Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning , 2011, and ending ,

ROTH FAMILY FOUNDATION
7181 E. VENTANA CANYON DRIVE
TUCSON, AZ 85750

A Employer identification number
86-0594042

B Telephone number (see the instructions)
(520) 529-1213

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust [X] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 264,725.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*		**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	500.			
	2 Ck ► [X] if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	70.	70.	70.	
	4 Dividends and interest from securities	6,333.	6,333.	6,333.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	2,365.			
	b Gross sales price for all assets on line 6a 146,427.				
	7 Capital gain net income (from Part IV, line 2)		2,365.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	-174.	-174.	-174.	
	12 **Total.** Add lines 1 through 11	9,094.	8,594.	6,229.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	3,172.	3,172.	3,172.	
	c Other prof fees (attach sch) SEE ST 3	2,632.	2,632.	2,632.	
	17 Interest				
	18 Taxes (attach schedule)(see instrs)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	44.		44.	
	24 **Total operating and administrative expenses.** Add lines 13 through 23	5,848.	5,804.	5,848.	
	25 Contributions, gifts, grants paid PART XV	6,300.			6,300.
	26 **Total expenses and disbursements.** Add lines 24 and 25	12,148.	5,804.	5,848.	6,300.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-3,054.			
	b **Net investment income** (if negative, enter -0-)		2,790.		
	c **Adjusted net income** (if negative, enter -0-)			381.	

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BAA For Paperwork Reduction Act Notice, see instructions. TEEA0504L 12/05/11 Form **990-PF** (2011)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	24,660.	13,596.	13,596.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
ASSETS	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) STATEMENT 5	184,611.	192,802.	199,433.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis ►			
	Less. accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) STATEMENT 6	51,951.	51,696.	51,696.
	14 Land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	261,222.	258,094.	264,725.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	261,222.	258,094.	
	30 **Total net assets or fund balances** (see instructions)	261,222.	258,094.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	261,222.	258,094.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	261,222.
2	Enter amount from Part I, line 27a	2	-3,054.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	258,168.
5	Decreases not included in line 2 (itemize) ► SEE STATEMENT 7	5	74.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	258,094.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 8			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	2,365.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	-3,936.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank. N/A

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010			
2009			
2008			
2007			
2006			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ► [X] and enter 'N/A' on line 1. Date of ruling or determination letter 6/01/89 (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b		1	N/A
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	
6 Credits/Payments:			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	
8 Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	
11 Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ►	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► N/A			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► JOAN ROTH Telephone no. ► 520-529-1213

Located at ► 7181 E. VENTANA CANYON DR. TUCSON AZ ZIP + 4 ► 85750

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here . N/A . ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? — 5b N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b No: X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a	Average monthly fair market value of securities	1a	202,477.
b	Average of monthly cash balances	1b	19,128.
c	Fair market value of all other assets (see instructions)	1c	51,824.
d	**Total** (add lines 1a, b, and c)	1d	273,429.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	273,429.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	4,101.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	269,328.
6	**Minimum investment return.** Enter 5% of line 5	6	13,466.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6 N/A	1	
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a		
b	Income tax for 2011. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	6,300.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,300.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	6,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4: ► $				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2011 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ► 6/01/89

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	381.	1,406.	1,663.	3,922.	7,372.
b 85% of line 2a	324.	1,195.	1,414.	3,334.	6,267.
c Qualifying distributions from Part XII, line 4 for each year listed	6,300.	9,550.	7,597.	8,180.	31,627.
d Amounts included in line 2c not used directly for active conduct of exempt activities					0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	6,300.	9,550.	7,597.	8,180.	31,627.
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	8,977.	8,745.	7,625.	8,334.	33,681.
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

JOAN ROTH

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 11				
Total			► **3a**	6,300.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					70.
4 Dividends and interest from securities					6,333.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					2,365.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a NET PASS-THROUGH INCOME		-174.			
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-174.			8,768.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	8,594.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
3,4,8	INCOME EARNED ON FOUNDATION ASSETS PROVIDE ADDITIONAL MONIES FOR CHARITABLE CONTRIBUTIONS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a (1)		X
(2) Other assets	1a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3) Rental of facilities, equipment, or other assets	1b (3)		X
(4) Reimbursement arrangements	1b (4)		X
(5) Loans or loan guarantees	1b (5)		X
(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee	Date	Title	
		PRESIDENT & CEO	May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RICHARD W. HAMMEL				P00022546
Firm's name ► HAMMEL, BEAL & LAUER PC			Firm's EIN ► 86-0360084	
Firm's address ► 5656 E. GRANT RD. STE. 200 TUCSON, AZ 85712			Phone no (520) 886-3181	

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NET PASS-THROUGH INCOME	$ -174.	$ -174.	$ -174.
TOTAL	$ -174.	$ -174.	$ -174.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HAMMEL, BEAL & LAUER	$ 3,172.	$ 3,172.	$ 3,172.	
TOTAL	$ 3,172.	$ 3,172.	$ 3,172.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES #708	$ 1,158.	$ 1,158.	$ 1,158.	
INVESTMENT MANAGEMENT FEES #806	1,117.	1,117.	1,117.	
INVESTMENT MANAGEMENT FEES #8460	29.	29.	29.	
INVESTMENT MANAGEMENT FEES #8660	328.	328.	328.	
TOTAL	$ 2,632.	$ 2,632.	$ 2,632.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POSTAGE	$ 44.		$ 44.	
TOTAL	$ 44.	$ 0.	$ 44.	$ 0.

STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK-SEE ATTACHED	COST	$ 192,802.	$ 199,433.
	TOTAL	$ 192,802.	$ 199,433.

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VENTANA CANYON ALLIANCE, LLC	COST	$ 51,696.	$ 51,696.
	TOTAL	$ 51,696.	$ 51,696.

STATEMENT 7
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

BOOK/TAX DIFFERENCE	$ 51.
NON-DEDUCTIBLE EXPENSES	23.
TOTAL	$ 74.

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	PASS-THROUGH (VENTANA CANYON ALLIANCE)	PURCHASED	VARIOUS	VARIOUS
2	UBS 8460 SEE ATTACHED STMT A	PURCHASED	VARIOUS	VARIOUS
3	UBS 8460 SEE ATTACHED STMT A	PURCHASED	VARIOUS	VARIOUS
4	UBS 8660 SEE ATTACHED STMT B	PURCHASED	VARIOUS	VARIOUS
5	UBS 8660 SEE ATTACHED STMT B	PURCHASED	VARIOUS	VARIOUS
6	UBS 8660 SEE ATTACHED STMT B	PURCHASED	VARIOUS	VARIOUS
7	WF 0708 SEE ATTACHED STMT C	PURCHASED	VARIOUS	VARIOUS
8	WF 0708 SEE ATTACHED STMT C	PURCHASED	VARIOUS	VARIOUS
9	WF 0806 SEE ATTACHED STMT D	PURCHASED	VARIOUS	VARIOUS
10	WF 0806 SEE ATTACHED STMT D	PURCHASED	VARIOUS	VARIOUS
11	WF 0806 SEE ATTACHED STMT D	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	0.		119.	-119.				$ -119.
2	21,549.		25,324.	-3,775.				-3,775.
3	79,029.		78,608.	421.				421.

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
4	1,266.		1,593.	-327.				$ -327.
5	5.		5.	0.				0.
6	5,351.		4,770.	581.				581.
7	3,889.		3,705.	184.				184.
8	15,844.		12,742.	3,102.				3,102.
9	75.		75.	0.				0.
10	2,199.		2,217.	-18.				-18.
11	17,220.		14,904.	2,316.				2,316.
							TOTAL	$ 2,365.

STATEMENT 9
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
JOAN ROTH 7181 E. VENTANA CANYON DR. TUCSON, AZ 85750	PRESIDENT & CEO 0	$ 0.	$ 0.	$ 0.
EDWIN ROTH 7181 E. VENTANA CANYON DR. TUCSON, AZ 85750	TREASURER 0	0.	0.	0.
BARRY ROTH 7251 E. DESERT MOON LOOP TUCSON, AZ 85750	DIRECTOR 0	0.	0.	0.
LORI ROTH TOMPKINS 29 SLUMBER CORNERS WESTON, CT 06883	VICE PRESIDENT 0	0.	0.	0.
JEFFREY ROTH 1138 W. NORTHVIEW AVENUE PHOENIX, AZ 85021	DIRECTOR 0	0.	0.	0.
DEBBIE ROTH ROSEN 444 12TH STREET, APT 4B BROOKLYN, NY 11215	SECRETARY 0	0.	0.	0.
	TOTAL	$ 0.	$ 0.	$ 0.

STATEMENT 10
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	ROTH FAMILY FOUNDATION
CARE OF:	
STREET ADDRESS:	7181 E. VENTANA CANYON DRIVE
CITY, STATE, ZIP CODE:	TUCSON, AZ 85750
TELEPHONE:	
FORM AND CONTENT:	WRITTEN REQUEST STATING PURPOSE, PERSON'S ADDRESS & TELEPHONE NUMBER
SUBMISSION DEADLINES:	
RESTRICTIONS ON AWARDS:	

STATEMENT 11
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
AZ CENTER FOR DISABILITY LAW 5025 W. WASHINGTON ST, STE 202 PHOENIX, AZ 85034	NONE	PUBLIC	CHARITABLE	$ 350.
CENTER FOR REPRODUCTIVE RIGHTS 120 WALL ST NEW YORK, NY 10005	NONE	PUBLIC	CHARITABLE	500.
CITY HARVEST INC 575 8TH AVE, 4TH FLOOR NEW YORK, NY 10018	NONE	PUBLIC	CHARITABLE	200.
COALITION FOR THE HOMELESS 129 FULTON ST NEW YORK, NY 10038	NONE	PUBLIC	CHARITABLE	200.
COMMUNITY FOOD BANK 3003 S COUNTRY CLUB RD TUCSON, AZ 85726	NONE	PUBLIC	CHARITABLE	1,000.
EMERGE CENTER AGAINST DOMESTIC ABUSE 2545 E ADAMS ST TUCSON, AZ 85716	NONE	PUBLIC	CHARITABLE	100.
HABITAT FOR HUMANITY 10 W BROADWAY, STE 1890 COLUMBUS, OH 43215	NONE	PUBLIC	CHARITABLE	300.

STATEMENT 11 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
ARIZONA PUBLIC MEDIA - KUAT PO BOX 210067 TUCSON, AZ 85721	NONE	PUBLIC	EDUCATIONAL	$ 100.
MAKE WAY FOR BOOKS 4713 E CAMP LOWELL DR TUCSON, AZ 85712	NONE	PUBLIC	CHARITABLE	200.
PRIMAVERA FOUNDATION 792 S 6TH AVE TUCSON, AZ 85701	NONE	PUBLIC	CHARITABLE	150.
PROJECT RETURN 124 N COMPO RD WESTPORT, CT 06880	NONE	PUBLIC	CHARITABLE	500.
US HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PL WASHINGTON, DC 20024	NONE	PUBLIC	EDUCATIONAL	200.
ARIZONA CAPITAL REPRESENTATION PROJECT 131 E. BROADWAY TUCSON, AZ 85701	NONE	PUBLIC	CHARITABLE	350.
AZ CENTER FOR LAW IN THE PUBLIC INTEREST 202 E. MCDOWELL RD STE 153 PHOENIX, AZ 85004	N/A	PUBLIC	CHARITABLE	350.
ARIZONA PIRG EDUCATION FUND 130 N CENTRAL AVE STE 202 PHOENIX, AZ 85004	NONE	PUBLIC	CHARITABLE	350.
THE GREAT ARIZONA PUPPET THEATER 302 W. LATHAM ST PHOENIX, AZ 85003	NONE	PUBLIC	CHARITABLE	200.
MOUNT SINAI MED CTR FDN FOR ALZHEIMER 4300 ALTON RD MIAMI BEACH, FL 33140	NONE	PUBLIC	EDUCATION	350.
RESCUE 4747 E. ELLIOT RD #29-415 PHOENIX, AZ 85044	NONE	PUBLIC	CHARITABLE	200.
RYAN HOUSE 110 W. MERRILL ST 1ST FLOOR PHOENIX, AZ 85013	NONE	PUBLIC	CHARITABLE	350.

STATEMENT 11 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
AZ EQUAL JUSTICE FOUNDATION PO BOX 21538 PHOENIX, AZ 85036	NONE	PUBLIC	CHARITABLE	$ 350.
			TOTAL	$ 6,300.

ROTH FAMILY FOUNDATION
86-0594042
ATTACHMENT TO FORM 990-PF,
PART II, LINE 10B

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
125 ATVI	cost	1,381.00	1,540 00
40 ACM	cost	1,091.00	823 00
76 AGNC	cost	2,243.00	2,134.00
64 ADI	cost	1,851 00	2,290.00
176 NLY	cost	2,888 00	2,809.00
40 AVY	cost	1,656.00	1,147 00
79 BWC	cost	1,904 00	1,907.00
221 BSX	cost	1,652 00	1,180.00
89 BDN	cost	973 00	846 00
98 BR	cost	2,012.00	2,210.00
115 CVC	cost	1,825 00	1,635.00
34 CB	cost	1,519.00	2,353.00
23 CLX	cost	1,407 00	1,531 00
22 ED	cost	929.00	1,365 00
50 CEG	cost	1,906.00	1,984.00
55 EP	cost	994.00	1,461.00
50 ENH	cost	1,666.00	1,913 00
31 ETR	cost	2,702.00	2,265 00
98 FAF	cost	1,504 00	1,242.00
32 GPC	cost	1,423.00	1,958.00
10 GRA	cost	409.00	459.00
84 GXP	cost	2,020.00	1,830 00
24 HAE	cost	1,547 00	1,469.00
42 HAS	cost	1,426 00	1,339 00
54 HCP	cost	1,567 00	2,237 00
32 HCN	cost	1,179.00	1,745.00
44 HNZ	cost	1,892.00	2,378 00
177 HCBK	cost	2,123.00	1,106 00
70 IVZ	cost	1,790.00	1,406 00
29 MTB	cost	2,140 00	2,214.00
88 MAT	cost	2,021 00	2,443 00
31 MCK	cost	2,069.00	2,415.00
168 NYB	cost	2,210.00	2,078.00
64 NXY	cost	1,255 00	1,018.00
92 NI	cost	1,807.00	2,191 00
82 ORI	cost	1,643.00	760 00
52 OMC	cost	2,182 00	2,318.00
58 PGN	cost	2,455 00	3,249 00
34 RRC	cost	2,265.00	2,106.00
25 COL	cost	1,328 00	1,384.00
50 SCG	cost	1,715 00	2,253 00
47 SDRL	cost	1,584 00	1,559.00
42 SRE	cost	2,076.00	2,310 00
35 SON	cost	968 00	1,154 00
62 STJ	cost	2,530 00	2,127.00
85 STI	cost	1,605 00	1,505 00
51 TDS.S	cost	1,670.00	1,214.00
32 UPL	cost	1,828.00	948.00
86 WFT	cost	1,588.00	1,259.00
65 XEL	cost	1,378 00	1,797 00
222 XRX	cost	2,206.00	1,767.00
27 ZMH	cost	1,824 00	1,442.00
224 RIGHTS SANOR	cost	545 00	269 00
31 GLD	cost	2,132 00	4,711 00
UBS 8660 TOTAL		92,503.00	95,053.00
9515 UNTS FT TGT GLC	COST	100,299 00	104,380 00
UBS 8460 TOTAL		100,299 00	104,380 00
TOTAL		192,802.00	199,433.00

Friendly account name: Core Foundation
Account number: [redacted]584 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Realized gains and losses

Estimated 2011 gains and losses for transactions with trade dates through 12/30/11 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AES CORP	FIFO	36 000	Jun 29, 11	Oct 12, 11	369 35	455.76		-86.41	
	FIFO	15 000	Jul 05, 11	Oct 12, 11	153 90	193 20		-39 30	
	FIFO	9 000	Jul 08, 11	Oct 12, 11	92 33	116 82		-24 49	
	FIFO	5 000	Jul 11, 11	Oct 12, 11	51 30	63 68		-12 38	
	FIFO	9 000	Jul 18, 11	Oct 12, 11	92.34	110 61		-18 27	
	FIFO	4 000	Jul 22, 11	Oct 12, 11	41 04	50 83		-9 79	
	FIFO	7 000	Jul 28, 11	Oct 12, 11	71 82	88.06		-16 24	
	FIFO	6 000	Jul 29, 11	Oct 12, 11	61 56	74 70		-13 14	
	FIFO	13.000	Aug 03, 11	Oct 12, 11	133 37	149 63		-16 26	
	FIFO	8.000	Oct 06, 11	Oct 12, 11	82.08	80.72			1 36
ALCOA INC	FIFO	10 000	Dec 01, 10	Oct 12, 11	99 50	135 60		-36 10	
	FIFO	10 000	Dec 15, 10	Oct 12, 11	99 50	141 30		-41.80	
	FIFO	8 000	Apr 04, 11	Oct 12, 11	79 59	140 56		-60 97	
	FIFO	8 000	Jun 03, 11	Oct 12, 11	79 60	127 84		-48.24	
	FIFO	9 000	Aug 03, 11	Oct 12, 11	89 55	126 99		-37.44	
AMER EXPRESS CO	FIFO	5 000	Feb 16, 11	Oct 12, 11	230 39	233 59		-3 20	
	FIFO	3 000	Aug 12, 11	Oct 12, 11	138 24	135 59			2 65
AMERIPRISE FINANCIAL INC	FIFO	2 000	Feb 03, 11	Oct 12, 11	83 66	115 16		-31 50	
	FIFO	7.000	Aug 31, 11	Oct 12, 11	292 80	320 14		-27 34	
ANADARKO PETROLEUM CORP	FIFO	3.000	Oct 12, 10	Oct 12, 11	202 91	175 31			27 60
BAKER HUGHES INC	FIFO	5.000	Nov 22, 10	Oct 12, 11	267 74	249 24			18 50
	FIFO	9 000	Oct 06, 11	Oct 12, 11	481 94	442.80			39 14
CA INC	FIFO	4 000	Oct 06, 11	Oct 12, 11	85.84	82.47			3 37
CISCO SYSTEMS INC	FIFO	37.000	Jul 19, 11	Oct 12, 11	633 80	577.43			56 37
	FIFO	6 000	Jul 22, 11	Oct 12, 11	102.77	98.16			4 61

continued next page

UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]584 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	6.000	Jul 28, 11	Oct 12, 11	102 78	96 78			6 00
	FIFO	12.000	Aug 09, 11	Oct 12, 11	205.56	167 04			38.52
	FIFO	4 000	Sep 13, 11	Oct 12, 11	68.51	64.12			4 39
	FIFO	13 000	Oct 06, 11	Oct 12, 11	222 69	216.06			6 63
COMCAST CORP NEW CL A	FIFO	4 000	Oct 06, 11	Oct 12, 11	92 68	87 72			4 96
DANA HOLDING CORP	FIFO	4.000	Dec 14, 10	Oct 06, 11	47 80	68 03		-20 23	
	FIFO	19 000	Dec 14, 10	Oct 12, 11	243.95	323 16		-79 21	
	FIFO	6.000	Jan 05, 11	Oct 12, 11	77 04	108 80		-31 76	
	FIFO	7 000	Jan 12, 11	Oct 12, 11	89 88	127.05		-37 17	
	FIFO	3 000	Jan 18, 11	Oct 12, 11	38.52	56.27		-17 75	
	FIFO	13 000	Jan 20, 11	Oct 12, 11	166 92	227.11		-60.19	
	FIFO	2 000	Jan 24, 11	Oct 12, 11	25 67	34 85		-9.18	
	FIFO	2.000	Jan 31, 11	Oct 12, 11	25 68	35 29		-9.61	
	FIFO	18.000	Jul 19, 11	Oct 12, 11	231.12	336 46		-105.34	
	FIFO	6 000	Jul 27, 11	Oct 12, 11	77.04	104 60		-27.56	
	FIFO	8 000	Aug 03, 11	Oct 12, 11	102 71	122 03		-19 32	
	FIFO	14.000	Aug 08, 11	Oct 12, 11	179 76	157 05			22.71
DELL INC	FIFO	20 000	Feb 23, 11	Oct 12, 11	325 59	302 88			22.71
	FIFO	6.000	Mar 11, 11	Oct 12, 11	97 68	91 98			5 70
	FIFO	10.000	Mar 31, 11	Oct 12, 11	162 80	144 70			18 10
	FIFO	10 000	Apr 04, 11	Oct 12, 11	162.79	142 80			19 99
DEVON ENERGY CORP NEW	FIFO	3 000	Oct 12, 10	Oct 12, 11	177.84	200 69		-22 85	
ENERGIZER HOLDINGS INC	FIFO	4.000	Apr 06, 11	Oct 12, 11	279 39	290 74		-11 35	
	FIFO	5 000	Apr 11, 11	Oct 12, 11	349 25	349 55		-0 30	
	FIFO	5 000	Apr 15, 11	Oct 12, 11	349 24	351 95		-2 71	
	FIFO	4.000	Apr 21, 11	Oct 12, 11	279.39	283.70		-4 31	
	FIFO	5.000	Jun 06, 11	Oct 12, 11	349 24	361 39		-12.15	
	FIFO	1 000	Jun 09, 11	Oct 12, 11	69 85	70.21		-0 36	
	FIFO	1 000	Oct 06, 11	Oct 12, 11	69 85	68.48			1.37

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ENSCO INTL LTD SPON ADR	FIFO	8.000	Feb 07, 11	Oct 12, 11	351 67	414.60		-62.93	
	FIFO	2 000	Feb 08, 11	Oct 12, 11	87 92	102 04		-14 12	
	FIFO	3 000	Sep 13, 11	Oct 12, 11	131 88	143.43		-11.55	
GAP INC	FIFO	8.000	Jul 27, 11	Oct 12, 11	142.15	154 38		-12 23	
	FIFO	5 000	Aug 08, 11	Oct 12, 11	88 85	78 82			10.03
	FIFO	3.000	Oct 06, 11	Oct 12, 11	53 31	51 66			1.65
GENL ELECTRIC CO	FIFO	6.000	Mar 15, 11	Oct 12, 11	97.79	114 96		-17 17	
	FIFO	8.000	Mar 25, 11	Oct 12, 11	130 40	158 72		-28.32	
	FIFO	5 000	Jun 08, 11	Oct 12, 11	81.50	92 59		-11 09	
HOME DEPOT INC	FIFO	4 000	Oct 06, 11	Oct 12, 11	139 88	132 76			7 12
INTEL CORP	FIFO	5 000	Oct 20, 10	Oct 12, 11	115 00	98 30			16 70
JOHNSON & JOHNSON COM	FIFO	5.000	Feb 28, 11	Oct 12, 11	320 24	306.26			13 98
	FIFO	2 000	Mar 02, 11	Oct 12, 11	128 10	120.92			7 18
	FIFO	5 000	Mar 14, 11	Oct 12, 11	320.24	295 08			25.16
	FIFO	6 000	Mar 25, 11	Oct 12, 11	384 30	352 86			31 44
	FIFO	5.000	Aug 12, 11	Oct 12, 11	320.24	317 05			3 19
JPMORGAN CHASE & CO	FIFO	8 000	May 16, 11	Oct 12, 11	262.31	347 66		-85.35	
LENNAR CORP *RECLASSIFICATION AS OF 4/03*	FIFO	8.000	Aug 03, 11	Oct 12, 11	121 60	137 00		-15.40	
MORGAN STANLEY	FIFO	8 000	Feb 02, 11	Oct 12, 11	126 08	238 33		-112 25	
	FIFO	8 000	Feb 09, 11	Oct 12, 11	126 08	239 55		-113.47	
	FIFO	17.000	Aug 31, 11	Oct 12, 11	267 91	296.31		-28 40	
MOTOROLA SOLUTIONS INC	FIFO	2.286	Oct 15, 10	Oct 12, 11	102 43	73 64			28 79
	FIFO	2 286	Nov 11, 10	Oct 12, 11	102 43	73 74			28 69
	FIFO	3 000	Oct 06, 11	Oct 12, 11	134 43	131 97			2 46
MYLAN INC	FIFO	53 000	Aug 22, 11	Oct 12, 11	959 27	944 67			14.60
	FIFO	9.000	Oct 06, 11	Oct 12, 11	162 90	156 78			6 12
NABORS INDUSTRIES LTD NEW (BERMUDA)	FIFO	5.000	Mar 31, 11	Oct 06, 11	69 56	151 84		-82.28	
	FIFO	35 000	Mar 31, 11	Oct 12, 11	508.89	1,062 86		-553 97	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	5 000	Apr 11, 11	Oct 12, 11	72 70	158 16		-85 46	
	FIFO	6.000	Apr 12, 11	Oct 12, 11	87 24	177 82		-90 58	
	FIFO	5.000	May 02, 11	Oct 12, 11	72 69	151 38		-78 69	
	FIFO	6 000	Jun 21, 11	Oct 12, 11	87 24	142 54		-55.30	
	FIFO	9 000	Aug 04, 11	Oct 12, 11	130 86	204 92		-74 06	
PFIZER INC	FIFO	6 000	Feb 03, 11	Oct 12, 11	113.22	113 94		-0 72	
	FIFO	12 000	Apr 15, 11	Oct 12, 11	226 43	244 68		-18 25	
	FIFO	3 000	Apr 25, 11	Oct 12, 11	56 61	59.92		-3 31	
	FIFO	2 000	Aug 09, 11	Oct 12, 11	37 74	34 62			3.12
	FIFO	3.000	Aug 12, 11	Oct 12, 11	56 61	53 70			2.91
SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	FIFO	20 000	Oct 28, 10	Oct 12, 11	49 60	84 80		-35.20	
	FIFO	19 000	Nov 04, 10	Oct 12, 11	47.12	76.95		-29 83	
	FIFO	22 000	Dec 02, 10	Oct 12, 11	54 56	86 02		-31 46	
	FIFO	48 000	Jan 20, 11	Oct 12, 11	119 03	201 52		-82 49	
	FIFO	32 000	Feb 25, 11	Oct 12, 11	79 36	137 28		-57 92	
	FIFO	47.000	Jul 28, 11	Oct 12, 11	116 56	201 62		-85 06	
	FIFO	46 000	Aug 12, 11	Oct 12, 11	114 08	146 28		-32 20	
	FIFO	35 000	Oct 06, 11	Oct 12, 11	86 79	103 25		-16 46	
	FIFO	83 000	Oct 10, 11	Oct 12, 11	205 84	187 17			18 67
STATE STREET CORP	FIFO	4 000	Nov 05, 10	Oct 12, 11	133 56	180 93		-47 37	
	FIFO	3 000	Feb 09, 11	Oct 12, 11	100 16	141 17		-41 01	
	FIFO	5 000	Feb 16, 11	Oct 12, 11	166.95	228 97		-62 02	
	FIFO	7 000	Jun 27, 11	Oct 12, 11	233 73	308.74		-75.01	
	FIFO	9 000	Oct 06, 11	Oct 12, 11	300 50	288 72			11 78
SYMANTEC CORP	FIFO	3 000	Nov 08, 10	Oct 12, 11	53 88	52 53			1.35
	FIFO	14.000	Apr 04, 11	Oct 12, 11	251 43	256 67		-5 24	
	FIFO	7.000	Oct 06, 11	Oct 12, 11	125 72	119.07			6 65
TENET HEALTHCARE CORP	FIFO	19 000	May 04, 11	Oct 12, 11	85 12	123.50		-38.38	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
TEREX CORP DELA NEW	FIFO	4 000	Oct 20, 10	Oct 12, 11	51 72	98 51		-46 79	
	FIFO	5 000	Oct 21, 10	Oct 12, 11	64 65	116 50		-51.85	
	FIFO	5 000	Dec 15, 10	Oct 12, 11	64 65	140 60		-75 95	
	FIFO	3 000	Jan 13, 11	Oct 12, 11	38 79	93 06		-54 27	
	FIFO	7 000	Apr 11, 11	Oct 12, 11	90 50	241 09		-150 59	
	FIFO	6 000	May 17, 11	Oct 12, 11	77 58	173 97		-96 39	
	FIFO	7 000	Aug 12, 11	Oct 12, 11	90 51	117.87		-27 36	
TEXTRON INC	FIFO	10.000	Dec 22, 10	Oct 12, 11	185 00	232 69		-47 69	
	FIFO	5 000	Jan 26, 11	Oct 12, 11	92.49	131 19		-38 70	
	FIFO	3.000	Mar 02, 11	Oct 12, 11	55.50	78 58		-23 08	
	FIFO	6 000	May 04, 11	Oct 12, 11	111 00	149.24		-38 24	
THERMO FISHER SCIENTIFIC INC	FIFO	9.000	Oct 06, 11	Oct 12, 11	487 97	479 43			8 54
	FIFO	3.000	Oct 07, 11	Oct 12, 11	162 65	150 13			12.52
UNITED STATES STEEL CORP NEW	FIFO	5 000	Apr 19, 11	Oct 12, 11	117 99	261 60		-143 61	
	FIFO	3 000	Apr 27, 11	Oct 12, 11	70 80	144 22		-73 42	
	FIFO	2.000	Jul 27, 11	Oct 12, 11	47 20	80 95		-33 75	
VALERO ENERGY CORP NEW	FIFO	5 000	Feb 03, 11	Oct 12, 11	109 84	130 96		-21 12	
	FIFO	7 000	Jul 27, 11	Oct 12, 11	153 79	180 32		-26 53	
WEATHERFORD INTL LTD CHF	FIFO	30 000	Aug 12, 11	Oct 12, 11	422 99	518.32		-95.33	
	FIFO	5.000	Aug 18, 11	Oct 12, 11	70 50	81.51		-11 01	
	FIFO	2 000	Aug 24, 11	Oct 12, 11	28 20	30.66		-2 46	
	FIFO	5 000	Aug 31, 11	Oct 12, 11	70 50	85 54		-15 04	
	FIFO	6 000	Sep 01, 11	Oct 12, 11	84.60	103 32		-18 72	
	FIFO	6 000	Sep 01, 11	Oct 12, 11	84 59	103 36		-18 77	
	FIFO	6.000	Sep 02, 11	Oct 12, 11	84 60	96 99		-12 39	
	FIFO	5.000	Sep 06, 11	Oct 12, 11	70 50	77 55		-7 05	

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
YAHOO INC	FIFO	10 000	Jan 31, 11	Oct 12, 11	159 29	159 10			0.19
	FIFO	7.000	Feb 22, 11	Oct 12, 11	111 51	118 44		-6 93	
	FIFO	5.000	Mar 14, 11	Oct 12, 11	79 65	86 35		-6.70	
	FIFO	9 000	Apr 04, 11	Oct 12, 11	143 36	152 88		-9 52	
	FIFO	6 000	May 19, 11	Oct 12, 11	95 58	98 28		-2 70	
	FIFO	5 000	Oct 06, 11	Oct 12, 11	79 65	77 75			1 90
Total					**$21,548.98**	**$25,324.29**		**-$4,344.73**	**$569.42**
Net short-term capital gains and losses								**-$3,775.31**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALCOA INC	FIFO	1 000	Oct 02, 09	Oct 12, 11	9 95	12 78		-2 83	
	FIFO	5 000	Oct 02, 09	Oct 12, 11	49 75	64 60		-14 85	
	FIFO	8.000	Oct 09, 09	Oct 12, 11	79 60	113 34		-33 74	
	FIFO	2.000	Oct 12, 09	Oct 12, 11	19.90	28 86		-8 96	
	FIFO	4 000	Oct 13, 09	Oct 12, 11	39 80	56 88		-17 08	
	FIFO	4 000	Oct 15, 09	Oct 12, 11	39.79	57.16		-17 37	
	FIFO	3 000	Oct 16, 09	Oct 12, 11	29 85	42.27		-12.42	
	FIFO	4.000	Oct 22, 09	Oct 12, 11	39 80	56 04		-16.24	
	FIFO	4.000	Oct 23, 09	Oct 12, 11	39 80	54 80		-15 00	
	FIFO	6.000	Oct 26, 09	Oct 12, 11	59.70	79 38		-19 68	
	FIFO	8.000	Oct 29, 09	Oct 12, 11	79 60	102 88		-23 28	
	FIFO	6.000	Nov 20, 09	Oct 12, 11	59.70	77 88		-18 18	
	FIFO	7 000	Nov 24, 09	Oct 12, 11	69.65	90 51		-20.86	
	FIFO	3.000	Dec 04, 09	Oct 12, 11	29.84	39.30		-9.46	
	FIFO	4 000	Dec 09, 09	Oct 12, 11	39 80	52 16		-12.36	
	FIFO	5.000	Dec 31, 09	Oct 12, 11	49 75	81 50		-31.75	
	FIFO	4 000	Jan 25, 10	Oct 12, 11	39 80	53 80		-14.00	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	5 000	Jan 26, 10	Oct 12, 11	49.75	68 40		-18.65	
	FIFO	6 000	Jan 28, 10	Oct 12, 11	59.70	77.52		-17 82	
	FIFO	4 000	Feb 05, 10	Oct 12, 11	39.80	51.88		-12.08	
	FIFO	1 000	Feb 26, 10	Oct 12, 11	9.95	13 32		-3 37	
	FIFO	10 000	Apr 13, 10	Oct 12, 11	99 49	142 30		-42 81	
	FIFO	2 000	Apr 19, 10	Oct 12, 11	19 90	27.30		-7 40	
	FIFO	8 000	May 06, 10	Oct 12, 11	79 60	99.01		-19.41	
	FIFO	7 000	Jun 07, 10	Oct 12, 11	69 65	74 13		-4 48	
AMER ELECTRIC POWER CO	FIFO	33 000	Jan 25, 06	Oct 12, 11	1,271 79	1,229 25			42 54
	FIFO	4.000	Mar 06, 06	Oct 12, 11	154 16	143.08			11 08
	FIFO	6 000	Nov 16, 06	Oct 12, 11	231 24	248 88		-17.64	
	FIFO	5 000	Feb 02, 07	Oct 12, 11	192 69	221 50		-28 81	
	FIFO	4 000	Apr 19, 07	Oct 12, 11	154 16	199 22		-45 06	
	FIFO	5.000	Jun 05, 08	Oct 12, 11	192 69	214 78		-22 09	
	FIFO	5 000	Nov 24, 09	Oct 12, 11	192.70	160.70			32.00
AMER EXPRESS CO	FIFO	2 000	Jan 25, 06	Oct 12, 11	92 16	105.52		-13 36	
	FIFO	7 000	Jul 25, 06	Oct 12, 11	322 55	358.55		-36 00	
	FIFO	3 000	Nov 16, 06	Oct 12, 11	138 24	178.26		-40.02	
	FIFO	2 000	May 14, 07	Oct 12, 11	92 16	125 98		-33 82	
	FIFO	9 000	Jun 21, 07	Oct 12, 11	414 71	553 57		-138 86	
	FIFO	3.000	Apr 28, 08	Oct 12, 11	138 23	143.43		-5 20	
	FIFO	4.000	Nov 24, 08	Oct 12, 11	184.32	82 70			101 62
	FIFO	7 000	Mar 04, 09	Oct 12, 11	322.55	79.58			242 97
	FIFO	5 000	Nov 24, 09	Oct 12, 11	230 40	208 00			22 40
AMERIPRISE FINANCIAL INC	FIFO	8.000	Jul 24, 09	Oct 12, 11	334.63	200 26			134 37
	FIFO	5.000	Jul 28, 09	Oct 12, 11	209 15	132 54			76 61
	FIFO	5 000	Aug 05, 09	Oct 12, 11	209 14	141 30			67.84
	FIFO	2.000	Aug 10, 09	Oct 12, 11	83 66	58.00			25 66
	FIFO	1.000	Aug 17, 09	Oct 12, 11	41 83	27.79			14.04
	FIFO	1.000	Aug 18, 09	Oct 12, 11	41 83	27 91			13.92

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]584 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2 000	Sep 09, 09	Oct 12, 11	83 66	58 91			24 75
	FIFO	2 000	Nov 24, 09	Oct 12, 11	83 65	76 12			7.53
	FIFO	2 000	Dec 17, 09	Oct 12, 11	83 66	75 78			7 88
	FIFO	2 000	Mar 22, 10	Oct 12, 11	83 66	87.77		-4 11	
	FIFO	3 000	Apr 16, 10	Oct 12, 11	125 49	141 44		-15 95	
	FIFO	4 000	May 26, 10	Oct 12, 11	167 31	157 59			9.72
	FIFO	1.000	Jun 30, 10	Oct 12, 11	41 83	36 86			4 97
	FIFO	3 000	Oct 08, 10	Oct 12, 11	125 49	148 80		-23.31	
ANADARKO PETROLEUM CORP	FIFO	1.000	Jun 01, 10	Oct 06, 11	65 93	42.77			23 16
	FIFO	1 000	Jun 01, 10	Oct 12, 11	67 64	42 77			24 87
	FIFO	2.000	Jun 03, 10	Oct 12, 11	135 27	88 68			46 59
	FIFO	1 000	Jun 04, 10	Oct 12, 11	67 64	45 67			21 97
	FIFO	8 000	Jul 16, 10	Oct 12, 11	541 11	382 66			158 45
	FIFO	2 000	Jul 27, 10	Oct 12, 11	135 28	99 76			35 52
AT&T INC	FIFO	25 000	Jan 25, 06	Oct 12, 11	720 23	628 00			92.23
	FIFO	8.000	Feb 21, 06	Oct 12, 11	230 48	225.92			4 56
	FIFO	5.000	Feb 23, 06	Oct 12, 11	144 05	140.00			4.05
	FIFO	5 000	Mar 23, 06	Oct 12, 11	144 04	134 79			9 25
	FIFO	8.000	Nov 15, 06	Oct 12, 11	230 48	256 80		-26 32	
	FIFO	10 000	Nov 16, 06	Oct 12, 11	288.09	324 70		-36 61	
	FIFO	3.000	Nov 16, 06	Oct 12, 11	86 43	96.81		-10 38	
	FIFO	5 000	Apr 19, 07	Oct 12, 11	144 05	197 15		-53 10	
	FIFO	7 000	Nov 24, 09	Oct 12, 11	201 66	189 97			11.69
	FIFO	1.000	Jun 30, 10	Oct 12, 11	28 81	24.39			4 42
BAKER HUGHES INC	FIFO	1 000	Jul 06, 09	Oct 12, 11	53 55	34 20			19 35
	FIFO	3.000	Jul 10, 09	Oct 12, 11	160 65	103 20			57 45
	FIFO	4.000	Aug 05, 09	Oct 12, 11	214 19	155 05			59.14
	FIFO	1.000	Aug 31, 09	Oct 12, 11	53 55	34.91			18.64
	FIFO	2 000	Sep 17, 09	Oct 12, 11	107.10	79 76			27.34

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	5 000	Nov 24, 09	Oct 12, 11	267 74	205.50			62 24
	FIFO	4 000	May 10, 10	Oct 12, 11	214 20	187.34			26 86
	FIFO	2 000	May 19, 10	Oct 12, 11	107 09	87 58			19.51
	FIFO	5 000	Aug 04, 10	Oct 12, 11	267 75	209.16			58 59
CA INC	FIFO	4 000	Apr 21, 09	Oct 12, 11	85 84	68.71			17 13
	FIFO	6.000	Apr 27, 09	Oct 12, 11	128 75	107 80			20 95
	FIFO	3 000	May 05, 09	Oct 12, 11	64.38	51 72			12 66
	FIFO	7 000	Jun 03, 09	Oct 12, 11	150 22	123 69			26 53
	FIFO	2.000	Jun 09, 09	Oct 12, 11	42 92	35.82			7 10
	FIFO	2 000	Jun 18, 09	Oct 12, 11	42.92	34 06			8 86
	FIFO	6 000	Jun 25, 09	Oct 12, 11	128 75	105 50			23 25
	FIFO	6.000	Jul 01, 09	Oct 12, 11	128.76	105 07			23.69
	FIFO	4 000	Nov 24, 09	Oct 12, 11	85 84	88 48		-2 64	
	FIFO	2 000	Jan 07, 10	Oct 12, 11	42 92	45.54		-2.62	
	FIFO	3 000	Feb 02, 10	Oct 12, 11	64 37	66 33		-1 96	
	FIFO	3 000	May 18, 10	Oct 12, 11	64 38	61.59			2 79
CBS CORP NEW CL B	FIFO	2 000	May 06, 10	Oct 06, 11	42 95	30.00			12 95
	FIFO	3.000	May 06, 10	Oct 12, 11	70 23	45 00			25 23
	FIFO	18.000	May 10, 10	Oct 12, 11	421 37	278 43			142 94
	FIFO	4 000	May 11, 10	Oct 12, 11	93.64	61 44			32 20
	FIFO	25 000	May 19, 10	Oct 12, 11	585 23	364.99			220 24
	FIFO	5 000	Jun 29, 10	Oct 12, 11	117 05	66 86			50 19
	FIFO	2 000	Jun 30, 10	Oct 12, 11	46.82	26.66			20.16
CHEVRON CORP	FIFO	4 000	Jan 30, 06	Oct 12, 11	392 39	242 54			149 85
	FIFO	11.000	Aug 09, 06	Oct 12, 11	1,079 08	750 05			329.03
	FIFO	5 000	Sep 08, 06	Oct 12, 11	490.49	323.86			166 63
	FIFO	5 000	Nov 06, 06	Oct 12, 11	490 49	347 67			142 82
	FIFO	4.000	Nov 16, 06	Oct 12, 11	392 39	274 92			117 47
	FIFO	4 000	Apr 19, 07	Oct 12, 11	392 40	308.92			83.48
	FIFO	1 000	Nov 24, 09	Oct 12, 11	98 10	79.04			19 06

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Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-045C

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 000	Apr 12, 10	Oct 12, 11	294 29	241 95			52 34
	FIFO	5.000	Apr 15, 10	Oct 12, 11	490 49	407 40			83 09
COMCAST CORP NEW CL A	FIFO	16 500	Jan 25, 06	Oct 12, 11	382 30	299 19			83 11
	FIFO	7.500	Feb 23, 06	Oct 12, 11	173 77	133 30			40 47
	FIFO	19 000	Mar 08, 07	Oct 12, 11	440 22	495 13		-54 91	
	FIFO	7.000	May 14, 07	Oct 12, 11	162.19	187 23		-25 04	
	FIFO	29 000	Jun 08, 07	Oct 12, 11	671 91	761 62		-89 71	
	FIFO	18 000	Jan 08, 08	Oct 12, 11	417 05	310 46			106.59
	FIFO	8.000	Sep 25, 08	Oct 12, 11	185 36	160.36			25 00
	FIFO	4 000	Aug 06, 09	Oct 12, 11	92 68	60 80			31 88
	FIFO	8 000	Nov 24, 09	Oct 12, 11	185 35	120 80			64 55
	FIFO	3.000	Dec 22, 09	Oct 12, 11	69 51	51 57			17.94
DELL INC	FIFO	8 000	Dec 27, 07	Oct 06, 11	123 83	142 92	-57.29	-19 09	
	FIFO	14 000	Dec 27, 07	Oct 12, 11	227 91	350 36		-122 45	
	FIFO	6 000	Jan 07, 08	Oct 12, 11	97 68	126 69		-29.01	
	FIFO	5 000	Jan 25, 08	Oct 12, 11	81 40	101 66		-20 26	
	FIFO	4 000	Feb 04, 08	Oct 12, 11	65 12	80 00		-14 88	
	FIFO	5 000	Feb 12, 08	Oct 12, 11	81 40	99 30		-17 90	
	FIFO	6 000	Mar 04, 08	Oct 12, 11	97 68	116 54		-18.86	
	FIFO	4 000	Mar 24, 08	Oct 12, 11	65.11	82 65		-17 54	
	FIFO	8 000	Apr 04, 08	Oct 12, 11	130 24	156 96		-26.72	
	FIFO	5 000	Apr 28, 08	Oct 12, 11	81.40	94 89		-13.49	
	FIFO	5 000	May 19, 08	Oct 12, 11	81 40	106 75		-25.35	
	FIFO	7 000	Jun 27, 08	Oct 12, 11	113 95	155 82		-41 87	
	FIFO	13.000	Sep 17, 08	Oct 12, 11	211 64	216 49		-4 85	
	FIFO	7 000	Mar 17, 09	Oct 12, 11	113.96	63 35			50.61
	FIFO	5.000	May 29, 09	Oct 12, 11	81 40	57.38			24 02
	FIFO	5.000	Jun 23, 09	Oct 12, 11	81.39	64 80			16 59
	FIFO	10 000	Nov 24, 09	Oct 12, 11	162.80	143.00			19 80

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2.000	Aug 12, 10	Oct 12, 11	32.56	24 10			8.46
	FIFO	6 000	Oct 07, 11	Oct 12, 11	97 68	148 96	57 29	-51 28	
DEVON ENERGY CORP NEW	FIFO	2 000	Jun 03, 09	Oct 06, 11	114 47	128 52		-14 05	
	FIFO	1 000	Jun 03, 09	Oct 12, 11	59.28	64 26		-4 98	
	FIFO	2 000	Jun 08, 09	Oct 12, 11	118.56	126.56		-8 00	
	FIFO	1.000	Jun 10, 09	Oct 12, 11	59 28	63 47		-4 19	
	FIFO	1 000	Jun 16, 09	Oct 12, 11	59 27	62 47		-3 20	
	FIFO	1 000	Jun 17, 09	Oct 12, 11	59 28	60.57		-1.29	
	FIFO	1.000	Jun 17, 09	Oct 12, 11	59 28	61.65		-2 37	
	FIFO	2.000	Jun 22, 09	Oct 12, 11	118.56	113 28			5 28
	FIFO	2.000	Jun 26, 09	Oct 12, 11	118.56	110 98			7 58
	FIFO	2 000	Jul 06, 09	Oct 12, 11	118 55	100 99			17 56
	FIFO	2 000	Jul 17, 09	Oct 12, 11	118 56	111 86			6 70
	FIFO	1 000	Jul 22, 09	Oct 12, 11	59 28	56.37			2.91
	FIFO	2 000	Sep 17, 09	Oct 12, 11	118 56	142 70		-24 14	
	FIFO	2 000	Oct 02, 09	Oct 12, 11	118 56	128.30		-9 74	
	FIFO	1 000	Oct 15, 09	Oct 12, 11	59.27	71.42		-12.15	
	FIFO	2 000	Nov 18, 09	Oct 12, 11	118.56	141 55		-22 99	
	FIFO	2 000	Nov 24, 09	Oct 12, 11	118 56	134 94		-16 38	
	FIFO	1 000	Apr 05, 10	Oct 12, 11	59 28	67 00		-7 72	
	FIFO	3 000	May 11, 10	Oct 12, 11	177 83	204 48		-26 65	
ENSCO INTL LTD SPON ADR	FIFO	3.000	Oct 20, 09	Oct 12, 11	131.88	143 01		-11 13	
	FIFO	3 000	Oct 22, 09	Oct 12, 11	131 87	145 61		-13 74	
	FIFO	3 000	Oct 29, 09	Oct 12, 11	131.88	142 59		-10.71	
	FIFO	3 000	Nov 02, 09	Oct 12, 11	131 88	140 06		-8.18	
	FIFO	1 000	Nov 09, 09	Oct 12, 11	43 96	47 43		-3 47	
	FIFO	6.000	Nov 17, 09	Oct 12, 11	263 75	283 10		-19.35	
	FIFO	2 000	Nov 24, 09	Oct 12, 11	87.92	90.12		-2 20	
	FIFO	3.000	Jan 05, 10	Oct 12, 11	131 88	128.34			3 54
	FIFO	3.000	Jan 20, 10	Oct 12, 11	131.88	131.28			0 60

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2 000	Jan 22, 10	Oct 12, 11	87 92	84 63			3 29
	FIFO	2 000	Feb 03, 10	Oct 12, 11	87.91	82.89			5 02
	FIFO	2.000	Feb 16, 10	Oct 12, 11	87 92	83 30			4 62
	FIFO	2 000	Feb 18, 10	Oct 12, 11	87.92	83.95			3 97
	FIFO	2 000	Feb 23, 10	Oct 12, 11	87 92	84 86			3 06
	FIFO	1 000	Feb 25, 10	Oct 12, 11	43 96	43 59			0 37
	FIFO	1 000	Mar 23, 10	Oct 12, 11	43.96	44.02		-0 06	
	FIFO	2.000	Apr 08, 10	Oct 12, 11	87.92	93 83		-5 91	
	FIFO	5 000	May 10, 10	Oct 12, 11	219 79	215 75			4 04
GAP INC	FIFO	2 000	Jul 25, 07	Oct 12, 11	35 54	35 25			0.29
	FIFO	12 000	Oct 01, 07	Oct 12, 11	213 23	219 55		-6.32	
	FIFO	11 000	Jan 24, 08	Oct 12, 11	195 47	199 82		-4 35	
	FIFO	6 000	Apr 09, 08	Oct 12, 11	106 62	112 50		-5 88	
	FIFO	6 000	Apr 14, 08	Oct 12, 11	106 61	110.75		-4.14	
	FIFO	9 000	May 05, 08	Oct 12, 11	159 93	164 77		-4.84	
	FIFO	2 000	May 28, 08	Oct 12, 11	35 54	36 02		-0.48	
	FIFO	9 000	Jul 15, 08	Oct 12, 11	159 93	139 50			20 43
	FIFO	4.000	Nov 19, 08	Oct 12, 11	71 07	40 76			30 31
	FIFO	3 000	Jul 09, 09	Oct 12, 11	53.31	44 61			8.70
	FIFO	9 000	Nov 24, 09	Oct 12, 11	159 93	199 33		-39 40	
	FIFO	3 000	Aug 09, 10	Oct 12, 11	53 31	55 56		-2.25	
GENL ELECTRIC CO	FIFO	2 000	May 09, 07	Oct 12, 11	32 60	74 04		-41.44	
	FIFO	13 000	Jun 08, 07	Oct 12, 11	211 89	481.37		-269 48	
	FIFO	7 000	Sep 05, 07	Oct 12, 11	114 10	269 94		-155 84	
	FIFO	10.000	Sep 12, 07	Oct 12, 11	163.00	401 82		-238.82	
	FIFO	4.000	Sep 17, 07	Oct 12, 11	65 20	161.20		-96 00	
	FIFO	11 000	Oct 01, 07	Oct 12, 11	179 29	459 50		-280.21	
	FIFO	5 000	Oct 23, 07	Oct 12, 11	81 50	201.68		-120.18	
	FIFO	3.000	Nov 06, 07	Oct 12, 11	48 90	120 06		-71 16	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	8 000	Jan 07, 08	Oct 12, 11	130 40	288.72		-158 32	
	FIFO	5 000	May 16, 08	Oct 12, 11	81 49	160.76		-79.27	
	FIFO	4 000	Sep 17, 08	Oct 12, 11	65 20	96.43		-31 23	
	FIFO	3 000	Jan 14, 09	Oct 12, 11	48.90	42 36			6.54
	FIFO	6 000	Feb 24, 09	Oct 12, 11	97 80	51 84			45 96
	FIFO	8.000	Mar 12, 09	Oct 12, 11	130.40	73.85			56 55
	FIFO	9 000	Nov 24, 09	Oct 12, 11	146 69	145 71			0 98
	FIFO	10 000	Mar 26, 10	Oct 12, 11	163 00	183 50		-20 50	
	FIFO	9.000	Apr 15, 10	Oct 12, 11	146 70	176.13		-29 43	
HOLOGIC INC	FIFO	13 000	Apr 25, 08	Oct 12, 11	203 45	376.50		-173 05	
	FIFO	4 000	Apr 30, 08	Oct 12, 11	62 59	118 79		-56 20	
	FIFO	5 000	Apr 30, 08	Oct 12, 11	78 25	149 24		-70 99	
	FIFO	4 000	May 01, 08	Oct 12, 11	62 60	96 07		-33 47	
	FIFO	4 000	May 05, 08	Oct 12, 11	62 60	87 96		-25 36	
	FIFO	4 000	May 28, 08	Oct 12, 11	62 60	96 51		-33 91	
	FIFO	2 000	Jun 05, 08	Oct 12, 11	31 30	48 27		-16 97	
	FIFO	5 000	Jun 10, 08	Oct 12, 11	78 24	118 70		-40 46	
	FIFO	5.000	Jun 23, 08	Oct 12, 11	78 25	114.54		-36 29	
	FIFO	2.000	Jun 26, 08	Oct 12, 11	31 30	44 91		-13 61	
	FIFO	2 000	Jul 01, 08	Oct 12, 11	31.30	43 20		-11 90	
	FIFO	5 000	Aug 08, 08	Oct 12, 11	78 25	102 84		-24 59	
	FIFO	8 000	Sep 17, 08	Oct 12, 11	125 20	153 85		-28 65	
	FIFO	12 000	May 06, 09	Oct 12, 11	187.79	145.08			42.71
	FIFO	14 000	Jun 16, 09	Oct 12, 11	219 10	187.73			31.37
	FIFO	6 000	Oct 15, 09	Oct 12, 11	93 89	100 89		-7 00	
	FIFO	9 000	Nov 24, 09	Oct 12, 11	140 85	131.31			9 54
HOME DEPOT INC	FIFO	3 000	Mar 17, 08	Oct 12, 11	104 91	76 42			28.49
	FIFO	3 000	Mar 19, 08	Oct 12, 11	104.91	82.41			22.50
	FIFO	13.000	Mar 24, 08	Oct 12, 11	454 60	380.26			74 34
	FIFO	4 000	Jun 05, 08	Oct 12, 11	139 87	111 29			28 58

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]584 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-045[illegible]

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	4 000	Jun 11, 08	Oct 12, 11	139.88	104 26			35 62
	FIFO	7.000	Oct 10, 08	Oct 12, 11	244 78	135 20			109.58
	FIFO	5 000	Oct 15, 08	Oct 12, 11	174 85	100 35			74 50
	FIFO	8.000	Oct 23, 08	Oct 12, 11	279.75	150 64			129 11
	FIFO	3.000	Mar 17, 09	Oct 12, 11	104 91	63.22			41 69
	FIFO	2 000	May 19, 09	Oct 12, 11	69 94	49 81			20.13
	FIFO	5 000	Jul 23, 09	Oct 12, 11	174.85	126.55			48 30
	FIFO	3 000	Aug 18, 09	Oct 12, 11	104 90	80 40			24 50
	FIFO	4 000	Nov 17, 09	Oct 12, 11	139 88	107 20			32.68
	FIFO	6 000	Nov 24, 09	Oct 12, 11	209.82	165 24			44.58
	FIFO	3.000	May 10, 10	Oct 12, 11	104 90	105 72		-0.82	
	FIFO	2.000	Jun 30, 10	Oct 12, 11	69 94	57 54			12 40
HONEYWELL INTL INC	FIFO	1 000	Jan 25, 06	Oct 06, 11	44 76	36.15			8 61
	FIFO	23 000	Jan 25, 06	Oct 12, 11	1,101 68	831.45			270 23
	FIFO	4 000	Mar 06, 06	Oct 12, 11	191 59	164 04			27 55
	FIFO	5 000	Jul 07, 06	Oct 12, 11	239 50	195 69			43 81
	FIFO	6 000	Nov 16, 06	Oct 12, 11	287.39	260.28			27 11
	FIFO	3 000	Apr 19, 07	Oct 12, 11	143 70	146 11		-2.41	
	FIFO	2 000	Jan 14, 09	Oct 12, 11	95 80	64 22			31 58
	FIFO	3 000	Jul 27, 09	Oct 12, 11	143.69	101 75			41 94
	FIFO	4 000	Nov 24, 09	Oct 12, 11	191 60	155 20			36 40
	FIFO	2.000	Dec 16, 09	Oct 12, 11	95.80	81.80			14 00
	FIFO	1.000	Jan 29, 10	Oct 12, 11	47.90	39.00			8.90
	FIFO	2 000	Jun 30, 10	Oct 12, 11	95 79	79 56			16 23
	FIFO	5 000	Oct 01, 10	Oct 12, 11	239 50	221 05			18.45
INTEL CORP	FIFO	4 000	Sep 12, 08	Oct 06, 11	87 59	80 01			7 58
	FIFO	4 000	Sep 12, 08	Oct 12, 11	92 00	80 00			12.00
	FIFO	17 000	Sep 17, 08	Oct 12, 11	390 99	321 47			69 52
	FIFO	16 000	Sep 25, 08	Oct 12, 11	367 99	300 96			67 03

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	14 000	Sep 29, 08	Oct 12, 11	322 00	252.40			69 60
	FIFO	10 000	Oct 08, 08	Oct 12, 11	229 99	166.50			63.49
	FIFO	9 000	Jan 07, 09	Oct 12, 11	207 00	131.58			75 42
	FIFO	3 000	Apr 15, 09	Oct 12, 11	69.00	46 41			22 59
	FIFO	7.000	Apr 16, 09	Oct 12, 11	160 99	109 27			51 72
	FIFO	4 000	Jun 24, 09	Oct 12, 11	92 00	64.68			27 32
	FIFO	9 000	Nov 24, 09	Oct 12, 11	207 00	175 30			31 70
	FIFO	12 000	Aug 10, 10	Oct 12, 11	275.99	239 04			36 95
INTL BUSINESS MACH	FIFO	2 000	Apr 06, 06	Oct 06, 11	362.03	167 00			195 03
	FIFO	4.000	Nov 16, 06	Oct 06, 11	724 07	374 36			349 71
	FIFO	3 000	Nov 16, 06	Oct 06, 11	543 05	280 35			262 70
	FIFO	3.000	Apr 19, 07	Oct 06, 11	543 04	283 59			259 45
	FIFO	1 000	Nov 24, 09	Oct 06, 11	181.02	128 29			52 73
JPMORGAN CHASE & CO	FIFO	34.000	Jan 25, 06	Oct 12, 11	1,114 84	1,307.98		-193 14	
	FIFO	5 000	Feb 23, 06	Oct 12, 11	163 94	207 25		-43 31	
	FIFO	5 000	Jul 21, 06	Oct 12, 11	163 95	215.15		-51.20	
	FIFO	10.000	Nov 16, 06	Oct 12, 11	327 89	479 20		-151.31	
	FIFO	7 000	Feb 12, 07	Oct 12, 11	229 53	353.20		-123 67	
	FIFO	3 000	Mar 27, 07	Oct 12, 11	98 37	145 38		-47 01	
	FIFO	5.000	Jun 10, 08	Oct 12, 11	163 94	192.75		-28 81	
	FIFO	5 000	Aug 25, 08	Oct 12, 11	163 95	183 27		-19 32	
	FIFO	6 000	Nov 24, 09	Oct 12, 11	196 74	254 70		-57 96	
KIMBERLY CLARK CORP	FIFO	3 000	Jun 14, 06	Oct 06, 11	212 03	181 39			30 64
	FIFO	2 000	Jun 14, 06	Oct 12, 11	143 16	120 92			22 24
	FIFO	9 000	Sep 01, 06	Oct 12, 11	644.20	578 52			65.68
	FIFO	7 000	Nov 16, 06	Oct 12, 11	501 06	464 45			36 61
	FIFO	4 000	Apr 19, 07	Oct 12, 11	286 31	286 48		-0 17	
	FIFO	5 000	Jan 25, 08	Oct 12, 11	357 89	320 68			37 21
	FIFO	4.000	Jul 15, 08	Oct 12, 11	286.32	224.26			62.06
	FIFO	5 000	Nov 24, 09	Oct 12, 11	357 89	328 35			29 54

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STATEMENT A
SCHEDULE D - ATTACHMENT

UBS Business Services Account

Account name:	ROTH FAMILY FOUNDATION
Friendly account name:	Core Foundation
Account number:	[redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
KRAFT FOODS INC CL A	FIFO	3.000	Jan 25, 06	Oct 06, 11	100 07	86 07			14.00
	FIFO	31 000	Jan 25, 06	Oct 12, 11	1,069 79	889 39			180 40
	FIFO	5 000	Feb 23, 06	Oct 12, 11	172 54	150 00			22 54
	FIFO	9.000	Nov 16, 06	Oct 12, 11	310 59	320 85		-10 26	
	FIFO	8.000	Feb 02, 07	Oct 12, 11	276 07	271 96			4 11
	FIFO	11.000	Feb 12, 07	Oct 12, 11	379 60	377.95			1 65
	FIFO	12.000	Mar 29, 07	Oct 12, 11	414.11	378 60			35 51
	FIFO	5.000	Sep 15, 09	Oct 12, 11	172.55	130 50			42 05
	FIFO	4.000	Sep 30, 09	Oct 12, 11	138 04	103 12			34 92
	FIFO	7 000	Nov 24, 09	Oct 12, 11	241 56	191 10			50 46
LENNAR CORP *RECLASSIFICATION AS OF 4/03*	FIFO	3 000	Nov 24, 08	Oct 12, 11	45 60	13 72			31.88
	FIFO	8 000	Dec 29, 08	Oct 12, 11	121 60	68 08			53 52
	FIFO	6.000	Jan 12, 09	Oct 12, 11	91 19	50 48			40.71
	FIFO	7.000	Jan 15, 09	Oct 12, 11	106 40	54 04			52 36
	FIFO	4 000	Jan 30, 09	Oct 12, 11	60 80	31.24			29.56
	FIFO	16 000	May 29, 09	Oct 12, 11	243 19	147 88			95 31
	FIFO	12 000	Jun 16, 09	Oct 12, 11	182 40	92 79			89.61
	FIFO	9 000	Jun 23, 09	Oct 12, 11	136 80	68 76			68 04
	FIFO	8.000	Sep 25, 09	Oct 12, 11	121 60	118 40			3 20
	FIFO	7 000	Nov 24, 09	Oct 12, 11	106 39	91 42			14.97
	FIFO	4 000	Mar 31, 10	Oct 12, 11	60 80	69 36		-8.56	
	FIFO	5.000	Jun 07, 10	Oct 12, 11	76 00	75 10			0 90
	FIFO	16 000	Jun 29, 10	Oct 12, 11	243 19	226.36			16.83
	FIFO	4 000	Aug 24, 10	Oct 12, 11	60 80	51 56			9 24
MEADWESTVACO CORP	FIFO	16 000	Jan 25, 06	Oct 12, 11	425 75	435 84		-10 09	
	FIFO	5 000	Feb 23, 06	Oct 12, 11	133 05	140 65		-7 60	
	FIFO	12 000	Sep 22, 06	Oct 12, 11	319.31	313 14			6.17
	FIFO	12 000	Nov 16, 06	Oct 12, 11	319 31	349 44		-30 13	

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Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	7 000	Nov 24, 09	Oct 12, 11	186.27	187 95		-1 68	
MORGAN STANLEY	FIFO	9 000	Dec 14, 09	Oct 06, 11	134.55	267.75		-133 20	
	FIFO	4 000	Dec 15, 09	Oct 06, 11	59 81	119 60		-59.79	
	FIFO	3 000	Dec 17, 09	Oct 06, 11	44.85	87 60		-42 75	
	FIFO	4 000	Dec 18, 09	Oct 06, 11	59.80	116.40		-56.60	
	FIFO	4 000	Dec 21, 09	Oct 06, 11	59 80	118.00		-58 20	
	FIFO	4 000	Dec 23, 09	Oct 06, 11	59.80	117.32		-57 52	
	FIFO	3 000	Dec 29, 09	Oct 06, 11	44 86	88 02		-43 16	
	FIFO	3 000	Dec 31, 09	Oct 06, 11	44.85	88.65		-43 80	
	FIFO	3 000	Jan 12, 10	Oct 06, 11	44 85	93 18		-48 33	
	FIFO	1.000	Jan 13, 10	Oct 06, 11	14 95	31 32		-16 37	
	FIFO	3 000	Jan 15, 10	Oct 06, 11	44 85	90.36		-45 51	
	FIFO	4 000	Jan 21, 10	Oct 06, 11	59 80	117 00		-57 20	
	FIFO	2.000	Jan 22, 10	Oct 06, 11	29.90	56.64		-26.74	
	FIFO	2 000	Jan 25, 10	Oct 06, 11	29 91	56.14		-26.23	
	FIFO	3 000	Jan 26, 10	Oct 06, 11	44 85	84 51		-39 66	
	FIFO	3 000	Jan 29, 10	Oct 06, 11	44 85	81 57		-36 72	
	FIFO	2 000	Mar 26, 10	Oct 06, 11	29 90	57 46		-27 56	
	FIFO	3 000	Mar 26, 10	Oct 07, 11	42 96	86 19		-43.23	
	FIFO	5 000	May 06, 10	Oct 07, 11	71.59	141 25		-69 66	
	FIFO	2 000	May 06, 10	Oct 12, 11	31 52	56 50		-24 98	
	FIFO	7 000	May 10, 10	Oct 12, 11	110 32	202 44		-92 12	
	FIFO	6.000	May 12, 10	Oct 12, 11	94 55	164 10		-69 55	
MOTOROLA SOLUTIONS INC	FIFO	0 573	Oct 01, 07	Oct 12, 11	25 68	53.94		-28 26	
	FIFO	1.857	Oct 04, 07	Oct 12, 11	83 21	140.53		-57 32	
	FIFO	0 857	Oct 12, 07	Oct 12, 11	38.40	66 25		-27 85	
	FIFO	1.143	Nov 06, 07	Oct 12, 11	51 21	82.04		-30 83	
	FIFO	0.714	Nov 13, 07	Oct 12, 11	32.00	48.22		-16 22	
	FIFO	3.143	Nov 26, 07	Oct 12, 11	140 83	195.89		-55 06	
	FIFO	2.571	Dec 26, 07	Oct 12, 11	115 21	169.79		-54.58	

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	0 857	Dec 27, 07	Oct 12, 11	38 40	56 69		-18.29	
	FIFO	3.000	Jan 24, 08	Oct 12, 11	134 43	123 60			10 83
	FIFO	1 571	Mar 24, 08	Oct 12, 11	70 39	61 52			8.87
	FIFO	0 714	Mar 25, 08	Oct 12, 11	31 99	28 21			3.78
	FIFO	1.857	Sep 11, 08	Oct 12, 11	83 21	59 97			23 24
	FIFO	2 857	Oct 15, 08	Oct 12, 11	128 02	61 67			66 35
	FIFO	0 714	Jun 24, 09	Oct 12, 11	32 00	18.31			13 69
	FIFO	1.000	Jul 01, 09	Oct 12, 11	44 81	26 48			18 33
	FIFO	2 143	Nov 24, 09	Oct 12, 11	96 02	71 29			24.73
	FIFO	1 429	Jan 22, 10	Oct 12, 11	64 03	42.16			21 87
	FIFO	1 714	Jan 28, 10	Oct 12, 11	76 81	44 84			31 97
	FIFO	2.571	Apr 05, 10	Oct 12, 11	115 20	73.91			41 29
	FIFO	3.429	Oct 01, 10	Oct 12, 11	153 65	119.06			34.59
	FIFO	0 714	Oct 11, 10	Oct 12, 11	32 00	24 11			7 89
PFIZER INC	FIFO	5 000	Jul 27, 06	Oct 12, 11	94 35	128 00		-33 65	
	FIFO	10 000	Aug 01, 06	Oct 12, 11	188 69	261 80		-73 11	
	FIFO	9 000	Aug 17, 06	Oct 12, 11	169.83	243 27		-73 44	
	FIFO	10.000	Sep 07, 06	Oct 12, 11	188 70	273.70		-85.00	
	FIFO	4 000	Oct 05, 06	Oct 12, 11	75 48	111.60		-36 12	
	FIFO	20 000	Oct 26, 06	Oct 12, 11	377 39	543 92		-166 53	
	FIFO	7 000	Nov 15, 06	Oct 12, 11	132 09	184 80		-52 71	
	FIFO	9 000	Nov 16, 06	Oct 12, 11	169 82	241 29		-71 47	
	FIFO	2 000	Nov 16, 06	Oct 12, 11	37 74	53 60		-15 86	
	FIFO	21 000	Mar 08, 07	Oct 12, 11	396 26	534 61		-138 35	
	FIFO	6 000	Mar 27, 07	Oct 12, 11	113 22	152 82		-39 60	
	FIFO	10.000	Apr 24, 07	Oct 12, 11	188.70	262.10		-73 40	
	FIFO	10.000	Jul 19, 07	Oct 12, 11	188.69	250 35		-61.66	
	FIFO	9 000	Jan 07, 08	Oct 12, 11	169 83	208 66		-38 83	
	FIFO	15.000	Nov 24, 09	Oct 12, 11	283.05	276.44			6 61

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	16 000	Jun 29, 10	Oct 12, 11	301 91	227 52			74 39
SPRINT NEXTEL CORP SERIES 1 COMMON STOCK	FIFO	29 000	Jul 19, 10	Oct 12, 11	71 92	132 53		-60 61	
	FIFO	20 000	Jul 20, 10	Oct 12, 11	49 60	93 40		-43 80	
	FIFO	20 000	Jul 21, 10	Oct 12, 11	49 60	92.00		-42 40	
	FIFO	20 000	Jul 23, 10	Oct 12, 11	49.60	94.20		-44 60	
	FIFO	18 000	Jul 27, 10	Oct 12, 11	44 63	88 02		-43 39	
	FIFO	22 000	Jul 29, 10	Oct 12, 11	54 56	103 84		-49 28	
	FIFO	27 000	Aug 02, 10	Oct 12, 11	66 96	126 79		-59.83	
	FIFO	20.000	Aug 16, 10	Oct 12, 11	49.60	87 40		-37.80	
	FIFO	18 000	Aug 26, 10	Oct 12, 11	44 64	73.44		-28 80	
STATE STREET CORP	FIFO	5.000	Apr 15, 10	Oct 12, 11	166 95	240.13		-73 18	
	FIFO	3 000	Apr 16, 10	Oct 12, 11	100 16	139.59		-39 43	
	FIFO	6 000	Apr 23, 10	Oct 12, 11	200 34	266 66		-66 32	
	FIFO	3 000	Jun 14, 10	Oct 12, 11	100 17	111 72		-11.55	
	FIFO	8.000	Jun 29, 10	Oct 12, 11	267 11	272 97		-5.86	
	FIFO	4 000	Aug 19, 10	Oct 12, 11	133 56	147 92		-14 36	
	FIFO	3 000	Oct 08, 10	Oct 12, 11	100 17	116 09		-15 92	
SYMANTEC CORP	FIFO	30.000	Apr 23, 10	Oct 12, 11	538 79	518 10			20.69
	FIFO	7.000	Apr 27, 10	Oct 12, 11	125 71	120 05			5.66
	FIFO	8 000	Jun 04, 10	Oct 12, 11	143 68	113 19			30 49
	FIFO	16 000	Jun 29, 10	Oct 12, 11	287.35	224 15			63 20
	FIFO	5 000	Aug 02, 10	Oct 12, 11	89.80	65 75			24 05
TE CONNECTIVITY LTD CHF	FIFO	0 250	Oct 10, 06	Oct 06, 11	7.43	8 13		-0 70	
	FIFO	1 750	Oct 26, 06	Oct 06, 11	52 02	59.94		-7 92	
	FIFO	0.500	Oct 26, 06	Oct 12, 11	16 72	17 13		-0.41	
	FIFO	3 750	Nov 06, 06	Oct 12, 11	125 44	127 52		-2.08	
	FIFO	1.250	Nov 16, 06	Oct 12, 11	41.81	44 03		-2 22	
	FIFO	1 250	Nov 16, 06	Oct 12, 11	41 81	44 41		-2 60	
	FIFO	2.500	Mar 08, 07	Oct 12, 11	83.63	91 18		-7 55	

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION
Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-0450

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	2 000	Mar 22, 07	Oct 12, 11	66 89	74 35		-7 46	
	FIFO	0 500	Mar 27, 07	Oct 12, 11	16 73	18 24		-1 51	
	FIFO	0.750	May 14, 07	Oct 12, 11	25 09	28 31		-3 22	
	FIFO	3.500	Jun 21, 07	Oct 12, 11	117 07	143 67		-26 60	
	FIFO	9 000	Sep 17, 07	Oct 12, 11	301.04	296 96			4.08
	FIFO	12 000	Oct 04, 07	Oct 12, 11	401 39	428 31		-26 92	
	FIFO	12 000	Dec 31, 07	Oct 12, 11	401.40	445 95		-44 55	
	FIFO	2 000	Mar 17, 08	Oct 12, 11	66 90	63 28			3 62
	FIFO	9 000	Dec 08, 08	Oct 12, 11	301 04	160 48			140.56
	FIFO	9 000	Dec 19, 08	Oct 12, 11	301.04	137 79			163 25
	FIFO	6 000	Jan 28, 09	Oct 12, 11	200 70	87.81			112 89
	FIFO	5 000	Jun 24, 09	Oct 12, 11	167 25	90 48			76 77
	FIFO	8.000	Nov 24, 09	Oct 12, 11	267.59	188 39			79 20
TENET HEALTHCARE CORP	FIFO	25 000	Feb 05, 07	Oct 12, 11	112 00	179 81		-67.81	
	FIFO	6 000	Mar 22, 07	Oct 12, 11	26 88	40 62		-13.74	
	FIFO	70 000	Dec 04, 07	Oct 12, 11	313 59	378 05		-64 46	
	FIFO	7.000	Mar 24, 08	Oct 12, 11	31 36	37 82		-6 46	
	FIFO	18.000	Mar 25, 08	Oct 12, 11	80 63	97 94		-17.31	
	FIFO	56 000	Nov 07, 08	Oct 12, 11	250 88	129 87			121.01
	FIFO	18 000	Nov 24, 09	Oct 12, 11	80 64	91 57		-10 93	
	FIFO	27 000	Aug 03, 10	Oct 12, 11	120.95	119.96			0 99
TEREX CORP DELA NEW	FIFO	13 000	Jun 07, 10	Oct 12, 11	168 09	263 19		-95 10	
	FIFO	3.000	Jun 07, 10	Oct 12, 11	38 78	58 17		-19 39	
	FIFO	4 000	Jun 10, 10	Oct 12, 11	51 72	79 96		-28 24	
	FIFO	6.000	Jun 21, 10	Oct 12, 11	77 58	135 81		-58.23	
	FIFO	3.000	Jun 22, 10	Oct 12, 11	38.79	66 24		-27.45	
	FIFO	12.000	Jun 29, 10	Oct 12, 11	155.16	229.30		-74 14	
	FIFO	2 000	Jun 30, 10	Oct 12, 11	25 86	38 48		-12.62	
	FIFO	4.000	Jul 01, 10	Oct 12, 11	51.71	70.52		-18 81	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	4 000	Aug 02, 10	Oct 12, 11	51.72	83.29		-31 57	
	FIFO	5.000	Aug 19, 10	Oct 12, 11	64 65	95 50		-30 85	
TEXTRON INC	FIFO	26.000	Feb 05, 10	Oct 12, 11	480 99	489.44		-8 45	
	FIFO	4 000	Feb 08, 10	Oct 12, 11	74 00	76.20		-2 20	
	FIFO	7 000	Feb 10, 10	Oct 12, 11	129 49	132 62		-3 13	
	FIFO	2.000	Feb 11, 10	Oct 12, 11	37 00	37 88		-0 88	
	FIFO	2 000	Mar 01, 10	Oct 12, 11	37 00	40.25		-3 25	
	FIFO	5.000	Mar 15, 10	Oct 12, 11	92 50	109 20		-16 70	
	FIFO	15 000	May 10, 10	Oct 12, 11	277 49	335 79		-58 30	
	FIFO	4 000	May 18, 10	Oct 12, 11	74 00	89.13		-15 13	
	FIFO	2.000	Jun 04, 10	Oct 12, 11	37 00	38 45		-1.45	
	FIFO	6 000	Jun 07, 10	Oct 12, 11	111.00	109 56			1 44
	FIFO	4 000	Jun 16, 10	Oct 12, 11	74.00	80 76		-6 76	
	FIFO	7 000	Jun 24, 10	Oct 12, 11	129 50	131 88		-2 38	
	FIFO	4.000	Jun 30, 10	Oct 12, 11	73 99	68 77			5 22
	FIFO	4 000	Jul 15, 10	Oct 12, 11	74.00	72 17			1.83
	FIFO	3 000	Jul 16, 10	Oct 12, 11	55 50	53.15			2 35
	FIFO	3 000	Aug 19, 10	Oct 12, 11	55 50	54 36			1 14
TIME WARNER INC NEW	FIFO	2 000	Jan 25, 06	Oct 06, 11	62 13	71 99		-9 86	
	FIFO	3.821	Jan 25, 06	Oct 12, 11	124.70	137 57		-12 87	
	FIFO	2.321	Feb 21, 06	Oct 12, 11	75 76	86 32		-10 56	
	FIFO	1.658	Feb 23, 06	Oct 12, 11	54 11	60 30		-6.19	
	FIFO	4 311	Nov 16, 06	Oct 12, 11	140.70	184 95		-44.25	
	FIFO	2 985	Mar 02, 07	Oct 12, 11	97 40	125 33		-27 93	
	FIFO	5 638	Mar 08, 07	Oct 12, 11	183 98	236 71		-52 73	
	FIFO	2.653	Mar 22, 07	Oct 12, 11	86 58	111 21		-24.63	
	FIFO	1 658	Mar 27, 07	Oct 12, 11	54 11	70.13		-16 02	
	FIFO	8.292	Jun 21, 07	Oct 12, 11	270.57	375.65		-105 08	
	FIFO	1 658	Mar 11, 08	Oct 12, 11	54 11	51 23			2 88
	FIFO	5.000	Nov 24, 09	Oct 12, 11	163 15	146.72			16.43

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EIN# 86-0594042
ROTH FAMILY FOUNDATION

UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-045(

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
TRAVELERS COS INC/THE	FIFO	26.000	Jan 25, 06	Oct 12, 11	1,324 15	1,158 30			165 85
	FIFO	5 000	Feb 08, 06	Oct 12, 11	254 65	218 41			36.24
	FIFO	4 000	Mar 06, 06	Oct 12, 11	203 71	169 72			33.99
	FIFO	9 000	Mar 20, 06	Oct 12, 11	458 36	378 73			79 63
	FIFO	6 000	Nov 16, 06	Oct 12, 11	305 58	311.58		-6 00	
	FIFO	4.000	Apr 24, 07	Oct 12, 11	203.71	216 33		-12 62	
	FIFO	4.000	Nov 24, 09	Oct 12, 11	203 72	212 28		-8 56	
TYCO INTL LTD CHF	FIFO	0 250	Oct 10, 06	Oct 06, 11	10 42	10.82		-0 40	
	FIFO	2 250	Oct 26, 06	Oct 06, 11	93 82	102.56		-8 74	
	FIFO	0 500	Nov 06, 06	Oct 06, 11	20 85	22 62		-1.77	
	FIFO	3.250	Nov 06, 06	Oct 12, 11	142 35	147.05		-4 70	
	FIFO	1 250	Nov 16, 06	Oct 12, 11	54 75	58 60		-3.85	
	FIFO	1.250	Nov 16, 06	Oct 12, 11	54 74	59 12		-4.38	
	FIFO	2 500	Mar 08, 07	Oct 12, 11	109 50	121 33		-11.83	
	FIFO	2.000	Mar 22, 07	Oct 12, 11	87 60	98 94		-11 34	
	FIFO	0.500	Mar 27, 07	Oct 12, 11	21 90	24 28		-2.38	
	FIFO	0 750	May 14, 07	Oct 12, 11	32 85	37.69		-4 84	
	FIFO	3 500	Jun 21, 07	Oct 12, 11	153 30	191.16		-37 86	
	FIFO	5 000	Nov 06, 07	Oct 12, 11	218 99	205.63			13 36
	FIFO	18.000	Jan 08, 08	Oct 12, 11	788 38	687 09			101.29
	FIFO	6.000	Sep 17, 08	Oct 12, 11	262 80	237.88			24 92
	FIFO	1.000	Jul 30, 09	Oct 12, 11	43 80	30 04			13 76
	FIFO	8 000	Nov 24, 09	Oct 12, 11	350 39	289 32			61 07
UNITED STATES STEEL CORP NEW	FIFO	3 000	Mar 30, 09	Oct 06, 11	68 35	64 03			4 32
	FIFO	1 000	Apr 30, 09	Oct 06, 11	22 78	26 31		-3.53	
	FIFO	1.000	May 05, 09	Oct 06, 11	22 78	30.49		-7 71	
	FIFO	1 000	May 05, 09	Oct 10, 11	22.85	30.49		-7 64	
	FIFO	3 000	May 13, 09	Oct 10, 11	68.56	82 56		-14.00	

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Friendly account name: Core Foundation
Account number: [redacted]584 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	1 000	Jun 23, 09	Oct 10, 11	22 85	33.69		-10 84	
	FIFO	2 000	Jun 23, 09	Oct 12, 11	47.20	67 37		-20.17	
	FIFO	4.000	Nov 24, 09	Oct 12, 11	94.40	169 04		-74 64	
	FIFO	5 000	Jan 26, 10	Oct 12, 11	117 99	255 62		-137 63	
	FIFO	1 000	May 03, 10	Oct 12, 11	23 60	54 93		-31.33	
	FIFO	3 000	May 20, 10	Oct 12, 11	70 80	140.65		-69 85	
	FIFO	5 000	Jun 30, 10	Oct 12, 11	118 00	197 38		-79 38	
	FIFO	3 000	Jul 27, 10	Oct 12, 11	70 80	136.89		-66 09	
VALERO ENERGY CORP NEW	FIFO	3 000	Aug 15, 08	Oct 12, 11	65 91	103 52		-37 61	
	FIFO	3 000	Sep 03, 08	Oct 12, 11	65 91	104 52		-38.61	
	FIFO	3 000	Sep 05, 08	Oct 12, 11	65.91	96 44		-30.53	
	FIFO	2 000	Sep 10, 08	Oct 12, 11	43 93	60.94		-17.01	
	FIFO	7 000	Sep 25, 08	Oct 12, 11	153.79	248 42		-94.63	
	FIFO	2.000	Sep 30, 08	Oct 12, 11	43.94	60.82		-16 88	
	FIFO	7.000	Jun 04, 09	Oct 12, 11	153 79	130.98			22 81
	FIFO	4 000	Jul 01, 09	Oct 12, 11	87 88	70.20			17.68
	FIFO	4 000	Sep 09, 09	Oct 12, 11	87 87	74 40			13 47
	FIFO	2 000	Oct 23, 09	Oct 12, 11	43 94	42 02			1 92
	FIFO	4 000	Nov 24, 09	Oct 12, 11	87 88	64 00			23 88
	FIFO	5.000	Dec 11, 09	Oct 12, 11	109.85	82 60			27.25
	FIFO	2.000	Dec 28, 09	Oct 12, 11	43.94	33 68			10 26
	FIFO	4.000	Jan 27, 10	Oct 12, 11	87 88	74 04			13 84
YAHOO INC	FIFO	1 000	Aug 25, 09	Oct 12, 11	15.93	15 03			0 90
	FIFO	6 000	Sep 02, 09	Oct 12, 11	95 58	84 24			11 34
	FIFO	2.000	Oct 09, 09	Oct 12, 11	31 86	33.86		-2 00	
	FIFO	6 000	Oct 23, 09	Oct 12, 11	95 57	103.38		-7 81	
	FIFO	5 000	Oct 28, 09	Oct 12, 11	79 65	81 55		-1 90	
	FIFO	5.000	Nov 12, 09	Oct 12, 11	79 65	80 92		-1 27	
	FIFO	3.000	Nov 19, 09	Oct 12, 11	47.79	46 74			1.05
	FIFO	5 000	Nov 24, 09	Oct 12, 11	79 65	76 65			3 00

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UBS Business Services Account

Account name: ROTH FAMILY FOUNDATION
Friendly account name: Core Foundation
Account number: [redacted]84 60

EIN# 86-0594042
ROTH FAMILY FOUNDATION

Your Financial Advisor:
MITCHELL/ABDY TEAM
520-750-7500/800-235-045C

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3.000	Dec 11, 09	Oct 12, 11	47 79	47.34			0 45
	FIFO	4.000	Jan 07, 10	Oct 12, 11	63.72	66 92		-3 20	
	FIFO	7 000	Jan 27, 10	Oct 12, 11	111.50	111.30			0 20
	FIFO	14 000	Mar 26, 10	Oct 12, 11	223 02	229 60		-6 58	
	FIFO	6 000	May 10, 10	Oct 12, 11	95 58	97 80		-2 22	
Total					**$79,028.82**	**$78,607.80**		**-$9,863.27**	**$10,284.29**
Net long-term capital gains or losses									**$421.02**
Net capital gains/losses:								**-$3,354.29**	

STATEMENT C
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY

As of Date: 2/03/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(704) 383-9465

Account Number: [redacted]0708

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
MOTOROLA MOBILITY HOLDINGS INC CUSIP 620097105	X	7.6250	37 99030	VARIOUS	08/22/11	289.67	212 56	77 11	SALE
SPRINT NEXTEL CORP CUSIP 852061100	X	60.0000	4 40520	07/19/10	03/21/11	264.30	274 20	-9.90	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						**2,442.62**	**2,373.93**	**68.69**	
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						**1,446.45**	**1,331.07**	**115.38**	

LONG TERM GAINS OR LOSSES (Box 8)

Proceeds from Broker and Barter Exchange Transactions for 2011 SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
ALCOA INC CUSIP 013817101	X	9.0000	16 44000	10/02/09	01/05/11	147.95	115 02	32 93	SALE
	X	7 0000	18 07000	10/02/09	04/11/11	126 48	89 46	37.02	SALE
AT & T INC CUSIP 00206R102	X	14.0000	28 57070	01/25/06	08/15/11	399 98	351.68	48.30	SALE
BAKER HUGHES INC CUSIP 057224107	X	7.0000	66.53440	VARIOUS	01/27/11	465.73	227.21	238 52	SALE
	X	3.0000	71.56750	03/03/09	03/24/11	214.69	80.99	133.70	SALE
	X	5.0000	76.26080	VARIOUS	04/27/11	381 29	149 05	232 24	SALE
	X	1 0000	73 34240	04/21/09	06/07/11	73.33	31 44	41 89	SALE
	X	4.0000	79.45920	VARIOUS	07/21/11	317.83	138.27	179.56	SALE
CA INC CUSIP 12673P105	X	5 0000	22.54130	04/16/09	05/13/11	112 70	87 56	25 14	SALE

STATEMENT C
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

WELLS FARGO ADVISORS

2011 SUMMARY

As of Date: 2/03/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(704) 383-9465

Account Number: [redacted]0708

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
CBS CORP CL B CUSIP 124857202	X	8.0000	26.73160	05/06/10	05/23/11	213.84	120 00	93.84	SALE
	X	5 0000	27 08000	05/06/10	05/26/11	135.39	75.00	60 39	SALE
CVS CAREMARK CORP CUSIP 126650100	X	36 0000	34 05960	VARIOUS	03/31/11	1,226 11	999.35	226.76	SALE
DELL INC CUSIP 24702R101	X	6 0000	15 78000	VARIOUS	02/16/11	94 67	149.68	-55 01	SALE
FLEXTRONICS INTL CUSIP Y2573F102	X	11.0000	7.93000	10/12/07	02/09/11	87 22	126 03	-38 81	SALE
	X	16.0000	7 92840	10/12/07	02/11/11	126.84	183 32	-56 48	SALE
	X	14.0000	7 30550	10/12/07	03/16/11	102 27	160 41	-58.14	SALE
	X	11 0000	6 30000	10/12/07	06/20/11	69 29	126.03	-56 74	SALE
	X	22 0000	6.30000	10/12/07	06/23/11	138 59	252 06	-113 47	SALE
	X	27 0000	6 33000	10/12/07	06/27/11	170 90	309 34	-138.44	SALE
	X	158.0000	6.44360	VARIOUS	06/30/11	1,018 07	1,375 86	-357 79	SALE
GAP INC CUSIP 364760108	X	18.0000	19 78740	07/25/07	02/03/11	356 16	317.30	38.86	SALE
HOME DEPOT INC CUSIP 437076102	X	7.0000	38 06450	03/13/08	02/22/11	266 44	184.09	82.35	SALE
	X	3 0000	37 34510	VARIOUS	05/17/11	112 03	78 07	33 96	SALE
INTEL CORP CUSIP 458140100	X	1 0000	21.41100	09/12/08	02/01/11	21 40	20 01	1.39	SALE
INTERNATIONAL BUSINESS MACHINE CORP CUSIP 459200101	X	3.0000	162.79970	VARIOUS	08/18/11	488 39	244 98	243 41	SALE
	X	1.0000	162 97180	04/06/06	08/23/11	162.96	83.50	79.46	SALE

STATEMENT C
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY

As of Date: 2/03/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(704) 383-9465

Account Number: [redacted]0708

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
	X	3.0000	167.99570	04/06/06	09/15/11	503 98	250 50	253 48	SALE
LENNAR CORPORATION CLASS A CUSIP 526057104	X	7 0000	20.45400	VARIOUS	01/12/11	143 17	56 60	86 57	SALE
MBIA INC CUSIP 55262C100	X	6 0000	13 93010	VARIOUS	01/12/11	83.57	43 79	39 78	SALE
	X	9 0000	10.09000	10/15/09	04/18/11	90 80	54 46	36.34	SALE
	X	22 0000	6 94560	VARIOUS	08/09/11	152 79	117 27	35 52	SALE
	X	55 0000	6 42570	VARIOUS	08/12/11	353 38	200 24	153.14	SALE
MEADWESTVACO CORP CUSIP 583334107	X	3.0000	28.38730	01/25/06	01/24/11	85.15	81.72	3.43	SALE
	X	5 0000	30.30320	01/25/06	02/18/11	151 51	136.20	15 31	SALE
	X	2.0000	33.65160	01/25/06	04/28/11	67 29	54.48	12.81	SALE
	X	6.0000	32.65910	01/25/06	06/14/11	195.94	163.44	32 50	SALE
MOTOROLA MOBILITY HOLDINGS INC CUSIP 620097105	X	0.1250	N/A	10/01/07	01/04/11	4 04	7.89	-3.85	CASH IN LIEU
	X	27.3750	37.99030	VARIOUS	08/22/11	1,039 90	1,034 83	5 07	SALE
TE CONNECTIVITY LTD CUSIP H84989104	X	5 0000	36 45460	10/10/06	07/13/11	182.26	162.63	19 63	SALE
TENET HEALTHCARE CORP CUSIP 88033G100	X	18.0000	6 77500	VARIOUS	04/12/11	121 94	131 54	-9 60	SALE
TIME WARNER INC NEW CUSIP 887317303	X	4.0000	34.95100	01/25/06	02/02/11	139.79	144.00	-4 21	SALE
	X	2.0000	38.14130	01/25/06	03/01/11	76.27	72.00	4.27	SALE
	X	3 0000	35 90590	01/25/06	03/10/11	107.71	107.99	-0.28	SALE

WELLS FARGO ADVISORS

STATEMENT C
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY

As of Date: 2/03/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(704) 383-9465

Account Number: [redacted]0708

Year-End Account Summary

This Information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
TRAVELERS COS INC/ THE CUSIP 89417E109	X	5.0000	58 69220	01/25/06	03/21/11	293 45	222.75	70 70	SALE
TYCO INTERNATIONAL LTD SHS CUSIP H89128104	X	5.0000	52 18350	10/10/06	04/15/11	260 91	216 38	44.53	SALE
UNITED STATES STEEL CORP NEW CUSIP 912909108	X	1.0000	62.70240	03/24/09	02/18/11	62 69	23 58	39 11	SALE
WASTE MGMT INC DEL CUSIP 94106L109	X	37.0000	37.02290	VARIOUS	02/28/11	1,369 82	1,264.41	105 41	SALE
WATSON PHARMACEUTICALS INC CUSIP 942683103	X	8.0000	54 09490	01/25/06	01/28/11	432 75	267.60	165.15	SALE
	X	5.0000	56 42870	01/25/06	02/15/11	282 13	167 25	114 88	SALE
	X	5 0000	54.57690	VARIOUS	03/16/11	272 87	164.16	108.71	SALE
	X	6.0000	54.86910	VARIOUS	03/21/11	329 20	179.69	149.51	SALE
	X	3.0000	55 37690	03/17/06	03/22/11	166 12	87 36	78.76	SALE
	X	10.0000	55.54380	VARIOUS	03/29/11	555 42	260.72	294.70	SALE
	X	14.0000	56 30860	VARIOUS	03/31/11	788 30	485.51	302.79	SALE
YAHOO INC CUSIP 984332106	X	12.0000	16.85760	08/14/09	05/11/11	202 28	179.04	23 24	SALE
	X	7.0000	13.75530	VARIOUS	07/25/11	96.28	102.89	-6.61	SALE
	X	15.0000	13.43000	VARIOUS	09/07/11	201.44	225 05	-23.61	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						**15,843.70**	**12,741.68**	**3,102.02**	

* Box 2: Sales price less commissions and option premiums

STATEMENT D
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY AMENDMENT

Amended Date: 3/02/12

WELLS FARGO ADVISORS

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(800) 359-9297

Account Number [redacted]0806

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

The Internal Revenue Service has made changes to Form 1099-B ("Proceeds From Broker and Barter Exchange Transactions") reporting requirements. To ensure consistency between our treatment of reportable and non-reportable accounts, significant modifications to our statements have been made starting with Tax Year 2011. We are providing cost basis information as supplemental information when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE Please consult with your Financial Advisor or Tax Advisor regarding specific questions

SHORT TERM GAINS OR LOSSES (Box 8)
Proceeds from Broker and Barter Exchange Transactions for 2011

SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
INVESCO PLC CUSIP G491BT108		12 0000	23.50760	01/19/11	06/30/11	282 08	300.03	-17 95	SALE
ALLSTATE CORP CUSIP 020002101	X	5.0000	26.42140	11/11/10	08/05/11	132 11	152 95	-20 84	SALE
AMC NETWORKS INC CLASS A CUSIP 00164V103	X	2 5000	37.25990	11/11/10	07/14/11	93.15	80.56	12 59	SALE
AMEREN CORP CUSIP 023608102	X	5.0000	28.48260	11/11/10	02/08/11	142.41	145.85	-3 44	SALE
AON CORP CUSIP 037389103	X	5 0000	50 50000	11/11/10	06/13/11	252 50	207.10	45.40	SALE
DREAMWORKS ANIMATION SKG INC-A CUSIP 26153C103	X	20 0000	28 18280	VARIOUS	03/01/11	563 65	670 25	-106.60	SALE
X **GENZYME CORPORATION** CUSIP 372WDR999	X	5.0000	N/A	11/11/10	04/28/11	381.83	349.95	31.82	MERGER
TIME WARNER CABLE CUSIP 88732J207	X	5.0000	70 23660	11/11/10	03/29/11	351.18	309.85	41 33	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						282.08	300.03	-17.95	
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						1,916.83	1,916.51	0.26	

STATEMENT D
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY AMENDMENT

Amended Date: 3/02/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(800) 359-9297

Account Number: [redacted]0806

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 8)
Proceeds from Broker and Barter Exchange Transactions for 2011

SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
ALLSTATE CORP CUSIP 020002101	X	16.0000	32.50850	03/27/09	02/09/11	520 13	325 49	194.64	SALE
	X	16.0000	31.51340	VARIOUS	04/15/11	504.20	331.76	172 44	SALE
	X	19.0000	33.54580	06/02/09	05/06/11	637 35	505 75	131.60	SALE
	X	28.0000	26.42140	VARIOUS	08/05/11	739.78	701.94	37 84	SALE
AMC NETWORKS INC CLASS A CUSIP 00164V103	X	15 5000	37.25990	VARIOUS	07/14/11	577.51	328 78	248.73	SALE
AMEREN CORP CUSIP 023608102	X	14 0000	28 48260	VARIOUS	02/08/11	398.74	625.86	-227 12	SALE
AON CORP CUSIP 037389103	X	5 0000	50 53760	01/24/06	06/10/11	252 68	181 25	71 43	SALE
	X	16 0000	50 50000	VARIOUS	06/13/11	807 97	639 17	168.80	SALE
CHIMERA INVESTMENT CORP CUSIP 16934Q109	X	130.0000	4 12090	05/28/09	01/19/11	535 70	425.10	110.60	SALE
CLOROX COMPANY CUSIP 189054109	X	11 0000	74 68050	11/09/09	07/20/11	821.47	674 62	146 85	SALE
CONSOLIDATED EDISON INC CUSIP 209115104	X	9 0000	50 13980	05/21/08	02/11/11	451 25	373.52	77.73	SALE
COVIDIEN PLC CUSIP G2554F113	X	20.0000	52.12040	10/10/07	03/30/11	1,042 38	829.96	212.42	SALE
	X	21.0000	47 42090	10/10/07	08/11/11	995.82	871.45	124.37	SALE
DREAMWORKS ANIMATION SKG INC-A CUSIP 26153C103	X	26 0000	28 18280	VARIOUS	03/01/11	732.73	727.57	5 16	SALE

WELLS FARGO ADVISORS

STATEMENT D
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY AMENDMENT

Amended Date: 3/02/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
Z201 ACAT REP
401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(800) 359-9297

Account Number: [redacted]0806

Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
X **GENZYME CORPORATION** CUSIP 372WDR999	X	33.0000	N/A	VARIOUS	04/28/11	2,519.62	2,096.27	423.40	MERGER
HEALTH CARE REIT INC REIT CUSIP 42217K106	X	10.0000	52.11310	01/24/06	05/27/11	521 12	340 90	180 22	SALE
MFA FINANCIAL INC CUSIP 55272X102	X	58.0000	8.27950	09/01/09	02/07/11	480.20	449.35	30.85	SALE
	X	56 0000	7.02000	VARIOUS	09/14/11	393 11	436 25	-43.14	SALE
MILLICOM INTL CELLULAR S.A.(NEW) CUSIP L6388F110	X	17 0000	104 03950	VARIOUS	05/26/11	1,768 63	789.35	979.28	SALE
TIME WARNER CABLE CUSIP 88732J207	X	10.0000	65.44990	VARIOUS	01/14/11	654.48	1,239.20	-584 72	SALE
	X	9.0000	68.25000	VARIOUS	03/18/11	614.23	997 37	-383.14	SALE
	X	11.0000	70.23660	VARIOUS	03/29/11	772.58	518 64	253.94	SALE
WEATHRFORD INTERNATIONAL LTD REG CUSIP H27013103	X	27.0000	17.71310	04/30/10	08/15/11	478 24	494.86	-16.62	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						17,219.92	14,904.41	2,315.56	

OTHER TERM GAINS OR LOSSES (Box 8)

Proceeds from Broker and Barter Exchange Transactions for 2011 SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
IVANHOE MINES LTD RTS EXP 01/26/11 CUSIP 46579N137	X	41 0000	1 51230	01/10/11	01/11/11	61.99	N/A	N/A	SALE

STATEMENT D
SCHEDULE D - ATTACHMENT
EIN# 86-0594042
ROTH FAMILY FOUNDATION

2011 SUMMARY AMENDMENT

Amended Date: 3/02/12

ROTH FAMILY FOUNDATION
7181 E VENTANA CANYON DRIVE
TUCSON AZ 85750-0917

Your Financial Advisor :
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401 SOUTH TRYON STREET
CHARLOTTE, NC 28202
(800) 359-9297

Account Number: [redacted]0806

Year-End Account Summary

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Proceeds from Broker and Barter Exchange Transactions for 2011 *Continued* SUPPLEMENTAL INFORMATION

Description (Box 9)	Non-Covered (Box 6)	Share Quantity	Sale Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Proceeds (Box 2)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
SPDR GOLD TRUST ET CUSIP 78463V107	X	31 0000	N/A	N/A	01/05/11	1 47	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor:		0 000354471
	X	31 0000	N/A	N/A	02/04/11	1.38	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor		0 000336685
	X	31 0000	N/A	N/A	03/03/11	1.21	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor		0.000281236
	X	31.0000	N/A	N/A	04/07/11	1.41	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor·		0 000319228
	X	31.0000	N/A	N/A	05/09/11	1 52	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor		0 000333782
	X	31.0000	N/A	N/A	06/08/11	1 50	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor		0 000321858
	X	31.0000	N/A	N/A	07/08/11	1.53	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor.		0.000327575
	X	31.0000	N/A	N/A	08/10/11	1.57	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor·		0.000292563
	X	31.0000	N/A	N/A	09/09/11	1 75	N/A	N/A	PRINCIPAL PAYMENT
							Cost Basis Allocation Factor·		0.000312966
TOTAL OTHER TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						**75.33**		**0.00**	
							75.33		

* Box 2· Sales price less commissions and option premiums

Form **8868** (Rev January 2012)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions ROTH FAMILY FOUNDATION	Employer identification number (EIN) or [X] 86-0594042
File by the due date for filing your return See instructions	Number, street, and room or suite number If a P O box, see instructions 7181 E. VENTANA CANYON DRIVE	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code For a foreign address, see instructions TUCSON, AZ 85750	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JOAN ROTH

Telephone No. ► 520-529-1213 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ► [ ] . If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for.

► [X] calendar year 20 11 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 0.
c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2012)

FIFZ0501L 01/04/12