Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
**or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation
MIMI AND PETER HAAS FUND

A Employer identification number
94-6064551

Number and street (or P.O. box number if mail is not delivered to street address) | Room/suite
201 FILBERT STREET, FIFTH FLOOR

B Telephone number (see instructions)
(415) 296-9249

City or town, state, and ZIP code
SAN FRANCISCO, CA 94133-3238

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 184,840,304.

J Accounting method: ☐ Cash [X] Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3 Interest on savings and temporary cash investments	129.	129.		ATCH 1
	4 Dividends and interest from securities	1,306,131.	1,306,131.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,594,626.			
	b Gross sales price for all assets on line 6a 280,746,296.				
	7 Capital gain net income (from Part IV, line 2)		5,594,626.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-5,952,864.	1,525,780.		ATCH 3
	12 **Total.** Add lines 1 through 11	948,022.	8,426,666.	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	250,000.			250,000.
	14 Other employee salaries and wages	338,096.			338,096.
	15 Pension plans, employee benefits	178,962.			178,962.
	16a Legal fees (attach schedule) ATCH 4	989.	494.		495.
	b Accounting fees (attach schedule) ATCH 5	181,500.	119,750.		61,750.
	c Other professional fees (attach schedule) *	2,021,782.	2,021,782.		
	17 Interest ATTACHMENT 7	13,001.	13,001.		
	18 Taxes (attach schedule) (see instructions) **	-125,722.			-127.
	19 Depreciation (attach schedule) and depletion	8,390.			
	20 Occupancy	219,285.			219,285.
	21 Travel, conferences, and meetings	6,750.			6,750.
	22 Printing and publications	2,328.			2,328.
	23 Other expenses (attach schedule) ATCH 9	72,050.			72,050.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	3,167,411.	2,155,027.		1,129,589.
	25 Contributions, gifts, grants paid	10,448,196.			10,481,696.
	26 **Total expenses and disbursements.** Add lines 24 and 25	13,615,607.	2,155,027.	0	11,611,285.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-12,667,585.			
	b **Net investment income** (if negative, enter -0-)		6,271,639.		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	500.	500.	500.
	2	Savings and temporary cash investments	3,414,299.	1,284,316.	1,284,316.
	3	Accounts receivable ▶ 38,066.			
		Less allowance for doubtful accounts ▶	44,525.	38,066.	38,066.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable	19,000.		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment: basis ▶ 5,550.			ATCH 10
		Less accumulated depreciation (attach schedule) ▶	5,550.	5,550.	5,550.
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 11	194,324,008.	183,493,015.	183,493,015.
	14	Land, buildings, and equipment: basis ▶ 372,503.			ATCH 12
		Less accumulated depreciation (attach schedule) ▶ 353,646.	12,075.	18,857.	18,857.
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	197,819,957.	184,840,304.	184,840,304.
Liabilities	17	Accounts payable and accrued expenses	405,564.	307,591.	
	18	Grants payable	45,000.	11,500.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 13)	211,616.	31,021.	
	23	**Total liabilities** (add lines 17 through 22)	662,180.	350,112.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	197,157,777.	184,490,192.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [ ]			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	197,157,777.	184,490,192.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	197,819,957.	184,840,304.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	197,157,777.
2	Enter amount from Part I, line 27a	2	-12,667,585.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	184,490,192.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	184,490,192.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE ATTACHMENT 22			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	5,594,626.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	9,179,071.	192,422,178.	0.047703
2009	9,019,915.	165,676,118.	0.054443
2008	8,586,594.	200,137,972.	0.042903
2007	13,164,285.	239,247,918.	0.055024
2006	12,976,441.	234,189,036.	0.055410

2 **Total** of line 1, column (d)	2	0.255483
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051097
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	191,508,650.
5 Multiply line 4 by line 3	5	9,785,517.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	62,716.
7 Add lines 5 and 6	7	9,848,233.
8 Enter qualifying distributions from Part XII, line 4	8	11,611,285.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), [illegible]940(e), or 4948 - see Instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. . . . Date of ruling or determination letter: _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	62,716.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	62,716.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	62,716.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . 6a 78,407.		
b	Exempt foreign organizations - tax withheld at source 6b		
c	Tax paid with application for extension of time to file (Form 8868) . . . . 6c 10,000.		
d	Backup withholding erroneously withheld 6d		
7	Total credits and payments. Add lines 6a through 6d	7	88,407.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶	10	25,691.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 25,691. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: *(1) On the foundation.* ▶ $ __________ *(2) On foundation managers.* ▶ $ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • *By state legislation that effectively amends the governing instrument so that no mandatory directions that* conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ARGONAUT SECURITIES COMPANY Telephone no. ▶ (415)501-4885

Located at ▶ 1155 BATTERY ST, LS7W SAN FRANCISCO, CA ZIP + 4 ▶ 94111

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ATCH 21 ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ________, ________, ________, ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to *all years listed, answer "No" and attach statement - see instructions.)*	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ ________, ________, ________, ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		250,000.	49,830.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 15		249,865.	53,068.	0

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATTACHMENT 16		1,597,198.
Total number of others receiving over $50,000 for professional services ▶		3

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 HOSTING MEETINGS OF NONPROFIT LEADERS AND GRANTMAKERS; SERVICE OF FOUNDATION STAFF ON NONPROFIT BOARDS AND ADVISORY COUNCILS; TECHNICAL ASSISTANCE TO ENCOURAGE PHILANTHROPY.	3,066.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	88,232,076.
b	Average of monthly cash balances	1b	1,268,354.
c	Fair market value of all other assets (see instructions)	1c	104,924,595.
d	**Total** (add lines 1a, b, and c)	1d	194,425,025.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	194,425,025.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	2,916,375.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	191,508,650.
6	**Minimum investment return.** Enter 5% of line 5	6	9,575,433.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	9,575,433.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	62,716.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	62,716.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	9,512,717.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	9,512,717.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	9,512,717.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	11,611,285.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,611,285.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	62,716.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	11,548,569.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7					9,512,717.
2	Undistributed income, if any, as of the end of 2011:					
a	Enter amount for 2010 only					
b	Total for prior years: 20 09 ,20 08 ,20 07					
3	Excess distributions carryover, if any, to 2011:					
a	From 2006	1,678,911.				
b	From 2007	1,637,653.				
c	From 2008					
d	From 2009	796,351.				
e	From 2010					
f	Total of lines 3a through e		4,112,915.			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 11,611,285.					
a	Applied to 2010, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2011 distributable amount					9,512,717.
e	Remaining amount distributed out of corpus		2,098,568.			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		6,211,483.			
b	Prior years' undistributed income. Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b. Taxable amount - see instructions					
e	Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions					
f	Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		1,678,911.			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		4,532,572.			
10	Analysis of line 9:					
a	Excess from 2007	1,637,653.				
b	Excess from 2008					
c	Excess from 2009	796,351.				
d	Excess from 2010					
e	Excess from 2011	2,098,568.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

ATTACHMENT 17

b The form in which applications should be submitted and information and materials they should include:

NO PRESCRIBED FORM

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 18				
Total ▶ **3a**				10,481,696.
b *Approved for future payment*				
ATTACHMENT 19				
Total ▶ **3b**				11,500.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	129.	
4 Dividends and interest from securities			14	1,306,131.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,525,780.	
8 Gain or (loss) from sales of assets other than inventory			18	5,594,626.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b ATTACHMENT 20				-7,478,644.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				948,022.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 948,022.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 15 Nov 2012 | Title: EXECUTIVE DIRECTOR

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

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Form **4562**

Department of the Treasury Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2011

Attachment Sequence No. 179

Name(s) shown on return: MIMI AND PETER HAAS FUND

Identifying number: 94-6064551

Business or activity to which this form relates: GENERAL DEPRECIATION

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2012. Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	8,148.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2011	17	242.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs.	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instructions	22	8,390.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

JSA **For Paperwork Reduction Act Notice, see separate instructions.** Form **4562** (2011)
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Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use:								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use:								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 . . . 28

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . 29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions).

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.)		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2011 tax year (see instructions):					

43 Amortization of costs that began before your 2011 tax year . . . 43

44 **Total.** Add amounts in column (f). See the instructions for where to report . . . 44

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MACRS class	Current-year 179 expense	Current-year depreciation
FURNITURE 92	01/01/1992	7,691.	100.000			7,691.	7,691.	7,691.	SL		10.000				
OFFICE FURN 94	01/01/1994	98,864.	100.000			98,864.	98,864.	98,864.	SL		10.000				
ARTWORK 94	01/01/1994	5,550.	100.000												
SIDETABLE 95	09/15/1995	1,606.	100.000			1,606.	1,606.	1,606.	SL		10.000				
WORKSTATION 95	08/24/1995	552.	100.000			552.	552.	552.	SL		10.000				
CONF TABLE 95	11/15/1995	924.	100.000			924.	924.	924.	SL		10.000				
ROUTER/ETHERNET 98	03/15/1998	602.	100.000			602.	602.	602.	SL		5.000				
OFFICE CHAIR 98	03/15/1998	459.	100.000			459.	459.	459.	SL		7.000				
2 STORAGE CABN 98	04/30/1998	2,220.	100.000			2,220.	2,220.	2,220.	SL		7.000				
4 MERIDIAN FILE 98	04/30/1998	2,681.	100.000			2,681.	2,681.	2,681.	SL		7.000				
2 TABLE DESK 98	08/28/1998	4,200.	100.000			4,200.	4,200.	4,200.	SL		7.000				
BOOKCASE 98	08/28/1998	930.	100.000			930.	930.	930.	SL		7.000				
FILE TOP 98	08/18/1998	350.	100.000			350.	350.	350.	SL		7.000				
DISHWASHER 01	01/17/2001	427.	100.000			427.	427.	427.	SL		7.000				
SERVER BACKUP 02	10/09/2002	437.	100.000			437.	437.	437.	SL		5.000				
DSL SET UP 02	02/14/2002	449.	100.000			449.	449.	449.	SL		5.000				
VIDEO CONF SYS 03	02/14/2003	27,740.	100.000			27,740.	27,740.	27,740.	SL		5.000				
OFFICE CHAIRS 03	09/02/2003	1,548.	100.000			1,548.	1,548.	1,548.	SL		7.000				
POWER PNT PROJ 04	10/19/2004	927.	100.000			927.	927.	927.	SL		5.000				
Less: Retired Assets															
Subtotals						308,842.	281,594.	289,984.							8,390.
Listed Property															
Less: Retired Assets															
Subtotals															
TOTALS.		314,392.				308,842.	281,594.	289,984.							8,390.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
LEASEHOLD IMPR 94	07/01/1994	30,736.	30,736.	30,736.	A178	40.000	
LEASEHOLD IMPR 95	01/01/1995	31,396.	31,396.	31,396.	A178	40.000	
LEASEHOLD IMPR 03	05/12/2003	1,530.	1,530.	1,530.	A178	7.000	
TOTALS.		63,662.	63,662.	63,662.			

*Assets Retired

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Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
OFFICE FURN 93	01/01/1993	68,137.	100.000			68,137.	68,137.	68,137.	SL		10.000				
TELEPHONE 97	10/15/1997	1,109.	100.000			1,109.	1,109.	1,109.	SL		5.000				
MINOLTA COPIER 98	08/28/1998	16,340.	100.000			16,340.	16,340.	16,340.	SL		5.000				
PHONE SYS 03	02/14/2003	21,196.	100.000			21,196.	21,196.	21,196.	SL		5.000				
DELL SERVER 05	06/01/2005	4,077.	100.000			4,077.	4,077.	4,077.	SL		5.000				
DOOR PLAQUE 06	04/26/2006	799.	100.000			799.	532.	646.	SL		7.000				114.
6 DELL OPTIPLEX 07	04/18/2007	8,978.	100.000			8,978.	6,585.	8,381.	SL		5.000				1,796.
KEYBOARD TRAYS 07	06/21/2007	665.	100.000			665.	333.	428.	SL		7.000				95.
FLATBED SCANNER 07	06/25/2007	144.	100.000			144.	101.	130.	SL		5.000				29.
BIZHUB COPIER 08	02/05/2008	17,924.	100.000			17,924.	10,456.	14,041.	SL		5.000				3,585.
FURNITURE 10	07/19/2010	1,093.	100.000			1,093.	78.	234.	SL	HY	7.000		7		156.
LM CHAIR 10	10/15/2010	601.	100.000			601.	43.	129.	SL	HY	7.000		7		86.
POWEREDGE SERVER11	01/25/2011	7,586.	100.000			7,586.		1,391.	SL		5.000				1,391.
FIREWALL 11	04/11/2011	2,186.	100.000			2,186.		328.	SL		5.000				328.
SERVER MIG.PROJ.11	04/11/2011	5,400.	100.000			5,400.		810.	SL		5.000				810.
Less: Retired Assets															
Subtotals		314,392.													

Listed Property

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp. reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less: Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

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ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
WELLS FARGO BANK CHECKING 0057-003733	129.	129.	
TOTAL	129.	129.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST FROM SECURITIES-			
GENERAL ACCOUNT 26-74278	18.	18.	
NEW CENTURY PARTNERS II 26-03957			
CAPITAL GUARDIAN 26-03958	960,736.	960,736.	
SCHWAB/GURTIN 2090-5807	17,399.	17,399.	
GOLDMAN SACHS 026-01445-6			
WELLS FARGO W20095273	2.	2.	
DIVIDENDS FROM SECURITIES-			
NEW CENTURY PARTNERS II 26-03957			
CAPITAL GUARDIAN 26-03958			
SCHWAB/GURTIN 2090-5807	127.	127.	
GENERAL ACCOUNT 26-74278	327,849.	327,849.	
TOTAL	1,306,131.	1,306,131.	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
ORDINARY INCOME FROM PARTNERSHIPS-			
FARALLON CAPITAL INST 94-3106323	61,812.	61,812.	
FIRST RESERVE XI ONSHORE AIV 71-1015969	46,000.	46,000.	
BASELINE INCREASED EXP. 45-3010715	-689.	-689.	
GEMINI ISRAEL IV 98-0428414	-951.	-951.	
GEMINI ISRAEL V 98-0548428	-283.	-283.	
JC FLOWERS II 94-6064551	13,720.	13,720.	
JCF II SIDECAR 98-0504008	-22.	-22.	
HCP MEP H INVESTORS 27-4319591	-3,010.	-3,010.	
KHOSLA VENTURE IV 90-0720388	-642.	-642.	
GS MOUNT KELLETT CAP PART (N/A)	-5,087.	-5,087.	
HCP WPPE X INVESTORS 26-1278318	-19,803.	-19,803.	
JW CHILDS EQUITY III 03-0387301	49,830.	49,830.	
ASIA ENVIRONMENTAL (N/A)			
HCP PRIVATE EQUITY 52-2335224	19,496.	19,496.	
NATURAL GAS PARTNERS IX 26-0632609	-2,410.	-2,410.	
PENZA GLOBAL VALUE FUND DST 20-6776308	-162,927.	-162,927.	
POUCHINE COOK CAPITAL II 35-2249258	-3,596.	-3,596.	
ROSEWOOD CAPITAL 94-3195569	-2,670.	-2,670.	
ROSEWOOD CAPITAL III 94-3274638	-3,051.	-3,051.	
CARMEL PARTNERS INV FUND III 33-1177003	4,533.	4,533.	
ROSEWOOD CAPITAL V 14-1948848	21,473.	21,473.	
STG III A 20-8952445	104,946.	104,946.	
TCV III (Q) 77-0494890	-1,082.	-1,082.	
TCV IV 77-0527868	-256.	-256.	
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	6,394.	6,394.	
WARBURG PINCUS (BERMUDA) PRIVATE EQUITY VIII 13-4194502			
FIRST RESERVE XI-B OFFSHORE AIV (N/A)			
FIRST RESERVE XI-D OFFSHORE AIV (N/A)			
GENERATION IM GLOBAL (N/A)	107,917.	107,917.	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
GENERATION IM CLIMATE SOLUTION (N/A)			
JCF II AIV E (N/A))	-45.	-45.	
JCF II AIV S 98-0578159			
JCF HRE AIV II TE TRUST 98-0584484	-197.	-197.	
JUPITER JCF AIV II SPECIAL 98-0569851	1,366.	1,366.	
HCP VF IX INVESTORS 26-1958282	39,354.	39,354.	
ROSEWOOD CAPITAL V COBALT HOLDING (N/A)			
RVG IV 22-3707169			
WATERSHED CAPITAL INST 82-0547075	972,300.	972,300.	
BAUPOST VALUE PARTNERS IV 26-2208448	320,647.	320,647.	
J.W. CHILDS BERMUDA AIV TWO 98-0405583	-1,473.	-1,473.	
KHOSLA VENTURES III 26-4049910	-130.	-130.	
KHOSLA VENTURES SEED 26-4754028	308.	308.	
ROSEWOOD CAPITAL IV 22-3707169	-1,112.	-1,112.	
OTHER INCOME-			
SECURITIES LITIGATION SETTLEMENTS	18,558.	18,558.	
CHANGES IN UNREALZED APPRECIATION	-7,478,644.		
NET LOSS ON DISPOSITIONS	-57,127.	-57,127.	
OTHER INTEREST INCOME	3,689.	3,689.	
TOTALS	-5,952,864.	1,525,780.	

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	989.	494.		495.
TOTALS	989.	494.		495.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ARGONAUT SECURITIES COMPANY	145,000.	101,500.		43,500.
OUTSIDE ACCOUNTING SERVICE	36,500.	18,250.		18,250.
TOTALS	181,500.	119,750.		61,750.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISOR & CUSTODIAL FEES-				
CAPITAL GUARDIAN 26-03958	82,917.	82,917.		
GENERAL ACCOUNT 26-74278	2,545.	2,545.		
KHOSLA VENTURE IV 90-0720388	5,303.	5,303.		
SCHWAB/GURTIN 20905807	46,717.	46,717.		
CARMEL PARTNERS III33-1177003	15,000.	15,000.		
HALL CAPITAL PARTNERS LLC	680,487.	680,487.		
FARALLON CAPITAL 94-3106323	107,808.	107,808.		
FR XI ONSHORE AIV 71-1015969	11,000.	11,000.		
JC FLOWERS II 94-6064551	12,550.	12,550.		
GEMINI ISRAEL IV 98-0428414	17,589.	17,589.		
GEMINI ISRAEL V 98-0548428	24,876.	24,876.		
JW CHILDS EQ III 03-0387301	6,676.	6,676.		
GS MOUNT KELLETT CAP PAR. (N/A)	34,933.	34,933.		
HCP PRIVATE EQ 52-2335224	2,402.	2,402.		
NATURAL GAS IX 26-0632609	30,000.	30,000.		
PZENA GLOBAL VALUE 20-6776308	86,787.	86,787.		
POUCHINE COOK CAPITAL II 35-2249258	26,506.	26,506.		
GENERATION IM GLOBAL (N/A)	81,003.	81,003.		
ROSEWOOD CAP III 94-3274638				
ROSEWOOD CAP IV 22-3707169	1,662.	1,662.		
ROSEWOOD CAP V 14-1948848	15,746.	15,746.		
BAUPOST VALUE PRIV 26-2208448	547,962.	547,962.		
WATERSHED CAP INST 82-0547075	115,941.	115,941.		
TCV IV 77-0527868				
STG III A 20-8952445	46,667.	46,667.		
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	5,230.	5,230.		
KHOSLA VENTURES III26-4049910	4,734.	4,734.		

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
KHOSLA VENTURES SEED 26-4754028	5,556.	5,556.		
INVESTMENT-RELATED EXPENSES-BANK SERVICE CHARGES	3,185.	3,185.		
TOTALS	2,021,782.	2,021,782.		

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INTEREST-PARTNERSHIP ESCROWS	13,001.	13,001.		
TOTALS	13,001.	13,001.		

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL EXCISE TAX	55,000.			
FEDERAL DEFERRED TAXES	-180,595.			
STATE TAX AND FILING FEES	-127.			-127.
TOTALS	-125,722.			-127.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE CONSULTANTS	34,304.			34,304.
GENERAL LIABILITY INSURANCE	1,619.			1,619.
TELEPHONE	4,594.			4,594.
SUPPLIES	2,989.			2,989.
POSTAGE & FREIGHT	253.			253.
MEMBERSHIP FEES	2,778.			2,778.
SERVICE CONTRACT & EQ REPAIR	17,697.			17,697.
EQUIPMENT RENTAL	1,188.			1,188.
MISC ADMIN-RELATED EXPENSES	1,445.			1,445.
MISC GRANT-RELATED EXPENSES	1,227.			1,227.
TECHNOLOGY CONSULTANTS				
DIRECT CHARITABLE ACTIVITIES	3,066.			3,066.
PROFESSIONAL DEVELOPMENT	890.			890.
TOTALS	72,050.			72,050.

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

ATTACHMENT 10

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
ARTWORK 94	L	5,550.			5,550.				
TOTALS		5,550.			5,550.				

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AURELIUS CAPITAL INTERNATIONAL		
BIL		
CANYON VALUE REAL FUND	207,266.	207,266.
FARALLON CAPTL INST 94-3106323	10,990,302.	10,990,302.
FIRST RESERVE XI ALT C-1	42,653.	42,653.
FR XI ONSHORE AIV 71-1015969	729,523.	729,523.
FR XI OFFSHORE AIV 94-6064551	311,270.	311,270.
GEMINI ISRAEL IV 98-0428414	970,163.	970,163.
GEMINI ISRAEL V 98-0548428	419,208.	419,208.
GENERATION IM GLOBAL EQ FUND	7,390,642.	7,390,642.
HCP WPPE X INVEST 26-1278318	1,835,454.	1,835,454.
JC FLOWERS II 98-0494093	308,330.	308,330.
JCF II SIDECAR 98-0504008		
HSH CAYMAN 98-0521559	6,608.	6,608.
FR XI-D OFFSHORE AIV (N/A)	121,742.	121,742.
JCF II SPECIAL AIV K	22,811.	22,811.
JW CHILDS EQ III 03-0387301	1,125,228.	1,125,228.
JW CHLDS BERM AIV 2 98-0405583	206,443.	206,443.
LBA REALTY FUND III	1,029,483.	1,029,483.
OFFIT HALL PRVT EQ 52-2335224	1,385,613.	1,385,613.
OFFIT HALL ABSOLUTE RTN OFFSHR		
NATURAL GAS PARTR IX26-0632609	1,938,101.	1,938,101.
PZENA GLOBAL VALUE FUND DST	8,925,790.	8,925,790.
POUCHINE COOK CAPII 35-2249258	774,951.	774,951.
ROSEWOOD CAPITAL 94-3195569		
ROSEWOOD CAP III 94-3274638	771,917.	771,917.
ROSEWOOD CAP V 14-1948848	207,691.	207,691.
ROYAL CAPITAL VALUE FUND		
RVG IV 22-3707169		
STG III A 20-8952445	2,378,984.	2,378,984.
TCV III(Q) 77-0494890	87,842.	87,842.
TCV IV 77-0527868	97,248.	97,248.
TIEDEMANN GLOBAL EMERGING FUND		

ATTACHMENT 11 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
WARBURG EQ VIII 13-4161869	2,041,201.	2,041,201.
WARBURG (BERM) PRVT EQ VIII 13-4194502		
NORTHERN TRUST COMPANY		
GENERAL ACCOUNT 26-74278 11A	15,321,230.	15,321,230.
NEW CENTURY PRTNR II 26-03957		
CAPITAL GUARDIAN 26-03958 11B	33,691,424.	33,691,424.
SCHWAB/GURTIN 2090-5807 11C	28,489,440.	28,489,440.
GOLDMAN SACHS 026-01445-6		
WF INVESTMENTS W20095273		
CREDIT SUISSE 219-660511		
ASIA ENVIRONMENTAL PARTNER(NA)	1,119,224.	1,119,224.
CARMEL PARTNERS INV FUND III 33-1177003	698,369.	698,369.
HCP VF IX INVESTORS 26-1958282	1,431,976.	1,431,976.
JUPITER JCF AIV II SPECIAL 98-0569851	116,414.	116,414.
JCF II AIV S 98-0578159		
FR XI-B OFFSHORE AIV (N/A)	-47,791.	-47,791.
GENERATION IM CLIMATE SOL(N/A)	1,961,126.	1,961,126.
GS MOUNT KELLETT CAP (N/A)	1,939,325.	1,939,325.
JCF HRE AIV II TE TRUST 98-0584484	1,763.	1,763.
JCF II AIV E (N/A)		
WATERSHED CAP INST PARTNERS 82-0547075	8,489,973.	8,489,973.
ROSEWOOD CAP V COBALT (N/A)	348,182.	348,182.
SRI NINE REIT 77-0677478	424,551.	424,551.
FR HORIZON AIV (N/A)	206,603.	206,603.
BAUPOST VALUE PARTNERS IV 26-2208448	37,072,229.	37,072,229.
KHOSLA VENTURES III 26-4049910	685,532.	685,532.
KHOSLA VENTURES SEED	154,982.	154,982.

ATTACHMENT 11 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
26-4754028		
ROSEWOOD CAPITAL IV 22-3707169	652,004.	652,004.
ETON PARK OVERSEES FUND (N/A)	4,410,506.	4,410,506.
FR XI-E ONSHORE AIV (N/A)		
HCP CHINA PE2009 FEEDER-CAYMAN	490,572.	490,572.
BASELINE INCREASED EXPOSURE FD	47,311.	47,311.
45-3010715		
MOUNT KELLETT CAP PR(CAYMAN)II	1,000,208.	1,000,208.
98-0677267		
HCP MEP H INVESTORS 27-4319591	392,343.	392,343.
KHOSLA VENTURES IV 90-0720388	69,055.	69,055.
TOTALS	183,493,015.	183,493,015.

◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Total
Funds - common stock								
International Region - USD								
MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405	633,180 77 11 900		0 00	7,534,851 16	10,078,355 45	-2,543,504 29	0 00	-2,543,504 29
29 Mar 05	471,698 110	15 90		5,613,207 51	7,500,000 00	-1,886,792 49	0 00	-1,886,792 49
09 Nov 05	5,247 330	16 81		62,443 22	88,207.55	-25,764.33	0 00	-25,764 33
08 Nov 06	883.810	18 24		10,517 34	16,120 76	-5,603 42	0 00	-5,603 42
08 Nov 06	34,385 050	18 24		409,182 10	627,183 25	-218,001 15	0 00	-218,001.15
27 Dec 06	394 840	18 94		4,698.60	7,478 33	-2,779 73	0 00	-2,779 73
07 Nov 07	531 330	20 26		6,322 83	10,764 79	-4,441 96	0 00	-4,441 96
07 Nov 07	51,868 150	20 26		617,230 98	1,050,848 74	-433,617 76	0 00	-433,617 76
06 Nov 08	18,669 850	10 35		222,171 21	193,232 95	28,938 26	0 00	28,938 26
06 Nov 08	21,726 900	10 35		258,550 11	224,873 43	33,676 68	0 00	33,676 68
30 Dec 08	3,320 320	10 94		39,511 81	36,324 32	3,187 49	0 00	3,187 4[illegible]
29 Dec 10	7,520 020	15.38		89,488 24	115,657 88	-26,169 64	0 00	-26,169 64
08 Nov 11	5,679.680	13 02		67,588 19	73,949 49	-6,361 30	0 00	-6,361 30
29 Dec 11	11,255 380	11 88		133,939 02	133,713 96	225 06	0 00	225 06
MFO OAKMARK INTL FD OPEN END FD CUSIP 413838202	466,598 05 16 550		0 00	7,722,197.72	10,600,591 74	-2,878,394 02	0 00	-2,878,394 02
22 Sep 06	234,422 510	26 61		3,879,692 54	6,237,983 02	-2,358,290 48	0 00	-2,358,290 48
14 Dec 06	51,147 710	25 18		846,494 59	1,287,899 29	-441,404 70	0 00	-441,404 70
14 Dec 06	747 720	25 18		12,374 76	18,827 51	-6,452 75	0 00	-6,452 75
14 Dec 06	6,607 070	25 18		109,347 01	166,366 03	-57,019 02	0 00	-57,019 02
13 Dec 07	80,671 120	21 22		1,335,107 04	1,711,841 09	-376,734 05	0 00	-376,734 05

** - Lot Level Information Not Verified

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◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - common stock								
International Region - USD								
MFO OAKMARK INTL FD OPEN END FD (con't) CUSIP 413838202								
13 Dec 07	6,373 300	21 22		105,478 12	135,241 35	-29,763 23	0 00	-29,763 23
13 Dec 07	3,542 770	21 22		58,632 85	75,177 51	-16,544 66	0 00	-16,544 66
18 Dec 08	1,044 920	10 80		17,293 42	11,285 10	6,008 32	0 00	6,008 32
18 Dec 08	3,795 720	10 80		62,819 16	40,993 77	21,825 39	0 00	21,825.39
18 Dec 08	67,593 940	10 80		1,118,679.71	730,014 57	388,665 14	0 00	388,665 14
17 Dec 09	3,222 980	16 58		53,340.32	53,436 96	-96 64	0 00	-96 64
16 Dec 10	3,809 730	19 26		63,051.03	73,375 32	-10,324 29	0 00	-10,324 29
15 Dec 11	3,618 560	16 07		59,887.17	58,150.22	1,736 95	0 00	1,736.95
Total USD			0.00	15,257,048.88	20,678,947.19	-5,421,898.31	0.00	-5,421,898 31
Total International Region			0.00	15,257,048 88	20,678,947.19	-5,421,898 31	0 00	-5,421,898 31
Total funds - common stock			**0.00**	**15,257,048.88**	**20,678,947.19**	**-5,421,898.31**	**0.00**	**-5,421,898.31**
Preferred stock								
United States - USD								
KATONAH III LTD PFD CUSIP 486018203	5,000 00 0 000		0 00	0.00 *	1,000.00	-1,000 00	0 00	-1,000 00
14 Mar 02	5,000 000	0 20		0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total USD			0 00	0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total United States			0 00	0 00	1,000.00	-1,000 00	0 00	-1,000.00
Total preferred stock			**0.00**	**0.00**	**1,000.00**	**-1,000.00**	**0.00**	**-1,000.00**
Total equities			**0.00**	**15,257,048.88**	**20,679,947.19**	**-5,422,898.31**	**0.00**	**-5,422,898.31**

* Market value based on prices received from an external manager or other client-directed pricing source

** - Lot Level Information Not Verified

11A

◆ Asset Detail - Taxable

Cost Method: FIFO

Cash and Cash Equivalents

Description / Asset Id / Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - short term investment							
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701	1 00	0 93	64,181 07	64,181 07	0 00	0 00	0.00
Total funds - short term investment - all currencies		0.93	64,181 07	64,181 07	0.00	0 00	0.00
Total funds - short term investment - all countries		0 93	64,181.07	64,181 07	0.00	0 00	0 00
Total funds - short term investment		**0.93**	**64,181.07**	**64,181.07**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents		**0.93**	**64,181.07**	**64,181.07**	**0.00**	**0.00**	**0.00**

11A

◆ Asset Detail - Taxable

Cost Method: FIFO

Total unrealized gain for lotted securities						484,263.29
Total unrealized loss for lotted securities						-5,907,161.60
Total unrealized gain for non-lotted securities						0.00
Total unrealized loss for non-lotted securities						0.00
Grand Total by Lots		15,321,229.95	20,744,128.26	-5,422,898.31	0.00	-5,422,898.31
Grand Total	0.93	15,321,229.95	20,744,128.26	-5,422,898.31	0.00	-5,422,898.31

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP CUSIP EKE55U103	49,744.00 0 000		0 00	0 00	0 00	0 00	0 00	0 00
25 Nov 03	537 350	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03	9 480	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03	32 370	0.00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	887 640	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	53.140	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04	15.610	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04	8.420	0.00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04	0 600	0.00		0 00	0 00**	0 00	0.00	0 00
18 Feb 04	0 250	0 00		0.00	0 00**	0 00	0 00	0 00
14 May 04	9.610	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	24 680	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	32 200	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	536 360	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	0 340	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04	1 570	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04	37.040	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04	125 970	0 00		0 00	0.00**	0.00	0 00	0 00
17 Aug 04	2,103.630	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04	2.040	0 00		0 00	0 00**	0 00	0 00	0.00
18 Aug 04	36 190	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04	0 460	0 00		0 00	0 00**	0 00	0 00	0 00

** - Lot Level Information Not Verified

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Asset Detail - Taxable

Cost Method: FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103								
15 Nov 04	4 550	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	34.280	0 00		0 00	0 00**	0.00	0.00	0 00
15 Nov 04	1 320	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	1,943 970	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	73 940	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04	116 370	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05	6 470	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05	108 710	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05	1 770	0.00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05	110 340	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05	1,847.670	0 00		0 00	0 00**	0 00	0 00	0 0
16 Feb 05	32 950	0.00		0 00	0 00**	0 00	0 00	0 00
16 May 05	1,794 660	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 05	107 350	0.00		0 00	0 00**	0 00	0 00	0 00
16 May 05	31 740	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05	8 660	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05	2 290	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05	141 730	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	9 500	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	36 770	0.00		0 00	0.00**	0 00	0 00	0 00
12 Aug 05	2,076 650	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	124 420	0 00		0 00	0 00**	0 00	0 00	0.00

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
United States - USD								
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103								
12 Aug 05	2 910	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05	163 000	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	11 280	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	191 390	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05	3 500	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05	11 770	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05	201 000	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05	3 630	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06	240 170	0 00		0.00	0 00**	0 00	0 00	0 00
16 Feb 06	70 920	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06	4,013 290	0 00		0 00	0 00**	0 00	0 00	0 0
21 Feb 06	217 850	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06	3.750	0 00		0 00	0 00**	0 00	0.00	0 00
21 Feb 06	13 330	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06	1,732 260	0.00		0 00	0 00**	0 00	0 00	0 00
16 May 06	511 600	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06	28,943 370	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06	5 420	0.00		0 00	0 00**	0 00	0 00	0 00
18 May 06	313 640	0.00		0 00	0 00**	0 00	0.00	0 00
18 May 06	18 860	0 00		0 00	0.00**	0 00	0 00	0 00
Total USD			0.00	0.00	0.00	0.00	0 00	0 00
Total United States			0.00	0.00	0 00	0.00	0 00	0.00

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method: FIFO

Equities

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Total common stock			**0.00**	**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total equities			**0.00**	**0.00**	**0.00**	**0.00**	**0.00**	**0:00**

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - corporate bond								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	3,322,876 23 10 150		37,291 24	33,727,193 73	33,004,666 94	722,526 79	0 00	722,526 79
15 May 09	60,883 570	991 97		617,968 24	603,948 35	14,019 89	0 00	14,019.89
15 May 09	2,084,342 450	991 97		21,156,075 87	20,676,106 81	479,969 06	0 00	479,969.06
29 May 09	255,193 480	990 97		2,590,213 82	2,528,897 55	61,316.27	0.00	61,316 27
29 May 09	466,780 160	990 97		4,737,818 63	4,625,663 58	112,155 05	0 00	112,155 05
29 May 09	3,779 480	990 97		38,361.72	37,453 58	908 14	0 00	908 14
15 Jun 09	89,072.850	991 18		904,089 43	882,869 60	21,219 83	0 00	21,219 83
15 Jun 09	15,227 820	991 18		154,562 37	150,934 59	3,627 78	0 00	3,627 78
15 Jun 09	5,766 040	991 18		58,525 30	57,151 69	1,373 61	0 00	1,373 61
30 Jun 09	5,607 240	998 38		56,913 49	55,981 49	932 00	0 00	932 0[illegible]
30 Jun 09	6,375 130	998 38		64,707 57	63,647 90	1,059 67	0 00	1,059 6[illegible]
15 Jul 09	5,294 120	997 70		53,735.32	52,819 63	915 69	0 00	915 69
31 Jul 09	5,207.360	1,007 69		52,854 70	52,473 96	380 74	0 00	380 74
14 Aug 09	4,763 160	1,007 59		48,346 08	47,993 04	353 04	0 00	353 04
31 Aug 09	5,530 360	1,013 41		56,133 15	56,045 06	88 09	0 00	88 09
15 Sep 09	5,052 830	1,013 07		51,286 23	51,188 81	97 42	0 00	97 42
30 Sep 09	4,947 550	1,017 16		50,217 63	50,324 46	-106 83	0 00	-106 83
15 Oct 09	4,876.200	1,013 12		49,493 43	49,401 78	91 65	0 00	91 65
30 Oct 09	4,772 940	1,015 82		48,445 34	48,484 51	-39 17	0 00	-39 17
13 Nov 09	4,285 420	1,015 79		43,497 02	43,530 81	-33 79	0 00	-33 79
30 Nov 09	5,257.680	1,021 12		53,365 46	53,687 40	-321 94	0.00	-321 94
15 Dec 09	4,693 550	1,009 55		47,639 54	47,383.66	255 88	0 00	255 88

** - Lot Level Information Not Verified

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◆ Asset Detail - Taxable

Cost Method. FIFO

Fixed Income

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Funds - corporate bond								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4								
31 Dec 09	4,539 910	999 55		46,080 08	45,378 48	701 60	0 00	701 60
15 Jan 10	4,430 290	1,009 24		44,967 44	44,712 30	255 14	0 00	255 14
29 Jan 10	4,437 680	1,010.63		45,042 45	44,848 71	193 74	0 00	193 74
12 Feb 10	3,617 450	1,006.25		36,717 12	36,400 44	316 68	0 00	316 68
26 Feb 10	5,346.760	1,009.22		54,269 61	53,960 43	309 18	0 00	309 18
15 Mar 10	4,632 120	1,007 15		47,016 02	46,652 60	363 42	0 00	363 42
31 Mar 10	4,859 680	1,002 49		49,325 75	48,717 75	608 00	0 00	608 00
30 Apr 10	9,447 920	1,010 37		95,896 39	95,459 40	436 99	0 00	436 99
30 Apr 10	69,859 330	1,010 37		709,072 20	705,840 54	3,231 66	0 00	3,231 66
30 Apr 10	139.920	1,009 19		1,420 19	1,412 06	8 13	0 00	8 13
30 Apr 10	3,233 070	1,010 38		32,815 66	32,666 14	149 52	0 00	149 5[illegible]
14 May 10	70 310	1,016 71		713 64	714 85	-1 21	0 00	-1.21
14 May 10	4,351 740	1,016 79		44,170.16	44,248 09	-77 93	0 00	-77 93
28 May 10	4,733 780	1,011 96		48,047 87	47,903 75	144 12	0 00	144.12
15 Jun 10	4,220 260	1,010 95		42,835 64	42,664 54	171 10	0 00	171.10
30 Jun 10	3,942.500	1,019.46		40,016 38	40,192 16	-175 78	0 00	-175 78
15 Jul 10	3,861 330	1,017 56		39,192 50	39,291 40	-98 90	0 00	-98 90
30 Jul 10	3,831 550	1,018 54		38,890 23	39,025 95	-135 72	0 00	-135 72
13 Aug 10	3,300 240	1,019 51		33,497 43	33,646 17	-148 74	0 00	-148 74
31 Aug 10	4,603 530	1,024 16		46,725 83	47,147 33	-421 50	0 00	-421 50
15 Sep 10	3,929 500	1,012.17		39,884 42	39,773.29	111 13	0 00	111 13
30 Sep 10	3,821 380	1,016.66		38,787 01	38,850 44	-63 43	0 00	-63 43

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method: FIFO

Fixed Income

Description / Asset Id / Acquisition Date	Shares/PAR / Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - corporate bond								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4								
15 Oct 10	3,834 050	1,011 87		38,915 61	38,795 77	119 84	0 00	119 84
29 Oct 10	3,784 820	1,010 70		38,415 92	38,253 04	162 88	0 00	162 88
15 Nov 10	60 700	997 55		616 10	605 51	10 59	0 00	10 59
15 Nov 10	3,645 660	997 57		37,003 45	36,368 12	635.33	0 00	635 33
30 Nov 10	3,355.200	1,001 61		34,055 28	33,606 17	449.11	0 00	449 11
15 Dec 10	3,566 740	976 16		36,202.41	34,817 08	1,385 33	0 00	1,385 33
31 Dec 10	3,975 110	988 24		40,347 36	39,283.50	1,063 86	0 00	1,063 86
31 Jan 11	8,516 930	985.30		86,446 84	83,917 54	2,529 30	0 00	2,529 30
15 Feb 11	4,775 420	975 81		48,470 51	46,599 05	1,871 46	0 00	1,871 46
28 Feb 11	4,452 590	985 18		45,193 79	43,866 13	1,327 66	0 00	1,327 66
15 Mar 11	4,804 450	988 06		48,765 17	47,470 94	1,294 23	0 00	1,294 23
31 Mar 11	4,423 160	982 09		44,895 07	43,439 32	1,455 75	0 00	1,455 75
29 Apr 11	8,600 480	991 33		87,294 87	85,258 93	2,035 94	0 00	2,035 94
13 May 11	3,728 060	996 61		37,839 81	37,154 10	685 71	0 00	685 71
31 May 11	4,856 650	1,000 55		49,294 99	48,593 16	701.83	0 00	701 83
15 Jun 11	4,177.030	999 68		42,396 86	41,756 73	640 13	0 00	640 13
30 Jun 11	4,015 220	993.23		40,754 48	39,880 54	873 94	0 00	873 94
15 Jul 11	4,051 590	999 98		41,123,64	40,515 03	608 61	0 00	608 61
29 Jul 11	4,021 060	1,004.55		40,813 76	40,393 38	420 38	0 00	420 38
15 Aug 11	3,911 430	1,017 33		39,701 01	39,792 13	-91 12	0 00	-91 12
31 Aug 11	3,956 910	1,017 48		40,162 64	40,260 58	-97 94	0 00	-97 94
15 Sep 11	3,933 730	1,018 31		39,927 36	40,057 70	-130 34	0 00	-130 34

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method: FIFO

Fixed Income

Description Asset Id Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - corporate bond								
United States - USD								
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4								
30 Sep 11	3,719 260	1,020 81		37,750 49	37,966 47	-215 98	0 00	-215 98
14 Oct 11	3,325 540	1,007 53		33,754 23	33,505 97	248 26	0 00	248 26
31 Oct 11	3,832 660	1,016 53		38,901 50	38,960 05	-58 55	0 00	-58 55
15 Nov 11	3,613 440	1,010 73		36,676 41	36,522 17	154 24	0 00	154 24
30 Nov 11	3,672 400	1,005 96		37,274 86	36,942 99	331 87	0 00	331 87
15 Dec 11	3,672 290	1,014 88		37,273 75	37,269 18	4 57	0 00	4 57
30 Dec 11	3,674 010	1,015 80		37,291.20	37,320.58	-29 38	0 00	-29 38
Total USD			37,291.24	33,727,193.73	33,004,666.94	722,526.79	0.00	722,526.79
Total United States			37,291.24	33,727,193 73	33,004,666 94	722,526.79	0 00	722,526.79
Total funds - corporate bond			37,291.24	33,727,193.73	33,004,666.94	722,526.79	0.00	722,526.79
Total fixed Income			37,291.24	33,727,193.73	33,004,666.94	722,526.79	0.00	722,526.79

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method: FIFO

Cash and Cash Equivalents

Description Asset Id Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - short term investment							
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701	1 00	0 00	1,521 38	1,521 38	0 00	0 00	0 00
Total funds - short term investment - all currencies		0 00	1,521 38	1,521 38	0 00	0 00	0 00
Total funds - short term investment - all countries		0 00	1,521 38	1,521 38	0.00	0 00	0 00
Total funds - short term Investment		**0.00**	**1,521.38**	**1,521.38**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents		**0.00**	**1,521.38**	**1,521.38**	**0.00**	**0.00**	**0.00**

** - Lot Level Information Not Verified

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◆ Asset Detail - Taxable

Cost Method: FIFO

Adjustments To Cash

Description Asset Id Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Pending trade purchases							
USD - United States dollar	1 00	0.00	-37,291 24	-37,291 24	0.00	0 00	0 00
Total pending trade purchases - all currencies		0.00	-37,291.24	-37,291.24	0.00	0.00	0.00
Total pending trade purchases - all countries		0 00	-37,291.24	-37,291.24	0 00	0 00	0 00
Total pending trade purchases		0.00	-37,291.24	-37,291.24	0.00	0 00	0.00
Total adjustments to cash		0.00	-37,291.24	-37,291.24	0.00	0.00	0.00

** - Lot Level Information Not Verified

11R

Asset Detail - Taxable

Cost Method: FIFO

Total unrealized gain for lotted securities						724,775.04
Total unrealized loss for lotted securities						-2,248.25
Total unrealized gain for non-lotted securities						0.00
Total unrealized loss for non-lotted securities						0.00
Grand Total by Lots		33,691,423.87	32,968,897.08	722,526.79	0.00	722,526.79
Grand Total	37,291.24	33,691,423.87	32,968,897.08	722,526.79	0.00	722,526.79

** - Lot Level Information Not Verified

Gurtin Fixed Income

UNREALIZED GAINS AND LOSSES - SETTLED TRADES

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

December 31, 2011

Date	Quantity	Security	Adj Unit Cost	Total Adjusted Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		Cash Account		89,885 65		89,885 65		
				89,885 65		89,885 65		
TREASURY BILLS								
10-21-11	7,600,000	TREASURY BILL NONE 0.000% Due 01-05-12	100 00	7,599,991 89	100 00	7,600,000 00	8 11	0 0
11-14-11	4,900,000	TREASURY BILL NONE 0.000% Due 01-12-12	100.00	4,899,992 64	100.00	4,899,995 10	2.46	0 0
11-22-11	2,300,000	TREASURY BILL NONE 0 000% Due 02-02-12	100 00	2,299,980 41	100 00	2,299,990 80	10.39	0 0
12-20-11	4,700,000	TREASURY BILL NONE 0.000% Due 03-01-12	100 00	4,699,992 27	100.00	4,699,924 80	-67.47	0.0
12-20-11	8,900,000	TREASURY BILL NONE 0.000% Due 03-15-12	100 00	8,899,803 44	100.00	8,899,644 00	-159.44	0 0
				28,399,760 66		28,399,554 70	-205 96	0 0
TOTAL PORTFOLIO				**28,489,646.31**		**28,489,440.35**	**-205.96**	**0.0**

This is not an official account statement. Prior performance does not guarantee nor is it indicative of future results. Therefore, you should not assume that the future performance of any specific investment or investment strategy (including those undertaken or recommended by GFI) will be profitable or equal to corresponding performance levels

11C

ATTACHMENT 13

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		ENDING BOOK VALUE
DEFERRED TAX PAYABLE		31,021.
	TOTALS	31,021.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MIRIAM L. HAAS C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE/PRESIDENT 10.00	0	0	0
ARI A. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0	0	0
DANIEL L. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0	0	0
LYNN MERZ C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR 40.00	250,000.	49,830.	0
	GRAND TOTALS	250,000.	49,830.	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CATHERINE A. JONES C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE ASSISTANT 40.00	64,984.	20,167.	0
SAEED H. MIRFATTAH C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	PROGRAM DIRECTOR 40.00	132,613.	25,061.	0
JENNIFER S. PETERSON C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	ADMIN. ASSISTANT 40.00	52,268.	7,840.	0
	TOTAL COMPENSATION	249,865.	53,068.	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 16

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
ARGONAUT SECURITIES COMPANY 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	ACCOUNTING SERVICES	145,000.
FARALLON CAPITAL INST. PARTNERS ONE MARITIME PLAZA, SUITE 2100 SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	107,808.
HALL CAPITAL PARTNERS LLC ONE MARITIME PLAZA, FIFTH FLOOR SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	680,487.
BAUPOST VALUE PARTNERS IV 10 ST JAMES AVE STE 1700 BOSTON, MA 02116	INVESTMENT ADVISORY	547,962.
WATERSHED CAP. INSTITUTIONAL PARTNERS ONE MARITIME PLAZA, SUITE 1525 SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	115,941.
	TOTAL COMPENSATION	1,597,198.

ATTACHMENT 17

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

EXECUTIVE DIRECTOR
201 FILBERT STREET, 5TH FLOOR
SAN FRANCISCO, CA 94133
415-296-9249

MIMI AND PETER HAAS FUND 94-6064551

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS, ALLOCATIONS AND CONTRIBUTIONS PAID DURING THE YEAR SEE STATEMENT 18A			10,481,696.
		TOTAL CONTRIBUTIONS PAID	10,481,696.

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

California Academy of Sciences	55 Music Concourse Drive Golden Gate Park San Francisco, CA 94118	Campaign for the New Academy	$250,000
California Academy of Sciences	55 Music Concourse Drive Golden Gate Park San Francisco, CA 94118	2011 Big Bang Gala	$25,000
California Pacific Medical Center Foundation	633 Folsom Street, 7th Floor San Francisco, CA 94107	Bayview Child Health Center	$165,000
California Pacific Medical Center Foundation	633 Folsom Street, 7th Floor San Francisco, CA 94107	Kalmanovitz Child Development Center 2011	$150,000
California Shakespeare Theater	701 Heinz Avenue Berkeley, CA 94710	General Operating Support	$2,500
Center for Land Based Learning	5265 Putah Creek Road Winters, CA 95694	General Operating Support	$15,000
Center on Juvenile & Criminal Justice	440 9th Street San Francisco, CA 94103	PM&E	$5,000
Central Park Conservancy	14 East 60th Street New York, NY 10022	General Operating Support	$2,500
Centro Las Olas	3739 26th Street San Francisco, CA 94110	PM&E	$3,000
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	SF Pilot Project	$30,000
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	SF Pilot Project	$30,000
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	Week of the Young Child - 2011	$5,000
Chinatown Community Children's Center	979 Clay Street San Francisco, CA 94108	PM&E	$5,000
City and County of San Francisco	Human Services Agency Post Office Box 7988 San Francisco, CA 94120-7988	Early Care and Education Workforce Registry	$38,575
City Arts and Lectures	1955 Sutter Street San Francisco, CA 94115	General Operating Support	$25,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Grace Child Development Center PM&E	$5,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center Ocean Campus PM&E	$5,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center John Adams Campus PM&E	$5,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Bernal PM&E	$3,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Mission Child Development Center PM&E	$3,000
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Mission Child Development Center PM&E	$2,000
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$108,604
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$108,604
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$96,825

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$96,825
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$68,198
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$68,198
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$47,137
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy bridge funding	$29,000
Coleman Advocates for Children and Youth	459 Vienna Street San Francisco, CA 94112	Long-term Strategic Alliance Project	$22,500
Coleman Advocates for Children and Youth	459 Vienna Street San Francisco, CA 94112	Long-term Strategic Alliance Project	$22,500
College Track	117 Broadway Avenue Oakland, CA 94607	'Cars 2' Benefit Event	$10,000
Colonial Williamsburg Foundation	Post Office Box 1776 Williamsburg, VA 23187-1776	2011 Membership	$2,500
Community Initiatives	354 Pine Street, Suite 700 San Francisco, CA 94104	Bay Area Early Childhood Funders General Operating Support 2011	$2,500
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2011	$50,000
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2011	$50,000
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2011	$50,000
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2011	$50,000
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2011	$310
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2011	$300
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2011	$150
Compass Community Services	49 Powell Street, 3rd Floor San Francisco, CA 94103	Helen Hawk Children's Center PM&E	$3,000
Concord Coalition	1011 Arlington Blvd, Suite 300 Arlington, VA 22209	General Operating Support	$25,000
Council on Foreign Relations	58 East 68th Street New York, NY 10065	Chairman's Circle	$50,000
Council on Foundations	2121 Crystal Drive, Suite 700 Arlington, VA 22202	Membership 2011 ($500 fair value)	$7,000

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2011	$46,250
Duke University	220 Allen Building Box 90005 Durham, NC 27708-0005	Annual Fund 2011	$5,000
Eagle-Eye Sanctuary Foundation	580 De Haro Street San Francisco, CA 94107-2306	General Operating Support	$50,000
East Side House Settlement	337 Alexander Avenue Bronx, NY 10454	Annual Winter Antiques Show	$2,500
Eastside College Preparatory School	1041 Myrtle Street East Palo Alto, CA 94303	Boarding Scholarship Support 2011	$25,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Busy Bee and Delta Preschool PM&E	$5,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Sojourner Truth Child Care Center PM&E	$5,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Chinatown - North Beach Child Care Center	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Cleo Wallace Child Growth and Development Center PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Dr Martin Luther King Child Care Center PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Mission Child Care Center PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	OMI Child Care Center PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Oscaryne Williams Center of Hope PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Infant Center PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Preschool PM&E	$3,000
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Western Addition Child Care Center PM&E	$3,000
Enterprise for High School Students	200 Pine Street, Suite 600 San Francisco, CA 94104	San Francisco Fall Antiques Show 2011	$2,750
Equal Rights Advocates	1663 Mission Street, Suite 250 San Francisco, CA 94103	General Operating Support	$1,000
Exploratorium	3601 Lyon Street San Francisco, CA 94123	34th Annual Awards Dinner	$5,000
Family Service Agency of San Francisco	1010 Gough Street San Francisco, CA 94109	Family Development Center PM&E	$5,000
Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Mid-Winter Gala 2011	$7,500
Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Balenciaga and Spain	$2,500
Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Membership	$1,500
Florence Crittenton Services	1101 Masonic Avenue San Francisco, CA 94117	Hayes Valley/Hope VI Site PM&E	$3,000
Foundation for Art and Preservation in Embassies	1725 'I' Street, N.W. Suite 300 Washington, DC 20006	Membership 2011	$3,000

ATTACHMENT 10A

Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	General Operating Support 2011	$1,000
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2011	$50,000
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2011	$50,000
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2011	$50,000
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	$4,050
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	$2,640
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	$2,390
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships	$1,320
Friends of St. Francis Childcare Center	50 Belcher Street San Francisco, CA 94114	PM&E	$3,000
Friends of the San Francisco Commission on the Status of Women	Post Office Box 191482 San Francisco, CA 94119	General Operating Support	$2,000
Fryeburg Recreation Committee	Post Office Box 41 Fryeburg, ME 04037	2011 Capital Campaign	$20,000
Glide Foundation / Memorial United Methodist Church	330 Ellis Street San Francisco, CA 94102	PM&E	$5,000
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	William Kent Society 2011	$5,000
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2011	$31,250
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2011	$31,250
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2011	$31,250
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2011	$31,250
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Purchase and installation of new play structure	$21,786
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Purchase and installation of new play structure	$6,214
Grantmakers for Children, Youth and Families	8757 Georgia Avenue, Suite 540 Silver Spring, MD 20910	2011 Annual Conference	$5,000
Headlands Center for the Arts	944 Fort Barry Sausalito, CA 94965	General Operating Support 2011	$1,000
Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Preschool PM&E	$5,000
Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Toddler/Infant Site PM&E	$3,000
Homeless Prenatal Program	2500 18th Street San Francisco, CA 94110	Drop-in Child Care Center	$42,000
Human Rights Watch	100 Bush Street, Suite 925 San Francisco, CA 94104	General Operating Support	$2,500
Independent Sector	1602 L Street, N W. Suite 900 Washington, DC 20036	2011 Membership	$7,500
International Council of the Museum of Modern Art	11 West 53 Street New York, NY 10019	Membership 2011	$7,500

ATTACHMENT 18A

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

International Council of the Museum of Modern Art	11 West 53 Street New York, NY 10019	Membership 2012	$7,500
Intersection for the Arts	446 Valencia Street San Francisco, CA 94103	Teacher Salary Project General Operating Support	$1,000
Jelani House	1601 Quesada Street San Francisco, CA 94124	Childcare Department PM&E	$3,000
Jewish Community Center of San Francisco	3200 California Street San Francisco, CA 94118	Early Childhood Program	$50,000
Julia Morgan School for Girls	5000 MacArthur Boulevard Oakland, CA 94613	2011 Annual Fund	$1,000
Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Model Centers Emergent Literacy Program	$67,500
Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Model Centers Emergent Literacy Program	$67,500
Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Early Literacy Network	$25,000
KIPP Bay Area Schools	426 17th Street, Suite 200 Oakland, CA 94612	General Operating Support	$50,000
KQED	2601 Mariposa Street San Francisco, CA 941101400	Producer's Circle	$25,000
La Casa de Las Madres	1663 Mission Street, Suite 225 San Francisco, CA 94103	PM&E	$3,000
Larkin Street Youth Services	701 Sutter Street Suite 2 San Francisco, CA 94109	HIV Specialty Clinic	$60,000
Legal Services for Children	1254 Market Street, Third Floor San Francisco, CA 94102	General Operating Support	$45,000
Leukemia & Lymphoma Society	11701 Montana Ave., #203 Los Angeles, CA 90049	In honor of Shira Weisbach	$150
Lincoln Center Theater	150 West 65th Street New York, NY 10023	Benefactor Patron	$10,000
Maitri	401 Duboce Avenue San Francisco, CA 94117-3551	General Operating Support	$40,000
Marshall Legacy Institute	2425 Wilson Boulevard, Suite 240 Arlington, VA 22201	General Operating Support	$500
Martha's Vineyard Community Services	111 Edgartown Road Vineyard Haven, MA 02568	Possible Dreams Auction 2011	$85,000
Martha's Vineyard Hospital	Post Office Box 1477 Oak Bluffs, MA 02557	General Operating Support 2011	$10,000
Martha's Vineyard Hospital	Post Office Box 1477 Oak Bluffs, MA 02557	General Operating Support	$3,000
Martha's Vineyard Museum	59 School Street Post Office Box 1310 Edgartown, MA 02539	General Operating Support	$500
Martha's Vineyard Preservation Trust	Post Office Box 5277 Edgartown, MA 02539	General Operating Support	$250
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	23rd Annual International Fine Art and Antique Dealers Show	$10,000
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Annual Appeal	$10,000
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Spring Ball 2011	$1,000
Mission Child Care Consortium	4750 Mission Street San Francisco, CA 94112	PM&E	$5,000

ATTACHMENT 6A

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Mount St. Joseph - St. Elizabeth	Epiphany Parent-Child Center 100 Masonic Avenue San Francisco, CA 94118	Epiphany Center PM&E	$3,000
Museum of Contemporary Art	250 South Grand Avenue Los Angeles, CA 90012	Annual Gala 2011	$25,000
National Abortion Rights Action League Foundation	111 Pine Street, Suite 1500 San Francisco, CA 94111	Power of Choice Luncheon 2011	$2,000
National Fish and Wildlife Foundation	1133 Fifteenth Street NW, Suite 1100 Washington, DC 20005	Celebrating the Great Outdoors 2011	$50,000
National Gallery of Art	National Gallery of Art Collectors Committee 2000B South Club Drive Landover, MD 20785	Collectors Committee 2011	$15,000
National Trust for Historic Preservation, Glass House	The Philip Johnson Glass House 199 Elm Street New Canaan, CT 06840	The Glass House	$1,000
Nature Conservancy, Massachusetts Chapter	18 Helen Avenue Vineyard Haven, MA 02568	General Operating Support	$1,000
Neighborhood Parks Council	451 Hayes Street, 2nd Floor San Francisco, CA 94102	Plaground Rehabilitation Program Challenge Grant (1:1 Ratio)	$20,000
New York City Ballet	David H. Koch Theater 20 Lincoln Center New York, NY 10023	2011 Fall Gala	$5,000
Nihonmachi Little Friends	2031 Bush Street San Francisco, CA 94115	2031 Bush Street Center PM&E	$5,000
Northern California Grantmakers	625 Market Street, 15th Floor San Francisco, CA 94105	Membership - 2011 ($500 fair value)	$8,500
Omega Boys Club	Post Office Box 884463 San Francisco, CA 94188	General Operating Support	$10,000
Painted Turtle	1300 4th Street Suite 300 Santa Monica, CA 90401	General Operating Support	$1,000
Pequawket Kids Association	Maine School Administrative District #72 124 Portland Street Fryeburg, ME 04037	General Operating Support	$10,000
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	Next Steps Program	$35,000
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	PM&E	$3,000
Prison University Project	Post Office Box 492 San Quentin, CA 94964	San Quentin College Program	$15,000
Public Art Fund	One East 53rd Street New York, NY 10022	Year End Campaign	$1,000
Reach Out and Read	56 Roland Street, Suite 100D Boston, MA 02129	San Francisco Project	$10,000
Red Tab Foundation	Levi's Plaza P.O.Box 7215 San Francisco, CA 941206906	General Operating Support	$75,000
Red Tab Foundation	Levi's Plaza P.O.Box 7215 San Francisco, CA 941206906	John Anderson Global Relief Fund	$1,000
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	In support of 2011 Robin Hood Benefit	$100,000
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	General Operating Support	$75,000

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GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	General Operating Support	$20,000
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	General Operating Support	$20,000
San Francisco Art Institute	800 Chestnut Street San Francisco, CA 94133	Annual Fund	$2,500
San Francisco Ballet Association	455 Franklin Street San Francisco, CA 94102	General Operating Support	$35,000
San Francisco Ballet Association	455 Franklin Street San Francisco, CA 94102	2012 Opening Night Gala	$32,000
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	Respite Care Program	$65,000
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	PM&E	$3,000
San Francisco Chronicle Season of Sharing Fund	Post Office Box 44740 San Francisco, CA 94144	General Operating Support	$35,000
San Francisco Film Society	39 Mesa Street, Suite 110 The Presidio San Francisco, CA 94129	Film Society Awards Night, 54th Anniversary	$1,000
San Francisco Free Clinic	4900 California Street San Francisco, CA 94118	2011 Annual Luncheon	$25,000
San Francisco General Hospital Foundation	2789 25th Street, Suite 2028 San Francisco, CA 94110	Bay Area Perinatal AIDS Center	$40,000
San Francisco General Hospital Foundation	2789 25th Street, Suite 2028 San Francisco, CA 94110	Bay Area Perinatal AIDS Center Supplemental Support	$10,000
San Francisco Jazz Organization (SFJAZZ)	Three Embarcadero Center Lobby Level San Francisco, CA 94111	2011 SFJAZZ Gala General Operating Support	$25,000
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Capital Campaign	$1,250,000
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Mark Bradford exhibit	$318,000
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Annual Giving 2011-2012	$186,538
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Additional Annual Giving 2011-2012	$40,000
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	Modern Art Council Art Auction 2011 (Payment 2 of 2)	$6,000
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	SF20/21: SF 20th Century Art and Design Show	$5,000
San Francisco Opera Association	War Memorial Opera House 301 Van Ness Avenue San Francisco, CA 941024509	Annual Fund 2011	$35,000
San Francisco Opera Guild	301 Van Ness Avenue San Francisco, CA 94102-4509	Opera Ball 2011	$10,000
San Francisco Parks Trust	McLaren Lodge in Golden Gate Park 501 Stanyan Street San Francisco, CA 94117-1898	Party for the Parks 2011	$10,000
San Francisco Planning and Urban Research Association	654 Mission Street San Francisco, CA 94105-4015	Membership 2011	$150
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$98,846
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$80,938

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$80,938
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$60,384
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$53,756
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$53,756
San Francisco Symphony	Louise M. Davies Symphony Hall 201 Van Ness Avenue San Francisco, CA 94102	2011 Opening Night Gala	$50,000
San Francisco Symphony	Louise M. Davies Symphony Hall 201 Van Ness Avenue San Francisco, CA 94102	Annual Fund 2011	$50,000
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Summerbridge at University High School	$7,500
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Annual Fund 2011	$5,000
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2010-2011	$250,000
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2010-2011	$250,000
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2010-2011	$250,000
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2011-2012	$250,000
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2011 Yerba Buena Gardens CDC	$62,500
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2011 Yerba Buena Gardens CDC	$62,500
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2011 Yerba Buena Gardens CDC	$62,500
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2011 Yerba Buena Gardens CDC	$62,500
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2011	$11,500
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2011	$5,015
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Judith Baker CDC PM&E	$5,000
Stern Grove Festival Association	832 Folsom Street Suite 1000 San Francisco, CA 94107-1199	General Operating Support 2011	$15,000
Stone Barns Center for Food & Agriculture	630 Bedford Road Pocantico Hills, NY 10591	School and Professional Development Programs	$25,000
Stonestown Family YMCA	333 Eucalyptus San Francisco, CA 94127	Preschool PM&E	$3,000
Studio in a School Association	410 West 59th Street New York, NY 10019-8200	General Operating Support	$1,000
Summer Search San Francisco	500 Sansome Street, Suite 350 San Francisco, CA 94111	General Operating Support	$10,000
Sunny Hills Services	300 Sunny Hills Drive San Anselmo, CA 94960	General Operating Support	$2,500

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Sunset Co-op Nursery School	4245 Lawton St. San Francisco, CA 94118	PM&E	$3,000
Synergos Institute	3 East 54th Street 14th Floor New York, NY 10022	Global Philanthropists Circle	$25,000
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000
Telegraph Hill Neighborhood Center	660 Lombard Street San Francisco, CA 94133	PM&E	$5,000
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	2011 Housing Development Project Grant	$150,000
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	Tenderloin After-School Program (TASP)	$50,000
The American Friends of the Donmar Theatre	c/o Kenneth David Burrows 950 Third Avenue, 32nd Floor New York, NY 10022	Charitable Contribution	$1,000
The Edible Schoolyard Project	1517 Shattuck Avenue Berkeley, CA 94709	Edible Schoolyard Program	$5,000
The New York Public Library	Stephen A. Schwarzman Building Fifth Avenue and 42nd Street New York, NY 10018-2788	Library Lions 2011	$25,000
The Public Theater - New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	General Operating Support The Public Theater	$2,500
Third Sector New England	89 South Street, Suite 700 Boston, MA 02111-2680	Early Childhood Funders Collaborative	$10,000
Thomas Jefferson Foundation	Post Office Box 217 Charlottesville, VA 22902	Monticello Cabinet	$5,000
Thomas Jefferson's Poplar Forest	Post Office Box 419 Forest, VA 24551	General Operating Support	$500
Town School for Boys	2750 Jackson Street San Francisco, CA 94115	Edwin M. Rich Society	$1,500
True Sunshine Preschool Center	777 Stockton Street #201 San Francisco, CA 94108	PM&E	$5,000
Ubuntu Education Fund	32 Broadway, Suite 414 New York, NY 10004	General Operating Support	$30,000
UCSF Foundation	220 Montgomery Street, Suite 500 San Francisco, CA 94104	Comprehensive Cancer Center Frank McCormick	$2,500
United Way of the Bay Area	221 Main Street, Suite 300 San Francisco, CA 94105	General Operating Support	$10,000
University of California, Berkeley Foundation	2080 Addison Street, #4200 Berkeley, CA 94720-4200	Mimi and Peter Haas Public Service Leaders Program	$1,285,714
University of California, Berkeley Foundation	2080 Addison Street, #4200 Berkeley, CA 94720-4200	University Intercollegiate Athletics Program	$714,286
University of California, Regents	2223 Fulton St., 3rd Floor Berkeley, CA 94720-4424	UC Berkeley Art Museum and Pacific Film Archive Annual Membership	$2,500
University of California, Regents	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	Cal Marching Band General Operating Support	$1,000
Venetian Heritage	475 Park Avenue New York, NY 10022	In Support of the Biennale Weekend 2011	$6,200
Wah Mei School	1400 Judah Street San Francisco, CA 94122	PM&E	$5,000

ATTACHMENT 18A

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

WestEd Center for Child and Family Studies	180 Harbor Drive Suite 112 Sausalito, CA 94965	in support of the DVD project of the California Preschool Learning Foundations	$30,000
Whitney Young Child Development Center	1101 Masonic Avenue San Francisco, CA 94117	Bayview/Hunter's Point Site PM&E	$5,000
Whitney Young Child Development Center	1101 Masonic Avenue San Francisco, CA 94117	Masonic PM&E	$5,000
World Monuments Fund	350 Fifth Avenue, Suite 2412 New York, NY 10118	2011 Hadrian Award Honoring Ronald and Jo Carole Lauder	$7,500
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Lok Yuen CDC PM&E	$5,000
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	New Generations CDC PM&E	$5,000
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	177 Golden Gate CDC PM&E	$3,000
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Chinatown Infant Center PM&E	$3,000
Wu Yee Children's Services	706 Mission Street, 6th Floor San Francisco, CA 94103	Generations CDC PM&E	$3,000
YMCA of San Francisco - Mission Branch	4080 Mission Street San Francisco, CA 94112	Mission Preschool PM&E	$5,000
Young Performers Theatre	Fort Mason Center Building C, Third Floor San Francisco, CA 94123	Theater in Education Program	$35,000
Youth Speaks	1663 Mission Street, Suite 604 San Francisco, CA 94103	General Operating Support	$2,500
			$10,481,696

ATTACHMENT 10A

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 19

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS APPROVED IN CURRENT YR PAID IN FUTURE YR SEE ATTACHMENT 19A			11,500.
		TOTAL CONTRIBUTIONS APPROVED	11,500.

MIMI AND PETER HAAS FUND

GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE YEARS

FEIN: 94-6064551

Payee Organization	Payee Address	Request Project Title	Grant Payable
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2011	$11,500.00
	TOTAL		**$11,500**

ATTACHMENT 19A

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
UNREALIZED APPRECIATION (DEPRECIATION)			18	-7,478,644.	
TOTALS				-7,478,644.	

FEDERAL FOOTNOTES

PART VII-B, LINE 1A(4)

REGARDING PAYING COMPENSATION TO, OR PAY OR REIMBURSE THE EXPENSES OF, A DISQUALIFIED PERSON: THE MIMI AND PETER HAAS FUND'S ACCOUNTING SERVICES ARE PROVIDED BY ARGONAUT SECURITIES COMPANY. OWNERS OF ARGONAUT SECURITIES COMPANY ARE MIRIAM L. HAAS, TRUSTEE/PRESIDENT OF THE MIMI AND PETER HAAS FUND, AND AN INDIVIDUAL UNRELATED TO THE MIMI AND PETER HAAS FUND. SERVICES ARE PROVIDED AT FAIR MARKET VALUE.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property Gross sale price less expenses of sale	Depreciation allowed/ allowable	Description Cost or other basis / FMV as of 12/31/69 / Adj. basis as of 12/31/69 / Excess of FMV over adj basis	P or D	Date acquired Gain or (loss)	Date sold
		BAUPOST VALUE PARTNERS IV 26-2208448 PROPERTY TYPE: OTHER	P	VARIOUS 2,224,973.	VARIOUS
		CANYON VALUE REAL FUND PROPERTY TYPE: OTHER	P	VARIOUS -51,111.	VARIOUS
		FARALLON CAPITAL INST 94-3106323 PROPERTY TYPE: OTHER	P	VARIOUS -30,264.	VARIOUS
		FR XI ONSHORE AIV 71-1015969 PROPERTY TYPE: OTHER	P	VARIOUS 222,000.	VARIOUS
		GEMINI ISRAEL IV 98-0428414 PROPERTY TYPE: OTHER	P	VARIOUS -28,127.	VARIOUS
		GENERATION IM GLOBAL EQ. FUND PROPERTY TYPE: OTHER	P	VARIOUS 84,028.	VARIOUS
		JC FLOWERS II 98-0494093 PROPERTY TYPE: OTHER	P	VARIOUS 16,443.	VARIOUS
		HCP WPPE X INVESTORS 26-1278318 PROPERTY TYPE: OTHER	P	VARIOUS 1,467.	VARIOUS
		JW CHILDS EQUITY III 03-0387301 PROPERTY TYPE: OTHER	P	VARIOUS 10,908.	VARIOUS
		HCP PRIVATE EQUITY 52-2335224 PROPERTY TYPE: OTHER	P	VARIOUS 280,886.	VARIOUS
		HCP VF IX INVESTORS 26-1958282 PROPERTY TYPE: OTHER	P	VARIOUS 71,676.	VARIOUS
		NATURAL GAS PARTNERS IX 26-0632609 PROPERTY TYPE: OTHER	P	VARIOUS 86,959.	VARIOUS

MI[illegible]ND PETER HAAS FUND 94-6064551

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PZENA GLOBAL VALUE FUND (DST) 20-6776308 PROPERTY TYPE: OTHER				P	VARIOUS 962,085.	VARIOUS
		ROSEWOOD CAPITAL III 94-3274638 PROPERTY TYPE: OTHER				P	VARIOUS 328,899.	VARIOUS
		ROSEWOOD CAPITAL 94-3195569 PROPERTY TYPE: OTHER				P	VARIOUS -212,249.	VARIOUS
		TCV III (Q) 77-0494890 PROPERTY TYPE: OTHER				P	VARIOUS -74,451.	VARIOUS
		TCV IV 77-0527868 PROPERTY TYPE: OTHER				P	VARIOUS 23,176.	VARIOUS
		WARBURG PINCUS PRIV EQUITY VIII 13-41618 PROPERTY TYPE: OTHER				P	VARIOUS 75,781.	VARIOUS
		CARMEL PARTNERS III 33-1177003 PROPERTY TYPE: OTHER				P	VARIOUS 11,927.	VARIOUS
		GEMINI ISRAEL V 98-0548428 PROPERTY TYPE: OTHER				P	VARIOUS 5,143.	VARIOUS
		JCF II SIDECAR 98-0504008 PROPERTY TYPE: OTHER				P	VARIOUS -1,000.	VARIOUS
		GS MOUNT KELLETT CAP PR (N/A) PROPERTY TYPE: OTHER				P	VARIOUS 1,833.	VARIOUS
		POUCHINE COOK CAPII 35-2249258 PROPERTY TYPE: OTHER				P	VARIOUS -176,272.	VARIOUS
		ROSEWOOD CAP V 14-1948848 PROPERTY TYPE: OTHER				P	VARIOUS 379,401.	VARIOUS

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		NT-CAPITAL GUARDIAN 26-03958(STMT D-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
1,665,483.		829,398.					836,085.	
		NT-GENERAL ACCOUNT 26-74278(STMT D-2) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
118,117.							118,117.	
		SCHWAB/GURTIN 20905807(STMT D-3)					VARIOUS	VARIOUS
278499991.		278499985.					6.	
		WELLS FARGO 2009-5273(STMT D-4) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
462,705.		36,398.					426,307.	
TOTAL GAIN(LOSS)							5,594,626.	

ATTACHMENT 22

STMT D-1

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
30 Nov 11 09 Dec 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	2,824 71	0.00	2,824 71	0 00	0 00	2,824 71
	15 May 09	0 00	0.00	1,775 78	0 00	1,775 78	0 00	0 00	1,775 78
	15 May 09	0 00	0.00	51 87	0 00	51 87	0.00	0 00	51.87
	29 May 09	0 00	0.00	397 68	0 00	397 68	0 00	0 00	397.68
	29 May 09	0 00	0 00	3 22	0.00	3 22	0.00	0 00	3 22
	29 May 09	0 00	0 00	217 42	0.00	217 42	0 00	0 00	217 42
	15 Jun 09	0 00	0 00	12 97	0 00	12 97	0 00	0 00	12 97
	15 Jun 09	0 00	0 00	4 91	0 00	4 91	0 00	0 00	4 91
	15 Jun 09	0 00	0 00	75 89	0 00	75 89	0 00	0 00	75 89
	30 Jun 09	0 00	0 00	5 43	0 00	5 43	0 00	0 00	5 43
	30 Jun 09	0 00	0 00	4 78	0 00	4 78	0 00	0 00	4 78
	15 Jul 09	0 00	0 00	4 51	0 00	4 51	0 00	0 00	4.51
	31 Jul 09	0 00	0 00	4.44	0 00	4 44	0 00	0 00	4 44
	14 Aug 09	0 00	0 00	4 06	0 00	4 06	0.00	0 00	4 06
	31 Aug 09	0 00	0 00	4 71	0 00	4 71	0.00	0 00	4.71
	15 Sep 09	0 00	0 00	4 30	0 00	4 30	0 00	0 00	4 30
	30 Sep 09	0 00	0 00	4 22	0.00	4 22	0 00	0 00	4 22
	15 Oct 09	0 00	0 00	4 15	0 00	4 15	0 00	0 00	4 15
	30 Oct 09	0 00	0 00	4 06	0 00	4 06	0 00	0 00	4 06
	13 Nov 09	0 00	0 00	3 65	0 00	3 65	0 00	0 00	3 65
	30 Nov 09	0 00	0 00	4 48	0.00	4 48	0 00	0 00	4 48
	15 Dec 09	0 00	0 00	4 00	0 00	4 00	0 00	0 00	4 00
	31 Dec 09	0 00	0.00	3 87	0 00	3 87	0 00	0 00	3 87
	15 Jan 10	0 00	0 00	3 77	0 00	3 77	0 00	0 00	3.77
	29 Jan 10	0 00	0.00	3 78	0 00	3,78	0 00	0 00	3.78
	12 Feb 10	0 00	0 00	3 08	0 00	3 08	0 00	0 00	3 08
	26 Feb 10	0 00	0 00	4 55	0 00	4 55	0 00	0 00	4 55
	15 Mar 10	0 00	0 00	3 95	0 00	3 95	0 00	0 00	3 95

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date / Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	31 Mar 10	0.00	0.00	4.14	0.00	4.14	0.00	0.00	4.14
	30 Apr 10	0.00	0.00	0.12	0.00	0.12	0.00	0.00	0.12
	30 Apr 10	0.00	0.00	8.05	0.00	8.05	0.00	0.00	8.05
	30 Apr 10	0.00	0.00	2.75	0.00	2.75	0.00	0.00	2.75
	30 Apr 10	0.00	0.00	59.52	0.00	59.52	0.00	0.00	59.52
	14 May 10	0.00	0.00	0.06	0.00	0.06	0.00	0.00	0.06
	14 May 10	0.00	0.00	3.71	0.00	3.71	0.00	0.00	3.71
	28 May 10	0.00	0.00	4.03	0.00	4.03	0.00	0.00	4.03
	15 Jun 10	0.00	0.00	3.60	0.00	3.60	0.00	0.00	3.60
	30 Jun 10	0.00	0.00	3.36	0.00	3.36	0.00	0.00	3.36
	15 Jul 10	0.00	0.00	3.28	0.00	3.28	0.00	0.00	3.28
	30 Jul 10	0.00	0.00	3.26	0.00	3.26	0.00	0.00	3.26
	13 Aug 10	0.00	0.00	2.81	0.00	2.81	0.00	0.00	2.81
	31 Aug 10	0.00	0.00	3.92	0.00	3.92	0.00	0.00	3.92
	15 Sep 10	0.00	0.00	3.35	0.00	3.35	0.00	0.00	3.35
	30 Sep 10	0.00	0.00	3.26	0.00	3.26	0.00	0.00	3.26
	15 Oct 10	0.00	0.00	3.26	0.00	3.26	0.00	0.00	3.26
	29 Oct 10	0.00	0.00	3.23	0.00	3.23	0.00	0.00	3.23
	15 Nov 10	0.00	0.00	0.06	0.00	0.06	0.00	0.00	0.06
	15 Nov 10	0.00	0.00	3.11	0.00	3.11	0.00	0.00	3.11
	30 Nov 10	0.00	0.00	2.86	0.00	2.86	0.00	0.00	2.86
	15 Dec 10	0.00	0.00	3.04	0.00	3.04	0.00	0.00	3.04
	31 Dec 10	0.00	0.00	3.39	0.00	3.39	0.00	0.00	3.39
	31 Jan 11	0.00	0.00	7.26	0.00	7.26	0.00	0.00	7.26
	15 Feb 11	0.00	0.00	4.07	0.00	4.07	0.00	0.00	4.07
	28 Feb 11	0.00	0.00	3.79	0.00	3.79	0.00	0.00	3.79
	15 Mar 11	0.00	0.00	4.10	0.00	4.10	0.00	0.00	4.10
	31 Mar 11	0.00	0.00	3.77	0.00	3.77	0.00	0.00	3.77
	29 Apr 11	0.00	0.00	7.33	0.00	7.33	0.00	0.00	7.33

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◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 May 11	0 00	0 00	3 17	0 00	3 17	0 00	0 00	3 17
	31 May 11	0 00	0 00	4 14	0 00	4 14	0 00	0 00	4 14
	15 Jun 11	0 00	0 00	3 56	0 00	3 56	0 00	0 00	3 56
	30 Jun 11	0 00	0 00	3 42	0 00	3 42	0 00	0 00	3 42
	15 Jul 11	0 00	0 00	3 45	0 00	3 45	0 00	0 00	3 45
	29 Jul 11	0 00	0 00	3.43	0 00	3 43	0 00	0.00	3 43
	15 Aug 11	0.00	0 00	3 33	0 00	3 33	0 00	0 00	3 33
	31 Aug 11	0 00	0 00	3 37	0.00	3.37	0 00	0 00	3 37
	15 Sep 11	0 00	0 00	3.35	0 00	3.35	0 00	0 00	3 35
	30 Sep 11	0.00	0 00	3 17	0 00	3 17	0 00	0 00	3 17
	14 Oct 11	0 00	0 00	2 83	0 00	2 83	0 00	0 00	2 83
	31 Oct 11	0 00	0 00	3 27	0 00	3 27	0 00	0 00	3 27
	15 Nov 11	0 00	0 00	3 07	0 00	3 07	0 00	0 00	3 07
	30 Nov 11	0 00	0 00	3 13	0 00	3 13	0 00	0 00	3 13
15 Dec 11 11 Jan 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	35,792.95	35,792 95	0 00	0 00	35,792 95	0 00
	15 May 09	0 00	0 00	22,476 71	22,476.71	0 00	0 00	22,476 71	0 00
	15 May 09	0 00	0 00	656 54	656 54	0 00	0.00	656 54	0 00
	29 May 09	0 00	0 00	2,751 90	2,751 90	0 00	0 00	2,751.90	0.00
	29 May 09	0 00	0 00	5,033 57	5,033 57	0 00	0 00	5,033 57	0 00
	29 May 09	0 00	0 00	40 75	40 75	0 00	0 00	40 75	0 00
	15 Jun 09	0 00	0 00	164 21	164 21	0 00	0 00	164 21	0 00
	15 Jun 09	0 00	0 00	960 52	960 52	0 00	0 00	960 52	0 00
	15 Jun 09	0 00	0 00	62 18	62.18	0 00	0 00	62.18	0 00
	30 Jun 09	0 00	0 00	60 47	60 47	0.00	0 00	60 47	0 00
	30 Jun 09	0 00	0 00	68 75	68 75	0 00	0 00	68 75	0 00
	15 Jul 09	0 00	0 00	57.09	57 09	0.00	0 00	57 09	0 00
	31 Jul 09	0 00	0 00	56.16	56 16	0 00	0 00	56 16	0 00
	14 Aug 09	0 00	0.00	51 37	51 37	0 00	0 00	51.37	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Realized gain/loss: Market Long Term	Realized gain/loss: Translation	Realized gain/loss: Total Short Term	Realized gain/loss: Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Aug 09	0.00	0.00	59.63	59.63	0.00	0.00	59.63	0.00
	15 Sep 09	0.00	0.00	54.49	54.49	0.00	0.00	54.49	0.00
	30 Sep 09	0.00	0.00	53.35	53.35	0.00	0.00	53.35	0.00
	15 Oct 09	0.00	0.00	52.58	52.58	0.00	0.00	52.58	0.00
	30 Oct 09	0.00	0.00	51.46	51.46	0.00	0.00	51.46	0.00
	13 Nov 09	0.00	0.00	46.22	46.22	0.00	0.00	46.22	0.00
	30 Nov 09	0.00	0.00	56.69	56.69	0.00	0.00	56.69	0.00
	15 Dec 09	0.00	0.00	50.61	50.61	0.00	0.00	50.61	0.00
	31 Dec 09	0.00	0.00	48.96	48.96	0.00	0.00	48.96	0.00
	15 Jan 10	0.00	0.00	47.78	47.78	0.00	0.00	47.78	0.00
	29 Jan 10	0.00	0.00	47.86	47.86	0.00	0.00	47.86	0.00
	12 Feb 10	0.00	0.00	39.01	39.01	0.00	0.00	39.01	0.00
	26 Feb 10	0.00	0.00	57.66	57.66	0.00	0.00	57.66	0.00
	15 Mar 10	0.00	0.00	49.95	49.95	0.00	0.00	49.95	0.00
	31 Mar 10	0.00	0.00	52.40	52.40	0.00	0.00	52.40	0.00
	30 Apr 10	0.00	0.00	753.33	753.33	0.00	0.00	753.33	0.00
	30 Apr 10	0.00	0.00	101.89	101.89	0.00	0.00	101.89	0.00
	30 Apr 10	0.00	0.00	1.50	1.50	0.00	0.00	1.50	0.00
	30 Apr 10	0.00	0.00	34.87	34.87	0.00	0.00	34.87	0.00
	14 May 10	0.00	0.00	46.93	46.93	0.00	0.00	46.93	0.00
	14 May 10	0.00	0.00	0.76	0.76	0.00	0.00	0.76	0.00
	28 May 10	0.00	0.00	51.05	51.05	0.00	0.00	51.05	0.00
	15 Jun 10	0.00	0.00	45.51	45.51	0.00	0.00	45.51	0.00
	30 Jun 10	0.00	0.00	42.52	42.52	0.00	0.00	42.52	0.00
	15 Jul 10	0.00	0.00	41.63	41.63	0.00	0.00	41.63	0.00
	30 Jul 10	0.00	0.00	41.31	41.31	0.00	0.00	41.31	0.00
	13 Aug 10	0.00	0.00	35.59	35.59	0.00	0.00	35.59	0.00
	31 Aug 10	0.00	0.00	49.64	49.64	0.00	0.00	49.64	0.00
	15 Sep 10	0.00	0.00	42.38	42.38	0.00	0.00	42.38	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Sep 10	0.00	0.00	41.21	41.21	0.00	0.00	41.21	0.00
	15 Oct 10	0.00	0.00	41.35	41.35	0.00	0.00	41.35	0.00
	29 Oct 10	0.00	0.00	40.81	40.81	0.00	0.00	40.81	0.00
	15 Nov 10	0.00	0.00	0.65	0.65	0.00	0.00	0.65	0.00
	15 Nov 10	0.00	0.00	39.32	39.32	0.00	0.00	39.32	0.00
	30 Nov 10	0.00	0.00	36.18	36.18	0.00	0.00	36.18	0.00
	15 Dec 10	0.00	0.00	38.46	38.46	0.00	0.00	38.46	0.00
	31 Dec 10	0.00	0.00	42.87	42.87	0.00	0.00	42.87	0.00
	31 Jan 11	0.00	0.00	91.84	91.84	0.00	0.00	91.84	0.00
	15 Feb 11	0.00	0.00	51.50	51.50	0.00	0.00	51.50	0.00
	28 Feb 11	0.00	0.00	48.01	48.01	0.00	0.00	48.01	0.00
	15 Mar 11	0.00	0.00	51.81	51.81	0.00	0.00	51.81	0.00
	31 Mar 11	0.00	0.00	47.70	47.70	0.00	0.00	47.70	0.00
	29 Apr 11	0.00	0.00	92.75	92.75	0.00	0.00	92.75	0.00
	13 May 11	0.00	0.00	40.20	40.20	0.00	0.00	40.20	0.00
	31 May 11	0.00	0.00	52.37	52.37	0.00	0.00	52.37	0.00
	15 Jun 11	0.00	0.00	45.04	45.04	0.00	0.00	45.04	0.00
	30 Jun 11	0.00	0.00	43.30	43.30	0.00	0.00	43.30	0.00
	15 Jul 11	0.00	0.00	43.69	43.69	0.00	0.00	43.69	0.00
	29 Jul 11	0.00	0.00	43.36	43.36	0.00	0.00	43.36	0.00
	15 Aug 11	0.00	0.00	42.18	42.18	0.00	0.00	42.18	0.00
	31 Aug 11	0.00	0.00	42.67	42.67	0.00	0.00	42.67	0.00
	15 Sep 11	0.00	0.00	42.42	42.42	0.00	0.00	42.42	0.00
	30 Sep 11	0.00	0.00	40.11	40.11	0.00	0.00	40.11	0.00
	14 Oct 11	0.00	0.00	35.86	35.86	0.00	0.00	35.86	0.00
	31 Oct 11	0.00	0.00	41.33	41.33	0.00	0.00	41.33	0.00
	15 Nov 11	0.00	0.00	38.97	38.97	0.00	0.00	38.97	0.00
	30 Nov 11	0.00	0.00	39.61	39.61	0.00	0.00	39.61	0.00
	15 Dec 11	0.00	0.00	39.60	39.60	0.00	0.00	39.60	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
15 Feb 11 07 Mar 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	-12,046 66	-12,046 66	0.00	0.00	-12,046 66	0 00
	15 May 09	0 00	0 00	-7,753 55	-7,753 55	0 00	0 00	-7,753 55	0 00
	15 May 09	0 00	0 00	-226 49	-226 49	0 00	0 00	-226 49	0 00
	29 May 09	0 00	0 00	-949 30	-949 30	0 00	0 00	-949 30	0 00
	29 May 09	0 00	0 00	-1,736 38	-1,736 38	0.00	0 00	-1,736 38	0 00
	29 May 09	0 00	0 00	-14 06	-14 06	0 00	0 00	-14 06	0 00
	15 Jun 09	0 00	0 00	-331 34	-331 34	0 00	0 00	-331 34	0 00
	15 Jun 09	0.00	0 00	-56 65	-56.65	0 00	0 00	-56 65	0 00
	15 Jun 09	0.00	0 00	-21 45	-21 45	0.00	0 00	-21 45	0 00
	30 Jun 09	0.00	0.00	-20 86	-20 86	0 00	0.00	-20 86	0 00
	30 Jun 09	0.00	0 00	-23 71	-23 71	0 00	0 00	-23 71	0 00
	15 Jul 09	0 00	0.00	-19 69	-19 69	0.00	0 00	-19 69	0 00
	31 Jul 09	0 00	0 00	-19 37	-19 37	0 00	0 00	-19 37	0 00
	14 Aug 09	0 00	0 00	-17 72	-17 72	0 00	0 00	-17 72	0 00
	31 Aug 09	0 00	0 00	-20 57	-20 57	0 00	0 00	-20 57	0 00
	15 Sep 09	0 00	0 00	-18 79	-18 79	0 00	0 00	-18 79	0 00
	30 Sep 09	0 00	0 00	-18 41	-18 41	0 00	0 00	-18 41	0 00
	15 Oct 09	0 00	0 00	-18 14	-18 14	0 00	0 00	-18.14	0 00
	30 Oct 09	0.00	0 00	-17 75	-17 75	0 00	0 00	-17 75	0 00
	13 Nov 09	0 00	0 00	-15 94	-15 94	0.00	0 00	-15 94	0.00
	30 Nov 09	0 00	0 00	-19 56	-19.56	0 00	0 00	-19 56	0 00
	15 Dec 09	0 00	0 00	-17.46	-17.46	0 00	0 00	-17 46	0 00
	31 Dec 09	0 00	0 00	-16 88	-16 88	0 00	0 00	-16 88	0 00
	15 Jan 10	0 00	0 00	-16 48	-16 48	0 00	0 00	-16 48	0 00
	29 Jan 10	0 00	0 00	-16 51	-16 51	0 00	0 00	-16 51	0 00
	12 Feb 10	0 00	0 00	-13 46	-13 46	0 00	0 00	-13 46	0 00
	26 Feb 10	0 00	0 00	-19 89	-19 89	0 00	0 00	-19 89	0 00
	15 Mar 10	0 00	0 00	-17 23	-17 23	0 00	0 00	-17 23	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Mar 10	0 00	0 00	-18 08	-18 08	0 00	0 00	-18 08	0 00
	30 Apr 10	0 00	0 00	-259 87	-259 87	0 00	0.00	-259.87	0 00
	30 Apr 10	0 00	0 00	-0 52	-0 52	0 00	0 00	-0 52	0 00
	30 Apr 10	0 00	0 00	-35 14	-35 14	0 00	0 00	-35 14	0 00
	30 Apr 10	0 00	0 00	-12 02	-12 02	0 00	0 00	-12 02	0 00
	14 May 10	0 00	0 00	-16 18	-16 18	0 00	0 00	-16 18	0 00
	14 May 10	0 00	0 00	-0 27	-0 27	0 00	0 00	-0 27	0 00
	28 May 10	0 00	0 00	-17 61	-17 61	0 00	0 00	-17 61	0 00
	15 Jun 10	0 00	0 00	-15.70	-15 70	0 00	0 00	-15 70	0 00
	30 Jun 10	0 00	0 00	-14 67	-14 67	0 00	0 00	-14 67	0 00
	15 Jul 10	0 00	0.00	-14 36	-14 36	0 00	0 00	-14 36	0 00
	30 Jul 10	0 00	0 00	-14 26	-14 26	0 00	0 00	-14 26	0 00
	13 Aug 10	0 00	0 00	-12.27	-12.27	0 00	0 00	-12 27	0 00
	31 Aug 10	0.00	0 00	-17 12	-17 12	0 00	0 00	-17 12	0 00
	15 Sep 10	0.00	0 00	-14 62	-14 62	0 00	0 00	-14 62	0 0
	30 Sep 10	0 00	0 00	-14 22	-14 22	0 00	0 00	-14 22	0 00
	15 Oct 10	0 00	0 00	-14 27	-14 27	0 00	0 00	-14 27	0 00
	29 Oct 10	0.00	0 00	-14 08	-14 08	0 00	0 00	-14 08	0 00
	15 Nov 10	0 00	0 00	-0 22	-0 22	0 00	0 00	-0 22	0 00
	15 Nov 10	0 00	0 00	-13 56	-13 56	0 00	0 00	-13 56	0 00
	30 Nov 10	0 00	0 00	-12 48	-12 48	0 00	0 00	-12.48	0 00
	15 Dec 10	0 00	0 00	-13 26	-13 26	0 00	0 00	-13 26	0 00
	31 Dec 10	0 00	0 00	-14 79	-14.79	0 00	0 00	-14 79	0 00
	31 Jan 11	0 00	0.00	-31.69	-31 69	0 00	0 00	-31 69	0 00
	15 Feb 11	0 00	0 00	-17 76	-17 76	0 00	0 00	-17 76	0 00
29 Jul 11 08 Aug 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	7,169 44	0 00	7,169 44	0 00	0 00	7,169 44
	15 May 09	0 00	0 00	4,548 25	0 00	4,548 25	0 00	0 00	4,548 25
	15 May 09	0 00	0 00	132 85	0 00	132 85	0 00	0 00	132 85

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market — Short Term	Long Term	Translation	Total — Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	1,018 57	0 00	1,018 57	0 00	0 00	1,018 57
	29 May 09	0 00	0 00	8 25	0 00	8 25	0 00	0 00	8.25
	29 May 09	0 00	0 00	556 86	0 00	556 86	0 00	0 00	556 86
	15 Jun 09	0 00	0 00	33 23	0.00	33 23	0 00	0 00	33 23
	15 Jun 09	0 00	0 00	194 36	0 00	194 36	0 00	0 00	194 36
	15 Jun 09	0 00	0 00	12 58	0 00	12 58	0 00	0 00	12 58
	30 Jun 09	0 00	0 00	12 23	0 00	12 23	0 00	0 00	12 23
	30 Jun 09	0 00	0 00	13 91	0 00	13 91	0 00	0 00	13 91
	15 Jul 09	0 00	0 00	11 55	0 00	11 55	0 00	0 00	11 55
	31 Jul 09	0 00	0 00	11 36	0 00	11 36	0 00	0 00	11 36
	14 Aug 09	0 00	0 00	10 40	0 00	10 40	0 00	0 00	10 40
	31 Aug 09	0 00	0 00	12,07	0 00	12 07	0 00	0 00	12 07
	15 Sep 09	0 00	0 00	11 03	0 00	11 03	0 00	0 00	11 03
	30 Sep 09	0 00	0 00	10 80	0 00	10 80	0 00	0 00	10 80
	15 Oct 09	0 00	0 00	10 64	0 00	10 64	0 00	0 00	10 64
	30 Oct 09	0 00	0 00	10 41	0 00	10 41	0 00	0 00	10 41
	13 Nov 09	0 00	0 00	9 35	0 00	9 35	0 00	0 00	9 35
	30 Nov 09	0 00	0 00	11 47	0 00	11 47	0 00	0 00	11 47
	15 Dec 09	0 00	0 00	10 24	0 00	10 24	0 00	0 00	10 24
	31 Dec 09	0 00	0 00	9 90	0 00	9 90	0 00	0 00	9 90
	15 Jan 10	0 00	0 00	9 67	0 00	9 67	0 00	0 00	9 67
	29 Jan 10	0 00	0 00	9 69	0 00	9 69	0 00	0 00	9 69
	12 Feb 10	0 00	0 00	7 89	0 00	7 89	0 00	0 00	7 89
	26 Feb 10	0 00	0 00	11 67	0 00	11,67	0 00	0 00	11 67
	15 Mar 10	0 00	0 00	10 10	0 00	10 10	0 00	0 00	10 10
	31 Mar 10	0 00	0 00	10 61	0 00	10 61	0 00	0 00	10 61
	30 Apr 10	0 00	0 00	0 30	0 00	0 30	0 00	0 00	0 30
	30 Apr 10	0 00	0 00	20 62	0 00	20 62	0 00	0 00	20 62
	30 Apr 10	0 00	0 00	7 05	0 00	7 05	0 00	0 00	7 05

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Realized gain/loss – Market Long Term	Realized gain/loss – Translation	Realized gain/loss – Total Short Term	Realized gain/loss – Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	152 44	0 00	152.44	0.00	0 00	152 44
	14 May 10	0 00	0 00	0 15	0 00	0.15	0 00	0 00	0 15
	14 May 10	0 00	0 00	9 50	0 00	9 50	0 00	0 00	9 50
	28 May 10	0 00	0 00	10 33	0 00	10 33	0.00	0 00	10 33
	15 Jun 10	0.00	0.00	9 21	0 00	9 21	0.00	0 00	9 21
	30 Jun 10	0 00	0 00	8 61	0 00	8 61	0 00	0 00	8 61
	15 Jul 10	0 00	0 00	8 42	0 00	8 42	0 00	0 00	8 42
	30 Jul 10	0 00	0 00	8 36	0 00	8 36	0 00	0 00	8 36
	13 Aug 10	0 00	0 00	7 20	0 00	7 20	0 00	0 00	7 20
	31 Aug 10	0 00	0 00	10 05	0 00	10 05	0 00	0 00	10 05
	15 Sep 10	0 00	0 00	8 57	0 00	8 57	0.00	0 00	8 57
	30 Sep 10	0 00	0 00	8 34	0 00	8.34	0 00	0 00	8.34
	15 Oct 10	0 00	0 00	8 37	0 00	8 37	0 00	0 00	8 37
	29 Oct 10	0 00	0 00	8 26	0 00	8 26	0 00	0 00	8 26
	15 Nov 10	0 00	0 00	0 13	0 00	0 13	0 00	0.00	0 1[illegible]
	15 Nov 10	0 00	0 00	7 96	0 00	7 96	0 00	0 00	7 96
	30 Nov 10	0 00	0 00	7 32	0 00	7 32	0 00	0 00	7 32
	15 Dec 10	0 00	0 00	7 78	0 00	7 78	0 00	0 00	7 78
	31 Dec 10	0 00	0 00	8 68	0 00	8 68	0 00	0 00	8 68
	31 Jan 11	0 00	0 00	18 59	0 00	18 59	0 00	0 00	18 59
	15 Feb 11	0 00	0 00	10 42	0 00	10.42	0 00	0 00	10 42
	28 Feb 11	0 00	0 00	9 72	0 00	9 72	0 00	0 00	9.72
	15 Mar 11	0 00	0 00	10 48	0 00	10.48	0 00	0 00	10 48
	31 Mar 11	0 00	0 00	9.66	0 00	9 66	0 00	0 00	9 66
	29 Apr 11	0 00	0.00	18 77	0 00	18 77	0 00	0 00	18 77
	13 May 11	0 00	0 00	8 13	0 00	8 13	0 00	0 00	8 13
	31 May 11	0 00	0 00	10 60	0 00	10 60	0 00	0 00	10 60
	15 Jun 11	0.00	0 00	9 11	0 00	9 11	0 00	0 00	9 11
	30 Jun 11	0 00	0 00	8 76	0 00	8 76	0 00	0 00	8 76

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jul 11	0.00	0.00	8.84	0.00	8.84	0.00	0.00	8.84
	29 Jul 11	0.00	0.00	8.77	0.00	8.77	0.00	0.00	8.77
31 Dec 10 08 Apr 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0.00	0.00	28,867.43	0.00	28,867.43	0.00	0.00	28,867.43
	15 May 09	0.00	0.00	18,656.43	0.00	18,656.43	0.00	0.00	18,656.43
	15 May 09	0.00	0.00	544.96	0.00	544.96	0.00	0.00	544.96
	29 May 09	0.00	0.00	4,178.03	0.00	4,178.03	0.00	0.00	4,178.03
	29 May 09	0.00	0.00	33.83	0.00	33.83	0.00	0.00	33.83
	29 May 09	0.00	0.00	2,284.17	0.00	2,284.17	0.00	0.00	2,284.17
	15 Jun 09	0.00	0.00	136.30	0.00	136.30	0.00	0.00	136.30
	15 Jun 09	0.00	0.00	797.27	0.00	797.27	0.00	0.00	797.27
	15 Jun 09	0.00	0.00	51.61	0.00	51.61	0.00	0.00	51.61
	30 Jun 09	0.00	0.00	50.19	0.00	50.19	0.00	0.00	50.19
	30 Jun 09	0.00	0.00	57.06	0.00	57.06	0.00	0.00	57.06
	15 Jul 09	0.00	0.00	47.38	0.00	47.38	0.00	0.00	47.38
	31 Jul 09	0.00	0.00	46.61	0.00	46.61	0.00	0.00	46.61
	14 Aug 09	0.00	0.00	42.63	0.00	42.63	0.00	0.00	42.63
	31 Aug 09	0.00	0.00	49.50	0.00	49.50	0.00	0.00	49.50
	15 Sep 09	0.00	0.00	45.23	0.00	45.23	0.00	0.00	45.23
	30 Sep 09	0.00	0.00	44.28	0.00	44.28	0.00	0.00	44.28
	15 Oct 09	0.00	0.00	43.65	0.00	43.65	0.00	0.00	43.65
	30 Oct 09	0.00	0.00	42.72	0.00	42.72	0.00	0.00	42.72
	13 Nov 09	0.00	0.00	38.36	0.00	38.36	0.00	0.00	38.36
	30 Nov 09	0.00	0.00	47.06	0.00	47.06	0.00	0.00	47.06
	15 Dec 09	0.00	0.00	42.01	0.00	42.01	0.00	0.00	42.01
	31 Dec 09	0.00	0.00	40.63	0.00	40.63	0.00	0.00	40.63
	15 Jan 10	0.00	0.00	39.65	0.00	39.65	0.00	0.00	39.65
	29 Jan 10	0.00	0.00	39.72	0.00	39.72	0.00	0.00	39.72
	12 Feb 10	0.00	0.00	32.38	0.00	32.38	0.00	0.00	32.38

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	26 Feb 10	0 00	0 00	47 86	0 00	47 86	0 00	0 00	47 86
	15 Mar 10	0 00	0 00	41 46	0 00	41 46	0 00	0 00	41 46
	31 Mar 10	0 00	0 00	43 50	0 00	43.50	0 00	0 00	43 50
	30 Apr 10	0 00	0 00	28 94	0 00	28 94	0 00	0 00	28.94
	30 Apr 10	0 00	0 00	625.30	0 00	625 30	0 00	0 00	625 30
	30 Apr 10	0 00	0 00	84 57	0 00	84 57	0 00	0 00	84 57
	30 Apr 10	0 00	0 00	1 25	0 00	1 25	0 00	0 00	1.25
	14 May 10	0 00	0 00	38 95	0 00	38 95	0 00	0 00	38 95
	14 May 10	0 00	0 00	0 63	0 00	0 63	0 00	0 00	0 63
	28 May 10	0 00	0 00	42 37	0 00	42 37	0 00	0 00	42 37
	15 Jun 10	0 00	0 00	37 78	0 00	37 78	0 00	0 00	37 78
	30 Jun 10	0 00	0 00	35 29	0 00	35 29	0 00	0 00	35 29
	15 Jul 10	0 00	0 00	34 57	0 00	34 57	0 00	0 00	34 57
	30 Jul 10	0 00	0 00	34 29	0 00	34 29	0 00	0 00	34 29
	13 Aug 10	0 00	0 00	29 54	0 00	29 54	0 00	0 00	29 54
	31 Aug 10	0 00	0 00	41 21	0 00	41 21	0 00	0 00	41 21
	15 Sep 10	0 00	0 00	35 17	0 00	35.17	0 00	0 00	35 17
	30 Sep 10	0 00	0 00	34 20	0 00	34 20	0 00	0 00	34 20
	15 Oct 10	0 00	0 00	34 31	0 00	34 31	0 00	0 00	34 31
	29 Oct 10	0 00	0 00	33 87	0 00	33 87	0 00	0 00	33 87
	15 Nov 10	0 00	0 00	0 54	0 00	0 54	0 00	0 00	0 54
	15 Nov 10	0 00	0 00	32.63	0 00	32 63	0 00	0 00	32.63
	30 Nov 10	0 00	0 00	30 04	0 00	30.04	0 00	0 00	30 04
	15 Dec 10	0 00	0 00	31 92	0 00	31 92	0 00	0 00	31 92
	31 Dec 10	0 00	0 00	35.58	0 00	35 58	0 00	0 00	35 58
31 Mar 11 08 Apr 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0.00	0.00	24,713 55	0 00	24,713 55	0 00	0 00	24,713 55
	15 May 09	0 00	0 00	15,839 38	0 00	15,839 38	0 00	0 00	15,839 38
	15 May 09	0 00	0 00	462 67	0 00	462 67	0 00	0 00	462 67

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	1,939 27	0 00	1,939 27	0 00	0 00	1,939 27
	29 May 09	0.00	0 00	3,547 16	0 00	3,547 16	0 00	0 00	3,547 16
	29 May 09	0 00	0 00	28 72	0 00	28 72	0 00	0 00	28 72
	15 Jun 09	0 00	0 00	676.89	0 00	676 89	0 00	0 00	676.89
	15 Jun 09	0 00	0 00	43 82	0 00	43 82	0 00	0.00	43 82
	15 Jun 09	0 00	0 00	115.72	0 00	115 72	0 00	0 00	115.72
	30 Jun 09	0 00	0 00	42 61	0 00	42 61	0 00	0 00	42.61
	30 Jun 09	0 00	0 00	48 45	0 00	48 45	0 00	0 00	48 45
	15 Jul 09	0 00	0 00	40 23	0 00	40 23	0 00	0 00	40 23
	31 Jul 09	0 00	0 00	39 57	0 00	39 57	0 00	0 00	39 57
	14 Aug 09	0 00	0 00	36 20	0 00	36 20	0 00	0 00	36 20
	31 Aug 09	0 00	0 00	42 03	0 00	42 03	0 00	0 00	42 03
	15 Sep 09	0 00	0 00	38 40	0 00	38 40	0 00	0 00	38 40
	30 Sep 09	0 00	0 00	37 60	0 00	37 60	0 00	0 00	37 60
	15 Oct 09	0 00	0 00	37 06	0 00	37 06	0 00	0 00	37 06
	30 Oct 09	0.00	0 00	36 27	0 00	36 27	0 00	0 00	36 27
	13 Nov 09	0 00	0 00	32.57	0 00	32 57	0 00	0 00	32.57
	30 Nov 09	0 00	0 00	39 95	0 00	39 95	0 00	0 00	39 95
	15 Dec 09	0 00	0 00	35 67	0 00	35 67	0 00	0 00	35 67
	31 Dec 09	0.00	0 00	34 50	0 00	34 50	0 00	0 00	34 50
	15 Jan 10	0 00	0 00	33 66	0 00	33 66	0 00	0 00	33 66
	29 Jan 10	0 00	0 00	33 72	0 00	33 72	0 00	0 00	33 72
	12 Feb 10	0.00	0 00	27 49	0 00	27 49	0 00	0 00	27 49
	26 Feb 10	0 00	0 00	40 64	0 00	40 64	0 00	0 00	40 64
	15 Mar 10	0 00	0 00	35.20	0 00	35 20	0 00	0 00	35 20
	31 Mar 10	0 00	0 00	36 93	0 00	36 93	0 00	0 00	36 93
	30 Apr 10	0 00	0 00	71 80	0 00	71 80	0 00	0 00	71 80
	30 Apr 10	0 00	0 00	24 57	0 00	24 57	0 00	0 00	24 57
	30 Apr 10	0 00	0 00	530 87	0 00	530 87	0 00	0 00	530 87

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	1 06	0 00	1 06	0 00	0 00	1 06
	14 May 10	0 00	0 00	0 54	0 00	0 54	0 00	0 00	0 54
	14 May 10	0 00	0 00	33 07	0 00	33 07	0 00	0 00	33 07
	28 May 10	0 00	0 00	35 98	0 00	35 98	0 00	0 00	35 98
	15 Jun 10	0 00	0 00	32 07	0 00	32.07	0 00	0 00	32 07
	30 Jun 10	0 00	0.00	29 96	0 00	29 96	0 00	0 00	29 96
	15 Jul 10	0 00	0 00	29 35	0 00	29 35	0 00	0 00	29 35
	30 Jul 10	0 00	0 00	29 11	0 00	29 11	0 00	0 00	29 11
	13 Aug 10	0 00	0 00	25 08	0 00	25 08	0 00	0 00	25 08
	31 Aug 10	0 00	0 00	34 98	0 00	34 98	0 00	0 00	34 98
	15 Sep 10	0 00	0 00	29 86	0 00	29 86	0 00	0 00	29 86
	30 Sep 10	0 00	0 00	29 03	0 00	29 03	0 00	0 00	29 03
	15 Oct 10	0 00	0 00	29 14	0 00	29 14	0 00	0 00	29 14
	29 Oct 10	0 00	0 00	28 76	0 00	28 76	0 00	0 00	28 76
	15 Nov 10	0.00	0 00	0 47	0 00	0 47	0 00	0 00	0 47
	15 Nov 10	0 00	0.00	27 70	0.00	27.70	0 00	0 00	27.70
	30 Nov 10	0.00	0 00	25 50	0.00	25 50	0 00	0 00	25 50
	15 Dec 10	0 00	0 00	27 10	0 00	27 10	0 00	0 00	27.10
	31 Dec 10	0 00	0 00	30 21	0 00	30.21	0 00	0 00	30 21
	31 Jan 11	0 00	0 00	64 72	0 00	64 72	0 00	0 00	64 72
	15 Feb 11	0 00	0 00	36 29	0 00	36 29	0 00	0 00	36 29
	28 Feb 11	0 00	0 00	33 83	0 00	33 83	0 00	0 00	33 83
	15 Mar 11	0 00	0 00	36 51	0 00	36 51	0 00	0 00	36 51
	31 Mar 11	0 00	0 00	33 61	0 00	33 61	0 00	0 00	33 61
30 Jun 11 11 Jul 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	8,412 05	0 00	8,412 05	0 00	0 00	8,412 05
	15 May 09	0 00	0 00	156 26	0 00	156 26	0 00	0 00	156 26
	15 May 09	0 00	0 00	5,349.70	0 00	5,349 70	0 00	0 00	5,349.70
	29 May 09	0 00	0 00	654 99	0 00	654 99	0 00	0 00	654 99

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	1,198 04	0 00	1,198 04	0 00	0 00	1,198 04
	29 May 09	0 00	0 00	9 70	0 00	9 70	0 00	0 00	9 70
	15 Jun 09	0 00	0 00	228 62	0 00	228 62	0 00	0 00	228 62
	15 Jun 09	0 00	0 00	14 79	0 00	14 79	0 00	0 00	14 79
	15 Jun 09	0 00	0 00	39 09	0 00	39 09	0 00	0 00	39 09
	30 Jun 09	0 00	0 00	14 40	0 00	14 40	0 00	0 00	14 40
	30 Jun 09	0 00	0 00	16 36	0 00	16 36	0.00	0 00	16 36
	15 Jul 09	0 00	0.00	13 59	0 00	13 59	0 00	0 00	13 59
	31 Jul 09	0.00	0 00	13 37	0 00	13 37	0 00	0 00	13 37
	14 Aug 09	0 00	0 00	12 22	0 00	12 22	0 00	0 00	12 22
	31 Aug 09	0 00	0 00	14.19	0 00	14 19	0 00	0 00	14 19
	15 Sep 09	0 00	0 00	12 97	0 00	12 97	0 00	0 00	12 97
	30 Sep 09	0 00	0 00	12 70	0 00	12 70	0 00	0 00	12 70
	15 Oct 09	0 00	0 00	12 51	0 00	12 51	0 00	0 00	12 51
	30 Oct 09	0 00	0 00	12 26	0 00	12 26	0 00	0 00	12 26
	13 Nov 09	0 00	0 00	11 00	0 00	11 00	0 00	0 00	11 00
	30 Nov 09	0 00	0 00	13.49	0 00	13.49	0 00	0 00	13 49
	15 Dec 09	0 00	0 00	12 04	0 00	12.04	0 00	0 00	12.04
	31 Dec 09	0 00	0 00	11 65	0 00	11 65	0 00	0 00	11 65
	15 Jan 10	0 00	0 00	11.37	0 00	11.37	0 00	0 00	11 37
	29 Jan 10	0 00	0 00	11 39	0 00	11 39	0 00	0 00	11 39
	12 Feb 10	0 00	0 00	9 28	0 00	9 28	0 00	0 00	9 28
	26 Feb 10	0 00	0 00	13 73	0 00	13 73	0 00	0 00	13 73
	15 Mar 10	0 00	0 00	11 89	0 00	11 89	0 00	0 00	11 89
	31 Mar 10	0 00	0 00	12.48	0 00	12 48	0 00	0 00	12 48
	30 Apr 10	0 00	0 00	179.30	0 00	179 30	0 00	0 00	179 30
	30 Apr 10	0 00	0 00	24 25	0.00	24 25	0 00	0 00	24 25
	30 Apr 10	0 00	0 00	8 30	0 00	8 30	0 00	0 00	8 30
	30 Apr 10	0 00	0 00	0 35	0 00	0.35	0 00	0.00	0 35

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	14 May 10	0.00	0.00	11.16	0.00	11.16	0.00	0.00	11.16
	14 May 10	0.00	0.00	0.18	0.00	0.18	0.00	0.00	0.18
	28 May 10	0.00	0.00	12.14	0.00	12.14	0.00	0.00	12.14
	15 Jun 10	0.00	0.00	10.83	0.00	10.83	0.00	0.00	10.83
	30 Jun 10	0.00	0.00	10.12	0.00	10.12	0.00	0.00	10.12
	15 Jul 10	0.00	0.00	9.91	0.00	9.91	0.00	0.00	9.91
	30 Jul 10	0.00	0.00	9.83	0.00	9.83	0.00	0.00	9.83
	13 Aug 10	0.00	0.00	8.47	0.00	8.47	0.00	0.00	8.47
	31 Aug 10	0.00	0.00	11.82	0.00	11.82	0.00	0.00	11.82
	15 Sep 10	0.00	0.00	10.09	0.00	10.09	0.00	0.00	10.09
	30 Sep 10	0.00	0.00	9.81	0.00	9.81	0.00	0.00	9.81
	15 Oct 10	0.00	0.00	9.84	0.00	9.84	0.00	0.00	9.84
	29 Oct 10	0.00	0.00	9.71	0.00	9.71	0.00	0.00	9.71
	15 Nov 10	0.00	0.00	0.16	0.00	0.16	0.00	0.00	0.16
	15 Nov 10	0.00	0.00	9.36	0.00	9.36	0.00	0.00	9.36
	30 Nov 10	0.00	0.00	8.61	0.00	8.61	0.00	0.00	8.61
	15 Dec 10	0.00	0.00	9.16	0.00	9.16	0.00	0.00	9.16
	31 Dec 10	0.00	0.00	10.20	0.00	10.20	0.00	0.00	10.20
	31 Jan 11	0.00	0.00	21.86	0.00	21.86	0.00	0.00	21.86
	15 Feb 11	0.00	0.00	12.26	0.00	12.26	0.00	0.00	12.26
	28 Feb 11	0.00	0.00	11.43	0.00	11.43	0.00	0.00	11.43
	15 Mar 11	0.00	0.00	12.33	0.00	12.33	0.00	0.00	12.33
	31 Mar 11	0.00	0.00	11.35	0.00	11.35	0.00	0.00	11.35
	29 Apr 11	0.00	0.00	22.08	0.00	22.08	0.00	0.00	22.08
	13 May 11	0.00	0.00	9.56	0.00	9.56	0.00	0.00	9.56
	31 May 11	0.00	0.00	12.47	0.00	12.47	0.00	0.00	12.47
	15 Jun 11	0.00	0.00	10.72	0.00	10.72	0.00	0.00	10.72
	30 Jun 11	0.00	0.00	10.31	0.00	10.31	0.00	0.00	10.31

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
14 Oct 11 08 Nov 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	33,294 57	33,294 57	0 00	0 00	33,294 57	0 00
	15 May 09	0 00	0 00	613 45	613 45	0 00	0 00	613 45	0 00
	15 May 09	0 00	0 00	21,001 41	21,001 41	0 00	0 00	21,001 41	0 00
	29 May 09	0 00	0 00	2,571 28	2,571 28	0 00	0 00	2,571 28	0 00
	29 May 09	0 00	0 00	4,703 18	4,703 18	0 00	0 00	4,703 18	0 00
	29 May 09	0 00	0 00	38 09	38 09	0 00	0 00	38 09	0 00
	15 Jun 09	0 00	0 00	897 48	897.48	0 00	0 00	897 48	0 00
	15 Jun 09	0 00	0 00	58 10	58.10	0 00	0 00	58.10	0 00
	15 Jun 09	0 00	0 00	153 43	153.43	0 00	0 00	153 43	0 00
	30 Jun 09	0 00	0 00	56 50	56 50	0 00	0 00	56 50	0 00
	30 Jun 09	0 00	0 00	64 23	64.23	0 00	0.00	64 23	0 00
	15 Jul 09	0 00	0 00	53 34	53 34	0 00	0 00	53 34	0 00
	31 Jul 09	0 00	0 00	52 47	52 47	0 00	0 00	52 47	0 00
	14 Aug 09	0 00	0 00	48 00	48 00	0 00	0 00	48 00	0 00
	31 Aug 09	0 00	0 00	55 72	55 72	0 00	0 00	55 72	0 00
	15 Sep 09	0 00	0 00	50 91	50 91	0 00	0 00	50 91	0 00
	30 Sep 09	0 00	0 00	49 85	49 85	0 00	0 00	49 85	0 00
	15 Oct 09	0 00	0.00	49 13	49 13	0 00	0.00	49 13	0 00
	30 Oct 09	0 00	0 00	48 09	48 09	0 00	0.00	48 09	0 00
	13 Nov 09	0 00	0 00	43 17	43 17	0 00	0 00	43.17	0 00
	30 Nov 09	0 00	0 00	52 98	52 98	0 00	0 00	52.98	0 00
	15 Dec 09	0 00	0.00	47 29	47 29	0 00	0 00	47 29	0 00
	31 Dec 09	0 00	0 00	45 74	45 74	0 00	0 00	45.74	0 00
	15 Jan 10	0 00	0 00	44 64	44 64	0 00	0 00	44 64	0 00
	29 Jan 10	0 00	0 00	44 71	44 71	0 00	0 00	44 71	0 00
	12 Feb 10	0 00	0 00	36 45	36 45	0 00	0 00	36 45	0 00
	26 Feb 10	0 00	0.00	53 87	53 87	0 00	0 00	53 87	0 00
	15 Mar 10	0 00	0 00	46 67	46 67	0 00	0 00	46 67	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Mar 10	0.00	0 00	48 97	48 97	0 00	0 00	48 97	0 00
	30 Apr 10	0 00	0 00	95 20	95 20	0 00	0 00	95 20	0 00
	30 Apr 10	0 00	0 00	703 89	703 89	0 00	0 00	703 89	0 00
	30 Apr 10	0 00	0 00	32 58	32 58	0 00	0 00	32.58	0 00
	30 Apr 10	0.00	0 00	1 41	1 41	0 00	0 00	1 41	0 00
	14 May 10	0 00	0 00	0 71	0 71	0 00	0 00	0 71	0 00
	14 May 10	0 00	0 00	43 85	43 85	0 00	0 00	43 85	0 00
	28 May 10	0.00	0 00	47 69	47.69	0 00	0 00	47 69	0 00
	15 Jun 10	0 00	0 00	42 52	42 52	0 00	0 00	42.52	0 00
	30 Jun 10	0 00	0.00	39 73	39 73	0 00	0 00	39 73	0 00
	15 Jul 10	0 00	0.00	38 91	38 91	0 00	0 00	38 91	0 00
	30 Jul 10	0 00	0.00	38 60	38 60	0 00	0 00	38 60	0 00
	13 Aug 10	0 00	0 00	33 25	33 25	0 00	0 00	33 25	0 00
	31 Aug 10	0 00	0 00	46 38	46 38	0 00	0 00	46 38	0 00
	15 Sep 10	0 00	0 00	39 59	39 59	0 00	0 00	39 59	0 00
	30 Sep 10	0 00	0 00	38 51	38 51	0 00	0 00	38 51	0 00
	15 Oct 10	0.00	0 00	38 63	38 63	0 00	0 00	38 63	0 00
	29 Oct 10	0.00	0 00	38 14	38 14	0 00	0 00	38 14	0 00
	15 Nov 10	0 00	0 00	0 61	0 61	0 00	0 00	0 61	0 00
	15 Nov 10	0 00	0 00	36 73	36 73	0 00	0 00	36.73	0.00
	30 Nov 10	0 00	0 00	33 80	33 80	0 00	0.00	33.80	0 00
	15 Dec 10	0 00	0 00	35 94	35 94	0 00	0 00	35.94	0.00
	31 Dec 10	0 00	0.00	40 05	40 05	0 00	0 00	40 05	0 00
	31 Jan 11	0 00	0 00	85 81	85 81	0 00	0 00	85 81	0 00
	15 Feb 11	0 00	0 00	48 11	48 11	0 00	0 00	48 11	0 00
	28 Feb 11	0 00	0 00	44 86	44 86	0 00	0 00	44 86	0 00
	15 Mar 11	0 00	0 00	48 41	48 41	0 00	0 00	48 41	0 00
	31 Mar 11	0 00	0 00	44 57	44 57	0 00	0 00	44 57	0 00
	29 Apr 11	0 00	0 00	86 65	86 65	0 00	0 00	86 65	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 May 11	0 00	0 00	37 57	37 57	0 00	0 00	37.57	0 00
	31 May 11	0 00	0 00	48 93	48 93	0 00	0 00	48.93	0 00
	15 Jun 11	0 00	0.00	42 09	42 09	0 00	0 00	42.09	0 00
	30 Jun 11	0 00	0 00	40 46	40 46	0 00	0 00	40 46	0.00
	15 Jul 11	0 00	0 00	40 82	40 82	0 00	0 00	40 82	0 00
	29 Jul 11	0 00	0 00	40 52	40 52	0 00	0 00	40 52	0 00
	15 Aug 11	0 00	0 00	39 41	39 41	0 00	0 00	39 41	0 00
	31 Aug 11	0 00	0 00	39 87	39 87	0 00	0 00	39 87	0 00
	15 Sep 11	0 00	0 00	39 63	39 63	0 00	0 00	39 63	0 00
	30 Sep 11	0 00	0 00	37 48	37 48	0 00	0 00	37.48	0 00
	14 Oct 11	0 00	0 00	33 51	33.51	0 00	0 00	33.51	0 00
30 Dec 11 11 Jan 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	26,542 75	0 00	26,542 75	0 00	0 00	26,542 75
	15 May 09	0 00	0 00	16,649 49	0 00	16,649 49	0.00	0 00	16,649 49
	15 May 09	0 00	0 00	486 33	0 00	486 33	0 00	0 00	486 33
	29 May 09	0 00	0 00	3,728 58	0 00	3,728 58	0 00	0 00	3,728 58
	29 May 09	0 00	0 00	30 19	0 00	30 19	0 00	0 00	30 19
	29 May 09	0 00	0 00	2,038 45	0 00	2,038 45	0 00	0 00	2,038 45
	15 Jun 09	0 00	0 00	121 64	0 00	121 64	0 00	0 00	121 64
	15 Jun 09	0 00	0 00	711 51	0 00	711 51	0 00	0 00	711 51
	15 Jun 09	0 00	0 00	46 05	0 00	46 05	0 00	0 00	46 05
	30 Jun 09	0 00	0.00	44.79	0 00	44 79	0.00	0.00	44.79
	30 Jun 09	0 00	0 00	50 92	0 00	50 92	0 00	0 00	50 92
	15 Jul 09	0 00	0 00	42 29	0 00	42.29	0 00	0 00	42 29
	31 Jul 09	0 00	0 00	41 60	0 00	41 60	0 00	0 00	41 60
	14 Aug 09	0 00	0 00	38 05	0 00	38 05	0 00	0 00	38 05
	31 Aug 09	0 00	0 00	44 17	0 00	44 17	0 00	0 00	44 17
	15 Sep 09	0 00	0.00	40 36	0 00	40 36	0 00	0 00	40 36
	30 Sep 09	0 00	0 00	39 52	0 00	39 52	0.00	0 00	39 52

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Oct 09	0 00	0 00	38 95	0 00	38 95	0 00	0 00	38 95
	30 Oct 09	0 00	0 00	38 12	0 00	38 12	0 00	0 00	38.12
	13 Nov 09	0 00	0 00	34 23	0 00	34 23	0 00	0 00	34.23
	30 Nov 09	0 00	0 00	42 00	0 00	42 00	0 00	0 00	42.00
	15 Dec 09	0 00	0 00	37.49	0 00	37 49	0 00	0 00	37.49
	31 Dec 09	0 00	0 00	36 27	0 00	36 27	0 00	0 00	36 27
	15 Jan 10	0 00	0 00	35 39	0 00	35 39	0 00	0 00	35 39
	29 Jan 10	0 00	0 00	35 44	0 00	35 44	0 00	0 00	35 44
	12 Feb 10	0 00	0 00	28 90	0 00	28 90	0 00	0 00	28 90
	26 Feb 10	0.00	0.00	42 71	0.00	42 71	0 00	0 00	42 71
	15 Mar 10	0 00	0 00	37 00	0 00	37.00	0 00	0 00	37 00
	31 Mar 10	0 00	0 00	38 82	0 00	38 82	0.00	0 00	38 82
	30 Apr 10	0 00	0 00	25 83	0 00	25.83	0.00	0 00	25 83
	30 Apr 10	0 00	0 00	558 03	0.00	558 03	0 00	0.00	558 03
	30 Apr 10	0 00	0.00	75 47	0 00	75 47	0 00	0 00	75 47
	30 Apr 10	0 00	0 00	1 11	0.00	1 11	0 00	0 00	1 11
	14 May 10	0 00	0 00	34.76	0 00	34 76	0 00	0 00	34 76
	14 May 10	0 00	0 00	0 56	0 00	0 56	0 00	0 00	0 56
	28 May 10	0 00	0 00	37 82	0 00	37 82	0 00	0 00	37 82
	15 Jun 10	0 00	0 00	33 71	0 00	33 71	0 00	0 00	33 71
	30 Jun 10	0 00	0 00	31.50	0 00	31 50	0.00	0 00	31 50
	15 Jul 10	0 00	0 00	30 85	0 00	30 85	0 00	0 00	30 85
	30 Jul 10	0 00	0 00	30 61	0.00	30.61	0 00	0.00	30 61
	13 Aug 10	0 00	0 00	26 36	0 00	26 36	0.00	0 00	26 36
	31 Aug 10	0 00	0 00	36 77	0 00	36 77	0.00	0 00	36.77
	15 Sep 10	0 00	0 00	31 39	0 00	31 39	0 00	0 00	31.39
	30 Sep 10	0 00	0 00	30 52	0 00	30 52	0 00	0 00	30 52
	15 Oct 10	0 00	0 00	30 63	0 00	30 63	0 00	0 00	30 63
	29 Oct 10	0 00	0 00	30 23	0 00	30 23	0 00	0 00	30 23

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Nov 10	0 00	0 00	0 49	0 00	0 49	0 00	0 00	0 49
	15 Nov 10	0 00	0 00	29 13	0 00	29 13	0 00	0.00	29 13
	30 Nov 10	0 00	0 00	26 80	0 00	26 80	0 00	0 00	26 80
	15 Dec 10	0 00	0 00	28 49	0 00	28.49	0.00	0 00	28.49
	31 Dec 10	0 00	0 00	31 75	0 00	31 75	0 00	0 00	31 75
	31 Jan 11	0 00	0 00	68 03	0 00	68.03	0 00	0 00	68 03
	15 Feb 11	0 00	0.00	38 15	0 00	38 15	0 00	0 00	38 15
	28 Feb 11	0 00	0 00	35 57	0 00	35 57	0 00	0 00	35 57
	15 Mar 11	0 00	0 00	38 37	0 00	38 37	0 00	0 00	38 37
	31 Mar 11	0 00	0 00	35 34	0 00	35 34	0 00	0 00	35 34
	29 Apr 11	0 00	0 00	68 70	0 00	68 70	0 00	0 00	68 70
	13 May 11	0 00	0 00	29 78	0 00	29 78	0 00	0 00	29 78
	31 May 11	0 00	0 00	38 79	0 00	38 79	0 00	0 00	38 79
	15 Jun 11	0 00	0 00	33 36	0 00	33 36	0 00	0 00	33 36
	30 Jun 11	0 00	0 00	32 07	0 00	32 07	0 00	0 00	32 0[illegible]
	15 Jul 11	0 00	0 00	32 36	0 00	32 36	0 00	0 00	32 36
	29 Jul 11	0 00	0 00	32 12	0 00	32 12	0 00	0 00	32 12
	15 Aug 11	0 00	0 00	31 24	0 00	31 24	0 00	0 00	31 24
	31 Aug 11	0 00	0 00	31 61	0 00	31 61	0 00	0 00	31 61
	15 Sep 11	0 00	0 00	31 43	0 00	31 43	0 00	0 00	31 43
	30 Sep 11	0 00	0 00	29 71	0 00	29 71	0 00	0 00	29 71
	14 Oct 11	0 00	0 00	26 56	0 00	26 56	0 00	0 00	26 56
	31 Oct 11	0 00	0 00	30 62	0 00	30 62	0 00	0 00	30.62
	15 Nov 11	0 00	0 00	28 86	0 00	28 86	0 00	0 00	28 86
	30 Nov 11	0 00	0 00	29 34	0 00	29.34	0 00	0 00	29.34
	15 Dec 11	0 00	0 00	29 33	0 00	29 33	0 00	0 00	29 33
	30 Dec 11	0 00	0 00	29 34	0 00	29 34	0 00	0 00	29 34
28 Feb 11 07 Mar 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	3,884 65	0 00	3,884 65	0 00	0 00	3,884 65

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	2,496 84	0 00	2,496 84	0 00	0 00	2 496 84
	15 May 09	0 00	0 00	72 93	0 00	72 93	0 00	0 00	72 93
	29 May 09	0 00	0 00	559 16	0 00	559 16	0 00	0 00	559 16
	29 May 09	0.00	0 00	4 52	0 00	4 52	0 00	0 00	4 52
	29 May 09	0 00	0 00	305 70	0 00	305 70	0 00	0 00	305 70
	15 Jun 09	0.00	0 00	18 24	0 00	18 24	0 00	0 00	18 24
	15 Jun 09	0 00	0 00	106 70	0 00	106 70	0 00	0 00	106.70
	15 Jun 09	0 00	0 00	6 90	0 00	6 90	0 00	0 00	6 90
	30 Jun 09	0 00	0 00	7 64	0 00	7 64	0 00	0 00	7 64
	30 Jun 09	0 00	0 00	6 72	0 00	6 72	0 00	0 00	6 72
	15 Jul 09	0 00	0 00	6 35	0 00	6 35	0 00	0 00	6 35
	31 Jul 09	0 00	0 00	6 24	0 00	6 24	0 00	0 00	6 24
	14 Aug 09	0 00	0.00	5 71	0 00	5 71	0 00	0 00	5 71
	31 Aug 09	0 00	0 00	6 62	0 00	6 62	0 00	0 00	6 62
	15 Sep 09	0 00	0 00	6 06	0.00	6 06	0 00	0 00	6.06
	30 Sep 09	0 00	0 00	5 93	0 00	5 93	0 00	0 00	5 93
	15 Oct 09	0 00	0 00	5 84	0 00	5 84	0 00	0 00	5.84
	30 Oct 09	0 00	0 00	5 72	0 00	5 72	0 00	0 00	5 72
	13 Nov 09	0 00	0.00	5 13	0 00	5 13	0 00	0 00	5 13
	30 Nov 09	0.00	0 00	6 30	0 00	6 30	0 00	0 00	6 30
	15 Dec 09	0 00	0 00	5 62	0 00	5 62	0 00	0 00	5 62
	31 Dec 09	0 00	0 00	5 44	0 00	5 44	0 00	0 00	5 44
	15 Jan 10	0 00	0 00	5.31	0 00	5 31	0 00	0 00	5 31
	29 Jan 10	0 00	0 00	5 32	0 00	5 32	0 00	0 00	5 32
	12 Feb 10	0 00	0 00	4 33	0 00	4.33	0 00	0 00	4 33
	26 Feb 10	0 00	0 00	6.40	0 00	6 40	0.00	0 00	6 40
	15 Mar 10	0 00	0 00	5 55	0 00	5 55	0 00	0 00	5 55
	31 Mar 10	0 00	0 00	5 82	0 00	5 82	0 00	0 00	5 82
	30 Apr 10	0 00	0 00	3 87	0 00	3 87	0 00	0 00	3 87

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	83 68	0 00	83 68	0 00	0 00	83 68
	30 Apr 10	0 00	0 00	0 17	0 00	0 17	0 00	0 00	0 17
	30 Apr 10	0 00	0 00	11 32	0 00	11 32	0 00	0 00	11 32
	14 May 10	0 00	0.00	5.21	0 00	5.21	0 00	0 00	5 21
	14 May 10	0 00	0 00	0 08	0 00	0 08	0 00	0 00	0 08
	28 May 10	0 00	0 00	5 67	0 00	5 67	0 00	0 00	5 67
	15 Jun 10	0 00	0 00	5 05	0 00	5 05	0 00	0 00	5 05
	30 Jun 10	0 00	0 00	4 72	0 00	4 72	0 00	0 00	4 72
	15 Jul 10	0 00	0 00	4 62	0 00	4 62	0 00	0 00	4 62
	30 Jul 10	0 00	0 00	4 59	0 00	4 59	0 00	0 00	4 59
	13 Aug 10	0 00	0 00	3 96	0 00	3 96	0 00	0 00	3 96
	31 Aug 10	0 00	0 00	5 51	0 00	5 51	0 00	0 00	5 51
	15 Sep 10	0 00	0.00	4 70	0 00	4 70	0 00	0 00	4 70
	30 Sep 10	0 00	0 00	4 58	0 00	4.58	0 00	0 00	4 58
	15 Oct 10	0.00	0.00	4 59	0 00	4 59	0 00	0 00	4 59
	29 Oct 10	0 00	0 00	4 53	0 00	4.53	0 00	0 00	4 53
	15 Nov 10	0 00	0 00	0 07	0 00	0 07	0 00	0 00	0 07
	15 Nov 10	0.00	0 00	4 36	0 00	4.36	0 00	0 00	4 36
	30 Nov 10	0 00	0 00	4 02	0 00	4 02	0 00	0 00	4 02
	15 Dec 10	0 00	0 00	4 27	0 00	4 27	0 00	0 00	4 27
	31 Dec 10	0.00	0 00	4 77	0 00	4 77	0 00	0 00	4 77
	31 Jan 11	0 00	0 00	10 20	0 00	10 20	0 00	0 00	10 20
	15 Feb 11	0.00	0 00	5 73	0 00	5 73	0 00	0 00	5 73
	28 Feb 11	0 00	0 00	5 34	0 00	5 34	0 00	0 00	5 34
15 Sep 11 12 Oct 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0.00	0.00	115,613 25	115,613 25	0 00	0 00	115,613 25	0.00
	15 May 09	0.00	0.00	2,134 71	2,134 71	0 00	0 00	2,134 71	0 00
	15 May 09	0.00	0 00	73,081 83	73,081 83	0 00	0 00	73,081 83	0 00
	29 May 09	0 00	0 00	16,366 39	16,366 39	0 00	0 00	16,366 39	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	132 52	132 52	0 00	0 00	132 52	0 00
	29 May 09	0 00	0 00	8,947 67	8,947 67	0 00	0 00	8,947 67	0 00
	15 Jun 09	0 00	0 00	533 92	533 92	0 00	0 00	533 92	0 00
	15 Jun 09	0 00	0 00	3,123 10	3,123 10	0 00	0 00	3,123 10	0 00
	15 Jun 09	0 00	0 00	202 17	202 17	0 00	0 00	202.17	0 00
	30 Jun 09	0 00	0 00	196 60	196 60	0 00	0 00	196 60	0.00
	30 Jun 09	0.00	0 00	223 53	223 53	0 00	0 00	223 53	0.00
	15 Jul 09	0 00	0 00	185 62	185 62	0 00	0.00	185 62	0 00
	31 Jul 09	0 00	0 00	182 59	182 59	0 00	0 00	182 59	0 00
	14 Aug 09	0 00	0.00	167.01	167 01	0 00	0 00	167 01	0 00
	31 Aug 09	0 00	0 00	193 90	193 90	0 00	0 00	193 90	0 00
	15 Sep 09	0 00	0.00	177 17	177 17	0 00	0 00	177 17	0 00
	30 Sep 09	0 00	0 00	173.47	173 47	0 00	0 00	173 47	0 00
	15 Oct 09	0 00	0 00	170 97	170 97	0 00	0 00	170 97	0 00
	30 Oct 09	0.00	0 00	167 35	167 35	0 00	0 00	167 35	0 00
	13 Nov 09	0 00	0.00	150 26	150 26	0 00	0 00	150 26	0 00
	30 Nov 09	0 00	0 00	184 34	184 34	0 00	0 00	184 34	0.00
	15 Dec 09	0 00	0 00	164 57	164 57	0 00	0.00	164 57	0 00
	31 Dec 09	0 00	0 00	159 18	159 18	0 00	0 00	159 18	0 00
	15 Jan 10	0 00	0 00	155 33	155 33	0 00	0 00	155 33	0 00
	29 Jan 10	0 00	0 00	155 60	155 60	0 00	0 00	155 60	0 00
	12 Feb 10	0 00	0 00	126 84	126 84	0 00	0 00	126 84	0 00
	26 Feb 10	0 00	0 00	187 47	187 47	0 00	0 00	187 47	0 00
	15 Mar 10	0 00	0 00	162 41	162 41	0 00	0 00	162 41	0 00
	31 Mar 10	0 00	0 00	170 39	170 39	0 00	0 00	170 39	0 00
	30 Apr 10	0 00	0.00	113 36	113 36	0 00	0 00	113 36	0 00
	30 Apr 10	0 00	0.00	2,449 42	2,449 42	0 00	0 00	2,449 42	0 00
	30 Apr 10	0 00	0 00	4.90	4 90	0 00	0 00	4 90	0.00
	30 Apr 10	0 00	0.00	331 27	331.27	0 00	0 00	331 27	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	14 May 10	0 00	0 00	2 46	2 46	0 00	0 00	2 46	0 00
	14 May 10	0 00	0 00	152 59	152 59	0 00	0 00	152 59	0 00
	28 May 10	0 00	0 00	165 98	165 98	0 00	0 00	165 98	0 00
	15 Jun 10	0 00	0 00	147 97	147 97	0 00	0 00	147 97	0 00
	30 Jun 10	0 00	0 00	138 23	138 23	0 00	0 00	138 23	0 00
	15 Jul 10	0 00	0 00	135 39	135 39	0 00	0 00	135 39	0 00
	30 Jul 10	0 00	0.00	134 34	134 34	0 00	0 00	134 34	0 00
	13 Aug 10	0 00	0 00	115 72	115 72	0 00	0 00	115 72	0 00
	31 Aug 10	0.00	0 00	161 41	161 41	0 00	0 00	161 41	0 00
	15 Sep 10	0.00	0 00	137 78	137 78	0 00	0 00	137 78	0 00
	30 Sep 10	0 00	0 00	133 99	133 99	0 00	0 00	133 99	0 00
	15 Oct 10	0 00	0 00	134 43	134 43	0 00	0 00	134 43	0 00
	29 Oct 10	0 00	0 00	132 70	132 70	0 00	0 00	132 70	0 00
	15 Nov 10	0 00	0 00	2 13	2 13	0 00	0 00	2 13	0 00
	15 Nov 10	0 00	0 00	127 83	127 83	0 00	0 00	127 83	0 0
	30 Nov 10	0 00	0.00	117 64	117 64	0 00	0 00	117 64	0 00
	15 Dec 10	0 00	0.00	125 05	125 05	0 00	0 00	125 05	0.00
	31 Dec 10	0 00	0 00	139 38	139 38	0 00	0 00	139 38	0.00
	31 Jan 11	0 00	0 00	298 62	298.62	0.00	0 00	298 62	0 00
	15 Feb 11	0 00	0 00	167 44	167 44	0 00	0 00	167.44	0.00
	28 Feb 11	0 00	0 00	156 12	156 12	0 00	0 00	156 12	0 00
	15 Mar 11	0 00	0 00	168 45	168 45	0 00	0 00	168 45	0 00
	31 Mar 11	0 00	0 00	155 09	155 09	0 00	0 00	155 09	0 00
	29 Apr 11	0 00	0 00	301 55	301 55	0 00	0 00	301 55	0 00
	13 May 11	0 00	0 00	130 71	130 71	0 00	0 00	130 71	0 00
	31 May 11	0 00	0 00	170 28	170 28	0 00	0 00	170 28	0 00
	15 Jun 11	0 00	0 00	146 46	146 46	0 00	0 00	146 46	0 00
	30 Jun 11	0 00	0 00	140 78	140 78	0 00	0.00	140 78	0 00
	15 Jul 11	0 00	0 00	142 06	142 06	0 00	0 00	142 06	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Jul 11	0.00	0.00	140.99	140.99	0.00	0.00	140.99	0.00
	15 Aug 11	0.00	0.00	137.15	137.15	0.00	0.00	137.15	0.00
	31 Aug 11	0.00	0.00	138.74	138.74	0.00	0.00	138.74	0.00
	15 Sep 11	0.00	0.00	137.93	137.93	0.00	0.00	137.93	0.00
31 Oct 11 08 Nov 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0.00	0.00	125,619.82	0.00	125,619.82	0.00	0.00	125,619.82
	15 May 09	0.00	0.00	79,146.13	0.00	79,146.13	0.00	0.00	79,146.13
	15 May 09	0.00	0.00	2,311.86	0.00	2,311.86	0.00	0.00	2,311.86
	29 May 09	0.00	0.00	17,724.46	0.00	17,724.46	0.00	0.00	17,724.46
	29 May 09	0.00	0.00	143.51	0.00	143.51	0.00	0.00	143.51
	29 May 09	0.00	0.00	9,690.14	0.00	9,690.14	0.00	0.00	9,690.14
	15 Jun 09	0.00	0.00	578.22	0.00	578.22	0.00	0.00	578.22
	15 Jun 09	0.00	0.00	3,382.25	0.00	3,382.25	0.00	0.00	3,382.25
	15 Jun 09	0.00	0.00	218.95	0.00	218.95	0.00	0.00	218.95
	30 Jun 09	0.00	0.00	212.92	0.00	212.92	0.00	0.00	212.92
	30 Jun 09	0.00	0.00	242.07	0.00	242.07	0.00	0.00	242.07
	15 Jul 09	0.00	0.00	201.03	0.00	201.03	0.00	0.00	201.03
	31 Jul 09	0.00	0.00	197.73	0.00	197.73	0.00	0.00	197.73
	14 Aug 09	0.00	0.00	180.86	0.00	180.86	0.00	0.00	180.86
	31 Aug 09	0.00	0.00	210.00	0.00	210.00	0.00	0.00	210.00
	15 Sep 09	0.00	0.00	191.87	0.00	191.87	0.00	0.00	191.87
	30 Sep 09	0.00	0.00	187.87	0.00	187.87	0.00	0.00	187.87
	15 Oct 09	0.00	0.00	185.16	0.00	185.16	0.00	0.00	185.16
	30 Oct 09	0.00	0.00	181.24	0.00	181.24	0.00	0.00	181.24
	13 Nov 09	0.00	0.00	162.72	0.00	162.72	0.00	0.00	162.72
	30 Nov 09	0.00	0.00	199.64	0.00	199.64	0.00	0.00	199.64
	15 Dec 09	0.00	0.00	178.23	0.00	178.23	0.00	0.00	178.23
	31 Dec 09	0.00	0.00	172.39	0.00	172.39	0.00	0.00	172.39
	15 Jan 10	0.00	0.00	168.23	0.00	168.23	0.00	0.00	168.23

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Realized gain/loss: Market Long Term	Realized gain/loss: Translation	Realized gain/loss: Total Short Term	Realized gain/loss: Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Jan 10	0.00	0.00	168.51	0.00	168.51	0.00	0.00	168.51
	12 Feb 10	0.00	0.00	137.36	0.00	137.36	0.00	0.00	137.36
	26 Feb 10	0.00	0.00	203.03	0.00	203.03	0.00	0.00	203.03
	15 Mar 10	0.00	0.00	175.89	0.00	175.89	0.00	0.00	175.89
	31 Mar 10	0.00	0.00	184.53	0.00	184.53	0.00	0.00	184.53
	30 Apr 10	0.00	0.00	2,652.68	0.00	2,652.68	0.00	0.00	2,652.68
	30 Apr 10	0.00	0.00	122.77	0.00	122.77	0.00	0.00	122.77
	30 Apr 10	0.00	0.00	358.76	0.00	358.76	0.00	0.00	358.76
	30 Apr 10	0.00	0.00	5.32	0.00	5.32	0.00	0.00	5.32
	14 May 10	0.00	0.00	165.24	0.00	165.24	0.00	0.00	165.24
	14 May 10	0.00	0.00	2.67	0.00	2.67	0.00	0.00	2.67
	28 May 10	0.00	0.00	179.75	0.00	179.75	0.00	0.00	179.75
	15 Jun 10	0.00	0.00	160.25	0.00	160.25	0.00	0.00	160.25
	30 Jun 10	0.00	0.00	149.70	0.00	149.70	0.00	0.00	149.70
	15 Jul 10	0.00	0.00	146.62	0.00	146.62	0.00	0.00	146.62
	30 Jul 10	0.00	0.00	145.49	0.00	145.49	0.00	0.00	145.49
	13 Aug 10	0.00	0.00	125.32	0.00	125.32	0.00	0.00	125.32
	31 Aug 10	0.00	0.00	174.81	0.00	174.81	0.00	0.00	174.81
	15 Sep 10	0.00	0.00	149.21	0.00	149.21	0.00	0.00	149.21
	30 Sep 10	0.00	0.00	145.11	0.00	145.11	0.00	0.00	145.11
	15 Oct 10	0.00	0.00	145.59	0.00	145.59	0.00	0.00	145.59
	29 Oct 10	0.00	0.00	143.71	0.00	143.71	0.00	0.00	143.71
	15 Nov 10	0.00	0.00	2.30	0.00	2.30	0.00	0.00	2.30
	15 Nov 10	0.00	0.00	138.43	0.00	138.43	0.00	0.00	138.43
	30 Nov 10	0.00	0.00	127.40	0.00	127.40	0.00	0.00	127.40
	15 Dec 10	0.00	0.00	135.43	0.00	135.43	0.00	0.00	135.43
	31 Dec 10	0.00	0.00	150.94	0.00	150.94	0.00	0.00	150.94
	31 Jan 11	0.00	0.00	323.40	0.00	323.40	0.00	0.00	323.40
	15 Feb 11	0.00	0.00	181.33	0.00	181.33	0.00	0.00	181.33

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	28 Feb 11	0 00	0 00	169 07	0 00	169 07	0 00	0 00	169 07
	15 Mar 11	0 00	0 00	182 43	0 00	182 43	0 00	0 00	182 43
	31 Mar 11	0.00	0 00	167.96	0 00	167 96	0 00	0 00	167 96
	29 Apr 11	0 00	0 00	326 57	0 00	326 57	0 00	0 00	326 57
	13 May 11	0 00	0 00	141 56	0 00	141 56	0 00	0 00	141 56
	31 May 11	0 00	0 00	184 42	0 00	184 42	0 00	0 00	184 42
	15 Jun 11	0 00	0 00	158 61	0 00	158 61	0 00	0 00	158 61
	30 Jun 11	0 00	0 00	152 46	0 00	152 46	0 00	0 00	152 46
	15 Jul 11	0 00	0.00	153 85	0 00	153 85	0 00	0 00	153 85
	29 Jul 11	0 00	0 00	152 68	0 00	152 68	0 00	0 00	152 68
	15 Aug 11	0 00	0 00	148.52	0 00	148 52	0 00	0 00	148 52
	31 Aug 11	0 00	0 00	150.25	0 00	150 25	0 00	0 00	150.25
	15 Sep 11	0 00	0.00	149.37	0 00	149 37	0 00	0 00	149 37
	30 Sep 11	0.00	0 00	141 23	0 00	141 23	0 00	0 00	141 23
	14 Oct 11	0 00	0 00	126 28	0 00	126 28	0 00	0 00	126 28
	31 Oct 11	0 00	0 00	145 53	0 00	145 53	0 00	0 00	145 53
15 Aug 11 08 Sep 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0.00	28,082 91	28,082 91	0 00	0 00	28,082 91	0 00
	15 May 09	0 00	0 00	17,794 45	17,794 45	0 00	0 00	17,794 45	0 00
	15 May 09	0 00	0.00	519 78	519 78	0 00	0 00	519 78	0 00
	29 May 09	0 00	0 00	3,984 99	3,984 99	0 00	0.00	3,984 99	0 00
	29 May 09	0 00	0.00	32 26	32.26	0 00	0 00	32 26	0.00
	29 May 09	0 00	0 00	2,178 64	2,178 64	0 00	0 00	2,178 64	0.00
	15 Jun 09	0 00	0 00	130 00	130 00	0 00	0 00	130 00	0 00
	15 Jun 09	0 00	0 00	760 44	760 44	0 00	0 00	760 44	0 00
	15 Jun 09	0 00	0 00	49 22	49 22	0 00	0 00	49 22	0 00
	30 Jun 09	0 00	0 00	54 42	54 42	0 00	0 00	54 42	0 00
	30 Jun 09	0 00	0 00	47 87	47 87	0 00	0 00	47 87	0 00
	15 Jul 09	0 00	0 00	45 20	45 20	0 00	0 00	45 20	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Jul 09	0 00	0 00	44 45	44 45	0 00	0 00	44 45	0 00
	14 Aug 09	0 00	0 00	40 66	40 66	0 00	0 00	40 66	0 00
	31 Aug 09	0 00	0 00	47 22	47 22	0 00	0 00	47 22	0 00
	15 Sep 09	0 00	0 00	43 13	43.13	0 00	0 00	43 13	0 00
	30 Sep 09	0 00	0.00	42 24	42 24	0 00	0 00	42 24	0 00
	15 Oct 09	0 00	0 00	41 63	41 63	0 00	0 00	41 63	0 00
	30 Oct 09	0 00	0 00	40 75	40 75	0 00	0 00	40 75	0 00
	13 Nov 09	0 00	0 00	36 58	36 58	0 00	0 00	36 58	0 00
	30 Nov 09	0 00	0 00	44 88	44 88	0 00	0 00	44 88	0 00
	15 Dec 09	0 00	0 00	40 07	40 07	0 00	0 00	40 07	0 00
	31 Dec 09	0 00	0 00	38 76	38 76	0 00	0 00	38.76	0 00
	15 Jan 10	0 00	0 00	37 82	37 82	0 00	0 00	37 82	0 00
	29 Jan 10	0 00	0.00	37.89	37 89	0 00	0 00	37.89	0 00
	12 Feb 10	0 00	0.00	30 88	30 88	0 00	0 00	30 88	0 00
	26 Feb 10	0 00	0 00	45 65	45 65	0 00	0 00	45 65	0 00
	15 Mar 10	0 00	0 00	39 55	39 55	0 00	0 00	39 55	0 00
	31 Mar 10	0 00	0 00	41 49	41 49	0 00	0 00	41 49	0 00
	30 Apr 10	0 00	0 00	596 41	596 41	0 00	0 00	596 41	0.00
	30 Apr 10	0.00	0 00	27 60	27 60	0 00	0 00	27 60	0 00
	30 Apr 10	0 00	0 00	1 20	1 20	0 00	0 00	1 20	0 00
	30 Apr 10	0 00	0 00	80 66	80 66	0 00	0 00	80 66	0 00
	14 May 10	0 00	0 00	37 15	37 15	0 00	0 00	37 15	0.00
	14 May 10	0 00	0 00	0 60	0 60	0 00	0 00	0 60	0 00
	28 May 10	0 00	0 00	40 41	40 41	0 00	0.00	40 41	0 00
	15 Jun 10	0.00	0 00	36 03	36 03	0 00	0 00	36 03	0 00
	30 Jun 10	0 00	0 00	33 65	33 65	0 00	0 00	33 65	0 00
	15 Jul 10	0 00	0 00	32 97	32 97	0 00	0 00	32 97	0 00
	30 Jul 10	0 00	0 00	32 71	32 71	0 00	0 00	32 71	0 00
	13 Aug 10	0 00	0 00	28 18	28 18	0 00	0 00	28 18	0 00

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Aug 10	0 00	0 00	39 30	39 30	0 00	0 00	39 30	0 00
	15 Sep 10	0 00	0 00	33 54	33 54	0 00	0 00	33 54	0 00
	30 Sep 10	0 00	0 00	32 63	32.63	0 00	0 00	32.63	0 00
	15 Oct 10	0 00	0 00	32.73	32.73	0 00	0 00	32.73	0 00
	29 Oct 10	0 00	0 00	32.32	32 32	0 00	0 00	32.32	0 00
	15 Nov 10	0 00	0 00	0 52	0 52	0 00	0 00	0 52	0 00
	15 Nov 10	0 00	0 00	31 12	31 12	0 00	0 00	31 12	0 00
	30 Nov 10	0 00	0 00	28 65	28 65	0 00	0 00	28 65	0 00
	15 Dec 10	0 00	0 00	30 45	30 45	0 00	0 00	30 45	0 00
	31 Dec 10	0 00	0 00	33 93	33 93	0 00	0 00	33 93	0 00
	31 Jan 11	0 00	0 00	72 71	72 71	0 00	0 00	72 71	0 00
	15 Feb 11	0 00	0 00	40 77	40 77	0 00	0 00	40 77	0,00
	28 Feb 11	0 00	0 00	38 01	38 01	0 00	0 00	38 01	0 00
	15 Mar 11	0 00	0 00	41 01	41 01	0 00	0 00	41.01	0 00
	31 Mar 11	0 00	0 00	37 76	37 76	0 00	0 00	37 76	0 00
	29 Apr 11	0 00	0 00	73 42	73 42	0 00	0 00	73 42	0 00
	13 May 11	0 00	0 00	31 83	31 83	0 00	0 00	31 83	0 00
	31 May 11	0 00	0 00	41 47	41 47	0 00	0 00	41 47	0 00
	15 Jun 11	0 00	0 00	35 66	35 66	0 00	0 00	35 66	0 00
	30 Jun 11	0.00	0 00	34 28	34 28	0 00	0 00	34 28	0 00
	15 Jul 11	0 00	0.00	34 59	34 59	0 00	0 00	34 59	0.00
	29 Jul 11	0 00	0 00	34 33	34 33	0 00	0 00	34 33	0 00
	15 Aug 11	0 00	0 00	33 39	33 39	0 00	0 00	33 39	0 00
30 Sep 11 12 Oct 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	107,993 81	0 00	107,993 81	0 00	0 00	107,993 81
	15 May 09	0 00	0 00	68,188 50	0 00	68,188 50	0 00	0 00	68,188 50
	15 May 09	0 00	0 00	1,991 78	0 00	1,991 78	0 00	0 00	1,991 78
	29 May 09	0 00	0 00	15,270 54	0 00	15,270 54	0 00	0 00	15,270 54
	29 May 09	0 00	0 00	123 65	0 00	123 65	0 00	0 00	123 65

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	8,348 56	0 00	8,348 56	0 00	0 00	8,348.56
	15 Jun 09	0.00	0 00	498 18	0 00	498 18	0 00	0 00	498 18
	15 Jun 09	0 00	0 00	2,913 99	0 00	2,913 99	0 00	0.00	2,913 99
	15 Jun 09	0 00	0 00	188 63	0 00	188 63	0.00	0 00	188 63
	30 Jun 09	0 00	0 00	183 44	0 00	183 44	0 00	0 00	183 44
	30 Jun 09	0 00	0.00	208 56	0 00	208 56	0 00	0 00	208.56
	15 Jul 09	0 00	0.00	173 19	0 00	173 19	0 00	0 00	173 19
	31 Jul 09	0 00	0 00	170 36	0 00	170 36	0 00	0 00	170 36
	14 Aug 09	0 00	0 00	155 82	0 00	155 82	0 00	0 00	155 82
	31 Aug 09	0 00	0 00	180 92	0 00	180 92	0 00	0 00	180 92
	15 Sep 09	0 00	0 00	165 30	0 00	165 30	0 00	0 00	165 30
	30 Sep 09	0 00	0 00	161 86	0 00	161 86	0 00	0 00	161 86
	15 Oct 09	0 00	0 00	159 52	0 00	159 52	0 00	0 00	159 52
	30 Oct 09	0 00	0 00	156 15	0 00	156 15	0 00	0 00	156 15
	13 Nov 09	0 00	0 00	140 20	0 00	140 20	0 00	0 00	140 20
	30 Nov 09	0 00	0 00	172 01	0 00	172 01	0 00	0 00	172 01
	15 Dec 09	0 00	0 00	153 54	0 00	153 54	0 00	0 00	153 54
	31 Dec 09	0 00	0.00	148 52	0 00	148 52	0 00	0 00	148 52
	15 Jan 10	0 00	0 00	144 94	0 00	144 94	0 00	0 00	144 94
	29 Jan 10	0 00	0 00	145 18	0 00	145 18	0 00	0 00	145 18
	12 Feb 10	0 00	0 00	118 34	0 00	118 34	0 00	0 00	118 34
	26 Feb 10	0 00	0 00	174 91	0 00	174 91	0 00	0 00	174 91
	15 Mar 10	0 00	0 00	151 54	0 00	151 54	0 00	0 00	151 54
	31 Mar 10	0 00	0.00	158 98	0 00	158 98	0 00	0 00	158 98
	30 Apr 10	0 00	0 00	309 08	0 00	309 08	0 00	0 00	309 08
	30 Apr 10	0 00	0 00	4 58	0 00	4 58	0 00	0 00	4 58
	30 Apr 10	0 00	0 00	105 76	0 00	105 76	0 00	0 00	105 76
	30 Apr 10	0 00	0 00	2,285 42	0 00	2,285 42	0 00	0 00	2,285 42
	14 May 10	0 00	0 00	2 30	0 00	2 30	0 00	0 00	2 30

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date / Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	14 May 10	0 00	0 00	142 37	0 00	142 37	0 00	0 00	142 37
	28 May 10	0 00	0 00	154 87	0 00	154 87	0 00	0 00	154 87
	15 Jun 10	0 00	0 00	138 06	0 00	138.06	0 00	0 00	138 06
	30 Jun 10	0 00	0 00	128 98	0 00	128 98	0 00	0 00	128.98
	15 Jul 10	0 00	0.00	126 32	0 00	126 32	0 00	0.00	126 32
	30 Jul 10	0 00	0 00	125.35	0 00	125 35	. 0.00	0.00	125.35
	13 Aug 10	0 00	0 00	107 96	0 00	107 96	0 00	0.00	107.96
	31 Aug 10	0 00	0 00	150 61	0 00	150 61	0 00	0 00	150 61
	15 Sep 10	0 00	0 00	128 55	0 00	128 55	0 00	0 00	128 55
	30 Sep 10	0 00	0.00	125 02	0 00	125 02	0 00	0 00	125 02
	15 Oct 10	0 00	0 00	125 43	0 00	125 43	0 00	0 00	125 43
	29 Oct 10	0 00	0 00	123 82	0 00	123 82	0 00	0 00	123 82
	15 Nov 10	0 00	0 00	1.98	0 00	1 98	0 00	0 00	1.98
	15 Nov 10	0 00	0 00	119 26	0 00	119 26	0 00	0 00	119 26
	30 Nov 10	0 00	0 00	109 76	0 00	109 76	0 00	0 00	109 76
	15 Dec 10	0 00	0 00	116.68	0 00	116 68	0 00	0 00	116 68
	31 Dec 10	0 00	0 00	130 05	0 00	130 05	0 00	0 00	130 05
	31 Jan 11	0 00	0 00	278 63	0 00	278 63	0.00	0.00	278 63
	15 Feb 11	0 00	0 00	156 23	0 00	156 23	0 00	0 00	156 23
	28 Feb 11	0 00	0 00	145 67	0 00	145 67	0 00	0 00	145 67
	15 Mar 11	0 00	0 00	157 18	0 00	157 18	0 00	0 00	157 18
	31 Mar 11	0 00	0 00	144 70	0 00	144 70	0 00	0 00	144 70
	29 Apr 11	0 00	0 00	281 36	0 00	281 36	0 00	0 00	281 36
	13 May 11	0 00	0 00	121 96	0 00	121 96	0 00	0 00	121 96
	31 May 11	0 00	0 00	158 89	0 00	158 89	0.00	0.00	158 89
	15 Jun 11	0.00	0.00	136 65	0 00	136 65	0 00	0 00	136.65
	30 Jun 11	0 00	0 00	131 36	0 00	131 36	0 00	0 00	131 36
	15 Jul 11	0 00	0 00	132 54	0 00	132.54	0 00	0 00	132 54
	29 Jul 11	0 00	0.00	131 54	0 00	131 54	0 00	0 00	131 54

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Aug 11	0 00	0 00	127 96	0 00	127 96	0 00	0 00	127 96
	31 Aug 11	0 00	0 00	129 45	0 00	129 45	0 00	0 00	129 45
	15 Sep 11	0 00	0 00	128 69	0 00	128 69	0 00	0 00	128 69
	30 Sep 11	0 00	0 00	121 68	0 00	121 68	0 00	0 00	121 68
29 Apr 11 09 May 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	-9,105 47	0 00	-9,105 47	0 00	0 00	-9,105 47
	15 May 09	0 00	0 00	-5,820 48	0 00	-5,820 48	0.00	0 00	-5,820 48
	15 May 09	0 00	0 00	-170 02	0 00	-170 02	0 00	0.00	-170 02
	29 May 09	0 00	0 00	-1,303 48	0 00	-1,303 48	0 00	0 00	-1,303 48
	29 May 09	0 00	0 00	-10 56	0 00	-10 56	0 00	0 00	-10 56
	29 May 09	0 00	0 00	-712 62	0 00	-712 62	0 00	0 00	-712 62
	15 Jun 09	0 00	0 00	-42 52	0 00	-42 52	0 00	0 00	-42 52
	15 Jun 09	0 00	0 00	-248 73	0 00	-248 73	0 00	0 00	-248 73
	15 Jun 09	0 00	0 00	-16 10	0 00	-16 10	0 00	0 00	-16 10
	30 Jun 09	0 00	0 00	-15 66	0 00	-15 66	0 00	0 00	-15 66
	30 Jun 09	0 00	0 00	-17 80	0 00	-17 80	0 00	0 00	-17.80
	15 Jul 09	0 00	0 00	-14.78	0 00	-14 78	0 00	0.00	-14.78
	31 Jul 09	0 00	0 00	-14 54	0 00	-14 54	0 00	0 00	-14 54
	14 Aug 09	0 00	0 00	-13 30	0 00	-13 30	0 00	0 00	-13 30
	31 Aug 09	0 00	0 00	-15 44	0 00	-15 44	0 00	0 00	-15 44
	15 Sep 09	0 00	0 00	-14 11	0 00	-14 11	0 00	0 00	-14 11
	30 Sep 09	0 00	0 00	-13 82	0 00	-13 82	0 00	0 00	-13 82
	15 Oct 09	0 00	0 00	-13 62	0 00	-13 62	0 00	0 00	-13 62
	30 Oct 09	0 00	0 00	-13 33	0 00	-13 33	0 00	0 00	-13 33
	13 Nov 09	0 00	0 00	-11 96	0 00	-11 96	0 00	0 00	-11 96
	30 Nov 09	0 00	0 00	-14 68	0 00	-14 68	0 00	0 00	-14 68
	15 Dec 09	0 00	0.00	-13 11	0 00	-13 11	0 00	0.00	-13 11
	31 Dec 09	0 00	0 00	-12.67	0 00	-12 67	0 00	0 00	-12.67
	15 Jan 10	0 00	0 00	-12 37	0 00	-12 37	0 00	0 00	-12 37

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◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Jan 10	0.00	0.00	-12.39	0.00	-12.39	0.00	0.00	-12.39
	12 Feb 10	0.00	0.00	-10.10	0.00	-10.10	0.00	0.00	-10.10
	26 Feb 10	0.00	0.00	-14.93	0.00	-14.93	0.00	0.00	-14.93
	15 Mar 10	0.00	0.00	-12.93	0.00	-12.93	0.00	0.00	-12.93
	31 Mar 10	0.00	0.00	-13.58	0.00	-13.58	0.00	0.00	-13.58
	30 Apr 10	0.00	0.00	-195.08	0.00	-195.08	0.00	0.00	-195.08
	30 Apr 10	0.00	0.00	-9.03	0.00	-9.03	0.00	0.00	-9.03
	30 Apr 10	0.00	0.00	-0.39	0.00	-0.39	0.00	0.00	-0.39
	30 Apr 10	0.00	0.00	-26.38	0.00	-26.38	0.00	0.00	-26.38
	14 May 10	0.00	0.00	-12.15	0.00	-12.15	0.00	0.00	-12.15
	14 May 10	0.00	0.00	-0.20	0.00	-0.20	0.00	0.00	-0.20
	28 May 10	0.00	0.00	-13.22	0.00	-13.22	0.00	0.00	-13.22
	15 Jun 10	0.00	0.00	-11.79	0.00	-11.79	0.00	0.00	-11.79
	30 Jun 10	0.00	0.00	-11.01	0.00	-11.01	0.00	0.00	-11.01
	15 Jul 10	0.00	0.00	-10.78	0.00	-10.78	0.00	0.00	-10.7[illegible]
	30 Jul 10	0.00	0.00	-10.70	0.00	-10.70	0.00	0.00	-10.70
	13 Aug 10	0.00	0.00	-9.22	0.00	-9.22	0.00	0.00	-9.22
	31 Aug 10	0.00	0.00	-12.85	0.00	-12.85	0.00	0.00	-12.85
	15 Sep 10	0.00	0.00	-10.97	0.00	-10.97	0.00	0.00	-10.97
	30 Sep 10	0.00	0.00	-10.67	0.00	-10.67	0.00	0.00	-10.67
	15 Oct 10	0.00	0.00	-10.71	0.00	-10.71	0.00	0.00	-10.71
	29 Oct 10	0.00	0.00	-10.57	0.00	-10.57	0.00	0.00	-10.57
	15 Nov 10	0.00	0.00	-0.17	0.00	-0.17	0.00	0.00	-0.17
	15 Nov 10	0.00	0.00	-10.18	0.00	-10.18	0.00	0.00	-10.18
	30 Nov 10	0.00	0.00	-9.36	0.00	-9.36	0.00	0.00	-9.36
	15 Dec 10	0.00	0.00	-9.96	0.00	-9.96	0.00	0.00	-9.96
	31 Dec 10	0.00	0.00	-11.10	0.00	-11.10	0.00	0.00	-11.10
	31 Jan 11	0.00	0.00	-23.79	0.00	-23.79	0.00	0.00	-23.79
	15 Feb 11	0.00	0.00	-13.34	0.00	-13.34	0.00	0.00	-13.34

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	28 Feb 11	0 00	0 00	-12 43	0 00	-12 43	0 00	0 00	-12 43
	15 Mar 11	0.00	0 00	-13 42	0 00	-13 42	0 00	0 00	-13 42
	31 Mar 11	0 00	0 00	-12 35	0 00	-12 35	0 00	0 00	-12 35
	29 Apr 11	0 00	0 00	-24 02	0 00	-24 02	0 00	0 00	-24 02
31 Aug 11 08 Sep 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	71,208 10	0 00	71,208 10	0.00	0 00	71,208.10
	15 May 09	0 00	0 00	45,066 07	0 00	45,066 07	0 00	0 00	45,066 07
	15 May 09	0.00	0 00	1,316 38	0 00	1,316.38	0 00	0 00	1,316 38
	29 May 09	0 00	0 00	10,092 37	0 00	10,092 37	0 00	0 00	10,092 37
	29 May 09	0.00	0 00	81 72	0.00	81 72	0 00	0 00	81.72
	29 May 09	0 00	0 00	5,517 60	0 00	5,517 60	0 00	0 00	5,517 60
	15 Jun 09	0 00	0 00	329 25	0 00	329 25	0 00	0 00	329 25
	15 Jun 09	0 00	0 00	1,925 86	0 00	1,925 86	0 00	0 00	1,925 86
	15 Jun 09	0 00	0 00	124 67	0 00	124 67	0 00	0 00	124 67
	30 Jun 09	0 00	0 00	121 24	0 00	121 24	0 00	0 00	121 24
	30 Jun 09	0 00	0 00	137.83	0 00	137 83	0 00	0 00	137 83
	15 Jul 09	0 00	0 00	114 47	0 00	114 47	0 00	0 00	114 47
	31 Jul 09	0 00	0 00	112.59	0 00	112 59	0 00	0 00	112 59
	14 Aug 09	0 00	0.00	102.99	0 00	102 99	0 00	0 00	102 99
	31 Aug 09	0 00	0.00	119 57	0 00	119 57	0 00	0 00	119 57
	15 Sep 09	0.00	0 00	109 25	0 00	109 25	0 00	0 00	109 25
	30 Sep 09	0 00	0 00	106 97	0 00	106 97	0 00	0 00	106 97
	15 Oct 09	0 00	0 00	105 43	0 00	105 43	0 00	0 00	105 43
	30 Oct 09	0 00	0 00	103 19	0 00	103 19	0 00	0 00	103 19
	13 Nov 09	0 00	0 00	92 65	0 00	92 65	0 00	0 00	92 65
	30 Nov 09	0 00	0 00	113 68	0 00	113 68	0 00	0 00	113 68
	15 Dec 09	0.00	0 00	101.48	0 00	101 48	0 00	0 00	101 48
	31 Dec 09	0 00	0 00	98.15	0 00	98 15	0 00	0 00	98 15
	15 Jan 10	0 00	0 00	95 79	0 00	95 79	0 00	0 00	95 79

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Jan 10	0.00	0.00	95.95	0.00	95.95	0.00	0.00	95.95
	12 Feb 10	0.00	0.00	78.21	0.00	78.21	0.00	0.00	78.21
	26 Feb 10	0.00	0.00	115.60	0.00	115.60	0.00	0.00	115.60
	15 Mar 10	0.00	0.00	100.16	0.00	100.16	0.00	0.00	100.16
	31 Mar 10	0.00	0.00	105.08	0.00	105.08	0.00	0.00	105.08
	30 Apr 10	0.00	0.00	1,510.45	0.00	1,510.45	0.00	0.00	1,510.45
	30 Apr 10	0.00	0.00	69.90	0.00	69.90	0.00	0.00	69.90
	30 Apr 10	0.00	0.00	3.02	0.00	3.02	0.00	0.00	3.02
	30 Apr 10	0.00	0.00	204.28	0.00	204.28	0.00	0.00	204.28
	14 May 10	0.00	0.00	94.09	0.00	94.09	0.00	0.00	94.09
	14 May 10	0.00	0.00	1.52	0.00	1.52	0.00	0.00	1.52
	28 May 10	0.00	0.00	102.35	0.00	102.35	0.00	0.00	102.35
	15 Jun 10	0.00	0.00	91.25	0.00	91.25	0.00	0.00	91.25
	30 Jun 10	0.00	0.00	85.25	0.00	85.25	0.00	0.00	85.25
	15 Jul 10	0.00	0.00	83.49	0.00	83.49	0.00	0.00	83.49
	30 Jul 10	0.00	0.00	82.84	0.00	82.84	0.00	0.00	82.84
	13 Aug 10	0.00	0.00	71.35	0.00	71.35	0.00	0.00	71.35
	31 Aug 10	0.00	0.00	99.53	0.00	99.53	0.00	0.00	99.53
	15 Sep 10	0.00	0.00	84.96	0.00	84.96	0.00	0.00	84.96
	30 Sep 10	0.00	0.00	82.63	0.00	82.63	0.00	0.00	82.63
	15 Oct 10	0.00	0.00	82.90	0.00	82.90	0.00	0.00	82.90
	29 Oct 10	0.00	0.00	81.83	0.00	81.83	0.00	0.00	81.83
	15 Nov 10	0.00	0.00	1.31	0.00	1.31	0.00	0.00	1.31
	15 Nov 10	0.00	0.00	78.82	0.00	78.82	0.00	0.00	78.82
	30 Nov 10	0.00	0.00	72.54	0.00	72.54	0.00	0.00	72.54
	15 Dec 10	0.00	0.00	77.11	0.00	77.11	0.00	0.00	77.11
	31 Dec 10	0.00	0.00	85.94	0.00	85.94	0.00	0.00	85.94
	31 Jan 11	0.00	0.00	184.15	0.00	184.15	0.00	0.00	184.15
	15 Feb 11	0.00	0.00	103.25	0.00	103.25	0.00	0.00	103.25

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	28 Feb 11	0 00	0.00	96 27	0 00	96 27	0 00	0 00	96 27
	15 Mar 11	0 00	0 00	103 88	0 00	103 88	0 00	0 00	103 88
	31 Mar 11	0 00	0.00	95 64	0 00	95 64	0 00	0 00	95 64
	29 Apr 11	0 00	0 00	185 95	0 00	185 95	0 00	0 00	185 95
	13 May 11	0 00	0 00	80 61	0 00	80 61	0 00	0 00	80 61
	31 May 11	0 00	0 00	105 01	0 00	105 01	0 00	0 00	105 01
	15 Jun 11	0 00	0 00	90 31	0 00	90 31	0 00	0 00	90 31
	30 Jun 11	0 00	0.00	86 81	0 00	86.81	0 00	0 00	86 81
	15 Jul 11	0 00	0 00	87 60	0 00	87 60	0 00	0 00	87 60
	29 Jul 11	0 00	0 00	86.94	0 00	86 94	0 00	0 00	86 94
	15 Aug 11	0 00	0 00	84 57	0 00	84 57	0.00	0 00	84 57
	31 Aug 11	0 00	0 00	85 55	0 00	85 55	0 00	0 00	85 55
13 May 11 08 Jun 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	34,546 65	34,546 65	0 00	0 00	34,546 65	0 00
	15 May 09	0 00	0 00	22,057 99	22,057 99	0 00	0 00	22,057 99	0 00
	15 May 09	0 00	0 00	644 32	644 32	0 00	0 00	644 32	0 00
	29 May 09	0 00	0 00	4,939 80	4,939 80	0 00	0 00	4,939 80	0 00
	29 May 09	0.00	0 00	40.00	40 00	0 00	0 00	40 00	0 00
	29 May 09	0.00	0 00	2,700 63	2,700 63	0 00	0 00	2,700 63	0.00
	15 Jun 09	0 00	0 00	161 15	161 15	0 00	0 00	161 15	0 00
	15 Jun 09	0 00	0 00	942.63	942 63	0 00	0 00	942.63	0.00
	15 Jun 09	0 00	0 00	61 02	61 02	0 00	0 00	61 02	0 00
	30 Jun 09	0 00	0 00	67 47	67 47	0 00	0 00	67 47	0 00
	30 Jun 09	0 00	0 00	59 34	59 34	0 00	0 00	59 34	0 00
	15 Jul 09	0 00	0.00	56 03	56 03	0 00	0 00	56 03	0 00
	31 Jul 09	0 00	0.00	55 10	55 10	0 00	0 00	55 10	0 00
	14 Aug 09	0 00	0 00	50 41	50 41	0 00	0 00	50 41	0 00
	31 Aug 09	0 00	0 00	58 53	58 53	0 00	0 00	58 53	0 00
	15 Sep 09	0 00	0 00	53 47	53.47	0 00	0 00	53.47	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

	30 Sep 09	0 00	0.00	52 36	52 36	0 00	0 00	52 36	0 00
	15 Oct 09	0 00	0.00	51 60	51 60	0 00	0 00	51 60	0 00
	30 Oct 09	0 00	0 00	50 51	50 51	0 00	0 00	50 51	0 00
	13 Nov 09	0 00	0 00	45 35	45 35	0 00	0 00	45 35	0 00
	30 Nov 09	0 00	0 00	55.64	55 64	0 00	0 00	55 64	0 00
	15 Dec 09	0 00	0 00	49 67	49 67	0 00	0 00	49 67	0 00
	31 Dec 09	0 00	0 00	48 05	48 05	0 00	0 00	48 05	0 00
	15 Jan 10	0 00	0 00	46 88	46 88	0 00	0 00	46 88	0 00
	29 Jan 10	0 00	0 00	46 97	46 97	0 00	0 00	46.97	0 00
	12 Feb 10	0 00	0 00	38 28	38 28	0 00	0 00	38.28	0 00
	26 Feb 10	0 00	0.00	56 58	56 58	0 00	0 00	56 58	0 00
	15 Mar 10	0 00	0 00	49 02	49 02	0 00	0 00	49 02	0 00
	31 Mar 10	0 00	0 00	51 43	51 43	0 00	0 00	51 43	0 00
	30 Apr 10	0 00	0 00	34 21	34 21	0 00	0 00	34 21	0 00
	30 Apr 10	0 00	0 00	739 30	739 30	0 00	0 00	739 30	0 00
	30 Apr 10	0 00	0 00	1 48	1 48	0 00	0 00	1 48	0 00
	30 Apr 10	0 00	0 00	99 99	99 99	0 00	0 00	99 99	0 00
	14 May 10	0 00	0 00	46 06	46 06	0 00	0 00	46 06	0 00
	14 May 10	0 00	0 00	0 74	0 74	0 00	0 00	0 74	0 00
	28 May 10	0 00	0.00	50 10	50 10	0 00	0.00	50 10	0 00
	15 Jun 10	0 00	0 00	44 66	44 66	0.00	0 00	44 66	0.00
	30 Jun 10	0 00	0 00	41 72	41 72	0 00	0 00	41 72	0.00
	15 Jul 10	0 00	0.00	40 86	40 86	0 00	0 00	40 86	0.00
	30 Jul 10	0 00	0 00	40 55	40 55	0 00	0 00	40 55	0 00
	13 Aug 10	0 00	0 00	34 93	34 93	0 00	0 00	34 93	0 00
	31 Aug 10	0 00	0 00	48 72	48 72	0 00	0 00	48 72	0 00
	15 Sep 10	0 00	0 00	41 58	41 58	0 00	0 00	41 58	0 00
	30 Sep 10	0 00	0 00	40 44	40 44	0 00	0 00	40 44	0.00
	15 Oct 10	0 00	0 00	40 58	40 58	0 00	0 00	40 58	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Realized gain/loss – Translation	Realized gain/loss – Total – Short Term	Realized gain/loss – Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Oct 10	0 00	0 00	40 06	40.06	0 00	0.00	40 06	0 00
	15 Nov 10	0 00	0.00	0 64	0 64	0 00	0.00	0 64	0 00
	15 Nov 10	0 00	0.00	38 58	38 58	0 00	0 00	38 58	0 00
	30 Nov 10	0 00	0.00	35 50	35 50	0 00	0 00	35 50	0 00
	15 Dec 10	0 00	0 00	37 75	37 75	0 00	0 00	37 75	0 00
	31 Dec 10	0 00	0 00	42 07	42 07	0 00	0 00	42 07	0 00
	31 Jan 11	0 00	0 00	90 13	90 13	0 00	0 00	90 13	0 00
	15 Feb 11	0 00	0 00	50 53	50 53	0 00	0 00	50 53	0 00
	28 Feb 11	0 00	0 00	47 12	47 12	0 00	0 00	47 12	0 00
	15 Mar 11	0 00	0 00	50 84	50 84	0 00	0 00	50 84	0 00
	31 Mar 11	0 00	0 00	46 81	46 81	0 00	0 00	46 81	0 00
	29 Apr 11	0 00	0 00	91 02	91 02	0 00	0 00	91 02	0 00
	13 May 11	0.00	0 00	39 45	39.45	0.00	0 00	39 45	0 00
31 Jan 11 08 Feb 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	15,971 98	0 00	15,971 98	0 00	0 00	15,971 98
	15 May 09	0 00	0 00	300 72	0 00	300 72	0 00	0 00	300 72
	15 May 09	0 00	0 00	10,295 18	0 00	10,295 18	0 00	0 00	10,295 18
	29 May 09	0 00	0 00	1,260 48	0 00	1,260 48	0 00	0 00	1,260 48
	29 May 09	0 00	0 00	2,305 56	0 00	2,305.56	0 00	0 00	2,305 56
	29 May 09	0 00	0 00	18 67	0 00	18 67	0 00	0 00	18 67
	15 Jun 09	0.00	0 00	439 95	0 00	439 95	0 00	0 00	439 95
	15 Jun 09	0 00	0 00	28 48	0 00	28 48	0 00	0 00	28 48
	15 Jun 09	0 00	0 00	75 22	0 00	75 22	0 00	0 00	75 22
	30 Jun 09	0 00	0 00	27 70	0 00	27 70	0 00	0 00	27.70
	30 Jun 09	0 00	0 00	31 49	0 00	31 49	0 00	0 00	31.49
	15 Jul 09	0 00	0 00	26 15	0 00	26 15	0 00	0 00	26 15
	31 Jul 09	0 00	0 00	25 72	0 00	25 72	0 00	0 00	25 72
	14 Aug 09	0 00	0 00	23 52	0 00	23 52	0 00	0 00	23 52
	31 Aug 09	0 00	0 00	27 32	0 00	27.32	0 00	0 00	27 32

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Sep 09	0 00	0 00	24 96	0 00	24 96	0.00	0 00	24 96
	30 Sep 09	0 00	0 00	24 44	0 00	24 44	0.00	0 00	24 44
	15 Oct 09	0 00	0 00	24 09	0 00	24 09	0 00	0 00	24 09
	30 Oct 09	0 00	0.00	23 58	0 00	23 58	0 00	0 00	23 58
	13 Nov 09	0 00	0.00	21 17	0 00	21 17	0 00	0 00	21 17
	30 Nov 09	0 00	0 00	25 97	0 00	25 97	0 00	0 00	25 97
	15 Dec 09	0 00	0 00	23 18	0 00	23 18	0 00	0 00	23 18
	31 Dec 09	0 00	0 00	22 43	0 00	22 43	0 00	0 00	22 43
	15 Jan 10	0 00	0 00	21 88	0 00	21 88	0 00	0 00	21 88
	29 Jan 10	0 00	0 00	21 92	0 00	21 92	0 00	0 00	21 92
	12 Feb 10	0 00	0 00	17 87	0 00	17 87	0 00	0 00	17.87
	26 Feb 10	0 00	0.00	26 41	0 00	26 41	0 00	0 00	26 41
	15 Mar 10	0 00	0 00	22.88	0 00	22 88	0 00	0 00	22 88
	31 Mar 10	0.00	0 00	24 00	0 00	24 00	0 00	0 00	24 00
	30 Apr 10	0 00	0 00	46 66	0.00	46 66	0 00	0 00	46 66
	30 Apr 10	0 00	0 00	15 97	0 00	15 97	0 00	0 00	15 97
	30 Apr 10	0 00	0 00	345 06	0 00	345 06	0 00	0 00	345 06
	30 Apr 10	0 00	0 00	0 69	0 00	0 69	0 00	0 00	0 69
	14 May 10	0 00	0 00	0 35	0 00	0 35	0 00	0 00	0 35
	14 May 10	0 00	0 00	21 49	0 00	21 49	0 00	0 00	21 49
	28 May 10	0 00	0 00	23 38	0 00	23 38	0 00	0 00	23 38
	15 Jun 10	0 00	0.00	20 84	0 00	20 84	0 00	0 00	20 84
	30 Jun 10	0 00	0 00	19 47	0 00	19 47	0 00	0 00	19 47
	15 Jul 10	0 00	0 00	19 08	0 00	19 08	0 00	0 00	19 08
	30 Jul 10	0.00	0 00	18 93	0 00	18 93	0 00	0 00	18 93
	13 Aug 10	0 00	0 00	16 30	0 00	16 30	0 00	0 00	16 30
	31 Aug 10	0 00	0 00	22 73	0 00	22 73	0 00	0 00	22 73
	15 Sep 10	0 00	0.00	19 40	0 00	19 40	0 00	0 00	19 40
	30 Sep 10	0 00	0 00	18 87	0 00	18 87	0 00	0 00	18 87

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Oct 10	0.00	0 00	18 94	0 00	18 94	0 00	0 00	18 94
	29 Oct 10	0 00	0 00	18.69	0 00	18 69	0 00	0 00	18 69
	15 Nov 10	0 00	0 00	0 30	0 00	0 30	0 00	0 00	0 30
	15 Nov 10	0 00	0 00	18 01	0 00	18 01	0.00	0 00	18 01
	30 Nov 10	0 00	0 00	16.57	0 00	16 57	0 00	0 00	16 57
	15 Dec 10	0 00	0 00	17 61	0 00	17 61	0 00	0.00	17 61
	31 Dec 10	0 00	0 00	19 63	0 00	19 63	0 00	0 00	19 63
	31 Jan 11	0 00	0 00	42 07	0 00	42 07	0 00	0 00	42 07
15 Nov 11 09 Dec 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	58,556 85	58,556 85	0 00	0 00	58,556 85	0 00
	15 May 09	0 00	0 00	1,076 48	1,076 48	0 00	0 00	1,076 48	0 00
	15 May 09	0 00	0 00	36,853 20	36,853 20	0 00	0 00	36,853 20	0 00
	29 May 09	0 00	0 00	4,512 06	4,512 06	0 00	0 00	4,512 06	0 00
	29 May 09	0 00	0 00	8,253 12	8,253 12	0 00	0 00	8,253 12	0 00
	29 May 09	0.00	0 00	66 82	66 82	0 00	0 00	66.82	0.00
	15 Jun 09	0 00	0 00	1,574 90	1,574 90	0 00	0 00	1,574 90	0 00
	15 Jun 09	0 00	0 00	101 95	101 95	0 00	0 00	101 95	0 00
	15 Jun 09	0 00	0 00	269 24	269 24	0 00	0 00	269 24	0 00
	30 Jun 09	0 00	0 00	99 14	99 14	0 00	0 00	99 14	0 00
	30 Jun 09	0 00	0 00	112 72	112 72	0 00	0 00	112 72	0 00
	15 Jul 09	0 00	0 00	93 61	93 61	0 00	0 00	93 61	0 00
	31 Jul 09	0 00	0.00	92 07	92.07	0 00	0 00	92 07	0 00
	14 Aug 09	0 00	0 00	84.22	84 22	0 00	0 00	84 22	0 00
	31 Aug 09	0 00	0 00	97 78	97 78	0 00	0 00	97 78	0 00
	15 Sep 09	0 00	0 00	89.34	89.34	0 00	0 00	89 34	0 00
	30 Sep 09	0 00	0 00	87 48	87.48	0 00	0 00	87 48	0 00
	15 Oct 09	0 00	0 00	86 21	86.21	0 00	0 00	86 21	0 00
	30 Oct 09	0.00	0.00	84 39	84 39	0 00	0 00	84 39	0 00
	13 Nov 09	0 00	0 00	75 78	75 78	0 00	0 00	75 78	0 00

Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Nov 09	0 00	0 00	92 96	92 96	0 00	0 00	92 96	0 00
	15 Dec 09	0 00	0 00	82 99	82 99	0 00	0 00	82 99	0 00
	31 Dec 09	0 00	0 00	80 27	80 27	0 00	0 00	80 27	0 00
	15 Jan 10	0 00	0 00	78 33	78 33	0 00	0 00	78 33	0 00
	29 Jan 10	0 00	0 00	78 46	78 46	0 00	0 00	78 46	0 00
	12 Feb 10	0.00	0 00	63 96	63 96	0 00	0 00	63 96	0.00
	26 Feb 10	0 00	0 00	94 53	94 53	0 00	0 00	94 53	0 00
	15 Mar 10	0 00	0 00	81 90	81 90	0 00	0 00	81 90	0 00
	31 Mar 10	0 00	0 00	85 92	85 92	0 00	0 00	85 92	0 00
	30 Apr 10	0 00	0 00	167 05	167 05	0 00	0 00	167 05	0 00
	30 Apr 10	0 00	0 00	1,235 18	1,235 18	0 00	0 00	1,235 18	0 00
	30 Apr 10	0 00	0 00	57 16	57 16	0 00	0 00	57 16	0 00
	30 Apr 10	0 00	0 00	2.47	2 47	0 00	0 00	2 47	0 00
	14 May 10	0 00	0 00	1 24	1 24	0 00	0 00	1 24	0 00
	14 May 10	0 00	0 00	76 94	76 94	0 00	0 00	76 94	0 00
	28 May 10	0 00	0.00	83 70	83 70	0.00	0 00	83 70	0 00
	15 Jun 10	0 00	0 00	74.62	74 62	0 00	0 00	74 62	0 00
	30 Jun 10	0 00	0 00	69 71	69 71	0 00	0 00	69 71	0.00
	15 Jul 10	0 00	0 00	68 27	68 27	0 00	0 00	68 27	0 00
	30 Jul 10	0 00	0 00	67 75	67 75	0 00	0 00	67 75	0 00
	13 Aug 10	0 00	0 00	58 35	58 35	0 00	0 00	58 35	0 00
	31 Aug 10	0 00	0 00	81 40	81 40	0 00	0 00	81 40	0 00
	15 Sep 10	0.00	0 00	69 48	69 48	0 00	0 00	69.48	0 00
	30 Sep 10	0 00	0 00	67 57	67 57	0 00	0 00	67 57	0 00
	15 Oct 10	0.00	0 00	67 79	67.79	0 00	0 00	67.79	0 00
	29 Oct 10	0 00	0 00	66 92	66 92	0 00	0 00	66 92	0 00
	15 Nov 10	0.00	0 00	1 08	1 08	0 00	0 00	1 08	0 00
	15 Nov 10	0 00	0 00	64 46	64 46	0 00	0 00	64 46	0 00
	30 Nov 10	0 00	0 00	59 33	59 33	0 00	0 00	59 33	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Dec 10	0 00	0 00	63 07	63 07	0 00	0 00	63 07	0 00
	31 Dec 10	0 00	0 00	70 29	70 29	0 00	0 00	70 29	0 00
	31 Jan 11	0 00	0 00	150 59	150 59	0 00	0 00	150 59	0 00
	15 Feb 11	0.00	0 00	84 44	84 44	0 00	0 00	84 44	0 00
	28 Feb 11	0 00	0 00	78 72	78 72	0.00	0 00	78.72	0 00
	15 Mar 11	0 00	0 00	84 95	84 95	0 00	0 00	84.95	0 00
	31 Mar 11	0 00	0.00	78 20	78 20	0 00	0 00	78 20	0 00
	29 Apr 11	0 00	0 00	152 06	152.06	0 00	0 00	152 06	0 00
	13 May 11	0 00	0 00	65 91	65 91	0 00	0 00	65 91	0 00
	31 May 11	0 00	0 00	85 87	85 87	0 00	0 00	85 87	0 00
	15 Jun 11	0 00	0 00	73 85	73 85	0 00	0 00	73 85	0 00
	30 Jun 11	0 00	0 00	70 99	70 99	0 00	0 00	70 99	0 00
	15 Jul 11	0 00	0 00	71 63	71 63	0 00	0 00	71 63	0 00
	29 Jul 11	0 00	0 00	71 09	71 09	0 00	0 00	71 09	0 00
	15 Aug 11	0 00	0 00	69 16	69 16	0 00	0 00	69 16	0 00
	31 Aug 11	0 00	0 00	69 96	69.96	0 00	0 00	69 96	0 00
	15 Sep 11	0 00	0 00	69 55	69 55	0 00	0 00	69 55	0 00
	30 Sep 11	0 00	0 00	65 76	65 76	0 00	0 00	65 76	0 00
	14 Oct 11	0 00	0 00	58 80	58 80	0 00	0 00	58.80	0 00
	31 Oct 11	0 00	0 00	67 77	67 77	0 00	0 00	67 77	0 00
	15 Nov 11	0 00	0 00	63 89	63 89	0 00	0 00	63 89	0 00
15 Jul 11 08 Aug 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	14,146 07	14,146 07	0 00	0 00	14,146 07	0 00
	15 May 09	0 00	0 00	262 46	262 46	0 00	0 00	262.46	0 00
	15 May 09	0 00	0 00	8,985 18	8,985 18	0 00	0 00	8,985 18	0.00
	29 May 09	0 00	0 00	1,100 08	1,100 08	0 00	0 00	1,100 08	0.00
	29 May 09	0.00	0 00	2,012 20	2,012 20	0 00	0 00	2,012 20	0 00
	29 May 09	0 00	0 00	16 29	16 29	0 00	0 00	16 29	0 00
	15 Jun 09	0.00	0 00	383 98	383 98	0 00	0 00	383 98	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jun 09	0.00	0.00	24.85	24.85	0.00	0.00	24.85	0.00
	15 Jun 09	0.00	0.00	65.65	65.65	0.00	0.00	65.65	0.00
	30 Jun 09	0.00	0.00	24.17	24.17	0.00	0.00	24.17	0.00
	30 Jun 09	0.00	0.00	27.49	27.49	0.00	0.00	27.49	0.00
	15 Jul 09	0.00	0.00	22.83	22.83	0.00	0.00	22.83	0.00
	31 Jul 09	0.00	0.00	22.45	22.45	0.00	0.00	22.45	0.00
	14 Aug 09	0.00	0.00	20.53	20.53	0.00	0.00	20.53	0.00
	31 Aug 09	0.00	0.00	23.84	23.84	0.00	0.00	23.84	0.00
	15 Sep 09	0.00	0.00	21.78	21.78	0.00	0.00	21.78	0.00
	30 Sep 09	0.00	0.00	21.33	21.33	0.00	0.00	21.33	0.00
	15 Oct 09	0.00	0.00	21.02	21.02	0.00	0.00	21.02	0.00
	30 Oct 09	0.00	0.00	20.58	20.58	0.00	0.00	20.58	0.00
	13 Nov 09	0.00	0.00	18.47	18.47	0.00	0.00	18.47	0.00
	30 Nov 09	0.00	0.00	22.66	22.66	0.00	0.00	22.66	0.00
	15 Dec 09	0.00	0.00	20.23	20.23	0.00	0.00	20.23	0.00
	31 Dec 09	0.00	0.00	19.57	19.57	0.00	0.00	19.57	0.00
	15 Jan 10	0.00	0.00	19.09	19.09	0.00	0.00	19.09	0.00
	29 Jan 10	0.00	0.00	19.13	19.13	0.00	0.00	19.13	0.00
	12 Feb 10	0.00	0.00	15.59	15.59	0.00	0.00	15.59	0.00
	26 Feb 10	0.00	0.00	23.05	23.05	0.00	0.00	23.05	0.00
	15 Mar 10	0.00	0.00	19.96	19.96	0.00	0.00	19.96	0.00
	31 Mar 10	0.00	0.00	20.95	20.95	0.00	0.00	20.95	0.00
	30 Apr 10	0.00	0.00	40.73	40.73	0.00	0.00	40.73	0.00
	30 Apr 10	0.00	0.00	301.15	301.15	0.00	0.00	301.15	0.00
	30 Apr 10	0.00	0.00	13.94	13.94	0.00	0.00	13.94	0.00
	30 Apr 10	0.00	0.00	0.60	0.60	0.00	0.00	0.60	0.00
	14 May 10	0.00	0.00	0.30	0.30	0.00	0.00	0.30	0.00
	14 May 10	0.00	0.00	18.76	18.76	0.00	0.00	18.76	0.00
	28 May 10	0.00	0.00	20.41	20.41	0.00	0.00	20.41	0.00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	15 Jun 10	0 00	0 00	18 19	18 19	0 00	0 00	18 19	0 00
	30 Jun 10	0 00	0 00	17 00	17 00	0 00	0 00	17 00	0 00
	15 Jul 10	0 00	0 00	16 65	16 65	0 00	0 00	16 65	0 00
	30 Jul 10	0 00	0 00	16 52	16 52	0 00	0 00	16 52	0 00
	13 Aug 10	0 00	0 00	14 22	14 22	0 00	0 00	14 22	0 00
	31 Aug 10	0 00	0 00	19 84	19 84	0 00	0 00	19 84	0 00
	15 Sep 10	0 00	0 00	16.94	16 94	0 00	0 00	16 94	0 00
	30 Sep 10	0 00	0.00	16 47	16.47	0.00	0 00	16 47	0 00
	15 Oct 10	0.00	0 00	16 53	16 53	0 00	0 00	16.53	0 00
	29 Oct 10	0.00	0 00	16 32	16 32	0 00	0 00	16 32	0 00
	15 Nov 10	0 00	0 00	0 27	0 27	0 00	0 00	0 27	0 00
	15 Nov 10	0 00	0 00	15 72	15 72	0 00	0 00	15 72	0 00
	30 Nov 10	0 00	0 00	14 46	14 46	0 00	0 00	14 46	0 00
	15 Dec 10	0 00	0 00	15 38	15 38	0 00	0 00	15 38	0 00
	31 Dec 10	0 00	0 00	17 14	17 14	0 00	0 00	17 14	0 00
	31 Jan 11	0.00	0 00	36.71	36 71	0 00	0 00	36 71	0 00
	15 Feb 11	0 00	0 00	20 59	20.59	0.00	0 00	20 59	0 00
	28 Feb 11	0 00	0 00	19 20	19 20	0 00	0 00	19 20	0 00
	15 Mar 11	0 00	0 00	20 71	20.71	0 00	0 00	20 71	0 00
	31 Mar 11	0 00	0 00	19 06	19 06	0 00	0 00	19 06	0 00
	29 Apr 11	0 00	0 00	37 07	37 07	0 00	0 00	37.07	0 00
	13 May 11	0 00	0 00	16 07	16 07	0 00	0 00	16 07	0 00
	31 May 11	0 00	0 00	20 94	20 94	0 00	0 00	20 94	0 00
	15 Jun 11	0 00	0 00	18 00	18 00	0 00	0 00	18 00	0 00
	30 Jun 11	0.00	0 00	17 31	17 31	0 00	0 00	17 31	0 00
	15 Jul 11	0 00	0 00	17 46	17 46	0 00	0 00	17 46	0 00
31 May 11 08 Jun 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP. 6852311M4	0 00	0 00	61,238 62	0 00	61,238 62	0 00	0 00	61,238 62
	15 May 09	0 00	0 00	1,140 44	0 00	1,140.44	0 00	0 00	1,140 44

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market – Short Term	Realized gain/loss – Market – Long Term	Translation	Total – Short Term	Total – Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	39,042 69	0 00	39,042 69	0 00	0 00	39,042 69
	29 May 09	0 00	0 00	4,780 14	0 00	4,780 14	0 00	0 00	4,780 14
	29 May 09	0 00	0 00	8,743 45	0 00	8,743 45	0 00	0 00	8,743 45
	29 May 09	0 00	0 00	70 79	0 00	70 79	0 00	0 00	70 79
	15 Jun 09	0 00	0.00	1,668 46	0 00	1,668 46	0 00	0 00	1,668.46
	15 Jun 09	0 00	0 00	108 01	0 00	108 01	0 00	0 00	108 01
	15 Jun 09	0.00	0 00	285 24	0 00	285 24	0.00	0 00	285.24
	30 Jun 09	0 00	0 00	105 03	0 00	105 03	0 00	0 00	105 03
	30 Jun 09	0.00	0 00	119 41	0 00	119 41	0 00	0 00	119 41
	15 Jul 09	0 00	0 00	99 17	0 00	99 17	0 00	0 00	99 17
	31 Jul 09	0 00	0 00	97 54	0 00	97 54	0 00	0 00	97 54
	14 Aug 09	0 00	0 00	89 22	0 00	89 22	0 00	0 00	89 22
	31 Aug 09	0 00	0 00	103 59	0 00	103 59	0 00	0 00	103 59
	15 Sep 09	0 00	0 00	94 65	0 00	94 65	0 00	0 00	94 6[illegible]
	30 Sep 09	0 00	0 00	92 67	0 00	92 67	0 00	0 00	92 6[illegible]
	15 Oct 09	0 00	0 00	91 34	0 00	91 34	0 00	0 00	91 34
	30 Oct 09	0 00	0 00	89 41	0 00	89 41	0 00	0 00	89 41
	13 Nov 09	0 00	0 00	80 27	0 00	80.27	0 00	0 00	80 27
	30 Nov 09	0 00	0.00	98.48	0 00	98 48	0 00	0 00	98 48
	15 Dec 09	0 00	0.00	87 92	0.00	87 92	0 00	0 00	87 92
	31 Dec 09	0 00	0 00	85 04	0 00	85.04	0 00	0 00	85 04
	15 Jan 10	0 00	0.00	82 98	0 00	82 98	0 00	0 00	82 98
	29 Jan 10	0 00	0 00	83 13	0 00	83 13	0 00	0 00	83 13
	12 Feb 10	0 00	0 00	67 76	0 00	67 76	0 00	0 00	67 76
	26 Feb 10	0 00	0 00	100 15	0 00	100 15	0 00	0 00	100 15
	15 Mar 10	0 00	0 00	86 77	0 00	86 77	0 00	0 00	86 77
	31 Mar 10	0 00	0.00	91 03	0 00	91 03	0 00	0 00	91 03
	30 Apr 10	0 00	0 00	1,308 57	0 00	1,308 57	0 00	0 00	1,308 57
	30 Apr 10	0 00	0.00	176 97	0 00	176 97	0 00	0 00	176 97

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date / Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	30 Apr 10	0.00	0 00	60.56	0 00	60.56	0 00	0 00	60 56
	30 Apr 10	0 00	0 00	2 62	0 00	2 62	0.00	0 00	2 62
	14 May 10	0 00	0 00	81 51	0 00	81 51	0 00	0 00	81 51
	14 May 10	0 00	0 00	1 32	0 00	1 32	0 00	0 00	1 32
	28 May 10	0 00	0 00	88 67	0 00	88 67	0 00	0 00	88 67
	15 Jun 10	0 00	0 00	79 05	0 00	79 05	0 00	0 00	79 05
	30 Jun 10	0 00	0 00	73 85	0 00	73 85	0 00	0 00	73 85
	15 Jul 10	0 00	0 00	72 33	0 00	72 33	0 00	0 00	72 33
	30 Jul 10	0 00	0 00	71 77	0 00	71 77	0.00	0 00	71 77
	13 Aug 10	0 00	0 00	61 81	0 00	61 81	0 00	0 00	61 81
	31 Aug 10	0 00	0 00	86 23	0 00	86 23	0 00	0 00	86 23
	15 Sep 10	0.00	0 00	73 61	0 00	73 61	0 00	0 00	73 61
	30 Sep 10	0 00	0 00	71 58	0 00	71 58	0.00	0 00	71 58
	15 Oct 10	0 00	0 00	71 81	0 00	71 81	0 00	0 00	71 81
	29 Oct 10	0 00	0 00	70 89	0 00	70 89	0 00	0 00	70 89
	15 Nov 10	0 00	0 00	1 14	0 00	1 14	0 00	0 00	1 14
	15 Nov 10	0 00	0 00	68 29	0.00	68 29	0 00	0 00	68 29
	30 Nov 10	0 00	0 00	62.85	0.00	62 85	0 00	0 00	62 85
	15 Dec 10	0.00	0 00	66 81	0 00	66 81	0 00	0 00	66 81
	31 Dec 10	0 00	0.00	74 46	0.00	74 46	0 00	0 00	74 46
	31 Jan 11	0.00	0 00	159 53	0 00	159 53	0 00	0 00	159 53
	15 Feb 11	0.00	0 00	89 45	0 00	89 45	0 00	0 00	89 45
	28 Feb 11	0 00	0 00	83 40	0 00	83 40	0 00	0 00	83 40
	15 Mar 11	0 00	0 00	90 00	0 00	90 00	0 00	0 00	90 00
	31 Mar 11	0 00	0 00	82 86	0 00	82 86	0 00	0 00	82 86
	29 Apr 11	0 00	0 00	161 09	0 00	161 09	0 00	0 00	161 09
	13 May 11	0 00	0 00	69 84	0 00	69 84	0 00	0 00	69 84
	31 May 11	0 00	0 00	90 97	0 00	90 97	0 00	0 00	90 97

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
15 Mar 11 08 Apr 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	31,646.51	31,646 51	0 00	0 00	31,646 51	0 00
	15 May 09	0 00	0 00	20,310 48	20,310.48	0 00	0 00	20,310 48	0 00
	15 May 09	0 00	0.00	593 26	593 26	0 00	0 00	593 26	0 00
	29 May 09	0 00	0.00	4,548 45	4,548 45	0 00	0 00	4,548 45	0 00
	29 May 09	0 00	0 00	36 82	36 82	0 00	0 00	36 82	0 00
	29 May 09	0 00	0 00	2,486 68	2,486 68	0 00	0 00	2,486 68	0 00
	15 Jun 09	0 00	0 00	148 38	148 38	0 00	0 00	148 38	0 00
	15 Jun 09	0 00	0 00	867 95	867 95	0 00	0 00	867 95	0 00
	15 Jun 09	0 00	0.00	56.19	56 19	0 00	0 00	56 19	0.00
	30 Jun 09	0 00	0 00	54 64	54 64	0 00	0 00	54 64	0 00
	30 Jun 09	0 00	0 00	62.12	62 12	0 00	0 00	62 12	0 00
	15 Jul 09	0 00	0.00	51 59	51 59	0 00	0 00	51 59	0 00
	31 Jul 09	0 00	0.00	50 75	50 75	0 00	0 00	50 75	0 00
	14 Aug 09	0 00	0 00	46 41	46 41	0 00	0 00	46 41	0 00
	31 Aug 09	0 00	0 00	53 89	53 89	0 00	0 00	53 89	0 00
	15 Sep 09	0 00	0 00	49 23	49 23	0 00	0 00	49 23	0 00
	30 Sep 09	0 00	0 00	48 21	48 21	0 00	0 00	48 21	0 00
	15 Oct 09	0 00	0 00	47 51	47 51	0 00	0 00	47 51	0 00
	30 Oct 09	0.00	0 00	46 51	46 51	0 00	0 00	46 51	0 00
	13 Nov 09	0 00	0 00	41 76	41 76	0 00	0 00	41.76	0 00
	30 Nov 09	0 00	0 00	51 23	51 23	0 00	0 00	51 23	0 00
	15 Dec 09	0 00	0 00	45 74	45 74	0.00	0 00	45 74	0 00
	31 Dec 09	0 00	0 00	44 24	44 24	0 00	0 00	44 24	0 00
	15 Jan 10	0 00	0 00	43 17	43 17	0 00	0 00	43 17	0 00
	29 Jan 10	0 00	0 00	43 24	43 24	0 00	0 00	43 24	0 00
	12 Feb 10	0 00	0 00	35 25	35 25	0 00	0 00	35 25	0 00
	26 Feb 10	0 00	0 00	52 10	52 10	0 00	0 00	52 10	0 00
	15 Mar 10	0 00	0 00	45 14	45 14	0 00	0 00	45 14	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Mar 10	0 00	0 00	47 35	47 35	0 00	0 00	47 35	0 00
	30 Apr 10	0 00	0 00	92 06	92.06	0 00	0.00	92 06	0 00
	30 Apr 10	0 00	0 00	1 36	1.36	0 00	0 00	1.36	0 00
	30 Apr 10	0 00	0 00	31 51	31 51	0 00	0 00	31.51	0 00
	30 Apr 10	0.00	0 00	680.73	680 73	0 00	0.00	680 73	0 00
	14 May 10	0 00	0 00	42 40	42.40	0 00	0 00	42 40	0 00
	14 May 10	0 00	0 00	0 69	0 69	0 00	0 00	0 69	0 00
	28 May 10	0 00	0 00	46 13	46 13	0 00	0 00	46 13	0 00
	15 Jun 10	0 00	0 00	41 13	41 13	0 00	0 00	41 13	0 00
	30 Jun 10	0 00	0.00	38 42	38 42	0 00	0 00	38 42	0 00
	15 Jul 10	0 00	0 00	37 63	37.63	0 00	0 00	37 63	0.00
	30 Jul 10	0 00	0 00	37 34	37.34	0 00	0 00	37.34	0.00
	13 Aug 10	0 00	0.00	32 16	32 16	0 00	0 00	32.16	0 00
	31 Aug 10	0 00	0 00	44 85	44 85	0.00	0 00	44 85	0 00
	15 Sep 10	0 00	0 00	38 29	38 29	0 00	0 00	38 29	0 00
	30 Sep 10	0 00	0 00	37 24	37 24	0 00	0 00	37 24	0 00
	15 Oct 10	0 00	0 00	37 36	37 36	0 00	0 00	37 36	0 00
	29 Oct 10	0 00	0 00	36 88	36 88	0 00	0 00	36 88	0 00
	15 Nov 10	0 00	0 00	0 60	0 60	0 00	0 00	0 60	0 00
	15 Nov 10	0 00	0 00	35 52	35 52	0 00	0 00	35 52	0 00
	30 Nov 10	0 00	0 00	32 70	32 70	0 00	0 00	32 70	0 00
	15 Dec 10	0 00	0.00	34 76	34 76	0 00	0 00	34 76	0 00
	31 Dec 10	0 00	0 00	38 73	38 73	0 00	0 00	38 73	0 00
	31 Jan 11	0 00	0.00	82.99	82 99	0 00	0 00	82 99	0 00
	15 Feb 11	0 00	0 00	46 54	46 54	0 00	0 00	46 54	0 00
	28 Feb 11	0 00	0 00	43 38	43 38	0 00	0 00	43 38	0 00
	15 Mar 11	0 00	0 00	46 82	46 82	0 00	0 00	46 82	0 00
15 Jun 11 11 Jul 11	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	14,423 44	14,423 44	0 00	0 00	14,423 44	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	9,183 94	9,183 94	0 00	0 00	9,183 94	0 00
	15 May 09	0 00	0 00	268 27	268 27	0 00	0 00	268 27	0 00
	29 May 09	0.00	0 00	2,056 71	2,056 71	0 00	0 00	2,056 71	0 00
	29 May 09	0 00	0 00	16 66	16 66	0 00	0.00	16 66	0.00
	29 May 09	0 00	0.00	1,124 42	1,124 42	0 00	0 00	1,124.42	0 00
	15 Jun 09	0 00	0 00	67 10	67 10	0 00	0 00	67 10	0 00
	15 Jun 09	0 00	0 00	392 46	392 46	0 00	0 00	392 46	0 00
	15 Jun 09	0 00	0 00	25 41	25 41	0 00	0 00	25 41	0 00
	30 Jun 09	0 00	0 00	24 70	24 70	0 00	0 00	24 70	0 00
	30 Jun 09	0 00	0 00	28 09	28 09	0 00	0 00	28 09	0 00
	15 Jul 09	0 00	0 00	23 32	23 32	0 00	0.00	23 32	0 00
	31 Jul 09	0 00	0.00	22 94	22 94	0 00	0 00	22 94	0 00
	14 Aug 09	0 00	0 00	20 99	20 99	0 00	0 00	20 99	0 00
	31 Aug 09	0 00	0 00	24.37	24 37	0 00	0 00	24.37	0 00
	15 Sep 09	0 00	0.00	22 26	22 26	0 00	0 00	22.26	0 00
	30 Sep 09	0 00	0 00	21 80	21 80	0 00	0 00	21 80	0 00
	15 Oct 09	0 00	0 00	21 49	21 49	0 00	0 00	21 49	0 00
	30 Oct 09	0 00	0 00	21 03	21 03	0 00	0 00	21 03	0 00
	13 Nov 09	0 00	0 00	18 88	18 88	0 00	0 00	18 88	0 00
	30 Nov 09	0 00	0 00	23 16	23 16	0 00	0 00	23 16	0 00
	15 Dec 09	0 00	0 00	20 68	20 68	0 00	0 00	20 68	0 00
	31 Dec 09	0 00	0 00	20 00	20 00	0 00	0 00	20 00	0 00
	15 Jan 10	0 00	0 00	19 52	19 52	0 00	0 00	19 52	0 00
	29 Jan 10	0 00	0 00	19 56	19 56	0 00	0 00	19 56	0 00
	12 Feb 10	0 00	0.00	15 94	15 94	0.00	0 00	15 94	0 00
	26 Feb 10	0 00	0 00	23 56	23 56	0 00	0 00	23 56	0 00
	15 Mar 10	0 00	0 00	20 41	20 41	0 00	0 00	20 41	0.00
	31 Mar 10	0 00	0 00	21 41	21 41	0 00	0 00	21 41	0 00
	30 Apr 10	0 00	0 00	41 63	41 63	0 00	0 00	41 63	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	0 62	0 62	0 00	0 00	0 62	0 00
	30 Apr 10	0 00	0 00	307 81	307 81	0 00	0 00	307 81	0 00
	30 Apr 10	0 00	0 00	14 25	14 25	0 00	0 00	14 25	0 00
	14 May 10	0.00	0 00	0 31	0 31	0 00	0 00	0 31	0 00
	14 May 10	0 00	0 00	19 17	19 17	0 00	0 00	19 17	0.00
	28 May 10	0 00	0 00	20 86	20.86	0 00	0 00	20.86	0 00
	15 Jun 10	0 00	0 00	18 59	18 59	0 00	0 00	18 59	0 00
	30 Jun 10	0 00	0 00	17.37	17 37	0 00	0 00	17 37	0 00
	15 Jul 10	0 00	0 00	17 02	17 02	0 00	0 00	17 02	0 00
	30 Jul 10	0 00	0 00	16 88	16 88	0 00	0 00	16 88	0 00
	13 Aug 10	0 00	0 00	14 54	14 54	0 00	0 00	14 54	0 00
	31 Aug 10	0 00	0 00	20 29	20 29	0 00	0 00	20 29	0 00
	15 Sep 10	0.00	0 00	17 31	17 31	0 00	0 00	17 31	0.00
	30 Sep 10	0 00	0 00	16 84	16 84	0 00	0 00	16.84	0 00
	15 Oct 10	0 00	0 00	16 89	16 89	0 00	0 00	16.89	0 00
	29 Oct 10	0 00	0 00	16 68	16 68	0 00	0 00	16 68	0 00
	15 Nov 10	0 00	0 00	0 26	0 26	0 00	0 00	0 26	0 00
	15 Nov 10	0 00	0 00	16 06	16 06	0 00	0 00	16 06	0 00
	30 Nov 10	0 00	0 00	14 78	14 78	0 00	0 00	14 78	0 00
	15 Dec 10	0 00	0 00	15 71	15 71	0 00	0 00	15 71	0 00
	31 Dec 10	0 00	0 00	17 51	17 51	0 00	0 00	17 51	0 00
	31 Jan 11	0 00	0 00	37.53	37 53	0 00	0.00	37 53	0 00
	15 Feb 11	0.00	0 00	21 04	21 04	0 00	0 00	21 04	0 00
	28 Feb 11	0.00	0.00	19 62	19 62	0 00	0 00	19 62	0 00
	15 Mar 11	0 00	0 00	21 17	21 17	0 00	0 00	21 17	0 00
	31 Mar 11	0 00	0.00	19 49	19 49	0 00	0.00	19 49	0 00
	29 Apr 11	0 00	0 00	37 89	37 89	0 00	0 00	37.89	0 00
	13 May 11	0 00	0 00	16 43	16 43	0 00	0 00	16 43	0 00
	31 May 11	0 00	0 00	21 40	21 40	0 00	0 00	21 40	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jun 11	0 00	0 00	18 41	18 41	0 00	0 00	18 41	0 00
Total United States			**0.00**	**829,397.98**	**354,056.54**	**475,341.44**	**0.00**	**354,056.54**	**475,341.44**
Total S/T translation gain/loss for fixed income							**0.00**		
Total L/T translation gain/loss for fixed income							**0.00**		
Total realized gains for fixed income					**366,103.20**	**484,446.91**	**0.00**	**366,103.20**	**484,446.91**
Total realized losses for fixed income					**-12,046.66**	**-9,105.47**	**0.00**	**-12,046.66**	**-9,105.47**
Total Fixed Income			**0.00**	**829,397.98**	**354,056.54**	**475,341.44**	**0.00**	**354,056.54**	**475,341.44**
Total Other Gain/Loss			**0.00**	**829,397.98**	**354,056.54**	**475,341.44**	**0.00**	**354,056.54**	**475,341.44**
Capital Changes									
Equities									
Common stock									
Europe Region									
13 Jun 11 13 Jun 11	#REORG/ROYAL DUTCH PETE CASH MERGER EFF 12/21/05 CUSIP 780257804	0 00	3,310 11	0 00	0 00	3,310 11	0 00	0 00	3,310 11
Total Europe Region			**3,310.11**	**0.00**	**0.00**	**3,310.11**	**0.00**	**0.00**	**3,310.11**
United States									
24 Aug 11 24 Aug 11	###REORG TYCOM LTD STK MERGER TYCO INTL LTD 2878569 @ 3133 EFF 12/18/01 CUSIP G9144B106	0 00	1,384 64	0 00	0 00	1,384 64	0 00	0 00	1,384 64
13 Sep 11 13 Sep 11	##RAYTHEON CO CL B COM CUSIP 755111408	0.00	67 94	0 00	0 00	67 94	0 00	0 00	67.94

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Equities									
Common stock									
United States									
01 Jun 11 01 Jun 11	#REORG/LUCENT TECHNOLOGIES INC STK MERGER TO ALCATEL 2005912 EFF 11-30-06 CUSIP 549463107	0 00	14 21	0 00	0 00	14 21	0 00	0 00	14 21
21 Jun 11 21 Jun 11	#REORG/QWEST STOCK MERGER CENTURYLINK INC COM 2117900 4-1-2011 CUSIP 749121109	0 00	86.68	0 00	0.00	86 68	0 00	0 00	86.68
19 May 11 19 May 11	#REORG/SPRINT CORP MAND EXCH TO SPRINT CORP (FON GRP) SEC #2842635 EFF 4/23/04 CUSIP 852061506	0 00	345 68	0 00	0 00	345 68	0 00	0 00	345 68
14 Dec 11 14 Dec 11	#REORG/TYCO INTL LTD REV SPLIT TO TYCO INTL LTD 2033035 EFF 6/29/07 CUSIP 902124106	0 00	73 98	0 00	0 00	73 98	0 00	0 00	73 98
12 Oct 11 12 Oct 11	BROADCOM CORP CL A CUSIP 111320107	0.00	50 60	0 00	0 00	50.60	0 00	0.00	50 60
05 Jul 11 05 Jul 11	CONAGRA FOODS INC CUSIP 205887102	0 00	152 53	0 00	0 00	152 53	0 00	0 00	152 53
17 Oct 11 17 Oct 11	MCKESSON CORP CUSIP 58155Q103	0.00	44 04	0 00	0 00	44 04	0 00	0 00	44 04
20 Jan 11 20 Jan 11	UNITEDHEALTH GROUP INC COM CUSIP 91324P102	0 00	997 77	0 00	0 00	997 77	0 00	0 00	997 77
19 Jan 11 19 Jan 11	XEROX CORP COM CUSIP 984121103	0 00	158 64	0 00	0 00	158 64	0 00	0.00	158 64
Total United States			**3,376.71**	**0.00**	**0.00**	**3,376.71**	**0.00**	**0.00**	**3,376.71**

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Capital Changes									
Total S/T translation gain/loss for equities							0.00		
Total L/T translation gain/loss for equities							0.00		
Total realized gains for equities					0.00	6,686.82	0.00	0.00	6,686.82
Total realized losses for equities					0.00	0.00	0.00	0.00	0.00
Total Equities			6,686.82	0.00	0.00	6,686.82	0.00	0.00	6,686.82
Total Capital Changes			6,686.82	0.00	0.00	6,686.82	0.00	0.00	6,686.82

Total Short Term Gain Proceeds	0.00							
Total Short Term Loss Proceeds	0.00							
Total Long Term Gain Proceeds	0.00							
Total Long Term Loss Proceeds	0.00							
Total Undetermined Tax Lot Proceeds	6,686.82							
Additional Short Term Proceeds from Zero G/L Transactions	0.00							
Additional Long Term Proceeds from Zero G/L Transactions	0.00							
Short Term Proceeds due to REIT Re-Allocation	0.00							
Long Term Proceeds due to REIT Re-Allocation	0.00							
Total Short Term Proceeds	0.00							
Total Long Term Proceeds	0.00							
Total S/T translation gain/loss					0.00			
Total L/T translation gain/loss					0.00			
Total realized gains			366,103.20	491,133.73	0.00	366,103.20	491,133.73	
Total realized losses	665,483		-12,046.66	-9,105.47	0.00	-12,046.66	-9,105.47	
Total realized gain/loss	6,686.82	829,397.98	354,056.54	482,028.26	0.00	354,056.54	482,028.26	

836,085

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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 01 Nov 12 B454

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss: Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term

STMT D-1

Please note that this report has been prepared using best available data. This report may also contain information provided by third parties, derived by third parties or derived from third party data and/or data that may have been categorized or otherwise reported based upon client direction - Northern Trust assumes no responsibility for the accuracy, timeliness or completeness of any such information Northern Trust assumes no responsibility for the consequences of investment decisions made in reliance on information contained in this report If you have questions regarding third party data or direction as it relates to this report, please contact your Northern Trust relationship team

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Northern Trust records impairment entries pursuant to client direction. Impairment entries recorded to satisfy financial accounting and reporting requirements may not be suitable for tax or for other regulatory reporting purposes Determining appropriate impairment adjustments is the responsibility of the client.

STMT D-2

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss — Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Equities									
Funds - common stock									
International Region									
08 Nov 11 09 Nov 11	MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405	0 00	73,949 49	0 00	0 00	73,949 49	0 00	0 00	73,949 49
Total International Region			**73,949.49**	**0.00**	**0.00**	**73,949.49**	**0.00**	**0.00**	**73,949.49**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**73,949.49**	**0.00**	**0.00**	**73,949.49**
Total realized losses for equities					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total Equities			**73,949.49**	**0.00**	**0.00**	**73,949.49**	**0.00**	**0.00**	**73,949.49**
Total Other Gain/Loss			**73,949.49**	**0.00**	**0.00**	**73,949.49**	**0.00**	**0.00**	**73,949.49**
Capital Changes									
Equities									
Common stock									
United States									
24 Aug 11 24 Aug 11	###REORG TYCOM LTD STK MERGER TYCO INTL LTD 2878569 @ 3133 EFF 12/18/01 CUSIP G9144B106	0 00	44,167 17	0 00	0 00	44,167.17	0 00	0 00	44,167 17
Total United States			**44,167.17**	**0.00**	**0.00**	**44,167.17**	**0.00**	**0.00**	**44,167.17**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**44,167.17**	**0.00**	**0.00**	**44,167.17**
Total realized losses for equities					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total Equities			**44,167.17**	**0.00**	**0.00**	**44,167.17**	**0.00**	**0.00**	**44,167.17**

◆ Realized Gain/Loss Detail - Taxable

Trade Date / Settle Date	Security Description / Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss – Market Short Term	Realized gain/loss – Market Long Term	Realized gain/loss – Translation	Realized gain/loss – Total Short Term	Realized gain/loss – Total Long Term
Total Capital Changes			44,167.17	0.00	0.00	44,167.17	0.00	0.00	44,167.17
Total Short Term Gain Proceeds			0.00						
Total Short Term Loss Proceeds			0.00						
Total Long Term Gain Proceeds			0.00						
Total Long Term Loss Proceeds			0.00						
Total Undetermined Tax Lot Proceeds			118,116.66						
Additional Short Term Proceeds from Zero G/L Transactions			0.00						
Additional Long Term Proceeds from Zero G/L Transactions			0.00						
Short Term Proceeds due to REIT Re-Allocation			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			0.00						
Total Long Term Proceeds			0.00						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0.00		
Total realized gains					0.00	118,116.66	0.00	0.00	118,116.66
Total realized losses					0.00	0.00	0.00	0.00	0.00
Total realized gain/loss			118,116.66	0.00	0.00	118,116.66	0.00	0.00	118,116.66

STMT D-2

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
TREASURY BILLS											
06-30-11	08-25-11	5,000,000	9127952a8	TREASURY BILL NONE 0.000% Due 08-25-11	4,999,922 22	77.78	77 78	5,000,000 00	5,000,000 00	0 00	
07-12-11	08-25-11	200,000	9127952a8	TREASURY BILL NONE 0.000% Due 08-25-11	199,995 70	4.30	4 30	200,000.00	200,000 00	0 00	
07-21-11	08-25-11	200,000	9127952a8	TREASURY BILL NONE 0.000% Due 08-25-11	199,995 63	4 37	4 37	200,000.00	200,000 00	0 00	
					5,399,913 55	86.45	86 45	5,400,000.00	5,400,000.00	0 00	0.00
12-22-10	02-17-11	5,600,000	9127952b6	TREASURY BILL NONE 0.000% Due 02-17-11	5,598,889.33	915 83	1,110 67	5,600,000 00	5,600,000 00	0.00	
01-20-11	02-17-11	300,000	9127952b6	TREASURY BILL NONE 0.000% Due 02-17-11	299,966 63	33 37	33 37	300,000.00	300,000 00	0 00	
01-27-11	02-17-11	100,000	9127952b6	TREASURY BILL NONE 0.000% Due 02-17-11	99,993 29	6.71	6.71	100,000.00	100,000 00	0 00	
					5,998,849 25	955.91	1,150 75	6,000,000.00	6,000,000.00	0 00	0 00
12-30-10	02-24-11	5,100,000	9127952c4	TREASURY BILL NONE 0.000% Due 02-24-11	5,099,142 92	826.47	857 08	5,100,000.00	5,100,000 00	0.00	
01-13-11	02-24-11	300,000	9127952c4	TREASURY BILL NONE 0 000% Due 02-24-11	299,954 50	45.50	45.50	300,000.00	300,000 00	0 00	
01-27-11	02-24-11	300,000	9127952c4	TREASURY BILL NONE 0 000% Due 02-24-11	299,972 00	28 00	28.00	300,000 00	300,000 00	0 00	
					5,699,069 42	899.97	930 58	5,700,000.00	5,700,000 00	0.00	0 00
01-06-11	03-01-11	1,200,000	9127952d2	TREASURY BILL NONE 0.000% Due 03-03-11	1,199,730 27	264 92	264.92	1,199,995 18	1,199,995 66	0.48	
01-06-11	03-03-11	700,000	9127952d2	TREASURY BILL NONE 0 000% Due 03-03-11	699,842.65	157 35	157 35	700,000 00	700,000 00	0 00	
01-07-11	03-03-11	2,300,000	9127952d2	TREASURY BILL NONE 0.000% Due 03-03-11	2,299,519.94	480.06	480 06	2,300,000 00	2,300,000 00	0.00	
01-20-11	03-03-11	300,000	9127952d2	TREASURY BILL NONE 0 000% Due 03-03-11	299,949.78	50.22	50 22	300,000 00	300,000 00	0 00	
02-03-11	03-03-11	900,000	9127952d2	TREASURY BILL NONE 0.000% Due 03-03-11	899,911.10	88 90	88 90	900,000.00	900,000 00	0 00	
					5,398,953 74	1,041.44	1,041.44	5,399,995 18	5,399,995 66	0 48	0 00

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
08-08-11	09-22-11	4,000,000	9127952f7	TREASURY BILL NONE 0 000% Due 09-22-11	3,999,902 22	97.78	97 78	4,000,000 00	4,000,000 00	0.00	
01-20-11	03-17-11	5,400,000	9127952g5	TREASURY BILL NONE 0 000% Due 03-17-11	5,398,740 00	1,260.00	1,260 00	5,400,000.00	5,400,000 00	0 00	
01-27-11	03-24-11	5,400,000	9127952h3	TREASURY BILL NONE 0 000% Due 03-24-11	5,398,840 80	1,159.20	1,159 20	5,400,000.00	5,400,000.00	0 00	
02-03-11	03-31-11	4,200,000	9127952j9	TREASURY BILL NONE 0 000% Due 03-31-11	4,199,248 67	751.33	751 33	4,200,000.00	4,200,000 00	0 00	
02-10-11	03-31-11	300,000	9127952j9	TREASURY BILL NONE 0.000% Due 03-31-11	299,969 38	30.62	30 62	300,000 00	300,000 00	0 00	
02-17-11	03-31-11	600,000	9127952j9	TREASURY BILL NONE 0.000% Due 03-31-11	599,979 00	21.00	21.00	600,000 00	600,000 00	0 00	
02-25-11	03-31-11	300,000	9127952j9	TREASURY BILL NONE 0 000% Due 03-31-11	299,981 58	18 42	18 42	300,000.00	300,000 00	0.00	
					5,399,178.63	821 37	821 37	5,400,000.00	5,400,000.00	0 00	0.00
08-31-11	10-20-11	7,500,000	9127952k6	TREASURY BILL NONE 0.000% Due 10-20-11	7,499,948 95	51.05	51.05	7,500,000 00	7,500,000 00	0.00	
02-17-11	04-14-11	5,400,000	912795214	TREASURY BILL NONE 0.000% Due 04-14-11	5,399,538.00	462 00	462 00	5,400,000.00	5,400,000 00	0.00	
02-24-11	04-20-11	500,000	9127952m2	TREASURY BILL NONE 0.000% Due 04-21-11	499,922 22	77.78	77.78	500,000.00	499,999.62	-0 38	
02-24-11	04-21-11	4,900,000	9127952m2	TREASURY BILL NONE 0.000% Due 04-21-11	4,899,237 78	762.22	762.22	4,900,000 00	4,900,000.00	0 00	
					5,399,160 00	840.00	840 00	5,400,000 00	5,399,999 62	-0 38	0 00
03-03-11	04-28-11	4,100,000	9127952n0	TREASURY BILL NONE 0.000% Due 04-28-11	4,099,378.17	621 83	621.83	4,100,000 00	4,100,000.00	0.00	
03-29-11	04-28-11	1,200,000	9127952n0	TREASURY BILL NONE 0 000% Due 04-28-11	1,199,946.33	53.67	53 67	1,200,000.00	1,200,000 00	0.00	
					5,299,324.50	675.50	675.50	5,300,000.00	5,300,000 00	0.00	0 00

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
03-17-11	05-11-11	500,000	9127952q3	TREASURY BILL NONE 0 000% Due 05-12-11	499,955 28	44.72	44 72	500,000 00	499,999.96	-0 04	
03-17-11	05-12-11	2,200,000	9127952q3	TREASURY BILL NONE 0 000% Due 05-12-11	2,199,803 22	196.78	196 78	2,200,000 00	2,200,000.00	0 00	
04-13-11	05-12-11	2,600,000	9127952q3	TREASURY BILL NONE 0 000% Due 05-12-11	2,599,957.53	42 47	42 47	2,600,000.00	2,600,000.00	0.00	
					5,299,716 03	283 97	283 97	5,300,000.00	5,299,999.96	-0 04	0 00
03-24-11	05-19-11	5,300,000	9127952r1	TREASURY BILL NONE 0 000% Due 05-19-11	5,299,546 55	453 45	453 45	5,300,000 00	5,300,000 00	0 00	
03-31-11	05-26-11	4,300,000	9127952s9	TREASURY BILL NONE 0.000% Due 05-26-11	4,299,729.10	270.90	270 90	4,300,000.00	4,300,000.00	0 00	
04-15-11	05-26-11	300,000	9127952s9	TREASURY BILL NONE 0 000% Due 05-26-11	299,988 73	11.27	11 27	300,000.00	300,000 00	0 00	
04-27-11	05-26-11	700,000	9127952s9	TREASURY BILL NONE 0 000% Due 05-26-11	699,985 03	14.97	14 97	700,000 00	700,000.00	0 00	
					5,299,702.86	297.14	297.14	5,300,000 00	5,300,000.00	0.00	0.00
11-03-11	12-15-11	2,400,000	9127952t7	TREASURY BILL NONE 0 000% Due 12-15-11	2,399,979 50	20 50	20 50	2,400,000.00	2,400,000.00	0 00	
04-14-11	06-09-11	2,800,000	9127952u4	TREASURY BILL NONE 0 000% Due 06-09-11	2,799,825 78	174.22	174 22	2,800,000 00	2,800,000.00	0 00	
04-15-11	06-09-11	2,500,000	9127952u4	TREASURY BILL NONE 0 000% Due 06-09-11	2,499,864 41	135.59	135.59	2,500,000.00	2,500,000 00	0 00	
					5,299,690.19	309 81	309 81	5,300,000 00	5,300,000.00	0.00	0 00
04-21-11	06-16-11	4,900,000	9127952v2	TREASURY BILL NONE 0.000% Due 06-16-11	4,899,733.22	266.78	266 78	4,900,000.00	4,900,000.00	0.00	
05-19-11	06-16-11	200,000	9127952v2	TREASURY BILL NONE 0 000% Due 06-16-11	199,996 11	3.89	3 89	200,000.00	200,000.00	0.00	
					5,099,729 33	270 67	270 67	5,100,000.00	5,100,000 00	0.00	0.00
04-28-11	06-23-11	4,600,000	9127952w0	TREASURY BILL NONE 0 000% Due 06-23-11	4,599,892.67	107.33	107.33	4,600,000.00	4,600,000.00	0.00	

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

										Gain Or Loss	
Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Short Term	Long Term
05-26-11	06-23-11	500,000	9127952w0	TREASURY BILL NONE 0 000% Due 06-23-11	499,988 33	11 67	11 67	500,000.00	500,000.00	0 00	
05-26-11	06-23-11	4,800,000	9127952w0	TREASURY BILL NONE 0.000% Due 06-23-11	4,799,874 94	125 06	125.06	4,800,000 00	4,800,000 00	0 00	
					9,899,755.94	244.06	244.06	9,900,000 00	9,900,000.00	0 00	0 00
05-19-11	07-05-11	200,000	9127952z3	TREASURY BILL NONE 0 000% Due 07-14-11	199,992 22	6 67	6 67	199,998 89	199,999.53	0 64	
05-19-11	07-14-11	4,900,000	9127952z3	TREASURY BILL NONE 0 000% Due 07-14-11	4,899,809 45	190.55	190 55	4,900,000.00	4,900,000.00	0 00	
					5,099,801 67	197 22	197 22	5,099,998.89	5,099,999 53	0 64	0.00
06-30-11	07-21-11	200,000	9127953a7	TREASURY BILL NONE 0 000% Due 07-21-11	199,999 13	0 87	0 87	200,000 00	200,000.00	0 00	
06-03-11	08-04-11	4,300,000	9127953b5	TREASURY BILL NONE 0 000% Due 08-04-11	4,299,888 92	111.08	111 08	4,300,000.00	4,300,000.00	0 00	
06-09-11	08-04-11	5,300,000	9127953b5	TREASURY BILL NONE 0 000% Due 08-04-11	5,299,872.21	127 79	127.79	5,300,000 00	5,300,000 00	0 00	
					9,599,761.13	238 87	238.87	9,600,000.00	9,600,000 00	0 00	0.00
06-16-11	08-11-11	5,100,000	9127953d1	TREASURY BILL NONE 0.000% Due 08-11-11	5,099,817.53	182.47	182 47	5,100,000 00	5,100,000 00	0 00	
06-23-11	08-11-11	5,100,000	9127953d1	TREASURY BILL NONE 0 000% Due 08-11-11	5,099,979 60	20 40	20.40	5,100,000.00	5,100,000.00	0 00	
					10,199,797 13	202 87	202 87	10,200,000.00	10,200,000 00	0 00	0.00
06-23-11	08-18-11	4,100,000	9127953e9	TREASURY BILL NONE 0 000% Due 08-18-11	4,099,984 34	15 66	15.66	4,100,000.00	4,100,000.00	0 00	
08-08-11	09-01-11	4,900,000	9127953f6	TREASURY BILL NONE 0 000% Due 09-01-11	4,899,953 04	46.96	46.96	4,900,000.00	4,900,000.00	0.00	
08-09-11	09-08-11	6,200,000	9127953j8	TREASURY BILL NONE 0.000% Due 09-08-11	6,199,831.22	168.78	168.78	6,200,000.00	6,200,000 00	0 00	
07-14-11	09-15-11	4,900,000	9127953k5	TREASURY BILL NONE 0.000% Due 09-15-11	4,899,915.61	84.39	84 39	4,900,000 00	4,900,000.00	0 00	

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss	
										Short Term	Long Term
07-28-11	09-29-11	4,900,000	912795313	TREASURY BILL NONE 0 000% Due 09-29-11	4,899,620 25	379.75	379.75	4,900,000 00	4,900,000 00	0 00	
08-11-11	09-29-11	4,000,000	912795313	TREASURY BILL NONE 0 000% Due 09-29-11	3,999,946 67	53 33	53.33	4,000,000 00	4,000,000 00	0 00	
					8,899,566 92	433 08	433 08	8,900,000 00	8,900,000.00	0 00	0 00
09-02-11	10-13-11	4,900,000	9127953p4	TREASURY BILL NONE 0.000% Due 10-13-11	4,899,974 82	25 18	25 18	4,900,000 00	4,900,000 00	0 00	
09-27-11	10-27-11	7,100,000	9127953q2	TREASURY BILL NONE 0 000% Due 10-27-11	7,099,994 48	5.52	5.52	7,100,000 00	7,100,000 00	0 00	
09-28-11	11-03-11	3,200,000	9127953r0	TREASURY BILL NONE 0 000% Due 11-03-11	3,199,984.44	15 56	15.56	3,200,000 00	3,200,000.00	0 00	
10-11-11	11-10-11	4,900,000	9127953t6	TREASURY BILL NONE 0 000% Due 11-10-11	4,899,980 94	19 06	19.06	4,900,000 00	4,900,000 00	0.00	
10-26-11	11-18-11	4,800,000	9127953u3	TREASURY BILL NONE 0 000% Due 11-25-11	4,799,965 20	27 84	27.84	4,799,993 04	4,799,997.67	4 63	
10-26-11	11-25-11	2,300,000	9127953u3	TREASURY BILL NONE 0.000% Due 11-25-11	2,299,983 33	16 67	16 67	2,300,000 00	2,300,000 00	0 00	
					7,099,948 53	44 51	44 51	7,099,993 04	7,099,997.67	4 63	0.00
09-29-11	12-02-11	1,000,000	9127953x7	TREASURY BILL NONE 0.000% Due 12-08-11	999,990.42	8.90	8.90	999,999.32	999,999 58	0 26	
09-29-11	12-08-11	2,300,000	9127953x7	TREASURY BILL NONE 0.000% Due 12-08-11	2,299,977.95	22.05	22 05	2,300,000 00	2,300,000 00	0 00	
					3,299,968 37	30 95	30.95	3,299,999 32	3,299,999 58	0.26	0 00
09-29-11	12-22-11	8,900,000	9127953y5	TREASURY BILL NONE 0.000% Due 12-22-11	8,899,897 40	102 60	102 60	8,900,000 00	8,900,000.00	0.00	
03-16-11	04-15-11	2,800,000	9127956q9	CASH MGMT BILL NONE 0.000% Due 04-15-11	2,799,884 97	115 03	115 03	2,800,000.00	2,800,000 00	0.00	
11-18-10	01-11-11	100,000	912795ux7	TREASURY BILL NONE 0.000% Due 01-13-11	99,980 48	3.84	19 17	99,999 65	99,999.28	-0.37	

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
11-18-10	01-13-11	6,200,000	912795ux7	TREASURY BILL NONE 0 000% Due 01-13-11	6,198,789 62	259 39	1,210.38	6,200,000 00	6,200,000.00	0.00	
					6,298,770 10	263.22	1,229.55	6,299,999 65	6,299,999 28	-0 37	0 00
12-16-10	02-10-11	2,100,000	912795v40	TREASURY BILL NONE 0.000% Due 02-10-11	2,099,604 73	282 34	395.27	2,100,000 00	2,100,000 00	0 00	
12-17-10	02-10-11	2,000,000	912795v40	TREASURY BILL NONE 0 000% Due 02-10-11	1,999,648 61	255.56	351 39	2,000,000 00	2,000,000.00	0 00	
12-30-10	02-10-11	1,000,000	912795v40	TREASURY BILL NONE 0 000% Due 02-10-11	999,908 89	86 77	91 11	1,000,000 00	1,000,000 00	0 00	
01-13-11	02-10-11	300,000	912795v40	TREASURY BILL NONE 0 000% Due 02-10-11	299,968 50	31.50	31.50	300,000 00	300,000 00	0.00	
01-14-11	02-10-11	200,000	912795v40	TREASURY BILL NONE 0.000% Due 02-10-11	199,980.58	19 42	19.42	200,000 00	200,000 00	0 00	
01-20-11	02-10-11	100,000	912795v40	TREASURY BILL NONE 0.000% Due 02-10-11	99,992.18	7 82	7.82	100,000.00	100,000 00	0 00	
					5,699,103.49	683 42	896 51	5,700,000 00	5,700,000.00	0.00	0.00
01-13-11	03-10-11	5,400,000	912795v99	TREASURY BILL NONE 0.000% Due 03-10-11	5,398,824 00	1,176 00	1,176 00	5,400,000 00	5,400,000.00	0.00	
02-10-11	04-04-11	200,000	912795vd0	TREASURY BILL NONE 0.000% Due 04-07-11	199,976 98	22.20	22 20	199,999 18	199,999.88	0 70	
02-10-11	04-07-11	5,200,000	912795vd0	TREASURY BILL NONE 0.000% Due 04-07-11	5,199,401.42	598 58	598.58	5,200,000 00	5,200,000 00	0 00	
					5,399,378.40	620 78	620.78	5,399,999 18	5,399,999.88	0.70	0 00
03-10-11	05-05-11	5,300,000	912795ve8	TREASURY BILL NONE 0.000% Due 05-05-11	5,299,587 78	412.22	412 22	5,300,000 00	5,300,000.00	0 00	
04-07-11	06-01-11	700,000	912795w64	TREASURY BILL NONE 0 000% Due 06-02-11	699,978.22	21 78	21.78	700,000 00	700,000.00	0.00	
04-07-11	06-02-11	4,500,000	912795w64	TREASURY BILL NONE 0 000% Due 06-02-11	4,499,860 00	140.00	140.00	4,500,000 00	4,500,000 00	0.00	
05-04-11	06-02-11	5,300,000	912795w64	TREASURY BILL NONE 0.000% Due 06-02-11	5,299,956.72	43 28	43 28	5,300,000.00	5,300,000.00	0 00	
					10,499,794.94	205 06	205.06	10,500,000 00	10,500,000.00	0 00	0 00

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
05-12-11	06-30-11	4,800,000	912795x22	TREASURY BILL NONE 0.000% Due 06-30-11	4,799,934 67	65 33	65.33	4,800,000 00	4,800,000 00	0.00	
06-02-11	06-30-11	5,400,000	912795x22	TREASURY BILL NONE 0.000% Due 06-30-11	5,399,857 20	142 80	142 80	5,400,000 00	5,400,000 00	0.00	
					10,199,791.87	208 13	208 13	10,200,000 00	10,200,000.00	0 00	0 00
06-30-11	07-22-11	100,000	912795x63	TREASURY BILL NONE 0.000% Due 07-28-11	99,999.61	0.32	0.32	99,999 93	100,000 00	0 07	
06-30-11	07-28-11	4,900,000	912795x63	TREASURY BILL NONE 0.000% Due 07-28-11	4,899,980.95	19 05	19 05	4,900,000 00	4,900,000.00	0.00	
					4,999,980.56	19.37	19 37	4,999,999 93	5,000,000.00	0 07	0 00
11-09-10	01-06-11	6,300,000	912795x71	TREASURY BILL NONE 0.000% Due 01-06-11	6,299,133.75	74 68	866.25	6,300,000 00	6,300,000.00	0 00	
11-12-10	01-06-11	200,000	912795x71	TREASURY BILL NONE 0.000% Due 01-06-11	199,969.14	2 81	30 86	200,000 00	200,000.00	0 00	
					6,499,102.89	77.49	897.11	6,500,000 00	6,500,000.00	0 00	0 00
11-24-10	01-20-11	5,800,000	912795x89	TREASURY BILL NONE 0.000% Due 01-20-11	5,798,692.99	435.70	1,307.01	5,800,000 00	5,800,000 00	0.00	
01-04-11	01-20-11	300,000	912795x89	TREASURY BILL NONE 0.000% Due 01-20-11	299,988.75	11 25	11 25	300,000 00	300,000.00	0.00	
					6,098,681.74	446 95	1,318 26	6,100,000 00	6,100,000 00	0 00	0 00
12-02-10	01-27-11	5,800,000	912795x97	TREASURY BILL NONE 0.000% Due 01-27-11	5,798,759.44	576 01	1,240 56	5,800,000 00	5,800,000.00	0 00	
12-23-10	02-03-11	300,000	912795y21	TREASURY BILL NONE 0.000% Due 02-03-11	299,974.67	19 90	25 33	300,000.00	300,000.00	0 00	
12-30-10	02-03-11	200,000	912795y21	TREASURY BILL NONE 0.000% Due 02-03-11	199,991.50	8.01	8.50	200,000.00	200,000.00	0 00	
01-06-11	02-03-11	4,600,000	912795y21	TREASURY BILL NONE 0.000% Due 02-03-11	4,599,531.31	468 69	468.69	4,600,000 00	4,600,000.00	0.00	
					5,099,497.48	496.61	502 52	5,100,000 00	5,100,000.00	0.00	0.00
TREASURY BILLS Total					278,479,056 29	17,162 63	20,928.90	278,499,985.19	278,499,991.18	5 99	0 00

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-11 Through 12-31-11

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss Short Term	Gain Or Loss Long Term
TOTAL GAINS										6 78	0 00
TOTAL LOSSES										-0 79	0 00
[illegible]					278,479,056.29	17,162.63	20,928.90	278,499,985.19	278,499,991.18	5.99	0.00
TOTAL REALIZED GAIN/LOSS 5.99											

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WELLS FARGO ADVISORS

Annual Statement Information

Account Number: 2009-5273
MIMI AND PETER HAAS FUND

STMT A-4

Realized Gain/Loss

Long Term *Continued*

Description	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
NETFLIX.COM INC	X	200.00000	6.8850	04/13/00	02/22/11	44,086.15	1,377.00	42,709.15
	X	109.00000	6.8850	04/13/00	02/22/11	24,022.60	750.47	23,272.13
	X	100.00000	6.8850	04/13/00	02/22/11	22,054.08	688.50	21,365.58
	X	9.00000	6.8850	04/13/00	02/22/11	1,983.49	61.97	1,921.52
	X	10.00000	6.8850	04/13/00	02/22/11	2,204.41	68.85	2,135.56
	X	100.00000	19.9900	07/27/06	02/22/11	22,046.08	1,999.00	20,047.08
	X	85.00000	19.9900	07/27/06	02/22/11	18,738.31	1,699.15	17,039.16
	X	51.00000	19.9900	07/27/06	02/22/11	11,239.76	1,019.49	10,220.27
	X	790.00000	19.9900	07/27/06	06/07/11	207,821.00	15,792.10	192,028.90
Subtotal		1,454.00000				354,195.88	23,456.53	330,739.35
POLYPORE INTERNATIONAL INC	X	680.00000	4.9200	05/13/04	04/27/11	37,579.47	3,345.60	34,233[illegible]
	X	290.00000	4.9200	05/13/04	06/02/11	18,263.85	1,426.80	16,837.05
	X	100.00000	4.9200	05/13/04	06/02/11	6,296.38	492.00	5,804.38
	X	100.00000	4.9200	05/13/04	06/02/11	6,300.88	492.00	5,808.88
	X	475.00000	4.9200	05/13/04	06/16/11	26,690.68	2,337.00	24,353.68
	X	25.00000	4.9200	05/13/04	06/16/11	1,400.27	123.00	1,277.27
Subtotal		1,670.00000				96,531.53	8,216.40	88,315.13
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES						$0.00	$0.00	$0.00
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						$462,705.37	$36,398.35	$426,307.02

Annual Statement Information

Account Number: 2009-5273
MIMI AND PETER HAAS FUND

Realized Gain/Loss

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

* Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different.
* The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security.
* Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form.
* Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B.

REALIZED GAIN/LOSS SUMMARY	COVERED	NONCOVERED	TOTAL
Short term	$0.00	$0.00	$0.00
Long term	$0.00	$426,307.02	$426,307.02
Other term	Not applicable	$0.00	$0.00
Index options	Not applicable	$0.00	$0.00
Total - Realized Gain/Loss	$0.00	$426,307.02	$426,307.02

Realized Gain/Loss Detail

Long Term

Description	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
AMYRIS INC	X	250.00000	12.4600	07/31/09	06/16/11	7,130.69	3,115.00	4,015.69
	X	50.00000	7.0000	12/24/09	06/16/11	1,426.14	350.00	1,076.14
	X	43.00000	7.0000	12/24/09	06/16/11	1,225.90	301.00	924.90
	X	77.00000	12.4600	01/25/10	06/16/11	2,195.23	959.42	1,235.81
Subtotal		420.00000				11,977.96	4,725.42	7,252.54

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