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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

HARDEN FOUNDATION  
P.O. BOX 779  
SALINAS, CA 93902A Employer identification number  
94-6098887B Telephone number (see the instructions)  
(831) 442-3005C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name changeH Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 52,963,826.J Accounting method ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books (b) Net investment income (c) Adjusted net income (d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss) 77,347.

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 13,955,889.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

See Statement 1

12 Total. Add lines 1 through 11

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ADMINISTRATIVE AND OPERATING EXPENSES

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule) See St 2

b Accounting fees (attach sch) See St 3

c Other prof fees (attach sch) See St 4

17 Interest

18 Taxes (attach schedule)(see instrs) See Stm 5

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 6

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

| Part II Balance Sheets      |                                                                                                                                        | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)      | Beginning of year | End of year    |                       |
|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                                        |                                                                                                                         | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| ASSETS                      | 1                                                                                                                                      | Cash — non-interest-bearing                                                                                             | 142,630.          | 68,203.        | 68,203.               |
|                             | 2                                                                                                                                      | Savings and temporary cash investments                                                                                  | 1,205,278.        | 1,612,753.     | 1,612,753.            |
|                             | 3                                                                                                                                      | Accounts receivable <span style="float:right">25,920.</span>                                                            |                   |                |                       |
|                             |                                                                                                                                        | Less: allowance for doubtful accounts <span style="float:right">37,803.</span>                                          |                   | 25,920.        | 25,920.               |
|                             | 4                                                                                                                                      | Pledges receivable                                                                                                      |                   |                |                       |
|                             |                                                                                                                                        | Less: allowance for doubtful accounts                                                                                   |                   |                |                       |
|                             | 5                                                                                                                                      | Grants receivable                                                                                                       |                   |                |                       |
|                             | 6                                                                                                                                      | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) |                   |                |                       |
|                             | 7                                                                                                                                      | Other notes and loans receivable (attach sch)                                                                           |                   |                |                       |
|                             |                                                                                                                                        | Less: allowance for doubtful accounts                                                                                   |                   |                |                       |
|                             | 8                                                                                                                                      | Inventories for sale or use                                                                                             |                   |                |                       |
|                             | 9                                                                                                                                      | Prepaid expenses and deferred charges                                                                                   | 34,103.           | 17,408.        | 17,408.               |
|                             | 10a                                                                                                                                    | Investments — U.S. and state government obligations (attach schedule)                                                   | 1,674,123.        | 1,406,458.     | 1,406,458.            |
|                             | b                                                                                                                                      | Investments — corporate stock (attach schedule)                                                                         | 40,666,990.       | 35,801,125.    | 35,801,125.           |
|                             | c                                                                                                                                      | Investments — corporate bonds (attach schedule)                                                                         | 1,433,680.        | 1,324,487.     | 1,324,487.            |
|                             | 11                                                                                                                                     | Investments — land, buildings, and equipment: basis                                                                     |                   |                |                       |
|                             | Less: accumulated depreciation (attach schedule)                                                                                       |                                                                                                                         |                   |                |                       |
| 12                          | Investments — mortgage loans                                                                                                           |                                                                                                                         |                   |                |                       |
| 13                          | Investments — other (attach schedule)                                                                                                  |                                                                                                                         |                   |                |                       |
| 14                          | Land, buildings, and equipment: basis <span style="float:right">8,089,985.</span>                                                      |                                                                                                                         |                   |                |                       |
|                             | Less: accumulated depreciation (attach schedule) <span style="float:right">See Stmt 7 1,754,128.</span>                                | 6,482,110.                                                                                                              | 6,335,857.        | 12,704,861.    |                       |
| 15                          | Other assets (describe <span style="float:right">See Statement 8</span> )                                                              | 25,771.                                                                                                                 | 2,611.            | 2,611.         |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers — see the instructions. Also, see page 1, item I).                                  | 51,702,488.                                                                                                             | 46,594,822.       | 52,963,826.    |                       |
| LIABILITIES                 | 17                                                                                                                                     | Accounts payable and accrued expenses                                                                                   | 95,087.           | 63,982.        |                       |
|                             | 18                                                                                                                                     | Grants payable                                                                                                          | 621,633.          | 760,711.       |                       |
|                             | 19                                                                                                                                     | Deferred revenue                                                                                                        | 32,876.           | 32,876.        |                       |
|                             | 20                                                                                                                                     | Loans from officers, directors, trustees, & other disqualified persons                                                  |                   |                |                       |
|                             | 21                                                                                                                                     | Mortgages and other notes payable (attach schedule)                                                                     |                   |                |                       |
|                             | 22                                                                                                                                     | Other liabilities (describe <span style="float:right">See Statement 9</span> )                                          | 721,059.          | 658,028.       |                       |
|                             | 23                                                                                                                                     | <b>Total liabilities</b> (add lines 17 through 22)                                                                      | 1,470,655.        | 1,515,597.     |                       |
| NET ASSETS OR FUND BALANCES | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/> |                                                                                                                         |                   |                |                       |
|                             | 24                                                                                                                                     | Unrestricted                                                                                                            | 50,231,833.       | 45,079,225.    |                       |
|                             | 25                                                                                                                                     | Temporarily restricted                                                                                                  |                   |                |                       |
|                             | 26                                                                                                                                     | Permanently restricted                                                                                                  |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>                         |                                                                                                                         |                   |                |                       |
|                             | 27                                                                                                                                     | Capital stock, trust principal, or current funds                                                                        |                   |                |                       |
|                             | 28                                                                                                                                     | Paid-in or capital surplus, or land, building, and equipment fund                                                       |                   |                |                       |
|                             | 29                                                                                                                                     | Retained earnings, accumulated income, endowment, or other funds                                                        |                   |                |                       |
|                             | 30                                                                                                                                     | <b>Total net assets or fund balances</b> (see instructions)                                                             | 50,231,833.       | 45,079,225.    |                       |
|                             | 31                                                                                                                                     | <b>Total liabilities and net assets/fund balances</b> (see instructions)                                                | 51,702,488.       | 46,594,822.    |                       |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                            |   |             |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 50,231,833. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | -5,152,608. |
| 3 | Other increases not included in line 2 (itemize)                                                                                                           | 3 |             |
| 4 | Add lines 1, 2, and 3.                                                                                                                                     | 4 | 45,079,225. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                 | 5 |             |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.                                                     | 6 | 45,079,225. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1 a                                                                                                                                      |                                                  |                                         |                                     |
| b                                                                                                                                        |                                                  |                                         |                                     |
| c                                                                                                                                        |                                                  |                                         |                                     |
| d                                                                                                                                        |                                                  |                                         |                                     |
| e                                                                                                                                        |                                                  |                                         |                                     |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

| (i) Fair Market Value<br>as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any | (l) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|-----------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| a                                       |                                      |                                                     |                                                                                                       |
| b                                       |                                      |                                                     |                                                                                                       |
| c                                       |                                      |                                                     |                                                                                                       |
| d                                       |                                      |                                                     |                                                                                                       |
| e                                       |                                      |                                                     |                                                                                                       |

|                                                                                                                                                                                                |              |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|
| 2 Capital gain net income or (net capital loss) — [ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ]                                                          | 2 1,406,713. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | 3            |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years<br>Calendar year (or tax year<br>beginning in) | (b) Adjusted qualifying distributions | (c) Net value of<br>noncharitable-use assets | (d) Distribution ratio<br>(column (b) divided by column (c)) |
|----------------------------------------------------------------------|---------------------------------------|----------------------------------------------|--------------------------------------------------------------|
| 2010                                                                 | 2,597,607.                            | 46,637,335.                                  | 0.055698                                                     |
| 2009                                                                 | 2,562,699.                            | 42,792,399.                                  | 0.059887                                                     |
| 2008                                                                 | 4,522,238.                            | 52,510,119.                                  | 0.086121                                                     |
| 2007                                                                 | 5,078,133.                            | 66,213,542.                                  | 0.076693                                                     |
| 2006                                                                 | 5,118,280.                            | 65,046,755.                                  | 0.078686                                                     |

|                                                                                                                                                                                |               |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 0.357085    |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 0.071417    |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.                                                                                                | 4 48,579,055. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 3,469,370.  |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 16,580.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 3,485,950.  |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 3,392,450.  |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

|                                                                                                                                                                                                                                    |    |         |          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|----------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs) |    |         |          |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                  |    | 1       | 33,160.  |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)                                                                                                        |    |         |          |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                         |    | 2       | 0.       |
| 3 Add lines 1 and 2                                                                                                                                                                                                                |    | 3       | 33,160.  |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)                                                                                                                       |    | 4       | 0.       |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                          |    | 5       | 33,160.  |
| 6 Credits/Payments                                                                                                                                                                                                                 |    |         |          |
| a 2011 estimated tax pmts and 2010 overpayment credited to 2011                                                                                                                                                                    | 6a | 35,771. |          |
| b Exempt foreign organizations – tax withheld at source                                                                                                                                                                            | 6b |         |          |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                              | 6c |         |          |
| d Backup withholding erroneously withheld                                                                                                                                                                                          | 6d |         |          |
| 7 Total credits and payments Add lines 6a through 6d                                                                                                                                                                               | 7  | 35,771. |          |
| 8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                      | 8  |         |          |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                    | 9  | 0.      |          |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                       | 10 | 2,611.  |          |
| 11 Enter the amount of line 10 to be Credited to 2012 estimated tax                                                                                                                                                                | 11 | 2,611.  | Refunded |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                      | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                             |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?                                                                                                                                                                                         |     | X  |
| If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities                                                                                                                                         |     |    |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                               |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation \$ 0. (2) On foundation managers \$ 0.                                                                                                                                                                 |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.                                                                                                                                                                                         |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If 'Yes,' attach a detailed description of the activities                                                                                                                                                                       |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes                                                                                                         |     | X  |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                      |     | X  |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                   | N/A |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If 'Yes,' attach the statement required by General Instruction T                                                                                                                                                                 |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV                                                                                                                                                                                                | X   |    |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions)<br>CA                                                                                                                                                                                                                         |     |    |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation                                                                                                                        | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV                                                                               |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses.                                                                                                                                                                                               |     | X  |

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Form 990-PF (2011)

**Part VII-A Statements Regarding Activities (continued)**

|    |                                                                                                                                                                                           |     |     |    |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)   | 11  |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)  | 12  |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <u>http://www.hardenfoundation.org</u>             | 13  | X   |    |
| 14 | The books are in care of <u>JOSEPH C. GRAINGER</u> Telephone no <u>(831) 442-3005</u><br>Located at <u>1636 ERCIA STREET SALINAS CA</u> ZIP + 4 <u>93906</u>                              |     |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year    | N/A | 15  |    |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16  | Yes | No |
|    | See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country                                                         |     |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |     |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | 1b                                                                  | N/A |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                         | 1c                                                                  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).                                                                                                                                                                                                                                                                                                                  |                                                                     |     |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?<br>If 'Yes,' list the years 20__ , 20__ , 20__ , 20__                                                                                                                                                                                                                                                                                         | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)                                                                                                                                                                                       | 2b                                                                  | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>20__ , 20__ , 20__ , 20__                                                                                                                                                                                                                                                                                                                                                   |                                                                     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |
| b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) | 3b                                                                  | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a                                                                  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                               | 4b                                                                  | X   |

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Form 990-PF (2011)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| See Statement 10     |                                                           | 223,167.                                  | 104,170.                                                              | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| MARIA HOLGUIN-GUERRERO<br>1636 ERCIA ST.<br>SALINAS, CA 93906 | PROG OFFICER<br>40                                        | 94,096.          | 61,134.                                                               | 0.                                    |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

## TEEA0307L 09/01/11

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                                     |           |             |
|---------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |           |             |
| <b>a</b> Average monthly fair market value of securities                                                            | <b>1a</b> | 41,586,428. |
| <b>b</b> Average of monthly cash balances                                                                           | <b>1b</b> | 1,800,298.  |
| <b>c</b> Fair market value of all other assets (see instructions)                                                   | <b>1c</b> | 5,932,112.  |
| <b>d</b> Total (add lines 1a, b, and c)                                                                             | <b>1d</b> | 49,318,838. |
| <b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | <b>1e</b> | 0.          |
| <b>2</b> Acquisition indebtedness applicable to line 1 assets                                                       | <b>2</b>  | 0.          |
| <b>3</b> Subtract line 2 from line 1d                                                                               | <b>3</b>  | 49,318,838. |
| <b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)  | <b>4</b>  | 739,783.    |
| <b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | <b>5</b>  | 48,579,055. |
| <b>6</b> Minimum investment return. Enter 5% of line 5                                                              | <b>6</b>  | 2,428,953.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|                                                                                                             |           |            |
|-------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> Minimum investment return from Part X, line 6                                                      | <b>1</b>  | 2,428,953. |
| <b>2a</b> Tax on investment income for 2011 from Part VI, line 5                                            | <b>2a</b> | 33,160.    |
| <b>b</b> Income tax for 2011 (This does not include the tax from Part VI)                                   | <b>2b</b> |            |
| <b>c</b> Add lines 2a and 2b                                                                                | <b>2c</b> | 33,160.    |
| <b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1                              | <b>3</b>  | 2,395,793. |
| <b>4</b> Recoveries of amounts treated as qualifying distributions                                          | <b>4</b>  |            |
| <b>5</b> Add lines 3 and 4                                                                                  | <b>5</b>  | 2,395,793. |
| <b>6</b> Deduction from distributable amount (see instructions)                                             | <b>6</b>  |            |
| <b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 2,395,793. |

**Part XII** Qualifying Distributions (see instructions)

|                                                                                                                                                               |           |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                            |           |            |
| <b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | <b>1a</b> | 3,362,750. |
| <b>b</b> Program-related investments — total from Part IX-B                                                                                                   | <b>1b</b> |            |
| <b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  | 29,700.    |
| <b>3</b> Amounts set aside for specific charitable projects that satisfy the                                                                                  |           |            |
| <b>a</b> Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> |            |
| <b>b</b> Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> |            |
| <b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                           | <b>4</b>  | 3,392,450. |
| <b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  |            |
| <b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4                                                                                       | <b>6</b>  | 3,392,450. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2011)

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2011 from Part XI, line 7                                                                                                                       |               |                            |             | 2,395,793.  |
| <b>2</b> Undistributed income, if any, as of the end of 2011                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2010 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years: 20____, 20____, 20____                                                                                                                            |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2011:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2006                                                                                                                                                                | 2,282,540.    |                            |             |             |
| <b>b</b> From 2007                                                                                                                                                                | 1,872,784.    |                            |             |             |
| <b>c</b> From 2008                                                                                                                                                                | 2,333,499.    |                            |             |             |
| <b>d</b> From 2009                                                                                                                                                                | 427,037.      |                            |             |             |
| <b>e</b> From 2010                                                                                                                                                                | 285,598.      |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 7,201,458.    |                            |             |             |
| <b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 3,392,450.                                                                                                  |               |                            |             |             |
| <b>a</b> Applied to 2010, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2011 distributable amount                                                                                                                                     |               |                            |             | 2,395,793.  |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 996,657.      |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 8,198,115.    |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions                                                                          |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)                                                                              | 2,282,540.    |                            |             |             |
| <b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a                                                                                              | 5,915,575.    |                            |             |             |
| <b>10</b> Analysis of line 9.                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2007                                                                                                                                                         | 1,872,784.    |                            |             |             |
| <b>b</b> Excess from 2008                                                                                                                                                         | 2,333,499.    |                            |             |             |
| <b>c</b> Excess from 2009                                                                                                                                                         | 427,037.      |                            |             |             |
| <b>d</b> Excess from 2010                                                                                                                                                         | 285,598.      |                            |             |             |
| <b>e</b> Excess from 2011                                                                                                                                                         | 996,657.      |                            |             |             |



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)         | If recipient is an individual,<br>show any relationship to<br>any foundation manager or<br>substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount               |
|----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------------------|
| <i>a</i> Paid during the year<br>SCHEDULE ATTACHED       | NOT APPLICABL                                                                                                      | PUBLIC                               | SCHEDULE ATTACHED                   | 2,762,675.           |
| <b>Total</b>                                             |                                                                                                                    |                                      |                                     | <b>3a</b> 2,762,675. |
| <i>b</i> Approved for future payment<br>See Statement 14 |                                                                                                                    |                                      |                                     |                      |
| <b>Total</b>                                             |                                                                                                                    |                                      |                                     | <b>3b</b> 783,000.   |





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Statement 1  
Form 990-PF, Part I, Line 11  
Other Income

|                           | (a)<br>Revenue<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income |
|---------------------------|-----------------------------|---------------------------------|-------------------------------|
| FMV ADJUSTMENT-SECURITIES | \$ -3,156,400.              |                                 |                               |
| OTHER INCOME              | 3,895.                      |                                 |                               |
| Total                     | \$ -3,152,505.              | \$ 0.                           | \$ 0.                         |

Statement 2  
Form 990-PF, Part I, Line 16a  
Legal Fees

|                                | (a)<br>Expenses<br>Per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|--------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Ottone, Leach, Olsen & Ray LLP | \$ 244.                      | \$ 122.                         |                               | \$ 122.                       |
| Total                          | \$ 244.                      | \$ 122.                         | \$ 0.                         | \$ 122.                       |

Statement 3  
Form 990-PF, Part I, Line 16b  
Accounting Fees

|                                      | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|--------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| KOKJER, PIEROTTI, MAIOCCO & DUCK LLP | \$ 33,721.                   | \$ 16,860.                      |                               | \$ 16,233.                    |
| RYAN & McDONALD                      | 14,500.                      | 7,250.                          |                               | 7,250.                        |
| Total                                | \$ 48,221.                   | \$ 24,110.                      | \$ 0.                         | \$ 23,483.                    |

Statement 4  
Form 990-PF, Part I, Line 16c  
Other Professional Fees

|                                         | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| RED GRANITE-INVESTMENT MANAGEMENT FEES  | \$ 114,209.                  | \$ 114,209.                     |                               |                               |
| REIK-INVESTMENT MANAGEMENT FEES         | 22,432.                      | 22,432.                         |                               |                               |
| SMITH BARNEY-INVESTMENT MANAGEMENT FEES | 298,271.                     | 298,271.                        |                               |                               |
| Total                                   | \$ 434,912.                  | \$ 434,912.                     | \$ 0.                         | \$ 0.                         |

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**Statement 5**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

|                                        | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| 2011 EXCISE TAX ON UNREALIZED GAINS    |                              |                                 |                               |                               |
|                                        | \$ -29,872.                  |                                 |                               |                               |
| California Attorney General Filing Fee | 150.                         |                                 |                               | \$ 150.                       |
| REAL ESTATE TAXES                      | 34,737.                      | \$ 15,720.                      |                               | 19,554.                       |
| REAL ESTATE TAXES-RENTAL ALLOCATION    |                              |                                 |                               |                               |
|                                        | -15,486.                     | -15,486.                        |                               |                               |
| Total                                  | \$ -10,471.                  | \$ 234.                         | \$ 0.                         | \$ 19,704.                    |

**Statement 6**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

|                                       | (a)<br>Expenses<br>per Books | (b) Net<br>Investment<br>Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|---------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| DISPOSAL HOUSE                        | \$ 420.                      |                                 |                               | \$ 420.                       |
| DUES & SUBSCRIPTIONS                  | 1,805.                       | \$ 330.                         |                               | 1,475.                        |
| GRANT EXPENSE                         | 6,078.                       |                                 |                               |                               |
| HOUSE SUPPLIES                        | 623.                         |                                 |                               | 623.                          |
| INSURANCE                             | 24,971.                      | 12,253.                         |                               | 12,485.                       |
| INSURANCE-RENTAL ALLOCATION           | -5,794.                      | -5,794.                         |                               |                               |
| OFFICE EXPENSES                       | 3,238.                       | 199.                            |                               | 3,038.                        |
| OFFICE SUPPLIES                       | 7,519.                       | 2,466.                          |                               | 4,867.                        |
| Rental Expenses                       | 21,280.                      | 21,280.                         |                               |                               |
| REPAIRS & MAINTENANCE                 | 86,280.                      | 4,983.                          |                               | 77,468.                       |
| SALINAS VALLEY MEMORIAL HOSP.-FLOWERS | 2,764.                       |                                 |                               | 2,495.                        |
| STATE COMPENSATION INSURANCE          | 3,318.                       | 306.                            |                               | 2,827.                        |
| TELEPHONE-HOUSE                       | 1,104.                       |                                 |                               | 1,104.                        |
| UTILITIES-HOUSE                       | 14,084.                      |                                 |                               | 12,858.                       |
| Total                                 | \$ 167,690.                  | \$ 36,023.                      | \$ 0.                         | \$ 119,660.                   |

**Statement 7**  
**Form 990-PF, Part II, Line 14**  
**Land, Buildings, and Equipment**

| Category               | Basis         | Accum.<br>Deprec. | Book<br>Value | Fair Market<br>Value |
|------------------------|---------------|-------------------|---------------|----------------------|
| Furniture and Fixtures | \$ 269,248.   | \$ 241,278.       | \$ 27,970.    | \$ 27,970.           |
| Improvements           | 6,429,740.    | 1,512,850.        | 4,916,890.    | 4,916,891.           |
| Land                   | 1,390,997.    |                   | 1,390,997.    | 7,760,000.           |
| Total                  | \$ 8,089,985. | \$ 1,754,128.     | \$ 6,335,857. | \$ 12,704,861.       |

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Statement 8  
Form 990-PF, Part II, Line 15  
Other Assets

|                                      | <u>Book Value</u> | <u>Fair Market Value</u> |
|--------------------------------------|-------------------|--------------------------|
| FEDERAL INCOME TAX REFUND RECEIVABLE | \$ 2,611.         | \$ 2,611.                |
| Total                                | <u>\$ 2,611.</u>  | <u>\$ 2,611.</u>         |

Statement 9  
Form 990-PF, Part II, Line 22  
Other Liabilities

|                                      |                    |
|--------------------------------------|--------------------|
| RANCH 4 DEPOSIT                      | \$ 500,000.        |
| PROVISIONS FOR EXCISE & INCOME TAXES | 158,027.           |
| Rounding                             | 1.                 |
| Total                                | <u>\$ 658,028.</u> |

Statement 10  
Form 990-PF, Part VIII, Line 1  
List of Officers, Directors, Trustees, and Key Employees

| <u>Name and Address</u>                                               | <u>Title and Average Hours Per Week Devoted</u> | <u>Compensation</u> | <u>Contribution to EBP &amp; DC</u> | <u>Expense Account/Other</u> |
|-----------------------------------------------------------------------|-------------------------------------------------|---------------------|-------------------------------------|------------------------------|
| LYDIA M. TORRES<br>310 THIRD STREET<br>SOLEDAD, CA 93960              | NON-VOTING/NBM<br>40.00                         | \$ 63,703.          | \$ 25,647.                          | \$ 0.                        |
| PATRICIA TYNAN CHAPMAN<br>14540 CAMPO DELL CIELO<br>SALINAS, CA 93908 | President<br>VARIABLE                           | 0.                  | 0.                                  | 0.                           |
| C. BILL ELLIOT<br>26001 BUCKS RUN<br>SALINAS, CA 93908                | VICE PRES/TREAS<br>VARIABLE                     | 0.                  | 0.                                  | 0.                           |
| JOSEPH C. GRAINGER<br>19667 WOODCREST DRIVE<br>SALINAS, CA 93908      | EXEC. DIRECTOR<br>40.00                         | 159,464.            | 78,523.                             | 0.                           |
| DAVID G. MILLS<br>P.O. BOX 3070<br>SALINAS, CA 93912                  | Secretary<br>VARIABLE                           | 0.                  | 0.                                  | 0.                           |
| BRUCE C. TAYLOR<br>911-B BLANCO CIRCLE<br>SALINAS, CA 93901           | Director<br>VARIABLE                            | 0.                  | 0.                                  | 0.                           |
| Total                                                                 |                                                 | <u>\$ 223,167.</u>  | <u>\$ 104,170.</u>                  | <u>\$ 0.</u>                 |

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**Statement 11**  
**Form 990-PF, Part VIII, Line 3**  
**Compensation of Five Highest Paid Contractors**

| <u>Name and Address</u>                                                   | <u>Type of Service</u> | <u>Compensation</u> |
|---------------------------------------------------------------------------|------------------------|---------------------|
| SMITH BARNEY INC.<br>388 GREENWICH STREET<br>NEW YORK, NY 10013           | INVESTMENT MANAGER     | 298,271.            |
| ROLLIE HAAS MASTER LANDSCAPES<br>P.O. BOX 2208<br>SALINAS, CA 93902       | YARD MAINTENANCE       | 61,520.             |
| RED GRANITE<br>100 E. WISCONSIN AVENUE, #2700<br>MILWAUKEE, WI 53202-4136 | INVESTMENT MANAGER     | 114,209.            |
| Total                                                                     |                        | \$ <u>474,000.</u>  |

**Statement 12**  
**Form 990-PF, Part IX-A, Line 1**  
**Summary of Direct Charitable Activities**

| <u>Direct Charitable Activities</u>                                                                                                                                                                                                                                                                                                                                                                        | <u>Expenses</u> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| THE HARDEN FOUNDATION IS NOT INVOLVED IN THE DIRECT ACTIVE CONDUCT OF CHARITABLE ACTIVITIES. THE FOUNDATION PROVIDES SUPPORT TO 501(C)(3) ORGANIZATIONS WITH A DEMONSTRATED ABILITY TO PROVIDE MEANINGFUL AND MEASURABLE ONGOING BENEFITS TO THE PEOPLE OF MONTEREY COUNTY, CALIFORNIA. AFTER GRANTING SUPPORT, HARDEN FOUNDATION DOES NOT MAINTAIN SIGNIFICANT INVOLVEMENT WITH THE GRANTEE ORGANIZATION. |                 |

**Statement 13**  
**Form 990-PF, Part XV, Line 2a-d**  
**Application Submission Information**

|                         |                                                |
|-------------------------|------------------------------------------------|
| Name of Grant Program:  | JOSEPH GRAINGER                                |
| Name:                   | HARDEN FOUNDATION                              |
| Care Of:                | P.O. BOX 779                                   |
| Street Address:         | SALINAS, CA 93902                              |
| City, State, Zip Code:  | (831) 442-3005                                 |
| Telephone:              | SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS" |
| Form and Content:       | SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS" |
| Submission Deadlines:   | SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS" |
| Restrictions on Awards: | SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS" |

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Statement 14  
Form 990-PF, Part XV, Line 3b  
Recipient Approved for Future Payment

| <u>Name and Address</u>                                                           | <u>Donee<br/>Relationship</u> | <u>Found-<br/>ation<br/>Status</u> | <u>Purpose of<br/>Grant</u>                                                                    | <u>Amount</u>      |
|-----------------------------------------------------------------------------------|-------------------------------|------------------------------------|------------------------------------------------------------------------------------------------|--------------------|
| SALINAS VALLEY MEMORIAL<br>HOSPITAL FOUNDAT<br>P.O. BOX 4760<br>SALINAS, CA 93912 | N/A                           | PUBLIC                             | FUNDING FOR THE<br>VINCI ROBOTIC<br>SURGICAL ROOM                                              | \$ 300,000.        |
| SPCA OF MONTEREY COUNTY<br>P.O. BOX 3058<br>MONTEREY, CA 93942                    | N/A                           | PUBLIC                             | FUNDS FOR THEIR<br>CAPITAL PROJECT<br>TO RENOVATE AND<br>EXPAND THE<br>ANIMAL SUPPORT<br>AREAS | 150,000.           |
| PUBLIC RECREATION UNLIMITED<br>INC<br>PO BOX 2562<br>SALINAS, CA 93902            | N/A                           | PUBLIC                             | FUNDING FOR THE<br>CONSTRUCTION OF<br>A NEW STADIUM AT<br>THE SALINAS<br>SPORTS COMPLEX        | 333,000.           |
| Total                                                                             |                               |                                    |                                                                                                | \$ <u>783,000.</u> |

| HARDEN FOUNDATION 94-6098887                                                              |            |            |              |          |      |              |            |            |
|-------------------------------------------------------------------------------------------|------------|------------|--------------|----------|------|--------------|------------|------------|
| P O BOX 779                                                                               |            |            |              |          |      |              |            |            |
| SALINAS, CA 93902                                                                         |            |            |              |          |      |              |            |            |
| 2011 FORM 990-PF RETURN OF PRIVATE FOUNDATION                                             |            |            |              |          |      |              |            |            |
| PART 1, LINE 19 AND PART II, LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION |            |            |              |          |      |              |            |            |
| FOR THE YEAR END 12/31/2011                                                               |            |            |              |          |      |              |            |            |
|                                                                                           | DATE       |            | PRIOR        |          |      | DEPRECIATION | CHARITABLE | CHARITABLE |
|                                                                                           | ACQUIRED   | BASIS      | DEPRECIATION | METHOD   | LIFE | EXPENSE      | %          | AMOUNT     |
| LEATHER SOFA                                                                              | 08/02/90   | 4,800 46   | \$4,800 46   | -        | -    |              |            |            |
| COCKTAIL TABLE                                                                            | 10/11/90   | 427 00     | 427 00       | -        | -    |              |            |            |
| GRANDFATHER CLOCK                                                                         | 10/11/90   | 2,334 62   | 2,334 62     | -        | -    |              |            |            |
| 2 CHAIRS                                                                                  | 10/16/90   | 1,129 42   | 1,129 42     | -        | -    |              |            |            |
| BUKHARA RUG                                                                               | 11/28/90   | 448 35     | 448 35       | -        | -    |              |            |            |
| CREDENZA                                                                                  | 05/01/85   | 788 64     | 788 64       | -        | -    |              |            |            |
| TYPEWRITER STAND                                                                          | 05/01/85   | 200 34     | 200 34       | -        | -    |              |            |            |
| AT & T PHONE SYSTEM                                                                       | 10/07/86   | 1,533 22   | 1,533 22     | -        | -    |              |            |            |
| PHONE JACKS AND LINES                                                                     | 12/17/86   | 400 00     | 400 00       | -        | -    |              |            |            |
| SECURITY SYSTEM                                                                           | 12/11/86   | 1,328 50   | 1,328 50     | -        | -    |              |            |            |
| 3 MONROE CALCULATORS                                                                      | 04/30/86   | 150 00     | 150 00       | -        | -    |              |            |            |
| 2 IBM TYPEWRITERS - USED                                                                  | 04/30/86   | 300 00     | 300 00       | -        | -    |              |            |            |
| TABLE - USED                                                                              | 04/30/86   | 300 00     | 300 00       | -        | -    |              |            |            |
| 2 RADIOS                                                                                  | 04/30/86   | 60 00      | 60 00        | -        | -    |              |            |            |
| 4 CONFERENCE ROOM CHAIRS                                                                  | 04/30/86   | 600 00     | 600 00       | -        | -    |              |            |            |
| ROUND WOODEN CHAIRS                                                                       | 04/30/86   | 100 00     | 100 00       | -        | -    |              |            |            |
| 2 EXECUTIVE DESK CHAIRS                                                                   | 04/30/86   | 200 00     | 200 00       | -        | -    |              |            |            |
| SECRETARIAL CHAIR                                                                         | 04/30/86   | 100 00     | 100 00       | -        | -    |              |            |            |
| 2 LATERAL FILE CABINETS                                                                   | 04/30/86   | 125 00     | 125 00       | -        | -    |              |            |            |
| CHECK PROTECTOR                                                                           | 04/30/86   | 25 00      | 25 00        | -        | -    |              |            |            |
| TYPEWRITER STAND                                                                          | 04/30/86   | 50 00      | 50 00        | -        | -    |              |            |            |
| TABLE                                                                                     | 04/30/86   | 30 00      | 30 00        | -        | -    |              |            |            |
| LAMP AND CHAIR                                                                            | 04/30/86   | 100 00     | 100 00       | -        | -    |              |            |            |
| REFRIGERATOR - OFFICE                                                                     | 01/20/87   | 439 32     | 439 32       | -        | -    |              |            |            |
| AT & T - 2 PHONES                                                                         | 05/01/90   | 571 20     | 571 20       | -        | -    |              |            |            |
| PAINTINGS - BATHROOMS                                                                     | 04/01/91   | 571 27     | 571 27       | -        | -    |              |            |            |
| FAX MACHINE                                                                               | 04/01/91   | 2,076 70   | 2,076 70     | -        | -    |              |            |            |
| SAFE                                                                                      | 12/01/92   | 1,235 52   | 1,235 52     | SL MACRS | 7    |              |            |            |
| RICOH COPIER                                                                              | 08/25/93   | 3,818 10   | 3,818 10     | -        | -    |              |            |            |
| OFFICE LIGHTING                                                                           | 06/01/93   | 1,491 00   | 1,491 00     | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE - JOE AND LYDIA                                                          | 06/01/95   | 12,564 12  | 12,564 12    | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE - JOE SETTEE                                                             | 06/01/95   | 2,063 97   | 2,063 97     | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE - GRAINGER GROWERS                                                       | 09/01/95   | 1,170 00   | 1,170 00     | SL MACRS | 7    |              |            |            |
| PICTURES - JOE'S OFFICE                                                                   | 08/01/95   | 564 61     | 564 61       | -        | -    |              |            |            |
| TELEPHONE SYSTEM                                                                          | 06/01/96   | 7,014 48   | 7,014 48     | SL MACRS | 5    |              |            |            |
| BOOKCASE-JOE'S OFFICE                                                                     | 06/01/97   | 1,672 10   | 1,672 10     | SL MACRS | 7    |              |            |            |
| CELLULAR TELEPHONE JOE                                                                    | 02/01/99   | 154 14     | 154 14       | SL MACRS | 7    |              |            |            |
| MONROE CALCULATORS                                                                        | 03/12/99   | 308 16     | 308 16       | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE & EQUIPMENT                                                              | 12/08/00   | 500 00     | 500 00       | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE                                                                          | 01/18/01   | 4,542 15   | 4,542 15     | SL MACRS | 7    |              |            |            |
| OFFICE FURNITURE                                                                          | 09/01/01   | 9,559 66   | 9,559 66     | SL MACRS | 7    |              | 75%        |            |
| OFFICE FURNITURE                                                                          | 03/04/02   | 193 04     | 193 04       | SL MACRS | 7    | -            | 75%        | -          |
| OFFICE FURNITURE                                                                          | 11/25/02   | 85 79      | 85 79        | SL MACRS | 7    | -            | 75%        | -          |
| TV                                                                                        | 09/21/04   | 461 16     | 411 75       | SL MACRS | 7    | 49 41        | 75%        | 37 06      |
| TYPEWRITER                                                                                | 11/05/04   | 104 98     | 92 49        | SL MACRS | 7    | 12 49        | 75%        | 9 37       |
| OFFICE FURNITURE                                                                          | 11/04/05   | 433 14     | 314 55       | SL MACRS | 7    | 61 88        | 75%        | 46 41      |
| OFFICE FURNITURE                                                                          | 02/08/06   | 5,116 75   | 3,593 89     | SL MACRS | 7    | 730 96       | 75%        | 548 22     |
| OFFICE EQUIPMENT                                                                          | 07/20/06   | 704 70     | 427 85       | SL MACRS | 7    | 100 67       | 75%        | 75 50      |
| HARDEN HOME FURNISHINGS                                                                   | VARIOUS    | 36,850 58  | 36,850 58    | SL MACRS | 7    | -            | 100%       | -          |
| OFFICE FURNITURE & EQUIPMENT                                                              | 11/13/2007 | 3,711 77   | 1,679 13     | SL MACRS | 7    | 530 25       | 75%        | 397 69     |
| VEHICLE                                                                                   | 03/20/07   | 4,500 00   | 3,375 00     | SL MACRS | 5    | 900 00       | 100%       | 900 00     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 02/29/08   | 197 15     | 79 80        | SL MACRS | 7    | 28 16        | 75%        | 21 12      |
| HARDEN HOME FURNISHINGS                                                                   | 05/04/07   | 1,077 49   | 564 40       | SL MACRS | 7    | 153 93       | 100%       | 153 93     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 06/03/08   | 1,603 38   | 591 72       | SL MACRS | 7    | 229 05       | 75%        | 171 79     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 08/11/08   | 14,219 53  | 4,909 12     | SL MACRS | 7    | 2,031 36     | 75%        | 1,523 52   |
| OFFICE FURNITURE & EQUIPMENT                                                              | 09/19/08   | 958 04     | 307 94       | SL MACRS | 7    | 136 86       | 75%        | 102 65     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 10/31/08   | 269 01     | 83 27        | SL MACRS | 7    | 38 43        | 75%        | 28 82      |
| OFFICE FURNITURE & EQUIPMENT                                                              | 11/24/08   | 269 36     | 80 17        | SL MACRS | 7    | 38 48        | 75%        | 28 86      |
| OFFICE FURNITURE & EQUIPMENT-GABIAN                                                       | 05/13/09   | 528 00     | 176 00       | SL MACRS | 5    | 105 60       | 75%        | 79 20      |
| OFFICE FURNITURE & EQUIPMENT-PALACE                                                       | 08/26/09   | 2,456 36   | 467 88       | SL MACRS | 7    | 350 91       | 75%        | 263 18     |
| OFFICE FURNITURE & EQUIPMENT-VECTOR                                                       | 09/21/09   | 1,359 38   | 242 75       | SL MACRS | 7    | 194 20       | 75%        | 145 65     |
| OFFICE FURNITURE & EQUIPMENT-PALACE                                                       | 12/16/09   | 2,492 58   | 356 08       | SL MACRS | 7    | 356 08       | 75%        | 267 06     |
| HOUSE EQUIPMENT                                                                           | 02/23/09   | 467 97     | 122 56       | SL MACRS | 7    | 66 85        | 75%        | 50 14      |
| OFFICE FURNITURE & EQUIPMENT                                                              | 01/21/10   | 1,101 09   | 144 19       | SL MACRS | 7    | 157 30       | 75%        | 117 97     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 03/19/10   | 1,052 64   | 112 78       | SL MACRS | 7    | 150 38       | 75%        | 112 78     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 04/22/10   | 1,475 89   | 140 56       | SL MACRS | 7    | 210 84       | 75%        | 158 13     |
| OFFICE FURNITURE & EQUIPMENT                                                              | 05/20/10   | 201 27     | 23 48        | SL MACRS | 5    | 40 25        | 75%        | 30 19      |
| WATER HEATER                                                                              | 07/18/11   | 1,250 00   |              | SL MACRS | 7    | 74 40        | 75%        | 55 80      |
|                                                                                           |            | 148,988 10 | \$121,273 82 |          |      | 6,748 76     |            | 5,325 05   |

|                                                                                           |          |              |              |          |      |              |            |            |
|-------------------------------------------------------------------------------------------|----------|--------------|--------------|----------|------|--------------|------------|------------|
| HARDEN FOUNDATION 94-6098887                                                              |          |              |              |          |      |              |            |            |
| P O BOX 779                                                                               |          |              |              |          |      |              |            |            |
| SALINAS, CA 93902                                                                         |          |              |              |          |      |              |            |            |
| 2011 FORM 990-PF RETURN OF PRIVATE FOUNDATION                                             |          |              |              |          |      |              |            |            |
| PART I, LINE 19 AND PART II, LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION |          |              |              |          |      |              |            |            |
| FOR THE YEAR END 12/31/2011                                                               |          |              |              |          |      |              |            |            |
|                                                                                           | DATE     |              | PRIOR        |          |      | DEPRECIATION | CHARITABLE | CHARITABLE |
|                                                                                           | ACQUIRED | BASIS        | DEPRECIATION | METHOD   | LIFE | EXPENSE      | %          | AMOUNT     |
| COMPUTER TABLE                                                                            | 05/29/96 | \$636 00     | 636 00       | SL MACRS | 5    | -            | -          | -          |
| HP LASERJET III                                                                           | 08/01/91 | 1,691 59     | 1,691 59     | SL MACRS | 5    | -            | -          | -          |
| GRANT MANAGER - SOFTWARE                                                                  | 09/01/91 | 3,017 00     | 3,017 00     | SL MACRS | 5    | -            | -          | -          |
| 386 - 25 MHZ COMPUTER SYSTEM                                                              | 09/01/91 | 2,782 23     | 2,782 23     | SL MACRS | 5    | -            | -          | -          |
| COMPUTER SOFTWARE (UTILITY, WP)                                                           | 09/01/91 | 641 72       | 641 72       | SL MACRS | 5    | -            | -          | -          |
| GRANT MANAGER - SOFTWARE                                                                  | 08/18/93 | 450 00       | 450 00       | SL MACRS | 5    | -            | -          | -          |
| 486DX2 - 66MHZ COMPUTER SYSTEM                                                            | 11/03/93 | 3,196 84     | 3,196 84     | SL MACRS | 5    | -            | -          | -          |
| HP LASERJET 4 PRINTER                                                                     | 11/09/93 | 1,457 53     | 1,457 53     | SL MACRS | 5    | -            | -          | -          |
| SOFTWARE                                                                                  | 11/09/93 | 1,048 56     | 1,048 56     | SL MACRS | 5    | -            | -          | -          |
| MOUSE                                                                                     | 02/16/94 | 42 57        | 42 57        | SL MACRS | 5    | -            | -          | -          |
| KEYBOARD                                                                                  | 06/01/94 | 54 73        | 54 73        | SL MACRS | 5    | -            | 50 00%     | -          |
| SOFTWARE                                                                                  | 11/01/94 | 74 95        | 74 95        | SL MACRS | 5    | -            | 50 00%     | -          |
| WORDPERFECT UPGRADE                                                                       | 03/17/95 | 197 95       | 197 95       | SL MACRS | 3    | -            | 66 67%     | -          |
| PENTIUM PC 90 INCLUDING INSTALLATION                                                      | 06/01/95 | 3,053 08     | 3,053 08     | SL MACRS | 5    | -            | 66 67%     | -          |
| NETWORK CABLE AND WIRING                                                                  | 09/01/95 | 972 50       | 972 50       | SL MACRS | 5    | -            | 66 67%     | -          |
| NETWORK CARDS AND CONNECTIONS                                                             | 10/01/95 | 393 65       | 393 65       | SL MACRS | 5    | -            | 66 67%     | -          |
| RIVERSIDE GRANTS SOFTWARE                                                                 | 11/07/95 | 5,032 13     | 5,032 13     | SL MACRS | 3    | -            | -          | -          |
| DOS UPGRADE AND NOVELL SOFTWARE                                                           | 03/01/96 | 1,199 00     | 1,199 00     | SL MACRS | 3    | -            | -          | -          |
| NETWORK SERVER HARDWARE                                                                   | 03/01/96 | 8,269 09     | 8,269 09     | SL MACRS | 5    | -            | 66 67%     | -          |
| WORKSTATION UPGRADES                                                                      | 03/01/96 | 880 00       | 880 00       | SL MACRS | 5    | -            | 66 67%     | -          |
| LAPTOP - JOE                                                                              | 10/01/96 | 4,283 57     | 4,283 57     | SL MACRS | 5    | -            | 75 00%     | -          |
| KENRICK SOFTWARE                                                                          | 01/01/96 | 5,804 75     | 5,804 75     | SL MACRS | 3    | -            | 66 67%     | -          |
| COMPUTER-FRANK                                                                            | 04/01/97 | 2,171 87     | 2,171 87     | SL MACRS | 5    | -            | 50 00%     | -          |
| COMPUTER EQUIPMENT                                                                        | 10/01/97 | 1,281 50     | 1,281 50     | SL MACRS | 5    | -            | 66 67%     | -          |
| LYDIA COMPUTER UPGRADE                                                                    | 03/01/98 | 1,176 09     | 1,176 09     | SL MACRS | 5    | -            | 66 67%     | -          |
| PRINTER-JOE                                                                               | 02/01/99 | 294 92       | 294 92       | SL MACRS | 5    | -            | 75 00%     | -          |
| GIFT SOFTWARE FOR WINDOWS                                                                 | 03/16/99 | 3,840 00     | 3,840 00     | SL MACRS | 3    | -            | 100 00%    | -          |
| WINDOWS NT FILE SERVER                                                                    | 09/20/99 | 5,157 65     | 5,157 65     | SL MACRS | 5    | -            | 66 67%     | -          |
| COMPUTER EQUIPMENT                                                                        | 11/24/99 | 507 67       | 507 67       | SL MACRS | 5    | -            | 66 67%     | -          |
| MODEM & NETWORK CARD                                                                      | 12/07/99 | 129 67       | 129 67       | SL MACRS | 5    | -            | 66 67%     | -          |
| COMPUTER EQUIPMENT                                                                        | 02/07/00 | 482 61       | 482 61       | SL MACRS | 5    | -            | 66 67%     | -          |
| COMPUTER EQUIPMENT                                                                        | 03/14/00 | 2,077 35     | 2,077 35     | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER EQUIPMENT                                                                        | 04/26/00 | 3,876 00     | 3,876 00     | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER EQUIPMENT                                                                        | 05/01/00 | 596 31       | 596 31       | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER EQUIPMENT                                                                        | 11/16/00 | 4,009 75     | 4,009 75     | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER                                                                                  | 03/31/01 | 3,164 91     | 3,164 91     | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER EQUIPMENT & SOFTWARE                                                             | 02/21/02 | 4,214 54     | 4,214 54     | SL MACRS | 5    | -            | 75 00%     | -          |
| AB COMPUTER                                                                               | 04/12/02 | 654 35       | 654 35       | SL MACRS | 5    | -            | 75 00%     | -          |
| COMPUTER EQUIPMENT & SOFTWARE                                                             | 04/22/02 | 1,715 99     | 1,715 99     | SL MACRS | 5    | -            | 75 00%     | -          |
| AB COMPUTER                                                                               | 06/12/02 | 545 51       | 545 51       | SL MACRS | 5    | -            | 75 00%     | -          |
| LONG HOUSE COMMUNICATION                                                                  | 07/11/02 | 1,600 00     | 1,600 00     | SL MACRS | 5    | -            | 75 00%     | -          |
| AB COMPUTER                                                                               | 07/23/02 | 280 74       | 280 74       | SL MACRS | 5    | -            | 75 00%     | -          |
| AB COMPUTER                                                                               | 10/17/03 | 960 93       | 960 93       | SL MACRS | 5    | -            | 75 00%     | 0 00       |
| SERVER                                                                                    | 09/27/04 | 8,065 00     | 8,065 00     | SL MACRS | 5    | -            | 75 00%     | 0 00       |
| SERVER                                                                                    | 11/04/04 | 924 00       | 924 00       | SL MACRS | 5    | -            | 75 00%     | 0 00       |
| SOFTWARE                                                                                  | 11/18/04 | 2,534 00     | 2,534 00     | SL MACRS | 3    | -            | 75 00%     | -          |
| WIRING                                                                                    | 11/18/04 | 336 00       | 336 00       | SL MACRS | 5    | -            | 75 00%     | 0 00       |
| WIRING NETWORK                                                                            | 02/18/05 | 1,675 17     | 1,675 17     | SL MACRS | 5    | 0 00         | 75 00%     | 0 00       |
| COMPUTER & SOFTWARE                                                                       | 01/06/06 | 643 40       | 643 40       | SL MACRS | 5    | 0 00         | 75 00%     | 0 00       |
| COMPUTER & SOFTWARE                                                                       | 02/01/06 | 687 50       | 676 04       | SL MACRS | 5    | 11 46        | 75 00%     | 8 60       |
| COMPUTER & SOFTWARE                                                                       | 02/08/06 | 870 87       | 856 34       | SL MACRS | 5    | 14 52        | 75 00%     | 10 89      |
| COMPUTER & SOFTWARE                                                                       | 02/13/06 | 687 50       | 676 04       | SL MACRS | 5    | 11 46        | 75 00%     | 8 60       |
| COMPUTER & SOFTWARE                                                                       | 03/17/06 | 2,250 91     | 2,138 36     | SL MACRS | 5    | 112 55       | 75 00%     | 84 41      |
| COMPUTER & SOFTWARE                                                                       | 07/19/06 | 601 25       | 531 11       | SL MACRS | 5    | 70 14        | 75 00%     | 52 61      |
| COMPUTER & SOFTWARE                                                                       | 09/05/06 | 924 81       | 801 50       | SL MACRS | 5    | 123 31       | 75 00%     | 92 48      |
| COMPUTER & SOFTWARE                                                                       | 08/13/07 | 3,933 54     | 2,687 92     | SL MACRS | 5    | 786 71       | 75 00%     | 590 03     |
| COMPUTER & SOFTWARE                                                                       | 12/03/08 | 3,466 50     | 1,444 38     | SL MACRS | 5    | 693 30       | 75 00%     | 519 98     |
| COMPUTER & SOFTWARE                                                                       | 12/15/08 | 2,915 05     | 1,166 02     | SL MACRS | 5    | 583 01       | 75 00%     | 437 26     |
| COMPUTER & SOFTWARE                                                                       | 03/10/09 | 235 71       | 86 43        | SL MACRS | 5    | 47 14        | 75 00%     | 35 36      |
| COMPUTER & SOFTWARE                                                                       | 04/20/09 | 422 68       | 140 90       | SL MACRS | 5    | 84 54        | 75 00%     | 63 40      |
| COMPUTER & SOFTWARE                                                                       | 06/08/09 | 1,115 01     | 353 08       | SL MACRS | 5    | 223 00       | 75 00%     | 167 25     |
| COMPUTER & SOFTWARE                                                                       | 09/16/09 | 912 42       | 228 10       | SL MACRS | 5    | 182 48       | 75 00%     | 136 86     |
| COMPUTER & SOFTWARE                                                                       | 01/13/10 | 721 43       | 144 29       | SL MACRS | 5    | 144 29       | 75 00%     | 108 21     |
| COMPUTER & SOFTWARE                                                                       | 05/19/11 | 1,217 96     | 0 00         | SL MACRS | 5    | 142 10       | 75 00%     | 106 58     |
| COMPUTER & SOFTWARE                                                                       | 12/29/11 | 1,201 45     | 0 00         | SL MACRS | 5    | 0 00         | 75 00%     | -          |
|                                                                                           |          | \$120,259 96 | 110,025 88   |          |      | 3,230 01     |            | 2,422 51   |

| HARDEN FOUNDATION 94-6098887                                                              |            |      |        |              |                    |                   |              |                   |
|-------------------------------------------------------------------------------------------|------------|------|--------|--------------|--------------------|-------------------|--------------|-------------------|
| P O BOX 779                                                                               |            |      |        |              |                    |                   |              |                   |
| SALINAS, CA 93902                                                                         |            |      |        |              |                    |                   |              |                   |
| 2011 FORM 990-PF RETURN OF PRIVATE FOUNDATION                                             |            |      |        |              |                    |                   |              |                   |
| PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION |            |      |        |              |                    |                   |              |                   |
| FOR THE YEAR END 12/31/2011                                                               |            |      |        |              |                    |                   |              |                   |
|                                                                                           | DATE       | LIFE | METHOD | BASIS        | PRIOR DEPRECIATION | CURRENT PROVISION | CHARITABLE % | CHARITABLE AMOUNT |
|                                                                                           | ACQUIRED   |      |        |              |                    |                   |              |                   |
| RANCH4 DEPRECIABLE ITEMS-                                                                 |            |      |        |              |                    |                   |              |                   |
| 1/2 SHOP & STORAGE                                                                        | 12/27/85   | 10   | SL     | 1,479 00     | 1,479 00           |                   | -            | -                 |
| 1/2 SHOP & STORAGE                                                                        | 12/30/87   | 10   | SL     | 1,479 00     | 1,479 00           |                   | -            | -                 |
| 1/2 EQUIPMENT SHED                                                                        | 12/27/85   | 10   | SL     | 5,200 00     | 5,200 00           |                   | -            | -                 |
| 1/2 EQUIPMENT SHED                                                                        | 12/30/87   | 10   | SL     | 5,200 00     | 5,200 00           |                   | -            | -                 |
| 1/2 2 WELLS WITH 30HP MOTORS                                                              | 12/27/85   | 10   | SL     | 24,350 00    | 24,350 00          |                   | -            | -                 |
| 1/2 2 WELLS WITH 30HP MOTORS                                                              | 12/30/87   | 10   | SL     | 24,350 00    | 24,350 00          |                   | -            | -                 |
| HARDEN FOUNDATION OFFICE                                                                  | 06/01/90   | 31 5 | SL     | 386,673 43   | 252,160 46         | 12,275 35         | 66 67%       | 8,183 97          |
| UNDERGROUND ELECTRICAL                                                                    | 05/01/93   | 31 5 | SL     | 29,218 64    | 16,387 26          | 927 58            | 75 00%       | 695 68            |
| CARPET CARETAKERS APARTMENT                                                               | 03/01/93   | 7    | SL     | 1,679 00     | 1,679 00           | 0 00              | 100 00%      | -                 |
| POOL FILTER                                                                               | 10/01/93   | 7    | SL     | 1,042 92     | 1,042 92           | 0 00              | 100 00%      | -                 |
| HARDEN HOME                                                                               | VARIOUS    | 39   | SL     | 238,357 88   | 238,357 88         | 0 00              | 100 00%      | -                 |
| RETROFITTING-HARDEN HOME                                                                  | 05/09/95   | 39   | SL     | 12,924 00    | 5,191 62           | 331 38            | 100 00%      | 331 38            |
| GARAGE DOORS-HARDEN HOME & OFFICE                                                         | 09/15/95   | 39   | SL     | 3,175 00     | 1,248 29           | 81 41             | 83 33%       | 67 84             |
| SEWER AND WATER LINES-OFFICE                                                              | 09/01/95   | 39   | SL     | 10,045 50    | 3,949 56           | 257 58            | 83 33%       | 214 64            |
| SEWER AND WATER LINES-HOUSE                                                               | 09/01/95   | 39   | SL     | 10,045 50    | 3,949 56           | 257 58            | 100 00%      | 257 58            |
| BLOCK WALL                                                                                | 02/01/96   | 39   | SL     | 87,805 65    | 33,583 83          | 2,251 43          | 83 33%       | 1,876 11          |
| ENTRANCE GATE AND DRIVEWAY                                                                | 02/01/96   | 39   | SL     | 113,586 30   | 43,444 30          | 2,912 47          | 83 33%       | 2,426 96          |
| LANDSCAPING                                                                               | 02/29/96   | 15   | SL     | 52,218 98    | 51,638 83          | 580 16            | 100 00%      | 580 16            |
| LANDSCAPING-ENTRY                                                                         | 03/01/97   | 15   | SL     | 7,886 83     | 7,799 22           | 87 61             | 100 00%      | 87 61             |
| BARN PROJECT                                                                              | 03/01/97   | 39   | SL     | 21,000 00    | 7,987 16           | 538 46            | 83 33%       | 448 70            |
| SERVICE GATE                                                                              | 05/01/96   | 39   | SL     | 17,706 00    | 6,658 66           | 454 00            | 100 00%      | 454 00            |
| LANDSCAPING FOUNDATION GROUNDS                                                            | 10/01/96   | 15   | SL     | 35,745 58    | 33,958 32          | 1,787 26          | 100 00%      | 1,787 26          |
| WINDOWS-MILL                                                                              | 09/01/97   | 39   | SL     | 5,320 67     | 1,819 07           | 136 43            | 100 00%      | 136 43            |
| ROOF-MILL                                                                                 | 10/01/97   | 39   | SL     | 12,003 00    | 4,078 55           | 307 77            | 100 00%      | 307 77            |
| REMODELING-HARDEN HOME-39 YR                                                              | 01/01/98   | 39   | SL     | 22,218 58    | 7,406 24           | 569 71            | 100 00%      | 569 71            |
| REMODELING-HARDEN HOME-7 YR                                                               | 01/01/98   | 7    | SL     | 77,567 48    | 77,567 48          | 0 00              | 100 00%      | -                 |
| REMODELING-HARDEN HOME-7 YR                                                               | 09/01/98   | 7    | SL     | 15,556 98    | 15,556 98          | 0 00              | 100 00%      | -                 |
| REMODELING-HARDEN HOME-7 YR                                                               | 03/08/99   | 7    | SL     | 2,387 92     | 2,387 92           | 0 00              | 100 00%      | -                 |
| FURNITURE & ACCESSORIES-HARDEN HOME-7YR                                                   | 02/01/00   | 7    | SL     | 7,945 18     | 7,945 18           | 0 00              | 100 00%      | -                 |
| PATIO IMPROVEMENTS                                                                        | 12/01/99   | 39   | SL     | 235,347 16   | 66,882 81          | 6,034 54          | 100 00%      | 6,034 54          |
| PATIO & LIGHTING IMPROVEMENTS                                                             | 9/1/2000   | 39   | SL     | 34,393 45    | 9,186 25           | 881 88            | 100 00%      | 881 88            |
| FURNITURE & ACCESSORIES-HARDEN HOME-7YR                                                   | 8/1/2000   | 7    | SL     | 11,922 46    | 11,922 46          | -                 | 100 00%      | -                 |
| HOUSE LIGHTING                                                                            | 12/1/2000  | 7    | SL     | 2,805 64     | 2,805 64           | -                 | 100 00%      | -                 |
| LANDSCAPING                                                                               | 8/17/2001  | 39   | SL     | 9,024 03     | 2,159 62           | 231 39            | 100 00%      | 231 39            |
| PAVING & PATIO IMPROVEMENTS                                                               | 2/1/2002   | 39   | SL     | 51,961 30    | 11,880 03          | 1,332 34          | 100 00%      | 1,332 34          |
| HOME IMPROVEMENTS                                                                         | 9/1/2001   | 39   | SL     | 8,750 83     | 2,094 12           | 224 38            | 100 00%      | 224 38            |
| ROLL-UP BARN DOORS IMPROVEMENT                                                            | 3/18/2003  | 39   | SL     | 9,871 00     | 1,961 53           | 253 10            | 100 00%      | 253 10            |
| AWNING                                                                                    | 6/24/2004  | 7    | SL     | 532 88       | 494 84             | 38 05             | 100 00%      | 38 05             |
| PATIO FURNITURE                                                                           | 3/8/2004   | 7    | SL     | 491 23       | 479 56             | 11 68             | 100 00%      | 11 68             |
| IMPROVEMENTS-HARDEN HOME                                                                  | 8/10/2005  | 39   | SL     | 4,972 29     | 701 21             | 127 49            | 100 00%      | 127 49            |
| IMPROVEMENTS-HARDEN HOME                                                                  | 2/1/2006   | 39   | SL     | 6,019 57     | 758 88             | 154 35            | 100 00%      | 154 35            |
| REAL PROPERTY IMPROVEMENTS                                                                | 8/28/2006  | 39   | SL     | 3,870 62     | 430 08             | 99 25             | 100 00%      | 99 25             |
| ROOFING                                                                                   | 8/16/2007  | 39   | SL     | 38,096 00    | 3,256 07           | 976 82            | 100 00%      | 976 82            |
| IMPROVEMENTS                                                                              | 9/14/2007  | 39   | SL     | 24,890 00    | 2,127 36           | 638 21            | 100 00%      | 638 21            |
| WINDMILL                                                                                  | 7/1/2007   | 39   | SL     | 1,980,805 95 | 177,764 64         | 50,789 90         | 100 00%      | 50,789 90         |
| WINDMILL IMPROVEMENTS                                                                     | 10/1/2007  | 39   | SL     | 19,048 00    | 1,587 33           | 488 41            | 100 00%      | 488 41            |
| WINDMILL IMPROVEMENTS                                                                     | 10/24/2007 | 39   | SL     | 16,000 00    | 1,299 15           | 410 26            | 100 00%      | 410 26            |
| WINDMILL IMPROVEMENTS                                                                     | 2/1/2008   | 39   | SL     | 2,085 00     | 155 93             | 53 46             | 100 00%      | 53 46             |
| WINDMILL IMPROVEMENTS                                                                     | 2/25/2008  | 39   | SL     | 2,048 00     | 148 78             | 52 51             | 100 00%      | 52 51             |
| YARD EQUIPMENT                                                                            | 8/7/2008   | 7    | SL     | 517 20       | 178 57             | 73 89             | 100 00%      | 73 89             |
| REAL PROPERTY IMPROVEMENTS                                                                | 9/17/2008  | 39   | SL     | 2,699 36     | 155 72             | 69 21             | 100 00%      | 69 21             |
| APEX SIGNS & GRAPHICS                                                                     | 11/17/2008 | 7    | SL     | 595 04       | 177 10             | 85 01             | 100 00%      | 85 01             |
| IMPROVEMENTS-DILBECK & SONS                                                               | 11/24/2008 | 39   | SL     | 76,171 00    | 4,068 96           | 1,953 10          | 100 00%      | 1,953 10          |
| IMPROVEMENTS-CARETAKERS                                                                   | 11/24/2008 | 39   | SL     | 7,703 00     | 411 48             | 197 51            | 100 00%      | 197 51            |
| WINDMILL IMPROVEMENTS                                                                     | 6/1/2008   | 39   | SL     | 12,140 73    | 804 19             | 311 30            | 100 00%      | 311 30            |
| OFFICE IMPROVEMENTS                                                                       | 11/24/2008 | 39   | SL     | 18,390 50    | 982 40             | 471 55            | 100 00%      | 471 55            |
| OFFICE IMPROVEMENTS                                                                       | 12/29/2008 | 39   | SL     | 1,955 00     | 100 26             | 50 13             | 100 00%      | 50 13             |
| RESOURCE CENTER & PARKING LOT                                                             | 12/1/2008  | 39   | SL     | 2,208,721 71 | 117,987 27         | 56,633 89         | 100 00%      | 56,633 89         |
| SERVICE GATE                                                                              | 12/1/2008  | 7    | SL     | 20,987 07    | 6,246 15           | 2,998 15          | 100 00%      | 2,998 15          |
| LANDSCAPING                                                                               | 11/1/2008  | 15   | SL     | 129,971 02   | 18,773 59          | 8,664 73          | 100 00%      | 8,664 73          |
| IMPROVEMENTS                                                                              | 3/10/2009  | 39   | SL     | 55,251 00    | 2,597 27           | 1,416 69          | 100 00%      | 1,416 69          |
| FIRST ALARM                                                                               | 3/30/2009  | 7    | SL     | 3,750 00     | 937 50             | 535 71            | 100 00%      | 535 71            |
| IMPROVEMENTS                                                                              | 6/22/2009  | 39   | SL     | 79,709 00    | 3,065 73           | 2,043 82          | 100 00%      | 2,043 82          |
| IMPROVEMENTS                                                                              | 9/30/2009  | 39   | SL     | 44,627 74    | 1,430 38           | 1,144 30          | 100 00%      | 1,144 30          |
| APEX SIGNS & GRAPHICS                                                                     | 10/1/2009  | 7    | SL     | 603 94       | 107 85             | 86 28             | 100 00%      | 86 28             |

|                                                                                           |           |      |        |              |              |            |            |            |
|-------------------------------------------------------------------------------------------|-----------|------|--------|--------------|--------------|------------|------------|------------|
| HARDEN FOUNDATION 94-6098887                                                              |           |      |        |              |              |            |            |            |
| P O BOX 779                                                                               |           |      |        |              |              |            |            |            |
| SALINAS, CA 93902                                                                         |           |      |        |              |              |            |            |            |
| 2011 FORM 990-PF RETURN OF PRIVATE FOUNDATION                                             |           |      |        |              |              |            |            |            |
| PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION |           |      |        |              |              |            |            |            |
| FOR THE YEAR END 12/31/2011                                                               |           |      |        |              |              |            |            |            |
|                                                                                           | DATE      |      |        |              | PRIOR        | CURRENT    | CHARITABLE | CHARITABLE |
|                                                                                           | ACQUIRED  | LIFE | METHOD | BASIS        | DEPRECIATION | PROVISION  | %          | AMOUNT     |
| PARKING IMPROVEMENTS                                                                      | 1/22/2009 | 15   | SL     | 1,181 69     | 150 99       | 78 78      | 100 00%    | 78 78      |
| PARKING IMPROVEMENTS                                                                      | 4/20/2009 | 15   | SL     | 397 82       | 44 20        | 26 52      | 100 00%    | 26 52      |
| PARKING IMPROVEMENTS                                                                      | 5/26/2009 | 15   | SL     | 447 64       | 47 25        | 29 84      | 100 00%    | 29 84      |
| PARKING IMPROVEMENTS - A&b fire                                                           | 6/1/2009  | 15   | SL     | 150 00       | 15 83        | 10 00      | 100 00%    | 10 00      |
| PARKING IMPROVEMENTS - BFI                                                                | 6/8/2009  | 15   | SL     | 118 77       | 12 54        | 7 92       | 100 00%    | 7 92       |
| OFFICE IMPROVEMENTS - QUARTZ INTERN                                                       | 2/11/2009 | 39   | SL     | 1,955 00     | 96 08        | 50 13      | 100 00%    | 50 13      |
| OFFICE IMPROVEMENTS - WHEELERS FLOORING                                                   | 2/23/2009 | 39   | SL     | 4,880 00     | 229 40       | 125 13     | 100 00%    | 125 13     |
| OFFICE IMPROVEMENTS - WHEELERS FLOORING                                                   | 5/13/2009 | 39   | SL     | 8,100 00     | 346 15       | 207 69     | 100 00%    | 207 69     |
| PARKING IMPROVEMENTS                                                                      | 2/18/2010 | 15   | SL     | 870 74       | 48 37        | 58 05      | 100 00%    | 58 05      |
| OFFICE IMPROVEMENTS-transparent glass coat                                                | 2/17/2010 | 39   | SL     | 5,270 00     | 112 61       | 135 13     | 100 00%    | 135 13     |
| LAND & IMPRV-Gate & Fence                                                                 | 2/18/2010 | 15   | SL     | 14,000 00    | 777 78       | 933 33     | 100 00%    | 933 33     |
| LANDSCAPING - WALKWAY                                                                     | 8/23/2010 | 15   | SL     | 4,523 46     | 100 52       | 301 56     | 100 00%    | 301 56     |
| Land & Improvements Real Property Roy Alsop Pump                                          | 6/13/2011 | 15   | SL     | 14,265 19    | 0 00         | 554 76     | 100 00%    | 554 76     |
| Improvements-Bunkhouse Room & Parking Area                                                | 2/22/2011 | 7    | SL     | 3,717 43     | 0 00         | 442 55     | 100 00%    | 442 55     |
| Improvements-Bunkhouse Room & Parking Area                                                | 4/20/2011 | 7    | SL     | 5,386 79     | 0 00         | 513 03     | 100 00%    | 513 03     |
| Improvements-Bunkhouse Room & Parking Area                                                | 5/19/2011 | 7    | SL     | 939 22       | 0 00         | 78 27      | 100 00%    | 78 27      |
| Improvements-Bunkhouse Room & Parking Area                                                | 9/19/2011 | 7    | SL     | 727 31       | 0 00         | 25 98      | 100 00%    | 25 98      |
| Improvements-Bunkhouse Room & Parking Area                                                | 2/7/2011  | 7    | SL     | 1,911 49     | 0 00         | 22 76      | 100 00%    | 22 76      |
| GRAND TOTAL DEPRECIATION                                                                  |           |      |        |              |              |            |            |            |
| DEPRECIATION PER FORM 990-PF                                                              |           |      |        | 6,429,740 29 | 1,345,958 66 | 166,890 90 |            | 161,560 54 |
| LAND                                                                                      |           |      |        | 1,390,997 04 | -            | -          |            |            |
| FURNITURE AND EQUIPMENT [from office & com tabs]                                          |           |      |        | 269,248 06   | 231,299 70   | 9,978 77   |            | 7,747 56   |
| PART II, LINE 14                                                                          |           |      |        | 8,089,985 39 | 1,577,258 36 | 176,869 67 |            | 169,308 10 |

HARDEN FOUNDATION  
FEIN: 94-6098887  
2011 FORM 990-PF  
FOR THE YEAR ENDED 12/31/11  
PART II

| See Attachment<br>for Smith<br><u>Barney Account</u> |  | <u>Cost</u>          | <u>FMV</u>           | <u>Unrealized<br/>Gain (Loss)</u> | <u>Attachment<br/>Page</u> |
|------------------------------------------------------|--|----------------------|----------------------|-----------------------------------|----------------------------|
| Line 10a Investments - U.S. and state government     |  |                      |                      |                                   |                            |
| 593-04252-19 507                                     |  | 62.22                | 1,424.25             | 1,362.03                          | 3                          |
| 593-00819-13 507                                     |  | 1,324,078.38         | 1,405,033.52         | 80,955.14                         | 7                          |
|                                                      |  | <u>1,324,140.60</u>  | <u>1,406,457.77</u>  | <u>82,317.17</u>                  |                            |
| Line 10b Investments - corporate stock               |  |                      |                      |                                   |                            |
| 593-01498-19 507                                     |  | 1,958,557.13         | 10,371,006.60        | 8,412,449.47                      | 11                         |
| 593-04252-19 507                                     |  | -                    | 540.00               | 540.00                            | 2                          |
| 593-04373-13 507                                     |  | 8,881,957.65         | 8,725,620.04         | (156,337.61)                      | 18                         |
| 593-07116-18 507                                     |  | 1,071,289.09         | 913,952.75           | (157,336.34)                      | 25                         |
| 593-07424-15 507                                     |  | 6,041,851.48         | 4,453,023.36         | (1,588,828.12)                    | 38                         |
| 593-06236-15 507                                     |  | 867,767.83           | 964,924.19           | 97,156.36                         | 43                         |
| 593-09052-10 507                                     |  | 1,325,204.58         | 1,068,426.48         | (256,778.10)                      | 51                         |
| 593-08393-10 507                                     |  | 7,896,955.65         | 9,303,632.04         | 1,406,676.39                      | 57                         |
|                                                      |  | <u>28,043,583.41</u> | <u>35,801,125.46</u> | <u>7,757,542.05</u>               |                            |
| Line 10c Investments - corporate bonds               |  |                      |                      |                                   |                            |
| 593-00819-13 507                                     |  | 1,244,916.45         | 1,324,486.80         | 79,570.35                         | 6                          |

# Reserved Client Financial Management Account

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025464 00184761

HARDEN FOUNDATION

Account number 593-04252-19 507

## PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares | Description                                        | Current value        | Accrued dividends | Annualized % dividend yield | Anticipated income (annualized) |
|------------------|----------------------------------------------------|----------------------|-------------------|-----------------------------|---------------------------------|
| 90,249.82        | MORGAN STANLEY AA MONEY TRUST                      | \$ 90,249.82         |                   | .01 %                       | \$ 9.02                         |
| 7,357.90         | MORGAN STANLEY LIQUID ASSET FUND INC               | \$ 7,357.90          |                   | .01 %                       | \$ .73                          |
| 2,670.92         | WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A | \$ 2,670.92          |                   | .01 %                       | \$ .26                          |
|                  | <b>Total money fund</b>                            | <b>\$ 100,278.64</b> | <b>\$ 0.00</b>    |                             | <b>\$ 10.01</b>                 |

## Common stocks & options

| Quantity | Description                            | Symbol | Date acquired | Share cost | Current price | Current value    | Unrealized gain/(loss) | Average % yield | Anticipated income (annualized) |
|----------|----------------------------------------|--------|---------------|------------|---------------|------------------|------------------------|-----------------|---------------------------------|
| 1,350    | NORTHERN CALIF BANCORP INC             | NRLB   |               |            | \$ 40         | \$ 540.00        | Not available          |                 |                                 |
|          | <b>Total common stocks and options</b> |        |               |            |               | <b>\$ 540.00</b> | <b>\$ 0.00**ST</b>     | <b>0.00**ST</b> | <b>\$ 0.00</b>                  |
|          |                                        |        |               |            |               |                  | <b>\$ 0.00**LT</b>     |                 | <b>\$ 0.00</b>                  |

# Reserved Client Financial Management Account

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref. 00025464 00184762

Account number 593-04252-19 507

HARDEN FOUNDATION

## Mortgage and asset backed securities

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls. Market Value is calculated as follows: Original Principal Amount x Factor x Price = Market Value

| Original principal amount | Description                                | Date acquired/ CUSIP # | Cost          | Share cost | Current share price/accrued interest | Current value | Unrealized gain/(loss) | Current % yield | Anticipated Income (annualized) |
|---------------------------|--------------------------------------------|------------------------|---------------|------------|--------------------------------------|---------------|------------------------|-----------------|---------------------------------|
| 27,000                    | FEDERAL HOME LOAN MTG CORP M/C             | 12/13/99 3133T1FS6     | \$ 62.22      | \$ 95.125  | \$ 103.327                           | \$ 1,424.25   | \$ 1,362.03 LT         | 5.903 %         | \$ 84.08                        |
|                           | MTG PART CTF GTD SER 1599                  |                        |               |            | \$ 3.74                              |               |                        |                 |                                 |
|                           | CL 1599 C-CALLABLE                         |                        |               |            |                                      |               |                        |                 |                                 |
|                           | INT-06:100% MATY 10/15/2023                |                        |               |            |                                      |               |                        |                 |                                 |
|                           | FACTOR .05105151                           |                        |               |            |                                      |               |                        |                 |                                 |
|                           | Curr face \$ 1,378.39                      |                        |               |            |                                      |               |                        |                 |                                 |
|                           | Int paid monthly                           |                        |               |            |                                      |               |                        |                 |                                 |
|                           | Total mortgage and asset backed securities |                        | \$ 82.22      |            | \$ 3.74                              | \$ 1,424.25   | \$ 1,362.03 LT         | 5.903 %         | \$ 84.08                        |
| 27,000                    |                                            |                        |               |            |                                      |               |                        |                 |                                 |
|                           | Total portfolio Value                      |                        | \$ 100,340.86 |            | \$ 107,242.89                        | \$ 107,242.89 | \$ 1,362.03 LT         | 5.903 %         | \$ 94.09                        |

\*\*Unrealized Gain/Loss is only calculated when an original cost basis is available

## TRANSACTION DETAILS

All transactions appearing are based on trade date.

### Deposits

| Date     | Description                          | Amount        |
|----------|--------------------------------------|---------------|
| 12/05/11 | FROM 593-08393-01<br>TO 593-04252-01 | 100,000.00    |
|          | Total deposits                       | \$ 225,000.00 |

### Withdrawals

| Date     | Description                                                           | Reference no | Amount        |
|----------|-----------------------------------------------------------------------|--------------|---------------|
| 12/05/11 | AUTOMATIC FUNDS TRANSFER - WEB<br>WELLS FARGO BANK<br>A/C# XXXXXX3299 |              | 80,000.00     |
|          | Total withdrawals                                                     |              | \$ 165,000.00 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00024983 00180990

Account number 593-00819-13 507

HARDEN FOUNDATION

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares        | Description                          | Current value       | Accrued dividends | Annualized % dividend yield | Anticipated Income (annualized) |
|-------------------------|--------------------------------------|---------------------|-------------------|-----------------------------|---------------------------------|
| 90,087.57               | MORGAN STANLEY LIQUID ASSET FUND INC | \$ 90,087.57        | \$ 0.00           | 01%                         | \$ 9.00                         |
| <b>Total money fund</b> |                                      | <b>\$ 90,087.57</b> | <b>\$ 0.00</b>    |                             | <b>\$ 9.00</b>                  |

## Bonds

Unrealized gains & losses have been adjusted to account for the accretion of OID (original issue discount), the amortization of premium, and/or the accretion of market discount.

Call features shown indicate the next regularly scheduled call date and price. Your holdings may be subject to other redemption features including sinking funds or extraordinary calls.

The credit rating from Moody's Investors Service and Standard & Poor's may be shown for certain securities. All credit ratings represent the "opinions" of the provider and are not representations or guarantees of performance. Your Financial Advisor will be pleased to provide you with further information or assistance in interpreting these credit ratings.

## Corporate bonds

| Amount  | Description                                                                   | Date acquired/<br>CUSIP # | Cost/<br>Adjusted cost       | Share cost/<br>Adjusted share cost | Current share price/Accrued Interest | Current value | Unrealized Gain/(loss)<br>Original/<br>Adjusted | Current % Yield/<br>Anticip. Income<br>(annualized) | Ordinary Income/<br>Capital gain/(loss) |
|---------|-------------------------------------------------------------------------------|---------------------------|------------------------------|------------------------------------|--------------------------------------|---------------|-------------------------------------------------|-----------------------------------------------------|-----------------------------------------|
| 100,000 | COSTCO WHOLESALE CORP SR NOTES-BK/ENTRY                                       | 08/02/07<br>22160KAB1     | \$ 99,824.00<br>\$ 99,824.00 | \$ 99,824<br>\$ 99,824             | 100.926                              | \$ 100,926.00 | \$ 1,102.00 LT<br>\$ 1,102.00 LT                |                                                     | \$ 0.00<br>\$ 1,102.00                  |
| 30,000  | DTD 02/20/2007<br>INT. 05 300% MATY 03/15/2012<br>Rating: A2/A +              | 04/17/08                  | 31,476.00<br>30,081.30       | 104.92<br>100.271                  | 100.926                              | 30,277.80     | (1,198.20) LT<br>196.50 LT                      |                                                     | 0.00<br>196.50                          |
| 130,000 |                                                                               |                           | 131,300.00<br>129,905.30     | 101.00<br>99.90                    | 2,028.72                             | 131,203.80    | (96.20)<br>1,298.50                             | 5.251<br>6,890.00                                   | 0.00<br>1,298.50                        |
| 130,000 | IBM CORP<br>DTD 11/27/02<br>INT. 04 750% MATY 11/29/2012<br>Rating: AA3/A +   | 08/02/07<br>459200BA8     | 126,074.00<br>126,074.00     | 96.98<br>96.98                     | 103.406<br>548.89                    | 134,427.80    | 8,353.80 LT<br>8,353.80 LT                      | 4.593<br>6,175.00                                   | 3,164.20<br>5,189.60                    |
| 125,000 | ORACLE CORP<br>DTD 04/09/2008<br>INT. 04 950% MATY 04/15/2013<br>Rating: A1/A | 06/17/08<br>68389XAD7     | 125,160.00<br>125,038.75     | 100.128<br>100.031                 | 105.605<br>1,306.25                  | 132,008.25    | 6,846.25 LT<br>6,967.50 LT                      | 4.887<br>6,187.50                                   | 0.00<br>6,967.50                        |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
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Ref 00024983 00180991

Account number 593-00819-13 507

HARDEN FOUNDATION

## Corporate bonds *continued*

| Amount  | Description                                                                                              | Date<br>acquired/<br>CUSIP # | Cost/<br>Adjusted cost         | Share cost/<br>Adjusted share<br>cost | Current share<br>price/Accrued<br>Interest | Current<br>value | Unrealized Gain/(loss)<br>Original/<br>Adjusted | Current % Yield/<br>Anticip Income<br>(annualized) | Ordinary Income/<br>Capital gain/(loss) |
|---------|----------------------------------------------------------------------------------------------------------|------------------------------|--------------------------------|---------------------------------------|--------------------------------------------|------------------|-------------------------------------------------|----------------------------------------------------|-----------------------------------------|
| 100,000 | WALT DISNEY COMPANY<br>BOOK/ENTRY<br>DTD 12/22/2008<br>INT 04.500% MATY 12/15/2013<br>Rating A2/A        | 09/28/11<br>254687AW6        | \$ 108,372.00<br>\$ 107,458.00 | \$ 108.372<br>\$ 107.458              | 107.638<br>\$ 200.00                       | \$ 107,638.00    | (\$ 734.00) ST<br>\$ 180.00 ST                  | 4.18<br>\$ 4,500.00                                | \$ 0.00<br>\$ 180.00                    |
| 130,000 | NATIONAL RURAL UTILS COOP FIN<br>BK/ENTRY-DTD 02/25/2004<br>INT 04.750% MATY 03/01/2014<br>Rating A1/A + | 05/29/09<br>637433DC6        | 131,420.90<br>130,882.50       | 101.093<br>100.525                    | 107.892<br>2,058.33                        | 140,259.60       | 8,838.70 LT<br>9,577.10 LT                      | 4.402<br>6,175.00                                  | 0.00<br>9,577.10                        |
| 125,000 | JPMORGAN CHASE & CO<br>BOOK/ENTRY<br>DTD 09/18/2009<br>INT 03.700% MATY 01/20/2015<br>Rating AA3/A       | 11/09/10<br>46625HHP8        | 132,987.50<br>130,906.25       | 106.39<br>104.725                     | 103.68<br>2,068.40                         | 129,600.00       | (3,387.50) LT<br>(1,306.25) LT                  | 3.568<br>4,625.00                                  | 0.00<br>(1,306.25)                      |
| 100,000 | TEXAS INSTRUMENTS INC<br>DTD 05/23/2011<br>INT 02.375% MATY 05/16/2016<br>Rating A1/A +                  | 07/27/11<br>882508AR5        | 101,750.00<br>101,805.00       | 101.75<br>101.605                     | 104.167<br>303.47                          | 104,167.00       | 2,417.00 ST<br>2,562.00 ST                      | 2.279<br>2,375.00                                  | 0.00<br>2,562.00                        |
| 65,000  | ABBOTT LABORATORIES<br>BOOK/ENTRY<br>DTD 11/09/2007<br>INT 05.600% MATY 11/30/2017<br>Rating A1/AA       | 11/07/07<br>002819AB6        | 64,961.65<br>64,961.65         | 99.941<br>99.941                      | 119.415<br>313.44                          | 77,619.75        | 12,658.10 LT<br>12,658.10 LT                    | 4.689<br>3,640.00                                  | 0.00<br>12,658.10                       |
| 100,000 | WELLS FARGO & CO<br>BOOK/ENTRY DTD 12/10/07<br>INT: 05.625% MATY: 12/11/2017<br>Rating A2/A +            | 10/28/10<br>949746NX5        | 112,944.00<br>111,045.00       | 112.944<br>111.045                    | 113.953<br>312.50                          | 113,953.00       | 1,009.00 LT<br>2,908.00 LT                      | 4.936<br>5,625.00                                  | 0.00<br>2,908.00                        |
| 70,000  | SYSCO CORP<br>DTD 02/12/08<br>INT 05.250% MATY 02/12/2018<br>Rating A1/A +                               | 02/08/08<br>871829AL1        | 70,951.30<br>70,632.80         | 101.359<br>100.904                    | 117.191<br>1,418.98                        | 82,033.70        | 11,082.40 LT<br>11,400.90 LT                    | 4.479<br>3,675.00                                  | 0.00<br>11,400.90                       |
| 88,000  | PROCTER & GAMBLE CO<br>BOOK/ENTRY DTD 02/06/2009<br>INT 04.700% MATY 02/15/2019<br>Rating AA3/AA-        | 02/05/09<br>742718DN6        | 66,318.12<br>66,237.60         | 100.482<br>100.36                     | 118.015<br>1,171.87                        | 77,889.90        | 11,571.78 LT<br>11,652.30 LT                    | 3.982<br>3,102.00                                  | 0.00<br>11,652.30                       |

# Reserved Client Statement

December 1 - December 31, 2011

Account number 593-00819-13 507

HARDEN FOUNDATION

Ref 00024983 00180992

MorganStanley  
SmithBarney

## Corporate bonds continued

| Amount                       | Description                  | Date acquired/<br>CUSIP # | Cost/<br>Adjusted cost | Share cost/<br>Adjusted share cost | Current share price/Accrued Interest | Current value          | Unrealized Gain/(loss) Original/Adjusted | Current % Yield/ Anticip. Income (annualized) | Ordinary Income/ Capital gain/(loss) |
|------------------------------|------------------------------|---------------------------|------------------------|------------------------------------|--------------------------------------|------------------------|------------------------------------------|-----------------------------------------------|--------------------------------------|
| 80,000                       | COCA COLA CO                 | 03/05/09                  | \$ 80,489.60           | \$ 100.612                         | 117.11                               | \$ 93,688.00           | \$ 13,198.40 LT                          | 4.162                                         | \$ 0.00                              |
|                              | BK/ENTRY DTD 03/06/2009      | 191218AM2                 | \$ 80,369.60           | \$ 100.462                         | \$ 1,148.33                          |                        | \$ 13,318.40 LT                          | \$ 3,900.00                                   | \$ 13,318.40                         |
|                              | INT: 04.875% MATY 03/15/2019 |                           |                        |                                    |                                      |                        |                                          |                                               |                                      |
|                              | Rating: AA3/A+               |                           |                        |                                    |                                      |                        |                                          |                                               |                                      |
| <b>Total corporate bonds</b> |                              |                           | <b>\$ 1,252,729.07</b> |                                    | <b>\$ 12,879.16</b>                  | <b>\$ 1,324,486.80</b> | <b>\$ 2,742.00 ST</b>                    | <b>4.29</b>                                   | <b>\$ 2,164.20</b>                   |
| <b>3,221,000</b>             |                              |                           | <b>\$ 1,244,916.45</b> |                                    |                                      |                        | <b>\$ 76,828.35 LT</b>                   | <b>\$ 56,869.50</b>                           | <b>\$ 76,406.15</b>                  |

## Government & government sponsored entity (GSE) bonds

| Amount  | Description                                             | Date acquired/<br>CUSIP # | Cost/<br>Adjusted cost | Share cost/<br>Adjusted share cost | Current share price/Accrued Interest | Current value | Unrealized Gain/(loss) Original/Adjusted | Current % Yield/ Anticip. Income (annualized) | Ordinary Income/ Capital gain/(loss) |
|---------|---------------------------------------------------------|---------------------------|------------------------|------------------------------------|--------------------------------------|---------------|------------------------------------------|-----------------------------------------------|--------------------------------------|
| 67,000  | U S TREASURY NOTE SER B-2012                            | 12/18/06                  | \$ 68,078.28           | \$ 101.609                         | 100.566                              | \$ 67,379.22  | \$ 699.06 LT                             | 4.847                                         | \$ 0.00                              |
|         | DTD 02/15/2002                                          | 9128277L0                 | \$ 67,022.78           | \$ 100.034                         | \$ 1,233.72                          |               | \$ 356.44 LT                             | \$ 3,268.25                                   | \$ 356.44                            |
|         | INT 04.875% MATY 02/15/2012                             |                           |                        |                                    |                                      |               |                                          |                                               |                                      |
| 122,000 | U S TREASURY NOTES SER AK-2012                          | 05/20/10                  | 122,733.91             | 100.601                            | 100.313                              | 122,381.88    | (352.05) LT                              | .996                                          | 0.00                                 |
|         | DTD 04/30/2010                                          | 912828NB2                 | 122,128.88             | 100.104                            | 207.80                               |               | 254.98 LT                                | 1,220.00                                      | 254.98                               |
|         | INT: 01.000% MATY 04/30/2012                            |                           |                        |                                    |                                      |               |                                          |                                               |                                      |
| 150,000 | FEDERAL HOME LN MTG CRP GLOBAL REFERENCE NOTES BK/ENTRY | 11/16/07                  | 155,571.00             | 103.714                            | 102.698                              | 154,047.00    | (1,524.00) LT                            | 4.99                                          | 0.00                                 |
|         | DTD 7/16/02                                             | 3134A4QD9                 | 150,705.00             | 100.47                             | 3,544.79                             |               | 3,342.00 LT                              | 7,687.50                                      | 3,342.00                             |
|         | INT 05.125% MATY: 07/15/2012                            |                           |                        |                                    |                                      |               |                                          |                                               |                                      |
| 100,000 | U S TREASURY NOTES SER E-2012                           | 01/26/07                  | 95,875.00              | 95.875                             | 103.332                              | 103,332.00    | 7,457.00 LT                              | 3.871                                         | 3,422.00                             |
|         | DTD 11/15/2002                                          | 912828AP5                 | 95,875.00              | 95.875                             | 516.48                               |               | 7,457.00 LT                              | 4,000.00                                      | 4,035.00                             |
|         | INT 04.000% MATY: 11/15/2012                            |                           |                        |                                    |                                      |               |                                          |                                               |                                      |
| 110,000 | U S TREASURY NOTES SER Y-2013                           | 08/04/10                  | 111,821.88             | 101.656                            | 101.586                              | 111,744.60    | (77.28) LT                               |                                               | 0.00                                 |
|         | DTD 05/15/2010                                          | 912828NC0                 | 110,907.50             | 100.825                            |                                      |               | 837.10 LT                                |                                               | 837.10                               |
| 132,000 | INT 01.375% MATY: 05/15/2013                            | 08/09/11                  | 134,567.81             | 101.945                            | 101.586                              | 134,093.52    | (474.29) ST                              |                                               | 0.00                                 |
|         |                                                         |                           | 134,003.76             | 101.518                            |                                      |               | 89.76 ST                                 |                                               | 89.76                                |
| 242,000 |                                                         |                           | 246,389.69             | 101.80                             | 429.65                               | 245,838.12    | (551.57)                                 | 1.353                                         | 0.00                                 |
|         |                                                         |                           | 244,911.28             | 101.20                             |                                      |               | 926.86                                   | 3,327.50                                      | 926.86                               |
| 130,000 | FEDERAL HOME LOAN MTG CORP GLOBAL REFERENCE NOTES       | 01/31/06                  | 127,163.40             | 97.818                             | 106.358                              | 138,265.40    | 11,102.00 LT                             | 4.23                                          | 2,160.80                             |
|         | DTD 07/18/2003                                          | 3134A4TZ7                 | 127,163.40             | 97.818                             | 2,697.50                             |               | 11,102.00 LT                             | 5,850.00                                      | 8,941.40                             |
|         | INT: 04.500% MATY 07/15/2013                            |                           |                        |                                    |                                      |               |                                          |                                               |                                      |
| 36,000  | U S TREASURY NOTES SER Q-2013                           | 08/15/11                  | 38,241.56              | 106.226                            | 104.988                              | 37,795.88     | (445.68) ST                              | 2.976                                         | 0.00                                 |
|         | DTD 09/30/08                                            | 912828JM3                 | 37,849.88              | 105.138                            | 285.86                               |               | (54.00) ST                               | 1,125.00                                      | (54.00)                              |
|         | INT 03.125% MATY 09/30/2013                             |                           |                        |                                    |                                      |               |                                          |                                               |                                      |

**Account number 593-00819-13 507**

HARDEN FOUNDATION

**Government & government sponsored entity (GSE) bonds** *continued*

| Amount                                                     | Description                                                                              | Date acquired/<br>CUSIP # | Cost/<br>Adjusted cost             | Share cost/<br>Adjusted share cost | Current share price/Accrued interest | Current value   | Unrealized Gain/(loss)<br>Original/<br>Adjusted | Current % Yield/<br>Anticip Income<br>(annualized) | Ordinary Income/<br>Capital gain/(loss) |
|------------------------------------------------------------|------------------------------------------------------------------------------------------|---------------------------|------------------------------------|------------------------------------|--------------------------------------|-----------------|-------------------------------------------------|----------------------------------------------------|-----------------------------------------|
| 130,000                                                    | FEDERAL NATL MTG ASSN SER<br>BOOK/ENTRY<br>DTD 08/17/2004<br>INT 04.625% MATY 10/15/2014 | 08/07/07<br>31359MMWJ8    | \$ 125,805.81<br>\$ 125,805.81     | \$ 96.773<br>\$ 96.773             | 111.114<br>\$ 1,269.31               | \$ 144,448.20   | \$ 18,642.39 LT<br>\$ 18,642.39 LT              | 4.182<br>\$ 6,012.50                               | \$ 2,374.19<br>\$ 18,288.20             |
| 96,000                                                     | U S TREASURY NOTES SER C-2017<br>DTD 05/15/2007<br>INT 04.500% MATY 05/15/2017           | 10/10/08<br>912828GGS3    | 100,620.00<br>99,064.32            | 104.812<br>103.192                 | 118.602<br>557.80                    | 113,857.92      | 13,237.92 LT<br>14,793.60 LT                    | 3.794<br>4,320.00                                  | 0.00<br>14,793.60                       |
| 18,000                                                     | U S TREASURY NOTES SER C-2019<br>DTD 05/15/2009<br>INT 03.125% MATY 05/15/2019           | 12/23/09<br>912828KQ2     | 17,223.75<br>17,223.75             | 95.687<br>95.687                   | 112.125<br>72.63                     | 20,182.50       | 2,958.75 LT<br>2,958.75 LT                      | 2.787<br>562.50                                    | 144.81<br>2,813.94                      |
| 108,000                                                    | U S TREASURY NOTES SER B-2020<br>DTD 02/15/2010<br>INT 03.625% MATY 02/15/2020           | 10/14/11<br>912828MP2     | 119,862.81<br>119,553.16           | 113.078<br>112.786                 | 115.977<br>1,451.38                  | 122,935.62      | 3,072.81 ST<br>3,382.46 ST                      | 3.125<br>3,842.50                                  | 0.00<br>3,382.46                        |
| 125,000                                                    | U S TREASURY NOTES SER F-2010<br>DTD 11/15/2010<br>INT 02.625% MATY 11/15/2020           | 12/15/10<br>912828PC8     | 116,777.34<br>116,777.34           | 93.421<br>93.421                   | 107.656<br>423.68                    | 134,570.00      | 17,792.66 LT<br>17,792.66 LT                    | 2.438<br>3,281.25                                  | 736.40<br>17,066.28                     |
| Total government & government sponsored entity (GSE) bonds |                                                                                          |                           |                                    |                                    |                                      |                 |                                                 |                                                    |                                         |
| 1,322,000                                                  |                                                                                          |                           | \$ 1,334,342.55<br>\$ 1,326,078.38 |                                    | \$ 12,690.60                         | \$ 1,406,033.52 | \$ 3,418.22 ST<br>\$ 77,636.92 LT               | 3.18<br>\$ 44,495.00                               | \$ 8,836.00<br>\$ 72,137.34             |
| Total portfolio value                                      |                                                                                          |                           |                                    |                                    |                                      |                 |                                                 |                                                    |                                         |
|                                                            |                                                                                          |                           | \$ 2,659,082.40                    |                                    |                                      | \$ 2,819,607.89 | \$ 6,180.22 ST<br>\$ 154,366.27 LT              | 3.59<br>\$ 101,373.50                              | \$ 12,002.20<br>\$ 148,523.29           |

**TRANSACTION DETAILS**  
All transactions appearing are based on trade date.

| <b>Money fund activity</b> |            |                                      | <b>Opening money fund balance</b> | <b>\$ 83,204.40</b> |
|----------------------------|------------|--------------------------------------|-----------------------------------|---------------------|
| Date                       | Activity   | Description                          | Amount                            |                     |
| 12/01/11                   | Autoinvest | MORGAN STANLEY LIQUID ASSET FUND INC | 1,820.00                          |                     |
| 12/13/11                   | Autoinvest | MORGAN STANLEY LIQUID ASSET FUND INC | 2,812.50                          |                     |

  

| <i>All transactions are traded at \$1.00 per share</i>                 |            |                                      |                     |
|------------------------------------------------------------------------|------------|--------------------------------------|---------------------|
| Date                                                                   | Activity   | Description                          | Amount              |
| 12/16/11                                                               | Autoinvest | MORGAN STANLEY LIQUID ASSET FUND INC | 2,250.00            |
|                                                                        |            |                                      |                     |
| MONEY FUND EARNINGS REINVESTED<br>(SEE DETAILS UNDER EARNINGS DETAILS) |            |                                      | .67                 |
| <b>Closing balance</b>                                                 |            |                                      | <b>\$ 90,087.57</b> |

# Reserved Client Statement

December 1 - December 31, 2011

Account number 593-01498-19 507

HARDEN FOUNDATION

Ref 00025066 00181729

MorganStanley  
SmithBarney

## PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares        | Description                          | Current value       | Accrued dividends | Annualized % dividend yield | Anticipated income (annualized) |
|-------------------------|--------------------------------------|---------------------|-------------------|-----------------------------|---------------------------------|
| 75,301.02               | MORGAN STANLEY LIQUID ASSET FUND INC | \$ 75,301.02        |                   | .01%                        | \$ 7.53                         |
| <b>Total money fund</b> |                                      | <b>\$ 75,301.02</b> | <b>\$ 0.00</b>    |                             | <b>\$ 7.53</b>                  |

## Common stocks & options

| Quantity | Description                 | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated income (annualized) |
|----------|-----------------------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 2,732.94 | BERKSHIRE HATHAWAY INC CL B | BRKB   | 11/03/94      | \$ 63,024.36 | \$ 23.06   | \$ 76.30      | \$ 208,523.32 | \$ 145,498.96*         | LT              |                                 |
| 3,036.6  |                             |        | 12/29/94      | 70,798.61    | 23.32      | 76.30         | 231,692.58    | 160,893.97*            | LT              |                                 |
| 2,024.4  |                             |        | 12/30/94      | 47,199.07    | 23.32      | 76.30         | 154,461.72    | 107,262.65*            | LT              |                                 |
| 1,012.2  |                             |        | 07/17/98      | 74,723.53    | 73.82      | 76.30         | 77,230.86     | 2,507.33*              | LT              |                                 |
| 354.27   |                             |        | 01/20/99      | 23,519.54    | 66.39      | 76.30         | 27,090.80     | 3,511.26*              | LT              |                                 |
| 961.59   |                             |        | 01/22/99      | 63,838.74    | 66.39      | 76.30         | 73,369.32     | 9,530.58*              | LT              |                                 |
| 10,122   |                             |        |               | 343,103.85   | 33.897     |               | 772,308.80    | 429,204.75             |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

Ref 00025066 00181730

MorganStanley  
SmithBarney

HARDEN FOUNDATION Account number 593-01498-19 507

## Common stocks & options continued

| Quantity   | Description             | Symbol | Date<br>acquired | Cost         | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|------------|-------------------------|--------|------------------|--------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 9,000      | CHURCH & DWIGHT CO INC  | CHD    | 10/20/93         | \$ 35,465.78 | \$ 3.895      | \$ 45.76         | \$ 411,840.00    | \$ 376,374.22             | LT                 |                                    |
| 30,000     |                         |        | 12/12/94         | 85,447.94    | 2.812         | 45.76            | 1,287,800.00     | 1,287,352.06              | LT                 |                                    |
| 39,000     |                         |        |                  | 120,913.72   | 3.10          |                  | 1,784,840.00     | 1,683,728.28              |                    | 1.486                              |
| 3,000      | CINTAS CORP             | CTAS   | 07/03/95         | 38,250.00    | 12.083        | 34.81            | 104,430.00       | 68,180.00                 | LT                 | 1.551                              |
| 1,012,3576 | FRISCHS RESTAURANTS INC | FRS    | 12/17/90         | 10,000.08    | 9.681         | 19.40            | 19,639.74        | 9,639.66                  | LT                 |                                    |
| 590 8392   |                         |        | 12/17/90         | 5,717.21     | 9.484         | 19.40            | 11,442.28        | 5,745.07                  | LT                 |                                    |
| 3,289,0806 |                         |        | 12/18/90         | 31,165.56    | 9.286         | 19.40            | 63,808.16        | 32,642.60                 | LT                 |                                    |
| 1,518,5363 |                         |        | 01/21/91         | 12,602.99    | 8.10          | 19.40            | 29,453.60        | 16,856.61                 | LT                 |                                    |
| 253,0893   |                         |        | 01/21/91         | 2,279.81     | 8.792         | 19.40            | 4,909.93         | 2,630.12                  | LT                 |                                    |
| 253,0893   |                         |        | 01/21/91         | 2,331.04     | 8.99          | 19.40            | 4,909.93         | 2,578.89                  | LT                 |                                    |
| 506,1787   |                         |        | 01/23/91         | 4,608.91     | 8.891         | 19.40            | 9,819.87         | 5,210.96                  | LT                 |                                    |
| 378,5525   |                         |        | 01/23/91         | 3,495.10     | 8.99          | 19.40            | 7,343.92         | 3,848.82                  | LT                 |                                    |
| 253,0893   |                         |        | 01/23/91         | 2,304.46     | 8.891         | 19.40            | 4,909.93         | 2,605.47                  | LT                 |                                    |
| 253,0893   |                         |        | 01/23/91         | 2,432.49     | 9.385         | 19.40            | 4,909.93         | 2,477.44                  | LT                 |                                    |
| 253,0893   |                         |        | 01/23/91         | 2,432.48     | 9.385         | 19.40            | 4,909.93         | 2,477.45                  | LT                 |                                    |
| 125,463    |                         |        | 01/23/91         | 1,165.03     | 8.99          | 19.40            | 2,433.98         | 1,268.95                  | LT                 |                                    |
| 884,7313   |                         |        | 01/24/91         | 8,240.02     | 9.088         | 19.40            | 17,163.79        | 8,923.77                  | LT                 |                                    |
| 633,805    |                         |        | 01/24/91         | 5,757.79     | 8.891         | 19.40            | 12,295.82        | 6,538.03                  | LT                 |                                    |
| 633,805    |                         |        | 01/24/91         | 5,885.74     | 9.088         | 19.40            | 12,295.82        | 6,410.08                  | LT                 |                                    |
| 2,530,894  |                         |        | 01/25/91         | 23,905.89    | 9.286         | 19.40            | 49,099.34        | 25,193.45                 | LT                 |                                    |
| 1,265,447  |                         |        | 01/25/91         | 11,698.63    | 9.088         | 19.40            | 24,549.67        | 12,851.04                 | LT                 |                                    |
| 633,805    |                         |        | 01/25/91         | 5,912.91     | 9.187         | 19.40            | 12,295.82        | 6,382.91                  | LT                 |                                    |
| 633,805    |                         |        | 01/25/91         | 5,849.33     | 9.088         | 19.40            | 12,295.82        | 6,446.49                  | LT                 |                                    |
| 1,265,447  |                         |        | 01/28/91         | 11,012.46    | 8.496         | 19.40            | 24,549.67        | 13,537.21                 | LT                 |                                    |
| 633,805    |                         |        | 01/28/91         | 5,826.37     | 8.99          | 19.40            | 12,295.82        | 6,469.45                  | LT                 |                                    |
| 1,265,447  |                         |        | 01/29/91         | 10,189.59    | 7.903         | 19.40            | 24,549.67        | 14,360.08                 | LT                 |                                    |
| 1,012,3576 |                         |        | 01/29/91         | 7,642.18     | 7.409         | 19.40            | 19,639.74        | 11,997.56                 | LT                 |                                    |
| 633,805    |                         |        | 01/29/91         | 4,776.36     | 7.409         | 19.40            | 12,295.82        | 7,519.46                  | LT                 |                                    |
| 633,805    |                         |        | 01/29/91         | 4,967.42     | 7.705         | 19.40            | 12,295.82        | 7,328.40                  | LT                 |                                    |
| 633,805    |                         |        | 01/29/91         | 4,776.36     | 7.409         | 19.40            | 12,295.82        | 7,519.46                  | LT                 |                                    |
| 506,1787   |                         |        | 01/29/91         | 4,177.73     | 8.10          | 19.40            | 9,819.87         | 5,642.14                  | LT                 |                                    |
| 506,1787   |                         |        | 01/29/91         | 4,024.88     | 7.804         | 19.40            | 9,819.87         | 5,794.99                  | LT                 |                                    |
| 253,0893   |                         |        | 01/29/91         | 1,936.02     | 7.508         | 19.40            | 4,909.93         | 2,973.91                  | LT                 |                                    |
| 125,463    |                         |        | 01/29/91         | 1,018.96     | 7.903         | 19.40            | 2,433.98         | 1,415.02                  | LT                 |                                    |
| 1,265,447  |                         |        | 01/30/91         | 9,432.07     | 7.31          | 19.40            | 24,549.67        | 15,117.60                 | LT                 |                                    |

Reserved  
Client Statement

December 1 - December 31, 2011

HARDEN FOUNDATION Account number 593-01498-19 507

## Common stocks &amp; options continued

| Quantity    | Description                   | Symbol | Date<br>acquired | Cost        | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|-------------|-------------------------------|--------|------------------|-------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 1,265.447   | FRISCHS RESTAURANTS INC       | FRS    | 01/30/91         | \$ 9,686.98 | \$ 7.508      | \$ 19.40         | \$ 24,549.67     | \$ 14,862.69              | LT                 |                                    |
| 1,265.447   |                               |        | 01/30/91         | 9,941.91    | 7.705         | 19.40            | 24,549.67        | 14,607.76                 | LT                 |                                    |
| 1,265.447   |                               |        | 01/30/91         | 10,196.83   | 7.903         | 19.40            | 24,549.67        | 14,352.84                 | LT                 |                                    |
| 2,530.894   |                               |        | 01/31/91         | 24,141.66   | 9.385         | 19.40            | 49,099.34        | 24,957.68                 | LT                 |                                    |
| 1,265.447   |                               |        | 01/31/91         | 11,689.65   | 9.088         | 19.40            | 24,549.67        | 12,860.02                 | LT                 |                                    |
| 633.805     |                               |        | 01/31/91         | 5,273.05    | 8.199         | 19.40            | 12,295.82        | 7,022.77                  | LT                 |                                    |
| 633.805     |                               |        | 01/31/91         | 5,463.64    | 8.496         | 19.40            | 12,295.82        | 6,832.18                  | LT                 |                                    |
| 633.805     |                               |        | 01/31/91         | 6,035.40    | 9.385         | 19.40            | 12,295.82        | 6,260.42                  | LT                 |                                    |
| 378.5525    |                               |        | 01/31/91         | 3,392.53    | 8.792         | 19.40            | 7,343.92         | 3,951.39                  | LT                 |                                    |
| 3,162.536   |                               |        | 02/01/91         | 30,530.01   | 9.484         | 19.40            | 61,353.20        | 30,823.19                 | LT                 |                                    |
| 1,265.447   |                               |        | 02/01/91         | 12,339.22   | 9.582         | 19.40            | 24,549.67        | 12,210.45                 | LT                 |                                    |
| 2,530.8986  |                               |        | 02/04/91         | 24,407.80   | 9.484         | 19.40            | 49,099.43        | 24,691.63                 | LT                 |                                    |
| 1,265.447   |                               |        | 02/04/91         | 12,076.77   | 9.385         | 19.40            | 24,549.67        | 12,472.90                 | LT                 |                                    |
| 1,012.3576  |                               |        | 02/04/91         | 9,661.41    | 9.385         | 19.40            | 19,639.74        | 9,978.33                  | LT                 |                                    |
| 253.0893    |                               |        | 02/04/91         | 2,389.93    | 9.286         | 19.40            | 4,909.93         | 2,520.00                  | LT                 |                                    |
| 44,000      |                               |        |                  | 394,792.66  | 8.973         |                  | 853,600.00       | 458,807.34                | 3.298              | 28,180.00                          |
| 9,400       | MCCORMICK & CO INC NON-VOTING | MKC    | 06/15/93         | 99,581.25   | 10.593        | 50.42            | 473,948.00       | 374,366.75                | LT                 |                                    |
| 7,000       |                               |        | 10/17/94         | 71,312.50   | 10.187        | 50.42            | 352,940.00       | 281,627.50                | LT                 |                                    |
| 3,000       |                               |        | 01/05/95         | 28,406.25   | 9.468         | 50.42            | 151,260.00       | 122,853.75                | LT                 |                                    |
| 2,000       |                               |        | 09/17/97         | 24,125.00   | 12.062        | 50.42            | 100,840.00       | 76,715.00                 | LT                 |                                    |
| 2,000       |                               |        | 09/17/97         | 24,125.00   | 12.062        | 50.42            | 100,840.00       | 76,715.00                 | LT                 |                                    |
| 2,000       |                               |        | 09/17/97         | 24,125.00   | 12.062        | 50.42            | 100,840.00       | 76,715.00                 | LT                 |                                    |
| 1,200       |                               |        | 09/17/97         | 14,475.00   | 12.062        | 50.42            | 60,504.00        | 46,029.00                 | LT                 |                                    |
| 26,600      |                               |        |                  | 286,150.00  | 10.758        |                  | 1,341,172.00     | 1,055,022.00              | 2.459              | 32,984.00                          |
| 20,000      | SIGMA-ALDRICH CORP            | SIAL   | 10/18/94         | 171,562.50  | 8.50          | 62.46            | 1,249,200.00     | 1,077,637.50              | LT                 |                                    |
| 4,000       |                               |        | 01/05/95         | 34,000.00   | 8.50          | 62.46            | 249,840.00       | 215,840.00                | LT                 |                                    |
| 24,000      |                               |        |                  | 205,562.50  | 8.585         |                  | 1,499,040.00     | 1,293,477.50              | 1.152              | 17,280.00                          |
| 3,550       | TEJON RANCH CO                | TRC    | 01/14/91         | 80,762.50   | 22.75         | 24.48            | 86,904.00        | 6,141.50                  | LT                 |                                    |
| 25,200      | TIFFANY & CO NEW              | TIF    | 01/23/95         | 95,809.74   | 3.765         | 66.26            | 1,689,762.00     | 1,573,942.26              | 1.75               | 29,232.00                          |
| 8,174.5452  | TOOTSIE ROLL INDUSTRIES INC   | TR     | 10/17/94         | 77,596.42   | 9.419         | 23.67            | 193,491.48       | 115,895.06                | LT                 |                                    |
| 19,825.4548 |                               |        | 12/12/94         | 163,310.59  | 8.171         | 23.67            | 489,268.52       | 305,957.93                | LT                 |                                    |
| 28,000      |                               |        |                  | 240,907.01  | 8.604         |                  | 682,760.00       | 421,852.99                | 1.351              | 8,960.00                           |
| 5,200       | US BANCORP DEL NEW            | USB    | 01/21/94         | 20,859.12   | 4.011         | 27.05            | 140,660.00       | 119,800.88                | LT                 |                                    |
| 4,500       |                               |        | 11/02/94         | 19,558.22   | 4.346         | 27.05            | 121,725.00       | 102,166.78                | LT                 |                                    |
| 12,600      |                               |        | 11/03/94         | 54,466.59   | 4.322         | 27.05            | 340,830.00       | 286,363.41                | LT                 |                                    |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025066 00181732

Account number 593-01498-19 507

HARDEN FOUNDATION

## Common stocks & options continued

| Quantity                               | Description         | Symbol | Date acquired | Cost            | Share cost | Current price | Current value      | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------------------------------------|---------------------|--------|---------------|-----------------|------------|---------------|--------------------|------------------------|-----------------|---------------------------------|
| 2,700                                  | US BANCORP DEL NEW  | USB    | 11/04/94      | \$ 11,754.00    | \$ 4 353   | \$ 27 05      | \$ 73,035 00       | \$ 61,281 00 LT        | 1.848           | 12,500.00                       |
| 25,000                                 |                     |        |               | 106,937.93      | 4,288      |               | 878,250 00         | 589,812.07             |                 |                                 |
| 23,900                                 | WILLIAMS SONOMA INC | WSM    | 03/02/92      | 47,667.22       | 1,972      | 38.50         | 920,150 00         | 872,482.78 LT          | 1.788           | 16,252.00                       |
| <b>Total common stocks and options</b> |                     |        |               |                 |            |               |                    |                        |                 |                                 |
|                                        |                     |        |               | \$ 1,958,557.13 |            |               | \$ 10,371,008.80   | \$ 0.00 ST             | 1.67            |                                 |
|                                        |                     |        |               |                 |            |               | \$ 8,412,449.47 LT |                        |                 | \$ 173,508.00                   |
| <b>Total portfolio value</b>           |                     |        |               |                 |            |               |                    |                        |                 |                                 |
|                                        |                     |        |               | \$ 2,033,858.15 |            |               | \$ 10,448,307.82   | \$ 0.00 ST             | 1.68            | \$ 173,515.53                   |
|                                        |                     |        |               |                 |            |               | \$ 8,412,449.47 LT |                        |                 |                                 |

\*Based on information supplied by client or other financial institution, not verified by us

#Based on information supplied by your Financial Advisor

## TRANSACTION DETAILS: All transactions appearing are based on trade date.

| Investment activity                                   |          |                             |  | Quantity | Price     | Amount        |
|-------------------------------------------------------|----------|-----------------------------|--|----------|-----------|---------------|
| Date                                                  | Activity | Description                 |  |          |           |               |
| 12/05/11                                              | Sold     | CHURCH & DWIGHT CO INC      |  | -1,000   | \$ 44,166 | \$ 44,165 15  |
| 12/05/11                                              | Sold     | TOOTSIE ROLL INDUSTRIES INC |  | -1,849   | 23 757    | 43,925 84     |
| 12/05/11                                              | Sold     | US BANCORP DEL NEW          |  | -1,850   | 26 042    | 48,176.77     |
| <b>Total securities bought and other subtractions</b> |          |                             |  |          |           | \$ 0.00       |
| <b>Total securities sold and other additions</b>      |          |                             |  |          |           | \$ 136,267.76 |

## Deposits

| Date     | Description                                              | Amount |
|----------|----------------------------------------------------------|--------|
| 12/30/11 | CONSULTING & ADVISORY SERVICES FROM 12/19/11 TO 12/31/11 | 17.80  |

## Withdrawals

| Date     | Description                       | Reference no | Amount     |
|----------|-----------------------------------|--------------|------------|
| 12/19/11 | FROM 593-01498-01 TO 593-04252-01 |              | 125,000.00 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025492 00184999

HARDEN FOUNDATION Account number 593-04373-13 507

## Common stocks &amp; options

| Quantity | Description               | Symbol | Date<br>acquired | Cost         | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|----------|---------------------------|--------|------------------|--------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 2,000    | INGERSOLL-RAND PUBLIC LTD | IR     | 12/31/01         | \$ 34,915.60 | R \$ 18.237   | \$ 30.47         | \$ 60,940.00     | \$ 26,024.40              | LT                 |                                    |
| 2,400    | CO                        |        | 12/08/05         | 87,358.08    | R             | 30.47            | 73,128.00        | (14,230.08)               | LT                 |                                    |
| 4,400    |                           |        |                  | 122,273.68   | 27.789        |                  | 134,068.00       | 11,794.32                 | 2.10               | 2,818.00                           |
| 534      | ANGLOGOLD ASHANTI LTD     | AU     | 03/28/08         | 17,742.90    | 33.226        | 42.45            | 22,668.30        | 4,925.40                  | LT                 |                                    |
| 2,200    | ADR                       |        | 06/10/08         | 75,161.90    | 34.164        | 42.45            | 93,390.00        | 18,228.10                 | LT                 |                                    |
| 700      |                           |        | 06/11/08         | 23,216.83    | 33.166        | 42.45            | 29,715.00        | 6,498.17                  | LT                 |                                    |
| 1,200    |                           |        | 06/18/08         | 37,808.40    | 31.507        | 42.45            | 50,940.00        | 13,131.60                 | LT                 |                                    |
| 2,500    |                           |        | 06/26/08         | 79,817.75    | 31.927        | 42.45            | 106,125.00       | 26,307.25                 | LT                 |                                    |
| 494      |                           |        | 09/01/09         | 17,803.81    | 36.04         | 42.45            | 20,970.30        | 3,166.49                  | LT                 |                                    |
| 216      |                           |        | 02/25/10         | 7,528.44     | 34.853        | 42.45            | 9,169.20         | 1,640.76                  | LT                 |                                    |
| 226      |                           |        | 01/10/11         | 10,073.84    | 44.574        | 42.45            | 9,593.70         | (480.14)                  | ST                 |                                    |
| 1,147    |                           |        | 01/13/11         | 51,181.09    | 44.621        | 42.45            | 48,690.15        | (2,490.94)                | ST                 |                                    |
| 364      |                           |        | 01/14/11         | 16,054.88    | 44.106        | 42.45            | 15,451.80        | (603.08)                  | ST                 |                                    |
| 48       |                           |        | 01/18/11         | 2,158.46     | 44.968        | 42.45            | 2,037.60         | (120.86)                  | ST                 |                                    |
| 9,829    |                           |        |                  | 338,648.30   | 35.159        |                  | 408,751.05       | 70,202.75                 | 805                | 3,293.12                           |
| 2,740    | AON CORP                  | AON    | 09/27/06         | 93,054.24    | 33.961        | 48.80            | 128,232.00       | 35,177.76                 | LT                 |                                    |
| 700      |                           |        | 06/11/09         | 25,900.00    | 37.00         | 46.80            | 32,760.00        | 6,860.00                  | LT                 |                                    |
| 227      |                           |        | 12/01/09         | 8,728.15     | 38.45         | 46.80            | 10,623.60        | 1,895.45                  | LT                 |                                    |
| 707      |                           |        | 12/02/09         | 27,193.77    | 38.463        | 46.80            | 33,087.60        | 5,893.83                  | LT                 |                                    |
| 891      |                           |        | 12/03/09         | 34,204.15    | 38.388        | 46.80            | 41,698.80        | 7,494.65                  | LT                 |                                    |
| 100      |                           |        | 12/04/09         | 3,841.97     | 38.419        | 46.80            | 4,680.00         | 838.03                    | LT                 |                                    |
| 5,365    |                           |        |                  | 192,922.28   | 35.959        |                  | 251,082.00       | 58,159.72                 | 1,282              | 3,219.00                           |
| 1,300    | APACHE CORP               | APA    | 01/03/07         | 84,485.70    | 64.989        | 90.58            | 117,754.00       | 33,268.30                 | LT                 |                                    |
| 487      |                           |        | 01/03/07         | 31,721.13    | 65.135        | 90.58            | 44,112.46        | 12,391.33                 | LT                 |                                    |
| 1,100    |                           |        | 04/17/07         | 82,117.53    | 74.652        | 90.58            | 99,638.00        | 17,520.47                 | LT                 |                                    |
| 500      |                           |        | 04/18/07         | 37,385.00    | 74.77         | 90.58            | 45,290.00        | 7,905.00                  | LT                 |                                    |
| 300      |                           |        | 04/18/07         | 22,443.48    | 74.811        | 90.58            | 27,174.00        | 4,730.52                  | LT                 |                                    |
| 3,687    |                           |        |                  | 258,152.84   | 70.017        |                  | 333,968.46       | 75,815.62                 | 662                | 2,212.20                           |
| 231      | BARRICK GOLD CORP CAD     | ABX    | 02/05/04         | 4,614.45     | 19.976        | 45.25            | 10,452.75        | 5,838.30                  | LT                 |                                    |
| 3,800    |                           |        | 12/06/05         | 101,865.08   | 26.806        | 45.25            | 171,950.00       | 70,084.92                 | LT                 |                                    |
| 1,100    |                           |        | 12/09/05         | 30,653.04    | 27.866        | 45.25            | 49,775.00        | 19,121.96                 | LT                 |                                    |
| 1,254    |                           |        | 09/10/09         | 46,651.31    | 37.202        | 45.25            | 56,743.50        | 10,092.19                 | LT                 |                                    |
| 6,385    |                           |        |                  | 183,783.88   | 28.784        |                  | 288,921.25       | 105,137.37                | 1,325              | 3,831.00                           |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025492 00185000

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity      | Description              | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|---------------|--------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 2,912         | CVS CAREMARK CORP        | CVS    | 11/05/09      | \$ 83,832.69      | \$ 28.788     | \$ 40.78      | \$ 118,751.36     | \$ 34,918.67           | LT              |                                 |
| 2,808         |                          |        | 02/04/11      | 91,603.70         | 32.622        | 40.78         | 114,510.24        | 22,906.54              | ST              |                                 |
| <b>5,720</b>  |                          |        |               | <b>175,436.39</b> | <b>30.671</b> |               | <b>233,261.60</b> | <b>57,825.21</b>       | <b>1,593</b>    | <b>3,718.00</b>                 |
| 4,447         | CA INC                   | CA     | 07/26/02      | 35,708.96         | 8.029         | 20.215        | 89,896.11         | 54,187.15              | LT              |                                 |
| 3,500         |                          |        | 12/01/05      | 100,843.05        | 28.812        | 20.215        | 70,752.50         | (30,090.55)            | LT              |                                 |
| 5,200         |                          |        | 05/03/06      | 130,934.96        | 25.179        | 20.215        | 105,118.00        | (25,816.96)            | LT              |                                 |
| 1,000         |                          |        | 11/15/07      | 25,377.70         | 25.377        | 20.215        | 20,215.00         | (5,162.70)             | LT              |                                 |
| 3,300         |                          |        | 06/11/09      | 59,386.14         | 17.995        | 20.215        | 66,709.50         | 7,323.36               | LT              |                                 |
| 2,445         |                          |        | 05/17/10      | 50,311.50         | 20.577        | 20.215        | 49,425.68         | (885.82)               | LT              |                                 |
| 1,721         |                          |        | 01/26/11      | 40,162.98         | 23.337        | 20.215        | 34,790.02         | (5,372.96)             | ST              |                                 |
| <b>21,613</b> |                          |        |               | <b>442,725.29</b> | <b>20.484</b> |               | <b>436,908.81</b> | <b>(5,816.48)</b>      | <b>989</b>      | <b>4,322.60</b>                 |
| 1,920         | CANADIAN NATURAL RES LTD | CNQ    | 11/16/09      | 66,506.21         | 34.638        | 37.37         | 71,750.40         | 5,244.19               | LT              |                                 |
| 464           |                          |        | 11/19/09      | 15,502.80         | 33.411        | 37.37         | 17,339.68         | 1,836.88               | LT              |                                 |
| 1,988         |                          |        | 11/20/09      | 65,438.80         | 32.916        | 37.37         | 74,291.56         | 8,852.76               | LT              |                                 |
| 594           |                          |        | 01/25/10      | 19,767.25         | 33.278        | 37.37         | 22,197.78         | 2,430.53               | LT              |                                 |
| 2,292         |                          |        | 01/26/10      | 75,477.62         | 32.93         | 37.37         | 85,652.04         | 10,174.42              | LT              |                                 |
| <b>7,268</b>  |                          |        |               | <b>242,692.68</b> | <b>33.438</b> |               | <b>271,231.48</b> | <b>28,538.78</b>       | <b>.955</b>     | <b>2,591.11</b>                 |
| 7,057         | CISCO SYS INC            | CSCO   | 05/12/11      | 118,996.55        | 16.862        | 18.08         | 127,590.56        | 8,594.01               | ST              |                                 |
| 1,181         |                          |        | 05/13/11      | 19,913.79         | 16.861        | 18.08         | 21,352.48         | 1,438.69               | ST              |                                 |
| 1,263         |                          |        | 05/18/11      | 21,220.67         | 16.801        | 18.08         | 22,835.04         | 1,614.37               | ST              |                                 |
| 4,819         |                          |        | 09/08/11      | 78,045.63         | 16.195        | 18.08         | 87,127.52         | 9,081.89               | ST              |                                 |
| <b>14,320</b> |                          |        |               | <b>238,176.64</b> | <b>16.832</b> |               | <b>258,905.60</b> | <b>20,728.96</b>       | <b>1.327</b>    | <b>3,438.80</b>                 |
| 2,941.2       | CITIGROUP INC            | C      | 12/17/09      | 94,071.34         | 31.983        | 26.31         | 77,382.97         | (16,688.37)            | LT              |                                 |
| 1,284.9       |                          |        | 12/17/09      | 41,096.24         | 31.983        | 26.31         | 33,805.72         | (7,290.52)             | LT              |                                 |
| 1,324.5       |                          |        | 12/18/09      | 43,181.35         | 32.602        | 26.31         | 34,847.60         | (8,333.75)             | LT              |                                 |
| 1,202.4       |                          |        | 03/31/11      | 53,143.68         | 44.198        | 26.31         | 31,635.14         | (21,508.54)            | ST              |                                 |
| 694           |                          |        | 05/12/11      | 29,147.72         | 41.999        | 26.31         | 18,259.14         | (10,888.58)            | ST              |                                 |
| 230           |                          |        | 05/13/11      | 9,634.40          | 41.888        | 26.31         | 6,051.30          | (3,583.10)             | ST              |                                 |
| 1,000         |                          |        | 06/01/11      | 40,182.50         | 40.182        | 26.31         | 26,310.00         | (13,872.50)            | ST              |                                 |
| <b>8,677</b>  |                          |        |               | <b>310,457.23</b> | <b>35.779</b> |               | <b>228,291.87</b> | <b>(82,165.36)</b>     | <b>.152</b>     | <b>347.08</b>                   |
| 3,376         | GENERAL MOTORS COMPANY   | GM     | 11/22/10      | 115,507.81        | 34.214        | 20.27         | 68,431.52         | (47,076.29)            | LT              |                                 |
| 989           |                          |        | 11/23/10      | 33,326.93         | 33.697        | 20.27         | 20,047.03         | (13,279.90)            | LT              |                                 |
| 456           |                          |        | 02/03/11      | 16,215.22         | 35.559        | 20.27         | 9,243.12          | (6,972.10)             | ST              |                                 |
| 473           |                          |        | 02/15/11      | 17,034.57         | 36.013        | 20.27         | 9,587.71          | (7,446.86)             | ST              |                                 |
| 544           |                          |        | 02/22/11      | 19,400.13         | 35.662        | 20.27         | 11,026.88         | (8,373.25)             | ST              |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025492 00185001

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity      | Description                  | Symbol | Date<br>acquired | Cost              | Share<br>cost  | Current<br>price | Current<br>value  | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|---------------|------------------------------|--------|------------------|-------------------|----------------|------------------|-------------------|---------------------------|--------------------|------------------------------------|
| 119           | GENERAL MOTORS COMPANY       | GM     | 02/23/11         | \$ 4,223.89       | \$ 35.494      | \$ 20.27         | \$ 2,412.13       | (\$ 1,811.76)             | ST                 |                                    |
| <b>6,957</b>  |                              |        |                  | <b>205,708.55</b> | <b>34.532</b>  |                  | <b>120,748.39</b> | <b>(84,960.16)</b>        |                    |                                    |
| 5,047         | GENWORTH FINL INC            | GNW    | 04/28/06         | 168,781.27        | 33.441         | 6.55             | 33,057.85         | (135,723.42)              | LT                 |                                    |
| 4,300         | CLASS A                      |        | 12/20/07         | 105,690.13        | 24.579         | 6.55             | 28,165.00         | (77,525.13)               | LT                 |                                    |
| 3,650         |                              |        | 10/29/10         | 41,630.08         | 11.405         | 6.55             | 23,907.50         | (17,722.58)               | LT                 |                                    |
| 1,007         |                              |        | 11/01/10         | 11,590.57         | 11.51          | 6.55             | 6,595.85          | (4,994.72)                | LT                 |                                    |
| 725           |                              |        | 11/03/10         | 8,359.11          | 11.529         | 6.55             | 4,748.75          | (3,610.36)                | LT                 |                                    |
| 776           |                              |        | 11/15/10         | 8,996.01          | 11.592         | 6.55             | 5,082.80          | (3,913.21)                | LT                 |                                    |
| 1,029         |                              |        | 12/03/10         | 12,842.33         | 12.48          | 6.55             | 6,739.95          | (6,102.38)                | LT                 |                                    |
| 987           |                              |        | 12/06/10         | 12,259.23         | 12.42          | 6.55             | 6,464.85          | (5,794.38)                | LT                 |                                    |
| 1,241         |                              |        | 12/07/10         | 15,756.23         | 12.696         | 6.55             | 8,128.55          | (7,627.68)                | LT                 |                                    |
| 1,307         |                              |        | 12/08/10         | 17,025.11         | 13.026         | 6.55             | 8,560.85          | (8,464.26)                | LT                 |                                    |
| 314           |                              |        | 12/09/10         | 4,082.79          | 13.002         | 6.55             | 2,056.70          | (2,026.09)                | LT                 |                                    |
| <b>20,383</b> |                              |        |                  | <b>407,012.86</b> | <b>19.988</b>  |                  | <b>133,508.65</b> | <b>(273,504.21)</b>       |                    |                                    |
| 252           | GOLDMAN SACHS GROUP INC      | GS     | 08/25/10         | 36,364.78         | 144.304        | 90.43            | 22,788.36         | (13,576.42)               | LT                 |                                    |
| 358           |                              |        | 08/26/10         | 51,685.78         | 144.373        | 90.43            | 32,373.94         | (19,311.84)               | LT                 |                                    |
| 291           |                              |        | 03/17/11         | 44,994.74         | 154.621        | 90.43            | 26,315.13         | (18,679.61)               | ST                 |                                    |
| 131           |                              |        | 04/15/11         | 20,303.18         | 154.986        | 90.43            | 11,846.33         | (8,456.85)                | ST                 |                                    |
| 151           |                              |        | 04/29/11         | 22,624.80         | 149.833        | 90.43            | 13,654.93         | (8,969.87)                | ST                 |                                    |
| 92            |                              |        | 05/06/11         | 13,798.66         | 149.985        | 90.43            | 8,319.56          | (5,479.10)                | ST                 |                                    |
| 289           |                              |        | 05/23/11         | 39,519.33         | 136.745        | 90.43            | 26,134.27         | (13,385.06)               | ST                 |                                    |
| 382           |                              |        | 07/01/11         | 51,908.03         | 135.884        | 90.43            | 34,544.26         | (17,363.77)               | ST                 |                                    |
| <b>1,948</b>  |                              |        |                  | <b>281,199.30</b> | <b>144.501</b> |                  | <b>175,976.78</b> | <b>(105,222.52)</b>       | <b>1.548</b>       | <b>2,724.40</b>                    |
| 847           | HALLIBURTON CO HOLDINGS CO   | HAL    | 11/03/08         | 15,284.37         | 18.045         | 34.51            | 29,229.97         | 13,945.60                 | LT                 |                                    |
| 1,100         |                              |        | 11/06/08         | 19,760.40         | 17.964         | 34.51            | 37,961.00         | 18,200.60                 | LT                 |                                    |
| 600           |                              |        | 11/11/08         | 10,983.72         | 18.306         | 34.51            | 20,706.00         | 9,722.28                  | LT                 |                                    |
| <b>2,547</b>  |                              |        |                  | <b>46,028.49</b>  | <b>18.072</b>  |                  | <b>87,896.97</b>  | <b>41,868.48</b>          | <b>1.043</b>       | <b>916.92</b>                      |
| 232           | HARTFORD FINL SVCS GROUP INC | HIG    | 09/09/99         | 11,137.74         | 48.007         | 16.25            | 3,770.00          | (7,367.74)                | LT                 |                                    |
| 1,200         |                              |        | 01/17/01         | 71,025.00         | 59.187         | 16.25            | 19,500.00         | (51,525.00)               | LT                 |                                    |
| 1,000         |                              |        | 08/02/06         | 83,648.30         | 83.648         | 16.25            | 16,250.00         | (67,398.30)               | LT                 |                                    |
| 1,700         |                              |        | 08/03/06         | 140,697.95        | 82.763         | 16.25            | 27,625.00         | (113,072.95)              | LT                 |                                    |
| 1,600         |                              |        | 07/23/07         | 154,002.40        | 96.251         | 16.25            | 26,000.00         | (128,002.40)              | LT                 |                                    |
| 1,400         |                              |        | 05/22/08         | 98,770.00         | 70.55          | 16.25            | 22,750.00         | (76,020.00)               | LT                 |                                    |
| 337           |                              |        | 12/22/09         | 7,860.26          | 23.324         | 16.25            | 5,476.25          | (2,384.01)                | LT                 |                                    |
| 4,726         |                              |        | 09/22/11         | 74,949.16         | 15.858         | 16.25            | 76,797.50         | 1,848.34                  | ST                 |                                    |

# Reserved Client Statement

December 1 - December 31, 2011

Morgan Stanley  
Smith Barney

Ref 00025492 00185002

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity   | Description                  | Symbol | Date<br>acquired | Cost         | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|------------|------------------------------|--------|------------------|--------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 927        | HARTFORD FINL SVCS GROUP INC | HIG    | 11/15/11         | \$ 16,513.11 | \$ 17.813     | \$ 16.25         | \$ 15,063.75     | (\$ 1,449.36)             | ST                 |                                    |
| 1,312      |                              |        | 11/16/11         | 23,198.78    | 17.882        | 16.25            | 21,320.00        | (1,878.78)                | ST                 |                                    |
| 1,286      |                              |        | 11/17/11         | 22,199.96    | 17.262        | 16.25            | 20,897.50        | (1,302.46)                | ST                 |                                    |
| 15,720     |                              |        |                  | 704,002.88   | 44.784        |                  | 255,450.00       | (448,552.88)              | 2.481              | 8,288.00                           |
| 2,000      | HESS CORP                    | HES    | 04/30/07         | 114,342.20   | 57.171        | 56.80            | 113,600.00       | (742.20)                  | LT                 | 800.00                             |
| 2,404      | JPMORGAN CHASE & CO          | JPM    | 12/01/05         | 92,722.28    | 38.57         | 33.25            | 79,933.00        | (12,789.28)               | LT                 |                                    |
| 2,100      |                              |        | 09/26/08         | 86,199.33    | 41.047        | 33.25            | 69,825.00        | (16,374.33)               | LT                 |                                    |
| 4,504      |                              |        |                  | 178,921.81   | 39.725        |                  | 149,758.00       | (29,163.81)               | 3.007              | 4,504.00                           |
| 2,482      | LINCOLN NATIONAL CORP -IND-  | LNC    | 06/22/10         | 68,190.96    | 27.474        | 19.42            | 48,200.44        | (19,990.52)               | LT                 |                                    |
| 1,424      |                              |        | 06/23/10         | 38,352.31    | 26.932        | 19.42            | 27,654.08        | (10,698.23)               | LT                 |                                    |
| 1,420      |                              |        | 06/24/10         | 37,777.25    | 26.603        | 19.42            | 27,576.40        | (10,200.85)               | LT                 |                                    |
| 5,328      |                              |        |                  | 144,320.52   | 27.097        |                  | 103,430.92       | (40,889.60)               | 1.647              | 1,704.32                           |
| 1,400      | LOEWS CORP                   | L      | 11/13/07         | 62,916.00    | 44.94         | 37.65            | 52,710.00        | (10,206.00)               | LT                 |                                    |
| 546        |                              |        | 11/13/07         | 24,493.45    | 44.859        | 37.65            | 20,556.90        | (3,936.55)                | LT                 |                                    |
| 400        |                              |        | 10/10/08         | 9,687.56     | 24.218        | 37.65            | 15,060.00        | 5,372.44                  | LT                 |                                    |
| 900        |                              |        | 10/15/08         | 28,046.43    | 31.162        | 37.65            | 33,885.00        | 5,838.57                  | LT                 |                                    |
| 800        |                              |        | 10/16/08         | 23,920.32    | 29.90         | 37.65            | 30,120.00        | 6,199.68                  | LT                 |                                    |
| 1,300      |                              |        | 03/19/09         | 29,882.84    | 22.986        | 37.65            | 48,945.00        | 19,062.16                 | LT                 |                                    |
| 900        |                              |        | 03/20/09         | 20,629.44    | 22.921        | 37.65            | 33,885.00        | 13,255.56                 | LT                 |                                    |
| 6,248      |                              |        |                  | 199,578.04   | 31.953        |                  | 235,161.90       | 35,583.86                 | 884                | 1,581.50                           |
| 1,000      | MERCK & CO INC NEW           | MRK    | 02/27/09         | 24,544.10    | 24.544        | 37.70            | 37,700.00        | 13,155.90                 | LT                 |                                    |
| 949        |                              |        | 02/27/09         | 23,315.13    | 24.568        | 37.70            | 35,777.30        | 12,462.17                 | LT                 |                                    |
| 2,310      |                              |        | 10/26/09         | 74,049.13    | 32.055        | 37.70            | 87,087.00        | 13,037.87                 | LT                 |                                    |
| 4,259      |                              |        |                  | 121,908.36   | 28.624        |                  | 160,564.30       | 38,655.94                 | 4.458              | 7,155.12                           |
| 5,165      | METLIFE INC                  | MET    | 10/09/08         | 144,610.70   | 27.998        | 31.18            | 161,044.70       | 16,434.00                 | LT                 |                                    |
| 2,219      |                              |        | 12/03/09         | 76,000.75    | 34.25         | 31.18            | 69,188.42        | (6,812.33)                | LT                 |                                    |
| 626        |                              |        | 12/06/10         | 25,560.21    | 40.831        | 31.18            | 19,518.68        | (6,041.53)                | LT                 |                                    |
| 8,010      |                              |        |                  | 246,171.68   | 30.733        |                  | 249,751.80       | 3,580.14                  | 2.373              | 5,927.40                           |
| 2,527      | MICROSOFT CORP               | MSFT   | 12/09/05         | 70,048.44    | 27.72         | 25.96            | 65,600.92        | (4,447.52)                | LT                 |                                    |
| 3,200      |                              |        | 06/09/08         | 87,890.24    | 27.465        | 25.96            | 83,072.00        | (4,818.24)                | LT                 |                                    |
| 2,378      |                              |        | 08/15/11         | 60,537.22    | 25.457        | 25.96            | 61,732.88        | 1,195.66                  | ST                 |                                    |
| 8,105      |                              |        |                  | 218,475.90   | 26.958        |                  | 210,405.80       | (8,070.10)                | 3.081              | 6,484.00                           |
| 2,767 9276 | MOTOROLA SOLUTIONS INC       | MSI    | 02/05/09         | 41,948.91    | 15.156        | 46.29            | 128,127.37       | 86,178.46                 | LT                 |                                    |
| 556 9487   |                              |        | 01/29/10         | 13,918.12    | 24.992        | 46.29            | 25,781.16        | 11,863.04                 | LT                 |                                    |
| 208 1237   |                              |        | 02/01/10         | 5,319.13     | 25.559        | 46.29            | 9,634.05         | 4,314.92                  | LT                 |                                    |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025492 00185003

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity      | Description                   | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|---------------|-------------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 365           | MOTOROLA SOLUTIONS INC        | MSI    | 08/12/11      | \$ 14,607.99      | \$ 40.021     | \$ 46.29      | \$ 16,895.85      | \$ 2,287.86            | ST              |                                 |
| <b>3,898</b>  |                               |        |               | <b>75,794.15</b>  | <b>19.444</b> |               | <b>180,438.43</b> | <b>104,644.28</b>      |                 | <b>3,430.24</b>                 |
| 1,348         | NRG ENERGY INC NEW            | NRG    | 03/11/08      | 57,191.87         | 42.427        | 18.12         | 24,425.76         | (32,766.11)            | LT              |                                 |
| 800           |                               |        | 03/12/08      | 34,287.20         | 42.859        | 18.12         | 14,496.00         | (19,791.20)            | LT              |                                 |
| 800           |                               |        | 03/13/08      | 33,602.80         | 42.003        | 18.12         | 14,496.00         | (19,106.80)            | LT              |                                 |
| 500           |                               |        | 03/13/08      | 21,025.00         | 42.05         | 18.12         | 9,060.00          | (11,965.00)            | LT              |                                 |
| 1,900         |                               |        | 04/14/08      | 78,361.89         | 41.243        | 18.12         | 34,428.00         | (43,933.89)            | LT              |                                 |
| <b>5,348</b>  |                               |        |               | <b>224,468.76</b> | <b>41.972</b> |               | <b>96,905.76</b>  | <b>(127,563.00)</b>    |                 |                                 |
| 503           | NOBLE ENERGY INC              | NBL    | 12/01/05      | 18,942.98         | 37.66         | 94.39         | 47,478.17         | 28,535.19              | LT              |                                 |
| 2,000         |                               |        | 09/23/08      | 120,277.40        | 60.138        | 94.39         | 188,780.00        | 68,502.60              | LT              |                                 |
| <b>2,503</b>  |                               |        |               | <b>139,220.38</b> | <b>55.821</b> |               | <b>236,258.17</b> | <b>97,037.79</b>       |                 | <b>2,202.64</b>                 |
| 307           | OCCIDENTAL PETROLEUM CORP-DEL | OXY    | 04/12/10      | 26,603.03         | 86.654        | 93.70         | 28,765.90         | 2,162.87               | LT              |                                 |
| 893           |                               |        | 04/14/10      | 76,592.97         | 85.77         | 93.70         | 83,674.10         | 7,081.13               | LT              |                                 |
| 329           |                               |        | 04/15/10      | 28,181.48         | 85.658        | 93.70         | 30,827.30         | 2,645.82               | LT              |                                 |
| <b>1,529</b>  |                               |        |               | <b>131,377.48</b> | <b>85.924</b> |               | <b>143,267.30</b> | <b>11,889.82</b>       |                 | <b>2,813.36</b>                 |
| 213           | PACCAR INC -DEL-              | PCAR   | 09/07/11      | 7,771.31          | 36.485        | 37.47         | 7,981.11          | 209.80                 | ST              |                                 |
| 475           |                               |        | 09/08/11      | 17,373.98         | 36.576        | 37.47         | 17,798.25         | 424.27                 | ST              |                                 |
| 1,411         |                               |        | 09/09/11      | 50,077.24         | 35.49         | 37.47         | 52,870.17         | 2,792.93               | ST              |                                 |
| 431           |                               |        | 09/12/11      | 15,082.33         | 34.993        | 37.47         | 16,149.57         | 1,067.24               | ST              |                                 |
| 331           |                               |        | 09/28/11      | 11,604.33         | 35.058        | 37.47         | 12,402.57         | 798.24                 | ST              |                                 |
| 337           |                               |        | 09/29/11      | 11,922.02         | 35.376        | 37.47         | 12,627.39         | 705.37                 | ST              |                                 |
| 463           |                               |        | 09/30/11      | 15,991.05         | 34.537        | 37.47         | 17,348.61         | 1,357.56               | ST              |                                 |
| <b>3,661</b>  |                               |        |               | <b>129,822.26</b> | <b>35.461</b> |               | <b>137,177.67</b> | <b>7,355.41</b>        |                 | <b>2,635.92</b>                 |
| 4,256         | PFIZER INC                    | PFE    | 03/29/10      | 73,540.28         | 17.279        | 21.64         | 92,099.84         | 18,559.56              | LT              |                                 |
| 6,457         |                               |        | 04/14/10      | 110,093.14        | 17.05         | 21.64         | 139,729.48        | 29,636.34              | LT              |                                 |
| 3,461         |                               |        | 04/26/10      | 58,449.71         | 16.888        | 21.64         | 74,896.04         | 16,446.33              | LT              |                                 |
| 2,424         |                               |        | 12/22/10      | 42,344.61         | 17.468        | 21.64         | 52,455.36         | 10,110.75              | LT              |                                 |
| 2,835         |                               |        | 12/27/10      | 46,154.13         | 17.515        | 21.64         | 57,021.40         | 10,867.27              | LT              |                                 |
| 1,266         |                               |        | 12/28/10      | 22,359.46         | 17.661        | 21.64         | 27,396.24         | 5,036.78               | LT              |                                 |
| 539           |                               |        | 12/29/10      | 9,492.17          | 17.61         | 21.64         | 11,663.96         | 2,171.79               | LT              |                                 |
| <b>21,038</b> |                               |        |               | <b>362,433.50</b> | <b>17.228</b> |               | <b>455,262.32</b> | <b>92,828.82</b>       |                 | <b>18,513.44</b>                |
| 363           | PHILIP MORRIS INTL INC        | PM     | 12/08/05      | 13,803.36         | 38.025        | 78.48         | 28,488.24         | 14,684.88              | LT              |                                 |
| 1,400         |                               |        | 06/23/06      | 53,023.04         | 37.873        | 78.48         | 109,872.00        | 56,848.96              | LT              |                                 |
| <b>1,763</b>  |                               |        |               | <b>66,826.40</b>  | <b>37.905</b> |               | <b>138,360.24</b> | <b>71,533.84</b>       |                 | <b>5,430.04</b>                 |

Ref 00025492 00185004

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options continued

| Quantity      | Description              | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated income (annualized) |
|---------------|--------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 570           | PITNEY BOWES INC         | PBI    | 12/09/05      | \$ 23,876.84      | \$ 41.889     | \$ 18.54      | \$ 10,567.80      | (\$ 13,309.04) LT      |                 |                                 |
| 500           |                          |        | 11/19/07      | 19,168.40         | 38.336        | 18.54         | 9,270.00          | (9,898.40) LT          |                 |                                 |
| 200           |                          |        | 11/19/07      | 7,672.76          | 38.363        | 18.54         | 3,708.00          | (3,964.76) LT          |                 |                                 |
| 3,400         |                          |        | 01/07/08      | 125,547.72        | 36.925        | 18.54         | 63,036.00         | (62,511.72) LT         |                 |                                 |
| <b>4,670</b>  |                          |        |               | <b>176,265.72</b> | <b>37.744</b> |               | <b>86,581.80</b>  | <b>(89,683.92)</b>     | <b>7.982</b>    | <b>6,911.60</b>                 |
| 1,018         | RAYTHEON COMPANY NEW     | RTN    | 08/28/03      | 32,552.79         | 31.977        | 48.38         | 49,250.84         | 16,698.05 LT           |                 |                                 |
| 1,700         |                          |        | 10/13/03      | 46,882.26         | 27.577        | 48.38         | 82,246.00         | 35,363.74 LT           |                 |                                 |
| 692           |                          |        | 08/16/11      | 28,418.71         | 41.067        | 48.38         | 33,478.96         | 5,060.25 ST            |                 |                                 |
| 304           |                          |        | 08/17/11      | 12,576.36         | 41.369        | 48.38         | 14,707.52         | 2,131.16 ST            |                 |                                 |
| 247           |                          |        | 08/18/11      | 9,906.03          | 40.105        | 48.38         | 11,949.86         | 2,043.83 ST            |                 |                                 |
| 46            |                          |        | 08/19/11      | 1,831.83          | 39.822        | 48.38         | 2,225.48          | 393.65 ST              |                 |                                 |
| <b>4,007</b>  |                          |        |               | <b>132,187.98</b> | <b>32.984</b> |               | <b>193,868.86</b> | <b>61,680.88</b>       | <b>3.655</b>    | <b>6,892.04</b>                 |
| 6,700         | SANOFI SPONS ADR         | SNY    | 08/13/07      | 271,604.60        | 40.538        | 36.54         | 244,818.00        | (26,786.60) LT         |                 |                                 |
| 773           |                          |        | 05/04/10      | 25,670.40         | 33.208        | 36.54         | 28,245.42         | 2,575.02 LT            |                 |                                 |
| 1,136         |                          |        | 05/05/10      | 37,247.74         | 32.788        | 36.54         | 41,509.44         | 4,261.70 LT            |                 |                                 |
| 647           |                          |        | 11/29/10      | 20,028.34         | 30.955        | 36.54         | 23,641.38         | 3,613.04 LT            |                 |                                 |
| 1,234         |                          |        | 11/30/10      | 37,655.88         | 30.515        | 36.54         | 45,090.36         | 7,434.48 LT            |                 |                                 |
| 372           |                          |        | 12/01/10      | 11,663.69         | 31.354        | 36.54         | 13,592.88         | 1,929.19 LT            |                 |                                 |
| <b>10,882</b> |                          |        |               | <b>403,870.65</b> | <b>37.182</b> |               | <b>396,897.48</b> | <b>(6,973.17)</b>      | <b>3.62</b>     | <b>14,370.43</b>                |
| 3,800         | TALISMAN ENERGY INC      | TLM    | 04/22/08      | 80,674.00         | 21.23         | 12.75         | 48,450.00         | (32,224.00) LT         |                 |                                 |
| 1,500         |                          |        | 04/22/08      | 31,883.70         | 21.255        | 12.75         | 19,125.00         | (12,758.70) LT         |                 |                                 |
| 2,300         |                          |        | 07/23/08      | 42,206.84         | 18.35         | 12.75         | 29,325.00         | (12,881.84) LT         |                 |                                 |
| 1,300         |                          |        | 07/23/08      | 23,972.52         | 18.44         | 12.75         | 16,575.00         | (7,397.52) LT          |                 |                                 |
| 1,396         |                          |        | 06/29/11      | 27,656.30         | 19.811        | 12.75         | 17,799.00         | (9,857.30) ST          |                 |                                 |
| 734           |                          |        | 06/30/11      | 14,930.00         | 20.34         | 12.75         | 9,358.50          | (5,571.50) ST          |                 |                                 |
| 2,755         |                          |        | 07/29/11      | 50,927.55         | 18.485        | 12.75         | 35,126.25         | (15,801.30) ST         |                 |                                 |
| 1,121         |                          |        | 10/20/11      | 15,009.07         | 13.389        | 12.75         | 14,292.75         | (716.32) ST            |                 |                                 |
| 1,229         |                          |        | 10/21/11      | 17,038.12         | 13.863        | 12.75         | 15,669.75         | (1,368.37) ST          |                 |                                 |
| 3,268         |                          |        | 11/09/11      | 43,952.31         | 13.449        | 12.75         | 41,667.00         | (2,285.31) ST          |                 |                                 |
| 601           |                          |        | 11/10/11      | 8,152.02          | 13.564        | 12.75         | 7,662.75          | (489.27) ST            |                 |                                 |
| <b>20,004</b> |                          |        |               | <b>356,402.43</b> | <b>17.817</b> |               | <b>255,051.00</b> | <b>(101,351.43)</b>    | <b>2.117</b>    | <b>5,401.08</b>                 |
| 731           | TEVA PHARMACEUTICAL INDS | TEVA   | 06/07/11      | 36,306.87         | 49.667        | 40.36         | 29,503.16         | (6,803.71) ST          |                 |                                 |
| 1,347         | LTD ADR                  |        | 06/08/11      | 66,983.21         | 49.727        | 40.36         | 54,364.92         | (12,618.29) ST         |                 |                                 |
| 753           |                          |        | 06/21/11      | 35,804.17         | 47.548        | 40.36         | 30,391.08         | (5,413.09) ST          |                 |                                 |
| 391           |                          |        | 06/22/11      | 18,627.59         | 47.64         | 40.36         | 15,780.76         | (2,846.83) ST          |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025492 00185005

Account number 593-04373-13 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity                        | Description                      | Symbol | Date acquired | Cost                   | Share cost    | Current price | Current value          | Unrealized gain/(loss)    | Average % yield | Anticipated Income (annualized) |
|---------------------------------|----------------------------------|--------|---------------|------------------------|---------------|---------------|------------------------|---------------------------|-----------------|---------------------------------|
| 239                             | TEVA PHARMACEUTICAL INDS LTD ADR | TEVA   | 06/23/11      | \$ 11,291.99           | \$ 47.246     | \$ 40.36      | \$ 9,646.04            | (\$ 1,645.95) ST          |                 |                                 |
| 1,451                           |                                  |        | 09/20/11      | 53,490.82              | 36.864        | 40.36         | 58,562.36              | 5,071.54 ST               |                 |                                 |
| <b>4,912</b>                    |                                  |        |               | <b>222,504.85</b>      | <b>45.298</b> |               | <b>198,248.32</b>      | <b>(24,256.53)</b>        | <b>1.944</b>    | <b>3,855.92</b>                 |
| 2,883                           | TIME WARNER INC                  | TWX    | 10/13/10      | 90,936.16              | 31.542        | 36.14         | 104,191.62             | 13,255.46 LT              |                 |                                 |
| 1,811                           |                                  |        | 10/14/10      | 56,727.22              | 31.323        | 36.14         | 65,449.54              | 8,722.32 LT               |                 |                                 |
| 945                             |                                  |        | 12/21/10      | 30,067.92              | 31.817        | 36.14         | 34,152.30              | 4,084.38 LT               |                 |                                 |
| 955                             |                                  |        | 12/22/10      | 30,529.06              | 31.967        | 36.14         | 34,513.70              | 3,984.64 LT               |                 |                                 |
| <b>6,594</b>                    |                                  |        |               | <b>208,260.36</b>      | <b>31.583</b> |               | <b>238,307.16</b>      | <b>30,046.80</b>          | <b>2.60</b>     | <b>8,198.36</b>                 |
| 2,088                           | UNION PACIFIC CORP               | UNP    | 03/17/04      | 64,729.04              | 31.00         | 105.94        | 221,202.72             | 156,473.68 LT             | 2.285           | 5,011.20                        |
| 6,470                           | UNUM GROUP                       | UNM    | 05/20/10      | 138,251.61             | 21.368        | 21.07         | 136,322.90             | (1,928.71) LT             |                 |                                 |
| 963                             |                                  |        | 09/13/10      | 21,325.83              | 22.145        | 21.07         | 20,290.41              | (1,035.42) LT             |                 |                                 |
| 3,229                           |                                  |        | 09/14/10      | 71,664.10              | 22.193        | 21.07         | 68,035.03              | (3,629.07) LT             |                 |                                 |
| <b>10,662</b>                   |                                  |        |               | <b>231,241.54</b>      | <b>21.888</b> |               | <b>224,648.34</b>      | <b>(6,593.20)</b>         | <b>1.993</b>    | <b>4,478.04</b>                 |
| 2,448                           | VIACOM INC NEW CLASS B           | VIAB   | 12/01/05      | 99,903.17              | 40.81         | 45.41         | 111,163.68             | 11,260.51 LT              |                 |                                 |
| 2,750                           |                                  |        | 01/06/06      | 117,287.23             | 42.649        | 45.41         | 124,877.50             | 7,590.27 LT               |                 |                                 |
| 4,000                           |                                  |        | 03/09/06      | 152,657.60             | 38.164        | 45.41         | 181,640.00             | 28,982.40 LT              |                 |                                 |
| <b>9,198</b>                    |                                  |        |               | <b>389,848.00</b>      | <b>40.21</b>  |               | <b>417,881.18</b>      | <b>47,833.18</b>          | <b>2.202</b>    | <b>9,198.00</b>                 |
| 3,118                           | WELLS FARGO & CO NEW             | WFC    | 02/10/92      | 14,177.16              | 4.546         | 27.56         | 85,932.08              | 71,754.92 LT              |                 |                                 |
| 5,000                           |                                  |        | 12/09/05      | 157,359.00             | 31.471        | 27.56         | 137,800.00             | (19,559.00) LT            |                 |                                 |
| 1,455                           |                                  |        | 05/02/11      | 42,350.83              | 29.107        | 27.56         | 40,099.80              | (2,251.03) ST             |                 |                                 |
| <b>9,573</b>                    |                                  |        |               | <b>213,886.99</b>      | <b>22.343</b> |               | <b>263,831.88</b>      | <b>49,944.89</b>          | <b>1.741</b>    | <b>4,595.04</b>                 |
| Total common stocks and options |                                  |        |               | <b>\$ 8,881,957.65</b> |               |               | <b>\$ 8,725,820.04</b> | <b>(\$ 157,134.80) ST</b> | <b>1.94</b>     |                                 |
| Total portfolio value           |                                  |        |               | <b>\$ 9,382,362.49</b> |               |               | <b>\$ 9,226,024.88</b> | <b>(\$ 157,134.80) ST</b> | <b>1.84</b>     | <b>\$ 169,839.96</b>            |
|                                 |                                  |        |               |                        |               |               |                        | <b>\$ 796.99 LT</b>       |                 | <b>\$ 169,789.97</b>            |

R The basis for this tax lot has been adjusted due to a reclassification of income

## TRANSACTION DETAILS All transactions appearing are based on trade date

| Investment activity Date | Activity    | Description                                 | Quantity | Price      | Amount      |
|--------------------------|-------------|---------------------------------------------|----------|------------|-------------|
| 11/28/11                 | Sold        | MOTOROLA SOLUTIONS INC TRADE AS OF 11/28/11 | -190     | \$ 45.1933 | \$ 8,586.56 |
| 11/28/11                 | Cancel sell | MOTOROLA SOLUTIONS INC TO CXL PREVIOUS SELL | 190      | 45.1933    | -8,587.70   |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025768 00186953

Account number 593-07116-18 507

HARDEN FOUNDATION

## SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

Rating  
FL

Rating

Clearbridge - Opportunity Portfolios

## PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold. Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares        | Description                          | Current value       | Accrued dividends | Annualized % dividend yield | Anticipated Income (annualized) |
|-------------------------|--------------------------------------|---------------------|-------------------|-----------------------------|---------------------------------|
| 95,889.08               | MORGAN STANLEY LIQUID ASSET FUND INC | \$ 95,889.08        | \$ 0.00           | .01%                        | \$ 9.58                         |
| <b>Total money fund</b> |                                      | <b>\$ 95,889.08</b> | <b>\$ 0.00</b>    |                             | <b>\$ 9.58</b>                  |

## Common stocks & options

| Quantity | Description       | Symbol | Date acquired | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|-------------------|--------|---------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 91       | KOSMOS ENERGY LTD | KOS    | 07/19/11      | \$ 16.041  | \$ 12.26      | \$ 1,115.66   | (\$ 344.09)            | ST              |                                 |
| 119      |                   |        | 07/20/11      | 16.163     | 12.26         | 1,458.94      | (484.47)               | ST              |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025768 00186954

Account number 593-07116-18 507

## HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                    | Symbol | Date acquired | Cost        | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|--------------------------------|--------|---------------|-------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 205      | KOSMOS ENERGY LTD              | KOS    | 08/01/11      | \$ 3,124.36 | \$ 15.24   | \$ 12.26      | \$ 2,513.30   | (\$ 611.06)            | ST              |                                 |
| 265      |                                |        | 08/05/11      | 3,460.85    | 13.059     | 12.26         | 3,248.90      | (211.95)               | ST              |                                 |
| 250      |                                |        | 08/08/11      | 3,097.20    | 12.388     | 12.26         | 3,065.00      | (32.20)                | ST              |                                 |
| 210      |                                |        | 08/09/11      | 2,579.33    | 12.282     | 12.26         | 2,574.60      | (4.73)                 | ST              |                                 |
| 219      |                                |        | 08/11/11      | 2,628.00    | 12.00      | 12.26         | 2,684.94      | 56.94                  | ST              |                                 |
| 161      |                                |        | 08/15/11      | 2,006.82    | 12.464     | 12.26         | 1,973.86      | (32.96)                | ST              |                                 |
| 590      |                                |        | 08/18/11      | 7,366.03    | 12.484     | 12.26         | 7,233.40      | (132.63)               | ST              |                                 |
| 2,110    |                                |        |               | 27,645.75   | 13.102     |               | 25,868.60     | (1,777.15)             |                 |                                 |
| 355      | COMPUGEN LTD                   | CGEN   | 09/14/10      | 1,459.90    | 4.112      | 4.95          | 1,757.25      | 297.35                 | LT              |                                 |
| 606      | Exchange rate: .2618143        |        | 11/09/10      | 2,720.46    | 4.489      | 4.95          | 2,999.70      | 279.24                 | LT              |                                 |
| 587      | Shares traded in               |        | 11/09/10      | 2,629.64    | 4.479      | 4.95          | 2,905.65      | 276.01                 | LT              |                                 |
| 527      | Israeli shekels                |        | 02/03/11      | 2,845.80    | 5.40       | 4.95          | 2,608.65      | (237.15)               | ST              |                                 |
| 845      |                                |        | 03/11/11      | 4,224.49    | 4.999      | 4.95          | 4,182.75      | (41.74)                | ST              |                                 |
| 3,960    |                                |        | 07/21/11      | 15,840.00   | 4.00       | 4.95          | 19,602.00     | 3,762.00               | ST              |                                 |
| 6,880    |                                |        |               | 29,720.29   | 4.32       |               | 34,058.00     | 4,335.71               |                 |                                 |
| 270      | DIANA SHIPPING INC             | DSX    | 04/21/10      | 4,074.06    | 15.089     | 7.48          | 2,019.60      | (2,054.46)             | LT              |                                 |
| 1,750    |                                |        | 04/27/10      | 27,821.85   | 15.898     | 7.48          | 13,090.00     | (14,731.85)            | LT              |                                 |
| 695      |                                |        | 05/18/10      | 9,513.09    | 13.687     | 7.48          | 5,198.60      | (4,314.49)             | LT              |                                 |
| 177      |                                |        | 01/21/11      | 2,113.98    | 11.943     | 7.48          | 1,323.96      | (790.02)               | ST              |                                 |
| 528      |                                |        | 03/10/11      | 6,503.43    | 12.317     | 7.48          | 3,949.44      | (2,553.99)             | ST              |                                 |
| 340      |                                |        | 04/05/11      | 4,058.51    | 11.936     | 7.48          | 2,543.20      | (1,515.31)             | ST              |                                 |
| 660      |                                |        | 08/12/11      | 5,332.34    | 8.079      | 7.48          | 4,936.80      | (395.54)               | ST              |                                 |
| 4,420    |                                |        |               | 59,417.26   | 13.443     |               | 33,061.80     | (26,355.66)            |                 |                                 |
| 3,510    | APPLIED MATERIALS INC DELAWARE | AMAT   | 03/23/10      | 46,056.47   | 13.121     | 10.71         | 37,592.10     | (8,464.37)             | LT              | 1,123.20                        |
| 178      | ARTIO GLOBAL INVESTORS INC     | ART    | 03/25/10      | 4,224.62    | 23.733     | 4.88          | 868.64        | (3,355.98)             | LT              |                                 |
| 90       |                                |        | 04/01/10      | 2,275.61    | 25.284     | 4.88          | 439.20        | (1,836.41)             | LT              |                                 |
| 260      |                                |        | 04/13/10      | 6,276.50    | 24.14      | 4.88          | 1,268.80      | (5,007.70)             | LT              |                                 |
| 210      |                                |        | 06/01/10      | 3,884.87    | 18.499     | 4.88          | 1,024.80      | (2,860.07)             | LT              |                                 |
| 425      |                                |        | 06/04/10      | 7,267.50    | 17.10      | 4.88          | 2,074.00      | (5,193.50)             | LT              |                                 |
| 310      |                                |        | 06/14/10      | 5,446.73    | 17.57      | 4.88          | 1,512.80      | (3,933.93)             | LT              |                                 |
| 510      |                                |        | 09/22/10      | 7,649.29    | 14.998     | 4.88          | 2,488.80      | (5,160.49)             | LT              |                                 |
| 148      |                                |        | 09/30/10      | 2,256.60    | 15.247     | 4.88          | 722.24        | (1,534.36)             | LT              |                                 |
| 115      |                                |        | 10/01/10      | 1,748.08    | 15.20      | 4.88          | 561.20        | (1,186.88)             | LT              |                                 |
| 402      |                                |        | 10/04/10      | 6,122.86    | 15.231     | 4.88          | 1,961.76      | (4,161.10)             | LT              |                                 |
| 2,648    |                                |        |               | 47,152.66   | 17.807     |               | 12,922.24     | (34,230.42)            |                 | 635.52                          |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025768 00186955

Account number 593-07116-18 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                  | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|------------------------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 590      | BHP BILLITON LTD SPONS ADR   | BHP    | 12/11/09      | \$ 43,481.82 | \$ 73.698  | \$ 70.63      | \$ 41,671.70  | (\$ 1,810.12) LT       | 2.859 %         | \$ 1,191.80                     |
| 1,300    | CALLAWAY GOLF COMPANY        | ELY    | 12/11/09      | 9,578.40     | 7.388      | 5.53          | 7,189.00      | (2,389.40) LT          | .723            | 52.00                           |
| 185      | CARNIVAL CORP (PAIRED STOCK) | CCL    | 09/06/11      | 5,604.11     | 30.292     | 32.64         | 6,038.40      | 434.29 ST              |                 |                                 |
| 60       |                              |        | 10/04/11      | 1,796.22     | 29.937     | 32.64         | 1,958.40      | 162.18 ST              |                 |                                 |
| 70       |                              |        | 11/02/11      | 2,372.95     | 33.899     | 32.64         | 2,284.80      | (88.15) ST             |                 |                                 |
| 315      |                              |        |               | 9,773.28     | 31.026     |               | 10,281.60     | 508.32                 | 3.063           | 315.00                          |
| 470      | CODEXIS INC                  | CDXS   | 10/03/11      | 2,115.00     | 4.50       | 5.30          | 2,491.00      | 376.00 ST              |                 |                                 |
| 65       |                              |        | 10/04/11      | 288.34       | 4.436      | 5.30          | 344.50        | 56.16 ST               |                 |                                 |
| 535      |                              |        |               | 2,403.34     | 4.492      |               | 2,835.50      | 432.16                 |                 |                                 |
| 1,130    | CORNING INC                  | GLW    | 12/27/11      | 14,972.27    | 13.249     | 12.98         | 14,667.40     | (304.87) ST            |                 |                                 |
| 230      |                              |        | 12/30/11      | 2,989.98     | 12.999     | 12.98         | 2,985.40      | (4.58) ST              |                 |                                 |
| 1,380    |                              |        |               | 17,962.25    | 13.208     |               | 17,652.80     | (309.45)               | 2.311           | 408.00                          |
| 135      | EMCOR GROUP INC              | EME    | 08/30/10      | 3,051.00     | 22.60      | 26.81         | 3,619.35      | 568.35 LT              |                 |                                 |
| 125      |                              |        | 09/01/11      | 2,852.13     | 22.817     | 26.81         | 3,351.25      | 499.12 ST              |                 |                                 |
| 140      |                              |        | 09/07/11      | 3,036.54     | 21.689     | 26.81         | 3,753.40      | 716.86 ST              |                 |                                 |
| 400      |                              |        |               | 8,939.67     | 22.349     |               | 10,724.00     | 1,784.33               | .745            | 80.00                           |
| 1,305    | ENERGYSOLUTIONS INC          | ES     | 03/03/10      | 7,503.10     | 5.749      | 3.09          | 4,032.45      | (3,470.65) LT          |                 |                                 |
| 1,255    |                              |        | 03/05/10      | 7,457.71     | 5.942      | 3.09          | 3,877.95      | (3,579.76) LT          |                 |                                 |
| 445      |                              |        | 03/10/10      | 2,620.38     | 5.888      | 3.09          | 1,375.05      | (1,245.33) LT          |                 |                                 |
| 875      |                              |        | 03/11/10      | 5,462.01     | 6.242      | 3.09          | 2,703.75      | (2,758.26) LT          |                 |                                 |
| 765      |                              |        | 04/19/10      | 5,262.13     | 6.878      | 3.09          | 2,363.85      | (2,898.28) LT          |                 |                                 |
| 610      |                              |        | 05/21/10      | 3,964.76     | 6.499      | 3.09          | 1,894.90      | (2,079.86) LT          |                 |                                 |
| 955      |                              |        | 07/09/10      | 4,870.50     | 5.10       | 3.09          | 2,950.95      | (1,919.55) LT          |                 |                                 |
| 1,080    |                              |        | 06/06/11      | 5,109.16     | 4.73       | 3.09          | 3,337.20      | (1,771.96) ST          |                 |                                 |
| 1,420    |                              |        | 08/09/11      | 4,955.80     | 3.49       | 3.09          | 4,387.80      | (568.00) ST            |                 |                                 |
| 1,040    |                              |        | 08/10/11      | 3,845.50     | 3.697      | 3.09          | 3,213.60      | (631.90) ST            |                 |                                 |
| 9,750    |                              |        |               | 51,051.05    | 5.236      |               | 30,127.50     | (20,923.55)            |                 |                                 |
| 1,567    | ENZO BIOCHEM INC             | ENZ    | 05/06/10      | 8,597.66     | 5.486      | 2.24          | 3,510.08      | (5,087.58) LT          |                 |                                 |
| 1,517    |                              |        | 05/07/10      | 8,221.99     | 5.419      | 2.24          | 3,398.08      | (4,823.91) LT          |                 |                                 |
| 2,129    |                              |        | 05/20/10      | 11,493.62    | 5.398      | 2.24          | 4,768.96      | (6,724.66) LT          |                 |                                 |
| 55       |                              |        | 05/21/10      | 289.22       | 5.258      | 2.24          | 123.20        | (166.02) LT            |                 |                                 |
| 1,917    |                              |        | 06/24/10      | 8,287.19     | 4.323      | 2.24          | 4,294.08      | (3,993.11) LT          |                 |                                 |
| 7,185    |                              |        |               | 36,889.68    | 5.134      |               | 16,094.40     | (20,795.28)            |                 |                                 |

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MorganStanley  
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HARDEN FOUNDATION

## Common stocks & options *continued*

| Quantity | Description                | Symbol | Date acquired | Cost        | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|----------------------------|--------|---------------|-------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 376      | FERRO CORP                 | FOE    | 12/22/11      | \$ 1,880.00 | \$ 5.00    | \$ 4.89       | \$ 1,838.64   | (\$ 41.36) ST          |                 |                                 |
| 99       |                            |        | 12/23/11      | 495.00      | 5.00       | 4.89          | 484.11        | (10.89) ST             |                 |                                 |
| 475      |                            |        |               | 2,375.00    | 5.00       |               | 2,322.75      | (52.25)                |                 |                                 |
| 1,100    | FLUOR CORP NEW             | FLR    | 12/11/09      | 43,778.68   | 39.798     | 50.25         | 55,275.00     | 11,496.32 LT           | .995            | 550.00                          |
| 285      | FORD MOTOR COMPANY         | F      | 08/05/11      | 3,058.51    | 10.731     | 10.76         | 3,066.60      | 8.09 ST                |                 |                                 |
| 775      |                            |        | 08/11/11      | 8,285.06    | 10.69      | 10.76         | 8,339.00      | 53.94 ST               |                 |                                 |
| 250      |                            |        | 08/15/11      | 2,809.53    | 11.238     | 10.76         | 2,690.00      | (119.53) ST            |                 |                                 |
| 990      |                            |        | 10/14/11      | 11,371.64   | 11.486     | 10.76         | 10,652.40     | (719.24) ST            |                 |                                 |
| 2,300    |                            |        |               | 25,524.74   | 11.098     |               | 24,748.00     | (776.74)               | 1.868           | 480.00                          |
| 65       | GREENHILL & CO INC         | GHL    | 07/15/11      | 3,339.12    | 51.371     | 36.37         | 2,364.05      | (975.07) ST            |                 |                                 |
| 80       |                            |        | 07/19/11      | 3,679.90    | 45.998     | 36.37         | 2,909.60      | (770.30) ST            |                 |                                 |
| 90       |                            |        | 08/03/11      | 3,778.17    | 41.979     | 36.37         | 3,273.30      | (504.87) ST            |                 |                                 |
| 65       |                            |        | 08/05/11      | 2,620.96    | 40.322     | 36.37         | 2,364.05      | (256.91) ST            |                 |                                 |
| 110      |                            |        | 08/08/11      | 4,020.85    | 36.553     | 36.37         | 4,000.70      | (20.15) ST             |                 |                                 |
| 195      |                            |        | 08/12/11      | 7,589.93    | 38.922     | 36.37         | 7,092.15      | (497.78) ST            |                 |                                 |
| 85       |                            |        | 08/15/11      | 3,263.42    | 38.393     | 36.37         | 3,091.45      | (171.97) ST            |                 |                                 |
| 95       |                            |        | 09/22/11      | 2,638.18    | 27.77      | 36.37         | 3,455.15      | 816.97 ST              |                 |                                 |
| 785      |                            |        |               | 30,930.53   | 39.402     |               | 28,550.45     | (2,380.08)             | 4.949           | 1,413.00                        |
| 645      | HALLIBURTON CO HOLDINGS CO | HAL    | 12/11/09      | 18,078.51   | 28.028     | 34.51         | 22,258.95     | 4,180.44 LT            |                 |                                 |
| 110      |                            |        | 10/17/11      | 3,825.26    | 34.775     | 34.51         | 3,796.10      | (29.16) ST             |                 |                                 |
| 755      |                            |        |               | 21,903.77   | 29.012     |               | 28,055.05     | 4,151.28               | 1.043           | 271.80                          |
| 790      | HESS CORP                  | HES    | 12/11/09      | 43,828.28   | 55.476     | 56.80         | 44,872.00     | 1,043.72 LT            | .704            | 318.00                          |
| 255      | INTERDIGITAL INC           | IDCC   | 06/17/11      | 8,994.90    | 35.234     | 43.57         | 11,110.35     | 2,125.45 ST            |                 |                                 |
| 130      |                            |        | 07/06/11      | 5,850.00    | 45.00      | 43.57         | 5,664.10      | (185.90) ST            |                 |                                 |
| 110      |                            |        | 07/11/11      | 4,949.69    | 44.997     | 43.57         | 4,792.70      | (156.99) ST            |                 |                                 |
| 495      |                            |        |               | 19,784.59   | 39.969     |               | 21,567.15     | 1,782.56               | .918            | 188.00                          |
| 480      | JPMORGAN CHASE & CO        | JPM    | 08/09/11      | 16,886.93   | 35.181     | 33.25         | 15,960.00     | (926.93) ST            |                 |                                 |
| 305      |                            |        | 08/11/11      | 10,980.15   | 36.00      | 33.25         | 10,141.25     | (838.90) ST            |                 |                                 |
| 785      |                            |        |               | 27,867.08   | 35.499     |               | 26,101.25     | (1,765.83)             | 3.007           | 785.00                          |
| 50       | JONES LANG LASALLE INC     | JLL    | 09/29/11      | 2,740.72    | 54.814     | 61.26         | 3,063.00      | 322.28 ST              |                 |                                 |
| 50       |                            |        | 10/04/11      | 2,439.10    | 48.782     | 61.26         | 3,063.00      | 623.90 ST              |                 |                                 |
| 125      |                            |        | 10/10/11      | 6,884.15    | 55.073     | 61.26         | 7,657.50      | 773.35 ST              |                 |                                 |
| 140      |                            |        | 10/12/11      | 8,076.05    | 57.686     | 61.26         | 8,576.40      | 500.35 ST              |                 |                                 |
| 365      |                            |        |               | 20,140.02   | 55.178     |               | 22,369.90     | 2,219.88               | .489            | 109.50                          |

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Account number 593-07116-18 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description                    | Symbol | Date acquired | Cost             | Share cost    | Current price | Current value    | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|--------------------------------|--------|---------------|------------------|---------------|---------------|------------------|------------------------|-----------------|---------------------------------|
| 2,000        | KEYCORP -NEW                   | KEY    | 12/11/09      | \$ 12,168.80     | \$ 6.084      | \$ 7.69       | \$ 15,380.00     | \$ 3,211.20 LT         |                 |                                 |
| 1,435        |                                |        | 02/17/10      | 9,901.36         | 6.899         | 7.69          | 11,035.15        | 1,133.79 LT            |                 |                                 |
| <b>3,435</b> |                                |        |               | <b>22,070.16</b> | <b>6.425</b>  |               | <b>26,415.15</b> | <b>4,344.99</b>        | <b>1.58</b>     | <b>412.20</b>                   |
| 240          | MORGAN STANLEY                 | MS     | 12/11/09      | 7,143.84         | 29.766        | 15.13         | 3,631.20         | (3,512.64) LT          |                 |                                 |
| 140          |                                |        | 12/31/09      | 4,154.43         | 29.674        | 15.13         | 2,118.20         | (2,036.23) LT          |                 |                                 |
| 120          |                                |        | 01/13/10      | 3,788.81         | 31.573        | 15.13         | 1,815.60         | (1,973.21) LT          |                 |                                 |
| 285          |                                |        | 01/21/10      | 8,442.13         | 29.621        | 15.13         | 4,312.05         | (4,130.08) LT          |                 |                                 |
| 265          |                                |        | 01/21/10      | 7,849.70         | 29.621        | 15.13         | 4,009.45         | (3,840.25) LT          |                 |                                 |
| 295          |                                |        | 02/17/10      | 8,140.23         | 27.594        | 15.13         | 4,463.35         | (3,676.88) LT          |                 |                                 |
| 200          |                                |        | 04/09/10      | 6,187.94         | 30.939        | 15.13         | 3,026.00         | (3,161.94) LT          |                 |                                 |
| 235          |                                |        | 04/04/11      | 6,396.91         | 27.22         | 15.13         | 3,555.55         | (2,841.36) ST          |                 |                                 |
| 320          |                                |        | 08/11/11      | 5,651.23         | 17.66         | 15.13         | 4,841.60         | (809.63) ST            |                 |                                 |
| <b>2,100</b> |                                |        |               | <b>57,755.22</b> | <b>27.502</b> |               | <b>31,773.00</b> | <b>(25,982.22)</b>     | <b>1.321</b>    | <b>420.00</b>                   |
| 50           | MURPHY OIL CORP                | MUR    | 05/06/11      | 3,537.50         | 70.75         | 55.74         | 2,787.00         | (750.50) ST            |                 |                                 |
| 65           |                                |        | 08/05/11      | 3,550.46         | 54.622        | 55.74         | 3,623.10         | 72.64 ST               |                 |                                 |
| 215          |                                |        | 08/11/11      | 11,075.10        | 51.512        | 55.74         | 11,984.10        | 909.00 ST              |                 |                                 |
| 45           |                                |        | 10/07/11      | 2,121.75         | 47.15         | 55.74         | 2,508.30         | 386.55 ST              |                 |                                 |
| <b>375</b>   |                                |        |               | <b>20,284.81</b> | <b>54.093</b> |               | <b>20,902.50</b> | <b>617.69</b>          | <b>1.973</b>    | <b>412.50</b>                   |
| 420          | NATIONAL OILWELL VARCO INC     | NOV    | 12/11/09      | 18,390.54        | 43.787        | 67.99         | 28,555.80        | 10,165.26 LT           |                 |                                 |
| 345          |                                |        | 06/01/10      | 12,020.11        | 34.84         | 67.99         | 23,456.55        | 11,436.44 LT           |                 |                                 |
| <b>765</b>   |                                |        |               | <b>30,410.65</b> | <b>39.752</b> |               | <b>52,012.35</b> | <b>21,601.70</b>       | <b>.705</b>     | <b>387.20</b>                   |
| 12,400       | NETWORK EQUIPMENT TECHNOLOGIES | NWK    | 12/11/09      | 44,745.40        | 3.608         | 1.16          | 14,384.00        | (30,361.40) LT         |                 |                                 |
| 860          | NUCOR CORP                     | NUE    | 12/11/09      | 36,281.68        | 42.188        | 39.57         | 34,030.20        | (2,251.48) LT          |                 |                                 |
| 115          |                                |        | 12/23/09      | 5,301.17         | 46.097        | 39.57         | 4,560.55         | (750.62) LT            |                 |                                 |
| <b>975</b>   |                                |        |               | <b>41,582.85</b> | <b>42.649</b> |               | <b>38,580.75</b> | <b>(3,002.10)</b>      | <b>3.689</b>    | <b>1,423.50</b>                 |
| 2,994        | ON SEMICONDUCTOR CORP          | ONNN   | 12/11/09      | 24,189.12        | 8.079         | 7.72          | 23,113.68        | (1,075.44) LT          |                 |                                 |
| 800          |                                |        | 09/17/10      | 5,435.76         | 6.794         | 7.72          | 6,176.00         | 740.24 LT              |                 |                                 |
| 926          |                                |        | 09/20/10      | 6,204.20         | 6.70          | 7.72          | 7,148.72         | 944.52 LT              |                 |                                 |
| <b>4,720</b> |                                |        |               | <b>35,829.08</b> | <b>7.591</b>  |               | <b>38,438.40</b> | <b>608.32</b>          |                 |                                 |
| 120          | PEBBLEBROOK HOTEL TRUST        | PEB    | 09/07/11      | 1,811.75         | 15.097        | 19.18         | 2,301.60         | 489.85 ST              |                 |                                 |
| 70           |                                |        | 09/08/11      | 1,077.80         | 15.397        | 19.18         | 1,342.60         | 264.80 ST              |                 |                                 |
| 210          |                                |        | 09/09/11      | 3,009.45         | 14.33         | 19.18         | 4,027.80         | 1,018.35 ST            |                 |                                 |
| 120          |                                |        | 09/29/11      | 1,847.95         | 15.399        | 19.18         | 2,301.60         | 453.65 ST              |                 |                                 |
| 165          |                                |        | 10/04/11      | 2,379.66         | 14.422        | 19.18         | 3,164.70         | 785.04 ST              |                 |                                 |
| <b>685</b>   |                                |        |               | <b>10,126.61</b> | <b>14.783</b> |               | <b>13,138.30</b> | <b>3,011.69</b>        | <b>2.502</b>    | <b>328.80</b>                   |

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MorganStanley  
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Account number 593-07116-18 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                  | Symbol | Date acquired | Cost        | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|------------------------------|--------|---------------|-------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 175      | ST JOE COMPANY               | JOE    | 11/23/11      | \$ 2,525.15 | \$ 14.429  | \$ 14.66      | \$ 2,565.50   | \$ 40.35               | ST              |                                 |
| 160      |                              |        | 12/23/11      | 2,427.82    | 15.173     | 14.66         | 2,345.60      | (82.22)                | ST              |                                 |
| 335      |                              |        |               | 4,952.97    | 14.785     |               | 4,911.10      | (41.87)                |                 |                                 |
| 35       | SOTHEBYS DELAWARE            | BID    | 10/19/11      | 1,092.65    | 31.218     | 28.53         | 998.55        | (94.10)                | ST              |                                 |
| 30       |                              |        | 10/21/11      | 961.12      | 32.037     | 28.53         | 855.90        | (105.22)               | ST              |                                 |
| 65       |                              |        |               | 2,063.77    | 31.596     |               | 1,854.45      | (199.32)               |                 | 1.121                           |
| 75       | SOUTHWESTERN ENERGY CO       | SWN    | 08/11/11      | 2,786.22    | 37.149     | 31.94         | 2,395.50      | (390.72)               | ST              |                                 |
| 80       | DEL                          |        | 08/12/11      | 3,046.44    | 38.08      | 31.94         | 2,555.20      | (491.24)               | ST              |                                 |
| 90       |                              |        | 08/18/11      | 3,287.84    | 36.531     | 31.94         | 2,874.60      | (413.24)               | ST              |                                 |
| 245      |                              |        |               | 9,120.50    | 37.227     |               | 7,825.30      | (1,295.20)             |                 |                                 |
| 95       | STIFEL FINANCIAL CORP        | SF     | 09/29/11      | 2,582.75    | 27.186     | 32.05         | 3,044.75      | 462.00                 | ST              |                                 |
| 100      |                              |        | 10/04/11      | 2,491.13    | 24.911     | 32.05         | 3,205.00      | 713.87                 | ST              |                                 |
| 195      |                              |        |               | 5,073.88    | 26.02      |               | 6,249.75      | 1,175.87               |                 |                                 |
| 200      | SYMANTEC CORP                | SYMC   | 03/10/11      | 3,654.76    | 18.273     | 15.65         | 3,130.00      | (524.76)               | ST              |                                 |
| 205      |                              |        | 03/11/11      | 3,704.78    | 18.072     | 15.65         | 3,208.25      | (496.53)               | ST              |                                 |
| 130      |                              |        | 05/16/11      | 2,597.32    | 19.979     | 15.65         | 2,034.50      | (562.82)               | ST              |                                 |
| 185      |                              |        | 05/18/11      | 3,630.35    | 19.623     | 15.65         | 2,895.25      | (735.10)               | ST              |                                 |
| 720      |                              |        |               | 13,587.21   | 18.871     |               | 11,268.00     | (2,319.21)             |                 |                                 |
| 615      | USEC INC                     | USU    | 03/16/11      | 2,580.60    | 4.196      | 1.14          | 701.10        | (1,879.50)             | ST              |                                 |
| 167      |                              |        | 05/09/11      | 741.70      | 4.441      | 1.14          | 190.38        | (551.32)               | ST              |                                 |
| 2,508    |                              |        | 06/17/11      | 8,398.79    | 3.348      | 1.14          | 2,859.12      | (5,539.67)             | ST              |                                 |
| 3,290    |                              |        |               | 11,721.09   | 3.563      |               | 3,750.60      | (7,970.49)             |                 |                                 |
| 123      | UNISYS CORP                  | UIS    | 08/01/11      | 2,520.77    | 20.494     | 19.71         | 2,424.33      | (96.44)                | ST              |                                 |
| 37       |                              |        | 08/02/11      | 802.26      | 21.682     | 19.71         | 729.27        | (72.99)                | ST              |                                 |
| 165      |                              |        | 08/05/11      | 3,092.66    | 18.743     | 19.71         | 3,252.15      | 159.49                 | ST              |                                 |
| 325      |                              |        | 08/09/11      | 5,644.08    | 17.366     | 19.71         | 6,405.75      | 761.67                 | ST              |                                 |
| 690      |                              |        | 08/10/11      | 12,041.60   | 17.451     | 19.71         | 13,599.90     | 1,558.30               | ST              |                                 |
| 113      |                              |        | 12/23/11      | 2,277.54    | 20.155     | 19.71         | 2,227.23      | (50.31)                | ST              |                                 |
| 1,453    |                              |        |               | 26,378.91   | 18.155     |               | 28,638.63     | 2,259.72               |                 |                                 |
| 39       | UNITED STATES STEEL CORP NEW | X      | 12/22/09      | 2,053.79    | 52.661     | 26.46         | 1,031.94      | (1,021.85)             | LT              |                                 |
| 101      |                              |        | 12/23/09      | 5,485.84    | 54.315     | 26.46         | 2,672.46      | (2,813.38)             | LT              |                                 |
| 112      |                              |        | 12/24/09      | 6,302.04    | 56.268     | 26.46         | 2,963.52      | (3,338.52)             | LT              |                                 |
| 113      |                              |        | 01/26/10      | 5,776.02    | 51.115     | 26.46         | 2,989.98      | (2,786.04)             | LT              |                                 |
| 100      |                              |        | 02/02/10      | 4,798.46    | 47.984     | 26.46         | 2,646.00      | (2,152.46)             | LT              |                                 |
| 265      |                              |        | 02/17/10      | 13,436.22   | 50.702     | 26.46         | 7,011.90      | (6,424.32)             | LT              |                                 |

MorganStanley  
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December 1 - December 31, 2011

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HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity                        | Description                  | Symbol | Date<br>acquired | Cost            | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|---------------------------------|------------------------------|--------|------------------|-----------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 115                             | UNITED STATES STEEL CORP NEW | X      | 07/28/11         | \$ 4,664.75     | \$ 40.563     | \$ 26.46         | \$ 3,042.90      | (\$ 1,621.85)             | ST                 |                                    |
| 75                              |                              |        | 10/28/11         | 1,875.01        | 25.00         | 26.46            | 1,984.50         | 109.49                    | ST                 |                                    |
| 920                             |                              |        |                  | 44,392.13       | 48,252        |                  | 24,343.20        | (20,048.93)               | .755               | 184.00                             |
| 553                             | URANIUM ENERGY CORP          | UEC    | 05/05/11         | 1,768.60        | 3.198         | 3.06             | 1,692.18         | (76.42)                   | ST                 |                                    |
| 577                             |                              |        | 05/06/11         | 1,896.43        | 3.286         | 3.06             | 1,765.62         | (130.81)                  | ST                 |                                    |
| 1,115                           |                              |        | 05/09/11         | 3,619.40        | 3.246         | 3.06             | 3,411.90         | (207.50)                  | ST                 |                                    |
| 1,505                           |                              |        | 05/18/11         | 4,244.10        | 2.82          | 3.06             | 4,605.30         | 361.20                    | ST                 |                                    |
| 333                             |                              |        | 08/10/11         | 927.34          | 2.784         | 3.06             | 1,018.98         | 91.64                     | ST                 |                                    |
| 4,083                           |                              |        |                  | 12,455.87       | 3,051         |                  | 12,493.98        | 38.11                     |                    |                                    |
| 2,343                           | VALENCE TECHNOLOGY INC       | VLNC   | 06/08/11         | 2,667.51        | 1.138         | .98              | 2,296.14         | (371.37)                  | ST                 |                                    |
| 496                             |                              |        | 06/09/11         | 570.35          | 1.149         | .98              | 485.08           | (84.27)                   | ST                 |                                    |
| 2,023                           |                              |        | 06/13/11         | 2,421.94        | 1.197         | .98              | 1,982.54         | (439.40)                  | ST                 |                                    |
| 658                             |                              |        | 06/14/11         | 758.48          | 1.152         | .98              | 644.84           | (113.64)                  | ST                 |                                    |
| 5,510                           |                              |        | 06/16/11         | 6,608.69        | 1.199         | .98              | 5,399.80         | (1,208.89)                | ST                 |                                    |
| 5,530                           |                              |        | 06/17/11         | 6,634.34        | 1.199         | .98              | 5,419.40         | (1,214.94)                | ST                 |                                    |
| 5,360                           |                              |        | 06/21/11         | 6,432.00        | 1.20          | .98              | 5,252.80         | (1,179.20)                | ST                 |                                    |
| 5,760                           |                              |        | 06/23/11         | 6,911.42        | 1.199         | .98              | 5,644.80         | (1,266.62)                | ST                 |                                    |
| 940                             |                              |        | 06/24/11         | 1,184.31        | 1.259         | .98              | 921.20           | (263.11)                  | ST                 |                                    |
| 4,525                           |                              |        | 06/27/11         | 5,423.67        | 1.198         | .98              | 4,434.50         | (989.17)                  | ST                 |                                    |
| 33,145                          |                              |        |                  | 39,612.71       | 1,195         |                  | 32,482.10        | (7,130.61)                |                    |                                    |
| 175                             | WEYERHAEUSER CO              | WY     | 08/24/11         | 2,877.46        | 16.442        | 18.67            | 3,267.25         | 389.79                    | ST                 |                                    |
| 160                             |                              |        | 09/01/11         | 2,877.04        | 17.981        | 18.67            | 2,987.20         | 110.16                    | ST                 |                                    |
| 445                             |                              |        | 10/06/11         | 7,178.16        | 16.13         | 18.67            | 8,308.15         | 1,129.99                  | ST                 |                                    |
| 780                             |                              |        |                  | 12,932.66       | 16.58         |                  | 14,562.60        | 1,629.94                  | 3.213              | 468.00                             |
| Total common stocks and options |                              |        |                  | \$ 1,071,289.09 |               |                  | \$ 813,952.75    | (\$ 21,062.40)            | ST                 |                                    |
|                                 |                              |        |                  |                 |               |                  |                  | (\$ 136,273.94)           | LT                 | \$ 11,945.82                       |
| Total portfolio value           |                              |        |                  | \$ 1,167,478.17 |               |                  | \$ 1,009,841.83  | (\$ 21,062.40)            | ST                 | \$ 11,956.40                       |
|                                 |                              |        |                  |                 |               |                  |                  | (\$ 136,273.94)           | LT                 |                                    |

# MorganStanley SmithBarney

Ref: 00025791 00187122

## Reserved Client Statement

December 1 - December 31, 2011

Account number 593-07424-15 507

### HARDEN FOUNDATION

#### Common stocks & options

| Quantity | Description                 | Symbol | Date<br>acquired | Cost        | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|----------|-----------------------------|--------|------------------|-------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 15       | DEUTSCHE BANK-EUR           | DB     | 12/18/09         | \$ 1,074.55 | \$ 71.636     | \$ 37.86         | \$ 567.90        | (\$ 506.65) LT            |                    |                                    |
| 20       |                             |        | 08/24/10         | 1,270.64    | 63.532        | 37.86            | 757.20           | (513.44) LT               |                    |                                    |
| 260      |                             |        | 09/08/10         | 15,938.08   | 61.30         | 37.86            | 9,843.60         | (6,094.48) LT             |                    |                                    |
| 335      |                             |        | 10/08/10         | 15,382.12   |               | 37.86            | 12,683.10        | (2,699.02) LT             |                    |                                    |
| 630      |                             |        |                  | 33,665.39   | 53.437        |                  | 23,851.80        | (9,813.59)                | 2.815              | 671.58                             |
| 1,542    | TE CONNECTIVITY LTD-CHF     | TEL    | 08/01/07         | 54,374.00   | 35.262        | 30.81            | 47,509.02        | (6,864.98) LT             |                    |                                    |
| 1,190    |                             |        | 08/10/07         | 38,811.97   | 32.615        | 30.81            | 36,663.90        | (2,148.07) LT             |                    |                                    |
| 2,732    |                             |        |                  | 93,185.97   | 34.109        |                  | 84,172.92        | (9,013.05)                | 2.336              | 1,867.04                           |
| 3,520    | UBS AG (NEW)                | UBS    | 06/04/10         | 45,505.50   | 12.927        | 11.83            | 41,641.60        | (3,863.90) LT             |                    |                                    |
| 1,050    |                             |        | 06/24/10         | 14,683.52   | 13.984        | 11.83            | 12,421.50        | (2,262.02) LT             |                    |                                    |
| 100      |                             |        | 09/23/11         | 1,094.66    | 10.946        | 11.83            | 1,183.00         | 88.34 ST                  |                    |                                    |
| 80       |                             |        | 09/29/11         | 1,003.26    | 12.54         | 11.83            | 946.40           | (56.86) ST                |                    |                                    |
| 240      |                             |        | 10/17/11         | 2,837.86    | 11.824        | 11.83            | 2,839.20         | 1.34 ST                   |                    |                                    |
| 40       |                             |        | 10/19/11         | 469.49      | 11.737        | 11.83            | 473.20           | 3.71 ST                   |                    |                                    |
| 5,030    |                             |        |                  | 65,594.29   | 13.041        |                  | 59,504.90        | (6,089.39)                |                    |                                    |
| 9,090    | FLEXTRONICS INTL LTD USD    | FLEX   | 11/03/08         | 39,187.90   | 4.311         | 5.66             | 51,449.40        | 12,261.50 LT              |                    |                                    |
|          | Exchange rate: .7692899     |        |                  |             |               |                  |                  |                           |                    |                                    |
|          | Shares traded in:           |        |                  |             |               |                  |                  |                           |                    |                                    |
|          | Singapore dollars           |        |                  |             |               |                  |                  |                           |                    |                                    |
| 1,100    | AEGON N.V.-ADR AMER REG-ADR | AEG    | 08/23/04         | 11,920.48   | 10.836        | 4.02             | 4,422.00         | (7,498.48) LT             |                    |                                    |
| 4,820    |                             |        | 09/15/04         | 54,355.62   | 11.277        | 4.02             | 19,376.40        | (34,979.22) LT            |                    |                                    |
| 189      |                             |        | 08/17/05         | 2,666.79    | 14.11         | 4.02             | 759.78           | (1,907.01) LT             |                    |                                    |
| 1,080    |                             |        | 07/11/07         | 21,033.22   | 19.475        | 4.02             | 4,341.60         | (16,691.62) LT            |                    |                                    |
| 1,430    |                             |        | 08/13/07         | 25,958.36   | 18.152        | 4.02             | 5,748.60         | (20,209.76) LT            |                    |                                    |
| 152      |                             |        | 08/14/07         | 2,751.20    | 18.10         | 4.02             | 611.04           | (2,140.16) LT             |                    |                                    |
| 1,130    |                             |        | 09/06/07         | 20,431.98   | 18.081        | 4.02             | 4,542.60         | (15,889.38) LT            |                    |                                    |
| 1,340    |                             |        | 02/13/08         | 18,809.18   | 14.036        | 4.02             | 5,386.80         | (13,422.38) LT            |                    |                                    |
| 340      |                             |        | 04/29/08         | 5,134.00    | 15.10         | 4.02             | 1,366.80         | (3,767.20) LT             |                    |                                    |
| 428      |                             |        | 08/12/08         | 4,549.64    | 10.63         | 4.02             | 1,720.56         | (2,829.08) LT             |                    |                                    |
| 750      |                             |        | 08/16/10         | 4,136.25    | 5.515         | 4.02             | 3,015.00         | (1,121.25) LT             |                    |                                    |
| 170      |                             |        | 09/16/10         | 979.29      | 5.76          | 4.02             | 683.40           | (295.89) LT               |                    |                                    |
| 1,100    |                             |        | 09/17/10         | 6,267.36    | 5.697         | 4.02             | 4,422.00         | (1,845.36) LT             |                    |                                    |
| 14,029   |                             |        |                  | 178,993.37  | 12.759        |                  | 56,396.58        | (122,596.79)              |                    |                                    |
| 230      | AKZO NOBEL N.V.-ADR-EUR     | AKZOY  | 12/19/03         | 8,180.18    | 35.566        | 48.30            | 11,109.00        | 2,928.82 LT               |                    |                                    |
| 430      |                             |        | 10/13/04         | 15,229.61   | 35.417        | 48.30            | 20,769.00        | 5,539.39 LT               |                    |                                    |
| 70       |                             |        | 07/08/11         | 4,357.57    | 62.251        | 48.30            | 3,381.00         | (976.57) ST               |                    |                                    |

# MorganStanley SmithBarney

Ref: 00025791 00187123

## Reserved Client Statement

December 1 - December 31, 2011

Account number 593-07424-15 507

### HARDEN FOUNDATION

#### Common stocks & options continued

| Quantity | Description               | Symbol | Date<br>acquired | Cost        | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|----------|---------------------------|--------|------------------|-------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 30       | AKZO NOBEL N.V.ADR-EUR    | AKZOY  | 08/11/11         | \$ 1,491.26 | \$ 49.708     | \$ 48.30         | \$ 1,449.00      | (\$ 42.26)                | ST                 |                                    |
| 270      |                           |        | 09/12/11         | 11,627.17   | 43.063        | 48.30            | 13,041.00        | 1,413.83                  | ST                 |                                    |
| 180      |                           |        | 10/19/11         | 8,853.80    | 49.187        | 48.30            | 8,694.00         | (159.80)                  | ST                 |                                    |
| 10       |                           |        | 11/21/11         | 439.54      | 43.954        | 48.30            | 483.00           | 43.46                     | ST                 |                                    |
| 10       |                           |        | 11/23/11         | 438.00      | 43.80         | 48.30            | 483.00           | 45.00                     | ST                 |                                    |
| 20       |                           |        | 12/19/11         | 876.00      | 43.80         | 48.30            | 966.00           | 90.00                     | ST                 |                                    |
| 1,250    |                           |        |                  | 51,493.13   | 41.195        |                  | 60,375.00        | 8,881.87                  | 3.457              | 2,087.50                           |
| 1,695    | ALCATEL-LUCENT ADR        | ALU    | 08/17/05         | 20,480.34   | 12.082        | 1.56             | 2,644.20         | (17,836.14)               | LT                 |                                    |
| 3,150    |                           |        | 04/19/07         | 39,925.94   | 12.674        | 1.56             | 4,914.00         | (35,011.94)               | LT                 |                                    |
| 4,760    |                           |        | 08/10/07         | 53,547.62   | 11.249        | 1.56             | 7,425.60         | (46,122.02)               | LT                 |                                    |
| 140      |                           |        | 08/04/11         | 497.66      | 3.554         | 1.56             | 218.40           | (279.26)                  | ST                 |                                    |
| 4,500    |                           |        | 10/25/11         | 12,346.20   | 2.743         | 1.56             | 7,020.00         | (5,326.20)                | ST                 |                                    |
| 740      |                           |        | 10/26/11         | 1,924.00    | 2.60          | 1.56             | 1,154.40         | (769.60)                  | ST                 |                                    |
| 14,985   |                           |        |                  | 128,721.76  | 8.59          |                  | 23,376.60        | (105,345.16)              |                    |                                    |
| 640      | AMERICA MOVIL S.A B DE CV | AMX    | 08/24/11         | 15,326.14   | 23.947        | 22.60            | 14,464.00        | (862.14)                  | ST                 |                                    |
| 40       | SER L SPONS ADR           |        | 08/26/11         | 925.10      | 23.127        | 22.60            | 904.00           | (21.10)                   | ST                 |                                    |
| 180      |                           |        | 09/06/11         | 4,382.42    | 24.346        | 22.60            | 4,086.00         | (314.42)                  | ST                 |                                    |
| 360      |                           |        | 09/21/11         | 8,392.61    | 23.312        | 22.60            | 8,136.00         | (256.61)                  | ST                 |                                    |
| 500      |                           |        | 10/03/11         | 10,938.00   | 21.876        | 22.60            | 11,300.00        | 362.00                    | ST                 |                                    |
| 180      |                           |        | 10/17/11         | 4,139.57    | 22.997        | 22.60            | 4,088.00         | (71.57)                   | ST                 |                                    |
| 25       |                           |        | 12/13/11         | 562.68      | 22.507        | 22.60            | 565.00           | 2.32                      | ST                 |                                    |
| 225      |                           |        | 12/15/11         | 5,064.23    | 22.507        | 22.60            | 5,085.00         | 20.77                     | ST                 |                                    |
| 200      |                           |        | 12/27/11         | 4,503.76    | 22.518        | 22.60            | 4,520.00         | 16.24                     | ST                 |                                    |
| 2,350    |                           |        |                  | 54,234.51   | 23.079        |                  | 53,110.00        | (1,124.51)                | 1.285              | 672.10                             |
| 15       | ASTELLAS PHARMA INC-JPY   | ALPMY  | 06/04/10         | 482.65      | 32.176        | 40.37            | 605.55           | 122.90                    | LT                 |                                    |
| 435      |                           |        | 06/29/10         | 14,829.80   | 34.091        | 40.37            | 17,560.95        | 2,731.15                  | LT                 |                                    |
| 330      |                           |        | 06/30/10         | 11,223.99   | 34.012        | 40.37            | 13,322.10        | 2,098.11                  | LT                 |                                    |
| 670      |                           |        | 07/30/10         | 22,776.18   | 33.994        | 40.37            | 27,047.90        | 4,271.72                  | LT                 |                                    |
| 210      |                           |        | 11/24/10         | 7,740.81    | 36.861        | 40.37            | 8,477.70         | 736.89                    | LT                 |                                    |
| 300      |                           |        | 04/08/11         | 11,061.33   | 36.871        | 40.37            | 12,111.00        | 1,049.67                  | ST                 |                                    |
| 1,960    |                           |        |                  | 68,114.76   | 34.752        |                  | 79,125.20        | 11,010.44                 | 3.529              | 2,793.00                           |
| 800      | ASTRAZENECA PLC SPON ADR  | AZN    | 06/27/07         | 42,558.56   | 53.198        | 46.29            | 37,032.00        | (5,526.56)                | LT                 |                                    |
| 1,100    |                           |        | 08/14/07         | 51,980.39   | 47.254        | 46.29            | 50,919.00        | (1,061.39)                | LT                 |                                    |
| 640      |                           |        | 02/04/08         | 26,600.32   | 41.563        | 46.29            | 29,625.60        | 3,025.28                  | LT                 |                                    |
| 2,540    |                           |        |                  | 121,139.27  | 47.693        |                  | 117,576.60       | (3,562.67)                | 5.832              | 6,858.00                           |

# Reserved Client Statement

December 1 - December 31, 2011

Ref 00025791 00187124

Account number 593-07424-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                                | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|--------------------------------------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 478      | BP PLC SPONS ADR                           | BP     | 07/02/09      | \$ 22,877.75 | \$ 47.86   | \$ 42.74      | \$ 20,429.72  | (\$ 2,448.03)#         | LT              |                                 |
| 40       |                                            |        | 05/17/11      | 1,698.08     | 42.452     | 42.74         | 1,709.60      | 11.52                  | ST              |                                 |
| 770      |                                            |        | 05/26/11      | 34,851.74    | 45.262     | 42.74         | 32,909.80     | (1,941.94)             | ST              |                                 |
| 10       |                                            |        | 06/06/11      | 439.60       | 43.959     | 42.74         | 427.40        | (12.20)                | ST              |                                 |
| 60       |                                            |        | 07/11/11      | 2,627.31     | 43.788     | 42.74         | 2,564.40      | (62.91)                | ST              |                                 |
| 140      |                                            |        | 08/05/11      | 5,427.80     | 38.77      | 42.74         | 5,983.60      | 555.80                 | ST              |                                 |
| 75       |                                            |        | 08/11/11      | 2,871.64     | 38.288     | 42.74         | 3,205.50      | 333.86                 | ST              |                                 |
| 330      |                                            |        | 08/19/11      | 12,861.85    | 38.975     | 42.74         | 14,104.20     | 1,242.35               | ST              |                                 |
| 30       |                                            |        | 08/25/11      | 1,184.03     | 39.467     | 42.74         | 1,282.20      | 98.17                  | ST              |                                 |
| 15       |                                            |        | 08/26/11      | 569.34       | 37.955     | 42.74         | 641.10        | 71.76                  | ST              |                                 |
| 15       |                                            |        | 09/20/11      | 575.45       | 38.363     | 42.74         | 641.10        | 65.65                  | ST              |                                 |
| 45       |                                            |        | 10/04/11      | 1,514.68     | 33.659     | 42.74         | 1,923.30      | 408.62                 | ST              |                                 |
| 10       |                                            |        | 10/28/11      | 449.54       | 44.954     | 42.74         | 427.40        | (22.14)                | ST              |                                 |
| 70       |                                            |        | 12/12/11      | 2,927.29     | 41.818     | 42.74         | 2,991.80      | 64.51                  | ST              |                                 |
| 2,088    |                                            |        |               | 90,876.10    | 43.523     |               | 89,241.12     | (1,634.98)             |                 | 3.93                            |
| 280      | BANCO SANTANDER BRASIL SA ADS RPSTG 1 UNIT | B5BR   | 11/23/11      | 1,927.80     | 6.885      | 8.14          | 2,279.20      | 351.40                 | ST              | 2.776                           |
| 1,330    | BARCLAYS PLC-ADR                           | BCS    | 11/07/07      | 57,442.17    | 43.189     | 10.99         | 14,616.70     | (42,825.47)            | LT              |                                 |
| 770      |                                            |        | 12/13/07      | 33,335.23    | 43.292     | 10.99         | 8,462.30      | (24,872.93)            | LT              |                                 |
| 450      |                                            |        | 12/20/07      | 17,936.19    | 39.858     | 10.99         | 4,945.50      | (12,990.69)            | LT              |                                 |
| 1,050    |                                            |        | 02/04/08      | 40,065.80    | 38.157     | 10.99         | 11,539.50     | (28,526.30)            | LT              |                                 |
| 3,600    |                                            |        |               | 148,779.39   | 41.328     |               | 39,564.00     | (109,215.39)           |                 | 3.221                           |
| 18       | BRASIL TELECOM S A                         | BTM    | 11/14/00      | 610.91       | 33.939     | 17.79         | 320.22        | (290.69)               | LT              |                                 |
| 1,082    | SPONSORED ADR REPSTG PFD SHS               |        | 11/24/09      | 33,876.81    | 31.315     | 17.79         | 19,248.78     | (14,628.03)            | LT              |                                 |
| 1,100    |                                            |        |               | 34,487.72    | 31.352     |               | 18,569.00     | (14,918.72)            |                 | 2.99                            |
| 10       | BRASIL TELECOM SA ADR                      | BTMC   | 11/14/00      | 177.72       | 17.771     | 6.16          | 61.60         | (116.12)               | LT              |                                 |
| 614      |                                            |        | 11/24/09      | 10,263.80    | 16.725     | 6.16          | 3,782.24      | (6,481.56)             | LT              |                                 |
| 624      |                                            |        |               | 10,441.52    | 16.733     |               | 3,843.84      | (6,597.68)             |                 | 2.662                           |
| 4,770    | CRH PLC ADR-USD                            | CRH    | 10/29/10      | 82,769.52    | 17.352     | 19.82         | 94,541.40     | 11,771.88              | LT              | 4.419                           |
| 1,590    | CANON INC ADR                              | CAJ    | 04/14/09      | 49,579.70    | 31.182     | 44.04         | 70,023.60     | 20,443.90              | LT              | 3.285                           |
| 1,350    | CARREFOUR SA-SP ADR                        | CRIFY  | 11/11/05      | 11,961.65    | 8.86       | 4.48          | 6,048.00      | (5,913.65)*            | LT              |                                 |
| 5,950    |                                            |        | 01/12/07      | 67,970.06    | 11.423     | 4.48          | 26,656.00     | (41,314.06)*           | LT              |                                 |
| 2,200    |                                            |        | 07/15/08      | 22,072.20    | 10.032     | 4.48          | 9,856.00      | (12,216.20)*           | LT              |                                 |
| 1,950    |                                            |        | 08/13/08      | 21,572.77    | 11.062     | 4.48          | 8,736.00      | (12,836.77)*           | LT              |                                 |
| 2,100    |                                            |        | 09/10/08      | 20,597.89    | 9.808      | 4.48          | 9,408.00      | (11,189.89)*           | LT              |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025791 00187125

Account number 593-07424-15 507

## HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity      | Description                | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value    | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|---------------|----------------------------|--------|---------------|-------------------|---------------|---------------|------------------|------------------------|-----------------|---------------------------------|
| 2,400         | CARREFOUR SA-SP            | CRRFY  | 10/23/09      | \$ 22,032.82      | \$ 9.18       | \$ 4.48       | \$ 10,752.00     | (\$ 11,280.82)* LT     |                 |                                 |
| 4,620         | ADR                        |        | 09/21/11      | 20,367.27         | 4.408         | 4.48          | 20,697.60        | 330.33 ST              |                 |                                 |
| <b>20,570</b> |                            |        |               | <b>186,674.68</b> | <b>9.07</b>   |               | <b>92,153.60</b> | <b>(94,421.08)</b>     | <b>5.089</b>    | <b>4,889.86</b>                 |
| 1,319 3299    | CEMEX S.A.B DE C.V SPONS   | CX     | 09/23/09      | 15,845.90         | 12.012        | 5.39          | 7,111.19         | (8,734.71) LT          |                 |                                 |
| 5,612.5593    | ADR NEW                    |        | 11/27/09      | 57,019.82         | 10.161        | 5.39          | 30,251.69        | (26,768.13) LT         |                 |                                 |
| 389.3104      |                            |        | 04/15/10      | 3,887.57          | 9.987         | 5.39          | 2,098.38         | (1,789.19) LT          |                 |                                 |
| 243.3194      |                            |        | 05/17/10      | 2,456.17          | 10.096        | 5.39          | 1,311.49         | (1,144.68) LT          |                 |                                 |
| 155.9909      |                            |        | 06/07/10      | 1,535.67          | 9.846         | 5.39          | 840.79           | (694.88) LT            |                 |                                 |
| 389.9772      |                            |        | 06/29/10      | 3,717.49          | 9.533         | 5.39          | 2,101.98         | (1,615.51) LT          |                 |                                 |
| 514.77        |                            |        | 07/19/10      | 4,633.18          | 9.001         | 5.39          | 2,774.61         | (1,858.57) LT          |                 |                                 |
| 285.9833      |                            |        | 08/06/10      | 2,596.48          | 9.079         | 5.39          | 1,541.45         | (1,055.03) LT          |                 |                                 |
| 228.7866      |                            |        | 08/13/10      | 1,924.89          | 8.413         | 5.39          | 1,233.16         | (691.73) LT            |                 |                                 |
| 467.973       |                            |        | 08/20/10      | 3,802.24          | 8.125         | 5.39          | 2,522.37         | (1,279.87) LT          |                 |                                 |
| <b>9,608</b>  |                            |        |               | <b>97,419.41</b>  | <b>10.139</b> |               | <b>51,787.11</b> | <b>(45,632.30)</b>     |                 |                                 |
| 2,840         | CENTRAIS ELETRICAS         | EBR    | 12/20/99      | 27,113.76         | 9.547         | 9.71          | 27,576.40        | 462.64 LT              |                 |                                 |
| 1,980         | BRASILEIRAS SPON ADR       |        | 05/07/01      | 16,295.40         | 8.23          | 9.71          | 19,225.80        | 2,930.40 LT            |                 |                                 |
| <b>4,820</b>  |                            |        |               | <b>43,409.16</b>  | <b>9.006</b>  |               | <b>46,802.20</b> | <b>3,393.04</b>        | <b>1.833</b>    | <b>857.86</b>                   |
| 5,020         | DAI NIPPON PRTG LTD JAPAN  | DNPLY  | 07/21/06      | 75,071.59         | 14.954        | 9.46          | 47,489.20        | (27,582.39) LT         |                 |                                 |
| 3,000         | SPONS ADR                  |        | 11/29/06      | 44,608.20         | 14.869        | 9.46          | 28,380.00        | (16,228.20)* LT        |                 |                                 |
| <b>8,020</b>  |                            |        |               | <b>119,679.79</b> | <b>14.923</b> |               | <b>75,869.20</b> | <b>(43,810.59)</b>     | <b>3.467</b>    | <b>2,630.56</b>                 |
| 1,000         | DAIICHI SANKYO CO          | DSNKY  | 04/14/09      | 16,796.70         | 16.796        | 19.56         | 19,560.00        | 2,763.30 LT            |                 |                                 |
| 100           | SPONSORED ADR              |        | 05/20/10      | 1,805.30          | 18.053        | 19.56         | 1,956.00         | 150.70 LT              |                 |                                 |
| 1,550         |                            |        | 10/25/10      | 33,538.28         | 21.637        | 19.56         | 30,318.00        | (3,220.28) LT          |                 |                                 |
| 350           |                            |        | 10/29/10      | 7,373.00          | 21.065        | 19.56         | 6,846.00         | (527.00) LT            |                 |                                 |
| 450           |                            |        | 03/14/11      | 9,036.18          | 20.08         | 19.56         | 8,802.00         | (234.18) ST            |                 |                                 |
| 50            |                            |        | 03/15/11      | 940.28            | 18.805        | 19.56         | 978.00           | 37.72 ST               |                 |                                 |
| 50            |                            |        | 03/31/11      | 965.52            | 19.31         | 19.56         | 978.00           | 12.48 ST               |                 |                                 |
| <b>3,550</b>  |                            |        |               | <b>70,455.26</b>  | <b>19.847</b> |               | <b>69,438.00</b> | <b>(1,017.26)</b>      | <b>3.256</b>    | <b>2,261.35</b>                 |
| 330           | DEUTSCHE TELEKOM AG SP ADR | DTEGY  | 04/25/05      | 6,322.67          | 19.159        | 11.45         | 3,776.50         | (2,544.17) LT          |                 |                                 |
| 800           |                            |        | 06/09/05      | 14,681.20         | 18.351        | 11.45         | 9,160.00         | (5,521.20) LT          |                 |                                 |
| 750           |                            |        | 10/05/05      | 13,634.55         | 18.179        | 11.45         | 8,587.50         | (5,047.05) LT          |                 |                                 |
| 1,440         |                            |        | 12/09/05      | 23,996.88         | 16.664        | 11.45         | 16,488.00        | (7,508.88) LT          |                 |                                 |
| 860           |                            |        | 01/20/06      | 13,757.42         | 15.997        | 11.45         | 9,847.00         | (3,910.42) LT          |                 |                                 |
| 1,150         |                            |        | 01/31/07      | 20,129.49         | 17.503        | 11.45         | 13,167.50        | (6,961.99) LT          |                 |                                 |
| 1,800         |                            |        | 02/23/07      | 32,692.50         | 18.162        | 11.45         | 20,610.00        | (12,082.50) LT         |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025791 00187126

Account number 593-07424-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description                | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|----------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 1,200        | DEUTSCHE TELEKOM AG SP ADR | DTEGY  | 05/09/07      | \$ 20,460.00      | \$ 17.05      | \$ 11.45      | \$ 13,740.00      | (\$ 6,720.00) LT       | 8.393           | 8,006.13                        |
| <b>8,330</b> |                            |        |               | <b>145,674.71</b> | <b>17,488</b> |               | <b>95,378.50</b>  | <b>(50,296.21)</b>     |                 |                                 |
| 340          | ENI SPA SPONSORED ADR      | E      | 05/29/09      | 16,368.11         | 48.141        | 41.27         | 14,031.80         | (2,336.31) LT          |                 |                                 |
| 310          |                            |        | 06/16/09      | 15,109.40         | 48.74         | 41.27         | 12,793.70         | (2,315.70) LT          |                 |                                 |
| 1,050        |                            |        | 07/13/09      | 46,051.43         | 43.858        | 41.27         | 43,333.50         | (2,717.93) LT          |                 |                                 |
| 710          |                            |        | 02/26/10      | 31,978.40         | 45.04         | 41.27         | 29,301.70         | (2,676.70) LT          |                 |                                 |
| 40           |                            |        | 04/08/10      | 1,840.03          | 46.00         | 41.27         | 1,650.80          | (189.23) LT            |                 |                                 |
| 340          |                            |        | 04/22/10      | 15,884.15         | 46.718        | 41.27         | 14,031.80         | (1,852.35) LT          |                 |                                 |
| 170          |                            |        | 04/29/10      | 7,630.48          | 44.885        | 41.27         | 7,015.90          | (614.58) LT            |                 |                                 |
| 40           |                            |        | 05/05/10      | 1,710.30          | 42.757        | 41.27         | 1,650.80          | (59.50) LT             |                 |                                 |
| 80           |                            |        | 05/06/10      | 3,286.87          | 41.085        | 41.27         | 3,301.60          | 14.73 LT               |                 |                                 |
| 15           |                            |        | 05/07/10      | 594.79            | 39.652        | 41.27         | 619.05            | 24.26 LT               |                 |                                 |
| 20           |                            |        | 05/12/10      | 840.40            | 42.02         | 41.27         | 825.40            | (15.00) LT             |                 |                                 |
| 60           |                            |        | 05/17/10      | 2,357.12          | 39.285        | 41.27         | 2,476.20          | 119.08 LT              |                 |                                 |
| 195          |                            |        | 06/02/10      | 7,137.00          | 36.60         | 41.27         | 8,047.65          | 910.65 LT              |                 |                                 |
| 40           |                            |        | 06/07/10      | 1,413.15          | 35.328        | 41.27         | 1,650.80          | 237.65 LT              |                 |                                 |
| 120          |                            |        | 03/09/11      | 5,964.38          | 49.703        | 41.27         | 4,952.40          | (1,011.98) ST          |                 |                                 |
| 40           |                            |        | 03/15/11      | 1,878.71          | 46.967        | 41.27         | 1,650.80          | (227.91) ST            |                 |                                 |
| 90           |                            |        | 05/24/11      | 4,152.88          | 46.143        | 41.27         | 3,714.30          | (438.58) ST            |                 |                                 |
| 20           |                            |        | 07/12/11      | 874.98            | 43.749        | 41.27         | 825.40            | (49.58) ST             |                 |                                 |
| 45           |                            |        | 09/23/11      | 1,511.43          | 33.587        | 41.27         | 1,857.15          | 345.72 ST              |                 |                                 |
| 60           |                            |        | 09/29/11      | 2,138.97          | 35.649        | 41.27         | 2,476.20          | 337.23 ST              |                 |                                 |
| <b>3,785</b> |                            |        |               | <b>168,722.98</b> | <b>44,577</b> |               | <b>156,206.95</b> | <b>(12,516.03)</b>     | <b>4.984</b>    | <b>7,755.47</b>                 |
| 70           | ERICSSON L M TEL CO CL B   | ERIC   | 11/09/07      | 1,046.39          | 14.948        | 10.13         | 709.10            | (337.29) LT            |                 |                                 |
| 3,220        | ADR NEW -USD-              |        | 12/04/07      | 39,013.52         | 12.116        | 10.13         | 32,618.60         | (6,394.92) LT          |                 |                                 |
| 2,560        |                            |        | 12/10/07      | 32,094.21         | 12.536        | 10.13         | 25,932.80         | (6,161.41) LT          |                 |                                 |
| <b>5,850</b> |                            |        |               | <b>72,154.12</b>  | <b>12,334</b> |               | <b>59,260.50</b>  | <b>(12,893.62)</b>     | <b>2.548</b>    | <b>1,509.30</b>                 |
| 410          | FRANCE TELECOM SA SPON ADR | FTE    | 06/17/05      | 11,267.01         | 27.48         | 15.66         | 6,420.60          | (4,846.41) LT          |                 |                                 |
| 620          |                            |        | 06/22/05      | 16,944.10         | 27.329        | 15.66         | 9,709.20          | (7,234.90) LT          |                 |                                 |
| 2,430        |                            |        | 04/24/06      | 55,070.60         | 22.662        | 15.66         | 38,053.80         | (17,016.80) LT         |                 |                                 |
| 830          |                            |        | 09/19/06      | 17,987.59         | 21.671        | 15.66         | 12,987.80         | (4,999.79) LT          |                 |                                 |
| 1,400        |                            |        | 03/28/07      | 36,546.17         | 26.104        | 15.66         | 21,924.00         | (14,622.17)* LT        |                 |                                 |
| 80           |                            |        | 06/01/09      | 1,936.80          | 24.21         | 15.66         | 1,252.80          | (684.00) LT            |                 |                                 |
| 34           |                            |        | 06/01/09      | 887.55            | 26.104        | 15.66         | 532.44            | (355.11)* LT           |                 |                                 |
| 150          |                            |        | 06/01/10      | 2,804.97          | 18.699        | 15.66         | 2,349.00          | (455.97) LT            |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025791 00187127

Account number 593-07424-15 507

## HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description                | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|----------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 1,175        | FRANCE TELECOM SA SPON ADR | FTE    | 06/29/10      | \$ 21,012.64      | \$ 17.883     | \$ 15.66      | \$ 18,400.50      | (\$ 2,612.14)          | LT              |                                 |
| <b>7,129</b> |                            |        |               | <b>184,457.43</b> | <b>23.069</b> |               | <b>111,640.14</b> | <b>(52,817.29)</b>     | <b>10.478</b>   | <b>11,698.89</b>                |
| 150          | FUJIFILM HLDGS CORP-JPY    | FUJIY  | 05/19/04      | 4,369.50          | 29.13         | 23.26         | 3,489.00          | (880.50)               | LT              |                                 |
| 510          |                            |        | 09/02/05      | 16,872.48         | 33.083        | 23.26         | 11,862.60         | (5,009.88)             | LT              |                                 |
| 800          |                            |        | 03/08/06      | 24,810.72         | 31.013        | 23.26         | 18,608.00         | (6,202.72)             | LT              |                                 |
| 970          |                            |        | 11/19/09      | 27,045.83         | 27.882        | 23.26         | 22,562.20         | (4,483.63)             | LT              |                                 |
| 465          |                            |        | 04/11/11      | 13,850.86         | 29.786        | 23.26         | 10,815.90         | (3,034.96)             | ST              |                                 |
| <b>2,895</b> |                            |        |               | <b>86,949.39</b>  | <b>30.034</b> |               | <b>67,337.70</b>  | <b>(19,611.69)</b>     | <b>1.281</b>    | <b>882.71</b>                   |
| 330          | GLAXOSMITHKLINE PLC SP ADR | GSK    | 12/22/06      | 17,293.58         | 52.404        | 45.63         | 15,057.90         | (2,235.68)             | LT              |                                 |
| 440          |                            |        | 06/21/07      | 22,881.50         | 52.003        | 45.63         | 20,077.20         | (2,804.30)             | LT              |                                 |
| 420          |                            |        | 07/11/07      | 21,775.70         | 51.846        | 45.63         | 19,164.60         | (2,611.10)             | LT              |                                 |
| 750          |                            |        | 07/25/07      | 39,438.98         | 52.585        | 45.63         | 34,222.50         | (5,216.48)             | LT              |                                 |
| <b>1,940</b> |                            |        |               | <b>101,389.76</b> | <b>52.263</b> |               | <b>88,522.20</b>  | <b>(12,867.56)</b>     | <b>4.834</b>    | <b>4,279.84</b>                 |
| <b>25</b>    | H LUNDBECK A/S-ADR         | HLUKY  | 08/24/10      | 381.25            | 15.25         | 18.77         | 469.25            | 88.00                  | LT              | 20.98                           |
| 330          | HSBC HLDG PLC SP ADR NEW   | HBC    | 06/20/11      | 15,988.10         | 48.448        | 38.10         | 12,573.00         | (3,415.10)             | ST              |                                 |
| 10           |                            |        | 07/27/11      | 495.99            | 49.599        | 38.10         | 381.00            | (114.99)               | ST              |                                 |
| 20           |                            |        | 07/29/11      | 978.21            | 48.91         | 38.10         | 762.00            | (216.21)               | ST              |                                 |
| 280          |                            |        | 11/09/11      | 11,701.87         | 41.792        | 38.10         | 10,668.00         | (1,033.87)             | ST              |                                 |
| 15           |                            |        | 11/15/11      | 590.46            | 39.363        | 38.10         | 571.50            | (18.96)                | ST              |                                 |
| <b>655</b>   |                            |        |               | <b>29,764.83</b>  | <b>45.427</b> |               | <b>24,955.50</b>  | <b>(4,799.13)</b>      | <b>5.118</b>    | <b>1,277.25</b>                 |
| 130          | HONDA MOTOR CO LTD ADR-NEW | HMC    | 07/20/10      | 3,889.17          | 29.916        | 30.55         | 3,971.50          | 82.33                  | LT              |                                 |
| 1,125        |                            |        | 03/24/11      | 42,654.83         | 37.915        | 30.55         | 34,368.75         | (8,286.08)             | ST              |                                 |
| 465          |                            |        | 04/01/11      | 17,141.85         | 36.864        | 30.55         | 14,205.75         | (2,936.10)             | ST              |                                 |
| 795          |                            |        | 04/11/11      | 27,139.07         | 34.137        | 30.55         | 24,287.25         | (2,851.82)             | ST              |                                 |
| 15           |                            |        | 04/12/11      | 512.04            | 34.136        | 30.55         | 458.25            | (53.79)                | ST              |                                 |
| <b>2,530</b> |                            |        |               | <b>91,336.96</b>  | <b>36.102</b> |               | <b>77,291.50</b>  | <b>(14,045.46)</b>     | <b>2.261</b>    | <b>1,748.23</b>                 |
| 1,388        | INTESA SANPAOLO S P A      | ISNPY  | 01/23/02      | 22,799.44         | 16.426        | 9.94          | 13,796.72         | (9,002.72)*            | LT              |                                 |
| 900          | SPONSORED ADR              |        | 04/28/04      | 14,783.50         | 16.426        | 9.94          | 8,946.00          | (5,837.50)*            | LT              |                                 |
| 20           |                            |        | 03/31/10      | 453.92            | 22.695        | 9.94          | 198.80            | (255.12)               | LT              |                                 |
| 1,100        |                            |        | 09/06/11      | 9,663.39          | 8.784         | 9.94          | 10,934.00         | 1,270.61               | ST              |                                 |
| 165          |                            |        | 09/29/11      | 1,587.51          | 9.621         | 9.94          | 1,640.10          | 52.59                  | ST              |                                 |
| <b>3,573</b> |                            |        |               | <b>49,287.76</b>  | <b>13.795</b> |               | <b>35,515.62</b>  | <b>(13,772.14)</b>     | <b>4.879</b>    | <b>1,732.91</b>                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref 00025791 00187128

Account number 593-07424-15 507

## HARDEN FOUNDATION

### Common stocks & options *continued*

| Quantity     | Description                                | Symbol | Date<br>acquired | Cost              | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|--------------------------------------------|--------|------------------|-------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 40           | ITALCEMENTI FABRICH RIUNTE<br>ADR          | ITALY  | 04/14/10         | \$ 498.74         | \$ 12.468     | \$ 5.71          | \$ 228.40        | (\$ 270.34) LT            |                    |                                    |
| 4,650        |                                            |        | 04/19/11         | 45,927.12         | 9.876         | 5.71             | 26,551.50        | (19,375.62) ST            |                    |                                    |
| <b>4,690</b> |                                            |        |                  | <b>46,425.86</b>  | <b>9.899</b>  |                  | <b>26,779.90</b> | <b>(19,645.96)</b>        | <b>1.803</b>       | <b>483.07</b>                      |
| 583          | J SAINSBURY PLC-GBP                        | JSALY  | 11/12/04         | 11,856.94         | 20.337        | 18.65            | 10,872.95        | (983.99) LT               |                    |                                    |
| 1,380        | SPONS ADR NEW                              |        | 01/17/08         | 42,734.18         | 30.966        | 18.65            | 25,737.00        | (16,997.18) LT            |                    |                                    |
| 1,740        |                                            |        | 06/20/08         | 44,977.09         | 25.848        | 18.65            | 32,451.00        | (12,526.09) LT            |                    |                                    |
| 1,475        |                                            |        | 04/12/11         | 32,612.10         | 22.109        | 18.65            | 27,508.75        | (5,103.35) ST             |                    |                                    |
| <b>5,178</b> |                                            |        |                  | <b>132,180.31</b> | <b>25.527</b> |                  | <b>96,568.70</b> | <b>(35,610.61)</b>        | <b>5.26</b>        | <b>5,079.62</b>                    |
| 1,140        | KT CORP SPONSORED ADR                      | KT     | 05/16/02         | 26,601.90         | 23.335        | 15.64            | 17,829.60        | (8,772.30) LT             |                    |                                    |
| 1,100        |                                            |        | 03/07/07         | 25,097.71         | 22.816        | 15.64            | 17,204.00        | (7,893.71) LT             |                    |                                    |
| <b>2,240</b> |                                            |        |                  | <b>51,699.61</b>  | <b>23.08</b>  |                  | <b>35,033.60</b> | <b>(16,666.01)</b>        | <b>5.53</b>        | <b>1,937.60</b>                    |
| 2,050        | KINGFISHER PLC                             | KGFHY  | 09/14/07         | 16,025.47         | 7.817         | 7.69             | 15,764.50        | (260.97) LT               |                    |                                    |
| 6,030        | SPONSORED ADR NEW                          |        | 05/06/08         | 33,086.01         | 5.486         | 7.69             | 46,370.70        | 13,284.69 LT              |                    |                                    |
| <b>8,080</b> |                                            |        |                  | <b>49,111.48</b>  | <b>6.078</b>  |                  | <b>62,135.20</b> | <b>13,023.72</b>          | <b>2.808</b>       | <b>1,746.28</b>                    |
| 137          | KONINKLIJKE AHOLD NV ADR<br>(NEW)          | AHONY  | 02/11/04         | 993.19            | 7.249         | 13.45            | 1,842.65         | 849.46 LT                 |                    |                                    |
| 2,608        |                                            |        | 10/03/05         | 16,251.62         | 6.231         | 13.45            | 35,077.60        | 18,825.98 LT              |                    |                                    |
| 1,648        |                                            |        | 04/12/06         | 10,407.45         | 6.315         | 13.45            | 22,165.60        | 11,758.15 LT              |                    |                                    |
| 1,392        |                                            |        | 12/01/06         | 12,597.36         | 9.049         | 13.45            | 18,722.40        | 6,125.04 LT               |                    |                                    |
| 200          |                                            |        | 08/13/10         | 2,568.52          | 12.842        | 13.45            | 2,690.00         | 121.48 LT                 |                    |                                    |
| 200          |                                            |        | 08/18/10         | 2,565.56          | 12.827        | 13.45            | 2,690.00         | 124.44 LT                 |                    |                                    |
| 75           |                                            |        | 10/20/10         | 1,007.24          | 13.429        | 13.45            | 1,008.75         | 1.51 LT                   |                    |                                    |
| 240          |                                            |        | 11/23/10         | 3,049.90          | 12.707        | 13.45            | 3,228.00         | 178.10 LT                 |                    |                                    |
| <b>6,500</b> |                                            |        |                  | <b>49,440.84</b>  | <b>7.606</b>  |                  | <b>87,425.00</b> | <b>37,984.16</b>          | <b>2.587</b>       | <b>2,262.00</b>                    |
| 900          | KOREA ELEC PWR CORP SP ADR                 | KEP    | 11/13/00         | 11,549.34         | 12.832        | 10.98            | 9,882.00         | (1,667.34) LT             |                    |                                    |
| 2,540        |                                            |        | 01/29/01         | 25,313.39         | 9.965         | 10.98            | 27,869.20        | 2,575.81 LT               |                    |                                    |
| <b>3,440</b> |                                            |        |                  | <b>36,862.73</b>  | <b>10.716</b> |                  | <b>37,771.20</b> | <b>908.47</b>             | <b>2.495</b>       | <b>942.56</b>                      |
| 2,380        | MS&AD INSURANCE UNSPON ADR                 | MSADY  | 09/22/06         | 47,644.82         | 20.018        | 9.09             | 21,634.20        | (26,010.62)* LT           |                    |                                    |
| 960          |                                            |        | 11/28/06         | 18,296.85         | 19.059        | 9.09             | 8,726.40         | (9,570.45) LT             |                    |                                    |
| 2,220        |                                            |        | 01/19/07         | 45,188.14         | 20.355        | 9.09             | 20,179.80        | (25,008.34) LT            |                    |                                    |
| 3,300        |                                            |        | 09/20/07         | 58,313.92         | 17.67         | 9.09             | 29,997.00        | (28,316.92) LT            |                    |                                    |
| <b>8,860</b> |                                            |        |                  | <b>189,443.73</b> | <b>19.125</b> |                  | <b>80,537.40</b> | <b>(88,906.33)</b>        | <b>2.838</b>       | <b>2,286.88</b>                    |
| 1,155        | MARKS & SPENCER GROUP PLC<br>SPONSORED ADR | MAKSY  | 11/20/03         | 10,898.12         | 9.435         | 9.52             | 10,995.60        | 97.48 LT                  |                    |                                    |
| 1,800        |                                            |        | 12/11/03         | 17,527.56         | 9.737         | 9.52             | 17,136.00        | (391.56) LT               |                    |                                    |
| 1,110        |                                            |        | 03/17/08         | 16,009.75         | 14.423        | 9.52             | 10,567.20        | (5,442.55) LT             |                    |                                    |
| 3,130        |                                            |        | 07/09/08         | 29,108.06         | 9.299         | 9.52             | 29,797.60        | 689.54 LT                 |                    |                                    |

# MorganStanley SmithBarney

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## Reserved Client Statement

December 1 - December 31, 2011

Account number 593-07424-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity      | Description                            | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|---------------|----------------------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 1,890         | MARKS & SPENCER GROUP PLC              | MAKSY  | 07/23/08      | \$ 20,501.02      | \$ 10.847     | \$ 9.52       | \$ 17,992.80      | (\$ 2,508.22)          | LT              |                                 |
| <b>9,085</b>  | <b>SPONSORED ADR</b>                   |        |               | <b>94,044.51</b>  | <b>10.352</b> |               | <b>86,489.20</b>  | <b>(7,555.31)</b>      |                 | <b>4,951.52</b>                 |
| 710           | mitsubishi UFJ FINANCIAL GROUP INC ADR | MTU    | 11/13/06      | 8,495.51          | 11.965        | 4.19          | 2,974.90          | (5,520.61)             | LT              |                                 |
| 3,560         |                                        |        | 11/21/06      | 41,916.86         | 11.774        | 4.19          | 14,916.40         | (27,000.46)            | LT              |                                 |
| 4,040         |                                        |        | 03/28/07      | 46,261.23         | 11.45         | 4.19          | 16,927.60         | (29,333.63)            | LT              |                                 |
| 3,110         |                                        |        | 04/18/07      | 34,777.58         | 11.182        | 4.19          | 13,030.90         | (21,746.68)            | LT              |                                 |
| 5,450         |                                        |        | 07/25/07      | 60,466.66         | 11.094        | 4.19          | 22,835.50         | (37,631.16)            | LT              |                                 |
| <b>16,870</b> |                                        |        |               | <b>191,917.84</b> | <b>11.376</b> |               | <b>70,685.30</b>  | <b>(121,232.54)</b>    |                 | <b>3,317</b>                    |
| 4,150         | MIZUHO FINL GROUP INC-JPY SPONS ADR    | MFG    | 04/27/07      | 50,398.02         | 12.144        | 2.68          | 11,122.00         | (39,276.02)            | LT              |                                 |
| 7,070         |                                        |        | 05/22/07      | 93,078.67         | 13.165        | 2.68          | 18,947.60         | (74,131.07)            | LT              |                                 |
| 3,560         |                                        |        | 08/15/07      | 41,367.56         | 11.62         | 2.68          | 9,540.80          | (31,826.76)            | LT              |                                 |
| 1,910         |                                        |        | 09/25/07      | 20,776.41         | 10.877        | 2.68          | 5,118.80          | (15,657.61)            | LT              |                                 |
| 2,480         |                                        |        | 11/01/07      | 27,632.41         | 11.142        | 2.68          | 6,646.40          | (20,986.01)            | LT              |                                 |
| 960           |                                        |        | 07/15/10      | 3,071.90          | 3.199         | 2.68          | 2,572.80          | (499.10)               | LT              |                                 |
| <b>20,130</b> |                                        |        |               | <b>236,324.97</b> | <b>11.74</b>  |               | <b>53,948.40</b>  | <b>(182,376.57)</b>    |                 | <b>7,835</b>                    |
| 370           | NIPPON TEL & TEL SPON ADR              | NTT    | 08/24/05      | 8,294.48          | 22.417        | 25.33         | 9,372.10          | 1,077.62               | LT              |                                 |
| 2,220         |                                        |        | 06/27/07      | 49,933.57         | 22.492        | 25.33         | 56,232.60         | 6,299.03               | LT              |                                 |
| 2,620         |                                        |        | 07/25/07      | 58,444.34         | 22.307        | 25.33         | 66,364.60         | 7,920.26               | LT              |                                 |
| <b>5,210</b>  |                                        |        |               | <b>116,672.39</b> | <b>22.394</b> |               | <b>131,968.30</b> | <b>15,296.91</b>       |                 | <b>2,992</b>                    |
| 2,820         | NISSAN MTR LTD SPONS ADR               | NSANY  | 05/09/08      | 51,917.89         | 18.41         | 17.78         | 50,139.60         | (1,778.29)             | LT              |                                 |
| 1,770         |                                        |        | 09/11/08      | 26,441.68         | 14.938        | 17.78         | 31,470.60         | 5,028.92               | LT              |                                 |
| <b>4,590</b>  |                                        |        |               | <b>78,359.57</b>  | <b>17.072</b> |               | <b>81,610.20</b>  | <b>3,250.63</b>        |                 | <b>1,726</b>                    |
| 155           | POSCO SPON ADR                         | PKX    | 11/09/11      | 12,764.92         | 82.354        | 82.10         | 12,725.50         | (39.42)                | ST              |                                 |
| 10            |                                        |        | 11/16/11      | 846.25            | 84.624        | 82.10         | 821.00            | (25.25)                | ST              |                                 |
| 50            |                                        |        | 11/18/11      | 4,165.75          | 83.315        | 82.10         | 4,105.00          | (60.75)                | ST              |                                 |
| 285           |                                        |        | 12/27/11      | 23,908.59         | 83.889        | 82.10         | 23,398.50         | (510.09)               | ST              |                                 |
| <b>500</b>    |                                        |        |               | <b>41,685.51</b>  | <b>83.371</b> |               | <b>41,050.00</b>  | <b>(635.51)</b>        |                 | <b>2,283</b>                    |
| 30            | PETROLEO BRASILEIRO SA ADR             | PBRA   | 05/03/10      | 1,110.00          | 37.00         | 23.49         | 704.70            | (405.30)               | LT              |                                 |
| 10            |                                        |        | 05/25/10      | 280.33            | 28.032        | 23.49         | 234.90            | (45.43)                | LT              |                                 |
| 315           |                                        |        | 06/22/10      | 10,236.96         | 32.498        | 23.49         | 7,399.35          | (2,837.61)             | LT              |                                 |
| 1,245         |                                        |        | 06/29/10      | 37,157.15         | 29.845        | 23.49         | 29,245.05         | (7,912.10)             | LT              |                                 |
| 30            |                                        |        | 07/16/10      | 909.41            | 30.313        | 23.49         | 704.70            | (204.71)               | LT              |                                 |
| 15            |                                        |        | 08/13/10      | 470.48            | 31.365        | 23.49         | 352.35            | (118.13)               | LT              |                                 |
| 130           |                                        |        | 08/20/10      | 3,972.31          | 30.556        | 23.49         | 3,053.70          | (918.61)               | LT              |                                 |
| 30            |                                        |        | 08/26/10      | 884.99            | 29.499        | 23.49         | 704.70            | (180.29)               | LT              |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

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Account number 593-07424-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description                 | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|-----------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 990          | PETROLEO BRASILEIRO SA ADR  | PBRA   | 10/19/10      | \$ 30,379.64      | \$ 30.686     | \$ 23.49      | \$ 23,255.10      | (\$ 7,124.54)          | LT              |                                 |
| 10           |                             |        | 10/22/10      | 290.00            | 29.00         | 23.49         | 234.90            | (55.10)                | LT              |                                 |
| 100          |                             |        | 08/25/11      | 2,496.85          | 24.968        | 23.49         | 2,349.00          | (147.85)               | ST              |                                 |
| 320          |                             |        | 10/17/11      | 7,040.00          | 22.00         | 23.49         | 7,516.80          | 476.80                 | ST              |                                 |
| <b>3,225</b> |                             |        |               | <b>95,228.12</b>  | <b>29.528</b> |               | <b>75,755.25</b>  | <b>(19,472.87)</b>     | <b>.638</b>     | <b>483.75</b>                   |
| 4,628,6957   | PORTUGAL TELECOM SPON ADR   | PT     | 07/13/99      | 36,914.59         | 7.975         | 5.77          | 26,707.57         | (10,207.02)            | LT              |                                 |
| 2,621,3043   |                             |        | 08/28/00      | 27,539.38         | 10.506        | 5.77          | 15,124.93         | (12,414.45)            | LT              |                                 |
| <b>7,250</b> |                             |        |               | <b>64,453.97</b>  | <b>8.89</b>   |               | <b>41,832.50</b>  | <b>(22,621.47)</b>     | <b>15.459</b>   | <b>6,467.00</b>                 |
| 1,530        | SK TELECOM LTD SPON ADR     | SKM    | 11/30/05      | 32,055.64         | 20.951        | 13.61         | 20,823.30         | (11,232.34)            | LT              |                                 |
| 1,890        |                             |        | 09/19/06      | 43,326.55         | 22.924        | 13.61         | 25,722.90         | (17,603.65)            | LT              |                                 |
| 1,000        |                             |        | 03/02/07      | 22,729.00         | 22.729        | 13.61         | 13,610.00         | (9,119.00)             | LT              |                                 |
| <b>4,420</b> |                             |        |               | <b>98,111.19</b>  | <b>22.197</b> |               | <b>60,156.20</b>  | <b>(37,954.99)</b>     | <b>6.349</b>    | <b>3,217.76</b>                 |
| 530          | SANOFI SPONS ADR            | SNY    | 10/05/06      | 23,585.00         | 44.50         | 36.54         | 19,366.20         | (4,218.80)             | LT              |                                 |
| 1,220        |                             |        | 11/22/06      | 52,855.04         | 43.323        | 36.54         | 44,578.80         | (8,276.24)             | LT              |                                 |
| 1,330        |                             |        | 02/22/07      | 58,236.58         | 43.786        | 36.54         | 48,598.20         | (9,638.38)             | LT              |                                 |
| 520          |                             |        | 07/27/07      | 21,558.52         | 41.458        | 36.54         | 19,000.80         | (2,557.72)             | LT              |                                 |
| 510          |                             |        | 08/17/07      | 19,894.13         | 39.008        | 36.54         | 18,635.40         | (1,258.73)             | LT              |                                 |
| <b>4,110</b> |                             |        |               | <b>176,128.27</b> | <b>42.854</b> |               | <b>150,179.40</b> | <b>(25,948.87)</b>     | <b>3.62</b>     | <b>5,437.53</b>                 |
| <b>4,510</b> | SEIKO EPSON CORP UNSPON ADR | SEKEY  | 09/06/07      | <b>57,654.04</b>  | 12.783        | 6.52          | <b>29,405.20</b>  | <b>(28,248.84)</b>     | <b>1.748</b>    | <b>514.14</b>                   |
| 1,670        | SEVEN & I HLDGS CO LTD-JPY  | SVNDY  | 07/20/10      | 78,057.80         | 46.741        | 55.25         | 92,267.50         | 14,209.70              | LT              |                                 |
| 140          |                             |        | 10/19/10      | 6,678.85          | 47.706        | 55.25         | 7,735.00          | 1,056.15               | LT              |                                 |
| 150          |                             |        | 11/19/10      | 7,554.95          | 50.366        | 55.25         | 8,287.50          | 732.55                 | LT              |                                 |
| 110          |                             |        | 04/08/11      | 5,310.06          | 48.273        | 55.25         | 6,077.50          | 767.44                 | ST              |                                 |
| <b>2,070</b> |                             |        |               | <b>97,601.66</b>  | <b>47.151</b> |               | <b>114,387.50</b> | <b>16,785.84</b>       | <b>2.383</b>    | <b>2,728.19</b>                 |
| 915          | SONY CORP SPON ADR-NEW      | SNE    | 10/19/05      | 30,350.00         | 33.169        | 18.04         | 16,506.60         | (13,843.40)            | LT              |                                 |
| 420          |                             |        | 08/24/07      | 19,831.69         | 47.218        | 18.04         | 7,576.80          | (12,254.89)            | LT              |                                 |
| 1,590        |                             |        | 10/28/08      | 31,343.83         | 19.713        | 18.04         | 28,683.60         | (2,660.23)             | LT              |                                 |
| 260          |                             |        | 06/17/11      | 6,444.88          | 24.788        | 18.04         | 4,690.40          | (1,754.48)             | ST              |                                 |
| 275          |                             |        | 10/26/11      | 5,572.19          | 20.262        | 18.04         | 4,961.00          | (611.19)               | ST              |                                 |
| <b>3,460</b> |                             |        |               | <b>93,542.59</b>  | <b>27.035</b> |               | <b>62,418.40</b>  | <b>(31,124.19)</b>     | <b>1.59</b>     | <b>993.02</b>                   |
| 1,985        | STMICROELECTRONICS NV       | STM    | 07/06/05      | 31,888.23         | 16.064        | 5.93          | 11,771.05         | (20,117.18)            | LT              |                                 |
| 1,280        | SHS- N Y REGISTRY           |        | 06/28/06      | 19,859.46         | 15.515        | 5.93          | 7,590.40          | (12,269.06)            | LT              |                                 |
| 2,130        |                             |        | 02/11/08      | 24,466.46         | 11.486        | 5.93          | 12,630.90         | (11,835.56)            | LT              |                                 |
| 2,470        |                             |        | 02/27/08      | 31,996.87         | 12.954        | 5.93          | 14,647.10         | (17,349.77)            | LT              |                                 |

# MorganStanley SmithBarney

Ref 00025791 00187131

## Reserved Client Statement

December 1 - December 31, 2011

Account number 593-07424-15 507

### HARDEN FOUNDATION

#### Common stocks & options *continued*

| Quantity      | Description                | Symbol | Date<br>acquired | Cost              | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|---------------|----------------------------|--------|------------------|-------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 2,480         | STMICROELECTRONICS N V     | STM    | 12/08/11         | \$ 14,757.74      | \$ 5.95       | \$ 5.93          | \$ 14,706.40     | (\$ 51.34) ST             |                    |                                    |
| 270           | SHS- N Y REGISTRY          |        | 12/15/11         | 1,503.06          | 5.566         | 5.93             | 1,601.10         | 98.04 ST                  |                    |                                    |
| <b>10,615</b> |                            |        |                  | <b>124,471.82</b> | <b>11.728</b> |                  | <b>62,948.95</b> | <b>(61,524.87)</b>        | <b>5.733</b>       | <b>3,609.10</b>                    |
| 2,475         | SUMITOMO MITSUI FINL GROUP | SMFG   | 08/20/07         | 38,998.08         | 15.756        | 5.51             | 13,637.25        | (25,360.83) LT            |                    |                                    |
| 1,345         | INC-JPY                    |        | 09/05/07         | 20,558.06         | 15.284        | 5.51             | 7,410.95         | (13,147.11) LT            |                    |                                    |
| 4,720         |                            |        | 09/06/07         | 70,272.30         | 14.888        | 5.51             | 26,007.20        | (44,265.10) LT            |                    |                                    |
| 1,440         |                            |        | 09/19/07         | 20,479.68         | 14.222        | 5.51             | 7,934.40         | (12,545.28) LT            |                    |                                    |
| 3,155         |                            |        | 09/26/07         | 45,692.60         | 14.482        | 5.51             | 17,394.05        | (28,308.55) LT            |                    |                                    |
| <b>13,135</b> |                            |        |                  | <b>196,000.72</b> | <b>14.922</b> |                  | <b>72,373.85</b> | <b>(123,626.87)</b>       | <b>4.192</b>       | <b>3,034.19</b>                    |
| 40            | SWISS RE LTD ADR           | SSREY  | 06/15/11         | 2,310.39          | 57.759        | 50.55            | 2,022.00         | (288.39) ST               |                    |                                    |
| 540           |                            |        | 06/22/11         | 30,367.71         | 56.236        | 50.55            | 27,297.00        | (3,070.71) ST             |                    |                                    |
| <b>580</b>    |                            |        |                  | <b>32,678.10</b>  | <b>56.342</b> |                  | <b>29,319.00</b> | <b>(3,359.10)</b>         |                    |                                    |
| 980           | SWISSCOM AG SPONS ADR      | SCMWY  | 11/14/00         | 23,098.50         | 25.172        | 37.92            | 37,161.60        | 14,063.10 LT              |                    |                                    |
| 670           |                            |        | 01/04/06         | 21,778.42         | 32.505        | 37.92            | 25,406.40        | 3,627.98 LT               |                    |                                    |
| <b>1,650</b>  |                            |        |                  | <b>44,876.92</b>  | <b>27.198</b> |                  | <b>62,568.00</b> | <b>17,691.08</b>          | <b>5.775</b>       | <b>3,613.50</b>                    |
| 1,000         | TAKEDA PHARMACEUTICAL CO   | TKPHY  | 03/04/08         | 27,292.40         | 27.292        | 22.05            | 22,050.00        | (5,242.40)* LT            |                    |                                    |
| 525           |                            |        | 10/04/10         | 12,141.41         | 23.126        | 22.05            | 11,576.25        | (565.16) LT               |                    |                                    |
| 860           |                            |        | 10/28/10         | 19,951.57         | 23.199        | 22.05            | 18,963.00        | (988.57) LT               |                    |                                    |
| 60            |                            |        | 03/31/11         | 1,407.16          | 23.452        | 22.05            | 1,323.00         | (84.16) ST                |                    |                                    |
| 1,060         |                            |        | 07/12/11         | 24,427.06         | 23.044        | 22.05            | 23,373.00        | (1,054.06) ST             |                    |                                    |
| <b>3,505</b>  |                            |        |                  | <b>85,219.60</b>  | <b>24.314</b> |                  | <b>77,285.25</b> | <b>(7,934.35)</b>         | <b>4.671</b>       | <b>3,533.04</b>                    |
| 1,220         | TELE NORTE LESTE           | TNE    | 11/14/00         | 23,569.08         | 19.318        | 9.51             | 11,602.20        | (11,966.88) LT            |                    |                                    |
| 240           | PARTICIPACOE *TLPP-BRL     |        | 05/15/01         | 3,285.58          | 13.689        | 9.51             | 2,282.40         | (1,003.18) LT             |                    |                                    |
| <b>1,460</b>  | SPONSORED ADR REPSTG PFD   |        |                  | <b>26,854.66</b>  | <b>18.394</b> |                  | <b>13,884.60</b> | <b>(12,970.06)</b>        | <b>5.047</b>       | <b>700.80</b>                      |
| 1,089         | TELECOM ITALIA S P A-ADR   | TI     | 07/29/96         | 10,144.82         | 9.318         | 10.65            | 11,597.85        | 1,453.03 LT               |                    |                                    |
| 759           | (NEW)                      |        | 11/06/98         | 16,670.60         | 21.969        | 10.65            | 8,083.35         | (8,587.25) LT             |                    |                                    |
| 525           |                            |        | 12/19/03         | 15,675.87         | 29.858        | 10.65            | 5,591.25         | (10,084.62) LT            |                    |                                    |
| 840           |                            |        | 09/30/05         | 27,506.30         | 32.745        | 10.65            | 8,946.00         | (18,560.30) LT            |                    |                                    |
| 890           |                            |        | 01/20/06         | 25,346.93         | 28.479        | 10.65            | 9,478.50         | (15,868.43) LT            |                    |                                    |
| 2,010         |                            |        | 02/15/06         | 55,997.39         | 27.859        | 10.65            | 21,406.50        | (34,590.89) LT            |                    |                                    |
| 510           |                            |        | 03/29/06         | 14,672.70         | 28.77         | 10.65            | 5,431.50         | (9,241.20) LT             |                    |                                    |
| 1,020         |                            |        | 02/14/07         | 31,801.66         | 31.178        | 10.65            | 10,863.00        | (20,938.66) LT            |                    |                                    |
| 900           |                            |        | 07/18/07         | 25,816.14         | 28.684        | 10.65            | 9,585.00         | (16,231.14) LT            |                    |                                    |
| 710           |                            |        | 08/10/07         | 18,733.49         | 26.385        | 10.65            | 7,561.50         | (11,171.99) LT            |                    |                                    |

MorganStanley  
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# Reserved Client Statement

December 1 - December 31, 2011

Account number 593-07424-15 507

HARDEN FOUNDATION

## Common stocks & options *continued*

| Quantity | Description                       | Symbol | Date<br>acquired | Cost         | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|----------|-----------------------------------|--------|------------------|--------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 990      | TELECOM ITALIA S.P.A-ADR<br>(NEW) | TI     | 12/17/09         | \$ 15,607.25 | \$ 15.764     | \$ 10.65         | \$ 10,543.50     | (\$ 5,063.75) LT          | 9.981              | 10,888.31                          |
| 10,243   |                                   |        |                  | 267,973.16   | 25.185        |                  | 109,087.95       | (148,885.20)              |                    |                                    |
| 369,0672 | TELEFONICA BRASIL SA              | VIV    | 11/14/00         | 9,928.95     | 26.908        | 27.33            | 10,086.61        | 157.66 LT                 |                    |                                    |
| 130,0934 |                                   |        | 05/15/01         | 3,226.55     | 24.806        | 27.33            | 3,555.45         | 328.90 LT                 |                    |                                    |
| 78 8759  |                                   |        | 01/21/05         | 943.35       | 11.962        | 27.33            | 2,155.68         | 1,212.33 LT               |                    |                                    |
| 185.9635 |                                   |        | 09/11/09         | 2,760.52     | 14.847        | 27.33            | 5,082.38         | 2,321.86 LT               |                    |                                    |
| 764      |                                   |        |                  | 16,859.37    | 22.067        |                  | 20,880.12        | 4,020.75                  | 8.419              | 1,757.86                           |
| 747      | TELEFONICA S.A. SPON ADR          | TEF    | 02/03/06         | 11,202.04    | 14.996        | 17.19            | 12,840.93        | 1,638.89 LT               |                    |                                    |
| 1,230    |                                   |        | 05/20/09         | 25,094.67    | 20.402        | 17.19            | 21,143.70        | (3,950.97) LT             |                    |                                    |
| 15       |                                   |        | 04/27/10         | 331.94       | 22.129        | 17.19            | 257.85           | (74.09) LT                |                    |                                    |
| 1,992    |                                   |        |                  | 36,628.65    | 18.388        |                  | 34,242.48        | (2,386.17)                | 9.959              | 3,410.30                           |
| 394.4778 | TIM PARTICIPACOES SA ADR          | TSU    | 11/14/00         | 5,934.82     | 15.055        | 25.80            | 10,177.53        | 4,242.71 LT               |                    |                                    |
| 67.5222  |                                   |        | 05/15/01         | 720.79       | 10.682        | 25.80            | 1,742.07         | 1,021.28 LT               |                    |                                    |
| 462      |                                   |        |                  | 6,655.61     | 14.406        |                  | 11,919.80        | 5,263.99                  | 2.395              | 285.52                             |
| 1,080    | TOKIO MARINE HLDGS INC            | TKOMY  | 02/28/05         | 31,630.78    | 29.287        | 22.00            | 23,760.00        | (7,870.78) LT             |                    |                                    |
| 1,390    | ADR                               |        | 09/06/07         | 50,824.93    | 36.564        | 22.00            | 30,580.00        | (20,244.93) LT            |                    |                                    |
| 790      |                                   |        | 12/20/07         | 25,503.25    | 32.282        | 22.00            | 17,380.00        | (8,123.25) LT             |                    |                                    |
| 3,260    |                                   |        |                  | 107,958.96   | 33.116        |                  | 71,720.00        | (36,238.96)               | 2.445              | 1,753.88                           |
| 1,445    | TOTAL S.A SPONS ADR               | TOT    | 03/12/10         | 84,795.09    | 58.674        | 51.11            | 73,853.95        | (10,931.14) LT            |                    |                                    |
| 1,020    |                                   |        | 04/16/10         | 59,243.95    | 58.082        | 51.11            | 52,132.20        | (7,111.75) LT             |                    |                                    |
| 30       |                                   |        | 04/23/10         | 1,705.17     | 56.839        | 51.11            | 1,533.30         | (171.87) LT               |                    |                                    |
| 30       |                                   |        | 05/06/10         | 1,483.72     | 49.457        | 51.11            | 1,533.30         | 49.58 LT                  |                    |                                    |
| 40       |                                   |        | 05/12/10         | 2,027.53     | 50.688        | 51.11            | 2,044.40         | 16.87 LT                  |                    |                                    |
| 20       |                                   |        | 05/13/10         | 1,025.58     | 51.278        | 51.11            | 1,022.20         | (3.38) LT                 |                    |                                    |
| 10       |                                   |        | 05/19/10         | 474.66       | 47.465        | 51.11            | 511.10           | 36.44 LT                  |                    |                                    |
| 40       |                                   |        | 05/28/10         | 1,896.58     | 47.414        | 51.11            | 2,044.40         | 147.82 LT                 |                    |                                    |
| 50       |                                   |        | 06/02/10         | 2,265.48     | 45.309        | 51.11            | 2,555.50         | 290.02 LT                 |                    |                                    |
| 10       |                                   |        | 06/07/10         | 452.08       | 45.208        | 51.11            | 511.10           | 59.02 LT                  |                    |                                    |
| 70       |                                   |        | 11/23/10         | 3,560.86     | 50.869        | 51.11            | 3,577.70         | 16.84 LT                  |                    |                                    |
| 2,765    |                                   |        |                  | 158,920.70   | 57.476        |                  | 141,319.15       | (17,601.55)               | 5.272              | 7,451.88                           |
| 220      | TOYOTA MOTOR CORP ADR NEW         | TM     | 10/16/09         | 17,478.32    | 79.446        | 66.13            | 14,548.60        | (2,929.72) LT             |                    |                                    |
| 180      |                                   |        | 10/22/09         | 14,082.26    | 78.234        | 66.13            | 11,903.40        | (2,178.86) LT             |                    |                                    |
| 515      |                                   |        | 03/12/10         | 39,601.96    | 76.897        | 66.13            | 34,056.95        | (5,545.01) LT             |                    |                                    |
| 95       |                                   |        | 05/17/10         | 7,249.75     | 76.313        | 66.13            | 6,282.35         | (967.40) LT               |                    |                                    |
| 15       |                                   |        | 06/17/10         | 1,082.43     | 72.162        | 66.13            | 991.95           | (90.48) LT                |                    |                                    |

# Reserved Client Statement

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MorganStanley  
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Ref: 00025791 00187133

Account number 593-07424-15 507

## HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description               | Symbol | Date acquired | Cost              | Share cost    | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|---------------------------|--------|---------------|-------------------|---------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 10           | TOYOTA MOTOR CORP ADR NEW | TM     | 07/30/10      | \$ 706.15         | \$ 70.614     | \$ 66.13      | \$ 661.30         | (\$ 44.85) LT          |                 |                                 |
| 30           |                           |        | 08/10/10      | 2,149.17          | 71.639        | 66.13         | 1,983.90          | (165.27) LT            |                 |                                 |
| 395          |                           |        | 08/24/10      | 27,840.43         | 70.482        | 66.13         | 26,121.35         | (1,719.08) LT          |                 |                                 |
| 10           |                           |        | 09/17/10      | 722.05            | 72.204        | 66.13         | 661.30            | (60.75) LT             |                 |                                 |
| 55           |                           |        | 10/01/10      | 3,917.02          | 71.218        | 66.13         | 3,637.15          | (279.87) LT            |                 |                                 |
| 40           |                           |        | 10/07/10      | 2,867.47          | 71.686        | 66.13         | 2,645.20          | (222.27) LT            |                 |                                 |
| <b>1,665</b> |                           |        |               | <b>117,697.01</b> | <b>75.208</b> |               | <b>103,493.45</b> | <b>(14,203.56)</b>     | <b>1.76</b>     | <b>1,821.66</b>                 |
| 910          | UNILEVER NV NY SHS-NEW    | UN     | 05/04/04      | 20,702.62         | 22.75         | 34.37         | 31,276.70         | 10,574.08 LT           |                 |                                 |
| 660          |                           |        | 06/09/04      | 14,662.49         | 22.215        | 34.37         | 22,694.20         | 8,021.71 LT            |                 |                                 |
| 1,980        |                           |        | 09/15/04      | 40,130.90         | 20.268        | 34.37         | 68,052.60         | 27,921.70 LT           |                 |                                 |
| 60           |                           |        | 11/01/10      | 1,796.51          | 29.941        | 34.37         | 2,062.20          | 265.69 LT              |                 |                                 |
| 15           |                           |        | 03/10/11      | 456.73            | 30.448        | 34.37         | 515.55            | 58.82 ST               |                 |                                 |
| <b>3,625</b> |                           |        |               | <b>77,749.25</b>  | <b>21.448</b> |               | <b>124,591.25</b> | <b>46,842.00</b>       | <b>3.066</b>    | <b>3,820.75</b>                 |
| 1,006        | VODAFONE GROUP PLC SPONS  | VOD    | 08/04/09      | 21,436.52         | 21.308        | 28.03         | 28,198.18         | 6,761.66* LT           |                 |                                 |
| 25           | ADR NEW                   |        | 05/17/10      | 494.37            | 19.774        | 28.03         | 700.75            | 206.38 LT              |                 |                                 |
| 40           |                           |        | 03/18/11      | 1,104.51          | 27.612        | 28.03         | 1,121.20          | 16.69 ST               |                 |                                 |
| 200          |                           |        | 06/27/11      | 5,271.12          | 26.355        | 28.03         | 5,606.00          | 334.88 ST              |                 |                                 |
| 560          |                           |        | 07/06/11      | 14,836.36         | 26.493        | 28.03         | 15,696.80         | 860.44 ST              |                 |                                 |
| <b>1,831</b> |                           |        |               | <b>43,142.88</b>  | <b>23.562</b> |               | <b>51,322.83</b>  | <b>8,180.05</b>        | <b>5.148</b>    | <b>2,642.13</b>                 |
| 1,530        | WOLTERS KLUWER N V SP ADR | WTKWY  | 05/29/03      | 18,579.71         | 12.143        | 17.12         | 26,193.60         | 7,613.89 LT            |                 |                                 |
| 1,010        |                           |        | 06/06/03      | 13,331.90         | 13.199        | 17.12         | 17,291.20         | 3,959.30 LT            |                 |                                 |
| 261          |                           |        | 04/15/05      | 4,619.70          | 17.70         | 17.12         | 4,468.32          | (151.38) LT            |                 |                                 |
| 183          |                           |        | 04/27/06      | 4,520.10          | 24.70         | 17.12         | 3,132.96          | (1,387.14) LT          |                 |                                 |
| 149          |                           |        | 04/21/09      | 2,783.32          | 18.68         | 17.12         | 2,550.88          | (232.44) LT            |                 |                                 |
| 136          |                           |        | 04/20/10      | 2,586.31          | 19.017        | 17.12         | 2,328.32          | (257.99) LT            |                 |                                 |
| 136          |                           |        | 04/20/11      | 2,993.22          | 22.009        | 17.12         | 2,328.32          | (664.90) ST            |                 |                                 |

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MorganStanley  
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HARDEN FOUNDATION

## Common stocks & options continued

| Quantity | Description                     | Symbol | Date acquired | Cost            | Share cost | Current price | Current value   | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|---------------------------------|--------|---------------|-----------------|------------|---------------|-----------------|------------------------|-----------------|---------------------------------|
| 60       | WOLTERS KLUWER N V SP ADR       | WTKWY  | 11/10/11      | \$ 1,020.26     | \$ 17.004  | \$ 17.12      | \$ 1,027.20     | \$ 6.94                | ST              |                                 |
| 3,486    |                                 |        |               | 50,434.52       | 14,555     |               | 59,320.80       | 8,886.28               | 4.737           | 2,810.12                        |
|          | Total common stocks and options |        |               | \$ 6,041,851.48 |            |               | \$ 4,493,023.36 | (\$ 56,594.20)         | ST              | 4.03                            |
|          |                                 |        |               |                 |            |               |                 | (\$ 1,532,233.92)      | LT              | \$ 179,619.57                   |
|          | Total portfolio value           |        |               | \$ 6,108,576.76 |            |               | \$ 4,519,748.64 | (\$ 56,594.20)         | ST              | 3.97                            |
|          |                                 |        |               |                 |            |               |                 | (\$ 1,532,233.92)      | LT              | \$ 179,626.24                   |

\*Based on information supplied by client or other financial institution, not verified by us

#Based on information supplied by your Financial Advisor

p The cost basis information provided is an estimate. We have requested, and are awaiting receipt of, the final cost basis allocation information from the issuer.

## TRANSACTION DETAILS

All transactions appearing are based on trade-date.

| Investment activity Date | Activity     | Description                                                                                                 | Quantity | Price   | Amount     |
|--------------------------|--------------|-------------------------------------------------------------------------------------------------------------|----------|---------|------------|
| 05/26/11                 | Cash in lieu | WOLTERS KLUWER N V SP ADR<br>THIS IS A CANCELLATION OF<br>CASH IN LIEU<br>PAYABLE 05/26/11 TO<br>TO TAX DIV |          |         | \$ -4.57   |
| 12/05/11                 | Sold         | DEUTSCHE TELEKOM AG SP ADR<br>CGMI AND/OR ITS AFFILIATES                                                    | -240     | 12.422  | 2,981.22   |
| 12/05/11                 | Sold         | WOLSELEY PLC<br>SPONSORED ADR<br>CGMI AND/OR ITS AFFILIATES                                                 | -3,610   | 2.9865  | 10,781.06  |
| 12/08/11                 | Bought       | STMICROELECTRONICS N V<br>SHS- N Y REGISTRY                                                                 | 2,480    | 5.9507  | -14,757.74 |
| 12/12/11                 | Bought       | BP PLC SPONS ADR                                                                                            | 70       | 41.8184 | -2,927.29  |
| 12/13/11                 | Bought       | AMERICA MOVIL S A B DE CV<br>SER L SPONS ADR                                                                | 25       | 22.5073 | -562.68    |
| 12/15/11                 | Bought       | AMERICA MOVIL S A B DE CV<br>SER L SPONS ADR                                                                | 225      | 22.5077 | -5,064.23  |
| 12/15/11                 | Bought       | STMICROELECTRONICS N V<br>SHS- N Y REGISTRY                                                                 | 270      | 5.5669  | -1,503.06  |

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MorganStanley  
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Ref. 00025650 00185990

Account number 593-06236-15 507

HARDEN FOUNDATION

## SEPARATELY MANAGED ACCOUNTS

Consulting Group Investment Advisor Research (CG IAR) status codes (FL, AL or NL) may be shown for certain separately managed account managers. Please refer to "CG IAR Statuses in Investment Advisory Programs" at the end of this statement for a description of these status codes. All status codes represent the opinions of CG IAR and are not representations or guarantees of performance.

Rating

Rating  
FL

Santa Barbara - Stable Growth

## PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares        | Description                          | Current value       | Accrued dividends | Annualized % dividend yield | Anticipated Income (annualized) |
|-------------------------|--------------------------------------|---------------------|-------------------|-----------------------------|---------------------------------|
| 25,979.93               | MORGAN STANLEY LIQUID ASSET FUND INC | \$ 25,979.93        | \$ 0.00           | .01%                        | \$ 2.59                         |
| <b>Total money fund</b> |                                      | <b>\$ 25,979.93</b> | <b>\$ 0.00</b>    |                             | <b>\$ 2.59</b>                  |

## Common stocks & options

| Quantity | Description   | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|---------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 433      | ACCENTURE PLC | ACN    | 12/27/05      | \$ 12,554.57 | \$ 28,994  | \$ 53.23      | \$ 23,048.69  | \$ 10,494.02 LT        | 2.536%          | \$ 584.55                       |

# Reserved Client Statement

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MorganStanley  
SmithBarney

Ref. 00025650 00185931

Account number 593-06236-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                          | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|--------------------------------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 135      | BUNGE LIMITED                        | BG     | 04/02/08      | \$ 11,971.87 | \$ 88.68   | \$ 57.20      | \$ 7,722.00   | (\$ 4,249.87) LT       |                 |                                 |
| 105      |                                      |        | 06/03/09      | 6,700.49     | 63.814     | 57.20         | 6,006.00      | (694.49) LT            |                 |                                 |
| 240      |                                      |        |               | 18,672.36    | 77.802     |               | 13,728.00     | (4,944.36)             | 1.748           | 240.00                          |
| 328      | COVIDIEN PLC                         | COV    | 11/10/11      | 15,087.08    | 45.997     | 45.01         | 14,763.28     | (323.80) ST            | 1.999           | 295.20                          |
| 288      | HERBALIFE LTD                        | HLF    | 09/10/10      | 7,747.23     | 28.907     | 51.67         | 13,847.56     | 6,100.33 LT            | 1.403           | 194.30                          |
| 101      | CHECK POINT SOFTWARE TECH            | CHKP   | 07/30/10      | 3,405.35     | 33.716     | 52.54         | 5,306.54      | 1,901.19 LT            |                 |                                 |
| 260      | Exchange rate .2618143               |        | 11/19/10      | 11,417.80    | 43.914     | 52.54         | 13,660.40     | 2,242.60 LT            |                 |                                 |
| 361      | Shares traded in.<br>Israeli shekels |        |               | 14,823.15    | 41.061     |               | 18,966.94     | 4,143.79               |                 |                                 |
| 244      | AKAMAI TECHNOLOGIES INC              | AKAM   | 04/16/10      | 8,108.86     | 33.233     | 32.28         | 7,876.32      | (232.54) LT            |                 |                                 |
| 154      |                                      |        | 04/27/11      | 6,299.91     | 40.908     | 32.28         | 4,971.12      | (1,328.79) ST          |                 |                                 |
| 398      |                                      |        |               | 14,408.77    | 36.203     |               | 12,847.44     | (1,561.33)             |                 |                                 |
| 300      | ALLERGAN INC                         | AGN    | 12/20/07      | 19,092.00    | 63.64      | 87.74         | 26,322.00     | 7,230.00 LT            | .227            | 60.00                           |
| 248      | ALTERA CORP                          | ALTR   | 04/05/11      | 10,566.61    | 42.607     | 37.10         | 9,200.80      | (1,365.81) ST          |                 |                                 |
| 281      |                                      |        | 08/10/11      | 9,758.93     | 34.729     | 37.10         | 10,425.10     | 666.17 ST              |                 |                                 |
| 529      |                                      |        |               | 20,325.54    | 38.423     |               | 19,625.90     | (699.64)               | .862            | 169.28                          |
| 421      | AMERICAN TOWER CORP-CLASS A          | AMT    | 03/23/11      | 20,734.84    | 49.251     | 60.01         | 25,264.21     | 4,529.37 ST            |                 |                                 |
| 102      | AMPHENOL CORP CLASS A                | APH    | 02/20/09      | 2,578.23     | 25.276     | 45.39         | 4,629.78      | 2,051.55 LT            |                 |                                 |
| 296      |                                      |        | 10/30/09      | 12,021.45    | 40.613     | 45.39         | 13,435.44     | 1,413.99 LT            |                 |                                 |
| 388      |                                      |        |               | 14,599.68    | 36.683     |               | 18,065.22     | 3,465.54               | .132            | 23.88                           |
| 422      | BMC SOFTWARE INC                     | BMC    | 02/09/11      | 20,501.52    | 48.581     | 32.78         | 13,833.16     | (6,668.36) ST          |                 |                                 |
| 500      | BROADCOM CORP CL A                   | BRCM   | 03/03/11      | 21,147.95    | 42.295     | 29.36         | 14,680.00     | (6,467.95) ST          |                 |                                 |
| 125      |                                      |        | 09/14/11      | 4,379.90     | 35.039     | 29.36         | 3,670.00      | (709.90) ST            |                 |                                 |
| 625      |                                      |        |               | 25,527.86    | 40.845     |               | 18,350.00     | (7,177.86)             | 1.226           | 225.00                          |
| 270      | CHICAGO BRIDGEIRON NV SHS            | CBI    | 11/10/11      | 9,986.76     | 36.984     | 37.80         | 10,206.00     | 220.24 ST              | .529            | 54.00                           |
| 185      | CONTINENTAL RESOURCES INC            | CLR    | 01/11/11      | 10,331.77    | 62.616     | 66.71         | 11,007.15     | 675.38 ST              |                 |                                 |
| 272      | COSTCO WHOLESALE CORP NEW            | COST   | 09/10/10      | 16,148.48    | 59.369     | 83.32         | 22,663.04     | 6,514.56 LT            | 1.162           | 281.12                          |
| 122      | DECKERS OUTDOOR CORP                 | DECK   | 05/13/11      | 10,968.87    | 89.908     | 75.57         | 9,219.54      | (1,749.33) ST          |                 |                                 |
| 92       |                                      |        | 12/20/11      | 8,418.37     | 91.504     | 75.57         | 6,952.44      | (1,465.93) ST          |                 |                                 |
| 214      |                                      |        |               | 19,387.24    | 90.595     |               | 16,171.98     | (3,215.26)             |                 |                                 |
| 241      | DISCOVERY COMMUNICATIONS INC         | DISCA  | 05/13/11      | 10,688.30    | 44.349     | 40.97         | 9,873.77      | (814.53) ST            |                 |                                 |
| 270      | SER A                                |        | 06/24/11      | 10,862.51    | 40.231     | 40.97         | 11,061.90     | 199.39 ST              |                 |                                 |
| 511      |                                      |        |               | 21,550.81    | 42.174     |               | 20,935.67     | (615.14)               |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025650 00185992

Account number 593-06236-15 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                | Symbol | Date acquired | Cost        | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|----------------------------|--------|---------------|-------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 275      | DONALDSON CO INC           | DCI    | 03/10/10      | \$ 12,016.1 | \$ 44.369  | \$ 68.08      | \$ 18,722.00  | \$ 6,520.39            | LT              |                                 |
| 111      |                            |        | 03/11/10      | 4,895.98    | 44.107     | 68.08         | 7,556.88      | 2,660.90               | LT              |                                 |
| 386      |                            |        |               | 17,097.59   | 44.294     |               | 26,278.88     | 9,181.29               | .881            | 231.60                          |
| 254      | EMC CORP-MASS              | EMC    | 12/17/08      | 4,250.00    | 16.732     | 21.54         | 5,471.16      | 1,221.16               | LT              |                                 |
| 717      |                            |        | 02/04/10      | 12,049.69   | 16.805     | 21.54         | 15,444.18     | 3,394.49               | LT              |                                 |
| 273      |                            |        | 08/31/11      | 6,180.94    | 22.64      | 21.54         | 5,880.42      | (300.52)               | ST              |                                 |
| 1,244    |                            |        |               | 22,480.63   | 18.071     |               | 26,795.76     | 4,315.13               |                 |                                 |
| 62       | ECOLAB INC                 | ECL    | 01/21/10      | 2,857.01    | 46.08      | 57.81         | 3,584.22      | 727.21                 | LT              |                                 |
| 47       |                            |        | 01/28/10      | 2,065.08    | 43.937     | 57.81         | 2,717.07      | 651.99                 | LT              |                                 |
| 318      |                            |        | 02/25/10      | 13,323.50   | 41.897     | 57.81         | 18,383.58     | 5,060.08               | LT              |                                 |
| 427      |                            |        |               | 18,245.59   | 42.73      |               | 24,684.87     | 6,439.28               | 1.383           | 341.60                          |
| 390      | EMERSON ELECTRIC CO        | EMR    | 03/27/08      | 20,079.82   | 51.486     | 46.59         | 18,170.10     | (1,909.52)             | LT              | 824.00                          |
| 430      | EXPRESS SCRIPTS INC.COMMON | ESRX   | 12/01/05      | 9,353.67    | 21.752     | 44.69         | 19,216.70     | 9,863.13               | LT              |                                 |
| 316      | FLIR SYSTEMS INC           | FLIR   | 06/19/09      | 7,243.92    | 22.923     | 25.07         | 7,922.12      | 678.20                 | LT              | 76.84                           |
| 96       | GILEAD SCIENCES INC        | GILD   | 07/24/07      | 3,648.31    | 38.003     | 40.93         | 3,929.28      | 280.97                 | LT              |                                 |
| 400      |                            |        | 12/20/07      | 18,311.68   | 45.779     | 40.93         | 16,372.00     | (1,939.68)             | LT              |                                 |
| 496      |                            |        |               | 21,959.99   | 44.274     |               | 20,301.28     | (1,658.71)             |                 |                                 |
| 11       | GOOGLE INC                 | GOOG   | 07/13/09      | 4,585.30    | 416.845    | 645.90        | 7,104.90      | 2,519.60               | LT              |                                 |
| 35       | CLASS A                    |        | 09/21/09      | 17,257.07   | 493.059    | 645.90        | 22,606.50     | 5,349.43               | LT              |                                 |
| 13       |                            |        | 03/04/10      | 7,188.97    | 552.997    | 645.90        | 8,396.70      | 1,207.73               | LT              |                                 |
| 3        |                            |        | 06/15/11      | 1,509.07    | 503.024    | 645.90        | 1,937.70      | 428.63                 | ST              |                                 |
| 62       |                            |        |               | 30,540.41   | 492.587    |               | 40,045.80     | 9,505.39               |                 |                                 |
| 303      | INTUIT INC                 | INTU   | 12/20/07      | 9,121.82    | 30.105     | 52.59         | 15,934.77     | 6,812.95               | LT              | 181.80                          |
| 495      | JOHNSON CONTROLS INC       | JCI    | 12/02/10      | 19,410.74   | 39.213     | 31.26         | 15,473.70     | (3,937.04)             | LT              | 356.40                          |
| 531      | JUNIPER NETWORKS INC       | JNPR   | 04/25/11      | 21,279.83   | 40.075     | 20.41         | 10,837.71     | (10,442.12)            | ST              |                                 |
| 371      |                            |        | 09/09/11      | 7,866.46    | 21.203     | 20.41         | 7,572.11      | (294.35)               | ST              |                                 |
| 902      |                            |        |               | 29,146.29   | 32.313     |               | 18,409.82     | (10,736.47)            |                 |                                 |
| 92       | MCDONALDS CORP             | MCD    | 11/26/08      | 5,296.82    | 57.574     | 100.33        | 9,230.36      | 3,933.54               | LT              |                                 |
| 168      |                            |        | 10/07/09      | 9,612.30    | 57.216     | 100.33        | 16,855.44     | 7,243.14               | LT              |                                 |
| 260      |                            |        |               | 14,909.12   | 57.343     |               | 26,085.80     | 11,176.68              | 2.78            | 728.00                          |
| 216      | MONSANTO CO NEW            | MON    | 12/17/08      | 16,359.54   | 75.738     | 70.07         | 15,135.12     | (1,224.42)             | LT              |                                 |
| 59       |                            |        | 11/30/11      | 4,289.67    | 72.706     | 70.07         | 4,134.13      | (155.54)               | ST              |                                 |
| 275      |                            |        |               | 20,649.21   | 75.088     |               | 19,269.25     | (1,379.96)             | 1.712           | 330.00                          |
| 147      | NATIONAL OILWELL VARCO INC | NOV    | 06/15/11      | 10,324.18   | 70.232     | 67.99         | 9,994.53      | (329.65)               | ST              | 70.56                           |

# MorganStanley SmithBarney

Ref 00025650 00185993

## Reserved Client Statement

December 1 - December 31, 2011

**HARDEN FOUNDATION** Account number 593-06236-15 507

### Common stocks & options *continued*

| Quantity   | Description                         | Symbol | Date<br>acquired | Cost             | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|------------|-------------------------------------|--------|------------------|------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 100        | NIKE INC CL B                       | NKE    | 04/11/08         | \$ 6,720.51      | \$ 67.205     | \$ 96.37         | \$ 9,637.00      | \$ 2,916.49               | LT                 |                                    |
| 142        |                                     |        | 07/07/10         | 9,578.58         | 67.454        | 96.37            | 13,684.54        | 4,106.96                  | LT                 |                                    |
| <b>242</b> |                                     |        |                  | <b>16,299.09</b> | <b>67.352</b> |                  | <b>23,321.54</b> | <b>7,022.45</b>           | <b>1.494</b>       | <b>348.48</b>                      |
| 71         | NORFOLK SOUTHERN CORP               | NSC    | 07/25/08         | 4,896.54         | 68.965        | 72.86            | 5,173.06         | 276.52                    | LT                 |                                    |
| 229        |                                     |        | 03/22/10         | 12,575.03        | 54.912        | 72.86            | 16,684.94        | 4,109.91                  | LT                 |                                    |
| <b>300</b> |                                     |        |                  | <b>17,471.57</b> | <b>58.239</b> |                  | <b>21,858.00</b> | <b>4,386.43</b>           | <b>2.38</b>        | <b>516.00</b>                      |
| 284        | NU SKIN ENTERPRISES INC CL A        | NUS    | 05/31/11         | 10,949.36        | 38.554        | 48.57            | 13,793.88        | 2,844.52                  | ST                 |                                    |
| 138        |                                     |        | 09/23/11         | 5,955.13         | 43.153        | 48.57            | 6,702.66         | 747.53                    | ST                 |                                    |
| <b>422</b> |                                     |        |                  | <b>16,904.49</b> | <b>40.058</b> |                  | <b>20,496.54</b> | <b>3,592.05</b>           | <b>1.317</b>       | <b>270.08</b>                      |
| 197        | OCCIDENTAL PETROLEUM CORP-DEL       | OXY    | 02/25/10         | 15,349.82        | 77.917        | 93.70            | 18,458.90        | 3,109.08                  | LT                 |                                    |
| 142        |                                     |        | 11/11/10         | 12,102.63        | 85.229        | 93.70            | 13,305.40        | 1,202.77                  | LT                 |                                    |
| <b>339</b> |                                     |        |                  | <b>27,452.45</b> | <b>80.981</b> |                  | <b>31,764.30</b> | <b>4,311.85</b>           | <b>1.983</b>       | <b>623.76</b>                      |
| 322        | PEPSICO INC                         | PEP    | 01/06/10         | 19,786.90        | 61.45         | 66.35            | 21,364.70        | 1,577.80                  | LT                 |                                    |
| 60         | PRAXAIR INC                         | PX     | 04/28/08         | 5,683.54         | 94.725        | 106.90           | 6,414.00         | 730.46                    | LT                 |                                    |
| 257        |                                     |        | 06/04/08         | 24,746.30        | 96.289        | 106.90           | 27,473.30        | 2,727.00                  | LT                 |                                    |
| <b>317</b> |                                     |        |                  | <b>30,429.84</b> | <b>95.993</b> |                  | <b>33,887.30</b> | <b>3,457.46</b>           | <b>1.87</b>        | <b>634.00</b>                      |
| 338        | T ROWE PRICE GROUP INC              | TROW   | 04/27/11         | 21,716.92        | 64.633        | 56.95            | 19,135.20        | (2,581.62)                | ST                 |                                    |
| 224        | QUALCOMM INC                        | QCOM   | 12/05/05         | 9,954.56         | 44.44         | 54.70            | 12,252.80        | 2,298.24                  | LT                 |                                    |
| 155        |                                     |        | 04/16/10         | 6,573.15         | 42.407        | 54.70            | 8,478.50         | 1,905.35                  | LT                 |                                    |
| <b>379</b> |                                     |        |                  | <b>16,527.71</b> | <b>43.609</b> |                  | <b>20,731.30</b> | <b>4,203.59</b>           | <b>1.572</b>       | <b>325.94</b>                      |
| 311        | ROBERT HALF INTERNATIONAL INC       | RHI    | 01/11/11         | 10,066.55        | 32.368        | 28.46            | 8,851.06         | (1,215.49)                | ST                 |                                    |
| 528        | ROSS STORES INC DE                  | ROST   | 09/08/09         | 12,215.54        | 23.135        | 47.53            | 25,095.84        | 12,880.30                 | LT                 |                                    |
| 244        | SCHLUMBERGER LTD                    | SLB    | 03/06/08         | 21,455.92        | 87.934        | 68.31            | 16,667.64        | (4,788.28)                | LT                 |                                    |
| 143        |                                     |        | 11/11/10         | 10,538.94        | 73.698        | 68.31            | 9,768.33         | (770.61)                  | LT                 |                                    |
| <b>387</b> |                                     |        |                  | <b>31,994.86</b> | <b>82.674</b> |                  | <b>26,435.97</b> | <b>(5,558.89)</b>         | <b>1.463</b>       | <b>387.00</b>                      |
| 177        | STRYKER CORP                        | SYK    | 12/05/05         | 8,310.33         | 46.951        | 49.71            | 8,798.67         | 488.34                    | LT                 |                                    |
| 413        | TEVA PHARMACEUTICAL INDS<br>LTD ADR | TEVA   | 09/19/08         | 18,776.71        | 45.464        | 40.36            | 16,668.88        | (2,108.03)                | LT                 |                                    |
|            |                                     |        |                  |                  |               |                  |                  |                           |                    | <b>324.21</b>                      |
| 96         | UNITED TECHNOLOGIES CORP            | UTX    | 12/12/07         | 7,406.93         | 77.155        | 73.09            | 7,016.64         | (390.29)                  | LT                 |                                    |
| 153        |                                     |        | 05/13/10         | 11,255.09        | 73.562        | 73.09            | 11,182.77        | (72.32)                   | LT                 |                                    |
| 80         |                                     |        | 11/10/11         | 6,179.60         | 77.245        | 73.09            | 5,847.20         | (332.40)                  | ST                 |                                    |
| <b>329</b> |                                     |        |                  | <b>24,841.62</b> | <b>75.508</b> |                  | <b>24,048.61</b> | <b>(795.01)</b>           | <b>2.626</b>       | <b>631.68</b>                      |
| 289        | VARIAN MEDICAL SYSTEMS INC          | VAR    | 12/15/05         | 15,007.22        | 51.928        | 67.13            | 19,400.57        | 4,393.35                  | LT                 |                                    |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025650 00185994

Account number 593-06236-15 507

HARDEN FOUNDATION

## Common stocks & options continued

| Quantity                        | Description          | Symbol | Date acquired | Cost          | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|---------------------------------|----------------------|--------|---------------|---------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 102                             | VISA INC COM CL A    | V      | 04/16/10      | \$ 9,490.00   | \$ 93.039  | \$ 101.53     | \$ 10,356.06  | \$ 866.06              | LT              |                                 |
| 164                             |                      |        | 11/11/10      | 12,854.75     | 78.382     | 101.53        | 16,650.92     | 3,796.17               | LT              |                                 |
| 286                             |                      |        |               | 22,344.75     | 84.003     |               | 27,006.98     | 4,662.23               |                 | 234.08                          |
| 141                             | WATERS CORP          | WAT    | 05/13/09      | 6,214.29      | 44.073     | 74.05         | 10,441.05     | 4,226.76               | LT              |                                 |
| 306                             | WELLS FARGO & CO NEW | WFC    | 10/30/09      | 8,638.29      | 28.229     | 27.56         | 8,433.36      | (204.93)               | LT              |                                 |
| 325                             |                      |        | 02/09/11      | 10,727.47     | 33.007     | 27.56         | 8,957.00      | (1,770.47)             | ST              |                                 |
| 631                             |                      |        |               | 19,365.76     | 30.691     |               | 17,390.36     | (1,975.40)             |                 | 302.88                          |
| Total common stocks and options |                      |        |               |               |            |               |               |                        |                 |                                 |
|                                 |                      |        |               | \$ 857,767.83 |            |               | \$ 864,924.18 | (\$ 28,005.33)         | ST              | 1.15                            |
| Total portfolio value           |                      |        |               |               |            |               |               |                        |                 |                                 |
|                                 |                      |        |               | \$ 893,747.76 |            |               | \$ 890,904.12 | (\$ 28,005.33)         | ST              | 1.13                            |
|                                 |                      |        |               |               |            |               | \$ 125,161.69 | \$ 125,161.69          | LT              | \$ 11,284.72                    |

## TRANSACTION DETAILS All transactions appearing are based on trade date.

| Investment activity Date                       | Activity  | Description                                                                                         | Quantity | Price      | Amount        |
|------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------|----------|------------|---------------|
| 11/30/11                                       | Bought    | MONSANTO CO NEW<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction.     | 59       | \$ 72.7062 | \$ -4,289.67  |
|                                                |           | TRADE AS OF 11/30/11                                                                                |          |            |               |
| 12/16/11                                       | Stk split | ROSS STORES INC DE<br>STK SPLIT ON 264 SHS                                                          | 264      |            | 0.00          |
| 12/20/11                                       | Bought    | DECKERS OUTDOOR CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 92       | 91.504     | -8,418.37     |
|                                                |           | CGMI AND/OR ITS AFFILIATES                                                                          |          |            |               |
| Total securities bought and other subtractions |           |                                                                                                     |          |            |               |
| Total securities sold and other additions      |           |                                                                                                     |          |            |               |
|                                                |           |                                                                                                     |          |            | \$ -12,708.04 |
|                                                |           |                                                                                                     |          |            | \$ 0.00       |

## Money fund activity

| Date                       | Activity   | Description                             | Amount       |
|----------------------------|------------|-----------------------------------------|--------------|
| 12/02/11                   | Autoinvest | MORGAN STANLEY LIQUID ASSET<br>FUND INC | 133.04       |
| Opening money fund balance |            |                                         | \$ 36,986.88 |

All transactions are traded at \$1.00 per share

| Date     | Activity   | Description                             | Amount |
|----------|------------|-----------------------------------------|--------|
| 12/16/11 | Autoinvest | MORGAN STANLEY LIQUID ASSET<br>FUND INC | 394.04 |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref. 00025984 00189434

Account number 593-09052-10 507

HARDEN FOUNDATION

## Common stocks &amp; options

| Quantity | Description                    | Symbol | Date acquired | Cost        | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|--------------------------------|--------|---------------|-------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 318      | AXIS CAPITAL HOLDINGS LTD-USD  | AXS    | 10/16/09      | \$ 9,606.47 | \$ 30.209  | \$ 31.96      | \$ 10,163.28  | \$ 556.81 LT           |                 |                                 |
| 251      |                                |        | 02/04/10      | 7,152.04    | 28.494     | 31.96         | 8,021.96      | 869.92 LT              |                 |                                 |
| 569      |                                |        |               | 16,758.51   | 29.453     |               | 18,185.24     | 1,426.73               | 3.003           | 546.24                          |
| 126      | TRANSOCEAN LTD SWITZERLAND NEW | RIG    | 12/07/11      | 5,720.73    | 45.402     | 38.39         | 4,837.14      | (883.59) ST            |                 |                                 |
| 142      |                                |        | 12/08/11      | 6,224.80    | 43.836     | 38.39         | 5,451.38      | (773.42) ST            |                 |                                 |
| 268      |                                |        |               | 11,945.53   | 44.573     |               | 10,288.52     | (1,657.01)             | 8.231           | 846.88                          |
| 175      | UBS AG (NEW)                   | UBS    | 01/07/08      | 7,174.41    | 40.996     | 11.83         | 2,070.25      | (5,104.16) LT          |                 |                                 |
| 304      |                                |        | 02/29/08      | 9,483.30    | 31.195     | 11.83         | 3,596.32      | (5,886.98) LT          |                 |                                 |
| 266      |                                |        | 06/18/08      | 5,401.27    |            | 11.83         | 3,146.78      | (2,254.49) LT          |                 |                                 |
| 343      |                                |        | 01/22/10      | 4,901.09    | 14.288     | 11.83         | 4,057.69      | (843.40) LT            |                 |                                 |
| 207      |                                |        | 08/03/11      | 3,256.09    | 15.729     | 11.83         | 2,448.81      | (807.28) ST            |                 |                                 |
| 1,295    |                                |        |               | 30,216.16   | 23.333     |               | 15,319.85     | (14,896.31)            |                 |                                 |
| 299      | ALLIANZ SE ADR                 | AZSEY  | 05/21/10      | 3,037.36    | 10.158     | 9.47          | 2,831.53      | (205.83) LT            |                 |                                 |
| 705      |                                |        | 05/24/10      | 7,059.66    | 10.013     | 9.47          | 6,676.35      | (383.31) LT            |                 |                                 |
| 730      |                                |        | 08/09/11      | 8,067.81    | 11.051     | 9.47          | 6,913.10      | (1,154.71) ST          |                 |                                 |
| 1,734    |                                |        |               | 18,184.83   | 10.476     |               | 16,420.98     | (1,743.85)             | 5.10            | 837.52                          |
| 2,940    | ALSTOM-EUR                     | ALSMY  | 08/18/11      | 12,932.47   | 4.398      | 2.95          | 8,673.00      | (4,259.47) ST          |                 |                                 |
| 880      |                                |        | 10/03/11      | 2,800.25    | 3.182      | 2.95          | 2,596.00      | (204.25) ST            |                 |                                 |
| 3,820    |                                |        |               | 16,732.72   | 4.119      |               | 11,269.00     | (4,463.72)             | 2.101           | 238.84                          |
| 704      | ALUMINA LTD SPONSORED ADR      | AWC    | 12/12/07      | 15,889.21   | 22.569     | 4.61          | 3,245.44      | (12,643.77) LT         |                 |                                 |
| 745      |                                |        | 05/19/10      | 4,122.31    | 5.533      | 4.61          | 3,434.45      | (687.86) LT            |                 |                                 |
| 715      |                                |        | 09/28/11      | 4,011.22    | 5.61       | 4.61          | 3,296.15      | (715.07) ST            |                 |                                 |
| 2,184    |                                |        |               | 24,022.74   | 11.101     |               | 9,976.04      | (14,046.70)            | 6.052           | 603.76                          |
| 515      | ANGLOGOLD ASHANTI LTD ADR      | AU     | 07/22/08      | 12,676.52   | 24.614     | 42.45         | 21,861.75     | 9,185.23 LT            |                 |                                 |
| 128      |                                |        | 02/08/11      | 5,838.58    | 45.613     | 42.45         | 5,433.60      | (404.98) ST            |                 |                                 |
| 643      |                                |        |               | 18,515.10   | 28.795     |               | 27,295.35     | 8,780.25               | .805            | 219.91                          |
| 1        | ASTRAZENECA PLC SPON ADR       | AZN    | 01/12/10      | 47.54       | 47.536     | 46.29         | 46.29         | (1.25) LT              |                 |                                 |
| 167      |                                |        | 01/14/10      | 8,219.34    | 49.217     | 46.29         | 7,730.43      | (488.91) LT            |                 |                                 |
| 223      |                                |        | 04/22/10      | 10,061.94   | 45.12      | 46.29         | 10,322.67     | 260.73 LT              |                 |                                 |
| 157      |                                |        | 02/07/11      | 7,525.53    | 47.933     | 46.29         | 7,267.53      | (258.00) ST            |                 |                                 |
| 128      |                                |        | 02/08/11      | 6,195.02    | 48.398     | 46.29         | 5,925.12      | (269.90) ST            |                 |                                 |
| 676      |                                |        |               | 32,049.37   | 47.41      |               | 31,292.04     | (757.33)               | 5.832           | 1,825.20                        |
| 53       | BARRICK GOLD CORP CAD          | ABX    | 04/09/09      | 1,530.31    | 28.873     | 45.25         | 2,398.25      | 867.94 LT              |                 |                                 |
| 366      |                                |        | 08/18/09      | 11,916.46   | 33.473     | 45.25         | 16,109.00     | 4,192.54 LT            |                 |                                 |
| 200      |                                |        | 12/17/09      | 7,696.40    | 38.482     | 45.25         | 9,050.00      | 1,353.60 LT            |                 |                                 |

MorganStanley  
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# Reserved Client Statement

December 1 - December 31, 2011

Account number 593-09052-10 507

HARDEN FOUNDATION

## Common stocks & options *continued*

| Quantity     | Description               | Symbol | Date<br>acquired | Cost             | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|---------------------------|--------|------------------|------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 243          | BARRICK GOLD CORP CAD     | ABX    | 01/21/11         | \$ 11,486.59     | \$ 47.269     | \$ 45.25         | \$ 10,995.75     | (\$ 490.84)               | ST                 |                                    |
| 17           |                           |        | 09/27/11         | 825.00           | 48.529        | 45.25            | 769.25           | (55.75)                   | ST                 |                                    |
| <b>869</b>   |                           |        |                  | <b>33,454.78</b> | <b>38.498</b> |                  | <b>39,322.25</b> | <b>5,867.49</b>           | <b>1.325</b>       | <b>521.40</b>                      |
| 223          | CAMECO CORP               | CCJ    | 03/03/10         | 6,025.08         | 27.018        | 18.05            | 4,025.15         | (1,999.93)                | LT                 |                                    |
| 392          |                           |        | 04/07/10         | 10,551.62        | 26.917        | 18.05            | 7,075.60         | (3,476.02)                | LT                 |                                    |
| 303          |                           |        | 05/25/10         | 7,131.68         | 23.536        | 18.05            | 5,469.15         | (1,662.53)                | LT                 |                                    |
| 334          |                           |        | 03/15/11         | 10,638.70        | 31.852        | 18.05            | 6,028.70         | (4,610.00)                | ST                 |                                    |
| 388          |                           |        | 08/08/11         | 8,769.54         | 22.601        | 18.05            | 7,003.40         | (1,766.14)                | ST                 |                                    |
| 111          |                           |        | 08/26/11         | 2,457.34         | 22.138        | 18.05            | 2,003.55         | (453.79)                  | ST                 |                                    |
| 74           |                           |        | 12/20/11         | 1,313.23         | 17.746        | 18.05            | 1,335.70         | 22.47                     | ST                 |                                    |
| <b>1,825</b> |                           |        |                  | <b>46,887.19</b> | <b>25.692</b> |                  | <b>32,941.25</b> | <b>(13,945.94)</b>        | <b>2.171</b>       | <b>715.40</b>                      |
| 875          | CARREFOUR SA-SP           | CRRFY  | 09/25/09         | 7,926.63         | 9.059         | 4.48             | 3,920.00         | (4,006.63)                | LT                 |                                    |
| 845          | ADR                       |        | 10/06/09         | 7,571.20         | 8.96          | 4.48             | 3,785.60         | (3,785.60)                | LT                 |                                    |
| 885          |                           |        | 10/22/09         | 8,105.45         | 9.158         | 4.48             | 3,964.80         | (4,140.65)                | LT                 |                                    |
| 935          |                           |        | 10/28/09         | 8,228.75         | 8.80          | 4.48             | 4,188.80         | (4,039.95)                | LT                 |                                    |
| 598          |                           |        | 12/02/10         | 5,180.83         | 8.663         | 4.48             | 2,679.04         | (2,501.79)                | LT                 |                                    |
| 1,279        |                           |        | 10/04/11         | 5,724.29         | 4.475         | 4.48             | 5,729.92         | 5.63                      | ST                 |                                    |
| 288          |                           |        | 12/21/11         | 1,248.25         | 4.334         | 4.48             | 1,290.24         | 41.99                     | ST                 |                                    |
| <b>6,705</b> |                           |        |                  | <b>43,985.40</b> | <b>7.71</b>   |                  | <b>25,558.40</b> | <b>(18,427.00)</b>        | <b>5.089</b>       | <b>1,300.74</b>                    |
| 1,360        | DAI NIPPON PRTG LTD JAPAN | DNPLY  | 12/12/07         | 21,372.94        | 15.715        | 9.46             | 12,865.60        | (8,507.34)                | LT                 |                                    |
| 1,095        | SPONS ADR                 |        | 12/10/09         | 14,543.02        | 13.281        | 9.46             | 10,358.70        | (4,184.32)                | LT                 |                                    |
| <b>2,455</b> |                           |        |                  | <b>35,915.96</b> | <b>14.63</b>  |                  | <b>23,224.30</b> | <b>(12,691.66)</b>        | <b>3.467</b>       | <b>805.24</b>                      |
| 268          | DAIICHI SANKYO CO         | DSNKY  | 04/12/11         | 4,974.19         | 18.56         | 19.56            | 5,242.08         | 267.89                    | ST                 |                                    |
| 319          | SPONSORED ADR             |        | 04/21/11         | 6,218.75         | 19.494        | 19.56            | 6,239.64         | 20.89                     | ST                 |                                    |
| 138          |                           |        | 04/25/11         | 2,630.58         | 19.062        | 19.56            | 2,699.28         | 68.70                     | ST                 |                                    |
| <b>725</b>   |                           |        |                  | <b>13,823.52</b> | <b>19.067</b> |                  | <b>14,181.00</b> | <b>357.48</b>             | <b>3.256</b>       | <b>481.83</b>                      |
| 699          | EAST JAPAN RY CO-JPY      | EJPRY  | 02/24/11         | 8,086.66         | 11.568        | 10.45            | 7,304.55         | (782.11)                  | ST                 |                                    |
| 751          |                           |        | 03/02/11         | 8,756.58         | 11.659        | 10.45            | 7,847.95         | (908.63)                  | ST                 |                                    |
| <b>1,450</b> |                           |        |                  | <b>16,843.24</b> | <b>11.616</b> |                  | <b>15,152.50</b> | <b>(1,690.74)</b>         | <b>1.827</b>       | <b>278.95</b>                      |
| 1,036        | ELECTRICITE DE FRANCE-EUR | ECIFY  | 03/23/10         | 10,721.67        | 10.349        | 4.81             | 4,983.16         | (5,738.51)                | LT                 |                                    |
| 938          |                           |        | 11/10/10         | 8,299.52         | 8.848         | 4.81             | 4,511.78         | (3,787.74)                | LT                 |                                    |
| 344          |                           |        | 11/11/10         | 3,047.63         | 8.859         | 4.81             | 1,654.64         | (1,392.99)                | LT                 |                                    |
| 343          |                           |        | 04/07/11         | 2,698.76         | 7.868         | 4.81             | 1,649.83         | (1,048.93)                | ST                 |                                    |
| 692          |                           |        | 12/16/11         | 3,223.68         | 4.658         | 4.81             | 3,328.52         | 104.84                    | ST                 |                                    |

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MorganStanley  
SmithBarney

Account number 593-09052-10 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity     | Description                | Symbol | Date acquired | Cost             | Share cost    | Current price | Current value    | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|----------------------------|--------|---------------|------------------|---------------|---------------|------------------|------------------------|-----------------|---------------------------------|
| 116          | ELECTRICITE DE FRANCE-EUR  | ECIFY  | 12/19/11      | \$ 541.30        | \$ 4.666      | \$ 4.81       | \$ 557.96        | \$ 16.66               | ST              |                                 |
| <b>3,469</b> |                            |        |               | <b>28,532.56</b> | <b>8.225</b>  |               | <b>16,685.89</b> | <b>(11,846.67)</b>     |                 | <b>4.885</b>                    |
| 260          | FINMECCANICA SPA-EUR       | FINMY  | 05/19/10      | 1,462.40         | 5.624         | 1.78          | 462.80           | (999.60)               | LT              |                                 |
| 2,880        |                            |        | 11/26/10      | 16,871.33        | 5.858         | 1.78          | 5,126.40         | (11,744.93)            | LT              |                                 |
| 3,500        |                            |        | 08/05/11      | 12,119.10        | 3.462         | 1.78          | 6,230.00         | (5,889.10)             | ST              |                                 |
| <b>6,640</b> |                            |        |               | <b>30,452.83</b> | <b>4.586</b>  |               | <b>11,819.20</b> | <b>(18,633.63)</b>     |                 | <b>10.786</b>                   |
| 9            | FUJIFILM HLDGS CORP-JPY    | FUJIY  | 05/10/06      | 316.81           | 35.201        | 23.26         | 209.34           | (107.47)               | LT              |                                 |
| 680          |                            |        | 12/11/07      | 30,700.91        | 45.148        | 23.26         | 15,816.80        | (14,884.11)            | LT              |                                 |
| 267          |                            |        | 03/05/08      | 9,803.28         | 36.716        | 23.26         | 6,210.42         | (3,592.86)             | LT              |                                 |
| <b>956</b>   |                            |        |               | <b>40,821.00</b> | <b>42.70</b>  |               | <b>22,236.56</b> | <b>(18,584.44)</b>     |                 | <b>1.281</b>                    |
| 951          | GAZPROM OAO SPONS ADR      | OGZPY  | 10/15/10      | 10,196.19        | 10.721        | 10.681        | 10,157.63        | (38.56)                | LT              |                                 |
| 835          |                            |        | 08/11/11      | 8,989.11         | 10.765        | 10.681        | 8,918.64         | (70.47)                | ST              |                                 |
| 695          |                            |        | 09/29/11      | 6,751.93         | 9.715         | 10.681        | 7,423.30         | 671.37                 | ST              |                                 |
| <b>2,481</b> |                            |        |               | <b>25,937.23</b> | <b>10.454</b> |               | <b>26,499.57</b> | <b>562.34</b>          |                 | <b>1.928</b>                    |
| 136          | GLAXOSMITHKLINE PLC SP ADR | GSK    | 01/14/10      | 5,705.61         | 41.953        | 45.63         | 6,205.68         | 500.07                 | LT              |                                 |
| 256          |                            |        | 04/22/10      | 9,991.60         | 39.029        | 45.63         | 11,681.28        | 1,689.68               | LT              |                                 |
| 276          |                            |        | 02/07/11      | 10,744.71        | 38.93         | 45.63         | 12,593.88        | 1,849.17               | ST              |                                 |
| <b>668</b>   |                            |        |               | <b>26,441.92</b> | <b>39.584</b> |               | <b>30,480.84</b> | <b>4,038.92</b>        |                 | <b>4.834</b>                    |
| 109          | GOLD FIELDS LTD SPONS ADR  | GFI    | 04/08/08      | 1,511.81         | 13.869        | 15.25         | 1,662.25         | 150.44                 | LT              |                                 |
| 905          |                            |        | 05/22/08      | 12,844.76        | 14.193        | 15.25         | 13,801.25        | 956.49                 | LT              |                                 |
| 530          |                            |        | 02/04/10      | 5,868.96         | 11.073        | 15.25         | 8,082.50         | 2,213.54               | LT              |                                 |
| <b>1,544</b> |                            |        |               | <b>20,225.53</b> | <b>13.099</b> |               | <b>23,549.00</b> | <b>3,320.47</b>        |                 | <b>1.56</b>                     |
| 17           | HACHIJUNI BANK LTD-JPY     | HACBY  | 06/20/08      | 1,101.83         | 64.813        | 56.80         | 965.60           | (136.23)               | LT              |                                 |
| 137          | ADR                        |        | 07/09/08      | 8,458.71         | 61.742        | 56.80         | 7,781.60         | (677.11)               | LT              |                                 |
| 98           |                            |        | 10/07/09      | 5,305.95         | 54.142        | 56.80         | 5,566.40         | 260.45                 | LT              |                                 |
| <b>252</b>   |                            |        |               | <b>14,866.49</b> | <b>58.994</b> |               | <b>14,313.80</b> | <b>(552.69)</b>        |                 | <b>1.475</b>                    |
| 690          | HOME RETAIL GROUP          | HMRTY  | 03/10/11      | 8,788.39         | 12.736        | 5.16          | 3,560.40         | (5,227.99)             | ST              |                                 |
| 459          |                            |        | 06/09/11      | 5,441.17         | 11.854        | 5.16          | 2,368.44         | (3,072.73)             | ST              |                                 |
| 446          |                            |        | 09/06/11      | 3,376.09         | 7.569         | 5.16          | 2,301.36         | (1,074.73)             | ST              |                                 |
| 96           |                            |        | 12/29/11      | 509.38           | 5.306         | 5.16          | 495.36           | (14.02)                | ST              |                                 |
| <b>1,691</b> |                            |        |               | <b>18,115.03</b> | <b>10.713</b> |               | <b>8,725.56</b>  | <b>(9,389.47)</b>      |                 | <b>17.519</b>                   |
| 172          | KINROSS GOLD CORP-CAD      | KGC    | 07/13/09      | 3,019.79         | 17.556        | 11.40         | 1,960.80         | (1,058.99)             | LT              |                                 |
| 600          | (NEW)                      |        | 10/30/09      | 10,958.28        | 18.263        | 11.40         | 6,840.00         | (4,118.28)             | LT              |                                 |
| 148          |                            |        | 02/03/10      | 2,572.57         | 17.382        | 11.40         | 1,687.20         | (885.37)               | LT              |                                 |
| 337          |                            |        | 08/18/10      | 5,209.89         | 15.459        | 11.40         | 3,841.80         | (1,368.09)             | LT              |                                 |

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Ref. 00025984 00189437

MorganStanley  
SmithBarney

HARDEN FOUNDATION Account number 593-09052-10 507

Common stocks & options *continued*

| Quantity     | Description                     | Symbol | Date<br>acquired | Cost             | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|---------------------------------|--------|------------------|------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 361          | KINROSS GOLD CORP-CAD<br>(NEW)  | KGC    | 01/21/11         | \$ 6,196.71      | \$ 17.165     | \$ 11.40         | \$ 4,115.40      | (\$ 2,081.31) ST          |                    |                                    |
| 220          |                                 |        | 02/25/11         | 3,508.08         | 15.945        | 11.40            | 2,508.00         | (1,000.08) ST             |                    |                                    |
| 101          |                                 |        | 09/27/11         | 1,552.83         | 15.374        | 11.40            | 1,151.40         | (401.43) ST               |                    |                                    |
| 178          |                                 |        | 11/23/11         | 2,316.08         | 13.011        | 11.40            | 2,029.20         | (286.88) ST               |                    |                                    |
| <b>2,117</b> |                                 |        |                  | <b>35,334.23</b> | <b>16.691</b> |                  | <b>24,133.80</b> | <b>(11,200.43)</b>        | <b>1.052</b>       | <b>254.04</b>                      |
| 173          | KOREA ELEC PWR CORP SP ADR      | KEP    | 12/02/05         | 2,909.93         | 16.82         | 10.98            | 1,899.54         | (1,010.39) LT             |                    |                                    |
| 38           |                                 |        | 10/09/06         | 731.42           | 19.248        | 10.98            | 417.24           | (314.18) LT               |                    |                                    |
| 92           |                                 |        | 10/12/06         | 1,807.08         | 19.642        | 10.98            | 1,010.16         | (796.92) LT               |                    |                                    |
| 24           |                                 |        | 10/13/06         | 476.19           | 19.841        | 10.98            | 263.52           | (212.67) LT               |                    |                                    |
| 48           |                                 |        | 10/16/06         | 948.19           | 19.753        | 10.98            | 527.04           | (421.15) LT               |                    |                                    |
| 105          |                                 |        | 10/17/06         | 2,063.97         | 19.656        | 10.98            | 1,152.90         | (911.07) LT               |                    |                                    |
| 860          |                                 |        | 12/11/07         | 19,177.74        | 22.299        | 10.98            | 9,442.80         | (9,734.94) LT             |                    |                                    |
| 520          |                                 |        | 03/17/08         | 7,280.62         | 14.001        | 10.98            | 5,709.60         | (1,571.02) LT             |                    |                                    |
| <b>1,860</b> |                                 |        |                  | <b>35,395.14</b> | <b>19.03</b>  |                  | <b>20,422.80</b> | <b>(14,972.34)</b>        | <b>2.495</b>       | <b>509.64</b>                      |
| 91           | MS&AD INSURANCE UNSPON ADR      | MSADY  | 12/05/07         | 1,644.71         | 18.073        | 9.09             | 827.19           | (817.52) LT               |                    |                                    |
| 966          |                                 |        | 12/14/07         | 16,180.50        | 16.75         | 9.09             | 8,780.94         | (7,399.56) LT             |                    |                                    |
| 360          |                                 |        | 08/04/10         | 4,094.86         | 11.374        | 9.09             | 3,272.40         | (822.46) LT               |                    |                                    |
| 670          |                                 |        | 08/12/10         | 7,412.68         | 11.063        | 9.09             | 6,090.30         | (1,322.38) LT             |                    |                                    |
| 300          |                                 |        | 04/18/11         | 3,355.23         | 11.184        | 9.09             | 2,727.00         | (628.23) ST               |                    |                                    |
| 640          |                                 |        | 04/19/11         | 7,124.99         | 11.132        | 9.09             | 5,817.60         | (1,307.39) ST             |                    |                                    |
| <b>3,027</b> |                                 |        |                  | <b>39,812.97</b> | <b>13.163</b> |                  | <b>27,515.43</b> | <b>(12,297.54)</b>        | <b>2.838</b>       | <b>780.37</b>                      |
| 477          | MARINE HARVEST UNSPON ADR       | MNHVY  | 09/02/11         | 5,363.48         | 11.244        | 8.51             | 4,059.27         | (1,304.21) ST             |                    |                                    |
| 104          |                                 |        | 09/06/11         | 1,114.02         | 10.711        | 8.51             | 885.04           | (228.98) ST               |                    |                                    |
| 122          |                                 |        | 09/09/11         | 1,329.97         | 10.901        | 8.51             | 1,038.22         | (291.75) ST               |                    |                                    |
| 451          |                                 |        | 09/12/11         | 4,743.53         | 10.517        | 8.51             | 3,838.01         | (905.52) ST               |                    |                                    |
| <b>1,154</b> |                                 |        |                  | <b>12,551.00</b> | <b>10.876</b> |                  | <b>9,820.54</b>  | <b>(2,730.46)</b>         | <b>24.50</b>       | <b>2,408.09</b>                    |
| 155          | NEWCREST MINING LTD SPON<br>ADR | NCMGY  | 08/13/08         | 3,275.37         | 21.131        | 30.31            | 4,698.05         | 1,422.68 LT               |                    |                                    |
| 314          |                                 |        | 08/11/09         | 7,793.64         | 24.82         | 30.31            | 9,517.34         | 1,723.70 LT               |                    |                                    |
| 196          |                                 |        | 05/28/10         | 5,364.09         | 27.367        | 30.31            | 5,940.76         | 576.67 LT                 |                    |                                    |
| 210          |                                 |        | 12/19/11         | 6,517.16         | 31.034        | 30.31            | 6,365.10         | (152.06) ST               |                    |                                    |
| <b>876</b>   |                                 |        |                  | <b>22,950.26</b> | <b>26.229</b> |                  | <b>26,521.25</b> | <b>3,570.99</b>           | <b>9.17</b>        | <b>243.25</b>                      |
| 217          | NEXEN INC                       | NXY    | 02/02/09         | 3,087.39         | 14.227        | 15.91            | 3,452.47         | 365.08 LT                 |                    |                                    |
| 407          |                                 |        | 10/28/09         | 8,935.85         | 21.955        | 15.91            | 6,475.37         | (2,460.48) LT             |                    |                                    |
| 419          |                                 |        | 05/19/10         | 8,822.42         | 21.055        | 15.91            | 6,666.29         | (2,156.13) LT             |                    |                                    |
| 210          |                                 |        | 10/20/10         | 4,545.16         | 21.643        | 15.91            | 3,341.10         | (1,204.06) LT             |                    |                                    |

# MorganStanley SmithBarney

## Reserved Client Statement

December 1 - December 31, 2011

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**HARDEN FOUNDATION**      Account number 593-09052-10 507

Common stocks & options *continued*

| Quantity     | Description               | Symbol | Date<br>acquired | Cost             | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|---------------------------|--------|------------------|------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 331          | NEXEN INC                 | NXY    | 09/27/11         | \$ 5,734.31      | \$ 17.324     | \$ 15.91         | \$ 5,266.21      | (\$ 468.10)               | ST                 |                                    |
| 105          |                           |        | 12/13/11         | 1,563.75         | 14.892        | 15.91            | 1,670.55         | 106.80                    | ST                 |                                    |
| <b>1,689</b> |                           |        |                  | <b>32,688.88</b> | <b>19,354</b> |                  | <b>26,871.99</b> | <b>(5,816.89)</b>         | <b>1,289</b>       | <b>341.18</b>                      |
| 11           | NINTENDO CO LTD ADR NEW   | NTDOY  | 08/03/09         | 373.45           | 33.949        | 16.94            | 186.34           | (187.11)                  | LT                 |                                    |
| 237          |                           |        | 08/20/09         | 7,671.52         | 32.369        | 16.94            | 4,014.78         | (3,656.74)                | LT                 |                                    |
| 257          |                           |        | 08/28/09         | 8,552.86         | 33.279        | 16.94            | 4,353.58         | (4,199.28)                | LT                 |                                    |
| 222          |                           |        | 10/04/10         | 7,042.64         | 31.723        | 16.94            | 3,760.68         | (3,281.96)                | LT                 |                                    |
| 303          |                           |        | 08/01/11         | 6,002.85         | 19.811        | 16.94            | 5,132.82         | (870.03)                  | ST                 |                                    |
| <b>1,030</b> |                           |        |                  | <b>29,643.32</b> | <b>28.78</b>  |                  | <b>17,448.20</b> | <b>(12,195.12)</b>        | <b>2,355</b>       | <b>410.97</b>                      |
| 1,052        | NIPPON TEL & TEL SPON ADR | NTT    | 12/11/07         | 25,046.54        | 23.808        | 25.33            | 26,647.16        | 1,600.62                  | LT                 |                                    |
| 355          |                           |        | 04/14/09         | 6,866.30         | 19.341        | 25.33            | 8,992.15         | 2,125.85                  | LT                 |                                    |
| 308          |                           |        | 12/15/10         | 7,005.31         | 22.744        | 25.33            | 7,801.64         | 796.33                    | LT                 |                                    |
| <b>1,715</b> |                           |        |                  | <b>38,918.15</b> | <b>22.683</b> |                  | <b>43,440.95</b> | <b>4,522.80</b>           | <b>2,992</b>       | <b>1,299.97</b>                    |
| 44           | NOKIA CORP SPONSORED ADR  | NOK    | 02/03/09         | 532.19           | 12.095        | 4.82             | 212.08           | (320.11)                  | LT                 |                                    |
| 373          |                           |        | 02/20/09         | 3,919.07         | 10.506        | 4.82             | 1,797.86         | (2,121.21)                | LT                 |                                    |
| 400          |                           |        | 07/20/09         | 5,247.00         | 13.117        | 4.82             | 1,928.00         | (3,319.00)                | LT                 |                                    |
| 735          |                           |        | 11/18/09         | 10,253.62        | 13.95         | 4.82             | 3,542.70         | (6,710.92)                | LT                 |                                    |
| 339          |                           |        | 04/30/10         | 4,132.44         | 12.19         | 4.82             | 1,633.98         | (2,498.46)                | LT                 |                                    |
| 268          |                           |        | 05/24/10         | 2,700.13         | 10.075        | 4.82             | 1,291.76         | (1,408.37)                | LT                 |                                    |
| 745          |                           |        | 02/11/11         | 7,018.27         | 9.42          | 4.82             | 3,590.90         | (3,427.37)                | ST                 |                                    |
| 413          |                           |        | 03/16/11         | 3,330.72         | 8.064         | 4.82             | 1,990.66         | (1,340.06)                | ST                 |                                    |
| 875          |                           |        | 05/31/11         | 6,152.91         | 7.031         | 4.82             | 4,217.50         | (1,935.41)                | ST                 |                                    |
| <b>4,192</b> |                           |        |                  | <b>43,286.35</b> | <b>10,326</b> |                  | <b>20,205.44</b> | <b>(23,080.91)</b>        | <b>8,526</b>       | <b>1,722.91</b>                    |
| 186          | PANASONIC CORP ADR        | PC     | 08/17/07         | 3,290.90         | 17.693        | 8.39             | 1,560.54         | (1,730.36)                | LT                 |                                    |
| 306          |                           |        | 09/12/07         | 5,354.94         | 17.499        | 8.39             | 2,567.34         | (2,787.60)                | LT                 |                                    |
| 620          |                           |        | 12/11/07         | 12,979.89        | 20.935        | 8.39             | 5,201.80         | (7,778.09)                | LT                 |                                    |
| 402          |                           |        | 09/27/10         | 5,535.42         | 13.769        | 8.39             | 3,372.78         | (2,162.64)                | LT                 |                                    |
| 305          |                           |        | 03/17/11         | 3,611.84         | 11.842        | 8.39             | 2,558.95         | (1,052.89)                | ST                 |                                    |
| <b>1,819</b> |                           |        |                  | <b>30,772.99</b> | <b>16,918</b> |                  | <b>15,261.41</b> | <b>(15,511.58)</b>        | <b>1,358</b>       | <b>207.37</b>                      |
| 126          | PT TELEKOMUNIKASI         | TLK    | 02/17/11         | 4,212.76         | 33.434        | 30.74            | 3,873.24         | (339.52)                  | ST                 |                                    |
| 265          | INDONESIA SPONS ADR       |        | 02/25/11         | 9,015.06         | 34.019        | 30.74            | 8,146.10         | (868.96)                  | ST                 |                                    |
| <b>391</b>   |                           |        |                  | <b>13,227.82</b> | <b>33,831</b> |                  | <b>12,019.34</b> | <b>(1,208.48)</b>         | <b>4,137</b>       | <b>497.35</b>                      |
| 1,207,727    | POLYUS GOLD INTERNATIONAL | PLZLY  | 06/16/11         | 4,898.72         | 4.056         | 2.95             | 3,562.80         | (1,335.92)                | ST                 |                                    |
| 428,2723     | LTD GDR                   |        | 06/17/11         | 1,737.65         | 4.057         | 2.95             | 1,263.40         | (474.25)                  | ST                 |                                    |
| 748          |                           |        | 08/03/11         | 2,691.23         | 3.597         | 2.95             | 2,206.60         | (484.63)                  | ST                 |                                    |

# MorganStanley SmithBarney

Ref. 00025984 00188439

## Reserved Client Statement

December 1 - December 31, 2011

Account number 593-09052-10 507

HARDEN FOUNDATION

### Common stocks & options *continued*

| Quantity     | Description                          | Symbol | Date<br>acquired | Cost             | Share<br>cost | Current<br>price | Current<br>value | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|--------------------------------------|--------|------------------|------------------|---------------|------------------|------------------|---------------------------|--------------------|------------------------------------|
| 1,290        | POLYUS GOLD INTERNATIONAL<br>LTD GDR | PLZLY  | 08/12/11         | \$ 4,330.27      | \$ 3.356      | \$ 2.95          | \$ 3,805.50      | (\$ 524.77) ST            |                    |                                    |
| <b>3,674</b> |                                      |        |                  | <b>13,657.87</b> | <b>3,717</b>  |                  | <b>10,838.30</b> | <b>(2,819.57)</b>         |                    |                                    |
| 59           | ROHM CO LTD-JPY                      | ROHCY  | 01/16/09         | 1,466.47         | 24.855        | 23.04            | 1,359.36         | (107.11) LT               |                    |                                    |
| 220          |                                      |        | 02/05/09         | 5,535.55         | 25.161        | 23.04            | 5,068.80         | (466.75) LT               |                    |                                    |
| 459          |                                      |        | 10/29/10         | 14,290.23        | 31.133        | 23.04            | 10,575.36        | (3,714.87) LT             |                    |                                    |
| <b>738</b>   |                                      |        |                  | <b>21,292.25</b> | <b>28,851</b> |                  | <b>17,003.52</b> | <b>(4,288.73)</b>         | <b>2.222</b>       | <b>377.86</b>                      |
| 97           | SK TELECOM LTD SPON ADR              | SKM    | 04/29/08         | 2,155.55         | 22.222        | 13.61            | 1,320.17         | (835.38) LT               |                    |                                    |
| 620          |                                      |        | 04/14/09         | 10,089.51        | 16.273        | 13.61            | 8,438.20         | (1,651.31) LT             |                    |                                    |
| 1,025        |                                      |        | 05/21/09         | 16,272.29        | 15.875        | 13.61            | 13,950.25        | (2,322.04) LT             |                    |                                    |
| 251          |                                      |        | 08/20/09         | 3,964.37         | 15.794        | 13.61            | 3,416.11         | (548.26) LT               |                    |                                    |
| 287          |                                      |        | 02/28/11         | 5,041.50         | 17.566        | 13.61            | 3,906.07         | (1,135.43) ST             |                    |                                    |
| <b>2,280</b> |                                      |        |                  | <b>37,523.22</b> | <b>16,458</b> |                  | <b>31,030.80</b> | <b>(6,492.42)</b>         | <b>5.349</b>       | <b>1,859.84</b>                    |
| 196          | SANOFI SPONS ADR                     | SNY    | 04/09/08         | 7,530.24         | 38.419        | 36.54            | 7,161.84         | (368.40) LT               |                    |                                    |
| 151          |                                      |        | 04/14/08         | 5,757.34         | 38.128        | 36.54            | 5,517.54         | (239.80) LT               |                    |                                    |
| 359          |                                      |        | 05/21/08         | 13,404.70        | 37.339        | 36.54            | 13,117.86        | (286.84) LT               |                    |                                    |
| 100          |                                      |        | 08/01/08         | 3,534.85         | 35.348        | 36.54            | 3,654.00         | 119.15 LT                 |                    |                                    |
| 277          |                                      |        | 07/01/09         | 8,364.35         | 30.196        | 36.54            | 10,121.58        | 1,757.23 LT               |                    |                                    |
| <b>1,083</b> |                                      |        |                  | <b>38,591.48</b> | <b>35,634</b> |                  | <b>39,572.82</b> | <b>981.34</b>             | <b>3.62</b>        | <b>1,432.81</b>                    |
| 1,052        | SEKISUI HOUSE UNSPONS ADR            | SKHSY  | 12/12/07         | 12,929.50        | 12.29         | 8.71             | 9,162.92         | (3,766.58) LT             |                    |                                    |
| 1,140        |                                      |        | 03/10/08         | 10,180.31        | 8.93          | 8.71             | 9,929.40         | (250.91) LT               |                    |                                    |
| <b>2,192</b> |                                      |        |                  | <b>23,109.81</b> | <b>10,543</b> |                  | <b>19,092.32</b> | <b>(4,017.49)</b>         | <b>2.055</b>       | <b>392.37</b>                      |
| 196          | SEVEN & I HLDGS CO LTD-JPY           | SVNDY  | 06/05/09         | 9,271.94         | 47.305        | 55.25            | 10,829.00        | 1,557.06 LT               |                    |                                    |
| 203          |                                      |        | 08/19/09         | 9,602.85         | 47.304        | 55.25            | 11,215.75        | 1,612.90 LT               |                    |                                    |
| <b>399</b>   |                                      |        |                  | <b>18,874.79</b> | <b>47,305</b> |                  | <b>22,044.75</b> | <b>3,169.96</b>           | <b>2.383</b>       | <b>525.48</b>                      |
| 207          | SHISEIDO LTD SPONSORED ADR           | SSDOY  | 12/18/08         | 4,369.09         | 21.106        | 18.13            | 3,752.91         | (616.18) LT               |                    |                                    |
| 420          |                                      |        | 04/01/09         | 6,123.18         | 14.579        | 18.13            | 7,614.60         | 1,491.42 LT               |                    |                                    |
| 639          |                                      |        | 05/24/11         | 10,565.10        | 16.533        | 18.13            | 11,585.07        | 1,019.97 ST               |                    |                                    |
| <b>1,266</b> |                                      |        |                  | <b>21,057.37</b> | <b>16,633</b> |                  | <b>22,952.58</b> | <b>1,895.21</b>           | <b>2.867</b>       | <b>681.11</b>                      |
| 9            | SIEMENS A G SPONS ADR                | SI     | 09/29/08         | 839.62           | 93.29         | 95.61            | 860.49           | 20.87 LT                  |                    |                                    |
| 153          |                                      |        | 10/24/08         | 7,706.82         | 50.371        | 95.61            | 14,628.33        | 6,921.51 LT               |                    |                                    |
| 29           |                                      |        | 09/27/11         | 2,746.69         | 94.713        | 95.61            | 2,772.69         | 26.00 ST                  |                    |                                    |
| 191          |                                      |        |                  | 11,293.13        | 59.126        |                  | 18,261.51        | 6,968.38                  | 3.089              | 564.21                             |
| 389          | SOCIETE GENERALE SPON ADR            | SCGLY  | 01/16/09         | 3,291.09         | 8.46          | 4.36             | 1,696.04         | (1,595.05) LT             |                    |                                    |
| 790          |                                      |        | 05/17/10         | 6,982.18         | 8.838         | 4.36             | 3,444.40         | (3,537.78) LT             |                    |                                    |
| <b>1,179</b> |                                      |        |                  | <b>10,273.27</b> | <b>8,714</b>  |                  | <b>5,140.44</b>  | <b>(5,132.83)</b>         | <b>10.00</b>       | <b>514.04</b>                      |

MorganStanley  
SmithBarney

Ref 00025984 00188440

# Reserved Client Statement

December 1 - December 31, 2011

Account number 593-09052-10 507

HARDEN FOUNDATION

Common stocks & options *continued*

| Quantity | Description                      | Symbol | Date acquired | Cost         | Share cost | Current price | Current value | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|----------------------------------|--------|---------------|--------------|------------|---------------|---------------|------------------------|-----------------|---------------------------------|
| 5,311    | SUMITOMO MITSU TR SPON ADR       | SUTNY  | 04/01/11      | \$ 18,688.03 | \$ 3.50    | \$ 2.84       | \$ 15,083.24  | (\$ 3,604.79) ST       | 1.443 %         | \$ 217.76                       |
| 608      | SWISSCOM AG SPONS ADR            | SCMWY  | 12/12/07      | 23,712.00    | 39.00      | 37.92         | 23,055.36     | (656.64) LT            | 6.775           | 1,331.52                        |
| 432      | TNT EXPRESS NV                   | TNTEY  | 07/06/11      | 4,405.75     | 10.198     | 7.36          | 3,179.52      | (1,226.23) ST          |                 |                                 |
| 242      | ADR                              |        | 07/07/11      | 2,481.47     | 10.254     | 7.36          | 1,781.12      | (700.35) ST            |                 |                                 |
| 582      |                                  |        | 07/08/11      | 5,921.21     | 10.173     | 7.36          | 4,283.52      | (1,637.69) ST          |                 |                                 |
| 155      |                                  |        | 07/11/11      | 1,546.65     | 9.978      | 7.36          | 1,140.80      | (405.85) ST            |                 |                                 |
| 8        |                                  |        | 08/11/11      | 72.69        | 9.086      | 7.36          | 58.88         | (13.81) ST             |                 |                                 |
| 199      |                                  |        | 09/07/11      | 1,733.29     | 8.71       | 7.36          | 1,464.64      | (268.65) ST            |                 |                                 |
| 293      |                                  |        | 09/08/11      | 2,561.32     | 8.741      | 7.36          | 2,156.48      | (404.84) ST            |                 |                                 |
| 327      |                                  |        | 09/09/11      | 2,764.36     | 8.453      | 7.36          | 2,406.72      | (357.64) ST            |                 |                                 |
| 222      |                                  |        | 09/30/11      | 1,596.49     | 7.191      | 7.36          | 1,633.92      | 37.43 ST               |                 |                                 |
| 2,460    |                                  |        |               | 23,083.23    | 9.383      |               | 18,105.60     | (4,977.63)             | .502            | 91.02                           |
| 885      | TALISMAN ENERGY INC              | TLM    | 10/20/11      | 11,951.57    | 13.504     | 12.75         | 11,283.75     | (667.82) ST            | 2.117           | 238.95                          |
| 682      | TELECOM ITALIA S.P.A             | TIA    | 12/07/06      | 17,133.55    | 25.122     | 8.90          | 6,069.80      | (11,063.75) LT         |                 |                                 |
| 165      | ADR (NEW) REPSTG SVG SHS         |        | 05/11/06      | 4,422.78     | 26.804     | 8.90          | 1,468.50      | (2,954.28) LT          |                 |                                 |
| 840      |                                  |        | 12/12/07      | 21,210.00    | 25.25      | 8.90          | 7,476.00      | (13,734.00) LT         |                 |                                 |
| 1,175    |                                  |        | 05/05/08      | 19,523.92    | 16.616     | 8.90          | 10,457.50     | (9,066.42) LT          |                 |                                 |
| 2,862    |                                  |        |               | 62,290.25    | 21.765     |               | 25,471.80     | (36,818.45)            | 17.202          | 4,381.72                        |
| 71       | TOYOTA MOTOR CORP ADR NEW        | TM     | 09/09/10      | 4,964.06     | 69.916     | 66.13         | 4,695.23      | (268.83) LT            |                 |                                 |
| 53       |                                  |        | 09/10/10      | 3,742.18     | 70.607     | 66.13         | 3,504.89      | (237.29) LT            |                 |                                 |
| 48       |                                  |        | 10/20/10      | 3,439.02     | 71.646     | 66.13         | 3,174.24      | (264.78) LT            |                 |                                 |
| 84       |                                  |        | 10/21/10      | 6,012.72     | 71.58      | 66.13         | 5,554.92      | (457.80) LT            |                 |                                 |
| 256      |                                  |        |               | 18,157.98    | 70.93      |               | 16,929.28     | (1,228.70)             | 1.76            | 297.98                          |
| 307      | VODAFONE GROUP PLC SPONS ADR NEW | VOD    | 07/22/08      | 7,945.10     | 25.879     | 28.03         | 8,605.21      | 660.11 LT              |                 |                                 |
| 1,005    |                                  |        | 11/10/08      | 16,807.82    | 16.724     | 28.03         | 28,170.15     | 11,362.33 LT           |                 |                                 |
| 1,312    |                                  |        |               | 24,762.92    | 18.867     |               | 36,775.36     | 12,022.44              | 5.148           | 1,893.22                        |
| 123      | WACOAL CORP ADR                  | WACLY  | 01/18/06      | 8,475.56     | 68.907     | 65.64         | 8,073.72      | (401.84) LT            |                 |                                 |
| 56       |                                  |        | 05/14/07      | 3,574.80     | 63.835     | 65.64         | 3,675.84      | 101.04 LT              |                 |                                 |
| 1        |                                  |        | 07/19/07      | 61.63        | 61.633     | 65.64         | 65.64         | 4.01 LT                |                 |                                 |
| 2        |                                  |        | 07/20/07      | 122.96       | 61.481     | 65.64         | 131.28        | 8.32 LT                |                 |                                 |
| 10       |                                  |        | 11/15/07      | 589.05       | 58.905     | 65.64         | 656.40        | 67.35 LT               |                 |                                 |
| 9        |                                  |        | 11/16/07      | 544.91       | 60.545     | 65.64         | 590.76        | 45.85 LT               |                 |                                 |
| 148      |                                  |        | 01/21/11      | 10,151.70    | 68.592     | 65.64         | 9,714.72      | (436.98) ST            | 1.729           | 396.12                          |
| 349      |                                  |        |               | 23,520.61    | 67.394     |               | 22,908.36     | (612.25)               |                 |                                 |

# Reserved Client Statement

December 1 - December 31, 2011

Ref 00025984 00189441

## MorganStanley SmithBarney

HARDEN FOUNDATION

Account number 593-09052-10 507

Common stocks & options *continued*

| Quantity                               | Description               | Symbol | Date acquired | Cost            | Share cost | Current price | Current value   | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------------------------------------|---------------------------|--------|---------------|-----------------|------------|---------------|-----------------|------------------------|-----------------|---------------------------------|
| 391                                    | WOLTERS KLUWER N V SP ADR | WTKWY  | 04/29/09      | \$ 6,496.55     | \$ 16.615  | \$ 17.12      | \$ 6,693.92     | \$ 197.37              | LT              |                                 |
| 353                                    |                           |        | 06/04/09      | 6,852.82        | 19.413     | 17.12         | 6,043.36        | (809.46)               | LT              |                                 |
| 500                                    |                           |        | 06/15/09      | 8,469.10        | 16.938     | 17.12         | 8,560.00        | 90.90                  | LT              |                                 |
| 186                                    |                           |        | 08/08/11      | 3,367.60        | 18.105     | 17.12         | 3,184.32        | (183.28)               | ST              |                                 |
| 1,430                                  |                           |        |               | 25,186.07       | 17.613     |               | 24,481.60       | (704.47)               |                 | 1,159.73                        |
| <b>Total common stocks and options</b> |                           |        |               |                 |            |               |                 |                        |                 |                                 |
|                                        |                           |        |               | \$ 1,325,204.58 |            |               | \$ 1,068,426.48 | (\$ 256,778.10)        | ST              | \$ 379                          |
|                                        |                           |        |               |                 |            |               |                 | (\$ 193,188.90)        | LT              | \$ 40,494.38                    |
| <b>Total portfolio value</b>           |                           |        |               |                 |            |               |                 |                        |                 |                                 |
|                                        |                           |        |               | \$ 1,362,275.37 |            |               | \$ 1,105,497.27 | (\$ 256,778.10)        | ST              | \$ 366                          |
|                                        |                           |        |               |                 |            |               |                 | (\$ 193,188.90)        | LT              | \$ 40,498.08                    |

## Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period. The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date.

| Trade Date                             | Settlement Date | Description       | Activity | Quantity | Price    | Amount     |
|----------------------------------------|-----------------|-------------------|----------|----------|----------|------------|
| 12/29/11                               | 01/04/12        | HOME RETAIL GROUP | Bought   | 96       | \$ 5.306 | \$ -509.38 |
| <b>Total Securities Bought</b>         |                 |                   |          |          |          |            |
| <b>Total Securities Sold</b>           |                 |                   |          |          |          |            |
| <b>Total Unsettled purchases/sales</b> |                 |                   |          |          |          |            |

## TRANSACTION DETAILS

All transactions appearing are based on trade-date.

| Investment activity Date | Activity | Description                    | Quantity | Price      | Amount       |
|--------------------------|----------|--------------------------------|----------|------------|--------------|
| 12/07/11                 | Bought   | TRANSOCEAN LTD SWITZERLAND NEW | 126      | \$ 45.4026 | \$ -5,720.73 |
| 12/08/11                 | Bought   | TRANSOCEAN LTD SWITZERLAND NEW | 142      | 43.8366    | -6,224.80    |
| 12/13/11                 | Bought   | NEXEN INC                      | 105      | 14.8929    | -1,563.75    |
| 12/16/11                 | Sold     | ANGLOGOLD ASHANTI LTD ADR      | -127     | 41.4012    | 5,257.84     |
| 12/16/11                 | Bought   | ELECTRICITE DE FRANCE-EUR      | 692      | 4.6585     | -3,223.68    |
| 12/19/11                 | Bought   | ELECTRICITE DE FRANCE-EUR      | 116      | 4.6664     | -541.30      |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025891 00187830

HARDEN FOUNDATION

Account number 593-08393-10 507

| Gain/loss summary                 | This period  | This year                            |
|-----------------------------------|--------------|--------------------------------------|
| Realized gain or (loss)           | \$ 8,923.26  | \$ 836,078.51 LT<br>\$ 221,392.53 ST |
| Unrealized gain or (loss) to date | 1,406,676.39 |                                      |

## PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/11, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at [www.smithbarney.com](http://www.smithbarney.com).

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

**Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

## Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below \$1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

| Number of shares        | Description                                       | Current value        | Accrued dividends | Annualized % dividend yield | Anticipated Income (annualized) |
|-------------------------|---------------------------------------------------|----------------------|-------------------|-----------------------------|---------------------------------|
| 599,499.89              | MORGAN STANLEY U.S. GOVERNMENT MONEY MARKET TRUST | \$ 599,499.89        | \$ 0.00           | .01%                        | \$ 59.94                        |
| <b>Total money fund</b> |                                                   | <b>\$ 599,499.89</b> |                   |                             | <b>\$ 59.94</b>                 |

## Common stocks & options

| Quantity     | Description            | Symbol | Date acquired | Share cost        | Current price | Current value     | Unrealized gain/(loss) | Average % yield (annualized) | Anticipated Income (annualized) |
|--------------|------------------------|--------|---------------|-------------------|---------------|-------------------|------------------------|------------------------------|---------------------------------|
| 865          | ABBOTT LABORATORIES    | ABT    | 08/23/11      | \$ 43,379.66      | \$ 56.23      | \$ 48,638.95      | \$ 5,259.29 ST         |                              |                                 |
| 2,795        |                        |        | 09/22/11      | 140,004.62        | 56.23         | 157,162.85        | 17,158.23 ST           |                              |                                 |
| <b>3,660</b> |                        |        |               | <b>183,384.28</b> | <b>56.105</b> | <b>205,801.80</b> | <b>22,417.52</b>       | <b>3.414</b>                 | <b>7,027.20</b>                 |
| 2,604        | AGNICO EAGLE MINES LTD | AEM    | 10/18/10      | 187,653.87        | 36.32         | 94,577.28         | (93,076.59) LT         |                              |                                 |
| 921          |                        |        | 11/08/10      | 75,315.79         | 36.32         | 33,450.72         | (41,865.07) LT         |                              |                                 |

# MorganStanley SmithBarney

## Reserved Client Statement

December 1 - December 31, 2011

Ref 00025891 00187831

**HARDEN FOUNDATION**      Account number 593-08393-10 507

### Common stocks & options      continued

| Quantity     | Description                  | Symbol | Date acquired | Cost              | Share cost     | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|------------------------------|--------|---------------|-------------------|----------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 275          | AGNICO EAGLE MINES LTD       | AEM    | 05/02/11      | \$ 18,640.05      | \$ 67.782      | \$ 36.32      | \$ 9,988.00       | (\$ 8,652.05)          | ST              |                                 |
| 300          |                              |        | 08/23/11      | 19,884.84         | 66.282         | 36.32         | 10,896.00         | (8,988.84)             | ST              |                                 |
| <b>4,100</b> |                              |        |               | <b>301,494.55</b> | <b>73.535</b>  |               | <b>148,912.00</b> | <b>(152,582.55)</b>    |                 | <b>1 762 2,824.00</b>           |
| 1,300        | AIR PRODUCTS & CHEMICALS INC | APD    | 08/17/10      | 100,829.95        | 77.561         | 85.19         | 110,747.00        | 9,917.05               | LT              |                                 |
| 1,000        |                              |        | 09/13/10      | 78,731.10         | 78.731         | 85.19         | 85,190.00         | 6,458.90               | LT              |                                 |
| <b>2,300</b> |                              |        |               | <b>179,561.05</b> | <b>78.07</b>   |               | <b>195,937.00</b> | <b>16,375.95</b>       |                 | <b>2.723 5,336.00</b>           |
| 47           | APACHE CORP                  | APA    | 03/19/09      | 3,206.34          | 68.22          | 90.58         | 4,257.26          | 1,050.92               | LT              |                                 |
| 150          |                              |        | 12/10/09      | 14,195.91         | 94.639         | 90.58         | 13,587.00         | (608.91)               | LT              |                                 |
| 796          |                              |        | 08/17/10      | 74,022.59         | 92.993         | 90.58         | 72,101.68         | (1,920.91)             | LT              |                                 |
| 142          |                              |        | 09/20/10      | 13,629.76         | 95.984         | 90.58         | 12,862.36         | (767.40)               | LT              |                                 |
| 150          |                              |        | 11/04/10      | 16,076.84         | 107.178        | 90.58         | 13,587.00         | (2,489.84)             | LT              |                                 |
| <b>1,286</b> |                              |        |               | <b>121,131.44</b> | <b>94.288</b>  |               | <b>118,395.30</b> | <b>(4,736.14)</b>      |                 | <b>.862 771.00</b>              |
| 1,400        | BIOGEN IDEC INC              | BIIB   | 11/17/11      | 152,825.26        | 109.16         | 110.05        | 154,070.00        | 1,244.74               | ST              |                                 |
| 825          |                              |        | 12/06/11      | 92,532.33         | 112.16         | 110.05        | 90,791.25         | (1,741.08)             | ST              |                                 |
| <b>2,225</b> |                              |        |               | <b>245,357.59</b> | <b>110.273</b> |               | <b>244,861.25</b> | <b>(496.34)</b>        |                 |                                 |
| 4,600        | BRISTOL MYERS SQUIBB CO      | BMJ    | 09/22/11      | 139,263.16        | 30.274         | 35.24         | 162,104.00        | 22,840.84              | ST              |                                 |
| 3,235        |                              |        | 11/01/11      | 101,842.98        | 31.481         | 35.24         | 114,001.40        | 12,158.42              | ST              |                                 |
| <b>7,835</b> |                              |        |               | <b>241,106.14</b> | <b>30.773</b>  |               | <b>276,105.40</b> | <b>34,999.26</b>       |                 | <b>3.859 10,655.60</b>          |
| 975          | CATERPILLAR INC              | CAT    | 10/26/10      | 76,738.65         | 78.706         | 90.60         | 88,335.00         | 11,596.35              | LT              |                                 |
| 500          |                              |        | 06/17/11      | 48,079.20         | 96.158         | 90.60         | 45,300.00         | (2,779.20)             | ST              |                                 |
| <b>1,475</b> |                              |        |               | <b>124,817.85</b> | <b>84.822</b>  |               | <b>133,635.00</b> | <b>8,817.15</b>        |                 | <b>2.03 2,714.00</b>            |
| 1,543        | CELGENE CORP                 | CELG   | 09/25/08      | 99,754.18         | 64.649         | 67.60         | 104,306.80        | 4,552.62               | LT              |                                 |
| 720          |                              |        | 10/06/08      | 40,469.76         | 56.208         | 67.60         | 48,672.00         | 8,202.24               | LT              |                                 |
| 337          |                              |        | 10/17/08      | 19,207.99         | 56.997         | 67.60         | 22,781.20         | 3,573.21               | LT              |                                 |
| 500          |                              |        | 03/24/09      | 23,418.50         | 46.837         | 67.60         | 33,800.00         | 10,381.50              | LT              |                                 |
| 925          |                              |        | 04/01/09      | 34,417.40         | 37.208         | 67.60         | 62,530.00         | 28,112.60              | LT              |                                 |
| 1,177        |                              |        | 09/17/09      | 62,263.06         | 52.899         | 67.60         | 79,565.20         | 17,302.14              | LT              |                                 |
| <b>5,202</b> |                              |        |               | <b>279,530.89</b> | <b>53.735</b>  |               | <b>351,655.20</b> | <b>72,124.31</b>       |                 |                                 |
| 2,100        | CERNER CORP                  | CERN   | 09/28/10      | 84,767.03         | 40.365         | 61.25         | 128,625.00        | 43,857.97              | LT              |                                 |
| 2,700        |                              |        | 10/28/11      | 174,060.36        | 84.466         | 61.25         | 165,375.00        | (8,685.36)             | ST              |                                 |
| <b>4,800</b> |                              |        |               | <b>258,827.39</b> | <b>53.922</b>  |               | <b>294,000.00</b> | <b>35,172.61</b>       |                 |                                 |
| 2,300        | CHEVRON CORP                 | CVX    | 09/29/10      | 186,871.55        | 81.248         | 106.40        | 244,720.00        | 57,848.45              | LT              |                                 |
| 500          |                              |        | 11/02/10      | 41,084.40         | 82.168         | 106.40        | 53,200.00         | 12,115.60              | LT              |                                 |
| <b>2,800</b> |                              |        |               | <b>227,955.95</b> | <b>81.413</b>  |               | <b>297,920.00</b> | <b>69,964.05</b>       |                 | <b>3.045 9,072.00</b>           |

# MorganStanley SmithBarney

## Reserved Client Statement

December 1 - December 31, 2011

Ref 00025891 00187832

**HARDEN FOUNDATION**      Account number 593-08393-10 507

Common stocks & options *continued*

| Quantity      | Description               | Symbol | Date<br>acquired | Cost              | Share<br>cost | Current<br>price | Current<br>value  | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|---------------|---------------------------|--------|------------------|-------------------|---------------|------------------|-------------------|---------------------------|--------------------|------------------------------------|
| 2,300         | CLOROX COMPANY DE         | CLX    | 11/08/11         | \$ 151,451.32     | \$ 65.848     | \$ 66.56         | \$ 153,088.00     | \$ 1,636.68               | ST                 |                                    |
| 1,400         |                           |        | 12/01/11         | 91,693.00         | 65.495        | 66.56            | 93,184.00         | 1,491.00                  | ST                 |                                    |
| <b>3,700</b>  |                           |        |                  | <b>243,144.32</b> | <b>65.715</b> |                  | <b>246,272.00</b> | <b>3,127.68</b>           |                    | <b>8,880.00</b>                    |
| 2,800         | COCA-COLA CO              | KO     | 08/30/11         | 195,856.92        | 69.948        | 69.97            | 195,916.00        | 59.08                     | ST                 |                                    |
| 1,600         |                           |        | 10/11/11         | 107,038.88        | 66.899        | 69.97            | 111,952.00        | 4,913.12                  | ST                 |                                    |
| <b>4,400</b>  |                           |        |                  | <b>302,895.80</b> | <b>68.84</b>  |                  | <b>307,868.00</b> | <b>4,972.20</b>           |                    | <b>8,272.00</b>                    |
| 1,655         | COLGATE PALMOLIVE CO      | CL     | 08/23/11         | 142,216.63        | 85.931        | 92.39            | 152,905.45        | 10,688.82                 | ST                 |                                    |
| 1,045         |                           |        | 09/30/11         | 93,380.47         | 89.359        | 92.39            | 96,547.55         | 3,167.08                  | ST                 |                                    |
| <b>2,700</b>  |                           |        |                  | <b>235,597.10</b> | <b>87.268</b> |                  | <b>249,453.00</b> | <b>13,855.90</b>          |                    | <b>6,264.00</b>                    |
| 2,200         | CONOCOPHILLIPS            | COP    | 08/23/11         | 142,045.42        | 64.566        | 72.87            | 160,314.00        | 18,268.58                 | ST                 |                                    |
| 1,300         |                           |        | 08/24/11         | 85,545.72         | 65.804        | 72.87            | 94,731.00         | 9,185.28                  | ST                 |                                    |
| <b>3,500</b>  |                           |        |                  | <b>227,591.14</b> | <b>65.028</b> |                  | <b>255,045.00</b> | <b>27,453.88</b>          |                    | <b>9,240.00</b>                    |
| 400           | COSTCO WHOLESALE CORP NEW | COST   | 02/05/09         | 17,273.44         | 43.183        | 83.32            | 33,328.00         | 16,054.56                 | LT                 |                                    |
| 1,464         |                           |        | 03/11/09         | 57,871.77         | 39.529        | 83.32            | 121,980.48        | 64,108.71                 | LT                 |                                    |
| 736           |                           |        | 12/18/09         | 42,972.91         | 58.387        | 83.32            | 61,323.52         | 18,350.61                 | LT                 |                                    |
| <b>2,600</b>  |                           |        |                  | <b>118,118.12</b> | <b>45.43</b>  |                  | <b>216,632.00</b> | <b>98,513.88</b>          |                    | <b>2,496.00</b>                    |
| 310           | DEERE & CO                | DE     | 10/19/09         | 14,164.06         | 45.69         | 77.35            | 23,978.50         | 9,814.44                  | LT                 |                                    |
| 340           |                           |        | 06/15/11         | 27,831.65         | 81.857        | 77.35            | 26,299.00         | (1,532.65)                | ST                 |                                    |
| 500           |                           |        | 06/17/11         | 39,573.50         | 79.147        | 77.35            | 38,675.00         | (898.50)                  | ST                 |                                    |
| 450           |                           |        | 07/01/11         | 37,901.70         | 84.226        | 77.35            | 34,807.50         | (3,094.20)                | ST                 |                                    |
| 1,600         |                           |        | 11/30/11         | 125,959.36        | 78.724        | 77.35            | 123,760.00        | (2,199.36)                | ST                 |                                    |
| <b>3,200</b>  |                           |        |                  | <b>245,430.27</b> | <b>76.697</b> |                  | <b>247,520.00</b> | <b>2,089.73</b>           |                    | <b>5,248.00</b>                    |
| 1,840         | EMC CORP-MASS             | EMC    | 03/19/08         | 26,772.00         | 14.55         | 21.54            | 39,633.60         | 12,861.60                 | LT                 |                                    |
| 1,490         |                           |        | 04/30/08         | 23,497.30         | 15.77         | 21.54            | 32,094.60         | 8,597.30                  | LT                 |                                    |
| 2,700         |                           |        | 03/16/09         | 28,533.33         | 10.567        | 21.54            | 58,158.00         | 29,624.67                 | LT                 |                                    |
| 2,370         |                           |        | 03/19/09         | 27,107.59         | 11.437        | 21.54            | 51,049.80         | 23,942.21                 | LT                 |                                    |
| 1,600         |                           |        | 05/04/09         | 20,457.60         | 12.786        | 21.54            | 34,464.00         | 14,006.40                 | LT                 |                                    |
| <b>10,000</b> |                           |        |                  | <b>128,367.82</b> | <b>12.637</b> |                  | <b>215,400.00</b> | <b>89,032.18</b>          |                    |                                    |
| 800           | EMERSON ELECTRIC CO       | EMR    | 11/24/98         | 25,700.00         | 32.125        | 46.59            | 37,272.00         | 11,572.00                 | LT                 |                                    |
| 1,000         |                           |        | 12/13/99         | 26,781.25         | 26.781        | 46.59            | 46,590.00         | 19,808.75                 | LT                 |                                    |
| 1,400         |                           |        | 06/04/04         | 42,401.10         | 30.286        | 46.59            | 65,226.00         | 22,824.90                 | LT                 |                                    |
| 600           |                           |        | 11/30/05         | 22,749.00         | 37.915        | 46.59            | 27,954.00         | 5,205.00                  | LT                 |                                    |
| 850           |                           |        | 10/20/08         | 28,970.98         | 34.083        | 46.59            | 39,601.50         | 10,630.52                 | LT                 |                                    |
| 750           |                           |        | 03/12/09         | 20,175.00         | 26.90         | 46.59            | 34,942.50         | 14,767.50                 | LT                 |                                    |

# Reserved Client Statement

December 1 - December 31, 2011

MorganStanley  
SmithBarney

Ref: 00025891 00187833

**HARDEN FOUNDATION** Account number 593-08393-10 507

## Common stocks & options *continued*

| Quantity     | Description                | Symbol | Date<br>acquired | Cost              | Share<br>cost | Current<br>price | Current<br>value  | Unrealized<br>gain/(loss) | Average %<br>yield | Anticipated Income<br>(annualized) |
|--------------|----------------------------|--------|------------------|-------------------|---------------|------------------|-------------------|---------------------------|--------------------|------------------------------------|
| 500          | EMERSON ELECTRIC CO        | EMR    | 05/02/11         | \$ 30,519.00      | \$ 61.038     | \$ 46.59         | \$ 23,295.00      | (\$ 7,224.00) ST          |                    |                                    |
| <b>5,900</b> |                            |        |                  | <b>197,298.33</b> | <b>33.44</b>  |                  | <b>274,881.00</b> | <b>77,584.67</b>          | <b>3.434</b>       | <b>9,440.00</b>                    |
| 500          | EXXON MOBIL CORP           | XOM    | 05/13/05         | 28,365.60         | 56.731        | 84.76            | 42,380.00         | 14,014.40 LT              |                    |                                    |
| 1,100        |                            |        | 11/30/05         | 64,361.00         | 58.51         | 84.76            | 93,236.00         | 28,875.00 LT              |                    |                                    |
| 400          |                            |        | 10/06/06         | 27,044.00         | 67.61         | 84.76            | 33,904.00         | 6,860.00 LT               |                    |                                    |
| 300          |                            |        | 05/07/08         | 26,931.00         | 89.77         | 84.76            | 25,428.00         | (1,503.00) LT             |                    |                                    |
| 400          |                            |        | 04/29/09         | 27,281.84         | 68.204        | 84.76            | 33,904.00         | 6,622.16 LT               |                    |                                    |
| 700          |                            |        | 10/12/09         | 49,173.60         | 70.248        | 84.76            | 59,332.00         | 10,158.40 LT              |                    |                                    |
| 300          |                            |        | 10/16/09         | 21,736.83         | 72.456        | 84.76            | 25,428.00         | 3,691.17 LT               |                    |                                    |
| 500          |                            |        | 03/05/10         | 33,188.50         | 66.377        | 84.76            | 42,380.00         | 9,191.50 LT               |                    |                                    |
| 275          |                            |        | 07/13/10         | 16,362.14         | 59.498        | 84.76            | 23,309.00         | 6,946.86 LT               |                    |                                    |
| <b>4,475</b> |                            |        |                  | <b>294,444.51</b> | <b>65.798</b> |                  | <b>379,301.00</b> | <b>84,856.49</b>          | <b>2.218</b>       | <b>8,413.00</b>                    |
| 1,624        | FASTENAL CO                | FAST   | 10/12/09         | 32,241.27         | 19.853        | 43.61            | 70,822.64         | 38,581.37 LT              |                    |                                    |
| 1,200        |                            |        | 10/15/09         | 23,691.12         | 19.742        | 43.61            | 52,332.00         | 28,640.88 LT              |                    |                                    |
| 2,000        |                            |        | 12/15/10         | 59,657.70         | 29.828        | 43.61            | 87,220.00         | 27,562.30 LT              |                    |                                    |
| 176          |                            |        | 03/24/11         | 5,535.52          | 31.451        | 43.61            | 7,675.36          | 2,139.84 ST               |                    |                                    |
| <b>5,000</b> |                            |        |                  | <b>121,125.61</b> | <b>24.225</b> |                  | <b>218,050.00</b> | <b>96,924.39</b>          | <b>1.284</b>       | <b>2,800.00</b>                    |
| 3,800        | GILEAD SCIENCES INC        | GILD   | 11/08/10         | 147,080.52        | 38.705        | 40.93            | 155,534.00        | 8,453.48 LT               |                    |                                    |
| 1,615        |                            |        | 12/17/10         | 60,156.33         | 37.248        | 40.93            | 66,101.95         | 5,945.62 LT               |                    |                                    |
| <b>5,415</b> |                            |        |                  | <b>207,236.85</b> | <b>38.271</b> |                  | <b>221,635.95</b> | <b>14,399.10</b>          |                    |                                    |
| 3,600        | GLAXOSMITHKLINE PLC SP ADR | GSK    | 09/08/11         | 151,058.16        | 41.96         | 45.63            | 164,268.00        | 13,209.84 ST              |                    |                                    |
| 3,600        |                            |        | 09/15/11         | 148,307.76        | 41.196        | 45.63            | 164,268.00        | 15,960.24 ST              |                    |                                    |
| <b>7,200</b> |                            |        |                  | <b>299,365.92</b> | <b>41.579</b> |                  | <b>328,536.00</b> | <b>29,170.08</b>          | <b>4.834</b>       | <b>15,883.20</b>                   |
| 2,510        | GOLDCORP INC NEW           | GG     | 01/22/08         | 89,394.11         | 35.611        | 44.25            | 111,067.50        | 21,683.39 LT              |                    |                                    |
| 590          |                            |        | 03/25/08         | 23,441.47         | 39.731        | 44.25            | 26,107.50         | 2,666.03 LT               |                    |                                    |
| 500          |                            |        | 04/29/08         | 17,734.00         | 35.468        | 44.25            | 22,125.00         | 4,391.00 LT               |                    |                                    |
| 300          |                            |        | 05/06/08         | 11,262.00         | 37.54         | 44.25            | 13,275.00         | 2,013.00 LT               |                    |                                    |
| 600          |                            |        | 07/14/08         | 29,468.34         | 49.113        | 44.25            | 26,550.00         | (2,918.34) LT             |                    |                                    |
| 500          |                            |        | 08/14/08         | 16,324.50         | 32.649        | 44.25            | 22,125.00         | 5,800.50 LT               |                    |                                    |
| 750          |                            |        | 12/10/09         | 30,419.25         | 40.559        | 44.25            | 33,187.50         | 2,768.25 LT               |                    |                                    |
| 650          |                            |        | 12/18/09         | 24,847.23         | 38.226        | 44.25            | 28,762.50         | 3,915.27 LT               |                    |                                    |
| <b>6,400</b> |                            |        |                  | <b>242,880.90</b> | <b>37.95</b>  |                  | <b>283,200.00</b> | <b>40,319.10</b>          | <b>1.22</b>        | <b>3,456.00</b>                    |
| 45           | GOOGLE INC                 | GOOG   | 10/16/08         | 14,723.09         | 327.179       | 645.90           | 29,065.50         | 14,342.41 LT              |                    |                                    |
| 89           | CLASS A                    |        | 11/04/08         | 32,754.31         | 368.026       | 645.90           | 57,485.10         | 24,730.79 LT              |                    |                                    |
| 271          |                            |        | 03/18/09         | 91,054.10         | 335.993       | 645.90           | 175,038.90        | 83,984.80 LT              |                    |                                    |

# MorganStanley SmithBarney

## Reserved Client Statement

December 1 - December 31, 2011

Ref. 00025891 00187834

**HARDEN FOUNDATION**      **Account number 593-08393-10 507**

### Common stocks & options      *continued*

| Quantity     | Description                 | Symbol | Date acquired | Cost              | Share cost     | Current price | Current value     | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|--------------|-----------------------------|--------|---------------|-------------------|----------------|---------------|-------------------|------------------------|-----------------|---------------------------------|
| 75           | GOOGLE INC                  | GOOG   | 07/09/10      | \$ 34,825.50      | \$ 464.34      | \$ 645.90     | \$ 48,442.50      | \$ 13,617.00           | LT              |                                 |
| 75           | CLASS A                     |        | 09/13/10      | 36,147.00         | 481.96         | 645.90        | 48,442.50         | 12,295.50              | LT              |                                 |
| <b>555</b>   |                             |        |               | <b>209,504.00</b> | <b>377.485</b> |               | <b>358,474.50</b> | <b>148,970.50</b>      |                 |                                 |
| 5,500        | ILLUMINA INC                | ILMN   | 11/30/11      | 151,986.45        | 27.633         | 30.48         | 167,640.00        | 15,653.55              | ST              |                                 |
| 2,500        |                             |        | 12/06/11      | 74,115.50         | 29.646         | 30.48         | 76,200.00         | 2,084.50               | ST              |                                 |
| <b>8,000</b> |                             |        |               | <b>226,101.95</b> | <b>28.263</b>  |               | <b>243,840.00</b> | <b>17,738.05</b>       |                 |                                 |
| 3,500        | INFORMATICA CORP            | INFA   | 11/30/11      | 156,334.85        | 44.667         | 36.93         | 129,255.00        | (27,079.85)            | ST              |                                 |
| 1,026        | INTL BUSINESS MACHINES CORP | IBM    | 03/16/09      | 94,812.86         | 92.41          | 183.88        | 188,680.88        | 93,868.02              | LT              |                                 |
| 300          |                             |        | 03/24/09      | 29,663.55         | 98.878         | 183.88        | 55,164.00         | 25,500.45              | LT              |                                 |
| 357          |                             |        | 06/11/10      | 45,630.67         | 127.817        | 183.88        | 65,645.16         | 20,014.49              | LT              |                                 |
| <b>1,683</b> |                             |        |               | <b>170,107.08</b> | <b>101.074</b> |               | <b>309,470.04</b> | <b>139,362.96</b>      |                 | <b>5,049.00</b>                 |
| 3,515        | INTUIT INC                  | INTU   | 03/24/10      | 122,439.05        | 34.833         | 52.59         | 184,853.85        | 62,414.80              | LT              |                                 |
| 600          |                             |        | 07/07/10      | 21,360.00         | 35.60          | 52.59         | 31,554.00         | 10,194.00              | LT              |                                 |
| 85           |                             |        | 11/23/10      | 3,778.75          | 44.455         | 52.59         | 4,470.15          | 691.40                 | LT              |                                 |
| 800          |                             |        | 12/05/11      | 43,505.44         | 54.381         | 52.59         | 42,072.00         | (1,433.44)             | ST              |                                 |
| <b>5,000</b> |                             |        |               | <b>191,083.24</b> | <b>38.217</b>  |               | <b>262,950.00</b> | <b>71,866.76</b>       |                 | <b>3,000.00</b>                 |
| 2,400        | JOHNSON & JOHNSON           | JNJ    | 09/08/11      | 157,576.80        | 65.657         | 65.58         | 157,392.00        | (184.80)               | ST              |                                 |
| 2,200        |                             |        | 09/21/11      | 140,782.40        | 63.992         | 65.58         | 144,276.00        | 3,493.60               | ST              |                                 |
| <b>4,600</b> |                             |        |               | <b>298,359.20</b> | <b>64.861</b>  |               | <b>301,668.00</b> | <b>3,308.80</b>        |                 | <b>10,488.00</b>                |
| 2,200        | KIMBERLY CLARK CORP         | KMB    | 11/08/11      | 155,380.50        | 70.627         | 73.56         | 161,832.00        | 6,451.50               | ST              |                                 |
| 2,184        | MCDONALDS CORP              | MCD    | 07/23/09      | 123,221.06        | 56.419         | 100.33        | 219,120.72        | 95,899.66              | LT              |                                 |
| 916          |                             |        | 09/11/09      | 49,957.54         | 54.538         | 100.33        | 91,902.28         | 41,944.74              | LT              |                                 |
| 300          |                             |        | 09/17/09      | 16,851.54         | 56.171         | 100.33        | 30,099.00         | 13,247.46              | LT              |                                 |
| 500          |                             |        | 01/12/10      | 31,169.25         | 62.338         | 100.33        | 50,165.00         | 18,995.75              | LT              |                                 |
| <b>3,900</b> |                             |        |               | <b>221,199.39</b> | <b>56.718</b>  |               | <b>391,287.00</b> | <b>170,087.61</b>      |                 | <b>10,920.00</b>                |
| 4,329        | ORACLE CORP                 | ORCL   | 10/06/08      | 76,353.17         | 17.637         | 25.65         | 111,038.85        | 34,685.68              | LT              |                                 |
| 1,071        |                             |        | 10/17/08      | 18,228.42         | 17.02          | 25.65         | 27,471.15         | 9,242.73               | LT              |                                 |
| 1,362        |                             |        | 03/16/09      | 20,591.26         | 15.118         | 25.65         | 34,935.30         | 14,344.04              | LT              |                                 |
| 638          |                             |        | 03/26/09      | 11,795.34         | 18.488         | 25.65         | 16,364.70         | 4,569.36               | LT              |                                 |
| 2,342        |                             |        | 09/22/09      | 50,376.19         | 21.509         | 25.65         | 60,072.30         | 9,696.11               | LT              |                                 |
| <b>9,742</b> |                             |        |               | <b>177,344.38</b> | <b>18.204</b>  |               | <b>249,882.30</b> | <b>72,537.92</b>       |                 | <b>2,338.08</b>                 |
| 3,595        | QUALCOMM INC                | QCOM   | 03/24/11      | 192,101.34        | 53.435         | 54.70         | 196,646.50        | 4,545.16               | ST              |                                 |
| 1,404        |                             |        | 04/26/11      | 80,250.67         | 57.158         | 54.70         | 76,798.80         | (3,451.87)             | ST              |                                 |
| <b>4,999</b> |                             |        |               | <b>272,352.01</b> | <b>54.481</b>  |               | <b>273,445.30</b> | <b>1,093.29</b>        |                 | <b>4,289.14</b>                 |
| 9,200        | SYSCO CORP                  | SYV    | 09/28/11      | 244,637.20        | 26.591         | 29.33         | 269,836.00        | 25,198.80              | ST              |                                 |
|              |                             |        |               |                   |                |               |                   |                        |                 | <b>9,936.00</b>                 |

# Reserved Client Statement

December 1 - December 31, 2011

Ref 00025891 00187835

MorganStanley  
SmithBarney

HARDEN FOUNDATION Account number 593-08393-10 507

## Common stocks & options continued

| Quantity | Description                     | Symbol | Date acquired | Cost            | Share cost | Current price | Current value   | Unrealized gain/(loss) | Average % yield | Anticipated Income (annualized) |
|----------|---------------------------------|--------|---------------|-----------------|------------|---------------|-----------------|------------------------|-----------------|---------------------------------|
| 6,700    | VERIZON COMMUNICATIONS          | VZ     | 08/23/11      | \$ 238,639.26   | \$ 35.617  | \$ 40.12      | \$ 268,804.00   | \$ 30,164.74           | ST 4.985 %      | \$ 13,400.00                    |
| 3,800    | WOODWARD GOVERNOR CO            | WWD    | 12/20/11      | 154,934.36      | 40.772     | 40.93         | 155,534.00      | 599.64                 | ST .884         | 1,064.00                        |
| 2,100    | YUM BRANDS INC                  | YUM    | 07/08/10      | 83,886.81       | 39.946     | 59.01         | 123,921.00      | 40,034.19              | LT              |                                 |
| 1,600    |                                 |        | 09/13/10      | 72,429.60       | 45.268     | 59.01         | 94,416.00       | 21,986.40              | LT              |                                 |
| 3,700    |                                 |        |               | 156,316.41      | 42.248     |               | 218,337.00      | 62,020.59              |                 |                                 |
|          | Total common stocks and options |        |               | \$ 7,896,985.65 |            |               | \$ 9,303,892.04 | \$ 149,627.37          | ST 2.03         | \$ 189,464.22                   |
|          | Total portfolio value           |        |               | \$ 8,496,485.54 |            |               | \$ 9,803,131.93 | \$ 149,627.37          | ST 1.91         | \$ 189,524.16                   |
|          |                                 |        |               |                 |            |               |                 | \$ 1,257,049.02        | LT              |                                 |

## TRANSACTION DETAILS

All transactions appearing are based on trade date.

| Investment activity Date | Activity                                       | Description                | Quantity | Price     | Amount         |
|--------------------------|------------------------------------------------|----------------------------|----------|-----------|----------------|
| 12/01/11                 | Bought                                         | CLOROX COMPANY/DE          | 1,400    | \$ 65.495 | \$ -91,693.00  |
| 12/05/11                 | Bought                                         | INTUIT INC                 | 800      | 54.3818   | -43,505.44     |
|                          |                                                | CGMI AND/OR ITS AFFILIATES |          |           |                |
| 12/06/11                 | Sold                                           | ABBOTT LABORATORIES        | -1,990   | 54.635    | 108,721.56     |
| 12/06/11                 | Bought                                         | BIOGEN IDEC INC            | 825      | 112.1604  | -92,532.33     |
|                          |                                                | CGMI AND/OR ITS AFFILIATES |          |           |                |
| 12/06/11                 | Bought                                         | ILLUMINA INC               | 2,500    | 29.6462   | -74,115.50     |
|                          |                                                | CGMI AND/OR ITS AFFILIATES |          |           |                |
| 12/20/11                 | Bought                                         | WOODWARD GOVERNOR CO       | 3,800    | 40.7722   | -154,934.36    |
|                          |                                                | CGMI AND/OR ITS AFFILIATES |          |           |                |
|                          | Total securities bought and other subtractions |                            |          |           | \$ -456,780.63 |
|                          | Total securities sold and other additions      |                            |          |           | \$ 108,721.56  |

## Deposits

| Date     | Description                                              | Amount |
|----------|----------------------------------------------------------|--------|
| 12/30/11 | CONSULTING & ADVISORY SERVICES FROM 12/05/11 TO 12/31/11 | 44.39  |

HARDEN FOUNDATION  
FEIN 94-6098887  
2011 FORM 990-PF  
PART IV, LINE 2 CAPITAL GAIN NET INCOME OR (NET CAPITAL LOSS)  
FOR THE YEAR ENDED DECEMBER 31, 2011

| DESCRIPTION                        | STATEMENT                 | DATE<br>ACQUIRED | DATE<br>SOLD | SALES<br>PRICE | COST<br>BASIS | GAIN<br>(LOSS) | ATTACHMENT<br>PAGE |
|------------------------------------|---------------------------|------------------|--------------|----------------|---------------|----------------|--------------------|
| SMITH BARNEY A/C# 593-01498-19 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 335,239 24     | 133,844 24    | 201,395 00     | 2                  |
| SMITH BARNEY A/C# 593-04373-13 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 266,247 01     | 188,090 57    | 78,156 44      | 3                  |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 2,305,051 30   | 2,198,981 82  | 106,069 48     | 8                  |
|                                    |                           |                  |              | 2,571,298 31   | 2,387,072 39  | 184,225 92     |                    |
| SMITH BARNEY A/C# 593-07424-15 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 81,554.30      | 51,212 13     | 30,342 17      | 9                  |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 703,733 53     | 969,771 59    | (266,038 06)   | 12                 |
|                                    |                           |                  |              | 785,287 83     | 1,020,983 72  | (235,695 89)   |                    |
| SMITH BARNEY A/C# 593-08392-11 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 620,262 50     | 567,083 22    | 53,179 28      | 25                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 263,549 48     | 165,747 04    | 97,802 44      | 31                 |
|                                    |                           |                  |              | 883,811 98     | 732,830 26    | 150,981 72     |                    |
| SMITH BARNEY A/C# 593-00819-13 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 193,788 76     | 193,115 26    | 673 50         | 32                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 700,499 22     | 669,813 94    | 30,685 28      | 33                 |
|                                    |                           |                  |              | 894,287 98     | 862,929 20    | 31,358 78      |                    |
| SMITH BARNEY A/C# 593-06236-15 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 107,051 00     | 100,590.99    | 6,460 01       | 35                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 468,552.81     | 415,168 82    | 53,383 99      | 41                 |
|                                    |                           |                  |              | 575,603 81     | 515,759 81    | 59,844 00      |                    |
| SMITH BARNEY A/C# 593-09052-10 017 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 73,188 38      | 59,464 44     | 13,723 94      | 43                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 596,762 43     | 619,793 33    | (23,030 90)    | 49                 |
|                                    |                           |                  |              | 669,950 81     | 679,257 77    | (9,306 96)     |                    |
| SMITH BARNEY A/C# 593-08393-10 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 3,563,320 39   | 3,341,927 86  | 221,392 53     | 52                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 3,149,729 44   | 2,313,650 93  | 836,078 51     | 54                 |
|                                    |                           |                  |              | 6,713,049 83   | 5,655,578.79  | 1,057,471 04   |                    |
| SMITH BARNEY A/C# 593-07116-18 507 |                           |                  |              |                |               |                |                    |
| SEE ATTACHMENT (ST)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 204,048 59     | 240,391 67    | (36,343 08)    | 57                 |
| SEE ATTACHMENT (LT)                | REALIZED GAIN/LOSS-DETAIL | VARIOUS          | VARIOUS      | 323,310 62     | 320,527 80    | 2,782 82       | 59                 |
|                                    |                           |                  |              | 527,359 21     | 560,919.47    | (33,560 26)    |                    |
| TOTAL                              |                           |                  |              | 13,955,889.00  | 12,549,175 65 | 1,406,713 35   |                    |

# MorganStanley SmithBarney

## 2011 Year End Summary

Ref 00000173 00001422

### HARDEN FOUNDATION

Account Number 593-01498-19 507

#### Details of Long-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description         | Opening Trade Date | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss) | Gain                 |
|------------------|----------|------------------------------|--------------------|--------------------|----------------------|----------------------|--------|----------------------|
| 125000010        | 054      | BERKSHIRE HATHAWAY INC CL B  | 11/03/94           | 06/23/11           | \$ 20.79             | \$ 1.24              |        | \$ 19.55 c           |
|                  | 06       | ADJUST CASH IN LIEU          | 12/29/94           |                    | 23.10                | 1.39                 |        | 21.71 c              |
|                  | 04       | AS OF 06/29/11               | 12/30/94           |                    | 15.40                | .93                  |        | 14.47 c              |
|                  | 02       |                              | 07/17/98           |                    | 7.70                 | 1.47                 |        | 6.23 c               |
|                  | 007      |                              | 01/20/99           |                    | 2.70                 | .46                  |        | 2.24 c               |
|                  | 019      |                              | 01/22/99           |                    | 7.31                 | 1.26                 |        | 6.05 c               |
| 125000020        | 1,000    | CHURCH & DWIGHT CO INC       | 10/20/93           | 12/05/11           | 44,165.15            | 3,940.64             |        | 40,224.51            |
| 125000030        | 3,500    | CINTAS CORP                  | 07/03/95           | 01/11/11           | 98,599.98            | 42,291.67            |        | 56,308.31            |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |                      |                      |        |                      |
| 125000040        | 1,700    | CINTAS CORP                  | 07/03/95           | 02/17/11           | 50,019.83            | 20,541.66            |        | 29,478.17            |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |                      |                      |        |                      |
| 125000050        | 1,850    | TEJON RANCH CO               | 01/14/91           | 02/17/11           | 50,263.53            | 42,087.50            |        | 8,176.03 b           |
| 125000060        | .1343    | TOOTSIE ROLL INDUSTRIES INC  | 10/17/94           | 04/07/11           | 3.74                 | 1.27                 |        | 2.47                 |
|                  | 2657     | CASH IN LIEU OF .40000       | 12/12/94           |                    | 7.40                 | 2.19                 |        | 5.21                 |
|                  |          | RECORD 03/08/11 PAY 04/07/11 |                    |                    |                      |                      |        |                      |
| 125000070        | 1,849    | TOOTSIE ROLL INDUSTRIES INC  | 10/17/94           | 12/05/11           | 43,925.84            | 17,551.53            |        | 26,374.31            |
| 125000080        | 1,850    | US BANCORP DEL NEW           | 01/21/94           | 12/05/11           | 48,176.77            | 7,421.03             |        | 40,755.74            |
| <b>Total</b>     |          |                              |                    |                    | <b>\$ 335,239.24</b> | <b>\$ 133,844.24</b> |        | <b>\$ 201,395.00</b> |

<sup>b</sup> Based on information supplied by your Financial Advisor

<sup>c</sup> Based on information supplied by Client or other financial institution, not verified by us.

#### Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

| Reference number | Date     | Description                    | Amount   |
|------------------|----------|--------------------------------|----------|
| 210000100        | 01/31/11 | CONSULTING & ADVISORY SERVICES | \$ 84.38 |
|                  |          | FROM 01/14/11 TO 03/31/11      |          |
| 210000200        | 02/28/11 | CONSULTING & ADVISORY SERVICES | \$ 40.55 |
|                  |          | FROM 02/23/11 TO 03/31/11      |          |

# MorganStanley SmithBarney

Ref 00000671 00005783

## 2011 Year End Summary

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HARDEN FOUNDATION

Account Number 593-04373-13 507

### Details of Short-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity                            | Security Description                                                                    | Opening Trade Date                                       | Closing Trade Date | Sale Proceeds                                                 | Cost                                                          | (Loss) | Gain                                                        |
|------------------|-------------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|---------------------------------------------------------------|--------|-------------------------------------------------------------|
| 125000070        | 402<br>169                          | AMGEN INC                                                                               | 03/18/11<br>03/22/11                                     | 12/14/11           | \$ 24,120 00<br>10,140 00                                     | \$ 21,256 11<br>8,924 87                                      |        | \$ 2,863 89<br>1,215 13                                     |
| 125000080        | 98                                  | AMGEN INC<br>CGMI AND/OR ITS AFFILIATES                                                 | 03/22/11                                                 | 12/19/11           | 5,900 29                                                      | 5,175 37                                                      |        | 724 92                                                      |
| 125000090        | 398                                 | AMGEN INC<br>CGMI AND/OR ITS AFFILIATES                                                 | 03/22/11                                                 | 12/20/11           | 24,226 71                                                     | 21,018 34                                                     |        | 3,208 37                                                    |
| 125000530        | 0507<br>.019                        | MOTOROLA SOLUTIONS INC<br>SPINOFF 01/04/11 620076109000                                 | 01/29/10<br>02/01/10                                     | 01/04/11           | 1 98<br>73                                                    | 1 27<br>48                                                    |        | 71<br>25                                                    |
| 125000670        | 0269<br>0101                        | MOTOROLA MOBILITY HOLDINGS INC<br>CASH IN LIEU OF 37500<br>RECORD 12/21/10 PAY 01/03/11 | 01/29/10<br>02/01/10                                     | 01/03/11           | 87<br>33                                                      | .15<br>.06                                                    |        | .72<br>.27                                                  |
| 125000720        | 534<br>833<br>946<br>1,774<br>1,183 | MOTOROLA MOBILITY HOLDINGS INC                                                          | 03/08/11<br>03/09/11<br>03/11/11<br>03/14/11<br>03/15/11 | 08/15/11           | 20,453 73<br>31,906 29<br>36,234 51<br>67,949 28<br>45,312 29 | 14,306 29<br>22,268 59<br>23,018 92<br>43,878 83<br>28,241 29 |        | 6,147 44<br>9,637 70<br>13,215 59<br>24,070 45<br>17,071 00 |
| <b>Total</b>     |                                     |                                                                                         |                                                          |                    | <b>\$ 288,247.01</b>                                          | <b>\$ 188,090.57</b>                                          |        | <b>\$ 78,156.44</b>                                         |

### Details of Long-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity   | Security Description                    | Opening Trade Date   | Closing Trade Date | Sale Proceeds          | Cost                   | (Loss)        | Gain            |
|------------------|------------|-----------------------------------------|----------------------|--------------------|------------------------|------------------------|---------------|-----------------|
| 125000010        | 1,300      | AMGEN INC<br>CGMI AND/OR ITS AFFILIATES | 05/22/07             | 03/02/11           | \$ 67,046 73           | \$ 70,224 83           | (\$ 3,178 10) |                 |
| 125000020        | 500<br>200 | AMGEN INC<br>CGMI AND/OR ITS AFFILIATES | 05/22/07<br>11/16/07 | 09/13/11           | 27,512 17<br>11,004 87 | 27,009 55<br>11,005 50 |               | 502 62<br>( 63) |
| 125000030        | 541        | AMGEN INC<br>CGMI AND/OR ITS AFFILIATES | 11/16/07             | 09/22/11           | 29,664 79              | 29,769 88              | (105 09)      |                 |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-04373-13 507

## Details of Long-Term Gain (Loss) 2011 continued

| Reference number | Quantity | Security Description         | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)      | Gain      |
|------------------|----------|------------------------------|--------------------|--------------------|---------------|--------------|-------------|-----------|
| 125000040        | 316      | AMGEN INC                    | 11/16/07           | 10/12/11           | \$ 18,032.70  | \$ 17,388.69 |             | \$ 644.01 |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |              |             |           |
| 125000050        | 597      | AMGEN INC                    | 11/16/07           | 10/13/11           | 34,064.40     | 32,851.42    |             | 1,212.98  |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |              |             |           |
| 125000060        | 346      | AMGEN INC                    | 11/16/07           | 11/07/11           | 20,164.70     | 19,039.51    |             | 1,125.19  |
|                  | 400      | AMGEN INC                    | 11/19/07           |                    | 23,311.79     | 21,994.40    |             | 1,317.39  |
|                  | 294      | CGMI AND/OR ITS AFFILIATES   | 04/13/09           |                    | 17,134.16     | 13,987.52    |             | 3,146.64  |
| 125000070        | 6        | AMGEN INC                    | 04/13/09           | 12/14/11           | 360.00        | 285.46       |             | 74.54     |
|                  | 900      |                              | 04/13/09           |                    | 54,000.00     | 42,928.11    |             | 11,071.89 |
|                  | 1,008    |                              | 09/17/09           |                    | 60,480.00     | 60,321.95    |             | 158.05    |
|                  | 1,082    |                              | 05/25/10           |                    | 64,920.00     | 56,471.42    |             | 8,448.58  |
| 125000100        | 1,000    | AON CORP                     | 09/21/06           | 06/02/11           | 51,262.61     | 33,985.70    |             | 17,276.91 |
| 125000110        | 174      | AON CORP                     | 09/21/06           | 06/09/11           | 8,894.18      | 5,913.51     |             | 2,980.67  |
| 125000120        | 119      | AON CORP                     | 09/21/06           | 06/14/11           | 6,079.50      | 4,044.30     |             | 2,035.20  |
|                  | 60       |                              | 09/27/06           |                    | 3,065.30      | 2,037.68     |             | 1,027.62  |
| 125000130        | 27       | APACHE CORP                  | 01/03/07           | 02/03/11           | 3,200.62      | 1,758.67     |             | 1,441.95  |
| 125000140        | 86       | APACHE CORP                  | 01/03/07           | 04/20/11           | 10,654.27     | 5,601.68     |             | 5,052.59  |
| 125000150        | 446      | BARRICK GOLD CORP CAD        | 12/18/02           | 04/28/11           | 22,996.11     | 7,111.78     |             | 15,884.33 |
| 125000160        | 149      | BARRICK GOLD CORP CAD        | 12/18/02           | 04/29/11           | 7,604.95      | 2,375.91     |             | 5,229.04  |
|                  | 106      |                              | 02/05/04           |                    | 5,410.23      | 2,117.46     |             | 3,292.77  |
| 125000170        | 440      | BARRICK GOLD CORP CAD        | 02/05/04           | 06/02/11           | 20,341.90     | 8,789.44     |             | 11,552.46 |
| 125000180        | 323      | BARRICK GOLD CORP CAD        | 02/05/04           | 09/22/11           | 15,632.79     | 6,452.25     |             | 9,180.54  |
| 125000190        | 346      | CA INC                       | 07/26/02           | 02/03/11           | 8,498.77      | 2,778.35     |             | 5,720.42  |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |              |             |           |
| 125000200        | 968      | HARTFORD FINL SVCS GROUP INC | 09/09/99           | 02/03/11           | 27,792.87     | 46,471.26    | (18,678.39) |           |
| 125000210        | 800      | HESS CORP                    | 04/30/07           | 01/11/11           | 64,504.34     | 45,736.88    |             | 18,767.46 |
| 125000220        | 465      | KIMBERLY CLARK CORP          | 12/08/05           | 01/21/11           | 29,814.20     | 27,046.07    |             | 2,768.13  |
| 125000230        | 310      | KIMBERLY CLARK CORP          | 12/08/05           | 02/03/11           | 20,141.92     | 18,030.72    |             | 2,111.20  |
| 125000240        | 615      | KIMBERLY CLARK CORP          | 12/08/05           | 02/04/11           | 39,876.81     | 36,770.62    |             | 4,106.19  |
| 125000250        | 361      | KIMBERLY CLARK CORP          | 12/08/05           | 02/07/11           | 23,444.72     | 20,997.06    |             | 2,447.66  |
| 125000260        | 366      | KIMBERLY CLARK CORP          | 12/08/05           | 02/08/11           | 23,794.08     | 21,287.87    |             | 2,506.21  |
| 125000270        | 7        | KIMBERLY CLARK CORP          | 12/08/05           | 02/09/11           | 456.56        | 407.15       |             | 49.41     |
| 125000280        | 1,136    | KROGER CO                    | 09/22/09           | 02/22/11           | 26,401.49     | 23,204.50    |             | 3,196.99  |
|                  | 165      |                              | 09/23/09           |                    | 3,834.72      | 3,392.80     |             | 441.92    |
| 125000290        | 666      | KROGER CO                    | 09/23/09           | 02/23/11           | 15,384.90     | 13,694.56    |             | 1,690.34  |

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HARDEN FOUNDATION

Account Number 593-04373-13 507

Details of Long-Term Gain (Loss) 2011 -continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain      |
|------------------|----------|----------------------|--------------------|--------------------|---------------|-------------|------------|-----------|
| 125000300        | 338      | KROGER CO            | 09/23/09           | 02/24/11           | \$ 7,839.56   | \$ 6,950.09 |            | \$ 889.47 |
|                  | 120      |                      | 09/24/09           |                    | 2,783.27      | 2,465.76    |            | 317.51    |
| 125000310        | 542      | KROGER CO            | 09/24/09           | 02/25/11           | 12,433.78     | 11,137.02   |            | 1,296.76  |
| 125000320        | 280      | KROGER CO            | 09/24/09           | 02/28/11           | 6,405.77      | 5,753.44    |            | 652.33    |
| 125000330        | 975      | KROGER CO            | 09/24/09           | 06/21/11           | 23,922.53     | 20,034.30   |            | 3,888.23  |
|                  | 632      |                      | 09/25/09           |                    | 15,506.70     | 13,005.93   |            | 2,500.77  |
| 125000340        | 541      | KROGER CO            | 09/25/09           | 06/22/11           | 13,299.74     | 11,133.24   |            | 2,166.50  |
|                  | 1,350    |                      | 09/28/09           |                    | 33,187.89     | 27,762.35   |            | 5,425.54  |
| 125000350        | 100      | LOCKHEED MARTIN CORP | 02/04/04           | 04/21/11           | 7,770.63      | 4,876.25    |            | 2,894.38  |
|                  | 221      |                      | 10/18/05           |                    | 17,173.09     | 13,458.21   |            | 3,714.88  |
| 125000360        | 379      | LOCKHEED MARTIN CORP | 10/18/05           | 04/25/11           | 29,468.54     | 23,079.93   |            | 6,388.61  |
|                  | 200      |                      | 10/21/05           |                    | 15,550.68     | 12,182.24   |            | 3,368.44  |
|                  | 69       |                      | 12/06/05           |                    | 5,364.99      | 4,280.88    |            | 1,084.11  |
| 125000370        | 274      | LOCKHEED MARTIN CORP | 12/06/05           | 04/26/11           | 21,574.39     | 16,999.45   |            | 4,574.94  |
| 125000380        | 466      | LOCKHEED MARTIN CORP | 12/06/05           | 06/08/11           | 35,959.45     | 28,911.48   |            | 7,047.97  |
| 125000390        | 194      | LOCKHEED MARTIN CORP | 12/06/05           | 07/07/11           | 15,815.35     | 12,036.11   |            | 3,779.24  |
| 125000400        | 135      | LOCKHEED MARTIN CORP | 12/06/05           | 07/08/11           | 10,915.92     | 8,375.64    |            | 2,540.28  |
| 125000410        | 24       | LOCKHEED MARTIN CORP | 12/06/05           | 07/11/11           | 1,919.83      | 1,489.00    |            | 430.83    |
| 125000420        | 90       | LOCKHEED MARTIN CORP | 12/06/05           | 07/13/11           | 7,228.10      | 5,583.76    |            | 1,644.34  |
| 125000430        | 448      | LOCKHEED MARTIN CORP | 12/06/05           | 08/16/11           | 31,750.81     | 27,794.74   | (1,114.40) | 3,956.07  |
|                  | 246      |                      | 08/04/09           |                    | 17,434.59     | 18,548.99   |            |           |
| 125000440        | 146      | LOCKHEED MARTIN CORP | 08/04/09           | 08/17/11           | 10,397.76     | 11,008.75   | (610.99)   |           |
|                  | 153      |                      | 09/01/09           |                    | 10,896.28     | 11,552.65   | (656.37)   |           |
| 125000450        | 131      | LOCKHEED MARTIN CORP | 09/01/09           | 08/18/11           | 9,048.73      | 9,891.48    | (842.75)   |           |
|                  | 69       |                      | 09/02/09           |                    | 4,766.12      | 5,180.18    | (414.06)   |           |
| 125000460        | 94       | LOCKHEED MARTIN CORP | 09/02/09           | 08/19/11           | 6,546.66      | 7,057.06    | (510.40)   |           |
| 125000470        | 510      | LOEWS CORP           | 10/04/01           | 04/05/11           | 22,250.97     | 8,159.39    |            | 14,091.58 |
| 125000480        | 139      | LOEWS CORP           | 10/04/01           | 04/06/11           | 6,064.64      | 2,223.83    |            | 3,840.81  |
| 125000490        | 260      | LOEWS CORP           | 10/04/01           | 04/21/11           | 11,242.21     | 4,159.69    |            | 7,082.52  |
| 125000500        | 78       | LOEWS CORP           | 10/04/01           | 04/25/11           | 3,367.42      | 1,247.91    | (133.32)   | 2,119.51  |
|                  | 79       | TRADE AS OF 04/25/11 | 11/13/07           |                    | 3,410.60      | 3,543.92    | (441.20)   |           |
| 125000510        | 275      | LOEWS CORP           | 11/13/07           | 04/26/11           | 11,895.25     | 12,336.45   |            |           |
|                  |          | TRADE AS OF 04/26/11 |                    |                    |               |             |            |           |
| 125000520        | 435      | METLIFE INC          | 10/09/08           | 02/03/11           | 20,171.52     | 12,179.22   |            | 7,992.30  |

# 2011 Year End Summary

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HARDEN FOUNDATION

Account Number 593-04373-13 507

## Details of Long-Term Gain (Loss)-2011-continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description           | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss)      | Gain     |
|------------------|----------|--------------------------------|--------------------|--------------------|---------------|-----------|-------------|----------|
| 125000530        | .0128    | MOTOROLA SOLUTIONS INC         | 11/09/04           | 01/04/11           | \$ .50        | \$ .77    | (\$ .27)    |          |
|                  | .104     | SPINOFF 01/04/11 620076109000  | 06/07/06           |                    | 4.06          | 8.96      | (4.90)      |          |
|                  | .0689    |                                | 11/08/06           |                    | 2.69          | 6.02      | (3.33)      |          |
|                  | 0871     |                                | 05/15/07           |                    | 3.40          | 6.33      | (2.93)      |          |
|                  | 0247     |                                | 12/04/07           |                    | 96            | 1.56      | (.60)       |          |
|                  | .0793    |                                | 01/02/08           |                    | 3.09          | 5.13      | (2.04)      |          |
|                  | .26      |                                | 02/05/09           |                    | 10.14         | 3.94      |             | 6.20     |
| 125000540        | 140.4156 | MOTOROLA SOLUTIONS INC         | 11/09/04           | 04/25/11           | 6,202.53      | 8,495.73  | (2,293.20)  |          |
|                  | 7.5844   | TRADE AS OF 04/25/11           | 06/07/06           |                    | 335.02        | 653.34    | (318.32)    |          |
| 125000550        | 491      | MOTOROLA SOLUTIONS INC         | 06/07/06           | 04/26/11           | 21,748.23     | 42,295.78 | (20,547.55) |          |
|                  |          | TRADE AS OF 04/26/11           |                    |                    |               |           |             |          |
| 125000560        | 594      | MOTOROLA SOLUTIONS INC         | 06/07/06           | 04/28/11           | 27,373.54     | 51,188.42 | (23,794.88) |          |
| 125000570        | 50.1676  | MOTOROLA SOLUTIONS INC         | 06/07/06           | 09/22/11           | 2,065.74      | 4,321.54  | (2,255.80)  |          |
|                  | 757.0732 |                                | 11/08/06           |                    | 31,173.85     | 66,106.18 | (34,932.33) |          |
|                  | 90.7592  |                                | 05/15/07           |                    | 3,737.18      | 6,596.10  | (2,858.92)  |          |
| 125000580        | 354      | MOTOROLA SOLUTIONS INC         | 05/15/07           | 10/24/11           | 16,458.98     | 25,727.64 | (9,268.66)  |          |
| 125000590        | 30       | MOTOROLA SOLUTIONS INC         | 05/15/07           | 10/25/11           | 1,376.22      | 2,180.31  | (804.09)    |          |
| 125000600        | 139      | MOTOROLA SOLUTIONS INC         | 05/15/07           | 10/26/11           | 6,372.74      | 10,102.10 | (3,729.36)  |          |
| 125000610        | 121      | MOTOROLA SOLUTIONS INC         | 05/15/07           | 11/16/11           | 5,595.29      | 8,793.91  | (3,198.62)  |          |
| 125000620        | 189      | MOTOROLA SOLUTIONS INC         | 05/15/07           | 11/18/11           | 8,724.15      | 13,735.94 | (5,011.79)  |          |
| 125000630        | 33.2956  | MOTOROLA SOLUTIONS INC         | 05/15/07           | 11/28/11           | 1,504.71      | 2,419.82  | (915.11)    |          |
|                  | 156.7044 | TRADE AS OF 11/28/11           | 12/04/07           |                    | 7,081.85      | 9,896.51  | (2,814.66)  |          |
| 125000640        | 114.6992 | MOTOROLA SOLUTIONS INC         | 12/04/07           | 12/07/11           | 5,385.50      | 7,243.72  | (1,858.22)  |          |
|                  | 30.3008  |                                | 01/02/08           |                    | 1,422.72      | 1,961.52  | (538.80)    |          |
| 125000650        | 248      | MOTOROLA SOLUTIONS INC         | 01/02/08           | 12/08/11           | 11,655.20     | 16,054.29 | (4,399.09)  |          |
| 125000660        | 593.0476 | MOTOROLA SOLUTIONS INC         | 01/02/08           | 12/09/11           | 27,888.91     | 38,390.97 | (10,522.06) |          |
|                  | 88.9524  |                                | 02/05/09           |                    | 4,180.11      | 1,348.10  |             | 2,832.01 |
| 125000670        | 0068     | MOTOROLA MOBILITY HOLDINGS INC | 11/09/04           | 01/03/11           | .22           | .09       |             | .13      |
|                  | 0552     | CASH IN LIEU OF .37500         | 06/07/06           |                    | 1.80          | 1.05      |             | .75      |
|                  | .0366    | RECORD 12/21/10 PAY 01/03/11   | 11/08/06           |                    | 1.19          | .70       |             | .49      |
|                  | 0462     |                                | 05/15/07           |                    | 1.50          | .74       |             | .76      |
|                  | 0131     |                                | 12/04/07           |                    | .43           | .18       |             | .25      |
|                  | 0421     |                                | 01/02/08           |                    | 1.37          | .60       |             | .77      |
|                  | 138      |                                | 02/05/09           |                    | 4.49          | .46       |             | 4.03     |
| 125000680        | 122.8682 | MOTOROLA MOBILITY HOLDINGS INC | 11/09/04           | 01/06/11           | 4,061.95      | 6,215.97  | (2,154.02)  |          |
|                  | 75.1318  | TRADE AS OF 01/06/11           | 06/07/06           |                    | 2,483.81      | 5,411.57  | (2,927.76)  |          |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-04373-13 507

## Details of Long-Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity  | Security Description           | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)         | Gain      |
|------------------|-----------|--------------------------------|--------------------|--------------------|---------------|--------------|----------------|-----------|
| 125000690        | 924 813   | MOTOROLA MOBILITY HOLDINGS INC | 08/07/06           | 01/07/11           | \$ 30,577 03  | \$ 66,612 14 | (\$ 36,035 11) |           |
|                  | 292 187   |                                | 11/08/06           |                    | 9,660 56      | 21,332 86    | (11,672 30)    |           |
| 125000700        | 136       | MOTOROLA MOBILITY HOLDINGS INC | 11/08/06           | 01/10/11           | 4,459 28      | 9,929 49     | (5,470 21)     |           |
| 125000710        | 234 2764  | MOTOROLA MOBILITY HOLDINGS INC | 11/08/06           | 01/13/11           | 7,729 25      | 17,104 75    | (9,375 50)     |           |
|                  | 420 7236  |                                | 05/15/07           |                    | 13 880 53     | 25,566 86    | (11,686 33)    |           |
| 125000720        | 416 7302  | MOTOROLA MOBILITY HOLDINGS INC | 05/15/07           | 08/15/11           | 15,961 96     | 25,324 19    | (9,362 23)     |           |
|                  | 237 4869  |                                | 12/04/07           |                    | 9,096 43      | 12,540 78    | (3,444 35)     |           |
|                  | 762 4579  |                                | 01/02/08           |                    | 29,204 32     | 41,270 45    | (12,066 13)    |           |
|                  | 2,439 862 |                                | 02/05/09           |                    | 95 751 87     | 31,678 59    |                | 64,073 28 |
|                  | 487 3481  |                                | 01/29/10           |                    | 18,666 83     | 10,183 30    |                | 8,483 53  |
|                  | 182 1149  |                                | 02/01/10           |                    | 6,975 52      | 3,891 78     |                | 3,083 74  |
| 125000730        | 106       | NRG ENERGY INC NEW             | 03/11/08           | 05/23/11           | 2,626 48      | 4,497 28     | (1,870 80)     |           |
| 125000740        | 103       | NRG ENERGY INC NEW             | 03/11/08           | 05/24/11           | 2,558 83      | 4,370 00     | (1,811 17)     |           |
| 125000750        | 43        | NRG ENERGY INC NEW             | 03/11/08           | 05/25/11           | 1,061 01      | 1,824 37     | (763 36)       |           |
| 125000760        | 190       | OCCIDENTAL PETROLEUM CORP-DEL  | 04/12/10           | 04/20/11           | 19 116 29     | 16,464 41    |                | 2,651 88  |
| 125000770        | 692       | OCCIDENTAL PETROLEUM CORP-DEL  | 04/12/10           | 06/30/11           | 71,986 06     | 59,985 12    |                | 12,000 94 |
| 125000780        | 2 900     | PFIZER INC                     | 03/29/10           | 06/02/11           | 60,957 11     | 50,109 68    |                | 10,847 43 |
| 125000790        | 598       | PFIZER INC                     | 03/29/10           | 06/08/11           | 12,341 52     | 10,332 96    |                | 2,008 56  |
| 125000800        | 900       | PFIZER INC                     | 03/29/10           | 09/13/11           | 16,502 53     | 15,551 28    |                | 951 25    |
| 125000810        | 850       | PHILIP MORRIS INTL INC         | 12/09/99           | 02/25/11           | 52,902 05     | 11,016 67    |                | 41,885 38 |
| 125000820        | 551       | PHILIP MORRIS INTL INC         | 12/09/99           | 11/30/11           | 41,870 51     | 7,141 39     |                | 34,729 12 |
|                  | 237       | TRADE AS OF 11/30/11           | 12/08/05           |                    | 18,009 64     | 9,012 11     |                | 8,997 53  |
| 125000830        | 353       | PITNEY BOWES INC               | 02/05/03           | 02/28/11           | 8,900 11      | 11,393 18    | (2,493 07)     |           |
| 125000840        | 96        | PITNEY BOWES INC               | 02/05/03           | 03/01/11           | 2,420 96      | 3,098 43     | (677 47)       |           |
| 125000850        | 64        | PITNEY BOWES INC               | 02/05/03           | 03/25/11           | 1,605 15      | 2,065 62     | (460 47)       |           |
|                  | 837       |                                | 12/01/05           |                    | 20,992 30     | 35,177 35    | (14,185 05)    |           |
| 125000860        | 172       | PITNEY BOWES INC               | 12/01/05           | 03/28/11           | 4,327 50      | 7,228 80     | (2,901 30)     |           |
| 125000870        | 341       | PITNEY BOWES INC               | 12/01/05           | 03/29/11           | 8,593 88      | 14,331 51    | (5,737 63)     |           |
| 125000880        | 402       | PITNEY BOWES INC               | 12/01/05           | 04/27/11           | 10,480 25     | 16,895 22    | (6,414 97)     |           |
| 125000890        | 396       | PITNEY BOWES INC               | 12/01/05           | 04/28/11           | 10,382 13     | 16,643 05    | (6,260 92)     |           |
| 125000900        | 403       | PITNEY BOWES INC               | 12/01/05           | 04/29/11           | 9,989 57      | 16,937 24    | (6,947 67)     |           |
| 125000910        | 185       | PITNEY BOWES INC               | 12/01/05           | 07/20/11           | 4,141 24      | 7,775 16     | (3,633 92)     |           |
| 125000920        | 564       | PITNEY BOWES INC               | 12/01/05           | 07/21/11           | 12,665 10     | 23,703 74    | (11,038 64)    |           |
|                  | 116       |                                | 12/09/05           |                    | 2,604 88      | 4,859 15     | (2,254 27)     |           |
| 125000930        | 514       | PITNEY BOWES INC               | 12/09/05           | 07/22/11           | 11,514 14     | 21,531 05    | (10,016 91)    |           |

# 2011 Year End Summary

Ref 00000671 00005788

HARDEN FOUNDATION

Account Number 593-04373-13 507

## Details of Long Term Gain (Loss)-2011-continued

| Reference number | Quantity     | Security Description   | Opening Trade Date   | Closing Trade Date | Sale Proceeds          | Cost                   | (Loss)                  | Gain                 |
|------------------|--------------|------------------------|----------------------|--------------------|------------------------|------------------------|-------------------------|----------------------|
| 125000940        | 1,500        | TALISMAN ENERGY INC    | 04/22/08             | 01/11/11           | \$ 34,365.46           | \$ 31,845.00           |                         | \$ 2,520.46          |
| 125000950        | 688<br>1,045 | VIACOM INC NEW CLASS B | 08/30/05<br>08/31/05 | 02/03/11           | 29,904.65<br>45,422.04 | 28,179.53<br>42,902.58 |                         | 1,725.12<br>2,519.46 |
| 125000960        | 143          | VIACOM INC NEW CLASS B | 08/31/05             | 05/25/11           | 7,175.86               | 5,870.88               |                         | 1,304.98             |
| 125000970        | 362<br>52    | VIACOM INC NEW CLASS B | 08/31/05<br>12/01/05 | 05/26/11           | 18,098.67<br>2,599.81  | 14,861.95<br>2,122.13  |                         | 3,236.72<br>477.68   |
| <b>Total</b>     |              |                        |                      |                    | <b>\$ 2,305,051.30</b> | <b>\$ 2,198,981.82</b> | <b>( \$ 338,422.79)</b> | <b>\$ 444,492.27</b> |

## Details of Deposits and Withdrawals-2011

This section reflects any deposits or withdrawals made to your account during the year.

### Deposits

| Reference number | Date     | Description                                                 | Amount           |
|------------------|----------|-------------------------------------------------------------|------------------|
| 210000100        | 01/31/11 | CONSULTING & ADVISORY SERVICES<br>FROM 01/11/11 TO 03/31/11 | \$ 186.30        |
| 210000300        | 07/29/11 | CONSULTING & ADVISORY SERVICES<br>FROM 07/05/11 TO 09/30/11 | 102.46           |
| <b>Total</b>     |          |                                                             | <b>\$ 881.05</b> |

### Withdrawals

| Reference number | Date     | Description                                                 | Referral number | Amount        |
|------------------|----------|-------------------------------------------------------------|-----------------|---------------|
| 220000100        | 01/11/11 | FROM 593-04373-01<br>TO 593-04252-01                        |                 | \$ 100,000.00 |
| 220000300        | 01/28/11 | FROM 593-04373-01<br>TO 593-04252-01                        |                 | 115,000.00    |
| 220000500        | 04/15/11 | CONSULTING & ADVISORY SERVICES<br>FROM 04/01/11 TO 06/30/11 |                 | 22,990.26     |
| 220000700        | 07/15/11 | CONSULTING & ADVISORY SERVICES<br>FROM 07/01/11 TO 09/30/11 |                 | 22,530.80     |

## 2011 YEAR END SUMMARY

| Reference number | Date     | Description                                                 | Referral number | Amount       |
|------------------|----------|-------------------------------------------------------------|-----------------|--------------|
| 220000200        | 01/21/11 | CONSULTING & ADVISORY SERVICES<br>FROM 01/01/11 TO 03/31/11 |                 | \$ 22,137.48 |
| 220000400        | 02/17/11 | FROM 593-04373-01<br>TO 593-04252-01                        |                 | 100,000.00   |
| 220000600        | 07/05/11 | FROM 593-04373-01<br>TO 593-04252-01                        |                 | 50,000.00    |
| 220000800        | 08/24/11 | FROM 593-04373-01<br>TO 593-04252-01                        |                 | 200,000.00   |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-07424-15 507

## Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description                                      | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)  | Gain         |
|------------------|----------|-----------------------------------------------------------|--------------------|--------------------|---------------|--------------|---------|--------------|
| 125000110        | .0227    | CEMEX S A B DE C V SPONS                                  | 04/15/10           | 03/31/11           | \$ 20         | \$ 23        | (\$ 03) |              |
|                  | .0142    | ADR NEW                                                   | 05/17/10           |                    | 13            | 14           | (01)    |              |
|                  | .0091    | CASH IN LIEU OF .560000                                   | 06/07/10           |                    | .08           | .09          | (01)    |              |
|                  | 0227     | RECORD 03/29/11 PAY 03/31/11                              | 06/29/10           |                    | 20            | 22           | (02)    |              |
|                  | .03      |                                                           | 07/19/10           |                    | 27            | 27           |         |              |
|                  | .0167    |                                                           | 08/06/10           |                    | .15           | .15          |         |              |
|                  | .0133    |                                                           | 08/13/10           |                    | .12           | .11          |         | 01           |
|                  | 0273     |                                                           | 08/20/10           |                    | .26           | .22          |         | 04           |
| 125000120        | 4,820    | CENTRAIS ELETRICAS<br>BRASILEIRAS SPON ADR                | 02/25/11           | 02/25/11           | 66 39         | 01           |         | 66 38        |
| 125000230        | 2,308    | INTESA SANPAOLO S P A<br>SPONSORED ADR                    | 06/20/11           | 06/20/11           | 1 700.15      | 01           |         | 1,700 14     |
| 125000320        | 525      | REPSOL S A SPONSORED ADR                                  | 06/08/10           | 01/27/11           | 16,484 26     | 9,920.35     |         | 6,563 91     |
| 125000330        | 20       | SWISS REINSURANCE CO-SP                                   | 05/14/10           | 04/07/11           | 1,235 13      | 865 50       |         | 369 63       |
|                  | 40       | ADR                                                       | 05/21/10           |                    | 2,470 26      | 1,613 91     |         | 856 35       |
|                  | 915      | CGMI AND/OR ITS AFFILIATES                                | 06/08/10           |                    | 56,507 30     | 36,586 37    |         | 19,920 93    |
|                  | 50       |                                                           | 09/30/10           |                    | 3,087 84      | 2,224 53     |         | 863 31       |
| 125000380        | 1,460    | TELE NORTE LESTE<br>PARTICIPACOES *TLPP*-BRL              | 04/08/11           | 04/08/11           | 1.35          | 01           |         | 1.34         |
|                  |          | SPONSORED ADR REPSTG PFD                                  |                    |                    |               |              |         |              |
| 125000440        | 275      | SALE OF RTS ON 1460 0000 SHS<br>TIM PARTICIPACOES S A-BRL | 05/26/11           | 06/26/11           | .21           | 01           |         | .20          |
|                  |          | SPONS ADR REPSTG PFD                                      |                    |                    |               |              |         |              |
|                  |          | SALE OF RTS ON 275 0000 SHS                               |                    |                    |               |              |         |              |
| Total            |          |                                                           |                    |                    | \$ 81,554 30  | \$ 51,212 13 | (\$ 07) | \$ 30,342 24 |

30,342.17

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-07424-15 507

## Details of Long-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description         | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain     |
|------------------|----------|------------------------------|--------------------|--------------------|---------------|-------------|-------------|----------|
| 125000010        | 90       | DEUTSCHE BANK-EUR            | 12/18/09           | 02/28/11           | \$ 5,670.53   | \$ 6,447.29 | (\$ 776.76) |          |
| 125000020        | 285      | DEUTSCHE BANK-EUR            | 12/18/09           | 12/19/11           | 10,107.78     | 20,416.40   | (10,308.62) |          |
| 125000030        | 3,300    | ALCATEL-LUCENT ADR           | 07/16/02           | 03/03/11           | 17,819.65     | 21,125.27   | (3,305.62)  |          |
| 125000040        | 160      | ALCATEL-LUCENT ADR           | 07/16/02           | 03/04/11           | 895.98        | 1,024.26    | (128.28)    |          |
|                  | 1,390    | TRADE AS OF 03/04/11         | 08/16/04           |                    | 7,783.85      | 16,114.69   | (8,330.84)  |          |
|                  | 1,690    |                              | 09/01/04           |                    | 9,463.82      | 19,895.35   | (10,431.53) |          |
| 125000050        | 1,020    | ALCATEL-LUCENT ADR           | 09/01/04           | 03/04/11           | 5,392.84      | 12,007.85   | (6,615.01)  |          |
|                  | 60       |                              | 02/09/05           |                    | 317.23        | 767.65      | (450.42)    |          |
| 125000060        | 1,105    | ALCATEL-LUCENT ADR           | 02/09/05           | 03/29/11           | 6,321.91      | 14,137.61   | (7,815.70)  |          |
| 125000070        | 175      | ALCATEL-LUCENT ADR           | 02/09/05           | 04/27/11           | 1,133.98      | 2,238.99    | (1,105.01)  |          |
|                  | 1,185    |                              | 02/16/05           |                    | 7,678.65      | 15,004.23   | (7,325.58)  |          |
| 125000080        | 1,075    | ALCATEL-LUCENT ADR           | 02/16/05           | 07/05/11           | 6,400.00      | 13,811.44   | (7,211.44)  |          |
|                  | 1,100    |                              | 03/21/05           |                    | 6,548.83      | 13,926.00   | (7,377.17)  |          |
|                  | 1,320    |                              | 08/10/05           |                    | 7,858.60      | 16,481.39   | (8,622.79)  |          |
|                  | 245      |                              | 08/17/05           |                    | 1,458.60      | 2,960.29    | (1,501.69)  |          |
| 125000090        | 350      | CANON INC ADR                | 10/08/08           | 04/19/11           | 15,145.44     | 11,797.24   |             | 3,348.20 |
|                  | 470      |                              | 11/06/08           |                    | 20,338.16     | 16,781.49   |             | 3,556.67 |
|                  | 190      |                              | 04/14/09           |                    | 8,221.80      | 5,924.62    |             | 2,297.18 |
| 125000100        | 300      | CANON INC ADR                | 04/14/09           | 08/17/11           | 14,038.14     | 9,354.66    |             | 4,683.48 |
| 125000110        | 0769     | CEMEX S A B DE C.V SPONS     | 09/23/09           | 03/31/11           | 69            | 92          | (23)        |          |
|                  | .3271    | ADR NEW                      | 11/27/09           |                    | 2.95          | 3.32        | (.37)       |          |
|                  |          | CASH IN LIEU OF .56000       |                    |                    |               |             |             |          |
|                  |          | RECORD 03/29/11 PAY 03/31/11 |                    |                    |               |             |             |          |
| 125000130        | 710      | DEUTSCHE TELEKOM AG SP ADR   | 06/04/01           | 04/04/11           | 11,171.35     | 14,853.20   | (3,681.85)  |          |
|                  | 370      | CGMI AND/OR ITS AFFILIATES   | 08/09/04           |                    | 5,821.69      | 6,422.98    | (601.29)    |          |
| 125000140        | 300      | DEUTSCHE TELEKOM AG SP ADR   | 06/09/04           | 04/14/11           | 4,776.50      | 5,207.82    | (431.32)    |          |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |             |             |          |
| 125000150        | 110      | DEUTSCHE TELEKOM AG SP ADR   | 06/09/04           | 04/19/11           | 1,721.47      | 1,909.53    | (188.06)    |          |
|                  | 990      | CGMI AND/OR ITS AFFILIATES   | 11/10/04           |                    | 15,493.20     | 19,223.72   | (3,730.52)  |          |
|                  | 1,460    |                              | 03/21/05           |                    | 22,848.56     | 29,611.57   | (6,763.01)  |          |
|                  | 1,440    |                              | 04/25/05           |                    | 22,535.56     | 27,589.83   | (5,054.27)  |          |

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-07424-15 507

## Details of Long Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description                                     | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)        | Gain     |
|------------------|----------|----------------------------------------------------------|--------------------|--------------------|---------------|-------------|---------------|----------|
| 125000160        | 240      | DEUTSCHE TELEKOM AG SP ADR<br>CGMI AND/OR ITS AFFILIATES | 04/25/05           | 12/05/11           | \$ 2,981.22   | \$ 4,598.30 | (\$ 1,617.08) |          |
| 125000170        | 70       | ERICSSON L M TEL CO CL B                                 | 10/26/07           | 05/13/11           | 1,043.69      | 1,030.67    |               | 13.02    |
| 1,500            |          | ADR NEW -USD-                                            | 11/02/07           |                    | 22,364.71     | 22,031.03   |               | 333.68   |
| 215              |          | CGMI AND/OR ITS AFFILIATES                               | 11/08/07           |                    | 3,205.61      | 3,213.91    | (8.30)        |          |
| 125000180        | 805      | ERICSSON L M TEL CO CL B<br>ADR NEW -USD-                | 11/08/07           | 05/20/11           | 12,090.06     | 12,033.46   |               | 56.60    |
|                  |          | CGMI AND/OR ITS AFFILIATES                               |                    |                    |               |             |               |          |
| 125000190        | 630      | ERICSSON L M TEL CO CL B<br>ADR NEW -USD-                | 11/08/07           | 07/07/11           | 9,197.13      | 9,417.49    | (220.36)      |          |
|                  |          | CGMI AND/OR ITS AFFILIATES                               |                    |                    |               |             |               |          |
| 125000200        | 330      | GLAXOSMITHKLINE PLC SP ADR                               | 07/22/04           | 09/19/11           | 13,359.79     | 13,141.66   |               | 218.13   |
| 420              |          |                                                          | 11/14/06           |                    | 17,003.37     | 21,624.67   | (4,621.30)    |          |
| 420              |          |                                                          | 12/01/06           |                    | 17,003.38     | 22,527.37   | (5,523.99)    |          |
| 125000210        | 360      | GLAXOSMITHKLINE PLC SP ADR                               | 12/01/06           | 10/06/11           | 15,120.53     | 19,309.18   | (4,188.65)    |          |
| 50               |          |                                                          | 12/22/06           |                    | 2,100.07      | 2,620.24    | (520.17)      |          |
| 125000220        | 160      | GLAXOSMITHKLINE PLC SP ADR                               | 12/22/06           | 12/16/11           | 7,200.48      | 8,384.77    | (1,184.29)    |          |
| 125000240        | 760      | NIPPON TEL & TEL SPON ADR                                | 08/24/05           | 10/14/11           | 18,928.04     | 17,037.30   |               | 1,890.74 |
| 125000250        | 460      | NIPPON TEL & TEL SPON ADR                                | 08/24/05           | 12/16/11           | 11,519.41     | 10,312.05   |               | 1,207.36 |
| 125000260        | 560      | NOKIA CORP SPONSORED ADR                                 | 09/24/08           | 10/24/11           | 3,737.42      | 11,328.40   | (7,590.98)    |          |
| 3,115            |          |                                                          | 10/24/08           |                    | 20,789.42     | 45,782.09   | (24,992.67)   |          |
| 125000270        | 450      | NOKIA CORP SPONSORED ADR                                 | 10/24/08           | 10/27/11           | 3,239.80      | 6,613.79    | (3,373.99)    |          |
| 125000280        | 625      | NOKIA CORP SPONSORED ADR                                 | 10/24/08           | 11/04/11           | 4,249.85      | 9,185.81    | (4,935.96)    |          |
| 1,810            |          |                                                          | 11/26/08           |                    | 12,307.58     | 25,566.25   | (13,258.67)   |          |
| 1,570            |          |                                                          | 08/03/09           |                    | 10,675.64     | 21,195.00   | (10,519.36)   |          |
| 990              |          |                                                          | 12/09/09           |                    | 6,731.77      | 12,439.75   | (5,707.98)    |          |
| 125000290        | 55       | PORTUGAL TELECOM SPON ADR                                | 07/13/99           | 07/25/11           | 495.36        | 438.63      |               | 56.73    |
| 125000300        | 460      | PORTUGAL TELECOM SPON ADR                                | 07/13/99           | 09/08/11           | 3,796.53      | 3,668.57    |               | 127.96   |
| 125000310        | 1,210    | PROMISE CO LTD-UNSPON ADR                                | 11/13/06           | 08/22/11           | 4,267.34      | 21,592.21   | (17,324.87)   |          |
| 1,310            |          | CGMI AND/OR ITS AFFILIATES                               | 12/20/06           |                    | 4,620.02      | 21,266.67   | (16,646.65)   |          |
| 1,320            |          |                                                          | 04/25/07           |                    | 4,655.29      | 22,911.77   | (18,256.48)   |          |
| 1,740            |          |                                                          | 08/02/07           |                    | 6,136.51      | 24,780.73   | (18,644.22)   |          |
| 125000330        | 260      | SWISS REINSURANCE CO-SP                                  | 05/21/08           | 04/07/11           | 16,056.72     | 20,170.10   | (4,113.38)    |          |
| 530              |          | ADR                                                      | 06/05/08           |                    | 32,731.00     | 39,786.57   | (7,055.57)    |          |
| 670              |          | CGMI AND/OR ITS AFFILIATES                               | 12/18/09           |                    | 41,376.93     | 32,097.42   |               | 9,279.51 |
| 125000340        | 15       | SWISSCOM AG SPONS ADR                                    | 12/20/99           | 02/02/11           | 677.57        | 569.43      |               | 108.14   |
| 5                |          | CGMI AND/OR ITS AFFILIATES                               | 11/14/00           |                    | 225.85        | 117.85      |               | 108.00   |

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-07424-15 507

## Details of Long Term Gain (Loss) 2011 continued

| Reference number | Quantity                        | Security Description                                                               | Opening Trade Date                           | Closing Trade Date | Sale Proceeds           | Cost                     | (Loss)          | Gain                   |
|------------------|---------------------------------|------------------------------------------------------------------------------------|----------------------------------------------|--------------------|-------------------------|--------------------------|-----------------|------------------------|
| 125000350        | 630                             | SWISSCOM AG SPONS ADR<br>CGMI AND/OR ITS AFFILIATES                                | 11/14/00                                     | 03/17/11           | \$ 27,508.36            | \$ 14,849.04             |                 | \$ 12,659.32           |
| 125000360        | 50                              | SWISSCOM AG SPONS ADR<br>CGMI AND/OR ITS AFFILIATES                                | 11/14/00                                     | 03/24/11           | 2,228.13                | 1,178.49                 |                 | 1,049.64               |
| 125000370        | 255                             | SWISSCOM AG SPONS ADR<br>CGMI AND/OR ITS AFFILIATES                                | 11/14/00                                     | 10/05/11           | 10,134.93               | 6,010.33                 |                 | 4,124.60               |
| 125000390        | 1,035                           | TELECOM CORP NEW ZEALAND<br>LTD SPONSORED ADR                                      | 12/20/99                                     | 08/29/11           | 11,976.38               | 26,607.79                | (14,631.41)     |                        |
| 125000400        | 2,079                           | TELECOM CORP NEW ZEALAND<br>LTD SPONSORED ADR                                      | 12/20/99                                     | 09/16/11           | 21,877.09               | 53,446.95                | (31,569.86)     |                        |
| 125000410        | 60                              | TELECOMUNICACOES<br>BRASILEIRAS SA TELEBRAS                                        | 05/15/01                                     | 03/02/11           | 445.19                  | 524.63                   | (79.44)         |                        |
| 125000420        | .0725<br>.0255<br>.0155<br>0365 | TELECOMUNICACOES DE SAO<br>PAULO-BRL<br>MERGER 06/13/11 92855S200001               | 11/14/00<br>05/15/01<br>01/21/05<br>09/11/09 | 06/13/11           | 2.01<br>71<br>43<br>102 | 1.95<br>63<br>.19<br>.54 |                 | .06<br>08<br>24<br>.48 |
| 125000430        | 2,948                           | TELEFONOS DE MEXICO SP ADR<br>SPONSORED ADR REPSTG SH SR L<br>TRADE AS OF 10/07/11 | 06/09/98                                     | 10/07/11           | 45,505.33               | 12,215.26                |                 | 33,290.07              |
| 125000450        | 2818<br>0482                    | TIM PARTICIPACOES SA ADR<br>MERGER 08/08/11 88706P106001                           | 11/14/00<br>05/15/01                         | 08/08/11           | 6.93<br>1.19            | 4.24<br>51               |                 | 2.69<br>68             |
| 125000460        | 195                             | UNILEVER NV NY SHS-NEW                                                             | 05/04/04                                     | 09/07/11           | 6,408.92                | 4,436.28                 |                 | 1,972.64               |
| 125000470        | 3,610                           | WOLSELEY PLC<br>SPONSORED ADR<br>CGMI AND/OR ITS AFFILIATES                        | 05/20/08                                     | 12/05/11           | 10,781.06               | 38,862.01                | (28,080.95)     |                        |
| Total            |                                 |                                                                                    |                                              |                    | \$ 703,733.53           | \$ 969,771.59            | (\$ 346,423.96) | \$ 80,385.90           |

-266,038.06

-235,695.89

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Earnings 2011 - continued

## Dividends and Distributions, Section 1

| Reference number | Description                            | Total dividends | Qualified dividends | Short term capital gains | Total capital gain distributions | Unrecaptured Sec 1250 gain | Section 1202 gain | Alternative minimum tax |
|------------------|----------------------------------------|-----------------|---------------------|--------------------------|----------------------------------|----------------------------|-------------------|-------------------------|
| 160002800        | 9078181080000<br>UNION PACIFIC CORP    | \$ 177.56       | \$ 177.56           |                          |                                  |                            |                   |                         |
| 160002900        | 91324P102000<br>UNITEDHEALTH GROUP INC | 88.02           | 88.02               |                          |                                  |                            |                   |                         |
| Totals           |                                        | \$ 2,547.31     | \$ 2,545.89         |                          |                                  |                            |                   |                         |

## Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax

| Reference number | Description                                                                                                                                       | Nondividend distributions | Foreign tax paid | Cash liquidation distributions | Non cash liquidation distributions | Investment expense | NRA Withholding |
|------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------|--------------------------------|------------------------------------|--------------------|-----------------|
| 160000100        | D1668R123001<br>*** DAIMLER AG<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT<br>A PORTION OF THIS INCOME HAS<br>BEEN RECLASSIFIED |                           | \$ 89.89         |                                |                                    |                    |                 |
| 160001400        | 465562106001<br>*** ITAU UNIBANCO BANCO HLDG<br>S A ADR<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT                             |                           | 22.49            |                                |                                    |                    |                 |
| Totals           |                                                                                                                                                   |                           | \$ 112.38        |                                |                                    |                    |                 |

## Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss) | Gain      |
|------------------|----------|----------------------|--------------------|--------------------|---------------|-----------|--------|-----------|
| 125000010        | 17       | DAIMLER AG           | 03/22/10           | 01/31/11           | \$ 1,246.53   | \$ 790.62 |        | \$ 455.91 |
|                  | 2        |                      | 03/29/10           |                    | 146.65        | 94.50     |        | 52.15     |

MorganStanley  
SmithBarney

# 2011 Year End Summary

Ref 00001118 00009523

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HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss)   | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-----------|----------|-----------|
|                  | 20       |                            | 03/30/10           |                    | \$ 1,466.50   | \$ 928.02 |          | \$ 538.48 |
|                  | 1        |                            | 03/30/10           |                    | 73.32         | 46.67     |          | 26.65     |
| 125000050        | 6        | LYONDELL BASELL INDU CL A  | 10/08/10           | 02/03/11           | 217.98        | 150.00    |          | 67.98     |
|                  | 16       |                            | 10/11/10           |                    | 581.27        | 419.47    |          | 161.80    |
| 125000060        | 23       | LYONDELL BASELL INDU CL A  | 10/11/10           | 02/04/11           | 831.32        | 603.00    |          | 228.32    |
|                  | 34       |                            | 10/12/10           |                    | 1,228.90      | 881.36    |          | 347.54    |
| 125000070        | 55       | LYONDELL BASELL INDU CL A  | 10/11/10           | 02/04/11           | 1,995.33      | 1,441.94  |          | 553.39    |
| 125000080        | 1        | LYONDELL BASELL INDU CL A  | 10/13/10           | 02/07/11           | 35.48         | 27.05     |          | 8.43      |
|                  | 57       |                            | 10/15/10           |                    | 2,022.62      | 1,520.21  |          | 502.41    |
| 125000090        | 10       | LYONDELL BASELL INDU CL A  | 10/12/10           | 02/07/11           | 362.73        | 259.23    |          | 103.50    |
|                  | 47       |                            | 10/13/10           |                    | 1,704.81      | 1,271.33  |          | 433.48    |
| 125000100        | 52       | ABB LTD SPONS ADR          | 05/16/11           | 07/15/11           | 1,321.40      | 1,367.32  | (45.92)  |           |
|                  | 51       |                            | 05/19/11           |                    | 1,295.99      | 1,350.67  | (54.68)  |           |
|                  | 48       |                            | 05/19/11           |                    | 1,219.75      | 1,270.74  | (50.99)  |           |
|                  | 52       |                            | 05/20/11           |                    | 1,321.40      | 1,377.22  | (55.82)  |           |
|                  | 14       |                            | 05/25/11           |                    | 355.76        | 360.61    | (4.85)   |           |
|                  | 48       |                            | 05/26/11           |                    | 1,219.75      | 1,251.97  | (32.22)  |           |
|                  | 24       |                            | 06/03/11           |                    | 609.88        | 637.13    | (27.25)  |           |
|                  | 40       |                            | 06/09/11           |                    | 1,016.46      | 1,038.59  | (22.13)  |           |
|                  | 73       |                            | 06/21/11           |                    | 1,855.04      | 1,875.43  | (20.39)  |           |
|                  | 52       |                            | 06/28/11           |                    | 1,321.39      | 1,304.02  |          | 17.37     |
| 125000200        | 12       | AGILENT TECHNOLOGIES INC   | 08/30/10           | 07/15/11           | 560.06        | 336.20    |          | 223.86    |
|                  | 42       |                            | 09/02/10           |                    | 1,960.19      | 1,210.88  |          | 749.31    |
|                  | 63       |                            | 09/03/10           |                    | 2,940.29      | 1,879.48  |          | 1,060.81  |
| 125000280        | 31       | AMAZON COM INC             | 07/27/10           | 07/15/11           | 6,530.02      | 3,620.13  |          | 2,909.89  |
|                  | 9        | CGMI AND/OR ITS AFFILIATES | 08/25/10           |                    | 1,895.81      | 1,127.19  |          | 768.62    |
|                  | 18       |                            | 09/01/10           |                    | 3,791.63      | 2,329.78  |          | 1,461.85  |
|                  | 14       |                            | 04/05/11           |                    | 2,949.04      | 2,597.00  |          | 352.04    |
|                  | 15       |                            | 04/27/11           |                    | 3,159.69      | 2,932.09  |          | 227.60    |
| 125000290        | 3        | AMAZON COM INC             | 07/27/10           | 07/15/11           | 632.48        | 350.34    |          | 282.14    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |          |           |
| 125000320        | 7        | AMERICAN EXPRESS CO        | 03/30/10           | 02/25/11           | 304.33        | 289.72    |          | 14.61     |
|                  | 32       |                            | 03/31/10           |                    | 1,391.20      | 1,313.31  |          | 77.89     |
|                  | 23       |                            | 04/23/10           |                    | 999.92        | 1,114.47  | (114.55) |           |
| 125000330        | 34       | AMERICAN EXPRESS CO        | 03/29/10           | 02/25/11           | 1,478.27      | 1,414.80  |          | 63.47     |
|                  | 24       |                            | 03/30/10           |                    | 1,043.48      | 993.32    |          | 50.16     |
| 125000340        | 30       | AMERICAN EXPRESS CO        | 04/23/10           | 02/28/11           | 1,309.01      | 1,453.65  | (144.64) |           |
|                  | 31       |                            | 10/14/10           |                    | 1,352.65      | 1,216.25  |          | 136.40    |

2 0 1 1 Y E A R E N D S U M M A R Y

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description        | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain     |
|------------------|----------|-----------------------------|--------------------|--------------------|---------------|-------------|------------|----------|
| 125000440        | 28       | AMERICAN TOWER CORP-CLASS A | 11/03/10           | 04/19/11           | \$ 1,380.46   | \$ 1,452.74 | (\$ 72.28) |          |
| 125000450        | 31       | AMERICAN TOWER CORP-CLASS A | 06/10/11           | 07/15/11           | 1,646.44      | 1,552.36    |            | 94.08    |
|                  | 31       |                             | 06/13/11           |                    | 1,646.44      | 1,570.86    |            | 75.58    |
|                  | 30       |                             | 06/14/11           |                    | 1,593.33      | 1,518.89    |            | 74.44    |
|                  | 31       |                             | 06/15/11           |                    | 1,646.44      | 1,573.79    |            | 72.65    |
|                  | 27       |                             | 06/16/11           |                    | 1,434.00      | 1,359.98    |            | 74.02    |
|                  | 31       |                             | 06/17/11           |                    | 1,646.44      | 1,567.69    |            | 78.75    |
|                  | 30       |                             | 06/20/11           |                    | 1,593.33      | 1,520.07    |            | 73.26    |
|                  | 24       |                             | 06/21/11           |                    | 1,274.66      | 1,230.03    |            | 44.63    |
|                  | 27       |                             | 07/06/11           |                    | 1,433.99      | 1,459.15    | (25.16)    |          |
| 125000500        | 10       | ARM HOLDINGS PLC ADR        | 01/13/11           | 03/01/11           | 293.40        | 258.19      |            | 35.21    |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |             |            |          |
| 125000510        | 25       | ARM HOLDINGS PLC ADR        | 01/13/11           | 05/02/11           | 793.48        | 645.47      |            | 148.01   |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |             |            |          |
| 125000520        | 85       | ARM HOLDINGS PLC ADR        | 01/13/11           | 07/15/11           | 2,331.69      | 2,194.62    |            | 137.07   |
|                  | 49       | CGMI AND/OR ITS AFFILIATES  | 01/14/11           |                    | 1,344.15      | 1,291.85    |            | 52.30    |
|                  | 56       |                             | 01/21/11           |                    | 1,536.17      | 1,411.71    |            | 124.46   |
|                  | 5        |                             | 02/02/11           |                    | 137.16        | 144.08      | (6.92)     |          |
|                  | 101      |                             | 02/04/11           |                    | 2,770.60      | 2,887.90    | (117.30)   |          |
|                  | 10       |                             | 04/05/11           |                    | 274.32        | 288.40      | (14.08)    |          |
|                  | 17       |                             | 06/03/11           |                    | 466.33        | 476.74      | (10.41)    |          |
| 125000530        | 10       | BAIDU INC SPON ADR RPTNG    | 05/28/10           | 05/02/11           | 1,482.67      | 730.38      |            | 752.29   |
|                  |          | ORD SHS CL A                |                    |                    |               |             |            |          |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |             |            |          |
| 125000550        | 8        | BAIDU INC SPON ADR RPTNG    | 12/30/10           | 07/15/11           | 1,164.28      | 787.58      |            | 376.70   |
|                  | 6        | ORD SHS CL A                | 12/31/10           |                    | 873.21        | 584.65      |            | 288.56   |
|                  | 33       | CGMI AND/OR ITS AFFILIATES  | 01/24/11           |                    | 4,802.64      | 3,438.61    |            | 1,364.03 |
| 125000560        | 11       | BAKER HUGHES INC            | 03/30/11           | 05/02/11           | 816.62        | 809.06      |            | 7.56     |
| 125000570        | 9        | BAKER HUGHES INC            | 03/30/11           | 07/15/11           | 674.32        | 661.95      |            | 12.37    |
|                  | 28       |                             | 04/01/11           |                    | 2,097.89      | 2,054.90    |            | 42.99    |
|                  | 33       |                             | 04/04/11           |                    | 2,472.51      | 2,422.18    |            | 50.33    |
|                  | 22       |                             | 04/14/11           |                    | 1,648.34      | 1,522.67    |            | 125.67   |
|                  | 6        |                             | 04/15/11           |                    | 449.55        | 418.00      |            | 31.55    |
|                  | 14       |                             | 04/15/11           |                    | 1,048.94      | 987.65      |            | 61.29    |
|                  | 40       |                             | 04/28/11           |                    | 2,996.98      | 3,099.77    | (102.79)   |          |
|                  | 17       |                             | 05/04/11           |                    | 1,273.72      | 1,224.55    |            | 49.17    |
|                  | 10       |                             | 05/06/11           |                    | 749.25        | 700.64      |            | 48.61    |
|                  | 13       |                             | 05/09/11           |                    | 974.02        | 935.13      |            | 38.89    |

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## HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 -continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description          | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain   |
|------------------|----------|-------------------------------|--------------------|--------------------|---------------|-------------|------------|--------|
|                  | 53       |                               | 07/13/11           |                    | \$ 3,971 00   | \$ 3,983 06 | (\$ 12 06) |        |
|                  | 17       |                               | 07/14/11           |                    | 1,273 71      | 1,278 07    | (4 36)     |        |
| 125000580        | 604      | CITIGROUP INC                 | 11/08/10           | 03/03/11           | 2,804 20      | 2,698 31    |            | 105.89 |
|                  | 620      | THE ISSUER MAY BE DEEMED TO   | 11/09/10           |                    | 2,878 48      | 2,704 32    |            | 174 16 |
|                  | 583      | CONTROL, BE CONTROLLED BY, OR | 11/10/10           |                    | 2,706 70      | 2,523 40    |            | 183 30 |
|                  | 610      | BE UNDER COMMON CONTROL WITH  | 12/07/10           |                    | 2,832 05      | 2,818 14    |            | 13 91  |
| 125000590        | 20       | CITRIX SYSTEMS INC            | 08/13/10           | 01/24/11           | 1,295 29      | 1,142 47    |            | 152 82 |
|                  | 22       | CGMI AND/OR ITS AFFILIATES    | 08/16/10           |                    | 1,424 81      | 1,263 11    |            | 161 70 |
| 125000600        | 22       | CITRIX SYSTEMS INC            | 08/17/10           | 01/25/11           | 1 372 68      | 1 284 65    |            | 88 03  |
|                  |          | CGMI AND/OR ITS AFFILIATES    |                    |                    |               |             |            |        |
| 125000610        | 18       | CITRIX SYSTEMS INC            | 08/16/10           | 01/25/11           | 1,118 40      | 1,033 45    |            | 84 95  |
|                  | 4        | CGMI AND/OR ITS AFFILIATES    | 08/17/10           |                    | 248 53        | 233 57      |            | 14 96  |
| 125000620        | 14       | CITRIX SYSTEMS INC            | 08/17/10           | 05/02/11           | 1 160 01      | 817 50      |            | 342 51 |
|                  |          | CGMI AND/OR ITS AFFILIATES    |                    |                    |               |             |            |        |
| 125000630        | 35       | CITRIX SYSTEMS INC            | 08/18/10           | 07/15/11           | 2,655 55      | 2,047 46    |            | 608 09 |
|                  | 41       | CGMI AND/OR ITS AFFILIATES    | 08/24/10           |                    | 3,110 78      | 2,396 72    |            | 714 06 |
|                  | 25       |                               | 09/08/10           |                    | 1,896 82      | 1,589 56    |            | 307 26 |
|                  | 26       |                               | 09/09/10           |                    | 1,972 69      | 1,843 81    |            | 328 88 |
|                  | 19       |                               | 02/15/11           |                    | 1,441 58      | 1,369 57    |            | 72 01  |
|                  | 22       |                               | 03/17/11           |                    | 1,669 20      | 1,488 58    |            | 180 62 |
| 125000640        | 11       | COGNIZANT TECH SOLUTIONS CL A | 02/18/11           | 05/02/11           | 905 83        | 850 50      |            | 55 33  |
|                  |          | CGMI AND/OR ITS AFFILIATES    |                    |                    |               |             |            |        |
| 125000650        | 34       | COGNIZANT TECH SOLUTIONS CL A | 02/18/11           | 07/15/11           | 2,500 99      | 2,628 83    | (127 84)   |        |
|                  | 44       | CGMI AND/OR ITS AFFILIATES    | 02/22/11           |                    | 3,236 58      | 3,379 20    | (142 62)   |        |
|                  | 32       |                               | 03/04/11           |                    | 2,353 87      | 2,436 50    | (82 63)    |        |
|                  | 34       |                               | 03/07/11           |                    | 2,500 99      | 2,596 25    | (95 26)    |        |
|                  | 18       |                               | 03/17/11           |                    | 1,324 05      | 1,341 22    | (17 17)    |        |
|                  | 10       |                               | 05/24/11           |                    | 735 59        | 725 13      |            | 10 46  |
|                  | 19       |                               | 06/06/11           |                    | 1,397 61      | 1,404 80    | (7 19)     |        |
|                  | 17       |                               | 06/07/11           |                    | 1,250 50      | 1,289 78    | (19 28)    |        |
| 125000660        | 23       | CONOCOPHILLIPS                | 07/28/10           | 01/21/11           | 1,553 26      | 1,254 87    |            | 298 39 |
| 125000670        | 41       | CONOCOPHILLIPS                | 07/28/10           | 01/27/11           | 2,827 40      | 2,236 95    |            | 590 45 |
|                  | 38       |                               | 07/28/10           |                    | 2,620 52      | 2,072 10    |            | 548 42 |
| 125000680        | 10       | CONOCOPHILLIPS                | 08/02/10           | 01/28/11           | 708 90        | 561 87      |            | 147 03 |
|                  | 17       |                               | 08/03/10           |                    | 1,205 12      | 970 02      |            | 235 10 |
|                  | 19       |                               | 08/04/10           |                    | 1,346 90      | 1,100 35    |            | 246 55 |
|                  | 32       |                               | 08/05/10           |                    | 2,268 47      | 1,837 47    |            | 431 00 |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)   | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|----------|-----------|
| 125000690        | 27       | CONOCOPHILLIPS             | 07/28/10           | 01/28/11           | \$ 1,894.06   | \$ 1,472.28 |          | \$ 421.78 |
|                  | 20       |                            | 07/30/10           |                    | 1,403.01      | 1,103.39    |          | 299.62    |
|                  | 21       |                            | 07/30/10           |                    | 1,473.16      | 1,163.37    |          | 309.79    |
|                  | 9        |                            | 08/02/10           |                    | 631.34        | 505.68      |          | 125.66    |
| 125000700        | 3        | CUMMINS INC                | 07/07/10           | 03/01/11           | 299.75        | 201.03      |          | 98.72     |
| 125000710        | 25       | CUMMINS INC                | 07/07/10           | 03/11/11           | 2,444.11      | 1,675.24    |          | 768.87    |
|                  | 12       |                            | 07/08/10           |                    | 1,173.18      | 817.93      |          | 355.25    |
| 125000720        | 9        | CUMMINS INC                | 07/08/10           | 05/02/11           | 1,065.93      | 613.45      |          | 452.48    |
| 125000730        | 3        | CUMMINS INC                | 07/08/10           | 06/09/11           | 292.01        | 204.48      |          | 87.53     |
| 125000740        | 16       | CUMMINS INC                | 02/07/11           | 07/15/11           | 1,657.04      | 1,788.76    | (131.72) |           |
|                  | 8        |                            | 04/21/11           |                    | 828.51        | 873.55      | (45.04)  |           |
| 125000750        | 5        | DANAHER CORP DE            | 04/26/11           | 05/02/11           | 276.34        | 271.30      |          | 5.04      |
| 125000760        | 23       | DANAHER CORP DE            | 04/26/11           | 07/15/11           | 1,206.37      | 1,247.98    | (41.61)  |           |
|                  | 27       |                            | 04/27/11           |                    | 1,416.18      | 1,485.78    | (69.60)  |           |
|                  | 28       |                            | 04/27/11           |                    | 1,468.63      | 1,548.03    | (79.40)  |           |
|                  | 45       |                            | 05/04/11           |                    | 2,360.29      | 2,478.29    | (118.00) |           |
|                  | 60       |                            | 05/06/11           |                    | 3,147.06      | 3,258.38    | (111.32) |           |
|                  | 12       |                            | 06/03/11           |                    | 629.41        | 622.49      |          | 6.92      |
|                  | 53       |                            | 06/15/11           |                    | 2,779.90      | 2,746.03    |          | 33.87     |
|                  | 82       |                            | 06/15/11           |                    | 4,300.98      | 4,249.70    |          | 51.28     |
| 125000790        | 20       | DELTA AIR LINES INC        | 02/17/10           | 01/05/11           | 260.40        | 251.40      |          | 9.00      |
|                  | 10       | (NEW)                      | 03/10/10           |                    | 130.20        | 132.08      | (1.88)   |           |
|                  | 122      |                            | 04/30/10           |                    | 1,588.44      | 1,469.81    |          | 118.63    |
| 125000870        | 27       | DIRECTV CL A               | 09/08/10           | 05/02/11           | 1,318.92      | 1,075.96    |          | 242.96    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |          |           |
| 125000880        | 10       | DIRECTV CL A               | 09/08/10           | 06/09/11           | 475.95        | 398.50      |          | 77.45     |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |          |           |
| 125000890        | 22       | DIRECTV CL A               | 09/08/10           | 07/15/11           | 1,141.17      | 876.71      |          | 264.46    |
|                  | 59       | CGMI AND/OR ITS AFFILIATES | 09/08/10           |                    | 3,060.40      | 2,348.73    |          | 711.67    |
|                  | 58       |                            | 09/09/10           |                    | 3,008.53      | 2,314.53    |          | 694.00    |
|                  | 33       |                            | 10/11/10           |                    | 1,711.75      | 1,390.54    |          | 321.21    |
|                  | 38       |                            | 11/04/10           |                    | 1,971.11      | 1,645.06    |          | 326.05    |
|                  | 45       |                            | 01/14/11           |                    | 2,334.20      | 1,912.46    |          | 421.74    |
|                  | 85       |                            | 01/28/11           |                    | 4,409.05      | 3,570.94    |          | 838.11    |
| 125000900        | 76       | DOW CHEMICAL CO            | 05/03/11           | 06/30/11           | 2,701.72      | 3,087.61    | (385.89) |           |
|                  | 35       |                            | 05/04/11           |                    | 1,244.22      | 1,395.79    | (151.57) |           |
| 125000910        | 26       | DOW CHEMICAL CO            | 05/04/11           | 07/15/11           | 904.89        | 1,036.87    | (131.98) |           |
|                  | 70       |                            | 05/05/11           |                    | 2,436.23      | 2,785.76    | (349.53) |           |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain     |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|-------------|----------|
| 102              |          |                            | 05/05/11           |                    | \$ 3,549.94   | \$ 4,042.20 | (\$ 492.26) |          |
| 27               |          |                            | 06/06/11           |                    | 939.68        | 947.09      | (7.41)      |          |
| 125000930        | 56       | EMC CORP-MASS              | 01/25/11           | 07/15/11           | 1,490.25      | 1,334.63    |             | 155.62   |
| 125000940        | 18       | EATON CORP                 | 07/22/10           | 04/28/11           | 965.77        | 675.30      |             | 310.47   |
| 125000950        | 21       | EATON CORP                 | 07/22/10           | 05/02/11           | 1,113.39      | 787.85      |             | 325.54   |
| 125000960        | 11       | EATON CORP                 | 07/22/10           | 05/06/11           | 575.88        | 412.69      |             | 163.19   |
|                  | 19       |                            | 07/23/10           |                    | 994.70        | 723.81      |             | 270.89   |
| 125000970        | 10       | EATON CORP                 | 07/23/10           | 05/20/11           | 513.25        | 380.95      |             | 132.30   |
| 125000980        | 47       | EATON CORP                 | 07/23/10           | 06/03/11           | 2,294.24      | 1,790.47    |             | 503.77   |
| 125000990        | 30       | EATON CORP                 | 07/23/10           | 06/06/11           | 1,426.07      | 1,142.86    |             | 283.21   |
| 14               |          |                            | 07/26/10           |                    | 665.50        | 542.04      |             | 123.46   |
| 125001000        | 10       | EATON CORP                 | 07/26/10           | 06/30/11           | 514.62        | 387.17      |             | 127.45   |
| 125001010        | 24       | EATON CORP                 | 07/26/10           | 07/15/11           | 1,220.43      | 929.21      |             | 291.22   |
| 94               |          |                            | 07/27/10           |                    | 4,780.01      | 3,662.93    |             | 1,117.08 |
| 32               |          |                            | 07/30/10           |                    | 1,627.24      | 1,246.64    |             | 380.60   |
| 125001020        | 24       | EMERSON ELECTRIC CO        | 10/28/10           | 01/26/11           | 1,415.33      | 1,311.00    |             | 104.33   |
| 24               |          |                            | 10/28/10           |                    | 1,415.33      | 1,313.55    |             | 101.78   |
| 7                |          |                            | 10/29/10           |                    | 412.81        | 384.45      |             | 28.36    |
| 125001030        | 40       | EMERSON ELECTRIC CO        | 10/29/10           | 01/27/11           | 2,351.80      | 2,196.87    |             | 154.93   |
| 9                |          |                            | 11/01/10           |                    | 529.16        | 499.57      |             | 29.59    |
| 125001040        | 39       | EMERSON ELECTRIC CO        | 11/01/10           | 01/28/11           | 2,270.91      | 2,164.80    |             | 106.11   |
| 32               |          |                            | 11/02/10           |                    | 1,863.31      | 1,738.69    |             | 124.62   |
| 125001050        | 51       | EMERSON ELECTRIC CO        | 11/02/10           | 01/31/11           | 2,932.07      | 2,771.05    |             | 161.02   |
| 23               |          |                            | 11/02/10           |                    | 1,322.31      | 1,257.57    |             | 64.74    |
| 125001090        | 10       | EXPRESS SCRIPTS INC COMMON | 11/04/10           | 07/15/11           | 516.32        | 520.37      | (4.05)      |          |
| 13               |          | CGMI AND/OR ITS AFFILIATES | 12/30/10           |                    | 671.22        | 704.94      | (33.72)     |          |
| 10               |          |                            | 12/31/10           |                    | 516.32        | 539.25      | (22.93)     |          |
| 26               |          |                            | 01/13/11           |                    | 1,342.46      | 1,502.86    | (160.40)    |          |
| 125001100        | 9        | F5 NETWORKS INC            | 06/22/10           | 03/02/11           | 1,034.89      | 672.71      |             | 362.18   |
| 4                |          | CGMI AND/OR ITS AFFILIATES | 06/23/10           |                    | 459.95        | 292.03      |             | 167.92   |
| 125001110        | 13       | F5 NETWORKS INC            | 06/23/10           | 03/07/11           | 1,411.32      | 949.09      |             | 462.23   |
| 13               |          | CGMI AND/OR ITS AFFILIATES | 06/30/10           |                    | 1,411.32      | 910.71      |             | 500.61   |
| 125001120        | 15       | F5 NETWORKS INC            | 06/30/10           | 03/17/11           | 1,561.74      | 1,050.82    |             | 510.92   |
| 16               |          | CGMI AND/OR ITS AFFILIATES | 10/22/10           |                    | 1,665.85      | 1,574.06    |             | 91.79    |
| 2                |          |                            | 10/25/10           |                    | 208.23        | 202.84      |             | 5.39     |

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# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short-Term Gain (Loss) 2011 -continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss)    | Gain   |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-----------|-----------|--------|
| 125001130        | 8        | F5 NETWORKS INC            | 10/25/10           | 03/18/11           | \$ 801.63     | \$ 811.37 | (\$ 9.74) |        |
|                  | 24       | CGMI AND/OR ITS AFFILIATES | 02/04/11           |                    | 2,404.89      | 2,924.99  | (520.10)  |        |
| 125001180        | 10       | FORD MOTOR COMPANY         | 05/04/10           | 03/09/11           | 142.88        | 129.10    |           | 13.78  |
|                  | 104      |                            | 06/03/10           |                    | 1,485.92      | 1,249.42  |           | 236.50 |
|                  | 84       |                            | 06/30/10           |                    | 1,200.17      | 871.62    |           | 328.55 |
| 125001190        | 210      | FORD MOTOR COMPANY         | 06/30/10           | 03/10/11           | 2,973.83      | 2,179.04  |           | 794.79 |
|                  | 91       |                            | 11/03/10           |                    | 1,288.66      | 1,339.25  | (50.59)   |        |
| 125001200        | 35       | GENERAL ELECTRIC CO        | 01/26/11           | 04/27/11           | 724.01        | 699.96    |           | 24.05  |
| 125001210        | 60       | GENERAL ELECTRIC CO        | 01/26/11           | 05/02/11           | 1,228.29      | 1,199.93  |           | 28.36  |
| 125001220        | 45       | GENERAL ELECTRIC CO        | 01/26/11           | 05/20/11           | 885.11        | 899.95    | (14.84)   |        |
| 125001230        | 1        | GENERAL ELECTRIC CO        | 01/26/11           | 06/21/11           | 18.75         | 20.00     | (1.25)    |        |
|                  | 125      |                            | 01/28/11           |                    | 2,343.30      | 2,522.33  | (179.03)  |        |
| 125001240        | 18       | GENERAL ELECTRIC CO        | 01/28/11           | 07/15/11           | 329.39        | 363.21    | (33.82)   |        |
|                  | 133      |                            | 01/31/11           |                    | 2,433.85      | 2,692.05  | (258.20)  |        |
|                  | 145      |                            | 02/01/11           |                    | 2,653.45      | 2,973.99  | (320.54)  |        |
|                  | 144      |                            | 02/02/11           |                    | 2,635.15      | 2,978.06  | (342.91)  |        |
| 125001250        | 2        | GOOGLE INC                 | 10/18/10           | 05/02/11           | 1,075.73      | 1,235.00  | (159.27)  |        |
|                  |          | CLASS A                    |                    |                    |               |           |           |        |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |           |        |
| 125001260        | 14       | GOOGLE INC                 | 10/18/10           | 07/15/11           | 8,321.16      | 8,645.02  | (323.86)  |        |
|                  | 5        | CLASS A                    | 10/19/10           |                    | 2,971.84      | 3,060.97  | (89.13)   |        |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |           |        |
| 125001270        | 3        | GOOGLE INC                 | 07/15/11           | 07/18/11           | 1,785.14      | 1,778.15  |           | 6.99   |
|                  |          | CLASS A                    |                    |                    |               |           |           |        |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |           |        |
| 125001280        | 23       | HALLIBURTON CO HOLDINGS CO | 12/08/10           | 05/02/11           | 1,125.59      | 938.74    |           | 186.85 |
| 125001290        | 54       | HALLIBURTON CO HOLDINGS CO | 12/08/10           | 07/13/11           | 2,878.27      | 2,204.01  |           | 674.26 |
|                  | 16       |                            | 12/09/10           |                    | 852.82        | 646.30    |           | 206.52 |
| 125001300        | 61       | HALLIBURTON CO HOLDINGS CO | 12/09/10           | 07/15/11           | 3,235.01      | 2,464.04  |           | 770.97 |
|                  | 76       |                            | 12/10/10           |                    | 4,030.51      | 3,061.75  |           | 968.76 |
|                  | 74       |                            | 12/13/10           |                    | 3,924.44      | 3,052.67  |           | 871.77 |
|                  | 32       |                            | 01/26/11           |                    | 1,697.05      | 1,358.88  |           | 338.17 |
|                  | 28       |                            | 01/31/11           |                    | 1,484.92      | 1,238.78  |           | 246.14 |
|                  | 19       |                            | 05/09/11           |                    | 1,007.63      | 914.24    |           | 93.39  |
|                  | 20       |                            | 06/09/11           |                    | 1,060.66      | 961.17    |           | 99.49  |
| 125001310        | 30       | HERTZ GLOBAL HOLDINGS INC  | 04/14/11           | 05/02/11           | 508.79        | 492.29    |           | 16.50  |

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## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description             | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost     | (Loss)    | Gain   |
|------------------|----------|----------------------------------|--------------------|--------------------|---------------|----------|-----------|--------|
| 125001320        | 5        | HERTZ GLOBAL HOLDINGS INC        | 04/14/11           | 05/24/11           | \$ 79.93      | \$ 82.05 | (\$ 2.12) |        |
|                  | 26       |                                  | 04/15/11           |                    | 415.63        | 433.17   | (17.54)   |        |
|                  | 8        |                                  | 04/15/11           |                    | 127.88        | 134.44   | (6.56)    |        |
| 125001330        | 16       | HERTZ GLOBAL HOLDINGS INC        | 04/15/11           | 05/25/11           | 250.25        | 268.88   | (18.63)   |        |
|                  | 6        |                                  | 04/18/11           |                    | 93.84         | 99.83    | (5.99)    |        |
| 125001340        | 74       | HERTZ GLOBAL HOLDINGS INC        | 04/18/11           | 07/15/11           | 1,096.81      | 1,231.27 | (134.46)  |        |
|                  | 84       |                                  | 04/19/11           |                    | 1,245.02      | 1,399.69 | (154.67)  |        |
|                  | 82       |                                  | 04/19/11           |                    | 1,215.38      | 1,391.60 | (176.22)  |        |
|                  | 79       |                                  | 04/20/11           |                    | 1,170.91      | 1,365.64 | (194.73)  |        |
|                  | 96       |                                  | 04/27/11           |                    | 1,422.88      | 1,647.85 | (224.97)  |        |
|                  | 100      |                                  | 05/06/11           |                    | 1,482.18      | 1,708.80 | (226.62)  |        |
| 125001350        | 26       | HESS CORP                        | 01/27/11           | 04/14/11           | 2,067.16      | 2,049.99 |           | 17.17  |
| 125001360        | 6        | HESS CORP                        | 01/27/11           | 04/15/11           | 474.26        | 473.07   |           | 1.19   |
|                  | 5        |                                  | 01/28/11           |                    | 395.22        | 396.85   | (1.63)    |        |
| 125001370        | 8        | HESS CORP                        | 01/28/11           | 04/27/11           | 664.92        | 634.97   |           | 29.95  |
| 125001380        | 12       | HESS CORP                        | 01/28/11           | 05/02/11           | 1,004.62      | 952.45   |           | 52.17  |
| 125001390        | 8        | HESS CORP                        | 01/28/11           | 05/04/11           | 617.90        | 634.97   | (17.07)   |        |
|                  | 33       |                                  | 01/28/11           |                    | 2,548.83      | 2,696.32 | (147.49)  |        |
|                  | 26       |                                  | 01/31/11           |                    | 2,008.17      | 2,152.71 | (144.54)  |        |
|                  | 37       |                                  | 02/01/11           |                    | 2,857.78      | 3,174.49 | (316.71)  |        |
|                  | 18       |                                  | 02/02/11           |                    | 1,390.26      | 1,521.55 | (131.29)  |        |
| 125001400        | 33       | ITAU UNIBANCO BANCO HLDG S A ADR | 05/25/10           | 05/02/11           | 774.49        | 564.40   |           | 210.09 |
| 125001430        | 24       | JOHNSON CONTROLS INC             | 02/04/11           | 05/02/11           | 978.70        | 915.48   |           | 63.22  |
| 125001440        | 27       | JOHNSON CONTROLS INC             | 02/04/11           | 07/15/11           | 1,094.02      | 1,029.92 |           | 64.10  |
|                  | 49       |                                  | 02/07/11           |                    | 1,985.44      | 1,898.83 |           | 86.61  |
|                  | 49       |                                  | 02/08/11           |                    | 1,985.44      | 1,940.14 |           | 45.30  |
|                  | 47       |                                  | 02/09/11           |                    | 1,904.40      | 1,883.77 |           | 20.63  |
|                  | 45       |                                  | 03/04/11           |                    | 1,823.36      | 1,859.55 | (36.19)   |        |
|                  | 62       |                                  | 03/07/11           |                    | 2,512.19      | 2,566.41 | (54.22)   |        |
|                  | 36       |                                  | 03/18/11           |                    | 1,458.70      | 1,447.14 |           | 11.56  |
| 125001450        | 44       | JUNIPER NETWORKS INC             | 11/03/10           | 07/15/11           | 1,343.46      | 1,438.20 | (94.74)   |        |
|                  | 26       |                                  | 05/13/11           |                    | 793.85        | 1,031.01 | (237.16)  |        |
| 125001470        | 32       | KOHL'S CORP                      | 11/19/10           | 01/18/11           | 1,643.67      | 1,748.95 | (105.28)  |        |
| 125001480        | 3        | KOHL'S CORP                      | 11/22/10           | 01/19/11           | 153.08        | 167.06   | (13.98)   |        |
|                  | 34       |                                  | 11/23/10           |                    | 1,734.86      | 1,886.94 | (152.08)  |        |

2011 YEAR END SUMMARY

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain   |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|-------------|--------|
|                  | 31       |                            | 11/24/10           |                    | \$ 1,581.79   | \$ 1,764.71 | (\$ 182.92) |        |
|                  | 3        |                            | 11/26/10           |                    | 153.07        | 172.44      | (19.37)     |        |
| 125001490        | 3        | KOHL'S CORP                | 11/19/10           | 01/19/11           | 153.12        | 163.96      | (10.84)     |        |
|                  | 31       |                            | 11/22/10           |                    | 1,582.25      | 1,726.28    | (144.03)    |        |
| 125001500        | 34       | KOHL'S CORP                | 11/26/10           | 01/20/11           | 1,749.45      | 1,954.29    | (204.84)    |        |
| 125001510        | 61       | MACYS INC                  | 08/12/10           | 04/05/11           | 1,505.16      | 1,233.80    |             | 271.36 |
| 125001520        | 42       | MACYS INC                  | 08/12/10           | 04/26/11           | 1,018.47      | 849.51      |             | 168.96 |
|                  | 94       |                            | 08/13/10           |                    | 2,279.42      | 1,911.88    |             | 367.54 |
| 125001530        | 19       | MACYS INC                  | 08/13/10           | 04/27/11           | 469.27        | 386.44      |             | 82.83  |
|                  | 107      |                            | 08/16/10           |                    | 2,642.72      | 2,166.67    |             | 476.05 |
| 125001540        | 15       | MACYS INC                  | 08/16/10           | 04/27/11           | 368.34        | 303.74      |             | 64.60  |
|                  | 108      |                            | 10/11/10           |                    | 2,652.06      | 2,706.84    | (54.78)     |        |
| 125001550        | 45       | MARRIOTT INTL INC NEW CL A | 06/14/10           | 01/21/11           | 1,794.37      | 1,549.55    |             | 244.82 |
|                  | 29       |                            | 06/15/10           |                    | 1,156.37      | 996.28      |             | 160.09 |
| 125001560        | 15       | MARRIOTT INTL INC NEW CL A | 06/15/10           | 02/02/11           | 603.76        | 515.31      |             | 88.45  |
|                  | 9        |                            | 06/16/10           |                    | 362.26        | 316.44      |             | 45.82  |
| 125001570        | 24       | MARRIOTT INTL INC NEW CL A | 06/16/10           | 02/03/11           | 988.21        | 843.83      |             | 124.38 |
| 125001580        | 10       | MARRIOTT INTL INC NEW CL A | 06/16/10           | 02/04/11           | 399.71        | 351.59      |             | 48.12  |
|                  | 37       |                            | 06/17/10           |                    | 1,478.94      | 1,305.09    |             | 173.85 |
| 125001590        | 6        | MARRIOTT INTL INC NEW CL A | 06/17/10           | 02/07/11           | 239.68        | 211.64      |             | 28.04  |
|                  | 40       |                            | 06/18/10           |                    | 1,597.84      | 1,418.81    |             | 179.03 |
| 125001600        | 4        | MARRIOTT INTL INC NEW CL A | 06/18/10           | 02/08/11           | 158.87        | 141.88      |             | 16.99  |
|                  | 22       |                            | 06/21/10           |                    | 873.78        | 795.96      |             | 77.82  |
|                  | 32       |                            | 06/29/10           |                    | 1,270.96      | 989.70      |             | 281.26 |
|                  | 38       |                            | 07/15/10           |                    | 1,509.26      | 1,190.90    |             | 318.36 |
| 125001610        | 3        | MASTERCARD INC             | 04/05/11           | 05/02/11           | 827.23        | 788.81      |             | 38.42  |
| 125001620        | 5        | MASTERCARD INC             | 04/05/11           | 06/30/11           | 1,508.54      | 1,314.69    |             | 193.85 |
|                  | 2        |                            | 04/06/11           |                    | 603.42        | 530.41      |             | 73.01  |
| 125001630        | 6        | MASTERCARD INC             | 04/06/11           | 07/15/11           | 1,841.96      | 1,591.22    |             | 250.74 |
|                  | 7        |                            | 04/06/11           |                    | 2,148.96      | 1,847.54    |             | 301.42 |
|                  | 8        |                            | 04/07/11           |                    | 2,455.95      | 2,116.19    |             | 339.76 |
|                  | 7        |                            | 04/15/11           |                    | 2,148.96      | 1,865.77    |             | 283.19 |
|                  | 9        |                            | 04/18/11           |                    | 2,762.95      | 2,387.26    |             | 375.69 |
| 125001640        | 14       | METLIFE INC                | 03/04/11           | 04/28/11           | 650.72        | 631.51      |             | 19.21  |
| 125001650        | 19       | METLIFE INC                | 03/04/11           | 05/02/11           | 880.82        | 857.06      |             | 23.76  |

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# 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-08392-11 507

### Details of Short Term Gain (Loss) 2011 continued

| Reference number | Quantity | Security Description          | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain   |
|------------------|----------|-------------------------------|--------------------|--------------------|---------------|-------------|-------------|--------|
| 125001660        | 32       | METLIFE INC                   | 03/04/11           | 06/10/11           | \$ 1,302.43   | \$ 1,443.46 | (\$ 141.03) |        |
|                  | 24       |                               | 03/07/11           |                    | 976.83        | 1,096.52    | (119.69)    |        |
| 125001670        | 39       | METLIFE INC                   | 03/07/11           | 06/14/11           | 1,632.08      | 1,781.83    | (149.75)    |        |
| 125001680        | 48       | METLIFE INC                   | 03/18/11           | 06/15/11           | 1,885.72      | 2,116.22    | (230.50)    |        |
|                  | 36       |                               | 03/24/11           |                    | 1,414.29      | 1,602.12    | (187.83)    |        |
| 125001690        | 32       | METLIFE INC                   | 03/14/11           | 06/15/11           | 1,291.49      | 1,432.29    | (140.80)    |        |
|                  | 11       |                               | 03/18/11           |                    | 443.95        | 484.97      | (41.02)     |        |
| 125001700        | 1        | METLIFE INC                   | 03/07/11           | 06/15/11           | 40.49         | 45.69       | (5.20)      |        |
|                  | 33       |                               | 03/08/11           |                    | 1,336.19      | 1,516.49    | (180.30)    |        |
|                  | 5        |                               | 03/14/11           |                    | 202.45        | 223.79      | (21.34)     |        |
| 125001710        | 50       | MICRON TECHNOLOGY INC         | 02/04/11           | 05/02/11           | 564.03        | 553.94      |             | 10.09  |
|                  |          | CGMI AND/OR ITS AFFILIATES    |                    |                    |               |             |             |        |
| 125001720        | 82       | MICRON TECHNOLOGY INC         | 02/04/11           | 06/07/11           | 740.12        | 908.46      | (168.34)    |        |
|                  | 71       | CGMI AND/OR ITS AFFILIATES    | 02/07/11           |                    | 640.83        | 803.32      | (162.49)    |        |
| 125001730        | 59       | MICRON TECHNOLOGY INC         | 02/07/11           | 06/10/11           | 501.49        | 667.54      | (166.05)    |        |
|                  | 131      | CGMI AND/OR ITS AFFILIATES    | 02/07/11           |                    | 1,113.48      | 1,489.68    | (376.20)    |        |
|                  | 105      |                               | 02/08/11           |                    | 892.48        | 1,163.42    | (270.94)    |        |
| 125001740        | 19       | MICRON TECHNOLOGY INC         | 02/08/11           | 06/13/11           | 157.88        | 210.52      | (52.64)     |        |
|                  | 276      | CGMI AND/OR ITS AFFILIATES    | 02/25/11           |                    | 2,293.46      | 3,125.98    | (832.52)    |        |
| 125001750        | 25       | MONSANTO CO NEW               | 07/08/11           | 07/15/11           | 1,846.21      | 1,873.68    | (27.47)     |        |
|                  | 24       |                               | 07/11/11           |                    | 1,772.37      | 1,799.30    | (26.93)     |        |
| 125001770        | 16       | NETAPP INC                    | 02/18/10           | 02/15/11           | 937.09        | 494.14      |             | 442.95 |
|                  |          | CGMI AND/OR ITS AFFILIATES    |                    |                    |               |             |             |        |
| 125001780        | 25       | NETAPP INC                    | 02/18/10           | 02/18/11           | 1,323.36      | 772.10      |             | 551.26 |
|                  | 32       | CGMI AND/OR ITS AFFILIATES    | 05/28/10           |                    | 1,693.90      | 1,203.02    |             | 490.88 |
|                  | 6        |                               | 06/18/10           |                    | 317.61        | 248.55      |             | 69.06  |
| 125001790        | 8        | NETAPP INC                    | 06/18/10           | 02/22/11           | 414.37        | 331.41      |             | 82.96  |
|                  | 18       | CGMI AND/OR ITS AFFILIATES    | 06/21/10           |                    | 932.33        | 751.77      |             | 180.56 |
|                  | 23       |                               | 06/29/10           |                    | 1,191.32      | 879.04      |             | 312.28 |
|                  | 16       |                               | 08/19/10           |                    | 828.74        | 644.58      |             | 184.16 |
| 125001810        | 37       | NEWFIELD EXPLORATION COMPANY  | 01/31/11           | 07/15/11           | 2,569.74      | 2,637.92    | (68.18)     |        |
|                  | 18       |                               | 02/17/11           |                    | 1,250.14      | 1,264.87    | (14.73)     |        |
| 125001820        | 16       | OCCIDENTAL PETROLEUM CORP-DEL | 08/19/10           | 01/21/11           | 1,550.41      | 1,203.25    |             | 347.16 |
| 125001830        | 5        | OCCIDENTAL PETROLEUM CORP-DEL | 08/19/10           | 05/02/11           | 578.14        | 376.02      |             | 202.12 |
|                  | 6        |                               | 08/20/10           |                    | 693.76        | 452.22      |             | 241.54 |
| 125001840        | 24       | OCCIDENTAL PETROLEUM CORP-DEL | 08/20/10           | 07/15/11           | 2,515.10      | 1,808.90    |             | 706.20 |
|                  | 30       |                               | 08/20/10           |                    | 3,143.88      | 2,243.79    |             | 900.09 |

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## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)  | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|---------|-----------|
| 125001850        | 34       | ORACLE CORP                | 08/23/10           |                    | \$ 3,563 06   | \$ 2,583 21 |         | \$ 979 85 |
|                  | 26       |                            | 10/11/10           |                    | 2,724 69      | 2,175 47    |         | 549 22    |
|                  | 13       |                            | 10/14/10           |                    | 1,362 35      | 1,069 56    |         | 262 79    |
|                  | 16       |                            | 01/31/11           |                    | 1,676 74      | 1,541 83    |         | 134 91    |
|                  | 22       |                            | 05/04/11           |                    | 2,305 51      | 2,384 98    | (79 47) |           |
|                  | 35       |                            | 05/06/11           |                    | 3,667 86      | 3,730 39    | (62 53) |           |
|                  | 8        |                            | 05/09/11           |                    | 838 37        | 863 16      | (24 79) |           |
|                  | 40       | ORACLE CORP                | 09/15/10           | 04/05/11           | 1,365 92      | 1,026 97    |         | 338 95    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |         |           |
| 125001860        | 53       | ORACLE CORP                | 09/15/10           | 05/02/11           | 1,928 11      | 1,360 74    |         | 567 37    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |         |           |
| 125001870        | 10       | ORACLE CORP                | 09/15/10           | 05/06/11           | 353 01        | 256 75      |         | 96 26     |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |         |           |
| 125001880        | 23       | ORACLE CORP                | 09/15/10           | 05/16/11           | 789 25        | 590 51      |         | 198 74    |
|                  | 13       | CGMI AND/OR ITS AFFILIATES | 09/15/10           |                    | 446 10        | 333 73      |         | 112 37    |
| 125001890        | 113      | ORACLE CORP                | 09/15/10           | 07/15/11           | 3,605 99      | 2,900 90    |         | 705 09    |
|                  | 128      | CGMI AND/OR ITS AFFILIATES | 09/16/10           |                    | 4,084 66      | 3,263 32    |         | 821 34    |
|                  | 196      |                            | 09/17/10           |                    | 6,254 63      | 5,284 67    |         | 969 96    |
|                  | 79       |                            | 09/30/10           |                    | 2,521 00      | 2,144 81    |         | 376 19    |
|                  | 36       |                            | 10/25/10           |                    | 1,148 80      | 1,044 35    |         | 104 45    |
| 125001900        | 6        | PARKER-HANNIFIN CORP       | 01/18/11           | 05/02/11           | 560 56        | 549 25      |         | 11 31     |
| 125001910        | 8        | PARKER-HANNIFIN CORP       | 01/18/11           | 05/05/11           | 718 38        | 732 33      | (13 95) |           |
|                  | 14       |                            | 01/19/11           |                    | 1,257 17      | 1,293 04    | (35 87) |           |
|                  | 13       |                            | 01/19/11           |                    | 1,167 37      | 1,184 28    | (16 91) |           |
| 125001920        | 15       | PARKER-HANNIFIN CORP       | 01/19/11           | 05/05/11           | 1,342 63      | 1,366 47    | (23 84) |           |
|                  | 16       |                            | 01/20/11           |                    | 1,432 13      | 1,389 96    |         | 42 17     |
| 125001930        | 32       | PARKER-HANNIFIN CORP       | 01/21/11           | 05/06/11           | 2,849 41      | 2,796 87    |         | 52 54     |
| 125001940        | 28       | PARKER-HANNIFIN CORP       | 01/20/11           | 05/06/11           | 2,490 09      | 2,432 42    |         | 57 67     |
|                  | 2        |                            | 01/21/11           |                    | 177 86        | 174 80      |         | 3 06      |
| 125001950        | 2        | PARKER-HANNIFIN CORP       | 01/21/11           | 05/06/11           | 177 64        | 174 80      |         | 2 84      |
| 125001960        | 4        | PARKER-HANNIFIN CORP       | 01/21/11           | 05/09/11           | 357 11        | 349 61      |         | 7 50      |
|                  | 18       |                            | 03/14/11           |                    | 1,606 98      | 1,546 05    |         | 60 93     |
|                  | 10       |                            | 04/05/11           |                    | 892 76        | 967 96      | (75 20) |           |
| 125001970        | 1        | PRECISION CASTPARTS CORP   | 04/21/10           | 03/01/11           | 140 17        | 127 23      |         | 12 94     |
| 125001980        | 3        | PRECISION CASTPARTS CORP   | 04/21/10           | 03/10/11           | 418 68        | 381 69      |         | 36 99     |
|                  | 8        |                            | 04/22/10           |                    | 1,116 49      | 1,058 92    |         | 57 57     |
|                  | 2        |                            | 04/22/10           |                    | 279 13        | 266 06      |         | 13 07     |

2 0 1 1 Y E A R E N D S U M M A R Y

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HARDEN FOUNDATION

Account Number 593-08392-11 507

Details of Short Term Gain/(Loss) 2011 continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss)   | Gain     |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-----------|----------|----------|
| 125001990        | 6        | PRECISION CASTPARTS CORP   | 04/22/10           | 03/15/11           | \$ 849.39     | \$ 798.17 |          | \$ 51.22 |
|                  | 3        |                            | 04/23/10           |                    | 424.70        | 398.32    |          | 26.38    |
| 125002010        | 12       | PRECISION CASTPARTS CORP   | 09/14/10           | 07/15/11           | 1,920.08      | 1,490.66  |          | 429.42   |
|                  | 7        |                            | 05/06/11           |                    | 1,120.04      | 1,104.19  |          | 15.85    |
| 125002030        | 2        | PRICE LINE COM INC (NEW)   | 04/01/11           | 07/15/11           | 1,039.48      | 1,029.51  |          | 9.97     |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |          |          |
| 125002040        | 24       | QUALCOMM INC               | 11/04/10           | 05/02/11           | 1,377.81      | 1,163.88  |          | 213.93   |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |          |          |
| 125002050        | 31       | QUALCOMM INC               | 11/04/10           | 07/15/11           | 1,699.76      | 1,503.35  |          | 196.41   |
|                  | 57       | CGMI AND/OR ITS AFFILIATES | 11/05/10           |                    | 3,125.37      | 2,746.19  |          | 379.18   |
|                  | 39       |                            | 11/05/10           |                    | 2,138.41      | 1,879.39  |          | 259.02   |
|                  | 74       |                            | 11/10/10           |                    | 4,057.50      | 3,530.91  |          | 526.59   |
|                  | 68       |                            | 11/11/10           |                    | 3,728.52      | 3,229.81  |          | 498.71   |
|                  | 25       |                            | 02/14/11           |                    | 1,370.78      | 1,460.83  | (90.05)  |          |
|                  | 27       |                            | 02/25/11           |                    | 1,480.44      | 1,594.03  | (113.59) |          |
|                  | 20       |                            | 03/07/11           |                    | 1,096.62      | 1,141.35  | (44.73)  |          |
|                  | 5        |                            | 06/03/11           |                    | 274.17        | 285.20    | (11.03)  |          |
| 125002060        | 7        | SALESFORCE COM INC         | 06/30/10           | 05/02/11           | 954.43        | 608.93    |          | 345.50   |
| 125002070        | 16       | SALESFORCE COM INC         | 10/12/10           | 07/15/11           | 2,451.15      | 1,668.59  |          | 782.56   |
|                  | 10       |                            | 01/03/11           |                    | 1,531.97      | 1,375.88  |          | 156.09   |
|                  | 10       |                            | 01/06/11           |                    | 1,531.97      | 1,436.21  |          | 95.76    |
|                  | 12       |                            | 03/07/11           |                    | 1,838.36      | 1,517.57  |          | 320.79   |
|                  | 8        |                            | 04/01/11           |                    | 1,225.58      | 1,082.68  |          | 142.90   |
| 125002080        | 24       | STARBUCKS CORP             | 03/09/11           | 05/02/11           | 883.66        | 828.48    |          | 55.18    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |          |          |
| 125002090        | 39       | STARBUCKS CORP             | 03/09/11           | 07/06/11           | 1,582.28      | 1,346.28  |          | 236.00   |
|                  | 14       | CGMI AND/OR ITS AFFILIATES | 03/10/11           |                    | 568.00        | 521.45    |          | 46.55    |
| 125002100        | 47       | STARBUCKS CORP             | 03/10/11           | 07/15/11           | 1,848.16      | 1,750.58  |          | 97.58    |
|                  | 53       | CGMI AND/OR ITS AFFILIATES | 03/11/11           |                    | 2,084.10      | 1,947.00  |          | 137.10   |
|                  | 58       |                            | 03/11/11           |                    | 2,280.71      | 2,124.43  |          | 156.28   |
|                  | 62       |                            | 03/11/11           |                    | 2,438.00      | 2,278.92  |          | 159.08   |
|                  | 40       |                            | 03/15/11           |                    | 1,572.91      | 1,428.11  |          | 144.80   |
| 125002110        | 32       | TD AMERITRADE HOLDING CORP | 02/24/11           | 05/02/11           | 697.26        | 673.68    |          | 23.58    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |           |          |          |
| 125002120        | 9        | TD AMERITRADE HOLDING CORP | 02/24/11           | 07/15/11           | 162.64        | 189.47    | (26.83)  |          |
|                  | 41       | CGMI AND/OR ITS AFFILIATES | 02/25/11           |                    | 740.92        | 881.23    | (140.31) |          |
|                  | 41       |                            | 02/25/11           |                    | 740.92        | 885.08    | (144.16) |          |
|                  | 39       |                            | 02/28/11           |                    | 704.78        | 851.49    | (146.71) |          |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Short Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description   | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost          | (Loss)         | Gain         |
|------------------|----------|------------------------|--------------------|--------------------|---------------|---------------|----------------|--------------|
| 125002130        | 40       | UNION PACIFIC CORP     | 03/01/11           |                    | \$ 722.85     | \$ 880.23     | (\$ 157.38)    |              |
|                  | 39       |                        | 03/02/11           |                    | 704.78        | 842.21        | (137.43)       |              |
|                  | 40       |                        | 03/03/11           |                    | 722.85        | 883.44        | (160.59)       |              |
|                  | 34       |                        | 03/04/11           |                    | 614.42        | 764.41        | (149.99)       |              |
|                  | 37       |                        | 03/07/11           |                    | 668.64        | 839.15        | (170.51)       |              |
|                  | 58       |                        | 03/08/11           |                    | 1,048.13      | 1,303.60      | (255.47)       |              |
|                  | 36       |                        | 04/26/11           |                    | 650.56        | 790.77        | (140.21)       |              |
|                  | 31       |                        | 04/27/11           |                    | 560.21        | 678.77        | (118.56)       |              |
| 125002130        | 2        | UNION PACIFIC CORP     | 11/01/10           | 05/02/11           | 207.27        | 178.37        |                | 28.90        |
| 125002140        | 3        | UNION PACIFIC CORP     | 11/01/10           | 06/09/11           | 302.93        | 267.56        |                | 35.37        |
| 125002150        | 5        | UNION PACIFIC CORP     | 11/01/10           | 07/08/11           | 519.08        | 445.93        |                | 73.15        |
| 125002160        | 22       | UNION PACIFIC CORP     | 11/01/10           | 07/15/11           | 2,204.23      | 1,962.10      |                | 242.13       |
|                  | 31       |                        | 11/02/10           |                    | 3,105.96      | 2,770.83      |                | 335.13       |
|                  | 32       |                        | 11/03/10           |                    | 3,206.15      | 2,903.07      |                | 303.08       |
|                  | 30       |                        | 11/04/10           |                    | 3,005.77      | 2,756.07      |                | 249.70       |
|                  | 10       |                        | 12/30/10           |                    | 1,001.92      | 920.07        |                | 81.85        |
|                  | 6        |                        | 12/31/10           |                    | 601.15        | 556.28        |                | 44.87        |
|                  | 22       |                        | 03/18/11           |                    | 2,204.23      | 2,097.15      |                | 107.08       |
| 125002220        | 59       | UNITEDHEALTH GROUP INC | 11/30/10           | 07/15/11           | 3,052.75      | 2,178.60      |                | 874.15       |
|                  | 21       |                        | 12/30/10           |                    | 1,086.57      | 755.16        |                | 331.41       |
|                  | 15       |                        | 12/31/10           |                    | 776.13        | 540.89        |                | 235.24       |
| 125002230        | 33       | VISA INC COM CL A      | 06/30/11           | 07/15/11           | 2,904.01      | 2,801.53      |                | 102.48       |
|                  | 33       |                        | 06/30/11           |                    | 2,904.01      | 2,796.76      |                | 107.25       |
|                  | 40       |                        | 06/30/11           |                    | 3,520.01      | 3,387.10      |                | 132.91       |
| 125002240        | 15       | VMWARE INC             | 10/01/10           | 01/24/11           | 1,321.66      | 1,282.58      |                | 39.08        |
|                  | 14       | CL A COM               | 10/04/10           |                    | 1,233.55      | 1,174.82      |                | 58.73        |
|                  | 3        |                        | 10/04/10           |                    | 264.34        | 246.76        |                | 17.58        |
| 125002250        | 10       | VMWARE INC             | 10/04/10           | 04/01/11           | 814.23        | 825.41        | (11.18)        |              |
|                  | 8        | CL A COM               | 10/11/10           |                    | 651.38        | 615.22        |                | 36.16        |
| 125002260        | 12       | VMWARE INC             | 10/04/10           | 04/01/11           | 980.44        | 987.02        | (6.58)         |              |
|                  | 5        | CL A COM               | 10/04/10           |                    | 408.51        | 412.71        | (4.20)         |              |
| 125002270        | 8        | VMWARE INC             | 10/11/10           | 04/04/11           | 636.76        | 615.22        |                | 21.54        |
|                  | 24       | CL A COM               | 10/25/10           |                    | 1,910.29      | 1,866.55      |                | 43.74        |
| 125002280        | 3        | VMWARE INC             | 10/25/10           | 04/05/11           | 236.78        | 233.32        |                | 3.46         |
|                  | 17       | CL A COM               | 11/03/10           |                    | 1,341.73      | 1,318.82      |                | 22.91        |
|                  | 35       |                        | 12/08/10           |                    | 2,762.39      | 2,999.83      | (237.44)       |              |
| Total            |          |                        |                    |                    | \$ 620,262.50 | \$ 567,083.22 | (\$ 15,287.51) | \$ 68,468.79 |

53,179.28

MorganStanley  
SmithBarney

Ref 00001118 00009535

# 2011 Year End Summary

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HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

## 2011 YEAR END SUMMARY

| Reference number | Quantity | Security Description     | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss) | Gain      |
|------------------|----------|--------------------------|--------------------|--------------------|---------------|-----------|--------|-----------|
| 125000020        | 9        | DAIMLER AG               | 03/30/10           | 05/02/11           | \$ 703.99     | \$ 420.01 |        | \$ 283.98 |
|                  | 21       |                          | 03/31/10           |                    | 1,642.64      | 981.98    |        | 660.66    |
|                  | 22       |                          | 04/14/10           |                    | 1,720.86      | 1,097.41  |        | 623.45    |
|                  | 22       |                          | 04/15/10           |                    | 1,720.86      | 1,098.59  |        | 622.27    |
|                  | 3        |                          | 04/15/10           |                    | 234.66        | 150.70    |        | 83.96     |
| 125000030        | 3        | DAIMLER AG               | 04/15/10           | 05/02/11           | 232.34        | 150.70    |        | 81.64     |
| 125000040        | 19       | DAIMLER AG               | 04/15/10           | 05/03/11           | 1,440.83      | 954.44    |        | 486.39    |
|                  | 29       |                          | 04/16/10           |                    | 2,199.15      | 1,449.63  |        | 749.52    |
| 125000110        | 5        | AGILENT TECHNOLOGIES INC | 02/04/10           | 04/05/11           | 226.41        | 146.17    |        | 80.24     |
|                  | 12       |                          | 02/05/10           |                    | 543.40        | 339.83    |        | 203.57    |
| 125000120        | 16       | AGILENT TECHNOLOGIES INC | 02/05/10           | 04/06/11           | 727.62        | 453.11    |        | 274.51    |
| 125000130        | 12       | AGILENT TECHNOLOGIES INC | 02/05/10           | 04/28/11           | 603.60        | 339.83    |        | 263.77    |
| 125000140        | 18       | AGILENT TECHNOLOGIES INC | 02/05/10           | 05/02/11           | 910.77        | 509.74    |        | 401.03    |
|                  | 3        |                          | 02/05/10           |                    | 151.80        | 86.22     |        | 65.58     |
| 125000150        | 34       | AGILENT TECHNOLOGIES INC | 02/05/10           | 05/04/11           | 1,687.95      | 977.18    |        | 710.77    |
| 125000160        | 17       | AGILENT TECHNOLOGIES INC | 02/05/10           | 05/13/11           | 890.92        | 488.59    |        | 402.33    |
| 125000170        | 9        | AGILENT TECHNOLOGIES INC | 02/05/10           | 05/19/11           | 462.35        | 258.66    |        | 203.69    |
|                  | 18       |                          | 02/05/10           |                    | 924.70        | 524.49    |        | 400.21    |
| 125000180        | 21       | AGILENT TECHNOLOGIES INC | 02/05/10           | 05/19/11           | 1,082.30      | 611.91    |        | 470.39    |
| 125000190        | 10       | AGILENT TECHNOLOGIES INC | 02/05/10           | 06/30/11           | 518.22        | 291.39    |        | 226.83    |
| 125000200        | 21       | AGILENT TECHNOLOGIES INC | 02/05/10           | 07/15/11           | 980.10        | 611.91    |        | 368.19    |
|                  | 35       |                          | 06/15/10           |                    | 1,633.49      | 1,117.43  |        | 516.06    |
| 125000210        | 8        | ALLERGAN INC             | 02/05/10           | 04/28/11           | 634.45        | 465.29    |        | 169.16    |
|                  | 2        |                          | 02/08/10           |                    | 158.61        | 116.63    |        | 41.98     |
| 125000220        | 9        | ALLERGAN INC             | 02/08/10           | 05/02/11           | 721.06        | 524.82    |        | 196.24    |
| 125000230        | 20       | ALLERGAN INC             | 02/08/10           | 07/15/11           | 1,652.25      | 1,166.27  |        | 485.98    |
|                  | 31       |                          | 02/09/10           |                    | 2,560.98      | 1,826.96  |        | 734.02    |
|                  | 27       |                          | 02/10/10           |                    | 2,230.53      | 1,584.87  |        | 645.66    |
|                  | 14       |                          | 03/29/10           |                    | 1,156.57      | 903.27    |        | 253.30    |
|                  | 15       |                          | 03/30/10           |                    | 1,239.19      | 969.11    |        | 270.08    |
|                  | 14       |                          | 03/31/10           |                    | 1,156.57      | 907.48    |        | 249.09    |
|                  | 15       |                          | 04/01/10           |                    | 1,239.19      | 982.84    |        | 256.35    |

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long Term Gain (Loss) 2011 continued

| Reference number | Quantity | Security Description        | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss) | Gain      |
|------------------|----------|-----------------------------|--------------------|--------------------|---------------|-----------|--------|-----------|
| 125000240        | 5        | AMAZON COM INC              | 10/30/09           | 04/15/11           | \$ 902.44     | \$ 595.26 |        | \$ 307.18 |
|                  | 1        | CGMI AND/OR ITS AFFILIATES  | 11/11/09           |                    | 180.49        | 130.10    |        | 50.39     |
| 125000250        | 5        | AMAZON COM INC              | 01/27/10           | 04/18/11           | 882.22        | 613.22    |        | 269.00    |
|                  | 11       | CGMI AND/OR ITS AFFILIATES  | 01/28/10           |                    | 1,940.88      | 1,370.21  |        | 570.67    |
| 125000260        | 10       | AMAZON COM INC              | 01/28/10           | 05/02/11           | 2,031.05      | 1,245.65  |        | 785.40    |
|                  | 3        | CGMI AND/OR ITS AFFILIATES  | 02/01/10           |                    | 609.32        | 350.33    |        | 258.99    |
| 125000270        | 2        | AMAZON COM INC              | 02/01/10           | 05/13/11           | 408.38        | 233.55    |        | 174.83    |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |           |        |           |
| 125000290        | 2        | AMAZON COM INC              | 02/17/10           | 07/15/11           | 421.65        | 232.02    |        | 189.63    |
|                  | 1        | CGMI AND/OR ITS AFFILIATES  | 03/10/10           |                    | 210.83        | 130.50    |        | 80.33     |
| 125000300        | 66       | AMERICAN EXPRESS CO         | 08/07/09           | 01/14/11           | 3,050.81      | 2,189.72  |        | 861.09    |
| 125000310        | 6        | AMERICAN EXPRESS CO         | 08/07/09           | 02/24/11           | 262.35        | 197.25    |        | 65.10     |
|                  | 52       |                             | 02/02/10           |                    | 2,273.66      | 2,015.17  |        | 258.49    |
|                  | 7        |                             | 02/17/10           |                    | 306.07        | 273.06    |        | 33.01     |
| 125000330        | 3        | AMERICAN EXPRESS CO         | 02/17/10           | 02/25/11           | 130.44        | 117.03    |        | 13.41     |
| 125000350        | 4        | AMERICAN TOWER CORP-CLASS A | 09/25/08           | 03/01/11           | 212.56        | 152.24    |        | 60.32     |
|                  | 6        |                             | 09/26/08           |                    | 318.83        | 223.84    |        | 94.99     |
| 125000360        | 17       | AMERICAN TOWER CORP-CLASS A | 09/26/08           | 03/21/11           | 793.24        | 634.20    |        | 159.04    |
|                  | 32       |                             | 09/26/08           |                    | 1,493.17      | 1,207.93  |        | 285.24    |
| 125000370        | 34       | AMERICAN TOWER CORP-CLASS A | 09/26/08           | 03/22/11           | 1,629.55      | 1,283.42  |        | 346.13    |
| 125000380        | 36       | AMERICAN TOWER CORP-CLASS A | 09/26/08           | 04/05/11           | 1,834.52      | 1,358.92  |        | 475.60    |
| 125000390        | 22       | AMERICAN TOWER CORP-CLASS A | 09/26/08           | 04/06/11           | 1,118.54      | 830.45    |        | 288.09    |
| 125000400        | 26       | AMERICAN TOWER CORP-CLASS A | 09/26/08           | 04/15/11           | 1,315.59      | 981.44    |        | 334.15    |
|                  | 7        |                             | 10/03/08           |                    | 354.20        | 245.98    |        | 108.22    |
| 125000410        | 23       | AMERICAN TOWER CORP-CLASS A | 10/03/08           | 04/15/11           | 1,163.48      | 808.24    |        | 355.24    |
|                  | 6        |                             | 10/10/08           |                    | 303.52        | 169.61    |        | 133.91    |
| 125000420        | 27       | AMERICAN TOWER CORP-CLASS A | 10/10/08           | 04/18/11           | 1,338.05      | 763.24    |        | 574.81    |
|                  | 31       |                             | 03/29/10           |                    | 1,536.28      | 1,323.25  |        | 213.03    |
| 125000430        | 12       | AMERICAN TOWER CORP-CLASS A | 03/29/10           | 04/19/11           | 592.23        | 512.23    |        | 80.00     |
|                  | 28       |                             | 03/30/10           |                    | 1,381.86      | 1,194.81  |        | 187.05    |
| 125000440        | 7        | AMERICAN TOWER CORP-CLASS A | 03/30/10           | 04/19/11           | 345.12        | 298.70    |        | 46.42     |
| 125000460        | 1        | APPLE INC                   | 01/27/09           | 03/01/11           | 350.55        | 90.08     |        | 260.47    |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |           |        |           |
| 125000470        | 7        | APPLE INC                   | 01/27/09           | 05/02/11           | 2,424.47      | 630.58    |        | 1,793.89  |
|                  |          | CGMI AND/OR ITS AFFILIATES  |                    |                    |               |           |        |           |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description                     | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost      | (Loss) | Gain      |
|------------------|----------|------------------------------------------|--------------------|--------------------|---------------|-----------|--------|-----------|
| 125000480        | 2        | APPLE INC                                | 01/27/09           | 05/06/11           | \$ 697 00     | \$ 180 17 |        | \$ 516 83 |
|                  |          | CGMI AND/OR ITS AFFILIATES               |                    |                    |               |           |        |           |
| 125000490        | 3        | APPLE INC                                | 01/27/09           | 07/15/11           | 1,081 90      | 270 25    |        | 811 65    |
|                  | 18       | CGMI AND/OR ITS AFFILIATES               | 01/28/09           |                    | 6,491 40      | 1,701 08  |        | 4,790 32  |
|                  | 18       |                                          | 01/29/09           |                    | 6,491 40      | 1,679 24  |        | 4,812 16  |
|                  | 25       |                                          | 07/02/09           |                    | 9,015 83      | 3,504 46  |        | 5,511 37  |
|                  | 26       |                                          | 10/15/09           |                    | 9,376 46      | 4,940 70  |        | 4,435 76  |
|                  | 18       |                                          | 10/21/09           |                    | 6,491 40      | 3,712 17  |        | 2,779 23  |
|                  | 3        |                                          | 02/17/10           |                    | 1,081 88      | 605 42    |        | 476 46    |
| 125000540        | 2        | BAIDU INC SPON ADR RPTNG<br>ORD SHS CL A | 05/28/10           | 06/09/11           | 243 99        | 146 27    |        | 97 72     |
|                  |          | CGMI AND/OR ITS AFFILIATES               |                    |                    |               |           |        |           |
| 125000550        | 46       | BAIDU INC SPON ADR RPTNG<br>ORD SHS CL A | 05/28/10           | 07/15/11           | 6,894 59      | 3,364 22  |        | 3,330 37  |
|                  | 30       |                                          | 06/15/10           |                    | 4,366 04      | 2,235 01  |        | 2,131 03  |
|                  |          | CGMI AND/OR ITS AFFILIATES               |                    |                    |               |           |        |           |
| 125000740        | 2        | CUMMINS INC                              | 07/08/10           | 07/15/11           | 207 13        | 136 32    |        | 70 81     |
|                  | 28       |                                          | 07/09/10           |                    | 2,899 82      | 1,984 88  |        | 914 94    |
|                  | 24       |                                          | 07/12/10           |                    | 2,485 56      | 1,694 04  |        | 791 52    |
|                  | 29       |                                          | 07/13/10           |                    | 3,003 39      | 2,098 16  |        | 905 23    |
|                  | 21       |                                          | 07/14/10           |                    | 2,174 87      | 1,555 57  |        | 619 30    |
| 125000770        | 173      | DELTA AIR LINES INC<br>(NEW)             | 10/21/09           | 01/03/11           | 2,166 40      | 1,495 18  |        | 671 22    |
| 125000780        | 18       | DELTA AIR LINES INC<br>(NEW)             | 10/21/09           | 01/04/11           | 226 61        | 155 57    |        | 71 04     |
|                  | 109      |                                          | 10/23/09           |                    | 1,372 23      | 891 19    |        | 481 04    |
|                  | 40       |                                          | 10/23/09           |                    | 503 57        | 326 40    |        | 177 17    |
| 125000790        | 25       | DELTA AIR LINES INC<br>(NEW)             | 11/11/09           | 01/05/11           | 325 50        | 199 24    |        | 126 26    |
| 125000800        | 51       | DELTA AIR LINES INC<br>(NEW)             | 10/23/09           | 01/05/11           | 661 06        | 416 15    |        | 244 91    |
|                  | 29       |                                          | 10/26/09           |                    | 375 90        | 231 96    |        | 143 94    |
|                  | 95       |                                          | 11/11/09           |                    | 1,231 40      | 757 09    |        | 474 31    |
| 125000810        | 3        | WALT DISNEY CO                           | 01/25/10           | 05/02/11           | 130 02        | 89 24     |        | 40 78     |
|                  | 32       |                                          | 01/28/10           |                    | 1,386 85      | 933 06    |        | 453 79    |
| 125000820        | 31       | WALT DISNEY CO                           | 01/28/10           | 05/26/11           | 1,266 87      | 903 90    |        | 362 97    |
| 125000830        | 27       | WALT DISNEY CO                           | 01/28/10           | 06/13/11           | 1,038 49      | 787 26    |        | 251 23    |
|                  | 48       |                                          | 02/03/10           |                    | 1,846 21      | 1,463 18  |        | 383 03    |
| 125000840        | 19       | WALT DISNEY CO                           | 02/03/10           | 06/28/11           | 723 77        | 579 17    |        | 144 60    |
|                  | 27       |                                          | 02/03/10           |                    | 1,028 52      | 830 80    |        | 197 72    |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long Term Gain (Loss)-2011- continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss) | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|--------|-----------|
| 125000850        | 36       | WALT DISNEY CO             | 02/03/10           | 06/30/11           | \$ 1,393 51   | \$ 1,107 74 |        | \$ 285 77 |
|                  | 32       |                            | 03/02/10           |                    | 1,238 68      | 1,013 90    |        | 224 78    |
| 125000860        | 64       | WALT DISNEY CO             | 03/02/10           | 07/15/11           | 2,498 13      | 2,027 80    |        | 470 33    |
|                  | 80       |                            | 03/24/10           |                    | 3,122 66      | 2,741 32    |        | 381 34    |
|                  | 29       |                            | 03/25/10           |                    | 1,131 96      | 1,021 23    |        | 110 73    |
|                  | 33       |                            | 04/27/10           |                    | 1,288 10      | 1,201 72    |        | 86 38     |
|                  | 30       |                            | 05/11/10           |                    | 1,170 99      | 1,069 95    |        | 101 04    |
| 125000920        | 3        | EMC CORP-MASS              | 12/07/09           | 05/02/11           | 84 42         | 50 96       |        | 33 46     |
|                  | 32       |                            | 01/28/10           |                    | 900 46        | 549 77      |        | 350 69    |
| 125000930        | 196      | EMC CORP-MASS              | 01/28/10           | 07/15/11           | 5,215 89      | 3,367 36    |        | 1,848 53  |
|                  | 100      |                            | 02/01/10           |                    | 2,661 17      | 1,694 40    |        | 966 77    |
|                  | 20       |                            | 02/17/10           |                    | 532 23        | 348 15      |        | 184 08    |
|                  | 10       |                            | 03/23/10           |                    | 266 12        | 186 77      |        | 79 35     |
|                  | 50       |                            | 06/30/10           |                    | 1,330 58      | 929 19      |        | 401 39    |
| 125001060        | 2        | EXPRESS SCRIPTS INC COMMON | 11/10/09           | 02/16/11           | 115 85        | 86 55       |        | 29 30     |
|                  | 23       | CGMI AND/OR ITS AFFILIATES | 01/08/10           |                    | 1,332 27      | 1,051 06    |        | 281 21    |
| 125001070        | 21       | EXPRESS SCRIPTS INC.COMMON | 01/08/10           | 03/18/11           | 1,093 95      | 959 67      |        | 134 28    |
|                  | 24       | CGMI AND/OR ITS AFFILIATES | 01/11/10           |                    | 1,250 23      | 1,105 78    |        | 144 45    |
|                  | 7        |                            | 02/01/10           |                    | 364 66        | 296 80      |        | 67 86     |
| 125001080        | 12       | EXPRESS SCRIPTS INC COMMON | 02/01/10           | 05/02/11           | 695 98        | 508 80      |        | 187 18    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |        |           |
| 125001090        | 1        | EXPRESS SCRIPTS INC.COMMON | 02/01/10           | 07/15/11           | 51 63         | 42 40       |        | 9 23      |
|                  | 46       | CGMI AND/OR ITS AFFILIATES | 02/05/10           |                    | 2,375 09      | 1,921 98    |        | 453 11    |
|                  | 48       |                            | 02/05/10           |                    | 2,478 35      | 2,038 98    |        | 439 37    |
|                  | 2        |                            | 05/04/10           |                    | 103 26        | 100 29      |        | 2 97      |
| 125001140        | 173      | FORD MOTOR COMPANY         | 11/09/09           | 02/04/11           | 2,723 17      | 1,393 71    |        | 1,329 46  |
| 125001150        | 2        | FORD MOTOR COMPANY         | 11/09/09           | 02/07/11           | 32 44         | 16 11       |        | 16 33     |
|                  | 63       |                            | 11/10/09           |                    | 1,022 00      | 515 45      |        | 506 55    |
| 125001160        | 40       | FORD MOTOR COMPANY         | 11/10/09           | 03/01/11           | 592 69        | 327 27      |        | 265 42    |
| 125001170        | 269      | FORD MOTOR COMPANY         | 11/10/09           | 03/04/11           | 3,858 89      | 2,200 87    |        | 1,658 02  |
| 125001180        | 69       | FORD MOTOR COMPANY         | 11/10/09           | 03/09/11           | 985 85        | 564 54      |        | 421 31    |
|                  | 10       |                            | 11/11/09           |                    | 142 88        | 83 40       |        | 59 48     |
|                  | 20       |                            | 02/17/10           |                    | 285 75        | 228 56      |        | 57 19     |
| 125001410        | 43       | ITAU UNIBANCO BANCO HLDG   | 05/25/10           | 06/30/11           | 1,014 66      | 735 42      |        | 279 24    |
|                  |          | S A ADR                    |                    |                    |               |             |        |           |

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description         | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss) | Gain      |
|------------------|----------|------------------------------|--------------------|--------------------|---------------|-------------|--------|-----------|
| 125001420        | 185      | ITAU UNIBANCO BANCO HLDG     | 05/25/10           | 07/15/11           | \$ 3,757.57   | \$ 3,164.04 |        | \$ 593.53 |
|                  | 83       | S A ADR                      | 05/28/10           |                    | 1,685.83      | 1,526.25    |        | 159.58    |
|                  | 80       |                              | 05/28/10           |                    | 1,624.90      | 1,471.34    |        | 153.56    |
| 125001450        | 29       | JUNIPER NETWORKS INC         | 11/05/09           | 05/02/11           | 1,094.14      | 753.94      |        | 340.20    |
| 125001460        | 31       | JUNIPER NETWORKS INC         | 11/05/09           | 07/15/11           | 946.53        | 805.93      |        | 140.60    |
|                  | 132      |                              | 11/10/09           |                    | 4,030.37      | 3,306.34    |        | 724.03    |
|                  | 80       |                              | 11/13/09           |                    | 2,442.65      | 2,084.71    |        | 357.94    |
|                  | 10       |                              | 02/17/10           |                    | 305.33        | 255.88      |        | 49.45     |
|                  | 45       |                              | 05/28/10           |                    | 1,373.99      | 1,209.29    |        | 164.70    |
| 125001760        | 27       | NETAPP INC                   | 12/09/09           | 02/14/11           | 1,603.34      | 905.67      |        | 697.67    |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |             |        |           |
| 125001770        | 12       | NETAPP INC                   | 12/09/09           | 02/15/11           | 702.82        | 402.52      |        | 300.30    |
|                  |          | CGMI AND/OR ITS AFFILIATES   |                    |                    |               |             |        |           |
| 125001800        | 10       | NEWFIELD EXPLORATION COMPANY | 02/24/10           | 05/02/11           | 698.98        | 489.92      |        | 209.06    |
|                  | 5        |                              | 02/25/10           |                    | 349.49        | 249.30      |        | 100.19    |
| 125001810        | 22       | NEWFIELD EXPLORATION COMPANY | 02/25/10           | 07/15/11           | 1,527.95      | 1,096.92    |        | 431.03    |
|                  | 27       |                              | 02/26/10           |                    | 1,875.21      | 1,371.51    |        | 503.70    |
|                  | 29       |                              | 03/01/10           |                    | 2,014.12      | 1,516.98    |        | 497.14    |
|                  | 17       |                              | 03/29/10           |                    | 1,180.69      | 845.40      |        | 335.29    |
|                  | 9        |                              | 03/30/10           |                    | 625.07        | 454.71      |        | 170.36    |
|                  | 15       |                              | 03/31/10           |                    | 1,041.79      | 774.68      |        | 267.11    |
|                  | 17       |                              | 04/01/10           |                    | 1,180.69      | 907.49      |        | 273.20    |
| 125002000        | 6        | PRECISION CASTPARTS CORP     | 04/23/10           | 05/02/11           | 923.62        | 796.64      |        | 126.98    |
| 125002010        | 9        | PRECISION CASTPARTS CORP     | 04/26/10           | 07/15/11           | 1,440.06      | 1,214.47    |        | 225.59    |
|                  | 10       |                              | 04/27/10           |                    | 1,600.07      | 1,319.45    |        | 280.62    |
|                  | 7        |                              | 04/28/10           |                    | 1,120.05      | 911.40      |        | 208.65    |
|                  | 10       |                              | 04/28/10           |                    | 1,600.07      | 1,308.93    |        | 291.14    |
|                  | 17       |                              | 04/30/10           |                    | 2,720.12      | 2,194.80    |        | 525.32    |
|                  | 8        |                              | 05/10/10           |                    | 1,280.06      | 995.76      |        | 284.30    |
| 125002020        | 1        | PRICELINE.COM INC (NEW)      | 11/05/09           | 05/02/11           | 558.45        | 189.45      |        | 369.00    |
|                  | 1        | CGMI AND/OR ITS AFFILIATES   | 11/06/09           |                    | 558.44        | 171.07      |        | 387.37    |
| 125002030        | 15       | PRICELINE.COM INC (NEW)      | 11/06/09           | 07/15/11           | 7,796.10      | 2,566.06    |        | 5,230.04  |
|                  | 17       | CGMI AND/OR ITS AFFILIATES   | 11/09/09           |                    | 8,835.58      | 2,977.50    |        | 5,858.08  |
| 125002070        | 11       | SALESFORCE.COM INC           | 06/30/10           | 07/15/11           | 1,685.17      | 956.88      |        | 728.29    |
|                  | 31       |                              | 07/01/10           |                    | 4,749.11      | 2,626.35    |        | 2,122.76  |
| 125002170        | 7        | UNITEDHEALTH GROUP INC       | 02/05/10           | 04/21/11           | 335.33        | 224.82      |        | 110.51    |
|                  | 18       |                              | 02/08/10           |                    | 862.27        | 586.58      |        | 275.69    |

MorganStanley  
SmithBarney

Ref 00001118 00009540

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# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-08392-11 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description   | Opening Trade Date | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss) | Gain                |
|------------------|----------|------------------------|--------------------|--------------------|----------------------|----------------------|--------|---------------------|
| 125002180        | 19       | UNITEDHEALTH GROUP INC | 02/08/10           | 05/02/11           | \$ 950.36            | \$ 619.18            |        | \$ 331.18           |
| 125002190        | 10       | UNITEDHEALTH GROUP INC | 02/08/10           | 06/09/11           | 495.83               | 325.88               |        | 169.95              |
| 125002200        | 9        | UNITEDHEALTH GROUP INC | 02/08/10           | 07/07/11           | 471.01               | 293.29               |        | 177.72              |
|                  | 12       |                        | 02/09/10           |                    | 628.02               | 390.31               |        | 237.71              |
| 125002210        | 26       | UNITEDHEALTH GROUP INC | 02/09/10           | 07/08/11           | 1,347.91             | 845.69               |        | 502.22              |
| 125002220        | 18       | UNITEDHEALTH GROUP INC | 02/09/10           | 07/15/11           | 931.35               | 585.47               |        | 345.88              |
|                  | 117      |                        | 02/09/10           |                    | 6,053.77             | 3,829.30             |        | 2,224.47            |
| <b>Total</b>     |          |                        |                    |                    | <b>\$ 263,549.48</b> | <b>\$ 165,747.04</b> |        | <b>\$ 97,802.44</b> |

## Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

### Deposits

| Reference number | Date     | Description                                                 | Amount             |
|------------------|----------|-------------------------------------------------------------|--------------------|
| 210000100        | 05/31/11 | CONSULTING & ADVISORY SERVICES<br>FROM 05/05/11 TO 06/30/11 | \$ 66.37           |
| <b>Total</b>     |          |                                                             | <b>\$ 1,007.41</b> |

### Withdrawals

| Reference number | Date     | Description                                                                                     | Referral number | Amount      |
|------------------|----------|-------------------------------------------------------------------------------------------------|-----------------|-------------|
| 220000100        | 07/21/11 | MORGAN STANLEY LIQUID ASSET<br>FUND INC<br>FROM 593-08392-01<br>TO 593-04252-01                 |                 | \$ 5,473.70 |
| 220000300        | 08/04/11 | MORGAN STANLEY LIQUID ASSET<br>FUND INC<br>FROM 593-08392-01<br>TO 593-04252-01                 |                 | 90.60       |
| 220000400        | 08/17/11 | MORGAN STANLEY LIQUID ASSET<br>FUND INC<br>FROM 593-08392-01<br>TO 593-04252-01                 |                 | 8.20        |
| 220000600        | 03/28/11 | ITAU UNIBANCO BANCO HLDG<br>S A ADR<br>ADR FEE OF 01000 PER SHR<br>RECORD 03/11/11 PAY 03/28/11 |                 | 4.24        |

2011 YEAR END SUMMARY

Total 156,981.72

# MorganStanley SmithBarney

Ref 00000068 00000554

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-00819-13 507

### Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

| Reference number | Quantity | Security Description                                                                           | Opening Trade Date | Closing Trade Date | Sale Proceeds | Original Cost Adjusted Cost    | Original Gain/(Loss) Adjusted Gain/(Loss) | Ordinary Income Capital Gain/(Loss) |
|------------------|----------|------------------------------------------------------------------------------------------------|--------------------|--------------------|---------------|--------------------------------|-------------------------------------------|-------------------------------------|
| 125000130        | 130,000  | U S TREASURY NOTES SER AK-2012<br>DTD 04/30/2010<br>DUE 04/30/2012 RATE 1 000<br>YIELD 444MTY  | 05/20/10           | 01/11/11           | \$ 130,934.38 | \$ 130,782.03<br>\$ 130,522.60 | \$ 152.35<br>\$ 411.78                    | \$ 0.00<br>\$ 411.78                |
| 125000140        | 67,000   | U S TREASURY NOTES SER F-2010<br>DTD 11/15/2010<br>DUE 11/15/2020 RATE 2.625<br>YIELD 3.369MTY | 12/15/10           | 01/11/11           | 62,854.38     | 62,592.66<br>62,592.66         | 261.72<br>261.72                          | 26.88<br>234.84                     |
| Total            |          |                                                                                                |                    |                    | \$ 193,788.76 | \$ 193,374.69<br>\$ 193,115.26 | \$ 414.07<br>\$ 673.50                    | \$ 26.88<br>\$ 646.62               |

### Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

| Reference number | Quantity | Security Description                                                                 | Opening Trade Date | Closing Trade Date | Sale Proceeds | Original Cost Adjusted Cost    | Original Gain/(Loss) Adjusted Gain/(Loss) | Ordinary Income Capital Gain/(Loss) |
|------------------|----------|--------------------------------------------------------------------------------------|--------------------|--------------------|---------------|--------------------------------|-------------------------------------------|-------------------------------------|
| 125000010        | 120,000  | BANK OF AMERICA CORP GLOBAL<br>DTD 9/25/02<br>DUE 09/15/2012 RATE 4.875<br>YTM 4.017 | 12/19/05           | 08/10/11           | \$ 121,076.40 | \$ 119,244.00<br>\$ 119,244.00 | \$ 1,832.40<br>\$ 1,832.40                | \$ 0.00<br>\$ 1,832.40              |
| 125000020        | 25,000   | BELLSOUTH CORPORATION<br>BK/ENTRY DTD 10/25/01<br>DUE 10/15/2011 RATE 6.000          | 10/31/01           | 10/17/11           | 25,000.00     | 25,373.75<br>25,000.00         | (373.75)<br>0.00                          | 0.00<br>0.00                        |
| 125000030        | 50,000   | CISCO SYSTEMS INC<br>DTD 02/22/2006<br>DUE 02/22/2011 RATE 5.250                     | 12/07/06           | 02/22/11           | 50,000.00     | 50,624.00<br>50,000.00         | (624.00)<br>0.00                          | 0.00<br>0.00                        |

Ref 00000068 00000555

HARDEN FOUNDATION

Account Number 593-00819-13 507

Details of Long Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description                                                                                   | Opening Trade Date | Closing Trade Date | Sale Proceeds | Original Cost Adjusted Cost    | Original Gain/(Loss) Adjusted Gain/(Loss) | Ordinary Income Capital Gain/(Loss) |
|------------------|----------|--------------------------------------------------------------------------------------------------------|--------------------|--------------------|---------------|--------------------------------|-------------------------------------------|-------------------------------------|
| 125000040        | 30,000   | FEDERAL HOME LN MTG CRP GLOBAL<br>REFERENCE NOTES BK/ENTRY<br>DTD 7/16/02<br>DUE 07/15/2012 RATE 5 125 | 11/16/07           | 01/11/11           | \$ 32,006 25  | \$ 31,114 20<br>\$ 30,385 50   | \$ 892 05<br>\$ 1,820 75                  | \$ 0 00<br>\$ 1,620 75              |
| 125000050        | 45,000   | FEDERAL HOME LOAN MTG CORP<br>GLOBAL REFERENCE NOTES<br>DTD 07/18/2003<br>DUE 07/15/2013 RATE 4 500    | 01/31/06           | 01/11/11           | 48,909 38     | 44,018 10<br>44,018 10         | 4,891 28<br>4,891 28                      | 611 55<br>4,279 73                  |
| 125000060        | 35,000   | FEDERAL NATL MTG ASSN SER<br>BOOK/ENTRY<br>DTD 09/17/2004<br>DUE 10/15/2014 RATE 4 625                 | 08/07/07           | 01/11/11           | 38,806 25     | 33,870 80<br>33,870 80         | 4,935 45<br>4,935 45                      | 486 26<br>4,449 19                  |
| 125000070        | 125,000  | MERRILL LYNCH & CO INC MEDIUM<br>TERM NOTES-BK/ENTRY<br>DTD 07/25/2006<br>DUE 07/25/2011 RATE 5 770    | 01/16/07           | 07/25/11           | 125,000 00    | 127,773 75<br>125,000 00       | (2,773 75)<br>0 00                        | 0 00<br>0 00                        |
| 125000080        | 39,000   | U S TREASURY NOTES SER C-2011<br>DTD 08/15/2001<br>DUE 08/15/2011 RATE 5 000                           | 07/22/05           | 08/15/11           | 39,000 00     | 40,913 44<br>39,000 00         | (1,913 44)<br>0 00                        | 0 00<br>0 00                        |
| 125000090        | 52,000   | U S TREASURY NOTE SER B-2012<br>DTD 02/15/2002<br>DUE 02/15/2012 RATE 4 875<br>YIELD .097MTY           | 12/18/06           | 10/14/11           | 52,816 56     | 52,836 88<br>52,063 04         | (20 32)<br>783 52                         | 0 00<br>763 52                      |
| 125000100        | 30,000   | U S TREASURY NOTES SER E-2012<br>DTD 11/15/2002<br>DUE 11/15/2012 RATE 4 000<br>YIELD .514MTY          | 01/26/07           | 01/11/11           | 31,912 50     | 28,762 50<br>28,762 50         | 3,150 00<br>3,150 00                      | 805 80<br>2,344 20                  |
| 125000110        | 36,000   | U S TREASURY NOTES SER C-2019<br>DTD 05/15/2009<br>DUE 05/15/2019 RATE 3 125<br>YIELD .3018MTY         | 12/23/09           | 01/11/11           | 36,281 25     | 34,447 50<br>34,447 50         | 1,833 75<br>1,833 75                      | 148 14<br>1,685 61                  |
| 125000120        | 92,000   | U S TREASURY NOTES SER C-2019<br>DTD 05/15/2009<br>DUE 05/15/2019 RATE 3 125<br>YIELD .1959MTY         | 12/23/09           | 08/09/11           | 99,690 63     | 88,032 50<br>88,032 50         | 11,658 13<br>11,658 13                    | 592 94<br>11,065 19                 |
| Total            |          |                                                                                                        |                    |                    | \$ 700,499 22 | \$ 677,011 42<br>\$ 669,813 94 | \$ 23,487 80<br>\$ 30,685 28              | \$ 2,644 69<br>\$ 28,040 59         |

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-06236-15 507

## Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description                                                                                      | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)   | Gain      |
|------------------|----------|-----------------------------------------------------------------------------------------------------------|--------------------|--------------------|---------------|-------------|----------|-----------|
| 125000030        | 30       | HERBALIFE LTD<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction.             | 09/10/10           | 03/08/11           | \$ 2,329.45   | \$ 1,734.46 |          | \$ 594.99 |
| 125000040        | 120      | CHECK POINT SOFTWARE TECH<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction.  | 07/30/10           | 03/08/11           | 5,935.90      | 4,045.96    |          | 1,889.94  |
| 125000080        | 110      | BMC SOFTWARE INC<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction.           | 02/09/11           | 03/08/11           | 5,497.34      | 5,344.00    |          | 153.34    |
| 125000130        | 120      | BROADCOM CORP CL A<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction.         | 03/03/11           | 03/08/11           | 4,948.82      | 5,075.51    | (126.69) |           |
| 125000140        | 250      | BROWN FORMAN CORP CL B<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction.    | 06/04/10           | 02/08/11           | 16,716.07     | 13,981.40   |          | 2,734.67  |
| 125000150        | 148      | BROWN FORMAN CORP CL B<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction.    | 06/04/10           | 02/09/11           | 9,854.38      | 8,276.99    |          | 1,577.39  |
| 125000180        | 40       | CONTINENTAL RESOURCES INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction. | 01/11/11           | 03/08/11           | 2,667.94      | 2,504.67    |          | 163.27    |
| 125000190        | 100      | COSTCO WHOLESALE CORP NEW<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction. | 09/10/10           | 03/08/11           | 7,378.07      | 5,936.94    |          | 1,441.13  |

2011 YEAR END SUMMARY

# MorganStanley SmithBarney

Ref 00000831 00007019

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## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-06236-15 507

### Details of Short Term Gain (Loss) 2011 - continued

| Reference number | Quantity   | Security Description                                                                                         | Opening Trade Date   | Closing Trade Date | Sale Proceeds         | Cost                  | (Loss)                   | Gain         |
|------------------|------------|--------------------------------------------------------------------------------------------------------------|----------------------|--------------------|-----------------------|-----------------------|--------------------------|--------------|
| 125000200        | 110        | DONALDSON CO INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction              | 03/10/10             | 03/08/11           | \$ 6,368.01           | \$ 4,880.65           |                          | \$ 1,487.36  |
| 125000240        | 304        | EXPEDITORS INTL OF WASH INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction   | 03/22/10             | 02/23/11           | 14,343.78             | 11,669.37             |                          | 2,674.41     |
| 125000270        | 355<br>209 | FTI CONSULTING INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction            | 06/18/10<br>06/21/10 | 03/03/11           | 12,363.42<br>7,278.74 | 15,949.97<br>9,390.14 | (3,586.55)<br>(2,111.40) |              |
| 125000390        | 140        | JOHNSON CONTROLS INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction          | 12/02/10             | 03/08/11           | 5,793.60              | 5,489.90              |                          | 303.70       |
| 125000530        | 80         | ROBERT HALF INTERNATIONAL INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 01/11/11             | 03/08/11           | 2,626.34              | 2,589.46              |                          | 36.88        |
| 125000610        | 40         | VISA INC COM CL A<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction             | 04/16/10             | 03/08/11           | 2,949.14              | 3,721.57              | (772.43)                 |              |
| Total            |            |                                                                                                              |                      |                    | \$ 107,051.00         | \$ 100,590.99         | (\$ 6,597.07)            | \$ 13,057.08 |

6,460.01

2 0 1 1 Y E A R E N D S U M M A R Y

# MorganStanley SmithBarney

Ref 00000831 00007020

## 2011 Year End Summary

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HARDEN FOUNDATION

Account Number 593-06236-15 507

### Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

| Reference number | Quantity  | Security Description                                                                                     | Opening Trade Date   | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss)     | Gain        |
|------------------|-----------|----------------------------------------------------------------------------------------------------------|----------------------|--------------------|----------------------|----------------------|------------|-------------|
| 125000010        | 120       | ACCENTURE PLC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction             | 12/27/05             | 03/08/11           | \$ 6,271.07          | \$ 3,479.33          |            | \$ 2,791.74 |
| 125000020        | 70        | BUNGE LIMITED<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction             | 04/02/08             | 03/08/11           | 4,964.30             | 6,207.63             | (1,243.33) |             |
| 125000050        | 69        | CHECK POINT SOFTWARE TECH<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 07/30/10             | 08/31/11           | 3,760.34             | 2,326.42             |            | 1,433.92    |
| 125000060        | 100       | ALLERGAN INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction              | 12/20/07             | 03/08/11           | 7,216.06             | 6,364.00             |            | 852.06      |
| 125000070        | 80        | AMPHENOL CORP CLASS A<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction     | 02/20/09             | 03/08/11           | 4,661.51             | 2,022.15             |            | 2,639.36    |
| 125000090        | 80        | C R BARD INC NEW JERSEY<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction   | 06/23/06             | 03/08/11           | 7,755.05             | 5,725.39             |            | 2,029.66    |
| 125000100        | 110<br>92 | C R BARD INC NEW JERSEY<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction   | 06/23/06<br>02/05/09 | 09/23/11           | 9,412.50<br>7,872.28 | 7,872.42<br>7,965.31 | (93.03)    | 1,540.08    |
| 125000110        | 30        | BECTON DICKINSON & CO<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction     | 07/23/08             | 03/08/11           | 2,400.85             | 2,565.47             | (164.62)   |             |

2 0 1 1 Y E A R E N D S U M M A R Y

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-06236-15 507

## Details of Long Term Gain (Loss) 2011--continued

| Reference number | Quantity | Security Description                                                                                       | Opening Trade Date   | Closing Trade Date | Sale Proceeds         | Cost                  | (Loss)                   | Gain     |
|------------------|----------|------------------------------------------------------------------------------------------------------------|----------------------|--------------------|-----------------------|-----------------------|--------------------------|----------|
| 125000120        | 260      | BECTON DICKINSON & CO<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction       | 07/23/08             | 11/10/11           | \$ 18,977 52          | \$ 22,234 06          | (\$ 3,256 54)            |          |
| 125000160        | 20       | CME GROUP INC<br>CLASS A<br>MorganStanley SmithBarney LLC<br>acted as principal                            | 03/27/08             | 03/08/11           | 6,059 28              | 9,983 30              | (3,924 02)               |          |
| 125000170        | 17<br>48 | CME GROUP INC<br>CLASS A<br>MorganStanley SmithBarney LLC<br>acted as your agent                           | 03/27/08<br>07/16/08 | 05/13/11           | 4,963 90<br>14,015 71 | 8,485 80<br>15,746 94 | (3,521 90)<br>(1,731 23) |          |
| 125000210        | 240      | EMC CORP-MASS<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction               | 12/17/09             | 03/08/11           | 6,461 20              | 4,015 75              |                          | 2,445 45 |
| 125000220        | 100      | ECOLAB INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                  | 01/21/10             | 03/08/11           | 4,798 27              | 4,608 08              |                          | 190 19   |
| 125000230        | 100      | EMERSON ELECTRIC CO<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction         | 03/27/08             | 03/08/11           | 6,021 10              | 5,148 62              |                          | 872 48   |
| 125000240        | 184      | EXPEDITORS INTL OF WASH INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 12/15/05             | 02/23/11           | 8,681 76              | 6,339 69              |                          | 2,342 07 |
| 125000250        | 70       | EXPRESS SCRIPTS INC COMMON<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction   | 12/01/05             | 03/08/11           | 3,826 12              | 1,522 68              |                          | 2,303 44 |
| 125000260        | 150      | FLIR SYSTEMS INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction            | 06/19/09             | 03/08/11           | 4,879 73              | 3,438 57              |                          | 1,441 16 |

2011 YEAR END SUMMARY

# MorganStanley SmithBarney

## 2011 Year End Summary

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Ref 00000831 00007022

HARDEN FOUNDATION

Account Number 593-06236-15 507

### Details of Long Term Gain (Loss) 2011 - continued

2 0 1 1 Y E A R E N D S U M M A R Y

| Reference number | Quantity | Security Description                                                                                       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)   | Gain      |
|------------------|----------|------------------------------------------------------------------------------------------------------------|--------------------|--------------------|---------------|-------------|----------|-----------|
| 125000280        | 130      | GILEAD SCIENCES INC<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction          | 07/24/07           | 03/08/11           | \$ 5,383 72   | \$ 4,940 41 |          | \$ 443 31 |
| 125000290        | 27       | GOLDMAN SACHS GROUP INC                                                                                    | 05/13/09           | 02/10/11           | 4,455 64      | 3,510 90    |          | 944 74    |
| 113              |          | MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                                | 06/03/09           |                    | 18,647 68     | 16,054 49   |          | 2,593 19  |
| 125000300        | 100      | ITT CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                    | 12/10/07           | 03/08/11           | 5,709 26      | 6,536 19    | (826.93) |           |
| 125000310        | 176      | ITT CORP                                                                                                   | 12/10/07           | 04/01/11           | 10,633 18     | 11,503.69   | (870 51) |           |
| 101              |          | MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                                | 02/25/09           |                    | 6,102 00      | 3,931.10    |          | 2,170 90  |
| 125000320        | 110      | ILLINOIS TOOL WORKS INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction     | 12/01/05           | 03/08/11           | 6,133 94      | 4,929 65    |          | 1,204 29  |
| 125000330        | 190      | ILLINOIS TOOL WORKS INC                                                                                    | 12/01/05           | 11/10/11           | 8,815.68      | 8,514.85    |          | 300 83    |
| 202              |          | MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                                | 02/05/09           |                    | 9,372.46      | 6,949.79    |          | 2,422.67  |
| 125000340        | 27       | INTL BUSINESS MACHINES CORP                                                                                | 06/12/09           | 03/08/11           | 4,399 29      | 2,939 67    |          | 1,459 62  |
| 13               |          | MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                                | 11/05/09           |                    | 2,118 18      | 1,601 30    |          | 516 88    |
| 125000350        | 127      | INTL BUSINESS MACHINES CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 11/05/09           | 06/15/11           | 20,547 45     | 15,643 45   |          | 4,904 00  |
| 125000360        | 130      | INTUIT INC<br>MorganStanley SmithBarney LLC<br>acted as principal<br>in this transaction                   | 12/20/07           | 03/08/11           | 6,811 09      | 3,913 65    |          | 2,897 44  |

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-06236-15 507

## Details of Long Term Gain: (Loss) 2011--continued

| Reference number | Quantity  | Security Description                                                                                         | Opening Trade Date   | Closing Trade Date | Sale Proceeds         | Cost                  | (Loss)   | Gain               |
|------------------|-----------|--------------------------------------------------------------------------------------------------------------|----------------------|--------------------|-----------------------|-----------------------|----------|--------------------|
| 125000370        | 125       | INTUIT INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                    | 12/20/07             | 05/31/11           | \$ 6,689 36           | \$ 3,763.12           |          | \$ 2,926.24        |
| 125000380        | 545       | JACOBS ENGINEERING GROUP INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction  | 12/05/05             | 01/11/11           | 25,369 59             | 17,685 25             |          | 7,684 34           |
| 125000400        | 40        | MCDONALDS CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                | 11/26/08             | 03/08/11           | 3,017.54              | 2,302 96              |          | 714.58             |
| 125000410        | 80        | MONSANTO CO NEW<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction               | 12/17/08             | 03/08/11           | 5,751.08              | 6,059.09              | (308 01) |                    |
| 125000420        | 70        | NIKE INC CL B<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                 | 04/11/08             | 03/08/11           | 6,252 97              | 4,704 36              |          | 1,548 61           |
| 125000430        | 100       | NORFOLK SOUTHERN CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction         | 07/25/08             | 03/08/11           | 6,573.13              | 6,896 53              | (323 40) |                    |
| 125000440        | 90        | OCCIDENTAL PETROLEUM CORP-DEL<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 02/25/10             | 03/08/11           | 9,107 82              | 7,012 61              |          | 2,095.21           |
| 125000450        | 70        | OMNICOM GROUP INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction             | 12/01/05             | 03/08/11           | 3,457 23              | 2,976 40              |          | 480 83             |
| 125000460        | 56<br>400 | OMNICOM GROUP INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction             | 12/01/05<br>12/05/05 | 04/27/11           | 2,757 28<br>19,694 85 | 2,381.12<br>17,080 00 |          | 376 16<br>2,614 85 |

2011 YEAR END SUMMARY

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-06236-15 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description                                                                               | Opening Trade Date   | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss)   | Gain                 |
|------------------|----------|----------------------------------------------------------------------------------------------------|----------------------|--------------------|----------------------|----------------------|----------|----------------------|
| 125000470        | 40       | PEPSICO INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction         | 01/06/10             | 03/08/11           | \$ 2,547 55          | \$ 2,458 00          |          | \$ 89 55             |
| 125000480        | 70       | PRAXAIR INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction         | 04/28/08             | 03/08/11           | 6,856 36             | 6,630.80             |          | 225 56               |
| 125000490        | 60       | PROCTER & GAMBLE CO<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 03/27/08             | 03/08/11           | 3,728 32             | 4,169 93             | (441 61) |                      |
| 125000500        | 308      | PROCTER & GAMBLE CO<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 03/27/08             | 06/13/11           | 20,526 94            | 21,405 63            | (878 69) |                      |
| 125000510        | 140      | QUALCOMM INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction        | 12/01/05             | 03/08/11           | 8,024 64             | 6,393.80             |          | 1,630 84             |
| 125000520        | 276      | QUALCOMM INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction        | 12/05/05             | 06/15/11           | 14,922 64            | 12,265.44            |          | 2,657.20             |
| 125000540        | 70       | ROSS STORES INC DE<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction  | 06/12/09             | 03/08/11           | 5,009 80             | 2,695 62             |          | 2,314 18             |
| 125000550        | 40<br>36 | ROSS STORES INC DE<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction  | 06/12/09<br>09/08/09 | 05/31/11           | 3,253 78<br>2,928 40 | 1,540 36<br>1,665 76 |          | 1,713 42<br>1,262 64 |
| 125000560        | 44<br>56 | SCHLUMBERGER LTD<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction    | 01/28/08<br>03/06/08 | 03/08/11           | 3,968 05<br>5,050 25 | 3,492 92<br>4,924 31 |          | 475 13<br>125 94     |

2 0 1 1 Y E A R E N D S U M M A R Y

# MorganStanley SmithBarney

Ref 0000831 00007025

## 2011 Year End Summary

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### HARDEN FOUNDATION

Account Number 593-06236-15 507

#### Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity   | Security Description                                                                                       | Opening Trade Date   | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss)                 | Gain                |
|------------------|------------|------------------------------------------------------------------------------------------------------------|----------------------|--------------------|----------------------|----------------------|------------------------|---------------------|
| 125000570        | 70         | STRYKER CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                | 12/05/05             | 03/08/11           | \$ 4,542 91          | \$ 3,286 57          |                        | \$ 1,256 34         |
| 125000580        | 90         | TEVA PHARMACEUTICAL INDS<br>LTD ADR<br>MorganStanley SmithBarney LLC<br>acted as principal                 | 09/19/08             | 03/08/11           | 4,493 61             | 4,091 78             |                        | 401 83              |
| 125000590        | 60         | UNITED TECHNOLOGIES CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction    | 12/12/07             | 03/08/11           | 5,023 70             | 4,629 33             |                        | 394.37              |
| 125000600        | 100        | VARIAN MEDICAL SYSTEMS INC<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction  | 12/15/05             | 03/08/11           | 6,986 06             | 5,192.81             |                        | 1,793 25            |
| 125000620        | 30         | WATERS CORP<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction                 | 05/13/09             | 03/08/11           | 2,618 04             | 1,322 19             |                        | 1,295 85            |
| 125000630        | 130        | WELLS FARGO & CO NEW<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction        | 10/30/09             | 03/08/11           | 4,223 61             | 3,669 86             |                        | 553.75              |
| 125000640        | 410        | WESTERN UNION COMPANY (THE)<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 01/04/07             | 03/08/11           | 8,914 12             | 9,426 51             | (512.39)               |                     |
| 125000650        | 586        | WESTERN UNION COMPANY (THE)<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 01/04/07             | 04/25/11           | 12,485.90            | 13,473.02            | (987.12)               |                     |
| 125000660        | 379<br>368 | WESTERN UNION COMPANY (THE)<br>MorganStanley SmithBarney LLC<br>acted as your agent<br>in this transaction | 01/04/07<br>07/15/10 | 09/09/11           | 5,910 35<br>5,738 81 | 8,713.78<br>5,838 21 | (2,803.43)<br>(99.40)  |                     |
| <b>Total</b>     |            |                                                                                                            |                      |                    | <b>\$ 468,552 81</b> | <b>\$ 415,168 82</b> | <b>( \$ 21,986 16)</b> | <b>\$ 75,370 15</b> |

53,383.99

59,844.00

Ref. 00001233 00010472

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Earnings-2011- continued

## Dividends and Distributions, Section 2

| Reference number | Description                                                                                                                                                      | Nondividend distributions | Foreign tax paid | Cash liquidation distributions | Non cash liquidation distributions | Investment expense | NRA Withholding |
|------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|------------------|--------------------------------|------------------------------------|--------------------|-----------------|
| 160005100        | 87927Y201001<br>*** TELECOM ITALIA S P A<br>ADR (NEW) REPSTG SVG SHS<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT                               |                           | \$ 399.35        |                                |                                    |                    |                 |
| 160005200        | 892331307001<br>*** TOYOTA MOTOR CORP ADR NEW<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT                                                      |                           | 28.15            |                                |                                    |                    |                 |
| 160005400        | 930004205001<br>*** WACOAL CORP ADR<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT                                                                |                           | 32.78            |                                |                                    |                    |                 |
| 160005500        | 977874205001<br>*** WOLTERS KLUWER N V SP ADR<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT<br>A PORTION OF THIS INCOME HAS<br>BEEN RECLASSIFIED |                           | 229.50           |                                |                                    |                    |                 |
| Totals           |                                                                                                                                                                  |                           | \$ 5,997.23      |                                |                                    |                    |                 |

## Details of Short Term Gain (Loss)-2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description                                | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)   | Gain      |
|------------------|----------|-----------------------------------------------------|--------------------|--------------------|---------------|-------------|----------|-----------|
| 125000170        | 196      | DAIICHI SANKYO KABUSHIKI<br>KAISH-JPY               | 04/12/11           | 06/01/11           | \$ 3,759.20   | \$ 3,637.84 |          | \$ 121.36 |
| 125000220        | 86       | EAST JAPAN RY CO-JPY<br>CGMI AND/OR ITS AFFILIATES  | 02/24/11           | 06/01/11           | 819.56        | 994.93      | (175.37) |           |
| 125000300        | 231      | GAZPROM OAO SPONS ADR<br>CGMI AND/OR ITS AFFILIATES | 10/15/10           | 03/08/11           | 7,069.34      | 4,953.36    |          | 2,115.98  |

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference Number             | Quantity      | Security Description                                | Opening Trade Date   | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss)         | Gain               |
|------------------------------|---------------|-----------------------------------------------------|----------------------|--------------------|----------------------|----------------------|----------------|--------------------|
| 125000310                    | 237           | GAZPROM OAO SPONS ADR<br>CGMI AND/OR ITS AFFILIATES | 10/15/10             | 06/01/11           | \$ 3,419.84          | \$ 2,541.01          |                | \$ 878.83          |
| 125000460                    | .6422<br>2278 | KAZAKHGOLD GROUP LTD<br>GOR<br>USD                  | 06/16/11<br>06/17/11 | 07/26/11           | 2.27<br>81           | 2.61<br>92           | (.34)<br>(.11) |                    |
| MERGER 07/26/11 678129107001 |               |                                                     |                      |                    |                      |                      |                |                    |
| 125000610                    | 140           | PT TELEKOMUNAKASI<br>INDONESIA SPONS ADR            | 02/17/11             | 06/01/11           | 5,015.29             | 4,680.84             |                | 334.45             |
| 125000800                    | 184           | STATOILHYDRO ASA SPONS<br>ADR                       | 11/09/10             | 04/27/11           | 5,209.89             | 3,917.08             |                | 1,292.81           |
| 125000810                    | 60            | STATOILHYDRO ASA SPONS<br>ADR                       | 11/09/10             | 06/01/11           | 1,517.97             | 1,277.31             |                | 240.66             |
| 125000820                    | 157<br>398    | STATOILHYDRO ASA SPONS<br>ADR                       | 11/09/10<br>11/10/10 | 10/18/11           | 3,914.99<br>9,924.61 | 3,342.30<br>8,476.09 |                | 572.69<br>1,448.52 |
| 125000830                    | 15            | SUMITOMO MITSU TR SPON<br>ADR                       | 04/01/11             | 04/01/11           | 52                   | 52                   |                |                    |
| MERGER 04/01/11 865625206001 |               |                                                     |                      |                    |                      |                      |                |                    |
| 125000840                    | 552           | SUMITOMO MITSU TR SPON<br>ADR                       | 04/01/11             | 06/01/11           | 1,854.68             | 1,931.95             | (77.27)        |                    |
| 125000850                    | 915           | SUMITOMO TRUST & BANKING<br>CO LT-JPY SPONS ADR     | 09/14/10             | 04/01/11           | 4,771.62             | 4,846.94             | (75.32)        |                    |
| 125000860                    | 120           | SUNCOR ENERGY INC NEW                               | 05/21/10             | 02/08/11           | 4,862.43             | 3,474.72             |                | 1,387.71           |
| 125000870                    | 89            | SUNCOR ENERGY INC NEW                               | 05/21/10             | 03/03/11           | 4,147.68             | 2,577.08             |                | 1,570.60           |
| 125000880                    | 184           | SUNCOR ENERGY INC NEW                               | 05/21/10             | 04/07/11           | 8,156.38             | 5,327.90             |                | 2,828.48           |
| 125000970                    | 107           | TOYOTA MOTOR CORP ADR NEW                           | 09/09/10             | 06/01/11           | 8,741.30             | 7,481.04             |                | 1,260.26           |
| Total                        |               |                                                     |                      |                    | \$ 73,188.38         | \$ 59,464.44         | (13,723.94)    | \$ 14,052.35       |

## 2011 Year End Summary

Ref 00001233 00010474

## HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

2011 YEAR END SUMMARY

| Reference number | Quantity | Security Description                      | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain      |
|------------------|----------|-------------------------------------------|--------------------|--------------------|---------------|-------------|-------------|-----------|
| 125000010        | 199      | AXIS CAPITAL HOLDINGS LTD-US              | 10/16/09           | 06/01/11           | \$ 6,541.02   | \$ 6,011.59 |             | \$ 529.43 |
| 125000020        | 68       | AXIS CAPITAL HOLDINGS LTD-US              | 10/16/09           | 11/07/11           | 2,137.24      | 2,054.21    |             | 83.03     |
| 125000030        | 296      | UBS AG (NEW)                              | 01/07/08           | 06/01/11           | 5,701.44      | 12,135.01   | (6,433.57)  |           |
| 125000040        | 515      | ALCATEL-LUCENT ADR                        | 03/28/07           | 02/03/11           | 1,721.51      | 6,144.52    | (4,423.01)  |           |
|                  | 309      |                                           | 04/02/07           |                    | 1,032.91      | 3,661.77    | (2,628.86)  |           |
|                  | 218      |                                           | 04/03/07           |                    | 728.72        | 2,618.18    | (1,889.46)  |           |
|                  | 367      |                                           | 05/02/07           |                    | 1,226.78      | 4,898.64    | (3,671.86)  |           |
|                  | 980      |                                           | 07/25/07           |                    | 3,275.88      | 13,326.53   | (10,050.65) |           |
|                  | 101      |                                           | 09/14/07           |                    | 337.61        | 914.33      | (576.72)    |           |
| 125000050        | 263      | ALCATEL-LUCENT ADR                        | 09/14/07           | 02/15/11           | 1,198.20      | 2,380.89    | (1,182.69)  |           |
|                  | 605      |                                           | 09/20/07           |                    | 2,756.33      | 5,445.48    | (2,689.15)  |           |
|                  | 448      |                                           | 12/11/07           |                    | 2,041.05      | 3,624.10    | (1,583.05)  |           |
| 125000060        | 3,872    | ALCATEL-LUCENT ADR                        | 12/11/07           | 02/24/11           | 17,963.41     | 31,322.54   | (13,359.13) |           |
| 125000070        | 441      | ALLIANZ SE ADR CGMI AND/OR ITS AFFILIATES | 05/21/10           | 06/01/11           | 5,959.33      | 4,479.86    |             | 1,479.47  |
| 125000080        | 169      | ALUMINA LTD SPONSORED ADR                 | 12/07/06           | 01/18/11           | 1,666.82      | 3,457.93    | (1,791.11)  |           |
|                  | 46       |                                           | 08/07/06           |                    | 453.69        | 902.94      | (449.25)    |           |
|                  | 116      |                                           | 08/08/06           |                    | 1,144.09      | 2,275.69    | (1,131.60)  |           |
|                  | 64       |                                           | 11/20/07           |                    | 631.22        | 1,508.51    | (877.29)    |           |
|                  | 117      |                                           | 11/21/07           |                    | 1,153.94      | 2,753.25    | (1,599.31)  |           |
| 125000090        | 2        | ALUMINA LTD SPONSORED ADR                 | 11/21/07           | 06/01/11           | 19.20         | 47.06       | (27.86)     |           |
|                  | 316      |                                           | 12/12/07           |                    | 3,033.99      | 7,132.09    | (4,098.10)  |           |
| 125000100        | 199      | ANGLOGOLD ASHANTI LTD ADR                 | 03/19/08           | 06/01/11           | 9,080.55      | 6,655.14    |             | 2,425.41  |
| 125000110        | 80       | ANGLOGOLD ASHANTI LTD ADR                 | 03/19/08           | 12/16/11           | 3,312.03      | 2,675.43    |             | 636.60    |
|                  | 47       |                                           | 07/22/08           |                    | 1,945.81      | 1,156.89    |             | 788.92    |
| 125000120        | 242      | ASTRAZENECA PLC SPON ADR                  | 01/12/10           | 06/01/11           | 12,518.89     | 11,503.83   |             | 1,015.06  |
| 125000130        | 130      | BARRICK GOLD CORP CAD                     | 04/30/08           | 04/08/11           | 7,097.78      | 4,976.31    |             | 2,121.47  |
|                  | 53       |                                           | 09/16/08           |                    | 2,893.71      | 1,467.10    |             | 1,426.61  |
| 125000140        | 99       | BARRICK GOLD CORP CAD                     | 09/16/08           | 06/01/11           | 4,729.35      | 2,740.43    |             | 1,988.92  |

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain        |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|------------|-------------|
| 125000150        | 105      | BARRICK GOLD CORP CAD      | 09/16/08           | 08/22/11           | \$ 5,462.93   | \$ 2,906.51 |            | \$ 2,556.42 |
| 125000160        | 69       | TRADE AS OF 08/22/11       | 04/09/09           |                    | 3,589.92      | 1,992.28    |            | 1,597.64    |
| 125000180        | 190      | CAMECO CORP                | 09/10/08           | 06/01/11           | 5,381.36      | 4,607.48    |            | 773.88      |
| 125000180        | 1        | DAIWA HOUSE INDUSTRIES ADR | 01/08/08           | 03/30/11           | 123.60        | 127.80      | (4.20)     |             |
|                  | 58       | -USD-                      | 02/13/08           |                    | 7,168.62      | 6,087.29    |            | 1,081.33    |
|                  | 14       | CGMI AND/OR ITS AFFILIATES | 03/07/08           |                    | 1,730.35      | 1,338.16    |            | 392.19      |
| 125000190        | 21       | DAIWA HOUSE INDUSTRIES ADR | 03/07/08           | 06/01/11           | 2,499.16      | 2,007.24    |            | 491.92      |
|                  |          | -USD-                      |                    |                    |               |             |            |             |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |             |
| 125000200        | 58       | DAIWA HOUSE INDUSTRIES ADR | 03/07/08           | 08/12/11           | 6,932.70      | 5,543.79    |            | 1,388.91    |
|                  | 20       | -USD-                      | 09/03/08           |                    | 2,390.58      | 1,859.73    |            | 530.85      |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |             |
| 125000210        | 37       | DAIWA HOUSE INDUSTRIES ADR | 09/03/08           | 08/15/11           | 4,424.13      | 3,440.50    |            | 983.63      |
|                  |          | -USD-                      |                    |                    |               |             |            |             |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |             |
| 125000230        | 549      | ELECTRICITE DE FRANCE-EUR  | 03/23/10           | 06/01/11           | 4,265.64      | 5,681.65    | (1,416.01) |             |
| 125000240        | 161      | EMBRAER S A-BRL            | 11/03/09           | 01/27/11           | 5,213.59      | 3,221.53    |            | 1,992.06    |
| 125000250        | 100      | EMBRAER S A-BRL            | 11/03/09           | 06/01/11           | 3,197.15      | 2,000.95    |            | 1,196.20    |
| 125000260        | 142      | EMBRAER S A-BRL            | 11/03/09           | 08/01/11           | 4,132.11      | 2,841.35    |            | 1,290.76    |
|                  | 295      |                            | 05/14/10           |                    | 8,584.30      | 6,650.75    |            | 1,933.55    |
| 125000270        | 1,045    | FINMECCANICA SPA-EUR       | 05/14/10           | 06/01/11           | 6,290.77      | 6,062.67    |            | 228.10      |
| 125000280        | 250      | FINMECCANICA SPA-EUR       | 05/14/10           | 10/12/11           | 937.91        | 1,450.40    | (512.49)   |             |
|                  | 1,145    |                            | 05/19/10           |                    | 4,295.60      | 6,440.16    | (2,144.56) |             |
| 125000290        | 33       | FUJIFILM HLDGS CORP-JPY    | 05/10/06           | 06/01/11           | 937.18        | 1,161.63    | (224.45)   |             |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |             |
| 125000320        | 143      | GLAXOSMITHKLINE PLC SP ADR | 01/08/10           | 06/01/11           | 6,148.05      | 5,915.64    |            | 232.41      |
| 125000330        | 194      | GLAXOSMITHKLINE PLC SP ADR | 01/08/10           | 06/07/11           | 8,331.19      | 8,025.41    |            | 305.78      |
| 125000340        | 60       | GLAXOSMITHKLINE PLC SP ADR | 01/08/10           | 11/08/11           | 2,665.65      | 2,482.09    |            | 183.56      |
|                  | 68       |                            | 01/14/10           |                    | 3,021.06      | 2,852.80    |            | 168.26      |
| 125000350        | 327      | GOLD FIELDS LTD SPONS ADR  | 02/01/08           | 06/01/11           | 5,304.33      | 4,602.53    |            | 701.80      |
|                  | 803      |                            | 02/06/08           |                    | 13,025.61     | 11,153.19   |            | 1,872.42    |
| 125000360        | 82       | GOLD FIELDS LTD SPONS ADR  | 02/06/08           | 10/05/11           | 1,219.31      | 1,138.93    |            | 80.38       |
|                  | 103      | TRADE AS OF 10/05/11       | 04/08/08           |                    | 1,531.58      | 1,428.59    |            | 102.99      |
| 125000370        | 45       | HACHIJUNI BANK LTD-JPY     | 06/20/08           | 06/01/11           | 2,451.10      | 2,916.61    | (465.51)   |             |
|                  |          | ADR                        |                    |                    |               |             |            |             |

Ref 00001233 00010476

## HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long Term Gain (Loss) 2011 continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|------------|-----------|
| 125000380        | 170      | IMPALA PLATINUM HOLDINGS   | 09/02/08           | 01/21/11           | \$ 5,306 48   | \$ 4,314 65 |            | \$ 991 83 |
|                  | 254      | LTD SPONSORED ADR          | 09/05/08           |                    | 7,928 50      | 6,239 38    |            | 1,689 12  |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000390        | 53       | IMPALA PLATINUM HOLDINGS   | 09/05/08           | 01/24/11           | 1,658 30      | 1,301 92    |            | 356 38    |
|                  | 160      | LTD SPONSORED ADR          | 03/12/09           |                    | 5,006 17      | 2,216 00    |            | 2,790 17  |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000400        | 219      | KAO CORP-JPY               | 03/16/09           | 06/01/11           | 5,525 26      | 4,239 10    |            | 1,286 16  |
|                  |          | SPONS ADR                  |                    |                    |               |             |            |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000410        | 164      | KAO CORP-JPY               | 03/16/09           | 08/04/11           | 4,468 96      | 3,174 48    |            | 1,294 48  |
|                  |          | SPONS ADR                  |                    |                    |               |             |            |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000420        | 260      | KAO CORP-JPY               | 03/16/09           | 08/09/11           | 6,726 98      | 5,032 72    |            | 1,694 26  |
|                  |          | SPONS ADR                  |                    |                    |               |             |            |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000430        | 234      | KAO CORP-JPY               | 03/16/09           | 08/16/11           | 6,093 31      | 4,529 45    |            | 1,563 86  |
|                  |          | SPONS ADR                  |                    |                    |               |             |            |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000440        | 207      | KAO CORP-JPY               | 03/16/09           | 08/17/11           | 5,442 64      | 4,006 82    |            | 1,435 82  |
|                  |          | SPONS ADR                  |                    |                    |               |             |            |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000450        | 46       | KAO CORP-JPY               | 03/16/09           | 08/25/11           | 1,177 42      | 890 40      |            | 287 02    |
|                  | 460      | SPONS ADR                  | 05/18/09           |                    | 11,774 16     | 9,558 92    |            | 2,215 24  |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000470        | 347      | KINROSS GOLD CORP-CAD      | 07/08/09           | 06/01/11           | 5,514 42      | 6,232 40    | (717 98)   |           |
|                  | 246      | (NEW)                      | 07/13/09           |                    | 3,909 35      | 4,319 00    | (409 65)   |           |
| 125000480        | 382      | KINROSS GOLD CORP-CAD      | 07/13/09           | 08/31/11           | 6,622 72      | 6,706 73    | (84 01)    |           |
|                  |          | (NEW)                      |                    |                    |               |             |            |           |
| 125000490        | 10       | KOREA ELEC PWR CORP SP ADR | 12/02/05           | 06/01/11           | 131 91        | 168 20      | (36 29)    |           |
| 125000500        | 270      | MS&AD INSURANCE UNSPON ADR | 10/25/07           | 06/01/11           | 3,072 54      | 4,977 05    | (1,904 51) |           |
|                  | 282      |                            | 11/21/07           |                    | 3,209 10      | 5,197 68    | (1,988 58) |           |
|                  | 221      |                            | 12/05/07           |                    | 2,514 93      | 3,994 28    | (1,479 35) |           |
| 125000510        | 166      | MAGNA INTERNATIONAL INC    | 07/15/08           | 01/14/11           | 9,740 13      | 4,575 65    |            | 5,164 48  |
| 125000520        | 83       | NEWCREST MINING LTD SPON   | 12/12/07           | 06/01/11           | 3,469 33      | 2,448 50    |            | 1,020 83  |
|                  | 132      | ADR                        | 08/13/08           |                    | 5,517 49      | 2,789 34    |            | 2,728 15  |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000530        | 374      | NEXEN INC                  | 12/11/07           | 03/04/11           | 10,151 84     | 10,905 80   | (753 96)   |           |

Ref 00001233 00010477

## 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description                                  | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)      | Gain     |
|------------------|----------|-------------------------------------------------------|--------------------|--------------------|---------------|-------------|-------------|----------|
| 125000540        | 41       | NEXEN INC                                             | 12/11/07           | 04/04/11           | \$ 1,038.49   | \$ 1,195.56 | (\$ 157.07) |          |
|                  | 155      |                                                       | 08/07/08           |                    | 3,926.01      | 4,676.27    | (750.26)    |          |
|                  | 77       |                                                       | 02/02/09           |                    | 1,950.34      | 1,095.53    |             | 854.81   |
| 125000550        | 112      | NEXEN INC                                             | 02/02/09           | 06/01/11           | 2,513.48      | 1,593.49    |             | 919.99   |
| 125000560        | 87       | NINTENDO CO LTD ADR NEW CGMI AND/OR ITS AFFILIATES    | 08/03/09           | 06/01/11           | 2,515.99      | 2,953.62    | (437.63)    |          |
| 125000570        | 168      | NIPPON TEL & TEL SPON ADR                             | 10/18/07           | 06/01/11           | 3,952.68      | 3,831.31    |             | 121.37   |
|                  | 379      |                                                       | 12/11/07           |                    | 8,917.05      | 9,023.42    | (106.37)    |          |
| 125000580        | 369      | NIPPON TEL & TEL SPON ADR                             | 12/11/07           | 10/04/11           | 8,631.51      | 8,795.34    | (163.83)    |          |
| 125000590        | 387      | NOKIA CORP SPONSORED ADR                              | 02/02/09           | 06/01/11           | 2,600.59      | 4,676.66    | (2,076.07)  |          |
|                  | 429      |                                                       | 02/03/09           |                    | 2,882.82      | 5,188.84    | (2,306.02)  |          |
| 125000600        | 364      | PANASONIC CORP ADR                                    | 08/17/07           | 06/01/11           | 4,190.28      | 6,440.25    | (2,249.97)  |          |
| 125000620        | 113      | ROHM CO LTD-JPY CGMI AND/OR ITS AFFILIATES            | 01/16/09           | 06/01/11           | 3,176.36      | 2,808.66    |             | 367.70   |
| 125000630        | 16       | ROYAL DUTCH SHELL PLC ADR                             | 12/02/05           | 04/07/11           | 1,183.97      | 1,059.91    |             | 124.06   |
|                  | 50       | CL B                                                  | 03/03/06           |                    | 3,699.91      | 3,235.41    |             | 464.50   |
|                  | 64       |                                                       | 02/27/07           |                    | 4,735.88      | 4,302.66    |             | 433.22   |
|                  | 7        |                                                       | 12/11/07           |                    | 517.99        | 564.55      | (46.56)     |          |
| 125000640        | 89       | ROYAL DUTCH SHELL PLC ADR                             | 12/11/07           | 06/01/11           | 6,283.63      | 7,177.85    | (894.22)    |          |
|                  |          | CL B                                                  |                    |                    |               |             |             |          |
| 125000650        | 105      | ROYAL DUTCH SHELL PLC ADR                             | 12/11/07           | 08/03/11           | 7,279.55      | 8,468.25    | (1,188.70)  |          |
|                  |          | CL B                                                  |                    |                    |               |             |             |          |
| 125000660        | 149      | ROYAL DUTCH SHELL PLC ADR                             | 12/11/07           | 10/06/11           | 9,461.38      | 12,016.85   | (2,555.47)  |          |
|                  | 63       | CL B                                                  | 02/14/08           |                    | 4,000.45      | 4,431.54    | (431.09)    |          |
|                  | 177      |                                                       | 02/02/10           |                    | 11,239.35     | 9,750.45    |             | 1,488.90 |
| 125000670        | 406      | SK TELECOM LTD SPON ADR                               | 02/19/08           | 05/24/11           | 7,583.32      | 9,191.43    | (1,608.11)  |          |
| 125000680        | 15       | SK TELECOM LTD SPON ADR                               | 02/19/08           | 06/01/11           | 276.91        | 339.59      | (62.68)     |          |
|                  | 278      |                                                       | 04/23/08           |                    | 5,132.03      | 6,104.88    | (972.85)    |          |
|                  | 101      |                                                       | 04/29/08           |                    | 1,864.51      | 2,244.43    | (379.92)    |          |
| 125000690        | 74       | SANOFI SPONS ADR                                      | 12/11/07           | 06/01/11           | 2,864.48      | 3,527.93    | (663.45)    |          |
|                  | 48       |                                                       | 04/08/08           |                    | 1,858.04      | 1,844.14    |             | 13.90    |
| 125000700        | 129      | SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES | 01/08/09           | 06/01/11           | 6,858.79      | 7,632.80    | (774.01)    |          |
| 125000710        | 59       | SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES | 01/08/09           | 06/23/11           | 3,143.89      | 3,490.97    | (347.08)    |          |
|                  | 51       |                                                       | 02/19/09           |                    | 2,717.60      | 2,486.34    |             | 231.26   |

Ref. 00001233 00010478

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost        | (Loss)     | Gain      |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|-------------|------------|-----------|
| 125000720        | 69       | SEVEN & I HLDGS CO LTD-JPY | 02/19/09           | 09/30/11           | \$ 3,934.77   | \$ 3,363.86 |            | \$ 570.91 |
|                  | 22       | CGMI AND/OR ITS AFFILIATES | 03/31/09           |                    | 1,254.57      | 964.87      |            | 289.70    |
| 125000730        | 39       | SEVEN & I HLDGS CO LTD-JPY | 03/31/09           | 12/19/11           | 2,103.58      | 1,710.44    |            | 393.14    |
|                  | 23       | CGMI AND/OR ITS AFFILIATES | 06/05/09           |                    | 1,240.57      | 1,088.03    |            | 152.54    |
| 125000740        | 91       | SHISEIDO LTD SPONSORED ADR | 12/07/05           | 06/01/11           | 1,539.69      | 1,615.83    | (76.14)    |           |
|                  | 333      | CGMI AND/OR ITS AFFILIATES | 12/12/07           |                    | 5,634.25      | 8,141.98    | (2,507.73) |           |
| 125000750        | 237      | SHISEIDO LTD SPONSORED ADR | 12/12/07           | 10/03/11           | 4,580.34      | 5,794.75    | (1,214.41) |           |
|                  | 132      | CGMI AND/OR ITS AFFILIATES | 12/18/08           |                    | 2,551.07      | 2,786.08    | (235.01)   |           |
| 125000760        | 53       | SIEMENS A G SPONS ADR      | 09/29/08           | 02/02/11           | 6,958.60      | 4,944.42    |            | 2,014.18  |
| 125000770        | 62       | SIEMENS A G SPONS ADR      | 09/29/08           | 02/08/11           | 7,886.79      | 5,784.03    |            | 2,102.76  |
| 125000780        | 43       | SIEMENS A G SPONS ADR      | 09/29/08           | 06/01/11           | 5,590.60      | 4,011.51    |            | 1,579.09  |
| 125000790        | 223      | SOCIETE GENERALE SPON ADR  | 01/16/09           | 06/01/11           | 2,559.99      | 1,886.67    |            | 673.32    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000850        | 1,485    | SUMITOMO TRUST & BANKING   | 01/11/08           | 04/01/11           | 7,744.07      | 10,216.50   | (2,472.43) |           |
|                  | 1,535    | CO LT-JPY SPONS ADR        | 03/10/08           |                    | 8,004.81      | 9,960.62    | (1,955.81) |           |
| 125000890        | 182      | SUNCOR ENERGY INC NEW      | 05/21/10           | 05/31/11           | 7,604.08      | 5,269.99    |            | 2,334.09  |
| 125000900        | 55       | SUNCOR ENERGY INC NEW      | 05/21/10           | 06/01/11           | 2,217.00      | 1,592.58    |            | 624.42    |
| 125000910        | 183      | SUNCOR ENERGY INC NEW      | 05/21/10           | 07/21/11           | 7,603.17      | 5,298.95    |            | 2,304.22  |
| 125000920        | 167      | SUNCOR ENERGY INC NEW      | 05/21/10           | 07/22/11           | 6,910.52      | 4,835.66    |            | 2,074.86  |
| 125000930        | 47       | SWISSCOM AG SPONS ADR      | 12/07/05           | 06/01/11           | 2,163.37      | 1,494.12    |            | 669.25    |
|                  | 130      | CGMI AND/OR ITS AFFILIATES | 02/01/06           |                    | 5,983.78      | 3,987.04    |            | 1,996.74  |
|                  | 42       |                            | 12/12/07           |                    | 1,933.22      | 1,638.00    |            | 295.22    |
| 125000940        | 370      | TAKEDA PHARMACEUTICAL CO   | 05/11/10           | 05/12/11           | 8,899.76      | 7,982.57    |            | 917.19    |
| 125000950        | 445      | TELECOM ITALIA S.P.A       | 12/07/05           | 03/21/11           | 5,932.62      | 11,179.51   | (5,246.89) |           |
|                  |          | ADR (NEW) REPSTG SVG SHS   |                    |                    |               |             |            |           |
| 125000960        | 320      | TELECOM ITALIA S.P.A       | 12/07/05           | 06/01/11           | 3,895.44      | 8,039.20    | (4,143.76) |           |
|                  |          | ADR (NEW) REPSTG SVG SHS   |                    |                    |               |             |            |           |
| 125000980        | 100      | VODAFONE GROUP PLC SPONS   | 08/03/06           | 06/01/11           | 2,659.15      | 2,175.26    |            | 483.89    |
|                  | 162      | ADR NEW                    | 07/22/08           |                    | 4,307.81      | 4,192.53    |            | 115.28    |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |
| 125000990        | 27       | WACOAL CORP ADR            | 01/18/06           | 06/01/11           | 1,625.05      | 1,860.49    | (235.44)   |           |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |               |             |            |           |

## 2011 Year End Summary

Ref 00001233 00010479

## HARDEN FOUNDATION

Account Number 593-09052-10 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost          | (Loss)          | Gain         |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|---------------|-----------------|--------------|
| 125001000        | 230      | WOLTERS KLUWER NV SP ADR   | 02/24/09           | 06/01/11           | \$ 5,078.30   | \$ 3,745.73   |                 | \$ 1,332.57  |
|                  | 107      | CGMI AND/OR ITS AFFILIATES | 02/25/09           |                    | 2,362.51      | 1,701.27      |                 | 661.24       |
|                  | 22       |                            | 04/29/09           |                    | 485.75        | 365.53        |                 | 120.22       |
| Total            |          |                            |                    |                    | \$ 598,762.43 | \$ 619,793.33 | (\$ 106,853.20) | \$ 83,822.30 |

## Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

## Deposits

| Reference number | Date     | Description                                              | Amount    |
|------------------|----------|----------------------------------------------------------|-----------|
| 210000100        | 06/30/11 | CONSULTING & ADVISORY SERVICES FROM 06/06/11 TO 06/30/11 | \$ 174.65 |
| 210000300        | 09/02/11 | TNT EXPRESS NV ADR                                       | 40        |
|                  |          | REV ADR FEE OF 05000/SHR                                 |           |
|                  |          | RECORD 08/11/11 PAY 09/30/11                             |           |
| 210000500        | 11/30/11 | CONSULTING & ADVISORY SERVICES FROM 10/31/11 TO 12/31/11 | 36.09     |
| Total            |          |                                                          | \$ 293.26 |

## Withdrawals

| Reference number | Date     | Description                                        | Referral number | Amount   |
|------------------|----------|----------------------------------------------------|-----------------|----------|
| 220000100        | 01/07/11 | ELECTRICITE DE FRANCE-EUR ADR FEE OF 01200 PER SHR |                 | \$ 34.40 |
|                  |          | RECORD 12/13/10 PAY 01/07/11                       |                 |          |
| 220000300        | 01/24/11 | EMBRAER S A-BRL ADR FEE OF 00250 PER SHR           |                 | 1.75     |
|                  |          | RECORD 12/23/10 PAY 01/24/11                       |                 |          |
| 220000500        | 02/04/11 | VODAFONE GROUP PLC SPONS ADR NEW                   |                 | 15.74    |
|                  |          | ADR FEE OF 01000 PER SHR                           |                 |          |
|                  |          | RECORD 11/19/10 PAY 02/04/11                       |                 |          |

| Reference number | Date     | Description                                              | Amount  |
|------------------|----------|----------------------------------------------------------|---------|
| 210000200        | 07/11/11 | TOYOTA MOTOR CORP ADR NEW                                | \$ 8.53 |
|                  |          | REV ADR FEE OF 02350/SHR                                 |         |
|                  |          | RECORD 03/30/11 PAY 06/30/11                             |         |
| 210000400        | 10/31/11 | CONSULTING & ADVISORY SERVICES FROM 10/14/11 TO 12/31/11 | 73.59   |

| Reference number | Date     | Description                                              | Referral number | Amount      |
|------------------|----------|----------------------------------------------------------|-----------------|-------------|
| 220000200        | 01/21/11 | CONSULTING & ADVISORY SERVICES FROM 01/01/11 TO 03/31/11 |                 | \$ 3,628.19 |
| 220000400        | 02/03/11 | SIEMENS A G SPONS ADR ADR FEE OF 02000 PER SHR           |                 | 6.40        |
|                  |          | RECORD 01/25/11 PAY 02/03/11                             |                 |             |
| 220000600        | 04/12/11 | SK TELECOM LTD SPON ADR ADR FEE OF 02500 PER SHR         |                 | 69.83       |
|                  |          | RECORD 12/31/10 PAY 04/12/11                             |                 |             |

2011 YEAR END SUMMARY

-23,030.09  
Total  
-9,306.55

## 2011 Year End Summary

Ref 00001119 00009547

HARDEN FOUNDATION

Account Number 593-08393-10 507

## Details of Earnings 2011 - continued

## Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax

| Reference number | Description                                                                                                           | Nondividend distributions | Foreign tax paid | Cash liquidation distributions | Non cash liquidation distributions | Investment expense | NRA Withholding |
|------------------|-----------------------------------------------------------------------------------------------------------------------|---------------------------|------------------|--------------------------------|------------------------------------|--------------------|-----------------|
| 160000300        | 008474108001<br>*** AGNICO EAGLE MINES LTD<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT              |                           | \$ 372 60        |                                |                                    |                    |                 |
| 160001900        | 380956409001<br>*** GOLDCORP INC NEW<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT                    |                           | 394 56           |                                |                                    |                    |                 |
| 160003000        | 73755L107001<br>*** POTASH CORP SASK INC.<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT               |                           | 39 38            |                                |                                    |                    |                 |
| 160003500        | 881624209001<br>*** TEVA PHARMACEUTICAL INDS<br>LTD ADR<br>THIS AMOUNT INCLUDES TAXES<br>PAID TO A FOREIGN GOVERNMENT |                           | 143 39           |                                |                                    |                    |                 |
| Totals           |                                                                                                                       |                           | \$ 949 93        |                                |                                    |                    |                 |

## Details of Short-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

| Reference number | Quantity | Security Description | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost          | (Loss)     | Gain         |
|------------------|----------|----------------------|--------------------|--------------------|---------------|---------------|------------|--------------|
| 125000010        | 3,973    | ACCENTURE PLC        | 02/01/11           | 08/04/11           | \$ 230,954 80 | \$ 207,986 55 |            | \$ 22,968 25 |
|                  | 802      |                      | 02/17/11           |                    | 46,621 13     | 43,633 61     |            | 2,987 52     |
| 125000020        | 450      | ABBOTT LABORATORIES  | 03/01/10           | 01/11/11           | 21,537 99     | 24,397 78     | (2,859 79) |              |

Ref 00001119 00009548

## HARDEN FOUNDATION

Account Number 593-08393-10 507

## Details of Short-Term Gain (Loss)-2011 - continued

| Reference number | Quantity | Security Description                | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)        | Gain      |
|------------------|----------|-------------------------------------|--------------------|--------------------|---------------|--------------|---------------|-----------|
| 125000030        | 650      | ABBOTT LABORATORIES                 | 03/01/10           | 02/01/11           | \$ 29,375.14  | \$ 35,241.25 | (\$ 5,866.11) |           |
|                  | 600      |                                     | 06/11/10           |                    | 27,115.52     | 28,148.46    | (1,032.94)    |           |
|                  | 1,150    |                                     | 07/13/10           |                    | 51,971.41     | 55,026.01    | (3,054.60)    |           |
| 125000040        | 1,990    | ABBOTT LABORATORIES                 | 08/23/11           | 12/06/11           | 108,721.56    | 99,798.30    |               | 8,923.26  |
| 125000060        | 5,300    | ALLSCRIPTS HEALTHCARE SOLUTIONS INC | 04/29/11           | 09/02/11           | 91,695.12     | 114,151.93   | (22,456.81)   |           |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000070        | 5,300    | ALLSCRIPTS HEALTHCARE SOLUTIONS INC | 04/29/11           | 09/06/11           | 88,428.27     | 114,151.93   | (25,723.66)   |           |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000110        | 1,800    | AUTODESK INC                        | 06/21/11           | 08/08/11           | 51,450.93     | 66,090.60    | (14,639.67)   |           |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000120        | 604      | CATERPILLAR INC                     | 09/20/10           | 04/07/11           | 66,711.42     | 44,584.44    |               | 22,126.98 |
| 125000130        | 700      | CATERPILLAR INC                     | 09/20/10           | 09/02/11           | 60,250.71     | 51,670.71    |               | 8,580.00  |
| 125000140        | 229      | CATERPILLAR INC                     | 10/26/10           | 09/22/11           | 16,932.19     | 18,023.74    | (1,091.55)    |           |
| 125000150        | 2,500    | CERNER CORP                         | 09/28/10           | 08/04/11           | 156,589.74    | 100,913.12   |               | 55,676.62 |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000160        | 4,102    | CHESAPEAKE ENERGY CORP              | 01/26/11           | 08/04/11           | 130,657.68    | 114,525.38   |               | 16,132.30 |
| 125000170        | 2,598    | CHESAPEAKE ENERGY CORP              | 01/26/11           | 08/05/11           | 80,368.88     | 72,534.60    |               | 7,834.28  |
|                  | 1,902    |                                     | 02/01/11           |                    | 58,838.19     | 57,153.20    |               | 1,684.99  |
| 125000280        | 1,100    | FLUOR CORP NEW                      | 03/04/10           | 01/26/11           | 78,812.38     | 47,777.29    |               | 31,035.09 |
| 125000300        | 400      | FLUOR CORP NEW                      | 02/07/11           | 08/18/11           | 22,447.61     | 28,402.32    | (5,954.71)    |           |
|                  | 500      |                                     | 04/29/11           |                    | 28,059.51     | 34,859.00    | (6,799.49)    |           |
| 125000320        | 3,300    | INFORMATICA CORP                    | 10/28/10           | 06/01/11           | 193,642.91    | 133,299.87   |               | 60,343.04 |
|                  | 500      | CGMI AND/OR ITS AFFILIATES          | 02/07/11           |                    | 29,339.84     | 24,399.00    |               | 4,940.84  |
| 125000340        | 264      | INTUITIVE SURGICAL INC              | 10/21/10           | 09/01/11           | 102,124.32    | 67,509.55    |               | 34,614.77 |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000350        | 400      | INTUITIVE SURGICAL INC              | 10/21/10           | 09/12/11           | 146,713.06    | 102,287.20   |               | 44,425.86 |
|                  |          | CGMI AND/OR ITS AFFILIATES          |                    |                    |               |              |               |           |
| 125000360        | 136      | INTUITIVE SURGICAL INC              | 10/21/10           | 10/04/11           | 48,457.65     | 34,777.65    |               | 13,680.00 |
|                  | 264      | CGMI AND/OR ITS AFFILIATES          | 12/21/10           |                    | 94,064.85     | 70,275.96    |               | 23,788.89 |
| 125000380        | 3,300    | JOHNSON CONTROLS INC                | 11/02/10           | 08/31/11           | 105,332.32    | 116,005.23   | (10,672.91)   |           |
| 125000390        | 3,300    | JOHNSON CONTROLS INC                | 11/02/10           | 09/09/11           | 94,747.12     | 116,005.23   | (21,258.11)   |           |
| 125000400        | 3,300    | KIMBERLY CLARK CORP                 | 09/09/10           | 02/01/11           | 213,083.83    | 218,112.84   | (5,029.01)    |           |

2011 YEAR END SUMMARY

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-08393-10 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds   | Cost            | (Loss)          | Gain          |
|------------------|----------|----------------------------|--------------------|--------------------|-----------------|-----------------|-----------------|---------------|
| 125000410        | 2,500    | MEDCO HEALTH SOLUTIONS INC | 06/28/10           | 03/17/11           | \$ 133,175.19   | \$ 142,229.50   | (\$ 9,054.31)   |               |
|                  | 1,130    |                            | 07/22/10           |                    | 60,195.18       | 56,463.61       |                 | 3,731.57      |
|                  | 770      |                            | 09/01/10           |                    | 41,017.96       | 34,269.85       |                 | 6,748.11      |
| 125000420        | 287      | NIKE INC CL B              | 02/07/11           | 04/05/11           | 22,280.28       | 24,741.64       | (2,461.36)      |               |
| 125000430        | 836      | POLYCOM INC                | 01/11/11           | 08/18/11           | 18,544.46       | 17,066.15       |                 | 1,478.31      |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |                 |                 |                 |               |
| 125000440        | 8,200    | POLYCOM INC                | 01/11/11           | 09/06/11           | 171,184.01      | 167,395.21      |                 | 3,788.80      |
|                  |          | CGMI AND/OR ITS AFFILIATES |                    |                    |                 |                 |                 |               |
| 125000450        | 2,400    | POTASH CORP SASK INC       | 06/14/11           | 10/03/11           | 100,766.86      | 132,881.52      | (32,114.66)     |               |
| 125000460        | 1,350    | POTASH CORP SASK INC       | 06/14/11           | 10/06/11           | 63,045.13       | 74,745.86       | (11,700.73)     |               |
|                  | 1,060    |                            | 07/13/11           |                    | 49,502.11       | 62,537.46       | (13,035.35)     |               |
| 125000490        | 900      | T ROWE PRICE GROUP INC     | 10/13/10           | 08/10/11           | 44,649.49       | 47,850.93       | (3,201.44)      |               |
|                  | 500      | CGMI AND/OR ITS AFFILIATES | 12/06/10           |                    | 24,805.27       | 30,599.05       | (5,793.78)      |               |
|                  | 900      |                            | 03/15/11           |                    | 44,649.50       | 55,743.84       | (11,094.34)     |               |
| 125000500        | 6,000    | RACKSPACE HOSTING INC      | 09/21/10           | 01/26/11           | 190,180.14      | 137,655.60      |                 | 52,524.54     |
|                  | 1,000    |                            | 09/24/10           |                    | 31,696.69       | 23,976.00       |                 | 7,720.69      |
| 125000560        | 600      | TRIMBLE NAVIGATION LTD     | 09/20/10           | 08/31/11           | 22,444.13       | 20,039.58       |                 | 2,404.55      |
|                  | 1,875    | CGMI AND/OR ITS AFFILIATES | 06/22/11           |                    | 70,137.91       | 71,989.31       | (1,851.40)      |               |
| Total            |          |                            |                    |                    | \$ 3,563,320.39 | \$ 3,341,927.86 | (\$ 216,746.73) | \$ 438,139.26 |

## Details of Long-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

| Reference number | Quantity | Security Description         | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)      | Gain      |
|------------------|----------|------------------------------|--------------------|--------------------|---------------|--------------|-------------|-----------|
| 125000020        | 250      | ABBOTT LABORATORIES          | 10/23/06           | 01/11/11           | \$ 11,965.55  | \$ 12,142.50 | (\$ 176.95) |           |
|                  | 600      |                              | 03/26/08           |                    | 28,717.31     | 32,400.00    | (3,682.69)  |           |
|                  | 700      |                              | 04/29/08           |                    | 33,503.53     | 37,429.00    | (3,925.47)  |           |
| 125000050        | 650      | AIR PRODUCTS & CHEMICALS INC | 08/17/10           | 09/09/11           | 50,795.55     | 50,414.98    |             | 380.57    |
| 125000080        | 562      | APACHE CORP                  | 09/11/06           | 09/06/11           | 53,115.00     | 35,849.98    |             | 17,265.02 |
|                  | 438      |                              | 10/04/06           |                    | 41,395.68     | 26,761.80    |             | 14,633.88 |

## 2011 Year End Summary

## HARDEN FOUNDATION

Account Number 593-08393-10 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity         | Security Description                                    | Opening Trade Date               | Closing Trade Date | Sale Proceeds                         | Cost                                  | (Loss)      | Gain                    |
|------------------|------------------|---------------------------------------------------------|----------------------------------|--------------------|---------------------------------------|---------------------------------------|-------------|-------------------------|
| 125000090        | 62<br>400<br>253 | APACHE CORP                                             | 10/04/06<br>07/18/08<br>03/19/09 | 09/22/11           | \$ 5,256 38<br>33,912 15<br>21,449 43 | \$ 3,788 20<br>44,589 24<br>17,259 66 | (10,677 08) | \$ 1,468 18<br>4,189 77 |
| 125000100        | 3,000            | AUTODESK INC<br>CGMI AND/OR ITS AFFILIATES              | 03/10/10                         | 04/20/11           | 133,432 63                            | 87,636 00                             |             | 45,796 63               |
| 125000110        | 3,200            | AUTODESK INC                                            | 03/10/10                         | 08/08/11           | 91,468 32                             | 93,478 40                             | (2,010 08)  |                         |
| 125000140        | 800              | CGMI AND/OR ITS AFFILIATES                              | 07/07/10                         |                    | 22,867 08                             | 19,662 40                             |             | 3,204 68                |
| 125000180        | 1,096            | CATERPILLAR INC                                         | 09/20/10                         | 09/22/11           | 81,037 88                             | 80,901 57                             |             | 136 31                  |
| 125000190        | 600              | COSTCO WHOLESale CORP NEW<br>CGMI AND/OR ITS AFFILIATES | 11/04/08                         | 08/18/11           | 44,070 11                             | 33,167 40                             |             | 10,902 71               |
| 125000200        | 632              | COSTCO WHOLESale CORP NEW                               | 11/04/08                         | 10/04/11           | 50,403 24                             | 34,936 33                             |             | 15,466 91               |
| 125000210        | 568              | CGMI AND/OR ITS AFFILIATES                              | 02/05/09                         |                    | 45,299 12                             | 24,528 28                             |             | 20,770 84               |
| 125000220        | 1,400            | DEERE & CO                                              | 10/19/09                         | 04/07/11           | 135,700 09                            | 63,966 70                             |             | 71,733 39               |
| 125000230        | 1,550            | DEERE & CO                                              | 10/19/09                         | 09/02/11           | 121,653 60                            | 70,820 28                             |             | 50,833 32               |
| 125000240        | 1,550            | DEERE & CO                                              | 10/19/09                         | 10/03/11           | 96,434 34                             | 70,820 27                             |             | 25,614 07               |
| 125000250        | 1,680            | EMC CORP-MASS                                           | 09/20/07                         | 04/08/11           | 44,220 11                             | 32,172 00                             |             | 12,048 11               |
| 125000260        | 120              | EMC CORP-MASS                                           | 12/13/07                         |                    | 3,158 58                              | 2,281 20                              |             | 877 38                  |
| 125000270        | 1,200            | EMC CORP-MASS                                           | 12/13/07                         | 04/18/11           | 31,479 83                             | 22,812 00                             |             | 8,667 83                |
| 125000280        | 940              | EMC CORP-MASS                                           | 12/13/07                         | 08/18/11           | 19,269 63                             | 17,869 40                             |             | 1,400 23                |
| 125000290        | 660              | EMC CORP-MASS                                           | 03/19/08                         |                    | 13,529 74                             | 9,603 00                              |             | 3,926 74                |
| 125000300        | 1,000            | FASTENAL CO                                             | 03/06/07                         | 08/05/11           | 31,652 99                             | 17,005 00                             |             | 14,647 99               |
| 125000310        | 2,676            | CGMI AND/OR ITS AFFILIATES                              | 06/01/09                         |                    | 84,703 40                             | 48,741 33                             |             | 35,962 07               |
| 125000320        | 1,324            | FASTENAL CO                                             | 06/01/09                         | 11/09/11           | 52,906 28                             | 24,115 67                             |             | 28,790 61               |
| 125000330        | 176              | CGMI AND/OR ITS AFFILIATES                              | 10/12/09                         |                    | 7,032 86                              | 3,494 13                              |             | 3,538 73                |
| 125000340        | 2,000            | FLUOR CORP NEW                                          | 03/04/10                         | 08/16/11           | 120,162 29                            | 86,867 80                             |             | 33,294 49               |
| 125000350        | 2,000            | FLUOR CORP NEW                                          | 03/04/10                         | 08/18/11           | 112,238 04                            | 86,867 80                             |             | 25,370 24               |
| 125000360        | 82               | GOOGLE INC                                              | 10/08/08                         | 09/12/11           | 42,802 52                             | 28,306 71                             |             | 14,495 81               |
| 125000370        | 88               | CLASS A<br>CGMI AND/OR ITS AFFILIATES                   | 10/16/08                         |                    | 45,934 41                             | 28,791 82                             |             | 17,142 59               |
| 125000380        | 287              | INTL BUSINESS MACHINES CORP                             | 03/16/09                         | 04/08/11           | 47,110 66                             | 26,521 73                             |             | 20,588 93               |
| 125000390        | 1,285            | INTUIT INC<br>CGMI AND/OR ITS AFFILIATES                | 03/24/10                         | 09/02/11           | 61,462 93                             | 44,760 79                             |             | 16,702 14               |
| 125000400        | 2,039            | NIKE INC CL B                                           | 07/28/09                         | 04/05/11           | 158,290 85                            | 106,660 70                            |             | 51,630 15               |
| 125000410        | 611              | NIKE INC CL B                                           | 09/11/09                         |                    | 47,432 91                             | 33,664 88                             |             | 13,768 03               |
| 125000420        | 213              | NIKE INC CL B                                           | 09/22/09                         |                    | 16,535 53                             | 12,500 71                             |             | 4,034 82                |

2011 YEAR END SUMMARY

# 2011 Year End Summary

Ref 00001119 00009551

## HARDEN FOUNDATION

Account Number 593-08393-10 507

### Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity                             | Security Description                                              | Opening Trade Date                                       | Closing Trade Date | Sale Proceeds                                                | Cost                                                         | (Loss)         | Gain                                         |
|------------------|--------------------------------------|-------------------------------------------------------------------|----------------------------------------------------------|--------------------|--------------------------------------------------------------|--------------------------------------------------------------|----------------|----------------------------------------------|
| 125000470        | 337<br>1,025                         | T ROWE PRICE GROUP INC<br>CGMI AND/OR ITS AFFILIATES              | 03/11/09<br>03/23/09                                     | 04/08/11           | \$ 23,074.38<br>70,181.73                                    | \$ 8,229.44<br>27,160.45                                     |                | \$ 14,844.94<br>43,021.28                    |
| 125000480        | 938<br>300                           | T ROWE PRICE GROUP INC<br>CGMI AND/OR ITS AFFILIATES              | 03/23/09<br>01/07/10                                     | 08/08/11           | 45,551.68<br>14,568.77                                       | 24,855.12<br>16,220.97                                       | (1,652.20)     | 20,696.56                                    |
| 125000490        | 500                                  | T ROWE PRICE GROUP INC<br>CGMI AND/OR ITS AFFILIATES              | 01/07/10                                                 | 08/10/11           | 24,805.27                                                    | 27,034.95                                                    | (2,229.68)     |                                              |
| 125000510        | 700<br>400<br>700<br>100             | SCHLUMBERGER LTD                                                  | 11/29/06<br>03/06/07<br>05/04/07<br>06/14/07             | 09/01/11           | 54,747.56<br>31,284.32<br>54,747.56<br>7,821.07              | 46,963.00<br>25,276.00<br>53,069.87<br>8,415.53              |                | 7,784.56<br>6,008.32<br>1,677.69<br>(594.46) |
| 125000520        | 400<br>400<br>300                    | SCHLUMBERGER LTD                                                  | 06/14/07<br>10/17/08<br>01/05/09                         | 09/12/11           | 28,380.05<br>28,380.05<br>21,285.05                          | 33,662.12<br>19,702.68<br>14,114.01                          | (5,282.07)     | 8,677.37<br>7,171.04                         |
| 125000530        | 300<br>300<br>700<br>200             | SCHLUMBERGER LTD                                                  | 01/05/09<br>03/26/09<br>03/01/10<br>09/20/10             | 11/09/11           | 22,233.83<br>22,233.83<br>51,878.94<br>14,822.56             | 14,114.01<br>13,907.40<br>42,859.60<br>11,779.42             |                | 8,119.82<br>8,326.43<br>9,019.34<br>3,043.14 |
| 125000540        | 44<br>1,900<br>1,002<br>1,054<br>800 | TEVA PHARMACEUTICAL INDS<br>LTD ADR<br>CGMI AND/OR ITS AFFILIATES | 09/24/04<br>11/30/05<br>10/06/08<br>10/29/08<br>06/16/10 | 08/01/11           | 1,923.60<br>83,064.50<br>43,805.60<br>46,078.94<br>34,974.53 | 1,165.95<br>77,786.00<br>42,522.88<br>42,105.93<br>43,717.68 | (8,743.15)     | 757.65<br>5,278.50<br>1,282.72<br>3,973.01   |
| 125000550        | 2,300                                | TRIMBLE NAVIGATION LTD<br>CGMI AND/OR ITS AFFILIATES              | 12/15/09                                                 | 04/07/11           | 115,817.95                                                   | 56,953.06                                                    |                | 58,864.89                                    |
| 125000560        | 3,000<br>1,400                       | TRIMBLE NAVIGATION LTD<br>CGMI AND/OR ITS AFFILIATES              | 12/15/09<br>12/18/09                                     | 08/31/11           | 112,220.64<br>52,369.63                                      | 74,286.60<br>34,266.40                                       |                | 37,934.04<br>18,103.23                       |
| 125000570        | 2,000                                | YUM BRANDS INC                                                    | 07/08/10                                                 | 09/22/11           | 101,076.85                                                   | 79,892.20                                                    |                | 21,184.65                                    |
| Total            |                                      |                                                                   |                                                          |                    | \$ 3,149,729.44                                              | \$ 2,313,650.93                                              | (\$ 38,973.84) | \$ 875,052.35                                |

836,078.51

Totals

1,057,471.04

## 2011 Year End Summary

Ref: 00000966 00008306

## HARDEN FOUNDATION

Account Number 593-07116-18 507

## Details of Short-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

2011 YEAR END SUMMARY

| Reference number | Quantity                                                  | Security Description                                                                                          | Opening Trade Date                                                                                       | Closing Trade Date | Sale Proceeds                                                                                            | Cost                                                                                                     | (Loss)                                                           | Gain                                                                                             |
|------------------|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|
| 125000010        | .3515                                                     | DIANA CONTAINERSHIPS INC<br>CASH IN LIEU OF 35153<br>RECORD 01/03/11 PAY 01/18/11                             | 01/19/11                                                                                                 | 01/19/11           | \$ 4.44                                                                                                  | \$ 4.90                                                                                                  | (\$ .46)                                                         |                                                                                                  |
| 125000020        | 88                                                        | DIANA CONTAINERSHIPS INC                                                                                      | 01/19/11                                                                                                 | 02/02/11           | 1,099.97                                                                                                 | 1,227.10                                                                                                 | (127.13)                                                         |                                                                                                  |
| 125000040        | 250                                                       | ACXIOM CORP<br>CGMI AND/OR ITS AFFILIATES                                                                     | 05/13/11                                                                                                 | 07/07/11           | 3,254.51                                                                                                 | 3,575.00                                                                                                 | (320.49)                                                         |                                                                                                  |
| 125000070        | 1,160<br>330<br>265                                       | BANK OF AMERICA CORP                                                                                          | 12/22/10<br>03/09/11<br>04/04/11                                                                         | 08/09/11           | 7,998.05<br>2,275.31<br>1,827.13                                                                         | 15,538.32<br>4,805.72<br>3,571.78                                                                        | (7,540.27)<br>(2,530.41)<br>(1,744.65)                           |                                                                                                  |
| 125000080        | 135<br>125<br>175<br>165<br>170<br>165<br>92<br>46<br>202 | BANK NEW YORK MELLON CORP                                                                                     | 08/24/10<br>08/25/10<br>08/26/10<br>08/27/10<br>08/30/10<br>08/31/10<br>09/01/10<br>09/02/10<br>09/03/10 | 01/19/11           | 4,256.47<br>3,941.17<br>5,517.64<br>5,202.35<br>5,360.00<br>5,202.35<br>2,900.70<br>1,450.35<br>6,368.94 | 3,291.61<br>3,019.49<br>4,232.24<br>4,046.64<br>4,165.78<br>3,990.33<br>2,296.69<br>1,158.78<br>5,239.52 |                                                                  | 964.86<br>921.68<br>1,285.40<br>1,155.71<br>1,194.22<br>1,212.02<br>604.01<br>291.57<br>1,129.42 |
| 125000130        | .5                                                        | CITIGROUP INC<br>REVSPLT 05/06/11 172967101000                                                                | 04/06/11                                                                                                 | 05/06/11           | 22.10                                                                                                    | 22.99                                                                                                    | (.89)                                                            |                                                                                                  |
| 125000140        | 489<br>176<br>155                                         | CITIGROUP INC<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR<br>BE UNDER COMMON CONTROL WITH | 04/06/11<br>08/11/11<br>08/23/11                                                                         | 11/22/11           | 12,031.90<br>4,330.50<br>3,813.80                                                                        | 22,486.67<br>5,257.26<br>4,130.86                                                                        | (10,454.77)<br>(926.76)<br>(317.06)                              |                                                                                                  |
| 125000220        | 522                                                       | IMPERIAL HOLDINGS INC                                                                                         | 02/08/11                                                                                                 | 10/05/11           | 1,357.48                                                                                                 | 5,625.02                                                                                                 | (4,267.54)                                                       |                                                                                                  |
| 125000230        | 868                                                       | IMPERIAL HOLDINGS INC                                                                                         | 02/08/11                                                                                                 | 10/18/11           | 1,954.09                                                                                                 | 9,353.48                                                                                                 | (7,399.39)                                                       |                                                                                                  |
| 125000240        | 15<br>445<br>350<br>470<br>570                            | IMPERIAL HOLDINGS INC                                                                                         | 02/08/11<br>05/10/11<br>05/10/11<br>05/20/11<br>09/14/11                                                 | 11/15/11           | 30.01<br>890.25<br>700.19<br>940.26<br>1,140.32                                                          | 161.64<br>4,405.32<br>3,484.86<br>4,371.00<br>4,018.50                                                   | (131.63)<br>(3,515.07)<br>(2,764.67)<br>(3,430.74)<br>(2,878.18) |                                                                                                  |
| 125000250        | 70                                                        | INTERDIGITAL INC<br>CGMI AND/OR ITS AFFILIATES                                                                | 06/17/11                                                                                                 | 07/21/11           | 5,198.25                                                                                                 | 2,466.44                                                                                                 |                                                                  | 2,731.81                                                                                         |

Ref 00000966 00008307

## HARDEN FOUNDATION

Account Number 593-07116-18 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity                                                    | Security Description                                                                                      | Opening Trade Date                                                                                       | Closing Trade Date | Sale Proceeds                                                                                            | Cost                                                                                                     | (Loss)                                                                                                   | Gain           |
|------------------|-------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|--------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|----------------|
| 125000260        | 55                                                          | INTERDIGITAL INC<br>CGMI AND/OR ITS AFFILIATES                                                            | 06/17/11                                                                                                 | 08/29/11           | \$ 3,870.04                                                                                              | \$ 1,937.92                                                                                              |                                                                                                          | \$ 1,932.12    |
| 125000300        | 825<br>110<br>525                                           | LOUISIANA PACIFIC CORP                                                                                    | 07/07/11<br>08/03/11<br>08/22/11                                                                         | 10/06/11           | 4,378.77<br>583.84<br>2,786.48                                                                           | 7,007.96<br>775.49<br>2,981.58                                                                           | (2,629.19)<br>(191.65)<br>(195.10)                                                                       |                |
| 125000320        | 440                                                         | MGM RESORTS INTERNATIONAL                                                                                 | 10/13/10                                                                                                 | 09/07/11           | 4,696.60                                                                                                 | 5,478.00                                                                                                 | (781.40)                                                                                                 |                |
| 125000330        | 430                                                         | mitsubishi ufj financial<br>GROUP INC ADR<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR | 03/17/11                                                                                                 | 05/20/11           | 1,953.45                                                                                                 | 1,998.90                                                                                                 | (45.45)                                                                                                  |                |
| 125000340        | 335<br>40                                                   | mitsubishi ufj financial<br>GROUP INC ADR<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR | 03/17/11<br>03/17/11                                                                                     | 05/23/11           | 1,492.59<br>178.22                                                                                       | 1,557.28<br>185.94                                                                                       | (64.69)<br>(7.72)                                                                                        |                |
| 125000350        | 725<br>95                                                   | mitsubishi ufj financial<br>GROUP INC ADR<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR | 03/17/11<br>03/18/11                                                                                     | 05/25/11           | 3,291.79<br>431.34                                                                                       | 3,370.24<br>441.74                                                                                       | (78.45)<br>(10.40)                                                                                       |                |
| 125000360        | 710<br>70                                                   | mitsubishi ufj financial<br>GROUP INC ADR<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR | 03/18/11<br>04/27/11                                                                                     | 06/08/11           | 3,199.91<br>315.48                                                                                       | 3,301.43<br>326.01                                                                                       | (101.52)<br>(10.53)                                                                                      |                |
| 125000370        | 750                                                         | mitsubishi ufj financial<br>GROUP INC ADR<br>THE ISSUER MAY BE DEEMED TO<br>CONTROL, BE CONTROLLED BY, OR | 04/27/11                                                                                                 | 06/08/11           | 3,380.18                                                                                                 | 3,492.98                                                                                                 | (112.80)                                                                                                 |                |
| 125000510        | 730<br>665<br>695<br>700<br>362<br>528<br>525<br>575<br>650 | NOMURA HOLDINGS INC ADR                                                                                   | 03/15/11<br>03/16/11<br>03/16/11<br>03/16/11<br>03/17/11<br>05/23/11<br>05/26/11<br>06/07/11<br>06/09/11 | 08/10/11           | 3,049.59<br>2,778.05<br>2,903.38<br>2,924.26<br>1,512.26<br>2,205.73<br>2,193.20<br>2,402.07<br>2,715.39 | 3,832.06<br>3,452.02<br>3,607.75<br>3,633.70<br>1,954.62<br>2,496.91<br>2,544.68<br>2,846.25<br>3,217.18 | (782.47)<br>(673.97)<br>(704.37)<br>(709.44)<br>(442.36)<br>(291.18)<br>(351.48)<br>(444.18)<br>(501.79) |                |
| 125000530        | 542<br>373                                                  | OPKO HEALTH INC                                                                                           | 05/23/11<br>05/24/11                                                                                     | 07/07/11           | 2,093.81<br>1,440.95                                                                                     | 2,032.50<br>1,398.75                                                                                     |                                                                                                          | 61.31<br>42.20 |

2011 YEAR END SUMMARY

# 2011 Year End Summary

Ref 00000966 00008308

HARDEN FOUNDATION

Account Number 593-07116-18 507

## Details of Short-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description           | Opening Trade Date | Closing Trade Date | Sale Proceeds        | Cost                 | (Loss)                | Gain                |
|------------------|----------|--------------------------------|--------------------|--------------------|----------------------|----------------------|-----------------------|---------------------|
| 125000540        | 70       | STIFEL FINANCIAL CORP          | 04/27/10           | 02/11/11           | \$ 4,631.75          | \$ 3,930.49          |                       | \$ 701.26           |
|                  | 86       |                                | 10/06/10           |                    | 5,690.43             | 4,050.42             |                       | 1,640.01            |
| 125000550        | 124      | STIFEL FINANCIAL CORP          | 10/06/10           | 02/14/11           | 8,206.61             | 5,840.14             |                       | 2,366.47            |
| 125000560        | 122      | STIFEL FINANCIAL CORP          | 10/06/10           | 02/15/11           | 8,744.47             | 5,745.94             |                       | 2,998.53            |
| 125000570        | 75       | TIDEWATER INC                  | 01/20/11           | 08/11/11           | 3,834.38             | 4,097.72             | (263.34)              |                     |
|                  | 50       |                                | 04/04/11           |                    | 2,556.26             | 2,999.91             | (443.65)              |                     |
|                  | 135      |                                | 05/09/11           |                    | 6,901.89             | 7,534.97             | (633.08)              |                     |
| 125000610        | 120      | USEC INC                       | 03/15/11           | 12/02/11           | 159.42               | 491.34               | (331.92)              |                     |
| 125000620        | 350      | USEC INC                       | 03/15/11           | 12/09/11           | 437.49               | 1,433.08             | (995.59)              |                     |
|                  | 880      |                                | 03/16/11           |                    | 1,099.98             | 3,692.57             | (2,592.59)            |                     |
| 125000630        | 95       | VARIAN SEMICONDUCTOR EQUIPMENT | 01/20/11           | 05/04/11           | 5,824.23             | 3,894.99             |                       | 1,929.24            |
|                  | 95       | ASSOC'S INC                    | 01/21/11           |                    | 5,824.23             | 3,894.91             |                       | 1,929.32            |
|                  | 70       | CGMI AND/OR ITS AFFILIATES     | 03/15/11           |                    | 4,291.54             | 2,985.36             |                       | 1,306.18            |
| <b>Total</b>     |          |                                |                    |                    | <b>\$ 204,048.59</b> | <b>\$ 240,391.67</b> | <b>(\$ 62,740.42)</b> | <b>\$ 26,397.34</b> |

-36,343.08

## Details of Long-Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

| Reference number | Quantity | Security Description       | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)      | Gain        |
|------------------|----------|----------------------------|--------------------|--------------------|---------------|--------------|-------------|-------------|
| 125000030        | 2,000    | ABB LTD SPONS ADR          | 12/11/09           | 08/11/11           | \$ 42,733.57  | \$ 35,912.00 |             | \$ 6,821.57 |
| 125000050        | 320      | ARTIO GLOBAL INVESTORS INC | 03/05/10           | 12/06/11           | 1,985.30      | 7,581.79     | (5,596.49)  |             |
|                  | 38       |                            | 03/25/10           |                    | 235.75        | 901.88       | (666.13)    |             |
| 125000060        | 114      | ARTIO GLOBAL INVESTORS INC | 03/25/10           | 12/07/11           | 701.49        | 2,705.65     | (2,004.16)  |             |
| 125000070        | 1,180    | BANK OF AMERICA CORP       | 12/11/09           | 08/09/11           | 8,135.95      | 18,426.88    | (10,290.93) |             |
| 125000090        | 1,150    | CALLAWAY GOLF COMPANY      | 12/11/09           | 12/05/11           | 6,658.37      | 8,473.20     | (1,814.83)  |             |
| 125000100        | 715      | CALLAWAY GOLF COMPANY      | 12/11/09           | 12/12/11           | 4,039.81      | 5,268.12     | (1,228.31)  |             |
| 125000110        | 667      | CALLAWAY GOLF COMPANY      | 12/11/09           | 12/20/11           | 3,768.60      | 4,914.46     | (1,145.86)  |             |
| 125000120        | 68       | CALLAWAY GOLF COMPANY      | 12/11/09           | 12/21/11           | 384.19        | 501.02       | (116.83)    |             |

# 2011 Year End Summary

Ref. 00000986 00008309

HARDEN FOUNDATION

Account Number 593-07116-18 507

## Details of Long-Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description                                  | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost         | (Loss)     | Gain      |
|------------------|----------|-------------------------------------------------------|--------------------|--------------------|---------------|--------------|------------|-----------|
| 125000150        | 1,867    | FIDELITY NATIONAL FINANCIAL INC CLASS A               | 12/28/09           | 01/03/11           | \$ 25,580.45  | \$ 25,556.06 |            | \$ 24.39  |
|                  |          | TRADE AS OF 01/03/11                                  |                    |                    |               |              |            |           |
| 125000160        | 130      | FIDELITY NATIONAL FINANCIAL INC CLASS A               | 12/28/09           | 01/04/11           | 1,774.89      | 1,779.48     | (4.59)     |           |
| 125000170        | 170      | HALLIBURTON CO HOLDINGS CO                            | 12/11/09           | 05/16/11           | 7,821.27      | 4,764.88     |            | 3,056.39  |
| 125000180        | 240      | HALLIBURTON CO HOLDINGS CO                            | 12/11/09           | 05/27/11           | 12,006.29     | 6,726.89     |            | 5,279.40  |
| 125000190        | 130      | HALLIBURTON CO HOLDINGS CO                            | 12/11/09           | 07/18/11           | 6,866.27      | 3,643.73     |            | 3,222.54  |
| 125000200        | 95       | HALLIBURTON CO HOLDINGS CO                            | 12/11/09           | 08/03/11           | 4,960.63      | 2,662.73     |            | 2,297.90  |
| 125000210        | 120      | HALLIBURTON CO HOLDINGS CO                            | 12/11/09           | 08/04/11           | 6,118.61      | 3,363.44     |            | 2,755.17  |
| 125000270        | 6,935    | LAWSON SOFTWARE INC NEW CGMI AND/OR ITS AFFILIATES    | 03/23/10           | 04/26/11           | 77,027.65     | 47,015.83    |            | 30,011.82 |
| 125000280        | 409 9723 | LIGAND PHARMACEUTICALS INC                            | 06/02/10           | 07/12/11           | 4,517.10      | 3,792.07     |            | 725.03    |
|                  | 211 0277 | CGMI AND/OR ITS AFFILIATES                            | 06/08/10           |                    | 2,325.12      | 1,959.77     |            | 365.35    |
| 125000290        | 82       | LIGAND PHARMACEUTICALS INC CGMI AND/OR ITS AFFILIATES | 06/08/10           | 07/13/11           | 907.71        | 761.51       |            | 146.20    |
| 125000310        | 400      | MGM RESORTS INTERNATIONAL                             | 12/28/09           | 08/31/11           | 4,454.99      | 3,773.28     |            | 681.71    |
| 125000320        | 400      | MGM RESORTS INTERNATIONAL                             | 12/28/09           | 09/07/11           | 4,269.64      | 3,773.28     |            | 496.36    |
|                  | 635      |                                                       | 01/08/10           |                    | 6,778.05      | 6,793.17     | (15.12)    |           |
|                  | 675      |                                                       | 01/11/10           |                    | 7,205.01      | 7,512.68     | (307.67)   |           |
| 125000380        | 5709     | MOTOROLA SOLUTIONS INC SPINOFF 01/04/11 620076109000  | 12/11/09           | 01/04/11           | 22.26         | 19.68        |            | 2.58      |
| 125000390        | 528      | MOTOROLA SOLUTIONS INC                                | 12/11/09           | 01/19/11           | 19,668.36     | 18,202.52    |            | 1,465.84  |
| 125000400        | 5        | MOTOROLA MOBILITY HOLDINGS INC CASH IN LIEU OF 50000  | 12/11/09           | 01/04/11           | 16.27         | 14.41        |            | 1.86      |
|                  |          | RECORD 12/21/10 PAY 01/03/11                          |                    |                    |               |              |            |           |
| 125000410        | 462      | MOTOROLA MOBILITY HOLDINGS INC                        | 12/11/09           | 02/02/11           | 12,797.38     | 13,316.99    | (519.61)   |           |
| 125000420        | 2,610    | MUELLER WATER PRODUCTS INC                            | 01/28/10           | 11/15/11           | 5,741.88      | 12,214.85 R  | (6,472.97) |           |
| 125000430        | 2,020    | MUELLER WATER PRODUCTS INC                            | 01/28/10           | 12/05/11           | 4,508.95      | 9,453.61 R   | (4,944.66) |           |
| 125000440        | 523      | MUELLER WATER PRODUCTS INC                            | 01/28/10           | 12/09/11           | 1,124.42      | 2,447.65 R   | (1,323.23) |           |
| 125000450        | 217      | MUELLER WATER PRODUCTS INC                            | 01/28/10           | 12/12/11           | 466.54        | 1,015.55 R   | (549.01)   |           |
|                  | 190      |                                                       | 05/21/10           |                    | 408.49        | 821.71 R     | (413.22)   |           |
| 125000460        | 140      | MUELLER WATER PRODUCTS INC                            | 05/21/10           | 12/13/11           | 301.00        | 605.49 R     | (304.49)   |           |
| 125000470        | 1,000    | MUELLER WATER PRODUCTS INC                            | 05/21/10           | 12/16/11           | 2,149.95      | 4,324.90 R   | (2,174.95) |           |

2 0 1 1 Y E A R E N D S U M M A R Y

# 2011 Year End Summary

HARDEN FOUNDATION

Account Number 593-07116-18 507

## Details of Long Term Gain (Loss) 2011 - continued

| Reference number | Quantity | Security Description                                | Opening Trade Date | Closing Trade Date | Sale Proceeds | Cost          | (Loss)        | Gain         |
|------------------|----------|-----------------------------------------------------|--------------------|--------------------|---------------|---------------|---------------|--------------|
| 125000480        | 186      | MUELLER WATER PRODUCTS INC                          | 05/21/10           | 12/20/11           | \$ 399.89     | \$ 804.41R    | (\$ 404.52)   |              |
|                  | 220      |                                                     | 05/24/10           |                    | 472.99        | 952.60R       | (479.61)      |              |
|                  | 284      |                                                     | 06/01/10           |                    | 610.59        | 1,169.71R     | (559.12)      |              |
| 125000490        | 690      | MUELLER WATER PRODUCTS INC                          | 06/01/10           | 12/20/11           | 1,483.47      | 2,841.88R     | (1,358.41)    |              |
| 125000500        | 200      | NATIONAL OILWELL VARCO INC                          | 12/11/09           | 02/02/11           | 14,950.05     | 8,757.40      |               | 6,192.65     |
| 125000520        | 606      | ON SEMICONDUCTOR CORP<br>CGMI AND/OR ITS AFFILIATES | 12/11/09           | 02/03/11           | 6,766.47      | 4,896.00      |               | 1,870.47     |
| 125000580        | 1,660    | USEC INC                                            | 12/11/09           | 10/07/11           | 2,157.95      | 6,606.63      | (4,448.68)    |              |
| 125000590        | 2,040    | USEC INC                                            | 12/11/09           | 10/11/11           | 2,651.95      | 8,119.00      | (5,467.05)    |              |
|                  | 1,070    |                                                     | 01/11/10           |                    | 1,390.97      | 4,540.22      | (3,149.25)    |              |
| 125000600        | 730      | USEC INC                                            | 01/11/10           | 10/24/11           | 1,532.97      | 3,097.54      | (1,564.57)    |              |
| 125000610        | 989      | USEC INC                                            | 01/11/10           | 12/02/11           | 1,313.86      | 4,196.52      | (2,882.66)    |              |
|                  | 841      |                                                     | 01/12/10           |                    | 1,117.25      | 3,544.73      | (2,427.48)    |              |
| Total            |          |                                                     |                    |                    | \$ 323,310.62 | \$ 320,527.80 | (\$ 2,782.82) | \$ 65,417.23 |

R The basis for this tax lot has been adjusted due to a reclassification of income

## Details of Deposits and Withdrawals 2011

This section reflects any deposits or withdrawals made to your account during the year.

### Deposits

| Reference number | Date     | Description                                                                         | Amount    |
|------------------|----------|-------------------------------------------------------------------------------------|-----------|
| 210000100        | 02/28/11 | CONSULTING & ADVISORY SERVICES<br>FROM 01/28/11 TO 03/31/11                         | \$ 161.39 |
|                  | 07/12/11 | NOMURA HOLDINGS INC ADR<br>REV ADR FEE OF 00550/SHR<br>RECORD 03/30/11 PAY 06/13/11 | \$ 17.34  |

## **Guidelines for Grant Applications**

### **Grantmaking**

#### **Introduction**

The Foundation seeks to support nonprofit organizations that will achieve all or some of the following objectives:

- Improve the well-being of young people
- Strengthen the family
- Develop individual self-reliance and health
- Prevent inappropriate institutionalization of individuals
- Improve the quality of life through cultural activities
- Encourage more humane treatment of animals
- Eliminate duplication and improve coordination of social and community services

The Harden Foundation seeks to support 501(C)3 organizations with a demonstrated ability to provide meaningful and measurable ongoing benefits to the people of Monterey County, California and especially the Salinas Valley.

### **Scope and Types of Grants**

#### **Geographic Limits:**

501(C)3 organizations who provide services or programs in Monterey County, California are eligible to submit grant proposals to the Foundation. The Harden Foundation does not make grants to organizations headquartered outside of Monterey County unless they demonstrate service to the area. The Foundation will consider grants in the following seven focus areas: Children, Youth, & Families, Senior Citizens, General, Health, Agricultural Education, Animal Welfare and Environment, and Arts & Culture.

#### **Type of Grants**

The Foundation provides both general support and project support to a broad range of nonprofits working in the Foundation's focus areas. The Foundation will consider proposals for one-time capital grants, special projects, and operating support. Requests for ongoing operating support are discouraged. In general, operating grants for start-up programs will be made for a maximum of two (2) years. Thereafter, operating grants will be made at the Board's discretion and, if granted, will be on a matching basis. Operating grants will not be made in amounts exceeding one-third of the operating budget of the organization except for start-up funds. Typically, grants range from \$5,000 to \$100,000, and higher for major or capital projects. The Foundation will consider **one** grant request per organization in a calendar year. Multi-year grants will be considered only in exceptional cases. Grant requests should not exceed \$100,000.

Deadlines for submitting grant applications are **MARCH 1** and **SEPTEMBER 1** of each year. Grants will be reviewed and funded semi-annually, in January (for previous year September 1 deadline) and in July for March 1 deadline.

**Exceptions:**

The Foundation does **NOT** fund:

- Organizations that do not have a current 501©3 status
- Organizations that support sectarian religious programs
- Creation or addition to endowments
- Annual events, conferences, or fundraising events
- Academic or medical research or scholarships to individuals
- Foundations or associations established for the benefit of an organization which receives substantial tax support
- Individuals
- Political parties, candidates or partisan political organizations or activities
- Organizations whose activities are located outside of Monterey County
- The Foundation does not accept unsolicited proposals from public or private institutions of primary, secondary or higher education. However, grant proposals from public institutions will be considered for special projects, which are agriculture related.

**MONTEREY  
COUNTY AREA  
GRANTMAKERS**

# Common Grant Application

## Table of Contents

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## Participating Grantmakers

**Community Foundation for Monterey County**

**First 5 Monterey County**

**Harden Foundation**

**Monterey Peninsula Foundation**

**United Way Monterey County**

# Before You Begin: Strategies for a Successful Grant Application

Dear Nonprofit Colleague:

To save you time and effort in the grant application process, five Monterey County area grantmakers have developed this common application form to help streamline the grant seeking process. Some strategies for successful grant seeking include:

- Research the funding interest of a grantmaker before applying and obtain a copy of its current grant guidelines.
- Familiarize yourself with the funder's application process and procedures.
- Follow the specified format and any specific instructions from the grantmaker.

**All grantmakers listed on Page 2 accept this grant application, but may have additional instructions for the application. Please research the individual grantmaker's grant guidelines and instructions before applying for a grant.**

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## **WHAT IS THE PURPOSE OF THE COMMON GRANT APPLICATION FORMAT?**

The five participating grantmakers in the Monterey County Area created the Common Grant Application Format in 2005 to simplify the process of requesting and making grants. It is designed to benefit both grantseekers and grantmakers. It is not simply a form to be filled in. It is a format to be used as an outline for organizing and writing proposals.

## **HOW SHOULD YOU USE THE COMMON GRANT APPLICATION FORMAT?**

Read this entire document before you begin. Pay attention to the instructions for preparation that follow. You may duplicate the forms if necessary. Copies can be downloaded at the websites of the participating grantmakers.

**TIP** - Do not simply produce a mass mailing of a single proposal.

Take care to tailor each request, particularly in the narrative, to the specific interests of each funder.

## **HOW SHOULD YOU START?**

Know your purpose. Clarify your organization's priorities and purpose in seeking funds.

## **WHAT SHOULD YOU DO NEXT?**

Conduct research to identify grantmakers that make grants to your type of organization and in your geographic area. Review grant guidelines and make certain that the purpose of your proposal fits within the funder's guidelines.

**TIP** - Share your passion, your organization's uniqueness and strengths and how you will make a difference through your outcomes.

## **THE REVIEW PROCESS**

During the review process, staff members may ask various people for information, including your organization's board members, other agencies and grantmakers, clients, and members of the community. If you do not wish us to contact any of these sources, please let us know.



# Common Grant Application

## PARTICIPATING GRANTMAKERS LIST

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Information about individual grantmakers is available from each funder. Please contact the individual grantmakers about specific guideline or requirement questions.

### **Community Foundation for Monterey County**

2354 Garden Road  
Monterey, CA 93940  
831-375-9712  
Fax: 831-375-4731  
www.cfmco.org  
Contact: Kathy Coopman  
Grants and Data Manager  
kathy@cfmco.org

### **Harden Foundation**

(Deadlines: March 1, September 1)  
P.O. Box 779  
Salinas, CA 93902  
831-442-3005  
Fax: 831-443-1429  
www.hardenfoundation.org  
Contact: Maria Guerrero  
Senior Program Officer  
maria@hardenfoundation.org

### **United Way Monterey County**

(Deadline: see UWMC website)  
60 Garden Court, Ste. 350  
Monterey, CA 93940  
831-372-8026  
Fax: 831-372-4945  
www.unitedwaymcca.org  
Contact: Yuri Anderson  
Community Impact Director  
yanderson@unitedwaymcca.org

### **First 5 Monterey County**

1125 Baldwin St  
Salinas, CA 93906  
831-444-8549  
Fax: 831-444-8637  
www.first5monterey.org  
Contact: Joanna Steinbruner  
Administrative Manager  
joanna@first5monterey.org

### **Monterey Peninsula Foundation**

1 Lower Ragsdale Drive  
Bldg. 3, Ste. 100  
Monterey, CA 93940  
831-649-1533  
Fax: 831-649-1763  
www.montereypeninsulafoundation.org  
Contact: Laurel Lee-Alexander  
Director of Grant Programs  
lla@attpbgolf.com

#### *Notes:*

1 – The Monterey Peninsula Foundation's funding areas include Monterey, Santa Cruz and San Benito Counties.

2 – The Youth Fund has separate Grant Guidelines and Grant Application – do not use this application form for the Youth Fund.

## MONTEREY COUNTY AREA GRANTMAKERS Common Grant Application Checklist

Indicate to which funder this application is directed (apply to each funder separately):

- ☐ Community Foundation for Monterey County
- ☐ First 5 Monterey County
- ☐ Harden Foundation (Deadlines: March 1, September 1)
- ☐ Monterey Peninsula Foundation
- ☐ United Way Monterey County (Deadline: see UWMC website)

Each item listed on this Grant Application Checklist should be enclosed in this order with your application. Please do not type answers on this checklist; indicate the items enclosed by marking the corresponding box. Submit **one copy** of the completed Grant Application packet (see Section III, Submitting your Application), including the following:

### I. General Information to Submit

#### A. General Forms

- ☐ This completed Grant Application Checklist (first two pages)
- ☐ A completed and signed Grant Application Form (two pages) – with signature of authorized representative

#### B. Organizational Structure

- ☐ A list of the current Board of Directors with related demographic information (include name, city of residence and profession of each Board member)
- ☐ Staff roster with name and title
- ☐ Organization Chart, if available

**TIP** – Follow the budget and financial instructions carefully and include all information requested.

#### C. Finances

- ☐ A detailed organization current annual budget (for the year reflected in the grant request), showing both income and expenses
- ☐ Complete financial statements for the most recently completed fiscal year: 1) Balance Sheet or Statement of Financial Position; and 2) Profit & Loss Statement or Statement of Activities
- ☐ Year-to-date organizational financial statements: 1) Balance Sheet or Statement of Financial Position, and 2) Profit & Loss Statement or Statement of Activities
- ☐ A detailed program/project budget, in your agency's normal format, showing revenues and expenses for the total program/project, and explaining how the funds requested in this application would be allocated (for the year reflected in the grant request)  
(Note: requests for operating support will not require a program/project budget, but please indicate in your narrative how the requested funds will be allocated)
- ☐ A list of the ten largest financial gifts (grants or gifts from individuals or organizations) received in your most recent fiscal year (donors who have requested anonymity may be listed as Anonymous)

**D. Other**

- ☐ A letter from the Board Chairperson or Board member indicating approval for this grant application
- ☐ If applicable, a completed Grant Report for a previous grant, if not already submitted (Please refer to the specific grant agreement/contract from the grantmaker)
- ☐ An audited financial statement for your most recently completed fiscal year is required by First 5 Monterey County, United Way Monterey County and, if this request is for more than \$20,000, by the Monterey Peninsula Foundation

**II. Narrative (3 - 5 pages only), including the following information:**

☐ **Introduction and Background of Organization (incorporating the following points:)**

1. Briefly describe your organization's history and major accomplishments
2. Describe your current programs and activities
3. Who is your constituency (be specific about demographics such as race, gender, ethnicity, age, sexual orientation and people with disabilities)? How are they actively involved in your work and how do they benefit from this program and/or your organization?

**TIP** - Be clear and succinct. Grantmakers appreciate a concise narrative.

☐ **Describe Your Request (incorporating the following points):**

1. Problem statement: what problems, needs or issues are addressed, and how was this determined?
2. How does your work address and/or change the underlying or root causes of the problem?
3. If other than general operating support, describe the program for which you seek funding, why you decided to pursue this project and whether it is new or an expansion of an existing program
4. Include a list of all other grant requests (pending and approved) for this project, showing funding source and amount requested

☐ **Evaluation**

1. For applicants to the Community Foundation for Monterey County or United Way Monterey County:

The **Common Impact Evaluation Plan** is required at the time of application to the Community Foundation for Monterey County and United Way Monterey County. Appendix 1 of this application contains the instructions for completing the Impact Evaluation Plan for these organizations. The last section of the common grant application contains information on evaluation requirements and the common evaluation reporting questions for the Community Foundation for Monterey County and United Way Monterey County, to be completed later in the year or cycle.

2. For applicants to First 5: The Common Impact Evaluation Form will be used by First 5 after the application process is complete. **Please contact First 5 for instructions on evaluation if this application is directed to First 5.**

3. For applicants to the Harden Foundation or the Monterey Peninsula Foundation, the Common Impact Evaluation Plan is NOT required. Please include in your 3-5 page narrative:

- a. What are the goals, expected outcomes and activities involved in this request, and when will each be accomplished?
- b. Provide a clear plan for the evaluation of the results of the program/project in relation to its stated objectives. How will you show that the expected outcomes were met? Include:
  - Expected Outcomes

- How outcomes will be measured

**TIP** - Set measurable goals and specify your plans to communicate with the funder during the grant period.

### III. Submitting Your Application

#### A. By E-mail:

Applications via e-mail are preferred by the following grantmakers:

- Community Foundation for Monterey County: grants@cfmco.org
- Harden Foundation: grants@hardenfoundation.org
- Monterey Peninsula Foundation: grants@montereypeninsulafoundation.org
- United Way Monterey County: yanderson@unitedwaymcca.org

The preferred format is one scanned PDF document comprising all parts of the application, signed as appropriate, in the order requested.

- If necessary, documents such as the narrative, financials, audit, board list and Impact Plan, may be scanned as separate PDF files
- Please do not scan the individual pages of a document as separate files. For example, don't create a separate PDF document for each page of the narrative
- If you are including a Grant Report for a previous grant, this should be a separate document

For other formats:

- ☒ Use standard typeface no smaller than 11 points with 1" margins
- ☒ Save in standard Word or Excel format
- ☒ To comply with audit requirements for signatures, if you email unsigned files
  - MAIL a signed Common Grant Application Form (page 6 of this packet)
  - MAIL a signed Board Approval letter (Section I.D. of this checklist)

#### B. On Paper:

All Monterey County Area Grantmakers accept applications by U.S. mail or by hand delivery

Send one complete copy of your grant application.

- ☒ Use standard typeface no smaller than 11 points
- ☒ Use 8 ½ x 11" white paper only with 1" margins
- ☒ Print your application single-sided
- ☒ Collate the parts in the order listed on the checklist
- ☒ Do not bind your application or put your application in a presentation folder
- ☒ Clip the application together with a single clip. Do not staple any portion of the application
- ☒ Faxed applications are not accepted

**MONTEREY COUNTY AREA GRANTMAKERS**  
**Common Grant Application Form**

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Please provide the information requested on this form **completed on these two pages only**. Please do not type "see attached" on any item. You may print this form on your computer, or type directly on the form. Please do not bind your application or put your application in a presentation folder. Clip the application together with a single clip - do not use staples.

**A. ORGANIZATION AND PROGRAM/PROJECT INFORMATION**

1. Legal organization name:

2. Year organization was founded:

3. Tax status: Tax ID # (EIN): \_\_\_\_\_

\_\_\_ 501 (c) (3) nonprofit

\_\_\_ 501 (c) (\_\_\_) Specify: \_\_\_\_\_

\_\_\_ Other status: \_\_\_\_\_

4. Organization address:

5. Telephone:

6. Fax:

7. Organization website:

8. Executive Director or principal officer (Name and Title):

9. Email:

10. Contact for this proposal:

11. Daytime telephone:

12. Email:

13. Mailing address, if different from above:

14. Amount requested:

15. Period grant will cover:

16. Type of request (check all that apply):

\_\_\_ General Support

\_\_\_ Program/project support

\_\_\_ Capital Project

\_\_\_ Technical Assistance

\_\_\_ Other

17. Program/Project Title:
18. Total Project Budget:
19. Total organizational budget (current year):
20. Percentage of budget spent on fundraising (derive from Line 16b on the organization's 990):
21. Fiscal Year ending date:
22. Summary of the organization's mission (two to three sentences):
23. Summary of the project or grant request (two to three sentences):

**B. BOARD OF DIRECTORS INFORMATION**

1. What is the minimum and maximum number of Board members required by your organization's Bylaws?
2. How much **money** did the Board of Directors (your organization's governing board) contribute to the organization in the most recent fiscal year?
3. What percentage of the Board of Directors donated funds?

**C. ORGANIZATION AGREEMENT and SIGNATURE**

The organization hereby agrees that funds, if granted, will be used only for the purpose described above unless written approval from the grantmaker is received.

\_\_\_\_\_  
Signature of authorized representative

\_\_\_\_\_  
Print Name and Title

\_\_\_\_\_  
Date Application Submitted

## **MONTEREY COUNTY AREA GRANTMAKERS**

### **Helpful Hints – Preparing a Program/Project Budget**

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The budget tips and sample provided below are meant to serve as a guide, not a mandatory budget format. Your budget does not have to duplicate this format exactly.

#### **TIPS ON PREPARING A PROJECT BUDGET FOR YOUR GRANT APPLICATION**

- Clearly depict how much money is needed and how it will be used
- The budget should include sources (income) and uses (expenses) of funds
- Sources of funds should include names of other funding organizations and amounts pledged or received as well as amounts still needed to be raised and possible sources for those funds
- Indicate the time period covered by the budget
- Indicate if requested expenses are one-time or ongoing
- Make sure that you have accounted for all costs, such as benefits and payroll taxes as well as salaries, so that there will be no surprises mid-project
- Finally, make sure all the numbers add up

#### **SAMPLE BUDGET CATEGORIES**

Below is a list of suggested budget categories to use when developing your proposal budget. The clearer you are about where funds are coming from and how they will be used, the easier it will be for the reviewer to assess your financial need.

#### **Sources of Funds**

- ✓ Federal/state/local grants or contracts
- ✓ Bequests
- ✓ Corporate and foundation grants
- ✓ United Way and Federated Campaigns
- ✓ Other fundraising, such as individual gifts and special events
- ✓ Other types of income (fees, membership dues, sales of publications or merchandise, etc.)

#### **Uses of Funds (Expenses)**

- ✓ Salaries, benefits and payroll taxes
- ✓ Professional or consulting fees
- ✓ Insurance
- ✓ Travel and transportation
- ✓ Supplies, copying, postage
- ✓ Rent and utilities
- ✓ Maintenance
- ✓ Staff training and development
- ✓ Administrative overhead
- ✓ Equipment—non-capitalized (minor)
- ✓ Equipment—capitalized (major)
- ✓ Other services

**MONTEREY COUNTY AREA GRANTMAKERS**

**SAMPLE FORMAT FOR A PROGRAM/PROJECT BUDGET**

Organization Name: ABC Nonprofit  
Program Name: Kids and College  
Program Date: 7/1/12– 6/30/13  
Request to: (Name of Funder)

| Income:                    | Sources of Funds:                            |                        |                        |                        |           | Actual<br>(Leave this column blank until the final grant report) |
|----------------------------|----------------------------------------------|------------------------|------------------------|------------------------|-----------|------------------------------------------------------------------|
|                            | (Name of this funder)<br><u>This Request</u> | <u>Other Proposals</u> | <u>Committed Funds</u> | <u>Program Revenue</u> | TOTAL     |                                                                  |
| (Name of Funder)           | \$25,000                                     |                        |                        |                        | \$25,000  |                                                                  |
| Foundation A               | \$25,000                                     | \$50,000               |                        |                        | \$75,000  |                                                                  |
| Foundation B               |                                              |                        | \$2,500                |                        | \$2,500   |                                                                  |
| State Grant                |                                              | \$2,500                |                        |                        | \$2,500   |                                                                  |
| Annual Silent Auction      |                                              |                        |                        | \$10,000               | \$10,000  |                                                                  |
| Donations from individuals |                                              |                        |                        | \$5,000                | \$5,000   |                                                                  |
|                            | \$50,000                                     | \$52,500               | \$2,500                | \$15,000               | \$120,000 |                                                                  |

| Expenses:                          | (Name of Funder) | (Other Source) | (Other Source) | Program Revenue | TOTAL     | Actual<br>(Leave this column blank until the final grant report) |
|------------------------------------|------------------|----------------|----------------|-----------------|-----------|------------------------------------------------------------------|
|                                    |                  |                |                |                 |           |                                                                  |
| <u>Ongoing:</u> Salaries:          |                  |                |                |                 |           |                                                                  |
| Program Manager                    | \$45,000         | \$9,000        |                |                 | \$54,000  |                                                                  |
| Part-Time Staff 1                  |                  |                | \$2,500        |                 | \$2,500   |                                                                  |
| Part-Time Staff 2                  |                  | \$2,500        |                |                 | \$2,500   |                                                                  |
| Taxes and Benefits                 | \$5,000          | \$1,000        |                |                 | \$6,000   |                                                                  |
| Professional Services (Evaluation) |                  | \$5,000        |                |                 | \$5,000   |                                                                  |
| Supplies                           |                  | \$5,000        |                |                 | \$5,000   |                                                                  |
| Travel                             |                  | \$5,000        |                | \$5,000         | \$10,000  |                                                                  |
| Publications and Postage           |                  | \$5,000        |                |                 | \$5,000   |                                                                  |
| Rent and Utilities                 |                  |                |                | \$5,000         | \$5,000   |                                                                  |
| <u>One-time:</u> Staff Training    |                  | \$20,000       |                |                 | \$20,000  |                                                                  |
| Equipment                          |                  |                |                | \$5,000         | \$10,000  |                                                                  |
|                                    | \$50,000         | \$52,500       | \$2,500        | \$15,000        | \$120,000 |                                                                  |

## MONTEREY COUNTY AREA GRANTMAKERS

### Information about Evaluation Requirements

Evaluation is a useful tool both to demonstrate the impact that programs are having in the community and to help make improvements in program implementation. As previously stated, applicants submitting requests to Harden Foundation, First 5 Monterey County, and Monterey Peninsula Foundation must contact those grantors directly and ask to have the correct version of evaluation and reporting guidelines and forms e-mailed. Please note: the Monterey Peninsula Foundation's Grant Outcome Evaluation Report is available on the foundation's website, and the Harden Foundation's Grant Outcome Evaluation Report is available on the foundation's website.

### Common Impact Evaluation Reporting Questions

Please retain this page for applications directed to **Community Foundation for Monterey County and United Way Monterey County. At time of reporting**, please write brief responses to each of the following questions, reflecting the experience of the time period you are reporting on.

Time Period \_\_\_\_\_

1. Of what aspect of your program or project are you most proud and why?
2. What challenges did you face and how did you respond to them?
3. What changes occurred, if any, in personnel, board membership, partners or in organization and how did you respond to them?
4. What were the lessons learned and what do you see as next steps?
5. Please write a brief story (1 to 3 paragraphs) that depicts the success of one of your clients or accomplishments
6. **(Community Foundation for Monterey County only)** Please update the budget you submitted with the application to show other grants or other funding sources pursued and/or obtained, as well as your actual expenditures for this time period.

The Harden Foundation and the Monterey Peninsula Foundation **do not** require the submission of an Impact Plan. Please see the "Evaluation" section on page 4 for the evaluation information that is required as part of the 3-5 page Narrative.

**MONTEREY COUNTY AREA GRANTMAKERS**  
**Common Impact Evaluation Form**

**Community Foundation for Monterey County, First 5 Monterey County, and United Way Monterey County -  
Impact Plan and Reporting Form- Funding Areas Cover Sheet**

On this page, check the funder to whom this application is directed, and fill in the requested information. This sheet will accompany the Impact Plan and Reporting Form through the application process. You will fill out more than one copy of this page if you are applying to two or more of the local funders, one for each application. However, you will only need to fill out one Impact Plan and Reporting form if you are proposing to conduct the same evaluation activities.

- ☐ Community Foundation for Monterey County (Please indicate one):
- ☐ Building Blocks   ☐ Wellness   ☐ Cultural Experiences and Creativity   ☐ Heritage
- ☐ Community Engagement   ☐ Economic Opportunity   ☐ Healthy Places and Spaces

☐ Environmental Engagement   ☐ Animal Welfare

☐ First 5 (Please indicate one):

- ☐ Parents Feel Competent, Well-Informed and Capable of Supporting their Child
- ☐ Families Have Quality, Affordable Early Care and Education of Choice
- ☐ Children are Mentally and Physically Healthy

☐ United Way (Please indicate one of the four Priority areas and one outcome under the area checked-Refer to the United Way Monterey County Priority Areas document for details)

- |                                      |                                             |                                                                                   |                                                          |
|--------------------------------------|---------------------------------------------|-----------------------------------------------------------------------------------|----------------------------------------------------------|
| <input type="checkbox"/> Basic Needs | <input type="checkbox"/> Self-Sufficiency   | <input type="checkbox"/> Crisis to Stability                                      | <input type="checkbox"/> Successful Kids/Strong Families |
| <input type="checkbox"/> Food        | <input type="checkbox"/> Life Skills        | <input type="checkbox"/> Intervention                                             | <input type="checkbox"/> Girls/Mothers' H.S. Education   |
| <input type="checkbox"/> Shelter     | <input type="checkbox"/> Education          | <input type="checkbox"/> Prevention                                               | <input type="checkbox"/> Family Rel/Natural Environment  |
| <input type="checkbox"/> Access/Info | <input type="checkbox"/> Affordable Housing | <input type="checkbox"/> Child/Teen Leadership, Skills, Confidence, Relationships |                                                          |

**MONTEREY COUNTY AREA GRANTMAKERS**  
**Common Impact Evaluation Form**

Community Foundation for Monterey County, First 5 Monterey County,  
United Way Monterey County-  
Impact Plan and Reporting Form

Organization Name: \_\_\_\_\_

Name of Program/Project Described Below: \_\_\_\_\_

Date Plan Created: \_\_\_\_\_

**Program Outcome 1**

**Target Population**

| EXPECTED<br>ACTIVITIES | INDICATORS | DATA<br>COLLECTION | EXPECTED<br>RESULTS | ACTUAL ACTIVITIES | ACTUAL RESULTS |
|------------------------|------------|--------------------|---------------------|-------------------|----------------|
|                        |            |                    |                     |                   |                |
|                        |            |                    |                     |                   |                |

**Program Outcome 2**

**Target Population**

| EXPECTED<br>ACTIVITIES | INDICATORS | DATA<br>COLLECTION | EXPECTED<br>RESULTS | ACTUAL ACTIVITIES | ACTUAL RESULTS |
|------------------------|------------|--------------------|---------------------|-------------------|----------------|
|                        |            |                    |                     |                   |                |
|                        |            |                    |                     |                   |                |

**Program Outcome 3**

**Target Population**

| EXPECTED<br>ACTIVITIES | INDICATORS | DATA<br>COLLECTION | EXPECTED<br>RESULTS | ACTUAL ACTIVITIES | ACTUAL RESULTS |
|------------------------|------------|--------------------|---------------------|-------------------|----------------|
|                        |            |                    |                     |                   |                |
|                        |            |                    |                     |                   |                |

**MONTEREY COUNTY AREA GRANTMAKERS**  
**Common Impact Evaluation Form**  
**Community Foundation for Monterey County, United Way Monterey County -**  
**Impact Plan and Reporting Form Instructions**

- I. Fill in the blanks at the top of the form. The "Date Plan Created" is usually the same as the date of application, but may be earlier if you have implemented the same plan previously.
- II. **Program Outcome** Write a short statement of how you expect to impact/change your clients/target population? (Ex: Parents of children 8-12 years old (who are at high risk for obesity and diabetes, will provide improved daily food to children, reduce their child's sedentary time daily, and practice behaviors that increase daily exercise in their children.)
- III. **Target population:** Write a detailed yet succinct description of the important characteristics of your target population including numbers of people you expect to serve: ( Ex: 50 Low income Hispanic parents of 50 overweight 8-12 year olds living in Salinas. )
- IV. **The Table:** Use the following guide to fill in each column in the table. Phrases or bullet points are recommended.  
Columns 1- through 4 are to be completed at time of application.

| Column. | Information Requested                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|---------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1       | <b>EXPECTED ACTIVITIES:</b> <u>What activities are you planning to implement?</u><br>In this box, enter short summaries of the kind and number of activities planned, the resources needed for them, and how they are tracked. <b>Bullet points are encouraged.</b> (This information is elicited in more detail in the body of the grant application) (Ex: 12 weekly sessions led by a trained peer counselor, for 4 hours per week, including online and written materials on healthy eating.)                                                                                                                                                         |
| 2       | <b>INDICATORS</b> <u>What are you measuring that will show impact/change?</u> <u>In this box, enter a statistic, value, or pointer that directly or indirectly relates to your outcome</u> (Ex: level of daily physical exercise, intake of fresh vegetables to total intake, and level of daily sedentary time spent by children ).                                                                                                                                                                                                                                                                                                                     |
| 3       | <b>DATA COLLECTION.</b> <u>How are you measuring the indicator(s)?</u> <u>What data systems, surveys, and/or instruments are being used or accessed?</u> <u>In this box, enter what data are being collected or retrieved, who is responsible for it, and how often it is collected/analyzed.</u> (Ex: a self report standardized questionnaire of items on parent and child behavior will be conducted with parents prior to program start and 6 months post program. Peer counselors will administer the questionnaires; a student intern will assist with inputting and analyzing the information in Excel )                                          |
| 4       | <b>EXPECTED RESULTS:</b> <u>What results do you expect your activities to produce in your client/target population?</u> <u>or What will your indicator look like after the activities have taken place?</u> ( Ex. level of physical exercise and intake of fresh foods will significantly increase and percent of daily sedentary time will significantly decrease, 6 months and 12 months after the program start date; it is estimated that time spent exercising will increase from an average of 5 to an average of 20 minutes per day, intake of fresh foods from 1 helping to 4, and sedentary time will decrease from 3 hours to 2 hours per day) |

Columns 5 and 6 (shaded on the form) are completed at time of reporting

- 5 **ACTUAL ACTIVITIES:** At time of reporting, enter the type and numbers of activities you actually implemented. If there was a change in activities from the plan (column 1), please explain in the narrative report.
- 6 **ACTUAL RESULTS:** At time of reporting, enter the results you actually observed in the indicator. If there was a notable difference in actual results from expected results, please explain in the narrative report.

# **MONTEREY COUNTY AREA GRANTMAKERS** **Common Impact Evaluation Form-Sample**

**Organization Name:** Families for Health  
**Name of Program/Project Described Below:** FitKids (Program to Reduce Obesity)  
**Date Plan Created:** September 1, 2011

**Program Outcome 1** Improve daily intake of healthy foods, reduce sedentary time, increase daily exercise of 8-12 year old overweight Hispanic children through parental behavior changes

**Target Population** Low income Hispanic overweight children (8-12 years) living in East Salinas; 50 parents and 50 children will be served

| EXPECTED ACTIVITIES                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | INDICATORS                                                                                                                                                                                                            | DATA COLLECTION                                                                                                                                                                                                                                                         | EXPECTED RESULTS                                                                                                                                                                                                                                                                                                                                                             | Actual Activities                                                                                                                                                                       | Actual Outcome Results                                                                                                                                                                                                                                                                                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>* Train peer counselors, leaders/presenters in Fit Kids program content and methods</li> <li>* Implement Interactive educational sessions, employing role playing and practicing, held in community centers/homes with 50 parents - 4 hours weekly for 12 weekly sessions targeting use of healthy foods, reducing TV and other sedentary time, and increasing exercise in their children. 6 of 12 sessions will include children.</li> </ul> | <ul style="list-style-type: none"> <li>* Level of daily sedentary activity of children (home)</li> <li>* Level of fruits vegetables in daily diet</li> <li>* Level of daily physical exercise of children.</li> </ul> | <p>Conduct FitKids attitude and behavior questionnaires/inter-views with parents and children at start of program, at 12 weeks (end of sessions) and at 6 months follow-up. Peer counselors will administer surveys; student intern will assist with data analysis.</p> | <ul style="list-style-type: none"> <li>* Level of sedentary time (at home) will decrease from an average of 3 hours/daily to an average of 2 hours.</li> <li>* Level of intake of fruits and vegetables will increase from 1 helping/daily to 4.</li> <li>* Level of daily exercise will increase from an average of 5 minutes to an average of 20 minutes/daily.</li> </ul> | <ul style="list-style-type: none"> <li>* Trained 5 peer counselors, and 5 leaders/presenters.</li> <li>* Conducted the 12 weeks of sessions with 45 parents and 50 children.</li> </ul> | <p>At end of program (12 weeks):</p> <ul style="list-style-type: none"> <li>* level of sedentary activity of children decreased from 3 hours/day to 2 hours (significant)</li> <li>* Level of fruits/vegetables intake increased from 1 helping to 3/daily</li> <li>* Level of daily exercise increased from 5 minutes to 15 minutes/daily</li> </ul> |

Payments Made, by Grantee Organization

12/30/2011

| Payee Organization<br>Project Title, Grant Amount                                                                          | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|----------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| <b>ACTION Council</b>                                                                                                      |                       |                 |                   |                 |                       |
| Operating support for the girl's Youth Leadership<br>program throughout Monterey County<br>\$15,000.00                     | 97816                 | 3/30/2011       | \$15,000.00       |                 | 12195                 |
| <b>AG Against Hunger</b>                                                                                                   |                       |                 |                   |                 |                       |
| Funding for operating support<br>\$10,000.00                                                                               | 97867                 | 9/21/2011       | \$10,000.00       |                 | 12434                 |
| <b>Alisal Center for the Fine Arts, Inc.</b>                                                                               |                       |                 |                   |                 |                       |
| Operating support to continue to provide youth arts<br>programs in East Salinas<br>\$25,000.00                             | 97830                 | 3/8/2011        | \$15,450.00       |                 | 12161                 |
| Operating support to continue to provide youth arts<br>programs in East Salinas<br>\$25,000.00                             | 97830                 | 8/12/2011       | \$9,550.00        |                 | 12377                 |
| Total Alisal Center for the Fine Arts, Inc.<br>(2 items)                                                                   |                       |                 | \$25,000.00       |                 |                       |
| <b>Alliance on Aging</b>                                                                                                   |                       |                 |                   |                 |                       |
| Operating support for their senior service programs<br>thoroughout Monterey County<br>\$40,000.00                          | 97850                 | 1/27/2011       | \$40,000.00       |                 | 12106                 |
| Funding to help with moving and remodeling<br>expenses associated with their new headquarters in<br>Salinas<br>\$25,000.00 | 97937                 | 5/12/2011       | \$25,000.00       |                 | 12248                 |
| Total Alliance on Aging<br>(2 items)                                                                                       |                       |                 | \$65,000.00       |                 |                       |

Alzheimer's Association/Monterey County Chapter

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                                            | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| <i>Funding to provide services to individuals with Alzheimer's disease and their caregivers</i><br>\$30,000.00                                                                                               | 97874                 | 8/24/2011       | \$10,000.00       |                 | 12394                 |
| <i>Funding to provide services to individuals with Alzheimer's disease and their caregivers</i><br>\$30,000.00                                                                                               | 97874                 | 9/21/2011       | \$20,000.00       |                 | 12435                 |
| <i>Total Alzheimer's Association/Monterey County Chapter</i><br>(2 items)                                                                                                                                    |                       |                 | \$30,000.00       |                 |                       |
| <b>American Red Cross Monterey Bay Area Chapter</b><br><i>Funding for the Volunteer Management Program, to purchase a new disaster response vehicle &amp; for additional classroom space</i><br>\$20,000.00  | 97905                 | 11/3/2011       | \$20,000.00       |                 | 12502                 |
| <b>Animal Friends Rescue Project</b><br><i>Funding to help cover medical expenses for rescued animals &amp; to help launch a new community spay/neuter program throughout Monterey County</i><br>\$15,000.00 | 97825                 | 2/24/2011       | \$15,000.00       |                 | 12152                 |
| <b>Ariel Theatrical, Inc.</b><br><i>Operating support for the youth arts programs</i><br>\$25,000.00                                                                                                         | 97936                 | 8/24/2011       | \$25,000.00       |                 | 12395                 |
| <b>Arts Council for Monterey County</b><br><i>Funding to provide arts programs in East Salinas, Gonzales, Soledad &amp; Greenfield communities</i><br>\$15,000.00                                            | 97929                 | 9/8/2011        | \$5,000.00        |                 | 12414                 |
| <i>Funding to provide arts programs in East Salinas, Gonzales, Soledad &amp; Greenfield communities</i><br>\$15,000.00                                                                                       | 97929                 | 11/15/2011      | \$10,000.00       |                 | 12517                 |
| <i>Total Arts Council for Monterey County</i><br>(2 items)                                                                                                                                                   |                       |                 | \$15,000.00       |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                          | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|--------------------|-----------------|-----------------------|
| <b>Arts Habitat</b><br><i>Funding to help develop an arts studio space in<br/>Seaside for arts organizations and artists</i><br>\$5,000.00                                                 | 97804                 | 1/19/2011       | \$5,000.00         |                 | 12077                 |
| <b>Big Sur Health Center</b><br><i>Funding to purchase a portable dental delivery<br/>system unit &amp; dental supplies</i><br>\$10,000.00                                                 | 97801                 | 1/19/2011       | \$10,000.00        |                 | 12079                 |
| <b>Big Sur Land Trust</b><br><i>Funding to support the renovation of the historic<br/>Marks Ranch buildings</i><br>\$25,000.00                                                             | 97848                 | 1/19/2011       | \$25,000.00        |                 | 12080                 |
| <b>Blind and Visually Impaired Center of Monterey<br/>County</b><br><i>Operating support to provide services to individuals<br/>with visual impairments countywide</i><br>\$20,000.00      | 97856                 | 1/27/2011       | \$15,000.00        |                 | 12108                 |
| <i>Operating support to provide services to individuals<br/>with visual impairments countywide</i><br>\$20,000.00                                                                          | 97856                 | 3/30/2011       | \$5,000.00         |                 | 12198                 |
| <b>Total Blind and Visually Impaired Center of Monterey County</b><br>(2 items)                                                                                                            |                       |                 | <u>\$20,000.00</u> |                 |                       |
| <b>Boy Scouts of America Monterey Bay Council</b><br><i>Funding to purchase a four-wheel drive truck &amp; to<br/>help underwrite the costs of a full-time grant writer</i><br>\$35,000 00 | 97795                 | 1/19/2011       | \$35,000.00        |                 | 12081                 |
| <b>Boys &amp; Girls Club of Monterey County</b><br><i>Funding for operating support to continue Big<br/>Brothers/Big Sisters of Monterey County youth</i>                                  | 97866                 | 1/19/2011       | \$15,000.00        |                 | 12082                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                            | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount   | Payment<br>Fund | Check Number<br>Notes |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|---------------------|-----------------|-----------------------|
| <i>mentoring programs in Monterey County</i><br>\$15,000.00                                                                                                                                  |                       |                 |                     |                 |                       |
| <i>General operating support to strengthen their youth<br/>development services &amp; mentoring program</i><br>\$100,000.00                                                                  | 97933                 | 8/24/2011       | \$100,000.00        |                 | 12396                 |
| <i>Total Boys &amp; Girls Club of Monterey County<br/>(2 items)</i>                                                                                                                          |                       |                 | <u>\$115,000.00</u> |                 |                       |
| <b>Breathe California Central Coast</b>                                                                                                                                                      |                       |                 |                     |                 |                       |
| <i>Operating support to continue to provide asthma &amp;<br/>lung education programs in Monterey County</i><br>\$10,000.00                                                                   | 97843                 | 6/28/2011       | \$5,000.00          |                 | 12310                 |
| <i>Operating support to continue to provide asthma &amp;<br/>lung education programs in Monterey County</i><br>\$10,000.00                                                                   | 97843                 | 8/24/2011       | \$5,000.00          |                 | 12397                 |
| <i>Total Breathe California Central Coast<br/>(2 items)</i>                                                                                                                                  |                       |                 | <u>\$10,000.00</u>  |                 |                       |
| <b>California International Airshow</b>                                                                                                                                                      |                       |                 |                     |                 |                       |
| <i>2011 President's box</i><br>\$750.00                                                                                                                                                      | 97948                 | 8/12/2011       | \$750.00            |                 | 12379                 |
| <b>California Shock/Trauma Air Rescue</b>                                                                                                                                                    |                       |                 |                     |                 |                       |
| <i>Funding to purchase a GlideScope Ranger video<br/>laryngoscope for CALSTAR 5 Salinas helicopter to<br/>help increase medical outcomes for Monterey<br/>County patients</i><br>\$17,000.00 | 97845                 | 1/19/2011       | \$17,000.00         |                 | 12084                 |
| <b>Cancer Patients Alliance</b>                                                                                                                                                              |                       |                 |                     |                 |                       |
| <i>Funding to help address cancer care barriers and<br/>disparities throughout Monterey County</i><br>\$10,000.00                                                                            | 97871                 | 10/20/2011      | \$10,000.00         |                 | 12475                 |
| <b>Carmel Art &amp; Film Festival</b>                                                                                                                                                        |                       |                 |                     |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                             | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|--------------------|-----------------|-----------------------|
| <i>Operating support for two festival events designed<br/>for young people at the Carmel Art &amp; Film Festival<br/>to be held in October 2011</i><br>\$10,000.00                            | 97932                 | 7/27/2011       | \$10,000.00        |                 | 12346                 |
| <b>Carmel Bach Festival</b><br><i>Funding to support the music education programs<br/>for youth &amp; Discovery family concerts for 2012<br/>season</i><br>\$10,000.00                        | 97927                 | 9/21/2011       | \$10,000.00        |                 | 12437                 |
| <b>Carmel Ideas Foundation</b><br><i>Funding to help improve the reading skills of<br/>students K-12 &amp; bolster literacy throughout<br/>Monterey County</i><br>\$10,000.00                 | 97889                 | 7/27/2011       | \$10,000.00        |                 | 12346                 |
| <b>Central Coast Center for Independent Living</b><br><i>Operating support to continue to provide services<br/>to persons with disabilities throughout Monterey<br/>County</i><br>\$30,000.00 | 97824                 | 3/30/2011       | \$20,000.00        |                 | 12201                 |
| <i>Operating support to continue to provide services<br/>to persons with disabilities throughout Monterey<br/>County</i><br>\$30,000.00                                                       | 97824                 | 10/20/2011      | \$10,000.00        |                 | 12477                 |
| <b>Total Central Coast Center for Independent Living<br/>(2 items)</b>                                                                                                                        |                       |                 | <u>\$30,000.00</u> |                 |                       |
| <b>Central Coast HIV/AIDS Services</b><br><i>Operating support for the HIV Education,<br/>Prevention &amp; Testing programs throughout<br/>Monterey County</i><br>\$25,000.00                 | 97833                 | 6/28/2011       | \$25,000.00        |                 | 12312                 |
| <b>Central Coast Visiting Nurse Association &amp;</b>                                                                                                                                         |                       |                 |                    |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                      | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
|--------------------------------------------------------------------------------------------------------|-----------------------|-----------------|--------------------|-----------------|-----------------------|
| <b>Hospice</b>                                                                                         |                       |                 |                    |                 |                       |
| <i>Funding to provide palliative care training for all of their clinicians</i>                         | 97891                 | 10/20/2011      | \$25,000.00        |                 | 12476                 |
| <i>\$25,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <b>Central Coast YMCA</b>                                                                              |                       |                 |                    |                 |                       |
| <i>Operating support for their youth development programs throughout Monterey County</i>               | 97807                 | 2/24/2011       | \$50,000.00        |                 | 12154                 |
| <i>\$50,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <b>Chartwell School</b>                                                                                |                       |                 |                    |                 |                       |
| <i>Operating support for the Special Services and Advancement for Language &amp; Literacy programs</i> | 97790                 | 1/27/2011       | \$20,000.00        |                 | 12110                 |
| <i>\$20,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <b>Children's Hospice &amp; Palliative Care Coalition</b>                                              |                       |                 |                    |                 |                       |
| <i>Funding to provide services for critically ill children &amp; their families in Monterey County</i> | 97918                 | 10/20/2011      | \$5,000.00         |                 | 12478                 |
| <i>\$20,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <i>Funding to provide services for critically ill children &amp; their families in Monterey County</i> | 97918                 | 11/3/2011       | \$5,000.00         |                 | 12507                 |
| <i>\$20,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <i>Funding to provide services for critically ill children &amp; their families in Monterey County</i> | 97918                 | 12/15/2011      | \$5,000.00         |                 | 12555                 |
| <i>\$20,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <i>Funding to provide services for critically ill children &amp; their families in Monterey County</i> | 97918                 | 12/29/2011      | \$5,000.00         |                 | 12588                 |
| <i>\$20,000.00</i>                                                                                     |                       |                 |                    |                 |                       |
| <b>Total Children's Hospice &amp; Palliative Care Coalition</b>                                        |                       |                 | <u>\$20,000.00</u> |                 |                       |
|                                                                                                        |                       |                 | (4 items)          |                 |                       |
| <b>Christine Marie's Star Riders</b>                                                                   |                       |                 |                    |                 |                       |
| <i>Funding for the therapeutic riding program for families in Monterey County</i>                      | 97789                 | 2/15/2011       | \$25,000.00        |                 | 12140                 |
| <i>\$25,000.00</i>                                                                                     |                       |                 |                    |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                                               | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|--------------------|-----------------|-----------------------|
| <b>Classic Guitar Society of Carmel</b><br><i>Operating support to present performances in 40 elementary schools for 4th &amp; 5th grade students in Monterey County</i><br>\$6,250.00                          | 97904                 | 7/27/2011       | \$6,250.00         |                 | 12348                 |
| <b>Coalition of Homeless Services Providers</b><br><i>Funding to continue to provide planning &amp; coordination of homeless services throughout Monterey County</i><br>\$10,000.00                             | 97920                 | 10/5/2011       | \$10,000.00        |                 | 12461                 |
| <b>Coastal Kids Home Care</b><br><i>Funding to help underwrite the costs of providing home health care for chronically ill children in Monterey County</i><br>\$20,000.00                                       | 97921                 | 8/24/2011       | \$20,000.00        |                 | 12398                 |
| <b>Colleagues of the Arts</b><br><i>Funding to help talented youth in Monterey County cover the costs of lessons, instruments, program expenses &amp; other appropriate expenses</i><br>\$10,000.00             | 97930                 | 9/21/2011       | \$10,000.00        |                 | 12438                 |
| <b>Community Human Services Project</b><br><i>Operating support to continue to provide substance abuse, mental health &amp; youth services including the SuperKids &amp; SuperTeens programs</i><br>\$30,000.00 | 97826                 | 3/30/2011       | \$20,000.00        |                 | 12202                 |
| <i>Operating support to continue to provide substance abuse, mental health &amp; youth services including the SuperKids &amp; SuperTeens programs</i><br>\$30,000.00                                            | 97826                 | 6/13/2011       | \$10,000.00        |                 | 12290                 |
| <b>Total Community Human Services Project</b>                                                                                                                                                                   |                       |                 | <u>\$30,000.00</u> |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                          | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| (2 items)                                                                                                                                                  |                       |                 |                   |                 |                       |
| <b>Community Partnership for Youth</b>                                                                                                                     |                       |                 |                   |                 |                       |
| <i>Operating support to continue to provide after-school youth programs in Salinas &amp; Seaside</i><br>\$25,000.00                                        | 97931                 | 8/12/2011       | \$25,000.00       |                 | 12380                 |
| <b>Compassionate Care Alliance</b>                                                                                                                         |                       |                 |                   |                 |                       |
| <i>Funding to provide educational outreach on end of life issues to Monterey County residents</i><br>\$5,000 00                                            | 97886                 | 9/21/2011       | \$1,300.00        |                 | 12440                 |
| <i>Funding to provide educational outreach on end of life issues to Monterey County residents</i><br>\$5,000 00                                            | 97886                 | 10/20/2011      | \$3,700.00        |                 | 12480                 |
| <b>Total Compassionate Care Alliance</b>                                                                                                                   |                       |                 | \$5,000.00        |                 |                       |
| (2 items)                                                                                                                                                  |                       |                 |                   |                 |                       |
| <b>Council on Foundation</b>                                                                                                                               |                       |                 |                   |                 |                       |
| <i>2011 membership</i><br>\$6,810 00                                                                                                                       | 97865                 | 1/19/2011       | \$6,810.00        |                 | 12086                 |
| <b>Door to Hope</b>                                                                                                                                        |                       |                 |                   |                 |                       |
| <i>Funding for improvements to their driveway/parking area &amp; to construct a training room at two of their women's residential homes</i><br>\$25,000 00 | 97844                 | 1/19/2011       | \$25,000.00       |                 | 12087                 |
| <i>Purchas of the 2011 Christmas Poinsettia</i><br>\$215.00                                                                                                | 98004                 | 11/15/2011      | \$215.00          |                 | 12520                 |
| <b>Total Door to Hope</b>                                                                                                                                  |                       |                 | \$25,215.00       |                 |                       |
| (2 items)                                                                                                                                                  |                       |                 |                   |                 |                       |
| <b>Easter Seals Central California</b>                                                                                                                     |                       |                 |                   |                 |                       |
| <i>Funding to provide developmental play based intensive interventions for children under 8 years in Monterey County</i><br>\$25,000.00                    | 97769                 | 1/19/2011       | \$25,000.00       |                 | 12088                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                                   | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| <b>El Camino Real Futbol League</b><br><i>Funding to provide soccer activities for youth ages 4-18 years</i><br>\$20,000.00                                                                         | 97852                 | 5/12/2011       | \$20,000.00       |                 | 12255                 |
| <b>El Sistema USA/Salinas, Inc.</b><br><i>Operating support to continue &amp; expand the after-school and summer arts programs for disadvantaged youth in Salinas</i><br>\$50,000.00                | 97902                 | 7/27/2011       | \$50,000.00       |                 | 12351                 |
| <b>Exploring New Horizons Outdoor Schools</b><br><i>Funding to help 520 Salinas students attend a 5-day outdoor education program</i><br>\$15,000.00                                                | 97820                 | 2/24/2011       | \$15,000.00       |                 | 12155                 |
| <b>Family Service Agency of the Central Coast</b><br><i>Operating support for the suicide prevention education programs in Monterey County</i><br>\$25,000.00                                       | 97818                 | 7/27/2011       | \$25,000.00       |                 | 12352                 |
| <b>First Night Monterey</b><br><i>Operating support for the ArtWorks' year-round community outreach programs &amp; arts education workshops for youth throughout Monterey County</i><br>\$10,000.00 | 97900                 | 11/15/2011      | \$10,000.00       |                 | 12521                 |
| <b>Food Bank for Monterey County</b><br><i>Funding for the Emergency Food Assistance Program in Monterey County</i><br>\$50,000 00                                                                  | 97794                 | 2/4/2011        | \$50,000.00       |                 | 12128                 |
| <b>Foundation for Monterey County Free Libraries</b><br><i>Operating support for the homework centers</i>                                                                                           | 97872                 | 12/29/2011      | \$40,000.00       |                 | 12582                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                           | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
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| <i>throughout Monterey County</i><br>\$40,000.00                                                                                                                            |                       |                 |                    |                 |                       |
| <b>Foundation for the Media Center for Art,<br/>Education &amp; Technology</b>                                                                                              |                       |                 |                    |                 |                       |
| <i>Funding to support acquisition of a mobile video<br/>production unit allowing students an opportunity to<br/>work on mobile video production projects</i><br>\$30,000.00 | 97836                 | 1/19/2011       | \$30,000.00        |                 | 12089                 |
| <b>Franciscan Workers of Junipero Serra</b>                                                                                                                                 |                       |                 |                    |                 |                       |
| <i>Funding for operating support and for kitchen<br/>renovation costs for Dorothy's Place</i><br>\$35,000 00                                                                | 97799                 | 1/19/2011       | \$10,000 00        |                 | 12090                 |
| <i>Funding for operating support and for kitchen<br/>renovation costs for Dorothy's Place</i><br>\$35,000.00                                                                | 97799                 | 3/17/2011       | \$25,000 00        |                 | 12186                 |
| <i>Operating support to continue to provide meals to<br/>the poor &amp; homeless and capital funds to purchase<br/>a computer and software</i><br>\$26,250.00               | 97922                 | 7/27/2011       | \$1,250.00         |                 | 12354                 |
| <i>Operating support to continue to provide meals to<br/>the poor &amp; homeless and capital funds to purchase<br/>a computer and software</i><br>\$26,250.00               | 97922                 | 8/24/2011       | \$20,000.00        |                 | 12401                 |
| <b>Total Franciscan Workers of Junipero Serra<br/>(4 items)</b>                                                                                                             |                       |                 | <u>\$56,250.00</u> |                 |                       |
| <b>Gateway Center of Monterey County, Inc.</b>                                                                                                                              |                       |                 |                    |                 |                       |
| <i>Capital funds for phase 2 of the reconfiguration of<br/>the existing 49 bed facility into separately licensed<br/>facilities</i><br>\$20,000.00                          | 97909                 | 7/27/2011       | \$20,000.00        |                 | 12355                 |
| <b>Girl Scouts of California's Central Coast</b>                                                                                                                            |                       |                 |                    |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                  | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| <i>Funding to support the East Salinas programs and to help purchase six new computers.</i><br>\$20,000.00                                                                         | 97847                 | 4/26/2011       | \$20,000.00       |                 | 12238                 |
| <b>Gonzales Youth Football</b>                                                                                                                                                     |                       |                 |                   |                 |                       |
| <i>Funding to continue to provide opportunities for South County youth to participate in football activities</i><br>\$5,000.00                                                     | 97882                 | 7/27/2011       | \$5,000.00        |                 | 12356                 |
| <b>Grower-Shipper Association Foundation</b>                                                                                                                                       |                       |                 |                   |                 |                       |
| <i>Funding for the Ag Knowledge program, providing Fellows with a diverse, social, cultural, &amp; business geography of agriculture throughout Monterey County</i><br>\$10,000.00 | 97849                 | 1/19/2011       | \$10,000.00       |                 | 12091                 |
| <b>GuideStar</b>                                                                                                                                                                   |                       |                 |                   |                 |                       |
| <i>Honorary membership for 2011</i><br>\$500.00                                                                                                                                    | 97870                 | 2/15/2011       | \$500.00          |                 | 12142                 |
| <b>Harmony at Home</b>                                                                                                                                                             |                       |                 |                   |                 |                       |
| <i>Operating support for the school-based counseling programs throughout Monterey County</i><br>\$25,000.00                                                                        | 97829                 | 1/19/2011       | \$25,000.00       |                 | 12093                 |
| <b>Hartnell College Foundation</b>                                                                                                                                                 |                       |                 |                   |                 |                       |
| <i>To name an appropriate room in a building to honor Mr. Tom Merrill</i><br>\$100,000.00                                                                                          | 97577                 | 2/24/2011       | \$50,000.00       |                 | 12156                 |
| <b>Healthy Eating Lifestyle Principles, Inc.</b>                                                                                                                                   |                       |                 |                   |                 |                       |
| <i>Funding to support the After-School Garden Club project, to help teach students about nutrition and healthy eating</i><br>\$10,000.00                                           | 97907                 | 10/5/2011       | \$10,000.00       |                 | 12464                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                           | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
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| <b>Housing Resource Center of Monterey County</b><br><i>Operating support to continue to provide rental assistance &amp; financial literacy and tenant education</i><br>\$10,000.00         | 97803                 | 5/12/2011       | \$10,000.00        |                 | 12259                 |
| <b>Interim, Inc.</b><br><i>Funding to purchase two small cars for their Supported Education &amp; Employment program, serving individuals with psychiatric disabilities.</i><br>\$17,500.00 | 97855                 | 1/19/2011       | \$17,500.00        |                 | 12095                 |
| <b>Jacob's Heart Children's Cancer Association</b><br><i>Operating support to provide services to individuals with cancer and their families</i><br>\$20,000.00                             | 97739                 | 3/8/2011        | \$10,000.00        |                 | 12168                 |
| <b>Josephine Kernes Memorial Pool</b><br><i>Operating support to provide warm water aquatic programs for individuals with disabilities</i><br>\$20,000.00                                   | 97814                 | 7/14/2011       | \$9,000.00         |                 | 12329                 |
| <i>Operating support to provide warm water aquatic programs for individuals with disabilities</i><br>\$20,000.00                                                                            | 97814                 | 12/15/2011      | \$11,000.00        |                 | 12560                 |
| <b>Total Josephine Kernes Memorial Pool</b><br>(2 items)                                                                                                                                    |                       |                 | <u>\$20,000.00</u> |                 |                       |
| <b>Junior Achievement of Northern California</b><br><i>Funding to deliver JA programs at 15 Salinas high school classes to approximately 450 students</i><br>\$15,000.00                    | 97858                 | 5/12/2011       | \$15,000.00        |                 | 12261                 |
| <b>Kinship Center</b><br><i>Operating support for the Relative Caregiver</i>                                                                                                                | 97925                 | 9/8/2011        | \$25,000.00        |                 | 12421                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                         | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
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| <i>Program, serving families caring for abused,<br/>neglected or abandoned children</i><br>\$25,000.00                                    |                       |                 |                    |                 |                       |
| <b>Legal Services for Seniors</b>                                                                                                         |                       |                 |                    |                 |                       |
| <i>Operating support to provide legal services to over<br/>3,000 low-to-moderate income seniors in Monterey<br/>County</i><br>\$25,000.00 | 97736                 | 1/4/2011        | \$25,000.00        |                 | 12058                 |
| <i>Operating support to provide free legal assistance<br/>to over 3,000 seniors in Monterey County</i><br>\$25,000.00                     | 97913                 | 12/16/2011      | \$25,000.00        |                 | 12575                 |
| <b>Total Legal Services for Seniors<br/>(2 items)</b>                                                                                     |                       |                 | <u>\$50,000.00</u> |                 |                       |
| <b>Life Is For Everyone, Inc.</b>                                                                                                         |                       |                 |                    |                 |                       |
| <i>Operating support to provide after-school activities<br/>for youth in East Salinas</i><br>\$15,000.00                                  | 97742                 | 2/24/2011       | \$5,000.00         |                 | 12157                 |
| <i>Operating support to provide after-school activities<br/>for youth in East Salinas</i><br>\$15,000.00                                  | 97742                 | 7/14/2011       | \$5,000.00         |                 | 12330                 |
| <b>Total Life Is For Everyone, Inc<br/>(2 items)</b>                                                                                      |                       |                 | <u>\$10,000.00</u> |                 |                       |
| <b>Lyceum of Monterey County</b>                                                                                                          |                       |                 |                    |                 |                       |
| <i>Operating support for their after school enrichment<br/>programs countywide</i><br>\$20,000.00                                         | 97851                 | 1/27/2011       | \$6,675.00         |                 | 12117                 |
| <i>Operating support for their after school enrichment<br/>programs countywide</i><br>\$20,000.00                                         | 97851                 | 3/8/2011        | \$6,000.00         |                 | 12170                 |
| <i>Operating support for their after school enrichment<br/>programs countywide</i><br>\$20,000.00                                         | 97851                 | 4/15/2011       | \$7,325.00         |                 | 12230                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                      | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| <b>Total Lyceum of Monterey County</b><br>(3 items)                                                                                    |                       |                 |                   |                 |                       |
|                                                                                                                                        |                       |                 | \$20,000.00       |                 |                       |
| <b>Meals on Wheels of the Salinas Valley, Inc.</b>                                                                                     |                       |                 |                   |                 |                       |
| Operating funds to provide home-delivered meals to seniors throughout Monterey County \$50,000.00                                      | 97728                 | 2/1/2011        | \$10,000.00       |                 | 12132                 |
| Operating funds to provide home-delivered meals to seniors throughout Monterey County \$50,000.00                                      | 97728                 | 2/15/2011       | \$10,000.00       |                 | 12144                 |
| Operating support to continue to provide home-delivered meals to seniors and disabled adults throughout the Salinas Valley \$50,000.00 | 97873                 | 8/24/2011       | \$10,000.00       |                 | 12405                 |
| Operating support to continue to provide home-delivered meals to seniors and disabled adults throughout the Salinas Valley \$50,000.00 | 97873                 | 10/20/2011      | \$10,000.00       |                 | 12487                 |
| Operating support to continue to provide home-delivered meals to seniors and disabled adults throughout the Salinas Valley \$50,000.00 | 97873                 | 11/15/2011      | \$10,000.00       |                 | 12527                 |
| Operating support to continue to provide home-delivered meals to seniors and disabled adults throughout the Salinas Valley \$50,000.00 | 97873                 | 12/15/2011      | \$10,000.00       |                 | 12564                 |
| <b>Total Meals on Wheels of the Salinas Valley, Inc</b><br>(6 items)                                                                   |                       |                 |                   |                 |                       |
|                                                                                                                                        |                       |                 | \$60,000.00       |                 |                       |
| <b>Mee Memorial Hospital Foundation</b>                                                                                                |                       |                 |                   |                 |                       |
| Funding to help purchase a new digital mammography machine \$50,000.00                                                                 | 97802                 | 1/19/2011       | \$50,000.00       |                 | 12097                 |

| Payee Organization<br>Project Title, Grant Amount                                            | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount  | Payment<br>Fund | Check Number<br>Notes |
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| <b>Monterey Bay Retired Senior Volunteer Program</b>                                         |                       |                 |                    |                 |                       |
| Operating support for the Senior Volunteer Program in Monterey County                        | 97741                 | 1/27/2011       | \$10,000.00        |                 | 12119                 |
| Operating support for the senior volunteer program in Monterey County                        | 97880                 | 11/30/2011      | \$20,000.00        |                 | 12546                 |
| <b>Total Monterey Bay Retired Senior Volunteer Program</b><br>(2 items)                      |                       |                 | <u>\$30,000.00</u> |                 |                       |
| <b>Court Appointed Special Advocates of Monterey County</b>                                  |                       |                 |                    |                 |                       |
| Operating support to continue to serve abused & neglected children in Monterey County        | 97809                 | 4/15/2011       | \$10,000.00        |                 | 12228                 |
| Operating support to continue to serve abused & neglected children in Monterey County        | 97809                 | 4/26/2011       | \$10,000.00        |                 | 12235                 |
| Operating support to continue to serve abused & neglected children in Monterey County        | 97809                 | 6/13/2011       | \$10,000.00        |                 | 12289                 |
| <b>Total Court Appointed Special Advocates of Monterey County</b><br>(3 items)               |                       |                 | <u>\$30,000.00</u> |                 |                       |
| <b>Monterey County Police Activities League</b>                                              |                       |                 |                    |                 |                       |
| Operating support for the Youth Leadership and Life Skills programs in North Monterey County | 97821                 | 2/4/2011        | \$12,898.00        |                 | 12133                 |
| Operating support for the Youth Leadership and Life Skills programs in North Monterey County | 97821                 | 3/17/2011       | \$10,880.00        |                 | 12194                 |
| Operating support for the Youth Leadership and Life Skills programs in North Monterey County | 97821                 | 4/11/2011       | \$1,222.00         |                 | 12220                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                                                       | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| Total Monterey County Police Activities League<br>(3 items)                                                                                                                                                             |                       |                 |                   |                 |                       |
|                                                                                                                                                                                                                         |                       |                 | \$25,000.00       |                 |                       |
| <b>Monterey County Symphony Association</b><br><i>Funding to support the Music in the Schools<br/>education program and the 7-Concert Series in<br/>Salinas</i>                                                         | 97910                 | 9/21/2011       | \$40,000.00       |                 | 12446                 |
| <b>Monterey Institute for Research in Astronomy</b><br><i>Funding to purchase equipment &amp; furnishings for<br/>their education and research space for the high<br/>school &amp; college intern program</i>           | 97810                 | 1/19/2011       | \$10,000.00       |                 | 12098                 |
| <b>Monterey Jazz Festival</b><br><i>Funding for the Traveling Clinicians and Latin Jazz<br/>youth arts programs in the schools throughout<br/>Monterey County</i>                                                       | 97726                 | 8/24/2011       | \$10,000.00       |                 | 12406                 |
| <b>Monterey Museum of Art</b><br><i>Funding to develop &amp; sustain the arts education<br/>programs and to make its collection, exhibitions &amp;<br/>virtual outreach accessible to Monterey County<br/>residents</i> | 97898                 | 9/8/2011        | \$10,000.00       |                 | 12424                 |
| <b>Monterey County Rape Crisis Center</b><br><i>Operating support for the child abuse prevention<br/>education program in schools throughout Monterey<br/>County</i>                                                    | 97899                 | 11/3/2011       | \$20,000.00       |                 | 12513                 |
| <b>Multiple Sclerosis Quality of Life Project</b><br><i>Operating support to continue to provide services to<br/>individuals with multiple sclerosis throughout</i>                                                     | 97857                 | 3/30/2011       | \$20,000.00       |                 | 12208                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                        | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| Monterey County<br>\$20,000.00                                                                                                           |                       |                 |                   |                 |                       |
| <b>Natividad Medical Foundation</b>                                                                                                      |                       |                 |                   |                 |                       |
| Funding for the continued development of a strong<br>philanthropic program in support of the Natividad<br>Medical Center.<br>\$20,000.00 | 97772                 | 2/15/2011       | \$20,000.00       |                 | 12145                 |
| Funding to purchase equipment to keep newborns<br>delivered by Cesarean section warm until taken to<br>the nursery<br>\$40,725.00        | 97924                 | 7/27/2011       | \$40,725.00       |                 | 12358                 |
| Total Natividad Medical Foundation<br>(2 items)                                                                                          |                       |                 | \$60,725 00       |                 |                       |
| <b>O'Neill Sea Odyssey</b>                                                                                                               |                       |                 |                   |                 |                       |
| Funding to allow 300 youth from Monterey County<br>to participate in their ocean-based science &<br>ecology program<br>\$15,000.00       | 97786                 | 3/8/2011        | \$15,000.00       |                 | 12171                 |
| <b>Pacific Grove Art Center Associates, Inc.</b>                                                                                         |                       |                 |                   |                 |                       |
| Operating support to continue to provide art shows<br>and exhibits<br>\$5,000.00                                                         | 97811                 | 3/1/2011        | \$5,000.00        |                 | 12173                 |
| <b>Pacific Repertory Theatre</b>                                                                                                         |                       |                 |                   |                 |                       |
| Funding for the School of Dramatic Arts and<br>Tx4Kids programs for students throughout<br>Monterey County<br>\$20,000 00                | 97806                 | 1/27/2011       | \$20,000.00       |                 | 12120                 |
| Funding for the education & community outreach<br>programs and for the Golden Bough Theatre<br>remodeling project<br>\$20,000.00         | 97885                 | 8/25/2011       | \$15,000 00       |                 | 12412                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                     | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| <i>Funding for the education &amp; community outreach programs and for the Golden Bough Theatre remodeling project</i><br>\$20,000.00                 | 97885                 | 9/21/2011       | \$5,000.00        |                 | 12449                 |
| <i>Total Pacific Repertory Theatre</i><br>(3 items)                                                                                                   |                       |                 | \$40,000.00       |                 |                       |
| <b>Partners For Peace</b>                                                                                                                             |                       |                 |                   |                 |                       |
| <i>Operating support for the Strengthening Families &amp; Youth program serving parents and youth 10-14 years</i><br>\$25,000.00                      | 97853                 | 4/15/2011       | \$20,000.00       |                 | 12232                 |
| <i>Operating support for the Strengthening Families &amp; Youth program serving parents and youth 10-14 years</i><br>\$25,000.00                      | 97853                 | 5/25/2011       | \$5,000.00        |                 | 12281                 |
| <i>Total Partners For Peace</i><br>(2 items)                                                                                                          |                       |                 | \$25,000.00       |                 |                       |
| <b>Project Kindle, Inc.</b>                                                                                                                           |                       |                 |                   |                 |                       |
| <i>Funding to provide support services to children affected by HIV/AIDS &amp; to help underwrite the costs of attending Camp Kindle</i><br>\$5,000.00 | 97895                 | 10/21/2011      | \$5,000.00        |                 | 12500                 |
| <b>Public Recreation Unlimited, Inc.</b>                                                                                                              |                       |                 |                   |                 |                       |
| <i>Funding for the 2011 Miss California Rodeo Tiara &amp; Buckle</i><br>\$3,175.00                                                                    | 97938                 | 5/12/2011       | \$3,175.00        |                 | 12265                 |
| <i>Funding for the construction of a new stadium at the Salinas Sports Complex</i><br>\$500,000.00                                                    | 97877                 | 6/28/2011       | \$167,000.00      |                 | 12321                 |
| <i>Total Public Recreation Unlimited, Inc.</i><br>(2 items)                                                                                           |                       |                 | \$170,175.00      |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                    | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
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| <b>Raising A Reader</b><br><i>Funding to support the early childhood literacy and<br/>parent engagement program throughout Monterey<br/>County</i><br>\$10,000.00                    | 97864                 | 7/27/2011       | \$10,000.00       |                 | 12361                 |
| <b>Restorative Justice Partners, Inc.</b><br><i>Operating support for two programs serving youth,<br/>their families &amp; victims throughout Monterey<br/>County</i><br>\$10,000.00 | 97798                 | 4/26/2011       | \$10,000.00       |                 | 12244                 |
| <b>Salinas Girls Fast Pitch, Inc.</b><br><i>Operating support to help 5 teams to go either<br/>regional or national tournaments</i><br>\$10,000.00                                   | 97941                 | 7/27/2011       | \$10,000.00       |                 | 12363                 |
| <b>Salinas Jaycees Foundation, Inc.</b><br><i>Funding for the 2011 Children's Christmas<br/>Shopping Tour</i><br>\$10,000.00                                                         | 98005                 | 11/15/2011      | \$10,000.00       |                 | 12531                 |
| <b>Salinas Police Activities League</b><br><i>Armory building ADA upgrades</i><br>\$100,000.00                                                                                       | 97780                 | 7/27/2011       | \$100,000.00      |                 | 12364                 |
| <i>Funding to support the youth programs</i><br>\$30,000.00                                                                                                                          | 97839                 | 9/8/2011        | \$30,000.00       |                 | 12428                 |
| <i>Funding for the 2011 Christmas Toy drive</i><br>\$10,000.00                                                                                                                       | 98003                 | 10/20/2011      | \$10,000.00       |                 | 12492                 |
| <b>Total Salinas Police Activities League</b><br>(3 items)                                                                                                                           |                       |                 | \$140,000.00      |                 |                       |
| <b>Salinas Pop Warner</b><br><i>Funding to purchase uniforms and recertify<br/>equipment for youth ages 6-14</i>                                                                     | 97797                 | 1/19/2011       | \$10,000.00       |                 | 12101                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                                                                                               | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| \$10,000.00                                                                                                                                                                                                                                     |                       |                 |                   |                 |                       |
| <b>Salinas Salad Bowl Bobby Sox</b><br><i>Funding to send six teams to represent Salinas at the District, Regional and National All-Star tournaments in Salinas, Vacaville and Fairfield</i><br>\$4,000 00                                      | 97942                 | 7/27/2011       | \$4,000.00        |                 | 12365                 |
| <b>Salinas Valley High School Ag., Inc.</b><br><i>Start-up funds to purchase equipment for Ag classes &amp; FFA programs and to create a sustainable fundraising system for seven schools collectively throughout the county</i><br>\$50,000.00 | 97815                 | 7/27/2011       | \$50,000.00       |                 | 12366                 |
| <b>Salinas Valley Memorial Hospital Foundation</b><br><i>Funding for the Vinci Robotic Surgical Room</i><br>\$500,000 00                                                                                                                        | 97718                 | 1/19/2011       | \$100,000.00      |                 | 12102                 |
| <b>Salinas Valley Youth Soccer League</b><br><i>Funding to continue their youth soccer programs serving youth ages 4-12 years</i><br>\$15,000.00                                                                                                | 97813                 | 3/17/2011       | \$5,000.00        |                 | 12191                 |
| <i>Funding to continue their youth soccer programs serving youth ages 4-12 years</i><br>\$15,000.00                                                                                                                                             | 97813                 | 6/28/2011       | \$5,000.00        |                 | 12322                 |
| <i>Funding to continue their youth soccer programs serving youth ages 4-12 years</i><br>\$15,000 00                                                                                                                                             | 97813                 | 10/5/2011       | \$5,000.00        |                 | 12471                 |
| <b>Total Salinas Valley Youth Soccer League</b><br>(3 items)                                                                                                                                                                                    |                       |                 | \$15,000.00       |                 |                       |
| <b>Salvation Army Service Extension</b><br><i>Operating support to provide basic needs services in rural areas of the Salinas Valley</i>                                                                                                        | 97869                 | 7/27/2011       | \$20,000.00       |                 | 12367                 |

| Payee Organization<br>Project Title, Grant Amount                                                                                        | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| \$20,000.00                                                                                                                              |                       |                 |                   |                 |                       |
| <b>Seniors Council of Santa Cruz and San Benito<br/>County</b>                                                                           |                       |                 |                   |                 |                       |
| <i>Funding for the Foster Grandparent Program in<br/>Monterey County</i>                                                                 | 97800                 | 7/14/2011       | \$25,000.00       |                 | 12339                 |
| \$25,000.00                                                                                                                              |                       |                 |                   |                 |                       |
| <b>Shelter Outreach Plus</b>                                                                                                             |                       |                 |                   |                 |                       |
| <i>Funding to continue to offer nightly shelter &amp;<br/>supportive services to single homeless men in<br/>Salinas</i>                  | 97916                 | 10/20/2011      | \$15,000.00       |                 | 12493                 |
| \$15,000.00                                                                                                                              |                       |                 |                   |                 |                       |
| <b>Society for the Prevention of Cruelty to Animals-<br/>SPCA</b>                                                                        |                       |                 |                   |                 |                       |
| <i>Funds for their capital project to renovate and<br/>expand the animal support areas</i>                                               | 97443                 | 1/19/2011       | \$50,000.00       |                 | 12104                 |
| \$250,000.00                                                                                                                             |                       |                 |                   |                 |                       |
| <b>Sol Treasures, Inc.</b>                                                                                                               |                       |                 |                   |                 |                       |
| <i>Funding to support the music, art &amp; theater<br/>programs at their arts &amp; cultural enrichment center<br/>in King City</i>      | 97823                 | 1/19/2011       | \$10,000.00       |                 | 12103                 |
| \$10,000.00                                                                                                                              |                       |                 |                   |                 |                       |
| <b>SpectorDance</b>                                                                                                                      |                       |                 |                   |                 |                       |
| <i>Funding to support their educational programs<br/>geared toward expanding cultural opportunities for<br/>youth in Monterey County</i> | 97934                 | 9/8/2011        | \$5,000.00        |                 | 12432                 |
| \$5,000.00                                                                                                                               |                       |                 |                   |                 |                       |
| <b>National Steinbeck Center Foundation</b>                                                                                              |                       |                 |                   |                 |                       |
| <i>Funding to support technology upgrades, youth<br/>writing programs &amp; public arts programs</i>                                     | 97774                 | 5/20/2011       | \$27,500.00       |                 | 12275                 |
| \$50,000.00                                                                                                                              |                       |                 |                   |                 |                       |

| Payee Organization<br>Project Title, Grant Amount                                                                                                                | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount | Payment<br>Fund | Check Number<br>Notes |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-------------------|-----------------|-----------------------|
| <b>Sunrise House</b><br><i>Operating support to provide youth alternative to violence programs for youth &amp; their families</i><br>\$20,000.00                 | 97906                 | 9/21/2011       | \$20,000.00       |                 | 12453                 |
| <b>United Way of Monterey County</b><br><i>General operating support and capital funds for a new management database for the Volunteer Center</i><br>\$15,000.00 | 97903                 | 9/8/2011        | \$15,000.00       |                 | 12433                 |
| <b>Ventana Wildlife Society</b><br><i>Operating support for the youth Education and Outreach programs throughout Monterey County</i><br>\$20,000.00              | 97831                 | 7/14/2011       | \$20,000.00       |                 | 12341                 |
| <b>Veterans Transition Center of Monterey County</b><br><i>Funding for operating support</i><br>\$15,000.00                                                      | 97868                 | 7/27/2011       | \$15,000.00       |                 | 12368                 |
| <b>Young at Heart Project</b><br><i>Funding to support monthly programs at senior residential &amp; convalescent facilities in Monterey County</i><br>\$5,000.00 | 97861                 | 12/29/2011      | \$5,000.00        |                 | 12587                 |
| <b>Young Life Monterey County</b><br><i>Operating support for their youth programs throughout Monterey County</i><br>\$20,000.00                                 | 97812                 | 1/27/2011       | \$10,000.00       |                 | 12124                 |
| <i>Operating support for their youth programs throughout Monterey County</i><br>\$20,000.00                                                                      | 97812                 | 4/26/2011       | \$10,000.00       |                 | 12246                 |

| Payee Organization<br>Project Title, Grant Amount                                                                  | Grant No.<br>Ref. No. | Payment<br>Date | Payment<br>Amount     | Payment<br>Fund | Check Number<br>Notes |
|--------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------|-----------------------|-----------------|-----------------------|
| <i>Total Young Life Monterey County</i><br>(2 items)                                                               |                       |                 |                       |                 |                       |
| <b>Youth Arts Collective</b>                                                                                       |                       |                 |                       |                 |                       |
| <i>Operating support to continue the after-school youth arts programs</i><br>\$20,000.00                           | 97875                 | 9/21/2011       | \$20,000.00           |                 | 12455                 |
| <b>Youth Music Monterey</b>                                                                                        |                       |                 |                       |                 |                       |
| <i>Operating support for the Youth &amp; Honors orchestras and the South County Strings program</i><br>\$15,000.00 | 97878                 | 11/3/2011       | \$15,000.00           |                 | 12516                 |
| <b>YWCA of Monterey County</b>                                                                                     |                       |                 |                       |                 |                       |
| <i>Funding to create additional offices in the Salinas space and to acquire some computers</i><br>\$25,000.00      | 97862                 | 1/19/2011       | \$25,000.00           |                 | 12105                 |
| <b>Grand Total</b><br>(151 items)                                                                                  |                       |                 | <u>\$2,762,675.00</u> |                 |                       |