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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2011**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and endingJONATHAN C.S. COX FAMILY FOUNDATION
C/O FIONA COX
1133 37TH AVENUE
SEATTLE, WA 98122**A** Employer identification number
94-3263319**B** Telephone number (see the instructions)**C** If exemption application is pending, check here ☐**D** 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**G** Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change**H** Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16) **J** Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
\$ 490,685. (Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

2 Cl ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 304,441.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 1

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 2

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instrs) SEE STM 3

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 4

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid PART XV

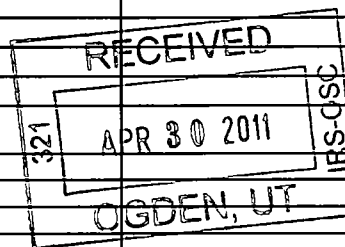
26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter 0)

c Adjusted net income (if negative, enter 0)



25

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

A S S E T S	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	28,734.	63,930.	63,930.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule)	312,160.	171,669.	337,204.
	c	Investments — corporate bonds (attach schedule)	71,381.	83,954.	88,717.
	L I A B I L I T I E S	11	Investments — land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule)			
12		Investments — mortgage loans			
13		Investments — other (attach schedule)	79,085.	115,307.	
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)			
15		Other assets (describe SEE STATEMENT 5)	347.	834.	834.
16		Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	491,707.	435,694.	490,685.
17		Accounts payable and accrued expenses			
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, & other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)	0.	0.		
N E T A S S E T S O R F U N D B A L A N C E S		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	<input checked="" type="checkbox"/>		
	24	Unrestricted			
	25	Temporarily restricted	491,707.	435,694.	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	<input type="checkbox"/>		
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	491,707.	435,694.	
	31	Total liabilities and net assets/fund balances (see instructions)	491,707.	435,694.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	491,707.
2	Enter amount from Part I, line 27a	2	-55,728.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	435,979.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 6	5	285.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	435,694.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a LT-CAPITAL GAIN DISTRIBUTIONS		P	VARIOUS	VARIOUS
b INVESTMENTS - SHORT TERM		P	VARIOUS	VARIOUS
c INVESTMENTS - LONG TERM		P	VARIOUS	VARIOUS
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,294.			2,294.
b 155,264.		183,390.	-28,126.
c 146,883.		142,696.	4,187.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			2,294.
b			-28,126.
c			4,187.
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	-21,645.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-28,126.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010			
2009			
2008			
2007			
2006			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 15, and complete that part using a 1% tax rate. See the Part VI instructions

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Form 990-PF (2011)

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	214.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	214.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	214.
6	Credits/Payments		
a	2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	214.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>FIONA C. COX</u> Telephone no <u>206-605-5355</u> Located at <u>1133 37TH AVENUE SEATTLE WA</u> ZIP + 4 <u>98122</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A		N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FIONA C. COX 1133 37TH AVENUE SEATTLE, WA 98122	CFO & SECY 10.00	0.	0.	0.
CATHERINE C. COX 1133 37TH AVENUE SEATTLE, WA 98122	VICE PRESIDE 0	0.	0.	0.
STONINGTON C. COX 1133 37TH AVENUE SEATTLE, WA 98122	PRESIDENT 0	0.	0.	0.
NIGHT SONG 1133 37TH AVENUE SEATTLE, WA 98122	VICE PRESIDE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE FOUNDATIONS ACTIVITY IS TO MAKE CASH CONTRIBUTIONS TO QUALIFIED TAX EXEMPT ORGANIZATIONS FOR THEIR USE IN CARRYING OUT THEIR CHARITABLE ACTIVITIES.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	513,630.
b Average of monthly cash balances	1b	52,048.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	565,678.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	565,678.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	8,485.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	557,193.
6 Minimum investment return. Enter 5% of line 5	6	27,860.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	27,860.
2a Tax on investment income for 2011 from Part VI, line 5	2a	214.	
b Income tax for 2011. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b	2c	214.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	27,646.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	27,646.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,646.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	33,000.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	33,000.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				27,646.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			5,762.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 33,000.				
a Applied to 2010, but not more than line 2a			5,762.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				27,238.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				408.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling					
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE STATEMENT 8				
Total			▶ 3a	33,000.
b Approved for future payment				
Total			▶ 3b	

2011

FEDERAL STATEMENTS
JONATHAN C.S. COX FAMILY FOUNDATION
C/O FIONA COX

PAGE 1

94-3263319

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 502.		
TOTAL	\$ 502.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	\$ 3,640.			
TOTAL	\$ 3,640.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DIVIDEND EXPENSE	\$ 267.			
TOTAL	\$ 267.	\$ 0.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 8,227.			
POSTAGE/OFFICE	175.			
TOTAL	\$ 8,402.	\$ 0.	\$ 0.	\$ 0.

2011

FEDERAL STATEMENTS
JONATHAN C.S. COX FAMILY FOUNDATION
C/O FIONA COX

PAGE 2

94-3263319

STATEMENT 5
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ACCRUED INCOME	\$ 834.	\$ 834.
TOTAL	<u><u>\$ 834.</u></u>	<u><u>\$ 834.</u></u>

STATEMENT 6
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

INCOME TAX/FILING FEES/OTHER	\$ 285.
TOTAL	<u><u>\$ 285.</u></u>

STATEMENT 7
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
NAME: FIONA C COX
CARE OF:
STREET ADDRESS: 1133 37TH AVENUE
CITY, STATE, ZIP CODE: SEATTLE, WA 98122
TELEPHONE:
FORM AND CONTENT: LETTER REQUEST
SUBMISSION DEADLINES: NONE
RESTRICTIONS ON AWARDS: PUBLIC EDUCATION INFORMATION

STATEMENT 8
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
WILLISTON NORTHAMPTON SCHOOL 19 PAYSON AVENUE EASTHAMPTON, MA 01027	NONE		PUBLIC EDUCATION	\$ 200.
CAMFED USA 369 PINE STREET, SUITE 420 SAN FRANCISCO, CA 94104	NONE		PUBLIC EDUCATION	1,200.
BROOKLYN ACADEMY OF MUSIC 30 LAFAYETTE STREET BROOKLYN, NY 11217	NONE		PUBLIC EDUCATION	2,500.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
A CHILDS RIGHT 1127 BROADWAY TACOMA, WA 98402	NONE		PUBLIC EDUCATION	\$ 300.
AGORA PARTNERSHIPS 1707 N ST NW WASHINGTON, DC 20036	NONE		PUBLIC EDUCATION	500.
AFTER NET 77 FEDERAL STREET SAN FRANCISCO, CA 94107	NONE		PUBLIC EDUCATION	100.
SONS\DAUGHTERS OF HAWLEY 331 E HAWLEY ROAD HAWLEY, MA 01339	NONE		PUBLIC EDUCATION	250.
VERSAILLES FOUNDATION 420 LEXINGTON AVE, SUITE 2005 NY, NY 10170	NONE		PUBLIC EDUCATION	2,000.
AVAAZ 857 BROADWAY NY, NY 10003	NONE		PUBLIC EDUCATION	250.
BENT ARTS 1620 18TH AVENUE SEATTLE, WA 98122	NONE		PUBLIC EDUCATION	1,000.
CROSSCUT 105 MAIN STREET SEATTLE, WA 98104	NONE		PUBLIC EDUCATION	50.
COLUMBIA SCHOOL OF BUSINESS 33 W 60TH STREET NY, NY 10023	NONE		PUBLIC EDUCATION	1,000.
NORTHWEST CHOIRS 5031 UNIV WAY NE #NB2 SEATTLE, WA 98105	NONE		PUBLIC EDUCATION	100.
ETHEL WALKER SCHOOL 230 BUSHY HILL ROAD SIMSBURY, CT 06070	NONE		PUBLIC EDUCATION	200.
CRYSTAL SPRINGS UPLANDS SCHOOL 400 UPLANDS DRIVE HILLSBOROUGH, CA 94010	NONE		PUBLIC EDUCATION	2,000.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
GEMS 2988 W 149TH ST NY, NY 10039	NONE		PUBLIC EDUCATION	\$ 200.
ECHOING GREEN 494 EIGHTH ST 2ND FLOOR NEW YORK, NY 10001	NONE		PUBLIC EDUCATION	2,750.
SEATTLE PUBLIC LIBRARY 1000 FOURTH AVE SEATTLE, WA 98104	NONE		PUBLIC EDUCATION	1,000.
KIVA MICRO FUNDS 3180 18TH ST #201 SAN FRANCISCO, CA 94110	NONE		PUBLIC EDUCATION	600.
CASCADE LAND CONSERVANCY 615 SECOND AVE #600 SEATTLE, WA 98104	NONE		PUBLIC EDUCATION	200.
DEMOCRACY NOW 207 W 25TH STREET NEW YORK, NY 10001	NONE		PUBLIC EDUCATION	300.
WWL FOUNDATION PO BOX 4275 SEATTLE, WA 98194	NONE		PUBLIC EDUCATION	100.
GOLDEN GATE UNIV SCHOOL OF LAW 536 MISSION STREET SAN FRANCISCO, CA 94105	NONE		PUBLIC EDUCATION	500.
DOCTORS WITHOUT BORDERS 333 7TH AVE NY, NY 10001	NONE		PUBLIC EDUCATION	1,200.
EMMA WILLARD 285 PAWLING AVENUE TROY, NY 12180	NONE		PUBLIC EDUCATION	250.
HOPELINK 10675 WILLOWS ROAD REDMOND, WA 98052	NONE		PUBLIC EDUCATION	150.
LUMANA PO BOX 45753 SEATTLE, WA 98145	NONE		PUBLIC EDUCATION	2,200.
MINDFULNESS COMMUNITY 1910 24TH AVE SO SEATTLE, WA 98145	NONE		PUBLIC EDUCATION	1,250.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
MOUNTAIN LAMP COMMUNITY 5999 SCHORNBUSH RD DEMING, WA 98244	NONE		PUBLIC EDUCATION	\$ 300.
PARALAX PRESS PO BOX 7355 BERKELEY, CA 94707	NONE		PUBLIC EDUCATION	500.
NATIVE AMERICAN HERITAGE ASSOCIATION P O BOX 512 RAPID CITY, SD 57709	NONE		PUBLIC EDUCATION	500.
NORTHWEST HARVEST P O BOX 12272 SEATTLE, WA 98102	NONE		PUBLIC EDUCATION	600.
OUTWARD BOUND P O BOX 62161 BALTIMORE, MD 21264	NONE		PUBLIC EDUCATION	100.
PATH WITH ART 117 LOUISA ST SEATTLE, WA 98102	NONE		PUBLIC EDUCATION	100.
SINGER'S FORUM 49 W 24TH ST NY, NY 10010	NONE		PUBLIC EDUCATION	1,650.
SUMMATION DANCE CO 161 SIXTH AVE NY, NY 10013	NONE		PUBLIC EDUCATION	2,800.
REAL CHANGE 219 1ST AVE S SEATTLE, WA 98104	NONE		PUBLIC EDUCATION	50.
SEATTLE ARTS AND LECTURES 105 S MAIN STREET SEATTLE, WA 98104	NONE		PUBLIC EDUCATION	50.
SOLID GROUND 1501 N 45TH STREET SEATTLE, WA 98103	NONE		PUBLIC EDUCATION	500.
SMALL PLANET FUND 1002A O'REILLY AVE SAN FRANCISCO, CA 94129	NONE		PUBLIC EDUCATION	50.
EVERGREEN STATE COLLEGE FDN 2700 EVERGREEN PARKWAY NW OLYMPIA, WA 98505	NONE		PUBLIC EDUCATION	500.

2011

FEDERAL STATEMENTS
JONATHAN C.S. COX FAMILY FOUNDATION
C/O FIONA COX

PAGE 6

94-3263319

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
THE LISTENING ARCHIVE P O BOX 82044 PORTLAND, OR 97282	NONE		PUBLIC EDUCATION	\$ 500.
TOWN HALL ASSOCIATION 1119 8TH AVENUE SEATTLE, WA 98101	NONE		PUBLIC EDUCATION	100.
FOUNDATION FOR TOMORROW PO BOX 470836 CHARLOTTE, NC 28247	NONE		PUBLIC EDUCATION	100.
UNIVERSITY OF WA WOMENS CENTER BOX 351380 SEATTLE, WA 98195	NONE		PUBLIC EDUCATION	50.
WIKIMEDIA FOUNDATION, INC. P O BOX 894879 LOS ANGELES, CA 90189	NONE		PUBLIC EDUCATION	200.
UNIFIED BUDDHIST CHURCH 2499 MELRU LANE ESCONDIDO, CA 92026	NONE		PUBLIC EDUCATION	2,000.

TOTAL \$ 33,000.

ATHERTON LANE ADVISERS LLC

3/12/2012
049-1549

PAGE: 4

SUMMARY OF TRANSACTIONS FOR THE CALENDAR YEAR 2011 FROM 01/01/11 TO 12/31/11

COX FOUNDATION
ATTN: FIONA COX
1133 37th ave
SEATTLE, WA 98122

SCHEDULE OF CAPITAL TRANSACTIONS:

DESCRIPTION	QUANTITY	DATE		COST	PROCEEDS	----- GAIN OR (LOSS) -----	
		PURCHASED	SOLD			SHORT TERM	LONG TERM
CME GROUP INC	20	11-24-09	01-05-11	6456 13	6250 95		(205 18)
MCKESSON CORP	20	07-22-09	01-07-11	917 41	1462 76		545 35
ESSEX PROPERTIES TRUST		01-14-11	01-14-11		7 98		7 98
BOSTON PROPERTIES INC		01-28-11	01-28-11		.92		.92
UDR INC		01-31-11	01-31-11		23 46		23 46
CATERPILLAR INC DEL	25	02-01-05	02-03-11	1124 62	2454 15		1329 53
GOLDMAN SACHS GROUP INC	25	11-07-02	02-03-11	1883 75	4056 14		2172 39
ISHARES INC	420	01-11-06	02-11-11	3532 20	5575 10		2042 90
MSCI SINGAPORE							
ISHARES INC	580	04-25-08	02-11-11	7904 76	7698 94		(205 82)
MSCI SINGAPORE							
ISHARES MSCI TAIWAN INDX	430	01-13-10	02-11-11	5672 16	6408 94		736 78
ISHARES INC	100	01-13-10	02-11-11	4951 98	5917 13		965 15
MSCI S KOREA							
ISHARES FTSE/XINHUA CHINA 25	257	11-11-09	02-11-11	11749 98	10716 16		(1033 82)
CISCO SYS INC	200	10-15-98	03-16-11	2764 00	3423 33		659 33
CISCO SYS INC	300	04-25-01	03-16-11	4788 00	5135 00		347 00
HEWLETT PACKARD CORP	135	06-19-09	03-16-11	5190 79	5455 28		264 49
MCKESSON CORP	90	07-22-09	03-16-11	4128 35	6886 67		2758 32
VANGUARD FIXED INCOME SECS FD		03-22-11	03-22-11		180 46		180 46
SHORT TERM INV GRADE INCOME							
PIMCO HIGH INCOME FUND	360	02-23-09	03-25-11	2122 98	5009 20		2886 22
ANADARKO PETROLEUM CORP	100	09-30-05	03-30-11	4852 00	8234 90		3382 90
BRE PROPERTIES INC CLASS A		03-31-11	03-31-11		14 23		14 23
WASHINGTON REAL ESTATE INV		03-31-11	03-31-11		16 78		16 78
EQUITY RESIDENTIAL		04-08-11	04-08-11		35 11		35 11
ESSEX PROPERTIES TRUST		04-15-11	04-15-11		8 05		8 05
BOSTON PROPERTIES INC		04-29-11	04-29-11		.92		.92
UDR INC		05-02-11	05-02-11		23 46		23 46
PIEDMONT OFFICE REALTY TRU-A		06-22-11	06-22-11		25 87		25 87
BRE PROPERTIES INC CLASS A		06-30-11	06-30-11		14 23		14 23
WASHINGTON REAL ESTATE INV		06-30-11	06-30-11		16 78		16 78
EQUITY RESIDENTIAL		07-08-11	07-08-11		35 11		35 11
ESSEX PROPERTIES TRUST		07-15-11	07-15-11		8 05		8 05
BOSTON PROPERTIES INC		07-29-11	07-29-11		.92		.92
UDR INC		08-01-11	08-01-11		25 36		25 36
PAYCHEX INC	200	10-08-09	08-22-11	5787 32	5045 98		(741 34)
BANK AMER CORP	165	09-25-08	08-29-11	5724 15	1325 70		(4398 45)
BANK AMER CORP	260	06-19-09	08-29-11	3379 40	2088 98		(1290 42)
RESEARCH IN MOTION LTD	90	06-17-10	09-21-11	5570 64	1969 58		(3601 06)
CANADIAN PACIFIC RAILWAY LTD	100	04-23-10	09-22-11	5971 31	4499 54		(1471 77)
CHINA LIFE INSURANCE CO LTD	125	05-01-08	09-22-11	8403 90	4269 59		(4134 31)
ISHARES MSCI BRAZIL INDEX FUND	75	06-08-09	09-22-11	4121 39	3997 25		(124 14)

ATHERTON LANE ADVISERS LLC

3/12/2012
049-1549

PAGE: 5

SUMMARY OF TRANSACTIONS FOR THE CALENDAR YEAR 2011 FROM 01/01/11 TO 12/31/11

COX FOUNDATION
ATTN: FIONA COX
1133 37th ave
SEATTLE, WA 98122

SCHEDULE OF CAPITAL TRANSACTIONS:

DESCRIPTION	QUANTITY	DATE		COST	PROCEEDS	----- GAIN OR (LOSS) -----	
		DATE PURCHASED	DATE SOLD			SHORT TERM	LONG TERM
ISHARES MSCI TAIWAN INDX	400	01-13-10	09-22-11	5276 43	4724 70		(551 73)
PIEDMONT OFFICE REALTY TRU-A		09-22-11	09-22-11		25 87		25 87
BRE PROPERTIES INC CLASS A		09-30-11	09-30-11		14 23		14 23
WASHINGTON REAL ESTATE INV		09-30-11	09-30-11		16 78		16 78
BHP BILLITON LTD SPNSRD ADR'S	100	02-27-08	10-03-11	7515 35	6519 48		(995 87)
EMBRAER SA	200	09-01-10	10-03-11	5262 48	4952 54		(309 94)
ISHARES INC	125	01-13-10	10-03-11	6182 13	5736 38		(445 75)
MSCI S KOREA							
SANDISK CORP	260	11-13-09	10-03-11	5689 27	10266 97		4577 70
VALE SA	185	09-30-10	10-03-11	5773 14	4066 82		(1706 32)
EQUITY RESIDENTIAL		10-14-11	10-14-11		35 11		35 11
ESSEX PROPERTIES TRUST		10-14-11	10-14-11		8 05		8 05
BOSTON PROPERTIES INC		10-31-11	10-31-11		92		92
UDR INC		10-31-11	10-31-11		25 36		25 36
MATTHEWS CHINA		12-08-11	12-08-11		2113 98		2113 98
PIEDMONT OFFICE REALTY TRU-A		12-22-11	12-22-11		25 87		25 87
BRE PROPERTIES INC CLASS A		12-30-11	12-30-11		14 23		14 23
WASHINGTON REAL ESTATE INV		12-30-11	12-30-11		16 78		16 78
T O T A L S				142696 02	146883 03		4187 01

Long Term: 15% Tax Rate

4,187 01

ATHERION LANE ADVISERS LLC

3/12/2012
049-1549

PAGE: 6

SUMMARY OF TRANSACTIONS FOR THE CALENDAR YEAR 2011 FROM 01/01/11 TO 12/31/11

COX FOUNDATION
ATTN: FIONA COX
1133 37th ave
SEATTLE, WA 98122

SCHEDULE OF CAPITAL TRANSACTIONS:

DESCRIPTION	QUANTITY	DATE		COST	PROCEEDS	GAIN OR (LOSS)	
		DATE PURCHASED	DATE SOLD			SHORT TERM	LONG TERM
KOHL'S CORP	100	03-17-10	01-07-11	5527 01	5118 72	(408 29)	
VANGUARD FIXED INCOME SECS FD		03-22-11	03-22-11		62 23	62 23	
SHORT TERM INV GRADE INCOME							
VANGUARD FIXED INCOME SECS FD	3134 609	07-07-10	04-12-11	33658 37	33640 54	(17 83)	
SHORT TERM INV GRADE INCOME							
VANGUARD FIXED INCOME SECS FD	976 235	07-09-10	04-12-11	10500 00	10476 93	(23 07)	
SHORT TERM INV GRADE INCOME							
VANGUARD FIXED INCOME SECS FD	1595 417	10-11-10	04-12-11	17440 00	17121 97	(318 03)	
SHORT TERM INV GRADE INCOME							
VANGUARD FIXED INCOME SECS FD	516 564	01-07-11	04-12-11	5595 56	5543 75	(51 81)	
SHORT TERM INV GRADE INCOME							
NEWMONT MINING CORP	100	06-17-10	04-13-11	5984 65	5682 78	(301 87)	
NEWMONT MINING CORP	85	08-20-10	04-13-11	4910 98	4830 36	(80 62)	
CREE INC	120	03-24-11	06-22-11	5374 78	3976 82	(1397 96)	
ILLINOIS TOOL WORKS	110	01-07-11	08-17-11	5961 96	4822 77	(1139 19)	
HARRIS CORP	130	03-23-11	08-22-11	6398 68	4534 09	(1864 59)	
CNINSURE INC - ADR	375	05-26-11	08-26-11	5990 10	4092 97	(1897 13)	
HOME INNS & HOTELS MANAGEMENT	110	10-15-10	08-26-11	5850 49	3864 15	(1986 34)	
INDIA FUND INC	330	08-30-10	08-26-11	10903 81	8310 66	(2593 15)	
APPLIED MATERIALS INC	400	03-22-11	09-22-11	6069 92	4132 96	(1936 96)	
BROADCOM CORPORATION	170	09-28-10	09-22-11	5832 84	5739 60	(93 24)	
CORNING INC	265	03-22-11	09-22-11	5520 98	3223 47	(2297 51)	
E*TRADE FINANCIAL CORP	340	02-16-11	09-22-11	6162 81	3261 31	(2901 50)	
NOBLE CORP	130	04-14-11	09-22-11	5576 19	3984 24	(1591 95)	
DEERE & CO	70	01-07-11	10-03-11	5908 57	4375 89	(1532 68)	
EXPEDIA INC	240	01-07-11	10-03-11	6139 87	5920 44	(219 43)	
EXPRESS SCRIPTS INC	115	11-19-10	10-03-11	6097 34	4179 40	(1917 94)	
HELMERICH & PAYNE INC	130	11-19-10	10-03-11	6088 11	4963 16	(1124 95)	
PETROLEO BRAS (PETROBRAS) ADR	170	03-16-11	10-03-11	5897 22	3392 95	(2504 27)	
MATTHEWS CHINA		12-08-11	12-08-11		11 97	11 97	
TOTALS				183390 24	155264 13	(28126 11)	

Short Term: Marginal Tax Rate

(28,126 11)