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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation  
BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP

**A Employer identification number**  
91-6563332

Number and street (or P O box number if mail is not delivered to street address)  
601 UNION STREET NO 2300

Room/suite

**B Telephone number** (see page 10 of the instructions)  
(206) 382-7777

City or town, state, and ZIP code  
SEATTLE, WA 98101

**C** If exemption application is pending, check here ☐

**D 1.** Foreign organizations, check here ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
\$ 3,810,220

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	303	303		
	4 Dividends and interest from securities. . . . .	73,232	73,232		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	162,929			
	b Gross sales price for all assets on line 6a 1,729,327				
	7 Capital gain net income (from Part IV, line 2) . . . .		162,929		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	236,464	236,464		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .				
	b Accounting fees (attach schedule). . . . .	3,246	1,623		1,623
	c Other professional fees (attach schedule) . . . . .	12,149	12,149		0
	17 Interest . . . . .	10	10		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	3,943	3,943		0
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .	11,240	0		11,240
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	10,097	0		10,097
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	40,685	17,725		22,960
	25 Contributions, gifts, grants paid . . . . .	331,007			331,007
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	371,692	17,725		353,967
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-135,228			
	b <b>Net investment income</b> (if negative, enter -0-)		218,739		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .		
	2	Savings and temporary cash investments . . . . .	356,100	75,541
	3	Accounts receivable ▶ <u>5,020</u>		
		Less allowance for doubtful accounts ▶ _____	5,020	5,020
	4	Pledges receivable ▶ _____		
		Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable . . . . .		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .		
	7	Other notes and loans receivable (attach schedule) ▶ _____		
		Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use . . . . .		
	9	Prepaid expenses and deferred charges . . . . .		
	10a	Investments—U S and state government obligations (attach schedule)	1,149,052	1,154,634
	b	Investments—corporate stock (attach schedule) . . . . .	2,637,734	2,772,781
	c	Investments—corporate bonds (attach schedule). . . . .		
	11	Investments—land, buildings, and equipment basis ▶ _____		
		Less accumulated depreciation (attach schedule) ▶ _____		
Liabilities	12	Investments—mortgage loans . . . . .		
	13	Investments—other (attach schedule) . . . . .		
	14	Land, buildings, and equipment basis ▶ _____		
		Less accumulated depreciation (attach schedule) ▶ _____		
	15	Other assets (describe ▶ _____)		
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	4,142,886	4,007,976
	17	Accounts payable and accrued expenses . . . . .		
	18	Grants payable . . . . .		
	19	Deferred revenue . . . . .		
	20	Loans from officers, directors, trustees, and other disqualified persons		
	21	Mortgages and other notes payable (attach schedule) . . . . .		
	22	Other liabilities (describe ▶ _____)		
Net Assets or Fund Balances	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0
		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/>		
		<b>and complete lines 24 through 26 and lines 30 and 31.</b>		
	24	Unrestricted . . . . .		
	25	Temporarily restricted . . . . .		
	26	Permanently restricted . . . . .		
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/>		
		<b>and complete lines 27 through 31.</b>		
	27	Capital stock, trust principal, or current funds . . . . .	0	0
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0
	29	Retained earnings, accumulated income, endowment, or other funds	4,142,886	4,007,976
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	4,142,886	4,007,976
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	4,142,886	4,007,976

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,142,886
2	Enter amount from Part I, line 27a . . . . .	2	-135,228
3	Other increases not included in line 2 (itemize) ▶ _____	3	318
4	Add lines 1, 2, and 3 . . . . .	4	4,007,976
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	4,007,976

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	162,929
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	294,695	4,188,412	0 070360
2009	249,827	3,575,907	0 069864
2008	247,530	2,259,423	0 109555
2007	239,868	5,630,256	0 042603
2006	262,147	5,800,095	0 045197

2	Total of line 1, column (d). . . . .	2	0 337579
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 067516
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. . . . .	4	4,164,698
5	Multiply line 4 by line 3. . . . .	5	281,184
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,187
7	Add lines 5 and 6. . . . .	7	283,371
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	353,967

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	2,187
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2. . . . .		3	2,187
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	2,187
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a		
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	0
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	16
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	2,203
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/>	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> WA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	Yes	
14	The books are in care of <b>PETERSON SULLIVAN LLP</b> Telephone no <b>(206) 382-7777</b> Located at <b>601 UNION STREET SUITE 2300 SEATTLE WA</b> ZIP+4 <b>98101</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i> )	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2 ), or (3 ), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD BARTON 601 UNION STREET SUITE 2300 SEATTLE, WA 98101	CO-TRUSTEE 1 00	0	0	0
SARAH BARTON 601 UNION STREET SUITE 2300 SEATTLE, WA 98101	CO-TRUSTEE 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

## Part VIII

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

<b>Total</b> number of others receiving over \$50,000 for professional services. . . . .	0
--	---

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
--	--------

<b>1</b>	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	

<b>Total.</b> Add lines 1 through 3. . . . .	0
--	---



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,978,940
b	Average of monthly cash balances. . . . .	1b	249,180
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	4,228,120
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	4,228,120
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	63,422
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,164,698
6	Minimum investment return. Enter 5% of line 5. . . . .	6	208,235

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	208,235
2a	Tax on investment income for 2011 from Part VI, line 5. . . . .	2a	2,187
b	Income tax for 2011 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,187
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	206,048
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	206,048
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	206,048

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	353,967
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	353,967
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	2,187
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	351,780
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				206,048
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only. . . . .			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006. . . . .				
b From 2007. . . . .				
c From 2008. . . . .				52,472
d From 2009. . . . .				73,302
e From 2010. . . . .				86,492
f Total of lines 3a through e. . . . .	212,266			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 353,967				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount. . . . .				206,048
e Remaining amount distributed out of corpus	147,919			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	360,185			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). . . . .	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). . . . .	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a. . . . .	360,185			
10 Analysis of line 9				
a Excess from 2007. . . .				
b Excess from 2008. . . .				52,472
c Excess from 2009. . . .				73,302
d Excess from 2010. . . .				86,492
e Excess from 2011. . . .				147,919

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	331,007
b Approved for future payment				
Total . . . . .			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments. . . .					
<b>3</b>	Interest on savings and temporary cash investments			14	303	
<b>4</b>	Dividends and interest from securities. . . .			14	73,232	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property. . . . .					
<b>b</b>	Not debt-financed property. . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income. . . . .					
<b>8</b>	Gain or (loss) from sales of assets other than inventory			18	162,929	
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory. .					
<b>11</b>	Other revenue <b>a</b> _____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal. Add columns (b), (d), and (e). .		0		236,464	0
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		236,464

[illegible]

## Part XVII

**1**

(a)

**2.**

■

1

4.

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 91-6563332  
**Name:** BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP

**Form 990PF - Special Condition Description:**

<b>Special Condition Description</b>
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
56 SHS NETAPP, INC CMN	P	2010-07-01	2011-01-26
161 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-04-05	2011-03-21
243 SHS CISCO SYSTEMS, INC CMN	P	2010-09-27	2011-06-09
66 SHS RAYTHEON CO CMN	P	2010-09-01	2011-07-15
85 SHS RAYTHEON CO CMN	P	2010-09-01	2011-08-08
48 SHS RAYTHEON CO CMN	P	2011-01-07	2011-08-08
45 SHS MORGAN STANLEY CMN	P	2010-12-17	2011-08-12
134 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-08-20	2011-08-19
178 SHS KRAFT FOODS INC CMN CLASS A	P	2009-01-26	2011-01-06
48 SHS BAXTER INTERNATIONAL INC CMN	P	2009-01-26	2011-01-25
98 SHS HALLIBURTON COMPANY CMN	P	2009-08-11	2011-01-25
35 SHS BAXTER INTERNATIONAL INC CMN	P	2009-03-30	2011-02-07
6 SHS VISA INC CMN CLASS A	P	2009-01-26	2011-02-07
42 SHS BAXTER INTERNATIONAL INC CMN	P	2009-01-26	2011-02-07
78 SHS VISA INC CMN CLASS A	P	2009-06-19	2011-02-07
474 SHS CISCO SYSTEMS, INC CMN	P	2009-01-26	2011-02-11
13 SHS CME GROUP INC CMN CLASS A	P	2009-01-26	2011-02-15
93 SHS BROADCOM CORP CL-A CMN CLASS A	P	2009-09-25	2011-02-24
21 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-04-23	2011-02-25
46 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-04-03	2011-02-25
39 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-04-23	2011-03-03
70 SHS JOHNSON & JOHNSON CMN	P	2009-01-26	2011-03-10
5 SHS JOHNSON & JOHNSON CMN	P	2009-02-13	2011-03-10
8 SHS BROADCOM CORP CL-A CMN CLASS A	P	2009-09-25	2011-03-11
92 SHS BROADCOM CORP CL-A CMN CLASS A	P	2009-10-23	2011-03-11
60 SHS TARGET CORPORATION CMN	P	2009-01-26	2011-03-18
15 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-05	2011-03-24
213 SHS PEOPLES UNITED FINANCIAL INC CMN	P	2009-08-05	2011-03-25
166 SHS PEOPLES UNITED FINANCIAL INC CMN	P	2009-08-11	2011-03-25
59 SHS BAXTER INTERNATIONAL INC CMN	P	2009-06-03	2011-04-11



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7 SHS BAXTER INTERNATIONAL INC CMN	P	2009-03-30	2011-04-11
86 SHS ST JUDE MEDICAL INC CMN	P	2009-05-18	2011-04-14
89 SHS MERCK & CO , INC CMN	P	2009-01-26	2011-04-18
57 SHS BIOGEN IDEC INC CMN	P	2009-12-17	2011-04-21
30 SHS BIOGEN IDEC INC CMN	P	2009-10-26	2011-04-21
244 SHS CISCO SYSTEMS, INC CMN	P	2009-01-26	2011-06-08
92 SHS CISCO SYSTEMS, INC CMN	P	2009-03-09	2011-06-08
114 SHS CISCO SYSTEMS, INC CMN	P	2009-03-09	2011-06-09
56 SHS ECOLAB INC CMN	P	2010-02-11	2011-06-21
64 SHS COSTCO WHOLESALE CORPORATION CMN	P	2009-03-04	2011-06-29
25 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-09-17	2011-07-12
12 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-04-05	2011-07-18
53 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-04-14	2011-07-18
181 SHS CHARLES SCHWAB CORPORATION CMN	P	2009-01-26	2011-07-19
154 SHS PEPSICO INC CMN	P	2009-01-26	2011-07-28
13 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-05	2011-08-03
118 SHS MERCK & CO , INC CMN	P	2009-01-26	2011-08-05
107 SHS MICROSOFT CORPORATION CMN	P	2009-01-26	2011-08-05
26 SHS COCA-COLA COMPANY (THE) CMN	P	2009-01-26	2011-08-05
193 SHS STAPLES, INC CMN	P	2009-07-22	2011-08-08
128 SHS CHARLES SCHWAB CORPORATION CMN	P	2009-01-26	2011-08-09
127 SHS MORGAN STANLEY CMN	P	2009-06-19	2011-08-12
190 SHS MORGAN STANLEY CMN	P	2009-05-18	2011-08-12
102 SHS TARGET CORPORATION CMN	P	2009-01-26	2011-08-17
72 SHS STAPLES, INC CMN	P	2009-07-22	2011-08-18
33 SHS BED BATH & BEYOND INC CMN	P	2010-04-26	2011-08-18
81 SHS STAPLES, INC CMN	P	2009-07-24	2011-08-18
82 SHS STAPLES, INC CMN	P	2009-08-27	2011-08-18
14 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-04-14	2011-08-19
24 SHS SOUTHWESTERN ENERGY CO CMN	P	2010-06-02	2011-08-19

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174 SHS LOWES COMPANIES INC CMN	P	2009-01-26	2011-09-01
68 SHS XILINX INCORPORATED CMN	P	2010-01-25	2011-09-02
41 SHS MC DONALDS CORP CMN	P	2009-07-22	2011-09-09
3 SHS MC DONALDS CORP CMN	P	2009-01-26	2011-09-09
	P		
42 SHS POLO RALPH LAUREN CORPORATION CLASS A COMMON STOCK	P	2010-10-25	2011-01-05
98 SHS KOHL'S CORP (WISCONSIN) CMN	P	2010-11-05	2011-01-13
100 SHS LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2010-11-05	2011-01-14
37 SHS FREEPORT-MCMORAN COPPER & GOLD CMN	P	2010-10-12	2011-01-20
32 SHS FREEPORT-MCMORAN COPPER & GOLD CMN	P	2010-10-12	2011-01-20
99 SHS LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2010-11-05	2011-01-21
61 SHS RANGE RESOURCES CORPORATION CMN	P	2010-04-15	2011-01-21
60 SHS RANGE RESOURCES CORPORATION CMN	P	2010-05-10	2011-01-21
77 SHS ARCHER DANIELS MIDLAND CO CMN	P	2010-05-12	2011-02-02
134 SHS ARCHER DANIELS MIDLAND CO CMN	P	2010-06-16	2011-02-02
42 SHS ARCHER DANIELS MIDLAND CO CMN	P	2010-06-15	2011-02-02
35 SHS BMC SOFTWARE INC CMN	P	2010-04-29	2011-02-22
44 SHS BMC SOFTWARE INC CMN	P	2010-06-16	2011-02-22
40 SHS BMC SOFTWARE INC CMN	P	2010-06-16	2011-02-23
157 SHS WEATHERFORD INTERNATIONAL LTD CMN	P	2010-12-21	2011-02-28
43 SHS SCHLUMBERGER LTD CMN	P	2010-10-27	2011-03-01
13 SHS WEATHERFORD INTERNATIONAL LTD CMN	P	2011-01-28	2011-03-02
153 SHS WEATHERFORD INTERNATIONAL LTD CMN	P	2010-12-22	2011-03-02
3 SHS WEATHERFORD INTERNATIONAL LTD CMN	P	2010-12-21	2011-03-02
142 SHS WEATHERFORD INTERNATIONAL LTD CMN	P	2011-01-31	2011-03-02
27 SHS CLIFFS NATURAL RESOURCES INC CMN	P	2011-01-14	2011-03-11
58 SHS BAXTER INTERNATIONAL INC CMN	P	2010-05-07	2011-04-08
87 SHS YUM BRANDS, INC CMN	P	2011-01-07	2011-04-12
21 SHS YUM BRANDS, INC CMN	P	2011-01-10	2011-04-12
48 SHS NEXTERA ENERGY INC CMN	P	2010-10-14	2011-04-15

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42 SHS NEXTERA ENERGY INC CMN	P	2010-10-14	2011-04-18
128 SHS EMC CORPORATION MASS CMN	P	2010-04-30	2011-04-27
87 SHS HESS CORPORATION CMN	P	2011-02-24	2011-05-04
44 SHS HESS CORPORATION CMN	P	2011-02-24	2011-05-04
101 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2010-08-18	2011-06-22
22 SHS NIKE CLASS-B CMN CLASS B	P	2011-04-08	2011-06-22
49 SHS NIKE CLASS-B CMN CLASS B	P	2011-04-08	2011-06-23
191 SHS COMCAST CORPORATION CMN CLASS A VOTING	P	2010-08-12	2011-07-18
92 SHS PNC FINANCIAL SERVICES GROUP CMN	P	2010-09-28	2011-07-19
20 SHS PNC FINANCIAL SERVICES GROUP CMN	P	2010-10-19	2011-07-19
41 SHS PNC FINANCIAL SERVICES GROUP CMN	P	2010-10-20	2011-07-19
52 SHS PNC FINANCIAL SERVICES GROUP CMN	P	2010-12-13	2011-07-19
41 SHS CLIFFS NATURAL RESOURCES INC CMN	P	2011-01-14	2011-07-28
142 SHS CBS CORPORATION CMN CLASS B	P	2010-12-08	2011-08-03
133 SHS DOW CHEMICAL CO CMN	P	2010-10-12	2011-08-04
118 SHS CBS CORPORATION CMN CLASS B	P	2010-12-13	2011-08-05
73 SHS CBS CORPORATION CMN CLASS B	P	2010-12-08	2011-08-05
49 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-12-20	2011-08-10
232 SHS FIFTH THIRD BANCORP CMN	P	2011-07-20	2011-08-10
28 SHS SCHLUMBERGER LTD CMN	P	2010-10-27	2011-08-11
8 SHS SCHLUMBERGER LTD CMN	P	2010-11-01	2011-08-11
50 SHS CONOCOPHILLIPS CMN	P	2011-03-23	2011-08-12
35 SHS CONOCOPHILLIPS CMN	P	2011-03-28	2011-08-15
36 SHS DEVON ENERGY CORPORATION (NEW) CMN	P	2011-01-20	2011-08-15
42 SHS CONOCOPHILLIPS CMN	P	2011-03-23	2011-08-15
78 SHS BAKER HUGHES INC CMN	P	2011-05-31	2011-08-19
118 SHS BAXTER INTERNATIONAL INC CMN	P	2009-01-26	2011-01-13
30 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-02-26	2011-01-25
5 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-01-26	2011-01-25
25 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-03-27	2011-01-31

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20 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-02-26	2011-01-31
77 SHS BIOGEN IDEC INC CMN	P	2009-03-10	2011-02-16
46 SHS BIOGEN IDEC INC CMN	P	2009-01-26	2011-02-16
16 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-06-25	2011-02-24
26 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-03-27	2011-02-24
109 SHS ORACLE CORPORATION CMN	P	2009-12-28	2011-03-01
25 SHS AFLAC INCORPORATED CMN	P	2009-05-08	2011-03-01
17 SHS HONEYWELL INTL INC CMN	P	2009-02-12	2011-03-01
50 SHS BAXTER INTERNATIONAL INC CMN	P	2009-06-05	2011-03-01
67 SHS BAXTER INTERNATIONAL INC CMN	P	2009-01-26	2011-03-01
10 SHS EMERSON ELECTRIC CO CMN	P	2009-07-10	2011-03-01
87 SHS EMERSON ELECTRIC CO CMN	P	2009-11-18	2011-03-01
47 SHS HONEYWELL INTL INC CMN	P	2009-07-15	2011-03-01
39 SHS ORACLE CORPORATION CMN	P	2009-12-24	2011-03-01
101 SHS GENERAL MILLS INC CMN	P	2009-01-26	2011-03-01
45 SHS AFLAC INCORPORATED CMN	P	2009-08-26	2011-03-01
11 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-06-26	2011-03-23
18 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-05	2011-03-23
22 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-06-25	2011-03-23
144 SHS INVESCO LTD CMN	P	2009-01-26	2011-03-24
107 SHS CBS CORPORATION CMN CLASS B	P	2009-11-18	2011-03-25
146 SHS DISH NETWORK CORPORATION CMN CLASS A	P	2009-04-23	2011-03-25
7 SHS BIOGEN IDEC INC CMN	P	2009-03-10	2011-03-25
33 SHS BIOGEN IDEC INC CMN	P	2009-08-03	2011-03-25
55 SHS BIOGEN IDEC INC CMN	P	2009-08-04	2011-03-25
49 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-05	2011-04-05
47 SHS BAXTER INTERNATIONAL INC CMN	P	2009-06-05	2011-04-08
30 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-04-28
15 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-05	2011-04-28
38 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-05-04

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43 SHS THE BANK OF NY MELLON CORP CMN	P	2009-10-23	2011-05-12
136 SHS THE BANK OF NY MELLON CORP CMN	P	2009-10-28	2011-05-12
133 SHS DOW CHEMICAL CO CMN	P	2009-11-11	2011-05-17
112 SHS DOW CHEMICAL CO CMN	P	2009-09-15	2011-05-17
200 SHS SLM CORPORATION CMN	P	2009-01-26	2011-05-17
116 SHS SLM CORPORATION CMN	P	2009-01-26	2011-05-18
32 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-06-26	2011-05-25
3 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-08-13	2011-05-25
26 SHS CBS CORPORATION CMN CLASS B	P	2009-11-18	2011-06-03
106 SHS CBS CORPORATION CMN CLASS B	P	2010-03-08	2011-06-03
393 SHS NEWELL RUBBERMAID INC CMN	P	2009-09-28	2011-06-07
51 SHS PEPSICO INC CMN	P	2010-05-07	2011-06-22
55 SHS ENTERGY CORPORATION CMN	P	2009-01-26	2011-07-01
23 SHS PEPSICO INC CMN	P	2010-05-07	2011-07-08
35 SHS PEPSICO INC CMN	P	2010-07-01	2011-07-08
303 SHS COMCAST CORPORATION CMN CLASS A VOTING	P	2010-06-22	2011-07-18
252 SHS COMCAST CORPORATION CMN CLASS A VOTING	P	2010-07-02	2011-07-18
188 SHS TEXAS INSTRUMENTS INC CMN	P	2010-06-25	2011-07-21
96 SHS ENTERGY CORPORATION CMN	P	2009-01-26	2011-08-02
2 SHS CBS CORPORATION CMN CLASS B	P	2010-03-08	2011-08-03
63 SHS DOW CHEMICAL CO CMN	P	2009-11-11	2011-08-04
53 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-08-05
220 SHS BANK OF AMERICA CORP CMN	P	2009-04-13	2011-08-08
263 SHS BANK OF AMERICA CORP CMN	P	2009-05-01	2011-08-08
55 SHS PEPSICO INC CMN	P	2010-07-01	2011-08-09
120 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-02-03	2011-08-10
59 SHS STATE STREET CORPORATION (NEW) CMN	P	2009-12-15	2011-08-16
28 SHS STATE STREET CORPORATION (NEW) CMN	P	2009-05-19	2011-08-16
35 SHS OCCIDENTAL PETROLEUM CORP CMN	P	2009-08-13	2011-08-19
55 SHS NEWFIELD EXPLORATION CO CMN	P	2010-07-06	2011-08-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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5 SHS NEWFIELD EXPLORATION CO CMN	P	2009-10-06	2011-08-22
	P		
7 SHS DEVON ENERGY CORPORATION (NEW) CMN	P	2011-01-20	2011-09-13
14 SHS CLIFFS NATURAL RESOURCES INC CMN	P	2011-01-14	2011-09-13
38 SHS CLIFFS NATURAL RESOURCES INC CMN	P	2011-03-01	2011-09-13
84 SHS EQT CORPORATION CMN	P	2011-08-18	2011-09-13
194 SHS LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-05-17	2011-09-13
50 SHS EQT CORPORATION CMN	P	2011-09-01	2011-09-13
148 SHS MERCK & CO , INC CMN	P	2011-05-17	2011-09-13
185 SHS COCA-COLA ENTERPRISES, INC CMN	P	2011-06-22	2011-09-13
80 SHS THE MOSAIC COMPANY CMN	P	2011-07-08	2011-09-13
99 SHS LYONDELLBASELL INDUSTRIES N V CMN CLASS A	P	2011-08-09	2011-09-13
188 SHS GENERAL MOTORS COMPANY CMN	P	2010-11-18	2011-09-13
88 SHS CELGENE CORPORATION CMN	P	2011-05-17	2011-09-13
141 SHS EMC CORPORATION MASS CMN	P	2010-11-30	2011-09-13
105 SHS CELGENE CORPORATION CMN	P	2011-02-16	2011-09-13
122 SHS ADOBE SYSTEMS INC CMN	P	2011-04-27	2011-09-13
43 SHS ADOBE SYSTEMS INC CMN	P	2010-10-29	2011-09-13
50 SHS ADOBE SYSTEMS INC CMN	P	2010-10-28	2011-09-13
120 SHS ADOBE SYSTEMS INC CMN	P	2010-11-01	2011-09-13
66 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-11	2011-09-13
100 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-12	2011-09-13
123 SHS PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	P	2011-08-02	2011-09-13
97 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2010-11-15	2011-09-13
78 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2011-03-01	2011-09-13
35 SHS PUBLIC-SVC ENTERPRISE GROUP HOLDING CO	P	2011-08-01	2011-09-13
86 SHS WALT DISNEY COMPANY (THE) CMN	P	2011-08-16	2011-09-13
100 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-12-20	2011-09-13
384 SHS WALT DISNEY COMPANY (THE) CMN	P	2011-07-18	2011-09-13
110 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2011-05-17	2011-09-13

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
27 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-12-22	2011-09-13
60 SHS PEPSICO INC CMN	P	2011-03-01	2011-09-13
83 SHS KLA-TENCOR CORPORATION CMN	P	2011-07-26	2011-09-13
27 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-12-21	2011-09-13
62 SHS SUNTRUST BANKS INC \$1 00 PAR CMN	P	2010-12-23	2011-09-13
41 SHS SCHLUMBERGER LTD CMN	P	2011-07-08	2011-09-13
39 SHS SCHLUMBERGER LTD CMN	P	2010-11-01	2011-09-13
559 SHS FIFTH THIRD BANCORP CMN	P	2011-07-20	2011-09-13
159 SHS MAXIM INTEGRATED PRODUCTS INC CMN	P	2011-07-25	2011-09-13
91 SHS MAXIM INTEGRATED PRODUCTS INC CMN	P	2011-07-22	2011-09-13
205 SHS CONAGRA INC CMN	P	2011-08-01	2011-09-13
231 SHS GENERAL ELECTRIC CO CMN	P	2010-10-19	2011-09-13
189 SHS GENERAL ELECTRIC CO CMN	P	2010-12-23	2011-09-13
948 SHS SPRINT NEXTEL CORPORATION CMN	P	2011-03-03	2011-09-13
119 SHS ALLSTATE CORPORATION COMMON STOCK	P	2011-03-01	2011-09-13
93 SHS P G & E CORPORATION CMN	P	2010-10-12	2011-09-13
608 SHS BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-05-17	2011-09-13
744 SHS BOSTON SCIENTIFIC CORP COMMON STOCK	P	2011-03-01	2011-09-13
82 SHS P G & E CORPORATION CMN	P	2010-12-03	2011-09-13
64 SHS BOSTON PROPERTIES INC COMMON STOCK	P	2011-08-10	2011-09-13
56 SHS RANGE RESOURCES CORPORATION CMN	P	2011-08-09	2011-09-13
83 SHS RANGE RESOURCES CORPORATION CMN	P	2011-07-28	2011-09-13
337 SHS NVIDIA CORP CMN	P	2011-06-22	2011-09-13
385 SHS BANK OF AMERICA CORP CMN	P	2011-05-17	2011-09-13
161 SHS UNILEVER N V NY SHS (NEW) ADR CMN	P	2011-01-06	2011-09-13
287 SHS PPL CORPORATION CMN	P	2011-04-12	2011-09-13
144 SHS UNILEVER N V NY SHS (NEW) ADR CMN	P	2011-03-01	2011-09-13
113 SHS PPL CORPORATION CMN	P	2011-05-17	2011-09-13
214 SHS JUNIPER NETWORKS, INC CMN	P	2011-07-27	2011-09-13
85 SHS JPMORGAN CHASE & CO CMN	P	2010-12-13	2011-09-13

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149 SHS U S BANCORP CMN	P	2010-12-13	2011-09-13
68 SHS PRUDENTIAL FINANCIAL INC CMN	P	2010-10-25	2011-09-13
8 SHS GOOGLE, INC CMN CLASS A	P	2011-06-22	2011-09-13
277 SHS CBS CORPORATION CMN CLASS B	P	2011-08-08	2011-09-13
36 SHS CONOCOPHILLIPS CMN	P	2011-05-03	2011-09-13
11 SHS CONOCOPHILLIPS CMN	P	2011-03-28	2011-09-13
6 SHS GOOGLE, INC CMN CLASS A	P	2011-01-14	2011-09-13
46 SHS CONOCOPHILLIPS CMN	P	2011-03-31	2011-09-13
346 SHS LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A	P	2011-06-07	2011-09-13
91 SHS AMERICAN EXPRESS CO CMN	P	2011-02-07	2011-09-15
96 SHS DISCOVERY COMMUNICATIONS, INC CMN SERIES A	P	2011-08-24	2011-09-15
51 SHS AMGEN INC CMN	P	2010-12-20	2011-09-15
41 SHS UNION PACIFIC CORP CMN	P	2011-08-19	2011-09-15
57 SHS PVH CORP CMN	P	2011-08-08	2011-09-15
104 SHS LOWES COMPANIES INC CMN	P	2010-10-06	2011-09-15
113 SHS AVON PRODUCTS INC CMN	P	2011-07-18	2011-09-15
33 SHS NIKE CLASS-B CMN CLASS B	P	2011-03-18	2011-09-15
128 SHS AVON PRODUCTS INC CMN	P	2010-11-02	2011-09-15
38 SHS SCHLUMBERGER LTD CMN	P	2010-10-11	2011-09-15
62 SHS ST JUDE MEDICAL INC CMN	P	2010-12-02	2011-09-15
27 SHS ST JUDE MEDICAL INC CMN	P	2011-02-16	2011-09-15
37 SHS DANAHER CORPORATION CMN	P	2011-08-05	2011-09-15
56 SHS QUALCOMM INC CMN	P	2010-10-28	2011-09-15
83 SHS QUALCOMM INC CMN	P	2010-09-24	2011-09-15
66 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2011-08-09	2011-09-15
76 SHS URBAN OUTFITTERS INC CMN	P	2011-06-24	2011-09-15
131 SHS URBAN OUTFITTERS INC CMN	P	2011-04-29	2011-09-15
43 SHS URBAN OUTFITTERS INC CMN	P	2011-08-16	2011-09-15
18 SHS AMAZON COM INC CMN	P	2011-08-30	2011-09-15
68 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2010-12-10	2011-09-15



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93 SHS XILINX INCORPORATED CMN	P	2011-05-19	2011-09-15
143 SHS AMPHENOL CORP CL-A (NEW) CMN CLASS A	P	2011-03-16	2011-09-15
132 SHS URBAN OUTFITTERS INC CMN	P	2011-09-01	2011-09-15
19 SHS AMAZON COM INC CMN	P	2011-08-17	2011-09-15
109 SHS XILINX INCORPORATED CMN	P	2010-10-21	2011-09-15
59 SHS ROCKWELL AUTOMATION INC CMN	P	2011-08-05	2011-09-15
35 SHS AMPHENOL CORP CL-A (NEW) CMN CLASS A	P	2011-07-18	2011-09-15
50 SHS AMPHENOL CORP CL-A (NEW) CMN CLASS A	P	2011-04-15	2011-09-15
28 SHS AMPHENOL CORP CL-A (NEW) CMN CLASS A	P	2011-07-21	2011-09-15
117 SHS MARRIOTT INTERNATIONAL, INC CMN CLASS A	P	2011-06-15	2011-09-15
72 SHS MARRIOTT INTERNATIONAL, INC CMN CLASS A	P	2011-08-05	2011-09-15
70 SHS MARRIOTT INTERNATIONAL, INC CMN CLASS A	P	2011-08-01	2011-09-15
64 SHS MARRIOTT INTERNATIONAL, INC CMN CLASS A	P	2011-08-18	2011-09-15
45 SHS AMERICAN TOWER CORPORATION CMN CLASS A	P	2011-02-25	2011-09-15
139 SHS EMERSON ELECTRIC CO CMN	P	2011-04-25	2011-09-15
99 SHS NVIDIA CORP CMN	P	2011-05-27	2011-09-15
147 SHS NVIDIA CORP CMN	P	2011-08-03	2011-09-15
133 SHS NVIDIA CORP CMN	P	2011-08-15	2011-09-15
162 SHS NVIDIA CORP CMN	P	2011-02-18	2011-09-15
102 SHS CB RICHARD ELLIS GROUP, INC CMN CLASS A	P	2011-07-21	2011-09-15
74 SHS YUM BRANDS, INC CMN	P	2011-06-13	2011-09-15
165 SHS CB RICHARD ELLIS GROUP, INC CMN CLASS A	P	2011-06-21	2011-09-15
292 SHS CB RICHARD ELLIS GROUP, INC CMN CLASS A	P	2011-08-01	2011-09-15
104 SHS CB RICHARD ELLIS GROUP, INC CMN CLASS A	P	2011-08-23	2011-09-15
30 SHS SALESFORCE COM, INC CMN	P	2011-01-24	2011-09-15
5 SHS GOOGLE, INC CMN CLASS A	P	2011-01-26	2011-09-15
7 SHS GOOGLE, INC CMN CLASS A	P	2011-02-24	2011-09-15
31 SHS INTERCONTINENTALEXCHANGE INC CMN	P	2011-03-17	2011-09-15
18 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2011-01-14	2011-09-15
56 SHS LOWES COMPANIES INC CMN	P	2011-03-03	2011-09-15

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9 SHS CME GROUP INC CMN CLASS A	P	2010-11-04	2011-09-15
83 SHS NETAPP, INC CMN	P	2011-02-24	2011-09-15
35 SHS NETAPP, INC CMN	P	2011-02-17	2011-09-15
48 SHS NETAPP, INC CMN	P	2011-03-11	2011-09-15
50 SHS EMERSON ELECTRIC CO CMN	P	2011-08-05	2011-09-15
58 SHS EMERSON ELECTRIC CO CMN	P	2011-06-15	2011-09-15
23 SHS ONEOK INC CMN	P	2011-09-15	2011-09-29
138 SHS ONEOK INC CMN	P	2011-09-13	2011-09-29
143 SHS ONEOK INC CMN	P	2011-09-15	2011-09-30
673 SHS MEMC ELECTRONIC MATERIAL COMMON STOCK	P	2011-09-13	2011-11-03
835 SHS MEMC ELECTRONIC MATERIAL COMMON STOCK	P	2011-09-15	2011-11-03
18 SHS DRIL-QUIP, INC CMN	P	2011-09-13	2011-11-08
12 SHS AMERICAN EXPRESS CO CMN	P	2011-03-24	2011-11-08
369 SHS METLIFE, INC CMN	P	2011-09-13	2011-11-08
3 SHS AMERIPRISE FINANCIAL, INC CMN	P	2011-08-16	2011-11-08
91 SHS AMERICAN EXPRESS CO CMN	P	2011-02-07	2011-11-08
92 SHS AMERIPRISE FINANCIAL, INC CMN	P	2011-03-24	2011-11-08
50 SHS DRIL-QUIP, INC CMN	P	2011-09-13	2011-11-09
16 SHS DRIL-QUIP, INC CMN	P	2011-09-15	2011-11-10
37 SHS DRIL-QUIP, INC CMN	P	2011-09-13	2011-11-10
54 SHS DRIL-QUIP, INC CMN	P	2011-09-15	2011-11-11
54 SHS DRIL-QUIP, INC CMN	P	2011-09-15	2011-11-11
33 SHS NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	P	2011-09-13	2011-11-16
61 SHS NATIONAL OILWELL VARCO, INC COMMON STOCK CMN	P	2011-05-18	2011-11-16
166 SHS NALCO HOLDING COMPANY CMN	P	2011-09-13	2011-12-08
199 SHS NALCO HOLDING COMPANY CMN	P	2011-09-15	2011-12-08
0 27 SHS ECOLAB INC CMN	P	2011-12-21	2011-12-21
51 SHS BOEING COMPANY CMN	P	2009-01-26	2011-09-13
105 SHS MERCK & CO , INC CMN	P	2009-12-17	2011-09-13
44 SHS MERCK & CO , INC CMN	P	2009-12-16	2011-09-13

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101 SHS MERCK & CO ,INC CMN	P	2010-03-26	2011-09-13
178 SHS MERCK & CO ,INC CMN	P	2010-02-23	2011-09-13
100 SHS MERCK & CO ,INC CMN	P	2010-06-28	2011-09-13
148 SHS EMC CORPORATION MASS CMN	P	2010-04-30	2011-09-13
190 SHS EMC CORPORATION MASS CMN	P	2010-07-23	2011-09-13
108 SHS ILLINOIS TOOL WORKS CMN	P	2010-08-19	2011-09-13
102 SHS GENERAL MILLS INC CMN	P	2010-01-22	2011-09-13
63 SHS GENERAL MILLS INC CMN	P	2009-01-26	2011-09-13
70 SHS GENERAL MILLS INC CMN	P	2010-04-28	2011-09-13
108 SHS GENERAL MILLS INC CMN	P	2010-04-29	2011-09-13
30 SHS AMERICAN ELECTRIC POWER INC CMN	P	2010-06-15	2011-09-13
27 SHS PEPSICO INC CMN	P	2010-07-01	2011-09-13
111 SHS AMERICAN ELECTRIC POWER INC CMN	P	2010-06-10	2011-09-13
114 SHS AMERICAN ELECTRIC POWER INC CMN	P	2010-06-16	2011-09-13
26 SHS AMERICAN ELECTRIC POWER INC CMN	P	2009-04-07	2011-09-13
40 SHS FRANKLIN RESOURCES INC CMN	P	2009-01-26	2011-09-13
191 SHS MARSH & MCLENNAN CO INC CMN	P	2009-09-10	2011-09-13
108 SHS JOHNSON CONTROLS INC CMN	P	2009-04-17	2011-09-13
150 SHS GENERAL ELECTRIC CO CMN	P	2009-11-24	2011-09-13
109 SHS JOHNSON CONTROLS INC CMN	P	2010-05-18	2011-09-13
43 SHS FRANKLIN RESOURCES INC CMN	P	2010-06-18	2011-09-13
216 SHS GENERAL ELECTRIC CO CMN	P	2009-12-03	2011-09-13
179 SHS GENERAL ELECTRIC CO CMN	P	2010-04-23	2011-09-13
147 SHS GENERAL ELECTRIC CO CMN	P	2010-04-06	2011-09-13
184 SHS GENERAL ELECTRIC CO CMN	P	2010-02-22	2011-09-13
305 SHS GENERAL ELECTRIC CO CMN	P	2009-12-17	2011-09-13
30 SHS AFLAC INCORPORATED CMN	P	2009-08-27	2011-09-13
1927 SHS SPRINT NEXTEL CORPORATION CMN	P	2009-01-26	2011-09-13
33 SHS AFLAC INCORPORATED CMN	P	2009-08-26	2011-09-13
75 SHS AFLAC INCORPORATED CMN	P	2010-06-16	2011-09-13

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738 SHS SPRINT NEXTEL CORPORATION CMN	P	2009-07-28	2011-09-13
151 SHS ALLSTATE CORPORATION COMMON STOCK	P	2010-06-23	2011-09-13
111 SHS CVS CAREMARK CORPORATION CMN	P	2010-05-07	2011-09-13
185 SHS CVS CAREMARK CORPORATION CMN	P	2010-03-04	2011-09-13
122 SHS P G & E CORPORATION CMN	P	2010-08-19	2011-09-13
76 SHS STATE STREET CORPORATION (NEW) CMN	P	2010-05-18	2011-09-13
15 SHS STATE STREET CORPORATION (NEW) CMN	P	2009-12-15	2011-09-13
180 SHS HARTFORD FINANCIAL SRVCS GROUP CMN	P	2010-03-26	2011-09-13
123 SHS HARTFORD FINANCIAL SRVCS GROUP CMN	P	2010-06-23	2011-09-13
47 SHS BANK OF AMERICA CORP CMN	P	2009-05-08	2011-09-13
279 SHS BANK OF AMERICA CORP CMN	P	2009-12-07	2011-09-13
512 SHS BANK OF AMERICA CORP CMN	P	2009-05-01	2011-09-13
324 SHS BANK OF AMERICA CORP CMN	P	2009-06-02	2011-09-13
186 SHS UNILEVER N V NY SHS (NEW) ADR CMN	P	2009-01-26	2011-09-13
50 SHS HONEYWELL INTL INC CMN	P	2009-10-15	2011-09-13
44 SHS HONEYWELL INTL INC CMN	P	2009-10-14	2011-09-13
66 SHS HONEYWELL INTL INC CMN	P	2009-07-15	2011-09-13
118 SHS EVEREST RE GROUP LTD CMN	P	2009-01-26	2011-09-13
98 SHS HONEYWELL INTL INC CMN	P	2010-03-09	2011-09-13
611 SHS FORD MOTOR COMPANY CMN	P	2009-10-28	2011-09-13
573 SHS JPMORGAN CHASE & CO CMN	P	2009-01-26	2011-09-13
177 SHS U S BANCORP CMN	P	2010-06-18	2011-09-13
109 SHS JPMORGAN CHASE & CO CMN	P	2009-09-30	2011-09-13
190 SHS U S BANCORP CMN	P	2010-05-24	2011-09-13
176 SHS U S BANCORP CMN	P	2010-06-21	2011-09-13
133 SHS PRUDENTIAL FINANCIAL INC CMN	P	2009-06-03	2011-09-13
2 SHS PRUDENTIAL FINANCIAL INC CMN	P	2009-01-26	2011-09-13
59 SHS PRUDENTIAL FINANCIAL INC CMN	P	2009-12-23	2011-09-13
189 SHS SLM CORPORATION CMN	P	2009-01-26	2011-09-13
404 SHS SLM CORPORATION CMN	P	2010-01-19	2011-09-13

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175 SHS THE TRAVELERS COMPANIES, INC CMN	P	2009-01-26	2011-09-13
12 SHS GOOGLE, INC CMN CLASS A	P	2010-03-31	2011-09-13
240 SHS WELLPOINT, INC CMN	P	2009-01-26	2011-09-13
85 SHS DISH NETWORK CORPORATION CMN CLASS A	P	2009-04-23	2011-09-13
210 SHS DISH NETWORK CORPORATION CMN CLASS A	P	2009-10-28	2011-09-13
135 SHS DISH NETWORK CORPORATION CMN CLASS A	P	2009-05-19	2011-09-13
24 SHS DISH NETWORK CORPORATION CMN CLASS A	P	2009-05-20	2011-09-13
241 SHS INVESCO LTD CMN	P	2009-01-26	2011-09-13
62 SHS ORACLE CORPORATION CMN	P	2009-04-17	2011-09-15
6 SHS APPLE, INC CMN	P	2009-06-26	2011-09-15
45 SHS THERMO FISHER SCIENTIFIC INC CMN	P	2009-05-04	2011-09-15
93 SHS AMGEN INC CMN	P	2010-06-02	2011-09-15
67 SHS JOHNSON & JOHNSON CMN	P	2009-05-18	2011-09-15
48 SHS JOHNSON & JOHNSON CMN	P	2009-02-13	2011-09-15
76 SHS JOHNSON & JOHNSON CMN	P	2009-07-02	2011-09-15
49 SHS JOHNSON & JOHNSON CMN	P	2009-12-08	2011-09-15
54 SHS LOWES COMPANIES INC CMN	P	2009-01-26	2011-09-15
8 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-04-23	2011-09-15
41 SHS NORTHERN TRUST CORP CMN	P	2010-01-05	2011-09-15
218 SHS LOWES COMPANIES INC CMN	P	2009-03-09	2011-09-15
57 SHS PEPSICO INC CMN	P	2009-02-18	2011-09-15
216 SHS LOWES COMPANIES INC CMN	P	2009-09-25	2011-09-15
44 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-07-02	2011-09-15
116 SHS LOWES COMPANIES INC CMN	P	2010-06-21	2011-09-15
77 SHS PROCTER & GAMBLE COMPANY (THE) CMN	P	2009-06-22	2011-09-15
46 SHS PEPSICO INC CMN	P	2009-01-26	2011-09-15
56 SHS PEPSICO INC CMN	P	2009-10-06	2011-09-15
55 SHS NIKE CLASS-B CMN CLASS B	P	2010-01-04	2011-09-15
253 SHS AVON PRODUCTS INC CMN	P	2009-03-13	2011-09-15
56 SHS PEPSICO INC CMN	P	2009-10-15	2011-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
53 SHS PEPSICO INC CMN	P	2009-11-25	2011-09-15
101 SHS NIKE CLASS-B CMN CLASS B	P	2009-10-21	2011-09-15
34 SHS NIKE CLASS-B CMN CLASS B	P	2009-11-13	2011-09-15
136 SHS AVON PRODUCTS INC CMN	P	2010-04-13	2011-09-15
65 SHS ECOLAB INC CMN	P	2010-02-11	2011-09-15
205 SHS SCHLUMBERGER LTD CMN	P	2009-01-26	2011-09-15
52 SHS ECOLAB INC CMN	P	2010-03-04	2011-09-15
91 SHS SCHLUMBERGER LTD CMN	P	2009-03-13	2011-09-15
60 SHS SCHLUMBERGER LTD CMN	P	2010-02-22	2011-09-15
118 SHS ST JUDE MEDICAL INC CMN	P	2009-10-22	2011-09-15
35 SHS SCHLUMBERGER LTD CMN	P	2010-07-29	2011-09-15
161 SHS ORACLE CORPORATION CMN	P	2009-04-03	2011-09-15
3 SHS ST JUDE MEDICAL INC CMN	P	2009-05-18	2011-09-15
107 SHS ST JUDE MEDICAL INC CMN	P	2009-07-22	2011-09-15
31 SHS ST JUDE MEDICAL INC CMN	P	2010-06-29	2011-09-15
220 SHS DANAHER CORPORATION CMN	P	2009-01-26	2011-09-15
312 SHS QUALCOMM INC CMN	P	2009-01-26	2011-09-15
88 SHS CHARLES SCHWAB CORPORATION CMN	P	2009-01-26	2011-09-15
42 SHS COCA-COLA COMPANY (THE) CMN	P	2009-01-26	2011-09-15
3 SHS THERMO FISHER SCIENTIFIC INC CMN	P	2009-01-26	2011-09-15
66 SHS APPLE, INC CMN	P	2009-01-26	2011-09-15
33 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2009-11-13	2011-09-15
75 SHS QUALCOMM INC CMN	P	2009-09-10	2011-09-15
70 SHS QUALCOMM INC CMN	P	2010-02-04	2011-09-15
228 SHS XILINX INCORPORATED CMN	P	2010-01-25	2011-09-15
79 SHS QUALCOMM INC CMN	P	2009-09-25	2011-09-15
126 SHS GILEAD SCIENCES CMN	P	2009-01-26	2011-09-15
196 SHS CHARLES SCHWAB CORPORATION CMN	P	2009-09-17	2011-09-15
83 SHS COCA-COLA COMPANY (THE) CMN	P	2009-03-09	2011-09-15
85 SHS TEVA PHARMACEUTICAL IND LTD ADS	P	2009-01-26	2011-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
58 SHS GILEAD SCIENCES CMN	P	2009-07-24	2011-09-15
127 SHS BED BATH & BEYOND INC CMN	P	2010-04-26	2011-09-15
43 SHS BAXTER INTERNATIONAL INC CMN	P	2009-12-09	2011-09-15
46 SHS GILEAD SCIENCES CMN	P	2009-10-28	2011-09-15
69 SHS BED BATH & BEYOND INC CMN	P	2010-06-30	2011-09-15
183 SHS XILINX INCORPORATED CMN	P	2010-02-25	2011-09-15
15 SHS BAXTER INTERNATIONAL INC CMN	P	2009-06-03	2011-09-15
36 SHS BAXTER INTERNATIONAL INC CMN	P	2009-12-02	2011-09-15
55 SHS BAXTER INTERNATIONAL INC CMN	P	2010-01-20	2011-09-15
107 SHS HALLIBURTON COMPANY CMN	P	2010-04-30	2011-09-15
24 SHS HALLIBURTON COMPANY CMN	P	2009-08-11	2011-09-15
99 SHS HALLIBURTON COMPANY CMN	P	2009-12-08	2011-09-15
426 SHS AMERICAN TOWER CORPORATION CMN CLASS A	P	2009-01-26	2011-09-15
390 SHS CROWN CASTLE INTL CORP COMMON STOCK	P	2009-01-26	2011-09-15
11 SHS COSTCO WHOLESALE CORPORATION CMN	P	2009-03-04	2011-09-15
77 SHS COSTCO WHOLESALE CORPORATION CMN	P	2009-12-04	2011-09-15
25 SHS EQUINIX INC CMN	P	2010-05-06	2011-09-15
80 SHS COSTCO WHOLESALE CORPORATION CMN	P	2009-04-28	2011-09-15
60 SHS COSTCO WHOLESALE CORPORATION CMN	P	2009-03-10	2011-09-15
34 SHS COSTCO WHOLESALE CORPORATION CMN	P	2010-08-02	2011-09-15
99 SHS TARGET CORPORATION CMN	P	2009-01-26	2011-09-15
19 SHS EQUINIX INC CMN	P	2010-05-04	2011-09-15
20 SHS EQUINIX INC CMN	P	2010-06-09	2011-09-15
15 SHS EQUINIX INC CMN	P	2010-05-10	2011-09-15
17 SHS GOOGLE, INC CMN CLASS A	P	2009-01-26	2011-09-15
6 SHS GOOGLE, INC CMN CLASS A	P	2010-05-20	2011-09-15
9 SHS GOOGLE, INC CMN CLASS A	P	2010-01-27	2011-09-15
6 SHS GOOGLE, INC CMN CLASS A	P	2010-07-08	2011-09-15
3 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-02-05	2011-09-15
11 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-05-28	2011-09-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
16 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-05-14	2011-09-15
10 SHS MASTERCARD INCORPORATED CMN CLASS A	P	2010-07-21	2011-09-15
235 SHS VIACOM INC CMN CLASS B	P	2009-01-26	2011-09-15
6 SHS CME GROUP INC CMN CLASS A	P	2009-04-24	2011-09-15
55 SHS MC DONALDS CORP CMN	P	2009-11-13	2011-09-15
82 SHS NORTHERN TRUST CORP CMN	P	2009-10-30	2011-09-15
120 SHS NETAPP, INC CMN	P	2010-07-01	2011-09-15
509 SHS WESTERN UNION COMPANY (THE) CMN	P	2009-01-26	2011-09-15
29 SHS VIACOM INC CMN CLASS B	P	2010-07-30	2011-09-15
13 SHS CME GROUP INC CMN CLASS A	P	2009-01-26	2011-09-15
16 SHS CME GROUP INC CMN CLASS A	P	2009-09-08	2011-09-15
11 SHS CME GROUP INC CMN CLASS A	P	2010-08-30	2011-09-15
115 SHS NORTHERN TRUST CORP CMN	P	2009-10-22	2011-09-15
73 SHS HALLIBURTON COMPANY CMN	P	2010-06-02	2011-09-15
29 SHS MC DONALDS CORP CMN	P	2009-07-22	2011-09-15
17 SHS APPLE, INC CMN	P	2009-06-26	2011-10-11
CAPITAL GAINS DIVIDENDS	P		



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,049		2,118	931
6,719		6,894	-175
3,726		5,404	-1,678
3,020		2,970	50
3,437		3,824	-387
1,941		2,398	-457
757		1,190	-433
4,857		4,524	333
5,586		5,162	424
2,423		2,792	-369
3,946		2,223	1,723
1,712		1,767	-55
444		262	182
2,054		2,443	-389
5,770		4,762	1,008
8,854		7,769	1,085
3,790		2,237	1,553
3,790		2,718	1,072
1,320		1,030	290
2,892		2,271	621
2,428		1,912	516
4,197		3,968	229
300		289	11
322		234	88
3,701		2,619	1,082
2,982		2,055	927
3,694		3,301	393
2,691		3,614	-923
2,097		2,795	-698
3,195		2,904	291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
379		353	26
4,469		3,197	1,272
3,020		2,472	548
5,782		2,824	2,958
3,043		1,330	1,713
3,778		3,999	-221
1,425		1,272	153
1,748		1,576	172
3,067		2,339	728
5,130		2,633	2,497
2,563		1,978	585
566		514	52
2,499		2,191	308
2,718		2,612	106
9,899		7,900	1,999
4,254		2,861	1,393
3,707		3,277	430
2,744		1,903	841
1,714		1,125	589
2,572		4,101	-1,529
1,628		1,847	-219
2,137		3,557	-1,420
3,197		5,184	-1,987
5,243		3,493	1,750
975		1,530	-555
1,689		1,592	97
1,097		1,730	-633
1,111		1,795	-684
507		578	-71
870		938	-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,480		3,635	-155
2,034		1,654	380
3,518		2,426	1,092
257		175	82
			0
4,553		3,996	557
5,040		5,195	-155
3,629		2,821	808
4,075		3,503	572
3,544		3,030	514
3,477		2,793	684
2,780		3,113	-333
2,734		2,870	-136
2,714		2,109	605
4,723		3,571	1,152
1,480		1,120	360
1,742		1,405	337
2,190		1,648	542
1,930		1,498	432
3,715		3,552	163
4,019		2,968	1,051
263		297	-34
3,099		3,475	-376
61		68	-7
2,876		3,295	-419
2,346		2,347	-1
3,121		2,622	499
4,360		4,300	60
1,052		1,035	17
2,644		2,659	-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,294		2,327	-33
3,600		2,477	1,123
6,727		7,340	-613
3,402		3,684	-282
6,472		6,114	358
1,805		1,710	95
3,973		3,810	163
4,540		3,459	1,081
5,131		4,808	323
1,115		1,060	55
2,286		2,152	134
2,900		3,136	-236
3,720		3,563	157
3,767		2,552	1,215
4,048		3,938	110
2,817		2,130	687
1,743		1,312	431
896		1,327	-431
2,270		2,875	-605
2,084		1,933	151
596		559	37
3,305		3,920	-615
2,351		2,806	-455
2,500		2,959	-459
2,822		3,293	-471
4,282		5,841	-1,559
5,942		6,864	-922
2,892		1,587	1,305
482		290	192
2,397		1,451	946

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,917		1,058	859
5,177		3,528	1,649
3,093		2,246	847
1,663		1,042	621
2,703		1,510	1,193
3,540		2,715	825
1,468		904	564
976		532	444
2,629		2,378	251
3,523		3,897	-374
594		309	285
5,169		3,690	1,479
2,698		1,522	1,176
1,267		971	296
3,722		3,019	703
2,643		1,852	791
1,104		712	392
1,319		787	532
2,209		1,433	776
3,726		1,721	2,005
2,673		1,456	1,217
3,570		1,931	1,639
502		321	181
2,366		1,588	778
3,943		2,665	1,278
3,711		2,142	1,569
2,529		2,235	294
2,096		1,385	711
1,048		656	392
2,510		1,755	755

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,223		1,266	-43
3,868		3,766	102
4,873		3,548	1,325
4,104		2,906	1,198
3,208		1,949	1,259
1,865		1,130	735
3,299		2,070	1,229
309		211	98
707		354	353
2,883		1,559	1,324
5,694		6,162	-468
3,520		3,267	253
3,760		4,400	-640
1,603		1,473	130
2,440		2,140	300
7,203		5,569	1,634
5,990		4,381	1,609
5,945		4,535	1,410
6,295		7,680	-1,385
53		29	24
1,917		1,681	236
2,948		2,447	501
1,609		2,405	-796
1,923		2,310	-387
3,369		3,363	6
2,194		2,822	-628
2,044		2,351	-307
970		1,218	-248
2,880		2,465	415
2,547		2,646	-99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
232		231	1
			0
452		452	0
1,093		1,217	-124
2,965		3,667	-702
4,998		4,521	477
6,278		7,549	-1,271
2,975		3,044	-69
4,715		5,472	-757
4,934		5,411	-477
5,638		5,637	1
3,204		3,016	188
4,174		6,681	-2,507
5,354		5,307	47
3,113		3,029	84
6,388		5,647	741
3,076		4,094	-1,018
1,084		1,211	-127
1,261		1,404	-143
3,026		3,383	-357
2,516		3,348	-832
3,812		5,044	-1,232
4,074		3,983	91
3,698		4,927	-1,229
2,973		3,924	-951
1,159		1,148	11
2,718		2,882	-164
1,902		2,708	-806
12,134		14,827	-2,693
2,092		3,081	-989

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
514		778	-264
3,646		3,816	-170
3,129		3,663	-534
514		752	-238
1,179		1,785	-606
2,983		3,671	-688
2,838		2,726	112
5,825		6,927	-1,102
3,875		3,734	141
2,218		2,158	60
4,928		5,226	-298
3,555		3,704	-149
2,909		3,412	-503
3,365		4,136	-771
2,996		3,790	-794
3,779		4,325	-546
3,814		4,129	-315
4,667		5,660	-993
3,332		3,923	-591
6,393		6,132	261
3,563		3,038	525
5,280		5,443	-163
4,891		5,376	-485
2,724		4,559	-1,835
4,914		4,905	9
8,021		7,493	528
4,395		4,359	36
3,158		3,173	-15
4,616		5,277	-661
2,791		3,513	-722



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,425		3,913	-488
3,246		3,634	-388
4,244		3,927	317
6,446		6,014	432
2,350		2,706	-356
718		882	-164
3,183		3,737	-554
3,002		3,710	-708
5,558		6,065	-507
4,447		4,083	364
3,847		3,705	142
2,872		2,936	-64
3,718		3,512	206
3,736		3,490	246
2,079		2,350	-271
2,434		3,127	-693
2,904		2,567	337
2,757		3,711	-954
2,808		2,411	397
2,698		2,490	208
1,175		1,275	-100
1,719		1,649	70
3,003		2,497	506
4,451		3,709	742
2,527		2,494	33
1,930		2,169	-239
3,326		4,167	-841
1,092		1,179	-87
4,043		3,784	259
2,604		3,597	-993

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,947		3,348	-401
6,428		7,897	-1,469
3,351		3,422	-71
4,268		3,769	499
3,454		2,740	714
3,581		3,696	-115
1,573		1,753	-180
2,247		2,642	-395
1,259		1,411	-152
3,384		3,943	-559
2,082		2,148	-66
2,024		2,249	-225
1,851		1,757	94
2,470		2,405	65
6,373		8,137	-1,764
1,534		1,904	-370
2,278		2,164	114
2,061		1,769	292
2,511		4,111	-1,600
1,543		2,412	-869
3,983		3,923	60
2,496		4,123	-1,627
4,418		6,403	-1,985
1,573		1,434	139
3,982		3,853	129
2,720		3,094	-374
3,808		4,261	-453
3,824		3,886	-62
6,242		4,241	2,001
1,119		1,466	-347

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,430		2,647	-217
3,129		4,210	-1,081
1,319		1,843	-524
1,810		2,288	-478
2,292		2,325	-33
2,659		3,047	-388
1,560		1,573	-13
9,362		9,259	103
9,574		9,777	-203
3,421		4,617	-1,196
4,245		5,803	-1,558
1,232		1,126	106
616		546	70
12,640		11,343	1,297
145		135	10
4,669		4,083	586
4,463		5,524	-1,061
3,338		3,126	212
1,061		1,020	41
2,454		2,313	141
3,668		3,444	224
3,604		3,444	160
2,339		2,122	217
4,323		4,113	210
388			388
465			465
15			15
3,221		2,198	1,023
3,345		3,958	-613
1,402		1,662	-260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,218		3,819	-601
5,671		6,497	-826
3,186		3,566	-380
3,268		2,864	404
4,195		3,844	351
4,691		4,552	139
3,772		3,642	130
2,330		1,883	447
2,589		2,459	130
3,994		3,838	156
1,112		998	114
1,640		1,651	-11
4,114		3,598	516
4,225		3,836	389
964		681	283
4,571		2,100	2,471
5,403		4,447	956
3,137		1,874	1,263
2,309		2,409	-100
3,166		3,218	-52
4,914		3,997	917
3,325		3,513	-188
2,755		3,395	-640
2,263		2,726	-463
2,832		2,984	-152
4,694		4,834	-140
1,033		1,234	-201
6,841		4,983	1,858
1,136		1,358	-222
2,582		3,317	-735

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,620		3,380	-760
3,802		4,596	-794
4,061		3,861	200
6,769		6,448	321
4,957		5,483	-526
2,509		3,095	-586
495		598	-103
3,145		5,044	-1,899
2,149		3,016	-867
333		679	-346
1,974		4,446	-2,472
3,622		4,498	-876
2,292		3,717	-1,425
5,677		4,358	1,319
2,237		1,866	371
1,969		1,641	328
2,953		2,137	816
9,350		7,843	1,507
4,385		4,142	243
6,226		4,377	1,849
18,817		14,646	4,171
4,069		4,152	-83
3,579		4,812	-1,233
4,368		4,569	-201
4,046		4,198	-152
6,348		5,436	912
95		52	43
2,816		3,069	-253
2,499		1,842	657
5,341		4,585	756

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,592		6,710	1,882
6,366		6,811	-445
15,828		9,940	5,888
2,149		1,124	1,025
5,308		3,634	1,674
3,413		2,330	1,083
607		422	185
4,034		2,881	1,153
1,789		1,170	619
2,359		851	1,508
2,452		1,672	780
5,237		5,185	52
4,321		3,721	600
3,095		2,770	325
4,901		4,260	641
3,160		3,148	12
1,079		1,128	-49
502		392	110
1,524		2,148	-624
4,358		3,034	1,324
3,585		2,950	635
4,318		4,538	-220
2,759		2,264	495
2,319		2,619	-300
4,828		3,904	924
2,893		2,360	533
3,522		3,428	94
4,840		3,623	1,217
5,450		4,341	1,109
3,522		3,502	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,334		3,334	0
8,889		6,625	2,264
2,992		2,179	813
2,929		4,346	-1,417
3,369		2,715	654
15,149		8,687	6,462
2,696		2,219	477
6,725		3,596	3,129
4,434		3,608	826
5,134		4,065	1,069
2,586		2,120	466
4,646		3,123	1,523
131		112	19
4,655		3,957	698
1,349		1,139	210
10,219		6,186	4,033
16,732		11,376	5,356
1,074		1,270	-196
2,979		1,818	1,161
163		105	58
25,953		5,978	19,975
1,264		1,721	-457
4,022		3,466	556
3,754		2,682	1,072
7,225		5,546	1,679
4,237		3,525	712
5,017		6,151	-1,134
2,391		3,505	-1,114
5,886		3,244	2,642
3,255		3,576	-321

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,310		2,787	-477
7,565		6,125	1,440
2,407		2,389	18
1,832		1,988	-156
4,110		2,608	1,502
5,799		4,679	1,120
840		738	102
2,015		2,011	4
3,079		3,358	-279
4,276		3,261	1,015
959		545	414
3,956		2,699	1,257
23,384		13,173	10,211
17,078		7,825	9,253
915		453	462
6,407		4,540	1,867
2,396		2,334	62
6,657		3,811	2,846
4,993		2,399	2,594
2,829		1,943	886
5,117		3,390	1,727
1,821		1,920	-99
1,917		1,673	244
1,438		1,477	-39
9,249		5,564	3,685
3,264		2,875	389
4,896		4,863	33
3,264		2,711	553
1,040		660	380
3,815		2,228	1,587



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,549		3,481	2,068
3,468		2,018	1,450
10,887		3,619	7,268
1,620		1,416	204
4,847		3,434	1,413
3,049		4,090	-1,041
4,524		4,537	-13
8,388		7,416	972
1,343		949	394
3,509		2,237	1,272
4,319		4,367	-48
2,969		2,795	174
4,275		6,140	-1,865
2,917		1,714	1,203
2,555		1,716	839
6,808		2,410	4,398
4			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			931
			-175
			-1,678
			50
			-387
			-457
			-433
			333
			424
			-369
			1,723
			-55
			182
			-389
			1,008
			1,085
			1,553
			1,072
			290
			621
			516
			229
			11
			88
			1,082
			927
			393
			-923
			-698
			291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			26
			1,272
			548
			2,958
			1,713
			-221
			153
			172
			728
			2,497
			585
			52
			308
			106
			1,999
			1,393
			430
			841
			589
			-1,529
			-219
			-1,420
			-1,987
			1,750
			-555
			97
			-633
			-684
			-71
			-68

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-155
			380
			1,092
			82
			0
			557
			-155
			808
			572
			514
			684
			-333
			-136
			605
			1,152
			360
			337
			542
			432
			163
			1,051
			-34
			-376
			-7
			-419
			-1
			499
			60
			17
			-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-33
			1,123
			-613
			-282
			358
			95
			163
			1,081
			323
			55
			134
			-236
			157
			1,215
			110
			687
			431
			-431
			-605
			151
			37
			-615
			-455
			-459
			-471
			-1,559
			-922
			1,305
			192
			946

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			859
			1,649
			847
			621
			1,193
			825
			564
			444
			251
			-374
			285
			1,479
			1,176
			296
			703
			791
			392
			532
			776
			2,005
			1,217
			1,639
			181
			778
			1,278
			1,569
			294
			711
			392
			755

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			102
			1,325
			1,198
			1,259
			735
			1,229
			98
			353
			1,324
			-468
			253
			-640
			130
			300
			1,634
			1,609
			1,410
			-1,385
			24
			236
			501
			-796
			-387
			6
			-628
			-307
			-248
			415
			-99

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			0
			0
			-124
			-702
			477
			-1,271
			-69
			-757
			-477
			1
			188
			-2,507
			47
			84
			741
			-1,018
			-127
			-143
			-357
			-832
			-1,232
			91
			-1,229
			-951
			11
			-164
			-806
			-2,693
			-989



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-264
			-170
			-534
			-238
			-606
			-688
			112
			-1,102
			141
			60
			-298
			-149
			-503
			-771
			-794
			-546
			-315
			-993
			-591
			261
			525
			-163
			-485
			-1,835
			9
			528
			36
			-15
			-661
			-722

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-488
			-388
			317
			432
			-356
			-164
			-554
			-708
			-507
			364
			142
			-64
			206
			246
			-271
			-693
			337
			-954
			397
			208
			-100
			70
			506
			742
			33
			-239
			-841
			-87
			259
			-993

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-401
			-1,469
			-71
			499
			714
			-115
			-180
			-395
			-152
			-559
			-66
			-225
			94
			65
			-1,764
			-370
			114
			292
			-1,600
			-869
			60
			-1,627
			-1,985
			139
			129
			-374
			-453
			-62
			2,001
			-347

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-217
			-1,081
			-524
			-478
			-33
			-388
			-13
			103
			-203
			-1,196
			-1,558
			106
			70
			1,297
			10
			586
			-1,061
			212
			41
			141
			224
			160
			217
			210
			388
			465
			15
			1,023
			-613
			-260

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-601
			-826
			-380
			404
			351
			139
			130
			447
			130
			156
			114
			-11
			516
			389
			283
			2,471
			956
			1,263
			-100
			-52
			917
			-188
			-640
			-463
			-152
			-140
			-201
			1,858
			-222
			-735

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-760
			-794
			200
			321
			-526
			-586
			-103
			-1,899
			-867
			-346
			-2,472
			-876
			-1,425
			1,319
			371
			328
			816
			1,507
			243
			1,849
			4,171
			-83
			-1,233
			-201
			-152
			912
			43
			-253
			657
			756

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,882
			-445
			5,888
			1,025
			1,674
			1,083
			185
			1,153
			619
			1,508
			780
			52
			600
			325
			641
			12
			-49
			110
			-624
			1,324
			635
			-220
			495
			-300
			924
			533
			94
			1,217
			1,109
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			2,264
			813
			-1,417
			654
			6,462
			477
			3,129
			826
			1,069
			466
			1,523
			19
			698
			210
			4,033
			5,356
			-196
			1,161
			58
			19,975
			-457
			556
			1,072
			1,679
			712
			-1,134
			-1,114
			2,642
			-321



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-477
			1,440
			18
			-156
			1,502
			1,120
			102
			4
			-279
			1,015
			414
			1,257
			10,211
			9,253
			462
			1,867
			62
			2,846
			2,594
			886
			1,727
			-99
			244
			-39
			3,685
			389
			33
			553
			380
			1,587

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,068
			1,450
			7,268
			204
			1,413
			-1,041
			-13
			972
			394
			1,272
			-48
			174
			-1,865
			1,203
			839
			4,398
			4


**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

RICHARD BARTON
SARAH BARTON

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AEESP FOUNDATION27236 EDENFIELD DR WESLEY CHAPEL, FL 33544	N/A	PUBLIC	GENERAL AND UNRESTRICTED	500
AMERICAN FRIENDS OF WHISTLERC/O WELLS FARGO BANK 999 3RD AVENUE LOBBY LEVEL SEATTLE,WA 98104	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
AMERICANS FOR UNFPA370 LEXINGTON SUITE 702 NEWYORK,NY 10017	N/A	PUBLIC	GENERAL AND UNRESTRICTED	750
AMIGOS SEATTLE CHAPTERPO BOX 30129 SEATTLE,WA 98113	N/A	PUBLIC	GENERAL AND UNRESTRICTED	100
BIG BROTHERS & BIG SISTERS OF KING & PIERCE COUNTY1600 SOUTH GRAHAM ST SEATTLE,WA 98108	N/A	PUBLIC	GENERAL AND UNRESTRICTED	2,500
CITY YEAR SEATTLE2203 23RD AVE S SEATTLE,WA 98144	N/A	PUBLIC	GENERAL AND UNRESTRICTED	2,500
COLLEGE ACCESS NOWPO BOX 22999 SEATTLE,WA 98122	N/A	PUBLIC	GENERAL AND UNRESTRICTED	500
COMMON SENSE MEDIA650 TOWNSEND SUITE 375 SAN FRANCISCO,CA 94103	N/A	PUBLIC	GENERAL AND UNRESTRICTED	22,500
EPIPHANY SCHOOL3611 E DENNY WAY SEATTLE,WA 98122	N/A	PUBLIC	GENERAL AND UNRESTRICTED	14,000
FRED HUTCHISON CANCER RESEARCH CENTER1100 FAIRVIEW AVENUE NORTH SEATTLE,WA 98109	N/A	PUBLIC	GENERAL AND UNRESTRICTED	5,000
HEART & STROKE - ESP TORONTO CD (MC123)222 QUEEN STREET SUITE 1402 OTTAWA,OTTAWA, ON K1P 5V9 CA	N/A	PUBLIC	GENERAL AND UNRESTRICTED	257
HENRY GALLERY ASSOCIATION 15TH AVE NE NE 41ST ST SEATTLE,WA 98195	N/A	PUBLIC	GENERAL AND UNRESTRICTED	40,250
LAKESIDE SCHOOL13510 1ST ANENUE NE SEATTLE,WA 98125	N/A	PUBLIC	GENERAL AND UNRESTRICTED	20,000
LEAGUE OF EDUCATION VOTERS 2734 WESTLAKE AVE N SAN FRANCISCO,WA 98109	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
LEV FOUNDATIONPO BOX 3831 BEVERLY HILLS,CA 90212	N/A	PUBLIC	GENERAL AND UNRESTRICTED	25,000
MAX CURE FOUNDATION1230 AVENUE OF THE AMERICAS 7TH FLOOR NEWYORK,NY 10020	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
MEDIC ONE FOUNDATION325 NINTH AVE MS 359747 SEATTLE,WA 98104	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
METHOW CONSERVANCY315 RIVERSIDE PO BOX 71 WINTHROP,WA 98862	N/A	PUBLIC	GENERAL AND UNRESTRICTED	5,000
NEIGHBORCARE HEALTH SEATTLE WA (SMB9)905 SPRUCE STREET SUITE 300 SEATTLE,WA 98104	N/A	PUBLIC	GENERAL AND UNRESTRICTED	500
NEW FUTURES630 SW 149TH ST SUITE B BURIE,N,WA 98166	N/A	PUBLIC	GENERAL AND UNRESTRICTED	11,000
PLYMOUTH HOUSING GROUP2113 3RD AVENUE SEATTLE,WA 98121	N/A	PUBLIC	GENERAL AND UNRESTRICTED	500
RAINIER SCHOLARS2100 24TH AVENUE SOUTH SEATTLE,WA 98144	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
RECOVERY CAFE2022 BOREN AVE SEATTLE,WA 98109	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
SEATTLE POLICE FOUNDATION PO BOX 456 SEATTLE,WA 98111	N/A	PUBLIC	GENERAL AND UNRESTRICTED	25,000
SEATTLE SYMPHONYPO BOX 21906 SEATTLE,WA 98111	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
SEATTLE UNITED FOOTBALL CLUB SEATTLE YOUTH SOCCER ASSO520 NORTHEAST RAVENNA BOULEVARD SEATTLE,WA 98115	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,250
SEATTLES PROGRESSIVE PRESCHOOL1710 11TH AVENUE SEATTLE,WA 98122	N/A	PUBLIC	GENERAL AND UNRESTRICTED	700
STAND FOR CHILDREN LEADERSHIP CTR3240 EASTLAKE AVENUE EAST SUITE 100 SEATTLE,WA 98102	N/A	PUBLIC	GENERAL AND UNRESTRICTED	3,500
STANFORD UNIVERSITY551 SERRA MALL STANFORD,CA 94305	N/A	PUBLIC	GENERAL AND UNRESTRICTED	20,000
SUMMER SEARCH2100 24TH AVE SOUTH SUITE 350 SEATTLE,WA 98144	N/A	PUBLIC	GENERAL AND UNRESTRICTED	35,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TEMPLE BRAIR JESHURUN1025 S ORANGE AVE SHORT HILLS,NJ 07078	N/A	PUBLIC	GENERAL AND UNRESTRICTED	2,000
THE CENTER FOR WOODEN BOATS 1010 VALLEY STREET SEATTLE,WA 98109	N/A	PUBLIC	GENERAL AND UNRESTRICTED	200
TOWN HALL SEATTLE1119 8TH AVENUE SEATTLE,WA 98101	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
TREEHOUSE2100 24TH AVE SOUTH SUITE 200 SEATTLE,WA 98144	N/A	PUBLIC	GENERAL AND UNRESTRICTED	500
UNITED WAY OF KING COUNTY720 SECOND AVE SEATTLE,WA 98104	N/A	PUBLIC	GENERAL AND UNRESTRICTED	5,000
UNIVERSITY OF WASHINGTON FOUNDATION4333 BROOKLYN AVE NE BOX 359505 SEATTLE,WA 98195	N/A	PUBLIC	GENERAL AND UNRESTRICTED	2,500
WILLIAMS COLLEGE880 MAIN STREET WILLIAMSTOWN,MA 01267	N/A	PUBLIC	GENERAL AND UNRESTRICTED	50,000
WOMEN'S FUNDING ALLIANCE603 STEWART SUITE 207 SEATTLE,WA 98101	N/A	PUBLIC	GENERAL AND UNRESTRICTED	5,500
WOODLAND PARK ZOO601 N 59TH STREET SEATTLE,WA 98103	N/A	PUBLIC	GENERAL AND UNRESTRICTED	1,000
YEAR UP210 SPEAR STREET SAN FRANCISCO,CA 94105	N/A	PUBLIC	GENERAL AND UNRESTRICTED	20,000
<b>Total . . . . .</b>  <b>3a</b>				331,007

**TY 2011 Accounting Fees Schedule****Name:** BARTON FAMILY FOUNDATION

C/O PETERSON SULLIVAN LLP

**EIN:** 91-6563332

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEE	3,246	1,623		1,623

# TY 2011 Investments Corporate Stock Schedule

**Name:** BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP

**EIN:** 91-6563332

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GS BROKERAGE	1,471,319	1,214,387
GS AVC	1,301,462	1,384,154

# TY 2011 Investments Government Obligations Schedule

**Name:** BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP

**EIN:** 91-6563332

<b>US Government Securities - End of Year Book Value:</b>	1,154,634
<b>US Government Securities - End of Year Fair Market Value:</b>	1,131,118
<b>State &amp; Local Government Securities - End of Year Book Value:</b>	0
<b>State &amp; Local Government Securities - End of Year Fair Market Value:</b>	0



## TY 2011 Other Expenses Schedule

**Name:** BARTON FAMILY FOUNDATION

C/O PETERSON SULLIVAN LLP

**EIN:** 91-6563332

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMINISTRATIVE FEES	10,000	0		10,000
MISCELLANEOUS EXPENSE	97	0		97

TY 2011 Other Increases Schedule

**Name:** BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP  
**EIN:** 91-6563332

Description	Amount
INVESTMENT INCOME BOOK TAX DIFFERENCE	318

TY 2011 Other Professional Fees Schedule

**Name:** BARTON FAMILY FOUNDATION  
C/O PETERSON SULLIVAN LLP  
**EIN:** 91-6563332

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT SERVICES	12,149	12,149		0

**TY 2011 Taxes Schedule****Name:** BARTON FAMILY FOUNDATION

C/O PETERSON SULLIVAN LLP

**EIN:** 91-6563332

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	3,943	3,943		0