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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
THE CALDWELL FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
PO BOX 4280

City or town, state, and ZIP code
TYLER, TX 75712

A Employer identification number
75-6004080

B Telephone number (see page 10 of the instructions)
(903) 592-8191

C If exemption application is pending, check here ☐
D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 96,552,991

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,515			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,632	1,632	1,632	
	4 Dividends and interest from securities.	2,082,610	2,082,610	2,082,610	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	7,280,058			
	b Gross sales price for all assets on line 6a _____ 68,664,877				
	7 Capital gain net income (from Part IV , line 2)		7,280,058		
	8 Net short-term capital gain			666,947	
	9 Income modifications				
	10a Gross sales less returns and allowances _____ 1,075,300				
Operating and Administrative Expenses	b Less Cost of goods sold	406,041			
	c Gross profit or (loss) (attach schedule)	669,259		669,259	
	11 Other income (attach schedule)	3,307,044	1,396,993	3,307,044	
	12 Total. Add lines 1 through 11	13,342,118	10,761,293	6,727,492	
	13 Compensation of officers, directors, trustees, etc	503,690	274,582	274,582	229,108
	14 Other employee salaries and wages	2,670,258	90,261	90,261	2,579,997
	15 Pension plans, employee benefits	929,772	45,839	45,839	883,933
	16a Legal fees (attach schedule)	45,156	31,906	31,906	13,250
	b Accounting fees (attach schedule)	26,725	22,382	22,382	4,343
	c Other professional fees (attach schedule)	564,474	533,892	533,892	30,582
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	225,892	70,892	70,892	
	19 Depreciation (attach schedule) and depletion	709,038	21,552	21,552	
	20 Occupancy	458,113	32,951	32,951	425,162
	21 Travel, conferences, and meetings	27,292	7,283	7,283	20,009
	22 Printing and publications	8,148			8,148
	23 Other expenses (attach schedule)	1,552,381	48,259	961,190	591,191
	24 Total operating and administrative expenses. Add lines 13 through 23	7,720,939	1,179,799	2,092,730	4,785,723
	25 Contributions, gifts, grants paid	2,800			2,800
	26 Total expenses and disbursements. Add lines 24 and 25	7,723,739	1,179,799	2,092,730	4,788,523
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	5,618,379			
	b Net investment income (if negative, enter -0-)		9,581,494		
	c Adjusted net income (if negative, enter -0-)			4,634,762	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,575	7,575	7,575
	2	Savings and temporary cash investments	3,661,123	2,652,684	2,652,684
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use	192,845	185,966	185,966
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	72,411,651	79,618,514	82,759,861
	14	Land, buildings, and equipment basis ▶ 22,165,068 Less accumulated depreciation (attach schedule) ▶ 15,515,618	7,122,119	6,649,450	6,649,450
Liabilities	15	Other assets (describe ▶ _____)	213,892	113,653	4,297,455
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	83,609,205	89,227,842	96,552,991
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	1,332	1,590	
	23	Total liabilities (add lines 17 through 22)	1,332	1,590	
		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	83,607,873	89,226,252	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	83,607,873	89,226,252	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	83,609,205	89,227,842	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	83,607,873
2	Enter amount from Part I, line 27a	2	5,618,379
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	89,226,252
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	89,226,252

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,280,058
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	666,947

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	5,059,814	84,160,666	0 06012
2009	4,891,717	75,723,125	0 06460
2008	5,300,346	86,359,130	0 06138
2007	4,684,710	97,378,157	0 04811
2006	6,951,248	89,239,994	0 07789

2	Total of line 1, column (d).	2	0 31210
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 06242
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	90,704,924
5	Multiply line 4 by line 3.	5	5,661,801
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	95,815
7	Add lines 5 and 6.	7	5,757,616
8	Enter qualifying distributions from Part XII, line 4.	8	4,935,855

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	191,630
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	191,630
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	191,630
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	182,599	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	182,599
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	180
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	9,211
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  www.caldwellzoo.org	13	Yes	
14	The books are in care of  OUIJAN VINSON Telephone no  (903) 592-8191 Located at  PO BOX 4280 TYLER TX ZIP+4  75712			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  and enter the amount of tax-exempt interest received or accrued during the year  15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  Yes  No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . Organizations relying on a current notice regarding disaster assistance check here. 	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes  No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

5b

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RD BOGGS PO BOX 4785 TYLER,TX 75712	GARAGE MGR 40 00	64,058	9,803	
O R VINSON PO BOX 4785 TYLER,TX 75712	ACCOUNTING MGR 32 00	65,614	9,878	
T G CARTER PO BOX 4785 TYLER,TX 75712	BLDG MAINT SUPV 40 00	69,488	11,973	
MD TUCKER PO BOX 4785 TYLER,TX 75712	DIR VISITOR SVC 40 00	71,659	9,725	
LS MADDUX PO BOX 4785 TYLER,TX 75712	ASST DIRECTOR 40 00	96,620	13,023	
Total number of other employees paid over \$50,000.				5

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GLENWOOD ANIMAL HOSPITAL	VETERINARY	147,002
221 N GLENWOOD TYLER,TX 75702		
RAYCOM TV BROADCASTING INC	ADVERTISING	61,895
105 WEST FERGUSON ST TYLER,TX 75702		
GRANTHAM MAYO VANOTTERLOO & CO	INVESTMENT MGMT	56,658
40 ROWES WHARF BOSTON,MA 02110		
TRADEWINDS NWQ GLOBAL INVESTORS LLC	INVESTMENT MGMT	58,477
2049 CENTURY PARK EAST 16TH FL LAS ANGELES,CA 90067		
TWEEDY BROWNE CO LLC	INVESTMENT MGMT	217,525
350 PARK AVE 9TH FLOOR NEW YORK,NY 10022		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE CALDWELL FOUNDATION WAS FORMED TO SUPPORT CHARITABLE AND EDUCATIONAL PROGRAMS THROUGH MAINTAINING AND OPERATING THE CALDWELL ZOO AND OTHER EDUCATIONAL EXHIBITS. APPROXIMATELY 293,000 PEOPLE VISITED THE ZOO IN 2011.	4,933,055
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	83,279,927
b	Average of monthly cash balances.	1b	4,954,946
c	Fair market value of all other assets (see page 24 of the instructions).	1c	3,851,344
d	Total (add lines 1a, b, and c).	1d	92,086,217
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	92,086,217
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	1,381,293
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	90,704,924
6	Minimum investment return. Enter 5% of line 5.	6	4,535,246

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,788,523
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	147,332
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,935,855
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,935,855
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2011			
a	Enter amount for 2010 only.			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2011			
a	From 2006.			
b	From 2007.			
c	From 2008.			
d	From 2009.			
e	From 2010.			
f	Total of lines 3a through e.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ _____			
a	Applied to 2010, but not more than line 2a			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .			
d	Applied to 2011 distributable amount.			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b.			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.			
10	Analysis of line 9			
a	Excess from 2007.			
b	Excess from 2008.			
c	Excess from 2009.			
d	Excess from 2010.			
e	Excess from 2011.			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

1998-07-01

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
		4,535,246	3,611,615	3,095,824	4,317,957	15,560,642
b	85% of line 2a	3,854,959	3,069,873	2,631,450	3,670,263	13,226,545
c	Qualifying distributions from Part XII, line 4 for each year listed	4,935,855	5,059,814	4,907,374	5,300,346	20,203,389
d	Amounts included in line 2c not used directly for active conduct of exempt activities	2,800	66,718	5,000	32,000	106,518
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	4,933,055	4,993,096	4,902,374	5,268,346	20,096,871
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .	3,023,497	2,805,355	2,524,104	2,878,638	11,231,594
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2011)

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> EAST TEXAS COMMUNITIES FOUNDATION PO BOX 1432 TYLER, TX 75710		PUBLIC	SCHOLARSHIP SUPPORT	2,800
Total			 3a	2,800
b <i>Approved for future payment</i>				
Total			 3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					1,758,805
a	ADMISSION INCOME					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	1,632	
4	Dividends and interest from securities.			14	2,082,610	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			15	1,396,993	
8	Gain or (loss) from sales of assets other than inventory			18	7,292,972	-12,914
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.			3	361,611	307,648
11	Other revenue a OTHER MISC INCOME					66,056
b	FISH FEEDER INCOME					25,100
c	AVIARY SEED STICK INCOME					59,495
d	ANIMAL SALES					595
e						
12	Subtotal Add columns (b), (d), and (e).				11,135,818	2,204,785
13	Total. Add line 12, columns (b), (d), and (e).					13,340,603

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

[illegible]

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2012-05-14	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature  Ginny Ragland		Date	Check if self-employed ☒
		Firm's name  Henry & Peters PC		Firm's EIN 	
Firm's address  3310 So Broadway Suite 100 Tyler, TX 75701		Phone no (903) 597-6311			

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Additional Data

Software ID: 11000144
Software Version: 2011v1.2
EIN: 75-6004080
Name: THE CALDWELL FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2011-01-01	2011-12-31
SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2010-01-01	2011-12-31
SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2010-01-01	2011-12-31
SSGA S&P 500 EQUAL WEIGHTED INDEX CTF	P	2011-01-01	2011-12-31
LONG TERM SALES-SEE SCHEDULE	P	2010-01-01	2011-12-31
SHORT TERM SALES - SEE SCHEDULE	P	2011-01-01	2011-12-31
OTHER CURRENCY GAINS/LOSSES	P	2011-01-01	2011-12-31
LT FOREIGN CURRENCY CONTRACTS-SEE SCHED	P	2010-01-01	2011-12-31
ST FOREIGN CURRENCY CONTRACTS-SEE SCHED	P	2011-01-01	2011-12-31
VANGUARD-ALLOCATED LONG TERM GAINS	P	2010-01-01	2011-12-31
ATLANTIC TRUST-ALLOCATED LONG TERM GAINS	P	2010-01-01	2011-12-31
ATLANTIC TRUST-ALLOCATED SHORT TERM GAIN	P	2011-01-01	2011-12-31
DFA-ALLOCATED SHORT TERM GAINS	P	2011-01-01	2011-12-31
DFA-ALLOCATED LONG TERM GAINS	P	2009-01-01	2011-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,416			2,416
3,625			3,625
535,845			535,845
		4,225	-4,225
28,955,520		22,434,556	6,520,964
10,176,447		9,385,946	790,501
14,405		178,404	-163,999
9,150,000		9,888,688	-738,688
19,501,432		19,480,086	21,346
34,703			34,703
29,675			29,675
19,145			19,145
1,763			1,763
239,901			239,901

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,416
			3,625
			535,845
			-4,225
			6,520,964
			790,501
			-163,999
			-738,688
			21,346
			34,703
			29,675
			19,145
			1,763
			239,901

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEE DEE BUFE	BOARD MEMBER 3 00	15,000		
3513 FRY AVE TYLER,TX 75701				
PEYTON M LAKE	BOARD MEMBER 3 00	15,000		
PO BOX 179 TYLER,TX 75710				
HS MCARTHUR	EXEC V-PRES 40 00	110,000	9,675	
PO BOX 4280 TYLER,TX 75712				
EH CALDWELL	Exec DIRECTOR 40 00	155,265	15,011	
PO BOX 4785 TYLER,TX 75712				
MS MCARTHUR	Chairman 40 00	208,425	12,621	
PO BOX 4280 TYLER,TX 75712				

TY 2011 Accounting Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING/AUDITING FEES	26,725	22,382	22,382	4,343

TY 2011 Contractor Compensation Explanation**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Contractor	Explanation
TWEEDY BROWNE CO LLC	
TRADEWINDS NWQ GLOBAL INVESTORS LLC	
RAYCOM TV BROADCASTING INC	
GRANTHAM MAYO VANOTTERLOO & CO	
GLENWOOD ANIMAL HOSPITAL	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: THE CALDWELL FOUNDATION

EIN: 75-6004080

Software ID: 11000144

Software Version: 2011v1.2

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TORTOISE HOLDING	2011-07-01	6,051		91	10 0000	252			
BEAR EXHIBIT	2011-06-01	165,600		91	10 0000	8,280			
SOUTH AMERICA SEWER LINE	2011-06-01	1,852		91	10 0000	93			
ALLIGATOR POND REPAIR	2011-05-01	38,283		91	10 0000	2,233			
RHINO HOUSE WATER HEATER	2011-08-11	4,139		91	5 0000	276			
ADP - TIMECOCK	2011-07-15	4,463		91	5 0000	376			
OPTICON WITH SOFTWARE	2011-07-28	2,067		91	4 0000	215			
TEXAS TANK - FILTER SYSTE	2011-06-02	4,135		91	7 0000	295			
GS-A/C UNIT REPLACEMENT	2011-05-30	10,560		91	5 0000	1,232			
VS-A/C UNIT REPLACEMENT	2011-05-26	10,150		91	5 0000	1,184			
GYNA'S COMPUTER	2011-05-19	1,983		91	4 0000	289			
TX HOOFSTOCK BARN-OUTDR	2010-05-06	6,344	370	91	10 0000	634			
2009 GMC VAN	2010-02-18	19,999	3,333	91	5 0000	4,000			
COPIER-ANIMAL SERVIES	2010-11-18	5,260	88	91	5 0000	1,052			
C&K SYSTEMS-GS INV SOFTWA	2010-07-15	2,425	253	91	4 0000	606			
REPEATER-RADIO COMMUNICAT	2010-05-27	2,951	344	91	5 0000	590			
AFRICAN HS BARN - HEATER	2010-05-01	8,985	856	91	7 0000	1,284			
POS EQUIPMENT REPLACEMENT	2010-04-01	19,389	3,635	91	4 0000	4,847			
C&K SYSTEMS-GS INV SOFTWR	2010-02-01	14,812	3,394	91	4 0000	3,703			
AIR CONDITIONER	2010-08-25	11,850	564	91	7 0000	1,693			
RHINO HOUSE BOILER	2009-12-31	13,840	1,977	91	7 0000	1,977			
PRAIRIE CHICKEN EXHIBIT	2009-04-16	2,540	423	91	10 0000	254			
TEXAS REPTILE ROOF	2009-03-06	21,725	3,802	91	10 0000	2,173			
SQL UPGRADE	2009-09-03	6,917	2,882	91	3 0000	2,306			
CONC #3 A/C REPLACEMENT	2009-04-09	9,415	3,138	91	5 0000	1,883			
CONC #3 POS	2009-03-19	4,405	2,569	91	3 0000	1,468			
ANIMAL HOLDING/QUARANTINE	2008-12-31	187,821	12,522	91	31 5000	6,261			
WATERFOWL BREEDING LINE	2008-05-01	11,232	2,901	91	10 0000	1,123			
HI TEMP DISHWASHER (COMM)	2008-07-31	6,228	2,151	91	7 0000	890			
LARGE ANIMAL SCALES	2008-05-08	4,130	2,134	91	5 0000	826			

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EGG INCUBATOR	2008-05-22	2,655	1,372	91	5 0000	531			
GATE OPENERS-SVC ENTRANCE	2008-03-27	9,200	3,614	91	7 0000	1,314			
JD GATOR - GROUNDS	2008-03-27	8,350	4,593	91	5 0000	1,670			
FORD TRK-PURCH VIN 7639	2007-07-31	16,391	11,200	91	5 0000	3,278			
FORD TRK-SECURITY VIN5642	2007-07-31	16,134	11,026	91	5 0000	3,227			
CONC FREEZER	2007-12-01	5,105	2,187	91	7 0000	729			
JD 4X2 GATOR - MAMMALS	2007-06-28	8,320	5,824	91	5 0000	1,664			
X-RAY FILM PROCESSOR	2007-06-21	5,251	3,675	91	5 0000	1,050			
N AMER REPTILE A/C	2007-04-26	3,447	2,527	91	5 0000	689			
GREENHOUSE	2006-08-01	49,827	21,593	91	10 0000	4,983			
MAIN ENTRY/PLAZA UPGRADE	2006-06-01	370,617	166,779	91	10 0000	37,062			
EDUCATION HUTS PROJECT	2006-05-01	37,651	17,256	91	10 0000	3,765			
CONCESSION MENU BOARD	2006-12-07	2,971	2,376	91	7 0000	594			
CARDELL MONITOR 9405-VET	2006-06-23	4,855	4,370	91	5 0000	485			
OZONE SYSTEM-PENGUIN EXHB	2006-04-01	14,795	9,846	91	7 0000	2,114			
EXMARK LZR Z RIDING MOWER	2006-02-28	8,025	7,758	91	5 0000	267			
SECURITY CAMERAS	2006-02-28	3,679	3,557	91	5 0000	122			
WATER LEVEL CONTROL SYSTM	2005-11-30	8,009	5,815	91	7 0000	1,144			
REPTILE HEATER	2005-03-01	3,341	2,743	91	7 0000	477			
UPPER BOX CULVERT	2005-08-01	298,442	161,655	91	10 0000	29,844			
AVIARY-WILDBIRD WALKABOUT	2005-07-31	15,179	8,222	91	10 0000	1,518			
HEATER	2006-01-01	7,925	5,660	91	7 0000	1,132			
CHILDREN'S ZOO	2003-10-31	15,097	10,821	91	10 0000	1,510			
BUS PARKING TICKET BOOTH	2003-10-31	17,778	12,742	91	10 0000	1,778			
GIFT SHOP #2	2003-10-31	171,220	40,805	91	30 0000	5,805			
LEMUR EXHBT-FLAMINGO BLD	2003-10-31	46,000	32,967	91	10 0000	4,600			
LEMUR EXHBT-LEMUR BLDG	2003-10-31	51,000	36,550	91	10 0000	5,100			
LEMUR EXHIBIT - PUMPHOUSE	2003-10-31	45,000	32,250	91	10 0000	4,500			
MAIN ENTRY-DESGN/ARCH/ENG	2003-10-31	224,162	160,641	91	10 0000	22,416			
MAIN ENTRY - SITE WORK	2003-10-31	1,516,515	1,086,838	91	10 0000	151,652			

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
MAIN ENTRY - GATE/PANELS	2003-10-31	15,835	11,349	91	10 0000	1,584			
MAIN ENTRY - VISITOR SVCS	2003-10-31	207,561	49,490	91	30 0000	7,012			
MAIN ENTRY - RESTROOM	2003-10-31	110,000	26,279	91	30 0000	3,667			
WASH STATION - TRASH	2003-09-01	4,927	3,614	91	10 0000	493			
BUS PARKING HANDICAP	2003-08-15	3,500	2,596	91	10 0000	350			
BUS PARKING FENCING	2003-06-15	4,818	2,434	91	15 0000	321			
BUS PARKING SIDEWALKS	2003-04-24	5,281	4,048	91	10 0000	528			
BOX CULVERT	2003-03-01	255,030	199,773	91	10 0000	25,503			
GREENHOUSE	2002-08-01	39,860	33,218	91	10 0000	3,986			
ZONE A - ANIMAL HEALTH	2002-06-01	664,832	188,367	91	30 0000	22,161			
ZONE D - FENCING	2002-06-01	21,284	12,061	91	15 0000	1,419			
ZONE D - LOWER PARKING	2002-06-01	686,628	583,635	91	10 0000	68,663			
HORTICULTURE BLDG	2002-04-01	325,356	93,991	91	30 0000	10,845			
MAMMAL HOLDING	2002-04-01	201,184	58,119	91	30 0000	6,706			
FLAMINGO HOLDING	2002-04-01	322,837	93,263	91	30 0000	10,761			
PRAIRIE CHICKEN EXHIBIT	2002-03-01	15,341	13,423	91	10 0000	1,534			
TRACTOR	2001-05-17	21,100	20,221	91	10 0000	879			
BACKHOE	2001-01-10	46,098	45,715	91	10 0000	383			
WALL OF REPTILE EXHIBIT	2001-10-01	2,960	2,738	91	10 0000	222			
RESTROOM - ZONE C	2001-10-01	321,865	99,242	91	30 0000	10,729			
FENCING - ZONE C	2001-10-01	20,193	12,451	91	15 0000	1,346			
PARKING LOT-ZONE C	2001-10-01	174,033	160,980	91	10 0000	13,053			
PERIMETER FENCE	2000-10-31	2,822	1,959	91	15 0000	188			
GENERAL BLDG & IMPROVEMEN	1997-07-01	11,500	4,928	91	31 5000	365			
3025 W GENTRY	1994-07-01	19,138	11,455	85	3 64 %	696			
3043 W GENTRY	1993-07-31	58,479	39,243	85	3 64 %	2,126			
3037 W GENTRY	1993-07-31	59,309	39,792	85	3 64 %	2,156			
PURCHASING BLDG	1990-02-01	653,633	496,119	85	3 64 %	23,766			
ADM OFFICE BLDG	1989-05-01	644,430	425,595	87	3 18 %	22,254			
MAINTENANCE GARAGE	1994-07-01	369,334	155,874	91	39 0000	9,470			

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
PERIMETER FENCE	1993-04-30	33,796	18,135	91	31 5000	1,073			
MAINTENANCE BLDG	1992-10-31	247,322	135,865	91	31 5000	7,852			
AFRICAN THEME PHASE II	1992-07-01	3,132,302	1,720,784	91	31 5000	99,632			

TY 2011 Land, Etc. Schedule

Name: THE CALDWELL FOUNDATION

EIN: 75-6004080

Software ID: 11000144

Software Version: 2011v1.2

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	1,749,949		1,749,949	1,749,949
Buildings	19,448,098	14,678,207	4,769,891	4,769,891
Furniture and Fixtures	859,080	745,929	113,151	113,151
Auto./Transportation Equip.	107,941	91,482	16,459	16,459

TY 2011 Legal Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	45,156	31,906	31,906	13,250

TY 2011 Other Assets Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Net Intangible Assets	20,000	20,000	4,203,802
DEPOSIT ON SERVER	1,000	1,000	1,000
CONSTRUCTION IN PROGRESS	192,892	92,653	92,653

TY 2011 Other Expenses Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
X-EXPENSES ALLOC TO CHARITABLE INCOME			912,931	-912,931
VEHICLE EXPENSE	53,350			53,350
SUPPLIES	111,597	4,607	4,607	106,990
PUBLIC RELATIONS	19			19
OTHER EXPENSES	195,862	16,270	16,270	179,592
OTHER EMPLOYEE EXPENSES	60,507	11,648	11,648	48,859
MAINTENANCE & REPAIR	224,286	7,836	7,836	216,450
CONTRACT LABOR	303,427			303,427
COMMUNICATIONS	42,411	7,898	7,898	34,513
ANIMAL EXPENSE	560,922			560,922

TY 2011 Other Income Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER MISC INCOME	66,056		66,056
Other Investment Income	1,396,993	1,396,993	1,396,993
FISH FEEDER INCOME	25,100		25,100
AVIARY SEED STICK INCOME	59,495		59,495
ANIMAL SALES	595		595
ADMISSION INCOME	1,758,805		1,758,805

TY 2011 Other Liabilities Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Description	Beginning of Year - Book Value	End of Year - Book Value
SALES TAX PAYABLE	1,332	1,590

TY 2011 Other Professional Fees Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	420,956	420,956	420,956	0
CONSULTING FEES	143,518	112,936	112,936	30,582

TY 2011 Sales Of Inventory Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Gross Sales	Cost of Goods Sold	Net (Gross Sales Minus Cost of Goods Sold)
CONCESSION SALES	483,792	122,181	361,611
GIFT SHOP SALES	591,508	283,860	307,648

TY 2011 Taxes Schedule**Name:** THE CALDWELL FOUNDATION**EIN:** 75-6004080**Software ID:** 11000144**Software Version:** 2011v1.2

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	155,000			
AD VALOREM TAXES	70,892	70,892	70,892	

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2011

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
EURO CURRENCY UNIT	U S DOLLAR	3/10/2011	3/10/2011	5,759	5,758	0
EURO CURRENCY UNIT	U S DOLLAR	4/12/2011	4/12/2011	7,151	7,157	(5)
EURO CURRENCY UNIT	U S DOLLAR	4/15/2011	4/15/2011	22,346	22,343	3
EURO CURRENCY UNIT	U S DOLLAR	4/21/2011	4/21/2011	29,796	29,836	(40)
EURO CURRENCY UNIT	U S DOLLAR	5/4/2011	5/4/2011	10,823	10,839	(16)
EURO CURRENCY UNIT	U S DOLLAR	5/5/2011	5/5/2011	9,038	9,083	(45)
EURO CURRENCY UNIT	U S DOLLAR	5/10/2011	5/10/2011	7,875	7,868	7
EURO CURRENCY UNIT	U S DOLLAR	5/13/2011	5/13/2011	32,690	32,921	(232)
EURO CURRENCY UNIT	U S DOLLAR	5/13/2011	5/13/2011	4,501	4,533	(32)
EURO CURRENCY UNIT	U S DOLLAR	5/26/2011	5/26/2011	17,643	17,618	26
EURO CURRENCY UNIT	U S DOLLAR	9/6/2011	9/6/2011	5,221	5,244	(23)
EURO CURRENCY UNIT	U S DOLLAR	9/22/2011	9/22/2011	3,785	3,784	1
EURO CURRENCY UNIT	U S DOLLAR	12/22/2011	12/22/2011	3,665	3,671	(6)
JAPANESE YEN	U S DOLLAR	3/31/2011	3/31/2011	3,716	3,720	(4)
JAPANESE YEN	U S DOLLAR	3/31/2011	3/31/2011	1,231	1,232	(1)
JAPANESE YEN	U S DOLLAR	6/24/2011	6/24/2011	2,200	2,202	(2)
JAPANESE YEN	U S DOLLAR	6/24/2011	6/24/2011	1,282	1,283	(1)
JAPANESE YEN	U S DOLLAR	6/29/2011	6/29/2011	1,497	1,501	(4)
JAPANESE YEN	U S DOLLAR	8/24/2011	8/24/2011	1,340	1,345	(5)
JAPANESE YEN	U S DOLLAR	8/26/2011	8/26/2011	3,707	3,703	5
JAPANESE YEN	U S DOLLAR	9/2/2011	9/2/2011	1,329	1,330	(1)
JAPANESE YEN	U S DOLLAR	11/25/2011	11/25/2011	1,076	1,078	(2)
MEXICAN NEW PESO	U S DOLLAR	3/7/2011	3/7/2011	11,846	11,899	(54)
MEXICAN NEW PESO	U S DOLLAR	4/14/2011	4/14/2011	4,059	4,040	19
POUND STERLING	U S DOLLAR	2/11/2011	2/11/2011	2,249	2,246	3
POUND STERLING	U S DOLLAR	6/3/2011	6/3/2011	3,785	3,777	9
POUND STERLING	U S DOLLAR	6/21/2011	6/21/2011	9,900	9,897	3
POUND STERLING	U S DOLLAR	7/8/2011	7/8/2011	1,084	1,086	(1)
POUND STERLING	U S DOLLAR	10/14/2011	10/14/2011	2,626	2,632	(6)
POUND STERLING	U S DOLLAR	11/30/2011	11/30/2011	3,354	3,360	(5)
SWISS FRANC	U S DOLLAR	3/1/2011	3/1/2011	14,798	14,783	16
SWISS FRANC	U S DOLLAR	3/8/2011	3/8/2011	18,597	18,661	(64)
SWISS FRANC	U S DOLLAR	4/7/2011	4/7/2011	45,630	45,575	55
SWISS FRANC	U S DOLLAR	4/21/2011	4/21/2011	18,859	18,932	(74)
SWISS FRANC	U S DOLLAR	5/10/2011	5/10/2011	2,948	2,958	(10)
EURO CURRENCY UNIT	U S DOLLAR	1/26/2011	1/27/2011	473	485	(13)
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	1,225	1,212	13
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	796	788	9
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	520	515	6
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	1,587	1,570	17
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	430	425	5
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	430	425	5
EURO CURRENCY UNIT	U S DOLLAR	3/17/2011	3/18/2011	4,140	4,152	(12)
EURO CURRENCY UNIT	U S DOLLAR	5/19/2011	5/20/2011	248	246	2
EURO CURRENCY UNIT	U S DOLLAR	5/24/2011	5/25/2011	735	758	(23)
EURO CURRENCY UNIT	U S DOLLAR	6/16/2011	6/17/2011	4,545	4,578	(34)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	8,050	8,089	(39)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	10,653	10,705	(52)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	1,835	1,844	(9)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	1,835	1,844	(9)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	6,912	6,946	(33)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	2,634	2,647	(13)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2011

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	689	692	(3)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	3,009	3,024	(15)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	2,488	2,500	(12)
EURO CURRENCY UNIT	U S DOLLAR	7/7/2011	7/8/2011	512	513	(1)
EURO CURRENCY UNIT	U S DOLLAR	9/15/2011	9/16/2011	4,450	4,435	15
EURO CURRENCY UNIT	U S DOLLAR	10/5/2011	10/6/2011	0	0	-
EURO CURRENCY UNIT	U S DOLLAR	12/15/2011	12/16/2011	2,036	2,040	(4)
EURO CURRENCY UNIT	U S DOLLAR	12/19/2011	12/20/2011	3,160	3,162	(3)
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	300	296	3
EURO CURRENCY UNIT	U S DOLLAR	3/7/2011	3/8/2011	300	296	3
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	2,149	2,160	(10)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	6/29/2011	2,056	2,066	(10)
JAPANESE YEN	U S DOLLAR	2/28/2011	3/1/2011	1,260	1,263	(3)
POUND STERLING	U S DOLLAR	3/17/2011	3/18/2011	2,929	2,917	12
POUND STERLING	U S DOLLAR	4/7/2011	4/8/2011	9,596	9,637	(41)
POUND STERLING	U S DOLLAR	5/9/2011	5/10/2011	13,809	13,859	(50)
POUND STERLING	U S DOLLAR	6/2/2011	6/3/2011	9,297	9,342	(45)
POUND STERLING	U S DOLLAR	6/16/2011	6/17/2011	3,303	3,326	(23)
POUND STERLING	U S DOLLAR	9/15/2011	9/16/2011	3,180	3,166	14
POUND STERLING	U S DOLLAR	9/19/2011	9/20/2011	3,569	3,604	(36)
POUND STERLING	U S DOLLAR	10/25/2011	10/26/2011	6,776	6,754	22
POUND STERLING	U S DOLLAR	12/1/2011	12/2/2011	3,885	3,893	(8)
SOUTH KOREAN WON	U S DOLLAR	4/6/2011	4/7/2011	7,308	7,304	4
SOUTH KOREAN WON	U S DOLLAR	7/12/2011	7/13/2011	971	978	(7)
SOUTH KOREAN WON	U S DOLLAR	8/17/2011	8/18/2011	882	877	5
SWISS FRANC	U S DOLLAR	9/6/2011	9/7/2011	3,263	3,287	(24)
SWISS FRANC	U S DOLLAR	9/6/2011	9/7/2011	1,350	1,360	(10)
SWISS FRANC	U S DOLLAR	9/6/2011	9/7/2011	4,542	4,575	(34)
SWISS FRANC	U S DOLLAR	10/20/2011	10/21/2011	1,351	1,401	(50)
SWISS FRANC	U S DOLLAR	10/20/2011	10/21/2011	583	605	(22)
U S DOLLAR	EURO CURRENCY UNIT	8/3/2011	8/4/2011	20	20	(0)
EURO CURRENCY UNIT	U S DOLLAR	9/13/2011	9/15/2011	159,680	160,897	(1,217)
U S DOLLAR	POUND STERLING	1/4/2011	1/6/2011	154,998	156,135	(1,136)
U S DOLLAR	JAPANESE YEN	3/2/2011	3/4/2011	103,711	104,619	(908)
U S DOLLAR	EURO CURRENCY UNIT	3/15/2011	3/17/2011	320,544	319,218	1,326
U S DOLLAR	EURO CURRENCY UNIT	4/6/2011	4/8/2011	322,654	320,419	2,235
U S DOLLAR	POUND STERLING	4/6/2011	4/8/2011	108,864	108,525	339
U S DOLLAR	JAPANESE YEN	5/9/2011	5/11/2011	383,029	384,903	(1,875)
U S DOLLAR	SWISS FRANC	5/9/2011	5/11/2011	256,799	259,176	(2,377)
U S DOLLAR	EURO CURRENCY UNIT	6/7/2011	6/9/2011	587,947	594,958	(7,011)
U S DOLLAR	MEXICAN NEW PESO	6/7/2011	6/9/2011	113,313	114,043	(730)
U S DOLLAR	MEXICAN NEW PESO	6/15/2011	6/17/2011	145,478	145,188	290
U S DOLLAR	EURO CURRENCY UNIT	6/28/2011	6/30/2011	411,689	407,699	3,990
U S DOLLAR	POUND STERLING	7/18/2011	7/20/2011	150,638	149,439	1,199
U S DOLLAR	EURO CURRENCY UNIT	8/9/2011	8/11/2011	864,460	861,431	3,029
U S DOLLAR	JAPANESE YEN	8/9/2011	8/11/2011	122,393	121,616	776
U S DOLLAR	EURO CURRENCY UNIT	8/10/2011	8/12/2011	22,714	22,753	(39)
U S DOLLAR	MEXICAN NEW PESO	8/16/2011	8/18/2011	128,229	129,468	(1,239)
U S DOLLAR	POUND STERLING	8/23/2011	8/25/2011	104,571	106,124	(1,553)
U S DOLLAR	NORWEGIAN KRONE	10/19/2011	10/21/2011	165,708	163,558	2,150
U S DOLLAR	SWISS FRANC	10/19/2011	10/21/2011	56,366	55,179	1,188
U S DOLLAR	POUND STERLING	11/21/2011	11/23/2011	291,019	293,692	(2,673)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2011

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
U S DOLLAR	SWISS FRANC	12/6/2011	12/8/2011	693,642	694,765	(1,123)
EURO CURRENCY UNIT	U S DOLLAR	8/15/2011	8/18/2011	82,479	82,528	(49)
EURO CURRENCY UNIT	U S DOLLAR	11/25/2011	11/28/2011	2,213	2,231	(18)
EURO CURRENCY UNIT	U S DOLLAR	11/14/2011	11/18/2011	138,887	137,968	919
NORWEGIAN KRONE	U S DOLLAR	5/27/2011	5/31/2011	4,773	4,700	73
POUND STERLING	U S DOLLAR	6/20/2011	6/24/2011	307,425	303,484	3,941
SOUTH KOREAN WON	U S DOLLAR	9/2/2011	9/6/2011	159,349	158,548	801
EURO CURRENCY UNIT	U S DOLLAR	3/9/2011	3/14/2011	208,844	209,865	(1,021)
EURO CURRENCY UNIT	U S DOLLAR	3/17/2011	3/22/2011	189,277	191,637	(2,360)
EURO CURRENCY UNIT	U S DOLLAR	7/1/2011	7/6/2011	47,872	47,196	676
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/6/2011	265,489	261,484	4,005
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/6/2011	195,594	192,643	2,950
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/6/2011	305,537	300,928	4,609
EURO CURRENCY UNIT	U S DOLLAR	9/7/2011	9/12/2011	142,715	138,966	3,749
MEXICAN NEW PESO	U S DOLLAR	9/1/2011	9/6/2011	439,469	433,524	5,944
U S DOLLAR	POUND STERLING	3/23/2011	3/28/2011	197,252	199,981	(2,729)
U S DOLLAR	MEXICAN NEW PESO	4/20/2011	4/25/2011	162,831	162,962	(130)
U S DOLLAR	SWISS FRANC	8/3/2011	8/8/2011	22,815	22,590	224
U S DOLLAR	EURO CURRENCY UNIT	8/3/2011	8/8/2011	21,741	21,936	(195)
U S DOLLAR	SWISS FRANC	8/3/2011	8/8/2011	30,150	29,854	296
U S DOLLAR	SWISS FRANC	8/5/2011	8/10/2011	117,144	111,212	5,931
U S DOLLAR	POUND STERLING	8/5/2011	8/10/2011	189,622	192,213	(2,590)
U S DOLLAR	EURO CURRENCY UNIT	8/5/2011	8/10/2011	286,618	288,790	(2,172)
U S DOLLAR	POUND STERLING	8/10/2011	8/15/2011	99,181	97,497	1,684
EURO CURRENCY UNIT	U S DOLLAR	2/18/2011	2/24/2011	85,707	86,139	(432)
EURO CURRENCY UNIT	U S DOLLAR	8/17/2011	8/23/2011	222,702	221,907	795
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/7/2011	354,233	348,895	5,338
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/7/2011	297,959	293,469	4,490
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/7/2011	107,643	106,021	1,622
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/7/2011	396,527	390,552	5,975
EURO CURRENCY UNIT	U S DOLLAR	9/1/2011	9/7/2011	234,412	230,880	3,532
EURO CURRENCY UNIT	U S DOLLAR	9/7/2011	9/13/2011	95,569	92,804	2,764
EURO CURRENCY UNIT	U S DOLLAR	9/7/2011	9/13/2011	81,026	78,683	2,344
EURO CURRENCY UNIT	U S DOLLAR	9/7/2011	9/13/2011	65,281	63,393	1,888
JAPANESE YEN	U S DOLLAR	9/1/2011	9/7/2011	101,885	101,246	639
JAPANESE YEN	U S DOLLAR	9/2/2011	9/8/2011	155,761	154,824	937
JAPANESE YEN	U S DOLLAR	9/2/2011	9/8/2011	45,541	45,267	274
JAPANESE YEN	U S DOLLAR	9/2/2011	9/8/2011	51,271	50,962	308
JAPANESE YEN	U S DOLLAR	10/11/2011	10/17/2011	93,184	92,371	813
NORWEGIAN KRONE	U S DOLLAR	9/7/2011	9/13/2011	74,245	71,262	2,983
POUND STERLING	U S DOLLAR	9/1/2011	9/7/2011	94,025	93,017	1,007
POUND STERLING	U S DOLLAR	9/1/2011	9/7/2011	420,641	416,134	4,507
POUND STERLING	U S DOLLAR	9/1/2011	9/7/2011	100,950	99,868	1,082
POUND STERLING	U S DOLLAR	9/1/2011	9/7/2011	144,575	143,026	1,549
POUND STERLING	U S DOLLAR	9/1/2011	9/7/2011	345,756	342,052	3,704
SOUTH KOREAN WON	U S DOLLAR	2/1/2011	2/7/2011	130,000	137,559	(7,559)
SWISS FRANC	U S DOLLAR	5/26/2011	6/1/2011	47,741	48,491	(750)
SWISS FRANC	U S DOLLAR	9/1/2011	9/7/2011	432,442	400,988	31,455
SWISS FRANC	U S DOLLAR	9/1/2011	9/7/2011	295,884	274,362	21,522
SWISS FRANC	U S DOLLAR	9/1/2011	9/7/2011	368,714	341,895	26,819
SWISS FRANC	U S DOLLAR	9/1/2011	9/7/2011	341,464	316,627	24,837
SWISS FRANC	U S DOLLAR	9/16/2011	9/22/2011	33,656	32,875	781

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2011

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
SWISS FRANC	U S DOLLAR	9/16/2011	9/22/2011	48,346	47,224	1,122
SWISS FRANC	U S DOLLAR	9/21/2011	9/27/2011	42,439	42,067	371
U S DOLLAR	SOUTH KOREAN WON	2/1/2011	2/7/2011	137,559	135,709	1,850
SWISS FRANC	U S DOLLAR	11/18/2011	11/25/2011	65,222	65,136	87
U S DOLLAR	EURO CURRENCY UNIT	9/2/2011	9/21/2011	532,910	553,334	(20,424)
U S DOLLAR	SWISS FRANC	9/2/2011	9/21/2011	156,969	179,014	(22,045)
U S DOLLAR	MEXICAN NEW PESO	9/1/2011	9/21/2011	99,500	108,475	(8,975)
U S DOLLAR	EURO CURRENCY UNIT	5/5/2011	6/3/2011	947,723	951,686	(3,964)
U S DOLLAR	NORWEGIAN KRONE	9/12/2011	10/21/2011	90,298	87,662	2,636
U S DOLLAR	EURO CURRENCY UNIT	9/2/2011	10/21/2011	669,783	684,942	(15,159)
U S DOLLAR	POUND STERLING	9/2/2011	10/21/2011	655,197	665,632	(10,435)
U S DOLLAR	SWISS FRANC	9/2/2011	10/21/2011	441,776	497,200	(55,424)
U S DOLLAR	SOUTH KOREAN WON	9/2/2011	10/21/2011	167,726	180,695	(12,969)
U S DOLLAR	EURO CURRENCY UNIT	9/12/2011	11/23/2011	295,876	300,532	(4,656)
U S DOLLAR	JAPANESE YEN	11/22/2010	3/4/2011	70,410	69,706	704
U S DOLLAR	SOUTH KOREAN WON	9/2/2011	2/9/2012	-	-	-
U S DOLLAR	MEXICAN NEW PESO	11/15/2010	4/25/2011	111,705	104,483	7,222
U S DOLLAR	JAPANESE YEN	9/2/2011	3/9/2012	-	-	-
U S DOLLAR	JAPANESE YEN	10/19/2011	5/16/2012	-	-	-
U S DOLLAR	MEXICAN NEW PESO	9/2/2011	4/27/2012	-	-	-
U S DOLLAR	MEXICAN NEW PESO	9/1/2011	4/27/2012	-	-	-
U S DOLLAR	JAPANESE YEN	9/2/2011	5/16/2012	-	-	-
U S DOLLAR	MEXICAN NEW PESO	9/2/2011	6/22/2012	-	-	-
SHORT TERM				19,501,432	19,480,086	21,346
EURO CURRENCY UNIT	U S DOLLAR	3/9/2010	3/17/2011	310,000	320,515	(10,515)
EURO CURRENCY UNIT	U S DOLLAR	5/26/2010	6/3/2011	800,000	947,723	(147,723)
EURO CURRENCY UNIT	U S DOLLAR	6/1/2010	6/9/2011	500,000	587,947	(87,947)
EURO CURRENCY UNIT	U S DOLLAR	6/22/2010	6/30/2011	350,000	411,689	(61,689)
EURO CURRENCY UNIT	U S DOLLAR	8/3/2010	8/11/2011	800,000	864,460	(64,460)
EURO CURRENCY UNIT	U S DOLLAR	9/13/2010	9/21/2011	500,000	532,910	(32,910)
EURO CURRENCY UNIT	U S DOLLAR	10/13/2010	10/21/2011	670,000	669,783	217
EURO CURRENCY UNIT	U S DOLLAR	11/15/2010	11/23/2011	300,000	295,876	4,124
EURO CURRENCY UNIT	U S DOLLAR	4/6/2011	4/13/2012	-	-	-
EURO CURRENCY UNIT	U S DOLLAR	8/16/2011	8/23/2012	-	-	-
EURO CURRENCY UNIT	U S DOLLAR	10/25/2011	11/1/2012	-	-	-
JAPANESE YEN	U S DOLLAR	2/24/2010	3/4/2011	160,000	174,121	(14,121)
JAPANESE YEN	U S DOLLAR	5/3/2010	5/11/2011	330,000	383,029	(53,029)
JAPANESE YEN	U S DOLLAR	8/3/2010	8/11/2011	110,000	122,393	(12,393)
JAPANESE YEN	U S DOLLAR	3/2/2011	3/9/2012	-	-	-
JAPANESE YEN	U S DOLLAR	5/9/2011	5/16/2012	-	-	-
MEXICAN NEW PESO	U S DOLLAR	6/1/2010	6/9/2011	100,000	113,313	(13,313)
MEXICAN NEW PESO	U S DOLLAR	6/9/2010	6/17/2011	130,000	145,478	(15,478)
MEXICAN NEW PESO	U S DOLLAR	8/10/2010	8/18/2011	120,000	128,229	(8,229)
MEXICAN NEW PESO	U S DOLLAR	9/13/2010	9/21/2011	100,000	99,500	500
MEXICAN NEW PESO	U S DOLLAR	4/20/2011	4/27/2012	-	-	-
MEXICAN NEW PESO	U S DOLLAR	6/15/2011	6/22/2012	-	-	-
NORWEGIAN KRONE	U S DOLLAR	10/13/2010	10/21/2011	240,000	256,007	(16,007)
NORWEGIAN KRONE	U S DOLLAR	10/19/2011	10/26/2012	-	-	-
POUND STERLING	U S DOLLAR	7/12/2010	7/20/2011	140,000	150,638	(10,638)
POUND STERLING	U S DOLLAR	8/17/2010	8/25/2011	100,000	104,571	(4,571)

THE CALDWELL FOUNDATION
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SCHEDULE OF FOREIGN CURRENCY CONTRACT GAINS/LOSSES
2011

PAYABLE	RECEIVABLE	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST	GAIN/(LOSS)
POUND STERLING	U S DOLLAR	10/13/2010	10/21/2011	650,000	655,197	(5,197)
POUND STERLING	U S DOLLAR	11/15/2010	11/23/2011	300,000	291,019	8,981
POUND STERLING	U S DOLLAR	4/6/2011	4/13/2012	-	-	-
POUND STERLING	U S DOLLAR	11/21/2011	11/28/2012	-	-	-
SOUTH KOREAN WON	U S DOLLAR	10/13/2010	10/21/2011	170,000	167,726	2,274
SOUTH KOREAN WON	U S DOLLAR	2/1/2011	2/9/2012	-	-	-
SWISS FRANC	U S DOLLAR	5/3/2010	5/11/2011	210,000	256,799	(46,799)
SWISS FRANC	U S DOLLAR	9/13/2010	9/21/2011	140,000	156,969	(16,969)
SWISS FRANC	U S DOLLAR	10/13/2010	10/21/2011	460,000	498,142	(38,142)
SWISS FRANC	U S DOLLAR	11/30/2010	12/8/2011	650,000	693,642	(43,642)
SWISS FRANC	U S DOLLAR	10/25/2011	11/1/2012	-	-	-
SWISS FRANC	U S DOLLAR	12/6/2011	12/13/2012	-	-	-
EURO CURRENCY UNIT	U S DOLLAR	3/30/2010	4/8/2011	300,000	322,654	(22,654)
EURO CURRENCY UNIT	U S DOLLAR	6/28/2011	7/6/2012	-	-	-
POUND STERLING	U S DOLLAR	12/28/2009	1/6/2011	160,000	154,998	5,002
POUND STERLING	U S DOLLAR	3/30/2010	4/8/2011	100,000	108,825	(8,825)
POUND STERLING	U S DOLLAR	1/4/2011	1/13/2012	-	-	-
MEXICAN NEW PESO	U S DOLLAR	4/14/2010	4/25/2011	250,000	274,536	(24,536)
SOUTH KOREAN WON	U S DOLLAR	1/26/2010	2/7/2011	-	-	-
LONG TERM				9,150,000	9,888,688	(738,688)
GRAND TOTAL				28,651,432	29,368,773	(717,341)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF GAINS /LOSSES
2011

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
3M CO	150	5/11/2011	8/31/2011	12,405	14,360	(1,955)
3M CO	150	4/26/2011	8/31/2011	12,405	14,280	(1,875)
3M CO	300	3/28/2011	8/31/2011	24,810	27,797	(2,987)
3M CO	250	10/28/2010	8/31/2011	20,675	21,377	(702)
3M CO	300	9/14/2010	8/31/2011	24,810	25,406	(596)
A G C O CORP	128	6/8/2010	1/12/2011	6,991	3,318	3,673
ADOBE SYSTEMS INC	200	5/11/2011	8/11/2011	4,727	7,168	(2,441)
ADOBE SYSTEMS INC	800	9/22/2010	8/11/2011	18,907	20,834	(1,927)
ALCOA INC	3,722	5/20/2010	1/4/2011	60,696	42,033	18,663
ALCOA INC	951	5/6/2010	1/4/2011	15,508	11,732	3,777
ALLERGAN INC/UNITED STATES	550	8/10/2011	8/31/2011	44,963	39,947	5,015
ALLERGAN INC/UNITED STATES	300	9/10/2010	8/31/2011	24,525	19,678	4,847
ALLIANT TECHSYSTEM	56	11/10/2010	1/12/2011	4,351	4,236	114
ALLIANT TECHSYSTEM	161	11/10/2010	9/27/2011	9,045	12,180	(3,135)
ALLIANT TECHSYSTEM	11	11/11/2010	10/6/2011	616	826	(210)
ALLIANT TECHSYSTEM	77	11/10/2010	10/6/2011	4,312	5,825	(1,513)
ALLIANT TECHSYSTEM	452	11/12/2010	11/8/2011	27,753	33,029	(5,275)
ALLIANT TECHSYSTEM	274	11/11/2010	11/8/2011	16,824	20,565	(3,741)
AMEREN CORP	100	10/22/2010	4/12/2011	2,804	2,865	(62)
AMEREN CORP	100	10/20/2010	4/12/2011	2,804	2,935	(131)
AMEREN CORP	100	10/22/2010	4/21/2011	2,854	2,865	(11)
AMEREN CORP	66	10/26/2010	5/12/2011	1,952	1,895	58
AMEREN CORP	1,034	10/22/2010	5/12/2011	30,587	29,629	958
ANADARKO PETROLEUM CORP	100	11/11/2010	1/3/2011	7,731	6,547	1,184
ANADARKO PETROLEUM CORP	100	11/11/2010	1/18/2011	7,900	6,547	1,353
ANADARKO PETROLEUM CORP	100	11/11/2010	2/17/2011	8,105	6,547	1,558
ANADARKO PETROLEUM CORP	200	11/11/2010	5/3/2011	15,879	13,094	2,785
ANADARKO PETROLEUM CORP	100	11/11/2010	7/26/2011	8,412	6,547	1,866
ANADARKO PETROLEUM CORP	100	11/11/2010	10/27/2011	8,241	6,547	1,694
ANADARKO PETROLEUM CORP	100	3/15/2011	10/28/2011	8,351	7,566	785
ANADARKO PETROLEUM CORP	100	11/11/2010	10/28/2011	8,351	6,547	1,804
APACHE CORP	200	2/18/2011	4/11/2011	25,208	24,156	1,052
APACHE CORP	100	3/16/2011	4/12/2011	12,193	11,582	612
APACHE CORP	100	2/25/2011	4/12/2011	12,193	12,194	(1)
AT&T INC	300	10/26/2010	2/3/2011	8,351	8,509	(158)
AT&T INC	1,000	10/25/2010	2/3/2011	27,836	28,438	(602)
AT&T INC	500	10/22/2010	2/3/2011	13,918	14,159	(241)
AT&T INC	500	10/21/2010	2/3/2011	13,918	14,238	(320)
AT&T INC	500	10/20/2010	2/3/2011	13,918	14,338	(420)
AT&T INC	650	10/27/2010	3/21/2011	18,592	18,335	257
AT&T INC	200	10/26/2010	3/21/2011	5,721	5,673	48
BAKER HUGHES INC	150	7/27/2011	8/10/2011	8,887	11,806	(2,919)
BAKER HUGHES INC	200	5/11/2011	8/31/2011	12,270	14,133	(1,863)
BAKER HUGHES INC	400	4/8/2011	8/31/2011	24,539	29,111	(4,572)
BAKER HUGHES INC	500	1/25/2011	8/31/2011	30,674	30,460	214
BAKER HUGHES INC	450	12/3/2010	8/31/2011	27,607	24,627	2,979
BAKER HUGHES INC	550	11/16/2010	8/31/2011	33,742	26,044	7,697
BAKER HUGHES INC	700	11/10/2010	8/31/2011	42,944	34,920	8,024
BEST BUY CO	134	12/14/2010	1/12/2011	4,802	4,758	45
BEST BUY CO	438	12/14/2010	8/16/2011	10,890	15,552	(4,661)
BEST BUY CO	822	12/14/2010	8/31/2011	21,261	29,186	(7,926)
BEST BUY CO	594	12/14/2010	9/27/2011	14,811	21,091	(6,280)
BEST BUY CO	204	3/4/2011	10/10/2011	5,110	6,676	(1,566)
BEST BUY CO	609	12/14/2010	10/10/2011	15,256	21,623	(6,368)
BEST BUY CO	1,408	3/4/2011	10/28/2011	38,011	46,077	(8,066)
BEST BUY CO	1,207	3/4/2011	11/14/2011	33,471	39,500	(6,029)

THE CALDWELL FOUNDATION
75-6004080
SCHEDULE OF GAINS /LOSSES
2011

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
BEST BUY CO	733	8/3/2011	12/1/2011	19,881	19,720	161
BEST BUY CO	220	3/4/2011	12/1/2011	5,967	7,200	(1,233)
BEST BUY CO	281	8/8/2011	12/8/2011	7,714	6,789	925
BEST BUY CO	172	8/3/2011	12/8/2011	4,722	4,627	94
BP PLC	500	10/25/2011	11/23/2011	19,855	21,849	(1,994)
BP PLC	300	11/3/2011	11/25/2011	11,903	13,154	(1,251)
BP PLC	100	11/1/2011	11/25/2011	3,968	4,266	(298)
BP PLC	300	10/27/2011	11/25/2011	11,903	13,544	(1,641)
BRISTOW GROUP INC	265	8/13/2010	1/12/2011	12,628	8,724	3,904
BRISTOW GROUP INC	45	8/13/2010	1/26/2011	2,234	1,481	753
BRISTOW GROUP INC	497	8/13/2010	1/28/2011	25,119	16,361	8,758
BRISTOW GROUP INC	128	8/30/2010	7/6/2011	6,356	4,322	2,034
BRISTOW GROUP INC	310	8/13/2010	7/6/2011	15,392	10,205	5,187
CALPINE CORP	2,000	3/30/2011	5/12/2011	32,528	32,520	8
CALPINE CORP	300	11/8/2010	5/12/2011	4,879	3,781	1,098
CALPINE CORP	400	10/27/2010	5/12/2011	6,506	4,815	1,690
CALPINE CORP	500	10/26/2010	5/12/2011	8,132	6,138	1,994
CALPINE CORP	1,000	10/25/2010	5/12/2011	16,264	12,354	3,910
CALPINE CORP	100	10/20/2010	5/12/2011	1,626	1,269	358
CAMERON INTERNATIONAL CORP	400	10/25/2010	2/25/2011	23,531	17,368	6,163
CANADIAN NATURAL RESOURCES LTD	200	1/7/2011	2/4/2011	8,902	8,217	685
CANADIAN NATURAL RESOURCES LTD	100	1/11/2011	2/16/2011	4,708	4,289	419
CANADIAN NATURAL RESOURCES LTD	100	1/7/2011	2/16/2011	4,708	4,108	600
CANADIAN NATURAL RESOURCES LTD	200	1/13/2011	2/17/2011	9,527	8,385	1,143
CANADIAN NATURAL RESOURCES LTD	200	1/13/2011	2/18/2011	9,505	8,385	1,121
CBIZ INC	787	1/31/2011	8/16/2011	5,306	5,485	(180)
CBIZ INC	688	1/31/2011	10/6/2011	4,511	4,795	(285)
CENOVUS ENERGY INC	300	10/25/2010	4/27/2011	10,946	8,583	2,363
CENOVUS ENERGY INC	500	10/25/2010	4/28/2011	18,857	14,305	4,552
CENOVUS ENERGY INC	200	10/25/2010	10/3/2011	5,921	5,722	199
CENTRAIS ELEC BRAS ADR PREF	-	3/4/2011	3/4/2011	29	-	29
CEPHALON INC	463	3/24/2011	3/30/2011	34,840	26,408	8,433
CEPHALON INC	331	3/23/2011	3/30/2011	24,907	18,543	6,365
CH ROBINSON WORLDWIDE INC	165	4/22/2010	1/7/2011	13,116	10,116	3,000
CH ROBINSON WORLDWIDE INC	535	4/21/2010	1/7/2011	42,528	32,418	10,110
CH ROBINSON WORLDWIDE INC	80	4/23/2010	4/15/2011	6,128	4,908	1,221
CH ROBINSON WORLDWIDE INC	150	4/22/2010	4/15/2011	11,491	9,197	2,294
CH ROBINSON WORLDWIDE INC	70	4/23/2010	4/18/2011	5,259	4,294	965
CH ROBINSON WORLDWIDE INC	550	10/19/2010	8/10/2011	34,954	39,421	(4,467)
CHESAPEAKE ENERGY CORP	1,877	7/20/2010	1/12/2011	52,335	40,351	11,984
CHESAPEAKE ENERGY CORP	120	7/20/2010	1/26/2011	3,377	2,580	797
CHEVRON CORP	100	10/27/2010	1/31/2011	9,434	8,374	1,060
CHEVRON CORP	300	10/26/2010	1/31/2011	28,303	25,459	2,844
CHEVRON CORP	200	10/25/2010	1/31/2011	18,869	17,017	1,852
CHEVRON CORP	200	10/27/2010	2/2/2011	19,265	16,748	2,516
CHEVRON CORP	100	10/29/2010	2/4/2011	9,683	8,256	1,427
CHEVRON CORP	200	10/29/2010	2/14/2011	19,329	16,513	2,816
CHEVRON CORP	50	10/29/2010	2/18/2011	4,867	4,128	738
CHEVRON CORP	150	10/29/2010	2/22/2011	15,036	12,385	2,651
CHEVRON CORP	100	10/29/2010	5/3/2011	10,659	8,256	2,403
CHEVRON CORP	500	10/29/2010	6/23/2011	49,183	41,282	7,901
CHEVRON CORP	100	11/2/2010	6/24/2011	9,867	8,199	1,667
CHEVRON CORP	100	10/29/2010	6/24/2011	9,867	8,256	1,610
CHEVRON CORP	100	11/2/2010	7/1/2011	10,264	8,199	2,065
CHEVRON CORP	100	11/2/2010	7/5/2011	10,500	8,199	2,300
CHEVRON CORP	100	11/3/2010	8/4/2011	9,860	8,295	1,565

THE CALDWELL FOUNDATION
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SCHEDULE OF GAINS /LOSSES
2011

Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
CHEVRON CORP	50	11/5/2010	8/5/2011	4,886	4,226	660
CHEVRON CORP	250	11/5/2010	8/10/2011	23,033	21,130	1,903
CISCO SYSTEMS INC	2,855	2/10/2011	9/16/2011	47,647	53,896	(6,249)
CMS ENERGY CORP	450	10/21/2010	3/4/2011	8,608	8,437	172
CMS ENERGY CORP	450	10/25/2010	3/10/2011	8,655	8,447	209
CMS ENERGY CORP	50	10/21/2010	3/10/2011	962	937	24
CMS ENERGY CORP	300	10/25/2010	3/11/2011	5,755	5,631	124
CMS ENERGY CORP	500	10/27/2010	4/26/2011	9,482	9,074	408
CMS ENERGY CORP	1,000	10/26/2010	4/26/2011	18,964	18,433	531
CMS ENERGY CORP	150	10/25/2010	4/26/2011	2,845	2,816	29
CMS ENERGY CORP	100	10/27/2010	4/28/2011	1,883	1,815	69
CMS ENERGY CORP	400	10/28/2010	4/29/2011	7,851	7,405	446
CMS ENERGY CORP	1,900	10/28/2010	5/11/2011	38,226	35,172	3,054
CMS ENERGY CORP	2,100	11/4/2010	6/13/2011	40,565	38,745	1,820
CMS ENERGY CORP	1,700	10/28/2010	6/13/2011	32,838	31,470	1,369
CMS ENERGY CORP	400	11/4/2010	6/17/2011	7,846	7,380	466
CMS ENERGY CORP	400	11/4/2010	7/15/2011	7,844	7,380	464
CMS ENERGY CORP	500	11/4/2010	7/20/2011	9,881	9,225	656
CMS ENERGY CORP	200	11/4/2010	7/21/2011	3,969	3,690	279
CMS ENERGY CORP	100	11/4/2010	8/3/2011	1,837	1,845	(8)
CMS ENERGY CORP	200	11/8/2010	8/4/2011	3,691	3,650	41
CMS ENERGY CORP	300	11/4/2010	8/4/2011	5,536	5,535	1
CMS ENERGY CORP	400	11/11/2010	8/12/2011	7,340	7,187	153
CMS ENERGY CORP	300	11/8/2010	8/12/2011	5,505	5,474	31
COGNIZANT TECHNOLOGY SOLUTIONS	200	8/22/2011	8/31/2011	12,662	11,535	1,127
COGNIZANT TECHNOLOGY SOLUTIONS	350	8/19/2011	8/31/2011	22,159	19,641	2,518
COGNIZANT TECHNOLOGY SOLUTIONS	400	8/18/2011	8/31/2011	25,324	21,947	3,377
COGNIZANT TECHNOLOGY SOLUTIONS	250	8/17/2011	8/31/2011	15,828	15,612	215
COGNIZANT TECHNOLOGY SOLUTIONS	650	8/10/2011	8/31/2011	41,152	40,735	416
COGNIZANT TECHNOLOGY SOLUTIONS	650	8/3/2011	8/31/2011	41,152	46,755	(5,604)
COMPUTER SCIENCES CP	265	8/5/2011	8/16/2011	7,931	8,675	(743)
COMPUTER SCIENCES CP	302	8/5/2011	9/27/2011	8,534	9,886	(1,352)
COMPUTER SCIENCES CP	177	8/5/2011	10/5/2011	4,902	5,794	(892)
COMPUTER SCIENCES CP	363	8/5/2011	11/8/2011	11,726	11,883	(157)
COMPUTER SCIENCES CP	222	8/10/2011	12/8/2011	5,565	6,250	(685)
COMPUTER SCIENCES CP	136	8/5/2011	12/8/2011	3,409	4,452	(1,042)
CONOCOPHILLIPS	100	3/3/2011	6/7/2011	7,134	7,946	(812)
CONOCOPHILLIPS	100	2/25/2011	6/7/2011	7,134	7,737	(603)
CONOCOPHILLIPS	150	2/18/2011	6/7/2011	10,701	11,495	(794)
CONOCOPHILLIPS	150	2/17/2011	6/7/2011	10,701	11,304	(603)
CONOCOPHILLIPS	100	2/16/2011	6/7/2011	7,134	7,479	(345)
CONOCOPHILLIPS	100	2/14/2011	6/7/2011	7,134	7,317	(183)
CONOCOPHILLIPS	100	4/28/2011	6/10/2011	7,203	7,761	(559)
CONOCOPHILLIPS	100	4/12/2011	6/10/2011	7,203	7,769	(567)
CONOCOPHILLIPS	100	4/5/2011	6/10/2011	7,203	8,064	(861)
CONOCOPHILLIPS	100	3/23/2011	6/10/2011	7,203	7,861	(658)
CONOCOPHILLIPS	100	5/4/2011	6/13/2011	7,061	7,342	(282)
CONOCOPHILLIPS	100	5/3/2011	6/13/2011	7,061	7,528	(468)
DANONE	650	11/2/2010	1/28/2011	7,800	8,448	(648)
DANONE	2,700	10/29/2010	1/28/2011	32,401	34,207	(1,806)
DEAN FOODS CO (NEW)	336	1/12/2011	5/10/2011	4,153	3,251	902
DEAN FOODS CO (NEW)	3,381	1/7/2011	5/10/2011	41,786	30,774	11,011
DEAN FOODS CO (NEW)	880	1/12/2011	8/16/2011	7,791	8,514	(723)
DEAN FOODS CO (NEW)	463	1/19/2011	9/27/2011	4,297	4,426	(129)
DEAN FOODS CO (NEW)	1,281	1/12/2011	9/27/2011	11,890	12,394	(504)
DEAN FOODS CO (NEW)	1,448	1/19/2011	10/18/2011	14,080	13,843	237

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
DEAN FOODS CO (NEW)	761	1/19/2011	10/19/2011	7,306	7,275	31
DEAN FOODS CO (NEW)	857	3/10/2011	11/8/2011	8,980	8,396	584
DEAN FOODS CO (NEW)	318	1/19/2011	11/8/2011	3,332	3,040	292
DEAN FOODS CO (NEW)	1,942	3/10/2011	12/8/2011	20,708	19,026	1,682
DEAN FOODS CO (NEW)	273	3/14/2011	12/16/2011	2,974	2,675	299
DEAN FOODS CO (NEW)	406	3/10/2011	12/16/2011	4,423	3,978	445
DEVON ENERGY CORP	100	11/4/2010	8/11/2011	6,703	6,981	(278)
DEVON ENERGY CORP	300	11/1/2010	8/11/2011	20,108	19,721	387
DEVON ENERGY CORP	200	10/29/2010	8/11/2011	13,405	13,024	381
DEVON ENERGY CORP	500	10/21/2010	8/11/2011	33,513	33,879	(366)
DEVON ENERGY CORP	100	11/4/2010	8/15/2011	6,956	6,981	(25)
DEVON ENERGY CORP	200	11/4/2010	8/17/2011	14,005	13,962	43
DEVON ENERGY CORP	100	5/31/2011	8/19/2011	6,399	8,364	(1,965)
DEVON ENERGY CORP	250	11/10/2010	8/19/2011	15,998	17,808	(1,811)
DEVON ENERGY CORP	1,350	11/4/2010	8/19/2011	86,389	94,243	(7,854)
DISCOVERY COMMUNICATIONS INC	185	5/3/2011	8/31/2011	7,745	8,270	(525)
DISCOVERY COMMUNICATIONS INC	465	5/2/2011	8/31/2011	19,466	20,891	(1,425)
DISCOVERY COMMUNICATIONS INC	250	4/28/2011	8/31/2011	10,466	10,880	(414)
DISCOVERY COMMUNICATIONS INC	400	4/27/2011	8/31/2011	16,745	16,811	(66)
DISCOVERY COMMUNICATIONS INC	585	1/7/2011	8/31/2011	24,490	23,478	1,012
DISCOVERY COMMUNICATIONS INC	1,065	1/6/2011	8/31/2011	44,584	42,881	1,703
DISCOVERY COMMUNICATIONS INC	550	12/22/2010	8/31/2011	23,025	23,567	(543)
DISCOVERY COMMUNICATIONS INC	1,050	12/7/2010	8/31/2011	43,956	45,460	(1,504)
DOLBY LABORATORIES INC	145	12/9/2010	2/28/2011	7,425	9,756	(2,331)
DOLBY LABORATORIES INC	115	1/4/2011	3/2/2011	5,750	7,787	(2,037)
DOLBY LABORATORIES INC	165	12/13/2010	3/2/2011	8,250	11,236	(2,986)
DOLBY LABORATORIES INC	40	12/9/2010	3/2/2011	2,000	2,691	(691)
DOLBY LABORATORIES INC	30	1/28/2011	3/3/2011	1,529	1,833	(305)
DOLBY LABORATORIES INC	215	1/27/2011	3/3/2011	10,954	13,337	(2,383)
DOLBY LABORATORIES INC	130	1/26/2011	3/3/2011	6,624	8,075	(1,451)
DOLBY LABORATORIES INC	185	1/4/2011	3/3/2011	9,426	12,528	(3,102)
DOLBY LABORATORIES INC	125	1/28/2011	3/4/2011	6,393	7,638	(1,245)
DOLLAR GENERAL CORP	400	8/24/2011	8/31/2011	14,590	13,105	1,485
DOLLAR GENERAL CORP	350	6/1/2011	8/31/2011	12,766	11,435	1,331
DOLLAR GENERAL CORP	450	5/11/2011	8/31/2011	16,414	15,017	1,396
DOLLAR GENERAL CORP	255	5/9/2011	8/31/2011	9,301	8,391	910
DOLLAR GENERAL CORP	395	5/6/2011	8/31/2011	14,408	12,991	1,416
DOLLAR GENERAL CORP	450	4/28/2011	8/31/2011	16,414	14,948	1,466
DOLLAR GENERAL CORP	300	4/27/2011	8/31/2011	10,942	9,852	1,090
DOLLAR GENERAL CORP	580	4/7/2011	8/31/2011	21,155	18,985	2,170
DOLLAR GENERAL CORP	870	4/6/2011	8/31/2011	31,733	28,261	3,472
DOMTAR CORPORATION NEW	938	6/29/2010	2/11/2011	84,058	46,508	37,549
DTE ENERGY CO	500	10/25/2010	1/27/2011	23,241	23,578	(338)
DTE ENERGY CO	500	10/21/2010	1/27/2011	23,241	23,749	(509)
DTE ENERGY CO	100	11/3/2010	9/30/2011	4,960	4,625	335
DTE ENERGY CO	400	10/27/2010	9/30/2011	19,839	18,715	1,123
DTE ENERGY CO	300	10/26/2010	9/30/2011	14,879	14,101	777
DTE ENERGY CO	200	10/25/2010	9/30/2011	9,919	9,431	488
EDISON INTERNATIONAL	500	10/21/2010	3/15/2011	17,918	18,055	(136)
EDISON INTERNATIONAL	500	10/25/2010	9/30/2011	19,126	18,123	1,003
EDISON INTERNATIONAL	500	10/22/2010	9/30/2011	19,126	17,964	1,162
ENDURANCE SPCLTY HLDGS LTD	136	6/8/2011	8/16/2011	5,074	5,506	(432)
ENDURANCE SPCLTY HLDGS LTD	119	6/8/2011	10/6/2011	4,156	4,817	(662)
ENERGEN CORP	100	1/31/2011	8/11/2011	4,746	5,594	(848)
ENERGEN CORP	200	1/12/2011	8/11/2011	9,492	10,704	(1,212)
ENERGEN CORP	100	1/11/2011	8/11/2011	4,746	5,329	(583)

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ENERGEN CORP	100	2/1/2011	8/12/2011	5,049	5,675	(626)
ENERGEN CORP	100	1/31/2011	8/12/2011	5,049	5,594	(545)
ENERGEN CORP	200	4/5/2011	8/19/2011	9,060	12,658	(3,598)
ENERGEN CORP	100	4/1/2011	8/19/2011	4,530	6,393	(1,863)
ENERGEN CORP	200	3/30/2011	8/19/2011	9,060	12,589	(3,529)
ENERGEN CORP	100	2/1/2011	8/19/2011	4,530	5,675	(1,145)
ENERGEN CORP	50	7/12/2011	8/22/2011	2,197	2,848	(651)
ENERGEN CORP	200	7/1/2011	8/22/2011	8,788	11,453	(2,664)
ENERGEN CORP	50	5/24/2011	8/22/2011	2,197	2,934	(737)
ENERGEN CORP	100	5/12/2011	8/22/2011	4,394	5,822	(1,428)
ENERGEN CORP	100	4/15/2011	8/22/2011	4,394	6,128	(1,734)
ENTERGY CORP	100	3/22/2011	4/27/2011	6,933	6,675	258
EQT CORP	100	10/20/2010	5/23/2011	4,984	3,821	1,163
EQT CORP	100	10/25/2010	5/26/2011	5,217	3,758	1,459
EQT CORP	200	10/25/2010	7/1/2011	10,473	7,517	2,957
EQT CORP	100	10/25/2010	7/8/2011	5,394	3,758	1,636
EQT CORP	100	10/25/2010	7/29/2011	6,297	3,758	2,539
EQT CORP	300	10/27/2010	8/1/2011	19,132	10,964	8,167
EQT CORP	100	10/27/2010	9/6/2011	5,824	3,655	2,169
EQT CORP	200	11/5/2010	10/27/2011	14,142	7,688	6,454
EXPEDITORS INTERNATIONAL OF WA	250	5/19/2011	8/31/2011	11,624	13,338	(1,714)
EXPEDITORS INTERNATIONAL OF WA	300	5/11/2011	8/31/2011	13,949	16,307	(2,358)
EXPEDITORS INTERNATIONAL OF WA	230	4/18/2011	8/31/2011	10,694	11,720	(1,025)
EXPEDITORS INTERNATIONAL OF WA	270	4/15/2011	8/31/2011	12,554	13,823	(1,269)
EXXON MOBIL CORP	300	10/21/2010	4/29/2011	26,284	20,010	6,274
EXXON MOBIL CORP	100	10/25/2010	5/2/2011	8,753	6,656	2,096
EXXON MOBIL CORP	200	10/21/2010	5/2/2011	17,506	13,340	4,165
EXXON MOBIL CORP	200	10/25/2010	9/6/2011	14,197	13,313	884
EXXON MOBIL CORP	400	10/25/2010	9/13/2011	28,538	26,625	1,913
EXXON MOBIL CORP	300	10/26/2010	9/22/2011	20,931	19,888	1,042
EXXON MOBIL CORP	100	10/25/2010	9/22/2011	6,977	6,656	321
F5 NETWORKS INC	150	5/11/2011	8/31/2011	12,191	15,685	(3,495)
F5 NETWORKS INC	150	4/27/2011	8/31/2011	12,191	15,785	(3,595)
F5 NETWORKS INC	150	3/21/2011	8/31/2011	12,191	14,484	(2,293)
FINMECCANICA SPA ROMA	935	8/3/2011	8/16/2011	3,413	3,318	95
FINMECCANICA SPA ROMA	2,028	8/3/2011	9/27/2011	7,361	7,197	165
FIRSTENERGY CORP	200	8/8/2011	10/13/2011	8,726	7,952	773
FIRSTENERGY CORP	100	8/5/2011	10/13/2011	4,363	4,132	231
FIRSTENERGY CORP	100	8/4/2011	10/13/2011	4,363	4,157	205
FIRSTENERGY CORP	300	8/3/2011	10/13/2011	13,089	12,960	129
FIRSTENERGY CORP	300	7/20/2011	10/13/2011	13,089	13,084	5
FIRSTENERGY CORP	250	7/19/2011	10/13/2011	10,907	10,780	127
FIRSTENERGY CORP	250	7/15/2011	10/13/2011	10,907	10,839	69
FIRSTENERGY CORP	100	7/13/2011	10/13/2011	4,363	4,413	(51)
FIRSTENERGY CORP	200	6/24/2011	10/13/2011	8,726	8,647	78
FIRSTENERGY CORP	200	6/23/2011	10/13/2011	8,726	8,649	76
FIRSTENERGY CORP	100	6/20/2011	10/13/2011	4,363	4,400	(37)
FIRSTENERGY CORP	300	6/17/2011	10/13/2011	13,089	13,194	(106)
FIRSTENERGY CORP	200	6/15/2011	10/13/2011	8,726	8,610	116
FIRSTENERGY CORP	100	6/13/2011	10/13/2011	4,363	4,320	43
FIRSTENERGY CORP	200	6/3/2011	10/13/2011	8,726	8,629	97
FIRSTENERGY CORP	200	6/2/2011	10/13/2011	8,726	8,776	(50)
FIRSTENERGY CORP	200	5/23/2011	10/13/2011	8,726	8,853	(127)
FIRSTENERGY CORP	100	5/20/2011	10/13/2011	4,363	4,481	(118)
FIRSTENERGY CORP	100	5/17/2011	10/13/2011	4,363	4,450	(87)
FOREST LABORATORIES INC	108	3/10/2010	1/12/2011	3,419	3,306	113

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FOREST LABORATORIES INC	170	3/10/2010	1/26/2011	5,566	5,204	362
FRANKLIN RESOURCES INC	385	2/9/2011	8/31/2011	46,414	48,343	(1,929)
FRANKLIN RESOURCES INC	365	2/8/2011	8/31/2011	44,003	45,891	(1,888)
FRESH DEL MONTE PRODUCE INC	492	5/13/2010	1/26/2011	13,506	10,534	2,972
GENERAL ELECTRIC CO	650	5/11/2011	8/31/2011	10,618	13,206	(2,588)
GENERAL ELECTRIC CO	650	4/21/2011	8/31/2011	10,618	13,231	(2,612)
GENERAL ELECTRIC CO	800	3/28/2011	8/31/2011	13,069	15,900	(2,832)
GENERAL ELECTRIC CO	1,200	2/23/2011	8/31/2011	19,603	24,520	(4,917)
GENERAL ELECTRIC CO	1,150	2/9/2011	8/31/2011	18,786	24,582	(5,796)
GENERAL ELECTRIC CO	1,550	2/7/2011	8/31/2011	25,321	32,468	(7,147)
GENERAL ELECTRIC CO	3,350	1/28/2011	8/31/2011	54,726	68,661	(13,935)
GMO MULTI STRATEGY FD OFFSHORE	100,780	9/30/2011	9/30/2011	100,780	-	100,780
GMO MULTI STRATEGY FD OFFSHORE	58,269	8/31/2011	9/30/2011	58,269	-	58,269
GMO MULTI STRATEGY FD OFFSHORE	12,495	8/31/2011	9/30/2011	12,447	-	12,447
GMO MULTI STRATEGY FD OFFSHORE	59,983	7/31/2011	9/30/2011	59,756	-	59,756
GMO MULTI STRATEGY FD OFFSHORE	32,629	5/31/2011	9/30/2011	32,505	-	32,505
GMO MULTI STRATEGY FD OFFSHORE	29,717	4/30/2011	9/30/2011	29,604	-	29,604
GMO MULTI STRATEGY FD OFFSHORE	13,063	3/31/2011	9/30/2011	13,014	-	13,014
GMO MULTI STRATEGY FD OFFSHORE	16,754	1/31/2011	9/30/2011	16,691	-	16,691
GMO MULTI STRATEGY FD OFFSHORE	18,760	11/30/2010	9/30/2011	18,689	18,760	(71)
GMO MULTI STRATEGY FD OFFSHORE	39,348	10/29/2010	9/30/2011	39,199	-	39,199
GOODRICH PETRO 3 1/4 12-01-26	85,000	3/26/2010	2/28/2011	86,063	79,688	6,375
GOODRICH PETROLEUM CORP NEW	874	11/4/2010	4/8/2011	19,690	11,255	8,435
GOODRICH PETROLEUM CORP NEW	1,395	11/4/2010	4/29/2011	31,377	17,965	13,413
GOODRICH PETROLEUM CORP NEW	130	11/4/2010	8/16/2011	2,136	1,674	461
GOODRICH PETROLEUM CORP NEW	44	11/30/2010	10/6/2011	556	578	(22)
GOODRICH PETROLEUM CORP NEW	70	11/4/2010	10/6/2011	885	901	(16)
GUOCO GROUP LTD UNSPON ADR	137	7/16/2010	1/12/2011	3,495	2,687	808
GUOCO GROUP LTD UNSPON ADR	42	7/16/2010	1/26/2011	1,092	824	268
HALLIBURTON CO	100	10/25/2010	1/21/2011	4,018	3,462	557
HALLIBURTON CO	100	10/20/2010	1/21/2011	4,018	3,392	627
HALLIBURTON CO	300	10/27/2010	1/24/2011	11,874	10,282	1,591
HALLIBURTON CO	100	11/5/2010	10/4/2011	2,860	3,183	(323)
HALLIBURTON CO	300	11/2/2010	10/4/2011	8,579	9,433	(854)
HALLIBURTON CO	700	10/27/2010	10/4/2011	20,018	23,992	(3,975)
HALLIBURTON CO	150	11/5/2010	10/5/2011	4,613	4,774	(161)
HALLIBURTON CO	100	2/10/2011	11/16/2011	3,947	4,377	(430)
HALLIBURTON CO	33	3/15/2011	11/18/2011	1,190	1,458	(268)
HALLIBURTON CO	100	2/10/2011	11/18/2011	3,607	4,377	(770)
HALLIBURTON CO	33	3/25/2011	11/30/2011	1,190	1,529	(339)
HALLIBURTON CO	200	3/24/2011	11/30/2011	7,210	9,159	(1,949)
HALLIBURTON CO	100	3/17/2011	11/30/2011	3,605	4,451	(846)
HALLIBURTON CO	67	3/15/2011	11/30/2011	2,415	2,960	(544)
HALLIBURTON CO	100	5/5/2011	12/1/2011	3,615	4,704	(1,089)
HALLIBURTON CO	67	3/25/2011	12/1/2011	2,422	3,105	(683)
HALLIBURTON CO	200	5/5/2011	12/8/2011	6,665	9,408	(2,743)
HALLIBURTON CO	100	9/20/2011	12/15/2011	3,101	3,803	(702)
HALLIBURTON CO	100	6/14/2011	12/15/2011	3,101	4,834	(1,733)
HALLIBURTON CO	200	9/20/2011	12/29/2011	6,723	7,606	(883)
HALLIBURTON CO	400	9/20/2011	12/30/2011	13,605	15,211	(1,607)
HESS CORP	100	3/3/2011	4/1/2011	8,645	8,515	130
IMPALA PLATINUM HLDGS LTD ADR	626	8/10/2011	11/8/2011	14,316	14,220	96
IMPALA PLATINUM HLDGS LTD ADR	33	8/10/2011	12/8/2011	688	750	(61)
INTUITIVE SURGICAL INC	65	5/7/2010	4/7/2011	24,136	21,190	2,946
INTUITIVE SURGICAL INC	80	10/20/2010	8/31/2011	30,703	20,657	10,046
INTUITIVE SURGICAL INC	70	9/15/2010	8/31/2011	26,866	20,276	6,589

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INVESCO LTD SHS	900	1/11/2011	8/31/2011	16,529	22,468	(5,939)
IVANHOE MINES LTD	162	9/22/2011	10/6/2011	2,722	2,636	86
IVANHOE MINES LTD	1,288	9/22/2011	11/8/2011	29,130	20,956	8,174
IVANHOE MINES LTD	111	9/22/2011	12/1/2011	2,358	1,806	552
IVANHOE MINES LTD	231	9/22/2011	12/8/2011	4,823	3,758	1,065
JAPAN STL WKS LTD	72	4/15/2011	10/6/2011	4,219	5,583	(1,364)
JAPAN STL WKS LTD	63	4/15/2011	12/8/2011	4,080	4,885	(805)
JSC RUSHYDRO SPONS ADR	921	2/14/2011	11/8/2011	3,583	4,730	(1,147)
JSC RUSHYDRO SPONS ADR	360	2/14/2011	12/8/2011	1,289	1,849	(560)
JUNIPER NETWORKS INC	250	11/16/2010	7/29/2011	5,886	8,482	(2,597)
JUNIPER NETWORKS INC	750	10/20/2010	7/29/2011	17,657	23,417	(5,761)
JUNIPER NETWORKS INC	1,300	10/13/2010	7/29/2011	30,605	41,183	(10,578)
JUNIPER NETWORKS INC	350	5/11/2011	8/3/2011	8,069	13,469	(5,400)
JUNIPER NETWORKS INC	350	4/27/2011	8/3/2011	8,069	13,643	(5,575)
JUNIPER NETWORKS INC	850	11/24/2010	8/3/2011	19,595	29,260	(9,664)
JUNIPER NETWORKS INC	800	11/16/2010	8/3/2011	18,443	27,144	(8,701)
KAZAKHGOLD GROUP LTD SPON GDR	-	7/26/2011	7/26/2011	3	-	3
LOEWS CORPORATION	77	5/17/2010	1/12/2011	3,137	2,633	504
LOEWS CORPORATION	86	5/17/2010	1/26/2011	3,484	2,941	543
MARATHON OIL CORP	100	10/21/2010	1/3/2011	3,751	3,580	171
MARATHON OIL CORP	100	10/27/2010	1/11/2011	3,946	3,525	421
MARATHON OIL CORP	200	10/27/2010	1/12/2011	8,105	7,050	1,055
MARATHON OIL CORP	300	11/2/2010	1/13/2011	13,345	10,253	3,092
MARATHON OIL CORP	100	11/2/2010	1/14/2011	4,245	3,418	827
MARATHON OIL CORP	600	11/2/2010	1/18/2011	25,658	20,507	5,152
MARATHON OIL CORP	100	11/5/2010	1/19/2011	4,187	3,367	820
MARATHON OIL CORP	500	11/5/2010	1/21/2011	21,025	16,834	4,191
MARATHON OIL CORP	500	11/11/2010	1/25/2011	21,277	17,112	4,165
MARATHON OIL CORP	200	11/5/2010	1/25/2011	8,511	6,734	1,777
MCDONALD'S CORP	650	5/7/2010	4/6/2011	49,785	44,760	5,025
MCDONALD'S CORP	300	5/21/2010	4/7/2011	22,798	20,187	2,611
MCDONALD'S CORP	645	5/17/2010	4/7/2011	49,015	45,164	3,851
METROPCS COMMUNICATIONS INC	3,124	3/25/2011	5/20/2011	55,243	49,423	5,819
METROPCS COMMUNICATIONS INC	241	3/28/2011	5/23/2011	4,238	3,824	414
METROPCS COMMUNICATIONS INC	876	3/25/2011	5/23/2011	15,405	13,859	1,547
METROPCS COMMUNICATIONS INC	200	3/29/2011	5/24/2011	3,535	3,183	352
METROPCS COMMUNICATIONS INC	259	3/28/2011	5/24/2011	4,577	4,110	467
MOLSON COORS BREWING CO	65	8/12/2011	10/6/2011	2,641	2,804	(163)
MOLSON COORS BREWING CO	89	8/12/2011	12/8/2011	3,650	3,839	(189)
MURPHY OIL CORP	100	11/23/2010	1/3/2011	7,582	6,567	1,015
MURPHY OIL CORP	100	11/1/2010	1/3/2011	7,582	6,591	991
MURPHY OIL CORP	200	1/7/2011	1/11/2011	14,659	14,141	517
MURPHY OIL CORP	150	7/28/2011	8/17/2011	7,947	9,605	(1,658)
MURPHY OIL CORP	150	7/28/2011	8/18/2011	7,396	9,605	(2,209)
MURRAY & ROBERTS-SPON ADR	363	7/27/2011	9/27/2011	1,227	1,682	(455)
MURRAY & ROBERTS-SPON ADR	810	7/27/2011	12/8/2011	2,487	3,754	(1,267)
NALCO HOLDING CO	400	10/26/2010	7/20/2011	14,361	11,004	3,357
NALCO HOLDING CO	200	1/25/2011	8/4/2011	6,590	6,001	589
NALCO HOLDING CO	100	10/26/2010	8/4/2011	3,295	2,751	544
NALCO HOLDING CO	500	2/2/2011	8/5/2011	16,482	14,069	2,414
NALCO HOLDING CO	100	1/25/2011	8/5/2011	3,296	3,001	296
NALCO HOLDING CO	100	6/29/2011	8/8/2011	3,125	2,779	346
NALCO HOLDING CO	300	2/2/2011	8/8/2011	9,374	8,441	933
NATIONAL GRID PLC	300	2/1/2011	7/19/2011	14,474	13,841	632
NATIONAL GRID PLC	400	2/3/2011	7/22/2011	19,902	17,896	2,006
NATIONAL GRID PLC	500	2/1/2011	7/22/2011	24,878	23,069	1,809

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NESTLE SA	550	6/28/2010	1/28/2011	30,085	26,688	3,397
NESTLE SA	550	10/21/2010	8/31/2011	33,956	30,281	3,675
NETAPP INC	450	3/10/2011	8/31/2011	17,033	21,728	(4,696)
NEXTERA ENERGY INC	500	10/21/2010	1/27/2011	27,020	28,080	(1,059)
NEXTERA ENERGY INC	400	10/25/2010	3/7/2011	21,870	22,315	(445)
NEXTERA ENERGY INC	100	10/25/2010	4/8/2011	5,565	5,579	(14)
NEXTERA ENERGY INC	100	10/27/2010	4/18/2011	5,441	5,442	(1)
NEXTERA ENERGY INC	200	11/8/2010	4/28/2011	11,287	10,912	375
NEXTERA ENERGY INC	100	10/27/2010	4/28/2011	5,644	5,442	202
NIKE INC	500	4/7/2011	7/5/2011	45,749	39,004	6,745
NIKE INC	150	8/19/2011	8/31/2011	13,172	12,074	1,098
NIKE INC	250	8/17/2011	8/31/2011	21,954	20,836	1,117
NIKE INC	400	8/10/2011	8/31/2011	35,126	32,747	2,379
NIKE INC	350	6/28/2011	8/31/2011	30,735	30,475	260
NIKE INC	300	4/27/2011	8/31/2011	26,345	24,081	2,264
NIKE INC	100	4/7/2011	8/31/2011	8,782	7,801	981
NORTHERN TRUST CORP	24	9/30/2011	10/6/2011	876	845	31
NORTHERN TRUST CORP	152	9/30/2011	12/8/2011	5,948	5,351	597
NOVAGOLD RES INC NEW	1,048	5/5/2011	12/16/2011	9,710	12,243	(2,533)
OCCIDENTAL PETROLEUM CORP	100	10/20/2010	3/7/2011	10,407	8,103	2,304
OCCIDENTAL PETROLEUM CORP	250	10/22/2010	7/26/2011	26,259	19,756	6,503
OCCIDENTAL PETROLEUM CORP	150	10/27/2010	10/27/2011	14,022	11,746	2,276
OJSC POLYUS GOLD ADR	146	7/2/2010	1/12/2011	5,219	3,822	1,398
OJSC POLYUS GOLD ADR	1,444	12/9/2010	7/26/2011	42,941	52,692	(9,751)
OJSC POLYUS GOLD ADR	568	12/7/2010	7/26/2011	16,891	21,808	(4,917)
OJSC POLYUS GOLD ADR	825	11/15/2010	7/26/2011	24,534	27,640	(3,106)
OJSC POLYUS GOLD ADR	343	10/28/2010	7/26/2011	10,200	10,187	13
OJSC POLYUS GOLD ADR	1,201	8/10/2010	7/26/2011	35,715	27,596	8,119
OLD REPUBLIC INTL 8.000 5-15-12	10,000	2/16/2011	10/12/2011	10,200	12,157	(1,957)
OLD REPUBLIC INTL 8.000 5-15-13	7,000	2/16/2011	10/12/2011	7,149	8,510	(1,361)
OLD REPUBLIC INTL 8.000 5-15-14	17,000	2/16/2011	10/20/2011	17,383	20,668	(3,285)
OLD REPUBLIC INTL 8.000 5-15-15	15,000	2/16/2011	10/21/2011	15,356	18,236	(2,880)
OMNICARE INC	797	1/21/2011	5/16/2011	24,791	20,153	4,637
OMNICARE INC 3 1/4 12-15-35	20,843	8/12/2010	5/12/2011	19,957	17,404	2,554
OMNICOM GROUP INC	400	10/28/2010	3/7/2011	19,630	17,477	2,153
OMNICOM GROUP INC	485	12/3/2010	8/10/2011	19,620	22,820	(3,200)
OMNICOM GROUP INC	450	11/3/2010	8/10/2011	18,204	20,220	(2,017)
OMNICOM GROUP INC	400	10/28/2010	8/10/2011	16,181	17,477	(1,296)
OMNICOM GROUP INC	400	1/7/2011	8/11/2011	15,840	18,789	(2,949)
OMNICOM GROUP INC	550	12/6/2010	8/11/2011	21,780	26,233	(4,453)
OMNICOM GROUP INC	65	12/3/2010	8/11/2011	2,574	3,058	(484)
ONEOK INC	100	10/25/2010	4/25/2011	6,673	5,039	1,634
ONEOK INC	100	10/20/2010	4/25/2011	6,673	4,939	1,735
ONEOK INC	300	10/25/2010	5/5/2011	20,208	15,118	5,090
ONEOK INC	100	10/25/2010	10/10/2011	7,062	5,039	2,022
ONEOK INC	50	10/26/2010	10/12/2011	3,550	2,503	1,047
ONEOK INC	150	10/27/2010	10/18/2011	10,818	7,424	3,394
ONEOK INC	450	10/26/2010	10/18/2011	32,455	22,528	9,927
P H GLATFELTER	805	4/19/2011	9/27/2011	11,231	10,487	744
P H GLATFELTER	2,126	4/21/2011	11/8/2011	31,724	27,711	4,013
P H GLATFELTER	511	4/19/2011	11/8/2011	7,625	6,657	968
P H GLATFELTER	135	9/22/2011	12/8/2011	1,897	1,723	174
P H GLATFELTER	10	4/21/2011	12/8/2011	141	130	10
POLYUS GOLD INTL LTD SPON GDR	11,195	7/26/2011	8/11/2011	36,868	38,847	(1,979)
POLYUS GOLD INTL LTD SPON GDR	3,530	7/26/2011	8/16/2011	12,214	12,249	(36)
POLYUS GOLD INTL LTD SPON GDR	4,190	7/26/2011	8/31/2011	14,665	14,539	125

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POLYUS GOLD INTL LTD SPON GDR	550	7/26/2011	9/27/2011	1,688	1,909	(220)
POLYUS GOLD INTL LTD SPON GDR	4,057	7/26/2011	10/6/2011	12,658	14,078	(1,420)
POLYUS GOLD INTL LTD SPON GDR	939	7/26/2011	12/16/2011	2,676	3,258	(582)
PORTLAND GENERAL ELECTRIC CO	200	1/14/2011	7/12/2011	5,131	4,416	715
PORTLAND GENERAL ELECTRIC CO	100	1/19/2011	7/13/2011	2,558	2,211	347
PORTLAND GENERAL ELECTRIC CO	300	1/20/2011	7/20/2011	7,650	6,622	1,029
PORTLAND GENERAL ELECTRIC CO	100	1/19/2011	7/20/2011	2,550	2,211	339
PORTLAND GENERAL ELECTRIC CO	100	1/21/2011	7/21/2011	2,580	2,202	378
PORTLAND GENERAL ELECTRIC CO	100	1/20/2011	7/21/2011	2,580	2,207	373
PORTLAND GENERAL ELECTRIC CO	300	1/21/2011	8/4/2011	7,194	6,605	589
PORTLAND GENERAL ELECTRIC CO	100	3/14/2011	8/8/2011	2,177	2,354	(177)
PORTLAND GENERAL ELECTRIC CO	400	3/14/2011	8/9/2011	8,720	9,414	(694)
PORTLAND GENERAL ELECTRIC CO	200	3/16/2011	8/11/2011	4,477	4,642	(165)
PORTLAND GENERAL ELECTRIC CO	200	3/16/2011	8/12/2011	4,604	4,642	(38)
PPL CORP	1,450	3/4/2011	3/15/2011	35,685	36,850	(1,165)
PPL CORP	1,450	3/4/2011	3/16/2011	35,378	36,850	(1,472)
PPL CORP	100	4/12/2011	12/29/2011	2,953	2,609	344
PRAXAIR INC	350	6/8/2010	3/28/2011	34,940	26,406	8,535
PRAXAIR INC	50	6/9/2010	4/21/2011	5,289	3,836	1,453
PRAXAIR INC	100	6/8/2010	4/21/2011	10,578	7,544	3,034
PRAXAIR INC	250	6/9/2010	4/27/2011	26,260	19,178	7,081
PRAXAIR INC	200	6/14/2010	5/2/2011	21,067	15,571	5,496
PRAXAIR INC	50	6/9/2010	5/2/2011	5,267	3,836	1,431
PRAXAIR INC	200	1/7/2011	5/11/2011	20,753	18,851	1,903
PRAXAIR INC	175	6/15/2010	5/11/2011	18,159	13,762	4,397
PRAXAIR INC	75	6/14/2010	5/11/2011	7,783	5,839	1,943
PRAXAIR INC	150	8/12/2011	8/31/2011	14,785	14,764	22
PRAXAIR INC	115	8/11/2011	8/31/2011	11,336	11,137	198
PRAXAIR INC	335	8/10/2011	8/31/2011	33,021	31,537	1,484
PRAXAIR INC	450	7/29/2011	8/31/2011	44,356	46,612	(2,256)
PRICELINE COM INC	50	2/1/2010	1/26/2011	21,188	10,059	11,129
PRICELINE COM INC	51	8/19/2011	8/31/2011	27,455	24,003	3,451
PROGRESS ENERGY INC	100	10/20/2010	1/14/2011	4,455	4,512	(57)
PROGRESS ENERGY INC	100	10/25/2010	1/18/2011	4,464	4,492	(28)
PROGRESS ENERGY INC	300	10/25/2010	1/20/2011	13,491	13,477	14
PROGRESS ENERGY INC	100	10/27/2010	5/5/2011	4,702	4,446	256
PROGRESS ENERGY INC	400	10/26/2010	5/5/2011	18,809	17,947	862
PROGRESS ENERGY INC	400	10/25/2010	5/5/2011	18,809	17,969	840
PROVIDENT FINANCIAL ORD	5,925	11/4/2010	9/1/2011	106,701	73,673	33,028
PROVIDENT FINANCIAL ORD	2,095	10/13/2010	9/1/2011	37,728	27,219	10,509
RANGE RESOURCES CORP	453	9/28/2010	1/12/2011	21,656	16,426	5,230
RANGE RESOURCES CORP	1,193	10/14/2010	7/26/2011	77,084	44,334	32,750
RANGE RESOURCES CORP	651	9/28/2010	7/26/2011	42,063	23,605	18,458
ROVI CORP	5	12/23/2010	6/22/2011	281	283	(2)
ROVI CORP	245	12/22/2010	6/22/2011	13,750	14,251	(501)
ROVI CORP	400	12/9/2010	6/22/2011	22,450	23,264	(814)
ROVI CORP	200	5/12/2011	8/31/2011	9,871	11,900	(2,029)
ROVI CORP	250	2/28/2011	8/31/2011	12,339	13,902	(1,564)
ROVI CORP	150	1/6/2011	8/31/2011	7,403	9,663	(2,260)
ROVI CORP	170	1/5/2011	8/31/2011	8,390	11,042	(2,652)
ROVI CORP	280	1/4/2011	8/31/2011	13,819	17,787	(3,968)
ROVI CORP	150	12/23/2010	8/31/2011	7,403	8,491	(1,088)
ROYAL CARIBBEAN CRUISES LTD	1,408	10/11/2011	10/25/2011	39,628	33,120	6,508
ROYAL CARIBBEAN CRUISES LTD	1,235	11/25/2011	12/6/2011	34,543	28,664	5,879
S&P 500 (R) Equal Weighted Indx SL CTF (C	45	10/25/2010	4/21/2011	1,863	1,601	262
S&P 500 (R) Equal Weighted Indx SL CTF (C	62	10/25/2010	6/9/2011	2,500	2,229	271

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S&P 500 (R) Equal Weighted Indx SL CTF (C	72 858	10/25/10	9/23/11	2,500	2,603	(103)
SABMILLER PLC	400	8/10/2011	8/31/2011	14,558	13,358	1,200
SAIC, INC.	62	4/14/2010	1/26/2011	1,034	1,096	(62)
SCANA CORP	300	10/25/2010	3/15/2011	11,547	12,424	(877)
SCANA CORP	500	10/22/2010	3/15/2011	19,245	20,699	(1,454)
SCANA CORP	100	10/20/2010	3/15/2011	3,849	4,187	(338)
SCANA CORP	600	10/25/2010	3/21/2011	23,372	24,848	(1,476)
SCANA CORP	400	10/26/2010	4/4/2011	15,815	16,475	(660)
SCANA CORP	800	10/27/2010	4/15/2011	31,311	32,382	(1,071)
SCHLUMBERGER LTD	100	10/20/2010	8/3/2011	8,649	6,446	2,204
SCHLUMBERGER LTD	350	11/3/2010	8/31/2011	27,521	25,087	2,434
SHAW GROUP INCORPORATED	12	1/7/2011	10/5/2011	256	399	(142)
SHAW GROUP INCORPORATED	50	1/7/2011	10/6/2011	1,101	1,661	(560)
SHAW GROUP INCORPORATED	32	3/31/2011	11/8/2011	778	1,135	(357)
SHAW GROUP INCORPORATED	651	1/7/2011	11/8/2011	15,821	21,625	(5,803)
SHAW GROUP INCORPORATED	28	7/20/2011	12/16/2011	638	718	(79)
SHAW GROUP INCORPORATED	314	3/31/2011	12/16/2011	7,156	11,136	(3,980)
SHAW GROUP INCORPORATED	1,564	7/20/2011	12/23/2011	40,692	40,084	608
SHIRE PLC	250	1/14/2011	8/31/2011	24,237	19,495	4,743
SHIRE PLC	300	1/7/2011	8/31/2011	29,085	22,172	6,913
SHIRE PLC	200	11/16/2010	8/31/2011	19,390	14,507	4,883
SHIRE PLC	300	11/11/2010	8/31/2011	29,085	21,845	7,240
SHIRE PLC	400	11/3/2010	8/31/2011	38,780	28,824	9,956
SHIRE PLC	600	11/1/2010	8/31/2011	58,170	42,837	15,333
SKYWEST INC	129	5/19/2010	1/12/2011	2,137	1,870	267
SMITHFIELD FOODS INC (VA)	1,137	7/2/2010	3/22/2011	25,610	16,106	9,504
SOUTHERN CO	200	11/8/2010	1/27/2011	7,616	7,670	(53)
SOUTHERN CO	1,100	10/27/2010	1/27/2011	41,889	41,989	(99)
SOUTHERN CO	600	10/26/2010	1/27/2011	22,849	23,057	(208)
SOUTHERN CO	1,100	10/25/2010	1/27/2011	41,889	42,116	(227)
SOUTHWEST AIRLINES	1,228	9/29/2011	12/8/2011	10,156	10,545	(389)
SOUTHWEST AIRLINES	294	9/29/2011	12/16/2011	2,508	2,525	(16)
SOUTHWESTERN ENERGY CO	100	10/20/2010	3/15/2011	3,855	3,475	381
SOUTHWESTERN ENERGY CO	100	10/25/2010	3/16/2011	3,979	3,395	583
SOUTHWESTERN ENERGY CO	100	10/25/2010	3/29/2011	4,234	3,395	838
SOUTHWESTERN ENERGY CO	100	10/25/2010	3/30/2011	4,317	3,395	921
SOUTHWESTERN ENERGY CO	100	10/29/2010	4/1/2011	4,263	3,394	869
SOUTHWESTERN ENERGY CO	100	10/29/2010	4/18/2011	3,950	3,394	556
SOUTHWESTERN ENERGY CO	100	10/29/2010	4/27/2011	4,098	3,394	704
SOUTHWESTERN ENERGY CO	100	10/29/2010	5/2/2011	4,370	3,394	975
SOUTHWESTERN ENERGY CO	400	10/29/2010	5/5/2011	16,823	13,577	3,246
SOUTHWESTERN ENERGY CO	200	10/29/2010	5/10/2011	8,494	6,789	1,705
SOUTHWESTERN ENERGY CO	600	1/27/2011	5/12/2011	24,809	23,855	954
SOUTHWESTERN ENERGY CO	100	12/7/2010	5/12/2011	4,135	3,743	392
SOUTHWESTERN ENERGY CO	300	12/2/2010	5/12/2011	12,404	11,347	1,057
SOUTHWESTERN ENERGY CO	100	10/29/2010	5/12/2011	4,135	3,394	741
SOUTHWESTERN ENERGY CO	600	1/27/2011	5/13/2011	24,675	23,855	820
ST.BARBARA LTD SPONS ADR	1,090	3/9/2011	8/31/2011	11,772	11,844	(72)
ST.BARBARA LTD SPONS ADR	175	3/9/2011	10/6/2011	1,725	1,902	(176)
ST.BARBARA LTD SPONS ADR	854	3/10/2011	11/8/2011	10,188	9,048	1,140
ST.BARBARA LTD SPONS ADR	220	3/9/2011	11/8/2011	2,625	2,390	234
STARBUCKS CORP	750	8/19/2011	8/31/2011	29,287	26,883	2,404
STARBUCKS CORP	350	8/18/2011	8/31/2011	13,667	12,154	1,513
STARBUCKS CORP	1,070	8/11/2011	8/31/2011	41,782	38,741	3,041
STARBUCKS CORP	1,280	8/10/2011	8/31/2011	49,983	45,061	4,922
STATE STREET CORP	695	8/3/2010	2/9/2011	32,555	27,788	4,766

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SUMITOMO MITSUI TR HLDGS INC	1	4/1/2011	4/1/2011	2	2	(0)
SUMITOMO MITSUI TR HLDGS INC	7,428	4/1/2011	8/4/2011	26,705	25,998	707
SUMITOMO MITSUI TR HLDGS INC	348	4/1/2011	8/16/2011	1,176	1,218	(42)
SUMITOMO MITSUI TR HLDGS INC	2,363	4/1/2011	9/27/2011	7,609	8,271	(662)
SUMITOMO MITSUI TR HLDGS INC	1,467	4/1/2011	12/8/2011	4,474	5,135	(660)
SUMITOMO MITSUI TR HLDGS INC	262	4/1/2011	12/16/2011	773	917	(144)
TALISMAN ENERGY INC	436	10/20/2011	12/8/2011	5,372	5,888	(516)
TARGET CORP	600	4/8/2010	1/6/2011	33,088	33,566	(477)
TARGET CORP	750	1/7/2010	1/6/2011	41,361	37,735	3,625
TECO ENERGY INC	800	10/28/2010	9/30/2011	13,767	13,881	(114)
TELEFONICA SA	1,525	1/19/2011	2/15/2011	37,397	36,828	569
TELEFONICA SA	1,475	1/19/2011	3/16/2011	34,736	35,621	(884)
TENCENT HOLDINGS LTD	850	3/25/2011	8/31/2011	20,148	21,469	(1,321)
TENCENT HOLDINGS LTD	550	3/22/2011	8/31/2011	13,037	13,551	(514)
TENCENT HOLDINGS LTD	650	1/18/2011	8/31/2011	15,407	17,044	(1,636)
TENCENT HOLDINGS LTD	800	11/16/2010	8/31/2011	18,963	18,356	607
TENCENT HOLDINGS LTD	750	11/11/2010	8/31/2011	17,778	17,272	506
TENCENT HOLDINGS LTD	1,500	10/29/2010	8/31/2011	35,555	34,826	729
TIDEWATER INC	100	2/17/2011	5/19/2011	5,288	5,975	(687)
TIDEWATER INC	100	2/18/2011	5/20/2011	5,296	6,186	(890)
TIDEWATER INC	200	3/28/2011	6/13/2011	9,874	12,349	(2,475)
TIDEWATER INC	50	3/17/2011	6/13/2011	2,469	2,956	(488)
TIDEWATER INC	20	3/15/2011	6/13/2011	987	1,215	(228)
TIDEWATER INC	300	3/3/2011	6/13/2011	14,811	18,831	(4,020)
TIDEWATER INC	30	2/23/2011	6/13/2011	1,481	1,882	(401)
TIDEWATER INC	100	5/5/2011	6/15/2011	5,024	5,652	(627)
TIDEWATER INC	200	4/27/2011	6/15/2011	10,048	11,521	(1,473)
TNT EXPRESS NV	1,805	9/13/2011	12/8/2011	13,393	15,113	(1,720)
TRANSOCEAN LTD ZUG NAMEN-AKT	300	11/3/2011	11/9/2011	15,169	14,614	555
TURKCELL ILETISM HIZM AS NEW	1,054	3/3/2011	9/27/2011	12,972	14,349	(1,377)
TURKCELL ILETISM HIZM AS NEW	294	3/4/2011	11/8/2011	3,885	4,065	(180)
TURKCELL ILETISM HIZM AS NEW	132	3/3/2011	11/8/2011	1,744	1,797	(53)
TURKCELL ILETISM HIZM AS NEW	178	3/4/2011	12/8/2011	2,109	2,461	(352)
UPM KYMMENE CORP ADR	211	9/9/2011	9/27/2011	2,494	2,485	9
UPM KYMMENE CORP ADR	181	9/9/2011	10/6/2011	2,101	2,132	(31)
UPM KYMMENE CORP ADR	197	9/9/2011	12/8/2011	2,090	2,321	(230)
VARIAN MEDICAL SYSTEMS INC	140	5/20/2011	8/11/2011	7,486	9,665	(2,179)
VARIAN MEDICAL SYSTEMS INC	160	5/19/2011	8/11/2011	8,555	11,045	(2,490)
VERIZON COMMUNICATIONS INC	350	10/22/2010	1/5/2011	13,081	11,227	1,854
VERIZON COMMUNICATIONS INC	150	10/20/2010	1/5/2011	5,606	4,906	701
VERIZON COMMUNICATIONS INC	150	10/27/2010	1/19/2011	5,165	4,864	301
VERIZON COMMUNICATIONS INC	100	10/25/2010	1/19/2011	3,444	3,269	175
VERIZON COMMUNICATIONS INC	150	10/22/2010	1/19/2011	5,165	4,811	354
VERIZON COMMUNICATIONS INC	300	10/27/2010	1/24/2011	10,496	9,728	769
VERIZON COMMUNICATIONS INC	250	10/28/2010	1/25/2011	8,972	8,148	823
VERIZON COMMUNICATIONS INC	250	10/27/2010	1/25/2011	8,972	8,106	865
VERIZON COMMUNICATIONS INC	50	11/5/2010	1/26/2011	1,816	1,659	157
VERIZON COMMUNICATIONS INC	350	10/28/2010	1/26/2011	12,711	11,408	1,303
VERIZON COMMUNICATIONS INC	100	11/5/2010	2/18/2011	3,650	3,317	333
VERIZON COMMUNICATIONS INC	400	11/5/2010	3/30/2011	15,493	13,269	2,224
VERIZON COMMUNICATIONS INC	250	11/5/2010	4/29/2011	9,410	8,293	1,117
VERIZON COMMUNICATIONS INC	50	11/5/2010	5/2/2011	1,871	1,659	213
VERIZON COMMUNICATIONS INC	50	11/5/2010	5/3/2011	1,865	1,659	206
VISA INC	400	5/6/2011	8/31/2011	35,216	31,702	3,514
VISA INC	150	4/27/2011	8/31/2011	13,206	11,761	1,445
VISA INC	150	4/21/2011	8/31/2011	13,206	11,636	1,570

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VISA INC	250	4/6/2011	8/31/2011	22,010	18,954	3,056
VISA INC	350	3/30/2011	8/31/2011	30,814	26,217	4,597
VISA INC	300	3/24/2011	8/31/2011	26,412	21,711	4,701
VISA INC	300	3/7/2011	8/31/2011	26,412	22,420	3,992
VISA INC	425	3/3/2011	8/31/2011	37,417	32,026	5,391
VISA INC	225	3/2/2011	8/31/2011	19,809	16,635	3,174
VIVO PARTICIPACOES SA	200	12/9/2010	3/28/2011	7,632	6,084	1,547
WEATHERFORD INTERNATIONAL LTD	649	4/14/2010	1/11/2011	14,765	11,060	3,705
WEATHERFORD INTERNATIONAL LTD	173	4/14/2010	1/12/2011	4,011	2,948	1,062
WEATHERFORD INTERNATIONAL LTD	2,200	4/14/2010	1/27/2011	50,588	37,491	13,097
WEATHERFORD INTERNATIONAL LTD	1,666	4/14/2010	1/28/2011	38,283	28,391	9,892
WEATHERFORD INTERNATIONAL LTD	400	10/21/2010	3/3/2011	8,473	7,024	1,450
WEATHERFORD INTERNATIONAL LTD	350	10/22/2010	3/15/2011	7,123	6,142	981
WEATHERFORD INTERNATIONAL LTD	100	10/21/2010	3/15/2011	2,035	1,756	279
WEATHERFORD INTERNATIONAL LTD	100	10/22/2010	3/17/2011	2,019	1,755	264
WEATHERFORD INTERNATIONAL LTD	150	10/26/2010	3/18/2011	3,074	2,563	511
WEATHERFORD INTERNATIONAL LTD	200	10/25/2010	3/18/2011	4,099	3,448	651
WEATHERFORD INTERNATIONAL LTD	50	10/22/2010	3/18/2011	1,025	877	147
WEATHERFORD INTERNATIONAL LTD	250	10/26/2010	3/21/2011	5,172	4,272	899
WEATHERFORD INTERNATIONAL LTD	200	10/29/2010	3/24/2011	4,162	3,383	779
WEATHERFORD INTERNATIONAL LTD	300	10/27/2010	3/24/2011	6,244	5,119	1,124
WEATHERFORD INTERNATIONAL LTD	200	10/29/2010	3/25/2011	4,120	3,383	737
WEATHERFORD INTERNATIONAL LTD	300	11/1/2010	3/28/2011	6,408	5,118	1,289
WEATHERFORD INTERNATIONAL LTD	100	10/29/2010	3/28/2011	2,136	1,692	444
WEATHERFORD INTERNATIONAL LTD	400	11/1/2010	3/30/2011	8,783	6,825	1,959
WEATHERFORD INTERNATIONAL LTD	100	11/2/2010	4/1/2011	2,317	1,740	576
WEATHERFORD INTERNATIONAL LTD	400	11/1/2010	4/1/2011	9,268	6,825	2,443
WEATHERFORD INTERNATIONAL LTD	200	11/2/2010	4/4/2011	4,640	3,481	1,159
WELLS FARGO & CO	2,240	9/29/2010	9/16/2011	56,756	56,049	708
WEST JAPAN RAILWAY CO ADR	314	2/23/2011	8/16/2011	12,811	12,757	53
WEST JAPAN RAILWAY CO ADR	558	2/23/2011	9/9/2011	23,912	22,671	1,241
WEST JAPAN RAILWAY CO ADR	225	2/23/2011	9/27/2011	9,641	9,142	500
WESTERN DIGITAL CORPORATION	203	10/7/2010	1/12/2011	6,705	5,764	942
WESTERN DIGITAL CORPORATION	523	10/7/2010	8/16/2011	16,288	14,849	1,439
WESTERN DIGITAL CORPORATION	245	10/7/2010	9/27/2011	6,977	6,956	21
WPP PLC	250	4/21/2011	8/31/2011	13,162	15,630	(2,468)
WPP PLC	700	2/22/2011	8/31/2011	36,853	47,789	(10,937)
WPP PLC	235	2/17/2011	8/31/2011	12,372	15,924	(3,552)
WPP PLC	465	2/16/2011	8/31/2011	24,481	31,406	(6,925)
YUM! BRANDS INC	500	8/19/2011	8/31/2011	27,251	24,974	2,277
YUM! BRANDS INC	350	8/17/2011	8/31/2011	19,075	17,959	1,117
YUM! BRANDS INC	700	8/10/2011	8/31/2011	38,151	34,462	3,689
YUM! BRANDS INC	400	8/3/2011	8/31/2011	21,801	20,206	1,594
YUM! BRANDS INC	355	8/1/2011	8/31/2011	19,348	18,662	685
YUM! BRANDS INC	545	7/29/2011	8/31/2011	29,703	28,835	868
ZIMMER HLDGS INC	248	8/26/2010	4/28/2011	16,338	11,817	4,521
ZURICH FINANCIAL SERVICES AG	1,505	11/8/2010	9/1/2011	340,539	364,156	(23,616)
ZURICH FINANCIAL SERVICES AG	240	11/8/2010	9/16/2011	48,346	58,071	(9,725)
ZURICH FINANCIAL SERVICES AG	215	11/8/2010	9/21/2011	42,410	52,022	(9,612)
SHORT TERM				10,176,447	9,385,946	790,502
GMO MULTI STRATEGY FD OFFSHORE	74,986	8/31/2010	9/30/2011	74,702	-	74,702
GMO MULTI STRATEGY FD OFFSHORE	23,935	6/30/2010	9/30/2011	23,844	-	23,844
GMO MULTI STRATEGY FD OFFSHORE	58,129	1/31/2010	9/30/2011	57,909	-	57,909
GMO MULTI STRATEGY FD OFFSHORE	19,927	11/30/2009	9/30/2011	19,852	-	19,852

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GMO MULTI STRATEGY FD OFFSHORE	177,847	10/31/2009	9/30/2011	177,173	-	177,173
GMO MULTI STRATEGY FD OFFSHORE	300,000	9/30/2009	9/30/2011	298,864	300,000	(1,136)
GMO MULTI STRATEGY FD OFFSHORE	60,989	6/30/2009	9/30/2011	60,758	-	60,758
GMO MULTI STRATEGY FD OFFSHORE	6,528	5/29/2009	9/30/2011	6,503	-	6,503
GMO MULTI STRATEGY FD OFFSHORE	485,000	5/29/2009	9/30/2011	483,163	485,000	(1,837)
GMO MULTI STRATEGY FD OFFSHORE	112,270	2/27/2009	9/30/2011	111,845	-	111,845
GMO MULTI STRATEGY FD OFFSHORE	10,222	1/31/2009	9/30/2011	10,183	-	10,183
GMO MULTI STRATEGY FD OFFSHORE	16,578	11/30/2008	9/30/2011	16,515	-	16,515
GMO MULTI STRATEGY FD OFFSHORE	149,933	10/31/2008	9/30/2011	149,365	-	149,365
GMO MULTI STRATEGY FD OFFSHORE	174,329	9/30/2008	9/30/2011	173,669	-	173,669
GMO MULTI STRATEGY FD OFFSHORE	149,544	6/30/2008	9/30/2011	148,978	-	148,978
GMO MULTI STRATEGY FD OFFSHORE	9,501	5/31/2008	9/30/2011	9,465	-	9,465
GMO MULTI STRATEGY FD OFFSHORE	55,998	3/31/2008	9/30/2011	55,786	-	55,786
GMO MULTI STRATEGY FD OFFSHORE	148,678	2/29/2008	9/30/2011	148,115	-	148,115
GMO MULTI STRATEGY FD OFFSHORE	66,794	1/31/2008	9/30/2011	66,541	-	66,541
GMO MULTI STRATEGY FD OFFSHORE	57,260	12/31/2007	9/30/2011	57,043	-	57,043
GMO MULTI STRATEGY FD OFFSHORE	84,677	11/30/2007	9/30/2011	84,356	-	84,356
GMO MULTI STRATEGY FD OFFSHORE	65,502	10/31/2007	9/30/2011	65,254	-	65,254
GMO MULTI STRATEGY FD OFFSHORE	72,438	6/30/2007	9/30/2011	72,164	-	72,164
GMO MULTI STRATEGY FD OFFSHORE	54,400	5/31/2007	9/30/2011	54,194	-	54,194
GMO MULTI STRATEGY FD OFFSHORE	26,588	3/31/2007	9/30/2011	26,487	-	26,487
GMO MULTI STRATEGY FD OFFSHORE	26,560	1/31/2007	9/30/2011	26,459	-	26,459
GMO MULTI STRATEGY FD OFFSHORE	163,419	12/31/2006	9/30/2011	162,800	-	162,800
GMO MULTI STRATEGY FD OFFSHORE	33,777	10/31/2006	9/30/2011	33,649	-	33,649
GMO MULTI STRATEGY FD OFFSHORE	16,402	9/30/2006	9/30/2011	16,340	-	16,340
GMO MULTI STRATEGY FD OFFSHORE	55,862	8/31/2006	9/30/2011	55,650	-	55,650
GMO BENCHMARK-FREE ALLOCATION	17,895	7/6/2006	9/30/2011	397,992	468,493	(70,501)
GMO BENCHMARK-FREE ALLOCATION	1,767	7/6/2006	9/30/2011	39,292	46,253	(6,960)
GMO MULTI STRATEGY FD OFFSHORE	9,506	6/30/2006	9/30/2011	9,470	-	9,470
GMO MULTI STRATEGY FD OFFSHORE	10,325	3/31/2006	9/30/2011	10,286	-	10,286
GMO MULTI STRATEGY FD OFFSHORE	44,800	2/28/2006	9/30/2011	44,630	-	44,630
GMO MULTI STRATEGY FD OFFSHORE	329,175	1/31/2006	9/30/2011	327,928	329,175	(1,247)
GMO MULTI STRATEGY FD OFFSHORE	3,949	12/31/2005	9/30/2011	3,934	-	3,934
GMO BENCHMARK-FREE ALLOCATION	1,959	12/29/2005	9/30/2011	43,567	52,480	(8,913)
GMO BENCHMARK-FREE ALLOCATION	13,623	12/29/2005	9/30/2011	302,989	364,972	(61,983)
GMO BENCHMARK-FREE ALLOCATION	33,524	12/29/2005	9/30/2011	745,591	898,117	(152,526)
GMO MULTI STRATEGY FD OFFSHORE	76,063	9/30/2005	9/30/2011	75,775	-	75,775
GMO MULTI STRATEGY FD OFFSHORE	149,625	7/29/2005	9/30/2011	149,058	149,625	(567)
GMO BENCHMARK-FREE ALLOCATION	16,131	7/8/2005	9/30/2011	358,747	421,812	(63,065)
GMO MULTI STRATEGY FD OFFSHORE	47,152	2/28/2005	9/30/2011	46,973	-	46,973
GMO MULTI STRATEGY FD OFFSHORE	50,310	1/31/2005	9/30/2011	50,119	-	50,119
GMO MULTI STRATEGY FD OFFSHORE	149,112	12/31/2004	9/30/2011	148,548	179,424	(30,876)
GMO BENCHMARK-FREE ALLOCATION	3,163	12/30/2004	9/30/2011	70,348	80,659	(10,311)
GMO BENCHMARK-FREE ALLOCATION	21,574	12/30/2004	9/30/2011	479,822	550,148	(70,326)
GMO BENCHMARK-FREE ALLOCATION	11,889	12/30/2004	9/30/2011	264,411	303,166	(38,754)
GMO BENCHMARK-FREE ALLOCATION	1,063	7/9/2004	9/30/2011	23,638	25,381	(1,743)
GMO BENCHMARK-FREE ALLOCATION	921	7/9/2004	9/30/2011	20,487	21,997	(1,510)
GMO BENCHMARK-FREE ALLOCATION	186,738	3/22/2004	9/30/2011	4,153,115	4,524,668	(371,553)
ZURICH FINANCIAL SERVICES AG	300	11/8/2010	11/18/2011	65,363	72,589	(7,226)
BAXTER INTERNATIONAL INC	285	5/20/2010	7/6/2011	17,235	11,911	5,324
BAXTER INTERNATIONAL INC	2,540	5/20/2010	9/1/2011	142,208	106,158	36,050
BROWN & BROWN INC	640	1/7/2010	4/27/2011	16,095	11,342	4,754
ROCHE HLDG AG GENUSSSCHEINE NPV	245	4/28/2010	9/1/2011	43,840	38,135	5,705
PHILIP MORRIS INTERNATIONAL IN	265	4/28/2010	9/16/2011	18,219	12,967	5,252
BAXTER INTERNATIONAL INC	2,600	5/20/2010	11/3/2011	142,194	108,666	33,528
ROCHE HLDG AG GENUSSSCHEINE NPV	605	3/12/2010	9/1/2011	108,257	99,213	9,044

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ROCHE HLDG AG GENUSSSCHEINE NPV	235	3/12/2010	9/1/2011	42,050	38,537	3,513
UNILEVER PLC ORD GBP0 031111	87	2/10/2010	9/1/2011	2,931	2,700	231
PHILIP MORRIS INTERNATIONAL IN	465	4/28/2010	12/21/2011	36,199	22,753	13,446
BROWN & BROWN INC	3,550	1/7/2010	9/16/2011	67,769	62,911	4,859
BAE SYSTEMS ORD GBP0 025	8,230	12/21/2009	9/1/2011	36,327	46,157	(9,830)
BAE SYSTEMS ORD GBP0 025	13,050	12/15/2009	9/1/2011	57,603	73,698	(16,095)
ROCHE HLDG AG GENUSSSCHEINE NPV	35	11/17/2009	9/1/2011	6,263	5,551	712
BROWN & BROWN INC	550	1/7/2010	10/24/2011	12,177	9,747	2,430
BROWN & BROWN INC	4,250	1/7/2010	10/27/2011	96,491	75,316	21,176
ROCHE HLDG AG GENUSSSCHEINE NPV	485	11/4/2009	9/1/2011	86,785	76,430	10,355
ARCA CONTINENTAL SAV DE CV	2,970	10/23/2009	8/31/2011	14,906	5,547	9,360
KRONES AG ORD NPV	685	4/30/2009	3/9/2011	46,875	23,890	22,985
PEARSON ORD GBP0 25	9,640	7/2/2009	6/20/2011	179,513	93,172	86,340
KRONES AG ORD NPV	855	3/19/2009	3/9/2011	58,508	26,687	31,821
SK TELECOM CO LTD	1,440	9/11/2009	9/1/2011	22,871	22,808	63
LINDE AG NPV	905	3/24/2009	3/17/2011	133,932	64,743	69,189
SK TELECOM CO LTD	370	9/1/2009	9/1/2011	5,877	5,765	111
PEARSON ORD GBP0 25	1,000	5/27/2009	6/20/2011	18,622	10,765	7,857
PEARSON ORD GBP0 25	4,880	5/21/2009	6/20/2011	90,874	51,739	39,135
PEARSON ORD GBP0 25	5,600	7/2/2009	9/1/2011	100,848	54,125	46,723
KRONES AG ORD NPV	1,515	12/1/2008	3/9/2011	103,672	54,698	48,974
LINDE AG NPV	270	3/24/2009	7/1/2011	47,778	19,316	28,463
EMERSON ELECTRIC CO	1,970	10/22/2008	1/31/2011	115,089	63,992	51,097
PHILIP MORRIS INTERNATIONAL IN	90	1/30/2009	5/18/2011	6,203	3,372	2,832
MUENCHENER RUECKVERSICHERUNGS	980	5/20/2009	9/7/2011	116,518	130,242	(13,724)
JOHNSON & JOHNSON	490	4/21/2009	9/1/2011	31,921	25,733	6,188
LINDE AG NPV	375	10/27/2008	3/17/2011	55,497	24,949	30,548
LINDE AG NPV	515	3/24/2009	8/15/2011	82,482	36,843	45,639
TOTAL SA EUR2 5	1,690	4/9/2009	9/1/2011	83,560	82,442	1,118
DEVON ENERGY CORP	1,540	3/30/2009	9/1/2011	104,386	67,756	36,630
JOHNSON & JOHNSON	1,010	3/26/2009	9/1/2011	65,796	53,046	12,750
SHIMANO INC NPV	1,800	4/30/2009	10/11/2011	93,172	52,752	40,420
LINDE AG NPV	360	3/27/2009	9/13/2011	48,291	23,770	24,521
LINDE AG NPV	825	3/24/2009	9/13/2011	110,668	59,020	51,648
TOTAL SA EUR2 5	730	3/9/2009	9/1/2011	36,094	32,982	3,112
PHILIP MORRIS INTERNATIONAL IN	1,340	3/3/2009	9/1/2011	92,945	43,709	49,235
PHILIP MORRIS INTERNATIONAL IN	1,315	2/25/2009	9/1/2011	91,211	45,847	45,364
PHILIP MORRIS INTERNATIONAL IN	2,735	2/20/2009	9/1/2011	189,704	97,444	92,261
PHILIP MORRIS INTERNATIONAL IN	105	3/3/2009	9/16/2011	7,219	3,425	3,794
PHILIP MORRIS INTERNATIONAL IN	700	1/30/2009	9/1/2011	48,553	26,225	22,328
TOTAL SA EUR2 5	3,105	1/27/2009	9/1/2011	153,523	156,940	(3,418)
EMERSON ELECTRIC CO	1,455	10/22/2008	6/27/2011	78,134	47,263	30,871
CNP ASSURANCES EUR1	630	12/3/2008	9/7/2011	10,032	9,867	165
UNION PACIFIC CORP	540	11/24/2008	9/1/2011	49,803	25,901	23,902
CONOCOPHILLIPS	90	11/19/2008	9/1/2011	6,164	4,351	1,813
UNION PACIFIC CORP	545	11/19/2008	9/1/2011	50,264	28,227	22,037
CNP ASSURANCES EUR1	1,100	11/20/2008	9/7/2011	17,516	19,591	(2,075)
CNP ASSURANCES EUR1	3,180	11/18/2008	9/7/2011	50,637	59,258	(8,621)
EMERSON ELECTRIC CO	359	10/31/2008	9/16/2011	16,509	11,564	4,945
ROCHE HLDG AG GENUSSSCHEINE NPV	450	10/10/2008	9/1/2011	80,522	60,234	20,288
CONOCOPHILLIPS	2,990	10/10/2008	9/1/2011	204,780	147,212	57,569
EMERSON ELECTRIC CO	205	10/22/2008	9/16/2011	9,427	6,659	2,768
SCHIBSTED ASA	3,080	9/29/2008	9/7/2011	74,303	45,589	28,714
GESTEVISION TELECINCO SA	1,005	9/18/2008	9/1/2011	7,156	11,307	(4,152)
MUENCHENER RUECKVERSICHERUNGS	1,055	8/18/2008	9/1/2011	136,376	164,268	(27,892)
MUENCHENER RUECKVERSICHERUNGS	220	8/18/2008	9/7/2011	26,157	34,255	(8,098)

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GESTEVISION TELECINCO SA	14,085	7/2/2008	9/1/2011	100,289	169,345	(69,056)
DIAGEO ORD 28 101/108P	1,135	5/22/2008	9/1/2011	22,768	22,267	501
PEARSON ORD GBP0 25	1,015	1/4/2008	6/20/2011	18,901	14,314	4,587
SK TELECOM CO LTD	705	3/12/2008	9/1/2011	11,197	15,116	(3,918)
CANON INC NPV	1,100	2/7/2008	9/2/2011	51,291	44,358	6,933
HONDA MOTOR CO NPV	1,400	2/6/2008	9/2/2011	45,559	41,268	4,290
ARCA CONTINENTAL SAV DE CV	2,018	1/31/2008	8/31/2011	10,130	4,638	5,492
MUENCHENER RUECKVERSICHERUNGS	315	12/17/2007	9/1/2011	40,719	58,052	(17,333)
AICA KOGYO CO Y50	11,200	12/14/2007	9/2/2011	155,822	108,896	46,926
PHILIP MORRIS INTERNATIONAL IN	780	7/18/2007	5/6/2011	53,904	38,689	15,214
UNILEVER PLC ORD GBP0 031111	94	11/7/2007	9/1/2011	3,167	3,602	(435)
PHILIP MORRIS INTERNATIONAL IN	100	7/18/2007	5/18/2011	6,893	4,960	1,932
PHILIP MORRIS INTERNATIONAL IN	1,805	6/21/2007	5/6/2011	124,739	87,354	37,385
DIAGEO ORD 28 101/108P	3,005	8/16/2007	9/1/2011	60,280	59,777	503
MUENCHENER RUECKVERSICHERUNGS	680	7/17/2007	9/1/2011	87,901	125,708	(37,807)
ARCA CONTINENTAL SAV DE CV	19,424	5/15/2007	8/31/2011	97,496	52,417	45,079
TOTAL SA EUR2 5	2,480	5/8/2007	9/1/2011	122,620	184,249	(61,629)
SK TELECOM CO LTD	4,600	5/7/2007	9/1/2011	73,061	125,975	(52,914)
UNILEVER PLC ORD GBP0 031111	238	11/8/2006	9/1/2011	8,019	6,228	1,791
SK TELECOM KRW500	1,135	9/28/2006	9/2/2011	160,308	240,996	(80,688)
DIAGEO ORD 28 101/108P	421	9/13/2006	9/1/2011	8,445	7,663	782
KURODA ELECTRIC NPV	8,700	5/25/2006	9/1/2011	101,621	114,718	(13,097)
UNILEVER PLC ORD GBP0 031111	248	5/17/2006	9/1/2011	8,356	2,447	5,908
TRANSATLANTIC HOLDINGS INC	3,967	4/7/2006	8/10/2011	196,279	228,716	(32,437)
DIAGEO ORD 28 101/108P	277	4/6/2006	9/1/2011	5,557	4,369	1,187
WAL-MART STORES INC	2,500	3/29/2006	9/1/2011	132,843	119,959	12,885
ARCA CONTINENTAL SAV DE CV	21,168	10/31/2005	8/31/2011	106,246	32,983	73,263
NESTLE SA CHF0 1 (REGD)	1,955	7/22/2005	9/1/2011	123,356	51,004	72,352
NESTLE SA CHF0 1 (REGD)	600	7/22/2005	9/16/2011	33,656	15,653	18,003
DIAGEO ORD 28 101/108P	299	3/2/2005	9/1/2011	5,998	4,503	1,495
UNILEVER NV CVA EUR0 16	6,551	1/19/2005	8/17/2011	223,018	138,808	84,210
UNILEVER NV CVA EUR0 16	2,000	1/19/2005	9/7/2011	65,263	42,378	22,885
DIAGEO ORD 28 101/108P	476	9/15/2004	9/1/2011	9,549	6,128	3,421
UNILEVER PLC ORD GBP0 031111	9,585	8/5/2004	9/1/2011	322,935	185,648	137,287
DIAGEO ORD 28 101/108P	445	9/17/2003	9/1/2011	8,927	4,997	3,929
HEINEKEN HOLDING EUR1 6	1,025	9/19/2003	9/7/2011	41,514	25,887	15,627
AKZO NOBEL NV EUR2	430	2/13/2003	2/18/2011	29,481	9,565	19,916
AKZO NOBEL NV EUR2	815	2/7/2003	2/18/2011	55,876	20,033	35,843
COCA-COLA FEMSA SAB DE CV	1,030	3/24/2003	5/12/2011	86,266	17,948	68,318
CNP ASSURANCES EUR1	800	6/13/2003	9/7/2011	12,739	8,517	4,222
HEINEKEN HOLDING EUR1 6	275	6/2/2003	9/1/2011	11,642	6,367	5,276
HEINEKEN HOLDING EUR1 6	975	6/2/2003	9/7/2011	39,489	22,573	16,916
HENKEL AG & CO KGAA NPV(BR)	4,080	5/22/2003	9/1/2011	195,231	77,767	117,464
DIAGEO ORD 28 101/108P	1,598	5/19/2003	9/1/2011	32,056	17,052	15,004
NESTLE SA CHF0 1 (REGD)	760	2/7/2003	5/26/2011	47,631	14,948	32,682
NESTLE SA CHF0 1 (REGD)	1,900	5/16/2003	9/1/2011	119,885	39,311	80,574
HEINEKEN HOLDING EUR1 6	2,500	4/30/2003	9/1/2011	105,840	58,155	47,685
COCA-COLA FEMSA SAB DE CV	2,510	3/24/2003	9/1/2011	250,975	43,739	207,236
AXEL SPRINGER AG	7,155	3/14/2003	9/1/2011	304,970	108,141	196,828
CNP ASSURANCES EUR1	1,710	3/12/2003	9/1/2011	30,216	14,491	15,725
KONE CORPORATION NPV ORD B	3,985	3/12/2003	9/1/2011	233,981	19,926	214,055
CNP ASSURANCES EUR1	15,600	3/6/2003	9/1/2011	275,655	145,339	130,316
CNP ASSURANCES EUR1	290	3/12/2003	9/7/2011	4,618	2,457	2,160
ARCA CONTINENTAL SAV DE CV	42,023	3/5/2003	8/31/2011	210,923	62,448	148,475
DIAGEO ORD 28 101/108P	252	3/5/2003	9/1/2011	5,055	2,852	2,203
CNP ASSURANCES EUR1	2,700	2/26/2003	9/1/2011	47,710	25,195	22,515

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
DIAGEO ORD 28 101/108P	5,800	2/13/2003	9/1/2011	116,348	55,073	61,275
HEINEKEN HOLDING EUR1 6	3,000	2/10/2003	9/1/2011	127,008	60,864	66,144
HEINEKEN HOLDING EUR1 6	1,250	2/7/2003	9/1/2011	52,920	25,987	26,934
DIAGEO ORD 28 101/108P	7,240	2/7/2003	9/1/2011	145,234	71,953	73,281
NOVARTIS AG CHF0 50 (REGD)	5,000	2/7/2003	9/1/2011	295,083	179,839	115,244
NESTLE SA CHF0 1 (REGD)	2,980	2/7/2003	9/1/2011	188,031	58,614	129,417
KONE CORPORATION NPV ORD B	2,455	3/12/2003	11/14/2011	139,183	12,275	126,908
NESTLE SA	400	8/25/2010	8/31/2011	24,696	20,253	4,442
SABMILLER PLC	700	8/25/2010	8/31/2011	25,476	20,217	5,259
3M CO	450	8/24/2010	8/31/2011	37,215	36,065	1,150
CH ROBINSON WORLDWIDE INC	450	7/28/2010	8/10/2011	28,599	29,026	(427)
TARGET CORP	700	12/21/2009	1/6/2011	38,603	34,549	4,055
3M CO	450	8/12/2010	8/31/2011	37,215	37,938	(723)
TARGET CORP	250	12/15/2009	1/6/2011	13,787	11,927	1,859
CH ROBINSON WORLDWIDE INC	200	4/23/2010	5/19/2011	16,129	12,269	3,860
SCHLUMBERGER LTD	300	8/4/2010	8/31/2011	23,589	18,942	4,648
PRICELINE COM INC	5	3/25/2010	4/27/2011	2,688	1,275	1,413
INTUITIVE SURGICAL INC	170	7/22/2010	8/31/2011	65,245	54,043	11,202
ALTERA CORP	350	2/8/2010	3/21/2011	14,312	7,669	6,644
ALTERA CORP	85	2/9/2010	3/24/2011	3,601	1,874	1,727
ALTERA CORP	965	2/8/2010	3/24/2011	40,882	21,144	19,738
ALTERA CORP	600	2/9/2010	3/30/2011	26,302	13,228	13,075
PRICELINE COM INC	30	2/1/2010	3/22/2011	13,547	6,035	7,512
ALTERA CORP	450	2/9/2010	4/5/2011	19,308	9,921	9,387
NESTLE SA	300	7/6/2010	8/31/2011	18,522	14,442	4,079
INVESCO LTD SHS	600	3/30/2010	6/1/2011	14,346	13,054	1,292
NESTLE SA	450	6/28/2010	8/31/2011	27,783	21,835	5,947
SABMILLER PLC	750	6/24/2010	8/31/2011	27,296	22,142	5,153
PRICELINE COM INC	30	2/1/2010	4/21/2011	16,038	6,035	10,003
PRICELINE COM INC	65	2/1/2010	4/27/2011	34,943	13,077	21,866
SCHLUMBERGER LTD	250	5/27/2010	8/31/2011	19,658	15,125	4,533
SABMILLER PLC	700	5/26/2010	8/31/2011	25,476	19,470	6,006
SABMILLER PLC	150	5/25/2010	8/31/2011	5,459	4,031	1,428
CH ROBINSON WORLDWIDE INC	300	4/28/2010	8/10/2011	19,066	18,246	820
INTUITIVE SURGICAL INC	95	5/7/2010	8/22/2011	30,618	30,970	(352)
CH ROBINSON WORLDWIDE INC	100	4/23/2010	8/10/2011	6,355	6,134	221
PRICELINE COM INC	36	5/14/2010	8/31/2011	19,380	7,458	11,922
PRICELINE COM INC	24	5/13/2010	8/31/2011	12,920	5,156	7,764
INTUITIVE SURGICAL INC	40	5/7/2010	8/31/2011	15,352	13,040	2,312
ALLERGAN INC/UNITED STATES	215	1/21/2010	5/19/2011	17,874	12,944	4,931
F5 NETWORKS INC	95	5/5/2010	8/31/2011	7,721	6,539	1,182
ALLERGAN INC/UNITED STATES	35	1/20/2010	5/19/2011	2,910	2,134	776
F5 NETWORKS INC	305	5/4/2010	8/31/2011	24,788	21,204	3,584
PEPSICO INC/NC	350	10/22/2009	2/18/2011	22,192	21,448	744
INVESCO LTD SHS	950	4/29/2010	8/31/2011	17,448	22,219	(4,771)
F5 NETWORKS INC	250	4/27/2010	8/31/2011	20,318	17,541	2,776
F5 NETWORKS INC	100	4/23/2010	8/31/2011	8,127	7,124	1,003
ALLERGAN INC/UNITED STATES	500	4/20/2010	8/31/2011	40,875	32,243	8,632
PEPSICO INC/NC	50	10/2/2009	2/16/2011	3,206	3,045	160
EXPEDITORS INTERNATIONAL OF WA	550	4/15/2010	8/31/2011	25,573	21,838	3,735
SCHLUMBERGER LTD	600	4/15/2010	8/31/2011	47,179	40,343	6,836
PEPSICO INC/NC	400	10/2/2009	2/18/2011	25,362	24,364	998
INVESCO LTD SHS	875	4/7/2010	8/31/2011	16,070	19,667	(3,596)
SCHLUMBERGER LTD	400	4/6/2010	8/31/2011	31,452	26,762	4,691
INVESCO LTD SHS	1,025	4/6/2010	8/31/2011	18,825	23,113	(4,288)
INVESCO LTD SHS	935	3/31/2010	8/31/2011	17,172	20,422	(3,250)

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
INVESCO LTD SHS	315	3/30/2010	8/31/2011	5,785	6,853	(1,068)
SCHLUMBERGER LTD	800	3/29/2010	8/31/2011	62,905	50,411	12,494
ALLERGAN INC/UNITED STATES	450	3/25/2010	8/31/2011	36,788	29,025	7,762
PRICELINE COM INC	195	3/25/2010	8/31/2011	104,974	49,734	55,240
EXPEDITORS INTERNATIONAL OF WA	405	3/22/2010	8/31/2011	18,831	15,556	3,276
EXPEDITORS INTERNATIONAL OF WA	595	3/19/2010	8/31/2011	27,666	23,032	4,634
EXPEDITORS INTERNATIONAL OF WA	600	3/8/2010	8/31/2011	27,898	22,005	5,893
NETAPP INC	700	3/4/2010	8/31/2011	26,495	21,986	4,509
ADOBE SYSTEMS INC	750	2/8/2010	8/11/2011	17,725	24,391	(6,665)
EXPEDITORS INTERNATIONAL OF WA	600	2/26/2010	8/31/2011	27,898	21,927	5,971
EXPEDITORS INTERNATIONAL OF WA	50	2/23/2010	8/31/2011	2,325	1,866	459
NETAPP INC	500	2/8/2010	8/31/2011	18,925	15,369	3,556
ALLERGAN INC/UNITED STATES	50	1/21/2010	8/31/2011	4,088	3,010	1,077
STATE STREET CORP	70	6/17/2009	2/8/2011	3,303	3,194	109
STATE STREET CORP	430	6/17/2009	2/9/2011	20,142	19,619	523
STATE STREET CORP	680	6/5/2009	2/8/2011	32,085	32,654	(569)
STATE STREET CORP	700	5/19/2009	2/8/2011	33,029	30,206	2,823
COGNIZANT TECHNOLOGY SOLUTIONS	325	8/5/2009	5/10/2011	25,375	11,167	14,208
COGNIZANT TECHNOLOGY SOLUTIONS	375	8/5/2009	5/11/2011	29,073	12,885	16,188
VARIAN MEDICAL SYSTEMS INC	240	11/2/2009	8/10/2011	12,697	10,388	2,310
VARIAN MEDICAL SYSTEMS INC	460	11/2/2009	8/11/2011	24,597	19,910	4,687
COGNIZANT TECHNOLOGY SOLUTIONS	350	5/15/2009	3/10/2011	26,855	8,985	17,869
NOVO NORDISK A/S	100	2/27/2009	1/4/2011	11,273	4,885	6,388
NETAPP INC	750	10/8/2009	8/18/2011	25,805	20,665	5,140
NETAPP INC	950	10/20/2009	8/31/2011	35,958	27,335	8,623
NOVO NORDISK A/S	110	3/4/2009	1/14/2011	12,163	5,093	7,070
NOVO NORDISK A/S	290	3/4/2009	1/18/2011	32,447	13,426	19,022
NOVO NORDISK A/S	190	2/27/2009	1/14/2011	21,009	9,281	11,727
COGNIZANT TECHNOLOGY SOLUTIONS	375	6/5/2009	4/27/2011	30,475	10,132	20,343
NETAPP INC	300	10/8/2009	8/31/2011	11,355	8,266	3,089
COGNIZANT TECHNOLOGY SOLUTIONS	535	6/5/2009	5/3/2011	41,342	14,455	26,887
COGNIZANT TECHNOLOGY SOLUTIONS	165	6/5/2009	5/4/2011	12,703	4,458	8,245
COGNIZANT TECHNOLOGY SOLUTIONS	25	6/5/2009	5/10/2011	1,952	675	1,276
COGNIZANT TECHNOLOGY SOLUTIONS	200	5/15/2009	4/26/2011	16,352	5,135	11,217
COGNIZANT TECHNOLOGY SOLUTIONS	275	5/15/2009	4/27/2011	22,348	7,060	15,289
VARIAN MEDICAL SYSTEMS INC	600	7/30/2009	8/10/2011	31,744	20,441	11,303
VARIAN MEDICAL SYSTEMS INC	200	10/24/2008	1/4/2011	13,864	8,212	5,652
APPLE INC	200	6/18/2009	8/31/2011	77,635	27,435	50,200
APPLE INC	200	6/9/2009	8/31/2011	77,635	28,655	48,980
PEPSICO INC/NC	400	10/13/2008	1/4/2011	26,128	24,425	1,703
ADOBE SYSTEMS INC	510	4/22/2009	8/10/2011	11,958	12,438	(480)
ADOBE SYSTEMS INC	340	4/22/2009	8/11/2011	8,035	8,292	(257)
PEPSICO INC/NC	350	10/23/2008	2/16/2011	22,442	18,764	3,678
PEPSICO INC/NC	300	10/13/2008	2/16/2011	19,236	18,319	917
APPLE INC	200	4/1/2009	8/31/2011	77,635	21,465	56,170
GOOGLE INC	50	2/4/2009	8/31/2011	27,171	17,622	9,549
APPLE INC	260	1/15/2009	8/31/2011	100,926	21,151	79,774
CELGENE CORP	1,050	5/27/2008	2/3/2011	52,589	61,891	(9,302)
VARIAN MEDICAL SYSTEMS INC	75	10/24/2008	8/10/2011	3,968	3,080	888
CELGENE CORP	1,400	9/8/2008	8/31/2011	83,988	92,785	(8,796)
ADOBE SYSTEMS INC	1,750	5/28/2008	8/10/2011	41,033	74,694	(33,661)
GOOGLE INC	39	6/2/2008	8/16/2011	20,977	22,379	(1,402)
GOOGLE INC	80	6/2/2008	8/19/2011	40,384	45,905	(5,521)
GOOGLE INC	111	5/22/2008	8/16/2011	59,703	61,004	(1,301)
GOOGLE INC	109	6/2/2008	8/31/2011	59,234	62,545	(3,312)
CELGENE CORP	2,550	5/27/2008	8/31/2011	152,979	150,306	2,672

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
OCCIDENTAL PETROLEUM CORP	300	10/25/2010	10/27/2011	28,044	24,217	3,827
OCCIDENTAL PETROLEUM CORP	50	10/22/2010	10/27/2011	4,674	3,951	723
HALLIBURTON CO	150	11/5/2010	11/14/2011	5,722	4,774	948
AT&T INC	450	11/3/2010	12/5/2011	13,117	13,066	50
AT&T INC	600	10/28/2010	12/5/2011	17,489	17,171	318
AT&T INC	150	10/27/2010	12/5/2011	4,372	4,231	141
EQT CORP	200	11/8/2010	12/27/2011	11,205	7,708	3,498
EQT CORP	200	11/8/2010	12/29/2011	11,003	7,708	3,295
DTE ENERGY CO	2,000	11/3/2010	12/30/2011	109,525	92,492	17,033
DFA US MICROCAP FUND	48,040	VARIOUS	7/28/2011	678,805	675,718	3,086
OJSC POLYUS GOLD ADR	1,224	7/23/2010	7/26/2011	36,399	31,835	4,564
OJSC POLYUS GOLD ADR	1,248	7/21/2010	7/26/2011	37,113	32,839	4,274
TYSON FOODS INC CL A	876	10/21/2010	10/27/2011	17,096	13,795	3,301
OJSC POLYUS GOLD ADR	366	7/19/2010	7/26/2011	10,884	9,501	1,383
GOODRICH PETROLEUM CORP NEW	100	11/30/2010	12/8/2011	1,479	1,314	165
OJSC POLYUS GOLD ADR	1,172	7/16/2010	7/26/2011	34,853	29,871	4,982
ARCH CAPITAL GROUP LTD	298	7/29/2010	8/16/2011	10,011	7,715	2,297
CHESAPEAKE ENERGY CORP	530	7/27/2010	8/16/2011	16,803	11,196	5,607
OJSC POLYUS GOLD ADR	1,198	7/2/2010	7/26/2011	35,626	31,358	4,267
LAYNE CHRISTENSEN INC	356	9/2/2010	9/27/2011	8,125	8,807	(682)
CHESAPEAKE ENERGY CORP	1,385	7/20/2010	8/16/2011	43,909	29,774	14,135
BRISTOW GROUP INC	161	8/30/2010	9/27/2011	7,169	5,436	1,732
ANGLOGOLD ASHANTI LIMITED	242	2/4/2010	3/7/2011	11,653	8,638	3,015
ZIMMER HLDGS INC	354	8/26/2010	9/27/2011	20,208	16,867	3,340
LAYNE CHRISTENSEN INC	111	9/2/2010	10/5/2011	2,474	2,746	(272)
ANGLOGOLD ASHANTI LIMITED	1,613	1/21/2010	3/4/2011	77,376	62,910	14,466
ARCH CAPITAL GROUP LTD	377	7/29/2010	9/9/2011	12,260	9,760	2,500
ANGLOGOLD ASHANTI LIMITED	2,151	1/21/2010	3/7/2011	103,580	83,893	19,687
ARCH CAPITAL GROUP LTD	762	7/29/2010	9/12/2011	24,715	19,726	4,989
TYSON FOODS INC CL A	1,805	10/21/2010	12/6/2011	36,564	28,425	8,139
DAIWA SECS GROUP INC SPONS ADR	670	11/20/2009	1/12/2011	3,605	3,360	244
CHESAPEAKE ENERGY CORP	197	7/27/2010	9/27/2011	5,526	4,162	1,365
WESTERN DIGITAL CORPORATION	746	10/7/2010	12/8/2011	23,579	21,181	2,398
CONSOLIDATED ENERGY INC	68	7/21/2010	9/27/2011	2,634	2,552	82
SHAW GROUP INCORPORATED	217	6/8/2010	8/16/2011	5,024	7,041	(2,017)
IMPALA PLATINUM HLDGS LTD ADR	362	6/7/2010	8/16/2011	8,764	8,385	378
BANRO CORP	7,977	6/21/2010	8/31/2011	37,868	16,413	21,454
AXIS CAPITAL HOLDINGS LTD	406	10/28/2009	1/12/2011	14,408	11,929	2,479
BANRO CORP	922	6/22/2010	9/8/2011	4,646	1,861	2,784
BANRO CORP	407	6/21/2010	9/8/2011	2,051	837	1,213
AXIS CAPITAL HOLDINGS LTD	90	10/28/2009	1/26/2011	3,260	2,644	616
LOEWS CORPORATION	65	5/17/2010	8/16/2011	2,399	2,223	176
BANRO CORP	2,120	6/22/2010	9/27/2011	9,131	4,279	4,851
LAYNE CHRISTENSEN INC	665	9/2/2010	12/8/2011	15,427	16,452	(1,025)
BRISTOW GROUP INC	103	8/30/2010	12/8/2011	4,712	3,478	1,234
BANRO CORP	6,692	5/17/2010	8/31/2011	31,768	14,184	17,584
CONSOLIDATED ENERGY INC	398	7/21/2010	11/8/2011	17,684	14,937	2,747
A G C O CORP	315	6/8/2010	9/27/2011	12,406	8,165	4,241
SHAW GROUP INCORPORATED	495	6/8/2010	9/27/2011	10,777	16,061	(5,284)
SHAW GROUP INCORPORATED	244	6/8/2010	10/5/2011	5,213	7,917	(2,704)
GOODRICH PETRO 3 1/4 12-01-26	74,000	10/30/2009	2/28/2011	74,925	68,543	6,383
SKYWEST INC	83	5/21/2010	9/27/2011	989	1,198	(209)
SKYWEST INC	2,417	5/19/2010	9/27/2011	28,807	35,043	(6,236)
NEXEN INC	19	5/18/2010	9/27/2011	327	408	(81)
SUMITOMO TR & BK CO SPON ADR	9,115	11/20/2009	4/1/2011	47,535	46,741	794
FRESH DEL MONTE PRODUCE INC	347	5/17/2010	9/27/2011	8,206	7,456	750

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
LOEWS CORPORATION	430	5/17/2010	9/27/2011	15,479	14,704	775
CHESAPEAKE ENERGY CORP	186	7/27/2010	12/8/2011	4,521	3,929	592
FRESH DEL MONTE PRODUCE INC	261	5/13/2010	9/27/2011	6,172	5,588	584
SKYWEST INC	576	5/21/2010	10/6/2011	6,654	8,314	(1,660)
A G C O CORP	226	6/8/2010	10/25/2011	9,340	5,858	3,481
BANRO CORP	1,941	6/22/2010	11/8/2011	8,395	3,918	4,477
A G C O CORP	671	6/8/2010	10/26/2011	28,096	17,393	10,703
CONSOLIDATED ENERGY INC	41	7/21/2010	12/8/2011	1,585	1,539	46
FRESH DEL MONTE PRODUCE INC	155	5/17/2010	10/5/2011	3,525	3,330	195
LOEWS CORPORATION	25	5/17/2010	10/6/2011	879	855	25
SUMITOMO TR & BK CO SPON ADR	3,983	11/10/2009	4/1/2011	20,771	21,879	(1,108)
GUOCO GROUP LTD UNSPON ADR	94	7/16/2010	12/8/2011	1,692	1,844	(152)
INGRAM MICRO INC CLA	477	5/14/2010	10/6/2011	8,544	8,351	193
SMITHFIELD FOODS INC (VA)	602	8/18/2009	1/12/2011	12,177	7,100	5,078
IMPALA PLATINUM HLDGS LTD ADR	1,181	6/7/2010	11/8/2011	27,009	27,357	(348)
FOREST LABORATORIES INC	656	3/10/2010	8/16/2011	23,186	20,082	3,104
KROGER CO	699	8/6/2009	1/12/2011	15,163	15,004	159
SMITHFIELD FOODS INC (VA)	79	8/18/2009	1/26/2011	1,607	932	675
SAIC, INC.	1,147	4/14/2010	9/27/2011	14,215	20,277	(6,061)
AETNA INC (NEW)(CT)	653	7/27/2009	1/12/2011	21,477	16,455	5,022
CENTRAIS ELEC BRAS ADR PREF	916	5/21/2010	11/8/2011	13,232	13,048	184
KROGER CO	256	8/6/2009	1/26/2011	5,541	5,495	46
NEXEN INC	833	5/18/2010	11/8/2011	14,613	17,879	(3,266)
OMNICARE INC	61	8/4/2009	1/26/2011	1,593	1,448	145
TESORO PETROLEUM CP	3,002	8/12/2009	2/4/2011	62,793	38,044	24,749
A G C O CORP	246	6/9/2010	12/8/2011	10,687	6,495	4,192
A G C O CORP	110	6/8/2010	12/8/2011	4,779	2,851	1,927
AETNA INC (NEW)(CT)	237	7/27/2009	1/26/2011	7,952	5,972	1,980
ANGLOGOLD ASHANTI LIMITED	990	2/4/2010	8/8/2011	42,606	35,339	7,267
SILVER STANDARD RESOURCES INC	400	2/12/2010	8/16/2011	10,955	6,928	4,027
FRESH DEL MONTE PRODUCE INC	76	6/4/2010	12/8/2011	1,866	1,519	348
MARSH & MCLENNAN COS INC	564	7/9/2009	1/12/2011	15,464	10,667	4,797
AON CORP	56	7/8/2009	1/12/2011	2,463	2,058	405
ANGLOGOLD ASHANTI LIMITED	114	2/4/2010	8/16/2011	5,188	4,069	1,118
AETNA INC (NEW)(CT)	547	7/27/2009	2/9/2011	20,553	13,784	6,769
OLD REPUBLIC INTL 8.000 5-15-13	10,000	3/15/2010	9/30/2011	10,206	12,181	(1,975)
ANGLOGOLD ASHANTI LIMITED	801	2/4/2010	8/23/2011	36,152	28,592	7,560
CENTRAIS ELEC BRAS ADR PREF	351	5/21/2010	12/8/2011	4,851	5,000	(149)
FOREST LABORATORIES INC	307	3/10/2010	9/27/2011	9,672	9,398	274
MARSH & MCLENNAN COS INC	289	7/9/2009	1/26/2011	8,070	5,466	2,604
SKYWEST INC	1,081	5/21/2010	12/8/2011	12,625	15,603	(2,977)
AON CORP	93	7/8/2009	1/26/2011	4,256	3,418	838
OLD REPUBLIC INTL 8.000 5-15-12	25,000	3/12/2010	9/30/2011	25,516	30,473	(4,957)
MARSH & MCLENNAN COS INC	1,045	1/12/2010	8/3/2011	30,508	22,724	7,784
FRESH DEL MONTE PRODUCE INC	493	5/17/2010	12/8/2011	12,107	10,593	1,514
LOEWS CORPORATION	483	5/17/2010	12/8/2011	18,322	16,516	1,806
INGRAM MICRO INC CLA	736	5/14/2010	12/8/2011	12,941	12,886	55
OLD REPUBLIC INTL 8.000 5-15-15	26,000	3/18/2010	10/12/2011	26,553	32,222	(5,669)
SAIC, INC.	412	4/14/2010	11/8/2011	5,264	7,283	(2,019)
CENTRAIS ELEC BRAS ADR PREF	263	5/21/2010	12/16/2011	3,758	3,746	12
FOREST LABORATORIES INC	57	3/10/2010	10/6/2011	1,805	1,745	61
MARSH & MCLENNAN COS INC	416	7/9/2009	2/4/2011	11,850	7,868	3,982
SHAW GROUP INCORPORATED	196	7/13/2009	2/8/2011	7,998	4,656	3,343
TELUS CORP	151	2/16/2010	9/14/2011	7,616	4,645	2,970
OLD REPUBLIC INTL 8.000 5-15-14	1,000	3/15/2010	10/12/2011	1,021	1,218	(197)
PETROBRAS ARGENTINA S A SPON	180	1/15/2010	8/16/2011	3,117	3,034	82

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
MARSH & MCLENNAN COS INC	1,074	7/9/2009	2/8/2011	30,548	20,312	10,236
SMITHFIELD FOODS INC (VA)	1,876	8/18/2009	3/21/2011	42,320	22,125	20,195
SMITHFIELD FOODS INC (VA)	963	8/19/2009	3/22/2011	21,691	11,271	10,419
MARSH & MCLENNAN COS INC	375	1/12/2010	8/16/2011	10,643	8,154	2,488
SMITHFIELD FOODS INC (VA)	337	8/18/2009	3/22/2011	7,591	3,974	3,616
TESORO PETROLEUM CP	216	2/3/2010	9/7/2011	5,216	2,653	2,563
TESORO PETROLEUM CP	4,878	8/12/2009	3/21/2011	124,940	61,818	63,122
TELUS CORP	600	2/16/2010	9/27/2011	28,761	18,458	10,302
MARSH & MCLENNAN COS INC	2,630	1/12/2010	8/24/2011	74,181	57,190	16,992
TELUS CORP	110	2/16/2010	10/6/2011	5,142	3,384	1,758
SAIC, INC.	1,216	4/14/2010	12/8/2011	15,105	21,496	(6,392)
TESORO PETROLEUM CP	73	2/3/2010	10/6/2011	1,688	896	792
TESORO PETROLEUM CP	870	2/3/2010	10/11/2011	21,147	10,684	10,463
TESORO PETROLEUM CP	555	2/3/2010	10/12/2011	13,565	6,816	6,749
NOVAGOLD RES INC NEW	2,581	4/30/2009	1/12/2011	36,788	6,989	29,799
AMEREN CORP (HLDG CO)	203	5/13/2009	1/26/2011	5,843	4,969	874
PETROBRAS ARGENTINA S A SPON	2,077	11/23/2009	8/16/2011	35,962	35,404	558
CONSOLIDATED ENERGY INC	56	4/20/2009	1/12/2011	2,969	1,385	1,585
CAMECO CORP	489	3/12/2010	12/8/2011	8,837	13,794	(4,957)
IDACORP INC	13	3/11/2010	12/8/2011	522	450	72
FOREST LABORATORIES INC	159	3/10/2010	12/8/2011	4,725	4,867	(142)
OMNICARE INC	2,576	8/4/2009	5/16/2011	80,127	61,131	18,996
ARCH COAL INC	468	3/31/2009	1/12/2011	15,993	6,365	9,628
CDN PACIFIC RY LTD NEW	62	3/27/2009	1/12/2011	4,151	1,998	2,153
AXIS CAPITAL HOLDINGS LTD	376	10/28/2009	8/16/2011	11,052	11,047	5
TELUS CORP	97	2/16/2010	12/8/2011	5,006	2,984	2,022
TESORO PETROLEUM CP	802	11/9/2009	9/7/2011	19,367	10,962	8,405
AETNA INC (NEW)(CT)	1,818	7/27/2009	5/31/2011	79,071	45,811	33,260
AMEREN CORP (HLDG CO)	418	3/6/2009	1/12/2011	11,839	8,742	3,097
ALCOA INC	1,608	2/25/2009	1/4/2011	26,222	10,503	15,719
A G C O CORP	80	2/26/2009	1/12/2011	4,370	1,389	2,981
HUMANA INC	124	2/26/2009	1/12/2011	7,105	2,948	4,157
HUMANA INC	189	6/10/2009	4/28/2011	14,450	5,576	8,873
AMEREN CORP (HLDG CO)	238	3/6/2009	1/26/2011	6,851	4,977	1,873
CAMECO CORP	297	2/19/2009	1/12/2011	11,730	4,231	7,499
AMEREN CORP (HLDG CO)	181	2/18/2009	1/12/2011	5,127	4,793	333
HUMANA INC	18	2/26/2009	1/26/2011	1,061	428	633
AMEREN CORP (HLDG CO)	54	9/11/2009	8/16/2011	1,545	1,372	173
AXIS CAPITAL HOLDINGS LTD	329	10/28/2009	10/6/2011	8,718	9,666	(948)
TELUS CORP	58	5/5/2009	4/13/2011	2,832	1,397	1,435
ZIMMER HLDGS INC	395	1/29/2009	1/12/2011	21,914	14,934	6,980
KROGER CO	482	9/17/2009	9/8/2011	11,368	10,025	1,344
AMEREN CORP (HLDG CO)	622	9/11/2009	9/8/2011	18,464	15,801	2,664
ZIMMER HLDGS INC	121	1/29/2009	1/26/2011	6,712	4,575	2,137
KROGER CO	1,867	8/6/2009	8/16/2011	43,100	40,076	3,024
KROGER CO	892	9/17/2009	9/27/2011	19,693	18,552	1,141
AMEREN CORP (HLDG CO)	2,040	8/5/2009	8/16/2011	58,374	52,424	5,950
AMEREN CORP (HLDG CO)	1,585	9/11/2009	9/27/2011	48,423	40,264	8,159
KROGER CO	229	9/17/2009	10/6/2011	5,158	4,763	396
AETNA INC (NEW)(CT)	75	7/27/2009	8/16/2011	2,842	1,890	953
MARSH & MCLENNAN COS INC	667	7/9/2009	8/3/2011	19,472	12,615	6,858
TESORO PETROLEUM CP	903	8/12/2009	9/7/2011	21,806	11,444	10,362
DOMTAR CORPORATION NEW	123	12/16/2008	1/12/2011	9,733	2,607	7,126
KROGER CO	95	8/6/2009	9/8/2011	2,241	2,039	201
SHAW GROUP INCORPORATED	511	7/13/2009	8/16/2011	11,831	12,138	(307)
AON CORP	235	7/8/2009	8/16/2011	10,844	8,636	2,207

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TESORO PETROLEUM CP	421	12/3/2008	1/12/2011	7,944	3,548	4,396
AXIS CAPITAL HOLDINGS LTD	288	10/28/2009	12/8/2011	8,902	8,462	440
DOMTAR CORPORATION NEW	118	12/16/2008	1/26/2011	10,192	2,501	7,692
TESORO PETROLEUM CP	841	12/2/2008	1/12/2011	15,868	6,954	8,914
TYSON FOODS INC CL A	429	8/14/2009	9/27/2011	7,470	4,826	2,644
TYSON FOODS INC CL A	323	8/14/2009	10/5/2011	5,593	3,634	1,959
DOMTAR CORPORATION NEW	65	12/16/2008	2/11/2011	5,825	1,378	4,447
AMEREN CORP (HLDG CO)	526	9/11/2009	11/8/2011	17,371	13,362	4,009
TYSON FOODS INC CL A	1,761	8/14/2009	10/12/2011	32,088	19,811	12,277
HUMANA INC	560	2/26/2009	4/28/2011	42,813	13,314	29,500
AETNA INC (NEW)(CT)	803	7/27/2009	9/27/2011	31,819	20,234	11,584
TESORO PETROLEUM CP	437	12/3/2008	2/4/2011	9,141	3,683	5,458
AMEREN CORP (HLDG CO)	138	10/2/2009	12/8/2011	4,385	3,390	996
SHAW GROUP INCORPORATED	240	12/3/2008	2/8/2011	9,794	3,990	5,804
AMEREN CORP (HLDG CO)	177	10/5/2009	12/15/2011	5,661	4,339	1,322
AMEREN CORP (HLDG CO)	837	10/2/2009	12/15/2011	26,770	20,558	6,212
TYSON FOODS INC CL A	915	8/14/2009	10/27/2011	17,857	10,294	7,563
AON CORP	102	7/8/2009	9/27/2011	4,260	3,749	512
ARCH COAL INC	1,358	7/8/2009	9/27/2011	22,927	18,409	4,519
KROGER CO	1,207	9/17/2009	12/8/2011	28,534	25,103	3,431
INGRAM MICRO INC CLA	164	11/10/2008	2/2/2011	3,293	2,187	1,107
SHAW GROUP INCORPORATED	289	11/14/2008	2/8/2011	11,793	4,058	7,736
AMEREN CORP (HLDG CO)	449	9/11/2009	12/8/2011	14,267	11,406	2,861
ZIMMER HLDGS INC	420	1/29/2009	4/28/2011	27,669	15,879	11,790
ZIMMER HLDGS INC	330	1/29/2009	4/28/2011	21,740	12,208	9,532
AON CORP	125	7/8/2009	10/6/2011	5,455	4,594	862
KROGER CO	483	9/17/2009	12/16/2011	11,553	10,045	1,508
AMEREN CORP (HLDG CO)	308	5/13/2009	8/16/2011	8,813	7,539	1,274
KROGER CO	1,127	9/17/2009	12/22/2011	27,394	23,439	3,955
TELUS CORP	502	5/5/2009	8/12/2011	25,231	12,091	13,140
CONSOLIDATED ENERGY INC	240	10/2/2008	1/12/2011	12,726	9,233	3,493
SHAW GROUP INCORPORATED	344	10/2/2008	1/12/2011	12,767	8,330	4,436
TELUS CORP	102	5/5/2009	8/16/2011	5,231	2,457	2,774
NOVAGOLD RES INC NEW	4,405	4/30/2009	8/16/2011	43,873	11,928	31,945
SHAW GROUP INCORPORATED	173	10/2/2008	1/26/2011	6,586	4,189	2,397
CONSOLIDATED ENERGY INC	306	4/20/2009	8/16/2011	12,780	7,567	5,213
TIM PARTICIPACOE S A	469	9/15/2008	1/12/2011	16,867	9,571	7,296
ARCH COAL INC	1,045	7/8/2009	11/8/2011	18,732	14,166	4,566
SK TELECOM CO LTD	206	6/3/2009	10/6/2011	3,018	3,252	(235)
TELUS CORP	603	5/5/2009	9/8/2011	30,579	14,524	16,055
SHAW GROUP INCORPORATED	534	10/2/2008	2/8/2011	21,791	12,932	8,860
TELUS CORP	395	5/5/2009	9/14/2011	19,922	9,514	10,408
TIM PARTICIPACOE S A	180	9/15/2008	1/26/2011	6,991	3,673	3,318
AETNA INC (NEW)(CT)	490	7/27/2009	12/8/2011	19,759	12,347	7,412
ARCH COAL INC	921	3/31/2009	8/16/2011	18,543	12,526	6,017
CDN PACIFIC RY LTD NEW	19	3/27/2009	8/16/2011	1,124	612	512
DELTA PETROLEUM 3 3/4 5-01-37	117,000	3/31/2009	8/23/2011	102,887	29,543	73,344
NEXEN INC	440	8/13/2008	1/12/2011	10,510	12,778	(2,268)
AON CORP	204	7/8/2009	12/8/2011	9,364	7,497	1,867
CONSOLIDATED ENERGY INC	97	4/20/2009	9/27/2011	3,757	2,399	1,358
NEXEN INC	316	8/13/2008	1/26/2011	7,773	9,177	(1,404)
TELUS CORP	152	8/13/2008	1/26/2011	7,147	5,399	1,749
CRESUD SA ADR	341	7/23/2008	1/12/2011	6,447	4,553	1,894
KINROSS GOLD CORP NEW	380	4/16/2009	10/6/2011	5,274	5,338	(64)
INGRAM MICRO INC CLA	327	2/23/2009	8/16/2011	5,726	3,803	1,923
IDACORP INC	70	6/16/2009	12/8/2011	2,811	1,728	1,083

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
ARCH COAL INC	183	3/31/2009	9/27/2011	3,090	2,489	601
CRESUD SA ADR	85	7/23/2008	1/26/2011	1,612	1,135	478
TIM PARTICIPACOES S A	368	9/15/2008	3/21/2011	14,560	7,510	7,050
SK TELECOM CO LTD	532	6/3/2009	12/8/2011	7,810	8,399	(590)
TIM PARTICIPACOES S A	807	9/15/2008	3/22/2011	32,245	16,469	15,776
NOVAGOLD RES INC NEW	1,992	4/30/2009	11/8/2011	18,886	5,394	13,492
CAMECO CORP	536	2/19/2009	8/31/2011	12,547	7,636	4,911
CDN PACIFIC RY LTD NEW	29	3/27/2009	10/6/2011	1,462	935	527
SK TELECOM CO LTD	216	6/3/2009	12/16/2011	3,097	3,410	(313)
SUMITOMO TR & BK CO SPON ADR	2,820	9/16/2008	4/1/2011	14,706	16,365	(1,659)
SUMITOMO TR & BK CO SPON ADR	88	9/16/2008	4/1/2011	459	511	(52)
SUMITOMO TR & BK CO SPON ADR	731	6/25/2008	1/12/2011	4,525	5,186	(661)
TIM PARTICIPACOES S A	670	9/15/2008	4/6/2011	30,636	13,673	16,963
TIM PARTICIPACOES S A	420	9/15/2008	4/7/2011	19,177	8,571	10,606
SUMITOMO TR & BK CO SPON ADR	1,094	6/25/2008	1/26/2011	7,001	7,761	(759)
INGRAM MICRO INC CLA	1,125	2/23/2009	9/27/2011	19,174	13,083	6,091
INGRAM MICRO INC CLA	101	2/23/2009	10/6/2011	1,809	1,175	635
NOVAGOLD RES INC NEW	21	4/30/2009	12/16/2011	195	57	138
KINROSS GOLD CORP NEW	1,518	4/16/2009	12/8/2011	20,037	21,323	(1,287)
GOLD FIELDS LTD. SP. ADR	1,164	5/14/2008	1/12/2011	20,017	15,813	4,204
TELUS CORP	444	8/13/2008	4/13/2011	21,682	15,770	5,912
CDN PACIFIC RY LTD NEW	437	3/27/2009	12/8/2011	27,655	14,083	13,572
CAMECO CORP	373	2/19/2009	11/8/2011	7,635	5,314	2,320
INGRAM MICRO INC CLA	468	11/10/2008	8/16/2011	8,195	6,240	1,955
SUMITOMO TR & BK CO SPON ADR	4,173	6/25/2008	4/1/2011	21,762	29,602	(7,840)
INGRAM MICRO INC CLA	335	4/15/2008	1/26/2011	6,630	5,327	1,302
CAMECO CORP	79	2/19/2009	12/8/2011	1,428	1,126	302
INGRAM MICRO INC CLA	2,152	4/15/2008	2/2/2011	43,215	34,222	8,992
BANRO CORP	2,572	4/2/2008	1/26/2011	8,422	21,034	(12,612)
BANRO CORP	1,748	3/10/2008	1/26/2011	5,724	17,399	(11,676)
BANRO CORP	87	2/19/2008	1/12/2011	328	814	(487)
KINROSS GOLD CORP NEW	2,011	9/10/2008	8/16/2011	32,930	25,208	7,723
BANRO CORP	26	2/20/2008	1/26/2011	85	256	(171)
BANRO CORP	2,154	2/19/2008	1/26/2011	7,053	20,162	(13,109)
KINROSS GOLD CORP NEW	59	8/7/2008	8/16/2011	966	989	(23)
CNA FINANCIAL	379	9/17/2008	9/27/2011	8,742	9,822	(1,081)
KINROSS GOLD CORP NEW	1,446	9/11/2008	9/27/2011	21,914	17,687	4,226
KINROSS GOLD CORP NEW	1,554	9/10/2008	9/27/2011	23,550	19,479	4,071
CNA FINANCIAL	96	9/17/2008	10/6/2011	2,220	2,488	(268)
TYSON FOODS INC CL A	884	6/18/2008	7/8/2011	16,487	12,179	4,308
OMNICARE INC 3 1/4 12-15-35	32,157	4/18/2008	5/12/2011	30,790	22,108	8,682
KINROSS GOLD CORP NEW	1,056	9/11/2008	10/6/2011	14,656	12,917	1,739
CRYSTALLEX INTL CORP	4,059	5/30/2008	6/28/2011	233	3,301	(3,069)
CRYSTALLEX INTL CORP	2,004	5/29/2008	6/28/2011	115	1,641	(1,526)
GOLD FIELDS LTD. SP. ADR	1,492	8/5/2008	9/8/2011	24,922	14,749	10,173
GOLD FIELDS LTD. SP. ADR	1,837	8/5/2008	9/9/2011	30,392	18,159	12,232
BANRO CORP	1,366	7/24/2008	8/31/2011	6,485	5,348	1,136
CRYSTALLEX INTL CORP	18,538	5/15/2008	6/28/2011	1,062	15,607	(14,545)
NEXEN INC	350	8/13/2008	9/27/2011	6,024	10,164	(4,140)
GOLD FIELDS LTD. SP. ADR	1,204	8/5/2008	9/27/2011	19,330	11,902	7,428
TYSON FOODS INC CL A	156	11/16/2007	1/12/2011	2,608	2,321	287
TYSON FOODS INC CL A	356	6/18/2008	8/16/2011	6,246	4,905	1,341
TYSON FOODS INC CL A	60	11/16/2007	1/26/2011	1,020	893	127
CNA FINANCIAL	538	9/17/2008	12/8/2011	13,817	13,943	(126)
GOLD FIELDS LTD. SP. ADR	1,463	5/14/2008	8/16/2011	24,522	19,875	4,647
GOLD FIELDS LTD. SP. ADR	1,015	8/5/2008	11/8/2011	18,430	10,034	8,397

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Name	Quantity	Date Acquired	Date Sold	Proceeds	Total Cost	Realized
TYSON FOODS INC CL A	483	6/18/2008	9/27/2011	8,410	6,654	1,756
GOLD FIELDS LTD. SP. ADR	350	5/14/2008	9/8/2011	5,846	4,755	1,092
GOLD FIELDS LTD. SP. ADR	347	8/5/2008	12/8/2011	5,669	3,430	2,239
BANRO CORP	1,913	8/28/2007	1/12/2011	7,208	18,984	(11,776)
BANRO CORP	965	4/2/2008	8/31/2011	4,581	7,892	(3,311)
CRYSTALLEX INTL CORP	17,202	1/29/2008	6/28/2011	986	34,898	(33,912)
CRYSTALLEX INTL CORP	10,552	1/25/2008	6/28/2011	605	20,868	(20,263)
IDACORP INC	211	7/25/2007	1/12/2011	7,975	6,632	1,343
IDACORP INC	106	7/25/2007	1/26/2011	4,059	3,332	727
TECH DATA CORP	449	4/8/2008	10/12/2011	20,914	15,068	5,846
IDACORP INC	277	3/11/2008	9/27/2011	10,544	8,569	1,975
IDACORP INC	71	3/11/2008	10/6/2011	2,767	2,197	571
TYSON FOODS INC CL A	2,514	11/19/2007	7/8/2011	46,887	37,378	9,509
TYSON FOODS INC CL A	18	11/16/2007	7/8/2011	336	268	68
TECH DATA CORP	85	4/8/2008	12/8/2011	4,258	2,853	1,405
IDACORP INC	117	3/13/2008	12/8/2011	4,698	3,603	1,095
IDACORP INC	25	3/11/2008	12/8/2011	1,004	773	230
GOLD RESERVE INC 5 1/2 6-15-22	44,000	11/30/2007	9/12/2011	36,245	41,965	(5,720)
IDACORP INC	1,343	7/25/2007	8/22/2011	47,796	42,210	5,586
IDACORP INC	46	7/27/2007	9/27/2011	1,751	1,416	335
IDACORP INC	44	7/25/2007	9/27/2011	1,675	1,383	292
TECH DATA CORP	44	4/4/2007	8/16/2011	1,897	1,582	315
TECH DATA CORP	111	4/4/2007	9/27/2011	5,108	3,991	1,117
TECH DATA CORP	732	4/4/2007	10/5/2011	33,168	26,322	6,847
TECH DATA CORP	130	4/5/2007	10/12/2011	6,055	4,623	1,432
TECH DATA CORP	126	4/4/2007	10/12/2011	5,869	4,531	1,338
S&P 500 (R) Equal Weighted Indx SL CTF (C	67 641	10/25/2010	12/13/2011	2,500 00	2,415 82	84
LONG TERM				28,955,520	22,434,556	6,520,964
GRAND TOTAL				39,131,968	31,820,502	7,311,466