Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation: WASSERMAN FOUNDATION

A Employer identification number: 95-6038762

Number and street (or P O box number if mail is not delivered to street address): 10960 WILSHIRE BLVD. 5TH FLOOR | Room/suite

B Telephone number: (310)277-4657

City or town, state, and ZIP code: LOS ANGELES, CA 90024

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 183,075,395.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	3,450,102.	3,450,102.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,688,126.			
	b Gross sales price for all assets on line 6a 160159801.				
	7 Capital gain net income (from Part IV, line 2)		3,688,126.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	589,894.	589,894.		STATEMENT 2
	12 Total. Add lines 1 through 11	7,728,122.	7,728,122.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages	451,372.	0.		451,372.
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	9,059.	9,059.		0.
	b Accounting fees STMT 4	180,372.	180,372.		0.
	c Other professional fees STMT 5	787,085.	787,085.		0.
	17 Interest	27,277.	27,277.		0.
	18 Taxes STMT 6	89,735.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	157.	0.		0.
	22 Printing and publications				
	23 Other expenses STMT 7	49,692.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,594,749.	1,003,793.		451,372.
	25 Contributions, gifts, grants paid	13,573,848.			13,573,848.
	26 Total expenses and disbursements. Add lines 24 and 25	15,168,597.	1,003,793.		14,025,220.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-7,440,475.			
	b Net investment income (if negative, enter -0-)		6,724,329.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	416,328.	312,570.	312,570.
	2 Savings and temporary cash investments	10,638,672.	8,996,299.	9,024,985.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 10,630,431.			
	Less allowance for doubtful accounts ▶ 0.	10,318,351.	10,630,431.	10,630,431.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	163,769,071.	157,762,647.	163,107,409.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	185,142,422.	177,701,947.	183,075,395.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	185,142,422.	177,701,947.	
	30 **Total net assets or fund balances**	185,142,422.	177,701,947.	
	31 **Total liabilities and net assets/fund balances**	185,142,422.	177,701,947.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	185,142,422.
2	Enter amount from Part I, line 27a	2	-7,440,475.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	177,701,947.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	177,701,947.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	160,159,801.		156,471,675.	3,688,126.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				3,688,126.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,688,126.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2010	12,893,343.	192,405,955.	.067011
2009	9,361,905.	199,394,191.	.046952
2008	12,016,937.	207,793,678.	.057831
2007	10,615,765.	224,444,194.	.047298
2006	9,915,884.	217,714,887.	.045545

2 Total of line 1, column (d)	2	.264637
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052927
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	186,888,548.
5 Multiply line 4 by line 3	5	9,891,450.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	67,243.
7 Add lines 5 and 6	7	9,958,693.
8 Enter qualifying distributions from Part XII, line 4	8	14,025,220.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter ______ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	67,243.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	67,243.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	67,243.
6 Credits/Payments				
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	115,883.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	50,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	165,883.
8 Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	98,640.
11 Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶		98,640. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0. **(2)** On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.WASSERMANFOUNDATION.ORG

14 The books are in care of ▶ NIGRO KARLIN SEGAL & FELDSTEIN, LLP Telephone no ▶(310)277-4657

Located at ▶10960 WILSHIRE BLVD. 5TH FLOOR, LOS ANGELES, CA ZIP+4 ▶90024

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CASEY WASSERMAN 10960 WILSHIRE BLVD. 5TH FLOOR LOS ANGELES, CA 90024	PRESIDENT 0.00	0.	0.	0.
LYNNE WASSERMAN 10960 WILSHIRE BLVD. 5TH FLOOR LOS ANGELES, CA 90024	VICE PRESIDENT 0.00	0.	0.	0.
CAROL A. LEIF 10960 WILSHIRE BLVD. 5TH FLOOR LOS ANGELES, CA 90024	VICE PRESIDENT 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	189,734,567.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	189,734,567.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	189,734,567.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,846,019.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	186,888,548.
6	**Minimum investment return.** Enter 5% of line 5	6	9,344,427.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	9,344,427.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	67,243.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	67,243.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	9,277,184.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	9,277,184.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	9,277,184.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	14,025,220.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	14,025,220.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	67,243.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	13,957,977.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				9,277,184.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008 1,633,552.				
d From 2009				
e From 2010 3,360,171.				
f Total of lines 3a through e	4,993,723.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 14,025,220.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				9,277,184.
e Remaining amount distributed out of corpus	4,748,036.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	9,741,759.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	9,741,759.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008 1,633,552.				
c Excess from 2009				
d Excess from 2010 3,360,171.				
e Excess from 2011 4,748,036.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED EXHIBIT A SEE ATTACHED EXHIBIT LOS ANGELES, CA 90024	NOT RELATED	PUBLIC CHARITIES	CHARITABLE	13,573,848.
Total			▶ 3a	13,573,848.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	3,450,102.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	589,894.	
8 Gain or (loss) from sales of assets other than inventory			18	3,688,126.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		7,728,122.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 7,728,122.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 11/14/12 — Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SCOTT R. ROSEN, CPA		11/14/12		P01223172

Firm's name ▶ NIGRO KARLIN SEGAL & FELDSTEIN, LLP — Firm's EIN ▶ 95-3632775

Firm's address ▶ 10960 WILSHIRE BL, 5TH FL
LOS ANGELES, CA 90024 — Phone no (310)277-4657

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	GRE II PRIVATED INVESTORS, LLC K-1	P	VARIOUS	12/31/11
b	GRE II PRIVATED INVESTORS, LLC K-1	P	VARIOUS	12/31/11
c	BDT CAPITAL PARTNERS FUND 1-A	P	VARIOUS	12/31/11
d	BDT CAPITAL PARTNERS FUND 1-A	P	VARIOUS	12/31/11
e	J.P. MORGAN #10635000 (SEE STATEMENTS)	P	VARIOUS	12/31/11
f	J.P. MORGAN #10635000 (SEE STATEMENTS)	P	VARIOUS	12/31/11
g	J.P. MORGAN #10639002 (SEE STATEMENTS)	P	VARIOUS	12/31/11
h	J.P. MORGAN #10639002 (SEE STATEMENTS)	P	VARIOUS	12/31/11
i	J.P. MORGAN #10639002 (SEE STATEMENTS)	P	VARIOUS	12/31/11
j	J.P. MORGAN #11605002 (SEE STATEMENTS)	P	VARIOUS	12/31/11
k	J.P. MORGAN #11605002 (SEE STATEMENTS)	P	VARIOUS	12/31/11
l	J.P. MORGAN #11606000 (SEE STATEMENTS)	P	VARIOUS	12/31/11
m	J.P. MORGAN #11606000 (SEE STATEMENTS)	P	VARIOUS	12/31/11
n	J.P. MORGAN #11606000 (SEE STATEMENTS)	P	VARIOUS	12/31/11
o	J.P. MORGAN #11607008 (SEE STATEMENTS)	P	VARIOUS	12/31/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	121.			121.
b			31,595.	-31,595.
c			17,173.	-17,173.
d	71,033.			71,033.
e	5,587,788.		5,822,284.	-234,496.
f	25,354,927.		23,105,602.	2,249,325.
g	103,698,624.		103,489,145.	209,479.
h	19,644,353.		19,563,158.	81,195.
i	15,650.		21,386.	-5,736.
j	52,128.		47,821.	4,307.
k	866,345.		677,331.	189,014.
l	253,999.		264,438.	-10,439.
m	78,961.		80,550.	-1,589.
n	932,522.		718,632.	213,890.
o	323,212.		407,152.	-83,940.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				121.
b				-31,595.
c				-17,173.
d				71,033.
e				-234,496.
f				2,249,325.
g				209,479.
h				81,195.
i				-5,736.
j				4,307.
k				189,014.
l				-10,439.
m				-1,589.
n				213,890.
o				-83,940.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

123591
05-01-11

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	J.P. MORGAN #11607008 (SEE STATEMENTS)	P	VARIOUS	12/31/11
b	J.P. MORGAN #11607008 (SEE STATEMENTS)	P	VARIOUS	12/31/11
c	GAIN ON DISPOSAL OF BDT CAPITAL PARTNERS FUND I L	P	VARIOUS	12/31/11
d	J.P. MORGAN #35566008	P	VARIOUS	12/31/11
e	J.P. MORGAN #52504007	P	VARIOUS	12/31/11
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	716,382.		540,738.	175,644.
b	1,859,605.		1,449,902.	409,703.
c	253,165.			253,165.
d	181,959.		196,804.	-14,845.
e	37,636.		37,964.	-328.
f	231,391.			231,391.
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F.M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				175,644.
b				409,703.
c				253,165.
d				-14,845.
e				-328.
f				231,391.
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	3,688,126.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

123591
05-01-11

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BDT CAPITAL PARTNERS FUND I-A, LP	83,883.	0.	83,883.
CENTERVIEW CAPITAL LP	343.	0.	343.
ELW BUILDING COMPANY	10,694.	0.	10,694.
GOLDMAN SACHS #224955	110.	0.	110.
GRE II PRIVATE INVESTORS LLC	9,779.	0.	9,779.
JPM #35566008	65,226.	0.	65,226.
JPM #52504007	1,810.	0.	1,810.
JPM #849162128	25.	0.	25.
JPM #877204651	21.	0.	21.
JPM #A10635000	1,468,295.	231,391.	1,236,904.
JPM #A10639002	1,280,591.	0.	1,280,591.
JPM #A11605002	7,518.	0.	7,518.
JPM #A11606000	8,978.	0.	8,978.
JPM #A11607008	94,218.	0.	94,218.
JPM #Q11931005	2.	0.	2.
THE ANTHONY DESCENDENTS TRUST	650,000.	0.	650,000.
TOTAL TO FM 990-PF, PART I, LN 4	3,681,493.	231,391.	3,450,102.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
K-1 ELW BUILDING LLC.	-2,102.	-2,102.	
K-1 VII INTRASTAR PARTNERS LLC	-25,876.	-25,876.	
K-1 GRE II PRIVATE INVESTORS LLC	-60.	-60.	
K-1 BDT CAPITAL PARTNERS FUND I-A LP	-1,339.	-1,339.	
J.P. MORGAN #10635000	82,649.	82,649.	
J.P. MORGAN #10635000	1,314.	1,314.	
J.P. MORGAN #11606000	99.	99.	
J.P. MORGAN #35566008	875.	875.	
J.P. MORGAN #52504007	770.	770.	
INVESTMENT IN MERCER PARK CLO INVESTMENT FUND	533,564.	533,564.	
TOTAL TO FORM 990-PF, PART I, LINE 11	589,894.	589,894.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	9,059.	9,059.		0.
TO FM 990-PF, PG 1, LN 16A	9,059.	9,059.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	180,372.	180,372.		0.
TO FORM 990-PF, PG 1, LN 16B	180,372.	180,372.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT	787,085.	787,085.		0.
TO FORM 990-PF, PG 1, LN 16C	787,085.	787,085.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FORIEGN TAX ON DIVIDENDS	17,076.	0.		0.
PAYROLL TAX	22,499.	0.		0.
FILING FEES	10.	0.		0.
STATE TAXES	150.	0.		0.
FEDERAL TAXES	50,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	89,735.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	34,852.	0.		0.
PAYROLL FEES	1,141.	0.		0.
OFFICE EXPENSE	1,435.	0.		0.
WEBSITE	6,525.	0.		0.
DUES & SUBSCRIPTIONS	1,351.	0.		0.
MISCELLANEOUS EXPENSES	32.	0.		0.
PARKING	77.	0.		0.
MEALS & ENTERTAINMENT	766.	0.		0.
BANK FEES	1,125.	0.		0.
EVENT COSTS	2,388.	0.		0.
TO FORM 990-PF, PG 1, LN 23	49,692.	0.		0.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - ELW BUILDING, LLC	COST	6,398,636.	6,398,636.
INVESTMENTS IN HEDGE FUNDS	COST	53,843,652.	55,784,103.
INVESTMENTS - JP MORGAN (EQUITIES)	COST	31,858,224.	34,128,019.
INVESTMENTS - JP MORGAN (FIXED INC)	COST	65,017,276.	66,217,304.
ARMSTRONG INVESTMENT	COST	255,000.	255,000.
INVESTMENTS - K-2 MEDIA LLC	COST	250,000.	250,000.
INVESTMENTS - CANYON-AGASSI CHARTER SCHOOL	COST	139,859.	74,347.
TOTAL TO FORM 990-PF, PART II, LINE 13		157,762,647.	163,107,409.

WASSERMAN FOUNDATION
TAX YEAR 2011

FEIN #95-6038762

Charitable Organization	Total
A Better LA	250,000
AIDS Project Los Angeles	1,000
Alliance for Healthier Generation	700
ALS Association - Evergreen Chapter	1,000
Alzheimer's Association	10,000
American Diabetes Association	500
American Friends of the	125,000
American Jewish Committee	100,000
American Junior Golf Association	10,000
Atlanta Blue Jays	10,000
Bent on Learning	10,000
Beverly Hills Firemen's Association	6,500
Beverly Hills Police Officers Association	1,000
Blair House Restoration Foundation	100,000
Blessings in a Backpack	10,000
Boys & Girls Club of Boston	25,000
Brookings Institute	50,000
Casa Colina Foundation	10,000
Catholic Big Brothers/Big Sisters	4,000
Cedars Sinai Medical Center	500,000
Cedars Sinai Sports Spectacular	10,000
Center For American Progress	250,000
Center for A New American Security	25,000
Champions of the Community	70,000
Charley Hoffman Foundation	10,000
Children's Defense Fund	150,000
Children's Hospital Los Angeles	500,000
City of Hope	100,000
Clinton Presidential Foundation	300,000
Coach ART	10,000
College Track	5,000
Cystic Fibrosis Foundation	10,000
Direct Relief International	100,000
Donorschoose.org	519,335
Duke University	40,000
Elizabeth Glaser Pediatric Aids Foundation	50,000
Femnist Majority Foundation	250,000

FJC- Foundation of Philantrophic Funds	1,000
Foundation For A Civil Society	70,555
Foundation RWANDA	100,000
Georgetown University	10,000
George Washington University Medical Center	5,000
GLSEN	25,000
Grammy Museum Foundation	300,000
Greater Los Angeles Zoo Association (GLAZA)	25,000
Hammer Museum	25,000
Harriet Buhai Center	5,000
Hollenbeck Police Business Council	25,000
Hoops That Help Inc.	25,000
Horation Alger Association	10,000
Hospice of Wake County	1,000
House Ear Institute	80,000
Human Rights Watch	200,000
I Am Focused, Inc.	10,000
Ivy Sports Symposium	6,500
Jordan & Kyra Memorial Foundation	5,000
Juvenille Diabetes Foundation	5,500
Kids Unlimited	2,500
Lab School	10,000
LACMA	700,000
LAJCC Charity Foundation	5,000
Lance Armstrong Foundation	100,000
L.A.'s Best	200,000
Larry King Cardiac Foundation	500
LAUSD	1,500,000
LA Works	10,800
LBJ Foundation	75,000
Leo Baeck Temple	11,310
Los Angeles Fire Department	50,000
Los Angeles Parents Union	750,000
Lynne Cohen Foundation	2,500
Magic Johnson Foundation	25,000
Mammoth Mountain Community Foundation	2,500
Mia Hamm Foundation	5,000
March of Dimes	10,000
Massachusettes General Hospital	5,000

Menlo Charity Horse Show	7,000
Methodist Hospital	50,000
Michael J Fox Foundation	1,000
Millions Trees LA	262,500
MLB Players Trust	2,500
Morgan Pressel Foundation	10,000
Motion Picture TV Fund	200,000
Nathan S. Arenson Fund	5,000
North County Solutions for Change	5,000
Norwegian Red Cross	2,500
NYU Tisch	500,000
Oklahoma State University Foundation	5,000
One	250,000
Parkways Foundation	5,000
Partnership for Los Angeles Schools	38,125
Pennsylvania SPCA	10,000
PGA Tour Wives Association	20,000
Philadelphia Futures	10,000
Professional Baseball Scouts Foundation	5,000
Public Counsel	10,000
Rancho Los Alamitos Foundation	100,000
Right to Play	50,000
Road 2 Recovery	35,000
Robert Trent Jones Golf Club Charitable Foundation	5,000
Ryan Gomes Foundation	5,000
San Jose Police Acitivities League	10,000
Santa Monica Sports Medicine Foundation	2,500
Skirball Cultural Center	300,000
Sports Dream Foundation	5,000
St. Ferdinand Church	3,500
Teach for America	500,000
Teach Plus	200,000
Team Up For Down Syndrome	1,500
The Actors Fund	10,000
The Center for Early Education AFD	412,500
The Devon Horse Show	7,500
The Food Allergy & Anaphylaxis Network	5,000
The Gentle Barn	1,000
The Miguel Contreras Foundation	50,000

WASSERMAN FOUNDATION
TAX YEAR 2011

FEIN #95-6038762

The Mike Miller Foundation	26,500
The One Campaign	500,000
The Ryan Sheckeler Foundation	50,000
The V Foundation	39,500
Tony Blair Faith Foundation USA	307,000
UCLA Athletics	500,000
United Friends of the Children	2,500
UJA Federation of New York	5,750
United States Hunter Jumper Association	3,750
Upland Animal Shelter	500
USA Swimming Foundation	50,000
USC Annenberg	5,000
US Olympic Foundation	150,000
Washington International Horse Show	25,000
Westcoast Sports Associates	1,000
Whitney Museum	765,624
Women's Sports Foundation	15,000
World Wildlife Fund	3,400
Zach Johnson Foundation	16,000
42 Analytics Educational	10,000
Voided Ck #1133 - The Miguel Contreras Foundation	(25,000)
Voided Ck #1163 - St. Ferdinand Church	(3,500)
Total Contributions Year to Date	13,573,848

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
CS SPX NOTE 05/02/11 (80%KO BAR -0%CPN-7%CAP) INITIAL LEVEL- SPX·4/01/10 1178 10	22546EUD4	500,000.000	04/01/10	01/11/11	535,000.00	500,000.00	35,000.00	
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	42,265 427	Various	02/16/11	500,000.00	443,664.69	56,335.31	
JPM HIGH YIELD FD - SEL	4812C0803	151,678.657	Various	03/10/11	1,265,000 00	1,216,042.94	48,957.06	
MS BREN OIL COUPON 03/11/11 LNKD TO GSCI INDEX 8%CPN, 10%BUFFER, 8% MAX RET DD 09/03/10	617482NG5	500,000 000	09/03/10	03/11/11	540,000.00	500,000.00	40,000.00	
JPM HIGH YIELD FD - SEL	4812C0803	93,294 578	Various	06/03/11	775,277 94	747,261.77	28,016.17	
NUVEEN NWQ VALUE OPPORTUNITIES FUND	67064Y636	5,888.906	Various	07/20/11	212,942.83	206,909.57	6,033.26	
SPDR GOLD TRUST	78463V107	1,555 000	02/16/11	10/06/11	249,790 40	208,405 45	41,384.95	
ARTIO INTERNATIONAL EQUITY II - I	04315J837	159,933.978	Various	12/19/11	1,509,776 75	2,000,000 00	-490,223 25	
Total Short Term Non Covered					**5,587,787.92**	**5,822,284.42**	**-234,496.50**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
SPDR GOLD TRUST	78463V107	18,737.000	00/00/00	01/05/11	886 87	.00	886 87	
COST BASIS ALLOC. RATE 0.000354471								
GS EAFE BREN 01/14/11 (10%BUFFER- 2XLEV-8 24%CAP-16 48%MAXPYMT) INITIAL LEVEL-12/18/09·SX5E:2891 66 UKX:5217.61 TPX:896 28	38143UFV8	500,000.000	12/18/09	01/14/11	520,935.00	500,000.00	20,935.00	
HSBC SPX BREN 01/14/11 (10%BUFF -2XLEV-7 55%CAP-15.1%MXPYMT) INITIAL LEVEL-SPX:12/18/09· 1102.47	4042K0H99	500,000.000	12/18/09	01/14/11	575,500.00	500,000.00	75,500.00	
SPDR GOLD TRUST	78463V107	18,737 000	00/00/00	02/04/11	834 09	00	834.09	
COST BASIS ALLOC. RATE 0 000336685								
BARC EAFE BREN 02/10/11 (10%BUFFER- 2XLEV-6 274%CAP-12 548%MAXPYMT) INITIAL LEVEL-1/22/10 SX5E 2836.80 UKX·5302 99 TPX 940 94	06740JRK8	500,000.000	01/22/10	02/10/11	546,250 00	500,000.00	46,250 00	
HSBC SPX BREN 02/10/11 (10%BUFF -2XLEV-5 37%CAP-10 74%MXPYMT) INITIAL LEVEL-SPX 1/22/10· 1091.76	4042K0M44	500,000.000	01/22/10	02/10/11	553,700 00	500,000.00	53,700.00	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
CS ASIA BSKT MKT PLS NOTE 02/24/2011 (72.7%KO BARRIER-0%PMT-UNCAPPED) INITIAL LEVEL-ASIA BSKT 08/21/09:100	22546ELZ5	500,000.000	08/21/09	02/24/11	599,147.87	500,000 00	99,147 87	
BARC ASIA BSKT MKT PLS NOTE 03/03/11 (71.5%KO BARRIER-0%PMT-UNCAPPED) INITIAL LEVEL-ASIA BSKT·08/28/09 100	06739JTJ2	500,000.000	08/28/09	03/03/11	582,610 45	500,000.00	82,610.45	
SPDR GOLD TRUST COST BASIS ALLOC RATE 0.000281236	78463V107	28,062.000	00/00/00	03/03/11	1,094 38	.00	1,094.38	
DB ASIA BREN 03/03/11 (10%BUFF -2XLEV-8.83%CAP-17 66%MXPYMT) INITIAL LEVEL-ASIA·2/12/10·100.00	2515A02L6	500,000.000	02/12/10	03/10/11	588,300 00	500,000.00	88,300 00	
BARC EAFE BREN 03/17/11 (10%BUFFER-2XLEV-7.11%CAP -14.22%MAXPYMT) INITIAL LEVEL-2/26/10·SX5E 2728.47 UKX:5354.52 TPX 894 10	06740JN63	500,000.000	02/26/10	03/17/11	556,434 40	500,000.00	56,434.40	
CS SPX BREN 03/17/11 (10%BUFF -2XLEV-5.72%CAP-11.44%MXPYMT) INITIAL LEVEL- SPX:2/26/10: 1104.49	22546ESX3	500,000 000	02/26/10	03/17/11	557,200 00	500,000.00	57,200.00	
BARC ASIA MKT PLS NOTE 03/24/11 (75% CONTIN BARRIER-0%PMT-UNCAPPED) INITIAL LEVEL-ASIA.09/18/09: 100.00	06739JD67	500,000 000	09/18/09	03/24/11	540,653 80	500,000.00	40,653 80	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P. Morgan

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BARC EAFE BREN 03/31/11 (10%BUFFER- 2XLEV-6 18%CAP-12 36%MAXPYMT) INITIAL LEVEL-3/12/10:SX5E·2898 36 UKX·5625 65 TPX·936.38	06740JZS2	500,000.000	03/12/10	03/31/11	509,526.00	500,000.00	9,526.00	
JPM SPX BREN 03/31/11 (10%BUFF -2XLEV-5.15%CAP-10.3%MXPYMT) INITIAL LEVEL- SPX 3/12/10: 1149 99	48124AJY4	500,000.000	03/12/10	03/31/11	551,500 00	500,000.00	51,500 00	
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0 000319228	78463V107	28,062 000	00/00/00	04/07/11	1,275.01	00	1,275 01	
MS COMMODITY CPN 04/14/11 KNOCK-OUT NOTES LKND S&P GSCI EXCESS RETURN INDEX MAX RET 117.25%, DD 04/01/10	617482LG7	500,000.000	04/01/10	04/14/11	586,250.00	500,000.00	86,250.00	
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	40,000.000	03/17/10	04/14/11	500,000 00	412,000.00	88,000.00	
GS EAFE NOTE 04/25/11 (80%KO BAR -0%CPN-7%CAP) INITIAL LEVEL- 4/01/10: SX5E.2978 50 UKX 5744 89 TPX 985.26	38143UHL8	500,000.000	04/01/10	04/25/11	500,000 00	500,000.00	00	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BARC COMMODITY CPN 5/9/11 CAPPED MARKET PLUS NOTES LKND S&P GSCI EXCESS RETURN INDEX INT LEVEL 444.2485, DD 04/16/10	06740LNK7	500,000.000	04/16/10	05/09/11	570,000 00	500,000.00	70,000.00	
SPDR GOLD TRUST COST BASIS ALLOC RATE 0.000333782	78463V107	28,062.000	00/00/00	05/09/11	1,371.51	.00	1,371.51	
HSBC EAFE BREN 05/05/11 (10%BUFF, 6.36%CAP 2X-12 72MAX) INITIAL LEVEL- 4/16/10: SX5E:2949.65 UKX.5743.96 TPX.988.84	4042K0W27	500,000 000	04/16/10	05/11/11	514,943.76	500,000.00	14,943.76	
GS EAFE NOTE 05/12/11 (80%KO BAR -0%CPN-7%CAP) INITIAL LEVEL- 4/09/10 SX5E 2993 54 UKX.5770.98 TPX.989 42	38143UHN4	500,000.000	04/09/10	05/12/11	500,000.00	500,000.00	00	
MORGAN STANLEY ARN SPX 5/16/11 (10%BUFF-9.3%COUP-90/100/100) INITIAL LEVEL-SPX·1397.84 MD 05/16/2011 DD·04/25/2008	6174466A2	500,000.000	04/25/08	05/16/11	500,000.00	500,000.00	.00	
JPM SPX BREN 05/16/11 (10%BUFF -2XLEV-5 7%CAP-10 94%MXPYMT) INITIAL LEVEL-SPX.04/30/10. 1186.69	48124ANL7	500,000.000	04/28/10	05/19/11	555,200.00	500,000.00	55,200.00	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P. Morgan

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	40,551.500	03/17/10	05/19/11	500,000.00	417,680.45	82,319.55	
BARC EAFE NOTE 05/27/11 (80%KO BAR -0%CPN-7%CAP) INITIAL LEVEL- 4/23/10: SX5E:2918.11 UKX.978.20 TPX.5723.65	06740LPM1	500,000.000	04/23/10	05/27/11	500,000.00	500,000.00	00	
JPM 1YR EM FX BREN MD 06/01/11, BSKT LONG BRL,TRY,IDR VS SHORT USD, JPY BUFFER 10%, MAX LOSS 100%, MAX RET 19 5%, PTPN 1 95X, DD 05/14/10	48124AQQ3	500,000.000	05/14/10	06/01/11	500,000.00	500,000.00	.00	
JPM HIGH YIELD FD - SEL	4812C0803	117,000.280	12/09/08	06/03/11	972,272.33	637,651.53	334,620.80	
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000321858	78463V107	28,062 000	00/00/00	06/08/11	1,353 56	00	1,353.56	
GS EAFE BREN 06/17/11 (10%BUFFER- 2XLEV-11 49%CAP-22 98%MAXPYMT) INITIAL LEVEL-05/28/10.SX5E 2614 06 UKX:5188 43 TPX:878 52	38143UJW2	500,000.000	05/28/10	06/17/11	553,324 44	500,000.00	53,324.44	
JPM BREN SPX 06/24/11 (10%BUFF-2XLEV -8 62%CAP-17.24%MXPYMT) INITIAL LEVEL-SPX.06/04/10. 1064.88	48124ASX6	500,000.000	06/04/10	06/24/11	586,200.00	500,000 00	86,200.00	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P.Morgan

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
DEUTSCHE BK ARN SPX 7/18/11 (10%BUFF-10.3%COUP-90/100/100) INITIAL LEVEL-SPX·1278.38 DD:06/27/2008	2515A0PW7	500,000 000	06/27/08	06/28/11	582,850.00	500,000 00	82,850.00	
MORGAN STANLEY ARN SPX 8/11/11 (90/100/100 -10% BUFFER-11.42% PAYMENT INITIAL LEVEL SPX.7/18/08:1260.68	617482AJ3	500,000.000	07/18/08	06/30/11	635,400.00	500,000.00	135,400.00	
BARC 95% PPN BRIC BASKET 7/8/11 MD 7/8/11 BRL,RUB,INR,CNY VS. USD MAX RETURN 33 55% UPSIDE PART 257% DD 07/02/09	06739JKQ5	500,000.000	07/02/09	07/08/11	633,434.40	525,784.94	.00	107,649.46 O
CS BREN ASIA BSKT 07/08/11 (10%BUFF-9.34%CAP-18.68%MXPYMT) INITIAL LEVEL-ASIA BASKET 06/11/10: 100 00	22546EWQ3	500,000 000	06/11/10	07/08/11	593,400 00	500,000.00	93,400 00	
GS BREN SPX 07/08/11 (10%BUFF-2XLEV -9%CAP-18%MXPYMT) INITIAL LEVEL-SPX.06/11/10: 1091 26	38143UKC4	500,000 000	06/11/10	07/08/11	590,000 00	500,000 00	90,000.00	
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0 000327575	78463V107	28,062.000	00/00/00	07/08/11	1,380.51	.00	1,380.51	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION
Account Number. A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	40,883.074	03/17/10	07/14/11	500,000 00	421,095.66	78,904.34	
NUVEEN NWQ VALUE OPPORTUNITIES FUND	67064Y636	7,938 528	Various	07/20/11	287,057 17	232,983.67	54,073.50	
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	76,335.878	03/17/10	08/04/11	900,000 00	786,259.54	113,740 46	
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	44,130.627	03/17/10	08/09/11	500,000.00	454,545.46	45,454 54	
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000292563	78463V107	28,062.000	00/00/00	08/10/11	1,416.87	.00	1,416.87	
GS CONT BUFF EQ NOTE SPX 08/25/11 (80% CONTIN BARRIER 12%COUP-30%MXPYMT) INITIAL LEVEL- SPX·2/19/10· 1109 17	38143UGP0	500,000.000	02/19/10	08/25/11	560,000.00	500,000.00	60,000.00	
JPM SPX CONT BUFF EQ NOTE 09/01/11 (80%CONTIN BARRIER --30%CAP-9.43%CPN) INITIAL LEVEL- SPX:3/05/10· 1138 70	48124AJE8	500,000.000	03/05/10	09/01/11	547,150.00	500,000 00	47,150.00	
GS BREN EAFE 09/08/11 (10%BUFFER-2XLEV-7 51%CAP-15 02%MAXRTRN) INITIAL LEVEL-08/13/10 SX5E·2708.73 UKX·5275 44 TPX.831 24	38143ULT6	500,000.000	08/13/10	09/08/11	479,267.29	500,000.00	-20,732.71	
ABERDEEN ASIA PACIFIC EX JAPAN EQUITY INSTITUTIONAL FUND	003021698	43,630.017	03/17/10	09/08/11	500,000.00	449,389.18	50,610.82	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P.Morgan

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
SPDR GOLD TRUST	78463V107	28,062 000	00/00/00	09/09/11	1,582.77	00	1,582.77	
COST BASIS ALLOC RATE 0 000312966								
DEUTSCHE BK ARN SPX 9/22/11	2515A0SK0	500,000.000	09/05/08	09/22/11	500,000 00	500,000.00	.00	
(90/100/100-10%BUFFER-10.05%PAYMENT)								
INITIAL LVL-SPX·09/05/2008: 1242.31								
SG BREN SPX 10/6/11	78423AYT3	500,000 000	09/17/10	10/06/11	515,910.00	500,000.00	15,910.00	
10%BUFFER-2XLEV-7.4%CAP-								
14 8%MAXRTRN								
INITIAL LEVEL - 9/17/10 SPX·1125.59								
DEUTSCHE BK ARN SPX 10/13/11	2515A0SS3	500,000.000	09/26/08	10/13/11	500,000.00	500,000.00	.00	
(90/100/100-10%BUFFER-11.1%PYMT)								
INITIAL LEVEL-SPX:9/26/08: 1213.27								
SPDR GOLD TRUST	78463V107	26,507.000	00/00/00	10/13/11	1,679 95	.00	1,679.95	
COST BASIS ALLOC RATE 0.000393229								
VANGUARD MSCI EMERGING MARKETS ETF	922042858	13,015.000	09/15/10	10/20/11	501,075 67	570,272 96	-69,197.29	
VANGUARD MSCI EMERGING MARKETS ETF	922042858	11,840 000	09/15/10	11/03/11	499,321.09	518,722.31	-19,401 22	
CS BREN EAFE 11/9/11	22546ED27	500,000.000	10/22/10	11/09/11	470,570 00	500,000 00	-29,430 00	
10%BUFFER-2XLEV-8 857%CAP-								
17 714%MAXRTRN								
INITIAL LEVEL-10/22/10.SX5E:2873.74								
UKX.5741 37 TPX·824.88								

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P. Morgan

WASSERMAN FOUNDATION
Account Number: A 10635-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*	
Long Term Non Covered									
SG BREN SPX 11/9/11	78423AZP0	500,000.000	10/22/10	11/09/11	552,145.00	500,000.00	52,145.00		
10%BUFFER-2XLEV-6.95%CAP-									
13.9%MAXRTRN									
INITIAL LEVEL-10/22/10 SPX: 1183.08									
SPDR GOLD TRUST	78463V107	26,507.000	00/00/00	11/14/11	1,399.55	.00	1,399 55		
COST BASIS ALLOC. RATE 0.000305557									
HSBC ASIAN CPN NT 12/6/11	4042K1AU7	500,000.000	12/03/10	12/06/11	475,000 00	500,000.00	.00	-25,000.00	F
INR, IDR, KRW, SGD VS USD									
14.75% CPN, 95% PPN									
DD 12/3/10									
SPDR GOLD TRUST	78463V107	26,507 000	00/00/00	12/09/11	1,395 94	.00	1,395.94		
COST BASIS ALLOC. RATE 0 000316820									
VANGUARD MSCI EMERGING MARKETS ETF	922042858	16,095.000	09/15/10	12/19/11	605,161.98	705,001 24	-99,839 26		
Total Long Term Non Covered					**26,463,361.66**	**24,131,386.94**	**2,249,325.26**	**107,649.46**	**O**
								-25,000.00	**F**

** SEE RECAP BELOW **

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

	SALES	COST	G/L
LTCG	25,354,927.26	23,105,602	2,249,325.26
Foreign Exchange	475,000	500,000	<25,000>
Ordinary Gain	633,434.40	525,784.94	107,649.46
TOTALS	26,463,361.66	24,131,386.94	2,331,974.72

J.P.Morgan

WASSERMAN FOUNDATION-NEUBERGER
Account Number: A 11607-00-8

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Covered								
CARTER INC	146229109	1,000.000	01/13/11	02/11/11	28,564.45	29,465.10	-900.65	
JP MORGAN CHASE & CO	46625H100	700.000	01/25/11	02/11/11	32,759.37	31,655.47	1,103.90	
CARTER INC	146229109	500.000	01/13/11	07/28/11	16,975.67	14,732.55	2,243.12	
METLIFE INC	59156R108	2,500.000	Various	08/05/11	90,353.51	108,438.60	-18,085 09	
HEWLETT-PACKARD CO	428236103	800.000	03/23/11	08/23/11	19,470.83	33,444.56	-13,973 73	
SCHWAB CHARLES CORP	808513105	1,300.000	06/08/11	08/23/11	15,411.20	20,931.17	-5,519.97	
CARTER INC	146229109	500 000	01/13/11	10/27/11	18,655.34	14,732.55	3,922 79	
GOLDMAN SACHS GROUP INC	38141G104	1,000.000	Various	12/06/11	101,021 86	153,751.56	-52,729.70	
Total Short Term Covered					**323,212.23**	**407,151.56**	**-83,939.33**	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION-NEUBERGER
Account Number: A 11607-00-8

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
J CREW GROUP INC	46612H402	400.000	11/05/10	01/21/11	17,351 66	13,519.28	3,832 38	
GOLAR LNG LTD	G9456A100	1,000.000	07/21/10	02/11/11	18,449.74	9,747.10	8,702.64	
CENOVUS ENERGY INC	15135U109	600.000	02/22/10	02/11/11	21,167 65	15,759.72	5,407.93	
EBAY INC	278642103	800 000	03/15/10	02/11/11	27,399.55	20,767.20	6,632.35	
HEWLETT-PACKARD CO	428236103	900.000	08/31/10	02/11/11	43,694 25	34,987.41	8,706 84	
J CREW GROUP INC	46612H402	1,900.000	11/05/10	02/11/11	82,629 60	64,216 58	18,413.02	
MCDERMOTT INTERNATIONAL INC	580037109	1,400.000	10/21/10	02/11/11	31,275.53	21,192.92	10,082.61	
OMNICOM GROUP INC	681919106	1,200.000	09/17/10	02/11/11	59,098 98	46,770.96	12,328.02	
PFIZER INC	717081103	700.000	04/08/10	02/11/11	13,166.74	11,975.88	1,190 86	
RANGE RESOURCES CORP	75281A109	900.000	09/29/10	02/11/11	43,811 24	33,583.59	10,227.65	
SCHWAB CHARLES CORP	808513105	700.000	10/27/10	02/11/11	13,474 81	10,607.80	2,867.01	
MCDERMOTT INTERNATIONAL INC	580037109	3,500.000	10/21/10	03/02/11	84,871.62	52,982 30	31,889.32	
GOLAR LNG LTD	G9456A100	750.000	07/21/10	03/14/11	15,163.58	7,310.32	7,853.26	
GOLAR LNG LTD	G9456A100	1,150.000	07/21/10	03/21/11	27,015.40	11,209.17	15,806.23	
GOLAR LNG LTD	G9456A100	250 000	07/21/10	04/08/11	7,013 41	2,436.77	4,576.64	
GOLAR LNG LTD	G9456A100	750.000	07/21/10	05/20/11	21,675 33	7,310 33	14,365.00	
GOLAR LNG LTD	G9456A100	900 000	07/21/10	05/31/11	30,399 43	8,772.39	21,627.04	
MORGAN STANLEY	617446448	1,400.000	09/16/10	06/27/11	31,219 40	37,700 18	-6,480.78	
RANGE RESOURCES CORP	75281A109	500.000	09/29/10	07/28/11	32,677.32	18,657.55	14,019.77	
HEWLETT-PACKARD CO	428236103	200.000	08/31/10	08/23/11	4,867.71	7,774.98	-2,907.27	
SCHWAB CHARLES CORP	808513105	5,700.000	10/27/10	08/23/11	67,572.20	86,377.80	-18,805.60	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION-NEUBERGER
Account Number: A 11607-00-8

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
CARTER INC	146229109	600 000	11/08/10	10/27/11	22,386 41	17,077.32	5,309.09	
Total Short Term Non Covered					**716,381.56**	**540,737.55**	**175,644.01**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION-NEUBERGER
Account Number: A 11607-00-8

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
ABB LTD SPONS ADR	000375204	1,650.000	Various	01/04/11	37,039.89	37,944.44	-904.55	
MC DONALDS CORP	580135101	25.000	09/17/09	01/13/11	1,823 24	1,407.54	415.70	
ABB LTD SPONS ADR	000375204	500.000	05/07/09	01/25/11	11,805.77	7,994.80	3,810.97	
AETNA INC	00817Y108	500 000	05/22/08	01/25/11	16,650.68	23,424.30	-6,773.62	
DRESSER-RAND GROUP INC	261608103	525 000	05/13/09	01/25/11	23,068.05	13,119 75	9,948.30	
THERMO FISHER SCIENTIFIC INC	883556102	700.000	Various	01/25/11	39,654 23	39,782.00	-127.77	
ROCKWELL AUTOMATION INC	773903109	1,600.000	03/30/09	01/26/11	128,919.76	34,959 84	93,959.92	
AETNA INC	00817Y108	1,100.000	Various	02/07/11	41,166.81	40,532 56	634.25	
ABB LTD SPONS ADR	000375204	1,150.000	Various	02/11/11	26,909.60	18,288.92	8,620.68	
ACTIVISION BLIZZARD INC	00507V109	2,500.000	10/29/09	02/11/11	27,024.73	27,652.50	-627.77	
AETNA INC	00817Y108	1,000.000	Various	02/11/11	37,769.37	28,992.78	8,776.59	
BED BATH & BEYOND INC	075896100	850.000	07/02/09	02/11/11	42,440.70	25,267.61	17,173.09	
CISCO SYSTEMS INC	17275R102	1,000.000	06/26/09	02/11/11	18,709.74	19,139.90	-430.16	
ECOLAB INC	278865100	500.000	Various	02/11/11	24,894.52	17,833.00	7,061 52	
JP MORGAN CHASE & CO	46625H100	1,100.000	Various	02/11/11	51,479.01	42,746.99	8,732.02	
MICROSOFT CORP	594918104	1,400.000	Various	02/11/11	38,205.40	27,849.29	10,356.11	
MORGAN STANLEY	617446448	900.000	08/28/09	02/11/11	27,116.56	26,775.36	341.20	
NATIONAL FUEL GAS CO	636180101	650.000	Various	02/11/11	45,680.60	21,072.43	24,608.17	
NEWS CORP INC CL A	65248E104	4,000 000	Various	02/11/11	69,638.66	32,235.25	37,403.41	
PEPSICO INC	713448108	600.000	Various	02/11/11	38,171 32	40,046.19	-1,874.87	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION-NEUBERGER
Account Number. A 11607-00-8

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
PROCTER & GAMBLE CO	742718109	700.000	Various	02/11/11	45,380.12	42,631 80	2,748.32	
THERMO FISHER SCIENTIFIC INC	883556102	400.000	Various	02/11/11	23,091.59	19,951 78	3,139.81	
3M CO	88579Y101	700.000	Various	02/11/11	64,083 83	47,849.89	16,233.94	
ACTIVISION BLIZZARD INC	00507V109	1,500 000	10/29/09	03/01/11	16,499 83	16,591.50	-91.67	
AETNA INC	00817Y108	3,400 000	Various	03/08/11	128,397.51	77,583.82	50,813.69	
DRESSER-RAND GROUP INC	261608103	2,000.000	Various	03/23/11	104,648 39	45,425.43	59,222.96	
PROCTER & GAMBLE CO	742718109	1,800 000	Various	04/21/11	113,840.25	87,642.85	26,197 40	
PEPSICO INC	713448108	1,100.000	Various	06/01/11	77,656 63	61,456.89	16,199.74	
CISCO SYSTEMS INC	17275R102	7,000.000	Various	06/08/11	108,246 62	121,598 41	-13,351.79	
MORGAN STANLEY	617446448	3,800.000	Various	06/27/11	84,738.37	111,837 06	-27,098.69	
BED BATH & BEYOND INC	075896100	300.000	07/02/09	07/11/11	17,747 65	8,917 98	8,829.67	
NATIONAL FUEL GAS CO	636180101	200 000	02/06/09	07/11/11	14,183.70	6,463.98	7,719.72	
PEPSICO INC	713448108	325.000	03/30/09	07/25/11	20,915 78	16,802.47	4,113 31	
HEWLETT-PACKARD CO	428236103	2,800.000	05/20/09	08/23/11	68,147.88	97,823.88	-29,676.00	
PEPSICO INC	713448108	975 000	Various	11/17/11	62,283 75	48,888.40	13,395.35	
RANGE RESOURCES CORP	75281A109	300.000	09/29/10	11/30/11	21,479.58	11,194 53	10,285.05	
MICROSOFT CORP	594918104	1,300.000	Various	12/05/11	33,448.09	25,602.15	7,845.94	
MICROSOFT CORP	594918104	4,100.000	Various	12/20/11	106,647.15	74,573 79	32,073.36	
Total Long Term Non Covered					**1,859,605.36**	**1,449,902.06**	**409,703.30**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

Corrected Copy 04/12/2012

WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Covered								
HESS CORP	42809H107	200.000	01/14/11	08/12/11	11,440.68	16,293.34	-4,852.66	
LIFE TECHNOLOGIES CORP	53217V109	200.000	06/20/11	10/17/11	7,369.65	10,435 46	-3,065 81	
AFLAC INC	001055102	130.000	03/18/11	11/07/11	5,783.61	6,620.73	-837.12	
AMAZON COM INC	023135106	60.000	02/04/11	11/07/11	12,875 75	10,497.49	2,378.26	
ANADARKO PETROLEUM CORP	032511107	75 000	02/11/11	11/07/11	6,047.16	5,870.78	176.38	
BROADCOM CORP CL A	111320107	280.000	Various	11/07/11	9,872 66	11,379.08	-1,506.42	
CHEVRON CORP	166764100	135.000	08/12/11	11/07/11	14,303 04	13,029.48	1,273.56	
DIAGEO P L C SPONS ADR NEW	25243Q205	205.000	05/02/11	11/07/11	17,172 58	16,759.66	412.92	
WALT DISNEY CO	254687106	255.000	02/25/11	11/07/11	8,861.12	10,977.34	-2,116.22	
E I DU PONT DE NEMOURS & CO	263534109	310.000	08/12/11	11/07/11	14,935.57	14,786.97	148.60	
E M C CORP MASS	268648102	170.000	02/04/11	11/07/11	4,129.30	4,346.44	-217.14	
EBAY INC	278642103	580.000	Various	11/07/11	18,565 56	17,687.61	877.95	
EQUINIX INC	29444U502	155.000	Various	11/07/11	14,674.57	14,665 59	8.98	
FLUOR CORP	343412102	285.000	Various	11/07/11	15,352.79	17,418 38	-2,065.59	
GOOGLE INC CL A	38259P508	10.000	01/03/11	11/07/11	5,929 89	6,032.15	-102.26	
THE ESTEE LAUDER COMPANIES INC CL A	518439104	225.000	Various	11/07/11	26,236.81	22,744.32	3,492.49	
MICROSOFT CORP	594918104	695 000	Various	11/07/11	18,188.14	18,412.67	-224.53	
NETEASE.COM INC ADR	64110W102	340.000	Various	11/07/11	16,088.59	16,862.40	-773 81	
ORACLE CORP	68389X105	190.000	06/10/11	11/07/11	6,051.38	5,960.38	91 00	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P.Morgan

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WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Covered								
PIONEER NATURAL RESOURCES CO	723787107	55.000	02/11/11	11/07/11	5,058.82	5,315.28	-256.46	
SCHLUMBERGER LTD	806857108	60 000	02/14/11	11/07/11	4,451.32	5,492.08	-1,040.76	
TEVA PHARMACEUTICAL INDUSTRIES LTD SPONS ADR	881624209	260.000	05/24/11	11/07/11	10,610.42	12,850.50	-2,240.08	
Total Short Term Covered					**253,999.41**	**264,438.13**	**-10,438.72**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
3M CO	88579Y101	150.000	08/09/10	02/04/11	13,180.48	13,165.36	15.12	
FEDEX CORP	31428X106	155.000	08/09/10	05/02/11	14,671 47	13,422.85	1,248.62	
PETROLEO BRASILEIRO SA PETROBRAS ADR	71654V408	80.000	06/21/10	05/24/11	2,688.85	3,149.66	-460.81	
COMPANHIA DE BEBIDAS PRF A/D/R	20441W203	810.000	06/13/11	06/13/11	9 96	.00	9.96	
CORNING INC	219350105	740.000	Various	06/17/11	13,176.99	12,872.93	304.06	
JACOBS ENGINEERING GROUP INC	469814107	145.000	10/07/10	09/30/11	4,736 59	5,772.64	-1,036.05	
AFLAC INC	001055102	275.000	12/17/10	11/07/11	12,234 57	15,393.65	-3,159.08	
CATERPILLAR INC	149123101	120.000	11/29/10	11/07/11	11,254 62	9,954.20	1,300.42	
HALLIBURTON CO	406216101	190.000	11/12/10	11/07/11	7,007.16	6,818 99	188.17	
Total Short Term Non Covered					**78,960.69**	**80,550.28**	**-1,589.59**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
HEWLETT-PACKARD CO	428236103	325.000	Various	01/03/11	13,996 44	14,396.66	-400.22	
COMPANHIA DE BEBIDAS PRF A/D/R	20441W203	315.000	Various	01/14/11	8,849.96	4,603 90	4,246.06	
AVON PRODUCTS INC	054303102	375 000	11/12/09	02/04/11	10,803 69	12,963.30	-2,159.61	
ECOLAB INC	278865100	265.000	Various	02/11/11	13,155.18	12,357.15	798.03	
ECOLAB INC	278865100	60.000	Various	02/14/11	2,984.64	2,393.20	591.44	
WALMART STORES INC	931142103	210.000	12/05/08	02/25/11	10,833 02	11,685.98	-852.96	
AECOM TECHNOLOGY CORP	00766T100	285 000	Various	03/15/11	7,635.63	7,662.79	-27.16	
AECOM TECHNOLOGY CORP	00766T100	170.000	01/22/09	03/16/11	4,484.90	4,238.71	246.19	
DOLBY LABORATORIES INC CL A	25659T107	195 000	01/22/09	03/25/11	9,455.37	5,454.79	4,000.58	
INTEL CORP	458140100	675.000	Various	04/05/11	13,345.57	14,517.20	-1,171.63	
RED HAT INC	756577102	275.000	02/26/09	04/05/11	12,782 82	3,887.26	8,895.56	
RED HAT INC	756577102	32.000	02/26/09	04/06/11	1,473.77	452.34	1,021.43	
DIRECTV CLASS A	25490A101	220.000	07/24/08	05/09/11	10,675.00	5,877.52	4,797.48	
T ROWE PRICE GROUP INC	74144T108	175.000	Various	05/09/11	10,901.40	10,402 14	499 26	
PETROLEO BRASILEIRO SA PETROBRAS ADR	71654V408	145.000	05/19/09	05/24/11	4,873.54	5,765.16	-891.62	
VISA INC CLASS A SHARES	92826C839	115.000	04/21/09	06/10/11	8,640 03	6,427.04	2,212.99	
CISCO SYSTEMS INC	17275R102	680.000	Various	07/08/11	10,628.39	16,004.55	-5,376.16	
T ROWE PRICE GROUP INC	74144T108	185.000	Various	08/12/11	9,494.12	8,268.25	1,225 87	
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	192446102	85 000	04/25/08	08/30/11	5,271.68	2,624.51	2,647.17	
TEXAS INSTRUMENTS INC	882508104	180.000	04/25/08	08/30/11	4,653.07	5,290.20	-637.13	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

Corrected Copy 04/12/2012

WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
JACOBS ENGINEERING GROUP INC	469814107	210.000	Various	09/30/11	6,859.89	15,017.36	-8,157.47	
ACCENTURE PLC-CL A	G1151C101	245.000	Various	11/07/11	14,114.29	9,411.00	4,703.29	
ABB LTD	000375204	850.000	Various	11/07/11	15,614 62	19,462.00	-3,847.38	
SPONS ADR								
AGCO CORP	001084102	295.000	Various	11/07/11	13,413.69	12,194.11	1,219.58	
ALLERGAN INC	018490102	220.000	04/12/10	11/07/11	18,305.88	13,929.97	4,375.91	
AMERIPRISE FINANCIAL INC	03076C106	200.000	Various	11/07/11	9,191.88	9,179.31	12.57	
ANADARKO PETROLEUM CORP	032511107	150.000	05/20/10	11/07/11	12,094 31	8,134.28	3,960.03	
APPLE INC.	037833100	135.000	Various	11/07/11	53,544.06	19,946.45	33,597.61	
BORG-WARNER INC	099724106	320.000	06/21/10	11/07/11	23,474.77	13,364.09	10,110.68	
BROADCOM CORP	111320107	240.000	06/24/10	11/07/11	8,462.28	8,284.61	177.67	
CL A								
CNOOC LTD	126132109	50.000	06/21/10	11/07/11	9,573.81	8,939.69	634.12	
ADR								
CAMERON INTERNATIONAL CORPORATION	13342B105	400 000	Various	11/07/11	20,143.73	19,110.25	1,033.48	
CARNIVAL CORPORATION	143658300	450.000	Various	11/07/11	15,119.93	15,943.25	-823.32	
CELGENE CORPORATION	151020104	220 000	04/12/10	11/07/11	13,912.57	13,595.47	317.10	
CERNER CORP	156782104	310 000	Various	11/07/11	19,439.81	6,652 62	12,787.19	
COGNIZANT TECHNOLOGY SOLUTIONS CORP	192446102	250.000	Various	11/07/11	17,318.29	7,026.83	10,291.46	
CL A								
COMPANHIA DE BEBIDAS PRF	20441W203	810.000	Various	11/07/11	26,859.49	10,439.88	16,419.61	
A/D/R								
DANAHER CORP	235851102	360.000	Various	11/07/11	17,305.22	10,702.17	6,603.05	
DIRECTV CLASS A	25490A101	285.000	Various	11/07/11	12,938.78	7,515.41	5,423.37	

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Corrected Copy 04/12/2012

WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
DOVER CORP	260003108	380.000	Various	11/07/11	20,959.29	15,178.03	5,781.26	
E M C CORP MASS	268648102	880 000	10/01/10	11/07/11	21,375.23	17,871.13	3,504.10	
EXPRESS SCRIPTS INC CL A	302182100	280.000	Various	11/07/11	12,874.29	9,288.70	3,585.59	
FLOWSERVE CORP	34354P105	115.000	Various	11/07/11	11,219.99	13,271.38	-2,051.39	
GOOGLE INC CL A	38259P508	40.000	Various	11/07/11	23,719.54	20,404.20	3,315.34	
HALLIBURTON CO	406216101	380.000	Various	11/07/11	14,014.32	17,468.79	-3,454.47	
INTERNATIONAL BUSINESS MACHINES CORP	459200101	170 000	Various	11/07/11	31,457.94	19,776.65	11,681.29	
MC DONALDS CORP	580135101	315.000	Various	11/07/11	29,376.39	18,651 40	10,724.99	
MICROSOFT CORP	594918104	565.000	Various	11/07/11	14,786.05	15,710.65	-924.60	
NIKE INC B	654106103	255.000	Various	11/07/11	23,893.12	15,902.07	7,991 05	
ORACLE CORP	68389X105	610.000	Various	11/07/11	19,428.13	15,340.72	4,087.41	
PEPSICO INC	713448108	285 000	Various	11/07/11	17,547.17	18,680.40	-1,133.23	
PIONEER NATURAL RESOURCES CO	723787107	220 000	05/20/10	11/07/11	20,235.27	12,598.37	7,636.90	
PRAXAIR INC	74005P104	215.000	Various	11/07/11	21,409.60	17,855.95	3,553.65	
PRICELINE.COM INC	741503403	45.000	01/15/09	11/07/11	22,719 61	3,091.83	19,627.78	
RALPH LAUREN CORPORATION	751212101	100.000	Various	11/07/11	15,448.45	9,436 99	6,011.46	
HENRY SCHEIN INC	806407102	340.000	Various	11/07/11	22,030.38	17,691.26	4,339.12	
SCHLUMBERGER LTD	806857108	195 000	Various	11/07/11	14,466.81	16,434.85	-1,968.04	
TEXAS INSTRUMENTS INC	882508104	480.000	Various	11/07/11	15,015 17	12,410.40	2,604.77	
THERMO FISHER SCIENTIFIC INC	883556102	270.000	Various	11/07/11	13,102.92	13,269.24	-166.32	
UNION PACIFIC CORP	907818108	255.000	Various	11/07/11	25,530.87	16,004.08	9,526.79	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION-ASHFIELD
Account Number: A 11606-00-0

Corrected Copy 04/12/2012

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
VARIAN MEDICAL SYSTEMS INC	92220P105	338.000	Various	11/07/11	19,286.00	18,173.97	1,112.03	
Total Long Term Non Covered					**932,522.06**	**718,632.46**	**213,889.60**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

INFLOWS & OUTFLOWS

Settlement Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
2/23	Domestic Dividend/Distribution	**HCP, INC** REIT PAYMENT @ 0.48 PER SHARE	700.000	0.48	336.00
Total Inflows & Outflows					**($954,381.58)**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss

Trade Date	Settlement Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**AT&T INC** @ 28 54 31,394 00 BROKERAGE 44 00 TAX &/OR SEC 61 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,100.000)	28 499	31,349.39	(30,731 24)	618.15 L
2/11	2/16	Sale High Cost	**ALTRIA GROUP INC** @ 24 44 31,772.00 BROKERAGE 52 00 TAX &/OR SEC .62 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,300 000)	24.40	31,719 38	(25,012 82)	6,706 56 L

Trade Date	Settlement Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**ASTRAZENECA PLC** SPONS ADR @ 48.4802 28,603.32 BROKERAGE 23 60 TAX &/OR SEC 55 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(590 000)	48 439	28,579 17	(23,974.87)	4,604.30 L
2/11	2/16	Sale High Cost	**BOEING CO** @ 72.47 35,510.30 BROKERAGE 19.60 TAX &/OR SEC .69 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(490.000)	72.429	35,490.01	(22,053 97)	13,436.04 L
2/11	2/16	Sale High Cost	**BRISTOL MYERS SQUIBB CO** @ 25 2245 27,746.95 BROKERAGE 44.00 TAX &/OR SEC .54 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,100 000)	25.184	27,702 41	(20,201.21)	7,501.20 L
2/11	2/16	Sale High Cost	**CHEVRON CORP** @ 96 4997 37,634 88 BROKERAGE 15 60 TAX &/OR SEC 73 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(390 000)	96 458	37,618.55	(27,996 90)	9,621.65 L
2/11	2/16	Sale High Cost	**CONOCOPHILLIPS** @ 71.80 39,490 00 BROKERAGE 22 00 TAX &/OR SEC .76 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(550 000)	71 759	39,467.24	(27,386 32)	12,080.92 L

Trade Date	Settlement Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**DIAGEO P L C** SPONS ADR NEW @ 77 1367 34,711 52 BROKERAGE 18 00 TAX &/OR SEC .67 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(450 000)	77 095	34,692 85	(27,638 11)	7,054 74 L
2/11	2/16	Sale High Cost	**DOMINION RESOURCES INC VA** @ 44 0092 26,405.52 BROKERAGE 24.00 TAX &/OR SEC .51 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(600.000)	43 968	26,381.01	(25,284.84)	1,096.17 S
2/11	2/16	Sale High Cost	**E I DU PONT DE NEMOURS & CO** @ 54 5967 40,947 53 BROKERAGE 30.00 TAX &/OR SEC 79 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(750 000)	54.556	40,916.74	(25,222 05)	15,694.69 L
2/11	2/16	Sale High Cost	**GENERAL ELECTRIC CO** @ 21 4156 34,264.96 BROKERAGE 64.00 TAX &/OR SEC 66 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,600 000)	21.375	34,200 30	(17,707 20)	16,493.10 L
2/11	2/16	Sale High Cost	**GENUINE PARTS CO** @ 53 9445 29,669.48 BROKERAGE 22 00 TAX &/OR SEC 57 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(550.000)	53 903	29,646.91	(18,922.31)	10,724.60 L

Trade Date	Settlement Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**HCP, INC** @ 36 8215 25,775 05 BROKERAGE 28 00 TAX &/OR SEC 50 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(700.000)	36 781	25,746.55	(22,536.34)	3,210 21 S
2/11	2/16	Sale High Cost	**HSBC HOLDINGS PLC** SPONS ADR @ 57.324 28,662.00 BROKERAGE 20.00 TAX &/OR SEC .56 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(500 000)	57 283	28,641.44	(22,663.00)	5,978.44 L
2/11	2/16	Sale High Cost	**HEALTH CARE REIT INC** @ 49 3518 27,143.49 BROKERAGE 22.00 TAX &/OR SEC 53 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(550 000)	49 311	27,120.96	(23,563 40)	3,557 56 L
2/11	2/16	Sale High Cost	**H J HEINZ CO** @ 47 85 31,102 50 BROKERAGE 26 00 TAX &/OR SEC 60 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(650 000)	47 809	31,075 90	(27,154 12)	3,921.78 L
2/11	2/16	Sale High Cost	**INTEL CORP** @ 21 83 30,562 00 BROKERAGE 56.00 TAX &/OR SEC .59 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,400 000)	21 79	30,505.41	(27,188 00)	3,317 41 L

Trade Date	Settlement Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**JOHNSON & JOHNSON** @ 60.7201 30,360 05 BROKERAGE 20.00 TAX &/OR SEC .59 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(500.000)	60 679	30,339.46	(28,550.60)	1,788.86 L
2/11	2/16	Sale High Cost	**KIMBERLY-CLARK CORP** @ 65 59 30,171 40 BROKERAGE 18.40 TAX &/OR SEC .58 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(460.000)	65 549	30,152 42	(24,428 20)	5,724.22 L
2/11	2/16	Sale High Cost	**KRAFT FOODS INC CLASS A** @ 30.53 30,530 00 BROKERAGE 40.00 TAX &/OR SEC 59 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,000.000)	30 489	30,489 41	(29,024 89)	1,464 52 L
2/11	2/16	Sale High Cost	**ELI LILLY & CO** @ 34 607 29,762.02 BROKERAGE 34 40 TAX &/OR SEC 58 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(860.000)	34.566	29,727.04	(30,566 30)	(839 26) L
2/11	2/16	Sale High Cost	**MICROSOFT CORP** @ 27 30 19,110 00 BROKERAGE 28 00 TAX &/OR SEC 37 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(700 000)	27 259	19,081 63	(13,283 55)	5,798 08 L

Trade Date	Settlement Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**NEXTERA ENERGY INC** @ 55 08 31,395.60 BROKERAGE 22 80 TAX &/OR SEC .61 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(570.000)	55.039	31,372.19	(28,392 50)	2,979 69 L
2/11	2/16	Sale High Cost	**NOKIA CORP** CL A SPONS ADR @ 9.12 11,856.00 BROKERAGE 39.00 TAX &/OR SEC .23 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,300 000)	9 09	11,816 77	(18,145 15)	(6,328 38) L
2/11	2/16	Sale High Cost	**PHILIP MORRIS INTERNATIONAL** @ 59.801 28,704 48 BROKERAGE 19 20 TAX &/OR SEC .56 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(480 000)	59 76	28,684.72	(19,713.78)	8,970 94 L
2/11	2/16	Sale High Cost	**3M CO** @ 91.7685 34,872 03 BROKERAGE 15 20 TAX &/OR SEC .67 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(380.000)	91.727	34,856.16	(21,013 54)	13,842 62 L
2/11	2/16	Sale High Cost	**THE TRAVELERS COMPANIES INC.** @ 58 886 29,443 00 BROKERAGE 20 00 TAX &/OR SEC 57 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(500 000)	58 845	29,422.43	(19,912.40)	9,510 03 L

Trade Date	Settlement Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions								
2/11	2/16	Sale High Cost	**UNILEVER N V** @ 29 5911 27,519 72 BROKERAGE 37 20 TAX &/OR SEC .53 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(930 000)	29 551	27,481 99	(21,918 24)	5,563 75 L
2/11	2/16	Sale High Cost	**VERIZON COMMUNICATIONS INC** @ 36 36 36,360 00 BROKERAGE 40.00 TAX &/OR SEC .70 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,000.000)	36 319	36,319.30	(28,332 22)	7,987 08 L
2/11	2/16	Sale High Cost	**VODAFONE GROUP PLC** SPONS ADR @ 29.1754 37,928.02 BROKERAGE 52 00 TAX &/OR SEC 73 ESI SECURITIES COMPANY TRADE DATE 02/11/11	(1,300 000)	29 135	37,875.29	(26,633 67)	11,241.62 L
Total Settled Sales/Maturities/Redemptions						**$918,473.03**	**($725,151.74)**	**$189,014.91 L** **$4,306.38 S**

RECAP

	PROCEEDS	COST	NET
SHORT TERM	52,127.56	47,821.18	4,306.38
LONG TERM	866,345.47	677,330.56	189,014.91
TOTALS	918,473.03	725,151.74	193,321.29

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WASSERMAN FOUNDATION
Account Number: A 10639-00-2

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*	
Short Term Non Covered									
US TREAS 0.75% 09/15/13	912828NY2	640,000.000	11/09/10	01/03/11	637,625.00	643,127 14	-5,502 14		
US TREAS 1.375% 11/30/15	912828PJ3	695,000 000	Various	01/11/11	677,353 52	685,923.82	-8,570.30		
US TREAS 0.75% 12/15/13	912828PL8	2,280,000.000	Various	01/12/11	2,260,940.63	2,264,107.05	-3,166.42		
US TREAS 0.625% 12/31/12	912828PW4	295,000.000	12/28/10	01/13/11	295,068.15	294,286.54	781.61		
US TREAS 2.125% 12/31/15	912828PM6	120,000.000	01/11/11	01/13/11	121,223.04	120,975.40	247 64		
US TREAS 2 125% 12/31/15	912828PM6	120,000.000	01/11/11	01/18/11	121,246 47	120,975.40	271 07		
US TREAS 0.625% 12/31/12	912828PW4	2,585,000.000	12/28/10	01/26/11	2,585,908.79	2,578,748.11	7,160.68		
US TREAS 1% 01/15/14	912828PQ7	535,000.000	01/12/11	01/28/11	535,123.60	533,662.50	1,461.10		
US TREAS 2 125% 12/31/15	912828PM6	560,000 000	Various	02/01/11	563,512.49	564,171.02	-658.53		
US TREAS 2% 01/31/16	912828PS3	120,000.000	01/31/11	02/02/11	119,676.16	120,267.59	-591.43		
US TREAS 0.625% 01/31/13	912828PR5	580,000.000	01/26/11	02/02/11	579,794.15	579,843 35	-49.20		
US TREAS 2% 01/31/16	912828PS3	185,000 000	01/31/11	02/09/11	181,834 15	185,412.53	-3,578.38		
FNMA 0.75% 12/18/13	31398A5W8	530,000.000	10/28/10	02/14/11	519,307.78	529,772 10	-10,464.32		
US TREAS 2 625% 07/31/14	912828LC2	915,000.000	Various	02/14/11	946,235.61	953,260 69	-7,025.08		
US TREAS 0 375% 09/30/12	912828NX4	2,380,000.000	Various	02/14/11	2,368,835.78	2,377,192 73	-8,356.95		
US TREAS 0.5% 10/15/13	912828PB0	1,125,000.000	11/24/10	02/14/11	1,103,946.43	1,117,181.50	-13,235.07		
US TREAS 1.25% 10/31/15	912828PE4	1,025,000 000	11/30/10	02/14/11	979,432 11	1,015,794.45	-36,362.34		
US TREAS 0.375% 08/31/12	912828PH7	550,000 000	08/26/10	02/14/11	547,914.17	548,326.06	-411.89		
US TREAS 2 125% 12/31/15	912828PM6	720,000.000	12/31/10	02/14/11	713,810.09	723,743.03	-9,932.94		
US TREAS 1% 01/15/14	912828PQ7	1,675,000.000	01/12/11	02/09/11	1,660,212.89	1,670,812 50	-10,599.61		
US TREAS 2% 01/31/16	912828PS3	125,000 000	01/31/11	02/10/11	122,939 03	125,278 74	-2,339 71		
FNMA 0 75% 02/26/13	3135G0AK9	345,000.000	01/13/11	02/15/11	343,406 45	344,468.70	-1,062 25		
FNMA 1 625% 10/26/15	31398A4M1	665,000.000	09/24/10	02/15/11	640,807.30	662,040.75	-21,233.45		
US TREAS 0.625% 01/31/13	912828PR5	2,870,000 000	Various	02/23/11	2,865,627.73	2,866,411.36	-804 90	21.27	O

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P.Morgan

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WASSERMAN FOUNDATION
Account Number: A 10639-00-2

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
US TREAS 2% 01/31/16	912828PS3	1,055,000.000	Various	02/25/11	1,047,458.40	1,045,619.95	1,764.40	74 05 O
FREDDIE MAC DISC NOTES DUE APR 25 2011	313396EU3	600,000.000	01/18/11	03/02/11	599,720.00	599,720 00	00	
US TREAS 2.125% 02/29/16	912828QJ2	140,000.000	02/28/11	03/01/11	140,065.16	139,912.97	152.19	
US TREAS 0.625% 02/28/13	912828QK9	245,000 000	02/23/11	03/01/11	244,807.77	244,426.60	381.17	
FNMA DISC PROM NOTES DUE MAY 18 2011	313588FT7	200,000.000	02/16/11	03/14/11	199,930.00	199,930.00	00	
US TREAS 1.25% 02/15/14	912828QH6	1,960,000.000	Various	03/10/11	1,967,503.13	1,955,207.16	12,295.97	
US TREAS 2.125% 02/29/16	912828QJ2	520,000 000	02/28/11	03/10/11	520,243.75	519,676.74	567.01	
US TREAS 2.125% 02/29/16	912828QJ2	520,000.000	Various	03/14/11	524,509 39	519,462.29	5,047.10	
US TREAS 2.125% 02/29/16	912828QJ2	520,000.000	02/25/11	03/16/11	526,560.94	518,437.68	8,123.26	
US TREAS 2.125% 02/29/16	912828QJ2	960,000.000	Various	03/30/11	957,337.50	955,871 75	1,465.75	
US TREAS 0.625% 02/28/13	912828QK9	2,520,000.000	02/23/11	03/30/11	2,512,830.35	2,514,102.19	-1,271.84	
US TREAS 2.25% 03/31/16	912828QA1	280,000.000	03/30/11	04/04/11	280,918.75	280,426.56	492.19	
CANADIAN IMP BK COMM NY SR NOTES 1.45% SEP 13 2013 DTD 09/14/2010	136069DP3	75,000.000	09/07/10	04/07/11	74,753.25	74,914.50	-161.25	
US TREAS 1.25% 03/15/14	912828PZ7	1,715,000.000	03/10/11	04/13/11	1,715,066.99	1,719,555.47	-4,488 48	
FNMA DISC PROM NOTES DUE MAY 18 2011	313588FT7	300,000.000	02/16/11	04/15/11	299,895.00	299,895.00	.00	
US TREAS 2.25% 03/31/16	912828QA1	285,000.000	03/30/11	04/13/11	285,990 82	285,434.18	556.64	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

J.P.Morgan

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WASSERMAN FOUNDATION
Account Number: A 10639-00-2

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*	
Short Term Non Covered									
US TREAS 0.75% 03/31/13	912828QL7	200,000.000	04/08/11	04/13/11	200,062.50	199,765.63	296.87		
FANNIE MAE	313588JB2	300,000 000	04/12/11	04/15/11	299,942 67	299,942 67	00		
DISC NOTES									
DUE JUL 13 2011									
FANNIE MAE	313588JB2	500,000.000	04/12/11	04/19/11	499,906.67	499,906.67	.00		
DISC NOTES									
DUE JUL 13 2011									
GNMA SER 5% 09/20/36	38377NUW2	6,753.980	03/01/11	04/20/11	6,753 98	7,171.88	-417.90		
US TREAS 2 25% 03/31/16	912828QA1	210,000.000	03/30/11	04/15/11	211,123.83	210,319.92	803 91		
US TREAS 1.25% 04/15/14	912828QC7	335,000.000	04/13/11	04/18/11	335,876.76	334,528.91	1,347 85		
US TREAS 1.25% 04/15/14	912828QC7	335,000.000	04/13/11	04/19/11	336,230.08	334,528.91	1,701.17		
FANNIE MAE	313588JB2	1,000,000.000	04/12/11	04/25/11	999,823.06	999,823.06	.00		
DISC NOTES									
DUE JUL 13 2011									
US TREAS 0 75% 03/31/13	912828QL7	1,020,000.000	Various	04/20/11	1,021,593.75	1,020,769.92	823.83		
US TREAS 1.25% 04/15/14	912828QC7	335,000.000	04/13/11	04/26/11	336,688.09	334,528.90	2,159.19		
US TREAS 0.75% 03/31/13	912828QL7	2,570,000 000	Various	04/27/11	2,576,324 61	2,566,988 27	9,336 34		
FANNIE MAE	313588JB2	450,000.000	04/12/11	05/02/11	449,920.37	449,920 37	.00		
DISC NOTES									
DUE JUL 13 2011									
US TREAS 0.375% 10/31/12	912828PD6	620,000 000	11/17/10	05/03/11	619,806.25	618,718.48	1,087.77		
US TREAS 0.5% 10/15/13	912828PB0	205,000 000	11/24/10	05/02/11	203,670 70	203,575.30	.00	95.40	O
US TREAS 1.25% 04/15/14	912828QC7	675,000.000	04/13/11	05/02/11	680,405.27	674,050.78	6,354.49		
US TREAS 2 625% 07/31/14	912828LC2	165,000.000	04/15/11	05/06/11	172,979.30	171,902 93	1,076 37		

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION
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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
US TREAS 0.75% 08/15/13	912828NU0	1,715,000.000	Various	05/06/11	1,716,875 78	1,717,030.87	-155.09	
US TREAS 0 5% 10/15/13	912828PB0	790,000.000	11/24/10	05/17/11	786,790 63	784,509 68	1,379.79	901.16 O
FHLMC	3137EACS6	575,000.000	02/02/11	05/16/11	577,213.75	573,608.50	3,605.25	
NOTES 0 3/4% MAR 28 2013								
DTD 02/04/2011								
GNMA	38377NUW2	5,565.480	03/01/11	05/20/11	5,565.48	5,909 84	-344.36	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
FNMA Pool 4 5% 07/25/38	31397STK0	5,936.930	04/13/11	05/25/11	5,936 93	6,201.31	-264.38	
US TREAS 0.625% 04/30/13	912828QE3	2,575,000.000	Various	05/25/11	2,580,129.88	2,576,502.54	3,627.34	
US TREAS 2% 04/30/16	912828QF0	1,250,000.000	Various	05/26/11	1,266,699 22	1,253,470.12	13,229.10	
FNMA	313588JY2	500,000 000	05/10/11	06/01/11	499,938.00	499,938 00	.00	
DISC NOTES								
DUE AUG 3 2011								
US TREAS 1% 04/30/12	912828NB2	1,085,000.000	04/20/11	06/02/11	1,092,713.67	1,093,095.12	-381.45	
US TREAS 0.5% 05/31/13	912828QZ6	755,000.000	Various	06/06/11	756,032.23	755,092.18	940.05	
US TREAS 2.375% 02/28/15	912828MR8	1,590,000.000	Various	06/07/11	1,669,810 55	1,671,008 65	-1,198.10	
US TREAS 1% 04/30/12	912828NB2	825,000.000	04/20/11	06/06/11	830,833.01	831,155 27	-322 26	
RABOBANK NEDERLAND	21685WBL0	60,000.000	10/05/10	06/10/11	59,775.00	59,853.00	-78.00	
2 1/8% OCT 13 2015								
DTD 10/13/2010								
US TREAS 2 625% 12/31/14	912828ME7	825,000.000	Various	06/10/11	874,081 05	863,712.51	10,368.54	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION
Account Number. A 10639-00-2

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
GNMA	38377NUW2	4,942.270	03/01/11	06/20/11	4,942.27	5,248 07	-305.80	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
FNMA Pool 4 5% 07/25/38	31397STK0	7,924.670	04/13/11	06/27/11	7,924.67	8,277.57	-352.90	
US TREAS 0 625% 04/30/13	912828QE3	1,370,000.000	04/27/11	06/28/11	1,374,602.34	1,369,143 75	5,458 59	
US TREAS 0.5% 05/31/13	912828QZ6	1,300,000.000	Various	06/28/11	1,301,167.97	1,299,439.06	1,728.91	
MERCK & CO INC	58933YAB1	55,000.000	12/07/10	06/29/11	55,437.25	54,867 45	569.80	
2 1/4% JAN 15 2016								
DTD 12/10/2010								
MICROSOFT CORP	594918AG9	60,000.000	09/22/10	06/29/11	59,761.20	59,736.60	24.60	
SR NOTES 1 5/8% SEP 25 2015								
DTD 09/27/2010								
US TREAS 1.75% 05/31/16	912828QP8	1,050,000.000	Various	06/29/11	1,054,675 78	1,058,804 30	-4,128.52	
BNP PARIBAS	05567LU54	50,000.000	04/04/11	07/05/11	50,792.00	50,323 50	468.50	
3 6% FEB 23 2016								
DTD 02/23/2011								
BANK OF MONTREAL	06366QGJ0	80,000.000	04/26/11	07/05/11	80,904.80	79,935 20	969.60	
MTN 1 3/4% APR 29 2014								
DTD 04/29/2011								
LLOYDS TSB BANK PLC	539473AG3	55,000.000	01/13/11	07/05/11	56,795.75	54,956 55	1,839 20	
4 7/8% JAN 21 2016								
DTD 01/21/2011								

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION
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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
MICROSOFT CORP	594918AG9	55,000.000	09/22/10	07/05/11	54,694.75	54,758.55	-63.80	
SR NOTES 1 5/8% SEP 25 2015								
DTD 09/27/2010								
US TREAS 2.125% 05/31/15	912828NF3	685,000.000	Various	07/07/11	708,573 63	711,656 44	-3,082.81	
US TREAS 1.25% 03/15/14	912828PZ7	1,645,000.000	05/06/11	07/07/11	1,668,582 62	1,659,586.14	8,996.48	
US TREAS 2 25% 03/31/16	912828QA1	380,000.000	Various	07/07/11	391,028.91	380,458 59	10,570.32	
US TREAS 1.75% 05/31/16	912828QP8	750,000.000	05/31/11	07/07/11	751,875 00	752,255.86	-380.86	
US TREAS 0.375% 06/30/13	912828RA0	900,000.000	Various	07/07/11	898,242.19	898,422.66	-180.47	
US TREAS 1.5% 06/30/16	912828QR4	170,000.000	07/05/11	07/07/11	168,133.98	168,406.25	-272.27	
US TREAS 0.75% 06/15/14	912828QS2	1,530,000.000	06/08/11	07/13/11	1,536,394 92	1,532,211.33	4,183.59	
GNMA	38377NUW2	6,291.890	03/01/11	07/20/11	6,291.89	6,681.20	-389.31	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
FNMA 1 25% 02/27/14	3135G0AP8	510,000.000	01/28/11	07/19/11	517,169.07	509,770.50	7,398.57	
FNMA Pool 4.5% 07/25/38	31397STK0	6,794.200	04/13/11	07/25/11	6,794.20	7,096.75	-302.55	
US TREAS 0.5% 05/31/13	912828QZ6	1,300,000.000	05/25/11	07/27/11	1,302,285.16	1,298,578 13	3,707.03	
US TREAS 0 375% 06/30/13	912828RA0	695,000.000	Various	07/27/11	694,538 48	693,903 13	635.35	
US TREAS 2 25% 03/31/16	912828QA1	1,130,000.000	Various	07/28/11	1,175,376.56	1,128,992.76	46,383 80	
US TREAS 1.5% 06/30/16	912828QR4	940,000 000	Various	07/28/11	940,660.94	933,319.34	7,341.60	
US TREAS 1.75% 05/31/16	912828QP8	1,675,000 000	Various	08/02/11	1,719,492.19	1,676,033 99	43,458.20	
US TREAS 0 75% 03/31/13	912828QL7	1,075,000.000	05/06/11	08/05/11	1,083,398.44	1,079,073 24	4,325.20	
US TREAS 1.5% 07/31/16	912828QX1	90,000.000	08/02/11	08/10/11	92,471.48	91,066 19	1,405 29	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
FREDDIE MAC	3128X9UH1	980,000 000	04/13/11	08/15/11	979,844.15	979,872 60	-28.45	
FLOATING RATE NOTE JAN 11 2012								
DTD 01/11/2010								
US TREAS 0.625% 07/15/14	912828QU7	1,530,000.000	Various	08/12/11	1,543,985.16	1,529,980.66	14,004.50	
GNMA	38377NUW2	6,296.160	03/01/11	08/22/11	6,296.16	6,685.73	-389.57	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
FREDDIE MAC	3128X9ZJ2	455,000.000	04/13/11	08/23/11	455,022 30	455,077.35	-55 05	
MEDIUM TERM FLOATING RATE NOTES								
FEB 16 2012 DTD 02/16/2010								
FNMA Pool 4.5% 07/25/38	31397STK0	10,170 100	04/13/11	08/25/11	10,170.10	10,622.99	-452.89	
US TREAS 1.5% 07/31/16	912828QX1	175,000.000	08/19/11	08/22/11	179,846 68	179,962.89	-116.21	
US TREAS 0 375% 07/31/13	912828QW3	930,000.000	Various	08/30/11	932,833 59	931,306 64	1,526.95	
US TREAS 1.5% 07/31/16	912828QX1	2,760,000.000	Various	08/30/11	2,839,457.81	2,800,270 83	39,186.98	
US TREAS 0.375% 06/30/13	912828RA0	1,495,000.000	06/28/11	08/30/11	1,499,496.68	1,492,080 08	7,416.60	
BNP PARIBAS	05567LU54	10,000.000	04/04/11	09/08/11	9,991.30	10,064 70	-73 40	
3.6% FEB 23 2016								
DTD 02/23/2011								
PROCTER & GAMBLE CO THE	742718DV8	110,000.000	08/10/11	09/09/11	110,983.40	109,115.60	1,867.80	
1.45% AUG 15 2016								
DTD 08/15/2011								
GNMA	38376PK82	1,325.270	08/15/11	09/16/11	1,325.27	1,432.12	-106.85	
SER 2009-116 CL MK 4.5% NOV 16 2038								
DTD 12/01/2009								

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J.P.Morgan

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
US TREAS 0.5% 08/15/14	912828RB8	1,490,000.000	08/12/11	09/13/11	1,496,809.76	1,497,973.83	-1,164.07	
US TREAS 0.75% 03/31/13	912828QL7	1,070,000.000	Various	09/14/11	1,079,571 49	1,070,314 48	9,257.01	
US TREAS 0.375% 07/31/13	912828QW3	240,000.000	07/27/11	09/15/11	240,815.63	239,765.62	1,050.01	
GNMA 4% 09/20/38	38376ETD7	3,711 220	Various	09/20/11	3,711 22	3,941.52	-230.30	
GNMA 4.5% 08/20/38	38376YQ46	2,086 590	08/12/11	09/20/11	2,086.59	2,239.17	-152.58	
GNMA	38377NUW2	8,045.740	03/01/11	09/20/11	8,045.74	8,543.57	-497.83	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
GNMA 4.25% 10/20/38	38376P6D7	1,778.040	08/12/11	09/22/11	1,778.04	1,906 50	-128.46	
US TREAS 1 5% 07/31/16	912828QX1	465,000.000	Various	09/20/11	479,967.19	467,038.48	12,928 71	
FNMA Pool 4 5% 07/25/38	31397STK0	10,382.300	04/13/11	09/26/11	10,382 30	10,844.64	-462.34	
US TREAS 1% 08/31/16	912828RF9	155,000.000	Various	09/26/11	155,726.56	156,089.46	-362.90	
US TREAS 0.125% 08/31/13	912828RD4	2,330,000 000	Various	09/28/11	2,324,448 05	2,326,394.92	-1,946.87	
US TREAS 0.25% 09/15/14	912828RG7	150,000.000	09/13/11	09/27/11	149,291.02	149,548.01	-256.99	
US TREAS 0 25% 09/15/14	912828RG7	100,000 000	09/13/11	09/28/11	99,484.38	99,698.67	-214 29	
US TREAS 0.375% 07/31/13	912828QW3	1,590,000.000	07/27/11	09/29/11	1,593,291.80	1,588,447 27	4,844 53	
US TREAS 0.125% 08/31/13	912828RD4	790,000 000	08/30/11	09/29/11	787,994.14	788,518.75	-524.61	
FHLMC GOLD 5% 10/01/20	31283K6J2	12,120.430	09/15/11	10/17/11	12,120 43	13,127 94	-1,007.51	
GNMA	38376PK82	1,363.670	08/15/11	10/17/11	1,363 67	1,473.62	-109.95	
SER 2009-116 CL MK 4.5% NOV 16 2038								
DTD 12/01/2009								
LLOYDS TSB BANK PLC	539473AG3	90,000.000	01/13/11	10/13/11	89,566.20	89,928.90	-362.70	
4 7/8% JAN 21 2016								
DTD 01/21/2011								

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
US TREAS 1 25% 09/30/15	912828NZ9	70,000.000	10/13/11	10/14/11	71,238.67	71,271 49	-32.82	
US TREAS 0 25% 09/15/14	912828RG7	1,470,000.000	Various	10/14/11	1,460,066.01	1,465,086.77	-5,020 76	
GNMA 4% 09/20/38	38376ETD7	3,823.230	Various	10/20/11	3,823.23	4,060.48	-237.25	
GNMA 4 25% 10/20/38	38376P6D7	1,834.500	08/12/11	10/20/11	1,834.50	1,967.04	-132.54	
GNMA 4.5% 08/20/38	38376YQ46	2,167.250	08/12/11	10/20/11	2,167 25	2,325 73	-158 48	
GNMA	38377NUW2	10,632.050	03/01/11	10/20/11	10,632.05	11,289.91	-657.86	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
US TREAS 1% 08/31/16	912828RF9	380,000 000	08/30/11	10/18/11	379,406.25	381,276.56	-1,870.31	
US TREAS 1% 08/31/16	912828RF9	470,000 000	08/30/11	10/19/11	469,045 32	471,578.91	-2,533.59	
FNMA Pool 4.5% 07/25/38	31397STK0	15,765.940	04/13/11	10/25/11	15,765.94	16,468.02	-702.08	
3M COMPANY	88579YAD3	101,000 000	09/26/11	10/20/11	101,326.23	100,147 56	1,178 67	
1 3/8% SEP 29 2016								
DTD 09/29/2011								
3M COMPANY	88579YAD3	69,000.000	09/26/11	10/20/11	69,183.54	68,417.64	765.90	
1 3/8% SEP 29 2016								
DTD 09/29/2011								
US TREAS 1% 08/31/16	912828RF9	285,000.000	08/30/11	10/21/11	284,398 83	285,957.42	-1,558.59	
US TREAS 0.5% 10/15/14	912828RL6	310,000.000	Various	10/25/11	310,750.79	310,234.77	516.02	
US TREAS 1% 05/15/14	912828QM5	1,610,000 000	Various	06/08/11	1,626,764.45	1,622,045 11	4,719.34	
US TREAS 1% 08/31/16	912828RF9	285,000.000	08/30/11	10/26/11	284,688.28	285,957.42	-1,269 14	
FHLMC GOLD 5% 10/01/20	31283K6J2	14,515.740	09/15/11	11/15/11	14,515.74	15,722.36	-1,206.62	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
FHLMC	31393VQ61	20,137.180	04/15/11	11/15/11	20,137.18	21,244.72	-1,107.54	
SER 2628 CL QM 4.5% NOV 15 2031								
DTD 06/01/2003								
GNMA	38376PK82	1,401.360	08/15/11	11/16/11	1,401 36	1,514.34	-112.98	
SER 2009-116 CL MK 4.5% NOV 16 2038								
DTD 12/01/2009								
GNMA 4% 09/20/38	38376ETD7	3,933.240	Various	11/21/11	3,933.24	4,177.31	-244.07	
GNMA 4 25% 10/20/38	38376P6D7	1,890.000	08/12/11	11/21/11	1,890.00	2,026.56	-136.56	
GNMA 4 5% 08/20/38	38376YQ46	2,246 090	08/12/11	11/21/11	2,246.09	2,410.34	-164.25	
GNMA	38377NUW2	11,600.320	03/01/11	11/21/11	11,600.32	12,318.09	-717.77	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
US TREAS 0 125% 09/30/13	912828RK8	990,000.000	09/28/11	11/16/11	987,563 67	987,486.33	77 34	
US TREAS 0 625% 07/15/14	912828QU7	330,000.000	07/13/11	11/17/11	332,268 75	329,948 44	2,320.31	
US TREAS 0.5% 10/15/14	912828RL6	1,415,000 000	10/14/11	11/17/11	1,419,145.51	1,415,055 27	4,090.24	
US TREAS 1 25% 09/30/15	912828NZ9	115,000.000	10/19/11	11/18/11	117,717 78	117,264 06	453.72	
US TREAS 1% 05/15/14	912828QM5	425,000.000	Various	11/18/11	431,574.22	429,083.98	2,490.24	
FNMA Pool 4 5% 07/25/38	31397STK0	20,083.790	04/13/11	11/25/11	20,083 79	20,978.15	-894 36	
US TREAS 0.5% 10/15/13	912828PB0	2,170,000.000	09/29/11	11/28/11	2,179,493.75	2,178,137.50	1,356.25	
FHLMC GOLD 5% 10/01/20	31283K6J2	12,849.280	09/15/11	12/15/11	12,849 28	13,917.38	-1,068.10	
FHLMC	31393VQ61	25,695.900	04/15/11	12/15/11	25,695.90	27,109 17	-1,413.27	
SER 2628 CL QM 4.5% NOV 15 2031								
DTD 06/01/2003								

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
GNMA	38376PK82	1,438.320	08/15/11	12/16/11	1,438.32	1,554.28	-115 96	
SER 2009-116 CL MK 4 5% NOV 16 2038								
DTD 12/01/2009								
GNMA 4% 09/20/38	38376ETD7	4,041.180	Various	12/20/11	4,041.18	4,291.95	-250.77	
GNMA 4.25% 10/20/38	38376P6D7	1,944.520	08/12/11	12/20/11	1,944.52	2,085 01	-140.49	
GNMA 4.5% 08/20/38	38376YQ46	2,323.010	08/12/11	12/20/11	2,323.01	2,492.88	-169.87	
GNMA	38377NUW2	8,749.740	03/01/11	12/20/11	8,749.74	9,291 13	-541 39	
SER 2010-167 CL KW 5% SEP 20 2036								
DTD 12/01/2010								
US TREAS 2.375% 02/28/15	912828MR8	600,000.000	Various	12/20/11	636,984.38	621,326 78	15,657.60	
US TREAS 2.125% 05/31/15	912828NF3	235,000 000	Various	12/20/11	248,163.67	243,379 30	4,784.37	
US TREAS 1.25% 03/15/14	912828PZ7	525,000.000	Various	12/20/11	536,279.30	529,031.63	7,247.67	
US TREAS 1.5% 07/31/16	912828QX1	430,000 000	07/28/11	12/20/11	444,126.17	429,479 30	14,646.87	
US TREAS 1% 08/31/16	912828RF9	425,000 000	08/30/11	12/20/11	428,967.77	426,427 74	2,540 03	
US TREAS 0 125% 09/30/13	912828RK8	670,000.000	09/28/11	12/20/11	668,560.55	668,298 83	261.72	
BANK OF NEW YORK MELLON	06406HBZ1	40,000.000	11/17/11	12/20/11	40,228.80	39,958.00	270 80	
MTN 1 7% NOV 24 2014								
DTD 11/23/2011								
ROYAL BANK OF CANADA	78008TXA7	40,000.000	10/25/11	12/20/11	40,075.60	39,984 80	90 80	
1 45% OCT 30 2014								
DTD 10/31/2011								
FNMA Pool 4.5% 07/25/38	31397STK0	18,392.740	04/13/11	12/27/11	18,392.74	19,211.79	-819.05	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*	
Short Term Non Covered									
FHLMC GOLD 5% 10/01/20	31283K6J2	13,549.460	09/15/11	01/17/12	13,549.46	14,675 76	-1,126 30		
Total Short Term Non Covered					**103,698,624.17**	**103,489,144.94**	**208,387.35**	**1,091.88**	O

* O ındıcates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	36,035.160	06/25/09	01/18/11	36,035.16	37,397.74	-1,362.58	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6.278% NOV 15 2039 DTD 02/01/2002	361849TQ5	11,781.490	02/28/08	01/18/11	11,781.49	12,348.47	-566.98	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	405 630	05/30/08	01/25/11	405.63	399.92	5.71	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	31,988.450	09/03/09	01/25/11	31,988.45	33,233.00	-1,244 55	
FNMA Pool 4 5% 05/25/24	31396LRF9	48,350.700	05/21/09	01/25/11	48,350.70	50,008.94	-1,658.24	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	45.390	02/28/08	01/25/11	45.39	45 19	.20	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5 140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	75.250	02/28/08	01/25/11	75 25	75 99	- 74	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	857.900	02/28/08	01/25/11	857.90	866.28	-8.38	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	104.100	02/28/08	01/25/11	104.10	10.27	93 83	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4 243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	1,015 900	02/28/08	01/25/11	1,015.90	999.85	16 05	
ALLSTATE LF GLB FN TRST MEDIUM TERM NOTES 5 3/8% APR 30 2013 DTD 04/30/2008	02003MBQ6	220,000.000	11/30/09	01/31/11	239,012.40	237,424.00	1,588.40	
FFCB 1.875% 12/07/12	31331G2R9	665,000 000	10/27/09	02/04/11	677,534 59	664,807 15	12,727 44	
LANDWIRTSCH RENTENBANK 4 7/8% FEB 14 2011 DTD 02/14/2006	515110AR5	935,000.000	Various	02/14/11	935,000.00	979,993 00	-44,993.00	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	15,392.170	08/12/09	02/15/11	15,392.17	16,301.27	-909.10	
FHLMC GOLD 5% 10/01/20	31283K6E3	14,999.790	09/24/09	02/15/11	14,999.79	15,843.53	-843 74	
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0	23,433.360	06/25/09	02/15/11	23,433.36	24,319 43	-886.07	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6 278% NOV 15 2039 DTD 02/01/2002	361849TQ5	15,951.700	02/28/08	02/15/11	15,951.70	16,719.38	-767.68	
US TREAS 2.625% 07/31/14	912828LC2	1,215,000.000	01/25/10	02/14/11	1,256,476.79	1,238,782.00	17,694.79	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION
Account Number: A 10639-00-2

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	154.320	05/30/08	02/25/11	154.32	152.15	2.17	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	20,006.780	09/03/09	02/25/11	20,006.78	20,785.17	-778.39	
FNMA Pool 4.5% 05/25/24	31396LRF9	43,488.480	05/21/09	02/25/11	43,488.48	44,979.97	-1,491.49	
FANNIE MAE PARTIC CTF ARMS 5 596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	955.660	01/26/10	02/25/11	955.66	1,011.81	-56.15	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5 45% NOV 25 2034 DTD 10/01/2004	863579EK0	58.790	02/28/08	02/25/11	58 79	58.53	.26	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5 140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	824.680	02/28/08	02/25/11	824.68	832.80	-8.12	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4 52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	1.130	02/28/08	02/25/11	1 13	1.14	-.01	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	149.410	02/28/08	02/25/11	149.41	14.74	134.67	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
3M COMPANY MEDIUM TERM NOTE 4 375% AUG 15 2013 DTD AUG 21 2008	88579EAE5	375,000.000	08/18/08	02/22/11	405,810 00	374,340.00	31,470.00	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	721.280	02/28/08	02/25/11	721.28	709 88	11.40	
JP MORGAN CHASE COMMERCIAL MORTGAGE SEC SER 2004-C1 CL X2 0.965674% JAN 15 2038 DTD 02/01/2004	46625MW54	2,100,000.012	02/28/08	03/03/11	.00	74,029.60	-74,029.60	
YALE UNIVERSITY MEDIUM TERM NOTES 2.9% OCT 15 2014 DTD 11/10/2009	98458PAB1	435,000.000	11/03/09	03/08/11	451,008.00	434,112.60	16,895.40	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	14,320.470	08/12/09	03/15/11	14,320 47	15,166.27	-845.80	
FHLMC GOLD 5% 10/01/20	31283K6E3	14,039.300	09/24/09	03/15/11	14,039.30	14,829.01	-789.71	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	22,614.810	06/25/09	03/15/11	22,614.81	23,469.93	-855.12	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6.278% NOV 15 2039 DTD 02/01/2002	361849TQ5	18,461.150	02/28/08	03/15/11	18,461.15	19,349.59	-888.44	
NORDIC INVESTMENT BANK 4 7/8% MAR 15 2011 DTD 02/23/2006	65562QAE5	680,000.000	Various	03/15/11	680,000.00	721,606.88	-41,606.88	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
PFIZER INC 4.45% MAR 15 2012 DTD 03/24/2009	717081CZ4	45,000.000	03/17/09	03/10/11	46,791.00	44,938.35	1,852.65	
PFIZER INC 4 45% MAR 15 2012 DTD 03/24/2009	717081CZ4	240,000.000	03/17/09	03/11/11	249,525 60	239,671 20	9,854.40	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4 707075% APR 25 2034 DTD 02/01/2004	07384MK27	901.850	05/30/08	03/25/11	901 85	889.16	12 69	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	15,039.970	09/03/09	03/25/11	15,039.97	15,625.12	-585.15	
FNMA Pool 4.5% 05/25/24	31396LRF9	31,723.400	05/21/09	03/25/11	31,723 40	32,811.39	-1,087.99	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	2,943 910	01/26/10	03/25/11	2,943.91	3,116.86	-172 95	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	469.570	02/28/08	03/25/11	469.57	467.50	2.07	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	59 310	02/28/08	03/25/11	59.31	59.89	-.58	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	1,293.020	02/28/08	03/25/11	1,293 02	1,305.64	-12.62	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	28.430	02/28/08	03/25/11	28 43	2.80	25.63	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	260.020	02/28/08	03/25/11	260.02	255.91	4.11	
PRUDENTIAL COMMERCIAL MORTGAGE TRUST SER 2003-PWR1 CL X2 1.425394% FEB 11 2036 DTD 03/01/2003	74432GAF4	2,000,000.011	02/28/08	04/06/11	.00	88,581.16	-88,581.16	
BANK OF NOVA SCOTIA 3 4% JAN 22 2015 DTD 01/22/2010	064149A64	95,000.000	01/19/10	04/07/11	98,085.60	94,878.40	3,207 20	
BERKSHIRE HATHAWAY INC 3.2% FEB 11 2015 DTD 02/11/2010	084670AV0	95,000.000	02/04/10	04/07/11	97,658 10	94,921 15	2,736.95	
BOTTLING GROUP LLC 6.95% MAR 15 2014 DTD 10/24/2008	10138MAH8	85,000.000	09/16/09	04/07/11	97,953.15	98,838.85	-885.70	
CHEVRON CORP SR NOTES 3.95% MAR 03 2014 DTD 03/03/2009	166751AH0	115,000.000	01/22/10	04/07/11	122,898 20	121,222 65	1,675.55	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
DEUTSCHE BANK AG LONDON 2 3/8% JAN 11 2013 DTD 01/11/2010	2515A0T45	70,000.000	01/05/10	04/07/11	71,064 70	69,931 40	1,133.30	
NOVARTIS CAPITAL CORP 2.9% APR 24 2015 DTD 03/16/2010	66989HAC2	70,000.000	03/09/10	04/07/11	71,304.80	69,665.40	1,639.40	
SHELL INTERNATIONAL FIN 4% MAR 21 2014 DTD 3/23/2009	822582AF9	90,000.000	03/18/09	04/07/11	96,031.80	89,975.70	6,056.10	
US BANCORP SR NOTES 4.2% MAY 15 2014 DTD 05/14/2009	91159HGR5	90,000.000	05/11/09	04/07/11	95,922.90	89,983.80	5,939.10	
CITIGROUP INC 2 7/8% DEC 09 2011 DTD 12/09/2008	17313UAA7	660,000.000	12/02/08	04/08/11	671,365.86	658,363.20	13,002.66	
GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTE 3% DEC 09 2011 DTD 12/09/2008	36967HAD9	710,000 000	12/04/08	04/08/11	722,868 75	707,962.30	14,906.45	
GOLDMAN SACHS GROUP INC 2 15% MAR 15 2012 DTD 3/19/2009	38146FAK7	300,000.000	03/12/09	04/08/11	305,109.00	299,835.00	5,274.00	
HSBC USA INC 3 1/8% DEC 16 2011 DTD 12/16/2008	4042EPAA5	640,000.000	12/09/08	04/08/11	652,525.00	639,635.20	12,889.80	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
MORGAN STANLEY	61757UAB6	660,000 000	11/26/08	04/08/11	672,507 00	659,775 60	12,731.40	
3 1/4% DEC 01 2011								
DTD 12/02/2008								
WELLS FARGO & COMPANY	949744AA4	575,000.000	12/03/08	04/08/11	585,450.63	574,327.25	11,123 38	
3% DEC 09 2011								
DTD 12/10/2008								
FHLMC GOLD 5.5% 02/01/20	31283K4M7	12,804.850	08/12/09	04/15/11	12,804 85	13,561 14	-756.29	
FHLMC GOLD 5% 10/01/20	31283K6E3	12,067.180	09/24/09	04/15/11	12,067.18	12,745 96	-678.78	
FREDDIE MAC	31395LAS0	20,292.960	06/25/09	04/15/11	20,292.96	21,060.29	-767 33	
SER 2924 CL EH 5 25% MAR 15 2024								
DTD 01/01/2005								
GMAC COMMERCIAL MORTGAGE SECURITIES	361849TQ5	14,668.310	02/28/08	04/15/11	14,668.31	15,374.22	-705.91	
INC SER 2002-C1 CL A2 6.278%								
NOV 15 2039 DTD 02/01/2002								
EL PASO TEXAS	283734MB4	165,000.000	05/13/09	04/15/11	173,535.45	165,000.00	8,535 45	
PENSION UNLIMITED TAX 3.61%								
AUG 15 2014 DTD 06/25/2009								
FED TAXABLE								
HELD BY DTC BOOK ENTRY ONLY								
BEAR STEARNS ADJUSTABLE RATE	07384MK27	179.570	05/30/08	04/25/11	179.57	177.04	2.53	
MORTGAGE TR SER 2004-1 CL 21A1 COL								
4.707075% APR 25 2034 DTD 02/01/2004								

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	10,691.460	09/03/09	04/25/11	10,691.46	11,107 42	-415.96	
FNMA Pool 4.5% 05/25/24	31396LRF9	28,586.080	05/21/09	04/25/11	28,586.08	29,566.47	-980.39	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	1,806.300	01/26/10	04/25/11	1,806.30	1,912 42	-106.12	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5 45% NOV 25 2034 DTD 10/01/2004	863579EK0	210.920	02/28/08	04/25/11	210.92	209.99	.93	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	1,453.370	02/28/08	04/25/11	1,453.37	1,467.68	-14.31	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	363.640	02/28/08	04/25/11	363 64	367.19	-3.55	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4 38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	353 200	02/28/08	04/25/11	353.20	34.84	318.36	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	220.220	02/28/08	04/25/11	220.22	216 74	3.48	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
INDIANA BOND BANK REVENUE COMMON SCH FD ADV PUR 3.95% FEB 01 2013 DTD 04/01/2009 FED TAXABLE HELD BY DTC BOOK ENTRY	454624YM3	60,000.000	03/19/09	04/20/11	62,574.60	60,000.00	2,574.60	
STATE OF NEW YORK URBAN DEVELOPMENT CORP REV ST PERS INCOME TAX 2.032% D DEC 15 2012 DTD 12/01/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY	650035TB4	195,000.000	11/19/09	04/20/11	198,047.85	195,000.00	3,047.85	
US TREAS 2.625% 07/31/14	912828LC2	2,010,000.000	Various	05/06/11	2,107,202.34	2,045,982.16	61,220 18	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	15,135.630	08/12/09	05/16/11	15,135.63	16,029 58	-893.95	
FHLMC GOLD 5% 10/01/20	31283K6E3	10,089.560	09/24/09	05/16/11	10,089.56	10,657.10	-567.54	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	17,696.460	06/25/09	05/16/11	17,696 46	18,365.61	-669.15	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6.278% NOV 15 2039 DTD 02/01/2002	361849TQ5	39,917.400	02/28/08	05/16/11	39,917.40	41,838.43	-1,921.03	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	91.860	05/30/08	05/25/11	91 86	90.57	1.29	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	9,879.990	09/03/09	05/25/11	9,879.99	10,264.38	-384.39	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FNMA Pool 4.5% 05/25/24	31396LRF9	24,729.080	05/21/09	05/25/11	24,729.08	25,577.19	-848.11	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	15,058.020	01/26/10	05/25/11	15,058.02	15,942.68	-884.66	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5 45% NOV 25 2034 DTD 10/01/2004	863579EK0	245 840	02/28/08	05/25/11	245.84	244.76	1.08	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	158.900	02/28/08	05/25/11	158 90	160.46	-1.56	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	1,852.890	02/28/08	05/25/11	1,852.89	1,870.98	-18.09	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	143.460	02/28/08	05/25/11	143.46	14.15	129 31	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	379.450	02/28/08	05/25/11	379.45	373.45	6.00	
FHLMC GOLD 5 5% 02/01/20	31283K4M7	9,858.560	08/12/09	06/15/11	9,858.56	10,440 83	-582.27	
FHLMC GOLD 5% 10/01/20	31283K6E3	8,972.640	09/24/09	06/15/11	8,972.64	9,477.35	-504.71	

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Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	18,225.930	06/25/09	06/15/11	18,225.93	18,915.10	-689.17	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6 278% NOV 15 2039 DTD 02/01/2002	361849TQ5	111,180.700	02/28/08	06/15/11	111,180.70	116,531.27	-5,350.57	
WESTPAC BANKING CORP 4.2% FEB 27 2015 DTD 08/27/2009	961214BH5	70,000.000	08/24/09	06/10/11	74,454.10	69,928.60	4,525.50	
INTER AMERICAN DEVEL BK 1 1/2% JUN 23 2011 DTD 06/23/2009	4581X0BF4	655,000.000	06/18/09	06/23/11	655,000.00	654,679.05	320.95	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4 707075% APR 25 2034 DTD 02/01/2004	07384MK27	334.710	05/30/08	06/27/11	334.71	330.00	4.71	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	9,163.340	09/03/09	06/27/11	9,163.34	9,519 85	-356 51	
FNMA Pool 4 5% 05/25/24	31396LRF9	22,073.160	05/21/09	06/27/11	22,073.16	22,830.18	-757.02	
FANNIE MAE PARTIC CTF ARMS 5 596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	14,491.960	01/26/10	06/27/11	14,491.96	15,343.36	-851.40	

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Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	272.960	02/28/08	06/27/11	272.96	271.76	1.20	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	142.190	02/28/08	06/27/11	142 19	143.59	-1.40	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	2,087.210	02/28/08	06/27/11	2,087 21	2,107.59	-20.38	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	383.920	02/28/08	06/27/11	389.51	37.87	351.64	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	340.060	02/28/08	06/27/11	340 06	334 69	5.37	
BARCLAYS BANK PLC SR NOTES 5 2% JUL 10 2014 DTD 07/10/2009	06739FFZ9	100,000.000	07/07/09	06/29/11	108,416.00	99,883.00	8,533.00	
ABBOTT LABORATORIES 2.7% MAY 27 2015 DTD 05/27/2010	002824AX8	55,000.000	05/24/10	07/05/11	57,160.40	54,943.90	2,216.50	
BERKSHIRE HATHAWAY INC 3.2% FEB 11 2015 DTD 02/11/2010	084670AV0	60,000.000	02/04/10	07/05/11	62,669.40	59,950.20	2,719 20	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
CHEVRON CORP SR NOTES 3.95% MAR 03 2014 DTD 03/03/2009	166751AH0	80,000.000	01/22/10	07/05/11	86,083.20	84,328.80	1,754.40	
NOVARTIS CAPITAL CORP 2.9% APR 24 2015 DTD 03/16/2010	66989HAC2	60,000.000	03/09/10	07/05/11	62,550.60	59,713.20	2,837.40	
SHELL INTERNATIONAL FIN 4% MAR 21 2014 DTD 3/23/2009	822582AF9	80,000.000	03/18/09	07/05/11	86,098.40	79,978.40	6,120.00	
STATOILHYDRO ASA 2.9% OCT 15 2014 DTD 10/15/2009	85771SAC0	65,000.000	10/07/09	07/05/11	68,108.95	64,901.20	3,207.75	
TOTAL CAPITAL SA 3% JUN 24 2015 DTD 06/24/2010	89152UAC6	55,000.000	06/17/10	07/05/11	57,255.00	54,784.95	2,470.05	
NOTRE DAME UNIVERSITY DU LAC INDIANA 4.141% SEP 01 2013 DTD 01/29/2009	914744AA5	200,000.000	01/14/09	07/05/11	213,350 00	200,000.00	13,350.00	
WAL-MART STORES NOTES 4.55% MAY 1 2013 DTD 4/29/2003	931142BT9	245,000.000	07/30/08	07/05/11	262,380.30	245,877.10	16,503.20	
WELLS FARGO AND COMPANY MEDIUM TERM NOTE 3 3/4% OCT 01 2014 DTD 10/01/2009	94974BET3	70,000.000	01/28/10	07/05/11	73,974.60	70,582.40	3,392.20	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FHLMC GOLD 5.5% 02/01/20	31283K4M7	15,712.570	08/12/09	07/15/11	15,712.57	16,640.59	-928.02	
FHLMC GOLD 5% 10/01/20	31283K6E3	7,653.180	09/24/09	07/15/11	7,653.18	8,083.67	-430 49	
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0	15,014.790	06/25/09	07/15/11	15,014.79	15,582.54	-567.75	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6.278% NOV 15 2039 DTD 02/01/2002	361849TQ5	84,754.620	02/28/08	07/15/11	84,754.62	88,833.44	-4,078.82	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	354.700	05/30/08	07/25/11	354.70	349.71	4.99	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	15,059.760	09/03/09	07/25/11	15,059.76	15,645.68	-585.92	
FNMA Pool 4.5% 05/25/24	31396LRF9	28,002.780	05/21/09	07/25/11	28,002.78	28,963.17	-960 39	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	3,017.080	01/26/10	07/25/11	3,017.08	3,194.33	-177.25	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	452.800	02/28/08	07/25/11	452.80	450.81	1.99	

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Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	551.040	02/28/08	07/25/11	551.04	556 46	-5.42	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4 52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	2,657.090	02/28/08	07/25/11	2,657.09	2,683.03	-25.94	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4 38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	121.100	02/28/08	07/25/11	126.68	11.94	114.74	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	218.320	02/28/08	07/25/11	218.32	214.87	3.45	
STATE STREET CAPITAL TRU 2.15% APR 30 2012 DTD 03/06/2009	85748KAA1	465,000.000	03/03/09	08/02/11	471,492.33	464,330.40	7,161.93	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	12,000.320	08/12/09	08/15/11	12,000.32	12,709.09	-708 77	
FHLMC GOLD 5% 10/01/20	31283K6E3	9,706.460	09/24/09	08/15/11	9,706.46	10,252.45	-545 99	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	16,780.410	06/25/09	08/15/11	16,780.41	17,414.92	-634.51	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6.278% NOV 15 2039 DTD 02/01/2002	361849TQ5	101,946.120	02/28/08	08/15/11	101,946 12	106,852.28	-4,906.16	
FFCB 4 4% 04/25/12	31331ST29	500,000.000	07/25/05	08/23/11	513,941.00	498,940.00	15,001.00	

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Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	108 670	05/30/08	08/25/11	108.67	107.14	1.53	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	15,855.630	09/03/09	08/25/11	15,855.63	16,472.51	-616.88	
FNMA Pool 4 5% 05/25/24	31396LRF9	27,386.180	05/21/09	08/25/11	27,386.18	28,325 42	-939 24	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	8,162.850	01/26/10	08/25/11	8,162.85	8,642.42	-479.57	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5 45% NOV 25 2034 DTD 10/01/2004	863579EK0	148 510	02/28/08	08/25/11	148.51	147.86	.65	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	61.180	02/28/08	08/25/11	61.18	61.78	-.60	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	1,812.870	02/28/08	08/25/11	1,812.87	1,830.57	-17.70	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	291.860	02/28/08	08/25/11	291.86	28.79	263.07	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	487.220	02/28/08	08/25/11	487.22	479 52	7.70	
PROCTER & GAMBLE INTL FN 1.35% AUG 26 2011 DTD 08/28/2009	742732AE0	330,000.000	08/25/09	08/26/11	330,000.00	329,755.80	244.20	
FHLMC GOLD 5 5% 02/01/20	31283K4M7	10,510.990	08/12/09	09/15/11	10,510.99	11,131.80	-620.81	
FHLMC GOLD 5% 10/01/20	31283K6E3	11,082.340	09/24/09	09/15/11	11,082 34	11,705.72	-623.38	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	25,286.130	06/25/09	09/15/11	25,286.13	26,242.26	-956.13	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6 278% NOV 15 2039 DTD 02/01/2002	361849TQ5	74,592.620	02/28/08	09/15/11	74,592 62	78,182 38	-3,589.76	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	42.440	05/30/08	09/26/11	42.44	41.84	.60	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	18,154.970	09/03/09	09/26/11	18,154 97	18,861.31	-706.34	
FNMA Pool 4 5% 05/25/24	31396LRF9	28,947.760	05/21/09	09/26/11	28,947 76	29,940 56	-992.80	

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Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FANNIE MAE PARTIC CTF ARMS 5 596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	30.350	01/26/10	09/26/11	30.35	32.13	-1.78	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	22.690	02/28/08	09/26/11	22 69	22.59	.10	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	2,058.610	02/28/08	09/26/11	2,058.61	2,078.71	-20.10	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4 38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	108 300	02/28/08	09/26/11	108.30	10.68	97.62	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	254 670	02/28/08	09/26/11	254.67	250.65	4.02	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	168.110	02/28/08	09/27/11	168.11	169.76	-1.65	
EUROPEAN INVESTMENT BANK NOTES 3% APR 08 2014 DTD 04/08/2009	298785EX0	305,000.000	05/22/09	09/27/11	322,727.21	307,028.25	15,698.96	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	12,133.430	08/12/09	10/17/11	12,133.43	12,850.06	-716.63	
FHLMC GOLD 5% 10/01/20	31283K6E3	9,801.050	09/24/09	10/17/11	9,801.05	10,352 36	-551.31	

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Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0	22,995.720	06/25/09	10/17/11	22,995.72	23,865.25	-869.53	
GMAC COMMERCIAL MORTGAGE SECURITIES INC SER 2002-C1 CL A2 6 278% NOV 15 2039 DTD 02/01/2002	361849TQ5	11,563.560	02/28/08	10/17/11	11,563.56	12,120.06	-556.50	
HOUSEHOLD FINANCE CO NOTES 6 3/8% OCT 15 2011 DTD 10/23/2001	441812JW5	405,000.000	11/23/09	10/17/11	405,000.00	434,731.05	-29,731.05	
ABBOTT LABORATORIES 2.7% MAY 27 2015 DTD 05/27/2010	002824AX8	180,000.000	05/24/10	10/19/11	188,969.40	179,816.40	9,153.00	
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	205.860	05/30/08	10/25/11	205.86	202.96	2.90	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	22,896.570	09/03/09	10/25/11	22,896.57	23,787.39	-890.82	
FNMA Pool 4 5% 05/25/24	31396LRF9	29,182.740	05/21/09	10/25/11	29,182.74	30,183.59	-1,000.85	
FANNIE MAE PARTIC CTF ARMS 5 596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	8,930.310	01/26/10	10/25/11	8,930.31	9,454.97	-524.66	

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Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	168.940	02/28/08	10/25/11	168.94	168.20	.74	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	65 990	02/28/08	10/25/11	65.99	66.64	-.65	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	322.160	02/28/08	10/25/11	322.16	325.31	-3 15	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4 38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	326.240	02/28/08	10/25/11	326.24	32.19	294.05	
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4 243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	447.080	02/28/08	10/25/11	447.08	440.01	7.07	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	11,581.500	08/12/09	11/15/11	11,581.50	12,265.53	-684.03	
FHLMC GOLD 5% 10/01/20	31283K6E3	9,872.560	09/24/09	11/15/11	9,872.56	10,427.89	-555 33	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	21,570.120	06/25/09	11/15/11	21,570.12	22,385.74	-815.62	
GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTES 5 1/4% OCT 19 2012 DTD 10/19/2007	36962G3K8	245,000 000	07/30/08	11/18/11	254,797.55	245,766.85	9,030.70	

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J.P.Morgan

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Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4 707075% APR 25 2034 DTD 02/01/2004	07384MK27	139.720	05/30/08	11/25/11	139.72	137.75	1 97	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	32,478.840	09/03/09	11/25/11	32,478.84	33,742.46	-1,263.62	
FNMA Pool 4 5% 05/25/24	31396LRF9	33,357.520	05/21/09	11/25/11	33,357.52	34,501.55	-1,144 03	
FANNIE MAE PARTIC CTF ARMS 5 596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	4,301.980	01/26/10	11/25/11	4,301.98	4,554.72	-252.74	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5.45% NOV 25 2034 DTD 10/01/2004	863579EK0	186.880	02/28/08	11/25/11	186.88	186.06	.82	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5 140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	177.310	02/28/08	11/25/11	177.31	179.06	-1.75	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4 52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	90.020	02/28/08	11/25/11	90.02	90.90	-.88	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	70 280	02/28/08	11/25/11	70.28	6.93	63 35	

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Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	267.820	02/28/08	11/25/11	267.82	263.59	4.23	
FHLMC GOLD 5.5% 02/01/20	31283K4M7	11,683.800	08/12/09	12/15/11	11,683 80	12,373.87	-690.07	
FHLMC GOLD 5% 10/01/20	31283K6E3	8,637.060	09/24/09	12/15/11	8,637.06	9,122.89	-485.83	
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0	19,129.830	06/25/09	12/15/11	19,129.83	19,853.18	-723.35	
FHLB 3 625% 10/18/13	3133XSAE8	680,000.000	10/21/09	12/20/11	719,786.80	718,007.24	1,779.56	
US TREAS 2.125% 05/31/15	912828NF3	665,000.000	Various	12/20/11	702,250.39	663,346.13	38,904.26	
BARCLAYS BANK PLC SR NOTES 5 2% JUL 10 2014 DTD 07/10/2009	06739FFZ9	100,000.000	07/07/09	12/20/11	103,050.00	99,883.00	3,167.00	
CANADIAN IMP BK COMM NY SR NOTES 1 45% SEP 13 2013 DTD 09/14/2010	136069DP3	70,000.000	09/07/10	12/20/11	69,965.00	69,920.20	44.80	
SHELL INTERNATIONAL FIN 4% MAR 21 2014 DTD 3/23/2009	822582AF9	50,000.000	03/18/09	12/20/11	53,577.00	49,986 50	3,590.50	
US BANCORP SR NOTES 4.2% MAY 15 2014 DTD 05/14/2009	91159HGR5	50,000.000	05/11/09	12/20/11	53,377.50	49,991.00	3,386.50	

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Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
BEAR STEARNS ADJUSTABLE RATE MORTGAGE TR SER 2004-1 CL 21A1 COL 4.707075% APR 25 2034 DTD 02/01/2004	07384MK27	251.000	05/30/08	12/27/11	251.00	247.47	3.53	
FANNIE MAE SER 2004-97 CL GJ 4 1/2% OCT 25 2030 DTD 12/01/2004	31394BT38	32,006.150	09/03/09	12/27/11	32,006.15	33,251.38	-1,245.23	
FNMA Pool 4.5% 05/25/24	31396LRF9	39,023.540	05/21/09	12/27/11	39,023.54	40,361.90	-1,338.36	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	3,105.980	01/26/10	12/27/11	3,105.98	3,288.46	-182.48	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-16 CL 3A1 5 45% NOV 25 2034 DTD 10/01/2004	863579EK0	62.150	02/28/08	12/27/11	62.15	61.88	.27	
STRUCTURED ASSET SECURITIES CORPORATION SER 2003-34A CL 3A3 5.140022% NOV 25 2033 DTD 10/01/2003	86359A5B5	1,456.690	02/28/08	12/27/11	1,456.69	1,471.03	-14.34	
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4.52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	166 590	02/28/08	12/27/11	166.59	168.22	-1.63	
STRUCTURED ADJUSTABLE RATE MORTGAGE LOAN SER 2004-5 CLASS 3A1 4.38% MAY 25 2034 DTD 04/01/2004	86359BPQ8	58.120	02/28/08	12/27/11	58 12	5.73	52.39	

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Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
WAMU MORTGAGE PASS THROUGH CERTIFICATES SER 2004-AR3 CL A2 4.243% JUN 25 2034 DTD 04/01/2004	92922FNJ3	534.600	02/28/08	12/27/11	534.60	526.15	8.45	
FHLMC GOLD 5 5% 02/01/20	31283K4M7	10,125.670	08/12/09	01/17/12	10,125 67	10,723.72	-598.05	
FHLMC GOLD 5% 10/01/20	31283K6E3	10,571.480	09/24/09	01/17/12	10,571.48	11,166.13	-594.65	
FANNIE MAE PARTIC CTF ARMS 5.596% FEB 01 2037 DTD 01/01/2007 POOL NO 888148	31410FWH4	9,561.000	01/26/10	01/25/12	9,561.00	10,122.71	-561.71	
Total Long Term Non Covered					**19,644,353.03**	**19,563,157.59**	**81,195.44**	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION
Account Number: A 10639-00-2

Capital Gain and Loss Schedule

Transactions with Unknown/Missing Tax Cost Basis

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Unknown / Missing Tax Cost								
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	110.150	02/28/08	01/20/11	110.15	109.52	.63	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	71.060	02/28/08	02/23/11	71.06	70.66	.40	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	196.990	02/28/08	03/22/11	196.99	195.87	1.12	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4 536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	311.160	02/28/08	04/20/11	311.16	309.39	1.77	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	151.680	02/28/08	05/20/11	151.68	150.82	.86	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4 536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	153.550	02/28/08	06/21/11	153.55	152.67	.88	
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	311.700	02/28/08	07/20/11	311.70	309.92	1.78	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

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WASSERMAN FOUNDATION
Account Number. A 10639-00-2

Capital Gain and Loss Schedule

Transactions with Unknown/Missing Tax Cost Basis

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Unknown / Missing Tax Cost								
COUNTRYWIDE HOME LOANS SER 2004-HYB6 CL A2 4.536571% NOV 20 2034 DTD 09/01/2004	12669GBA8	20,202.590	02/28/08	07/15/11	14,343.85	20,087.44	<5,743.59>	
Total Unknown / Missing Tax Cost					**15,650.14**	21,386.29	<5,736.15> LONG TERM	

* O indicates Ordinary Income Gain/Loss   F indicates Foreign Exchange Gain/Loss on Capital transactions

WASSERMAN FOUNDATION - HY(INVESTMENT MANAGEMENT)

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Search by : PriorCalendarYear **View by :** Security

Please Note: all information within this report is based upon the settlement date of the transaction. Please adjust your date range search accordingly.

Description	Quantity	Market Cost/Proceeds	Cost Basis	Short-Term Realized Gain/Loss	Long-Term Realized Gain/Loss	FX Realized Gain/Loss	Ordinary Income Gain	Total Realized Gain/Loss	Total Realized Gain/Loss (%)
ALLBRITTON COMMUNICATION 8% MAY 15 2018 DTD 04/30/2010 CUSIP. 016745AL5	(5,000 000)	5,132 50	5,262 50	(130.00)	0.00	0.00	0.00	(130 00)	(2.47)
BANK OF AMERICA CORP 8% PFD STK DEC 31 2099 DTD 01/30/2008 CUSIP: 060505DR2	(20,000.000)	16,841.25	21,383.33	(4,542 08)	0.00	0.00	0.00	(4,542 08)	(21.24)
CHS/COMMUNITY HEALTH SYS 8 7/8% JUL 15 2015 DTD 07/25/2007 ELECTED BONDS - EXP 12/08/2011 CUSIP 12599BJZ1	(14,000 000)	14,272.76	14,758 57	<485.81>	0.00	0.00	0.00	<485.81>	
CKE RESTAURANTS INC 11 3/8% JUL 15 2018 DTD 07/12/2010 CUSIP· 12561EAK1	(1,000 000)	1,030 00	1,109.60	(79 60)	0.00	0 00	0 00	(79 60)	(7.17)
DEVELOPERS DIVERSIFIED R 7 7/8% SEP 01 2020 DTD 08/12/2010 CUSIP: 251591AV5	(5,000 000)	5,404.25	5,843.75	(439 50)	0.00	0.00	0.00	(439.50)	(7.52)
EDISON MISSION ENERGY 7.2% MAY 15 2019 DTD 11/15/2007 CUSIP. 281023AX9	(24,000 000)	19,492.50	17,640.00	1,852.58	0.00	0.00	136.31	1,852.50	10.50
GENWORTH FINANCIAL INC NOTES 7.2% FEB 15 2021 DTD 11/22/2010 CUSIP: 37247DAN6	(10,000 000)	9,962 50	10,150 00	(187.50)	0.00	0.00	0.00	(187 50)	(1.85)
GOODYEAR TIRE & RUBBER C 10 1/2% MAY 15 2016 DTD 05/11/2009 CUSIP: 382550AZ4	(3,000 000)	3,315 00	3,405 00	(90 00)	0 00	0 00	0 00	(90 00)	(2 64)
INTL LEASE FINANCE CORP MEDIUM TERM NOTE 5 65% JUN 01 2014 DTD 05/29/2007 CUSIP 45974VB49	(15,000 000)	14,387.50	15,037 50	(650 00)	0.00	0.00	0.00	(650.00)	(4 32)
MEDIACOM LLC/CAPITAL CORPORATION 9 1/8% AUG 15 2019 DTD 02/15/2010 CUSIP. 58445MAM4	(3,000.000)	3,315 00	3,195 00	120.00	0 00	0.00	0 00	120.00	3.76
NAVISTAR INTL CORP SR NOTES 8 1/4% NOV 01 2021 DTD 10/28/2009 CUSIP: 63934EAM0	(2,000 000)	2,060 00	2,194.50	(134.50)	0 00	0.00	0.00	(134.50)	(6.13)
NEWFIELD EXPLORATION CO 7 1/8% MAY 15 2018 DTD 05/08/2008 CUSIP· 651290AK4	(15,000.000)	16,068.75	16,087.50	(18 75)	0 00	0 00	0.00	(18 75)	(0 12)
NRG ENERGY INC 7 3/8% FEB 01									

2016 DTD 02/02/2006 TENDERED POSITION - EXPIRING 6/7/2011 CUSIP. 62999ALT5	(15,000,000)	15,440 70	15,562.50	(121.80)	0.00	0.00	0 00	(121 80)	(0.78)
PRIDE INTERNATIONAL INC 8 1/2% JUN 15 2019 DTD 06/02/2009 CUSIP· 74153QAG7	(6,000 000)	7,698.84	7,380 00	318.84	0.00	0 00	0.00	318.84	4.32
SPRINT CAPITAL CORP 6.90% MAY 1 2019 DTD 5/6/99 CUSIP: 852060AG7	(50,000 000)	42,875 00	52,550.00	(9,675 00)	0.00	0.00	0 00	(9,675 00)	(18 41)
US STEEL CORP SR NOTES 7 3/8% APR 01 2020 DTD 03/19/2010 CUSIP. 912909AF5	(5,000,000)	4,662.50	5,243 75	(581 25)	0.00	0.00	0.00	(581 25)	(11 08)
Total In USD		**181,959.05**	**196,803.50**	<14,844.45>	**0.00**	**0.00**	**136.31**	<14,844.45>	**(9.52)**

* Acquisition date is not available, your short-term and long-term realized gain/loss cannot be determined.

Note: Cost basis for certain fixed income instruments may not reflect adjustments for amortization or accretion.

Note: Not all transactions have tax lots and, therefore, may not appear upon selecting the "Show All Tax Lots" view.

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Realized Gain/Loss

TIP We display transactions on the Realized Gain Loss report if we have complete cost basis information. Learn more

Selected View WASSERMAN FOUNDATION - SD HY (A...007)

Show Details from Prior Calendar Year View Tax Lots

WASSERMAN FOUNDATION - SD HY (A...007)

Description	Security ID	Quantity	Proceeds	Cost Basis[1]	Short-Term Realized Gain/Loss[2]	Long-Term Realized Gain/Loss[2]	FX Realized Gain/Loss	Ordinary Income Gain	Total Realized Gain/Loss Amount	Total Realized Gain/Loss %
CCH II LLC/CCH II CAPITA 13 1/2% NOV 30 2016 DTD 11/30/2009 ELECTED BONDS - EXP 12/13/2011	12599BKA4	-8,404.00	7,288 54	7,364.60	-96 08	0 00	0.00	0.00	-96 06	-1 30
CHS/COMMUNITY HEALTH SYS 8 7/8% JUL 15 2015 DTD 07/25/2007 ELECTED BONDS - EXP 12/08/2011	12599BJZ1	-8,000 00	8,167 76	8,300 00	-132 24	0 00	0.00	0.00	-132.24	-1 59
PLAINS EXPLORATION & PRO 10% MAR 01 2016 DTD 03/06/2009 TENDERED POSITION - EXPIRING 12/15/2011	72699ABB7	-20,000.00	22,200.00	22,300 00	-100 00	0.00	0 00	0 00	-100 00	-0 45
Total in USD			$37,636.30	$37,964.60	-$328.30	$0.00	$0.00	$0.00	-$328.30	

Showing Details from the Prior Calendar Year

[1] Note: Cost basis for certain fixed income instruments may not reflect adjustments for amortization or accretion

[2] We will not display short and long term realized gain/loss values when the acquisition date is not available. These values may also reflect special calculations for gifted securities.