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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE THELMA B & THOMAS P HART FOUNDATION		A Employer identification number 86-0881550
Number and street (or P O box number if mail is not delivered to street address) 4795 CAUGHLIN PARKWAY, SUITE 100		B Telephone number 775-333-0300
City or town, state, and ZIP code RENO, NV 89519		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 28,596,309.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		10,673.	10,673.		STATEMENT 1
4 Dividends and interest from securities		560,410.	560,410.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		122,004.			
b Gross sales price for all assets on line 6a		4,318,178.			
7 Capital gain net income (from Part IV, line 2)			122,004.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		77,351.	77,351.		STATEMENT 3
12 Total. Add lines 1 through 11		770,438.	770,438.		
13 Compensation of officers, directors, trustees, etc.		216,000.	86,400.		129,600.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		322.	322.		0.
b Accounting fees STMT 5		23,075.	16,475.		6,600.
c Other professional fees STMT 6		129,395.	129,395.		0.
17 Interest					
18 Taxes STMT 7		6,636.	1,329.		0.
19 Depreciation and depletion		838.	838.		
20 Occupancy		4,954.	2,477.		2,477.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		5,345.	2,795.		2,550.
24 Total operating and administrative expenses. Add lines 13 through 23		386,565.	240,031.		141,227.
25 Contributions, gifts, grants paid		1,519,150.			1,519,150.
26 Total expenses and disbursements. Add lines 24 and 25		1,905,715.	240,031.		1,660,377.
27 Subtract line 26 from line 12		<1,135,277.>			
a Excess of revenue over expenses and disbursements			530,407.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,654,798.	3,598,022.	3,598,022.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	32,406.		
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,076.		
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	8,253,819.	8,143,242.	9,374,278.
	c Investments - corporate bonds STMT 10	984,671.	840,657.	849,203.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	11,777,823.	14,992,462.	14,773,814.	
14 Land, buildings, and equipment basis ▶ 5,547.				
Less accumulated depreciation STMT 12 ▶ 4,555.	1,830.	992.	992.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	28,710,423.	27,575,375.	28,596,309.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ ACCRUED EXCISE TAX)	0.	231.	
23 Total liabilities (add lines 17 through 22)	0.	231.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	28,710,423.	27,575,144.	
30 Total net assets or fund balances	28,710,423.	27,575,144.		
31 Total liabilities and net assets/fund balances	28,710,423.	27,575,375.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,710,423.
2 Enter amount from Part I, line 27a	2	<1,135,277.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	27,575,146.
5 Decreases not included in line 2 (itemize) ▶ ROUNDING	5	2.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	27,575,144.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 4,318,178.		4,196,174.	122,004.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e			122,004.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 122,004.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	1,434,559.	29,775,304.	.048179
2009	1,711,567.	28,437,155.	.060188
2008	1,676,481.	32,862,137.	.051016
2007	1,506,310.	35,857,823.	.042008
2006	862,527.	31,743,661.	.027172
2 Total of line 1, column (d)			2 .228563
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .045713
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 30,163,332.
5 Multiply line 4 by line 3			5 1,378,856.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,304.
7 Add lines 5 and 6			7 1,384,160.
8 Enter qualifying distributions from Part XII, line 4			8 1,660,377.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	5,304.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	5,304.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	5,304.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	5,076.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	5,576.	
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	3.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	269.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input checked="" type="checkbox"/> 269. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MARK W KNOBEL Telephone no ► 775-333-0300 Located at ► 4795 CAUGHLIN PARKWAY, SUITE 100, RENO, NV ZIP+4 ► 89519			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CRAIG KARRASCH 4795 CAUGHLIN PARKWAY, SUITE 100 RENO, NV 89519	TRUSTEE 5.00	108,000.	0.	0.
MARK KNOBEL 4795 CAUGHLIN PARKWAY, SUITE 100 RENO, NV 89519	TRUSTEE 10.00	108,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	26,065,764.
b	Average of monthly cash balances	1b	4,555,533.
c	Fair market value of all other assets	1c	1,375.
d	Total (add lines 1a, b, and c)	1d	30,622,672.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	30,622,672.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	459,340.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,163,332.
6	Minimum investment return. Enter 5% of line 5	6	1,508,167.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,508,167.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	5,304.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	5,304.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,502,863.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,502,863.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,502,863.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,660,377.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,660,377.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,304.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,655,073.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				1,502,863.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			1,204,602.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 1,660,377.				
a Applied to 2010, but not more than line 2a			1,204,602.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				455,775.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				1,047,088.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

N/A

►

☐ 4942(i)(3) or ☐ 4942(i)(5)

[illegible]

2011.03060 THE THELMA B & THOMAS P HAR 25508 1

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALLIANCE WITH WASHOE COUNTY MEDICAL SOCIETY 3660 BAKER LANE RENO, NV 89509	NONE	PUBLIC CHARITY	OPERATING SUPPORT	1,500.
ALS OF NEVADA 6370 WEST FLAMINGO, SUITE 3 LAS VEGAS, NV 89103	NONE	PUBLIC CHARITY	GENERAL OPERATING	2,500.
ALZHEIMER'S ASSOCIATION NORTHERN NEVADA CHAPTER 705 SOUTH WELLS AVENUE RENO, NV 89502	NONE	PUBLIC CHARITY	COMMUNITY EDUCATION	5,000.
ANIMAL ARK P O BOX 60057 RENO, NV 89506	NONE	PUBLIC CHARITY	EXHIBIT; EDUCATION COORDINATOR	30,000.
ARTOWN 300 EAST SECOND STREET, SUITE 1500 RENO, NV 89509	NONE	PUBLIC CHARITY	SUMMER FESTIVAL	5,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	1,519,150.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue						
a							
b							
c							
d							
e							
f							
g	Fees and contracts from government agencies						
2	Membership dues and assessments						
3	Interest on savings and temporary cash investments			14	10,673.		
4	Dividends and interest from securities			14	560,410.		
5	Net rental income or (loss) from real estate						
a	Debt-financed property						
b	Not debt-financed property						
6	Net rental income or (loss) from personal property						
7	Other investment income			14	77,351.		
8	Gain or (loss) from sales of assets other than inventory			18	122,004.		
9	Net income or (loss) from special events						
10	Gross profit or (loss) from sales of inventory						
11	Other revenue						
a							
b							
c							
d							
e							
12	Subtotal. Add columns (b), (d), and (e)		0.		770,438.		0.
13	Total. Add line 12, columns (b), (d), and (e)					13	770,438.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ATHLETIC ASSOCIATION OF THE UNIVERSITY OF NEVADA LEGACY HALL MS/232 RENO, NV 89557	NONE	PUBLIC CHARITY	EDUCATIONAL FUND	25,000.
ATHLETIC ASSOCIATION OF THE UNIVERSITY OF NEVADA LEGACY HALL MS/232 RENO, NV 89557	NONE	PUBLIC CHARITY	FUNDRAISING EVENT	10,000.
BISHOP MUSEUM 1525 BERNICE STREET HONOLULU, HI 96817	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN/HAWAIIAN HALL	50,000.
BOY SCOUTS NEVADA AREA COUNCIL 1745 S WELLS AVE RENO, NV 89502	NONE	PUBLIC CHARITY	OPERATING SUPPORT	15,000.
BOYS AND GIRLS CLUB OF TRUCKEE MEADOWS 2680 EAST NINTH STREET RENO, NV 89512	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50,000.
BOYS AND GIRLS CLUB OF TRUCKEE MEADOWS 2680 EAST NINTH STREET RENO, NV 89512	NONE	PUBLIC CHARITY	FERNLEY CLUB-OPERATIONAL SUPPORT	25,000.
CAMP LOTSAFUN 3660 BAKER LANE #103 RENO, NV 89509	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000.
CANINE COMPANIONS FOR INDEPENDENCE P O BOX 446 SANTA ROSA, CA 95402	NONE	PUBLIC CHARITY	TEAM TRAINING SUPPORT	25,200.
CARE CHEST OF SIERRA NEVADA 7910 NORTH VIRGINIA STREET RENO, NV 89506	NONE	PUBLIC CHARITY	EMERGENCY PRESCRIPTION PROGRAM	25,000.
CHILDRENS CABINET 1090 SOUTH ROCK BLVD RENO, NV 89502	NONE	PUBLIC CHARITY	WOLVERINE'S TOURNAMENT	4,000.
Total from continuation sheets				1,475,150.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDRENS CABINET 1090 SOUTH ROCK BLVD RENO, NV 89502	NONE	PUBLIC CHARITY	TRANSITION SERVICES PROGRAM	40,000.
COMMUNITY CHEST OF VIRGINIA CITY 991 SOUTH C STREET VIRGINIA CITY, NV 89440	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN	25,000.
COMMUNITY FOUNDATION OF WESTERN NEVADA 1885 SOUTH ARLINGTON AVE, SUITE 103 RENO, NV 89509	NONE	PUBLIC CHARITY	WEBSITE SUPPORT	7,500.
FOOD BANK OF NORTHERN NEVADA 550 ITALY DRIVE MCCARRAN, NV 89434	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	35,000.
GALENA HIGH SCHOOL 3600 BUTCH CASSIDY DR RENO, NV 89511	NONE	PUBLIC SCHOOL	TECHNOLOGY	10,000.
GIRL SCOUTS OF SIERRA NEVADA 605 WASHINGTON STREET RENO, NV 89503	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,000.
GUIDE DOGS FOR THE BLIND P O BOX 151200 SAN RAFAEL, CA 94915	NONE	PUBLIC CHARITY	NEVADA PROGRAM	25,000.
HAWAII JUSTICE FOUNDATION 810 RICHARDS STREET #645 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	COMMUNITY PROGRAMS	45,000.
HIGH SIERRA LACROSSE P.O. BOX 4141 TRUCKEE, CA 96160	NONE	PUBLIC CHARITY	TOURNAMENT TRAVEL COSTS	1,500.
HUG HIGH SCHOOL (WASHOE COUNTY SCHOOL DISTRICT) 2880 SUTRO STREET RENO, NV 89512	NONE	LOCAL GOVERNMENT	2011 YEARBOOK PROJECT	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INCLINE MIDDLE SCHOOL 931 SOUTHWOOD BLVD INCLINE VILLAGE, NV 89451	NONE	PUBLIC SCHOOL	TECHNOLOGY	9,000.
JESSE BECK ELEMENTARY SCHOOL 1900 SHARON WAY RENO, NV 89509	NONE	PUBLIC SCHOOL	TECHNOLOGY	11,400.
KEEP TRUCKEE MEADOWS BEAUTIFUL 2000 DEL MONTE LANE RENO, NV 89511	NONE	PUBLIC CHARITY	LITTER INDEX PROJECT	3,500.
KIDS AND HORSES, INC PO BOX 4236 INCLINE VILLAGE, NV 89450	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,500.
KNPB 1670 NORTH VIRGINIA STREET RENO, NV 89503	NONE	PUBLIC CHARITY	EDUCATION PROG/OPERATIONAL SUPPORT	35,000.
LAKE TAHOE SHAKESPEARE FESTIVAL 948 INCLINE WAY INCLINE VILLAGE, NV 89451	NONE	PUBLIC CHARITY	TECHNICAL EQUIPMENT/ENHANCEMENTS	25,000.
NATIONAL DISASTER SEARCH DOG FOUNDATION 501 EAST OJAI AVENUE OJAI, CA 93023	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN	50,000.
NEVADA DIABETES ASSOCIATION 1005 TERMINAL WAY SUITE 170 RENO, NV 89502	NONE	PUBLIC CHARITY	OPERATING SUPPORT	7,500.
NEVADA DISCOVERY MUSEUM 490 SOUTH CENTER STREET RENO, NV 89501	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN	50,000.
NEVADA MILITARY SUPPORT ALLIANCE 1885 SOUTH ARLINGTON AVE, SUITE 103 RENO, NV 89509	NONE	PUBLIC CHARITY	FUNDRAISING EVENT	1,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEVADA MUSEUM OF ART 160 WEST LIBERTY STREET RENO, NV 89501	NONE	PUBLIC CHARITY	EVENT/SCHOOL TOUR PROGRAM	60,000.
NEVADA SECRETARY OF STATE 101 NORTH CARSON ST SUITE 3 CARSON CITY, NV 89701	NONE	STATE GOVERNMENT	LIVING WILL LOCKBOX PROGRAM	3,000.
NEVADA WOMEN'S FUND 770 SMITHRIDGE DRIVE #300 RENO, NV 89502	NONE	PUBLIC CHARITY	FUNDRAISING EVENT	1,500.
NORTHERN NEVADA CHILDREN'S CANCER FOUNDATION 3550 BARRON WAY #5A RENO, NV 89511	NONE	PUBLIC CHARITY	FAMILY ASSISTANCE PROGRAM	10,000.
NORTHERN NEVADA DENTAL HEALTH PROGRAM 6770 SOUTH MCCARRAN #102 RENO, NV 89509	NONE	PUBLIC CHARITY	JASON EBERLE, DDS MEMORIAL FUND	1,500.
RENO CHAMBER ORCHESTRA 925 RIVERSIDE DRIVE, SUITE 5 RENO, NV 89503	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	20,000.
RENO HIGH 395 BOOTH STREET RENO, NV 89509	NONE	LOCAL GOVERNMENT	WE THE PEOPLE PROGRAM	3,000.
RENO HIGH SCHOOL (WASHOE COUNTY SCHOOL DISTRICT) 395 BOOTH STREET RENO, NV 89509	NONE	LOCAL GOVERNMENT	BASEBALL	2,000.
RENO HIGH SCHOOL (WASHOE COUNTY SCHOOL DISTRICT) 395 BOOTH STREET RENO, NV 89509	NONE	LOCAL GOVERNMENT	TECHNOLOGY	20,000.
RENO LITTLE THEATER 246 EAST ARROYO RENO, NV 89502	NONE	PUBLIC CHARITY	THEATER EQUIPMENT	20,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RENO YOUTH JAZZ ORCHESTRA 1335 HILLTOP ROAD RENO, NV 89509	NONE	PUBLIC CHARITY	OPERATING SUPPORT	2,000.
RENOWN MEDICAL FOUNDATION 1155 MILL STREET RENO, NV 89502	NONE	PUBLIC CHARITY	FIANNA'S GARDEN	31,250.
SAFE HAVEN RESCUE ZOO P O BOX 184 IMLAY, NV 89418	NONE	PUBLIC CHARITY	SOLAR EXPANSION PROJECT	2,000.
SPARKS HIGH SCHOOL (WASHOE COUNTY SCHOOL DISTRICT) 820 15TH STREET SPARKS, NV 89431	NONE	LOCAL GOVERNMENT	FUNDRAISING EVENT	2,000.
STEP 2 3700 SAFE HARBOR WAY RENO, NV 89512	NONE	PUBLIC CHARITY	CAPITAL CAMPAIGN	25,000.
TRU VISTA FOUNDATION 350 SOUTH CENTER ST 6TH FLOOR RENO, NV 89501	NONE	PUBLIC CHARITY	GUARDIANSHIP PROGRAM	5,000.
UNR FOOTBALL ALUMNI MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	FUNDRAISING EVENT	1,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	HERZ AWARD/ENDOWMENT	50,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	SMALL BUSINESS DEV SUMMER PROGRAM	20,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	ATHLETIC ACADEMIC CENTER CAOUTAK CAMPAIGN	150,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	HEALTH SCIENCES BUILDING CAPITAL CAMPAIGN	200,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	SPORTS MEDICINE CENTER BUILDING RENOVATION	100,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	FUNDRAISING EVENT	30,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	MILTON GLICK SCHOLARSHIP FUND	20,000.
UNR FOUNDATION MORRILL HALL ALUMNI CENTER MS/0007 RENO, NV 89557	NONE	PUBLIC CHARITY	LATINO RESERACH CENTER EVENT SUPPORT	5,000.
VETERAN'S GUEST HOUSE 880 LOCUST STREET RENO, NV 89502	NONE	PUBLIC CHARITY	FURNISHING/OPERATIONS	5,000.
WASHOE COUNTY DISTRICT ATTORNEY PO BOX 30083 RENO, NV 89520	NONE	LOCAL GOVERNMENT	CARES/SART	20,000.
WASHOE COUNTY SAFE N' SOBER FOUNDATION PO BOX 7150 RENO, NV 89510	NONE	LOCAL GOVERNMENT	HUG HIGH SCHOOL GRADUATION EVENT	8,000.
WASHOE LEGAL SERVICES 650 TAHOE STREET RENO, NV 89509	NONE	PUBLIC CHARITY	CHILD ADVOCACY PROGRAM	20,000.
WOOSTER HIGH SCHOOL (WASHOE COUNTY SCHOOL DISTRICT) 1331 E PLUMB LANE RENO, NV 89502	NONE	LOCAL GOVERNMENT	THEATER SEATS AND SIGNAGE	11,800.
Total from continuation sheets				

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SILVER CREEK LOW VOL STRATEGIES II SER 0704	P		
b	SILVER CREEK LOW VOL STRATEGIES II SER 0807B	P		
c	CIT GROUP INC SECD NT-A 7%	P		
d	CIT GROUP INC SECD NT-A 7%	P		
e	ENCORE WIRE	P		
f	SCHNITZER STEEL INDUSTRIES	P		
g	WINN DIXIE STORES	P		
h	SCHNITZER STEEL INDUSTRIES	P		
i	SCHNITZER STEEL INDUSTRIES	P		
j	SCHNITZER STEEL INDUSTRIES	P		
k	CARRIZO OIL & GAS	P		
l	KINDRED HEALTHCARE	P		
m	CARRIZO OIL & GAS	P		
n	CARRIZO OIL & GAS	P		
o	CARRIZO OIL & GAS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	554,211.	462,891.	91,320.
b	31,422.	12,656.	18,766.
c	3,642.	3,642.	0.
d	5,464.	5,464.	0.
e	694.	647.	47.
f	5,139.	4,403.	736.
g	717.	1,441.	<724.>
h	502.	440.	62.
i	312.	275.	37.
j	1,660.	1,486.	174.
k	964.	604.	360.
l	992.	826.	166.
m	1,078.	603.	475.
n	1,382.	744.	638.
o	1,221.	664.	557.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			91,320.
b			18,766.
c			0.
d			0.
e			47.
f			736.
g			<724.>
h			62.
i			37.
j			174.
k			360.
l			166.
m			475.
n			638.
o			557.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	TELLABS	P		
b	TELLABS	P		
c	TELLABS	P		
d	LEUCADIA NATIONAL	P		
e	TELLABS	P		
f	LEUCADIA NATIONAL	P		
g	KINDRED HEALTHCARE	P		
h	LEUCADIA NATIONAL	P		
i	KINDRED HEALTHCARE	P		
j	LEUCADIA NATIONAL	P		
k	KINDRED HEALTHCARE	P		
l	KINDRED HEALTHCARE	P		
m	ALBANY MOLECULAR RESEARCH	P		
n	ALBANY MOLECULAR RESEARCH	P		
o	ALBANY MOLECULAR RESEARCH	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,127.	1,905.	<778.>
b	1,161.	1,995.	<834.>
c	2,806.	4,807.	<2,001.>
d	1,023.	725.	298.
e	2,546.	3,826.	<1,280.>
f	1,383.	967.	416.
g	1,410.	1,208.	202.
h	734.	484.	250.
i	1,448.	1,183.	265.
j	1,915.	1,209.	706.
k	1,470.	1,136.	334.
l	1,387.	1,117.	270.
m	474.	880.	<406.>
n	323.	555.	<232.>
o	84.	143.	<59.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<778.>
b			<834.>
c			<2,001.>
d			298.
e			<1,280.>
f			416.
g			202.
h			250.
i			265.
j			706.
k			334.
l			270.
m			<406.>
n			<232.>
o			<59.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ALBANY MOLECULAR RESEARCH	P		
b	ALBANY MOLECULAR RESEARCH	P		
c	ALBANY MOLECULAR RESEARCH	P		
d	UMB FINANCIAL	P		
e	UMB FINANCIAL	P		
f	UMB FINANCIAL	P		
g	UMB FINANCIAL	P		
h	UMB FINANCIAL	P		
i	UMB FINANCIAL	P		
j	UMB FINANCIAL	P		
k	ALBANY MOLECULAR RESEARCH	P		
l	ALBANY MOLECULAR RESEARCH	P		
m	ALBANY MOLECULAR RESEARCH	P		
n	UMB FINANCIAL	P		
o	UMB FINANCIAL	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	506.	761.	<255.>
b	16.	24.	<8.>
c	560.	888.	<328.>
d	336.	342.	<6.>
e	505.	514.	<9.>
f	42.	43.	<1.>
g	128.	128.	0.
h	254.	257.	<3.>
i	252.	257.	<5.>
j	254.	257.	<3.>
k	89.	135.	<46.>
l	63.	95.	<32.>
m	11.	16.	<5.>
n	124.	128.	<4.>
o	41.	43.	<2.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<255.>
b			<8.>
c			<328.>
d			<6.>
e			<9.>
f			<1.>
g			0.
h			<3.>
i			<5.>
j			<3.>
k			<46.>
l			<32.>
m			<5.>
n			<4.>
o			<2.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UMB FINANCIAL	P		
b	ALBANY MOLECULAR RESEARCH	P		
c	UMB FINANCIAL	P		
d	UMB FINANCIAL	P		
e	UMB FINANCIAL	P		
f	UMB FINANCIAL	P		
g	UMB FINANCIAL	P		
h	CABOT OIL & GAS	P		
i	UMB FINANCIAL	P		
j	GOVERNMENT PROPERTIES INCOME TRUST	P		
k	SUN HEALTHCARE GROUP	P		
l	SUN HEALTHCARE GROUP	P		
m	SUN HEALTHCARE GROUP	P		
n	SUN HEALTHCARE GROUP	P		
o	SUN HEALTHCARE GROUP	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	568.	599.	<31.>
b	287.	468.	<181.>
c	246.	257.	<11.>
d	366.	385.	<19.>
e	286.	300.	<14.>
f	289.	300.	<11.>
g	460.	471.	<11.>
h	1,273.	683.	590.
i	1,729.	1,712.	17.
j	3,779.	4,139.	<360.>
k	695.	2,455.	<1,760.>
l	292.	1,052.	<760.>
m	100.	351.	<251.>
n	329.	1,151.	<822.>
o	109.	339.	<230.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<31.>
b			<181.>
c			<11.>
d			<19.>
e			<14.>
f			<11.>
g			<11.>
h			590.
i			17.
j			<360.>
k			<1,760.>
l			<760.>
m			<251.>
n			<822.>
o			<230.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOVERNMENT PROPERTIES INCOME TRUST	P		
b UMB FINANCIAL	P		
c CABOT OIL & GAS	P		
d CABOT OIL & GAS	P		
e MENS WEARHOUSE	P		
f UMB FINANCIAL	P		
g UMB FINANCIAL	P		
h UMB FINANCIAL	P		
i UMB FINANCIAL	P		
j CABOT OIL & GAGS	P		
k GOVERNMENT PROPERTIES INCOME TRUST	P		
l CABOT OIL & GAGS	P		
m ALBANY MOLECULAR RESEARCH	P		
n SUN HEALTHCARE GROUP	P		
o MF GLOBAL HOLDINGS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,259.		2,759.	<500.>
b 1,191.		1,284.	<93.>
c 4,687.		2,391.	2,296.
d 3,669.		2,050.	1,619.
e 1,614.		1,434.	180.
f 265.		300.	<35.>
g 211.		257.	<46.>
h 211.		257.	<46.>
i 1,474.		1,755.	<281.>
j 3,828.		1,708.	2,120.
k 2,427.		3,035.	<608.>
l 749.		342.	407.
m 872.		2,140.	<1,268.>
n 3.		11.	<8.>
o 1,237.		5,638.	<4,401.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<500.>
b			<93.>
c			2,296.
d			1,619.
e			180.
f			<35.>
g			<46.>
h			<46.>
i			<281.>
j			2,120.
k			<608.>
l			407.
m			<1,268.>
n			<8.>
o			<4,401.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MF GLOBAL HOLDINGS	P		
b	MF GLOBAL HOLDINGS	P		
c	MF GLOBAL HOLDINGS	P		
d	MF GLOBAL HOLDINGS	P		
e	MF GLOBAL HOLDINGS	P		
f	SABRA HEALTH CARE REIT	P		
g	ENDEAVOUR INTERNATIONAL	P		
h	ENDEAVOUR INTERNATIONAL	P		
i	ENDEAVOUR INTERNATIONAL	P		
j	ENDEAVOUR INTERNATIONAL	P		
k	ENDEAVOUR INTERNATIONAL	P		
l	SABRA HEALTH CARE REIT	P		
m	ENDEAVOUR INTERNATIONAL	P		
n	SABRA HEALTH CARE REIT	P		
o	ENDEAVOUR INTERNATIONAL	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	377.	1,621.	<1,244.>
b	946.	4,567.	<3,621.>
c	140.	514.	<374.>
d	95.	515.	<420.>
e	906.	4,505.	<3,599.>
f	2,576.	6,424.	<3,848.>
g	167.	394.	<227.>
h	143.	331.	<188.>
i	82.	189.	<107.>
j	96.	221.	<125.>
k	85.	189.	<104.>
l	172.	376.	<204.>
m	43.	95.	<52.>
n	119.	256.	<137.>
o	67.	155.	<88.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<1,244.>
b			<3,621.>
c			<374.>
d			<420.>
e			<3,599.>
f			<3,848.>
g			<227.>
h			<188.>
i			<107.>
j			<125.>
k			<104.>
l			<204.>
m			<52.>
n			<137.>
o			<88.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ENDEAVOUR INTERNATIONAL	P		
b	ENDEAVOUR INTERNATIONAL	P		
c	SABRA HEALTH CARE REIT	P		
d	SABRA HEALTH CARE REIT	P		
e	ENDEAVOUR INTERNATIONAL	P		
f	ENDEAVOUR INTERNATIONAL	P		
g	CABOT OIL & GASES	P		
h	ENDEAVOUR INTERNATIONAL	P		
i	ENDEAVOUR INTERNATIONAL	P		
j	ENDEAVOUR INTERNATIONAL	P		
k	ENDEAVOUR INTERNATIONAL	P		
l	ENDEAVOUR INTERNATIONAL	P		
m	ENDEAVOUR INTERNATIONAL	P		
n	SABRA HEALTH CARE REIT	P		
o	SABRA HEALTH CARE REIT	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	59.	133.	<74.>
b	118.	267.	<149.>
c	211.	466.	<255.>
d	221.	490.	<269.>
e	27.	59.	<32.>
f	67.	148.	<81.>
g	2,460.	1,025.	1,435.
h	53.	119.	<66.>
i	70.	163.	<93.>
j	72.	163.	<91.>
k	55.	133.	<78.>
l	36.	89.	<53.>
m	70.	163.	<93.>
n	101.	233.	<132.>
o	215.	490.	<275.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<74.>
b			<149.>
c			<255.>
d			<269.>
e			<32.>
f			<81.>
g			1,435.
h			<66.>
i			<93.>
j			<91.>
k			<78.>
l			<53.>
m			<93.>
n			<132.>
o			<275.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SABRA HEALTH CARE REIT	P		
b	ENDEAVOUR INTERNATIONAL	P		
c	ENDEAVOUR INTERNATIONAL	P		
d	ENDEAVOUR INTERNATIONAL	P		
e	ENDEAVOUR INTERNATIONAL	P		
f	ENDEAVOUR INTERNATIONAL	P		
g	ENDEAVOUR INTERNATIONAL	P		
h	ENDEAVOUR INTERNATIONAL	P		
i	ENDEAVOUR INTERNATIONAL	P		
j	ENDEAVOUR INTERNATIONAL	P		
k	ENDEAVOUR INTERNATIONAL	P		
l	ENDEAVOUR INTERNATIONAL	P		
m	ENDEAVOUR INTERNATIONAL	P		
n	ENDEAVOUR INTERNATIONAL	P		
o	ENDEAVOUR INTERNATIONAL	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,405.	3,252.	<1,847.>
b	51.	119.	<68.>
c	89.	193.	<104.>
d	103.	222.	<119.>
e	327.	689.	<362.>
f	146.	292.	<146.>
g	120.	237.	<117.>
h	50.	97.	<47.>
i	70.	139.	<69.>
j	55.	111.	<56.>
k	34.	70.	<36.>
l	33.	69.	<36.>
m	33.	69.	<36.>
n	60.	125.	<65.>
o	60.	125.	<65.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<1,847.>
b			<68.>
c			<104.>
d			<119.>
e			<362.>
f			<146.>
g			<117.>
h			<47.>
i			<69.>
j			<56.>
k			<36.>
l			<36.>
m			<36.>
n			<65.>
o			<65.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ENDEAVOUR INTERNATIONAL	P		
b	ENDEAVOUR INTERNATIONAL	P		
c	ENDEAVOUR INTERNATIONAL	P		
d	ENDEAVOUR INTERNATIONAL	P		
e	ENDEAVOUR INTERNATIONAL	P		
f	ENDEAVOUR INTERNATIONAL	P		
g	ENDEAVOUR INTERNATIONAL	P		
h	ENDEAVOUR INTERNATIONAL	P		
i	ENDEAVOUR INTERNATIONAL	P		
j	ENDEAVOUR INTERNATIONAL	P		
k	INTERNATIONAL COAL GRP	P		
l	BECKMAN COULTER	P		
m	VARIAN SEMICONDUCTOR	P		
n	WOODWARD	P		
o	RPC	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	83.	167.	<84.>
b	55.	111.	<56.>
c	41.	83.	<42.>
d	33.	69.	<36.>
e	25.	56.	<31.>
f	107.	236.	<129.>
g	50.	111.	<61.>
h	36.	63.	<27.>
i	84.	127.	<43.>
j	194.	273.	<79.>
k	29,200.	28,939.	261.
l	83,500.	82,690.	810.
m	94,500.	92,026.	2,474.
n	37,292.	16,928.	20,364.
o	13,207.	2,118.	11,089.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<84.>
b			<56.>
c			<42.>
d			<36.>
e			<31.>
f			<129.>
g			<61.>
h			<27.>
i			<43.>
j			<79.>
k			261.
l			810.
m			2,474.
n			20,364.
o			11,089.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	RPC	P		
b	HERLEY INDS	P		
c	HERLEY INDS	P		
d	RPC	P		
e	RPC	P		
f	LIN TV	P		
g	LIN TV	P		
h	AOL	P		
i	AOL	P		
j	AOL	P		
k	RPC	P		
l	CS SPX NOTE	P		
m	T ROWE PRICE INTERNATIONAL NEW ASIA	P		
n	HSBC 95% PPN CUR BSKT	P		
o	JPM STR OPP FD	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	11,653.		1,869.	9,784.
b	19,000.		19,066.	<66.>
c	19,000.		10,592.	8,408.
d	12,218.		1,661.	10,557.
e	23,956.		3,322.	20,634.
f	2,534.		7,297.	<4,763.>
g	5,069.		14,595.	<9,526.>
h	287.		403.	<116.>
i	905.		1,269.	<364.>
j	302.		424.	<122.>
k	12,204.		1,661.	10,543.
l	267,500.		250,000.	17,500.
m	294,118.		300,000.	<5,882.>
n	190,000.		205,632.	<15,632.>
o	640,812.		650,000.	<9,188.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			9,784.
b			<66.>
c			8,408.
d			10,557.
e			20,634.
f			<4,763.>
g			<9,526.>
h			<116.>
i			<364.>
j			<122.>
k			10,543.
l			17,500.
m			<5,882.>
n			<15,632.>
o			<9,188.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	WELLS FARGO CAPITAL TRUST IV	P		
b	KOREA ELECTRIC POWER	P		
c	GENWORTH FINANCIAL	P		
d	MYLAN LABORATORIES	P		
e	MADISON SQUARE GARDEN	P		
f	WILLIAMS COMPANIES	P		
g	WILLIAMS COMPANIES	P		
h	CEMEX	P		
i	KOREA ELECTRIC POWER	P		
j	CAREFUSION	P		
k	CEMEX	P		
l	GENWORTH FINANCIAL	P		
m	XL GROUP	P		
n	WESTERN UNION	P		
o	DELTA AIRLINES	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	350,000.	291,120.	58,880.
b	37,040.	39,961.	<2,921.>
c	27,112.	31,408.	<4,296.>
d	38,539.	36,873.	1,666.
e	63,155.	54,291.	8,864.
f	44,189.	34,940.	9,249.
g	56,511.	41,491.	15,020.
h	3.		3.
i	30,051.	34,252.	<4,201.>
j	13,870.	13,326.	544.
k	35,411.	43,402.	<7,991.>
l	22,535.	44,632.	<22,097.>
m	33,570.	28,757.	4,813.
n	47,720.	42,191.	5,529.
o	30,672.	55,864.	<25,192.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			58,880.
b			<2,921.>
c			<4,296.>
d			1,666.
e			8,864.
f			9,249.
g			15,020.
h			3.
i			<4,201.>
j			544.
k			<7,991.>
l			<22,097.>
m			4,813.
n			5,529.
o			<25,192.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SPRINT NEXTEL	P		
b	YAHOO	P		
c	ANGLOGOLD ASHANTI	P		
d	BP	P		
e	BANK OF AMERICA	P		
f	CAREFUSION	P		
g	CITIGROUP	P		
h	DEVON ENERGY	P		
i	GENERAL ELECTRIC	P		
j	ITT INDUSTRIES	P		
k	MADISON SQUARE GARDEN	P		
l	MOTOROLA SOLUTIONS	P		
m	MYLAN LABORATORIES	P		
n	NEWMONT MINING	P		
o	PLAINS EXPLORATION	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	39,632.	54,223.	<14,591.>
b	41,648.	53,740.	<12,092.>
c	75,003.	78,762.	<3,759.>
d	63,097.	70,852.	<7,755.>
e	26,531.	74,141.	<47,610.>
f	57,016.	61,300.	<4,284.>
g	49,180.	74,849.	<25,669.>
h	41,707.	47,605.	<5,898.>
i	69,341.	75,768.	<6,427.>
j	53,715.	64,572.	<10,857.>
k	23,526.	21,716.	1,810.
l	58,091.	54,854.	3,237.
m	51,409.	65,247.	<13,838.>
n	130,805.	115,992.	14,813.
o	38,121.	50,927.	<12,806.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<14,591.>
b			<12,092.>
c			<3,759.>
d			<7,755.>
e			<47,610.>
f			<4,284.>
g			<25,669.>
h			<5,898.>
i			<6,427.>
j			<10,857.>
k			1,810.
l			3,237.
m			<13,838.>
n			14,813.
o			<12,806.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE THELMA B & THOMAS P HART FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	QEP RESOURCES	P		
b	TEXTRON	P		
c	XL GROUP	P		
d	CEMEX	P		
e	EV ENERGY PARTNERS LP (FROM K-1)	P		
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 50,654.		53,793.	<3,139.>
b 62,109.		77,342.	<15,233.>
c 9,535.		7,189.	2,346.
d 14,317.		15,785.	<1,468.>
e		82.	<82.>
f 94,536.			94,536.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			<3,139.>
b			<15,233.>
c			2,346.
d			<1,468.>
e			<82.>
f			94,536.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	122,004.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

2011 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	TABLE	04/11/06	SL	7.00		16	2,374.				2,374.	1,610.		339.	1,949.
2	COMPUTER TABLE	08/30/06	SL	7.00		16	1,149.				1,149.	711.		164.	875.
3	OFFICE FURNITURE	02/28/06	SL	7.00		16	1,223.				1,223.	846.		175.	1,021.
4	COMPUTER	06/04/07	SL	5.00		16	569.				569.	408.		114.	522.
5	PRINTER	11/30/07	SL	5.00		16	232.				232.	142.		46.	188.
	* TOTAL 990-PF PG 1 DEPR						5,547.				5,547.	3,717.		838.	4,555.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FIDELITY INVESTMENTS	9.
JP MORGAN 3003	7.
JP MORGAN 5001	113.
JP MORGAN 8004	215.
JP MORGAN 9001	25.
MERRILL LYNCH	28.
UMPQUA BANK	459.
WESTERN NATIONAL TRUST COMPANY	9,817.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	10,673.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FIDELITY INVESTMENTS (DIVIDENDS)	31,714.	7,660.	24,054.
FIDELITY INVESTMENTS (INTEREST)	2,294.	0.	2,294.
JP MORGAN 3003 (DIVIDENDS)	7,450.	0.	7,450.
JP MORGAN 5001 (DIVIDENDS)	74,976.	0.	74,976.
JP MORGAN 8004 (DIVIDENDS)	403,225.	76,024.	327,201.
JP MORGAN 8004 (INTEREST)	2,769.	0.	2,769.
JP MORGAN 8009 (DIVIDENDS)	21,535.	0.	21,535.
JP MORGAN 8009 (INTEREST)	73,754.	0.	73,754.
JP MORGAN 9001 (DIVIDENDS)	7,203.	0.	7,203.
ZAZOVE (DIVIDENDS)	30,026.	10,852.	19,174.
TOTAL TO FM 990-PF, PART I, LN 4	654,946.	94,536.	560,410.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SILVER CREEK ADJUSTMENT	<2,444.>	<2,444.>	
FIDELITY BROKERAGE	899.	899.	
MERRILL LYNCH ALT INV ADJUSTMENT	<28.>	<28.>	
JP MORGAN 3003 ADJUSTMENT	<1,877.>	<1,877.>	
EV ENERGY PARTNERS LP (FROM K-1)	<329.>	<329.>	
JP MORGAN 5001 ADJUSTMENT	865.	865.	
JP MORGAN 8004 ALT INV DISTRIBUTIONS	10,535.	10,535.	

JP MORGAN 8004 ADJUSTMENT	2,863.	2,863.
JP MORGAN 8009 ALT INV		
DISTRIBUTIONS	50,202.	50,202.
JP MORGAN 8009 ADJUSTMENT	8,857.	8,857.
MISCELLANEOUS	7,808.	7,808.
TOTAL TO FORM 990-PF, PART I, LINE 11	77,351.	77,351.

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AVANSINO MELARKEY	322.	322.		0.
TO FM 990-PF, PG 1, LN 16A	322.	322.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOANNE HILDAHL	6,600.	0.		6,600.
LETICIA DEVALLIERE	9,000.	9,000.		0.
KAFOURY ARMSTRONG & CO	7,475.	7,475.		0.
TO FORM 990-PF, PG 1, LN 16B	23,075.	16,475.		6,600.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT	129,395.	129,395.		0.
TO FORM 990-PF, PG 1, LN 16C	129,395.	129,395.		0.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	5,307.	0.		0.
FOREIGN TAXES	1,329.	1,329.		0.
TO FORM 990-PF, PG 1, LN 18	6,636.	1,329.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SERVICE FEES	245.	245.		0.
INSURANCE	4,143.	2,072.		2,071.
OFFICE EXPENSES	957.	478.		479.
TO FORM 990-PF, PG 1, LN 23	5,345.	2,795.		2,550.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN 8004	4,689,317.	4,955,483.
JP MORGAN 5001	3,017,471.	3,934,623.
JP MORGAN 3003	436,454.	484,172.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,143,242.	9,374,278.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIDELITY	42,000.	37,500.
JP MORGAN 8009	798,657.	811,703.
TOTAL TO FORM 990-PF, PART II, LINE 10C	840,657.	849,203.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SILVER CREEK	COST	559,550.	599,712.
WESTERN NATIONAL TRUST CO	COST	500,000.	39,094.
FIDELITY (MUTUAL FUNDS)	COST	1,215,770.	1,126,847.
ZAZOVE	COST	354,033.	319,039.
JP MORGAN 8009	COST	1,343,040.	1,548,356.
JP MORGAN 8004	COST	9,840,000.	9,903,417.
JP MORGAN 8009 (MUTUAL FUNDS)	COST	869,279.	974,911.
GABELLI GREEN	COST	300,000.	247,940.
EV ENERGY PARTNERS LP	COST	10,790.	14,498.
TOTAL TO FORM 990-PF, PART II, LINE 13		14,992,462.	14,773,814.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	12
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
TABLE	2,374.	1,949.	425.
COMPUTER TABLE	1,149.	875.	274.
OFFICE FURNITURE	1,223.	1,021.	202.
COMPUTER	569.	522.	47.
PRINTER	232.	188.	44.
TOTAL TO FM 990-PF, PART II, LN 14	5,547.	4,555.	992.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARK W KNOBEL
4795 CAUGHLIN PARKWAY, SUITE 100
RENO, NV 89519

TELEPHONE NUMBER

775-333-0300

FORM AND CONTENT OF APPLICATIONS

SEE ATTACHED FORM

ANY SUBMISSION DEADLINES

NONE PER SE, BUT RECOMMEND SUBMISSION BY JUNE OF EACH YEAR

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS ARE GENERALLY (BUT NOT EXCLUSIVELY) MADE TO ORGANIZATIONS IN
NORTHERN NEVADA, NORTHERN CALIFORNIA, AND HAWAII. PRIMARY FIELDS FOR WHICH
GRANTS ARE MADE ARE EDUCATION, CHILDREN'S PROGRAMS, SERVICE ANIMAL
PROGRAMS, HEALTH AND COMMUNITY-BASED PROGRAMS.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. THE THELMA B & THOMAS P HART FOUNDATION	Employer identification number (EIN) or <input checked="" type="checkbox"/> 86-0881550
	Number, street, and room or suite no. If a P.O. box, see instructions 4795 CAUGHLIN PARKWAY, SUITE 100	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. RENO, NV 89519	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARK W KNOBEL

- The books are in the care of ► **4795 CAUGHLIN PARKWAY, SUITE 100 - RENO, NV 89519**
Telephone No. ► **775-333-0300** FAX No ► **775-333-0305**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2011** or
► ☐ tax year beginning _____, and ending _____

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	5,576.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	5,076.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2012)