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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation THE SHAW (U.S.) FOUNDATION		A Employer identification number 99-0291105
Number and street (or P O box number if mail is not delivered to street address) C/O DELOITTE TAX LLP 1132 BISHOP STREET	Room/suite 1200	B Telephone number (see instructions) (808) 543-0700
City or town, state, and ZIP code HONOLULU, HI 96813-2870		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 22,315,670.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10,642.	10,642.		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	1,443,459.	1,443,459.		ATCH 1	
12 Total. Add lines 1 through 11	1,454,101.	1,454,101.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	6,997.			6,997.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) **	29,082.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	2,206.			2,206.
	24 Total operating and administrative expenses. Add lines 13 through 23	38,285.			9,203.
	25 Contributions, gifts, grants paid	3,213,000.			3,213,000.
26 Total expenses and disbursements. Add lines 24 and 25	3,251,285.			3,222,203.	
27 Subtract line 26 from line 12	-1,797,184.				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		1,454,101.			
c Adjusted net income (if negative, enter -0-)					

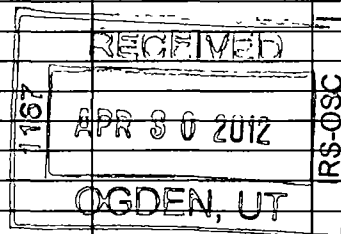
For Paperwork Reduction Act Notice, see instructions.

JSA ** ATCH 3

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	3,715,892.	1,811,754.	1,811,754.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 5	1,000.	1,000.	20,500,000.		
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ ATCH 6)	2,011.	3,916.	3,916.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,718,903.	1,816,670.	22,315,670.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 7)	851,378.	746,329.		
23	Total liabilities (add lines 17 through 22)	851,378.	746,329.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	2,867,525.	1,070,341.	ATCH 8	
	30	Total net assets or fund balances (see instructions)	2,867,525.	1,070,341.		
31	Total liabilities and net assets/fund balances (see instructions)	3,718,903.	1,816,670.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,867,525.
2	Enter amount from Part I, line 27a	2	-1,797,184.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,070,341.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,070,341.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	6,211,517.	22,700,019.	0.273635
2009	19,124,024.	32,680,564.	0.585180
2008	6,052,760.	42,553,539.	0.142239
2007	3,222,949.	41,879,348.	0.076958
2006	1,926,119.	31,769,901.	0.060627

2 Total of line 1, column (d)	2	1.138639
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.227728
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	22,742,386.
5 Multiply line 4 by line 3	5	5,179,078.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,541.
7 Add lines 5 and 6	7	5,193,619.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	3,222,203.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	29,082.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	29,082.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	30,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	30,000.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	918.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax 918. Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **N/A**

14 The books are in care of **COLLIERS MONROE FRIEDLANDER** Telephone no **808-521-2611**
 Located at **220 S. KING ST #1800 HONOLULU HI** ZIP + 4 **96813**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year **15**

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **16** Yes ☒ No ☐
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country **HONG KONG**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> 1b		
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/> 1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> 2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) <input type="checkbox"/> 3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> 4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> 4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

5b

6b

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10 & 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a
b	Average of monthly cash balances	1b 2,584,801.
c	Fair market value of all other assets (see instructions)	1c 20,503,916.
d	Total (add lines 1a, b, and c)	1d 23,088,717.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 23,088,717.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4 346,331.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 22,742,386.
6	Minimum investment return. Enter 5% of line 5	6 1,137,119.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1 1,137,119.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 29,082.
b	Income tax for 2011. (This does not include the tax from Part VI)	2b
c	Add lines 2a and 2b	2c 29,082.
3	Distributable amount before adjustments Subtract line 2c from line 1	3 1,108,037.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 1,108,037.
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7 1,108,037.

Part XII Qualifying Distributions(see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 3,222,203.
b	Program-related investments - total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 3,222,203.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5 0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 3,222,203.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				1,108,037.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006	367,002.			
b From 2007	1,176,974.			
c From 2008	4,253,801.			
d From 2009	17,518,070.			
e From 2010	5,105,112.			
f Total of lines 3a through e	28,420,959.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$	3,222,203.			
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				1,108,037.
e Remaining amount distributed out of corpus	2,114,166.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,535,125.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	367,002.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	30,168,123.			
10 Analysis of line 9				
a Excess from 2007	1,176,974.			
b Excess from 2008	4,253,801.			
c Excess from 2009	17,518,070.			
d Excess from 2010	5,105,112.			
e Excess from 2011	2,114,166.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATTACHMENT 9				
Total			3a	3,213,000.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	10,642.	
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property .					
7	Other investment income			14	1,443,459.	
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)				1,454,101.	
13	Total. Add line 12, columns (b), (d), and (e)				13	1,454,101.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER DIVIDEND INCOME	1,443,459.	1,443,459.
TOTALS	<u>1,443,459.</u>	<u>1,443,459.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	6,997.			6,997.
TOTALS	<u>6,997.</u>			<u>6,997.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAX	29,082.
TOTALS	<u>29,082.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
SECRETARIAL FEE	2,115.	2,115.
BANK CHARGES	17.	17.
SUNDRY EXPENSES	74.	74.
TOTALS	<u>2,206.</u>	<u>2,206.</u>

ATTACHMENT 5FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SHAW FDN PROPERTIES CORP	1,000.	1,000.	20,500,000.
TOTALS	<u>1,000.</u>	<u>1,000.</u>	<u>20,500,000.</u>

ATTACHMENT 6FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INTEREST RECEIVABLE	1,405.	3,094.	3,094.
EXCISE TAX	606.	822.	822.
TOTALS	<u>2,011.</u>	<u>3,916.</u>	<u>3,916.</u>

THE SHAW (U.S.) FOUNDATION

99-0291105

ATTACHMENT 7FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DUE TO SHAW FOUND PROP CORP	851,378.	746,329.
TOTALS	<u>851,378.</u>	<u>746,329.</u>

FORM 990PF, PART II - OTHER FUNDSATTACHMENT 8

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
DONATION FUND	2,867,525.	1,070,341.
TOTALS	<u>2,867,525.</u>	<u>1,070,341.</u>

FORM 990PF, PART XV -- GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
TAIYUAN INSTITUTE OF TECHNOLOGY CHINA SEE ATTACHMENT 9A	NONE	EDUCATION ORGANIZATION	OPERATING FUNDS	642,600
LANZHOU UNIVERSITY OF TECHNOLOGY CHINA SEE ATTACHMENT 9B	NONE	EDUCATION ORGANIZATION	OPERATING FUNDS	642,600.
JILIN UNIVERSITY OF FINANCE & ECONOMICS CHINA SEE ATTACHMENT 9C	NONE	EDUCATION ORGANIZATION	OPERATING FUNDS	642,600.
LUDONG UNIVERSITY CHINA SEE ATTACHMENT 9D	NONE	EDUCATION ORGANIZATION	OPERATING FUNDS	642,600.
CHANGZHOU UNIVERSITY CHINA SEE ATTACHMENT 9E	NONE	EDUCATION ORGANIZATION	OPERATING FUNDS	642,600.

TOTAL CONTRIBUTIONS PAID

3,213,000

公 证 书

中华人民共和国山西省太原市城北公证处

AFFIDAVIT

..... , being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in,
and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animals

purposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

- (X) is a public school, or
- () is a private school,

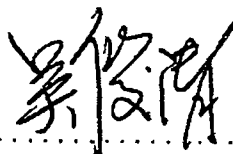
that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.



.....
The President
Taiyuan Institute of Technology

Subscribed and sworn to before me

this day of, 2011.

Notary Public

My commission expires:

公 证 书

(2012) 并北民证字第 0387 号

申请人：吴俊清，男，一九六五年六月三日出生，公民身份
号码：14010819650603553X，住址：山西省太原市尖草坪区学院
路怡丁苑 6 楼 3 单元 4 号。

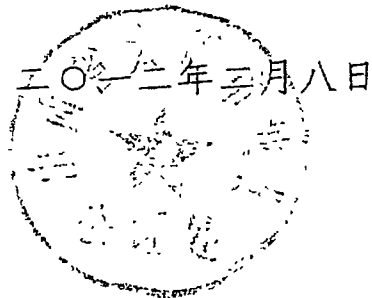
公证事项：签名

兹证明吴俊清于二〇一二年二月八日来到我处，在本公证员
的面前，在前面的《AFFIDAVIT》上签名。

中华人民共和国山西省太原市城北公证处

公证员

杨 斌



公 证 书

lanzhou

中 华 人 民 共 和 国 甘 肃 省
兰 州 市 国 信 公 证 处

AFFIDAVIT

Wang Ximing

....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in,
and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable; or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:
-

11. The grantee organisation (check one):

- (X) is a public school, or
- () is a private school,

that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.

Wang Xiaoming

.....
The President
Lanzhou University of Technology

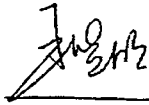
Subscribed and sworn to before me

this 31 day of Dec, 2011.

Notary Public

My commission expires:

宣誓书



，首次郑重宣誓：

1. 我是该受让人组织的校长。
2. 该受让人组织是由中国政府于 1919 年创立，据中国法律相关规定，其专门适用于以下目的：（选择适用的选项）
 - ☐ 慈善
 - ☐ 宗教
 - ☐ 科学
 - ☐ 文学
 - ☒ 教育
 - ☐ 促进国家或国际业余体育竞赛
 - ☐ 禁止虐待儿童和动物
3. 该受让人组织主要涉及学术，文化以及学生课外活动方面，还将包括在此基础上发展起来的任何活动。
4. 已附上该组织许可证，章程细则以及其建立依据的相关文件复印件。
5. 该组织的相关适用法律条例规定：绝对不允许以个人或非慈善机构的名义分配组织所有的收入或资产，除非用于实施该组织的慈善活动、作为对所提供服务的合理支付以及对该组织所购买物品的合理市场价的支付。
6. 该受让人组织没有任何一名对此组织的收入或资产持有优先权的股东或成员。
7. 据相关适用法律条例或管理文书规定，如果该受让人组织处于清算或解散时期，其所有的资产将转让于另一个以慈善、宗教、科学、文学或教育为目的的非营利性机构或政府机构，并附上一份对管理组织资产清算分配的相关法律法规或管理文书中相关条例的复印件。
8. 该受让人组织的相关适用法律条例规定：绝对不允许该组织：
 - (a) 从事任何不以宗教、慈善、科学、文学或教育为目的的活动。
 - (b) 企图通过任何肆意宣扬或其它手段来破坏法律。除非作为活动中非实体部分。
9. 该受让人组织的相关适用法律条例规定：绝不允许该组织代表或反对任何政府部门议员直接参与或涉入任何政治活动。
10. 该受让人组织不受任何其它组织管理或与它们联营，除了以下机构。

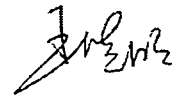
11. 该受让人组织（选一项）

- (X) 是一所公立学校，或
() 是一所私立学校，

它使在校各民族学生享有同等权利、特权、课程以及活动，在基于种族无歧视的原则下执行教育政策、入学政策、奖学金和贷款项目，体育以及学校组织的各种活动项目。我们力求遵循的指导方针如下所示 (a-f)：

- (a) 该学校的章程、校规、其它管理措施、管理决议以及学校的相关声明都对学生不含任何歧视。
- (b) 该学校在学生入学、课程、奖学金以及预申请入校学生的宣传手册和目录中都包含对学生种族无歧视的声明。
- (c) 该学校使各团体的各部门熟知对学生种族无歧视的政策。
- (d) 该学校声明其所有课程和设备都以种族无歧视方式运行。
- (e) 该学校基于种族无歧视政策向学生提供奖学金或类似荣誉。
- (f) 学校基于种族无歧视政策雇佣教职工和管理层人员。

宣誓人宣誓完毕。



兰州理工大学校长

递交并宣誓于

2011 年 12 月 31 日

公 证 人：_____

职务任期：_____

公 证 书

(2012)兰国信公内字第 0143 号

申请人： 兰州理工大学，地址： 甘肃省兰州市七里河区兰工坪路 287 号。

法定代表人：王 晓 明，男，公民身份号码：620105195411061015。

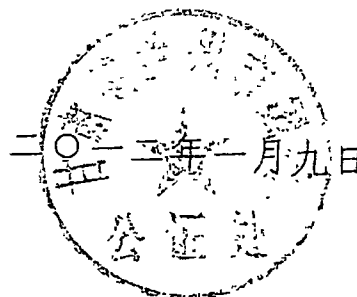
公证事项： 签名

兹证明兰州理工大学法定代表人王 晓 明于二〇一一年十二月三十一日在兰州理工大学八号行政办公楼 305 室，在本公证员及公证员助理李楠的面前，在前面英文的《AFFIDAVIT》上签名。

中华人民共和国甘肃省兰州市国信公证处

公证员

張旭春



公 证 书

中华人民共和国
吉林省长春市信维公证处

AFFIDAVIT

..... , being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in, and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

Attachment 9C

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

- (X) is a public school, or
- () is a private school,

that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

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- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not

金碩

.....
The President
Jilin University of Finance and Economics

Subscribed and sworn to before me

this day of Jan. 6, 2012

Notary Public

My commission expires:

公 证 书

(2012) 吉长信维证字第 279 号

申请人：吉林财经大学，住所：吉林省长春市净月大街 3699 号。

法定代表人：宋冬林

授权代表人：金硕，男，一九五四年二月二十日出生，
公民身份号码：220104195402201337。

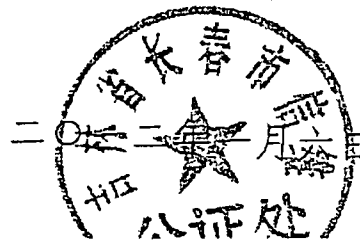
公证事项：签名

兹证明金硕于二〇一二年一月六日来到我处，在我的面前，在前面的英文宣誓书上签名。

中华人民共和国吉林省长春市信维公证处

公证员：

张庆华



1107931723

Attachment 9C

NOTARIAL CERTIFICATE

(Translation)

(2012) J C X W Z Zi, No.279

Applicant: Jilin University of Finance and Economics, Address:
No.3699, Jingyue Street, Changchun City, Jilin Province.

Legal Representative: Song Donglin

Accredited Representative: Jin Shuo, male, was born on
February 20, 1954, ID Card No.: 220104195402201337.

Notarized Matter: SIGNATURE

This is to certify that Jin Shuo came to my office on January
6, 2012 and affixed his signature in my presence on the
AFFIDAVIT attached hereto.

Notary: Zhang Yingzi

Xinwei Notary Public Office of

Changchun City, Jilin Province

The People' s Republic of China

January. 6, 2012

1107931725

Attachment 9C

公 证 书

中华人民共和国山东省烟台市莱山公证处

AFFIDAVIT

..... , being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in, and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,

(a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or

(b) to attempt to influence legislation, by propaganda or otherwise.

9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.

10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

(X) is a public school, or

() is a private school,

that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

(a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;

(b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;

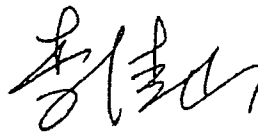
(c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;

(d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not.



.....
The President
Ludong University

Subscribed and sworn to before me

this 31 day of December, 2011.

Notary Public

My commission expires:

公 证 书

(2011) 烟莱山证港字第 4 号

申请人：鲁东大学，住所：山东省烟台市芝罘区红旗中路 184 号，事业单位法人证书编号：事证第 137000000568 号。

法定代表人：李清山，男，一九五六年五月二十九日出生，公民身份号码：370881195605291110。

公证事项：签名

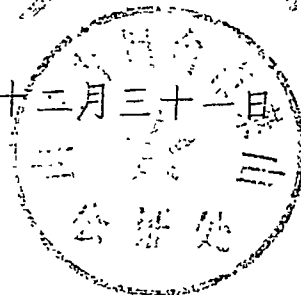
兹证明李清山于二〇一一年十二月三十一日在鲁东大学校长办公室，在本公证员的面前，在前面的《宣誓书》(AFFIDAVIT) 上签名。

中华人民共和国山东省烟台市莱山公证处

公证员

尹军

二〇一一年十二月三十一日



1102864908

Attachment 9D

公 证 书

中华人民共和国江苏省常州市常州公证处

AFFIDAVIT

....., being first duly sworn on oath, deposes and says:

1. I am the President of the grantee organisation.
2. The grantee organisation was created by the Chinese Government in,
and is operated exclusively for (check applicable boxes):
 - () charitable
 - () religious
 - () scientific
 - () literary
 - (X) educational
 - () fostering national or international amateur sports competition, or
 - () prevention of cruelty to children or animalspurposes under the laws of China.
3. The activities of the grantee organisation have included academic, cultural and extracurricular activities for students and will include activities to be developed along the same line.
4. Copies of the charter, bylaws, and other documents pursuant to which the grantee organisation is governed are attached.
5. The laws and customs applicable to the grantee organisation do not permit any of its income or assets to be distributed to, or applied for the benefit of, a private person or non-charitable organisation other than pursuant to the conduct of the grantee organisation's charitable activities, or as payment of reasonable compensation for services rendered or as payment representing the fair market value of property which the grantee organisation has purchased.
6. The grantee organisation has no shareholders or members who have a proprietary interest in the income or assets of the organisation.
7. In the event that the grantee organisation were to be liquidated or dissolved, under the laws and customs applicable, or under the governing instruments, all its assets would be distributed to another not-for-profit organisation for charitable, religious, scientific, literary, or educational purposes, or to a government instrumentality. A copy of the relevant statutory law or provisions in the governing instruments controlling the distribution of the organisation's assets on liquidation is attached.

8. The laws and customs applicable to the grantee organisation do not permit the organisation, other than as an insubstantial part of its activities,
- (a) to engage in activities that are not for religious, charitable, scientific, literary, or educational purposes; or
 - (b) to attempt to influence legislation, by propaganda or otherwise.
9. The laws and customs applicable to the grantee organisation do not permit the organisation directly to participate or intervene in any political campaign on behalf of, or in opposition to, any candidate for public office.
10. The grantee organisation is not controlled by or operated in connection with any organisation other than as follows:

.....

11. The grantee organisation (check one):

- (X) is a public school, or
- () is a private school,

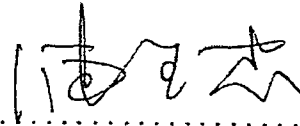
that admits the students of any race to all the rights, privileges, programs, and activities generally accorded or made available to students at school, and that does not discriminate on the basis of race in administration of its educational policies, admissions policies, scholarship and loan programs, and athletic and other school-administered programs. We meet the guidelines described in subparagraphs (a) through (f) as follows:-

- (a) The school's charter, bylaws, or other governing instruction, or a resolution of its governing body, included a statement that the school has a racially non-discriminatory policy as to students;
- (b) The school includes a statement of its racially non-discriminatory policy as to students in its brochures and catalogues dealing with student admissions, programs, and scholarships, and in its advertising to prospective students;
- (c) The school makes its racially non-discriminatory policy known to all segments of the general community served by the school;
- (d) The school is able to demonstrate that all of its programs and facilities are operated

in a racially non-discriminatory manner;

- (e) The school offers scholarship or other comparable benefits on a racially non-discriminatory basis;
- (f) The school does not racially discriminate in employment of faculty and administrative staff.

Further affiant sayeth not



.....
The President
Changzhou University

Subscribed and sworn to before me

this ...2... day of ...1..., 2011.

Notary Public

My commission expires:

姓名 浦玉忠

性别 男 民族 汉

出生 1962 年 1 月 28 日

住址 江苏省苏州市虎丘区滨河
路1701号



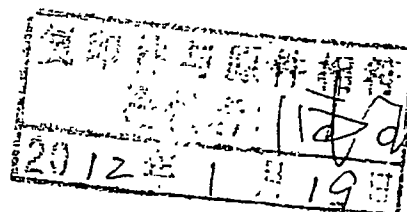
公民身份号码 320106196201281212



中华人民共和国 居民身份证

签发机关 苏州市公安局虎丘分局

有效期限 2006 07.23-2026 07.23



公 证 书

(2012)常常证民港字第1号

申请人：常州大学，住所：江苏省常州市武进区滆湖路1号

法定代表人：浦玉忠，男，一九六二年一月二十八日出生，
公民身份号码：320106196201281212

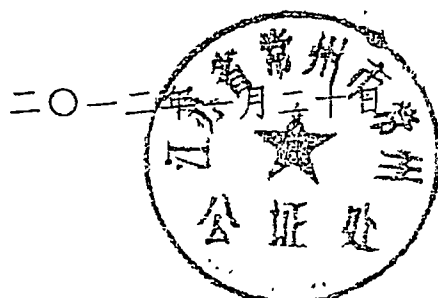
公证事项：签名

兹证明常州大学的法定代表人浦玉忠于二〇一二年一月十九日来到我处，在本公证员的面前确认，前面的《AFFIDAVIT》英文本上签名是其本人所为。

中华人民共和国江苏省常州市常州公证处

公证员

李强国



I | 18103301

Attachment 9E

THE SHAW (U.S.) FOUNDATION
SHAW FOUNDATION PROPERTIES CORPORATION

DIRECTORS

<u>NAMES</u>	<u>ADDRESS</u>
Ms. Mona Fong	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Meage	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Venus	Flat H, 47/F, Block 2 Chung Wa Road East Point City Tseung Kwan O Kowloon, Hong Kong
Ms. Li Kit Yee Jenny	6B Twin Bay Villas Clearwater Bay Road Kowloon, Hong Kong

The above officers and directors are unpaid, part-time volunteers. As such, they do not receive any compensation, contributions to employee benefit plans, or expense account allowances.

THE SHAW (U.S.) FOUNDATION
SHAW FOUNDATION PROPERTIES CORPORATION

OFFICERS

<u>NAMES</u>	<u>DESIGNATION</u>	<u>ADDRESS</u>
Ms. Mona Fong	President	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Ms. Choy Meage	Vice President	Lot 230, Ah Kung Wan Clearwater Bay Road Kowloon, Hong Kong
Mr. Roger Epstein Cades Schutte LLP	Secretary	1000 Bishop Street Honolulu, HI 96813
Mr. Jerry Rajakulendran	Treasurer	Lot 220, Clearwater Bay Road Kowloon, Hong Kong

The above officers and directors are unpaid, part-time volunteers. As such, they do not receive any compensation, contributions to employee benefit plans, or expense account allowances.