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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation The Anna and John J. Sie Foundation		A Employer identification number 83-6058353
Number and street (or P.O. box number if mail is not delivered to street address) 3300 East First Avenue	Room/suite 390	B Telephone number 303-468-6060
City or town, state, and ZIP code Denver, CO 80206		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 77,375,191.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	152,408.	152,408.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	452,703.			
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		452,703.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	445,298.	441,495.		Statement 1
12 Total. Add lines 1 through 11	1,050,409.	1,046,606.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	44,525.	120.		44,405.
14 Other employee salaries and wages	43,654.	0.		43,654.
15 Pension plans, employee benefits	44,712.	0.		44,712.
16a Legal fees Stmt 2	14,162.	0.		14,162.
b Accounting fees Stmt 3	43,994.	7,591.		36,403.
c Other professional fees Stmt 4	108,498.	95,000.		13,498.
17 Interest				
18 Taxes Stmt 5	19,938.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	3,878.	0.		3,878.
22 Printing and publications				
23 Other expenses Stmt 6	39,007.	0.		39,007.
24 Total operating and administrative expenses. Add lines 13 through 23	362,368.	102,711.		239,719.
25 Contributions, gifts, grants paid	6,066,355.			6,066,355.
26 Total expenses and disbursements. Add lines 24 and 25	6,428,723.	102,711.		6,306,074.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<5,378,314.>			
b Net investment income (if negative, enter -0-)		943,895.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	25,448,755.	5,698,841.	5,698,841.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 7	26,354,697.	28,717,612.	28,632,349.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 8	28,398,121.	40,406,806.	43,043,001.
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶ Public service videos)	1,000.	1,000.	1,000.	
16 Total assets (to be completed by all filers)	80,202,573.	74,824,259.	77,375,191.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	80,202,573.	74,824,259.	
30 Total net assets or fund balances	80,202,573.	74,824,259.		
31 Total liabilities and net assets/fund balances	80,202,573.	74,824,259.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	80,202,573.
2 Enter amount from Part I, line 27a	2	<5,378,314.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	74,824,259.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	74,824,259.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statement				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e			452,703.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			452,703.	
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2		452,703.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	7,486,884.	47,714,064.	.156911
2009	3,779,245.	44,978,082.	.084024
2008	4,009,879.	53,651,838.	.074739
2007	3,034,106.	60,547,761.	.050111
2006	3,921,524.	57,546,850.	.068145
2 Total of line 1, column (d)			2 .433930
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .086786
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 82,185,625.
5 Multiply line 4 by line 3			5 7,132,562.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 9,439.
7 Add lines 5 and 6			7 7,142,001.
8 Enter qualifying distributions from Part XII, line 4			8 6,306,074.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	18,878.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	18,878.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	18,878.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	22,948.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	22,948.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,070.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ 4,070. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of Mary Beth Wallingford Telephone no. 303-468-6060 Located at 3300 East First Avenue, Denver, CO ZIP+4 80206			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country N/A	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years N/A	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anna M. Sie	Trustee			
3300 East First Avenue #390				
Denver, CO 80206	6.50	0.	0.	0.
John J. Sie	Trustee			
3300 East First Avenue #390				
Denver, CO 80206	25.50	12,000.	22,423.	0.
Michelle S. Whitten	Executive Director			
3300 East First Avenue #390				
Denver, CO 80206	10.00	32,525.	4,386.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	68,396,811.
b Average of monthly cash balances	1b	15,040,372.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	83,437,183.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	83,437,183.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,251,558.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	82,185,625.
6 Minimum investment return. Enter 5% of line 5	6	4,109,281.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	4,109,281.
2a Tax on investment income for 2011 from Part VI, line 5	2a	18,878.
b Income tax for 2011. (This does not include the tax from Part VI)	2b	378.
c Add lines 2a and 2b	2c	19,256.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	4,090,025.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	4,090,025.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,090,025.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,306,074.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,306,074.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,306,074.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				4,090,025.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009	1,028,299.			
e From 2010	5,133,802.			
f Total of lines 3a through e	6,162,101.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 6,306,074.			0.	
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				4,090,025.
e Remaining amount distributed out of corpus	2,216,049.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	8,378,150.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	8,378,150.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009	1,028,299.			
d Excess from 2010	5,133,802.			
e Excess from 2011	2,216,049.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Statement 10				6,066,355.
Total			▶ 3a	6,066,355.
b <i>Approved for future payment</i> See Statement 11				20,991,267.
Total			▶ 3b	20,991,267.

Part XVII. Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | |
|--|---------------------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <p>Yes</p> |
| <p>1a(1)</p> | <p>1a(1)</p> |
| <p>1a(2)</p> | <p>1a(2)</p> |
| <p>1b(1)</p> | <p>1b(1)</p> |
| <p>1b(2)</p> | <p>1b(2)</p> |
| <p>1b(3)</p> | <p>1b(3)</p> |
| <p>1b(4)</p> | <p>1b(4)</p> |
| <p>1b(5)</p> | <p>1b(5)</p> |
| <p>1b(6)</p> | <p>1b(6)</p> |
| <p>1c</p> | <p>1c</p> |

	Yes	No
1a(1)	X	
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

 7/18/12  Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Laurie Anderson	<i>Laurie Anderson</i>	5.24.12		P01416697
	Firm's name ▶ Kundinger, Corder & Engle, PC			Firm's EIN ▶	
	Firm's address ▶ 475 Lincoln St., Ste. 200 Denver, CO 80203			Phone no. 303-534-5953	

The Anna and John J. Sie Foundation

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	Wellington Equity Research Partnership	P	Various	Various
b	Newport Asia Partnership	P	Various	Various
c	Highclere Capital Partnership	P	Various	Various
d	Indus Structured	P	Various	Various
e	Sowood Alpha	P	Various	Various
f	Palo Alto UBTI Free	P	Various	Various
g	Eton Park	P	Various	Various
h	Longleaf Small Cap Mutual Fund	P	Various	Various
i	Mellon	P	Various	Various
j	Other	P	Various	Various
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			546,736.
b			<7,502.>
c			<90,793.>
d			<33,370.>
e			<1,585.>
f			<167,551.>
g			2,016.
h			224,334.
i			1,855.
j			<21,437.>
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			546,736.
b			<7,502.>
c			<90,793.>
d			<33,370.>
e			<1,585.>
f			<167,551.>
g			2,016.
h			224,334.
i			1,855.
j			<21,437.>
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	452,703.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Form 990-PF	Other Income		Statement	1
Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	
Wellington Equity Research	101,232.	101,232.		
Newport Asia Institutional Fund	30,829.	30,829.		
Highclere Investment Fund	45,557.	45,557.		
Fortress Credit Opportunities Fund	3,803.	3,803.		
Fortress Credit Opportunities Fund	263,877.	263,877.		
Less unrelated business income from limited partnership	0.	<3,803.>		
Total to Form 990-PF, Part I, line 11	445,298.	441,495.		

Form 990-PF	Legal Fees		Statement	2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Brownstein Hyatt Farber Schreck, LLP	14,162.	0.		14,162.
To Fm 990-PF, Pg 1, ln 16a	14,162.	0.		14,162.

Form 990-PF	Accounting Fees		Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
John Curran	12,682.	6,341.		6,341.
Kundinger, Corder & Engle, P.C.	2,500.	1,250.		1,250.
Nonprofit Financial Solutions, P.C.	1,562.	0.		1,562.
Sandeep Krishnamurthy	18,750.	0.		18,750.
Sherry Ly	8,500.	0.		8,500.
To Form 990-PF, Pg 1, ln 16b	43,994.	7,591.		36,403.

Form 990-PF	Other Professional Fees	Statement 4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Comstock	20,000.	20,000.		0.
Monticello Associates, Inc.	75,000.	75,000.		0.
Sara Ann Hanlon	6,000.	0.		6,000.
Janicek Entertainment	7,498.	0.		7,498.
To Form 990-PF, Pg 1, ln 16c	108,498.	95,000.		13,498.

Form 990-PF	Taxes	Statement 5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2011 estimated excise tax payment	20,000.	0.		0.
Refund prior year tax	<2,304.>	0.		0.
Income tax paid with Form 990-T	1,675.	0.		0.
Other taxes paid in 2011	567.	0.		0.
To Form 990-PF, Pg 1, ln 18	19,938.	0.		0.

Form 990-PF	Other Expenses	Statement 6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Human resources	12,510.	0.		12,510.
Office supplies	11,729.	0.		11,729.
Telephone	9,020.	0.		9,020.
Postage	331.	0.		331.
Payroll processing fee	2,506.	0.		2,506.
Subscriptions	1,251.	0.		1,251.
Public relations and promotion	1,660.	0.		1,660.
To Form 990-PF, Pg 1, ln 23	39,007.	0.		39,007.

Form 990-PF	Corporate Stock	Statement	7
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Description	Book Value	Fair Market Value
Longleaf Small Cap Fund	4,002,482.	3,421,276.
Dodge & Cox International Equity Fund	7,277,641.	5,427,525.
DirectTV	9,563,172.	10,317,176.
Liberty Media Corporation	7,874,317.	9,466,372.
Total to Form 990-PF, Part II, line 10b	28,717,612.	28,632,349.

Form 990-PF	Other Investments	Statement	8
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Description	Valuation Method	Book Value	Fair Market Value
Wellington Trust Company, CTF	COST		
Research Equity Fund		8,966,914.	7,201,880.
Palo Alto Small Cap Fund	COST	37,500.	28,682.
Newport Asia	COST	3,117,040.	3,148,610.
Highclere Investment Fund	COST	2,961,347.	2,926,635.
ICAP Offshore Absolute Return Fund	COST	2,029,200.	2,494,496.
Viking Global Equities	COST	2,000,000.	3,248,306.
Highside Offshore Ltd	COST	2,000,000.	1,662,150.
Maverick Fund LDC	COST	2,000,000.	1,686,306.
Highfields Capital	COST	2,000,000.	2,232,197.
Indus Structured Finance	COST	1,200,618.	830,913.
Fortress Credit Opportunities Fund	COST	1,490,543.	1,525,727.
CamCap Energy Offshore Fund	COST	4,500,000.	4,047,082.
Convexity Capital Offshore LP	COST	4,655,303.	8,326,193.
Sowood Alpha Fund	COST	74,511.	40,411.
Eaton Park Overseas Fund	COST	3,373,830.	3,643,413.
Total to Form 990-PF, Part II, line 13		40,406,806.	43,043,001.

Form 990-PF	Part XV - Line 1a List of Foundation Managers	Statement	9
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Name of Manager

Anna M. Sie
John J. Sie

The Anna & John J Sie Foundation

83-6058353 Year 2011

Form: 990PF; Part XV, Line 3a

Grants Paid During the Year

Statement 10

Name	Name Address	Donee		Foundation Status	Purpose	Amount
		Relationship	Status			
Adam's Camp	6767 South Spruce Street, Suite 102 Centennial, CO 80112	N/A	Public		Sponsorship and scholarship support for camp for children with special needs	5,000.00
Arthritis Foundation	Rocky Mountain Chapter 2280 S Albion Street Denver, CO 80222	N/A	Public		Improve lives through leadership in the prevention, control and cure of arthritis and related diseases	5,000.00
Asian Performing Arts of Colorado Inc	7000 E Quincy Ave, B-306 Denver CO 80237-2207	N/A	Public		Promoting cultural exchanges and helping young talents of Asian heritage to advance their study in the United States	200,000.00
California Community Foundation	221 S Figueroa St, Suite 400 Los Angeles CA 90012	N/A	Community Trust		CCF Improves communities in Los Angeles County by encouraging charitable giving, making impactful grants and engaging in the civic life of the country It is not just what we do, it's who we are	10,000.00
CESASC	1750 Fulton Ave Monterey Park, CA 91755	N/A	Public		Endowment for perpetual scholarship to students of Chinese descent to continue their American College education	2,500.00
Children's Diabetes Foundation	c/o Brass Ring Luncheon, 777 Grant Street, Suite 302 Denver, CO 80203	N/A	Public		To support research in childhood diabetes and to provide the best possible clinical and educational programs for children with diabetes	5,000.00
Children's Diabetes Foundation	c/o Brass Ring Luncheon, 777 Grant Street, Suite 302 Denver, CO 80203	N/A	Public		To support research in childhood diabetes and to provide the best possible clinical and educational programs for children with diabetes	300.00
Clyfford Still Museum	1250 Bannock St Denver CO 80204	N/A	Public		Dedicated to advancing the understanding and appreciation of Clyfford Still's art and legacy through the presentation, research, interpretation and stewardship of its unique collections	5,000.00
Colorado Ballet	1278 Lincoln St Denver CO 80203	N/A	Public		Mission is to present superior quality classical ballet and innovative dance through performances, training, and education programs that enhance the cultural life of our community	5,000.00
Colorado Ballet	1278 Lincoln St Denver CO 80203	N/A	Public		Mission is to present superior quality classical ballet and innovative dance through performances, training, and education programs that enhance the cultural life of our community	20,000.00

Grants Paid 990 PF

Name	Name Address	Donee Relationship	Foundation		Amount
			Status	Purpose	
Colorado Cross-Disability Coalition	655 Broadway, Suite 775 Denver CO 80203	N/A	Public	Supporting Asian American Pacific Islander cultural events in the Rocky Mountain Region	5,000 00
Colorado Dragon Boat Festival	11049 West 44th Avenue, #110 Wheat Ridge, CO 80033	N/A	Public	Supporting Asian American Pacific Islander cultural events in the Rocky Mountain Region	500 00
Committee of 100, Inc	677 Fifth Avenue 5th Floor New York, NY 10022	N/A	Public	To support work of the Chinese-American community in furthering US-China relations	5,000.00
Democracy and Media Education Foundation	3195 11th Street Boulder, CO 80304-2527	N/A	Public	To support the promotion of civic education and civil discourse in Colorado and America	50,000 00
Democracy and Media Education Foundation	3195 11th Street Boulder, CO 80304-2527	N/A	Public	To support the promotion of civic education and civil discourse in Colorado and America	185,000.00
Denver Academy	4400 East Iliff Avenue Denver, CO 80222	N/A	Public	Assist future generations of children with learning differences who will need financial aid to attend the school	1,600.00
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	10,000.00
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Fifth and final payment of \$500,000 pledge To develop and present exhibits and educational offerings	100,000.00
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	25,000.00
Denver Art Museum	100 W 14th Ave Parkway Denver, CO 80204-2788	N/A	Public	Support to help enrich the Denver community through dynamic cultural exhibitions, exceptional adult and family programs and renowned collections	286,000 00
Denver Botanic Gardens	909 York Street Denver CO 80206-3751	N/A	Public	Connecting people with plants, horticultural displays, educational outreach, research programs and conservation efforts	5,000.00
Denver Botanic Gardens	909 York Street Denver CO 80206-3751	N/A	Public	Connecting people with plants, horticultural displays, educational outreach, research programs and conservation efforts	20,000 00

Name	Name Address	Donee		Foundation Status	Purpose	Amount
		Relationship	Status			
Denver Center for the Performing Arts	1101 13th Street Denver, CO 80204	N/A	Public	Support to help enrich the Denver community through theatre that engages, excites, provokes and inspires both artists and audiences and creates a center for lifelong learning and civic engagement	25,000.00	
Denver Dumb Friends League	2080 S Quebec St Denver CO 80231-3298	N/A	Public	Helping homeless, neglected and abused animals and providing an equine facility for abused and neglected horses	10,000.00	
Denver Film Society	900 Auraria Parkway Denver, CO 80204	N/A	Public	Support the Starz Denver Film Festival, dedicated to the celebration and cultivation of film as art	20,000.00	
Denver Health and Hospitals Foundation	655 Broadway, Suite 750 Denver, CO 80203	N/A	Public	To support Denver Health as a critical network of health care resources in trauma, orthopedics, eating disorders and infectious disease so needed in the Denver area	10,000.00	
Denver Options, Inc	9900 East Iliff Avenue Denver, CO 80231	N/A	Public	Responding to the needs of individuals with developmental and intellectual disabilities, especially when no other agency in Denver can respond	5,000.00	
Denver Scholarship Fund	303 E 17th Avenue, Suite 200, Denver, CO 80203	N/A	Public	Supporting scholarships to help Denver Public Schools students get into, pay for and graduate from college	5,000.00	
Denver School of Science and Technology	3401 Quebec St, Suite 7200 Denver, CO 80207	N/A	Public	Support the Hip to be Square event benefiting the education for students with special needs	25,000.00	
Denver Zoo	2300 Steele Street Denver, CO 80205	N/A	Public	Sponsorship benefiting conservation and education	5,000.00	
Denver Zoo	2300 Steele Street Denver, CO 80205	N/A	Public	Sponsorship benefiting conservation and education	5,000.00	
Developmental Pathways, Inc	325 Inverness Drive S Englewood, CO 80112	N/A	Public	Support the Pathways Achievement Awards to benefit people with developmental disabilities	1,500.00	
Duke University	104 Vista Rose Court, Cary NC 27513	N/A	Public	Enduring commitment to the benefits of diversity, Anna's Angels Foundation 8th Annual Gala	5,000.00	
Friends of the Haven	P O Box 102375 Denver, CO 80250	N/A	Public	To support improving the quality of life and productivity of individuals and families affected by substance abuse, dependence and co-occurring mental health disorders	5,000.00	

Name	Name Address	Donee Relationship	Foundation		Amount
			Status	Purpose	
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the Be Beautiful Be Yourself Jet Set Fashion Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	5,000.00
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the Be Beautiful Be Yourself Jet Set Fashion Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	25,000 00
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the Be Beautiful Be Yourself Jet Set Fashion Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	50,000.00
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the DC Gala to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	75,000.00
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting the DC Gala Show to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	25,000 00
Global Down Syndrome Foundation	3300 E 1st Avenue, Suite 390 Denver, CO 80206	Sister	Public	Supporting LCI to improve the lives of people with Down Syndrome by supporting basic research, clinical research and clinical care	453,295.04
Glory Community	4195 Broadmoor Loop Broomfield, CO 80023	N/A	Public	Working to build our residential community for 40 adults with developmental disabilities in Broomfield to create a model for others to build more communities so our residents can live and work with their friends around them in their adult life	2,500.00
Governor's Residence Preservation Fund	400 East 8th Avenue Denver CO 80203	N/A	Public	Provide for the preservation and safe keeping of the century old Boettcher Mansion and to cultivate leadership and civic engagement with the youth of the state through meaningful programs and activities, building bridges to every part of the state to preserve historic treasures	25,000 00
Grand Lake Yacht Club Sailing Foundation	PO Box 624 Granby CO 80446	N/A	Public	Benefit the Grand Lake Yacht Club Sailing School and the disabled/handicapped sailing program, including the National Sports Center for the Disabled and other selective projects related to sailing	10,000.00

Name	Name Address	Donee		Foundation Status	Purpose	Amount
		Relationship	Status			
Hospice of Metro Denver	501 s Cherry St, Suite 700 Denver CO 80246	N/A	Public	Encircle those facing advanced illness with unprecedented levels of comfort, compassion and expertise, caring for everyone facing end the of life, including the underserved and uninsured in our community, as we head into a future filled with challenges as our population ages	5,000.00	
Hyde Park Foundation-Diamond in the Rough	3000 East 1st Ave Suite 243 Denver CO 80206	N/A	Private Foundation	For programs that deal with youth in the community supporting at-risk youth, wellness for children, etc	5,000 00	
I CON Foundation	3600 S Beeler St Suite 100, Denver CO 80237	N/A	Public	Dedicated to improving the lives of neglected children and disadvantaged people in remote communities all over the world, whose lives have been made vulnerable through war, disease, and circumstances beyond their control	4,700.00	
International Mental Health Research Org	PO Box 680 Rutherford CA 94573	N/A	Public	Committed to raising awareness and funding research to find preventions and cures for schizophrenia, major depression and bipolar disorder within a generation	1,000 00	
Jewish Family Services	3201 South Tamarac Dr Denver, CO 80231-4394	N/A	Public	Helping seniors age in place, providing mental health counseling to individuals and families in distress, offering training and placement services to those with barriers to employment, and providing crisis intervention and food to people in need	360.00	
John Lynch Foundation	P O Box 172247 Tampa, FL 33672	N/A	Public	Developing leaders by encouraging dreams, by providing programs that assist and motivate and by recognizing achievement and excellence	10,000 00	
John Lynch Foundation	P O Box 172247 Tampa, FL 33672	N/A	Public	Developing leaders by encouraging dreams, by providing programs that assist and motivate and by recognizing achievement and excellence	5,000.00	
Judi's House	1741 Gaylord St Denver CO 80206	N/A	Public	Provide long-term, clinically proven efforts to help children and caregivers in their processing of grief through in-house programs, as well as partnerships with schools, workplaces, and community agencies We ensure that grieving children and their families do not lose sight that hope and healing is possible	15,000 00	

Name	Name Address	Donee Relationship	Foundation		Amount
			Status	Purpose	
Keshet of the Rockies	PO Box 200726 Denver, CO 80220	N/A	Public	Helping Jewish Day schools and teachers adapt curriculum to meet special challenges and work one-on-one with students	10,000.00
Make-a-Wish Foundation - Colorado Chapter	7951 E Maplewood Avenue, Suite 126 Greenwood Village, CO 80111	N/A	Public	Granting the wishes of children with life-threatening medical conditions to enrich the human experience with hope, strength, and joy	10,000.00
Metropolitan Association for Retarded, Arc Thrift Stores	Arc Thrift, 7721 West 6th Avenue, Suite G Lakewood, CO 80214	N/A	Public	Advocating for the rights and full participation of all children and adults with intellectual and developmental disabilities Sponsorship of the Starlight and Dreams celebration	10,000.00
Mile High Down Syndrome Association Inc	3515 S Tamarac Dr, Suite 320 Denver CO 80237	N/A	Public	Supporting individuals with Down Syndrome and their families	5,000.00
Mile High Down Syndrome Association Inc	3515 S Tamarac Dr, Suite 320 Denver CO 80237	N/A	Public	Supporting individuals with Down Syndrome and their families	1,500.00
Mile High Down Syndrome Association Inc	3515 S Tamarac Dr, Suite 320 Denver CO 80237	N/A	Public	Supporting individuals with Down Syndrome and their families	1,000.00
Mile High United Way Inc	PO Box 5547 Denver CO 80217-9425	N/A	Public	Invest in programs and services designed to provide the building blocks for a better quality of life for all in our community	2,500.00
Mizel Center for Arts and Culture	350 S Dahlia St Denver CO 80246	N/A	Public	Supporting Art through film as a vital tool in helping to break down the walls of intolerance	2,500.00
Mizel Museum	400 South Kearney Street Denver, CO 80224-1238	N/A	Public	Presenting the continuum of the Jewish people within a multicultural context through the arts	25,000.00
Nancy Davis Foundation for Multiple Sclerosis	1801 Avenue of the Stars, Suite 1400 Los Angeles, CA 90067	N/A	Public	Working to find the cause and ultimate cure of multiple sclerosis, and expediting cutting edge research discoveries, bringing renewed hope and inspiration to all those afflicted with this debilitating disease	6,000.00
National Down Syndrome Congress	1370 Center Drive, Suite 102 Atlanta, GA 30338	N/A	Public	Provides information, advocacy and support concerning all aspects of life for individuals with Down Syndrome	10,000.00
National Down Syndrome Congress	1370 Center Drive, Suite 102 Atlanta, GA 30338	N/A	Public	Provides information, advocacy and support concerning all aspects of life for individuals with Down Syndrome	5,000.00
National Down Syndrome Society	666 Broadway New York, NY 10012-2317	N/A	Public	Enhancing the quality of life and realizing the potential of all people with Down Syndrome	5,000.00
National Football Foundation & College Hall of Fame	P O Box 1358 Kremmling, CO 80459-1358	N/A	Public	Supporting Ed McCaffrey Football Camp for children and young adults with Down Syndrome	5,000.00

Name	Name Address	Donee Relationship	Foundation		Amount
			Status	Purpose	
National Football Foundation & College Hall of Fame	P O Box 1358 Kremmling, CO 80459-1359	N/A	Public	Supporting Ed McCaffrey Football Camp for children and young adults with Down Syndrome	10,000.00
National Jewish Health	1400 Jackson Street Denver CO 80206	N/A	Public	Provide support for clinical, research, and educational programs to the benefit of people with respiratory, cardiac,, immune and related diseases worldwide	10,000.00
Parent Project for Muscular Dystrophy	401 Hackensack Ave, 9th Floor Hackensack NJ 07601	N/A	Public	Improve the treatment, quality of life and long term outlook for all individuals affected by Duchenne and Becker muscular dystrophy	10,000.00
Project Pave Inc	2051 York Street Denver CO 80205-5713	N/A	Public	Promoting Alternatives to Violence through Education, provide prevention, education and counseling services to children, teens, parents, teachers and professionals in our communities	5,000.00
Rocky Mountain Children's Law Center	1325 S Colorado Blvd, Ste 308 Denver, CO 80222-3314	N/A	Public	Provide legal aid for abused children	10,000.00
Sewall Child Development Center, Inc	1360 Vine Street Denver CO 80206	N/A	Public	To sponsor programs dedicated to helping children with special needs associated with disabilities, developmental delays, and economic disadvantages	2,500.00
Special Olympics, Inc	1133 19th Street, Suite 1200 Washington, DC 20036-3604	N/A	Public	Providing sports training and athletic competition for children and adults with intellectual disabilities	10,000.00
Special Olympics, Inc	1133 19th Street, Suite 1200 Washington, DC 20036-3604	N/A	Public	Providing sports training and athletic competition for children and adults with intellectual disabilities	1,000.00
Stanley British Primary School	350 Quebec Street Denver, CO 80230-6809	N/A	Public	Supporting the Inclusion School Study benefiting children's education, Curious Minds Grant	25,000.00
Stanley British Primary School	350 Quebec Street Denver, CO 80230-6809	N/A	Public	Sustaining our Spirit, Securing our Future Grant, Supporting the Inclusion School Study benefiting children's education	761,000.00
The 1990 Institute	P O Box 1681 Burlingame, CA 94011	N/A	Public	China's Green Student Forum - to promote solidarity of the youth to save China's environment	10,000.00
The Adoption Exchange	14232 East Evans Avenue Aurora, CO 80014	N/A	Public	Support to give a meaningful future to children who are in need of permanent adoptive families, connecting children and families to make critical life-changing placements	25,000.00
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	10,000.00

Name	Name Address	Donee Relationship	Foundation		Amount
			Status	Purpose	
The Children's Hospital Foundation, Inc	13123 E 16th Avenue, Box 045 Aurora, CO 80045	N/A	Public	Sponsorship benefiting pediatric health care, where for more than a decade children receive specialized care	24,000.00
The Denver Foundation	55 Madison St., 8th Floor Denver, CO 80206	N/A	Private	To support programs that mobilize resources and strengthen our community	1,000,000.00
The Denver Foundation	55 Madison St., 8th Floor Denver, CO 80206	N/A	Private	To support programs that mobilize resources and strengthen our community	50,000.00
The Joshua School	2303 E Dartmouth Avenue Englewood, CO 80113	N/A	Public	Supporting an educational and therapeutic day treatment center for individuals and families challenged by autism spectrum disorders and developmental disabilities	10,000.00
The Rise School of Denver	4949 East Eastman Avenue Denver, CO 80222	N/A	Public	Supporting the school to provide early childhood education to all children, including those children with developmental disabilities	5,000.00
The Women's Foundation of Colorado	1901 East Asbury Denver, CO 80208	N/A	Public	Research, education, advocacy, and grant making to address barriers to economic self-sufficiency that challenge women and girls in Colorado	2,500.00
Third Way Center	P O Box 61385 Denver, CO 80206	N/A	Public	Providing a continuum of services in a home-like environment where high-risk, homeless, mentally ill and disadvantaged youth can work through their problems, learn to take responsibility for their actions and become productive members of society	10,000.00
University of Colorado Foudation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	30,800.00
University of Colorado Foudation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	31,800.00
University of Colorado Foudation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	2,200.00

Name	Name Address	Donee Relationship	Foundation Status	Purpose	Amount
University of Colorado Foudation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	28,000.00
University of Colorado Foudation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	2,800.00
University of Colorado Foundation	Linda Crnic Institute for Down Syndrome 13001 E17th Pl, Mail Stop C290, Rm E1354 Aurora, CO 80045	N/A	Public	Providing consultation support for search for Executive Director for Linda Crnic Institute which focused on improving the lives of individuals with Down syndrome through basic research, clinical research and clinical care	1,000,000.00
University of Colorado Foundation - CIMB	University of Colorado Dept of Chemistry and Biochemistry 215 UCB Boulder, CO 80309-0215	N/A	Public	Anna and John J Sie Quasi Endowment for CIMB	1,000,000.00
University of Colorado Hospital Foundation	12401 E 17th Ave, Mail Stop F485 Aurora, CO 80045	N/A	Public	Univ of CO Hosp Foundation, CEDAR 5 Year Celebration Anschutz Bon Jovi event	5,000.00
University of Colorado Hospital Foundation	12401 E 17th Ave, Mail Stop F485 Aurora, CO 80045	N/A	Public	4th Annual Hearts of All Ages Benefit	5,000.00
University of Colorado Hospital Foundation	12401 E 17th Ave, Mail Stop F485 Aurora, CO 80045	N/A	Public	Behind the Curtain 2011 in Honor of Dan Ritchie	5,000.00
University of Denver - Korbel	Josef Korbel School of Int'l Studies PO Box 101884 Denver, CO 80250	N/A	Public	Sponsorship of the Korbel Scholarship Fund and the Center for Teaching International Relations, educational purposes	24,000.00
University of Denver - Korbel	Josef Korbel School of Int'l Studies PO Box 101884 Denver, CO 80251	N/A	Public	Sponsorship of the Korbel Scholarship Fund and the Center for Teaching International Relations, educational purposes	25,000.00
University of Denver-The Bridge Project	2148 S High Street Denver, CO 80208	N/A	Public	Providing educational opportunities for children living in Denver's public housing neighborhoods so they graduate from high school and attend college or learn a trade	2,500.00
Volunteers of America	2660 Larimer Street Denver, CO 80205	N/A	Public	Sponsorship of Western Fantasy event that provides funds for Volunteers of America that give critical services to families, women and children	25,000.00

Name	Name Address	Donee Relationship	Foundation Status	Purpose	Amount
Young Americans Center for Financial Education	3550 East First Avenue Denver, CO 80206	N/A	Public	To support work to develop financial literacy of young people through real-life experiences and hands-on programs purposefully designed to enable them to prosper in our free enterprise system	500.00
					<u>6,066,355.04</u>

The Anna & John J Sie Foundation**Statement 11**

83-6058353 Year 2011

Form: 990PF; Part XV, Line 3b

Grants Approved for Future Payment

<u>Name</u>	<u>Amount</u>
Adams 12 Five Star School	\$ 5,000
Cherry Creek School Foundation	5,000
Denver Public Schools Foundation	5,000
Democracy & Media Education Foundation	175,000
Denver Art Museum - Gen'l Operating Fund \$500,000, 5 yrs	500,000
Denver Botanic Gardens	30,000
Douglas County Education Foundation	5,000
Governor's Mansion Fund	75,000
Jefferson County Public Schools	5,000
Keset of the Rockies	20,000
Metropolitan Association for Retarded Citizens	20,000
Rocky Mountain Children's Law Center	20,000
Stanley British School - Planning grant	10,000
University of Colorado Foundation - CIMB	3,000,000
University of Colorado Foundation - LCI Scientific Director Quasi Endowed Chair	2,000,000
University of Colorado Foundation - LCI Sr. Research Scientist Quasi Endowed Chair	2,000,000
University of Colorado Foundation - LCI Funding of Annual Operating Deficit	9,391,267
University of Denver - Sie Cheou-Kang Center Chair	3,725,000
	\$ 20,991,267

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. The Anna and John J. Sie Foundation	Employer identification number (EIN) or ☒ 83-6058353
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O Kundinger, Corder & Engle, PC - 475 Lincoln St., Ste. 200	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Denver, CO 80203	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

Mary Beth Wallingford

- The books are in the care of ► 3300 East First Avenue, No. 390 - Denver, CO 80206
Telephone No. ► 303-468-6060 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 2012, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year 2011 or
► ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	18,878.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	22,948.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)