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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation DR. ROBERT C. & TINA SOHN FOUNDATION C/O NORMAN LEBEN, GEN II		A Employer identification number 99-0306576
Number and street (or P.O. box number if mail is not delivered to street address) 825 3RD AVENUE	Room/suite 20 FL.	B Telephone number 212-408-0596
City or town, state, and ZIP code NEW YORK, NY 10022		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,664,605.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		529.	529.		STATEMENT 1
4 Dividends and interest from securities		153,260.	153,260.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		160,388.			
b Gross sales price for all assets on line 6a 3,387,565.					
7 Capital gain net income (from Part IV, line 2)			160,388.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		170.	170.		STATEMENT 3
12 Total. Add lines 1 through 11		314,347.	314,347.		
13 Compensation of officers, directors, trustees, etc		180,000.	45,000.		135,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		40,524.	10,131.		30,394.
16a Legal fees					
b Accounting fees STMT 4		5,952.	0.		5,952.
c Other professional fees STMT 5		48,331.	42,875.		5,456.
17 Interest					
18 Taxes STMT 6		11,276.	1,158.		0.
19 Depreciation and depletion					
20 Occupancy		12,000.	0.		12,000.
21 Travel, conferences, and meetings		1,574.	0.		1,574.
22 Printing and publications					
23 Other expenses STMT 7		4,452.	0.		4,452.
24 Total operating and administrative expenses. Add lines 13 through 23		304,109.	99,164.		194,828.
25 Contributions, gifts, grants paid		445,235.			445,235.
26 Total expenses and disbursements. Add lines 24 and 25		749,344.	99,164.		640,063.
27 Subtract line 26 from line 12		<434,997.>			
a Excess of revenue over expenses and disbursements			215,183.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets				Attached schedules and amounts in the description column should be for end-of-year amounts only		
				Beginning of year		End of year
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		319,234.	314,093.	314,093.
	2	Savings and temporary cash investments		2,643,827.	3,734,272.	3,734,272.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations STMT 8		1,542,258.	513,968.	526,672.
	b	Investments - corporate stock STMT 9		640,153.	697,093.	773,253.
	c	Investments - corporate bonds STMT 10		1,384,058.	428,785.	405,287.
11	Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 11		1,599,293.	2,005,615.	1,876,578.	
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 12)		34,450.	34,450.	34,450.	
16	Total assets (to be completed by all filers)		8,163,273.	7,728,276.	7,664,605.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		8,163,273.	7,728,276.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		8,163,273.	7,728,276.		
31	Total liabilities and net assets/fund balances		8,163,273.	7,728,276.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,163,273.
2	Enter amount from Part I, line 27a	2	<434,997.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	7,728,276.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,728,276.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a PUBLICLY TRADED MARKETABLE SECURITIES			P	VARIOUS	VARIOUS
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 3,387,565.		3,227,177.	160,388.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			160,388.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	160,388.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	622,032.	8,408,584.	.073976
2009	579,476.	7,338,247.	.078967
2008	321,619.	5,414,729.	.059397
2007	98,253.	970,123.	.101279
2006	28,810.	399,706.	.072078
2 Total of line 1, column (d)			2 .385697
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .077139
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 8,179,931.
5 Multiply line 4 by line 3			5 630,992.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,152.
7 Add lines 5 and 6			7 633,144.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 640,063.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,152.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	2,152.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	2,152.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 10,000.	7	10,000.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	7,848.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	7,848. Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.SOHNFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>C/O NORMAN LEBEN/GEN II</u> Telephone no ► <u>212-408-0596</u> Located at ► <u>825 3RD AVENUE, 20TH FLOOR, NEW YORK, NY</u> ZIP+4 ► <u>10022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		1b X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		180,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,334,079.
b	Average of monthly cash balances	1b	2,970,419.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	8,304,498.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,304,498.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	124,567.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,179,931.
6	Minimum investment return. Enter 5% of line 5	6	408,997.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	408,997.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,152.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,152.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	406,845.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	406,845.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	406,845.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	640,063.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	640,063.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,152.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	637,911.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				406,845.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	9,205.			
b From 2007	49,747.			
c From 2008	52,308.			
d From 2009	213,618.			
e From 2010	211,935.			
f Total of lines 3a through e	536,813.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 640,063.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				406,845.
e Remaining amount distributed out of corpus	233,218.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	770,031.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	9,205.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	760,826.			
10 Analysis of line 9				
a Excess from 2007	49,747.			
b Excess from 2008	52,308.			
c Excess from 2009	213,618.			
d Excess from 2010	211,935.			
e Excess from 2011	233,218.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

N/A

DR. ROBERT C. & TINA SOHN FOUNDATION

Form 990-PF (2011)

C/O NORMAN LEBEN, GEN II

99-0306576 Page 11

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT B	N/A	PUBLIC CHARITIES		445,235.
Total			3a	445,235.
b Approved for future payment				
NONE				
Total			3b	0.

Form 990-PF (2011)

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	529.	
		14	153,260.	
		15	170.	
		18	160,388.	
	0.		314,347.	0.
		13		314,347.

[illegible]

Part XVII

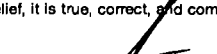
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule | | |
|---|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| N/A | | |
| | | |
| | | |
| | | |

Sign Here 	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr) ? ☐ Yes ☐ No		
	Signature of officer or trustee		Date <u>7/10/11</u>		Title		
Paid Preparer Use Only	Print/Type preparer's name THOMAS F. BLANEY, CPA, CFE		Preparer's signature 		Date <u>6/28/12</u>	Check ☐ if self-employed	PTIN P00234022
	Firm's name ▶ O'CONNOR DAVIES MUNNS & DOBBINS, LLP					Firm's EIN ▶ 13-3385019	
	Firm's address ▶ 60 EAST 42ND STREET NEW YORK, NY 10165					Phone no 212-286-2600	

Form **990-PF** (2011)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST FROM DEUTSCHE BANK	270.
INTEREST FROM MERRILL LYNCH	203.
INTEREST FROM MORGAN STANLEY	56.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	529.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
THRU DEUTSCHE BANK	38,053.	0.	38,053.
THRU MERRILL LYNCH	83,040.	0.	83,040.
THRU MORGAN STANLEY	32,167.	0.	32,167.
TOTAL TO FM 990-PF, PART I, LN 4	153,260.	0.	153,260.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTIES	170.	170.	
TOTAL TO FORM 990-PF, PART I, LINE 11	170.	170.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
O'CONNOR DAVIES MUNNS & DOBBINS, LLP	5,952.	0.		5,952.
TO FORM 990-PF, PG 1, LN 16B	5,952.	0.		5,952.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	42,875.	42,875.		0.	
PRM CONSULTING	4,460.	0.		4,460.	
ADP PAYROLL PROCESSING FEES	996.	0.		996.	
TO FORM 990-PF, PG 1, LN 16C	48,331.	42,875.		5,456.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAXES	10,118.	0.		0.	
FOREIGN TAXES	1,158.	1,158.		0.	
TO FORM 990-PF, PG 1, LN 18	11,276.	1,158.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSES	2,046.	0.		2,046.	
INSURANCE	1,711.	0.		1,711.	
ASSOCIATION OF SMALL FOUNDATIONS	695.	0.		695.	
TO FORM 990-PF, PG 1, LN 23	4,452.	0.		4,452.	

FORM 990-PF	U.S., AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	X		513,968.	526,672.
TOTAL U.S. GOVERNMENT OBLIGATIONS			513,968.	526,672.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			513,968.	526,672.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A		697,093.	773,253.
TOTAL TO FORM 990-PF, PART II, LINE 10B		697,093.	773,253.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A		428,785.	405,287.
TOTAL TO FORM 990-PF, PART II, LINE 10C		428,785.	405,287.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS - SEE ATTACHMENT A	COST	1,482,968.	1,382,419.
ALTERNATIVE - SEE ATTACHMENT A	COST	222,647.	174,910.
CERTIFICATE OF DEPOSIT - SEE ATTACHMENT A	COST	300,000.	319,249.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,005,615.	1,876,578.

FORM 990-PF	OTHER ASSETS		STATEMENT 12
DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
2 PIECES OF ART	33,300.	33,300.	33,300.
COPYRIGHTS TO BOOK ROYALTIES	1,150.	1,150.	1,150.
TO FORM 990-PF, PART II, LINE 15	34,450.	34,450.	34,450.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 13
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
BARRY R. SHAPIRO C/O NORMAN LEBEN, GEN II, 825 3RD AVE., 20TH FL. NEW YORK, NY 10022	CEO/PRESIDENT/TRUSTEE 30.00	96,000.	0.	0.
NORMAN LEBEN C/O NORMAN LEBEN, GEN II, 825 3RD AVE., 20TH FL. NEW YORK, NY 10022	CFO/EVP/TRUSTEE 30.00	84,000.	0.	0.
STELLA LELLOS C/O NORMAN LEBEN, GEN II, 825 3RD AVE., 20TH FL. NEW YORK, NY 10022	TRUSTEE 0.10	0.	0.	0.
RAY KASEVICH C/O NORMAN LEBEN, GEN II, 825 3RD AVE., 20TH FL. NEW YORK, NY 10022	TRUSTEE 0.10	0.	0.	0.
WILLIAM LEONE C/O NORMAN LEBEN, GEN II, 825 3RD AVE., 20TH FL. NEW YORK, NY 10022	TRUSTEE 0.10	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		180,000.	0.	0.

	<u>Cost</u>	<u>Market Value</u>
Alternatives		
ATTACHMENT A-5, Page 1	200,000	150,690
ATTACHMENT A-11, Page 4	22,647	24,220
	<u>222,647</u>	<u>174,910</u>
Savings & Temporary Cash Investments		
ATTACHMENT A-1, Page 1	1,139,021	1,139,021
ATTACHMENT A-2, Page 1	72,817	72,817
ATTACHMENT A-3, Page 1 & 9	14,432	14,432
ATTACHMENT A-4, Page 1 & 8	7,631	7,631
ATTACHMENT A-5, Page 1	167,547	167,547
ATTACHMENT A-6, Page 1	358	358
ATTACHMENT A-7, Page 1	311	311
ATTACHMENT A-8, Page 1	372	372
ATTACHMENT A-9, Page 1	2,139,853	2,139,853
ATTACHMENT A-10, Page 1 & 3	11,378	11,378
ATTACHMENT A-11, Page 1 & 4	13,162	13,162
ATTACHMENT A-12, Page 1 & 3	167,391	167,391
	<u>3,734,272</u>	<u>3,734,272</u>
Certificate of Deposit		
ATTACHMENT A-2, Page 5	300,000	319,249
	<u>300,000</u>	<u>319,249</u>
Common Stocks		
ATTACHMENT A-3, Page 9	464,295	510,249
ATTACHMENT A-4, Page 8	232,798	263,004
	<u>697,093</u>	<u>773,253</u>
Corporate Bonds		
ATTACHMENT A-2, Page 3	428,785	405,287
	<u>428,785</u>	<u>405,287</u>
Gov't & Agency		
ATTACHMENT A-2, Page 2	413,968	426,443
ATTACHMENT A-2, Page 4	100,000	100,229
	<u>513,968</u>	<u>526,672</u>
Mutual Funds		
ATTACHMENT A-1, Page 2	204,945	204,945
ATTACHMENT A-10, Page 3	508,835	465,997
ATTACHMENT A-11, Page 3	238,611	200,775
ATTACHMENT A-12, Page 2	530,578	510,702
	<u>1,482,968</u>	<u>1,382,419</u>

Statement Period: 12/01/2011 - 12/31/2011

Portfolio Holdings

Quantity	Acquisition Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash, Money Funds, and FDIC Deposits 85.00% of Portfolio									
FDIC Insured Bank Deposits									
INSURED DEPOSITS PROGRAM									
1,139,020.720	12/01/11	5XG039046	12/30/11	1,139,011.36	1,139,020.72	5.61	269.85	N/A	N/A
Total FDIC Insured Bank Deposits				\$1,139,011.36	\$1,139,020.72	\$5.61	\$269.85		
Total Cash, Money Funds, and FDIC Deposits				\$1,139,011.36	\$1,139,020.72	\$5.61	\$269.85		
Mutual Funds 15.00% of Portfolio									
Mutual Funds									
FEDERATED U.S. TREASURY CASH RESERVES FUND (SERVICE SHARES)									
CUSIP: 60934N674									
Open End Fund									
Dividend Option: Reinvest, Capital Gains Option: Reinvest									
202,961.080	09/22/08*	1.0000	202,961.08	1.0000	202,961.08	0.00		9.54	
1,615.860	09/26/08*	1.0000	1,615.86	1.0000	1,615.86	0.00		0.08	
Unrealized Gain/Loss									
Estimated Annual Income									
Estimated Yield									

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
Mutual Funds (continued)								
FEDERATED U.S. TREASURY CASH (continued)								
368,280		1 0000	368.28	1.0000	368.28	0.00	0.02	
204,945.220			\$204,945.22		\$204,945.22	\$0.00	\$9.63	
Total Mutual Funds			\$204,945.22		\$204,945.22	\$0.00	\$9.63	
Total Mutual Funds			\$204,945.22		\$204,945.22	\$0.00	\$9.63	
Total Portfolio Holdings								
			\$1,343,965.94		\$1,343,965.94	\$0.00	\$279.48	

CASH, DEPOSITS AND MONEY MARKET FUNDS

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY BANK N.A. #	\$72,817.29	\$15.00	—	0.020
CASH, DEPOSITS AND MONEY MARKET FUNDS				
	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Interest	
	5.4%	\$72,817.29	\$15.00	

MUNICIPAL BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MIAMI-DADE CNTY FLA TRAN SYS SALES SURTAX REV BUILD AMERICA CUSIP 59334PCN5	9/29/09	100,000,000	\$105 018 \$104 104	\$105,018.25 \$104,103.62	\$111,397.00	\$7,293.38 LT	\$6,710.00 \$3,355.00	6.02
Unit Price: \$111.397, Coupon Rate 6.710%, Matures 07/01/29; Int Semi-Annually Jan/Jul 01, Callable \$100.00 on 07/01/19, Yield to Call 4.877%, Subject to Federal Tax, Moody AA3 S&P AA, Issued 09/24/09								
NASSAU CNTY N Y IMPT SER-B BUILD AMERICA BOND CUSIP 63165TCR4	7/12/10	100,000,000	103.850 103.402	103,850.00 103,402.45	106,349.00	2,946.55 LT	6,600.00 1,650.00	6.20
Unit Price: \$106.349, Coupon Rate 6.600%, Matures 04/01/30, Int Semi-Annually Apr/Oct 01, Callable \$100.00 on 04/01/20, Yield to Call 5.626%, Subject to Federal Tax, Moody A1 S&P A+, Issued 06/24/10								
PORT AUTH N Y & N J CONS ONE HUNDRED FORTY-FIFT CUSIP 73358TWK3	8/5/10	100,000,000	100.850 100.000	100,850.00 100,000.00	100,028.00	28.00 LT	5,750.00 958.33	5.74
Unit Price: \$100.028, Coupon Rate 5.750%, Matures 11/01/32, Int Semi-Annually May/Nov 01, Callable \$100.00 on 01/30/12, Yield to Call 5.274%, Subject to Federal Tax, Moody AA2 S&P AA-, Insurer: AMBAC, Issued 11/01/06								
HILLSBOROUGH CNTY FLA GENL OBLIG BUILD AMERICA BOND CUSIP 432290LM4	5/11/10	100,000,000	104.250 103.640	104,250.00 103,640.06	108,669.00	5,028.94 LT	6,250.00 3,125.00	5.75
Unit Price: \$108.669, Coupon Rate 6.250%, Matures 07/01/34, Int Semi-Annually Jan/Jul 01, Callable \$100.00 on 07/01/19, Yield to Call 4.857%, Subject to Federal Tax, Moody AAA S&P AAA, Issued 12/29/09								
MUNICIPAL BONDS		Percentage of Assets %		Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
				\$413,968.25 \$411,146.13	\$426,443.00	\$15,296.87 LT	\$25,310.00 \$9,088.33	5.94%
TOTAL MUNICIPAL BONDS (incl accr int)		32.4%			\$435,531.33			

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
MS STEP UP CALLABLE TRUST UNIT S LINKED TO BAC DUE 7/1/2020 CUSIP 858585AA0	10/15/10	75,000 000	\$100 000 \$100 000	\$75,000 00 \$75,000 00	\$64,393.50	\$(10,606 50) LT	\$3,000.00 \$1,500 00	4 65
Unit Price \$85 858, Coupon Rate 4 000%, Matures 07/01/20, Int Semi-Annually Jan/Jul 01, Callable \$100 00 on 04/01/12, Yield to Maturity 6 162%, Stepped S&P A, Issued 10/20/10								
TONGY STEP UP CALLABLE NOTES DUE 7/30/20 BY MS	7/27/10	50 000 000	100 000 100 000	50,000 00 50,000 00	47,775.50	(2 224 50) LT	2,000 00 833 33	4 18
CUSIP 61745EZ61								
MS STEP UP NOTE	7/15/09	100,000 000	100 000 100 000	100,000 00 100,000 00	95,103.00	(4,897 00) LT	5,250 00 2,187 50	5 52
Unit Price \$95 551, Coupon Rate 4 000%, Matures 07/30/20, Int Semi-Annually Jan/Jul 30, Callable \$100 00 on 07/30/13, Yield to Maturity 4 634%, Stepped, Moody A2 S&P A-, Issued 07/30/10								
CUSIP 61745E4A6								
Unit Price \$95 103, Coupon Rate 5 250%, Matures 07/30/24; Int Semi-Annually Jan/Jul 30, Callable \$100 00 on 01/30/12, Yield to Maturity 5 803%, Stepped, Moody A2								S&P A-, Issued 07/30/09, Structured Products
JPMORGAN STEP UP	7/26/10	100,000 000	100 000 100 000	100,000 00 100,000 00	98,760.00	(1,240 00) LT	4,000 00 1,688 88	4 05
CUSIP 48124AWH6								
Unit Price \$98 760, Coupon Rate 4 000%, Matures 07/29/25; Int Semi-Annually Jan/Jul 29, Callable \$100 00 on 07/29/15, Yield to Maturity 4 120%, Stepped, Moody AA3								S&P A, Issued 07/29/10
GOLDMAN SACHS GROUP INC	9/8/11	110,000 000	94 350 94 350	103,785 00 103,785 00	99,255.20	(4,529 80) ST	7,095 00 1,182 50	7 14
CUSIP 38143YAC7								
Unit Price, \$90.232; Coupon Rate 6.450%, Matures 05/01/36; Int. Semi-Annually May/Nov 01; Yield to Maturity 7.314%, Moody A2 S&P BBB+, Issued 04/18/06								
CORPORATE FIXED INCOME								
		Percentage of Assets %		Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
				\$428,785.00 \$428,785.00	\$405,287.20	\$(18,968 00) LT \$(4,529.80) ST	\$21,345.00 \$7,392.21	5.27%
TOTAL CORPORATE FIXED INCOME								
(incl accr int)								
		30.7%			\$412,679.41			

GOVERNMENT SECURITIES
FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
FED NATL MTG ASSN STEP - 08/10/16	7/18/11	100,000.00	\$100.000	\$100,000.00			\$4,000.00	3.99
04.37			\$100.000	\$100,000.00	\$100,229.00	\$229.00 ST	\$1,566.66	
CUSIP 3136FRE66								
Unit Price \$100.229, Coupon Rate 4.000%, Matures 08/10/26, Int Semi-Annually Feb/Aug 10, Callable \$100.00 on 02/10/12, Yield to Maturity 3.979%; First Coupon 02/10/12; Stepped S&P AA+ Issued 08/10/11								

GOVERNMENT SECURITIES	Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
		\$100,000.00	\$100,229.00	\$229.00 ST	\$4,000.00	3.99%
	7.6%		\$101,795.66		\$1,566.66	

TOTAL GOVERNMENT SECURITIES
(Incl.accr int.)

CERTIFICATES OF DEPOSIT

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
SALLIE MAE BANK MURRAY UT CD	6/3/09	50,000.00	\$100.000	\$50,000.00			\$1,500.00	2.96
CUSIP 795450MD4			\$100.000	\$50,000.00	\$50,539.50	\$539.50 LT	\$86.06	
Unit Price: \$101.079, Coupon Rate 3.000%, Matures 06/11/12, Int Semi-Annually Jun/Dec 10, Yield to Maturity 5.73%, Issued 06/10/09, Maturity Value = \$50,000.00								
SALLIE MAE BANK MURRAY UT CD	6/3/09	50,000.00	100.000	50,000.00			1,700.00	3.28
CUSIP 795450MB8			100.000	50,000.00	\$1,791.50	1,791.50 LT	95.95	
Unit Price \$103.583, Coupon Rate 3.400%, Matures 06/10/13, Interest Paid Monthly Aug 10, Yield to Maturity 8.94%, Issued 06/10/09, Maturity Value = \$50,000.00								
JPM EQUITY LINKED CD ON THE DJIA	10/12/09	100,000.00	100.000	100,000.00			—	—
CUSIP 48121CGN1			105.884	105,884.12	116,010.00	10,125.88 LT	—	—
Unit Price \$116.010, Zero Coupon, Matures 10/28/15, Issued 10/28/09, Structured Products Maturity Value = \$100,000.00								
HSBC BANK USA NA NY FID	5/26/11	100,000.00	100.000	100,000.00			2,375.00	2.35
CUSIP 40431GSX8			100.000	100,000.00	100,908.00	908.00 ST	108.52	
Unit Price \$100.908, Coupon Rate 2.375%, Matures 06/14/23, Interest Paid Monthly Jul 14, Callable \$100.00 on 06/14/15, Yield to Maturity 2.284%, Stepped, Issued 06/14/11, Maturity Value = \$100,000.00								

		Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CERTIFICATES OF DEPOSIT							
			\$300,000.00			\$5,575.00	1.75%
			\$305,884.12	\$319,249.00	\$12,456.88 LT	\$290.53	
		23.8%		\$319,539.53	\$908.00 ST		
TOTAL CERTIFICATES OF DEPOSIT							
(incl. accr int.)							
TOTAL MARKET VALUE							
			\$1,245,815.25	\$1,324,025.49	\$8,785.75 LT	\$56,245.00	4.19%
		100.0%			\$(3,392.80) ST	\$18,337.73	

CASH/MONEY ACCOUNTS									
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	0.30	0.30		.30					
BIF MONEY FUND	13,277.00	13,277.00	1.0000	13,277.00	1	.01			
TOTAL		13,277.30		13,277.30	1	.01			
EQUITIES									
Description	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%	
ABBOTT LABS									
ABT 05/20/09	87	43.5080	3,785.20	56.2300	4,892.01	1,106.81	168	3.41	
07/29/09	46	45.6930	2,101.88	56.2300	2,586.58	484.70	89	3.41	
09/02/09	53	45.0692	2,388.67	56.2300	2,980.19	591.52	102	3.41	
03/11/10	46	55.1997	2,539.19	56.2300	2,586.58	47.39	89	3.41	
Subtotal	232		10,814.94		13,045.36	2,230.42	448	3.41	
ACCENTURE PLC SHS									
ACN 01/02/09	73	32.9801	2,407.55	53.2300	3,885.79	1,478.24	99	2.53	
02/18/09	68	31.0839	2,113.71	53.2300	3,619.64	1,505.93	92	2.53	
09/15/10	60	39.4706	2,368.24	53.2300	3,193.80	825.56	81	2.53	
11/10/10	52	45.3655	2,359.01	53.2300	2,767.96	408.95	71	2.53	
Subtotal	253		9,248.51		13,467.19	4,218.68	343	2.53	
ACE LIMITED									
ACE 09/08/10	28	55.0035	1,540.10	70.1200	1,963.36	423.26	53	2.68	
09/09/10	20	55.4810	1,109.62	70.1200	1,402.40	292.78	38	2.68	
02/02/11	32	62.4790	1,999.33	70.1200	2,243.84	244.51	61	2.68	
02/03/11	10	62.3660	623.66	70.1200	701.20	77.54	19	2.68	
05/17/11	29	68.1451	1,976.21	70.1200	2,033.48	57.27	55	2.68	
Subtotal	119		7,248.92		8,344.28	1,095.36	226	2.68	

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
AIR PRODUCTS&CHEM	APD	04/14/10	34	75.4802	2,566.33	85.1900	2,896.46	330.13	79	2.72
		04/28/10	36	77.6011	2,793.64	85.1900	3,066.84	273.20	84	2.72
Subtotal			70		5,359.97		5,963.30	603.33	163	2.72
ALTRIA GROUP INC	MO	09/19/11	95	26.8755	2,553.18	29.6500	2,816.75	263.57	156	5.53
		09/28/11	93	26.4916	2,463.72	29.6500	2,757.45	293.73	153	5.53
Subtotal			188		5,016.90		5,574.20	557.30	309	5.53
APACHE CORP	APA	01/02/09	37	78.8810	2,918.60	90.5800	3,351.46	432.86	23	66
		10/16/09	31	102.4932	3,177.29	90.5800	2,807.98	(369.31)	19	66
Subtotal			68		6,095.89		6,159.44	63.55	42	66
ASML HLDG N.V. NEW YORK	ASML	08/10/11	80	33.2546	2,660.37	41.7900	3,343.20	682.83	40	1.18
REGISTRY SHAR		08/17/11	57	34.8975	1,989.16	41.7900	2,382.03	392.87	29	1.18
Subtotal			137		4,649.53		5,725.23	1,075.70	69	1.18
AT&T INC	T	01/02/09	269	28.9900	7,798.31	30.2400	8,134.56	336.25	474	5.82
		11/11/09	100	26.4688	2,646.88	30.2400	3,024.00	377.12	176	5.82
Subtotal			369		10,445.19		11,158.56	713.37	650	5.82
BANK NEW YORK MELLON CORP	BK	01/02/09	298	27.6880	8,251.05	19.9100	5,933.18	(2,317.87)	155	2.61
		10/07/09	44	28.5677	1,256.98	19.9100	876.04	(380.94)	23	2.61
		02/03/10	86	28.7724	2,474.43	19.9100	1,712.26	(762.17)	45	2.61
		03/31/10	48	30.8375	1,480.20	19.9100	955.68	(524.52)	25	2.61
		11/16/11	151	20.1343	3,040.29	19.9100	3,006.41	(33.88)	79	2.61
Subtotal			627		16,502.95		12,483.57	(4,019.38)	327	2.61
BECTON DICKINSON CO	BDX	12/22/09	31	77.8835	2,414.39	74.7200	2,316.32	(98.07)	56	2.40
		01/14/10	32	76.7415	2,455.73	74.7200	2,391.04	(64.69)	58	2.40
		02/16/11	30	80.4866	2,414.60	74.7200	2,241.60	(173.00)	54	2.40
Subtotal			93		7,284.72		6,948.96	(335.76)	168	2.40
BLACKROCK INC	BLK	07/26/11	21	184.7161	3,879.04	178.2400	3,743.04	(136.00)	116	3.08
		07/27/11	10	180.1930	1,801.93	178.2400	1,782.40	(19.53)	55	3.08
Subtotal			31		5,680.97		5,525.44	(155.53)	171	3.08

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
CHEVRON CORP	CVX	01/02/09	109	74.9000	8,164.10	106.4000	11,597.60	3,433.50	354	3.04
		10/28/09	33	75.9184	2,505.31	106.4000	3,511.20	1,005.89	107	3.04
		01/06/10	31	79.3690	2,460.44	106.4000	3,298.40	837.96	101	3.04
Subtotal			173		13,129.85		18,407.20	5,277.35	562	3.04
COMCAST CRP NEW CL A SPL	CMCSK	07/14/11	116	23.9369	2,776.69	23.5600	2,732.96	(43.73)	53	1.91
		07/27/11	124	24.0191	2,978.38	23.5600	2,921.44	(56.94)	56	1.91
		08/10/11	126	19.9914	2,518.92	23.5600	2,968.56	449.64	57	1.91
Subtotal			366		8,273.99		8,622.96	348.97	166	1.91
DANAHER CORP DEL COM	DHR	03/02/11	70	50.1347	3,509.43	47.0400	3,292.80	(216.63)	7	.21
		07/27/11	61	49.4711	3,017.74	47.0400	2,869.44	(148.30)	7	.21
		08/24/11	54	43.1244	2,328.72	47.0400	2,540.16	211.44	6	.21
Subtotal			185		8,855.89		8,702.40	(153.49)	20	.21
DIAGEO PLC SPSD ADR NEW	DEO	01/02/09	95	56.5336	5,370.70	87.4200	8,304.90	2,934.20	247	2.96
DISNEY (WALT) CO COM STK	DIS	10/12/11	91	33.8269	3,078.25	37.5000	3,412.50	334.25	55	1.60
EXXON MOBIL CORP COM	XOM	01/02/09	122	79.9000	9,747.80	84.7600	10,340.72	592.92	230	2.21
		12/08/10	31	71.9122	2,229.28	84.7600	2,627.56	398.28	59	2.21
		03/10/11	31	82.1596	2,546.95	84.7600	2,627.56	80.61	59	2.21
Subtotal			184		14,524.03		15,595.84	1,071.81	348	2.21
GENERAL MILLS	GIS	10/15/09	80	32.5753	2,606.03	40.4100	3,232.80	626.77	98	3.01
		10/16/09	40	32.7485	1,309.94	40.4100	1,616.40	306.46	49	3.01
		04/14/10	76	35.3289	2,685.00	40.4100	3,071.16	386.16	93	3.01
		11/10/10	34	35.9900	1,223.66	40.4100	1,373.94	150.28	42	3.01
		12/16/10	71	36.5622	2,595.92	40.4100	2,869.11	273.19	87	3.01
Subtotal			301		10,420.55		12,163.41	1,742.86	369	3.01

EQUITIES (continued) <i>Description</i>	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GOLDMAN SACHS GROUP INC	GS	01/02/09	29	84.1148	2,439.33	90.4300	2,622.47	183.14	41	1.54
		03/11/09	23	90.3417	2,077.86	90.4300	2,079.89	2.03	33	1.54
		03/31/10	11	170.7400	1,878.14	90.4300	994.73	(883.41)	16	1.54
		04/07/10	15	177.2660	2,658.99	90.4300	1,356.45	(1,302.54)	21	1.54
		03/02/11	19	162.3263	3,084.20	90.4300	1,718.17	(1,366.03)	27	1.54
		05/04/11	19	151.6326	2,881.02	90.4300	1,718.17	(1,162.85)	27	1.54
		05/17/11	20	139.3955	2,787.91	90.4300	1,808.60	(979.31)	28	1.54
Subtotal			136		17,807.45		12,298.48	(5,508.97)	193	1.54
HASBRO INC COM	HAS	01/21/09	24	26.4500	634.80	31.8900	765.36	130.56	29	3.76
		06/10/09	85	26.0152	2,211.30	31.8900	2,710.65	499.35	102	3.76
		06/01/11	63	46.1420	2,906.95	31.8900	2,009.07	(897.88)	76	3.76
		08/17/11	54	37.7316	2,037.51	31.8900	1,722.06	(315.45)	65	3.76
		09/14/11	67	36.5256	2,447.22	31.8900	2,136.63	(310.59)	81	3.76
Subtotal			293		10,237.78		9,343.77	(894.01)	353	3.76
HONEYWELL INTL INC DEL	HON	05/26/10	109	42.5625	4,639.32	54.3500	5,924.15	1,284.83	163	2.74
		08/11/10	54	42.2446	2,281.21	54.3500	2,934.90	653.69	81	2.74
Subtotal			163		6,920.53		8,859.05	1,938.52	244	2.74
INTEL CORP	INTC	01/02/09	217	14.8500	3,222.46	24.2500	5,262.25	2,039.79	183	3.46
INTL BUSINESS MACHINES CORP IBM	IBM	01/02/09	5	85.7500	428.75	183.8800	919.40	490.65	15	1.63
		06/23/10	21	129.9180	2,728.28	183.8800	3,861.48	1,133.20	63	1.63
		07/28/10	17	128.9905	2,192.84	183.8800	3,125.96	933.12	51	1.63
		11/03/10	19	143.6178	2,728.74	183.8800	3,493.72	764.98	57	1.63
Subtotal			62		8,078.61		11,400.56	3,321.95	186	1.63

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income	Estimated Current Yield%
JOHNSON AND JOHNSON COM	JNJ	01/02/09	91	59.8401	5,445.45	65.5800	5,967.78	522.33	208 3.47
		04/22/09	38	51.7507	1,966.53	65.5800	2,492.04	525.51	87 3.47
		10/28/09	39	59.7689	2,330.99	65.5800	2,557.62	226.63	89 3.47
		02/10/10	46	62.8810	2,892.53	65.5800	3,016.68	124.15	105 3.47
		10/06/10	34	62.7211	2,132.52	65.5800	2,229.72	97.20	78 3.47
<i>Subtotal</i>			248		14,768.02		16,263.84	1,495.82	567 3.47
JOHNSON CONTROLS INC	JCI	05/26/10	90	28.1740	2,535.66	31.2600	2,813.40	277.74	65 2.30
		12/02/10	64	39.0171	2,497.10	31.2600	2,000.64	(496.46)	47 2.30
		03/23/11	73	40.2346	2,937.13	31.2600	2,281.98	(655.15)	53 2.30
<i>Subtotal</i>			227		7,969.89		7,096.02	(873.87)	165 2.30
JPMORGAN CHASE & CO	JPM	01/02/09	212	31.0300	6,578.36	33.2500	7,049.00	470.64	212 3.00
		01/07/09	30	28.9696	869.09	33.2500	997.50	128.41	30 3.00
		02/11/09	48	25.8060	1,238.69	33.2500	1,596.00	357.31	48 3.00
		06/03/09	62	34.4091	2,133.37	33.2500	2,061.50	(71.87)	62 3.00
		02/02/11	53	45.7754	2,426.10	33.2500	1,762.25	(663.85)	53 3.00
		02/25/11	66	46.5125	3,069.83	33.2500	2,194.50	(875.33)	66 3.00
		08/03/11	86	39.4284	3,390.85	33.2500	2,859.50	(531.35)	86 3.00
<i>Subtotal</i>			557		19,706.29		18,520.25	(1,186.04)	557 3.00
LOCKHEED MARTIN CORP	LMT	01/02/09	165	83.5900	13,792.36	80.9000	13,348.50	(443.86)	660 4.94
		03/04/09	26	60.8942	1,583.25	80.9000	2,103.40	520.15	104 4.94
		07/22/09	19	77.2273	1,467.32	80.9000	1,537.10	69.78	76 4.94
		08/26/09	32	74.6146	2,387.67	80.9000	2,588.80	201.13	128 4.94
		11/04/09	23	70.8682	1,629.97	80.9000	1,860.70	230.73	92 4.94
		07/06/11	38	81.5005	3,097.02	80.9000	3,074.20	(22.82)	152 4.94
<i>Subtotal</i>			303		23,957.59		24,512.70	555.11	1,212 4.94

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
MEDTRONIC INC	COM	MDT	04/29/09	59	31.2215		1,842.07	38.2500	2,256.75	414.68	58	2.53
			05/06/09	63	32.0203		2,017.28	38.2500	2,409.75	392.47	62	2.53
			08/19/09	57	36.9119		2,103.98	38.2500	2,180.25	76.27	56	2.53
			09/02/09	62	38.2146		2,369.31	38.2500	2,371.50	2.19	61	2.53
			08/18/10	61	36.4970		2,226.32	38.2500	2,333.25	106.93	60	2.53
				302			10,558.96		11,551.50	992.54	297	2.53
Subtotal												
METLIFE INC	COM	MET	01/02/09	279	34.6295		9,661.64	31.1800	8,699.22	(962.42)	207	2.37
			09/01/10	46	39.4165		1,813.16	31.1800	1,434.28	(378.88)	35	2.37
			01/19/11	66	45.8884		3,028.64	31.1800	2,057.88	(970.76)	49	2.37
				391			14,503.44		12,191.38	(2,312.06)	291	2.37
Subtotal												
NESTLE S A REP RG SH ADR		NSRGY	01/02/09	70	39.4001		2,758.01	57.7100	4,039.70	1,281.69	124	3.06
			08/19/09	52	39.9046		2,075.04	57.7100	3,000.92	925.88	92	3.06
				122			4,833.05		7,040.62	2,207.57	216	3.06
Subtotal												
NORTHROP GRUMMAN CORP		NOC	01/02/09	39	41.2174		1,607.48	58.4800	2,280.72	673.24	78	3.42
			03/24/09	52	37.8315		1,967.24	58.4800	3,040.96	1,073.72	104	3.42
			07/29/09	35	40.6340		1,422.19	58.4800	2,046.80	624.61	70	3.42
				126			4,996.91		7,368.48	2,371.57	252	3.42
Subtotal												
OCCIDENTAL PETE CORP CAL		OXY	06/08/11	30	102.7343		3,082.03	93.7000	2,811.00	(271.03)	56	1.96
			06/15/11	34	103.0138		3,502.47	93.7000	3,185.80	(316.67)	63	1.96
			08/31/11	32	88.0581		2,817.86	93.7000	2,998.40	180.54	59	1.96
				96			9,402.36		8,995.20	(407.16)	178	1.96
Subtotal												
ORACLE CORP \$0.01 DEL		ORCL	01/02/09	283	18.0000		5,094.00	25.6500	7,258.95	2,164.95	68	.93
			12/02/09	62	22.6893		1,406.74	25.6500	1,590.30	183.56	15	.93
			04/07/10	93	25.9507		2,413.42	25.6500	2,385.45	(27.97)	23	.93
			04/13/11	89	33.6951		2,998.87	25.6500	2,282.85	(716.02)	22	.93
			06/29/11	92	32.5247		2,992.28	25.6500	2,359.80	(632.48)	23	.93
				619			14,905.31		15,877.35	972.04	151	.93
Subtotal												

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
Description					Cost Basis							
PEPSICO INC		PEP	01/02/09	65	55.0500		3,578.25	66.3500	4,312.75	734.50	134	3.10
			07/01/09	37	56.4605		2,089.04	66.3500	2,454.95	365.91	77	3.10
Subtotal				102			5,667.29		6,767.70	1,100.41	211	3.10
PFIZER INC		PFE	04/09/09	104	13.6687		1,421.55	21.6400	2,250.56	829.01	92	4.06
			08/05/09	140	15.7829		2,209.61	21.6400	3,029.60	819.99	124	4.06
			10/07/09	138	16.7013		2,304.79	21.6400	2,986.32	681.53	122	4.06
			11/11/09	151	17.7460		2,679.66	21.6400	3,267.64	587.98	133	4.06
			09/29/10	96	17.4446		1,674.69	21.6400	2,077.44	402.75	85	4.06
			01/05/11	147	17.9529		2,639.08	21.6400	3,181.08	542.00	130	4.06
Subtotal				776			12,929.38		16,792.64	3,863.26	686	4.06
PHILIP MORRIS INTL INC		PM	05/22/08	247	52.1891		12,890.72	78.4800	19,384.56	6,493.84	761	3.92
			10/22/08	26	41.0338		1,066.88	78.4800	2,040.48	973.60	81	3.92
			09/29/10	44	56.5002		2,486.01	78.4800	3,453.12	967.11	136	3.92
Subtotal				317			16,443.61		24,878.16	8,434.55	978	3.92
PNC FINCL SERVICES GROUP		PNC	05/11/11	71	63.5974		4,515.42	57.6700	4,094.57	(420.85)	100	2.42
			05/17/11	39	62.3617		2,432.11	57.6700	2,249.13	(182.98)	55	2.42
			08/31/11	53	50.1743		2,659.24	57.6700	3,056.51	397.27	75	2.42
Subtotal				163			9,606.77		9,400.21	(206.56)	230	2.42
PPG INDUSTRIES INC SHS		PPG	01/02/09	100	42.6801		4,268.01	83.4900	8,349.00	4,080.99	228	2.73
			02/25/11	34	87.4491		2,973.27	83.4900	2,838.66	(134.61)	78	2.73
Subtotal				134			7,241.28		11,187.66	3,946.38	306	2.73
PRUDENTIAL FINANCIAL INC		PRU	12/16/09	39	51.6261		2,013.42	50.1200	1,954.68	(58.74)	57	2.89
			01/27/10	51	50.0486		2,552.48	50.1200	2,556.12	3.64	74	2.89
			02/24/10	52	51.2938		2,667.28	50.1200	2,606.24	(61.04)	76	2.89
Subtotal				142			7,233.18		7,117.04	(116.14)	207	2.89
ST JUDE MEDICAL INC		STJ	12/21/11	107	33.4352		3,577.57	34.3000	3,670.10	92.53	90	2.44

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
STANLEY BLACK & DECKER INC	SWK	06/30/10	11	50.7709	558.48	67.6000	743.60	185.12	19	2.42
		08/11/10	41	55.8865	2,291.35	67.6000	2,771.60	480.25	68	2.42
		05/04/11	26	72.2311	1,878.01	67.6000	1,757.60	(120.41)	43	2.42
		09/07/11	34	58.8555	2,001.09	67.6000	2,298.40	297.31	56	2.42
		09/14/11	48	55.8420	2,680.42	67.6000	3,244.80	564.38	79	2.42
Subtotal			160		9,409.35		10,816.00	1,406.65	265	2.42
TARGET CORP COM	TGT	01/12/11	97	55.5346	5,386.86	51.2200	4,968.34	(418.52)	117	2.34
		02/02/11	51	53.4378	2,725.33	51.2200	2,612.22	(113.11)	62	2.34
		03/02/11	56	52.3832	2,933.46	51.2200	2,868.32	(65.14)	68	2.34
		11/02/11	77	53.8089	4,143.29	51.2200	3,943.94	(199.35)	93	2.34
Subtotal			281		15,188.94		14,392.82	(796.12)	340	2.34
THERMO FISHER SCIENTIFIC INC	TMO	08/10/11	62	50.7795	3,148.33	44.9700	2,788.14	(360.19)		
		08/17/11	37	54.2867	2,008.61	44.9700	1,663.89	(344.72)		
		09/07/11	36	53.4188	1,923.08	44.9700	1,618.92	(304.16)		
Subtotal			135		7,080.02		6,070.95	(1,009.07)		
TRAVELERS COS INC	TRV	04/02/09	43	42.1369	1,811.89	59.1700	2,544.31	732.42	71	2.77
		11/04/09	50	51.3040	2,565.20	59.1700	2,958.50	393.30	82	2.77
		02/10/10	47	49.6036	2,331.37	59.1700	2,780.99	449.62	78	2.77
		02/09/11	44	58.6227	2,579.40	59.1700	2,603.48	24.08	73	2.77
Subtotal			184		9,287.86		10,887.28	1,599.42	304	2.77
TYCO INTL LTD NAMEN-AKT	TYC	11/16/11	117	47.8184	5,594.76	46.7100	5,465.07	(129.69)	117	2.14
UNITED TECHS CORP COM	UTX	01/02/09	136	53.8500	7,323.60	73.0900	9,940.24	2,616.64	262	2.62
		12/15/10	35	79.1994	2,771.98	73.0900	2,558.15	(213.83)	68	2.62
Subtotal			171		10,095.58		12,498.39	2,402.81	330	2.62
VIACOM INC NEW CL B	VIAB	03/16/11	85	43.6709	3,712.03	45.4100	3,859.85	147.82	85	2.20
		06/01/11	56	50.8635	2,848.36	45.4100	2,542.96	(305.40)	56	2.20
		09/28/11	58	41.7727	2,422.82	45.4100	2,633.78	210.96	58	2.20
Subtotal			199		8,983.21		9,036.59	53.38	199	2.20

EQUITIES (continued) <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Yield%</i>
VODAFONE GROU PLC SP ADR	VOD	01/02/09	229	19.9815	4,575.78	28.0300	6,418.87	1,843.09	331	5.14
3M COMPANY	MMM	01/02/09	37	58.0902	2,149.34	81.7300	3,024.01	874.67	82	2.69
		07/01/09	23	60.8360	1,399.23	81.7300	1,879.79	480.56	51	2.69
		06/09/10	17	75.8611	1,289.64	81.7300	1,389.41	99.77	38	2.69
		03/23/11	29	92.1189	2,671.45	81.7300	2,370.17	(301.28)	64	2.69
<i>Subtotal</i>			106		7,509.66		8,663.38	1,153.72	235	2.69
TOTAL					464,294.64		510,249.05	45,954.41	14,557	2.85
TOTAL PRINCIPAL INVESTMENTS					477,571.94		523,526.35	45,954.41	14,558	2.78

CASH/MONEY ACCOUNTS <i>Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Annual Income</i>	<i>Est Annual Yield%</i>
CASH	421.29	421.29		421.29		
BIF MONEY FUND	733.00	733.00	1.0000	733.00		.01
TOTAL		1,154.29		1,154.29		.01
TOTAL INCOME INVESTMENTS		1,154.29		1,154.29		.01

CASH/MONEY ACCOUNTS									
Description	Quantity	Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%		
CASH	0.99		0.99		.99				
BIF MONEY FUND	7,277.00	7,277.00	1 0000		7,277.00	1	.01		
TOTAL		7,277.99			7,277.99	1	.01		

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
A N S Y S INC COM	ANSS	07/09/08	70	43.0345	3,012.42	57.2800	4,009.60	997.18	
		09/11/09	10	37.4200	374.20	57.2800	572.80	198.60	
		04/16/10	24	43.6254	1,047.01	57.2800	1,374.72	327.71	
		04/19/10	9	43.8855	394.97	57.2800	515.52	120.55	
Subtotal			113		4,828.60		6,472.64	1,644.04	
AKAMAI TECHNOLOGIES INC	AKAM	03/13/08	122	33.0500	4,032.10	32.2800	3,938.16	(93.94)	
		06/11/08	50	36.0322	1,801.61	32.2800	1,614.00	(187.61)	
			172		5,833.71		5,552.16	(281.55)	
Subtotal							7,741.29	2,897.33	
AMERICAN TOWER CORP CL A	AMT	03/13/08	129	37.5500	4,843.96	60.0100	7,741.29	2,897.33	
AMERIGROUP CORP COM	AGP	12/22/11	68	60.2995	4,100.37	59.0800	4,017.44	(82.93)	

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ASTORIA FINANCIAL CORP	AF	03/13/08	113	27.0200	3,053.27	8.4900	959.37	(2,093.90)	59	6.12
		10/13/08	25	17.8276	445.69	8.4900	212.25	(233.44)	13	6.12
		08/10/11	14	9.8257	137.56	8.4900	118.86	(18.70)	8	6.12
		08/15/11	67	10.1283	678.60	8.4900	568.83	(109.77)	35	6.12
		08/16/11	79	10.0445	793.52	8.4900	670.71	(122.81)	42	6.12
		08/17/11	32	10.0362	321.16	8.4900	271.68	(49.48)	17	6.12
Subtotal			330		5,429.80		2,801.70	(2,628.10)	174	6.12
AUTODESK INC DEL PV\$0.01	ADSK	12/26/08	79	18.9096	1,493.86	30.3300	2,396.07	902.21		
		12/29/08	77	18.3553	1,413.36	30.3300	2,335.41	922.05		
		08/10/11	6	29.1416	174.85	30.3300	181.98	7.13		
Subtotal			162		3,082.07		4,913.46	1,831.39		
BARD C R INC	BCR	03/13/08	53	96.3401	5,106.03	85.5000	4,531.50	(574.53)	41	88
BIO RAD LABS CL A	BIO	12/22/08	22	67.6068	1,487.35	96.0400	2,112.88	625.53		
		12/23/08	14	69.4850	972.79	96.0400	1,344.56	371.77		
		12/26/08	5	69.9660	349.83	96.0400	480.20	130.37		
		09/11/09	4	93.7500	375.00	96.0400	384.16	9.16		
		08/10/11	1	96.4400	96.44	96.0400	96.04	(0.40)		
Subtotal			46		3,281.41		4,417.84	1,136.43		
BORG WARNER INC COM	BWA	03/13/08	95	42.5200	4,039.40	63.7400	6,055.30	2,015.90		
		10/13/08	10	25.0020	250.02	63.7400	637.40	387.38		
		09/11/09	2	34.2700	68.54	63.7400	127.48	58.94		
		08/10/11	4	66.1450	264.58	63.7400	254.96	(9.62)		
Subtotal			111		4,622.54		7,075.14	2,452.60		
BOSTON PPTYS INC REIT	BXP	03/13/08	70	90.6900	6,348.30	99.6000	6,972.00	623.70	154	2.20

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description					Cost Basis							
CENTENE CORP		CNC	01/13/10	114	21.7054		2,474.42	39.5900	4,513.26	2,038.84		
			01/14/10	12	22.0425		264.51	39.5900	475.08	210.57		
			01/15/10	34	22.0567		749.93	39.5900	1,346.06	596.13		
Subtotal				160			3,488.86		6,334.40	2,845.54		
CHESAPEAKE ENERGY OKLA		CHK	03/13/08	40	49.1602		1,966.41	22.2900	891.60	(1,074.81)		14 1.57
			03/31/08	85	46.3616		3,940.74	22.2900	1,894.65	(2,046.09)		30 1.57
Subtotal				125			5,907.15		2,786.25	(3,120.90)		44 1.57
COVANCE INC		CVD	03/13/08	75	81.3660		6,102.45	45.7200	3,429.00	(2,673.45)		
CSX CORP		CSX	03/13/08	396	16.5700		6,561.73	21.0600	8,339.76	1,778.03		191 2.27
D R HORTON INC		DHI	03/13/08	125	14.5000		1,812.50	12.6100	1,576.25	(236.25)		19 1.18
			09/09/09	86	13.0037		1,118.32	12.6100	1,084.46	(33.86)		13 1.18
			09/11/09	16	13.0600		208.96	12.6100	201.76	(7.20)		3 1.18
			12/20/10	124	11.8830		1,473.50	12.6100	1,563.64	90.14		19 1.18
			08/10/11	8	9.3625		74.90	12.6100	100.88	25.98		2 1.18
Subtotal				359			4,688.18		4,526.99	(161.19)		56 1.18
DARDEN RESTAURANTS INC		DRI	03/13/08	109	29.4100		3,205.69	45.5800	4,968.22	1,762.53		188 3.77
EASTMAN CHEMICAL CO COM		EMN	03/13/08	96	33.1500		3,182.40	39.0600	3,749.76	567.36		100 2.66
EATON VANCE CORP NVT		EV	03/13/08	137	30.8500		4,226.45	23.6400	3,238.68	(987.77)		105 3.21
			10/14/08	20	26.6065		532.13	23.6400	472.80	(59.33)		16 3.21
			09/11/09	2	28.6800		57.36	23.6400	47.28	(10.08)		2 3.21
			08/10/11	4	23.1600		92.64	23.6400	94.56	1.92		4 3.21
Subtotal				163			4,908.58		3,853.32	(1,055.26)		127 3.21
EXPRESS SCRIPTS INC COM		ESRX	03/13/08	157	29.0549		4,561.63	44.6900	7,016.33	2,454.70		

EQUITIES (continued) <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit</i> <i>Cost Basis</i>	<i>Total</i> <i>Cost Basis</i>	<i>Estimated</i> <i>Market Price</i>	<i>Estimated</i> <i>Market Value</i>	<i>Unrealized</i> <i>Gain/(Loss)</i>	<i>Annual Income</i>	<i>Estimated Current</i> <i>Yield%</i>
FIRST POTOMAC RLTY TR REIT	FPO	07/18/11	26	15.9473	414.63	13.0500	339.30	(75.33)	21	6.13
		07/20/11	44	16.4200	722.48	13.0500	574.20	(148.28)	36	6.13
		07/21/11	50	16.5418	827.09	13.0500	652.50	(174.59)	40	6.13
		07/22/11	45	16.6208	747.94	13.0500	587.25	(160.69)	36	6.13
		07/25/11	63	16.3514	1,030.14	13.0500	822.15	(207.99)	51	6.13
		07/26/11	5	16.1580	80.79	13.0500	65.25	(15.54)	4	6.13
		09/29/11	65	13.0330	847.15	13.0500	848.25	1.10	52	6.13
<i>Subtotal</i>			298		4,670.22		3,888.90	(781.32)	240	6.13
GATX CORPORATION	GMT	03/13/08	92	36.9900	3,403.08	43.6600	4,016.72	613.64	107	2.65
		04/16/10	16	33.3775	534.04	43.6600	698.56	164.52	19	2.65
		06/30/10	23	26.9330	619.46	43.6600	1,004.18	384.72	27	2.65
		07/01/10	33	26.7621	883.15	43.6600	1,440.78	557.63	39	2.65
<i>Subtotal</i>			164		5,439.73		7,160.24	1,720.51	192	2.65
GLOBAL PMTS INC GEORGIA	GPN	01/28/09	42	35.2311	1,479.71	47.3800	1,989.96	510.25	4	16
		01/29/09	40	34.7545	1,390.18	47.3800	1,895.20	505.02	4	16
<i>Subtotal</i>			82		2,869.89		3,885.16	1,015.27	8	16
HARRIS CORP DEL	HRS	03/13/08	64	48.3900	3,096.96	36.0400	2,306.56	(790.40)	72	3.10
		03/18/08	25	47.2000	1,180.00	36.0400	901.00	(279.00)	28	3.10
		10/14/08	15	38.6506	579.76	36.0400	540.60	(39.16)	17	3.10
		08/10/11	3	35.5033	106.51	36.0400	108.12	1.61	4	3.10
<i>Subtotal</i>			107		4,963.23		3,856.28	(1,106.95)	121	3.10
HARSCO CORPORATION	HSC	03/13/08	98	55.6001	5,448.81	20.5800	2,016.84	(3,431.97)	81	3.98
		06/29/10	28	23.9496	670.59	20.5800	576.24	(94.35)	23	3.98
		06/30/10	14	24.0757	337.06	20.5800	288.12	(48.94)	12	3.98
<i>Subtotal</i>			140		6,456.46		2,881.20	(3,575.26)	116	3.98

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
HELI ENERGY SOLUTIONS	HLX	08/08/08	162	29.5958	4,794.52	15.8000	2,559.60	(2,234.92)		
		10/14/08	110	13.4645	1,481.10	15.8000	1,738.00	256.90		
		09/11/09	12	14.3241	171.89	15.8000	189.60	17.71		
		08/19/10	83	9.1532	759.72	15.8000	1,311.40	551.68		
		08/20/10	61	9.0234	550.43	15.8000	963.80	413.37		
		08/10/11	6	14.7233	88.34	15.8000	94.80	6.46		
<i>Subtotal</i>			434		7,846.00		6,857.20	(988.80)		
HEXCEL CORP NEW COM	HXL	07/25/11	33	24.0830	794.74	24.2100	798.93	4.19		
		07/26/11	154	25.0546	3,858.42	24.2100	3,728.34	(130.08)		
<i>Subtotal</i>			187		4,653.16		4,527.27	(125.89)		
INTEGRYS ENERGY GROUP INC	TEG	03/13/08	94	45.8800	4,312.72	54.1800	5,092.92	780.20	256	5.02
INTERCONTINENTAL EXCHANGE INC	ICE	02/19/09	35	57.2545	2,003.91	120.5500	4,219.25	2,215.34		
		09/11/09	12	88.5925	1,063.11	120.5500	1,446.60	383.49		
		08/10/11	1	113.2300	113.23	120.5500	120.55	7.32		
<i>Subtotal</i>			48		3,180.25		5,786.40	2,606.15		
INTL GAME TECHNOLOGY	IGT	03/13/08	168	45.0400	7,566.73	17.2000	2,889.60	(4,677.13)	41	1.39
		06/09/11	103	16.0958	1,657.87	17.2000	1,771.60	113.73	25	1.39
<i>Subtotal</i>			271		9,224.60		4,661.20	(4,563.40)	66	1.39
INTL RECTIFIER CORP	IRF	03/13/08	100	22.0600	2,206.00	19.4200	1,942.00	(264.00)		
		06/12/08	55	23.9752	1,318.64	19.4200	1,068.10	(250.54)		
<i>Subtotal</i>			155		3,524.64		3,010.10	(514.54)		
INTUIT INC COM	INTU	03/13/08	161	26.6799	4,295.47	52.5900	8,466.99	4,171.52	97	1.14
		08/10/11	5	41.2280	206.14	52.5900	262.95	56.81	3	1.14
<i>Subtotal</i>			166		4,501.61		8,729.94	4,228.33	100	1.14
ITRON INC	ITRI	04/28/09	50	47.1010	2,355.05	35.7700	1,788.50	(566.55)		
		07/30/10	14	65.2557	913.58	35.7700	500.78	(412.80)		
<i>Subtotal</i>			64		3,268.63		2,289.28	(979.35)		

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
	JEFFERIES GROUP INC NEW	JEF	03/13/08	139	16.7800	2,332.42	13.7500	1,911.25	(421.17)	42	2.18
			10/14/08	45	18.3611	826.25	13.7500	618.75	(207.50)	14	2.18
			08/10/11	12	15.9116	190.94	13.7500	165.00	(25.94)	4	2.18
	Subtotal			196		3,349.61		2,695.00	(654.61)	60	2.18
	JOY GLOBAL INC DEL COM	JOY	04/29/08	28	75.1514	2,104.24	74.9700	2,099.16	(5.08)	20	.93
			10/14/08	25	32.4768	811.92	74.9700	1,874.25	1,062.33	18	93
			03/27/09	40	23.5847	943.39	74.9700	2,998.80	2,055.41	28	93
			08/10/11	4	77.2500	309.00	74.9700	299.88	(9.12)	3	93
	Subtotal			97		4,168.55		7,272.09	3,103.54	69	.93
	MONOLITHIC PWR SYSTEMS INC	MPWR	07/26/11	327	14.2896	4,672.70	15.0700	4,927.89	255.19		
			08/10/11	7	12.1685	85.18	15.0700	105.49	20.31		
			09/30/11	6	10.4333	62.60	15.0700	90.42	27.82		
			10/03/11	24	9.9966	239.92	15.0700	361.68	121.76		
	Subtotal			364		5,060.40		5,485.48	425.08		
	NEWFIELD EXPL CO COM	NFX	03/13/08	88	56.8001	4,998.41	37.7300	3,320.24	(1,678.17)		
			04/25/08	20	64.4325	1,288.65	37.7300	754.60	(534.05)		
			06/11/08	25	63.7008	1,592.52	37.7300	943.25	(649.27)		
	Subtotal			133		7,879.58		5,018.09	(2,861.49)		
	ONEOK INC (OKLAHOMA)	OKE	08/15/11	59	68.2227	4,025.14	86.6900	5,114.71	1,089.57	133	2.58
	PULTE GROUP	PHM	03/13/08	109	12.6600	1,379.94	6.3100	687.79	(692.15)		
			09/11/09	128	12.4842	1,597.98	6.3100	807.68	(790.30)		
			08/10/11	19	4.4000	83.60	6.3100	119.89	36.29		
	Subtotal			256		3,061.52		1,615.36	(1,446.16)		
	PVH CORP	PVH	04/16/10	57	63.7766	3,635.27	70.4900	4,017.93	382.66	9	.21
			07/30/10	15	51.6260	774.39	70.4900	1,057.35	282.96	3	.21
			08/02/10	9	53.0566	477.51	70.4900	634.41	156.90	2	.21
	Subtotal			81		4,887.17		5,709.69	822.52	14	.21

EQUITIES (continued) <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Current Yield%</i>
RAYMOND JAMES FINL INC	RJF	03/13/08	148	23.2700	3,443.97	30.9600	4,582.08	1,138.11	77	1.67
		10/14/08	25	25.6100	640.25	30.9600	774.00	133.75	13	1.67
		08/10/11	10	26.3920	263.92	30.9600	309.60	45.68	6	1.67
<i>Subtotal</i>			183		4,348.14		5,665.68	1,317.54	96	1.67
REPUBLIC SERVICES INC	RSG	03/13/08	139	30.2000	4,197.81	27.5500	3,829.45	(368.36)	123	3.19
		04/16/10	25	30.8000	770.00	27.5500	688.75	(81.25)	22	3.19
<i>Subtotal</i>			164		4,967.81		4,518.20	(449.61)	145	3.19
SBA COMMUNICATIONS CRP A	SBAC	01/14/09	70	17.5092	1,225.65	42.9600	3,007.20	1,781.55		
		01/15/09	69	16.8018	1,159.33	42.9600	2,964.24	1,804.91		
		09/11/09	28	26.7267	748.35	42.9600	1,202.88	454.53		
<i>Subtotal</i>			167		3,133.33		7,174.32	4,040.99		
SNAP ON INC COM	SNA	03/13/08	77	48.3901	3,726.04	50.6200	3,897.74	171.70	105	2.68
		06/29/10	34	41.2952	1,404.04	50.6200	1,721.08	317.04	47	2.68
		08/10/11	3	47.9533	143.86	50.6200	151.86	8.00	5	2.68
<i>Subtotal</i>			114		5,273.94		5,770.68	496.74	157	2.68
THE SCOTTS MIRACLE GRO CO	SMG	03/13/08	76	34.7800	2,643.28	46.6900	3,548.44	905.16	92	2.57
		08/12/11	9	44.5166	400.65	46.6900	420.21	19.56	11	2.57
<i>Subtotal</i>			85		3,043.93		3,968.65	924.72	103	2.57
TJX COS INC NEW	TJX	03/13/08	102	32.4300	3,307.87	64.5500	6,584.10	3,276.23	78	1.17
		08/10/11	2	51.6950	103.39	64.5500	129.10	25.71	2	1.17
<i>Subtotal</i>			104		3,411.26		6,713.20	3,301.94	80	1.17
UNITED NAT FOODS INC	UNFI	03/13/08	26	16.4200	426.92	40.0100	1,040.26	613.34		
		06/16/08	210	21.0810	4,427.03	40.0100	8,402.10	3,975.07		
		08/10/11	8	36.4037	291.23	40.0100	320.08	28.85		
<i>Subtotal</i>			244		5,145.18		9,762.44	4,617.26		

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
VALSPAR CORP COM	VAL	03/13/08	102	20.5500	2,096.11	38.9700	3,974.94	1,878.83		82 2.05
		10/14/08	15	19.6720	295.08	38.9700	584.55	289.47		12 2.05
		04/16/10	44	30.4031	1,337.74	38.9700	1,714.68	376.94		36 2.05
		08/10/11	4	28.4100	113.64	38.9700	155.88	42.24		4 2.05
Subtotal			165		3,842.57		6,430.05	2,587.48		134 2.05
WHITING PETROLEUM CORP	WLL	03/13/08	166	32.0750	5,324.46	46.6900	7,750.54	2,426.08		
XILINX INC	XLNX	03/13/08	134	23.9500	3,209.30	32.0600	4,296.04	1,086.74		102 2.37
		10/14/08	15	21.4386	321.58	32.0600	480.90	159.32		12 2.37
		10/27/08	15	17.9340	269.01	32.0600	480.90	211.89		12 2.37
Subtotal			164		3,799.89		5,257.84	1,457.95		126 2.37
YUM BRANDS INC	YUM	03/13/08	84	36.6801	3,081.13	59.0100	4,956.84	1,875.71		96 1.93
TOTAL					232,798.21		263,004.15	30,205.94		3,387 1.29
TOTAL PRINCIPAL INVESTMENTS					240,076.20		270,282.14	30,205.94		3,388 1.25

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.68	0.68		.68		
BIF MONEY FUND	352.00	352.00	1.0000	352.00		.01
TOTAL		352.68		352.68		.01
TOTAL INCOME INVESTMENTS		352.68		352.68		.01

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Est. Annual Yield%		
CASH	0.99	0.99		.99					
BIF MONEY FUND	167,546.00	167,546.00	1.0000	167,546.00		17	01		
TOTAL		167,546.99		167,546.99		17	01		
ALTERNATIVE INVESTMENTS									
Description	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Est. Annual Yield%	
PAULSON ADVANTAGE ACCESS // LTD CLASS I EST MKT PRICE AS OF 11/30/11	190,313	1.0508	199,999.93	0.7918	150,689.83	(49,310.10)			
TOTAL			199,999.93		150,689.83	(49,310.10)			
TOTAL PRINCIPAL INVESTMENTS			367,546.92		318,236.82	(49,310.10)	17	01	

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Est. Annual Yield%		
CASH	0.22	0.22		.22					
TOTAL INCOME INVESTMENTS		0.22		.22					

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%-
CASH	0.50	0.50		.50		
BIF MONEY FUND	357.00	357.00	1.0000	357.00		.01
TOTAL		357.50		357.50		.01
TOTAL PRINCIPAL INVESTMENTS		357.50		357.50		.01

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.05	0.05		.05		
TOTAL INCOME INVESTMENTS		0.05		.05		

CASH/MONEY ACCOUNTS										
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%				
CASH	0.83	0.83		.83						
BIF MONEY FUND	310.00	310.00	1.0000	310.00						01
TOTAL		310.83		310.83						01
TOTAL PRINCIPAL INVESTMENTS		310.83		310.83						01

CASH/MONEY ACCOUNTS										
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%				
CASH	0.13	0.13		.13						
TOTAL INCOME INVESTMENTS		0.13		.13						

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.87	0.87		.87		
BIF MONEY FUND	371.00	371.00	1.0000	371.00		.01
TOTAL		371.87		371.87		.01
TOTAL PRINCIPAL INVESTMENTS						
		371.87		371.87		.01

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.05	0.05		.05		
TOTAL INCOME INVESTMENTS						
		0.05		.05		

CASH/MONEY ACCOUNTS						
<i>Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Annual Income</i>	<i>Est Annual Yield%</i>
CASH	0.97	0.97		.97		
BIF MONEY FUND	1,849,111.00	1,849,111.00	1.0000	1,849,111.00	185	.01
TOTAL		1,849,111.97		1,849,111.97	185	.01
TOTAL PRINCIPAL INVESTMENTS		1,849,111.97		1,849,111.97	184	.01

CASH/MONEY ACCOUNTS						
<i>Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Annual Income</i>	<i>Est Annual Yield%</i>
CASH	1.11	1.11		1.11		
BIF MONEY FUND	290,740.00	290,740.00	1.0000	290,740.00	29	.01
TOTAL		290,741.11		290,741.11	29	.01
TOTAL INCOME INVESTMENTS		290,741.11		290,741.11	29	.01

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	0.48	0.48		.48		
BIF MONEY FUND	5,448.00	5,448.00	1.0000	5,448.00	1	.01
TOTAL		5,448.48		5,448.48	1	.01

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
AMERICAN EURO PACIFIC GROWTH FUND CL F2 SYMBOL AEFFX Initial Purchase 05/06/10 Equity 100%	1,938	74,364.49	35.1100	68,043.18	(6,321.31)	72,360	(4,317)	1,355	1.99
4360 Fractional Share		17.87	35.1100	15.31	(2.56)			1	1.99
BLACKROCK GLOBAL ALLOCATION FUND INSTL SYMBOL MALOX Initial Purchase 10/28/11 Fixed Income 28% Equity 72%	3,443	67,481.07	18.2400	62,800.32	(4,680.75)	67,481	(4,680)	1,374	2.18
8780 Fractional Share		17.21	18.2400	16.01	(1.20)			1	2.18
HENDERSON INTERNATIONAL OPPORTUNITIES FUND CL I SYMBOL HFQIA Initial Purchase 05/06/10 Equity 100%	4,104	79,922.72	17.8500	73,256.40	(6,666.32)	79,352	(6,096)	780	1.06
9630 Fractional Share		20.52	17.8500	17.19	(3.33)			1	1.06
ISHARES TR MSCI EAFE GROWTH INDEX FUND SYMBOL FFG Initial Purchase 05/06/10 Equity 100%	586	31,229.40	52.0100	30,477.86	(751.54)	31,229	(751)	756	2.47

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND SYMBOL: AAXJ Initial Purchase 05/06/10 Equity 100%	1,401	78,552.71	49.9000	69,909.90	(8,642.81)	78,552	(8,642)	1,473 2 10
OPPENHEIMER DEVELOPING MARKETS FD CL Y SYMBOL: ODVYX Initial Purchase: 05/06/10 Equity 100% 0410 Fractional Share	3,171	93,033.48	28.9700	91,863.87	(1,169.61)	92,683	(819)	2,140 2 32
THORNBURG INTERNATIONAL VALUE FUND CL I SYMBOL: TGVIIX Initial Purchase: 03/08/11 Equity 100% 3820 Fractional Share	2,831	84,183.69	24.5800	69,585.98	(14,597.71)	84,183	(14,597)	1,087 1 56
Subtotal (Fixed Income)				17,588.57				
Subtotal (Equities)				448,408.03				

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
TOTAL		508,834.62		465,996.60	(42,838.02)		(39,902)	8,970 1 92
TOTAL PRINCIPAL INVESTMENTS		514,283 10		471,445.08	(42,838.02)			8,971 1 90

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	725.58	725.58		725.58		
BIF MONEY FUND	5,204 00	5,204 00	1.0000	5,204.00	1	.01
TOTAL		5,929.58		5,929.58	1	.01
TOTAL INCOME INVESTMENTS		5,929 58		5,929.58		.01

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.33	0.33		.33		
BIF MONEY FUND	3,741.00	3,741.00	1.0000	3,741.00		.01
TOTAL		3,741.33		3,741.33		.01

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
CREDIT SUISSE COMMODITY RETURN STRAT FD CL A	6.517	58,830.95	8.1100	52,852.87	(5,978.08)	58,830	(5,978)		
SYMBOL CRSAY Initial Purchase 01/07/11 Fixed Income 80% Equity 20% 7490 Fractional Share		6.65	8.1100	6.07	(0.58)				
DWS ENHANCED COMMODITY STRATEGY FUND CL S	14,465	61,382.02	3.4000	49,181.00	(12,201.02)	61,382	(12,201)	9,547	19.41
SYMBOL SKSRX Initial Purchase 01/07/11 Fixed Income 100% 4530 Fractional Share		1.78	3.4000	1.54	(0.24)			1	19.41
FIRST TRUST GLOBAL WIND SYMBOL FAN Initial Purchase 10/29/11 Equity 100%	555	5,166.22	7.9200	4,395.60	(770.62)	5,166	(770)	33	73
MARKET VECTORS AGRBUSNSS SYMBOL MCO Initial Purchase 01/07/11 Equity 100%	99	5,390.10	47.1500	4,667.85	(722.25)	5,390	(722)	31	.64
MARKET VECTOR ETF TR COAL FTF	191	7,737.79	32.2500	6,159.75	(1,578.04)	7,737	(1,578)	93	1.49

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL KOL Equity 100%	Initial Purchase 10/28/11							
MARKET VECTORS SOLAR ENERGY	960	5,145.50	3.6900	3,542.40	(1,603.10)	5,145	(1,603)	224 6.31
SYMBOL KWT Equity 100%	Initial Purchase 10/28/11							
PIMCO COMMODITY REAL RETURN STRATEGY FD CL P	4,780	37,905.33	6.5300	31,213.40	(6,691.93)	37,905	(6,691)	
SYMBOL PCRPX Fixed Income 100%	Initial Purchase 11/14/11							
0760 Fractional Share		0.60	6.5300	.50	(0.10)			
POWERSHARES GLOBAL WATER PORTFOLIO	294	5,757.01	15.5900	4,583.46	(1,173.55)	5,757	(1,173)	75 1.62
SYMBOL PIO Equity 100%	Initial Purchase 01/07/11							
PRUDENTIAL JENNISON NATURAL RESOURCES INC Z	584	33,586.03	47.6700	27,839.28	(5,746.75)	33,586	(5,746)	
SYMBOL PNRZX Equity 100%	Initial Purchase 01/07/11							
8010 Fractional Share		42.70	47.6700	38.18	(4.52)			
TOCQUEVILLE GOLD FUND	156	12,788.58	71.4800	11,150.88	(1,637.70)	12,788	(1,637)	
SYMBOL TGLDX Equity 100%	Initial Purchase 01/07/11							

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)										
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Current Yield%	
5300 Fractional Share		44.03	71.4300	37.88	(6.15)					
VANGUARD REIT ETF	88	4,825.61	58.0000	5,104.00	278.39	4,825	278	181	3.53	
SYMBOL VNQ Initial Purchase 01/07/11 Equity 100%										
Subtotal (Fixed Income)				122,683.59						
Subtotal (Equities)				78,091.07						
TOTAL		238,610.90		200,774.66	(37,836.24)		(37,821)	10,185	5.07	
ALTERNATIVE INVESTMENTS										
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%	
ISHARES SILVER TR	01/07/11	301	28.0449	8,441.54	26.9400	8,108.94	(332.60)			
SPDR GOLD TRUST	01/07/11	101	133.7419	13,507.94	151.9900	15,350.99	1,843.05			
SPDR GOLD TRUST	03/08/11	5	139.5000	697.50	151.9900	759.95	62.45			
Subtotal		106		14,205.44		16,110.94	1,905.50			
TOTAL				22,646.98		24,219.88	1,572.90			
TOTAL PRINCIPAL INVESTMENTS				264,999.21		228,735.87	(36,263.34)	10,185	4.45	

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	423.78	423.78		423.78		
BIF MONEY FUND	8,997.00	8,997.00	1.0000	8,997.00	1	.01
TOTAL		9,420.78		9,420.78	1	.01
TOTAL INCOME INVESTMENTS		9,420.78		9,420.78		.01

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.15	0.15		.15		
BIF MONEY FUND	166,123.00	166,123.00	1.0000	166,123.00	17	01
TOTAL		166,123.15		166,123.15	17	01

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ALGER SPECTRA FUND CL A SYMBOL: SPECX Initial Purchase: 01/07/11 Equity 100% 3990 Fractional Share	12.392	152,668.94	11.8900	147,340.88	(5,328.06)	152,668	(5,328)		
AMERICAN CENTURY 20TH CENT HERITAGE FUND INV SYMBOL: TWXIX Initial Purchase: 10/28/11 Equity 100% 0220 Fractional Share	4.946	103,816.49	19.6100	96,991.06	(6,825.43)	103,816	(6,825)		
EAGLE SMALL CAP GROWTH FUND CL I SYMBOL: HSIX Initial Purchase: 01/07/11 Equity 100% 2220 Fractional Share	597	23,246.33	38.2000	22,805.40	(440.93)	23,246	(440)		
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: IJH Initial Purchase: 01/07/11 Equity 100%	763	69,195.40	87.6100	66,846.43	(2,348.97)	69,195	(2,348)	851	1.27

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
ISHARES S&P SMALLCAP 600 INDEX FUND SYMBOL: UR Equity 100%	301 Initial Purchase 01/07/11	20,531.97	68.3000	20,558.30	26.33	20,531	26	211 1.02
ISHARES S&P 500 INDEX FUND SYMBOL: IVV Equity 100%	1,075 Initial Purchase 01/07/11	136,915.70	125.9600	135,407.00	(1,508.70)	136,915	(1,508)	2,800 2.06
RYDEX/ SGI MID CAP VALUE FUND CL I SYMBOL: SYUIX Equity 100%	2,078 Initial Purchase 10/28/11	24,187.81	9.9800	20,738.44	(3,449.37)	24,187	(3,449)	90 4.3
0030 Fractional Share		1.08	9.9800	.93	.15			.43
Subtotal (Equities)				510,702.09				
TOTAL		530,577.74		510,702.09	(19,875.65)		(19,872)	3,952 .77
TOTAL PRINCIPAL INVESTMENTS		696,700.89		676,825.24	(19,875.65)		3,969	59

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.59	0.59		.59		
BIF MONEY FUND	1,267.00	1,267.00	1.0000	1,267.00		.01
TOTAL		1,267.59		1,267.59		.01
TOTAL INCOME INVESTMENTS		1,267.59		1,267.59		.01

<u>Organization</u>	<u>Address</u>	<u>Recipient Status</u>	<u>Amount</u>
Abilities	201 IU Willets Road Albertson, NY 11507-1599	Public Charity	\$ 5,635
ASF	1720 N Street, NW Washington DC 20036	Public Charity	500
Ballet Tech	890 Broadway New York, NY 10003	Public Charity	5,000
Berkshire Pulse	PO Box 37, 410 Park Street Housatonic, MA 01236	Public Charity	2,000
Blue rider	P.O. Box 924, Great Barrington, MA 01230	Public Charity	1,500
BWCF	575 Lexington Avenue, 33rd Floor New York, NY 10022	Public Charity	10,000
C-CAP	250 West 57th Street, Suite 2015 New York, NY 10107	Public Charity	10,000
Chess in the Schools	520 8th Ave # 2 New York, NY 10018	Public Charity	5,000
City Parks	830 5th Avenue New York, NY 10065	Public Charity	10,000
Community Access to the Arts	40 Railroad Street, Suite 6 Great Barrington, MA 01230	Public Charity	5,000
Construct Inc	41 Mahaiwe Street Great Barrington, MA 01230	Public Charity	3,000
East End Hospice	PO Box 1048, Westhampton Beach, NY 11978-7048	Public Charity	5,000
Education Through Music	122 East 42nd Street, Suite 1501 New York, NY 10168	Public Charity	10,000
Family Life Academy	14 West 170th Street. Bronx, New York 10452	Public Charity	10,000
Figure skating of Harlem	361 West 125th Street. New York, NY 10027	Public Charity	15,000
Flying Cloud	731 South Sandisfield Road New Marlborough, MA 01230	Public Charity	10,000
Fresh Youth	505 West 171st Street New York, NY 10032	Public Charity	2,500
Friends of Hudson River	311 West 43rd St # 300 New York, NY 10036	Public Charity	10,000
Friends of Vancortlandt	124 Gale Place #GRA Bronx, NY 10463	Public Charity	5,000
Grow NYC	51 Chambers Street, Room 228 New York, New York 10007	Public Charity	10,000
Harlem Academy	1330 Fifth Avenue. New York, NY 10026	Public Charity	10,000
Healthy Schools	773 Madison Ave # 1 Albany, NY 12208-3824	Public Charity	10,000
Heart	PO Box 738 Mamaroneck, NY 10543	Public Charity	5,000
Hearts Of the Father Outreach	P.O. Box 491 Sheffield, MA 01257	Public Charity	500
Housatonic Valley	P.O. Box 28, 150 Kent Road Cornwall Bridge, CT 06754	Public Charity	10,000
Humane farming	PO Box 3577 San Rafael, CA 94912	Public Charity	2,500
International Cinema Education	P.O. Box 363 Gracie Station New York, New York 10028	Public Charity	5,000
JBFCs	120 West 57th Street New York, NY 10019	Public Charity	50,000
Kyklou	PO Box 403 Ridgewood, NJ 07451	Public Charity	1,500
LIMON	307 West 38th Street, Suite 1105 New York, NY 10018	Public Charity	5,000
Lincoln Center	165 W 65th St New York, NY	Public Charity	1,000
Lower Ecology Center	PO Box 20488 New York, NY 10009	Public Charity	10,000
Mahaiwe Performing Arts	14 Castle Street. Great Barrington, MA 01230	Public Charity	10,000
Miracle House	80 Eighth Avenue, Suite 315 New York, NY 10011	Public Charity	10,000
MOMA	11 West 53rd Street. New York, NY 10019	Public Charity	1,000
NY Restoration	254 West 31st Street, 10th Floor New York, NY 10001	Public Charity	10,000
NY Sunworks	307 Seventh Avenue, Suite 1201 New York, NY 10001	Public Charity	5,000
NYC Ballet	David Koch Theater 20 Lincoln Center New York, NY 10023	Public Charity	5,000
NYCT	909 third Avenue New York, NY 10022	Public Charity	25,000
NYS Prevention Child Cruelty	161 William Street 9th Floor New York, NY 10038	Public Charity	1,000
Orion	187 Main Street Great Barrington, MA 01230	Public Charity	1,000
Project Native	342 North Plain Road. Housatonic, MA 01236	Public Charity	6,500
Project Understanding	100 Crossways Park West, Suite 107 Woodbury, NY 11797	Public Charity	1,000
Queens Botanical Gardens	43-50 Main Street Flushing, NY 11355	Public Charity	10,000
Railroad Street Youth Project	PO Box 698, Gt Barrington Beach, MA 01230	Public Charity	2,500
Railroad Street Youth Project	PO Box 698, Gt Barrington Beach, MA 01231	Public Charity	100
Riverbrook Residences	4 Ice Glen Road Stockbridge, MA 01262	Public Charity	2,000
Shakespeare & co	70 Kemble Street. Lenox, MA 01240-2813	Public Charity	25,000
St Judes	14 Penn Plaza, Ste. 1615 New York, NY 10122	Public Charity	10,000
Swim Across America(memorial sloan kettering)	One International Place, Suite 4600 Boston, MA 02110	Public Charity	500
The Jewish Museum	1109 5th Avenue New York, NY 10128	Public Charity	1,500
The NY Botanical Garden	200th Street Southern Blvd, Bronx, NY 10458	Public Charity	10,000
Uprose	166A 22nd Street, Brooklyn, NY 11232	Public Charity	5,000
VIM	777 Main Street, Suite 4 Great Barrington, MA 01230-2224	Public Charity	7,500
WNET	450 West 33rd Street New York, NY 10001-2605	Public Charity	50,000
			<u>\$ 445,235</u>

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. DR. ROBERT C. & TINA SOHN FOUNDATION C/O NORMAN LEBEN, THE ROSS COMPANIES	Employer identification number (EIN) or ☒ 99-0306576
	Number, street, and room or suite no. If a P.O. box, see instructions. 1270 AVENUE OF THE AMERICAS, NO. 20 FL.	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10020	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

C/O NORMAN LEBEN/THE ROSS COMPANIES - 1270 AVENUE OF THE

- The books are in the care of ► **AMERICAS, 20TH FLOOR - NEW YORK, NY 10020**

Telephone No. ► **212-408-0590**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2011** or
► ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 10,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 10,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2012)