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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 02-01-2011 , and ending 01-31-2012

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
THE SOL GOLDMAN CHARITABLE TRUST

% PERRY BEEK

Number and street (or P O box number if mail is not delivered to street address)
640 FIFTH AVENUE
THIRD FLOOR

City or town, state, and ZIP code
NEW YORK, NY 10019

A Employer identification number
13-3577310

B Telephone number (see page 10 of the instructions)
(212) 265-2280

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization

☐ Section 501(c)(3) exempt private foundation

☒ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 97,306,287

J Accounting method

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,337,890	1,337,890		
	4 Dividends and interest from securities.	1,096,125	1,096,125		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	778,767			
	b Gross sales price for all assets on line 6a 144,988,217				
	7 Capital gain net income (from Part IV, line 2)		778,767		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,212,782	3,212,782		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	68,693			68,693
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	14,480	7,240	0	7,240
	c Other professional fees (attach schedule)	229,555	229,555		
	17 Interest	81,612	81,612		
	18 Taxes (attach schedule) (see page 14 of the instructions)	58,515	1,118		57,397
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	19,504	17,778		1,726
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	472,359	337,303	0	135,056
	25 Contributions, gifts, grants paid.	5,629,928			5,629,928
	26 Total expenses and disbursements. Add lines 24 and 25	6,102,287	337,303	0	5,764,984
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-2,889,505			
	b Net investment income (if negative, enter -0-)		2,875,479		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	50,563	78,200	78,200
	2	Savings and temporary cash investments	1,952,254	783,132	783,132
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	79,889,363	75,522,413	82,171,735
	c	Investments—corporate bonds (attach schedule).	11,604,152	13,995,420	13,995,420
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	410,278	277,800	277,800
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	93,906,610	90,656,965	97,306,287	
Liabilities	17	Accounts payable and accrued expenses	588		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	1,271	1,719	
	23	Total liabilities (add lines 17 through 22)	1,859	1,719	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	93,544,751	90,655,246	
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	93,544,751	90,655,246	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	93,546,610	90,656,965	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 93,544,751
2	Enter amount from Part I, line 27a	2 -2,889,505
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 90,655,246
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 90,655,246

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	JP MORGAN A37264008			
b	JP MORGAN A14322001			
c	WELLS FARGO 7905-8623			
d	CAPITAL GAIN DISTRIBUTION			
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 120,875		122,695	-1,820
b 141,770,451		141,122,183	648,268
c 3,096,891		3,007,670	89,221
d			43,098
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-1,820
b			648,268
c			89,221
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	778,767
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	4,467,000	93,730,430	0 047658
2009	4,393,060	92,110,192	0 047694
2008	8,939,074	103,442,141	0 086416
2007	8,994,847	134,975,743	0 06664
2006	6,071,550	127,185,102	0 047738

2 Total of line 1, column (d).	2	0 296146
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 059229
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	92,760,671
5 Multiply line 4 by line 3.	5	5,494,122
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	28,755
7 Add lines 5 and 6.	7	5,522,877
8 Enter qualifying distributions from Part XII, line 4.	8	5,764,984

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	28,755
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	28,755
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	28,755
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	68,000	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	68,000
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	39,245
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 39,245	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of PERRY BEEK Telephone no (212) 265-2280 Located at 640 FIFTH AVENUE new york NY ZIP+4 10019			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
jane h goldman 640 FIFTH AVENUE NEW YORK, NY 10019	TRUSTEE 1 0	0		
allan h goldman 640 FIFTH AVENUE NEW YORK, NY 10019	TRUSTEE 1 0	0		
louisa little 640 FIFTH AVENUE NEW YORK, NY 10019	TRUSTEE 1 0	0		
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

[illegible]

Total number of others receiving over \$50,000 for professional services.	▶
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
--	--------

1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3	

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	90,852,251
b	Average of monthly cash balances.	1b	2,007,336
c	Fair market value of all other assets (see page 24 of the instructions).	1c	1,313,683
d	Total (add lines 1a, b, and c).	1d	94,173,270
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	94,173,270
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,412,599
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	92,760,671
6	Minimum investment return. Enter 5% of line 5.	6	4,638,034

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,638,034
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	28,755
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	28,755
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,609,279
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	4,609,279
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,609,279

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	5,764,984
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,764,984
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	28,755
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,736,229
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				4,609,279
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			242,603	
b Total for prior years 2009 , 2008 , 2007				
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				
c From 2008.				
d From 2009.				
e From 2010.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 5,764,984				
a Applied to 2010, but not more than line 2a			242,603	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d Applied to 2011 distributable amount.				4,609,279
e Remaining amount distributed out of corpus	913,102			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	913,102			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	913,102			
10 Analysis of line 9				
a Excess from 2007.				
b Excess from 2008.				
c Excess from 2009.				
d Excess from 2010.				0
e Excess from 2011.	913,102			

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

JANE GOLDMAN
640 FIFTH AVE 3RD FL NY 10019
fifth ave rd fl, NY 10019
(212) 265-2280

b

The form in which applications should be submitted and information and materials they should include

THE FOUNDATION DOES NOT HAVE A FORMAL APPLICATION FORM POTENTIAL GRANTEES ARE REQUIRED TO PROVIDE THE FOUNDATION DETAILS ON THE PURPOSE BEHIND THE GRANT AS WELL AS VERIFICATION OF THEIR TAX-EXEMPT STATUS

c

Any submission deadlines

THERE ARE NO SUBMISSION DEADLINES

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THERE ARE NO RESTRICTIONS OR LIMITATIONS ON AWARDS SUCH AS BY GEOGRAPHICAL AREAS, CHARITABLE FIELDS, KINDS OF INSTITUTIONS OR OTHER FACTORS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	5,629,928
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,337,890	
4 Dividends and interest from securities.			14	1,096,125	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	778,767	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .				3,212,782	
13 Total. Add line 12, columns (b), (d), and (e). 13					3,212,782

[illegible]

Part XVII

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule				
(a) Name of organization		(b) Type of organization	(c) Description of relationship	
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
***** Signature of officer or trustee		2012-12-12 Date	***** Title	
Paid Preparer's Use Only	Preparer's Signature		Date	
	Firm's name		Check if self-employed	
	Firm's address		PTIN	
GRANT THORNTON LLP 666 THIRD AVENUE NEW YORK, NY 100174057		Firm's EIN		Phone no (212) 599-0100

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☒ No

Additional Data

Software ID:
Software Version:
EIN: 13-3577310
Name: THE SOL GOLDMAN CHARITABLE TRUST


Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALZHEIMERS ASSOCIATION225 N Michigan Ave Chicago,IL 60601	NONE	170(B)(1)(A)(VI)	Alzheimers, care, support and research	15,000
American Jewish Joint Distribution143 East 43rd St New York,NY 10017	NONE	170(B)(1)(A)(VI)	Rescue Jewish lives at risk, Jews in need, renew Jewish identity	25,000
BOSTON MEDICAL LIBRARY10 Shattuck St Boston,MA 02115	NONE	170(B)(1)(A)(VI)	advance quality of health and healthcare of people	2,500
BOSTON UNIVERSITY HILLEL HOUSE213 Bay State Road Boston,MA 02215	NONE	170(B)(1)(A)(VI)	Promote Jewish culture	2,500
BROKEN BUT LOVEDPO Box 2631 Willowstreet, PA 17584	NONE	170(B)(1)(A)(VI)	Taking action against teen substance abuse	1,000
BROWN UNIVERSITYPO Box 1980 Providence,RI 02912	NONE	170(B)(1)(A)(II)	Educational	1,000,000
BUCKINGHAM BROWNE & NICHOLS80 Gerrys Landing Rd Cambridge,MA 02138	NONE	170(B)(1)(A)(II)	Educational	18,000
CENTRAL PARK CONSERVANCY14 East 60th St New York,NY 10022	NONE	170(B)(1)(A)(VI)	Restore, manage and preserve Central Park	100,000
CHRIST EPISCOPAL CHURCH33 Jefferson St Garden City,NY 11530	NONE	170(B)(1)(A)(VI)	Religious	1,000
CITY HARVEST575 8th Ave 4th Fl New York,NY 10018	NONE	170(B)(1)(A)(VI)	Feeding city's hungry men, women and children	164,754
City Meals on Wheels355 Lexington Ave New York,NY 10017	NONE	170(B)(1)(A)(VI)	Feed elderly New Yorkers	10,000
COMBINED JEWISH PHILANTHROPIES126 High St Boston,MA 02110	NONE	170(B)(1)(A)(VI)	Fufill needs and aspirations of Boston's Jewish community	1,500
CONGREGATION MISHKAN TEFILA300 Hammond Pond Parkway Chestnut Hill,MA 02467	NONE	170(B)(1)(A)(VI)	Religious	4,000
DWIGHT-ENGLEWOOD 170(B)(1)(A)(II)315 East Palisade Avenue Englewood,NJ 076313146	NONE	170(B)(1)(A)(II)	Educational	15,000
ENGLEWOOD HOSPITAL350 Engle St Englewood,NJ 07631	NONE	170(B)(1)(A)(VI)	Hospital	10,000
FEGS315 Hudson St New York,NY 10013	NONE	170(B)(1)(A)(VI)	Provide cost effective health and human services	25,000
GOOD SPORTS150 Mount Vernon St 1 Dorchester,MA 02125	NONE	170(B)(1)(A)(VI)	Distributes sports equipment, footwear and apparel	3,000
HILLEL12321 University Blvd Orlando,FL 32817	NONE	170(B)(1)(A)(VI)	Promote Jewish culture	300,000
JEWISH COMMUNITY CENTER OF GREATER BOSTON333 Nahanton St Newton,MA 02459	NONE	170(B)(1)(A)(VI)	Community Services	10,000
JEWISH FAMILY AND CHILDREN'S SERVICES OF GREATER B1340 Center St Newton,MA 02459	NONE	170(B)(1)(A)(VI)	Community Services	20,000
JEWISH HOME AT ROCKLEIGH10 Link Drive Rockleigh,NJ 07647	NONE	Private Non-Profit	Retirement Home	10,000
John Hopkins University3400 N Charles St Baltimore,MD 21218	NONE	170(B)(1)(A)(II)	Educational	932,666
KAPLAN JCC ON THE PALISADES411 East Clinton Ave Tenaflly,NJ 07670	NONE	170(B)(1)(A)(VI)	Organization for Jewish activity and identification	5,000
LIGHTHOUSE INTERNATIONAL111 East 59th Street New York,NY 100221202	NONE	170(B)(1)(A)(VI)	Preserve Vision	96,754
MAKE A WISH FOUNDATION4742 N24th St Suite 400 Phoenix,AZ 85016	NONE	170(B)(1)(A)(VI)	Enrich lives of children with severe injuries	10,000
MANNES COLLEGE- THE NEW 170(B)(1)(A)(II) FOR MUSIC150 W85th St New York,NY 10024	NONE	170(B)(1)(A)(II)	Educational	25,000
MAYO CLINIC JACKSONVILLE4500 San Pablo Road Jacksonville,FL 32224	NONE	170(B)(1)(A)(VI)	Hospital	25,000
MICHAEL J FOX FOUNDATIONPO Box 780 New York,NY 10008	NONE	170(B)(1)(A)(VI)	Cure Parkinson's disease	164,754
New Heritage Theatre253 W138th St New York,NY 10030	NONE	170(B)(1)(A)(VI)	Black Theater Company	10,000
NEW YORK CITY CENTER130 West 56th St New York,NY 10019	NONE	170(B)(1)(A)(VI)	Make the finest in performance arts accessible to broadest possible audience	150,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
New York Needs You Benefit Office 589 8th Ave New York, NY 10018	NONE	170(B)(1)(A)(VI)	Preparing first generation college students realize college and career potential	50,000
NY TIMES NEEDIEST CASES FUNDS 4 Chase Metrotech Center 7th Fl Brooklyn, NY 11245	NONE	170(B)(1)(A)(VI)	general appeal for poor and help agencies solicit funds	10,000
PARKINSON'S DISEASE FOUNDATION 1359 Broadway New York, NY 10018	NONE	170(B)(1)(A)(VI)	Parkinson's disease research, education and public advocacy	25,000
PROJECT MORRY 900 Dobbs Ferry Rd White Plains, NY 10607	NONE	170(B)(1)(A)(VI)	Youth development organization for inner city children	1,000
RAMA 260 E 78th St New York, NY 10075	NONE	170(B)(1)(A)(II)	Educational	25,000
Roundabout Theatre Company 231 West 39th St Suite 1200 New York, NY 10018	NONE	170(B)(1)(A)(VI)	Theater Company	25,000
SAFE FOUNDATION INC PO Box 230060 Brooklyn, NY 11223	NONE	170(B)(1)(A)(VI)	Drug, alcohol and gambling treatment center	25,000
SALVATION ARMY 120 W 14th St New York, NY 10011	NONE	170(B)(1)(A)(VI)	Meet human needs	10,000
ST FRANCIS HOSPITAL ASSOCIATION 100 Port Washington Blvd Roslyn, NY 11576	NONE	170(B)(1)(A)(VI)	Hospital	15,000
STUYVESANT HIGH SCHOOL ALUMNI ASSOCIATION 170(B)(1)(A)(II) 345 Chambers St New York, NY 10282	NONE	170(B)(1)(A)(VI)	Alumni Association	10,000
SYRACUSE UNIVERSITY 200 Crouse College Syracuse, NY 13244	NONE	170(B)(1)(A)(II)	Educational	10,000
THE BREAST CANCER RESEARCH FOUNDATION 60 E 56th St 8th Floor New York, NY 10022	NONE	170(B)(1)(A)(VI)	Achieve prevention and cure for breast cancer	25,000
THE BRIDGE 248 W 108TH St New York, NY 10025	NONE	170(B)(1)(A)(VI)	Help people with mental illness, substance abuse,homeless, HIV	10,000
THE DANA FARBER CANCER INSTITUTE 44 Binney St Boston, MA 02115	NONE	170(B)(1)(A)(VI)	Provide care for cancer patients	20,000
THE GOLD FOUNDATION 619 Palisade Ave Englewood, NJ 07632	NONE	170(B)(1)(A)(VI)	Promote humanism in medicine by way of medical school training	2,000
The Israel Museum Jerusalem PO Box 71117 Jerusalem 91710 IS	NONE	170(B)(1)(A)(VI)	Museum	100,000
THE PUBLIC THEATRE 425 Lafayette St New York, NY 10003	NONE	170(B)(1)(A)(VI)	Theatrical Producer of Shakespeare and new work	25,000
THE RASHI FOUNDATION 170(B)(1)(A)(II) 15 Walnut Park Newton, MA 02458	NONE	170(B)(1)(A)(II)	Educational	15,000
THIRTEEN WNEN NEW YORK 450 W 33rd St New York, NY 10001	NONE	170(B)(1)(A)(VI)	Public television	15,000
TRAVIS ROY FOUNDATION 60 State Street 8th Floor Boston, MA 02109	NONE	170(B)(1)(A)(VI)	Enhancing life of people with spinal cord injuries	1,500
TUFTS HILLEL HOUSE 220 Packard Ave Medford, MA 02155	NONE	170(B)(1)(A)(VI)	Promote Jewish culture	5,000
UJA FEDERATION OF NORTHERN NJ 111 Kinderkamack Road River Edge, NJ 07661	NONE	170(B)(1)(A)(VI)	Community Services	18,000
VALTARESE FOUNDATION INC 23-01 33rd St Astoria, NY 11105	NONE	170(B)(1)(A)(VI)	Helps people of the Valley of the Taro River	5,000
VANDERBILT UNIVERSITY 2301 Vanderbilt Place Nashville, TN 37235	NONE	170(B)(1)(A)(II)	Educational	25,000
YALE LAW SCHOOL 170(B)(1)(A)(II) PO Box 208215 New Haven, CT 06520	NONE	170(B)(1)(A)(II)	Educational	2,000,000
Total  3a				5,629,928

TY 2011 Accounting Fees Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT THORNTON LLP	14,480	7,240		7,240

**TY 2011 All Other Program
Related Investments Schedule**

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

**TY 2011 Investments Corporate
Bonds Schedule**

Name: THE SOL GOLDMAN CHARITABLE TRUST
EIN: 13-3577310

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JP MORGAN CHASE	13,995,420	13,995,420

TY 2011 Investments Corporate Stock Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO	16,665,080	21,541,826
JP MORGAN CHASE	54,710,544	56,368,406
FRANKLIN TEMP MUTUAL	4,146,789	4,261,503

TY 2011 Investments - Other Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LTD PARTNERSHIP INVESTMENTS	AT COST	277,800	277,800

TY 2011 Land, Etc. Schedule**Name:** THE SOL GOLDMAN CHARITABLE TRUST**EIN:** 13-3577310

TY 2011 Other Expenses Schedule**Name:** THE SOL GOLDMAN CHARITABLE TRUST**EIN:** 13-3577310

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS CHARITY FILING FEE	1,500			1,500
UNEMPLOYMENT INSURANCE	226			226
NET LOSS FROM LP'S	17,778	17,778		

TY 2011 Other Liabilities Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Description	Beginning of Year - Book Value	End of Year - Book Value
MISCELLANEOUS	1,271	1,719

TY 2011 Other Professional Fees Schedule

Name: THE SOL GOLDMAN CHARITABLE TRUST

EIN: 13-3577310

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	229,555	229,555		

TY 2011 Taxes Schedule**Name:** THE SOL GOLDMAN CHARITABLE TRUST**EIN:** 13-3577310

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,118	1,118		
PAYROLL	5,600			5,600
EXCISE TAXES	51,797			51,797