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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE PACKARD HUMANITIES INSTITUTE		A Employer identification number 94-3038401
Number and street (or P.O. box number if mail is not delivered to street address) 300 SECOND STREET		B Telephone number (650) 948-0150
City or town, state, and ZIP code LOS ALTOS, CA 94022		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 638,414,096.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		3,403.	3,403.		
4 Dividends and interest from securities		4,169,068.	4,169,068.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		47,936,847.			
b Gross sales price for all assets on line 6a		60,384,667.			
7 Capital gain net income (from Part IV, line 2)			47,936,847.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		181,203.	150,464.		STATEMENT 1
12 Total. Add lines 1 through 11		52,290,521.	52,259,782.		
13 Compensation of officers, directors, trustees, etc.		158,864.	4,766.		154,098.
14 Other employee salaries and wages		1,389,749.	41,692.		1,353,533.
15 Pension plans, employee benefits		551,460.	16,544.		530,381.
16a Legal fees STMT 2		146,404.	0.		146,490.
b Accounting fees STMT 3		79,650.	2,390.		77,261.
c Other professional fees STMT 4		3,445,919.	111,773.		3,319,104.
17 Interest					
18 Taxes STMT 5		-1,690,242.	0.		3,258.
19 Depreciation and depletion		214,848.	0.		
20 Occupancy		538,656.	16,160.		513,313.
21 Travel, conferences, and meetings		122,011.	0.		122,159.
22 Printing and publications		191,603.	0.		213,085.
23 Other expenses STMT 6		1,299,932.	4,482.		1,635,776.
24 Total operating and administrative expenses. Add lines 13 through 23		6,448,854.	197,807.		8,068,458.
25 Contributions, gifts, grants paid		9,214,534.			10,468,784.
26 Total expenses and disbursements. Add lines 24 and 25		15,663,388.	197,807.		18,537,242.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		36,627,133.			
b Net investment income (if negative, enter -0-)			52,061,975.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		150,223,870.	166,084,089.	166,084,089.
	3	Accounts receivable ▶ 1,148,280.				
		Less: allowance for doubtful accounts ▶		612,015.	1,148,280.	1,148,280.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use		2,523,594.	2,742,348.	2,742,348.
	9	Prepaid expenses and deferred charges		94,275.	326,269.	326,269.
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 8		534,546,407.	389,985,161.	389,985,161.
	c	Investments - corporate bonds				
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less: accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 9		0.	11,435,092.	11,435,092.
	14	Land, buildings, and equipment: basis ▶ 8,114,226.				
		Less: accumulated depreciation STMT 10 ▶ 1,878,022.		2,104,276.	6,236,204.	6,236,204.
	15	Other assets (describe ▶ STATEMENT 11)		42,871,121.	60,456,653.	60,456,653.
	16	Total assets (to be completed by all filers)		732,975,558.	638,414,096.	638,414,096.
	17	Accounts payable and accrued expenses		880,672.	4,459,936.	
	18	Grants payable		2,102,476.	848,226.	
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ DEFERRED TAXES)		6,247,118.	3,617,793.	
	23	Total liabilities (add lines 17 through 22)		9,230,266.	8,925,955.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		723,745,292.	629,488,141.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		723,745,292.	629,488,141.		
31	Total liabilities and net assets/fund balances		732,975,558.	638,414,096.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	723,745,292.
2	Enter amount from Part I, line 27a	2	36,627,133.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	760,372,425.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSSES	5	130,884,284.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	629,488,141.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLICLY TRADED SECURITIES		D		
b SALE OF PUBLICLY TRADED SECURITIES		D		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 51,365,752.		7,024,875.	44,340,877.
b 9,018,915.		5,422,945.	3,595,970.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			44,340,877.
b			3,595,970.
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	47,936,847.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	22,986,056.	635,398,288.	.036176
2009	23,642,383.	561,006,930.	.042143
2008	26,972,362.	674,875,563.	.039966
2007	67,171,049.	757,662,623.	.088656
2006	67,181,133.	723,868,710.	.092808

2 Total of line 1, column (d)	2	.299749
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059950
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	640,988,792.
5 Multiply line 4 by line 3	5	38,427,278.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	520,620.
7 Add lines 5 and 6	7	38,947,898.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	36,621,598.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,041,240.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	2	0.
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	1,041,240.
3	Add lines 1 and 2	4	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	5	1,041,240.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,052,042.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,062,042.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	20,802.
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>CA, MA, VA, NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.PACKHUM.ORG	13	X	
14	The books are in care of ► A. ASTRAS Located at ► 300 SECOND STREET, LOS ALTOS, CA	Telephone no. ► (650) 948-0150 ZIP+4 ► 94022		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15	N/A		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► ITALY	16	X	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► _____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 15

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		110,778.	47,059.	1,027.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILKINS POE	EDITOR-PROGRAMMER			
300 SECOND ST, LOS ALTOS, CA 94022	40.00	146,359.	52,629.	374.
PAUL CORNEILSON - 11-A MT AUBURN ST,	MANAGING EDITOR			
CAMBRIDGE, MA 02138	40.00	90,577.	48,684.	408.
STEPHEN V.F. WAITE	PROJECT OFFICER			
300 SECOND ST, LOS ALTOS, CA 94022	40.00	114,711.	19,365.	3,016.
MARK KNOLL - 11-A MT AUBURN ST,	MUSIC EDITOR			
CAMBRIDGE, MA 02138	40.00	80,013.	47,167.	876.
CYNTHIA MORTENSEN	PROGRAM MANAGER			
300 SECOND ST, LOS ALTOS, CA 94022	40.00	79,722.	21,907.	295.
Total number of other employees paid over \$50,000				10

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BAR ARCHITECTS 543 HOWARD ST, SAN FRANCISCO, CA 94105	ARCHITECT	5142146.
FOUNDING FATHERS INC PRINCETON UNIVERSITY, PRINCETON, NJ 08544	EDITORIAL WORK	1591297.
FINCH MONTGOMERY WRIGHT LLP - 350 CAMBRIDGE AVENUE, SUITE 175, PALO ALTO, CA 94306	LEGAL	96,509.
MCL CONSULTING GROUP, LLC 4718 HALKETT AVENUE, ROSEMEAD, CA 91770	CONSULTING	60,105.
DELOITTE & TOUCHE, LLP - P.O. BOX 7247-6446, PHILADELPHIA, PA 19170-6646	AUDIT	58,950.
Total number of others receiving over \$50,000 for professional services		3

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 14	4,825,921.
2 FILM PRESERVATION AND DIGITIZATION PROJECTS	948,526.
3 CONSTRUCTION COSTS FOR THE BUILDING OF A FILM ARCHIVE AND PRESERVATION CENTER IN SANTA CLARITA, CA	16,708,514.
4 COSTS FOR THE PROJECT AT THE HERCULANEUM	266,243.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	468,462,905.
b	Average of monthly cash balances	1b	181,138,858.
c	Fair market value of all other assets	1c	1,148,280.
d	Total (add lines 1a, b, and c)	1d	650,750,043.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	650,750,043.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,761,251.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	640,988,792.
6	Minimum investment return. Enter 5% of line 5	6	32,049,440.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	32,049,440.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,041,240.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,041,240.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,008,200.
4	Recoveries of amounts treated as qualifying distributions	4	30,739.
5	Add lines 3 and 4	5	31,038,939.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,038,939.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	18,537,242.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	18,084,356.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	36,621,598.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	36,621,598.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				31,038,939.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				9,715,037.
d From 2009				23,642,383.
e From 2010				22,986,056.
f Total of lines 3a through e	56,343,476.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 36,621,598.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				31,038,939.
e Remaining amount distributed out of corpus	5,582,659.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	61,926,135.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	61,926,135.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				9,715,037.
c Excess from 2009				23,642,383.
d Excess from 2010				22,986,056.
e Excess from 2011				5,582,659.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 15A				10,468,784.
Total			3a	10,468,784.
b Approved for future payment				
SEE STATEMENT 15B				125,750.
Total			3b	125,750.

Form 990-PF (2011)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a C.P.E. BACH BOOK SALES					120,233.
b MOZART OPERA FACSIMILE					
c SALES					30,231.
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3,403.	
4 Dividends and interest from securities			14	4,169,068.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	47,936,847.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a GRANT REFUND					30,739.
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		52,109,318.	181,203.
13 Total. Add line 12, columns (b), (d), and (e)					52,290,521.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
▼	
1A	PHI'S CURRENT OPERATING ACTIVITIES CONSIST OF: EDITING AND PUBLISHING THE MUSICAL SCORES OF CARL PHILIPP EMANUEL BACH (C.P.E. BACH), WHO WAS A SON OF THE FAMOUS JOHANN SEBASTIAN BACH. THE YOUNGER BACH WAS AN IMPORTANT AND PROLIFIC COMPOSER, BUT MOST OF HIS WORKS HAVE NOT BEEN PUBLISHED IN MODERN TIMES. PHI IS IN THE PROCESS OF PUBLISHING A COMPREHENSIVE MULTI-VOLUME EDITION OF ALL OF C.P.E. BACH'S COMPOSITIONS.
1B	EDITING AND PUBLISHING FACSIMILE EDITIONS OF MOZART'S OPERAS. PHI HAS MADE DIGITAL PHOTOGRAPHS OF THE ORIGINAL SCORES AND IS PUBLISHING FACSIMILES OF 7 OF MOZART'S OPERAS. PHI'S EXEMPT PURPOSES ARE SERVED BY MAKING THIS MUSIC AVAILABLE TO MANY PROFESSIONAL MUSICIANS, SCHOLARS, STUDENTS, LIBRARIES, COLLEGES, AND UNIVERSITIES IN THE U.S. AND ABROAD WHO WOULD OTHERWISE NOT HAVE AN OPPORTUNITY TO OBTAIN ACCESS TO THEM IN ANY FORM. AS THESE VOLUMES ARE COMPLETED, PHI MAKES THEM AVAILABLE FOR SALE, ON A NON-DISCRIMINATORY BASIS, TO ANY INTERESTED INDIVIDUAL OR INSTITUTION FOR A NOMINAL SALES PRICE WHICH DOES NOT COVER THE FULL COST OF PRODUCING AND DISTRIBUTING THE PUBLISHED VOLUMES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations




- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
|----------|--|-------|----|
| | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	SHARON L. ZORBACH	<i>Sharon Zorbach</i>	9/28/12		P00125475
	Firm's name ▶ DELOITTE TAX LLP				Firm's EIN ▶ 86-1065772
	Firm's address ▶ 225 WEST SANTA CLARA STREET SAN JOSE, CA 95113			Phone no. 408-704-4000	

FORM 990-PF	OTHER INCOME	STATEMENT	1
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
C.P.E. BACH BOOK SALES	120,233.	120,233.	
MOZART OPERA FACSIMILE SALES	30,231.	30,231.	
GRANT REFUND	30,739.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	181,203.	150,464.	

FORM 990-PF	LEGAL FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GENERAL LEGAL FEES	146,404.	0.		146,490.
TO FM 990-PF, PG 1, LN 16A	146,404.	0.		146,490.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT/TAX SERVICES	79,650.	2,390.		77,261.
TO FORM 990-PF, PG 1, LN 16B	79,650.	2,390.		77,261.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTANTS/RESEARCHERS	3,197,192.	0.		3,179,986.
INVESTMENT CUSTODY FEES	111,773.	111,773.		0.
OTHER CONSULTING	10,038.	0.		10,632.
MUSIC EDITING	119,042.	0.		120,612.
PHOTOGRAPHY	1,723.	0.		1,723.

THE PACKARD HUMANITIES INSTITUTE

94-3038401

RECORDING PERFORMERS	6,151.	0.	6,151.
TO FORM 990-PF, PG 1, LN 16C	3,445,919.	111,773.	3,319,104.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	-1,708,100.	0.		0.
CA FILING FEES	1,078.	0.		1,078.
MA FILING FEES	609.	0.		609.
NY FILING FEES	1,500.	0.		1,500.
SALES TAX/PROPERTY TAX	14,671.	0.		71.
TO FORM 990-PF, PG 1, LN 18	-1,690,242.	0.		3,258.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROPERTY MANAGEMENT	25,701.	0.		25,221.
TELEPHONE & COMMUNICATIONS	51,828.	1,555.		50,163.
PHOTOCOPYING	1,586.	0.		1,586.
MAINTENANCE & REPAIR	1,675.	0.		1,675.
INSURANCE	97,621.	2,927.		178,761.
COMPUTER EXPENSES	10,059.	0.		9,099.
BOOKS & SUBSCRIPTIONS	27,828.	0.		31,369.
MISCELLANEOUS EXPENSES	3,898.	0.		3,853.
BANK FEES	3,632.	0.		3,674.
STORAGE COSTS	81,167.	0.		253,614.
MEMBERSHIPS & DUES	4,428.	0.		4,291.
ADVERTISING FEES	52,683.	0.		52,683.
SUPPLIES & POSTAGE	62,910.	0.		62,581.
TEMPORARY OFFICE HELP	81,547.	0.		80,035.
BOOK DISTRIBUTION	39,903.	0.		39,545.
DIRECT COSTS- SANTA CLARITA	238,746.	0.		265,167.
DIRECT COSTS- FILM LAB LA	514,720.	0.		566,820.
OPERATING EXPENSES	0.	0.		5,639.
TO FORM 990-PF, PG 1, LN 23	1,299,932.	4,482.		1,635,776.

FOOTNOTES

STATEMENT

7

BARBARA WRIGHT IS AN OFFICER OF PHI. HER FIRM, FINCH MONTGOMERY WRIGHT LLP, PROVIDES LEGAL SERVICES TO PHI. FEES FOR THESE SERVICES ARE PAID TO THE FIRM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HER CAPACITY AS AN OFFICER.

G. GERVAISE DAVIS III IS A DIRECTOR ON THE BOARD OF PHI. HE PROVIDED LEGAL SERVICES TO PHI. FEES FOR LEGAL SERVICES ARE PAID TO HIM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HIS CAPACITY AS A DIRECTOR OF THE BOARD.

MEMBERS OF THE FOUNDATION'S BOARD OF DIRECTORS SERVE WITHOUT COMPENSATION. THE FOUNDATION PAID A PREMIUM OF \$20,660 FOR DIRECTORS' AND OFFICERS' INSURANCE FOR 2011, WHICH IS TREATED AS A NON TAXABLE FRINGE BENEFIT.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
.....		
AGILENT TECHNOLOGIES INC	171,535,222.	171,535,222.
HEWLETT PACKARD CO	91,172,368.	91,172,368.
EXCHANGE TRADED FUNDS	127,277,571.	127,277,571.
TOTAL TO FORM 990-PF, PART II, LINE 10B	389,985,161.	389,985,161.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LIMITED PARTNERSHIPS	FMV	11,435,092.	11,435,092.
TOTAL TO FORM 990-PF, PART II, LINE 13		11,435,092.	11,435,092.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE FURNITURE AND EQUIPMENT	1,061,510.	770,338.	291,172.
COMPUTER HARDWARE/SOFTWARE	877,273.	603,688.	273,585.
VIDEO EQUIPMENT	65,298.	65,298.	0.
LIBRARY	9,077.	9,077.	0.
LAND	3,232,303.	0.	3,232,303.
BUILDING	2,868,765.	429,621.	2,439,144.
TOTAL TO FM 990-PF, PART II, LN 14	8,114,226.	1,878,022.	6,236,204.

FORM 990-PF	OTHER ASSETS	STATEMENT	11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ASSETS HELD FOR CHARITABLE PURPOSES	26,979,910.	43,189,589.	43,189,589.
ART COLLECTION	15,891,211.	17,267,064.	17,267,064.
TO FORM 990-PF, PART II, LINE 15	42,871,121.	60,456,653.	60,456,653.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	12
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NAME OF CONTRIBUTOR	ADDRESS
WALTER HEWLETT	C/O LOS TRANCOS MANAGEMENT, 671 OAK GROVE AVENUE, STE J MENLO PARK, CA 94025

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

SEE STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DAVID W. PACKARD PHI, 300 SECOND STREET LOS ALTOS, CA 94022	PRES/CHAIR/DIRECTOR PART TIME	0.	0.	0.
SUSAN PACKARD ORR PHI, 300 SECOND STREET LOS ALTOS, CA 94022	VICE PRES./DIRECTOR PART TIME	0.	0.	0.
BARBARA P. WRIGHT PHI, 300 SECOND STREET LOS ALTOS, CA 94022	SECRETARY PART TIME	0.	0.	0.
ALBERTA ASTRAS PHI, 300 SECOND STREET LOS ALTOS, CA 94022	TREASURER & CFO 25.00	110,778.	47,059.	1,027.
G. GERVAISE DAVIS III PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
WALTER B. HEWLETT PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
WILLIAM A. JOHNSON PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
PAMELA M. PACKARD PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
CHRISTOPH J. WOLFF PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
RICHARD HODGES PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
ARIANNA PACKARD MARTELL PHI, 300 SECOND STREET LOS ALTOS, CA 94022	DIRECTOR PART TIME	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		110,778.	47,059.	1,027.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 14
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ACTIVITY ONE

HUMANITIES DATA BASE PROJECTS: SUPPORT FOR THE PRESERVATION,
EDITING, AND DISEMINATION OF HISTORICAL MUSIC (INCLUDING
C.P.E. BACH AND MOZART) AND DOCUMENTS (INCLUDING GREEK
INSCRIPTION AND THE PAPERS OF THE FOUNDING FATHERS)

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

4,825,921.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$275,000.00 Grant date: 2/27/01 Grant # 01-1160

Expended: \$275,000.00

Reports: 3/22/02, 7/27/03, 2/29/04, 11/10/05, 11/29/07, 12/16/08, 1/28/09, 12/16/09, 4/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$465,000.00 Grant date: 2/28/01 Grant # 01-1161

Expended: \$465,000.00

Reports: 1/29/03, 7/28/03, 2/29/04, 11/10/05, 7/25/07, 12/20/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$385,000.00 Grant date: 4/25/02 Grant # 02-1209

Expended: \$385,000.00

Reports: 9/2/03, 10/7/04, 6/16/05, 11/10/05, 7/25/07, 11/29/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$400,000.00 Grant date: 3/10/03 Grant # 03-1243

Expended: \$400,000.00

Reports: 10/7/04, 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 9/25/08, 1/28/09, 12/16/09, 3/4/10, 3/26/10, 4/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$310,000.00 Grant date: 4/29/04 Grant # 04-1287

Expended: \$310,000.00

Reports: 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Renovation of historic concert hall in Leipzig, Germany.

Amount: \$1,100,000.00 Grant date: 11/5/07 Grant # 07-1433

Expended: \$1,100,000.00

Reports: 4/30/08, 9/25/08, 1/28/09, 5/14/09, 12/16/09, 3/4/10, 3/29/10, 4/1/11, 4/18/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Historical research, conservation and publication of music by Bach.

Amount: \$236,600.00 Grant date: 8/5/09 Grant # 09-1499

Expended: \$236,554.00

Reports: 9/7/09, 12/16/09, 3/26/10, 4/18/11, 12/11/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: To complete publication of W.F. Bach volumes 1, 5 and 6.

Amount: \$41,000.00 Grant date: 2/22/10 Grant # 10-1513

Expended: \$41,000.00

Reports: 4/18/11, 12/11/11

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Bach family research, publications, library, museum and general operations

Amount: \$146,500.00 Grant date: 6/2/10 Grant # 10-1528

Expended: \$104,958.31

Reports: 4/18/11, 12/14/11

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Completion of W. F. Bach Edition

Amount: \$282,000.00 Grant date: 6/2/10 Grant # 10-1529

Expended: \$33,496.00

Reports: 4/18/11, 12/14/11

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Bach Archive, Leipzig *Thomaskirchhof 15-16, 04109 Leipzig, Germany*

Purpose: Bach family research, publications, library, museum and general operations

Amount: \$171,000.00 Grant date: 3/8/11 Grant # 11-1541

Expended: \$116,478.81

Reports: 12/14/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Academy *10 Carleton House Terrace, London SW1Y 5AH, United Kingdom*

Purpose: Medieval Latin dictionary.

Amount: \$1,390,000.00 Grant date: 9/21/06 Grant # 06-1386

Expended: \$1,183,729.00

Reports: 12/12/07, 5/23/08, 6/5/08, 7/31/09, 2/23/10, 3/17/10, 6/1/10, 1/14/11, 2/7/11, 8/1/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Academy *10 Carleton House Terrace, London SW1Y 5AH, United Kingdom*

Purpose: Oxford Medieval Latin Dictionary

Amount: \$800,000.00 Grant date: 3/14/11 Grant # 11-1542

Expended: \$0.00

Reports: No report due in 2011

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Institute of Archaeology at Ankara *10 Carleton House Terrace, London SW1Y 5AH, United Kingdom*

Purpose: Renovation of the library.

Amount: \$75,000.00 Grant date: 2/13/02 Grant # 02-1198

Expended: \$75,000.00

Reports: 6/12/03, 3/16/04, 11/29/05, 8/15/07, 10/24/08, 11/19/09, 3/30/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Archaeological excavations at Lefkandi in Greece.

Amount: \$222,000.00 Grant date: 1/2/04 Grant # 04-1276

Expended: \$222,000.00

Reports: 3/22/05, 5/4/05, 11/27/05, 11/6/06, 11/5/07, 4/12/08, 12/30/09, 4/13/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Archaeological excavations at Lefkandi in Greece.

Amount: \$196,000.00 Grant date: 2/22/06 Grant # 06-1355

Expended: \$196,000.00

Reports: 11/6/06, 12/19/06, 11/5/07, 4/12/08, 12/30/09, 4/13/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Excavations at the ancient Greek site of Lefkandi.

Amount: \$229,500.00 Grant date: 2/13/07 Grant # 07-1401

Expended: \$229,500.00

Reports: 11/5/07, 4/12/08, 12/2/08, 12/30/09, 4/13/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Athens *Senate House, Malet Street, London WC1E 7HU, United Kingdom*

Purpose: Excavations at the ancient Greek site of Lefkandi.

Amount: \$100,000.00 Grant date: 6/1/09 Grant # 09-1496

Expended: \$100,000.00

Reports: 12/10/09, 12/13/09, 3/23/10, 4/14/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Expansion and renovation of the library.

Amount: \$1,000,000.00 Grant date: 4/28/00 Grant # 00-1098

Expended: \$1,000,000.00

Reports: 10/3/01, 10/23/01, 4/19/02, 12/11/02, 11/14/04, 12/6/05, 12/19/05, 11/29/06, 8/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10, 2/28/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Expansion and renovation of the library.

Amount: \$425,000.00 Grant date: 4/16/02 Grant # 02-1204

Expended: \$425,000.00

Reports: 12/13/02, 3/31/03, 10/6/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 5/12/10, 2/28/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Renovation of the library.

Amount: \$500,000.00 Grant date: 2/28/03 Grant # 03-1241

Expended: \$500,000.00

Reports: 3/31/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10, 2/28/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum

Amount: \$2,000,000.00 Grant date: 12/27/06 Grant # 06-1389

Expended: \$2,000,000.00

Reports: 6/14/07, 12/14/08, 5/6/10, 12/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Staffing for extended hours for the library.

Amount: \$138,230.00 Grant date: 10/17/07 Grant # 07-1430

Expended: \$130,699.32

Reports: 4/26/08, 4/1/09, 7/17/09, 5/12/10, 2/28/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum.

Amount: \$3,400,000.00 Grant date: 1/23/08 Grant # 08-1445

Expended: \$3,234,631.00

Reports: 7/15/09, 7/17/09, 10/16/09, 5/3/10, 5/6/10, 2/28/11, 12/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Exploration and planning for excavation of the Roman basilica at ancient site of Herculaneum.

Amount: \$500,000.00 Grant date: 10/23/08 Grant # 08-1467

Expended: \$500,000.00

Reports: 7/17/09, 10/16/09, 5/3/10, 5/6/10, 2/28/11, 7/12/11, 12/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of the ancient Roman town of Herculaneum.

Amount: \$3,000,000.00 Grant date: 3/4/09 Grant # 09-1486

Expended: \$2,213,438.00

Reports: 7/17/09, 10/16/09, 5/3/10, 5/6/10, 5/10/10, 4/18/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Web-Based report on archaeological excavations in Italy.

Amount: \$250,000.00 Grant date: 8/4/09 Grant # 09-1498

Expended: \$250,000.00

Reports: 5/6/10, 6/2/10, 2/28/11, 12/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Herculaneum conservation: exit Phase I (2010-2012)

Amount: \$3,000,000.00 Grant date: 5/11/10 Grant # 10-1522

Expended: \$567,030.00

Reports: 6/9/10, 3/31/11, 4/1/11, 4/18/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Staffing expenses for extended Library hours

Amount: \$132,130.00 Grant date: 2/7/11 Grant # 11-1539

Expended: \$0.00

Reports: No report due in 2011

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome *Via Gramsci 61, 00197 Rome, Italy*

Purpose: Conservation of Telephus Roof

Amount: \$500,000.00 Grant date: 3/21/11 Grant # 11-1545

Expended: \$0.00

Reports: 4/18/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Archaeological excavation and study in Albania.

Amount: \$600,000.00 Grant date: 8/10/99 Grant # 99-1068

Expended: \$600,000.00

Reports: 2/2/00, 3/24/00, 4/13/00, 8/17/00, 11/7/00, 1/24/01, 3/6/01, 4/6/01, 7/30/01, 10/23/01, 3/20/02, 3/27/02, 6/24/02, 3/31/03, 6/30/03, 11/15/04, 12/5/05, 8/2/07, 12/30/07, 12/31/07, 11/20/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Archaeological excavation and study in Albania.

Amount: \$1,250,000.00 Grant date: 10/15/01 Grant # 01-1193

Expended: \$1,250,000.00

Reports: 3/5/03, 3/30/04, 11/13/04, 12/8/05, 12/13/05, 5/11/06, 10/10/06, 12/31/07, 11/20/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$650,000.00 Grant date: 11/17/03 Grant # 03-1267

Expended: \$650,000.00

Reports: 10/13/04, 3/23/05, 5/24/05, 9/6/05, 9/13/05, 11/28/05, 1/9/06, 3/29/07, 8/2/07, 12/31/07, 5/5/08, 1/2/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Archaeological excavation and study in Albania.

Amount: \$774,000.00 Grant date: 2/13/05 Grant # 05-1319

Expended: \$774,000.00

Reports: 7/18/05, 11/28/05, 2/27/06, 12/11/07, 12/31/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$649,950.00 Grant date: 1/2/06 Grant # 06-1350

Expended: \$649,950.00

Reports: 3/30/07, 5/8/08, 1/2/09, 12/14/09, 3/9/10, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$912,100.00 Grant date: 1/4/06 Grant # 06-1351

Expended: \$912,100.00

Reports: 3/30/07, 12/11/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavations and study of the ancient site of Butrint in Albania.

Amount: \$794,287.00 Grant date: 1/16/07 Grant # 07-1398

Expended: \$794,287.00

Reports: 7/3/08, 1/2/09, 12/14/09, 3/9/10, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological Research in Albania.

Amount: \$991,901.00 Grant date: 1/16/07 Grant # 07-1399

Expended: \$991,901.00

Reports: 7/3/08, 7/14/08, 1/2/09, 12/14/09, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavation and study of the ancient site of Butrint in Albania.

Amount: \$699,847.00 Grant date: 1/23/08 Grant # 08-1442

Expended: \$699,847.00

Reports: 4/17/08, 10/9/08, 12/10/08, 1/2/09, 3/31/09, 5/15/09, 12/14/09, 12/16/09, 3/9/10, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Support for archaeological excavation and study in Albania.

Amount: \$1,189,000.00 Grant date: 1/23/08 Grant # 08-1443

Expended: \$1,189,000.00

Reports: 1/2/09, 3/31/09, 5/15/09, 12/14/09, 12/2/10, 12/8/10, 4/20/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Excavation of the ancient city of Butrint in Albania.

Amount: \$500,000.00 Grant date: 2/11/09 Grant # 09-1480

Expended: \$343,415.47

Reports: 12/14/09, 3/9/10, 4/20/11, 4/27/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Archaeological excavation and study in Albania.

Amount: \$750,000.00 Grant date: 2/11/09 Grant # 09-1481

Expended: \$750,000.00

Reports: 12/2/10, 12/8/10, 4/27/11, 12/16/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Activities of the Albanian Heritage Foundation and the Gjirokastra Conservation Organization

Amount: \$750,000.00 Grant date: 2/3/10 Grant # 10-1511

Expended: \$618,273.42

Reports: 12/6/10, 12/8/10, 3/9/11, 4/20/11, 4/27/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation *64a The Close, Norwich NR1 4DH, United Kingdom*

Purpose: Activities of the Albanian Heritage Foundation and Gjirokastra Conservation & Development Organization

Amount: \$750,000.00 Grant date: 2/7/11 Grant # 11-1537

Expended: \$701,961.00

Reports: 12/16/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

CORA Center For Preservation of Architecture *Jindriska 7, 110 00 Prague 1, Czech Republic*

Purpose: Restoration of historic church organs and palace theatres in Bohemia.

Amount: \$30,000.00 Grant date: 4/5/01 Grant # 01-1164

Expended: \$30,000.00

Reports: 4/8/02, 4/11/02, 10/2/03, 11/11/03, 3/26/04, 11/3/05, 2/27/06, 12/12/07, 5/16/08, 12/17/09, 1/12/10, 6/4/10, 4/4/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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Humboldt University in Berlin *Forschungsabteilung, Unter den Linden 6, 10099 Berlin, Germany*

Purpose: Analysis and restoration of archaeological artifacts from Sudan.

Amount: \$100,000.00 Grant date: 6/29/09 Grant # 09-1492

Expended: \$97,500.00

Reports: 7/25/10, 2/22/11, 3/11/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Copyright clearance for digital Mozart edition.

Amount: \$400,000.00 Grant date: 5/21/01 Grant # 01-1185

Expended: \$389,514.88

Reports: 11/27/02, 9/9/03, 10/24/03, 10/29/04, 10/17/05, 10/23/06, 7/31/07, 12/14/07, 9/2/08, 8/4/09, 6/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for new digital edition of Mozart.

Amount: \$3,000,000.00 Grant date: 11/30/01 Grant # 01-1191

Expended: \$934,645.46

Reports: 11/27/02, 9/9/03, 9/29/03, 10/29/04, 10/17/05, 10/29/05, 2/6/06, 10/23/06, 7/31/07, 9/2/08, 9/22/08,
7/20/09, 8/4/09, 8/2/10, 7/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for creation of a digital edition of Mozart.

Amount: \$2,000,000.00 Grant date: 3/6/07 Grant # 07-1403

Expended: \$2,000,000.00

Reports: 3/28/07, 5/2/07, 5/25/07, 6/12/07, 6/30/07, 7/31/07, 12/14/07, 12/21/07, 6/30/08, 9/2/08, 11/26/08,
12/31/08, 8/4/09, 10/14/09, 12/14/09, 8/2/10, 12/20/10, 12/2/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Renovation to provide additional storage space for library.

Amount: \$62,500.00 Grant date: 12/8/08 Grant # 08-1471

Expended: \$62,500.00

Reports: 12/14/09, 12/21/09, 12/20/10, 12/2/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation *Schwarzstrasse 26, 5020 Salzburg, Austria*

Purpose: Support for digital edition of Mozart

Amount: \$1,610,000.00 Grant date: 5/26/10 Grant # 10-1523

Expended: \$629,778.62

Reports: 6/23/10, 12/16/10, 12/20/10, 7/22/11, 12/2/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania.

Amount: \$800,000.00 Grant date: 7/1/08 Grant # 08-1457

Expended: \$800,000.00

Reports: 7/9/09, 7/24/09, 7/27/09, 8/3/09, 6/2/10, 7/30/10, 7/31/11, 8/31/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania.

Amount: \$720,000.00 Grant date: 7/6/09 Grant # 09-1493

Expended: \$720,000.00

Reports: 6/2/10, 7/30/10, 8/31/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust *15 Clarendon Road, London W11 4JB, United Kingdom*

Purpose: Conservation of historic village architecture and traditions in Romania

Amount: \$1,000,000.00 Grant date: 6/11/10 Grant # 10-1531

Expended: \$392,615.00

Reports: 7/31/11, 8/31/11

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

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Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication concerning music by Bach.

Amount: \$162,025.00 Grant date: 10/19/04 Grant # 04-1312

Expended: \$162,025.00

Reports: 10/7/04, 2/25/05, 11/17/05, 2/21/06, 3/14/06, 3/23/06, 5/26/06, 11/13/06, 2/12/07, 2/19/08, 2/9/09, 2/21/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication on the Bach family of musicians.

Amount: \$133,050.00 Grant date: 1/23/08 Grant # 08-1444

Expended: \$133,050.00

Reports: 10/16/08, 2/9/09, 2/16/10, 2/21/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Research and publication concerning music by Bach.

Amount: \$125,000.00 Grant date: 1/20/09 Grant # 09-1474

Expended: \$125,000.00

Reports: 2/6/09, 2/16/10, 10/11/10, 2/21/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Bach Repertorium research project.

Amount: \$175,000.00 Grant date: 1/22/10 Grant # 10-1506

Expended: \$152,914.87

Reports: 2/9/10, 10/11/10, 2/21/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Bach-Repertorium research project

Amount: \$158,500.00 Grant date: 2/7/11 Grant # 11-1538

Expended: \$0.00

Reports: No report due in 2011

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Theatre expansion to provide museum space.

Amount: \$150,000.00 Grant date: 1/20/06 Grant # 06-1354

Expended: \$150,000.00 (net of refund)

Reports: 10/19/06, 12/19/07, 12/19/08, 12/28/09, 12/30/10, 6/30/11, 7/11/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

Amount: \$50,000.00 Grant date: 10/18/08 Grant # 08-1466

Expended: \$50,000.00

Reports: 5/27/09, 12/28/09, 12/31/10, 6/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Amount: \$200,000.00 Grant date: 2/10/09 Grant # 09-1477

Expended: \$200,000.00

Reports: 5/22/09, 12/28/09, 12/31/10, 6/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Raw film stock and other materials for the film preservation laboratory operated jointly by the Stanford Theatre Foundation and the UCLA Film Archive.

Amount: \$332,000.00 Grant date: 2/17/09 Grant # 09-1482

Expended: \$332,000.00 (net of refund)

Reports: 12/31/10, 3/26/11, 7/11/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Additions and improvements to the organ at the Stanford Theatre.

Amount: \$250,000.00 Grant date: 5/25/09 Grant # 09-1491

Expended: \$250,000.00

Reports: 10/19/09, 12/28/09, 12/31/10, 7/11/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Restorations and additions to the organ at the Stanford Theatre.

Amount: \$100,000.00 Grant date: 10/20/09 Grant # 09-1500

Expended: \$60,434.00

Reports: 12/28/09, 12/31/10, 12/31/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: General support for exhibition of classic films to the public.

Amount: \$150,000.00 Grant date: 1/26/10 Grant # 10-1508

Expended: \$150,000.00

Reports: 5/26/10, 7/12/10, 6/22/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Stanford Theatre general operating expenses

Amount: \$250,000.00 Grant date: 1/19/11 Grant # 11-1536

Expended: \$250,000.00

Reports: 5/25/11, 12/31/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation 300 Second Street, Los Altos, CA 94022

Purpose: Stanford Theatre general operating expenses

Amount: \$150,000.00 Grant date: 6/15/11 Grant # 11-1550

Expended: \$150,000.00

Reports: 10/17/11, 12/31/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

Stanford Theatre Foundation *300 Second Street, Los Altos, CA 94022*

Purpose: Stanford Theatre general operating expenses

Amount: \$100,000.00 **Grant date:** 10/18/11 **Grant #** 11-1557

Expended: \$0.00

Reports: No report due in 2011

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Warsaw *Research and International , Krakowskie Przedmiescie 26/28, 00-927 Warsaw, Poland*

Purpose: Cataloging of music manuscripts in Poland.

Amount: \$65,500.00 **Grant date:** 12/27/06 **Grant #** 06-1383

Expended: \$65,500.00

Reports: 12/21/07, 12/27/08, 12/29/09, 6/7/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial survey of archaeological sites in Jordan.

Amount: \$249,226.00 **Grant date:** 4/23/08 **Grant #** 08-1451

Expended: \$249,226.00

Reports: 12/2/08, 12/1/09, 12/9/09, 12/12/09, 1/5/10, 1/17/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial survey of archaeological sites in Jordan.

Amount: \$250,000.00 **Grant date:** 1/28/09 **Grant #** 09-1475

Expended: \$250,000.00

Reports: 12/7/09, 1/13/10, 2/25/10, 3/29/10, 1/16/11, 1/17/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial Archeology in Jordan, 2010 season

Amount: \$300,000.00 **Grant date:** 4/20/10 **Grant #** 10-1518

Expended: \$210,000.00

Reports: 1/13/11, 1/31/11, 4/16/11

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part VII - B, (5)c Expenditure Responsibility Statement 15

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial Photographic Archive for Archaeology in the Middle East

Amount: \$400,000.00 Grant date: 3/21/11 Grant # 11-1546

Expended: \$0.00

Reports: No report due in 2011

Diversion and Verification:

To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Würzburg *Zentralverwaltung, Referat V / 4, Sanderring 2, 97070 Würzburg, Germany*

Purpose: Translation of introductions to complete Mozart edition.

Amount: \$187,305.76 Grant date: 7/4/05 Grant # 05-1340

Expended: \$173,033.06

Reports: 6/28/07, 8/2/07, 9/4/07, 2/28/08, 3/5/08, 6/28/08, 12/11/09, 4/12/10, 4/26/11

Diversion and Verification:

To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2011) Part XV, 3a Grants Paid Statement 15A

American School of Classical Studies in Athens 6-8 Charlton Street, Princeton, NJ 08540

Purpose: Excavations in the ancient Agora (marketplace) of Athens

Foundation Status: public charity

Amount: \$327,100.00 Grant # 11-1543

Bach Archive, Leipzig Thomaskirchhof 15-16, 04109 Leipzig, Germany

Purpose: Bach family research, publications, library, museum and general operations

Foundation Status: foreign organization

Amount: \$171,000.00 Grant # 11-1541

British Academy 10 Carleton House Terrace, London SW1Y 5AH, United Kingdom

Purpose: Oxford Medieval Latin Dictionary

Foundation Status: foreign organization

Amount: \$800,000.00 Grant # 11-1542

British School at Rome Via Gramsci 61, 00197 Rome, Italy

Purpose: Staffing expenses for extended Library hours

Foundation Status: foreign organization

Amount: \$132,130.00 Grant # 11-1539

British School at Rome Via Gramsci 61, 00197 Rome, Italy

Purpose: Conservation of Telephus Roof

Foundation Status: foreign organization

Amount: \$500,000.00 Grant # 11-1545

Butrint Foundation 64a The Close, Norwich NR1 4DH, United Kingdom

Purpose: Activities of the Albanian Heritage Foundation and Gjirokastra Conservation & Development Organization

Foundation Status: foreign organization

Amount: \$750,000.00 Grant # 11-1537

Chancellor, Masters & Scholars of the University of Oxford University Offices, Wellington, Square, Oxford OX1 2JD, U.K.

Purpose: Salary for senior editor of Supplementum Epigraphicum Graecum (SEG) for 3 years

Foundation Status: public charity

Amount: \$250,000.00 Grant # 10-1533

George Eastman House Motion Picture Department, Rochester, NY 14607

Purpose: Motion Picture Department Staffing

Foundation Status: public charity

Amount: \$256,320.00 Grant # 11-1544

George Eastman House Motion Picture Department, Rochester, NY 14607

Purpose: Moving of technicolor equipment

Foundation Status: public charity

Amount: \$16,000.00 Grant # 11-1554

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part XV, 3a Grants Paid Statement 15A

Midsummer Mozart Festival 760 Market Street, #749 San Francisco, CA 94102

Purpose: Midsummer Mozart Festival 2011 season

Foundation Status: public charity

Amount: \$100,000.00 Grant # 11-1547

Ohio State University OSU Research Foundation, Columbus, OH 43210

Purpose: Excavations at Isthmia 2011-2012

Foundation Status: government unit

Amount: \$132,300.00 Grant # 11-1548

Opera San Jose 2149 Paragon Drive, San Jose, CA 95131

Purpose: Production of Idomeneo

Foundation Status: public charity

Amount: \$750,000.00 Grant # 11-1553

Opera San Jose 2149 Paragon Drive, San Jose, CA 95131

Purpose: Production of Idomeneo

Foundation Status: public charity

Amount: \$1,000,000.00 Grant # 11-1555

Opera San Jose 2149 Paragon Drive, San Jose, CA 95131

Purpose: Production of Idomeneo

Foundation Status: public charity

Amount: \$1,000,000.00 Grant # 11-1556

PHI Directors' Matching Grants Program The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

Amount: \$30,000.00 Grant # 10-1905

PHI Directors' Matching Grants Program The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

Amount: \$300.00 Grant # 11-1906

Regents of the University of California c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038

Purpose: Staffing expenses for UCLA Film Archive personnel

Foundation Status: government unit

Amount: \$750,000.00 Grant # 10-1534

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part XV, 3a Grants Paid Statement 15A

Regents of the University of California *c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038*

Purpose: Facilitates operating costs for the UCLA Film Archive

Foundation Status: government unit

Amount: \$350,000.00 Grant # 10-1535

Regents of the University of California *UCSB, Office of Research, 3227 Cheadle Hall, University of California, Santa Barbara, CA 93106*

Purpose: American Discography Project 2012-2013

Foundation Status: government unit

Amount: \$600,000.00 Grant # 11-1549

Regents of the University of California *c/o Pacific Film Archive, 2625 Durant Avenue, Berkeley, CA 94720*

Purpose: Support for the Pacific Film Archive in Berkeley, CA

Foundation Status: government unit

Amount: \$135,000.00 Grant # 11-1552

San Francisco Silent Film Festival *833 Market Street, Suite 812, San Francisco, CA 94103*

Purpose: Silent film festival at the Castro Theatre in San Francisco

Foundation Status: public charity

Amount: \$15,000.00 Grant # 11-1551

Saxon Academy of Sciences *Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany*

Purpose: Bach-Repertorium research project

Foundation Status: foreign organization

Amount: \$158,500.00 Grant # 11-1538

Stanford Theatre Foundation *300 Second Street, Los Altos, CA 94022*

Purpose: Stanford Theatre general operating expenses

Foundation Status: private foundation

Amount: \$250,000.00 Grant # 11-1536

Stanford Theatre Foundation *300 Second Street, Los Altos, CA 94022*

Purpose: General operations

Foundation Status: private foundation

Amount: \$150,000.00 Grant # 11-1550

Stanford Theatre Foundation *300 Second Street, Los Altos, CA 94022*

Purpose: General operations

Foundation Status: private foundation

Amount: \$100,000.00 Grant # 11-1557

University of Texas at Austin *Office of Sponsored Projects, PO Box 7726, Austin, TX 78713*

Purpose: Archaeological research at Metaponto and Croton, Italy and at Chersonesus, Ukraine

Foundation Status: government unit

Amount: \$1,345,134.00 Grant # 11-1540

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part XV, 3a Grants Paid Statement 15A

University of Western Australia *Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia*

Purpose: Aerial Photographic Archive for Archaeology in the Middle East

Foundation Status: foreign organization

Amount: \$400,000.00 Grant # 11-1546

Grand total: \$10,468,784.00

The Packard Humanities Institute FEIN: 94-3038401

Form 990-PF (2011) Part XV, 3b Grants Approved for Future Payment Statement 15B

PHI Directors' Matching Grants Program *The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022*

Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request).

Foundation Status: public charity

Amount: \$126,050.00 Grant date: 12/31/11 Grant # 11-1906

Approved for Future Payment: \$125,750.00

Grand total: \$125,750.00