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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 4/01, 2011, and ending 3/31, 2012

FIREBIRD FOUNDATION FOR
ANTHROPOLOGICAL RESEARCH
PO BOX A
PHILLIPS, ME 04966-1501A Employer identification number
01-0524375B Telephone number (see the instructions)
207-639-3939C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 3,470,714.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (attach sch)	42,693.			
2 <input type="checkbox"/> if the foundn is not req to attach B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	83,597.	83,597.	83,597.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	5,198.			
b Gross sales price for all assets on line 6a	1,135,227.			
7 Capital gain net income (from Part IV, line 2)		5,198.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (attach sch)				
11 Other income (attach schedule)				
SEE STATEMENT 1	-1,820.	-1,820.	-1,820.	
12 Total. Add lines 1 through 11	129,668.	86,975.	81,777.	
13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages	91,356.			91,356.
15 Pension plans, employee benefits	2,198.			2,198.
16a Legal fees (attach schedule)	4,418.			4,418.
b Accounting fees (attach sch)	1,250.			1,250.
c Other prof fees (attach sch)	56,563.	14,286.	14,286.	56,563.
17 Interest				
18 Taxes (attach schedule) (see instrs)	22,209.	8,668.	8,668.	22,209.
19 Depreciation (attach sch) and depletion	9,756.			
20 Occupancy	4,660.			4,660.
21 Travel, conferences, and meetings	6,542.			6,542.
22 Printing and publications	2,324.			2,324.
23 Other expenses (attach schedule)				
SEE STATEMENT 6	68,391.			68,391.
24 Total operating and administrative expenses. Add lines 13 through 23	269,667.	22,954.	22,954.	259,911.
25 Contributions, gifts, grants paid	122,832.			122,832.
26 Total expenses and disbursements. Add lines 24 and 25	392,499.	22,954.	22,954.	382,743.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-262,831.			
b Net investment income (if negative, enter -0-)		64,021.		
c Adjusted net income (if negative, enter -0-)			58,823.	

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	25,158.	8,721.	8,721.
	2 Savings and temporary cash investments	2,443.	3,043.	3,043.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)			
LIABILITIES	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) STATEMENT 8.	3,069,886.	2,915,089.	3,108,950.
	14 Land, buildings, and equipment basis 386,092.			
	Less: accumulated depreciation (attach schedule) SEE STMT 9	44,008.	331,943.	342,084.
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	3,429,430.	3,268,937.	3,470,714.
	17 Accounts payable and accrued expenses	996.	710.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
NET ASSET BALANCE	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 10)	10,701.	4,471.	
	23 Total liabilities (add lines 17 through 22)	11,697.	5,181.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
FUNDS	29 Retained earnings, accumulated income, endowment, or other funds	3,417,733.	3,263,756.	
	30 Total net assets or fund balances (see instructions)	3,417,733.	3,263,756.	
	31 Total liabilities and net assets/fund balances (see instructions)	3,429,430.	3,268,937.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,417,733.
2	Enter amount from Part I, line 27a	2	-262,831.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 11	3	109,888.
4	Add lines 1, 2, and 3	4	3,264,790.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 12	5	1,034.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,263,756.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 13				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(j) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	5,198.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 </div>		3	-2,624.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	738,262.	3,222,484.	0.229097
2009	233,913.	1,903,943.	0.122857
2008	472,660.	467,123.	1.011853
2007	182,050.	469,338.	0.387887
2006	170,994.	455,056.	0.375765

2 Total of line 1, column (d)	2	2.127459
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.425492
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,581,074.
5 Multiply line 4 by line 3	5	1,523,718.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	640.
7 Add lines 5 and 6	7	1,524,358.
8 Enter qualifying distributions from Part XII, line 4	8	402,640.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,280.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,280.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,280.
6 Credits/Payments.			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	2,200.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	1,100.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,300.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,020.	
11 Enter the amount of line 10 to be credited to 2012 estimated tax	11	520.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► <u>DR. GEORGE N. APPELL</u> Telephone no. ► <u>207-639-3939</u> Located at ► <u>PO BOX A, PHILLIPS ME</u> ZIP + 4 ► <u>04966-1501</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15	N/A	►	<input type="checkbox"/>
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►		Yes	No
		16		X

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly).			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)		2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20__ , 20__ , 20__ , 20__			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)		3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**5b** N/A**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

6b X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	3,270,926.
b Average of monthly cash balances	1b	14,682.
c Fair market value of all other assets (see instructions)	1c	350,000.
d Total (add lines 1a, b, and c)	1d	3,635,608.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	3,635,608.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	54,534.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,581,074.
6 Minimum investment return. Enter 5% of line 5	6	179,054.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6	N/A	1	
2a Tax on investment income for 2011 from Part VI, line 5	2a		
b Income tax for 2011. (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c		
3 Distributable amount before adjustments Subtract line 2c from line 1	3		
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7		

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	382,743.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	19,897.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	402,640.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	402,640.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7.				
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years: 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2011.				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ _____				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2011 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling					8/30/00
b Check box to indicate whether the foundation is a private operating foundation described in section <input checked="" type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a	58,823.	74,611.	51,468.	9,839.	194,741.
c Qualifying distributions from Part XII, line 4 for each year listed	50,000.	63,419.	43,748.	8,363.	165,530.
d Amounts included in line 2c not used directly for active conduct of exempt activities	402,640.	738,262.	233,913.	472,758.	1,847,573.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0.
3 Complete 3a, b, or c for the alternative test relied upon:	402,640.	738,262.	233,913.	472,758.	1,847,573.
a 'Assets' alternative test — enter:					
(1) Value of all assets	3,470,714.	3,793,503.	3,089,239.	472,095.	10,825,551.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 GEORGE N APPELL

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
 NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Total				3a
b Approved for future payment				
Total				3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			1		
4 Dividends and interest from securities			1		83,597.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					5,198.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a PARTNERSHIP INCOME					-1,820.
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					86,975.
13 Total. Add line 12, columns (b), (d), and (e)				13	86,975.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2011

Name of the organization **FIREBIRD FOUNDATION FOR
ANTHROPOLOGICAL RESEARCH**

Employer identification number
01-0524375

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

FIREBIRD FOUNDATION FOR

01-0524375

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DR GEORGE N. APPELL PO BOX A PHILLIPS, ME 04966	\$ 17,693.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	LAURA W.R. APPELL PO BOX A PHILLIPS, ME 04966	\$ 10,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	CHARITY APPELL MCNABB PO BOX 248 ASCUTNEY, VT 05030	\$ 15,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Employer identification number

01-0524375

Part II:

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A	\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

FIREBIRD FOUNDATION FOR

Employer identification number

01-0524375

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete cols (a) through (e) and the following line entry

For organizations completing Part III, enter total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.)
 Use duplicate copies of Part III if additional space is needed.

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

BAA

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FIREBIRD FOUNDATION FOR
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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME			
TOTAL	\$ -1,820.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTAL	\$ 4,418.	\$ 0.	\$ 0.	\$ 4,418.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTAL	\$ 1,250.	\$ 0.	\$ 0.	\$ 1,250.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 14,286.	\$ 14,286.	\$ 14,286.	\$ 14,286.
OUTSIDE CONSULTING	42,277.			42,277.
TOTAL	\$ 56,563.	\$ 14,286.	\$ 14,286.	\$ 56,563.

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STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	\$ 945.	\$ 945.	\$ 945.	\$ 945.
INCOME TAXES	9,923.	7,723.	7,723.	9,923.
PAYROLL TAXES	6,782.			6,782.
REAL ESTATE	4,559.			4,559.
TOTAL	\$ 22,209.	\$ 8,668.	\$ 8,668.	\$ 22,209.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK AND PAYROLL PROCESSING FEES	\$ 837.			\$ 837.
COMPUTER REPAIRS	1,006.			1,006.
INSURANCE	10,324.			10,324.
MAINTENANCE	28,335.			28,335.
MEMBERSHIPS	458.			458.
MISCL	36.			36.
OFFICE SUPPLIES	1,181.			1,181.
RENTAL EXPENSES	12,600.			12,600.
SERVICE FEES	1,599.			1,599.
SMALL EQUIPMENT	6,969.			6,969.
TELEPHONE	2,546.			2,546.
WEB PAGE	2,500.			2,500.
TOTAL	\$ 68,391.	\$ 0.	\$ 0.	\$ 68,391.

STATEMENT 7
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTSCASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	CHRISTOPHER BALLARD	
DONEE'S ADDRESS:	THE AUSTRALIAN NATIONAL UNIVERSITY , CANBERRA ACT 0200 AUSTRALIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 9,710.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	VANTAL BAPUI	
DONEE'S ADDRESS:	MISSION COMPOUND HAFLONG, ASSAM 788819 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		6,550.

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**STATEMENT 7 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS**

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	G. YU'BRUG	
DONEE'S ADDRESS:	JIZONG VILLAGE, SHUIZI TOWNSHIP DANBAN COUNTY, SICHUAN 626300 CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 5,264.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	HA MINGONG	
DONEE'S ADDRESS:	CHAGAN VILLAGE, TIAN TONG TOWN TIANZHU, GANSU CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		5,007.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DR. KATHLEEN HOOD	
DONEE'S ADDRESS:	4505 COBATH AVENUE #10 SHERAMAN OAKS, CA 91423	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		3,500.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	HOM PRASAD RAI	
DONEE'S ADDRESS:	KRISHNAMANDIR, IMADOL 2 LALITPUR, NEPAL	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,480.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DR. DAVID LIPSET	
DONEE'S ADDRESS:	395 HUMPHERY CENTER MINNEAPOLIS, MN 55455	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	JENNIFER LEASON	
DONEE'S ADDRESS:	322 NORTHVIEW DRIVE VERNON, BC V1H2C3 CANADA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		10,000.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	KALAHARI PEOPLES FUND	
DONEE'S ADDRESS:	PO BOX 7855 AUSTIN, US	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	501(C) 3 CHARITY	
AMOUNT GIVEN:		9,910.

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FIREBIRD FOUNDATION FOR
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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	KEPOR MARA	
DONEE'S ADDRESS:	C/O TOJUM MARA. FISHERY DEPT ITANGAR, ARUNACHAL 791113 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 7,340.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	ELENA MIHAS	
DONEE'S ADDRESS:	1953 ROLLING RIDGE DRIVE WEST BEND, US	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		6,252.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	ANUJA MOHAN PRADHAN	
DONEE'S ADDRESS:	NATIONAL METALURGICAL LABORATORY JAMSHEDPUR, 831007 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		6,892.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	GERALD ROCHE	
DONEE'S ADDRESS:	QUINHAI UNIVERSITY XINING, QINGHAI 810008 CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		7,966.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DINEKE SCHOKKIN	
DONEE'S ADDRESS:	4/14 BODEN STREET YORKEYS KNOB, QLD 4878 AUSTRALIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		5,584.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	ANNE SCHWARTZ	
DONEE'S ADDRESS:	JAMES COOK UNIVERSITY TOWNSVILLE, QLD 4811 AUSTRALIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		8,182.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	ABOKALI SUMI/AMOS TEO	
DONEE'S ADDRESS:	250 NITO MOUNT ZUNHEBOTO, NAGALAND 798620 INDIA	
RELATIONSHIP OF DONEE:		
ORGANIZATIONAL STATUS OF DONEE:		
AMOUNT GIVEN:		9,022.

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
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FIREBIRD FOUNDATION FOR
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STATEMENT 7 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTSDONEE'S NAME:
DONEE'S ADDRESS:ZHANG SIHONG
JAMES COOK UNIVERSITY
SMITHFIELD, QLD 4870 AUSTRALIARELATIONSHIP OF DONEE:
ORGANIZATIONAL STATUS OF DONEE:
AMOUNT GIVEN:

\$ 7,173.

TOTAL \$ 122,832.STATEMENT 8
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
HIGHMOUNT CAPITAL	COST	\$ 2,792,560.	\$ 2,980,118.
SPC LLC'S RESIDUAL VALUE	COST	122,529.	128,832.
	TOTAL	\$ <u>2,915,089.</u>	\$ <u>3,108,950.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 29,907.	\$ 5,143.	\$ 24,764.	\$ 0.
MACHINERY AND EQUIPMENT	23,749.	23,749.	0.	0.
BUILDINGS	295,858.	10,828.	285,030.	315,000.
IMPROVEMENTS	1,583.	1,583.	0.	0.
LAND	32,290.		32,290.	35,000.
MISCELLANEOUS	366,195.	34,252.	331,943.	0.
TOTAL	\$ <u>749,582.</u>	\$ <u>75,555.</u>	\$ <u>674,027.</u>	\$ <u>350,000.</u>

STATEMENT 10
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DUE TO OTHER ORGANIZATION

\$ 4,471.

TOTAL \$ 4,471.

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FIREBIRD FOUNDATION FOR
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STATEMENT 11
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

MUNICIPAL INTEREST	\$	10,055.
NON DIVIDEND DISTRIBUTIONS		532.
NON REALIZED GAINS ON SECURITIES		99,301.
TOTAL	\$	109,888.

STATEMENT 12
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

BASIS ADJUSTMENTS OF SECURITIES	\$	426.
EXPENSES ALLOCATED TO NON TAXABLE INCOME		608.
TOTAL	\$	1,034.

STATEMENT 13
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	581.395 EATON VANCE FLTG RATE	PURCHASED	2/08/2011	8/23/2011
2	535.906 FIDELITY ADVISOR FLTG RATE HIGH	PURCHASED	2/08/2011	8/23/2011
3	971 MARKET VECTORS GOLD MINERS ETF	PURCHASED	VARIOUS	VARIOUS
4	893.655 NEUBERGER BERMAN EQUITY FD	PURCHASED	3/01/2011	8/30/2011
5	500 POWERSHARES HIGH YIELD CORPORATE BOND	PURCHASED	3/01/2011	8/30/2011
6	843.209 CHAMPLAIN MID CAP FD	PURCHASED	3/29/2010	4/08/2011
7	100,000 FED HOME LN BANK	PURCHASED	2/12/2010	4/15/2011
8	3075 ISHARES AUSTRALIA INDEX	PURCHASED	VARIOUS	VARIOUS
9	950 ISHARES LATIN AMERICA	PURCHASED	VARIOUS	8/25/2011
10	3250 ISHARES RUSSELL 300 INDEX FUND	PURCHASED	VARIOUS	VARIOUS
11	414 MARKET VECTORS GOLD MINERS ETF	PURCHASED	6/08/2010	8/30/2011
12	1500 POWERSHARES DB AGRICULTURE FUND	PURCHASED	VARIOUS	5/16/2011
13	525 POWERSHARES WATER PORTFOLIO	PURCHASED	VARIOUS	3/14/2012
14	85 S&P MID CAP 400 ETF TRUST	PURCHASED	3/28/2008	2/07/2012
15	2250 SPDR TRUST SER 1	PURCHASED	VARIOUS	VARIOUS
16	100,000 TIME WARNER CABLE 5%	PURCHASED	VARIOUS	5/18/2011
17	245 TORTOISE ENERGY INFRASTRUCTURE	PURCHASED	4/15/2010	3/14/2012
18	625 US 12 MOS OIL	PURCHASED	VARIOUS	8/25/2011
19	1504 PROSHARES ULTRA SHORT LEHMAN	PURCHASED	VARIOUS	12/19/2011
20	LONG TERM CAPITAL GAIN DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS
21	SECTION 1256 CONTRACTS	PURCHASED	VARIOUS	VARIOUS
22	SECTION 1256 CONTRACTS	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	5,000.		5,285.	-285.				\$ -285.
2	5,000.		5,305.	-305.				-305.
3	56,496.		59,117.	-2,621.				-2,621.
4	10,000.		10,080.	-80.				-80.
5	8,903.		9,279.	-376.				-376.
6	10,000.		8,446.	1,554.				1,554.
7	109,550.		106,070.	3,480.				3,480.

2011

FEDERAL STATEMENTS

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CLIENT 9903000

FIREBIRD FOUNDATION FOR
ANTHROPOLOGICAL RESEARCH

01-0524375

11/08/12

08 20AM

STATEMENT 13 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
8	76,996.		74,857.	2,139.				\$ 2,139.
9	41,980.		31,226.	10,754.				10,754.
10	253,430.		253,430.	0.				0.
11	24,081.		19,604.	4,477.				4,477.
12	48,270.		45,254.	3,016.				3,016.
13	9,927.		8,235.	1,692.				1,692.
14	14,933.		12,031.	2,902.				2,902.
15	284,916.		284,916.	0.				0.
16	103,775.		98,889.	4,886.				4,886.
17	9,973.		8,404.	1,569.				1,569.
18	24,064.		25,746.	-1,682.				-1,682.
19	26,541.		63,855.	-37,314.				-37,314.
20	8,784.		0.	8,784.				8,784.
21	1,043.		0.	1,043.				1,043.
22	1,565.		0.	1,565.				1,565.
							TOTAL	\$ 5,198.

STATEMENT 14
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
GEORGE N APPELL PO BOX A PHILLIPS, ME 04966	PRESIDENT 0	\$ 0.	\$ 0.	\$ 0.
LAURA P.A. WARREN ST MARKS SCHOOL SOUTHBOROUGH, MA 01772	TREASURER 0	0.	0.	0.
AMITY A DOOLITTLE 119 EVERIT STREET NEW HAVEN, CT 06511	0	0.	0.	0.
CHARITY R APPELL MCNABB PO BOX 248 ASCUTNEY, VT 05030	0	0.	0.	0.
ANTON PLOEG G.SLAGMASTER 9,7217 SE HARFSEN THE NETHERLANDS,	0	0.	0.	0.
MICHAEL J DOOLITTLE 119 EVERIT STREET NEW HAVEN, CT 06511	0	0.	0.	0.

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	FIREBIRD FOUNDATION FOR ANTHROPOLOGICAL RESEARCH	<input checked="" type="checkbox"/> 01-0524375
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	PO BOX A	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	PHILLIPS, ME 04966-1501	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► DR. GEORGE N. APPELL

Telephone No ► 207-639-3939 FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 11/15, 20 12, to file the exempt organization return for the organization named above.
The extension is for the organization's return for.

- ☐ calendar year 20 ____ or
- ☒ tax year beginning 4/01, 20 11, and ending 3/31, 20 12

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	3,300.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	3,300.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Paperwork Reduction Act Notice, see Instructions.Form **8868** (Rev 1-2012)