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Form **990-PF**

**Return of Private Foundation**  
 or Section 4947(a)(1) Nonexempt Charitable Trust  
 Treated as a Private Foundation

OMB No 1545-0052

**2011**

Department of the Treasury  
 Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation: **BERT L AND LULU M NEAL FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address): **Wells Fargo Bank N.A. - PO Box 53456 MAC S4101-22G**

Room/suite: \_\_\_\_\_

City or town, state, and ZIP code: **Phoenix AZ 85072-3456**

**A Employer identification number** 87-6161267

**B Telephone number (see instructions)** (888) 730-4933

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
 2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization.  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 205,721**

**J** Accounting method.  Cash  Accrual  
 Other (specify) \_\_\_\_\_

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)				
<b>2</b>	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
<b>3</b>	Interest on savings and temporary cash investments	0	0		
<b>4</b>	Dividends and interest from securities	3,263	3,263		
<b>5 a</b>	Gross rents		0		
<b>b</b>	Net rental income or (loss)	0			
<b>6 a</b>	Net gain or (loss) from sale of assets not on line 10	4,080			
<b>b</b>	Gross sales price for all assets on line 6a	36,339			
<b>7</b>	Capital gain net income (from Part IV, line 2)		4,080		
<b>8</b>	Net short-term capital gain			0	
<b>9</b>	Income modifications				
<b>10 a</b>	Gross sales less returns and allowances	0			
<b>b</b>	Less Cost of goods sold	0			
<b>c</b>	Gross profit or (loss) (attach schedule)	0			
<b>11</b>	Other income (attach schedule)	0	0	0	
<b>12</b>	<b>Total.</b> Add lines 1 through 11	7,343	7,343	0	
<b>13</b>	Compensation of officers, directors, trustees, etc.	2,680	1,040		1,640
<b>14</b>	Other employee salaries and wages				
<b>15</b>	Pension plans, employee benefits				
<b>16 a</b>	Legal fees (attach schedule)	0	0	0	0
<b>b</b>	Accounting fees (attach schedule)	0	0	0	0
<b>c</b>	Other professional fees (attach schedule)	0	0	0	0
<b>17</b>	Interest				
<b>18</b>	Taxes (attach schedule) (see instructions)	91	64	0	0
<b>19</b>	Depreciation (attach schedule) and depletion	0	0	0	
<b>20</b>	Occupancy				
<b>21</b>	Travel, conferences, and meetings				
<b>22</b>	Printing and publications				
<b>23</b>	Other expenses (attach schedule)	0	0	0	0
<b>24</b>	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	2,771	1,104	0	1,640
<b>25</b>	Contributions, gifts, grants paid	10,000			10,000
<b>26</b>	<b>Total expenses and disbursements.</b> Add lines 24 and 25	12,771	1,104	0	11,640
<b>27</b>	Subtract line 26 from line 12				
<b>a</b>	Excess of revenue over expenses and disbursements	-5,428			
<b>b</b>	Net investment income (if negative, enter -0-)		6,239		
<b>c</b>	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

(HTA)

Form **990-PF** (2011)

ENVELOPE MARK DATE APR 27 2012

MAY 03 2012

14 n/e

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	0	0	
	2	Savings and temporary cash investments	7,874	7,785	7,785
	3	Accounts receivable	0		
		Less: allowance for doubtful accounts	0	0	0
	4	Pledges receivable	0		
		Less: allowance for doubtful accounts	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule)	0		
		Less: allowance for doubtful accounts	0	0	0
	8	Inventories for sale or use	0	0	
	9	Prepaid expenses and deferred charges		0	
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	0	0	0
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis	0		
	Less: accumulated depreciation (attach schedule)	0	0	0	
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	189,488	184,104	197,936	
14	Land, buildings, and equipment, basis	0			
	Less: accumulated depreciation (attach schedule)	0	0	0	
15	Other assets (describe)	0	0	0	
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	197,362	191,889	205,721	
Liabilities	17	Accounts payable and accrued expenses	0	0	
	18	Grants payable			
	19	Deferred revenue	0	0	
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe)	0	0	
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	197,362	191,889	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	<b>Total net assets or fund balances</b> (see instructions)	197,362	191,889		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	197,362	191,889		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	197,362
2	Enter amount from Part I, line 27a	2	-5,428
3	Other increases not included in line 2 (itemize) <b>MUTUAL FUND AND CTF INCOME ADDITIONS</b>	3	114
4	Add lines 1, 2, and 3	4	192,048
5	Decreases not included in line 2 (itemize) <b>See Attached Statement</b>	5	159
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6	191,889

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Statement				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>	0	0	0	
<b>b</b>	0	0	0	
<b>c</b>	0	0	0	
<b>d</b>	0	0	0	
<b>e</b>	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>	0	0		0
<b>b</b>	0	0		0
<b>c</b>	0	0		0
<b>d</b>	0	0		0
<b>e</b>	0	0		0
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				<b>2</b> 4,080
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8				<b>3</b> 0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2010	11,587	206,748	0.056044	
2009	8,656	178,797	0.048412	
2008	12,323	227,763	0.054104	
2007	12,206	270,878	0.045061	
2006	11,680	253,878	0.046006	
<b>2</b> Total of line 1, column (d)				<b>2</b> 0.249627
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years				<b>3</b> 0.049925
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5				<b>4</b> 223,430
<b>5</b> Multiply line 4 by line 3				<b>5</b> 11,155
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)				<b>6</b> 62
<b>7</b> Add lines 5 and 6				<b>7</b> 11,217
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.				<b>8</b> 11,640

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	62
<b>c</b> All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>2</b>	0
<b>3</b> Add lines 1 and 2	<b>3</b>	62
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	<b>4</b>	
<b>5</b> Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	<b>5</b>	62
<b>6</b> Credits/Payments		
<b>a</b> 2011 estimated tax payments and 2010 overpayment credited to 2011	<b>6a</b>	23
<b>b</b> Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b> Total credits and payments Add lines 6a through 6d	<b>7</b>	23
<b>8</b> Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	39
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	0
<b>11</b> Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV.</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ UT _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>		X
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶ N/A</b>	X		
14	The books are in care of <b>▶ WELLS FARGO BANK N.A</b> Telephone no. <b>▶ 888-730-4933</b> Located at <b>▶ PO Box 53456 MAC S4101-22G Phoenix AZ</b> ZIP+4 <b>▶ 85072-3456</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year <b>▶ 15 N/A</b>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country <b>▶</b>		Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>▶</b> <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  
 Organizations relying on a current notice regarding disaster assistance check here  **5b** N/A

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No **6b** X

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  
 If "Yes" to 6b, file Form 8870  Yes  No **7b** N/A

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED STATEMENT		00	0	0
		.00	0	0
		.00	0	0
		.00	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000** 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE .....		0
.....		0
.....		0
.....		0
.....		0
.....		0
<b>Total number of others receiving over \$50,000 for professional services</b> . . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
<b>1</b> NONE .....	
.....	
<b>2</b> .....	
.....	
<b>3</b> .....	
.....	
<b>4</b> .....	
.....	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> NONE .....	
.....	
<b>2</b> .....	
.....	
All other program-related investments. See instructions	
<b>3</b> NONE .....	
.....	0
<b>Total. Add lines 1 through 3</b> . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	218,460
<b>b</b>	Average of monthly cash balances	<b>1b</b>	8,372
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	226,832
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	226,832
<b>4</b>	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	3,402
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	223,430
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	11,172

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	11,172
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	62
<b>b</b>	Income tax for 2011 (This does not include the tax from Part VI)	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	62
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	11,110
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	11,110
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	11,110

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	11,640
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	11,640
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	62
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	11,578

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				11,110
<b>2</b> Undistributed income, if any, as of the end of 2011.				
<b>a</b> Enter amount for 2010 only			318	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006	NONE			
<b>b</b> From 2007	NONE			
<b>c</b> From 2008	NONE			
<b>d</b> From 2009	NONE			
<b>e</b> From 2010	NONE			
<b>f</b> Total of lines 3a through e	0			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 11,640				
<b>a</b> Applied to 2010, but not more than line 2a			318	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)	0			
<b>d</b> Applied to 2011 distributable amount				11,110
<b>e</b> Remaining amount distributed out of corpus	212			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	212			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions		0		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	212			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007	0			
<b>b</b> Excess from 2008	0			
<b>c</b> Excess from 2009	0			
<b>d</b> Excess from 2010	0			
<b>e</b> Excess from 2011	212			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	Prior 3 years		
			(c) 2009	(d) 2008	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0				0
<b>b</b> 85% of line 2a	0	0	0	0	0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	0				0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0				0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
- NONE
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
- NONE
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d
- a** The name, address, and telephone number of the person to whom applications should be addressed:
- 
- b** The form in which applications should be submitted and information and materials they should include:
- 
- c** Any submission deadlines:
- 
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See Attached Statement				
<b>Total</b>				▶ <b>3a</b> 10,000
<b>b</b> Approved for future payment NONE				
<b>Total</b>				▶ <b>3b</b> 0





BERT L AND LULU M NEAL FOUNDATION

87-6161267 Page 1 of 1

**Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name  
SEE ATTACHED  
Street

City	State	Zip Code	Foreign Country
------	-------	----------	-----------------

Relationship	Foundation Status
NONE	501(c)(3) PUBLIC CHARITY

Purpose of grant/contribution	Amount
GENERAL SUPPORT GRANT	10,000

Name

Street

City	State	Zip Code	Foreign Country
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Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country
------	-------	----------	-----------------

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country
------	-------	----------	-----------------

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country
------	-------	----------	-----------------

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

Name

Street

City	State	Zip Code	Foreign Country
------	-------	----------	-----------------

Relationship	Foundation Status

Purpose of grant/contribution	Amount
	0

## YEAR END STATEMENT

**ACCOUNT:** BERT L & LULU M NEAL FOUNDATION  
**ACCOUNT:** 26690  
**FYE:** Calendar Year End 12/31/2011  
**EIN:** 87-6161267

SECURITY	ACCOUNT NUMBER	CUSIP	ASSET NAME	UNITS	FED COST	FMV
Savings & Temp Ir	026690	VP700046	WF ADV CASH INVT MM FD-INSTL #451	7,785.35	7,785.35	7,785.35
Invest - Other	026690	592905103	MET WEST TOTAL RETURN CL M #312	652.97	6,170.82	6,771.26
Invest - Other	026690	779547108	T ROWE PRICE EQUITY INCOME #71	619.69	14,779.61	14,290.05
Invest - Other	026690	00141M770	INVESCO SMALL CAP GRWTH FD-A #1564	179.49	3,971.90	4,973.75
Invest - Other	026690	47103C480	JANUS OVERSEAS FUND CL A #1254	311.13	10,424.15	9,747.73
Invest - Other	026690	38142V209	GOLDMAN SACHS SMALL CAP VALUE I #651	125.08	3,208.97	5,105.89
Invest - Other	026690	885215657	THORNBURG INTERNATIONAL VALUE-A #139	472.29	11,233.86	11,363.30
Invest - Other	026690	31428Q507	FEDERATED TOTAL RETURN BOND-IS #288	902.25	9,302.20	10,177.43
Invest - Other	026690	94975J599	WELLS FARGO ADV TO RT BD FD-ADM #943	1,304.04	15,508.48	16,782.94
Invest - Other	026690	94975J797	WF ADV EQUITY VALUE FUND-ADM #1093	1,199.32	15,281.38	14,547.74
Invest - Other	026690	411511652	HARBOR INTERNATIONAL FUND-ADMI #2211	208.05	8,590.04	10,843.77
Invest - Other	026690	4812A1373	JP MORGAN SMALL CAP EQUITY-S #367	271.46	6,786.03	9,721.09
Invest - Other	026690	949915698	WF ADV GROWTH FUND ADM #3608	387.80	9,645.36	13,886.97
Invest - Other	026690	949915631	WF ADV CAP GROWTH FD ADMIN CL #3603	1,791.71	27,642.86	27,592.27
Invest - Other	026690	56062X641	MAINSTAY L/C GROWTH I #1483	1,967.87	12,276.62	13,912.85
Invest - Other	026690	55273H445	MFS VALUE FUND-W #2400	1,262.58	29,281.54	28,218.71
				<b>191,889.17</b>	<b>205,721.10</b>	

Account Name: BERT L AND LULU M NEAL FOUNDATION

Account Number: 026690

EIN: 87-6161267

Utah Attorney General does not require and prefers that we do not furnish a copy of the form 990PF to their office.

**BERT L. AND LULU M. NEAL FOUNDATION**

**ACCOUNT # 026690**

**TAX YEAR 2011**

ORGANIZATION	AMOUNT	ADDRESS			
Ability Found	\$ 1,000 00	5236 S Greenpine Drive	Salt Lake City	UT	84123
Boys and Girls Clubs of Weber-Davis	\$ 1,000.00	127 24th Street	Ogden	UT	84401
Catholic Community Services	\$ 1,000.00	2504 F Avenue	Ogden	UT	84401
McKay-Dee Hospital Foundation	\$ 500 00	4401 Harnson Blvd	Ogden	UT	84403
Ogden School Foundation	\$ 500 00	1950 Monroe Blvd	Ogden	UT	84401
Ogden Symphony Ballet Association	\$ 500 00	638 26th Street	Ogden	UT	84401
Ogden Weber Applied Technology College Foundation	\$ 1,500 00	200 North Washington Blvd	Ogden	UT	84404
Special Olympics Utah	\$ 1,000 00	243 East 400 South Suite 111	Salt Lake City	UT	84126-0308
The Happy Factory	\$ 500 00	896 North 2175 West Circle	Cedar City	UT	84721
Treehouse children's Museum	\$ 500 00	347 22nd Street	Ogden	UT	84401
Union Station Foundation	\$ 500 00	2501 Wall Avenue	Ogden	UT	84401
Weber School District Foundation	\$ 1,000 00	5320 S Adams Avenue Pkwy	Ogden	UT	84405
Weber State University Foundation	\$ 500 00	4018 University Circle	Ogden	UT	84408
<b>TOTAL</b>	<b>\$ 10,000 00</b>				

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss	Totals	
															Gross Sales	Cost, Other Basis and Expenses
		Long Term CG Distributions	Amount	1,888												
		Short Term CG Distributions	Amount	0												
1	X	INVESCO SMALL CAP GRWTH	00141M770			12/5/2003		11/29/2011	531	490				41		
2	X	FEDERATED TOTAL RETURN	31428Q507			11/22/2010		8/16/2011	514	511				3		
3	X	FEDERATED TOTAL RETURN	31428Q507			2/16/2011		8/16/2011	770	749				21		
4	X	FEDERATED TOTAL RETURN	31428Q507			2/16/2011		11/29/2011	434	426				8		
5	X	FEDERATED TOTAL RETURN	31428Q507			9/17/2009		11/29/2011	315	303				12		
6	X	GOLDMAN SACHS SMALL CA	38142V209			7/6/2009		11/29/2011	464	306				158		
7	X	HARBOR INTERNATIONAL FU	411511652			9/19/2011		11/29/2011	479	478				1		
8	X	JANUS OVERSEAS FUND CL	47103C480			5/17/2011		11/29/2011	469	469				0		
9	X	JP MORGAN SMALL CAP EQU	4812A1373			7/6/2009		11/17/2011	404	277				127		
10	X	JP MORGAN SMALL CAP EQU	4812A1373			7/6/2009		11/29/2011	570	397				173		
11	X	MFS VALUE FUND-W #2400	55273H445			3/18/2008		5/17/2011	357	356				1		
12	X	MFS VALUE FUND-W #2400	55273H445			3/18/2008		11/29/2011	1,223	1,385				-162		
13	X	MAINSTAY L/C GROWTH I #14	56062X641			3/18/2008		2/16/2011	531	531				442		
14	X	MAINSTAY L/C GROWTH I #14	56062X641			3/18/2008		11/17/2011	258	233				25		
15	X	MAINSTAY L/C GROWTH I #14	56062X641			3/18/2008		11/29/2011	684	633				51		
16	X	MET WEST TOTAL RETURN C	592905103			11/22/2010		11/29/2011	365	376				-11		
17	X	MET WEST TOTAL RETURN C	592905103			6/16/2011		11/29/2011	194	197				-3		
18	X	MET WEST TOTAL RETURN C	592905103			6/12/2007		11/29/2011	18	16				2		
19	X	T ROWE PRICE EQUITY INCO	779547108			3/16/2011		11/29/2011	550	601				-51		
20	X	WELLS FARGO ADV TO RT BI	94975J599			1/20/2010		6/16/2011	1,170	1,171				-1		
21	X	WELLS FARGO ADV TO RT BI	94975J599			11/22/2010		6/16/2011	156	159				-3		
22	X	WELLS FARGO ADV TO RT BI	94975J599			9/17/2009		6/16/2011	6	6				0		
23	X	WELLS FARGO ADV TO RT BI	94975J599			8/18/2009		8/16/2011	1,019	986				33		
24	X	WELLS FARGO ADV TO RT BI	94975J599			9/17/2009		8/16/2011	277	271				6		
25	X	WELLS FARGO ADV TO RT BI	94975J599			7/7/2009		8/16/2011	16	15				1		
26	X	WELLS FARGO ADV TO RT BI	94975J599			7/7/2009		11/29/2011	406	386				20		
27	X	WELLS FARGO ADV TO RT BI	94975J599			2/16/2011		11/29/2011	1,037	980				57		
28	X	WF ADV EQUITY VALUE FUND	94975J797			3/18/2008		2/16/2011	784	780				4		
29	X	WELLS FARGO ADV INTR VA	94984B181			12/26/2008		3/16/2011	273	171				102		
30	X	WELLS FARGO ADV INTR VA	94984B181			7/7/2009		3/16/2011	506	339				167		
31	X	WELLS FARGO ADV INTR VA	94984B181			3/18/2008		3/16/2011	14,570	13,846				724		
32	X	WF ADV CAP GROWTH FD AD	949915631			12/16/2005		2/16/2011	858	840				18		
33	X	WF ADV CAP GROWTH FD AD	949915631			12/16/2005		11/17/2011	612	684				-72		
34	X	WF ADV CAP GROWTH FD AD	949915631			12/16/2005		11/29/2011	1,050	1,200				-150		
35	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		5/17/2011	897	602				295		
36	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		9/19/2011	805	560				245		
37	X	WF ADV GROWTH FUND ADN	949915698			9/11/2008		11/29/2011	879	618				261		

**Line 18 (990-PF) - Taxes**

		91	64	0	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PY FED EXCISE TAX	4			
2	ESTIMATED EXCISE TAX PAID	23			
3	FOREIGN TAX WITHHELD	64	64		
4					
5					
6					
7					
8					
9					
10					

**Part III (990-PF) - Changes in Net Assets or Fund Balances**

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**Line 3 - Other increases not included in Part III, Line 2**

1	MUTUAL FUND AND CTF INCOME ADDITIONS	1	114
2	Total	2	114

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**Line 5 - Decreases not included in Part III, Line 2**

1	COST BASIS ADJUSTMENT	1	74
2	MUTUAL FUND AND CTF INCOME SUBTRACTION	2	85
3	Total	3	159

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Totals	Amount		Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F.M.V. as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
							1,888	0								
1	INVESTCO SMALL CAP GROWTH FD-A #1500141M770			12/25/2003	11/29/2011			531	0	490	41					2,192
2	FEDERATED TOTAL RETURN BOND-S #31428Q507			11/22/2010	8/16/2011			514	0	511	3					3
3	FEDERATED TOTAL RETURN BOND-S #31428Q507			2/16/2011	8/16/2011			770	0	749	21					21
4	FEDERATED TOTAL RETURN BOND-S #31428Q507			2/16/2011	11/29/2011			434	0	426	8					8
5	FEDERATED TOTAL RETURN BOND-S #31428Q507			9/17/2009	11/29/2011			315	0	303	12					12
6	GOLDMAN SACHS SMALL CAP VALUE I #38142V209			7/6/2009	11/29/2011			464	0	306	158					158
7	HARBOR INTERNATIONAL FUND-ADM I #411511652			9/19/2011	11/29/2011			479	0	478	1					1
8	JANUS OVERSEAS FUND CL A #1254	47103C480		5/17/2011	11/29/2011			469	0	469	0					0
9	JP MORGAN SMALL CAP EQUITY-S #364812A1373			7/6/2009	11/17/2011			404	0	277	127					127
10	JP MORGAN SMALL CAP EQUITY-S #364812A1373			7/6/2009	11/29/2011			570	0	397	173					173
11	MFS VALUE FUND-W #2400	55273H445		3/18/2008	5/17/2011			357	0	356	1					1
12	MFS VALUE FUND-W #2400	55273H445		3/18/2008	11/29/2011			1,223	0	1,385	-162					-162
13	MAINSTAY L/C GROWTH I #1483	56062X641		3/18/2008	2/16/2011			531	0	442	89					89
14	MAINSTAY L/C GROWTH I #1483	56062X641		3/18/2008	11/17/2011			258	0	233	25					25
15	MAINSTAY L/C GROWTH I #1483	56062X641		3/18/2008	11/29/2011			684	0	633	51					51
16	MET WEST TOTAL RETURN CL M #312	592905103		11/22/2010	11/29/2011			365	0	376	-11					-11
17	MET WEST TOTAL RETURN CL M #312	592905103		6/16/2011	11/29/2011			194	0	197	-3					-3
18	MET WEST TOTAL RETURN CL M #312	592905103		6/12/2007	11/29/2011			18	0	16	2					2
19	T ROWE PRICE EQUITY INCOME #71	779547108		3/16/2011	11/29/2011			550	0	601	-51					-51
20	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			1/20/2010	6/16/2011			1,170	0	1,171	-1					-1
21	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			11/22/2010	6/16/2011			156	0	159	-3					-3
22	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			9/17/2009	6/16/2011			6	0	6	0					0
23	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			8/18/2009	8/16/2011			1,019	0	966	33					33
24	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			9/17/2009	8/16/2011			277	0	271	6					6
25	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			7/7/2009	8/16/2011			16	0	15	1					1
26	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			2/16/2011	11/29/2011			1,037	0	980	57					57
27	WELLS FARGO ADV TO RT BD FD-ADM #94975J599			2/16/2011	11/29/2011			784	0	780	4					4
28	WELLS FARGO ADV INTR VA FD-IST #5194984B181			12/26/2008	3/16/2011			273	0	171	102					102
29	WELLS FARGO ADV INTR VA FD-IST #5194984B181			7/7/2009	3/16/2011			506	0	339	167					167
30	WELLS FARGO ADV INTR VA FD-IST #5194984B181			3/18/2008	3/16/2011			14,570	0	13,846	724					724
31	WELLS FARGO ADV INTR VA FD-IST #5194984B181			12/16/2005	2/16/2011			858	0	840	18					18
32	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		12/16/2005	11/17/2011			612	0	684	-72					-72
33	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		12/16/2005	11/29/2011			1,050	0	1,200	-150					-150
34	WF ADV CAP GROWTH FD ADMIN CL #3	949915631		9/11/2008	5/17/2011			897	0	602	295					295
35	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	9/19/2011			805	0	560	245					245
36	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	11/29/2011			879	0	618	261					261
37	WF ADV GROWTH FUND ADM #3608	949915698		9/11/2008	11/29/2011			879	0	618	261					261



**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	1	Amount
1 Credit from prior year return . . . . .		1	0
2 First quarter estimated tax payment . . . . .		2	
3 Second quarter estimated tax payment . . . . .	5/11/2011	3	23
4 Third quarter estimated tax payment . . . . .		4	
5 Fourth quarter estimated tax payment . . . . .		5	
6 Other payments . . . . .		6	0
7 Total . . . . .		7	23

**Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income**

1	Distributable amounts for 2010 that remained undistributed at the beginning of the 2011 tax year	1	318
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	318