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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

**For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011**

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
JOE AND MARY MOELLER FOUNDATION

% JOSEPH W MOELLER

Number and street (or P O box number if mail is not delivered to street address) Room/suite
27437 NO 97TH PLACE

City or town, state, and ZIP code
SCOTTSDALE, AZ 852628432

**A Employer identification number**
83-0411709

**B** Telephone number (see page 10 of the instructions)
(316) 828-8199

**C** If exemption application is pending, check here ☐

**D 1.** Foreign organizations, check here ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 9,322,395

**J** Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis.)*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | Line | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 0 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 36,362 | 36,362 | | |
| | 4 | Dividends and interest from securities | 211,413 | 211,413 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 558,853 | | | |
| | b | Gross sales price for all assets on line 6a 5,599,079 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 558,853 | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 806,628 | 806,628 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | 3,600 | 54 | | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) | 16,340 | | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) | | | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 19,940 | 54 | | 0 |
| | 25 | Contributions, gifts, grants paid | 591,250 | | | 591,250 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 611,190 | 54 | | 591,250 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 195,438 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 806,574 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

**Part II** **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | | | | |
| 1 | Cash—non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 2,853,019 | 7,407,611 | 7,407,611 |
| 3 | Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U S and state government obligations (attach schedule) | 255,366 | 255,366 | 250,351 |
| b | Investments—corporate stock (attach schedule) | | | |
| c | Investments—corporate bonds (attach schedule) | 319,005 | 51,375 | 51,346 |
| 11 | Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | 3,859,289 | 558,445 | 437,853 |
| 14 | Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | 1,944,564 | 1,192,135 | 1,175,234 |
| 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 9,231,243 | 9,464,932 | 9,322,395 |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | | 0 | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | 9,231,243 | 9,464,932 | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here** ▶ ☐ **and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | | | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 9,231,243 | 9,464,932 | |
| 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 9,231,243 | 9,464,932 | |

**Part III** **Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 9,231,243 |
| 2 | Enter amount from Part I, line 27a | 2 | 195,438 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 43,934 |
| 4 | Add lines 1, 2, and 3 | 4 | 9,470,615 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 5,683 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 9,464,932 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 a | SEE ATTACHMENT A | P | | |
| b | SEE ATTACHMENT A | P | | |
| c | PROTEGE PARTNERS | P | | |
| d | THIRTEEN PARTNERS | P | | |
| e | CAPITAL GAIN DIVIDENDS | P | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 1,947,263 | | 1,948,059 | -796 |
| b | 1,440,537 | | 1,346,195 | 94,342 |
| c | 1,624,644 | | 1,250,000 | 374,644 |
| d | 586,635 | | 500,000 | 86,635 |
| e | | | | 4,028 |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | -796 |
| b | | | | 94,342 |
| c | | | | 374,644 |
| d | | | | 86,635 |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 558,853 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 417,295 | 9,324,168 | 0 044754 |
| 2009 | 283,356 | 9,189,594 | 0 030834 |
| 2008 | 158,115 | 8,176,042 | 0 019339 |
| 2007 | 355,260 | 6,519,372 | 0 054493 |
| 2006 | 347,208 | 4,426,186 | 0 078444 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). . . . . . . . . . . . . . . . . . . . . . . . . | 2 | 0 227864 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . | 3 | 0 045573 |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. . . . . | 4 | 9,607,946 |
| 5 | Multiply line 4 by line 3. . . . . . . . . . . . . . . . . . . . . . . . | 5 | 437,863 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). . . . . . . . . . | 6 | 8,066 |
| 7 | Add lines 5 and 6. . . . . . . . . . . . . . . . . . . . . . . . . | 7 | 445,929 |
| 8 | Enter qualifying distributions from Part XII, line 4. . . . . . . . . . . . . . . | 8 | 591,250 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | 1 | 8,066 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 8,066 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 8,066 |
| 6 | Credits/Payments | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 8,298 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 8,298 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | 8 | 43 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 189 |
| 11 | Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 189 **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ KS | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). | 11 | | No |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶JOSEPH W MOELLER Telephone no ▶(316) 828-8199

Located at ▶27437 NO 97TH PLACE SCOTTSDALE AZ ZIP+4 ▶852628432

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . . . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . . . . ▶ | 15 |

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions ) | 2b | | No |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | | No |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | **No** |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JOSEPH W MOELLER<br>27437 NO 97TH PLACE<br>SCOTTSDALE,AZ 852628432 | PRESIDENT / TREASURER<br>0 | 0 | | |
| MARY F MOELLER<br>27437 NO 97TH PLACE<br>SCOTTSDALE,AZ 852628432 | SECRETARY<br>0 | 0 | | |
| MARGARET SHARON WALKER<br>14 CHARMINE WAY<br>THE WOODLANDS,TX 77382 | DIRECTOR<br>0 | 0 | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . . . . . . . . . . . ▶

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See page 24 of the instructions | |
| 3 | |
| **Total.** Add lines 1 through 3. . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 3,230,890 |
| b | Average of monthly cash balances | 1b | 6,523,370 |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 9,754,260 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 9,754,260 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 146,314 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 9,607,946 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 480,397 |

**Part XI** **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 480,397 |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | 8,066 | | |
| b | Income tax for 2011 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 8,066 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 472,331 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 472,331 |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 472,331 |

**Part XII** **Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 591,250 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0 |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 591,250 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 8,066 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 583,184 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---|---|---|---|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | 472,331 |
| 2 Undistributed income, if any, as of the end of 2011 | | | | |
| a Enter amount for 2010 only. . . . . . . | | | | |
| b Total for prior years 2009 , 2008 , 2007 | | | | |
| 3 Excess distributions carryover, if any, to 2011 | | | | |
| a From 2006. . . . . . . 128,788 | | | | |
| b From 2007. . . . . . . 32,641 | | | | |
| c From 2008. . . . . . . | | | | |
| d From 2009. . . . . . . | | | | |
| e From 2010. . . . . . . | | | | |
| f **Total** of lines 3a through e. . . . . . . . . . | 161,429 | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 591,250 | | | | |
| a Applied to 2010, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see page 26 of the instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . | | | | |
| d Applied to 2011 distributable amount. . . . . | | | | 472,331 |
| e Remaining amount distributed out of corpus | 118,919 | | | |
| 5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 280,348 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . . | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . | | | | |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . . . . . . . . . | | | | |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . . . . . . . . | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . . . . . . . . | | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . . . . . . . . . . . | 128,788 | | | |
| 9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a . . . . . . | 151,560 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2007. . . . 32,641 | | | | |
| b Excess from 2008. . . . | | | | |
| c Excess from 2009. . . . | | | | |
| d Excess from 2010. . . . | | | | |
| e Excess from 2011. . . . 118,919 | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2011 | **(b)** 2010 | **(c)** 2009 | **(d)** 2008 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . . . . . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test—enter **(1)** Value of all assets . . . . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

JOSEPH W MOELLER

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE ATTACHMENT B<br>SEE ATTACHMENT B<br>SCOTTSDALE,AZ 85262 | | | SEE ATTACHMENT B | 591,250 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 591,250 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 36,362 | |
| 4 Dividends and interest from securities | | | 14 | 211,413 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 558,853 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 806,628 | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 806,628

(See worksheet in line 13 instructions on page 28 to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions ) |
|---|---|

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

| | | Yes | No |
|---|---|---|---|
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | No |
| (2) Other assets | 1a(2) | | No |
| **b** Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | No |
| (4) Reimbursement arrangements | 1b(4) | | No |
| (5) Loans or loan guarantees | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | No |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | No |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

| ****** | 2012-11-15 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

**Paid Preparer's Use Only**

| | | |
|---|---|---|
| Preparer's Signature LAURA N MOSSMAN | Date | Check if self-employed ☐ / PTIN |
| Firm's name BKD LLP | | Firm's EIN |
| Firm's address 1551 N WATERFRONT PKWY STE 300 WICHITA, KS 672066601 | | Phone no (316) 265-2811 |

May the IRS discuss this return with the preparer shown above? See instructions ☑ Yes ☐ No

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 83-0411709
**Name:** JOE AND MARY MOELLER FOUNDATION

**Form 990PF - Special Condition Description:**

| Special Condition Description |
| --- |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2011 Depreciation Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Description of Property | Date Acquired | Cost or Other Basis | Prior Years' Depreciation | Computation Method | Rate / Life (# of years) | Current Year's Depreciation Expense | Net Investment Income | Adjusted Net Income | Cost of Goods Sold Not Included |
|---|---|---|---|---|---|---|---|---|---|

# TY 2011 Investments Corporate Bonds Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
| --- | --- | --- |
| VARIOUS CORPORATE BONDS | 51,375 | 51,346 |

# TY 2011 Investments Government Obligations Schedule

| | |
|---|---|
| **Name:** | JOE AND MARY MOELLER FOUNDATION |
| **EIN:** | 83-0411709 |
| **US Government Securities - End of Year Book Value:** | 255,366 |
| **US Government Securities - End of Year Fair Market Value:** | 250,351 |
| **State & Local Government Securities - End of Year Book Value:** | |
| **State & Local Government Securities - End of Year Fair Market Value:** | |

# TY 2011 Investments - Other Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| THIRTEEN PARTNERS OFFSHORE LTD | AT COST | 0 | 0 |
| PROTEGE PARTNERS | AT COST | 0 | 0 |
| OTHER EQUITIES | AT COST | 558,445 | 437,853 |

# TY 2011 Land, Etc. Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Category / Item | Cost / Other Basis | Accumulated Depreciation | Book Value | End of Year Fair Market Value |
|---|---|---|---|---|

# TY 2011 Other Assets Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Description | Beginning of Year - Book Value | End of Year - Book Value | End of Year - Fair Market Value |
|---|---|---|---|
| OTHER ASSETS | 1,944,564 | 1,192,135 | 1,175,234 |

# TY 2011 Other Decreases Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Description | Amount |
|---|---|
| ACCRUAL TO CASH ADJUSTMENT | 5,683 |

# TY 2011 Other Increases Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Description | Amount |
| --- | --- |
| PRIOR YEAR ADJUSTMENTS | 43,934 |

# TY 2011 Other Professional Fees Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT FEES | 54 | 54 | | |
| ACCOUNTING SERVICES | 3,546 | | | |

# TY 2011 Taxes Schedule

**Name:** JOE AND MARY MOELLER FOUNDATION

**EIN:** 83-0411709

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| ANNUAL FEES | 40 | | | |
| FEDERAL TAXES | 16,300 | | | |

JOE AND MARY MOELLER FOUNDATION
83-0411709
ATTACHMENT B

Joe and Mary Moeller Charitable Foundation – 2011

| Date | Check # | | |
|---|---|---|---|
| 1 – 8 | 131 | The Alzheimer's Association<br>347 South Laura<br>Wichita, Kansas 67211 | 1,000 00 |
| 1 – 10 | 132 | The University of Tulsa<br>800 South Tucker Drive<br>Tulsa, Oklahoma 74104 | 5,000.00 |
| 1 – 10 | 133 | The University of Tulsa<br>800 South Tucker Drive<br>Tulsa, Oklahoma 74104 | 20,000.00 |
| 2 – 27 | 134 | St Patrick Catholic Church<br>P.O Box 219<br>Minturn, Colorado 81645-0219 | 10,000.00 |
| 2 – 27 | 135 | St Stephen's Catholic Church<br>515 East Highway<br>Holdenville, Oklahoma 74848 | 10,000 00 |
| 2 – 27 | 136 | Chris's Kids, Inc<br>3109 Clairmont Road N.E<br>Atlanta, Georgia 30329 | 10,000 00 |
| 2 – 27 | 137 | Our Lady of Joy Catholic Church<br>P O Box 1359<br>Carefree, Arizona 85377 | 10,000 00 |
| 2 – 27 | 138 | Diocese of Phoenix<br>2011 Charity and Development Appeal<br>1820 East Sky Harbor Circle South<br>Phoenix, Arizona 85038 | 5,000 00 |
| 2 – 27 | 139 | Operation Helmet<br>c/o Dr Bob Meaders<br>74 Greenview Street<br>Montgomery, Texas 77356 | 2,500 00 |
| 2 – 27 | 140 | Annunciation Catholic School<br>32648 North Cave Creek Road | 10,000 00 |

| | | | |
|---|---|---|---|
| | | Cave Creek, Arizona 85331 | |
| 2 – 27 | 141 | Sigma Chi Foundation<br>White Cross Trust<br>1714 Hinman Avenue<br>Evanston, Illinois 60201-4576 | 2,500.00 |
| 3 – 6 | 142 | The Woodlands High School Golf Team<br>6101 Research Forrest Drive<br>The Woodlands, Texas 77381 | 1,000.00 |
| 3 – 27 | 143 | The Catholic Relief Services<br>Office of National Collections<br>3211 Fourth Street N.E<br>Washington, D C 20017-1194 | 1,000 00 |
| 4 – 23 | 144 | Big Brothers Big Sisters of Wichita<br>310 East 2nd Street North<br>Wichita, Kansas 67202-2404 | 500.00 |
| 4 – 23 | 145 | Youth Entrepreneurs of Kansas<br>4111 East 37th Street North – Ste D - 101<br>Wichita, Kansas 67220 | 10,000.00 |
| 4 – 25 | 146 | Americans for Prosperity Foundation<br>2111 Wilson Blvd. – Ste 350<br>Arlington, Virginia 22201 | 50,000.00 |
| 5 – 2 | 147 | Our Lady of Joy Catholic Church (Roof Repair)<br>P.O. Box 1359<br>Carefree, Arizona 85377 | 5,000.00 |
| 5 – 13 | 148 | The Injured Marine Semper Fi Fund<br>Honor of Cpl Daniel Riley<br>Attn. Victoria Trumbower<br>4800 Montgomery Lane – Ste 300<br>Bethesda, Maryland 20814 | 1,000.00 |

| | | | |
|---|---|---|---|
| 6 – 5 | 150 | Club Arrowhead<br>P.O. Box 6267<br>Peoria, Arizona 85385 | 1,000 00 |
| 7 – 10 | 151 | Cordillera Motorcycle Foundation<br>80 Red Tail Ridge<br>Cordillera, Colorado 81632-6402 | 5,000 00 |
| 7 – 12 | 152 | St. Clare of Assisi Catholic School<br>P O Box 667 Attn Kathy Spangler<br>Edwards, Colorado 81632-0667 | 10,000.00 |
| 7 – 28 | 153 | Roundup River Ranch<br>P.O. Box 8589<br>Avon, Colorado 81620-8589 | 2,500 00 |
| 7 – 28 | 154 | Beta Gamma Sigma<br>125 Weldon Parkway<br>Maryland Heights, Missouri 63043-3101 | 2,000.00 |
| 7 – 30 | 155 | Donors Trust<br>109 North Henry Street<br>Alexandria, Virginia 22314<br>Attn: Whitney Ball – President | 150,000 00 |
| 7 – 30 | 156 | Mercatus Center<br>3351 North Fairfax – 4th Floor<br>Arlington, Virginia 22201<br>Attn Brian Hooks – COO | 50,000.00 |
| 8 – 28 | 157 | The Alzheimer's Association<br>347 South Laura<br>Wichita, Kansas 67211 | 5,000.00 |
| 11 – 2 | 158 | Boys & Girls Club of Scottsdale<br>10533 East Lakeview Drive<br>Scottsdale, Arizona 85258 | 1,000 00 |
| 11 – 3 | 159 | Donors Trust – Economic Freedom Fund<br>109 North Henry Street<br>Alexandria, Virginia 22314 | 200,000 00 |
| 11-20 | 160 | Scottsdale Healthcare Foundation<br>10001 North 92nd Street – Ste 121 | 10,000.00 |

| | | | |
|---|---|---|---|
| | | Scottsdale, Arizona 85258 | |
| 01-04 | 129 | Marine Moms - Bethesda<br>P.O. Box 22<br>Cordova, Maryland 21625 | 250.00 |

JOE AND MARY MOELLER FOUNDATION
83-0411709
ATTACHMENT A

## Realized Gain or (Loss)

Accountıng Method First In First Out [FIFO]

| Short-Term | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| AMERICAN CAPITAL AGENCY REITS AGNC | 40 0000 | 11/30/10 | 04/08/11 | $1,123 51 | $1,175 62 | ($52 11) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 150 0000 | 11/30/10 | 04/08/11 | $4,213 15 | $4,408 58 | ($195 43) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 660 0000 | 11/30/10 | 04/08/11 | $18,537 86 | $19,397 75 | ($859 89) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 12/20/10 | 04/08/11 | $2,808 77 | $2,985 53 | ($176 76) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 12/20/10 | 04/08/11 | $2,808 77 | $2,985 53 | ($176 76) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 12/20/10 | 04/08/11 | $2,808 77 | $2,985 53 | ($176 76) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 200 0000 | 12/20/10 | 04/08/11 | $5,617 53 | $5,971 07 | ($353 54) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 340 0000 | 12/20/10 | 04/08/11 | $9,549 81 | $10,150 82 | ($601 01) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 835 0000 | 12/20/10 | 04/08/11 | $23,453 20 | $24,929 22 | ($1,476 02) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 35 0000 | 03/22/11 | 04/08/11 | $982 72 | $995 52 | ($12 80) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 55 0000 | 03/22/11 | 04/08/11 | $1,544 27 | $1,564 34 | ($20 07) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 55 0000 | 03/22/11 | 04/08/11 | $1,544 27 | $1,564 34 | ($20 07) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 65 0000 | 03/22/11 | 04/08/11 | $1,825 05 | $1,848 77 | ($23 72) |

# JOE AND MARY MOELLER FOUNDATION
# 83-0411709
# ATTACHMENT A

**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| AMERICAN CAPITAL AGENCY REITS AGNC | 65 0000 | 03/22/11 | 04/08/11 | $1,825 70 | $1,848 77 | ($23 07) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 65 0000 | 03/22/11 | 04/08/11 | $1,825 70 | $1,848 82 | ($23 12) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 75 0000 | 03/22/11 | 04/08/11 | $2,105 83 | $2,133 29 | ($27 46) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,807 77 | $2,844 26 | ($36 49) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,807 77 | $2,844 26 | ($36 49) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,808 77 | $2,844 26 | ($35 49) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,807 77 | $2,844 26 | ($36 49) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,807 77 | $2,844 26 | ($36 49) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 100 0000 | 03/22/11 | 04/08/11 | $2,808 77 | $2,844 33 | ($35 56) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 135 0000 | 03/22/11 | 04/08/11 | $3,791 83 | $3,839 75 | ($47 92) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 145 0000 | 03/22/11 | 04/08/11 | $4,071 26 | $4,124 18 | ($52 92) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 180 0000 | 03/22/11 | 04/08/11 | $5,053 98 | $5,119 67 | ($65 69) |
| AMERICAN CAPITAL AGENCY REITS AGNC | 400 0000 | 03/22/11 | 04/08/11 | $11,231 10 | $11,377 05 | ($145 95) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| AMERICAN CAPITAL AGENCY REITS AGNC | 600 0000 | 03/22/11 | 04/08/11 | $16,846 61 | $17,065 57 | ($218 96) |
| **Security Subtotal** | | | | **$140,418 31** | **$145,385 35** | **($4,967 04)** |
| ANNALY CAPITAL MGMT REIT NLY | 5,765 0000 | 01/04/11 | 04/12/11 | $100,305 89 | $100,031 70 | $274 19 |
| ANNALY CAPITAL MGMT REIT NLY | 1,400 0000 | 05/11/11 | 08/17/11 | $25,610 98 | $25,064 48 | $546 50 |
| ANNALY CAPITAL MGMT REIT NLY | 1,400 0000 | 05/11/11 | 09/13/11 | $25,022 57 | $25,064 47 | ($41 90) |
| **Security Subtotal** | | | | **$150,939 44** | **$150,160 65** | **$778 79** |
| BLKRCK SENIOR HIGH INCM ARK | 50 0000 | 07/27/10 | 06/09/11 | $210 86 | $194 97 | $15 89 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 79 | $389 95 | $31 84 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/09/11 | $421 71 | $389 95 | $31 76 |
| BLKRCK SENIOR HIGH INCM ARK | 200 0000 | 07/27/10 | 06/09/11 | $843 43 | $779 90 | $63 53 |
| BLKRCK SENIOR HIGH INCM ARK | 300 0000 | 07/27/10 | 06/09/11 | $1,265 15 | $1,169 84 | $95 31 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| BLKRCK SENIOR HIGH INCM ARK | 1,000 0000 | 07/27/10 | 06/09/11 | $4,217 17 | $3,899 48 | $317 69 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/21/11 | $415 81 | $389 95 | $25 86 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/21/11 | $415 81 | $389 95 | $25 86 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/21/11 | $415 81 | $389 95 | $25 86 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 07/27/10 | 06/21/11 | $415 81 | $389 95 | $25 86 |
| BLKRCK SENIOR HIGH INCM ARK | 200 0000 | 07/27/10 | 06/21/11 | $831 62 | $779 90 | $51 72 |
| BLKRCK SENIOR HIGH INCM ARK | 200 0000 | 07/27/10 | 06/21/11 | $831 63 | $779 90 | $51 73 |
| BLKRCK SENIOR HIGH INCM ARK | 700 0000 | 07/27/10 | 06/21/11 | $2,910 69 | $2,729 63 | $181 06 |
| BLKRCK SENIOR HIGH INCM ARK | 3,500 0000 | 07/27/10 | 06/21/11 | $14,553 45 | $13,648 17 | $905 28 |
| BLKRCK SENIOR HIGH INCM ARK | 23,750 0000 | 07/27/10 | 06/22/11 | $98,799 91 | $92,612 61 | $6,187 30 |
| BLKRCK SENIOR HIGH INCM ARK | 15,000 0000 | 08/18/10 | 06/22/11 | $62,399 94 | $59,983 45 | $2,416 49 |
| BLKRCK SENIOR HIGH INCM ARK | 1,250 0000 | 08/19/10 | 06/22/11 | $5,200 00 | $4,987 69 | $212 31 |
| BLKRCK SENIOR HIGH INCM ARK | 10,000 0000 | 08/19/10 | 06/23/11 | $41,590 25 | $39,901 51 | $1,688 74 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method  Fırst In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| BLKRCK SENIOR HIGH INCM  ARK | 19 0000 | 08/19/10 | 06/27/11 | $79 16 | $75 81 | $3 35 |
| BLKRCK SENIOR HIGH INCM  ARK | 29 0000 | 08/19/10 | 06/27/11 | $120 83 | $115 71 | $5 12 |
| BLKRCK SENIOR HIGH INCM  ARK | 52 0000 | 08/19/10 | 06/27/11 | $216 65 | $207 49 | $9 16 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 01 | $17 62 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 01 | $17 62 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 01 | $17 62 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 01 | $17 62 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 01 | $17 62 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 02 | $17 61 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 02 | $17 61 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 02 | $17 61 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 02 | $17 61 |
| BLKRCK SENIOR HIGH INCM  ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 63 | $399 02 | $17 61 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 08/19/10 | 06/27/11 | $416 65 | $399 02 | $17 63 |
| BLKRCK SENIOR HIGH INCM ARK | 300 0000 | 08/19/10 | 06/27/11 | $1,249 91 | $1,197 05 | $52 86 |
| BLKRCK SENIOR HIGH INCM ARK | 500 0000 | 08/19/10 | 06/27/11 | $2,083 17 | $1,995 07 | $88 10 |
| BLKRCK SENIOR HIGH INCM ARK | 500 0000 | 08/19/10 | 06/27/11 | $2,083 17 | $1,995 08 | $88 09 |
| BLKRCK SENIOR HIGH INCM ARK | 100 0000 | 08/19/10 | 06/28/11 | $413 62 | $399 01 | $14 61 |
| BLKRCK SENIOR HIGH INCM ARK | 300 0000 | 08/19/10 | 06/28/11 | $1,240 91 | $1,197 04 | $43 87 |
| BLKRCK SENIOR HIGH INCM ARK | 300 0000 | 08/19/10 | 06/28/11 | $1,240 91 | $1,197 05 | $43 86 |
| BLKRCK SENIOR HIGH INCM ARK | 400 0000 | 08/19/10 | 06/28/11 | $1,654 54 | $1,596 06 | $58 48 |
| BLKRCK SENIOR HIGH INCM ARK | 400 0000 | 08/19/10 | 06/28/11 | $1,654 94 | $1,596 06 | $58 88 |
| BLKRCK SENIOR HIGH INCM ARK | 500 0000 | 08/19/10 | 06/28/11 | $2,068 17 | $1,995 07 | $73 10 |
| BLKRCK SENIOR HIGH INCM ARK | 500 0000 | 08/19/10 | 06/28/11 | $2,068 17 | $1,995 08 | $73 09 |
| **Security Subtotal** | | | | **$263,243 59** | **$249,606 75** | **$13,636 84** |
| CHIMERA INVESTMENT CORP REIT CIM | 13,500 0000 | 05/25/10 | 04/11/11 | $52,782 47 | $50,363 95 | $2,418 52 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In First Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realized Gaın or (Loss) |
|---|---|---|---|---|---|---|
| CHIMERA INVESTMENT CORP REIT CIM | 300 0000 | 06/02/10 | 04/11/11 | $1,172 94 | $1,172 67 | $0 27 |
| CHIMERA INVESTMENT CORP REIT CIM | 300 0000 | 06/02/10 | 04/11/11 | $1,172 94 | $1,172 73 | $0 21 |
| CHIMERA INVESTMENT CORP REIT CIM | 700 0000 | 06/02/10 | 04/11/11 | $2,736 87 | $2,736 38 | $0 49 |
| CHIMERA INVESTMENT CORP REIT CIM | 900 0000 | 06/02/10 | 04/11/11 | $3,518 83 | $3,518 47 | $0 36 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,000 0000 | 06/02/10 | 04/11/11 | $3,909 81 | $3,909 02 | $0 79 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,700 0000 | 06/02/10 | 04/11/11 | $6,646 68 | $6,646 52 | $0 16 |
| CHIMERA INVESTMENT CORP REIT CIM | 7,600 0000 | 06/02/10 | 04/11/11 | $29,714 57 | $29,721 45 | ($6 88) |
| CHIMERA INVESTMENT CORP REIT CIM | 36 0000 | 07/02/10 | 04/11/11 | $140 75 | $129 98 | $10 77 |
| CHIMERA INVESTMENT CORP REIT CIM | 100 0000 | 07/02/10 | 04/11/11 | $390 98 | $361 04 | $29 94 |
| CHIMERA INVESTMENT CORP REIT CIM | 100 0000 | 07/02/10 | 04/11/11 | $390 98 | $361 06 | $29 92 |
| CHIMERA INVESTMENT CORP REIT CIM | 200 0000 | 07/02/10 | 04/11/11 | $781 96 | $722 09 | $59 87 |
| CHIMERA INVESTMENT CORP REIT CIM | 500 0000 | 07/02/10 | 04/11/11 | $1,954 91 | $1,805 17 | $149 74 |
| CHIMERA INVESTMENT CORP REIT CIM | 500 0000 | 07/02/10 | 04/11/11 | $1,954 91 | $1,805 32 | $149 59 |

JOE AND MARY MOELLER FOUNDATION
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## Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| CHIMERA INVESTMENT CORP REIT CIM | 600 0000 | 07/02/10 | 04/11/11 | $2,345 89 | $2,166 32 | $179 57 |
| CHIMERA INVESTMENT CORP REIT CIM | 600 0000 | 07/02/10 | 04/11/11 | $2,345 89 | $2,166 38 | $179 51 |
| CHIMERA INVESTMENT CORP REIT CIM | 600 0000 | 07/02/10 | 04/11/11 | $2,345 89 | $2,166 38 | $179 51 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,000 0000 | 07/02/10 | 04/11/11 | $3,909 81 | $3,610 44 | $299 37 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,000 0000 | 07/02/10 | 04/11/11 | $3,909 81 | $3,610 64 | $299 17 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,000 0000 | 07/02/10 | 04/11/11 | $3,909 81 | $3,610 64 | $299 17 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,000 0000 | 07/02/10 | 04/11/11 | $3,909 81 | $3,610 64 | $299 17 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,164 0000 | 07/02/10 | 04/11/11 | $4,551 02 | $4,202 78 | $348 24 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,700 0000 | 07/02/10 | 04/11/11 | $6,646 68 | $6,138 11 | $508 57 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,900 0000 | 07/02/10 | 04/11/11 | $7,428 64 | $6,860 21 | $568 43 |
| CHIMERA INVESTMENT CORP REIT CIM | 2,000 0000 | 07/02/10 | 04/11/11 | $7,819 62 | $7,220 88 | $598 74 |
| CHIMERA INVESTMENT CORP REIT CIM | 14,000 0000 | 07/02/10 | 04/11/11 | $54,737 37 | $50,548 95 | $4,188 42 |
| CHIMERA INVESTMENT CORP REIT CIM | 275 0000 | 11/04/10 | 04/11/11 | $1,075 20 | $1,072 60 | $2 60 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In First Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| CHIMERA INVESTMENT CORP REIT CIM | 1,100 0000 | 11/04/10 | 04/11/11 | $4,300 79 | $4,290 16 | $10 63 |
| CHIMERA INVESTMENT CORP REIT CIM | 1,750 0000 | 11/04/10 | 04/11/11 | $6,842 17 | $6,825 61 | $16 56 |
| CHIMERA INVESTMENT CORP REIT CIM | 6,775 0000 | 11/04/10 | 04/11/11 | $26,488 98 | $26,423 52 | $65 46 |
| CHIMERA INVESTMENT CORP REIT CIM | 7,800 0000 | 11/04/10 | 04/11/11 | $30,496 54 | $30,421 17 | $75 37 |
| CHIMERA INVESTMENT CORP REIT CIM | 7,900 0000 | 11/04/10 | 04/11/11 | $30,887 55 | $30,804 86 | $82 69 |
| CHIMERA INVESTMENT CORP REIT CIM | 2,650 0000 | 05/11/11 | 10/28/11 | $8,067 22 | $10,442 87 | ($2,375 65) |
| CHIMERA INVESTMENT CORP REIT CIM | 10,000 0000 | 05/11/11 | 10/28/11 | $30,442 34 | $39,407 08 | ($8,964 74) |
| **Securıty Subtotal** | | | | **$349,730 63** | **$350,026 09** | **($295 46)** |
| CYPRESS SHARPRIDGE INVTS CYS | 20 0000 | 11/30/10 | 04/08/11 | $239 98 | $265 84 | ($25 86) |
| CYPRESS SHARPRIDGE INVTS CYS | 110 0000 | 11/30/10 | 04/08/11 | $1,319 89 | $1,462 14 | ($142 25) |
| CYPRESS SHARPRIDGE INVTS CYS | 670 0000 | 11/30/10 | 04/08/11 | $8,039 30 | $8,905 76 | ($866 46) |
| CYPRESS SHARPRIDGE INVTS CYS | 1,000 0000 | 11/30/10 | 04/08/11 | $11,998 96 | $13,292 17 | ($1,293 21) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 98 | $1,278 06 | ($78 08) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued) Accountıng Method First In First Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 91 | $1,278 06 | ($78 15) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 92 | $1,278 06 | ($78 14) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 94 | $1,278 06 | ($78 12) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 95 | $1,278 06 | ($78 11) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 92 | $1,278 06 | ($78 14) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/20/10 | 04/08/11 | $1,199 90 | $1,278 06 | ($78 16) |
| CYPRESS SHARPRIDGE INVTS CYS | 200 0000 | 12/20/10 | 04/08/11 | $2,399 91 | $2,556 12 | ($156 21) |
| CYPRESS SHARPRIDGE INVTS CYS | 200 0000 | 12/20/10 | 04/08/11 | $2,399 81 | $2,556 12 | ($156 31) |
| CYPRESS SHARPRIDGE INVTS CYS | 325 0000 | 12/20/10 | 04/08/11 | $3,899 66 | $4,153 68 | ($254 02) |
| CYPRESS SHARPRIDGE INVTS CYS | 400 0000 | 12/20/10 | 04/08/11 | $4,799 58 | $5,112 23 | ($312 65) |
| CYPRESS SHARPRIDGE INVTS CYS | 500 0000 | 12/20/10 | 04/08/11 | $5,999 87 | $6,390 29 | ($390 42) |
| CYPRESS SHARPRIDGE INVTS CYS | 700 0000 | 12/20/10 | 04/08/11 | $8,399 41 | $8,946 41 | ($547 00) |
| CYPRESS SHARPRIDGE INVTS CYS | 890 0000 | 12/20/10 | 04/08/11 | $10,679 07 | $11,374 72 | ($695 65) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| CYPRESS SHARPRIDGE INVTS CYS | 25 0000 | 12/29/10 | 04/08/11 | $299 97 | $322 79 | ($22 82) |
| CYPRESS SHARPRIDGE INVTS CYS | 25 0000 | 12/29/10 | 04/08/11 | $299 97 | $322 79 | ($22 82) |
| CYPRESS SHARPRIDGE INVTS CYS | 61 0000 | 12/29/10 | 04/08/11 | $731 94 | $788 22 | ($56 28) |
| CYPRESS SHARPRIDGE INVTS CYS | 75 0000 | 12/29/10 | 04/08/11 | $899 92 | $968 38 | ($68 46) |
| CYPRESS SHARPRIDGE INVTS CYS | 75 0000 | 12/29/10 | 04/08/11 | $899 92 | $968 38 | ($68 46) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |

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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,291 17 | ($91 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,292 17 | ($92 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,292 17 | ($92 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,292 17 | ($92 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,292 17 | ($92 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,292 17 | ($92 27) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,293 07 | ($93 17) |
| CYPRESS SHARPRIDGE INVTS CYS | 100 0000 | 12/29/10 | 04/08/11 | $1,199 90 | $1,293 07 | ($93 17) |
| CYPRESS SHARPRIDGE INVTS CYS | 300 0000 | 12/29/10 | 04/08/11 | $3,599 69 | $3,873 51 | ($273 82) |
| CYPRESS SHARPRIDGE INVTS CYS | 300 0000 | 12/29/10 | 04/08/11 | $3,599 69 | $3,873 51 | ($273 82) |

# JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| CYPRESS SHARPRIDGE INVTS CYS | 300 0000 | 12/29/10 | 04/08/11 | $3,599 69 | $3,876 51 | ($276 82) |
| CYPRESS SHARPRIDGE INVTS CYS | 400 0000 | 12/29/10 | 04/08/11 | $4,799 58 | $5,168 68 | ($369 10) |
| CYPRESS SHARPRIDGE INVTS CYS | 415 0000 | 12/29/10 | 04/08/11 | $4,979 57 | $5,358 35 | ($378 78) |
| CYPRESS SHARPRIDGE INVTS CYS | 585 0000 | 12/29/10 | 04/08/11 | $7,019 39 | $7,553 34 | ($533 95) |
| CYPRESS SHARPRIDGE INVTS CYS | 824 0000 | 12/29/10 | 04/08/11 | $9,887 06 | $10,655 70 | ($768 64) |
| CYPRESS SHARPRIDGE INVTS CYS | 180 0000 | 03/02/11 | 04/08/11 | $2,156 21 | $2,248 17 | ($91 96) |
| CYPRESS SHARPRIDGE INVTS CYS | 400 0000 | 03/02/11 | 04/08/11 | $4,791 59 | $4,995 93 | ($204 34) |
| CYPRESS SHARPRIDGE INVTS CYS | 420 0000 | 03/02/11 | 04/08/11 | $5,031 16 | $5,245 72 | ($214 56) |
| CYPRESS SHARPRIDGE INVTS CYS | 10,000 0000 | 03/02/11 | 04/08/11 | $119,789 56 | $124,898 13 | ($5,108 57) |
| CYPRESS SHARPRIDGE INVTS CYS | 10,000 0000 | 05/06/11 | 08/17/11 | $136,310 43 | $124,003 95 | $12,306 48 |
| **Security Subtotal** | | | | **$400,068 40** | **$403,624 99** | **($3,556 59)** |
| CYS INVESTMENTS INC CYS | 10,000 0000 | 05/27/11 | 09/13/11 | $133,231 49 | $128,008 95 | $5,222 54 |
| **Security Subtotal** | | | | **$133,231 49** | **$128,008 95** | **$5,222 54** |

JOE AND MARY MOELLER FOUNDATION
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ATTACHMENT A

## Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| Short-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| INVESCO MORTGAGE CAPITAL IVR | 60 0000 | 07/28/10 | 04/11/11 | $1,269 01 | $1,227 11 | $41 90 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 100 0000 | 07/28/10 | 04/11/11 | $2,115 02 | $2,045 18 | $69 84 |
| INVESCO MORTGAGE CAPITAL IVR | 125 0000 | 07/28/10 | 04/11/11 | $2,643 78 | $2,556 45 | $87 33 |
| INVESCO MORTGAGE CAPITAL IVR | 200 0000 | 07/28/10 | 04/11/11 | $4,230 05 | $4,090 36 | $139 69 |
| INVESCO MORTGAGE CAPITAL IVR | 200 0000 | 07/28/10 | 04/11/11 | $4,230 05 | $4,090 36 | $139 69 |
| INVESCO MORTGAGE CAPITAL IVR | 200 0000 | 07/28/10 | 04/11/11 | $4,230 05 | $4,090 36 | $139 69 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method  Fırst In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| INVESCO MORTGAGE CAPITAL IVR | 200 0000 | 07/28/10 | 04/11/11 | $4,230 05 | $4,090 36 | $139 69 |
| INVESCO MORTGAGE CAPITAL IVR | 215 0000 | 07/28/10 | 04/11/11 | $4,547 30 | $4,397 13 | $150 17 |
| INVESCO MORTGAGE CAPITAL IVR | 300 0000 | 07/28/10 | 04/11/11 | $6,345 07 | $6,135 54 | $209 53 |
| INVESCO MORTGAGE CAPITAL IVR | 300 0000 | 07/28/10 | 04/11/11 | $6,345 07 | $6,135 54 | $209 53 |
| INVESCO MORTGAGE CAPITAL IVR | 300 0000 | 07/28/10 | 04/11/11 | $6,345 07 | $6,135 54 | $209 53 |
| INVESCO MORTGAGE CAPITAL IVR | 400 0000 | 07/28/10 | 04/11/11 | $8,460 10 | $8,180 72 | $279 38 |
| INVESCO MORTGAGE CAPITAL IVR | 400 0000 | 07/28/10 | 04/11/11 | $8,460 10 | $8,180 72 | $279 38 |
| INVESCO MORTGAGE CAPITAL IVR | 600 0000 | 07/28/10 | 04/11/11 | $12,690 15 | $12,271 07 | $419 08 |
| INVESCO MORTGAGE CAPITAL IVR | 700 0000 | 07/28/10 | 04/11/11 | $14,805 17 | $14,315 55 | $489 62 |
| INVESCO MORTGAGE CAPITAL IVR | 7,000 0000 | 10/06/10 | 04/11/11 | $148,051 80 | $152,254 05 | ($4,202 25) |
| **Securıty Subtotal** | | | | **$253,802 98** | **$254,512 30** | **($709 32)** |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 07/27/10 | 06/10/11 | $655 79 | $663 92 | ($8 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 07/27/10 | 06/10/11 | $655 79 | $663 92 | ($8 13) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In First Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 07/27/10 | 06/10/11 | $655 79 | $663 92 | ($8 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 07/27/10 | 06/10/11 | $655 79 | $663 92 | ($8 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 07/27/10 | 06/10/11 | $655 79 | $663 92 | ($8 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 485 0000 | 07/27/10 | 06/10/11 | $3,180 55 | $3,220 00 | ($39 45) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 500 0000 | 07/27/10 | 06/10/11 | $3,279 54 | $3,319 59 | ($40 05) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 500 0000 | 07/27/10 | 06/10/11 | $3,279 54 | $3,319 59 | ($40 05) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 500 0000 | 07/27/10 | 06/10/11 | $3,279 54 | $3,319 59 | ($40 05) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 800 0000 | 07/27/10 | 06/10/11 | $5,246 26 | $5,311 34 | ($65 08) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 2,590 0000 | 07/27/10 | 06/10/11 | $16,984 75 | $17,195 47 | ($210 72) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 3,500 0000 | 07/27/10 | 06/10/11 | $22,953 31 | $23,237 12 | ($283 81) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 4,375 0000 | 07/27/10 | 06/14/11 | $28,254 13 | $29,046 40 | ($792 27) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 5,000 0000 | 07/27/10 | 06/14/11 | $32,340 43 | $33,195 88 | ($855 45) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 625 0000 | 08/18/10 | 06/14/11 | $4,036 30 | $4,267 49 | ($231 19) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method  Fırst In Fırst Out [FIFO]

| **Short-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs | Realızed Gaın or (Loss) |
|---|---|---|---|---|---|---|
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $639 95 | $682 80 | ($42 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Short-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| PUTNAM PREMIER INC TR SH BEN INT PPT | 100 0000 | 08/18/10 | 06/24/11 | $638 95 | $682 80 | ($43 85) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 200 0000 | 08/18/10 | 06/24/11 | $1,277 89 | $1,365 60 | ($87 71) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 200 0000 | 08/18/10 | 06/24/11 | $1,279 89 | $1,365 60 | ($85 71) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 200 0000 | 08/18/10 | 06/24/11 | $1,279 89 | $1,365 60 | ($85 71) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 300 0000 | 08/18/10 | 06/24/11 | $1,916 83 | $2,048 40 | ($131 57) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 300 0000 | 08/18/10 | 06/24/11 | $1,919 83 | $2,048 40 | ($128 57) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 300 0000 | 08/18/10 | 06/24/11 | $1,919 83 | $2,048 40 | ($128 57) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 475 0000 | 08/18/10 | 06/24/11 | $3,039 73 | $3,243 30 | ($203 57) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 500 0000 | 08/18/10 | 06/24/11 | $3,199 72 | $3,414 00 | ($214 28) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 500 0000 | 08/18/10 | 06/24/11 | $3,199 72 | $3,414 00 | ($214 28) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 600 0000 | 08/18/10 | 06/24/11 | $3,839 66 | $4,096 79 | ($257 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 740 0000 | 08/18/10 | 06/24/11 | $4,735 58 | $5,052 71 | ($317 13) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 851 0000 | 08/18/10 | 06/24/11 | $5,437 41 | $5,810 62 | ($373 21) |

JOE AND MARY MOELLER FOUNDATION
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## Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| Short-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| PUTNAM PREMIER INC TR SH BEN INT PPT | 1,000 0000 | 08/18/10 | 06/24/11 | $6,389 43 | $6,827 99 | ($438 56) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 1,000 0000 | 08/18/10 | 06/24/11 | $6,399 43 | $6,827 99 | ($428 56) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 2,400 0000 | 08/18/10 | 06/24/11 | $15,358 64 | $16,387 18 | ($1,028 54) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 6,659 0000 | 08/18/10 | 06/24/11 | $42,547 21 | $45,467 56 | ($2,920 35) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 1,000 0000 | 09/07/10 | 06/24/11 | $6,389 35 | $6,678 60 | ($289 25) |
| PUTNAM PREMIER INC TR SH BEN INT PPT | 1,375 0000 | 09/07/10 | 06/24/11 | $8,785 46 | $9,183 08 | ($397 62) |
| **Security Subtotal** | | | | **$253,980 10** | **$264,957 09** | **($10,976 99)** |
| TEMPLETON CHINA WORLD A TCWAX | 45 8190 | multiple | 05/23/11 | $1,848 34 | $1,776 87 | $71 47 |
| **Security Subtotal** | | | | **$1,848 34** | **$1,776 87** | **$71 47** |
| **Total Short-Term** | | | | **$1,947,263 28** | **$1,948,059 04** | **($795 76)** |

| Long-Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK DEFNE OPPTY FD BHL | 200 0000 | 01/06/10 | 06/14/11 | $2,851 00 | $2,419 54 | $431 46 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/06/10 | 06/21/11 | $1,382 75 | $1,209 77 | $172 98 |
| BLACKROCK DEFNE OPPTY FD BHL | 129 0000 | 01/06/10 | 06/21/11 | $1,783 75 | $1,560 61 | $223 14 |
| BLACKROCK DEFNE OPPTY FD BHL | 800 0000 | 01/06/10 | 06/21/11 | $11,062 00 | $9,678 17 | $1,383 83 |
| BLACKROCK DEFNE OPPTY FD BHL | 800 0000 | 01/06/10 | 06/21/11 | $11,038 00 | $9,678 18 | $1,359 82 |
| BLACKROCK DEFNE OPPTY FD BHL | 2,171 0000 | 01/06/10 | 06/21/11 | $29,954 36 | $26,264 15 | $3,690 21 |
| BLACKROCK DEFNE OPPTY FD BHL | 3,800 0000 | 01/06/10 | 06/22/11 | $52,783 45 | $45,971 33 | $6,812 12 |
| BLACKROCK DEFNE OPPTY FD BHL | 8,100 0000 | 01/08/10 | 06/22/11 | $112,512 09 | $101,215 13 | $11,296 96 |
| BLACKROCK DEFNE OPPTY FD BHL | 1,900 0000 | 01/08/10 | 06/23/11 | $26,387 33 | $23,741 82 | $2,645 51 |
| BLACKROCK DEFNE OPPTY FD BHL | 3,479 0000 | 01/21/10 | 06/23/11 | $48,316 60 | $43,543 15 [t] | $4,773 45 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/24/11 | $1,384 96 | $1,251 60 [t] | $133 36 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/24/11 | $1,384 98 | $1,251 60 [t] | $133 38 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/24/11 | $1,385 98 | $1,251 60 [t] | $134 38 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/24/11 | $1,385 98 | $1,251 60 [t] | $134 38 |

JOE AND MARY MOELLER FOUNDATION
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## **Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/24/11 | $1,384 98 | $1,251 60 [t] | $133 38 |
| BLACKROCK DEFNE OPPTY FD BHL | 200 0000 | 01/21/10 | 06/24/11 | $2,769 96 | $2,503 20 [t] | $266 76 |
| BLACKROCK DEFNE OPPTY FD BHL | 200 0000 | 01/21/10 | 06/24/11 | $2,769 96 | $2,503 20 [t] | $266 76 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/27/11 | $1,379 52 | $1,251 60 [t] | $127 92 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/27/11 | $1,379 52 | $1,251 60 [t] | $127 92 |
| BLACKROCK DEFNE OPPTY FD BHL | 200 0000 | 01/21/10 | 06/27/11 | $2,759 06 | $2,503 20 [t] | $255 86 |
| BLACKROCK DEFNE OPPTY FD BHL | 1,600 0000 | 01/21/10 | 06/27/11 | $22,072 42 | $20,025 59 [t] | $2,046 83 |
| BLACKROCK DEFNE OPPTY FD BHL | 100 0000 | 01/21/10 | 06/28/11 | $1,384 54 | $1,251 60 [t] | $132 94 |
| BLACKROCK DEFNE OPPTY FD BHL | 800 0000 | 01/21/10 | 06/28/11 | $11,076 38 | $10,012 80 [t] | $1,063 58 |
| BLACKROCK DEFNE OPPTY FD BHL | 1,200 0000 | 01/21/10 | 06/28/11 | $16,614 57 | $15,019 20 [t] | $1,595 37 |
| **Security Subtotal** | | | | **$367,204 14** | **$327,861 84** | **$39,342 30** |
| BLACKROCK FLOATING RATE INCOME TRUST BGT | 300 0000 | 11/09/09 | 06/09/11 | $4,542 53 | $3,867 54 | $674 99 |

JOE AND MARY MOELLER FOUNDATION
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**Realized Gain or (Loss)** (contınued)

Accountıng Method First In Fırst Out [FIFO]

| **Long-Term** (contınued) | Quantıty/Par | Acquıred/ Opened | Sold/ Closed | Total Proceeds | Cost Basıs *Adjusted* | Realızed Gaın or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK FLOATING RATE INCOME TRUST BGT | 300 0000 | 11/09/09 | 06/09/11 | $4,542 52 | $3,867 54 | $674 98 |
| BLACKROCK FLOATING RATE INCOME TRUST BGT | 400 0000 | 11/09/09 | 06/09/11 | $6,056 30 | $5,156 72 | $899 58 |
| BLACKROCK FLOATING RATE INCOME TRUST BGT | 1,000 0000 | 11/09/09 | 06/21/11 | $15,210 76 | $12,891 79 | $2,318 97 |
| BLACKROCK FLOATING RATE INCOME TRUST BGT | 3,000 0000 | 11/09/09 | 06/23/11 | $45,281 78 | $38,675 36 | $6,606 42 |
| **Securıty Subtotal** | | | | **$75,633 89** | **$64,458 95** | **$11,174 94** |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 24 0000 | 01/05/10 | 06/09/11 | $424 71 | $353 89 | $70 82 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/05/10 | 06/09/11 | $1,769 61 | $1,474 53 | $295 08 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/05/10 | 06/09/11 | $1,769 61 | $1,474 53 | $295 08 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/05/10 | 06/09/11 | $1,769 61 | $1,474 53 | $295 08 |

## **Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK LTD DURATION INCOME TRUST BLW | 2,166 0000 | 01/05/10 | 06/09/11 | $38,329 67 | $31,938 31 | $6,391 36 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 114 0000 | 01/05/10 | 06/21/11 | $2,066 06 | $1,680 96 | $385 10 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 196 0000 | 01/05/10 | 06/21/11 | $3,550 21 | $2,890 08 | $660 13 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/06/10 | 06/21/11 | $1,811 33 | $1,485 09 | $326 24 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/06/10 | 06/21/11 | $1,811 34 | $1,485 09 | $326 25 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/06/10 | 06/21/11 | $1,811 34 | $1,485 09 | $326 25 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 804 0000 | 01/06/10 | 06/21/11 | $14,563 11 | $11,940 15 | $2,622 96 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 2,896 0000 | 01/06/10 | 06/23/11 | $52,166 72 | $43,008 33 | $9,158 39 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 104 0000 | 01/21/10 | 06/23/11 | $1,873 39 | $1,569 66 | $303 73 |

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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK LTD DURATION INCOME TRUST BLW | 15 0000 | 01/21/10 | 06/27/11 | $269 67 | $226 39 | $43 28 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/21/10 | 06/27/11 | $1,797 81 | $1,509 29 | $288 52 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/21/10 | 06/27/11 | $1,797 81 | $1,509 29 | $288 52 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/21/10 | 06/27/11 | $1,797 81 | $1,509 29 | $288 52 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/21/10 | 06/27/11 | $1,797 82 | $1,509 29 | $288 53 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 2 0000 | 01/21/10 | 06/28/11 | $36 19 | $30 19 | $6 00 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 100 0000 | 01/21/10 | 06/28/11 | $1,809 56 | $1,509 29 | $300 27 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 174 0000 | 01/21/10 | 06/28/11 | $3,148 63 | $2,626 17 | $522 46 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 278 0000 | 01/21/10 | 06/28/11 | $5,030 55 | $4,195 83 | $834 72 |

## Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| Long-Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| BLACKROCK LTD DURATION INCOME TRUST BLW | 546 0000 | 01/21/10 | 06/28/11 | $9,880 71 | $8,240 73 | $1,639 98 |
| BLACKROCK LTD DURATION INCOME TRUST BLW | 1,071 0000 | 01/21/10 | 06/28/11 | $19,381 38 | $16,164 51 | $3,216 87 |
| **Security Subtotal** | | | | **$170,464 65** | **$141,290 51** | **$29,174 14** |
| CISCO SYSTEMS 5 25XXX**MATURED** DUE 02/22/11 17275RAB8 | 50,000 0000 | 08/03/09 | 02/22/11 | $50,000 00 | $53,061 50 | ($3,061 50) |
| | | | | | *$50 000 00* | *$0 00* |
| **Security Subtotal** | | | | **$50,000 00** | **$53,061 50** | **($3,061 50)** |
| CITIGROUP INC 6 50XXX**MATURED** DUE 01/18/11 172967BC4 | 75,000 0000 | 10/06/09 | 01/18/11 | $75,000 00 | $78,701 75 | ($3,701 75) |
| | | | | | *$75 000 00* | *$0 00* |
| **Security Subtotal** | | | | **$75,000 00** | **$78,701 75** | **($3,701 75)** |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,341 51 | $2,500 25 | ($158 74) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,342 51 | $2,500 25 | ($157 74) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,342 51 | $2,500 25 | ($157 74) |

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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,343 50 | $2,500 25 | ($156 75) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,343 50 | $2,500 25 | ($156 75) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,343 50 | $2,500 25 | ($156 75) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,345 50 | $2,500 25 | ($154 75) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,345 50 | $2,500 25 | ($154 75) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 100 0000 | 06/11/08 | 09/15/11 | $2,345 75 | $2,500 25 | ($154 50) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 300 0000 | 06/11/08 | 09/15/11 | $7,027 53 | $7,500 75 | ($473 22) |
| ING GROEP N V 8 50%38FCAP SECS DUE UNKN MTYSUBJ TO XTRO IGK | 800 0000 | 06/11/08 | 09/15/11 | $18,732 08 | $20,002 00 | ($1,269 92) |
| **Security Subtotal** | | | | **$46,853 39** | **$50,005 00** | **($3,151 61)** |

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## Realized Gain or (Loss) (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| ISHARES TRUST IBOXX $ HIGH YIELD CORP BOND FUND HYG | 100 0000 | 01/08/10 | 06/09/11 | $9,034 25 | $8,975 72 | $58 53 |
| ISHARES TRUST IBOXX $ HIGH YIELD CORP BOND FUND HYG | 150 0000 | 01/08/10 | 06/09/11 | $13,551 52 | $13,463 57 | $87 95 |
| ISHARES TRUST IBOXX $ HIGH YIELD CORP BOND FUND HYG | 1,000 0000 | 01/08/10 | 06/20/11 | $89,020 24 | $89,757 16 | ($736 92) |
| **Security Subtotal** | | | | **$111,606 01** | **$112,196 45** | **($590 44)** |
| SOUTH CAROLINA EL 6 7XXX**MATURED** DUE 02/01/11 837004BR0 | 35,000 0000 | 08/10/09 | 02/01/11 | $35,000 00 | $37,178 50 [1] | ($2,178 50) |
| | | | | | *$35 000 00* | *$0 00* [1] |
| **Security Subtotal** | | | | **$35,000 00** | **$37,178 50** | **($2,178 50)** |
| SPDR BARCLAYS CAPITAL HIGH YIELD BOND ETF JNK | 250 0000 | 01/08/10 | 06/09/11 | $9,995 45 | $10,030 19 | ($34 74) |
| SPDR BARCLAYS CAPITAL HIGH YIELD BOND ETF JNK | 808 0000 | 01/08/10 | 06/09/11 | $32,305 27 | $32,417 58 | ($112 31) |
| SPDR BARCLAYS CAPITAL HIGH YIELD BOND ETF JNK | 1,767 0000 | 01/08/10 | 06/20/11 | $69,598 69 | $70,893 40 | ($1,294 71) |

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**Realized Gain or (Loss)** (continued)

Accounting Method First In First Out [FIFO]

| **Long-Term** (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis *Adjusted* | Realized Gain or (Loss) *Adjusted* |
|---|---|---|---|---|---|---|
| SPDR BARCLAYS CAPITAL HIGH YIELD BOND ETF JNK | 3,233 0000 | 02/02/10 | 06/20/11 | $127,341 58 | $125,293 33 [i] | $2,048 25 |
| **Security Subtotal** | | | | **$239,240 99** | **$238,634 50** | **$606 49** |
| TEMPLETON CHINA WORLD A TCWAX | 4,202 6170 | multiple | 05/23/11 | $169,533 57 | $151,747 30 [i] | $17,786 27 |
| **Security Subtotal** | | | | **$169,533 57** | **$151,747 30** | **$17,786 27** |
| WAL-MART STORES 4 125XXX**MATURED** DUE 02/15/11 931142BV4 | 100,000 0000 | 02/10/05 | 02/15/11 | $100,000 00 | $98,169 00 [i] | $1,831 00 |
| | | | | | *$100 000 00* | *$0 00* [i] |
| **Security Subtotal** | | | | **$100,000 00** | **$98,169 00** | **$1,831 00** |
| **Total Long-Term** | | | | **$1,440,536 64** | **$1,353,305 30** | **$87,231 34** |
| | | | | | ***$1,346,194 55*** | ***$94,342 09*** [b] |
| **Total Realized Gain or (Loss)** | | | | **$3,387,799 92** | **$3,301,364 34** | **$86,435 58** |
| | | | | | ***$3,294,253 59*** | ***$93,546 33*** [b] |