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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning 03/01, 2011, and ending 02/29, 2012

Name of foundation DOTY FAMILY FOUNDATION		A Employer identification number 13-2921496
Number and street (or P.O. box number if mail is not delivered to street address) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR		B Telephone number (see instructions) (212) 440-0800
City or town, state, and ZIP code NEW YORK, NY 10005		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 5,838,997.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	2,221.	2,221.		ATCH 1
	4 Dividends and interest from securities	151,036.	151,036.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	79,805.			
	b Gross sales price for all assets on line 6a	1,174,533.			
	7 Capital gain net income (from Part IV, line 2)		79,805.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	233,062.	233,062.	0	
	13 Compensation of officers, directors, trustees, etc.	18,000.	13,500.		4,500.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	7,400.	3,700.		3,700.
	c Other professional fees (attach schedule) *	29,398.	29,398.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 5	9,248.	975.		8,273.	
24 Total operating and administrative expenses. Add lines 13 through 23	64,046.	47,573.		16,473.	
25 Contributions, gifts, grants paid	544,585.			544,585.	
26 Total expenses and disbursements. Add lines 24 and 25	608,631.	47,573.	0	561,058.	
27 Subtract line 26 from line 12	-375,569.				
a Excess of revenue over expenses and disbursements		185,489.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see Instructions.

*ATCH 4 JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		563.	2,400.	2,400.
	2	Savings and temporary cash investments		123,260.	66,573.	66,573.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 6		4,243,460.	4,195,504.	4,828,882.
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ ATCH 7)		1,231,175.	958,412.	941,142.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		5,598,458.	5,222,889.	5,838,997.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/> X					
	27	Capital stock, trust principal, or current funds		5,598,458.	5,222,889.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		5,598,458.	5,222,889.	
	31	Total liabilities and net assets/fund balances (see instructions)		5,598,458.	5,222,889.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,598,458.
2	Enter amount from Part I, line 27a	2	-375,569.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,222,889.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,222,889.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	79,805.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	392,650.	5,981,428.	0.065645
2009	631,440.	5,932,663.	0.106434
2008	480,515.	6,654,688.	0.072207
2007	778,645.	8,160,687.	0.095414
2006	477,784.	7,960,537.	0.060019
2 Total of line 1, column (d)			2 0.399719
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.079944
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 5,889,119.
5 Multiply line 4 by line 3			5 470,800.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,855.
7 Add lines 5 and 6			7 472,655.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 561,058.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,855.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,855.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,855.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,376.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,376.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	521.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 521. Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of BCRS ASSOCIATES, LLC Telephone no 212-440-0800			
Located at 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP + 4 10005				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country 16	Yes	No	
			X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b		N/A
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? 1c		N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 2a		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) 2b		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 2c		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) 3b		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		18,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A		0	0	0

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	NONE

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.	NONE
2 N/A	
3 N/A	
4 N/A	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	5,219,453.
b	Average of monthly cash balances	1b	759,348.
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	5,978,801.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,978,801.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	89,682.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,889,119.
6	Minimum investment return. Enter 5% of line 5	6	294,456.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	294,456.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,855.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,855.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	292,601.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	292,601.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	292,601.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	561,058.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	561,058.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,855.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	559,203.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				292,601.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			NONE	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006	96,964.			
b From 2007	379,471.			
c From 2008	151,872.			
d From 2009	335,485.			
e From 2010	95,384.			
f Total of lines 3a through e	1,059,176.			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 561,058.				
a Applied to 2010, but not more than line 2a . . .				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				292,601.
e Remaining amount distributed out of corpus . .	268,457.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,327,633.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . .	96,964.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,230,669.			
10 Analysis of line 9:				
a Excess from 2007 . . .	379,471.			
b Excess from 2008 . . .	151,872.			
c Excess from 2009 . . .	335,485.			
d Excess from 2010 . . .	95,384.			
e Excess from 2011 . . .	268,457.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year SEE LIST ATTACHED					544,585.
Total				► 3a	544,585.
b Approved for future payment NONE					
Total				► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	2,221.		
4 Dividends and interest from securities			14	151,036.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	79,805.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue, a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				233,062.		
13 Total. Add line 12, columns (b), (d), and (e)						233,062.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					1,452.	
52,772.		STC(L) - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 78,264.				P	VARIOUS	VARIOUS
							-25,492.	
1,120,309.		LTCG - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 1,016,464.				P	VARIOUS	VARIOUS
							103,845.	
TOTAL GAIN(LOSS)							<u>79,805.</u>	

DOTY FAMILY FOUNDATION

13-2921496

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS,
OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3)
OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANI-
ZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES
NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO
INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICA-
TIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	2,214.	2,214.	
INTEREST ON CHECKING	7.	7.	
TOTAL	<u>2,221.</u>	<u>2,221.</u>	

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH	66,854.	66,854.	
INTEREST ON BONDS THRU MERRILL LYNCH	55,539.	55,539.	
FOREIGN DIVIDENDS THRU MERRILL LYNCH	13,878.	13,878.	
DIVIDENDS - THORNBURG INT'L FD CL A	3,145.	3,145.	
DIVIDENDS - TEMPLETON GLOBAL BOND FD	11,150.	11,150.	
ACCRETION ON BONDS	470.	470.	
TOTAL	<u>151,036.</u>	<u>151,036.</u>	

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,400.	3,700.		3,700.
TOTALS	<u>7,400.</u>	<u>3,700.</u>		<u>3,700.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	29,248.	29,248.		
ANNUAL FEE-MERRILL LYNCH	150.	150.		
TOTALS	<u>29,398.</u>	<u>29,398.</u>		

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
NYS FILING FEE	250.			250.
WIRE TRANSFER FEES	180.	180.		
PUBLICATION NOTICE FEE	125.			125.
FOREIGN TAX PAID	795.	795.		
BANK CHARGES	90.			90.
FADICA ANNUAL MEMBERSHIP DUES	7,500.			7,500.
OFFICE EXPENSE	308.			308.
TOTALS	9,248.	975.		8,273.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	4,243,460.	4,195,504.	4,828,882.
TOTALS	<u>4,243,460.</u>	<u>4,195,504.</u>	<u>4,828,882.</u>

ATTACHMENT 7FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	568,488.	386,491.	386,491.
ISHARES TRUST S&P MIDCAP	160,220.		
ISHARES S&P SMALLCAP 600	196,293.		
THORNBURG INT'L VALUE FD CL A	306,174.	309,319.	301,270.
TEMPLETON GLOBAL BOND FD		262,602.	253,381.
TOTALS	<u>1,231,175.</u>	<u>958,412.</u>	<u>941,142.</u>

DOTY FAMILY FOUNDATION

13-2921496

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS		EXPENSE ACCT	
			TO EMPLOYEE BENEFIT PLANS	AND OTHER ALLOWANCES		
GEORGE E. DOTY (DECEASED 4/24/2012) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0	0	0
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0	0	0
CHRISTOPHER S. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0	0	0
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0	0	0
ANNE MARIE PAINE C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	18,000.	0	0	0	0

DOTY FAMILY FOUNDATION

13-2921496

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
VIRGINIA M. DOTY C/O BCBS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
GRAND TOTALS		18,000.	0	0

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No. 1545-0092

2011

Name of estate or trust

DOTY FAMILY FOUNDATION

Employer identification number

13-2921496

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-25,492.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►	5	-25,492.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS			ATCH 1		1,452.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	103,845.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►	12	105,297.

For Paperwork Reduction Act Notice, see the instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		-25,492.
14	Net long-term gain or (loss):			
a	Total for year	14a		105,297.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		79,805.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	()
a	The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero.	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,300	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (.15)	30		
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No. 1545-0092

2011

► See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

DOTY FAMILY FOUNDATION

Employer Identification number

13-2921496

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-25,492.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	103,845.
--	----------

FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 1

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

LTCG DIVIDEND

1,452.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

1,452.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

1,452.

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
3/1/2011	Catholic Charities - Archdiocese of New York	New York	NY	\$562.50
3/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
3/2/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$30,000.00
3/2/2011	Success For Kids Inc	Los Angeles	CA	\$750.00
3/4/2011	Harvard Business School	Cambridge	MA	\$7,500.00
3/4/2011	Grassroots Business Fund	Washington	DC	\$3,750.00
3/9/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$375.00
3/15/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750.00
3/18/2011	Greenwich Council, BSA	Greenwich	CT	\$2,625.00
3/23/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
3/28/2011	Parkinson's Unity Walk	Kingston	NJ	\$375.00
3/30/2011	Japan Society	New York	NY	\$750.00
3/30/2011	Middlebury Women's Lacrosse Team	Middlebury	VT	\$150.00
4/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
4/12/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
4/12/2011	Richie Ashburn-Harry Kalas Foundation	Blue Bell	PA	\$240.00
4/14/2011	School of the Holy Child	Rye	NY	\$750.00
4/14/2011	Per Scholas	Bronx	NY	\$1,500.00
4/19/2011	The Metropolitan Opera Guild	New York	NY	\$375.00
4/20/2011	Bishop's Annual Appeal - Diocese of Bridgeport	Bridgeport	CT	\$150.00
4/20/2011	Per Scholas	Bronx	NY	\$750.00
4/20/2011	St. Lawrence Church	Shelton	CT	\$150.00
4/22/2011	St. Paul Church - School Endowment Fund	Princeton	NJ	\$1,500.00
4/22/2011	St. Paul Church - School Endowment Fund	Princeton	NJ	\$12,600.00
4/25/2011	Per Scholas	Bronx	NY	\$375.00
4/26/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
5/2/2011	St. Lawrence Church	Shelton	CT	\$600.00
5/17/2011	New York Philharmonic	New York	NY	\$750.00
5/18/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
5/25/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$1,875.00
6/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
6/1/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
6/14/2011	Four Schools Fund	Harrison	NY	\$3,750.00
6/14/2011	Unite for Sight	New Haven	CT	\$750.00
6/16/2011	Witness	Brooklyn	NY	\$375.00
6/23/2011	Metropolitan Museum of Art	New York	NY	\$750.00
6/23/2011	Collegiate School	New York	NY	\$750.00
6/24/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$7,241.85
6/24/2011	Anchor House	Trenton	NJ	\$300.00
6/24/2011	PEI Kids	Lawrenceville	NJ	\$150.00
6/24/2011	Mount Sinai Savi	New York	NY	\$300.00
6/24/2011	Mount Carmel Guild	Trenton	NJ	\$150.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
6/24/2011	The Wharton Fund	Philadelphia	PA	\$375.00
6/24/2011	Georgetown University	Washington	DC	\$1,500.00
7/9/2011	St. Lawrence Church	Shelton	CT	\$600.00
7/14/2011	One Acre Fund	Falcon Heights	MN	\$7,812.00
7/15/2011	Per Scholas	Bronx	NY	\$750.00
7/22/2011	St. Lawrence Church	Shelton	CT	\$750.00
7/22/2011	Cambridge in America	New York	NY	\$51,000.00
8/9/2011	The Artists Collective	Hartford	CT	\$3,000.00
8/9/2011	St. Lawrence Church	Shelton	CT	\$600.00
8/10/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
8/10/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
8/23/2011	Institute for Justice	Arlington	VA	\$750.00
9/2/2011	Iona Preparatory School	New Rochelle	NY	\$19,180.86
9/2/2011	Divine Word Missionaries	Techny	IL	\$375.00
9/2/2011	St. Lawrence Church	Shelton	CT	\$600.00
9/8/2011	Room to Read	San Francisco	CA	\$18,787.20
9/8/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
9/16/2011	Penfield Reef Lighthouse Preservation Commission	Fairfield	CT	\$750.00
9/16/2011	St. Lawrence Church	Shelton	CT	\$750.00
9/16/2011	Montefiore Hospital	Bronx	NY	\$150.00
9/16/2011	United Hospital Fund	New York	NY	\$750.00
9/16/2011	Wharton Club of New York	New York	NY	\$375.00
9/28/2011	Homewood Flossmoor High School Foundation	Homewood	IL	\$375.00
9/29/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
9/30/2011	Satchel's Last Resort	Sarasota	FL	\$150.00
10/11/2011	St. Lawrence Church	Shelton	CT	\$600.00
10/17/2011	Amherst College Alumni Fund	Amherst	MA	\$1,500.00
10/20/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$750.00
10/24/2011	Per Scholas	Bronx	NY	\$20,394.00
10/26/2011	ALS Therapy Development Institute	Cambridge	MA	\$7,500.00
10/29/2011	Fairfield SEPTA Scholarship	Fairfield	CT	\$3,750.00
10/29/2011	Grace Church Community Center	White Plains	NY	\$10,304.25
10/29/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$7,500.00
10/29/2011	Catholic Near East Welfare Association	New York	NY	\$48,000.00
10/29/2011	Bethlehem University	Washington	DC	\$18,750.00
10/29/2011	Asian Relief, Inc.	Annapolis	MD	\$750.00
10/31/2011	Cub Scout Pack 1880	Princeton	NJ	\$150.00
11/5/2011	St. Lawrence Church	Shelton	CT	\$600.00
11/7/2011	Schenectady Theater for Children	Schenectady	NY	\$375.00
11/10/2011	Carnegie Hall Society	New York	NY	\$375.00
11/27/2011	The Dalton School	New York	NY	\$1,875.00
11/27/2011	Collegiate School	New York	NY	\$1,875.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

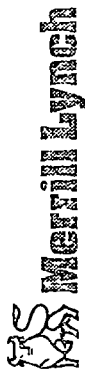
<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
11/27/2011	Catholic Charities	New York	NY	\$2,062.50
11/27/2011	The American Boychoir School	Princeton	NJ	\$375.00
12/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
12/5/2011	Georgetown University	Washington	DC	\$375.00
12/5/2011	Georgetown University	Washington	DC	\$390.00
12/8/2011	Catholic Near East Welfare Association	New York	NY	\$38,434.50
12/13/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$75,000.00
12/13/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$7,500.00
12/16/2011	Harvard Business School	Cambridge	MA	\$5,250.00
12/16/2011	Church of St. Ignatius Loyola	New York	NY	\$150.00
12/16/2011	St. Patrick's Cathedral	New York	NY	\$375.00
12/16/2011	Church of St. Thomas More	New York	NY	\$150.00
12/16/2011	The Metropolitan Museum of Art	New York	NY	\$450.00
12/16/2011	Catholic Big Sisters and Big Brothers	New York	NY	\$750.00
12/21/2011	Church of St. Ignatius Loyola	New York	NY	\$750.00
12/21/2011	Yale Law School	New Haven	CT	\$750.00
12/21/2011	Collegiate School	New York	NY	\$750.00
12/21/2011	Amherst College Alumni Fund	Amherst	MA	\$750.00
12/21/2011	Amherst College Sports Information Fund	Amherst	MA	\$750.00
12/21/2011	Camp Kesem	Lafayette	CA	\$750.00
12/21/2011	Uweza Aid Foundation	New York	NY	\$750.00
12/21/2011	Catholic Big Sisters and Big Brothers	New York	NY	\$750.00
12/21/2011	The Dalton School	New York	NY	\$1,200.00
12/21/2011	Babson College	Wellesley	MA	\$300.00
12/21/2011	The Wharton Fund	Philadelphia	PA	\$600.00
12/21/2011	St. Lawrence Church	Shelton	CT	\$7,500.00
12/21/2011	College of Mount Saint Vincent	Riverdale	NY	\$3,750.00
12/21/2011	Sisters of Mary	Annapolis	MD	\$1,500.00
12/21/2011	Rosemont College	Rosemont	PA	\$750.00
12/21/2011	School of the Holy Child	Rye	NY	\$750.00
12/21/2011	The Metropolitan Opera	New York	NY	\$750.00
12/21/2011	New York Philharmonic	New York	NY	\$750.00
12/21/2011	Fish of Stamford, Inc.	Stamford	CT	\$375.00
12/21/2011	New York City Ballet	New York	NY	\$375.00
12/21/2011	Carnegie Hall Society	New York	NY	\$375.00
12/22/2011	Greenwich Council, BSA	Greenwich	CT	\$1,875.00
12/25/2011	St. Luke's Church	Bronx	NY	\$3,750.00
12/25/2011	Asian Relief, Inc.	Greenbelt	MD	\$9,000.00
1/2/2012	St. Lawrence Church	Shelton	CT	\$600.00
1/6/2012	Catholic Near East Welfare Association	New York	NY	\$30,000.00
1/8/2012	Louis M. Klein Middle School PTA	Harrison	NY	\$1,125.00
1/10/2012	St. Andrew Church	Sag Harbor	NY	\$3,750.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
1/10/2012	St. John the Martyr	New York	NY	\$3,750.00
1/10/2012	Cathedral of Mary Our Queen	Baltimore	MD	\$3,750.00
1/24/2012	Cristo Rey New York High School	New York	NY	\$225.00
2/2/2012	Bishop's Annual Appeal - Diocese of Trenton	Trenton	NJ	\$1,500.00
2/2/2012	St. Lawrence Church	Shelton	CT	\$600.00
2/2/2012	Schenectady Theater for Children	Schenectady	NY	\$750.00
2/16/2012	First Reformed Church	Schenectady	NY	\$1,500.00

TOTAL CONTRIBUTIONS PAID* \$544,584.66

*ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE
CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL
REVENUE CODE



EMASM Fiscal Statement

DOTY FAMILY FOUNDATION
FYE 2/29/2012

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
45,000.0000	JP MORGAN CHASE & 6.75% 11	01/23/03	02/01/11	45,000.00	45,000.00	0.00
800.0000	CONSTELLATION ENERGY GP	06/10/03	03/28/11	24,602.90	27,188.01	(2,585.11) LT
100.0000	CONSTELLATION ENERGY GP	08/10/03	03/28/11	3,075.36	3,389.00	(313.64) LT
100.0000	CONSTELLATION ENERGY GP	06/10/03	03/28/11	3,075.36	3,392.00	(316.64) LT
1,000.0000	CONSTELLATION ENERGY GP	09/23/09	03/28/11	30,753.65	33,103.25	(2,349.60) LT
1,250.0000	COLGATE PALMOLIVE	06/27/06	03/28/11	100,706.10	75,155.35	25,550.75 LT
250.0000	COLGATE PALMOLIVE	06/25/08	03/28/11	20,141.23	17,420.35	2,720.88 LT
3,500.0000	HOME DEPOT INC	09/23/09	03/28/11	128,587.78	97,232.55	31,355.23 LT
1,750.0000	RAYTHEON CO DELAWARE NEW	09/24/04	04/07/11	88,895.39	64,942.51	23,952.88 LT
50,000.0000	HSBC FIN CORP 6.75% 2011	01/23/03	05/16/11	50,000.00	50,000.00	0.00

PLEASE SEE REVERSE SIDE

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Statement Period Year Ending 12/31/11

Account No.
8NY-07027

X 06233599

00-17-40608-LPS5000 05 2011

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
100,000.0000	FEDERAL NATL MTGE ASSOC	01/24/03	05/16/11	100,000.00	100,000.00	0.00
500.0000	COCA COLA COM	06/20/07	09/21/11	35,086.13	25,871.34	9,214.79 LT
2,945.0000	DISNEY (WALT) CO COM STK	06/10/03	09/21/11	94,902.42	60,187.03	34,715.39 LT
300.0000	DISNEY (WALT) CO COM STK	06/10/03	09/21/11	9,567.48	6,125.18	3,542.30 LT
2,000.0000	ISHARES TRUST S&P MIDCAP	04/06/06	08/21/11	167,935.42	160,218.91	7,715.51 LT
3,000.0000	ISHARES S&P SMALLCAP 600	04/06/06	09/21/11	185,841.98	186,293.07	(451.09) LT
250.0000	INTL BUSINESS MACHINES	08/14/07	09/21/11	44,181.30	28,086.34	16,114.96 LT
4,000.0000	MONSTER WORLDWIDE INC	03/26/10	09/21/11	32,857.21	67,898.37	(35,041.16) LT
1,500.0000	MAGNA INTL INC CL A VTG	12/28/10	09/21/11	52,771.58	78,263.50	(25,491.92) ST
Total Short Term				52,771.58	78,263.50	(25,491.92)
Total Long Term				1,120,309.71	1,016,464.26	103,845.45



PRIVATE BANKING &
INVESTMENT GROUP

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

GOVERNMENT AND AGENCY SECURITIES ¹ Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated		Estimated Current Annual Income	Yield%
							Accrued Interest	Interest		
Δ FEDERAL HOME LN MTG CORP NOTES 05.125% JUL 15 2012 MOODY'S: AAA S&P: AA+ CUSIP 31344A4QD9 ORIGINAL UNIT/TOTAL COST 105.7981/105.798.12	01/24/03	100,000	100,274.94	101.9000	101,900.00	1,625.06	626.39	626.39	5,125	5.02
TOTAL		100,000	100,274.94		101,900.00	1,625.06	626.39	626.39	5,125	5.03

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

CORPORATE BONDS	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ CONSOLIDATED EDISON CO SER 02-A 05.625% JUL 01 2012 MOODY'S A3 S&P A- CUSIP 209111DZ3 ORIGINAL UNIT/TOTAL COST. 106.4481/53,224.09	01/23/03	50,000	50,139.55	101.5630	50,781.50	641.95	453.13	2,813	5.53
Δ ALLSTATE CORPORATION 07.500% JUN 15 2013 MOODY'S A3 S&P A- CUSIP 020002AC5 ORIGINAL UNIT/TOTAL COST. 110.8377/55,418.85	06/05/08	50,000	51,522.51	108.0680	54,034.00	2,511.49	770.83	3,750	6.94
Δ AMERICAN EXPRESS GLB 04.875% JUL 15 2013 MOODY'S A3 S&P BBB+ CUSIP 025816AQ2 ORIGINAL UNIT/TOTAL COST. 100.1907/50,095.35	06/05/08	50,000	50,027.97	105.1530	52,576.50	2,548.53	297.92	2,438	4.63
CITIGROUP INC SUBORDINATED GLB 05.000% SEP 15 2014 MOODY'S BAA1 S&P BBB+ CUSIP 172967CQ2	06/04/09	50,000	43,569.35	104.1050	52,052.50	8,483.15	1,138.89	2,500	4.80
HEALTH CARE PPTY INV INC 06.000% MAR 01 2015 MOODY'S BAA2 S&P BBB CUSIP 421915EC9	06/23/10	50,000	53,542.35	106.9480	53,474.00	(68.35)	1,483.33	3,000	5.61
Δ BANK OF AMERICA CORP GLB 04.500% APR 01 2015 MOODY'S BAA1 S&P A- CUSIP 06051GEB1 ORIGINAL UNIT/TOTAL COST. 101.3967/50,698.35	06/23/10	50,000	50,467.81	101.5160	50,758.00	290.19	925.00	2,250	4.43
Δ NABISCO INCORPORATED 07.550% JUN 15 2015 MOODY'S BAA2 S&P BBB CUSIP 629527AU6 ORIGINAL UNIT/TOTAL COST. 123.6183/12,361.83	01/24/03	10,000	10,773.01	118.6050	11,860.50	1,087.49	155.19	755	6.36

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
DESCRIPTION									
Δ BANK OF AMERICA CORP SUBORDINATED 07 750% AUG 15 2015 MOODY'S: BAA2 S&P: BBB- CUSIP: 638585AN9 ORIGINAL UNIT/TOTAL COST 123 3315/49,332 62	01/24/03	40,000	43,193.97	108 1830	43,273.20	79 23	120 56	3,100	7 16
Δ JP MORGAN CHASE SUBORDINATED 05.150% OCT 01 2015 MOODY'S: A1 S&P: A- CUSIP: 46625HDF4 ORIGINAL UNIT/TOTAL COST 100 1817/50,090 85	06/05/09	50,000	50,055.11	108.4650	54,232.50	4,177 39	1,058 61	2,575	4 74
Δ AMERICAN EXPRESS CREDIT SER MTN 05 300% DEC 02 2015 MOODY'S: A2 S&P: BBB+ CUSIP: 0258M0BZ1 ORIGINAL UNIT/TOTAL COST 101 8157/50,907 85	09/17/09	50,000	50 582 13	111 3780	55,689.00	5,106.87	625 69	2,650	4 75
CITIGROUP INC GLB 05 300% JAN 07 2016 MOODY'S: A3 S&P: A- CUSIP: 172967DE8	09/17/09	50,000	49,430 35	107 9190	53,959.50	4,529 15	382 78	2,650	4.91
Δ GOLDMAN SACHS GROUP INC GLB 05 350% JAN 15 2016 MOODY'S: A1 S&P: A- CUSIP: 38141GEE0 ORIGINAL UNIT/TOTAL COST 104 1047/52,052.35	09/17/09	50,000	51,327 03	105 9740	52,987.00	1,659 97	326 94	2,675	5.04
GENERAL ELEC CAP CORP SER MTN GLB 05 375% OCT 20 2016 MOODY'S: A2 S&P: AA+ CUSIP: 36962GY40	06/05/08	75,000	74,615 60	115 1940	86,395.50	11,779 90	1,444 53	4,032	4 66
MERRILL LYNCH & CO GLB 06 400% AUG 28 2017 MOODY'S: BAA1 S&P: A- CUSIP: 59018YI69	06/05/09	50,000	46 705 35	105.2930	52,646.50	5 941 15	8 89	3,200	6 07

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
Δ WALT DISNEY COMPANY SER MTNB GLB 05 875% DEC 15 2017 MOODY'S: A2 S&P: A CUSIP: 25468PCB0 ORIGINAL UNIT/TOTAL COST: 104 6437/52,321.85	06/05/08	50,000	51,545.64	120 4050	60,202.50	8,656.86	603.82	2,938	4.87
GOLDMAN SACHS GROUP INC GLB 05 950% JAN 18 2018 MOODY'S: A1 S&P: A- CUSIP: 38141GFG4	06/05/09	50,000	47,870.85	108 0700	54,035.00	6,164.15	338.82	2,975	5.50
PEPSICO INC SENIOR NOTES 05 000% JUN 01 2018 MOODY'S: AA3 S&P: A- CUSIP: 713448BH0	06/05/08	50,000	49,277.35	117 9930	58,996.50	9,719.15	611.11	2,500	4.23
Δ ROYAL BK OF SCOTLAND PLC BANK GUARANTEED GLB 06 125% JAN 11 2021 MOODY'S: A2 S&P: A- CUSIP: 78010XK7 ORIGINAL UNIT/TOTAL COST: 105 8791/79,409.35	05/24/11	75,000	79,135.18	108 6520	81,489.00	2,353.82	612.50	4,594	5.63
Δ ALCOA INC GLB 05 400% APR 15 2021 MOODY'S: BA13 S&P: BBB- CUSIP: 013817AV3 PAR CALL DATE: 01/15/21 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102 7607/51,380.35	05/24/11	50,000	51,296.26	104 1040	52,052.00	755.74	1,005.00	2,700	5.18
TOTAL		950,000	955,077.37		1,031,495.20	76,417.83	12,363.54	54,095	5.24

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES					
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Estimated Market Value
ACCENTURE PLC SHS	ACN	06/27/11	1,500	57 7801	89,310.00
				86,670.25	2,639.75
				59 5400	2,026
					2.26

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AMERICAN WTR WKS CO INC NEW	AWK	12/22/11	3,000	31.8081	95,424.55	34.2800	102,840.00	7,415.45	2,761	2.68
AMGEN INC COM PV \$0.0001	AMGN	03/08/96 06/25/08	758 992 1,750	15.2421 46.9807	11,553.54 46,604.91 58,158.45	68.0100 68.0100	51,551.58 67,465.92 119,017.50	39,998.04 20,861.01 60,859.05	1,092 1,429 2,521	2.11 2.11 2.11
Subtotal							91,770.00	9,146.15	5,280	5.75
AT&T INC	T	09/23/09	3,000	27.5412	82,623.85	30.5900	91,770.00	9,146.15	5,280	5.75
AUTOMATIC DATA PROC	ADP	05/24/11	1,500	53.2888	79,933.30	54.3200	81,480.00	1,546.70	2,371	2.90
BANK OF AMERICA CORP	BAC	12/18/09	7,000	15.0506	105,354.65	7.9700	55,790.00	(49,564.65)	281	50
BORG WARNER INC COM	BWA	06/17/10	1,500	41.0904	61,635.71	82.8400	124,260.00	62,624.29		
CELGENE CORP COM	CELG	10/26/11	1,500 100 1,600	65.8333 66.3227	98,750.05 6,632.27 105,382.32	73.3250 73.3250	109,987.50 7,332.50 117,320.00	11,237.45 700.23 11,937.68		
Subtotal							50,000.00 25,000.00 75,000.00	(38,685.15) (3,843.55) (42,528.70)	700 350 1,050	1.40 1.40 1.40
CHESAPEAKE ENERGY OKLA	CHK	03/19/08 09/23/09	2,000 1,000 3,000	44.3425 28.8435	88,685.15 28,843.55 117,528.70	25.0000 25.0000	50,000.00 25,000.00 75,000.00	(38,685.15) (3,843.55) (42,528.70)	700 350 1,050	1.40 1.40 1.40
Subtotal							19,880.00 23,359.00 16,401.00 19,880.00 79,520.00	2,510.99 2,950.42 2,070.75 (5,025.35) 2,506.81	321 377 265 321 1,284	1.60 1.60 1.60 1.60 1.60
CISCO SYSTEMS INC COM	CSCO	06/10/03 06/10/03 06/10/03 06/25/08	1,000 1,175 825 1,000 4,000	17.3690 17.3690 17.3700 24.9053	17,369.01 20,408.58 14,330.25 24,905.35 77,013.19	19.8800 19.8800 19.8800 19.8800	19,880.00 23,359.00 16,401.00 19,880.00 79,520.00	2,510.99 2,950.42 2,070.75 (5,025.35) 2,506.81	321 377 265 321 1,284	1.60 1.60 1.60 1.60 1.60
Subtotal							6,230.84 3,765.16 23,324.00 33,320.00 66,640.00	(72,476.52) (19,476.19) (10,001.35) (6,683.35) (108,637.41)	8 5 28 40 81	12 12 12 12 12
CITIGROUP INC COM NEW	C	03/30/00 03/19/08 09/23/09 06/17/10	187 113 700 1,000 2,000	420.8949 205.6756 47.6076 40.0033	78,707.36 23,241.35 33,325.35 40,003.35 175,277.41	33.3200 33.3200 33.3200 33.3200	6,230.84 3,765.16 23,324.00 33,320.00 66,640.00	(72,476.52) (19,476.19) (10,001.35) (6,683.35) (108,637.41)	8 5 28 40 81	12 12 12 12 12
Subtotal							104,790.00 100,620.00	27,175.99 54,779.99	3,060 3,201	2.92 3.18
COCA COLA COM	KO	06/20/07	1,500	51.7426	77,614.01	69.8600	104,790.00	27,175.99	3,060	2.92
EMERSON ELEC CO	EMR	09/27/01	2,000	22.9200	45,840.01	50.3100	100,620.00	54,779.99	3,201	3.18

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
EXXON MOBIL CORP COM	XOM	06/27/06	1,000	59.7237	59,723.76	86.5000	86,500.00	26,776.24	1,880	2.17
GENERAL ELECTRIC	GE	12/16/02	110	26.4000	2,904.00	19.0500	2,095.50	(808.50)	75	3.56
		06/25/08	890	28.3218	25,206.48	19.0500	16,954.50	(8,251.98)	606	3.56
		06/22/09	3,500	11.6615	40,815.35	19.0500	66,675.00	25,859.65	2,381	3.56
		09/23/09	3,000	17.3608	52,082.65	19.0500	57,150.00	5,067.35	2,041	3.56
Subtotal			7,500		121,008.48		142,875.00	21,866.52	5,103	3.56
GILEAD SCIENCES INC COM	GILD	12/22/11	2,500	38.7661	96,915.35	45.5350	113,837.50	16,922.15		
GLAXOSMITHKLINE PLC ADR	GSK	08/23/05	1,722	47.7205	82,174.77	44.3100	76,301.82	(5,872.95)	3,880	5.08
		06/25/08	278	44.7391	12,437.47	44.3100	12,318.18	(119.29)	627	5.08
Subtotal			2,000		94,612.24		88,620.00	(5,992.24)	4,507	5.08
INTEL CORP	INTC	03/30/00	750	56.4070	42,305.26	26.8800	20,160.00	(22,145.26)	630	3.12
		12/16/02	3,000	18.1900	54,570.00	26.8800	80,640.00	26,070.00	2,520	3.12
		12/16/02	485	18.2130	8,833.31	26.8800	13,036.80	4,203.49	408	3.12
		12/16/02	15	18.2100	273.15	26.8800	403.20	130.05	13	3.12
Subtotal			4,250		105,981.72		114,240.00	8,258.28	3,571	3.12
INTL BUSINESS MACHINES CORP/IBM	IBM	08/14/07	750	112.2653	84,199.01	196.7300	147,547.50	63,348.49	2,250	1.52
MCDONALDS CORP COM	MCD	12/28/10	1,000	76.2727	76,272.75	99.2800	99,280.00	23,007.25	2,800	2.82
MERCK AND CO INC SHS	MRK	03/28/06	2,000	35.7798	71,559.67	38.1700	76,340.00	4,780.33	3,361	4.40
		06/25/08	1,000	36.8046	36,804.65	38.1700	38,170.00	1,365.35	1,681	4.40
Subtotal			3,000		108,364.32		114,510.00	6,145.68	5,042	4.40
MICROSOFT CORP	MSFT	09/29/00	2,277	30.0824	68,497.85	31.7400	72,271.98	3,774.13	1,822	2.52
		06/22/09	1,723	23.4331	40,375.24	31.7400	54,688.02	14,312.78	1,379	2.52
Subtotal			4,000		108,873.09		126,960.00	18,086.91	3,201	2.52
MONSANTO CO NEW DEL COM	MON	09/23/09	1,000	78.1148	78,114.85	77.3800	77,380.00	(734.85)	1,201	1.55
		06/17/10	500	50.1087	25,054.35	77.3800	38,690.00	13,635.65	600	1.55
Subtotal			1,500		103,169.20		116,070.00	12,900.80	1,801	1.55

DOTY FAMILY FOUNDATION

24 Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NATIONAL GRID PLC SP ADR	NGG	11/14/11	2,000	49.8226	99,645.35	51.1900	102,380.00	2,734.65	5,995	5.85
NATIONAL-OILWELL VARCO INC	NOV	12/28/10	1,250	65.4418	81,802.35	82.5300	103,162.50	21,360.15	600	5.8
NOVARTIS ADR	NVS	09/23/09	1,750	49.1821	86,068.78	54.5100	95,392.50	9,323.72	3,653	3.82
OLIN CORP \$1 NEW	OLN	03/26/10	5,000	19.2856	96,428.35	21.0300	105,150.00	8,721.65	4,001	3.80
ORACLE CORP \$0.01 DEL	ORCL	06/20/07	4,000	19.7610	79,044.28	29.2550	117,020.00	37,975.72	961	8.2
PEPSICO INC	PEP	05/25/06	1,500	60.4635	90,695.35	62.9400	94,410.00	3,714.65	3,091	3.27
PERKINELMER INC COM	PKI	06/17/10	3,000	22.9201	68,760.55	27.0000	81,000.00	12,239.45	840	1.03
PRICE T ROWE GROUP INC	TROW	06/17/10	1,600	49.1657	78,665.19	61.5900	98,544.00	19,878.81	2,177	2.20
PROCTER & GAMBLE CO	PG	03/30/00	1,562	29.2379	45,669.66	67.6200	105,622.44	59,952.78	3,281	3.10
SCHLUMBERGER LTD	SLB	06/17/10	1,000	60.6593	60,659.35	77.6100	77,610.00	16,950.65	1,100	1.41
TEXAS INSTRUMENTS	TXN	12/18/09	4,000	25.2032	100,812.95	33.3500	133,400.00	32,587.05	2,721	2.03
UNITED TECHS CORP COM	UTX	06/27/97	1,250	21.2642	26,580.33	83.8700	104,837.50	78,257.17	2,401	2.28
WAL-MART STORES INC	WMT	06/20/07	2,000	48.8716	97,743.35	59.0800	118,160.00	20,416.65	2,920	2.47
TOTAL					3,140,152.16		3,695,486.44	555,334.28	87,812	2.38



PRIVATE BANKING &
INVESTMENT GROUP

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	4,195,504.47	4,828,881.64	633,377.17	12,989.93	160,462	2.78

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	DOTY FAMILY FOUNDATION	<input checked="" type="checkbox"/> 13-2921496
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	c/o BCRS ASSOCIATES, LLC, 77 WATER STREET - 9TH FLOOR	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► BCRS ASSOCIATES, LLC

Telephone No. ► 212-440-0811 FAX No. ► N/A

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until OCTOBER 15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 or

► ☒ tax year beginning MARCH 1, 20 11, and ending FEBRUARY 29, 20 12.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,319.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,376.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. DOTY FAMILY FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 13-2921496
	Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of **BCRS ASSOCIATES, LLC**

Telephone No. **212-440-0811** FAX No. **N/A**

• If the organization does not have an office or place of business in the United States, check this box ☐

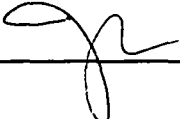
• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **JANUARY 15**, 20 **13**.
- 5 For calendar year, or other tax year beginning **MARCH 1**, 20 **11**, and ending **FEBRUARY 29**, 20 **12**.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension **ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	2,319.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	2,376.00
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CPA** Date **10/11/2012**
Form **8868** (Rev. 1-2012)