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### **Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung

benefit trust or private foundation)

OMB No 1545-0047 Open to Public

The organization may have to use a copy of this return to satisfy state reporting requirements Inspection A For the 2011 calendar year, or tax year beginning MAR and ending FEB 29, 2012 2011 Check if C Name of organization D Employer identification number UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF Address change THE PLUMB.& PIPEFITTING IND. LOCAL 777 Name change 06-1390416 Doing Business As Initial Number and street (or P.O. box if mail is not delivered to street address) Room/suite F Telephone number Termin 1250 EAST MAIN STREET (203)317 - 4750City or town, state or country, and ZIP + 4 5,169,160. G Gross receipts \$ Applica-MERIDEN, CT 06450 H(a) Is this a group return pending F Name and address of principal officer HUBERT BARNES Yes X No for affiliates? SAME AS C ABOVE Yes No H(b) Are all affiliates included? I Tax-exempt status 501(c)(3) X 501(c) ( 5 ) **◄** (insert no.) 4947(a)(1) or [ If "No," attach a list (see instructions) J Website: ► WWW.LOCAL777.COM **H(c)** Group exemption number ▶ K Form of organization: Corporation X Trust Association Other LABOR L Year of formation: 1994 M State of legal domicile: CT Part I Summary Bnefly describe the organization's mission or most significant activities BARGAINING AGENT FOR THE APPROX. Activities & Governance 3,500 MEMBERS OF THE LOCAL UNION. PROVIDES BENEFITS, FAIR LABOR Check this box I if the organization discontinued its operations or disposed of more than 25% of its net assets Number of voting members of the governing body (Part VI, line 1a) 18 18 Number of independent voting members of the governing body (Part VI, line 1b) 4 13 5 Total number of individuals employed in calendar year 2011 (Part V, line 2a) 5 0 Total number of volunteers (estimate if necessary) 6 7 a Total unrelated business revenue from Part VIII, column (C), line 12 7a b Net unrelated business taxable income from Form 990-T, line 34 **Prior Year Current Year** 0 Contributions and grants (Part VIII, line 1h) 4,322,525 4,458,657. Program service revenue (Part VIII, line 2g) 1,519. -249,688. 10 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 2,674 1,220. 11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 4,210,189. 4,326,718 12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) Grants and similar amounts paid (Part IX, column (A), lines 1-3) 0. 13 Benefits paid to de for needibers (Part IX, dolumn (A), line 4) 0. 0. 1,403,196 1,532,437. Salanes other compensation, employee benefits (Part IX, column (A), lines 5-10) 15 16a Professional fundraising fees (Part IX, Column (A), line 11e)
b Total fundraising expenses (Rah IX, column (D), line 25) Other expenses (Part IX, column (A), ines 11a-11d, 11f-24e) 2,416,211. 3,188,990. 3,819,407 Total expenses And fines 13-17 must equal Part IX, column (A), line 25)
Revenue less expenses Subtract line 18 from line 12 4,721,427. 507,311. -511,238. **Beginning of Current Year End of Year** 5,053,585. 4,552,102. 20 Total assets (Part X, line 16) 70,744 21 Total liabilities (Part X, line 26) 80,499. 471,603 4,982,841 22 Net assets or fund balances Subtract line 21 from line 20 Part II | Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and completes Reclaration of preparer (other than officer) is based on all information of which preparer has any knowledge. 7/2/2012 Sign HUBERT BARNES Here Type or print name and title Print/Type preparer's name Preparer's signature 06/27/12 self-employed Paid P00790505 NICHOLAS IWANEC NICHOLAS IWANEC Preparer Firm's name BEERS, HAMERMAN & CO., Firm's EIN 06-0971924 Firm's address 234 CHURCH STREET Use Only (203)787-6527NEW HAVEN, CT 06510

X Yes

Form **990** (2011)

May the IRS discuss this return with the preparer shown above? (see instructions)

|            | 990 (2011) THE PLUMB. & PIPEFITTING IND. LOCAL 777 06-1390416 Page 2 till Statement of Program Service Accomplishments   |
|------------|--|
|            | Check if Schedule O contains a response to any question in this Part III   |
| 1          | Briefly describe the organization's mission  BARGAINING AGENT FOR THE APPROXIMATELY 3,500 MEMBERS OF THE LOCAL   |
|            | UNION. PROVIDES BENEFITS, FAIR LABOR STANDARDS AND BETTERMENT OF   |
|            | WORKING CONDITIONS.  |
| 2          | Did the organization undertake any significant program services during the year which were not listed on   |
| 2          | the prior Form 990 or 990-EZ?  |
|            | If "Yes," describe these new services on Schedule O.   |
| 3          | Did the organization cease conducting, or make significant changes in how it conducts, any program services?  Yes X No  If "Yes," describe these changes on Schedule O   |
| 4          | Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses  |
|            | Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.   |
| 4a         |  |
| <b>+</b> a | (Code) (Expenses \$  |
|            |  |
|            | EMPLOYERS, INVOLVING WAGES, BENEFITS AND WORKING CONDITIONS.   |
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| 4d         | Other program services (Describe in Schedule O)  |
|            | (Expenses \$ including grants of \$ ) (Revenue \$ )  |
| <u>4e</u>  | Total program service expenses ▶   |
| 12200      | Form <b>990</b> (2011)   |

Form 990 (2011) Part IV Checklist of Required Schedules

THE PLUMB.& PIPEFITTING IND. LOCAL 777

|     |  |           | Yes          | No           |
|-----|--|-----------|--------------|--------------|
| 1   | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?  |           |              | v            |
| _   | If "Yes," complete Schedule A  | 1         |              | X            |
| 2   | Is the organization required to complete Schedule B, Schedule of Contributors?   | 2         |              | _ <u>^</u>   |
| 3   | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for  |           | <sub>v</sub> |              |
| 4   | public office? If "Yes," complete Schedule C, Part I   | 3         | X            | <del> </del> |
| 4   | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II   |           |              | ł            |
| 5   | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or   | 4         |              | <del> </del> |
| 3   | similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III   | 5         |              | х            |
| 6   | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to  | 3         |              | - 1          |
| Ū   | provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I   | 6         |              | x            |
| 7   | Did the organization receive or hold a conservation easement, including easements to preserve open space,  | <u> </u>  |              |              |
| _   | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II   | 7         |              | x            |
| 8   | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete   | -         |              |              |
|     | Schedule D, Part III   | 8         |              | x            |
| 9   | Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X, or provide  |           |              |              |
|     | credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV  | 9         |              | X            |
| 10  | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent  |           |              |              |
|     | endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V   | 10        |              | X            |
| 11  | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X  |           |              |              |
|     | as applicable  |           |              |              |
| а   | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,  |           |              |              |
|     | Part VI  | 11a       | X            | <u> </u>     |
| b   | Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total  |           |              |              |
|     | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII  | 11b       | <u>X</u>     | ļ            |
| С   | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total   |           |              | l            |
|     | assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII   | 11c       |              | X            |
| a   | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in   |           |              | ·            |
| _   | Part X, line 16? If "Yes," complete Schedule D, Part IX  | 11d       | Х            | X            |
| f   | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X  Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses | 11e       | Λ            | ·            |
| •   | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X   | 11f       |              | х            |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete  | - ' ' ' ' |              |              |
|     | Schedule D, Parts XI, XII, and XIII  | 12a       |              | x            |
| b   | Was the organization included in consolidated, independent audited financial statements for the tax year?  |           |              | _==-         |
|     | If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional   | 12b       |              | х            |
| 13  | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E  | 13        |              | Х            |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States?  | 14a       |              | Х            |
| b   | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,  |           |              |              |
|     | investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000   |           |              |              |
|     | or more? If "Yes," complete Schedule F, Parts I and IV   | 14b       |              | X            |
| 15  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization  |           |              |              |
|     | or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV  | 15        |              | X            |
| 16  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals   |           |              |              |
|     | located outside the United States? If "Yes," complete Schedule F, Parts III and IV   | 16        |              | X            |
| 17  | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,  |           |              | v            |
| 10  | column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I   | 17        |              | X            |
| 18  | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines   | 40        |              | v            |
| 19  | 1c and 8a? If "Yes," complete Schedule G, Part II  Did the organization report more than \$15,000 of gross income from garning activities on Part VIII, line 9a? If "Yes,"   | 18        | !            | X            |
| 13  | complete Schedule G, Part III  | 10        |              | y            |
| 20a | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H  | 19<br>20a |              | X            |
|     | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?   | 20b       |              |              |
|     | and the rectangle of the desired management of the retains   |           | 200          | 2011)        |

Form 990 (2011) Part IV Checklist of Required Schedules (continued)

THE PLUMB. PIPEFITTING IND. LOCAL 777 06-1390416 Page 4

|     |   |         | Yes   | No    |
|-----|---|---------|-------|-------|
| 21  | Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the   | İ       |       |       |
|     | United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II   | 21      |       | X     |
| 22  | Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX,  |         |       |       |
|     | column (A), line 2? If "Yes," complete Schedule I, Parts I and III  | 22      |       | X     |
| 23  | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current  |         |       |       |
|     | and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete  |         |       |       |
|     | Schedule J  | _23     | X     |       |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the   |         |       |       |
|     | last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete  |         |       | ۱.,   |
|     | Schedule K. If "No", go to line 25  | 24a     |       | X     |
| b   |   | 24b     |       | 1     |
| С   | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease  | l       |       |       |
|     | any tax-exempt bonds?   | 24c     |       |       |
|     | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?   | 24d     |       |       |
| 25a | Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a  |         | '     |       |
|     | disqualified person during the year? If "Yes," complete Schedule L, Part I  | 25a     |       |       |
| D   | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and  |         |       |       |
|     | that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I  | 054     |       | l     |
| 00  | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified  | 25b     |       | -     |
| 26  | person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II   | 26      |       | х     |
| 27  | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial  | 20      |       |       |
| 21  | contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member   | ł       |       |       |
|     | of any of these persons? If "Yes," complete Schedule L, Part III  | 27      |       | Х     |
| 28  | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV   |         |       |       |
| 20  | instructions for applicable filing thresholds, conditions, and exceptions):   |         |       |       |
| а   | As a few of death to the law of the Country of the | 28a     |       | х     |
|     | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV  | 28b     |       | Х     |
|     | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer,   |         |       |       |
|     | director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV  | 28c     |       | х     |
| 29  | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M  | 29      |       | X     |
| 30  | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation   |         |       |       |
|     | contributions? If "Yes," complete Schedule M  | _30     |       | X     |
| 31  | Did the organization liquidate, terminate, or dissolve and cease operations?  |         |       |       |
|     | If "Yes," complete Schedule N, Part I   | 31      |       | Х     |
| 32  | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete  |         |       |       |
|     | Schedule N, Part II   | 32      |       | X     |
| 33  | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations  |         |       |       |
|     | sections 301.7701-2 and 301 7701-3? If "Yes," complete Schedule R, Part I   | 33      |       | X     |
| 34  | Was the organization related to any tax-exempt or taxable entity?   |         |       |       |
|     | If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1   | 34      |       | X     |
|     | Did the organization have a controlled entity within the meaning of section 512(b)(13)?   | 35a     | -     | X     |
| b   | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of   |         |       |       |
|     | section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2   | 35b     |       | X     |
| 36  | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?  | '       |       |       |
|     | If "Yes," complete Schedule R, Part V, line 2   | 36      |       | -     |
| 37  | Did the organization conduct more than 5% of its activities through an entity that is not a related organization  |         |       |       |
|     | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI  | 37      |       | X     |
| 38  | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?   | 00      |       |       |
|     | Note, All Form 990 filers are required to complete Schedule O   | 38 Eorm | 990 ( | 2011  |
|     |   | LOIID   | 33U ( | (۱۱۷ع |

Form **990** (2011)

JRAPP411

|          | 1990 (2011) THE PLUMB. & PIPEFITTING IND. LOCAL 777 06-1390   | 416 | <u>P</u> | age 5    |
|----------|---|-----|----------|----------|
| Pai      | Statements Regarding Other IRS Filings and Tax Compliance   |     |          |          |
|          | Check if Schedule O contains a response to any question in this Part V  |     |          | <u> </u> |
|          |   |     | Yes      | No       |
| 1a       | · '' '' '' '' '' '' '' '' '' '' '' '' ''  |     |          |          |
| b        | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable 1b 0  |     |          |          |
| C        | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming                              |     |          |          |
|          | (gambling) winnings to prize winners?   | 1c  |          |          |
| 2a       | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,   |     |          |          |
|          | filed for the calendar year ending with or within the year covered by this return 2a 13   |     |          |          |
| b        | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?                                  | 2b  | X        |          |
|          | Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)                                       |     |          |          |
| 3a       | Did the organization have unrelated business gross income of \$1,000 or more during the year?   | 3a  |          | X        |
| b        | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O  | 3b  |          |          |
| 4a       | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a                       |     |          |          |
|          | financial account in a foreign country (such as a bank account, securities account, or other financial account)?                                | 4a  |          | X        |
| b        | If "Yes," enter the name of the foreign country   |     |          |          |
|          | See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts.                                  |     |          |          |
| 5a       | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?   | 5a  |          | Х        |
| ь        | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?                                | 5b  |          | X        |
| c        | If "Yes," to line 5a or 5b, did the organization file Form 8886-T?  | 5c  |          |          |
| 6a       | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit                     |     |          |          |
|          | any contributions that were not tax deductible?   | 6a  | ļ        | Х        |
| b        | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts                            |     |          |          |
|          | were not tax deductible?  | 6b  |          |          |
| 7        | Organizations that may receive deductible contributions under section 170(c).   |     |          |          |
| а        | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | .7a |          |          |
| b        | If "Yes," did the organization notify the donor of the value of the goods or services provided?   | 7b  |          |          |
| c        | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required                               |     |          |          |
|          | to file Form 8282?  | 7c  |          |          |
| d        | If "Yes," indicate the number of Forms 8282 filed during the year 7d  |     |          |          |
| e        | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                 | 7e  |          |          |
| f        | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                    | 7f  |          |          |
| g        | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?                | 7g  |          |          |
| h        | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?              | 7h  |          |          |
| 8        | Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting                     |     |          |          |
|          | organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?       | 8   |          |          |
| 9        | Sponsoring organizations maintaining donor advised funds.   |     |          |          |
| а        | Did the organization make any taxable distributions under section 4966?   | 9a  |          |          |
| b        | Did the organization make a distribution to a donor, donor advisor, or related person?  | 9b  |          |          |
| 10       | Section 501(c)(7) organizations. Enter  |     |          |          |
| а        | Initiation fees and capital contributions included on Part VIII, line 12  |     |          |          |
| b        | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities   |     |          |          |
| 11       | Section 501(c)(12) organizations. Enter:  |     |          |          |
| а        | Gross income from members or shareholders   |     |          |          |
| b        | Gross income from other sources (Do not net amounts due or paid to other sources against  |     |          |          |
|          | amounts due or received from them)  |     |          |          |
| 12a      | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?                                      | 12a |          |          |
|          | If "Yes," enter the amount of tax-exempt interest received or accrued during the year   |     |          |          |
| 13       | Section 501(c)(29) qualified nonprofit health insurance issuers.  |     |          |          |
| а        | Is the organization licensed to issue qualified health plans in more than one state?  | 13a |          |          |
|          | Note. See the instructions for additional information the organization must report on Schedule O  |     |          |          |
| ь        |   |     |          |          |
| -        | organization is licensed to issue qualified health plans  |     |          |          |
| С        | Enter the amount of reserves on hand  |     |          |          |
| 14a      |   | 14a |          | X        |
| <u>b</u> | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O                                       | 14b |          |          |

Form 990 (2011)

THE PLUMB.& PIPEFITTING IND. LOCAL 777

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

|            | Check if Schedule O contains a response to any question in this Part VI  |         |       | $\mathbf{x}$ |  |  |  |  |  |
|------------|--|---------|-------|--------------|--|--|--|--|--|
| Sec        | tion A. Governing Body and Management  |         |       |              |  |  |  |  |  |
|            |  |         | Yes   | No           |  |  |  |  |  |
| 1a         | Enter the number of voting members of the governing body at the end of the tax year 18   |         |       |              |  |  |  |  |  |
|            | If there are material differences in voting rights among members of the governing body, or if the governing  |         |       |              |  |  |  |  |  |
|            | body delegated broad authority to an executive committee or similar committee, explain in Schedule O.  |         |       |              |  |  |  |  |  |
| b          | Enter the number of voting members included in line 1a, above, who are independent 1b 18   |         |       |              |  |  |  |  |  |
| 2          |  |         |       |              |  |  |  |  |  |
|            | officer, director, trustee, or key employee?   | 2       | ]     | X            |  |  |  |  |  |
| 3          | Did the organization delegate control over management duties customarily performed by or under the direct supervision  |         |       |              |  |  |  |  |  |
|            | of officers, directors, or trustees, or key employees to a management company or other person?   | 3       |       | X            |  |  |  |  |  |
| 4          | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?   | 4       |       | X            |  |  |  |  |  |
| 5          |  |         |       |              |  |  |  |  |  |
| 6          | Did the organization have members or stockholders?   | 6       | Х     |              |  |  |  |  |  |
| 7a         | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or   |         |       |              |  |  |  |  |  |
|            | more members of the governing body?  | 7a      | X     |              |  |  |  |  |  |
| b          | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or   |         | İ     |              |  |  |  |  |  |
|            | persons other than the governing body?   | 7b      | X     |              |  |  |  |  |  |
| 8          | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:  |         |       |              |  |  |  |  |  |
| а          | The governing body?  | 8a      | Х     |              |  |  |  |  |  |
| b          | Each committee with authority to act on behalf of the governing body?  | 8b      | Х     |              |  |  |  |  |  |
| 9          | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the   |         |       |              |  |  |  |  |  |
|            | organization's mailing address? If "Yes," provide the names and addresses in Schedule O  | 9       |       | <u> </u>     |  |  |  |  |  |
| <u>Sec</u> | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)  |         |       |              |  |  |  |  |  |
|            |  |         | Yes   | No           |  |  |  |  |  |
| 10a        | Did the organization have local chapters, branches, or affiliates?   | 10a     |       | X            |  |  |  |  |  |
| b          | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,   |         |       |              |  |  |  |  |  |
|            | and branches to ensure their operations are consistent with the organization's exempt purposes?  | 10b     | х     |              |  |  |  |  |  |
| 11a        | 1a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?   |         |       |              |  |  |  |  |  |
| b          | Describe in Schedule O the process, if any, used by the organization to review this Form 990   |         |       |              |  |  |  |  |  |
| 12a        | Did the organization have a written conflict of interest policy? If "No," go to line 13  | 12a     |       | _ <u>X</u> _ |  |  |  |  |  |
| b          |  | 12b     |       |              |  |  |  |  |  |
| С          | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe   |         |       |              |  |  |  |  |  |
|            | ın Schedule O how this was done  | 12c     |       |              |  |  |  |  |  |
| 13         | Did the organization have a written whistleblower policy?  | 13      |       | <u>x</u>     |  |  |  |  |  |
| 14         | Did the organization have a written document retention and destruction policy?   | 14      |       | X            |  |  |  |  |  |
| 15         | Did the process for determining compensation of the following persons include a review and approval by independent   |         |       |              |  |  |  |  |  |
|            | persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  |         |       | v            |  |  |  |  |  |
|            | The organization's CEO, Executive Director, or top management official   | 15a     |       | <u>X</u>     |  |  |  |  |  |
| b          | Other officers or key employees of the organization  | 15b     |       | <u>X</u>     |  |  |  |  |  |
| 40         | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)   |         |       |              |  |  |  |  |  |
| 16a        | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a  |         |       | v            |  |  |  |  |  |
|            | taxable entity during the year?  | 16a     |       | <u> </u>     |  |  |  |  |  |
| b          | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation   |         |       |              |  |  |  |  |  |
|            | in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's   | 401     |       |              |  |  |  |  |  |
| <u></u>    | exempt status with respect to such arrangements?   | 16b     |       |              |  |  |  |  |  |
|            | tion C. Disclosure   |         |       |              |  |  |  |  |  |
| 17         | List the states with which a copy of this Form 990 is required to be filed NONE  NONE  NONE  |         | la    |              |  |  |  |  |  |
| 18         | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) a   | ıvall20 | ie    |              |  |  |  |  |  |
|            | for public inspection. Indicate how you made these available. Check all that apply   |         |       |              |  |  |  |  |  |
| 40         | Own website Another's website W Upon request   | d fina- | ora!  |              |  |  |  |  |  |
| 19         | Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and statements available to the public during the toy user. | u iinar | icial |              |  |  |  |  |  |
| ~          | statements available to the public during the tax year   |         |       |              |  |  |  |  |  |
| 20         | State the name, physical address, and telephone number of the person who possesses the books and records of the organizar HUBERT BARNES - 203-317-4750                                   | JOH P   |       |              |  |  |  |  |  |
| 13200      | 1250 EAST MAIN ST, MERIDEN, CT 06450   |         | 000   |              |  |  |  |  |  |
| 01-23      |  | Form    | 990 ( | 2011)        |  |  |  |  |  |

### 06-1390416 Page 7

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors** 

Check if Schedule O contains a response to any question in this Part VII

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter 0 in columns (D), (E), and (F) if no compensation was paid
  - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order. Individual trustees or directors; institutional trustees; officers, key employees, highest compensated employees; and former such persons

| (A)                     | (B)               | l                              | II IIZG               |   |                     | npe                          | i isat | (D)             | (E)             | (F)                                     |
|-------------------------|-------------------|--------------------------------|-----------------------|---|---------------------|------------------------------|--------|-----------------|-----------------|---|
| Name and Title          | Average           | (C)<br>Position                |                       |   |                     |                              |        | Reportable      | Reportable      | Estimated                               |
| Name and Title          | hours per         |                                |                       | (do not check more than one box, unless person is both an |                     |                              |        | compensation    | compensation    | amount of                               |
|                         | week              |                                |                       |   | a director/trustee) |                              |        | from            | from related    | other                                   |
|                         | (describe         | cto                            |                       |   |                     |                              |        | the             | organizations   | compensation                            |
|                         | hours for         | ğ                              | a                     |   |                     | Eg.                          |        | organization    | (W-2/1099-MISC) | from the                                |
|                         | related           | stee                           | ruste                 |   |                     | bens                         |        | (W-2/1099-MISC) |                 | organization                            |
|                         | organizations     | nal tr.                        | onal                  |   | ploye               | E 20 3                       |        |                 |                 | and related                             |
|                         | in Schedule<br>O) | individual trustee or director | institutional trustee | Officer   | Key employee        | Highest compensated employee | Former |                 |                 | organizations                           |
| (1) HUBERT BARNES       | † <del></del>     |                                | -=-                   |   |                     |                              |        |                 | <u>-</u>        |   |
| BUS, MGR                | 40.00             | X                              |                       |   |                     |                              |        | 102,674.        | 0.              | 67,977.                                 |
| (2) TOM CASSIDY         |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| PRIOR BUS. AGENT        | 40.00             | X                              |                       |   |                     |                              |        | 93,438.         | 0.              | 62,217.                                 |
| (3) GERALD FUCCI        |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| BUS. AGENT              | 40.00             | X                              |                       |   |                     |                              |        | 94,167.         | 0.              | 66,258.                                 |
| (4) MICHAEL ROSARIO     |                   |                                |                       |   |                     |                              |        |                 |                 | •                                       |
| BUS. AGENT              | 40.00             | Х                              |                       |   |                     |                              |        | 94,158.         | 0.              | 65,350.                                 |
| (5) JOHN HIGGINS JR     |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| BUS. AGENT              | 10.00             | Х                              |                       |   |                     |                              |        | 95,225.         | 0.              | 65,067.                                 |
| (6) CHRIS ST. GEORGE    |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXEC. BOARD             | 10.00             | Х                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (7) V. THOMAS HUGHES    |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXAMINING BOARD         | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (8) FRANK DACATO        |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXAMINING BOARD         | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (9) VINNIE VALENTE      |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXAMINING BOARD         | 10.00             | X                              |                       |   |                     | 1                            |        | 0.              | 0.              | 0.                                      |
| (10) PAUL VENTI         |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXEC. BOARD             | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (11) TOM O'NEIL         |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXAMINING BOARD         | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (12) CAROLYN BEAMER     |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| EXEC. BOARD             | 10.00             | X                              |                       |   |                     | 1                            |        | 0.              | 0.              | 0.                                      |
| (13) PETER SULLIVAN     |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| FINANCE BOARD           | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (14) MICHAEL LARSON     |                   |                                |                       |   |                     |                              |        |                 |                 | , |
| FINANCE BOARD           | 10.00             | X                              |                       |   |                     | 1                            |        | 0.              | 0.              | 0.                                      |
| (15) MICHAEL LAUDANO    |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| FINANCE BOARD           | 10.00             | X                              |                       |   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (16) ANTHONY CAMILLUCCI |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| VICE-PRESIDENT          | 10.00             |                                |                       | X   |                     |                              |        | 0.              | 0.              | 0.                                      |
| (17) PETER ALFIERI      |                   |                                |                       |   |                     |                              |        |                 |                 |   |
| PAST_VICE-PRESIDENT     | 10.00             |                                | L.                    | X   | L                   | L.                           |        | 95,050.         | 0.              | 65,087.                                 |
| 132007 01-23-12         |                   |                                |                       |   |                     |                              |        |                 |                 | Form <b>990</b> (2011)                  |

THE PLUMB.& PIPEFITTING IND. LOCAL 777

| Name and title    Name and title   | Part VII Section A. Officers, Directors, 1     |                          | mpl                         | oyee     |            |        | High        | est   | i i                        |                    |      |          |        |           |
|--|--|--------------------------|-----------------------------|----------|------------|--------|-------------|-------|----------------------------|--------------------|------|----------|--------|-----------|
| Sub-total   Sub-total  | (A)  | (B)                      |                             |          | -          | -      | _           |       | (D)                        | (E)                | · .  |          | (F)    |           |
| Sub-total   Sub  | Name and title                                 | _                        | (do not check more than one |          |            |        |             | one   |                            | •                  |      |          |        |           |
| Complete the load for the companisation of the companisation from the organization of the companisation of the c |  |                          | box                         |          |            |        |             | th an | 1 '                        | •                  |      |          |        | of        |
| Nour for related organizations   Schedule   |                          | $\vdash$                    | T        |            | T      | T           | T T   | 1                          |                    |      |          |        | .4        |
| (18) TODD BERCH  RECORDING SECRETARY  10.00  |  | 1 '                      | Fect                        |          |            |        |             |       |                            | 3                  | "    |          |        |           |
| (18) TODD BERCH  RECORDING SECRETARY  10.00  |  |                          | 0 0                         | eg<br>Eg |            |        | sated       |       | _                          | (W-2/1099-WISC     | "    |          |        |           |
| (18) TODD BERCH  RECORDING SECRETARY  10.00  |  |                          | ruste                       | l fus    | ļ          | 8      | mpeu        | ŀ     | (** 27 1033 141100)        |                    |      | _        |        |           |
| (18) TODD BERCH  RECORDING SECRETARY  10.00  |  | , –                      | ag                          | utiona   | _          | oldma  | stco        |       |                            |                    |      |          |        |           |
| (18) TODD BERCH  RESCRITIANS (RERETRARY 10.00  |  | 0)                       | ě                           | Instit   | Office     | Key el | High        | 튍     |                            |                    |      | •        | _      |           |
| (19) COSBO SEABERG PREST SECRETARY  10.00  | (18) TODD BERCH                                |                          |                             |          |            |        |             |       |                            |                    | _    |          |        |           |
| PAST SECREPARY    10.00  | RECORDING SECRETARY                            | 10.00                    | <u> </u>                    | _        | Х          |        | <u> </u>    |       | 4,589.                     |                    | 0.   |          |        | 0.        |
| 1b Sub-total   | (19) COSMO SEABERG                             |                          |                             |          |            |        |             |       | 4 40 5                     |                    |      |          |        | •         |
| 1b Sub-total  1b Sub-total  1c Total from continuation sheets to Part VII, Section A  1d Total (add lines 1b and 1c)  2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a² // 1² // 16², *complete Schedula J for such individual  3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a² // 1² // 16², *complete Schedula J for such individual  4 For any individual listed on line 1a is the sum of reportable compensation and elasted organization greater than \$150,000² // 1² // 16², *complete Schedula J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If */ 16², *complete Schedula J for such pierson  5 Did any person listed on line 1a caceive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If */ 16², *complete Schedula J for such pierson  5 Did any person listed on line 1a caceive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If */ 16², *complete Schedula J for such pierson  5 Did not be organization for the organization or individual for services rendered to the organization? If */ 16², *complete Schedula J for such pierson  6 Description of services  7 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation  8 Did */ 16², **Complete Schedula J for such pierson  7 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation  | PAST SECRETARY                                 | 10.00                    | <u> </u>                    |          | X          |        | -           |       | 1,495.                     | -                  | 0.   |          |        | 0.        |
| 1b Sub-total  Total from continuation sheets to Part VII, Section A  Total add lines 1b and 1c)  Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization is any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual  For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization are related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual  For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organization? If "Yes," complete Schedule J for such individual or services rendered to the organization? If "Yes," complete Schedule J for such person  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation from the calendar year ending with or within the organization of services.  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization of services.  NONE  Description of services  Compensation  Compensation  Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization of services.   |  | 40.00                    |                             |          |            |        |             |       |                            |                    | ,    |          |        | ^         |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   | PRESIDENT                                      | 40.00                    |                             |          | X          |        |             |       | 0.                         |                    | 0.   |          |        | 0.        |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  |                          | ļ                           | -        |            | -      | -           |       |                            |                    | _    |          |        |           |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  | <u> </u>                 |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  |                          |                             |          |            |        | -           | -     |                            |                    |      |          |        |           |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  |                          |                             |          |            |        | <u> </u>    |       |                            |                    |      |          |        |           |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| c Total from continuation sheets to Part VII, Section A d Total (add lines 1b and 1c)  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Ves   No   |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| d Total (add lines 1b and 1c)  Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual  For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual  Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  Name and business address  NONE  Description of services  Compensation  Compensation  Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization is tax year.  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization is tax year.   | 1b Sub-total                                   | <u>L</u>                 | <u> </u>                    | <u> </u> |            |        | <b></b>     |       |                            |                    |      | 39:      | 1,9    | 56.       |
| 2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization    Yes No  3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual  4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  5 X  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  (B)  (C)  Compensation   | c Total from continuation sheets to Part       | VII, Section A           |                             |          |            |        |             |       |                            |                    |      |          |        | <u>0.</u> |
| Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization of services  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization of services  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization. Polycopensation from the organization of services or compensation from the organization. Report compensation for the calendar year ending with or within the organization of services or compensation from the organization.  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization.  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization.  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization.  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization.   |  |                          |                             |          |            |        | <u> </u>    |       |                            |                    | 0.   | 39:      | 1,9    | 56.       |
| Yes   No   |  | t not limited to th      | nose                        | liste    | ed al      | bov    | e) wl       | ho r  | eceived more than \$100    | ,000 of reportable |      |          |        | 1         |
| line 1a? If "Yes," complete Schedule J for such individual  For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000° If "Yes," complete Schedule J for such individual  Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  Name and business address  NONE  Description of services  Compensation  Compensation  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization   ▶ 0  | compensation from the organization             |                          |                             |          |            |        |             |       |                            |                    |      |          | Yes    | No        |
| line 1a? If "Yes," complete Schedule J for such individual  For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000° If "Yes," complete Schedule J for such individual  Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  Name and business address  NONE  Description of services  Compensation  Compensation  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization   ▶ 0  | 3 Did the organization list any former office  | er, director, or tri     | uste                        | e, ke    | ey er      | nplo   | oyee        | , or  | highest compensated e      | mployee on         | [    |          |        |           |
| and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual  5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  NONE  Description of services  Compensation  Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization    0   |  |                          |                             |          | •          | •      |             |       |                            |                    |      | 3        |        | x         |
| Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A) Name and business address NONE  Description of services  Compensation  Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶  0   | 4 For any individual listed on line 1a, is the | sum of reportab          | le c                        | omp      | ensa       | atior  | n and       | d ot  | her compensation from      | the organization   |      |          |        |           |
| rendered to the organization? If "Yes," complete Schedule J for such person  Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A) (B) (C)  Name and business address NONE  Description of services  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶  0   | and related organizations greater than \$      | 150,000? <i>If</i> "Yes, | • cc                        | mpl      | ete S      | Sch    | edul        | e J i | for such individual        |                    |      | 4        | X      |           |
| Section B. Independent Contractors  1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A) (B) (C)  Name and business address NONE  Description of services  Compensation  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization \$\infty\$  | 5 Did any person listed on line 1a receive of  | or accrue compe          | nsat                        | ion 1    | from       | any    | y unr       | elat  | ted organization or indivi | dual for services  |      | İ        |        |           |
| 1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A)  (B)  (C)  Compensation  NONE  Description of services  Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization.  |  | omplete Schedui          | e J i                       | for s    | <u>uch</u> | pen    | son         |       |                            |                    |      | 5        |        | <u> X</u> |
| the organization. Report compensation for the calendar year ending with or within the organization's tax year  (A) (B) (C) Compensation  Description of services  Compensation  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization  0  | <del> </del>                                   | compensated in           | dep                         | ende     | ent c      | ont    | racto       | ors t | that received more than    | \$100.000 of comp  | ensa | ation fi | om     |           |
| Name and business address NONE Description of services Compensation  2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| 2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ▶ 0   | (A)  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| \$100,000 of compensation from the organization   0  | Name and busine                                | ss address               | N                           | ON       | E          |        |             | _     | Description of s           | ervices            | C    | omper    | nsatio | n<br>     |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        | <u>.</u>  |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        |             |       |                            |                    |      |          |        |           |
| \$100,000 of compensation from the organization   0  |  |                          |                             |          |            |        | <del></del> |       |                            |                    |      |          |        |           |
|  |  | -                        | not li                      | mıte     | d to       |        | _           | stec  | d above) who received m    | nore than          |      |          |        |           |
|  | \$100,000 of compensation from the orga        | anization >              |                             |          |            | 1      | U           |       |                            | <u> </u>           |      | Form 6   | 200 4  | 2014      |

Form 990 (2011) THE PLUMB. PIPEFITTING IND. LOCAL 777
Part VIII | Statement of Revenue

|  |                       | otatement of never  |                                       |                         | (A)<br>Total revenue | (B) Related or exempt function revenue | (C)<br>Unrelated<br>business<br>revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|--|-----------------------|---|---------------------------------------|-------------------------|----------------------|--|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | b<br>c<br>d<br>e<br>f | Federated campaigns Membership dues Fundraising events Related organizations Government grants (contribut All other contributions, gifts, gran similar amounts not included abo Noncash contributions included in lines | its, and ive 1f                       |                         |                      |  |   |   |
| Program Service C<br>Revenue                           | 2 a<br>b<br>c         | Total. Add lines 1a-1f  MEMBERSHIP DUES   |                                       | Business Code<br>900099 | 4,458,657.           | 4,458,657.                             |   |   |
| Pro  | e<br>f<br>a           | All other program service reversal. Add lines 2a-2f   | enue                                  | <b></b>                 | 4,458,657.           |  |   |   |
|  | 3 4 5                 | Investment income (including other similar amounts) Income from investment of tal Royalties   | ·                                     | est, and                | 113,216.             |  |   | 113,216.  |
|  | 6 a<br>b              | Gross rents Less rental expenses Rental income or (loss)  | (i) Real                              | (ii) Personal           |                      |  |   |   |
|  | 7 a                   | Net rental income or (loss) Gross amount from sales of assets other than inventory Less: cost or other basis  | (i) Securities<br>596,067.            | (ii) Other              |                      |  |   |   |
| .e   | d                     | and sales expenses Gain or (loss) Net gain or (loss) Gross income from fundraisin   | 957,762.<br>-361695.<br>g events (not |                         | -362,904.            | -362,904.                              |   |   |
| Other Revenu   | b                     | contributions reported on line Part IV, line 18 Less: direct expenses   | of (a 1c) See (a b                    |                         |                      |  |   |   |
| 0  | 9 a                   | Net income or (loss) from fund<br>Gross income from gaming ad<br>Part IV, line 19<br>Less direct expenses   | =                                     | •                       |                      |  |   |   |
|  | c<br>10 a<br>b        | Net income or (loss) from gam<br>Gross sales of inventory, less<br>and allowances<br>Less cost of goods sold  | ning activities<br>returns<br>a<br>b  | <b>&gt;</b>             |                      |  |   |   |
|  |                       | Net income or (loss) from sale  Miscellaneous Revenu  SALE OF HATS, S   | BHIRTS W                              | Business Code 900099    | 1,220.               |  |   | 1,220.  |
| 13200  | c<br>d<br>e<br>12     | All other revenue Total. Add lines 11a-11d Total revenue. See instructions.   |                                       | <b>&gt;</b>             | 1,220.<br>4,210,189. | 4,095,753.                             | 0                                       | . 114,436.<br>Form <b>990</b> (2011)                          |

THE PLUMB.& PIPEFITTING IND. LOCAL 777

Form 990 (2011) Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

|          | not include amounts reported on lines 6b,<br>8b, 9b, and 10b of Part VIII.                            | (A)<br>Total expenses | (B) Program service expenses | (C) Management and general expenses | ( <b>D)</b><br>Fundraising<br>expenses |
|----------|---|-----------------------|------------------------------|-------------------------------------|--|
| 1        | Grants and other assistance to governments and  |                       | ехрензез                     | general expenses                    | ехрепзез                               |
|          | organizations in the United States. See Part IV, line 21  |                       |                              |                                     |  |
| 2        | Grants and other assistance to individuals in   |                       |                              |                                     |  |
|          | the United States See Part IV, line 22  |                       |                              |                                     |  |
| 3        | Grants and other assistance to governments,   |                       |                              |                                     |  |
|          | organizations, and individuals outside the  |                       |                              |                                     |  |
|          | United States See Part IV, lines 15 and 16  |                       |                              |                                     |  |
| ŀ        | Benefits paid to or for members   |                       |                              |                                     |  |
| 5        | Compensation of current officers, directors,  |                       |                              |                                     |  |
|          | trustees, and key employees   | 567,221.              |                              |                                     | <del></del>                            |
| 3        | Compensation not included above, to disqualified  |                       |                              |                                     |  |
|          | persons (as defined under section 4958(f)(1)) and   |                       |                              |                                     |  |
| _        | persons described in section 4958(c)(3)(B)  | 011 010               |                              |                                     |  |
|          | Other salaries and wages  | 211,810.              |                              |                                     |  |
| 3        | Pension plan accruals and contributions (include  | 405 064               |                              |                                     |  |
|          | section 401(k) and section 403(b) employer contributions)   | 495,264.              |                              |                                     | <del></del>                            |
| •        | Other employee benefits   | 188,891.              |                              |                                     |  |
| )        | Payroll taxes   | 69,251.               |                              |                                     |  |
| l<br>-   | Fees for services (non-employees)   |                       |                              |                                     |  |
| a        | Management  | 28,158.               |                              |                                     |  |
| b        | Legal   | 13,497.               |                              |                                     |  |
| C        | Accounting  | 64,765.               |                              |                                     |  |
| d        | , ,   | 04,703.               |                              |                                     |  |
| f        | Professional fundraising services. See Part IV, line 17 Investment management fees                    | 8,681.                | ·                            |                                     |  |
| -        |   | 21,346.               | *                            |                                     |  |
| 9<br>2   | Advertising and promotion   | 21,340.               | <del></del>                  |                                     |  |
| 3        | Office expenses   | 125,521.              |                              |                                     |  |
| ,<br>1   | Information technology  | 123,321.              |                              |                                     |  |
| 5        | Royalties   |                       |                              | -                                   |  |
| 5        | Occupancy   | 72,484.               |                              |                                     |  |
| 7        | Travel  | 68,062.               |                              |                                     |  |
| 3        | Payments of travel or entertainment expenses  | 50,0021               |                              |                                     |  |
|          | for any federal, state, or local public officials   |                       |                              |                                     |  |
| •        | Conferences, conventions, and meetings  | 69,904.               |                              |                                     |  |
| )        | Interest  |                       |                              |                                     | ·                                      |
| ı        | Payments to affiliates  | 34,297.               |                              |                                     |  |
| 2        | Depreciation, depletion, and amortization   | 45,647.               |                              |                                     |  |
| 3        | Insurance   | 10,649.               |                              |                                     |  |
| ŧ        | Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line |                       |                              |                                     |  |
|          | 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.)          |                       |                              |                                     |  |
| а        | TAIDHAMDH A DHAATAM   | 1,838,176.            |                              |                                     |  |
| b        | DUES-PER CAPITA - UNITE   | 653,895.              |                              |                                     |  |
| С        | PER-CAPITA - OTHER  | 59,680.               |                              |                                     |  |
| d        | MEMBERSHIP EVENTS   | 47,653.               |                              |                                     |  |
| е        | All other expenses  | 26,575.               |                              |                                     |  |
| <u> </u> | Total functional expenses. Add lines 1 through 24e  | 4,721,427.            |                              |                                     |  |
| ;        | Joint costs Complete this line only if the organization   |                       |                              |                                     |  |
|          | reported in column (B) joint costs from a combined  |                       |                              |                                     |  |
|          | educational campaign and fundraising solicitation.  |                       |                              |                                     |  |
|          | Check here if following SOP 98-2 (ASC 958-720)  |                       |                              |                                     |  |

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Form 990 (2011)

√ Part X | Balance Sheet

06-1390416 Page 11

| Pa                          | rt X       | Balance Sheet  |                 |                        |                          |            |                           |
|-----------------------------|------------|--|-----------------|------------------------|--------------------------|------------|---------------------------|
|                             | -          |  |                 |                        | (A)<br>Beginning of year |            | <b>(B)</b><br>End of year |
|                             | 1          | Cash - non-interest-bearing  |                 |                        | 1,703,640.               | 1          | 2,204,174.                |
|                             | 2          | Savings and temporary cash investments   |                 |                        | 335,826.                 | 2          | 338,429.                  |
|                             | 3          | Pledges and grants receivable, net   |                 |                        |                          | 3          |                           |
|                             | 4          | Accounts receivable, net   | · · · [         |                        | 4                        |            |                           |
|                             | 5          | Receivables from current and former officers, di   | trustees, kev   |                        |                          |            |                           |
|                             |            | employees, and highest compensated employee  |                 |                        |                          |            |                           |
|                             |            | of Schedule L  |                 |                        |                          | 5          |                           |
|                             | 6          | Receivables from other disqualified persons (as  | define          | d under section        |                          |            |                           |
|                             |            | 4958(f)(1)), persons described in section 4958(c)  |                 |                        |                          |            |                           |
|                             |            | employers and sponsoring organizations of sect   |                 | -                      |                          |            |                           |
|                             |            | employees' beneficiary organizations (see instru   |                 |                        |                          | 6          |                           |
| şte                         | 7          | Notes and loans receivable, net  | 01.0.10         |                        |                          | 7          |                           |
| Assets                      | 8          | Inventories for sale or use  |                 |                        |                          | 8          |                           |
| ⋖                           | 9          | Prepaid expenses and deferred charges  |                 | <u> </u>               |                          | 9          |                           |
|                             | _          |  | 1               | ·                      |                          | ľ          |                           |
|                             | 104        | basis Complete Part VI of Schedule D   | 10a             | 933,813.               |                          |            |                           |
|                             | ь          | Less accumulated depreciation  | 10b             | 506,499.               | 474,172.                 | 10c        | 427,314.                  |
|                             | 11         | Investments - publicly traded securities   | 100             | 300/1331               |                          | 11         | 12,70220                  |
|                             | 12         | Investments - other securities See Part IV, line 1   | 1               | <u> </u>               | 2,539,947.               | 12         | 1,582,185.                |
|                             | 13         | Investments - program-related See Part IV, line  |                 | <u> </u>               | 2/33/31/4                | 13         | 1,301,1031                |
|                             | 14         | Intangible assets  | • •             |                        |                          | 14         |                           |
|                             |            | Other assets. See Part IV, line 11   |                 | -                      |                          | 15         |                           |
|                             | 15         |  | al lina         |                        | 5,053,585.               | 16         | 4,552,102.                |
|                             | 16<br>17   | Total assets. Add lines 1 through 15 (must equal Accounts payable and accrued expenses             | 54/             |                        | 17                       | 1,332,102. |                           |
|                             |            | Grants payable   |                 |                        | 18                       |            |                           |
|                             | 18<br>  19 | Deferred revenue   |                 |                        | 19                       |            |                           |
|                             | 20         | Tax-exempt bond liabilities  |                 | <u> </u>               |                          | 20         |                           |
|                             | 21         | Escrow or custodial account liability Complete I   | Dart IV         | of Schedule D          |                          | 21         |                           |
| Liabilities                 | 22         | Payables to current and former officers, director  |                 |                        |                          |            |                           |
| 藚                           | 22         | highest compensated employees, and disqualifi  |                 | i                      |                          |            |                           |
| Ë                           |            | of Schedule L  | eu pei          | sons. Complete Fait II |                          | 22         |                           |
|                             |            |  | stad th         | rd parties             |                          | 23         |                           |
|                             | 23         | Secured mortgages and notes payable to unrelated<br>Unsecured notes and loans payable to unrelated |                 |                        |                          | 24         |                           |
|                             | 24<br>25   | Other liabilities (including federal income tax, pa  |                 |                        |                          | 24         |                           |
|                             | 25         | parties, and other liabilities not included on lines   |                 |                        |                          |            |                           |
|                             |            | Schedule D   | 11.524          | y Complete Part X Of   | 70,744.                  | 25         | 80,499.                   |
|                             | 26         | Total liabilities. Add lines 17 through 25   |                 | -                      | 70,744.                  | 26         | 80,499.                   |
|                             | 20         | Organizations that follow SFAS 117, check he   |                 | and complete           | 70,744.                  | 20         | 007133.                   |
| "                           |            | lines 27 through 29, and lines 33 and 34.  | a € <b>&gt;</b> | and complete           |                          |            |                           |
| ĕ                           | 07         | Unrestricted net assets  |                 |                        |                          | 27         |                           |
| lan                         | 27         |  |                 | ·  -                   |                          | 28         |                           |
| 8                           | 28         | Temporanly restricted net assets  Permanently restricted net assets                                |                 | •                      |                          | 29         |                           |
| Ę                           | 29         | Organizations that do not follow SFAS 117, c   | haak k          | nere X and             |                          | 23         |                           |
| Ē                           |            |  | HECK I          | iele 🖊 🔼 allu          |                          |            |                           |
| 0                           |            | complete lines 30 through 34.  |                 |                        | 0.                       | 20         | 0.                        |
| set                         | 30         | Capital stock or trust principal, or current funds   |                 | ent fund               | 0.                       | 30         | 0.                        |
| As                          | 31         | Paid-in or capital surplus, or land, building, or ed   |                 |                        | 4,982,841.               | 32         | 4,471,603.                |
| Net Assets or Fund Balances | 32         | Retained earnings, endowment, accumulated in   | come,           | or other runds         | 4,982,841.               |            |                           |
| _                           | 33         | Total net assets or fund balances  |                 | -                      | 5,053,585.               | 33         | 4,471,603.<br>4,552,102.  |
|                             | 34         | Total liabilities and net assets/fund balances   |                 | <del></del>            | 5,055,565.               | 34         | 50m 990 (2011)            |

Form **990** (2011)

| Form | 1990 (2011) THE PLUMB. PIPEFITTING IND. LOCAL 777   | 06-139    | 0416 | Pa              | ge <b>12</b> |  |  |
|------|---|-----------|------|-----------------|--------------|--|--|
| Pa   | rt XI Reconciliation of Net Assets  |           |      |                 |              |  |  |
|      | Check if Schedule O contains a response to any question in this Part XI   |           |      |                 |              |  |  |
|      |   | _         |      |                 |              |  |  |
| 1    | Total revenue (must equal Part VIII, column (A), line 12)   | 1         | 4,21 | 0,1             | 89.          |  |  |
| 2    | Total expenses (must equal Part IX, column (A), line 25)  | 2         | 4,72 | 1,4             | <u>27.</u>   |  |  |
| 3    | Revenue less expenses Subtract line 2 from line 1   | 3         | 51   | <u>-511,238</u> |              |  |  |
| 4    | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                             | 4         | 4,98 | <u>2,8</u>      | 41.          |  |  |
| 5    | Other changes in net assets or fund balances (explain in Schedule O)  | 5         |      |                 | 0.           |  |  |
| _6   | Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B))        | 6         | 4,47 | <u>1,6</u>      | 03.          |  |  |
| Pa   | rt XII Financial Statements and Reporting   |           |      |                 |              |  |  |
|      | Check if Schedule O contains a response to any question in this Part XII  |           |      |                 | Ш.           |  |  |
|      |   |           |      | Yes             | No           |  |  |
| 1    | Accounting method used to prepare the Form 990 X Cash Accrual Other   |           |      |                 |              |  |  |
|      | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.     |           |      |                 |              |  |  |
| 2a   | Were the organization's financial statements compiled or reviewed by an independent accountant?                       |           | 2a   | X               | <u> </u>     |  |  |
| b    | Were the organization's financial statements audited by an independent accountant?                                    |           | 2b   |                 | X            |  |  |
| С    | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the    | audit,    |      |                 |              |  |  |
|      | review, or compilation of its financial statements and selection of an independent accountant?                        |           | 2c   | X               |              |  |  |
|      | If the organization changed either its oversight process or selection process during the tax year, explain in Sche    | dule O.   |      |                 |              |  |  |
| d    | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued    | l on a    |      |                 | Ì            |  |  |
|      | separate basis, consolidated basis, or both   |           |      |                 |              |  |  |
|      | X Separate basis Consolidated basis Both consolidated and separate basis  |           |      |                 |              |  |  |
| За   | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin   | gle Audıt | l i  |                 |              |  |  |
|      | Act and OMB Circular A-133?   |           | 3a   |                 | X            |  |  |
| b    | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required | red audit |      |                 |              |  |  |
|      | or audits, explain why in Schedule O and describe any steps taken to undergo such audits                              |           | 3b   |                 | 1            |  |  |

Form **990** (2011)

### SCHEDULE C (Form 990 or 990-EZ)

### **Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

See separate instructions.

OMB No 1545-0047

Department of the Treasury Internal Revenue Service ► Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ.

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

| Section 501(c)(4), (5), or (6) organ    |   |                     |  |   |
|---|---|---------------------|--|---|
|   | D ASSOC.OF JOURNEYM                       |                     |  | nployer identification number                     |
|   | LUMB. PIPEFITTING                         |                     |  | 06-1390416  |
| Part I-A Complete if the                | organization is exempt unde               | r section 501(      | c) or is a section 527                       | organization.                                     |
| Provide a description of the org        | anization's direct and indirect political | campaign activitie  | s in Part IV.                                |   |
| 2 Political expenditures                |   |                     | •  | <b>*</b> \$                                       |
| 3 Volunteer hours                       |   |                     |  |   |
| Part I-B Complete if the                | organization is exempt unde               | r section 501(c     | c)(3).                                       |   |
| <u> </u>                                | tax incurred by the organization under    |                     |  | * \$  |
| 2 Enter the amount of any excise        | tax incurred by organization managers     | s under section 49  | 55   | \$  |
|   | ection 4955 tax, did it file Form 4720 fo |                     |  | Yes No  |
| 4a Was a correction made?               |   |                     |  | Yes No  |
| <b>b</b> If "Yes," describe in Part IV. |   |                     |  |   |
| Part I-C Complete if the                | organization is exempt unde               | r section 501(d     | c), except section 50                        | 1(c)(3).  |
| 1 Enter the amount directly exper       | nded by the filing organization for secti | on 527 exempt fur   | nction activities                            | · \$  |
| 2 Enter the amount of the filing or     | ganization's funds contributed to othe    | r organizations for | section 527                                  |   |
| exempt function activities              |   |                     | •  | \$  |
| 3 Total exempt function expendit        | ures Add lines 1 and 2 Enter here and     | d on Form 1120-PC   |  |   |
| line 17b                                |   |                     | •  | * \$  |
| 4 Did the filing organization file Fo   | orm 1120-POL for this year?               |                     |  | └─ Yes └─ No                                      |
|   | d employer identification number (EIN)    |                     | •  |   |
| , ,                                     | nization listed, enter the amount paid f  |                     |  | •   |
|   | e promptly and directly delivered to a s  | •                   |  | arate segregated fund or a                        |
| political action committee (PAC         | ) If additional space is needed, provid   | e information in Pa | irt IV                                       |   |
| (a) Name                                | (b) Address                               | (c) EIN             | (d) Amount paid from                         |   |
|   |   |                     | filing organization's funds if none, enter 4 | 1   |
|   |   |                     | Tarias ir riorie, criter                     | delivered to a separate                           |
|   |   |                     |  | political organization.                           |
|   | G 71/GD 701                               |                     |  | If none, enter -0                                 |
| SENATE DEMOCRATS                        | SIMSBURY, CT                              |                     | 0.00   |   |
| VICTORY PAC                             | 06070                                     |                     | 2,000  | 0.  |
| HOUSE DEMOCRATIC                        | HARTFORD, CT                              |                     | 1 00   |   |
| MAJORITY PAC                            | 06103                                     |                     | 1,000  | 0.  |
| ETNOU FOR MAYOR                         | BRIDGEPORT, CT                            |                     | 3 004  |   |
| FINCH FOR MAYOR                         |   |                     | 3,000  | 0.  |
| CT STATE DEM CENTR                      | 06106                                     |                     | 2 004  |   |
| COMM<br>NEW LONDON DEMOCRA              |   |                     | 2,000  | 0.  |
| TOWN COMM                               | OLD LYME, CT 0637                         |                     | 200  | o. o.   |
| LAURIE TAF JACKSON                      |   |                     | 200  | <u>, , , , , , , , , , , , , , , , , , , </u>     |
| FOR BURGESS OF N                        | NAUGATUCK                                 |                     | 37!  | 5. 0.   |
| TOTA DOMORDO OF IN                      | FILLO GET O CT                            |                     | J / .  | <del>, , , , , , , , , , , , , , , , , , , </del> |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2011

LHA 132041 01-27-12 SEE PART IV FOR CONTINUATION

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF

| Schedule C (Form 990 or 990-EZ) 2011 TH        | E PLUMB.         | & PIPEFITTI               | NG IND. LOCAL  | 777 06-                                | 1390416 Page 2              |
|--|------------------|---------------------------|--|--|-----------------------------|
| Part II-A Complete if the organi               | zation is exe    |                           |  |  |                             |
| (election under section                        | 501(h)).         |                           |  |  |                             |
| A Check ►  fthe filing organization            | belongs to an at | filiated group (and list  | ın Part IV each affiliated   | group member's na                      | me, address, EIN,           |
| expenses, and share of                         | excess lobbying  | expenditures).            |  |  |                             |
| B Check ► I If the filing organization         | checked box A    | and "limited control" p   | rovisions apply.   |  |                             |
| Limits or<br>(The term "expenditur             | Lobbying Exp     |                           | i.)  | (a) Filing<br>organization's<br>totals | (b) Affiliated group totals |
| 1a Total lobbying expenditures to influence    | a public opinion | (grass roots lobbying)    | ·  |  | <del></del>                 |
| b Total lobbying expenditures to influence     |                  |                           | ' }  |  |                             |
| #:+ 11 14 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1      | =                | ay (direct lobbying)      | ţ  | <u></u>                                |                             |
| • • •  | ia aliu 10)      |                           | <u> </u>   |  | <del></del>                 |
| d Other exempt purpose expenditures            | d       4     1  | <b>∩</b>                  | • }  |  | <del> </del>                |
| e Total exempt purpose expenditures (ac        |                  | •                         | ··· -  |  |                             |
| f Lobbying nontaxable amount Enter the         |                  |                           |  |  | <del></del>                 |
| If the amount on line 1e, column (a) or (b)    |                  | bbying nontaxable ar      |  |  |                             |
| Not over \$500,000                             | <del></del>      | f the amount on line 1    |  |  |                             |
| Over \$500,000 but not over \$1,000,000        |                  | 000 plus 15% of the ex    | · · · · · · · · · · · · · · · · · · ·                                  |  |                             |
| Over \$1,000,000 but not over \$1,500,0        |                  | 000 plus 10% of the ex    | cess over \$1,000,000.   |  |                             |
| Over \$1,500,000 but not over \$17,000,        | 000 \$225,0      | 100 plus 5% of the exc    | cess over \$1,500,000  |  |                             |
| Over \$17,000,000                              | \$1,000          | ,000                      |  |  |                             |
|  | (FO) - 5 l 4 D   |                           |  |  |                             |
| g Grassroots nontaxable amount (enter 2        | •                |                           | -  | ****                                   |                             |
| h Subtract line 1g from line 1a If zero or     |                  |                           | -  | <del></del>                            |                             |
| i Subtract line 1f from line 1c If zero or le  | •                |                           | L  | <del></del>                            |                             |
| j If there is an amount other than zero or     | either line 1h o | r line 1i, did the organi | zation file Form 4720  |  | <u></u>                     |
| reporting section 4911 tax for this year       |                  |                           |  |  | Yes No                      |
| •  | ns that made a   | • •                       | er Section 501(h)<br>on do not have to comp<br>nes 2a through 2f on pa |  |                             |
|  | Lobbying Expe    | enditures During 4-Ye     | ear Averaging Period   |  |                             |
| Calendar year<br>(or fiscal year beginning in) | (a) 2008         | <b>(b)</b> 2009           | (c) 2010   | (d) 2011                               | (e) Total                   |
| 2a Lobbying nontaxable amount                  |                  |                           |  |  |                             |
| b Lobbying ceiling amount                      |                  |                           |  |  |                             |
| (150% of line 2a, column(e))                   |                  |                           |  | ·                                      |                             |
| c Total lobbying expenditures                  | <u> </u>         |                           |  |  |                             |
| d Grassroots nontaxable amount                 |                  |                           |  |  |                             |
| e Grassroots ceiling amount                    |                  |                           |  |  |                             |
| (150% of line 2d, column (e))                  |                  |                           |  | ·                                      |                             |
|  |                  |                           |  |  |                             |
| f Grassroots lobbying expenditures             |                  |                           |  |  |                             |

Schedule C (Form 990 or 990-EZ) 2011

### UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF

Schedule C (Form 990 or 990 EZ) 2011 THE PLUMB. PIPEFITTING IND. LOCAL 777 06-1390416 Page 3
Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768

(election under section 501(h)).

| For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description  | (i          | a)           | (i          | 0)        |
|--|-------------|--------------|-------------|-----------|
| of the lobbying activity   | Yes         | No           | Amo         | ount      |
| During the year, did the filing organization attempt to influence foreign, national, state or<br>local legislation, including any attempt to influence public opinion on a legislative matter<br>or referendum, through the use of |             |              |             |           |
| a Volunteers?  |             |              |             |           |
| <ul> <li>b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?</li> <li>c Media advertisements?</li> </ul>  |             |              |             |           |
| d Mailings to members, legislators, or the public?   |             |              |             |           |
| e Publications, or published or broadcast statements?  |             |              |             |           |
| f Grants to other organizations for lobbying purposes?   |             |              |             |           |
| g Direct contact with legislators, their staffs, government officials, or a legislative body?  |             | <del>-</del> |             |           |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?  |             |              |             |           |
| i Other activities?  |             |              |             |           |
| j Total Add lines 1c through 1i  | ·           |              |             |           |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?   |             |              |             |           |
| b If "Yes," enter the amount of any tax incurred under section 4912  |             |              |             | ·         |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912   |             |              |             |           |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?   |             |              |             |           |
| Part III-A   Complete if the organization is exempt under section 501(c)(4), sec   | tion 501(c) | (5). or se   | ction       |           |
| 501(c)(6).   |             | (-),         |             |           |
|  |             |              | Yes         | No        |
| 1 Were substantially all (90% or more) dues received nondeductible by members?   |             | 1            |             |           |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?  |             | 2            |             |           |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year?  |             | 3            |             |           |
| Part III-B   Complete if the organization is exempt under section 501(c)(4), sec   | tion 501(c) |              | ction       |           |
| 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answere  |             |              |             | e 3, is   |
| answered "Yes."  |             | ` '          | •           | •         |
| Dues, assessments and similar amounts from members   |             | 1            | _           |           |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political  | itical      |              |             |           |
| expenses for which the section 527(f) tax was paid).   |             |              |             |           |
| a Current year   |             | 2a           |             |           |
| <b>b</b> Carryover from last year  |             | 2b           | -           |           |
| c Total  |             | 2c           |             |           |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues  |             | 3            |             |           |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the does the organization agree to carryover to the reasonable estimate of nondeductible lobbying an                                |             |              |             |           |
| expenditure next year?   | a political |              |             |           |
| 5 Taxable amount of lobbying and political expenditures (see instructions)   |             | 5            |             |           |
| Part IV Supplemental Information   |             |              |             | ,-,-      |
| Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; this part for any additional information.  PART I-C CONTINUATION FOR INCOMPLETE NAME/ADDRESS IN                  |             |              | e 1 Also, o | complete  |
| SENATE DEMOCRATS VICTORY PAC   |             |              |             |           |
| 2B GILETTE STREET SIMSBURY, CT 06070   |             |              |             | ·         |
|  |             |              |             |           |
| HOUSE DEMOCRATIC MAJORITY PAC  |             |              |             |           |
| 31 PRATT ST, 3RD FLOOR HARTFORD, CT 06103  |             |              |             |           |
|  | Schedul     | le C (Form   | 990 or 990  | -FZ) 2011 |

132043 01-27-12

### UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF Schedule C (Form 990 or 990 EZ) 2011 THE PLUMB. PIPEFITTING IND. LOCAL 777 06-1390416 Page 4 Part IV Supplemental Information (continued) FINCH FOR MAYOR 70 CROWN STREET BRIDGEPORT, CT 06610 CT STATE DEM CENTRAL COMM 330 MAIN STREET 3RD FLOOR HARTFORD, CT 06106 LAURIE TAF JACKSON FOR BURGESS OF NAUGATUCK NAUGATUCK PART I-C CONTINUATION: EAST HAVEN DEMOCRAT TOWN COMMITTEE 175 EAST MAIN STREET EAST HAVEN, CT 06512 COL (D) AMOUNT: 250. COL (E) AMOUNT: 0. DESTAFANO FOR MAYOR NEW HAVEN, CT COL (D) AMOUNT: 2800. COL (E) AMOUNT: 0. CLINTON DEMOCRATIC TOWN COMMITTEE PO BOX 4 CLINTON, CT 06413 COL (D) AMOUNT: 500. COL (E) AMOUNT: 0. DREW 2011 COL (D) AMOUNT: 750. COL (E) AMOUNT: 0. SEGARRO FOR MAYOR

### UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF Schedule C (Form 990 or 990 EZ) 2011 THE PLUMB. & PIPEFITTING IND. LOCAL 777 06-1390416 Page 4 Part IV | Supplemental Information (continued) COL (D) AMOUNT: 1500. COL (E) AMOUNT: 0. PORTLAND DEMOCRATIC TOWN COMMITTEE COL (D) AMOUNT: 500. COL (E) AMOUNT: 0. FRIENDS OF MARTIN O'MALLEY COL (D) AMOUNT: 4000. COL (E) AMOUNT: 0. TABORSAK FOR MAYOR COL (D) AMOUNT: 750. COL (E) AMOUNT: 0. MAYOR MARK 2011 COL (D) AMOUNT: 1500. COL (E) AMOUNT: 0. FRIENDS OF MAYOR RONDE COL (D) AMOUNT: 1000. COL (E) AMOUNT: 0. FRIENDS OF SANDY GARFUNKEL COL (D) AMOUNT: 1000. COL (E) AMOUNT: 0.

MIDDLETOWN DEMOCRATIC TOWN COMMITTEE

Schedule C (Form 990 or 990-EZ) 2011

### UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF

| Schedule C (Form 990 or 990 EZ) 2011 THE PLUMB. PIPEFITTING IND. LOCAL 777 06-1390416 Page Part IV Supplemental Information (continued) |
|---|
| 386 MAIN STREET MIDDLETOWN, CT 06457  |
| COL (D) AMOUNT: 2000. COL (E) AMOUNT: 0.  |
| BOZRAH DEMOCRATIC TOWN COMMITTEE  |
| COL (D) AMOUNT: 250. COL (E) AMOUNT: 0.   |
| MARIE FOR NEW LONDON  |
| NEW LONDON, CT  |
| COL (D) AMOUNT: 250. COL (E) AMOUNT: 0.   |
| MIKE FOR NEW LONDON   |
| NEW LONDON, CT  |
| COL (D) AMOUNT: 500. COL (E) AMOUNT: 0.   |
| O'TOOLE FOR DORCHESTER  |
| COL (D) AMOUNT: 250. COL (E) AMOUNT: 0.   |
| PROGRESS FIRST PAC  |
| COL (D) AMOUNT: 500. COL (E) AMOUNT: 0.   |
| STONINGTON DEMOCRATIC TOWN COMMITTEE  |
| PO BOX 99 NORTH STONINGTON, CT 06359  |
| COL (D) AMOUNT: 250. COL (E) AMOUNT: 0.   |
| Schedule C (Form 990 or 990-F7) 20:   |

### **SCHEDULE D**

`(Form 990)

Department of the Treasury Internal Revenue Service

### **Supplemental Financial Statements**

➤ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ➤ Attach to Form 990. ➤ See separate instructions. 2011
Open to Public Inspection

Name of the organization

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF

Employer identification number 0.6-1390416

| _   | THE PLUMB.& PIPEFITTING IND. LOCAL 777   | 06-1390416                            |
|-----|--|---------------------------------------|
| Pa  |  | Accounts. Complete if the             |
|     | organization answered "Yes" to Form 990, Part IV, line 6   |                                       |
|     | (a) Donor advised funds  | (b) Funds and other accounts          |
| 1   | Total number at end of year  |                                       |
| 2   | Aggregate contributions to (during year)   |                                       |
| 3   | Aggregate grants from (during year)  |                                       |
| 4   | Aggregate value at end of year   |                                       |
| 5   | Did the organization inform all donors and donor advisors in writing that the assets held in donor advised fur               | nds                                   |
|     | are the organization's property, subject to the organization's exclusive legal control?                                      | Yes No                                |
| 6   | Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used                 | only                                  |
|     | for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose confe                | rring                                 |
|     | impermissible private benefit?   | Yes No                                |
| Pa  | t II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV.                               | line 7                                |
| 1   | Purpose(s) of conservation easements held by the organization (check all that apply)   |                                       |
|     | Preservation of land for public use (e.g., recreation or education)  | ly important land area                |
|     | Protection of natural habitat Preservation of a certified h  | stonc structure                       |
|     | Preservation of open space   |                                       |
| 2   | Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a co              | onservation easement on the last      |
|     | day of the tax year  |                                       |
|     |  | Held at the End of the Tax Year       |
| а   | Total number of conservation easements   | 2a                                    |
| b   | Total acreage restricted by conservation easements   | 2b                                    |
| С   | Number of conservation easements on a certified historic structure included in (a)   | 2c                                    |
| d   | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure                     |                                       |
|     | listed in the National Register  | 2d                                    |
| 3   | Number of conservation easements modified, transferred, released, extinguished, or terminated by the organ                   | nization during the tax               |
|     | year ▶   |                                       |
| 4   | Number of states where property subject to conservation easement is located ▶  |                                       |
| 5   | Does the organization have a written policy regarding the periodic monitoring, inspection, handling of                       |                                       |
|     | violations, and enforcement of the conservation easements it holds?  | Yes No                                |
| 6   | Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during t                   | he year 🕨                             |
| 7   | Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year                  | ear ▶ \$                              |
| 8   | Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(8)                 | 3)(i)                                 |
|     | and section 170(h)(4)(B)(ii)?  | Yes No                                |
| 9   | In Part XIV, describe how the organization reports conservation easements in its revenue and expense state                   | ment, and balance sheet, and          |
|     | include, if applicable, the text of the footnote to the organization's financial statements that describes the organization. | ganization's accounting for           |
|     | conservation easements   | <u> </u>                              |
| Pai | t III Organizations Maintaining Collections of Art, Historical Treasures, or Other   | Similar Assets.                       |
|     | Complete if the organization answered "Yes" to Form 990, Part IV, line 8.  |                                       |
| 1a  | If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement a                 |                                       |
|     | historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of           | public service, provide, in Part XIV, |
|     | the text of the footnote to its financial statements that describes these items  |                                       |
| b   | If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and be                | alance sheet works of art, historical |
|     | treasures, or other similar assets held for public exhibition, education, or research in furtherance of public se            | rvice, provide the following amounts  |
|     | relating to these items.   |                                       |
|     | (i) Revenues included in Form 990, Part VIII, line 1   | <b>&gt;</b> \$                        |
|     | (ii) Assets included in Form 990, Part X   | ► \$<br>► \$                          |
| 2   | If the organization received or held works of art, historical treasures, or other similar assets for financial gain,         |                                       |
|     | the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:                              |                                       |
| а   | Revenues included in Form 990, Part VIII, line 1   | <b>&gt;</b> \$                        |
| b   | Assets included in Form 990, Part X  | ► \$<br>► \$                          |
|     |  |                                       |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. 132051 01-23-12

Schedule D (Form 990) 2011

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF THE PLUMB.& PIPEFITTING IND. LOCAL 777 06-1390416 Page 2 Schedule D (Form 990) 2011 Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued) Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply): Public exhibition Loan or exchange programs а Other Scholarly research b Preservation for future generations c Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV. During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Part IV | Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes b If "Yes," explain the arrangement in Part XIV and complete the following table: **Amount** c Beginning balance 1c d Additions during the year 1d Distributions during the year 1e f Ending balance 1f 2a Did the organization include an amount on Form 990, Part X, line 21? Yes b If "Yes," explain the arrangement in Part XIV Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10 (a) Current year (b) Pnor year (c) Two years back (d) Three years back (e) Four years back Beginning of year balance Contributions Net investment earnings, gains, and losses Grants or scholarships Other expenditures for facilities

| 2    | Provide the estimated percentage of the current      | nt year end balance (line 1          | g, column (a)) held as             |                              |            |                |      |
|------|--|--------------------------------------|------------------------------------|------------------------------|------------|----------------|------|
| а    | Board designated or quasi-endowment                  | %                                    |                                    |                              |            |                |      |
| b    | Permanent endowment >                                | %                                    |                                    |                              |            |                |      |
| С    | Temporarily restricted endowment ▶                   | %                                    |                                    |                              |            |                |      |
|      | The percentages in lines 2a, 2b, and 2c should       | equal 100%                           |                                    |                              |            |                |      |
| За   | Are there endowment funds not in the possess         | sion of the organization tha         | at are held and administer         | red for the organization     |            |                |      |
|      | by   |                                      |                                    |                              |            | Yes            | No   |
|      | (i) unrelated organizations                          |                                      |                                    |                              | 3a(i)      |                |      |
|      | (ii) related organizations                           |                                      |                                    |                              | 3a(ii)     |                |      |
| b    | If "Yes" to 3a(ii), are the related organizations li | sted as required on Schei            | dule R?                            |                              | 3b         | L              |      |
| 4    | Describe in Part XIV the intended uses of the o      | rganization's endowment              | funds                              |                              |            |                |      |
| Pai  | rt VI Land, Buildings, and Equipme                   | nt. See Form 990, Part X             | , line 10                          |                              |            |                |      |
|      | Description of property                              | (a) Cost or other basis (investment) | (b) Cost or other<br>basis (other) | (c) Accumulated depreciation | (d) Boo    | k valu         | ie   |
| 1a   | Land   |                                      | 152,292.                           |                              | 15         | 2,2            | 92.  |
| b    | Buildings  |                                      | 427,805.                           | 231,546.                     |            |                | 59.  |
| С    | Leasehold improvements                               |                                      |                                    |                              |            |                |      |
| d    | Equipment  |                                      |                                    |                              |            |                |      |
| е    | Other  |                                      | 353,716.                           | 274,953.                     | 7          | 8,7            | 63.  |
| Tota | I. Add lines 1a through 1e (Column (d) must equ      | ual Form 990, Part X, colur          | nn (B), line 10(c) )               | <b></b>                      |            |                | 14.  |
|      |  |                                      | <del></del>                        | Schedu                       | le D (Form | n <b>99</b> 0] | 2011 |

and programs

Administrative expenses End of year balance

|                            | V-1-    |               |                        | ~    |       |            |
|----------------------------|---------|---------------|------------------------|------|-------|------------|
| Schedule D (Form 990) 2011 | THE     | PLUMB.&       | PIPEFITTING            | IND. | LOCAL | <i>777</i> |
| Part VII Investments - C   | Wher Se | ecurities sec | Form 990 Part V June 1 | 2    |       |            |

| Part VIII Investments - Other Securities. See   | e Form 990, Part X, line        | 12.            | <u> </u>                                      |                          |
|---|---------------------------------|----------------|---|--------------------------|
| (a) Description of security or category (including name of security)  | (b) Book value                  |                | (c) Method of value Cost or end-of-year ma    |                          |
| (1) Financial derivatives   |                                 |                |   |                          |
| (2) Closely-held equity interests   |                                 |                | ····  |                          |
| (3) Other   | 4 504 55                        |                |   |                          |
| (A) STOCKS/BONDS  | 1,581,235                       | COST           |   |                          |
| (B) PUBLICLY TRADED   |                                 | COURT          |   |                          |
| (C) PARTNERSHIP   | 950                             | COST           |   |                          |
| (E)   |                                 |                |   |                          |
| (F)   |                                 |                |   |                          |
| (G)   |                                 |                |   |                          |
| (H)   |                                 |                |   |                          |
| (1)   |                                 |                |   |                          |
| Total. (Col (b) must equal Form 990, Part X, col (B) line 12.)  | 1,582,185                       |                |   |                          |
| Part VIII Investments - Program Related. Se   | e Form 990, Part X, lin         | e 13           | ·   |                          |
| (a) Description of investment type  | (b) Book value                  |                | (c) Method of value<br>Cost or end-of-year ma |                          |
| (1)   |                                 |                |   |                          |
| (2)   |                                 |                |   |                          |
| (3)   |                                 |                |   |                          |
| (4)   |                                 | <del></del>    |   | <del></del>              |
| (5)   |                                 |                |   |                          |
| (6)   |                                 |                | <del></del>                                   |                          |
| (7)   |                                 |                |   |                          |
| (8)   |                                 |                |   |                          |
| <u>(9)</u><br>(10)  |                                 |                |   |                          |
| Total (Col (b) must equal Form 990, Part X, col (B) line 13.)   |                                 |                |   | <del> </del>             |
| Part IX Other Assets. See Form 990, Part X, line  | <br>15                          |                |   | •                        |
|   | Description                     |                | ·   | (b) Book value           |
| (1)   |                                 |                |   |                          |
| (2)   |                                 |                |   |                          |
| (3)   |                                 |                |   |                          |
| (4)   |                                 |                |   |                          |
| (5)   |                                 |                |   |                          |
| (6)   |                                 |                |   |                          |
|   |                                 |                |   |                          |
| (8)   |                                 |                |   |                          |
| (9)   | <del></del>                     |                | ····  |                          |
| (10)  | 45)                             |                |   |                          |
| Total. (Column (b) must equal Form 990, Part X, col (B) line  Part X Other Liabilities. See Form 990, Part X, I                         | 15)                             |                | P   | ·                        |
| (a) Description of liability  | iiie 25.                        | (b) Book value |   |                          |
| (1) Federal income taxes  |                                 | (b) Book value |   |                          |
|   | -CAPITA)                        | 80,49          | 9.  |                          |
| (3)   | <u> </u>                        |                |   |                          |
| (4)   |                                 |                |   |                          |
| (5)   |                                 |                |   |                          |
| (6)   |                                 |                |   |                          |
|   |                                 |                |   |                          |
| (8)   |                                 |                |   |                          |
| (9)   |                                 | <del></del>    |   |                          |
| (10)  |                                 |                |   |                          |
| (11)  |                                 |                |   |                          |
| Total. (Column (b) must equal Form 990, Part X, col (B) line FIN 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to | the organization's financial at | 80,49          | 9.  | ain tay positions under  |
| 2. FIN 48 (ASC 740)   | me organization s intancial Sta |                |   |                          |
| 132053<br>01-23-12  |                                 |                | Sc  | hedule D (Form 990) 2011 |

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF THE PLUMB.& PIPEFITTING IND. LOCAL 777 06-1390416 Page 4 Schedule D (Form 990) 2011 Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements 4,210,189. Total revenue (Form 990, Part VIII, column (A), line 12) 4,721,427. Total expenses (Form 990, Part IX, column (A), line 25) 2 2 -511,238 Excess or (deficit) for the year. Subtract line 2 from line 1 3 Net unrealized gains (losses) on investments 4 5 Donated services and use of facilities 5 6 Investment expenses 6 7 Prior period adjustments 7 8 Other (Describe in Part XIV.) 8 9 Total adjustments (net) Add lines 4 through 8 9 -511,238. 10 Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9 Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Total revenue, gains, and other support per audited financial statements Amounts included on line 1 but not on Form 990, Part VIII, line 12 2 a Net unrealized gains on investments 2a Donated services and use of facilities 2b С Recoveries of prior year grants 2c Other (Describe in Part XIV.) <u>2d</u> Add lines 2a through 2d 2e Subtract line 2e from line 1 3 Amounts included on Form 990, Part VIII, line 12, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b Other (Describe in Part XIV) 4b c Add lines 4a and 4b 4c Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12) Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return Total expenses and losses per audited financial statements Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities 2a Prior year adjustments 2b c Other losses 2c d Other (Describe in Part XIV) e Add lines 2a through 2d 2e Subtract line 2e from line 1 3 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b b Other (Describe in Part XIV) c Add lines 4a and 4b 4c Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18) Part XIV Supplemental Information Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2; Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information

Schedule D (Form 990) 2011

### SCHEDULE J '(Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

➤ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

2011

Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization

Part I Questions Regarding Compensation

► Attach to Form 990. ► See separate instructions.

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF
THE PLUMB. & PIPEFITTING IND. LOCAL 777

Employer identification number

06-1390416

|        |  |            | Yes | No |
|--------|--|------------|-----|----|
| 1a     | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,   |            |     |    |
|        | Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.   |            |     |    |
|        | First-class or charter travel Housing allowance or residence for personal use  |            |     |    |
|        | Travel for companions Payments for business use of personal residence  |            |     |    |
|        | Tax indemnification and gross-up payments Health or social club dues or initiation fees  |            |     |    |
|        | Discretionary spending account Personal services (e.g., maid, chauffeur, chef)   |            |     |    |
| h      | If any of the boyes on line 1g are checked, did the organization follows wirtten policy recording powers or  |            |     |    |
| D      | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | 46         |     |    |
| 2      | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors,   | _1b        |     |    |
| _      | trustees, and the CEO/Executive Director, regarding the items checked in line 1a?  | 2          |     |    |
|        | trustees, and the OLO/LACCULIVE Director, regarding the items checked in line 1a:  |            |     |    |
| 3      | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's  |            |     |    |
| •      | CEO/Executive Director Check all that apply. Do not check any boxes for methods used by a related organization to  |            |     |    |
|        | establish compensation of the CEO/Executive Director. Explain in Part III  |            |     |    |
|        | Compensation committee Written employment contract   |            |     |    |
|        | Independent compensation consultant Compensation survey or study   |            |     |    |
|        | Form 990 of other organizations  X Approval by the board or compensation committee   |            |     |    |
|        |  |            |     |    |
| 4      | During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing   |            |     |    |
|        | organization or a related organization   |            |     |    |
| а      | Receive a severance payment or change-of-control payment?  | 4a         |     | X  |
| b      | Participate in, or receive payment from, a supplemental nonqualified retirement plan?  | 4b         |     | X  |
| С      | Participate in, or receive payment from, an equity-based compensation arrangement?   | 4c         |     | X  |
|        | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III   |            |     |    |
|        | Only posting 501/sV2) and 501/sV4) experientians must semple to lines 5.0  |            |     |    |
| _      | Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.  For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation                         |            |     |    |
| 5      | contingent on the revenues of  |            |     |    |
| _      | The organization?  | 5a         |     |    |
| a<br>h | Any related organization?  |            |     |    |
| U      | If "Yes" to line 5a or 5b, describe in Part III  | <i>J</i> U |     |    |
| 6      | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation  |            |     |    |
| Ü      | contingent on the net earnings of:   |            |     |    |
| а      | The organization?  | 6a         |     |    |
| b      | Any related organization?  | 6b         |     |    |
| -      | If "Yes" to line 6a or 6b, describe in Part III  |            |     |    |
| 7      | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments  |            | 1   |    |
| -      | not described in lines 5 and 6? If "Yes," describe in Part III   | 7          |     |    |
| 8      | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the  |            |     |    |
| -      | initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III  | 8          |     |    |
| 9      | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in   |            |     |    |
|        | Regulations section 53 4958-6(c)?  | 9          |     |    |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2011

# UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF

THE PLUMB.& PIPEFITTING IND. LOCAL 777 06-1390416

Schedule J (Form 990) 2011

Page 2

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(I)-(III) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

|                   |          | 90 000000000000000000000000000000000000 | 2440001 20/2020 0 /4                               |   | (                           | 9        | Ĺ          | ĺ                                      |
|-------------------|----------|---|--|---|-----------------------------|----------|------------|--|
|                   |          | (b) breakdown or                        | (b) breakdown of W-2 and/or 1099-MISC compensation | oc compensation                           | (C)<br>Betrement and        | (U)      | (E)        | (r)                                    |
| (A) Name          |          | (ı) Base<br>compensation                | (ii) Bonus & incentive compensation                | (iii) Other<br>reportable<br>compensation | other deferred compensation | benefits | (B)(i)-(D) | reported as deferred in prior Form 990 |
|                   | Ξ        | 102,674.                                | 0.   | 0   | 46,874.                     | 21,103.  | 170,651.   | 0                                      |
| 1 HUBERT BARNES   | (ii)     | 0                                       | 0.   | 0.  |                             |          | 0.         | 0                                      |
|                   | Ξ        | 93,438.                                 |  | 0   | 42,473.                     | 19,744.  | 155,655.   | 0                                      |
| 2 TOM CASSIDY     | (ii)     | 0                                       | 0.   | 0.  |                             | 0.       | 0          | 0.                                     |
|                   | (1)      | 94,167.                                 |  | 0.  | 45,232.                     | 21,026.  | 160,425.   | 0                                      |
| 3 GERALD FUCCI    | 3        | 0.                                      |  | 0   | 0                           | 0.       | 0.         | 0                                      |
|                   | (3)      | 94,158.                                 |  | 0   | 44,612.                     | 20,738.  | 159,508.   | 0                                      |
| 4 MICHAEL ROSARIO | (ii)     | 0                                       | 0.   | 0.  | 0.                          | 0.       | 0.         | 0.                                     |
|                   | (i)      | 95,225.                                 | 0  | 0   | 44,419.                     | 20,648.  | 160,292.   | 0.                                     |
| 5 JOHN HIGGINS JR | <u> </u> |   |  | 0   | 0                           | 0        | .0         | 0.                                     |
|                   | (3)      | .050,26                                 | 0  | 0   | 44,433.                     | 20,654.  | 160,137.   | 0                                      |
| 6 PETER ALFIERI   |          | 0                                       | 0  | 0   | 0                           | 0        | 0          | 0.                                     |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 7                 | ▣        |   |  |   |                             |          |            |  |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 8                 | ⊞        |   |  |   |                             |          |            |  |
|                   | ε        |   |  |   |                             |          |            |  |
| 6                 | ▣        |   |  |   |                             |          |            |  |
|                   | ε        |   |  |   |                             |          |            |  |
| 10                | ▣        |   |  |   |                             |          |            |  |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 11                | ⊞        |   |  |   |                             |          |            |  |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 12                | ⊞        |   |  |   |                             |          |            |  |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 13                | (ii)     |   |  |   |                             |          |            |  |
|                   | ε        |   |  |   |                             |          |            |  |
| 14                | ₿        |   |  |   |                             |          |            |  |
|                   | ε        |   |  |   |                             |          |            |  |
| 15                | Ξ        |   |  |   |                             |          |            |  |
|                   | Ξ        |   |  |   |                             |          |            |  |
| 16                |          |   |  |   |                             |          |            |  |

Schedule J (Form 990) 2011

### SCHEDULE: O \* (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

Attach to Form 990 or 990-EZ.

2011
Open to Public Inspection

| Department of the Treasury<br>Internal Revenue Service | Attach to Form 990 or 990-EZ.                   | Inspection                               |
|--|---|--|
| Name of the organization                               |   | ployer identification number $6-1390416$ |
| FORM 990, PAR  | r i, LINE 1, DESCRIPTION OF ORGANIZATION MISSIO | N:                                       |
| STANDARDS, AND   | D BETTERMENT OF WORKING CONDITIONS.             |  |
| FORM 990, PAR  | F VI, SECTION A, LINE 6: THE ORGANIZATION HAS M | EMBERS.                                  |
|  | VI, SECTION A, LINE 7A: THE GOVERNING BODY IS   | VOTED IN BY                              |
|  |   |  |
| FORM 990, PAR  | VI, SECTION A, LINE 7B: MOST DECISIONS MADE B   | Y THE                                    |
| GOVERNING BODY   | ARE APPROVED BY THE GENERAL MEMBERSHIP.         |  |
| FORM 990, PAR  | r VI, SECTION B, LINE 11: THE FORM 990 IS REVIE | WED BY THE                               |
| FINANCE COMMIT   | CTEE.   |  |
| FORM 990, PAR  | r VI, SECTION C, LINE 19: THE ORGANIZATION DOES | NOT CURRENTLY                            |
| MAKE GOVERNING   | G DOCUMENTS OR FINANCIAL STATEMENTS AVAILABLE T | O THE PUBLIC.                            |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |

| •           | Ending<br>Accumulated<br>Depreciation    |           | . 20,821.                                  | . 107,470.                           | 2,708.                  | . 68,124. | . 28,692. | 3,731.   | . 231,546.                       |                      | 753.             | 413.       | . 529.        | _                   | 3,392.                 |          | · · ·     | · · · · · · · · · · · · · · · · · · ·                     |                                      |
|-------------|--|-----------|--|--------------------------------------|-------------------------|-----------|-----------|----------|----------------------------------|----------------------|------------------|------------|---------------|---------------------|------------------------|----------|-----------|---|--------------------------------------|
|             | Current Year<br>Deduction                |           | 4,164.                                     | 4,885,                               | 0                       | 5,798,    | 2,442     | 457      | 17,746                           |                      |                  |            |               | -                   | •                      | • •      |           |   |                                      |
|             | Current<br>Sec 179<br>Expense            |           |  |                                      |                         |           |           |          |                                  |                      |                  |            |               |                     |                        |          |           |   |                                      |
|             | Beginning<br>Accumulated<br>Depreciation |           | 16,657.                                    | 102,585.                             | 2,708.                  | 62,326.   | 26,250.   | 3,274.   | 213,800.                         |                      | 753.             | 413.       | 529.          | ,                   | . 286, 6               | 1,055.   | 1,055.    | 1,055.<br>1,684.<br>2,032.                                | 2,332.<br>1,684.<br>2,032.<br>2,109. |
|             | Basis For<br>Depreciation                |           | 62,465.                                    | 153,879.                             | 2,734.                  | 182,623.  | 76,913.   | 14,390.  | 493,004.                         |                      | 753.             | 413.       | 529.          | 3,392,              |                        | 1,055.   | 1,055.    | 1,055.<br>1,684.<br>2,032.                                | 1,055.<br>1,684.<br>2,032.<br>2,109. |
|             | Reduction In<br>Basis                    |           |  |                                      |                         |           |           |          |                                  |                      |                  |            |               |                     |                        |          |           |   |                                      |
|             | Section 179<br>Expense                   |           |  | ,                                    |                         |           |           |          |                                  |                      |                  |            |               |                     |                        |          |           |   |                                      |
| 990         | Bus<br>%<br>Excl                         |           |  |                                      |                         |           |           |          |                                  |                      |                  |            |               |                     |                        |          |           |   |                                      |
|             | Unadjusted<br>Cost Or Basis              |           | 62,465.                                    | 153,879.                             | 2,734.                  | 182,623.  | 76,913.   | 14,390.  | 493,004.                         |                      | 753.             | 413.       | 529.          | 3,392.              |                        | 1,055.   | 1,055.    | 1,055.<br>1,684.<br>2,032.                                | 1,055.<br>1,684.<br>2,032.<br>2,109. |
|             | Ooc>                                     |           | 16   | 9 [                                  | 16                      | 16        | 9<br>.T   | 16       |                                  |                      | J 6              | 16         | 19            | 16                  |                        | 16       | 16<br>16  | 16<br>16  | 16<br>16<br>16                       |
|             | Life                                     |           | 15,00                                      | 31.50                                | 15.00                   | 31.50     | 31.50     | 31.50    | ·· <del>·</del>                  |                      | 7.00             | 7.00       | 7.00          | 7.00                |                        | 5.00     | 5.00      | 7.00  | 5.00 7.00 7.00                       |
|             | Method                                   |           | SL   | SL                                   | SL                      | SI        | SL        | SL       |                                  |                      | SL               | SL         | SL            | SL                  |                        | SL       | SL        | Sr sr   | SI SI                                |
|             | Date<br>Acquired                         |           | 03/06/07                                   | 07/01/90                             | 01/01/90                | 05/11/00  | 05/31/00  | 12/29/03 |                                  |                      | 06/19/91         | 07/22/91   | 03/30/93      | 07/14/00            |                        | 06/27/00 | 06/27/00  | 06/27/00<br>06/21/00<br>06/21/00                          | 06/21/00<br>06/21/00<br>06/21/00     |
| 990 PAGE 10 | Description                              | BUILDINGS | SPLIT SYSTEM INSTALLATION (NEW HVAC UNITS) | BUILDING L.620 873<br>POQUONNOCK, RD | BUILDING IMPROV'S L.620 | ω -       | 50 EAS1   |          | * 990 PAGE 10 TOTAL<br>BUILDINGS | FURNITURE & FIXTURES | OFFICE FURNITURE | TELEPHONES | REFRIDGERATOR | SIGN LITE - MERIDEN | Middian - Van Money Ot |          | CANON FAA | CANUN FAK - MEKLU<br>OFFICE FURNITURE<br>OFFICE FURNITURE | OFFICE FURNITURE OFFICE FURNITURE    |
| FORM 99     | Asset<br>No                              |           | н  | 4                                    | .v                      | 22 1      | 23        | 30       |                                  |                      | 9                | 7          | 88            | 60                  | 10                     |          | 11        | 11 11   | 11 13 13                             |

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(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

|             | Ending<br>Accumulated<br>Depreciation    | .669                       | 2,401.                     | 805.                          | 878.                       | 791.                       | 1,717.                 | 1,233.              | 19,153.                | 6,823.                      | 606.         | 1,477.                      | 1,309.                     | 835.             | 51,565.                                     |   | 27,884.                          | 36,551.                       | 33,664.                |
|-------------|--|----------------------------|----------------------------|-------------------------------|----------------------------|----------------------------|------------------------|---------------------|------------------------|-----------------------------|--------------|-----------------------------|----------------------------|------------------|---|---|----------------------------------|-------------------------------|------------------------|
|             | Current Year<br>Deduction                | 0                          | ó                          | o                             | o                          | 0                          | 0                      | o                   | 4,151.                 | 568.                        | 93.          | 246.                        | 234.                       | 225.             | 5,517.                                      | - | 2,788.                           | 3,655.                        | 3,366,                 |
|             | Current<br>Sec 179<br>Expense            |                            |                            |                               |                            |                            |                        |                     |                        |                             |              |                             |                            |                  |   |   |                                  |                               |                        |
|             | Beginning<br>Accumulated<br>Depreciation | 699.                       | 2,401.                     | 805.                          | 878.                       | 791.                       | 1,717.                 | 1,233.              | 15,002.                | 6,255.                      | 513.         | 1,231.                      | 1,075.                     | 610.             | 46,048.                                     |   | 25,095.                          | 32,896.                       | 30 297                 |
|             | Basis For<br>Depreciation                | . 699                      | 2,401.                     | 805.                          | 878.                       | 791.                       | 1,717.                 | 1,233.              | 29,531.                | 6,823.                      | 653,         | 1,724.                      | 1,641.                     | 1,173.           | 62,907.                                     |   | 27,884.                          | 36,551.                       | 33 664.                |
|             | Reduction In<br>Basis                    | -                          |                            |                               | ·                          |                            |                        |                     |                        |                             |              |                             |                            | 1,172.           | 1,172.                                      |   |                                  |                               |                        |
|             | Section 179<br>Expense                   |                            |                            |                               |                            |                            |                        |                     |                        |                             | ·            |                             |                            |                  |   |   | ·                                |                               | ·                      |
| 990         | Bus<br>%<br>Excl                         |                            |                            |                               |                            |                            |                        |                     |                        |                             |              |                             |                            |                  |   | - |                                  |                               |                        |
|             | Unadjusted<br>Cost Or Basis              | 699.                       | 2,401.                     | 805.                          | 878.                       | 791.                       | 1,717.                 | 1,233.              | 29,531.                | 6,823.                      | 653.         | 1,724.                      | 1,641.                     | 2,345.           | 64,079.                                     |   | 27,884.                          | 36,551.                       | 33,664.                |
|             | Noc>                                     | 16                         | 16                         | 16                            | 16                         | 16                         | 9 T                    | 16                  | MQ17                   | 16                          | 16           | 16                          | 16                         | HW17             |   | • | 16                               | 16                            | 16                     |
|             | Life                                     | 7.00                       | 7.00                       | 7.00                          | 7.00                       | 7.00                       | 7.00                   | 7.00                | 7.00                   | 7.00                        | 7.00         | 7.00                        | 7.00                       | 5.00             | •   |   | 5.00                             | 5.00                          | 5.00                   |
|             | Method                                   | SI                         | SL                         | SL                            | SL                         | SL                         | SL                     | SL                  | 200DB                  | SL                          | SL           | SL                          | SL                         |                  |   |   | SL                               | SL                            | SI                     |
|             | Date<br>Acquired                         | 07/24/00                   | 07/24/00                   | 06/21/00                      | 06/21/00                   | 06/21/00                   | 01/15/01               | 04/29/01            | 12/31/08               | 09/20/04                    | 09/13/05     | 02/22/06                    | 01/18/06                   | 05/15/09 200DB   |   |   | 08/31/06                         | 08/31/06                      | 08/31/06               |
| 990 PAGE 10 | Description                              | OFFICE FURNITURE - MERIDEN | OFFICE FURNITURE - MERIDEN | 17 OFFICE FURNITURE - MERIDEN | OFFICE FURNITURE - MERIDEN | OFFICE FURNITURE - MERIDEN | KONICA COPIER - GROTON | SALKA - WORKSTATION | MEETING HALL FURNITURE | SALKA OFFICE FURN - MERIDEN | 2 HON CHAIRS | SALKA - WORKSTATION MERIDEN | OFFICE FURNITURE - MERIDEN | OFFICE FURNITURE | * 990 PAGE 10 TOTAL<br>FURNITURE & FIXTURES |   | 2006 CHEVY SILVERADO<br>(ARTALE) | 2006 FORD EXPEDITION (BARNES) | 2006 CHEVY TRAILBLAZER |
| FORM 99     | Asset<br>No                              | 15                         | 16                         | 17                            | 18                         | 19                         | 20                     | 27                  | 28                     | 31                          | 33           | 34                          | 36                         | 44               |   |   | 37                               | 38                            | 39                     |

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

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| FORM S | 990 PAGE 10                            |                  |        |       |                           |                             | 990              | •                      |                       |                           |  |                               |                           | •                                     |
|--------|--|------------------|--------|-------|---------------------------|-----------------------------|------------------|------------------------|-----------------------|---------------------------|--|-------------------------------|---------------------------|---------------------------------------|
| Asset  | Description                            | Date<br>Acquired | Method | Life  | C C Ling                  | Unadjusted<br>Cost Or Basis | Bus<br>%<br>Excl | Section 179<br>Expense | Reduction In<br>Basis | Basis For<br>Depreciation | Beginning<br>Accumulated<br>Depreciation | Current<br>Sec 179<br>Expense | Current Year<br>Deduction | Ending<br>Accumulated<br>Depreciation |
| 40     | 2006 CHEVY TRAILBLAZER<br>0 (FUCCI)    | 08/31/06         | ТS     | 5,00  | 16                        | 33,664.                     |                  |                        |                       | 33,664.                   | 30,297.                                  |                               | 3,366.                    | 33,664.                               |
| 41     | 1 2006 CHEVY TAHOE (LEWITZ)            | 08/31/06         | SL     | 5.00  | <del>1</del> <del>1</del> | 36,813.                     |                  |                        |                       | 36,813.                   | 33,131.                                  |                               | 3,681.                    | 36,813.                               |
| 42     |  | 08/31/06         | SL     | 5.00  | 1.6                       | 37,267.                     |                  |                        |                       | 37,267.                   | 33,541.                                  |                               | 3,726.                    | 37,267.                               |
|        | TRANSPORTATION EQUIPMENT               |                  | _      |       |                           | 205,843.                    |                  |                        |                       | 205,843.                  | 185,257.                                 |                               | 20,582.                   | 205,843.                              |
|        | LANI                                   |                  |        |       |                           |                             |                  |                        |                       |                           |  |                               |                           |                                       |
|        | RD. GROTON<br>LAND 1250 EAST MAIN ST - | 04/10//0         | a      | 1.00  |                           | 77,292.                     |                  |                        |                       | 77,292.                   |  |                               |                           |                                       |
| 21     | MERIDEN                                | 05/11/00         | ı,     | 31,50 |                           | 75,000.                     |                  |                        |                       | 75,000.                   |  |                               | 0                         |                                       |
|        | * 990 PAGE 10 TOTAL LAND               |                  |        |       |                           | 152,292.                    |                  |                        |                       | 152,292.                  | 0  |                               | 0.                        | 0                                     |
|        | ОТНЕК                                  |                  |        |       |                           |                             |                  |                        |                       |                           |  |                               |                           | -                                     |
|        | 2 DELL POWEREDGE                       | 12/01/07         | SL     | 5.00  | 91                        | 3,493.                      |                  |                        |                       | 3,493.                    | 2,270.                                   |                               | 669.                      | 2,969.                                |
| 24     |  | 03/04/08         | 200DB  | 5.00  | MQ17                      | 1,512.                      |                  |                        | 756.                  | 756.                      | 579.                                     |                               | 83.                       | 662.                                  |
| 25     | CD/LAITIUDE DOSO NOTEBOOK              | 02/18/08         | 200DB  | 5.00  | MQ17                      | 1,612.                      |                  |                        | 806.                  | 806.                      | 640.                                     |                               | 33.                       |                                       |
| 26     | S ACER MONITOR                         | 03/05/08 200DB   | 200DB  | 5.00  | MQ17                      | 272.                        |                  |                        | 136.                  | 136.                      | 104.                                     | _                             | 15.                       | 119.                                  |
| 29     | GOMPUTER EQUIP                         | 06/28/02         | 200DB  | 5.00  | HX17                      | 1,096.                      | - 1              |                        |                       | 1,096.                    | 1,096.                                   |                               | 0                         | 1,096.                                |
| 32     | 2 2 HP 2430 PRINTERS                   | 05/04/05         | SL     | 5.00  | 16                        | 2,173.                      | -                |                        |                       | 2,173.                    | 2,173.                                   |                               | 0                         | 2,173.                                |
| 35     | 1 DELL DIMENSION 3000                  | 12/28/05         | Sľ     | 5.00  | 16                        | 839.                        |                  | <del></del>            |                       | 839.                      | 839.                                     |                               | .0                        | 839                                   |
| 43     | DELL LAPTOP                            | 03/03/03         | 200DB  | 5.00  | HX17                      | 1,464.                      | •                | _                      | 732.                  | 732.                      | 381.                                     |                               | 141.                      | 522.                                  |
| 45     | 45 HP NOTEBOOK PC                      | 08/27/10 200DB   |        | 5.00  | HY117                     | 1,618.                      |                  |                        | 809.                  | 809.                      | 162.                                     |                               | 259.                      | 421.                                  |

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

| Description  Date Description  Acquired |           |  |                      |                |                 |                   |                           |                                   |  |
|--|-----------|--|----------------------|----------------|-----------------|-------------------|---------------------------|-----------------------------------|--|
| Description Dear Method Life of the Control Description Descriptio |           | Ending<br>Accumulated<br>Depreciation    | 258.                 | 399.           | 271.            |                   | 9,729.                    | 498,683.                          |  |
| Description   Date   Method   Life   0, two   Cost of Flatss   Excl   Section 179   Reduction in Basss For   Beginning   Description   Descr   |           | Current Year<br>Deduction                | 159.                 | 246.           | 167.            | .0                | 1,802.                    | 45,647.                           |  |
| Date   Method   Life   Council   Bass   Council   Bass   Council   Bass   Council   Bass   Council   Cou   |           | Current<br>Sec 179<br>Expense            |                      |                |                 |                   |                           |                                   |  |
| Description   Date   Method   Life   Color   Life   |           | Beginning<br>Accumulated<br>Depreciation | .66                  | 153.           | 104.            |                   | 8,600.                    | 453,705.                          |  |
| Description Acquired Method Life Cost Of Basis Excl.  Set DESKTOP 08/24/10 200DB 5.00 HY17 1.537.  NO 230 07/06/10 200DB 5.00 HY17 1.042.  RO - SUE 12/07/10 200DB 5.00 HY17 993.  The page PAGE 10 97/06/10 200DB 5.00 HY17 9948.  Set Description 12/07/10 200DB 5.00 HY17 1.042.  Set Description 12 |           | Basis For<br>Depreciation                | 496.                 | 768.           | 521.            |                   | 12,625.                   |                                   |  |
| Date Method Life Cost Unadusted Bus Excl  ST DESKTOP  OS/18/10 200DB 5.00 HYL7 993.  OS/18/10 200DB 5.00 HYL7 1,537.  NO 230  OT/06/10 200DB 5.00 HYL7 1,042.  RO 230  OT/06/10 200DB 5.00 HYL7 1,042.  RO - SUE 12/07/10 200DB 5.00 HYL7 948.  E 10 TOTAL OTHER  OTHER 990 PAGE 10 933,817.   |           | Reduction In<br>Basis                    | 497.                 | 769.           | 521.            |                   | 5,026.                    | 6,198.                            |  |
| Date Acquired Method Life C Line Unadjusted Acquired Acquired Nos Date Nos  |           | Section 179<br>Expense                   |                      | -              |                 | 948.              | 948.                      | 948.                              |  |
| Date Acquired Method Life C Live No. No. No. No. No. No. No. No. No. No.   | 990       | Bus<br>%<br>Excl                         |                      |                |                 |                   | ·                         |                                   |  |
| Date Acquired Method Life C Live No. No. No. No. No. No. No. No. No. No.   |           | Unadjusted<br>Cost Or Basis              | 993.                 | 1,537.         | 1,042.          | 948.              | 18,599.                   | 933,817.                          |  |
| Description Acquired Method Life  OST DESKTOP 08/24/10 200DB 5.00  NO 230 07/06/10 200DB 5.00  RO - SUE 12/07/10 200DB 5.00  TAL 990 PAGE 10   |           | No ON                                    | HY17                 | HY17           | HY17            | HY17              |                           |                                   |  |
| Date Acquired Method Acquired Method OST DESKTOP 08/24/10 200DB NO 230 07/06/10 200DB NO |           | Life                                     |                      |                |                 |                   |                           |                                   |  |
| Description OST DESKTOP OK PC RO 230 RO - SUE E 10 TOTAL OTHER OTAL 990 PAGE 10  |           | Method                                   |                      | 200DB          |                 | 200DB             |                           |                                   |  |
| Asset Absert A VOSTRO 230ST DESKTOP A DELL VOSTRO 230 BELL VOSTRO - SUE BELL VOSTRO - SUE BELL VOSTRO - SUE CRAND TOTAL 990 PAGE 10 BEPR   |           | •  | 08/24/10             | 05/18/10       | 01/06/10        | 12/07/10          |                           |                                   |  |
| A A A A A A A A A A A A A A A A A A A  | 0 PAGE 10 | Description                              | VOSTRO 230ST DESKTOP | HP NOTEBOOK PC | DELL VOSTRO 230 | DELL VOSTRO - SUE | * 990 PAGE 10 TOTAL OTHER | * GRAND TOTAL 990 PAGE 10<br>DEPR |  |
|  | DRM 99    | Asset<br>No                              | 46                   | 47             | 88              | 49                |                           |                                   |  |

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(D) - Asset disposed

\*ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Department of the Treasury Internal Revenue Service Name(s) shown on return

### **Depreciation and Amortization**

(Including Information on Listed Property)

990

OMB No 1545-0172

See separate instructions. Attach to your tax return. Business or activity to which this form relates

Identifying numbe

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF THE PLUMB.& PIPEFITTING IND. LOCAL 777 FORM 990 PAGE 10 06-1390416 Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I 500,000. 1 Maximum amount (see instructions) 2 2 Total cost of section 179 property placed in service (see instructions) 2,000,000. 3 Threshold cost of section 179 property before reduction in limitation 4 Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filling separately, see instructions (c) Elected cost (a) Description of property (b) Cost (business use only) 7 Listed property. Enter the amount from line 29 7 8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 8 Tentative deduction Enter the smaller of line 5 or line 8 9 Carryover of disallowed deduction from line 13 of your 2010 Form 4562 10 Business income limitation. Enter the smaller of business income (not less than zero) or line 5 11 12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 12 13 Carryover of disallowed deduction to 2012 Add lines 9 and 10, less line 12 13 Note: Do not use Part II or Part III below for listed property. Instead, use Part V. Special Depreciation Allowance and Other Depreciation (Do not include listed property) 14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year 14 15 Property subject to section 168(f)(1) election 15 40,168 16 Other depreciation (including ACRS) MACRS Depreciation (Do not include listed property ) (See instructions ) Part III Section A 5,479. 17 MACRS deductions for assets placed in service in tax years beginning before 2011 17 18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here Section B - Assets Placed in Service During 2011 Tax Year Using the General Depreciation System (b) Month and year placed in service (c) Basis for depreciation (business/investment use (d) Recovery (a) Classification of property (e) Convention (f) Method (a) Depreciation deduction only - see instructions) 19a 3-year property 5-year property b 7-year property 10-year property d 15-year property е 20-year property 25-year property 25 yrs S/L g 27.5 yrs MM S/L h Residential rental property 27.5 yrs MM S/L 1 MM S/L 39 yrs. į Nonresidential real property MM S/L Section C - Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System 20a Class life S/L S/L 12-year 12 yrs b 40-year MM S/L 40 yrs Part IV | Summary (See instructions) 21 Listed property. Enter amount from line 28 21 22 Total, Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. 45,647. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr 22 23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs 116251 11-21-11 LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 4562 (2011)

UNITED ASSOC.OF JOURNEYMEN & APPRENT.OF Form 4562 (2011) 06-1390416 Page 2 THE PLUMB.& PIPEFITTING IND. LOCAL 777 Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.) Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable. Section A - Depreciation and Other Information (Caution: See the Instructions for limits for passenger automobiles.) 24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes." is the evidence written? Yes \_ No (b) (c) (i) (e) (a) Òate Business/ Elected Type of property Basis for depreciation Recovery Method/ Depreciation Cost or placed in investment (business/investment section 179 (list vehicles first ) deduction other basis period Convention use percentage service use only) cost 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use 25 26 Property used more than 50% in a qualified business use: % % % 27 Property used 50% or less in a qualified business use S/I % % S/L % S/L -28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 28 29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 29 Section B - Information on Use of Vehicles Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles (a) (b) (c) (d) (f) (e) Vehicle 30 Total business/investment miles driven during the Vehicle Vehicle Vehicle Vehicle Vehicle year (do not include commuting miles) 31 Total commuting miles driven during the year 32 Total other personal (noncommuting) miles driven 33 Total miles driven during the year Add lines 30 through 32 34 Was the vehicle available for personal use Yes No Yes No Yes No Yes No Yes No Yes No during off-duty hours? 35 Was the vehicle used primarily by a more than 5% owner or related person? 36 Is another vehicle available for personal use? Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your Yes No 38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners 39 Do you treat all use of vehicles by employees as personal use? 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? 41 Do you meet the requirements concerning qualified automobile demonstration use? Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles Part VI Amortization (b) (f) (a) (c) (d) (e) Amortuzation Description of costs Date amortization beains riod or perceptane 42 Amortization of costs that begins during your 2011 tax year 43 Amortization of costs that began before your 2011 tax year 43

116252 11-18-11

44 Total. Add amounts in column (f) See the instructions for where to report

Form 4562 (2011)

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