/2008 | 10/13/2011 | 7,093.00 | 4,533.00 | 2,560.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

THE 25TH CENTURY FOUNDATION

**Employer identification number**

31-1738216

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|
| **6a** 100. OMNICOM GRP INC COM | 03/27/2008 | 01/11/2012 | 4,508.00 | 4,477.00 | 31.00 |
| 25. OMNICOM GRP INC COM | 03/27/2008 | 01/12/2012 | 1,132.00 | 1,119.00 | 13.00 |
| 100. PEPSICO INC COM | 03/27/2008 | 04/06/2011 | 6,574.00 | 7,141.00 | -567.00 |
| 150. PEPSICO INC COM | 03/27/2008 | 01/30/2012 | 9,804.00 | 10,712.00 | -908.00 |
| 100. POLYCOM INC COM | 03/27/2008 | 01/04/2012 | 1,588.00 | 1,131.00 | 457.00 |
| 300. POLYCOM INC COM | 03/27/2008 | 01/05/2012 | 4,781.00 | 3,392.00 | 1,389.00 |
| 100. PROCTER & GAMBLE CO C | 05/20/2009 | 08/03/2011 | 6,068.00 | 5,433.00 | 635.00 |
| 100. PROGRESSIVE CORP OHIO | 03/27/2008 | 05/31/2011 | 2,160.00 | 1,621.00 | 539.00 |
| 100. PROGRESSIVE CORP OHIO | 03/27/2008 | 05/31/2011 | 2,164.00 | 1,621.00 | 543.00 |
| 100. PROGRESSIVE CORP OHIO | 03/27/2008 | 06/01/2011 | 2,145.00 | 1,621.00 | 524.00 |
| 100. PROGRESSIVE CORP OHIO | 03/27/2008 | 01/23/2012 | 2,059.00 | 1,621.00 | 438.00 |
| 100. PROGRESSIVE CORP OHIO | 03/27/2008 | 01/23/2012 | 2,066.00 | 1,621.00 | 445.00 |
| 125. QUALCOMM INC COM W/RT | 07/23/2008 | 06/10/2011 | 6,839.00 | 5,525.00 | 1,314.00 |
| 300. SCHWAB CHARLES CORP N | 10/28/2009 | 09/01/2011 | 3,645.00 | 5,398.00 | -1,753.00 |
| 400. SCHWAB CHARLES CORP N | 07/14/2010 | 09/02/2011 | 4,638.00 | 7,059.00 | -2,421.00 |
| 100. SCHWAB CHARLES CORP N | 07/14/2010 | 09/06/2011 | 1,123.00 | 1,453.00 | -330.00 |
| 600. SCHWAB CHARLES CORP N | 07/15/2010 | 09/06/2011 | 6,736.00 | 8,694.00 | -1,958.00 |
| 100. SPECTRA ENERGY CORP | 07/14/2010 | 01/10/2012 | 3,058.00 | 2,129.00 | 929.00 |
| 100. SPECTRA ENERGY CORP | 07/14/2010 | 01/11/2012 | 3,053.00 | 2,129.00 | 924.00 |
| 741. TAIWAN SEMICONDUCTOR SPONSORED ADR | 03/25/2008 | 05/18/2011 | 10,021.00 | 8,084.00 | 1,937.00 |
| 100. TARGET CORP COM | 03/27/2008 | 11/17/2011 | 5,292.00 | 5,191.00 | 101.00 |
| 100. TARGET CORP COM | 03/27/2008 | 02/14/2012 | 5,222.00 | 5,191.00 | 31.00 |
| 200. TEVA PHARMACEUTICAL I | 03/13/2009 | 08/10/2011 | 7,753.00 | 9,056.00 | -1,303.00 |
| 50. 3M CO COM | 02/11/2010 | 10/27/2011 | 4,097.00 | 4,012.00 | 85.00 |
| 100. TITANIUM METALS CORP | 10/22/2008 | 04/12/2011 | 1,812.00 | 820.00 | 992.00 |

**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
THE 25TH CENTURY FOUNDATION

**Employer identification number**
31-1738216

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| | (a) Description of property (Example 100 sh 7% preferred of "Z" Co ) | (b) Date acquired (mo , day, yr ) | (c) Date sold (mo , day, yr ) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) Subtract (e) from (d) |
|---|---|---|---|---|---|---|
| 6a | 100. TITANIUM METALS CORP | 10/22/2008 | 04/13/2011 | 1,798.00 | 820.00 | 978.00 |
| | 150. UNION PAC CORP COM | 03/27/2008 | 08/09/2011 | 13,452.00 | 9,476.00 | 3,976.00 |
| | 100. UNITEDHEALTH GRP INC | 09/03/2009 | 01/23/2012 | 5,274.00 | 2,889.00 | 2,385.00 |
| | 100. URBAN OUTFITTERS INC | 11/17/2008 | 01/11/2012 | 2,405.00 | 1,576.00 | 829.00 |
| | 300. URBAN OUTFITTERS INC | 03/10/2010 | 01/11/2012 | 7,224.00 | 6,974.00 | 250.00 |
| | 100. URBAN OUTFITTERS INC | 03/12/2010 | 01/12/2012 | 2,424.00 | 3,647.00 | -1,223.00 |
| | 27746.56 VANGUARD SHORT-TE FD | 08/01/2008 | 04/08/2011 | 297,443.00 | 292,138.00 | 5,305.00 |
| | 100. VERIZON COMMUNICATION | 01/08/2010 | 01/10/2012 | 3,862.00 | 2,977.00 | 885.00 |
| | 125. VISA INC-CLASS A SHRS | 05/19/2010 | 02/14/2012 | 14,271.00 | 8,818.00 | 5,453.00 |
| | 200. VULCAN MATERIALS CO C ATTACHED EXP 12/31/2008 | 04/19/2010 | 11/07/2011 | 6,018.00 | 9,807.00 | -3,789.00 |
| | 75. VULCAN MATERIALS CO CO ATTACHED EXP 12/31/2008 | 08/06/2010 | 11/08/2011 | 2,319.00 | 3,397.00 | -1,078.00 |
| | 110. WPP GRP PLC AMER DEPO ADR | 03/25/2008 | 09/01/2011 | 5,696.00 | 6,785.00 | -1,089.00 |
| | 200. GENPACT LTD | 03/27/2008 | 01/11/2012 | 2,912.00 | 2,563.00 | 349.00 |
| | 100. GENPACT LTD | 03/27/2008 | 01/12/2012 | 1,459.00 | 1,282.00 | 177.00 |
| | 52. ALCON INC | 03/25/2008 | 04/12/2011 | 8,870.00 | 7,504.00 | 1,366.00 |
| | 100. WEATHERFORD INTL LTD | 05/07/2010 | 12/19/2011 | 1,310.00 | 1,524.00 | -214.00 |
| | 200. WEATHERFORD INTL LTD | 05/07/2010 | 12/20/2011 | 2,733.00 | 3,048.00 | -315.00 |
| | 200. WEATHERFORD INTL LTD | 05/07/2010 | 12/20/2011 | 2,707.00 | 3,048.00 | -341.00 |
| | 100. TE CONNECTIVITY LTD | 05/22/2009 | 01/25/2012 | 3,452.00 | 1,692.00 | 1,760.00 |
| | | | | | | |
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**6b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . . . . . . . . . . . . . . . 37,899.00