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990-PF

Form
Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

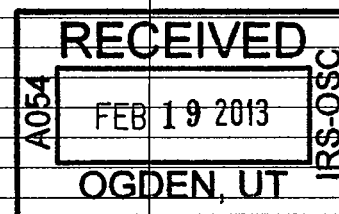
For calendar year 2011 or tax year beginning

04/01, 2011, and ending

03/31, 2012

| | | |
|--|---|--|
| Name of foundation JONATHAN M HARRIS FAMILY FOUNDATION, INC. | | A Employer identification number 20-8613426 |
| Number and street (or P O box number if mail is not delivered to street address) C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR | Room/suite | B Telephone number (see instructions) (212) 440-0800 |
| City or town, state, and ZIP code NEW YORK, NY 10005 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change | <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | D 1 Foreign organizations check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test check here and attach computation <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 994,877. | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income N/A | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|--------------------------------|--|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 151,875. | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 7,361. | 7,325. | | ATCH 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -17,237. | | | |
| | b Gross sales price for all assets on line 6a | 307,675. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | NONE | | |
| | 8 Net short-term capital gain | | | | |
| Operating and Administrative Expenses | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | -10. | -1. | | ATCH 2 |
| | 12 Total Add lines 1 through 11 | 141,989. | 7,324. | 0 | |
| | 13 Compensation of officers, directors, trustees, etc. | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) ATCH 3 | 1,565. | | | 1,565. |
| | b Accounting fees (attach schedule) ATCH 4 | 6,500. | 3,250. | | 3,250. |
| | c Other professional fees (attach schedule) * | 6,906. | 6,906. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses (attach schedule) ATCH 6 | 1,422. | 91. | | 1,331. | |
| 24 Total operating and administrative expenses Add lines 13 through 23 | 16,393. | 10,247. | | 6,146. | |
| 25 Contributions, gifts, grants paid | 150,000. | | | 150,000. | |
| 26 Total expenses and disbursements Add lines 24 and 25 | 166,393. | 10,247. | 0 | 156,146. | |
| 27 Subtract line 26 from line 12 | | | | | |
| a Excess of revenue over expenses and disbursements | -24,404. | | | | |
| b Net investment income (if negative, enter -0-) | | 0 | | | |
| c Adjusted net income (if negative, enter -0-) | | | 0 | | |



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For Paperwork Reduction Act Notice, see instructions

*ATCH 5 JSA

Form 990-PF (2011)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | |
|-----------------------------|---|---|----------|-------------------|----------------|-----------------------|
| | | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | | |
| | 2 | Savings and temporary cash investments | | 455,359. | 323,263. | 323,263. |
| | 3 | Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | | |
| | 4 | Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ | | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) . . | | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 7 . . | | 456,498. | 361,229. | 440,875. |
| | c | Investments - corporate bonds (attach schedule) | | | | |
| | 11 | Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ | | | | |
| | 12 | Investments - mortgage loans | | | | |
| | 13 | Investments - other (attach schedule) | | | | |
| | 14 | Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ | | | | |
| 15 | Other assets (describe ▶ ATCH 8) | | 18,978. | 221,939. | 230,739. | |
| 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | | 930,835. | 906,431. | 994,877. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons . | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ▶) | | | | |
| | 23 | Total liabilities (add lines 17 through 22) | | 0 | 0 | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | | |
| | 24 | Unrestricted | | | | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/> | | | | | |
| | 27 | Capital stock, trust principal, or current funds | | 930,835. | 906,431. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds . . | | | | |
| 30 | Total net assets or fund balances (see instructions) | | 930,835. | 906,431. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | | 930,835. | 906,431. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|----------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 930,835. |
| 2 | Enter amount from Part I, line 27a | 2 | -24,404. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 906,431. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 906,431. |

Form 990-PF (2011)

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | | | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|---|---|--------------------------------------|----------------------------------|
| 1a SEE PART IV SCHEDULE | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | | |
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | | 2 | -17,237. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | | 3 | 0 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|---|
| 2010 | 185,186. | 857,024. | 0.216080 |
| 2009 | 150,211. | 826,723. | 0.181694 |
| 2008 | 196,883. | 948,309. | 0.207615 |
| 2007 | 223,778. | 1,180,856. | 0.189505 |
| 2006 | 136,484. | 1,252,455. | 0.108973 |
| 2 Total of line 1, column (d) | | | 2 0.903867 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.180773 |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | | | 4 913,334. |
| 5 Multiply line 4 by line 3 | | | 5 165,106. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 |
| 7 Add lines 5 and 6 | | | 7 165,106. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions | | | 8 156,146. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions) | | 1 | 0 |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | |
| 3 Add lines 1 and 2 | | 3 | 0 |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0 |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 0 |
| 6 Credits/Payments | | | |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a | 124. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | | 124. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | | 124. |
| 11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> 124. Refunded <input type="checkbox"/> | 11 | | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|-----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0 | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0 | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL, _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|--|---|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| Website address <input type="checkbox"/> N/A | | | | |
| 14 | The books are in care of <input type="checkbox"/> BCRS ASSOCIATES, LLC Telephone no <input type="checkbox"/> 212-440-0800 | | | |
| Located at <input type="checkbox"/> 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP + 4 <input type="checkbox"/> 10005 | | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> | | | |
| and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15 | | | | |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country <input type="checkbox"/> | | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|-----|
| 1a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> | 1b | N/A |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/> | 1c | N/A |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| If "Yes," list the years <input type="checkbox"/> | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> | 2b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/> | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) <input type="checkbox"/> | 3b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> | 4b | X |

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATTACHMENT 9 | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | 0 |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3). | 0 |
| 2 | 0 |
| 3 | 0 |
| 4 | 0 |
| | 0 |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 N/A | 0 |
| 2 N/A | 0 |
| All other program-related investments See instructions | |
| 3 N/A | 0 |
| Total. Add lines 1 through 3 | 0 |

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|--|----|----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1a | 634,554. |
| b | Average of monthly cash balances | 1b | 77,191. |
| c | Fair market value of all other assets (see instructions) | 1c | 215,498. |
| d | Total (add lines 1a, b, and c) | 1d | 927,243. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 927,243. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 13,909. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 913,334. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 45,667. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|--|----|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 45,667. |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | |
| b | Income tax for 2011 (This does not include the tax from Part VI) | 2b | |
| c | Add lines 2a and 2b | 2c | |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 45,667. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 45,667. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 45,667. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|--|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 156,146. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 156,146. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 156,146. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Page **9****Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | 45,667. |
| 2 Undistributed income, if any, as of the end of 2011 | | | | |
| a Enter amount for 2010 only | | | 0 | |
| b Total for prior years 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2011 | | | | |
| a From 2006 | 76,823. | | | |
| b From 2007 | 82,915. | | | |
| c From 2008 | 149,468. | | | |
| d From 2009 | 108,875. | | | |
| e From 2010 | 142,385. | | | |
| f Total of lines 3a through e | 560,466. | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 156,146. | | | | |
| a Applied to 2010, but not more than line 2a . . . | | | 0 | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0 | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0 | | | |
| d Applied to 2011 distributable amount | | | | 45,667. |
| e Remaining amount distributed out of corpus . . | 110,479. | | | |
| 5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a)) | 0 | | | |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 670,945. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0 | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0 | | |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions | | | 0 | |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . | 50,000. | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . . | 26,823. | | | |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a | 594,122. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2007 | 82,915. | | | |
| b Excess from 2008 | 149,468. | | | |
| c Excess from 2009 | 108,875. | | | |
| d Excess from 2010 | 142,385. | | | |
| e Excess from 2011 | 110,479. | | | |

Form **990-PF** (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------------|-------------------------------------|----------|
| a Paid during the year SEE LIST ATTACHED | | | | 150,000. |
| Total | | | 3a | 150,000. |
| b Approved for future payment | | | | |
| Total | | | 3b | |

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOHN D. COOK

Preparer's signature

Date _____

Date
21/5/13

Check ☐ if self-employed

| |
|------|
| PTIN |
|------|

P01450182

Firm's name ▶ BCRS ASSOCIATES, LLC

Firm's EIN ▶ 13-4078147

Firm's address ► 77 WATER STREET, 9TH FLOOR

NEW YORK, NY

10005

Phone no 212-440-0800

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

JONATHAN M HARRIS FAMILY FOUNDATION, INC.

Employer identification number

20-8613426

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization JONATHAN M HARRIS FAMILY FOUNDATION, INC.

Employer identification number
20-8613426**Part I** Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|---|----------------------------|--|
| 1 | MAIZE AND BLUE CHARITABLE TRUST 220 SUNRISE AVENUE, SUITE 210 PALM BEACH, FL 33480 | \$ 101,875. | Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| 2 | J. IRA & NICKI HARRIS FAMILY FDN, INC. C/O BCRS ASSOC, LLC; 77 WATER ST-9TH FL NEW YORK, NY 10005 | \$ 50,000. | Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |
| | | \$ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution) |

Name of organization JONATHAN M HARRIS FAMILY FOUNDATION, INC.

Employer identification number

20-8613426

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---------------------------|--|--|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

Name of organization JONATHAN M HARRIS FAMILY FOUNDATION, INC.

Employer identification number

20-8613426

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ► \$ _____

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---|-----------------|--|
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |
| | (e) Transfer of gift | | |
| | Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee |
| | | | |
| | | | |
| | | | |

JONATHAN M HARRIS FAMILY FOUNDATION INC.

EIN: 20-8613426

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> | <u>ADJUSTED NET INCOME</u> |
|---|---|--------------------------------------|------------------------------------|
| DIVIDENDS THRU BROKERAGE | 6,627. | 6,627. | |
| DIVIDENDS THRU BLACKSTONE GROUP LP | 48. | 48. | |
| DIVIDENDS THRU BLACKSTONE GROUP LP-UBTI | 36. | | |
| INTEREST THRU BLACKSTONE GROUP LP | 362. | 362. | |
| INTEREST ON BONDS | 195. | 195. | |
| INTEREST THRU MONEY MARKET | 93. | 93. | |
| TOTAL | <u>7,361.</u> | <u>7,325.</u> | |

ATTACHMENT 2FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|--|---|-----------------------------|---------------------------|
| ORD INCOME THRU BLACKSTONE GROUP LP | 4. | 4. | |
| ORD INCOME THRU BLACKSTONE GROUP LP-UBTI | -9. | | |
| RRE THRU BLACKSTONE GROUP LP | -5. | -5. | |
| TOTALS | -10. | -1. | |

ATTACHMENT 3FORM 990PF, PART I - LEGAL FEES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> | <u>ADJUSTED NET INCOME</u> | <u>CHARITABLE PURPOSES</u> |
|--------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| HODGSON RUSS, LLP | 1,565. | | | 1,565. |
| TOTALS | <u>1,565.</u> | | | <u>1,565.</u> |

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> | <u>ADJUSTED NET INCOME</u> | <u>CHARITABLE PURPOSES</u> |
|----------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| BCRS ASSOCIATES, LLC | 6,500. | 3,250. | | 3,250. |
| TOTALS | <u>6,500.</u> | <u>3,250.</u> | | <u>3,250.</u> |

ATTACHMENT 5FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> | <u>ADJUSTED NET INCOME</u> | <u>CHARITABLE PURPOSES</u> |
|--------------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| INVESTMENT ADVISORY FEES | 6,906. | 6,906. | | |
| TOTALS | <u>6,906.</u> | <u>6,906.</u> | | |

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---------------------------|---|-----------------------------|---------------------------|------------------------|
| CHARITABLE EXPENSE | 1,195. | | | 1,195. |
| FLORIDA FILING FEE | 61. | | | 61. |
| BANK CHARGES | 75. | | | 75. |
| FOREIGN DIVIDEND FEES | 69. | 69. | | |
| THRU BLACKSTONE GROUP LP: | | | | |
| -PORTFOLIO DEDUCTIONS | 8. | 8. | | |
| -INTEREST EXPENSE | 14. | 14. | | |
| TOTALS | 1,422. | 91. | | 1,331. |

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u> | <u>BEGINNING BOOK VALUE</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|-------------------------------|---------------------------------|------------------------------|-----------------------|
| SEE LONG POSITION I ATTACHED | 75,000. | | |
| SEE LONG POSITION II ATTACHED | 381,498. | 361,229. | 440,875. |
| TOTALS | <u>456,498.</u> | <u>361,229.</u> | <u>440,875.</u> |

ATTACHMENT 8FORM 990PF, PART II - OTHER ASSETS

| <u>DESCRIPTION</u> | <u>BEGINNING BOOK VALUE</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|----------------------------|---------------------------------|------------------------------|-----------------------|
| BLACKSTONE GROUP LP | | 8,961. | 12,752. |
| AMERICAN TOWER CORP | 18,978. | 12,978. | 15,755. |
| THIRTEEN PARTNERS OFFSHORE | | 200,000. | 202,232. |
| TOTALS | <u>18,978.</u> | <u>221,939.</u> | <u>230,739.</u> |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 9

| <u>NAME AND ADDRESS</u> | <u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u> | <u>EXPENSE ACCT AND OTHER ALLOWANCES</u> |
|---|---|---------------------|--|--|
| JONATHAN M HARRIS C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005 | PRES/DIR/TREAS - 15 HRS/YEAR | 0 | 0 | 0 |
| NICKI HARRIS C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005 | DIR/VICE PRES - 5 HRS/YEAR | 0 | 0 | 0 |
| JENNIFER LYNN HARRIS C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005 | DIR/SECRETARY - 15 HRS/YEAR | 0 | 0 | 0 |
| JAMES R. TAYLOR C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005 | DIRECTOR - 5 HRS/YEAR | 0 | 0 | 0 |
| GRAND TOTALS | | 0 | 0 | 0 |

ATTACHMENT 10

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JONATHAN M HARRIS; C/O BCRS ASSOCIATES, LLC
77 WATER STREET - 9TH FL, NEW YORK, NY 10005

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUEATTACHMENT 11

| <u>DESCRIPTION</u> | <u>BUSINESS CODE</u> | <u>EXCLUSION</u> | | <u>RELATED OR EXEMPT FUNCTION INCOME</u> | |
|--|--------------------------|------------------|-------------|--|------------------------|
| | | <u>AMOUNT</u> | <u>CODE</u> | <u>AMOUNT</u> | <u>FUNCTION INCOME</u> |
| ORDINARY INCOME THRU BLACKSTONE GROUP LP | 523000 | -9. | 01 | 4. | |
| RRE THRU BLACKSTONE GROUP LP | 523000 | | 01 | -5. | |
| TOTALS | | <u>-9.</u> | | <u>-1.</u> | |

JONATHAN M HARRIS FAMILY FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS
FYE 3/31/2012
EIN: 20-8613426

| DATE | ORGANIZATION | CITY/STATE | AMOUNT |
|---------------------------|---------------------------------------|---------------|---------------------|
| 5/10/2011 | NEW YORK WEILL CORNELL MEDICAL CENTER | NEW YORK, NY | 50,000 00 |
| 6/20/2011 | THE TAFT SCHOOL | WATERTOWN, CT | 20,000 00 |
| 6/27/2011 | UJA FEDERATION OF NEW YORK | NEW YORK, NY | 75,000 00 |
| 11/1/2011 | MENTOR | NEW YORK, NY | 5,000 00 |
| TOTAL CONTRIBUTIONS PAID* | | | <u>\$150,000.00</u> |

**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS EXEMPT UNDER
SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE*

JONATHAN M HARRIS FAMILY FOUNDATION, INC.

UBS - REALIZED GAINS (LOSSES) REPORT

APRIL 1, 2011 TO MARCH 31, 2012

| SECURITY | DATE | | QTY | SALES PROCEEDS | COST OR OTHER BASIS | | TOTAL GAIN/LOSS | GAIN/LOSS TYPE |
|---|-----------|--------------|--------|--------------------|------------------------|---------------|--------------------|-------------------|
| | ACQUIRED | DATE SOLD | | | | | | |
| SOVEREIGN BK FSB PA US RT 4000% MAT 06/02/11 | 2/23/2011 | 6/2/2011 | 25,000 | 25,000.00 | 25,000.00 | 0.00 | 0.00 | Short-Term |
| BANK OF CHINA NEW NY US RT 4500% MAT 12/02/11 | 2/23/2011 | 12/2/2011 | 50,000 | 50,000.00 | 50,000.00 | 0.00 | 0.00 | Short-Term |
| TOTAL SHORT-TERM | | | | <u>\$75,000.00</u> | <u>\$75,000.00</u> | <u>\$0.00</u> | | |

JONATHAN M HARRIS FAMILY FOUNDATION, INC.
CHARLES SCHWAB - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2011 TO MARCH 31, 2012

| SECURITY | DATE ACQUIRED | DATE SOLD | QTY | SALES PROCEEDS | COST OR OTHER BASIS | TOTAL GAIN/LOSS | GAIN/LOSS TYPE |
|-------------------------|------------------|--------------|-------|-------------------|------------------------|--------------------|-------------------|
| TYCO INTL LTD NEW | 6/15/2010 | 4/13/2011 | 100 | 5,114 19 | 3,765 46 | 1,348 73 | Short-Term |
| TYCO INTL LTD NEW | 6/15/2010 | 5/13/2011 | 150 | 7,659 17 | 5,648 20 | 2,010 97 | Short-Term |
| REALD INC | 1/26/2011 | 5/16/2011 | 150 | 4,919 61 | 3,771 27 | 1,148 34 | Short-Term |
| UNION PACIFIC CORP | 11/8/2010 | 6/2/2011 | 100 | 10,169 78 | 9,209 07 | 960 71 | Short-Term |
| ENSCO PLC ADR | 4/25/2011 | 6/23/2011 | 200 | 10,069 66 | 11,713 91 | (1,644 25) | Short-Term |
| GOOGLE INC CLASS A | 8/2/2010 | 6/24/2011 | 5 | 2,371 80 | 2,460 77 | (88 97) | Short-Term |
| GOOGLE INC CLASS A | 9/22/2010 | 6/24/2011 | 10 | 4,743 59 | 5,169 84 | (426 25) | Short-Term |
| GOOGLE INC CLASS A | 11/4/2010 | 6/24/2011 | 10 | 4,743 59 | 6,296 17 | (1,552 58) | Short-Term |
| ILLINOIS TOOL WORKS INC | 9/3/2010 | 7/27/2011 | 100 | 5,109 57 | 4,489 19 | 620 38 | Short-Term |
| ILLINOIS TOOL WORKS INC | 2/25/2011 | 7/27/2011 | 100 | 5,109 58 | 5,379 39 | (269 81) | Short-Term |
| BLACKSTONE GROUP LP | 10/28/2010 | 8/4/2011 | 300 | 4,248 58 | 3,319 10 | 929 48 | Short-Term |
| ILLINOIS TOOL WORKS INC | 9/3/2010 | 8/4/2011 | 50 | 2,353 27 | 2,244 60 | 108 67 | Short-Term |
| SCHLUMBERGER LTD | 5/25/2011 | 8/5/2011 | 100 | 7,863 87 | 8,448 32 | (584 45) | Short-Term |
| BLACKSTONE GROUP LP | 9/27/2010 | 8/8/2011 | 300 | 3,678 02 | 3,319 10 | 358 92 | Short-Term |
| GOLDMAN SACHS GROUP INC | 5/31/2011 | 8/8/2011 | 50 | 5,727 87 | 7,031 86 | (1,303 99) | Short-Term |
| QUALCOMM INC | 4/21/2011 | 8/8/2011 | 200 | 9,903 64 | 11,435 84 | (1,532 20) | Short-Term |
| SANDRIDGE ENERGY INC | 7/21/2011 | 8/8/2011 | 1,000 | 6,991 66 | 11,318 50 | (4,326 84) | Short-Term |
| UNION PACIFIC CORP | 9/3/2010 | 8/8/2011 | 50 | 4,485 67 | 3,955 11 | 530 56 | Short-Term |
| WELLS FARGO & CO NEW | 10/21/2010 | 8/8/2011 | 300 | 7,320 10 | 7,899 36 | (579 26) | Short-Term |
| GROUPE AEROPOLAN INC | 3/25/2011 | 8/9/2011 | 900 | 10,961 10 | 11,973 94 | (1,012 84) | Short-Term |
| WELLS FARGO & CO NEW | 10/21/2010 | 8/10/2011 | 200 | 4,651 54 | 5,266 24 | (614 70) | Short-Term |
| BLACKSTONE GROUP LP | 9/15/2010 | 8/18/2011 | 200 | 2,470 61 | 2,212 74 | 257 87 | Short-Term |
| BLACKSTONE GROUP LP | 9/27/2010 | 8/18/2011 | 100 | 1,235 31 | 1,106 37 | 128 94 | Short-Term |
| ENSCO PLC ADR | 8/29/2011 | 9/22/2011 | 200 | 8,448 71 | 9,512 30 | (1,063 59) | Short-Term |
| ENSCO PLC ADR | 8/31/2011 | 9/22/2011 | 100 | 4,398 45 | 4,860 79 | (462 34) | Short-Term |
| QUALCOMM INC | 2/3/2011 | 9/22/2011 | 200 | 9,928 30 | 10,943 29 | (1,014 99) | Short-Term |
| SUNCOR ENERGY INC NEW | 1/5/2011 | 9/22/2011 | 150 | 3,840 08 | 5,742 52 | (1,902 44) | Short-Term |
| SUNCOR ENERGY INC NEW | 1/5/2011 | 10/4/2011 | 150 | 3,423 44 | 5,742 51 | (2,319 07) | Short-Term |

JONATHAN M HARRIS FAMILY FOUNDATION, INC.
CHARLES SCHWAB - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2011 TO MARCH 31, 2012

| SECURITY | DATE ACQUIRED | DATE SOLD | QTY | SALES PROCEEDS | COST OR OTHER BASIS | TOTAL GAIN/LOSS | GAIN/LOSS TYPE |
|-------------------------|------------------|-------------------------|-----|---------------------|------------------------|----------------------|-------------------|
| TYCO INTL LTD NEW | 6/15/2010 | 4/13/2011 | 100 | 5,114.19 | 3,765.46 | 1,348.73 | Short-Term |
| REALD INC | 1/26/2011 | 12/19/2011 | 350 | 2,822.07 | 8,799.63 | (5,977.56) | Short-Term |
| REALD INC | 9/1/2011 | 12/19/2011 | 300 | 2,418.92 | 4,215.81 | (1,796.89) | Short-Term |
| CANADIAN OIL SANDS | 10/17/2011 | 3/16/2012 | 400 | 8,746.48 | 8,799.96 | (53.48) | Short-Term |
| | | TOTAL SHORT-TERM | | 175,928.23 | 196,051.16 | (20,122.93) | |
| ORACLE CORPORATION | 1/14/2010 | 4/26/2011 | 500 | 17,460.51 | 12,659.00 | 4,801.51 | Long-Term |
| BROOKDALE SENIOR LIVING | 12/14/2009 | 8/5/2011 | 450 | 7,863.63 | 8,281.53 | (417.90) | Long-Term |
| SCHLUMBERGER LTD | 11/6/2009 | 8/5/2011 | 50 | 3,931.93 | 3,270.24 | 661.69 | Long-Term |
| ILLINOIS TOOL WORKS INC | 11/5/2009 | 8/10/2011 | 100 | 4,308.06 | 4,800.42 | (492.36) | Long-Term |
| GOOGLE INC CLASS A | 8/2/2010 | 8/18/2011 | 10 | 5,135.37 | 4,921.53 | 213.84 | Long-Term |
| ILLINOIS TOOL WORKS INC | 11/4/2009 | 8/18/2011 | 100 | 4,154.21 | 4,690.90 | (536.69) | Long-Term |
| ILLINOIS TOOL WORKS INC | 11/5/2009 | 8/18/2011 | 150 | 6,231.31 | 7,200.64 | (969.33) | Long-Term |
| SCHLUMBERGER LTD | 11/2/2009 | 9/26/2011 | 50 | 3,039.16 | 3,131.40 | (92.24) | Long-Term |
| SCHLUMBERGER LTD | 11/6/2009 | 9/26/2011 | 75 | 4,558.73 | 4,905.35 | (346.62) | Long-Term |
| | | TOTAL LONG-TERM | | 56,682.91 | 53,861.01 | 2,821.90 | |
| | | GRAND TOTAL | | \$232,611.14 | \$249,912.17 | (\$17,301.03) | |



Business Services Account
March 2012

Account name:

JONATHAN M HARRIS

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

| Holding | Opening balance on Mar 1 (\$) | Closing balance on Mar 30 (\$) | Price per share on Mar 30 (\$) | Average rate | Dividend/Interest period | Days in period |
|--------------------------|----------------------------------|-----------------------------------|-----------------------------------|-----------------|-----------------------------|-------------------|
| RMA GOVERNMENT PORTFOLIO | 115,301.44 | 115,302.40 | 1.00 | 0.01% | Feb 23 to Mar 25 | 32 |

Your total assets

| Cash | Value on Mar 30 (\$) | Percentage of your account | Cost basis (\$) | Estimated annual income (\$) | Unrealized gain or loss (\$) |
|-------------------------|----------------------|-------------------------------|--------------------|---------------------------------|---------------------------------|
| Cash and money balances | 115,302.40 | 100.00% | 115,302.40 | | |
| Total | \$115,302.40 | 100.00% | \$115,302.40 | | |

Investment Detail - Equities

Accounting Method
Equities Last In First Out (LIFO)

| Equities | Quantity Units Purchased | Market Price Cost Per Share | Market Value Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield Holding Days | Estimated Annual Income Holding Period |
|---------------------------------|-----------------------------|--------------------------------|----------------------------|----------|------------------------------|------------------------------------|--|
| APPLE INC | 75.0000 | 599.5500 | 44,966.25 | | 25,413.29 | 0.00% | 0.00 |
| SYMBOL: AAPL | 50.0000 | 193.1626 | 9,658.13 | 01/29/10 | 20,319.37 | 792 | Long-Term |
| Cost Basis | 25.0000 | 395.7932 | 9,894.83 | 12/05/11 | 5,093.92 | 117 | Short-Term |
| | | | 19,552.96 | | | | |
| ARCH CAP GROUP LTD NEW F | 600.0000 | 37.2400 | 22,344.00 | | 8,376.80 | 0.00% | 0.00 |
| SYMBOL: ACGI | 600.0000 | 23.2786 | 13,967.20 | 10/20/09 | 8,376.80 | 893 | Long-Term |
| ARCH CAPITAL GP B PFD F | 200.0000 | 25.3700 | 5,074.00 | | 83.72 | 7.76% | 393.76 |
| 7.875% SERIES B PFD | 200.0000 | 24.9514 | 4,990.28 | 06/01/10 | 83.72 | 669 | Long-Term |
| SYMBOL: ARH+B | | | | | | | |
| BERKSHIRE HATHAWAY B NEW | 400.0000 | 81.1500 | 32,460.00 | | 5,320.65 | 0.00% | 0.00 |
| CLASS B | 150.0000 | 65.9200 | 9,888.00 | 10/22/09 | 2,284.50 | 891 | Long-Term |
| SYMBOL: BRKB | 150.0000 | 69.2600 | 10,389.00 | 11/09/09 | 1,783.50 | 873 | Long-Term |
| Cost Basis | 100.0000 | 68.6235 | 6,862.35 | 08/19/11 | 1,252.65 | 225 | Short-Term |
| | | | 27,139.35 | | | | |
| CATERPILLAR INC | 150.0000 | 106.5200 | 15,978.00 | | (912.94) | 1.72% | 276.00 |
| SYMBOL: CAT | 150.0000 | 112.6062 | 16,890.94 | 03/14/12 | (912.94) | 17 | Short-Term |
| CROWN HOLDINGS INC | 700.0000 | 36.8300 | 25,781.00 | | 5,509.77 | 0.00% | 0.00 |
| SYMBOL: CCK | 400.0000 | 26.8590 | 10,743.60 | 10/20/09 | 3,988.40 | 893 | Long-Term |
| Cost Basis | 100.0000 | 25.5490 | 2,554.90 | 12/04/09 | 1,128.10 | 848 | Long-Term |
| | 200.0000 | 34.8636 | 6,972.73 | 08/29/11 | 393.27 | 215 | Short-Term |
| | | | 20,271.23 | | | | |
| ENSTAR GROUP LTD F | 100.0000 | 98.9900 | 9,899.00 | | 303.05 | 0.00% | 0.00 |
| SYMBOL: ESGR | 100.0000 | 95.9595 | 9,595.95 | 11/14/11 | 303.05 | 138 | Short-Term |

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Charles SCHWAB
INSTITUTIONAL

Schwab One® Account of
JONATHAN M HARRIS FAMILY FOUND

Statement Period
March 1-31, 2012

Investment Detail - Equities (continued)

| Equities (continued) | Quantity Units Purchased | Market Price Cost Per Share | Market Value Cost Basis | Acquired | Accounting Method Equities, Last In First Out [LIFO] | | |
|---|-----------------------------|--------------------------------|----------------------------|----------|---|--------------------|--|
| | | | | | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income Holding Period |
| GOLDMAN SACHS GROUP INC SYMBOL: GS | 240.0000 | 124.3700 | 29,848.80 | 07/22/10 | (1,326.53) | 1.12% | 336.00 Long-Term |
| | 50.0000 | 149.2206 | 7,461.03 | 09/03/10 | (1,242.53) | 618 | Long-Term |
| | 20.0000 | 144.7335 | 2,894.67 | 02/01/12 | (407.27) | 575 | Long-Term |
| | 70.0000 | 115.3850 | 8,076.95 | 03/27/12 | 628.95 | 59 | Short-Term |
| | 100.0000 | 127.4268 | 12,742.68 | | (305.68) | 4 | Short-Term |
| Cost Basis | | | 31,175.33 | | | | |
| GOOGLE INC CLASS A SYMBOL: GOOG | 40.0000 | 641.2400 | 25,649.60 | 08/02/10 | 2,706.08 | 0.00% | 0.00 Long-Term |
| | 15.0000 | 492.1526 | 7,382.29 | 12/02/11 | 2,236.31 | 607 | Long-Term |
| | 25.0000 | 622.4492 | 15,561.23 | | 469.77 | 120 | Short-Term |
| Cost Basis | | | 22,943.52 | | | | |
| MACQUARIE INFRASTRUC LLC SYMBOL: MIC | 400.0000 | 32.9900 | 13,196.00 | 10/12/11 | 3,142.84 | 2.42% | 320.00 Short-Term |
| | 400.0000 | 25.1329 | 10,053.16 | | 3,142.84 | 171 | |
| ORACLE CORPORATION SYMBOL: ORCL | 500.0000 | 29.1600 | 14,580.00 | 12/15/09 | 2,614.60 | 0.82% | 120.00 Long-Term |
| | 200.0000 | 23.3500 | 4,670.00 | 12/22/09 | 1,162.00 | 837 | Long-Term |
| | 300.0000 | 24.3180 | 7,295.40 | | 1,452.60 | 830 | Long-Term |
| Cost Basis | | | 11,965.40 | | | | |
| PEPSICO INCORPORATED SYMBOL: PEP | 450.0000 | 66.3500 | 29,857.50 | 10/20/09 | 2,058.70 | 3.10% | 927.00 Long-Term |
| | 250.0000 | 61.9880 | 15,497.00 | 10/26/09 | 1,090.50 | 893 | Long-Term |
| | 100.0000 | 60.6390 | 6,063.90 | 11/16/09 | 571.10 | 887 | Long-Term |
| | 100.0000 | 62.3790 | 6,237.90 | | 397.10 | 866 | Long-Term |
| Cost Basis | | | 27,798.80 | | | | |
| PROCTER & GAMBLE SYMBOL: PG | 400.0000 | 67.2100 | 26,884.00 | 11/04/09 | 2,522.20 | 3.12% | 840.00 Long-Term |
| | 150.0000 | 59.5180 | 8,927.70 | 11/09/09 | 1,153.80 | 878 | Long-Term |
| | 150.0000 | 61.3680 | 9,205.20 | 11/16/09 | 876.30 | 873 | Long-Term |
| | 100.0000 | 62.2890 | 6,228.90 | | 492.10 | 866 | Long-Term |
| Cost Basis | | | 24,361.80 | | | | |

Investment Detail - Equities (continued)

Accounting Method
Equities: Last In First Out (LIFO)

| Equities (continued) | Quantity Units Purchased | Market Price Cost Per Share | Market Value Cost Basis | Acquired | Unrealized Gain or (Loss) | Estimated Yield Holding Days | Estimated Annual Income Holding Period |
|---|--|---|--|--|---|---|---|
| QUALCOMM INC SYMBOL: QCOM | 200.0000 200.0000 | 68.0600 57.0197 | 13,612.00 11,403.95 | 11/08/11 | 2,208.05 2,208.05 | 1.26% 144 | 172.00 Short-Term |
| REPUBLIC SERVICES INC SYMBOL: RSG | 700.0000 300.0000 300.0000 100.0000 | 30.5600 31.5590 28.5150 27.7579 | 21,392.00 9,467.70 8,554.50 2,775.79 | 10/14/10 11/18/10 08/15/11 | 594.01 (299.70) 613.50 280.21 | 2.87% 534 499 229 | 616.00 Long-Term Long Term Short-Term |
| <i>Cost Basis</i> | | | 20,797.99 | | | | |
| RETAIL OPTTY INVESTMENTS SYMBOL: ROIC | 1,500.0000 1,000.0000 100.0000 100.0000 100.0000 100.0000 100.0000 | 12.0400 10.1674 10.8284 10.8284 10.8284 10.8284 10.8284 | 18,060.00 10,167.49 1,082.84 1,082.84 1,082.84 1,082.84 1,082.84 | 01/07/10 05/09/11 05/09/11 05/09/11 05/09/11 05/09/11 05/09/11 | 2,478.31 | 3.98% 814 327 327 327 327 327 | 720.00 Long-Term Short-Term Short-Term Short-Term Short-Term Short-Term |
| <i>Cost Basis</i> | | | 15,581.69 | | | | |
| SCHLUMBERGER LTD SYMBOL: SLB | 300.0000 100.0000 100.0000 100.0000 | 69.9300 62.6280 76.8990 76.8592 | 20,979.00 6,262.80 7,689.90 7,685.92 | 11/02/09 11/11/11 02/01/12 | (659.62) 730.20 (696.90) (692.92) | 1.43% 880 141 59 | 300.00 Long-Term Short-Term Short-Term |
| <i>Cost Basis</i> | | | 21,638.62 | | | | |
| TYCO INTL LTD NEW SYMBOL: TYC | 600.0000 300.0000 50.0000 250.0000 | 56.1800 36.0782 37.6546 43.4182 | 33,708.00 10,823.47 1,882.73 10,854.55 | 06/10/10 06/15/10 10/10/11 | 10,147.25 6,030.53 926.27 3,190.45 | 1.77% 660 655 173 | 600.00 Long-Term Long-Term Short-Term |
| <i>Cost Basis</i> | | | 23,560.75 | | | | |
| UNION PACIFIC CORP SYMBOL: UNP | 150.0000 150.0000 | 107.4800 74.8035 | 16,122.00 11,220.53 | 07/27/10 | 4,901.47 4,901.47 | 2.23% 613 | 360.00 Long-Term |



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Schwab One® Account of
JONATHAN M HARRIS FAMILY FOUND

Statement Period
March 1-31, 2012

Investment Detail - Equities (continued)

| Equities (continued) | Accounting Method Equities: Last In First Out [LIFO] | | | | | |
|----------------------|---|-------------------|--------------|------------------------------|--------------------|----------------------------|
| | Quantity | Market Price | Market Value | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
| | Units Purchased | Cost Per Share | Cost Basis | Acquired | Holding Days | Holding Period |
| WELLS FARGO & CO NEW | 600.0000 | 34.1400 | 20,484.00 | 4,163.97 | 1.40% | 288.00 |
| SYMBOL: WFC | 400.0000 | 27.6702 | 11,068.08 | 2,587.92 | 645 | Long-Term |
| | 200.0000 | 26.2597 | 5,251.95 | 1,576.05 | 543 | Long-Term |
| Cost Basis | | | 16,320.03 | | | |
| Total Equities | 8,305.0000 | | 440,875.15 | 79,645.67 | | 6,268.76 |
| | | Total Cost Basis: | 361,229.48 | | | |

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Other Assets

| Other Assets | Accounting Method Other Assets: Last In First Out [LIFO] | | | | | |
|-----------------------------|---|-------------------|--------------|------------------------------|--------------|----------------|
| | Quantity | Market Price | Market Value | Unrealized Gain or (Loss) | Holding Days | Holding Period |
| | Units Purchased | Cost Per Share | Cost Basis | Acquired | | |
| AMERICAN TOWER CORP REIT | 250.0000 | 63.0200 | 15,755.00 | 2,776.97 | | |
| SYMBOL: AMT | 250.0000 | 51.9121 | 12,978.03 | 2,776.97 | 284 | Short-Term |
| BLACKSTONE GROUP LP | 800.0000 | 15.9400 | 12,752.00 | 3,791.00 | | |
| SYMBOL: BX | 800.0000 | 10.8004 | 8,961.00 | | 563 | Long-Term |
| Total Other Assets | 1,050.0000 | | 28,507.00 | 6,567.97 | | |
| | | Total Cost Basis: | 21,939.03 | | | |

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| 75,000. | | SEE REALIZED G(L) REPORT ATTACHED PROPERTY TYPE: SECURITIES 75,000. | | | | P | VARIOUS | VARIOUS |
| 175,928. | | SEE REALIZED G(L) REPORT ATTACHED PROPERTY TYPE: SECURITIES 196,051. | | | | P | VARIOUS -20,123. | VARIOUS |
| 56,683. | | SEE REALIZED G(L) REPORT ATTACHED PROPERTY TYPE: SECURITIES 53,861. | | | | P | VARIOUS 2,822. | VARIOUS |
| 64. | | LTCG THRU BLACKSTONE | | | | | 64. | |
| TOTAL GAIN(LOSS) | | | | | | | <u>-17,237.</u> | |

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Name of estate or trust

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

JONATHAN M HARRIS FAMILY FOUNDATION, INC.

Employer identification number

20-8613426

Note. Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo , day, yr) | (c) Date sold (mo , day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|--------------------------------------|----------------------------------|-----------------|---|--|
| 1a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|--|-----------|----------|
| b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b | 1b | -17,301. |
| 2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 2 | |
| 3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 3 | |
| 4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet | 4 | () |
| 5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back | 5 | -17,301. |

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| (a) Description of property (Example 100 shares 7% preferred of "Z" Co) | (b) Date acquired (mo , day, yr) | (c) Date sold (mo , day, yr) | (d) Sales price | (e) Cost or other basis (see instructions) | (f) Gain or (loss) for the entire year Subtract (e) from (d) |
|---|--------------------------------------|----------------------------------|-----------------|---|--|
| 6a | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| | | |
|--|-----------|-----|
| b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b | 6b | 64. |
| 7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 7 | |
| 8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 8 | |
| 9 Capital gain distributions | 9 | |
| 10 Gain from Form 4797, Part I | 10 | |
| 11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet | 11 | () |
| 12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back | 12 | 64. |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

| Part III Summary of Parts I and II | | (1) Beneficiaries' (see instr) | (2) Estate's or trust's | (3) Total |
|---|---|---------------------------------|-------------------------|-----------|
| Caution: Read the instructions before completing this part | | | | |
| 13 | Net short-term gain or (loss) | 13 | | -17,301. |
| 14 | Net long-term gain or (loss): | | | |
| a | Total for year | 14a | | 64. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrkst) | 14b | | |
| c | 28% rate gain | 14c | | |
| 15 | Total net gain or (loss). Combine lines 13 and 14a ▶ | 15 | | -17,237. |

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

| Part IV Capital Loss Limitation | |
|--|---|
| 16 | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000 |
| 16 | (3,000.) |

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

| | | | | |
|-----------|---|-----------|--|--|
| 17 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | 17 | | |
| 18 | Enter the smaller of line 14a or 15 in column (2) but not less than zero | 18 | | |
| 19 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 19 | | |
| 20 | Add lines 18 and 19 | 20 | | |
| 21 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 21 | | |
| 22 | Subtract line 21 from line 20. If zero or less, enter -0- | 22 | | |
| 23 | Subtract line 22 from line 17. If zero or less, enter -0- | 23 | | |
| 24 | Enter the smaller of the amount on line 17 or \$2,300 | 24 | | |
| 25 | Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23 | 25 | | |
| 26 | Subtract line 25 from line 24 | 26 | | |
| 27 | Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22 | 27 | | |
| 28 | Enter the amount from line 26 (If line 26 is blank, enter -0-) | 28 | | |
| 29 | Subtract line 28 from line 27 | 29 | | |
| 30 | Multiply line 29 by 15% (15) | 30 | | |
| 31 | Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 31 | | |
| 32 | Add lines 30 and 31 | 32 | | |
| 33 | Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | 33 | | |
| 34 | Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) | 34 | | |

Schedule D (Form 1041) 2011

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2011

JONATHAN M HARRIS FAMILY FOUNDATION, INC.

20-8613426

[illegible]

| | |
|--|----------|
| 1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b | -17,301. |
|--|----------|

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

[illegible]

Schedule D-1 (Form 1041) 2011

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **►**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐ **►**

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

| | | |
|---|--|--|
| Type or print File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions. | Employer identification number (EIN) or |
| | JONATHAN M HARRIS FAMILY FOUNDATION, INC. | <input checked="" type="checkbox"/> 20-8613426 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. | Social security number (SSN) |
| | c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR | <input type="checkbox"/> |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. | |
| | NEW YORK, NY 10005 | |

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|--------------------------|-------------|
| Form 990 | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 01 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ► BCRS ASSOCIATES, LLC

Telephone No. ► 212-440-0800

FAX No. ► N/A

- If the organization does not have an office or place of business in the United States, check this box ☐ **►**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ **►**. If it is for part of the group, check this box ☐ **►** and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 or

► ☒ tax year beginning APRIL 1, 20 11, and ending MARCH 31, 20 12.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | | |
|----|---|----|----|--------|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 0.00 |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 124.00 |
| c | Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0.00 |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **▶**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

| | | |
|---|--|--|
| Type or print File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions. JONATHAN M HARRIS FAMILY FOUNDATION, INC. | Employer identification number (EIN) or <input checked="" type="checkbox"/> 20-8613426 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR | Social security number (SSN) <input type="checkbox"/> |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005 | |

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|--------------------|-------------|
| Form 990 | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 01 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of **▶ BCRS ASSOCIATES, LLC**

Telephone No. **▶ 212-440-0811**

FAX No. **▶ N/A**

• If the organization does not have an office or place of business in the United States, check this box ☐ **▶**

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) **▶** . If this is for the whole group, check this box ☐ **▶** . If it is for part of the group, check this box ☐ **▶** and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **FEBRUARY 15**, 20 **13**.
- 5 For calendar year **2011**, or other tax year beginning **APRIL 1**, 20 **11**, and ending **MARCH 31**, 20 **12**.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension **ALL INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.**

| | | | |
|--|-----------|----|---------------|
| 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | \$ | 0.00 |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ | 124.00 |
| c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions. | 8c | \$ | 0.00 |

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶**

Title **▶ CPA**

Date **▶ 11/15/2012**