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Form 990-PF

Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                           |                                                                                                                                           |                                                                                                                                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>THE NORTHROP GRUMMAN FOUNDATION</b>                                                                                                                                                                                                                                                              |                                                                                                                                           | A Employer identification number<br><b>95-6095343</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>2980 FAIRVIEW PARK DRIVE</b>                                                                                                                                                                                                      | Room/suite                                                                                                                                | B Telephone number<br><b>310-210-3389</b>                                                                                                                                    |
| City or town, state, and ZIP code<br><b>FALLS CHURCH, VA 22042</b>                                                                                                                                                                                                                                                        |                                                                                                                                           | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input checked="" type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                           | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                                          |                                                                                                                                           | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 26,971,837.</b> (Part I, column (d) must be on cash basis.)                                                                                                                                                                   | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                     | 10,000,000.                        |                           | N/A                     |                                                             |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B                                                                 |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                               | 121,230.                           | 121,230.                  |                         | STATEMENT 1                                                 |
| 4 Dividends and interest from securities                                                                                                           | 349,083.                           | 349,083.                  |                         | STATEMENT 2                                                 |
| 5a Gross rents                                                                                                                                     |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                      |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                           | 211,795.                           |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                      | 18,984,567.                        |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                   |                                    | 211,795.                  |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                      |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                             |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           | 9,317.                             | 9,317.                    |                         | STATEMENT 3                                                 |
| 11 Other income                                                                                                                                    | 10,691,425.                        | 691,425.                  |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                   | 0.                                 | 0.                        |                         | 0.                                                          |
| 13 Compensation of officers, directors, trustees, etc.                                                                                             |                                    |                           |                         |                                                             |
| 14 Other employee salaries and wages                                                                                                               |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                |                                    |                           |                         |                                                             |
| 16a Legal fees                                                                                                                                     |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                  | 163,514.                           | 163,514.                  |                         | 0.                                                          |
| c Other professional fees                                                                                                                          |                                    |                           |                         |                                                             |
| 17 Interest                                                                                                                                        | 20,500.                            | 0.                        |                         | 0.                                                          |
| 18 Taxes                                                                                                                                           |                                    |                           |                         |                                                             |
| 19 Depreciation and depletion                                                                                                                      |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                       |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                               |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                       |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                  | 720,260.                           | 0.                        |                         | 720,260.                                                    |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            | 904,274.                           | 163,514.                  |                         | 720,260.                                                    |
| 25 Contributions, gifts, grants paid                                                                                                               | 7,208,815.                         |                           |                         | 7,208,815.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           | 8,113,089.                         | 163,514.                  |                         | 7,929,075.                                                  |
| 27 Subtract line 26 from line 12                                                                                                                   | 2,578,336.                         |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                |                                    | 527,911.                  |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                    |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |                                    |                           | N/A                     |                                                             |

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LHA For Paperwork Reduction Act Notice, see instructions.

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| Part II Balance Sheets                                                  |                                                                                            | Beginning of year | End of year    |                |
|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-------------------|----------------|----------------|
|                                                                         |                                                                                            |                   | (a) Book Value | (b) Book Value |
| Assets                                                                  | 1 Cash - non-interest-bearing                                                              | 2,087,245.        | 10,431,821.    | 10,431,821.    |
|                                                                         | 2 Savings and temporary cash investments                                                   |                   |                |                |
|                                                                         | 3 Accounts receivable ▶<br>Less allowance for doubtful accounts ▶                          |                   |                |                |
|                                                                         | 4 Pledges receivable ▶<br>Less allowance for doubtful accounts ▶                           |                   |                |                |
|                                                                         | 5 Grants receivable                                                                        |                   |                |                |
|                                                                         | 6 Receivables due from officers, directors, trustees, and other disqualified persons       |                   |                |                |
|                                                                         | 7 Other notes and loans receivable ▶<br>Less allowance for doubtful accounts ▶             |                   |                |                |
|                                                                         | 8 Inventories for sale or use                                                              |                   |                |                |
|                                                                         | 9 Prepaid expenses and deferred charges                                                    |                   |                |                |
|                                                                         | 10a Investments - U S and state government obligations STMT 7                              | 8,049,395.        | 5,046,218.     | 5,136,468.     |
|                                                                         | b Investments - corporate stock STMT 8                                                     | 14,010,561.       | 11,167,352.    | 11,378,509.    |
|                                                                         | c Investments - corporate bonds                                                            |                   |                |                |
|                                                                         | 11 Investments - land, buildings, and equipment basis ▶<br>Less accumulated depreciation ▶ |                   |                |                |
|                                                                         | 12 Investments - mortgage loans                                                            |                   |                |                |
|                                                                         | 13 Investments - other STMT 9                                                              | 38,839.           | 24,343.        | 25,039.        |
| Liabilities                                                             | 14 Land, buildings, and equipment basis ▶<br>Less accumulated depreciation ▶               |                   |                |                |
|                                                                         | 15 Other assets (describe ▶)                                                               |                   |                |                |
|                                                                         | 16 Total assets (to be completed by all filers)                                            | 24,186,040.       | 26,669,734.    | 26,971,837.    |
|                                                                         | 17 Accounts payable and accrued expenses                                                   |                   |                |                |
|                                                                         | 18 Grants payable                                                                          |                   |                |                |
| Net Assets or Fund Balances                                             | 19 Deferred revenue                                                                        |                   |                |                |
|                                                                         | 20 Loans from officers, directors, trustees, and other disqualified persons                |                   |                |                |
|                                                                         | 21 Mortgages and other notes payable                                                       |                   |                |                |
|                                                                         | 22 Other liabilities (describe ▶)                                                          |                   |                |                |
|                                                                         | 23 Total liabilities (add lines 17 through 22)                                             | 0.                | 0.             |                |
| Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | 24 Unrestricted                                                                            |                   |                |                |
|                                                                         | 25 Temporarily restricted                                                                  |                   |                |                |
|                                                                         | 26 Permanently restricted                                                                  |                   |                |                |
|                                                                         | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>  |                   |                |                |
|                                                                         | 27 Capital stock, trust principal, or current funds                                        | 37,690,595.       | 37,690,595.    |                |
|                                                                         | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                           | 0.                | 0.             |                |
|                                                                         | 29 Retained earnings, accumulated income, endowment, or other funds                        | -13,504,555.      | -11,020,861.   |                |
|                                                                         | 30 Total net assets or fund balances                                                       | 24,186,040.       | 26,669,734.    |                |
| Total                                                                   | 31 Total liabilities and net assets/fund balances                                          | 24,186,040.       | 26,669,734.    |                |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                               |   |             |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 24,186,040. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 2,578,336.  |
| 3 | Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS                                                                             | 3 | 71,295.     |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 26,835,671. |
| 5 | Decreases not included in line 2 (itemize) ▶ TAX COST ADJUSTMENTS                                                                                             | 5 | 165,937.    |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 26,669,734. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr) | (d) Date sold<br>(mo, day, yr) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|------------------------------------|--------------------------------|
| <b>1a SEE SCHEDULES ATTACHED TO FORM 990-PF</b>                                                                                   | <b>P</b>                                         | <b>VARIOUS</b>                     | <b>VARIOUS</b>                 |
| <b>b</b>                                                                                                                          |                                                  |                                    |                                |
| <b>c</b>                                                                                                                          |                                                  |                                    |                                |
| <b>d</b>                                                                                                                          |                                                  |                                    |                                |
| <b>e</b>                                                                                                                          |                                                  |                                    |                                |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a 18,984,567.</b>  |                                            | <b>18,772,772.</b>                              | <b>211,795.</b>                              |
| <b>b</b>              |                                            |                                                 |                                              |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (i) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|----------------------------------------------------------------------------------------------|
| (l) FMV as of 12/31/69                                                                      | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                              |
| <b>a</b>                                                                                    |                                      |                                               | <b>211,795.</b>                                                                              |
| <b>b</b>                                                                                    |                                      |                                               |                                                                                              |
| <b>c</b>                                                                                    |                                      |                                               |                                                                                              |
| <b>d</b>                                                                                    |                                      |                                               |                                                                                              |
| <b>e</b>                                                                                    |                                      |                                               |                                                                                              |

|                                                                                       |                                                                                                                             |          |                 |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|----------|-----------------|
| <b>2 Capital gain net income or (net capital loss)</b>                                | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>             | <b>2</b> | <b>211,795.</b> |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> | <div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div> | <b>3</b> | <b>N/A</b>      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col (b) divided by col (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|--------------------------------------------------------|
| 2010                                                              | 4,463,275.                            | 16,163,713.                               | .276129                                                |
| 2009                                                              | 4,278,551.                            | 17,030,587.                               | .251227                                                |
| 2008                                                              | 6,001,438.                            | 25,818,199.                               | .232450                                                |
| 2007                                                              | 6,586,366.                            | 34,264,554.                               | .192221                                                |
| 2006                                                              | 5,921,016.                            | 36,699,142.                               | .161339                                                |

|                                                                                                                                                                                       |          |                    |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------|
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                  | <b>2</b> | <b>1.113366</b>    |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> | <b>3</b> | <b>.222673</b>     |
| <b>4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5</b>                                                                                                 | <b>4</b> | <b>20,793,923.</b> |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                    | <b>5</b> | <b>4,630,245.</b>  |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                   | <b>6</b> | <b>5,279.</b>      |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                            | <b>7</b> | <b>4,635,524.</b>  |
| <b>8 Enter qualifying distributions from Part XII, line 4</b>                                                                                                                         | <b>8</b> | <b>7,929,075.</b>  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                      |    |         |        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions) |    | 1       | 5,279. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                         |    |         |        |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                             |    |         |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 2       | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                  |    | 3       | 5,279. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                        |    | 4       | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                            |    | 5       | 5,279. |
| 6 Credits/Payments                                                                                                                                                                                                                   |    |         |        |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011                                                                                                                                                                  | 6a | 40,351. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                              | 6b |         |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                | 6c |         |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                            | 6d |         |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                | 7  | 40,351. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                  | 8  |         |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                      | 9  |         |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                         | 10 | 35,072. |        |
| 11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 35,072. Refunded <input type="checkbox"/>                                                                                    | 11 | 0.      |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                           | Yes | No |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                   |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.                                                                                              |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                          |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                           |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                              |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                            |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                        |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                     |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?      | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                   | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA                                                                                                                                                                                              |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                             | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                    |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                     |     | X  |

N/A

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**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                |    |     |         |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                        | 11 |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                       | 12 |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>WWW.NORTHGRUM.COM</b>                                                                                                                                                                | 13 | X   |         |
| 14 | The books are in care of <b>REYNA GAAR, ADMINISTRATOR</b> Telephone no <b>2980 FAIRVIEW PARK DRIVE, FALLS CHURCH, VA</b><br>Located at <b>2980 FAIRVIEW PARK DRIVE, FALLS CHURCH, VA</b> ZIP+4 <b>22012</b>                                                                                                                    |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                            | 15 | N/A |         |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | Yes                          | No                                     |
|-----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|
| 1a  | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                              |                              |                                        |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                      | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                      | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A                          |                                        |
| 1b  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                         |                              | X                                      |
| 1c  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |                              |                                        |
| a   | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?<br>If "Yes," list the years                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                        | N/A                          |                                        |
| 2b  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                      | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b   | If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) | N/A                          |                                        |
| 3b  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                              |                                        |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                 |                              | X                                      |
| 4b  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                               |                              | X                                      |

Form 990-PF (2011)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 10     |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000   | (b) Type of service               | (c) Compensation |
|---------------------------------------------------------------|-----------------------------------|------------------|
| BANK OF AMERICA<br>515 S FLOWER STREET, LOS ANGELES, CA 90071 | INVESTMENT<br>MANAGEMENT&ADVISORS | 163,514.         |
|                                                               |                                   |                  |
|                                                               |                                   |                  |
|                                                               |                                   |                  |
|                                                               |                                   |                  |
|                                                               |                                   |                  |
|                                                               |                                   |                  |
|                                                               |                                   |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 WEIGHTLESS FLIGHTS OF DISCOVERY PROGRAM:<br>SEE STATEMENT 15 FOR DETAILS AND HIGHLIGHTS OF THE PROGRAM<br>FOR 2011                                                                                                                                  | 720,000. |
| 2                                                                                                                                                                                                                                                     |          |
| 3                                                                                                                                                                                                                                                     |          |
| 4                                                                                                                                                                                                                                                     |          |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                            |        |
| 2                                                                                                                |        |
| 3 All other program-related investments See instructions                                                         |        |
| <b>Total.</b> Add lines 1 through 3                                                                              | 0.     |

Form 990-PF (2011)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                            |    |             |
|---|------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |    |             |
| a | Average monthly fair market value of securities                                                            | 1a | 19,349,266. |
| b | Average of monthly cash balances                                                                           | 1b | 1,761,316.  |
| c | Fair market value of all other assets                                                                      | 1c |             |
| d | Total (add lines 1a, b, and c)                                                                             | 1d | 21,110,582. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                       | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                               | 3  | 21,110,582. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 316,659.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4        | 5  | 20,793,923. |
| 6 | Minimum investment return. Enter 5% of line 5                                                              | 6  | 1,039,696.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

|    |                                                                                                  |    |            |
|----|--------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                    | 1  | 1,039,696. |
| 2a | Tax on investment income for 2011 from Part VI, line 5                                           | 2a | 5,279.     |
| b  | Income tax for 2011 (This does not include the tax from Part VI)                                 | 2b |            |
| c  | Add lines 2a and 2b                                                                              | 2c | 5,279.     |
| 3  | Distributable amount before adjustments Subtract line 2c from line 1                             | 3  | 1,034,417. |
| 4  | Recoveries of amounts treated as qualifying distributions                                        | 4  | 7,480.     |
| 5  | Add lines 3 and 4                                                                                | 5  | 1,041,897. |
| 6  | Deduction from distributable amount (see instructions)                                           | 6  | 0.         |
| 7  | Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7  | 1,041,897. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                  |    |            |
|---|----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                        |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                    | 1a | 7,929,075. |
| b | Program-related investments - total from Part IX-B                                                                               | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                        | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                   | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                            | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 7,929,075. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5  | 5,279.     |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                   | 6  | 7,923,796. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7                                                                                                                       |               |                            |             | 1,041,897.  |
| 2 Undistributed income, if any, as of the end of 2011                                                                                                                      |               |                            | 0.          |             |
| a Enter amount for 2010 only                                                                                                                                               |               |                            |             |             |
| b Total for prior years                                                                                                                                                    |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2011                                                                                                                          |               |                            |             |             |
| a From 2006                                                                                                                                                                | 4,071,083.    |                            |             |             |
| b From 2007                                                                                                                                                                | 4,833,955.    |                            |             |             |
| c From 2008                                                                                                                                                                | 4,732,466.    |                            |             |             |
| d From 2009                                                                                                                                                                | 3,439,816.    |                            |             |             |
| e From 2010                                                                                                                                                                | 3,693,053.    |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 20,770,373.   |                            |             |             |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 7,929,075.                                                                                                  |               |                            | 0.          |             |
| a Applied to 2010, but not more than line 2a                                                                                                                               |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2011 distributable amount                                                                                                                                     |               |                            |             | 1,041,897.  |
| e Remaining amount distributed out of corpus                                                                                                                               | 6,887,178.    |                            |             |             |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   | 27,657,551.   |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          |               |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b                                                                                                          |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions                                                                                                          |               | 0.                         |             |             |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr                                                                                   |               |                            | 0.          |             |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012                                                                |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7                                                                                                 | 4,071,083.    |                            |             |             |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a                                                                                              | 23,586,468.   |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| a Excess from 2007                                                                                                                                                         | 4,833,955.    |                            |             |             |
| b Excess from 2008                                                                                                                                                         | 4,732,466.    |                            |             |             |
| c Excess from 2009                                                                                                                                                         | 3,439,816.    |                            |             |             |
| d Excess from 2010                                                                                                                                                         | 3,693,053.    |                            |             |             |
| e Excess from 2011                                                                                                                                                         | 6,887,178.    |                            |             |             |

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution             | Amount     |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------|
| <b>a Paid during the year</b>                    |                                                                                                                    |                                      |                                                 |            |
| SEE STATEMENT 14                                 | NONE                                                                                                               | IRC 501(C)(3)                        | GENERAL OPERATING<br>GRANTS & MATCHING<br>GIFTS | 7,208,815. |
|                                                  |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3a</b>                                       | 7,208,815. |
| <b>b Approved for future payment</b>             |                                                                                                                    |                                      |                                                 |            |
| NONE                                             |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
|                                                  |                                                                                                                    |                                      |                                                 |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3b</b>                                       | 0.         |



**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

|          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of                                                                                                                                                                                                                                                                                                            |     |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>b</b> | Other transactions                                                                                                                                                                                                                                                                                                                                                                           |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b If "Yes," complete the following schedule**

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

|                                                                |                                                                                                                                                                                                                                                                                                                        |  |                                                    |  |                                                                                                                                                   |  |
|----------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------|--|---------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Sign Here</b>                                               | Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. |  |                                                    |  | May the IRS discuss this return with the preparer shown below (see instr)?<br><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |  |
|                                                                | Signature of officer or trustee <i>[Signature]</i>                                                                                                                                                                                                                                                                     |  | Date <i>9-19-12</i>                                |  | Title <b>PRESIDENT</b>                                                                                                                            |  |
| <b>Paid Preparer Use Only</b>                                  | Print/Type preparer's name<br><b>GARRETT HIGGINS</b>                                                                                                                                                                                                                                                                   |  | Preparer's signature <i>[Signature]</i> <b>CPA</b> |  | Date <i>9-11-12</i>                                                                                                                               |  |
|                                                                | Check <input type="checkbox"/> if self-employed                                                                                                                                                                                                                                                                        |  | PTIN <b>P00543209</b>                              |  | Firm's name <b>O'CONNOR DAVIES MUNNS &amp; DOBBINS, LLP</b>                                                                                       |  |
| Firm's address <b>500 MAMARONECK AVENUE HARRISON, NY 10528</b> |                                                                                                                                                                                                                                                                                                                        |  | Firm's EIN <b>13-3385019</b>                       |  |                                                                                                                                                   |  |
| Phone no <b>(914) 381-8900</b>                                 |                                                                                                                                                                                                                                                                                                                        |  |                                                    |  |                                                                                                                                                   |  |

Form **990-PF** (2011)

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

Employer identification number

THE NORTHROP GRUMMAN FOUNDATION

95-6095343

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

THE NORTHROP GRUMMAN FOUNDATION

95-6095343

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                    | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                                  |
|------------|--------------------------------------------------------------------------------------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | NORTHROP GRUMMAN CORPORATION<br>1840 CENTURY PARK EAST<br>LOS ANGELES, CA 90067-2199 | \$ 10,000,000.             | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                                      |                            | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |

Name of organization

Employer identification number

THE NORTHROP GRUMMAN FOUNDATION

95-6095343

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a)<br>No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|------------------------------|----------------------------------------------|------------------------------------------------|----------------------|
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |
|                              |                                              | \$                                             |                      |

Name of organization

Employer identification number

THE NORTHROP GRUMMAN FOUNDATION

95-6095343

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-----------------|------------------------------------------|
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                                         | AMOUNT   |
|------------------------------------------------|----------|
| BANK OF AMERICA ACCT# 162061039965             | 121,230. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 121,230. |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                               | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|--------------------------------------|--------------|----------------------------|----------------------|
| BANK OF AMERICA ACCT#<br>62061039965 | 349,083.     | 0.                         | 349,083.             |
| TOTAL TO FM 990-PF, PART I, LN 4     | 349,083.     | 0.                         | 349,083.             |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| OTHER INVESTMENT INCOME               | 9,317.                      | 9,317.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 9,317.                      | 9,317.                            |                               |

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT MANAGEMENT FEES   | 105,846.                     | 105,846.                          |                               | 0.                            |
| INVESTMENT ADVISORY FEES     | 57,668.                      | 57,668.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 163,514.                     | 163,514.                          |                               | 0.                            |

## FORM 990-PF

## TAXES

STATEMENT 5

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FEDERAL EXCISE TAX          | 20,500.                      | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 20,500.                      | 0.                                |                               | 0.                            |

## FORM 990-PF

## OTHER EXPENSES

STATEMENT 6

| DESCRIPTION                                                                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------------------------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ADMINISTRATIVE AND OTHER<br>WEIGHTLESS FLIGHTS OF<br>DISCOVERY-SEE PART IX-A | 260.<br>720,000.             | 0.<br>0.                          |                               | 260.<br>720,000.              |
| TO FORM 990-PF, PG 1, LN 23                                                  | 720,260.                     | 0.                                |                               | 720,260.                      |

## FORM 990-PF

## U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 7

| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| FIXED INCOME: SEE STATEMENT 13                   | X             |                | 5,046,218. | 5,136,468.           |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 5,046,218. | 5,136,468.           |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 5,046,218. | 5,136,468.           |

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

| DESCRIPTION                             | BOOK VALUE  | FAIR MARKET VALUE |
|-----------------------------------------|-------------|-------------------|
| EQUITIES: SEE STATEMENT 13              | 11,167,352. | 11,378,509.       |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 11,167,352. | 11,378,509.       |

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

| DESCRIPTION                               | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|-------------------------------------------|------------------|------------|-------------------|
| ALTERNATIVE INVESTMENTS: SEE STATEMENT 13 | COST             | 24,343.    | 25,039.           |
| TOTAL TO FORM 990-PF, PART II, LINE 13    |                  | 24,343.    | 25,039.           |

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

| NAME AND ADDRESS                                                         | TITLE AND<br>AVRG HRS/WK      | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|--------------------------------------------------------------------------|-------------------------------|-------------------|------------------------------|--------------------|
| LANDRA EVERS-MANLY<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042 | PRESIDENT<br>1.00             | 0.                | 0.                           | 0.                 |
| SILVA THOMAS<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042       | TREASURER<br>1.00             | 0.                | 0.                           | 0.                 |
| CARLEEN BESTE<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042      | MANAGER AND SECRETARY<br>1.00 | 0.                | 0.                           | 0.                 |
| SHEILA CHESTON<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042     | BOARD MEMBER<br>1.00          | 0.                | 0.                           | 0.                 |
| FRANK FLORES<br>1840 CENTURY PARK AVENUE<br>LOS ANGELES, CA 90067        | BOARD MEMBER<br>1.00          | 0.                | 0.                           | 0.                 |
| MARRYL FRASER<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042      | BOARD MEMBER<br>1.00          | 0.                | 0.                           | 0.                 |
| DENISE PEPPARD<br>2980 FAIRVIEW PARK DRIVE<br>FALLS CHURCH, VA 22042     | BOARD MEMBER<br>1.00          | 0.                | 0.                           | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                             |                               | 0.                | 0.                           | 0.                 |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ONLINE SYSTEM ACCEPTS APPLICATIONS  
814 CENTURY PARK EAST, 131/CC  
LOS ANGELES, CA 90067

TELEPHONE NUMBER

888-478-5478

FORM AND CONTENT OF APPLICATIONS

EMPLOYEE MATCHING GIFT PROGRAM: LIST ORGANIZATION, AMOUNT REQUESTED,  
PURPOSE FOR WHICH FUNDS ARE BEING SOUGHT, AND PROOF OF TAX-EXEMPT STATUS.  
EMPLOYEES APPLY ONLINE AND ARE ELIGIBLE FOR TWO MATCHES OF UP TO \$1,000 IN  
TOTAL.

ANY SUBMISSION DEADLINES

NOVEMBER 30TH

RESTRICTIONS AND LIMITATIONS ON AWARDS

INELIGIBLE PARTICIPANTS INCLUDE: EMPLOYEES ON LEAVE OF ABSENCE, CONTACT  
EMPLOYEES, RETIRED EMPLOYEES, AND SPOUSES OR CHILDREN OF CURRENT EMPLOYEES.  
ALL RECIPIENTS OF AN EMPLOYEE MATCHING GIFT FOR EDUCATION GRANT MUST BE  
RECOGNIZED AS A TAX EXEMPT ORGANIZATION UNDER US IRC SECTION 501(C)(3).

02-005

## SETTLEMENT DATE

## ASSET SUMMARY

AS OF 12/31/11

ACCOUNT  
66-16-206-1039973

NORTHROP GRUMMAN FDN - COMBINED

PAGE 1

| TYPE OF ASSET                   | FEDERAL<br>TAX COST | MARKET % OF<br>VALUE ACCOUNT | ESTIMATED<br>ANNUAL INCOME | CURRENT<br>YIELD |
|---------------------------------|---------------------|------------------------------|----------------------------|------------------|
| CASH AND CASH EQUIVALENTS       |                     |                              |                            |                  |
| INCOME CASH                     | 0.00                | 0.00                         |                            |                  |
| PRINCIPAL CASH                  | 0.00                | 0.00                         |                            |                  |
| MONEY MARKET FUNDS              | 10,431,823.69       | 10,431,823.69                | 14,860.23                  | .1               |
| TOTAL CASH AND CASH EQUIVALENTS | 10,431,823.69       | 10,431,823.69                | 14,860.23                  | .1               |
| FIXED INCOME                    |                     |                              |                            |                  |
| GOVERNMENT AND AGENCY           | 2,716,683.49        | 2,771,234.21                 | 41,925.18                  | 1.5              |
| ASSET BACKED (GOVT & AGENCY)    | 623,329.01          | 648,441.96                   | 29,665.56                  | 4.6              |
| MUTUAL FUNDS-FIXED              | 1,231,411.47        | 1,236,784.48                 | 75,171.77                  | 6.1              |
| PREFERRED STOCK                 | 10,005.62           | 8,264.30                     | 135.85                     | 1.6              |
| OTHER FIXED INCOME              | 464,788.09          | 471,743.25                   | 35,442.73                  | 7.5              |
| TOTAL FIXED INCOME              | 5,046,217.68        | 5,136,468.20                 | 182,341.09                 | 3.6              |
| EQUITIES                        |                     |                              |                            |                  |
| CONSUMER DISCRETIONARY          | 708,414.35          | 809,277.39                   | 7,223.82                   | .9               |
| CONSUMER STAPLES                | 445,646.92          | 447,600.95                   | 9,530.85                   | 2.1              |
| ENERGY                          | 576,066.13          | 659,067.10                   | 9,020.89                   | 1.4              |
| FINANCIALS                      | 856,887.93          | 835,353.10                   | 14,076.47                  | 1.7              |
| HEALTH CARE                     | 963,593.04          | 1,068,093.93                 | 11,815.64                  | 1.1              |
| INDUSTRIALS                     | 616,764.90          | 658,266.64                   | 10,842.27                  | 1.6              |
| INFORMATION TECHNOLOGY          | 1,408,504.42        | 1,392,545.10                 | 7,790.14                   | .6               |
| MATERIALS                       | 180,815.83          | 186,273.46                   | 3,914.36                   | 2.1              |
| TELECOMMUNICATION SERVICES      | 159,053.85          | 172,717.44                   | 7,126.75                   | 4.1              |
| UTILITIES                       | 190,782.13          | 211,379.42                   | 10,753.33                  | 5.1              |
| MUTUAL FUNDS-EQUITY             | 4,304,949.94        | 4,262,792.11                 | 92,077.10                  | 2.2              |
| OTHER EQUITIES                  | 755,872.29          | 675,142.30                   | 14,378.36                  | 2.1              |
| TOTAL EQUITIES                  | 11,167,351.73       | 11,378,508.94                | 198,549.98                 | 1.7              |
| ALTERNATIVE INVESTMENTS         |                     |                              |                            |                  |
| REAL ESTATE                     | 24,343.38           | 25,038.80                    | 2,321.99                   | 9.3              |
| TOTAL ASSETS                    | 26,669,736.48       | 26,971,839.63                | 398,073.29                 | 1.5              |

Northrop Grumman Foundation  
Schedule of Grants Contributions Paid During the Year  
Tax Year Ended December 31, 2011

EIN 95-6095343

|                                                     | <u>Statement</u> | <u>Amount</u>    |
|-----------------------------------------------------|------------------|------------------|
| AHE Grants                                          | 14A              | 300,204          |
| Matching Gifts                                      | 14B              | 830,377          |
| USO                                                 | 14C              | 1,750,000        |
| Others                                              | 14C              | 3,451,500        |
| Disaster Relief                                     | 14D              | 876,734          |
| Total Grants and Contributions Paid During the Year |                  | <u>7,208,815</u> |

| PAYEE NAME                              | If recipient is an individual,<br>show any relationship to any<br>foundation manager or<br>substantial contributor | Foundation status<br>of Recipient | Purpose of Grant or Contribution         | NET PAYMENT          |
|-----------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------------------------|----------------------|
| UNITED NEGRO COLLEGE FUND               | None                                                                                                               | IRC 501(C)(3)                     | ANNUAL FUND                              | \$30,000 00          |
| NSBE                                    | None                                                                                                               | IRC 501(C)(3)                     | SCHOLARSHIPS                             | \$25,000 00          |
| UNIV OF NEW ORLEANS - SCHOOL OF NA&ME   | None                                                                                                               | IRC 501(C)(3)                     | UNO NAME STUDENT PROGRAM SUPPORT         | \$2,000 00           |
| CNU EDUCATION FDN                       | None                                                                                                               | IRC 501(C)(3)                     | DIVERSITY SCHOLARSHIP                    | \$2,000 00           |
| UNIVERSITY OF MICHIGAN                  | None                                                                                                               | IRC 501(C)(3)                     | NAVAL ENG INITIATIVE                     | \$2,000 00           |
| SWE                                     | None                                                                                                               | IRC 501(C)(3)                     | COLLEGIATE SCHOLARSHIPS                  | \$25,000 00          |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | NSBE                                     | \$1,000 00           |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | SHPE                                     | \$1,000 00           |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | SWE                                      | \$1,000 00           |
| UNIVERSITY OF CINCINNATI FOUNDATION     | None                                                                                                               | IRC 501(C)(3)                     |                                          | \$1,000 00           |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | ASSOCIATION OF COMPUTING MACHINERY       | \$1,000 00           |
| FAMU-FSU COLLEGE OF ENG                 | None                                                                                                               | IRC 501(C)(3)                     | SUPPORT OF ENGINEERING SOCIETIES         | \$2,000 00           |
| NC STATE ENG FOUNDATION INC             | None                                                                                                               | IRC 501(C)(3)                     | WIE - ESCAPE BRIDGE PROGRAM              | \$2,000 00           |
| HOWARD UNIVERSITY                       | None                                                                                                               | IRC 501(C)(3)                     | 2011-2012 NSBE AND SWE                   | \$2,000 00           |
| UNIV AT BUFFALO FDN INC                 | None                                                                                                               | IRC 501(C)(3)                     | ACM/COMPSCI UNDERGRAD SUPPORT            | \$2,000 00           |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | CENTER FOR DIVERSITY ENGINEERING         | \$2,000 00           |
| VIRGINIA TECH FDN                       | None                                                                                                               | IRC 501(C)(3)                     | COMPUTER SCIENCE DEPARTMENT              | \$2,000 00           |
| GEORGE MASON UNIV FDN                   | None                                                                                                               | IRC 501(C)(3)                     | STUDENT ORG FUNDING DIVERSITY&INCLUSION  | \$3,000 00           |
| CORNELL UNIV-DIVERSITY PROGRAMS IN ENG  | None                                                                                                               | IRC 501(C)(3)                     | BANQUET & ENG ORGANIZATIONS              | \$3,000 00           |
| UC IRVINE - CODE                        | None                                                                                                               | IRC 501(C)(3)                     | CODE SOPHOMORE SUCCESS PROGRAM           | \$3,000 00           |
| NORTH CAROLINA A&T UNIV FDN             | None                                                                                                               | IRC 501(C)(3)                     | STUDENT ORGANIZATIONAL SUPPORT           | \$4,000 00           |
| UNIV OF MICHIGAN                        | None                                                                                                               | IRC 501(C)(3)                     | U-MICHIGAN CAMPUS PARTNERSHIPS           | \$5,000 00           |
| PURDUE UNIVERSITY                       | None                                                                                                               | IRC 501(C)(3)                     | PURDUE UNIV AHE                          | \$6,000 00           |
| UNIV OF IL URBANA-CHAMPAIGN             | None                                                                                                               | IRC 501(C)(3)                     | SUPPORT OF STUDENT ORGANIZATIONS         | \$7,000 00           |
| GEORGIA TECH FDN INC                    | None                                                                                                               | IRC 501(C)(3)                     | STUDENT OUTREACH AND RETENTION           | \$8,000 00           |
| AISES                                   | None                                                                                                               | IRC 501(C)(3)                     | ANDERSON SCHOLARSHIP PROGRAM             | \$15,000 00          |
| SHPE FOUNDATION                         | None                                                                                                               | IRC 501(C)(3)                     | SHPE STUDENT SCHOLARSHIPS                | \$18,150 00          |
| CA POLYTECHNIC STATE UNIV FDN           | None                                                                                                               | IRC 501(C)(3)                     | STUDENT ACM CHAPTER                      | \$1,000 00           |
| CSULB FOUNDATION                        | None                                                                                                               | IRC 501(C)(3)                     | WIE                                      | \$1,000 00           |
| OHIO STATE UNIV - WIE PROGRAM           | None                                                                                                               | IRC 501(C)(3)                     | WIE 2012 RECOGNITION BANQUET             | \$1,000 00           |
| UCLA - COMPUTER SCI DEPT                | None                                                                                                               | IRC 501(C)(3)                     | STUDENT ACTIVITIES                       | \$2,000 00           |
| VCU - SCHOOL OF ENG FDN                 | None                                                                                                               | IRC 501(C)(3)                     | WIE                                      | \$2,000 00           |
| CA POLYTECHNIC STATE UNIV FDN           | None                                                                                                               | IRC 501(C)(3)                     | WOMEN IN ENGINEERING PROGRAM             | \$3,000 00           |
| CA POLYTECHNIC STATE UNIV FDN           | None                                                                                                               | IRC 501(C)(3)                     | MEP                                      | \$3,000 00           |
| PENN STATE UNIV BEHREND COLLEGE         | None                                                                                                               | IRC 501(C)(3)                     | ENGINEERING STUDENT PROFESSIONAL DEV     | \$3,500 00           |
| CAL POLY POMONA/MEP                     | None                                                                                                               | IRC 501(C)(3)                     | ACADEMIC EXCELLENCE WORKSHOPS            | \$4,000 00           |
| CSULB - MESA                            | None                                                                                                               | IRC 501(C)(3)                     | MESA                                     | \$4,000 00           |
| ROCHESTER INSTITUTE OF TECHNOLOGY       | None                                                                                                               | IRC 501(C)(3)                     | DIVERSITY/STUDENT INITIATIVES            | \$5,000 00           |
| UCLA                                    | None                                                                                                               | IRC 501(C)(3)                     | CEED PROGRAM                             | \$6,000 00           |
| UNIV OF MD COLLEGE PARK FDN             | None                                                                                                               | IRC 501(C)(3)                     | DIVERSITY IN STEM                        | \$7,000 00           |
| USC - VITERBI SCHOOL OF ENG             | None                                                                                                               | IRC 501(C)(3)                     | CEC WIE & ACM                            | \$7,000 00           |
| UNIV OF VIRGINIA                        | None                                                                                                               | IRC 501(C)(3)                     | CHAPTER - ASSOC FOR COMPUTING MACHINERY  | \$1,000 00           |
| AUBURN UNIVERSITY FOUNDA                | None                                                                                                               | IRC 501(C)(3)                     | AU POLYMER & FIBER STUDENT SUPPORT       | \$2,000 00           |
| GEORGE MASON FOUNDATION                 | None                                                                                                               | IRC 501(C)(3)                     | CDMID FUND# 141989                       | \$2,554 07           |
| NSBE REGION 2 ALUMNI EXT                | None                                                                                                               | IRC 501(C)(3)                     | NSBE REGION II PROF DEV CONF             | \$3,000 00           |
| NSBE REGION II                          | None                                                                                                               | IRC 501(C)(3)                     | REGION 2 FALL REGIONAL CONF              | \$3,000 00           |
| NORTH CAROLINA A&T FOUND                | None                                                                                                               | IRC 501(C)(3)                     | COMP PROG LAB PERIPHERAL DEVICE DEV I    | \$4,000 00           |
| HOWARD UNIVERSITY                       | None                                                                                                               | IRC 501(C)(3)                     | 2ND CENTURY ENG SCHOLARS PROGRAM         | \$5,000 00           |
| MORGAN STATE UNIVERSITY                 | None                                                                                                               | IRC 501(C)(3)                     | ENG INITIATIVES                          | \$5,000 00           |
| CALIFORNIA POLYTECHNIC STATE UNIVERSITY | None                                                                                                               | IRC 501(C)(3)                     | SCHOLARSHIPS FOR SWE                     | \$1,000 00           |
| THE PENNSYLVANIA STATE UNIVERSITY       | None                                                                                                               | IRC 501(C)(3)                     | NSBE                                     | \$1,000 00           |
| THE PENNSYLVANIA STATE UNIVERSITY       | None                                                                                                               | IRC 501(C)(3)                     | SHPE                                     | \$1,000 00           |
| THE PENNSYLVANIA STATE UNIVERSITY       | None                                                                                                               | IRC 501(C)(3)                     | SWE                                      | \$1,000 00           |
| UNIV OF IL URBANA-CHAMPAIGN             | None                                                                                                               | IRC 501(C)(3)                     | ACM SUPPORT                              | \$1,000 00           |
| UNIVERSITY OF MICHIGAN                  | None                                                                                                               | IRC 501(C)(3)                     | 2011 MI MARS ROVER                       | \$1,000 00           |
| USC - VITERBI SCHOOL OF ENGINEERING     | None                                                                                                               | IRC 501(C)(3)                     | NATIONAL ENGINEERS WEEK (E-WEEK)         | \$1,000 00           |
| UNIVERSITY OF VIRGINIA SEAS-CDE         | None                                                                                                               | IRC 501(C)(3)                     | NORTHROP GRUMMAN SCHOLARS                | \$2,000 00           |
| NORFOLK STATE UNIV CAREER SERVICES      | None                                                                                                               | IRC 501(C)(3)                     |                                          | \$2,000 00           |
| NORTH CAROLINA A&T FOUNDATION           | None                                                                                                               | IRC 501(C)(3)                     | COMPUTER SCIENCE SCHOLARSHIPS            | \$2,000 00           |
| PURDUE UNIVERSITY                       | None                                                                                                               | IRC 501(C)(3)                     | IEEE ROV COMMITTEE                       | \$2,000 00           |
| UNIVERSITY OF MARYLAND FOUNDATION       | None                                                                                                               | IRC 501(C)(3)                     | NSBE/SWE STUDENT ORGS                    | \$2,000 00           |
| CA STATE POLYTECHNIC UNIV               | None                                                                                                               | IRC 501(C)(3)                     | CYBERSECURITY STUDENT SCHOLARSHIPS       | \$4,000 00           |
| NC STATE UNIV MINORITY ENG              | None                                                                                                               | IRC 501(C)(3)                     | NC STATE MINORITY ENG                    | \$4,000 00           |
| UNIVERSITY OF VIRGINIA SEAS-CDE         | None                                                                                                               | IRC 501(C)(3)                     | SUPPORT FOR SPECIAL PROGRAMS & RETENTION | \$5,000 00           |
| FLORIDA A&M UNIVERSITY                  | None                                                                                                               | IRC 501(C)(3)                     | CAREER DEVELOPMENT                       | \$5,000 00           |
| HISPANIC SCHOLARSHIP FUND               | None                                                                                                               | IRC 501(C)(3)                     | HSF-NG SCHOLARSHIPS                      | \$15,000 00          |
|                                         |                                                                                                                    |                                   |                                          | <b>\$ 300,204 07</b> |

| PAYEE NAME                         | If recipient is an individual,<br>show any relationship to any<br>foundation manager or<br>substantial contributor | Foundation status<br>of Recipient | Purpose of Grant or Contribution | NET PAYMENT |
|------------------------------------|--------------------------------------------------------------------------------------------------------------------|-----------------------------------|----------------------------------|-------------|
| ASSOC OF FORMER STUDENTS TEXAS A&M | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| BETH TFILOH DAHAN COMMUNITY SCHOOL | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| BOWLING GREEN STATE UNIV FDN       | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| BUCKNELL UNIV                      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| CA POLYTECHNIC STATE UNIV FDN      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| CA POLYTECHNIC STATE UNIV FDN      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| CA POLYTECHNIC STATE UNIV FDN      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| COLUMBIA UNIVERSITY                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| COLUMBIA UNIVERSITY                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| GEORGE WASHINGTON UNIV             | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| HILLSDALE COLLEGE                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| LEHIGH UNIVERSITY                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| LOYOLA MARYMOUNT UNIV              | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| NW MISSOURI STATE UNIV ADVANCEMENT | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| OLD DOMINION UNIV                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| PURDUE UNIV FDN                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| ST MARIA GORETTI HS                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| STEPHEN T BADIN HIGH SCHOOL        | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UC RIVERSIDE FDN                   | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UC SAN DIEGO FDN                   | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UCLA FOUNDATION                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UNIV OF CINCINNATI FDN             | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UNIV OF COLORADO FDN               | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| UNIV OF HAWAII FDN                 | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| USC                                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| WHEATON COLLEGE                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$50 00     |
| TEMPLE UNIVERSITY                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$55 00     |
| CSULB FOUNDATION                   | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$62 00     |
| TOWERS ELEMENTARY PTA              | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$65 00     |
| TOWERS ELEMENTARY PTA              | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$65 00     |
| YALE UNIVERSITY                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$70 00     |
| OHIO NORTHERN UNIVERSITY           | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$75 00     |
| JAMES MADISON UNIV FDN INC         | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$85 00     |
| ASSOC OF FORMER STUDENTS TEXAS A&M | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$90 00     |
| UC SANTA BARBARA                   | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$91 00     |
| AUBURN UNIV FDN                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| BRIGHAM YOUNG UNIV                 | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CA POLYTECHNIC STATE UNIV FDN      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CANTON COLLEGE FDN INC             | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CARNEGIE MELLON UNIV               | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CLARION UNIV FDN INC               | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CLARKSON UNIVERSITY                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| CSU NORTHRIDGE FDN                 | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| FORT HAYS STATE UNIV FDN           | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| GEORGIA TECH FDN INC               | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| JOHNS HOPKINS UNIV                 | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| LOYOLA MARYMOUNT UNIV              | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| MANHATTAN COLLEGE                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| MCDONOGH SCHOOL INC                | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| OKLAHOMA CITY UNIVERSITY           | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| OLD DOMINION UNIV                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| OLD DOMINION UNIV                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| PENNSYLVANIA STATE UNIV            | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| PURDUE UNIV FDN                    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| RENSSELAER POLYTECHNIC INST        | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| RENSSELAER POLYTECHNIC INST        | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| ST MARY'S RYKEN HIGH SCHOOL        | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| STONYBROOK FDN                     | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| THE CAMPANILE FDN - SDSU           | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| TOWERS ELEMENTARY PTA              | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| TUSKEGEE UNIV                      | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNITED TRIBES TECHNICAL COLLEGE    | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF FLORIDA FDN INC            | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF IL URBANA-CHAMPAIGN        | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF LOUISIANA AT LAFAYETTE     | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF WEST FLORIDA FDN INC       | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF WISCONSIN-MADISON          | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIV OF WISCONSIN-MADISON          | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIVERSITY OF NOTRE DAME           | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIVERSITY OF RICHMOND             | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| UNIVERSITY OF THE PACIFIC          | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |
| VIRGINIA TECH FDN                  | None                                                                                                               | IRC 501(C)(3)                     | MATCHING GIFTS                   | \$100 00    |

|                                        |      |               |                |          |
|----------------------------------------|------|---------------|----------------|----------|
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WASHINGTON COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF ARIZONA FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CA INST OF TECHNOLOGY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CAL LUTHERAN UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CALVERT HALL COLLEGE OF BALTIMORE CITY | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CLAREMONT MCKENNA COLLEGE              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| FRIENDS OF PASEO DEL REY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HIGHLANDS SCHOOL INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| LSU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MICHIGAN STATE UNIV                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MICHIGAN TECH FUND                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| TRUSTEES OF DARTMOUTH COLLEGE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$155 00 |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA   | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00 |
| UNIV OF AL TUSCALOOSA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$195 00 |
| CUBBERLEY ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| EL SEGUNDO EDUC FOUNDATION             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| LONG BEACH EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| RIVIERA HALL LUTHERAN SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ROESSLER-CHADWICK FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| SACRED HEART SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST OLAF COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST XAVIER HIGH SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UMBC                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF CINCINNATI FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF ILLINOIS FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF MD COLLEGE PARK FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF PITTSBURGH                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF VIRGINIA LAW SCHOOL FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| VCU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WOODLANDS ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| AUBURN UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$205 00 |
| CALVERT HALL COLLEGE OF BALTIMORE CITY | None | IRC 501(C)(3) | MATCHING GIFTS | \$210 00 |
| LOCH RAVEN HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$210 00 |
| UNIVERSITY OF UTAH                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00 |
| ARCHBISHOP SPALDING HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ASSOC OF GRADUATES USMA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| BRIGHAM YOUNG UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CLEMSON UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CORP OF HAVERFORD COLLEGE              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CSU FULLERTON FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| EVANSTON HIGH SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FLORIDA INTL UNIV FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MOTHER SETON ACADEMY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PENNEKAMP ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ST JOHN'S EPISCOPAL SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE COLORADO COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE STONY BROOK SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE WESTMINSTER SCHOOLS                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| TRINITY LUTHERAN SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF FLORIDA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF VIRGINIA FUND                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF WISCONSIN FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| VISTAMAR SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WAYNE STATE UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WOFFORD COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ASSOC OF GRADUATES USAF ACAD           | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| COLUMBIA PARK ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| EAST LAKE ACADEMY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| NORTH CAROLINA A&T UNIV FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIV OF EVANSVILLE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| BALBOA MAGNET ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| TRUSTEES OF PRINCETON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| THE COLORADO COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$450 00 |
| BATES COLLEGE                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BELOIT COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BRIGHAM YOUNG UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BUCKNELL UNIV                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CA INST OF TECHNOLOGY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |

|                                    |      |               |                |            |
|------------------------------------|------|---------------|----------------|------------|
| CA POLYTECHNIC STATE UNIV FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CASE WESTERN RESERVE UNIV          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CNU EDUC FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CORNELL UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GEORGE WASHINGTON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GLENDALE-KENLY ELEMENTARY SCHOOL   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GLENELG COUNTRY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| HAMPTON ROADS ACADEMY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| HERMOSA BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| HILLSDALE COLLEGE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| JOHNS HOPKINS UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| KIPP LA SCHOOLS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MARSHALL (JOHN) ELEMENTARY SCHOOL  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MARYMOUNT UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MBMS PTA                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MICHIGAN STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MILWAUKEE SCHOOL OF ENG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MONTEREY HIGHLANDS ELEM SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| NORFOLK COLLEGIATE SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| NORTHFIELD MOUNT HERMON SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PEDDIE SCHOOL                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PURDUE UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| REGENTS OF THE UNIV OF MICHIGAN    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ROLLING HILLS PREP SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SANTA CLARA UNIVERSITY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SHIPPENSBURG UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SHIPPENSBURG UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ST JOHN'S UNIVERSITY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ST LOUIS UNIVERSITY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TRINITY LUTHERAN SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TRINITY UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TUSKEGEE UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| U S COAST GUARD ACAD ALUMNI ASSOC  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF COLORADO FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF DELAWARE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF DELAWARE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF PENNSYLVANIA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF PENNSYLVANIA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF PITTSBURGH                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF SO AL - OFFICE OF UNIV DEV | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| WILLOW GLEN MIDDLE & HS FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| YOUNGSTOWN STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| USC                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| RIVIERA ELEM SCHOOL PTA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| OHIO NORTHERN UNIVERSITY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| ST PAUL'S SCHOOL FOR GIRLS         | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| UC BERKELEY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| ISIDORE NEWMAN SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00   |
| BOWLING GREEN STATE UNIV FDN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$875 00   |
| VIRGINIA TECH FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00   |
| AUBURN UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BOSTON COLLEGE TRUSTEES            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CA POLYTECHNIC STATE UNIV FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CANISIUS HIGH SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CATHOLIC UNIV OF AMER DEV OFFICE   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CORNELL UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CROSSROADS SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GEORGE MASON UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| JAMES MADISON UNIV FDN INC         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| KING OF KINGS LUTHERAN SCHOOL      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOYOLA HIGH SCHOOL (LA)            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MARLBOROUGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MIAMI UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |

|                                        |      |               |                |            |
|----------------------------------------|------|---------------|----------------|------------|
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MONROE SCHOOL DADS CLUB                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NOTRE DAME HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OCCIDENTAL COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OLD DOMINION UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ORANGE COUNTY HS OF THE ARTS           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ORANGE COUNTY HS OF THE ARTS           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OUR LADY OF MT CARMEL SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA CATHOLIC HIGH SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENNSYLVANIA STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PEPPERDINE UNIV - ANNUAL GIVING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PIKES PEAK CHRISTIAN SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PURDUE UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SISTERS ACADEMY OF BALTIMORE INC       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST MARY'S RYKEN HIGH SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST OLAF COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| THE CLASSICAL ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| THOMAS AQUINAS COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TOWSON UNIV FDN INC                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC BERKELEY FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SANTA BARBARA                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SANTA BARBARA                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SANTA BARBARA                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF AKRON FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF CENTRAL FLORIDA FDN INC        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF VIRGINIA FUND                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WASHINGTON STATE UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WPI                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ADELPHI UNIV                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS) | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CAL LUTHERAN UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| COLORADO STATE UNIV FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LONGWOOD UNIV FDN INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA MARYMOUNT UNIV                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| NORFOLK COLLEGIATE SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OCCIDENTAL COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| STONYBROOK FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| THE GREENMOUNT SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UCO FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF AL TUSCALOOSA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIVERSITY OF ILLINOIS AT CHICAGO      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VILLA MARIA ACAD HS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CNU EDUC FDN                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$70 00    |
| DANIEL J GROSS CATHOLIC HS             | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| JAMES MADISON UNIV FDN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| UC SANTA CRUZ FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| EL SEGUNDO EDUC FOUNDATION             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| RUTGERS UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| SYRACUSE UNIV                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF ILLINOIS FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| YALE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00   |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| DREXEL UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$143 00   |
| BRIGHTMAN YOUNG UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| KU ENDOWMENT (EXPENDABLE FUND)         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| LOYOLA COLLEGE IN MD ADV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| UNIV OF NEBRASKA FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| UNIV OF WISCONSIN FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| HOWARD UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00   |
| GREATER ATLANTA CHRISTIAN SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| NANSEMOND-SUFFOLK ACADEMY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| POMONA COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| SAN MARINO SCHOOLS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UC BERKELEY FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |

|                                    |      |               |                |            |
|------------------------------------|------|---------------|----------------|------------|
| VILLANOVA UNIVERSITY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| COUNTY COLLEGE OF MORRIS FDN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00   |
| KING'S COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00   |
| MIRA COSTA HS PTSA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$285 00   |
| CARROLL COLLEGE DEV OFFICE         | None | IRC 501(C)(3) | MATCHING GIFTS | \$303 00   |
| NORFOLK ACADEMY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| COLORADO STATE UNIV FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00   |
| LOYOLA COLLEGE IN MD ADV OFFICE    | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00   |
| MARTIN BLVD ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$423 00   |
| ERAU                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GUSTAVUS ADOLPHUS COLLEGE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| LSU FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MATHEWS COUNTY PUBLIC SCHOOLS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MOUNT ST JOSEPH HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MUW FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| OAKLAND UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PARK SCHOOL OF BALTIMORE INC       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| RCC/EFI                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ST ANDREWS PRESBYTERIAN COLLEGE    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| USF                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| VIRGINIA TECH FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF AL TUSCALOOSA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$520 00   |
| MOUNT ST JOSEPH HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| NANSEMOND-SUFFOLK ACADEMY          | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| NORTH CAROLINA STATE UNIV          | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00   |
| U S NAVAL ACADEMY FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| CA POLYTECHNIC STATE UNIV FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| UNIV OF ILLINOIS FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| YALE UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| TRUSTEES OF DARTMOUTH COLLEGE      | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00   |
| ABAC FDN INC                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ANNUNCIATION CATHOLIC SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| AUBURN UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| AUGUSTANA COLLEGE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BISHOP DENIS J O'CONNELL HS        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BISHOP MONTGOMERY HS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CA INST OF TECHNOLOGY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CA POLYTECHNIC STATE UNIV FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CAPE HENRY COLLEGIATE SCHOOL INC   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHANDLER SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NEW HORIZON SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NORTH CAROLINA STATE UNIV          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENNSYLVANIA STATE UNIV            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PGSS ALUMNI ASSOCIATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SACRAMENTO WALDORF SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST PAUL'S SCHOOL FOR BOYS          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF CHICAGO                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHRIST CHURCH EPISCOPAL SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CORNELL UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| FLORIDA INST OF TECHNOLOGY         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GETTYSBURG COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA COLLEGE IN MD ADV OFFICE    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LSU FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MILLIKIN UNIVERSITY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MISSOURI S&T                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MOUNT ST JOSEPH HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| NEW HOPE EDUCATIONAL INSTITUTE INC | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| NORTHWESTERN UNIV MATCHING GIFTS   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PEPPERDINE UNIV - ANNUAL GIVING    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RIT - OFFICE OF DEVELOPMENT        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| SIENA COLLEGE - OFFICE OF DEV      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF CINCINNATI FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF SAN DIEGO                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VCU FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |

|                                      |      |               |                |          |
|--------------------------------------|------|---------------|----------------|----------|
| VA WESLEYAN COLLEGE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00  |
| NORTHWESTERN UNIV MATCHING GIFTS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| ASSOC OF GRADUATES USAF ACAD         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CA POLY POMONA GIFT PROCESSING       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CASE ALUMNI ASSOCIATION INC          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CEDARVILLE UNIVERSITY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CLARKSON UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CLARKSON UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CNU EDUCATION FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| DUKE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FINGER LAKES COMM COLLEGE FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| GIRARD COLLEGE DEVELOPMENT FUND      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| GLENELG COUNTRY SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| HEMBREE SPRINGS ELEMENTARY SCHOOL    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| HUDSON CATHOLIC REGIONAL HS          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JAMES MADISON UNIV FDN INC           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LONGWOOD UNIV FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RADFORD UNIV FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| REGENTS OF THE UNIV OF MICHIGAN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RICE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ROWAN UNIV FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SEEDS                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ST MARY'S DOMINICAN HS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| TEXAS TECH FOUNDATION INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| TRINITY LUTHERAN SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF DELAWARE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF VIRGINIA ENG FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIVERSITY OF RHODE ISLAND           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SETON KEOUGH HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$110 00 |
| LEWIS UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| CA POLY POMONA GIFT PROCESSING       | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| MARIST SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| TAU BETA PI ASSOC (SCHOLARSHIPS)     | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| UNIV OF TEXAS AT AUSTIN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| USC VITERBI SCHOOL OF ENG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HOLY FAMILY SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| LEHIGH UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MIAMI UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| NORFOLK COLLEGIATE SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| RESURRECTION CATHOLIC SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MVSEG                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$155 00 |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$175 00 |
| RBEF                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00 |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UC BERKELEY FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WEBB INSTITUTE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST JAMES SCHOOL EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$220 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$220 00 |
| ASSOC OF GRADUATES USAF ACAD         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| COLLEGE OF NOTRE DAME OF MD          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| COOPER UNION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HAMILTON COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HARFORD DAY SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| I C NORCOM HIGH SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| JAMES MADISON UNIV FDN INC           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LOYOLA VILLAGE ELEM BOOSTER CLUB     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| NORTHWESTERN UNIV MATCHING GIFTS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| OLD DOMINION UNIV                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PATTERSON PARK PUBLIC CHARTER SCHOOL | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RENSSELAER POLYTECHNIC INST          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| TJHS PARTNERSHIP FUND INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNION COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV AT BUFFALO FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF CHICAGO                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF DELAWARE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF MD COLLEGE PARK FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF SOUTHERN INDIANA FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF VIRGINIA ENG FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USC VITERBI SCHOOL OF ENG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |

|                                          |      |               |                |            |
|------------------------------------------|------|---------------|----------------|------------|
| MIT                                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00   |
| BRIGHAM YOUNG UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| CSULB FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| DA VINCI SCHOOLS                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| FREMONT UNION HS FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| KU ENDOWMENT (EXPENDABLE FUND)           | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| VMI FOUNDATION INC                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| TAU BETA PI ASSOC (SCHOLARSHIPS)         | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| RBEF                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00   |
| RBEF                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00   |
| RBEF                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00   |
| RBEF                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00   |
| DA VINCI SCHOOLS                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$365 00   |
| UNIV OF FLORIDA FDN INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00   |
| VIRGINIA TECH FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00   |
| MARTIN BLVD ELEM SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$499 98   |
| ARCHBISHOP CURLEY HS                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| AZUSA PACIFIC UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CGSM                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| FISHBURNE MILITARY SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| GARDNER-WEBB UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| JOHNS HOPKINS UNIV                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| LONG BEACH POLY HS PACE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| LOYOLA MARYMOUNT UNIV                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MANHATTAN BEACH EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MIT                                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MIT                                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MUW FOUNDATION                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| OUR LADY OF MT CARMEL SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PACIFIC RIDGE SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA CATHOLIC HIGH SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SIERRA CANYON HIGH SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ST PATRICK SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TRINITY SCHOOL                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| U S NAVAL ACADEMY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| U S NAVAL ACADEMY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| U S NAVAL ACADEMY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF VIRGINIA FUND                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIVERSITY OF NOTRE DAME                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| VANDERBILT UNIV GIFT PROCESSING          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| WESTMONT COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| YALE UNIVERSITY                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CA LUTHERAN UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| FALLSTON HIGH SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| MOUNT ST JOSEPH HS                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| ST AUGUSTINE HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| TRUSTEES OF PRINCETON UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| HARFORD DAY SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$620 00   |
| SOLANA BEACH FOUNDATION FOR LEARNING     | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00   |
| MENLO-ATHERTON HS FDN FOR THE FUTURE     | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| JESUIT HIGH SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MANHATTAN BEACH EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| UNIV OF HAWAII FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| RENSSELAER POLYTECHNIC INST              | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00   |
| ACHIEVABLE DREAM MIDDLE AND HS           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ANGELUS ACADEMY                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASSOCIATED BETH RIVKA SCHOOL FOR GIRLS   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHEASPEAKE MONTESSORI FDN INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CNU EDUCATION FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DA VINCI SCHOOLS                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| EMMANUEL LUTHERAN SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| FOOTHILL EDUCATION FOUNDATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HAMPTON UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HOPE COLLEGE                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| INDIANA UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| JOHNS HOPKINS UNIV                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| JUAN DIEGO CATHOLIC HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LAWRENCE TECHNOLOGICAL UNIV-DEV OFFICE   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MIAMI UNIVERSITY                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NEW MEXICO MILITARY INSTITUTE FOUNDATION | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OHIO STATE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OTSEGO PUBLIC SCHOOLS FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OUR LADY OF MT CARMEL SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RANDOLPH-MACON COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| REGENTS OF THE UNIV OF MICHIGAN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST MARY'S ELEMENTARY SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SYRACUSE UNIV                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |

|                                      |      |               |                |            |
|--------------------------------------|------|---------------|----------------|------------|
| THE GLENHOLME SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TOUGALOO COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TRINITY PACIFIC CHRISTIAN SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| U S COAST GUARD ACADEMY ALUMNI ASSOC | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNC WILMINGTON                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WARWICK RIVER CHRISTIAN SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WEBB INSTITUTE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WINDWARD SCHOOL                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WPI                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| XAVIER UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ARAPAHOE HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BRIGHAM YOUNG UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BUTLER UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CAL POLY POMONA GIFT PROCESSING      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GRACELAND UNIV                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| NORTHEASTERN UNIV OFFICE OF DEV      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OSSEF AT E CENTRAL UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RIT - OFFICE OF DEVELOPMENT          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ST JOSEPH ELEMENTARY SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TAU BETA PI ASSOC (SCHOLARSHIPS)     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TAU BETA PI ASSOC (SCHOLARSHIPS)     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| THE MASTERS SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TORRANCE EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TOWERS ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNION COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIVERSITY OF TEXAS AT EL PASO       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIVERSITY OF VIRGINIA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| USC                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| USC VITERBI SCHOOL OF ENG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VCU FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00    |
| FSA ELEMENTARY SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| MISSOURI S&T                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| PENNSYLVANIA STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| THE LOOMIS CHAFFEE SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| GA COLLEGE & STATE UNIV FDN INC      | None | IRC 501(C)(3) | MATCHING GIFTS | \$80 00    |
| ADELPHI UNIV                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CATHOLIC UNIV OF AMER DEV OFFICE     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CO SCHOOL OF MINES FDN INC           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| DUKE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| JAMES MADISON UNIV FDN INC           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| KANSAS STATE UNIV FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LAKE FOREST GRADUATE SCHOOL OF MGMT  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LOYOLA COLLEGE IN MD ADV OFFICE      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LOYOLA COLLEGE IN MD ADV OFFICE      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MANHATTAN COLLEGE                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MCDANIEL COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| NICKAJACK ELEMENTARY PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| NORTH CAROLINA STATE UNIV            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| OHIO STATE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENNSYLVANIA STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENNSYLVANIA STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| RENSSELAER POLYTECHNIC INST          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| RICE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| ROSE-HULMAN INST OF TECHNOLOGY       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| SEASIDE ELEMENTARY PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| STEVENS INST OF TECHNOLOGY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| THE FDN AT NJIT                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| TORRANCE EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC DAVIS                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UCI FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF AL TUSCALOOSA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF DELAWARE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF FLORIDA FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF MD - UNIV COLLEGE FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF NEVADA RENO FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF VIRGINIA FUND                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |

|                                         |      |               |                |          |
|-----------------------------------------|------|---------------|----------------|----------|
| USC VITERBI SCHOOL OF ENG               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ST MARY'S RYKEN HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$115 00 |
| ARCHBISHOP SPALDING HS                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| BOSTON COLLEGE TRUSTEES                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| UNIV OF VIRGINIA COLLEGE FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| FDN FOR INDIANA UNIV OF PA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| TRUSTEES OF PHILLIPS ACADEMY            | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| TRUSTEES OF PRINCETON UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$140 00 |
| BRIGHAM YOUNG UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CSULB FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MIT                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| NSMS                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| SEATTLE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| ST CYPRIAN PARISH SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| TAU BETA PI ASSOC (SCHOLARSHIPS)        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| THE CATHOLIC HS OF BALTIMORE            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF DELAWARE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF ILLINOIS FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| TAU BETA PI ASSOC (SCHOLARSHIPS)        | None | IRC 501(C)(3) | MATCHING GIFTS | \$175 00 |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA    | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00 |
| BISHOP DENIS J O'CONNELL HS             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CA INST OF TECHNOLOGY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CA POLYTECHNIC STATE UNIV FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| DA VINCI SCHOOLS                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| GEORGIA TECH FDN INC                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| POLYTECHNIC INST OF NYU                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| U S NAVAL ACADEMY FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF COLORADO FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF MD COLLEGE PARK FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| FRED MOIOLA ELEM SCHOOL PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$220 00 |
| STANDLEY MIDDLE SCHOOL-SCIENCE OLYMPIAD | None | IRC 501(C)(3) | MATCHING GIFTS | \$235 00 |
| CA ACADEMY OF MATHEMATICS & SCIENCE     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CHRISTIAN BROTHERS SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FDN OF LA JOLLA HS                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF BALTIMORE FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF ILLINOIS FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF MARY WASHINGTON FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF OKLAHOMA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF VIRGINIA COLLEGE FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| VIRGINIA TECH FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WESTMONT COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MANHATTAN COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$275 00 |
| IOWA STATE UNIV FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MANHATTAN BEACH EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| TJHS PARTNERSHIP FUND INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| VIRGINIA TECH FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| WOODLAND HILLS ELEMENTARY PATT          | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| BOWDOIN COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$334 00 |
| BISHOP DENIS J O'CONNELL HS             | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| POLYTECHNIC INST OF NYU                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| DEL MAR SCHOOLS EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$450 00 |
| CA POLYTECHNIC STATE UNIV FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CNU EDUC FDN                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| COOPER UNION                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| DUNDALK HIGH SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| EASTERN NEW MEXICO UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HOLY CROSS SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| LEAVENWORTH CATHOLIC SCHOOL SYSTEM      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| LOWES ISLAND ELEM SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| O DEA HIGH SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| OHIO STATE UNIV FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENNSYLVANIA STATE UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| REGIS HIGH SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| RENSSELAER POLYTECHNIC INST             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TOWERS ELEMENTARY SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TULANE UNIV                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCI FOUNDATION                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCI FOUNDATION                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF ARIZONA FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF CONNECTICUT FDN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF DAYTON                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF OKLAHOMA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIVERSITY OF NOTRE DAME                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIVERSITY OF TENNESSEE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VIRGINIA TECH FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| WASHINGTON & LEE UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |

|                                      |      |               |                |            |
|--------------------------------------|------|---------------|----------------|------------|
| MICHIGAN STATE UNIV                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$520 00   |
| EL SEGUNDO EDUC FOUNDATION           | None | IRC 501(C)(3) | MATCHING GIFTS | \$552 00   |
| RIVIERA ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| POMONA COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| YESHIVAT RAMBAM                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| SOUTH DAKOTA STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00   |
| WESTWOOD HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$910 00   |
| ASSOC OF GRADUATES USAF ACAD         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASSOC OF GRADUATES USMA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| AZUSA PACIFIC UNIVERSITY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CA INST OF TECHNOLOGY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CAMS FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CASE ALUMNI ASSOCIATION INC          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DEMATHA CATHOLIC HS                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DUKE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HESCHEL DAY SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LEHIGH VALLEY CHRISTIAN HS           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LIPSCOMB UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MOTHER OF GOD SCHOOL (EMMANUEL INC)  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NC CENTRAL UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NEWCOMB ACAD FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OHIO STATE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ORANGE COUNTY HS OF THE ARTS         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PADRE PIO ACADEMY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RENSSELAER POLYTECHNIC INST          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RIVIERA ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ROWLAND HALL-ST MARK'S SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SOUTH CAROLINA STATE UNIV FDN INC    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST LOUIS ELEMENTARY SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST PAUL'S SCHOOL FOR GIRLS           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| STEVENS INST OF TECHNOLOGY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCO FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ARCHBISHOP CURLEY HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BOSTON COLLEGE TRUSTEES              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CA POLYTECHNIC STATE UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CAL POLY POMONA GIFT PROCESSING      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CHATHAM UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSU NORTHRIDGE FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ERAU                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MARYMOUNT UNIV                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MISSOURI S&T                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| POTSDAM COLLEGE FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| QUINNIPIAC UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| REGENTS OF THE UNIV OF MICHIGAN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TOWERS ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TOWSON UNIV FDN INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TULANE UNIV                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UC SAN DIEGO FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| USM FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ST JOSEPH SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00    |
| DUKE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| ASU FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| AVC FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CA POLYTECHNIC STATE UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CA POLYTECHNIC STATE UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CHRISTIAN BROTHERS UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CLAREMONT GRADUATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CSU NORTHRIDGE FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| FLINTRIDGE PREPATORY SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| INSTITUTE OF NOTRE DAME              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LAS VIRGENES USD                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LUTHERAN UNIV ASSOC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MOUNT ST MARY'S UNIV-OFFICE OF DEV   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| NORTH STAR ACADEMY FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PAUL F GILLIGAN III SCHOLARSHIP FUND | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENNSYLVANIA STATE UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| ST JOSEPH HS FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| THE CAMPANILE FDN - SDSU             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC SAN DIEGO FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |

|                                        |      |               |                |          |
|----------------------------------------|------|---------------|----------------|----------|
| UNIV OF WISCONSIN FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNLV                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS) | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CAL POLY POMONA GIFT PROCESSING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| COLBY COLLEGE                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| TOWSON UNIV FDN INC                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| USC VITERBI SCHOOL OF ENG              | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| FRIENDS OF OAK PARK SCHOOLS            | None | IRC 501(C)(3) | MATCHING GIFTS | \$136 00 |
| CLARK ATLANTA UNIV INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$148 00 |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CAL LUTHERAN UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF OKLAHOMA FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$156 00 |
| UNIV OF DAYTON                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$171 00 |
| CAL POLY POMONA GIFT PROCESSING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$187 00 |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CARNEGIE MELLON UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CARTHAGE COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| HIGH TECH HIGH FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MESIVTA HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MMCI                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| PURDUE UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ROWAN UNIV FDN INC                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| SOUTHERN METHODIST UNIVERSITY          | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WESTMONT COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST ANTHONY CATHOLIC SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$249 00 |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CAL POLY POMONA GIFT PROCESSING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| EL SEGUNDO HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GOUCHER COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HAMPDEN-SYDNEY COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| JAMES MADISON UNIV FDN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LA CANADA FLINTRIDGE EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LONGFELLOW MIDDLE SCHOOL PTA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MARYMOUNT HIGH SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RBEF                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ST JOSEPH HS FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ST JOSEPH'S PREPARATORY SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ST PETER ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| TIDEWATER COMM COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF MA AMHERST                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MORELAND EDUC FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00 |
| AACC FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| GARRISON FOREST SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| HAMPTON ROADS ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MESIVTA HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| RBEF                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| EL SEGUNDO EDUC FOUNDATION             | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| RBEF                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00 |
| WVEEF                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| BLACK MOUNTAIN MIDDLE SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$475 00 |
| BLACK MOUNTAIN MIDDLE SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$475 00 |
| BHEF                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BLUE RIDGE SCHOOL INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BRYN MAWR SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| COLLEGE OF WILLIAM & MARY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| DANA HALL SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| DOUGLAS COUNTY EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HAPPY VALLEY ELEM SCHOOL PARENT CLUB   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| REGIS UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SMSU FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ST LOUIS UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRINITY UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRUSTEES OF PRINCETON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF COLORADO FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF MISSOURI COLUMBIA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| YALE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VILLANOVA UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00 |
| CA POLYTECHNIC STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$624 00 |
| GATEWAY HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00 |

|                                    |      |               |                |            |
|------------------------------------|------|---------------|----------------|------------|
| MANHATTAN BEACH EDUC FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| SARATOGA EDUCATION FOUNDATION      | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| FRIENDS OF HAMILTON HUMANITIES     | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| LAFAYETTE COLLEGE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00   |
| ASSOC OF GRADUATES USAF ACAD       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASSOC OF GRADUATES USMA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| AUBURN UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CONCORDIA UNIVERSITY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CSULA FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CSULB FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GROVE CITY COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GUNSTON DAY SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LEAVENWORTH CATHOLIC SCHOOL SYSTEM | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LYNDON STATE COLLEGE               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MICHIGAN TECH FUND                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NARDIN ACADEMY HIGH SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PACIFIC LUTHERAN UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RICE UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SOUTHEASTERN FOUNDATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SYRACUSE ARTS ACADEMY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| THOMAS AQUINAS COLLEGE             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIVERSITY OF NOTRE DAME           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VIRGINIA TECH FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WASHINGTON STATE UNIV FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHEROKEE TRAIL HIGH SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSULB FOUNDATION                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| FRANKLIN & MARSHALL COLLEGE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LEHIGH UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PARK SCHOOL OF BALTIMORE INC       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PENINSULA EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PURDUE UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PURDUE UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| SAINT LEO UNIV INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ST MARYS COLLEGE OF MD FDN INC     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| STANFORD UNIVERSITY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TOWSON UNIV FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UC BERKELEY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UCLA FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNC FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF PITTSBURGH                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| KEAN UNIV FDN INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| VIRGINIA TECH FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| GA COLLEGE & STATE UNIV            | None | IRC 501(C)(3) | MATCHING GIFTS | \$80 00    |
| ASU FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| BALBOA MAGNET ELEM SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CA INST OF TECHNOLOGY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| DAVIDSON COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGE WASHINGTON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGE WASHINGTON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGIA TECH FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GOLDEN GATE UNIVERSITY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| HARVEY MUDD COLLEGE - GIFT RECORDS | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| ITHACA COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| JOHNS HOPKINS UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| KENYON COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MARYVILLE UNIV OF ST LOUIS         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MICHIGAN STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MIT                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| NORTH CAROLINA A&T UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| OLD DOMINION UNIV                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| POMONA COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| STONYBROOK FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| TAMPA CATHOLIC HIGH SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| TOWERS ELEMENTARY SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC BERKELEY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC BERKELEY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC BERKELEY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC SAN DIEGO FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |

|                                         |      |               |                |          |
|-----------------------------------------|------|---------------|----------------|----------|
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV AT BUFFALO FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF TEXAS AT AUSTIN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF VIRGINIA COLLEGE FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF VIRGINIA LAW SCHOOL FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| USC                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WCSU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ROSE-HULMAN INST OF TECHNOLOGY          | None | IRC 501(C)(3) | MATCHING GIFTS | \$110 00 |
| ARCHBISHOP ALTER HIGH SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| BOWLING GREEN STATE UNIV FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CALIFORNIA STATE UNIV CHICO             | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| COOPER UNION                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| LOYOLA BLAKEFIELD HS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| OFFICE OF ANNUNAL GIVING                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV AT BUFFALO FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF PENNSYLVANIA                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| LAKE SIDE FARMS ELEMENTARY SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$175 00 |
| MOUNT ST JOSEPH HS                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$199 00 |
| ALLIANCE FOR MUSIC EDUCATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CA POLYTECHNIC STATE UNIV FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CLARKSON UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| HESCHEL DAY SCHOOL                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| LOYOLA BLAKEFIELD HS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| PENINSULA EDUC FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| PURDUE UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| RENSSELAER POLYTECHNIC INST             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WASHINGTON COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WELLESLEY COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WOODBERRY FOREST SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ASSOC OF GRADUATES USAF ACAD            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CAL POLY POMONA GIFT PROCESSING         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| COOPER UNION                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FRIENDS OF BRADDOCK GIFTED/HIGH ABILITY | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| HARVARD UNIV RECORDING SEC'Y            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| JOHNS HOPKINS UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MIT                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| NC SCHOOL OF SCI AND MATH FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PENNSYLVANIA STATE UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| REGIS HIGH SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UC BERKELEY FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF BALTIMORE FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF OKLAHOMA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| VIRGINIA TECH FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ASSOC OF FORMER STUDENTS TEXAS A&M      | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00 |
| UNIV OF AL TUSCALOOSA                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$260 00 |
| ELON UNIVERSITY                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| RIVIERA ELEM SCHOOL PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| RIVIERA ELEM SCHOOL PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| STONYBROOK FDN                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| SWEET BRIAR COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| TRUSTEES OF DARTMOUTH COLLEGE           | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UC BERKELEY FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UCLA FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIVERSITY OF NOTRE DAME                | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| RIVIERA ELEM SCHOOL PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| JOHNS HOPKINS UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00 |
| RBEF                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$360 00 |
| ACADEMIC LEADERSHIP COMMUNITY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CALVERT HALL COLLEGE OF BALTIMORE CITY  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FRIENDS OF THE MUSEUM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HARVARD UNIV RECORDING SEC'Y            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HBCSD-DWYER                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HIGH TECH HIGH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HILLSDALE COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| KU ENDOWMENT (EXPENDABLE FUND)          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MOUNT DE SALES ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ST JAMES SCHOOL EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TEMPLE UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRINITY COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRUSTEES OF TUFTS COLLEGE               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| USC                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VCU FOUNDATION                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| WESTMONT COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC SAN DIEGO FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$550 00 |

|                                        |      |               |                |            |
|----------------------------------------|------|---------------|----------------|------------|
| ELK COUNTY CATHOLIC HIGH SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$612 00   |
| BLACK MOUNTAIN MIDDLE SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$875 00   |
| ASSOC OF GRADUATES USMA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BRYN MAWR SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CARROLLWOOD DAY SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHABAD HEBREW ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CHABAD HEBREW ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| EMMAUS LUTHERAN SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GROTON SCHOOL                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| INSIGHT UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| KU ENDOWMENT (EXPENDABLE FUND)         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LAWRENCE TECH UNIV - DEV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MICHIGAN TECH FUND                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RUTGERS UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SAN MARINO SCHOOLS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SIENA COLLEGE - OFFICE OF DEV          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST OLAF COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST PAULS SCHOOL FOR BOYS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF AKRON FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF DELAWARE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF MISSOURI COLUMBIA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VISTAMAR SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WASHINGTON UNIV                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BOSTON COLLEGE TRUSTEES                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS) | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BRIGHAM YOUNG UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BROWN UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| REGENTS OF THE UNIV OF MICHIGAN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| REGENTS OF THE UNIV OF MICHIGAN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ST MARK'S SCHOOL OF TEXAS              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF CINCINNATI FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF COLORADO FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MICHIGAN TECH FUND                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| GA COLLEGE & STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$80 00    |
| PENNEKAMP ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$90 00    |
| CA INST OF TECHNOLOGY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CLARKSON UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CSULA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| EXCELSIOR COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| JOHNS HOPKINS UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| MISSOURI S&T                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PURDUE UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF ARIZONA FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$111 00   |
| CHRIST THE TEACHER CATHOLIC SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| THE COLORADO COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| KU ENDOWMENT (EXPENDABLE FUND)         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| PURDUE UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| UNIV OF NEW MEXICO FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| WEST VIRGINIA UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00   |
| BRIGHAM YOUNG UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| UNIV OF FLORIDA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| UNIV OF FLORIDA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00   |
| CATHOLIC CENTRAL HIGH SCHOOL (NY)      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| CLEMSON UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| HVCC FDN INC                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UC DAVIS                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UNIV OF HAWAII FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UNIV OF PUGET SOUND                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| WASHINGTON AND JEFFERSON COLLEGE       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| PACIFIC LUTHERAN UNIV                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |

|                                      |      |               |                |            |
|--------------------------------------|------|---------------|----------------|------------|
| RICE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| UNIV OF MARY WASHINGTON FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| TORRANCE EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| ACWIS                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| DAVIDSON COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| HILLSDALE COLLEGE                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PURDUE UNIV FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SAINT MARY'S COLLEGE OF CA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| STANFORD UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| THE TROY SUPPORT ORGANIZATION        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TRINITY SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF TEXAS/MD ANDERSON CANCER CTR | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| USC MARSHALL SCHOOL OF BUSINESS      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF MA AMHERST                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$550 00   |
| UC BERKELEY FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| ROSE-HULMAN INST OF TECHNOLOGY       | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00   |
| ASSOC OF FORMER STUDENTS TEXAS A&M   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MOUNT HOLYOKE COLLEGE                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MOUNT ST MARY'S UNIV - OFFICE OF DEV | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PRINCETON CHARTER FDN INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| REGENTS OF THE UNIV OF MICHIGAN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST ANDREWS UM DAY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TORRANCE EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TRINITY PACIFIC CHRISTIAN SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF TEXAS/MD ANDERSON CANCER CTR | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| USC FOUNDATIONS                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASU FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| COOPER UNION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MVNU                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV AT BUFFALO FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF FLORIDA FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF PITTSBURGH                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| MARTIN BLVD ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$76 00    |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| OFFICE OF ANNUNAL GIVING             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| RANDOLPH-MACON COLLEGE               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| STANFORD UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| STANFORD UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| TEXAS CHRISTIAN UNIVERSITY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| NEW HOPE EDUCATIONAL INSTITUTE INC   | None | IRC 501(C)(3) | MATCHING GIFTS | \$114 00   |
| ST MARY'S HIGH SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| UNIV OF DELAWARE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| MISSION ESTANCIA ELEM SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| ALL HALLOWS HIGH SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| GEORGIA TECH FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| SOLUTIONS EXPLORING SUCCESS POST HS  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UC SANTA BARBARA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| USC                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| ET BOON ELEMENTARY PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| OHIO STATE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TRINITY CATHOLIC HS                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| UNIV OF COLORADO FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| CHIME INSTITUTE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CLAFLIN UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CNU EDUC FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SAN MARINO SCHOOLS FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST LAWRENCE OF BRINDISI SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TROY UNIV FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |

|                                      |      |               |                |            |
|--------------------------------------|------|---------------|----------------|------------|
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UMBC FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| VILLANOVA UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DUKE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| HICKORY ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MADRONA MIDDLE SCHOOL PTSA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MADRONA MIDDLE SCHOOL PTSA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PEPPERDINE UNIV - ANNUAL GIVING      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ROSWELL N ELEM EDUC FDN INC          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TENNESSEE TECH UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UC BERKELEY FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV AT BUFFALO FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF BALTIMORE FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIV OF MISSOURI-COLUMBIA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| WPI                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JEFFERSON MIDDLE SCHOOL PTSA         | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| GEORGE WASHINGTON UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| HICKORY ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| LOYOLA COLLEGE IN MD ADV OFFICE      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| OFFICE OF ANNUNAL GIVING             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| OLD DOMINION UNIV                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| POMONA COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| QUINNIPIAC UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| SOUTH PASADENA EDUC FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| ST AMBROSE SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| ST JOSEPH ELEMENTARY SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| THE CAMPANILE FDN - SDSU             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC BERKELEY FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UC SANTA BARBARA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIV OF DELAWARE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| UNIVERSITY OF GEORGIA FOUNDATION     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00   |
| VISTA GRANDE PTA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$110 00   |
| MEADOWS ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| U S NAVAL ACADEMY FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| UC BERKELEY FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00   |
| VISTA GRANDE PTA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$126 00   |
| LOS ALAMITOS HS PTSA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$130 00   |
| BUCKNELL UNIV                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| MEADOWS ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| MORELAND MIDDLE HSC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| RANCHO VISTA ELEMENTARY SCHOOL PTA   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| TIERRASANTA ELEM SCHOOL FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| VILLANOVA UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00   |
| RBEF                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00   |
| 24TH STREET THEATRE                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| BERNARDO HEIGHTS MIDDLE SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| GENEVA COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| PALOS VERDES HS BOOSTER CLUB         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| RIVIERA ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00   |
| BLOSSOM HILL HOME & SCHOOL CLUB      | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00   |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00   |
| MOUNT ST JOSEPH HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$240 00   |
| AGNES L SMITH ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| COLLEGE OF MOUNT SAINT VINCENT       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| CORNERSTONE BOOSTER CLUB             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| GEORGIA TECH FDN INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| LA COSTA MEADOWS ELEM                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| LUTHER COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| MEDEA CREEK MIDDLE SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| PALOS VERDES HS BOOSTER CLUB         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| RANCHO BERNARDO HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| THE CAMPANILE FDN - SDSU             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| UNIV OF CENTRAL FLORIDA FDN INC      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| VILLANOVA UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| WESTVIEW FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00   |
| BISHOP MONTGOMERY HS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |

|                                   |      |               |                |            |
|-----------------------------------|------|---------------|----------------|------------|
| FROSTBURG STATE UNIV FDN INC      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| RENSSELAER POLYTECHNIC INST       | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00   |
| IOWA STATE UNIV FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| RANCHO SAN DIEGO MUSIC FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00   |
| MVLA HIGH SCHOOL FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00   |
| ADOLFO CAMARILLO HS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$450 00   |
| ST RITA SCHOOL FOR THE DEAF       | None | IRC 501(C)(3) | MATCHING GIFTS | \$468 00   |
| AIKIN ELEMENTARY PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| CAMBRIDGE SCHOOL INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PORTAL SCHOOL COMM ORG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| PURDUE UNIV FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| SUNSET RANCH ELEM SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| THE CAMPANILE FDN - SDSU          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| TIERRASANTA ELEM SCHOOL FDN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00   |
| LOS GATOS EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| WALNUT CREEK EDUC FDN K-12        | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$625 00   |
| CARROLL COMMUNITY COLLEGE         | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| CHABAD HEBREW ACADEMY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| MISSOURI S&T                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00   |
| ANIMO LEADERSHIP CHARTER HS       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ANIMO LEADERSHIP CHARTER HS       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CANYON CREST ACAD FDN INC         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CSULB FOUNDATION                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DAYSpring CHRISTIAN ACADEMY       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| JOHNS HOPKINS UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOS ALTOS EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MAIMONIDES TORAH ACADEMY          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MASTERS COLLEGE AND SEMINARY      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NORTHERN ARIZONA UNIV FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PETERS CANYON PTO                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| REED COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SDSM&T FOUNDATION                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST ANTHONY SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SAN DIEGO FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SANTA BARBARA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF ILLINOIS FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF VIRGINIA COLLEGE FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WAUGH CHAPEL ELEM                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| COLLEGE OF MEDICINE - MAYO CLINIC | None | IRC 501(C)(3) | MATCHING GIFTS | \$2,500 00 |
| COLLEGE OF NEW ROCHELLE           | None | IRC 501(C)(3) | MATCHING GIFTS | \$2,500 00 |
| OFFICE OF ANNUNAL GIVING          | None | IRC 501(C)(3) | MATCHING GIFTS | \$2,500 00 |
| BUCKNELL UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BUTLER UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| COOL SPRING ELEM SCHOOL PTA       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSU NORTHRIDGE FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CSU NORTHRIDGE FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MIT                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| NORFOLK COLLEGIATE SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ODENTON ELEM SCHOOL PTA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RENSSELAER POLYTECHNIC INST       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RIDER UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| SACRED HEART UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| TRINE UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UC SANTA BARBARA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIVERSITY OF CONNECTICUT         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| UNIVERSITY OF MIAMI               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| VIRGINIA TECH FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CAL POLY POMONA GIFT PROCESSING   | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00    |
| INDIANA UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00    |
| NORTHSIDE HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00    |
| UNIV OF AL TUSCALOOSA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00    |
| UNIV OF MD COLLEGE PARK FDN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00    |

|                                       |      |               |                |          |
|---------------------------------------|------|---------------|----------------|----------|
| UNIVERSITY OF MIAMI                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| CRISTO REY JESUIT HS                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$90 00  |
| UC SANTA BARBARA                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$91 00  |
| AL FATIH ACADEMY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ASSOC OF FORMER STUDENTS TEXAS A&M    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ASSOC OF GRADUATES USAF ACAD          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| BREVARD SCHOOL FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CARNEGIE MELLON UNIV                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CORNELL UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CSULB FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| DUKE UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FAY SCHOOL                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| IOWA STATE UNIV FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JAMES MADISON UNIV FDN INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LEXINGTON JUNIOR HIGH                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MIT                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MIT                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MONTEREY RIDGE ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MOUNT ST MARYS UNIV-OFFICE OF DEV+A12 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NORTH CAROLINA STATE UNIV FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NORTH GLENDALE ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NORTHERN ARIZONA UNIV FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NWC FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| OBERLIN COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| OHIO NORTHERN UNIVERSITY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PALOS VERDES PENINSULA HIGH           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENNSYLVANIA STATE UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| POMONA COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PURDUE UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PURDUE UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PURDUE UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| REGENTS OF THE UNIV OF MICHIGAN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RENSSELAER POLYTECHNIC INST           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RICE UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RIVIERA HALL LUTHERAN SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SYRACUSE UNIV                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| TEMPLE UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| TRUSTEES OF PRINCETON UNIV            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCI FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNC FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF IOWA FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF MD COLLEGE PARK FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF MINNESOTA FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| VICTOR ELEM SCHOOL PTA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SETON KEOUGH HIGH SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$119 00 |
| PENNEKAMP ELEM SCHOOL PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| CALLE MAYOR MIDDLE SCHOOL PTSA        | None | IRC 501(C)(3) | MATCHING GIFTS | \$124 00 |
| JOSEPH ARNOLD ELEMENTARY SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$124 00 |
| BISHOP FEEHAN HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| BRIDGEWATER COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CAL POLY POMONA GIFT PROCESSING       | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| PENNEKAMP ELEM SCHOOL PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| PENNEKAMP ELEM SCHOOL PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| WAKE FOREST UNIVERSITY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| GROVE CITY COLLEGE                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| JAMES MADISON UNIV FDN INC            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| MBMS PTA                              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| SEASIDE ELEMENTARY PTA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UC SANTA BARBARA                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UC SANTA BARBARA                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF MD - UNIV COLLEGE FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| VICTOR ELEM SCHOOL PTA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| FFC OF DINGEMAN ELEM SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00 |
| ANZA ELEM SCHOOL PTA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| AUBURN UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CHADBOURNE FAMILY AND FACULTY ASSOC   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CONCORDIA UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CUBBERLEY ELEM SCHOOL PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| POLYTECHNIC INST OF NYU               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ROBERT GODDARD FRENCH IMMERSION       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ROESSLER-CHADWICK FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |

|                                         |      |               |                |          |
|-----------------------------------------|------|---------------|----------------|----------|
| SCRIPPS RANCH HS FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIVERSITY OF NOTRE DAME                | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WELBY WAY ELEM SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MBMS PTA                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$215 00 |
| PENNSYLVANIA STATE UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$220 00 |
| FFC OF DINGEMAN ELEM SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$235 00 |
| FFC OF DINGEMAN ELEM SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$235 00 |
| AUBURN UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| BCP INC                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| BRIDGEBUILDERS PTO INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| COLLEGE OF WILLIAM & MARY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CSULB FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| DON BOSCO TECHNICAL INST                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FRIENDS OF BRADDOCK GIFTED/HIGH ABILITY | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GEORGIA TECH FDN INC                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LA COSTA CANYON HS FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LITTLETON PUBLIC SCHOOLS PTO            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MAES-PARRS PTA                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MOUNT DE SALES HS                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PACIFIC LUTHERAN UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PALOS VERDES HS BOOSTER CLUB            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PALOS VERDES HS BOOSTER CLUB            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PURDUE UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| SCRIPPS COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF CINCINNATI FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF ROCHESTER                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USC                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USC                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USC                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USF FOUNDATION                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WESTVIEW FDN                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WESTVIEW FDN                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CENTRALIA ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| DARBY AVE ELEM SCHOOL PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| HOLMES INTL HUMANITIES MAGNET SCHOOL    | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MEADOWS ELEM SCHOOL PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| RICHARDSON MIDDLE SCHOOL PTSA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| STANFORD UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| THE GREENMOUNT SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| FFC OF DINGEMAN ELEM SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$335 00 |
| HIGH TECH HIGH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| TUSTIN MEMORIAL ACADEMY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$365 00 |
| SERRA HS FOUNDATION INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$375 00 |
| U S NAVAL ACADEMY FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$375 00 |
| FALLSTON HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| MOUNT ST JOSEPH HS                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| MOUNTAIN VIEW EDUC FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| THE YORK SCHOOL                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| TORRANCE EDUC FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| FFC OF DINGEMAN ELEM SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$415 00 |
| ASSOC OF GRADUATES USMA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BIG THOMPSON ELEM SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BRANDEIS UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CA POLYTECHNIC STATE UNIV FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CANYON CREST ACAD FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| EL RODEO ELEMENTARY PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FONTBONNE HALL ACADEMY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FRIENDS OF THE MUSEUM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HIGHLAND HALL INC                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HOWARD UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| IONA PREPARTORY SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MANHATTAN COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MINNIE GANT ELEM PTA                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MIT                                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| NEW ROADS SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| NOTRE DAME ACADEMY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| NOTRE DAME ACADEMY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENNSYLVANIA STATE UNIV                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PURDUE UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PURDUE UNIV FDN                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| RENSSELAER POLYTECHNIC INST             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SOLANO AVE ELEM SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| STONYBROOK FDN                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| U S NAVAL ACADEMY FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF DAYTON                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VICTOR ELEM SCHOOL PTA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VICTOR ELEM SCHOOL PTA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |

|                                        |      |               |                |            |
|----------------------------------------|------|---------------|----------------|------------|
| PANTERA ELEM PTO                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$525 00   |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$550 00   |
| OHIO STATE UNIV FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| WESTSIDE NEIGHBORHOOD SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00   |
| CENTRAL CATHOLIC HS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$612 00   |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$625 00   |
| SAN JOSE HG CTR BOOSTER CLUB           | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00   |
| OAKS CHRISTIAN SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00   |
| ASU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00   |
| ASSOC OF GRADUATES USMA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASSOCIATED BETH RIVKA SCH FOR GIRLS    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BLACK PROFESSIONAL MEN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BROWN UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CAL POLY POMONA GIFT PROCESSING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CENTRAL FULTON SD                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DANIEL J GROSS CATHOLIC HS             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DAYTON CHRISTIAN SCHOOL SYSTEM         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ENCINITA ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GABRIELLA CHARTER SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GARDENA VALLEY CHRISTIAN SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GOOD SHEPHERD LUTHERAN SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HOFSTRA UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| JOHN THOMAS DYE SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| KANSAS STATE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LA CANADA FLINTRIDGE EDUC FDN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MAPLE ELEMENTARY SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MENLO PARK-ATHERTON EDUCATION FDN      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MOUNTAIN VIEW EDUC FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENNSYLVANIA STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| PENNSYLVANIA STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| POWAY HS BAND BOOSTERS                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RIVIERA ELEM SCHOOL PTA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST JAMES SCHOOL EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TEMPLE UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TIERRA PACIFICA CHARTER SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC BERKELEY FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC SANTA BARBARA                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| WESTCHESTER LUTHERAN SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| YESHIVAT OHR HAIM                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ARCHBISHOP CURLEY HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ASU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS) | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| BOYS TOWN USA - SCHOOLS ONLY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| CANISIUS HIGH SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| ERAU                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| HOPE COLLEGE                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| JOHNS HOPKINS UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LA HABRA HIGH SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LEHIGH UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOUISE ARCHER ELEM SCHOOL PTA          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA COLLEGE IN MD ADV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA COLLEGE IN MD ADV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA COLLEGE IN MD ADV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| LOYOLA COLLEGE IN MD ADV OFFICE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MCDANIEL COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OCCIDENTAL COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OFFICE OF ANNUNAL GIVING               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OHIO NORTHERN UNIVERSITY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| OXFORD ACADEMY                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PEPPERDINE UNIV - ANNUAL GIVING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| PEPPERDINE UNIV - ANNUAL GIVING        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| QUINNIPIAC UNIV                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RADFORD UNIV FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| RUTGERS UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| SAINT FRANCIS UNIVERSITY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| SANTA BARBARA MONTESSORI SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |
| STEPHEN T BADIN HIGH SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00    |

|                                          |      |               |                |          |
|------------------------------------------|------|---------------|----------------|----------|
| STONYBROOK FDN                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| SUNSET LANE ELEMENTARY SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| SUNY COLLEGE AT ONEONTA ALUMNI ASSOC INC | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| SUSQUEHANNA UNIV                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| TAU BETA PI ASSOC (SCHOLARSHIPS)         | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| TEMPLE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| THE CAMPANILE FDN - SDSU                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| THE FDN AT NJIT                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| TRUSTEES OF PRINCETON UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UC BERKELEY FDN                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UCI FOUNDATION                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UCLA FOUNDATION                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF COLORADO FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF COLORADO FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF MD COLLEGE PARK FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF MD COLLEGE PARK FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF MISSOURI-KANSAS CITY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF SAN DIEGO                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIV OF SAN DIEGO                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIVERSITY OF CALIFORNIA RIVERSIDE       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIVERSITY OF MIAMI                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIVERSITY OF UTAH                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| UNIVERSITY OF VIRGINIA                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| USC                                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| USC VITERBI SCHOOL OF ENG                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| USC VITERBI SCHOOL OF ENG                | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| USM FOUNDATION                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| VILLANOVA UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| VIRGINIA TECH FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$50 00  |
| MOUNT ST JOSEPH HS                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00  |
| UNIV OF COLORADO FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$60 00  |
| CHAPARRAL EDUCATIONAL FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00  |
| KILMER MIDDLE SCHOOL PTA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00  |
| PURDUE UNIV FDN                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$65 00  |
| RICHARDSON MIDDLE SCHOOL PTSA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$68 00  |
| ATLAS - DOMINION HS                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| COOPER UNION                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| GOOD SHEPHERD CHRISTIAN ACAD             | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| LEHIGH UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| LOYOLA COLLEGE IN MD ADV OFFICE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| PENN STATE UNIV BEHREND COLLEGE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| POTOWMACK ELEMENTARY SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$75 00  |
| ALTA VISTA ELEM SCHOOL PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ASU FOUNDATION                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| AZUSA PACIFIC UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| BINGHAMPTON UNIV FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS)   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| BOSTON UNIV (DEV AND ALUMNI RELATIONS)   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CA INST OF TECHNOLOGY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CAL LUTHERAN UNIV                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CAL POLY POMONA GIFT PROCESSING          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CAMBRIDGE IN AMERICA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CASE WESTERN RESERVE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CASTRO VALLEY EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| COLLEGES OF THE SENECA                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CORNELL UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CORNELL UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CSU NORTHRIDGE FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CSU NORTHRIDGE FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| CSU NORTHRIDGE FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| DREXEL UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FAMU FDN                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FFC OF DINGEMAN ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FLAGLER COLLEGE                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FLORIDA INST OF TECHNOLOGY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| FRANCISCAN UNIV OF STEUBENVILLE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| GEORGE WASHINGTON UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| HOWARD COMM COLLEGE EDUC FDN INC         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JAMES MADISON UNIV FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JAMES MADISON UNIV FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JEFFERSON MIDDLE SCHOOL PTSA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| JUNIPERO SERRA HIGH SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LAKE FOREST COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LEHIGH UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LINCOLN UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LOYOLA COLLEGE IN MD ADV OFFICE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LOYOLA COLLEGE IN MD ADV OFFICE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LOYOLA COLLEGE IN MD ADV OFFICE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| LOYOLA MARYMOUNT UNIV                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |

|                                       |      |               |                |          |
|---------------------------------------|------|---------------|----------------|----------|
| MICHIGAN STATE UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MICHIGAN STATE UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| MIT                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NORTH CAROLINA STATE UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NORTHFIELD MOUNT HERMON SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NOTRE DAME PREPARATORY SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| NYU/OFFICE OF TREASURER               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| OCCIDENTAL COLLEGE                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| OKLAHOMA CITY UNIVERSITY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PENNSYLVANIA STATE UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| PEPPERDINE UNIV - ANNUAL GIVING       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| POLO RIDGE ELEMENTARY PTA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| REGENTS OF THE UNIVERSITY OF MICHIGAN | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RENSSELAER POLYTECHNIC INST           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RICE UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| RIPON COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ROLLINS COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SAINT MARY'S SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SANFORD SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SOUTHWESTERN UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| ST PATRICK'S EPISCOPAL DAY SCHOOL     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| STANFORD UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| STANFORD UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| STANFORD UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| STANFORD UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| STANFORD UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SWEET BRIAR COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| SYRACUSE UNIV                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| THE CA MARITIME ACADEMY FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| THE CITADEL FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UC DAVIS                              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UC SAN DIEGO FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNC WILMINGTON                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF ARIZONA FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF CINCINNATI FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF CONNECTICUT FDN INC           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF IL URBANA-CHAMPAIGN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF IL URBANA-CHAMPAIGN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF MA AMHERST                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF MD COLLEGE PARK FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF PENNSYLVANIA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF PENNSYLVANIA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF ROCHESTER                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF ROCHESTER                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIV OF VIRGINIA ENG FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| UNIVERSITY OF RHODE ISLAND            | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| USC                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| USC MARSHALL SCHOOL OF BUSINESS       | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| USC VITERBI SCHOOL OF ENG             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| VIRGINIA TECH FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| VIRGINIA TECH FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WALTERIA ELEM SCHOOL PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WASHINGTON STATE UNIV FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WESTCHESTER ELEM SCHOOL PTA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WESTERN NEW ENGLAND UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| WISH CHARTER ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| YORK COLLEGE OF PENNSYLVANIA          | None | IRC 501(C)(3) | MATCHING GIFTS | \$100 00 |
| USC                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$105 00 |
| COLORADO STATE UNIV FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$110 00 |
| POWAY HIGH SCHOOL PTSA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$110 00 |
| UNIV AT BUFFALO FDN INC               | None | IRC 501(C)(3) | MATCHING GIFTS | \$115 00 |
| UNIVERSITY OF UTAH                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$120 00 |
| AGNES SCOTT COLLEGE                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CA POLYTECHNIC STATE UNIV FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| CSULB FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$125 00 |
| GEORGIA TECH FDN INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$135 00 |
| ST BONAVENTURE UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$135 00 |
| MEADOWS ELEM SCHOOL PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$140 00 |

|                                        |      |               |                |          |
|----------------------------------------|------|---------------|----------------|----------|
| ANZA ELEM SCHOOL PTA                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CA INST OF TECHNOLOGY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CAL LUTHERAN UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CANISIUS COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| COOPER UNION                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CSULA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| FLATIRON ELEMENTARY SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| GEORGIA TECH FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HEATHERWOOD PTO                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HERITAGE ELEMENTARY SCHOOL PTA         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HOLY SPIRIT SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| HOOD COLLEGE                           | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| JAMES MADISON UNIV FDN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| LAFAYETTE COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| NEVIN PLATT PTO                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| OLD DOMINION UNIV                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| PALOS VERDES PENINSULA HS              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| PARK VILLAGE ELEM EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| QUINCY UNIV CORP                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| RIDGECREST SCHOOL PTA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| ROSE-HULMAN INST OF TECHNOLOGY         | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| SCRIPPS RANCH HS FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| SPRINGBROOK ELEM SCHOOL PTA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| ST JOSEPH HS FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| ST JOSEPH'S INDIAN SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| ST MARY'S HIGH SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA   | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| TRUSTEES OF DARTMOUTH COLLEGE          | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| TRUSTEES OF TUFTS COLLEGE              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UC SANTA CRUZ FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV AT BUFFALO FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF FLORIDA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIVERSITY OF VIRGINIA                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| USC VITERBI SCHOOL OF ENG              | None | IRC 501(C)(3) | MATCHING GIFTS | \$150 00 |
| UNIV OF IL URBANA-CHAMPAIGN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$160 00 |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA   | None | IRC 501(C)(3) | MATCHING GIFTS | \$175 00 |
| FFC OF DINGEMAN ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$180 00 |
| MSU FOUNDATION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$190 00 |
| ST PIUS X REGIONAL SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$198 00 |
| ADOBE BLUFFS ELEM SCHOOL PTA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ALUMNI/FRIENDS FIORELLO H LAGUARDIA HS | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| BELOIT COLLEGE                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| BROWN UNIVERSITY                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CORNELL UNIVERSITY                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| CSULB FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| DUKE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| DUKE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| FFC OF DINGEMAN ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| FRIENDS OF POWAY HIGH FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| GA COLLEGE & STATE UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| GROVE CITY COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| HARVARD UNIV RECORDING SECRETARY       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| JEFFERSON MIDDLE SCHOOL PTSA           | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| KANSAS STATE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| LEHIGH UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| LUTHERAN UNIV ASSOC                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MONTANA STATE UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| MOUNT ST MICHAEL ACADEMY               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| OUR LADY OF THE VALLEY SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| PENINSULA EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| SAINT IGNATIUS HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| SIUE FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST JOSEPH'S COLLEGIATE INSTITUTE       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST LAWRENCE MARTYR ELEM SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST MARY'S COLLEGE                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| ST PHILOMENA ELEM SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| THE HACC FOUNDATION                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| TJHSST ACADEMIC BOOSTERS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| TRUSTEES OF PRINCETON UNIV             | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| TULITA ELEMENTARY SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |

|                                        |      |               |                |          |
|----------------------------------------|------|---------------|----------------|----------|
| U S NAVAL ACADEMY FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UMBC                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF AL TUSCALOOSA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF FLORIDA FDN INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIV OF WISCONSIN FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIVERSITY OF HOUSTON                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| VIRGINIA TECH FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WILLIAMS COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| WOODVILLE ELEMENTARY SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$200 00 |
| UNIVERSITY OF NOTRE DAME               | None | IRC 501(C)(3) | MATCHING GIFTS | \$208 00 |
| CALVERT HALL COLLEGE OF BALTIMORE CITY | None | IRC 501(C)(3) | MATCHING GIFTS | \$209 00 |
| LOCH RAVEN HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$209 00 |
| CANYON MIDDLE SCHOOL PTA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$220 00 |
| ASSOC OF GRADUATES USMA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$225 00 |
| FFC OF DINGEMAN ELEM SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$235 00 |
| ASSOC OF GRADUATES USMA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| BRIDGEBUILDERS PTO INC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CATHOLIC MEMORIAL SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CHAPARRAL MIDDLE SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CHRIST THE KING SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| COLLEGE OF WILLIAM & MARY              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CORNERSTONE BOOSTER CLUB               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| CSULA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| DAMIEN HIGH SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| DUKE UNIVERSITY                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| EL SEGUNDO EDUC FOUNDATION             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| EL SEGUNDO HIGH SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FOVA                                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| FRIENDS OF BRADDOCK GIFTED MAGNET      | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GEORGE MASON UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GERMAIN ST ELEMENTARY PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GLENELG COUNTRY SCHOOL                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| GLENELG HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| JAMES MADISON UNIV FDN INC             | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| JOAQUIN MILLER MIDDLE SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LONG ISLAND UNIV                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LOWES ISLAND ELEM SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LOYOLA BLAKEFIELD HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| LUNADA BAY ELEMENTARY PTA              | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MANHATTAN BEACH EDUC FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MILKEN COMMUNITY HIGH SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MIRA COSTA HS PTSA                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| MIT                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| NORTHEASTERN UNIV OFFICE OF DEV        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PORTLAND STATE UNIV FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| PUNAHOU SCHOOL                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RENSSELAER POLYTECHNIC INST            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| RUHS THEATER ARTS BOOSTER CLUB         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| SISTERS ACADEMY OF BALTIMORE INC       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| SKYBLUE MESA PTO                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| SOUTHEASTERN DEVELOPMENT FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| SRU FOUNDATION INC                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| ST JOSEPH'S COLLEGIATE INSTITUTE       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| STANFORD UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE 98 FUND                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE NEW COMMUNITY SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| THE PEMBROKE HILL SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UC BERKELEY FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UC DAVIS                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UC SAN DIEGO FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UC SANTA BARBARA                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UCLA FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNION COLLEGE                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF DAYTON                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF DE ALUMNI ASSOC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF DELAWARE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF HAWAII FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF PENNSYLVANIA                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF TEXAS AT AUSTIN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| UNIV OF VIRGINIA ENG FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| USC                                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |
| WHEATON COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$250 00 |

|                                      |      |               |                |          |
|--------------------------------------|------|---------------|----------------|----------|
| LEHIGH UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$251 00 |
| ST JOSEPH'S COLLEGIATE INSTITUTE     | None | IRC 501(C)(3) | MATCHING GIFTS | \$265 00 |
| EL SEGUNDO EDUC FOUNDATION           | None | IRC 501(C)(3) | MATCHING GIFTS | \$270 00 |
| PENINSULA EDUC FDN                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$280 00 |
| CLEVELAND HS BOOSTER CLUB            | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| CSULB FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| ELON UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS   | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| LOYOLA UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| MOUNT ST JOSEPH HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| STANFORD UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| THE COLORADO COLLEGE                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| THE MIRMAN SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UC DAVIS                             | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIV OF IL URBANA-CHAMPAIGN          | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIV OF ILLINOIS FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIVERSITY OF HOUSTON                | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UNIVERSITY OF NOTRE DAME             | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| WILDE LAKE MIDDLE SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$300 00 |
| UCI FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$318 00 |
| CA POLYTECHNIC STATE UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$330 00 |
| CA POLYTECHNIC STATE UNIV FDN        | None | IRC 501(C)(3) | MATCHING GIFTS | \$336 00 |
| THURGOOD MARSHALL MIDDLE SCHOOL PTSA | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| UCLA FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| VIRGINIA TECH FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$350 00 |
| DA VINCI SCHOOLS                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$365 00 |
| DA VINCI SCHOOLS                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$365 00 |
| DA VINCI SCHOOLS                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$365 00 |
| CHAPARRAL EDUCATIONAL FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$370 00 |
| PENNEKAMP ELEM SCHOOL PTA            | None | IRC 501(C)(3) | MATCHING GIFTS | \$375 00 |
| ST ANASTATIA SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$375 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS   | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| JERABEK ELEMENTARY FFO               | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| LUTHERAN UNIV ASSOC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| MOUNT ST JOSEPH HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| POWAY HS BAND BOOSTERS               | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| SHOAL CREEK ELEM SCHOOL PTA          | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| UNIV OF WISCONSIN FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| USC VITERBI SCHOOL OF ENG            | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| VILLANOVA UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| WISH CHARTER ELEMENTARY SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$400 00 |
| AACS                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| AMERICAN UNIVERSITY                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BISHOP MCNAMARA HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| BRIGHAM YOUNG UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CA INST OF TECHNOLOGY                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CASE WESTERN RESERVE UNIV            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| COLORADO SPRINGS CONSERVATORY FDN    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CONCORDIA UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| COOPER UNION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| COOPER UNION                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| CORNELL UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| EL SEGUNDO EDUC FOUNDATION           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FAIRFIELD UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FRIENDS OF PALMS MIDDLE SCHOOL       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HARVARD UNIV RECORDING SECRETARY     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| HUN SCHOOL OF PRINCETON              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| KU ENDOWMENT (EXPENDABLE FUND)       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| LOWES ISLAND ELEM SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| LOYOLA HIGH SCHOOL OF LOS ANGELES    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MANHATTAN BEACH EDUC FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MAYFIELD JUNIOR SCHOOL               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MCDANIEL COLLEGE                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MCDONOGH SCHOOL INC                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MIT                                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MONTANA STATE UNIV FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| MUSKINGUM UNIVERSITY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| OAKLAND UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| OAKRIDGE SCHOOL                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |

|                                       |      |               |                |          |
|---------------------------------------|------|---------------|----------------|----------|
| OREGON STATE UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| OXFORD ACADEMY FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA CATHOLIC HIGH SCHOOL        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PENINSULA EDUC FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| POWAY HS BAND BOOSTERS                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| PURDUE UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| REGENTS OF THE UNIVERSITY OF MICHIGAN | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| REGENTS OF THE UNIVERSITY OF MICHIGAN | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ROSARY HIGH SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ROSE-HULMAN INST OF TECHNOLOGY        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SAN MARINO SCHOOLS FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SARAH LAWRENCE COLLEGE                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SCMOQ                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SEVERN SCHOOL                         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| SOUTHERN METHODIST UNIVERSITY         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ST ANDREWS PRESBYTERIAN COLLEGE       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ST JAMES SCHOOL EDUC FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| ST JOHN FISHER COLLEGE                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| STEVENS INST OF TECHNOLOGY            | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| THE HEIGHTS SCHOOL                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TOWSON UNIV FDN INC                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRINITY SCHOOL                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| TRUSTEES OF DARTMOUTH COLLEGE         | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC BERKELEY FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC SAN DIEGO FDN                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC SANTA BARBARA                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UC SANTA BARBARA                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF CENTRAL FLORIDA FDN INC       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF HAWAII FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF MD COLLEGE PARK FDN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF OKLAHOMA FDN INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIV OF PENNSYLVANIA                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIVERSITY OF RICHMOND                | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UNIVERSITY OF TENNESSEE               | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| USM FOUNDATION                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| UW-EAU CLAIRE FOUNDATION INC          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| VIRGINIA TECH FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| WESTVIEW FDN                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| WHEATON COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| WILDWOOD SCHOOL                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| YESHIVA UNIVERSITY                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$500 00 |
| FAY SCHOOL                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$525 00 |
| COLUMBIA UNIVERSITY                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$550 00 |
| RANCHO SAN DIEGO MUSIC FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$550 00 |
| PALOMAR COLLEGE FOUNDATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$595 00 |
| FRIENDS OF TAPULANGA INC              | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00 |
| M A C K                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00 |
| UCLA FOUNDATION                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00 |
| WRIGHT STATE UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$600 00 |
| MANHATTAN BEACH EDUC FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$625 00 |
| PACIFIC LUTHERAN HIGH SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00 |
| TRINITY PREPARATORY SCHOOL            | None | IRC 501(C)(3) | MATCHING GIFTS | \$650 00 |
| SACRED HEART ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$675 00 |
| FRIENDS OF LANAI BOOSTER (FOLB)       | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00 |
| MICHIGAN STATE UNIV                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00 |
| TAU BETA PI ASSOC (SCHOLARSHIPS)      | None | IRC 501(C)(3) | MATCHING GIFTS | \$700 00 |
| BROWN UNIVERSITY                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00 |
| MANHATTAN BEACH EDUC FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00 |
| PURDUE UNIV FDN                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00 |
| ST LUCY'S PRIORY HS                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00 |
| UNIV OF PITTSBURGH                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$750 00 |
| THE CITY COLLEGE FUND                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00 |
| UNIV OF IL URBANA-CHAMPAIGN           | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00 |
| WISH CHARTER ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00 |
| YESHIVA GEDOLAH OF LOS ANGELES        | None | IRC 501(C)(3) | MATCHING GIFTS | \$800 00 |
| PA HIGHLANDS COMM COLL FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$810 00 |
| MORELAND EDUC FDN                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00 |
| MOUNT ST JOSEPH HS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$900 00 |

|                                     |      |               |                |            |
|-------------------------------------|------|---------------|----------------|------------|
| ST JOHN VIANNEY SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$925 00   |
| WESTVIEW FDN                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$925 00   |
| AACS                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| AACS                                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ALLEM                               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ANIMO LOCKE CHARTER HS #3           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ARCHBISHOP SPALDING HS              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ASSOC OF GRADUATES USMA             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BEMIDJI STATE UNIV FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BISHOP MONTGOMERY HS                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| BOSTON COLLEGE TRUSTEES             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CA POLYTECHNIC STATE UNIV FDN       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CARMENITA MIDDLE SCHOOL PTA         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CARNEGIE MELLON UNIV                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CATHOLIC UNIV OF AMER DEV OFFICE    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| CLAIRBOURN SCHOOL                   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| COLBY COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| COLLEGE OF WILLIAM & MARY           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DEEP SPRINGS CORPORATION            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| DORDT COLLEGE                       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| FDN FOR CA UNIV OF PA               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GEORGE MASON UNIV FDN               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| GOETHE INTL CHARTER SCHOOL OF LA    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HARVEY MUDD COLLEGE - GIFT RECORDS  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HERMOSA BEACH EDUC FDN              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HIGH POINT UNIV                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HILLCREST CHRISTIAN SCHOOL          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| HOLY TRINITY EPISCOPAL ACAD         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| IOWA STATE UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOS ALTOS EDUC FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOYOLA HIGH SCHOOL OF LOS ANGELES   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOYOLA HIGH SCHOOL OF LOS ANGELES   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOYOLA HIGH SCHOOL OF LOS ANGELES   | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LOYOLA MARYMOUNT UNIV               | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| LUTHERAN UNIV ASSOC                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MANHATTAN BEACH EDUC FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MINNIE GANT ELEM PTA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| MISSOURI S&T                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NEBRASKA WESLEYAN UNIV              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| NOTRE DAME HIGH SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OCEAN CHARTER SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OCEAN CHARTER SCHOOL                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OHIO STATE UNIV FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| OKLAHOMA STATE UNIV FDN             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| P S 212 MIDTOWN WEST SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RANDOLPH SCHOOL                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| REED COLLEGE                        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RENSSELAER POLYTECHNIC INST         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| RESEDA SENIOR HIGH SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ROSE STATE COLLEGE FDN INC          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SOUTH BAY CHABAD INC                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SOUTH LOOP ELEM SCHOOL              | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| SOUTHERN METHODIST UNIVERSITY       | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST ANNES SCHOOL OF ANNAPOLIS INC    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST ATHANASIUS ELEM SCHOOL           | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST JOHNS COLLEGE IN SANTA FE        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| ST NICHOLAS SCHOOL                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| THE COLORADO SPRINGS SCHOOL         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| THE LAB SCHOOL OF WASHINGTON        | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| TRINITY CHRISTIAN SCHOOL OF FAIRFAX | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UC DAVIS                            | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UCLA FOUNDATION                     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNION CATHOLIC REGIONAL HS          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF COLORADO FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF IOWA FDN                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF MD COLLEGE PARK FDN         | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF NEBRASKA FDN                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF PENNSYLVANIA                | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF REDLANDS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF REDLANDS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF REDLANDS                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIV OF WYOMING FDN                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| UNIVERSITY OF GEORGIA FOUNDATION    | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| USC                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| USC                                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| USC MARSHALL SCHOOL OF BUSINESS     | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |
| USM FOUNDATION                      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00 |

|                                  |      |               |                |              |
|----------------------------------|------|---------------|----------------|--------------|
| VILLANOVA UNIVERSITY             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| W F WEST HIGH SCHOOL             | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| W I S E                          | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| WESTCHESTER LUTHERAN SCHOOL      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| WILLENBERG SPECIAL EDUC CTR      | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| WYOMING SEMINARY                 | None | IRC 501(C)(3) | MATCHING GIFTS | \$1,000 00   |
| THE WILLISTON NORTHAMPTON SCHOOL | None | IRC 501(C)(3) | MATCHING GIFTS | \$2,500 00   |
| UNION COLLEGE                    | None | IRC 501(C)(3) | MATCHING GIFTS | \$2,500 00   |
| NEW VILLAGE CHARTER SCHOOL INC   | None | IRC 501(C)(3) | MATCHING GIFTS | \$5,000 00   |
| PURDUE UNIV FDN                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$5,000 00   |
| UCLA FOUNDATION                  | None | IRC 501(C)(3) | MATCHING GIFTS | \$5,000 00   |
| GEORGE MASON UNIV FDN            | None | IRC 501(C)(3) | MATCHING GIFTS | (\$1,000 00) |

ADD CHECK REVERSAL IN 2012 INCLUDED IN ABOVE TOTAL

|    |                   |
|----|-------------------|
| \$ | 829,376.98        |
|    | <u>\$1,000 00</u> |
| \$ | <u>830,376.98</u> |

| Name                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager or<br>substantial contributor |  | Foundation status<br>of Recipient | Purpose of Grant or Contribution         | NET PAYMENT            |
|------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--|-----------------------------------|------------------------------------------|------------------------|
|                                          |                                                                                                                    |  |                                   |                                          |                        |
| GREAT MINDS IN STEM                      | None                                                                                                               |  | IRC 501(C)(3)                     | VIVA TECHNOLOGY                          | \$50,000 00            |
| NATIONAL ENGINEERS WEEK FDN              | None                                                                                                               |  | IRC 501(C)(3)                     | 2011 ANNIVERSARY INITIATIVES             | \$50,000 00            |
| NACME                                    | None                                                                                                               |  | IRC 501(C)(3)                     | PROGRAM SUPPORT/NACME SCHOLARSHIPS       | \$100,000 00           |
| TEACH FOR AMERICA                        | None                                                                                                               |  | IRC 501(C)(3)                     | CAMPAIGN FOR EDUCATIONAL EXCELLENCE AND  | \$100,000 00           |
| WOLF TRAP FDN FOR THE PERFORMING ARTS    | None                                                                                                               |  | IRC 501(C)(3)                     | STEM LEARNING THROUGH THE ARTS           | \$100,000 00           |
| U S SPACE & ROCKET CTR FDN               | None                                                                                                               |  | IRC 501(C)(3)                     | SPACE CAMP SCHOLARSHIP/SPONSORSHIP PROGR | \$117,000 00           |
| MATHCOUNTS FOUNDATION                    | None                                                                                                               |  | IRC 501(C)(3)                     | NAT'L AND WEBSITE SPONSORSHIP            | \$175,000 00           |
| SCIENCE BUDDIES                          | None                                                                                                               |  | IRC 501(C)(3)                     | AERODYNAMICS & HYDRODYNAMICS RESOURCES   | \$72,750 00            |
| CARNEGIE MELLON                          | None                                                                                                               |  | IRC 501(C)(3)                     | SAMS                                     | \$85,000 00            |
| SCIENCE SPARK                            | None                                                                                                               |  | IRC 501(C)(3)                     | 2ND USA SCIENCE & ENG FESTIVAL           | \$100,000 00           |
| LET S GO BOYS AND GIRLS C/O NEHEMIAH COM | None                                                                                                               |  | IRC 501(C)(3)                     | STEM MANUALS/HELP DESK/TRAINING          | \$200,000 00           |
| WGBH EDUCATION FOUNDATION                | None                                                                                                               |  | IRC 501(C)(3)                     | DESIGN SQUAD                             | \$230,000 00           |
| CONSERVATION INT'L FDN                   | None                                                                                                               |  | IRC 501(C)(3)                     | TEAM GLOBAL ENVIRON MONITORING NETWORK   | \$900,000 00           |
| SOCIETY FOR SCIENCE AND THE PUBLIC       | None                                                                                                               |  | IRC 501(C)(3)                     | INTEL ISEF PUBLIC EDUC OUTREACH DAY      | \$25,000 00            |
| NATIONAL UNIVERSITY                      | None                                                                                                               |  | IRC 501(C)(3)                     | SALLY RIDE SCIENCE                       | \$150,250 00           |
| PENNSYLVANIA STATE UNIV                  | None                                                                                                               |  | IRC 501(C)(3)                     | LUNAR LION                               | \$1,500 00             |
| USO                                      | None                                                                                                               |  | IRC 501(C)(3)                     | N/A                                      | \$1,750,000 00         |
| KISS INST FOR PRACTICAL ROBOTICS         | None                                                                                                               |  | IRC 501(C)(3)                     | GLOBAL CONF ON EDUC ROBOTICS             | \$80,000 00            |
| THE REC FOUNDATION                       | None                                                                                                               |  | IRC 501(C)(3)                     | ATTN JENIFER COPIOLI                     | \$120,000 00           |
| GREAT MINDS IN STEM                      | None                                                                                                               |  | IRC 501(C)(3)                     | HENAAC SCHOLARS                          | \$15,000 00            |
| AIR FORCE ASSOCIATION                    | None                                                                                                               |  | IRC 501(C)(3)                     | CYBERPATRIOT                             | \$750,000 00           |
| UNITED NEGRO COLLGE FUND INC             | None                                                                                                               |  | IRC 501(C)(3)                     | NG SCHOLARSHIPS                          | \$30,000 00            |
|                                          |                                                                                                                    |  |                                   |                                          | <u>\$ 5,201,500 00</u> |
|                                          |                                                                                                                    |  |                                   |                                          |                        |
| USO                                      |                                                                                                                    |  |                                   |                                          | 1,750,000.00           |
| OTHERS                                   |                                                                                                                    |  |                                   |                                          | 3,451,500.00           |
| TOTAL                                    |                                                                                                                    |  |                                   |                                          | <u>5,201,500 00</u>    |

| Payee Name                    | If recipient is an individual,<br>show any relationship to any<br>foundation manager or | Foundation status of<br>recipient | Purpose of grant or<br>contribution | Net payment          |
|-------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------|-------------------------------------|----------------------|
| AMERICARES                    | NONE                                                                                    | IRC 501 (C)(3)                    | DISASTER RELIEF                     | \$ 130,500.34        |
| SAVE THE CHILDREN             | NONE                                                                                    | IRC 501 (C)(3)                    | DISASTER RELIEF                     | \$ 136,899 33        |
| ARC INTERNATIONAL RELIEF FUND | NONE                                                                                    | IRC 501 (C)(3)                    | DISASTER RELIEF                     | \$ 609,334.08        |
|                               |                                                                                         |                                   |                                     | <u>\$ 876,733 75</u> |

### **Weightless Flights of Discovery Program**

The number of American students entering the science, technology, engineering and mathematics (STEM) fields across the country is steadily declining. Making science and math fascinating and applicable for students is one way to reverse this trend. We believe that to effectively engage students the nation needs educators who are excited about these fields and who can bring unique learning opportunities into their classrooms, directly impacting student's interest in the areas of STEM.

The Northrop Grumman Foundation Weightless Flights of Discovery Program (WFOD) is a professional development program that focuses its efforts on middle school math and science teachers and college students studying to become teachers. As a result, the program is able to send teachers back to the classroom to deliver its message to students. Since its inception in 2006, more than 1,300 teachers have participated in the program and more than 1.2 million students have benefitted from the teacher experiences.

### **Research**

With the demand for scientists, technologists, engineers and mathematicians on the rise, Northrop Grumman noticed the pool of qualified applicants shrinking. To effectively address the United States shortage of college graduates in these disciplines, the Northrop Grumman Foundation began targeting middle-school math and science teachers to reach students at a formative stage in their education.

In 2006, the Foundation teamed with Zero Gravity Corporation to develop the WFOD program, offering practicing and future school teachers the chance to participate in hands-on science workshops and perform experiments in a parabolic or "zero-gravity" aircraft flight. Now a successful annual program, the flight creates temporary weightlessness comparable to what humans would experience in outer space. Educators are able to experience for themselves the Theories of physics that they have been teaching their students. Armed with their videotaped experience and the results of their experiments, WFOD teachers are able to enhance their curricula and share their first-hand experience in a space-like environment with their students.

### **Strategy**

The Northrop Grumman Foundation has held the WFOD program in cities where the company maintains a strong presence including Los Angeles, Newport News, Va., Baltimore, Colorado Springs, Colo., Washington D.C., Bethpage, New York, Dallas and New Orleans. The 2009 WFOD program targeted four markets: Albuquerque, NM, Detroit, Norwalk, Conn; and Washington, D.C. A geographically-diverse set of locations allowed 120 teachers from across the country to participate in the program, ensuring a broad range of programs participants and maximizing local community outreach. WFOD utilized a standardized, sequential program for participants in all cities. Teachers were required to: complete the National Science Teachers' Association online course on force and motion; attend a day-long, pre-flight workshop conducted by trained microgravity educators on how to design experiments; perform experiments in a zero-gravity environment aboard ZERO-G's G-Force One aircraft; integrate the experience back in their classrooms; and complete a follow-up survey.

In February 2009 the Northrop Grumman Foundation released a feature documentary film, "Inspire Me! Weightless Flights of Discovery," that chronicles the crisis affecting science and technology education in the U.S. as it follows the journeys of several teachers who participated in the program. The film shows the teachers, experiencing "zero-G" aboard the micro-gravity training aircraft, and provides an inside look at the inspiration the teachers drew from their experiences and how the program impacted their teaching methods and their students. The film was rolled out at the 2009 National Science Teachers Association annual conference, and digital copies are being provided to educators for classroom discussion.

Northrop Grumman also integrated social media into its communications strategy in June 2009 to keep teachers, students, and science and space enthusiasts informed about the program. Through continuous news updates and information sharing on Facebook and Twitter, Northrop Grumman engaged fans and followers in real-time conversation on the importance of high-quality STEM education in American schools

#### **Execution**

In each city, flight day consisted of a 1.5- to 2.5-hour flight, during which teachers performed their experiments while being videotaped and photographed. With this hands-on learning experience, teachers were able to convey major concepts, principles, theories and laws of microgravity to their students

#### **Evaluation**

The Northrop Grumman Foundation's WFOD program has been a quantitative and qualitative success.

Since its inception, the program has trained 1,332 teachers from every state in the nation. In a survey of more than 200 WFOD teachers published in 2008, 78 percent reported a rise in the number of students who have expressed a desire to pursue a career in a science or math-related field. Seventy-four percent report an increased number of students expressing a desire to continue to study science and math in high school and/or college. Also, according to the survey, nearly 84 percent of teachers report that previously disinterested students have shown renewed interest in science and math. In program evaluations, WFOD teachers report that they were inspired and motivated to improve and enhance their teaching methods, and flight videos provided critical educational value in the classroom.

Northrop Grumman Foundation  
Schedule of Realized Gains/Losses  
Tax Year Ended December 31, 2011

ein: 95-6095343

|                               | Page<br># | <u>Proceeds</u>   | <u>Cost</u>       | <u>Gain</u>    | <u>Loss</u> |
|-------------------------------|-----------|-------------------|-------------------|----------------|-------------|
| Short-term transactions       | 139       | 11,803,714        | 11,772,779        | 30,935         | -           |
| Long-term transactions        | 213       | 7,180,853         | 6,999,993         | 180,860        | -           |
| Totals - Form 990-PF, Part IV |           | <u>18,984,567</u> | <u>18,772,772</u> | <u>211,795</u> | <u>-</u>    |

### Proceeds From Broker Transactions

This section reports your proceeds from sales during the year. We report all transactions on a trade-date basis, which is the date shown in the sale date column. The net proceeds are less commissions, transfer charges, and premiums.

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (\*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description               | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| DIGITAL RIV INC           | 25388B104 | 4                | 11/30/10            | 01/03/11  | \$141 26                         | \$148 47                               | \$-7 21          |
| DIGITAL RIV INC           | 25388B104 | 9                | 12/14/10            | 01/03/11  | \$317 82                         | \$344 25                               | \$-26 43         |
| JOY GLOBAL INC            | 481165108 | 5                | 12/15/10            | 01/04/11  | \$437 06                         | \$418 00                               | \$19 06          |
| JOY GLOBAL INC            | 481165108 | 13               | 12/15/10            | 01/04/11  | \$1,136 37                       | \$1,086 80                             | \$49 57          |
| APPLE COMPUTER INC        | 037833100 | 11               | 12/14/10            | 01/05/11  | \$3,662 26                       | \$3,518 43                             | \$143 83         |
| APPLE COMPUTER INC        | 037833100 | 1                | 11/17/10            | 01/05/11  | \$332 93                         | \$326 71                               | \$6 22           |
| PACKAGING CORP AMER       | 695156109 | 89               | 02/10/10            | 01/05/11  | \$2,365 14                       | \$1,908 16                             | \$456 98         |
| PACKAGING CORP AMER       | 695156109 | 11               | 12/15/10            | 01/05/11  | \$292 32                         | \$293 70                               | \$-1 38          |
| MASTERCARD INC CL A       | 57636Q104 | 54               | 02/02/10            | 01/05/11  | \$12,284 87                      | \$13,656 60                            | \$-1,371 73      |
| MASTERCARD INC CL A       | 57636Q104 | 2                | 12/11/10            | 01/05/11  | \$454 99                         | \$575 60                               | \$-120 61        |
| MASTERCARD INC CL A       | 57636Q104 | 21               | 12/11/10            | 01/05/11  | \$4,777 44                       | \$6,080 03                             | \$-1,302 59      |
| MASTERCARD INC CL A       | 57636Q104 | 7                | 02/09/10            | 01/05/11  | \$1,592 48                       | \$1,568 00                             | \$24 48          |
| MASTERCARD INC CL A       | 57636Q104 | 3                | 07/01/10            | 01/05/11  | \$682 49                         | \$607 56                               | \$74 93          |
| ARCH CAPITAL GROUP LTD    | G0450A105 | 16               | 12/15/10            | 01/07/11  | \$1,384 91                       | \$1,434 88                             | \$-49 97         |
| SKYWORKS SOLUTIONS INC    | 83088M102 | 44               | 12/15/10            | 01/10/11  | \$1,392 21                       | \$1,175 24                             | \$216 97         |
| SKYWORKS SOLUTIONS INC    | 83088M102 | 32               | 12/15/10            | 01/10/11  | \$1,012 51                       | \$854 72                               | \$157 79         |
| SKYWORKS SOLUTIONS INC    | 83088M102 | 14               | 02/10/10            | 01/10/11  | \$442 98                         | \$195 02                               | \$247 96         |
| SIGNATURE BK NEW YORK N Y | 82669G104 | 19               | 12/14/10            | 01/11/11  | \$921 86                         | \$894 52                               | \$27 34          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SIGNATURE BK NEW YORK N Y          | 82669G104 | 9                | 04/07/10            | 01/11/11  | \$436 67                         | \$340 12                               | \$96 55          |
| FRONTIER OIL CORP                  | 35914P105 | 50               | 12/14/10            | 01/11/11  | \$909 28                         | \$830 50                               | \$78 78          |
| ITT IND INC IND                    | 450911102 | 5                | 04/26/10            | 01/12/11  | \$300 61                         | \$285 85                               | \$14 76          |
| CHILDREN'S PLACE                   | 16890S107 | 22               | 12/15/10            | 01/14/11  | \$986 11                         | \$1,098 63                             | \$-112 52        |
| CHILDREN'S PLACE                   | 16890S107 | 34               | 10/28/10            | 01/14/11  | \$1,523 99                       | \$1,495 78                             | \$28 21          |
| ATLAS AIR WORLDWIDE HLDGS INC NEW  | 049164205 | 8                | 12/15/10            | 01/14/11  | \$411 51                         | \$448 54                               | \$-37 03         |
| VEECO INSTRS INC DEL               | 922417100 | 48               | 09/14/10            | 01/14/11  | \$2,276 98                       | \$1,704 76                             | \$572 22         |
| VEECO INSTRS INC DEL               | 922417100 | 35               | 12/15/10            | 01/14/11  | \$1,660 29                       | \$1,495 46                             | \$164 83         |
| GARTNER GROUP INC NEW CL A         | 366651107 | 87               | 09/02/10            | 01/14/11  | \$3,009 93                       | \$2,542 53                             | \$467 40         |
| PIONEER DRILLING CO                | 72365S106 | 101              | 12/15/10            | 01/14/11  | \$854 48                         | \$766 34                               | \$88 14          |
| GREAT LAKES DREDGE & DOCK CORP NEW | 390607109 | 290              | 12/15/10            | 01/14/11  | \$2,434 16                       | \$2,281 00                             | \$153 16         |
| INFORMATICA CORP                   | 45666Q102 | 33               | 12/15/10            | 01/14/11  | \$1,459 63                       | \$1,444 99                             | \$14 64          |
| INFORMATICA CORP                   | 45666Q102 | 64               | 09/02/10            | 01/14/11  | \$2,830 79                       | \$2,110 81                             | \$719 98         |
| JO-ANN STORES INC                  | 47758P307 | 10               | 12/15/10            | 01/14/11  | \$602 32                         | \$453 38                               | \$148 94         |
| JO-ANN STORES INC                  | 47758P307 | 3                | 09/29/10            | 01/14/11  | \$180 70                         | \$133 03                               | \$47 67          |
| JO-ANN STORES INC                  | 47758P307 | 9                | 09/14/10            | 01/14/11  | \$542 08                         | \$397 60                               | \$144 48         |
| JO-ANN STORES INC                  | 47758P307 | 28               | 06/18/10            | 01/14/11  | \$1,686 49                       | \$1,205 29                             | \$481 20         |
| KIT DIGITAL INC                    | 482470200 | 33               | 12/15/10            | 01/14/11  | \$502 10                         | \$495 58                               | \$6 52           |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 261              | 12/14/10            | 01/14/11  | \$6,988 47                       | \$4,914 63                             | \$2,073 84       |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 53               | 04/26/10            | 01/14/11  | \$1,419 12                       | \$636 00                               | \$783 12         |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 46               | 04/15/10            | 01/14/11  | \$1,231 68                       | \$516 39                               | \$715 29         |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 52               | 03/23/10            | 01/14/11  | \$1,392 34                       | \$547 56                               | \$844 78         |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 11               | 03/25/10            | 01/14/11  | \$226 95                         | \$211 92                               | \$15 03          |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 12               | 04/26/10            | 01/14/11  | \$247 59                         | \$245 83                               | \$1 76           |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 87               | 04/26/10            | 01/14/11  | \$1,795 01                       | \$1,782 30                             | \$12 71          |
| COOPER TIRE & RUBBER CO            | 216831107 | 6                | 12/15/10            | 01/14/11  | \$140 15                         | \$139 90                               | \$0 25           |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COOPER TIRE & RUBBER CO            | 216831107 | 1                | 12/15/10            | 01/14/11  | \$23 50                          | \$23 32                                | \$0 18           |
| COOPER TIRE & RUBBER CO            | 216831107 | 10               | 12/15/10            | 01/18/11  | \$226 52                         | \$233 18                               | \$-6 66          |
| CB RICHARD ELLIS GROUP INC CL A    | 12497T101 | 41               | 12/15/10            | 01/18/11  | \$915 72                         | \$836 40                               | \$79 32          |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 160              | 02/12/10            | 01/18/11  | \$4,135 52                       | \$1,481 60                             | \$2,653 92       |
| ATMEL CORP COM                     | 049513104 | 204              | 12/14/10            | 01/18/11  | \$2,809 86                       | \$2,409 24                             | \$400 62         |
| ARM HLDGS PLC SPONSORED ADR        | 042068106 | 96               | 03/23/10            | 01/18/11  | \$2,481 31                       | \$1,010 88                             | \$1,470 43       |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 26               | 12/03/10            | 01/19/11  | \$2,779 68                       | \$2,837 51                             | \$-57 83         |
| PRICELINE COM INC                  | 741503403 | 6                | 12/03/10            | 01/19/11  | \$2,592 64                       | \$2,465 97                             | \$126 67         |
| EMC CORPORATION                    | 268648102 | 53               | 12/10/10            | 01/19/11  | \$1,285 33                       | \$1,218 82                             | \$66 51          |
| FRANKLIN RESOURCES INC             | 354613101 | 25               | 12/01/10            | 01/19/11  | \$2,963 83                       | \$2,938 36                             | \$25 47          |
| GOOGLE INC CL A                    | 38259P508 | 5                | 12/14/10            | 01/19/11  | \$3,172 04                       | \$2,968 04                             | \$204 00         |
| F5 NETWORKS INC                    | 315616102 | 1                | 12/17/10            | 01/20/11  | \$108 17                         | \$136 93                               | \$-28 76         |
| MGIC INVT CORP WIS                 | 552848103 | 45               | 05/07/10            | 01/20/11  | \$413 12                         | \$403 00                               | \$10 12          |
| F5 NETWORKS INC                    | 315616102 | 138              | 12/17/10            | 01/20/11  | \$15,128 87                      | \$18,896 78                            | \$-3,767 91      |
| NESTLE S A ADR REG                 | 641069406 | 100              | 12/14/10            | 01/20/11  | \$5,425 18                       | \$5,845 00                             | \$-419 82        |
| MGIC INVT CORP WIS                 | 552848103 | 134              | 04/22/10            | 01/20/11  | \$1,230 18                       | \$1,585 93                             | \$-355 75        |
| MGIC INVT CORP WIS                 | 552848103 | 53               | 04/26/10            | 01/20/11  | \$486 56                         | \$612 68                               | \$-126 12        |
| MGIC INVT CORP WIS                 | 552848103 | 200              | 12/15/10            | 01/20/11  | \$1,836 08                       | \$1,926 00                             | \$-89 92         |
| F5 NETWORKS INC                    | 315616102 | 11               | 12/14/10            | 01/20/11  | \$1,205 92                       | \$1,472 73                             | \$-266 81        |
| KADANT INC                         | 48282T104 | 35               | 12/14/10            | 01/21/11  | \$757 73                         | \$822 15                               | \$-64 42         |
| KADANT INC                         | 48282T104 | 119              | 04/07/10            | 01/21/11  | \$2,576 30                       | \$1,880 14                             | \$696 16         |
| KAYDON CORP                        | 486587108 | 6                | 12/30/10            | 01/21/11  | \$229 98                         | \$244 06                               | \$-14 08         |
| KAYDON CORP                        | 486587108 | 41               | 12/14/10            | 01/21/11  | \$1,571 50                       | \$1,636 72                             | \$-65 22         |
| KAYDON CORP                        | 486587108 | 3                | 03/16/10            | 01/21/11  | \$114 99                         | \$118 65                               | \$-3 66          |
| KAYDON CORP                        | 486587108 | 104              | 04/07/10            | 01/21/11  | \$3,986 23                       | \$3,999 45                             | \$-13 22         |
| KAYDON CORP                        | 486587108 | 5                | 03/30/10            | 01/21/11  | \$191 65                         | \$190 59                               | \$1 06           |

### Short term transactions

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| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| KAYDON CORP                  | 486587108 | 1                | 10/05/10            | 01/21/11  | \$38 33                          | \$35 50                                | \$2 83           |
| KAYDON CORP                  | 486587108 | 19               | 10/22/10            | 01/21/11  | \$728 25                         | \$649 53                               | \$78 72          |
| LAWSON SOFTWARE INC NEW      | 52078P102 | 102              | 12/14/10            | 01/21/11  | \$931 48                         | \$935 34                               | \$-3 86          |
| LAWSON SOFTWARE INC NEW      | 52078P102 | 312              | 04/07/10            | 01/21/11  | \$2,849 25                       | \$2,155 92                             | \$693 33         |
| LITTELFUSE INC               | 537008104 | 21               | 12/14/10            | 01/21/11  | \$1,063 00                       | \$1,017 87                             | \$45 13          |
| LITTELFUSE INC               | 537008104 | 63               | 04/07/10            | 01/21/11  | \$3,188 99                       | \$2,490 04                             | \$698 95         |
| MENS WEARHOUSE INC           | 587118100 | 23               | 12/01/10            | 01/21/11  | \$604 20                         | \$648 02                               | \$-43 82         |
| MENS WEARHOUSE INC           | 587118100 | 2                | 04/14/10            | 01/21/11  | \$52 54                          | \$51 56                                | \$0 98           |
| MENS WEARHOUSE INC           | 587118100 | 6                | 04/28/10            | 01/21/11  | \$157 62                         | \$153 53                               | \$4 09           |
| MENS WEARHOUSE INC           | 587118100 | 9                | 04/13/10            | 01/21/11  | \$236 42                         | \$226 89                               | \$9 53           |
| MENS WEARHOUSE INC           | 587118100 | 32               | 12/14/10            | 01/21/11  | \$840 62                         | \$786 24                               | \$54 38          |
| MENS WEARHOUSE INC           | 587118100 | 15               | 12/13/10            | 01/21/11  | \$394 04                         | \$366 91                               | \$27 13          |
| MENS WEARHOUSE INC           | 587118100 | 44               | 04/07/10            | 01/21/11  | \$1,155 86                       | \$1,065 56                             | \$90 30          |
| NATIONAL PENN BANCSHARES INC | 637138108 | 209              | 04/07/10            | 01/21/11  | \$1,803 63                       | \$1,641 49                             | \$162 14         |
| NATIONAL PENN BANCSHARES INC | 637138108 | 127              | 12/14/10            | 01/21/11  | \$1,095 99                       | \$988 06                               | \$107 93         |
| NATIONAL PENN BANCSHARES INC | 637138108 | 18               | 03/22/10            | 01/21/11  | \$155 34                         | \$135 98                               | \$19 36          |
| NATIONAL PENN BANCSHARES INC | 637138108 | 36               | 08/23/10            | 01/21/11  | \$310 67                         | \$210 02                               | \$100 65         |
| NATIONAL PENN BANCSHARES INC | 637138108 | 79               | 08/20/10            | 01/21/11  | \$681 76                         | \$447 53                               | \$234 23         |
| OM GROUP INC COM             | 670872100 | 30               | 12/14/10            | 01/21/11  | \$1,078 48                       | \$1,164 60                             | \$-86 12         |
| OM GROUP INC COM             | 670872100 | 48               | 04/07/10            | 01/21/11  | \$1,725 56                       | \$1,723 95                             | \$1 61           |
| OM GROUP INC COM             | 670872100 | 9                | 03/12/10            | 01/21/11  | \$323 54                         | \$296 91                               | \$26 63          |
| OM GROUP INC COM             | 670872100 | 8                | 09/21/10            | 01/21/11  | \$287 59                         | \$239 34                               | \$48 25          |
| OM GROUP INC COM             | 670872100 | 5                | 09/17/10            | 01/21/11  | \$179 75                         | \$149 47                               | \$30 28          |
| OM GROUP INC COM             | 670872100 | 7                | 09/20/10            | 01/21/11  | \$251 65                         | \$208 32                               | \$43 33          |
| HHGREGG INC                  | 42833L108 | 2                | 04/29/10            | 01/21/11  | \$37 16                          | \$50 46                                | \$-13 30         |
| HHGREGG INC                  | 42833L108 | 14               | 11/10/10            | 01/21/11  | \$260 11                         | \$318 24                               | \$-58 13         |

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### Short term transactions

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| HHGREGG INC                    | 42833L108 | 7                | 11/04/10            | 01/21/11  | \$130 06                         | \$156 57                               | \$-26 51         |
| HHGREGG INC                    | 42833L108 | 21               | 07/14/10            | 01/21/11  | \$390 17                         | \$469 41                               | \$-79 24         |
| HORACE MANN EDUCATORS CORP NEW | 440327104 | 5                | 10/27/10            | 01/21/11  | \$87 35                          | \$93 10                                | \$-5 75          |
| HORACE MANN EDUCATORS CORP NEW | 440327104 | 35               | 12/14/10            | 01/21/11  | \$611 44                         | \$630 00                               | \$-18 56         |
| HORACE MANN EDUCATORS CORP NEW | 440327104 | 124              | 04/07/10            | 01/21/11  | \$2,166 23                       | \$1,920 63                             | \$245 60         |
| HUNTSMAN CORP                  | 447011107 | 52               | 12/14/10            | 01/21/11  | \$837 18                         | \$820 04                               | \$17 14          |
| HUNTSMAN CORP                  | 447011107 | 3                | 06/01/10            | 01/21/11  | \$48 30                          | \$29 60                                | \$18 70          |
| HUNTSMAN CORP                  | 447011107 | 35               | 06/07/10            | 01/21/11  | \$563 49                         | \$325 15                               | \$238 34         |
| HUNTSMAN CORP                  | 447011107 | 45               | 06/10/10            | 01/21/11  | \$724 48                         | \$411 46                               | \$313 02         |
| HUNTSMAN CORP                  | 447011107 | 71               | 05/25/10            | 01/21/11  | \$1,143 08                       | \$613 84                               | \$529 24         |
| IBERIABANK CORP                | 450828108 | 18               | 12/14/10            | 01/21/11  | \$1,053 70                       | \$1,029 60                             | \$24 10          |
| IBERIABANK CORP                | 450828108 | 7                | 10/14/10            | 01/21/11  | \$409 77                         | \$373 61                               | \$36 16          |
| IBERIABANK CORP                | 450828108 | 4                | 10/15/10            | 01/21/11  | \$234 16                         | \$211 23                               | \$22 93          |
| IDACORP INC                    | 451107106 | 11               | 12/14/10            | 01/21/11  | \$420 85                         | \$413 05                               | \$7 80           |
| IDACORP INC                    | 451107106 | 35               | 04/07/10            | 01/21/11  | \$1,339 07                       | \$1,244 19                             | \$94 88          |
| IDEX CORP                      | 45167R104 | 22               | 12/14/10            | 01/21/11  | \$852 92                         | \$874 94                               | \$-22 02         |
| IDEX CORP                      | 45167R104 | 66               | 04/07/10            | 01/21/11  | \$2,558 77                       | \$2,210 34                             | \$348 43         |
| INFINITY PPTY & CAS CORP       | 45665Q103 | 25               | 12/14/10            | 01/21/11  | \$1,521 22                       | \$1,546 75                             | \$-25 53         |
| INFINITY PPTY & CAS CORP       | 45665Q103 | 2                | 10/27/10            | 01/21/11  | \$121 70                         | \$103 60                               | \$18 10          |
| INFINITY PPTY & CAS CORP       | 45665Q103 | 71               | 04/07/10            | 01/21/11  | \$4,320 26                       | \$3,296 53                             | \$1,023 73       |
| INNOPHOS HLDGS INC             | 45774N108 | 8                | 11/02/10            | 01/21/11  | \$276 87                         | \$299 98                               | \$-23 11         |
| INNOPHOS HLDGS INC             | 45774N108 | 7                | 10/29/10            | 01/21/11  | \$242 27                         | \$253 19                               | \$-10 92         |
| INNOPHOS HLDGS INC             | 45774N108 | 17               | 10/25/10            | 01/21/11  | \$588 36                         | \$610 80                               | \$-22 44         |
| INNOPHOS HLDGS INC             | 45774N108 | 3                | 10/27/10            | 01/21/11  | \$103 83                         | \$106 53                               | \$-2 70          |
| INNOPHOS HLDGS INC             | 45774N108 | 24               | 12/14/10            | 01/21/11  | \$830 62                         | \$845 76                               | \$-15 14         |
| INNOPHOS HLDGS INC             | 45774N108 | 5                | 10/22/10            | 01/21/11  | \$173 05                         | \$173 86                               | \$-0 81          |

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### Short term transactions

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|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| INNOPOS HLDGS INC                | 45774N108 | 8                | 10/20/10            | 01/21/11  | \$276 87                         | \$276 51                               | \$0 36           |
| INNOPOS HLDGS INC                | 45774N108 | 8                | 10/19/10            | 01/21/11  | \$276 87                         | \$267 42                               | \$9 45           |
| INNOPOS HLDGS INC                | 45774N108 | 15               | 11/03/10            | 01/21/11  | \$519 14                         | \$491 00                               | \$28 14          |
| INTERFACE INC CL A               | 458665106 | 50               | 12/14/10            | 01/21/11  | \$807 48                         | \$808 50                               | \$-1 02          |
| INTERFACE INC CL A               | 458665106 | 161              | 04/07/10            | 01/21/11  | \$2,600 10                       | \$1,875 54                             | \$724 56         |
| INTERMEC INC                     | 458786100 | 60               | 12/14/10            | 01/21/11  | \$698 63                         | \$766 80                               | \$-68 17         |
| INTERMEC INC                     | 458786100 | 7                | 05/13/10            | 01/21/11  | \$81 51                          | \$87 08                                | \$-5 57          |
| INTERMEC INC                     | 458786100 | 3                | 05/02/10            | 01/21/11  | \$34 93                          | \$36 96                                | \$-2 03          |
| INTERMEC INC                     | 458786100 | 8                | 04/27/10            | 01/21/11  | \$93 15                          | \$98 42                                | \$-5 27          |
| INTERMEC INC                     | 458786100 | 16               | 05/14/10            | 01/21/11  | \$186 30                         | \$195 90                               | \$-9 60          |
| INTERMEC INC                     | 458786100 | 21               | 05/19/10            | 01/21/11  | \$244 52                         | \$256 86                               | \$-12 34         |
| INTERMEC INC                     | 458786100 | 48               | 05/11/10            | 01/21/11  | \$558 90                         | \$577 97                               | \$-19 07         |
| INTERMEC INC                     | 458786100 | 34               | 05/06/10            | 01/21/11  | \$395 89                         | \$406 58                               | \$-10 69         |
| INTERMEC INC                     | 458786100 | 31               | 05/20/10            | 01/21/11  | \$360 96                         | \$366 74                               | \$-5 78          |
| INTERMEC INC                     | 458786100 | 38               | 05/07/10            | 01/21/11  | \$442 47                         | \$434 36                               | \$8 11           |
| INTERMEC INC                     | 458786100 | 18               | 06/09/10            | 01/21/11  | \$209 59                         | \$190 75                               | \$18 84          |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 5                | 04/26/10            | 01/21/11  | \$145 90                         | \$154 24                               | \$-8 34          |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 8                | 04/27/10            | 01/21/11  | \$233 43                         | \$245 36                               | \$-11 93         |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 7                | 05/05/10            | 01/21/11  | \$204 26                         | \$214 52                               | \$-10 26         |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 10               | 04/28/10            | 01/21/11  | \$291 79                         | \$305 32                               | \$-13 53         |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 6                | 04/22/10            | 01/21/11  | \$175 08                         | \$175 10                               | \$-0 02          |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 7                | 04/21/10            | 01/21/11  | \$204 25                         | \$203 50                               | \$0 75           |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 8                | 04/20/10            | 01/21/11  | \$233 43                         | \$228 01                               | \$5 42           |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 13               | 04/19/10            | 01/21/11  | \$379 33                         | \$363 63                               | \$15 70          |
| INTERNATIONAL SPEEDWAY CORP CL A | 460335201 | 26               | 12/14/10            | 01/21/11  | \$758 67                         | \$660 14                               | \$98 53          |
| J CREW GROUP INC                 | 46612H402 | 13               | 12/14/10            | 01/21/11  | \$565 10                         | \$569 21                               | \$-4 11          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description             | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| J CREW GROUP INC        | 46612H402 | 39               | 11/19/10            | 01/21/11  | \$1,695.29                       | \$1,419.95                             | \$275.34         |
| FIRST HORIZON NATL CORP | 320517105 | 0.87             | 05/04/10            | 01/21/11  | \$9.92                           | \$11.00                                | \$-1.08          |
| FIRST HORIZON NATL CORP | 320517105 | 14               | 03/22/10            | 01/21/11  | \$160.58                         | \$177.57                               | \$-16.99         |
| FIRST HORIZON NATL CORP | 320517105 | 16.29            | 04/29/10            | 01/21/11  | \$186.84                         | \$205.06                               | \$-18.22         |
| FIRST HORIZON NATL CORP | 320517105 | 0.28             | 03/11/10            | 01/21/11  | \$3.18                           | \$3.37                                 | \$-0.19          |
| FIRST HORIZON NATL CORP | 320517105 | 80.72            | 12/14/10            | 01/21/11  | \$925.87                         | \$846.78                               | \$79.09          |
| FIRST HORIZON NATL CORP | 320517105 | 62.26            | 11/04/10            | 01/21/11  | \$714.08                         | \$616.99                               | \$97.09          |
| FIRSTMERIT CORP         | 337915102 | 38               | 12/14/10            | 01/21/11  | \$725.40                         | \$730.74                               | \$-5.34          |
| FIRSTMERIT CORP         | 337915102 | 14               | 05/19/10            | 01/21/11  | \$267.26                         | \$267.68                               | \$-0.42          |
| FRONTIER OIL CORP       | 35914P105 | 19               | 12/14/10            | 01/21/11  | \$334.38                         | \$315.59                               | \$18.79          |
| FRONTIER OIL CORP       | 35914P105 | 123              | 04/07/10            | 01/21/11  | \$2,164.66                       | \$1,701.09                             | \$463.57         |
| FRONTIER OIL CORP       | 35914P105 | 16               | 10/14/10            | 01/21/11  | \$281.58                         | \$219.95                               | \$61.63          |
| FRONTIER OIL CORP       | 35914P105 | 60               | 04/19/10            | 01/21/11  | \$1,055.93                       | \$774.23                               | \$281.70         |
| G & K SVCS INC CL A     | 361268105 | 39               | 12/14/10            | 01/21/11  | \$1,214.12                       | \$1,171.17                             | \$42.95          |
| G & K SVCS INC CL A     | 361268105 | 2                | 04/16/10            | 01/21/11  | \$62.26                          | \$56.59                                | \$5.67           |
| G & K SVCS INC CL A     | 361268105 | 8                | 04/12/10            | 01/21/11  | \$249.05                         | \$219.64                               | \$29.41          |
| G & K SVCS INC CL A     | 361268105 | 90               | 04/07/10            | 01/21/11  | \$2,801.82                       | \$2,437.20                             | \$364.62         |
| G & K SVCS INC CL A     | 361268105 | 4                | 10/22/10            | 01/21/11  | \$124.53                         | \$101.13                               | \$23.40          |
| G & K SVCS INC CL A     | 361268105 | 4                | 05/26/10            | 01/21/11  | \$124.52                         | \$98.11                                | \$26.41          |
| GARDNER DENVER          | 365558105 | 12               | 12/14/10            | 01/21/11  | \$848.98                         | \$846.84                               | \$2.14           |
| GARDNER DENVER          | 365558105 | 35               | 04/07/10            | 01/21/11  | \$2,476.20                       | \$1,610.69                             | \$865.51         |
| GOODRICH PETE CORP NEW  | 382410405 | 81               | 12/14/10            | 01/21/11  | \$1,551.00                       | \$1,399.68                             | \$151.32         |
| GOODRICH PETE CORP NEW  | 382410405 | 15               | 05/13/10            | 01/21/11  | \$287.22                         | \$231.75                               | \$55.47          |
| GOODRICH PETE CORP NEW  | 382410405 | 15               | 05/12/10            | 01/21/11  | \$287.22                         | \$227.60                               | \$59.62          |
| GOODRICH PETE CORP NEW  | 382410405 | 17               | 05/11/10            | 01/21/11  | \$325.52                         | \$251.39                               | \$74.13          |
| GOODRICH PETE CORP NEW  | 382410405 | 7                | 06/28/10            | 01/21/11  | \$134.04                         | \$92.25                                | \$41.79          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description              | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| GREAT PLAINS ENERGY INC  | 391164100 | 117              | 12/14/10            | 01/21/11  | \$2,315 38                       | \$2,263 95                             | \$51 43          |
| GREAT PLAINS ENERGY INC  | 391164100 | 310              | 04/07/10            | 01/21/11  | \$6,134 78                       | \$5,842 94                             | \$291 84         |
| GREAT PLAINS ENERGY INC  | 391164100 | 7                | 03/24/10            | 01/21/11  | \$138 53                         | \$131 83                               | \$6 70           |
| GREAT PLAINS ENERGY INC  | 391164100 | 6                | 04/27/10            | 01/21/11  | \$118 74                         | \$112 15                               | \$6 59           |
| GREAT PLAINS ENERGY INC  | 391164100 | 16               | 11/16/10            | 01/21/11  | \$316 63                         | \$296 55                               | \$20 08          |
| GREAT PLAINS ENERGY INC  | 391164100 | 5                | 03/09/10            | 01/21/11  | \$98 95                          | \$91 74                                | \$7 21           |
| GREAT PLAINS ENERGY INC  | 391164100 | 3                | 07/29/10            | 01/21/11  | \$59 37                          | \$54 15                                | \$5 22           |
| HANCOCK HLDG CO          | 410120109 | 4                | 04/09/10            | 01/21/11  | \$134 48                         | \$186 85                               | \$-52 37         |
| HANCOCK HLDG CO          | 410120109 | 1                | 04/30/10            | 01/21/11  | \$33 62                          | \$44 75                                | \$-11 13         |
| HANCOCK HLDG CO          | 410120109 | 51               | 04/07/10            | 01/21/11  | \$1,714 62                       | \$2,165 96                             | \$-451 34        |
| HANCOCK HLDG CO          | 410120109 | 33               | 12/14/10            | 01/21/11  | \$1,109 46                       | \$1,175 46                             | \$-66 00         |
| HANCOCK HLDG CO          | 410120109 | 5                | 08/09/10            | 01/21/11  | \$168 10                         | \$156 11                               | \$11 99          |
| HANCOCK HLDG CO          | 410120109 | 6                | 08/10/10            | 01/21/11  | \$201 72                         | \$185 88                               | \$15 84          |
| HANCOCK HLDG CO          | 410120109 | 8                | 08/06/10            | 01/21/11  | \$268 96                         | \$244 00                               | \$24 96          |
| HANCOCK HLDG CO          | 410120109 | 6                | 08/11/10            | 01/21/11  | \$201 72                         | \$173 84                               | \$27 88          |
| HANCOCK HLDG CO          | 410120109 | 16               | 07/22/10            | 01/21/11  | \$537 93                         | \$460 17                               | \$77 76          |
| HEALTHSOUTH CORP COM NEW | 421924309 | 33               | 12/14/10            | 01/21/11  | \$748 09                         | \$654 39                               | \$93 70          |
| HEALTHSOUTH CORP COM NEW | 421924309 | 4                | 07/26/10            | 01/21/11  | \$90 68                          | \$73 66                                | \$17 02          |
| HEALTHSOUTH CORP COM NEW | 421924309 | 54               | 07/22/10            | 01/21/11  | \$1,224 16                       | \$977 18                               | \$246 98         |
| HEALTHSOUTH CORP COM NEW | 421924309 | 59               | 07/23/10            | 01/21/11  | \$1,337 50                       | \$1,063 94                             | \$273 56         |
| HHGREGG INC              | 42833L108 | 68               | 12/14/10            | 01/21/11  | \$1,263 41                       | \$1,510 96                             | \$-247 55        |
| HHGREGG INC              | 42833L108 | 12               | 07/23/10            | 01/21/11  | \$222 96                         | \$264 32                               | \$-41 36         |
| HHGREGG INC              | 42833L108 | 16               | 07/22/10            | 01/21/11  | \$297 27                         | \$351 99                               | \$-54 72         |
| HHGREGG INC              | 42833L108 | 13               | 03/22/10            | 01/21/11  | \$241 53                         | \$356 23                               | \$-114 70        |
| HHGREGG INC              | 42833L108 | 65               | 04/07/10            | 01/21/11  | \$1,207 68                       | \$1,729 15                             | \$-521 47        |
| HHGREGG INC              | 42833L108 | 8                | 04/15/10            | 01/21/11  | \$148 64                         | \$202 32                               | \$-53 68         |



IM NORTHROP GRUMMAN FDN

**Short term transactions**

This category includes sales of assets held 12 months or less.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or<br>pass through gain | Cost, other<br>basis or pass<br>through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|-------------------------------------|----------------------------------------------|------------------|
| CASEYS GENERAL STORES INC       | 147528103 | 20               | 12/14/10            | 01/21/11  | \$857 34                            | \$826 97                                     | \$30 37          |
| CASEYS GENERAL STORES INC       | 147528103 | 40               | 11/04/10            | 01/21/11  | \$1,714 68                          | \$1,583 20                                   | \$131 48         |
| CENTRAL GARDEN & PET CO         | 153527106 | 152              | 04/07/10            | 01/21/11  | \$1,495 27                          | \$1,514 62                                   | \$-19 35         |
| CENTRAL GARDEN & PET CO         | 153527106 | 48               | 12/14/10            | 01/21/11  | \$472 19                            | \$473 28                                     | \$-1 09          |
| CENTRAL GARDEN & PET CO CL A    | 153527205 | 41               | 12/14/10            | 01/21/11  | \$401 38                            | \$408 77                                     | \$-7 39          |
| CENTRAL GARDEN & PET CO CL A    | 153527205 | 14               | 04/07/10            | 01/21/11  | \$137 06                            | \$131 41                                     | \$5 65           |
| CLEAN HBRS INC                  | 184496107 | 8                | 12/14/10            | 01/21/11  | \$664 55                            | \$637 20                                     | \$27 35          |
| CLEAN HBRS INC                  | 184496107 | 3                | 08/11/10            | 01/21/11  | \$249 20                            | \$183 77                                     | \$65 43          |
| CLEAN HBRS INC                  | 184496107 | 13               | 05/06/10            | 01/21/11  | \$1,079 89                          | \$792 62                                     | \$287 27         |
| CLEAN HBRS INC                  | 184496107 | 31               | 04/07/10            | 01/21/11  | \$2,575 12                          | \$1,748 15                                   | \$826 97         |
| COHERENT INC                    | 192479103 | 31               | 12/14/10            | 01/21/11  | \$1,455 73                          | \$1,387 25                                   | \$68 48          |
| COHERENT INC                    | 192479103 | 96               | 04/07/10            | 01/21/11  | \$4,508 07                          | \$3,097 44                                   | \$1,410 63       |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 7                | 12/13/10            | 01/21/11  | \$187 39                            | \$196 76                                     | \$-9 37          |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 9                | 12/10/10            | 01/21/11  | \$240 92                            | \$252 33                                     | \$-11 41         |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 34               | 12/14/10            | 01/21/11  | \$910 16                            | \$944 86                                     | \$-34 70         |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 40               | 09/13/10            | 01/21/11  | \$1,070 78                          | \$989 04                                     | \$81 74          |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 17               | 09/10/10            | 01/21/11  | \$455 08                            | \$410 11                                     | \$44 97          |
| COMTECH TELECOMMUNICATIONS CORP | 205826209 | 30               | 09/09/10            | 01/21/11  | \$803 08                            | \$695 00                                     | \$108 08         |
| COOPER COS INC NEW              | 216648402 | 5                | 12/14/10            | 01/21/11  | \$285 59                            | \$291 05                                     | \$-5 46          |
| COOPER COS INC NEW              | 216648402 | 51               | 04/07/10            | 01/21/11  | \$2,913 06                          | \$1,932 23                                   | \$980 83         |
| COOPER TIRE & RUBBER CO         | 216831107 | 47               | 12/14/10            | 01/21/11  | \$1,073 93                          | \$1,118 13                                   | \$-44 20         |
| COOPER TIRE & RUBBER CO         | 216831107 | 12               | 11/30/10            | 01/21/11  | \$274 19                            | \$248 71                                     | \$25 48          |
| COOPER TIRE & RUBBER CO         | 216831107 | 133              | 04/07/10            | 01/21/11  | \$3,038 99                          | \$2,563 80                                   | \$475 19         |
| COOPER TIRE & RUBBER CO         | 216831107 | 7                | 09/09/10            | 01/21/11  | \$159 95                            | \$127 08                                     | \$32 87          |
| CORN PRODS INTL INC COM         | 219023108 | 23               | 12/14/10            | 01/21/11  | \$1,111 11                          | \$1,078 70                                   | \$32 41          |
| CORN PRODS INTL INC COM         | 219023108 | 70               | 04/07/10            | 01/21/11  | \$3,381 63                          | \$2,428 51                                   | \$953 12         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|---------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COURIER CORP        | 222660102 | 62               | 04/07/10            | 01/21/11  | \$890.92                         | \$1,049.40                             | \$-158.48        |
| COURIER CORP        | 222660102 | 24               | 12/14/10            | 01/21/11  | \$344.87                         | \$344.40                               | \$0.47           |
| CYTEC INDS INC      | 232820100 | 5                | 10/25/10            | 01/21/11  | \$253.54                         | \$258.66                               | \$-5.12          |
| CYTEC INDS INC      | 232820100 | 17               | 12/14/10            | 01/21/11  | \$862.05                         | \$869.89                               | \$-7.84          |
| CYTEC INDS INC      | 232820100 | 30               | 04/07/10            | 01/21/11  | \$1,521.27                       | \$1,482.46                             | \$38.81          |
| CYTEC INDS INC      | 232820100 | 17               | 11/22/10            | 01/21/11  | \$862.06                         | \$823.44                               | \$38.62          |
| DEL MONTE FOODS CO  | 24522P103 | 41               | 12/14/10            | 01/21/11  | \$774.06                         | \$770.80                               | \$3.26           |
| DEL MONTE FOODS CO  | 24522P103 | 64               | 08/31/10            | 01/21/11  | \$1,208.30                       | \$839.01                               | \$369.29         |
| DEL MONTE FOODS CO  | 24522P103 | 38               | 08/27/10            | 01/21/11  | \$717.43                         | \$496.12                               | \$221.31         |
| DEL MONTE FOODS CO  | 24522P103 | 20               | 09/09/10            | 01/21/11  | \$377.59                         | \$249.41                               | \$128.18         |
| DIGITAL RIV INC     | 25388B104 | 56               | 04/07/10            | 01/21/11  | \$1,940.92                       | \$1,734.28                             | \$206.64         |
| DIGITAL RIV INC     | 25388B104 | 29               | 05/03/10            | 01/21/11  | \$1,005.12                       | \$835.79                               | \$169.33         |
| DIGITAL RIV INC     | 25388B104 | 17               | 05/06/10            | 01/21/11  | \$589.21                         | \$471.35                               | \$117.86         |
| DOLE FOOD CO INC    | 256603101 | 93               | 04/07/10            | 01/21/11  | \$1,356.84                       | \$1,089.96                             | \$266.88         |
| DOLE FOOD CO INC    | 256603101 | 57               | 12/14/10            | 01/21/11  | \$831.61                         | \$664.62                               | \$166.99         |
| DOLE FOOD CO INC    | 256603101 | 6                | 10/15/10            | 01/21/11  | \$87.54                          | \$58.24                                | \$29.30          |
| DOLE FOOD CO INC    | 256603101 | 23               | 10/19/10            | 01/21/11  | \$335.56                         | \$221.68                               | \$113.88         |
| EMPLOYERS HLDGS INC | 292218104 | 85               | 12/14/10            | 01/21/11  | \$1,398.07                       | \$1,446.70                             | \$-48.63         |
| EMPLOYERS HLDGS INC | 292218104 | 6                | 10/27/10            | 01/21/11  | \$98.69                          | \$95.90                                | \$2.79           |
| EMPLOYERS HLDGS INC | 292218104 | 273              | 04/07/10            | 01/21/11  | \$4,490.26                       | \$4,181.99                             | \$308.27         |
| EMULEX CORP NEW     | 292475209 | 21               | 04/16/10            | 01/21/11  | \$231.21                         | \$300.90                               | \$-69.69         |
| EMULEX CORP NEW     | 292475209 | 160              | 04/07/10            | 01/21/11  | \$1,761.56                       | \$2,202.69                             | \$-441.13        |
| EMULEX CORP NEW     | 292475209 | 16               | 05/11/10            | 01/21/11  | \$176.16                         | \$196.64                               | \$-20.48         |
| EMULEX CORP NEW     | 292475209 | 106              | 12/14/10            | 01/21/11  | \$1,167.03                       | \$1,244.33                             | \$-77.30         |
| EMULEX CORP NEW     | 292475209 | 29               | 10/25/10            | 01/21/11  | \$319.28                         | \$317.69                               | \$1.59           |
| EMULEX CORP NEW     | 292475209 | 36               | 10/22/10            | 01/21/11  | \$396.35                         | \$392.46                               | \$3.89           |

### Short term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EMULEX CORP NEW                   | 292475209 | 16               | 07/22/10            | 01/21/11  | \$176 16                         | \$155 36                               | \$20 80          |
| ETHAN ALLEN INTERIORS INC         | 297602104 | 102              | 04/07/10            | 01/21/11  | \$2,044 04                       | \$2,163 51                             | \$-119 47        |
| ETHAN ALLEN INTERIORS INC         | 297602104 | 49               | 12/14/10            | 01/21/11  | \$981 94                         | \$966 28                               | \$15 66          |
| ETHAN ALLEN INTERIORS INC         | 297602104 | 23               | 07/15/10            | 01/21/11  | \$460 91                         | \$328 40                               | \$132 51         |
| FEDERATED INVS INC PA CL B        | 314211103 | 102              | 04/07/10            | 01/21/11  | \$2,704 98                       | \$2,709 92                             | \$-4 94          |
| FEDERATED INVS INC PA CL B        | 314211103 | 44               | 12/14/10            | 01/21/11  | \$1,166 86                       | \$1,162 48                             | \$4 38           |
| FEDERATED INVS INC PA CL B        | 314211103 | 26               | 08/03/10            | 01/21/11  | \$689 50                         | \$555 34                               | \$134 16         |
| FEDERATED INVS INC PA CL B        | 314211103 | 7                | 08/27/10            | 01/21/11  | \$185 64                         | \$146 16                               | \$39 48          |
| FIRST FINL BANCORP                | 320209109 | 88               | 04/07/10            | 01/21/11  | \$1,583 96                       | \$1,665 84                             | \$-81 88         |
| FIRST FINL BANCORP                | 320209109 | 28               | 12/14/10            | 01/21/11  | \$503 99                         | \$524 44                               | \$-20 45         |
| FIRST HORIZON NATL CORP           | 320517105 | 146 59           | 04/07/10            | 01/21/11  | \$1,681 32                       | \$2,051 24                             | \$-369 92        |
| AGL RESOURCES INC                 | 001204106 | 20               | 12/09/10            | 01/21/11  | \$731 84                         | \$710 90                               | \$20 94          |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 175              | 12/03/10            | 01/21/11  | \$2,530 45                       | \$2,737 74                             | \$-207 29        |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 63               | 12/14/10            | 01/21/11  | \$910 96                         | \$951 16                               | \$-40 20         |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 15               | 12/30/10            | 01/21/11  | \$216 89                         | \$220 20                               | \$-3 31          |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 40               | 12/22/10            | 01/21/11  | \$578 39                         | \$576 40                               | \$1 99           |
| AMSURG CORP                       | 03232P405 | 91               | 04/07/10            | 01/21/11  | \$1,888 21                       | \$2,019 86                             | \$-131 65        |
| AMSURG CORP                       | 03232P405 | 34               | 04/26/10            | 01/21/11  | \$705 49                         | \$730 14                               | \$-24 65         |
| AMSURG CORP                       | 03232P405 | 46               | 12/14/10            | 01/21/11  | \$954 48                         | \$958 64                               | \$-4 16          |
| AMSURG CORP                       | 03232P405 | 10               | 07/26/10            | 01/21/11  | \$207 50                         | \$189 00                               | \$18 50          |
| AMSURG CORP                       | 03232P405 | 11               | 07/30/10            | 01/21/11  | \$228 24                         | \$202 11                               | \$26 13          |
| ANNTAYLOR STORES CORP             | 036115103 | 29               | 12/14/10            | 01/21/11  | \$649 30                         | \$787 35                               | \$-138 05        |
| ANNTAYLOR STORES CORP             | 036115103 | 20               | 01/04/11            | 01/21/11  | \$447 79                         | \$508 09                               | \$-60 30         |
| ANNTAYLOR STORES CORP             | 036115103 | 36               | 07/30/10            | 01/21/11  | \$806 02                         | \$627 74                               | \$178 28         |
| ANNTAYLOR STORES CORP             | 036115103 | 26               | 08/23/10            | 01/21/11  | \$582 13                         | \$416 31                               | \$165 82         |
| ANNTAYLOR STORES CORP             | 036115103 | 35               | 08/24/10            | 01/21/11  | \$783 63                         | \$538 83                               | \$244 80         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| APTARGROUP INC                     | 038336103 | 11               | 12/14/10            | 01/21/11  | \$527.44                         | \$513.04                               | \$14.40          |
| APTARGROUP INC                     | 038336103 | 32               | 04/07/10            | 01/21/11  | \$1,534.37                       | \$1,278.24                             | \$256.13         |
| BANCORPSOUTH INC                   | 059692103 | 106              | 04/07/10            | 01/21/11  | \$1,603.21                       | \$2,324.95                             | \$-721.74        |
| BANCORPSOUTH INC                   | 059692103 | 38               | 12/14/10            | 01/21/11  | \$574.74                         | \$565.82                               | \$8.92           |
| BE AEROSPACE INC                   | 073302101 | 25               | 12/14/10            | 01/21/11  | \$960.73                         | \$940.25                               | \$20.48          |
| BE AEROSPACE INC                   | 073302101 | 75               | 04/07/10            | 01/21/11  | \$2,882.19                       | \$2,211.88                             | \$670.31         |
| BELDEN CDT INC                     | 077454106 | 27               | 12/14/10            | 01/21/11  | \$938.50                         | \$990.09                               | \$-51.59         |
| BELDEN CDT INC                     | 077454106 | 108              | 04/07/10            | 01/21/11  | \$3,754.01                       | \$3,018.23                             | \$735.78         |
| BELDEN CDT INC                     | 077454106 | 4                | 06/28/10            | 01/21/11  | \$139.04                         | \$97.52                                | \$41.52          |
| BELDEN CDT INC                     | 077454106 | 9                | 07/29/10            | 01/21/11  | \$312.83                         | \$212.97                               | \$99.86          |
| BELO (A H) CORP CLASS A            | 080555105 | 288              | 04/07/10            | 01/21/11  | \$1,895.00                       | \$2,139.26                             | \$-244.26        |
| BELO (A H) CORP CLASS A            | 080555105 | 95               | 12/14/10            | 01/21/11  | \$625.09                         | \$642.20                               | \$-17.11         |
| BERRY PETROLEUM CO CL A            | 085789105 | 31               | 12/14/10            | 01/21/11  | \$1,368.00                       | \$1,328.66                             | \$39.34          |
| BERRY PETROLEUM CO CL A            | 085789105 | 91               | 04/07/10            | 01/21/11  | \$4,015.75                       | \$2,887.56                             | \$1,128.19       |
| BERRY PETROLEUM CO CL A            | 085789105 | 8                | 06/28/10            | 01/21/11  | \$353.03                         | \$228.95                               | \$124.08         |
| BOSTON PRIVATE FINL HLDGS INC      | 101119105 | 54               | 04/07/10            | 01/21/11  | \$369.89                         | \$456.30                               | \$-86.41         |
| BOSTON PRIVATE FINL HLDGS INC      | 101119105 | 30               | 06/01/10            | 01/21/11  | \$205.49                         | \$215.78                               | \$-10.29         |
| BOSTON PRIVATE FINL HLDGS INC      | 101119105 | 24               | 05/25/10            | 01/21/11  | \$164.40                         | \$160.07                               | \$4.33           |
| BOSTON PRIVATE FINL HLDGS INC      | 101119105 | 44               | 12/14/10            | 01/21/11  | \$301.39                         | \$255.64                               | \$45.75          |
| BOSTON PRIVATE FINL HLDGS INC      | 101119105 | 22               | 11/30/10            | 01/21/11  | \$150.70                         | \$118.00                               | \$32.70          |
| BRADY CORP CLASS A STOCK           | 104674106 | 29               | 12/14/10            | 01/21/11  | \$937.55                         | \$965.99                               | \$-28.44         |
| BRADY CORP CLASS A STOCK           | 104674106 | 79               | 04/07/10            | 01/21/11  | \$2,554.02                       | \$2,525.23                             | \$28.79          |
| BRADY CORP CLASS A STOCK           | 104674106 | 4                | 05/03/10            | 01/21/11  | \$129.32                         | \$122.91                               | \$6.41           |
| BRADY CORP CLASS A STOCK           | 104674106 | 9                | 06/28/10            | 01/21/11  | \$290.96                         | \$237.40                               | \$53.56          |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 364              | 04/07/10            | 01/21/11  | \$2,045.64                       | \$2,227.64                             | \$-182.00        |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 93               | 11/15/10            | 01/21/11  | \$522.65                         | \$526.40                               | \$-3.75          |

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### Short term transactions

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 46               | 05/24/10            | 01/21/11  | \$258 51                         | \$248 40                               | \$10 11          |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 217              | 12/14/10            | 01/21/11  | \$1,219 51                       | \$1,165 27                             | \$54 24          |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 59               | 11/24/10            | 01/21/11  | \$331 58                         | \$302 08                               | \$29 50          |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 37               | 07/16/10            | 01/21/11  | \$207 93                         | \$185 74                               | \$22 19          |
| BROCADE COMMUNICATIONS SYS INC NEW | 111621306 | 27               | 11/30/10            | 01/21/11  | \$151 74                         | \$133 10                               | \$18 64          |
| CVB FINL CORP                      | 126600105 | 112              | 12/14/10            | 01/21/11  | \$938 82                         | \$962 08                               | \$-23 26         |
| CVB FINL CORP                      | 126600105 | 148              | 08/11/10            | 01/21/11  | \$1,240 59                       | \$1,216 00                             | \$24 59          |
| CVB FINL CORP                      | 126600105 | 101              | 08/12/10            | 01/21/11  | \$846 61                         | \$800 71                               | \$45 90          |
| CVB FINL CORP                      | 126600105 | 42               | 08/27/10            | 01/21/11  | \$352 06                         | \$283 57                               | \$68 49          |
| CACI INTL INC CL A                 | 127190304 | 20               | 12/14/10            | 01/21/11  | \$1,032 78                       | \$1,061 40                             | \$-28 62         |
| CACI INTL INC CL A                 | 127190304 | 3                | 03/07/10            | 01/21/11  | \$154 92                         | \$153 26                               | \$1 66           |
| CACI INTL INC CL A                 | 127190304 | 51               | 04/07/10            | 01/21/11  | \$2,633 58                       | \$2,494 70                             | \$138 88         |
| CACI INTL INC CL A                 | 127190304 | 5                | 04/09/10            | 01/21/11  | \$258 19                         | \$241 87                               | \$16 32          |
| CALLAWAY GOLF CO                   | 131193104 | 238              | 04/07/10            | 01/21/11  | \$1,839 73                       | \$2,215 35                             | \$-375 62        |
| CALLAWAY GOLF CO                   | 131193104 | 15               | 03/22/10            | 01/21/11  | \$115 95                         | \$135 98                               | \$-20 03         |
| CALLAWAY GOLF CO                   | 131193104 | 95               | 12/14/10            | 01/21/11  | \$734 34                         | \$760 95                               | \$-26 61         |
| CALLAWAY GOLF CO                   | 131193104 | 34               | 06/24/10            | 01/21/11  | \$262 82                         | \$221 58                               | \$41 24          |
| CARDINAL FINL CORP                 | 14149F109 | 57               | 12/14/10            | 01/21/11  | \$656 63                         | \$620 16                               | \$36 47          |
| CARDINAL FINL CORP                 | 14149F109 | 160              | 04/07/10            | 01/21/11  | \$1,843 16                       | \$1,714 53                             | \$128 63         |
| CASEYS GENERAL STORES INC          | 147528103 | 5                | 12/10/10            | 01/21/11  | \$214 34                         | \$208 75                               | \$5 59           |
| CASEYS GENERAL STORES INC          | 147528103 | 15               | 12/09/10            | 01/21/11  | \$643 01                         | \$624 63                               | \$18 38          |
| WHITNEY HLDG CORP                  | 966612103 | 67               | 07/15/10            | 01/21/11  | \$913 86                         | \$540 44                               | \$373 42         |
| WHITNEY HLDG CORP                  | 966612103 | 2                | 10/14/10            | 01/21/11  | \$27 28                          | \$16 12                                | \$11 16          |
| ZEBRA TECHNOLOGIES CORP CL A       | 989207105 | 35               | 12/14/10            | 01/21/11  | \$1,322 97                       | \$1,362 55                             | \$-39 58         |
| ZEBRA TECHNOLOGIES CORP CL A       | 989207105 | 96               | 04/07/10            | 01/21/11  | \$3,628 73                       | \$2,898 65                             | \$730 08         |
| ZEBRA TECHNOLOGIES CORP CL A       | 989207105 | 4                | 04/06/10            | 01/21/11  | \$151 20                         | \$116 42                               | \$34 78          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ZEBRA TECHNOLOGIES CORP CL A       | 989207105 | 5                | 05/25/10            | 01/21/11  | \$188 99                         | \$130 04                               | \$58 95          |
| ZIONS BANCORPORATION               | 989701107 | 63               | 04/07/10            | 01/21/11  | \$1,533 39                       | \$1,492 26                             | \$41 13          |
| ZIONS BANCORPORATION               | 989701107 | 23               | 06/10/10            | 01/21/11  | \$559 81                         | \$529 43                               | \$30 38          |
| ZIONS BANCORPORATION               | 989701107 | 78               | 12/14/10            | 01/21/11  | \$1,898 48                       | \$1,771 38                             | \$127 10         |
| ZIONS BANCORPORATION               | 989701107 | 16               | 04/29/10            | 01/21/11  | \$389 43                         | \$359 86                               | \$29 57          |
| ZIONS BANCORPORATION               | 989701107 | 82               | 06/07/10            | 01/21/11  | \$1,995 84                       | \$1,795 47                             | \$200 37         |
| ZIONS BANCORPORATION               | 989701107 | 8                | 03/30/10            | 01/21/11  | \$194 72                         | \$168 98                               | \$25 74          |
| ZIONS BANCORPORATION               | 989701107 | 6                | 11/04/10            | 01/21/11  | \$146 04                         | \$126 38                               | \$19 66          |
| ZIONS BANCORPORATION               | 989701107 | 19               | 07/21/10            | 01/21/11  | \$462 45                         | \$374 60                               | \$87 85          |
| ZIONS BANCORPORATION               | 989701107 | 7                | 08/27/10            | 01/21/11  | \$170 37                         | \$129 17                               | \$41 20          |
| ZORAN CORP                         | 98975F101 | 169              | 04/07/10            | 01/21/11  | \$1,515 57                       | \$1,865 71                             | \$-350 14        |
| ZORAN CORP                         | 98975F101 | 12               | 07/29/10            | 01/21/11  | \$107 62                         | \$104 39                               | \$3 23           |
| ZORAN CORP                         | 98975F101 | 66               | 12/14/10            | 01/21/11  | \$591 88                         | \$531 96                               | \$59 92          |
| ENDURANCE SPECIALTY HOLDINGS LTD   | G30397106 | 23               | 12/14/10            | 01/21/11  | \$1,032 91                       | \$1,065 59                             | \$-32 68         |
| ENDURANCE SPECIALTY HOLDINGS LTD   | G30397106 | 1                | 07/03/10            | 01/21/11  | \$44 91                          | \$40 80                                | \$4 11           |
| ENDURANCE SPECIALTY HOLDINGS LTD   | G30397106 | 9                | 07/30/10            | 01/21/11  | \$404 18                         | \$348 43                               | \$55 75          |
| ENDURANCE SPECIALTY HOLDINGS LTD   | G30397106 | 10               | 07/29/10            | 01/21/11  | \$449 09                         | \$386 15                               | \$62 94          |
| ENDURANCE SPECIALTY HOLDINGS LTD   | G30397106 | 52               | 04/07/10            | 01/21/11  | \$2,335 27                       | \$1,978 08                             | \$357 19         |
| PLATINUM UNDERWRITERS HOLDINGS LTD | G7127P100 | 27               | 12/14/10            | 01/21/11  | \$1,202 02                       | \$1,226 34                             | \$-24 32         |
| PLATINUM UNDERWRITERS HOLDINGS LTD | G7127P100 | 87               | 04/07/10            | 01/21/11  | \$3,873 16                       | \$3,214 65                             | \$658 51         |
| XYRATEX LTD SHS                    | G98268108 | 98               | 04/07/10            | 01/21/11  | \$1,282 45                       | \$1,925 53                             | \$-643 08        |
| XYRATEX LTD SHS                    | G98268108 | 25               | 04/16/10            | 01/21/11  | \$327 16                         | \$445 33                               | \$-118 17        |
| XYRATEX LTD SHS                    | G98268108 | 9                | 04/11/10            | 01/21/11  | \$117 78                         | \$150 19                               | \$-32 41         |
| XYRATEX LTD SHS                    | G98268108 | 11               | 12/30/10            | 01/21/11  | \$143 95                         | \$181 09                               | \$-37 14         |
| XYRATEX LTD SHS                    | G98268108 | 20               | 05/14/10            | 01/21/11  | \$261 72                         | \$318 95                               | \$-57 23         |
| XYRATEX LTD SHS                    | G98268108 | 75               | 12/14/10            | 01/21/11  | \$981 46                         | \$1,172 63                             | \$-191 17        |

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### Short term transactions

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|---------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| XYRATEX LTD SHS           | G98268108 | 15               | 05/17/10            | 01/21/11  | \$196 29                         | \$230 39                               | \$-34 10         |
| XYRATEX LTD SHS           | G98268108 | 9                | 11/30/10            | 01/21/11  | \$117 78                         | \$137 43                               | \$-19 65         |
| XYRATEX LTD SHS           | G98268108 | 22               | 07/02/10            | 01/21/11  | \$287 90                         | \$267 36                               | \$20 54          |
| AGL RESOURCES INC         | 001204106 | 4                | 11/15/10            | 01/21/11  | \$146 37                         | \$154 53                               | \$-8 16          |
| AGL RESOURCES INC         | 001204106 | 116              | 04/07/10            | 01/21/11  | \$4,244 67                       | \$4,468 57                             | \$-223 90        |
| AGL RESOURCES INC         | 001204106 | 2                | 07/29/10            | 01/21/11  | \$73 18                          | \$76 76                                | \$-3 58          |
| AGL RESOURCES INC         | 001204106 | 49               | 12/14/10            | 01/21/11  | \$1,793 01                       | \$1,748 32                             | \$44 69          |
| TNS INC                   | 872960109 | 23               | 04/22/10            | 01/21/11  | \$400 19                         | \$599 63                               | \$-199 44        |
| TNS INC                   | 872960109 | 11               | 04/14/10            | 01/21/11  | \$191 40                         | \$286 25                               | \$-94 85         |
| TNS INC                   | 872960109 | 10               | 04/20/10            | 01/21/11  | \$174 00                         | \$259 69                               | \$-85 69         |
| TNS INC                   | 872960109 | 17               | 04/19/10            | 01/21/11  | \$295 79                         | \$434 86                               | \$-139 07        |
| TNS INC                   | 872960109 | 40               | 05/13/10            | 01/21/11  | \$695 98                         | \$918 55                               | \$-222 57        |
| TNS INC                   | 872960109 | 52               | 12/14/10            | 01/21/11  | \$904 78                         | \$1,068 08                             | \$-163 30        |
| TNS INC                   | 872960109 | 17               | 08/04/10            | 01/21/11  | \$295 79                         | \$274 75                               | \$21 04          |
| TALBOTS INC               | 874161102 | 124              | 12/13/10            | 01/21/11  | \$773 74                         | \$1,101 98                             | \$-328 24        |
| TALBOTS INC               | 874161102 | 287              | 12/14/10            | 01/21/11  | \$1,790 85                       | \$2,479 68                             | \$-688 83        |
| TELEDYNE TECHNOLOGIES INC | 879360105 | 34               | 12/14/10            | 01/21/11  | \$1,580 55                       | \$1,490 22                             | \$90 33          |
| TELEDYNE TECHNOLOGIES INC | 879360105 | 6                | 04/12/10            | 01/21/11  | \$278 92                         | \$248 90                               | \$30 02          |
| TELEDYNE TECHNOLOGIES INC | 879360105 | 61               | 04/07/10            | 01/21/11  | \$2,835 68                       | \$2,524 74                             | \$310 94         |
| TELEDYNE TECHNOLOGIES INC | 879360105 | 4                | 11/22/10            | 01/21/11  | \$185 95                         | \$157 92                               | \$28 03          |
| TELEFLEX INC              | 879369106 | 3                | 04/13/10            | 01/21/11  | \$169 16                         | \$196 71                               | \$-27 55         |
| TELEFLEX INC              | 879369106 | 38               | 04/07/10            | 01/21/11  | \$2,142 73                       | \$2,444 04                             | \$-301 31        |
| TELEFLEX INC              | 879369106 | 3                | 04/21/10            | 01/21/11  | \$169 16                         | \$183 50                               | \$-14 34         |
| TELEFLEX INC              | 879369106 | 4                | 07/23/10            | 01/21/11  | \$225 55                         | \$233 88                               | \$-8 33          |
| TELEFLEX INC              | 879369106 | 3                | 07/29/10            | 01/21/11  | \$169 16                         | \$172 10                               | \$-2 94          |
| TELEFLEX INC              | 879369106 | 3                | 12/30/10            | 01/21/11  | \$169 16                         | \$163 95                               | \$5 21           |

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|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TELEFLEX INC             | 879369106 | 27               | 12/14/10            | 01/21/11  | \$1,522.47                       | \$1,470.69                             | \$51.78          |
| TELEFLEX INC             | 879369106 | 8                | 08/18/10            | 01/21/11  | \$451.10                         | \$422.42                               | \$28.68          |
| TELEFLEX INC             | 879369106 | 6                | 08/19/10            | 01/21/11  | \$338.33                         | \$308.55                               | \$29.78          |
| TELEFLEX INC             | 879369106 | 8                | 09/08/10            | 01/21/11  | \$451.10                         | \$411.22                               | \$39.88          |
| TELEFLEX INC             | 879369106 | 12               | 12/06/10            | 01/21/11  | \$676.65                         | \$614.66                               | \$61.99          |
| TEREX CORP NEW           | 880779103 | 29               | 12/14/10            | 01/21/11  | \$900.61                         | \$808.81                               | \$91.80          |
| TEREX CORP NEW           | 880779103 | 111              | 04/07/10            | 01/21/11  | \$3,447.14                       | \$2,756.13                             | \$691.01         |
| TEREX CORP NEW           | 880779103 | 5                | 04/21/10            | 01/21/11  | \$155.28                         | \$114.15                               | \$41.13          |
| TEREX CORP NEW           | 880779103 | 9                | 06/28/10            | 01/21/11  | \$279.50                         | \$188.59                               | \$90.91          |
| TEREX CORP NEW           | 880779103 | 14               | 06/09/10            | 01/21/11  | \$434.77                         | \$277.48                               | \$157.29         |
| TETRA TECH INC NEW       | 88162G103 | 40               | 12/14/10            | 01/21/11  | \$945.43                         | \$1,011.60                             | \$-66.17         |
| TETRA TECH INC NEW       | 88162G103 | 75               | 04/07/10            | 01/21/11  | \$1,772.69                       | \$1,780.50                             | \$-7.81          |
| TETRA TECH INC NEW       | 88162G103 | 8                | 11/30/10            | 01/21/11  | \$189.09                         | \$182.23                               | \$6.86           |
| TETRA TECH INC NEW       | 88162G103 | 8                | 03/31/10            | 01/21/11  | \$189.09                         | \$167.90                               | \$21.19          |
| TETRA TECH INC NEW       | 88162G103 | 12               | 06/28/10            | 01/21/11  | \$283.63                         | \$250.97                               | \$32.66          |
| TETRA TECH INC NEW       | 88162G103 | 5                | 05/06/10            | 01/21/11  | \$118.18                         | \$104.21                               | \$13.97          |
| TETRA TECH INC NEW       | 88162G103 | 9                | 08/27/10            | 01/21/11  | \$212.72                         | \$166.67                               | \$46.05          |
| TOWERS WATSON & CO       | 891894107 | 28               | 12/14/10            | 01/21/11  | \$1,487.01                       | \$1,471.96                             | \$15.05          |
| TOWERS WATSON & CO       | 891894107 | 68               | 04/07/10            | 01/21/11  | \$3,611.30                       | \$3,275.49                             | \$335.81         |
| TOWERS WATSON & CO       | 891894107 | 2                | 05/26/10            | 01/21/11  | \$106.21                         | \$91.96                                | \$14.25          |
| TOWERS WATSON & CO       | 891894107 | 10               | 07/16/10            | 01/21/11  | \$531.08                         | \$410.31                               | \$120.77         |
| TOWERS WATSON & CO       | 891894107 | 7                | 06/28/10            | 01/21/11  | \$371.75                         | \$286.68                               | \$85.07          |
| TRIUMPH GROUP INC NEW    | 896818101 | 10               | 12/14/10            | 01/21/11  | \$962.48                         | \$908.40                               | \$54.08          |
| TRIUMPH GROUP INC NEW    | 896818101 | 28               | 04/07/10            | 01/21/11  | \$2,694.94                       | \$1,943.20                             | \$751.74         |
| WATTS INDUSTRIES CLASS A | 942749102 | 24               | 12/14/10            | 01/21/11  | \$849.10                         | \$847.44                               | \$1.66           |
| WATTS INDUSTRIES CLASS A | 942749102 | 8                | 11/11/10            | 01/21/11  | \$283.03                         | \$262.64                               | \$20.39          |

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### Short term transactions

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| WATTS INDUSTRIES CLASS A       | 942749102 | 68               | 04/07/10            | 01/21/11  | \$2,405 80                       | \$2,204 71                             | \$201 09         |
| WESCO INTL INC                 | 95082P105 | 3                | 12/30/10            | 01/21/11  | \$162 42                         | \$159 24                               | \$3 18           |
| WESCO INTL INC                 | 95082P105 | 18               | 12/14/10            | 01/21/11  | \$974 50                         | \$913 86                               | \$60 64          |
| WESCO INTL INC                 | 95082P105 | 72               | 04/07/10            | 01/21/11  | \$3,898 00                       | \$2,628 58                             | \$1,269 42       |
| WESCO INTL INC                 | 95082P105 | 7                | 08/10/10            | 01/21/11  | \$378 97                         | \$249 84                               | \$129 13         |
| WESTAR ENERGY INC              | 95709T100 | 19               | 12/14/10            | 01/21/11  | \$490 57                         | \$479 18                               | \$11 39          |
| WHITNEY HLDG CORP              | 966612103 | 14               | 04/13/10            | 01/21/11  | \$190 96                         | \$252 07                               | \$-61 11         |
| WHITNEY HLDG CORP              | 966612103 | 14               | 03/28/10            | 01/21/11  | \$190 96                         | \$203 21                               | \$-12 25         |
| WHITNEY HLDG CORP              | 966612103 | 18               | 04/04/10            | 01/21/11  | \$245 51                         | \$261 24                               | \$-15 73         |
| WHITNEY HLDG CORP              | 966612103 | 6                | 12/30/10            | 01/21/11  | \$81 84                          | \$85 50                                | \$-3 66          |
| WHITNEY HLDG CORP              | 966612103 | 54               | 04/07/10            | 01/21/11  | \$736 54                         | \$768 86                               | \$-32 32         |
| WHITNEY HLDG CORP              | 966612103 | 19               | 04/08/10            | 01/21/11  | \$259 15                         | \$267 52                               | \$-8 37          |
| WHITNEY HLDG CORP              | 966612103 | 75               | 04/28/10            | 01/21/11  | \$1,022 98                       | \$1,035 99                             | \$-13 01         |
| WHITNEY HLDG CORP              | 966612103 | 41               | 06/25/10            | 01/21/11  | \$559 23                         | \$405 55                               | \$153 68         |
| PACWEST BANCORP DEL            | 695263103 | 51               | 12/14/10            | 01/21/11  | \$1,076 34                       | \$982 26                               | \$94 08          |
| PANTRY INC                     | 698657103 | 8                | 11/16/10            | 01/21/11  | \$134 08                         | \$144 73                               | \$-10 65         |
| PANTRY INC                     | 698657103 | 9                | 11/15/10            | 01/21/11  | \$150 84                         | \$162 61                               | \$-11 77         |
| PANTRY INC                     | 698657103 | 50               | 12/14/10            | 01/21/11  | \$837 98                         | \$902 00                               | \$-64 02         |
| PANTRY INC                     | 698657103 | 128              | 04/07/10            | 01/21/11  | \$2,145 23                       | \$1,793 28                             | \$351 95         |
| PARAMETRIC TECHNOLOGY CORP NEW | 699173209 | 51               | 12/14/10            | 01/21/11  | \$1,222 44                       | \$1,168 16                             | \$54 28          |
| PARAMETRIC TECHNOLOGY CORP NEW | 699173209 | 151              | 04/07/10            | 01/21/11  | \$3,619 38                       | \$2,925 44                             | \$693 94         |
| PATTERSON COS INC              | 703395103 | 19               | 12/14/10            | 01/21/11  | \$598 30                         | \$575 13                               | \$23 17          |
| PORTLAND GEN ELEC CO NEW       | 736508847 | 74               | 12/14/10            | 01/21/11  | \$1,625 75                       | \$1,636 88                             | \$-11 13         |
| PORTLAND GEN ELEC CO NEW       | 736508847 | 228              | 04/07/10            | 01/21/11  | \$5,009 06                       | \$4,504 25                             | \$504 81         |
| PRESTIGE BRANDS HLDGS INC      | 74112D101 | 75               | 12/14/10            | 01/21/11  | \$832 48                         | \$873 00                               | \$-40 52         |
| PRESTIGE BRANDS HLDGS INC      | 74112D101 | 16               | 05/05/10            | 01/21/11  | \$177 60                         | \$157 89                               | \$19 71          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                 | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PRESTIGE BRANDS HLDGS INC   | 74112D101 | 19               | 04/30/10            | 01/21/11  | \$210 90                         | \$187 20                               | \$23 70          |
| PRESTIGE BRANDS HLDGS INC   | 74112D101 | 22               | 04/29/10            | 01/21/11  | \$244 19                         | \$215 02                               | \$29 17          |
| PRESTIGE BRANDS HLDGS INC   | 74112D101 | 47               | 05/11/10            | 01/21/11  | \$521 69                         | \$455 71                               | \$65 98          |
| PRESTIGE BRANDS HLDGS INC   | 74112D101 | 60               | 05/07/10            | 01/21/11  | \$665 99                         | \$570 04                               | \$95 95          |
| PRESTIGE BRANDS HLDGS INC   | 74112D101 | 68               | 05/13/10            | 01/21/11  | \$754 78                         | \$548 07                               | \$206 71         |
| PROASSURANCE CORP           | 74267C106 | 26               | 12/14/10            | 01/21/11  | \$1,530 07                       | \$1,599 52                             | \$-69 45         |
| PROASSURANCE CORP           | 74267C106 | 75               | 04/07/10            | 01/21/11  | \$4,413 66                       | \$4,456 41                             | \$-42 75         |
| PROASSURANCE CORP           | 74267C106 | 2                | 05/26/10            | 01/21/11  | \$117 70                         | \$116 62                               | \$1 08           |
| RTI INTL METALS INC         | 74973W107 | 5                | 10/06/10            | 01/21/11  | \$132 85                         | \$147 99                               | \$-15 14         |
| RTI INTL METALS INC         | 74973W107 | 79               | 04/07/10            | 01/21/11  | \$2,098 99                       | \$2,304 18                             | \$-205 19        |
| RTI INTL METALS INC         | 74973W107 | 11               | 10/22/10            | 01/21/11  | \$292 26                         | \$318 23                               | \$-25 97         |
| RTI INTL METALS INC         | 74973W107 | 16               | 12/09/10            | 01/21/11  | \$425 11                         | \$436 69                               | \$-11 58         |
| RTI INTL METALS INC         | 74973W107 | 38               | 12/14/10            | 01/21/11  | \$1,009 64                       | \$1,032 08                             | \$-22 44         |
| REGIS CORP MINN             | 758932107 | 28               | 05/04/10            | 01/21/11  | \$485 23                         | \$558 65                               | \$-73 42         |
| REGIS CORP MINN             | 758932107 | 84               | 04/07/10            | 01/21/11  | \$1,455 69                       | \$1,629 60                             | \$-173 91        |
| REGIS CORP MINN             | 758932107 | 1                | 12/14/10            | 01/21/11  | \$17 33                          | \$18 64                                | \$-1 31          |
| SCHOOL SPECIALTY INC        | 807863105 | 103              | 04/07/10            | 01/21/11  | \$1,440 33                       | \$2,429 10                             | \$-988 77        |
| SCHOOL SPECIALTY INC        | 807863105 | 10               | 06/16/10            | 01/21/11  | \$139 84                         | \$208 63                               | \$-68 79         |
| SCHOOL SPECIALTY INC        | 807863105 | 12               | 06/14/10            | 01/21/11  | \$167 81                         | \$243 35                               | \$-75 54         |
| SCHOOL SPECIALTY INC        | 807863105 | 13               | 06/11/10            | 01/21/11  | \$181 79                         | \$261 29                               | \$-79 50         |
| SCHOOL SPECIALTY INC        | 807863105 | 5                | 06/28/10            | 01/21/11  | \$69 92                          | \$96 33                                | \$-26 41         |
| SCHOOL SPECIALTY INC        | 807863105 | 6                | 08/12/10            | 01/21/11  | \$83 90                          | \$105 38                               | \$-21 48         |
| SCHOOL SPECIALTY INC        | 807863105 | 27               | 08/20/10            | 01/21/11  | \$377 56                         | \$365 11                               | \$12 45          |
| SCHOOL SPECIALTY INC        | 807863105 | 68               | 12/14/10            | 01/21/11  | \$950 90                         | \$849 32                               | \$101 58         |
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 2                | 11/30/10            | 01/21/11  | \$125 18                         | \$124 65                               | \$0 53           |
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 15               | 12/14/10            | 01/21/11  | \$938 83                         | \$914 55                               | \$24 28          |



# 2011 Tax Information Letter

Account Number 162061039965

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IM NORTHROP GRUMMAN FDN

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description                 | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 10               | 12/06/10            | 01/21/11  | \$625 89                         | \$583 69                               | \$42 20          |
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 12               | 04/07/10            | 01/21/11  | \$751 06                         | \$622 23                               | \$128 83         |
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 5                | 03/25/10            | 01/21/11  | \$312 94                         | \$249 74                               | \$63 20          |
| SCHWEITZER-MAUDUIT INTL INC | 808541106 | 18               | 08/06/10            | 01/21/11  | \$1,126 60                       | \$851 92                               | \$274 68         |
| SCIENTIFIC GAMES CORP CL A  | 80874PI09 | 78               | 04/07/10            | 01/21/11  | \$796 26                         | \$1,096 68                             | \$-300 42        |
| SCIENTIFIC GAMES CORP CL A  | 80874PI09 | 29               | 12/30/10            | 01/21/11  | \$296 05                         | \$291 73                               | \$4 32           |
| SCIENTIFIC GAMES CORP CL A  | 80874PI09 | 42               | 09/27/10            | 01/21/11  | \$428 75                         | \$413 80                               | \$14 95          |
| SCIENTIFIC GAMES CORP CL A  | 80874PI09 | 21               | 12/14/10            | 01/21/11  | \$214 38                         | \$196 77                               | \$17 61          |
| SIGNATURE BK NEW YORK N Y   | 82669GI04 | 44               | 04/07/10            | 01/21/11  | \$2,193 35                       | \$1,662 80                             | \$530 55         |
| SOUTH JERSEY INDS INC       | 838518108 | 10               | 12/14/10            | 01/21/11  | \$524 59                         | \$530 10                               | \$-5 51          |
| SOUTH JERSEY INDS INC       | 838518108 | 30               | 04/07/10            | 01/21/11  | \$1,573 76                       | \$1,301 81                             | \$271 95         |
| SYNOVUS FINL CORP           | 87161CI05 | 792              | 04/07/10            | 01/21/11  | \$2,296 75                       | \$2,700 72                             | \$-403 97        |
| SYNOVUS FINL CORP           | 87161CI05 | 60               | 04/09/10            | 01/21/11  | \$174 00                         | \$200 39                               | \$-26 39         |
| SYNOVUS FINL CORP           | 87161CI05 | 162              | 03/21/10            | 01/21/11  | \$469 79                         | \$516 77                               | \$-46 98         |
| SYNOVUS FINL CORP           | 87161CI05 | 183              | 04/30/10            | 01/21/11  | \$530 69                         | \$576 40                               | \$-45 71         |
| SYNOVUS FINL CORP           | 87161CI05 | 115              | 04/21/10            | 01/21/11  | \$333 49                         | \$343 78                               | \$-10 29         |
| SYNOVUS FINL CORP           | 87161CI05 | 336              | 12/14/10            | 01/21/11  | \$974 38                         | \$813 12                               | \$161 26         |
| SYNOVUS FINL CORP           | 87161CI05 | 159              | 11/15/10            | 01/21/11  | \$461 09                         | \$332 18                               | \$128 91         |
| TCF FINL CORP               | 872275102 | 58               | 12/14/10            | 01/21/11  | \$895 50                         | \$875 80                               | \$19 70          |
| TCF FINL CORP               | 872275102 | 85               | 10/22/10            | 01/21/11  | \$1,312 37                       | \$1,187 28                             | \$125 09         |
| TCF FINL CORP               | 872275102 | 24               | 10/26/10            | 01/21/11  | \$370 55                         | \$327 33                               | \$43 22          |
| TCF FINL CORP               | 872275102 | 56               | 10/25/10            | 01/21/11  | \$864 63                         | \$757 30                               | \$107 33         |
| TNS INC                     | 872960109 | 5                | 04/27/10            | 01/21/11  | \$87 00                          | \$135 10                               | \$-48 10         |
| TNS INC                     | 872960109 | 7                | 04/23/10            | 01/21/11  | \$121 80                         | \$189 10                               | \$-67 30         |
| TNS INC                     | 872960109 | 8                | 04/28/10            | 01/21/11  | \$139 20                         | \$212 47                               | \$-73 27         |
| TNS INC                     | 872960109 | 10               | 04/15/10            | 01/21/11  | \$174 00                         | \$262 11                               | \$-88 11         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                         | Cusip      | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|------------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| OM GROUP INC COM                    | 670872100  | 9                | 07/22/10            | 01/21/11  | \$323.54                         | \$240.14                               | \$83.40          |
| PACKAGING CORP AMER                 | 695156109  | 29               | 11/23/10            | 01/21/11  | \$820.39                         | \$779.38                               | \$41.01          |
| PACKAGING CORP AMER                 | 695156109  | 4                | 11/30/10            | 01/21/11  | \$113.16                         | \$101.40                               | \$11.76          |
| PACKAGING CORP AMER                 | 695156109  | 7                | 03/01/10            | 01/21/11  | \$198.03                         | \$169.08                               | \$28.95          |
| PACKAGING CORP AMER                 | 695156109  | 76               | 09/21/10            | 01/21/11  | \$2,150.00                       | \$1,748.27                             | \$401.73         |
| PACKAGING CORP AMER                 | 695156109  | 11               | 09/22/10            | 01/21/11  | \$311.18                         | \$250.76                               | \$60.42          |
| PACWEST BANCORP DEL                 | 695263103  | 147              | 04/07/10            | 01/21/11  | \$3,102.39                       | \$3,467.20                             | \$-364.81        |
| PACWEST BANCORP DEL                 | 695263103  | 7                | 12/30/10            | 01/21/11  | \$147.73                         | \$152.67                               | \$-4.94          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 5                | 06/20/10            | 01/26/11  | \$181.71                         | \$164.52                               | \$17.19          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 19               | 06/04/10            | 01/26/11  | \$690.48                         | \$625.43                               | \$65.05          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 13               | 07/24/10            | 01/26/11  | \$472.44                         | \$433.70                               | \$38.74          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 11               | 07/26/10            | 01/26/11  | \$399.75                         | \$369.65                               | \$30.10          |
| RAYONIER INC                        | 754907103  | 12               | 12/15/10            | 01/26/11  | \$723.81                         | \$627.60                               | \$96.21          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 21               | 07/02/10            | 01/26/11  | \$763.16                         | \$678.13                               | \$85.03          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 6                | 06/19/10            | 01/26/11  | \$218.05                         | \$196.52                               | \$21.53          |
| AMERISOURCEBERGEN CORP              | 03073E105  | 5                | 07/17/10            | 01/26/11  | \$181.70                         | \$164.42                               | \$17.28          |
| UNITED STATES TREAS NT              | 912828MR8  | 12000            | 12/16/10            | 01/27/11  | \$12,404.53                      | \$12,300.47                            | \$104.06         |
| UNITED STATES TREAS NT              | 912828MG2  | 32000            | 12/16/10            | 01/27/11  | \$32,496.25                      | \$32,417.50                            | \$78.75          |
| TERADYNE INC                        | 880770102  | 73               | 01/21/11            | 01/27/11  | \$1,166.44                       | \$1,011.05                             | \$155.39         |
| COLUMBIA FDS SER TR LARGE CAP INDEX | 19765H321  | 9749.3           | 12/14/10            | 01/27/11  | \$245,000.00                     | \$233,690.82                           | \$11,309.18      |
| FEDERAL HOME LN MTG CORP NOTES      | 31344A4T27 | 2000             | 02/09/10            | 01/27/11  | \$2,173.38                       | \$2,179.54                             | \$-6.16          |
| FEDERAL HOME LN MTG CORP NOTES      | 31344A4T27 | 13000            | 12/16/10            | 01/27/11  | \$14,126.99                      | \$14,109.02                            | \$17.97          |
| FEDERAL NATL MTG ASSN               | 31359MPF4  | 7000             | 02/09/10            | 01/27/11  | \$7,436.64                       | \$7,537.16                             | \$-100.52        |
| FEDERAL NATL MTG ASSN               | 31359MPF4  | 3000             | 03/23/10            | 01/27/11  | \$3,187.13                       | \$3,217.23                             | \$-30.10         |
| FEDERAL NATL MTG ASSN               | 31359MPF4  | 4000             | 04/26/10            | 01/27/11  | \$4,249.51                       | \$4,275.98                             | \$-26.47         |
| FEDERAL NATL MTG ASSN               | 31359MPF4  | 11000            | 12/16/10            | 01/27/11  | \$11,686.15                      | \$11,684.09                            | \$2.06           |

### Short term transactions

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| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL HOME LN MTG CORP       | 3137EACC1 | 43000            | 12/16/10            | 01/27/11  | \$43,769 83                      | \$43,702 62                            | \$67 21          |
| FEDERAL HOME LN MTG CORP       | 3137EACM9 | 46000            | 11/18/10            | 01/27/11  | \$45,318 74                      | \$46,158 33                            | \$-839 59        |
| FEDERAL NATL MTG ASSN          | 31398ASD5 | 27000            | 12/16/10            | 01/27/11  | \$28,929 31                      | \$28,872 99                            | \$56 32          |
| FEDERAL NATL MTG ASSN          | 31398AWQ1 | 4000             | 04/26/10            | 01/27/11  | \$4,011 56                       | \$4,038 76                             | \$-27 20         |
| FEDERAL NATL MTG ASSN          | 31398AWQ1 | 4000             | 03/23/10            | 01/27/11  | \$4,011 56                       | \$4,038 01                             | \$-26 45         |
| UNITED STATES TREAS NTS        | 912828HY9 | 25000            | 12/16/10            | 01/27/11  | \$26,401 37                      | \$26,360 35                            | \$41 02          |
| UNITED STATES TREAS NT         | 912828JZ4 | 30000            | 12/16/10            | 01/27/11  | \$30,675 00                      | \$30,547 26                            | \$127 74         |
| UNITED STATES TREAS NT         | 912828KH2 | 60000            | 06/16/10            | 01/27/11  | \$60,072 66                      | \$60,265 04                            | \$-192 38        |
| UNITED STATES TREAS NT         | 912828KL3 | 5000             | 02/09/10            | 01/27/11  | \$5,008 98                       | \$5,026 00                             | \$-17 02         |
| UNITED STATES TREAS NT         | 912828NV8 | 16000            | 09/09/10            | 01/27/11  | \$15,615 00                      | \$15,763 80                            | \$-148 80        |
| UNITED STATES TREAS NT         | 912828PD6 | 66000            | 11/09/10            | 01/27/11  | \$65,853 05                      | \$65,909 99                            | \$-56 94         |
| UNITED STATES TREAS NT         | 912828PJ3 | 21000            | 12/08/10            | 01/27/11  | \$20,476 64                      | \$20,525 11                            | \$-48 47         |
| UNITED STATES TREAS NT         | 912828KL3 | 9000             | 04/26/10            | 01/27/11  | \$9,016 17                       | \$9,034 84                             | \$-18 67         |
| UNITED STATES TREAS NT         | 912828KL3 | 30000            | 12/16/10            | 01/27/11  | \$30,053 91                      | \$30,078 52                            | \$-24 61         |
| UNITED STATES TREAS NT         | 912828KP4 | 37000            | 12/16/10            | 01/27/11  | \$37,484 18                      | \$37,458 16                            | \$26 02          |
| UNITED STATES TREAS NT         | 912828KL3 | 4000             | 03/23/10            | 01/27/11  | \$4,007 19                       | \$4,016 26                             | \$-9 07          |
| UNITED STATES TREAS NT         | 912828LW8 | 35000            | 12/16/10            | 01/27/11  | \$35,184 57                      | \$35,195 51                            | \$-10 94         |
| UNITED STATES TREAS NT         | 912828LW8 | 2000             | 02/09/10            | 01/27/11  | \$2,010 55                       | \$2,011 80                             | \$-1 25          |
| VARIAN SEMICONDUCTOR EQUIPMENT | 922207105 | 207              | 01/21/11            | 01/28/11  | \$9,243 51                       | \$8,511 01                             | \$732 50         |
| PACCAR INC                     | 693718108 | 154              | 02/02/10            | 01/28/11  | \$8,471 32                       | \$5,597 77                             | \$2,873 55       |
| PACCAR INC                     | 693718108 | 54               | 12/14/10            | 01/28/11  | \$2,970 46                       | \$3,081 24                             | \$-110 78        |
| PARKER-HANNIFIN CP             | 701094104 | 144              | 08/09/10            | 01/28/11  | \$12,549 84                      | \$9,396 22                             | \$3,153 62       |
| PARKER-HANNIFIN CP             | 701094104 | 32               | 12/14/10            | 01/28/11  | \$2,788 85                       | \$2,751 68                             | \$37 17          |
| PACCAR INC                     | 693718108 | 28               | 02/09/10            | 01/28/11  | \$1,540 24                       | \$982 17                               | \$558 07         |
| OSHKOSH TRUCK CORP CL B        | 688239201 | 7                | 05/04/10            | 01/31/11  | \$268 52                         | \$268 62                               | \$-0 10          |
| OSHKOSH TRUCK CORP CL B        | 688239201 | 28               | 05/04/10            | 01/31/11  | \$1,074 07                       | \$1,074 47                             | \$-0 40          |

### Short term transactions

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| OSHKOSH TRUCK CORP CL B            | 688239201 | 20               | 05/04/10            | 01/31/11  | \$767 19                         | \$767 48                               | \$-0 29          |
| ATMEL CORP COM                     | 049513104 | 130              | 12/14/10            | 01/31/11  | \$1,757 55                       | \$1,535 30                             | \$222 25         |
| FEDERAL NATL MTG ASSN              | 31368HMT7 | 1532 07          | 01/26/11            | 01/31/11  | \$1,532 07                       | \$1,674 75                             | \$-142 68        |
| FEDERAL NATL MTG ASSN              | 31406Y4E7 | 865 03           | 01/26/11            | 01/31/11  | \$865 03                         | \$911 93                               | \$-46 90         |
| FEDERAL NATL MTG ASSN              | 31410GRN5 | 659 31           | 01/26/11            | 01/31/11  | \$659 31                         | \$707 57                               | \$-48 26         |
| OSHKOSH TRUCK CORP CL B            | 688239201 | 20               | 05/28/10            | 01/31/11  | \$767 19                         | \$719 79                               | \$47 40          |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 24               | 12/15/10            | 02/01/11  | \$923 22                         | \$699 12                               | \$224 10         |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 14               | 12/15/10            | 02/01/11  | \$538 55                         | \$407 82                               | \$130 73         |
| ROBBINS & MYERS INC                | 770196103 | 104              | 01/21/11            | 02/01/11  | \$4,361 02                       | \$4,084 08                             | \$276 94         |
| UNITED STATES TREAS NTS            | 912828DM9 | 60000            | 12/16/10            | 02/01/11  | \$65,878 13                      | \$65,491 41                            | \$386 72         |
| PG & E CORP                        | 69331C108 | 9                | 10/20/10            | 02/01/11  | \$418 40                         | \$432 55                               | \$-14 15         |
| PG & E CORP                        | 69331C108 | 13               | 12/15/10            | 02/01/11  | \$604 37                         | \$619 71                               | \$-15 34         |
| JOY GLOBAL INC                     | 481165108 | 1                | 02/18/10            | 02/01/11  | \$89 87                          | \$51 01                                | \$38 86          |
| JOY GLOBAL INC                     | 481165108 | 8                | 02/18/10            | 02/01/11  | \$718 92                         | \$408 10                               | \$310 82         |
| JOY GLOBAL INC                     | 481165108 | 10               | 12/15/10            | 02/01/11  | \$898 66                         | \$836 00                               | \$62 66          |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 4                | 03/30/10            | 02/02/11  | \$154 90                         | \$67 40                                | \$87 50          |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 7                | 04/26/10            | 02/02/11  | \$271 07                         | \$118 02                               | \$153 05         |
| ROBBINS & MYERS INC                | 770196103 | 108              | 01/21/11            | 02/02/11  | \$4,533 19                       | \$4,241 16                             | \$292 03         |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 42               | 12/15/10            | 02/02/11  | \$1,626 40                       | \$1,223 46                             | \$402 94         |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 7                | 03/30/10            | 02/02/11  | \$271 06                         | \$117 95                               | \$153 11         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 19               | 12/03/10            | 02/03/11  | \$2,229 54                       | \$2,073 57                             | \$155 97         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 41               | 12/01/10            | 02/03/11  | \$4,811 12                       | \$4,387 36                             | \$423 76         |
| LULULEMON ATHLETICA INC            | 550021109 | 26               | 01/06/11            | 02/03/11  | \$1,870 13                       | \$1,742 75                             | \$127 38         |
| ILLUMINA INC                       | 452327109 | 35               | 12/14/10            | 02/03/11  | \$2,449 61                       | \$2,302 27                             | \$147 34         |
| EOG RES INC                        | 26875P101 | 40               | 03/10/10            | 02/03/11  | \$4,246 32                       | \$3,932 21                             | \$314 11         |
| APPLE COMPUTER INC                 | 037833100 | 10               | 12/14/10            | 02/03/11  | \$3,412 11                       | \$3,198 58                             | \$213 53         |

### Short term transactions

This category includes sales of assets held 12 months or less

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SALESFORCE COM INC                  | 79466L302 | 61               | 12/14/10            | 02/03/11  | \$8,162.33                       | \$8,305.71                             | \$-143.38        |
| BAIDU COM INC SPONSORED ADR REPSTG  | 056752108 | 10               | 12/14/10            | 02/03/11  | \$1,173.44                       | \$1,067.35                             | \$106.09         |
| ROCHE HLDG LTD SPONSORED ADR        | 771195104 | 211              | 12/14/10            | 02/03/11  | \$7,922.83                       | \$7,828.10                             | \$94.73          |
| LULULEMON ATHLETICA INC             | 550021109 | 51               | 01/06/11            | 02/03/11  | \$3,699.00                       | \$3,418.47                             | \$280.53         |
| PRICELINE COM INC                   | 741503403 | 9                | 11/19/10            | 02/03/11  | \$3,929.64                       | \$3,682.24                             | \$247.40         |
| UNITED STATES TREAS NT              | 912828NR7 | 63000            | 08/18/10            | 02/04/11  | \$61,139.53                      | \$64,368.28                            | \$-3,228.75      |
| UNITED STATES TREAS NT              | 912828NR7 | 150000           | 12/16/10            | 02/04/11  | \$145,570.32                     | \$146,660.16                           | \$-1,089.84      |
| UNITED STATES TREAS NT              | 912828NR7 | 95000            | 08/13/10            | 02/04/11  | \$92,194.53                      | \$96,855.47                            | \$-4,660.94      |
| LUBRIZOL CORP                       | 549271104 | 15               | 12/15/10            | 02/07/11  | \$1,685.13                       | \$1,614.30                             | \$70.83          |
| DSW INC CL A                        | 23334L102 | 46               | 11/23/10            | 02/07/11  | \$1,637.13                       | \$1,801.84                             | \$-164.71        |
| DSW INC CL A                        | 23334L102 | 28               | 12/15/10            | 02/07/11  | \$996.51                         | \$1,085.77                             | \$-89.26         |
| GORDMAN'S STORES INC                | 38269P100 | 42               | 12/15/10            | 02/07/11  | \$593.76                         | \$732.58                               | \$-138.82        |
| JO-ANN STORES INC                   | 47758P307 | 14               | 06/18/10            | 02/07/11  | \$846.74                         | \$602.65                               | \$244.09         |
| JO-ANN STORES INC                   | 47758P307 | 8                | 10/28/10            | 02/07/11  | \$483.85                         | \$340.70                               | \$143.15         |
| MEDNAX INC                          | 58502B106 | 15               | 05/28/10            | 02/07/11  | \$923.42                         | \$851.30                               | \$72.12          |
| MEDNAX INC                          | 58502B106 | 16               | 07/12/10            | 02/07/11  | \$984.98                         | \$826.27                               | \$158.71         |
| NU SKIN ASIA INC CL A               | 67018T105 | 43               | 12/15/10            | 02/07/11  | \$1,332.08                       | \$1,340.63                             | \$-8.55          |
| OMNIVISION TECHNOLOGIES INC         | 682128103 | 40               | 12/15/10            | 02/07/11  | \$1,053.31                       | \$1,158.30                             | \$-104.99        |
| OPENTABLE INC                       | 68372A104 | 18               | 12/15/10            | 02/07/11  | \$1,528.07                       | \$1,244.12                             | \$283.95         |
| OPENTABLE INC                       | 68372A104 | 31               | 05/28/10            | 02/07/11  | \$2,631.67                       | \$1,284.06                             | \$1,347.61       |
| PIER 1 IMPORTS INC                  | 720279108 | 168              | 12/15/10            | 02/07/11  | \$1,659.27                       | \$1,745.88                             | \$-86.61         |
| REGAL BELOIT CORP                   | 758750103 | 13               | 01/14/11            | 02/07/11  | \$912.10                         | \$880.62                               | \$31.48          |
| REGAL BELOIT CORP                   | 758750103 | 4                | 12/15/10            | 02/07/11  | \$280.64                         | \$263.07                               | \$17.57          |
| RIVERBED TECHNOLOGY INC             | 768573107 | 89               | 12/21/10            | 02/07/11  | \$3,406.92                       | \$3,112.04                             | \$294.88         |
| SHUTTERFLY INC                      | 82568P304 | 14               | 12/15/10            | 02/07/11  | \$596.28                         | \$476.39                               | \$119.89         |
| MONEY MARKET - STIFEL NICOLAUS 1099 | 860630102 | 27               | 12/15/10            | 02/07/11  | \$1,777.06                       | \$1,603.46                             | \$173.60         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                 | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SUCCESSFACTORS INC          | 864596101 | 42               | 12/15/10            | 02/07/11  | \$1,313 85                       | \$1,245 19                             | \$68 66          |
| THORATEC LABS CORP NEW      | 885175307 | 26               | 08/16/10            | 02/07/11  | \$677 40                         | \$888 94                               | \$-211 54        |
| THORATEC LABS CORP NEW      | 885175307 | 43               | 12/15/10            | 02/07/11  | \$1,120 32                       | \$1,185 83                             | \$-65 51         |
| ACME PACKET INC             | 004764106 | 22               | 12/21/10            | 02/07/11  | \$1,553 01                       | \$1,243 15                             | \$309 86         |
| VOLTERRA SEMICONDUCTOR CORP | 928708106 | 19               | 06/18/10            | 02/07/11  | \$480 25                         | \$504 24                               | \$-23 99         |
| VOLTERRA SEMICONDUCTOR CORP | 928708106 | 15               | 12/15/10            | 02/07/11  | \$379 14                         | \$353 96                               | \$25 18          |
| WARNACO GROUP INC NEW       | 934390402 | 17               | 12/15/10            | 02/07/11  | \$939 86                         | \$960 63                               | \$-20 77         |
| WORLD FUEL SVCS CORP        | 981475106 | 15               | 12/15/10            | 02/07/11  | \$594 04                         | \$480 26                               | \$113 78         |
| SYNERON MEDICAL LTD SHS     | M87245102 | 2                | 12/15/10            | 02/07/11  | \$22 90                          | \$21 60                                | \$1 30           |
| ALLIED NEV GOLD CORP        | 019344100 | 97               | 11/23/10            | 02/07/11  | \$2,760 39                       | \$2,585 91                             | \$174 48         |
| ALLIED NEV GOLD CORP        | 019344100 | 64               | 12/15/10            | 02/07/11  | \$1,821 29                       | \$1,661 92                             | \$159 37         |
| AUTONAVI HLDGS LTD          | 05330F106 | 1                | 10/14/10            | 02/07/11  | \$15 88                          | \$19 39                                | \$-3 51          |
| VISTAPRINT NV               | N93540107 | 41               | 12/15/10            | 02/07/11  | \$2,175 49                       | \$1,739 12                             | \$436 37         |
| VISTAPRINT NV               | N93540107 | 9                | 08/16/10            | 02/07/11  | \$477 55                         | \$260 00                               | \$217 55         |
| DRYSHIPS INC SHS            | Y2109Q101 | 225              | 12/15/10            | 02/07/11  | \$1,132 34                       | \$1,349 04                             | \$-216 70        |
| DRYSHIPS INC SHS            | Y2109Q101 | 319              | 11/23/10            | 02/07/11  | \$1,605 40                       | \$1,670 76                             | \$-65 36         |
| BROADSOFT INC               | 11133B409 | 52               | 12/15/10            | 02/07/11  | \$1,946 66                       | \$1,174 03                             | \$772 63         |
| CAVIUM NETWORKS INC         | 14965A101 | 40               | 12/15/10            | 02/07/11  | \$1,702 00                       | \$1,458 30                             | \$243 70         |
| CAVIUM NETWORKS INC         | 14965A101 | 60               | 10/14/10            | 02/07/11  | \$2,553 00                       | \$1,725 54                             | \$827 46         |
| COLUMBUS MCKINNON CORP      | 199333105 | 46               | 12/15/10            | 02/07/11  | \$807 06                         | \$904 70                               | \$-97 64         |
| COOPER TIRE & RUBBER CO     | 216831107 | 81               | 12/15/10            | 02/07/11  | \$1,781 70                       | \$1,888 71                             | \$-107 01        |
| KELLOGG CO                  | 487836108 | 62               | 03/23/10            | 02/08/11  | \$3,302 18                       | \$3,394 48                             | \$-92 30         |
| AUTONAVI HLDGS LTD          | 05330F106 | 11               | 12/15/10            | 02/08/11  | \$176 12                         | \$179 71                               | \$-3 59          |
| KELLOGG CO                  | 487836108 | 59               | 12/14/10            | 02/08/11  | \$3,142 40                       | \$3,007 23                             | \$135 17         |
| KELLOGG CO                  | 487836108 | 13               | 07/01/10            | 02/08/11  | \$692 39                         | \$657 80                               | \$34 59          |
| NORTHERN TRUST CORP         | 665859104 | 12               | 07/01/10            | 02/08/11  | \$630 14                         | \$559 80                               | \$70 34          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| NORTHERN TRUST CORP                | 665859104 | 19               | 02/09/10            | 02/08/11  | \$997.71                         | \$954.56                               | \$43.15          |
| NORTHERN TRUST CORP                | 665859104 | 24               | 12/14/10            | 02/08/11  | \$1,260.27                       | \$1,306.08                             | \$-45.81         |
| HERTZ GLOBAL HLDGS INC             | 42805T105 | 96               | 12/15/10            | 02/08/11  | \$1,519.07                       | \$1,345.92                             | \$173.15         |
| LENDER PROCESSING SVCS INC         | 52602E102 | 52               | 05/05/10            | 02/08/11  | \$1,664.04                       | \$2,104.60                             | \$-440.56        |
| LENDER PROCESSING SVCS INC         | 52602E102 | 4                | 05/05/10            | 02/08/11  | \$128.00                         | \$161.89                               | \$-33.89         |
| LENDER PROCESSING SVCS INC         | 52602E102 | 11               | 05/05/10            | 02/08/11  | \$352.01                         | \$445.20                               | \$-93.19         |
| LENDER PROCESSING SVCS INC         | 52602E102 | 8                | 05/05/10            | 02/08/11  | \$256.01                         | \$323.79                               | \$-67.78         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 33               | 12/14/10            | 02/08/11  | \$3,919.79                       | \$3,522.26                             | \$397.53         |
| CME GROUP INC                      | 12572Q105 | 107              | 12/14/10            | 02/08/11  | \$32,159.49                      | \$34,687.33                            | \$-2,527.84      |
| TEVA PHARMACEUTICAL INDS LTD       | 881624209 | 70               | 12/14/10            | 02/08/11  | \$3,598.29                       | \$3,709.11                             | \$-110.82        |
| SYNERON MEDICAL LTD SHS            | M87245102 | 17               | 12/15/10            | 02/08/11  | \$193.62                         | \$183.56                               | \$10.06          |
| AUTONAVI HLDGS LTD                 | 05330F106 | 6                | 10/14/10            | 02/08/11  | \$96.06                          | \$116.32                               | \$-20.26         |
| KELLOGG CO                         | 487836108 | 17               | 02/09/10            | 02/08/11  | \$905.44                         | \$894.20                               | \$11.24          |
| FMC TECHNOLOGIES INC               | 30249U101 | 10               | 12/14/10            | 02/09/11  | \$897.11                         | \$873.61                               | \$23.50          |
| AUTONAVI HLDGS LTD                 | 05330F106 | 2                | 12/15/10            | 02/09/11  | \$32.16                          | \$32.68                                | \$-0.52          |
| AMERISOURCEBERGEN CORP             | 03073E105 | 26               | 07/02/10            | 02/09/11  | \$950.24                         | \$839.59                               | \$110.65         |
| COCA-COLA ENTERPRISES INC          | 19122T109 | 34               | 12/15/10            | 02/09/11  | \$882.43                         | \$871.08                               | \$11.35          |
| DRESSER-RAND GROUP INC             | 261608103 | 24               | 12/15/10            | 02/09/11  | \$1,081.11                       | \$988.56                               | \$92.55          |
| DRESSER-RAND GROUP INC             | 261608103 | 15               | 12/15/10            | 02/09/11  | \$675.69                         | \$617.85                               | \$57.84          |
| DRESSER-RAND GROUP INC             | 261608103 | 7                | 12/15/10            | 02/09/11  | \$315.32                         | \$288.33                               | \$26.99          |
| EXPEDIA INC DEL                    | 30212P105 | 61               | 12/15/10            | 02/09/11  | \$1,551.21                       | \$1,565.87                             | \$-14.66         |
| EXPEDIA INC DEL                    | 30212P105 | 33               | 12/15/10            | 02/09/11  | \$839.18                         | \$847.11                               | \$-7.93          |
| JOY GLOBAL INC                     | 481165108 | 1                | 02/18/10            | 02/09/11  | \$91.74                          | \$51.01                                | \$40.73          |
| JOY GLOBAL INC                     | 481165108 | 14               | 05/07/10            | 02/09/11  | \$1,284.38                       | \$704.74                               | \$579.64         |
| JOY GLOBAL INC                     | 481165108 | 9                | 02/24/10            | 02/09/11  | \$825.67                         | \$445.25                               | \$380.42         |
| JOY GLOBAL INC                     | 481165108 | 17               | 02/10/10            | 02/09/11  | \$1,559.60                       | \$775.71                               | \$783.89         |



# 2011 Tax Information Letter

Account Number 162061039965

IM NORTHROP GRUMMAN FDN

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## Short term transactions

This category includes sales of assets held 12 months or less.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| MICRON TECHNOLOGY               | 595112103 | 76               | 04/09/10            | 02/09/11  | \$860 30                         | \$808 77                               | \$51 53          |
| MICRON TECHNOLOGY               | 595112103 | 10               | 04/17/10            | 02/09/11  | \$113 20                         | \$105 33                               | \$7 87           |
| MICRON TECHNOLOGY               | 595112103 | 59               | 01/21/11            | 02/09/11  | \$667 87                         | \$579 36                               | \$88 51          |
| UNITED STATES TREAS NT          | 912828NK2 | 37000            | 08/19/10            | 02/09/11  | \$36,112 58                      | \$38,257 42                            | \$-2,144 84      |
| UNITED STATES TREAS NT          | 912828NK2 | 25000            | 12/16/10            | 02/09/11  | \$24,400 39                      | \$24,672 85                            | \$-272 46        |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 81               | 01/19/11            | 02/09/11  | \$3,883 99                       | \$4,194 60                             | \$-310 61        |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 271              | 12/14/10            | 02/09/11  | \$12,994 58                      | \$13,451 76                            | \$-457 18        |
| FRESH DEL MONTE PRODUCE INC     | G36738105 | 138              | 01/21/11            | 02/09/11  | \$3,674 06                       | \$3,734 28                             | \$-60 22         |
| ROCHE HLDG LTD SPONSORED ADR    | 771195104 | 59               | 12/14/10            | 02/09/11  | \$2,159 82                       | \$2,188 90                             | \$-29 08         |
| SYNERON MEDICAL LTD SHS         | M87245102 | 19               | 12/15/10            | 02/09/11  | \$216 31                         | \$205 15                               | \$11 16          |
| AMERISOURCEBERGEN CORP          | 03073E105 | 17               | 07/02/10            | 02/09/11  | \$621 31                         | \$548 96                               | \$72 35          |
| LUFKIN INDS INC                 | 549764108 | 12               | 01/21/11            | 02/10/11  | \$860 45                         | \$742 84                               | \$117 61         |
| FMC TECHNOLOGIES INC            | 30249U101 | 83               | 12/14/10            | 02/10/11  | \$7,436 80                       | \$7,251 00                             | \$185 80         |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 346              | 12/14/10            | 02/10/11  | \$14,038 20                      | \$17,174 58                            | \$-3,136 38      |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 830              | 11/19/10            | 02/10/11  | \$33,675 44                      | \$41,016 52                            | \$-7,341 08      |
| AUTONAVI HLDGS LTD              | 05330F106 | 6                | 12/15/10            | 02/10/11  | \$96 45                          | \$98 02                                | \$-1 57          |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 3                | 01/05/11            | 02/10/11  | \$123 03                         | \$145 53                               | \$-22 50         |
| SYNERON MEDICAL LTD SHS         | M87245102 | 20               | 12/15/10            | 02/10/11  | \$227 47                         | \$215 95                               | \$11 52          |
| SYNERON MEDICAL LTD SHS         | M87245102 | 2                | 09/02/10            | 02/10/11  | \$22 75                          | \$17 21                                | \$5 54           |
| AUTONAVI HLDGS LTD              | 05330F106 | 1                | 12/15/10            | 02/10/11  | \$16 08                          | \$16 34                                | \$-0 26          |
| AKAMAI TECHNOLOGIES INC         | 009711T01 | 56               | 11/19/10            | 02/10/11  | \$2,296 47                       | \$2,767 38                             | \$-470 91        |
| ROSS STORES INC                 | 778296103 | 5                | 12/15/10            | 02/11/11  | \$357 22                         | \$315 00                               | \$42 22          |
| ROSS STORES INC                 | 778296103 | 22               | 12/15/10            | 02/11/11  | \$1,571 76                       | \$1,386 00                             | \$185 76         |
| CB RICHARD ELLIS GROUP INC CL A | 12497T101 | 20               | 12/15/10            | 02/11/11  | \$487 86                         | \$408 00                               | \$79 86          |
| CB RICHARD ELLIS GROUP INC CL A | 12497T101 | 28               | 04/26/10            | 02/11/11  | \$683 01                         | \$495 04                               | \$187 97         |
| AUTONAVI HLDGS LTD              | 05330F106 | 3                | 12/15/10            | 02/11/11  | \$47 92                          | \$49 01                                | \$-1 09          |

### Short term transactions

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|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ATMEL CORP COM                  | 049513104 | 227              | 12/14/10            | 02/11/11  | \$3,620 63                       | \$2,680 87                             | \$939 76         |
| FRESH DEL MONTE PRODUCE INC     | G36738105 | 40               | 01/21/11            | 02/11/11  | \$1,064 33                       | \$1,082 40                             | \$-18 07         |
| SYNERON MEDICAL LTD SHS         | M87245102 | 23               | 09/02/10            | 02/11/11  | \$260 93                         | \$197 90                               | \$63 03          |
| AUTONAVI HLDGS LTD              | 05330F106 | 1                | 12/15/10            | 02/11/11  | \$15 98                          | \$16 34                                | \$-0 36          |
| CB RICHARD ELLIS GROUP INC CL A | 12497T101 | 50               | 03/23/10            | 02/11/11  | \$1,219 66                       | \$725 50                               | \$494 16         |
| SYNERON MEDICAL LTD SHS         | M87245102 | 33               | 09/02/10            | 02/14/11  | \$368 87                         | \$283 93                               | \$84 94          |
| AUTONAVI HLDGS LTD              | 05330F106 | 2                | 12/15/10            | 02/14/11  | \$32 10                          | \$32 67                                | \$-0 57          |
| AUTONAVI HLDGS LTD              | 05330F106 | 2                | 12/15/10            | 02/14/11  | \$31 98                          | \$32 68                                | \$-0 70          |
| CME GROUP INC                   | 12572Q105 | 16               | 12/14/10            | 02/15/11  | \$4,691 28                       | \$5,186 89                             | \$-495 61        |
| CME GROUP INC                   | 12572Q105 | 14               | 11/25/10            | 02/15/11  | \$4,104 86                       | \$4,507 44                             | \$-402 58        |
| AUTONAVI HLDGS LTD              | 05330F106 | 2                | 12/15/10            | 02/15/11  | \$31 49                          | \$32 68                                | \$-1 19          |
| CME GROUP INC                   | 12572Q105 | 69               | 11/19/10            | 02/15/11  | \$20,502 78                      | \$20,338 63                            | \$164 15         |
| SYNERON MEDICAL LTD SHS         | M87245102 | 31               | 09/02/10            | 02/15/11  | \$353 88                         | \$266 73                               | \$87 15          |
| CME GROUP INC                   | 12572Q105 | 36               | 11/19/10            | 02/15/11  | \$10,555 37                      | \$10,611 46                            | \$-56 09         |
| PG & E CORP                     | 69331C108 | 11               | 12/15/10            | 02/16/11  | \$500 49                         | \$524 37                               | \$-23 88         |
| AUTONAVI HLDGS LTD              | 05330F106 | 1                | 08/16/10            | 02/16/11  | \$15 97                          | \$15 30                                | \$0 67           |
| PG & E CORP                     | 69331C108 | 5                | 09/27/10            | 02/16/11  | \$227 50                         | \$242 31                               | \$-14 81         |
| PG & E CORP                     | 69331C108 | 3                | 09/11/10            | 02/16/11  | \$136 50                         | \$144 80                               | \$-8 30          |
| PG & E CORP                     | 69331C108 | 5                | 09/30/10            | 02/16/11  | \$227 49                         | \$240 64                               | \$-13 15         |
| UNITED STATES TREAS NT          | 912828LS7 | 5000             | 09/29/10            | 02/16/11  | \$5,113 67                       | \$5,281 64                             | \$-167 97        |
| UNITED STATES TREAS NT          | 912828LS7 | 15000            | 11/10/10            | 02/16/11  | \$15,341 02                      | \$15,839 11                            | \$-498 09        |
| UNITED STATES TREAS NT          | 912828LS7 | 35000            | 08/23/10            | 02/16/11  | \$35,795 70                      | \$36,714 45                            | \$-918 75        |
| UNITED STATES TREAS NT          | 912828LS7 | 80000            | 12/16/10            | 02/16/11  | \$81,818 75                      | \$82,471 87                            | \$-653 12        |
| UNITED STATES TREAS NT          | 912828LS7 | 35000            | 04/14/10            | 02/16/11  | \$35,795 70                      | \$34,908 40                            | \$887 30         |
| UNITED STATES TREAS NT          | 912828LS7 | 5000             | 04/08/10            | 02/16/11  | \$5,113 67                       | \$4,978 71                             | \$134 96         |
| CME GROUP INC                   | 12572Q105 | 4                | 11/19/10            | 02/16/11  | \$1,183 63                       | \$1,179 05                             | \$4 58           |



IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CME GROUP INC                       | 12572Q105 | 100              | 11/19/10            | 02/16/11  | \$29,465 23                      | \$29,476 27                            | \$-11 04         |
| AUTONAVI HLDGS LTD                  | 05330F106 | 2                | 12/15/10            | 02/16/11  | \$31 31                          | \$32 67                                | \$-1 36          |
| FEDERAL NATL MTG ASSN               | 31368HMT7 | 53997 64         | 01/26/11            | 02/16/11  | \$58,376 52                      | \$58,986 37                            | \$-609 85        |
| AUTONAVI HLDGS LTD                  | 05330F106 | 1                | 08/16/10            | 02/16/11  | \$15 66                          | \$15 30                                | \$0 36           |
| PG & E CORP                         | 69331C108 | 2                | 09/27/10            | 02/16/11  | \$91 00                          | \$96 93                                | \$-5 93          |
| CF INDS HLDGS INC                   | 125269100 | 20               | 12/15/10            | 02/17/11  | \$2,909 19                       | \$2,339 40                             | \$569 79         |
| AUTONAVI HLDGS LTD                  | 05330F106 | 1                | 08/16/10            | 02/17/11  | \$15 98                          | \$15 30                                | \$0 68           |
| AUTONAVI HLDGS LTD                  | 05330F106 | 1                | 08/16/10            | 02/17/11  | \$15 93                          | \$15 30                                | \$0 63           |
| FRESH DEL MONTE PRODUCE INC         | G36738105 | 135              | 01/21/11            | 02/17/11  | \$3,589 84                       | \$3,653 10                             | \$-63 26         |
| INTEL CORP                          | 458140100 | 60               | 12/14/10            | 02/18/11  | \$1,327 31                       | \$1,283 70                             | \$43 61          |
| INTEL CORP                          | 458140100 | 26               | 07/01/10            | 02/18/11  | \$575 17                         | \$502 32                               | \$72 85          |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 199              | 07/26/10            | 02/18/11  | \$6,349 47                       | \$6,759 13                             | \$-409 66        |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 14               | 10/13/10            | 02/18/11  | \$446 70                         | \$467 74                               | \$-21 04         |
| ROGERS COMMUNICATIONS INC CL B      | 775109200 | 12               | 07/01/10            | 02/18/11  | \$425 82                         | \$390 60                               | \$35 22          |
| BAIDU COM INC SPONSORED ADR REPSTG  | 056752108 | 31               | 12/14/10            | 02/18/11  | \$3,955 82                       | \$3,308 79                             | \$647 03         |
| TRAVELERS COS INC                   | 89417E109 | 11               | 07/01/10            | 02/18/11  | \$666 86                         | \$538 01                               | \$128 85         |
| EOG RES INC                         | 26875P101 | 96               | 11/19/10            | 02/18/11  | \$10,434 08                      | \$8,915 28                             | \$1,518 80       |
| NETFLIX COM INC                     | 64110L106 | 24               | 01/19/11            | 02/18/11  | \$5,656 69                       | \$4,588 84                             | \$1,067 85       |
| NETFLIX COM INC                     | 64110L106 | 5                | 12/28/10            | 02/18/11  | \$1,178 48                       | \$917 02                               | \$261 46         |
| AMAZON COM INC                      | 023135106 | 33               | 12/14/10            | 02/18/11  | \$6,205 96                       | \$5,740 86                             | \$465 10         |
| TRAVELERS COS INC                   | 89417E109 | 59               | 12/14/10            | 02/18/11  | \$3,576 78                       | \$3,268 60                             | \$308 18         |
| GARMIN LTD                          | H2906T109 | 8                | 03/28/10            | 02/22/11  | \$256 89                         | \$306 37                               | \$-49 48         |
| GARMIN LTD                          | H2906T109 | 2                | 03/28/10            | 02/22/11  | \$64 22                          | \$76 59                                | \$-12 37         |
| GARMIN LTD                          | H2906T109 | 41               | 05/05/10            | 02/22/11  | \$1,316 57                       | \$1,534 14                             | \$-217 57        |
| TRIMBLE NAV LTD                     | 896239100 | 35               | 12/14/10            | 02/22/11  | \$1,698 11                       | \$1,432 20                             | \$265 91         |
| AUTONAVI HLDGS LTD                  | 05330F106 | 8                | 08/16/10            | 02/22/11  | \$123 36                         | \$122 40                               | \$0 96           |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SWIFT ENERGY CO                  | 870738101 | 4                | 12/30/10            | 02/22/11  | \$188 09                         | \$158 84                               | \$29 25          |
| SWIFT ENERGY CO                  | 870738101 | 48               | 01/21/11            | 02/22/11  | \$2,257 03                       | \$1,901 76                             | \$355 27         |
| SWIFT ENERGY CO                  | 870738101 | 15               | 04/07/10            | 02/22/11  | \$705 32                         | \$512 99                               | \$192 33         |
| SWIFT ENERGY CO                  | 870738101 | 39               | 12/14/10            | 02/22/11  | \$1,833 84                       | \$1,571 70                             | \$262 14         |
| NCR CORP NEW                     | 62886E108 | 272              | 01/21/11            | 02/23/11  | \$5,134 83                       | \$4,466 32                             | \$668 51         |
| BJS WHSL CLUB INC                | 05548J106 | 188              | 01/21/11            | 02/23/11  | \$9,064 85                       | \$8,460 00                             | \$604 85         |
| SWIFT ENERGY CO                  | 870738101 | 87               | 04/07/10            | 02/23/11  | \$4,101 99                       | \$2,975 37                             | \$1,126 62       |
| AUTONAVI HLDGS LTD               | 05330F106 | 2                | 08/16/10            | 02/23/11  | \$30 57                          | \$30 60                                | \$-0 03          |
| SWIFT ENERGY CO                  | 870738101 | 6                | 06/28/10            | 02/23/11  | \$282 90                         | \$180 50                               | \$102 40         |
| SWIFT ENERGY CO                  | 870738101 | 8                | 06/10/10            | 02/23/11  | \$377 19                         | \$239 79                               | \$137 40         |
| SWIFT ENERGY CO                  | 870738101 | 3                | 04/21/10            | 02/23/11  | \$141 45                         | \$100 11                               | \$41 34          |
| NCR CORP NEW                     | 62886E108 | 243              | 01/21/11            | 02/24/11  | \$4,573 39                       | \$3,990 13                             | \$583 26         |
| EHOSTAR HLDG CORP CL A           | 278768106 | 46               | 12/15/10            | 02/24/11  | \$1,502 08                       | \$957 26                               | \$544 82         |
| EHOSTAR HLDG CORP CL A           | 278768106 | 28               | 12/15/10            | 02/24/11  | \$914 31                         | \$582 68                               | \$331 63         |
| EHOSTAR HLDG CORP CL A           | 278768106 | 12               | 12/15/10            | 02/24/11  | \$391 85                         | \$249 72                               | \$142 13         |
| HEALTH CARE REIT INC             | 42217K106 | 12               | 04/10/10            | 02/24/11  | \$596 65                         | \$543 54 *                             | \$53 11          |
| AUTONAVI HLDGS LTD               | 05330F106 | 23               | 07/12/10            | 02/24/11  | \$370 17                         | \$322 88                               | \$47 29          |
| HEALTH CARE REIT INC             | 42217K106 | 41               | 03/23/10            | 02/24/11  | \$2,038 54                       | \$1,820 60 *                           | \$217 94         |
| BANCO BRADESCO S A SPONSORED ADR | 059460303 | 1126             | 01/01/11            | 02/24/11  | \$96 32                          | \$0 00                                 | \$96 32          |
| AUTONAVI HLDGS LTD               | 05330F106 | 2                | 08/16/10            | 02/24/11  | \$32 11                          | \$30 60                                | \$1 51           |
| AUTONAVI HLDGS LTD               | 05330F106 | 3                | 07/12/10            | 02/24/11  | \$48 17                          | \$42 12                                | \$6 05           |
| HEALTH CARE REIT INC             | 42217K106 | 11               | 04/10/10            | 02/24/11  | \$546 93                         | \$498 25 *                             | \$48 68          |
| DRESSER-RAND GROUP INC           | 261608103 | 2                | 12/15/10            | 02/25/11  | \$97 85                          | \$82 38                                | \$15 47          |
| DRESSER-RAND GROUP INC           | 261608103 | 6                | 12/15/10            | 02/25/11  | \$293 56                         | \$247 14                               | \$46 42          |
| KINDER MORGAN MGMT LLC SHS       | 49455U100 | 0 84             | 12/14/10            | 02/25/11  | \$54 44                          | \$53 71                                | \$0 73           |
| EHOSTAR HLDG CORP CL A           | 278768106 | 7                | 12/15/10            | 02/25/11  | \$245 08                         | \$145 67                               | \$99 41          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EHOSTAR HLDG CORP CL A            | 278768106 | 20               | 12/15/10            | 02/25/11  | \$700.24                         | \$416.20                               | \$284.04         |
| EOG RES INC                       | 26875P101 | 235              | 11/19/10            | 02/28/11  | \$26,336.20                      | \$21,823.86                            | \$4,512.34       |
| FEDERAL HOME LN MTG CORP          | 3128M8A87 | 615035           | 02/08/11            | 02/28/11  | \$6,150.35                       | \$6,501.91                             | \$-351.56        |
| PRICELINE COM INC                 | 741503403 | 1                | 12/20/10            | 02/28/11  | \$455.71                         | \$402.57                               | \$53.14          |
| PRICELINE COM INC                 | 741503403 | 7                | 12/17/10            | 02/28/11  | \$3,189.98                       | \$2,814.63                             | \$375.35         |
| PRICELINE COM INC                 | 741503403 | 22               | 12/17/10            | 02/28/11  | \$10,025.65                      | \$8,844.07                             | \$1,181.58       |
| PRICELINE COM INC                 | 741503403 | 4                | 12/20/10            | 02/28/11  | \$1,822.85                       | \$1,607.89                             | \$214.96         |
| PRICELINE COM INC                 | 741503403 | 2                | 12/14/10            | 02/28/11  | \$911.42                         | \$801.13                               | \$110.29         |
| FEDERAL NATL MTG ASSN             | 31406Y4E7 | 51784            | 01/26/11            | 02/28/11  | \$517.84                         | \$545.92                               | \$-28.08         |
| FEDERAL NATL MTG ASSN             | 31410GRN5 | 61129            | 01/26/11            | 02/28/11  | \$611.29                         | \$656.04                               | \$-44.75         |
| FEDERAL NATL MTG ASSN             | 31416WRK0 | 120776           | 02/02/11            | 02/28/11  | \$1,207.76                       | \$1,227.48                             | \$-19.72         |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 53               | 03/23/10            | 02/28/11  | \$813.84                         | \$1,020.78                             | \$-206.94        |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 100              | 04/26/10            | 02/28/11  | \$1,535.55                       | \$1,812.00                             | \$-276.45        |
| AMERICAN EAGLE OUTFITTERS INC NEW | 02553E106 | 164              | 12/14/10            | 02/28/11  | \$2,518.31                       | \$2,486.24                             | \$32.07          |
| ATMEL CORP COM                    | 049513104 | 13               | 12/14/10            | 02/28/11  | \$189.01                         | \$153.53                               | \$35.48          |
| ATMEL CORP COM                    | 049513104 | 224              | 04/26/10            | 02/28/11  | \$3,256.79                       | \$1,292.32                             | \$1,964.47       |
| ATMEL CORP COM                    | 049513104 | 23               | 03/23/10            | 02/28/11  | \$334.40                         | \$120.74                               | \$213.66         |
| NOVO-NORDISK A S ADR              | 670100205 | 29               | 12/14/10            | 02/28/11  | \$3,661.73                       | \$3,166.51                             | \$495.22         |
| PRICELINE COM INC                 | 741503403 | 11               | 11/19/10            | 02/28/11  | \$5,012.83                       | \$4,500.51                             | \$512.32         |
| INTERNATIONAL BUSINESS MACHINES   | 459200101 | 38               | 12/14/10            | 03/01/11  | \$6,121.27                       | \$5,534.70                             | \$586.57         |
| KIMBERLY CLARK CORP               | 494368103 | 55               | 06/28/10            | 03/01/11  | \$3,627.89                       | \$3,425.28                             | \$202.61         |
| KIMBERLY CLARK CORP               | 494368103 | 174              | 05/25/10            | 03/01/11  | \$11,477.32                      | \$10,513.78                            | \$963.54         |
| KIMBERLY CLARK CORP               | 494368103 | 12               | 07/01/10            | 03/01/11  | \$791.54                         | \$724.92                               | \$66.62          |
| TJX COS INC NEW                   | 872540109 | 38               | 04/26/10            | 03/01/11  | \$1,899.56                       | \$1,825.14                             | \$74.42          |
| HESS CORP                         | 42809H107 | 61               | 12/14/10            | 03/01/11  | \$5,180.80                       | \$4,517.05                             | \$663.75         |
| CF INDS HLDGS INC                 | 125269100 | 4                | 12/15/10            | 03/01/11  | \$551.99                         | \$467.88                               | \$84.11          |

### Short term transactions

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| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CF INDS HLDGS INC                    | 125269100 | 7                | 05/19/10            | 03/01/11  | \$965 98                         | \$497 00                               | \$468 98         |
| TYCO INTL LTD                        | H89128104 | 21               | 07/01/10            | 03/01/11  | \$947 58                         | \$731 43                               | \$216 15         |
| TYCO INTL LTD                        | H89128104 | 64               | 12/14/10            | 03/01/11  | \$2,887 86                       | \$2,685 44                             | \$202 42         |
| WAL MART STORES INC                  | 931142103 | 129              | 12/14/10            | 03/01/11  | \$6,748 29                       | \$7,026 63                             | \$-278 34        |
| RADIOHACK CORP                       | 750438103 | 38               | 04/26/10            | 03/02/11  | \$545 05                         | \$864 50                               | \$-319 45        |
| RADIOHACK CORP                       | 750438103 | 7                | 03/23/10            | 03/02/11  | \$100 40                         | \$157 49                               | \$-57 09         |
| RADIOHACK CORP                       | 750438103 | 15               | 03/23/10            | 03/02/11  | \$215 15                         | \$337 47                               | \$-122 32        |
| RADIOHACK CORP                       | 750438103 | 13               | 04/26/10            | 03/02/11  | \$186 47                         | \$295 75                               | \$-109 28        |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 40               | 01/21/11            | 03/03/11  | \$1,273 98                       | \$1,006 80                             | \$267 18         |
| CENTERPOINT ENERGY INC               | 15189T107 | 42               | 02/04/11            | 03/03/11  | \$665 69                         | \$677 04                               | \$-11 35         |
| LENDER PROCESSING SVCS INC           | 52602E102 | 1                | 05/05/10            | 03/03/11  | \$33 98                          | \$40 47                                | \$-6 49          |
| LENDER PROCESSING SVCS INC           | 52602E102 | 8                | 04/28/10            | 03/03/11  | \$271 86                         | \$302 23                               | \$-30 37         |
| LENDER PROCESSING SVCS INC           | 52602E102 | 21               | 04/01/10            | 03/03/11  | \$713 63                         | \$795 24                               | \$-81 61         |
| LENDER PROCESSING SVCS INC           | 52602E102 | 14               | 04/01/10            | 03/03/11  | \$475 75                         | \$530 16                               | \$-54 41         |
| LENDER PROCESSING SVCS INC           | 52602E102 | 4                | 04/26/10            | 03/03/11  | \$135 93                         | \$155 80                               | \$-19 87         |
| COLUMBIA FDS SER TR LARGE CAP INDEX  | 19765H321 | 15618 9          | 12/14/10            | 03/04/11  | \$400,000 00                     | \$374,385 01                           | \$25,614 99      |
| UNITED STATES TREAS NTS              | 912828HY9 | 1000             | 12/16/10            | 03/07/11  | \$1,050 82                       | \$1,054 41                             | \$-3 59          |
| FEDERAL HOME LN MTG CORP             | 3137EACM9 | 3000             | 11/18/10            | 03/07/11  | \$2,940 62                       | \$3,010 33                             | \$-69 71         |
| UNITED STATES TREAS NT               | 912828KH2 | 3000             | 06/16/10            | 03/07/11  | \$3,001 28                       | \$3,013 25                             | \$-11 97         |
| UNITED STATES TREAS NT               | 912828KL3 | 2000             | 12/16/10            | 03/07/11  | \$2,002 18                       | \$2,005 23                             | \$-3 05          |
| UNITED STATES TREAS NT               | 912828KP4 | 2000             | 12/16/10            | 03/07/11  | \$2,024 06                       | \$2,024 77                             | \$-0 71          |
| UNITED STATES TREAS NT               | 912828LW8 | 3000             | 12/16/10            | 03/07/11  | \$3,013 82                       | \$3,016 76                             | \$-2 94          |
| UNITED STATES TREAS NT               | 912828MG2 | 2000             | 12/16/10            | 03/07/11  | \$2,027 10                       | \$2,026 09                             | \$1 01           |
| UNITED STATES TREAS NT               | 912828NV8 | 1000             | 09/09/10            | 03/07/11  | \$969 61                         | \$985 24                               | \$-15 63         |
| UNITED STATES TREAS NT               | 912828PD6 | 3000             | 11/09/10            | 03/07/11  | \$2,991 90                       | \$2,995 91                             | \$-4 01          |
| UNITED STATES TREAS NT               | 912828PJ3 | 1000             | 12/08/10            | 03/07/11  | \$968 55                         | \$977 39                               | \$-8 84          |

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NT             | 912828PS3 | 2000             | 02/18/11            | 03/07/11  | \$1,986 24                       | \$1,974 21                             | \$12 03          |
| FEDERAL HOME LN MTG CORP NOTES     | 3134A4TZ7 | 1000             | 12/16/10            | 03/07/11  | \$1,080 56                       | \$1,085 31                             | \$-4 75          |
| FEDERAL NATL MTG ASSN              | 31359MPF4 | 1000             | 12/16/10            | 03/07/11  | \$1,057 81                       | \$1,062 19                             | \$-4 38          |
| FEDERAL HOME LN MTG CORP           | 3137EACCI | 2000             | 12/16/10            | 03/07/11  | \$2,033 62                       | \$2,032 68                             | \$0 94           |
| UNITED STATES TREAS NT             | 912828JZ4 | 1000             | 12/16/10            | 03/07/11  | \$1,017 26                       | \$1,018 24                             | \$-0 98          |
| FMC TECHNOLOGIES INC               | 30249U101 | 19               | 02/18/11            | 03/10/11  | \$1,680 71                       | \$1,773 05                             | \$-92 34         |
| ALEXION PHARMACEUTICALS INC        | 015351109 | 41               | 12/17/10            | 03/10/11  | \$3,998 72                       | \$3,412 23                             | \$586 49         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 24               | 12/14/10            | 03/10/11  | \$2,882 29                       | \$2,561 65                             | \$320 64         |
| APPLE COMPUTER INC                 | 037833100 | 140              | 12/14/10            | 03/10/11  | \$48,521 65                      | \$44,780 05                            | \$3,741 60       |
| APPLE COMPUTER INC                 | 037833100 | 10               | 12/01/10            | 03/10/11  | \$3,465 83                       | \$3,171 55                             | \$294 28         |
| ALLERGAN INC                       | 018490102 | 71               | 02/03/11            | 03/10/11  | \$5,051 18                       | \$5,075 29                             | \$-24 11         |
| ILLUMINA INC                       | 452327109 | 5                | 02/28/11            | 03/11/11  | \$316 41                         | \$347 32                               | \$-30 91         |
| ILLUMINA INC                       | 452327109 | 138              | 02/28/11            | 03/11/11  | \$8,888 42                       | \$9,586 09                             | \$-697 67        |
| PRECISION CASTPARTS CORP           | 740189105 | 3                | 12/13/10            | 03/11/11  | \$423 18                         | \$428 65                               | \$-5 47          |
| PRECISION CASTPARTS CORP           | 740189105 | 29               | 12/13/10            | 03/11/11  | \$4,092 06                       | \$4,143 57                             | \$-51 51         |
| ALLERGAN INC                       | 018490102 | 51               | 02/03/11            | 03/11/11  | \$3,596 57                       | \$3,645 63                             | \$-49 06         |
| QIAGEN N V                         | N72482107 | 22               | 12/14/10            | 03/11/11  | \$446 37                         | \$437 75                               | \$8 62           |
| QIAGEN N V                         | N72482107 | 44               | 12/14/10            | 03/11/11  | \$892 49                         | \$875 49                               | \$17 00          |
| ALEXION PHARMACEUTICALS INC        | 015351109 | 1                | 12/17/10            | 03/11/11  | \$98 10                          | \$83 23                                | \$14 87          |
| ALEXION PHARMACEUTICALS INC        | 015351109 | 41               | 12/17/10            | 03/11/11  | \$4,029 03                       | \$3,412 23                             | \$616 80         |
| ST JUDE MEDICAL INC                | 790849103 | 115              | 12/14/10            | 03/11/11  | \$5,550 94                       | \$4,797 51                             | \$753 43         |
| QIAGEN N V                         | N72482107 | 8                | 12/14/10            | 03/14/11  | \$160 67                         | \$159 18                               | \$1 49           |
| ALEXION PHARMACEUTICALS INC        | 015351109 | 38               | 12/17/10            | 03/14/11  | \$3,714 68                       | \$3,162 56                             | \$552 12         |
| LUBRIZOL CORP                      | 549271104 | 15               | 05/07/10            | 03/14/11  | \$2,016 40                       | \$1,284 64                             | \$731 76         |
| LUBRIZOL CORP                      | 549271104 | 5                | 12/15/10            | 03/14/11  | \$672 13                         | \$538 10                               | \$134 03         |
| PARTNERRE HLDGS LTD                | G6852T105 | 12               | 02/10/11            | 03/15/11  | \$881 85                         | \$972 83                               | \$-90 98         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| HERTZ GLOBAL HLDGS INC             | 42805T105 | 44               | 05/12/10            | 03/15/11  | \$652 87                         | \$571 90                               | \$80 97          |
| HERTZ GLOBAL HLDGS INC             | 42805T105 | 72               | 12/15/10            | 03/15/11  | \$1,068 34                       | \$1,009 44                             | \$58 90          |
| CEPHALON INC                       | 156708109 | 6                | 12/15/10            | 03/15/11  | \$331 89                         | \$377 16                               | \$-45 27         |
| RADIOHACK CORP                     | 750438103 | 31               | 03/23/10            | 03/16/11  | \$435 62                         | \$697 44                               | \$-261 82        |
| SYNGENTA AG SPONSORED ADR          | 87160A100 | 10               | 12/14/10            | 03/16/11  | \$628 79                         | \$583 50                               | \$45 29          |
| RADIOHACK CORP                     | 750438103 | 36               | 11/04/10            | 03/16/11  | \$505 88                         | \$733 60                               | \$-227 72        |
| ISHARES TR IBOX \$ HIGH YIELD CORP | 464288513 | 810              | 04/26/10            | 03/16/11  | \$73,069 50                      | \$72,547 97                            | \$521 53         |
| ISHARES TR IBOX \$ HIGH YIELD CORP | 464288513 | 25               | 10/27/10            | 03/16/11  | \$2,255 23                       | \$2,267 38                             | \$-12 15         |
| HARBOR INTERNATIONAL FUND          | 411511306 | 599 32           | 11/08/10            | 03/16/11  | \$35,000 00                      | \$36,821 91                            | \$-1,821 91      |
| AMERISOURCEBERGEN CORP             | 03073E105 | 57               | 07/02/10            | 03/16/11  | \$2,077 48                       | \$1,840 64                             | \$236 84         |
| EHOSTAR HLDG CORP CL A             | 278768106 | 21               | 03/23/10            | 03/16/11  | \$723 19                         | \$413 58                               | \$309 61         |
| EHOSTAR HLDG CORP CL A             | 278768106 | 37               | 12/15/10            | 03/16/11  | \$1,274 18                       | \$769 97                               | \$504 21         |
| HCC INS HLDGS INC                  | 404132102 | 28               | 12/15/10            | 03/16/11  | \$841 37                         | \$806 96                               | \$34 41          |
| ALEXION PHARMACEUTICALS INC        | 015351109 | 19               | 12/15/10            | 03/16/11  | \$1,789 95                       | \$1,506 00                             | \$283 95         |
| ADIDAS AG SPONSORED ADR            | 00887A107 | 20               | 12/14/10            | 03/16/11  | \$596 79                         | \$681 20                               | \$-84 41         |
| BG PLC ADR FINAL INSTALLMENT NEW   | 055434203 | 10               | 12/14/10            | 03/16/11  | \$1,186 17                       | \$1,047 60                             | \$138 57         |
| BANCO BRADESCO S A SPONSORED ADR   | 059460303 | 40               | 12/14/10            | 03/16/11  | \$737 98                         | \$791 20                               | \$-53 22         |
| BAYER A G SPONSORED ADR            | 072730302 | 10               | 12/14/10            | 03/16/11  | \$703 69                         | \$765 90                               | \$-62 21         |
| BAYERISCHE MOTOREN WERKE A G       | 072743206 | 30               | 12/14/10            | 03/16/11  | \$725 98                         | \$838 50                               | \$-112 52        |
| BHP LTD SPONSORED ADR              | 088606108 | 20               | 12/14/10            | 03/16/11  | \$1,701 57                       | \$1,824 70                             | \$-123 13        |
| BRITISH AMERN TOB PLC              | 110448107 | 10               | 12/14/10            | 03/16/11  | \$739 99                         | \$759 30                               | \$-19 31         |
| CNOOC LTD SPONSORED ADR            | 126132109 | 10               | 12/14/10            | 03/16/11  | \$2,183 85                       | \$2,362 60                             | \$-178 75        |
| CSL LTD                            | 12637N105 | 40               | 12/14/10            | 03/16/11  | \$650 38                         | \$740 80                               | \$-90 42         |
| CANADIAN NATL RY CO                | 136375102 | 10               | 12/14/10            | 03/16/11  | \$714 78                         | \$673 40                               | \$41 38          |
| CENTRICA PLC SPONSORED ADR NEW     | 15639K300 | 40               | 12/14/10            | 03/16/11  | \$812 78                         | \$835 60                               | \$-22 82         |
| CHEUNG KONG HLDGS LTD ADR          | 166744201 | 50               | 12/14/10            | 03/16/11  | \$753 98                         | \$749 50                               | \$4 48           |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COCA COLA AMATIL LTD SPONSORED ADR | 191085208 | 20               | 12/14/10            | 03/16/11  | \$439 19                         | \$442 20                               | \$-3 01          |
| COMPASS GROUP PLC SPONSORED ADR    | 20449X203 | 110              | 12/14/10            | 03/16/11  | \$934 98                         | \$1,005 40                             | \$-70 42         |
| TAIWAN SEMICONDUCTOR MFG ADR       | 874039100 | 80               | 12/14/10            | 03/16/11  | \$913 59                         | \$952 70                               | \$-39 11         |
| TALISMAN ENERGY INC                | 87425E103 | 30               | 12/14/10            | 03/16/11  | \$677 39                         | \$613 50                               | \$63 89          |
| TEVA PHARMACEUTICAL INDS LTD       | 881624209 | 30               | 12/14/10            | 03/16/11  | \$1,424 37                       | \$1,589 62                             | \$-165 25        |
| TRANSCANADA CORP                   | 89353D107 | 20               | 12/14/10            | 03/16/11  | \$762 58                         | \$755 80                               | \$6 78           |
| ERICSSON L M TEL CO ADR CL B       | 294821608 | 40               | 01/26/11            | 03/16/11  | \$466 39                         | \$500 99                               | \$-34 60         |
| FANUC LTD                          | 307305102 | 30               | 12/14/10            | 03/16/11  | \$750 58                         | \$757 50                               | \$-6 92          |
| FOMENTO ECONOMICO MEXICANO S A DE  | 344419106 | 10               | 03/02/11            | 03/16/11  | \$556 89                         | \$565 16                               | \$-8 27          |
| GAZPROM O A O SPONSORED ADR REG S  | 368287207 | 20               | 12/14/10            | 03/16/11  | \$615 99                         | \$517 60                               | \$98 39          |
| GRUPO TELEVIS SA DE CV ADR         | 400491206 | 30               | 12/14/10            | 03/16/11  | \$683 68                         | \$748 80                               | \$-65 12         |
| HUTCHISON WHAMPOA LTD ADR          | 448415208 | 10               | 12/14/10            | 03/16/11  | \$564 98                         | \$517 20                               | \$47 78          |
| INDUSTRIAL & COMIL BK CHINA        | 455807107 | 0 5              | 10/09/10            | 03/16/11  | \$7 74                           | \$8 54                                 | \$-0 80          |
| INDUSTRIAL & COMIL BK CHINA        | 455807107 | 49 5             | 11/01/10            | 03/16/11  | \$766 24                         | \$809 26                               | \$-43 02         |
| INFOSYS TECHNOLOGIES LTD           | 456788108 | 10               | 12/14/10            | 03/16/11  | \$647 89                         | \$709 90                               | \$-62 01         |
| JULIUS BAER GROUP LTD              | 48137C108 | 80               | 12/14/10            | 03/16/11  | \$673 58                         | \$732 00                               | \$-58 42         |
| KINGFISHER PLC SPONSORED ADR PAR   | 495724403 | 90               | 12/14/10            | 03/16/11  | \$661 49                         | \$719 10                               | \$-57 61         |
| KOMATSU LTD ADR (NEW)              | 500458401 | 30               | 12/14/10            | 03/16/11  | \$934 48                         | \$903 00                               | \$31 48          |
| KONINKLIJKE AHOLD NV SPONSORED ADR | 500467402 | 40               | 12/14/10            | 03/16/11  | \$499 99                         | \$513 20                               | \$-13 21         |
| NESTLE S A ADR REG                 | 641069406 | 10               | 12/14/10            | 03/16/11  | \$536 39                         | \$584 50                               | \$-48 11         |
| NIDEC CORP SPONSORED ADR           | 654090109 | 40               | 12/14/10            | 03/16/11  | \$814 38                         | \$1,037 38                             | \$-223 00        |
| NOVARTIS AG SPONSORED ADR          | 66987V109 | 10               | 12/14/10            | 03/16/11  | \$527 79                         | \$558 00                               | \$-30 21         |
| NOVO-NORDISK A S ADR               | 670100205 | 10               | 12/14/10            | 03/16/11  | \$1,198 52                       | \$1,091 90                             | \$106 62         |
| PETROLEO BRASILEIRO SA PETROBRAS   | 71654V408 | 20               | 12/14/10            | 03/16/11  | \$772 38                         | \$684 80                               | \$87 58          |
| ROYAL DUTCH SHELL PLC SPONSORED    | 780259107 | 10               | 12/14/10            | 03/16/11  | \$659 29                         | \$660 20                               | \$-0 91          |
| ROYAL PTT NEDERLAND NV ADR         | 780641205 | 40               | 12/14/10            | 03/16/11  | \$641 99                         | \$590 00                               | \$51 99          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SAP AKTIENGESELLSCHAFT SPONSORED ADR | 803054204 | 10               | 10/27/10            | 03/16/11  | \$548.99                         | \$516.69                               | \$32.30          |
| SHIRE PHARMACEUTICALS GROUP PLC      | 82481R106 | 20               | 12/14/10            | 03/16/11  | \$1,676.36                       | \$1,412.99                             | \$263.37         |
| SMITH & NEPHEW P L C SPONSORED ADR   | 83175M205 | 10               | 12/14/10            | 03/16/11  | \$537.08                         | \$514.80                               | \$22.28          |
| RADIOHACK CORP                       | 750438103 | 20               | 05/18/10            | 03/16/11  | \$281.05                         | \$422.37                               | \$-141.32        |
| PG & E CORP                          | 69331C108 | 122              | 12/14/10            | 03/17/11  | \$5,118.75                       | \$5,808.42                             | \$-689.67        |
| FEDERAL NATL MTG ASSN                | 31398ASD5 | 9000             | 12/16/10            | 03/17/11  | \$9,616.02                       | \$9,624.33                             | \$-8.31          |
| ALEXION PHARMACEUTICALS INC          | 015351109 | 2                | 12/15/10            | 03/17/11  | \$188.09                         | \$158.53                               | \$29.56          |
| AMERICAN ELECTRIC POWER INC          | 025537101 | 170              | 12/14/10            | 03/17/11  | \$5,756.91                       | \$6,128.50                             | \$-371.59        |
| UNITED STATES TREAS NTS              | 912828HY9 | 7000             | 12/16/10            | 03/17/11  | \$7,369.96                       | \$7,380.90                             | \$-10.94         |
| UNITED STATES TREAS NT               | 912828JZ4 | 8000             | 12/16/10            | 03/17/11  | \$8,184.69                       | \$8,145.94                             | \$38.75          |
| UNITED STATES TREAS NT               | 912828KH2 | 16000            | 06/16/10            | 03/17/11  | \$16,003.75                      | \$16,070.68                            | \$-66.93         |
| CATERPILLAR INC                      | 149123101 | 33               | 12/14/10            | 03/17/11  | \$3,385.49                       | \$3,038.97                             | \$346.52         |
| UNITED STATES TREAS NT               | 912828KL3 | 13000            | 12/16/10            | 03/17/11  | \$13,011.68                      | \$13,034.02                            | \$-22.34         |
| UNITED STATES TREAS NT               | 912828KP4 | 10000            | 12/16/10            | 03/17/11  | \$10,121.48                      | \$10,123.83                            | \$-2.35          |
| UNITED STATES TREAS NT               | 912828LW8 | 10000            | 12/16/10            | 03/17/11  | \$10,044.53                      | \$10,055.86                            | \$-11.33         |
| UNITED STATES TREAS NT               | 912828MG2 | 9000             | 12/16/10            | 03/17/11  | \$9,134.65                       | \$9,117.42                             | \$17.23          |
| UNITED STATES TREAS NT               | 912828MR8 | 3000             | 12/16/10            | 03/17/11  | \$3,107.81                       | \$3,075.12                             | \$32.69          |
| UNITED STATES TREAS NT               | 912828NV8 | 4000             | 09/09/10            | 03/17/11  | \$3,926.56                       | \$3,940.95                             | \$-14.39         |
| UNITED STATES TREAS NT               | 912828PD6 | 18000            | 11/09/10            | 03/17/11  | \$17,971.88                      | \$17,975.45                            | \$-3.57          |
| UNITED STATES TREAS NT               | 912828PJ3 | 6000             | 12/08/10            | 03/17/11  | \$5,887.03                       | \$5,871.47                             | \$15.56          |
| UNITED STATES TREAS NT               | 912828PS3 | 9000             | 02/18/11            | 03/17/11  | \$9,057.66                       | \$8,884.60                             | \$173.06         |
| FEDERAL HOME LN MTG CORP NOTES       | 3134A4TZ7 | 5000             | 12/16/10            | 03/17/11  | \$5,414.02                       | \$5,426.54                             | \$-12.52         |
| FEDERAL NATL MTG ASSN                | 31359MPF4 | 5000             | 12/16/10            | 03/17/11  | \$5,290.84                       | \$5,310.95                             | \$-20.11         |
| FEDERAL HOME LN MTG CORP             | 3137EACCI | 12000            | 12/16/10            | 03/17/11  | \$12,197.40                      | \$12,196.08                            | \$1.32           |
| FEDERAL HOME LN MTG CORP             | 3137EACM9 | 11000            | 11/18/10            | 03/17/11  | \$10,921.87                      | \$11,037.86                            | \$-115.99        |
| STAPLES INC                          | 855030102 | 122              | 12/14/10            | 03/17/11  | \$2,368.55                       | \$2,680.34                             | \$-311.79        |

IM NORTHROP GRUMMAN FDN

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|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CHICAGO BRIDGE & IRON-NY SHR | 167250109 | 17               | 01/21/11            | 03/18/11  | \$655 97                         | \$575 28                               | \$80 69          |
| ROBBINS & MYERS INC          | 770196103 | 12               | 12/15/10            | 03/18/11  | \$503 20                         | \$420 69                               | \$82 51          |
| ILLUMINA INC                 | 452327109 | 29               | 12/14/10            | 03/18/11  | \$1,800 39                       | \$1,907 59                             | \$-107 20        |
| QIAGEN N V                   | N72482107 | 292              | 12/14/10            | 03/18/11  | \$5,661 22                       | \$5,810 07                             | \$-148 85        |
| ALEXION PHARMACEUTICALS INC  | 015351109 | 62               | 12/17/10            | 03/18/11  | \$5,828 84                       | \$5,159 96                             | \$668 88         |
| ALEXION PHARMACEUTICALS INC  | 015351109 | 26               | 12/16/10            | 03/18/11  | \$2,444 36                       | \$2,103 06                             | \$341 30         |
| ALEXION PHARMACEUTICALS INC  | 015351109 | 26               | 12/15/10            | 03/18/11  | \$2,444 35                       | \$2,060 83                             | \$383 52         |
| GLOBE SPECIALTY METALS INC   | 37954N206 | 72               | 12/21/10            | 03/18/11  | \$1,579 36                       | \$1,277 14                             | \$302 22         |
| GRAND CANYON EDUCATION INC   | 38526M106 | 19               | 08/14/10            | 03/18/11  | \$276 64                         | \$470 67                               | \$-194 03        |
| GRAND CANYON EDUCATION INC   | 38526M106 | 11               | 09/05/10            | 03/18/11  | \$160 16                         | \$272 28                               | \$-112 12        |
| GRAND CANYON EDUCATION INC   | 38526M106 | 34               | 07/28/10            | 03/18/11  | \$495 04                         | \$803 04                               | \$-308 00        |
| GRAND CANYON EDUCATION INC   | 38526M106 | 61               | 12/15/10            | 03/18/11  | \$888 16                         | \$1,149 70                             | \$-261 54        |
| GRAND CANYON EDUCATION INC   | 38526M106 | 60               | 09/02/10            | 03/18/11  | \$873 60                         | \$1,027 41                             | \$-153 81        |
| HERCULES OFFSHORE INC        | 427093109 | 284              | 01/14/11            | 03/18/11  | \$1,564 16                       | \$965 26                               | \$598 90         |
| HERCULES OFFSHORE INC        | 427093109 | 2                | 12/22/10            | 03/18/11  | \$11 02                          | \$6 68                                 | \$4 34           |
| HERCULES OFFSHORE INC        | 427093109 | 6                | 01/07/11            | 03/18/11  | \$33 04                          | \$19 87                                | \$13 17          |
| HOME INNS & HOTELS MGMT INC  | 43713W107 | 31               | 12/15/10            | 03/18/11  | \$1,231 42                       | \$1,296 34                             | \$-64 92         |
| HOME INNS & HOTELS MGMT INC  | 43713W107 | 46               | 07/28/10            | 03/18/11  | \$1,827 28                       | \$1,904 34                             | \$-77 06         |
| KORN FERRY INTL NEW          | 500643200 | 36               | 12/15/10            | 03/18/11  | \$731 25                         | \$819 63                               | \$-88 38         |
| MCMORAN EXPLORATION CO       | 582411104 | 95               | 10/28/10            | 03/18/11  | \$1,518 55                       | \$1,517 86                             | \$0 69           |
| MCMORAN EXPLORATION CO       | 582411104 | 64               | 12/15/10            | 03/18/11  | \$1,023 02                       | \$975 20                               | \$47 82          |
| WABASH NATL CORP             | 929566107 | 243              | 12/21/10            | 03/18/11  | \$2,778 80                       | \$3,084 89                             | \$-306 09        |
| VISTAPRINT NV                | N93540107 | 54               | 08/16/10            | 03/18/11  | \$2,630 80                       | \$1,559 99                             | \$1,070 81       |
| CIRRUS LOGIC INC             | 172755100 | 107              | 11/23/10            | 03/18/11  | \$2,138 54                       | \$1,680 53                             | \$458 01         |
| CIRRUS LOGIC INC             | 172755100 | 72               | 12/15/10            | 03/18/11  | \$1,439 01                       | \$1,130 22                             | \$308 79         |
| CLOUD PEAK ENERGY INC        | 18911Q102 | 157              | 12/21/10            | 03/18/11  | \$3,415 99                       | \$3,339 31                             | \$76 68          |



# 2011 Tax Information Letter

Account Number 162061039965

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IM NORTHROP GRUMMAN FDN

## Short term transactions

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| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COOPER TIRE & RUBBER CO      | 216831107 | 41               | 12/15/10            | 03/18/11  | \$998.78                         | \$956.02                               | \$42.76          |
| COOPER TIRE & RUBBER CO      | 216831107 | 79               | 06/18/10            | 03/18/11  | \$1,924.47                       | \$1,634.75                             | \$289.72         |
| CUBIST PHARMACEUTICALS INC   | 229678107 | 48               | 12/15/10            | 03/18/11  | \$1,173.15                       | \$1,036.68                             | \$136.47         |
| CUBIST PHARMACEUTICALS INC   | 229678107 | 72               | 07/12/10            | 03/18/11  | \$1,759.73                       | \$1,534.61                             | \$225.12         |
| CUTERA INC                   | 232109108 | 97               | 07/12/10            | 03/18/11  | \$857.77                         | \$813.70                               | \$44.07          |
| CUTERA INC                   | 232109108 | 59               | 12/15/10            | 03/18/11  | \$521.74                         | \$479.52                               | \$42.22          |
| ENTROPIC COMMUNICATIONS INC  | 29384R105 | 132              | 01/14/11            | 03/18/11  | \$997.54                         | \$1,737.07                             | \$-739.53        |
| ENTROPIC COMMUNICATIONS INC  | 29384R105 | 110              | 12/15/10            | 03/18/11  | \$831.29                         | \$1,216.19                             | \$-384.90        |
| ENTROPIC COMMUNICATIONS INC  | 29384R105 | 106              | 02/07/11            | 03/18/11  | \$801.06                         | \$1,134.24                             | \$-333.18        |
| ACME PACKET INC              | 004764106 | 12               | 12/21/10            | 03/18/11  | \$797.39                         | \$678.08                               | \$119.31         |
| ALASKA AIR GROUP INC         | 011659109 | 23               | 12/15/10            | 03/18/11  | \$1,378.92                       | \$1,299.90                             | \$79.02          |
| ALASKA AIR GROUP INC         | 011659109 | 37               | 09/14/10            | 03/18/11  | \$2,218.27                       | \$1,767.59                             | \$450.68         |
| MIPS TECHNOLOGIES INC        | 604567107 | 75               | 12/15/10            | 03/18/11  | \$744.35                         | \$1,110.56                             | \$-366.21        |
| MIPS TECHNOLOGIES INC        | 604567107 | 115              | 11/23/10            | 03/18/11  | \$1,141.34                       | \$1,612.50                             | \$-471.16        |
| OCLARO INC                   | 67555N206 | 287              | 12/21/10            | 03/18/11  | \$2,998.52                       | \$3,548.70                             | \$-550.18        |
| ROADRUNNER TRANSN SVCS HLDGS | 76973Q105 | 57               | 12/15/10            | 03/18/11  | \$838.88                         | \$804.70                               | \$34.18          |
| ILLUMINA INC                 | 452327109 | 39               | 02/28/11            | 03/18/11  | \$2,421.22                       | \$2,709.11                             | \$-287.89        |
| CENTERPOINT ENERGY INC       | 15189T107 | 26               | 10/13/10            | 03/21/11  | \$441.68                         | \$438.62                               | \$3.06           |
| CENTERPOINT ENERGY INC       | 15189T107 | 37               | 02/04/11            | 03/21/11  | \$628.55                         | \$596.44                               | \$32.11          |
| CENTERPOINT ENERGY INC       | 15189T107 | 128              | 12/15/10            | 03/21/11  | \$2,174.45                       | \$2,055.68                             | \$118.77         |
| PHILIPPINE LONG DISTANCE ADR | 718252604 | 77               | 12/14/10            | 03/21/11  | \$3,595.55                       | \$4,281.59                             | \$-686.04        |
| ILLUMINA INC                 | 452327109 | 19               | 12/14/10            | 03/21/11  | \$1,195.73                       | \$1,249.80                             | \$-54.07         |
| ILLUMINA INC                 | 452327109 | 555              | 11/19/10            | 03/21/11  | \$34,927.81                      | \$33,135.11                            | \$1,792.70       |
| ILLUMINA INC                 | 452327109 | 252              | 12/14/10            | 03/21/11  | \$15,830.69                      | \$16,576.35                            | \$-745.66        |
| AEROPOSTALE                  | 007865108 | 26               | 05/07/10            | 03/22/11  | \$624.24                         | \$713.89                               | \$-89.65         |
| AEROPOSTALE                  | 007865108 | 71               | 10/13/10            | 03/22/11  | \$1,704.67                       | \$1,918.66                             | \$-213.99        |

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PHILIPPINE LONG DISTANCE ADR   | 718252604 | 33               | 12/14/10            | 03/22/11  | \$1,524.85                       | \$1,834.97                             | \$-310.12        |
| AEROPOSTALE                    | 007865108 | 10               | 03/23/10            | 03/22/11  | \$240.09                         | \$292.40                               | \$-52.31         |
| AEROPOSTALE                    | 007865108 | 3                | 10/13/10            | 03/22/11  | \$72.03                          | \$81.07                                | \$-9.04          |
| RADIOHACK CORP                 | 750438103 | 159              | 12/15/10            | 03/23/11  | \$2,225.58                       | \$2,952.63                             | \$-727.05        |
| RADIOHACK CORP                 | 750438103 | 6                | 01/26/11            | 03/23/11  | \$83.98                          | \$93.80                                | \$-9.82          |
| VARIAN MED SYS INC             | 92220P105 | 20               | 12/14/10            | 03/23/11  | \$1,324.55                       | \$1,372.60                             | \$-48.05         |
| VERISK ANALYTICS INC           | 92345Y106 | 34               | 12/09/10            | 03/23/11  | \$1,076.42                       | \$1,135.60                             | \$-59.18         |
| VERISK ANALYTICS INC           | 92345Y106 | 13               | 12/14/10            | 03/23/11  | \$411.57                         | \$431.56                               | \$-19.99         |
| VERTEX PHARMACEUTICALS INC     | 92532F100 | 7                | 02/28/11            | 03/23/11  | \$322.20                         | \$324.16                               | \$-1.96          |
| WATERS CORP                    | 941848103 | 8                | 12/14/10            | 03/23/11  | \$672.86                         | \$642.40                               | \$30.46          |
| WORLD FUEL SVCS CORP           | 981475106 | 12               | 01/18/11            | 03/23/11  | \$477.35                         | \$452.49                               | \$24.86          |
| TE CONNECTIVITY LTD            | H84989104 | 30               | 12/14/10            | 03/23/11  | \$1,025.08                       | \$1,038.90                             | \$-13.82         |
| FLEXTRONICS INTL LTD           | Y2573F102 | 58               | 12/14/10            | 03/23/11  | \$426.88                         | \$451.24                               | \$-24.36         |
| AMETEK AEROSPACE PRODS INC     | 031100100 | 21               | 12/14/10            | 03/23/11  | \$881.14                         | \$839.44                               | \$41.70          |
| AMPHENOL CORP NEW CL A         | 032095101 | 25               | 12/14/10            | 03/23/11  | \$1,367.22                       | \$1,334.50                             | \$32.72          |
| APPLE COMPUTER INC             | 037833100 | 3                | 12/14/10            | 03/23/11  | \$1,018.96                       | \$964.56                               | \$54.40          |
| ATHENAHEALTH INC               | 04685W103 | 13               | 12/14/10            | 03/23/11  | \$571.72                         | \$568.23                               | \$3.49           |
| ATMEL CORP COM                 | 049513104 | 102              | 03/23/10            | 03/23/11  | \$1,266.83                       | \$535.47                               | \$731.36         |
| BALL CORP                      | 058498106 | 17               | 12/14/10            | 03/23/11  | \$598.21                         | \$587.78                               | \$10.43          |
| BLACKBOARD INC                 | 091935502 | 7                | 12/07/10            | 03/23/11  | \$255.14                         | \$299.47                               | \$-44.33         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 11               | 12/14/10            | 03/23/11  | \$785.82                         | \$865.04                               | \$-79.22         |
| CANADIAN NATL RY CO            | 136375102 | 8                | 12/14/10            | 03/23/11  | \$588.22                         | \$537.52                               | \$50.70          |
| CELGENE CORP COM               | 151020104 | 26               | 03/23/10            | 03/23/11  | \$1,387.07                       | \$1,677.26                             | \$-290.19        |
| COPART INC                     | 217204106 | 24               | 12/14/10            | 03/23/11  | \$998.14                         | \$848.16                               | \$149.98         |
| CROWN CASTLE INTL CORP         | 228227104 | 13               | 12/14/10            | 03/23/11  | \$505.43                         | \$549.77                               | \$-44.34         |
| DRESSER-RAND GROUP INC         | 261608103 | 25               | 01/14/11            | 03/23/11  | \$1,314.72                       | \$1,112.77                             | \$201.95         |

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EATON VANCE CORP                   | 278265103 | 17               | 12/14/10            | 03/23/11  | \$534.97                         | \$535.50                               | \$-0.53          |
| FASTENAL CO                        | 311900104 | 14               | 12/14/10            | 03/23/11  | \$871.20                         | \$829.64                               | \$41.56          |
| GARTNER GROUP INC NEW CL A         | 366651107 | 19               | 12/14/10            | 03/23/11  | \$759.22                         | \$623.01                               | \$136.21         |
| GEN-PROBE INC NEW                  | 36866T103 | 16               | 12/14/10            | 03/23/11  | \$1,033.74                       | \$899.52                               | \$134.22         |
| GLOBAL PMTS INC                    | 37940X102 | 18               | 03/23/10            | 03/23/11  | \$847.60                         | \$836.64                               | \$10.96          |
| IHS INC COM CL A                   | 451734107 | 18               | 12/14/10            | 03/23/11  | \$1,605.20                       | \$1,416.78                             | \$188.42         |
| JUNIPER NETWORKS INC               | 48203R104 | 20               | 12/14/10            | 03/23/11  | \$802.38                         | \$720.20                               | \$82.18          |
| KLA-TENCOR CORP                    | 482480100 | 26               | 12/14/10            | 03/23/11  | \$1,199.35                       | \$1,021.54                             | \$177.81         |
| LI & FUNG LTD                      | 501897102 | 241              | 12/14/10            | 03/23/11  | \$1,337.52                       | \$1,409.85                             | \$-72.33         |
| LAMAR ADVERTISING CO CL A          | 512815101 | 25               | 12/14/10            | 03/23/11  | \$911.73                         | \$957.25                               | \$-45.52         |
| MSCI INC CL A                      | 55354G100 | 15               | 05/26/10            | 03/23/11  | \$535.94                         | \$564.76                               | \$-28.82         |
| MSCI INC CL A                      | 55354G100 | 19               | 12/14/10            | 03/23/11  | \$678.85                         | \$702.81                               | \$-23.96         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 40               | 12/14/10            | 03/23/11  | \$5,186.51                       | \$4,269.41                             | \$917.10         |
| NORTHERN TRUST CORP                | 665859104 | 10               | 04/26/10            | 03/23/11  | \$504.19                         | \$562.88                               | \$-58.69         |
| ON SEMICONDUCTOR CORP              | 682189105 | 97               | 02/22/11            | 03/23/11  | \$953.49                         | \$1,067.67                             | \$-114.18        |
| POTASH CORP SASK INC               | 73755L107 | 19               | 12/14/10            | 03/23/11  | \$1,063.21                       | \$870.20                               | \$193.01         |
| PRECISION CASTPARTS CORP           | 740189105 | 8                | 12/14/10            | 03/23/11  | \$1,151.41                       | \$1,124.00                             | \$27.41          |
| PRICE T ROWE GROUP INC             | 74144T108 | 11               | 12/14/10            | 03/23/11  | \$701.01                         | \$693.66                               | \$7.35           |
| RITCHIE BROS AUCTIONEERS INC       | 767744105 | 48               | 04/26/10            | 03/23/11  | \$1,255.17                       | \$1,099.70                             | \$155.47         |
| ROPER INDS INC NEW                 | 776696106 | 17               | 12/14/10            | 03/23/11  | \$1,442.42                       | \$1,318.02                             | \$124.40         |
| RYANAIR HLDGS PLC                  | 783513104 | 25               | 12/14/10            | 03/23/11  | \$676.48                         | \$756.50                               | \$-80.02         |
| ST JUDE MEDICAL INC                | 790849103 | 17               | 05/22/10            | 03/23/11  | \$867.83                         | \$729.82                               | \$138.01         |
| ST JUDE MEDICAL INC                | 790849103 | 13               | 12/14/10            | 03/23/11  | \$663.64                         | \$544.18                               | \$119.46         |
| SCHNEIN HENRY INC                  | 806407102 | 15               | 01/14/11            | 03/23/11  | \$997.93                         | \$960.63                               | \$37.30          |
| SOLERA HLDGS INC                   | 83421A104 | 15               | 12/14/10            | 03/23/11  | \$749.23                         | \$771.30                               | \$-22.07         |
| STAPLES INC                        | 855030102 | 24               | 04/26/10            | 03/23/11  | \$471.83                         | \$593.04                               | \$-121.21        |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TECHNE CORP                      | 878377100 | 8                | 01/14/11            | 03/23/11  | \$565 66                         | \$533 72                               | \$31 94          |
| THERMO ELECTRON CORP             | 883556102 | 16               | 05/26/10            | 03/23/11  | \$856 78                         | \$915 09                               | \$-58 31         |
| THERMO ELECTRON CORP             | 883556102 | 4                | 12/14/10            | 03/23/11  | \$214 19                         | \$221 80                               | \$-7 61          |
| TRIMBLE NAV LTD                  | 896239100 | 17               | 12/14/10            | 03/23/11  | \$806 29                         | \$695 64                               | \$110 65         |
| ULTRA PETE CORP                  | 903914109 | 16               | 04/26/10            | 03/23/11  | \$758 38                         | \$774 64                               | \$-16 26         |
| VALEANT PHARMACEUTICALS INTL INC | 91911K102 | 10               | 12/14/10            | 03/23/11  | \$442 59                         | \$290 30                               | \$152 29         |
| FLUIDIGM CORP DEL                | 34385P108 | 15               | 03/18/11            | 03/23/11  | \$221 13                         | \$238 63                               | \$-17 50         |
| GAIN CAP HLDGS INC               | 36268W100 | 30               | 12/21/10            | 03/23/11  | \$240 06                         | \$252 89                               | \$-12 83         |
| GENESEE & WYO INC CL A           | 371559105 | 5                | 12/15/10            | 03/23/11  | \$277 65                         | \$252 34                               | \$25 31          |
| GLOBE SPECIALTY METALS INC       | 37954N206 | 13               | 12/21/10            | 03/23/11  | \$291 74                         | \$230 59                               | \$61 15          |
| GORDMAN'S STORES INC             | 38269P100 | 15               | 12/15/10            | 03/23/11  | \$241 38                         | \$261 64                               | \$-20 26         |
| HMS HLDGS CORP COM               | 40425J101 | 6                | 03/18/11            | 03/23/11  | \$486 96                         | \$465 93                               | \$21 03          |
| HALOZYME THERAPEUTICS INC        | 40637H109 | 20               | 12/15/10            | 03/23/11  | \$120 84                         | \$152 95                               | \$-32 11         |
| HERCULES OFFSHORE INC            | 427093109 | 5                | 01/07/11            | 03/23/11  | \$28 41                          | \$16 55                                | \$11 86          |
| HERCULES OFFSHORE INC            | 427093109 | 33               | 01/07/11            | 03/23/11  | \$187 51                         | \$107 58                               | \$79 93          |
| HEXCEL CORP NEW                  | 428291108 | 24               | 01/14/11            | 03/23/11  | \$450 77                         | \$456 99                               | \$-6 22          |
| ICU MED INC                      | 44930G107 | 5                | 12/15/10            | 03/23/11  | \$208 30                         | \$184 09                               | \$24 21          |
| IPC THE HOSPITALIST CO           | 44984A105 | 14               | 12/15/10            | 03/23/11  | \$613 21                         | \$504 43                               | \$108 78         |
| ICON PUB LTD CO SPONSORED ADR    | 45103T107 | 1                | 09/14/10            | 03/23/11  | \$20 98                          | \$23 45                                | \$-2 47          |
| IMAX CORP                        | 45245E109 | 9                | 09/02/10            | 03/23/11  | \$236 80                         | \$130 66                               | \$106 14         |
| IMPAX LABORATORIES INC           | 45256B101 | 27               | 01/14/11            | 03/23/11  | \$648 05                         | \$599 20                               | \$48 85          |
| INSULET CORP                     | 45784P101 | 10               | 06/18/10            | 03/23/11  | \$190 51                         | \$154 08                               | \$36 43          |
| INTRALINKS HLDGS INC             | 46118H104 | 25               | 03/18/11            | 03/23/11  | \$674 54                         | \$672 13                               | \$2 41           |
| IRONWOOD PHARMACEUTICALS INC     | 46333X108 | 12               | 03/18/11            | 03/23/11  | \$160 46                         | \$161 37                               | \$-0 91          |
| KIT DIGITAL INC                  | 482470200 | 19               | 12/15/10            | 03/23/11  | \$219 87                         | \$285 33                               | \$-65 46         |
| KENEXA CORP                      | 488879107 | 11               | 12/21/10            | 03/23/11  | \$266 11                         | \$241 49                               | \$24 62          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| KNOLOGY INC                       | 499183804 | 13               | 12/15/10            | 03/23/11  | \$172 53                         | \$202 25                               | \$-29 72         |
| LMI AEROSPACE INC                 | 502079106 | 3                | 10/19/10            | 03/23/11  | \$57 40                          | \$50 06                                | \$7 34           |
| LMI AEROSPACE INC                 | 502079106 | 5                | 10/22/10            | 03/23/11  | \$95 66                          | \$82 59                                | \$13 07          |
| LMI AEROSPACE INC                 | 502079106 | 1                | 10/15/10            | 03/23/11  | \$19 13                          | \$16 48                                | \$2 65           |
| LMI AEROSPACE INC                 | 502079106 | 4                | 10/21/10            | 03/23/11  | \$76 53                          | \$65 80                                | \$10 73          |
| LMI AEROSPACE INC                 | 502079106 | 3                | 10/19/10            | 03/23/11  | \$57 40                          | \$48 90                                | \$8 50           |
| LMI AEROSPACE INC                 | 502079106 | 8                | 08/16/10            | 03/23/11  | \$153 05                         | \$129 24                               | \$23 81          |
| LINDSAY MFG CO                    | 535555106 | 6                | 03/18/11            | 03/23/11  | \$444 54                         | \$430 07                               | \$14 47          |
| LULULEMON ATHLETICA INC           | 550021109 | 1                | 06/18/10            | 03/23/11  | \$78 00                          | \$44 39                                | \$33 61          |
| MAGNUM HUNTER RES CORP DEL        | 55973B102 | 40               | 03/18/11            | 03/23/11  | \$300 49                         | \$288 82                               | \$11 67          |
| MAP PHARMACEUTICALS INC           | 56509R108 | 10               | 12/15/10            | 03/23/11  | \$153 61                         | \$148 77                               | \$4 84           |
| MASIMO CORP                       | 574795100 | 16               | 12/15/10            | 03/23/11  | \$507 71                         | \$496 92                               | \$10 79          |
| ALEXION PHARMACEUTICALS INC       | 015351109 | 2                | 12/15/10            | 03/23/11  | \$186 88                         | \$158 25                               | \$28 63          |
| ALKERMES INC                      | 01642T108 | 15               | 03/18/11            | 03/23/11  | \$182 28                         | \$186 15                               | \$-3 87          |
| VOCUS INC                         | 92858J108 | 16               | 12/21/10            | 03/23/11  | \$384 91                         | \$435 29                               | \$-50 38         |
| WARNACO GROUP INC NEW             | 934390402 | 5                | 12/15/10            | 03/23/11  | \$265 20                         | \$282 54                               | \$-17 34         |
| WORLD FUEL SVCS CORP              | 981475106 | 9                | 12/15/10            | 03/23/11  | \$356 50                         | \$288 16                               | \$68 34          |
| WRIGHT EXPRESS CORP               | 98233Q105 | 5                | 12/15/10            | 03/23/11  | \$247 70                         | \$230 54                               | \$17 16          |
| ENERGY XXI (BERMUDA) LTD          | G10082140 | 13               | 03/18/11            | 03/23/11  | \$419 92                         | \$407 29                               | \$12 63          |
| SODASTREAM INTERNATIONAL LTD      | M9068E105 | 10               | 01/14/11            | 03/23/11  | \$409 91                         | \$368 89                               | \$41 02          |
| ANCESTRY COM INC                  | 032803108 | 10               | 11/23/10            | 03/23/11  | \$319 41                         | \$282 16                               | \$37 25          |
| ARDEA BIOSCIENCES INC             | 03969P107 | 1                | 12/15/10            | 03/23/11  | \$27 21                          | \$24 99                                | \$2 22           |
| ARUBA NETWORKS INC                | 043176106 | 17               | 02/07/11            | 03/23/11  | \$525 83                         | \$419 57                               | \$106 26         |
| ARVINMERITOR INC                  | 043335101 | 29               | 01/14/11            | 03/23/11  | \$524 95                         | \$637 28                               | \$-112 33        |
| ATLAS AIR WORLDWIDE HLDGS INC NEW | 049164205 | 4                | 12/15/10            | 03/23/11  | \$266 24                         | \$224 27                               | \$41 97          |
| AUXILIUM PHARMACEUTICALS INC      | 05334D107 | 6                | 07/12/10            | 03/23/11  | \$131 70                         | \$135 82                               | \$-4 12          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BPZ ENERGY INC                 | 055639108 | 62               | 03/18/11            | 03/23/11  | \$336 80                         | \$333 69                               | \$3 11           |
| BJS RESTAURANTS INC            | 09180C106 | 12               | 12/15/10            | 03/23/11  | \$437 66                         | \$442 05                               | \$-4 39          |
| BODY CENT ACQUISITION CORP     | 09689U102 | 27               | 12/15/10            | 03/23/11  | \$483 90                         | \$391 43                               | \$92 47          |
| BRIGHAM EXPL CO                | 109178103 | 5                | 12/15/10            | 03/23/11  | \$171 90                         | \$136 59                               | \$35 31          |
| BROADSOFT INC                  | 11133B409 | 4                | 12/15/10            | 03/23/11  | \$179 00                         | \$90 31                                | \$88 69          |
| BROOKDALE SR LIVING INC        | 112463104 | 14               | 12/15/10            | 03/23/11  | \$361 50                         | \$281 65                               | \$79 85          |
| CAPELLA ED CO                  | 139594105 | 6                | 09/02/10            | 03/23/11  | \$308 16                         | \$373 41                               | \$-65 25         |
| CARRIZO OIL & GAS INC          | 144577103 | 6                | 12/21/10            | 03/23/11  | \$207 30                         | \$186 49                               | \$20 81          |
| CASEYS GENERAL STORES INC      | 147528103 | 6                | 12/21/10            | 03/23/11  | \$217 68                         | \$258 01                               | \$-40 33         |
| CATALYST HEALTH SOLUTIONS INC  | 14888B103 | 7                | 05/20/10            | 03/23/11  | \$376 82                         | \$328 04                               | \$48 78          |
| CENTER FINL CORP CALIF         | 15146E102 | 31               | 12/15/10            | 03/23/11  | \$219 25                         | \$220 30                               | \$-1 05          |
| CHART INDS INC                 | 16115Q308 | 7                | 02/07/11            | 03/23/11  | \$345 35                         | \$287 82                               | \$57 53          |
| CINEMARK HLDGS INC             | 17243V102 | 18               | 01/14/11            | 03/23/11  | \$340 23                         | \$321 11                               | \$19 12          |
| COEUR D ALENE MINES CORP IDAHO | 192108504 | 15               | 03/18/11            | 03/23/11  | \$523 67                         | \$479 78                               | \$43 89          |
| COLUMBUS MCKINNON CORP         | 199333105 | 12               | 12/15/10            | 03/23/11  | \$208 44                         | \$236 01                               | \$-27 57         |
| COMPLETE PRODTN SVCS INC       | 20453E109 | 16               | 12/15/10            | 03/23/11  | \$479 23                         | \$460 92                               | \$18 31          |
| CONCUR TECHNOLOGIES INC        | 206708109 | 10               | 12/21/10            | 03/23/11  | \$535 30                         | \$537 67                               | \$-2 37          |
| COOPER TIRE & RUBBER CO        | 216831107 | 14               | 06/18/10            | 03/23/11  | \$348 34                         | \$289 70                               | \$58 64          |
| CORPORATE EXECUTIVE BRD CO     | 21988R102 | 9                | 03/18/11            | 03/23/11  | \$351 64                         | \$338 96                               | \$12 68          |
| COSTAR GROUP INC               | 22160N109 | 4                | 03/18/11            | 03/23/11  | \$234 60                         | \$226 83                               | \$7 77           |
| CROCS INC                      | 227046109 | 38               | 12/15/10            | 03/23/11  | \$628 21                         | \$665 29                               | \$-37 08         |
| CYMER INC                      | 232572107 | 8                | 02/07/11            | 03/23/11  | \$413 05                         | \$388 22                               | \$24 83          |
| DTS INC                        | 23335C101 | 12               | 12/21/10            | 03/23/11  | \$542 77                         | \$596 49                               | \$-53 72         |
| DEXCOM INC                     | 252131107 | 16               | 12/15/10            | 03/23/11  | \$231 71                         | \$205 72                               | \$25 99          |
| DIAMOND FOODS INC              | 252603105 | 8                | 01/14/11            | 03/23/11  | \$429 29                         | \$427 85                               | \$1 44           |
| DICE HLDGS INC                 | 253017107 | 17               | 12/21/10            | 03/23/11  | \$230 55                         | \$237 61                               | \$-7 06          |

### Short term transactions

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| DOLLAR FINL CORP                    | 256664103 | 13               | 03/18/11            | 03/23/11  | \$266 26                         | \$277 55                               | \$-11 29         |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 5                | 12/15/10            | 03/23/11  | \$297 38                         | \$236 64                               | \$60 74          |
| ELIZABETH ARDEN INC                 | 28660G106 | 4                | 03/18/11            | 03/23/11  | \$112 89                         | \$110 93                               | \$1 96           |
| ENTROPIC COMMUNICATIONS INC         | 29384R105 | 30               | 02/07/11            | 03/23/11  | \$235 56                         | \$321 01                               | \$-85 45         |
| EXLSERVICE HLDGS INC                | 302081104 | 10               | 12/15/10            | 03/23/11  | \$198 81                         | \$219 28                               | \$-20 47         |
| EZCORP INC CL A NON VTG             | 302301106 | 17               | 12/15/10            | 03/23/11  | \$510 71                         | \$466 61                               | \$44 10          |
| SHUTTERFLY INC                      | 82568P304 | 12               | 03/18/11            | 03/23/11  | \$539 41                         | \$527 54                               | \$11 87          |
| SIGNATURE BK NEW YORK N Y           | 82669G104 | 11               | 12/15/10            | 03/23/11  | \$591 61                         | \$523 24                               | \$68 37          |
| SOLUTIA INC NEW                     | 834376501 | 22               | 12/15/10            | 03/23/11  | \$505 16                         | \$505 29                               | \$-0 13          |
| STERLING CONSTR INC                 | 859241101 | 23               | 12/15/10            | 03/23/11  | \$350 34                         | \$311 13                               | \$39 21          |
| MONEY MARKET - STIFEL NICOLAUS 1099 | 860630102 | 2                | 12/15/10            | 03/23/11  | \$141 82                         | \$118 78                               | \$23 04          |
| STILLWATER MNG CO                   | 86074Q102 | 43               | 12/21/10            | 03/23/11  | \$966 73                         | \$903 44                               | \$63 29          |
| SUCCESSFACTORS INC                  | 864596101 | 25               | 03/18/11            | 03/23/11  | \$923 79                         | \$870 69                               | \$53 10          |
| TAL INTL GROUP INC                  | 874083108 | 14               | 12/15/10            | 03/23/11  | \$510 46                         | \$425 23 *                             | \$85 23          |
| TEMPUR PEDIC INTL INC               | 88023U101 | 23               | 12/15/10            | 03/23/11  | \$1,135 20                       | \$823 57                               | \$311 63         |
| TENNANT CO                          | 880345103 | 6                | 12/15/10            | 03/23/11  | \$234 06                         | \$229 37                               | \$4 69           |
| TENNECO AUTOMOTIVE INC              | 880349105 | 15               | 12/21/10            | 03/23/11  | \$602 90                         | \$649 75                               | \$-46 85         |
| TETRA TECH INC NEW                  | 88162G103 | 16               | 12/15/10            | 03/23/11  | \$383 71                         | \$404 77                               | \$-21 06         |
| THOMPSON CREEK METALS CO INC        | 884768102 | 39               | 12/21/10            | 03/23/11  | \$487 59                         | \$524 06                               | \$-36 47         |
| TIBCO SOFTWARE INC                  | 88632Q103 | 33               | 02/07/11            | 03/23/11  | \$813 18                         | \$811 68                               | \$1 50           |
| TIBCO SOFTWARE INC                  | 88632Q103 | 3                | 12/15/10            | 03/23/11  | \$73 93                          | \$60 68                                | \$13 25          |
| TRINITY INDUSTRIES INC              | 896522109 | 9                | 03/18/11            | 03/23/11  | \$293 14                         | \$291 06                               | \$2 08           |
| UNIVERSAL DISPLAY CORP              | 91347P105 | 6                | 12/15/10            | 03/23/11  | \$298 50                         | \$167 51                               | \$130 99         |
| VANCEINFO TECHNOLOGIES INC          | 921564100 | 8                | 12/15/10            | 03/23/11  | \$239 29                         | \$273 42                               | \$-34 13         |
| VERIFONE HLDGS INC                  | 92342Y109 | 13               | 02/07/11            | 03/23/11  | \$692 40                         | \$572 36                               | \$120 04         |
| VERIFONE HLDGS INC                  | 92342Y109 | 12               | 12/15/10            | 03/23/11  | \$639 13                         | \$490 65                               | \$148 48         |

### Short term transactions

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| VITAMIN SHOPPE INC             | 92849E101 | 15               | 12/21/10            | 03/23/11  | \$467 58                         | \$503 74                               | \$-36 16         |
| ACME PACKET INC                | 004764106 | 4                | 12/21/10            | 03/23/11  | \$261 80                         | \$226 03                               | \$35 77          |
| ACORDA THERAPEUTICS INC        | 00484M106 | 5                | 07/12/10            | 03/23/11  | \$108 80                         | \$170 59                               | \$-61 79         |
| ADTRAN INC                     | 00738A106 | 8                | 03/18/11            | 03/23/11  | \$332 33                         | \$354 34                               | \$-22 01         |
| ADVISORY BRD CO                | 00762W107 | 7                | 12/15/10            | 03/23/11  | \$349 38                         | \$339 48                               | \$9 90           |
| MINDSPEED TECHNOLOGIES INC     | 602682205 | 13               | 03/18/11            | 03/23/11  | \$105 06                         | \$98 99                                | \$6 07           |
| MOMENTA PHARMACEUTICALS INC    | 60877T100 | 7                | 10/28/10            | 03/23/11  | \$98 99                          | \$115 69                               | \$-16 70         |
| NANOMETRICS INC                | 630077105 | 11               | 02/07/11            | 03/23/11  | \$180 64                         | \$206 03                               | \$-25 39         |
| NETSCOUT SYS INC               | 64115T104 | 11               | 03/18/11            | 03/23/11  | \$281 51                         | \$284 72                               | \$-3 21          |
| NUVASIVE INC                   | 670704105 | 2                | 04/28/10            | 03/23/11  | \$50 04                          | \$82 99                                | \$-32 95         |
| OASIS PETE INC NEW             | 674215108 | 9                | 12/15/10            | 03/23/11  | \$272 71                         | \$233 17                               | \$39 54          |
| OMNIVISION TECHNOLOGIES INC    | 682128103 | 11               | 12/15/10            | 03/23/11  | \$344 76                         | \$318 53                               | \$26 23          |
| OMNICELL INC                   | 68213N109 | 17               | 06/19/10            | 03/23/11  | \$235 66                         | \$248 16                               | \$-12 50         |
| OMNICELL INC                   | 68213N109 | 2                | 12/15/10            | 03/23/11  | \$27 72                          | \$28 43                                | \$-0 71          |
| ONYX PHARMACEUTICALS INC DEL   | 683399109 | 8                | 12/15/10            | 03/23/11  | \$279 05                         | \$278 38                               | \$0 67           |
| OPENTABLE INC                  | 68372A104 | 5                | 03/18/11            | 03/23/11  | \$470 45                         | \$449 02                               | \$21 43          |
| PSS WORLD MED INC              | 69366A100 | 11               | 12/15/10            | 03/23/11  | \$287 89                         | \$238 23                               | \$49 66          |
| PARAMETRIC TECHNOLOGY CORP NEW | 699173209 | 16               | 02/07/11            | 03/23/11  | \$345 15                         | \$371 21                               | \$-26 06         |
| PIER 1 IMPORTS INC             | 720279108 | 18               | 12/15/10            | 03/23/11  | \$171 58                         | \$187 06                               | \$-15 48         |
| POLARIS INDUSTRIES INC NEW     | 731068102 | 4                | 12/15/10            | 03/23/11  | \$330 56                         | \$312 07                               | \$18 49          |
| PORTFOLIO RECOVERY ASSOCS INC  | 73640Q105 | 4                | 03/18/11            | 03/23/11  | \$323 16                         | \$323 65                               | \$-0 49          |
| REGAL BELOIT CORP              | 758750103 | 3                | 12/15/10            | 03/23/11  | \$212 04                         | \$197 30                               | \$14 74          |
| RESOLUTE ENERGY CORP           | 76116A108 | 19               | 12/15/10            | 03/23/11  | \$344 51                         | \$270 23                               | \$74 28          |
| RIGHTNOW TECHNOLOGIES INC      | 76657R106 | 7                | 02/07/11            | 03/23/11  | \$212 81                         | \$193 67                               | \$19 14          |
| RIVERBED TECHNOLOGY INC        | 768573107 | 2                | 12/21/10            | 03/23/11  | \$73 58                          | \$69 93                                | \$3 65           |
| RIVERBED TECHNOLOGY INC        | 768573107 | 10               | 12/15/10            | 03/23/11  | \$367 92                         | \$341 18                               | \$26 74          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ROADRUNNER TRANSN SVCS HLDGS | 76973Q105 | 15               | 12/15/10            | 03/23/11  | \$219.33                         | \$211.76                               | \$7.57           |
| ROBBINS & MYERS INC          | 770196103 | 10               | 12/15/10            | 03/23/11  | \$431.81                         | \$350.58                               | \$81.23          |
| ROSETTA RES INC              | 777779307 | 6                | 03/18/11            | 03/23/11  | \$270.00                         | \$266.45                               | \$3.55           |
| MICRON TECHNOLOGY            | 595112103 | 17               | 01/21/11            | 03/24/11  | \$192.57                         | \$166.93                               | \$25.64          |
| MICRON TECHNOLOGY            | 595112103 | 83               | 01/21/11            | 03/24/11  | \$940.21                         | \$815.03                               | \$125.18         |
| LUFKIN INDS INC              | 549764108 | 24               | 01/21/11            | 03/24/11  | \$2,140.08                       | \$1,485.67                             | \$654.41         |
| GARMIN LTD                   | H2906T109 | 6                | 04/01/10            | 03/24/11  | \$202.94                         | \$220.25                               | \$-17.31         |
| GARMIN LTD                   | H2906T109 | 5                | 05/05/10            | 03/24/11  | \$169.12                         | \$187.09                               | \$-17.97         |
| GARMIN LTD                   | H2906T109 | 1                | 05/26/10            | 03/24/11  | \$33.82                          | \$35.91                                | \$-2.09          |
| SEMPRA ENERGY                | 816851109 | 21               | 09/14/10            | 03/24/11  | \$1,099.84                       | \$1,123.98                             | \$-24.14         |
| LUFKIN INDS INC              | 549764108 | 104              | 01/21/11            | 03/25/11  | \$9,429.20                       | \$6,437.90                             | \$2,991.30       |
| FEDERAL NATL MTG ASSN        | 3138A7FZ6 | 255              | 02/09/11            | 03/25/11  | \$255.09                         | \$255.09                               | \$0.00           |
| CISCO SYSTEM INC             | 17275R102 | 271              | 02/08/11            | 03/29/11  | \$4,685.11                       | \$5,929.48                             | \$-1,244.37      |
| BEST BUY INC                 | 086516101 | 178              | 12/14/10            | 03/29/11  | \$5,148.27                       | \$6,263.82                             | \$-1,115.55      |
| WABTEC CORP                  | 929740108 | 22               | 01/21/11            | 03/29/11  | \$1,457.10                       | \$1,175.02                             | \$282.08         |
| TEXAS CAP BANCSHARES INC     | 88224Q107 | 201              | 01/21/11            | 03/29/11  | \$5,107.71                       | \$4,487.91                             | \$619.80         |
| HERTZ GLOBAL HLDGS INC       | 42805T105 | 36               | 05/20/10            | 03/29/11  | \$555.59                         | \$374.17                               | \$181.42         |
| HERTZ GLOBAL HLDGS INC       | 42805T105 | 209              | 05/12/10            | 03/29/11  | \$3,225.50                       | \$2,716.54                             | \$508.96         |
| CEPHALON INC                 | 156708109 | 19               | 09/16/10            | 03/30/11  | \$1,430.65                       | \$1,279.97                             | \$150.68         |
| WHITING PETE CORP NEW        | 966387102 | 24               | 12/15/10            | 03/30/11  | \$1,792.71                       | \$1,355.76                             | \$436.95         |
| CEPHALON INC                 | 156708109 | 38               | 12/15/10            | 03/30/11  | \$2,861.29                       | \$2,388.68                             | \$472.61         |
| CEPHALON INC                 | 156708109 | 12               | 10/04/10            | 03/30/11  | \$903.57                         | \$744.29                               | \$159.28         |
| CEPHALON INC                 | 156708109 | 30               | 02/04/11            | 03/30/11  | \$2,258.91                       | \$1,807.50                             | \$451.41         |
| CEPHALON INC                 | 156708109 | 35               | 06/07/10            | 03/30/11  | \$2,635.40                       | \$2,042.31                             | \$593.09         |
| CEPHALON INC                 | 156708109 | 43               | 07/30/10            | 03/30/11  | \$3,237.78                       | \$2,448.28                             | \$789.50         |
| CEPHALON INC                 | 156708109 | 19               | 06/08/10            | 03/30/11  | \$1,430.64                       | \$1,080.40                             | \$350.24         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PRAXAIR INC                        | 7400SP104 | 91               | 02/18/11            | 03/30/11  | \$9,211.11                       | \$8,978.59                             | \$232.52         |
| PRAXAIR INC                        | 7400SP104 | 1                | 02/16/11            | 03/30/11  | \$101.22                         | \$97.32                                | \$3.90           |
| CEPHALON INC                       | 156708109 | 3                | 11/18/10            | 03/30/11  | \$225.89                         | \$199.49                               | \$26.40          |
| WHITING PETE CORP NEW              | 966387102 | 7                | 12/15/10            | 03/30/11  | \$522.87                         | \$395.43                               | \$127.44         |
| VALEANT PHARMACEUTICALS INTL INC   | 91911K102 | 18               | 03/30/10            | 03/30/11  | \$869.18                         | \$303.30                               | \$565.88         |
| OSHKOSH TRUCK CORP CL B            | 688239201 | 14               | 12/15/10            | 03/30/11  | \$494.75                         | \$496.58                               | \$-1.83          |
| OSHKOSH TRUCK CORP CL B            | 688239201 | 21               | 12/15/10            | 03/30/11  | \$742.12                         | \$744.87                               | \$-2.75          |
| OSHKOSH TRUCK CORP CL B            | 688239201 | 10               | 05/28/10            | 03/30/11  | \$353.39                         | \$359.89                               | \$-6.50          |
| OSHKOSH TRUCK CORP CL B            | 688239201 | 4                | 05/28/10            | 03/30/11  | \$141.36                         | \$143.96                               | \$-2.60          |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 50               | 12/14/10            | 03/30/11  | \$6,845.62                       | \$5,336.76                             | \$1,508.86       |
| UNITED STATES TREAS NT             | 912828KH2 | 125000           | 06/16/10            | 03/31/11  | \$125,000.00                     | \$123,552.18                           | \$-552.18        |
| PRAXAIR INC                        | 7400SP104 | 22               | 02/16/11            | 03/31/11  | \$2,241.94                       | \$2,140.94                             | \$101.00         |
| UNITED STATES TREAS NT             | 912828KH2 | 115000           | 12/16/10            | 03/31/11  | \$115,000.00                     | \$115,233.59                           | \$-233.59        |
| FEDERAL NATL MTG ASSN              | 31410GRN5 | 657.48           | 01/26/11            | 03/31/11  | \$657.48                         | \$704.18                               | \$-46.70         |
| FEDERAL NATL MTG ASSN              | 31416WRK0 | 422.03           | 02/02/11            | 03/31/11  | \$422.03                         | \$422.03                               | \$0.00           |
| FEDERAL NATL MTG ASSN              | 31419BCW3 | 502.69           | 03/02/11            | 03/31/11  | \$502.69                         | \$502.69                               | \$0.00           |
| FEDERAL HOME LN MTG CORP           | 3128M8A87 | 4912.1           | 02/08/11            | 03/31/11  | \$4,912.10                       | \$5,180.85                             | \$-268.75        |
| FEDERAL NATL MTG ASSN              | 31406Y4E7 | 485.49           | 01/26/11            | 03/31/11  | \$485.49                         | \$511.81                               | \$-26.32         |
| FEDERAL NATL MTG ASSN              | 3138A7FZ6 | 495.24           | 02/09/11            | 03/31/11  | \$495.24                         | \$495.24                               | \$0.00           |
| TNT N V SPONSORED ADR              | 87260W101 | 7                | 03/18/11            | 03/31/11  | \$179.73                         | \$178.22                               | \$1.51           |
| TNT N V SPONSORED ADR              | 87260W101 | 170              | 12/14/10            | 03/31/11  | \$4,364.83                       | \$4,389.54                             | \$-24.71         |
| PRAXAIR INC                        | 7400SP104 | 3                | 12/14/10            | 03/31/11  | \$305.72                         | \$277.01                               | \$28.71          |
| PRAXAIR INC                        | 7400SP104 | 30               | 02/15/11            | 03/31/11  | \$3,057.19                       | \$2,902.96                             | \$154.23         |
| PRAXAIR INC                        | 7400SP104 | 9                | 02/15/11            | 03/31/11  | \$917.16                         | \$873.06                               | \$44.10          |
| PRAXAIR INC                        | 7400SP104 | 24               | 02/16/11            | 03/31/11  | \$2,445.76                       | \$2,330.15                             | \$115.61         |
| TEXAS CAP BANCSHARES INC           | 88224Q107 | 85               | 01/21/11            | 03/31/11  | \$2,193.75                       | \$1,897.87                             | \$295.88         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PRAXAIR INC                | 74005P104 | 52               | 12/14/10            | 04/01/11  | \$5,304 00                       | \$4,801 55                             | \$502 45         |
| TEXAS CAP BANCSHARES INC   | 88224Q107 | 93               | 01/21/11            | 04/01/11  | \$2,463 89                       | \$2,076 49                             | \$387 40         |
| LOGMEIN INC                | 54142L109 | 37               | 12/15/10            | 04/04/11  | \$1,734 98                       | \$1,655 28                             | \$79 70          |
| UNIVERSAL DISPLAY CORP     | 91347P105 | 17               | 12/15/10            | 04/04/11  | \$971 11                         | \$474 59                               | \$496 52         |
| DICE HLDGS INC             | 253017107 | 15               | 12/21/10            | 04/04/11  | \$235 64                         | \$209 66                               | \$25 98          |
| CHART INDS INC             | 16115Q308 | 12               | 02/07/11            | 04/04/11  | \$649 73                         | \$493 41                               | \$156 32         |
| CAPELLA ED CO              | 139594105 | 10               | 10/28/10            | 04/04/11  | \$507 97                         | \$541 74                               | \$-33 77         |
| CAPELLA ED CO              | 139594105 | 26               | 12/15/10            | 04/04/11  | \$1,320 73                       | \$1,571 12                             | \$-250 39        |
| CAPELLA ED CO              | 139594105 | 26               | 09/02/10            | 04/04/11  | \$1,320 72                       | \$1,618 10                             | \$-297 38        |
| ANCESTRY COM INC           | 032803108 | 40               | 12/15/10            | 04/04/11  | \$1,389 64                       | \$1,127 10                             | \$262 54         |
| ANCESTRY COM INC           | 032803108 | 51               | 11/23/10            | 04/04/11  | \$1,771 78                       | \$1,439 02                             | \$332 76         |
| WARNACO GROUP INC NEW      | 934390402 | 7                | 11/23/10            | 04/04/11  | \$407 13                         | \$368 53                               | \$38 60          |
| WARNACO GROUP INC NEW      | 934390402 | 6                | 12/15/10            | 04/04/11  | \$348 97                         | \$339 04                               | \$9 93           |
| ADTRAN INC                 | 00738A106 | 71               | 03/18/11            | 04/04/11  | \$2,934 52                       | \$3,144 78                             | \$-210 26        |
| LULULEMON ATHLETICA INC    | 550021109 | 13               | 05/28/10            | 04/04/11  | \$1,178 25                       | \$525 68                               | \$652 57         |
| KIRBY CORP                 | 497266106 | 25               | 01/21/11            | 04/04/11  | \$1,433 87                       | \$1,103 25                             | \$330 62         |
| VERIFONE HLDGS INC         | 92342Y109 | 30               | 12/15/10            | 04/04/11  | \$1,641 12                       | \$1,226 63                             | \$414 49         |
| TBICO SOFTWARE INC         | 88632Q103 | 51               | 12/15/10            | 04/04/11  | \$1,406 42                       | \$1,031 60                             | \$374 82         |
| HEALTH NET INC             | 42222G108 | 10               | 01/21/11            | 04/04/11  | \$336 84                         | \$284 10                               | \$52 74          |
| KNOLOGY INC                | 499183804 | 72               | 12/15/10            | 04/04/11  | \$990 06                         | \$1,120 14                             | \$-130 08        |
| GLOBE SPECIALTY METALS INC | 37954N206 | 41               | 12/21/10            | 04/04/11  | \$966 27                         | \$727 26                               | \$239 01         |
| DICE HLDGS INC             | 253017107 | 61               | 12/21/10            | 04/05/11  | \$945 02                         | \$852 60                               | \$92 42          |
| AMERISOURCEBERGEN CORP     | 03073E105 | 7                | 10/11/10            | 04/05/11  | \$284 06                         | \$224 47                               | \$59 59          |
| TNT N V SPONSORED ADR      | 87260W101 | 0 97             | 03/18/11            | 04/05/11  | \$24 85                          | \$24 64                                | \$0 21           |
| AMERISOURCEBERGEN CORP     | 03073E105 | 7                | 07/02/10            | 04/05/11  | \$284 06                         | \$226 04                               | \$58 02          |
| EOG RES INC                | 26875P101 | 39               | 12/14/10            | 04/05/11  | \$4,585 25                       | \$3,578 69                             | \$1,006 56       |

### Short term transactions

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|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EOG RES INC                          | 26875P101 | 28               | 11/19/10            | 04/05/11  | \$3,291 98                       | \$2,600 29                             | \$691 69         |
| COGNIZANT TECHNOLOGY SOLUTIONS       | 192446102 | 65               | 02/15/11            | 04/05/11  | \$5,339 09                       | \$4,980 94                             | \$358 15         |
| BAIDU COM INC SPONSORED ADR REPSTG   | 056752108 | 32               | 12/14/10            | 04/05/11  | \$4,529 20                       | \$3,415 53                             | \$1,113 67       |
| QIAGEN N V                           | N72482107 | 225              | 12/14/10            | 04/05/11  | \$4,531 17                       | \$4,476 94                             | \$54 23          |
| ST JUDE MEDICAL INC                  | 790849103 | 114              | 12/14/10            | 04/05/11  | \$5,988 17                       | \$4,755 80                             | \$1,232 37       |
| PRECISION CASTPARTS CORP             | 740189105 | 19               | 12/20/10            | 04/05/11  | \$2,805 11                       | \$2,679 02                             | \$126 09         |
| PRECISION CASTPARTS CORP             | 740189105 | 47               | 12/21/10            | 04/05/11  | \$6,938 94                       | \$6,662 96                             | \$275 98         |
| PRECISION CASTPARTS CORP             | 740189105 | 12               | 05/25/10            | 04/05/11  | \$1,771 64                       | \$1,709 49                             | \$62 15          |
| PRECISION CASTPARTS CORP             | 740189105 | 19               | 12/13/10            | 04/05/11  | \$2,805 11                       | \$2,714 76                             | \$90 35          |
| PRAXAIR INC                          | 74005P104 | 63               | 12/14/10            | 04/05/11  | \$6,516 00                       | \$5,817 26                             | \$698 74         |
| ENDO PHARMACEUTICALS HLDGS INC       | 29264F205 | 22               | 01/05/11            | 04/05/11  | \$840 58                         | \$791 85                               | \$48 73          |
| AMERISOURCEBERGEN CORP               | 03073E105 | 54               | 07/02/10            | 04/05/11  | \$2,191 35                       | \$1,743 76                             | \$447 59         |
| QIAGEN N V                           | N72482107 | 79               | 12/14/10            | 04/06/11  | \$1,595 73                       | \$1,571 90                             | \$23 83          |
| NETFLX COM INC                       | 64110L106 | 20               | 03/11/11            | 04/06/11  | \$4,803 99                       | \$4,063 75                             | \$740 24         |
| DICE HLDGS INC                       | 253017107 | 42               | 12/21/10            | 04/06/11  | \$645 57                         | \$587 04                               | \$58 53          |
| COLUMBIA FDS SER TR LARGE CAP INDEX  | 19765H321 | 9656 24          | 12/14/10            | 04/07/11  | \$250,000 00                     | \$231,460 02                           | \$18,539 98      |
| NETFLIX COM INC                      | 64110L106 | 9                | 03/11/11            | 04/07/11  | \$2,098 53                       | \$1,828 69                             | \$269 84         |
| HEWLETT PACKARD CO                   | 428236103 | 34               | 07/01/10            | 04/08/11  | \$1,387 16                       | \$1,451 80                             | \$-64 64         |
| RESEARCH IN MOTION LTD               | 760975102 | 239              | 01/05/11            | 04/08/11  | \$13,080 58                      | \$14,259 84                            | \$-1,179 26      |
| TARGET CORP                          | 87612E106 | 96               | 12/14/10            | 04/08/11  | \$4,769 98                       | \$5,672 64                             | \$-902 66        |
| TARGET CORP                          | 87612E106 | 1                | 05/20/10            | 04/08/11  | \$49 69                          | \$53 43                                | \$-3 74          |
| TARGET CORP                          | 87612E106 | 110              | 03/01/11            | 04/08/11  | \$5,465 59                       | \$5,794 35                             | \$-328 76        |
| HARBOR INTERNATIONAL FUND            | 411511306 | 1536 81          | 11/08/10            | 04/08/11  | \$100,000 00                     | \$94,421 42                            | \$5,578 58       |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 30               | 01/21/11            | 04/08/11  | \$1,057 13                       | \$755 10                               | \$302 03         |
| CSL LTD                              | 12637N105 | 90               | 12/14/10            | 04/08/11  | \$1,752 26                       | \$1,666 80                             | \$85 46          |
| CANADIAN NATL RY CO                  | 136375102 | 10               | 12/14/10            | 04/08/11  | \$745 18                         | \$673 40                               | \$71 78          |

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CAP GEMINI S A                     | 139098107 | 30               | 01/25/11            | 04/08/11  | \$888.58                         | \$868.13                               | \$20.45          |
| CAP GEMINI S A                     | 139098107 | 10               | 02/23/11            | 04/08/11  | \$296.19                         | \$284.48                               | \$11.71          |
| CENOVUS ENERGY INC                 | 15135U109 | 20               | 12/14/10            | 04/08/11  | \$810.38                         | \$622.60                               | \$187.78         |
| CENTRICA PLC SPONSORED ADR NEW     | 15639K300 | 70               | 12/14/10            | 04/08/11  | \$1,473.47                       | \$1,462.30                             | \$11.17          |
| CHEUNG KONG HLDGS LTD ADR          | 166744201 | 100              | 12/14/10            | 04/08/11  | \$1,673.96                       | \$1,499.00                             | \$174.96         |
| COCA COLA AMATIL LTD SPONSORED ADR | 191085208 | 50               | 12/14/10            | 04/08/11  | \$1,248.47                       | \$1,105.50                             | \$142.97         |
| COMPASS GROUP PLC SPONSORED ADR    | 20449X203 | 210              | 12/14/10            | 04/08/11  | \$1,910.96                       | \$1,919.40                             | \$-8.44          |
| FMC TECHNOLOGIES INC               | 30249U101 | 45               | 02/18/11            | 04/08/11  | \$2,163.46                       | \$2,099.67                             | \$63.79          |
| BEMIS INC                          | 081437105 | 10               | 02/16/11            | 04/08/11  | \$327.43                         | \$330.00                               | \$-2.57          |
| BEMIS INC                          | 081437105 | 16               | 02/16/11            | 04/08/11  | \$523.89                         | \$528.00                               | \$-4.11          |
| BEMIS INC                          | 081437105 | 15               | 02/16/11            | 04/08/11  | \$491.14                         | \$495.00                               | \$-3.86          |
| TAIWAN SEMICONDUCTOR MFG ADR       | 874039100 | 140              | 12/14/10            | 04/08/11  | \$1,776.57                       | \$1,667.22                             | \$109.35         |
| TALISMAN ENERGY INC                | 87425E103 | 40               | 12/14/10            | 04/08/11  | \$983.18                         | \$818.00                               | \$165.18         |
| TEVA PHARMACEUTICAL INDS LTD       | 881624209 | 40               | 12/14/10            | 04/08/11  | \$2,027.56                       | \$2,119.49                             | \$-91.93         |
| TRANSCANADA CORP                   | 89353D107 | 30               | 12/14/10            | 04/08/11  | \$1,241.67                       | \$1,133.70                             | \$107.97         |
| UNILEVER N V                       | 904784709 | 30               | 12/14/10            | 04/08/11  | \$960.36                         | \$943.80                               | \$16.56          |
| VODAFONE GROUP PLC NEW SPONSORED   | 92857W209 | 60               | 12/14/10            | 04/08/11  | \$1,744.77                       | \$1,618.75                             | \$126.02         |
| VOLVO AKTIEBOLAGET ADR B           | 928856400 | 70               | 02/14/11            | 04/08/11  | \$1,238.27                       | \$1,221.72                             | \$16.55          |
| UNITED STATES TREAS NT             | 912828P13 | 5000             | 12/08/10            | 04/08/11  | \$4,820.70                       | \$4,886.93                             | \$-66.23         |
| UNITED STATES TREAS NT             | 912828P53 | 8000             | 02/18/11            | 04/08/11  | \$7,906.87                       | \$7,898.64                             | \$8.23           |
| UNITED STATES TREAS NT             | 912828QA1 | 5000             | 04/06/11            | 04/08/11  | \$4,982.42                       | \$4,986.72                             | \$-4.30          |
| ABB LTD SPONSORED ADR              | 000375204 | 50               | 03/02/11            | 04/08/11  | \$1,230.47                       | \$1,217.66                             | \$12.81          |
| ADIDAS AG SPONSORED ADR            | 00687A107 | 40               | 12/14/10            | 04/08/11  | \$1,312.37                       | \$1,362.40                             | \$-50.03         |
| BG PLC ADR FINAL INSTALLMENT NEW   | 055434203 | 10               | 12/14/10            | 04/08/11  | \$1,260.27                       | \$1,047.60                             | \$212.67         |
| BANCO BRADESCO S A SPONSORED ADR   | 059460303 | 90               | 12/14/10            | 04/08/11  | \$1,872.86                       | \$1,780.20                             | \$92.66          |
| BAYER A G SPONSORED ADR            | 072730302 | 10               | 12/14/10            | 04/08/11  | \$791.38                         | \$765.90                               | \$25.48          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BAYERISCHE MOTOREN WERKE A G       | 072743206 | 50               | 12/14/10            | 04/08/11  | \$1,405 97                       | \$1,397 50                             | \$8 47           |
| BHP LTD SPONSORED ADR              | 088606108 | 40               | 12/14/10            | 04/08/11  | \$4,064 92                       | \$3,649 39                             | \$415 53         |
| BRITISH AMERN TOB PLC              | 110448107 | 20               | 12/14/10            | 04/08/11  | \$1,653 36                       | \$1,518 60                             | \$134 76         |
| CNOOC LTD SPONSORED ADR            | 126132109 | 10               | 12/14/10            | 04/08/11  | \$2,664 24                       | \$2,362 60                             | \$301 64         |
| DANONE                             | 23636T100 | 41               | 11/17/10            | 04/08/11  | \$554 72                         | \$556 51                               | \$-1 79          |
| DANONE                             | 23636T100 | 19               | 11/16/10            | 04/08/11  | \$257 06                         | \$257 89                               | \$-0 83          |
| DANONE                             | 23636T100 | 50               | 12/14/10            | 04/08/11  | \$676 49                         | \$643 00                               | \$33 49          |
| ERICSSON L M TEL CO ADR CL B       | 294821608 | 80               | 01/26/11            | 04/08/11  | \$1,068 17                       | \$1,001 98                             | \$66 19          |
| FANUC LTD                          | 307305102 | 70               | 12/14/10            | 04/08/11  | \$1,763 96                       | \$1,767 50                             | \$-3 54          |
| FOMENTO ECONOMICO MEXICANO S A DE  | 344419106 | 10               | 03/02/11            | 04/08/11  | \$604 28                         | \$565 16                               | \$39 12          |
| FRESENIUS MED CARE SPONSORED ADR   | 358029106 | 20               | 12/14/10            | 04/08/11  | \$1,421 17                       | \$1,188 72                             | \$232 45         |
| GAZPROM O A O SPONSORED ADR REG S  | 368287207 | 40               | 12/14/10            | 04/08/11  | \$1,393 57                       | \$1,035 20                             | \$358 37         |
| HUTCHISON WHAMPOA LTD ADR          | 448415208 | 30               | 12/14/10            | 04/08/11  | \$1,793 96                       | \$1,551 60                             | \$242 36         |
| INDUSTRIAL & COML BK CHINA         | 455807107 | 25               | 11/01/10            | 04/08/11  | \$426 49                         | \$408 71                               | \$17 78          |
| INDUSTRIAL & COML BK CHINA         | 455807107 | 75               | 12/14/10            | 04/08/11  | \$1,279 47                       | \$1,174 20                             | \$105 27         |
| INFOSYS TECHNOLOGIES LTD           | 456788108 | 20               | 12/14/10            | 04/08/11  | \$1,450 97                       | \$1,419 80                             | \$31 17          |
| JULIUS BAER GROUP LTD              | 48137C108 | 160              | 12/14/10            | 04/08/11  | \$1,431 97                       | \$1,464 00                             | \$-32 03         |
| KINGFISHER PLC SPONSORED ADR PAR   | 495724403 | 140              | 12/14/10            | 04/08/11  | \$1,177 37                       | \$1,118 60                             | \$58 77          |
| KOMATSU LTD ADR (NEW)              | 500458401 | 50               | 12/14/10            | 04/08/11  | \$1,632 46                       | \$1,505 00                             | \$127 46         |
| KONINKLIJKE AHOLD NV SPONSORED ADR | 500467402 | 70               | 12/14/10            | 04/08/11  | \$975 78                         | \$898 10                               | \$77 68          |
| NESTLE S A ADR REG                 | 641069406 | 30               | 12/14/10            | 04/08/11  | \$1,749 26                       | \$1,753 50                             | \$-4 24          |
| NOVARTIS AG SPONSORED ADR          | 66987V109 | 30               | 12/14/10            | 04/08/11  | \$1,668 86                       | \$1,674 00                             | \$-5 14          |
| NOVO-NORDISK A S ADR               | 670100205 | 10               | 12/14/10            | 04/08/11  | \$1,286 27                       | \$1,091 90                             | \$194 37         |
| FEDERAL HOME LN MTG CORP NOTES     | 3134A4T27 | 4000             | 12/16/10            | 04/08/11  | \$4,307 59                       | \$4,341 24                             | \$-33 65         |
| PETROLEO BRASILEIRO SA PETROBRAS   | 71654V408 | 20               | 12/14/10            | 04/08/11  | \$812 48                         | \$684 80                               | \$127 68         |
| PUBLICIS S A NEW SPONSORED ADR     | 74463M106 | 40               | 12/14/10            | 04/08/11  | \$1,143 97                       | \$1,014 00                             | \$129 97         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|---------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ROYAL DUTCH SHELL PLC SPONSORED       | 780259107 | 20               | 12/14/10            | 04/08/11  | \$1,502 17                       | \$1,320 40                             | \$181 77         |
| ROYAL DUTCH SHELL PLC SPONSORED       | 780259206 | 0 8              | 03/25/11            | 04/08/11  | \$58 52                          | \$58 74                                | \$-0 22          |
| ROYAL PTT NEDERLAND NV ADR            | 780641205 | 70               | 12/14/10            | 04/08/11  | \$1,182 27                       | \$1,032 50                             | \$149 77         |
| SAP AKTIENGESSELLSCHAFT SPONSORED ADR | 803054204 | 20               | 10/27/10            | 04/08/11  | \$1,274 77                       | \$1,033 37                             | \$241 40         |
| SHIRE PHARMACEUTICALS GROUP PLC       | 82481R106 | 20               | 12/14/10            | 04/08/11  | \$1,777 56                       | \$1,412 99                             | \$364 57         |
| SMITH & NEPHEW P L C SPONSORED ADR    | 83175M205 | 10               | 12/14/10            | 04/08/11  | \$568 38                         | \$514 80                               | \$53 58          |
| SWEDBANK A B SPONSORED ADR            | 870195104 | 6                | 03/04/11            | 04/08/11  | \$110 22                         | \$104 05                               | \$6 17           |
| SWEDBANK A B SPONSORED ADR            | 870195104 | 64               | 03/07/11            | 04/08/11  | \$1,175 65                       | \$1,108 35                             | \$67 30          |
| SYNGENTA AG SPONSORED ADR             | 87160A100 | 20               | 12/14/10            | 04/08/11  | \$1,335 77                       | \$1,167 00                             | \$168 77         |
| FEDERAL NATL MTG ASSN                 | 31359MPF4 | 7000             | 12/16/10            | 04/08/11  | \$7,377 79                       | \$7,435 33                             | \$-57 54         |
| FEDERAL NATL MTG ASSN                 | 3135G0AZ6 | 10000            | 04/06/11            | 04/08/11  | \$10,002 90                      | \$10,005 79                            | \$-2 89          |
| FEDERAL HOME LN MTG CORP              | 3137EACCI | 11000            | 12/16/10            | 04/08/11  | \$11,173 03                      | \$11,179 74                            | \$-6 71          |
| FEDERAL HOME LN MTG CORP              | 3137EACM9 | 12000            | 11/18/10            | 04/08/11  | \$11,749 04                      | \$12,041 30                            | \$-292 26        |
| FEDERAL NATL MTG ASSN                 | 31398ASD5 | 7000             | 12/16/10            | 04/08/11  | \$7,439 84                       | \$7,485 59                             | \$-45 75         |
| APACHE CORP                           | 037411105 | 43               | 12/14/10            | 04/08/11  | \$5,650 55                       | \$4,988 12                             | \$662 43         |
| UNITED STATES TREAS NTS               | 912828HY9 | 6000             | 12/16/10            | 04/08/11  | \$6,281 02                       | \$6,326 49                             | \$-45 47         |
| UNITED STATES TREAS NT                | 912828JZ4 | 8000             | 12/16/10            | 04/08/11  | \$8,107 81                       | \$8,145 94                             | \$-38 13         |
| CONOCOPHILLIPS                        | 20825C104 | 55               | 12/14/10            | 04/08/11  | \$4,443 35                       | \$3,603 05                             | \$840 30         |
| UNITED STATES TREAS NT                | 912828KL3 | 13000            | 12/16/10            | 04/08/11  | \$13,005 59                      | \$13,034 02                            | \$-28 43         |
| UNITED STATES TREAS NT                | 912828KP4 | 9000             | 12/16/10            | 04/08/11  | \$9,099 49                       | \$9,111 44                             | \$-11 95         |
| UNITED STATES TREAS NT                | 912828LW8 | 9000             | 12/16/10            | 04/08/11  | \$9,036 56                       | \$9,050 27                             | \$-13 71         |
| UNITED STATES TREAS NT                | 912828MG2 | 9000             | 12/16/10            | 04/08/11  | \$9,101 95                       | \$9,117 42                             | \$-15 47         |
| UNITED STATES TREAS NT                | 912828MR8 | 3000             | 12/16/10            | 04/08/11  | \$3,062 34                       | \$3,075 12                             | \$-12 78         |
| UNITED STATES TREAS NT                | 912828NV8 | 5000             | 09/09/10            | 04/08/11  | \$4,827 34                       | \$4,926 19                             | \$-98 85         |
| UNITED STATES TREAS NT                | 912828PD6 | 17000            | 11/09/10            | 04/08/11  | \$16,941 56                      | \$16,976 81                            | \$-35 25         |
| EXPEDIA INC DEL                       | 30212P105 | 26               | 04/23/10            | 04/11/11  | \$635 59                         | \$631 55                               | \$4 04           |

IM NORTHROP GRUMMAN FDN

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| GRUPO TELEvisa SA DE CV ADR        | 400491206 | 150              | 12/14/10            | 04/11/11  | \$3,441.26                       | \$3,744.00                             | \$-302.74        |
| EXPEDIA INC DEL                    | 30212P105 | 2                | 05/26/10            | 04/11/11  | \$48.89                          | \$43.60                                | \$5.29           |
| EXPEDIA INC DEL                    | 30212P105 | 14               | 04/23/10            | 04/11/11  | \$342.24                         | \$340.07                               | \$2.17           |
| AMERISOURCEBERGEN CORP             | 03073E105 | 16               | 10/11/10            | 04/12/11  | \$633.82                         | \$513.07                               | \$120.75         |
| NIDEC CORP SPONSORED ADR           | 654090109 | 7                | 12/14/10            | 04/12/11  | \$145.60                         | \$181.54                               | \$-35.94         |
| AMERISOURCEBERGEN CORP             | 03073E105 | 31               | 04/26/10            | 04/12/11  | \$1,228.04                       | \$967.20                               | \$260.84         |
| NIDEC CORP SPONSORED ADR           | 654090109 | 26               | 12/14/10            | 04/13/11  | \$541.23                         | \$674.29                               | \$-133.06        |
| TRIMBLE NAV LTD                    | 896239100 | 70               | 12/14/10            | 04/13/11  | \$3,436.30                       | \$2,864.40                             | \$571.90         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 17               | 12/14/10            | 04/14/11  | \$2,465.76                       | \$1,814.50                             | \$651.26         |
| COGNIZANT TECHNOLOGY SOLUTIONS     | 192446102 | 2                | 02/15/11            | 04/14/11  | \$159.45                         | \$153.26                               | \$6.19           |
| NIDEC CORP SPONSORED ADR           | 654090109 | 127              | 12/14/10            | 04/14/11  | \$2,646.69                       | \$3,293.67                             | \$-646.98        |
| COGNIZANT TECHNOLOGY SOLUTIONS     | 192446102 | 19               | 02/08/11            | 04/14/11  | \$1,514.79                       | \$1,454.70                             | \$60.09          |
| GOOGLE INC CL A                    | 38259P508 | 9                | 02/15/11            | 04/14/11  | \$5,156.49                       | \$5,632.70                             | \$-476.21        |
| COGNIZANT TECHNOLOGY SOLUTIONS     | 192446102 | 49               | 01/16/11            | 04/14/11  | \$3,906.58                       | \$3,754.70                             | \$151.88         |
| AON CORP                           | 037389103 | 8                | 03/25/11            | 04/15/11  | \$417.40                         | \$418.44                               | \$-1.04          |
| UNITED STATES TREAS NTS            | 912828FB1 | 94529.97         | 12/16/10            | 04/15/11  | \$94,529.97                      | \$95,480.64                            | \$-950.67        |
| UNITED STATES TREAS NTS            | 912828FB1 | 11134.09         | 05/26/10            | 04/15/11  | \$11,134.09                      | \$11,319.85                            | \$-185.76        |
| AON CORP                           | 037389103 | 24               | 02/01/11            | 04/15/11  | \$1,252.20                       | \$1,105.12                             | \$147.08         |
| TTX COS INC NEW                    | 872540109 | 26               | 05/10/10            | 04/18/11  | \$1,333.78                       | \$1,193.35                             | \$140.43         |
| SYNOPSYS INC                       | 871607107 | 10               | 12/30/10            | 04/19/11  | \$262.98                         | \$271.09                               | \$-8.11          |
| SYNOPSYS INC                       | 871607107 | 48               | 12/14/10            | 04/19/11  | \$1,262.29                       | \$1,289.76                             | \$-27.47         |
| DREAMWORKS ANIMATION INC CL A      | 26153C103 | 20               | 12/15/10            | 04/19/11  | \$526.26                         | \$597.00                               | \$-70.74         |
| SYNOPSYS INC                       | 871607107 | 85               | 01/21/11            | 04/19/11  | \$2,235.30                       | \$2,236.35                             | \$-1.05          |
| SYNOPSYS INC                       | 871607107 | 32               | 03/18/11            | 04/19/11  | \$841.53                         | \$848.44                               | \$-6.91          |
| PRICELINE COM INC                  | 741503403 | 14               | 03/10/11            | 04/20/11  | \$7,479.59                       | \$6,525.34                             | \$954.25         |
| QUALCOMM INC                       | 747525103 | 84               | 03/11/11            | 04/20/11  | \$4,608.40                       | \$4,523.16                             | \$85.24          |

IM NORTHROP GRUMMAN FDN

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|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| QUALCOMM INC                    | 747525103 | 83               | 03/11/11            | 04/20/11  | \$4,557 77                       | \$4,469 32                             | \$88 45          |
| C H ROBINSON WORLDWIDE INC NEW  | 12541W209 | 52               | 12/29/10            | 04/20/11  | \$4,015 35                       | \$4,206 65                             | \$-191 30        |
| SHIRE PHARMACEUTICALS GROUP PLC | 82481R106 | 50               | 12/14/10            | 04/20/11  | \$4,611 17                       | \$3,532 48                             | \$1,078 69       |
| C H ROBINSON WORLDWIDE INC NEW  | 12541W209 | 34               | 01/04/11            | 04/20/11  | \$2,625 42                       | \$2,732 72                             | \$-107 30        |
| CHIPOTLE MEXICAN GRILL INC CL A | 169656105 | 26               | 02/11/11            | 04/20/11  | \$7,370 08                       | \$6,983 74                             | \$386 34         |
| EMC CORPORATION                 | 268648102 | 147              | 03/11/11            | 04/20/11  | \$4,043 94                       | \$3,919 08                             | \$124 86         |
| EMC CORPORATION                 | 268648102 | 147              | 03/11/11            | 04/20/11  | \$4,100 34                       | \$3,919 08                             | \$181 26         |
| TERADYNE INC                    | 880770102 | 539              | 01/21/11            | 04/20/11  | \$9,477 22                       | \$7,465 15                             | \$2,012 07       |
| SYNOPSYS INC                    | 871607107 | 37               | 01/21/11            | 04/21/11  | \$986 94                         | \$973 47                               | \$13 47          |
| VERIFONE HLDGS INC              | 92342Y109 | 36               | 12/15/10            | 04/21/11  | \$1,897 06                       | \$1,471 95                             | \$425 11         |
| SYNOPSYS INC                    | 871607107 | 19               | 04/29/10            | 04/21/11  | \$506 80                         | \$439 92                               | \$66 88          |
| SYNOPSYS INC                    | 871607107 | 12               | 08/24/10            | 04/21/11  | \$320 09                         | \$274 63                               | \$45 46          |
| SYNOPSYS INC                    | 871607107 | 13               | 05/24/10            | 04/21/11  | \$346 76                         | \$276 12                               | \$70 64          |
| EMCOR GROUP INC                 | 29084Q100 | 35               | 03/30/11            | 04/21/11  | \$1,038 00                       | \$1,084 71                             | \$-46 71         |
| ACORDA THERAPEUTICS INC         | 00484M106 | 44               | 07/12/10            | 04/21/11  | \$1,219 72                       | \$1,501 24                             | \$-281 52        |
| ACORDA THERAPEUTICS INC         | 00484M106 | 1                | 10/13/10            | 04/21/11  | \$27 72                          | \$28 02                                | \$-0 30          |
| ACORDA THERAPEUTICS INC         | 00484M106 | 25               | 12/15/10            | 04/21/11  | \$693 02                         | \$656 82                               | \$36 20          |
| ARUBA NETWORKS INC              | 043176106 | 27               | 02/07/11            | 04/21/11  | \$915 17                         | \$666 37                               | \$248 80         |
| ARUBA NETWORKS INC              | 043176106 | 5                | 12/15/10            | 04/21/11  | \$169 48                         | \$112 14                               | \$57 34          |
| BRIGHAM EXPL CO                 | 109178103 | 36               | 12/15/10            | 04/21/11  | \$1,218 80                       | \$983 43                               | \$235 37         |
| BRIGHAM EXPL CO                 | 109178103 | 67               | 11/23/10            | 04/21/11  | \$2,268 31                       | \$1,678 58                             | \$589 73         |
| CASEYS GENERAL STORES INC       | 147528103 | 74               | 12/21/10            | 04/21/11  | \$2,882 27                       | \$3,182 16                             | \$-299 89        |
| CINEMARK HLDGS INC              | 17243V102 | 26               | 01/14/11            | 04/21/11  | \$496 46                         | \$463 83                               | \$32 63          |
| CINEMARK HLDGS INC              | 17243V102 | 35               | 11/23/10            | 04/21/11  | \$668 31                         | \$624 10                               | \$44 21          |
| DICE HLDGS INC                  | 253017107 | 146              | 12/21/10            | 04/21/11  | \$2,563 24                       | \$2,040 66                             | \$522 58         |
| GAIN CAP HLDGS INC              | 36268W100 | 329              | 12/21/10            | 04/21/11  | \$2,144 31                       | \$2,773 34                             | \$-629 03        |

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| KIT DIGITAL INC             | 482470200 | 30               | 12/15/10            | 04/21/11  | \$321 36                         | \$450 53                               | \$-129 17        |
| KIT DIGITAL INC             | 482470200 | 132              | 11/23/10            | 04/21/11  | \$1,414 01                       | \$1,724 71                             | \$-310 70        |
| LOGMEIN INC                 | 54142L109 | 1                | 12/15/10            | 04/21/11  | \$44 72                          | \$44 74                                | \$-0 02          |
| LOGMEIN INC                 | 54142L109 | 18               | 12/10/10            | 04/21/11  | \$804 91                         | \$772 42                               | \$32 49          |
| MAGNUM HUNTER RES CORP DEL  | 55973B102 | 83               | 03/18/11            | 04/21/11  | \$656 11                         | \$599 31                               | \$56 80          |
| MASIMO CORP                 | 574795100 | 19               | 12/15/10            | 04/21/11  | \$653 83                         | \$590 09                               | \$63 74          |
| PSS WORLD MED INC           | 69366A100 | 42               | 12/15/10            | 04/21/11  | \$1,171 50                       | \$909 62                               | \$261 88         |
| PSS WORLD MED INC           | 69366A100 | 86               | 07/12/10            | 04/21/11  | \$2,398 79                       | \$1,803 85                             | \$594 94         |
| POLARIS INDUSTRIES INC NEW  | 731068102 | 4                | 12/15/10            | 04/21/11  | \$415 13                         | \$312 07                               | \$103 06         |
| RESOLUTE ENERGY CORP        | 76116A108 | 70               | 12/15/10            | 04/21/11  | \$1,213 43                       | \$995 58                               | \$217 85         |
| SYNOPSYS INC                | 871607107 | 7                | 11/11/10            | 04/21/11  | \$186 72                         | \$177 46                               | \$9 26           |
| LULULEMON ATHLETICA INC     | 550021109 | 13               | 02/07/11            | 04/25/11  | \$1,319 03                       | \$1,018 54                             | \$300 49         |
| LAUDER ESTEE COS INC CL A   | 518439104 | 57               | 02/18/11            | 04/25/11  | \$5,345 24                       | \$5,416 24                             | \$-71 00         |
| NETFLIX COM INC             | 64110L106 | 2                | 03/11/11            | 04/25/11  | \$504 54                         | \$406 37                               | \$98 17          |
| NETFLIX COM INC             | 64110L106 | 21               | 03/10/11            | 04/25/11  | \$5,297 69                       | \$4,206 66                             | \$1,091 03       |
| NOVO-NORDISK A S ADR        | 670100205 | 39               | 02/18/11            | 04/25/11  | \$5,091 02                       | \$4,889 82                             | \$201 20         |
| NOVO-NORDISK A S ADR        | 670100205 | 12               | 12/14/10            | 04/25/11  | \$1,566 47                       | \$1,306 03                             | \$260 44         |
| NOVO-NORDISK A S ADR        | 670100205 | 19               | 12/14/10            | 04/25/11  | \$2,486 36                       | \$2,067 88                             | \$418 48         |
| SALESFORCE COM INC          | 79466L302 | 14               | 12/14/10            | 04/25/11  | \$1,938 26                       | \$1,906 23                             | \$32 03          |
| COMPLETE PRODTN SVCS INC    | 20453E109 | 65               | 02/09/11            | 04/25/11  | \$2,197 56                       | \$1,665 52                             | \$532 04         |
| ALEXION PHARMACEUTICALS INC | 015351109 | 48               | 12/15/10            | 04/25/11  | \$4,594 66                       | \$3,804 61                             | \$790 05         |
| ALEXION PHARMACEUTICALS INC | 015351109 | 20               | 12/16/10            | 04/25/11  | \$1,914 44                       | \$1,580 50                             | \$333 94         |
| ALEXION PHARMACEUTICALS INC | 015351109 | 14               | 12/14/10            | 04/25/11  | \$1,340 11                       | \$1,092 11                             | \$248 00         |
| ALLERGAN INC                | 018490102 | 42               | 02/03/11            | 04/25/11  | \$3,284 76                       | \$3,002 28                             | \$282 48         |
| ALLERGAN INC                | 018490102 | 54               | 12/17/10            | 04/25/11  | \$4,223 26                       | \$3,832 08                             | \$391 18         |
| ALLERGAN INC                | 018490102 | 19               | 12/15/10            | 04/25/11  | \$1,485 96                       | \$1,340 30                             | \$145 66         |

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| CELGNE CORP COM                | 151020104 | 99               | 11/19/10            | 04/25/11  | \$5,563 64                       | \$5,999 23                             | \$-435 59        |
| FRANKLIN RESOURCES INC         | 354613101 | 29               | 02/16/11            | 04/25/11  | \$3,611 66                       | \$3,769 62                             | \$-157 96        |
| FRANKLIN RESOURCES INC         | 354613101 | 41               | 02/18/11            | 04/25/11  | \$5,106 15                       | \$5,326 50                             | \$-220 35        |
| LULULEMON ATHLETICA INC        | 550021109 | 49               | 03/21/11            | 04/25/11  | \$4,971 71                       | \$3,734 77                             | \$1,236 94       |
| NETFLX COM INC                 | 64110L106 | 24               | 03/10/11            | 04/26/11  | \$5,663 56                       | \$4,807 61                             | \$855 95         |
| JUNIPER NETWORKS INC           | 48203R104 | 40               | 12/14/10            | 04/26/11  | \$1,574 31                       | \$1,440 40                             | \$133 91         |
| COGNIZANT TECHNOLOGY SOLUTIONS | 192446102 | 78               | 02/08/11            | 04/26/11  | \$6,374 79                       | \$5,971 94                             | \$402 85         |
| ROYAL PTT NEDERLAND NV ADR     | 780641205 | 190              | 12/14/10            | 04/26/11  | \$2,957 71                       | \$2,802 50                             | \$155 21         |
| CENTERPOINT ENERGY INC         | 15189T107 | 16               | 12/15/10            | 04/26/11  | \$292 02                         | \$256 96                               | \$35 06          |
| CENTERPOINT ENERGY INC         | 15189T107 | 4                | 12/15/10            | 04/26/11  | \$73 00                          | \$64 24                                | \$8 76           |
| BHP LTD SPONSORED ADR          | 088606108 | 90               | 12/14/10            | 04/26/11  | \$9,193 76                       | \$8,211 14                             | \$982 62         |
| CENTERPOINT ENERGY INC         | 15189T107 | 122              | 12/15/10            | 04/26/11  | \$2,226 65                       | \$1,959 32                             | \$267 33         |
| BAYERISCHE MOTOREN WERKE A G   | 072743206 | 140              | 12/14/10            | 04/27/11  | \$4,233 20                       | \$3,913 00                             | \$320 20         |
| FEDERAL NATL MTG ASSN          | 31398AWQ1 | 81000            | 12/16/10            | 04/28/11  | \$81,000 00                      | \$81,353 97                            | \$-353 97        |
| OSHKOSH TRUCK CORP CL B        | 688239201 | 42               | 12/15/10            | 04/29/11  | \$1,312 20                       | \$1,489 74                             | \$-177 54        |
| KEY ENERGY GROUP INC           | 492914106 | 115              | 01/21/11            | 04/29/11  | \$2,050 39                       | \$1,466 25                             | \$584 14         |
| KEY ENERGY GROUP INC           | 492914106 | 11               | 12/30/10            | 04/29/11  | \$196 12                         | \$144 08                               | \$52 04          |
| OSHKOSH TRUCK CORP CL B        | 688239201 | 38               | 12/15/10            | 04/29/11  | \$1,187 23                       | \$1,347 86                             | \$-160 63        |
| FEDERAL HOME LN MTG CORP       | 3128M8A87 | 3910 13          | 02/08/11            | 04/30/11  | \$3,910 13                       | \$4,114 64                             | \$-204 51        |
| FEDERAL NATL MTG ASSN          | 3138A7FZ6 | 361 52           | 02/09/11            | 04/30/11  | \$361 52                         | \$361 52                               | \$0 00           |
| FEDERAL NATL MTG ASSN          | 31406Y4E7 | 509 67           | 01/26/11            | 04/30/11  | \$509 67                         | \$536 22                               | \$-26 55         |
| UNITED STATES TREAS NT         | 912828KL3 | 35000            | 12/16/10            | 04/30/11  | \$35,000 00                      | \$35,091 61                            | \$-91 61         |
| FEDERAL NATL MTG ASSN          | 31416WRK0 | 729 41           | 02/02/11            | 04/30/11  | \$729 41                         | \$741 32                               | \$-11 91         |
| FEDERAL NATL MTG ASSN          | 31419ADV6 | 905 91           | 04/19/11            | 04/30/11  | \$905 91                         | \$976 93                               | \$-71 02         |
| FEDERAL NATL MTG ASSN          | 31419BCW3 | 878 68           | 03/02/11            | 04/30/11  | \$878 68                         | \$895 29                               | \$-16 61         |
| FEDERAL NATL MTG ASSN          | 31410GRN5 | 554 74           | 01/26/11            | 04/30/11  | \$554 74                         | \$593 53                               | \$-38 79         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| METLIFE INC                      | 59156R108 | 125              | 12/14/10            | 05/02/11  | \$5,801.14                       | \$5,477.50                             | \$323.64         |
| PEABODY ENERGY CORP              | 704549104 | 168              | 12/14/10            | 05/02/11  | \$11,255.78                      | \$10,306.80                            | \$948.98         |
| ERICSSON L M TEL CO ADR CL B     | 294821608 | 160              | 12/14/10            | 05/02/11  | \$2,443.15                       | \$1,726.10                             | \$717.05         |
| PRUDENTIAL FINL INC              | 744320102 | 162              | 12/14/10            | 05/02/11  | \$10,241.44                      | \$9,118.98                             | \$1,122.46       |
| PRUDENTIAL FINL INC              | 744320102 | 3                | 05/10/10            | 05/02/11  | \$189.66                         | \$180.16                               | \$9.50           |
| OSHKOSH TRUCK CORP CL B          | 688239201 | 11               | 12/15/10            | 05/03/11  | \$340.28                         | \$390.17                               | \$-49.89         |
| OSHKOSH TRUCK CORP CL B          | 688239201 | 21               | 12/15/10            | 05/03/11  | \$649.63                         | \$744.87                               | \$-95.24         |
| OSHKOSH TRUCK CORP CL B          | 688239201 | 18               | 12/15/10            | 05/03/11  | \$556.82                         | \$638.46                               | \$-81.64         |
| AON CORP                         | 037389103 | 31               | 02/01/11            | 05/03/11  | \$1,632.86                       | \$1,427.44                             | \$205.42         |
| OIL STS INTL INC                 | 678026105 | 10               | 01/21/11            | 05/03/11  | \$795.09                         | \$629.50                               | \$165.59         |
| UNIVERSAL HEALTH SVCS INC CL B   | 913903100 | 195              | 01/21/11            | 05/03/11  | \$10,502.89                      | \$8,328.45                             | \$2,174.44       |
| MICROSEMI CORP                   | 595137100 | 96               | 01/21/11            | 05/03/11  | \$2,246.84                       | \$2,232.43                             | \$14.41          |
| HCC INS HLDGS INC                | 404132102 | 69               | 12/15/10            | 05/04/11  | \$2,174.63                       | \$1,988.58                             | \$186.05         |
| OGE ENERGY CORP                  | 670837103 | 55               | 12/15/10            | 05/04/11  | \$2,879.32                       | \$2,486.00                             | \$393.32         |
| DRESSER-RAND GROUP INC           | 261608103 | 13               | 12/15/10            | 05/04/11  | \$629.65                         | \$535.47                               | \$94.18          |
| CENTERPOINT ENERGY INC           | 15189T107 | 96               | 12/15/10            | 05/04/11  | \$1,777.59                       | \$1,541.76                             | \$235.83         |
| MICROSEMI CORP                   | 595137100 | 20               | 01/21/11            | 05/04/11  | \$456.14                         | \$465.09                               | \$-8.95          |
| MICRON TECHNOLOGY                | 595112103 | 12               | 01/21/11            | 05/05/11  | \$129.73                         | \$117.83                               | \$11.90          |
| MICRON TECHNOLOGY                | 595112103 | 139              | 05/21/10            | 05/05/11  | \$1,502.65                       | \$1,237.55                             | \$265.10         |
| RALCORP HLDGS INC NEW            | 751028101 | 23               | 01/04/11            | 05/05/11  | \$2,001.36                       | \$1,489.83                             | \$511.53         |
| RALCORP HLDGS INC NEW            | 751028101 | 70               | 12/14/10            | 05/05/11  | \$6,091.08                       | \$4,404.85                             | \$1,686.23       |
| VODAFONE GROUP PLC NEW SPONSORED | 92857W209 | 190              | 12/14/10            | 05/05/11  | \$5,309.51                       | \$5,126.05                             | \$183.46         |
| RALCORP HLDGS INC NEW            | 751028101 | 19               | 12/06/10            | 05/05/11  | \$1,653.29                       | \$1,176.33                             | \$476.96         |
| RALCORP HLDGS INC NEW            | 751028101 | 17               | 10/21/10            | 05/05/11  | \$1,479.26                       | \$1,052.44                             | \$426.82         |
| RALCORP HLDGS INC NEW            | 751028101 | 37               | 09/14/10            | 05/05/11  | \$3,219.57                       | \$2,257.57                             | \$962.00         |
| RALCORP HLDGS INC NEW            | 751028101 | 22               | 10/11/10            | 05/05/11  | \$1,914.34                       | \$1,306.31                             | \$608.03         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| RALCORP HLDGS INC NEW      | 751028101 | 1                | 12/15/10            | 05/05/11  | \$87.02                          | \$62.70                                | \$24.32          |
| ORTHOPIX INTERNATIONAL NV  | N6748L102 | 87               | 01/21/11            | 05/06/11  | \$3,096.30                       | \$2,580.42                             | \$515.88         |
| COINSTAR INC               | 19259P300 | 5                | 03/18/11            | 05/09/11  | \$267.85                         | \$221.95                               | \$45.90          |
| COINSTAR INC               | 19259P300 | 29               | 04/21/11            | 05/09/11  | \$1,553.55                       | \$1,417.47                             | \$136.08         |
| CONCUR TECHNOLOGIES INC    | 206708109 | 19               | 12/15/10            | 05/09/11  | \$957.57                         | \$1,008.28                             | \$-50.71         |
| CORPORATE EXECUTIVE BRD CO | 21988R102 | 21               | 03/18/11            | 05/09/11  | \$913.60                         | \$790.90                               | \$122.70         |
| CROCS INC                  | 227046109 | 123              | 12/15/10            | 05/09/11  | \$2,655.41                       | \$2,153.42                             | \$501.99         |
| CROCS INC                  | 227046109 | 77               | 09/02/10            | 05/09/11  | \$1,662.33                       | \$1,006.33                             | \$656.00         |
| CROCS INC                  | 227046109 | 169              | 09/14/10            | 05/09/11  | \$3,648.48                       | \$1,888.73                             | \$1,759.75       |
| CYMER INC                  | 232572107 | 8                | 02/07/11            | 05/09/11  | \$391.77                         | \$388.21                               | \$3.56           |
| CYMER INC                  | 232572107 | 8                | 12/21/10            | 05/09/11  | \$391.76                         | \$362.79                               | \$28.97          |
| DTS INC                    | 23335C101 | 11               | 12/21/10            | 05/09/11  | \$485.76                         | \$546.78                               | \$-61.02         |
| DTS INC                    | 23335C101 | 3                | 12/15/10            | 05/09/11  | \$132.48                         | \$146.25                               | \$-13.77         |
| EXLSERVICE HLDGS INC       | 302081104 | 39               | 12/15/10            | 05/09/11  | \$942.03                         | \$855.17                               | \$86.86          |
| EXLSERVICE HLDGS INC       | 302081104 | 1                | 08/26/10            | 05/09/11  | \$24.15                          | \$19.70                                | \$4.45           |
| EXLSERVICE HLDGS INC       | 302081104 | 1                | 08/27/10            | 05/09/11  | \$24.15                          | \$19.52                                | \$4.63           |
| EXLSERVICE HLDGS INC       | 302081104 | 1                | 08/24/10            | 05/09/11  | \$24.15                          | \$19.31                                | \$4.84           |
| EXLSERVICE HLDGS INC       | 302081104 | 1                | 08/23/10            | 05/09/11  | \$24.15                          | \$19.29                                | \$4.86           |
| EXLSERVICE HLDGS INC       | 302081104 | 2                | 08/28/10            | 05/09/11  | \$48.31                          | \$38.47                                | \$9.84           |
| EXLSERVICE HLDGS INC       | 302081104 | 1                | 09/17/10            | 05/09/11  | \$24.15                          | \$19.18                                | \$4.97           |
| EXLSERVICE HLDGS INC       | 302081104 | 92               | 09/27/10            | 05/09/11  | \$2,222.26                       | \$1,761.18                             | \$461.08         |
| FINISAR CORP               | 31787A507 | 123              | 04/04/11            | 05/09/11  | \$3,229.20                       | \$2,870.08                             | \$359.12         |
| FORTINET INC               | 34959E109 | 14               | 03/30/11            | 05/09/11  | \$663.02                         | \$612.49                               | \$50.53          |
| FORTINET INC               | 34959E109 | 1                | 04/04/11            | 05/09/11  | \$47.36                          | \$43.01                                | \$4.35           |
| FORTINET INC               | 34959E109 | 11               | 03/18/11            | 05/09/11  | \$520.94                         | \$462.39                               | \$58.55          |
| FORTINET INC               | 34959E109 | 19               | 04/21/11            | 05/09/11  | \$899.82                         | \$769.02                               | \$130.80         |

### Short term transactions

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|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FORTINET INC                 | 34959E109 | 23               | 02/07/11            | 05/09/11  | \$1,089 25                       | \$920 83                               | \$168 42         |
| FORTINET INC                 | 34959E109 | 12               | 01/14/11            | 05/09/11  | \$568 30                         | \$431 09                               | \$137 21         |
| INTRALINKS HLDGS INC         | 46118H104 | 23               | 04/21/11            | 05/09/11  | \$686 29                         | \$702 54                               | \$-16 25         |
| INTRALINKS HLDGS INC         | 46118H104 | 7                | 03/18/11            | 05/09/11  | \$208 87                         | \$188 19                               | \$20 68          |
| INTRALINKS HLDGS INC         | 46118H104 | 8                | 11/01/10            | 05/09/11  | \$238 71                         | \$176 24                               | \$62 47          |
| LOGMEIN INC                  | 54142L109 | 13               | 09/27/10            | 05/09/11  | \$538 42                         | \$476 18                               | \$62 24          |
| AMAZON COM INC               | 023135106 | 51               | 03/30/11            | 05/09/11  | \$10,267 88                      | \$9,144 51                             | \$1,123 37       |
| AMAZON COM INC               | 023135106 | 28               | 12/14/10            | 05/09/11  | \$5,637 26                       | \$4,871 03                             | \$766 23         |
| NETSCOUT SYS INC             | 64115T104 | 25               | 03/18/11            | 05/09/11  | \$592 18                         | \$647 09                               | \$-54 91         |
| NETSCOUT SYS INC             | 64115T104 | 3                | 12/15/10            | 05/09/11  | \$71 06                          | \$68 63                                | \$2 43           |
| NUVASIVE INC                 | 670704105 | 9                | 07/28/10            | 05/09/11  | \$286 97                         | \$298 02                               | \$-11 05         |
| OPENTABLE INC                | 68372A104 | 46               | 03/18/11            | 05/09/11  | \$4,342 09                       | \$4,131 02                             | \$211 07         |
| ROSETTA RES INC              | 777779307 | 74               | 03/18/11            | 05/09/11  | \$3,662 41                       | \$3,286 19                             | \$376 22         |
| SHUTTERFLY INC               | 82568P304 | 15               | 04/04/11            | 05/09/11  | \$868 16                         | \$746 01                               | \$122 15         |
| SHUTTERFLY INC               | 82568P304 | 23               | 03/18/11            | 05/09/11  | \$1,331 17                       | \$1,011 11                             | \$320 06         |
| STILLWATER MNG CO            | 86074Q102 | 81               | 12/21/10            | 05/09/11  | \$1,667 23                       | \$1,701 83                             | \$-34 60         |
| TEMPUR PEDIC INTL INC        | 88023U101 | 51               | 12/15/10            | 05/09/11  | \$3,195 96                       | \$1,826 18                             | \$1,369 78       |
| TENNECO AUTOMOTIVE INC       | 880349105 | 35               | 12/21/10            | 05/09/11  | \$1,511 38                       | \$1,516 09                             | \$-4 71          |
| TIBCO SOFTWARE INC           | 88632Q103 | 106              | 12/15/10            | 05/09/11  | \$3,132 57                       | \$2,144 12                             | \$988 45         |
| TIBCO SOFTWARE INC           | 88632Q103 | 10               | 09/02/10            | 05/09/11  | \$295 53                         | \$146 92                               | \$148 61         |
| VERIFONE HLDGS INC           | 92342Y109 | 20               | 12/15/10            | 05/09/11  | \$999 70                         | \$817 75                               | \$181 95         |
| VERIFONE HLDGS INC           | 92342Y109 | 7                | 09/02/10            | 05/09/11  | \$349 90                         | \$174 84                               | \$175 06         |
| VOCUS INC                    | 92858J108 | 55               | 12/21/10            | 05/09/11  | \$1,533 28                       | \$1,496 32                             | \$36 96          |
| VOCUS INC                    | 92858J108 | 14               | 12/15/10            | 05/09/11  | \$390 29                         | \$380 49                               | \$9 80           |
| SODASTREAM INTERNATIONAL LTD | M9068E105 | 31               | 01/14/11            | 05/09/11  | \$1,329 19                       | \$1,143 56                             | \$185 63         |
| AMARIN CORP PLC              | 023111206 | 34               | 02/02/11            | 05/09/11  | \$554 78                         | \$299 73                               | \$255 05         |

### Short term transactions

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|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| AMARIN CORP PLC                      | 023111206 | 28               | 02/07/11            | 05/09/11  | \$456 88                         | \$242 68                               | \$214 20         |
| ARUBA NETWORKS INC                   | 043176106 | 36               | 12/15/10            | 05/09/11  | \$1,193 19                       | \$807 39                               | \$385 80         |
| BJS RESTAURANTS INC                  | 09180C106 | 14               | 12/15/10            | 05/09/11  | \$658 26                         | \$515 73                               | \$142 53         |
| CHART INDS INC                       | 16115Q308 | 56               | 02/07/11            | 05/09/11  | \$2,702 42                       | \$2,302 58                             | \$399 84         |
| COINSTAR INC                         | 19259P300 | 10               | 04/16/11            | 05/09/11  | \$535 70                         | \$491 28                               | \$44 42          |
| CONCUR TECHNOLOGIES INC              | 206708109 | 9                | 12/21/10            | 05/09/11  | \$453 59                         | \$483 90                               | \$-30 31         |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 14               | 01/21/11            | 05/10/11  | \$527 43                         | \$352 38                               | \$175 05         |
| LIFEPOINT HOSPITALS INC              | 53219L109 | 35               | 02/23/11            | 05/10/11  | \$1,509 73                       | \$1,265 05                             | \$244 68         |
| MCDONALDS CORP                       | 580135101 | 69               | 12/14/10            | 05/11/11  | \$5,473 66                       | \$5,321 97                             | \$151 69         |
| NESTLE S A ADR REG                   | 641069406 | 159              | 12/14/10            | 05/11/11  | \$9,646 58                       | \$9,249 03                             | \$397 55         |
| NESTLE S A ADR REG                   | 641069406 | 62               | 10/13/10            | 05/11/11  | \$3,761 56                       | \$3,391 40                             | \$370 16         |
| OCCIDENTAL PETROLEUM CORP            | 674599105 | 34               | 12/14/10            | 05/11/11  | \$3,518 48                       | \$3,194 30                             | \$324 18         |
| UNITED STS STL CORP NEW              | 912909108 | 51               | 12/14/10            | 05/11/11  | \$2,320 96                       | \$2,794 29                             | \$-473 33        |
| UNITED STS STL CORP NEW              | 912909108 | 19               | 07/01/10            | 05/11/11  | \$864 68                         | \$721 05                               | \$143 63         |
| ORTHOFIX INTERNATIONAL NV            | N6748L102 | 199              | 01/21/11            | 05/11/11  | \$7,289 57                       | \$5,902 34                             | \$1,387 23       |
| HEALTH CARE REIT INC                 | 42217K106 | 47               | 12/15/10            | 05/12/11  | \$2,402 18                       | \$2,074 34 *                           | \$327 84         |
| QUEST DIAGNOSTICS INC                | 74834L100 | 22               | 04/05/11            | 05/12/11  | \$1,249 30                       | \$1,282 20                             | \$-32 90         |
| QUEST DIAGNOSTICS INC                | 74834L100 | 13               | 04/05/11            | 05/12/11  | \$738 22                         | \$757 66                               | \$-19 44         |
| ISHARES TR MSCI EMERGING MKTS        | 464287234 | 560              | 04/27/11            | 05/12/11  | \$26,619 42                      | \$27,693 29                            | \$-1,073 87      |
| NORTHERN TRUST CORP                  | 665859104 | 9                | 12/09/10            | 05/12/11  | \$433 60                         | \$480 81                               | \$-47 21         |
| HARBOR INTERNATIONAL FUND            | 411511306 | 775 31           | 11/08/10            | 05/12/11  | \$50,000 00                      | \$47,635 29                            | \$2,364 71       |
| COLUMBIA FDS SER TR LARGE CAP INDEX  | 19765H321 | 19069 41         | 12/14/10            | 05/12/11  | \$500,000 00                     | \$457,093 83                           | \$42,906 17      |
| NORTHERN TRUST CORP                  | 665859104 | 54               | 12/14/10            | 05/12/11  | \$2,601 61                       | \$2,954 88                             | \$-353 27        |
| NORTHERN TRUST CORP                  | 665859104 | 25               | 12/17/10            | 05/12/11  | \$1,204 45                       | \$1,364 70                             | \$-160 25        |
| ISHARES TR MSCI EMERGING MKTS        | 464287234 | 1015             | 12/14/10            | 05/12/11  | \$48,247 71                      | \$47,796 35                            | \$451 36         |
| FEDERAL HOME LN MTG CORP NOTES       | 3134A4TZ7 | 8000             | 12/16/10            | 05/13/11  | \$8,648 07                       | \$8,682 47                             | \$-34 40         |

### Short term transactions

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|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NT          | 912828QA1 | 14000            | 04/06/11            | 05/13/11  | \$14,299 69                      | \$13,963 52                            | \$336 17         |
| FEDERAL NATL MTG ASSN           | 31359MPP4 | 17000            | 12/16/10            | 05/13/11  | \$17,907 46                      | \$18,057 23                            | \$-149 77        |
| FEDERAL NATL MTG ASSN           | 3135GGAZ6 | 31000            | 04/06/11            | 05/13/11  | \$30,972 04                      | \$31,017 95                            | \$-45 91         |
| FEDERAL HOME LN MTG CORP        | 3137EACC1 | 14000            | 12/16/10            | 05/13/11  | \$14,222 57                      | \$14,228 76                            | \$-6 19          |
| FEDERAL HOME LN MTG CORP        | 3137EACM9 | 32000            | 11/18/10            | 05/13/11  | \$32,003 68                      | \$32,110 14                            | \$-106 46        |
| FEDERAL NATL MTG ASSN           | 31398ASD5 | 9000             | 12/16/10            | 05/13/11  | \$9,606 89                       | \$9,624 33                             | \$-17 44         |
| UNITED STATES TREAS NTS         | 912828HY9 | 8000             | 12/16/10            | 05/13/11  | \$8,409 06                       | \$8,435 31                             | \$-26 25         |
| UNITED STATES TREAS NT          | 912828JZ4 | 10000            | 12/16/10            | 05/13/11  | \$10,248 05                      | \$10,182 42                            | \$65 63          |
| UNITED STATES TREAS NT          | 912828KP4 | 13000            | 12/16/10            | 05/13/11  | \$13,149 29                      | \$13,160 98                            | \$-11 69         |
| UNITED STATES TREAS NT          | 912828LW8 | 15000            | 12/16/10            | 05/13/11  | \$15,052 15                      | \$15,083 79                            | \$-31 64         |
| UNITED STATES TREAS NT          | 912828MG2 | 12000            | 12/16/10            | 05/13/11  | \$12,187 03                      | \$12,156 57                            | \$30 46          |
| UNITED STATES TREAS NT          | 912828MR8 | 4000             | 12/16/10            | 05/13/11  | \$4,159 69                       | \$4,100 15                             | \$59 54          |
| UNITED STATES TREAS NT          | 912828NV8 | 11000            | 09/09/10            | 05/13/11  | \$10,868 52                      | \$10,858 96                            | \$9 56           |
| UNITED STATES TREAS NT          | 912828PD6 | 44000            | 11/09/10            | 05/13/11  | \$44,015 47                      | \$43,955 45                            | \$60 02          |
| UNITED STATES TREAS NT          | 912828PJ3 | 14000            | 12/08/10            | 05/13/11  | \$13,830 47                      | \$13,709 68                            | \$120 79         |
| UNITED STATES TREAS NT          | 912828PS3 | 22000            | 02/18/11            | 05/13/11  | \$22,278 44                      | \$21,726 57                            | \$551 87         |
| SHIRE PHARMACEUTICALS GROUP PLC | 82481R106 | 30               | 12/14/10            | 05/13/11  | \$2,865 69                       | \$2,119 48                             | \$746 21         |
| HEALTH CARE REIT INC            | 42217K106 | 5                | 12/15/10            | 05/16/11  | \$255 61                         | \$220 67 *                             | \$34 94          |
| HEALTH CARE REIT INC            | 42217K106 | 16               | 12/15/10            | 05/16/11  | \$817 95                         | \$706 16 *                             | \$111 79         |
| SANDISK CORP                    | 80004C101 | 1                | 10/11/10            | 05/16/11  | \$47 92                          | \$49 89                                | \$-1 97          |
| SANDISK CORP                    | 80004C101 | 17               | 02/16/11            | 05/16/11  | \$814 60                         | \$867 06                               | \$-52 46         |
| SANDISK CORP                    | 80004C101 | 7                | 10/11/10            | 05/16/11  | \$335 42                         | \$349 23                               | \$-13 81         |
| SANDISK CORP                    | 80004C101 | 3                | 02/16/11            | 05/16/11  | \$143 75                         | \$153 01                               | \$-9 26          |
| CANADIAN NATL RY CO             | 136375102 | 42               | 12/14/10            | 05/17/11  | \$3,136 57                       | \$2,821 98                             | \$314 59         |
| COCA-COLA ENTERPRISES INC       | 19122T109 | 49               | 12/15/10            | 05/18/11  | \$1,426 23                       | \$1,255 38                             | \$170 85         |
| QIAGEN N V                      | N72482107 | 45               | 12/14/10            | 05/19/11  | \$912 29                         | \$895 39                               | \$16 90          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                 | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALLERGAN INC                | 018490102 | 98               | 12/15/10            | 05/19/11  | \$8,182.35                       | \$6,913.12                             | \$1,269.23       |
| QIAGEN N V                  | N72482107 | 13               | 12/14/10            | 05/19/11  | \$263.69                         | \$258.67                               | \$5.02           |
| LENDER PROCESSING SVCS INC  | 52602E102 | 47               | 06/14/10            | 05/20/11  | \$1,269.34                       | \$1,596.12                             | \$-326.78        |
| LENDER PROCESSING SVCS INC  | 52602E102 | 63               | 07/30/10            | 05/20/11  | \$1,701.45                       | \$2,016.00                             | \$-314.55        |
| LENDER PROCESSING SVCS INC  | 52602E102 | 59               | 12/15/10            | 05/20/11  | \$1,593.42                       | \$1,800.68                             | \$-207.26        |
| SALESFORCE COM INC          | 794661302 | 121              | 12/14/10            | 05/20/11  | \$17,794.12                      | \$16,475.27                            | \$1,318.85       |
| SALESFORCE COM INC          | 794661302 | 36               | 12/14/10            | 05/20/11  | \$5,304.50                       | \$4,901.73                             | \$402.77         |
| ALLERGAN INC                | 018490102 | 13               | 12/15/10            | 05/20/11  | \$1,086.44                       | \$917.05                               | \$169.39         |
| QIAGEN N V                  | N72482107 | 6                | 12/14/10            | 05/20/11  | \$121.34                         | \$119.38                               | \$1.96           |
| QIAGEN N V                  | N72482107 | 343              | 12/14/10            | 05/20/11  | \$6,851.33                       | \$6,824.84                             | \$26.49          |
| QIAGEN N V                  | N72482107 | 584              | 11/19/10            | 05/20/11  | \$11,665.23                      | \$11,351.50                            | \$313.73         |
| ALLERGAN INC                | 018490102 | 113              | 12/15/10            | 05/20/11  | \$9,427.44                       | \$7,971.25                             | \$1,456.19       |
| ALLERGAN INC                | 018490102 | 130              | 12/15/10            | 05/20/11  | \$10,858.98                      | \$9,170.46                             | \$1,688.52       |
| QIAGEN N V                  | N72482107 | 3                | 12/14/10            | 05/20/11  | \$59.85                          | \$59.69                                | \$0.16           |
| GLOBE SPECIALTY METALS INC  | 37954N206 | 100              | 12/21/10            | 05/23/11  | \$2,101.38                       | \$1,773.80                             | \$327.58         |
| BROADSOFT INC               | 11133B409 | 18               | 04/04/11            | 05/23/11  | \$698.25                         | \$855.36                               | \$-157.11        |
| JINKOSOLAR HLDG CO LTD      | 47759T100 | 25               | 05/09/11            | 05/23/11  | \$607.52                         | \$659.56                               | \$-52.04         |
| JINKOSOLAR HLDG CO LTD      | 47759T100 | 102              | 04/21/11            | 05/23/11  | \$2,478.67                       | \$2,499.80                             | \$-21.13         |
| LULULEMON ATHLETICA INC     | 550021109 | 5                | 05/28/10            | 05/23/11  | \$484.20                         | \$202.18                               | \$282.02         |
| OMNIVISION TECHNOLOGIES INC | 682128103 | 18               | 12/15/10            | 05/23/11  | \$626.03                         | \$521.24                               | \$104.79         |
| OMNIVISION TECHNOLOGIES INC | 682128103 | 12               | 10/14/10            | 05/23/11  | \$417.36                         | \$295.42                               | \$121.94         |
| OMNIVISION TECHNOLOGIES INC | 682128103 | 4                | 06/18/10            | 05/23/11  | \$139.12                         | \$92.61                                | \$46.51          |
| RIVERBED TECHNOLOGY INC     | 768573107 | 28               | 12/15/10            | 05/23/11  | \$1,056.93                       | \$955.29                               | \$101.64         |
| RUE21 INC                   | 781295100 | 73               | 02/07/11            | 05/23/11  | \$2,087.93                       | \$2,315.10                             | \$-227.17        |
| RUE21 INC                   | 781295100 | 4                | 02/02/11            | 05/23/11  | \$114.41                         | \$126.21                               | \$-11.80         |
| RUE21 INC                   | 781295100 | 15               | 01/21/11            | 05/23/11  | \$429.03                         | \$454.44                               | \$-25.41         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| RUE21 INC                    | 781295100 | 3                | 04/04/11            | 05/23/11  | \$85 80                          | \$85 57                                | \$0 23           |
| TENNECO AUTOMOTIVE INC       | 880349105 | 3                | 12/21/10            | 05/23/11  | \$120 03                         | \$129 95                               | \$-9 92          |
| TENNECO AUTOMOTIVE INC       | 880349105 | 14               | 02/07/11            | 05/23/11  | \$560 15                         | \$570 11                               | \$-9 96          |
| TENNECO AUTOMOTIVE INC       | 880349105 | 9                | 12/15/10            | 05/23/11  | \$360 09                         | \$365 56                               | \$-5 47          |
| VANCEINFO TECHNOLOGIES INC   | 921564100 | 33               | 12/15/10            | 05/23/11  | \$782 84                         | \$1,127 86                             | \$-345 02        |
| VANCEINFO TECHNOLOGIES INC   | 921564100 | 58               | 09/27/10            | 05/23/11  | \$1,375 90                       | \$1,891 60                             | \$-515 70        |
| SODASTREAM INTERNATIONAL LTD | M9068E105 | 14               | 01/14/11            | 05/23/11  | \$755 64                         | \$516 45                               | \$239 19         |
| ARIBA INC NEW                | 04033V203 | 34               | 04/04/11            | 05/23/11  | \$1,067 45                       | \$1,175 29                             | \$-107 84        |
| HERCULES OFFSHORE INC        | 427093109 | 88               | 01/07/11            | 05/23/11  | \$538 43                         | \$286 88                               | \$251 55         |
| KINDER MORGAN MGMT LLC SHS   | 49455U100 | 0 83             | 12/14/10            | 05/25/11  | \$53 66                          | \$52 06                                | \$1 60           |
| EMDEON INC                   | 29084T104 | 110              | 01/21/11            | 05/26/11  | \$1,648 77                       | \$1,620 27                             | \$28 50          |
| NIDEC CORP SPONSORED ADR     | 654090109 | 170              | 12/14/10            | 05/27/11  | \$3,752 00                       | \$4,408 85                             | \$-656 85        |
| MEDCO HEALTH SOLUTIONS INC   | 58405U102 | 11               | 11/25/10            | 05/31/11  | \$656 68                         | \$710 78                               | \$-54 10         |
| FEDERAL NATL MTG ASSN        | 3138A7FZ6 | 500 16           | 02/09/11            | 05/31/11  | \$500 16                         | \$500 16                               | \$0 00           |
| MEDCO HEALTH SOLUTIONS INC   | 58405U102 | 13               | 11/25/10            | 05/31/11  | \$776 07                         | \$835 94                               | \$-59 87         |
| NETFLIX COM INC              | 64110L106 | 31               | 03/10/11            | 05/31/11  | \$8,246 38                       | \$6,209 83                             | \$2,036 55       |
| PRECISION CASTPARTS CORP     | 740189105 | 1                | 12/20/10            | 05/31/11  | \$156 68                         | \$141 00                               | \$15 68          |
| PRECISION CASTPARTS CORP     | 740189105 | 25               | 12/20/10            | 05/31/11  | \$3,916 33                       | \$3,525 02                             | \$391 31         |
| PRECISION CASTPARTS CORP     | 740189105 | 50               | 12/14/10            | 05/31/11  | \$7,832 67                       | \$7,003 56                             | \$829 11         |
| QIAGEN N V                   | N72482107 | 905              | 11/19/10            | 05/31/11  | \$17,820 01                      | \$17,590 94                            | \$229 07         |
| FEDERAL NATL MTG ASSN        | 31406Y4E7 | 442 26           | 01/26/11            | 05/31/11  | \$442 26                         | \$464 99                               | \$-22 73         |
| FEDERAL NATL MTG ASSN        | 31410GRN5 | 442 74           | 01/26/11            | 05/31/11  | \$442 74                         | \$473 21                               | \$-30 47         |
| FEDERAL NATL MTG ASSN        | 31411CDR9 | 230 96           | 05/10/11            | 05/31/11  | \$230 96                         | \$249 91                               | \$-18 95         |
| FEDERAL NATL MTG ASSN        | 31416WRK0 | 759 11           | 02/02/11            | 05/31/11  | \$759 11                         | \$770 25                               | \$-11 14         |
| FEDERAL NATL MTG ASSN        | 31419ADV6 | 770 42           | 04/19/11            | 05/31/11  | \$770 42                         | \$828 82                               | \$-58 40         |
| FEDERAL NATL MTG ASSN        | 31419BCW3 | 755 44           | 03/02/11            | 05/31/11  | \$755 44                         | \$769 72                               | \$-14 28         |

### Short term transactions

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 60               | 02/11/11            | 05/31/11  | \$17,317.32                      | \$16,116.32                            | \$1,201.00       |
| GOOGLE INC CL A                    | 38259P508 | 6                | 02/15/11            | 05/31/11  | \$3,148.75                       | \$3,755.13                             | \$-606.38        |
| GOOGLE INC CL A                    | 38259P508 | 8                | 12/14/10            | 05/31/11  | \$4,198.33                       | \$4,748.86                             | \$-550.53        |
| UNITED STATES TREAS NTS            | 912828FH8 | 91000            | 12/16/10            | 05/31/11  | \$91,000.00                      | \$92,940.85                            | \$-1,940.85      |
| FEDERAL HOME LN MTG CORP           | 3128M8A87 | 3711.31          | 02/08/11            | 05/31/11  | \$3,711.31                       | \$3,896.64                             | \$-185.33        |
| MEDCO HEALTH SOLUTIONS INC         | 58405U102 | 62               | 12/14/10            | 05/31/11  | \$3,701.26                       | \$3,930.76                             | \$-229.50        |
| QIAGEN N V                         | N72482107 | 259              | 11/19/10            | 06/01/11  | \$5,045.71                       | \$5,034.31                             | \$11.40          |
| QIAGEN N V                         | N72482107 | 12               | 02/03/11            | 06/01/11  | \$233.78                         | \$222.05                               | \$11.73          |
| QIAGEN N V                         | N72482107 | 1                | 01/20/11            | 06/01/11  | \$19.48                          | \$18.86                                | \$0.62           |
| GOOGLE INC CL A                    | 38259P508 | 13               | 12/14/10            | 06/02/11  | \$6,834.03                       | \$7,716.89                             | \$-882.86        |
| NETFLIX COM INC                    | 64110L106 | 1                | 03/10/11            | 06/02/11  | \$266.17                         | \$200.32                               | \$65.85          |
| NETFLIX COM INC                    | 64110L106 | 26               | 12/28/10            | 06/02/11  | \$6,920.34                       | \$4,768.52                             | \$2,151.82       |
| PRAXAIR INC                        | 74005P104 | 25               | 12/14/10            | 06/02/11  | \$2,587.56                       | \$2,308.44                             | \$279.12         |
| QIAGEN N V                         | N72482107 | 510              | 02/03/11            | 06/02/11  | \$9,889.11                       | \$9,437.30                             | \$451.81         |
| QIAGEN N V                         | N72482107 | 251              | 02/04/11            | 06/02/11  | \$4,867.00                       | \$4,606.65                             | \$260.35         |
| SEMPRA ENERGY                      | 816851109 | 3                | 09/14/10            | 06/02/11  | \$160.93                         | \$160.57                               | \$0.36           |
| SEMPRA ENERGY                      | 816851109 | 2                | 09/14/10            | 06/02/11  | \$107.29                         | \$107.05                               | \$0.24           |
| SEMPRA ENERGY                      | 816851109 | 32               | 02/16/11            | 06/02/11  | \$1,716.63                       | \$1,707.72                             | \$8.91           |
| CELGENE CORP COM                   | 151020104 | 50               | 11/19/10            | 06/03/11  | \$2,958.67                       | \$3,029.92                             | \$-71.25         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 36               | 12/14/10            | 06/03/11  | \$4,949.44                       | \$3,842.47                             | \$1,106.97       |
| ST JUDE MEDICAL INC                | 790849103 | 86               | 12/14/10            | 06/03/11  | \$4,149.41                       | \$3,587.71                             | \$561.70         |
| QUALCOMM INC                       | 747525103 | 10               | 03/23/11            | 06/03/11  | \$579.22                         | \$521.80                               | \$57.42          |
| QUALCOMM INC                       | 747525103 | 68               | 03/11/11            | 06/03/11  | \$3,938.69                       | \$3,661.61                             | \$277.08         |
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 53               | 02/11/11            | 06/06/11  | \$14,995.17                      | \$14,236.09                            | \$759.08         |
| FMC TECHNOLOGIES INC               | 30249U101 | 99               | 02/18/11            | 06/06/11  | \$4,194.04                       | \$4,619.26                             | \$-425.22        |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 105              | 04/13/11            | 06/06/11  | \$2,060.77                       | \$2,244.81                             | \$-184.04        |

IM NORTHPROP GRUMMAN FDN

### Short term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| NETFLIX COM INC                   | 64110L106 | 50               | 12/28/10            | 06/06/11  | \$13,187.64                      | \$9,170.22                             | \$4,017.42       |
| FMC TECHNOLOGIES INC              | 30249U101 | 99               | 12/14/10            | 06/06/11  | \$4,194.03                       | \$4,324.39                             | \$-130.36        |
| TEMPLE-INLAND INC                 | 879868107 | 378              | 01/21/11            | 06/07/11  | \$11,189.57                      | \$8,591.94                             | \$2,597.63       |
| TEMPLE-INLAND INC                 | 879868107 | 80               | 03/18/11            | 06/07/11  | \$2,368.16                       | \$1,740.24                             | \$627.92         |
| HCC INS HLDGS INC                 | 404132102 | 18               | 12/15/10            | 06/07/11  | \$574.66                         | \$518.76                               | \$55.90          |
| HCC INS HLDGS INC                 | 404132102 | 22               | 12/15/10            | 06/07/11  | \$702.37                         | \$634.04                               | \$68.33          |
| HCC INS HLDGS INC                 | 404132102 | 30               | 12/15/10            | 06/07/11  | \$957.77                         | \$864.60                               | \$93.17          |
| EATON VANCE CORP                  | 278265103 | 11               | 12/14/10            | 06/09/11  | \$327.57                         | \$346.50                               | \$-18.93         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 9                | 12/05/10            | 06/09/11  | \$433.43                         | \$513.97                               | \$-80.54         |
| FASTENAL CO                       | 311900104 | 15               | 12/14/10            | 06/09/11  | \$472.34                         | \$444.45                               | \$27.89          |
| BHP LTD SPONSORED ADR             | 088606108 | 9                | 12/14/10            | 06/09/11  | \$830.50                         | \$821.12                               | \$9.38           |
| CANON INC ADR REPSTG 5 SHS        | 138006309 | 14               | 11/19/10            | 06/09/11  | \$662.18                         | \$756.07                               | \$-93.89         |
| THERMO ELECTRON CORP              | 883556102 | 11               | 12/14/10            | 06/09/11  | \$701.56                         | \$609.95                               | \$91.61          |
| ULTRA PETE CORP                   | 903914109 | 6                | 12/14/10            | 06/09/11  | \$282.95                         | \$286.80                               | \$-3.85          |
| VALEANT PHARMACEUTICALS INTL INC  | 91911K102 | 6                | 12/14/10            | 06/09/11  | \$320.99                         | \$174.18                               | \$146.81         |
| VARIAN MED SYS INC                | 92220P105 | 12               | 12/14/10            | 06/09/11  | \$799.54                         | \$823.56                               | \$-24.02         |
| VERISK ANALYTICS INC              | 92345Y106 | 27               | 12/14/10            | 06/09/11  | \$910.69                         | \$896.31                               | \$14.38          |
| VERTEX PHARMACEUTICALS INC        | 92532F100 | 4                | 02/28/11            | 06/09/11  | \$191.92                         | \$185.23                               | \$6.69           |
| WATERS CORP                       | 941848103 | 4                | 12/14/10            | 06/09/11  | \$377.51                         | \$321.20                               | \$56.31          |
| TE CONNECTIVITY LTD               | H84989104 | 18               | 12/14/10            | 06/09/11  | \$637.72                         | \$623.34                               | \$14.38          |
| SENSATA TECH HLDG NV              | N7902X106 | 14               | 04/13/11            | 06/09/11  | \$493.63                         | \$464.63                               | \$29.00          |
| FLEXTRONICS INTL LTD              | Y2573F102 | 33               | 12/14/10            | 06/09/11  | \$229.34                         | \$256.74                               | \$-27.40         |
| ST JUDE MEDICAL INC               | 790849103 | 16               | 12/14/10            | 06/09/11  | \$792.62                         | \$669.76                               | \$122.86         |
| SCHEIN HENRY INC                  | 806407102 | 8                | 01/14/11            | 06/09/11  | \$559.34                         | \$512.34                               | \$47.00          |
| SOLERA HLDGS INC                  | 83421A104 | 8                | 12/14/10            | 06/09/11  | \$460.47                         | \$411.36                               | \$49.11          |
| TECHNE CORP                       | 878377100 | 5                | 01/14/11            | 06/09/11  | \$399.09                         | \$333.57                               | \$65.52          |

IM NORTHROP GRUMMAN FDN

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALTERRA CAPITAL HLDGS LTD         | G0229R108 | 80               | 03/18/11            | 06/09/11  | \$1,748.77                       | \$1,729.14                             | \$19.63          |
| ALTERRA CAPITAL HLDGS LTD         | G0229R108 | 15               | 01/21/11            | 06/09/11  | \$327.89                         | \$319.20                               | \$8.69           |
| ASPEN INSURANCE HOLDINGS LMTD SHS | G05384105 | 20               | 04/13/11            | 06/09/11  | \$530.78                         | \$568.83                               | \$-38.05         |
| UMPQUA HLDGS CORP                 | 904214103 | 55               | 02/08/11            | 06/09/11  | \$619.28                         | \$639.39                               | \$-20.11         |
| WABTEC CORP                       | 929740108 | 10               | 01/21/11            | 06/09/11  | \$645.98                         | \$534.10                               | \$111.88         |
| ON SEMICONDUCTOR CORP             | 682189105 | 56               | 04/26/11            | 06/09/11  | \$597.50                         | \$566.35                               | \$31.15          |
| POTASH CORP SASK INC              | 73755L107 | 9                | 12/14/10            | 06/09/11  | \$499.04                         | \$412.20                               | \$86.84          |
| PRECISION CASTPARTS CORP          | 740189105 | 4                | 12/14/10            | 06/09/11  | \$604.14                         | \$562.00                               | \$42.14          |
| PRICE T ROWE GROUP INC            | 74144T108 | 7                | 12/14/10            | 06/09/11  | \$406.48                         | \$441.42                               | \$-34.94         |
| ROPER INDS INC NEW                | 776696106 | 9                | 12/14/10            | 06/09/11  | \$719.62                         | \$697.78                               | \$21.84          |
| RYANAIR HLDGS PLC                 | 783513104 | 14               | 12/14/10            | 06/09/11  | \$423.49                         | \$423.64                               | \$-0.15          |
| AERCAP HOLDINGS N V SHS           | N00985106 | 55               | 01/21/11            | 06/09/11  | \$690.78                         | \$783.75                               | \$-92.97         |
| DIANA SHIPPING INC                | Y2066G104 | 125              | 01/21/11            | 06/09/11  | \$1,352.47                       | \$1,495.00                             | \$-142.53        |
| CURTISS WRIGHT                    | 231561101 | 35               | 01/21/11            | 06/09/11  | \$1,126.27                       | \$1,216.17                             | \$-89.90         |
| RAYONIER INC                      | 754907103 | 30               | 12/15/10            | 06/09/11  | \$1,930.72                       | \$1,569.00                             | \$361.72         |
| GATX CORP                         | 361448103 | 40               | 01/21/11            | 06/09/11  | \$1,475.17                       | \$1,288.50                             | \$186.67         |
| GULFMARK OFFSHORE INC             | 402629208 | 10               | 03/30/11            | 06/09/11  | \$396.89                         | \$440.60                               | \$-43.71         |
| HANESBRANDS INC                   | 410345102 | 15               | 04/19/11            | 06/09/11  | \$410.24                         | \$431.44                               | \$-21.20         |
| HEALTH NET INC                    | 42222G108 | 20               | 01/21/11            | 06/09/11  | \$615.58                         | \$568.20                               | \$47.38          |
| DIEBOLD INC                       | 253651103 | 5                | 04/27/11            | 06/09/11  | \$153.55                         | \$175.05                               | \$-21.50         |
| EMDEON INC                        | 29084T104 | 35               | 01/21/11            | 06/09/11  | \$507.14                         | \$515.54                               | \$-8.40          |
| FOREST OIL CORP                   | 346091705 | 15               | 01/21/11            | 06/09/11  | \$413.54                         | \$556.80                               | \$-143.26        |
| BROADRIDGE FINL SOLUTIONS INC     | 11133T103 | 50               | 01/21/11            | 06/09/11  | \$1,119.47                       | \$1,139.50                             | \$-20.03         |
| QUEST DIAGNOSTICS INC             | 74834L100 | 3                | 04/05/11            | 06/09/11  | \$175.46                         | \$174.85                               | \$0.61           |
| QUEST DIAGNOSTICS INC             | 74834L100 | 9                | 01/31/11            | 06/09/11  | \$526.37                         | \$517.10                               | \$9.27           |
| CARTER INC                        | 146229109 | 40               | 04/07/11            | 06/09/11  | \$1,183.97                       | \$1,195.05                             | \$-11.08         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COMPLETE PRODTN SVCS INC             | 20453E109 | 15               | 02/09/11            | 06/09/11  | \$485 09                         | \$384 35                               | \$100 74         |
| CRANE CO                             | 224399105 | 25               | 01/21/11            | 06/09/11  | \$1,177 22                       | \$1,092 75                             | \$84 47          |
| KEY ENERGY GROUP INC                 | 492914106 | 34               | 01/21/11            | 06/09/11  | \$578 66                         | \$433 50                               | \$145 16         |
| KIRBY CORP                           | 497266106 | 15               | 01/21/11            | 06/09/11  | \$794 83                         | \$661 95                               | \$132 88         |
| LIFEPOINT HOSPITALS INC              | 53219L109 | 10               | 02/23/11            | 06/09/11  | \$397 09                         | \$361 44                               | \$35 65          |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 25               | 01/21/11            | 06/09/11  | \$917 23                         | \$629 25                               | \$287 98         |
| MICROSEMI CORP                       | 595137100 | 20               | 01/21/11            | 06/09/11  | \$410 39                         | \$465 09                               | \$-54 70         |
| JACK IN THE BOX INC                  | 466367109 | 80               | 01/21/11            | 06/09/11  | \$1,644 76                       | \$1,800 00                             | \$-155 24        |
| NOVARTIS AG SPONSORED ADR            | 66987V109 | 12               | 12/14/10            | 06/09/11  | \$740 62                         | \$669 60                               | \$71 02          |
| OCZ TECHNOLOGY GROUP INC             | 67086E303 | 72               | 04/21/11            | 06/09/11  | \$527 75                         | \$541 34                               | \$-13 59         |
| DANONE                               | 23636T100 | 51               | 12/14/10            | 06/09/11  | \$747 13                         | \$655 86                               | \$91 27          |
| FANUC LTD                            | 307305102 | 23               | 12/14/10            | 06/09/11  | \$591 08                         | \$580 75                               | \$10 33          |
| FOMENTO ECONOMICO MEXICANO S A DE    | 344419106 | 9                | 03/02/11            | 06/09/11  | \$571 39                         | \$508 64                               | \$62 75          |
| WORLEYPARSONS LTD                    | 98161Q101 | 20               | 05/16/11            | 06/09/11  | \$619 58                         | \$605 09                               | \$14 49          |
| NOVO-NORDISK A S ADR                 | 670100205 | 6                | 12/14/10            | 06/09/11  | \$746 32                         | \$655 14                               | \$91 18          |
| POLYPORE INTL INC                    | 73179V103 | 44               | 05/09/11            | 06/09/11  | \$2,663 16                       | \$2,969 71                             | \$-306 55        |
| RIVERBED TECHNOLOGY INC              | 768573107 | 8                | 12/15/10            | 06/09/11  | \$279 99                         | \$272 94                               | \$7 05           |
| RIVERBED TECHNOLOGY INC              | 768573107 | 71               | 11/23/10            | 06/09/11  | \$2,484 96                       | \$2,395 10                             | \$89 86          |
| GRUPO TELEvisa SA DE CV ADR          | 40049J206 | 67               | 12/14/10            | 06/09/11  | \$1,520 20                       | \$1,672 32                             | \$-152 12        |
| HUTCHISON WHAMPOA LTD ADR            | 448415208 | 15               | 12/14/10            | 06/09/11  | \$837 88                         | \$775 80                               | \$62 08          |
| INFOSYS TECHNOLOGIES LTD             | 456788108 | 10               | 12/14/10            | 06/09/11  | \$638 68                         | \$709 90                               | \$-71 22         |
| KEPPEL LTD SPONSORED ADR             | 492051305 | 36               | 12/14/10            | 06/09/11  | \$649 79                         | \$548 02                               | \$101 77         |
| KOMATSU LTD ADR (NEW)                | 500458401 | 22               | 12/14/10            | 06/09/11  | \$637 54                         | \$662 20                               | \$-24 66         |
| NESTLE S A ADR REG                   | 641069406 | 13               | 12/14/10            | 06/09/11  | \$821 71                         | \$759 85                               | \$61 86          |
| TAIWAN SEMICONDUCTOR MFG ADR         | 874039100 | 44               | 12/14/10            | 06/09/11  | \$597 94                         | \$523 98                               | \$73 96          |
| TEVA PHARMACEUTICAL INDS LTD         | 881624209 | 15               | 12/14/10            | 06/09/11  | \$746 83                         | \$794 81                               | \$-47 98         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| VERIFONE HLDGS INC               | 92342Y109 | 14               | 09/02/10            | 06/09/11  | \$603 83                         | \$349 69                               | \$254 14         |
| VERIFONE HLDGS INC               | 92342Y109 | 50               | 07/28/10            | 06/09/11  | \$2,156 55                       | \$1,092 03                             | \$1,064 52       |
| HERCULES OFFSHORE INC            | 427093109 | 463              | 01/07/11            | 06/09/11  | \$2,577 84                       | \$1,509 38                             | \$1,068 46       |
| CYMER INC                        | 232572107 | 60               | 12/21/10            | 06/09/11  | \$2,710 72                       | \$2,720 89                             | \$-10 17         |
| BROADSOFT INC                    | 11133B409 | 50               | 04/04/11            | 06/09/11  | \$1,935 61                       | \$2,376 01                             | \$-440 40        |
| BROADSOFT INC                    | 11133B409 | 26               | 04/21/11            | 06/09/11  | \$1,006 52                       | \$1,203 40                             | \$-196 88        |
| BROADSOFT INC                    | 11133B409 | 1                | 12/15/10            | 06/09/11  | \$38 71                          | \$22 58                                | \$16 13          |
| BROADSOFT INC                    | 11133B409 | 5                | 06/18/10            | 06/09/11  | \$193 56                         | \$42 02                                | \$151 54         |
| COEUR D ALENE MINES CORP IDAHO   | 192108504 | 2                | 03/18/11            | 06/09/11  | \$49 06                          | \$63 97                                | \$-14 91         |
| COEUR D ALENE MINES CORP IDAHO   | 192108504 | 39               | 05/09/11            | 06/09/11  | \$956 75                         | \$1,044 45                             | \$-87 70         |
| COEUR D ALENE MINES CORP IDAHO   | 192108504 | 118              | 02/07/11            | 06/09/11  | \$2,894 80                       | \$2,918 66                             | \$-23 86         |
| CENTRICA PLC SPONSORED ADR NEW   | 15639K300 | 30               | 12/14/10            | 06/09/11  | \$640 18                         | \$626 70                               | \$13 48          |
| COMPASS GROUP PLC SPONSORED ADR  | 20449X203 | 74               | 12/14/10            | 06/09/11  | \$731 10                         | \$676 36                               | \$54 74          |
| AMETEK AEROSPACE PRODS INC       | 031100100 | 12               | 12/14/10            | 06/09/11  | \$504 71                         | \$479 68                               | \$25 03          |
| AMPHENOL CORP NEW CL A           | 032095101 | 14               | 12/14/10            | 06/09/11  | \$723 92                         | \$747 32                               | \$-23 40         |
| APPLE COMPUTER INC               | 037833100 | 2                | 12/14/10            | 06/09/11  | \$664 10                         | \$643 04                               | \$21 06          |
| ATHENAHEALTH INC                 | 04685W103 | 7                | 12/14/10            | 06/09/11  | \$290 56                         | \$305 97                               | \$-15 41         |
| BALL CORP                        | 058498106 | 11               | 12/14/10            | 06/09/11  | \$427 23                         | \$380 32                               | \$46 91          |
| BLACKBOARD INC                   | 091935502 | 4                | 12/07/10            | 06/09/11  | \$162 75                         | \$171 12                               | \$-8 37          |
| INTRALINKS HLDGS INC             | 46118H104 | 114              | 11/01/10            | 06/09/11  | \$2,033 68                       | \$2,511 42                             | \$-477 74        |
| INTRALINKS HLDGS INC             | 46118H104 | 30               | 11/23/10            | 06/09/11  | \$535 18                         | \$639 84                               | \$-104 66        |
| INTRALINKS HLDGS INC             | 46118H104 | 97               | 12/15/10            | 06/09/11  | \$1,730 42                       | \$1,857 31                             | \$-126 89        |
| KENEXA CORP                      | 488879107 | 51               | 05/23/11            | 06/09/11  | \$1,342 29                       | \$1,630 82                             | \$-288 53        |
| BG PLC ADR FINAL INSTALLMENT NEW | 055434203 | 6                | 12/14/10            | 06/09/11  | \$676 30                         | \$628 56                               | \$47 74          |
| BAYER A G SPONSORED ADR          | 072730302 | 9                | 12/14/10            | 06/09/11  | \$732 40                         | \$689 31                               | \$43 09          |
| ENTROPIC COMMUNICATIONS INC      | 29384R105 | 121              | 02/07/11            | 06/09/11  | \$946 10                         | \$1,294 75                             | \$-348 65        |

IM NORTHROP GRUMMAN FDN

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ENTROPIC COMMUNICATIONS INC        | 29384R105 | 170              | 05/09/11            | 06/09/11  | \$1,329.24                       | \$1,593.60                             | \$-264.36        |
| ENTROPIC COMMUNICATIONS INC        | 29384R105 | 13               | 09/02/10            | 06/09/11  | \$101.65                         | \$104.60                               | \$-2.95          |
| ENTROPIC COMMUNICATIONS INC        | 29384R105 | 155              | 08/16/10            | 06/09/11  | \$1,211.95                       | \$1,074.15                             | \$137.80         |
| GARTNER GROUP INC NEW CL A         | 366651107 | 11               | 12/14/10            | 06/09/11  | \$403.80                         | \$360.69                               | \$43.11          |
| GEN-PROBE INC NEW                  | 36866T103 | 10               | 12/14/10            | 06/09/11  | \$726.88                         | \$562.20                               | \$164.68         |
| SUPERIOR ENERGY SVCS INC           | 868157108 | 40               | 05/13/11            | 06/09/11  | \$1,429.57                       | \$1,407.80                             | \$21.77          |
| IHS INC COM CL A                   | 451734107 | 11               | 12/14/10            | 06/09/11  | \$934.10                         | \$865.81                               | \$68.29          |
| KLA-TENCOR CORP                    | 482480100 | 15               | 12/14/10            | 06/09/11  | \$617.38                         | \$589.35                               | \$28.03          |
| KINDER MORGAN MGMT LLC SHS         | 49455U100 | 32               | 12/14/10            | 06/09/11  | \$2,060.12                       | \$2,002.34                             | \$57.78          |
| LPL INVT HLDGS INC                 | 50213H100 | 8                | 05/17/11            | 06/09/11  | \$286.31                         | \$273.69                               | \$12.62          |
| LAMAR ADVERTISING CO CL A          | 512815101 | 15               | 12/14/10            | 06/09/11  | \$411.29                         | \$574.35                               | \$-163.06        |
| OIL STS INTL INC                   | 678026105 | 10               | 01/21/11            | 06/09/11  | \$744.38                         | \$629.50                               | \$114.88         |
| PAR PHARMACEUTICAL COS INC         | 69888P106 | 45               | 01/21/11            | 06/09/11  | \$1,485.42                       | \$1,568.25                             | \$-82.83         |
| PENSKE AUTOMOTIVE GROUP INC        | 70959W103 | 95               | 01/21/11            | 06/09/11  | \$1,929.41                       | \$1,584.39                             | \$345.02         |
| PRIVATBANCORP INC                  | 742962103 | 30               | 01/21/11            | 06/09/11  | \$427.19                         | \$444.60                               | \$-17.41         |
| REINSURANCE GROUP AMER INC         | 759351604 | 10               | 01/21/11            | 06/09/11  | \$599.58                         | \$579.15                               | \$20.43          |
| COPART INC                         | 217204106 | 14               | 12/14/10            | 06/09/11  | \$622.70                         | \$494.76                               | \$127.94         |
| CROWN CASTLE INTL CORP             | 228227104 | 17               | 11/14/10            | 06/09/11  | \$685.25                         | \$787.79                               | \$-102.54        |
| CROWN CASTLE INTL CORP             | 228227104 | 9                | 11/21/10            | 06/09/11  | \$362.78                         | \$408.86                               | \$-46.08         |
| DRESSER-RAND GROUP INC             | 261608103 | 13               | 01/14/11            | 06/09/11  | \$672.86                         | \$578.64                               | \$94.22          |
| ISHARES TR MSCI EMERGING MKTS      | 464287234 | 2145             | 12/14/10            | 06/10/11  | \$100,062.53                     | \$101,008.05                           | \$-945.52        |
| HARBOR INTERNATIONAL FUND          | 411511306 | 1598.72          | 11/08/10            | 06/10/11  | \$100,000.00                     | \$98,225.42                            | \$1,774.58       |
| ISHARES TR IBOX \$ HIGH YIELD CORP | 464288513 | 55               | 05/26/11            | 06/10/11  | \$4,935.09                       | \$5,055.33                             | \$-120.24        |
| ISHARES TR IBOX \$ HIGH YIELD CORP | 464288513 | 155              | 04/27/11            | 06/10/11  | \$13,907.97                      | \$14,350.68                            | \$-442.71        |
| MINDSPEED TECHNOLOGIES INC         | 602682205 | 72               | 04/04/11            | 06/13/11  | \$535.84                         | \$586.70                               | \$-50.86         |
| MOMENTA PHARMACEUTICALS INC        | 60877T100 | 22               | 10/28/10            | 06/13/11  | \$401.98                         | \$363.61                               | \$38.37          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| NANOMETRICS INC                   | 630077105 | 28               | 02/07/11            | 06/13/11  | \$462.06                         | \$524.44                               | \$-62.38         |
| NETSCOUT SYS INC                  | 64115T104 | 18               | 12/15/10            | 06/13/11  | \$358.77                         | \$411.80                               | \$-53.03         |
| NETSPEND HLDGS INC                | 64118V106 | 27               | 12/10/10            | 06/13/11  | \$207.96                         | \$405.10                               | \$-197.14        |
| NETSPEND HLDGS INC                | 64118V106 | 21               | 12/15/10            | 06/13/11  | \$161.75                         | \$298.78                               | \$-137.03        |
| NUVASIVE INC                      | 670704105 | 26               | 07/28/10            | 06/13/11  | \$873.90                         | \$860.95                               | \$12.95          |
| OCZ TECHNOLOGY GROUP INC          | 67086E303 | 60               | 04/21/11            | 06/13/11  | \$453.14                         | \$451.12                               | \$2.02           |
| DANONE                            | 23636T100 | 110              | 12/14/10            | 06/13/11  | \$1,578.46                       | \$1,414.60                             | \$163.86         |
| DENSO CORP ADR                    | 24872B100 | 110              | 06/01/11            | 06/13/11  | \$1,904.06                       | \$1,974.86                             | \$-70.80         |
| ENCANA CORP                       | 292505104 | 24               | 12/14/10            | 06/13/11  | \$765.58                         | \$684.60                               | \$80.98          |
| ERICSSON L M TEL CO ADR CL B      | 294821608 | 110              | 01/26/11            | 06/13/11  | \$1,512.47                       | \$1,377.73                             | \$134.74         |
| FANUC LTD                         | 307305102 | 70               | 12/14/10            | 06/13/11  | \$1,766.06                       | \$1,767.50                             | \$-1.44          |
| FOMENTO ECONOMICO MEXICANO S A DE | 344419106 | 20               | 03/02/11            | 06/13/11  | \$1,255.17                       | \$1,130.32                             | \$124.85         |
| FRESENIUS MED CARE SPONSORED ADR  | 358029106 | 20               | 12/14/10            | 06/13/11  | \$1,444.37                       | \$1,188.72                             | \$255.65         |
| GAZPROM O A O SPONSORED ADR REG S | 368287207 | 110              | 12/14/10            | 06/13/11  | \$1,645.56                       | \$1,423.40                             | \$222.16         |
| GROUPE CGI INC CL A SUB VTG       | 39945C109 | 50               | 04/29/11            | 06/13/11  | \$1,125.97                       | \$1,096.17                             | \$29.80          |
| STILLWATER MNG CO                 | 86074Q102 | 47               | 12/21/10            | 06/13/11  | \$890.28                         | \$987.49                               | \$-97.21         |
| SUCCESSFACTORS INC                | 864596101 | 7                | 03/18/11            | 06/13/11  | \$211.06                         | \$243.79                               | \$-32.73         |
| SUCCESSFACTORS INC                | 864596101 | 50               | 05/09/11            | 06/13/11  | \$1,507.59                       | \$1,683.83                             | \$-176.24        |
| WORLEYPARSONS LTD                 | 98161Q101 | 50               | 05/16/11            | 06/13/11  | \$1,526.47                       | \$1,512.72                             | \$13.75          |
| AT&T INC                          | 00206R102 | 55               | 11/03/10            | 06/13/11  | \$1,693.55                       | \$1,609.07                             | \$84.48          |
| AIR PRODUCTS & CHEMICALS INC      | 009158106 | 16               | 12/14/10            | 06/13/11  | \$1,479.01                       | \$1,401.92                             | \$77.09          |
| ALPHA NAT RES INC                 | 02076X102 | 25               | 05/02/11            | 06/13/11  | \$1,091.47                       | \$1,459.96                             | \$-368.49        |
| AMERICAN EXPRESS CO               | 025816109 | 51               | 04/08/11            | 06/13/11  | \$2,460.19                       | \$2,363.46                             | \$96.73          |
| APACHE CORP                       | 037411105 | 28               | 12/14/10            | 06/13/11  | \$3,258.85                       | \$3,248.07                             | \$10.78          |
| NOVARTIS AG SPONSORED ADR         | 66987V109 | 30               | 12/14/10            | 06/13/11  | \$1,836.86                       | \$1,674.00                             | \$162.86         |
| NOVO-NORDISK A S ADR              | 670100205 | 10               | 12/14/10            | 06/13/11  | \$1,222.27                       | \$1,091.90                             | \$130.37         |

IM NORTHROP GRUMMAN FDN

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|---------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PORTFOLIO RECOVERY ASSOCS INC         | 73640Q105 | 10               | 03/18/11            | 06/13/11  | \$783 60                         | \$809 13                               | \$-25 53         |
| REALPAGE INC                          | 75606N109 | 11               | 12/16/10            | 06/13/11  | \$272 93                         | \$349 83                               | \$-76 90         |
| REALPAGE INC                          | 75606N109 | 18               | 12/21/10            | 06/13/11  | \$446 62                         | \$598 31                               | \$-151 69        |
| REALPAGE INC                          | 75606N109 | 12               | 12/15/10            | 06/13/11  | \$297 74                         | \$350 01                               | \$-52 27         |
| REGAL BELOIT CORP                     | 758750103 | 11               | 05/23/11            | 06/13/11  | \$716 00                         | \$752 44                               | \$-36 44         |
| RESOLUTE ENERGY CORP                  | 76116A108 | 6                | 12/15/10            | 06/13/11  | \$97 21                          | \$85 33                                | \$11 88          |
| RIGEL PHARMACEUTICALS INC NEW         | 766559603 | 49               | 04/29/11            | 06/13/11  | \$390 15                         | \$447 37                               | \$-57 22         |
| RIGEL PHARMACEUTICALS INC NEW         | 766559603 | 4                | 05/02/11            | 06/13/11  | \$31 85                          | \$36 47                                | \$-4 62          |
| RIGHTNOW TECHNOLOGIES INC             | 76657R106 | 23               | 06/09/11            | 06/13/11  | \$673 94                         | \$703 85                               | \$-29 91         |
| ROADRUNNER TRANSN SVCS HLDGS          | 76973Q105 | 36               | 05/09/11            | 06/13/11  | \$485 36                         | \$538 02                               | \$-52 66         |
| ROBBINS & MYERS INC                   | 770196103 | 24               | 05/09/11            | 06/13/11  | \$991 96                         | \$1,030 64                             | \$-38 68         |
| ROBBINS & MYERS INC                   | 770196103 | 1                | 12/15/10            | 06/13/11  | \$41 33                          | \$35 06                                | \$6 27           |
| SALIX PHARMACEUTICALS LTD             | 795435106 | 11               | 12/10/10            | 06/13/11  | \$395 80                         | \$506 45                               | \$-110 65        |
| SALIX PHARMACEUTICALS LTD             | 795435106 | 15               | 12/15/10            | 06/13/11  | \$539 72                         | \$689 81                               | \$-150 09        |
| SEMTECH CORP                          | 816850101 | 12               | 01/13/11            | 06/13/11  | \$303 74                         | \$325 69                               | \$-21 95         |
| SEMTECH CORP                          | 816850101 | 14               | 04/21/11            | 06/13/11  | \$354 36                         | \$377 51                               | \$-23 15         |
| PETROLEO BRASILEIRO SA PETROBRAS      | 71654V408 | 30               | 12/14/10            | 06/13/11  | \$991 78                         | \$1,027 20                             | \$-35 42         |
| PUBLICIS S A NEW SPONSORED ADR        | 74463M106 | 40               | 12/14/10            | 06/13/11  | \$1,103 97                       | \$1,014 00                             | \$89 97          |
| ROYAL DUTCH SHELL PLC SPONSORED       | 780259107 | 20               | 12/14/10            | 06/13/11  | \$1,393 57                       | \$1,320 40                             | \$73 17          |
| SAP AKTIENGESSELLSCHAFT SPONSORED ADR | 803054204 | 30               | 10/27/10            | 06/13/11  | \$1,803 86                       | \$1,550 05                             | \$253 81         |
| SMITH & NEPHEW P L C SPONSORED ADR    | 83175M205 | 20               | 05/05/11            | 06/13/11  | \$1,063 17                       | \$1,121 60                             | \$-58 43         |
| SWEDBANK A B SPONSORED ADR            | 870195104 | 60               | 03/07/11            | 06/13/11  | \$965 98                         | \$1,039 07                             | \$-73 09         |
| SYNGENTA AG SPONSORED ADR             | 87160A100 | 20               | 12/14/10            | 06/13/11  | \$1,335 77                       | \$1,167 00                             | \$168 77         |
| GRUPO TELEVISIA SA DE CV ADR          | 400491206 | 3                | 12/14/10            | 06/13/11  | \$67 17                          | \$74 88                                | \$-7 71          |
| HUTCHISON WHAMPOA LTD ADR             | 448415208 | 30               | 12/14/10            | 06/13/11  | \$1,668 56                       | \$1,551 60                             | \$116 96         |
| INDUSTRIAL & COML BK CHINA            | 455807107 | 120              | 12/14/10            | 06/13/11  | \$1,803 56                       | \$1,878 72                             | \$-75 16         |

### Short term transactions

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| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| OMNIVISION TECHNOLOGIES INC       | 682128103 | 15               | 06/18/10            | 06/13/11  | \$465 63                         | \$347 29                               | \$118 34         |
| OMNICELL INC                      | 68213N109 | 56               | 12/15/10            | 06/13/11  | \$745 48                         | \$796 15                               | \$-50 67         |
| ONYX PHARMACEUTICALS INC DEL      | 683399109 | 9                | 12/15/10            | 06/13/11  | \$326 89                         | \$313 18                               | \$13 71          |
| PARAMETRIC TECHNOLOGY CORP NEW    | 699173209 | 33               | 02/07/11            | 06/13/11  | \$702 96                         | \$765 61                               | \$-62 65         |
| POLARIS INDUSTRIES INC NEW        | 731068102 | 1                | 06/09/11            | 06/13/11  | \$98 63                          | \$102 69                               | \$-4 06          |
| INFOSYS TECHNOLOGIES LTD          | 456788108 | 20               | 12/14/10            | 06/13/11  | \$1,270 17                       | \$1,419 80                             | \$-149 63        |
| JULIUS BAER GROUP LTD             | 48137C108 | 210              | 12/14/10            | 06/13/11  | \$1,726 16                       | \$1,921 50                             | \$-195 34        |
| KEPPEL LTD SPONSORED ADR          | 492051305 | 80               | 12/14/10            | 06/13/11  | \$1,423 17                       | \$1,217 82                             | \$205 35         |
| KINGFISHER PLC SPONSORED ADR PAR  | 495724403 | 210              | 12/14/10            | 06/13/11  | \$1,826 96                       | \$1,677 90                             | \$149 06         |
| KOMATSU LTD ADR (NEW)             | 500458401 | 50               | 12/14/10            | 06/13/11  | \$1,418 97                       | \$1,505 00                             | \$-86 03         |
| KONINKLUKE AHOLD NV SPONSORED ADR | 500467402 | 90               | 12/14/10            | 06/13/11  | \$1,199 67                       | \$1,154 70                             | \$44 97          |
| NESTLE S A ADR REG                | 641069406 | 17               | 12/14/10            | 06/13/11  | \$1,069 28                       | \$993 65                               | \$75 63          |
| ARIAD PHARMACEUTICALS INC         | 04033A100 | 51               | 04/21/11            | 06/13/11  | \$491 76                         | \$425 58                               | \$66 18          |
| ARIBA INC NEW                     | 04033V203 | 28               | 04/04/11            | 06/13/11  | \$840 61                         | \$967 88                               | \$-127 27        |
| ARUBA NETWORKS INC                | 043176106 | 35               | 06/09/11            | 06/13/11  | \$861 07                         | \$926 10                               | \$-65 03         |
| ARUBA NETWORKS INC                | 043176106 | 7                | 12/15/10            | 06/13/11  | \$172 21                         | \$156 99                               | \$15 22          |
| ATLAS AIR WORLDWIDE HLDGS INC NEW | 049164205 | 12               | 05/09/11            | 06/13/11  | \$688 81                         | \$758 50                               | \$-69 69         |
| SODASTREAM INTERNATIONAL LTD      | M9068E105 | 14               | 01/14/11            | 06/13/11  | \$790 03                         | \$516 45                               | \$273 58         |
| ALLIANZ GLOBAL INVS MANAGED       | 01882B205 | 1610             | 12/16/10            | 06/13/11  | \$21,284 20                      | \$21,396 90                            | \$-112 70        |
| AUXILIUM PHARMACEUTICALS INC      | 05334D107 | 15               | 07/12/10            | 06/13/11  | \$309 18                         | \$339 56                               | \$-30 38         |
| TAIWAN SEMICONDUCTOR MFG ADR      | 874039100 | 150              | 12/14/10            | 06/13/11  | \$1,945 46                       | \$1,786 30                             | \$159 16         |
| TALISMAN ENERGY INC               | 87425E103 | 30               | 12/14/10            | 06/13/11  | \$577 78                         | \$613 50                               | \$-35 72         |
| TEVA PHARMACEUTICAL INDS LTD      | 881624209 | 40               | 12/14/10            | 06/13/11  | \$1,983 16                       | \$2,119 49                             | \$-136 33        |
| TRANSCANADA CORP                  | 89353D107 | 50               | 12/14/10            | 06/13/11  | \$2,130 45                       | \$1,889 50                             | \$240 95         |
| UNILEVER N V                      | 904784709 | 20               | 01/13/11            | 06/13/11  | \$639 08                         | \$698 82                               | \$-59 74         |
| UNILEVER N V                      | 904784709 | 30               | 04/15/11            | 06/13/11  | \$958 63                         | \$978 01                               | \$-19 38         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| VOLVO AKTIEBOLAGET ADR B             | 928856400 | 90               | 04/27/11            | 06/13/11  | \$1,437.27                       | \$1,725.87                             | \$-288.60        |
| ACME PACKET INC                      | 004764106 | 6                | 12/21/10            | 06/13/11  | \$393.66                         | \$339.04                               | \$54.62          |
| ADVISORY BRD CO                      | 00762W107 | 14               | 12/15/10            | 06/13/11  | \$669.49                         | \$678.97                               | \$-9.48          |
| ALEXION PHARMACEUTICALS INC          | 015351109 | 10               | 12/15/10            | 06/13/11  | \$457.41                         | \$395.64                               | \$61.77          |
| ALIGN TECHNOLOGY INC                 | 016255101 | 54               | 05/23/11            | 06/13/11  | \$1,270.73                       | \$1,262.46                             | \$8.27           |
| ALIGN TECHNOLOGY INC                 | 016255101 | 6                | 02/02/11            | 06/13/11  | \$141.19                         | \$130.26                               | \$10.93          |
| ALKERMES INC                         | 01642T108 | 38               | 03/18/11            | 06/13/11  | \$649.11                         | \$471.59                               | \$177.52         |
| EXXON MOBIL CORP                     | 30231G102 | 53               | 12/14/10            | 06/13/11  | \$4,206.52                       | \$3,817.06                             | \$389.46         |
| FREEMPORT-MCMORAN COPPER & GOLD CL B | 35671D857 | 57               | 12/14/10            | 06/13/11  | \$2,762.73                       | \$3,262.97                             | \$-500.24        |
| HSBC HLDGS PLC SPONSORED ADR NEW     | 404280406 | 35               | 04/08/11            | 06/13/11  | \$1,764.66                       | \$1,903.56                             | \$-138.90        |
| HALLIBURTON CO                       | 406216101 | 29               | 04/08/11            | 06/13/11  | \$1,353.40                       | \$1,420.28                             | \$-66.88         |
| HESS CORP                            | 42809H107 | 51               | 12/14/10            | 06/13/11  | \$3,665.29                       | \$3,776.55                             | \$-111.26        |
| TIBCO SOFTWARE INC                   | 88632Q103 | 46               | 09/02/10            | 06/13/11  | \$1,151.46                       | \$675.85                               | \$475.61         |
| TIVO INC                             | 888706108 | 78               | 06/09/11            | 06/13/11  | \$777.05                         | \$801.22                               | \$-24.17         |
| TRINITY INDUSTRIES INC               | 896522109 | 19               | 03/18/11            | 06/13/11  | \$578.39                         | \$614.46                               | \$-36.07         |
| UTL HLDG CORP                        | 902748102 | 21               | 05/09/11            | 06/13/11  | \$662.16                         | \$696.72                               | \$-34.56         |
| UNIVERSAL DISPLAY CORP               | 91347P105 | 5                | 12/15/10            | 06/13/11  | \$173.41                         | \$139.59                               | \$33.82          |
| UNIVERSAL DISPLAY CORP               | 91347P105 | 6                | 11/23/10            | 06/13/11  | \$208.09                         | \$145.96                               | \$62.13          |
| VERIFONE HLDGS INC                   | 92342Y109 | 14               | 07/28/10            | 06/13/11  | \$588.01                         | \$305.77                               | \$282.24         |
| VITAMIN SHOPPE INC                   | 92849E101 | 40               | 12/21/10            | 06/13/11  | \$1,681.26                       | \$1,343.30                             | \$337.96         |
| BASF AG SPONSORED ADR                | 055262505 | 16               | 04/08/11            | 06/13/11  | \$1,454.53                       | \$1,478.70                             | \$-24.17         |
| BHP LTD SPONSORED ADR                | 088606108 | 30               | 12/14/10            | 06/13/11  | \$2,701.74                       | \$2,721.00                             | \$-19.26         |
| BOEING CO                            | 097023105 | 22               | 03/01/11            | 06/13/11  | \$1,605.74                       | \$1,557.53                             | \$48.21          |
| TAL INTL GROUP INC                   | 874083108 | 24               | 12/15/10            | 06/13/11  | \$711.40                         | \$722.96                               | \$-11.56         |
| TEMPUR PEDIC INTL INC                | 88023U101 | 30               | 12/15/10            | 06/13/11  | \$1,730.13                       | \$1,074.23                             | \$655.90         |
| TEMPUR PEDIC INTL INC                | 88023U101 | 8                | 06/18/10            | 06/13/11  | \$461.37                         | \$280.50                               | \$180.87         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TENNANT CO                          | 880345103 | 11               | 05/09/11            | 06/13/11  | \$372 03                         | \$435 14                               | \$-63 11         |
| TENNANT CO                          | 880345103 | 5                | 12/15/10            | 06/13/11  | \$169 11                         | \$191 14                               | \$-22 03         |
| TENNECO AUTOMOTIVE INC              | 880349105 | 20               | 12/15/10            | 06/13/11  | \$749 23                         | \$812 35                               | \$-63 12         |
| TETRA TECH INC NEW                  | 88162G103 | 32               | 12/15/10            | 06/13/11  | \$697 34                         | \$809 54                               | \$-112 20        |
| CARNIVAL CORP PAIRED CTF I COM      | 143658300 | 39               | 12/14/10            | 06/13/11  | \$1,372 87                       | \$1,670 76                             | \$-297 89        |
| CITIGROUP INC                       | 172967424 | 87               | 02/18/11            | 06/13/11  | \$3,423 38                       | \$4,271 70                             | \$-848 32        |
| COMCAST CORP NEW CL A               | 20030N101 | 46               | 05/02/11            | 06/13/11  | \$1,091 55                       | \$1,231 88                             | \$-140 33        |
| CONOCOPHILLIPS                      | 20825C104 | 63               | 12/14/10            | 06/13/11  | \$4,469 76                       | \$4,127 13                             | \$342 63         |
| SHUTTERFLY INC                      | 82568P304 | 12               | 03/18/11            | 06/13/11  | \$588 38                         | \$527 54                               | \$60 84          |
| SHUTTERFLY INC                      | 82568P304 | 4                | 12/15/10            | 06/13/11  | \$196 12                         | \$136 11                               | \$60 01          |
| SIGNATURE BK NEW YORK N Y           | 82669G104 | 24               | 12/15/10            | 06/13/11  | \$1,288 35                       | \$1,141 62                             | \$146 73         |
| SOLUTIA INC NEW                     | 834376501 | 46               | 05/23/11            | 06/13/11  | \$1,013 47                       | \$1,090 50                             | \$-77 03         |
| SOLUTIA INC NEW                     | 834376501 | 11               | 12/15/10            | 06/13/11  | \$242 35                         | \$252 64                               | \$-10 29         |
| STERLING CONSTR INC                 | 859241101 | 32               | 12/15/10            | 06/13/11  | \$393 99                         | \$432 88                               | \$-38 89         |
| MONEY MARKET - STIFEL NICOLAUS 1099 | 860630102 | 14               | 05/09/11            | 06/13/11  | \$501 36                         | \$613 27                               | \$-111 91        |
| FORTINET INC                        | 34959E109 | 6                | 01/14/11            | 06/13/11  | \$136 69                         | \$107 77                               | \$28 92          |
| GENESSEE & WYO INC CL A             | 371559105 | 11               | 12/15/10            | 06/13/11  | \$588 62                         | \$555 14                               | \$33 48          |
| GREENHILL & CO INC                  | 395259104 | 12               | 05/09/11            | 06/13/11  | \$611 41                         | \$691 16                               | \$-79 75         |
| HMS HLDGS CORP COM                  | 40425J101 | 13               | 05/09/11            | 06/13/11  | \$960 32                         | \$1,011 93                             | \$-51 61         |
| HMS HLDGS CORP COM                  | 40425J101 | 4                | 03/18/11            | 06/13/11  | \$295 48                         | \$310 62                               | \$-15 14         |
| HALOZYME THERAPEUTICS INC           | 40637H109 | 47               | 12/15/10            | 06/13/11  | \$294 10                         | \$359 43                               | \$-65 33         |
| HEXCEL CORP NEW                     | 428291108 | 41               | 01/14/11            | 06/13/11  | \$792 61                         | \$780 69                               | \$11 92          |
| HEXCEL CORP NEW                     | 428291108 | 9                | 12/21/10            | 06/13/11  | \$173 99                         | \$164 31                               | \$9 68           |
| CONCUR TECHNOLOGIES INC             | 206708109 | 16               | 12/15/10            | 06/13/11  | \$740 18                         | \$849 08                               | \$-108 90        |
| COOPER TIRE & RUBBER CO             | 216831107 | 25               | 06/18/10            | 06/13/11  | \$487 30                         | \$517 33                               | \$-30 03         |
| CORPORATE EXECUTIVE BRD CO          | 21988R102 | 15               | 03/18/11            | 06/13/11  | \$587 12                         | \$564 93                               | \$22 19          |

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### Short term transactions

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| DTS INC                             | 23335C101 | 28               | 12/15/10            | 06/13/11  | \$1,163.16                       | \$1,365.05                             | \$-201.89        |
| DECKERS OUTDOOR CORP                | 243537107 | 3                | 02/07/11            | 06/13/11  | \$233.81                         | \$248.11                               | \$-14.30         |
| DECKERS OUTDOOR CORP                | 243537107 | 9                | 12/15/10            | 06/13/11  | \$701.42                         | \$736.18                               | \$-34.76         |
| DEXCOM INC                          | 252131107 | 29               | 12/15/10            | 06/13/11  | \$383.73                         | \$372.87                               | \$10.86          |
| DIAMOND FOODS INC                   | 252603105 | 22               | 06/09/11            | 06/13/11  | \$1,553.44                       | \$1,559.91                             | \$-6.47          |
| DOLLAR FINL CORP                    | 256664103 | 47               | 05/09/11            | 06/13/11  | \$1,004.96                       | \$1,057.54                             | \$-52.58         |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 13               | 04/04/11            | 06/13/11  | \$1,038.58                       | \$866.94                               | \$171.64         |
| DRIL-QUIP INC                       | 262037104 | 5                | 12/27/10            | 06/13/11  | \$322.25                         | \$409.27                               | \$-87.02         |
| DRIL-QUIP INC                       | 262037104 | 4                | 04/04/11            | 06/13/11  | \$257.80                         | \$317.14                               | \$-59.34         |
| ELIZABETH ARDEN INC                 | 28660G106 | 5                | 04/19/11            | 06/13/11  | \$135.71                         | \$159.32                               | \$-23.61         |
| ELIZABETH ARDEN INC                 | 28660G106 | 25               | 04/21/11            | 06/13/11  | \$678.55                         | \$780.77                               | \$-102.22        |
| ELIZABETH ARDEN INC                 | 28660G106 | 2                | 03/16/11            | 06/13/11  | \$54.28                          | \$56.74                                | \$-2.46          |
| ELIZABETH ARDEN INC                 | 28660G106 | 1                | 03/16/11            | 06/13/11  | \$27.14                          | \$28.18                                | \$-1.04          |
| ELIZABETH ARDEN INC                 | 28660G106 | 3                | 03/16/11            | 06/13/11  | \$81.43                          | \$84.47                                | \$-3.04          |
| ELIZABETH ARDEN INC                 | 28660G106 | 1                | 12/15/10            | 06/13/11  | \$27.14                          | \$22.99                                | \$4.15           |
| BPZ ENERGY INC                      | 055639108 | 54               | 03/18/11            | 06/13/11  | \$163.20                         | \$290.63                               | \$-127.43        |
| BJS RESTAURANTS INC                 | 09180C106 | 2                | 12/15/10            | 06/13/11  | \$92.08                          | \$73.67                                | \$18.41          |
| BJS RESTAURANTS INC                 | 09180C106 | 16               | 06/18/10            | 06/13/11  | \$736.66                         | \$411.45                               | \$325.21         |
| BODY CENT ACQUISITION CORP          | 09689U102 | 5                | 12/15/10            | 06/13/11  | \$100.31                         | \$72.49                                | \$27.82          |
| BODY CENT ACQUISITION CORP          | 09689U102 | 19               | 04/04/11            | 06/13/11  | \$381.18                         | \$458.53                               | \$-77.35         |
| BROADSOFT INC                       | 11133B409 | 12               | 06/18/10            | 06/13/11  | \$440.78                         | \$100.85                               | \$339.93         |
| BROOKDALE SR LIVING INC             | 112463104 | 45               | 04/21/11            | 06/13/11  | \$1,017.54                       | \$1,217.88                             | \$-200.34        |
| CARRIZO OIL & GAS INC               | 144577103 | 12               | 12/21/10            | 06/13/11  | \$411.98                         | \$372.98                               | \$39.00          |
| CATALYST HEALTH SOLUTIONS INC       | 14888B103 | 19               | 04/21/11            | 06/13/11  | \$1,003.03                       | \$1,085.98                             | \$-82.95         |
| CENTER FINL CORP CALIF              | 15146E102 | 82               | 12/15/10            | 06/13/11  | \$456.93                         | \$582.72                               | \$-125.79        |
| CINEMARK HLDGS INC                  | 17243V102 | 17               | 11/23/10            | 06/13/11  | \$319.56                         | \$303.14                               | \$16.42          |

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CINEMARK HLDGS INC                 | 17243V102 | 6                | 02/07/11            | 06/13/11  | \$112.78                         | \$106.36                               | \$6.42           |
| CLEAN ENERGY FUELS CORP            | 184499101 | 40               | 06/09/11            | 06/13/11  | \$499.69                         | \$522.97                               | \$-23.28         |
| COINSTAR INC                       | 19259P300 | 15               | 03/18/11            | 06/13/11  | \$713.27                         | \$665.86                               | \$47.41          |
| COLUMBUS MCKINNON CORP             | 199333105 | 25               | 12/15/10            | 06/13/11  | \$426.06                         | \$491.69                               | \$-65.63         |
| COMMVAULT SYS INC                  | 204166102 | 21               | 04/04/11            | 06/13/11  | \$800.13                         | \$830.54                               | \$-30.41         |
| COMPLETE PRODTN SVCS INC           | 20453E109 | 28               | 12/15/10            | 06/13/11  | \$871.41                         | \$806.61                               | \$64.80          |
| WORLD FUEL SVCS CORP               | 981475106 | 10               | 12/20/10            | 06/13/11  | \$331.91                         | \$394.96                               | \$-63.05         |
| WORLD FUEL SVCS CORP               | 981475106 | 10               | 05/11/11            | 06/13/11  | \$331.92                         | \$353.77                               | \$-21.85         |
| WORLD FUEL SVCS CORP               | 981475106 | 2                | 12/15/10            | 06/13/11  | \$66.38                          | \$64.04                                | \$2.34           |
| WRIGHT EXPRESS CORP                | 98233Q105 | 17               | 04/04/11            | 06/13/11  | \$811.77                         | \$909.50                               | \$-97.73         |
| ENERGY XXI (BERMUDA) LTD           | G10082140 | 15               | 03/18/11            | 06/13/11  | \$442.07                         | \$469.96                               | \$-27.89         |
| ENERGY XXI (BERMUDA) LTD           | G10082140 | 5                | 12/15/10            | 06/13/11  | \$147.36                         | \$129.49                               | \$17.87          |
| AMARIN CORP PLC                    | 023111206 | 61               | 02/07/11            | 06/13/11  | \$929.16                         | \$528.68                               | \$400.48         |
| ARDEA BIOSCIENCES INC              | 03969P107 | 22               | 12/15/10            | 06/13/11  | \$511.32                         | \$549.73                               | \$-38.41         |
| CAP GEMINI S A                     | 139098107 | 14               | 02/23/11            | 06/13/11  | \$374.77                         | \$398.28                               | \$-23.51         |
| CAP GEMINI S A                     | 139098107 | 56               | 02/23/11            | 06/13/11  | \$1,499.09                       | \$1,589.00                             | \$-89.91         |
| CENOVUS ENERGY INC                 | 15135U109 | 30               | 12/14/10            | 06/13/11  | \$1,022.68                       | \$933.90                               | \$88.78          |
| CENTRICA PLC SPONSORED ADR NEW     | 15639K300 | 60               | 12/14/10            | 06/13/11  | \$1,261.77                       | \$1,253.40                             | \$8.37           |
| CHEUNG KONG HLDGS LTD ADR          | 166744201 | 130              | 12/14/10            | 06/13/11  | \$1,908.36                       | \$1,948.70                             | \$-40.34         |
| COCA COLA AMATIL LTD SPONSORED ADR | 191085208 | 60               | 12/14/10            | 06/13/11  | \$1,460.37                       | \$1,326.60                             | \$133.77         |
| COMPASS GROUP PLC SPONSORED ADR    | 20449X203 | 210              | 12/14/10            | 06/13/11  | \$2,039.06                       | \$1,919.40                             | \$119.66         |
| ACCENTURE PLC                      | G1151C101 | 18               | 12/14/10            | 06/13/11  | \$1,008.34                       | \$835.83                               | \$172.51         |
| ACE LTD                            | H0023R105 | 25               | 05/11/11            | 06/13/11  | \$1,646.77                       | \$1,694.94                             | \$-48.17         |
| AMETEK AEROSPACE PRODS INC         | 031100100 | 40               | 12/14/10            | 06/13/11  | \$1,655.56                       | \$1,598.93                             | \$56.63          |
| AMPHENOL CORP NEW CL A             | 032095101 | 46               | 12/14/10            | 06/13/11  | \$2,333.99                       | \$2,455.48                             | \$-121.49        |
| APPLE COMPUTER INC                 | 037833100 | 6                | 12/14/10            | 06/13/11  | \$1,964.72                       | \$1,929.12                             | \$35.60          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ATHENAHEALTH INC                   | 04685W103 | 24               | 12/14/10            | 06/13/11  | \$938.86                         | \$1,049.04                             | \$-110.18        |
| BALL CORP                          | 058498106 | 32               | 12/14/10            | 06/13/11  | \$1,219.49                       | \$1,106.40                             | \$113.09         |
| BLACKBOARD INC                     | 091935502 | 13               | 12/07/10            | 06/13/11  | \$515.44                         | \$556.15                               | \$-40.71         |
| IRONWOOD PHARMACEUTICALS INC       | 46333X108 | 31               | 06/09/11            | 06/13/11  | \$467.24                         | \$463.93                               | \$3.31           |
| KENEXA CORP                        | 488879107 | 8                | 05/23/11            | 06/13/11  | \$206.10                         | \$255.81                               | \$-49.71         |
| KENEXA CORP                        | 488879107 | 23               | 12/21/10            | 06/13/11  | \$592.52                         | \$504.94                               | \$87.58          |
| KNIGHT TRANSN INC                  | 499064103 | 36               | 05/09/11            | 06/13/11  | \$578.23                         | \$639.85                               | \$-61.62         |
| ABB LTD SPONSORED ADR              | 000375204 | 70               | 04/26/11            | 06/13/11  | \$1,758.54                       | \$1,791.92                             | \$-33.38         |
| ADIDAS AG SPONSORED ADR            | 00687A107 | 60               | 12/14/10            | 06/13/11  | \$2,147.35                       | \$2,043.60                             | \$103.75         |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 3                | 12/14/10            | 06/13/11  | \$146.07                         | \$171.78                               | \$-25.71         |
| BG PLC ADR FINAL INSTALLMENT NEW   | 055434203 | 10               | 12/14/10            | 06/13/11  | \$1,098.87                       | \$1,047.60                             | \$51.27          |
| BANCO BRADESCO S A SPONSORED ADR   | 059460303 | 110              | 12/14/10            | 06/13/11  | \$2,146.05                       | \$2,175.80                             | \$-29.75         |
| BAYER A G SPONSORED ADR            | 072730302 | 10               | 12/14/10            | 06/13/11  | \$800.68                         | \$765.90                               | \$34.78          |
| BAYERISCHE MOTOREN WERKE A G       | 072743206 | 10               | 12/14/10            | 06/13/11  | \$298.49                         | \$279.50                               | \$18.99          |
| LMI AEROSPACE INC                  | 502079106 | 46               | 08/16/10            | 06/13/11  | \$987.71                         | \$743.15                               | \$244.56         |
| LINDSAY MFG CO                     | 535555106 | 5                | 03/18/11            | 06/13/11  | \$294.21                         | \$358.39                               | \$-64.18         |
| LOGMEIN INC                        | 54142L109 | 8                | 09/27/10            | 06/13/11  | \$301.13                         | \$293.04                               | \$8.09           |
| LOGMEIN INC                        | 54142L109 | 6                | 03/18/11            | 06/13/11  | \$225.84                         | \$215.92                               | \$9.92           |
| MAP PHARMACEUTICALS INC            | 56509R108 | 21               | 12/15/10            | 06/13/11  | \$317.14                         | \$312.43                               | \$4.71           |
| MERITOR INC                        | 59001K100 | 3                | 01/14/11            | 06/13/11  | \$42.97                          | \$65.93                                | \$-22.96         |
| HISOFT TECHNOLOGY INTL LTD         | 43358R108 | 30               | 04/21/11            | 06/13/11  | \$410.76                         | \$613.10                               | \$-202.34        |
| ICU MED INC                        | 44930G107 | 12               | 12/15/10            | 06/13/11  | \$501.50                         | \$441.81                               | \$59.69          |
| IPC THE HOSPITALIST CO             | 44984A105 | 33               | 12/15/10            | 06/13/11  | \$1,510.13                       | \$1,189.03                             | \$321.10         |
| ICON PUB LTD CO SPONSORED ADR      | 45103T107 | 33               | 09/14/10            | 06/13/11  | \$807.90                         | \$773.88                               | \$34.02          |
| IMAX CORP                          | 45245E109 | 15               | 05/23/11            | 06/13/11  | \$468.92                         | \$533.02                               | \$-64.10         |
| IMAX CORP                          | 45245E109 | 10               | 09/02/10            | 06/13/11  | \$312.62                         | \$145.18                               | \$167.44         |



IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| INSULET CORP                      | 45784P101 | 31               | 06/18/10            | 06/13/11  | \$588 13                         | \$477 64                               | \$110 49         |
| EZCORP INC CL A NON VTG           | 302301106 | 22               | 12/15/10            | 06/13/11  | \$684 23                         | \$603 85                               | \$80 38          |
| FLUIDIGM CORP DEL                 | 34385P108 | 41               | 03/18/11            | 06/13/11  | \$573 26                         | \$652 27                               | \$-79 01         |
| FORTINET INC                      | 34959E109 | 66               | 05/23/11            | 06/13/11  | \$1,503 61                       | \$1,554 11                             | \$-50 50         |
| RYANAIR HLDGS PLC                 | 783513104 | 46               | 12/14/10            | 06/13/11  | \$1,373 07                       | \$1,391 96                             | \$-18 89         |
| GARTNER GROUP INC NEW CL A        | 366651107 | 36               | 12/14/10            | 06/13/11  | \$1,316 85                       | \$1,180 44                             | \$136 41         |
| GEN-PROBE INC NEW                 | 36866T103 | 31               | 12/14/10            | 06/13/11  | \$2,169 64                       | \$1,742 83                             | \$426 81         |
| ALEXION PHARMACEUTICALS INC       | 015351109 | 125              | 06/06/11            | 06/13/11  | \$5,718 95                       | \$5,918 64                             | \$-199 69        |
| ALLERGAN INC                      | 018490102 | 100              | 12/15/10            | 06/13/11  | \$8,000 09                       | \$7,054 19                             | \$945 90         |
| IHS INC COM CL A                  | 451734107 | 35               | 12/14/10            | 06/13/11  | \$2,934 69                       | \$2,754 85                             | \$179 84         |
| KLA-TENCOR CORP                   | 482480100 | 50               | 12/14/10            | 06/13/11  | \$1,990 09                       | \$1,964 50                             | \$25 59          |
| KINDER MORGAN MGMT LLC SHS        | 49455U100 | 41               | 12/14/10            | 06/13/11  | \$2,657 97                       | \$2,565 50                             | \$92 47          |
| KOHL'S CORP                       | 500255104 | 16               | 12/21/10            | 06/13/11  | \$796 46                         | \$862 21                               | \$-65 75         |
| LPL INVT HLDGS INC                | 50213H100 | 25               | 05/17/11            | 06/13/11  | \$867 23                         | \$855 29                               | \$11 94          |
| LAMAR ADVERTISING CO CL A         | 512815101 | 47               | 12/14/10            | 06/13/11  | \$1,262 86                       | \$1,799 63                             | \$-536 77        |
| MSCI INC CL A                     | 55354G100 | 63               | 12/14/10            | 06/13/11  | \$2,349 85                       | \$2,330 37                             | \$19 48          |
| AMAZON COM INC                    | 023135106 | 51               | 12/14/10            | 06/13/11  | \$9,517 56                       | \$8,872 23                             | \$645 33         |
| COPART INC                        | 217204106 | 46               | 12/14/10            | 06/13/11  | \$2,034 08                       | \$1,625 64                             | \$408 44         |
| CROWN CASTLE INTL CORP            | 228227104 | 10               | 11/21/10            | 06/13/11  | \$400 99                         | \$454 29                               | \$-53 30         |
| CROWN CASTLE INTL CORP            | 228227104 | 84               | 12/14/10            | 06/13/11  | \$3,368 33                       | \$3,552 36                             | \$-184 03        |
| DRESSER-RAND GROUP INC            | 261608103 | 27               | 01/14/11            | 06/13/11  | \$1,339 44                       | \$1,201 79                             | \$137 65         |
| DRESSER-RAND GROUP INC            | 261608103 | 19               | 12/21/10            | 06/13/11  | \$942 57                         | \$814 16                               | \$128 41         |
| EATON VANCE CORP                  | 278265103 | 32               | 12/14/10            | 06/13/11  | \$929 90                         | \$1,008 00                             | \$-78 10         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 3                | 12/05/10            | 06/13/11  | \$140 35                         | \$171 32                               | \$-30 97         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 3                | 12/01/10            | 06/13/11  | \$140 34                         | \$170 54                               | \$-30 20         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 19               | 12/06/10            | 06/13/11  | \$888 85                         | \$1,077 33                             | \$-188 48        |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 5                | 12/08/10            | 06/13/11  | \$233 91                         | \$283 02                               | \$-49 11         |
| FASTENAL CO                       | 311900104 | 52               | 12/14/10            | 06/13/11  | \$1,629 64                       | \$1,540 76                             | \$88 88          |
| MERITOR INC                       | 59001K100 | 61               | 12/15/10            | 06/13/11  | \$873 65                         | \$1,220 46                             | \$-346 81        |
| MIDDLEBY CORP                     | 596278101 | 10               | 04/04/11            | 06/13/11  | \$813 70                         | \$944 31                               | \$-130 61        |
| BHP LTD SPONSORED ADR             | 088606108 | 1                | 12/14/10            | 06/13/11  | \$89 69                          | \$91 23                                | \$-1 54          |
| BRITISH AMERN TOB PLC             | 110448107 | 20               | 12/14/10            | 06/13/11  | \$1,760 16                       | \$1,518 60                             | \$241 56         |
| CNOOC LTD SPONSORED ADR           | 126132109 | 10               | 12/14/10            | 06/13/11  | \$2,382 35                       | \$2,362 60                             | \$19 75          |
| CSL LTD                           | 12637N105 | 120              | 12/14/10            | 06/13/11  | \$2,090 35                       | \$2,222 40                             | \$-132 05        |
| CANADIAN NATL RY CO               | 136375102 | 20               | 04/27/11            | 06/13/11  | \$1,500 77                       | \$1,506 66                             | \$-5 89          |
| CANON INC ADR REPSTG 5 SHS        | 138006309 | 6                | 11/19/10            | 06/13/11  | \$277 31                         | \$324 03                               | \$-46 72         |
| CANON INC ADR REPSTG 5 SHS        | 138006309 | 34               | 12/14/10            | 06/13/11  | \$1,571 45                       | \$1,662 60                             | \$-91 15         |
| THERMO ELECTRON CORP              | 883556102 | 38               | 12/14/10            | 06/13/11  | \$2,376 85                       | \$2,107 10                             | \$269 75         |
| ULTRA PETE CORP                   | 903914109 | 30               | 12/14/10            | 06/13/11  | \$1,366 47                       | \$1,434 00                             | \$-67 53         |
| VALEANT PHARMACEUTICALS INTL INC  | 91911K102 | 19               | 12/14/10            | 06/13/11  | \$1,010 21                       | \$551 57                               | \$458 64         |
| VARIAN MED SYS INC                | 92220P105 | 39               | 12/14/10            | 06/13/11  | \$2,579 41                       | \$2,676 57                             | \$-97 16         |
| VERISK ANALYTICS INC              | 92345Y106 | 88               | 12/14/10            | 06/13/11  | \$2,957 62                       | \$2,921 30                             | \$36 32          |
| VERTEX PHARMACEUTICALS INC        | 92532F100 | 13               | 02/28/11            | 06/13/11  | \$620 60                         | \$602 00                               | \$18 60          |
| WATERS CORP                       | 941848103 | 14               | 12/14/10            | 06/13/11  | \$1,286 01                       | \$1,124 20                             | \$161 81         |
| WATERS CORP                       | 941848103 | 1                | 01/18/11            | 06/13/11  | \$91 86                          | \$77 17                                | \$14 69          |
| WORLD FUEL SVCS CORP              | 981475106 | 29               | 01/18/11            | 06/13/11  | \$964 52                         | \$1,093 51                             | \$-128 99        |
| TE CONNECTIVITY LTD               | H84989104 | 56               | 12/14/10            | 06/13/11  | \$1,973 40                       | \$1,939 28                             | \$34 12          |
| SENSATA TECH HLDG NV              | N7902X106 | 43               | 04/13/11            | 06/13/11  | \$1,434 88                       | \$1,427 09                             | \$7 79           |
| ST JUDE MEDICAL INC               | 790849103 | 57               | 12/14/10            | 06/13/11  | \$2,781 54                       | \$2,386 02                             | \$395 52         |
| SCHEIN HENRY INC                  | 806407102 | 29               | 01/14/11            | 06/13/11  | \$2,016 91                       | \$1,857 21                             | \$159 70         |
| SOLERA HLDGS INC                  | 83421A104 | 28               | 12/14/10            | 06/13/11  | \$1,572 44                       | \$1,439 76                             | \$132 68         |
| TECHNE CORP                       | 878377100 | 15               | 01/14/11            | 06/13/11  | \$1,168 77                       | \$1,000 72                             | \$168 05         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| C H ROBINSON WORLDWIDE INC NEW     | 12541W209 | 33               | 01/04/11            | 06/13/11  | \$2,506 71                       | \$2,652 35                             | \$-145 64        |
| CELGENE CORP COM                   | 151020104 | 132              | 11/19/10            | 06/13/11  | \$7,726 14                       | \$7,998 98                             | \$-272 84        |
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 23               | 02/11/11            | 06/13/11  | \$6,232 71                       | \$6,177 92                             | \$54 79          |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 53               | 12/14/10            | 06/13/11  | \$6,343 57                       | \$5,656 96                             | \$686 61         |
| MASIMO CORP                        | 574795100 | 10               | 04/19/11            | 06/13/11  | \$282 19                         | \$324 83                               | \$-42 64         |
| MASIMO CORP                        | 574795100 | 19               | 05/12/11            | 06/13/11  | \$536 17                         | \$594 56                               | \$-58 39         |
| ON SEMICONDUCTOR CORP              | 682189105 | 132              | 12/14/10            | 06/13/11  | \$1,317 35                       | \$1,189 02                             | \$128 33         |
| ON SEMICONDUCTOR CORP              | 682189105 | 5                | 04/26/11            | 06/13/11  | \$49 90                          | \$50 57                                | \$-0 67          |
| POTASH CORP SASK INC               | 73755L107 | 36               | 12/14/10            | 06/13/11  | \$1,959 44                       | \$1,648 80                             | \$310 64         |
| PRECISION CASTPARTS CORP           | 740189105 | 14               | 12/14/10            | 06/13/11  | \$2,079 52                       | \$1,967 00                             | \$112 52         |
| PRICE T ROWE GROUP INC             | 74144T108 | 22               | 12/14/10            | 06/13/11  | \$1,247 59                       | \$1,387 32                             | \$-139 73        |
| ROPER INDS INC NEW                 | 776696106 | 31               | 12/14/10            | 06/13/11  | \$2,426 94                       | \$2,403 45                             | \$23 49          |
| CMS ENERGY CORP                    | 125896100 | 288              | 12/01/10            | 06/13/11  | \$5,601 49                       | \$5,589 41                             | \$12 08          |
| COCA-COLA ENTERPRISES INC          | 19122T109 | 48               | 12/15/10            | 06/13/11  | \$1,350 69                       | \$1,229 76                             | \$120 93         |
| JUNIPER NETWORKS INC               | 48203R104 | 46               | 03/07/11            | 06/13/11  | \$1,386 13                       | \$2,066 75                             | \$-680 62        |
| JUNIPER NETWORKS INC               | 48203R104 | 8                | 03/07/11            | 06/13/11  | \$241 07                         | \$359 31                               | \$-118 24        |
| JUNIPER NETWORKS INC               | 48203R104 | 148              | 03/07/11            | 06/13/11  | \$4,459 71                       | \$6,645 81                             | \$-2,186 10      |
| JUNIPER NETWORKS INC               | 48203R104 | 77               | 03/08/11            | 06/13/11  | \$2,320 26                       | \$3,453 03                             | \$-1,132 77      |
| JUNIPER NETWORKS INC               | 48203R104 | 57               | 03/16/11            | 06/13/11  | \$1,717 59                       | \$2,398 80                             | \$-681 21        |
| JUNIPER NETWORKS INC               | 48203R104 | 35               | 03/06/11            | 06/13/11  | \$1,054 66                       | \$1,617 26                             | \$-562 60        |
| JUNIPER NETWORKS INC               | 48203R104 | 155              | 03/16/11            | 06/13/11  | \$4,673 45                       | \$6,523 06                             | \$-1,849 61      |
| JUNIPER NETWORKS INC               | 48203R104 | 65               | 03/16/11            | 06/13/11  | \$1,962 27                       | \$2,735 47                             | \$-773 20        |
| JUNIPER NETWORKS INC               | 48203R104 | 298              | 03/16/11            | 06/13/11  | \$8,996 24                       | \$12,524 76                            | \$-3,528 52      |
| EMC CORPORATION                    | 268648102 | 80               | 03/11/11            | 06/13/11  | \$2,096 96                       | \$2,132 83                             | \$-35 87         |
| EMC CORPORATION                    | 268648102 | 102              | 12/10/10            | 06/13/11  | \$2,673 62                       | \$2,345 66                             | \$327 96         |
| EMC CORPORATION                    | 268648102 | 124              | 12/14/10            | 06/13/11  | \$3,250 28                       | \$2,808 27                             | \$442 01         |

### Short term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EOG RES INC                       | 26875P101 | 30               | 06/07/11            | 06/13/11  | \$3,129.91                       | \$3,266.95                             | \$-137.04        |
| EOG RES INC                       | 26875P101 | 4                | 12/14/10            | 06/13/11  | \$417.32                         | \$367.05                               | \$50.27          |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 12               | 11/07/10            | 06/13/11  | \$559.82                         | \$694.54                               | \$-134.72        |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 2                | 11/07/10            | 06/13/11  | \$93.30                          | \$115.63                               | \$-22.33         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 2                | 11/12/10            | 06/13/11  | \$93.30                          | \$115.45                               | \$-22.15         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 97               | 12/14/10            | 06/13/11  | \$4,525.20                       | \$5,427.20                             | \$-902.00        |
| FLEXTRONICS INTL LTD              | Y2573F102 | 109              | 12/14/10            | 06/13/11  | \$756.71                         | \$848.02                               | \$-91.31         |
| AEROPOSTALE                       | 007865108 | 30               | 12/22/10            | 06/13/11  | \$521.39                         | \$732.31                               | \$-210.92        |
| AEROPOSTALE                       | 007865108 | 7                | 10/13/10            | 06/13/11  | \$121.66                         | \$189.16                               | \$-67.50         |
| ALLIANT TECHSYSTEMS INC           | 018804104 | 27               | 12/15/10            | 06/13/11  | \$1,814.09                       | \$2,109.24                             | \$-295.15        |
| ALLIANT TECHSYSTEMS INC           | 018804104 | 11               | 12/09/10            | 06/13/11  | \$739.08                         | \$855.89                               | \$-116.81        |
| AMERISOURCEBERGEN CORP            | 03073E105 | 45               | 05/03/11            | 06/13/11  | \$1,841.81                       | \$1,853.85                             | \$-12.04         |
| AMERISOURCEBERGEN CORP            | 03073E105 | 45               | 06/06/11            | 06/13/11  | \$1,841.82                       | \$1,822.63                             | \$19.19          |
| ANNALY MTG MGMT INC               | 035710409 | 74               | 07/12/10            | 06/13/11  | \$1,354.91                       | \$1,343.89                             | \$11.02          |
| ANNALY MTG MGMT INC               | 035710409 | 263              | 12/15/10            | 06/13/11  | \$4,815.44                       | \$4,765.56                             | \$49.88          |
| AON CORP                          | 037389103 | 12               | 02/01/11            | 06/13/11  | \$605.87                         | \$552.56                               | \$53.31          |
| AON CORP                          | 037389103 | 55               | 12/15/10            | 06/13/11  | \$2,776.89                       | \$2,448.05                             | \$328.84         |
| BEMIS INC                         | 081437105 | 52               | 02/16/11            | 06/13/11  | \$1,684.24                       | \$1,716.00                             | \$-31.76         |
| BEMIS INC                         | 081437105 | 64               | 12/15/10            | 06/13/11  | \$2,072.92                       | \$2,083.84                             | \$-10.92         |
| FMC TECHNOLOGIES INC              | 30249U101 | 114              | 12/14/10            | 06/13/11  | \$4,562.47                       | \$4,979.60                             | \$-417.13        |
| F5 NETWORKS INC                   | 315616102 | 76               | 12/14/10            | 06/13/11  | \$7,941.27                       | \$10,175.25                            | \$-2,233.98      |
| HUMANA INC                        | 444859102 | 20               | 03/17/11            | 06/13/11  | \$1,574.36                       | \$1,285.42                             | \$288.94         |
| ILLINOIS TOOL WORKS INC           | 452308109 | 45               | 01/28/11            | 06/13/11  | \$2,469.10                       | \$2,466.17                             | \$2.93           |
| INTERNATIONAL BUSINESS MACHINES   | 459200101 | 8                | 12/14/10            | 06/13/11  | \$1,306.29                       | \$1,165.20                             | \$141.09         |
| JP MORGAN CHASE & CO              | 46625H100 | 81               | 03/01/11            | 06/13/11  | \$3,372.77                       | \$3,728.27                             | \$-355.50        |
| JP MORGAN CHASE & CO              | 46625H100 | 43               | 12/14/10            | 06/13/11  | \$1,790.49                       | \$1,747.95                             | \$42.54          |

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| RAYONIER INC                       | 754907103 | 22               | 12/15/10            | 06/13/11  | \$1,358 91                       | \$1,150 60                             | \$208 31         |
| QUALCOMM INC                       | 747525103 | 146              | 03/23/11            | 06/13/11  | \$7,932 38                       | \$7,618 28                             | \$314 10         |
| ST JUDE MEDICAL INC                | 790849103 | 121              | 12/14/10            | 06/13/11  | \$5,903 77                       | \$5,047 82                             | \$855 95         |
| SALESFORCE COM INC                 | 794661302 | 48               | 12/14/10            | 06/13/11  | \$6,613 91                       | \$6,535 64                             | \$78 27          |
| MEDNAX INC                         | 58502B106 | 22               | 06/07/11            | 06/13/11  | \$1,536 01                       | \$1,549 21                             | \$-13 20         |
| MEDNAX INC                         | 58502B106 | 20               | 05/03/11            | 06/13/11  | \$1,396 36                       | \$1,447 14                             | \$-50 78         |
| MICRON TECHNOLOGY                  | 595112103 | 167              | 12/15/10            | 06/13/11  | \$1,387 73                       | \$1,336 00                             | \$51 73          |
| MYLAN LABS INC                     | 628530107 | 56               | 04/11/11            | 06/13/11  | \$1,240 37                       | \$1,319 12                             | \$-78 75         |
| MYLAN LABS INC                     | 628530107 | 77               | 05/20/11            | 06/13/11  | \$1,705 52                       | \$1,844 84                             | \$-139 32        |
| NISOURCE INC                       | 65473P105 | 161              | 11/04/10            | 06/13/11  | \$3,123 64                       | \$2,859 58                             | \$264 06         |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 40               | 02/09/11            | 06/13/11  | \$1,257 17                       | \$1,264 76                             | \$-7 59          |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 59               | 01/13/11            | 06/13/11  | \$1,854 34                       | \$1,763 01                             | \$91 33          |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 27               | 12/07/10            | 06/13/11  | \$848 59                         | \$762 51                               | \$86 08          |
| GAMESTOP CORP NEW CL A             | 36467W109 | 21               | 07/02/10            | 06/13/11  | \$549 77                         | \$470 25                               | \$79 52          |
| GAMESTOP CORP NEW CL A             | 36467W109 | 86               | 12/27/10            | 06/13/11  | \$2,251 43                       | \$1,917 83                             | \$333 60         |
| HCC INS HLDGS INC                  | 404132102 | 82               | 12/15/10            | 06/13/11  | \$2,578 02                       | \$2,363 24                             | \$214 78         |
| NOVO-NORDISK A S ADR               | 670100205 | 7                | 06/03/11            | 06/13/11  | \$858 13                         | \$886 27                               | \$-28 14         |
| NOVO-NORDISK A S ADR               | 670100205 | 21               | 06/06/11            | 06/13/11  | \$2,574 39                       | \$2,677 10                             | \$-102 71        |
| PRAXAIR INC                        | 74005P104 | 64               | 12/14/10            | 06/13/11  | \$6,426 27                       | \$5,909 60                             | \$516 67         |
| PRECISION CASTPARTS CORP           | 740189105 | 47               | 12/14/10            | 06/13/11  | \$6,987 94                       | \$6,583 35                             | \$404 59         |
| PRICELINE COM INC                  | 741503403 | 14               | 06/03/11            | 06/13/11  | \$6,799 98                       | \$7,187 23                             | \$-387 25        |
| HEALTH CARE REIT INC               | 42217K106 | 8                | 12/15/10            | 06/13/11  | \$403 91                         | \$353 08 *                             | \$50 83          |
| HOSPIRA INC                        | 441060100 | 16               | 05/05/11            | 06/13/11  | \$865 90                         | \$893 41                               | \$-27 51         |
| HOSPIRA INC                        | 441060100 | 29               | 04/20/11            | 06/13/11  | \$1,569 44                       | \$1,661 87                             | \$-92 43         |
| CROWN HLDGS INC COM 144A           | 228368106 | 41               | 05/12/11            | 06/13/11  | \$1,562 99                       | \$1,684 18                             | \$-121 19        |
| CROWN HLDGS INC COM 144A           | 228368106 | 54               | 04/08/11            | 06/13/11  | \$2,058 57                       | \$2,091 80                             | \$-33 23         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| DEVRY INC DEL COM              | 251893103 | 27               | 04/01/11            | 06/13/11  | \$1,541 67                       | \$1,509 74                             | \$31 93          |
| EMCOR GROUP INC                | 29084Q100 | 35               | 03/30/11            | 06/13/11  | \$992 09                         | \$1,084 71                             | \$-92 62         |
| EMCOR GROUP INC                | 29084Q100 | 73               | 12/14/10            | 06/13/11  | \$2,069 22                       | \$2,150 53                             | \$-81 31         |
| ENDO PHARMACEUTICALS HLDGS INC | 29264F205 | 43               | 04/27/11            | 06/13/11  | \$1,681 05                       | \$1,765 62                             | \$-84 57         |
| ENDO PHARMACEUTICALS HLDGS INC | 29264F205 | 7                | 04/29/11            | 06/13/11  | \$273 66                         | \$273 70                               | \$-0 04          |
| LAUDER ESTEE COS INC CL A      | 518439104 | 62               | 02/18/11            | 06/13/11  | \$5,988 61                       | \$5,891 35                             | \$97 26          |
| LAUDER ESTEE COS INC CL A      | 518439104 | 9                | 02/28/11            | 06/13/11  | \$869 32                         | \$847 32                               | \$22 00          |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 146              | 12/14/10            | 06/13/11  | \$8,211 24                       | \$9,256 31                             | \$-1,045 07      |
| NETFLIX COM INC                | 64110L106 | 24               | 12/28/10            | 06/13/11  | \$6,173 94                       | \$4,401 71                             | \$1,772 23       |
| EXPEDIA INC DEL                | 30212P105 | 80               | 05/13/11            | 06/13/11  | \$2,199 96                       | \$2,029 06                             | \$170 90         |
| FRANKLIN RESOURCES INC         | 354613101 | 38               | 02/18/11            | 06/13/11  | \$4,647 78                       | \$4,936 75                             | \$-288 97        |
| FRANKLIN RESOURCES INC         | 354613101 | 21               | 02/15/11            | 06/13/11  | \$2,568 51                       | \$2,725 78                             | \$-157 27        |
| GOOGLE INC CL A                | 38259P508 | 10               | 12/14/10            | 06/13/11  | \$5,039 92                       | \$5,936 07                             | \$-896 15        |
| CF INDS HLDGS INC              | 125269100 | 6                | 05/31/11            | 06/13/11  | \$901 30                         | \$918 39                               | \$-17 09         |
| CF INDS HLDGS INC              | 125269100 | 11               | 05/09/11            | 06/13/11  | \$1,652 39                       | \$1,564 72                             | \$87 67          |
| PEPSICO INC                    | 713448108 | 1                | 11/03/10            | 06/13/11  | \$69 24                          | \$66 95                                | \$2 29           |
| PEPSICO INC                    | 713448108 | 37               | 04/08/11            | 06/13/11  | \$2,561 82                       | \$2,440 21                             | \$121 61         |
| REPUBLIC SERVICES INC CL A     | 760759100 | 55               | 12/15/10            | 06/13/11  | \$1,654 91                       | \$1,656 60                             | \$-1 69          |
| ROSS STORES INC                | 778296103 | 29               | 03/29/11            | 06/13/11  | \$2,221 14                       | \$2,028 36                             | \$192 78         |
| ROSS STORES INC                | 778296103 | 8                | 12/15/10            | 06/13/11  | \$612 73                         | \$504 00                               | \$108 73         |
| SANDISK CORP                   | 80004C101 | 48               | 10/11/10            | 06/13/11  | \$2,036 84                       | \$2,394 70                             | \$-357 86        |
| SANDRIDGE ENERGY INC           | 80007P307 | 102              | 03/25/11            | 06/13/11  | \$1,022 28                       | \$1,231 13                             | \$-208 85        |
| SEMPRA ENERGY                  | 816851109 | 9                | 02/16/11            | 06/13/11  | \$473 88                         | \$480 30                               | \$-6 42          |
| SEMPRA ENERGY                  | 816851109 | 15               | 08/10/10            | 06/13/11  | \$789 79                         | \$784 84                               | \$4 95           |
| SEMPRA ENERGY                  | 816851109 | 18               | 12/22/10            | 06/13/11  | \$947 75                         | \$937 39                               | \$10 36          |
| SEMPRA ENERGY                  | 816851109 | 55               | 12/15/10            | 06/13/11  | \$2,895 90                       | \$2,835 80                             | \$60 10          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| KEYCORP NEW COM                  | 493267108 | 266              | 03/01/11            | 06/13/11  | \$2,131.28                       | \$2,388.65                             | \$-257.37        |
| KOHL'S CORP                      | 500255104 | 10               | 04/08/11            | 06/13/11  | \$498.29                         | \$544.50                               | \$-46.21         |
| KRAFT FOODS INC CL A             | 50075N104 | 39               | 12/14/10            | 06/13/11  | \$1,336.89                       | \$1,222.58                             | \$114.31         |
| MCDONALD'S CORP                  | 580135101 | 33               | 12/14/10            | 06/13/11  | \$2,670.97                       | \$2,545.29                             | \$125.68         |
| METLIFE INC                      | 59156R108 | 69               | 12/14/10            | 06/13/11  | \$2,833.77                       | \$3,023.58                             | \$-189.81        |
| NATIONAL GRID PLC SPONSORED ADR  | 636274300 | 25               | 12/14/10            | 06/13/11  | \$1,220.97                       | \$1,105.75                             | \$115.22         |
| OCCIDENTAL PETROLEUM CORP        | 674599105 | 40               | 12/14/10            | 06/13/11  | \$4,112.72                       | \$3,758.00                             | \$354.72         |
| SYMANTEC CORP                    | 871503108 | 87               | 12/15/10            | 06/13/11  | \$1,609.47                       | \$1,495.53                             | \$113.94         |
| OGE ENERGY CORP                  | 670837103 | 37               | 12/15/10            | 06/13/11  | \$1,786.33                       | \$1,672.40                             | \$113.93         |
| OSHKOSH TRUCK CORP CL B          | 688239201 | 1                | 12/15/10            | 06/13/11  | \$25.96                          | \$35.47                                | \$-9.51          |
| OSHKOSH TRUCK CORP CL B          | 688239201 | 11               | 07/30/10            | 06/13/11  | \$285.55                         | \$374.59                               | \$-89.04         |
| PG & E CORP                      | 69331C108 | 30               | 12/31/10            | 06/13/11  | \$1,250.67                       | \$1,459.45                             | \$-208.78        |
| PG & E CORP                      | 69331C108 | 62               | 12/15/10            | 06/13/11  | \$2,584.73                       | \$2,955.54                             | \$-370.81        |
| PETROHAWK ENERGY CORP            | 716495106 | 27               | 05/04/11            | 06/13/11  | \$641.84                         | \$660.74                               | \$-18.90         |
| QUEST DIAGNOSTICS INC            | 74834L100 | 13               | 01/31/11            | 06/13/11  | \$768.93                         | \$746.93                               | \$22.00          |
| QUEST DIAGNOSTICS INC            | 74834L100 | 16               | 03/30/11            | 06/13/11  | \$946.38                         | \$915.20                               | \$31.18          |
| VODAFONE GROUP PLC NEW SPONSORED | 92857W209 | 69               | 02/18/11            | 06/13/11  | \$1,815.35                       | \$2,041.56                             | \$-226.21        |
| WAL MART STORES INC              | 931142103 | 55               | 12/14/10            | 06/13/11  | \$2,899.68                       | \$2,995.85                             | \$-96.17         |
| WILLIS GROUP HLDGS PLC           | G96666105 | 54               | 05/11/11            | 06/13/11  | \$2,213.42                       | \$2,204.90                             | \$8.52           |
| WILLIS GROUP HLDGS PLC           | G96666105 | 21               | 12/15/10            | 06/13/11  | \$860.77                         | \$714.00                               | \$146.77         |
| NOBLE CORPORATION                | H5833N103 | 8                | 03/01/11            | 06/13/11  | \$303.99                         | \$361.00                               | \$-57.01         |
| NOBLE CORPORATION                | H5833N103 | 36               | 03/24/11            | 06/13/11  | \$1,367.98                       | \$1,616.00                             | \$-248.02        |
| PRUDENTIAL FINL INC              | 744320102 | 7                | 12/14/10            | 06/13/11  | \$417.05                         | \$394.03                               | \$23.02          |
| WHITING PETE CORP NEW            | 966387102 | 15               | 12/15/10            | 06/13/11  | \$843.88                         | \$847.35                               | \$-3.47          |
| WILEY JOHN & SONS INC CL A       | 968223206 | 30               | 03/17/11            | 06/13/11  | \$1,475.37                       | \$1,476.08                             | \$-0.71          |
| WINDSTREAM CORP                  | 97381W104 | 267              | 12/15/10            | 06/13/11  | \$3,412.20                       | \$3,796.74                             | \$-384.54        |



IM NORTHROP GRUMMAN FDN

**Short term transactions**

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|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| WINDSTREAM CORP                  | 97381W104 | 27               | 04/06/11            | 06/13/11  | \$345.05                         | \$341.56                               | \$3.49           |
| XLINX INC                        | 983919101 | 26               | 05/04/11            | 06/13/11  | \$867.60                         | \$908.43                               | \$-40.83         |
| AMDOCS LTD                       | G02602103 | 36               | 02/16/11            | 06/13/11  | \$1,039.03                       | \$1,083.18                             | \$-44.15         |
| AMDOCS LTD                       | G02602103 | 52               | 05/03/11            | 06/13/11  | \$1,500.82                       | \$1,579.24                             | \$-78.42         |
| ARCH CAPITAL GROUP LTD           | G0450A105 | 50               | 05/04/11            | 06/13/11  | \$1,642.96                       | \$1,704.42                             | \$-61.46         |
| REYNOLDS AMERN INC               | 761713106 | 49               | 03/01/11            | 06/13/11  | \$1,848.73                       | \$1,682.96                             | \$165.77         |
| SEMPRA ENERGY                    | 816851109 | 39               | 12/14/10            | 06/13/11  | \$2,055.65                       | \$2,012.40                             | \$43.25          |
| SUNTRUST BANKS INC               | 867914103 | 48               | 05/02/11            | 06/13/11  | \$1,221.21                       | \$1,354.57                             | \$-133.36        |
| TIJ COS INC NEW                  | 872540109 | 1                | 06/13/10            | 06/13/11  | \$49.66                          | \$49.64                                | \$0.02           |
| TIJ COS INC NEW                  | 872540109 | 2                | 07/28/10            | 06/13/11  | \$99.32                          | \$94.22                                | \$5.10           |
| TIJ COS INC NEW                  | 872540109 | 38               | 12/14/10            | 06/13/11  | \$1,887.03                       | \$1,691.38                             | \$195.65         |
| TARGET CORP                      | 87612E106 | 41               | 03/01/11            | 06/13/11  | \$1,903.18                       | \$2,159.71                             | \$-256.53        |
| THERMO ELECTRON CORP             | 883556102 | 24               | 12/14/10            | 06/13/11  | \$1,503.33                       | \$1,324.56                             | \$178.77         |
| TIME WARNER CABLE INC            | 887321207 | 20               | 04/08/11            | 06/13/11  | \$1,486.27                       | \$1,451.19                             | \$35.08          |
| UNILEVER N V                     | 904784709 | 45               | 05/11/11            | 06/13/11  | \$1,441.88                       | \$1,473.49                             | \$-31.61         |
| UNION PACIFIC CORP               | 907818108 | 34               | 12/14/10            | 06/13/11  | \$3,436.99                       | \$3,097.74                             | \$339.25         |
| UNITED TECHNOLOGIES CORP         | 913017109 | 39               | 03/17/11            | 06/13/11  | \$3,235.37                       | \$3,103.81                             | \$131.56         |
| VERIZON COMMUNICATIONS           | 92343V104 | 47               | 12/14/10            | 06/13/11  | \$1,679.74                       | \$1,623.38                             | \$56.36          |
| VODAFONE GROUP PLC NEW SPONSORED | 92857W209 | 40               | 11/18/10            | 06/13/11  | \$1,052.38                       | \$1,188.72                             | \$-136.34        |
| TD AMERITRADE HLDG CORP          | 87236Y108 | 1                | 12/22/10            | 06/13/11  | \$18.57                          | \$18.93                                | \$-0.36          |
| TD AMERITRADE HLDG CORP          | 87236Y108 | 95               | 12/15/10            | 06/13/11  | \$1,764.11                       | \$1,772.70                             | \$-8.59          |
| TIJ COS INC NEW                  | 872540109 | 30               | 05/11/11            | 06/13/11  | \$1,487.07                       | \$1,598.03                             | \$-110.96        |
| TIJ COS INC NEW                  | 872540109 | 42               | 07/03/10            | 06/13/11  | \$2,081.90                       | \$1,909.50                             | \$172.40         |
| TERADYNE INC                     | 880770102 | 2                | 05/13/11            | 06/13/11  | \$28.04                          | \$32.75                                | \$-4.71          |
| TOWERS WATSON & CO               | 891894107 | 22               | 06/09/11            | 06/13/11  | \$1,311.61                       | \$1,309.36                             | \$2.25           |
| TOWERS WATSON & CO               | 891894107 | 32               | 05/23/11            | 06/13/11  | \$1,907.80                       | \$2,006.45                             | \$-98.65         |

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|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| URS CORP NEW                         | 903236107 | 45               | 04/07/11            | 06/13/11  | \$1,884 79                       | \$2,114 82                             | \$-230 03        |
| V F CORP                             | 918204108 | 23               | 04/04/11            | 06/13/11  | \$2,313 98                       | \$2,265 43                             | \$48 55          |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 33               | 01/21/11            | 06/14/11  | \$1,217 67                       | \$830 61                               | \$387 06         |
| MICROSEMI CORP                       | 595137100 | 52               | 01/21/11            | 06/14/11  | \$1,064 93                       | \$1,209 23                             | \$-144 30        |
| JABIL CIRCUIT INC COM                | 466313103 | 46               | 01/21/11            | 06/14/11  | \$914 00                         | \$930 58                               | \$-16 58         |
| JACK IN THE BOX INC                  | 466367109 | 66               | 01/21/11            | 06/14/11  | \$1,399 83                       | \$1,485 00                             | \$-85 17         |
| SOLUTIA INC NEW                      | 834376501 | 50               | 01/21/11            | 06/14/11  | \$1,121 47                       | \$1,160 00                             | \$-38 53         |
| SPIRIT AEROSYSTEMS HLDGS INC CL A    | 848574109 | 28               | 01/21/11            | 06/14/11  | \$606 18                         | \$657 44                               | \$-51 26         |
| SUPERIOR ENERGY SVCS INC             | 868157108 | 30               | 05/13/11            | 06/14/11  | \$1,074 27                       | \$1,055 85                             | \$18 42          |
| OIL STS INTL INC                     | 678026105 | 13               | 01/21/11            | 06/14/11  | \$931 56                         | \$818 35                               | \$113 21         |
| PMC-SIERRA INC                       | 69344F106 | 131              | 01/21/11            | 06/14/11  | \$973 31                         | \$1,151 49                             | \$-178 18        |
| PAR PHARMACEUTICAL COS INC           | 69888P106 | 32               | 01/21/11            | 06/14/11  | \$1,063 01                       | \$1,115 20                             | \$-52 19         |
| PENSKE AUTOMOTIVE GROUP INC          | 70959W103 | 52               | 01/21/11            | 06/14/11  | \$1,021 26                       | \$867 25                               | \$154 01         |
| PRIVATEBANCORP INC                   | 742962103 | 57               | 01/21/11            | 06/14/11  | \$816 22                         | \$844 74                               | \$-28 52         |
| REINSURANCE GROUP AMER INC           | 759351604 | 20               | 01/21/11            | 06/14/11  | \$1,217 97                       | \$1,158 30                             | \$59 67          |
| DIANA SHIPPING INC                   | Y2066G104 | 86               | 01/21/11            | 06/14/11  | \$945 12                         | \$1,028 56                             | \$-83 44         |
| ALTERRA CAPITAL HLDGS LTD            | G0229R108 | 47               | 01/21/11            | 06/14/11  | \$1,020 82                       | \$1,000 16                             | \$20 66          |
| ASPEN INSURANCE HOLDINGS LMTD SHS    | G05384105 | 46               | 04/13/11            | 06/14/11  | \$1,239 21                       | \$1,308 30                             | \$-69 09         |
| TRIQUINT SEMICONDUCTOR INC           | 89674K103 | 14               | 01/21/11            | 06/14/11  | \$165 06                         | \$182 84                               | \$-17 78         |
| UMPUA HLDGS CORP                     | 904214103 | 105              | 02/08/11            | 06/14/11  | \$1,193 82                       | \$1,220 66                             | \$-26 84         |
| WABTEC CORP                          | 929740108 | 16               | 01/21/11            | 06/14/11  | \$1,024 70                       | \$854 56                               | \$170 14         |
| JUNIPER NETWORKS INC                 | 48203R104 | 113              | 02/23/11            | 06/14/11  | \$3,397 00                       | \$5,638 28                             | \$-2,241 28      |
| JUNIPER NETWORKS INC                 | 48203R104 | 91               | 02/23/11            | 06/14/11  | \$2,735 64                       | \$4,536 78                             | \$-1,801 14      |
| JUNIPER NETWORKS INC                 | 48203R104 | 13               | 02/20/11            | 06/14/11  | \$390 80                         | \$641 46                               | \$-250 66        |
| JUNIPER NETWORKS INC                 | 48203R104 | 53               | 02/20/11            | 06/14/11  | \$1,593 28                       | \$2,604 35                             | \$-1,011 07      |
| JUNIPER NETWORKS INC                 | 48203R104 | 55               | 02/20/11            | 06/14/11  | \$1,653 41                       | \$2,694 04                             | \$-1,040 63      |

### Short term transactions

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|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| JUNIPER NETWORKS INC     | 48203R104 | 94               | 02/27/11            | 06/14/11  | \$2,825 82                       | \$4,466 21                             | \$-1,640 39      |
| JUNIPER NETWORKS INC     | 48203R104 | 31               | 03/16/11            | 06/14/11  | \$931 92                         | \$1,302 91                             | \$-370 99        |
| JUNIPER NETWORKS INC     | 48203R104 | 97               | 03/31/11            | 06/14/11  | \$2,916 01                       | \$4,066 17                             | \$-1,150 16      |
| JUNIPER NETWORKS INC     | 48203R104 | 33               | 03/30/11            | 06/14/11  | \$992 04                         | \$1,381 35                             | \$-389 31        |
| JUNIPER NETWORKS INC     | 48203R104 | 21               | 04/01/11            | 06/14/11  | \$631 30                         | \$876 69                               | \$-245 39        |
| JUNIPER NETWORKS INC     | 48203R104 | 24               | 04/01/11            | 06/14/11  | \$726 01                         | \$1,001 93                             | \$-275 92        |
| JUNIPER NETWORKS INC     | 48203R104 | 28               | 03/30/11            | 06/14/11  | \$847 01                         | \$1,165 11                             | \$-318 10        |
| JUNIPER NETWORKS INC     | 48203R104 | 376              | 03/18/11            | 06/14/11  | \$11,374 16                      | \$15,132 46                            | \$-3,758 30      |
| JUNIPER NETWORKS INC     | 48203R104 | 188              | 04/05/11            | 06/14/11  | \$5,687 08                       | \$7,494 21                             | \$-1,807 13      |
| JUNIPER NETWORKS INC     | 48203R104 | 32               | 12/03/10            | 06/14/11  | \$968 01                         | \$1,275 20                             | \$-307 19        |
| JUNIPER NETWORKS INC     | 48203R104 | 20               | 04/05/11            | 06/14/11  | \$605 01                         | \$796 96                               | \$-191 95        |
| JUNIPER NETWORKS INC     | 48203R104 | 334              | 04/14/11            | 06/14/11  | \$10,103 64                      | \$12,860 60                            | \$-2,756 96      |
| JUNIPER NETWORKS INC     | 48203R104 | 37               | 04/14/11            | 06/14/11  | \$1,119 27                       | \$1,420 79                             | \$-301 52        |
| JUNIPER NETWORKS INC     | 48203R104 | 18               | 04/14/11            | 06/14/11  | \$544 51                         | \$689 71                               | \$-145 20        |
| JUNIPER NETWORKS INC     | 48203R104 | 10               | 12/07/10            | 06/14/11  | \$302 50                         | \$377 33                               | \$-74 83         |
| AERCAP HOLDINGS N V SHS  | N00985106 | 91               | 01/21/11            | 06/14/11  | \$1,136 56                       | \$1,296 75                             | \$-160 19        |
| CRANE CO                 | 224399105 | 23               | 01/21/11            | 06/14/11  | \$1,089 71                       | \$1,005 33                             | \$84 38          |
| CURTISS WRIGHT           | 231561101 | 30               | 01/21/11            | 06/14/11  | \$959 98                         | \$1,042 43                             | \$-82 45         |
| GATX CORP                | 361448103 | 27               | 01/21/11            | 06/14/11  | \$981 16                         | \$869 73                               | \$111 43         |
| GULFMARK OFFSHORE INC    | 402629208 | 26               | 03/30/11            | 06/14/11  | \$1,067 53                       | \$1,145 57                             | \$-78 04         |
| HANESBRANDS INC          | 410345102 | 45               | 04/19/11            | 06/14/11  | \$1,252 77                       | \$1,294 32                             | \$-41 55         |
| HANOVER INS GROUP INC    | 410867105 | 34               | 01/21/11            | 06/14/11  | \$1,318 83                       | \$1,599 85                             | \$-281 02        |
| HARMAN INTL INDS INC NEW | 413086109 | 26               | 01/21/11            | 06/14/11  | \$1,135 65                       | \$1,160 90                             | \$-25 25         |
| HARSCO CORP              | 415864107 | 36               | 01/21/11            | 06/14/11  | \$1,085 01                       | \$1,123 20                             | \$-38 19         |
| HEALTH NET INC           | 42222G108 | 38               | 01/21/11            | 06/14/11  | \$1,172 27                       | \$1,079 58                             | \$92 69          |
| EMDEON INC               | 29084T104 | 32               | 01/21/11            | 06/14/11  | \$438 71                         | \$471 35                               | \$-32 64         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EURONET SVCS INC                  | 298736109 | 19               | 01/21/11            | 06/14/11  | \$310 26                         | \$340 86                               | \$-30 60         |
| FIRST COMWLTN FINL CORP           | 319829107 | 39               | 04/04/11            | 06/14/11  | \$209 04                         | \$267 21                               | \$-58 17         |
| FOREST OIL CORP                   | 346091705 | 25               | 01/21/11            | 06/14/11  | \$660 98                         | \$928 00                               | \$-267 02        |
| ALERE INC                         | 014491105 | 27               | 01/21/11            | 06/14/11  | \$993 04                         | \$1,058 67                             | \$-65 63         |
| ALLIANT TECHSYSTEMS INC           | 018804104 | 6                | 01/21/11            | 06/14/11  | \$407 30                         | \$454 50                               | \$-47 20         |
| AMERICAN EQUITY INVT LIFE HLDG CO | 025676206 | 91               | 01/21/11            | 06/14/11  | \$1,134 74                       | \$1,160 25                             | \$-25 51         |
| BALLY TECHNOLOGIES INC            | 05874B107 | 29               | 02/03/11            | 06/14/11  | \$1,063 11                       | \$1,143 23                             | \$-80 12         |
| BRIGGS & STRATTON CORP            | 109043109 | 43               | 01/21/11            | 06/14/11  | \$844 07                         | \$889 03                               | \$-44 96         |
| BROADRIDGE FINL SOLUTIONS INC     | 11133T103 | 46               | 01/21/11            | 06/14/11  | \$1,032 68                       | \$1,048 34                             | \$-15 66         |
| CARTER INC                        | 146229109 | 42               | 04/07/11            | 06/14/11  | \$1,253 25                       | \$1,254 80                             | \$-1 55          |
| CATHAY BANCORP INC                | 149150104 | 55               | 01/21/11            | 06/14/11  | \$850 83                         | \$899 12                               | \$-48 29         |
| CHICAGO BRIDGE & IRON-NY SHR      | 167250109 | 29               | 01/21/11            | 06/14/11  | \$1,048 32                       | \$981 36                               | \$66 96          |
| COMPLETE PRODTN SVCS INC          | 20453E109 | 37               | 02/09/11            | 06/14/11  | \$1,172 50                       | \$948 07                               | \$224 43         |
| FOSTER WHEELER AG                 | H27178104 | 4                | 08/03/10            | 06/14/11  | \$126 28                         | \$95 30                                | \$30 98          |
| KEY ENERGY GROUP INC              | 492914106 | 66               | 12/14/10            | 06/14/11  | \$1,126 59                       | \$759 49                               | \$367 10         |
| KIRBY CORP                        | 497266106 | 16               | 01/21/11            | 06/14/11  | \$838 70                         | \$706 08                               | \$132 62         |
| LIFEPOINT HOSPITALS INC           | 53219L109 | 30               | 02/23/11            | 06/14/11  | \$1,186 47                       | \$1,084 33                             | \$102 14         |
| MAGELLAN HEALTH SVCS INC NEW      | 559079207 | 27               | 05/13/11            | 06/14/11  | \$1,456 89                       | \$1,393 02                             | \$63 87          |
| SHARP CORP ADR                    | 819882200 | 350              | 12/14/10            | 06/15/11  | \$3,104 82                       | \$3,517 50                             | \$-412 68        |
| EMCOR GROUP INC                   | 29084Q100 | 23               | 12/14/10            | 06/15/11  | \$652 78                         | \$677 56                               | \$-24 78         |
| INTERNATIONAL PWR PLC SPONSORED   | 46018M104 | 100              | 12/14/10            | 06/15/11  | \$5,089 23                       | \$6,725 00                             | \$-1,635 77      |
| XILINX INC                        | 983919101 | 85               | 05/04/11            | 06/16/11  | \$2,862 97                       | \$2,969 88                             | \$-106 91        |
| PRECISION CASTPARTS CORP          | 740189105 | 55               | 12/14/10            | 06/16/11  | \$8,322 56                       | \$7,703 92                             | \$618 64         |
| FOSTER WHEELER AG                 | H27178104 | 94               | 08/03/10            | 06/17/11  | \$2,707 62                       | \$2,239 51                             | \$468 11         |
| THOMPSON CREEK METALS CO INC      | 884768102 | 90               | 06/13/11            | 06/17/11  | \$851 60                         | \$853 88                               | \$-2 28          |
| NRG ENERGY INC NEW                | 629377508 | 83               | 12/15/10            | 06/22/11  | \$1,952 24                       | \$1,544 63                             | \$407 61         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EMCOR GROUP INC                   | 29084Q100 | 6                | 12/14/10            | 06/22/11  | \$172.26                         | \$176.76                               | \$-4.50          |
| EMCOR GROUP INC                   | 29084Q100 | 35               | 12/14/10            | 06/22/11  | \$1,004.82                       | \$1,031.07                             | \$-26.25         |
| NOBLE CORPORATION                 | H5833N103 | 52               | 12/15/10            | 06/22/11  | \$2,032.93                       | \$1,817.40                             | \$215.53         |
| EMCOR GROUP INC                   | 29084Q100 | 13               | 11/09/10            | 06/22/11  | \$373.22                         | \$347.01                               | \$26.21          |
| NOBLE CORPORATION                 | H5833N103 | 4                | 03/24/11            | 06/22/11  | \$156.38                         | \$179.55                               | \$-23.17         |
| EMCOR GROUP INC                   | 29084Q100 | 4                | 11/09/10            | 06/22/11  | \$114.84                         | \$106.77                               | \$8.07           |
| ABBOTT LABS                       | 002824100 | 35               | 07/01/10            | 06/23/11  | \$1,800.82                       | \$1,620.50                             | \$180.32         |
| AIR PRODUCTS & CHEMICALS INC      | 009158106 | 22               | 12/14/10            | 06/23/11  | \$2,017.43                       | \$1,927.64                             | \$89.79          |
| EXXON MOBIL CORP                  | 30231G102 | 74               | 12/14/10            | 06/23/11  | \$5,744.74                       | \$5,329.48                             | \$415.26         |
| BAYER A G SPONSORED ADR           | 072730302 | 21               | 12/14/10            | 06/23/11  | \$1,603.89                       | \$1,608.39                             | \$-4.50          |
| WAL MART STORES INC               | 931142103 | 10               | 12/14/10            | 06/23/11  | \$528.18                         | \$544.70                               | \$-16.52         |
| KEYCORP NEW COM                   | 493267108 | 383              | 12/14/10            | 06/23/11  | \$3,101.36                       | \$3,117.62                             | \$-16.26         |
| KEYCORP NEW COM                   | 493267108 | 342              | 03/01/11            | 06/23/11  | \$2,769.36                       | \$3,071.13                             | \$-301.77        |
| MERCK & CO INC                    | 58933Y105 | 86               | 08/23/10            | 06/23/11  | \$2,977.80                       | \$2,982.47                             | \$-4.67          |
| WAL MART STORES INC               | 931142103 | 68               | 10/13/10            | 06/23/11  | \$3,591.64                       | \$3,676.76                             | \$-85.12         |
| STAPLES INC                       | 855030102 | 148              | 12/14/10            | 06/23/11  | \$2,257.73                       | \$3,268.85                             | \$-1,011.12      |
| ANNALY MTG MGMT INC               | 035710409 | 26               | 12/15/10            | 06/28/11  | \$467.73                         | \$471.12                               | \$-3.39          |
| ANNALY MTG MGMT INC               | 035710409 | 22               | 07/09/10            | 06/28/11  | \$395.78                         | \$396.00                               | \$-0.22          |
| TREND MICRO INC SPONSORED ADR NEW | 89486M206 | 90               | 12/14/10            | 06/29/11  | \$2,793.84                       | \$3,015.00                             | \$-221.16        |
| FEDERAL HOME LN MTG CORP          | 3128M8A87 | 3981             | 02/08/11            | 06/30/11  | \$3,981.11                       | \$4,170.63                             | \$-189.52        |
| FEDERAL NATL MTG ASSN             | 3138A7FZ6 | 552              | 02/09/11            | 06/30/11  | \$552.29                         | \$552.29                               | \$0.00           |
| FEDERAL NATL MTG ASSN             | 31410GRN5 | 466              | 01/26/11            | 06/30/11  | \$466.01                         | \$497.55                               | \$-31.54         |
| FEDERAL NATL MTG ASSN             | 3141ICDR9 | 200              | 05/10/11            | 06/30/11  | \$200.51                         | \$216.96                               | \$-16.45         |
| FEDERAL NATL MTG ASSN             | 31414PUU1 | 171              | 06/10/11            | 06/30/11  | \$171.75                         | \$186.75                               | \$-15.00         |
| AMERISOURCEBERGEN CORP            | 03073E105 | 19               | 08/20/10            | 06/30/11  | \$788.24                         | \$551.11                               | \$237.13         |
| FEDERAL NATL MTG ASSN             | 31416WRK0 | 1352             | 02/02/11            | 06/30/11  | \$1,352.91                       | \$1,372.18                             | \$-19.27         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN          | 31419ADV6 | 953 58           | 04/19/11            | 06/30/11  | \$953 58                         | \$1,023 84                             | \$-70 26         |
| FEDERAL NATL MTG ASSN          | 31419BCW3 | 1303 97          | 03/02/11            | 06/30/11  | \$1,303 97                       | \$1,327 35                             | \$-23 38         |
| AMERISOURCEBERGEN CORP         | 03073E105 | 3                | 08/20/10            | 06/30/11  | \$124 46                         | \$87 02                                | \$37 44          |
| FEDERAL NATL MTG ASSN          | 31406Y4E7 | 383 03           | 01/26/11            | 06/30/11  | \$383 03                         | \$402 44                               | \$-19 41         |
| LINDSAY MFG CO                 | 535555106 | 4                | 11/24/10            | 07/01/11  | \$282 64                         | \$293 47                               | \$-10 83         |
| DEXCOM INC                     | 252131107 | 29               | 12/15/10            | 07/01/11  | \$422 56                         | \$372 87                               | \$49 69          |
| PARAMETRIC TECHNOLOGY CORP NEW | 699173209 | 110              | 02/07/11            | 07/01/11  | \$2,526 12                       | \$2,552 05                             | \$-25 93         |
| LINDSAY MFG CO                 | 535555106 | 4                | 02/25/11            | 07/01/11  | \$282 63                         | \$304 16                               | \$-21 53         |
| ALEXION PHARMACEUTICALS INC    | 015351109 | 8                | 12/15/10            | 07/01/11  | \$377 97                         | \$316 51                               | \$61 46          |
| NETSPEND HLDGS INC             | 64118V106 | 2                | 12/15/10            | 07/01/11  | \$20 07                          | \$28 45                                | \$-8 38          |
| LINDSAY MFG CO                 | 535555106 | 6                | 12/15/10            | 07/01/11  | \$423 95                         | \$414 05                               | \$9 90           |
| AUXILIUM PHARMACEUTICALS INC   | 05334D107 | 36               | 07/12/10            | 07/01/11  | \$707 39                         | \$814 93                               | \$-107 54        |
| AUXILIUM PHARMACEUTICALS INC   | 05334D107 | 34               | 12/15/10            | 07/01/11  | \$668 10                         | \$704 40                               | \$-36 30         |
| BPZ ENERGY INC                 | 055639108 | 518              | 03/18/11            | 07/01/11  | \$1,808 56                       | \$2,787 93                             | \$-979 37        |
| ARUBA NETWORKS INC             | 043176106 | 56               | 12/15/10            | 07/01/11  | \$1,662 13                       | \$1,255 94                             | \$406 19         |
| HOSPIRA INC                    | 441060100 | 14               | 12/11/10            | 07/05/11  | \$760 64                         | \$791 81                               | \$-31 17         |
| HOSPIRA INC                    | 441060100 | 4                | 04/16/11            | 07/05/11  | \$217 32                         | \$228 98                               | \$-11 66         |
| NETSPEND HLDGS INC             | 64118V106 | 4                | 12/15/10            | 07/05/11  | \$39 98                          | \$56 91                                | \$-16 93         |
| OSHKOSH TRUCK CORP CL B        | 688239201 | 17               | 07/30/10            | 07/05/11  | \$550 83                         | \$578 92                               | \$-28 09         |
| NETSPEND HLDGS INC             | 64118V106 | 10               | 12/15/10            | 07/06/11  | \$98 28                          | \$142 28                               | \$-44 00         |
| MEDNAX INC                     | 58502B106 | 11               | 04/12/11            | 07/06/11  | \$797 12                         | \$745 05                               | \$52 07          |
| MEDNAX INC                     | 58502B106 | 2                | 04/27/11            | 07/06/11  | \$144 93                         | \$145 91                               | \$-0 98          |
| SEMPRA ENERGY                  | 816851109 | 2                | 12/15/10            | 07/06/11  | \$106 34                         | \$103 12                               | \$3 22           |
| MEDNAX INC                     | 58502B106 | 8                | 04/12/11            | 07/06/11  | \$579 73                         | \$541 85                               | \$37 88          |
| SEMPRA ENERGY                  | 816851109 | 9                | 12/15/10            | 07/06/11  | \$478 54                         | \$464 04                               | \$14 50          |
| KOMATSU LTD ADR (NEW)          | 500458401 | 88               | 12/14/10            | 07/07/11  | \$2,783 36                       | \$2,648 80                             | \$134 56         |

### Short term transactions

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| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SUPERIOR ENERGY SVCS INC         | 868157108 | 10               | 05/13/11            | 07/07/11  | \$394.20                         | \$351.95                               | \$42.25          |
| NETSPEND HLDGS INC               | 64118V106 | 7                | 12/15/10            | 07/07/11  | \$69.29                          | \$99.59                                | \$-30.30         |
| TJX COS INC NEW                  | 872540109 | 6                | 12/15/10            | 07/08/11  | \$329.96                         | \$266.22                               | \$63.74          |
| NETSPEND HLDGS INC               | 64118V106 | 4                | 10/28/10            | 07/08/11  | \$38.00                          | \$53.19                                | \$-15.19         |
| NETSPEND HLDGS INC               | 64118V106 | 4                | 12/15/10            | 07/08/11  | \$38.01                          | \$56.91                                | \$-18.90         |
| ROYAL DUTCH SHELL PLC SPONSORED  | 780259206 | 2                | 03/25/11            | 07/08/11  | \$144.96                         | \$147.02                               | \$-2.06          |
| PETROLEO BRASILEIRO SA PETROBRAS | 71654V408 | 40               | 12/14/10            | 07/08/11  | \$1,341.32                       | \$1,369.60                             | \$-28.28         |
| NETSPEND HLDGS INC               | 64118V106 | 1                | 10/28/10            | 07/08/11  | \$9.76                           | \$13.30                                | \$-3.54          |
| CHIPOTLE MEXICAN GRILL INC CL A  | 169656105 | 55               | 02/11/11            | 07/08/11  | \$17,858.78                      | \$14,773.30                            | \$3,085.48       |
| LULULEMON ATHLETICA INC          | 550021109 | 54               | 06/03/11            | 07/08/11  | \$6,461.28                       | \$4,778.55                             | \$1,682.73       |
| LULULEMON ATHLETICA INC          | 550021109 | 33               | 05/09/11            | 07/08/11  | \$3,948.56                       | \$3,253.52                             | \$695.04         |
| LULULEMON ATHLETICA INC          | 550021109 | 53               | 04/29/11            | 07/08/11  | \$6,341.62                       | \$5,270.46                             | \$1,071.16       |
| LULULEMON ATHLETICA INC          | 550021109 | 3                | 04/29/11            | 07/08/11  | \$358.96                         | \$298.49                               | \$60.47          |
| LULULEMON ATHLETICA INC          | 550021109 | 17               | 04/29/11            | 07/08/11  | \$2,034.11                       | \$1,696.68                             | \$337.43         |
| NETSPEND HLDGS INC               | 64118V106 | 1                | 10/28/10            | 07/11/11  | \$8.61                           | \$13.30                                | \$-4.69          |
| NETSPEND HLDGS INC               | 64118V106 | 4                | 10/28/10            | 07/11/11  | \$35.48                          | \$53.19                                | \$-17.71         |
| CHIPOTLE MEXICAN GRILL INC CL A  | 169656105 | 8                | 02/11/11            | 07/11/11  | \$2,574.92                       | \$2,148.84                             | \$426.08         |
| TALISMAN ENERGY INC              | 87425E103 | 40               | 12/14/10            | 07/11/11  | \$770.98                         | \$818.00                               | \$-47.02         |
| NETSPEND HLDGS INC               | 64118V106 | 8                | 10/28/10            | 07/11/11  | \$71.24                          | \$106.37                               | \$-35.13         |
| NETSPEND HLDGS INC               | 64118V106 | 4                | 10/28/10            | 07/11/11  | \$34.86                          | \$53.19                                | \$-18.33         |
| NETSPEND HLDGS INC               | 64118V106 | 2                | 10/28/10            | 07/11/11  | \$17.62                          | \$26.59                                | \$-8.97          |
| INFOSYS TECHNOLOGIES LTD         | 456788108 | 40               | 12/14/10            | 07/12/11  | \$2,479.86                       | \$2,839.60                             | \$-359.74        |
| APPLE COMPUTER INC               | 037833100 | 9                | 12/14/10            | 07/12/11  | \$3,176.81                       | \$2,893.68                             | \$283.13         |
| AMAZON COM INC                   | 023135106 | 34               | 12/14/10            | 07/13/11  | \$7,300.51                       | \$5,914.82                             | \$1,385.69       |
| V F CORP                         | 918204108 | 5                | 04/04/11            | 07/13/11  | \$581.34                         | \$492.48                               | \$88.86          |
| V F CORP                         | 918204108 | 7                | 12/01/10            | 07/13/11  | \$813.87                         | \$640.02                               | \$173.85         |

### Short term transactions

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|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| V F CORP                             | 918204108 | 3                | 12/01/10            | 07/13/11  | \$348 80                         | \$274 29                               | \$74 51          |
| V F CORP                             | 918204108 | 7                | 01/28/11            | 07/13/11  | \$813 88                         | \$577 86                               | \$236 02         |
| V F CORP                             | 918204108 | 7                | 01/28/11            | 07/13/11  | \$813 88                         | \$577 87                               | \$236 01         |
| PRAXAIR INC                          | 7400SP104 | 45               | 12/14/10            | 07/13/11  | \$4,830 86                       | \$4,155 19                             | \$675 67         |
| NETFLIX COM INC                      | 64110L106 | 13               | 12/28/10            | 07/13/11  | \$3,889 70                       | \$2,384 26                             | \$1,505 44       |
| NETFLIX COM INC                      | 64110L106 | 16               | 01/05/11            | 07/13/11  | \$4,787 33                       | \$2,910 25                             | \$1,877 08       |
| FMC TECHNOLOGIES INC                 | 30249U101 | 45               | 12/14/10            | 07/13/11  | \$1,990 17                       | \$1,965 63                             | \$24 54          |
| FMC TECHNOLOGIES INC                 | 30249U101 | 31               | 12/14/10            | 07/13/11  | \$1,371 47                       | \$1,354 10                             | \$17 37          |
| TAIWAN SEMICONDUCTOR MFG ADR         | 874039100 | 222              | 12/14/10            | 07/14/11  | \$2,734 03                       | \$2,643 74                             | \$90 29          |
| FMC TECHNOLOGIES INC                 | 30249U101 | 24               | 12/14/10            | 07/14/11  | \$1,035 97                       | \$1,048 34                             | \$-12 37         |
| FMC TECHNOLOGIES INC                 | 30249U101 | 90               | 12/14/10            | 07/14/11  | \$3,864 83                       | \$3,931 26                             | \$-66 43         |
| MEDICIS PHARMACEUTICAL CORP CL A NEW | 584690309 | 192              | 01/21/11            | 07/14/11  | \$7,449 00                       | \$4,832 64                             | \$2,616 36       |
| PETROHAWK ENERGY CORP                | 716495106 | 59               | 12/15/10            | 07/15/11  | \$2,252 58                       | \$1,094 45                             | \$1,158 13       |
| PETROHAWK ENERGY CORP                | 716495106 | 17               | 05/04/11            | 07/15/11  | \$649 05                         | \$416 02                               | \$233 03         |
| LULULEMON ATHLETICA INC              | 550021109 | 204              | 06/03/11            | 07/19/11  | \$12,738 27                      | \$9,026 16                             | \$3,712 11       |
| LULULEMON ATHLETICA INC              | 550021109 | 9                | 03/21/11            | 07/19/11  | \$561 98                         | \$342 99                               | \$218 99         |
| LULULEMON ATHLETICA INC              | 550021109 | 48               | 06/06/11            | 07/19/11  | \$2,997 24                       | \$2,016 73                             | \$980 51         |
| OIL STS INTL INC                     | 678026105 | 10               | 01/21/11            | 07/20/11  | \$840 98                         | \$629 50                               | \$211 48         |
| ALLIANT TECHSYSTEMS INC              | 018804104 | 10               | 12/09/10            | 07/20/11  | \$681 63                         | \$778 09                               | \$-96 46         |
| FORTINET INC                         | 34959E109 | 22               | 01/14/11            | 07/20/11  | \$460 89                         | \$395 16                               | \$65 73          |
| CHART INDS INC                       | 16115Q308 | 6                | 07/01/11            | 07/20/11  | \$364 21                         | \$346 98                               | \$17 23          |
| COMMVAULT SYS INC                    | 204166102 | 26               | 04/04/11            | 07/20/11  | \$1,114 66                       | \$1,028 29                             | \$86 37          |
| ARIBA INC NEW                        | 04033V203 | 20               | 04/04/11            | 07/20/11  | \$678 24                         | \$691 35                               | \$-13 11         |
| TIBCO SOFTWARE INC                   | 88632Q103 | 25               | 09/02/10            | 07/20/11  | \$689 55                         | \$367 31                               | \$322 24         |
| UNIVERSAL DISPLAY CORP               | 91347P105 | 63               | 11/23/10            | 07/20/11  | \$1,884 75                       | \$1,532 62                             | \$352 13         |
| SEMTECH CORP                         | 816850101 | 20               | 12/15/10            | 07/20/11  | \$505 24                         | \$473 15                               | \$32 09          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ROBBINS & MYERS INC            | 770196103 | 29               | 12/15/10            | 07/20/11  | \$1,515.86                       | \$1,016.66                             | \$499.20         |
| ROBBINS & MYERS INC            | 770196103 | 35               | 09/02/10            | 07/20/11  | \$1,829.48                       | \$866.73                               | \$962.75         |
| HUTCHISON WHAMPOA LTD ADR      | 448415208 | 0.5              | 12/14/10            | 07/20/11  | \$10.58                          | \$10.34                                | \$0.24           |
| PARAMETRIC TECHNOLOGY CORP NEW | 699173209 | 33               | 02/07/11            | 07/20/11  | \$717.49                         | \$765.61                               | \$-48.12         |
| NETSPEND HLDGS INC             | 64118V106 | 29               | 10/28/10            | 07/20/11  | \$222.37                         | \$385.61                               | \$-163.24        |
| NETSPEND HLDGS INC             | 64118V106 | 65               | 11/23/10            | 07/20/11  | \$498.40                         | \$859.79                               | \$-361.39        |
| NETSPEND HLDGS INC             | 64118V106 | 59               | 03/18/11            | 07/20/11  | \$452.40                         | \$596.98                               | \$-144.58        |
| KEY ENERGY GROUP INC           | 492914106 | 45               | 12/14/10            | 07/20/11  | \$874.81                         | \$517.83                               | \$356.98         |
| JACK IN THE BOX INC            | 466367109 | 15               | 01/21/11            | 07/20/11  | \$351.23                         | \$337.50                               | \$13.73          |
| COMPLETE PRODTN SVCS INC       | 20453E109 | 30               | 02/09/11            | 07/20/11  | \$1,144.39                       | \$768.70                               | \$375.69         |
| EXPEDIA INC DEL                | 30212P105 | 34               | 11/18/10            | 07/20/11  | \$1,017.32                       | \$771.29                               | \$246.03         |
| EXPEDIA INC DEL                | 30212P105 | 4                | 05/13/11            | 07/20/11  | \$119.68                         | \$101.45                               | \$18.23          |
| LMI AEROSPACE INC              | 502079106 | 5                | 08/16/10            | 07/20/11  | \$117.39                         | \$80.78                                | \$36.61          |
| LMI AEROSPACE INC              | 502079106 | 11               | 08/16/10            | 07/21/11  | \$259.08                         | \$177.71                               | \$81.37          |
| LMI AEROSPACE INC              | 502079106 | 21               | 08/16/10            | 07/21/11  | \$498.27                         | \$339.26                               | \$159.01         |
| ENCANA CORP                    | 292505104 | 46               | 12/14/10            | 07/21/11  | \$1,447.26                       | \$1,312.15                             | \$135.11         |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 292              | 12/14/10            | 07/21/11  | \$18,547.13                      | \$18,512.63                            | \$34.50          |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 41               | 12/17/10            | 07/21/11  | \$2,604.22                       | \$2,566.49                             | \$37.73          |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 376              | 04/20/11            | 07/21/11  | \$23,882.61                      | \$22,033.98                            | \$1,848.63       |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 13               | 12/02/10            | 07/21/11  | \$825.73                         | \$803.80                               | \$21.93          |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 15               | 12/02/10            | 07/21/11  | \$952.76                         | \$922.71                               | \$30.05          |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 854              | 11/19/10            | 07/21/11  | \$54,244.02                      | \$51,844.21                            | \$2,399.81       |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 36               | 04/20/11            | 07/21/11  | \$2,286.63                       | \$2,117.22                             | \$169.41         |
| MEDCO HEALTH SOLUTIONS INC     | 58405U102 | 125              | 12/20/10            | 07/21/11  | \$7,939.70                       | \$7,752.71                             | \$186.99         |
| FANUC LTD                      | 307305102 | 102              | 07/30/10            | 07/22/11  | \$3,153.32                       | \$2,037.12                             | \$1,116.20       |
| FANUC LTD                      | 307305102 | 117              | 12/14/10            | 07/22/11  | \$3,617.04                       | \$2,954.25                             | \$662.79         |

### Short term transactions

This category includes sales of assets held 12 months or less

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PATTERSON-UTI ENERGY INC           | 703481101 | 35               | 05/09/11            | 07/25/11  | \$1,176.36                       | \$1,057.48                             | \$118.88         |
| CROWN HLDGS INC COM 144A           | 228368106 | 2                | 04/08/11            | 07/25/11  | \$77.68                          | \$77.47                                | \$0.21           |
| THERMO ELECTRON CORP               | 883556102 | 28               | 12/14/10            | 07/25/11  | \$1,798.20                       | \$1,552.60                             | \$245.60         |
| CROWN HLDGS INC COM 144A           | 228368106 | 2                | 12/15/10            | 07/25/11  | \$77.68                          | \$67.56                                | \$10.12          |
| CROWN HLDGS INC COM 144A           | 228368106 | 37               | 02/14/11            | 07/25/11  | \$1,437.07                       | \$1,403.80                             | \$33.27          |
| MYLAN LABS INC                     | 628530107 | 13               | 04/11/11            | 07/26/11  | \$305.89                         | \$306.22                               | \$-0.33          |
| MYLAN LABS INC                     | 628530107 | 34               | 03/01/11            | 07/26/11  | \$800.01                         | \$775.87                               | \$24.14          |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 41               | 12/14/10            | 07/26/11  | \$6,746.59                       | \$4,376.14                             | \$2,370.45       |
| DIANA SHIPPING INC                 | Y2066G104 | 501              | 01/21/11            | 07/26/11  | \$4,993.82                       | \$5,991.96                             | \$-998.14        |
| MYLAN LABS INC                     | 628530107 | 30               | 03/01/11            | 07/26/11  | \$705.89                         | \$684.60                               | \$21.29          |
| NISOURCE INC                       | 65473P105 | 27               | 11/04/10            | 07/27/11  | \$552.13                         | \$479.56                               | \$72.57          |
| HOSPIRA INC                        | 441060100 | 10               | 12/11/10            | 07/27/11  | \$528.29                         | \$565.58                               | \$-37.29         |
| HOSPIRA INC                        | 441060100 | 5                | 05/05/11            | 07/27/11  | \$264.14                         | \$279.19                               | \$-15.05         |
| HOSPIRA INC                        | 441060100 | 1                | 12/11/10            | 07/27/11  | \$52.83                          | \$56.56                                | \$-3.73          |
| SEMPRA ENERGY                      | 816851109 | 28               | 12/15/10            | 07/28/11  | \$1,438.21                       | \$1,443.68                             | \$-5.47          |
| FEDERAL NATL MTG ASSN              | 31416WRK0 | 1182.6           | 02/02/11            | 07/31/11  | \$1,182.60                       | \$1,198.93                             | \$-16.33         |
| FEDERAL NATL MTG ASSN              | 31418MHD7 | 94.98            | 07/11/11            | 07/31/11  | \$94.98                          | \$94.98                                | \$0.00           |
| FEDERAL NATL MTG ASSN              | 31419ADV6 | 895.7            | 04/19/11            | 07/31/11  | \$895.70                         | \$959.80                               | \$-64.10         |
| FEDERAL NATL MTG ASSN              | 31419BCW3 | 1981.89          | 03/02/11            | 07/31/11  | \$1,981.89                       | \$2,016.90                             | \$-35.01         |
| FEDERAL NATL MTG ASSN              | 3138A7FZ6 | 1000.68          | 02/09/11            | 07/31/11  | \$1,000.68                       | \$1,000.68                             | \$0.00           |
| FEDERAL NATL MTG ASSN              | 31411CDR9 | 279.65           | 05/10/11            | 07/31/11  | \$279.65                         | \$302.59                               | \$-22.94         |
| FEDERAL NATL MTG ASSN              | 31414PUJ1 | 182.8            | 06/10/11            | 07/31/11  | \$182.80                         | \$198.77                               | \$-15.97         |
| FEDERAL NATL MTG ASSN              | 31406Y4E7 | 380.91           | 01/26/11            | 07/31/11  | \$380.91                         | \$399.94                               | \$-19.03         |
| FEDERAL HOME LN MTG CORP           | 3128M8A87 | 3392.67          | 02/08/11            | 07/31/11  | \$3,392.67                       | \$3,546.42                             | \$-153.75        |
| FEDERAL NATL MTG ASSN              | 31410GRN5 | 472.47           | 01/26/11            | 07/31/11  | \$472.47                         | \$503.92                               | \$-31.45         |
| AMAZON COM INC                     | 02313S106 | 8                | 03/10/11            | 08/01/11  | \$1,781.75                       | \$1,335.30                             | \$446.45         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 132              | 12/14/10            | 08/01/11  | \$20,731.57                      | \$14,089.05                            | \$6,642.52       |
| ALLERGAN INC                       | 018490102 | 19               | 12/15/10            | 08/01/11  | \$1,511.16                       | \$1,340.30                             | \$170.86         |
| ALLERGAN INC                       | 018490102 | 19               | 12/15/10            | 08/01/11  | \$1,513.25                       | \$1,340.30                             | \$172.95         |
| AMAZON COM INC                     | 023135106 | 101              | 12/14/10            | 08/01/11  | \$22,494.59                      | \$17,570.51                            | \$4,924.08       |
| PRICELINE COM INC                  | 741503403 | 1                | 03/10/11            | 08/01/11  | \$535.99                         | \$466.10                               | \$69.89          |
| SALESFORCE COM INC                 | 794661302 | 15               | 12/14/10            | 08/01/11  | \$2,191.38                       | \$2,042.39                             | \$148.99         |
| PRICELINE COM INC                  | 741503403 | 9                | 06/03/11            | 08/01/11  | \$4,823.93                       | \$4,620.36                             | \$203.57         |
| PRICELINE COM INC                  | 741503403 | 4                | 05/27/11            | 08/01/11  | \$2,134.42                       | \$2,117.52                             | \$16.90          |
| PRICELINE COM INC                  | 741503403 | 6                | 06/03/11            | 08/01/11  | \$3,201.64                       | \$3,080.24                             | \$121.40         |
| SALESFORCE COM INC                 | 794661302 | 16               | 12/14/10            | 08/01/11  | \$2,304.80                       | \$2,178.55                             | \$126.25         |
| SANDISK CORP                       | 80004C101 | 6                | 10/11/10            | 08/03/11  | \$253.71                         | \$299.34                               | \$-45.63         |
| SANDISK CORP                       | 80004C101 | 16               | 01/23/11            | 08/03/11  | \$676.56                         | \$747.27                               | \$-70.71         |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 5                | 12/07/10            | 08/03/11  | \$142.53                         | \$141.21                               | \$1.32           |
| EMDEON INC                         | 29084T104 | 81               | 01/21/11            | 08/03/11  | \$1,308.50                       | \$1,193.11                             | \$115.39         |
| SANDISK CORP                       | 80004C101 | 3                | 04/01/11            | 08/03/11  | \$126.86                         | \$138.64                               | \$-11.78         |
| CITIGROUP INC                      | 172967424 | 57               | 02/18/11            | 08/04/11  | \$2,022.38                       | \$2,798.70                             | \$-776.32        |
| CITIGROUP INC                      | 172967424 | 109.2            | 02/08/11            | 08/04/11  | \$3,874.45                       | \$5,350.47                             | \$-1,476.02      |
| CITIGROUP INC                      | 172967424 | 73.8             | 01/28/11            | 08/04/11  | \$2,618.45                       | \$3,533.17                             | \$-914.72        |
| CITIGROUP INC                      | 172967424 | 311.6            | 12/22/10            | 08/04/11  | \$11,055.67                      | \$14,683.84                            | \$-3,628.17      |
| CITIGROUP INC                      | 172967424 | 156.4            | 03/01/11            | 08/04/11  | \$5,549.12                       | \$7,241.01                             | \$-1,691.89      |
| CITIGROUP INC                      | 172967424 | 296              | 05/11/11            | 08/04/11  | \$10,502.17                      | \$12,700.92                            | \$-2,198.75      |
| SALESFORCE COM INC                 | 794661302 | 2                | 12/14/10            | 08/04/11  | \$284.87                         | \$272.32                               | \$12.55          |
| NOVO-NORDISK A S ADR               | 670100205 | 5                | 12/14/10            | 08/04/11  | \$564.32                         | \$545.95                               | \$18.37          |
| AMAZON COM INC                     | 023135106 | 1                | 03/10/11            | 08/04/11  | \$206.71                         | \$166.91                               | \$39.80          |
| ACCENTURE PLC                      | G1151C101 | 132              | 06/23/11            | 08/04/11  | \$7,615.70                       | \$7,293.30                             | \$322.40         |
| ALLERGAN INC                       | 018490102 | 1                | 12/15/10            | 08/04/11  | \$78.38                          | \$70.54                                | \$7.84           |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EMDEON INC                      | 29084TI04 | 324              | 01/21/11            | 08/04/11  | \$5,984 39                       | \$4,772 42                             | \$1,211 97       |
| INTERNATIONAL BUSINESS MACHINES | 459200101 | 38               | 06/23/11            | 08/04/11  | \$6,602 53                       | \$6,252 26                             | \$350 27         |
| ALPHA NAT RES INC               | 02076XI02 | 289              | 05/02/11            | 08/04/11  | \$9,950 45                       | \$16,877 14                            | \$-6,926 69      |
| WRJGHT EXPRESS CORP             | 98233Q105 | 2                | 04/04/11            | 08/05/11  | \$90 87                          | \$107 00                               | \$-16 13         |
| COOPER TIRE & RUBBER CO         | 216831107 | 19               | 09/27/10            | 08/05/11  | \$226 35                         | \$380 60                               | \$-154 25        |
| VOLCANO CORP                    | 928645100 | 26               | 05/23/11            | 08/05/11  | \$718 90                         | \$754 21                               | \$-35 31         |
| TEMPUR PEDIC INTL INC           | 88023U101 | 14               | 07/20/11            | 08/05/11  | \$896 95                         | \$954 63                               | \$-57 68         |
| SILICON IMAGE INC               | 82705TI02 | 1                | 03/25/11            | 08/05/11  | \$6 25                           | \$11 72                                | \$-5 47          |
| SILICON IMAGE INC               | 82705TI02 | 49               | 03/21/11            | 08/05/11  | \$306 38                         | \$577 50                               | \$-271 12        |
| SILICON IMAGE INC               | 82705TI02 | 13               | 04/15/11            | 08/05/11  | \$81 28                          | \$132 12                               | \$-50 84         |
| SILICON IMAGE INC               | 82705TI02 | 15               | 04/13/11            | 08/05/11  | \$93 79                          | \$152 15                               | \$-58 36         |
| SILICON IMAGE INC               | 82705TI02 | 4                | 05/12/11            | 08/05/11  | \$25 01                          | \$33 46                                | \$-8 45          |
| OASIS PETE INC NEW              | 674215108 | 26               | 04/17/11            | 08/05/11  | \$633 70                         | \$842 06                               | \$-208 36        |
| OMNICELL INC                    | 68213NI09 | 41               | 12/15/10            | 08/05/11  | \$616 83                         | \$582 89                               | \$33 94          |
| NETSCOUT SYS INC                | 64115TI04 | 13               | 12/15/10            | 08/05/11  | \$179 19                         | \$297 41                               | \$-118 22        |
| NETSCOUT SYS INC                | 64115TI04 | 13               | 09/02/10            | 08/05/11  | \$179 20                         | \$214 29                               | \$-35 09         |
| COPART INC                      | 217204106 | 50               | 12/14/10            | 08/05/11  | \$2,030 19                       | \$1,767 00                             | \$263 19         |
| MERITOR INC                     | 59001K100 | 61               | 12/15/10            | 08/05/11  | \$581 93                         | \$1,220 46                             | \$-638 53        |
| MERITOR INC                     | 59001K100 | 48               | 02/07/11            | 08/05/11  | \$457 91                         | \$931 77                               | \$-473 86        |
| MERITOR INC                     | 59001K100 | 17               | 09/02/10            | 08/05/11  | \$162 18                         | \$243 08                               | \$-80 90         |
| KENEXA CORP                     | 488879107 | 29               | 12/21/10            | 08/05/11  | \$688 70                         | \$636 67                               | \$52 03          |
| KULICKE & SOFFA INDS INC        | 501242101 | 47               | 04/18/11            | 08/05/11  | \$409 23                         | \$647 99                               | \$-238 76        |
| KULICKE & SOFFA INDS INC        | 501242101 | 90               | 05/09/11            | 08/05/11  | \$783 62                         | \$1,126 22                             | \$-342 60        |
| KULICKE & SOFFA INDS INC        | 501242101 | 78               | 05/23/11            | 08/05/11  | \$679 14                         | \$908 38                               | \$-229 24        |
| LMI AEROSPACE INC               | 502079106 | 19               | 08/16/10            | 08/05/11  | \$398 98                         | \$306 95                               | \$92 03          |
| IMAX CORP                       | 45245E109 | 22               | 09/02/10            | 08/05/11  | \$366 94                         | \$319 38                               | \$47 56          |

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FLUIDIGM CORP DEL              | 34385P108 | 70               | 03/18/11            | 08/05/11  | \$1,174 23                       | \$1,113 62                             | \$60 61          |
| HEXCEL CORP NEW                | 428291108 | 82               | 12/21/10            | 08/05/11  | \$1,704 85                       | \$1,497 01                             | \$207 84         |
| CONCUR TECHNOLOGIES INC        | 206708109 | 5                | 12/15/10            | 08/05/11  | \$203 78                         | \$265 34                               | \$-61 56         |
| CONCUR TECHNOLOGIES INC        | 206708109 | 6                | 09/14/10            | 08/05/11  | \$244 54                         | \$306 64                               | \$-62 10         |
| WRIGHT EXPRESS CORP            | 98233Q105 | 18               | 12/15/10            | 08/05/11  | \$817 79                         | \$829 93                               | \$-12 14         |
| CF INDS HLDGS INC              | 125269100 | 9                | 08/03/11            | 08/08/11  | \$1,305 82                       | \$1,380 39                             | \$-74 57         |
| BLACKBOARD INC                 | 091935502 | 31               | 12/07/10            | 08/09/11  | \$1,264 90                       | \$1,326 20                             | \$-61 30         |
| FS NETWORKS INC                | 315616102 | 25               | 12/14/10            | 08/09/11  | \$1,896 92                       | \$3,347 12                             | \$-1,450 20      |
| BLACKBOARD INC                 | 091935502 | 11               | 12/09/10            | 08/09/11  | \$448 83                         | \$470 10                               | \$-21 27         |
| BLACKBOARD INC                 | 091935502 | 43               | 12/14/10            | 08/09/11  | \$1,754 54                       | \$1,807 29                             | \$-52 75         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 25               | 12/29/10            | 08/09/11  | \$1,630 08                       | \$2,041 93                             | \$-411 85        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 19               | 12/29/10            | 08/09/11  | \$1,234 41                       | \$1,551 86                             | \$-317 45        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 31               | 07/25/11            | 08/09/11  | \$2,021 30                       | \$2,517 52                             | \$-496 22        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 3                | 01/04/11            | 08/09/11  | \$195 61                         | \$241 12                               | \$-45 51         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 52               | 12/21/10            | 08/09/11  | \$3,390 57                       | \$4,173 44                             | \$-782 87        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 152              | 12/31/10            | 08/09/11  | \$9,910 91                       | \$12,195 99                            | \$-2,285 08      |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 14               | 12/30/10            | 08/09/11  | \$912 85                         | \$1,123 21                             | \$-210 36        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 38               | 07/26/11            | 08/09/11  | \$2,477 73                       | \$3,047 51                             | \$-569 78        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 14               | 07/22/11            | 08/09/11  | \$912 85                         | \$1,121 36                             | \$-208 51        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 7                | 12/30/10            | 08/09/11  | \$456 42                         | \$560 16                               | \$-103 74        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 7                | 12/31/10            | 08/09/11  | \$456 42                         | \$559 82                               | \$-103 40        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 1                | 12/29/10            | 08/09/11  | \$65 20                          | \$79 78                                | \$-14 58         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 22               | 12/08/10            | 08/09/11  | \$1,434 47                       | \$1,753 52                             | \$-319 05        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 13               | 12/28/10            | 08/09/11  | \$847 64                         | \$1,034 53                             | \$-186 89        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 1                | 12/21/10            | 08/09/11  | \$65 20                          | \$79 42                                | \$-14 22         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 6                | 12/12/10            | 08/09/11  | \$391 22                         | \$475 59                               | \$-84 37         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 146              | 12/17/10            | 08/09/11  | \$9,519.70                       | \$11,534.89                            | \$-2,015.19      |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 87               | 12/17/10            | 08/09/11  | \$5,672.69                       | \$6,867.67                             | \$-1,194.98      |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 15               | 12/20/10            | 08/09/11  | \$978.05                         | \$1,182.94                             | \$-204.89        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 29               | 12/20/10            | 08/09/11  | \$1,890.90                       | \$2,276.60                             | \$-385.70        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 22               | 07/27/11            | 08/09/11  | \$1,434.47                       | \$1,672.96                             | \$-238.49        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 42               | 02/16/11            | 08/09/11  | \$2,738.54                       | \$3,157.52                             | \$-418.98        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 8                | 02/16/11            | 08/09/11  | \$521.63                         | \$598.37                               | \$-76.74         |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 96               | 02/03/11            | 08/09/11  | \$6,259.53                       | \$7,159.39                             | \$-899.86        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 54               | 02/03/11            | 08/09/11  | \$3,522.24                       | \$4,027.16                             | \$-504.92        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 56               | 02/15/11            | 08/09/11  | \$3,652.70                       | \$4,141.78                             | \$-489.08        |
| C H ROBINSON WORLDWIDE INC NEW | 12541W209 | 33               | 02/15/11            | 08/09/11  | \$2,152.48                       | \$2,436.81                             | \$-284.33        |
| ALEXION PHARMACEUTICALS INC    | 015351109 | 57               | 06/06/11            | 08/09/11  | \$2,774.81                       | \$2,698.90                             | \$75.91          |
| ALEXION PHARMACEUTICALS INC    | 015351109 | 174              | 12/14/10            | 08/09/11  | \$8,470.49                       | \$6,786.68                             | \$1,683.81       |
| TD AMERITRADE HLDG CORP        | 87236Y108 | 47               | 12/15/10            | 08/09/11  | \$715.17                         | \$877.02                               | \$-161.85        |
| F5 NETWORKS INC                | 315616102 | 44               | 12/14/10            | 08/09/11  | \$3,222.73                       | \$5,890.93                             | \$-2,668.20      |
| ACME PACKET INC                | 004764106 | 61               | 06/03/11            | 08/09/11  | \$2,918.01                       | \$4,563.08                             | \$-1,645.07      |
| ACME PACKET INC                | 004764106 | 133              | 05/20/11            | 08/10/11  | \$6,336.43                       | \$11,902.55                            | \$-5,566.12      |
| F5 NETWORKS INC                | 315616102 | 18               | 11/26/10            | 08/10/11  | \$1,317.45                       | \$2,921.61                             | \$-1,604.16      |
| ACME PACKET INC                | 004764106 | 19               | 05/21/11            | 08/10/11  | \$905.20                         | \$1,659.04                             | \$-753.84        |
| ACME PACKET INC                | 004764106 | 57               | 05/21/11            | 08/10/11  | \$2,747.04                       | \$4,977.14                             | \$-2,230.10      |
| ACME PACKET INC                | 004764106 | 23               | 06/03/11            | 08/10/11  | \$1,108.45                       | \$1,720.50                             | \$-612.05        |
| ACME PACKET INC                | 004764106 | 11               | 06/03/11            | 08/10/11  | \$530.13                         | \$812.49                               | \$-282.36        |
| ACME PACKET INC                | 004764106 | 1                | 04/08/11            | 08/10/11  | \$48.19                          | \$72.02                                | \$-23.83         |
| ACME PACKET INC                | 004764106 | 43               | 04/13/11            | 08/10/11  | \$2,072.33                       | \$3,096.85                             | \$-1,024.52      |
| ACME PACKET INC                | 004764106 | 27               | 04/15/11            | 08/10/11  | \$1,301.23                       | \$1,944.52                             | \$-643.29        |
| ACME PACKET INC                | 004764106 | 57               | 04/08/11            | 08/10/11  | \$2,747.04                       | \$4,105.06                             | \$-1,358.02      |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALEXION PHARMACEUTICALS INC     | 015351109 | 4                | 12/14/10            | 08/10/11  | \$197 77                         | \$156 02                               | \$41 75          |
| ALEXION PHARMACEUTICALS INC     | 015351109 | 16               | 12/14/10            | 08/10/11  | \$767 91                         | \$624 06                               | \$143 85         |
| PG & E CORP                     | 69331C108 | 19               | 12/15/10            | 08/10/11  | \$740 98                         | \$905 73                               | \$-164 75        |
| PG & E CORP                     | 69331C108 | 2                | 10/28/10            | 08/10/11  | \$78 00                          | \$95 12                                | \$-17 12         |
| F5 NETWORKS INC                 | 315616102 | 5                | 11/26/10            | 08/10/11  | \$374 28                         | \$811 56                               | \$-437 28        |
| ACME PACKET INC                 | 004764106 | 52               | 05/21/11            | 08/10/11  | \$2,477 40                       | \$4,555 74                             | \$-2,078 34      |
| ALEXION PHARMACEUTICALS INC     | 015351109 | 18               | 12/14/10            | 08/11/11  | \$900 06                         | \$702 07                               | \$197 99         |
| ALEXION PHARMACEUTICALS INC     | 015351109 | 63               | 11/19/10            | 08/11/11  | \$3,150 23                       | \$2,357 38                             | \$792 85         |
| ALEXION PHARMACEUTICALS INC     | 015351109 | 55               | 11/19/10            | 08/11/11  | \$2,797 93                       | \$2,058 03                             | \$739 90         |
| ALLIANT TECHSYSTEMS INC         | 018804104 | 104              | 01/21/11            | 08/11/11  | \$5,842 78                       | \$7,878 00                             | \$-2,035 22      |
| BRIGGS & STRATTON CORP          | 109043109 | 366              | 01/21/11            | 08/11/11  | \$4,778 26                       | \$7,567 09                             | \$-2,788 83      |
| F5 NETWORKS INC                 | 315616102 | 57               | 11/19/10            | 08/11/11  | \$4,382 69                       | \$6,994 31                             | \$-2,611 62      |
| F5 NETWORKS INC                 | 315616102 | 11               | 11/26/10            | 08/11/11  | \$845 78                         | \$1,785 43                             | \$-939 65        |
| F5 NETWORKS INC                 | 315616102 | 37               | 11/30/10            | 08/11/11  | \$2,844 91                       | \$5,940 15                             | \$-3,095 24      |
| F5 NETWORKS INC                 | 315616102 | 1                | 11/30/10            | 08/11/11  | \$76 89                          | \$160 29                               | \$-83 40         |
| F5 NETWORKS INC                 | 315616102 | 28               | 12/14/10            | 08/11/11  | \$2,152 90                       | \$3,748 78                             | \$-1,595 88      |
| F5 NETWORKS INC                 | 315616102 | 25               | 11/26/10            | 08/11/11  | \$1,911 71                       | \$4,057 78                             | \$-2,146 07      |
| INTERNATIONAL PWR PLC SPONSORED | 46018M104 | 50               | 12/14/10            | 08/12/11  | \$2,350 30                       | \$3,362 50                             | \$-1,012 20      |
| DENDREON CORP                   | 24823Q107 | 15               | 05/26/11            | 08/12/11  | \$156 95                         | \$623 21                               | \$-466 26        |
| DENDREON CORP                   | 24823Q107 | 671              | 06/02/11            | 08/12/11  | \$7,020 94                       | \$27,775 84                            | \$-20,754 90     |
| DENDREON CORP                   | 24823Q107 | 160              | 06/01/11            | 08/12/11  | \$1,674 14                       | \$6,613 95                             | \$-4,939 81      |
| DENDREON CORP                   | 24823Q107 | 1                | 05/02/11            | 08/12/11  | \$10 46                          | \$41 31                                | \$-30 85         |
| DENDREON CORP                   | 24823Q107 | 38               | 05/02/11            | 08/12/11  | \$397 61                         | \$1,564 11                             | \$-1,166 50      |
| DENDREON CORP                   | 24823Q107 | 40               | 05/06/11            | 08/12/11  | \$418 54                         | \$1,639 18                             | \$-1,220 64      |
| DENDREON CORP                   | 24823Q107 | 44               | 05/25/11            | 08/12/11  | \$460 39                         | \$1,795 86                             | \$-1,335 47      |
| DENDREON CORP                   | 24823Q107 | 37               | 05/26/11            | 08/12/11  | \$387 15                         | \$1,508 03                             | \$-1,120 88      |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| DENDREON CORP                   | 24823Q107 | 1                | 05/07/11            | 08/12/11  | \$10.46                          | \$40.68                                | \$-30.22         |
| DENDREON CORP                   | 24823Q107 | 3                | 05/25/11            | 08/12/11  | \$31.39                          | \$121.81                               | \$-90.42         |
| DENDREON CORP                   | 24823Q107 | 16               | 05/25/11            | 08/12/11  | \$167.41                         | \$647.25                               | \$-479.84        |
| DENDREON CORP                   | 24823Q107 | 3                | 05/01/11            | 08/12/11  | \$31.39                          | \$121.30                               | \$-89.91         |
| DENDREON CORP                   | 24823Q107 | 26               | 06/07/11            | 08/12/11  | \$272.05                         | \$1,050.90                             | \$-778.85        |
| DENDREON CORP                   | 24823Q107 | 50               | 05/01/11            | 08/12/11  | \$523.17                         | \$2,014.32                             | \$-1,491.15      |
| DENDREON CORP                   | 24823Q107 | 49               | 06/06/11            | 08/12/11  | \$512.71                         | \$1,955.97                             | \$-1,443.26      |
| DENDREON CORP                   | 24823Q107 | 8                | 05/25/11            | 08/12/11  | \$83.71                          | \$319.03                               | \$-235.32        |
| DENDREON CORP                   | 24823Q107 | 1                | 05/20/11            | 08/12/11  | \$10.46                          | \$39.01                                | \$-28.55         |
| DENDREON CORP                   | 24823Q107 | 4                | 05/20/11            | 08/12/11  | \$41.85                          | \$156.03                               | \$-114.18        |
| DENDREON CORP                   | 24823Q107 | 130              | 05/20/11            | 08/12/11  | \$1,360.24                       | \$5,070.92                             | \$-3,710.68      |
| DENDREON CORP                   | 24823Q107 | 1                | 05/20/11            | 08/12/11  | \$10.46                          | \$38.90                                | \$-28.44         |
| DENDREON CORP                   | 24823Q107 | 66               | 05/20/11            | 08/12/11  | \$690.59                         | \$2,567.12                             | \$-1,876.53      |
| DENDREON CORP                   | 24823Q107 | 2                | 05/20/11            | 08/12/11  | \$20.93                          | \$77.79                                | \$-56.86         |
| DENDREON CORP                   | 24823Q107 | 10               | 05/23/11            | 08/12/11  | \$104.63                         | \$388.70                               | \$-284.07        |
| INSITUFORM TECHNOLOGIES INC CLA | 457667103 | 137              | 04/29/11            | 08/12/11  | \$2,073.99                       | \$3,425.00                             | \$-1,351.01      |
| INSITUFORM TECHNOLOGIES INC CLA | 457667103 | 183              | 05/03/11            | 08/12/11  | \$2,770.36                       | \$4,512.52                             | \$-1,742.16      |
| INSITUFORM TECHNOLOGIES INC CLA | 457667103 | 39               | 05/01/11            | 08/12/11  | \$590.41                         | \$826.81                               | \$-236.40        |
| INSITUFORM TECHNOLOGIES INC CLA | 457667103 | 25               | 05/06/11            | 08/12/11  | \$378.46                         | \$502.26                               | \$-123.80        |
| INSITUFORM TECHNOLOGIES INC CLA | 457667103 | 89               | 06/16/11            | 08/12/11  | \$1,347.34                       | \$1,622.43                             | \$-275.09        |
| DENDREON CORP                   | 24823Q107 | 8                | 05/19/11            | 08/12/11  | \$83.71                          | \$306.96                               | \$-223.25        |
| DENDREON CORP                   | 24823Q107 | 12               | 05/20/11            | 08/12/11  | \$125.56                         | \$460.20                               | \$-334.64        |
| ANNALY MTG MGMT INC             | 035710409 | 36               | 03/03/11            | 08/15/11  | \$659.47                         | \$645.11                               | \$14.36          |
| UTL HLDG CORP                   | 902748102 | 13               | 05/09/11            | 08/16/11  | \$418.77                         | \$431.30                               | \$-12.53         |
| CF INDS HLDGS INC               | 125269100 | 5                | 05/09/11            | 08/16/11  | \$840.27                         | \$711.24                               | \$129.03         |
| TAL INTL GROUP INC              | 874083108 | 4                | 12/15/10            | 08/16/11  | \$112.26                         | \$120.49                               | \$-8.23          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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| Description                    | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TAL INTL GROUP INC             | 874083108 | 6                | 12/15/10            | 08/16/11  | \$168 38                         | \$165 54 *                             | \$2 84           |
| TENNANT CO                     | 880345103 | 4                | 07/20/11            | 08/16/11  | \$151 45                         | \$167 27                               | \$-15 82         |
| SIGNATURE BK NEW YORK N Y      | 82669G104 | 14               | 12/15/10            | 08/16/11  | \$743 78                         | \$665 95                               | \$77 83          |
| OCZ TECHNOLOGY GROUP INC       | 67086E303 | 77               | 04/21/11            | 08/16/11  | \$479 32                         | \$578 93                               | \$-99 61         |
| MERITOR INC                    | 59001K100 | 178              | 09/02/10            | 08/16/11  | \$1,643 07                       | \$2,545 24                             | \$-902 17        |
| HISOFT TECHNOLOGY INTL LTD     | 43358R108 | 11               | 04/21/11            | 08/16/11  | \$126 74                         | \$224 80                               | \$-98 06         |
| HISOFT TECHNOLOGY INTL LTD     | 43358R108 | 84               | 04/25/11            | 08/16/11  | \$967 86                         | \$1,706 75                             | \$-738 89        |
| HISOFT TECHNOLOGY INTL LTD     | 43358R108 | 7                | 04/26/11            | 08/16/11  | \$80 66                          | \$140 47                               | \$-59 81         |
| ICON PUB LTD CO SPONSORED ADR  | 45103T107 | 6                | 12/15/10            | 08/16/11  | \$116 57                         | \$129 41                               | \$-12 84         |
| FORTINET INC                   | 34959E109 | 38               | 01/14/11            | 08/16/11  | \$763 02                         | \$682 55                               | \$80 47          |
| FORTINET INC                   | 34959E109 | 14               | 12/15/10            | 08/16/11  | \$281 11                         | \$220 20                               | \$60 91          |
| HALOZYME THERAPEUTICS INC      | 40637H109 | 36               | 12/15/10            | 08/16/11  | \$232 66                         | \$275 31                               | \$-42 65         |
| COOPER TIRE & RUBBER CO        | 216831107 | 52               | 08/16/10            | 08/16/11  | \$634 38                         | \$957 32                               | \$-322 94        |
| COOPER TIRE & RUBBER CO        | 216831107 | 30               | 09/27/10            | 08/16/11  | \$365 99                         | \$600 94                               | \$-234 95        |
| TX COS INC NEW                 | 872540109 | 19               | 12/15/10            | 08/16/11  | \$1,046 14                       | \$843 03                               | \$203 11         |
| TX COS INC NEW                 | 872540109 | 3                | 12/15/10            | 08/16/11  | \$165 18                         | \$133 11                               | \$32 07          |
| VITAMIN SHOPPE INC             | 92849E101 | 4                | 12/21/10            | 08/16/11  | \$163 10                         | \$134 33                               | \$28 77          |
| CENTRICA PLC SPONSORED ADR NEW | 15639K300 | 120              | 12/14/10            | 08/19/11  | \$2,359 25                       | \$2,506 80                             | \$-147 55        |
| KINDER MORGAN MGMT LLC SHS     | 49455U100 | 0 89             | 12/14/10            | 08/19/11  | \$54 21                          | \$54 40                                | \$-0 19          |
| PRAXAIR INC                    | 74005P104 | 36               | 07/29/11            | 08/19/11  | \$3,248 58                       | \$3,735 94                             | \$-487 36        |
| PRAXAIR INC                    | 74005P104 | 2                | 07/29/11            | 08/19/11  | \$180 48                         | \$205 24                               | \$-24 76         |
| PRAXAIR INC                    | 74005P104 | 55               | 11/19/10            | 08/19/11  | \$4,971 31                       | \$5,073 06                             | \$-101 75        |
| PRAXAIR INC                    | 74005P104 | 72               | 07/28/11            | 08/19/11  | \$6,507 90                       | \$7,365 64                             | \$-857 74        |
| PRAXAIR INC                    | 74005P104 | 13               | 07/29/11            | 08/19/11  | \$1,175 04                       | \$1,329 10                             | \$-154 06        |
| PRAXAIR INC                    | 74005P104 | 58               | 12/14/10            | 08/19/11  | \$5,242 47                       | \$5,355 58                             | \$-113 11        |
| PRAXAIR INC                    | 74005P104 | 30               | 07/28/11            | 08/19/11  | \$2,707 14                       | \$3,069 02                             | \$-361 88        |

### Short term transactions

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| GOLDMAN SACHS GROUP INC             | 38141G104 | 52               | 12/14/10            | 08/22/11  | \$5,805 39                       | \$8,701 16                             | \$-2,895 77      |
| AMERIPRISE FINL INC                 | 03076C106 | 203              | 02/08/11            | 08/22/11  | \$8,069 46                       | \$12,164 21                            | \$-4,094 75      |
| AMERIPRISE FINL INC                 | 03076C106 | 20               | 06/13/11            | 08/22/11  | \$795 02                         | \$1,122 20                             | \$-327 18        |
| AMERIPRISE FINL INC                 | 03076C106 | 156              | 08/04/11            | 08/22/11  | \$6,201 16                       | \$7,636 26                             | \$-1,435 10      |
| SUNTRUST BANKS INC                  | 867914103 | 520              | 05/02/11            | 08/22/11  | \$8,946 53                       | \$14,674 45                            | \$-5,727 92      |
| LINCOLN NATIONAL CORP               | 534187109 | 126              | 01/28/11            | 08/22/11  | \$2,414 96                       | \$3,701 88                             | \$-1,286 92      |
| PRAXAIR INC                         | 74005P104 | 15               | 11/19/10            | 08/22/11  | \$1,346 64                       | \$1,383 56                             | \$-36 92         |
| ILLINOIS TOOL WORKS INC             | 452308109 | 152              | 01/28/11            | 08/22/11  | \$6,400 28                       | \$8,330 18                             | \$-1,929 90      |
| ILLINOIS TOOL WORKS INC             | 452308109 | 153              | 03/01/11            | 08/22/11  | \$6,442 38                       | \$8,269 85                             | \$-1,827 47      |
| ILLINOIS TOOL WORKS INC             | 452308109 | 189              | 03/17/11            | 08/22/11  | \$7,958 24                       | \$10,187 69                            | \$-2,229 45      |
| PRAXAIR INC                         | 74005P104 | 3                | 11/19/10            | 08/22/11  | \$273 75                         | \$276 71                               | \$-2 96          |
| PRAXAIR INC                         | 74005P104 | 122              | 11/19/10            | 08/22/11  | \$10,853 50                      | \$11,252 98                            | \$-399 48        |
| LINCOLN NATIONAL CORP               | 534187109 | 112              | 12/14/10            | 08/22/11  | \$2,146 63                       | \$3,136 00                             | \$-989 37        |
| THERMO ELECTRON CORP                | 883556102 | 24               | 12/14/10            | 08/23/11  | \$1,227 55                       | \$1,330 80                             | \$-103 25        |
| CMS ENERGY CORP                     | 125896100 | 16               | 12/01/10            | 08/24/11  | \$311 98                         | \$310 52                               | \$1 46           |
| FBR CAP MKTS CORP                   | 30247C301 | 11               | 12/15/10            | 08/24/11  | \$27 64                          | \$41 00                                | \$-13 36         |
| ISHARES TR BOX \$ HIGH YIELD CORP   | 464288513 | 1475             | 12/14/10            | 08/25/11  | \$125,841 78                     | \$131,590 06                           | \$-5,748 28      |
| ANNALY MTG MGMT INC                 | 035710409 | 53               | 02/01/11            | 08/25/11  | \$925 93                         | \$948 17                               | \$-22 24         |
| ANNALY MTG MGMT INC                 | 035710409 | 21               | 03/03/11            | 08/25/11  | \$366 88                         | \$376 32                               | \$-9 44          |
| GULFMARK OFFSHORE INC               | 402629208 | 178              | 03/30/11            | 08/25/11  | \$6,213 33                       | \$7,842 76                             | \$-1,629 43      |
| ALLIANZ GLOBAL INVS MANAGED         | 01882B205 | 2335             | 12/16/10            | 08/26/11  | \$29,794 60                      | \$31,032 15                            | \$-1,237 55      |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 2                | 04/04/11            | 08/30/11  | \$133 16                         | \$133 37                               | \$-0 21          |
| EURONET SVCS INC                    | 298736109 | 80               | 01/21/11            | 08/30/11  | \$1,286 58                       | \$1,435 20                             | \$-148 62        |
| SILICON IMAGE INC                   | 82705T102 | 1                | 05/12/11            | 08/30/11  | \$5 48                           | \$8 37                                 | \$-2 89          |
| SILICON IMAGE INC                   | 82705T102 | 7                | 05/09/11            | 08/30/11  | \$38 39                          | \$58 31                                | \$-19 92         |
| SILICON IMAGE INC                   | 82705T102 | 4                | 05/09/11            | 08/30/11  | \$21 93                          | \$33 28                                | \$-11 35         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SILICON IMAGE INC                   | 82705T102 | 5                | 05/13/11            | 08/30/11  | \$27.42                          | \$41.48                                | \$-14.06         |
| SILICON IMAGE INC                   | 82705T102 | 147              | 05/23/11            | 08/30/11  | \$806.09                         | \$1,115.98                             | \$-309.89        |
| SUCCESSFACTORS INC                  | 864596101 | 12               | 05/09/11            | 08/30/11  | \$278.99                         | \$404.12                               | \$-125.13        |
| SUCCESSFACTORS INC                  | 864596101 | 35               | 12/15/10            | 08/30/11  | \$813.74                         | \$1,037.66                             | \$-223.92        |
| OPENTABLE INC                       | 68372A104 | 40               | 07/01/11            | 08/30/11  | \$2,563.15                       | \$3,324.77                             | \$-761.62        |
| OCZ TECHNOLOGY GROUP INC            | 67086E303 | 186              | 04/21/11            | 08/30/11  | \$1,049.69                       | \$1,398.46                             | \$-348.77        |
| INTERDIGITAL INC PA                 | 45867G101 | 32               | 08/05/11            | 08/30/11  | \$2,323.48                       | \$2,191.09                             | \$132.39         |
| UNITED STATES TREAS NT              | 912828MQ0 | 25000            | 12/16/10            | 08/30/11  | \$25,105.47                      | \$25,144.53                            | \$-39.06         |
| UNITED STATES TREAS NT              | 912828MT4 | 7000             | 12/16/10            | 08/30/11  | \$7,130.15                       | \$7,090.78                             | \$39.37          |
| HISOFT TECHNOLOGY INTL LTD          | 43358R108 | 11               | 04/26/11            | 08/30/11  | \$115.36                         | \$220.74                               | \$-105.38        |
| HISOFT TECHNOLOGY INTL LTD          | 43358R108 | 28               | 04/26/11            | 08/30/11  | \$293.66                         | \$560.44                               | \$-266.78        |
| U S TREASURY BONDS 3 625% 4/15/28   | 912810FD5 | 12560.67         | 10/27/10            | 08/30/11  | \$18,200.71                      | \$17,134.79                            | \$1,065.92       |
| UNITED STATES TREAS BD              | 912810PZ5 | 15770.55         | 08/01/11            | 08/30/11  | \$20,353.87                      | \$19,728.64                            | \$625.23         |
| UNITED STATES TREAS NTS             | 912828AF7 | 7532.7           | 03/07/11            | 08/30/11  | \$7,790.75                       | \$8,074.52                             | \$-283.77        |
| FLUIDIGM CORP DEL                   | 34385P108 | 92               | 03/18/11            | 08/30/11  | \$1,187.91                       | \$1,463.62                             | \$-275.71        |
| FORTINET INC                        | 34959E109 | 82               | 12/15/10            | 08/30/11  | \$1,561.13                       | \$1,289.76                             | \$271.37         |
| GREENHILL & CO INC                  | 395259104 | 48               | 05/09/11            | 08/30/11  | \$1,774.72                       | \$2,764.62                             | \$-989.90        |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 16               | 12/15/10            | 08/30/11  | \$1,065.34                       | \$757.24                               | \$308.10         |
| TRUQUINT SEMICONDUCTOR INC          | 89674K103 | 110              | 01/21/11            | 08/30/11  | \$886.74                         | \$1,436.60                             | \$-549.86        |
| FEDERAL NATL MTG ASSN               | 31398A5W8 | 18000            | 01/25/11            | 08/30/11  | \$18,132.89                      | \$17,845.61                            | \$287.28         |
| FEDERAL NATL MTG ASSN               | 31406Y4E7 | 417.86           | 01/26/11            | 08/31/11  | \$417.86                         | \$438.44                               | \$-20.58         |
| FEDERAL HOME LN MTG CORP            | 3128M8A87 | 4244.1           | 02/08/11            | 08/31/11  | \$4,244.10                       | \$4,426.83                             | \$-182.73        |
| FEDERAL NATL MTG ASSN               | 3138A7FZ6 | 1218.13          | 02/09/11            | 08/31/11  | \$1,218.13                       | \$1,218.13                             | \$0.00           |
| FEDERAL NATL MTG ASSN               | 31416WRK0 | 1756.52          | 02/02/11            | 08/31/11  | \$1,756.52                       | \$1,780.01                             | \$-23.49         |
| FEDERAL NATL MTG ASSN               | 31418MHD7 | 49.12            | 07/11/11            | 08/31/11  | \$49.12                          | \$49.12                                | \$0.00           |
| FEDERAL NATL MTG ASSN               | 31414PUJ1 | 218.89           | 06/10/11            | 08/31/11  | \$218.89                         | \$238.01                               | \$-19.12         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less

| Description                      | Cusip      | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|------------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN            | 31419ARE9  | 1567             | 08/10/11            | 08/31/11  | \$156.70                         | \$173.57                               | \$-16.87         |
| FEDERAL NATL MTG ASSN            | 31419BCW3  | 1571             | 03/02/11            | 08/31/11  | \$1,571.19                       | \$1,598.53                             | \$-27.34         |
| FEDERAL NATL MTG ASSN            | 31410GRN5  | 540              | 01/26/11            | 08/31/11  | \$540.95                         | \$576.34                               | \$-35.39         |
| FEDERAL NATL MTG ASSN            | 31411CDR9  | 316              | 05/10/11            | 08/31/11  | \$316.39                         | \$340.95                               | \$-24.56         |
| FEDERAL NATL MTG ASSN            | 31419ADV6  | 933              | 04/19/11            | 08/31/11  | \$933.59                         | \$998.44                               | \$-64.85         |
| WILEY JOHN & SONS INC CL A       | 9682223206 | 6                | 03/17/11            | 09/01/11  | \$292.91                         | \$295.22                               | \$-2.31          |
| WILEY JOHN & SONS INC CL A       | 9682223206 | 18               | 12/15/10            | 09/01/11  | \$878.71                         | \$826.74                               | \$51.97          |
| WILEY JOHN & SONS INC CL A       | 9682223206 | 2                | 12/15/10            | 09/01/11  | \$97.63                          | \$91.86                                | \$5.77           |
| HSBC HLDGS PLC SPONSORED ADR NEW | 404280406  | 63               | 04/08/11            | 09/07/11  | \$2,639.92                       | \$3,426.40                             | \$-786.48        |
| BASF AG SPONSORED ADR            | 055262505  | 15               | 03/29/11            | 09/07/11  | \$974.89                         | \$1,254.35                             | \$-279.46        |
| HSBC HLDGS PLC SPONSORED ADR NEW | 404280406  | 290              | 03/17/11            | 09/07/11  | \$12,152.01                      | \$14,697.26                            | \$-2,545.25      |
| BASF AG SPONSORED ADR            | 055262505  | 75               | 04/08/11            | 09/07/11  | \$4,874.43                       | \$6,931.38                             | \$-2,056.95      |
| BASF AG SPONSORED ADR            | 055262505  | 121              | 03/30/11            | 09/07/11  | \$7,864.09                       | \$10,245.41                            | \$-2,381.32      |
| SEMPRA ENERGY                    | 816851109  | 19               | 12/15/10            | 09/12/11  | \$947.50                         | \$979.64                               | \$-32.14         |
| SHUTTERFLY INC                   | 82568P304  | 12               | 12/15/10            | 09/13/11  | \$617.08                         | \$408.33                               | \$208.75         |
| SUCCESSFACTORS INC               | 864596101  | 20               | 12/15/10            | 09/13/11  | \$447.12                         | \$592.95                               | \$-145.83        |
| OASIS PETE INC NEW               | 674215108  | 11               | 05/14/11            | 09/13/11  | \$276.89                         | \$376.98                               | \$-100.09        |
| OASIS PETE INC NEW               | 674215108  | 12               | 04/17/11            | 09/13/11  | \$302.06                         | \$388.64                               | \$-86.58         |
| OASIS PETE INC NEW               | 674215108  | 8                | 04/21/11            | 09/13/11  | \$201.37                         | \$246.28                               | \$-44.91         |
| OASIS PETE INC NEW               | 674215108  | 17               | 07/01/11            | 09/13/11  | \$427.91                         | \$503.37                               | \$-75.46         |
| OASIS PETE INC NEW               | 674215108  | 21               | 05/09/11            | 09/13/11  | \$528.60                         | \$601.71                               | \$-73.11         |
| GORDMAN'S STORES INC             | 38269P100  | 9                | 07/20/11            | 09/13/11  | \$117.49                         | \$174.15                               | \$-56.66         |
| GORDMAN'S STORES INC             | 38269P100  | 5                | 06/10/11            | 09/13/11  | \$65.28                          | \$83.90                                | \$-18.62         |
| GORDMAN'S STORES INC             | 38269P100  | 6                | 06/13/11            | 09/13/11  | \$78.33                          | \$100.05                               | \$-21.72         |
| GORDMAN'S STORES INC             | 38269P100  | 5                | 06/10/11            | 09/13/11  | \$65.27                          | \$83.58                                | \$-18.31         |
| HMS HLDGS CORP COM               | 40425J101  | 44               | 08/16/11            | 09/13/11  | \$1,115.74                       | \$1,042.60                             | \$73.14          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less

| Description             | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CLEAN ENERGY FUELS CORP | 184499101 | 82               | 06/09/11            | 09/13/11  | \$1,111.98                       | \$1,072.08                             | \$39.90          |
| ASPEN TECHNOLOGY INC    | 045327103 | 11               | 09/01/11            | 09/13/11  | \$182.22                         | \$181.69                               | \$0.53           |
| ASPEN TECHNOLOGY INC    | 045327103 | 2                | 05/23/11            | 09/13/11  | \$33.13                          | \$32.46                                | \$0.67           |
| SUCCESSFACTORS INC      | 864596101 | 13               | 12/17/10            | 09/13/11  | \$290.63                         | \$377.47                               | \$-86.84         |
| SUCCESSFACTORS INC      | 864596101 | 39               | 09/14/10            | 09/13/11  | \$871.89                         | \$909.21                               | \$-37.32         |
| CELGENE CORP COM        | 151020104 | 12               | 12/14/10            | 09/14/11  | \$724.41                         | \$691.20                               | \$33.21          |
| COPART INC              | 217204106 | 15               | 09/29/10            | 09/14/11  | \$621.59                         | \$513.69                               | \$107.90         |
| COPART INC              | 217204106 | 19               | 12/14/10            | 09/14/11  | \$787.35                         | \$671.46                               | \$115.89         |
| COPART INC              | 217204106 | 19               | 12/09/10            | 09/14/11  | \$787.34                         | \$644.10                               | \$143.24         |
| EXPEDIA INC DEL         | 30212PI05 | 3                | 03/15/11            | 09/14/11  | \$87.30                          | \$64.39                                | \$22.91          |
| EXPEDIA INC DEL         | 30212PI05 | 14               | 11/18/10            | 09/14/11  | \$407.39                         | \$317.59                               | \$89.80          |
| CARTER INC              | 146229109 | 30               | 04/07/11            | 09/15/11  | \$949.21                         | \$896.29                               | \$52.92          |
| HEALTH NET INC          | 42222GI08 | 25               | 01/21/11            | 09/15/11  | \$651.88                         | \$710.25                               | \$-58.37         |
| TJX COS INC NEW         | 872540109 | 19               | 12/15/10            | 09/15/11  | \$1,026.89                       | \$843.03                               | \$183.86         |
| TJX COS INC NEW         | 872540109 | 7                | 12/15/10            | 09/15/11  | \$378.33                         | \$310.59                               | \$67.74          |
| UNITED STATES TREAS NT  | 912828PS3 | 7000             | 09/01/11            | 09/16/11  | \$7,375.98                       | \$7,394.30                             | \$-18.32         |
| UNITED STATES TREAS NT  | 912828PS3 | 99000            | 02/18/11            | 09/16/11  | \$104,317.38                     | \$97,855.43                            | \$6,461.95       |
| UNITED STATES TREAS NT  | 912828QAI | 3000             | 09/01/11            | 09/16/11  | \$3,195.82                       | \$3,203.44                             | \$-7.62          |
| UNITED STATES TREAS NT  | 912828QAI | 64000            | 04/06/11            | 09/16/11  | \$68,177.50                      | \$63,844.42                            | \$4,333.08       |
| ALKERMES INC            | 01642TI08 | 19               | 07/05/11            | 09/19/11  | \$308.56                         | \$358.91                               | \$-50.35         |
| NETFLIX COM INC         | 64110L106 | 5                | 08/22/11            | 09/19/11  | \$729.06                         | \$1,062.00                             | \$-332.94        |
| NETFLIX COM INC         | 64110L106 | 9                | 08/23/11            | 09/19/11  | \$1,312.32                       | \$1,887.06                             | \$-574.74        |
| NETFLIX COM INC         | 64110L106 | 8                | 08/23/11            | 09/19/11  | \$1,169.93                       | \$1,677.39                             | \$-507.46        |
| NETFLIX COM INC         | 64110L106 | 36               | 08/22/11            | 09/19/11  | \$5,264.69                       | \$7,457.75                             | \$-2,193.06      |
| ALKERMES INC            | 01642TI08 | 18               | 09/01/11            | 09/19/11  | \$292.32                         | \$301.82                               | \$-9.50          |
| NETFLIX COM INC         | 64110L106 | 53               | 12/14/10            | 09/19/11  | \$7,750.79                       | \$9,454.95                             | \$-1,704.16      |

### Short term transactions

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| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALKERMES INC                     | 01642T108 | 33               | 07/20/11            | 09/19/11  | \$535.92                         | \$619.46                               | \$-83.54         |
| ALKERMES INC                     | 01642T108 | 166              | 03/18/11            | 09/19/11  | \$2,695.84                       | \$2,060.12                             | \$635.72         |
| ALKERMES INC                     | 01642T108 | 1                | 07/01/11            | 09/19/11  | \$16.24                          | \$19.04                                | \$-2.80          |
| ALKERMES INC                     | 01642T108 | 14               | 07/01/11            | 09/19/11  | \$227.36                         | \$266.60                               | \$-39.24         |
| NETFLX COM INC                   | 64110L106 | 14               | 01/05/11            | 09/19/11  | \$2,047.38                       | \$2,546.46                             | \$-499.08        |
| NETFLX COM INC                   | 64110L106 | 7                | 11/19/10            | 09/20/11  | \$934.87                         | \$1,201.79                             | \$-266.92        |
| NETFLX COM INC                   | 64110L106 | 60               | 12/14/10            | 09/20/11  | \$7,891.88                       | \$10,703.71                            | \$-2,811.83      |
| NETFLX COM INC                   | 64110L106 | 148              | 11/19/10            | 09/20/11  | \$19,466.65                      | \$25,409.22                            | \$-5,942.57      |
| WILEY JOHN & SONS INC CL A       | 968223206 | 1                | 12/15/10            | 09/21/11  | \$46.00                          | \$45.93                                | \$0.07           |
| WILEY JOHN & SONS INC CL A       | 968223206 | 2                | 11/10/10            | 09/21/11  | \$92.00                          | \$86.22                                | \$5.78           |
| WILEY JOHN & SONS INC CL A       | 968223206 | 8                | 11/10/10            | 09/21/11  | \$367.99                         | \$344.90                               | \$23.09          |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 54               | 02/10/11            | 09/22/11  | \$272.22                         | \$695.97                               | \$-423.75        |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 9                | 01/21/11            | 09/22/11  | \$45.37                          | \$117.54                               | \$-72.17         |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 389              | 01/21/11            | 09/22/11  | \$1,996.12                       | \$5,080.34                             | \$-3,084.22      |
| FIRST COMWLTH FINL CORP          | 319829107 | 400              | 03/29/11            | 09/22/11  | \$1,460.25                       | \$2,578.80                             | \$-1,118.55      |
| FIRST COMWLTH FINL CORP          | 319829107 | 134              | 03/31/11            | 09/22/11  | \$489.19                         | \$908.13                               | \$-418.94        |
| FIRST COMWLTH FINL CORP          | 319829107 | 114              | 03/31/11            | 09/22/11  | \$433.84                         | \$772.59                               | \$-338.75        |
| FIRST COMWLTH FINL CORP          | 319829107 | 262              | 04/04/11            | 09/22/11  | \$997.08                         | \$1,795.12                             | \$-798.04        |
| FIRST COMWLTH FINL CORP          | 319829107 | 50               | 03/21/11            | 09/22/11  | \$190.28                         | \$368.03                               | \$-177.75        |
| FIRST COMWLTH FINL CORP          | 319829107 | 70               | 03/12/11            | 09/22/11  | \$266.39                         | \$555.06                               | \$-288.67        |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 49               | 03/10/11            | 09/22/11  | \$247.02                         | \$600.26                               | \$-353.24        |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 66               | 02/14/11            | 09/22/11  | \$332.72                         | \$764.93                               | \$-432.21        |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 60               | 02/19/11            | 09/22/11  | \$302.47                         | \$680.39                               | \$-377.92        |
| TRIQUINT SEMICONDUCTOR INC       | 89674K103 | 139              | 07/28/11            | 09/22/11  | \$700.73                         | \$1,138.81                             | \$-438.08        |
| VODAFONE GROUP PLC NEW SPONSORED | 92857W209 | 40               | 02/18/11            | 09/23/11  | \$999.17                         | \$1,183.52                             | \$-184.35        |
| KIRBY CORP                       | 497266106 | 35               | 01/21/11            | 09/23/11  | \$1,842.01                       | \$1,544.55                             | \$297.46         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| LIFEPOINT HOSPITALS INC         | 53219L109 | 20               | 02/23/11            | 09/23/11  | \$698 38                         | \$722 89                               | \$-24 51         |
| WILEY JOHN & SONS INC CL A      | 968223206 | 8                | 11/10/10            | 09/23/11  | \$352 01                         | \$344 90                               | \$7 11           |
| WILEY JOHN & SONS INC CL A      | 968223206 | 8                | 11/10/10            | 09/23/11  | \$352 01                         | \$344 90                               | \$7 11           |
| JACK IN THE BOX INC             | 466367109 | 35               | 01/21/11            | 09/23/11  | \$681 43                         | \$787 50                               | \$-106 07        |
| REYNOLDS AMERN INC              | 761713106 | 22               | 03/01/11            | 09/23/11  | \$800 54                         | \$755 62                               | \$44 92          |
| SEMPRA ENERGY                   | 816851109 | 17               | 12/14/10            | 09/23/11  | \$847 77                         | \$877 20                               | \$-29 43         |
| TJX COS INC NEW                 | 872540109 | 19               | 12/14/10            | 09/23/11  | \$1,072 14                       | \$845 69                               | \$226 45         |
| TARGET CORP                     | 87612E106 | 15               | 03/01/11            | 09/23/11  | \$735 74                         | \$790 14                               | \$-54 40         |
| TIME WARNER CABLE INC           | 88732J207 | 9                | 04/08/11            | 09/23/11  | \$580 67                         | \$653 04                               | \$-72 37         |
| UNILEVER N V                    | 904784709 | 20               | 05/11/11            | 09/23/11  | \$616 19                         | \$654 88                               | \$-38 69         |
| UNION PACIFIC CORP              | 907818108 | 12               | 12/14/10            | 09/23/11  | \$987 47                         | \$1,093 32                             | \$-105 85        |
| UNITED TECHNOLOGIES CORP        | 913017109 | 13               | 03/17/11            | 09/23/11  | \$895 81                         | \$1,034 60                             | \$-138 79        |
| UNITEDHEALTH GROUP INC          | 91324P102 | 23               | 06/23/11            | 09/23/11  | \$1,091 56                       | \$1,168 77                             | \$-77 21         |
| VERIZON COMMUNICATIONS          | 92343V104 | 21               | 12/14/10            | 09/23/11  | \$747 16                         | \$725 34                               | \$21 82          |
| GATX CORP                       | 361448103 | 20               | 01/21/11            | 09/23/11  | \$622 38                         | \$644 25                               | \$-21 87         |
| HANESBRANDS INC                 | 410345102 | 40               | 04/19/11            | 09/23/11  | \$1,023 18                       | \$1,150 50                             | \$-127 32        |
| HANOVER INS GROUP INC           | 410867105 | 25               | 01/21/11            | 09/23/11  | \$856 98                         | \$1,176 36                             | \$-319 38        |
| HEALTH NET INC                  | 42222G108 | 30               | 01/21/11            | 09/23/11  | \$719 38                         | \$852 30                               | \$-132 92        |
| ORACLE CORPORATION              | 68389X105 | 31               | 03/29/11            | 09/23/11  | \$891 85                         | \$1,022 90                             | \$-131 05        |
| PPL CORP                        | 69351T106 | 24               | 09/07/11            | 09/23/11  | \$683 03                         | \$679 25                               | \$3 78           |
| PEABODY ENERGY CORP             | 704549104 | 55               | 08/04/11            | 09/23/11  | \$2,022 31                       | \$2,765 77                             | \$-743 46        |
| PEPSICO INC                     | 713448108 | 16               | 04/08/11            | 09/23/11  | \$961 10                         | \$1,055 23                             | \$-94 13         |
| KRAFT FOODS INC CL A            | 50075N104 | 19               | 12/14/10            | 09/23/11  | \$638 19                         | \$595 61                               | \$42 58          |
| NATIONAL GRID PLC SPONSORED ADR | 636274300 | 10               | 12/14/10            | 09/23/11  | \$486 00                         | \$442 30                               | \$43 70          |
| OCCIDENTAL PETROLEUM CORP       | 674599105 | 20               | 12/14/10            | 09/23/11  | \$1,450 57                       | \$1,879 00                             | \$-428 43        |
| BROADRIDGE FINL SOLUTIONS INC   | 11133T103 | 50               | 01/21/11            | 09/23/11  | \$1,000 98                       | \$1,139 50                             | \$-138 52        |

**Short term transactions**

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CARTER INC                        | 146229109 | 30               | 04/07/11            | 09/23/11  | \$907.48                         | \$896.28                               | \$11.20          |
| HUMANA INC                        | 444859102 | 9                | 03/17/11            | 09/23/11  | \$692.81                         | \$578.44                               | \$114.37         |
| INTERNATIONAL BUSINESS MACHINES   | 459200101 | 5                | 06/23/11            | 09/23/11  | \$842.18                         | \$822.67                               | \$19.51          |
| JP MORGAN CHASE & CO              | 46625H100 | 61               | 12/14/10            | 09/23/11  | \$1,798.25                       | \$2,479.65                             | \$-681.40        |
| ST JUDE MEDICAL INC               | 790849103 | 24               | 07/21/11            | 09/23/11  | \$918.98                         | \$1,184.36                             | \$-265.38        |
| SALESFORCE COM INC                | 79466L302 | 18               | 12/14/10            | 09/23/11  | \$2,140.34                       | \$2,450.87                             | \$-310.53        |
| EXPEDIA INC DEL                   | 30212P105 | 3                | 03/15/11            | 09/23/11  | \$80.99                          | \$64.38                                | \$16.61          |
| PRECISION CASTPARTS.CORP          | 740189105 | 21               | 12/14/10            | 09/23/11  | \$3,397.79                       | \$2,941.50                             | \$456.29         |
| PRICELINE COM INC                 | 741503403 | 4                | 08/24/11            | 09/23/11  | \$2,051.19                       | \$1,923.24                             | \$127.95         |
| LULULEMON ATHLETICA INC           | 550021109 | 32               | 08/26/11            | 09/23/11  | \$1,751.40                       | \$1,662.19                             | \$89.21          |
| MCKESSON HBOC INC                 | 58155Q103 | 15               | 08/11/11            | 09/23/11  | \$1,101.73                       | \$1,184.06                             | \$-82.33         |
| GOOGLE INC CL A                   | 38259P508 | 3                | 12/14/10            | 09/23/11  | \$1,578.40                       | \$1,780.82                             | \$-202.42        |
| JUNIPER NETWORKS INC              | 48203R104 | 23               | 11/14/10            | 09/23/11  | \$440.96                         | \$932.23                               | \$-491.27        |
| LAS VEGAS SANDS CORP              | 517834107 | 24               | 08/19/11            | 09/23/11  | \$1,083.64                       | \$996.65                               | \$86.99          |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 67               | 12/14/10            | 09/23/11  | \$2,729.31                       | \$3,748.68                             | \$-1,019.37      |
| FLEXTRONICS INTL LTD              | Y2573F102 | 33               | 12/14/10            | 09/23/11  | \$183.64                         | \$256.74                               | \$-73.10         |
| ASPEN INSURANCE HOLDINGS LMTD SHS | G05384105 | 25               | 04/13/11            | 09/23/11  | \$558.48                         | \$711.04                               | \$-152.56        |
| THERMO ELECTRON CORP              | 883556102 | 9                | 12/14/10            | 09/23/11  | \$459.08                         | \$499.05                               | \$-39.97         |
| ULTRA PETE CORP                   | 903914109 | 10               | 12/14/10            | 09/23/11  | \$299.09                         | \$478.00                               | \$-178.91        |
| VALEANT PHARMACEUTICALS INTL INC  | 91911K102 | 7                | 08/05/11            | 09/23/11  | \$258.99                         | \$264.65                               | \$-5.66          |
| VARIAN MED SYS INC                | 92220P105 | 12               | 12/14/10            | 09/23/11  | \$602.74                         | \$823.56                               | \$-220.82        |
| VERISK ANALYTICS INC              | 92345Y106 | 28               | 12/14/10            | 09/23/11  | \$936.58                         | \$929.50                               | \$7.08           |
| VERTEX PHARMACEUTICALS INC        | 92532F100 | 3                | 02/28/11            | 09/23/11  | \$150.90                         | \$138.92                               | \$11.98          |
| WATERS CORP                       | 941848103 | 5                | 01/18/11            | 09/23/11  | \$374.89                         | \$385.85                               | \$-10.96         |
| WORLD FUEL SVCS CORP              | 981475106 | 9                | 01/18/11            | 09/23/11  | \$301.27                         | \$339.36                               | \$-38.09         |
| ARCOS DORADOS HLDGS INC           | G0457F107 | 8                | 08/23/11            | 09/23/11  | \$189.27                         | \$195.76                               | \$-6.49          |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TE CONNECTIVITY LTD               | H84989104 | 18               | 12/14/10            | 09/23/11  | \$499 85                         | \$623 34                               | \$-123 49        |
| ASML HOLDING NV NY REGISTERED SHS | N07059186 | 6                | 08/23/11            | 09/23/11  | \$210 65                         | \$205 23                               | \$5 42           |
| SENSATA TECH HLDG NV              | N7902X106 | 13               | 04/13/11            | 09/23/11  | \$381 54                         | \$431 45                               | \$-49 91         |
| ST JUDE MEDICAL INC               | 790849103 | 17               | 12/14/10            | 09/23/11  | \$650 23                         | \$711 62                               | \$-61 39         |
| SCHEIN HENRY INC                  | 806407102 | 3                | 01/14/11            | 09/23/11  | \$184 76                         | \$192 13                               | \$-7 37          |
| SCHEIN HENRY INC                  | 806407102 | 6                | 12/14/10            | 09/23/11  | \$369 53                         | \$360 96                               | \$8 57           |
| SOLERA HLDGS INC                  | 83421A104 | 9                | 12/14/10            | 09/23/11  | \$457 91                         | \$462 78                               | \$-4 87          |
| TECHNE CORP                       | 878377100 | 5                | 01/14/11            | 09/23/11  | \$339 29                         | \$333 58                               | \$5 71           |
| COGNIZANT TECHNOLOGY SOLUTIONS    | 192446102 | 58               | 01/29/11            | 09/23/11  | \$3,612 69                       | \$4,627 71                             | \$-1,015 02      |
| ALTERRA CAPITAL HLDGS LTD         | G0229R108 | 35               | 01/21/11            | 09/23/11  | \$650 63                         | \$744 80                               | \$-94 17         |
| AMAZON COM INC                    | 023135106 | 6                | 03/10/11            | 09/23/11  | \$1,338 99                       | \$1,001 47                             | \$337 52         |
| BIOGEN IDEC INC                   | 09062X103 | 7                | 03/29/11            | 09/23/11  | \$660 88                         | \$867 04                               | \$-206 16        |
| TERADYNE INC                      | 880770102 | 20               | 08/26/11            | 09/23/11  | \$227 19                         | \$236 99                               | \$-9 80          |
| POTASH CORP SASK INC              | 73755L107 | 11               | 12/14/10            | 09/23/11  | \$505 66                         | \$503 80                               | \$1 86           |
| PRECISION CASTPARTS CORP          | 740189105 | 4                | 12/14/10            | 09/23/11  | \$646 46                         | \$562 00                               | \$84 46          |
| PRICE T ROWE GROUP INC            | 74144T108 | 6                | 12/14/10            | 09/23/11  | \$285 29                         | \$378 36                               | \$-93 07         |
| ROPER INDS INC NEW                | 776696106 | 10               | 12/14/10            | 09/23/11  | \$695 28                         | \$775 31                               | \$-80 03         |
| RYANAIR HLDGS PLC                 | 783513104 | 15               | 12/14/10            | 09/23/11  | \$380 09                         | \$453 90                               | \$-73 81         |
| FASTENAL CO                       | 311900104 | 16               | 12/14/10            | 09/23/11  | \$553 42                         | \$474 08                               | \$79 34          |
| GARTNER GROUP INC NEW CL A        | 366651107 | 11               | 12/14/10            | 09/23/11  | \$381 58                         | \$360 69                               | \$20 89          |
| GEN-PROBE INC NEW                 | 36866T103 | 9                | 12/14/10            | 09/23/11  | \$531 09                         | \$505 98                               | \$25 11          |
| ALEXION PHARMACEUTICALS INC       | 015351109 | 42               | 11/19/10            | 09/23/11  | \$2,782 55                       | \$1,571 59                             | \$1,210 96       |
| ALLERGAN INC                      | 018490102 | 24               | 12/14/10            | 09/23/11  | \$1,973 78                       | \$1,689 59                             | \$284 19         |
| ALLERGAN INC                      | 018490102 | 6                | 12/15/10            | 09/23/11  | \$493 44                         | \$423 25                               | \$70 19          |
| SPIRIT AEROSYSTEMS HLDGS INC CL A | 848574109 | 40               | 01/21/11            | 09/23/11  | \$608 98                         | \$939 20                               | \$-330 22        |
| IHS INC COM CL A                  | 451734107 | 4                | 06/23/11            | 09/23/11  | \$289 75                         | \$326 96                               | \$-37 21         |

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### Short term transactions

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| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| IHS INC COM CL A                  | 451734107 | 7                | 12/14/10            | 09/23/11  | \$507 07                         | \$550 97                               | \$-43 90         |
| KLA-TENCOR CORP                   | 482480100 | 15               | 12/14/10            | 09/23/11  | \$568 33                         | \$589 35                               | \$-21 02         |
| KINDER MORGAN MGMT LLC SHS        | 49455U100 | 12               | 12/14/10            | 09/23/11  | \$695 50                         | \$737 68                               | \$-42 18         |
| LI & FUNG LTD                     | 501897102 | 175              | 12/25/10            | 09/23/11  | \$561 73                         | \$1,029 35                             | \$-467 62        |
| LPL INVT HLDGS INC                | 50213H100 | 8                | 05/17/11            | 09/23/11  | \$196 55                         | \$273 69                               | \$-77 14         |
| LAMAR ADVERTISING CO CL A         | 512815101 | 15               | 12/14/10            | 09/23/11  | \$260 99                         | \$574 35                               | \$-313 36        |
| MSCI INC CL A                     | 55354G100 | 19               | 12/28/10            | 09/23/11  | \$559 72                         | \$715 41                               | \$-155 69        |
| MSCI INC CL A                     | 55354G100 | 1                | 06/23/11            | 09/23/11  | \$29 46                          | \$37 43                                | \$-7 97          |
| MSCI INC CL A                     | 55354G100 | 1                | 12/14/10            | 09/23/11  | \$29 46                          | \$36 99                                | \$-7 53          |
| PMC-SIERRA INC                    | 69344F106 | 105              | 01/21/11            | 09/23/11  | \$652 56                         | \$922 95                               | \$-270 39        |
| CELGENE CORP COM                  | 151020104 | 11               | 12/14/10            | 09/23/11  | \$689 68                         | \$633 60                               | \$56 08          |
| COPART INC                        | 217204106 | 9                | 12/09/10            | 09/23/11  | \$337 22                         | \$305 10                               | \$32 12          |
| CROWN CASTLE INTL CORP            | 228227104 | 29               | 12/14/10            | 09/23/11  | \$1,191 00                       | \$1,226 41                             | \$-35 41         |
| DRESSER-RAND GROUP INC            | 261608103 | 16               | 09/14/11            | 09/23/11  | \$687 34                         | \$693 28                               | \$-5 94          |
| EATON VANCE CORP                  | 278265103 | 10               | 12/14/10            | 09/23/11  | \$215 09                         | \$315 00                               | \$-99 91         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 10               | 12/08/10            | 09/23/11  | \$407 59                         | \$563 24                               | \$-155 65        |
| BRAMBLES LTD                      | 105105100 | 45               | 06/15/11            | 09/23/11  | \$567 88                         | \$702 04                               | \$-134 16        |
| BRITISH AMERN TOB PLC             | 110448107 | 5                | 12/14/10            | 09/23/11  | \$415 74                         | \$379 65                               | \$36 09          |
| CNOOC LTD SPONSORED ADR           | 126132109 | 10               | 12/14/10            | 09/23/11  | \$1,511 47                       | \$2,362 60                             | \$-851 13        |
| CSL LTD                           | 12637N105 | 45               | 12/14/10            | 09/23/11  | \$623 23                         | \$833 40                               | \$-210 17        |
| CANADIAN NATL RY CO               | 136375102 | 15               | 04/27/11            | 09/23/11  | \$960 88                         | \$1,129 99                             | \$-169 11        |
| CANON INC ADR REPSTG 5 SHS        | 138006309 | 20               | 07/26/11            | 09/23/11  | \$860 18                         | \$999 40                               | \$-139 22        |
| CHEUNG KONG HLDGS LTD ADR         | 166744201 | 50               | 12/14/10            | 09/23/11  | \$562 48                         | \$749 50                               | \$-187 02        |
| CHINA MOBILE HONG KONG LTD        | 16941M109 | 5                | 08/11/11            | 09/23/11  | \$242 94                         | \$235 65                               | \$7 29           |
| CIELO S A                         | 171778202 | 15               | 09/22/11            | 09/23/11  | \$344 24                         | \$343 50                               | \$0 74           |
| COCA COLA AMATL LTD SPONSORED ADR | 191085208 | 35               | 12/14/10            | 09/23/11  | \$808 48                         | \$773 85                               | \$34 63          |

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### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COMPASS GROUP PLC SPONSORED ADR    | 20449X203 | 110              | 12/14/10            | 09/23/11  | \$890 98                         | \$1,005 40                             | \$-114 42        |
| ACCENTURE PLC                      | G1151C101 | 14               | 06/23/11            | 09/23/11  | \$705 87                         | \$773 53                               | \$-67 66         |
| ACE LTD                            | H0023R105 | 8                | 05/11/11            | 09/23/11  | \$477 19                         | \$542 38                               | \$-65 19         |
| ADOBE SYSTEM INC                   | 00724F101 | 8                | 08/26/11            | 09/23/11  | \$198 23                         | \$192 96                               | \$5 27           |
| AMETEK AEROSPACE PRODS INC         | 031100100 | 12               | 12/14/10            | 09/23/11  | \$401 99                         | \$479 68                               | \$-77 69         |
| AMPHENOL CORP NEW CL A             | 032095101 | 14               | 12/14/10            | 09/23/11  | \$583 50                         | \$747 32                               | \$-163 82        |
| ATHENAHEALTH INC                   | 04685W103 | 8                | 12/14/10            | 09/23/11  | \$500 95                         | \$349 68                               | \$151 27         |
| BALL CORP                          | 058498106 | 10               | 12/14/10            | 09/23/11  | \$312 59                         | \$345 75                               | \$-33 16         |
| IRONWOOD PHARMACEUTICALS INC       | 46333X108 | 2                | 06/09/11            | 09/23/11  | \$23 35                          | \$29 93                                | \$-6 58          |
| KENEXA CORP                        | 488879107 | 4                | 12/21/10            | 09/23/11  | \$66 09                          | \$87 82                                | \$-21 73         |
| KNIGHT TRANSN INC                  | 499064103 | 1                | 05/09/11            | 09/23/11  | \$13 23                          | \$17 77                                | \$-4 54          |
| ADIDAS AG SPONSORED ADR            | 00687A107 | 15               | 12/14/10            | 09/23/11  | \$475 49                         | \$510 90                               | \$-35 41         |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 20               | 12/14/10            | 09/23/11  | \$428 19                         | \$573 10                               | \$-144 91        |
| BG PLC ADR FINAL INSTALLMENT NEW   | 055434203 | 5                | 12/14/10            | 09/23/11  | \$442 29                         | \$523 80                               | \$-81 51         |
| LMI AEROSPACE INC                  | 502079106 | 7                | 09/01/11            | 09/23/11  | \$119 22                         | \$135 71                               | \$-16 49         |
| LULULEMON ATHLETICA INC            | 550021109 | 2                | 09/01/11            | 09/23/11  | \$110 08                         | \$108 78                               | \$1 30           |
| MAP PHARMACEUTICALS INC            | 56509R108 | 9                | 12/15/10            | 09/23/11  | \$137 17                         | \$133 90                               | \$3 27           |
| HEXCEL CORP NEW                    | 428291108 | 14               | 09/01/11            | 09/23/11  | \$278 07                         | \$310 63                               | \$-32 56         |
| HEXCEL CORP NEW                    | 428291108 | 1                | 08/16/11            | 09/23/11  | \$19 86                          | \$20 79                                | \$-0 93          |
| ICU MED INC                        | 44930G107 | 3                | 09/01/11            | 09/23/11  | \$109 89                         | \$121 67                               | \$-11 78         |
| INSULET CORP                       | 45784P101 | 4                | 07/05/11            | 09/23/11  | \$60 77                          | \$90 32                                | \$-29 55         |
| EZCORP INC CL A NON VTG            | 302301106 | 2                | 09/01/11            | 09/23/11  | \$59 63                          | \$65 02                                | \$-5 39          |
| FORTNET INC                        | 34959E109 | 1                | 09/01/11            | 09/23/11  | \$16 36                          | \$19 32                                | \$-2 96          |
| GORDMANS STORES INC                | 38269P100 | 4                | 12/03/10            | 09/23/11  | \$46 65                          | \$76 61                                | \$-29 96         |
| GORDMANS STORES INC                | 38269P100 | 1                | 05/28/11            | 09/23/11  | \$11 66                          | \$18 51                                | \$-6 85          |
| GORDMANS STORES INC                | 38269P100 | 6                | 05/29/11            | 09/23/11  | \$69 97                          | \$110 59                               | \$-40 62         |

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| GORDMANS STORES INC                 | 38269P100 | 4                | 06/13/11            | 09/23/11  | \$46 65                          | \$66 70                                | \$-20 05         |
| HMS HLDGS CORP COM                  | 40425J101 | 12               | 02/18/11            | 09/23/11  | \$289 34                         | \$290 67                               | \$-1 33          |
| DFC GLOBAL CORP                     | 23324T107 | 11               | 05/09/11            | 09/23/11  | \$249 17                         | \$247 51                               | \$1 66           |
| DFC GLOBAL CORP                     | 23324T107 | 1                | 03/18/11            | 09/23/11  | \$22 65                          | \$21 35                                | \$1 30           |
| DECKERS OUTDOOR CORP                | 243537107 | 2                | 09/01/11            | 09/23/11  | \$199 54                         | \$178 36                               | \$21 18          |
| DECKERS OUTDOOR CORP                | 243537107 | 1                | 12/15/10            | 09/23/11  | \$99 77                          | \$81 80                                | \$17 97          |
| DIAMOND FOODS INC                   | 252603105 | 5                | 09/01/11            | 09/23/11  | \$454 65                         | \$387 74                               | \$66 91          |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 1                | 04/06/11            | 09/23/11  | \$58 07                          | \$66 51                                | \$-8 44          |
| ENDOCYTE INC                        | 29269A102 | 4                | 09/01/11            | 09/23/11  | \$45 08                          | \$44 27                                | \$0 81           |
| ENTEGRIS INC                        | 29362U104 | 21               | 09/13/11            | 09/23/11  | \$147 04                         | \$157 92                               | \$-10 88         |
| BODY CENT ACQUISITION CORP          | 09689U102 | 3                | 04/22/11            | 09/23/11  | \$55 02                          | \$83 43                                | \$-28 41         |
| BROOKDALE SR LIVING INC             | 112463104 | 4                | 04/21/11            | 09/23/11  | \$51 49                          | \$108 25                               | \$-56 76         |
| CATALYST HEALTH SOLUTIONS INC       | 14888B103 | 2                | 09/01/11            | 09/23/11  | \$111 37                         | \$107 94                               | \$3 43           |
| CENTER FINL CORP CALIF              | 15146E102 | 4                | 07/20/11            | 09/23/11  | \$18 65                          | \$25 73                                | \$-7 08          |
| CHART INDS INC                      | 16115Q308 | 2                | 09/01/11            | 09/23/11  | \$93 46                          | \$93 36                                | \$0 10           |
| CHART INDS INC                      | 16115Q308 | 1                | 06/07/11            | 09/23/11  | \$46 73                          | \$46 55                                | \$0 18           |
| CINEMARK HLDGS INC                  | 17243V102 | 2                | 02/07/11            | 09/23/11  | \$39 26                          | \$35 45                                | \$3 81           |
| CLEAN ENERGY FUELS CORP             | 184499101 | 2                | 06/09/11            | 09/23/11  | \$22 76                          | \$26 15                                | \$-3 39          |
| COINSTAR INC                        | 19259P300 | 3                | 07/01/11            | 09/23/11  | \$123 54                         | \$165 61                               | \$-42 07         |
| WEBMD HEALTH CORP CL A              | 94770V102 | 3                | 09/01/11            | 09/23/11  | \$88 47                          | \$104 87                               | \$-16 40         |
| WORLD FUEL SVCS CORP                | 981475106 | 7                | 09/01/11            | 09/23/11  | \$234 58                         | \$255 48                               | \$-20 90         |
| WRIGHT EXPRESS CORP                 | 98233Q105 | 1                | 12/15/10            | 09/23/11  | \$37 03                          | \$46 11                                | \$-9 08          |
| ALKERMES PLC                        | G01767105 | 24               | 09/19/11            | 09/23/11  | \$370 85                         | \$389 76                               | \$-18 91         |
| AMARIN CORP PLC                     | 023111206 | 3                | 09/01/11            | 09/23/11  | \$32 08                          | \$33 29                                | \$-1 21          |
| AMARIN CORP PLC                     | 023111206 | 6                | 08/16/11            | 09/23/11  | \$64 15                          | \$76 72                                | \$-12 57         |
| ARDEA BIOSCIENCES INC               | 03969P107 | 3                | 12/15/10            | 09/23/11  | \$49 12                          | \$74 96                                | \$-25 84         |

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ARIAD PHARMACEUTICALS INC           | 04033A100 | 12               | 09/01/11            | 09/23/11  | \$114.38                         | \$113.97                               | \$0.41           |
| ATLAS AIR WORLDWIDE HLDGS INC NEW   | 049164205 | 2                | 05/09/11            | 09/23/11  | \$74.32                          | \$126.42                               | \$-52.10         |
| SODASTREAM INTERNATIONAL LTD        | M9068E105 | 4                | 08/16/11            | 09/23/11  | \$149.61                         | \$169.91                               | \$-20.30         |
| TAIWAN SEMICONDUCTOR MFG ADR        | 874039100 | 4                | 12/14/10            | 09/23/11  | \$45.72                          | \$47.63                                | \$-1.91          |
| TRANSCANADA CORP                    | 89353D107 | 20               | 12/14/10            | 09/23/11  | \$800.18                         | \$755.80                               | \$44.38          |
| UNILEVER N V                        | 904784709 | 30               | 04/15/11            | 09/23/11  | \$916.48                         | \$978.01                               | \$-61.53         |
| VOLVO AKTIEBOLAGET ADR B            | 928856400 | 40               | 04/27/11            | 09/23/11  | \$381.19                         | \$767.05                               | \$-385.86        |
| UNITED STATES TREAS NT              | 912828P3  | 4000             | 09/01/11            | 09/23/11  | \$4,120.00                       | \$4,121.72                             | \$-1.72          |
| UNITED STATES TREAS NT              | 912828P3  | 7000             | 12/08/10            | 09/23/11  | \$7,210.00                       | \$6,865.97                             | \$344.03         |
| UNITED STATES TREAS NT              | 912828RD4 | 37000            | 09/16/11            | 09/23/11  | \$36,942.19                      | \$36,960.98                            | \$-18.79         |
| UNITED STATES TREAS NT              | 912828RG7 | 6000             | 09/16/11            | 09/23/11  | \$5,981.25                       | \$5,983.83                             | \$-2.58          |
| ADVISORY BRD CO                     | 00762W107 | 6                | 09/01/11            | 09/23/11  | \$359.88                         | \$355.55                               | \$4.33           |
| VOCUS INC                           | 92858J108 | 3                | 12/11/10            | 09/23/11  | \$50.67                          | \$81.92                                | \$-31.25         |
| VOLCANO CORP                        | 928645100 | 5                | 06/19/11            | 09/23/11  | \$142.50                         | \$154.33                               | \$-11.83         |
| DISNEY WALT CO                      | 254687106 | 24               | 03/01/11            | 09/23/11  | \$712.78                         | \$1,037.62                             | \$-324.84        |
| EXXON MOBIL CORP                    | 30231G102 | 12               | 12/14/10            | 09/23/11  | \$831.82                         | \$864.24                               | \$-32.42         |
| FREEPORT-MCMORAN COPPER & GOLD CL B | 35671D857 | 17               | 12/14/10            | 09/23/11  | \$553.00                         | \$973.16                               | \$-420.16        |
| HESS CORP                           | 42809H107 | 17               | 12/14/10            | 09/23/11  | \$875.48                         | \$1,258.85                             | \$-383.37        |
| UNITED STATES TREAS NT              | 912828KP4 | 7000             | 09/01/11            | 09/23/11  | \$7,056.60                       | \$7,062.89                             | \$-6.29          |
| UNITED STATES TREAS NT              | 912828LW8 | 7000             | 09/01/11            | 09/23/11  | \$7,000.82                       | \$7,005.20                             | \$-4.38          |
| UNITED STATES TREAS NT              | 912828MG2 | 7000             | 09/01/11            | 09/23/11  | \$7,107.46                       | \$7,115.39                             | \$-7.93          |
| UNITED STATES TREAS NT              | 912828MR8 | 2000             | 09/01/11            | 09/23/11  | \$2,129.22                       | \$2,135.55                             | \$-6.33          |
| UNITED STATES TREAS NT              | 912828NV8 | 4000             | 09/01/11            | 09/23/11  | \$4,107.81                       | \$4,109.22                             | \$-1.41          |
| UNITED STATES TREAS NT              | 912828PD6 | 13000            | 09/01/11            | 09/23/11  | \$13,032.50                      | \$13,034.02                            | \$-1.52          |
| UNITED STATES TREAS NT              | 912828PD6 | 22000            | 11/09/10            | 09/23/11  | \$22,055.00                      | \$21,983.21                            | \$71.79          |
| TIBCO SOFTWARE INC                  | 88632Q103 | 14               | 09/01/11            | 09/23/11  | \$304.67                         | \$304.33                               | \$0.34           |

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|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TIVO INC                     | 888706108 | 2                | 09/01/11            | 09/23/11  | \$20.00                          | \$21.96                                | \$-1.96          |
| TIVO INC                     | 888706108 | 2                | 06/09/11            | 09/23/11  | \$20.00                          | \$20.54                                | \$-0.54          |
| TRINITY INDUSTRIES INC       | 896522109 | 1                | 07/20/11            | 09/23/11  | \$21.89                          | \$34.81                                | \$-12.92         |
| UIL HLDG CORP                | 902748102 | 4                | 05/25/11            | 09/23/11  | \$128.68                         | \$138.25                               | \$-9.57          |
| UIL HLDG CORP                | 902748102 | 1                | 05/09/11            | 09/23/11  | \$32.17                          | \$33.18                                | \$-1.01          |
| VERIFONE HLDGS INC           | 92342Y109 | 3                | 09/01/11            | 09/23/11  | \$111.12                         | \$104.99                               | \$6.13           |
| VITAMIN SHOPPE INC           | 92849E101 | 10               | 09/01/11            | 09/23/11  | \$394.82                         | \$438.28                               | \$-43.46         |
| VITAMIN SHOPPE INC           | 92849E101 | 2                | 12/21/10            | 09/23/11  | \$78.96                          | \$67.16                                | \$11.80          |
| APACHE CORP                  | 037411105 | 16               | 11/26/10            | 09/23/11  | \$1,330.05                       | \$2,095.20                             | \$-765.15        |
| APPLE COMPUTER INC           | 037833100 | 4                | 02/08/11            | 09/23/11  | \$1,620.66                       | \$1,421.19                             | \$199.47         |
| BHP LTD SPONSORED ADR        | 088606108 | 11               | 12/14/10            | 09/23/11  | \$746.45                         | \$997.70                               | \$-251.25        |
| FEDERAL NATL MTG ASSN        | 31398ASD5 | 5000             | 09/01/11            | 09/23/11  | \$5,313.20                       | \$5,330.70                             | \$-17.50         |
| UNITED STATES TREAS NTS      | 912828HY9 | 4000             | 09/01/11            | 09/23/11  | \$4,185.62                       | \$4,195.78                             | \$-10.16         |
| UNITED STATES TREAS NT       | 912828JZ4 | 6000             | 09/01/11            | 09/23/11  | \$6,202.74                       | \$6,221.72                             | \$-18.98         |
| TAL INTL GROUP INC           | 874083108 | 6                | 12/31/10            | 09/23/11  | \$150.97                         | \$181.85 *                             | \$-30.88         |
| TAL INTL GROUP INC           | 874083108 | 1                | 12/15/10            | 09/23/11  | \$25.16                          | \$29.87 *                              | \$-4.71          |
| TEAVANA HLDGS INC            | 87819P102 | 1                | 08/05/11            | 09/23/11  | \$19.30                          | \$27.61                                | \$-8.31          |
| TEMPUR PEDIC INTL INC        | 88023U101 | 8                | 08/16/11            | 09/23/11  | \$452.57                         | \$498.69                               | \$-46.12         |
| TENNANT CO                   | 880345103 | 6                | 08/05/11            | 09/23/11  | \$214.39                         | \$275.84                               | \$-61.45         |
| TENNANT CO                   | 880345103 | 2                | 12/15/10            | 09/23/11  | \$71.46                          | \$76.45                                | \$-4.99          |
| TENNECO AUTOMOTIVE INC       | 880349105 | 5                | 12/15/10            | 09/23/11  | \$129.25                         | \$203.08                               | \$-73.83         |
| TETRA TECH INC NEW           | 88162G103 | 4                | 12/15/10            | 09/23/11  | \$77.29                          | \$101.19                               | \$-23.90         |
| THOMPSON CREEK METALS CO INC | 884768102 | 29               | 12/17/10            | 09/23/11  | \$187.41                         | \$390.42                               | \$-203.01        |
| THOMPSON CREEK METALS CO INC | 884768102 | 41               | 12/11/10            | 09/23/11  | \$264.95                         | \$549.72                               | \$-284.77        |
| COMCAST CORP NEW CL A        | 20030N101 | 34               | 05/02/11            | 09/23/11  | \$723.53                         | \$910.52                               | \$-186.99        |
| CONOCOPHILLIPS               | 20825C104 | 25               | 12/14/10            | 09/23/11  | \$1,562.71                       | \$1,637.75                             | \$-75.04         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN                | 3135G0AZ6 | 14000            | 04/06/11            | 09/23/11  | \$13,900.31                      | \$14,008.11                            | \$-107.80        |
| FEDERAL HOME LN MTG CORP             | 3137EACCI | 9000             | 09/01/11            | 09/23/11  | \$9,100.07                       | \$9,112.95                             | \$-12.88         |
| FEDERAL HOME LN MTG CORP             | 3137EACM9 | 9000             | 09/01/11            | 09/23/11  | \$9,303.75                       | \$9,331.12                             | \$-27.37         |
| FEDERAL HOME LN MTG CORP             | 3137EACM9 | 15000            | 11/18/10            | 09/23/11  | \$15,506.25                      | \$15,051.63                            | \$454.62         |
| SERVICESOURCE INTL LLC               | 81763U100 | 3                | 08/30/11            | 09/23/11  | \$39.81                          | \$53.76                                | \$-13.95         |
| SHUTTERFLY INC                       | 82568P304 | 4                | 12/15/10            | 09/23/11  | \$186.16                         | \$136.11                               | \$50.05          |
| SIGNATURE BK NEW YORK N Y            | 82669G104 | 5                | 09/01/11            | 09/23/11  | \$236.65                         | \$273.79                               | \$-37.14         |
| SOLUTIA INC NEW                      | 834376501 | 2                | 12/15/10            | 09/23/11  | \$26.66                          | \$45.94                                | \$-19.28         |
| STERLING CONSTR INC                  | 859241101 | 2                | 12/15/10            | 09/23/11  | \$22.32                          | \$27.06                                | \$-4.74          |
| WORLEYPARSONS LTD                    | 98161Q101 | 20               | 05/16/11            | 09/23/11  | \$482.79                         | \$605.09                               | \$-122.30        |
| AMERICAN EXPRESS CO                  | 025816109 | 19               | 04/08/11            | 09/23/11  | \$877.22                         | \$880.51                               | \$-3.29          |
| NOVARTIS AG SPONSORED ADR            | 66987V109 | 15               | 12/14/10            | 09/23/11  | \$797.08                         | \$837.00                               | \$-39.92         |
| FEDERAL HOME LN MTG CORP NOTES       | 3134A4T27 | 3000             | 09/01/11            | 09/23/11  | \$3,222.45                       | \$3,234.00                             | \$-11.55         |
| FEDERAL HOME LN MTG CORP             | 3134C2BL5 | 13000            | 05/31/11            | 09/23/11  | \$12,992.21                      | \$13,000.43                            | \$-8.22          |
| REGAL BELOIT CORP                    | 758750103 | 1                | 05/23/11            | 09/23/11  | \$50.31                          | \$68.40                                | \$-18.09         |
| RIGHTNOW TECHNOLOGIES INC            | 76657R106 | 7                | 09/01/11            | 09/23/11  | \$216.94                         | \$220.62                               | \$-3.68          |
| RIGHTNOW TECHNOLOGIES INC            | 76657R106 | 1                | 06/09/11            | 09/23/11  | \$30.99                          | \$30.60                                | \$0.39           |
| ROADRUNNER TRANSN SVCS HLDGS         | 76973Q105 | 8                | 09/01/11            | 09/23/11  | \$109.70                         | \$121.74                               | \$-12.04         |
| ROADRUNNER TRANSN SVCS HLDGS         | 76973Q105 | 4                | 05/09/11            | 09/23/11  | \$54.85                          | \$59.78                                | \$-4.93          |
| ROADRUNNER TRANSN SVCS HLDGS         | 76973Q105 | 3                | 12/15/10            | 09/23/11  | \$41.13                          | \$42.35                                | \$-1.22          |
| ROBBINS & MYERS INC                  | 770196103 | 5                | 09/01/11            | 09/23/11  | \$182.80                         | \$236.99                               | \$-54.19         |
| SALIX PHARMACEUTICALS LTD            | 795435106 | 3                | 12/15/10            | 09/23/11  | \$82.41                          | \$137.96                               | \$-55.55         |
| PUBLICIS S A NEW SPONSORED ADR       | 74463M106 | 20               | 12/14/10            | 09/23/11  | \$407.99                         | \$507.00                               | \$-99.01         |
| ROYAL DUTCH SHELL PLC SPONSORED      | 780259107 | 10               | 06/14/11            | 09/23/11  | \$614.38                         | \$709.60                               | \$-95.22         |
| SAP AKTIENGESELLSCHAFT SPONSORED ADR | 803054204 | 15               | 07/29/11            | 09/23/11  | \$721.33                         | \$937.81                               | \$-216.48        |
| SCHNEIDER ELEC SA                    | 80687P106 | 25               | 08/19/11            | 09/23/11  | \$247.24                         | \$307.59                               | \$-60.35         |



IM NORTHROP GRUMMAN FDN

**Short term transactions**

This category includes sales of assets held 12 months or less.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SMITH & NEPHEW P L C SPONSORED ADR | 83175M205 | 10               | 05/05/11            | 09/23/11  | \$442 19                         | \$560 80                               | \$-118 61        |
| SWEDBANK A B SPONSORED ADR         | 870195104 | 30               | 07/26/11            | 09/23/11  | \$299 09                         | \$533 07                               | \$-233 98        |
| SYNGENTA AG SPONSORED ADR          | 87160A100 | 10               | 12/14/10            | 09/23/11  | \$514 39                         | \$583 50                               | \$-69 11         |
| FEDERAL NATL MTG ASSN              | 31359MPF4 | 5000             | 12/16/10            | 09/23/11  | \$5,200 52                       | \$5,310 95                             | \$-110 43        |
| FEDERAL NATL MTG ASSN              | 31359MPF4 | 4000             | 09/01/11            | 09/23/11  | \$4,160 41                       | \$4,170 79                             | \$-10 38         |
| HUTCHISON WHAMPOA LTD ADR          | 448415208 | 37               | 12/14/10            | 09/23/11  | \$582 36                         | \$765 46                               | \$-183 10        |
| OASIS PETE INC NEW                 | 674215108 | 8                | 05/09/11            | 09/23/11  | \$189 21                         | \$229 22                               | \$-40 01         |
| OMNIVISION TECHNOLOGIES INC        | 682128103 | 2                | 08/16/11            | 09/23/11  | \$32 22                          | \$51 42                                | \$-19 20         |
| OMNICELL INC                       | 68213N109 | 15               | 09/01/11            | 09/23/11  | \$212 28                         | \$225 26                               | \$-12 98         |
| ONYX PHARMACEUTICALS INC DEL       | 683399109 | 5                | 09/01/11            | 09/23/11  | \$152 00                         | \$164 94                               | \$-12 94         |
| JULIUS BAER GROUP LTD              | 48137C108 | 90               | 12/14/10            | 09/23/11  | \$539 98                         | \$823 50                               | \$-283 52        |
| KEPPEL LTD SPONSORED ADR           | 492051305 | 50               | 12/14/10            | 09/23/11  | \$629 48                         | \$761 14                               | \$-131 66        |
| KINGFISHER PLC SPONSORED ADR PAR   | 495724403 | 80               | 12/14/10            | 09/23/11  | \$583 18                         | \$639 20                               | \$-56 02         |
| KONINKLIJKE AHOLD NV SPONSORED ADR | 500467402 | 45               | 12/14/10            | 09/23/11  | \$490 04                         | \$577 35                               | \$-87 31         |
| MINDSPEED TECHNOLOGIES INC         | 602682205 | 3                | 04/04/11            | 09/23/11  | \$15 55                          | \$24 45                                | \$-8 90          |
| NANOMETRICS INC                    | 630077105 | 3                | 02/07/11            | 09/23/11  | \$45 79                          | \$56 19                                | \$-10 40         |
| NU SKIN ASIA INC CL A              | 67018T105 | 5                | 09/01/11            | 09/23/11  | \$215 50                         | \$205 64                               | \$9 86           |
| DANONE                             | 23636T100 | 65               | 12/14/10            | 09/23/11  | \$757 88                         | \$835 90                               | \$-78 02         |
| DENSO CORP ADR                     | 24872B100 | 45               | 06/01/11            | 09/23/11  | \$705 58                         | \$807 90                               | \$-102 32        |
| ERICSSON L M TEL CO ADR CL B       | 294821608 | 70               | 06/20/11            | 09/23/11  | \$660 08                         | \$953 08                               | \$-293 00        |
| FOMENTO ECONOMICO MEXICANO S A DE  | 344419106 | 10               | 08/10/11            | 09/23/11  | \$618 28                         | \$619 16                               | \$-0 88          |
| FRESENIUS MED CARE SPONSORED ADR   | 358029106 | 5                | 12/14/10            | 09/23/11  | \$335 94                         | \$297 18                               | \$38 76          |
| GAZPROM O A O SPONSORED ADR REG S  | 368287207 | 45               | 12/14/10            | 09/23/11  | \$421 64                         | \$582 30                               | \$-160 66        |
| GROUPE CGI INC CL A SUB VGT        | 39945C109 | 20               | 04/29/11            | 09/23/11  | \$347 39                         | \$438 47                               | \$-91 08         |
| CELGENE CORP COM                   | 151020104 | 22               | 12/14/10            | 09/26/11  | \$1,375 03                       | \$1,267 20                             | \$107 83         |
| PRICELINE COM INC                  | 741503403 | 2                | 03/10/11            | 09/27/11  | \$1,023 08                       | \$932 19                               | \$90 89          |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALLERGAN INC                       | 018490102 | 99               | 12/14/10            | 09/27/11  | \$8,412.57                       | \$6,969.57                             | \$1,443.00       |
| BIOPEN IDEC INC                    | 09062X103 | 3                | 08/07/11            | 09/27/11  | \$294.47                         | \$328.53                               | \$-34.06         |
| BIOPEN IDEC INC                    | 09062X103 | 52               | 03/29/11            | 09/27/11  | \$5,104.17                       | \$6,428.01                             | \$-1,323.84      |
| BIOPEN IDEC INC                    | 09062X103 | 8                | 07/07/11            | 09/27/11  | \$785.26                         | \$989.65                               | \$-204.39        |
| BIOPEN IDEC INC                    | 09062X103 | 33               | 03/29/11            | 09/27/11  | \$3,239.19                       | \$4,087.45                             | \$-848.26        |
| AMAZON COM INC                     | 023135106 | 26               | 03/10/11            | 09/27/11  | \$5,858.33                       | \$4,339.71                             | \$1,518.62       |
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 32               | 02/11/11            | 09/27/11  | \$10,383.21                      | \$8,595.38                             | \$1,787.83       |
| CELGENE CORP COM                   | 151020104 | 188              | 11/19/10            | 09/27/11  | \$12,083.60                      | \$11,392.48                            | \$691.12         |
| EXPEDITORS INTERNATIONAL WASH INC  | 302130109 | 146              | 12/14/10            | 09/27/11  | \$6,171.23                       | \$8,168.77                             | \$-1,997.54      |
| LAUDER ESTEE COS INC CL A          | 518439104 | 30               | 02/28/11            | 09/27/11  | \$2,959.13                       | \$2,824.39                             | \$134.74         |
| ALLIANZ GLOBAL INVS MANAGED        | 01882B205 | 2712             | 12/16/10            | 09/27/11  | \$33,574.56                      | \$36,042.48                            | \$-2,467.92      |
| ALLIANZ GLOBAL INVS MANAGED        | 01882B205 | 1865             | 12/06/10            | 09/27/11  | \$23,088.70                      | \$25,289.40                            | \$-2,200.70      |
| PRICELINE COM INC                  | 741503403 | 15               | 08/24/11            | 09/27/11  | \$7,673.06                       | \$7,212.13                             | \$460.93         |
| UNITED STATES TREAS NT             | 912828MQ0 | 16000            | 12/16/10            | 09/27/11  | \$16,056.87                      | \$16,092.50                            | \$-35.63         |
| SALESFORCE COM INC                 | 79466L302 | 55               | 12/14/10            | 09/27/11  | \$6,896.77                       | \$7,488.75                             | \$-591.98        |
| SALESFORCE COM INC                 | 79466L302 | 2                | 11/19/10            | 09/27/11  | \$250.79                         | \$271.57                               | \$-20.78         |
| ST JUDE MEDICAL INC                | 790849103 | 247              | 07/21/11            | 09/27/11  | \$9,652.55                       | \$12,189.00                            | \$-2,536.45      |
| QUALCOMM INC                       | 747525103 | 154              | 12/14/10            | 09/27/11  | \$8,061.88                       | \$7,568.69                             | \$493.19         |
| UNITED STATES TREAS NTS            | 912828AF7 | 12564            | 03/07/11            | 09/27/11  | \$12,956.73                      | \$13,467.13                            | \$-510.40        |
| UNITED STATES TREAS BD             | 912810PZ5 | 12626            | 08/01/11            | 09/27/11  | \$16,143.23                      | \$15,792.63                            | \$350.60         |
| QUALCOMM INC                       | 747525103 | 76               | 12/13/10            | 09/27/11  | \$3,978.59                       | \$3,746.20                             | \$232.39         |
| QUALCOMM INC                       | 747525103 | 33               | 03/23/11            | 09/27/11  | \$1,727.55                       | \$1,721.94                             | \$5.61           |
| UNITED STATES TREAS NT             | 912828RC6 | 12000            | 09/13/11            | 09/27/11  | \$12,136.88                      | \$12,150.00                            | \$-13.12         |
| UNITED STATES TREAS NT             | 912828QX1 | 15000            | 08/08/11            | 09/27/11  | \$15,396.68                      | \$15,310.55                            | \$86.13          |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 32               | 12/07/10            | 09/28/11  | \$802.06                         | \$903.72                               | \$-101.66        |
| FIDELITY NATL INFORMATION SVCS INC | 31620M106 | 5                | 12/15/10            | 09/28/11  | \$125.32                         | \$138.15                               | \$-12.83         |

### Short term transactions

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|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FIDELITY NATL INFORMATION SVCS INC  | 31620M106 | 27               | 12/15/10            | 09/28/11  | \$676 74                         | \$746 01                               | \$-69 27         |
| JABIL CIRCUIT INC COM               | 466313103 | 78               | 01/21/11            | 09/29/11  | \$1,481 75                       | \$1,572 48 *                           | \$-90 73         |
| POLARIS INDUSTRIES INC NEW          | 731068102 | 4                | 06/27/11            | 09/30/11  | \$201 58                         | \$238 08                               | \$-36 50         |
| OMNIVISION TECHNOLOGIES INC         | 682128103 | 10               | 08/16/11            | 09/30/11  | \$142 65                         | \$257 11                               | \$-114 46        |
| OMNIVISION TECHNOLOGIES INC         | 682128103 | 8                | 07/25/11            | 09/30/11  | \$114 12                         | \$218 46                               | \$-104 34        |
| STILLWATER MNG CO                   | 86074Q102 | 2                | 09/01/11            | 09/30/11  | \$17 01                          | \$30 38                                | \$-13 37         |
| STILLWATER MNG CO                   | 86074Q102 | 84               | 12/15/10            | 09/30/11  | \$714 59                         | \$1,754 55                             | \$-1,039 96      |
| STILLWATER MNG CO                   | 86074Q102 | 3                | 11/29/10            | 09/30/11  | \$25 52                          | \$82 02                                | \$-56 50         |
| STILLWATER MNG CO                   | 86074Q102 | 5                | 11/23/10            | 09/30/11  | \$42 54                          | \$136 06                               | \$-93 52         |
| THOMPSON CREEK METALS CO INC        | 884768102 | 93               | 12/11/10            | 09/30/11  | \$567 17                         | \$1,246 91                             | \$-679 74        |
| WARNACO GROUP INC NEW               | 934390402 | 5                | 05/01/11            | 09/30/11  | \$232 77                         | \$297 46                               | \$-64 69         |
| WARNACO GROUP INC NEW               | 934390402 | 12               | 05/23/11            | 09/30/11  | \$558 64                         | \$653 68                               | \$-95 04         |
| FEDERAL NATL MTG ASSN               | 3138A7FZ6 | 1826 92          | 02/09/11            | 09/30/11  | \$1,826 92                       | \$1,839 68                             | \$-12 76         |
| FEDERAL HOME LN MTG CORP            | 3128M8A87 | 4233 21          | 02/08/11            | 09/30/11  | \$4,233 21                       | \$4,406 02                             | \$-172 81        |
| CHART INDS INC                      | 16115Q308 | 5                | 06/07/11            | 09/30/11  | \$213 97                         | \$232 75                               | \$-18 78         |
| CHART INDS INC                      | 16115Q308 | 3                | 06/07/11            | 09/30/11  | \$128 38                         | \$139 14                               | \$-10 76         |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 1                | 04/06/11            | 09/30/11  | \$56 63                          | \$66 50                                | \$-9 87          |
| FEDERAL NATL MTG ASSN               | 31414PUU1 | 199 81           | 06/10/11            | 09/30/11  | \$199 81                         | \$216 02                               | \$-16 21         |
| FEDERAL NATL MTG ASSN               | 31411CDR9 | 215 53           | 05/10/11            | 09/30/11  | \$215 53                         | \$231 99                               | \$-16 46         |
| FEDERAL NATL MTG ASSN               | 31410GRN5 | 430 89           | 01/26/11            | 09/30/11  | \$430 89                         | \$458 58                               | \$-27 69         |
| FEDERAL NATL MTG ASSN               | 31406Y4E7 | 492 34           | 01/26/11            | 09/30/11  | \$492 34                         | \$516 22                               | \$-23 88         |
| FEDERAL NATL MTG ASSN               | 31419BCW3 | 3825 14          | 03/02/11            | 09/30/11  | \$3,825 14                       | \$3,890 65                             | \$-65 51         |
| FEDERAL NATL MTG ASSN               | 31419ARE9 | 175 43*          | 08/10/11            | 09/30/11  | \$175 43                         | \$194 32                               | \$-18 89         |
| FEDERAL NATL MTG ASSN               | 31419ADV6 | 876 81           | 04/19/11            | 09/30/11  | \$876 81                         | \$935 88                               | \$-59 07         |
| FEDERAL NATL MTG ASSN               | 31418MHD7 | 59 25            | 07/11/11            | 09/30/11  | \$59 25                          | \$59 25                                | \$0 00           |
| POLARIS INDUSTRIES INC NEW          | 731068102 | 4                | 06/05/11            | 09/30/11  | \$201 58                         | \$249 05                               | \$-47 47         |



IM NORTHROP GRUMMAN FDN

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|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| QUESTAR CORP                    | 748356102 | 156              | 07/29/11            | 09/30/11  | \$2,785 79                       | \$2,881 69                             | \$-95 90         |
| WILEY JOHN & SONS INC CL A      | 968223306 | 28               | 11/10/10            | 09/30/11  | \$1,241 41                       | \$1,207 14                             | \$34 27          |
| FEDERAL NATL MTG ASSN           | 31416WRK0 | 6118 76          | 02/02/11            | 09/30/11  | \$6,118 76                       | \$6,197 88                             | \$-79 12         |
| LONE PINE RES INC               | 54222A106 | 18 23            | 05/04/11            | 10/04/11  | \$103 94                         | \$255 12                               | \$-151 18        |
| LONE PINE RES INC               | 54222A106 | 31 85            | 03/18/11            | 10/04/11  | \$181 61                         | \$511 18                               | \$-329 57        |
| LONE PINE RES INC               | 54222A106 | 113 92           | 01/21/11            | 10/04/11  | \$649 61                         | \$1,912 50                             | \$-1,262 89      |
| TJX COS INC NEW                 | 872540109 | 15               | 12/15/10            | 10/04/11  | \$816 33                         | \$665 55                               | \$150 78         |
| TJX COS INC NEW                 | 872540109 | 23               | 12/15/10            | 10/04/11  | \$1,251 70                       | \$1,020 51                             | \$231 19         |
| FOREST OIL CORP                 | 346091705 | 186              | 01/21/11            | 10/04/11  | \$1,712 60                       | \$4,991 82                             | \$-3,279 22      |
| FOREST OIL CORP                 | 346091705 | 31               | 05/04/11            | 10/04/11  | \$285 43                         | \$693 57                               | \$-408 14        |
| FOREST OIL CORP                 | 346091705 | 52               | 03/18/11            | 10/04/11  | \$478 79                         | \$1,334 26                             | \$-855 47        |
| CMS ENERGY CORP                 | 125896100 | 26               | 12/01/10            | 10/05/11  | \$498 54                         | \$504 60                               | \$-6 06          |
| ALEXION PHARMACEUTICALS INC     | 015351109 | 70               | 11/19/10            | 10/05/11  | \$4,347 99                       | \$2,619 31                             | \$1,728 68       |
| CMS ENERGY CORP                 | 125896100 | 14               | 04/07/11            | 10/05/11  | \$268 45                         | \$271 18                               | \$-2 73          |
| CHIPOTLE MEXICAN GRILL INC CL A | 169656105 | 12               | 02/11/11            | 10/05/11  | \$3,548 85                       | \$3,223 26                             | \$325 59         |
| RITCHIE BROS AUCTIONEERS INC    | 767744105 | 100              | 12/14/10            | 10/06/11  | \$1,942 10                       | \$2,073 83                             | \$-131 73        |
| KENEXA CORP                     | 488879107 | 10               | 11/29/10            | 10/06/11  | \$165 90                         | \$252 61                               | \$-86 71         |
| KENEXA CORP                     | 488879107 | 17               | 12/21/10            | 10/06/11  | \$282 04                         | \$373 22                               | \$-91 18         |
| MAGNUM HUNTER RES CORP DEL      | 55973B102 | 16               | 03/14/11            | 10/06/11  | \$50 56                          | \$130 13                               | \$-79 57         |
| MAGNUM HUNTER RES CORP DEL      | 55973B102 | 32               | 04/05/11            | 10/06/11  | \$101 12                         | \$244 40                               | \$-143 28        |
| MAGNUM HUNTER RES CORP DEL      | 55973B102 | 334              | 03/18/11            | 10/06/11  | \$1,055 38                       | \$2,411 68                             | \$-1,356 30      |
| MAGNUM HUNTER RES CORP DEL      | 55973B102 | 69               | 07/01/11            | 10/06/11  | \$218 03                         | \$459 49                               | \$-241 46        |
| MAGNUM HUNTER RES CORP DEL      | 55973B102 | 13               | 09/01/11            | 10/06/11  | \$41 08                          | \$56 78                                | \$-15 70         |
| CHART INDS INC                  | 16115Q308 | 1                | 06/10/11            | 10/06/11  | \$45 63                          | \$45 53                                | \$0 10           |
| CHART INDS INC                  | 16115Q308 | 2                | 06/07/11            | 10/06/11  | \$91 27                          | \$92 76                                | \$-1 49          |
| CHART INDS INC                  | 16115Q308 | 40               | 06/10/11            | 10/06/11  | \$1,825 33                       | \$1,816 53                             | \$8 80           |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CLEAN ENERGY FUELS CORP      | 184499101 | 8                | 05/18/11            | 10/06/11  | \$82.60                          | \$116.40                               | \$-33.80         |
| CLEAN ENERGY FUELS CORP      | 184499101 | 121              | 06/09/11            | 10/06/11  | \$1,249.29                       | \$1,581.98                             | \$-332.69        |
| AMAZON COM INC               | 023135106 | 13               | 11/19/10            | 10/06/11  | \$2,863.94                       | \$2,138.73                             | \$725.21         |
| AMAZON COM INC               | 023135106 | 28               | 03/10/11            | 10/06/11  | \$6,168.47                       | \$4,673.54                             | \$1,494.93       |
| MIDDLEBY CORP                | 596278101 | 3                | 03/13/11            | 10/06/11  | \$218.65                         | \$310.82                               | \$-92.17         |
| MIDDLEBY CORP                | 596278101 | 7                | 04/04/11            | 10/06/11  | \$510.19                         | \$661.01                               | \$-150.82        |
| INDUSTRIAL & COML BK CHINA   | 455807107 | 75               | 12/14/10            | 10/06/11  | \$720.72                         | \$1,174.20                             | \$-453.48        |
| INDUSTRIAL & COML BK CHINA   | 455807107 | 80               | 11/16/10            | 10/06/11  | \$768.77                         | \$1,478.48                             | \$-709.71        |
| TEAVANA HLDGS INC            | 87819P102 | 3                | 07/14/11            | 10/06/11  | \$58.59                          | \$103.09                               | \$-44.50         |
| TEAVANA HLDGS INC            | 87819P102 | 76               | 08/05/11            | 10/06/11  | \$1,484.36                       | \$2,098.67                             | \$-614.31        |
| TRINITY INDUSTRIES INC       | 896522109 | 56               | 03/18/11            | 10/06/11  | \$1,321.39                       | \$1,811.05                             | \$-489.66        |
| TRINITY INDUSTRIES INC       | 896522109 | 1                | 07/20/11            | 10/06/11  | \$23.60                          | \$34.81                                | \$-11.21         |
| GLOBAL PMTS INC              | 37940X102 | 22               | 07/08/11            | 10/06/11  | \$906.82                         | \$1,146.58                             | \$-239.76        |
| WARNACO GROUP INC NEW        | 934390402 | 15               | 05/23/11            | 10/06/11  | \$637.41                         | \$817.11                               | \$-179.70        |
| TRINITY INDUSTRIES INC       | 896522109 | 9                | 06/28/11            | 10/06/11  | \$212.37                         | \$355.26                               | \$-142.89        |
| COMPLETE PRODTN SVCS INC     | 20453E109 | 197              | 02/09/11            | 10/10/11  | \$5,584.19                       | \$5,047.81                             | \$536.38         |
| CMS ENERGY CORP              | 125896100 | 57               | 04/07/11            | 10/11/11  | \$1,144.99                       | \$1,104.09                             | \$40.90          |
| V F CORP                     | 918204108 | 14               | 01/28/11            | 10/12/11  | \$1,841.11                       | \$1,155.73                             | \$685.38         |
| MAGELLAN HEALTH SVCS INC NEW | 559079207 | 20               | 05/13/11            | 10/12/11  | \$1,022.44                       | \$1,031.87                             | \$-9.43          |
| TOWERS WATSON & CO           | 891894107 | 9                | 05/19/11            | 10/13/11  | \$575.31                         | \$563.39                               | \$11.92          |
| TOWERS WATSON & CO           | 891894107 | 8                | 03/25/11            | 10/13/11  | \$511.39                         | \$443.90                               | \$67.49          |
| TOWERS WATSON & CO           | 891894107 | 10               | 03/25/11            | 10/13/11  | \$639.24                         | \$554.87                               | \$84.37          |
| V F CORP                     | 918204108 | 10               | 01/28/11            | 10/13/11  | \$1,314.42                       | \$825.52                               | \$488.90         |
| GAMESTOP CORP NEW CL A       | 36467W109 | 64               | 09/21/11            | 10/14/11  | \$1,609.59                       | \$1,535.79                             | \$73.80          |
| TOWERS WATSON & CO           | 891894107 | 10               | 03/25/11            | 10/14/11  | \$636.22                         | \$554.87                               | \$81.35          |
| TOWERS WATSON & CO           | 891894107 | 13               | 03/25/11            | 10/14/11  | \$827.08                         | \$721.33                               | \$105.75         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                   | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BROADRIDGE FINL SOLUTIONS INC | 11133T103 | 45               | 02/08/11            | 10/17/11  | \$948.87                         | \$962.75                               | \$-13.88         |
| BROADRIDGE FINL SOLUTIONS INC | 11133T103 | 226              | 01/21/11            | 10/17/11  | \$4,765.46                       | \$5,150.54                             | \$-385.08        |
| WILLIS GROUP HLDGS PLC        | G96666105 | 12               | 06/28/11            | 10/17/11  | \$431.59                         | \$475.70                               | \$-44.11         |
| WILLIS GROUP HLDGS PLC        | G96666105 | 14               | 06/28/11            | 10/17/11  | \$503.52                         | \$554.98                               | \$-51.46         |
| LONE PINE RES INC             | 54222A106 | 0.76             | 05/04/11            | 10/17/11  | \$5.02                           | \$10.61                                | \$-5.59          |
| WILLIS GROUP HLDGS PLC        | G96666105 | 7                | 12/15/10            | 10/17/11  | \$251.76                         | \$238.00                               | \$13.76          |
| GAMESTOP CORP NEW CL A        | 36467W109 | 46               | 12/27/10            | 10/17/11  | \$1,123.71                       | \$1,025.82                             | \$97.89          |
| GAMESTOP CORP NEW CL A        | 36467W109 | 1                | 09/21/11            | 10/17/11  | \$24.43                          | \$24.00                                | \$0.43           |
| V F CORP                      | 918204108 | 10               | 01/28/11            | 10/18/11  | \$1,298.90                       | \$825.52                               | \$473.38         |
| CSL LTD                       | 12637N105 | 95               | 12/14/10            | 10/18/11  | \$1,451.67                       | \$1,759.40                             | \$-307.73        |
| COINSTAR INC                  | 19259P300 | 3                | 06/09/11            | 10/19/11  | \$152.41                         | \$180.64                               | \$-28.23         |
| COINSTAR INC                  | 19259P300 | 8                | 07/01/11            | 10/19/11  | \$406.43                         | \$441.64                               | \$-35.21         |
| COINSTAR INC                  | 19259P300 | 2                | 03/18/11            | 10/19/11  | \$101.61                         | \$88.78                                | \$12.83          |
| COINSTAR INC                  | 19259P300 | 17               | 08/05/11            | 10/19/11  | \$863.67                         | \$731.14                               | \$132.53         |
| COINSTAR INC                  | 19259P300 | 67               | 02/07/11            | 10/19/11  | \$3,403.89                       | \$2,698.33                             | \$705.56         |
| VEECO INSTRS INC DEL          | 922417100 | 59               | 08/30/11            | 10/19/11  | \$1,509.91                       | \$2,168.45                             | \$-658.54        |
| VEECO INSTRS INC DEL          | 922417100 | 6                | 08/08/11            | 10/19/11  | \$153.55                         | \$257.28                               | \$-103.73        |
| VEECO INSTRS INC DEL          | 922417100 | 2                | 09/06/11            | 10/19/11  | \$51.18                          | \$66.91                                | \$-15.73         |
| VEECO INSTRS INC DEL          | 922417100 | 44               | 09/30/11            | 10/19/11  | \$1,126.03                       | \$1,099.11                             | \$26.92          |
| VITAMIN SHOPPE INC            | 92849E101 | 15               | 12/21/10            | 10/19/11  | \$572.16                         | \$503.74                               | \$68.42          |
| VITAMIN SHOPPE INC            | 92849E101 | 25               | 12/15/10            | 10/19/11  | \$953.61                         | \$809.69                               | \$143.92         |
| OASIS PETE INC NEW            | 674215108 | 8                | 05/09/11            | 10/19/11  | \$249.48                         | \$229.22                               | \$20.26          |
| OASIS PETE INC NEW            | 674215108 | 12               | 06/09/11            | 10/19/11  | \$374.21                         | \$334.48                               | \$39.73          |
| ONYX PHARMACEUTICALS INC DEL  | 683399109 | 2                | 09/01/11            | 10/19/11  | \$69.82                          | \$65.97                                | \$3.85           |
| MIDDLEBY CORP                 | 596278101 | 29               | 04/04/11            | 10/19/11  | \$2,262.77                       | \$2,738.49                             | \$-475.72        |
| ACTIVISION BUZZARD INC        | 00507V109 | 108              | 09/14/11            | 10/19/11  | \$1,408.76                       | \$1,297.37                             | \$111.39         |

IM NORTHROP GRUMMAN FDN

**Short term transactions**

This category includes sales of assets held 12 months or less.

| Description               | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| KENEXA CORP               | 488879107 | 69               | 12/21/10            | 10/19/11  | \$1,445 47                       | \$1,514 82                             | \$-69 35         |
| KNIGHT TRANSN INC         | 499064103 | 9                | 04/17/11            | 10/19/11  | \$131 87                         | \$175 51                               | \$-43 64         |
| KNIGHT TRANSN INC         | 499064103 | 18               | 05/09/11            | 10/19/11  | \$263 75                         | \$319 93                               | \$-56 18         |
| IMPAX LABORATORIES INC    | 45256B101 | 39               | 02/01/11            | 10/19/11  | \$734 02                         | \$920 21                               | \$-186 19        |
| IMPAX LABORATORIES INC    | 45256B101 | 15               | 01/10/11            | 10/19/11  | \$282 32                         | \$346 36                               | \$-64 04         |
| IMPAX LABORATORIES INC    | 45256B101 | 8                | 01/14/11            | 10/19/11  | \$150 57                         | \$177 54                               | \$-26 97         |
| IMPAX LABORATORIES INC    | 45256B101 | 13               | 07/01/11            | 10/19/11  | \$244 67                         | \$285 01                               | \$-40 34         |
| DTS INC                   | 23335C101 | 5                | 11/23/10            | 10/19/11  | \$130 03                         | \$270 22                               | \$-140 19        |
| DTS INC                   | 23335C101 | 19               | 12/15/10            | 10/19/11  | \$494 11                         | \$926 28                               | \$-432 17        |
| DTS INC                   | 23335C101 | 17               | 11/26/10            | 10/19/11  | \$442 09                         | \$765 01                               | \$-322 92        |
| DTS INC                   | 23335C101 | 14               | 11/24/10            | 10/19/11  | \$364 08                         | \$629 44                               | \$-265 36        |
| DRIL-QUIP INC             | 262037104 | 1                | 03/13/11            | 10/19/11  | \$61 33                          | \$87 31                                | \$-25 98         |
| DRIL-QUIP INC             | 262037104 | 11               | 04/04/11            | 10/19/11  | \$674 67                         | \$872 14                               | \$-197 47        |
| DRIL-QUIP INC             | 262037104 | 2                | 12/15/10            | 10/19/11  | \$122 67                         | \$155 22                               | \$-32 55         |
| CARBO CERAMICS INC        | 140781105 | 17               | 10/06/11            | 10/19/11  | \$1,981 29                       | \$1,951 18                             | \$30 11          |
| CONOCOPHILLIPS            | 20825C104 | 47               | 12/14/10            | 10/20/11  | \$3,268 59                       | \$3,078 97                             | \$189 62         |
| HESS CORP                 | 42809H107 | 13               | 12/14/10            | 10/20/11  | \$740 28                         | \$962 65                               | \$-222 37        |
| APACHE CORP               | 037411105 | 6                | 11/26/10            | 10/20/11  | \$536 90                         | \$785 70                               | \$-248 80        |
| APACHE CORP               | 037411105 | 10               | 12/14/10            | 10/20/11  | \$894 84                         | \$1,160 03                             | \$-265 19        |
| APACHE CORP               | 037411105 | 41               | 08/04/11            | 10/20/11  | \$3,668 84                       | \$4,590 84                             | \$-922 00        |
| COMCAST CORP NEW CL A     | 20030N101 | 417              | 05/02/11            | 10/20/11  | \$9,673 59                       | \$11,167 26                            | \$-1,493 67      |
| PEPSICO INC               | 713448108 | 27               | 04/08/11            | 10/20/11  | \$1,674 34                       | \$1,780 70                             | \$-106 36        |
| EZCORP INC CL A NON VTG   | 302301106 | 23               | 12/15/10            | 10/20/11  | \$624 23                         | \$631 29                               | \$-7 06          |
| PEPSICO INC               | 713448108 | 67               | 12/14/10            | 10/20/11  | \$4,154 85                       | \$4,377 44                             | \$-222 59        |
| US BANCORP DEL NEW        | 902973304 | 195              | 08/04/11            | 10/20/11  | \$4,710 52                       | \$4,799 24                             | \$-88 72         |
| OCCIDENTAL PETROLEUM CORP | 674599105 | 39               | 12/14/10            | 10/20/11  | \$3,243 73                       | \$3,664 05                             | \$-420 32        |



IM NORTHROP GRUMMAN FDN

### Short term transactions

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PEABODY ENERGY CORP            | 704549104 | 126              | 08/04/11            | 10/20/11  | \$4,638.98                       | \$6,336.12                             | \$-1,697.14      |
| EZCORP INC CL A NON VTG        | 302301106 | 7                | 09/01/11            | 10/20/11  | \$189.98                         | \$227.55                               | \$-37.57         |
| EMC CORPORATION                | 268648102 | 225              | 12/14/10            | 10/21/11  | \$5,412.29                       | \$5,095.64                             | \$316.65         |
| QUALCOMM INC                   | 747525103 | 259              | 12/14/10            | 10/21/11  | \$13,496.28                      | \$12,729.15                            | \$767.13         |
| COGNIZANT TECHNOLOGY SOLUTIONS | 192446102 | 34               | 02/08/11            | 10/21/11  | \$2,379.55                       | \$2,603.15                             | \$-223.60        |
| COGNIZANT TECHNOLOGY SOLUTIONS | 192446102 | 33               | 01/29/11            | 10/21/11  | \$2,309.56                       | \$2,633.01                             | \$-323.45        |
| HARMAN INTL INDS INC NEW       | 413086109 | 14               | 01/21/11            | 10/24/11  | \$595.92                         | \$625.10                               | \$-29.18         |
| HARMAN INTL INDS INC NEW       | 413086109 | 20               | 01/14/11            | 10/24/11  | \$851.32                         | \$967.16                               | \$-115.84        |
| DEVRY INC DEL COM              | 251893103 | 8                | 06/22/11            | 10/25/11  | \$365.58                         | \$462.99                               | \$-97.41         |
| JACK IN THE BOX INC            | 466367109 | 147              | 01/21/11            | 10/25/11  | \$2,926.10                       | \$3,307.50                             | \$-381.40        |
| ACTIVISION BLIZZARD INC        | 00507V109 | 71               | 06/02/11            | 10/25/11  | \$960.18                         | \$849.76                               | \$110.42         |
| ACTIVISION BLIZZARD INC        | 00507V109 | 14               | 09/14/11            | 10/25/11  | \$189.33                         | \$168.18                               | \$21.15          |
| SODASTREAM INTERNATIONAL LTD   | M9068E105 | 14               | 08/16/11            | 10/26/11  | \$420.20                         | \$594.70                               | \$-174.50        |
| CROWN HLDGS INC COM 144A       | 228368106 | 21               | 12/15/10            | 10/26/11  | \$685.75                         | \$709.38                               | \$-23.63         |
| TIVO INC                       | 888706108 | 26               | 06/09/11            | 10/26/11  | \$259.93                         | \$267.08                               | \$-7.15          |
| TIVO INC                       | 888706108 | 55               | 06/09/11            | 10/26/11  | \$550.02                         | \$564.97                               | \$-14.95         |
| SUCCESSFACTORS INC             | 864596101 | 10               | 10/19/11            | 10/26/11  | \$244.98                         | \$248.86                               | \$-3.88          |
| THOMPSON CREEK METALS CO INC   | 884768102 | 26               | 12/11/10            | 10/26/11  | \$181.76                         | \$348.60                               | \$-166.84        |
| OASIS PETE INC NEW             | 674215108 | 17               | 06/09/11            | 10/26/11  | \$542.20                         | \$473.85                               | \$68.35          |
| OASIS PETE INC NEW             | 674215108 | 5                | 12/15/10            | 10/26/11  | \$159.47                         | \$129.54                               | \$29.93          |
| MINDSPEED TECHNOLOGIES INC     | 602682205 | 9                | 03/13/11            | 10/26/11  | \$46.09                          | \$77.44                                | \$-31.35         |
| MINDSPEED TECHNOLOGIES INC     | 602682205 | 8                | 03/13/11            | 10/26/11  | \$41.08                          | \$68.83                                | \$-27.75         |
| IPC THE HOSPITALIST CO         | 44984A105 | 8                | 07/14/11            | 10/26/11  | \$319.62                         | \$342.73                               | \$-23.11         |
| IPC THE HOSPITALIST CO         | 44984A105 | 1                | 08/05/11            | 10/26/11  | \$39.95                          | \$40.37                                | \$-0.42          |
| FORTNET INC                    | 34959E109 | 4                | 09/01/11            | 10/26/11  | \$82.60                          | \$77.27                                | \$5.33           |
| FORTNET INC                    | 34959E109 | 2                | 12/15/10            | 10/26/11  | \$41.30                          | \$31.46                                | \$9.84           |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| HEALTHSPRING INC           | 42224N101 | 50               | 10/19/11            | 10/26/11  | \$2,702.76                       | \$2,035.56                             | \$667.20         |
| JACK IN THE BOX INC        | 466367109 | 103              | 05/02/11            | 10/26/11  | \$2,043.47                       | \$2,080.01                             | \$-36.54         |
| JACK IN THE BOX INC        | 466367109 | 33               | 01/21/11            | 10/26/11  | \$654.70                         | \$742.50                               | \$-87.80         |
| LAM RESH CORP              | 512807108 | 12               | 04/23/11            | 10/26/11  | \$498.80                         | \$689.68                               | \$-190.88        |
| LAM RESH CORP              | 512807108 | 15               | 04/23/11            | 10/26/11  | \$623.50                         | \$862.11                               | \$-238.61        |
| LAM RESH CORP              | 512807108 | 13               | 03/06/11            | 10/26/11  | \$540.37                         | \$711.62                               | \$-171.25        |
| VITAMIN SHOPPE INC         | 92849E101 | 10               | 12/15/10            | 10/26/11  | \$369.86                         | \$323.87                               | \$45.99          |
| MINDSPEED TECHNOLOGIES INC | 602682205 | 4                | 03/13/11            | 10/27/11  | \$21.23                          | \$34.42                                | \$-13.19         |
| PRECISION CASTPARTS CORP   | 740189105 | 22               | 12/14/10            | 10/27/11  | \$3,741.94                       | \$3,081.57                             | \$660.37         |
| MINDSPEED TECHNOLOGIES INC | 602682205 | 13               | 04/04/11            | 10/27/11  | \$69.42                          | \$105.93                               | \$-36.51         |
| BIOGEN IDEC INC            | 09062X103 | 12               | 08/07/11            | 10/27/11  | \$1,398.97                       | \$1,314.11                             | \$84.86          |
| BIOGEN IDEC INC            | 09062X103 | 47               | 08/07/11            | 10/27/11  | \$5,479.29                       | \$5,100.28                             | \$379.01         |
| BIOGEN IDEC INC            | 09062X103 | 16               | 07/25/11            | 10/27/11  | \$1,865.29                       | \$1,693.20                             | \$172.09         |
| BIOGEN IDEC INC            | 09062X103 | 7                | 04/26/11            | 10/27/11  | \$816.06                         | \$716.68                               | \$99.38          |
| IPC THE HOSPITALIST CO     | 44984A105 | 10               | 08/05/11            | 10/27/11  | \$406.79                         | \$403.75                               | \$3.04           |
| TD AMERITRADE HLDG CORP    | 87236Y108 | 27               | 12/15/10            | 10/27/11  | \$457.51                         | \$503.82                               | \$-46.31         |
| TD AMERITRADE HLDG CORP    | 87236Y108 | 7                | 12/15/10            | 10/27/11  | \$118.61                         | \$130.62                               | \$-12.01         |
| ST JUDE MEDICAL INC        | 790849103 | 30               | 07/22/11            | 10/27/11  | \$1,229.77                       | \$1,469.48                             | \$-239.71        |
| ST JUDE MEDICAL INC        | 790849103 | 46               | 12/14/10            | 10/27/11  | \$1,885.66                       | \$1,919.00                             | \$-33.34         |
| ST JUDE MEDICAL INC        | 790849103 | 1                | 11/19/10            | 10/27/11  | \$40.99                          | \$39.57                                | \$1.42           |
| ST JUDE MEDICAL INC        | 790849103 | 341              | 11/19/10            | 10/27/11  | \$13,977.94                      | \$13,492.52                            | \$485.42         |
| ST JUDE MEDICAL INC        | 790849103 | 306              | 11/19/10            | 10/27/11  | \$12,558.46                      | \$12,107.65                            | \$450.81         |
| PRECISION CASTPARTS CORP   | 740189105 | 10               | 12/14/10            | 10/27/11  | \$1,682.38                       | \$1,400.71                             | \$281.67         |
| MINDSPEED TECHNOLOGIES INC | 602682205 | 5                | 04/04/11            | 10/27/11  | \$26.53                          | \$40.74                                | \$-14.21         |
| MINDSPEED TECHNOLOGIES INC | 602682205 | 82               | 04/04/11            | 10/28/11  | \$447.17                         | \$668.18                               | \$-221.01        |
| ROSS STORES INC            | 778296103 | 10               | 08/22/11            | 10/28/11  | \$883.32                         | \$708.74                               | \$174.58         |



IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description              | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ROSS STORES INC          | 778296103 | 2                | 12/15/10            | 10/28/11  | \$176 66                         | \$126 00                               | \$50 66          |
| ST JUDE MEDICAL INC      | 790849103 | 14               | 11/19/10            | 10/28/11  | \$568 90                         | \$553 94                               | \$14 96          |
| ST JUDE MEDICAL INC      | 790849103 | 78               | 07/21/11            | 10/28/11  | \$3,132 22                       | \$3,849 16                             | \$-716 94        |
| ST JUDE MEDICAL INC      | 790849103 | 111              | 07/21/11            | 10/28/11  | \$4,457 38                       | \$5,462 44                             | \$-1,005 06      |
| ST JUDE MEDICAL INC      | 790849103 | 359              | 07/22/11            | 10/28/11  | \$14,416 23                      | \$17,584 75                            | \$-3,168 52      |
| ITT INDS INC IND         | 450911102 | 60               | 12/15/10            | 10/28/11  | \$2,655 33                       | \$3,012 00                             | \$-356 67        |
| FEDERAL HOME LN MTG CORP | 3128M8A87 | 3998 57          | 02/08/11            | 10/31/11  | \$3,998 57                       | \$4,152 97                             | \$-154 40        |
| HEALTH CARE REIT INC     | 42217K106 | 8                | 07/13/11            | 10/31/11  | \$428 50                         | \$417 43 *                             | \$11 07          |
| FEDERAL NATL MTG ASSN    | 31416WRK0 | 5990 34          | 02/02/11            | 10/31/11  | \$5,990 34                       | \$6,065 07                             | \$-74 73         |
| FEDERAL NATL MTG ASSN    | 31418MHD7 | 89 62            | 07/11/11            | 10/31/11  | \$89 62                          | \$89 62                                | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31419ADV6 | 963 52           | 04/19/11            | 10/31/11  | \$963 52                         | \$1,026 43                             | \$-62 91         |
| FEDERAL NATL MTG ASSN    | 31419ARE9 | 171 3            | 08/10/11            | 10/31/11  | \$171 30                         | \$188 48                               | \$-17 18         |
| FEDERAL NATL MTG ASSN    | 31419BCW3 | 3604 68          | 03/02/11            | 10/31/11  | \$3,604 68                       | \$3,665 42                             | \$-60 74         |
| FEDERAL NATL MTG ASSN    | 31406Y4E7 | 614 42           | 01/26/11            | 10/31/11  | \$614 42                         | \$643 76                               | \$-29 34         |
| FEDERAL NATL MTG ASSN    | 31410GRN5 | 526 83           | 01/26/11            | 10/31/11  | \$526 83                         | \$560 08                               | \$-33 25         |
| FEDERAL NATL MTG ASSN    | 31411CDR9 | 399 08           | 05/10/11            | 10/31/11  | \$399 08                         | \$429 05                               | \$-29 97         |
| FEDERAL NATL MTG ASSN    | 31414PUJ1 | 217 58           | 06/10/11            | 10/31/11  | \$217 58                         | \$234 85                               | \$-17 27         |
| ST JUDE MEDICAL INC      | 790849103 | 153              | 11/19/10            | 10/31/11  | \$5,959 51                       | \$6,053 83                             | \$-94 32         |
| ST JUDE MEDICAL INC      | 790849103 | 8                | 11/19/10            | 10/31/11  | \$313 05                         | \$316 54                               | \$-3 49          |
| HEALTH CARE REIT INC     | 42217K106 | 10               | 06/21/11            | 10/31/11  | \$535 62                         | \$529 60 *                             | \$6 02           |
| HEALTH CARE REIT INC     | 42217K106 | 7                | 06/21/11            | 10/31/11  | \$374 93                         | \$370 73 *                             | \$4 20           |
| FEDERAL NATL MTG ASSN    | 3138A7FZ6 | 2432 14          | 02/09/11            | 10/31/11  | \$2,432 14                       | \$2,448 86                             | \$-16 72         |
| SANDISK CORP             | 80004C101 | 15               | 04/01/11            | 11/01/11  | \$733 73                         | \$693 23                               | \$40 50          |
| SANDISK CORP             | 80004C101 | 1                | 04/01/11            | 11/01/11  | \$48 92                          | \$46 21                                | \$2 71           |
| EAST WEST BANCORP INC    | 27579R104 | 44               | 03/13/11            | 11/01/11  | \$821 55                         | \$1,099 96                             | \$-278 41        |
| ST JUDE MEDICAL INC      | 790849103 | 15               | 11/19/10            | 11/01/11  | \$569 63                         | \$593 51                               | \$-23 88         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ST JUDE MEDICAL INC               | 790849103 | 605              | 11/19/10            | 11/01/11  | \$22,851 14                      | \$23,938 34                            | \$-1,087 20      |
| FINISAR CORP                      | 31787A507 | 55               | 10/19/11            | 11/02/11  | \$1,178 68                       | \$946 22                               | \$232 46         |
| EURONET SVCS INC                  | 298736109 | 257              | 01/21/11            | 11/02/11  | \$4,732 67                       | \$4,610 58                             | \$122 09         |
| SPIRIT AEROSYSTEMS HLDGS INC CL A | 848574109 | 29               | 01/21/11            | 11/03/11  | \$537 27                         | \$680 92                               | \$-143 65        |
| EURONET SVCS INC                  | 298736109 | 26               | 07/15/11            | 11/03/11  | \$482 10                         | \$407 60                               | \$74 50          |
| EURONET SVCS INC                  | 298736109 | 104              | 01/21/11            | 11/03/11  | \$1,928 39                       | \$1,865 76                             | \$62 63          |
| ROSS STORES INC                   | 778296103 | 1                | 12/15/10            | 11/07/11  | \$88 96                          | \$63 00                                | \$25 96          |
| ROSS STORES INC                   | 778296103 | 5                | 12/15/10            | 11/07/11  | \$444 78                         | \$315 00                               | \$129 78         |
| ACTIVISION BLIZZARD INC           | 00507V109 | 44               | 06/01/11            | 11/08/11  | \$611 07                         | \$526 41                               | \$84 66          |
| ACTIVISION BLIZZARD INC           | 00507V109 | 56               | 06/01/11            | 11/08/11  | \$777 73                         | \$669 98                               | \$107 75         |
| SKYWORKS SOLUTIONS INC            | 83088M102 | 12               | 08/31/11            | 11/08/11  | \$250 61                         | \$248 93                               | \$1 68           |
| MEDNAX INC                        | 58502B106 | 1                | 04/12/11            | 11/08/11  | \$69 67                          | \$67 73                                | \$1 94           |
| ACTIVISION BLIZZARD INC           | 00507V109 | 6                | 06/02/11            | 11/08/11  | \$83 33                          | \$71 81                                | \$11 52          |
| MYLAN LABS INC                    | 628530107 | 17               | 03/30/11            | 11/08/11  | \$317 42                         | \$383 35                               | \$-65 93         |
| MYLAN LABS INC                    | 628530107 | 7                | 03/30/11            | 11/08/11  | \$130 70                         | \$157 85                               | \$-27 15         |
| MYLAN LABS INC                    | 628530107 | 23               | 03/31/11            | 11/08/11  | \$429 45                         | \$518 52                               | \$-89 07         |
| MYLAN LABS INC                    | 628530107 | 98               | 03/01/11            | 11/08/11  | \$1,829 82                       | \$2,236 34                             | \$-406 52        |
| BJS RESTAURANTS INC               | 09180C106 | 7                | 10/06/11            | 11/10/11  | \$347 58                         | \$321 46                               | \$26 12          |
| BJS RESTAURANTS INC               | 09180C106 | 6                | 09/14/11            | 11/10/11  | \$297 92                         | \$277 14                               | \$20 78          |
| BODY CENT ACQUISITION CORP        | 09689U102 | 4                | 03/31/11            | 11/10/11  | \$83 64                          | \$109 91                               | \$-26 27         |
| BODY CENT ACQUISITION CORP        | 09689U102 | 7                | 12/15/10            | 11/10/11  | \$146 37                         | \$101 48                               | \$44 89          |
| WARNACO GROUP INC NEW             | 934390402 | 3                | 06/09/11            | 11/10/11  | \$144 90                         | \$145 90                               | \$-1 00          |
| WORLD FUEL SVCS CORP              | 981475106 | 15               | 12/15/10            | 11/10/11  | \$605 50                         | \$480 26                               | \$125 24         |
| ARIBA INC NEW                     | 04033V203 | 6                | 03/13/11            | 11/10/11  | \$190 62                         | \$197 00                               | \$-6 38          |
| ARIBA INC NEW                     | 04033V203 | 23               | 04/21/11            | 11/10/11  | \$730 69                         | \$733 25                               | \$-2 56          |
| SODASTREAM INTERNATIONAL LTD      | M9068E105 | 13               | 08/16/11            | 11/10/11  | \$418 71                         | \$552 22                               | \$-133 51        |

IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SODASTREAM INTERNATIONAL LTD | M9068E105 | 7                | 07/25/11            | 11/10/11  | \$225 46                         | \$275 62                               | \$-50 16         |
| SODASTREAM INTERNATIONAL LTD | M9068E105 | 66               | 01/14/11            | 11/10/11  | \$2,125 77                       | \$2,434 68                             | \$-308 91        |
| VITAMIN SHOPPE INC           | 92849E101 | 17               | 12/15/10            | 11/10/11  | \$619 04                         | \$550 59                               | \$68 45          |
| VOCUS INC                    | 92858J108 | 3                | 11/19/10            | 11/10/11  | \$58 69                          | \$94 00                                | \$-35 31         |
| WARNACO GROUP INC NEW        | 934390402 | 8                | 05/23/11            | 11/10/11  | \$386 39                         | \$435 79                               | \$-49 40         |
| WARNACO GROUP INC NEW        | 934390402 | 14               | 05/19/11            | 11/10/11  | \$676 19                         | \$757 22                               | \$-81 03         |
| TIVO INC                     | 888706108 | 134              | 06/09/11            | 11/10/11  | \$1,388 19                       | \$1,376 46                             | \$11 73          |
| OASIS PETE INC NEW           | 674215108 | 28               | 12/15/10            | 11/10/11  | \$850 06                         | \$725 41                               | \$124 65         |
| POLARIS INDUSTRIES INC NEW   | 731068102 | 2                | 05/07/11            | 11/10/11  | \$122 50                         | \$131 16                               | \$-8 66          |
| POLARIS INDUSTRIES INC NEW   | 731068102 | 8                | 06/27/11            | 11/10/11  | \$490 02                         | \$476 15                               | \$13 87          |
| POLARIS INDUSTRIES INC NEW   | 731068102 | 14               | 06/09/11            | 11/10/11  | \$857 54                         | \$718 81                               | \$138 73         |
| POLARIS INDUSTRIES INC NEW   | 731068102 | 10               | 12/15/10            | 11/10/11  | \$612 52                         | \$390 09                               | \$222 43         |
| MINDSPEED TECHNOLOGIES INC   | 602682205 | 42               | 04/04/11            | 11/10/11  | \$235 23                         | \$342 24                               | \$-107 01        |
| KINDER MORGAN MGMT LLC SHS   | 49455U100 | 0 66             | 12/14/10            | 11/10/11  | \$43 60                          | \$39 75                                | \$3 85           |
| IPC THE HOSPITALIST CO       | 44984A105 | 2                | 08/05/11            | 11/10/11  | \$86 86                          | \$80 75                                | \$6 11           |
| IPC THE HOSPITALIST CO       | 44984A105 | 16               | 12/15/10            | 11/10/11  | \$694 84                         | \$576 50                               | \$118 34         |
| DIAMOND FOODS INC            | 252603105 | 6                | 09/30/11            | 11/10/11  | \$227 06                         | \$486 21                               | \$-259 15        |
| DIAMOND FOODS INC            | 252603105 | 2                | 09/01/11            | 11/10/11  | \$75 69                          | \$155 09                               | \$-79 40         |
| DIAMOND FOODS INC            | 252603105 | 9                | 06/09/11            | 11/10/11  | \$340 60                         | \$638 15                               | \$-297 55        |
| DIAMOND FOODS INC            | 252603105 | 8                | 10/26/11            | 11/10/11  | \$302 75                         | \$516 28                               | \$-213 53        |
| DIAMOND FOODS INC            | 252603105 | 8                | 01/14/11            | 11/10/11  | \$302 75                         | \$427 84                               | \$-125 09        |
| DIAMOND FOODS INC            | 252603105 | 21               | 12/15/10            | 11/10/11  | \$794 73                         | \$1,121 14                             | \$-326 41        |
| DIAMOND FOODS INC            | 252603105 | 14               | 02/07/11            | 11/10/11  | \$529 82                         | \$711 73                               | \$-181 91        |
| DIAMOND FOODS INC            | 252603105 | 18               | 11/23/10            | 11/10/11  | \$681 19                         | \$852 78                               | \$-171 59        |
| ELIZABETH ARDEN INC          | 28660G106 | 2                | 09/01/11            | 11/10/11  | \$67 66                          | \$63 11                                | \$4 55           |
| ELIZABETH ARDEN INC          | 28660G106 | 9                | 09/08/11            | 11/10/11  | \$304 46                         | \$266 24                               | \$38 22          |

### Short term transactions

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| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ELIZABETH ARDEN INC                 | 28660G106 | 4                | 09/30/11            | 11/10/11  | \$135.32                         | \$116.38                               | \$18.94          |
| ELIZABETH ARDEN INC                 | 28660G106 | 1                | 12/15/10            | 11/10/11  | \$33.83                          | \$22.99                                | \$10.84          |
| BERRY PETROLEUM CO CL A             | 085789105 | 2                | 08/08/11            | 11/10/11  | \$83.64                          | \$117.79                               | \$-34.15         |
| BERRY PETROLEUM CO CL A             | 085789105 | 40               | 08/30/11            | 11/10/11  | \$1,672.77                       | \$1,975.78                             | \$-303.01        |
| BERRY PETROLEUM CO CL A             | 085789105 | 1                | 09/01/11            | 11/10/11  | \$41.82                          | \$48.33                                | \$-6.51          |
| BODY CENT ACQUISITION CORP          | 09689U102 | 15               | 04/22/11            | 11/10/11  | \$313.65                         | \$417.17                               | \$-103.52        |
| VOCUS INC                           | 92858J108 | 3                | 11/19/10            | 11/11/11  | \$59.91                          | \$93.99                                | \$-34.08         |
| VOCUS INC                           | 92858J108 | 16               | 06/09/11            | 11/11/11  | \$319.50                         | \$433.76                               | \$-114.26        |
| VOCUS INC                           | 92858J108 | 2                | 12/15/10            | 11/11/11  | \$39.94                          | \$54.35                                | \$-14.41         |
| VOCUS INC                           | 92858J108 | 16               | 12/11/10            | 11/11/11  | \$319.51                         | \$436.90                               | \$-117.39        |
| FEDERAL NATL MTG ASSN               | 31359ML50 | 96000            | 12/16/10            | 11/15/11  | \$96,000.00                      | \$100,416.00                           | \$-4,416.00      |
| LUFKIN INDS INC                     | 549764108 | 26               | 10/17/11            | 11/16/11  | \$1,846.77                       | \$1,313.59                             | \$533.18         |
| SANDRIDGE ENERGY INC                | 80007P307 | 119              | 05/10/11            | 11/16/11  | \$924.27                         | \$1,320.23                             | \$-395.96        |
| SANDRIDGE ENERGY INC                | 80007P307 | 18               | 03/25/11            | 11/16/11  | \$139.80                         | \$217.26                               | \$-77.46         |
| CELGENE CORP COM                    | 151020104 | 24               | 12/14/10            | 11/17/11  | \$1,546.75                       | \$1,382.40                             | \$164.35         |
| CHIPOTLE MEXICAN GRILL INC CL A     | 169656105 | 2                | 02/11/11            | 11/23/11  | \$603.16                         | \$537.21                               | \$65.95          |
| BIOGEN IDEC INC                     | 09062X103 | 5                | 04/26/11            | 11/23/11  | \$553.69                         | \$511.92                               | \$41.77          |
| ALLERGAN INC                        | 018490102 | 16               | 12/14/10            | 11/23/11  | \$1,259.37                       | \$1,126.40                             | \$132.97         |
| COLUMBIA FDS SER TR LARGE CAP INDEX | 19765H321 | 4393.67          | 12/14/10            | 11/23/11  | \$100,000.00                     | \$105,316.34                           | \$-5,316.34      |
| VISA INC CL A                       | 92826C839 | 8                | 09/18/11            | 11/23/11  | \$718.89                         | \$770.93                               | \$-52.04         |
| VISA INC CL A                       | 92826C839 | 8                | 09/17/11            | 11/23/11  | \$718.88                         | \$770.80                               | \$-51.92         |
| NOVO-NORDISK A S ADR                | 670100205 | 11               | 05/27/11            | 11/23/11  | \$1,182.61                       | \$1,446.50                             | \$-263.89        |
| PRECISION CASTPARTS CORP            | 740189105 | 8                | 12/14/10            | 11/23/11  | \$1,221.83                       | \$1,120.57                             | \$101.26         |
| LAUDER ESTEE COS INC CL A           | 518439104 | 2                | 02/28/11            | 11/23/11  | \$214.87                         | \$188.29                               | \$26.58          |
| MCKESSON HBOC INC                   | 58155Q103 | 27               | 08/11/11            | 11/23/11  | \$2,087.12                       | \$2,131.31                             | \$-44.19         |
| EXPEDITORS INTERNATIONAL WASH INC   | 302130109 | 12               | 12/14/10            | 11/23/11  | \$482.18                         | \$671.41                               | \$-189.23        |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FMC TECHNOLOGIES INC               | 30249U101 | 26               | 10/27/11            | 11/25/11  | \$1,208 38                       | \$1,207 77                             | \$0 61           |
| EXPEDITORS INTERNATIONAL WASH INC  | 302130109 | 52               | 02/15/11            | 11/25/11  | \$2,084 77                       | \$2,797 73                             | \$-712 96        |
| FMC TECHNOLOGIES INC               | 30249U101 | 126              | 12/14/10            | 11/25/11  | \$5,856 00                       | \$5,503 77                             | \$352 23         |
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 14               | 02/11/11            | 11/25/11  | \$4,228 51                       | \$3,760 48                             | \$468 03         |
| CHIPOTLE MEXICAN GRILL INC CL A    | 169656105 | 1                | 02/11/11            | 11/25/11  | \$302 04                         | \$266 87                               | \$35 17          |
| COGNIZANT TECHNOLOGY SOLUTIONS     | 192446102 | 39               | 06/03/11            | 11/25/11  | \$2,451 58                       | \$2,910 41                             | \$-458 83        |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 14               | 08/26/11            | 11/25/11  | \$1,683 78                       | \$1,911 92                             | \$-228 14        |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 2                | 08/26/11            | 11/25/11  | \$240 54                         | \$267 27                               | \$-26 73         |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 34               | 08/22/11            | 11/25/11  | \$4,089 18                       | \$4,397 15                             | \$-307 97        |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 22               | 08/23/11            | 11/25/11  | \$2,645 94                       | \$2,841 30                             | \$-195 36        |
| BAIDU COM INC SPONSORED ADR REPSTG | 056752108 | 6                | 09/27/11            | 11/25/11  | \$721 62                         | \$769 39                               | \$-47 77         |
| BIOMGEN IDEC INC                   | 09062X103 | 69               | 04/26/11            | 11/25/11  | \$7,587 95                       | \$7,064 46                             | \$523 49         |
| ALLERGAN INC                       | 018490102 | 25               | 12/14/10            | 11/25/11  | \$1,967 77                       | \$1,759 99                             | \$207 78         |
| ALLERGAN INC                       | 018490102 | 38               | 12/03/10            | 11/25/11  | \$2,991 02                       | \$2,611 24                             | \$379 78         |
| PRICELINE COM INC                  | 741503403 | 7                | 10/27/11            | 11/25/11  | \$3,225 48                       | \$3,640 32                             | \$-414 84        |
| PRICELINE COM INC                  | 741503403 | 8                | 03/10/11            | 11/25/11  | \$3,686 27                       | \$3,728 77                             | \$-42 50         |
| QUALCOMM INC                       | 747525103 | 118              | 12/14/10            | 11/25/11  | \$6,165 67                       | \$5,799 38                             | \$366 29         |
| VISA INC CL A                      | 92826C839 | 2                | 09/18/11            | 11/25/11  | \$178 18                         | \$192 01                               | \$-13 83         |
| VISA INC CL A                      | 92826C839 | 41               | 09/20/11            | 11/25/11  | \$3,652 72                       | \$3,829 90                             | \$-177 18        |
| NOVO-NORDISK A S ADR               | 670100205 | 27               | 05/27/11            | 11/25/11  | \$2,886 85                       | \$3,550 51                             | \$-663 66        |
| NOVO-NORDISK A S ADR               | 670100205 | 37               | 12/14/10            | 11/25/11  | \$3,956 05                       | \$4,026 92                             | \$-70 87         |
| PRECISION CASTPARTS CORP           | 740189105 | 38               | 12/14/10            | 11/25/11  | \$5,831 64                       | \$5,322 71                             | \$508 93         |
| LAS VEGAS SANDS CORP               | 517834107 | 88               | 11/01/11            | 11/25/11  | \$3,755 98                       | \$4,153 08                             | \$-397 10        |
| LAUDER ESTEE COS INC CL A          | 518439104 | 67               | 02/28/11            | 11/25/11  | \$7,197 84                       | \$6,307 81                             | \$890 03         |
| LULULEMON ATHLETICA INC            | 550021109 | 9                | 03/21/11            | 11/25/11  | \$416 80                         | \$342 99                               | \$73 81          |
| LULULEMON ATHLETICA INC            | 550021109 | 14               | 02/02/11            | 11/25/11  | \$648 36                         | \$530 22                               | \$118 14         |

### Short term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| LULULEMON ATHLETICA INC           | 550021109 | 9                | 03/18/11            | 11/25/11  | \$416 81                         | \$340 17                               | \$76 64          |
| MCKESSON HBOC INC                 | 58155Q103 | 55               | 08/11/11            | 11/25/11  | \$4,214 70                       | \$4,341 55                             | \$-126 85        |
| FRANKLIN RESOURCES INC            | 354613101 | 43               | 12/14/10            | 11/25/11  | \$3,938 39                       | \$5,014 02                             | \$-1,075 63      |
| FRANKLIN RESOURCES INC            | 354613101 | 7                | 12/13/10            | 11/25/11  | \$641 13                         | \$863 29                               | \$-222 16        |
| GOOGLE INC CL A                   | 38259P508 | 1                | 09/30/11            | 11/25/11  | \$565 16                         | \$623 19                               | \$-58 03         |
| GOOGLE INC CL A                   | 38259P508 | 2                | 10/27/11            | 11/25/11  | \$1,130 32                       | \$1,195 81                             | \$-65 49         |
| GOOGLE INC CL A                   | 38259P508 | 3                | 10/27/11            | 11/25/11  | \$1,695 48                       | \$1,790 66                             | \$-95 18         |
| GOOGLE INC CL A                   | 38259P508 | 4                | 12/14/10            | 11/25/11  | \$2,260 65                       | \$2,374 43                             | \$-113 78        |
| INTERCONTINENTAL EXCHANGE INC     | 45865V100 | 7                | 11/01/11            | 11/25/11  | \$795 27                         | \$887 69                               | \$-92 42         |
| EMC CORPORATION                   | 268648102 | 78               | 10/05/11            | 11/25/11  | \$1,711 48                       | \$2,101 96                             | \$-390 48        |
| EMC CORPORATION                   | 268648102 | 13               | 10/27/11            | 11/25/11  | \$285 25                         | \$323 76                               | \$-38 51         |
| EMC CORPORATION                   | 268648102 | 3                | 11/01/11            | 11/25/11  | \$65 83                          | \$72 27                                | \$-6 44          |
| EMC CORPORATION                   | 268648102 | 168              | 12/14/10            | 11/25/11  | \$3,686 25                       | \$3,804 75                             | \$-118 50        |
| EOG RES INC                       | 26875P101 | 77               | 12/14/10            | 11/25/11  | \$7,021 68                       | \$7,065 62                             | \$-43 94         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 6                | 12/14/10            | 11/25/11  | \$240 55                         | \$335 70                               | \$-95 15         |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 50               | 02/16/11            | 11/25/11  | \$2,004 59                       | \$2,727 23                             | \$-722 64        |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 30               | 02/17/11            | 11/25/11  | \$1,202 75                       | \$1,634 24                             | \$-431 49        |
| EXPEDITORS INTERNATIONAL WASH INC | 302130109 | 3                | 02/17/11            | 11/25/11  | \$120 27                         | \$162 06                               | \$-41 79         |
| FMC TECHNOLOGIES INC              | 30249U101 | 65               | 10/27/11            | 11/25/11  | \$3,020 95                       | \$3,012 67                             | \$8 28           |
| BODY CENT ACQUISITION CORP        | 09689U102 | 50               | 12/15/10            | 11/28/11  | \$1,014 95                       | \$724 88                               | \$290 07         |
| ARUBA NETWORKS INC                | 043176106 | 84               | 10/19/11            | 11/28/11  | \$1,666 79                       | \$1,922 29                             | \$-255 50        |
| ARUBA NETWORKS INC                | 043176106 | 50               | 10/26/11            | 11/28/11  | \$992 13                         | \$1,191 68                             | \$-199 55        |
| ALLIANZ GLOBAL INVS MANAGED       | 01882B205 | 6050             | 12/16/10            | 11/28/11  | \$73,991 50                      | \$80,404 50                            | \$-6,413 00      |
| UNITED STATES TREAS NT            | 912828P13 | 2000             | 12/08/10            | 11/28/11  | \$2,056 72                       | \$1,963 28                             | \$93 44          |
| TIVO INC                          | 888706108 | 151              | 06/09/11            | 11/28/11  | \$1,436 96                       | \$1,551 09                             | \$-114 13        |
| OSHKOSH TRUCK CORP CL B           | 688239201 | 3                | 11/30/10            | 11/28/11  | \$57 99                          | \$86 43                                | \$-28 44         |



IM NORTHROP GRUMMAN FDN

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description              | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COSTAR GROUP INC         | 22160N109 | 3                | 04/13/11            | 11/28/11  | \$186 89                         | \$216 59                               | \$-29 70         |
| COSTAR GROUP INC         | 22160N109 | 13               | 05/05/11            | 11/28/11  | \$809 85                         | \$926 38                               | \$-116 53        |
| COSTAR GROUP INC         | 22160N109 | 5                | 05/09/11            | 11/28/11  | \$311 48                         | \$347 32                               | \$-35 84         |
| COSTAR GROUP INC         | 22160N109 | 3                | 06/09/11            | 11/28/11  | \$186 89                         | \$177 38                               | \$9 51           |
| COSTAR GROUP INC         | 22160N109 | 4                | 03/18/11            | 11/28/11  | \$249 19                         | \$226 83                               | \$22 36          |
| FEDERAL NATL MTG ASSN    | 3135G0AP8 | 3000             | 11/17/11            | 11/28/11  | \$3,043 32                       | \$3,039 75                             | \$3 57           |
| FEDERAL NATL MTG ASSN    | 3138A7FZ6 | 117357 83        | 02/09/11            | 11/29/11  | \$123,959 21                     | \$123,959 21                           | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31414PUJ1 | 5832 91          | 06/10/11            | 11/29/11  | \$6,319 59                       | \$6,319 59                             | \$0 00           |
| IHS INC COM CL A         | 451734107 | 44               | 12/14/10            | 11/29/11  | \$3,790 36                       | \$3,463 24                             | \$327 12         |
| UNITED STATES TREAS NT   | 912828MQ0 | 18000            | 12/16/10            | 11/29/11  | \$18,040 08                      | \$18,104 06                            | \$-63 98         |
| UNITED STATES TREAS NT   | 912828MT4 | 8000             | 12/16/10            | 11/29/11  | \$8,125 00                       | \$8,103 75                             | \$21 25          |
| UNITED STATES TREAS NT   | 912828QX1 | 7000             | 08/08/11            | 11/29/11  | \$7,212 73                       | \$7,144 92                             | \$67 81          |
| UNITED STATES TREAS NT   | 912828RC6 | 10000            | 09/13/11            | 11/29/11  | \$10,147 27                      | \$10,125 00                            | \$22 27          |
| FEDERAL NATL MTG ASSN    | 31416WRK0 | 16209 7          | 02/02/11            | 11/29/11  | \$17,121 49                      | \$17,121 49                            | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31418MHD7 | 1779 32          | 07/11/11            | 11/29/11  | \$1,938 34                       | \$1,938 34                             | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31419ADV6 | 14076 38         | 04/19/11            | 11/29/11  | \$15,308 06                      | \$15,308 06                            | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31419ARE9 | 6462 04          | 08/10/11            | 11/29/11  | \$7,027 46                       | \$7,092 82                             | \$-65 36         |
| UNITED STATES TREAS BD   | 912810PZ5 | 17964 24         | 08/01/11            | 11/29/11  | \$24,084 71                      | \$22,450 08                            | \$1,634 63       |
| UNITED STATES TREAS NTS  | 912828AF7 | 11383 54         | 03/07/11            | 11/29/11  | \$11,630 77                      | \$12,198 21                            | \$-567 44        |
| FEDERAL NATL MTG ASSN    | 31410GRN5 | 20173 8          | 01/26/11            | 11/29/11  | \$21,939 01                      | \$21,939 01                            | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31411CDR9 | 7308 72          | 05/10/11            | 11/29/11  | \$7,925 39                       | \$7,925 39                             | \$0 00           |
| FEDERAL NATL MTG ASSN    | 31398A5W8 | 18000            | 01/25/11            | 11/29/11  | \$18,094 32                      | \$17,862 09                            | \$232 23         |
| FEDERAL HOME LN MTG CORP | 3128M8A87 | 4261 32          | 02/08/11            | 11/30/11  | \$4,261 32                       | \$4,416 54                             | \$-155 22        |
| FEDERAL NATL MTG ASSN    | 31416WRK0 | 4181 34          | 02/02/11            | 11/30/11  | \$4,181 34                       | \$4,231 36                             | \$-50 02         |
| FEDERAL NATL MTG ASSN    | 31419ADV6 | 598 94           | 04/19/11            | 11/30/11  | \$598 94                         | \$636 37                               | \$-37 43         |
| FEDERAL NATL MTG ASSN    | 31419BCW3 | 4101 71          | 03/02/11            | 11/30/11  | \$4,101 71                       | \$4,169 69                             | \$-67 98         |

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ARCH CAPITAL GROUP LTD       | G0450A105 | 4                | 05/04/11            | 11/30/11  | \$149 54                         | \$136 35                               | \$13 19          |
| ARCH CAPITAL GROUP LTD       | G0450A105 | 51               | 04/16/11            | 11/30/11  | \$1,906 62                       | \$1,745 36                             | \$161 26         |
| ARCH CAPITAL GROUP LTD       | G0450A105 | 1                | 05/07/11            | 11/30/11  | \$37 38                          | \$34 17                                | \$3 21           |
| ARCH CAPITAL GROUP LTD       | G0450A105 | 1                | 05/07/11            | 11/30/11  | \$37 38                          | \$34 17                                | \$3 21           |
| FEDERAL NATL MTG ASSN        | 31406Y4E7 | 542 75           | 01/26/11            | 11/30/11  | \$542 75                         | \$568 26                               | \$-25 51         |
| CHICAGO BRIDGE & IRON-NY SHR | 167250109 | 44               | 01/21/11            | 12/02/11  | \$1,801 71                       | \$1,488 96                             | \$312 75         |
| BIOGEN IDEC INC              | 09062X103 | 142              | 04/26/11            | 12/05/11  | \$15,904 96                      | \$14,538 46                            | \$1,366 50       |
| GOOGLE INC CL A              | 38259P508 | 20               | 12/14/10            | 12/05/11  | \$12,529 05                      | \$11,872 14                            | \$656 91         |
| NOVO-NORDISK A S ADR         | 670100205 | 16               | 12/14/10            | 12/05/11  | \$1,775 08                       | \$1,741 37                             | \$33 71          |
| PENSKE AUTOMOTIVE GROUP INC  | 70959W103 | 160              | 01/21/11            | 12/06/11  | \$3,310 46                       | \$2,668 45                             | \$642 01         |
| NOVO-NORDISK A S ADR         | 670100205 | 45               | 12/14/10            | 12/06/11  | \$5,107 03                       | \$4,897 61                             | \$209 42         |
| ARCH CAPITAL GROUP LTD       | G0450A105 | 60               | 05/04/11            | 12/06/11  | \$2,246 31                       | \$2,045 32                             | \$200 99         |
| CARTER INC                   | 146229109 | 20               | 04/07/11            | 12/06/11  | \$819 65                         | \$597 52                               | \$222 13         |
| CANADIAN NATL RY CO          | 136375102 | 14               | 04/27/11            | 12/07/11  | \$1,089 74                       | \$1,054 66                             | \$35 08          |
| CANADIAN NATL RY CO          | 136375102 | 34               | 12/14/10            | 12/07/11  | \$2,646 50                       | \$2,289 56                             | \$356 94         |
| PENSKE AUTOMOTIVE GROUP INC  | 70959W103 | 204              | 01/21/11            | 12/07/11  | \$4,222 72                       | \$3,402 27                             | \$820 45         |
| NOVO-NORDISK A S ADR         | 670100205 | 32               | 12/14/10            | 12/07/11  | \$3,689 27                       | \$3,482 75                             | \$206 52         |
| NOVO-NORDISK A S ADR         | 670100205 | 13               | 12/14/10            | 12/08/11  | \$1,486 14                       | \$1,414 87                             | \$71 27          |
| TD AMERITRADE HLDG CORP      | 87236Y108 | 4                | 12/15/10            | 12/08/11  | \$63 60                          | \$74 64                                | \$-11 04         |
| NUVASIVE INC                 | 670704105 | 1                | 01/14/11            | 12/09/11  | \$12 99                          | \$28 04                                | \$-15 05         |
| IPC THE HOSPITALIST CO       | 44984A105 | 4                | 12/15/10            | 12/09/11  | \$182 91                         | \$144 12                               | \$38 79          |
| SUPERIOR ENERGY SVCS INC     | 868157108 | 43               | 10/19/11            | 12/09/11  | \$1,248 87                       | \$1,144 46                             | \$104 41         |
| ARIBA INC NEW                | 04033V203 | 1                | 04/28/11            | 12/09/11  | \$34 71                          | \$31 37                                | \$3 34           |
| OMNICELL INC                 | 68213N109 | 1                | 09/01/11            | 12/09/11  | \$16 69                          | \$15 02                                | \$1 67           |
| OMNICELL INC                 | 68213N109 | 8                | 12/15/10            | 12/09/11  | \$133 52                         | \$113 74                               | \$19 78          |
| ARIBA INC NEW                | 04033V203 | 73               | 04/21/11            | 12/09/11  | \$2,533 56                       | \$2,327 26                             | \$206 30         |

IM NORTHROP GRUMMAN FDN

### Short term transactions

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|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ALIGN TECHNOLOGY INC     | 016255101 | 4                | 02/07/11            | 12/09/11  | \$101.63                         | \$84.79                                | \$16.84          |
| COMPLETE PRODTN SVCS INC | 20453E109 | 4                | 09/01/11            | 12/09/11  | \$136.86                         | \$118.75                               | \$18.11          |
| COMPLETE PRODTN SVCS INC | 20453E109 | 20               | 08/05/11            | 12/09/11  | \$684.30                         | \$636.34                               | \$47.96          |
| ROBBINS & MYERS INC      | 770196103 | 26               | 08/16/11            | 12/09/11  | \$1,316.78                       | \$1,138.07                             | \$178.71         |
| BBCN BANCORP INC         | 073295107 | 46.05            | 07/20/11            | 12/09/11  | \$418.60                         | \$379.49                               | \$39.11          |
| COMPLETE PRODTN SVCS INC | 20453E109 | 9                | 08/30/11            | 12/09/11  | \$307.94                         | \$261.34                               | \$46.60          |
| ARIBA INC NEW            | 04033V203 | 16               | 09/30/11            | 12/09/11  | \$555.30                         | \$450.16                               | \$105.14         |
| ALIGN TECHNOLOGY INC     | 016255101 | 6                | 01/16/11            | 12/09/11  | \$152.45                         | \$149.48                               | \$2.97           |
| ALIGN TECHNOLOGY INC     | 016255101 | 35               | 04/04/11            | 12/09/11  | \$889.30                         | \$741.61                               | \$147.69         |
| EAST WEST BANCORP INC    | 27579R104 | 32               | 03/13/11            | 12/12/11  | \$596.96                         | \$799.97                               | \$-203.01        |
| PMC-SIERRA INC           | 69344F106 | 697              | 01/21/11            | 12/13/11  | \$3,617.85                       | \$6,126.63                             | \$-2,508.78      |
| GOLDMAN SACHS GROUP INC  | 38141G104 | 13               | 12/14/10            | 12/13/11  | \$1,233.35                       | \$2,175.29                             | \$-941.94        |
| ACE LTD                  | H0023R105 | 62               | 05/11/11            | 12/14/11  | \$4,142.02                       | \$4,203.45                             | \$-61.43         |
| PMC-SIERRA INC           | 69344F106 | 125              | 01/28/11            | 12/14/11  | \$637.48                         | \$990.63                               | \$-353.15        |
| PMC-SIERRA INC           | 69344F106 | 33               | 01/21/11            | 12/14/11  | \$168.29                         | \$290.07                               | \$-121.78        |
| TYCO INTL LTD            | H89128104 | 270              | 08/22/11            | 12/14/11  | \$12,163.24                      | \$10,287.62                            | \$1,875.62       |
| FIFTH THIRD BANCORP      | 316773100 | 326              | 12/14/10            | 12/14/11  | \$3,862.41                       | \$4,661.80                             | \$-799.39        |
| P P G INDS INC           | 693506107 | 116              | 08/04/11            | 12/14/11  | \$9,346.46                       | \$9,185.67                             | \$160.79         |
| NOVELLUS SYS INC         | 670008101 | 217              | 09/22/11            | 12/15/11  | \$9,010.47                       | \$6,136.76                             | \$2,873.71       |
| GATX CORP                | 361448103 | 28               | 01/21/11            | 12/19/11  | \$1,162.67                       | \$901.95                               | \$260.72         |
| CARTER INC               | 146229109 | 49               | 04/05/11            | 12/21/11  | \$1,909.89                       | \$1,458.13                             | \$451.76         |
| CARTER INC               | 146229109 | 75               | 04/07/11            | 12/21/11  | \$2,923.30                       | \$2,240.72                             | \$682.58         |
| CMS ENERGY CORP          | 125896100 | 13               | 04/07/11            | 12/21/11  | \$280.66                         | \$251.81                               | \$28.85          |
| VOLVO AKTIEBOLAGET ADR B | 928856400 | 52               | 04/27/11            | 12/22/11  | \$550.91                         | \$997.17                               | \$-446.26        |
| UMPQUA HLDGS CORP        | 904214103 | 44               | 02/08/11            | 12/22/11  | \$553.72                         | \$511.51                               | \$42.21          |
| UMPQUA HLDGS CORP        | 904214103 | 66               | 02/09/11            | 12/22/11  | \$830.58                         | \$761.07                               | \$69.51          |



IM NORTHROP GRUMMAN FDN

**Short term transactions**

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|--------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CARTER INC                     | 146229109 | 35               | 04/05/11            | 12/22/11  | \$1,362 53                       | \$1,041 53                             | \$321 00         |
| FEDERAL NATL MTG ASSN          | 3135G0AZ6 | 5000             | 03/15/11            | 12/30/11  | \$4,877 50                       | \$5,033 45                             | \$-155 95        |
| FEDERAL NATL MTG ASSN          | 3135G0AZ6 | 116000           | 04/06/11            | 12/30/11  | \$113,158 00                     | \$116,067 16                           | \$-2,909 16      |
| FEDERAL NATL MTG ASSN          | 3135G0AZ6 | 12000            | 12/01/11            | 12/30/11  | \$11,706 00                      | \$11,975 88                            | \$-269 88        |
| FEDERAL NATL MTG ASSN          | 3135G0BY8 | 6000             | 12/01/11            | 12/30/11  | \$6,043 80                       | \$6,036 23                             | \$7 57           |
| FEDERAL NATL MTG ASSN          | 3135G0BY8 | 59000            | 10/11/11            | 12/30/11  | \$59,430 70                      | \$59,153 28                            | \$277 42         |
| FEDERAL HOME LN MTG CORP       | 3137EACCI | 14000            | 12/01/11            | 12/30/11  | \$14,068 60                      | \$14,122 89                            | \$-54 29         |
| FEDERAL HOME LN MTG CORP       | 3137EACM9 | 16000            | 12/01/11            | 12/30/11  | \$16,525 60                      | \$16,488 75                            | \$36 85          |
| FEDERAL HOME LN MTG CORP NOTES | 3134A4TZ7 | 6000             | 12/01/11            | 12/30/11  | \$6,385 62                       | \$6,409 72                             | \$-24 10         |
| FEDERAL HOME LN MTG CORP       | 3134G2BL5 | 3000             | 06/03/11            | 12/30/11  | \$2,997 00                       | \$3,007 25                             | \$-10 25         |
| FEDERAL HOME LN MTG CORP       | 3134G2BL5 | 11000            | 12/01/11            | 12/30/11  | \$10,989 00                      | \$11,005 93                            | \$-16 93         |
| FEDERAL HOME LN MTG CORP       | 3134G2BL5 | 112000           | 05/31/11            | 12/30/11  | \$111,888 00                     | \$112,003 69                           | \$-115 69        |
| FEDERAL HOME LN MTG CORP       | 3134G2UA8 | 5000             | 12/01/11            | 12/30/11  | \$5,030 00                       | \$5,042 15                             | \$-12 15         |
| FEDERAL HOME LN MTG CORP       | 3134G2UA8 | 50000            | 11/17/11            | 12/30/11  | \$50,300 00                      | \$50,340 10                            | \$-40 10         |
| UNITED STATES TREAS NT         | 912828PD6 | 23000            | 12/01/11            | 12/30/11  | \$23,046 72                      | \$23,050 31                            | \$-3 59          |
| UNITED STATES TREAS NT         | 912828PJ3 | 8000             | 12/01/11            | 12/30/11  | \$8,241 88                       | \$8,221 25                             | \$20 63          |
| UNITED STATES TREAS NT         | 912828RD4 | 6000             | 09/19/11            | 12/30/11  | \$5,989 69                       | \$5,994 13                             | \$-4 44          |
| UNITED STATES TREAS NT         | 912828RD4 | 197000           | 09/16/11            | 12/30/11  | \$196,661 40                     | \$196,792 22                           | \$-130 82        |
| UNITED STATES TREAS NT         | 912828RD4 | 20000            | 12/01/11            | 12/30/11  | \$19,965 63                      | \$19,957 82                            | \$7 81           |
| UNITED STATES TREAS NT         | 912828RG7 | 34000            | 09/16/11            | 12/30/11  | \$33,912 34                      | \$33,908 36                            | \$3 98           |
| UNITED STATES TREAS NT         | 912828RG7 | 3000             | 12/01/11            | 12/30/11  | \$2,992 27                       | \$2,989 34                             | \$2 93           |
| UNITED STATES TREAS NT         | 912828KP4 | 13000            | 12/01/11            | 12/30/11  | \$13,063 48                      | \$13,077 70                            | \$-14 22         |
| UNITED STATES TREAS NT         | 912828MG2 | 12000            | 12/01/11            | 12/30/11  | \$12,149 06                      | \$12,162 19                            | \$-13 13         |
| UNITED STATES TREAS NT         | 912828MR8 | 3000             | 12/01/11            | 12/30/11  | \$3,182 34                       | \$3,183 05                             | \$-0 71          |
| UNITED STATES TREAS NT         | 912828NV8 | 5000             | 12/01/11            | 12/30/11  | \$5,131 25                       | \$5,122 27                             | \$8 98           |
| FEDERAL NATL MTG ASSN          | 31398ASD5 | 10000            | 12/01/11            | 12/30/11  | \$10,543 20                      | \$10,580 30                            | \$-37 10         |



# 2011 Tax Information Letter

Account Number 162061039965

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IM NORTHROP GRUMMAN FDN

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description                            | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NTS                | 912828HY9 | 5000             | 11/10/11            | 12/30/11  | \$5,193 16                       | \$5,211 14                             | \$-17 98         |
| UNITED STATES TREAS NTS                | 912828HY9 | 45000            | 11/17/11            | 12/30/11  | \$46,738 48                      | \$46,894 92                            | \$-156 44        |
| UNITED STATES TREAS NTS                | 912828HY9 | 61000            | 11/21/11            | 12/30/11  | \$63,356 60                      | \$63,532 93                            | \$-176 33        |
| UNITED STATES TREAS NTS                | 912828HY9 | 23000            | 12/01/11            | 12/30/11  | \$23,888 55                      | \$23,946 05                            | \$-57 50         |
| UNITED STATES TREAS NT                 | 912828JZ4 | 11000            | 12/01/11            | 12/30/11  | \$11,336 02                      | \$11,345 04                            | \$-9 02          |
| FEDERAL NATL MTG ASSN                  | 3135G0AP8 | 15000            | 12/01/11            | 12/30/11  | \$15,241 50                      | \$15,238 97                            | \$2 53           |
| FEDERAL NATL MTG ASSN                  | 3135G0AP8 | 113000           | 11/17/11            | 12/30/11  | \$114,819 30                     | \$114,497 25                           | \$322 05         |
| FEDERAL NATL MTG ASSN                  | 3135G0AZ6 | 4000             | 03/18/11            | 12/30/11  | \$3,902 00                       | \$4,045 19                             | \$-143 19        |
| FEDERAL HOME LN MTG CORP               | 3128M8A87 | 3871 8           | 02/08/11            | 01/17/12  | \$3,871 80                       | \$4,004 45                             | \$-132 65        |
| FEDERAL NATL MTG ASSN                  | 31419BCW3 | 3355.06          | 03/02/11            | 01/25/12  | \$3,355 06                       | \$3,409 72                             | \$-54 66         |
| FEDERAL NATL MTG ASSN                  | 31419ADV6 | 661 57           | 04/19/11            | 01/25/12  | \$661 57                         | \$701 54                               | \$-39 97         |
| FEDERAL NATL MTG ASSN                  | 31406Y4E7 | 539 36           | 01/26/11            | 01/25/12  | \$539 36                         | \$564 29                               | \$-24 93         |
| FEDERAL NATL MTG ASSN                  | 31416WRK0 | 3466 31          | 02/02/11            | 01/25/12  | \$3,466 31                       | \$3,506 17                             | \$-39 86         |
| Total short term gain/loss from sales: |           |                  |                     |           | \$11,803,714 36                  | \$11,772,779 25                        | \$30,935 11      |

# **Long term transactions**

This category includes sales of assets held more than 12 months.

| Description       | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FOSTER WHEELER AG | H27178104 | 5                | 05/06/07            | 01/05/11  | \$172 09                         | \$184 93                               | \$-12 84         |
| FOSTER WHEELER AG | H27178104 | 3                | 05/20/07            | 01/05/11  | \$103 25                         | \$119 88                               | \$-16 63         |
| FOSTER WHEELER AG | H27178104 | 21               | 06/16/07            | 01/05/11  | \$722 78                         | \$846 94                               | \$-124 16        |



IM NORTHROP GRUMMAN FDN

### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FOSTER WHEELER AG                  | H27178104 | 25               | 06/06/07            | 01/05/11  | \$860 45                         | \$1,043 90                             | \$-183 45        |
| PIONEER DRILLING CO                | 723655106 | 112              | 11/19/08            | 01/14/11  | \$947 53                         | \$775 70                               | \$171 83         |
| PIONEER DRILLING CO                | 723655106 | 45               | 11/18/08            | 01/14/11  | \$380 71                         | \$294 64                               | \$86 07          |
| GREAT LAKES DREDGE & DOCK CORP NEW | 390607109 | 61               | 08/26/09            | 01/14/11  | \$512 01                         | \$415 11                               | \$96 90          |
| GREAT LAKES DREDGE & DOCK CORP NEW | 390607109 | 11               | 09/03/09            | 01/14/11  | \$92 33                          | \$75 03                                | \$17 30          |
| CACI INTL INC CL A                 | 127190304 | 4                | 06/21/09            | 01/21/11  | \$206 56                         | \$174 97                               | \$31 59          |
| IBERIABANK CORP                    | 450828108 | 47               | 07/13/09            | 01/21/11  | \$2,751 32                       | \$1,926 41                             | \$824 91         |
| GOODRICH PETE CORP NEW             | 382410405 | 31               | 09/30/09            | 01/21/11  | \$593 59                         | \$947 07                               | \$-353 48        |
| GOODRICH PETE CORP NEW             | 382410405 | 76               | 09/03/09            | 01/21/11  | \$1,455 26                       | \$2,379 96                             | \$-924 70        |
| GOODRICH PETE CORP NEW             | 382410405 | 25               | 09/15/09            | 01/21/11  | \$478 70                         | \$800 48                               | \$-321 78        |
| GOODRICH PETE CORP NEW             | 382410405 | 26               | 09/08/09            | 01/21/11  | \$497 85                         | \$850 34                               | \$-352 49        |
| GOODRICH PETE CORP NEW             | 382410405 | 9                | 01/05/09            | 01/21/11  | \$172 33                         | \$296 52                               | \$-124 19        |
| GOODRICH PETE CORP NEW             | 382410405 | 15               | 01/06/09            | 01/21/11  | \$287 22                         | \$503 10                               | \$-215 88        |
| GOODRICH PETE CORP NEW             | 382410405 | 11               | 12/07/08            | 01/21/11  | \$210 63                         | \$387 01                               | \$-176 38        |
| GOODRICH PETE CORP NEW             | 382410405 | 23               | 12/23/08            | 01/21/11  | \$440 41                         | \$821 55                               | \$-381 14        |
| FIRSTMERIT CORP                    | 337915102 | 99               | 07/30/09            | 01/21/11  | \$1,889 87                       | \$1,825 22                             | \$64 65          |
| CENTRAL GARDEN & PET CO CL A       | 153527205 | 74               | 08/27/09            | 01/21/11  | \$724 44                         | \$735 08                               | \$-10 64         |
| CENTRAL GARDEN & PET CO CL A       | 153527205 | 11               | 09/30/09            | 01/21/11  | \$107 69                         | \$112 46                               | \$-4 77          |
| CENTRAL GARDEN & PET CO CL A       | 153527205 | 33               | 10/28/09            | 01/21/11  | \$323 06                         | \$339 38                               | \$-16 32         |
| WESTAR ENERGY INC                  | 95709T100 | 60               | 12/10/08            | 01/21/11  | \$1,549 17                       | \$1,134 00                             | \$415 17         |
| TELEDYNE TECHNOLOGIES INC          | 879360105 | 5                | 06/10/08            | 01/21/11  | \$232 43                         | \$252 88                               | \$-20 45         |
| TELEDYNE TECHNOLOGIES INC          | 879360105 | 21               | 06/05/08            | 01/21/11  | \$976 22                         | \$1,067 06                             | \$-90 84         |
| TELEDYNE TECHNOLOGIES INC          | 879360105 | 3                | 07/09/08            | 01/21/11  | \$139 46                         | \$154 96                               | \$-15 50         |
| SCIENTIFIC GAMES CORP CL A         | 80874P109 | 36               | 08/20/09            | 01/21/11  | \$367 50                         | \$621 66                               | \$-254 16        |
| SCIENTIFIC GAMES CORP CL A         | 80874P109 | 8                | 09/16/09            | 01/21/11  | \$81 67                          | \$153 61                               | \$-71 94         |
| SCIENTIFIC GAMES CORP CL A         | 80874P109 | 1                | 08/31/09            | 01/21/11  | \$10 21                          | \$19 41                                | \$-9 20          |



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# 2011 Tax Information Letter

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SCIENTIFIC GAMES CORP CL A | 80874P109 | 14               | 07/28/09            | 01/21/11  | \$142 92                         | \$278 07                               | \$-135 15        |
| PATTERSON COS INC          | 70339S103 | 65               | 04/09/09            | 01/21/11  | \$2,046 80                       | \$1,260 48                             | \$786 32         |
| FOSTER WHEELER AG          | H27178104 | 17               | 05/04/07            | 01/24/11  | \$626 93                         | \$626 80                               | \$0 13           |
| FOSTER WHEELER AG          | H27178104 | 21               | 05/04/07            | 01/24/11  | \$774 44                         | \$774 36                               | \$0 08           |
| FOSTER WHEELER AG          | H27178104 | 9                | 04/29/07            | 01/24/11  | \$331 90                         | \$314 39                               | \$17 51          |
| FOSTER WHEELER AG          | H27178104 | 14               | 04/15/07            | 01/24/11  | \$516 30                         | \$497 67                               | \$18 63          |
| FOSTER WHEELER AG          | H27178104 | 2                | 04/29/07            | 01/24/11  | \$73 76                          | \$69 87                                | \$3 89           |
| FOSTER WHEELER AG          | H27178104 | 7                | 04/29/07            | 01/25/11  | \$253 58                         | \$244 53                               | \$9 05           |
| FOSTER WHEELER AG          | H27178104 | 2                | 04/29/07            | 01/25/11  | \$72 45                          | \$69 86                                | \$2 59           |
| FOSTER WHEELER AG          | H27178104 | 10               | 04/29/07            | 01/25/11  | \$362 25                         | \$349 32                               | \$12 93          |
| FOSTER WHEELER AG          | H27178104 | 2                | 05/22/07            | 01/25/11  | \$72 45                          | \$67 33                                | \$5 12           |
| FOSTER WHEELER AG          | H27178104 | 30               | 04/12/07            | 01/25/11  | \$1,086 76                       | \$999 63                               | \$87 13          |
| UNITED STATES TREAS NTS    | 912828FH8 | 66000            | 01/10/08            | 01/27/11  | \$67,046 72                      | \$70,400 85                            | \$-3,354 13      |
| FEDERAL NATL MTG ASSN      | 31359MLS0 | 49000            | 04/22/09            | 01/27/11  | \$50,978 82                      | \$53,593 55                            | \$-2,614 73      |
| FEDERAL NATL MTG ASSN      | 31398AWQ1 | 34000            | 07/29/09            | 01/27/11  | \$34,098 26                      | \$34,150 45                            | \$-52 19         |
| FEDERAL NATL MTG ASSN      | 31410FUS2 | 2728             | 01/15/08            | 01/31/11  | \$2,728 00                       | \$2,767 00                             | \$-39 00         |
| FEDERAL NATL MTG ASSN      | 31418MP22 | 467 22           | 01/13/10            | 01/31/11  | \$467 22                         | \$491 56                               | \$-24 34         |
| FEDERAL NATIONAL MORTGAGE  | 31403C6L0 | 169 58           | 05/28/09            | 01/31/11  | \$169 58                         | \$169 58                               | \$0 00           |
| FEDERAL NATIONAL MORTGAGE  | 31403C6L0 | 2066 98          | 07/10/09            | 01/31/11  | \$2,066 98                       | \$2,117 45                             | \$-50 47         |
| FEDERAL NATL MTG ASSN      | 31416BMS4 | 2651 88          | 11/05/08            | 01/31/11  | \$2,651 88                       | \$2,698 18                             | \$-46 30         |
| FEDERAL NATL MTG ASSN      | 31368HNG4 | 1376 62          | 10/24/08            | 01/31/11  | \$1,376 62                       | \$1,399 04                             | \$-22 42         |
| FEDERAL NATL MTG ASSN      | 31415XBA8 | 516 53           | 05/28/09            | 01/31/11  | \$516 53                         | \$516 53                               | \$0 00           |
| FEDERAL NATL MTG ASSN      | 31412NIQ0 | 921 15           | 10/21/08            | 01/31/11  | \$921 15                         | \$938 56                               | \$-17 41         |
| FEDERAL NATL MTG ASSN      | 31412NBF2 | 2092 96          | 12/08/08            | 01/31/11  | \$2,092 96                       | \$2,143 48                             | \$-50 52         |
| FED NATL MTG ASSN          | 31410KJY1 | 813 13           | 10/14/08            | 01/31/11  | \$813 13                         | \$813 13                               | \$0 00           |
| FEDERAL NATL MTG ASSN      | 31415XER8 | 2412 91          | 10/21/08            | 01/31/11  | \$2,412 91                       | \$2,464 94                             | \$-52 03         |



IM NORTHROP GRUMMAN FDN

**Long term transactions**

This category includes sales of assets held more than 12 months.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NTS             | 912828DM9 | 15000            | 12/31/09            | 02/01/11  | \$16,469 53                      | \$15,930 53                            | \$539 00         |
| UNITED STATES TREAS NTS             | 912828DM9 | 35000            | 10/29/09            | 02/01/11  | \$38,428 91                      | \$37,570 31                            | \$858 60         |
| UNITED STATES TREAS NTS             | 912828DM9 | 25000            | 09/16/09            | 02/01/11  | \$27,449 22                      | \$26,767 58                            | \$681 64         |
| UNITED STATES TREAS NTS             | 912828DM9 | 12000            | 09/08/09            | 02/01/11  | \$13,175 62                      | \$12,882 19                            | \$293 43         |
| NU SKIN ASIA INC CL A               | 67018T105 | 65               | 10/21/09            | 02/07/11  | \$2,013 62                       | \$1,540 50                             | \$473 12         |
| THORATEC LABS CORP NEW              | 885175307 | 38               | 11/05/08            | 02/07/11  | \$990 05                         | \$924 39                               | \$65 66          |
| THORATEC LABS CORP NEW              | 885175307 | 6                | 03/16/09            | 02/07/11  | \$156 32                         | \$137 65                               | \$18 67          |
| THORATEC LABS CORP NEW              | 885175307 | 10               | 11/18/08            | 02/07/11  | \$260 54                         | \$224 48                               | \$36 06          |
| KELLOGG CO                          | 487836108 | 147              | 02/02/10            | 02/08/11  | \$7,829 36                       | \$8,124 69                             | \$-295 33        |
| NORTHERN TRUST CORP                 | 665859104 | 170              | 02/02/10            | 02/08/11  | \$8,926 94                       | \$8,881 14                             | \$45 80          |
| FEDERAL NATL MTG ASSN               | 31415XER8 | 41219 78         | 10/21/08            | 02/08/11  | \$44,581 77                      | \$44,581 77                            | \$0 00           |
| ABBOTT LABS                         | 002824100 | 233              | 02/02/10            | 02/08/11  | \$10,614 81                      | \$12,700 83                            | \$-2,086 02      |
| ABBOTT LABS                         | 002824100 | 3                | 10/22/07            | 02/08/11  | \$136 67                         | \$163 84                               | \$-27 17         |
| FEDERAL NATL MTG ASSN               | 31412N1Q0 | 48239 05         | 10/21/08            | 02/08/11  | \$52,007 73                      | \$52,007 73                            | \$0 00           |
| ROCHE HLDG LTD SPONSORED ADR        | 771195104 | 101              | 01/01/51            | 02/09/11  | \$3,697 33                       | \$3,216 07                             | \$481 26         |
| CB RICHARD ELLIS GROUP INC CL A     | 12497T101 | 65               | 02/10/10            | 02/11/11  | \$1,585 55                       | \$810 55                               | \$775 00         |
| FEDERAL NATL MTG ASSN               | 31418MP22 | 20642 98         | 01/13/10            | 02/16/11  | \$22,423 43                      | \$22,423 43                            | \$0 00           |
| FEDERAL NATL MTG ASSN               | 31415XBA8 | 8408 98          | 05/28/09            | 02/16/11  | \$9,090 90                       | \$9,090 90                             | \$0 00           |
| FEDERAL NATL MTG ASSN               | 31412NBF2 | 42389 25         | 12/08/08            | 02/16/11  | \$45,965 84                      | \$45,965 84                            | \$0 00           |
| FED NATL MTG ASSN                   | 31410KJY1 | 27493 01         | 10/14/08            | 02/16/11  | \$29,722 52                      | \$29,722 52                            | \$0 00           |
| FOSTER WHEELER AG                   | H27178104 | 51               | 04/12/07            | 02/16/11  | \$1,993 38                       | \$1,699 36                             | \$294 02         |
| TRAVELERS COS INC                   | 89417E109 | 15               | 02/09/10            | 02/18/11  | \$909 35                         | \$744 45                               | \$164 90         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 7                | 04/07/08            | 02/18/11  | \$223 35                         | \$287 48                               | \$-64 13         |
| ROGERS COMMUNICATIONS INC CL B      | 775109200 | 33               | 02/09/10            | 02/18/11  | \$1,171 00                       | \$1,032 24                             | \$138 76         |
| EOG RES INC                         | 26875P101 | 21               | 12/07/09            | 02/18/11  | \$2,282 46                       | \$2,063 37                             | \$219 09         |
| EOG RES INC                         | 26875P101 | 41               | 01/08/10            | 02/18/11  | \$4,456 22                       | \$3,994 79                             | \$461 43         |



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# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| EOG RES INC                         | 26875P101 | 32               | 12/22/09            | 02/18/11  | \$3,478.03                       | \$3,091.47                             | \$386.56         |
| EOG RES INC                         | 26875P101 | 9                | 12/21/09            | 02/18/11  | \$978.20                         | \$853.79                               | \$124.41         |
| EOG RES INC                         | 26875P101 | 46               | 02/09/10            | 02/18/11  | \$4,999.67                       | \$4,292.72                             | \$706.95         |
| ROGERS COMMUNICATIONS INC CL B      | 775109200 | 262              | 02/02/10            | 02/18/11  | \$9,297.02                       | \$8,294.92                             | \$1,002.10       |
| INTEL CORP                          | 458140100 | 3                | 04/06/08            | 02/18/11  | \$66.37                          | \$64.59                                | \$1.78           |
| INTEL CORP                          | 458140100 | 44               | 02/18/08            | 02/18/11  | \$973.36                         | \$938.40                               | \$34.96          |
| INTEL CORP                          | 458140100 | 235              | 02/26/08            | 02/18/11  | \$5,198.61                       | \$4,901.48                             | \$297.13         |
| INTEL CORP                          | 458140100 | 9                | 03/18/08            | 02/18/11  | \$199.10                         | \$182.63                               | \$16.47          |
| INTEL CORP                          | 458140100 | 33               | 02/06/08            | 02/18/11  | \$730.02                         | \$662.89                               | \$67.13          |
| INTEL CORP                          | 458140100 | 6                | 02/04/08            | 02/18/11  | \$132.73                         | \$120.40                               | \$12.33          |
| INTEL CORP                          | 458140100 | 58               | 02/13/08            | 02/18/11  | \$1,283.06                       | \$1,151.61                             | \$131.45         |
| INTEL CORP                          | 458140100 | 77               | 02/29/08            | 02/18/11  | \$1,703.37                       | \$1,512.12                             | \$191.25         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 11               | 04/13/08            | 02/18/11  | \$350.98                         | \$439.77                               | \$-88.79         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 73               | 04/28/08            | 02/18/11  | \$2,329.21                       | \$2,981.76                             | \$-652.55        |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 3                | 03/29/08            | 02/18/11  | \$95.72                          | \$133.87                               | \$-38.15         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 23               | 05/02/08            | 02/18/11  | \$733.86                         | \$988.99                               | \$-255.13        |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 5                | 05/04/08            | 02/18/11  | \$159.53                         | \$214.35                               | \$-54.82         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 9                | 04/19/08            | 02/18/11  | \$287.16                         | \$377.13                               | \$-89.97         |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 31               | 04/16/08            | 02/18/11  | \$989.11                         | \$1,281.49                             | \$-292.38        |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 744573106 | 2                | 03/11/08            | 02/18/11  | \$63.81                          | \$82.64                                | \$-18.83         |
| TRAVELERS COS INC                   | 89417E109 | 125              | 02/02/10            | 02/18/11  | \$7,577.91                       | \$6,383.75                             | \$1,194.16       |
| FOSTER WHEELER AG                   | H27178104 | 32               | 04/12/07            | 02/24/11  | \$1,121.67                       | \$1,066.27                             | \$55.40          |
| FEDERAL NATIONAL MORTGAGE           | 31403C610 | 1785.69          | 07/10/09            | 02/28/11  | \$1,785.69                       | \$1,828.15                             | \$-42.46         |
| FEDERAL NATL MTG ASSN               | 31410FUS2 | 1957.65          | 01/15/08            | 02/28/11  | \$1,957.65                       | \$1,985.64                             | \$-27.99         |
| AMERICAN EAGLE OUTFITTERS INC NEW   | 02553E106 | 104              | 02/12/10            | 02/28/11  | \$1,596.97                       | \$1,648.40                             | \$-51.43         |
| FEDERAL NATL MTG ASSN               | 31412NBF2 | 40               | 12/08/08            | 02/28/11  | \$40.00                          | \$40.00                                | \$0.00           |



IM NORTHROP GRUMMAN FDN

### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN              | 31415XER8 | 1147 98          | 10/21/08            | 02/28/11  | \$1,147 98                       | \$1,172 73                             | \$-24 75         |
| FEDERAL NATL MTG ASSN              | 31416BMS4 | 3229 45          | 11/05/08            | 02/28/11  | \$3,229 45                       | \$3,285 84                             | \$-56 39         |
| FEDERAL NATL MTG ASSN              | 31368HNG4 | 1053 76          | 10/24/08            | 02/28/11  | \$1,053 76                       | \$1,070 92                             | \$-17 16         |
| TYCO INTL LTD                      | H89128104 | 31               | 02/09/10            | 03/01/11  | \$1,398 80                       | \$1,081 28                             | \$317 52         |
| TYCO INTL LTD                      | H89128104 | 172              | 02/02/10            | 03/01/11  | \$7,761 11                       | \$6,284 88                             | \$1,476 23       |
| LENDER PROCESSING SVCS INC         | 52602E102 | 14               | 02/10/10            | 03/03/11  | \$475 75                         | \$528 50                               | \$-52 75         |
| LENDER PROCESSING SVCS INC         | 52602E102 | 8                | 02/10/10            | 03/03/11  | \$271 86                         | \$302 00                               | \$-30 14         |
| FEDERAL NATL MTG ASSN              | 31359MLS0 | 4000             | 04/22/09            | 03/07/11  | \$4,139 42                       | \$4,374 98                             | \$-235 56        |
| FEDERAL NATL MTG ASSN              | 31398AWQ1 | 2000             | 07/29/09            | 03/07/11  | \$2,003 36                       | \$2,008 85                             | \$-5 49          |
| UNITED STATES TREAS NTS            | 912828FH8 | 5000             | 01/10/08            | 03/07/11  | \$5,054 48                       | \$5,333 40                             | \$-278 92        |
| UNITED STATES TREAS NTS            | 912828FB1 | 22108 8          | 03/31/09            | 03/07/11  | \$22,278 07                      | \$22,761 38                            | \$-483 31        |
| APPLE COMPUTER INC                 | 037833100 | 83               | 04/25/08            | 03/10/11  | \$28,766 41                      | \$14,034 11                            | \$14,732 30      |
| APPLE COMPUTER INC                 | 037833100 | 2                | 07/24/08            | 03/10/11  | \$693 17                         | \$321 33                               | \$371 84         |
| APPLE COMPUTER INC                 | 037833100 | 22               | 07/24/08            | 03/11/11  | \$7,723 26                       | \$3,534 59                             | \$4,188 67       |
| APPLE COMPUTER INC                 | 037833100 | 21               | 04/02/09            | 03/11/11  | \$7,385 63                       | \$2,373 62                             | \$5,012 01       |
| APPLE COMPUTER INC                 | 037833100 | 38               | 07/24/08            | 03/11/11  | \$13,364 48                      | \$6,105 20                             | \$7,259 28       |
| APPLE COMPUTER INC                 | 037833100 | 25               | 07/24/08            | 03/11/11  | \$8,775 44                       | \$4,016 58                             | \$4,758 86       |
| APPLE COMPUTER INC                 | 037833100 | 38               | 04/02/09            | 03/14/11  | \$13,460 36                      | \$4,295 11                             | \$9,165 25       |
| LUBRIZOL CORP                      | 549271104 | 29               | 02/10/10            | 03/14/11  | \$3,898 38                       | \$2,058 13                             | \$1,840 25       |
| BUNZL PLC                          | 120738406 | 1                | 08/28/08            | 03/16/11  | \$56 12                          | \$64 48                                | \$-8 36          |
| BUNZL PLC                          | 120738406 | 9                | 06/11/07            | 03/16/11  | \$505 07                         | \$633 15                               | \$-128 08        |
| BNP PARIBAS SPONSORED ADR          | 05565A202 | 20               | 11/21/07            | 03/16/11  | \$698 98                         | \$1,019 48                             | \$-320 50        |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 20               | 11/01/07            | 03/16/11  | \$1,082 97                       | \$1,238 39                             | \$-155 42        |
| RADIOHACK CORP                     | 750438103 | 9                | 02/10/10            | 03/16/11  | \$126 47                         | \$175 05                               | \$-48 58         |
| TESCO PLC SPONSORED ADR            | 881575302 | 40               | 06/26/07            | 03/16/11  | \$727 58                         | \$1,003 98                             | \$-276 40        |
| WPP PLC                            | 92933H101 | 10               | 06/07/07            | 03/16/11  | \$587 19                         | \$720 36                               | \$-133 17        |



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# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ROCHE HLDG LTD SPONSORED ADR         | 771195104 | 20               | 01/01/51            | 03/16/11  | \$684 99                         | \$636 85                               | \$48 14          |
| REED ELSEVIER P L C SPONSORED ADR    | 758205207 | 20               | 08/27/08            | 03/16/11  | \$645 19                         | \$890 90                               | \$-245 71        |
| KEPPEL LTD SPONSORED ADR             | 492051305 | 50               | 11/01/07            | 03/16/11  | \$892 98                         | \$1,022 28                             | \$-129 30        |
| INTERNATIONAL PWR PLC SPONSORED      | 46018M104 | 20               | 08/26/08            | 03/16/11  | \$974 98                         | \$1,427 67                             | \$-452 69        |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 20               | 06/07/07            | 03/16/11  | \$1,195 97                       | \$1,709 23                             | \$-513 26        |
| TOTAL S A SPONSORED ADR              | 89151E109 | 10               | 01/01/51            | 03/16/11  | \$550 69                         | \$566 00                               | \$-15 31         |
| RADIOHACK CORP                       | 750438103 | 28               | 02/26/10            | 03/16/11  | \$393 47                         | \$549 61                               | \$-156 14        |
| FEDERAL NATL MTG ASSN                | 31359MLS0 | 13000            | 04/22/09            | 03/17/11  | \$13,441 80                      | \$14,218 70                            | \$-776 90        |
| STAPLES INC                          | 855030102 | 26               | 02/18/10            | 03/17/11  | \$504 77                         | \$549 73                               | \$-44 96         |
| UNITED STATES TREAS NTS              | 912828FH8 | 18000            | 01/10/08            | 03/17/11  | \$18,174 38                      | \$19,200 23                            | \$-1,025 85      |
| FEDERAL NATL MTG ASSN                | 31398AWQ1 | 12000            | 07/29/09            | 03/17/11  | \$12,016 32                      | \$12,053 10                            | \$-36 78         |
| AMERICAN ELECTRIC POWER INC          | 025537101 | 114              | 02/02/10            | 03/17/11  | \$3,860 51                       | \$3,999 12                             | \$-138 61        |
| PG & E CORP                          | 69331C108 | 24               | 02/02/10            | 03/17/11  | \$1,006 97                       | \$1,012 57                             | \$-5 60          |
| CATERPILLAR INC                      | 149123101 | 109              | 02/02/10            | 03/17/11  | \$11,182 39                      | \$5,852 34                             | \$5,330 05       |
| STAPLES INC                          | 855030102 | 367              | 02/02/10            | 03/17/11  | \$7,125 06                       | \$8,807 82                             | \$-1,682 76      |
| KORN FERRY INTL NEW                  | 500643200 | 81               | 10/07/09            | 03/18/11  | \$1,645 32                       | \$1,168 83                             | \$476 49         |
| KORN FERRY INTL NEW                  | 500643200 | 61               | 10/21/09            | 03/18/11  | \$1,239 06                       | \$923 56                               | \$315 50         |
| PHILIPPINE LONG DISTANCE ADR         | 718252604 | 161              | 11/14/08            | 03/22/11  | \$7,439 43                       | \$7,439 34                             | \$0 09           |
| PHILIPPINE LONG DISTANCE ADR         | 718252604 | 13               | 02/23/09            | 03/22/11  | \$600 70                         | \$589 26                               | \$11 44          |
| RADIOHACK CORP                       | 750438103 | 90               | 02/10/10            | 03/23/11  | \$1,259 76                       | \$1,750 50                             | \$-490 74        |
| NUVASIVE INC                         | 670704105 | 11               | 03/26/08            | 03/23/11  | \$275 24                         | \$369 42                               | \$-94 18         |
| PIER 1 IMPORTS INC                   | 720279108 | 5                | 08/12/09            | 03/23/11  | \$47 66                          | \$12 97                                | \$34 69          |
| PHILIPPINE LONG DISTANCE ADR         | 718252604 | 30               | 02/23/09            | 03/23/11  | \$1,391 79                       | \$1,359 84                             | \$31 95          |
| MONEY MARKET - STIFEL NICOLAUS 1099  | 860630102 | 1                | 05/20/09            | 03/23/11  | \$70 91                          | \$43 57                                | \$27 34          |
| FBR CAP MKTS CORP                    | 30247C301 | 2                | 11/04/09            | 03/23/11  | \$7 11                           | \$12 66                                | \$-5 55          |
| GREAT LAKES DREDGE & DOCK CORP NEW   | 390607109 | 33               | 08/26/09            | 03/23/11  | \$240 31                         | \$224 57                               | \$15 74          |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                   | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ICON PUB LTD CO SPONSORED ADR | 45103T107 | 5                | 10/06/09            | 03/23/11  | \$104.91                         | \$136.60                               | \$-31.69         |
| ICON PUB LTD CO SPONSORED ADR | 45103T107 | 10               | 11/04/09            | 03/23/11  | \$209.82                         | \$247.99                               | \$-38.17         |
| GILEAD SCIENCES INC           | 375558103 | 16               | 02/23/10            | 03/23/11  | \$665.26                         | \$793.05                               | \$-127.79        |
| GARMIN LTD                    | H2906T109 | 17               | 03/23/10            | 03/24/11  | \$575.00                         | \$630.19                               | \$-55.19         |
| GARMIN LTD                    | H2906T109 | 26               | 03/23/10            | 03/24/11  | \$879.41                         | \$963.82                               | \$-84.41         |
| GARMIN LTD                    | H2906T109 | 5                | 02/10/10            | 03/24/11  | \$169.12                         | \$159.81                               | \$9.31           |
| CISCO SYSTEM INC              | 17275R102 | 48               | 12/24/50            | 03/29/11  | \$829.84                         | \$1,163.91                             | \$-334.07        |
| CISCO SYSTEM INC              | 17275R102 | 65               | 01/07/51            | 03/29/11  | \$1,123.74                       | \$1,498.08                             | \$-374.34        |
| CISCO SYSTEM INC              | 17275R102 | 117              | 05/05/55            | 03/29/11  | \$2,022.73                       | \$2,729.38                             | \$-706.65        |
| CISCO SYSTEM INC              | 17275R102 | 46               | 01/28/51            | 03/29/11  | \$795.26                         | \$1,110.67                             | \$-315.41        |
| CISCO SYSTEM INC              | 17275R102 | 176              | 05/05/55            | 03/29/11  | \$3,042.74                       | \$4,270.74                             | \$-1,228.00      |
| BEST BUY INC                  | 086516101 | 75               | 02/09/10            | 03/29/11  | \$2,169.21                       | \$2,699.90                             | \$-530.69        |
| BEST BUY INC                  | 086516101 | 31               | 01/25/10            | 03/29/11  | \$896.61                         | \$1,157.71                             | \$-261.10        |
| BEST BUY INC                  | 086516101 | 219              | 02/02/10            | 03/29/11  | \$6,334.10                       | \$8,193.73                             | \$-1,859.63      |
| FEDERAL NATL MTG ASSN         | 31410FUS2 | 2308.8           | 01/15/08            | 03/31/11  | \$2,308.80                       | \$2,341.81                             | \$-33.01         |
| FEDERAL NATL MTG ASSN         | 31416BMS4 | 2016.57          | 11/05/08            | 03/31/11  | \$2,016.57                       | \$2,051.78                             | \$-35.21         |
| FEDERAL NATIONAL MORTGAGE     | 31403C6L0 | 1480.77          | 07/10/09            | 03/31/11  | \$1,480.77                       | \$1,515.04                             | \$-34.27         |
| FEDERAL NATL MTG ASSN         | 31368HNC4 | 1084.16          | 10/24/08            | 03/31/11  | \$1,084.16                       | \$1,101.82                             | \$-17.66         |
| FEDERAL NATL MTG ASSN         | 31415XER8 | 995.83           | 10/21/08            | 03/31/11  | \$995.83                         | \$1,017.30                             | \$-21.47         |
| FEDERAL NATL MTG ASSN         | 31412NBF2 | 69.52            | 12/08/08            | 03/31/11  | \$69.52                          | \$69.52                                | \$0.00           |
| TNT N V SPONSORED ADR         | 87260W101 | 183              | 11/27/09            | 03/31/11  | \$4,698.62                       | \$5,353.01                             | \$-654.39        |
| TNT N V SPONSORED ADR         | 87260W101 | 141              | 02/04/08            | 03/31/11  | \$3,620.25                       | \$5,257.17                             | \$-1,636.92      |
| GARMIN LTD                    | H2906T109 | 110              | 02/10/10            | 04/04/11  | \$3,695.75                       | \$3,515.74                             | \$180.01         |
| KNOLOGY INC                   | 499183804 | 137              | 05/06/09            | 04/04/11  | \$1,883.86                       | \$1,047.52                             | \$836.34         |
| WARNACO GROUP INC NEW         | 934390402 | 37               | 07/15/09            | 04/04/11  | \$2,151.95                       | \$1,224.68                             | \$927.27         |
| SAIC INC                      | 78390X101 | 71               | 03/23/10            | 04/05/11  | \$1,225.96                       | \$1,360.36                             | \$-134.40        |

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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BLOCK (H & R) INC                    | 093671105 | 47               | 02/08/10            | 04/06/11  | \$822.62                         | \$1,097.59                             | \$-274.97        |
| WESTERN DIGITAL CORP                 | 958102105 | 4                | 04/05/10            | 04/06/11  | \$150.74                         | \$197.49                               | \$-46.75         |
| VALEANT PHARMACEUTICALS INTL INC     | 91911K102 | 12               | 03/23/10            | 04/06/11  | \$631.25                         | \$194.40                               | \$436.85         |
| VALEANT PHARMACEUTICALS INTL INC     | 91911K102 | 44               | 03/23/10            | 04/06/11  | \$2,314.59                       | \$712.80                               | \$1,601.79       |
| VALEANT PHARMACEUTICALS INTL INC     | 91911K102 | 5                | 03/30/10            | 04/06/11  | \$263.02                         | \$84.25                                | \$178.77         |
| BLOCK (H & R) INC                    | 093671105 | 1                | 01/19/10            | 04/06/11  | \$17.50                          | \$22.91                                | \$-5.41          |
| BLOCK (H & R) INC                    | 093671105 | 11               | 01/22/10            | 04/06/11  | \$192.53                         | \$252.56                               | \$-60.03         |
| BLOCK (H & R) INC                    | 093671105 | 8                | 02/08/10            | 04/06/11  | \$140.02                         | \$186.82                               | \$-46.80         |
| WESTERN DIGITAL CORP                 | 958102105 | 24               | 04/05/10            | 04/06/11  | \$904.45                         | \$1,184.91                             | \$-280.46        |
| TOTAL S A SPONSORED ADR              | 89151E109 | 10               | 01/01/51            | 04/08/11  | \$622.18                         | \$566.00                               | \$56.18          |
| REED ELSEVIER P L C SPONSORED ADR    | 758205207 | 2                | 08/27/08            | 04/08/11  | \$70.52                          | \$89.09                                | \$-18.57         |
| VIMPELCOM LTD                        | 92719A106 | 70               | 06/07/07            | 04/08/11  | \$1,004.48                       | \$1,368.22                             | \$-363.74        |
| INTERNATIONAL PWR PLC SPONSORED      | 46018M104 | 30               | 08/26/08            | 04/08/11  | \$1,562.96                       | \$2,141.51                             | \$-578.55        |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 30               | 06/07/07            | 04/08/11  | \$1,923.56                       | \$2,563.84                             | \$-640.28        |
| AMERICA MOVIL S A DE C V SPONSORED   | 02364W105 | 40               | 11/01/07            | 04/08/11  | \$2,327.15                       | \$2,476.78                             | \$-149.63        |
| BNP PARIBAS SPONSORED ADR            | 05565A202 | 30               | 11/21/07            | 04/08/11  | \$1,183.47                       | \$1,529.21                             | \$-345.74        |
| BUNZL PLC                            | 120738406 | 20               | 08/28/08            | 04/08/11  | \$1,213.97                       | \$1,289.54                             | \$-75.57         |
| COCA-COLA HELLENIC BOTTLING CO S A   | 1912EP104 | 40               | 06/07/07            | 04/08/11  | \$1,121.97                       | \$1,192.26                             | \$-70.29         |
| MERCK & CO INC                       | 58933Y105 | 4.34             | 12/17/50            | 04/08/11  | \$145.75                         | \$206.89                               | \$-61.14         |
| MERCK & CO INC                       | 58933Y105 | 17.34            | 12/25/50            | 04/08/11  | \$582.42                         | \$745.70                               | \$-163.28        |
| MERCK & CO INC                       | 58933Y105 | 9                | 04/18/07            | 04/08/11  | \$302.31                         | \$381.09                               | \$-78.78         |
| MERCK & CO INC                       | 58933Y105 | 106.66           | 04/28/08            | 04/08/11  | \$3,582.78                       | \$4,297.17                             | \$-714.39        |
| TREND MICRO INC SPONSORED ADR NEW    | 89486M206 | 30               | 06/07/07            | 04/08/11  | \$794.08                         | \$1,008.00                             | \$-213.92        |
| REED ELSEVIER P L C SPONSORED ADR    | 758205207 | 28               | 07/01/08            | 04/08/11  | \$987.25                         | \$1,242.95                             | \$-255.70        |
| ROCHE HLDG LTD SPONSORED ADR         | 771195104 | 30               | 01/01/51            | 04/08/11  | \$1,102.47                       | \$955.27                               | \$147.20         |
| SHARP CORP ADR                       | 819882200 | 120              | 06/07/07            | 04/08/11  | \$1,087.17                       | \$2,370.00                             | \$-1,282.83      |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description              | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN    | 31359MLS0 | 13000            | 04/22/09            | 04/08/11  | \$13,396 80                      | \$14,218 70                            | \$-821 90        |
| HEWLETT PACKARD CO       | 428236103 | 407              | 02/02/10            | 04/08/11  | \$16,605 08                      | \$19,829 86                            | \$-3,224 78      |
| HEWLETT PACKARD CO       | 428236103 | 65               | 02/09/10            | 04/08/11  | \$2,651 91                       | \$3,141 94                             | \$-490 03        |
| HEWLETT PACKARD CO       | 428236103 | 53               | 02/23/10            | 04/08/11  | \$2,162 33                       | \$2,476 08                             | \$-313 75        |
| ENCANA CORP              | 292505104 | 20               | 10/01/07            | 04/08/11  | \$689 98                         | \$651 16                               | \$38 82          |
| MERCK & CO INC           | 58933Y105 | 1 66             | 12/16/50            | 04/08/11  | \$55 79                          | \$79 65                                | \$-23 86         |
| TESCO PLC SPONSORED ADR  | 881575302 | 90               | 06/26/07            | 04/08/11  | \$1,751 36                       | \$2,258 96                             | \$-507 60        |
| UNITED STATES TREAS NTS  | 912828FH8 | 17000            | 01/10/08            | 04/08/11  | \$17,112 23                      | \$18,133 56                            | \$-1,021 33      |
| FEDERAL NATL MTG ASSN    | 31398AWQ1 | 11000            | 07/29/09            | 04/08/11  | \$11,006 93                      | \$11,048 68                            | \$-41 75         |
| WPP PLC                  | 92933HI01 | 20               | 06/07/07            | 04/08/11  | \$1,244 77                       | \$1,440 71                             | \$-195 94        |
| EXPEDIA INC DEL          | 30212PI05 | 21               | 03/31/10            | 04/11/11  | \$513 36                         | \$511 80                               | \$1 56           |
| BLOCK (H & R) INC        | 093671105 | 13               | 02/09/10            | 04/11/11  | \$226 95                         | \$272 88                               | \$-45 93         |
| BLOCK (H & R) INC        | 093671105 | 73               | 01/27/10            | 04/11/11  | \$1,274 44                       | \$1,614 81                             | \$-340 37        |
| BLOCK (H & R) INC        | 093671105 | 36               | 01/25/10            | 04/11/11  | \$628 49                         | \$814 08                               | \$-185 59        |
| BLOCK (H & R) INC        | 093671105 | 3                | 01/19/10            | 04/11/11  | \$52 37                          | \$68 73                                | \$-16 36         |
| WESTERN DIGITAL CORP     | 958102105 | 8                | 01/28/10            | 04/12/11  | \$306 31                         | \$382 00                               | \$-75 69         |
| WESTERN DIGITAL CORP     | 958102105 | 7                | 03/02/10            | 04/12/11  | \$268 02                         | \$327 26                               | \$-59 24         |
| WESTERN DIGITAL CORP     | 958102105 | 5                | 03/02/10            | 04/12/11  | \$191 44                         | \$233 75                               | \$-42 31         |
| WESTERN DIGITAL CORP     | 958102105 | 3                | 03/02/10            | 04/12/11  | \$114 87                         | \$140 25                               | \$-25 38         |
| WESTERN DIGITAL CORP     | 958102105 | 32               | 03/23/10            | 04/12/11  | \$1,225 24                       | \$1,336 00                             | \$-110 76        |
| WESTERN DIGITAL CORP     | 958102105 | 1                | 03/23/10            | 04/12/11  | \$38 29                          | \$41 75                                | \$-3 46          |
| WESTERN DIGITAL CORP     | 958102105 | 18               | 04/06/10            | 04/12/11  | \$689 20                         | \$738 00                               | \$-48 80         |
| KEPPEL LTD SPONSORED ADR | 492051305 | 180              | 11/01/07            | 04/13/11  | \$3,590 93                       | \$3,680 21                             | \$-89 28         |
| TRIMBLE NAV LTD          | 896239100 | 144              | 02/12/10            | 04/13/11  | \$7,068 97                       | \$3,597 12                             | \$3,471 85       |
| TRIMBLE NAV LTD          | 896239100 | 46               | 03/23/10            | 04/13/11  | \$2,258 14                       | \$1,339 81                             | \$918 33         |
| UNITED STATES TREAS NTS  | 912828FB1 | 11158 45         | 09/08/09            | 04/15/11  | \$11,158 45                      | \$11,463 95                            | \$-305 50        |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                   | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NTS       | 912828FB1 | 115598 03        | 03/31/09            | 04/15/11  | \$115,598 03                     | \$118,989 82                           | \$-3,391 79      |
| TJX COS INC NEW               | 872540109 | 11               | 04/12/10            | 04/18/11  | \$564 29                         | \$501 88                               | \$62 41          |
| DREAMWORKS ANIMATION INC CL A | 26153C103 | 53               | 02/10/10            | 04/19/11  | \$1,394 59                       | \$2,088 20                             | \$-693 61        |
| DREAMWORKS ANIMATION INC CL A | 26153C103 | 19               | 03/23/10            | 04/19/11  | \$499 95                         | \$804 27                               | \$-304 32        |
| TESCO PLC SPONSORED ADR       | 881575302 | 58               | 06/26/07            | 04/20/11  | \$1,129 04                       | \$1,455 78                             | \$-326 74        |
| TESCO PLC SPONSORED ADR       | 881575302 | 135              | 02/04/08            | 04/20/11  | \$2,627 94                       | \$3,309 87                             | \$-681 93        |
| SYNOPSYS INC                  | 871607107 | 91               | 04/07/10            | 04/21/11  | \$2,427 33                       | \$2,062 97                             | \$364 36         |
| ROYAL PTT NEDERLAND NV ADR    | 780641205 | 156              | 02/20/09            | 04/26/11  | \$2,428 44                       | \$2,072 36                             | \$356 08         |
| FEDERAL NATL MTG ASSN         | 31398AWQ1 | 77000            | 07/29/09            | 04/28/11  | \$77,000 00                      | \$77,340 72                            | \$-340 72        |
| FEDERAL NATL MTG ASSN         | 31415XER8 | 496 75           | 10/21/08            | 04/30/11  | \$496 75                         | \$507 46                               | \$-10 71         |
| FEDERAL NATL MTG ASSN         | 31412NBF2 | 35 84            | 12/08/08            | 04/30/11  | \$35 84                          | \$35 84                                | \$0 00           |
| FEDERAL NATL MTG ASSN         | 31410FUS2 | 1435 8           | 01/15/08            | 04/30/11  | \$1,435 80                       | \$1,456 33                             | \$-20 53         |
| FEDERAL NATIONAL MORTGAGE     | 31403C6L0 | 1267 57          | 07/10/09            | 04/30/11  | \$1,267 57                       | \$1,296 09                             | \$-28 52         |
| FEDERAL NATL MTG ASSN         | 31368HNG4 | 909 76           | 10/24/08            | 04/30/11  | \$909 76                         | \$924 58                               | \$-14 82         |
| UNITED STATES TREAS NT        | 912828KL3 | 146000           | 05/18/09            | 04/30/11  | \$146,000 00                     | \$146,000 00                           | \$0 00           |
| FEDERAL NATL MTG ASSN         | 31416BMS4 | 2076 59          | 11/05/08            | 04/30/11  | \$2,076 59                       | \$2,112 85                             | \$-36 26         |
| PEABODY ENERGY CORP           | 704549104 | 5                | 03/23/10            | 05/02/11  | \$334 99                         | \$238 75                               | \$96 24          |
| PEABODY ENERGY CORP           | 704549104 | 45               | 03/01/10            | 05/02/11  | \$3,014 95                       | \$2,116 27                             | \$898 68         |
| US BANCORP DEL NEW            | 902973304 | 287              | 02/12/51            | 05/02/11  | \$7,378 63                       | \$9,475 82                             | \$-2,097 19      |
| US BANCORP DEL NEW            | 902973304 | 22               | 09/07/08            | 05/02/11  | \$565 61                         | \$717 70                               | \$-152 09        |
| ERICSSON L M TEL CO ADR CL B  | 294821608 | 108              | 02/09/10            | 05/02/11  | \$1,649 13                       | \$1,084 32                             | \$564 81         |
| US BANCORP DEL NEW            | 902973304 | 26               | 03/23/10            | 05/02/11  | \$668 45                         | \$681 69                               | \$-13 24         |
| US BANCORP DEL NEW            | 902973304 | 34               | 03/17/10            | 05/02/11  | \$874 12                         | \$888 57                               | \$-14 45         |
| ERICSSON L M TEL CO ADR CL B  | 294821608 | 605              | 02/02/10            | 05/02/11  | \$9,238 17                       | \$6,207 18                             | \$3,030 99       |
| US BANCORP DEL NEW            | 902973304 | 26               | 08/31/08            | 05/02/11  | \$668 45                         | \$846 08                               | \$-177 63        |
| REPUBLIC SERVICES INC CL A    | 760759100 | 40               | 04/26/10            | 05/03/11  | \$1,280 18                       | \$1,228 71                             | \$51 47          |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CENTERPOINT ENERGY INC           | 15189T107 | 16               | 04/26/10            | 05/04/11  | \$296 26                         | \$233 28                               | \$62 98          |
| DRESSER-RAND GROUP INC           | 261608103 | 22               | 04/08/10            | 05/04/11  | \$1,065 57                       | \$737 00                               | \$328 57         |
| DRESSER-RAND GROUP INC           | 261608103 | 1                | 04/09/10            | 05/04/11  | \$48 43                          | \$33 79                                | \$14 64          |
| DRESSER-RAND GROUP INC           | 261608103 | 7                | 04/09/10            | 05/04/11  | \$339 04                         | \$236 52                               | \$102 52         |
| VODAFONE GROUP PLC NEW SPONSORED | 92857W209 | 24               | 12/28/50            | 05/05/11  | \$670 68                         | \$617 55                               | \$53 13          |
| NUVASIVE INC                     | 670704105 | 29               | 03/26/08            | 05/09/11  | \$924 67                         | \$973 93                               | \$-49 26         |
| FOREST LABS INC                  | 345838106 | 6                | 08/16/07            | 05/10/11  | \$205 12                         | \$277 00                               | \$-71 88         |
| FOREST LABS INC                  | 345838106 | 1                | 07/21/07            | 05/10/11  | \$34 19                          | \$45 79                                | \$-11 60         |
| FOREST LABS INC                  | 345838106 | 8                | 07/03/07            | 05/10/11  | \$273 50                         | \$360 43                               | \$-86 93         |
| FOREST LABS INC                  | 345838106 | 13               | 07/10/07            | 05/10/11  | \$444 43                         | \$590 27                               | \$-145 84        |
| FOREST LABS INC                  | 345838106 | 21               | 06/19/07            | 05/10/11  | \$717 93                         | \$963 78                               | \$-245 85        |
| NESTLE S A ADR REG               | 641069406 | 9                | 03/23/10            | 05/11/11  | \$546 03                         | \$458 46                               | \$87 57          |
| NESTLE S A ADR REG               | 641069406 | 42               | 02/02/10            | 05/11/11  | \$2,548 16                       | \$2,034 06                             | \$514 10         |
| VALEANT PHARMACEUTICALS INTL INC | 91911K102 | 6                | 03/23/10            | 05/11/11  | \$315 32                         | \$97 20                                | \$218 12         |
| VALEANT PHARMACEUTICALS INTL INC | 91911K102 | 18               | 03/23/10            | 05/11/11  | \$945 95                         | \$291 60                               | \$654 35         |
| VALEANT PHARMACEUTICALS INTL INC | 91911K102 | 10               | 02/10/10            | 05/11/11  | \$525 53                         | \$140 80                               | \$384 73         |
| COVIDIEN PLC                     | G2554F113 | 58               | 02/02/10            | 05/11/11  | \$3,221 47                       | \$2,956 84                             | \$264 63         |
| UNITED STS STL CORP NEW          | 912909108 | 21               | 02/09/10            | 05/11/11  | \$955 69                         | \$969 15                               | \$-13 46         |
| ROYAL PTT NEDERLAND NV ADR       | 780641205 | 23               | 02/20/09            | 05/11/11  | \$345 77                         | \$305 54                               | \$40 23          |
| ROYAL PTT NEDERLAND NV ADR       | 780641205 | 394              | 04/14/09            | 05/11/11  | \$5,923 12                       | \$4,882 53                             | \$1,040 59       |
| ABBOTT LABS                      | 002824100 | 73               | 02/02/10            | 05/11/11  | \$3,864 32                       | \$3,979 23                             | \$-114 91        |
| UNITED STS STL CORP NEW          | 912909108 | 213              | 02/02/10            | 05/11/11  | \$9,693 44                       | \$10,202 28                            | \$-508 84        |
| NORTHERN TRUST CORP              | 665859104 | 6                | 04/26/10            | 05/12/11  | \$289 07                         | \$337 72                               | \$-48 65         |
| NORTHERN TRUST CORP              | 665859104 | 30               | 02/12/10            | 05/12/11  | \$1,445 35                       | \$1,494 30                             | \$-48 95         |
| NORTHERN TRUST CORP              | 665859104 | 28               | 03/23/10            | 05/12/11  | \$1,348 99                       | \$1,557 64                             | \$-208 65        |
| FEDERAL HOME LN MTG CORP NOTES   | 3134A4T27 | 2000             | 03/23/10            | 05/13/11  | \$2,162 01                       | \$2,169 65                             | \$-7 64          |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NT          | 912828MR8 | 3000             | 03/12/10            | 05/13/11  | \$3,119.76                       | \$2,995.73                             | \$124.03         |
| SHIRE PHARMACEUTICALS GROUP PLC | 82481R106 | 8                | 06/07/07            | 05/13/11  | \$764.18                         | \$554.96                               | \$209.22         |
| FEDERAL NATL MTG ASSN           | 31359MLS0 | 29000            | 04/22/09            | 05/13/11  | \$29,750.84                      | \$31,718.64                            | \$-1,967.80      |
| FEDERAL HOME LN MTG CORP        | 3137EACC1 | 7000             | 04/26/10            | 05/13/11  | \$7,111.29                       | \$7,070.20                             | \$41.09          |
| FEDERAL HOME LN MTG CORP        | 3137EACC1 | 7000             | 07/29/09            | 05/13/11  | \$7,111.28                       | \$6,996.91                             | \$114.37         |
| FEDERAL NATL MTG ASSN           | 31398ASD5 | 5000             | 02/09/10            | 05/13/11  | \$5,337.16                       | \$5,341.84                             | \$-4.68          |
| FEDERAL NATL MTG ASSN           | 31398ASD5 | 3000             | 03/23/10            | 05/13/11  | \$3,202.30                       | \$3,194.10                             | \$8.20           |
| FEDERAL NATL MTG ASSN           | 31398ASD5 | 1000             | 04/26/10            | 05/13/11  | \$1,067.43                       | \$1,061.56                             | \$5.87           |
| UNITED STATES TREAS NTS         | 912828FH8 | 21000            | 01/10/08            | 05/13/11  | \$21,041.84                      | \$22,400.27                            | \$-1,358.43      |
| UNITED STATES TREAS NTS         | 912828FH8 | 24000            | 01/18/08            | 05/13/11  | \$24,047.81                      | \$25,580.71                            | \$-1,532.90      |
| UNITED STATES TREAS NTS         | 912828HY9 | 4000             | 02/09/10            | 05/13/11  | \$4,204.53                       | \$4,210.95                             | \$-6.42          |
| UNITED STATES TREAS NTS         | 912828HY9 | 2000             | 03/23/10            | 05/13/11  | \$2,102.27                       | \$2,094.77                             | \$7.50           |
| UNITED STATES TREAS NTS         | 912828HY9 | 1000             | 04/26/10            | 05/13/11  | \$1,051.13                       | \$1,044.11                             | \$7.02           |
| UNITED STATES TREAS NT          | 912828JZ4 | 2000             | 02/09/10            | 05/13/11  | \$2,049.61                       | \$1,995.96                             | \$53.65          |
| UNITED STATES TREAS NT          | 912828JZ4 | 8000             | 02/23/09            | 05/13/11  | \$8,198.43                       | \$7,983.17                             | \$215.26         |
| UNITED STATES TREAS NT          | 912828KP4 | 11000            | 04/26/10            | 05/13/11  | \$11,126.33                      | \$11,059.76                            | \$66.57          |
| UNITED STATES TREAS NT          | 912828LW8 | 6000             | 04/26/10            | 05/13/11  | \$6,020.86                       | \$6,021.82                             | \$-0.96          |
| UNITED STATES TREAS NT          | 912828LW8 | 4000             | 03/23/10            | 05/13/11  | \$4,013.90                       | \$4,013.92                             | \$-0.02          |
| UNITED STATES TREAS NT          | 912828MG2 | 1000             | 02/09/10            | 05/13/11  | \$1,015.59                       | \$1,001.88                             | \$13.71          |
| UNITED STATES TREAS NT          | 912828MG2 | 4000             | 03/23/10            | 05/13/11  | \$4,062.34                       | \$3,993.63                             | \$68.71          |
| UNITED STATES TREAS NT          | 912828MG2 | 5000             | 04/26/10            | 05/13/11  | \$5,077.93                       | \$4,989.23                             | \$88.70          |
| UNITED STATES TREAS NT          | 912828MG2 | 1000             | 01/13/10            | 05/13/11  | \$1,015.59                       | \$997.27                               | \$18.32          |
| FEDERAL HOME LN MTG CORP NOTES  | 3134A4TZ7 | 1000             | 03/04/09            | 05/13/11  | \$1,081.01                       | \$1,083.74                             | \$-2.73          |
| CANADIAN NATL RY CO             | 136375102 | 6                | 04/26/10            | 05/17/11  | \$448.08                         | \$380.04                               | \$68.04          |
| CANADIAN NATL RY CO             | 136375102 | 28               | 02/12/10            | 05/17/11  | \$2,091.05                       | \$1,434.16                             | \$656.89         |
| CANADIAN NATL RY CO             | 136375102 | 10               | 06/30/07            | 05/17/11  | \$746.80                         | \$514.71                               | \$232.09         |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| CANADIAN NATL RY CO              | 136375102 | 34               | 03/23/10            | 05/17/11  | \$2,539 12                       | \$2,002 60                             | \$536 52         |
| VALEANT PHARMACEUTICALS INTL INC | 91911K102 | 74               | 02/10/10            | 05/18/11  | \$3,685 72                       | \$1,041 92                             | \$2,643 80       |
| CENTERPOINT ENERGY INC           | 15189T107 | 24               | 04/26/10            | 05/18/11  | \$449 94                         | \$349 92                               | \$100 02         |
| CENTERPOINT ENERGY INC           | 15189T107 | 94               | 04/26/10            | 05/18/11  | \$1,762 27                       | \$1,370 52                             | \$391 75         |
| LENDER PROCESSING SVCS INC       | 52602E102 | 42               | 02/10/10            | 05/20/11  | \$1,134 30                       | \$1,585 50                             | \$-451 20        |
| KEPPEL LTD SPONSORED ADR         | 492051305 | 03               | 11/01/07            | 05/20/11  | \$5 44                           | \$5 58                                 | \$-0 14          |
| LENDER PROCESSING SVCS INC       | 52602E102 | 8                | 04/16/10            | 05/20/11  | \$216 06                         | \$296 25                               | \$-80 19         |
| NIDEC CORP SPONSORED ADR         | 654090109 | 193              | 08/29/08            | 05/27/11  | \$4,259 63                       | \$3,231 38                             | \$1,028 25       |
| FOREST LABS INC                  | 345838106 | 3                | 07/22/07            | 05/31/11  | \$107 89                         | \$137 24                               | \$-29 35         |
| FOREST LABS INC                  | 345838106 | 9                | 05/25/07            | 05/31/11  | \$323 65                         | \$409 28                               | \$-85 63         |
| FOREST LABS INC                  | 345838106 | 1                | 07/03/07            | 05/31/11  | \$35 96                          | \$45 05                                | \$-9 09          |
| FOREST LABS INC                  | 345838106 | 10               | 06/02/07            | 05/31/11  | \$359 62                         | \$449 24                               | \$-89 62         |
| FOREST LABS INC                  | 345838106 | 22               | 07/02/07            | 05/31/11  | \$791 16                         | \$981 74                               | \$-190 58        |
| FOREST LABS INC                  | 345838106 | 15               | 07/03/07            | 05/31/11  | \$539 42                         | \$664 46                               | \$-125 04        |
| UNITED STATES TREAS NTS          | 912828FH8 | 12000            | 01/18/08            | 05/31/11  | \$12,000 00                      | \$12,790 35                            | \$-790 35        |
| UNITED STATES TREAS NTS          | 912828FH8 | 13000            | 02/09/10            | 05/31/11  | \$13,000 00                      | \$13,743 48                            | \$-743 48        |
| UNITED STATES TREAS NTS          | 912828FH8 | 5000             | 03/23/10            | 05/31/11  | \$5,000 00                       | \$5,256 46                             | \$-256 46        |
| UNITED STATES TREAS NTS          | 912828FH8 | 66000            | 03/29/10            | 05/31/11  | \$66,000 00                      | \$69,364 68                            | \$-3,364 68      |
| UNITED STATES TREAS NTS          | 912828FH8 | 11000            | 04/26/10            | 05/31/11  | \$11,000 00                      | \$11,524 25                            | \$-524 25        |
| FEDERAL NATL MTG ASSN            | 31368HNG4 | 944 52           | 10/24/08            | 05/31/11  | \$944 52                         | \$959 91                               | \$-15 39         |
| FEDERAL NATIONAL MORTGAGE        | 31403C610 | 1255 95          | 07/10/09            | 05/31/11  | \$1,255 95                       | \$1,283 39                             | \$-27 44         |
| FEDERAL NATL MTG ASSN            | 31410FUS2 | 1814 8           | 01/15/08            | 05/31/11  | \$1,814 80                       | \$1,840 75                             | \$-25 95         |
| FEDERAL NATL MTG ASSN            | 31412NBF2 | 4 19             | 12/08/08            | 05/31/11  | \$4 19                           | \$4 19                                 | \$0 00           |
| FEDERAL NATL MTG ASSN            | 31415XER8 | 571 38           | 10/21/08            | 05/31/11  | \$571 38                         | \$583 70                               | \$-12 32         |
| FEDERAL NATL MTG ASSN            | 31416BMS4 | 1716 4           | 11/05/08            | 05/31/11  | \$1,716 40                       | \$1,746 37                             | \$-29 97         |
| FOREST LABS INC                  | 345838106 | 15               | 07/28/07            | 05/31/11  | \$539 42                         | \$697 72                               | \$-158 30        |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TD AMERITRADE HLDG CORP            | 87236Y108 | 12               | 03/25/10            | 06/06/11  | \$235 52                         | \$231 18                               | \$4 34           |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 15               | 03/25/10            | 06/06/11  | \$294 39                         | \$288 97                               | \$5 42           |
| TD AMERITRADE HLDG CORP            | 87236Y108 | 2                | 03/25/10            | 06/06/11  | \$39 25                          | \$38 53                                | \$0 72           |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 63               | 02/06/08            | 06/06/11  | \$3,069 86                       | \$3,749 16                             | \$-679 30        |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 38               | 11/01/07            | 06/06/11  | \$1,851 66                       | \$2,352 95                             | \$-501 29        |
| DRESSER-RAND GROUP INC             | 261608103 | 30               | 03/23/10            | 06/06/11  | \$1,525 41                       | \$960 30                               | \$565 11         |
| DRESSER-RAND GROUP INC             | 261608103 | 12               | 03/18/10            | 06/06/11  | \$610 17                         | \$389 54                               | \$220 63         |
| DRESSER-RAND GROUP INC             | 261608103 | 2                | 04/08/10            | 06/06/11  | \$101 69                         | \$67 00                                | \$34 69          |
| ATMEL CORP COM                     | 049513104 | 55               | 03/23/10            | 06/09/11  | \$758 98                         | \$288 73                               | \$470 25         |
| CELGENE CORP COM                   | 151020104 | 9                | 03/23/10            | 06/09/11  | \$542 17                         | \$580 59                               | \$-38 42         |
| CELGENE CORP COM                   | 151020104 | 6                | 04/26/10            | 06/09/11  | \$361 45                         | \$357 66                               | \$3 79           |
| GILEAD SCIENCES INC                | 375558103 | 10               | 02/23/10            | 06/09/11  | \$416 19                         | \$495 66                               | \$-79 47         |
| GLOBAL PMTS INC                    | 37940X102 | 8                | 03/23/10            | 06/09/11  | \$406 87                         | \$371 84                               | \$35 03          |
| GLOBAL PMTS INC                    | 37940X102 | 2                | 04/26/10            | 06/09/11  | \$101 72                         | \$91 24                                | \$10 48          |
| RITCHIE BROS AUCTIONEERS INC       | 767744105 | 27               | 04/26/10            | 06/09/11  | \$697 39                         | \$618 58                               | \$78 81          |
| STAPLES INC                        | 855030102 | 13               | 04/26/10            | 06/09/11  | \$197 20                         | \$321 23                               | \$-124 03        |
| ULTRA PETE CORP                    | 903914109 | 3                | 04/26/10            | 06/09/11  | \$141 48                         | \$145 25                               | \$-3 77          |
| QUEST DIAGNOSTICS INC              | 74834L100 | 15               | 04/26/10            | 06/09/11  | \$877 28                         | \$865 05                               | \$12 23          |
| DIEBOLD INC                        | 253651103 | 5                | 04/07/10            | 06/09/11  | \$153 54                         | \$162 01                               | \$-8 47          |
| BUNZL PLC                          | 120738406 | 9                | 08/28/08            | 06/09/11  | \$581 02                         | \$580 29                               | \$0 73           |
| LULULEMON ATHLETICA INC            | 550021109 | 27               | 05/28/10            | 06/09/11  | \$2,324 39                       | \$1,091 79                             | \$1,232 60       |
| BNP PARIBAS SPONSORED ADR          | 05565A202 | 18               | 11/21/07            | 06/09/11  | \$684 70                         | \$917 53                               | \$-232 83        |
| TOYOTA MOTORS CORP ADR 2           | 892331307 | 7                | 05/13/07            | 06/09/11  | \$574 05                         | \$894 58                               | \$-320 53        |
| WPP PLC                            | 92933H101 | 11               | 06/07/07            | 06/09/11  | \$662 40                         | \$792 39                               | \$-129 99        |
| SHIRE PHARMACEUTICALS GROUP PLC    | 82481R106 | 8                | 06/07/07            | 06/09/11  | \$739 26                         | \$554 96                               | \$184 30         |
| KEY ENERGY GROUP INC               | 492914106 | 6                | 01/30/10            | 06/09/11  | \$102 12                         | \$70 36                                | \$31 76          |

### Long term transactions

This category includes sales of assets held more than 12 months

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 15               | 06/07/07            | 06/09/11  | \$1,036.63                       | \$1,281.92                             | \$-245.29        |
| KEPPEL LTD SPONSORED ADR             | 492051305 | 69               | 11/01/07            | 06/09/11  | \$1,245.42                       | \$1,282.81                             | \$-37.39         |
| ISHARES TR IBXX \$ HIGH YIELD CORP   | 464288513 | 330              | 04/26/10            | 06/10/11  | \$29,610.52                      | \$29,556.58                            | \$53.94          |
| ENCANA CORP                          | 292505104 | 6                | 10/01/07            | 06/13/11  | \$191.40                         | \$195.35                               | \$-3.95          |
| GRUPO TELEvisa SA DE CV ADR          | 400491206 | 37               | 07/21/08            | 06/13/11  | \$828.41                         | \$887.09                               | \$-58.68         |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 30               | 06/07/07            | 06/13/11  | \$2,009.06                       | \$2,563.84                             | \$-554.78        |
| ONYX PHARMACEUTICALS INC DEL         | 683399109 | 10               | 08/01/07            | 06/13/11  | \$363.22                         | \$280.37                               | \$82.85          |
| PIER 1 IMPORTS INC                   | 720279108 | 53               | 08/12/09            | 06/13/11  | \$583.11                         | \$137.50                               | \$445.61         |
| INTERNATIONAL PWR PLC SPONSORED      | 46018M104 | 50               | 08/26/08            | 06/13/11  | \$2,543.45                       | \$3,569.17                             | \$-1,025.72      |
| NESTLE S A ADR REG                   | 641069406 | 13               | 01/01/51            | 06/13/11  | \$817.68                         | \$366.12                               | \$451.56         |
| NIDEC CORP SPONSORED ADR             | 654090109 | 60               | 08/29/08            | 06/13/11  | \$1,347.57                       | \$1,004.57                             | \$343.00         |
| RESOLUTE ENERGY CORP                 | 76116A108 | 18               | 03/25/10            | 06/13/11  | \$291.64                         | \$224.54                               | \$67.10          |
| REED ELSEVIER P L C SPONSORED ADR    | 758205207 | 50               | 07/01/08            | 06/13/11  | \$1,753.96                       | \$2,219.56                             | \$-465.60        |
| ROCHE HLDG LTD SPONSORED ADR         | 771195104 | 40               | 01/01/51            | 06/13/11  | \$1,679.96                       | \$1,273.69                             | \$406.27         |
| SHARP CORP ADR                       | 819882200 | 100              | 06/07/07            | 06/13/11  | \$893.98                         | \$1,975.00                             | \$-1,081.02      |
| SHIRE PHARMACEUTICALS GROUP PLC      | 82481R106 | 20               | 06/07/07            | 06/13/11  | \$1,795.36                       | \$1,387.40                             | \$407.96         |
| WPP PLC                              | 92933H101 | 20               | 06/07/07            | 06/13/11  | \$1,197.77                       | \$1,440.72                             | \$-242.95        |
| ABBOTT LABS                          | 002824100 | 38               | 02/02/10            | 06/13/11  | \$1,961.62                       | \$2,071.38                             | \$-109.76        |
| AMERICAN ELECTRIC POWER INC          | 025537101 | 62               | 02/02/10            | 06/13/11  | \$2,305.73                       | \$2,174.96                             | \$130.77         |
| AMGEN INC                            | 031162100 | 3                | 01/14/51            | 06/13/11  | \$176.07                         | \$177.72                               | \$-1.65          |
| AMGEN INC                            | 031162100 | 31               | 04/26/07            | 06/13/11  | \$1,819.35                       | \$1,824.15                             | \$-4.80          |
| AMGEN INC                            | 031162100 | 34               | 12/21/08            | 06/13/11  | \$1,995.42                       | \$1,984.83                             | \$10.59          |
| AMGEN INC                            | 031162100 | 4                | 01/08/51            | 06/13/11  | \$234.75                         | \$232.23                               | \$2.52           |
| CVS CORPORATION DEL                  | 126650100 | 35               | 04/25/07            | 06/13/11  | \$1,310.72                       | \$1,429.48                             | \$-118.76        |
| CVS CORPORATION DEL                  | 126650100 | 21               | 05/04/07            | 06/13/11  | \$786.43                         | \$821.76                               | \$-35.33         |
| GENERAL DYNAMICS CORP                | 369550108 | 30               | 02/02/10            | 06/13/11  | \$2,093.20                       | \$2,104.20                             | \$-11.00         |



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## 2011 Tax Information Letter

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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| GENERAL ELECTRIC CO                | 369604103 | 38               | 11/18/50            | 06/13/11  | \$702.70                         | \$1,291.52                             | \$-588.82        |
| GENERAL ELECTRIC CO                | 369604103 | 34               | 09/28/50            | 06/13/11  | \$628.73                         | \$1,142.41                             | \$-513.68        |
| GENERAL ELECTRIC CO                | 369604103 | 53               | 09/29/50            | 06/13/11  | \$980.08                         | \$1,766.35                             | \$-786.27        |
| GENERAL ELECTRIC CO                | 369604103 | 3                | 01/03/51            | 06/13/11  | \$55.48                          | \$98.17                                | \$-42.69         |
| GENERAL ELECTRIC CO                | 369604103 | 18               | 09/05/08            | 06/13/11  | \$332.86                         | \$575.28                               | \$-242.42        |
| GENERAL ELECTRIC CO                | 369604103 | 51               | 11/16/50            | 06/13/11  | \$943.10                         | \$1,591.99                             | \$-648.89        |
| TESCO PLC SPONSORED ADR            | 881575302 | 100              | 02/04/08            | 06/13/11  | \$1,999.96                       | \$2,451.76                             | \$-451.80        |
| TOTAL S A SPONSORED ADR            | 89151E109 | 20               | 01/01/51            | 06/13/11  | \$1,087.97                       | \$1,131.99                             | \$-44.02         |
| TOYOTA MOTORS CORP ADR 2           | 892331307 | 3                | 05/13/07            | 06/13/11  | \$239.24                         | \$383.39                               | \$-144.15        |
| TOYOTA MOTORS CORP ADR 2           | 892331307 | 2                | 06/07/07            | 06/13/11  | \$159.50                         | \$247.94                               | \$-88.44         |
| TOYOTA MOTORS CORP ADR 2           | 892331307 | 5                | 05/10/07            | 06/13/11  | \$398.74                         | \$601.68                               | \$-202.94        |
| TREND MICRO INC SPONSORED ADR NEW  | 89486M206 | 30               | 06/07/07            | 06/13/11  | \$937.78                         | \$1,008.00                             | \$-70.22         |
| VIMPELCOM LTD                      | 92719A106 | 60               | 06/07/07            | 06/13/11  | \$810.58                         | \$1,172.76                             | \$-362.18        |
| VODAFONE GROUP PLC NEW SPONSORED   | 92857W209 | 11               | 12/28/50            | 06/13/11  | \$287.97                         | \$283.04                               | \$4.93           |
| VODAFONE GROUP PLC NEW SPONSORED   | 92857W209 | 49               | 10/10/08            | 06/13/11  | \$1,282.80                       | \$916.26                               | \$366.54         |
| ALLIANZ GLOBAL INVS MANAGED        | 01882B304 | 2030             | 01/01/51            | 06/13/11  | \$21,599.20                      | \$23,112.88                            | \$-1,513.68      |
| CATALYST HEALTH SOLUTIONS INC      | 14888B103 | 1                | 05/20/10            | 06/13/11  | \$52.79                          | \$46.86                                | \$5.93           |
| COLUMBUS MCKINNON CORP             | 199333105 | 2                | 10/21/09            | 06/13/11  | \$34.08                          | \$31.63                                | \$2.45           |
| COOPER TIRE & RUBBER CO            | 216831107 | 2                | 06/02/10            | 06/13/11  | \$38.98                          | \$40.74                                | \$-1.76          |
| FBR CAP MKTS CORP                  | 30247C301 | 5                | 11/04/09            | 06/13/11  | \$16.95                          | \$31.65                                | \$-14.70         |
| GREAT LAKES DREDGE & DOCK CORP NEW | 390607109 | 67               | 08/26/09            | 06/13/11  | \$355.93                         | \$455.94                               | \$-100.01        |
| ICON PUB LTD CO SPONSORED ADR      | 45103T107 | 3                | 12/01/09            | 06/13/11  | \$73.45                          | \$69.14                                | \$4.31           |
| AMERICA MOVIL S A DE C V SPONSORED | 02364W105 | 37               | 02/06/08            | 06/13/11  | \$1,801.49                       | \$2,201.89                             | \$-400.40        |
| BNP PARIBAS SPONSORED ADR          | 05565A202 | 30               | 11/21/07            | 06/13/11  | \$1,106.67                       | \$1,529.21                             | \$-422.54        |
| BAYERISCHE MOTOREN WERKE A G       | 072743206 | 40               | 01/29/10            | 06/13/11  | \$1,193.98                       | \$568.26                               | \$625.72         |
| LULULEMON ATHLETICA INC            | 550021109 | 6                | 05/28/10            | 06/13/11  | \$526.91                         | \$242.62                               | \$284.29         |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BHP LTD SPONSORED ADR              | 088606108 | 29               | 06/07/07            | 06/13/11  | \$2,600 95                       | \$1,610 66                             | \$990 29         |
| BUNZL PLC                          | 120738406 | 20               | 08/28/08            | 06/13/11  | \$1,241 97                       | \$1,289 54                             | \$-47 57         |
| COCA-COLA HELLENIC BOTTLING CO S A | 1912EP104 | 50               | 06/07/07            | 06/13/11  | \$1,237 76                       | \$1,490 32                             | \$-252 56        |
| WASTE MGMT INC DEL COM             | 94106L109 | 88               | 02/02/10            | 06/13/11  | \$3,211 05                       | \$2,863 48                             | \$347 57         |
| WELLS FARGO & CO (NEW)             | 949746101 | 2                | 01/07/51            | 06/13/11  | \$53 90                          | \$79 79                                | \$-25 89         |
| WELLS FARGO & CO (NEW)             | 949746101 | 25               | 01/08/51            | 06/13/11  | \$673 74                         | \$926 51                               | \$-252 77        |
| WELLS FARGO & CO (NEW)             | 949746101 | 153              | 01/28/51            | 06/13/11  | \$4,123 28                       | \$4,911 37                             | \$-788 09        |
| COVIDIEN PLC                       | G2554F113 | 33               | 02/02/10            | 06/13/11  | \$1,769 09                       | \$1,682 34                             | \$86 75          |
| ATMEL CORP COM                     | 049513104 | 194              | 03/23/10            | 06/13/11  | \$2,589 85                       | \$1,018 44                             | \$1,571 41       |
| CELGENE CORP COM                   | 151020104 | 50               | 04/26/10            | 06/13/11  | \$2,923 94                       | \$2,980 50                             | \$-56 56         |
| GILEAD SCIENCES INC                | 375558103 | 7                | 02/23/10            | 06/13/11  | \$280 20                         | \$346 96                               | \$-66 76         |
| GILEAD SCIENCES INC                | 375558103 | 23               | 01/29/10            | 06/13/11  | \$920 67                         | \$1,097 92                             | \$-177 25        |
| GILEAD SCIENCES INC                | 375558103 | 1                | 01/29/10            | 06/13/11  | \$40 03                          | \$47 70                                | \$-7 67          |
| GLOBAL PMTS INC                    | 37940X102 | 33               | 04/26/10            | 06/13/11  | \$1,620 92                       | \$1,505 46                             | \$115 46         |
| RITCHIE BROS AUCTIONEERS INC       | 767744105 | 27               | 04/26/10            | 06/13/11  | \$684 44                         | \$618 58                               | \$65 86          |
| RITCHIE BROS AUCTIONEERS INC       | 767744105 | 64               | 03/23/10            | 06/13/11  | \$1,622 36                       | \$1,399 04                             | \$223 32         |
| STAPLES INC                        | 855030102 | 14               | 04/26/10            | 06/13/11  | \$209 57                         | \$345 94                               | \$-136 37        |
| STAPLES INC                        | 855030102 | 31               | 03/23/10            | 06/13/11  | \$464 06                         | \$744 31                               | \$-280 25        |
| VISTAPRINT NV                      | N93540107 | 15               | 03/11/10            | 06/13/11  | \$691 18                         | \$1,019 70                             | \$-328 52        |
| VISTAPRINT NV                      | N93540107 | 9                | 04/03/10            | 06/13/11  | \$414 71                         | \$582 81                               | \$-168 10        |
| VISTAPRINT NV                      | N93540107 | 10               | 04/26/10            | 06/13/11  | \$460 79                         | \$617 69                               | \$-156 90        |
| VISTAPRINT NV                      | N93540107 | 4                | 02/12/10            | 06/13/11  | \$184 32                         | \$218 60                               | \$-34 28         |
| AEROPOSTALE                        | 007865108 | 46               | 04/14/10            | 06/13/11  | \$799 46                         | \$1,438 13                             | \$-638 67        |
| ALLIANT TECHSYSTEMS INC            | 018804104 | 8                | 04/26/10            | 06/13/11  | \$537 51                         | \$648 56                               | \$-111 05        |
| AMERISOURCEBERGEN CORP             | 03073E105 | 22               | 04/26/10            | 06/13/11  | \$900 44                         | \$686 40                               | \$214 04         |
| BLOCK (H & R) INC                  | 093671105 | 5                | 02/09/10            | 06/13/11  | \$77 06                          | \$104 95                               | \$-27 89         |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description               | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| BLOCK (H & R) INC         | 093671105 | 82               | 11/09/08            | 06/13/11  | \$1,263 74                       | \$1,558 49                             | \$-294 75        |
| BLOCK (H & R) INC         | 093671105 | 21               | 02/09/10            | 06/13/11  | \$323 64                         | \$398 65                               | \$-75 01         |
| CENTERPOINT ENERGY INC    | 15189T107 | 65               | 04/26/10            | 06/13/11  | \$1,217 43                       | \$947 70                               | \$269 73         |
| CENTERPOINT ENERGY INC    | 15189T107 | 113              | 03/23/10            | 06/13/11  | \$2,116 45                       | \$1,611 38                             | \$505 07         |
| COCA-COLA ENTERPRISES INC | 19122T109 | 41               | 05/28/10            | 06/13/11  | \$1,153 72                       | \$905 28                               | \$248 44         |
| DRESSER-RAND GROUP INC    | 261608103 | 27               | 03/23/10            | 06/13/11  | \$1,334 58                       | \$864 27                               | \$470 31         |
| ECHOSTAR HLDG CORP CL A   | 278768106 | 68               | 03/23/10            | 06/13/11  | \$2,208 93                       | \$1,339 21                             | \$869 72         |
| FOREST LABS INC           | 345838106 | 29               | 07/03/07            | 06/13/11  | \$1,122 86                       | \$1,284 63                             | \$-161 77        |
| FOREST LABS INC           | 345838106 | 3                | 08/05/07            | 06/13/11  | \$116 16                         | \$124 34                               | \$-8 18          |
| FOREST LABS INC           | 345838106 | 3                | 08/12/07            | 06/13/11  | \$116 16                         | \$122 02                               | \$-5 86          |
| FOREST LABS INC           | 345838106 | 34               | 08/05/07            | 06/13/11  | \$1,316 45                       | \$1,379 32                             | \$-62 87         |
| FOREST LABS INC           | 345838106 | 40               | 09/07/07            | 06/13/11  | \$1,548 77                       | \$1,589 44                             | \$-40 67         |
| HCC INS HLDGS INC         | 404132102 | 36               | 03/23/10            | 06/13/11  | \$1,131 82                       | \$1,028 52                             | \$103 30         |
| HEALTH CARE REIT INC      | 42217K106 | 7                | 03/23/10            | 06/13/11  | \$353 42                         | \$308 32 *                             | \$45 10          |
| HEALTH CARE REIT INC      | 42217K106 | 9                | 04/26/10            | 06/13/11  | \$454 40                         | \$394 88 *                             | \$59 52          |
| ITT INDS INC IND          | 450911102 | 2                | 04/26/10            | 06/13/11  | \$109 94                         | \$114 34                               | \$-4 40          |
| ITT INDS INC IND          | 450911102 | 54               | 03/23/10            | 06/13/11  | \$2,968 46                       | \$2,859 70                             | \$108 76         |
| NRG ENERGY INC NEW        | 629377508 | 8                | 03/12/08            | 06/13/11  | \$189 44                         | \$333 99                               | \$-144 55        |
| NRG ENERGY INC NEW        | 629377508 | 18               | 03/15/08            | 06/13/11  | \$426 23                         | \$733 09                               | \$-306 86        |
| NRG ENERGY INC NEW        | 629377508 | 2                | 02/05/08            | 06/13/11  | \$47 36                          | \$81 28                                | \$-33 92         |
| NRG ENERGY INC NEW        | 629377508 | 1                | 02/05/08            | 06/13/11  | \$23 68                          | \$40 64                                | \$-16 96         |
| NRG ENERGY INC NEW        | 629377508 | 12               | 02/07/08            | 06/13/11  | \$284 15                         | \$481 91                               | \$-197 76        |
| OGC ENERGY CORP           | 670837103 | 6                | 04/26/10            | 06/13/11  | \$289 67                         | \$245 58                               | \$44 09          |
| OSHKOSH TRUCK CORP CL B   | 688239201 | 11               | 04/14/10            | 06/13/11  | \$285 55                         | \$386 69                               | \$-101 14        |
| OSHKOSH TRUCK CORP CL B   | 688239201 | 31               | 06/04/10            | 06/13/11  | \$804 75                         | \$1,060 43                             | \$-255 68        |
| QUEST DIAGNOSTICS INC     | 74834L100 | 4                | 03/23/10            | 06/13/11  | \$236 60                         | \$229 67                               | \$6 93           |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| QUEST DIAGNOSTICS INC           | 74834L100 | 25               | 03/23/10            | 06/13/11  | \$1,478.72                       | \$1,428.25                             | \$50.47          |
| QUEST DIAGNOSTICS INC           | 74834L100 | 11               | 02/10/10            | 06/13/11  | \$650.64                         | \$615.89                               | \$34.75          |
| INTERNATIONAL BUSINESS MACHINES | 459200101 | 6                | 02/02/10            | 06/13/11  | \$979.72                         | \$753.42                               | \$226.30         |
| JOHNSON AND JOHNSON             | 478160104 | 6                | 11/14/09            | 06/13/11  | \$400.81                         | \$399.93                               | \$0.88           |
| JOHNSON AND JOHNSON             | 478160104 | 43               | 11/08/09            | 06/13/11  | \$2,872.45                       | \$2,829.85                             | \$42.60          |
| RAYONIER INC                    | 754907103 | 15               | 04/26/10            | 06/13/11  | \$926.53                         | \$749.92                               | \$176.61         |
| REPUBLIC SERVICES INC CL A      | 760759100 | 22               | 04/26/10            | 06/13/11  | \$661.97                         | \$675.79                               | \$-13.82         |
| SAIC INC                        | 78390X101 | 10               | 03/23/10            | 06/13/11  | \$161.50                         | \$191.60                               | \$-30.10         |
| SAIC INC                        | 78390X101 | 92               | 02/10/10            | 06/13/11  | \$1,485.76                       | \$1,695.56                             | \$-209.80        |
| MCDONALDS CORP                  | 580135101 | 4                | 02/02/10            | 06/13/11  | \$323.75                         | \$255.96                               | \$67.79          |
| MERCK & CO INC                  | 58933Y105 | 84               | 04/28/08            | 06/13/11  | \$2,976.07                       | \$3,384.20                             | \$-408.13        |
| NESTLE S A ADR REG              | 641069406 | 37               | 02/02/10            | 06/13/11  | \$2,323.55                       | \$1,791.91                             | \$531.64         |
| SYMANTEC CORP                   | 871503108 | 15               | 04/26/10            | 06/13/11  | \$277.49                         | \$258.45                               | \$19.04          |
| TD AMERITRADE HLDG CORP         | 87236Y108 | 2                | 03/25/10            | 06/13/11  | \$37.14                          | \$38.53                                | \$-1.39          |
| TD AMERITRADE HLDG CORP         | 87236Y108 | 15               | 03/12/10            | 06/13/11  | \$278.54                         | \$282.75                               | \$-4.21          |
| TJX COS INC NEW                 | 872540109 | 2                | 04/12/10            | 06/13/11  | \$99.14                          | \$91.25                                | \$7.89           |
| TJX COS INC NEW                 | 872540109 | 2                | 04/06/10            | 06/13/11  | \$99.14                          | \$89.47                                | \$9.67           |
| PG & E CORP                     | 69331C108 | 54               | 02/02/10            | 06/13/11  | \$2,253.37                       | \$2,278.29                             | \$-24.92         |
| PNC BANK CORP                   | 693475105 | 5                | 04/19/10            | 06/13/11  | \$296.99                         | \$325.34                               | \$-28.35         |
| PNC BANK CORP                   | 693475105 | 53               | 02/06/10            | 06/13/11  | \$3,148.14                       | \$3,460.97                             | \$-312.83        |
| PEABODY ENERGY CORP             | 704549104 | 37               | 03/01/10            | 06/13/11  | \$1,977.61                       | \$1,740.05                             | \$237.56         |
| PFIZER INC                      | 717081103 | 245              | 12/25/50            | 06/13/11  | \$5,044.45                       | \$8,968.70                             | \$-3,924.25      |
| PRUDENTIAL FINL INC             | 744320102 | 39               | 02/02/10            | 06/13/11  | \$2,323.57                       | \$2,023.32                             | \$300.25         |
| WHITING PETE CORP NEW           | 966387102 | 2                | 03/23/10            | 06/13/11  | \$112.52                         | \$80.14                                | \$32.38          |
| AMDOCS LTD                      | G02602103 | 6                | 04/05/10            | 06/13/11  | \$173.17                         | \$195.89                               | \$-22.72         |
| AMDOCS LTD                      | G02602103 | 14               | 03/27/10            | 06/13/11  | \$404.07                         | \$463.90                               | \$-59.83         |



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## Long term transactions

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| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|---------------------------------------|------------------|
| TJX COS INC NEW                 | 872540109 | 1                | 05/19/10            | 06/13/11  | \$49 66                          | \$45 16                               | \$4 50           |
| US BANCORP DEL NEW              | 902973304 | 74               | 03/17/10            | 06/13/11  | \$1,802 61                       | \$1,933 93                            | \$-131 32        |
| FOSTER WHEELER AG               | H27178104 | 13               | 04/12/07            | 06/13/11  | \$395 06                         | \$433 17                              | \$-38 11         |
| FOSTER WHEELER AG               | H27178104 | 16               | 04/28/07            | 06/13/11  | \$486 23                         | \$532 28                              | \$-46 05         |
| FOSTER WHEELER AG               | H27178104 | 5                | 05/08/07            | 06/13/11  | \$151 95                         | \$162 21                              | \$-10 26         |
| FOSTER WHEELER AG               | H27178104 | 11               | 05/05/07            | 06/13/11  | \$334 28                         | \$355 09                              | \$-20 81         |
| DIEBOLD INC                     | 253651103 | 28               | 04/07/10            | 06/14/11  | \$836 34                         | \$907 27                              | \$-70 93         |
| FOSTER WHEELER AG               | H27178104 | 7                | 04/06/07            | 06/14/11  | \$220 98                         | \$209 83                              | \$11 15          |
| FOREST LABS INC                 | 345838106 | 7                | 09/07/07            | 06/14/11  | \$269 89                         | \$278 15                              | \$-8 26          |
| FOSTER WHEELER AG               | H27178104 | 58               | 05/05/07            | 06/14/11  | \$1,830 99                       | \$1,872 29                            | \$-41 30         |
| FOSTER WHEELER AG               | H27178104 | 1                | 05/05/07            | 06/14/11  | \$31 57                          | \$32 28                               | \$-0 71          |
| KEY ENERGY GROUP INC            | 492914106 | 2                | 01/30/10            | 06/14/11  | \$34 14                          | \$23 45                               | \$10 69          |
| SHARP CORP ADR                  | 819882200 | 180              | 10/01/07            | 06/15/11  | \$1,596 77                       | \$3,303 00                            | \$-1,706 23      |
| SHARP CORP ADR                  | 819882200 | 288              | 06/07/07            | 06/15/11  | \$2,554 83                       | \$5,688 00                            | \$-3,133 17      |
| INTERNATIONAL PWR PLC SPONSORED | 46018M104 | 17               | 08/26/08            | 06/15/11  | \$865 17                         | \$1,213 52                            | \$-348 35        |
| FOREST LABS INC                 | 345838106 | 15               | 09/07/07            | 06/17/11  | \$581 00                         | \$596 04                              | \$-15 04         |
| FOREST LABS INC                 | 345838106 | 27               | 09/07/07            | 06/17/11  | \$1,045 80                       | \$1,072 87                            | \$-27 07         |
| NRG ENERGY INC NEW              | 629377508 | 23               | 03/17/08            | 06/22/11  | \$540 98                         | \$887 19                              | \$-346 21        |
| NOBLE CORPORATION               | H5833N103 | 38               | 03/23/10            | 06/22/11  | \$1,485 61                       | \$1,547 27                            | \$-61 66         |
| NOBLE CORPORATION               | H5833N103 | 25               | 04/26/10            | 06/22/11  | \$977 37                         | \$1,091 75                            | \$-114 38        |
| NOBLE CORPORATION               | H5833N103 | 7                | 04/16/10            | 06/22/11  | \$273 66                         | \$287 26                              | \$-13 60         |
| NOBLE CORPORATION               | H5833N103 | 36               | 02/10/10            | 06/22/11  | \$1,407 42                       | \$1,437 48                            | \$-30 06         |
| NRG ENERGY INC NEW              | 629377508 | 6                | 02/07/08            | 06/22/11  | \$141 13                         | \$240 95                              | \$-99 82         |
| NRG ENERGY INC NEW              | 629377508 | 30               | 03/11/08            | 06/22/11  | \$705 63                         | \$1,188 99                            | \$-483 36        |
| NRG ENERGY INC NEW              | 629377508 | 2                | 03/17/08            | 06/22/11  | \$47 04                          | \$77 29                               | \$-30 25         |
| STAPLES INC                     | 855030102 | 56               | 03/23/10            | 06/23/11  | \$854 27                         | \$1,344 56                            | \$-490 29        |



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### Long term transactions

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| Description                       | Cusip      | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------------|------------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| ABBOTT LABS                       | 002824100  | 1                | 10/23/07            | 06/23/11  | \$51.45                          | \$52.82                                | \$-1.37          |
| WASTE MGMT INC DEL COM            | 94106L109  | 19               | 02/04/10            | 06/23/11  | \$697.56                         | \$602.62                               | \$94.94          |
| WASTE MGMT INC DEL COM            | 94106L109  | 72               | 02/09/10            | 06/23/11  | \$2,643.37                       | \$2,310.48                             | \$332.89         |
| WASTE MGMT INC DEL COM            | 94106L109  | 229              | 02/02/10            | 06/23/11  | \$8,407.39                       | \$7,451.57                             | \$955.82         |
| NESTLE S A ADR REG                | 641069406  | 82               | 02/02/10            | 06/23/11  | \$5,038.86                       | \$3,971.26                             | \$1,067.60       |
| MERCK & CO INC                    | 589333Y105 | 5                | 03/24/08            | 06/23/11  | \$173.13                         | \$188.64                               | \$-15.51         |
| MERCK & CO INC                    | 589333Y105 | 5                | 04/04/08            | 06/23/11  | \$173.13                         | \$189.60                               | \$-16.47         |
| MERCK & CO INC                    | 589333Y105 | 41.66            | 03/31/08            | 06/23/11  | \$1,442.54                       | \$1,628.88                             | \$-186.34        |
| MERCK & CO INC                    | 589333Y105 | 40.34            | 04/28/08            | 06/23/11  | \$1,396.76                       | \$1,625.18                             | \$-228.42        |
| WAL MART STORES INC               | 931142103  | 40               | 10/12/08            | 06/23/11  | \$2,112.73                       | \$2,152.41                             | \$-39.68         |
| STAPLES INC                       | 855030102  | 94               | 02/12/10            | 06/23/11  | \$1,433.96                       | \$2,255.77                             | \$-821.81        |
| ABBOTT LABS                       | 002824100  | 218              | 11/07/07            | 06/23/11  | \$11,216.54                      | \$11,652.54                            | \$-436.00        |
| ABBOTT LABS                       | 002824100  | 45               | 02/09/10            | 06/23/11  | \$2,315.34                       | \$2,408.85                             | \$-93.51         |
| ABBOTT LABS                       | 002824100  | 17               | 09/28/07            | 06/23/11  | \$874.68                         | \$921.64                               | \$-46.96         |
| ABBOTT LABS                       | 002824100  | 7                | 02/02/10            | 06/23/11  | \$360.16                         | \$381.57                               | \$-21.41         |
| GENERAL DYNAMICS CORP             | 369550108  | 87               | 02/02/10            | 06/23/11  | \$6,290.74                       | \$6,102.18                             | \$188.56         |
| AIR PRODUCTS & CHEMICALS INC      | 009158106  | 16               | 02/09/10            | 06/23/11  | \$1,467.22                       | \$1,088.48                             | \$378.74         |
| AIR PRODUCTS & CHEMICALS INC      | 009158106  | 106              | 02/02/10            | 06/23/11  | \$9,720.34                       | \$8,130.86                             | \$1,589.48       |
| ABBOTT LABS                       | 002824100  | 114              | 06/15/10            | 06/23/11  | \$5,865.54                       | \$5,490.51                             | \$375.03         |
| ABBOTT LABS                       | 002824100  | 10               | 10/17/07            | 06/23/11  | \$514.52                         | \$523.77                               | \$-9.25          |
| BAYER A G SPONSORED ADR           | 072730302  | 18               | 06/07/07            | 06/23/11  | \$1,374.77                       | \$1,261.59                             | \$113.18         |
| TREND MICRO INC SPONSORED ADR NEW | 89486M206  | 111              | 06/07/07            | 06/29/11  | \$3,445.74                       | \$3,729.60                             | \$-283.86        |
| FEDERAL NATL MTG ASSN             | 31410FUS2  | 1991.25          | 01/15/08            | 06/30/11  | \$1,991.25                       | \$2,019.72                             | \$-28.47         |
| SHIRE PHARMACEUTICALS GROUP PLC   | 82481R106  | 44               | 06/07/07            | 06/30/11  | \$4,112.71                       | \$3,052.28                             | \$1,060.43       |
| FEDERAL NATIONAL MORTGAGE         | 31403CC6L0 | 1378.15          | 07/10/09            | 06/30/11  | \$1,378.15                       | \$1,407.35                             | \$-29.20         |
| FEDERAL NATL MTG ASSN             | 31412NBF2  | 109.75           | 12/08/08            | 06/30/11  | \$109.75                         | \$109.75                               | \$0.00           |



# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                      | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| AMERISOURCEBERGEN CORP           | 03073E105 | 4                | 04/26/10            | 06/30/11  | \$165.94                         | \$124.80                               | \$41.14          |
| FEDERAL NATL MTG ASSN            | 31416BMS4 | 1625 11          | 11/05/08            | 06/30/11  | \$1,625.11                       | \$1,653.49                             | \$-28.38         |
| FEDERAL NATL MTG ASSN            | 31415XER8 | 605 21           | 10/21/08            | 06/30/11  | \$605.21                         | \$618.26                               | \$-13.05         |
| AMERISOURCEBERGEN CORP           | 03073E105 | 2                | 03/23/10            | 06/30/11  | \$82.97                          | \$57.97                                | \$25.00          |
| FEDERAL NATL MTG ASSN            | 31368HNG4 | 845 03           | 10/24/08            | 06/30/11  | \$845.03                         | \$858.79                               | \$-13.76         |
| DEXCOM INC                       | 252131107 | 102              | 06/18/10            | 07/01/11  | \$1,486.23                       | \$1,175.75                             | \$310.48         |
| ARUBA NETWORKS INC               | 043176106 | 31               | 06/18/10            | 07/01/11  | \$920.11                         | \$483.91                               | \$436.20         |
| ALEXION PHARMACEUTICALS INC      | 015351109 | 34               | 05/21/08            | 07/01/11  | \$1,606.38                       | \$581.06                               | \$1,025.32       |
| DEXCOM INC                       | 252131107 | 7                | 06/18/10            | 07/05/11  | \$102.76                         | \$80.69                                | \$22.07          |
| HCC INS HLDGS INC                | 404132102 | 7                | 03/23/10            | 07/06/11  | \$218.98                         | \$199.99                               | \$18.99          |
| HCC INS HLDGS INC                | 404132102 | 25               | 03/23/10            | 07/06/11  | \$782.07                         | \$714.25                               | \$67.82          |
| KOMATSU LTD ADR (NEW)            | 500458401 | 35               | 06/07/07            | 07/07/11  | \$1,107.02                       | \$1,025.94                             | \$81.08          |
| TJX COS INC NEW                  | 872540109 | 28               | 04/06/10            | 07/08/11  | \$1,539.80                       | \$1,252.52                             | \$287.28         |
| PETROLEO BRASILEIRO SA PETROBRAS | 71654V408 | 208              | 06/07/07            | 07/08/11  | \$6,974.85                       | \$5,702.84                             | \$1,272.01       |
| TALISMAN ENERGY INC              | 87425E103 | 268              | 09/08/09            | 07/11/11  | \$5,165.58                       | \$4,450.36                             | \$715.22         |
| CELGENE CORP COM                 | 151020104 | 47               | 04/26/10            | 07/12/11  | \$2,879.69                       | \$2,801.67                             | \$78.02          |
| COCA-COLA ENTERPRISES INC        | 19122T109 | 18               | 05/28/10            | 07/13/11  | \$518.10                         | \$397.44                               | \$120.66         |
| COCA-COLA ENTERPRISES INC        | 19122T109 | 60               | 05/28/10            | 07/13/11  | \$1,727.01                       | \$1,324.80                             | \$402.21         |
| PETROHAWK ENERGY CORP            | 716495106 | 15               | 02/10/10            | 07/15/11  | \$572.69                         | \$323.10                               | \$249.59         |
| PETROHAWK ENERGY CORP            | 716495106 | 113              | 03/23/10            | 07/15/11  | \$4,314.25                       | \$2,246.44                             | \$2,067.81       |
| COLUMBUS MCKINNON CORP           | 199333105 | 1                | 10/21/09            | 07/20/11  | \$17.21                          | \$15.81                                | \$1.40           |
| COLUMBUS MCKINNON CORP           | 199333105 | 1                | 10/21/09            | 07/20/11  | \$17.22                          | \$15.81                                | \$1.41           |
| ALLIANT TECHSYSTEMS INC          | 018804104 | 2                | 02/10/10            | 07/20/11  | \$136.33                         | \$154.48                               | \$-18.15         |
| ANNALY MTG MGMT INC              | 035710409 | 34               | 07/09/10            | 07/20/11  | \$610.63                         | \$612.00                               | \$-1.37          |
| COLUMBUS MCKINNON CORP           | 199333105 | 1                | 10/21/09            | 07/21/11  | \$17.23                          | \$15.81                                | \$1.42           |
| ENCANA CORP                      | 292505104 | 110              | 04/22/09            | 07/21/11  | \$3,460.83                       | \$2,541.62                             | \$919.21         |



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## 2011 Tax Information Letter

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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COLUMBUS MCKINNON CORP               | 199333105 | 2                | 10/21/09            | 07/21/11  | \$34 36                          | \$31 63                                | \$2 73           |
| COLUMBUS MCKINNON CORP               | 199333105 | 4                | 10/21/09            | 07/22/11  | \$68 00                          | \$63 25                                | \$4 75           |
| COLUMBUS MCKINNON CORP               | 199333105 | 1                | 10/21/09            | 07/22/11  | \$17 18                          | \$15 81                                | \$1 37           |
| COLUMBUS MCKINNON CORP               | 199333105 | 5                | 10/21/09            | 07/22/11  | \$85 05                          | \$79 06                                | \$5 99           |
| COLUMBUS MCKINNON CORP               | 199333105 | 1                | 10/21/09            | 07/25/11  | \$17 02                          | \$15 81                                | \$1 21           |
| COLUMBUS MCKINNON CORP               | 199333105 | 2                | 10/21/09            | 07/25/11  | \$34 10                          | \$31 63                                | \$2 47           |
| COLUMBUS MCKINNON CORP               | 199333105 | 2                | 10/21/09            | 07/26/11  | \$33 20                          | \$31 63                                | \$1 57           |
| COCA-COLA ENTERPRISES INC            | 19122T109 | 10               | 06/08/10            | 07/27/11  | \$293 79                         | \$220 80                               | \$72 99          |
| COCA-COLA ENTERPRISES INC            | 19122T109 | 17               | 06/08/10            | 07/27/11  | \$499 44                         | \$375 36                               | \$124 08         |
| NISOURCE INC                         | 65473P105 | 59               | 04/26/10            | 07/27/11  | \$1,206 51                       | \$985 30                               | \$221 21         |
| COCA-COLA ENTERPRISES INC            | 19122T109 | 31               | 05/28/10            | 07/27/11  | \$910 73                         | \$684 48                               | \$226 25         |
| ANNALY MTG MGMT INC                  | 035710409 | 60               | 07/09/10            | 07/27/11  | \$1,042 29                       | \$1,080 00                             | \$-37 71         |
| COLUMBUS MCKINNON CORP               | 199333105 | 3                | 10/21/09            | 07/27/11  | \$49 50                          | \$47 44                                | \$2 06           |
| CENTERPOINT ENERGY INC               | 15189T107 | 26               | 03/23/10            | 07/28/11  | \$518 90                         | \$370 76                               | \$148 14         |
| CENTERPOINT ENERGY INC               | 15189T107 | 47               | 03/23/10            | 07/28/11  | \$938 02                         | \$670 22                               | \$267 80         |
| CENTERPOINT ENERGY INC               | 15189T107 | 39               | 03/23/10            | 07/28/11  | \$778 35                         | \$556 14                               | \$222 21         |
| COLUMBUS MCKINNON CORP               | 199333105 | 2                | 10/21/09            | 07/28/11  | \$33 14                          | \$31 63                                | \$1 51           |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 13               | 08/28/08            | 07/29/11  | \$904 63                         | \$857 70                               | \$46 93          |
| ANNALY MTG MGMT INC                  | 035710409 | 41               | 07/09/10            | 07/29/11  | \$683 19                         | \$738 00                               | \$-54 81         |
| ANNALY MTG MGMT INC                  | 035710409 | 49               | 07/09/10            | 07/29/11  | \$816 49                         | \$882 00                               | \$-65 51         |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 37               | 06/07/07            | 07/29/11  | \$2,574 71                       | \$3,162 07                             | \$-587 36        |
| COLUMBUS MCKINNON CORP               | 199333105 | 2                | 10/21/09            | 07/29/11  | \$32 75                          | \$31 63                                | \$1 12           |
| FEDERAL NATL MTG ASSN                | 31368HNG4 | 848 12           | 10/24/08            | 07/31/11  | \$848 12                         | \$861 94                               | \$-13 82         |
| FEDERAL NATL MTG ASSN                | 31416BMS4 | 2038 5           | 11/05/08            | 07/31/11  | \$2,038 50                       | \$2,074 09                             | \$-35 59         |
| FEDERAL NATL MTG ASSN                | 31410FUS2 | 1943 49          | 01/15/08            | 07/31/11  | \$1,943 49                       | \$1,971 28                             | \$-27 79         |
| FEDERAL NATIONAL MORTGAGE            | 31403C6L0 | 1409 19          | 07/10/09            | 07/31/11  | \$1,409 19                       | \$1,438 11                             | \$-28 92         |



IM NORTHROP GRUMMAN FDN

**Long term transactions**

This category includes sales of assets held more than 12 months.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL NATL MTG ASSN        | 31415XER8 | 506 57           | 10/21/08            | 07/31/11  | \$506 57                         | \$517 49                               | \$-10 92         |
| FEDERAL NATL MTG ASSN        | 31412NBF2 | 108 6            | 12/08/08            | 07/31/11  | \$108 60                         | \$108 60                               | \$0 00           |
| NISOURCE INC                 | 65473P105 | 4                | 04/26/10            | 08/01/11  | \$80 51                          | \$66 80                                | \$13 71          |
| NISOURCE INC                 | 65473P105 | 31               | 04/26/10            | 08/01/11  | \$623 94                         | \$517 70                               | \$106 24         |
| NISOURCE INC                 | 65473P105 | 35               | 03/23/10            | 08/01/11  | \$704 44                         | \$551 95                               | \$152 49         |
| BAYERISCHE MOTOREN WERKE A G | 072743206 | 90               | 01/29/10            | 08/03/11  | \$2,817 62                       | \$1,278 58                             | \$1,539 04       |
| ECHOSTAR HLDG CORP CL A      | 278768106 | 20               | 03/23/10            | 08/03/11  | \$628 28                         | \$393 88                               | \$234 40         |
| WAL MART STORES INC          | 931142103 | 19               | 10/12/08            | 08/04/11  | \$963 17                         | \$1,022 39                             | \$-59 22         |
| NOVO-NORDISK A S ADR         | 670100205 | 25               | 06/07/07            | 08/04/11  | \$2,821 60                       | \$1,288 88                             | \$1,532 72       |
| MCDONALDS CORP               | 580135101 | 70               | 02/02/10            | 08/04/11  | \$5,964 11                       | \$4,479 30                             | \$1,484 81       |
| WAL MART STORES INC          | 931142103 | 153              | 02/02/10            | 08/04/11  | \$7,756 05                       | \$8,196 21                             | \$-440 16        |
| APPLE COMPUTER INC           | 037833100 | 2                | 04/26/10            | 08/05/11  | \$753 36                         | \$540 06                               | \$213 30         |
| COLUMBUS MCKINNON CORP       | 199333105 | 31               | 07/28/10            | 08/05/11  | \$455 36                         | \$487 85                               | \$-32 49         |
| COLUMBUS MCKINNON CORP       | 199333105 | 18               | 12/01/09            | 08/05/11  | \$264 40                         | \$284 54                               | \$-20 14         |
| COLUMBUS MCKINNON CORP       | 199333105 | 23               | 10/21/09            | 08/05/11  | \$337 85                         | \$363 69                               | \$-25 84         |
| IMAX CORP                    | 45245E109 | 86               | 01/04/10            | 08/05/11  | \$1,434 40                       | \$1,175 18                             | \$259 22         |
| BROADSOFT INC                | 11133B409 | 42               | 06/18/10            | 08/05/11  | \$1,012 16                       | \$352 96                               | \$659 20         |
| ARUBA NETWORKS INC           | 043176106 | 132              | 06/18/10            | 08/05/11  | \$2,755 29                       | \$2,060 52                             | \$694 77         |
| COOPER TIRE & RUBBER CO      | 216831107 | 22               | 06/02/10            | 08/05/11  | \$262 09                         | \$448 19                               | \$-186 10        |
| CONCUR TECHNOLOGIES INC      | 206708109 | 1                | 06/18/10            | 08/05/11  | \$40 76                          | \$44 96                                | \$-4 20          |
| TEMPUR PEDIC INTL INC        | 88023U101 | 3                | 06/18/10            | 08/05/11  | \$192 21                         | \$105 19                               | \$87 02          |
| NETSCOUT SYS INC             | 64115T104 | 38               | 06/18/10            | 08/05/11  | \$523 80                         | \$541 91                               | \$-18 11         |
| FANUC LTD                    | 307305102 | 55               | 07/30/10            | 08/05/11  | \$1,556 53                       | \$1,098 45                             | \$458 08         |
| COLUMBUS MCKINNON CORP       | 199333105 | 23               | 12/16/09            | 08/05/11  | \$337 85                         | \$347 34                               | \$-9 49          |
| COCA-COLA ENTERPRISES INC    | 19122T109 | 18               | 07/12/10            | 08/10/11  | \$446 67                         | \$397 44                               | \$49 23          |
| COCA-COLA ENTERPRISES INC    | 19122T109 | 16               | 07/12/10            | 08/10/11  | \$397 04                         | \$353 28                               | \$43 76          |

### Long term transactions

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| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| COCA-COLA ENTERPRISES INC           | 19122T109 | 13               | 06/08/10            | 08/10/11  | \$322 60                         | \$287 04                               | \$35 56          |
| RAYONIER INC                        | 754907103 | 17               | 04/26/10            | 08/10/11  | \$979 18                         | \$849 91                               | \$129 27         |
| RAYONIER INC                        | 754907103 | 18               | 04/26/10            | 08/10/11  | \$1,036 78                       | \$899 91                               | \$136 87         |
| ANNALY MTG MGMT INC                 | 035710409 | 25               | 07/09/10            | 08/10/11  | \$439 21                         | \$450 00                               | \$-10 79         |
| ANNALY MTG MGMT INC                 | 035710409 | 9                | 08/08/10            | 08/10/11  | \$158 12                         | \$161 81                               | \$-3 69          |
| ANNALY MTG MGMT INC                 | 035710409 | 6                | 07/09/10            | 08/10/11  | \$105 41                         | \$108 00                               | \$-2 59          |
| DRESSER-RAND GROUP INC              | 261608103 | 8                | 03/23/10            | 08/12/11  | \$308 42                         | \$256 08                               | \$52 34          |
| DRESSER-RAND GROUP INC              | 261608103 | 26               | 02/10/10            | 08/12/11  | \$1,002 36                       | \$790 66                               | \$211 70         |
| DRESSER-RAND GROUP INC              | 261608103 | 21               | 03/29/10            | 08/12/11  | \$809 60                         | \$658 86                               | \$150 74         |
| INTERNATIONAL PWR PLC SPONSORED     | 46018M104 | 25               | 10/13/08            | 08/12/11  | \$1,175 15                       | \$1,170 82                             | \$4 33           |
| DRESSER-RAND GROUP INC              | 261608103 | 14               | 03/04/10            | 08/12/11  | \$539 73                         | \$432 05                               | \$107 68         |
| DRESSER-RAND GROUP INC              | 261608103 | 15               | 03/08/10            | 08/12/11  | \$578 29                         | \$470 89                               | \$107 40         |
| ANNALY MTG MGMT INC                 | 035710409 | 16               | 08/08/10            | 08/15/11  | \$293 10                         | \$287 66                               | \$5 44           |
| COCA-COLA ENTERPRISES INC           | 19122T109 | 35               | 07/30/10            | 08/15/11  | \$937 47                         | \$772 80                               | \$164 67         |
| ANNALY MTG MGMT INC                 | 035710409 | 17               | 08/08/10            | 08/15/11  | \$311 42                         | \$305 63                               | \$5 79           |
| COCA-COLA ENTERPRISES INC           | 19122T109 | 67               | 07/12/10            | 08/15/11  | \$1,794 59                       | \$1,479 36                             | \$315 23         |
| MONEY MARKET - STIFEL NICOLAUS 1099 | 860630102 | 51               | 05/20/09            | 08/16/11  | \$1,473 39                       | \$1,481 55                             | \$-8 16          |
| HALOZYME THERAPEUTICS INC           | 40637H109 | 163              | 07/12/10            | 08/16/11  | \$1,053 45                       | \$1,143 69                             | \$-90 24         |
| MONEY MARKET - STIFEL NICOLAUS 1099 | 860630102 | 15               | 11/18/08            | 08/16/11  | \$433 35                         | \$383 28                               | \$50 07          |
| CF INDS HLDGS INC                   | 125269100 | 1                | 05/19/10            | 08/16/11  | \$168 05                         | \$71 00                                | \$97 05          |
| ICON PUB LTD CO SPONSORED ADR       | 45103T107 | 35               | 12/16/09            | 08/16/11  | \$679 96                         | \$760 55                               | \$-80 59         |
| BROADSOFT INC                       | 11133B409 | 18               | 06/18/10            | 08/16/11  | \$540 84                         | \$151 27                               | \$389 57         |
| CENTRICA PLC SPONSORED ADR NEW      | 15639K300 | 56               | 04/27/10            | 08/19/11  | \$1,100 98                       | \$1,083 45                             | \$17 53          |
| PRAXAIR INC                         | 74005P104 | 33               | 07/01/10            | 08/22/11  | \$2,962 62                       | \$2,539 02                             | \$423 60         |
| PRAXAIR INC                         | 74005P104 | 37               | 02/09/10            | 08/22/11  | \$3,321 72                       | \$2,780 18                             | \$541 54         |
| PRAXAIR INC                         | 74005P104 | 90               | 05/17/07            | 08/22/11  | \$8,079 87                       | \$6,120 85                             | \$1,959 02       |



# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PRAXAIR INC                         | 74005P104 | 8                | 05/16/07            | 08/22/11  | \$718 21                         | \$540 78                               | \$177 43         |
| PRAXAIR INC                         | 74005P104 | 32               | 07/09/10            | 08/22/11  | \$2,872 84                       | \$2,600 50                             | \$272 34         |
| PRAXAIR INC                         | 74005P104 | 19               | 12/14/06            | 08/22/11  | \$1,705 75                       | \$1,184 11                             | \$521 64         |
| LINCOLN NATIONAL CORP               | 534187109 | 37               | 06/15/10            | 08/22/11  | \$709 15                         | \$1,029 04                             | \$-319 89        |
| GOLDMAN SACHS GROUP INC             | 38141G104 | 6                | 03/23/10            | 08/22/11  | \$669 85                         | \$1,047 78                             | \$-377 93        |
| PRAXAIR INC                         | 74005P104 | 197              | 12/14/06            | 08/23/11  | \$18,041 23                      | \$12,277 33                            | \$5,763 90       |
| FBR CAP MKTS CORP                   | 30247C301 | 9                | 11/04/09            | 08/24/11  | \$22 61                          | \$56 98                                | \$-34 37         |
| ISHARES TR IBOX \$ HIGH YIELD CORP  | 464288513 | 275              | 04/26/10            | 08/25/11  | \$23,462 03                      | \$24,630 49                            | \$-1,168 46      |
| ALLIANZ GLOBAL INVS MANAGED         | 01882B304 | 4950             | 01/01/51            | 08/26/11  | \$52,173 00                      | \$56,358 98                            | \$-4,185 98      |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 7                | 01/04/10            | 08/30/11  | \$466 09                         | \$180 77                               | \$285 32         |
| FEDERAL NATL MTG ASSN               | 31412NBF2 | 2659 88          | 12/08/08            | 08/30/11  | \$2,959 11                       | \$2,959 11                             | \$0 00           |
| FEDERAL NATL MTG ASSN               | 31415XER8 | 4447 95          | 10/21/08            | 08/30/11  | \$4,948 34                       | \$4,948 34                             | \$0 00           |
| CF INDS HLDGS INC                   | 125269100 | 4                | 05/19/10            | 08/31/11  | \$730 15                         | \$284 00                               | \$446 15         |
| CENTERPOINT ENERGY INC              | 15189T107 | 28               | 03/23/10            | 08/31/11  | \$562 15                         | \$399 28                               | \$162 87         |
| FEDERAL NATL MTG ASSN               | 31410FUS2 | 2246 52          | 01/15/08            | 08/31/11  | \$2,246 52                       | \$2,278 64                             | \$-32 12         |
| NESTLE S A ADR REG                  | 641069406 | 65               | 01/01/51            | 08/31/11  | \$3,979 48                       | \$1,830 62                             | \$2,148 86       |
| FEDERAL NATL MTG ASSN               | 31416BMS4 | 1773 73          | 11/05/08            | 08/31/11  | \$1,773 73                       | \$1,804 70                             | \$-30 97         |
| FEDERAL NATL MTG ASSN               | 31368HNG4 | 964 88           | 10/24/08            | 08/31/11  | \$964 88                         | \$980 60                               | \$-15 72         |
| FEDERAL NATIONAL MORTGAGE           | 31403C6L0 | 1544 89          | 07/10/09            | 08/31/11  | \$1,544 89                       | \$1,575 55                             | \$-30 66         |
| FEDERAL NATL MTG ASSN               | 31415XER8 | 373 33           | 10/21/08            | 08/31/11  | \$373 33                         | \$373 33                               | \$0 00           |
| ECHOSTAR HLDG CORP CL A             | 278768106 | 35               | 03/23/10            | 09/01/11  | \$840 37                         | \$689 30                               | \$151 07         |
| ECHOSTAR HLDG CORP CL A             | 278768106 | 19               | 04/26/10            | 09/01/11  | \$456 20                         | \$372 21                               | \$83 99          |
| ECHOSTAR HLDG CORP CL A             | 278768106 | 19               | 02/10/10            | 09/01/11  | \$456 20                         | \$357 58                               | \$98 62          |
| ECHOSTAR HLDG CORP CL A             | 278768106 | 41               | 02/10/10            | 09/01/11  | \$984 44                         | \$771 62                               | \$212 82         |
| ECHOSTAR HLDG CORP CL A             | 278768106 | 5                | 02/10/10            | 09/01/11  | \$120 05                         | \$94 10                                | \$25 95          |
| LINCOLN NATIONAL CORP               | 534187109 | 47               | 02/09/10            | 09/07/11  | \$908 48                         | \$1,155 26                             | \$-246 78        |



IM NORTHROP GRUMMAN FDN

### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| SHIRE PHARMACEUTICALS GROUP PLC | 82481R106 | 45               | 06/28/07            | 09/07/11  | \$4,273 79                       | \$3,099 83                             | \$1,173 96       |
| LINCOLN NATIONAL CORP           | 534187109 | 45               | 07/01/10            | 09/07/11  | \$869 83                         | \$1,066 05                             | \$-196 22        |
| LINCOLN NATIONAL CORP           | 534187109 | 143              | 06/15/10            | 09/07/11  | \$2,764 11                       | \$3,977 10                             | \$-1,212 99      |
| LINCOLN NATIONAL CORP           | 534187109 | 300              | 02/02/10            | 09/07/11  | \$5,798 82                       | \$7,851 00                             | \$-2,052 18      |
| RAYONIER INC                    | 754907103 | 0 5              | 04/26/10            | 09/07/11  | \$20 47                          | \$16 66                                | \$3 81           |
| ECHOSTAR HLDG CORP CL A         | 278768106 | 55               | 02/10/10            | 09/07/11  | \$1,317 54                       | \$1,035 10                             | \$282 44         |
| HCC INS HLDGS INC               | 404132102 | 54               | 03/23/10            | 09/07/11  | \$1,520 69                       | \$1,542 78                             | \$-22 09         |
| SEMPRA ENERGY                   | 816851109 | 3                | 08/26/10            | 09/12/11  | \$149 61                         | \$154 32                               | \$-4 71          |
| SEMPRA ENERGY                   | 816851109 | 3                | 08/26/10            | 09/12/11  | \$149 61                         | \$154 32                               | \$-4 71          |
| CF INDS HLDGS INC               | 125269100 | 3                | 05/19/10            | 09/12/11  | \$521 56                         | \$213 00                               | \$308 56         |
| CF INDS HLDGS INC               | 125269100 | 4                | 05/19/10            | 09/12/11  | \$695 42                         | \$284 00                               | \$411 42         |
| NISOURCE INC                    | 65473P105 | 41               | 03/23/10            | 09/13/11  | \$865 55                         | \$646 57                               | \$218 98         |
| EXPEDIA INC DEL                 | 30212P105 | 5                | 05/26/10            | 09/14/11  | \$145 49                         | \$109 00                               | \$36 49          |
| EXPEDIA INC DEL                 | 30212P105 | 42               | 05/26/10            | 09/14/11  | \$1,222 16                       | \$915 64                               | \$306 52         |
| NISOURCE INC                    | 65473P105 | 8                | 03/23/10            | 09/15/11  | \$175 97                         | \$126 16                               | \$49 81          |
| NISOURCE INC                    | 65473P105 | 55               | 03/23/10            | 09/15/11  | \$1,209 76                       | \$867 35                               | \$342 41         |
| CENTERPOINT ENERGY INC          | 15189T107 | 67               | 02/10/10            | 09/16/11  | \$1,333 29                       | \$927 95                               | \$405 34         |
| CENTERPOINT ENERGY INC          | 15189T107 | 66               | 02/10/10            | 09/16/11  | \$1,323 98                       | \$914 10                               | \$409 88         |
| CENTERPOINT ENERGY INC          | 15189T107 | 5                | 03/23/10            | 09/16/11  | \$100 30                         | \$71 30                                | \$29 00          |
| RAYONIER INC                    | 754907103 | 2                | 04/26/10            | 09/20/11  | \$81 53                          | \$66 66                                | \$14 87          |
| RAYONIER INC                    | 754907103 | 5                | 06/14/10            | 09/20/11  | \$203 82                         | \$154 37                               | \$49 45          |
| NISOURCE INC                    | 65473P105 | 16               | 03/23/10            | 09/20/11  | \$363 89                         | \$252 32                               | \$111 57         |
| NISOURCE INC                    | 65473P105 | 34               | 02/17/10            | 09/20/11  | \$773 27                         | \$509 66                               | \$263 61         |
| NISOURCE INC                    | 65473P105 | 12               | 02/10/10            | 09/20/11  | \$272 92                         | \$177 72                               | \$95 20          |
| RAYONIER INC                    | 754907103 | 29               | 04/26/10            | 09/20/11  | \$1,182 17                       | \$966 57                               | \$215 60         |
| NIDEC CORP SPONSORED ADR        | 654090109 | 25               | 08/29/08            | 09/23/11  | \$493 81                         | \$418 57                               | \$75 24          |

### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|---------------------------------------|------------------|
| NOVO-NORDISK A S ADR               | 670100205 | 10               | 06/07/07            | 09/23/11  | \$975 38                         | \$515 55                              | \$459 83         |
| FEDERAL HOME LN MTG CORP NOTES     | 3134A4TZ7 | 1000             | 03/04/09            | 09/23/11  | \$1,074 15                       | \$1,083 74                            | \$-9 59          |
| FEDERAL HOME LN MTG CORP NOTES     | 3134A4TZ7 | 2000             | 04/26/10            | 09/23/11  | \$2,148 30                       | \$2,163 61                            | \$-15 31         |
| FEDERAL HOME LN MTG CORP NOTES     | 3134A4TZ7 | 2000             | 08/14/08            | 09/23/11  | \$2,148 30                       | \$2,037 69                            | \$110 61         |
| REED ELSEVIER P L C SPONSORED ADR  | 758205207 | 25               | 07/01/08            | 09/23/11  | \$743 23                         | \$1,109 78                            | \$-366 55        |
| ROCHE HLDG LTD SPONSORED ADR       | 771195104 | 20               | 01/01/51            | 09/23/11  | \$750 38                         | \$636 84                              | \$113 54         |
| SHIRE PHARMACEUTICALS GROUP PLC    | 82481R106 | 5                | 06/28/07            | 09/23/11  | \$447 64                         | \$344 42                              | \$103 22         |
| FEDERAL NATL MTG ASSN              | 31359MLS0 | 18000            | 04/22/09            | 09/23/11  | \$18,130 14                      | \$19,687 43                           | \$-1,557 29      |
| FEDERAL NATL MTG ASSN              | 31359MPF4 | 2000             | 04/25/08            | 09/23/11  | \$2,080 21                       | \$2,060 58                            | \$19 63          |
| FEDERAL HOME LN MTG CORP           | 3137EACC1 | 14000            | 07/29/09            | 09/23/11  | \$14,155 67                      | \$13,995 88                           | \$159 79         |
| SIGNATURE BK NEW YORK N Y          | 82669GI04 | 1                | 07/12/10            | 09/23/11  | \$47 33                          | \$38 54                               | \$8 79           |
| WPP PLC                            | 92933HI01 | 15               | 06/07/07            | 09/23/11  | \$686 08                         | \$1,080 54                            | \$-394 46        |
| GREAT LAKES DREDGE & DOCK CORP NEW | 390607109 | 2                | 08/26/09            | 09/23/11  | \$8 60                           | \$13 61                               | \$-5 01          |
| ICON PUB LTD CO SPONSORED ADR      | 45103TI07 | 6                | 12/17/09            | 09/23/11  | \$103 72                         | \$146 86                              | \$-43 14         |
| ICON PUB LTD CO SPONSORED ADR      | 45103TI07 | 1                | 01/01/10            | 09/23/11  | \$17 29                          | \$23 16                               | \$-5 87          |
| BNP PARIBAS SPONSORED ADR          | 05565A202 | 25               | 11/21/07            | 09/23/11  | \$413 74                         | \$1,274 34                            | \$-860 60        |
| BAYER A G SPONSORED ADR            | 072730302 | 5                | 06/07/07            | 09/23/11  | \$260 24                         | \$350 44                              | \$-90 20         |
| BAYERISCHE MOTOREN WERKE A G       | 072743206 | 20               | 01/29/10            | 09/23/11  | \$460 99                         | \$284 13                              | \$176 86         |
| LULULEMON ATHLETICA INC            | 550021109 | 1                | 05/28/10            | 09/23/11  | \$55 04                          | \$20 22                               | \$34 82          |
| BHP LTD SPONSORED ADR              | 088606108 | 10               | 06/07/07            | 09/23/11  | \$676 98                         | \$555 40                              | \$121 58         |
| BUNZL PLC                          | 120738406 | 15               | 08/28/08            | 09/23/11  | \$890 08                         | \$967 15                              | \$-77 07         |
| TIBCO SOFTWARE INC                 | 88632Q103 | 5                | 09/02/10            | 09/23/11  | \$108 81                         | \$73 46                               | \$35 35          |
| VERIFONE HLDGS INC                 | 92342Y109 | 1                | 07/28/10            | 09/23/11  | \$37 04                          | \$21 84                               | \$15 20          |
| TAIWAN SEMICONDUCTOR MFG ADR       | 874039100 | 61               | 06/07/07            | 09/23/11  | \$697 21                         | \$651 99                              | \$45 22          |
| TESCO PLC SPONSORED ADR            | 881575302 | 35               | 02/04/08            | 09/23/11  | \$588 68                         | \$858 12                              | \$-269 44        |
| TOTAL S A SPONSORED ADR            | 89151E109 | 15               | 01/01/51            | 09/23/11  | \$614 53                         | \$849 00                              | \$-234 47        |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                          | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|--------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| TOYOTA MOTORS CORP ADR 2             | 892331307 | 10               | 05/10/07            | 09/23/11  | \$672 38                         | \$1,203 37                             | \$-530 99        |
| VIMPELCOM LTD                        | 92719A106 | 30               | 06/07/07            | 09/23/11  | \$291 89                         | \$586 38                               | \$-294 49        |
| VODAFONE GROUP PLC NEW SPONSORED     | 92857W209 | 25               | 10/10/08            | 09/23/11  | \$621 48                         | \$467 48                               | \$154 00         |
| CONCUR TECHNOLOGIES INC              | 206708109 | 2                | 07/15/10            | 09/23/11  | \$71 73                          | \$90 99                                | \$-19 26         |
| AMERICAN ELECTRIC POWER INC          | 025537101 | 24               | 02/02/10            | 09/23/11  | \$896 87                         | \$841 92                               | \$54 95          |
| AMGEN INC                            | 031162100 | 17               | 01/08/51            | 09/23/11  | \$934 81                         | \$986 97                               | \$-52 16         |
| AMGEN INC                            | 031162100 | 1                | 03/02/07            | 09/23/11  | \$54 99                          | \$57 98                                | \$-2 99          |
| FEDERAL NATL MTG ASSN                | 31398ASD5 | 3000             | 04/26/10            | 09/23/11  | \$3,187 92                       | \$3,184 66                             | \$3 26           |
| FEDERAL NATL MTG ASSN                | 31398ASD5 | 2000             | 03/04/09            | 09/23/11  | \$2,125 28                       | \$2,114 76                             | \$10 52          |
| FEDERAL NATL MTG ASSN                | 31398ASD5 | 4000             | 06/11/08            | 09/23/11  | \$4,250 56                       | \$3,968 88                             | \$281 68         |
| UNITED STATES TREAS NTS              | 912828HY9 | 3000             | 04/26/10            | 09/23/11  | \$3,139 22                       | \$3,132 31                             | \$6 91           |
| UNITED STATES TREAS NTS              | 912828HY9 | 6000             | 10/21/08            | 09/23/11  | \$6,278 44                       | \$6,168 54                             | \$109 90         |
| UNITED STATES TREAS NT               | 912828JZ4 | 9000             | 02/23/09            | 09/23/11  | \$9,304 10                       | \$8,983 55                             | \$320 55         |
| TEMPUR PEDIC INTL INC                | 88023U101 | 1                | 06/18/10            | 09/23/11  | \$56 57                          | \$35 06                                | \$21 51          |
| EXXON MOBIL CORP                     | 30231GI02 | 7                | 02/02/10            | 09/23/11  | \$485 23                         | \$469 56                               | \$15 67          |
| GENERAL ELECTRIC CO                  | 369604103 | 26               | 11/16/50            | 09/23/11  | \$392 59                         | \$811 61                               | \$-419 02        |
| GENERAL ELECTRIC CO                  | 369604103 | 18               | 08/24/08            | 09/23/11  | \$271 79                         | \$553 42                               | \$-281 63        |
| UNITED STATES TREAS NT               | 912828KP4 | 13000            | 04/26/10            | 09/23/11  | \$13,105 12                      | \$13,070 63                            | \$34 49          |
| UNITED STATES TREAS NT               | 912828LW8 | 12000            | 10/19/09            | 09/23/11  | \$12,001 41                      | \$12,006 56                            | \$-5 15          |
| UNITED STATES TREAS NT               | 912828MG2 | 11000            | 01/13/10            | 09/23/11  | \$11,168 87                      | \$10,976 44                            | \$192 43         |
| UNITED STATES TREAS NT               | 912828MR8 | 4000             | 03/12/10            | 09/23/11  | \$4,258 44                       | \$3,994 84                             | \$263 60         |
| UNITED STATES TREAS NT               | 912828NV8 | 5000             | 09/09/10            | 09/23/11  | \$5,134 77                       | \$4,941 13                             | \$193 64         |
| NUVASIVE INC                         | 670704105 | 2                | 12/01/09            | 09/23/11  | \$38 66                          | \$62 85                                | \$-24 19         |
| FANUC LTD                            | 307305102 | 30               | 07/30/10            | 09/23/11  | \$688 48                         | \$599 15                               | \$89 33          |
| HUTCHISON WHAMPOA LTD ADR            | 448415208 | 3                | 06/07/07            | 09/23/11  | \$47 22                          | \$59 34                                | \$-12 12         |
| IMPERIAL TOB GROUP PLC SPONSORED ADR | 453142101 | 15               | 08/28/08            | 09/23/11  | \$958 03                         | \$989 65                               | \$-31 62         |



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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                     | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| INFOSYS TECHNOLOGIES LTD        | 456788108 | 10               | 04/23/08            | 09/23/11  | \$470 39                         | \$418 03                               | \$52 36          |
| INTERNATIONAL PWR PLC SPONSORED | 46018M104 | 10               | 10/13/08            | 09/23/11  | \$470 09                         | \$468 33                               | \$1 76           |
| KOMATSU LTD ADR (NEW)           | 500458401 | 20               | 06/07/07            | 09/23/11  | \$431 99                         | \$586 25                               | \$-154 26        |
| NESTLE S A ADR REG              | 641069406 | 10               | 01/01/51            | 09/23/11  | \$534 78                         | \$281 63                               | \$253 15         |
| PG & E CORP                     | 69331C108 | 17               | 02/02/10            | 09/23/11  | \$730 47                         | \$717 24                               | \$13 23          |
| PNC BANK CORP                   | 693475105 | 19               | 04/19/10            | 09/23/11  | \$890 13                         | \$1,236 29                             | \$-346 16        |
| PFIZER INC                      | 717081103 | 10               | 12/25/50            | 09/23/11  | \$173 90                         | \$366 07                               | \$-192 17        |
| PFIZER INC                      | 717081103 | 75               | 12/25/50            | 09/23/11  | \$1,304 23                       | \$2,741 02                             | \$-1,436 79      |
| PFIZER INC                      | 717081103 | 19               | 12/17/50            | 09/23/11  | \$330 40                         | \$687 81                               | \$-357 41        |
| PRUDENTIAL FINL INC             | 744320102 | 17               | 02/02/10            | 09/23/11  | \$742 21                         | \$881 96                               | \$-139 75        |
| US BANCORP DEL NEW              | 902973304 | 38               | 03/17/10            | 09/23/11  | \$871 70                         | \$993 10                               | \$-121 40        |
| WAL MART STORES INC             | 931142103 | 2                | 02/02/10            | 09/23/11  | \$101 28                         | \$107 14                               | \$-5 86          |
| WAL MART STORES INC             | 931142103 | 8                | 02/09/10            | 09/23/11  | \$405 11                         | \$426 88                               | \$-21 77         |
| JOHNSON AND JOHNSON             | 478160104 | 15               | 11/08/09            | 09/23/11  | \$921 13                         | \$987 16                               | \$-66 03         |
| JOHNSON AND JOHNSON             | 478160104 | 9                | 11/28/09            | 09/23/11  | \$552 68                         | \$585 48                               | \$-32 80         |
| DIEBOLD INC                     | 253651103 | 35               | 04/07/10            | 09/23/11  | \$942 53                         | \$1,134 09                             | \$-191 56        |
| MCDONALDS CORP                  | 580135101 | 12               | 02/02/10            | 09/23/11  | \$1,045 18                       | \$767 88                               | \$277 30         |
| MERCK & CO INC                  | 58933Y105 | 20               | 08/23/10            | 09/23/11  | \$617 59                         | \$693 60                               | \$-76 01         |
| METLIFE INC                     | 59156R108 | 12               | 12/28/50            | 09/23/11  | \$323 39                         | \$472 76                               | \$-149 37        |
| METLIFE INC                     | 59156R108 | 5                | 01/18/51            | 09/23/11  | \$134 75                         | \$194 35                               | \$-59 60         |
| METLIFE INC                     | 59156R108 | 2                | 01/25/51            | 09/23/11  | \$53 90                          | \$77 38                                | \$-23 48         |
| METLIFE INC                     | 59156R108 | 10               | 06/07/10            | 09/23/11  | \$269 49                         | \$385 91                               | \$-116 42        |
| MICROSOFT CORP                  | 594918104 | 13               | 11/30/09            | 09/23/11  | \$324 74                         | \$387 32                               | \$-62 58         |
| MICROSOFT CORP                  | 594918104 | 29               | 04/11/07            | 09/23/11  | \$724 42                         | \$839 49                               | \$-115 07        |
| NESTLE S A ADR REG              | 641069406 | 14               | 02/02/10            | 09/23/11  | \$751 92                         | \$678 02                               | \$73 90          |
| JUNIPER NETWORKS INC            | 48203R104 | 41               | 02/21/10            | 09/23/11  | \$786 05                         | \$1,444 81                             | \$-658 76        |



IM NORTHROP GRUMMAN FDN

### Long term transactions

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| Description                        | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| JUNIPER NETWORKS INC               | 48203R104 | 5                | 03/27/10            | 09/23/11  | \$95 86                          | \$171 56                               | \$-75 70         |
| EXPEDIA INC DEL                    | 30212P105 | 34               | 06/11/10            | 09/23/11  | \$917 84                         | \$688 36                               | \$229 48         |
| CENTRICA PLC SPONSORED ADR NEW     | 15639K300 | 30               | 04/27/10            | 09/23/11  | \$526 48                         | \$580 42                               | \$-53 94         |
| COCA-COLA HELLENIC BOTTLING CO S A | 1912EP104 | 15               | 06/07/07            | 09/23/11  | \$275 69                         | \$447 10                               | \$-171 41        |
| WELLS FARGO & CO (NEW)             | 949746101 | 32               | 01/28/51            | 09/23/11  | \$750 41                         | \$1,027 22                             | \$-276 81        |
| COVIDIEN PLC                       | G2554F113 | 14               | 02/02/10            | 09/23/11  | \$637 55                         | \$713 72                               | \$-76 17         |
| APPLE COMPUTER INC                 | 037833100 | 2                | 04/26/10            | 09/23/11  | \$809 74                         | \$540 06                               | \$269 68         |
| GILEAD SCIENCES INC                | 375558103 | 9                | 01/29/10            | 09/23/11  | \$345 23                         | \$429 26                               | \$-84 03         |
| GLOBAL PMTS INC                    | 37940X102 | 11               | 04/26/10            | 09/23/11  | \$439 99                         | \$501 82                               | \$-61 83         |
| RITCHIE BROS AUCTIONEERS INC       | 767744105 | 27               | 03/23/10            | 09/23/11  | \$559 42                         | \$590 22                               | \$-30 80         |
| AMDOCS LTD                         | G02602103 | 22               | 03/23/10            | 09/23/11  | \$596 62                         | \$672 52                               | \$-75 90         |
| VISTAPRINT NV                      | N93540107 | 10               | 01/16/10            | 09/23/11  | \$262 79                         | \$584 23                               | \$-321 44        |
| VISTAPRINT NV                      | N93540107 | 7                | 02/12/10            | 09/23/11  | \$183 96                         | \$382 55                               | \$-198 59        |
| APPLE COMPUTER INC                 | 037833100 | 2                | 04/26/10            | 09/26/11  | \$791 86                         | \$540 06                               | \$251 80         |
| FEDERAL NATL MTG ASSN              | 31415XER8 | 14360 51         | 10/21/08            | 09/27/11  | \$15,760 66                      | \$15,760 66                            | \$0 00           |
| FEDERAL NATL MTG ASSN              | 31416BMS4 | 31558 14         | 11/05/08            | 09/27/11  | \$34,743 54                      | \$34,743 54                            | \$0 00           |
| FEDERAL NATL MTG ASSN              | 31368HNG4 | 30797 19         | 10/24/08            | 09/27/11  | \$33,708 48                      | \$33,708 48                            | \$0 00           |
| ALLIANZ GLOBAL INV'S MANAGED       | 01882B304 | 7129             | 01/01/51            | 09/27/11  | \$74,498 05                      | \$81,168 32                            | \$-6,670 27      |
| FEDERAL NATL MTG ASSN              | 31416BMS4 | 1064 42          | 11/05/08            | 09/30/11  | \$1,064 42                       | \$1,083 01                             | \$-18 59         |
| TIBCO SOFTWARE INC                 | 88632Q103 | 45               | 09/02/10            | 09/30/11  | \$1,026 76                       | \$661 16                               | \$365 60         |
| FEDERAL NATIONAL MORTGAGE          | 31403C6L0 | 478 21           | 07/10/09            | 09/30/11  | \$478 21                         | \$478 21                               | \$0 00           |
| FEDERAL NATIONAL MORTGAGE          | 31403C6L0 | 1194 52          | 08/10/09            | 09/30/11  | \$1,194 52                       | \$1,194 52                             | \$0 00           |
| CONCUR TECHNOLOGIES INC            | 206708109 | 20               | 06/18/10            | 09/30/11  | \$754 41                         | \$899 28                               | \$-144 87        |
| CONCUR TECHNOLOGIES INC            | 206708109 | 1                | 07/15/10            | 09/30/11  | \$37 72                          | \$45 50                                | \$-7 78          |
| UNITED STATES TREAS NT             | 912828LW8 | 103000           | 10/19/09            | 09/30/11  | \$103,000 00                     | \$103,056 33                           | \$-56 33         |
| OMNIVISION TECHNOLOGIES INC        | 682128103 | 54               | 06/18/10            | 09/30/11  | \$770 29                         | \$1,250 25                             | \$-479 96        |



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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| OMNIVISION TECHNOLOGIES INC         | 682128103 | 18               | 07/28/10            | 09/30/11  | \$256 76                         | \$415 40                               | \$-158 64        |
| NISOURCE INC                        | 65473P105 | 118              | 02/10/10            | 09/30/11  | \$2,545 06                       | \$1,747 58                             | \$797 48         |
| FEDERAL NATL MTG ASSN               | 31410FUS2 | 1445 29          | 01/15/08            | 09/30/11  | \$1,445 29                       | \$1,465 95                             | \$-20 66         |
| DOLLAR THRIFTY AUTOMOTIVE GROUP INC | 256743105 | 27               | 01/04/10            | 09/30/11  | \$1,528 99                       | \$697 24                               | \$831 75         |
| CONCUR TECHNOLOGIES INC             | 206708109 | 20               | 11/18/08            | 09/30/11  | \$754 41                         | \$444 75                               | \$309 66         |
| CONCUR TECHNOLOGIES INC             | 206708109 | 9                | 02/11/09            | 09/30/11  | \$339 49                         | \$207 18                               | \$132 31         |
| STILLWATER MNG CO                   | 86074Q102 | 15               | 09/27/10            | 09/30/11  | \$127 61                         | \$252 71                               | \$-125 10        |
| STILLWATER MNG CO                   | 86074Q102 | 121              | 09/02/10            | 09/30/11  | \$1,029 35                       | \$1,727 63                             | \$-698 28        |
| RAYONIER INC                        | 754907103 | 29               | 06/14/10            | 10/04/11  | \$1,013 49                       | \$895 36                               | \$118 13         |
| BHP LTD SPONSORED ADR               | 088606108 | 53               | 06/07/07            | 10/05/11  | \$3,597 27                       | \$2,943 62                             | \$653 65         |
| VERIFONE HLDGS INC                  | 92342Y109 | 18               | 08/16/10            | 10/06/11  | \$650 67                         | \$389 34                               | \$261 33         |
| VERIFONE HLDGS INC                  | 92342Y109 | 46               | 07/28/10            | 10/06/11  | \$1,662 84                       | \$1,004 66                             | \$658 18         |
| AMAZON COM INC                      | 023135106 | 8                | 09/02/10            | 10/06/11  | \$1,762 42                       | \$1,080 28                             | \$682 14         |
| LULULEMON ATHLETICA INC             | 550021109 | 21               | 05/28/10            | 10/06/11  | \$1,091 87                       | \$424 59                               | \$667 28         |
| RITCHIE BROS AUCTIONEERS INC        | 767744105 | 16               | 03/12/10            | 10/06/11  | \$310 74                         | \$346 08                               | \$-35 34         |
| RITCHIE BROS AUCTIONEERS INC        | 767744105 | 5                | 03/23/10            | 10/06/11  | \$97 10                          | \$109 30                               | \$-12 20         |
| CSL LTD                             | 12637N105 | 325              | 02/23/09            | 10/18/11  | \$4,966 23                       | \$3,861 56                             | \$1,104 67       |
| ONYX PHARMACEUTICALS INC DEL        | 683399109 | 30               | 08/01/07            | 10/19/11  | \$1,047 30                       | \$841 10                               | \$206 20         |
| US BANCORP DEL NEW                  | 902973304 | 6                | 03/17/10            | 10/20/11  | \$144 94                         | \$156 81                               | \$-11 87         |
| US BANCORP DEL NEW                  | 902973304 | 619              | 02/02/10            | 10/20/11  | \$14,952 90                      | \$15,450 24                            | \$-497 34        |
| MCDONALDS CORP                      | 580135101 | 142              | 02/02/10            | 10/20/11  | \$12,581 37                      | \$9,086 58                             | \$3,494 79       |
| PEPSICO INC                         | 713448108 | 4                | 07/01/10            | 10/20/11  | \$248 05                         | \$245 32                               | \$2 73           |
| PNC BANK CORP                       | 693475105 | 151              | 02/02/10            | 10/20/11  | \$7,839 54                       | \$8,183 69                             | \$-344 15        |
| PNC BANK CORP                       | 693475105 | 26               | 07/01/10            | 10/20/11  | \$1,349 85                       | \$1,461 20                             | \$-111 35        |
| PNC BANK CORP                       | 693475105 | 21               | 01/19/10            | 10/20/11  | \$1,090 27                       | \$1,184 55                             | \$-94 28         |
| PNC BANK CORP                       | 693475105 | 17               | 03/23/10            | 10/20/11  | \$882 60                         | \$1,014 74                             | \$-132 14        |



# 2011 Tax Information Letter

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| PNC BANK CORP                | 693475105 | 5                | 02/15/10            | 10/20/11  | \$259.59                         | \$313.55                               | \$-53.96         |
| PNC BANK CORP                | 693475105 | 29               | 01/16/10            | 10/20/11  | \$1,505.61                       | \$1,822.99                             | \$-317.38        |
| PNC BANK CORP                | 693475105 | 12               | 01/16/10            | 10/20/11  | \$623.01                         | \$755.77                               | \$-132.76        |
| PNC BANK CORP                | 693475105 | 28               | 01/25/10            | 10/20/11  | \$1,453.69                       | \$1,775.52                             | \$-321.83        |
| OCCIDENTAL PETROLEUM CORP    | 674599105 | 4                | 06/20/08            | 10/20/11  | \$332.69                         | \$334.04                               | \$-1.35          |
| PNC BANK CORP                | 693475105 | 2                | 04/19/10            | 10/20/11  | \$103.83                         | \$130.14                               | \$-26.31         |
| HESS CORP                    | 42809H107 | 5                | 04/24/07            | 10/20/11  | \$284.72                         | \$287.36                               | \$-2.64          |
| HESS CORP                    | 42809H107 | 57               | 02/09/10            | 10/20/11  | \$3,245.85                       | \$3,290.61                             | \$-44.76         |
| HESS CORP                    | 42809H107 | 142              | 02/02/10            | 10/20/11  | \$8,086.13                       | \$8,679.04                             | \$-592.91        |
| CONOCOPHILLIPS               | 20825C104 | 77               | 04/15/10            | 10/20/11  | \$5,354.92                       | \$4,397.49                             | \$957.43         |
| APACHE CORP                  | 037411105 | 86               | 05/05/05            | 10/20/11  | \$7,695.61                       | \$5,476.95                             | \$2,218.66       |
| APACHE CORP                  | 037411105 | 35               | 07/01/10            | 10/20/11  | \$3,131.94                       | \$2,934.40                             | \$197.54         |
| APACHE CORP                  | 037411105 | 1                | 05/23/10            | 10/20/11  | \$89.48                          | \$85.36                                | \$4.12           |
| APACHE CORP                  | 037411105 | 10               | 02/09/10            | 10/20/11  | \$894.84                         | \$987.30                               | \$-92.46         |
| EZCORP INC CL A NON VTG      | 302301106 | 12               | 07/12/10            | 10/20/11  | \$325.69                         | \$236.30                               | \$89.39          |
| OCCIDENTAL PETROLEUM CORP    | 674599105 | 1                | 06/03/08            | 10/20/11  | \$83.17                          | \$84.31                                | \$-1.14          |
| OCCIDENTAL PETROLEUM CORP    | 674599105 | 3                | 05/29/08            | 10/20/11  | \$249.52                         | \$253.69                               | \$-4.17          |
| OCCIDENTAL PETROLEUM CORP    | 674599105 | 8                | 07/02/08            | 10/20/11  | \$665.38                         | \$688.14                               | \$-22.76         |
| V F CORP                     | 918204108 | 2                | 02/10/10            | 10/25/11  | \$269.22                         | \$145.08                               | \$124.14         |
| V F CORP                     | 918204108 | 8                | 03/23/10            | 10/25/11  | \$1,076.89                       | \$645.76                               | \$431.13         |
| FORTINET INC                 | 34959E109 | 160              | 09/02/10            | 10/26/11  | \$3,304.12                       | \$1,680.69                             | \$1,623.43       |
| SUCCESSFACTORS INC           | 864596101 | 9                | 10/10/10            | 10/26/11  | \$220.48                         | \$231.10                               | \$-10.62         |
| SUCCESSFACTORS INC           | 864596101 | 25               | 06/18/10            | 10/26/11  | \$612.44                         | \$565.56                               | \$46.88          |
| THOMPSON CREEK METALS CO INC | 884768102 | 77               | 09/10/10            | 10/26/11  | \$538.28                         | \$803.31                               | \$-265.03        |
| THOMPSON CREEK METALS CO INC | 884768102 | 164              | 09/02/10            | 10/26/11  | \$1,146.47                       | \$1,484.00                             | \$-337.53        |
| SUCCESSFACTORS INC           | 864596101 | 18               | 09/14/10            | 10/26/11  | \$440.96                         | \$419.64                               | \$21.32          |



IM NORTHROP GRUMMAN FDN

## 2011 Tax Information Letter

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### Long term transactions

This category includes sales of assets held more than 12 months.

| Description                  | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| AMDOCS LTD                   | G02602103 | 8                | 03/23/10            | 10/28/11  | \$246 64                         | \$267 16                               | \$-20 52         |
| AMDOCS LTD                   | G02602103 | 5                | 04/15/10            | 10/28/11  | \$154 15                         | \$163 12                               | \$-8 97          |
| AMDOCS LTD                   | G02602103 | 8                | 03/31/10            | 10/28/11  | \$246 64                         | \$266 96                               | \$-20 32         |
| AMDOCS LTD                   | G02602103 | 3                | 03/23/10            | 10/28/11  | \$92 49                          | \$100 19                               | \$-7 70          |
| EXPEDIA INC DEL              | 30212P105 | 36               | 06/11/10            | 10/28/11  | \$974 35                         | \$728 85                               | \$245 50         |
| EXPEDIA INC DEL              | 30212P105 | 9                | 06/11/10            | 10/28/11  | \$243 59                         | \$182 21                               | \$61 38          |
| AMDOCS LTD                   | G02602103 | 7                | 03/12/10            | 10/28/11  | \$215 81                         | \$221 43                               | \$-5 62          |
| AMDOCS LTD                   | G02602103 | 8                | 03/12/10            | 10/28/11  | \$246 64                         | \$253 07                               | \$-6 43          |
| ITT INDS INC IND             | 450911102 | 72               | 02/10/10            | 10/28/11  | \$3,186 39                       | \$3,481 92                             | \$-295 53        |
| ITT INDS INC IND             | 450911102 | 13               | 03/23/10            | 10/28/11  | \$575 32                         | \$688 45                               | \$-113 13        |
| AMDOCS LTD                   | G02602103 | 36               | 04/30/10            | 10/28/11  | \$1,109 88                       | \$1,145 43                             | \$-35 55         |
| BAYER A G SPONSORED ADR      | 072730302 | 79               | 04/27/09            | 10/31/11  | \$5,134 54                       | \$4,007 88                             | \$1,126 66       |
| FEDERAL NATIONAL MORTGAGE    | 31403C6L0 | 1823 47          | 08/10/09            | 10/31/11  | \$1,823 47                       | \$1,836 92                             | \$-13 45         |
| FEDERAL NATL MTG ASSN        | 31410FUS2 | 1369 36          | 01/15/08            | 10/31/11  | \$1,369 36                       | \$1,388 94                             | \$-19 58         |
| BAYER A G SPONSORED ADR      | 072730302 | 9                | 06/07/07            | 10/31/11  | \$584 95                         | \$630 79                               | \$-45 84         |
| FEDERAL NATL MTG ASSN        | 31416BMS4 | 934 28           | 11/05/08            | 10/31/11  | \$934 28                         | \$950 59                               | \$-16 31         |
| SANDISK CORP                 | 80004C101 | 2                | 07/30/10            | 11/01/11  | \$97 83                          | \$86 50                                | \$11 33          |
| BAYERISCHE MOTOREN WERKE A G | 072743206 | 97               | 01/29/10            | 11/03/11  | \$2,642 35                       | \$1,378 02                             | \$1,264 33       |
| ROSS STORES INC              | 778296103 | 7                | 03/23/10            | 11/07/11  | \$622 68                         | \$378 19                               | \$244 49         |
| ROSS STORES INC              | 778296103 | 5                | 04/26/10            | 11/07/11  | \$444 78                         | \$293 05                               | \$151 73         |
| SKYWORKS SOLUTIONS INC       | 83088M102 | 11               | 02/10/10            | 11/08/11  | \$229 72                         | \$153 23                               | \$76 49          |
| EXPEDIA INC DEL              | 30212P105 | 48               | 06/11/10            | 11/08/11  | \$1,350 59                       | \$971 81                               | \$378 78         |
| SKYWORKS SOLUTIONS INC       | 83088M102 | 40               | 02/10/10            | 11/08/11  | \$835 36                         | \$557 20                               | \$278 16         |
| VITAMIN SHOPPE INC           | 92849E101 | 43               | 04/07/10            | 11/10/11  | \$1,565 80                       | \$978 96                               | \$586 84         |
| WORLD FUEL SVCS CORP         | 981475106 | 4                | 07/28/10            | 11/10/11  | \$161 47                         | \$102 57                               | \$58 90          |
| BIS RESTAURANTS INC          | 09180C106 | 3                | 06/18/10            | 11/10/11  | \$148 96                         | \$77 15                                | \$71 81          |



IM NORTHROP GRUMMAN FDN

**Long term transactions**

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| Description                 | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-----------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| VITAMIN SHOPPE INC          | 92849E101 | 3                | 11/04/09            | 11/10/11  | \$109.24                         | \$57.48                                | \$51.76          |
| DIAMOND FOODS INC           | 252603105 | 17               | 10/28/10            | 11/10/11  | \$643.35                         | \$751.40                               | \$-108.05        |
| TEMPUR PEDIC INTL INC       | 88023U101 | 38               | 06/18/10            | 11/10/11  | \$2,478.00                       | \$1,332.39                             | \$1,145.61       |
| FEDERAL NATL MTG ASSN       | 31359MLS0 | 7000             | 04/26/10            | 11/15/11  | \$7,000.00                       | \$7,481.73                             | \$-481.73        |
| FEDERAL NATL MTG ASSN       | 31359MLS0 | 7000             | 03/31/09            | 11/15/11  | \$7,000.00                       | \$7,677.50                             | \$-677.50        |
| FEDERAL NATL MTG ASSN       | 31359MLS0 | 19000            | 02/09/10            | 11/15/11  | \$19,000.00                      | \$20,498.53                            | \$-1,498.53      |
| FEDERAL NATL MTG ASSN       | 31359MLS0 | 4000             | 03/23/10            | 11/15/11  | \$4,000.00                       | \$4,292.23                             | \$-292.23        |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 10               | 10/11/10            | 11/16/11  | \$216.45                         | \$300.45                               | \$-84.00         |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 5                | 10/29/10            | 11/16/11  | \$108.22                         | \$148.04                               | \$-39.82         |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 14               | 07/30/10            | 11/16/11  | \$303.03                         | \$476.75                               | \$-173.72        |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 16               | 05/05/10            | 11/16/11  | \$346.31                         | \$522.54                               | \$-176.23        |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 3                | 04/25/10            | 11/16/11  | \$64.94                          | \$96.57                                | \$-31.63         |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 11               | 10/11/10            | 11/16/11  | \$238.09                         | \$330.49                               | \$-92.40         |
| AMAZON COM INC              | 023135106 | 8                | 09/02/10            | 11/23/11  | \$1,521.59                       | \$1,080.28                             | \$441.31         |
| ALEXION PHARMACEUTICALS INC | 015351109 | 15               | 11/19/10            | 11/23/11  | \$975.02                         | \$561.28                               | \$413.74         |
| CELGENE CORP COM            | 151020104 | 27               | 11/19/10            | 11/23/11  | \$1,643.79                       | \$1,636.15                             | \$7.64           |
| ALEXION PHARMACEUTICALS INC | 015351109 | 48               | 11/19/10            | 11/25/11  | \$3,080.70                       | \$1,796.10                             | \$1,284.60       |
| F5 NETWORKS INC             | 315616102 | 75               | 11/19/10            | 11/25/11  | \$7,374.80                       | \$9,203.04                             | \$-1,828.24      |
| F5 NETWORKS INC             | 315616102 | 8                | 11/23/10            | 11/25/11  | \$786.64                         | \$1,029.16                             | \$-242.52        |
| CELGENE CORP COM            | 151020104 | 100              | 11/19/10            | 11/25/11  | \$6,079.13                       | \$6,059.83                             | \$19.30          |
| AMAZON COM INC              | 023135106 | 1                | 09/02/10            | 11/25/11  | \$183.48                         | \$135.03                               | \$48.45          |
| AMAZON COM INC              | 023135106 | 14               | 03/02/10            | 11/25/11  | \$2,568.70                       | \$1,773.32                             | \$795.38         |
| UNITED STATES TREAS NT      | 912828PD6 | 5000             | 11/09/10            | 11/28/11  | \$5,010.74                       | \$4,996.80                             | \$13.94          |
| LULULEMON ATHLETICA INC     | 550021109 | 38               | 05/28/10            | 11/28/11  | \$1,843.55                       | \$768.30                               | \$1,075.25       |
| OSHKOSH TRUCK CORP CL B     | 688239201 | 44               | 10/29/10            | 11/28/11  | \$850.49                         | \$1,302.72                             | \$-452.23        |
| FEDERAL HOME LN MTG CORP    | 3137EACC1 | 3000             | 07/29/09            | 11/28/11  | \$3,026.49                       | \$2,999.33                             | \$27.16          |



IM NORTHROP GRUMMAN FDN

### Long term transactions

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|-----------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| FEDERAL HOME LN MTG CORP          | 3137EACM9 | 4000             | 11/18/10            | 11/28/11  | \$4,113.11                       | \$4,013.77                             | \$99.34          |
| FEDERAL NATL MTG ASSN             | 31359MPF4 | 2000             | 04/25/08            | 11/28/11  | \$2,066.44                       | \$2,060.58                             | \$5.86           |
| FEDERAL HOME LN MTG CORP NOTES    | 3134A4TZ7 | 2000             | 08/14/08            | 11/28/11  | \$2,135.41                       | \$2,037.69                             | \$97.72          |
| UNITED STATES TREAS NT            | 912828MG2 | 3000             | 01/13/10            | 11/28/11  | \$3,040.43                       | \$2,994.44                             | \$45.99          |
| UNITED STATES TREAS NT            | 912828KP4 | 2000             | 04/26/10            | 11/28/11  | \$2,011.95                       | \$2,010.87                             | \$1.08           |
| TEMPUR PEDIC INTL INC             | 88023U101 | 3                | 05/20/10            | 11/28/11  | \$158.98                         | \$91.23                                | \$67.75          |
| TEMPUR PEDIC INTL INC             | 88023U101 | 35               | 06/18/10            | 11/28/11  | \$1,854.83                       | \$1,227.20                             | \$627.63         |
| ALLIANZ GLOBAL INVS MANAGED       | 01882B304 | 7031             | 01/01/51            | 11/28/11  | \$72,700.54                      | \$80,052.53                            | \$-7,351.99      |
| FEDERAL NATL MTG ASSN             | 31398ASD5 | 3000             | 06/11/08            | 11/28/11  | \$3,171.97                       | \$2,978.91                             | \$193.06         |
| UNITED STATES TREAS NT            | 912828JZ4 | 3000             | 02/23/09            | 11/28/11  | \$3,093.98                       | \$2,994.92                             | \$99.06          |
| IHS INC COM CL A                  | 451734107 | 23               | 04/26/10            | 11/29/11  | \$1,981.33                       | \$1,210.03                             | \$771.30         |
| FEDERAL NATL MTG ASSN             | 31416BMS4 | 40078.83         | 11/05/08            | 11/29/11  | \$44,237.01                      | \$44,237.01                            | \$0.00           |
| FEDERAL NATL MTG ASSN             | 31410FUS2 | 284.55           | 01/15/08            | 11/29/11  | \$308.56                         | \$308.56                               | \$0.00           |
| U S TREASURY BONDS 3 625% 4/15/28 | 912810FD5 | 1407.19          | 10/27/10            | 11/29/11  | \$2,107.49                       | \$1,917.04                             | \$190.45         |
| U S TREASURY BONDS 3 625% 4/15/28 | 912810FD5 | 9814.65          | 09/29/10            | 11/29/11  | \$14,698.97                      | \$13,125.20                            | \$1,573.77       |
| UNITED STATES TREAS NTS           | 912828AF7 | 1234.76          | 01/01/51            | 11/29/11  | \$1,261.58                       | \$1,235.89                             | \$25.69          |
| FEDERAL NATIONAL MORTGAGE         | 31403C6L0 | 2024.91          | 08/10/09            | 11/30/11  | \$2,024.91                       | \$2,038.41                             | \$-13.50         |
| FEDERAL NATL MTG ASSN             | 31410FUS2 | 1440.71          | 01/15/08            | 11/30/11  | \$1,440.71                       | \$1,461.31                             | \$-20.60         |
| CELGENE CORP COM                  | 151020104 | 306              | 11/19/10            | 12/05/11  | \$18,705.08                      | \$18,543.08                            | \$162.00         |
| ALEXION PHARMACEUTICALS INC       | 015351109 | 95               | 11/19/10            | 12/05/11  | \$6,177.28                       | \$3,554.78                             | \$2,622.50       |
| ALLERGAN INC                      | 018490102 | 65               | 11/19/10            | 12/05/11  | \$5,431.88                       | \$4,445.36                             | \$986.52         |
| ALLERGAN INC                      | 018490102 | 8                | 12/03/10            | 12/05/11  | \$668.54                         | \$549.73                               | \$118.81         |
| IPC THE HOSPITALIST CO            | 44984A105 | 3                | 05/28/10            | 12/09/11  | \$137.19                         | \$88.42                                | \$48.77          |
| EZCORP INC CL A NON VTG           | 302301106 | 80               | 07/12/10            | 12/09/11  | \$2,335.30                       | \$1,575.37                             | \$759.93         |
| RAYONIER INC                      | 754907103 | 34.5             | 06/30/10            | 12/09/11  | \$1,425.91                       | \$1,037.20                             | \$388.71         |
| TEMPUR PEDIC INTL INC             | 88023U101 | 11               | 07/01/09            | 12/09/11  | \$579.14                         | \$148.91                               | \$430.23         |



IM NORTHROP GRUMMAN FDN

**Long term transactions**

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| Description             | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| RAYONIER INC            | 754907103 | 0.5              | 06/14/10            | 12/09/11  | \$20.67                          | \$15.44                                | \$5.23           |
| OMNICELL INC            | 68213N109 | 24               | 05/28/10            | 12/09/11  | \$400.55                         | \$319.44                               | \$81.11          |
| BBCN BANCORP INC        | 073295107 | 6.75             | 09/03/10            | 12/09/11  | \$61.40                          | \$42.24                                | \$19.16          |
| TEMPUR PEDIC INTL INC   | 88023U101 | 7                | 05/20/10            | 12/09/11  | \$368.55                         | \$212.86                               | \$155.69         |
| CONOCOPHILLIPS          | 20825C104 | 50               | 04/15/10            | 12/13/11  | \$3,492.16                       | \$2,855.52                             | \$636.64         |
| GOLDMAN SACHS GROUP INC | 38141G104 | 15               | 02/02/10            | 12/13/11  | \$1,423.09                       | \$2,350.39                             | \$-927.30        |
| SKYWOKS SOLUTIONS INC   | 83088M102 | 65               | 02/10/10            | 12/13/11  | \$960.34                         | \$905.45                               | \$54.89          |
| TD AMERITRADE HLDG CORP | 87236Y108 | 82               | 03/23/10            | 12/13/11  | \$1,305.45                       | \$1,517.00                             | \$-211.55        |
| NESTLE S A ADR REG      | 641069406 | 102              | 02/02/10            | 12/14/11  | \$5,515.50                       | \$4,939.86                             | \$575.64         |
| MCDONALDS CORP          | 580135101 | 59               | 02/09/10            | 12/14/11  | \$5,780.04                       | \$3,764.05                             | \$2,015.99       |
| MCDONALDS CORP          | 580135101 | 137              | 02/02/10            | 12/14/11  | \$13,421.46                      | \$8,766.63                             | \$4,654.83       |
| WAL MART STORES INC     | 931142103 | 37               | 06/07/10            | 12/14/11  | \$2,136.98                       | \$1,889.79                             | \$247.19         |
| WAL MART STORES INC     | 931142103 | 92               | 07/26/10            | 12/14/11  | \$5,313.57                       | \$4,710.95                             | \$602.62         |
| WAL MART STORES INC     | 931142103 | 74               | 06/15/10            | 12/14/11  | \$4,273.96                       | \$3,811.00                             | \$462.96         |
| WAL MART STORES INC     | 931142103 | 16               | 02/09/10            | 12/14/11  | \$924.10                         | \$853.76                               | \$70.34          |
| TARGET CORP             | 87612E106 | 220              | 02/02/10            | 12/14/11  | \$11,532.84                      | \$11,175.49                            | \$357.35         |
| PRUDENTIAL FINL INC     | 744320102 | 92               | 02/02/10            | 12/14/11  | \$4,346.98                       | \$4,772.96                             | \$-425.98        |
| PEPSICO INC             | 713448108 | 15               | 07/01/10            | 12/14/11  | \$965.95                         | \$919.95                               | \$46.00          |
| PEPSICO INC             | 713448108 | 75               | 02/02/10            | 12/14/11  | \$4,829.73                       | \$4,583.81                             | \$245.92         |
| GOLDMAN SACHS GROUP INC | 38141G104 | 11               | 02/02/10            | 12/14/11  | \$1,034.29                       | \$1,723.62                             | \$-689.33        |
| CONOCOPHILLIPS          | 20825C104 | 64               | 04/15/10            | 12/14/11  | \$4,449.21                       | \$3,655.06                             | \$794.15         |
| APACHE CORP             | 037411105 | 85               | 05/05/10            | 12/14/11  | \$7,609.14                       | \$5,413.27                             | \$2,195.87       |
| BBCN BANCORP INC        | 073295107 | 0.3              | 09/03/10            | 12/14/11  | \$2.90                           | \$1.88                                 | \$1.02           |
| SEMPRA ENERGY           | 816851109 | 7                | 08/26/10            | 12/20/11  | \$368.27                         | \$360.08                               | \$8.19           |
| SEMPRA ENERGY           | 816851109 | 24               | 08/03/10            | 12/20/11  | \$1,262.63                       | \$1,231.11                             | \$31.52          |
| RAYONIER INC            | 754907103 | 28.5             | 06/30/10            | 12/20/11  | \$1,201.94                       | \$856.82                               | \$345.12         |



# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                         | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|-------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| RAYONIER INC                        | 754907103 | 55               | 05/24/10            | 12/20/11  | \$231.95                         | \$160.88                               | \$71.07          |
| SEMPRA ENERGY                       | 818851109 | 17               | 04/26/10            | 12/20/11  | \$894.36                         | \$855.10                               | \$39.26          |
| CELGENE CORP COM                    | 151020104 | 46               | 12/14/10            | 12/20/11  | \$3,055.51                       | \$2,649.60                             | \$405.91         |
| NOVARTIS AG SPONSORED ADR           | 66987V109 | 30               | 12/01/10            | 12/21/11  | \$1,687.61                       | \$1,624.02                             | \$63.59          |
| IHS INC COM CL A                    | 451734107 | 9                | 04/26/10            | 12/21/11  | \$752.52                         | \$473.49                               | \$279.03         |
| IHS INC COM CL A                    | 451734107 | 25               | 03/23/10            | 12/21/11  | \$2,090.32                       | \$1,312.50                             | \$777.82         |
| CMS ENERGY CORP                     | 125896100 | 56               | 04/26/10            | 12/21/11  | \$1,209.02                       | \$927.92                               | \$281.10         |
| NOVARTIS AG SPONSORED ADR           | 66987V109 | 33               | 12/14/10            | 12/21/11  | \$1,856.38                       | \$1,841.40                             | \$14.98          |
| OGE ENERGY CORP                     | 670837103 | 29               | 04/26/10            | 12/23/11  | \$1,626.33                       | \$1,186.97                             | \$439.36         |
| RAYONIER INC                        | 754907103 | 32               | 05/24/10            | 12/28/11  | \$1,421.82                       | \$936.01                               | \$485.81         |
| BAYERISCHE MOTOREN WERKE A G        | 072743206 | 51               | 01/29/10            | 12/29/11  | \$1,112.25                       | \$724.52                               | \$387.73         |
| BAYERISCHE MOTOREN WERKE A G        | 072743206 | 150              | 02/04/10            | 12/29/11  | \$3,271.32                       | \$2,122.26                             | \$1,149.06       |
| FEDERAL HOME LN MTG CORP            | 3137EACM9 | 90000            | 12/16/10            | 12/30/11  | \$92,956.50                      | \$88,222.92                            | \$4,733.58       |
| COLUMBIA FDS SER TR LARGE CAP INDEX | 19765H321 | 12434.46         | 12/14/10            | 12/30/11  | \$301,908.66                     | \$298,053.98                           | \$3,854.68       |
| COLUMBIA FDS SER TR LARGE CAP INDEX | 19765H321 | 110328.54        | 11/22/10            | 12/30/11  | \$2,678,776.98                   | \$2,584,997.73                         | \$93,779.25      |
| UNITED STATES TREAS NT              | 912828NV8 | 14000            | 09/09/10            | 12/30/11  | \$14,367.50                      | \$13,846.13                            | \$521.37         |
| UNITED STATES TREAS NT              | 912828NV8 | 31000            | 12/16/10            | 12/30/11  | \$31,813.75                      | \$30,178.10                            | \$1,635.65       |
| UNITED STATES TREAS NT              | 912828PD6 | 49000            | 11/09/10            | 12/30/11  | \$49,099.53                      | \$48,971.67                            | \$127.86         |
| UNITED STATES TREAS NT              | 912828PD6 | 128000           | 12/16/10            | 12/30/11  | \$128,260.00                     | \$127,691.34                           | \$568.66         |
| UNITED STATES TREAS NT              | 912828PJ3 | 13000            | 12/08/10            | 12/30/11  | \$13,393.05                      | \$12,766.42                            | \$626.63         |
| UNITED STATES TREAS NT              | 912828PJ3 | 43000            | 12/16/10            | 12/30/11  | \$44,300.07                      | \$41,817.74                            | \$2,482.33       |
| FEDERAL NATL MTG ASSN               | 31398ASD5 | 72000            | 06/11/08            | 12/30/11  | \$75,911.04                      | \$71,519.87                            | \$4,391.17       |
| UNITED STATES TREAS NTS             | 912828HY9 | 66000            | 10/21/08            | 12/30/11  | \$68,549.77                      | \$67,853.89                            | \$695.88         |
| UNITED STATES TREAS NT              | 912828IZ4 | 68000            | 02/23/09            | 12/30/11  | \$70,077.19                      | \$67,889.51                            | \$2,187.68       |
| UNITED STATES TREAS NT              | 912828IZ4 | 3000             | 03/23/10            | 12/30/11  | \$3,091.64                       | \$2,986.79                             | \$104.85         |
| UNITED STATES TREAS NT              | 912828IZ4 | 4000             | 03/04/09            | 12/30/11  | \$4,122.19                       | \$3,983.03                             | \$139.16         |



IM NORTHROP GRUMMAN FDN

# 2011 Tax Information Letter

Account Number 162061039965

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description                           | Cusip     | Number of shares | Date of acquisition | Sale date | Sales price or pass through gain | Cost, other basis or pass through loss | Net gain or loss |
|---------------------------------------|-----------|------------------|---------------------|-----------|----------------------------------|----------------------------------------|------------------|
| UNITED STATES TREAS NT                | 912828JZ4 | 5000             | 04/26/10            | 12/30/11  | \$5,152.73                       | \$4,968.85                             | \$183.88         |
| UNITED STATES TREAS NT                | 912828KP4 | 99000            | 04/26/10            | 12/30/11  | \$99,483.39                      | \$99,537.87                            | \$-54.48         |
| UNITED STATES TREAS NT                | 912828MG2 | 90000            | 01/13/10            | 12/30/11  | \$91,117.97                      | \$89,846.10                            | \$1,271.87       |
| UNITED STATES TREAS NT                | 912828MR8 | 28000            | 03/12/10            | 12/30/11  | \$29,701.88                      | \$27,966.62                            | \$1,735.26       |
| UNITED STATES TREAS NT                | 912828MR8 | 1000             | 03/23/10            | 12/30/11  | \$1,060.78                       | \$998.09                               | \$62.69          |
| FEDERAL HOME LN MTG CORP              | 3137EACM9 | 35000            | 11/18/10            | 12/30/11  | \$36,149.75                      | \$35,120.47                            | \$1,029.28       |
| FEDERAL HOME LN MTG CORP              | 3137EACCI | 113000           | 07/29/09            | 12/30/11  | \$113,553.70                     | \$112,978.97                           | \$574.73         |
| UNITED STATES TREAS NT                | 912828MR8 | 2000             | 04/26/10            | 12/30/11  | \$2,121.56                       | \$1,990.31                             | \$131.25         |
| FEDERAL HOME LN MTG CORP NOTES        | 3134A4TZ7 | 22000            | 08/14/08            | 12/30/11  | \$23,413.94                      | \$22,414.54                            | \$999.40         |
| FEDERAL HOME LN MTG CORP NOTES        | 3134A4TZ7 | 22000            | 06/11/08            | 12/30/11  | \$23,413.94                      | \$22,192.77                            | \$1,221.17       |
| FEDERAL NATL MTG ASSN                 | 31410FUS2 | 1468.16          | 01/15/08            | 01/25/12  | \$1,468.16                       | \$1,489.15                             | \$-20.99         |
| FEDERAL NATIONAL MORTGAGE             | 31403C610 | 1964.81          | 08/10/09            | 01/25/12  | \$1,964.81                       | \$1,976.48                             | \$-11.67         |
| Total long term gain/loss from sales: |           |                  |                     |           | \$7,180,852.71                   | \$6,999,992.40                         | \$180,860.31     |

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

|                                                                                     |                                                                                          |                                                |
|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------------------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions                             | Employer identification number (EIN) or        |
|                                                                                     | THE NORTHROP GRUMMAN FOUNDATION                                                          | <input checked="" type="checkbox"/> 95-6095343 |
|                                                                                     | Number, street, and room or suite no. If a P.O. box, see instructions.                   | Social security number (SSN)                   |
|                                                                                     | 2980 FAIRVIEW PARK DRIVE                                                                 | <input type="checkbox"/>                       |
|                                                                                     | City, town or post office, state, and ZIP code. For a foreign address, see instructions. |                                                |
|                                                                                     | FALLS CHURCH, VA 22042                                                                   |                                                |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                       | Return Code | Application Is For | Return Code |
|------------------------------------------|-------------|--------------------|-------------|
| Form 990                                 | 01          |                    |             |
| Form 990-BL                              | 02          | Form 1041-A        | 08          |
| Form 990-EZ                              | 01          | Form 4720          | 09          |
| Form 990-PF                              | 04          | Form 5227          | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069          | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870          | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

REYNA GAAR, ADMINISTRATOR

- The books are in the care of ☒ 2980 FAIRVIEW PARK DRIVE - FALLS CHURCH, VA 22012

Telephone No.  FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2012.

5 For calendar year 2011, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

7 State in detail why you need the extension  
ADDITIONAL TIME IS NEEDED TO COMPILE THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

|                                                                                                                                                                                                                                     |    |    |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|---------|
| 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                 | 8a | \$ | 3,440.  |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | \$ | 41,851. |
| c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                    | 8c | \$ | 0.      |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  Title CPA, AS AUTHORIZED AGENT Date

Form 8868 (Rev. 1-2012)