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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust**
**Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

ENVELOPE POSTMARK DATE MAY 09 2012

**For calendar year 2011 or tax year beginning , 2011, and ending , 20**

Name of foundation: BULL, HENRY W. FOUNDATION

**A** Employer identification number: 95-6062058

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 2340

Room/suite:

**B** Telephone number (see instructions): (805) 564-6200

City or town, state, and ZIP code: SANTA BARBARA, CA 93120-2340

**C** If exemption application is pending, check here ▶ ☐

**D** 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 8,234,761.

**J** Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________
*(Part I, column (d) must be on cash basis )*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 233,253. | 233,253. | | STMT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 923,502. | | | |
| | b Gross sales price for all assets on line 6a 2,128,351. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 923,502. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10 a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 1,156,755. | 1,156,755. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 30,823. | 14,384. | | 16,439. |
| | 14 Other employee salaries and wages | | NONE | NONE | |
| | 15 Pension plans, employee benefits | | NONE | NONE | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | | | | |
| | c Other professional fees (attach schedule) STMT 2 | 108. | | | 108. |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) STMT 3 | 32,975. | | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | NONE | NONE | |
| | 22 Printing and publications | | NONE | NONE | |
| | 23 Other expenses (attach schedule) | | | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 63,906. | 14,384. | NONE | 16,547. |
| | 25 Contributions, gifts, grants paid | 307,450. | | | 307,450. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 371,356. | 14,384. | NONE | 323,997. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a **Excess of revenue over expenses and disbursements** | 785,399. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 1,142,371. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 349,133. | 650,640. | 650,640. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less' allowance for doubtful accounts ▶ NONE | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10 a | Investments - U S and state government obligations (attach schedule) STMT 4 | 389,115. | 499,148. | 540,883. |
| | b | Investments - corporate stock (attach schedule) STMT 5 | 1,987,855. | 2,049,579. | 5,480,360. |
| | c | Investments - corporate bonds (attach schedule) STMT 7 | 1,214,359. | 1,525,853. | 1,562,878. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other (attach schedule) | | | |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 3,940,462. | 4,725,220. | 8,234,761. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒** | | | |
| | 27 | Capital stock, trust principal, or current funds | 3,940,462. | 4,725,220. | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | 3,940,462. | 4,725,220. | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | 3,940,462. | 4,725,220. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,940,462. |
| 2 | Enter amount from Part I, line 27a | 2 | 785,399. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 4,725,861. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8 | 5 | 641. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 4,725,220. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo , day, yr.) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 2,128,339. | | 1,204,849. | 923,490. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | 923,490. |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 923,502. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 389,191. | 7,486,273. | 0.051987 |
| 2009 | 417,454. | 6,994,180. | 0.059686 |
| 2008 | 510,145. | 8,766,249. | 0.058194 |
| 2007 | 450,422. | 10,015,972. | 0.044970 |
| 2006 | 435,620. | 9,169,913. | 0.047505 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.262342 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.052468 |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | 4 | 8,120,931. |
| 5 | Multiply line 4 by line 3 | 5 | 426,089. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 11,424. |
| 7 | Add lines 5 and 6 | 7 | 437,513. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 323,997. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 22,847. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 22,847. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 22,847. |
| 6 | Credits/Payments | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a — 18,820. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b — NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c — NONE | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 18,820. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 4,027. |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be. **Credited to 2012 estimated tax** ▶ **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶$ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>CA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ SANTA BARBARA BANK & TRUST N.A. Telephone no. ▶ (805)564-6200

Located at ▶ 1002 ANACAPA ST., SANTA BARBARA, CA ZIP + 4 ▶ 93101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ____ , ____ , ____ , ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) STMT 9 | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ____ , ____ , ____ , ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011 )* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | |
| (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | ☐ Yes ☒ No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | 5b | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d).* | ☐ Yes ☐ No | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.* | | 6b | X |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 10 | | 30,823. | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . . . . . . . . . . ▶ NONE

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 5,754,548. |
| b | Average of monthly cash balances | 1b | 713,728. |
| c | Fair market value of all other assets (see instructions) | 1c | 1,776,324. |
| d | **Total** (add lines 1a, b, and c) | 1d | 8,244,600. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 8,244,600. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 123,669. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 8,120,931. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 406,047. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 406,047. |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | 22,847. | | |
| b | Income tax for 2011. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 22,847. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 383,200. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 383,200. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 383,200. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 323,997. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 323,997. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 323,997. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2011 from Part XI, line 7 | | | | | 383,200. |
| 2 | Undistributed income, if any, as of the end of 2011 | | | | | |
| a | Enter amount for 2010 only | | | | 299,117. | |
| b | Total for prior years 20 09 ,20_____,20_____ | | | NONE | | |
| 3 | Excess distributions carryover, if any, to 2011: | | | | | |
| a | From 2006 | NONE | | | | |
| b | From 2007 | NONE | | | | |
| c | From 2008 | NONE | | | | |
| d | From 2009 | NONE | | | | |
| e | From 2010 | NONE | | | | |
| f | **Total** of lines 3a through e | | NONE | | | |
| 4 | Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 323,997. | | | | | |
| a | Applied to 2010, but not more than line 2a | | | | 299,117. | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | | NONE | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | | NONE | | | |
| d | Applied to 2011 distributable amount | | | | | 24,880. |
| e | Remaining amount distributed out of corpus | | NONE | | | |
| 5 | Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | NONE | | | NONE |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | | NONE | | | |
| b | Prior years' undistributed income Subtract line 4b from line 2b | | | NONE | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | NONE | | |
| d | Subtract line 6c from line 6b. Taxable amount - see instructions | | | NONE | | |
| e | Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instructions | | | | | |
| f | Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 | | | | | 358,320. |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | NONE | | | |
| 8 | Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) | | NONE | | | |
| 9 | **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a | | NONE | | | |
| 10 | Analysis of line 9: | | | | | |
| a | Excess from 2007 | NONE | | | | |
| b | Excess from 2008 | NONE | | | | |
| c | Excess from 2009 | NONE | | | | |
| d | Excess from 2010 | NONE | | | | |
| e | Excess from 2011 | NONE | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . . . . . . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 | (e) Total |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test - enter<br>(1) Value of all assets . . . | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . . | | | | | |
| **b** "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . | | | | | |
| **c** "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . . | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) . . . . . . | | | | | |
| (3) Largest amount of support from an exempt organization . . . . . | | | | | |
| (4) Gross investment income . | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 11

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>SEE STATEMENT 12 | | | | |
| **Total** ▶ **3a** | | | | 307,450. |
| **b** *Approved for future payment* | | | | |
| **Total** ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 233,969. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 923,502. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | 1,157,471. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . . . 13 1,157,471.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | NOT APPLICABLE |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |
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| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

| Signature of officer or trustee | Date | Title |
|---|---|---|
| | 05/04/2012 | ▶Trust Officer |

SANTA BARBARA BANK & TRUS

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| JEFFREY E. KUHLIN | Jeffrey E Kuhlin | 05/04/2012 | | P00353001 |

Firm's name ▶ KPMG LLP

Firm's EIN ▶ 13-5565207

Firm's address ▶ 2020 N CENTRAL AVE, STE 700
PHOENIX, AZ 85004

Phone no 877-614-8069

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| U.S. GOVERNMENT INTEREST REPORTED AS NON | 39. | 39. |
| FOREIGN DIVIDENDS | 16,217. | 16,217. |
| DOMESTIC DIVIDENDS | 140,638. | 140,638. |
| CORPORATE INTEREST | 68,140. | 68,140. |
| U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE | 2,124. | 2,124. |
| OID INCOME ON USGI | 5,381. | 5,381. |
| NONQUALIFIED DOMESTIC DIVIDENDS | 714. | 714. |
| TOTAL | 233,253. | 233,253. |

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|---|---|---|
| OTHER NON-ALLOCABLE EXPENSE - | 10. | 10. |
| OTHER NON-ALLOCABLE EXPENSE - | 98. | 98. |
| TOTALS | 108. | 108. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS |
|---|---|
| FEDERAL EXCISE TAX BALANCE DUE | 14,155. |
| FEDERAL ESTIMATES - PRINCIPAL | 18,820. |
| TOTALS | 32,975. |

BULL, HENRY W. FOUNDATION 95-6062058

## FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| US TREAS INFLAT PROT SEC | 154,232. | 178,505. |
| CALIF ST GO BDS 5.45% 3/1/17 | 128,634. | 136,359. |
| CALIF ST GO BDS 5.75% 3/1/17 | 106,828. | 112,128. |
| CALIF ST GO BDS 5.95% 3/1/18 | 109,454. | 113,891. |
| TOTALS | 499,148. | 540,883. |

## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---:|---:|
| COCA COLA CO | 65,538. | 209,910. |
| HEINZ H J CO | 102,631. | 102,676. |
| HERSHEY CO | 49,360. | 247,120. |
| PEPSICO INC | 48,543. | 165,875. |
| PROCTER & GAMBLE CO | 66,330. | 333,550. |
| ASTRAZENECA PLC | 50,131. | 44,207. |
| JOHNSON & JOHNSON | 33,171. | 32,790. |
| MERCK & CO INC | 61,783. | 150,800. |
| PFIZER INC | 95,018. | 108,200. |
| 3M CO | 75,833. | 77,644. |
| CSX CORP | 74,333. | 113,724. |
| DEERE & CO | 99,834. | 100,555. |
| DOW CHEM CO | 99,056. | 77,652. |
| GENERAL ELEC CO | 45,416. | 268,650. |
| UNION PAC CORP | 96,808. | 529,700. |
| UNITED TECHNOLOGIES CORP | 99,538. | 131,562. |
| CHEVRON CORP | 43,532. | 219,184. |
| EXXON MOBIL CORP | 8,340. | 593,320. |
| OCCIDENTAL PETE CORP | 99,336. | 112,440. |
| SEADRILL LIMITED | 50,215. | 54,747. |
| APPLE INC | 100,165. | 107,325. |
| INTEL CORP | 35,063. | 121,250. |
| INTERNATIONAL BUS MACHS | 36,192. | 919,400. |
| ORACLE CORP | 97,928. | 76,950. |
| QUALCOMM INC | 98,423. | 92,990. |
| AT&T INC | 86,880. | 136,080. |
| DOMINION RES INC | 50,664. | 59,715. |
| NATIONAL GRID TRANSCO | 74,202. | 77,568. |
| VERIZON COMMUNICATIONS INC | 98,894. | 120,360. |

BULL, HENRY W. FOUNDATION 95-6062058

## FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| YUM BRANDS INC | 6,422. | 94,416. |
| TOTALS | 2,049,579. | 5,480,360. |

BULL, HENRY W. FOUNDATION 95-6062058

## FORM 990PF, PART II - CORPORATE BONDS

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| BERKSHIRE HATHAWAY FIN CORP | 206,378. | 210,368. |
| COCA COLA CO NT | 121,346. | 120,052. |
| DISNEY WALT CO NTS | 98,565. | 113,262. |
| GENERAL ELEC CAP CORP | 199,700. | 201,212. |
| GOLDMAN SACHS GROUP INC | 100,000. | 97,536. |
| INTERNATIONAL BUS MACHS | 135,204. | 134,196. |
| JP MORGAN CHASE & CO | 213,842. | 210,860. |
| MICROSOFT CORP NT | 200,818. | 230,556. |
| MORGAN STANLEY D W DISC NT | 100,000. | 97,750. |
| WELLS FARGO & CO NT | 150,000. | 147,086. |
| TOTALS | 1,525,853. | 1,562,878. |

## FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| MUTUAL FUND TIMING DIFFERENCES | 641. |
| TOTAL | 641. |

STATEMENT 8

## 990PF, PART VII-B LINE 2b - SECTION 4942a2 EXPLANATION STATEMENT

THIS QUESTION DOES NOT APPLY. THE FOUNDATION DOES NOT HAVE ANY UNDISTRIBUTED INCOME FROM PRIOR YEARS.

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
SANTA BARBARA BANK & TRUST
ADDRESS:
1002 ANACAPA STREET
SANTA BARBARA, CA 93101
TITLE:
CO-TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3
COMPENSATION .............................................. 20,549.

OFFICER NAME:
ROY G. GASKIN
ADDRESS:
260 RAMETTO RD.
SANTA BARBARA, CA 93108
TITLE:
CO-TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1
COMPENSATION .............................................. 10,274.

OFFICER NAME:
FRED ASTAIRE, JR.
ADDRESS:
3380 W. POZO ROAD
SANTA MARGARITA, CA 93453
TITLE:
CO-TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:
PETER N. POTTER
ADDRESS:
475 PORT STANLEY RD.
LOPEZ ISLAND, CA 98261
TITLE:
CO-TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

TOTAL COMPENSATION: 30,823.

FORM 990PF, PART XV - LINES 2a - 2d
===================================

RECIPIENT NAME:
  JANICE GIBBONS, PACIFIC CAPITAL BANK, TRUSTEE
ADDRESS:
  1002 ANACAPA STREET
  SANTA BARBARA, CA 93101
RECIPIENT'S PHONE NUMBER: 805-564-6211
FORM, INFORMATION AND MATERIALS:
  A LETTER STATING THEIR NAME, PURPOSE OF THEIR ORGANIZATION, EXEMPT STATUS AND HOW THE GRANT WOULD BE USED.
SUBMISSION DEADLINES:
  APRIL 1ST & SEPTEMBER 1ST.
RESTRICTIONS OR LIMITATIONS ON AWARDS:
  NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
SEE SCHEDULE ATTACHED
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SEE ATTACHED
FOUNDATION STATUS OF RECIPIENT:
EXEMPT

| | |
|---|---|
| AMOUNT OF GRANT PAID | 307,450. |
| TOTAL GRANTS PAID: | 307,450. |

# 2011 Bull Foundation Grants

1. **Santa Barbara Athletic Roundtable** $2,500
3427 Chuparosa Drive 1/11/11
Santa Barbara, CA 93105
*To help defray the cost of the annual Women's Day in Sports luncheon that was held in February 2011.*

2. **Adventures in Caring Foundation** $1,950
1528 Chapala Street, Suite 202 7/14/11
Santa Barbara, CA 93101
*For the Compassion in Action Patient Care Program which will provide support to student volunteers who provide encouragement, hope and a measure of joy to severely ill patients in local hospitals and long-term care facilities.*

3. **Doheny Eye Institute** $5,000
1450 San Pablo Street, DEI-3050 7/14/11
Los Angeles, CA 90033
*To support laboratory resources and expertise that enable vision researchers to expand understanding and treatment of debilitating eye disorders.*

4. **Soroptimist Safety Town of Santa Barbara, Inc.** $5,000
P.O. Box 416 7/14/11
Santa Barbara, CA 93102
*A grant to train and educate children of preschool age in all aspects of safety including child abuse prevention.*

5. **Catalyst for Cats, Inc.** $2,500
P.O. Box 30331 7/14/11
Santa Barbara, CA 93130
*To be used toward the purchase of a replacement van for transporting traps, food, cages and cats. This organization serves all of Santa Barbara County.*

6. **Surgical Eye Expeditions International, Inc.** $2,500
6950 Hollister Avenue, Suite 250 7/14/11
Santa Barbara, CA 93117
*To support ongoing programs that strive to alleviate visual impairment and save eyesight through early detection and treatment among low-income, uninsured people in the Santa Barbara County.*

7. **Eisner Pediatric & Family Medical Center** $2,500
1530 South Olive Street 7/14/11
Los Angeles, CA 90015
*To support the Pediatric Asthma Clinic with funds to purchase essential medical devices, equipment and supplies to aid children with asthma to better manage the disease.*

| | | |
|---|---|---|
| 8. | **Mental Health Association in Santa Barbara County**<br>617 Garden Street<br>Santa Barbara, CA 93101<br>*Funding towards food served at the Recovery Learning Center Fellowship Club, which provides rehabilitation and socialization for adult men and women with chronic mental health disabilities and very low incomes.* | $2,500<br>7/14/11 |
| 9. | **Hillside House**<br>1235 Veronica Springs Road<br>Santa Barbara, CA 93105<br>*Funding to support Hillside House residents' participation in the Hearts Adaptive Riding Program that provides therapeutic horseback riding for people with disabilities.* | $2,500<br>7/14/11 |
| 10. | **Santa Maria Valley Humane Society**<br>751 Black Road<br>Santa Maria, CA 93458<br>*Funds towards building a new animal shelter and spay neuter clinic.* | $2,500<br>7/14/11 |
| 11. | **Dream Foundation**<br>1528 Chapala Street, Suite 304<br>Santa Barbara, CA 93101<br>*To provide funding support for this organization that fulfills final dreams for terminally ill adults.* | $2,500<br>7/14/11 |
| 12. | **Guide Dogs for the Blind, Inc.**<br>P.O. Box 151200<br>San Rafael, CA 94915-1200<br>*A grant to help underwrite the costs of development and training of five guide dog teams.* | $5,000<br>7/14/11 |
| 13. | **SLOHS Sober Grad Night Committee**<br>1920 Corralitos Avenue<br>San Luis Obispo, CA 93401<br>*To support this safe, fun and substance-free event for graduating seniors of San Luis Obispo High School who cannot afford to go.* | $2,500<br>7/14/11 |
| 14. | **Casa Serena, Inc.**<br>1515 Bath Street<br>Santa Barbara, CA 93101<br>*Funding to provide scholarships, counseling and safe housing for women struggling with the diseases of alcoholism and drug addiction.* | $2,500<br>7/14/11 |
| 15. | **Santa Barbara Trust for Historic Preservation**<br>123 East Canon Perdido Street<br>Santa Barbara, CA 93101<br>*To provide funding support for the evaluation, processing and thorough inventory of the Jimmy's Oriental Gardens collection.* | $5,000<br>7/14/11 |

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| 16. | **Californians for Population Stabilization (CAPS)**<br>1129 State Street, Suite 3D<br>Santa Barbara, CA 93101<br>*To support the new project, CAPS Sustainable California Multi-Media Awards, that will aim to increase awareness among college students about the impacts of overpopulation on nearly every aspect of their lives.* | $5,000<br>7/14/11 |
| 17. | **Sansum Diabetes Research Institute**<br>2219 Bath Street<br>Santa Barbara, CA 93105<br>*Sponsorship support for the Taste of the Vine event. This event raises funds for research to help cure, treat and prevent diabetes in children and adults in our local community.* | $2,500<br>7/14/11 |
| 18. | **Junior Blind of America**<br>5300 Angeles Vista Boulevard<br>Los Angeles, CA 90043<br>*Funding for the Vision Screening Program that provides free vision screenings and follow-up care for children.* | $2,500<br>7/14/11 |
| 19. | **Special Olympics Southern California, Inc.**<br>423 W. Victoria Street<br>Santa Barbara, CA 93101<br>*Funding support for the Santa Barbara Special Olympics Basketball Tournament to help offset the cost of providing lunches for over 300 athletes and volunteers.* | $2,500<br>7/14/11 |
| 20. | **Friendship Adult Day Care Center**<br>89 Eucalyptus Lane<br>Santa Barbara, CA 93108<br>*Funding for the Heart (Help Elders at Risk Today) program which benefits elder and dependent adults and their families who face income limitations.* | $2,500<br>7/14/11 |
| 21. | **National Multiple Sclerosis Society**<br>Southern California Chapter<br>2440 S. Sepulveda Blvd., Suite 115<br>Los Angeles, CA 90064<br>*Funding toward supporting the Chapter's launch of its pilot telemedicine program, utilizing live videoconferencing technology to connect specialists in multiple sclerosis care with patients and their local physicians in remote areas.* | $2,500<br>7/14/11 |
| 22. | **Storyteller Children's Center, Inc.**<br>2115 State Street<br>Santa Barbara, CA 93105<br>*Funding to provide quality, tuition-free early childhood education for homeless and at-risk children.* | $2,500<br>7/14/11 |

23. **Santa Barbara Chamber Orchestra Society** $2,500
P.O. Box 90903 7/14/11
Santa Barbara, CA 93190
*Funding toward general operating support for the Orchestra's programs that include in-school education, free concert seats for families, senior outreach and performances.*

24. **Santa Barbara Zoological Foundation** $5,000
500 Ninos Drive 7/14/11
Santa Barbara, CA 93103
*A grant to provide Zoo Camp scholarships to low-income, at-risk youth.*

25. **Summit Assistance Dogs** $2,500
P.O. Box 699 7/14/11
Anacortes, WA 98221
*Funding toward providing the trainer time, equipment, facility rental, field trip costs and meals for clients participating in the Team Training Camp in WA.*

26. **Central Institute for the Deaf** $3,000
825 South Taylor Ave. 7/14/11
St. Louis, MO 63110
*Funding toward the Pediatric Audiology Program which provides hearing services for children in St. Louis, Missouri, regardless of their families' ability to pay.*

27. **The Humane Society of the United States** $2,500
2100 L Street, NW 7/14/11
Washington, DC 20037
*To support this organization's efforts in protecting farm animals.*

28. **Easter Seals of Greater Houston, Inc.** $3,000
4500 Bissonnet, Suite 340 7/14/11
Bellaire, TX 77401-3006
*A grant to provide comprehensive services to individuals of all ages with all types of disabilities and their families regardless of their ability to pay.*

29. **Pony Bird, Inc.** $2,500
P.O. Box 190 7/14/11
Mapaville, MO 63065
*General operating support for this organization which provides a home to 60 children and adults with profound to severe mental, physical, and medical disabilities in Missouri.*

30. **Community YMCA** $3,000
113 Tindall Road 7/14/11
Middletown, NJ 07748
*To support the Family Preservation Parent Coaching Program which provides a one-on-one service to parents in need of developing appropriate parenting skills in New Jersey.*

31. **Maple Grove Community Church, Inc.** $2,000
3113 West Boulevard 7/14/11
Kokomo, IN 46902
*Funding toward the <u>New Leaf Mentoring Program</u> which will provide mentors to children of the incarcerated in Indiana.*

32. **Angel Guardians, Inc.** $3,000
15677 Spaulding Street 7/14/11
Omaha, NE 68116
*Funding for the <u>AngelWorks Kitchen Center</u> which provides developmentally disabled adults in Nebraska with practical and transferable job skills.*

33. **Blind Children's Learning Center of Orange County** $3,000
18542-B Vanderlip Avenue 7/14/11
Santa Ana, CA 92705
*Funding toward providing services for blind, visually impaired, and deaf/blind children.*

34. **Family Gateway, Inc.** $2,000
2910 Swiss Avenue 7/14/11
Dallas, TX 75204
*A grant to support the <u>Continuum of Care Program for Homeless Families</u> which provides services to homeless families with children in Dallas County, Texas.*

35. **Chase's Place** $2,500
14210 Marsh Lane 7/14/11
Addison, TX 75001
*Funding toward occupational and speech therapy for the special needs of developmentally disabled children in Texas.*

36. **The Hope Center** $3,000
4101 West Green Oaks Blvd., Suite 305-500 7/14/11
Arlington, TX 76016
*Funding support for the <u>Food Pantry and Resource Center</u> that serves more than 80,000 people annually in South Dallas and Fort Worth.*

37. **Bridgeport Area Youth Ministry, Inc (BAYM)** $2,000
P.O. Box 1134 7/14/11
Bridgeport, CT 06601
*Support for the <u>Journey to Work Program</u> which provides at-risk students a strong work ethic and realistic tools for managing conflict and team building within a work environment.*

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| 38. | **Pacific Legal Foundation**<br>3900 Lennane Drive, Suite 200<br>Sacramento, CA 95834-2918<br>*Support for this public interest organization whose purpose is defending and promoting individual and economic freedom in the courts.* | $10,000<br>7/14/11 |
| 39. | **Foundation for the Performing Arts Center**<br>P.O. Box 1137<br>San Luis Obispo, CA 93406-1137<br>*Funding support for outreach programs and performances at the San Luis Obispo Performing Arts Center.* | $10,000<br>7/14/11 |
| 40. | **Landmark Legal Foundation**<br>3100 Broadway, Suite 1110<br>Kansas City, MI 64111<br>*To support this organization's efforts to fight for free speech, free enterprise education reform, responsible, limited government and ethical accountabilities by the nation's public officials.* | $10,000<br>7/14/11 |
| 41. | **California Polytechnic State University Foundation**<br>Ken Watson Music Scholarship Endowment<br>San Luis Obispo, CA 93407<br>*A grant for the Ken Watson Music Scholarship Endowment for students demonstrating outstanding musical talent.* | $10,000<br>7/14/11 |
| 42. | **Alpha & Omega Community Center**<br>708 Wabank Road<br>Lancaster, PA 17603<br>*Funding toward providing low cost music lessons to impoverished children in Lancaster, Pennsylvania.* | $1,000<br>7/14/11 |
| 43. | **Horses and the Handicapped of South Florida, Inc.**<br>P.O. Box 273542<br>Boca Raton, FL 33427-3542<br>*Funding for scholarships for therapeutic horseback riding and hippo therapy for handicapped children and adults in south Florida.* | $2,000<br>7/14/11 |
| 44. | **Battered Women's Foundation**<br>P.O. Box 54888<br>Hurst, TX 76054<br>*Funding assistance for this organization that provides direct services for women and children who have been victimized by domestic violence.* | $2,500<br>7/14/11 |
| 45. | **NYC Dance Alliance Foundation, Inc.**<br>101 Mc Intosh Drive<br>Mahwah, NJ 07430<br>*Funding toward college scholarships for professional dancers.* | $20,000<br>7/14/11 |

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| 46. | **Joseph H. Firth Youth Center**<br>108 Anderson Street<br>Phillipsburg, NJ 08865-3299<br>*Funding toward the operations of the after school program.* | $10,000<br>7/14/11 |
| 47. | **Desert Women For Equality**<br>555 S. Sunrise Way, Suite 209<br>Palm Springs, CA 92264<br>*Funding for this organization's free mammogram program for uninsured and under insured women in the Coachella Valley.* | $5,000<br>7/14/11 |
| 48. | **Santa Barbara Museum of Natural History**<br>2559 Puesta del Sol<br>Santa Barbara, CA 93105<br>*To support the Museum and Sea Center's Children's Programs, providing children from low-income families with scholarships to these programs.* | $2,500<br>12/28/11 |
| 49. | **Visiting Nurse and Hospice Care of Santa Barbara**<br>222 East Canon Perdido Street<br>Santa Barbara, CA 93101<br>*To support the Loan Closet, which provides durable medical equipment and supplies, at no charge, to over 1,750 patients.* | $1,000<br>12/28/11 |
| 50. | **Scholarship Foundation of Santa Barbara**<br>P.O. Box 3620<br>Santa Barbara, CA 93130-3620<br>*A grant to provide scholarships for deserving local students who are pursuing college or vocational school.* | $2,500<br>12/28/11 |
| 51. | **Family Service Agency of Santa Barbara**<br>123 West Gutierrez Street<br>Santa Barbara, CA 93101-3424<br>*To provide counseling, mental health, and other direct support services that transform the lives of at risk and underserved children, families and individuals throughout Santa Barbara County.* | $1,000<br>12/28/11 |
| 52. | **Court Appointed Special Advocates of Santa Barbara County**<br>118 East Figueroa Street<br>Santa Barbara, CA 93101<br>*To provide support for the recruiting, screening and training of volunteer advocates for children in the court system.* | $2,500<br>12/28/11 |
| 53. | **KCET**<br>4401 Sunset Boulevard<br>Los Angeles, CA 90027<br>*To enable KCET to stand strong as Southern California's most trusted source for honest, accurate news, inspiring entertainment and intelligent, innovative programming.* | $2,500<br>12/28/11 |

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| 54. | **Children's Craniofacial Association**<br>13140 Coit Road, Suite 517<br>Dallas, TX 75240<br>*Funding to help with financial assistance for families who must travel far distances to obtain necessary medical care for their child to improve the quality of life for children with facial differences.* | $2,500<br>12/28/11 |
| 55. | **RISB Foundation**<br>2415 De la Vina Street<br>Santa Barbara, CA 93105<br>*Funding to help provide outpatient charily care, durable medical equipment, and assistive technology for people in need following an illness or injury.* | $2,500<br>12/28/11 |
| 56. | **Santa Barbara Cottage Hospital Foundation**<br>P.O. Box 689<br>Pueblo at Bath Street<br>Santa Barbara, CA 93102-0689<br>*Funding to support the Cottage Children's Hospital (CCH).* | $2,500<br>12/28/11 |
| 57. | **Santa Barbara Soccer Club**<br>Santa Barbara Soccer Club<br>P.O. Box 60208<br>Goleta, CA 93160<br>*Funding for the Field of Champions Capital Campaign, which supports installing a new permanent turf field at San Marcos High School in Santa Barbara.* | $1,000<br>12/28/11 |
| 58. | **Teddy Bear Cancer Foundation**<br>2320 Bath Street, Suite 107<br>Santa Barbara, CA 93105<br>*A general operating grant to support their programs, including financial assistance, care packages, support groups, family social events, and other support services.* | $2,500<br>12/28/11 |
| 59. | **Cancer Center of Santa Barbara**<br>300 West Pueblo Street<br>Santa Barbara, CA 93105<br>*Funding to help fulfill their mission of providing every patient with comprehensive cancer treatment. The Center also provides a variety of wellness classes, patient care programs and research opportunities at no charge.* | $5,000<br>12/28/11 |
| 60. | **Catherine Washburn Memorial Association**<br>Lopez Island Medical Clinic<br>P.O. Box 309<br>Lopez Island, WA 98261<br>*Funding to provide an I Stat Blood Analyzer for Lopez Island Medical Center.* | $3,000<br>12/28/11 |

61. **House Ear Institute**
2100 West Third Street
Los Angeles, CA 90057
*To provide funding support for their Educational Liaison Program,* which assists *more than a hundred hearing-impaired children, their families and their schools in creating a supportive education environment for academic success.*
$2,500
12/28/11

62. **Licking County Coalition of Care**
P.O. Box 8663
Newark, OH 43058
*Funding to support a faith-based emergency assistance organization dedicated to reducing the effects of poverty throughout Licking County, Ohio.*
$3,000
12/28/11

63. **The Rise School of Dallas**
5923 Royal Lane
Dallas, TX 75230
*Funding support for general operating expenses, which allows them to continue to provide the highest quality early childhood education services to children with Down Syndrome and other developmental disabilities.*
$2,500
12/28/11

64. **Wisconsin Badger Camp, Inc.**
P.O. Box 723
Platteville, WI 53818
*Funding support to help provide quality outdoor recreational programming to adults and children with developmental disabilities.*
$2,500
12/28/11

65. **Keep Youth Doing Something, Inc.**
7026 Sophia Avenue
Van Nuys, CA 91406
*Funding support for the Student Stress & Anger Management Program which provides life skills training for 400 disadvantaged LAUSD at-risk students.*
$2,500
12/28/11

66. **Utah Animal Adoption Center**
1955 N. Redwood Road
Salt Lake City, UT 84116
*Funding for general operating expenses for one of the largest rescue shelters of its kind.*
$3,000
12/28/11

67. **Ark Preschool**
850 Gibson Road
Woodland, CA 95695
*Funding toward supporting the faith-based Preschool's mission to provide the highest quality, balanced, hands-on education for children from very low-income families for the purpose of thriving in Kindergarten.*
$2,500
12/28/11

68. **Fentress County Children's Center, Inc.** $3,000
340 West Central Avenue 12/28/11
Jamestown, TN 38556
*Funding for the Center which provides a place of comfort and safety for children who have been abused, to equip children and parents with the tools necessary to heal from devastating trauma, and to prevent child abuse in their community.*

69. **Family Rescue Center** $3,000
22103 Vanowen Street 12/28/11
Canoga Park, CA 91303
*Funding for general operating expenses toward their mission of providing food, clothing, medical and educational services that help individuals to become more independent.*

70. **Braille Circulating Library** $2,500
2700 Stuart Avenue 12/28/11
Richmond, VA 23220-3305
*Funding to help undergird their ongoing operating budget and to help them accomplish their 2011 Vision.*

71. **Children's Burn Foundation** $2,500
5000 Van Nuys Blvd., Suite 450 12/28/11
Sherman Oaks, CA 91403-1784
*Funding toward their Full Recovery Program, in their mission to support the young burn survivors.*

72. **River of Life Homes, Inc.** $2,500
P.O. Box 550656 12/28/11
Dallas, TX 75355-0656
*Funding to support their emergency services for women and women with children who are escaping from domestic violence situations.*

73. **Landmark Legal Foundation** $10,000
3100 Broadway, Suite 1210 12/28/11
Kansas City, Missouri 64111
*Funding to support this organization's litigation priorities to protect individual liberties, free enterprise and the Constitution.*

74. **Woods Humane Society, Inc.** $2,000
875 Oklahoma Avenue 12/28/11
San Luis Obispo, CA 93405
*A grant to provide funding for Critter Camp, an innovative summer experience that combines humane education with hands-on training and canine care.*

75. **Ayn Rand Institute** $2,500
The Center for the Advancement 12/28/11
of Objectivism
2121 Alton Parkway, Suite 250
Irvine, CA 92606
*A grant for the Ayn Rand Center for Individual Rights in Washington, D.C. to support their efforts in advocating for individual rights, with an emphasis on the morality of business and capitalism.*

76. **Hospice Partners of the Central Coast, Inc.** $2,500
277 South Street, Suite R 12/28/11
San Luis Obispo, CA 93401
*To support their mission to provide compassionate end-of-life care, emphasizing life, choice, and dignity in partnership with patients, families and the community.*

77. **Canine Companions for Independence** $2,500
P.O. Box 4568 12/28/11
Oceanside, CA 92052-4568
*Funding to help provide a free, effective resource to enhance the lives of people with disabilities by providing highly trained assistance dogs and ongoing support to ensure quality partnerships.*

78. **Fully Informed Jury Association** $2,000
P.O. Box 5570 12/28/11
Helena, MT 59604-5570
*Funding to support spreading their message of jury authority and independence by making literature available to Jury Rights Day activists and to provide more educational outreach material to distribute at courthouses and other venues.*

79. **Sierra Hospice** $2,500
P.O. Box 95 12/28/11
Chester, CA 96020
*A grant to help fund Camp HUG (Hospice Understands Grief), a bereavement camp for children based in rural Northern California.*

80. **San Luis Obispo County Womenade** $1,500
6099 Marshall Way 12/28/11
San Luis Obispo, CA 93401
*A grant to help the citizens of San Luis Obispo who are going through difficult economic times by providing dental care, medical care, rent, furniture, food, diapers and more.*

81. **Aids Assistance Program** $5,000
1276 North Palm Canyon Drive – Suite 108 12/28/11
Palm Springs, CA 92262
*Funding to provide meals to members of the community with low incomes living with HIV/AIDS.*

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| 82. | **Blythe Emergency Food Pantry**<br>181 S. Main Street<br>Blythe, CA 92225-2508<br>*Funding to provide food to members of the community that are experiencing financial difficulties due to the tenuous economic environment.* | $5,000<br>12/28/11 |
| 83. | **South Dakota State Railroad Museum, Ltd**<br>PO Box 1070<br>Hill City, SD 57745<br>*Funding for a project to create a permanent interactive, educational display utilizing samples from Hollywood films and television, which feature trains and railroading. This display will highlight the important relationship between film -- America's indigenous art form, and the nation's colorful railroad history.* | $5,000<br>12/28/11 |
| 84. | **Desert Aids Project**<br>1695 N. Sunrise Way<br>Palm Springs, CA 92262<br>*Funding to support evolving medical and social service needs of people living with HIV/AIDS by providing those direct services and advocacy, while working to prevent new infections through education and outreach. This grant is to support their annual fundraiser.* | $15,000<br>12/28/11 |
| | Total | $307,450 |