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Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

02/29, 2012

For calendar year 2011 or tax year beginning

03/01, 2011, and ending

SCANNED DEC 13 2012

Name of foundation DOTY FAMILY FOUNDATION		A Employer identification number 13-2921496							
		B Telephone number (see instructions) (212) 440-0800							
Number and street (or P.O. box number if mail is not delivered to street address) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR		Room/suite							
City or town, state, and ZIP code NEW YORK, NY 10005									
G Check all that apply: <table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td></td><td>Initial return</td></tr> <tr><td></td><td>Final return</td></tr> <tr><td><input checked="" type="checkbox"/></td><td>Address change</td></tr> </table> Initial return of a former public charity Amended return Name change			Initial return		Final return	<input checked="" type="checkbox"/>	Address change	C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
	Initial return								
	Final return								
<input checked="" type="checkbox"/>	Address change								
H Check type of organization: <table border="1" style="display: inline-table; vertical-align: middle;"> <tr><td><input type="checkbox"/></td><td>Section 501(c)(3) exempt private foundation</td></tr> <tr><td><input type="checkbox"/></td><td>Section 4947(a)(1) nonexempt charitable trust</td></tr> <tr><td><input type="checkbox"/></td><td>Other taxable private foundation</td></tr> </table>		<input type="checkbox"/>	Section 501(c)(3) exempt private foundation	<input type="checkbox"/>	Section 4947(a)(1) nonexempt charitable trust	<input type="checkbox"/>	Other taxable private foundation		
<input type="checkbox"/>	Section 501(c)(3) exempt private foundation								
<input type="checkbox"/>	Section 4947(a)(1) nonexempt charitable trust								
<input type="checkbox"/>	Other taxable private foundation								
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 5,838,997.		J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____							
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))									
		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)				
Revenue									
1	Contributions, gifts, grants, etc., received (attach schedule)								
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B								
3	Interest on savings and temporary cash investments	2,221	2,221.		ATCH 1				
4	Dividends and interest from securities	151,036	151,036.		ATCH 2				
5a	Gross rents								
b	Net rental income or (loss) _____								
6a	Net gain or (loss) from sale of assets not on line 10	79,805							
b	Gross sales price for all assets on line 6a	1,174,533							
7	Capital gain net income (from Part IV, line 2)		79,805.						
8	Net short-term capital gain								
9	Income modifications								
10a	Gross sales less returns and allowances								
b	Less Cost of goods sold								
c	Gross profit or (loss) (attach schedule)								
11	Other income (attach schedule)								
12	Total. Add lines 1 through 11.	233,062.	233,062.	0					
13	Compensation of officers, directors, trustees, etc.	18,000.	13,500.		4,500.				
14	Other employees salaries and wages								
15	Pension plans, employee benefits								
16a	Legal fees (attach schedule)								
b	Accounting fees (attach schedule) ATCH 3	7,400.	3,700.		3,700.				
c	Other professional fees (attach schedule) *	29,398.	29,398.						
17	Interest								
18	Taxes (attach schedule) (see instructions)								
19	Depreciation (attach schedule) and depletion								
20	Occupancy								
21	Travel, conferences, and meetings								
22	Printing and publications								
23	Other expenses (attach schedule) ATCH 5	9,248.	975.		8,273.				
24	Total operating and administrative expenses.	64,046.	47,573.		16,473.				
	Add lines 13 through 23	544,585.			544,585.				
25	Contributions, gifts, grants paid	608,631.	47,573.	0	561,058.				
26	Total expenses and disbursements. Add lines 24 and 25								
27	Subtract line 26 from line 12	-375,569.							
a	Excess of revenue over expenses and disbursements								
b	Net investment income (if negative, enter -0-)		185,489.						
c	Adjusted net income (if negative, enter -0-)			0					

For Paperwork Reduction Act Notice, see Instructions.

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* ATCH 4 JSA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing		563.	2,400.	2,400.
	2 Savings and temporary cash investments		123,260.	66,573.	66,573.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10 a Investments - U S and state government obligations (attach schedule)				
	b Investments - corporate stock (attach schedule) ATCH 6		4,243,460.	4,195,504.	4,828,882.
	c Investments - corporate bonds (attach schedule)				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	12 Investments - mortgage loans				
	13 Investments - other (attach schedule)				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	15 Other assets (describe ► ATCH 7)		1,231,175.	958,412.	941,142.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		5,598,458.	5,222,889.	5,838,997.
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0	0	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds		5,598,458.	5,222,889.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)		5,598,458.	5,222,889.	
	31 Total liabilities and net assets/fund balances (see instructions)		5,598,458.	5,222,889.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,598,458.
2 Enter amount from Part I, line 27a	2	-375,569.
3 Other increases not included in line 2 (itemize) ►	3	
4 Add lines 1, 2, and 3	4	5,222,889.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,222,889.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(l) F.M.V. as of 12/31/69	(i) Adjusted basis as of 12/31/69	(k) Excess of col. (l) over col. (i), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	79,805.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	392,650.	5,981,428.	0.065645
2009	631,440.	5,932,663.	0.106434
2008	480,515.	6,654,688.	0.072207
2007	778,645.	8,160,687.	0.095414
2006	477,784.	7,960,537.	0.060019
2 Total of line 1, column (d)			2 0.399719
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.079944
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 5,889,119.
5 Multiply line 4 by line 3			5 470,800.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,855.
7 Add lines 5 and 6			7 472,655.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 561,058.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . .	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	1,855.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . .			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2		
3	Add lines 1 and 2 . . .	3		1,855.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4		0
5	Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0- . . .	5		1,855.
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011 . . .	6a		2,376.
b	Exempt foreign organizations - tax withheld at source . . .	6b		
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c		
d	Backup withholding erroneously withheld . . .	6d		
7	Total credits and payments Add lines 6a through 6d . . .	7		2,376.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached . . .	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . .	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . .	10		521.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ►	11	Refunded ►	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . .	X	
1c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	X	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . .	X	
3	<i>If "Yes," attach a detailed description of the activities</i>	X	
4a	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . .	X	
4b		N/A	
5	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . .	X	
6	If "Yes," has it filed a tax return on Form 990-T for this year? . . .	X	
7	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . .	X	
8a	<i>If "Yes," attach the statement required by General Instruction T.</i>		
8b	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either.	X	
9	• By language in the governing instrument, or		
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .		
10	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . .	X	
	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . .	X	

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Part VII-A Statements Regarding Activities (continued)

11		X
12		X
13	X	
Website address ► N/A		
14	BCRS ASSOCIATES, LLC	Telephone no ► 212-440-0800
Located at ► 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP + 4 ► 10005		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. ► <input checked="" type="checkbox"/> 15	
16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
If "Yes," file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		18,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A		0	0	0

Total number of other employees paid over \$50,000 ►

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	NONE

Total number of others receiving over \$50,000 for professional services ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C) (3) OF THE INTERNAL REVENUE CODE.	NONE
2 N/A	
3 N/A	
4 N/A	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2	N/A	NONE
All other program-related investments See instructions		
3	N/A	
Total. Add lines 1 through 3		►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.	
a	Average monthly fair market value of securities	1a 5,219,453.
b	Average of monthly cash balances	1b 759,348.
c	Fair market value of all other assets (see instructions)	1c 0
d	Total (add lines 1a, b, and c)	1d 5,978,801.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 5,978,801.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 89,682.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 5,889,119.
6	Minimum investment return. Enter 5% of line 5	6 294,456.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 294,456.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 1,855.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 1,855.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 292,601.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 292,601.
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 292,601.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 561,058.
b	Program-related investments - total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 561,058.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 1,855.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 559,203.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				292,601.
2 Undistributed income, if any, as of the end of 2011:			NONE	
a Enter amount for 2010 only				
b Total for prior years 20____,20____,20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006	96,964.			
b From 2007	379,471.			
c From 2008	151,872.			
d From 2009	335,485.			
e From 2010	95,384.			
f Total of lines 3a through e	1,059,176.			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 561,058.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				292,601.
e Remaining amount distributed out of corpus	268,457.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,327,633.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	96,964.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,230,669.			
10 Analysis of line 9				
a Excess from 2007	379,471.			
b Excess from 2008	151,872.			
c Excess from 2009	335,485.			
d Excess from 2010	95,384.			
e Excess from 2011	268,457.			

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►				4942(j)(3) or	4942(j)(5)
b	Check box to indicate whether the foundation is a private operating foundation described in section					
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years	(e) Total		
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XI, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test - enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test - enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> SEE LIST ATTACHED				544,585.
Total			► 3a	544,585.
<i>b Approved for future payment</i> NONE				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	2,221.	
4 Dividends and interest from securities			14	151,036.	
5 Net rental income or (loss) from real estate						
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	79,805.	
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events	...					
10 Gross profit or (loss) from sales of inventory	...					
11 Other revenue. a						
b						
c						
d						
e						
12 Subtotal Add columns (b), (d), and (e)				233,062.	
13 Total Add lines 12, columns (b), (d), and (e)					12	233,062

13 Total. Add line 12, columns (b), (d), and (e).
(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Dat

► TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	WILLIAM RABETZ	<i>Will Rabetz</i>	7/13/12		P00963994
	Firm's name ► BCRS ASSOCIATES, LLC	Firm's EIN ► 13-4078147			
Firm's address ► 77 WATER STREET, 9TH FLOOR NEW YORK, NY	10005	Phone no 212-440-0800			

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description			P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				1,452.	
52,772.		STC(L) - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 78,264.			P	VARIOUS	VARIOUS
1,120,309.		LTCG - SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES 1,016,464.			P	VARIOUS	VARIOUS
TOTAL GAIN(LOSS)						<u>79,805.</u>	

DOTY FAMILY FOUNDATION

13-2921496

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTSATTACHMENT 1

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE	2,214.	2,214.	
INTEREST ON CHECKING	7.	7.	
TOTAL	<u>2,221.</u>	<u>2,221.</u>	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

ATTACHMENT 2

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH	66,854.		66,854.
INTEREST ON BONDS THRU MERRILL LYNCH	55,539.		55,539.
FOREIGN DIVIDENDS THRU MERRILL LYNCH	13,878.		13,878.
DIVIDENDS - THORNBURG INT'L FD CL A	3,145.		3,145.
DIVIDENDS - TEMPLETON GLOBAL BOND FD	11,150.		11,150.
ACCRETION ON BONDS	470.		470.
TOTAL	<u>151,036.</u>	<u>151,036.</u>	<u>151,036.</u>

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,400.	3,700.		3,700.
TOTALS	<u>7,400.</u>	<u>3,700.</u>		<u>3,700.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEESATTACHMENT 4

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES ANNUAL FEE-MERRILL LYNCH	29,248. 150.	29,248. 150.	29,248. 150.	
TOTALS	<u>29,398.</u>	<u>29,398.</u>	<u>29,398.</u>	

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
NYS FILING FEE	250.			250.
WIRE TRANSFER FEES	180.		180.	
PUBLICATION NOTICE FEE	125.			125.
FOREIGN TAX PAID	795.		795.	
BANK CHARGES	90.			90.
FADICA ANNUAL MEMBERSHIP DUES	7,500.			7,500.
OFFICE EXPENSE	308.			308.
TOTALS	<u>9,248.</u>	<u>975.</u>		<u>8,273.</u>

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	4,243,460.	4,195,504.	4,828,882.
TOTALS	<u>4,243,460.</u>	<u>4,195,504.</u>	<u>4,828,882.</u>

ATTACHMENT 6

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FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	568,488.	386,491.	386,491.
ISHARES TRUST S&P MIDCAP	160,220.		
ISHARES S&P SMALLCAP 600	196,293.		
THORNBURG INT'L VALUE FD CL A	306,174.	309,319.	301,270.
TEMPLETON GLOBAL BOND FD		262,602.	253,381.
TOTALS	<u>1,231,175.</u>	<u>958,412.</u>	<u>941,142.</u>

ATTACHMENT 7

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS	EXPENSE ACCT
			TO EMPLOYEE	AND OTHER ALLOWANCES
		BENEFIT PLANS		
GEORGE E. DOTY (DECEASED 4/24/2012) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
CHRISTOPHER S. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
ANNE MARIE PAINE C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	18,000.	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS	EXPENSE ACCT
			TO EMPLOYEE BENEFIT PLANS	AND OTHER ALLOWANCES
TRUSTEE / PART-TIME		0	0	0
VIRGINIA M. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005		<u>18,000.</u>	<u>0</u>	<u>0</u>
	GRAND TOTALS			

VIRGINIA M. DOTY
C/O BCRS ASSOCIATES, LLC
77 WATER STREET, 9TH FLOOR
NEW YORK, NY 10005

SCHEDULE D
(Form 1041)Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**

OMB No. 1545-0092

2011

Name of estate or trust

DOTY FAMILY FOUNDATION

Employer identification number

13-2921496

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					
b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b				1b	-25,492.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet				4 ()	
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ►				5	-25,492.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS				ATCH 1	1,452.
b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b				6b	103,845.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				8	
9 Capital gain distributions				9	
10 Gain from Form 4797, Part I				10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet				11 ()	
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ►				12	105,297.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13 Net short-term gain or (loss)	13			-25,492.
14 Net long-term gain or (loss):				
a Total for year	14a			105,297.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c 28% rate gain	14c			
15 Total net gain or (loss). Combine lines 13 and 14a ►	15			79,805.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16 ()
a The loss on line 15, column (3) or b \$3,000	16 ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero.	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ►	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24?			
<input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box	25		
<input type="checkbox"/> No. Enter the amount from line 23	26		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same?			
<input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31	27		
<input type="checkbox"/> No. Enter the smaller of line 17 or line 22	28		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (.15)	30		
31 Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32 Add lines 30 and 31	32		
33 Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 103,845.

Schedule D-1 (Form 1041) 2011

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FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 1LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

LTCG DIVIDEND 1,452.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS 1,452.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

1,452.

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
3/1/2011	Catholic Charities - Archdiocese of New York	New York	NY	\$562.50
3/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
3/2/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$30,000.00
3/2/2011	Success For Kids Inc	Los Angeles	CA	\$750.00
3/4/2011	Harvard Business School	Cambridge	MA	\$7,500.00
3/4/2011	Grassroots Business Fund	Washington	DC	\$3,750.00
3/9/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$375.00
3/15/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750.00
3/18/2011	Greenwich Council, BSA	Greenwich	CT	\$2,625.00
3/23/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
3/28/2011	Parkinson's Unity Walk	Kingston	NJ	\$375.00
3/30/2011	Japan Society	New York	NY	\$750.00
3/30/2011	Middlebury Women's Lacrosse Team	Middlebury	VT	\$150.00
4/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
4/12/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
4/12/2011	Richie Ashburn-Harry Kalas Foundation	Blue Bell	PA	\$240.00
4/14/2011	School of the Holy Child	Rye	NY	\$750.00
4/14/2011	Per Scholas	Bronx	NY	\$1,500.00
4/19/2011	The Metropolitan Opera Guild	New York	NY	\$375.00
4/20/2011	Bishop's Annual Appeal - Diocese of Bridgeport	Bridgeport	CT	\$150.00
4/20/2011	Per Scholas	Bronx	NY	\$750.00
4/20/2011	St. Lawrence Church	Shelton	CT	\$150.00
4/22/2011	St. Paul Church - School Endowment Fund	Princeton	NJ	\$1,500.00
4/22/2011	St. Paul Church - School Endowment Fund	Princeton	NJ	\$12,600.00
4/25/2011	Per Scholas	Bronx	NY	\$375.00
4/26/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
5/2/2011	St. Lawrence Church	Shelton	CT	\$600.00
5/17/2011	New York Philharmonic	New York	NY	\$750.00
5/18/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
5/25/2011	Catholic Big Sisters & Big Brothers	New York	NY	\$1,875.00
6/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
6/1/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
6/14/2011	Four Schools Fund	Harrison	NY	\$3,750.00
6/14/2011	Unite for Sight	New Haven	CT	\$750.00
6/16/2011	Witness	Brooklyn	NY	\$375.00
6/23/2011	Metropolitan Museum of Art	New York	NY	\$750.00
6/23/2011	Collegiate School	New York	NY	\$750.00
6/24/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$7,241.85
6/24/2011	Anchor House	Trenton	NJ	\$300.00
6/24/2011	PEI Kids	Lawrenceville	NJ	\$150.00
6/24/2011	Mount Sinai Savi	New York	NY	\$300.00
6/24/2011	Mount Carmel Guild	Trenton	NJ	\$150.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
6/24/2011	The Wharton Fund	Philadelphia	PA	\$375.00
6/24/2011	Georgetown University	Washington	DC	\$1,500.00
7/9/2011	St. Lawrence Church	Shelton	CT	\$600.00
7/14/2011	One Acre Fund	Falcon Heights	MN	\$7,812.00
7/15/2011	Per Scholas	Bronx	NY	\$750.00
7/22/2011	St. Lawrence Church	Shelton	CT	\$750.00
7/22/2011	Cambridge in America	New York	NY	\$51,000.00
8/9/2011	The Artists Collective	Hartford	CT	\$3,000.00
8/9/2011	St. Lawrence Church	Shelton	CT	\$600.00
8/10/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
8/10/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
8/23/2011	Institute for Justice	Arlington	VA	\$750.00
9/2/2011	Iona Preparatory School	New Rochelle	NY	\$19,180.86
9/2/2011	Divine Word Missionaries	Techny	IL	\$375.00
9/2/2011	St. Lawrence Church	Shelton	CT	\$600.00
9/8/2011	Room to Read	San Francisco	CA	\$18,787.20
9/8/2011	Greenwich Council, BSA	Greenwich	CT	\$750.00
9/16/2011	Penfield Reef Lighthouse Preservation Commission	Fairfield	CT	\$750.00
9/16/2011	St. Lawrence Church	Shelton	CT	\$750.00
9/16/2011	Montefiore Hospital	Bronx	NY	\$150.00
9/16/2011	United Hospital Fund	New York	NY	\$750.00
9/16/2011	Wharton Club of New York	New York	NY	\$375.00
9/28/2011	Homewood Flossmoor High School Foundation	Homewood	IL	\$375.00
9/29/2011	Greenwich Council, BSA	Greenwich	CT	\$375.00
9/30/2011	Satchel's Last Resort	Sarasota	FL	\$150.00
10/11/2011	St. Lawrence Church	Shelton	CT	\$600.00
10/17/2011	Amherst College Alumni Fund	Amherst	MA	\$1,500.00
10/20/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$750.00
10/24/2011	Per Scholas	Bronx	NY	\$20,394.00
10/26/2011	ALS Therapy Development Institute	Cambridge	MA	\$7,500.00
10/29/2011	Fairfield SEPTA Scholarship	Fairfield	CT	\$3,750.00
10/29/2011	Grace Church Community Center	White Plains	NY	\$10,304.25
10/29/2011	St. Vincent's Hospital Westchester	Harrison	NY	\$7,500.00
10/29/2011	Catholic Near East Welfare Association	New York	NY	\$48,000.00
10/29/2011	Bethlehem University	Washington	DC	\$18,750.00
10/29/2011	Asian Relief, Inc.	Annapolis	MD	\$750.00
10/31/2011	Cub Scout Pack 1880	Princeton	NJ	\$150.00
11/5/2011	St. Lawrence Church	Shelton	CT	\$600.00
11/7/2011	Schenectady Theater for Children	Schenectady	NY	\$375.00
11/10/2011	Carnegie Hall Society	New York	NY	\$375.00
11/27/2011	The Dalton School	New York	NY	\$1,875.00
11/27/2011	Collegiate School	New York	NY	\$1,875.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

<u>DATE</u>	<u>NAME OF CHARITY</u>	<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
11/27/2011	Catholic Charities	New York	NY	\$2,062.50
11/27/2011	The American Boychoir School	Princeton	NJ	\$375.00
12/1/2011	St. Lawrence Church	Shelton	CT	\$600.00
12/5/2011	Georgetown University	Washington	DC	\$375.00
12/5/2011	Georgetown University	Washington	DC	\$390.00
12/8/2011	Catholic Near East Welfare Association	New York	NY	\$38,434.50
12/13/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$75,000.00
12/13/2011	Trustees of the University of Pennsylvania	Philadelphia	PA	\$7,500.00
12/16/2011	Harvard Business School	Cambridge	MA	\$5,250.00
12/16/2011	Church of St. Ignatius Loyola	New York	NY	\$150.00
12/16/2011	St. Patrick's Cathedral	New York	NY	\$375.00
12/16/2011	Church of St. Thomas More	New York	NY	\$150.00
12/16/2011	The Metropolitan Museum of Art	New York	NY	\$450.00
12/16/2011	Catholic Big Sisters and Big Brothers	New York	NY	\$750.00
12/21/2011	Church of St. Ignatius Loyola	New York	NY	\$750.00
12/21/2011	Yale Law School	New Haven	CT	\$750.00
12/21/2011	Collegiate School	New York	NY	\$750.00
12/21/2011	Amherst College Alumni Fund	Amherst	MA	\$750.00
12/21/2011	Amherst College Sports Information Fund	Amherst	MA	\$750.00
12/21/2011	Camp Kesem	Lafayette	CA	\$750.00
12/21/2011	Uweza Aid Foundation	New York	NY	\$750.00
12/21/2011	Catholic Big Sisters and Big Brothers	New York	NY	\$750.00
12/21/2011	The Dalton School	New York	NY	\$1,200.00
12/21/2011	Babson College	Wellesley	MA	\$300.00
12/21/2011	The Wharton Fund	Philadelphia	PA	\$600.00
12/21/2011	St. Lawrence Church	Shelton	CT	\$7,500.00
12/21/2011	College of Mount Saint Vincent	Riverdale	NY	\$3,750.00
12/21/2011	Sisters of Mary	Annapolis	MD	\$1,500.00
12/21/2011	Rosemont College	Rosemont	PA	\$750.00
12/21/2011	School of the Holy Child	Rye	NY	\$750.00
12/21/2011	The Metropolitan Opera	New York	NY	\$750.00
12/21/2011	New York Philharmonic	New York	NY	\$750.00
12/21/2011	Fish of Stamford, Inc.	Stamford	CT	\$375.00
12/21/2011	New York City Ballet	New York	NY	\$375.00
12/21/2011	Carnegie Hall Society	New York	NY	\$375.00
12/22/2011	Greenwich Council, BSA	Greenwich	CT	\$1,875.00
12/25/2011	St. Luke's Church	Bronx	NY	\$3,750.00
12/25/2011	Asian Relief, Inc.	Greenbelt	MD	\$9,000.00
1/2/2012	St. Lawrence Church	Shelton	CT	\$600.00
1/6/2012	Catholic Near East Welfare Association	New York	NY	\$30,000.00
1/8/2012	Louis M. Klein Middle School PTA	Harrison	NY	\$1,125.00
1/10/2012	St. Andrew Church	Sag Harbor	NY	\$3,750.00

DOTY FAMILY FOUNDATION
EIN: 13-2921496
MARCH 2011 - FEBRUARY 2012 CONTRIBUTIONS

DATE NAME OF CHARITY

1/10/2012 St. John the Martyr
1/10/2012 Cathedral of Mary Our Queen
1/24/2012 Cristo Rey New York High School
2/2/2012 Bishop's Annual Appeal - Diocese of Trenton
2/2/2012 St. Lawrence Church
2/2/2012 Schenectady Theater for Children
2/16/2012 First Reformed Church

<u>CITY</u>	<u>STATE</u>	<u>AMOUNT</u>
New York	NY	\$3,750.00
Baltimore	MD	\$3,750.00
New York	NY	\$225.00
Trenton	NJ	\$1,500.00
Shelton	CT	\$600.00
Schenectady	NY	\$750.00
Schenectady	NY	\$1,500.00

TOTAL CONTRIBUTIONS PAID* \$544,584.66

*ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE
CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL
REVENUE CODE



Fiscal Statement

DOTY FAMILY FOUNDATION
FYE 2/29/2012

FISCAL YEAR ACTIVITY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
45,000,0000	JP MORGAN CHASE & CO 6.75% 11	01/23/03	02/01/11	45,000.00	45,000.00	0.00
800,0000	CONSTELLATION ENERGY GP	06/10/03	03/28/11	24,602.90	27,168.01	(2,565.11) LT
100,0000	CONSTELLATION ENERGY GP	06/10/03	03/28/11	3,075.36	3,389.00	(313.64) LT
100,0000	CONSTELLATION ENERGY GP	06/10/03	03/28/11	3,075.36	3,389.00	(316.64) LT
1,000,0000	CONSTELLATION ENERGY GP	09/23/09	03/28/11	30,753.65	33,103.25	(2,349.60) LT
1,250,0000	COLGATE PALMOLIVE	05/27/06	03/28/11	10,706.10	75,155.35	25,550.75 LT
250,0000	COLGATE PALMOLIVE	06/25/08	03/28/11	20,141.23	17,420.35	2,720.88 LT
3,500,0000	HOME DEPOT INC	09/23/09	03/28/11	128,587.78	97,292.55	31,355.23 LT
1,750,0000	RAYTHEON CO DELAWARE NEW	09/24/04	04/07/11	88,895.39	64,842.51	23,952.88 LT
50,000,0000	HSBC FIN CORP 6.75% 2011	01/23/03	05/16/11	50,000.00	50,000.00	0.00

PLEASE SEE REVERSE SIDE
Page _____ Statement _____

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
100,000,000	FEDERAL NATL MTGE ASSOC	01/24/03	05/16/11	100,000.00	100,000.00	0.00
500,000	COCA COLA COM	06/20/07	09/21/11	35,086.13	25,871.34	9,214.79 LT
2,945,000	DISNEY (WALT) CO COM STK	06/10/03	09/21/11	94,902.42	60,187.03	34,715.39 LT
300,000	ISHARES TRUST S&P MIDCAP	04/06/06	08/21/11	9,667.48	6,125.18	3,542.30 LT
2,000,000	ISHARES S&P SMALLCAP 600	04/06/06	09/21/11	167,935.42	160,218.91	7,715.51 LT
3,000,000	INTL BUSINES MACHINES	08/14/07	09/21/11	185,841.98	196,293.07	(10,451.09) LT
250,000	MONSTER WORLDWIDE INC	03/26/10	09/21/11	44,181.30	28,086.34	16,114.96 LT
4,000,000	MAGNA INTL INC CL A VTG	12/28/10	09/21/11	32,857.21	67,898.37	(35,041.18) LT
1,500,000	MAGNA INTL INC CL A VTG	12/28/10	09/21/11	52,771.58	50,226.57	2,545,223.57

Total Shoot Team: 52 771 58

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PRIVATE BANKING &
INVESTMENT GROUP
Merrill Lynch
Wealth Management
Bank of America Corporation

DOTY FAMILY FOUNDATION

24-Hour Assistance: (800) MERRILL

GOVERNMENT AND AGENCY SECURITIES ¹ Description	Acquired Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
△ FEDERAL HOME LN MTG CORP NOTES 05.125% JUL 15 2012	01/24/03 100,000	100,274.94	101.9000	101,900.00	1 625.06	626.39	5,125	5.02
MOODY'S AAA S&P AA+ CUSH ² 3134A4QD9 ORIGINAL UNIT/TOTAL COST 105.7981/105.7981.12								
TOTAL	100,000	100,274.94		101,900.00	1,625.06	626.39	5,125	5.03

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012						
CORPORATE BONDS	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Accrued Interest
△ CONSOLIDATED EDISON CO SER 02-A 05.625% JUL 01 2012 MOODY'S A3 S&P A- CUSIP 209111DZ3 ORIGINAL UNIT/TOTAL COST: 106,4481/53,224.09	01/23/03	50,000	50,139.55	101,5630	50,781.50	641.95
△ ALLSTATE CORPORATION 07.500% JUN 15 2013 MOODY'S: A3 S&P A- CUSIP 020002AC5 ORIGINAL UNIT/TOTAL COST: 110,8377/55,418.85	06/05/08	50,000	51,522.51	108,0680	54,034.00	2,511.49
△ AMERICAN EXPRESS GLB 04.875% JUL 15 2013 MOODY'S: A3 S&P BBB+ CUSIP 025816A02 ORIGINAL UNIT/TOTAL COST: 100,1907/50,095.35	06/05/08	50,000	50,027.97	105,1530	52,576.50	2,548.53
CITIGROUP INC SUBORDINATED GLB 05.000% SEP 15 2014 MOODY'S: BAA1 S&P BBB+ CUSIP: 172967CQ2	06/04/09	50,000	43,569.35	104,1050	52,052.50	8,483.15
HEALTH CARE PRTY INC 06.000% MAR 01 2015 MOODY'S: BAA2 S&P: BBB CUSIP 421915EC9	06/23/10	50,000	53,542.35	106,9480	53,474.00	(68.35)
△ BANK OF AMERICA CORP GLB 04.500% APR 01 2015 MOODY'S: BAA1 S&P A- CUSIP: 06051GEB1 ORIGINAL UNIT/TOTAL COST: 101,3967/50,698.35	06/23/10	50,000	50,467.81	101,5160	50,758.00	290.19
△ NABISCO INCORPORATED 07.550% JUN 15 2015 MOODY'S: BAA2 S&P: BBB CUSIP 629527NJ6 ORIGINAL UNIT/TOTAL COST: 123,6183/12,361.83	01/24/03	10,000	10,773.01	118,6050	11,860.50	1,087.49

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Gain/(Loss)	Estimated Annual Income Yield%
△ BANK OF AMERICA CORP 01/24/03 40,000		43,193.97	108,1830	43,273.20	79,23	120,56
SUBORDINATED 07/750% AUG 15/2015						
MOODY'S: BAA2 S&P: BBB+ CUSIP: 638585AN9						
ORIGINAL UNIT/TOTAL COST 123,3315/49,332,62						
△ JP MORGAN CHASE 06/05/09 50,000		50,055.11	108,4650	54,232.50	4,177,39	1,058,61
SUBORDINATED 05/150% OCT 01/2015						
MOODY'S: A1 S&P: A- CUSIP: 46625HDF4						
ORIGINAL UNIT/TOTAL COST 100,1817/50,090,85						
△ AMERICAN EXPRESS CREDIT 09/17/09 50,000		50,582.13	111,3780	55,689.00	5,106,87	625,69
SER MTN 05/300% DEC 02/2015						
MOODY'S: A2 S&P BBB+ CUSIP: 0258M0BZ1						
ORIGINAL UNIT/TOTAL COST 101,8157/50,907,85						
△ CITIGROUP INC 09/17/09 50,000		49,430.35	107,9190	53,959.50	4,529,15	382,78
GLB 05/300% JAN 07/2016						
MOODY'S: A3 S&P: A- CUSIP: 172967DE8						
△ GOLDMAN SACHS GROUP INC 09/17/09 50,000		51,327.03	105,9740	52,987.00	1,659,97	326,94
GLB 05/350% JAN 15/2016						
MOODY'S: A1 S&P: A- CUSIP: 38141GEEO						
ORIGINAL UNIT/TOTAL COST 104,1047/52,052,35						
△ GENERAL ELEC CAP CORP 06/05/08 75,000		74,615.60	115,1940	86,395.50	11,779,90	1,444,53
SER MTN GLB 05/375% OCT 20/2016						
MOODY'S: A1 S&P A+ CUSIP: 36962GY40						
△ MERRILL LYNCH & CO 06/05/09 50,000		46,705.35	105,2930	52,646.50	5,941,15	889
GLB 06/400% AUG 28/2017						
MOODY'S: BAA1 S&P: A- CUSIP: 590187/69						



DOTY FAMILY FOUNDATION

YOUR FMA ASSETS

February 01, 2012 - February 29, 2012

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Description										
Δ WALT DISNEY COMPANY SER MTNB GLB 05 875% DEC 15 2017 MOODY'S A2 S&P A CUSIP 25468PCB0		06/05/08	50,000	51,545.64	120,4050	60,292.50	8,656.86	603.82	2,938	4.87
ORIGINAL UNIT/TOTAL COST 104,6437/52,321.85										
Goldman Sachs Group Inc GLB 05 950% JAN 18 2018 MOODY'S A1 S&P A CUSIP 38141GFG4		06/05/09	50,000	47,870.85	108,0700	54,035.00	6,164.15	338.82	2,975	5.50
PepsiCo Inc SENIOR NOTES 05 0000% JUN 01 2018 MOODY'S AA3 S&P A CUSIP: 713448BHO		06/05/08	50,000	49,277.35	117,9930	58,996.50	9,719.15	611.11	2,500	4.23
Δ Royal BK of Scotland PLC BANK GUARANTEED GLB 06 125% JAN 11 2021 MOODY'S A2 S&P A< CUSIP: 78010XK7		05/24/11	75,000	79,135.18	108,6520	81,489.00	2,353.82	612.50	4,594	5.63
ORIGINAL UNIT/TOTAL COST 105,8791/79,409.35										
Δ Alcoa Inc GLB 05 400% APR 15 2021 MOODY'S BAA3 S&P BBB- CUSIP 013817AV3 PAR CALL DATE 01/15/21 PAR CALL PRICE 100.00 ORIGINAL UNIT/TOTAL COST 102,7607/51,380.35		05/24/11	50,000	51,296.26	104,1040	52,052.00	755.74	1,005.00	2,700	5.18
TOTAL			950,000	955,077.37		1,031,495.20	76,417.83	12,363.54	54,095	5.24

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES		Symbol	Acquired	Quantity	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description					Cost Basis						
ACCENTURE PLC SHS		ACN	06/27/11	1,500	57.7801	86,670.25	59.5400	89,310.00	2,639.75	2.026	2.26

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

EQUITIES (continued) Description		Symbol	Acquired	Quantity	Cost Basis	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AMERICAN WTR WKS CO INC NEW		AWK	12/22/11	3,000	31,8081		95,424.55	34.2800	102,840.00	7,415.45	2,761	2.68
AMGEN INC COM PV \$0.0001 NEW		AMGN	03/08/96 06/25/08	758 992	15,2421 46,9807		11,553.54 46,604.91	68.0100 68.0100	51,551.58 67,465.92	39,998.04 20,861.01	1,092	2.11
Subtotal				1,750			58,158.45		119,017.50	60,859.05	2,521	2.11
AT&T INC		T	09/23/09	3,000	27,5412		82,623.85	30.5900	91,770.00	9,146.15	5,280	5.75
AUTOMATIC DATA PROC		ADP	05/24/11	1,500	53,2888		79,933.30	54.3200	81,480.00	1,546.70	2,371	2.90
BANK OF AMERICA CORP		BAC	12/18/09	7,000	15,0506		105,354.65	7.9700	55,790.00	(49,564.65)	281	50
BORG WARNER INC COM		BWA	06/17/10	1,500	41,0904		61,635.71	82.8400	124,260.00	62,624.29		
CELGENE CORP COM		CELG	10/26/11	1,500	65,8333		98,750.05	73.3250	109,987.50	11,237.45		
Subtotal				100	66,3227		6,632.27	73.3250	7,332.50	700.23		
CHESAPEAKE ENERGY OKLA		CHK	03/19/08 09/23/09	2,000 1,000	44,3425 28,8435		88,685.15 28,843.55	25.0000 25.0000	50,000.00 25,000.00	(38,685.15) (3,843.55)	700	1.40
Subtotal				3,000			117,528.70		75,000.00	(42,528.70)	1,050	1.40
CISCO SYSTEMS INC COM		CSCO	06/10/03 06/10/03 06/10/03 06/25/08	1,000 1,175 825 1,000 4,000	17,3690 17,3690 17,3700 24,9053 187		17,369.01 20,408.58 14,330.25 24,905.35 420,8949	19.8800 19.8800 19.8800 19.8800 78,707.36	19,880.00 23,359.00 16,401.00 19,880.00 33,320.00	2,510.99 2,950.42 2,070.75 (5,025.35) 6,230.84	321	1.60
Subtotal											377	1.60
CITIGROUP INC COM NEW		C	03/30/00 03/19/08 09/23/09 06/17/10	187 113 700 1,000 2,000	420,8949 205,6756 47,6076 40,003.33 175,277.41		33,3200 33,3200 33,3200 33,3200 66,640.00	33,3200 33,3200 33,3200 33,3200 175,277.41	(108,637.41)	(72,476.52) (19,476.19) (10,001.35) (6,683.35) (108,637.41)	8	1.12
Subtotal											5	1.12
COCA COLA COM		KO	06/20/07	1,500	51,7426		77,614.01	69.8600	104,790.00	27,175.99	3,060	2.92
EMERSON ELEC CO		EMR	09/27/01	2,000	22,9200		45,840.01	50.3100	100,620.00	54,779.99	3,201	3.18

February 01, 2012 - February 29, 2012

24-Hour Assistance: (800) MERRILL

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit	Total	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
EXXON MOBIL CORP		XOM	06/27/06	1,000	59,7237	59,7237 6	86.5000	86,500.00	26,776.24	1,880 2.17
GENERAL ELECTRIC		GE	12/16/02	110	26,4000	2,904.00	19.0500	2,095.50	(808.50)	75 3.56
06/25/08				890	28,3218	25,206.48	19.0500	16,954.50	(8,251.98)	606 3.56
06/22/09				3,500	11,6615	40,815.35	19.0500	66,675.00	25,859.65	2,381 3.56
09/23/09				3,000	17,3608	52,082.65	19.0500	57,150.00	5,067.35	2,041 3.56
<i>Subtotal</i>				7,500		121,008.48		142,875.00	21,866.52	5,103 3.56
GILEAD SCIENCES INC COM		GILD	12/22/11	2,500	38,7661	96,915.35	45,5350	113,837.50	16,922.15	
GLAXOSMITHKLINE PLC ADR		GSK	08/23/05	1,722	47,7205	82,174.77	44,3100	76,301.82	(5,872.95)	
06/25/08				278	44,7391	12,437.47	44,3100	12,318.18	(119.29)	
<i>Subtotal</i>				2,000		94,612.24		88,620.00	(5,992.24)	4,507 5.08
INTEL CORP		INTC	03/30/00	750	56,4070	42,305.26	26,8800	20,160.00	(22,145.26)	630 3.12
12/16/02				3,000	18,1900	54,570.00	26,8800	80,640.00	26,070.00	2,520 3.12
12/16/02				485	18,2130	8,833.31	26,8800	13,036.80	4,203.49	408 3.12
12/16/02				15	18,2100	273.15	26,8800	403.20	130.05	13 3.12
<i>Subtotal</i>				4,250		105,981.72		114,240.00	8,258.28	3,571 3.12
INTL BUSINESS MACHINES CORP IBM		IBM	08/14/07	750	112,2653	84,199.01	196.7300	147,547.50	63,348.49	2,250 1.52
MCDONALDS CORP		MCD	12/28/10	1,000	76,2727	76,272.75	99.2800	99,280.00	23,007.25	2,800 2.82
MERCK AND CO INC SHS		MRK	03/28/06	2,000	35,7798	71,559.67	38.1700	76,340.00	4,780.33	3,361 4.40
06/25/08				1,000	36,8046	36,804.65	38.1700	38,170.00	1,365.35	1,681 4.40
<i>Subtotal</i>				3,000		108,364.32		114,510.00	6,145.68	5,042 4.40
MICROSOFT CORP		MSFT	09/29/00	2,277	30,0824	68,497.85	31,7400	72,271.98	3,774.13	1,822 2.52
06/22/09				1,723	23,4331	40,375.24	31,7400	54,688.02	14,312.78	1,379 2.52
<i>Subtotal</i>				4,000		108,873.09		126,960.00	18,086.91	3,201 2.52
MONSANTO CO NEW DEL COM		MON	09/23/09	1,000	78,1148	78,114.85	77.3800	77,380.00	(734.85)	1,201 1.55
06/17/10				500	50,1087	25,054.35	77.3800	38,690.00	13,635.65	600 1.55
<i>Subtotal</i>				1,500		103,169.20		116,070.00	12,900.80	1,801 1.55

February 01, 2012 - February 29, 2012

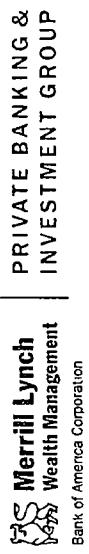
DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

24-Hour Assistance: (800) MERRILL

February 01, 2012 - February 29, 2012

Equities (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain//(Loss)	Estimated Current Annual Income	Estimated Current Yield%
NATIONAL GRID PLC SP ADR	NGG 11/14/11	2,000	49.8226	99,645.35	51.190	102,380.00	2,734.65	5,995	5.85
NATIONAL OILWELL VARCO INC	NOV 12/28/10	1,250	65.4418	81,802.35	82.530	103,162.50	21,360.15	600	58
↓ NOVARTIS ADR	NVS 09/23/09	1,750	49.1821	86,068.78	54.510	95,392.50	9,323.72	3,653	3.82
OLIN CORP \$1 NEW	OLN 03/26/10	5,000	19.2856	96,428.35	21.030	105,150.00	8,721.65	4,001	3.80
ORACLE CORP \$0 01 DEL	ORCL 06/20/07	4,000	19.7610	79,044.28	29.2550	117,020.00	37,975.72	961	82
PEPSICO INC	PEP 05/25/06	1,500	60.4635	90,695.35	62.940	94,410.00	3,714.65	3,091	3.27
PERKINELMER INC COM	PKI 06/17/10	3,000	22.9201	68,760.55	27.000	81,000.00	12,239.45	840	1.03
PRICE T ROWE GROUP INC	TROW 06/17/10	1,600	49.1657	78,665.19	61.590	98,544.00	19,878.81	2,177	2.20
↑ PROCTER & GAMBLE CO	PG 03/30/00	1,562	29.2379	45,669.66	67.620	105,622.44	59,952.78	3,281	3.10
SCHLUMBERGER LTD	SLB 06/17/10	1,000	60.6593	60,659.35	77.610	77,610.00	16,950.65	1,100	1.41
TEXAS INSTRUMENTS	TXN 12/18/09	4,000	25.2032	100,812.95	33.350	133,400.00	32,587.05	2,721	2.03
UNITED TECHS CORP COM	UTX 06/27/97	1,250	21.2642	26,580.33	83.870	104,837.50	78,257.17	2,401	2.28
WAL-MART STORES INC	WMT 06/20/07	2,000	48.8716	97,743.35	59.080	118,160.00	20,416.65	2,920	2.47
TOTAL				3,140,152.16		3,685,486.44	555,334.28	87,812	2.38



DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2012 - February 29, 2012

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	4,195,504.47	4,828,881.64	633,377.17	12,989.93	160,462	2.78

Application for Extension of Time To File an
Exempt Organization Return

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box . ►
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ►

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions DOTY FAMILY FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 13-2921496
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions c/o BCRS ASSOCIATES, LLC, 77 WATER STREET - 9TH FLOOR	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ► **BCRS ASSOCIATES, LLC**

Telephone No ► **212-440-0811** FAX No. ► **N/A**

- If the organization does not have an office or place of business in the United States, check this box ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► . If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until OCTOBER 15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► calendar year 20 ____ or

► tax year beginning MARCH 1, 20 11, and ending FEBRUARY 29, 20 12.
2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions **3a** \$ **2,319.00**
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. **3b** \$ **2,376.00**
c **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. **3c** \$ **0.00**

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ►

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions. DOTY FAMILY FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 13-2921496
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ► **BCRS ASSOCIATES, LLC**

Telephone No. ► **212-440-0811** FAX No. ► **N/A**

- If the organization does not have an office or place of business in the United States, check this box ►
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **JANUARY 15**, 2013.

5 For calendar year _____, or other tax year beginning **MARCH 1**, 2011, and ending **FEBRUARY 29**, 2012.

6 If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

7 State in detail why you need the extension **ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 2,319.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 2,376.00
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ 0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ►

Title ► CPA

Date ► 10/11/2012

Form 8868 (Rev. 1-2012)