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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

ROBERT ELLIS SIMON FOUNDATION
312 S. CANYON VIEW DR
LOS ANGELES, CA 90049**A** Employer identification number
95-6035905**B** Telephone number (see the instructions)
(310) 656-1111**C** If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**G** Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 3,940,849.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 ☒ If the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	50,931.	50,931.	50,931.	
	4 Dividends and interest from securities	65,556.	65,556.	65,556.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	29,476.			
	b Gross sales price for all assets on line 6a	329,346.			
	7 Capital gain net income (from Part IV, line 2)		29,476.		
	8 Net short-term capital gain				
	9 Income modifications				
ADMINISTRATIVE EXPENSES	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	145,963.	145,963.	116,487.	
	13 Compensation of officers, directors, trustees, etc	30,200.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	3,200.	1,600.		
	c Other prof fees (attach sch) SEE ST 2	17,196.	17,196.		
17 Interest					
18 Taxes (attach schedule) (see instrs) SEE STM 3	1,933.	983.			
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) SEE STATEMENT 4	8,595.	7,766.			
24 Total operating and administrative expenses. Add lines 13 through 23	61,124.	27,545.			
25 Contributions, gifts, grants paid PART XV	170,000.			170,000.	
26 Total expenses and disbursements. Add lines 24 and 25	231,124.	27,545.	0.	170,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-85,161.				
b Net investment income (if negative, enter -0-)		118,418.			
c Adjusted net income (if negative, enter -0-)			116,487.		

BAA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	30,601.	23,460.	23,460.
	2 Savings and temporary cash investments	147,423.	267,743.	267,743.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	2,287,921.	2,300,430.	2,969,600.
	c Investments — corporate bonds (attach schedule)	848,044.	637,364.	680,046.
	11 Investments — land, buildings, and equipment, basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment, basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)	169.		
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	3,314,158.	3,228,997.	3,940,849.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,314,158.	3,228,997.	
	30 Total net assets or fund balances (see instructions)	3,314,158.	3,228,997.	
	31 Total liabilities and net assets/fund balances (see instructions)	3,314,158.	3,228,997.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,314,158.
2	Enter amount from Part I, line 27a	2	-85,161.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,228,997.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,228,997.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a 1,000 QUALCOMM		P	4/24/06	1/24/11
b 267 SIMON PROPERTY GROUP		P	7/14/03	5/03/11
c 2,400 LOWE'S COMPANIES		P	8/16/04	5/25/11
d 100,000 MORGAN STANLEY GRP 7%		P	8/04/04	10/27/11
e 100,000 NM GENERAL ELECTRIC CAP		P	5/01/03	11/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,470.		25,961.	6,509.
b 30,894.		10,843.	20,051.
c 58,532.		59,916.	-1,384.
d 107,450.		103,150.	4,300.
e 100,000.		100,000.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			6,509.
b			20,051.
c			-1,384.
d			4,300.
e			0.

2	Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	29,476.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	100,000.	3,750,551.	0.026663
2009	249,846.	3,395,790.	0.073575
2008	232,663.	3,737,166.	0.062257
2007	257,603.	4,551,318.	0.056600
2006	247,753.	4,577,469.	0.054124

2 Total of line 1, column (d)	2	0.273219
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054644
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,690,104.
5 Multiply line 4 by line 3	5	201,642.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,184.
7 Add lines 5 and 6	7	202,826.
8 Enter qualifying distributions from Part XII, line 4	8	170,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		1	2,368.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,368.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,368.
6 Credits/Payments.			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		42.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		2,410.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>THE FOUNDATION</u> Telephone no <u>310 656-1111</u> Located at <u>312 S CANYON VIEW DRIVE LOS ANGELES CA</u> ZIP + 4 <u>90049</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly).		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HAROLD WILLIAMS 1200 GETTY CENTER DRIVE LOS ANGELES, CA 90049	TRUSTEE 1.00	0.	0.	0.
JOAN G. WILLENS 312 S. CANYON VIEW DRIVE LOS ANGELES, CA 90049	TRUSTEE 3.00	17,500.	0.	0.
RALPH WILLIAMS 3090 HOMEWOOD STREET SIMI VALLEY, CA 93063	EXECUTIVE DI 1.00	12,700.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	3,707,195.
b Average of monthly cash balances	1b	39,103.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	3,746,298.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	3,746,298.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	56,194.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,690,104.
6 Minimum investment return. Enter 5% of line 5	6	184,505.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	184,505.
2a Tax on investment income for 2011 from Part VI, line 5	2a	2,368.	
b Income tax for 2011 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c	2,368.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	182,137.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	182,137.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	182,137.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	170,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	170,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	170,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				182,137.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			0.	
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2011.				
a From 2006				
b From 2007				
c From 2008	20,446.			
d From 2009	80,878.			
e From 2010				
f Total of lines 3a through e	101,324.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 170,000.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				170,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a).)	12,137.			12,137.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	89,187.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	89,187.			
10 Analysis of line 9.				
a Excess from 2007				
b Excess from 2008	8,309.			
c Excess from 2009	80,878.			
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 5				
Total			3a	170,000.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments		50,931.			
4 Dividends and interest from securities		65,556.			
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					29,476.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		116,487.			29,476.
13 Total. Add line 12, columns (b), (d), and (e)				13	145,963.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

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ROBERT ELLIS SIMON FOUNDATION

95-6035905

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TOTAL	\$ 3,200. \$ 3,200.	\$ 1,600. \$ 1,600.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES				
TOTAL	\$ 17,196. \$ 17,196.	\$ 17,196. \$ 17,196.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CORPORATE TAXES	\$ 950.			
FOREIGN TAXES	983.	\$ 983.		
TOTAL	\$ 1,933. \$ 1,933.	\$ 983. \$ 983.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION	\$ 7,565.	\$ 7,565.		
BANK CHARGES	110.			
INVESTMENT EXPENSES	201.	201.		
MISCELLANEOUS	259.			
OFFICE EXPENSES	418.			
POSTAGE	42.			
TOTAL	\$ 8,595. \$ 8,595.	\$ 7,766. \$ 7,766.	\$ 0.	\$ 0.

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ROBERT ELLIS SIMON FOUNDATION

95-6035905

STATEMENT 5
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SOUTHERN CALIFORNIA COUNSELING CTR 5618 WEST PICO BLVD LOS ANGELES, CA 90019	NONE		TO PROVIDE HIGH-QUALITY PSYCHOLOGICAL COUNSELING TO THOSE OF LIMITED INCOME AS WELL AS TO OFFER EXCEPTIONAL TRAINING WITHIN A SUPPORTIVE ENVIRONMENT FOR CENTER COUNSELORS AND SUPERVISORS, AND TO DEVELOP AND MAINTAIN RESPONSIVE PROGRAMS MEETING THE PSYCHOLOGICAL NEEDS OF SOUTHERN CALIFORNIA'S MULTI-CULTURAL COMMUNITY	\$ 25,000.
VISTA DEL MAR 3200 MOTOR AVE LOS ANGELES, CA 90034	NONE		TO PROVIDE INTEGRATED SERVICES TO CHILDREN SUFFERING EMOTIONAL, BEHAVIORIAL AND DEVELOPMENTAL CHALLENGES AND FOR FAMILIES SEEKING SOLUTIONS.	40,000.

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FEDERAL STATEMENTS

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ROBERT ELLIS SIMON FOUNDATION

95-6035905

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MY FRIEND'S PLACE P.O. BOX 3867 LOS ANGELES, CA 90078	NONE		TO PROVIDE A COMPREHENSIVE CONTINUUM OF CARE THAT INCLUDES PROVIDING EMERGENCY RESOURCES SUCH AS FOOD AND CLOTHING IN COMBINATION WITH HEALTH, EDUCATIONAL AND THERAPEUTIC SERVICES TO OVER 1,000 HOMELESS YOUTH AND THEIR CHILDREN ANNUALLY.	\$ 50,000.
PACIFIC ASIAN COUNSELING CENTER 8616 LA TIJERA BLVD SUITE 200 LOS ANGELES, CA 90045	NONE		TO MEET THE CONTINUOUSLY GROWING MENTAL HEALTH NEEDS OF THE DIVERSE ASIAN PACIFIC COMMUNITY THROUGH THE DEVELOPMENT AND EFFICIENT MANAGEMENT OF CULTURALLY AND LINGUISTICALLY APPROPRIATE MENTAL HEALTH SERVICES.	30,000.
LINDEN CENTER 812 N. FAIRFAX AVE LOS ANGELES, CA 90046	NONE		TO HELP PROVIDE FOR A MAXIMUM OF 48 ADOLESCENTS AND RESIDENTIAL FACILITY HOUSING UP TO 6 YOUNG WOMEN BETWEEN THE AGES OF 12 - 18 YEARS OLD WITH A WIDE VARIETY OF EDUCATIONAL, EMOTIONAL AND BEHAVIORAL NEEDS.	25,000.
TOTAL				\$ 170,000.

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FEDERAL SUPPORTING DETAIL

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ROBERT ELLIS SIMON FOUNDATION

95-6035905

BALANCE SHEET - INVESTMENTS
FAIR MARKET VALUE AT END OF YEAR (FORM 990-PF)
CORPORATE STOCK AND MUTUAL FUNDS

CORPORATE STOCK	\$	2,592,357.
MUTUAL / CLOSED END FUNDS		<u>377,243.</u>
TOTAL	\$	<u><u>2,969,600.</u></u>

ROBERT ELLIS SIMON FOUNDATION
95-6035905
SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Cash and Money Funds							
	CASH		261.37	261.37			
267,482	BIF TREASURY FUND		267,482.00	267,482.00			53.50
	Total Cash and Money Funds		267,743.37	267,743.37			53.50
Corporate Bonds							
100,000	GOLDMAN SACHS GROUP LP NOTES 144A 07.750% JUN 15 2013	08/04/04	103,455.17	104,972.00	1,516.00	322.92	7,750.00
75,000	ALLSTATE CORPORATION DEBENTURES 07.500% JUN 15 2013	08/02/04	77,849.09	80,841.75	3,192.00	234.38	5,625.00
25,000	ABBOTT LABORATORIES GLB 05.150% NOV 30 2012	04/30/09	25,581.78	26,050.00	468.00	107.29	1,288.00
100,000	BELLSOUTH CORP GLB 05.200% SEP 15 2014	04/18/08	99,811.87	110,549.00	10,937.00	1,516.87	5,200.00
65,000	INTL LEASE FINANCE CORP GLB 05.000% SEP 15 2012	02/28/08	64,805.00	64,875.00	(130.00)	847.82	3,250.00
100,000	CISCO SYSTEMS INC GLB 05.500% FEB 22 2018	04/18/08	101,553.31	116,373.00	14,819.00	1,955.58	5,500.00

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ROBERT ELLIS SIMON FOUNDATION
95-6035905
SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
25,000	CONOCOPHILLIPS COMPANY GUARNT 05.825% OCT 15 2018	08/23/09	28,182.82	29,188.25	3,025.00	282.87	1,407.00
50,000	GENERAL ELECTRIC CO GLB 05.250% DEC 08 2017	03/28/08	49,879.25	57,388.00	7,408.00	175.00	2,825.00
25,000	PEPSICO INC GLB 04.850% FEB 15 2013	08/18/09	25,390.74	28,156.25	785.00	435.94	1,163.00
30,000	VERIZON NEW JERSEY INC SER A GLB 05.875% JAN 17 2012	02/28/08	30,011.74	30,049.50	37.00	788.02	1,763.00
30,000	WESTERN UNION CO GLB 05.930% OCT 01 2018	12/09/10	33,183.84	33,802.80	818.00	438.81	1,778.00
Total Corporate Bonds			637,364.49	680,045.55	42,675.00	7,226.48	37,350.00

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ROBERT ELLIS SIMON FOUNDATION
95-6035905
SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1,300	AT& T INC	07/21/09	31,915.00	39,312.00	7,397.00	2,288.00
1,300	AT& T INC	08/28/09	34,712.89	39,312.00	4,599.00	2,288.00
200	APPLE INC	08/28/09	33,800.00	81,000.00	47,200.00	
100	APPLE INC	02/19/10	20,219.00	40,500.00	20,281.00	
400	BERKSHIRE HATHAWAYINC DEL CL B NEW	08/20/02	19,872.48	30,520.00	10,647.00	
500	BERKSHIRE HATHAWAYINC DEL CL B NEW	04/15/03	23,887.52	38,150.00	14,482.00	
350	BERKSHIRE HATHAWAYINC DEL CL B NEW	08/18/04	20,381.42	28,705.00	8,313.00	
500	BERKSHIRE HATHAWAYINC DEL CL B NEW	08/28/09	33,178.57	38,150.00	4,973.00	
500	BED BATH & BEYOND INC	10/18/00	10,812.50	28,985.00	18,172.00	
500	BED BATH & BEYOND INC	01/29/01	12,437.50	28,985.00	16,547.00	
800	BED BATH & BEYOND INC	11/25/03	24,714.00	34,782.00	10,068.00	
700	BED BATH & BEYOND INC	03/19/08	28,341.00	40,579.00	14,238.00	
300	COSTCO WHOLESALE CRP DEL	10/18/00	10,237.50	24,998.00	14,758.00	288.00
300	COSTCO WHOLESALE CRP DEL	12/28/00	11,418.75	24,998.00	13,577.00	288.00
800	COSTCO WHOLESALE CRP DEL	01/29/01	28,382.50	49,992.00	23,629.00	577.00

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ROBERT ELLIS SIMON FOUNDATION
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SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
500	COSTCO WHOLESALE CRP DEL	08/18/04	20,440.00	41,660.00	21,220.00	481.00
300	CISCO SYSTEMS INC COM	10/18/00	18,143.75	5,424.00	(10,719.00)	72.00
200	CISCO SYSTEMS INC COM	12/28/00	8,262.50	3,616.00	(4,646.00)	48.00
500	CISCO SYSTEMS INC COM	01/29/01	18,825.00	9,040.00	(9,585.00)	120.00
1,000	CISCO SYSTEMS INC COM	05/21/01	22,250.00	18,080.00	(4,170.00)	240.00
800	CISCO SYSTEMS INC COM	12/16/03	19,152.00	14,484.00	(4,668.00)	192.00
800	EQUITY RESIDENTIAL REIT	10/30/03	28,188.85	51,327.00	25,128.00	2,044.00
1,100	EXXON MOBIL CORP COM	09/15/04	52,228.00	83,238.00	41,008.00	2,088.00
400	EXXON MOBIL CORP COM	09/28/05	25,588.00	33,804.00	8,316.00	752.00
400	FEDEX CORP DELAWARE COM	08/24/03	24,076.00	33,404.00	9,328.00	208.00
300	FEDEX CORP DELAWARE COM	10/30/03	22,191.00	25,053.00	2,862.00	157.00
200	FEDEX CORP DELAWARE COM	11/25/03	14,288.00	16,702.00	2,418.00	104.00
200	GOOGLE INC CL A	12/27/08	83,172.52	129,180.00	38,007.00	
400	JOHNSON AND JOHNSON COM	11/15/00	18,930.00	28,232.00	7,302.00	913.00
400	JOHNSON AND JOHNSON COM	01/29/01	18,452.00	28,232.00	7,780.00	913.00
400	JOHNSON AND JOHNSON COM	08/18/04	22,088.00	28,232.00	4,144.00	913.00

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ROBERT ELLIS SIMON FOUNDATION
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SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
200	JOHNSON AND JOHNSON COM	08/18/04	11,230.00	13,116.00	1,886.00	456.00
300	MEDTRONIC INC COM	10/18/00	18,182.51	11,475.00	(4,707.00)	291.00
300	MEDTRONIC INC COM	01/29/01	18,478.00	11,475.00	(5,004.00)	291.00
500	MEDTRONIC INC COM	08/20/02	21,040.00	19,125.00	(1,915.00)	485.00
200	MEDTRONIC INC COM	08/18/04	9,888.00	7,850.00	(2,216.00)	194.00
400	MICROSOFT CORP	10/18/00	10,687.50	10,384.00	(203.00)	320.00
400	MICROSOFT CORP	12/28/00	9,087.50	10,384.00	1,286.00	320.00
800	MICROSOFT CORP	01/29/01	25,875.00	20,768.00	(4,907.00)	641.00
800	MICROSOFT CORP	11/25/03	20,544.00	20,768.00	224.00	641.00
800	NEXTERA ENERGY INC SHS	08/20/02	22,302.88	48,704.00	28,401.00	1,761.00
800	NEXTERA ENERGY INC SHS	11/25/03	25,398.00	48,704.00	23,308.00	1,761.00
50	NESTLE S A REP RG SH ADR	07/14/03	1,018.00	2,885.50	1,867.00	89.00
1,250	NESTLE S A REP RG SH ADR	09/25/03	28,825.00	72,137.50	43,312.00	2,208.00
500	NESTLE S A REP RG SH ADR	08/18/04	12,840.00	28,855.00	16,215.00	883.00
700	PHILIP MORRIS INTL INC	05/25/11	48,824.88	54,938.00	6,111.00	2,157.00
1,000	PEPSICO INC	08/15/04	49,710.00	68,350.00	18,640.00	2,080.00
400	PEPSICO INC	11/17/04	20,360.00	26,540.00	6,180.00	824.00

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EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
3,000	PFIZER INC	02/18/10	54,210.00	64,920.00	10,710.00	2,840.00
400	QUALCOMM INC	11/18/10	19,222.92	21,880.00	2,657.00	344.00
600	QUALCOMM INC	01/24/11	30,869.94	32,820.00	1,950.00	518.00
500	QUALCOMM INC	02/25/11	29,535.00	27,350.00	(2,185.00)	430.00
400	STERICYCLE INC COM	03/24/10	21,869.20	31,168.00	9,298.00	
300	STERICYCLE INC COM	11/18/10	21,809.00	23,376.00	1,767.00	
333	SIMON PROPERTY GROUP DEL REIT	07/14/03	13,523.80	42,937.02	29,413.00	1,199.00
400	SIMON PROPERTY GROUP DEL REIT	12/16/03	18,820.40	51,578.00	32,755.00	1,440.00
500	SIMON PROPERTY GROUP DEL REIT	11/17/04	30,218.00	64,470.00	34,252.00	1,800.00
35	SIMON PROPERTY GROUP DEL REIT	03/20/09	1,187.82	4,512.90	3,325.00	128.00
13	SIMON PROPERTY GROUP DEL REIT	06/24/09	687.38	1,876.22	988.00	47.00
10	SIMON PROPERTY GROUP DEL REIT	09/21/09	684.72	1,289.40	624.00	36.00
9	SIMON PROPERTY GROUP DEL REIT	12/21/09	679.44	1,160.48	481.00	33.00

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ROBERT ELLIS SIMON FOUNDATION
95-6035905
SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1,000	SOUTHERN COMPANY	07/22/03	29,080.00	48,290.00	17,230.00	1,891.00
800	SOUTHERN COMPANY	10/30/03	24,020.40	37,032.00	13,011.00	1,513.00
800	STRYKER CORP	09/25/03	22,749.00	29,826.00	7,077.00	510.00
800	STRYKER CORP	11/25/03	24,216.00	29,826.00	5,610.00	510.00
200	STRYKER CORP	08/18/04	9,215.00	9,942.00	727.00	170.00
600	TEVA PHARMACTCL INDS ADR	12/16/03	17,277.00	24,216.00	6,939.00	471.00
700	TEVA PHARMACTCL INDS ADR	11/17/04	18,138.00	28,252.00	8,114.00	549.00
1,100	TARGET CORP COM	08/15/04	50,381.00	56,342.00	5,951.00	1,320.00
400	TARGET CORP COM	11/17/04	20,808.00	20,488.00	(120.00)	480.00
300	UNITED TECHS CORP COM	02/19/10	20,580.00	21,927.00	1,347.00	578.00
300	UNITED TECHS CORP COM	03/24/10	21,889.00	21,927.00	(42.00)	578.00
1,000	VERIZON COMMUNICATNS COM	07/21/09	28,392.92	40,120.00	11,727.00	2,000.00
1,000	VERIZON COMMUNICATNS COM	08/28/09	29,762.39	40,120.00	10,357.00	2,000.00
800	VERIZON COMMUNICATNS COM	02/19/10	18,427.82	24,072.00	7,644.00	1,200.00
500	ZIMMER HOLDINGS INC COM	08/15/03	24,450.00	26,885.00	2,245.00	381.00
500	ZIMMER HOLDINGS INC COM	11/21/08	37,020.00	26,885.00	(10,325.00)	381.00
700	WAL-MART STORES INC	07/21/09	34,020.00	41,832.00	7,812.00	1,022.00

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ROBERT ELLIS SIMON FOUNDATION
95-6035905
SUMMARY OF ASSETS - 12/31/11



EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
Equities						
500	WAL-MART STORES INC	08/28/09	28,040.00	29,880.00	3,840.00	730.00
300	WALGREEN CO	12/28/00	12,588.50	9,918.00	(2,668.00)	270.00
300	WALGREEN CO	01/29/01	11,751.00	9,918.00	(1,833.00)	270.00
600	WALGREEN CO	05/21/01	24,522.00	19,838.00	(4,688.00)	540.00
300	WALGREEN CO	08/18/04	11,043.00	9,918.00	(1,125.00)	270.00
700	WALGREEN CO	09/15/04	25,900.00	23,142.00	(2,758.00)	830.00
Total Equities			1,898,188.85	2,592,357.00	686,181.00	57,168.00
Mutual Funds/Closed End Funds/UIT						
1,200	ISHARES TRUST S&P MIDCAP 400 INDEX FUND	03/22/07	101,832.00	105,132.00	3,300.00	1,339.00
1,000	ISHARES S&P 8MALLCAP 800 INDEX FUND	03/22/07	88,380.00	88,300.00	(80.00)	688.00
1,300	ISHARES MSCI EAFE INDEX FUND	03/27/08	85,353.85	64,389.00	(20,964.00)	2,224.00
2,200	TORTOISE ENERGY INFRASTRUCTURE CORP	02/18/05	65,405.00	87,878.00	22,573.00	4,885.00

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ROBERT ELLIS SIMON FOUNDATION
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EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/11	Unrealized Gain or (Loss)	Est Annual Income
1,200	WISDOMTREE INTL M/C DVD FUND	05/18/07	83,282.00	51,444.00	(31,838.00)	2,320.00
Total Mutual Funds/Closed End Funds/UIT			404,282.95	377,243.00	(27,039.95)	11,487.00

PLEASE SEE REVERSE SIDE
Page 13 of 28
Statement Period
Year Ending 12/31/11

x 00000000

#12



**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545 1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	ROBERT ELLIS SIMON FOUNDATION		☒ 95-6035905
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	312 S. CANYON VIEW DR		☐
City, town or post office, state, and ZIP code. For a foreign address, see instructions			
LOS ANGELES, CA 90049			

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE FOUNDATION

Telephone No ► 310 656-1111 FAX No ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 20 11 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	2,368.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	2,368.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing the return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	ROBERT ELLIS SIMON FOUNDATION	☒ 95-6035905
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	ST AMAND-HERSHOW CERTIFIED PUBLIC ACCOUNTANTS 19816 HENSHAW STREET	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WOODLAND HILLS, CA 91364-2603	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ☒ THE FOUNDATION
Telephone No 310 656-1111 FAX No
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15, 20 12

5 For calendar year 2011, or other tax year beginning , 20 , and ending , 20

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension ADDITIONAL INFORMATION IS NECESSARY TO PREPARE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	2,368.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	2,368.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature BAA Title TRUSTEE Date

FIF20502L 07/29/11

Form 8868 (Rev 1-2012)