



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
Harris & Eliza Kempner Fund Inc

A Employer identification number
76-0680130

Number and street (or P O box number if mail is not delivered to street address)
2201 Market Suite 1250

Room/suite

B Telephone number (see page 10 of the instructions)
(409) 762-1603

City or town, state, and ZIP code
Galveston, TX 77550

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 40,611,452

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	200,280			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	277,126	277,126		
	4 Dividends and interest from securities.	754,933	754,933		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	981,755			
	b Gross sales price for all assets on line 6a _____ 9,305,048				
	7 Capital gain net income (from Part IV, line 2)		981,755		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 118,329	13,188		
	12 Total. Add lines 1 through 11	2,332,423	2,027,002		
	13 Compensation of officers, directors, trustees, etc	97,200			97,200
	14 Other employee salaries and wages	66,950			66,950
	15 Pension plans, employee benefits	6,500			6,500
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	 48,140			48,140
	c Other professional fees (attach schedule)	 190,093	188,768		1,325
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	 61,138	12,130		24,484
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	11,673			11,673
	21 Travel, conferences, and meetings	17,333			17,333
	22 Printing and publications	417			417
	23 Other expenses (attach schedule).	 129,491	53,521		75,970
	24 Total operating and administrative expenses. Add lines 13 through 23	628,935	254,419		349,992
	25 Contributions, gifts, grants paid	1,663,973			1,663,973
	26 Total expenses and disbursements. Add lines 24 and 25	2,292,908	254,419		2,013,965
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	39,515			
	b Net investment income (if negative, enter -0-)		1,772,583		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	457,397	519,043	519,043
	2	Savings and temporary cash investments	2,334,246	3,377,355	3,377,355
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 236,849 Less allowance for doubtful accounts ▶ _____	373,528	236,849	236,849
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	28,948	3,254	35,555
	10a	Investments—U S and state government obligations (attach schedule)	5,546,085	4,183,639	4,938,434
	b	Investments—corporate stock (attach schedule)	22,330,349	22,940,459	22,531,434
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,200,467	6,496,775	8,952,272
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	467,349	20,510	20,510	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	37,738,369	37,777,884	40,611,452	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	37,738,369	37,777,884	
	30	Total net assets or fund balances (see page 17 of the instructions)	37,738,369	37,777,884	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	37,738,369	37,777,884	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 37,738,369
2	Enter amount from Part I, line 27a	2 39,515
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 37,777,884
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 37,777,884

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE SCHEDULE D-2 PAGE 21 ATTACHED	P	2009-01-01	2011-12-31
b	SEE SCHEDULE D-1 PAGE 20 ATTACHED	P	2011-01-01	2011-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,209,806		5,007,376	1,202,430
b 3,095,242		3,315,917	-220,675
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			1,202,430
b			-220,675
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	981,755
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	-220,675

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	1,632,460	37,485,728	0 04355
2009	1,786,297	34,174,278	0 05227
2008	2,629,945	43,030,666	0 06112
2007	2,212,611	45,855,203	0 04825
2006	2,025,456	41,931,433	0 04830

2 Total of line 1, column (d).	2	0 25349
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 05070
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	40,602,323
5 Multiply line 4 by line 3.	5	2,058,497
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	17,726
7 Add lines 5 and 6.	7	2,076,223
8 Enter qualifying distributions from Part XII, line 4.	8	2,013,965

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	35,452
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	35,452
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	35,452
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	37,408	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	37,408
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,956
11		Enter the amount of line 10 to be Credited to 2012 estimated tax	1,956	Refunded	11

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No			
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No			
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>kempnerfund.org</u>	13	Yes				
14	The books are in care of ▶ <u>Anne Brasier</u> Telephone no ▶ <u>(409) 762-1603</u> Located at ▶ <u>2201 Market Suite 1250 Galveston TX</u> ZIP +4 ▶ <u>775501529</u>						
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15					
15							
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	<table><tr><td>Yes</td><td>No</td></tr></table>	Yes	No	<table><tr><td>No</td></tr></table>	No
Yes	No						
No							
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶							

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRETTE M HOWARD	GRANTS MANAGER	66,950	6,500	
1810 VALERO	40 00			
FRIENDSWOOD, TX 77546				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KEMPNER CAPITAL MANAGEMENT 2201 MARKET 12TH FLOOR GALVESTON,TX 77550	PORTFOLIO MANAGEMENT	75,663
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	37,667,391
b	Average of monthly cash balances.	1b	3,553,241
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	41,220,632
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	41,220,632
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	618,309
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	40,602,323
6	Minimum investment return. Enter 5% of line 5.	6	2,030,116

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,030,116
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	35,452
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	35,452
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,994,664
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,994,664
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,994,664

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,013,965
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,013,965
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,013,965
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				1,994,664
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2011				
a	From 2006.				
b	From 2007.				
c	From 2008. 372,593				
d	From 2009. 90,447				
e	From 2010.				
f	Total of lines 3a through e.	463,040			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 2,013,965				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d	Applied to 2011 distributable amount.				1,994,664
e	Remaining amount distributed out of corpus	19,301			
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	482,341			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).				
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	482,341			
10	Analysis of line 9				
a	Excess from 2007. . . .				
b	Excess from 2008. . . . 372,593				
c	Excess from 2009. . . . 90,447				
d	Excess from 2010. . . .				
e	Excess from 2011. . . . 19,301				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

HARRIS ELIZA KEMPNER FUND
2201 MARKET STREET SUITE 1250
GALVESTON, TX 775501529
(409) 762-1603

b

The form in which applications should be submitted and information and materials they should include

SEE APPLICATION GUIDELINES ATTACHED

c

Any submission deadlines

SEE APPLICATION GUIDELINES ATTACHED

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE APPLICATION GUIDELINES ATTACHED

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE SCHEDULE 1 ATTACHED ALL RECIPIENTS 501c3 ORG Galveston, TX 77550	NONE	501(c) (3)	SEE SCHEDULE 1 ATTACHED	1,663,973
Total  3a				1,663,973
b <i>Approved for future payment</i>				
Total  3b				

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	277,126	
4	Dividends and interest from securities.			14	754,933	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					981,755
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.					
11	Other revenue a PORTFOLIO INCOME/LOSS			14	13,188	
b	Oil & Gas Royalties			15	96,127	
c	KEMPNER SECURITIES LP	211110	-570			
d	BLACK STONE RESOURCES K-1	211110	171			
e	BLACK STONE MINERALS K-1	211110	9,413			
12	Subtotal Add columns (b), (d), and (e).		9,014		1,141,374	981,755
13	Total. Add line 12, columns (b), (d), and (e).			13		2,132,143

[illegible]

Part XVII

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		(a) Name of organization	(b) Type of organization	(c) Description of relationship
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
***** Signature of officer or trustee			2012-09-21 Date	***** Title
Paid Preparer's Use Only	Preparer's Signature	James B Schmidt IV		Date
	Firm's name	J B Schmidt IV CPA 2200 Market Suite 400		Check if self-employed ☒
	Firm's address	Galveston, TX 775501532		PTIN Firm's EIN Phone no (409) 765-5569

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Additional Data

Software ID: 11000144
Software Version: 2011v1.2
EIN: 76-0680130
Name: Harris & Eliza Kempner Fund Inc

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIZA K QUIGLEY	Secretary 4 00	0		
2201 MARKET SUITE 1250 GALVESTON,TX 77550				
ANNE BRASIER	Executive Direc 40 00	92,700	4,500	
2424 SYDNOR LANE GALVESTON,TX 77551				
ELIZABETH K MCFARLAND	Director 4 00	0		
2201 MARKET SUITE 1250 GALVESTON,TX 77550				
PATRICIA GRAY	Director 4 00	0		
1816 CHURCH GALVESTON,TX 77550				
DANIEL W HAMILTON	Director 4 00	0		
2001 MARKET SUITE 1250 GALVESTON,TX 77550				
RANDALL T KEMPNER	Director 4 00	0		
1503A SWANN ST NW WASHINGTON,DC 200093995				
DANIEL K THORNE	Treasurer 4 00	0		
35 PINELAWN RD SUITE 212W MELVILLE,NY 11747				
RHODA T EZELL	Director 4 00	0		
2201 MARKET SUITE 1250 GALVESTON,TX 77550				
BARBARA W SASSER	HON DIRECTOR 10 00	0		
7221 BROADWAY GALVESTON,TX 77554				
HETTA T KEMPNER	Director 4 00	0		
1507 DRIFTWOOD GALVESTON,TX 77551				
JOHN T CURRIE	HON DIRECTOR 4 00	0		
6218 CEDAR CREEK HOUSTON,TX 77052				
ARTHUR M ALPERT	HON DIRECTOR 4 00	0		
2982 BAYSIDE WALK SAN DIEGO,CA 92109				
ROBERT L K LYNCH	Vice President 4 00	0		
44 CEDAR LAWN GALVESTON,TX 77551				
JOHN H CAMPBELL	Director 4 00	0		
2200 MARKET SUITE 804 GALVESTON,TX 77550				
LYDA ANN Q THOMAS	President 10 00	0		
PO BOX 2010 GALVESTON,TX 77553				

TY 2011 Accounting Fees Schedule**Name:** Harris & Eliza Kempner Fund Inc**EIN:** 76-0680130**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
J B SCHMIDT IV CPA- ACCOU T & TAX PREP	44,640	0	0	44,640
DRDA, PC- ANNUAL AUDIT	3,500	0	0	3,500

TY 2011 Contractor Compensation Explanation

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

Contractor	Explanation
KEMPNER CAPITAL MANAGEMENT	

TY 2011 Investments Corporate Stock Schedule

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE SCHEDULE 2, PAGE 16, ATTACHED	22,940,459	22,531,434

TY 2011 Investments Government Obligations Schedule

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

US Government Securities - End of

Year Book Value:

4,183,639

US Government Securities - End of

Year Fair Market Value:

4,938,434

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2011 Investments - Other Schedule

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE SCHEDULE 2, PAGE 16, ATTACHED	FMV	6,496,775	8,952,272

TY 2011 Other Assets Schedule

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PARTNERSHIP DISTRIBUTION RECEIVABLE	16,255	20,510	20,510

TY 2011 Other Expenses Schedule**Name:** Harris & Eliza Kempner Fund Inc**EIN:** 76-0680130**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UTILITIES	720			720
TELEPHONE	4,803			4,803
REPAIRS AND MAINTENANCE	6,212			6,212
REGISTRATION FEES	9,401			9,401
POSTAGE	1,889			1,889
PARKING	1,584			1,584
OTHER INVESTMENT EXPENSE FROM K-1'S	53,521	53,521		
OFFICE SUPPLIES	994			994
OFFICE EQUIPMENT/FURNITURE	3,189			3,189
MISCELLANEOUS	1,616			1,616
MEMBERSHIP FEES	5,676			5,676
INSURANCE	38,611			38,611
ENTERTAINMENT	1,275			1,275

TY 2011 Other Income Schedule**Name:** Harris & Eliza Kempner Fund Inc**EIN:** 76-0680130**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PORTFOLIO INCOME/LOSS	13,188	13,188	
Oil & Gas Royalties	96,127		
KEMPNER SECURITIES LP	-570		
BLACK STONE RESOURCES K-1	171		
BLACK STONE MINERALS K-1	9,413		

**TY 2011 Other Notes/Loans
Receivable Short Schedule****Name:** Harris & Eliza Kempner Fund Inc**EIN:** 76-0680130**Software ID:** 11000144**Software Version:** 2011v1.2

Name of 501(c)(3) Organization	Balance Due
SEE SCHEDULE 2 PG 1 ATTACHED	236,849

TY 2011 Other Professional Fees Schedule

Name: Harris & Eliza Kempner Fund Inc

EIN: 76-0680130

Software ID: 11000144

Software Version: 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WESTEND ADVISORS- PORTFOLIO MGN	41,844	41,844	0	0
WENTWORTH INTERNATIONAL-PORTFOLIO MGN	6,319	6,319	0	0
NEUBERGER BERMAN- PORTFOLIO MGN	34,561	34,561	0	0
KEMPNER CAPITAL MANAGEMENT-PORTFOLIO MGN	75,663	75,663	0	0
FROST BANK- CUSTODIAL FEES	4,340	4,340	0	0
FAYEZ, SAROFIM & CO - PORTFOLIO MGN	15,098	15,098	0	0
DELAWARE INTERNATIONAL- PORTFOLIO MGN	10,943	10,943	0	0
Carley & McCaw-Pension Administrator	1,325	0	0	1,325

TY 2011 Taxes Schedule**Name:** Harris & Eliza Kempner Fund Inc**EIN:** 76-0680130**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBIT TAXES	1,202			
PAYROLL TAXES	12,354			12,354
FOREIGN TAX ON SECURITIES	12,130	12,130		12,130
EXCISE TAXES	35,452			

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Advocacy Center for Children of Galveston County 5710 Avenue s 1/2 Galveston, TX 77551 <i>Forensic Interview Program</i>	\$7,500.00
Archdiocese of Galveston-Houston 1700 San Jacinto Houston, TX 77002 <i>Restoration of St. Mary's Cathedral Basilica</i>	\$25,000.00
Boys and Girls Clubs of Greater Houston, Inc. 1520 A Airline Drive Houston, TX 77009-3606 <i>Operations, programs and services at Johnny Mitchell club</i>	\$25,000.00
Catholic Charities 2500 1st Avenue, Bldg CB Greeley, CO 80631 <i>Emergency food and financial assistance, and case management for families residing in Galveston</i>	\$10,000.00
Crisis Intervention of Houston, Inc. 3701 Kirby Drive, Suite 540 Houston, TX 77098 <i>Funding to support 24/7 crisis hot line in Galveston</i>	\$7,500.00
First Union Baptist Church 1027 Avenue K Galveston, TX 77550 <i>Operations for Resource Center</i>	\$10,000.00
Galveston County Adoption Day Foundation P. O. Box 3203 Galveston, TX 77552 <i>2011 Galveston County National Adoption Day</i>	\$1,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Galveston County Interfaith 1010 35th Street Galveston, TX 77550 <i>Operations</i>	\$1,000.00
Galveston ISD Educational Fndn P O. Box 660 Galveston, TX 77553 <i>Grants to Teacher Program and field trips to the Serious Fund Performances</i>	\$15,000.00
Galveston Island Nature Tourism Council P. O. Box 1468 Galveston, TX 77553 <i>Project: FeatherFest 2011</i>	\$5,000.00
Gleanings from the Harvest 624 4th Avenue North Texas City, TX 77590 <i>Operations</i>	\$10,000.00
Historic Downtown Galveston Partnership P. O. Box 715 Galveston, TX 77553 <i>Operations for Historic Downtown Strand Seaport Partnership</i>	\$10,000.00
Kipp, Inc. 3701 Overbrook Houston, TX 77027 <i>Project: Everything is Earned Program at Galveston Island school</i>	\$10,000.00
National Trust for Historic Preservation Preservation 1785 Massachusetts Ave N. W. Washington, D. C. 20038 <i>Preservation Field Services to Galveston, TX</i>	\$10,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
O'Connell College Preparatory School 1320 Tremont Galveston, TX 77550 <i>Restoration of library and Media Resource Center</i>	\$9,000 00
Planned Parenthood of Metropolitan Washington Metropolitan Washington 4600 Gulf Freeway Houston, TX 77023 <i>Operations</i>	\$2,500 00
The Rose 12700 N. Featherwood, Suite 260 Houston, TX 77034 <i>Mobile Mammography Outreach Program for uninsured women in Galveston County</i>	\$6,000.00
Rosenberg Library 2310 Sealy Galveston, TX 77550 <i>Project: Galveston Reads</i>	\$4,000 00
Satori, Inc. 2503 Sealy Galveston, TX 77550 <i>Scholarships and equipment purchase</i>	\$10,000.00
Sugar Land Heritage Foundation P. O. Box 2998 Sugar Land, TX 77487-2998 <i>Operational support and exhibits expenses for 2011- 2012</i>	\$30,000.00
Tahirih Justice Center 1111 Lousiana, 44th Floor Houston, TX 77002 <i>Holistic Legal Services for immigrant women and children</i>	\$5,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Teen Health Center P. O. Box 925 Galveston, TX 77553 <i>Project: Salary and benefits for additional counselor</i>	\$12,500.00
Texas A&M University at Galveston P. O. Box 1675 Galveston, TX 77553-1675 <i>Educational Outreach Sixth Grade Field Trip Program</i>	\$7,500.00
Texas General Land Office 1700 North Congress Austin, TX 78701-1495 <i>Galveston Island Beach cleanup program</i>	\$1,500 00
The University of Texas Medical Branch 301 University Boulevard Galveston, TX 77555 <i>Jeane B. Kempner Fellowships</i>	\$164,126 00
WOMAN, Inc. P. O. Box 571898 Houston, TX 77257-1898 <i>Operational support for services to women and children living in abusive situations</i>	\$10,000 00
Countryside School 4301 Kirby Avenue Champaign, IL 61822 <i>Director Discretion</i>	\$3,000.00
etc at the Strand Theatre 2317 Ships Mechanic Row Galveston, TX 77550 <i>Operations</i>	\$3,000.00
Galveston AIDS Foundation 707 Tremont St Galveston, TX 77550 <i>Green Supplement</i>	\$6,455 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Galveston Historical Foundation P. O. Box 2119 Galveston, TX 77553 <i>Green Supplement</i>	\$5,207.00
Trees for Houston P. O. Box 270477 Houston, TX 77277 <i>Planting of trees between 15th and 18th Streets in the esplanade in Galveston IMO Leonora Kempner</i>	\$75,000.00
Teen Health Center P. O. Box 925 Galveston, Tx 77553 <i>Project: Salary and benefits for additional counselor</i>	\$12,500 00
Trees for Houston P. O. Box 270477 Houston, TX 77277 <i>Planting of trees between 15th and 18th Streets in the esplanade in Galveston IMO Leonora Kempner</i>	\$24,250.00
The Trust for Public Land 33 Union St, 5th Floor Boston, MA 02108 <i>Conservation plan of West Galveston Island</i>	\$5,000.00
The Artist Boat, Inc. 2415 Avenue K Galveston, TX 77550 <i>Operational support for Eco-Art Youth programs</i>	\$6,000.00
Boys and Girls Clubs of Greater Houston, Inc. 1520 A Airline Drive Houston, TX 77009-3606 <i>Operations for Johnny Mitchell Club</i>	\$10,000.00
The Children's Center, Inc. P O Box 2600 Galveston, TX 77553 <i>Operations</i>	\$40,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Christus Foundation for Health Care P O Box 1919 Houston, TX 77251-1919 <i>Operations at Our Daily Bread</i>	\$8,000.00
The Ciesla Foundation, Inc. 3721 Jennifer St NW Washington, D. C. 20015 <i>Underwriting of "The Rosenwald Schools", a documentary on how a jewish businessman and philanthrop.</i>	\$1,000.00
East End Preschool 1903 Church St Galveston, TX 77550 <i>IHO Quinn and Kadin Quigley's teachers</i>	\$1,000.00
Epilepsy Foundation of Southeast Texas 2650 Fountainview, Suite 316 Houston, TX 77057 <i>Operations for clinic in Galveston</i>	\$2,500 00
First Presbyterian Church of Galveston, TX 1903 Church St Galveston, TX 77550 <i>Restoration of artwork/stenciling in sanctuary</i>	\$3,000 00
Galveston Arts Center 2127 Strand Galveston, TX 77550 <i>Operations and program support</i>	\$10,000.00
Galveston Ballet P. O. Box 594 Galveston, TX 77553 <i>Project: Dance in Schools</i>	\$3,000 00
Galveston Bay Foundation 17324-A Highway 3 Webster, TX 77598 <i>Conservation Wetlands Education and Restoration</i>	\$3,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Galveston County Mutual Assistance Ppartnership Assistance Partnership 2200 Market Street, Suite 600 Galveston, TX 77550 <i>Operations: Capacity Building and developmental programs</i>	\$3,000 00
Galveston Island Swim Team P. O. Box 8238 Galvston, TX 77553-8238 <i>Assist with salary for "learn-to-swim coach, pool rental and subsidize fees of low income youth</i>	\$3,000.00
KickStart 3800 N. Shepherd, Suite 3800-B Houston, TX 77018 <i>Project. Karate-based drug prevention program at Central Middle School</i>	\$5,000.00
NIA Cultural Center P O Box 1491 Galveston, TX 77553-1491 <i>Continued support for "Freedom School" a 6-week summer literacy program for K-12 graders</i>	\$10,000.00
Planned Parenthood Gulf Coast 4800 Gulf Freeway Houston, TX 77023 <i>Operations for Galveston County clinic</i>	\$7,500 00
Resource and Crisis Center 1802 Broadway, Suite 122 Galveston, TX 77550 <i>Operations</i>	\$25,000 00
St. Vincent's House 2817 Postoffice St Galveston, TX 77550 <i>Operations: Preschool</i>	\$25,000.00
St. Vincent's House 2817 Postoffice St Galveston, TX 77550 <i>Operations. Free medical clinic</i>	\$10,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Sunshine Center, Inc. 1726 21st St Galveston, TX 77550 <i>Assist with Family Support and Caseworker Programs</i>	\$12,000.00
United Ministry to the Port of Galveston, Inc. 221 20th St Galveston, TX 77550 <i>Capital: Window tinting of renovated facility</i>	\$2,295 00
United Negro College Fund, Inc. 723 Main St., Suite 1010 Houston, TX 77002 <i>"Last Dollar" scholarships for Galveston County students to attend a school in Texas</i>	\$3,000.00
The University of Texas Medical Branch 301 University Boulevard Galveston, TX 77555 <i>Campaign: Timeless Values, Pioneering Solutions</i>	\$140,528 00
Veterans of Foreign Wars 1014 24th St Galveston, TX 77550 <i>Repairs to facility damaged by Hurricane Ike and for operating expenses</i>	\$5,000.00
Alcohol Drug Abuse Women's Center Center 201 First Street Galveston, TX 77550 <i>Operations</i>	\$12,500.00
Galveston Club, Inc. P. O. Box 3277 Galveston, TX 77552-0277 <i>Assist with repair of floor in meeting facility</i>	\$2,000.00
Galveston Historical Foundation 502 20th Street Galvston, TX 77550 <i>Code Compliance Assistance Program to assist low-to-moderate income homeowners with code violation</i>	\$20,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Galveston Historical Foundation 502 20th Street Galveston, TX 77550 <i>Operations</i>	\$25,000 00
Galveston Independent School District District P. O. Box 660 Galveston, TX 77553 <i>Support for "Exploring our Seas Mural through Science and Technology" program at LA Morgan Element:</i>	\$3,000.00
NAMI Gulf Coast P. O. Box 4096 Alvin, TX 77512 <i>Education and Support programs</i>	\$11,000.00
Rosenberg Library 2310 Sealy Galveston, TX 77550 <i>Capital: Recovery efforts due to damages caused by Hurricane Ike</i>	\$16,666 65
Seven Acres Jewish Senior Care Services, Inc. 6200 North Braeswood Houston, TX 77074-7599 <i>Support for long-term care program to former Galveston residents</i>	\$4,000 00
Teen Health Center, Inc. P. O. Box 925 Galveston, TX 77553 <i>Project: Salary and benefits for additional counselor</i>	\$12,500.00
Family Service Center Of Galveston County Galveston County 2200 Market Street, Suite 600 Galveston, TX 77550 <i>Project: Community Mental Health Services Outreach Program</i>	\$30,000 00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550 <i>Funding to match Kempner Park Fund for Garten Verein Repairs</i>	\$20,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Project Connect Attn Pamula Thomas, Director 2651 Burnet Avenue Cincinnati, OH 45219 Rhoda T. Ezell	\$1,000.00
Teen Health Center, Inc. P O. Box 925 Galveston, TX 77553 Project Salary and benefits for additional counselor	\$12,500.00
The University of Texas Medical Branch Branch 301 University Boulevard Galveston, TX 77555 Memorial. Jeane B. Kempner Fellowships	\$267,633.52
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550 Memorial: Jennifer L. Ezell for children's programming	\$3,407.50
Rosenberg Library 2310 Sealy Galveston, TX 77550 Memorial: Jennifer L. Ezell for Children's Books	\$3,407.50
Center for Holocaust and Humanity Education Humanity Education 8401 Montgomery Road Cincinnati, OH 45236 Rescue in Philadelphia documentary--Barbara Sasser	\$3,000.00
African Children's Haven 4012 Pirates Beach Galveston, TX 77554-8035 Operations - Robert Lynch	\$1,000.00
Alcohol Drug Abuse Women's Center Inc. 201 First Street Galveston, TX 77550 Green Supplement	\$300.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Boys and Girls Clubs of Greater Houston, Inc. 1520 A Airline Drive Houston, TX 77009-3606 <i>Director Discretion: Special Events/Projects in Galveston</i>	\$1,000 00
Galveston AIDS Foundation 707 Tremont Street Galveston, TX 77550 <i>Operations: Arthur M. Alpert</i>	\$3,000 00
Galveston Arts Center 2127 Strand Galveston, TX 77550 <i>Director Discretion: Operations</i>	\$1,000.00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550 <i>Operations - Robert Lynch</i>	\$1,000 00
Galveston ISD Educational Foundation P. O. Box 660 Galveston, TX 77553 <i>Operations</i>	\$2,500.00
Galveston Island Humane Society, Inc. Society, Inc. 6814 Broadway Galveston, TX 77551 <i>Director Discretion: IMO Zachary Thomas</i>	\$500 00
Galveston Island Nature Tourism Council P. O. Box 1468 Galveston, TX 77553 <i>Director Discretion: East End Lagoon Project</i>	\$500.00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550 <i>Director Discretion: Lyda K Quinn Fund for Performing Arts</i>	\$1,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550 <i>Director Discretion</i>	\$1,000.00
Kipp, Inc. 10711 Kipp Way Houston, TX 77099-2675 Houston, TX 77099-2675 <i>Director Discretion: Operations</i>	\$1,000.00
Planned Parenthood of San Antonio and South Cen 104 Babcock Road San Antonio, TX 78201-2900 <i>Director Discretion</i>	\$3,000.00
Project Connect Attn. Pamula Thomas, Director 2651 Burnet Avenue Cincinnati, OH 45219 <i>Director Discretion: Operations</i>	\$2,000.00
Satori, Inc. 2503 Sealy Galveston, TX 77550 <i>Operations</i>	\$1,000.00
SMART Family Literacy, Inc. 1713 Driftwood Lane Galveston, TX 77551 <i>Director Discretion: Operations</i>	\$1,500.00
St. Vincent's House 2817 Postoffice Galveston, TX 77550 <i>Director Discretion: Operations</i>	\$1,000.00
Teen Health Center P. O. Box 925 Galveston, TX 77553 <i>Project: Salary and benefits for additional counselor</i>	\$12,500.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
United Way Of Galveston P O Box 2250 Galveston, TX 77553 <i>Galveston Recovery Fund: for Moving and storage expenses for a Galveston city family</i>	\$3,000 00
Sub-total Grants	<u>\$1,367,776.17</u>
The Artist Boat, Inc. 2415 Avenue K Galveston, TX 77550	\$75.00
The Bellaire Men's Club P. O. Box 1231 Bellaire, TX 77402	\$500 00
Can Care of Houston 9575 Katy Freewy, Suite 428 Houston, TX 77074	\$100 00
Contemporary Arts Museum Houston 5216 Montrose Houston, TX 77006-6598	\$10,000 00
Educational Foundation of Orinda 21C Orinda Way A#123 Orinda, CA 94563	\$2,500 00
Faith in Practice P. O. Box 4976 Houston, TX 77210-4976	\$400.00
Family Service Center Of Galveston County Galveston County 2200 Market Street, Suite 600 Galveston, TX 77550	\$375 00
Family Service Center Of Galveston County Galveston County 2200 Market Street, Suite 600 Galveston, TX 77550	\$375 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
FotoFest Inc. 1113 Vine Street, Suite 101 Houston, TX 77002	\$1,000.00
Galveston Arts Center 2127 Strand Galveston, TX 77550	\$375 00
The Jewish Foundation for the Righteous Righteous 305 7th Avenue, 19th Floor New York, NY 10001-6008	\$100.00
The Metropolitan Opera National Council - Grand Finale Lincoln Center New York, NY 10023	\$250 00
National Kidney Foundation of Northern California Northern California 131 Stewart Street, Suite 520 San Francisco, TX 94105-1240	\$5,000.00
Operation Hands On P. O. Box 780369 San Antonio, TX 78278	\$50.00
San Antonio Symphony 222 E. Houston Street San Antonio, TX 78205	\$50.00
Southwest Foundation For Biomedical Research Biomedical Research P O. Box 760549 San Antonio, TX 78245-0549	\$1,000.00
Southwest School of Art & Craft 300 Augusta San Antonio, TX 78205-1296	\$1,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Temple Emanu-El 6299 Capri Drive San Diego, CA 92120-4632	\$1,000.00
Texas Lighthouse Foundation, Inc. fbo Trille Dandridge Hometown Bank P. O. Box 3090 Galveston, TX 77550	\$200.00
Texas Women for the Arts Texas Cultural Trust 823 Congress, Suite 650 Austin, TX 78701	\$1,000.00
United Way Of Galveston P. O. Box 2250 Galveston, TX 77553	\$1,500.00
University of Houston KUHF 88.7FM 4343 Elgin, Floor 3 Houston, TX 77204	\$60.00
The University of Texas Medical Branch 301 University Boulevard Galveston, TX 77555	\$750.00
The University of Texas Medical Branch-Graduate 301 University Boulevard Galveston, TX 77555	\$375.00
American Red Cross 2700 Southwest Freeway Houston, TX 77098	\$100.00
Central Asia Institute P. O. Box 7209 Bozeman, MT 59771	\$100.00
Communities in Schools Houston, Inc. 1235 N Loop West Houston, TX 77008	\$250.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Faith in Practice P O. Box 4976 Houston, TX 77210	\$100 00
Family Service Center Of Galveston County 2200 Market Street, Suite 600 Galveston, TX 77550	\$375 00
FIREFIGHTERS CHILDRENS PARADE KREWE 5827 Avenue S 1/2 Galveston, TX 77551	\$150.00
Friendship Bridge 405 Urbana Street Lakewood, CO 80228-1221	\$100 00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550	\$600 00
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$225.00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$300 00
Habitat for Humanity - Houston P. O. Box 8467 Houston, TX 77288	\$150 00
Houston Area Women's Center 1010 Waugh Drive Houston, TX 77019	\$250.00
Houston Food Bank 3811 Eastex Freeway Houston, TX 77026	\$200 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Houston Grand Opera 510 Preston Houston, TX 77002	\$250.00
Museum of Fine Arts Houston P O Box 6826 Houston, TX 77265	\$250 00
Neighborhood Centers, Inc. P O Box 271389 Houston, TX	\$150.00
Planned Parenthood Gulf Coast 4600 Gulf Freeway Houston, TX 77023	\$650.00
St. Apollinaris Church 3700 Lassen Street Napa, CA 94558	\$842.50
Teach for America, Inc. 4669 Southwest Freeway, Suite 600 Houston, TX 77027	\$100.00
Temple B'nai Israel 3006 Avenue O Galveston, TX 77550	\$150.00
United Way of Texas Gulf Coast P. O. Box 3247 Houston, TX 77253	\$700.00
University of Houston KUHF 88 7 FM 4343 Elgin, Floor 3 Houston, TX 77004	\$500 00
University of Houston/KUHT-TV 4343 Elgin, Floor 3 Houston, TX 77004	\$500.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
African Children's Haven 4012 Pirates Beach Galveston, TX 77554-8035	\$600.00
Alley Theatre 615 Texas Avenue Houston, TX 77002	\$100 00
Bayou Preservation Association, Inc. P O. Box 131563 Houston, TX 77219-1563	\$100 00
The Bellaire Men's Club P O. Box 1231 Bellaire, TX 77402	\$2,500 00
Doctors Without Borders USA, Inc. 333 Seventh Avenue, 2nd Floor New York, NY 10001-5004	\$200 00
etc at the Strand Theatre 2317 Ships Mechanic Row Galveston, TX 77550	\$375 00
Family Services of Greater Houston 3815 Montrose Boulevard, Suite 200 Houston, TX 77006	\$200 00
Fourth Ward Clinic Good Neighbor Health Care Ce 277 West Gray Houston, TX 77019-5496	\$100 00
Friends of Hospice San Antonio, Inc. P. O. Box 4087 San Antonio, TX 78299-0487	\$50 00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550	\$150.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Galveston Independent School District P. O Box 660 Galveston, TX 77553	\$187 50
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$150 00
Goodwill Industries 10795 Hammerly Houston, TX 77043	\$100 00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$1,875 00
Greater Houston Preservation Alliance, Inc. 3272 Swestheimer Road, Suite 2 Houston, TX 77098	\$100.00
Hermann Park Conservancy 6201 A Herman Park Ddrive Houston, TX 77030	\$100.00
Houston Achievement Place 245 W. 17th Houston, TX 77008	\$100.00
Houston Hospice 1905 Holcombe Houston, TX 77030-4123	\$100.00
Houston Parks Board 300 North Post Oak Lane Houston, TX 77024	\$100 00
Inprint, Inc. 1524 Sul Ross Houston, TX 77006	\$100 00
Lady Bird Johnson Wildflower Center P. O Box 718 San Antonio, TX 78293-0718	\$100 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Menil Foundation 1511 Branard Houston, TX 77006	\$100 00
National Parks Conservation Association P. O. Box 97202 Washington, DC 20077-7431	\$100 00
Neuhaus Education Center 4433 Bissonnett Bellaire, TX 77401-3233	\$100.00
North Georgia Animal League P. O. Box 1241 Lafayette, GA 30728	\$250 00
Salvation Army/Houston P. O. Box 203781 Houston, TX 77216-3781	\$100 00
Satori, Inc. 2503 Sealy Galveston, TX 77550	\$375.00
Amigos De Las Americas 5618 Star Lane Houston, TX 77057-9927	\$100 00
The Chinquapin School 2615 East Wallace Road Highlands, TX 77562	\$100 00
Galveston AIDS Foundation 707 Tremont Street Galveston, TX 77550	\$1,125.00
The Jewish Foundation for the Righteous Righteous 305 Seventh Avenue, 19th Floor New York, NY 10001-6008	\$100 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Ronald McDonald House of Galveston 301 14th Street Galveston, TX 77550	\$1,875 00
St. Apollinaris Church 3700 Lassen Street Napa, CA 94558	\$2,500 00
Star of Hope Mission P. O. Box 1505 Houston, TX 77251-1505	\$100 00
Temple Emanu-El 6299 Capri Drive San Diego, CA 92120-4632	\$500 00
United States Holocaust Memorial Museum P O., Box 7022 Albert Lea, MN 56007-8022	\$100.00
University of Central Oklahoma Foundation 100 North University Drive Edmonton, OK 73034	\$500.00
Cape Eleuthera Foundation, Inc. P. O. Box 6008 Lawrenceville, NJ 08648	\$500.00
Amigos De Las Americas 5618 Star Lane Houston, TX 77057-9927	\$107.69
The Bellaire Men's Club P. O Box 1231 Bellaire, TX 77402	\$1,250.00
Bolivar Peninsula Cultural Foundation P. O. Box 1346 Crystal Beach, TX 77650	\$2,250.00
Boys and Girls Clubs of Greater Houston, Inc. 1520 A Airline Drive Houston, TX 77009-3606	\$2,250 00

**SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130**

Recipient	Amount
Galveston ISD Educational Foundation P. O. Box 660 Galveston, TX 77553	\$2,250 00
Heifer International P. O Box 1692 Merrifield, VA 22116-9861	\$100 00
Kipp, Inc. 10711 Kipp Way Houston, TX 77099-2675 Houston, TX 77099-2675	\$1,500.00
KLRN/Alamo Public Telecommunications P. O. Box 9 San Antonio, TX 78291	\$100.00
The Leukemia and Lymphoma Society 950 Isom Road, Suite 104 San Antonio, TX 78216-4170	\$100 00
Museum of Fine Arts Houston P. O. Box 6826 Houston, TX 77265	\$1,000.00
St. John's School Development Office 2401 Claremont Lane Houston, Tx 77019	\$3,000 00
St. Vincent's House 2817 Postofice Galveston, Tx 77550	\$187.50
Sunshine Center, Inc. 1726 21st Street Galveston, TX 77550	\$2,250.00
Vanderbilt University Gift Processing Office PMB 407717 2301 Vanderbilt Place Nashville, TN 37240-7727	\$1,250.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Young Men's Christian Association of the Triangle 2744 Seafarer Road Arapahoe, NC 28510	\$1,000 00
The Alamo Heights School Foundation 7101 Broadway San Antonio, TX 28209	\$1,000.00
The Bellaire Men's Club P O Box 1231 Bellaire, TX 77402	\$550.00
Children International Box 219413 Kansas City, MO 64121-9413	\$200.00
Countryside School 4301 Kirby Avenue Champaign, IL 61822	\$7,500.00
Faith in Practice P. O Box 4976 Houston, TX 77210-4976	\$225 00
Galveston AIDS Foundation 707 Tremont Street Galveston, TX 77550	\$750.00
Oberlin College Office of Development Oberlin, OH 44074-1089	\$2,500.00
Planned Parenthood Federation of America, Inc. of America, Inc P. O Box 96735 Washington, DC 20009-6735	\$250 00
Center for Holocaust and Humanity Education 8401Montgomery Road Cincinnati, OH 45236	\$5,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
The Bellaire Men's Club P. O. Box 1231 Bellaire, TX 77402	\$550 00
The Bellaire Men's Club P O Box 1231 Bellaire, TX 77402	\$550 00
The Children's Center, Inc. P O. Box 2600 Galveston, TX 77553	\$150 00
The Children's Center, Inc. P O Box 2600 Galveston, TX 77553	\$225.00
The Children's Center, Inc. P. O. Box 2600 Galveston, TX 77553	\$4,500 00
etc at the Strand Theatre 2317 Ships Mechanic Row Galveston, TX 77550	\$375.00
etc at the Strand Theatre 2317 Ships Mechanic Row Galveston, TX 77550	\$375.00
Faith in Practice P. O Box 4976 Houston, TX 77210-4976	\$50 00
Faith in Practice P O Box 4976 Houston, TX 77210-4976	\$500 00
Family Service, Inc. P. O. Box 1020 Billings, MT 59103	\$10,000 00
Friends of Rosenberg Library 2310 Sealy Galveston, TX 77550	\$75.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$750.00
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$750 00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$600.00
Gulf Coast Big Brothers-Big Sisters, Inc. 1413 Tremont Galveston, TX 77550	\$37 50
Historic Downtown Galveston Partnership P. O Box 715 Galveston, TX 77553	\$150.00
Planned Parenthood of Metropolitan Washington Washington 1108 16th Street NW Washington, DC 20036	\$2,500 00
San Antonio Symphony 222 E. Houston Street San Antonio, TX 78205	\$100 00
St. Mark's School of Texas Phillip M. Samelson '86 Memorial Scholarship Fund 10600 Preston Road Dallas, TX 75230-4047	\$50 00
Temple Emanu-El 6299 Capri Drive San Diego, CA 92120-4632	\$875.00
United Way Of Galveston P. O. Box 2250 Galveston, TX 77553	\$11,700 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Ambassador Academy 5001 Avenue U Galveston, TX 77551	\$375 00
Ambassador Academy 5001 Avenue U Galveston, TX 77551	\$75 00
Catholic Charities - Weld Co Services 1442 N 11th Avenue Greeley, CO 80631-9564	\$1,500.00
Faith in Practice P O. Box 4976 Houston, TX 77210-4976	\$300 00
FotoFest Inc. 1113 Vine Street, Suite 101 Houston, TX 77002	\$2,500.00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$624.75
JohnnyKicksCancer Org, Inc. 5344 Jamaica Beach Galveston, TX77554-8679	\$250.00
Planned Parenthood Gulf Coast 4600 Gulf Freeway Houston, TX 77023	\$1,000.00
St. John's School Development Office 2401 Claremont Lane Houston, TX 77019	\$2,500.00
Temple B'nai Israel 3006 Avenue O Galveston, TX 77550	\$150 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Yes Prep Public Schools 6201 Bonhomme, Susite 168 N Houston, TX 77036	\$1,250 00
Yes Prep Public Schools 6201 Bonhomme, Susite 168 N Houston, TX 77036	\$1,250.00
The 100 Club 5555 San Felipe Street, Suite 520 Houston, TX 77056-0100	\$100 00
The Alamo Heights School Foundation 7101 Broadway San Antonio, TX 78209	\$5,000.00
American Red Cross 2700 Southwest Freeway Houston, TX 77098	\$100.00
Amnesty International USA P. O. Box 96756 Washington, DC 20077-7131	\$100.00
The Bellaire Men's Club P. O. Box 1231 Bellaire, TX 77402	\$550.00
Bering Omega Community Service Foundation 1429 Hawthorne Street Houston, TX 77006	\$100 00
Brady Center to Prevent Gun Violence 1225 Eye Street, Suite 1100 Washington, DC 20005	\$100.00
The Center for Hearing and Speech 3636 West Dallas Houston, TX 77019-1799	\$100 00

**SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130**

<u>Recipient</u>	<u>Amount</u>
Child Advocates Inc. 2401 Portsmount, Suite 210 Houston, TX 77098-3936	\$100 00
The Children's Assessment Center 2500 Bolsover Houston, TX 77005	\$100.00
D & R Greenway Land Trust One Preservation Place Princeton, NJ 08540	\$1,000.00
Faith in Practice P. O. Box 4976 Houston, TX 77210-4976	\$200 00
Galveston Island Oktoberfest, Inc. 2415 Avenue G Galveston, TX 77550	\$150 00
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$15,000.00
Galveston Island Humane Society, Inc. 6814 Broadway Galveston, TX 77551	\$2,000.00
The Gathering Place P. O. Box 35561 Houston, TX 77235	\$100.00
Houston Audubon Society 440 Wilchester Houston, TX 77079	\$100.00
Houston Food Bank 3811 Eastex Freeway Houston, TX 77026	\$200 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Houston Museum Of Natural Science Development Office P O. Box 200425 Houston, TX 77216-0425	\$100 00
Houston Read Commission 5330 Griggs Road #75 Houston, TX 77021	\$100.00
Houston SPCA 900 Portway Drive Houston, TX 77024-8022	\$100.00
Houston Symphony 615 Lousiana Street Houston, TX 77002-9997	\$100.00
Isles, Inc. 10 Wood Street Trenton, NJ 08616	\$2,000.00
Kipp, Inc. 10711 Kipp Way Houston, TX 77099-2675	\$100 00
Massachusetts General Hospital Simches Center - CPZN 5.822 MGH 185 Cambridge Street Boston, MA 02114	\$1,000 00
Melmark, Inc. 461 River Road Andover, MA 01810	\$500 00
Michael J. Fox Foundation for Parkinson's Research Church Street Station P. O. Box 780 New York, NY 10008-0780	\$2,500.00
Pink Ribbon Project 2449 S Boulevard, #100 Houston, TX 77098	\$125.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Planned Parenthood of Mercer Area 437 East State Street Trenton, NJ 08608-1567	\$2,000 00
Planned Parenthood Federation of America, Inc. P. O. Box 96735 Washington, DC 20090-6735	\$100.00
Planned Parenthood Gulf Coast 4600 Gulf Coast Houston, TX 77023	\$100.00
San Antonio Academy 117 E. French San Antonio, TX	\$500.00
Satori, Inc. 2503 Sealy Galveston, TX 77550	\$750.00
Sheltering Arms 3838 Aberdeen Way Houston, TX 77025	\$100 00
St. Catherine's Montessori 9821 Timberside Houston, TX 77025	\$200.00
Stages, Inc. 3201 Allen Parkway, Suite 101 Houston, TX 77019	\$100.00
Stony Brook-Millstone Watershed Association 31 Titus Mill Road Pennington, NJ 08534-9946	\$1,000.00
Teach for America, Inc. 4669 Southwest Freeway Houston, TX 77027	\$100 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Trees for Houston P O Box 270477 Houston, TX 77277	\$100 00
United Way of Texas Gulf Coast P. O. Box 3247 Houston, TX 77253	\$100 00
University of Houston KUHF 88.7 FM 4343 Elgin, Floor 3 Houston, TX 77004	\$100 00
Valley Zoological Society 500 Ringgold Street Brownsville, TX 78520	\$250.00
Women's International Network for Guatemalan So 793 Ashbury Street San Francisco, CA 94117-4013	\$300.00
World Monuments Fund 55 Madison Avenue - 9th Floor New York, NY 10016	\$2,000 00
Center for Holocaust and Humanity Education 8401 Montgomery Road Cincinnati, OH 45236	\$10,000.00
Alcohol Drug Abuse Women's Center 201 First Street Galveston, TX 77550	\$1,500.00
All Austin Cooperative Nursery School 2301 Hancock Drive Austin, TX 78756	\$500 00
American Heart Association 1280 South Parker Road Denver, CO 80231	\$950 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

<u>Recipient</u>	<u>Amount</u>
Animal Trustees of Austin P. O. Box 14542 Austin, TX 78761.4542	\$500.00
Ashoka Innovators for the Public 1700 North Moore Street, Suite 1920 Arlington, VA 22209-1903	\$1,000.00
Austin Parks Foundation 701 Brazos Street Austin, TX 78701	\$750.00
The Bellaire Men's Club P. O. Box 1231 Bellaire, TX 77402	\$275.00
Big Thicket Association P. O. Box 198 Saratoga, tx 77585	\$750 00
Boys and Girls Clubs of Greater Houston, Inc. Houston 1520 A Airline Drive Houston, TX 77009-3606	\$2,250 00
The Central Park Conservancy 14 East 60th Street New York, NY 10022	\$750.00
Chicago Childrens Museum 700 E Grand Avenue, Suite 127 Chicago, IL 60611	\$2,000 00
The Children's Center, Inc. P. O. Box 2600 Galveston, TX 77553	\$1,500.00
Children's Tumor Foundation 95 Pine Street, 16th Floor New York, NY 10005-1769	\$1,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Child's Park Foundation, Inc. P. O. Box 29 Northhampton, MA 01061-0029	\$250.00
Colonial Williamsburg Foundation P. O. Box 1776 Williamsburg, VA 23187-9910	\$75.00
Contemporary Arts Museum Houston 5216 Montrose Houston, TX 77006-6598	\$500 00
Countryside School 4301 Kirby Avenue Champaign, IL 61822	\$1,000.00
Dakin Pioneer Valley Humane Society, Inc. P. O. Box 6307 Springfield, NA 01101	\$100 00
Dalton School 108 East 89th Street New York, NY 10128	\$6,000.00
The Dian Fossey Gorilla Fund 800 Cherokee Avenue Atlanta, GA 30315	\$1,000.00
Doctors Without Borders USA, Inc. 333 Seventh Avenue, 2nd Floor New York, NY10001-5004	\$1,000 00
Environmental News Trust 256 Wagon Train Drive Antonio, CO 81120	\$5,000.00
etc at the Strand Theatre 2317 Ships Mechanic Row Galveston, TX 77550	\$750.00
Faith in Practice P. O. Box 4976 Houston, TX 77210-4976	\$2,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Friends of the National Zoo 3001 Connecticut Avenue N Washington, DC 20008	\$2,500 00
Galveston Arts Center 2127 Strand Galveston, TX 77550	\$1,500 00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550	\$800.00
Galveston Historical Foundation 502 20th Street Galveston, TX 77550	\$1,500.00
Galveston ISD Educational Foundation P. O. Box 660 Galveston, TX 77553	\$1,875 00
Galveston Island Tree Conservancy P O. Box 2123 Galveston, TX 77553	\$2,000.00
Galveston Island Tree Conservancy P. O Box 2123 Galveston, TX 77553	\$2,000 00
The Gazelle Foundation P. O. Box 1487 Austin, TX 78767-1487	\$1,000 00
Georgetown Day School 4530 MacArthur Blvd NW Washington, DC 20007	\$1,500 00
Georgetown Day School 4530 MacArthur Blvd NW Washington, DC 20007	\$1,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$1,137.00
The Grand 1894 Opera House 2020 Postoffice Street Galveston, TX 77550	\$1,500 00
Harvard University 124 Auburn Street Cambridge, MA 02138	\$1,000.00
Haven for Hope 1 Havaen for Hope Way San Antonio, TX 78207	\$500.00
Houston Public Library 500 McKinney Houston, TX 77002	\$100 00
Illinois Education Foundation 226 West Jackson, Suite 425 Chicago, IL 60606	\$3,000 00
International Language Institute of MA, Inc. 25 New South Street, Suite 102 Northampton, MA 01060	\$100.00
Island Institute P., O. Box 648 Rockland, ME 04841	\$1,000 00
Islesboro Island Trust P. O. Box 182 Islesboro, Me 04848-0182	\$1,000 00
Islesboro Community Fund P O. Box 166 Islesboro, ME 04848-0166	\$1,000 00
Jackson Street School Parent Teacher Organizatio 120 Jackson Street Northampton, MA 01060-1650	\$2,000 00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Jarrow School, Inc. 3900 Orange Court Boulder, CO 80304	\$2,000 00
The Jesse Tree 2622 Market Street Galveston, TX 77550	\$1,500 00
Jewish Federation of Galveston County P. O. Box 146 Galveston, TX 77553	\$750.00
Khan Academy Inc P. O. Box 1630 Mountain View, CA 94042	\$50 00
Landa Gardens Conservancy P. O. Box 12243 San Antonio, TX 78212-1419	\$100.00
Latin American Youth Center 1419 Columbia Road NW Washington, DC 20009	\$250 00
Marion Koogler McNay Art Museum P. O. Box 6069 San Antonio, TX 78209	\$750.00
Meals on Wheels & More 3227 East 5th Street Austin, TX 78702	\$500.00
Northampton Community Music Center, Inc. 139 South Street Northampton, MA 01060-4017	\$250 00
Parkside Community School 1701 Toomey Road Austin, TX 78704	\$4,000.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
People's Community Clinic Development Department 2909 North IH 35 Austin, TX 78722-2304	\$1,000.00
Planned Parenthood Gulf Coast 4600 Gulf Coast Houston, TX 77023	\$1,000 00
Planned Parenthood of Metropolitan Washington Washington 1108 16th Street NW Washington, DC 20036	\$250.00
Planned Parenthood of Metropolitan Washington Washington 1108 16th Street NW Washington, DC 20036	\$1,500.00
Princeton University Princeton, NJ 08544-0140	\$1,500.00
The Rosedale Conservancy 3518 Newark Street NW Washington, DC 20016	\$2,000 00
Rosenberg Library 2310 Sealy Galveston, TX 77550	\$1,000.00
Salvation Army/Greeley 1119 6th Street Greeley, CO 80631	\$2,500 00
San Antonio Academy 117 E. French San Antonio, TX 78212-5802	\$2,500.00
San Antonio Food Bank 5200 Old Highway 9 WEST San Antonio, TX 78227	\$500.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
San Antonio Zoological Society 3903 N St. Mary's Street San Antonio, TX 78212	\$250 00
San Marcos River Foundation P. O. Box 1393 San Marcos, TX 78667-1393	\$250.00
Satori, Inc. 2503 Sealy Galveston, TX 77550	\$750 00
Servicenet, Inc. 129 King Street Northampton, MA 01060-3258	\$1,000.00
Smithsonian Institution Archives of American Art P. O Box 17174 Baltimore, MD 21203-7174	\$100 00
Society for the Advancement of Judaism Judaism 15 West 86th Street New York, NY 10024	\$750.00
St. Mary's Hall 9401 Starcrest San Antonio, TX 78217	\$3,500 00
St. Vincent's House 2817 Postoffice Galveston, Tx 77550	\$1,512 75
Sunshine Center, Inc. 1726 21st Street Galveston, TX 77550	\$1,500.00
Temple B'nai Israel 3006 Avenue O Galveston, TX 77550	\$375.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Trustees of the Forbes Library 20 West Street Northampton, MA 01060	\$500.00
United Way of Weld County 814 9th Greeley, CO 80631	\$3,000.00
University of Texas at Austin - Jack S. Blanton Mu P. O. Box 358 San Antonio, TX 78282-3900	\$250.00
The University of Texas Health Science Center Office of Development MC 7835 7703 Floyd Curl Drive San Antonio, TX 78229-3900	\$1,000.00
Upper Valley Humane Society 300 Old Route 10 Enfield, NH 03746	\$1,000.00
Utica College 1600 Burrstone Road Utica, NY 13502	\$1,000.00
Washington Area Women's Foundation 1411 K Street N, Suite 800 Washington, DC 20005	\$500.00
Washington Hospital Center Foundation 110 Irving Street NW - Room EB-1001 Washington, DC 20010	\$2,500.00
Weld Food Bank 1108 H Street Greeley, CO 80631-9100	\$1,500.00
WFCR Foundation Hampshire House University of MASS 131 County Circle Amherst, MA 01003	\$500.00

SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130

Recipient	Amount
Whitman Walker Clinic 1701 14th NW Washington, DC 20009	\$250.00
Whole Children, Inc. 8 River Drive Hadley, MA 01035	\$250.00
Woodley House, Inc. 1406 N. Capitol Street N Washington, DC	\$2,000 00
Woodley House, Inc. 1406 N Capitol Street N Washington, DC	\$1,000.00
World Bicycle Relief 1333 North Kingsbury, 4th Floor Chicago, IL 60622	\$2,000 00
Young Men's Christian Association of Greater Hou 3920 Avenue L Galveston, TX 77550	\$1,500 00
Zero to Three P. O. Box 79768 Baltimore, MD 21298-8664	\$250.00
Center for Holocaust and Humanity Education 8401 Montgomery Road Cincinnati, OH 45236	\$500.00
The Bellaire Men's Club P. O Box 1231 Bellaire, TX 77402	\$250.00
St. Vincent's House 2817 Postoffice Galveston, Tx 77550	\$225 00

**SCHEDULE 1
2011 GRANTS AND MATCHING GIFTS
HARRIS & ELIZA KEMPNER FUND
2011 FORM 990-PF
76-0680130**

<u>Recipient</u>	<u>Amount</u>
University of Central Oklahoma Foundation 100 North University Drive Edmonton, OK 73034	\$250.00
Less: VOID Items From Prior Year	<u>(\$1,000.00)</u>
SUB-TOTAL MATCHING GIFTS	<u>\$296,197 19</u>
GRAND TOTAL GRANTS AND MATCHING GIFTS - 2011	<u><u>\$ 1,663,973 36</u></u>

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
2700	ABBOTT LABS	P	01/27/11	10/19/11	144,846.96	125,286.99	19,559.97
2050	ABBOTT LABS	P	01/27/11	12/06/11	111,103.80	95,125.31	15,978.49
600	ABBOTT LABS	P	08/01/11	12/06/11	32,518.18	30,125.25	2,392.93
1500	BP PLC SPON ADR	P	05/03/10	01/13/11	72,338.52	72,605.90	(267.38)
950	LILLY ELI & CO	P	05/25/10	01/27/11	33,576.60	31,071.07	2,505.53
	ROUNDING AND ADJUSTMENT	P			-	8.77	(8.77)
	FROM KEMPNER SECURITIES LP K1	P			3,165.00	-	3,165.00
550	ABBOTT LABS	P	01/27/11	12/06/11	29,805.44	25,538.81	4,266.63
700	ABBOTT LABS	P	01/27/11	10/19/11	37,549.02	32,503.94	5,045.08
175	ABBOTT LABS	P	08/01/11	12/06/11	9,483.55	8,790.25	693.30
400	BP PLC SPON ADR	P	05/03/10	01/13/11	19,286.42	19,385.00	(98.58)
250	LILLY ELI & CO	P	05/25/10	01/27/11	8,832.12	8,177.56	654.56
55	ABBOTT LABS	P	01/27/11	12/06/11	2,977.09	2,557.61	419.48
70	ABBOTT LABS	P	01/27/11	10/19/11	3,750.17	3,255.14	495.03
20	ABBOTT LABS	P	08/02/11	12/06/11	1,082.58	1,009.25	73.33
40	BP PLC SPON ADR	P	05/03/10	01/13/11	1,923.91	1,932.40	(8.49)
25	LILLY ELI & CO	P	05/25/10	01/27/11	878.62	818.94	59.68
35	ABBOTT LABS	P	01/27/11	10/19/11	1,872.46	1,626.83	245.63
30	ABBOTT LABS	P	01/27/11	12/06/11	1,625.63	1,394.42	231.21
10	ABBOTT LABS	P	08/02/11	12/06/11	541.87	507.25	34.62
20	BP PLC SPON ADR	P	05/03/10	01/13/11	959.33	972.70	(13.37)
15	LILLY ELI & CO	P	05/25/10	01/27/11	524.98	492.01	32.97
	FROM KEMPNER SECURITIES LP K1	P			9.00	-	9.06
32	ACME PACKET INC	P	02/23/11	08/19/11	1,303.09	2,176.23	(873.14)
46	ACME PACKET INC	P	02/23/11	08/16/11	2,393.52	3,128.34	(734.82)
6	ACME PACKET INC	P	02/23/11	06/27/11	405.40	408.04	(2.64)
3	ACME PACKET INC	P	02/23/11	05/24/11	217.63	204.02	13.61
3	ACME PACKET INC	P	02/23/11	04/25/11	236.75	204.02	32.73
2	ACME PACKET INC	P	02/23/11	03/28/11	141.03	136.01	5.02
91	ACME PACKET INC	P	02/24/11	08/19/11	3,705.68	6,574.00	(2,868.32)
32	ACME PACKET INC	P	03/14/11	08/19/11	1,303.09	2,310.00	(1,006.91)
75	ACME PACKET INC	P	03/23/11	08/19/11	3,054.13	4,944.06	(1,889.93)
1	ACME PACKET INC	P	04/27/11	08/19/11	40.72	83.85	(43.13)
131	ACME PACKET INC	P	04/27/11	08/25/11	5,492.39	10,983.85	(5,491.46)
5	AGILENT TECHNOLOGIES INC	P	03/14/11	03/28/11	220.44	222.66	(2.22)
5	AGILENT TECHNOLOGIES INC	P	03/14/11	05/24/11	243.50	222.66	20.84
6	AGILENT TECHNOLOGIES INC	P	03/14/11	04/25/11	288.12	267.20	20.92
116	AGILENT TECHNOLOGIES INC	P	03/14/11	08/19/11	3,661.79	5,165.77	(1,503.98)
9	AGILENT TECHNOLOGIES INC	P	03/14/11	06/27/11	440.73	400.79	39.94
59	AGILENT TECHNOLOGIES INC	P	03/17/11	08/19/11	1,862.46	2,532.90	(670.44)
112	AGILENT TECHNOLOGIES INC	P	03/17/11	08/19/11	3,535.53	4,746.77	(1,211.24)
95	AGILENT TECHNOLOGIES INC	P	03/17/11	08/23/11	3,043.86	4,078.40	(1,034.54)
57	AGILENT TECHNOLOGIES INC	P	03/23/11	08/23/11	1,826.32	2,488.95	(662.63)
122	AGILENT TECHNOLOGIES INC	P	03/23/11	08/24/11	3,992.06	5,327.23	(1,335.17)

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
226	AGILENT TECHNOLOGIES INC	P	04/13/11	08/24/11	7,395.12	10,436.41	(3,041.29)
38	AGILENT TECHNOLOGIES INC	P	04/13/11	10/07/11	1,185.54	1,754.79	(569.25)
171	AGILENT TECHNOLOGIES INC	P	04/19/11	10/07/11	5,334.92	7,969.04	(2,634.12)
90	AGILENT TECHNOLOGIES INC	P	04/20/11	10/07/11	2,807.86	4,357.32	(1,549.46)
210	AGILENT TECHNOLOGIES INC	P	06/07/11	10/07/11	6,551.66	10,059.92	(3,508.26)
5	ALLERGAN INC	P	08/24/11	10/25/11	435.99	385.20	50.79
24	AMAZON.COM INC	P	03/01/10	02/28/11	4,156.26	2,975.19	1,181.07
2	AMAZON.COM INC	P	12/20/10	12/20/11	362.60	365.90	(3.30)
92	AMAZON.COM INC	P	08/30/11	12/21/11	16,119.65	19,478.76	(3,359.11)
2	AMER TOWER CORP	P	02/03/10	01/10/11	101.01	85.62	15.39
220	AMER TOWER CORP	P	02/03/10	01/12/11	11,138.90	9,417.96	1,720.94
33	AMER TOWER CORP	P	12/20/10	01/12/11	1,670.83	1,684.98	(14.15)
61	ANADARKO PETROLEUM CORP	P	08/05/10	05/20/11	4,594.90	3,398.97	1,195.93
8	ANADARKO PETROLEUM CORP	P	08/05/10	04/25/11	630.38	445.77	184.61
11	ANADARKO PETROLEUM CORP	P	08/05/10	03/28/11	921.34	612.93	308.41
5	ANADARKO PETROLEUM CORP	P	08/05/10	01/24/11	385.64	278.60	107.04
68	ANADARKO PETROLEUM CORP	P	08/09/10	05/20/11	5,122.18	3,786.54	1,335.64
89	ANADARKO PETROLEUM CORP	P	08/09/10	05/25/11	6,892.75	4,955.91	1,936.84
4	ANADARKO PETROLEUM CORP	P	08/09/10	05/24/11	303.61	222.74	80.87
107	ANADARKO PETROLEUM CORP	P	08/18/10	05/31/11	8,463.68	5,581.95	2,881.73
16	ANADARKO PETROLEUM CORP	P	08/18/10	05/25/11	1,239.15	834.68	404.47
119	ANADARKO PETROLEUM CORP	P	08/18/10	06/02/11	9,118.96	6,207.97	2,910.99
28	ANADARKO PETROLEUM CORP	P	09/07/10	06/02/11	2,145.64	1,412.50	733.14
155	ANADARKO PETROLEUM CORP	P	09/07/10	06/17/11	10,933.83	7,819.18	3,114.65
76	ANADARKO PETROLEUM CORP	P	09/07/10	06/07/11	5,675.40	3,833.92	1,841.48
96	ANADARKO PETROLEUM CORP	P	09/07/10	06/27/11	6,924.42	4,842.84	2,081.58
98	ANADARKO PETROLEUM CORP	P	10/08/10	06/27/11	7,068.68	5,648.21	1,420.47
66	ANADARKO PETROLEUM CORP	P	12/20/10	06/27/11	4,760.54	4,393.62	366.92
37	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	03/02/11	2,950.90	2,481.19	469.71
88	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	02/28/11	6,992.42	5,901.22	1,091.20
27	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	02/24/11	2,078.36	1,810.60	267.76
57	BHP BILLITON PLC NEW SPON ADR	P	03/08/10	03/02/11	4,545.99	3,850.12	695.87
37	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	03/14/11	2,746.77	1,947.94	798.83
69	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/14/11	5,718.05	3,632.65	2,085.40
114	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/13/11	9,380.04	6,001.77	3,378.27
95	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/15/11	7,785.99	5,001.47	2,784.52
69	BHP BILLITON PLC NEW SPON ADR	P	12/20/10	04/15/11	5,655.09	5,390.97	264.12
2	BIOGEN IDEC INC	P	06/22/11	10/25/11	214.03	199.85	14.18
118	BROADCOM CORP CL A	P	10/01/10	04/04/11	4,492.53	4,170.52	322.01
10	BROADCOM CORP CL A	P	10/01/10	03/28/11	407.30	353.43	53.87
9	BROADCOM CORP CL A	P	10/01/10	01/24/11	409.97	318.09	91.88
118	BROADCOM CORP CL A	P	10/13/10	04/04/11	4,492.53	4,423.78	68.75
8	BROADCOM CORP CL A	P	10/13/10	04/25/11	321.22	299.92	21.30
399	BROADCOM CORP CL A	P	10/13/10	04/27/11	14,252.05	14,958.39	(706.34)

SCHEDULE D-1

SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross	Cost	Gain	
					Sales Price	or other Basis	or (Loss)	
67	BROADCOM CORP CL A	P	10/19/10	04/27/11	2,393.20	2,460.24	(67.04)	
277	BROADCOM CORP CL A	P	10/19/10	04/28/11	9,535.26	10,171.44	(636.18)	
122	BROADCOM CORP CL A	P	11/11/10	04/28/11	4,199.65	5,067.22	(867.57)	
244	BROADCOM CORP CL A	P	12/15/10	04/28/11	8,399.29	10,764.45	(2,365.16)	
76	BROADCOM CORP CL A	P	12/20/10	04/28/11	2,616.17	3,338.68	(722.51)	
62	BROADCOM CORP CL A	P	02/02/11	04/28/11	2,134.25	2,695.89	(561.64)	
224	BROADCOM CORP CL A	P	02/17/11	04/28/11	7,710.83	9,481.23	(1,770.40)	
59	BROADCOM CORP CL A	P	02/22/11	04/28/11	2,030.98	2,405.81	(374.83)	
8	CHECK POINT SOFTWARE	TECH LTD ILS	P	08/12/11	10/25/11	464.02	440.16	23.86
450	CITIGROUP INC	P	04/20/11	06/08/11	16,975.43	20,475.00	(3,499.57)	
393	CITIGROUP INC	P	04/20/11	06/08/11	14,586.73	17,881.50	(3,294.77)	
100	CITIGROUP INC	P	04/20/11	06/08/11	3,711.64	4,570.10	(858.46)	
288	CITIGROUP INC	P	04/20/11	05/26/11	11,600.78	13,161.89	(1,561.11)	
456	CITIGROUP INC	P	04/20/11	05/25/11	18,519.44	20,839.66	(2,320.22)	
12	CITIGROUP INC	P	04/20/11	05/24/11	481.91	548.41	(66.50)	
0.1	CITIGROUP INC	P	04/20/11	05/09/11	4.45	4.55	(0.10)	
94	CITIGROUP INC	P	04/20/11	04/25/11	426.75	427.70	(0.95)	
9	COACH INC	P	06/02/11	06/27/11	533.86	533.86	-	
101	COACH INC	P	06/02/11	08/22/11	4,784.23	6,288.41	(1,504.18)	
11	COACH INC	P	06/02/11	08/22/11	521.05	680.94	(159.89)	
83	COACH INC	P	06/02/11	08/19/11	3,915.23	5,138.04	(1,222.81)	
46	COACH INC	P	06/03/11	08/22/11	2,178.95	2,807.36	(628.41)	
8	COACH INC	P	06/03/11	10/25/11	493.63	488.24	5.39	
3	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	04/25/11	205.31	198.66	6.65
95	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	08/22/11	4,779.52	6,293.71	(1,514.19)
91	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	08/22/11	4,578.27	6,026.04	(1,447.77)
86	CONTINENTAL RESOURCES	INC (OKLA)	P	03/22/11	08/31/11	4,779.14	5,967.40	(1,188.26)
4	CONTINENTAL RESOURCES	INC (OKLA)	P	03/22/11	08/22/11	201.24	277.55	(76.31)
15	CONTINENTAL RESOURCES	INC (OKLA)	P	05/27/11	08/31/11	833.57	992.62	(159.05)
101	CONTINENTAL RESOURCES	INC (OKLA)	P	05/27/11	09/01/11	5,553.53	6,683.63	(1,130.10)
3	CUMMINS INC	P	08/12/10	01/24/11	317.81	234.72	83.09	
2	CUMMINS INC	P	08/12/10	03/28/11	209.73	156.48	53.25	
2	CUMMINS INC	P	08/12/10	02/22/11	206.79	156.48	50.31	
1	CUMMINS INC	P	08/12/10	06/27/11	96.99	78.24	18.75	
1	CUMMINS INC	P	08/12/10	05/24/11	103.11	78.24	24.87	
3	CUMMINS INC	P	08/12/10	04/25/11	328.01	234.72	93.29	
6	DANAHER CORP	P	05/03/10	04/25/11	314.93	256.51	58.42	
5	DANAHER CORP	P	05/03/10	03/28/11	258.49	213.76	44.73	
6	DANAHER CORP	P	05/03/10	02/22/11	303.35	256.51	46.84	
6	DANAHER CORP	P	05/03/10	01/24/11	283.56	256.51	27.05	
395	DOW CHEMICAL	P	09/23/10	09/08/11	10,548.15	10,666.62	(118.47)	
19	DOW CHEMICAL	P	09/23/10	09/06/11	492.11	513.08	(20.97)	
67	DOW CHEMICAL	P	10/13/10	09/08/11	1,789.18	2,001.95	(212.77)	
4	EATON CORP	P	09/08/10	02/22/11	431.03	308.14	122.89	

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
2	EATON CORP	P	09/08/10	01/24/11	205.40	154.07	51.33
2	EATON CORP	P	09/08/10	06/27/11	96.15	77.04	19.11
6	EATON CORP	P	09/08/10	05/24/11	299.23	231.11	68.12
5	EATON CORP	P	09/08/10	04/25/11	266.79	192.59	74.20
7	EATON CORP	P	09/08/10	03/28/11	379.18	269.63	109.55
14	EATON CORP	P	09/08/10	08/19/11	534.83	539.25	(4.42)
215	EATON CORP	P	09/13/10	08/19/11	8,213.46	8,642.72	(429.26)
18	EATON CORP	P	09/13/10	08/24/11	719.04	723.58	(4.54)
131	EATON CORP	P	09/13/10	08/23/11	5,123.65	5,266.03	(142.38)
92	EATON CORP	P	09/13/10	08/31/11	3,942.73	3,698.28	244.45
30	EATON CORP	P	12/20/10	08/31/11	1,285.68	1,514.85	(229.17)
54	EATON CORP	P	01/27/11	09/01/11	2,283.88	2,844.04	(560.16)
108	EATON CORP	P	01/27/11	08/31/11	4,628.42	5,688.06	(1,059.64)
35	EATON CORP	P	01/27/11	11/07/11	1,570.57	1,570.57	-
7	EATON CORP	P	01/27/11	10/25/11	302.72	302.72	-
21	EATON CORP	P	03/14/11	11/07/11	942.35	942.35	-
107	EATON CORP	P	03/18/11	11/07/11	4,801.47	4,801.47	-
103	EATON CORP	P	03/21/11	11/17/11	4,498.41	5,462.82	(964.41)
11	EATON CORP	P	03/21/11	11/07/11	493.61	493.61	-
22	EATON CORP	P	04/20/11	11/17/11	960.82	1,174.51	(213.69)
27	EOG RESOURCES INC	P	12/20/10	07/01/11	2,780.17	2,462.40	317.77
3	FEDEX CORP	P	03/29/10	02/02/11	274.55	276.85	(2.30)
56	FEDEX CORP	P	03/29/10	02/01/11	5,198.87	5,167.86	31.01
2	FEDEX CORP	P	03/29/10	01/24/11	188.45	184.57	3.88
60	FEDEX CORP	P	03/31/10	02/02/11	5,491.09	5,568.83	(77.74)
108	FEDEX CORP	P	03/31/10	03/16/11	9,287.84	10,023.89	(736.05)
51	FEDEX CORP	P	03/31/10	03/14/11	4,465.16	4,733.50	(268.34)
18	FEDEX CORP	P	04/14/10	03/16/11	1,547.97	1,691.92	(143.95)
18	FEDEX CORP	P	12/20/10	03/16/11	1,547.97	1,689.48	(141.51)
1,214.00	FORD MOTOR CO COM NEW	P	11/09/10	01/31/11	19,131.90	19,542.24	(410.34)
11	FORD MOTOR CO COM NEW	P	11/09/10	01/24/11	196.91	177.07	19.84
471	FORD MOTOR CO COM NEW	P	11/18/10	01/31/11	7,422.67	7,703.11	(280.44)
100	FORD MOTOR CO COM NEW	P	12/20/10	01/31/11	1,575.94	1,688.92	(112.98)
148	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	06/17/11	7,088.29	7,707.08	(618.79)
4	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	05/24/11	194.23	208.30	(14.07)
5	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	04/25/11	274.54	260.37	14.17
2	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	03/28/11	110.39	104.15	6.24
3	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	02/22/11	151.58	156.22	(4.64)
2	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	01/24/11	216.27	208.30	7.97
4	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/26/10	06/17/11	191.58	196.40	(4.82)
113	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/01/10	06/17/11	5,412.00	5,933.80	(521.80)
263	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/01/10	06/27/11	12,750.20	13,810.52	(1,060.32)
32	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/20/10	06/27/11	1,551.36	1,837.54	(286.18)
206	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/14/11	06/27/11	9,986.85	9,967.68	19.17

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
34	F5 NETWORKS INC	P	12/01/10	03/14/11	3,703.05	4,741.73	(1,038.68)
1	F5 NETWORKS INC	P	12/01/10	02/22/11	115.66	139.46	(23.80)
3	F5 NETWORKS INC	P	12/01/10	01/24/11	317.27	418.39	(101.12)
2	F5 NETWORKS INC	P	12/20/10	03/14/11	217.83	272.72	(54.89)
5	F5 NETWORKS INC	P	12/22/10	03/14/11	544.57	677.40	(132.83)
43	F5 NETWORKS INC	P	12/22/10	04/28/11	4,421.75	5,825.67	(1,403.92)
3	F5 NETWORKS INC	P	12/22/10	04/25/11	317.30	406.44	(89.14)
1	F5 NETWORKS INC	P	12/22/10	03/28/11	94.90	135.48	(40.58)
78	F5 NETWORKS INC	P	01/20/11	04/28/11	8,020.86	8,020.86	-
1	F5 NETWORKS INC	P	01/20/11	06/27/11	108.51	110.86	(2.35)
1	F5 NETWORKS INC	P	01/20/11	05/24/11	103.09	110.86	(7.77)
4	F5 NETWORKS INC	P	01/20/11	04/29/11	408.19	408.19	-
100	F5 NETWORKS INC	P	02/07/11	07/22/11	10,138.63	12,747.12	(2,608.49)
104	F5 NETWORKS INC	P	04/06/11	07/22/11	10,544.18	10,494.22	49.96
54	GENL DYNAMICS CORP	P	12/20/10	07/19/11	3,762.97	3,779.46	(16.49)
3	GOLDMAN SACHS GROUP INC	P	07/14/10	03/28/11	473.41	413.97	59.44
2	GOLDMAN SACHS GROUP INC	P	07/14/10	02/22/11	325.97	275.98	49.99
3	GOLDMAN SACHS GROUP INC	P	07/14/10	01/24/11	499.36	413.97	85.39
22	GOLDMAN SACHS GROUP INC	P	07/14/10	05/26/11	2,986.88	3,035.76	(48.88)
59	GOLDMAN SACHS GROUP INC	P	07/14/10	05/25/11	8,032.54	8,141.35	(108.81)
3	GOLDMAN SACHS GROUP INC	P	07/14/10	05/24/11	406.86	413.97	(7.11)
2	GOLDMAN SACHS GROUP INC	P	07/14/10	04/25/11	303.51	275.98	27.53
88	GOLDMAN SACHS GROUP INC	P	10/27/10	06/08/11	11,617.19	14,014.07	(2,396.88)
50	GOLDMAN SACHS GROUP INC	P	10/27/10	06/07/11	6,707.32	7,962.54	(1,255.22)
66	GOLDMAN SACHS GROUP INC	P	10/27/10	05/26/11	8,960.65	10,510.55	(1,549.90)
51	GOLDMAN SACHS GROUP INC	P	10/28/10	06/08/11	6,732.69	8,310.71	(1,578.02)
67	GOLDMAN SACHS GROUP INC	P	10/28/10	06/08/11	8,961.38	10,917.99	(1,956.61)
24	GOLDMAN SACHS GROUP INC	P	12/20/10	06/08/11	3,210.04	3,994.90	(784.86)
2	GOOGLE INC CL A	P	07/15/11	10/25/11	1,167.79	1,167.79	-
19	GOOGLE INC CL A	P	07/15/11	08/19/11	9,592.61	9,592.61	-
93	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	11/23/11	4,683.97	6,148.06	(1,464.09)
87	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	11/22/11	4,386.35	5,751.42	(1,365.07)
7	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	10/25/11	454.08	462.76	(8.68)
9	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	06/27/11	765.88	594.97	170.91
1	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	05/24/11	79.74	66.11	13.63
33	GREEN MTN COFFEE ROASTERS INC	P	06/07/11	11/23/11	1,662.05	2,524.28	(862.23)
67	GREEN MTN COFFEE ROASTERS INC	P	06/08/11	11/23/11	3,374.47	5,095.29	(1,720.82)
62	GREEN MTN COFFEE ROASTERS INC	P	06/08/11	11/28/11	3,190.55	4,715.04	(1,524.49)
9	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	02/22/11	416.96	335.47	81.49
3	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	01/24/11	118.43	111.82	6.61
124	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	06/17/11	5,709.25	4,622.06	1,087.19
8	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	05/24/11	381.59	298.20	83.39
9	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	04/25/11	454.40	335.47	118.93
9	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	03/28/11	434.96	335.47	99.49

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
201	HALLIBURTON CO	(HOLDING COMPANY)	P	11/23/10	06/20/11	9,300.95	7,326.31	1,974.64
104	HALLIBURTON CO	(HOLDING COMPANY)	P	11/23/10	06/17/11	4,788.41	3,790.73	997.68
28	HALLIBURTON CO	(HOLDING COMPANY)	P	12/20/10	06/20/11	1,295.66	1,130.30	165.36
3	HALLIBURTON CO	(HOLDING COMPANY)	P	02/02/11	06/20/11	138.82	139.09	(0.27)
4	HALLIBURTON CO	(HOLDING COMPANY)	P	02/02/11	10/25/11	140.52	140.52	-
3	LAUDER ESTEE COS CL A		P	08/12/10	01/24/11	250.29	173.05	77.24
3	LAUDER ESTEE COS CL A		P	08/12/10	03/28/11	288.34	173.05	115.29
2	LAUDER ESTEE COS CL A		P	08/12/10	02/22/11	182.24	115.37	66.87
8	LAUDER ESTEE COS CL A		P	08/12/10	06/27/11	808.30	461.47	346.83
2	LAUDER ESTEE COS CL A		P	08/12/10	05/24/11	198.07	115.37	82.70
3	LAUDER ESTEE COS CL A		P	08/12/10	04/25/11	281.66	173.05	108.61
1	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	01/24/11	61.63	57.04	4.59
2	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	03/28/11	117.31	114.08	3.23
2	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	02/22/11	120.19	114.08	6.11
8	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	06/27/11	527.18	456.32	70.86
1	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	05/24/11	66.33	57.04	9.29
2	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	04/25/11	122.71	114.08	8.63
108	MONSANTO CO NEW		P	07/02/10	01/06/11	7,668.46	5,018.71	2,649.75
66	MONSANTO CO NEW		P	07/02/10	01/06/11	4,675.45	3,066.99	1,608.46
9	MONSANTO CO NEW		P	09/23/10	01/24/11	640.35	484.57	155.78
90	MONSANTO CO NEW		P	09/23/10	01/06/11	6,390.39	4,845.74	1,544.65
12	MONSANTO CO NEW		P	09/23/10	06/27/11	791.67	646.10	145.57
6	MONSANTO CO NEW		P	09/23/10	05/24/11	409.13	323.05	86.08
75	MONSANTO CO NEW		P	09/23/10	05/23/11	4,954.38	4,038.11	916.27
7	MONSANTO CO NEW		P	09/23/10	04/25/11	462.20	376.89	85.31
7	MONSANTO CO NEW		P	09/23/10	03/28/11	497.13	376.89	120.24
6	MONSANTO CO NEW		P	09/23/10	02/22/11	429.05	323.05	106.00
5	NATL-OILWELLVARCO INC		P	07/21/11	10/25/11	339.79	339.79	-
176	NORDSTROM INC		P	02/02/10	02/01/11	7,263.34	6,354.18	909.16
4	NORDSTROM INC		P	02/02/10	01/24/11	168.47	144.41	24.06
3	NORDSTROM INC		P	04/30/10	02/22/11	136.38	124.17	12.21
29	NORDSTROM INC		P	04/30/10	02/01/11	1,196.80	1,200.35	(3.55)
98	NORDSTROM INC		P	04/30/10	03/17/11	4,099.31	4,056.37	42.94
80	NORDSTROM INC		P	04/30/10	03/14/11	3,439.64	3,311.32	128.32
104	NORDSTROM INC		P	06/25/10	03/17/11	4,431.65	3,696.12	735.53
193	NORDSTROM INC		P	06/25/10	03/17/11	8,073.13	6,859.14	1,213.99
41	NORDSTROM INC		P	12/20/10	03/17/11	1,747.09	1,750.62	(3.53)
5	O REILLY AUTOMOTIVE INC		P	06/17/11	06/27/11	322.54	310.00	12.54
6	OCCIDENTAL PETROLEUM CRP		P	03/14/11	10/25/11	511.16	511.16	-
8	OCCIDENTAL PETROLEUM CRP		P	03/14/11	06/27/11	790.83	790.77	0.06
2	OCCIDENTAL PETROLEUM CRP		P	03/14/11	03/28/11	204.18	197.69	6.49
3	OCCIDENTAL PETROLEUM CRP		P	03/14/11	05/24/11	307.27	296.54	10.73
2	OCCIDENTAL PETROLEUM CRP		P	03/14/11	04/25/11	200.53	197.69	2.84
16	ORACLE CORP		P	08/06/10	01/24/11	517.65	383.76	133.89

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
327	ORACLE CORP	P	08/06/10	06/07/11	10,442.67	7,843.03	2,599.64
16	ORACLE CORP	P	08/06/10	05/24/11	530.76	383.76	147.00
19	ORACLE CORP	P	08/06/10	04/25/11	660.23	455.71	204.52
17	ORACLE CORP	P	08/06/10	03/28/11	554.02	407.74	146.28
19	ORACLE CORP	P	08/06/10	02/22/11	621.16	455.71	165.45
17	ORACLE CORP	P	10/15/10	06/07/11	542.89	487.41	55.48
19	ORACLE CORP	P	10/15/10	06/27/11	601.93	544.75	57.18
215	ORACLE CORP	P	10/15/10	06/17/11	6,803.66	6,164.29	639.37
268	ORACLE CORP	P	10/15/10	08/19/11	6,738.92	7,683.86	(944.94)
212	ORACLE CORP	P	10/15/10	08/15/11	5,767.81	6,078.27	(310.46)
167	ORACLE CORP	P	10/15/10	08/12/11	4,561.15	4,788.07	(226.92)
651	ORACLE CORP	P	10/19/10	08/19/11	16,369.54	18,963.63	(2,594.09)
29	ORACLE CORP	P	11/18/10	10/25/11	943.76	823.23	120.53
89	ORACLE CORP	P	11/18/10	08/19/11	2,237.92	2,526.48	(288.56)
228	ORACLE CORP	P	01/31/11	12/21/11	5,787.69	7,310.30	(1,522.61)
234	ORACLE CORP	P	06/28/11	12/21/11	5,939.99	7,546.64	(1,606.65)
414	ORACLE CORP	P	06/29/11	12/21/11	10,509.23	13,482.74	(2,973.51)
6	PNC FINANCIAL SERVICES GROUP	P	03/25/10	02/22/11	371.75	369.98	1.77
6	PNC FINANCIAL SERVICES GROUP	P	03/25/10	01/24/11	362.83	369.98	(7.15)
21	PNC FINANCIAL SERVICES GROUP	P	12/20/10	08/19/11	930.87	1,238.37	(307.50)
27	PNC FINANCIAL SERVICES GROUP	P	12/20/10	08/19/11	1,170.66	1,592.19	(421.53)
187	PNC FINANCIAL SERVICES GROUP	P	12/28/10	08/19/11	8,289.17	11,358.36	(3,069.19)
1	PRECISION CASTPARTS CORP	P	07/22/10	01/24/11	142.01	116.17	25.84
2	PRECISION CASTPARTS CORP	P	07/22/10	03/28/11	292.90	232.34	60.56
2	PRECISION CASTPARTS CORP	P	07/22/10	02/22/11	287.92	232.34	55.58
1	PRECISION CASTPARTS CORP	P	07/22/10	05/24/11	151.07	116.17	34.90
2	PRECISION CASTPARTS CORP	P	07/22/10	04/25/11	297.07	232.34	64.73
27	PRECISION CASTPARTS CORP	P	10/22/10	08/31/11	4,450.77	3,783.55	667.22
1	PRICELINE.COM INC NEW	P	04/13/10	01/24/11	422.98	257.07	165.91
1	PRICELINE.COM INC NEW	P	04/13/10	03/28/11	489.31	257.07	232.24
` 1	PRICELINE.COM INC NEW	P	04/13/10	02/22/11	433.42	257.07	176.35
175	RED HAT INC	P	07/15/11	08/22/11	5,682.80	5,682.80	-
124	RED HAT INC	P	07/18/11	08/22/11	4,026.67	4,026.67	-
216	RED HAT INC	P	08/16/11	08/23/11	7,206.29	7,206.29	-
2	RED HAT INC	P	08/16/11	08/22/11	64.95	64.95	-
12	RED HAT INC	P	08/30/11	12/21/11	478.39	628.48	(150.09)
233	RED HAT INC	P	08/30/11	12/20/11	9,796.85	11,836.31	(2,039.46)
8	RED HAT INC	P	08/30/11	10/25/11	372.41	372.41	-
339	RED HAT INC	P	08/31/11	12/21/11	13,514.66	14,023.49	(508.83)
171	RED HAT INC	P	09/28/11	12/21/11	6,817.12	7,480.51	(663.39)
3	ROCKWELL AUTOMATION INC NEW	P	02/22/11	03/28/11	278.22	259.69	18.53
3	ROCKWELL AUTOMATION INC NEW	P	02/22/11	05/24/11	244.13	244.13	-
3	ROCKWELL AUTOMATION INC NEW	P	02/22/11	04/25/11	284.29	259.69	24.60
59	ROCKWELL AUTOMATION INC NEW	P	02/22/11	08/19/11	3,184.69	5,107.23	(1,922.54)

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
9	ROCKWELL AUTOMATION INC	NEW	P	02/22/11	06/27/11	728.89	728.89	-
56	ROCKWELL AUTOMATION INC	NEW	P	02/23/11	08/31/11	3,594.08	4,784.17	(1,190.09)
93	ROCKWELL AUTOMATION INC	NEW	P	02/23/11	08/19/11	5,019.93	7,945.15	(2,925.22)
41	ROCKWELL AUTOMATION INC	NEW	P	02/24/11	08/31/11	2,631.37	3,574.61	(943.24)
26	ROCKWELL AUTOMATION INC	NEW	P	02/25/11	08/31/11	1,668.68	2,298.59	(629.91)
43	ROCKWELL AUTOMATION INC	NEW	P	03/23/11	09/07/11	2,542.08	3,870.05	(1,327.97)
18	ROCKWELL AUTOMATION INC	NEW	P	03/23/11	08/31/11	1,155.24	1,620.02	(464.78)
46	ROCKWELL AUTOMATION INC	NEW	P	04/12/11	09/15/11	2,769.34	4,212.03	(1,442.69)
28	ROCKWELL AUTOMATION INC	NEW	P	04/12/11	09/07/11	1,655.31	2,563.85	(908.54)
84	ROCKWELL AUTOMATION INC	NEW	P	04/20/11	09/15/11	5,057.06	7,941.14	(2,884.08)
2	ROCKWELL AUTOMATION INC	NEW	P	04/27/11	09/15/11	120.41	175.90	(55.49)
93	ROCKWELL AUTOMATION INC	NEW	P	04/27/11	10/07/11	5,584.60	8,179.36	(2,594.76)
52	ROCKWELL AUTOMATION INC	NEW	P	04/27/11	09/16/11	3,126.06	4,573.40	(1,447.34)
102	ROCKWELL AUTOMATION INC	NEW	P	06/21/11	10/07/11	6,125.04	8,395.58	(2,270.54)
2	SALESFORCE.COM INC		P	09/01/10	01/24/11	255.03	232.83	22.20
9	SALESFORCE.COM INC		P	09/01/10	03/17/11	1,102.46	1,047.72	54.74
34	SALESFORCE.COM INC		P	09/01/10	03/14/11	4,248.08	3,958.05	290.03
2	SALESFORCE.COM INC		P	09/01/10	02/22/11	273.19	232.83	40.36
74	SALESFORCE.COM INC		P	09/03/10	03/17/11	9,064.70	8,947.40	117.30
14	SALESFORCE.COM INC		P	09/03/10	08/19/11	1,595.95	1,692.75	(96.80)
3	SALESFORCE.COM INC		P	09/03/10	04/25/11	415.58	362.73	52.85
1	SALESFORCE.COM INC		P	09/03/10	03/28/11	128.44	120.91	7.53
64	SALESFORCE.COM INC		P	09/03/10	03/18/11	7,631.88	7,738.29	(106.41)
14	SALESFORCE.COM INC		P	09/24/10	08/19/11	1,595.95	1,673.98	(78.03)
18	SALESFORCE.COM INC		P	12/20/10	12/19/11	1,906.64	2,421.54	(514.90)
34	SALESFORCE.COM INC		P	12/31/10	12/19/11	3,601.43	4,475.68	(874.25)
34	SALESFORCE.COM INC		P	12/31/10	12/21/11	3,221.13	4,475.68	(1,254.55)
18	SALESFORCE.COM INC		P	06/28/11	12/21/11	1,705.31	2,648.54	(943.23)
96	SOTHEBYS		P	04/20/11	12/15/11	2,669.34	4,725.88	(2,056.54)
13	SOTHEBYS		P	04/21/11	12/19/11	354.17	650.97	(296.80)
24	SOTHEBYS		P	04/21/11	12/15/11	667.33	1,201.78	(534.45)
22	SOTHEBYS		P	05/10/11	12/19/11	599.36	940.77	(341.41)
1	SOTHEBYS		P	05/24/11	12/19/11	27.24	41.07	(13.83)
35	STARBUCKS CORP		P	12/07/10	10/25/11	1,448.76	1,153.71	295.05
6	STARBUCKS CORP		P	12/07/10	06/27/11	227.75	197.78	29.97
8	STARBUCKS CORP		P	12/07/10	05/24/11	291.43	263.70	27.73
9	STARBUCKS CORP		P	12/07/10	04/25/11	332.03	296.67	35.36
10	STARBUCKS CORP		P	12/07/10	03/28/11	368.20	329.63	38.57
5	STARBUCKS CORP		P	12/07/10	02/22/11	164.09	164.82	(0.73)
3	STARBUCKS CORP		P	12/07/10	01/24/11	100.13	98.89	1.24
2	TERADATA CORP NEW (DELA)		P	01/07/11	01/24/11	91.39	88.38	3.01
143	TERADATA CORP NEW (DELA)		P	01/07/11	02/04/11	6,429.14	6,318.90	110.24
139	TERADATA CORP NEW (DELA)		P	01/11/11	02/04/11	6,249.30	6,281.77	(32.47)
97	TIFFANY & CO NEW		P	07/14/10	07/01/11	7,696.73	3,977.14	3,719.59

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
8	TIME WARNER INC NEW	P	02/07/11	03/28/11	280.09	288.88	(8.79)
2	TIME WARNER INC NEW	P	02/07/11	02/22/11	75.27	72.22	3.05
121	TIME WARNER INC NEW	P	02/07/11	08/12/11	3,604.33	4,369.34	(765.01)
13	TIME WARNER INC NEW	P	02/07/11	06/27/11	453.06	453.06	-
218	TIME WARNER INC NEW	P	02/07/11	04/25/11	7,917.91	7,872.02	45.89
43	TIME WARNER INC NEW	P	02/09/11	08/12/11	1,280.88	1,583.62	(302.74)
129	TIME WARNER INC NEW	P	02/09/11	08/19/11	3,665.61	4,750.86	(1,085.25)
188	TIME WARNER INC NEW	P	02/09/11	08/15/11	5,683.94	6,923.74	(1,239.80)
222	TIME WARNER INC NEW	P	02/28/11	08/22/11	6,208.75	8,481.93	(2,273.18)
83	TIME WARNER INC NEW	P	02/28/11	08/19/11	2,358.50	3,171.17	(812.67)
23	TIME WARNER INC NEW	P	03/03/11	10/25/11	783.42	872.48	(89.06)
6	TJX COS INC NEW	P	06/18/10	01/24/11	285.54	278.24	7.30
8	TJX COS INC NEW	P	06/18/10	03/28/11	394.80	370.98	23.82
7	TJX COS INC NEW	P	06/18/10	02/22/11	346.21	324.61	21.60
6	TJX COS INC NEW	P	06/18/10	05/24/11	319.38	278.24	41.14
9	TJX COS INC NEW	P	06/18/10	04/25/11	472.80	417.36	55.44
406	US BANCORP DEL (NEW)	P	04/21/10	04/13/11	10,563.31	11,429.22	(865.91)
66	VISA INC CL A	P	06/30/11	10/05/11	5,507.18	5,599.75	(92.57)
1,099.00	WELLS FARGO & CO NEW	P	07/27/10	04/15/11	32,804.51	31,215.67	1,588.84
107	WELLS FARGO & CO NEW	P	12/20/10	04/15/11	3,193.89	3,237.73	(43.84)
201	WELLS FARGO & CO NEW	P	01/05/11	04/15/11	5,999.73	6,477.18	(477.45)
3	WYNN RESORTS LTD	P	07/14/10	04/25/11	439.88	247.69	192.19
2	WYNN RESORTS LTD	P	07/14/10	03/28/11	250.85	165.13	85.72
1	WYNN RESORTS LTD	P	07/14/10	02/22/11	123.05	82.56	40.49
2	WYNN RESORTS LTD	P	07/14/10	01/24/11	230.37	165.13	65.24
1	YOUKU INC SPON ADR	P	05/20/11	05/24/11	43.62	43.62	-
4	YOUKU INC SPON ADR	P	05/20/11	06/27/11	110.19	110.19	-
4	YUM! BRANDS INC	P	09/03/10	01/24/11	192.91	177.19	15.72
4	YUM! BRANDS INC	P	09/03/10	05/24/11	223.39	177.19	46.20
4	YUM! BRANDS INC	P	09/03/10	04/25/11	212.64	177.19	35.45
4	YUM! BRANDS INC	P	09/03/10	03/28/11	206.80	177.19	29.61
5	YUM! BRANDS INC	P	09/03/10	02/22/11	248.69	221.49	27.20
4	YUM! BRANDS INC	P	09/03/10	06/27/11	217.19	177.19	40.00
	WASH SALES ADJUSTMENT	P			-	4,155.09	(4,155.09)
3	ACCENTURE PLC IRELAND CL A	P	09/15/11	10/25/11	174.85	159.60	15.25
10	ACME PACKET INC	P	02/23/11	08/19/11	407.22	680.07	(272.85)
13	ACME PACKET INC	P	02/23/11	08/16/11	676.42	884.10	(207.68)
2	ACME PACKET INC	P	02/23/11	06/27/11	135.13	136.01	(0.88)
1	ACME PACKET INC	P	02/23/11	05/24/11	72.54	68.01	4.53
1	ACME PACKET INC	P	02/23/11	03/28/11	70.51	68.01	2.50
26	ACME PACKET INC	P	02/24/11	08/19/11	1,058.76	1,878.29	(819.53)
8	ACME PACKET INC	P	03/14/11	08/19/11	325.77	577.50	(251.73)
23	ACME PACKET INC	P	03/23/11	08/19/11	936.60	1,516.18	(579.58)
38	ACME PACKET INC	P	04/27/11	08/25/11	1,593.21	3,186.16	(1,592.95)

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
36	AGILENT TECHNOLOGIES INC	P	03/14/11	08/19/11	1,136.42	1,603.17	(466.75)
3	AGILENT TECHNOLOGIES INC	P	03/14/11	06/27/11	146.90	133.60	13.30
1	AGILENT TECHNOLOGIES INC	P	03/14/11	05/24/11	48.69	44.53	4.16
1	AGILENT TECHNOLOGIES INC	P	03/14/11	03/28/11	44.08	44.53	(0.45)
29	AGILENT TECHNOLOGIES INC	P	03/17/11	08/23/11	929.18	1,244.98	(315.80)
15	AGILENT TECHNOLOGIES INC	P	03/17/11	08/19/11	473.51	643.96	(170.45)
32	AGILENT TECHNOLOGIES INC	P	03/17/11	08/19/11	1,010.14	1,356.22	(346.08)
15	AGILENT TECHNOLOGIES INC	P	03/23/11	08/23/11	480.61	654.99	(174.38)
37	AGILENT TECHNOLOGIES INC	P	03/23/11	08/24/11	1,210.70	1,615.63	(404.93)
64	AGILENT TECHNOLOGIES INC	P	04/13/11	08/24/11	2,094.19	2,955.44	(861.25)
12	AGILENT TECHNOLOGIES INC	P	04/13/11	10/07/11	374.38	554.15	(179.77)
48	AGILENT TECHNOLOGIES INC	P	04/19/11	10/07/11	1,497.52	2,236.92	(739.40)
27	AGILENT TECHNOLOGIES INC	P	04/20/11	10/07/11	842.36	1,307.20	(464.84)
61	AGILENT TECHNOLOGIES INC	P	06/07/11	10/07/11	1,903.10	2,922.17	(1,019.07)
1	ALLERGAN INC	P	08/24/11	10/25/11	87.19	77.04	10.15
6	AMAZON.COM INC	P	03/01/10	02/28/11	1,039.06	743.80	295.26
27	AMAZON.COM INC	P	08/30/11	12/21/11	4,730.76	5,716.59	(985.83)
65	AMER TOWER CORP	P	02/03/10	01/12/11	3,291.03	2,782.58	508.45
5	AMER TOWER CORP	P	12/20/10	01/12/11	253.16	255.30	(2.14)
22	ANADARKO PETROLEUM CORP	P	08/05/10	05/20/11	1,657.17	1,225.86	431.31
3	ANADARKO PETROLEUM CORP	P	08/05/10	03/28/11	251.27	167.16	84.11
2	ANADARKO PETROLEUM CORP	P	08/05/10	02/01/11	156.93	111.44	45.49
1	ANADARKO PETROLEUM CORP	P	08/05/10	01/24/11	77.12	55.72	21.40
16	ANADARKO PETROLEUM CORP	P	08/09/10	05/20/11	1,205.22	890.95	314.27
31	ANADARKO PETROLEUM CORP	P	08/09/10	05/25/11	2,400.84	1,726.22	674.62
1	ANADARKO PETROLEUM CORP	P	08/09/10	05/24/11	75.89	55.68	20.21
41	ANADARKO PETROLEUM CORP	P	08/18/10	06/02/11	3,141.82	2,138.88	1,002.94
31	ANADARKO PETROLEUM CORP	P	08/18/10	05/31/11	2,452.09	1,617.20	834.89
23	ANADARKO PETROLEUM CORP	P	09/07/10	06/07/11	1,717.55	1,160.26	557.29
1	ANADARKO PETROLEUM CORP	P	09/07/10	06/02/11	76.63	50.45	26.18
36	ANADARKO PETROLEUM CORP	P	09/07/10	06/27/11	2,596.66	1,816.07	780.59
45	ANADARKO PETROLEUM CORP	P	09/07/10	06/17/11	3,174.33	2,270.08	904.25
30	ANADARKO PETROLEUM CORP	P	10/08/10	06/27/11	2,163.88	1,729.04	434.84
9	ANADARKO PETROLEUM CORP	P	12/20/10	06/27/11	649.16	599.13	50.03
1	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	02/24/11	76.98	67.06	9.92
18	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	03/02/11	1,435.57	1,207.07	228.50
25	BHP BILLITON PLC NEW SPON ADR	P	03/05/10	02/28/11	1,986.48	1,676.48	310.00
9	BHP BILLITON PLC NEW SPON ADR	P	03/08/10	03/02/11	717.78	607.91	109.87
39	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/15/11	3,196.35	2,053.24	1,143.11
19	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/14/11	1,574.53	1,000.29	574.24
33	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	04/13/11	2,715.27	1,737.35	977.92
3	BHP BILLITON PLC NEW SPON ADR	P	05/24/10	03/14/11	222.71	157.94	64.77
9	BHP BILLITON PLC NEW SPON ADR	P	12/20/10	04/15/11	737.62	703.17	34.45
37	BROADCOM CORP CL A	P	10/01/10	04/04/11	1,408.67	1,307.71	100.96

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date	Date	Gross	Cost	Gain	
			Acquired	Sold	Sales Price	or other Basis	or (Loss)	
3	BROADCOM CORP CL A	P	10/01/10	03/28/11	122.18	106.03	16.15	
2	BROADCOM CORP CL A	P	10/01/10	02/01/11	93.22	70.69	22.53	
2	BROADCOM CORP CL A	P	10/01/10	01/24/11	91.10	70.69	20.41	
31	BROADCOM CORP CL A	P	10/13/10	04/04/11	1,180.24	1,162.18	18.06	
125	BROADCOM CORP CL A	P	10/13/10	04/27/11	4,464.92	4,686.21	(221.29)	
10	BROADCOM CORP CL A	P	10/19/10	04/27/11	357.19	367.20	(10.01)	
92	BROADCOM CORP CL A	P	10/19/10	04/28/11	3,166.95	3,378.24	(211.29)	
36	BROADCOM CORP CL A	P	11/11/10	04/28/11	1,239.24	1,495.25	(256.01)	
71	BROADCOM CORP CL A	P	12/15/10	04/28/11	2,444.06	3,132.28	(688.22)	
11	BROADCOM CORP CL A	P	12/20/10	04/28/11	378.66	483.23	(104.57)	
16	BROADCOM CORP CL A	P	02/02/11	04/28/11	550.77	695.71	(144.94)	
66	BROADCOM CORP CL A	P	02/17/11	04/28/11	2,271.94	2,793.58	(521.64)	
17	BROADCOM CORP CL A	P	02/22/11	04/28/11	585.20	693.20	(108.00)	
2	CHECK POINT SOFTWARE	TECH LTD ILS	P	08/12/11	10/25/11	116.00	110.04	5.96
131	CITIGROUP INC	P	04/20/11	06/08/11	4,941.73	5,960.50	(1,018.77)	
115	CITIGROUP INC	P	04/20/11	06/08/11	4,268.38	5,232.50	(964.12)	
28	CITIGROUP INC	P	04/20/11	06/08/11	1,039.26	1,279.63	(240.37)	
84	CITIGROUP INC	P	04/20/11	05/26/11	3,383.56	3,838.89	(455.33)	
132	CITIGROUP INC	P	04/20/11	05/25/11	5,360.89	6,032.53	(671.64)	
3	CITIGROUP INC	P	04/20/11	05/24/11	120.47	137.10	(16.63)	
0.2	CITIGROUP INC	P	04/20/11	05/09/11	8.91	9.13	(0.22)	
29	COACH INC	P	06/02/11	08/22/11	1,373.69	1,804.08	(430.39)	
4	COACH INC	P	06/02/11	08/22/11	189.47	247.62	(58.15)	
24	COACH INC	P	06/02/11	08/19/11	1,132.11	1,485.69	(353.58)	
2	COACH INC	P	06/02/11	06/27/11	118.63	118.63	-	
3	COACH INC	P	06/03/11	10/25/11	185.11	183.08	2.03	
12	COACH INC	P	06/03/11	08/22/11	568.42	732.36	(163.94)	
1	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	05/24/11	63.05	66.22	(3.17)
26	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	08/22/11	1,308.07	1,721.73	(413.66)
27	CONTINENTAL RESOURCES	INC (OKLA)	P	03/18/11	08/22/11	1,358.39	1,788.74	(430.35)
2	CONTINENTAL RESOURCES	INC (OKLA)	P	03/22/11	08/22/11	100.62	138.78	(38.16)
25	CONTINENTAL RESOURCES	INC (OKLA)	P	03/22/11	08/31/11	1,389.29	1,734.70	(345.41)
31	CONTINENTAL RESOURCES	INC (OKLA)	P	05/27/11	09/01/11	1,704.54	2,051.42	(346.88)
3	CONTINENTAL RESOURCES	INC (OKLA)	P	05/27/11	08/31/11	166.71	198.52	(31.81)
1	CUMMINS INC	P	08/12/10	02/22/11	103.39	78.24	25.15	
1	CUMMINS INC	P	08/12/10	01/24/11	105.93	78.24	27.69	
1	CUMMINS INC	P	08/12/10	05/24/11	103.11	78.24	24.87	
2	DANAHER CORP	P	05/03/10	03/28/11	103.39	85.50	17.89	
2	DANAHER CORP	P	05/03/10	02/22/11	101.11	85.50	15.61	
1	DANAHER CORP	P	05/03/10	02/01/11	47.39	42.75	4.64	
2	DANAHER CORP	P	05/03/10	01/24/11	94.51	85.50	9.01	
115	DOW CHEMICAL	P	09/23/10	09/08/11	3,070.98	3,105.47	(34.49)	
2	EATON CORP	P	09/08/10	03/28/11	108.33	77.04	31.29	
1	EATON CORP	P	09/08/10	02/01/11	109.08	77.04	32.04	

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
10	EATON CORP	P	09/08/10	08/19/11	382.02	385.18	(3.16)
1	EATON CORP	P	09/08/10	06/27/11	48.07	38.52	9.55
1	EATON CORP	P	09/08/10	05/24/11	49.86	38.52	11.34
56	EATON CORP	P	09/13/10	08/19/11	2,139.32	2,251.13	(111.81)
34	EATON CORP	P	09/13/10	08/31/11	1,457.09	1,366.76	90.33
7	EATON CORP	P	09/13/10	08/24/11	279.62	281.39	(1.77)
37	EATON CORP	P	09/13/10	08/23/11	1,447.14	1,487.35	(40.21)
4	EATON CORP	P	12/20/10	08/31/11	171.43	201.98	(30.55)
13	EATON CORP	P	01/27/11	11/07/11	583.35	583.35	-
1	EATON CORP	P	01/27/11	10/25/11	43.24	43.24	-
17	EATON CORP	P	01/27/11	09/01/11	719.00	895.34	(176.34)
27	EATON CORP	P	01/27/11	08/31/11	1,157.10	1,422.02	(264.92)
5	EATON CORP	P	03/14/11	11/07/11	224.37	224.37	-
31	EATON CORP	P	03/18/11	11/07/11	1,391.07	1,391.07	-
31	EATON CORP	P	03/21/11	11/17/11	1,353.88	1,644.15	(290.27)
2	EATON CORP	P	03/21/11	11/07/11	89.75	89.75	-
5	EATON CORP	P	04/20/11	11/17/11	218.37	266.93	(48.56)
3	EOG RESOURCES INC	P	12/20/10	07/01/11	308.91	273.60	35.31
4	FEDEX CORP	P	03/29/10	02/02/11	366.07	369.13	(3.06)
15	FEDEX CORP	P	03/29/10	02/01/11	1,392.55	1,384.25	8.30
1	FEDEX CORP	P	03/29/10	01/24/11	94.22	92.28	1.94
34	FEDEX CORP	P	03/31/10	03/16/11	2,923.95	3,155.67	(231.72)
15	FEDEX CORP	P	03/31/10	03/14/11	1,313.28	1,392.21	(78.93)
16	FEDEX CORP	P	03/31/10	02/02/11	1,464.29	1,485.02	(20.73)
5	FEDEX CORP	P	04/14/10	03/16/11	429.99	469.98	(39.99)
2	FEDEX CORP	P	12/20/10	03/16/11	172.00	187.72	(15.72)
367	FORD MOTOR CO COM NEW	P	11/09/10	01/31/11	5,783.69	5,907.75	(124.06)
2	FORD MOTOR CO COM NEW	P	11/09/10	01/24/11	35.79	32.19	3.60
140	FORD MOTOR CO COM NEW	P	11/18/10	01/31/11	2,206.31	2,289.67	(83.36)
12	FORD MOTOR CO COM NEW	P	12/20/10	01/31/11	189.11	202.67	(13.56)
45	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	06/17/11	2,155.22	2,343.37	(188.15)
2	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	05/24/11	97.11	104.15	(7.04)
1	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	02/22/11	50.52	52.07	(1.55)
1	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/10/10	01/24/11	108.13	104.15	3.98
2	FREEPORT-MCMORAN COPPER & GOLD INC	P	11/26/10	06/17/11	95.79	98.20	(2.41)
30	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/01/10	06/17/11	1,436.81	1,575.35	(138.54)
82	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/01/10	06/27/11	3,975.35	4,305.94	(330.59)
4	FREEPORT-MCMORAN COPPER & GOLD INC	P	12/20/10	06/27/11	193.92	229.69	(35.77)
59	FREEPORT-MCMORAN COPPER & GOLD INC	P	03/14/11	06/27/11	2,860.31	2,854.82	5.49
1	F5 NETWORKS INC	P	12/01/10	01/24/11	105.75	139.46	(33.71)
10	F5 NETWORKS INC	P	12/01/10	03/14/11	1,089.13	1,394.63	(305.50)
1	F5 NETWORKS INC	P	12/01/10	02/22/11	115.66	139.46	(23.80)
13	F5 NETWORKS INC	P	12/22/10	04/28/11	1,336.81	1,761.25	(424.44)
1	F5 NETWORKS INC	P	12/22/10	03/28/11	94.90	135.48	(40.58)

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
1	F5 NETWORKS INC	P	12/22/10	03/14/11	108.91	135.48	(26.57)
21	F5 NETWORKS INC	P	01/20/11	04/28/11	2,159.46	2,159.46	-
1	F5 NETWORKS INC	P	01/20/11	05/24/11	103.09	110.86	(7.77)
2	F5 NETWORKS INC	P	01/20/11	04/29/11	204.09	204.09	-
29	F5 NETWORKS INC	P	02/07/11	07/22/11	2,940.20	3,696.66	(756.46)
30	F5 NETWORKS INC	P	04/06/11	07/22/11	3,041.59	3,022.60	18.99
7	GENL DYNAMICS CORP	P	12/20/10	07/19/11	487.79	489.93	(2.14)
1	GOLDMAN SACHS GROUP INC	P	07/14/10	03/28/11	157.80	137.99	19.81
1	GOLDMAN SACHS GROUP INC	P	07/14/10	02/01/11	165.34	137.99	27.35
1	GOLDMAN SACHS GROUP INC	P	07/14/10	01/24/11	166.45	137.99	28.46
8	GOLDMAN SACHS GROUP INC	P	07/14/10	05/26/11	1,086.14	1,103.91	(17.77)
17	GOLDMAN SACHS GROUP INC	P	07/14/10	05/25/11	2,314.46	2,345.81	(31.35)
1	GOLDMAN SACHS GROUP INC	P	07/14/10	05/24/11	135.61	137.99	(2.38)
18	GOLDMAN SACHS GROUP INC	P	10/27/10	05/26/11	2,443.81	2,866.51	(422.70)
29	GOLDMAN SACHS GROUP INC	P	10/27/10	06/08/11	3,828.38	4,618.27	(789.89)
14	GOLDMAN SACHS GROUP INC	P	10/27/10	06/07/11	1,878.04	2,229.51	(351.47)
24	GOLDMAN SACHS GROUP INC	P	10/28/10	06/08/11	3,210.04	3,910.92	(700.88)
11	GOLDMAN SACHS GROUP INC	P	10/28/10	06/08/11	1,452.15	1,792.51	(340.36)
3	GOLDMAN SACHS GROUP INC	P	12/20/10	06/08/11	401.26	499.36	(98.10)
6	GOOGLE INC CL A	P	07/15/11	08/19/11	3,029.25	3,117.18	(87.93)
28	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	11/23/11	1,410.22	1,851.03	(440.81)
24	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	11/22/11	1,210.02	1,586.60	(376.58)
3	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	10/25/11	194.60	198.32	(3.72)
2	GREEN MTN COFFEE ROASTERS INC	P	04/05/11	06/27/11	170.19	132.22	37.97
8	GREEN MTN COFFEE ROASTERS INC	P	06/07/11	11/23/11	402.92	611.95	(209.03)
19	GREEN MTN COFFEE ROASTERS INC	P	06/08/11	11/23/11	956.94	1,444.93	(487.99)
20	GREEN MTN COFFEE ROASTERS INC	P	06/08/11	11/28/11	1,029.21	1,520.98	(491.77)
1	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	01/24/11	39.47	37.27	2.20
3	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	02/22/11	138.98	111.82	27.16
1	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	02/01/11	45.51	37.27	8.24
39	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	06/17/11	1,795.65	1,453.71	341.94
3	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	05/24/11	143.09	111.82	31.27
3	HALLIBURTON CO (HOLDING COMPANY)	P	11/18/10	03/28/11	144.98	111.82	33.16
27	HALLIBURTON CO (HOLDING COMPANY)	P	11/23/10	06/17/11	1,243.15	984.13	259.02
64	HALLIBURTON CO (HOLDING COMPANY)	P	11/23/10	06/20/11	2,961.50	2,332.76	628.74
3	HALLIBURTON CO (HOLDING COMPANY)	P	12/20/10	06/20/11	138.82	121.10	17.72
1	HALLIBURTON CO (HOLDING COMPANY)	P	02/02/11	10/25/11	35.12	35.12	-
1	LAUDER ESTEE COS CL A	P	08/12/10	02/22/11	91.12	57.68	33.44
1	LAUDER ESTEE COS CL A	P	08/12/10	01/24/11	83.42	57.68	25.74
2	LAUDER ESTEE COS CL A	P	08/12/10	06/27/11	202.07	115.37	86.70
1	LAUDER ESTEE COS CL A	P	08/12/10	05/24/11	99.03	57.68	41.35
1	LAUDER ESTEE COS CL A	P	08/12/10	03/28/11	96.11	57.68	38.43
1	MEAD JOHNSON NUTRITION CO COM STOCK	P	09/30/10	03/28/11	58.65	57.04	1.61
1	MEAD JOHNSON NUTRITION CO COM STOCK	P	09/30/10	02/01/11	58.12	57.04	1.08

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
3	MEAD JOHNSON NUTRITION	CO COM STOCK	P 09/30/10	06/27/11	197.69	171.12	26.57
1	MONSANTO CO NEW		P 07/01/10	01/06/11	70.84	45.88	24.96
18	MONSANTO CO NEW		P 07/02/10	01/06/11	1,275.12	836.45	438.67
34	MONSANTO CO NEW		P 07/02/10	01/06/11	2,414.14	1,579.96	834.18
23	MONSANTO CO NEW		P 09/23/10	01/06/11	1,633.10	1,238.35	394.75
2	MONSANTO CO NEW		P 09/23/10	02/01/11	151.53	107.68	43.85
3	MONSANTO CO NEW		P 09/23/10	01/24/11	213.45	161.52	51.93
1	MONSANTO CO NEW		P 09/23/10	05/24/11	68.18	53.84	14.34
22	MONSANTO CO NEW		P 09/23/10	05/23/11	1,453.28	1,184.51	268.77
2	MONSANTO CO NEW		P 09/23/10	03/28/11	142.03	107.68	34.35
2	MONSANTO CO NEW		P 09/23/10	02/22/11	143.01	107.68	35.33
3	MONSANTO CO NEW		P 09/23/10	06/27/11	197.91	161.52	36.39
1	NATL-OILWELLVARCO INC		P 07/21/11	10/25/11	67.95	67.95	-
55	NORDSTROM INC		P 02/02/10	02/01/11	2,269.79	1,985.68	284.11
2	NORDSTROM INC		P 02/02/10	01/24/11	84.23	72.21	12.02
33	NORDSTROM INC		P 04/30/10	03/17/11	1,380.38	1,365.92	14.46
23	NORDSTROM INC		P 04/30/10	03/14/11	988.90	952.00	36.90
2	NORDSTROM INC		P 04/30/10	02/22/11	90.91	82.78	8.13
4	NORDSTROM INC		P 04/30/10	02/01/11	165.08	165.57	(0.49)
37	NORDSTROM INC		P 06/25/10	03/17/11	1,576.64	1,314.97	261.67
51	NORDSTROM INC		P 06/25/10	03/17/11	2,133.31	1,812.52	320.79
5	NORDSTROM INC		P 12/20/10	03/17/11	213.06	213.49	(0.43)
1	O REILLY AUTOMOTIVE INC		P 06/17/11	06/27/11	64.50	62.00	2.50
2	OCCIDENTAL PETROLEUM CRP		P 03/14/11	10/25/11	170.38	170.38	-
3	OCCIDENTAL PETROLEUM CRP		P 03/14/11	06/27/11	296.56	296.54	0.02
1	OCCIDENTAL PETROLEUM CRP		P 03/14/11	03/28/11	102.08	98.85	3.23
5	ORACLE CORP		P 08/06/10	01/24/11	161.76	119.92	41.84
4	ORACLE CORP		P 08/06/10	06/17/11	126.58	95.94	30.64
100	ORACLE CORP		P 08/06/10	06/07/11	3,193.47	2,398.48	794.99
5	ORACLE CORP		P 08/06/10	05/24/11	165.86	119.92	45.94
5	ORACLE CORP		P 08/06/10	03/28/11	162.94	119.92	43.02
5	ORACLE CORP		P 08/06/10	02/22/11	163.46	119.92	43.54
6	ORACLE CORP		P 08/06/10	02/01/11	198.25	143.91	54.34
58	ORACLE CORP		P 10/15/10	06/17/11	1,835.41	1,662.92	172.49
6	ORACLE CORP		P 10/15/10	06/27/11	190.08	172.03	18.05
95	ORACLE CORP		P 10/15/10	08/19/11	2,388.79	2,723.75	(334.96)
61	ORACLE CORP		P 10/15/10	08/15/11	1,659.60	1,748.94	(89.34)
48	ORACLE CORP		P 10/15/10	08/12/11	1,310.98	1,376.21	(65.23)
193	ORACLE CORP		P 10/19/10	08/19/11	4,853.02	5,622.09	(769.07)
8	ORACLE CORP		P 11/18/10	10/25/11	260.34	227.10	33.24
5	ORACLE CORP		P 11/18/10	08/19/11	125.73	141.94	(16.21)
67	ORACLE CORP		P 01/31/11	12/21/11	1,700.77	2,148.20	(447.43)
68	ORACLE CORP		P 06/28/11	12/21/11	1,726.15	2,193.04	(466.89)
120	ORACLE CORP		P 06/29/11	12/21/11	3,046.15	3,908.04	(861.89)

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
2	PNC FINANCIAL SERVICES	GROUP	P	03/25/10	02/22/11	123.91	123.33	0.58
2	PNC FINANCIAL SERVICES	GROUP	P	03/25/10	02/01/11	123.55	123.33	0.22
1	PNC FINANCIAL SERVICES	GROUP	P	03/25/10	01/24/11	60.46	61.66	(1.20)
1	PNC FINANCIAL SERVICES	GROUP	P	12/20/10	08/19/11	43.36	58.97	(15.61)
6	PNC FINANCIAL SERVICES	GROUP	P	12/20/10	08/19/11	265.96	353.82	(87.86)
54	PNC FINANCIAL SERVICES	GROUP	P	12/28/10	08/19/11	2,393.66	3,279.95	(886.29)
1	PRECISION CASTPARTS CORP		P	07/22/10	03/28/11	146.45	116.17	30.28
1	PRECISION CASTPARTS CORP		P	07/22/10	02/01/11	143.88	116.17	27.71
6	PRECISION CASTPARTS CORP		P	10/22/10	08/31/11	989.06	840.79	148.27
1	PRICELINE.COM INC NEW		P	04/13/10	01/24/11	422.98	257.07	165.91
49	RED HAT INC		P	07/15/11	08/22/11	1,591.18	1,591.18	-
37	RED HAT INC		P	07/18/11	08/22/11	1,201.51	1,201.51	-
1	RED HAT INC		P	07/18/11	08/23/11	33.36	33.36	-
63	RED HAT INC		P	08/16/11	08/23/11	2,101.83	2,101.83	-
2	RED HAT INC		P	08/30/11	12/21/11	79.73	104.75	(25.02)
67	RED HAT INC		P	08/30/11	12/20/11	2,817.12	3,410.80	(593.68)
3	RED HAT INC		P	08/30/11	10/25/11	139.65	139.65	-
100	RED HAT INC		P	08/31/11	12/21/11	3,986.62	4,148.53	(161.91)
50	RED HAT INC		P	09/28/11	12/21/11	1,993.31	2,188.07	(194.76)
1	ROCKWELL AUTOMATION INC	NEW	P	02/22/11	03/28/11	92.73	86.56	6.17
2	ROCKWELL AUTOMATION INC	NEW	P	02/22/11	06/27/11	161.97	161.97	-
1	ROCKWELL AUTOMATION INC	NEW	P	02/22/11	05/24/11	81.37	81.37	-
18	ROCKWELL AUTOMATION INC	NEW	P	02/22/11	08/19/11	971.60	1,558.14	(586.54)
26	ROCKWELL AUTOMATION INC	NEW	P	02/23/11	08/19/11	1,403.42	2,221.22	(817.80)
17	ROCKWELL AUTOMATION INC	NEW	P	02/23/11	08/31/11	1,091.06	1,452.34	(361.28)
11	ROCKWELL AUTOMATION INC	NEW	P	02/24/11	08/31/11	705.97	959.04	(253.07)
9	ROCKWELL AUTOMATION INC	NEW	P	02/25/11	08/31/11	577.62	795.66	(218.04)
4	ROCKWELL AUTOMATION INC	NEW	P	03/23/11	08/31/11	256.72	360.00	(103.28)
13	ROCKWELL AUTOMATION INC	NEW	P	03/23/11	09/07/11	768.54	1,170.02	(401.48)
8	ROCKWELL AUTOMATION INC	NEW	P	04/12/11	09/07/11	472.94	732.53	(259.59)
14	ROCKWELL AUTOMATION INC	NEW	P	04/12/11	09/15/11	842.84	1,281.92	(439.08)
24	ROCKWELL AUTOMATION INC	NEW	P	04/20/11	09/15/11	1,444.87	2,268.90	(824.03)
28	ROCKWELL AUTOMATION INC	NEW	P	04/27/11	10/07/11	1,681.38	2,462.60	(781.22)
15	ROCKWELL AUTOMATION INC	NEW	P	04/27/11	09/16/11	901.75	1,319.25	(417.50)
29	ROCKWELL AUTOMATION INC	NEW	P	06/21/11	10/07/11	1,741.43	2,384.64	(643.21)
1	SALESFORCE.COM INC		P	09/01/10	01/24/11	127.51	116.41	11.10
10	SALESFORCE.COM INC		P	09/01/10	03/14/11	1,249.43	1,164.13	85.30
1	SALESFORCE.COM INC		P	09/01/10	02/22/11	136.59	116.41	20.18
2	SALESFORCE.COM INC		P	09/01/10	03/17/11	244.99	232.83	12.16
21	SALESFORCE.COM INC		P	09/03/10	03/17/11	2,572.41	2,539.13	33.28
1	SALESFORCE.COM INC		P	09/03/10	03/28/11	128.44	120.91	7.53
19	SALESFORCE.COM INC		P	09/03/10	03/18/11	2,265.71	2,297.31	(31.60)
5	SALESFORCE.COM INC		P	09/03/10	08/19/11	569.98	604.55	(34.57)
3	SALESFORCE.COM INC		P	09/24/10	08/19/11	341.99	358.71	(16.72)

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
3	SALESFORCE.COM INC	P	12/20/10	12/19/11	317.77	403.59	(85.82)
10	SALESFORCE.COM INC	P	12/31/10	12/19/11	1,059.24	1,316.38	(257.14)
10	SALESFORCE.COM INC	P	12/31/10	12/21/11	947.39	1,316.37	(368.98)
5	SALESFORCE.COM INC	P	06/28/11	12/21/11	473.70	735.71	(262.01)
26	SOTHEBYS	P	04/20/11	12/15/11	722.94	1,279.93	(556.99)
7	SOTHEBYS	P	04/21/11	12/15/11	194.64	350.52	(155.88)
5	SOTHEBYS	P	04/21/11	12/19/11	136.22	250.37	(114.15)
7	SOTHEBYS	P	05/10/11	12/19/11	190.70	299.34	(108.64)
10	STARBUCKS CORP	P	12/07/10	10/25/11	413.93	329.63	84.30
2	STARBUCKS CORP	P	12/07/10	06/27/11	75.91	65.93	9.98
2	STARBUCKS CORP	P	12/07/10	05/24/11	72.85	65.93	6.92
3	STARBUCKS CORP	P	12/07/10	03/28/11	110.45	98.89	11.56
2	STARBUCKS CORP	P	12/07/10	02/22/11	65.63	65.93	(0.30)
1	STARBUCKS CORP	P	12/07/10	01/24/11	33.37	32.96	0.41
1	TERADATA CORP NEW (DELA)	P	01/07/11	01/24/11	45.69	44.19	1.50
41	TERADATA CORP NEW (DELA)	P	01/07/11	02/04/11	1,843.32	1,811.71	31.61
41	TERADATA CORP NEW (DELA)	P	01/11/11	02/04/11	1,843.32	1,852.90	(9.58)
25	TIFFANY & CO NEW	P	07/14/10	07/01/11	1,983.69	1,025.04	958.65
4	TIME WARNER INC NEW	P	02/07/11	06/27/11	139.40	139.40	-
16	TIME WARNER INC NEW	P	02/07/11	05/24/11	573.10	577.76	(4.66)
3	TIME WARNER INC NEW	P	02/07/11	03/28/11	105.03	108.33	(3.30)
1	TIME WARNER INC NEW	P	02/07/11	02/22/11	37.63	36.11	1.52
32	TIME WARNER INC NEW	P	02/07/11	08/15/11	967.47	1,155.53	(188.06)
48	TIME WARNER INC NEW	P	02/07/11	08/12/11	1,429.82	1,733.29	(303.47)
62	TIME WARNER INC NEW	P	02/09/11	08/19/11	1,761.76	2,283.36	(521.60)
22	TIME WARNER INC NEW	P	02/09/11	08/15/11	665.14	810.22	(145.08)
21	TIME WARNER INC NEW	P	02/09/11	08/22/11	587.31	773.40	(186.09)
43	TIME WARNER INC NEW	P	02/28/11	08/22/11	1,202.60	1,642.90	(440.30)
7	TIME WARNER INC NEW	P	02/28/11	10/25/11	238.43	267.45	(29.02)
2	TJX COS INC NEW	P	06/18/10	01/24/11	95.17	92.75	2.42
2	TJX COS INC NEW	P	06/18/10	05/24/11	106.45	92.75	13.70
3	TJX COS INC NEW	P	06/18/10	03/28/11	148.04	139.12	8.92
2	TJX COS INC NEW	P	06/18/10	02/22/11	98.91	92.75	6.16
2	TJX COS INC NEW	P	06/18/10	02/01/11	96.01	92.75	3.26
109	US BANCORP DEL (NEW)	P	04/21/10	04/13/11	2,835.96	3,068.44	(232.48)
20	VISA INC CL A	P	06/30/11	10/05/11	1,668.84	1,696.90	(28.06)
326	WELLS FARGO & CO NEW	P	07/27/10	04/15/11	9,730.91	9,259.61	471.30
14	WELLS FARGO & CO NEW	P	12/20/10	04/15/11	417.89	423.63	(5.74)
58	WELLS FARGO & CO NEW	P	01/05/11	04/15/11	1,731.27	1,869.04	(137.77)
1	WYNN RESORTS LTD	P	07/14/10	02/22/11	123.05	82.56	40.49
1	WYNN RESORTS LTD	P	07/14/10	05/24/11	142.33	82.56	59.77
1	YOUKU INC SPON ADR	P	05/20/11	06/27/11	27.54	27.54	-
1	YUM! BRANDS INC	P	09/03/10	01/24/11	48.22	44.30	3.92
1	YUM! BRANDS INC	P	09/03/10	02/22/11	49.73	44.30	5.43

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
1	YUM! BRANDS INC	P	09/03/10	02/01/11	47.47	44.30	3.17
1	YUM! BRANDS INC	P	09/03/10	06/27/11	54.29	44.30	9.99
1	YUM! BRANDS INC	P	09/03/10	05/24/11	55.84	44.30	11.54
2	YUM! BRANDS INC	P	09/03/10	03/28/11	103.39	88.60	14.79
	WASH SALES ADJUSTMENT	P			-	1,202.02	(1,202.02)
69	ADOBE SYSTEMS INC (DELAWARE)	P	06/20/11	10/25/11	1,938.31	2,137.62	(199.31)
13	AMER TOWER CORP	P	05/12/10	04/25/11	663.63	540.26	123.37
25	AMER TOWER CORP	P	05/12/10	02/02/11	1,299.72	1,038.96	260.76
20	AMER TOWER CORP	P	05/12/10	01/24/11	1,016.98	831.17	185.81
70	AMGEN INC	P	05/12/10	01/24/11	4,013.27	3,947.06	66.21
128	AT&T INC	P	05/12/10	03/25/11	3,696.69	3,276.39	420.30
69	AT&T INC	P	05/12/10	04/25/11	2,105.84	1,766.18	339.66
7	AT&T INC	P	11/08/10	08/12/11	197.20	204.11	(6.91)
1,005.00	AT&T INC	P	12/13/10	08/12/11	28,311.71	28,993.45	(681.74)
15	AT&T INC	P	01/24/11	08/12/11	422.56	426.89	(4.33)
45	AT&T INC	P	02/02/11	08/12/11	1,267.69	1,245.60	22.09
65	AUTODESK INC	P	05/12/10	02/02/11	2,820.41	2,080.85	739.56
95	AUTODESK INC	P	05/12/10	01/24/11	3,930.07	3,041.24	888.83
24	AUTODESK INC	P	05/12/10	04/25/11	1,091.09	768.31	322.78
45	AUTODESK INC	P	05/12/10	03/25/11	1,968.26	1,440.59	527.67
153	BED BATH & BEYOND INC	P	05/12/10	04/25/11	8,647.67	7,130.47	1,517.20
33	BED BATH & BEYOND INC	P	05/12/10	03/25/11	1,574.09	1,537.95	36.14
40	BED BATH & BEYOND INC	P	05/12/10	01/24/11	1,950.76	1,864.18	86.58
19	BEST BUY CO INC	P	05/12/10	04/25/11	569.98	849.04	(279.06)
7	BEST BUY CO INC	P	11/08/10	08/12/11	168.37	313.45	(145.08)
710	BEST BUY CO INC	P	12/13/10	08/12/11	17,077.58	29,982.24	(12,904.66)
255	BEST BUY CO INC	P	01/24/11	08/12/11	6,133.50	8,980.69	(2,847.19)
20	BEST BUY CO INC	P	02/02/11	08/12/11	481.06	695.80	(214.74)
245	BEST BUY CO INC	P	03/25/11	08/12/11	5,892.97	7,157.53	(1,264.56)
68	CELGENE CORP	P	05/12/10	03/25/11	3,760.37	4,044.98	(284.61)
5	CELGENE CORP	P	05/12/10	01/24/11	283.94	297.43	(13.49)
190	CISCO SYSTEMS INC	P	05/12/10	01/24/11	4,014.62	5,046.02	(1,031.40)
730	CISCO SYSTEMS INC	P	05/12/10	02/02/11	15,764.48	19,387.34	(3,622.86)
60	CISCO SYSTEMS INC	P	06/08/10	02/02/11	1,295.71	1,352.28	(56.57)
92	CISCO SYSTEMS INC	P	11/08/10	02/02/11	1,986.76	2,255.73	(268.97)
1,705.00	CISCO SYSTEMS INC	P	12/13/10	02/02/11	36,819.79	33,718.93	3,100.86
70	COACH INC	P	05/12/10	04/25/11	3,996.98	2,925.98	1,071.00
2	COACH INC	P	05/12/10	03/25/11	104.49	83.60	20.89
5	COACH INC	P	05/12/10	02/02/11	268.79	209.00	59.79
51	COSTCO WHOLESALE CORP	P	05/12/10	04/25/11	4,004.48	2,948.73	1,055.75
28	COSTCO WHOLESALE CORP	P	05/12/10	03/25/11	2,008.14	1,618.91	389.23
25	COSTCO WHOLESALE CORP	P	05/12/10	01/24/11	1,810.05	1,445.46	364.59
1	EMC CORP MASS	P	06/28/11	10/25/11	24.01	27.11	(3.10)
127	INTEL CORP	P	05/12/10	04/25/11	2,787.59	2,908.94	(121.35)

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
60	INTEL CORP	P	05/12/10	02/02/11	1,299.61	1,374.30	(74.69)
35	INTL BUSINESS MACH	P	05/12/10	01/24/11	5,561.74	4,541.90	1,019.84
8	INTL BUSINESS MACH	P	05/12/10	03/25/11	1,299.05	1,038.15	260.90
10	INTL BUSINESS MACH	P	05/12/10	02/02/11	1,635.36	1,297.69	337.67
5	INTL BUSINESS MACH	P	05/12/10	04/25/11	839.33	648.84	190.49
40	JOHNSON CONTROLS INC	P	11/08/10	01/24/11	1,556.77	1,499.48	57.29
70	JOHNSON CONTROLS INC	P	11/08/10	06/28/11	2,806.46	2,624.10	182.36
179	JOHNSON CONTROLS INC	P	11/08/10	03/25/11	7,454.70	6,710.19	744.51
285	LOWES COMPANIES INC	P	05/12/10	03/25/11	7,749.00	7,712.10	36.90
5	LOWES COMPANIES INC	P	05/12/10	01/24/11	125.00	135.30	(10.30)
15	NIKE INC CL B	P	05/12/10	04/25/11	1,202.37	1,152.23	50.14
10	NIKE INC CL B	P	05/12/10	02/02/11	838.68	768.15	70.53
118	NORDSTROM INC	P	08/16/11	10/25/11	6,037.95	5,085.85	952.10
2,100.00	NVIDIA CORP	P	02/02/11	06/28/11	32,540.55	54,112.38	(21,571.83)
701	NVIDIA CORP	P	03/25/11	06/28/11	10,862.34	13,214.06	(2,351.72)
65	NVIDIA CORP	P	04/25/11	06/28/11	1,007.21	1,224.48	(217.27)
120	ORACLE CORP	P	05/12/10	01/24/11	3,872.42	2,949.36	923.06
49	ORACLE CORP	P	05/12/10	04/25/11	1,704.35	1,204.32	500.03
35	ORACLE CORP	P	05/12/10	03/25/11	1,152.35	860.23	292.12
55	ORACLE CORP	P	05/12/10	02/02/11	1,828.99	1,351.79	477.20
43	QUALCOMM INC	P	05/12/10	04/25/11	2,444.58	1,642.77	801.81
14	QUALCOMM INC	P	05/12/10	03/25/11	747.17	534.85	212.32
60	QUALCOMM INC	P	05/12/10	02/02/11	3,242.52	2,292.23	950.29
50	QUALCOMM INC	P	05/12/10	01/24/11	2,566.05	1,910.20	655.85
20	RESEARCH IN MOTION LTD CAD	P	05/12/10	01/24/11	1,252.37	1,375.35	(122.98)
84	RESEARCH IN MOTION LTD CAD	P	11/08/10	06/20/11	2,206.91	4,707.15	(2,500.24)
425	RESEARCH IN MOTION LTD CAD	P	12/13/10	06/20/11	11,165.90	26,042.73	(14,876.83)
20	RESEARCH IN MOTION LTD CAD	P	02/02/11	06/20/11	525.45	1,222.94	(697.49)
33	RESEARCH IN MOTION LTD CAD	P	03/25/11	06/20/11	867.00	1,884.53	(1,017.53)
82	RESEARCH IN MOTION LTD CAD	P	04/25/11	06/20/11	2,154.36	4,387.67	(2,233.31)
89	VERIZON COMMUNICATIONS INC	P	05/12/10	03/25/11	3,315.63	2,391.06	924.57
45	VERIZON COMMUNICATIONS INC	P	05/12/10	02/02/11	1,621.90	1,208.96	412.94
50	VERIZON COMMUNICATIONS INC	P	05/12/10	01/24/11	1,753.00	1,343.29	409.71
56	WHOLE FOODS MARKET INC	P	08/18/11	10/25/11	4,011.25	3,375.37	635.88
187	ADOBE SYSTEMS INC (DELAWARE)	P	06/20/11	10/25/11	5,253.11	5,793.26	(540.15)
36	AMER TOWER CORP	P	05/12/10	04/25/11	1,837.76	1,496.10	341.66
80	AMER TOWER CORP	P	05/12/10	02/02/11	4,159.12	3,324.66	834.46
15	AMER TOWER CORP	P	05/12/10	01/24/11	762.73	623.37	139.36
1	AMGEN INC	P	05/12/10	04/25/11	54.48	56.39	(1.91)
80	AMGEN INC	P	05/12/10	01/24/11	4,586.59	4,510.93	75.66
350	AT&T INC	P	05/12/10	03/25/11	10,108.15	8,958.88	1,149.27
190	AT&T INC	P	05/12/10	04/25/11	5,798.70	4,863.39	935.31
10	AT&T INC	P	11/08/10	08/12/11	281.71	291.58	(9.87)
3,290.00	AT&T INC	P	12/14/10	08/12/11	92,682.12	95,704.46	(3,022.34)

SCHEDULE D-1

**SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.**

2011 FORM 990-PF

TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
100	AT&T INC	P	01/24/11	08/12/11	2,817.08	2,845.93	(28.85)
125	AT&T INC	P	02/02/11	08/12/11	3,521.36	3,460.00	61.36
215	AUTODESK INC	P	05/12/10	01/24/11	8,894.37	6,882.80	2,011.57
67	AUTODESK INC	P	05/12/10	04/25/11	3,045.99	2,144.87	901.12
123	AUTODESK INC	P	05/12/10	03/25/11	5,379.92	3,937.60	1,442.32
170	AUTODESK INC	P	05/12/10	02/02/11	7,376.46	5,442.21	1,934.25
414	BED BATH & BEYOND INC	P	05/12/10	04/25/11	23,399.58	19,294.22	4,105.36
84	BED BATH & BEYOND INC	P	05/12/10	03/25/11	4,006.79	3,914.77	92.02
40	BED BATH & BEYOND INC	P	05/12/10	01/24/11	1,950.76	1,864.18	86.58
53	BEST BUY CO INC	P	05/12/10	04/25/11	1,589.96	2,368.38	(778.42)
14	BEST BUY CO INC	P	11/08/10	08/12/11	336.74	626.90	(290.16)
3,005.00	BEST BUY CO INC	P	12/14/10	08/12/11	72,279.07	106,036.83	(33,757.76)
15	BEST BUY CO INC	P	01/24/11	08/12/11	360.79	528.28	(167.49)
50	BEST BUY CO INC	P	02/02/11	08/12/11	1,202.65	1,739.50	(536.85)
667	BEST BUY CO INC	P	03/25/11	08/12/11	16,043.31	19,486.00	(3,442.69)
191	CELGENE CORP	P	05/12/10	03/25/11	10,562.22	11,361.64	(799.42)
1,335.00	CISCO SYSTEMS INC	P	05/12/10	02/02/11	28,829.57	35,454.93	(6,625.36)
500	CISCO SYSTEMS INC	P	05/12/10	01/24/11	10,564.79	13,279.00	(2,714.21)
130	CISCO SYSTEMS INC	P	06/08/10	02/02/11	2,807.37	2,929.94	(122.57)
179	CISCO SYSTEMS INC	P	11/08/10	02/02/11	3,865.54	4,388.87	(523.33)
5,350.00	CISCO SYSTEMS INC	P	12/14/10	02/02/11	115,534.24	105,498.26	10,035.98
10	COACH INC	P	05/12/10	02/02/11	537.58	418.00	119.58
189	COACH INC	P	05/12/10	04/25/11	10,791.84	7,900.14	2,891.70
6	COACH INC	P	05/12/10	03/25/11	313.49	250.80	62.69
50	COSTCO WHOLESALE CORP	P	05/12/10	01/24/11	3,620.11	2,890.91	729.20
139	COSTCO WHOLESALE CORP	P	05/12/10	04/25/11	10,914.18	8,036.73	2,877.45
78	COSTCO WHOLESALE CORP	P	05/12/10	03/25/11	5,594.11	4,509.82	1,084.29
4	EMC CORP MASS	P	06/28/11	10/25/11	96.08	108.45	(12.37)
342	INTEL CORP	P	05/12/10	04/25/11	7,506.75	7,833.51	(326.76)
175	INTEL CORP	P	05/12/10	02/02/11	3,790.54	4,008.38	(217.84)
90	INTL BUSINESS MACH	P	05/12/10	01/24/11	14,301.62	11,679.17	2,622.45
15	INTL BUSINESS MACH	P	05/12/10	03/25/11	2,435.72	1,946.53	489.19
30	INTL BUSINESS MACH	P	05/12/10	02/02/11	4,906.10	3,893.06	1,013.04
15	INTL BUSINESS MACH	P	05/12/10	04/25/11	2,518.00	1,946.53	571.47
55	JOHNSON CONTROLS INC	P	11/08/10	01/24/11	2,140.55	2,061.79	78.76
190	JOHNSON CONTROLS INC	P	11/08/10	06/28/11	7,617.54	7,122.55	494.99
486	JOHNSON CONTROLS INC	P	11/08/10	03/25/11	20,240.15	18,218.73	2,021.42
765	LOWES COMPANIES INC	P	05/12/10	03/25/11	20,799.95	20,700.90	99.05
30	LOWES COMPANIES INC	P	05/12/10	01/24/11	750.04	811.80	(61.76)
41	NIKE INC CL B	P	05/12/10	04/25/11	3,286.49	3,149.42	137.07
25	NIKE INC CL B	P	05/12/10	02/02/11	2,096.70	1,920.38	176.32
320	NORDSTROM INC	P	08/16/11	10/25/11	16,374.11	13,792.13	2,581.98
5,675.00	NVIDIA CORP	P	02/02/11	06/28/11	87,936.97	146,232.27	(58,295.30)
1,895.00	NVIDIA CORP	P	03/25/11	06/28/11	29,363.97	35,721.32	(6,357.35)

SCHEDULE D-1
SHORT-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
172	NVIDIA CORP		P 04/25/11	06/28/11	2,665.23	3,240.17	(574.94)
265	ORACLE CORP		P 05/12/10	01/24/11	8,551.59	6,513.17	2,038.42
135	ORACLE CORP		P 05/12/10	04/25/11	4,695.67	3,318.03	1,377.64
95	ORACLE CORP		P 05/12/10	03/25/11	3,127.81	2,334.91	792.90
145	ORACLE CORP		P 05/12/10	02/02/11	4,821.88	3,563.81	1,258.07
117	QUALCOMM INC		P 05/12/10	04/25/11	6,651.53	4,469.86	2,181.67
36	QUALCOMM INC		P 05/12/10	03/25/11	1,921.31	1,375.34	545.97
155	QUALCOMM INC		P 05/12/10	02/02/11	8,376.53	5,921.60	2,454.93
125	QUALCOMM INC		P 05/12/10	01/24/11	6,415.12	4,775.49	1,639.63
60	RESEARCH IN MOTION LTD	CAD	P 05/12/10	01/24/11	3,757.12	4,126.05	(368.93)
169	RESEARCH IN MOTION LTD	CAD	P 11/08/10	06/20/11	4,440.09	9,470.34	(5,030.25)
1,480.00	RESEARCH IN MOTION LTD	CAD	P 12/14/10	06/20/11	38,883.59	90,779.50	(51,895.91)
55	RESEARCH IN MOTION LTD	CAD	P 02/02/11	06/20/11	1,445.00	3,363.09	(1,918.09)
90	RESEARCH IN MOTION LTD	CAD	P 03/25/11	06/20/11	2,364.54	5,139.63	(2,775.09)
223	RESEARCH IN MOTION LTD	CAD	P 04/25/11	06/20/11	5,858.81	11,932.33	(6,073.52)
243	VERIZON COMMUNICATIONS	INC	P 05/12/10	03/25/11	9,052.79	6,528.40	2,524.39
120	VERIZON COMMUNICATIONS	INC	P 05/12/10	02/02/11	4,325.09	3,223.90	1,101.19
85	VERIZON COMMUNICATIONS	INC	P 05/12/10	01/24/11	2,980.11	2,283.60	696.51
152	WHOLE FOODS MARKET INC		P 08/18/11	10/25/11	10,887.71	9,161.71	1,726.00
10	BASF SE SPON ADR		P 05/17/10	04/25/11	960.28	553.10	407.18
10	BHP BILLITON LTD SPON	ADR	P 05/17/10	04/25/11	1,014.54	647.65	366.89
30	NABORS INDUSTRIES LTD	NEW (BERMUDA)	P 05/17/10	04/25/11	945.92	572.55	373.37
15	NOBLE CORP CHF		P 05/17/10	04/25/11	635.09	508.94	126.15
30	POTASH CORP SASK INC	CANADA CAD	P 05/17/10	01/26/11	5,049.82	2,979.53	2,070.29
30	RIO TINTO PLC SPON ADR		P 05/17/10	04/25/11	2,180.49	1,356.80	823.69
15	SUNCOR ENERGY INC NEW	CAD	P 05/17/10	04/25/11	674.42	448.45	225.97
5	BHP BILLITON LTD SPON	ADR	P 05/17/10	04/25/11	507.27	323.83	183.44
10	NABORS INDUSTRIES LTD	NEW (BERMUDA)	P 05/17/10	04/25/11	315.30	190.85	124.45
20	NOBLE CORP CHF		P 05/17/10	03/28/11	901.45	678.59	222.86
10	POTASH CORP SASK INC	CANADA CAD	P 05/17/10	03/28/11	566.48	331.06	235.42
10	RIO TINTO PLC SPON ADR		P 05/17/10	04/25/11	726.84	452.27	274.57
	ROUNDING ADJUSTMENT		P		15.76		15.76
TOTAL SHORT TERM GAIN/(LOSS)					3,095,242.00	3,315,916.74	(220,690.44)

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
PAGE 2, PART II, LINE 7			
	GALVESTON HISTORICAL FOUNDATION - PRI	52,148	52,148
	THE GRAND 1894 OPERA HOUSE - PRI	79,429	79,429
	GALVESTON ARTS CENTER - PRI	16,707	16,707
	KEMPNER STUDENT LOANS CHG OFF ATTORNEY	19,365	19,365
	KEMPNER OUTSTANDING STUDENT LOANS	69,200	69,200
TOTAL OTHER NOTES AND RECEIVABLES		<u>236,849</u>	<u>236,849</u>
PAGE 2, PART II, LINE 10a			
112,000	U S TREASURY TIPS 1.875% MATURES 07/15/13	130,521	144,403
294,000	U S TREASURY TIPS 2.500% MATURES 07/15/16	336,685	381,277
652,000	U S TREASURY TIPS 2.625% MATURES 07/15/17	696,236	848,012
157,000	U S TREASURY TIPS 1.375% MATURES 07/15/18	159,489	186,666
219,000	U S TREASURY TIPS 1.375% MATURES 01/15/20	228,167	261,119
352,000	U S TREASURY TIPS 2.375% MATURES 01/15/25	453,702	537,648
182,000	U S TREASURY TIPS 1.750% MATURES 01/15/28	189,509	236,582
297,000	U S TREASURY TIPS 2.500% MATURES 01/15/29	312,475	417,926
275,000	U S TREASURY TIPS 2.125% MATURES 02/15/40	298,403	386,643
44,000	U S TREASURY TIPS 1.875% MATURES 07/15/13	51,885	56,729
114,000	U S TREASURY TIPS 2.625% MATURES 07/15/17	123,190	148,273
166,000	U S TREASURY TIPS 1.375% MATURES 07/15/18	168,515	197,367
73,000	U S TREASURY TIPS 1.375% MATURES 01/15/20	76,058	87,039
55,000	U S TREASURY TIPS 2.375% MATURES 01/15/25	70,681	84,007
54,000	U S TREASURY BOND 1.750% MATURES 01/15/28	56,239	70,195
111,000	U S TREASURY BOND 2.500% MATURES 01/15/29	116,786	156,194
70,000	U S TREASURY BOND 2.125% MATURES 02/15/40	75,163	98,419
497,849	UBS SELECT TREASURY INSTITUTIONAL FUND 3.90%	497,849	497,849
142,086	UBS SELECT TREASURY INSTITUTIONAL FUND 3.90%	142,086	142,086
	ACCRUED INTEREST		
TOTAL INVESTMENTS - U S & STATE GOVERNMENT OBLIGATIONS		<u>4,183,639</u>	<u>4,938,434</u>

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
PAGE 2, PART II, LINE 10b			
5,000	ADVANCED AUTO PARTS INC	279,268	348,150
6,300	ALCOA INC	104,250	54,495
9,400	ALLSTATE CORPORATION	456,956	257,654
13,250	ANNALY CAPITAL MANAGEMENT INC	187,899	211,470
11,000	APPLIED MATERIALS INC	134,824	117,810
12,200	ARCHER DANIELS MIDLAND CO.	260,634	348,920
16,487	AT&T INC	377,812	498,567
7,100	AVON PRODUCTS INC	187,531	124,037
9,918	BANK OF AMERICA CORP	348,669	55,144
10,000	BARCLAYS PLC ADR	424,984	109,900
1,700	BECTON DICKINSON & CO	123,337	127,024
7,800	BEST BUY CO INC	219,694	182,286
6,600	BLACK BOX CORP	219,938	185,064
5,400	BOEING COMPANY	283,302	396,090
7,100	BP PLC SPON ADR	284,215	303,454
4,600	COMPUTER SCIENCES	164,441	109,020
1,100	CONOCOPHILLIPS	39,402	80,157
5,800	DOVER CORP	221,296	336,690
7,000	DOW CHEMICAL	260,581	201,320
20,300	FIDELITY NATL FINANCIAL INC	281,112	323,379
16,200	GANNETT CO	654,051	216,594
800	GENL DYNAMICS CORP	46,765	53,128
8,000	H&R BLOCK INC	90,445	130,640
11,425	HEWLETT PACKARD	428,277	294,308
5,500	HOME DEPOT INC	200,730	231,220
2,800	INGERSOLL RAND CO CL A	95,106	85,316
2,600	INTL BUSINESS MACH	201,497	478,088
1,600	JOHNSON & JOHNSON COM	92,024	104,928
1,886	LINCOLN NATL CORP IND	101,152	36,626
11,100	MARSH & MCLENNAN COS IN	366,888	350,982
18,500	NIPPON TELEG & TEL CORP SPON ADR	404,630	468,605
2,600	NOVARTIS AG SPON ADR	103,914	148,642
2,300	PPG INDUSTRIES INC	117,449	192,027
31,400	PULSE ELECTRONICS	460,498	87,920

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
4,300	RAYTHEON CO NEW	186,043	208,034
3,900	REGIS CORP MINN	53,045	64,545
750	RENAISSANCERE HOLDINGS LTD	34,325	55,778
17,700	STAPLES INC	316,579	245,853
8,650	TEVA PHARMACEUTICALS IND LTD	367,352	349,114
7,100	VALERO ENERGY CORP	118,266	149,455
3,055	VERIZON COMMUNICATIONS	87,416	122,566
5,900	WAL MART STORES INC	293,119	352,584
15,866	WESTERN UNION CO	263,777	289,713
8,330	XL GROUP PLC SHS	516,741	164,684
1,055	ADVANCED AUTO PARTS INC	60,565	73,460
1,350	ALCOA INC	26,226	11,677
2,490	ALLSTATE CORPORATION	123,356	68,251
3,650	ANNALY CAPITAL MANAGEMENT INC	51,769	58,254
3,400	APPLIED MATERIALS INC	41,458	36,414
2,800	ARCHER DANIELS MIDLAND CO.	59,877	80,080
4,687	AT & T INC	116,028	141,735
1,550	AVON PRODUCTS INC	42,558	27,078
2,742	BANK OF AMERICA CORP	97,945	15,245
2,650	BARCLAYS PLC ADR	114,082	29,124
450	BECTON DICKINSON & CO	32,651	33,624
2,000	BEST BUY CO INC	56,425	46,740
1,800	BLACK BOX CORP	60,234	50,472
1,395	BOEING COMPANY	72,281	102,323
1,850	BP PLC SPON ADR	73,635	79,069
1,200	COMPUTER SCIENCES	43,008	28,440
310	CONOCOPHILLIPS	11,107	22,590
1,500	DOVER CORP	59,869	87,075
1,900	DOW CHEMICAL	69,820	54,644
5,350	FIDELITY NATL FINANCIAL INC	74,365	85,225
4,310	GANNETT CO	174,290	57,625
200	GENL DYNAMICS CORP	11,695	13,282
2,300	H & R BLOCK INC	26,075	37,559
2,950	HEWLETT PACKARD	110,066	75,992
1,600	HOME DEPOT INC	58,292	67,264
715	INGERSOLL RAND CO CL A	24,289	21,786
680	INTL BUSINESS MACH	52,777	125,038

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
400	JOHNSON & JOHNSON COM	22,972	26,232
538	LINCOLN NATL CORP IND	28,904	10,448
3,100	MARSH & MCLENNAN COS IN	102,697	98,022
5,100	NIPPON TELEG & TEL CORP SPON ADR	111,603	129,183
700	NOVARTIS AG SPON ADR	27,981	40,019
465	PPG INDUSTRIES INC	24,602	38,823
8,600	PULSE ELECTRONICS	119,407	24,080
900	RAYTHEON CO NEW	39,976	43,542
1,000	REGIS CORP MINN	13,605	16,550
175	RENAISSANCERE HOLDINGS LTD	8,010	13,015
4,675	STAPLES INC	84,096	64,936
2,260	TEVA PHARMACEUTICALS IND LTD	95,893	91,214
1,900	VALERO ENERGY CORP	31,641	39,995
800	VERIZON COMMUNICATIONS	23,069	32,096
1,550	WAL MART STORES INC	77,067	92,628
3,850	WESTERN UNION CO	64,175	70,301
2,310	XL GROUP PLC SHS	137,650	45,669
105	ADVANCE AUTO PARTS INC	6,088	7,311
190	ALCOA INC	2,802	1,643
305	ALLSTATE CORPORATION	12,784	8,360
280	ANNALY CAPITAL MANAGEMENT INC	3,976	4,469
330	APPLIED MATERIALS INC	4,044	3,534
325	ARCHER DANIELS MIDLAND CO.	7,242	9,295
292	AT & T INC	6,892	8,830
220	AVON PRODUCTS INC	5,686	3,843
253	BANK OF AMERICA CORP	9,801	1,407
215	BARCLAYS PLC ADR	9,273	2,363
50	BECTON DICKINSON & CO	3,632	3,736
215	BEST BUY CO INC	6,045	5,024
150	BLACK BOX CORP	4,973	4,206
135	BOEING COMPANY	6,925	9,902
205	BP PLC SPON ADR	8,141	8,762
125	COMPUTER SCIENCES	4,499	2,962
30	CONOCOPHILLIPS	1,079	2,186
130	DOVER CORP	4,866	7,546
145	DOW CHEMICAL	5,507	4,170
530	FIDELITY NATL FINANCIAL INC	7,380	8,443

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
350	GANNETT CO	13,739	4,680
25	GENL DYNAMICS CORP	1,467	1,660
240	H & R BLOCK INC	2,732	3,919
335	HEWLETT PACKARD	12,288	8,630
120	HOME DEPOT INC	4,377	5,045
65	INGERSOLL RAND CO CL A	2,212	1,981
50	INTL BUSINESS MACH	3,880	9,194
50	JOHNSON & JOHNSON COM	2,898	3,279
35	LINCOLN NATL CORP IND	1,929	680
225	MARSH & MCLENNAN COS IN	7,378	7,115
390	NIPPON TELEG & TEL CORP SPON ADR	8,538	9,879
65	NOVARTIS AG SPON ADR	2,603	3,716
50	PPG INDUSTRIES INC	2,484	4,175
695	PULSE ELECTRONICS	8,905	1,946
160	RAYTHEON CO NEW	6,921	7,741
110	REGIS CORP MINN	1,501	1,820
15	RENAISSANCERE HOLDINGS LTD	687	1,116
485	STAPLES INC	8,705	6,737
245	TEVA PHARMACEUTICALS IND LTD	10,541	9,888
195	VALERO ENERGY CORP	3,259	4,105
60	VERIZON COMMUNICATIONS	1,719	2,407
160	WAL MART STORES INC	7,975	9,562
370	WESTERN UNION CO	6,153	6,756
180	XL GROUP PLC SHS	10,395	3,558
75	ADVANCE AUTO PARTS INC	4,193	5,222
90	ALCOA INC	1,436	779
160	ALLSTATE CORPORATION	6,733	4,386
150	ANNALY CAPITAL MANAGEMENT INC	2,132	2,394
175	APPLIED MATERIALS INC	2,148	1,874
170	ARCHER DANIELS MIDLAND CO.	3,827	4,862
159	AT & T INC	3,794	4,808
115	AVON PRODUCTS INC	2,988	2,009
104	BANK OF AMERICA CORP	3,868	578
110	BARCLAYS PLC ADR	4,767	1,209
30	BECTON DICKINSON & CO	2,182	2,242
115	BEST BUY CO INC	3,252	2,688
80	BLACK BOX CORP	2,660	2,243

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
70	BOEING COMPANY	3,591	5,135
105	BP PLC SPON ADR	4,168	4,488
70	COMPUTER SCIENCES	2,499	1,659
15	CONOCOPHILLIPS	541	1,093
70	DOVER CORP	2,590	4,064
75	DOW CHEMICAL	2,843	2,157
280	FIDELITY NATL FINANCIAL INC	3,905	4,460
190	GANNETT CO	7,226	2,540
15	GENL DYNAMICS CORP	882	996
125	H & R BLOCK INC	1,418	2,041
180	HEWLETT PACKARD	6,618	4,637
60	HOME DEPOT INC	2,208	2,522
35	INGERSOLL RAND CO CL A	1,193	1,066
40	INTL BUSINESS MACH	3,132	7,355
30	JOHNSON & JOHNSON COM	1,743	1,967
17	LINCOLN NATL CORP IND	937	330
115	MARSH & MCLENNAN COS IN	3,808	3,636
210	NIPPON TELEG & TEL CORP SPON ADR	4,608	5,319
35	NOVARTIS AG SPON ADR	1,404	2,001
25	PPG INDUSTRIES INC	1,249	2,087
450	PULSE ELECTRONICS	5,985	1,260
85	RAYTHEON CO NEW	3,679	4,112
55	REGIS CORP MINN	753	910
10	RENAISSANCERE HOLDINGS LTD	459	744
250	STAPLES INC	4,510	3,473
130	TEVA PHARMACEUTICALS IND LTD	5,717	5,247
100	VALERO ENERGY CORP	1,676	2,105
30	VERIZON COMMUNICATIONS	856	1,204
85	WAL MART STORES INC	4,247	5,080
245	WESTERN UNION CO	4,078	4,474
100	XL GROUP PLC SHS	5,696	1,977
800	ABBOTT LABS	39,856	44,984
2,200	ALTRIA GROUP INC	24,756	65,230
175	APPLE INC	23,608	70,875
325	ARCOS DORADOS HOLDINGS INC	7,860	6,672
500	AUTOMATIC DATA PROCESSING	21,421	27,005
100	BECTON DICKINSON & CO	7,150	7,472

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
50	BLACKROCK INC	7,717	8,912
250	CATERPILLAR INC	18,906	22,650
850	CHEVRON CORP	38,972	90,440
2,100	COCA COLA CO COM	104,380	146,937
750	CONOCOPHILLIPS	37,113	54,652
1,940	EXXON MOBIL CO	78,975	164,434
175	FRANKLIN RESOURCES INC	17,510	16,810
400	FREEPORT-MCMORAN COPPER & GOLD INC	23,635	14,716
1,125	GENL ELECTRIC CO	38,776	20,149
125	GREEN MOUNTAIN COFFEE ROASTERS INC	8,752	5,606
700	INTEL CORP	28,921	16,975
1,500	JOHNSON & JOHNSON COM	80,786	98,370
725	JPMORGAN CHASE & CO	28,257	24,106
550	MCDONALDS CORP	15,631	55,181
450	MCGRAW HILL COMPANIES INC	11,187	20,236
350	MEDTRONIC INC	19,276	13,388
700	MERCK & CO	44,177	26,390
500	OCCIDENTAL PETROLEUM CORP	26,624	46,850
500	PEPSICO INC	24,782	33,175
2,300	PHILIP MORRIS INTL INC	58,973	180,504
500	PRAXAIR INC	25,588	53,450
1,250	PROCTOR & GAMBLE CO	55,000	83,388
500	RIO TINTO PLC SPON ADR	34,624	24,460
500	ROYAL DUTCH SHELL PLC CL A SPON ADR	32,184	36,545
575	TARGET CORP	21,741	29,452
900	TEXAS INSTRUMENTS	30,142	26,199
150	TIME WARNER CABLE INC	9,307	9,536
700	TOTAL S.A. FRANCE SPON ADR	44,483	35,777
350	UNTD TECHNOLOGIES CORP	26,022	25,582
200	WAL MART STORES INC	10,541	11,952
500	WALT DISNEY CO (HOLDING CO)	16,979	18,750
1,000	WALGREEN CO	35,635	33,060
200	ABBOTT LABS	9,096	11,246
850	ALTRIA GROUP INC	8,046	25,203
75	APPLE INC	10,135	30,375
100	ARCOS DORADOS HOLDINGS INC	2,422	2,053
150	AUTOMATIC DATA PROCESSING	6,166	8,102

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
15	BLACKROCK INC	2,319	2,674
100	CATERPILAR INC	5,997	9,060
175	CHEVRON CORP	8,437	18,620
600	COCA COLA CO COM	39,140	41,982
100	CONOCOPHILLIPS	6,008	7,287
550	EXXON MOBIL CO	23,754	46,618
150	FRANKLIN RESOURCES INC	5,007	4,803
150	FREEPORT-MCMORAN COPPER & GOLD INC	7,056	5,519
200	GENL ELECTRIC CO	7,271	3,582
135	HSBC HOLDINGS PLC NEW GB SPON ADR	2,496	5,144
225	INTEL CORP	7,384	5,456
310	JOHNSON & JOHNSON COM	14,066	20,330
250	JPMORGAN CHASE & CO	13,375	8,312
75	LAUDER ESTEE COS CL A	2,486	8,424
250	MCDONALDS CORP	11,921	25,083
150	MCGRAW HILL COMPANIES INC	3,872	6,745
200	MERCK & CO	16,227	7,540
370	NEWS CORP INC DELA CL A	4,864	6,600
150	OCCIDENTAL PETROLEUM CORP	12,604	14,055
200	PEPSICO INC	7,737	13,270
600	PHILIP MORRIS INTL INC	12,934	47,088
150	PRAXAIR INC	8,325	16,035
450	PROCTOR & GAMBLE CO	21,672	30,019
100	RIO TINTO PLC SPON ADR	6,963	4,892
150	ROYAL DUTCH SHELL PLC CL A SPON ADR	7,719	10,963
175	TARGET CORP	6,237	8,963
350	TEXAS INSTRUMENTS	11,299	10,189
100	TIME WARNER CABLE INC	6,021	6,357
200	TOTAL S.A. FRANCE SPON ADR	11,123	10,222
100	UNTD TECHNOLOGIES CORP	7,457	7,309
300	WALGREEN CO	8,920	9,918
254	AMCOR LTD ADR NEW AU ADR	5,286	7,493
446	ASTELLAS PHARMA INC	15,678	18,005
647	BANCO SANTANDER S.A. SPON ADR	7,813	4,865
141	BG GROUP PLC SPON ADR	7,648	15,087
457	BP PLC SPON ADR	28,912	19,532
527	CANON INC ADR JAPAN	19,587	23,209

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
2,460	CARREFOUR SA ADR	19,341	11,021
1,441	DEUTSCHE TELEKOM AG DE SPON	25,645	16,500
393	ENI SPA IT SPON ADR	16,746	16,219
1,144	FRANCE TELECOM SA SPON ADR	23,699	17,915
194	GDF SUEZ SPON ADR	7,623	5,270
542	GLAXO SMITHKLINE PLC ADR	27,699	24,731
503	IBERDROLA SA SPON ADR	16,864	12,122
1,316	ING GROUP N V NL SPON ADR	27,523	9,436
822	KAO CORP REPSTG 10 SHS COM SPON ADR	21,613	22,358
1,363	KONINKLIJKE AHOLD NV NEW 2007	17,695	18,332
114	NATIONAL GRID PLC NEW SPON ADR	5,175	5,527
505	NOVARTIS AG SPON ADR	27,637	28,871
233	QBE INS GROUP LTD SPON ADR	3,141	3,087
548	REED EKSEVIER NV REPSTG 2 ORD SHS SPON ADR	17,616	12,719
309	ROYAL DUTCH SHELL PLC CL A SPON ADR	20,657	22,585
538	RWE AG ADR	28,441	18,793
590	SANOFI SPON ADR	20,041	21,559
378	SEVEN & I HLDGS CO LTD ADR	17,649	20,885
516	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	9,793	12,327
997	SOCIETE GENERALE FRANCE SPONS ADR	21,433	4,347
1,268	TAIWAN SEMICONDUCTOR MGF CO	13,851	16,370
980	TAKEDA PHARMACEUTICAL CO	24,174	21,609
1,070	TELEFONICA S A SPON ADR	18,211	18,394
813	TELSTRA CORP LTD ADR	10,116	13,862
1,107	TESCO PLC SPONS ADR	21,933	20,856
318	TEVA PHARMACEUTICALS IND LTD	14,682	12,834
770	TOKIO MARINE HOLDINGS INC SPON ADR	24,114	16,940
447	TOTAL S.A. FRANCE SPON ADR	27,929	22,846
190	TOYOTA MOTOR CORP NEW JAPAN	14,159	12,565
734	UNILEVER PLC AMER SHS NEW SPON ADR	17,211	24,604
408	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE	7,947	9,612
743	VINCI SA ADR	9,525	8,069
612	VODAFONE GROUP PLC NEW SPON ADR	13,513	17,154
595	ZURICH FINANCIAL SVCS SPON ADR	14,144	13,495
71	AMCOR LTD ADR NEW AU ADR	1,478	2,095
128	ASTELLAS PHARMA INC	4,504	5,167
180	BANCO SANTANDER S.A. SPON ADR	2,178	1,354

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
39	BG GROUP PLC SPON ADR	1,570	4,173
141	BP PLC SPON ADR	9,010	6,026
148	CANON INC ADR JAPAN	5,220	6,518
685	CARREFOUR SA ADR	5,401	3,069
430	DEUTSCHE TELEKOM AG DE SPON	7,648	4,924
110	ENI SPA IT SPON ADR	4,675	4,540
319	FRANCE TELECOM SA SPON ADR	6,584	4,996
54	GDF SUEZ SPON ADR	2,122	1,467
151	GLAXO SMITHKLINE PLC ADR	7,786	6,890
140	IBERDROLA SA SPON ADR	4,701	3,374
331	ING GROUP N V NL SPON ADR	6,682	2,373
245	KAO CORP REPSTG 10 SHS COM SPON ADR	6,551	6,664
380	KONINKLIJKE AHOLD NV NEW 2007	4,914	5,111
32	NATIONAL GRID PLC NEW SPON ADR	1,455	1,551
135	NOVARTIS AG SPON ADR	7,361	7,718
65	QBE INS GROUP LTD SPON ADR	846	861
156	REED ELSEVIER NV REPSTG 2 ORD SHS SPON ADR	5,015	3,621
86	ROYAL DUTCH SHELL PLC CL A SPON ADR	5,740	6,286
150	RWE AG ADR	7,959	5,240
149	SANOFI-AVENTIS SA SPON ADR	5,007	5,444
105	SEVEN & I HLDGS CO LTD ADR	4,891	5,801
144	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	2,719	3,440
277	SOCIETE GENERALE FRANCE SPONS ADR	5,997	1,208
362	TAIWAN SEMICONDUCTOR MGF CO	3,900	4,673
273	TAKEDA PHARMACEUTICAL CO	6,728	6,020
301	TELEFONICA S A SPON ADR	5,086	5,174
227	TELSTRA CORP LTD ADR	2,815	3,870
342	TESCO PLC SPONS ADR	6,810	6,443
89	TEVA PHARMACEUTICALS IND LTD	4,107	3,592
215	TOKIO MARINE HOLDINGS INC SPON ADR	6,963	4,730
125	TOTAL S.A. FRANCE SPON ADR	7,871	6,389
50	TOYOTA MOTOR CORP NEW JAPAN	3,726	3,307
205	UNILEVER PLC AMER SHS NEW SPON ADR	4,769	6,872
117	UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE	2,282	2,757
213	VINCI SA ADR	2,732	2,313
175	VODAFONE GROUP PLC NEW SPON ADR	3,867	4,905
166	ZURICH FINANCIAL SVCS SPON ADR	3,940	3,765

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
797	ACCENTURE PLC IRELAND CL A	43,281	42,424
399	ALLERGAN INC	32,017	35,008
229	APPLE INC	71,797	92,745
37	AUTOZONE INC ADS REPSNTG CL A ORD	11,119	12,024
626	BAIDU INC ADS REPSNTG CL A ORD	62,794	72,910
532	BIOGEN IDEC INC	55,212	58,547
1,052	BRISTOL MYERS EQUIBB CO	34,899	37,072
399	CHECK POINT SOFTWARE TECH LTD ILS	22,364	20,963
555	COACH INC	34,575	33,877
171	COGNIZANT TECH SOLUTIONS CRP	11,134	10,997
494	CUMMINS INC	42,851	43,482
871	DANAHER CORP	38,128	40,972
70	DOLLAR TREE INC	5,781	5,818
840	DOW CHEMICAL	25,882	24,158
797	EATON CORP	37,535	34,693
97	GOOGLE INC CL A	58,697	62,652
1,737	HALLIBURTON CO (HOLDING CO)	81,111	59,944
814	HOME DEPOT INC	33,049	34,221
612	LAUDER ESTEE COS CL A	43,130	68,740
109	LULULEMON ATHLETICA INC	5,400	5,086
740	MCDONALDS CORP	52,398	74,244
694	MEAD JOHNSON NUTRITION NO COM STOCK	44,882	47,699
954	MONSANTO CO NEW	48,438	66,847
584	NATL OILWELLVARCO INC	39,742	39,706
797	NIKE INC CL B	49,823	76,807
394	O'REILLY AUTOMOTIVE INC	24,037	31,500
698	OCCIDENTAL PETROLEUM CORP	71,211	65,403
275	PPG INDUSTRIES INC	20,930	22,960
712	PRAXAIR INC	61,505	76,113
313	PRECISION CAST PARTS	44,247	51,579
156	PRICELINE.COM INC NEW	49,151	72,963
707	QUALCOMM INC	39,724	38,673
1,765	STARBUCKS CORP	58,520	81,208
427	STARWOOD HOTELS L& RESORTS WORLDWIDE INC NEV	10,191	20,483
357	TIFFANY & CO NEW	21,476	23,655
1,945	TIME WARNER CABLE INC	70,341	70,292
1,579	TJX COS INC NEW	75,615	101,924

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
659	UNION PACIFIC CORP	50,788	69,814
1,741	US BANCORP DEL (NEW)	43,195	47,094
710	VISA INC CL A	61,407	72,086
1,309	WELLS FARGO & CO NEW	34,876	36,076
569	WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	11,713	16,177
583	WYNN RESORTS LTD	68,348	64,416
549	YOUKU INC SPON ADR	22,130	8,603
954	YUM! BRANDS INC	45,717	56,296
232	ACCENTURE PLC IRELAND CL A	12,599	12,349
116	ALLERGAN INC	9,309	10,178
67	APPLE INC	20,825	27,135
11	AUTOZONE INC ADS REPSNTG CL A ORD	3,306	3,575
182	BAIDU INC ADS REPSNTG CL A ORD	18,063	21,198
155	BIOGEN IDEC INC	16,089	17,058
306	BRISTOL MYERS EQUIBB CO	10,150	10,783
116	CHECK POINT SOFTWARE TECH LTD ILS	6,503	6,095
161	COACH INC	10,026	9,827
50	COGNIZANT TECH SOLUTIONS CRP	3,255	3,216
144	CUMMINS INC	12,406	12,675
253	DANAHER CORP	11,033	11,901
20	DOLLAR TREE INC	1,652	1,662
244	DOW CHEMICAL	7,379	7,017
232	EATON CORP	10,930	10,099
28	GOOGLE INC CL A	16,914	18,085
505	HALLIBURTON CO (HOLDING CO)	23,577	17,428
237	HOME DEPOT INC	9,622	9,963
178	LAUDER ESTEE COS CL A	12,463	19,993
32	LULULEMON ATHLETICA INC	1,585	1,493
215	MCDONALDS CORP	14,980	21,571
202	MEAD JOHNSON NUTRITION NO COM STOCK	13,048	13,883
277	MONSANTO CO NEW	13,856	19,409
170	NATL OILWELLVARCO INC	11,568	11,558
232	NORDSTROM	14,195	22,358
115	O'REILLY AUTOMOTIVE INC	7,021	9,194
203	20,705	20,705	19,021
80	PPG INDUSTRIES INC	5,961	6,679
207	PRAXAIR INC	17,816	22,128

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
91	PRECISION CAST PARTS	12,862	14,996
45	PRICELINE.COM INC NEW	13,984	21,047
206	QUALCOMM INC	11,574	11,268
513	STARBUCKS CORP	17,011	23,603
124	STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	2,788	5,948
104	TIFFANY & CO NEW	6,079	6,891
565	TIME WARNER CABLE INC	20,536	20,419
459	TJX COS INC NEW	22,004	29,628
192	UNION PACIFIC CORP	14,550	20,340
506	US BANCORP DEL (NEW)	12,375	13,687
206	VISA INC CL A	17,818	20,915
380	WELLS FARGO & CO NEW	10,124	10,473
166	WELLS FARGO & CO NEW 8.000% PREFERRED CLBL	3,348	4,719
169	WYNN RESORTS LTD	19,829	18,673
159	YOUKU INC SPON ADR	6,416	2,492
277	YUM! BRANDS INC	13,249	16,346
1,830	ADOBE SYSTEMS INC (DELAWARE)	55,054	51,734
849	AMER TOWER CORP CL A	40,848	50,948
804	AMGEN INC	43,961	51,625
1,687	AUTODESK INC	56,368	51,167
883	BED BATH & BEYOND INC	42,250	51,188
761	CELGENE CORP	43,446	51,444
853	COACH INC	44,614	52,067
609	COSTCO WHOLESALE CORP	40,794	50,742
2,353	EMC CORP MASS	61,318	50,684
2,122	INTEL CORP	46,457	51,459
280	INTL BUSINESS MACH	39,849	51,486
1,684	JOHNSON CONTROLS INC	60,871	52,642
1,985	LOWES COMPANIES INC	48,741	50,379
540	NIKE INC CL B	45,771	52,040
1,034	NORDSTROM INC	44,724	51,400
1,995	ORACLE CORP	55,341	51,172
940	QUALCOMM INC	42,529	51,418
991	TARGET CORP	55,850	50,759
1,295	VERIZON COMMUNICATIONS	42,472	51,955
743	WHOLE FOODS MARKET INC	44,844	51,698
4,942	ADOBE SYSTEMS INC (DELAWARE)	148,685	139,710

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
2,292	AMER TOWER CORP CL A	113,657	137,543
2,171	AMGEN INC	121,594	139,400
4,554	AUTODESK INC	156,241	138,123
2,385	BED BATH & BEYOND INC	116,064	138,258
2,054	CELGENE CORP	117,615	138,850
2,303	COACH INC	125,657	140,575
1,644	COSTCO WHOLESALE CORP	113,875	136,978
6,353	EMC CORP MASS	165,559	136,844
5,731	INTEL CORP	123,601	138,977
757	INTL BUSINESS MACH	110,152	139,197
4,548	JOHNSON CONTROLS INC	165,713	142,170
5,360	LOWES COMPANIES INC	130,736	136,037
1,457	NIKE INC CL B	125,734	140,411
2,791	NORDSTROM INC	120,728	138,741
5,387	ORACLE CORP	153,551	138,177
2,537	QUALCOMM INC	119,081	138,774
2,677	TARGET CORP	152,328	137,116
3,496	VERIZON COMMUNICATIONS	119,213	140,260
2,005	WHOLE FOODS MARKET INC	121,003	139,508
680	ALLIANZ SE ADR	7,024	6,440
270	AXA ADR	4,373	3,472
120	BASF SE SPON ADR	6,637	8,368
260	BHP BILLITON LTD SPON ADR	16,839	18,364
130	BRITISH AMER TOBACCO PLC GB SPON ADR	7,744	12,334
150	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	3,705	4,122
230	CANADIAN PAC RAILWAY LTD CAD	13,213	15,564
360	CANADIAN NAT RESOURCES LTD CAD	14,177	13,453
160	CDN NATL RAILWAY CO CAD	9,565	12,570
220	COOPER INDUSTRIES PLC NEW CL A	10,942	11,913
50	CORE LABORATORIES N V EUR	3,506	5,698
140	DIAGEO PLC NEW GB SPON ADR	8,916	12,239
150	ENSIGN ENERGY SERVICES INC CAD	1,825	2,387
35	FINNING INTL CAD	922	758
230	INGERSOLL RAND CO CL A	8,788	7,008
340	MANULIFE FINANCIAL CORP CAD	5,308	3,611
830	NABORS INDUSTRIES LTD NEW	15,841	14,392
310	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	14,455	17,890

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
600	NOBLE CORP CHF	20,173	18,132
150	NOVARTIS AG SPON ADR	7,020	8,576
60	PARTNERRE LTD ORD	4,577	3,853
360	POTASH CORP SASK INC CANADA CAD	11,918	14,861
310	RIO TINTO PLC SPON ADR	14,020	15,165
250	SCHLUMBERGER LTD NETHERLANDS ANTILLES	15,853	17,078
600	SUNCOR ENERGY INC NEW CAD	17,876	17,298
300	TALISMAN ENERGY INC CAD	4,705	3,825
370	TENARIS SA ADS	13,737	13,757
350	TRANSOCEAN LTD CHF	21,698	13,437
285	UNILEVER NV NY SHS NEW NETHERLANDS SPON ADR	7,891	9,795
550	VALE SA-SP SPON ADR	14,677	11,798
1,150	WEATHERFORD INTL LTD CHF	17,419	16,836
25	YARA INTL ASA SPON ADR	785	996
220	ALLIANZ SE ADR	2,272	2,083
90	AXA ADR	1,460	1,157
40	BASF SE SPON ADR	2,212	2,789
80	BHP BILLITON LTD SPON ADR	5,181	5,650
40	BRITISH AMER TOBACCO PLC GB SPON ADR	2,383	3,795
45	BROOKFIELD ASSET MGMT INC LTD VTG SHS CL A	1,111	1,237
70	CANADIAN PAC RAILWAY LTD CAD	4,002	4,737
75	CANADIAN NAT RESOURCES LTD CAD	2,636	2,803
50	CDN NATL RAILWAY CO CAD	2,964	3,928
70	COOPER INDUSTRIES PLC NEW CL A	3,469	3,791
20	CORE LABORATORIES N V EUR	1,402	2,279
45	DIAGEO PLC NEW GB SPON ADR	2,866	3,934
50	ENSIGN ENERGY SERVICES INC CAD	608	796
10	FINNING INTL CAD	263	217
75	INGERSOLL RAND CO CL A	2,866	2,285
110	MANULIFE FINANCIAL CORP CAD	1,690	1,168
260	NABORS INDUSTRIES LTD NEW	4,962	4,508
100	NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ	4,663	5,771
175	NOBLE CORP CHF	5,884	5,289
50	NOVARTIS AG SPON ADR	2,340	2,859
20	PARTNERRE LTD ORD	1,534	1,284
125	POTASH CORP SASK INC CANADA CAD	4,138	5,160
110	RIO TINTO PLC SPON ADR	4,975	5,381

SCHEDULE 2

ASSET LIST

HARRIS & ELIZA KEMPNER FUND

76-0680130

2011 FORM 990-PF

SHARES OR PAR VALUE	DESCRIPTION	BOOK VALUE	MARKET VALUE
80	SCHLUMBERGER LTD NETHERLANDS ANTILLES	5,073	5,465
180	SUNCOR ENERGY INC NEW CAD	5,381	5,189
90	TALISMAN ENERGY INC CAD	1,435	1,148
120	TENARIS SA ADS	4,455	4,462
110	TRANSOCEAN LTD CHF	6,883	4,223
90	UNILEVER NV NY SHS NEW NETHERLANDS SPON ADR	2,492	3,093
170	VALE SA-SP SPON ADR	4,593	3,647
370	WEATHERFORD INTL LTD CHF	5,604	5,417
10	YARA INTL ASA SPON ADR	313	399
4,450	SPDR S&P 500 ETF TR	498,473	558,475
	LESS: UNSETTLED TRANSACTIONS	0	0
TOTAL INVESTMENTS - COMMON STOCK		22,940,459	22,531,434

PAGE 2, PART II, LINE 13

4	FOSTER FARMS, INC.	280	280
3,601	H KEMPNER CBI	417,916	1,277,131
3,601	KEMPNER SECURITIES LP	5,862,181	5,432,253
3,601	GALVESTON FINALE LP	133,960	296,074
3,601	BLACKSTONE MINERALS LP	82,438	1,946,534
TOTAL INVESTMENTS - OTHER		6,496,775	8,952,272



[Home](#) [Introduction](#) [Administration](#) [Financials](#) [Schedule of Grants Paid](#) [Application Info.](#) [Contact Us](#)

application guidelines

Directors will consider one proposal per organization per year.

Deadlines for receipt of proposals: March 15 and October 15. (If deadline falls on a weekend, proposals will be due the next business day.) Notification of director's decisions: May and December

Proposals are accepted via e-mail at application@kempnerfund.org (please verify receipt)

Include the following information as outlined below. Please provide brief but complete answers. Your proposal should be no more than 10 pages excluding attachments.

- **Cover letter signed by the Executive Director and the Board Chair/President**
- **Proposal Summary Sheet form:** ([Click here for PDF form](#) | [click here for MS Word form](#))
- **Project/Program Information**
 - Detailed description
 - Collaboration

Do you collaborate with other organizations on this project? Briefly describe the roles of each organization. Is anyone else providing this service or program? How does your project/service/program differ?
 - Timeline/Grant Period
 - Budget (income and expenses)
 - Other funding sources, amounts being solicited, received or pledged
 - If none raised to date, describe how successful your organization has been in raising funds in past years. What will happen to this project if you are unable to raise the full amount needed?
 - Plans for evaluation (this section not necessary for operations only requests)
 - Specify expected outcomes, how these outcomes will be measured, monitored and what impact these outcomes will have on the project or issue.
- **Organization Information**
 - Brief History
 - Mission Statement
 - Number of Board meetings per year
 - List of current officers and board members
 - Describe director involvement; attendance at board meetings, financial or time contributions.
 - Post 1969 IRS Letter (first time applicant only)
 - Statement of no change in IRS status since issue of non-profit status.
 - Independent Auditors Report (you may provide links if available)

Call for alternatives if you do not have an audit.
 - Financial Statements (year-to-date) for organization (you may provide links if available)

Harris & Eliza Kempner Fund
2201 Market Street Suite 1250
Galveston, Texas 77550-1529
E-Mail us at information@kempnerfund.org
Phone: 409.762.1603
FAX: 409.762.5435

Copyright ©2001-2011 Harris & Eliza Kempner Fund. All rights reserved.
 Another website by Galveston.com & Co

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED
D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
1,900.00	ALCOA INC		P 05/12/05	04/07/11	34,422.58	52,850.54	(18,427.96)
200	ALCOA INC		P 09/23/05	04/07/11	3,623.43	4,823.39	(1,199.96)
1,100.00	ARCHER DANIELS MIDLAND	CO	P 08/05/08	04/01/11	39,872.01	28,565.11	11,306.90
500	ARCHER DANIELS MIDLAND	CO	P 09/29/08	04/01/11	18,123.64	11,097.75	7,025.89
1,000.00	ARCHER DANIELS MIDLAND	CO	P 10/02/08	04/01/11	36,247.28	19,016.54	17,230.74
1,200.00	CARLISLE COS INC		P 06/27/08	02/14/11	52,013.75	34,639.70	17,374.05
1,400.00	CARLISLE COS INC		P 06/27/08	02/07/11	54,523.70	40,412.99	14,110.71
900	CARLISLE COS INC		P 06/27/08	04/26/11	42,681.88	25,979.78	16,702.10
200	CARLISLE COS INC		P 04/21/09	04/26/11	9,484.86	3,963.88	5,520.98
1,000.00	CARLISLE COS INC		P 04/21/09	10/21/11	39,143.99	19,819.37	19,324.62
800	CONOCOPHILLIPS		P 02/20/09	01/26/11	54,753.69	32,454.10	22,299.59
1,100.00	CONOCOPHILLIPS		P 02/20/09	02/23/11	86,563.08	44,624.39	41,938.69
1,300.00	DOVER CORP		P 10/24/07	01/28/11	79,230.75	60,081.51	19,149.24
1,200.00	DOVER CORP		P 01/04/08	01/28/11	73,136.07	52,687.66	20,448.41
1,200.00	GENWORTH FINANCIAL INC	CL A	P 08/10/07	09/27/11	6,834.61	31,999.40	(25,164.79)
2,160.00	GENWORTH FINANCIAL INC	CL A	P 08/10/07	12/02/11	14,164.07	57,598.92	(43,434.85)
840	GENWORTH FINANCIAL INC	CL A	P 08/10/07	10/27/11	5,563.84	22,399.58	(16,835.74)
2,100.00	GRANITE CONSTRUCTION CO	INC	P 05/01/08	01/24/11	55,540.25	65,346.75	(9,806.50)
900	GRANITE CONSTRUCTION CO	INC	P 10/24/08	01/24/11	23,802.97	19,863.75	3,939.22
450	INTL BUSINESS MACH		P 06/07/06	10/14/11	84,548.12	36,279.84	48,268.28
500	NINTENDO LTD ADR NEW	JAPAN ADR	P 10/06/09	05/06/11	15,348.32	15,518.27	(169.95)
1,900.00	NINTENDO LTD ADR NEW	JAPAN ADR	P 10/06/09	02/16/11	72,573.35	58,969.44	13,603.91
1,002.00	NINTENDO LTD ADR NEW	JAPAN ADR	P 12/03/09	06/17/11	23,741.69	29,425.92	(5,684.23)
1,398.00	NINTENDO LTD ADR NEW	JAPAN ADR	P 12/03/09	05/06/11	42,913.90	41,055.33	1,858.57
700	PENNEY J C CO INC (HLDG)	CO)	P 11/15/07	03/11/11	26,296.33	31,122.58	(4,826.25)
1,000.00	PENNEY J C CO INC (HLDG)	CO)	P 11/19/07	03/11/11	37,566.19	42,530.25	(4,964.06)
750	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	12/22/11	55,081.19	34,324.84	20,756.35
500	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	04/28/11	35,529.06	22,883.23	12,645.83
800	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	04/07/11	57,153.65	36,613.17	20,540.48
3,700.00	STHN UNION COS NEW		P 02/09/06	06/24/11	145,956.94	89,969.09	55,987.85
100	STHN UNION COS NEW		P 02/09/06	07/05/11	4,126.20	2,431.60	1,694.60
2,800.00	STHN UNION COS NEW		P 05/19/06	07/05/11	115,533.58	65,567.25	49,966.33
2,500.00	STHN UNION COS NEW		P 09/09/08	07/06/11	104,992.93	59,666.73	45,326.20
600	STHN UNION COS NEW		P 09/09/08	07/05/11	24,757.19	14,320.02	10,437.17
1,400.00	STHN UNION COS NEW		P 10/10/08	07/14/11	60,868.54	20,955.90	39,912.64
100	STHN UNION COS NEW		P 10/10/08	07/06/11	4,199.72	1,496.85	2,702.87
1,200.00	STHN UNION COS NEW		P 03/02/09	07/14/11	52,173.03	15,335.25	36,837.78
55	VERIZON COMMUNICATIONS	INC	P 02/05/02	02/17/11	1,999.08	2,223.92	(224.84)
1,300.00	VERIZON COMMUNICATIONS	INC	P 09/30/03	03/29/11	49,692.79	37,932.15	11,760.64
1,745.00	VERIZON COMMUNICATIONS	INC	P 09/30/03	02/17/11	63,425.41	50,916.63	12,508.78
	FROM KEMPNER SECURITIES LP K-1		P		204,970.00	-	204,970.00
	FROM KEMPNER SECURITIES LP K-1		P		30,280.00		30,280.00
	ROUNDING AND ADJUSTMENT		P			11.98	(11.98)
450	ALCOA INC		P 05/12/05	04/07/11	8,148.59	12,518.44	(4,369.85)

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
400	ARCHER DANIELS MIDLAND	CO	P	08/05/08	04/01/11	14,496.72	10,391.25	4,105.47
100	ARCHER DANIELS MIDLAND	CO	P	09/29/08	04/01/11	3,624.18	2,223.75	1,400.43
200	ARCHER DANIELS MIDLAND	CO	P	10/02/08	04/01/11	7,248.36	3,804.17	3,444.19
250	CARLISLE COS INC		P	06/27/08	02/14/11	10,832.04	7,217.63	3,614.41
400	CARLISLE COS INC		P	06/27/08	02/07/11	15,574.45	11,548.21	4,026.24
250	CARLISLE COS INC		P	06/27/08	04/26/11	11,852.89	7,217.63	4,635.26
50	CARLISLE COS INC		P	04/21/09	04/26/11	2,370.58	991.63	1,378.95
250	CARLISLE COS INC		P	04/21/09	10/21/11	9,782.06	4,958.12	4,823.94
200	CONOCOPHILLIPS		P	11/20/08	01/26/11	13,684.48	8,881.67	4,802.81
100	CONOCOPHILLIPS		P	11/20/08	02/23/11	7,868.15	4,440.82	3,427.33
120	CONOCOPHILLIPS		P	02/20/09	02/23/11	9,441.79	4,873.05	4,568.74
90	CONOCOPHILLIPS		P	03/02/09	02/23/11	7,081.34	3,224.53	3,856.81
500	DOVER CORP		P	10/24/07	01/28/11	30,470.03	23,110.13	7,359.90
100	DOVER CORP		P	01/04/08	01/28/11	6,094.01	4,391.03	1,702.98
300	GENWORTH FINANCIAL INC	CL A	P	08/10/07	09/27/11	1,704.71	8,000.81	(6,296.10)
580	GENWORTH FINANCIAL INC	CL A	P	08/10/07	12/02/11	3,799.47	15,468.24	(11,668.77)
220	GENWORTH FINANCIAL INC	CL A	P	08/10/07	10/27/11	1,453.32	5,867.26	(4,413.94)
550	GRANITE CONSTRUCTION CO	INC	P	05/01/08	01/24/11	14,541.97	17,118.50	(2,576.53)
120	INTL BUSINESS MACH		P	06/07/06	10/14/11	22,542.31	9,674.40	12,867.91
500	NINTENDO LTD ADR NEW	JAPAN ADR	P	10/06/09	02/16/11	19,094.38	15,520.42	3,573.96
110	NINTENDO LTD ADR NEW	JAPAN ADR	P	10/06/09	06/17/11	2,606.21	3,414.49	(808.28)
675	NINTENDO LTD ADR NEW	JAPAN ADR	P	12/03/09	06/17/11	15,992.68	19,826.62	(3,833.94)
200	PENNEY J C CO INC (HLDG	CO)	P	11/15/07	03/11/11	7,511.75	8,891.17	(1,379.42)
300	PENNEY J C CO INC (HLDG	CO)	P	11/19/07	03/11/11	11,267.63	12,762.75	(1,495.12)
200	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	12/22/11	14,684.46	9,154.31	5,530.15
125	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	04/28/11	8,878.32	5,721.45	3,156.87
200	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	04/07/11	14,284.47	9,154.31	5,130.16
1,000.00	STHN UNION COS NEW		P	02/09/06	06/24/11	39,475.49	24,318.50	15,156.99
100	STHN UNION COS NEW		P	02/09/06	07/05/11	4,119.34	2,431.85	1,687.49
700	STHN UNION COS NEW		P	05/19/06	07/05/11	28,835.35	16,395.75	12,439.60
700	STHN UNION COS NEW		P	09/09/08	07/06/11	29,394.18	16,710.09	12,684.09
100	STHN UNION COS NEW		P	09/09/08	07/05/11	4,119.34	2,387.16	1,732.18
600	STHN UNION COS NEW		P	03/02/09	07/14/11	26,076.24	7,670.25	18,405.99
500	VERIZON COMMUNICATIONS	INC	P	02/05/02	02/17/11	18,169.40	20,214.97	(2,045.57)
100	VERIZON COMMUNICATIONS	INC	P	02/05/02	03/29/11	3,821.61	4,042.98	(221.37)
300	VERIZON COMMUNICATIONS	INC	P	09/30/03	03/29/11	11,464.84	8,757.69	2,707.15
10	ALCOA INC		P	05/12/05	04/07/11	180.39	278.73	(98.34)
55	ALCOA INC		P	09/23/05	04/07/11	992.13	1,329.21	(337.08)
20	ARCHER DANIELS MIDLAND	CO	P	08/05/08	04/01/11	723.49	522.30	201.19
10	ARCHER DANIELS MIDLAND	CO	P	09/29/08	04/01/11	361.74	227.10	134.64
40	ARCHER DANIELS MIDLAND	CO	P	10/02/08	04/01/11	1,446.97	763.23	683.74
20	CARLISLE COS INC		P	06/27/08	02/07/11	773.73	578.61	195.12
15	CARLISLE COS INC		P	06/27/08	10/21/11	581.98	433.96	148.02
20	CARLISLE COS INC		P	06/27/08	04/26/11	943.33	578.61	364.72

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
15	CARLISLE COS INC		P 06/27/08	02/14/11	644.98	433.96	211.02
20	CONOCOPHILLIPS		P 02/20/09	01/26/11	1,363.72	813.93	549.79
20	CONOCOPHILLIPS		P 02/20/09	02/23/11	1,569.77	813.93	755.84
5	CONOCOPHILLIPS		P 03/02/09	02/23/11	392.44	179.82	212.62
25	DOVER CORP		P 10/24/07	01/28/11	1,521.33	1,157.12	364.21
30	DOVER CORP		P 01/04/08	01/28/11	1,825.60	1,319.12	506.48
45	GENWORTH FINANCIAL INC	CL A	P 08/10/07	12/02/11	289.94	1,202.41	(912.47)
20	GENWORTH FINANCIAL INC	CL A	P 08/10/07	10/27/11	127.34	534.40	(407.06)
25	GENWORTH FINANCIAL INC	CL A	P 08/10/07	09/27/11	137.24	668.01	(530.77)
45	GRANITE CONSTRUCTION CO	INC	P 05/01/08	01/24/11	1,187.44	1,405.42	(217.98)
40	GRANITE CONSTRUCTION CO	INC	P 10/24/08	01/24/11	1,055.51	887.85	167.66
10	INTL BUSINESS MACH		P 06/07/06	10/14/11	1,873.71	808.15	1,065.56
40	NINTENDO LTD ADR NEW	JAPAN ADR	P 10/06/09	02/16/11	1,522.72	1,243.73	278.99
20	NINTENDO LTD ADR NEW	JAPAN ADR	P 10/06/09	06/17/11	472.68	621.87	(149.19)
60	NINTENDO LTD ADR NEW	JAPAN ADR	P 12/03/09	06/17/11	1,418.03	1,767.15	(349.12)
20	PENNEY J C CO INC (HLDG	CO)	P 11/15/07	03/11/11	748.76	893.80	(145.04)
20	PENNEY J C CO INC (HLDG	CO)	P 11/19/07	03/11/11	748.76	855.75	(106.99)
15	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	12/22/11	1,096.47	687.46	409.01
10	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	04/28/11	705.43	458.31	247.12
20	RENAISSANCERE HOLDINGS	LTD	P 04/14/05	04/07/11	1,423.72	916.61	507.11
70	STHN UNION COS NEW		P 02/09/06	06/24/11	2,760.57	1,705.39	1,055.18
30	STHN UNION COS NEW		P 05/19/06	07/05/11	1,234.62	705.07	529.55
30	STHN UNION COS NEW		P 05/19/06	06/24/11	1,183.10	705.08	478.02
15	STHN UNION COS NEW		P 09/09/08	07/06/11	628.86	358.96	269.90
65	STHN UNION COS NEW		P 09/09/08	07/05/11	2,675.00	1,555.49	1,119.51
75	STHN UNION COS NEW		P 10/10/08	07/14/11	3,254.93	1,125.40	2,129.53
55	STHN UNION COS NEW		P 10/10/08	07/06/11	2,305.83	825.30	1,480.53
25	VERIZON COMMUNICATIONS	INC	P 09/30/03	03/29/11	950.48	730.84	219.64
35	VERIZON COMMUNICATIONS	INC	P 09/30/03	02/17/11	1,266.97	1,023.18	243.79
5	ALCOA INC		P 05/12/05	04/07/11	89.72	139.66	(49.94)
25	ALCOA INC		P 09/23/05	04/07/11	448.61	605.26	(156.65)
10	ARCHER DANIELS MIDLAND	CO	P 08/05/08	04/01/11	361.18	262.28	98.90
5	ARCHER DANIELS MIDLAND	CO	P 09/29/08	04/01/11	180.59	116.17	64.42
25	ARCHER DANIELS MIDLAND	CO	P 10/02/08	04/01/11	902.95	477.66	425.29
10	CARLISLE COS INC		P 06/27/08	10/21/11	386.24	289.82	96.42
10	CARLISLE COS INC		P 06/27/08	04/26/11	469.04	289.82	179.22
10	CARLISLE COS INC		P 06/27/08	02/14/11	428.24	289.82	138.42
10	CARLISLE COS INC		P 06/27/08	02/07/11	384.24	289.82	94.42
10	CONOCOPHILLIPS		P 02/20/09	02/23/11	783.48	408.28	375.20
10	CONOCOPHILLIPS		P 02/20/09	01/26/11	679.23	408.28	270.95
5	CONOCOPHILLIPS		P 03/02/09	02/23/11	391.74	180.39	211.35
15	DOVER CORP		P 10/24/07	01/28/11	911.61	695.19	216.42
15	DOVER CORP		P 01/04/08	01/28/11	911.61	660.54	251.07
15	GENWORTH FINANCIAL INC	CL A	P 08/10/07	09/27/11	80.24	401.41	(321.17)

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
25	GENWORTH FINANCIAL INC	CL A	P	08/10/07	12/02/11	158.74	669.01	(510.27)
10	GENWORTH FINANCIAL INC	CL A	P	08/10/07	10/27/11	61.04	267.60	(206.56)
25	GRANITE CONSTRUCTION CO	INC	P	05/01/08	01/24/11	658.32	783.12	(124.80)
20	GRANITE CONSTRUCTION CO	INC	P	10/24/08	01/24/11	526.65	446.55	80.10
5	INTL BUSINESS MACH		P	06/07/06	10/14/11	934.23	404.67	529.56
5	NINTENDO LTD ADR NEW	JAPAN ADR	P	10/06/09	05/06/11	152.75	155.76	(3.01)
25	NINTENDO LTD ADR NEW	JAPAN ADR	P	10/06/09	02/16/11	949.73	778.79	170.94
30	NINTENDO LTD ADR NEW	JAPAN ADR	P	12/03/09	05/06/11	916.47	886.20	30.27
10	PENNEY J C CO INC (HLDG	CO)	P	11/15/07	03/11/11	373.07	447.80	(74.73)
10	PENNEY J C CO INC (HLDG	CO)	P	11/19/07	03/11/11	373.07	430.50	(57.43)
10	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	04/07/11	709.23	458.70	250.53
10	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	12/22/11	729.23	458.70	270.53
5	RENAISSANCERE HOLDINGS	LTD	P	04/14/05	04/28/11	350.09	229.35	120.74
40	STHN UNION COS NEW		P	02/09/06	06/24/11	1,573.30	976.10	597.20
5	STHN UNION COS NEW		P	05/19/06	06/24/11	196.66	117.95	78.71
25	STHN UNION COS NEW		P	05/19/06	07/05/11	1,029.56	589.75	439.81
20	STHN UNION COS NEW		P	09/09/08	07/05/11	823.65	479.93	343.72
20	STHN UNION COS NEW		P	09/09/08	07/06/11	836.48	479.92	356.56
10	STHN UNION COS NEW		P	10/10/08	07/06/11	418.24	151.15	267.09
25	STHN UNION COS NEW		P	10/10/08	07/14/11	1,083.73	377.87	705.86
10	STHN UNION COS NEW		P	03/02/09	07/14/11	433.49	133.00	300.49
15	VERIZON COMMUNICATIONS	INC	P	09/30/03	03/29/11	568.18	438.53	129.65
15	VERIZON COMMUNICATIONS	INC	P	09/30/03	02/17/11	539.95	438.53	101.42
	FROM KEMPNER SECURITIES LP K-1		P			571.00	-	571.00
	FROM KEMPNER SECURITIES LP K-1		P			84.00	-	84.00
24,000.00	US TSY INFL PROT BOND	01.375 % DUE 01/15/20	P	01/11/10	08/03/11	28,022.77	24,949.21	3,073.56
20,000.00	US TSY INFL PROT BOND	1.750 % DUE 01/15/28	P	12/22/09	08/03/11	24,814.18	20,778.97	4,035.21
16,000.00	US TSY INFL PROT BOND	02.375 % DUE 01/15/25	P	11/24/08	08/03/11	23,751.75	17,094.61	6,657.14
15,000.00	US TSY INFL PROT BOND	02.375 % DUE 01/15/25	P	01/20/09	08/03/11	22,267.26	17,733.12	4,534.14
8,000.00	US TSY INFL PROT BOND	02.375 % DUE 01/15/25	P	08/03/09	08/03/11	11,875.87	9,769.80	2,106.07
10,000.00	US TSY INFL PROT NOTE	01.375 % DUE 07/15/18	P	12/11/08	08/03/11	11,768.28	9,627.71	2,140.57
7,000.00	US TSY INFL PROT NOTE	01.375 % DUE 07/15/18	P	01/20/09	08/03/11	8,237.80	7,017.05	1,220.75
13,000.00	US TSY INFL PROT NOTE	01.875 % DUE 07/15/13	P	12/11/08	08/03/11	17,015.77	14,893.88	2,121.89
147,000.00	US TSY INFL PROT NOTE	02.375 % DUE 04/15/11	P	06/23/06	04/15/11	163,472.82	163,064.14	408.68
25,000.00	US TSY INFL PROT NOTE	02.375 % DUE 04/15/11	P	10/20/08	04/15/11	27,801.50	26,811.07	990.43
89,000.00	US TSY INFL PROT NOTE	02.375 % DUE 04/15/11	P	12/11/08	04/15/11	98,973.34	95,143.37	3,829.97
72,000.00	US TSY INFL PROT NOTE	02.375 % DUE 04/15/11	P	01/20/09	04/15/11	80,068.32	79,823.36	244.96
33,000.00	US TSY INFL PROT NOTE	02.500 % DUE 07/15/16	P	07/13/06	08/03/11	43,131.81	36,759.90	6,371.91
73,000.00	US TSY INFL PROT NOTE	02.625 % DUE 07/15/17	P	11/17/08	08/03/11	95,099.58	76,550.29	18,549.29
269,000.00	US TSY INFL PROT NOTE	03.500 % DUE 01/15/11	P	12/05/01	01/15/11	338,098.03	340,844.78	(2,746.75)
47,000.00	US TSY INFL PROT NOTE	03.500 % DUE 01/15/11	P	10/20/08	01/15/11	59,072.89	58,560.79	512.10
113,000.00	US TSY INFL PROT NOTE	03.500 % DUE 01/15/11	P	11/25/08	01/15/11	142,026.31	138,080.35	3,945.96
31,000.00	US TSY NOTE 02.125 %	DUE 02/15/40	P	02/22/10	08/03/11	39,877.74	31,673.74	8,204.00
33,000.00	US TSY NOTE 02.500 %	DUE 01/15/29	P	03/10/09	08/03/11	44,225.05	34,642.47	9,582.58

SCHEDULE D-2

**LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.**

2011 FORM 990-PF

TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
125	CISCO SYSTEMS INC	P	11/12/07	10/17/11	2,168.45	3,688.89	(1,520.44)
40	JOHNSON & JOHNSON COM	P	03/22/99	11/18/11	2,559.10	1,814.95	744.15
100	MEDTRONIC INC	P	11/12/07	10/26/11	3,393.03	4,642.75	(1,249.72)
150	PHILIP MORRIS INTL INC	P	03/25/99	11/18/11	10,898.79	3,240.18	7,658.61
8,000.00	US TSY INFL PROT BOND 01.375 % DUE 01/15/20	P	01/11/10	08/03/11	9,327.30	8,316.68	1,010.62
6,000.00	US TSY INFL PROT BOND 01.750 % DUE 01/15/28	P	12/22/09	08/03/11	7,424.15	6,235.00	1,189.15
6,000.00	US TSY INFL PROT BOND 02.375 % DUE 01/15/25	P	11/24/08	08/03/11	8,886.17	6,411.56	2,474.61
19,000.00	US TSY INFL PROT NOTE 01.375 % DUE 07/15/18	P	08/03/09	08/03/11	22,370.46	19,349.28	3,021.18
5,000.00	US TSY INFL PROT NOTE 01.875 % DUE 07/15/13	P	12/11/08	08/03/11	6,532.68	5,744.21	788.47
9,000.00	US TSY INFL PROT NOTE 02.375 % DUE 04/15/11	P	06/23/06	04/15/11	10,008.54	9,983.52	25.02
3,000.00	US TSY INFL PROT NOTE 02.375 % DUE 04/15/11	P	10/20/08	04/15/11	3,336.18	3,217.33	118.85
30,000.00	US TSY INFL PROT NOTE 02.375 % DUE 04/15/11	P	12/11/08	04/15/11	33,361.80	32,074.28	1,287.52
26,000.00	US TSY INFL PROT NOTE 02.375 % DUE 04/15/11	P	01/20/09	04/15/11	28,913.56	28,810.38	103.18
13,000.00	US TSY INFL PROT NOTE 02.625 % DUE 07/15/17	P	11/17/08	08/03/11	16,938.42	13,632.91	3,305.51
75,000.00	US TSY INFL PROT NOTE 03.500 % DUE 01/15/11	P	12/05/01	01/15/11	94,265.25	95,031.07	(765.82)
13,000.00	US TSY INFL PROT NOTE 03.500 % DUE 01/15/11	P	10/20/08	01/15/11	16,339.31	16,201.46	137.85
38,000.00	US TSY INFL PROT NOTE 03.500 % DUE 01/15/11	P	11/25/08	01/15/11	47,761.06	46,437.59	1,323.47
8,000.00	US TSY NOTE 02.125 % DUE 02/15/40	P	02/22/10	08/03/11	10,241.62	8,174.43	2,067.19
12,000.00	US TSY NOTE 02.500 % DUE 01/15/29	P	03/10/09	08/03/11	16,049.88	12,597.52	3,452.36
98	AMCOR LTD NEW AU ADR	P	07/19/05	05/06/11	2,933.35	2,039.38	893.97
369	BANCO SANTANDER S.A SPON ADR	P	07/19/05	01/21/11	4,546.84	4,451.06	95.78
54	BG GROUP PLC SPON ADR	P	07/19/05	01/28/11	5,874.15	2,174.04	3,700.11
49	BP PLC SPON ADR	P	07/19/05	11/22/11	2,017.90	3,200.68	(1,182.78)
58	CANON INC ADR JAPAN SPON ADR	P	07/19/05	07/05/11	2,763.64	2,102.55	661.09
811	FOSTERS GROUP LTD NEW SPONS ADR	P	07/19/05	05/31/11	3,740.98	2,742.71	998.27
805	FOSTERS GROUP LTD NEW SPONS ADR	P	07/19/05	05/20/11	3,736.09	2,722.42	1,013.67
666	FOSTERS GROUP LTD NEW SPONS ADR	P	07/19/05	04/01/11	3,929.99	2,743.92	1,186.07
135	GLAXO SMITHKLINE PLC ADR	P	07/19/05	05/31/11	5,855.52	6,355.80	(500.28)
89	GLAXO SMITHKLINE PLC ADR	P	07/19/05	08/16/11	3,736.18	4,190.12	(453.94)
80	IBERDROLA SA SPON ADR	P	02/02/09	07/05/11	2,809.54	2,442.15	367.39
73	IBERDROLA SA SPON ADR	P	02/02/09	08/24/11	2,123.76	2,228.47	(104.71)
55	IBERDROLA SA SPON ADR	P	07/16/09	08/24/11	1,600.09	1,752.01	(151.92)
98	KAO CORP REPSTG 10 SHS COM SPON ADR	P	05/23/06	11/22/11	2,589.11	2,587.25	1.86
55	KAO CORP REPSTG 10 SHS COM SPON ADR	P	05/24/06	11/22/11	1,453.07	1,427.68	25.39
64	NATIONAL GRID PLC NEW SPON ADR	P	07/19/05	08/24/11	3,215.73	3,294.26	(78.53)
9	NATIONAL GRID PLC NEW SPON ADR	P	10/31/05	08/24/11	452.21	414.90	37.31
60	NIPPON TELEG & TEL CORP SPON ADR	P	07/19/05	01/13/11	1,342.29	1,315.64	26.65
87	NIPPON TELEG & TEL CORP SPON ADR	P	03/08/06	01/13/11	1,946.33	1,858.99	87.34
223	NIPPON TELEG & TEL CORP SPON ADR	P	03/08/06	01/24/11	5,083.07	4,765.00	318.07
51	NOVARTIS AG SPON ADR	P	06/18/07	07/05/11	3,144.59	2,845.32	299.27
560	POWER ASSETS HOLDINGS LTD SPON ADR	P	07/19/05	04/01/11	3,709.25	2,587.20	1,122.05
536	POWER ASSETS HOLDINGS LTD SPON ADR	P	07/19/05	03/25/11	3,443.68	2,476.32	967.36
718	POWER ASSETS HOLDINGS LTD SPON ADR	P	07/19/05	03/18/11	4,608.46	3,317.16	1,291.30
46	ROYAL DUTCH SHELL PLC CL A SPON ADR	P	07/19/05	02/22/11	3,241.09	2,856.14	384.95

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
39	ROYAL DUTCH SHELL PLC	CL A SPON ADR	P	07/19/05	05/10/11	2,862.45	2,421.51	440.94
46	SEVEN & I HLDGS CO LTD	ADR	P	04/27/09	10/20/11	2,572.77	2,055.10	517.67
21	SEVEN & I HLDGS CO LTD	ADR	P	08/26/09	10/20/11	1,174.53	1,017.45	157.08
31	SINGAPORE TELECOM LTD		P	07/29/08	07/20/11	823.08	799.85	23.23
129	SINGAPORE TELECOM LTD		P	08/18/08	07/20/11	3,425.09	3,174.14	250.95
227	SOCIETE GENERALE FRANCE		P	07/19/05	01/25/11	2,916.35	4,869.15	(1,952.80)
257	TAIWAN SEMICONDUCTOR MFG CO LTD	ADR	P	01/08/08	11/22/11	3,221.04	2,249.79	971.25
132	TELECOM CORP NEW ZEALAND		P	06/15/06	05/18/11	1,208.48	1,985.21	(776.73)
75	TELECOM CORP NEW ZEALAND		P	07/06/06	05/18/11	686.63	1,027.40	(340.77)
231	TELECOM CORP NEW ZEALAND		P	08/09/06	05/18/11	2,114.83	3,356.21	(1,241.38)
202	TELECOM CORP NEW ZEALAND		P	08/09/06	06/23/11	2,016.65	2,934.87	(918.22)
47	TELECOM CORP NEW ZEALAND		P	08/10/06	06/23/11	469.22	635.67	(166.45)
130	TELEFONICA S A SPON ADR		P	10/20/05	02/22/11	3,204.89	2,152.14	1,052.75
13	TELSTRA CORP LTD (FINAL)	ADR	P	08/12/05	08/24/11	207.30	241.57	(34.27)
226	TELSTRA CORP LTD (FINAL)	ADR	P	10/25/05	08/24/11	3,603.83	3,576.56	27.27
119	TELSTRA CORP LTD (FINAL)	ADR	P	10/25/05	10/04/11	1,701.89	1,883.24	(181.35)
43	TELSTRA CORP LTD (FINAL)	ADR	P	10/27/05	10/04/11	614.97	691.99	(77.02)
6	TELSTRA CORP LTD (FINAL)	ADR	P	02/08/06	10/04/11	85.81	89.44	(3.63)
121	TESCO PLC SPONS ADR	UNITED KINGDOM	P	11/03/10	11/22/11	2,210.62	2,509.88	(299.26)
56	TOTAL S.A. FRANCE SPON	ADR	P	07/19/05	02/22/11	3,267.17	3,439.23	(172.06)
268	TREASURY WINE ESTATES	LTD SPON ADR	P	07/19/05	07/05/11	1,004.98	593.44	411.54
270	TREASURY WINE ESTATES	LTD SPON ADR	P	07/19/05	07/05/11	1,012.48	597.87	414.61
0.67	TREASURY WINE ESTATES	LTD SPON ADR	P	07/19/05	05/23/11	2.13	1.48	0.65
101	UNILEVER PLC AMER SHS	NEW SPON ADR	P	01/24/06	06/23/11	3,148.53	2,322.66	825.87
65	UNILEVER PLC AMER SHS	NEW SPON ADR	P	01/24/06	12/12/11	2,150.15	1,494.78	655.37
69	UNILEVER PLC AMER SHS	NEW SPON ADR	P	01/24/06	11/22/11	2,232.27	1,586.77	645.50
	ROUNDING AND ADJUSTMENT		P			22.95		22.95
31	AMCOR LTD NEW AU ADR		P	07/19/05	05/06/11	927.90	645.11	282.79
108	BANCO SANTANDER S.A SPON	ADR	P	07/19/05	01/21/11	1,330.78	1,302.75	28.03
10	BG GROUP PLC SPON ADR		P	07/19/05	01/28/11	1,087.80	402.60	685.20
226	FOSTERS GROUP LTD NEW		P	07/19/05	05/31/11	1,042.49	764.31	278.18
224	FOSTERS GROUP LTD NEW		P	07/19/05	05/20/11	1,039.61	757.54	282.07
204	FOSTERS GROUP LTD NEW		P	07/19/05	04/01/11	1,203.77	840.48	363.29
37	GLAXO SMITHKLINE PLC ADR		P	07/19/05	05/31/11	1,604.84	1,741.96	(137.12)
25	GLAXO SMITHKLINE PLC ADR		P	07/19/05	08/16/11	1,049.48	1,177.00	(127.52)
18	IBERDROLA SA SPON ADR		P	10/22/08	08/24/11	523.66	513.69	9.97
23	IBERDROLA SA SPON ADR		P	02/02/09	08/24/11	669.13	702.12	(32.99)
16	NATIONAL GRID PLC NEW	SPON ADR	P	07/19/05	08/24/11	803.94	823.57	(19.63)
4	NATIONAL GRID PLC NEW	SPON ADR	P	10/31/05	08/24/11	200.98	184.40	16.58
19	NIPPON TELEG & TEL CORP	SPON ADR	P	07/19/05	01/13/11	425.06	416.62	8.44
62	NIPPON TELEG & TEL CORP	SPON ADR	P	03/08/06	01/24/11	1,413.23	1,324.80	88.43
28	NIPPON TELEG & TEL CORP	SPON ADR	P	03/08/06	01/13/11	626.40	598.30	28.10
20	NOVARTIS AG SPON ADR		P	06/18/07	07/28/11	1,229.62	1,115.81	113.81
216	POWER ASSETS HOLDINGS	LTD SPON ADR	P	07/19/05	03/18/11	1,386.39	997.92	388.47

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
156	POWER ASSETS HOLDINGS LTD SPON ADR	P		07/19/05	03/31/11	1,034.93	720.72	314.21
149	POWER ASSETS HOLDINGS LTD SPON ADR	P		07/19/05	03/25/11	957.29	688.38	268.91
12	ROYAL DUTCH SHELL PLC CL A SPON ADR	P		07/19/05	05/10/11	880.75	745.08	135.67
12	ROYAL DUTCH SHELL PLC CL A SPON ADR	P		07/19/05	02/22/11	845.50	745.08	100.42
18	SANOFI SPON ADR	P		02/26/10	07/28/11	703.44	656.11	47.33
3	SANOFI SPON ADR	P		04/16/10	07/28/11	117.24	110.27	6.97
16	SEVEN & I HLDGS CO LTD ADR	P		04/27/09	10/20/11	894.87	714.82	180.05
3	SEVEN & I HLDGS CO LTD ADR	P		08/26/09	10/20/11	167.79	145.35	22.44
8	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	P		07/29/08	07/20/11	212.41	206.41	6.00
41	SINGAPORE TELECOM LTD NEW 2006 SPON ADR	P		08/18/08	07/20/11	1,088.59	1,008.83	79.76
80	SOCIETE GENERALE FRANCE SPONS ADR ADR	P		07/19/05	01/25/11	1,027.79	1,716.00	(688.21)
62	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	P		01/08/08	07/28/11	773.90	547.18	226.72
87	TELECOM CORP NEW ZEALAND	P		08/09/06	05/18/11	796.49	1,261.77	(465.28)
9	TELECOM CORP NEW ZEALAND	P		08/14/06	05/18/11	82.40	122.71	(40.31)
69	TELECOM CORP NEW ZEALAND	P		10/01/08	06/22/11	674.87	655.43	19.44
33	TELECOM CORP NEW ZEALAND	P		10/01/08	05/18/11	302.12	313.47	(11.35)
35	TELEFONICA S A SPON ADR	P		10/20/05	02/22/11	862.85	579.42	283.43
9	TELSTRA CORP LTD (FINAL) ADR	P		08/12/05	08/24/11	143.51	167.24	(23.73)
68	TELSTRA CORP LTD (FINAL) ADR	P		10/25/05	08/24/11	1,084.34	1,076.13	8.21
27	TELSTRA CORP LTD (FINAL) ADR	P		10/25/05	10/04/11	386.14	427.29	(41.15)
16	TELSTRA CORP LTD (FINAL) ADR	P		10/27/05	10/04/11	228.83	257.48	(28.65)
3	TELSTRA CORP LTD (FINAL) ADR	P		02/08/06	10/04/11	42.90	44.72	(1.82)
15	TOTAL S.A. FRANCE SPON ADR	P		07/19/05	02/22/11	875.13	921.22	(46.09)
75	TREASURY WINE ESTATES LTD SPON ADR	P		07/19/05	07/28/11	278.99	166.08	112.91
75	TREASURY WINE ESTATES LTD SPON ADR	P		07/19/05	07/28/11	278.99	166.07	112.92
32	UNILEVER PLC AMER SHS NEW SPON ADR	P		01/24/06	12/12/11	1,058.53	741.15	317.38
36	UNILEVER PLC AMER SHS NEW SPON ADR	P		01/24/06	06/22/11	1,149.35	833.79	315.56
5	UNILEVER PLC AMER SHS NEW SPON ADR	P		03/01/06	12/12/11	165.40	117.69	47.71
	ROUNDING AND ADJUSTMENT	P				5.74	-	5.74
11	ACCENTURE PLC IRELAND CL A	P		09/15/11	10/25/11	641.12	585.19	55.93
25	AMAZON.COM INC	P		11/02/09	02/28/11	4,329.43	2,936.10	1,393.33
44	AMAZON.COM INC	P		11/02/09	02/25/11	7,844.68	5,167.53	2,677.15
3	AMAZON.COM INC	P		11/02/09	01/24/11	530.20	352.33	177.87
76	AMAZON.COM INC	P		03/01/10	03/03/11	13,150.61	9,421.42	3,729.19
5	AMAZON.COM INC	P		03/02/10	03/03/11	865.17	629.34	235.83
4	AMAZON.COM INC	P		03/02/10	04/25/11	742.22	503.47	238.75
4	AMAZON.COM INC	P		03/02/10	03/28/11	683.98	503.47	180.51
1	AMAZON.COM INC	P		03/02/10	10/26/11	201.30	126.08	75.22
3	AMAZON.COM INC	P		03/02/10	10/25/11	680.35	378.24	302.11
22	AMAZON.COM INC	P		03/02/10	08/19/11	4,044.74	2,773.76	1,270.98
41	AMAZON.COM INC	P		03/02/10	07/19/11	8,923.06	5,169.28	3,753.78
29	AMAZON.COM INC	P		03/02/10	07/19/11	6,311.43	3,650.18	2,661.25
53	AMAZON.COM INC	P		03/02/10	06/17/11	9,872.68	6,671.02	3,201.66
50	AMAZON.COM INC	P		03/02/10	05/24/11	9,697.69	6,293.42	3,404.27

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description			Date Acquired	Date Sold	Gross	Cost	Gain	
						Sales Price	or other Basis	or (Loss)	
40	AMAZON.COM INC	P		07/14/10	10/26/11	8,051.85	4,919.34	3,132.51	
30	AMAZON.COM INC	P		07/14/10	12/01/11	5,921.29	3,689.51	2,231.78	
18	AMAZON.COM INC	P		09/03/10	12/01/11	3,552.77	2,491.38	1,061.39	
112	AMAZON.COM INC	P		09/03/10	12/20/11	20,305.43	15,501.93	4,803.50	
32	AMAZON.COM INC	P		12/20/10	12/21/11	5,606.83	5,854.40	(247.57)	
162	AMER TOWER CORP	P		10/12/09	01/10/11	8,182.13	6,088.75	2,093.38	
127	AMER TOWER CORP	P		10/12/09	01/10/11	6,413.44	4,773.28	1,640.16	
33	AMER TOWER CORP	P		10/27/09	01/10/11	1,666.73	1,254.00	412.73	
3	APPLE INC	P		04/30/09	02/22/11	1,017.30	377.03	640.27	
2	APPLE INC	P		04/30/09	01/24/11	672.86	251.35	421.51	
1	APPLE INC	P		04/30/09	05/24/11	333.37	125.68	207.69	
3	APPLE INC	P		04/30/09	04/25/11	1,058.86	377.03	681.83	
37	APPLE INC	P		04/30/09	04/04/11	12,612.34	4,650.00	7,962.34	
2	APPLE INC	P		04/30/09	03/28/11	707.33	251.35	455.98	
22	APPLE INC	P		04/30/09	06/07/11	7,349.45	2,764.86	4,584.59	
14	APPLE INC	P		10/01/09	06/07/11	4,676.92	2,567.28	2,109.64	
6	APPLE INC	P		10/01/09	06/27/11	1,997.44	1,100.26	897.18	
45	APPLE INC	P		10/01/09	06/07/11	15,038.80	8,251.96	6,786.84	
17	APPLE INC	P		10/01/09	11/11/11	6,581.96	3,117.41	3,464.55	
8	APPLE INC	P		10/01/09	10/25/11	3,191.05	1,467.01	1,724.04	
54	APPLE INC	P		10/01/09	08/19/11	19,667.15	9,902.36	9,764.79	
9	APPLE INC	P		10/27/09	11/11/11	3,484.56	1,780.92	1,703.64	
19	APPLE INC	P		01/27/10	11/11/11	7,356.31	3,926.68	3,429.63	
13	APPLE INC	P		04/29/10	11/11/11	5,033.26	3,502.40	1,530.86	
16	APPLE INC	P		04/29/10	11/22/11	5,960.54	4,310.65	1,649.89	
4	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	01/24/11	422.06	158.62	263.44
4	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	06/07/11	492.41	158.62	333.79
4	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	05/24/11	520.19	158.62	361.57
5	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	04/25/11	760.32	198.28	562.04
4	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	03/28/11	541.82	158.62	383.20
4	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/05/09	02/22/11	485.07	158.62	326.45
29	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	06/07/11	3,569.97	1,168.42	2,401.55
104	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	06/08/11	12,881.15	4,190.20	8,690.95
67	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	06/07/11	8,367.53	2,699.46	5,668.07
1	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	10/25/11	128.10	40.29	87.81
88	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	08/19/11	11,597.22	3,545.56	8,051.66
9	BAIDU INC ADS REPSNTG CL	A	ORD SHS SPON ADR	P	11/06/09	06/27/11	1,196.52	362.61	833.91
7	BHP BILLITON PLC NEW		SPON ADR	P	05/06/09	01/24/11	546.00	329.59	216.41
27	BHP BILLITON PLC NEW		SPON ADR	P	05/06/09	02/10/11	2,158.29	1,271.26	887.03
47	BHP BILLITON PLC NEW		SPON ADR	P	08/06/09	02/10/11	3,757.01	2,488.55	1,268.46
16	BHP BILLITON PLC NEW		SPON ADR	P	08/06/09	02/14/11	1,312.79	847.16	465.63
67	BHP BILLITON PLC NEW		SPON ADR	P	08/10/09	02/14/11	5,497.31	3,448.93	2,048.38
96	BHP BILLITON PLC NEW		SPON ADR	P	08/10/09	02/22/11	7,389.68	4,941.74	2,447.94
16	BHP BILLITON PLC NEW		SPON ADR	P	10/27/09	02/22/11	1,231.61	926.08	305.53

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
16	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/24/11	1,231.62	1,001.21	230.41
69	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/23/11	5,284.57	4,317.74	966.83
56	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/22/11	4,310.65	3,504.25	806.40
130	BHP BILLITON PLC NEW	SPON ADR	P 02/04/10	02/24/11	10,006.92	7,547.79	2,459.13
97	BHP BILLITON PLC NEW	SPON ADR	P 03/08/10	03/14/11	7,200.98	6,551.96	649.02
107	DANAHER CORP		P 05/03/10	11/17/11	5,015.85	4,574.43	441.42
2	DANAHER CORP		P 05/03/10	10/25/11	94.97	85.51	9.46
143	DANAHER CORP		P 05/03/10	08/22/11	5,811.06	6,113.49	(302.43)
102	DANAHER CORP		P 05/03/10	08/19/11	4,218.22	4,360.68	(142.46)
7	DANAHER CORP		P 05/03/10	06/27/11	357.62	299.26	58.36
5	DANAHER CORP		P 05/03/10	05/24/11	267.30	213.76	53.54
61	DANAHER CORP		P 05/11/10	11/17/11	2,859.51	2,568.56	290.95
19	DOW CHEMICAL		P 12/02/09	01/24/11	664.26	540.87	123.39
132	DOW CHEMICAL		P 12/02/09	03/04/11	4,960.69	3,757.63	1,203.06
122	DOW CHEMICAL		P 12/02/09	03/04/11	4,523.01	3,472.96	1,050.05
20	DOW CHEMICAL		P 12/02/09	02/22/11	747.40	569.34	178.06
4	DOW CHEMICAL		P 12/28/09	03/04/11	150.32	113.27	37.05
284	DOW CHEMICAL		P 12/28/09	06/17/11	9,778.55	8,042.14	1,736.41
15	DOW CHEMICAL		P 12/28/09	05/24/11	533.83	424.76	109.07
20	DOW CHEMICAL		P 12/28/09	04/25/11	784.98	566.35	218.63
18	DOW CHEMICAL		P 12/28/09	03/28/11	678.25	509.71	168.54
275	DOW CHEMICAL		P 12/28/09	09/06/11	7,122.58	7,787.29	(664.71)
202	DOW CHEMICAL		P 12/28/09	08/31/11	5,747.53	5,720.11	27.42
413	DOW CHEMICAL		P 12/28/09	08/19/11	11,020.15	11,695.09	(674.94)
96	DOW CHEMICAL		P 12/28/09	07/19/11	3,324.66	2,718.47	606.19
473	DOW CHEMICAL		P 12/28/09	07/01/11	17,071.80	13,394.13	3,677.67
19	DOW CHEMICAL		P 12/28/09	06/27/11	666.73	538.03	128.70
16	DOW CHEMICAL		P 10/13/10	10/25/11	424.37	478.08	(53.71)
1	EOG RESOURCES INC		P 01/08/10	05/24/11	106.33	97.89	8.44
3	EOG RESOURCES INC		P 01/08/10	04/25/11	331.25	293.66	37.59
2	EOG RESOURCES INC		P 01/08/10	03/28/11	238.76	195.77	42.99
3	EOG RESOURCES INC		P 01/08/10	02/22/11	325.32	293.66	31.66
3	EOG RESOURCES INC		P 01/08/10	01/24/11	305.08	293.66	11.42
92	EOG RESOURCES INC		P 03/05/10	06/20/11	9,294.39	8,875.51	418.88
2	EOG RESOURCES INC		P 03/05/10	05/24/11	212.65	192.95	19.70
120	EOG RESOURCES INC		P 03/10/10	06/22/11	12,254.00	11,789.16	464.84
21	EOG RESOURCES INC		P 03/10/10	06/20/11	2,121.55	2,063.10	58.45
65	EOG RESOURCES INC		P 03/10/10	07/01/11	6,693.01	6,385.80	307.21
120	EOG RESOURCES INC		P 05/11/10	07/01/11	12,356.33	12,586.72	(230.39)
31	EOG RESOURCES INC		P 05/12/10	07/01/11	3,192.05	3,314.34	(122.29)
1	GENL DYNAMICS CORP		P 07/02/08	03/28/11	76.04	82.65	(6.61)
104	GENL DYNAMICS CORP		P 07/02/08	03/07/11	7,851.93	8,595.60	(743.67)
6	GENL DYNAMICS CORP		P 07/02/08	02/22/11	456.29	495.90	(39.61)
6	GENL DYNAMICS CORP		P 07/02/08	01/24/11	435.79	495.90	(60.11)

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
116	GENL DYNAMICS CORP	P	08/04/08	05/23/11	8,343.54	10,358.03	(2,014.49)
6	GENL DYNAMICS CORP	P	08/04/08	04/25/11	432.19	535.76	(103.57)
3	GENL DYNAMICS CORP	P	08/04/08	03/28/11	228.11	267.88	(39.77)
17	GENL DYNAMICS CORP	P	09/02/08	05/23/11	1,222.76	1,573.41	(350.65)
26	GENL DYNAMICS CORP	P	09/02/08	05/31/11	1,936.15	2,406.39	(470.24)
72	GENL DYNAMICS CORP	P	09/02/08	05/26/11	5,040.95	6,663.84	(1,622.89)
5	GENL DYNAMICS CORP	P	09/02/08	05/24/11	355.79	462.77	(106.98)
82	GENL DYNAMICS CORP	P	10/03/08	05/31/11	6,106.32	5,605.26	501.06
58	GENL DYNAMICS CORP	P	10/03/08	06/01/11	4,186.37	3,964.69	221.68
29	GENL DYNAMICS CORP	P	11/05/08	06/01/11	2,093.19	1,810.35	282.84
27	GENL DYNAMICS CORP	P	11/05/08	06/07/11	1,912.42	1,685.50	226.92
84	GENL DYNAMICS CORP	P	11/05/08	06/02/11	6,011.66	5,243.77	767.89
121	GENL DYNAMICS CORP	P	01/09/09	07/19/11	8,431.84	7,023.17	1,408.67
84	GENL DYNAMICS CORP	P	01/09/09	07/08/11	6,252.10	4,875.59	1,376.51
1	GENL DYNAMICS CORP	P	01/09/09	06/27/11	73.08	58.04	15.04
62	GENL DYNAMICS CORP	P	01/09/09	06/07/11	4,391.49	3,598.65	792.84
1	GENL DYNAMICS CORP	P	03/27/09	07/19/11	69.69	42.81	26.88
2	GENL DYNAMICS CORP	P	10/27/09	07/19/11	139.37	132.15	7.22
6	LAUDER ESTEE COS CL A	P	08/12/10	10/25/11	580.50	346.10	234.40
128	MCDONALDS CORP	P	11/05/08	02/28/11	9,559.58	7,283.38	2,276.20
5	MCDONALDS CORP	P	11/05/08	02/22/11	378.09	284.51	93.58
4	MCDONALDS CORP	P	11/05/08	01/24/11	301.03	227.61	73.42
422	MCDONALDS CORP	P	11/05/08	01/04/11	31,619.08	24,012.39	7,606.69
4	MCDONALDS CORP	P	11/05/08	10/25/11	367.84	227.61	140.23
2	MCDONALDS CORP	P	11/05/08	05/24/11	165.87	113.80	52.07
3	MCDONALDS CORP	P	11/05/08	04/25/11	231.47	170.70	60.77
1	MCDONALDS CORP	P	11/05/08	03/28/11	75.10	56.90	18.20
182	MCDONALDS CORP	P	11/05/08	03/01/11	13,707.21	10,356.05	3,351.16
5	MEAD JOHNSON NUTRITION CO COM STOCK	P	09/30/10	10/25/11	359.99	285.19	74.80
1	MONSANTO CO NEW	P	09/23/10	10/25/11	73.22	53.84	19.38
93	MONSANTO CO NEW	P	09/23/10	10/12/11	6,743.55	5,007.26	1,736.29
6	NIKE INC CL B	P	11/26/08	01/24/11	495.95	311.16	184.79
48	NIKE INC CL B	P	11/26/08	03/25/11	3,698.60	2,489.30	1,209.30
6	NIKE INC CL B	P	11/26/08	02/22/11	523.42	311.16	212.26
3	NIKE INC CL B	P	12/08/08	03/28/11	227.96	169.89	58.07
139	NIKE INC CL B	P	12/08/08	03/25/11	10,710.54	7,871.57	2,838.97
18	NIKE INC CL B	P	12/08/08	10/25/11	1,691.29	1,019.34	671.95
9	NIKE INC CL B	P	12/08/08	06/27/11	741.40	509.67	231.73
4	NIKE INC CL B	P	12/08/08	05/24/11	338.48	226.52	111.96
5	NIKE INC CL B	P	12/08/08	04/25/11	400.85	283.15	117.70
65	ORACLE CORP	P	11/18/10	12/20/11	1,884.61	1,845.18	39.43
452	ORACLE CORP	P	11/19/10	12/20/11	13,105.26	12,724.66	380.60
114	ORACLE CORP	P	12/17/10	12/21/11	2,893.85	3,646.46	(752.61)
49	ORACLE CORP	P	12/17/10	12/20/11	1,420.70	1,567.34	(146.64)

SCHEDULE D-2

**LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130**

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
152	ORACLE CORP	P	12/20/10	12/21/11	3,858.46	4,847.16	(988.70)
28	PNC FINANCIAL SERVICES GROUP	P	03/25/10	07/19/11	1,557.56	1,726.58	(169.02)
10	PNC FINANCIAL SERVICES GROUP	P	03/25/10	06/27/11	577.88	616.63	(38.75)
5	PNC FINANCIAL SERVICES GROUP	P	03/25/10	05/24/11	302.24	308.32	(6.08)
7	PNC FINANCIAL SERVICES GROUP	P	03/25/10	04/25/11	426.78	431.64	(4.86)
5	PNC FINANCIAL SERVICES GROUP	P	03/25/10	03/28/11	308.24	308.32	(0.08)
287	PNC FINANCIAL SERVICES GROUP	P	04/22/10	07/19/11	15,964.98	19,557.18	(3,592.20)
110	PNC FINANCIAL SERVICES GROUP	P	04/22/10	08/19/11	4,769.37	7,495.79	(2,726.42)
108	PNC FINANCIAL SERVICES GROUP	P	05/03/10	08/19/11	4,682.66	7,326.49	(2,643.83)
149	PNC FINANCIAL SERVICES GROUP	P	05/24/10	08/19/11	6,460.34	9,085.75	(2,625.41)
88	PNC FINANCIAL SERVICES GROUP	P	07/15/10	08/19/11	3,815.50	5,349.09	(1,533.59)
4	PPG INDUSTRIES INC	P	09/01/09	02/22/11	350.09	217.36	132.73
4	PPG INDUSTRIES INC	P	09/01/09	01/24/11	332.24	217.36	114.88
4	PPG INDUSTRIES INC	P	09/01/09	08/19/11	276.91	217.36	59.55
2	PPG INDUSTRIES INC	P	09/01/09	06/27/11	174.23	108.68	65.55
3	PPG INDUSTRIES INC	P	09/01/09	05/24/11	258.50	163.02	95.48
4	PPG INDUSTRIES INC	P	09/01/09	04/25/11	374.91	217.36	157.55
4	PPG INDUSTRIES INC	P	09/01/09	03/28/11	359.79	217.36	142.43
152	PPG INDUSTRIES INC	P	09/09/09	08/19/11	10,522.46	8,570.08	1,952.38
18	PPG INDUSTRIES INC	P	09/09/09	08/22/11	1,244.26	1,014.88	229.38
8	PPG INDUSTRIES INC	P	03/25/10	08/22/11	553.00	520.72	32.28
77	PPG INDUSTRIES INC	P	03/25/10	11/17/11	6,317.84	5,011.93	1,305.91
12	PPG INDUSTRIES INC	P	03/25/10	10/25/11	992.75	781.08	211.67
78	PPG INDUSTRIES INC	P	03/25/10	08/31/11	5,966.14	5,077.02	889.12
7	PPG INDUSTRIES INC	P	03/26/10	11/17/11	574.35	461.68	112.67
112	PPG INDUSTRIES INC	P	03/26/10	12/15/11	9,008.15	7,386.87	1,621.28
42	PPG INDUSTRIES INC	P	07/09/10	12/15/11	3,378.06	2,710.20	667.86
4	PRAXAIR INC	P	08/04/08	07/22/11	422.41	353.49	68.92
24	PRAXAIR INC	P	08/04/08	07/21/11	2,572.31	2,120.97	451.34
3	PRAXAIR INC	P	08/04/08	06/27/11	311.21	265.12	46.09
4	PRAXAIR INC	P	08/04/08	05/24/11	413.39	353.49	59.90
5	PRAXAIR INC	P	08/04/08	04/25/11	533.76	441.87	91.89
4	PRAXAIR INC	P	08/04/08	03/28/11	401.26	353.49	47.77
5	PRAXAIR INC	P	08/04/08	02/22/11	489.55	441.87	47.68
4	PRAXAIR INC	P	08/04/08	01/24/11	365.32	353.49	11.83
60	PRAXAIR INC	P	09/02/08	07/22/11	6,336.11	5,367.34	968.77
23	PRAXAIR INC	P	10/03/08	10/25/11	2,340.86	1,683.60	657.26
1	PRAXAIR INC	P	10/03/08	07/22/11	105.60	73.20	32.40
8	PRECISION CASTPARTS CORP	P	07/22/10	08/31/11	1,318.75	929.35	389.40
6	PRECISION CASTPARTS CORP	P	10/22/10	10/25/11	1,031.92	840.79	191.13
7	PRICELINE.COM INC NEW	P	04/13/10	09/15/11	3,676.59	1,799.47	1,877.12
1	PRICELINE.COM INC NEW	P	04/13/10	05/24/11	493.44	257.07	236.37
1	PRICELINE.COM INC NEW	P	04/13/10	04/25/11	544.39	257.07	287.32
11	PRICELINE.COM INC NEW	P	04/27/10	09/15/11	5,777.49	2,901.47	2,876.02

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED
D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
10	PRICELINE.COM INC NEW	P	04/27/10	09/16/11	5,287.23	2,637.70	2,649.53
5	SALESFORCE.COM INC	P	09/24/10	10/25/11	648.68	597.86	50.82
2	SALESFORCE.COM INC	P	09/24/10	12/19/11	211.85	239.14	(27.29)
79	SALESFORCE.COM INC	P	09/24/10	12/15/11	8,434.47	9,446.05	(1,011.58)
5	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	10/25/11	244.39	104.03	140.36
2	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	05/24/11	115.53	41.61	73.92
3	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	04/25/11	181.44	62.42	119.02
2	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	03/28/11	112.37	41.61	70.76
3	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	02/22/11	186.92	62.42	124.50
2	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	01/24/11	125.17	41.61	83.56
5	TIFFANY & CO NEW	P	01/26/10	03/28/11	295.06	208.58	86.48
7	TIFFANY & CO NEW	P	01/26/10	02/22/11	440.36	292.01	148.35
5	TIFFANY & CO NEW	P	01/26/10	05/24/11	346.49	208.58	137.91
7	TIFFANY & CO NEW	P	01/26/10	04/25/11	467.24	292.01	175.23
16	TIFFANY & CO NEW	P	01/26/10	07/01/11	1,269.56	667.45	602.11
96	TIFFANY & CO NEW	P	03/22/10	07/01/11	7,617.39	4,475.98	3,141.41
12	TIFFANY & CO NEW	P	07/14/10	07/27/11	963.76	492.02	471.74
5	TIFFANY & CO NEW	P	07/14/10	10/25/11	372.99	205.01	167.98
10	TIFFANY & CO NEW	P	07/16/10	10/25/11	745.98	405.70	340.28
26	TIFFANY & CO NEW	P	07/16/10	11/23/11	1,818.28	1,054.82	763.46
56	TIFFANY & CO NEW	P	07/27/10	11/23/11	3,916.29	2,346.76	1,569.53
42	TIFFANY & CO NEW	P	07/27/10	12/19/11	2,629.49	1,760.06	869.43
134	TIFFANY & CO NEW	P	07/27/10	12/15/11	8,591.55	5,615.46	2,976.09
182	TIFFANY & CO NEW	P	07/27/10	12/20/11	11,468.65	7,626.97	3,841.68
72	TJX COS INC NEW	P	06/18/10	11/17/11	4,255.53	3,338.85	916.68
39	TJX COS INC NEW	P	06/18/10	10/25/11	2,308.46	1,808.54	499.92
3	TJX COS INC NEW	P	06/18/10	06/27/11	150.75	139.12	11.63
17	UNION PACIFIC CORP	P	09/02/08	03/03/11	1,622.86	1,385.61	237.25
102	UNION PACIFIC CORP	P	09/02/08	02/28/11	9,722.30	8,313.68	1,408.62
8	UNION PACIFIC CORP	P	09/02/08	02/22/11	760.67	652.05	108.62
7	UNION PACIFIC CORP	P	09/02/08	01/24/11	659.25	570.55	88.70
144	UNION PACIFIC CORP	P	10/03/08	03/03/11	13,746.61	8,949.61	4,797.00
31	UNION PACIFIC CORP	P	10/03/08	03/04/11	2,952.57	1,926.65	1,025.92
82	UNION PACIFIC CORP	P	11/05/08	03/04/11	7,810.01	5,376.58	2,433.43
5	UNION PACIFIC CORP	P	11/05/08	04/25/11	484.89	327.84	157.05
4	UNION PACIFIC CORP	P	11/05/08	03/28/11	391.81	262.27	129.54
73	UNION PACIFIC CORP	P	11/05/08	08/19/11	6,283.62	4,786.47	1,497.15
87	UNION PACIFIC CORP	P	11/05/08	07/19/11	8,702.83	5,704.43	2,998.40
87	UNION PACIFIC CORP	P	11/05/08	06/17/11	8,699.91	5,704.42	2,995.49
4	UNION PACIFIC CORP	P	11/05/08	05/24/11	406.87	262.27	144.60
7	UNION PACIFIC CORP	P	11/05/08	10/25/11	669.84	458.98	210.86
48	UNION PACIFIC CORP	P	11/05/08	09/02/11	4,289.86	3,147.27	1,142.59
390	US BANCORP DEL (NEW)	P	04/30/09	04/13/11	10,147.02	7,113.60	3,033.42
21	US BANCORP DEL (NEW)	P	04/30/09	01/24/11	564.08	383.04	181.04

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
20	US BANCORP DEL (NEW)	P	04/30/09	03/28/11	538.20	364.80	173.40
23	US BANCORP DEL (NEW)	P	04/30/09	02/22/11	638.02	419.52	218.50
415	US BANCORP DEL (NEW)	P	04/21/10	04/26/11	10,354.05	11,682.58	(1,328.53)
20	US BANCORP DEL (NEW)	P	04/21/10	04/25/11	502.59	563.02	(60.43)
132	US BANCORP DEL (NEW)	P	04/22/10	08/19/11	2,765.73	3,599.98	(834.25)
2	US BANCORP DEL (NEW)	P	04/22/10	06/27/11	49.03	54.55	(5.52)
11	US BANCORP DEL (NEW)	P	04/22/10	05/24/11	274.79	300.00	(25.21)
435	US BANCORP DEL (NEW)	P	04/22/10	04/26/11	10,853.04	11,863.58	(1,010.54)
32	US BANCORP DEL (NEW)	P	07/09/10	10/25/11	795.26	757.42	37.84
231	US BANCORP DEL (NEW)	P	07/09/10	08/22/11	4,724.98	5,467.61	(742.63)
135	US BANCORP DEL (NEW)	P	07/09/10	08/19/11	2,828.58	3,195.35	(366.77)
11	WELLS FARGO & CO NEW	P	10/01/09	01/24/11	358.60	301.68	56.92
417	WELLS FARGO & CO NEW	P	10/01/09	04/13/11	12,843.02	11,436.52	1,406.50
11	WELLS FARGO & CO NEW	P	10/01/09	03/28/11	349.69	301.68	48.01
13	WELLS FARGO & CO NEW	P	10/01/09	02/22/11	406.66	356.53	50.13
141	WELLS FARGO & CO NEW	P	10/01/09	04/15/11	4,208.77	3,867.02	341.75
111	WELLS FARGO & CO NEW	P	03/05/10	04/15/11	3,313.29	3,207.69	105.60
5	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	04/25/11	143.14	76.25	66.89
3	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	03/28/11	83.90	45.75	38.15
4	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	02/22/11	112.27	61.00	51.27
3	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	01/24/11	82.13	45.75	36.38
5	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	10/25/11	143.05	76.25	66.80
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	06/27/11	28.29	15.25	13.04
2	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	05/24/11	58.19	30.50	27.69
3	WELLS FARGO & CO NEW 8.000% PREFERRED	P	03/27/09	10/25/11	85.83	47.30	38.53
5	WELLS FARGO & CO NEW 8.000% PREFERRED	P	04/30/09	10/25/11	143.05	93.01	50.04
3	WYNN RESORTS LTD	P	07/14/10	10/25/11	369.71	247.69	122.02
22	YUM! BRANDS INC	P	09/03/10	10/25/11	1,163.83	974.55	189.28
	ROUNDING AND ADJUSTMENT	P			128.82		128.82
1	AMAZON.COM INC	P	11/02/09	02/01/11	172.42	117.44	54.98
1	AMAZON.COM INC	P	11/02/09	01/24/11	176.73	117.44	59.29
8	AMAZON.COM INC	P	11/02/09	02/28/11	1,385.42	939.55	445.87
13	AMAZON.COM INC	P	11/02/09	02/25/11	2,317.74	1,526.77	790.97
23	AMAZON.COM INC	P	03/01/10	03/03/11	3,979.79	2,851.22	1,128.57
1	AMAZON.COM INC	P	03/02/10	10/25/11	226.78	126.08	100.70
6	AMAZON.COM INC	P	03/02/10	08/19/11	1,103.10	756.48	346.62
10	AMAZON.COM INC	P	03/02/10	07/19/11	2,176.36	1,260.80	915.56
11	AMAZON.COM INC	P	03/02/10	07/19/11	2,393.99	1,384.55	1,009.44
15	AMAZON.COM INC	P	03/02/10	06/17/11	2,794.15	1,888.03	906.12
15	AMAZON.COM INC	P	03/02/10	05/24/11	2,909.30	1,888.02	1,021.28
1	AMAZON.COM INC	P	03/02/10	03/28/11	170.99	125.87	45.12
1	AMAZON.COM INC	P	03/02/10	03/03/11	173.03	125.87	47.16
3	AMAZON.COM INC	P	03/02/10	10/26/11	603.89	378.24	225.65
9	AMAZON.COM INC	P	07/14/10	10/26/11	1,811.66	1,106.85	704.81

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
12	AMAZON.COM INC	P	07/14/10	12/01/11	2,368.51	1,475.80	892.71
2	AMAZON.COM INC	P	09/03/10	12/01/11	394.75	276.82	117.93
4	AMAZON.COM INC	P	09/03/10	12/21/11	700.85	553.64	147.21
33	AMAZON.COM INC	P	09/03/10	12/20/11	5,982.85	4,567.53	1,415.32
5	AMAZON.COM INC	P	12/20/10	12/21/11	876.07	914.75	(38.68)
3	AMER TOWER CORP	P	10/06/09	01/10/11	151.50	111.88	39.62
33	AMER TOWER CORP	P	10/12/09	01/10/11	1,666.47	1,240.30	426.17
53	AMER TOWER CORP	P	10/12/09	01/10/11	2,676.87	1,992.00	684.87
5	AMER TOWER CORP	P	10/27/09	01/10/11	252.53	190.00	62.53
4	AMER TOWER CORP	P	10/27/09	01/12/11	202.52	152.00	50.52
1	APPLE INC	P	04/02/09	02/01/11	344.74	111.41	233.33
1	APPLE INC	P	04/02/09	01/24/11	336.43	111.41	225.02
9	APPLE INC	P	04/02/09	06/07/11	3,006.59	1,002.72	2,003.87
11	APPLE INC	P	04/02/09	04/04/11	3,749.61	1,225.55	2,524.06
1	APPLE INC	P	04/02/09	03/28/11	353.67	111.41	242.26
2	APPLE INC	P	10/01/09	06/07/11	668.13	366.75	301.38
8	APPLE INC	P	10/01/09	11/11/11	3,097.39	1,467.01	1,630.38
3	APPLE INC	P	10/01/09	10/25/11	1,196.64	550.13	646.51
16	APPLE INC	P	10/01/09	08/19/11	5,827.30	2,934.03	2,893.27
1	APPLE INC	P	10/01/09	06/27/11	332.90	183.38	149.52
13	APPLE INC	P	10/01/09	06/07/11	4,344.54	2,383.90	1,960.64
2	APPLE INC	P	10/27/09	11/11/11	774.35	395.76	378.59
6	APPLE INC	P	01/27/10	11/11/11	2,323.04	1,240.00	1,083.04
1	APPLE INC	P	04/27/10	11/22/11	372.53	263.40	109.13
4	APPLE INC	P	04/29/10	11/22/11	1,490.14	1,077.66	412.48
1	BAIDU INC ADS REPSNTG CL A	P	11/05/09	06/07/11	124.89	39.66	85.23
10	BAIDU INC ADS REPSNTG CL A	P	11/05/09	06/07/11	1,231.02	396.55	834.47
2	BAIDU INC ADS REPSNTG CL A	P	11/05/09	05/24/11	260.09	79.31	180.78
1	BAIDU INC ADS REPSNTG CL A	P	11/05/09	03/28/11	135.45	39.66	95.79
2	BAIDU INC ADS REPSNTG CL A	P	11/05/09	02/22/11	242.53	79.31	163.22
1	BAIDU INC ADS REPSNTG CL A	P	11/05/09	02/01/11	117.39	39.66	77.73
1	BAIDU INC ADS REPSNTG CL A	P	11/05/09	01/24/11	105.51	39.66	65.85
26	BAIDU INC ADS REPSNTG CL A	P	11/06/09	08/19/11	3,426.45	1,047.55	2,378.90
3	BAIDU INC ADS REPSNTG CL A	P	11/06/09	06/27/11	398.84	120.87	277.97
30	BAIDU INC ADS REPSNTG CL A	P	11/06/09	06/08/11	3,715.71	1,208.71	2,507.00
18	BAIDU INC ADS REPSNTG CL A	P	11/06/09	06/07/11	2,247.99	725.23	1,522.76
2	BHP BILLITON PLC NEW SPON ADR	P	05/06/09	01/24/11	156.00	94.17	61.83
14	BHP BILLITON PLC NEW SPON ADR	P	05/06/09	02/10/11	1,119.11	659.17	459.94
2	BHP BILLITON PLC NEW SPON ADR	P	05/06/09	02/01/11	157.07	94.17	62.90
11	BHP BILLITON PLC NEW SPON ADR	P	08/06/09	02/14/11	902.55	582.43	320.12
7	BHP BILLITON PLC NEW SPON ADR	P	08/06/09	02/10/11	559.55	370.63	188.92
34	BHP BILLITON PLC NEW SPON ADR	P	08/10/09	02/22/11	2,617.18	1,750.20	866.98
13	BHP BILLITON PLC NEW SPON ADR	P	08/10/09	02/14/11	1,066.64	669.19	397.45
5	BHP BILLITON PLC NEW SPON ADR	P	10/27/09	02/22/11	384.88	289.40	95.48

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
8	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/22/11	615.81	500.61	115.20
12	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/24/11	923.72	750.91	172.81
21	BHP BILLITON PLC NEW	SPON ADR	P 02/02/10	02/23/11	1,608.34	1,314.09	294.25
38	BHP BILLITON PLC NEW	SPON ADR	P 02/04/10	02/24/11	2,925.10	2,206.28	718.82
36	BHP BILLITON PLC NEW	SPON ADR	P 03/08/10	03/14/11	2,672.53	2,431.66	240.87
36	DANAHER CORP		P 05/03/10	11/17/11	1,687.58	1,539.06	148.52
1	DANAHER CORP		P 05/03/10	10/25/11	47.48	42.75	4.73
41	DANAHER CORP		P 05/03/10	08/22/11	1,666.10	1,752.82	(86.72)
30	DANAHER CORP		P 05/03/10	08/19/11	1,240.65	1,282.56	(41.91)
2	DANAHER CORP		P 05/03/10	06/27/11	102.17	85.50	16.67
1	DANAHER CORP		P 05/03/10	05/24/11	53.45	42.75	10.70
13	DANAHER CORP		P 05/11/10	11/17/11	609.40	547.40	62.00
6	DOW CHEMICAL		P 12/02/09	06/17/11	206.59	170.80	35.79
5	DOW CHEMICAL		P 12/02/09	05/24/11	177.94	142.33	35.61
5	DOW CHEMICAL		P 12/02/09	03/28/11	188.40	142.33	46.07
40	DOW CHEMICAL		P 12/02/09	03/04/11	1,503.24	1,138.68	364.56
35	DOW CHEMICAL		P 12/02/09	03/04/11	1,297.58	996.34	301.24
6	DOW CHEMICAL		P 12/02/09	02/22/11	224.21	170.80	53.41
5	DOW CHEMICAL		P 12/02/09	02/01/11	182.34	142.33	40.01
6	DOW CHEMICAL		P 12/02/09	01/24/11	209.76	170.80	38.96
76	DOW CHEMICAL		P 12/28/09	06/17/11	2,616.79	2,152.12	464.67
6	DOW CHEMICAL		P 12/28/09	06/27/11	210.54	169.91	40.63
28	DOW CHEMICAL		P 12/28/09	07/19/11	969.69	792.89	176.80
137	DOW CHEMICAL		P 12/28/09	07/01/11	4,944.68	3,879.48	1,065.20
19	DOW CHEMICAL		P 12/28/09	09/08/11	507.38	538.03	(30.65)
85	DOW CHEMICAL		P 12/28/09	09/06/11	2,201.52	2,406.98	(205.46)
59	DOW CHEMICAL		P 12/28/09	08/31/11	1,678.73	1,670.73	8.00
120	DOW CHEMICAL		P 12/28/09	08/19/11	3,201.97	3,398.08	(196.11)
5	DOW CHEMICAL		P 09/23/10	10/25/11	132.61	135.02	(2.41)
1	EOG RESOURCES INC		P 01/08/10	02/01/11	107.38	97.89	9.49
1	EOG RESOURCES INC		P 01/08/10	03/28/11	119.38	97.89	21.49
1	EOG RESOURCES INC		P 01/08/10	02/22/11	108.43	97.89	10.54
1	EOG RESOURCES INC		P 01/08/10	06/20/11	101.03	97.89	3.14
1	EOG RESOURCES INC		P 01/08/10	05/24/11	106.32	97.89	8.43
28	EOG RESOURCES INC		P 03/05/10	06/20/11	2,828.72	2,701.24	127.48
4	EOG RESOURCES INC		P 03/10/10	06/20/11	404.10	392.97	11.13
23	EOG RESOURCES INC		P 03/10/10	07/01/11	2,368.29	2,259.59	108.70
34	EOG RESOURCES INC		P 03/10/10	06/22/11	3,471.97	3,340.26	131.71
35	EOG RESOURCES INC		P 05/11/10	07/01/11	3,603.93	3,671.13	(67.20)
10	EOG RESOURCES INC		P 05/12/10	07/01/11	1,029.69	1,069.14	(39.45)
2	GENL DYNAMICS CORP		P 06/16/08	01/24/11	145.26	172.28	(27.02)
2	GENL DYNAMICS CORP		P 06/16/08	02/22/11	152.09	172.28	(20.19)
1	GENL DYNAMICS CORP		P 06/16/08	02/01/11	75.56	86.14	(10.58)
10	GENL DYNAMICS CORP		P 06/16/08	03/07/11	754.99	861.40	(106.41)

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross	Cost	Gain	
					Sales Price	or other Basis	or (Loss)	
20	GENL DYNAMICS CORP	P	07/02/08	03/07/11	1,509.99	1,653.00	(143.01)	
13	GENL DYNAMICS CORP	P	07/02/08	05/23/11	935.05	1,074.45	(139.40)	
2	GENL DYNAMICS CORP	P	07/02/08	03/28/11	152.07	165.30	(13.23)	
8	GENL DYNAMICS CORP	P	08/04/08	05/26/11	560.11	714.35	(154.24)	
2	GENL DYNAMICS CORP	P	08/04/08	05/24/11	142.31	178.59	(36.28)	
25	GENL DYNAMICS CORP	P	08/04/08	05/23/11	1,798.17	2,232.34	(434.17)	
13	GENL DYNAMICS CORP	P	09/02/08	05/26/11	910.17	1,203.19	(293.02)	
22	GENL DYNAMICS CORP	P	09/02/08	05/31/11	1,638.28	2,036.17	(397.89)	
9	GENL DYNAMICS CORP	P	10/03/08	05/31/11	670.20	615.21	54.99	
6	GENL DYNAMICS CORP	P	10/03/08	06/02/11	429.40	410.14	19.26	
25	GENL DYNAMICS CORP	P	10/03/08	06/01/11	1,804.47	1,708.92	95.55	
16	GENL DYNAMICS CORP	P	11/05/08	06/07/11	1,133.29	995.68	137.61	
19	GENL DYNAMICS CORP	P	11/05/08	06/02/11	1,359.78	1,182.37	177.41	
24	GENL DYNAMICS CORP	P	01/09/09	07/08/11	1,786.31	1,393.02	393.29	
10	GENL DYNAMICS CORP	P	01/09/09	06/07/11	708.30	580.43	127.87	
43	GENL DYNAMICS CORP	P	01/09/09	07/19/11	2,996.44	2,495.84	500.60	
1	GENL DYNAMICS CORP	P	03/27/09	07/19/11	69.68	42.81	26.87	
1	GENL DYNAMICS CORP	P	10/27/09	07/19/11	69.69	66.07	3.62	
2	LAUDER ESTEE COS CL A	P	08/12/10	10/25/11	193.50	115.36	78.14	
17	MCDONALDS CORP	P	10/03/08	01/04/11	1,273.75	1,030.90	242.85	
105	MCDONALDS CORP	P	11/05/08	01/04/11	7,867.31	5,945.48	1,921.83	
2	MCDONALDS CORP	P	11/05/08	02/01/11	146.99	113.25	33.74	
1	MCDONALDS CORP	P	11/05/08	01/24/11	75.25	56.62	18.63	
1	MCDONALDS CORP	P	11/05/08	03/28/11	75.10	56.62	18.48	
52	MCDONALDS CORP	P	11/05/08	03/01/11	3,916.34	2,944.43	971.91	
37	MCDONALDS CORP	P	11/05/08	02/28/11	2,763.31	2,095.07	668.24	
1	MCDONALDS CORP	P	11/05/08	02/22/11	75.61	56.62	18.99	
1	MCDONALDS CORP	P	11/05/08	10/25/11	91.95	56.62	35.33	
1	MCDONALDS CORP	P	11/05/08	05/24/11	82.93	56.62	26.31	
1	MEAD JOHNSON NUTRITION	CO COM STOCK	P	09/30/10	10/25/11	71.99	57.04	14.95
1	MONSANTO CO NEW		P	09/23/10	10/25/11	73.22	53.84	19.38
27	MONSANTO CO NEW		P	09/23/10	10/12/11	1,957.80	1,453.72	504.08
2	NIKE INC CL B	P	11/26/08	01/24/11	165.31	103.72	61.59	
2	NIKE INC CL B	P	11/26/08	02/22/11	174.47	103.72	70.75	
2	NIKE INC CL B	P	11/26/08	02/01/11	165.93	103.72	62.21	
14	NIKE INC CL B	P	11/26/08	03/25/11	1,078.76	726.05	352.71	
40	NIKE INC CL B	P	12/08/08	03/25/11	3,082.17	2,265.20	816.97	
1	NIKE INC CL B	P	12/08/08	05/24/11	84.61	56.63	27.98	
1	NIKE INC CL B	P	12/08/08	03/28/11	75.98	56.63	19.35	
5	NIKE INC CL B	P	12/08/08	10/25/11	469.80	283.15	186.65	
2	NIKE INC CL B	P	12/08/08	06/27/11	164.75	113.26	51.49	
41	ORACLE CORP	P	11/18/10	12/20/11	1,188.75	1,163.88	24.87	
124	ORACLE CORP	P	11/19/10	12/20/11	3,595.24	3,490.83	104.41	
10	ORACLE CORP	P	11/19/10	12/21/11	253.85	281.52	(27.67)	

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
47	ORACLE CORP	P	12/17/10	12/21/11	1,193.07	1,503.37	(310.30)
20	ORACLE CORP	P	12/20/10	12/21/11	507.69	637.78	(130.09)
11	PNC FINANCIAL SERVICES GROUP	P	03/25/10	07/19/11	611.90	678.30	(66.40)
3	PNC FINANCIAL SERVICES GROUP	P	03/25/10	06/27/11	173.36	184.99	(11.63)
1	PNC FINANCIAL SERVICES GROUP	P	03/25/10	05/24/11	60.44	61.66	(1.22)
2	PNC FINANCIAL SERVICES GROUP	P	03/25/10	03/28/11	123.29	123.33	(0.04)
37	PNC FINANCIAL SERVICES GROUP	P	04/22/10	08/19/11	1,604.24	2,521.31	(917.07)
81	PNC FINANCIAL SERVICES GROUP	P	04/22/10	07/19/11	4,505.79	5,519.62	(1,013.83)
32	PNC FINANCIAL SERVICES GROUP	P	05/03/10	08/19/11	1,387.46	2,170.81	(783.35)
44	PNC FINANCIAL SERVICES GROUP	P	05/24/10	08/19/11	1,907.75	2,683.04	(775.29)
26	PNC FINANCIAL SERVICES GROUP	P	07/15/10	08/19/11	1,127.30	1,580.41	(453.11)
5	PPG INDUSTRIES INC	P	09/01/09	08/19/11	346.13	271.70	74.43
1	PPG INDUSTRIES INC	P	09/01/09	05/24/11	86.16	54.34	31.82
1	PPG INDUSTRIES INC	P	09/01/09	03/28/11	89.94	54.34	35.60
2	PPG INDUSTRIES INC	P	09/01/09	02/22/11	175.04	108.68	66.36
1	PPG INDUSTRIES INC	P	09/01/09	02/01/11	85.90	54.34	31.56
1	PPG INDUSTRIES INC	P	09/01/09	01/24/11	83.05	54.34	28.71
39	PPG INDUSTRIES INC	P	09/09/09	08/19/11	2,699.85	2,198.91	500.94
9	PPG INDUSTRIES INC	P	09/09/09	08/22/11	622.12	507.44	114.68
2	PPG INDUSTRIES INC	P	09/09/09	08/31/11	152.98	112.76	40.22
20	PPG INDUSTRIES INC	P	03/25/10	08/31/11	1,529.77	1,301.80	227.97
3	PPG INDUSTRIES INC	P	03/25/10	12/15/11	241.29	195.27	46.02
24	PPG INDUSTRIES INC	P	03/25/10	11/17/11	1,969.20	1,562.16	407.04
4	PPG INDUSTRIES INC	P	03/25/10	10/25/11	330.91	260.36	70.55
36	PPG INDUSTRIES INC	P	03/26/10	12/15/11	2,895.48	2,374.35	521.13
6	PPG INDUSTRIES INC	P	07/09/10	12/15/11	482.58	387.17	95.41
1	PRAXAIR INC	P	07/02/08	03/28/11	100.31	91.89	8.42
2	PRAXAIR INC	P	07/02/08	02/22/11	195.81	183.78	12.03
1	PRAXAIR INC	P	07/02/08	02/01/11	94.35	91.89	2.46
1	PRAXAIR INC	P	07/02/08	01/24/11	91.32	91.89	(0.57)
1	PRAXAIR INC	P	08/04/08	06/27/11	103.73	88.37	15.36
1	PRAXAIR INC	P	08/04/08	05/24/11	103.34	88.37	14.97
8	PRAXAIR INC	P	08/04/08	07/22/11	844.81	706.99	137.82
5	PRAXAIR INC	P	08/04/08	07/21/11	535.89	441.87	94.02
6	PRAXAIR INC	P	09/02/08	10/25/11	610.65	536.73	73.92
13	PRAXAIR INC	P	09/02/08	07/22/11	1,372.82	1,162.92	209.90
4	PRECISION CASTPARTS CORP	P	07/22/10	08/31/11	659.37	464.68	194.69
2	PRECISION CASTPARTS CORP	P	10/22/10	10/25/11	343.97	280.26	63.71
1	PRICELINE.COM INC NEW	P	04/13/10	09/15/11	525.23	257.07	268.16
1	PRICELINE.COM INC NEW	P	04/13/10	05/24/11	493.44	257.07	236.37
3	PRICELINE.COM INC NEW	P	04/27/10	09/16/11	1,586.16	791.31	794.85
4	PRICELINE.COM INC NEW	P	04/27/10	09/15/11	2,100.90	1,055.08	1,045.82
23	SALESFORCE.COM INC	P	09/24/10	12/15/11	2,455.60	2,750.12	(294.52)
1	SALESFORCE.COM INC	P	09/24/10	10/25/11	129.73	119.57	10.16

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
3	SALESFORCE.COM INC	P	09/24/10	12/19/11	317.77	358.71	(40.94)
1	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	10/25/11	48.87	20.81	28.06
1	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	05/24/11	57.76	20.81	36.95
1	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	03/28/11	56.18	20.81	35.37
1	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	02/22/11	62.30	20.81	41.49
1	STARWOOD HOTELS & RESORTS WORLDWIDE INC	P	10/20/08	01/24/11	62.58	20.81	41.77
8	TIFFANY & CO NEW	P	01/26/10	07/01/11	634.78	333.73	301.05
2	TIFFANY & CO NEW	P	01/26/10	05/24/11	138.59	83.43	55.16
1	TIFFANY & CO NEW	P	01/26/10	03/28/11	59.00	41.72	17.28
2	TIFFANY & CO NEW	P	01/26/10	02/22/11	125.81	83.43	42.38
2	TIFFANY & CO NEW	P	01/26/10	02/01/11	118.65	83.43	35.22
28	TIFFANY & CO NEW	P	03/22/10	07/01/11	2,221.74	1,305.49	916.25
3	TIFFANY & CO NEW	P	07/14/10	07/27/11	240.93	123.00	117.93
4	TIFFANY & CO NEW	P	07/14/10	10/25/11	298.39	164.01	134.38
12	TIFFANY & CO NEW	P	07/16/10	11/23/11	839.20	486.84	352.36
53	TIFFANY & CO NEW	P	07/27/10	12/20/11	3,339.77	2,221.04	1,118.73
14	TIFFANY & CO NEW	P	07/27/10	12/19/11	876.50	586.69	289.81
37	TIFFANY & CO NEW	P	07/27/10	12/15/11	2,372.29	1,550.53	821.76
12	TIFFANY & CO NEW	P	07/27/10	11/23/11	839.20	502.88	336.32
21	TJX COS INC NEW	P	06/18/10	11/17/11	1,241.19	973.84	267.35
11	TJX COS INC NEW	P	06/18/10	10/25/11	651.10	510.10	141.00
1	TJX COS INC NEW	P	06/18/10	06/27/11	50.24	46.37	3.87
6	UNION PACIFIC CORP	P	08/04/08	02/28/11	571.90	481.04	90.86
2	UNION PACIFIC CORP	P	08/04/08	02/22/11	190.16	160.35	29.81
2	UNION PACIFIC CORP	P	08/04/08	02/01/11	190.57	160.35	30.22
2	UNION PACIFIC CORP	P	08/04/08	01/24/11	188.35	160.35	28.00
24	UNION PACIFIC CORP	P	09/02/08	02/28/11	2,287.60	1,956.16	331.44
21	UNION PACIFIC CORP	P	09/02/08	03/03/11	2,004.71	1,711.64	293.07
26	UNION PACIFIC CORP	P	10/03/08	03/03/11	2,482.03	1,615.90	866.13
24	UNION PACIFIC CORP	P	10/03/08	03/04/11	2,285.86	1,491.60	794.26
8	UNION PACIFIC CORP	P	11/05/08	03/04/11	761.95	524.48	237.47
1	UNION PACIFIC CORP	P	11/05/08	05/24/11	101.71	65.56	36.15
1	UNION PACIFIC CORP	P	11/05/08	03/28/11	97.95	65.56	32.39
2	UNION PACIFIC CORP	P	11/05/08	10/25/11	191.38	131.12	60.26
14	UNION PACIFIC CORP	P	11/05/08	09/02/11	1,251.21	917.85	333.36
21	UNION PACIFIC CORP	P	11/05/08	08/19/11	1,807.61	1,376.77	430.84
25	UNION PACIFIC CORP	P	11/05/08	07/19/11	2,500.81	1,639.01	861.80
26	UNION PACIFIC CORP	P	11/05/08	06/17/11	2,599.97	1,704.57	895.40
6	US BANCORP DEL (NEW)	P	04/30/09	01/24/11	161.16	109.44	51.72
117	US BANCORP DEL (NEW)	P	04/30/09	04/13/11	3,044.11	2,134.08	910.03
6	US BANCORP DEL (NEW)	P	04/30/09	03/28/11	161.45	109.44	52.01
6	US BANCORP DEL (NEW)	P	04/30/09	02/22/11	166.43	109.44	56.99
7	US BANCORP DEL (NEW)	P	04/30/09	02/01/11	192.64	127.68	64.96
4	US BANCORP DEL (NEW)	P	10/27/09	04/13/11	104.07	96.88	7.19

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
141	US BANCORP DEL (NEW)	P	04/21/10	04/26/11	3,517.88	3,969.26	(451.38)
103	US BANCORP DEL (NEW)	P	04/22/10	04/26/11	2,569.80	2,809.08	(239.28)
62	US BANCORP DEL (NEW)	P	04/22/10	08/19/11	1,299.05	1,690.90	(391.85)
7	US BANCORP DEL (NEW)	P	04/22/10	05/24/11	174.86	190.91	(16.05)
67	US BANCORP DEL (NEW)	P	07/09/10	08/22/11	1,370.45	1,585.84	(215.39)
16	US BANCORP DEL (NEW)	P	07/09/10	08/19/11	335.24	378.71	(43.47)
9	US BANCORP DEL (NEW)	P	07/09/10	10/25/11	223.66	213.02	10.64
1	WELLS FARGO & CO NEW	P	08/06/09	01/24/11	32.60	28.21	4.39
2	WELLS FARGO & CO NEW	P	10/01/09	01/24/11	65.19	54.85	10.34
47	WELLS FARGO & CO NEW	P	10/01/09	04/15/11	1,402.92	1,289.01	113.91
120	WELLS FARGO & CO NEW	P	10/01/09	04/13/11	3,695.82	3,291.08	404.74
4	WELLS FARGO & CO NEW	P	10/01/09	03/28/11	127.15	109.70	17.45
3	WELLS FARGO & CO NEW	P	10/01/09	02/22/11	93.84	82.28	11.56
4	WELLS FARGO & CO NEW	P	10/01/09	02/01/11	133.03	109.70	23.33
34	WELLS FARGO & CO NEW	P	03/05/10	04/15/11	1,014.88	982.54	32.34
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	01/24/11	27.37	15.25	12.12
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	02/22/11	28.06	15.25	12.81
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	02/01/11	27.58	15.25	12.33
3	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	10/25/11	85.82	45.75	40.07
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	05/24/11	29.09	15.25	13.84
1	WELLS FARGO & CO NEW 8.000% PREFERRED	P	02/25/09	03/28/11	27.96	15.25	12.71
2	WYNN RESORTS LTD	P	07/14/10	10/25/11	246.47	165.13	81.34
7	YUM! BRANDS INC	P	09/03/10	10/25/11	370.31	310.08	60.23
	ROUNDING AND ADJUSTMENT	P			52.50	-	52.50
51	AMER TOWER CORP	P	05/12/10	12/22/11	3,058.59	2,119.48	939.11
106	AMER TOWER CORP	P	05/12/10	08/25/11	5,348.73	4,405.18	943.55
68	AMER TOWER CORP	P	05/12/10	06/28/11	3,542.90	2,825.96	716.94
107	AMGEN INC	P	05/12/10	06/28/11	6,234.52	6,033.37	201.15
82	AMGEN INC	P	05/12/10	12/22/11	5,202.80	4,623.70	579.10
42	AMGEN INC	P	05/12/10	08/25/11	2,234.22	2,368.23	(134.01)
99	AT&T INC	P	05/12/10	06/28/11	3,056.57	2,534.08	522.49
599	AT&T INC	P	05/12/10	08/12/11	16,874.34	15,332.49	1,541.85
184	AUTODESK INC	P	05/12/10	10/25/11	5,991.03	5,890.39	100.64
13	BED BATH & BEYOND INC	P	05/12/10	10/25/11	801.43	605.85	195.58
64	BED BATH & BEYOND INC	P	05/12/10	08/25/11	3,400.89	2,982.69	418.20
53	BED BATH & BEYOND INC	P	05/12/10	06/28/11	3,041.92	2,470.03	571.89
343	BEST BUY CO INC	P	05/12/10	08/12/11	8,250.16	15,327.47	(7,077.31)
183	BEST BUY CO INC	P	05/12/10	06/28/11	5,816.88	8,177.63	(2,360.75)
35	BEST BUY CO INC	P	06/08/10	08/12/11	841.85	1,329.93	(488.08)
3	CELGENE CORP	P	05/12/10	12/22/11	200.86	178.46	22.40
29	CELGENE CORP	P	05/12/10	10/25/11	1,929.33	1,725.06	204.27
70	CELGENE CORP	P	05/12/10	08/25/11	3,941.66	4,163.95	(222.29)
95	CELGENE CORP	P	05/12/10	06/28/11	5,679.97	5,651.08	28.89
52	COACH INC	P	05/12/10	10/25/11	3,231.89	2,173.59	1,058.30

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date	Date	Gross	Cost	Gain	
			Acquired	Sold	Sales Price	or other Basis	or (Loss)	
89	COACH INC	P	05/12/10	06/28/11	5,362.20	3,720.17	1,642.03	
43	COSTCO WHOLESALE CORP	P	05/12/10	06/28/11	3,434.59	2,486.18	948.41	
56	COSTCO WHOLESALE CORP	P	05/12/10	08/25/11	4,249.82	3,237.82	1,012.00	
241	INTEL CORP	P	05/12/10	10/25/11	5,970.17	5,520.10	450.07	
110	INTEL CORP	P	05/12/10	08/25/11	2,139.54	2,519.55	(380.01)	
61	INTEL CORP	P	05/12/10	06/28/11	1,306.04	1,397.21	(91.17)	
35	INTL BUSINESS MACH	P	05/12/10	08/25/11	5,784.79	4,541.90	1,242.89	
17	INTL BUSINESS MACH	P	05/12/10	06/28/11	2,882.03	2,206.06	675.97	
369	LOWES COMPANIES INC	P	05/12/10	12/22/11	9,460.97	9,985.14	(524.17)	
55	NIKE INC CL B	P	05/12/10	08/25/11	4,599.70	4,224.83	374.87	
86	NIKE INC CL B	P	05/12/10	06/28/11	7,534.10	6,606.11	927.99	
169	ORACLE CORP	P	05/12/10	10/25/11	5,504.22	4,153.68	1,350.54	
23	QUALCOMM INC	P	05/12/10	12/22/11	1,247.54	878.69	368.85	
17	QUALCOMM INC	P	05/12/10	06/28/11	941.36	649.47	291.89	
335	RESEARCH IN MOTION LTD	CAD	P	05/12/10	06/20/11	8,801.35	23,037.11	(14,235.76)
30	RESEARCH IN MOTION LTD	CAD	P	06/08/10	06/20/11	788.18	1,714.98	(926.80)
205	TARGET CORP	P	05/12/10	08/25/11	10,299.53	11,524.44	(1,224.91)	
45	VERIZON COMMUNICATIONS	INC	P	05/12/10	06/28/11	1,637.55	1,208.96	428.59
102	VERIZON COMMUNICATIONS	INC	P	05/12/10	12/22/11	4,009.82	2,740.32	1,269.50
162	VERIZON COMMUNICATIONS	INC	P	05/12/10	08/25/11	5,780.53	4,352.27	1,428.26
138	AMER TOWER CORP	P	05/12/10	12/22/11	8,276.18	5,735.04	2,541.14	
288	AMER TOWER CORP	P	05/12/10	08/25/11	14,532.43	11,968.79	2,563.64	
185	AMER TOWER CORP	P	05/12/10	06/28/11	9,638.77	7,688.29	1,950.48	
221	AMGEN INC	P	05/12/10	12/22/11	14,022.18	12,461.44	1,560.74	
113	AMGEN INC	P	05/12/10	08/25/11	6,011.14	6,371.68	(360.54)	
290	AMGEN INC	P	05/12/10	06/28/11	16,897.30	16,352.12	545.18	
267	AT&T INC	P	05/12/10	06/28/11	8,243.47	6,834.34	1,409.13	
988	AT&T INC	P	05/12/10	08/12/11	27,832.81	25,289.64	2,543.17	
499	AUTODESK INC	P	05/12/10	10/25/11	16,247.42	15,974.49	272.93	
35	BED BATH & BEYOND INC	P	05/12/10	10/25/11	2,157.70	1,631.16	526.54	
173	BED BATH & BEYOND INC	P	05/12/10	08/25/11	9,193.04	8,062.56	1,130.48	
144	BED BATH & BEYOND INC	P	05/12/10	06/28/11	8,264.85	6,711.03	1,553.82	
495	BEST BUY CO INC	P	05/12/10	06/28/11	15,734.21	22,119.82	(6,385.61)	
547	BEST BUY CO INC	P	05/12/10	08/12/11	13,156.96	24,443.51	(11,286.55)	
65	BEST BUY CO INC	P	06/08/10	08/12/11	1,563.44	2,469.87	(906.43)	
8	CELGENE CORP	P	05/12/10	12/22/11	535.62	475.88	59.74	
80	CELGENE CORP	P	05/12/10	10/25/11	5,322.29	4,758.80	563.49	
188	CELGENE CORP	P	05/12/10	08/25/11	10,586.16	11,183.18	(597.02)	
258	CELGENE CORP	P	05/12/10	06/28/11	15,425.60	15,347.13	78.47	
143	COACH INC	P	05/12/10	10/25/11	8,887.70	5,977.35	2,910.35	
243	COACH INC	P	05/12/10	06/28/11	14,640.63	10,157.33	4,483.30	
153	COSTCO WHOLESALE CORP	P	05/12/10	08/25/11	11,611.12	8,846.19	2,764.93	
116	COSTCO WHOLESALE CORP	P	05/12/10	06/28/11	9,265.41	6,706.91	2,558.50	
652	INTEL CORP	P	05/12/10	10/25/11	16,151.68	14,934.06	1,217.62	

SCHEDULE D-2
LONG-TERM CAPITAL GAIN
HARRIS AND ELIZA KEMPNER FUND, INC.
2011 FORM 990-PF
TIN#: 76-680130

P - PURCHASED

D - DONATED

Qty	Description		Date Acquired	Date Sold	Gross Sales Price	Cost or other Basis	Gain or (Loss)
299	INTEL CORP	P	05/12/10	08/25/11	5,815.67	6,848.59	(1,032.92)
167	INTEL CORP	P	05/12/10	06/28/11	3,575.57	3,825.14	(249.57)
95	INTL BUSINESS MACH	P	05/12/10	08/25/11	15,701.58	12,328.01	3,373.57
47	INTL BUSINESS MACH	P	05/12/10	06/28/11	7,967.96	6,099.12	1,868.84
995	LOWES COMPANIES INC	P	05/12/10	12/22/11	25,511.31	26,924.70	(1,413.39)
150	NIKE INC CL B	P	05/12/10	08/25/11	12,544.64	11,522.27	1,022.37
232	NIKE INC CL B	P	05/12/10	06/28/11	20,324.56	17,821.13	2,503.43
458	ORACLE CORP	P	05/12/10	10/25/11	14,916.77	11,256.73	3,660.04
61	QUALCOMM INC	P	05/12/10	12/22/11	3,308.69	2,330.44	978.25
47	QUALCOMM INC	P	05/12/10	06/28/11	2,602.58	1,795.58	807.00
650	RESEARCH IN MOTION LTD CAD	P	05/12/10	06/20/11	17,077.25	44,698.88	(27,621.63)
60	RESEARCH IN MOTION LTD CAD	P	06/08/10	06/20/11	1,576.36	3,429.95	(1,853.59)
555	TARGET CORP	P	05/12/10	08/25/11	27,884.10	31,200.32	(3,316.22)
121	VERIZON COMMUNICATIONS INC	P	05/12/10	06/28/11	4,403.20	3,250.77	1,152.43
275	VERIZON COMMUNICATIONS INC	P	05/12/10	12/22/11	10,810.78	7,388.12	3,422.66
439	VERIZON COMMUNICATIONS INC	P	05/12/10	08/25/11	15,664.53	11,794.11	3,870.42
30	POTASH CORP SASK INC CANADA CAD	P	05/17/10	07/25/11	1,853.66	993.18	860.48
40	RIO TINTO PLC SPON ADR	P	05/17/10	07/25/11	2,875.94	1,809.07	1,066.87
30	SCHLUMBERGER LTD	P	05/17/10	07/25/11	2,850.62	1,902.40	948.22
15	POTASH CORP SASK INC CANADA CAD	P	05/17/10	07/25/11	926.83	496.59	430.24
10	SCHLUMBERGER LTD	P	05/17/10	07/25/11	950.21	634.13	316.08
TOTAL LONG TERM CAPITAL GAIN/(LOSS)					6,209,806.35	5,007,375.99	1,202,430.36