Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation LOUIS H. AND MARY PATRICIA STUMBERG FOUNDATION

A Employer identification number 74-6367261

Number and street (or P O box number if mail is not delivered to street address) 227 W. OLMOS DRIVE

Room/suite

B Telephone number (see instructions) (210) 271-0448

City or town, state, and ZIP code SAN ANTONIO, TX 78212

C If exemption application is pending, check here ☐

D 1 Foreign organizations check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 5,943,868.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts grants, etc received (attach schedule)				
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	105,378.	105,378.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	409,880.			
b	Gross sales price for all assets on line 6a 1,915,979.				
7	Capital gain net income (from Part IV, line 2)		409,880.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	13,187.	657.		ATCH 2
12	**Total.** Add lines 1 through 11	528,445.	515,915.		
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 3	5,300.	2,650.		2,650.
c	Other professional fees (attach schedule)	26,388.	24,486.		1,902.
17	Interest				
18	Taxes (attach schedule) (see instructions) *	11,315.	358.		
19	Depreciation (attach schedule) and depletion	853.	853.		
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 6	151.	151.		
24	**Total operating and administrative expenses.** Add lines 13 through 23	44,007.	28,498.		4,552.
25	Contributions, gifts, grants paid	226,600.			226,600.
26	**Total expenses and disbursements** Add lines 24 and 25	270,607.	28,498.	0	231,152.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	257,838.			
b	**Net investment income** (if negative, enter -0-)		487,417.		
c	**Adjusted net income** (if negative, enter -0-)				

Revenue

Operating and Administrative Expenses

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For Paperwork Reduction Act Notice, see instructions. *ATCH 4 JSA ** ATCH 5 Form **990-PF** (2011)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	35,510.	21,379.	21,379.
	2	Savings and temporary cash investments	192,400.	225,649.	225,649.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	3,966,072.	4,204,882.	5,589,015.
	c	Investments - corporate bonds (attach schedule) ATCH 8	100,295.	100,205.	107,825.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	4,294,277.	4,552,115.	5,943,868.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	4,294,277.	4,552,115.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,294,277.	4,552,115.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,294,277.	4,552,115.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,294,277.
2	Enter amount from Part I, line 27a	2	257,838.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,552,115.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,552,115.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHMENTS 15 & 16			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	409,880.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	240,444.	5,495,747.	0.043751
2009	513,333.	4,483,424.	0.114496
2008	889,656.	5,620,584.	0.158285
2007	198,990.	6,156,800.	0.032320
2006	282,805.	5,162,177.	0.054784

2	**Total** of line 1, column (d)	2	0.403636
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.080727
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	6,215,700.
5	Multiply line 4 by line 3	5	501,775.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,874.
7	Add lines 5 and 6	7	506,649.
8	Enter qualifying distributions from Part XII, line 4	8	231,152.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	9,748.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	9,748.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	9,748.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a 10,100.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c 2,500.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	12,600.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,852.
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 2,852. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ DIANA M. STUMBERG Telephone no ▶ 210-271-0448
Located at ▶ 227 W. OLMOS DRIVE SAN ANTONIO, TX ZIP + 4 ▶ 78212

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	5,980,811.
b	Average of monthly cash balances	1b	329,544.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,310,355.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,310,355.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	94,655.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,215,700.
6	**Minimum investment return.** Enter 5% of line 5	6	310,785.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	310,785.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	9,748.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	9,748.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	301,037.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	301,037.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	301,037.

Part XII **Qualifying Distributions**(see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	231,152.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	231,152.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	231,152.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				301,037.
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only				
b	Total for prior years 20 09 ,20 08 ,20 07				
3	Excess distributions carryover, if any, to 2011				
a	From 2006				
b	From 2007				
c	From 2008 415,160.				
d	From 2009 292,134.				
e	From 2010				
f	**Total** of lines 3a through e	707,294.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 231,152.				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2011 distributable amount				231,152.
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	69,885.			69,885.
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	637,409.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	637,409.			
10	Analysis of line 9				
a	Excess from 2007				
b	Excess from 2008 345,275.				
c	Excess from 2009 292,134.				
d	Excess from 2010				
e	Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest dividends, rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ATTACHMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 11

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 12

c Any submission deadlines

APPLICATIONS SHOULD BE SUBMITTED BY DECEMBER

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS OR LIMITATIONS ON AWARDS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 13				
Total ▶ 3a				226,600.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	105,378.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			15	657.	
8 Gain or (loss) from sales of assets other than inventory			18	409,880.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b TAX REFUNDS			01	12,530.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				528,445.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 528,445.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Mary Pat Stumberg | 5/23/12 | Officer

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEWART GOODSON	Stewart Goodson, CPA	05/17/2012		P00084462
Firm's name ▶ ERNST & YOUNG U.S. LLP			Firm's EIN ▶ 34-6565596	
Firm's address ▶ P.O. BOX 2938 SAN ANTONIO, TX 78299-2938			Phone no 210-228-9696	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST		105,378.	105,378.
	TOTAL	105,378.	105,378.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ROYALTY INCOME		657.	657.
TAX REFUNDS		12,530.	
	TOTALS	13,187.	657.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ERNST AND YOUNG, LLP	5,300.	2,650.		2,650.
TOTALS	5,300.	2,650.		2,650.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FROST BANK MANAGEMENT FEES	19,019.	17,117.		1,902.
INVESTMENT MANAGEMENT FEES	7,369.	7,369.		
TOTALS	26,388.	24,486.		1,902.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FOREIGN TAXES PAID	358.	358.		
ESTIMATED TAX PAYMENTS	10,957.			
TOTALS	11,315.	358.		

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AMORTIZATION	90.	90.		
MISCELLANEOUS EXPENSE	61.	61.		
TOTALS	151.	151.		

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VARIOUS CORPORATE STOCKS	3,428,194.	3,814,123.
MUTUAL FUNDS	769,684.	1,771,329.
SAN JUAN BASIN ROY TRUST	14,115.	10,674.
LESS: ACCUM. DEPLETION	-7,111.	-7,111.
TOTALS	4,204,882.	5,589,015.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
HEWLETT PACKARD CO BONDS	100,205.	107,825.
TOTALS	100,205.	107,825.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
LOUIS H. STUMBERG TOWER LIFE BUILDING, SUITE 701 SAN ANTONIO, TX 78205	TRUSTEE 1.00	0	0	0
MARY PAT STUMBERG 227 W. OLMOS DRIVE SAN ANTONIO, TX 78212	TRUSTEE 1.00	0	0	0
LOUIS H. STUMBERG, JR. 227 W. OLMOS DRIVE SAN ANTONIO, TX 78212	TRUSTEE 1.00	0	0	0
DIANA M. STUMBERG 227 W. OLMOS DRIVE SAN ANTONIO, TX 78212	TRUSTEE 1.00	0	0	0
ERIC B. STUMBERG 227 W. OLMOS DRIVE SAN ANTONIO, TX 78212	TRUSTEE 1.00	0	0	0
	GRAND TOTALS	0	0	0

ATTACHMENT 10

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

L.H. & M. P. STUMBERG, 227 W. OLMOS DRIVE, SAN ANTONIO, TX 78212
ERIC B. STUMBERG, 106 EAST 6TH STREET, STE. 900, AUSTIN, TEXAS 78701

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

DIANA M. STUMBERG
227 W. OLMOS DRIVE
SAN ANTONIO, TX 78212
210-271-0448

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORM OUTLINING THE NEEDS OF THE APPLICANT

LOUIS H. AND MARY PATRICIA STUMBERG FOUNDATION **74-6367261**
DECEMBER 31, 2011

SCHEDULE OF CHARITABLE CONTRIBUTIONS

NAME AND ADDRESS	AMOUNT	PURPOSE
Blessings in a Backpack 1839 Brownsboro Road Louisville, KY 40206	1,600.00	CHARITABLE
Boy Scouts of America Alamo Area 2226 NW Militiary San Antonio, TX 78213-1894	5,000.00	CHARITABLE
First Presbyterian Church 404 North Alamo San Antonio, TX 78205	120,000.00	RELIGIOUS
Northeast Baptist Hospital 8811 Village Drive San Antonio, TX	50,000.00	CHARITABLE
Returning Heroes Home P.O. Box 202194 Dallas, TX 75320-2194	25,000.00	CHARITABLE
United Way of San Antonio 700 South Alamo Street San Antonio, TX 78205	25,000.00	CHARITABLE
TOTAL	226,600.00	

Louis H and Mary Patricia Stumberg Foundation EIN 74-6367261
Form 990-PF, Part I, Line 19

	Units	Original Basis	Depletion Allowed or Allowable in PY	Original Basis Less Depl Allowed or Allowable in PY	Cost Depletion Factor	Cost Depletion
San Juan Royalty Trust	433	$12,579 00	$5,696 40	$6,883	0 108562	$747
San Juan Royalty Trust	36	$1,536 05	$561 37	$975	0 108562	$106
TOTALS		$14,115 05	$6,257 77	$7,857		$853

ATTACHMENT 14

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES					
ST COVERED SALES THRU FROST - SEE ATTACHED	VARIOUS	VARIOUS	112,033	146,847	-34,814
ST NONCOVERED SALES THRU FROST - SEE ATTACHED	VARIOUS	VARIOUS	570,846	462,845	108,001
TOTAL CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES			682,879	609,692	73,187
Totals			682,879	609,692	73,187

ATTACHMENT 16

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
CAPITAL GAINS (LOSSES) HELD FOR INVESTMENT PURPOSES					
LT SALES THRU FROST - SEE ATTACHED	VARIOUS	VARIOUS	1,219,276	893,554	325,722
LTCG DISTRIBUTION THRU FROST 571700	VARIOUS	VARIOUS	13,824		13,824
BASIS ADJUSTMENT - LUKOIL OIL CO (THRU FROST)	12/31/1987	03/31/2011		2,853.	-2,853
TOTAL CAPITAL GAIIIS (LOSSES) HELD FOR INVESTMENT PURPOSES			1,233,100	896,407	336,693
			1,233,100		
Totals				896,407	336,693

JSA
1F0970 2 000

2011 Tax Information Statement

Account Number: F0571700
Recipient's Tax ID Number: 74-6367261
Payer's Federal ID Number: 74-6036463
Questions? (210)220-4997

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
FROST NATIONAL BANK TRUSTEE
P O BOX 2950
SAN ANTONIO, TX 78299

Recipient's Name and Address
LOUIS & MARY STUMBERG FOUNDATION
C/O ERNST & YOUNG
FROST BANK TOWER
SAN ANTONIO, TX 78205

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 9)	(Box 1a)	(Box 1b)	(Box 2)	(Box 3)		(Box 4)
459200101	285 0000	INT L BUSINESS MACHINES CORP COM *	07/13/2011	10/08/2010	50,169 35	39,529 82	10,639 53	0 00
58405U102	500 0000	MEDCO HEALTH SOLUTIONS INC COM*	02/10/2011	04/27/2010	30,849 41	32,151 40	-1,301 99	0 00
58405U102	1000 0000	MEDCO HEALTH SOLUTIONS INC COM*	03/18/2011		54,431 75	56,964 87	-2,533 12	0 00
61945A107	250 0000	MOSAIC COMPANY COM	01/06/2011	07/23/2010	19,463 55	11,554 55	7 909 00	0 00
61945A107	750 0000	MOSAIC COMPANY COM	03/09/2011	07/23/2010	59,103 06	34,663 65	24 439 41	0 00
786514208	237 0000	SAFEWAY INC COM NEW	07/27/2011	08/19/2010	4,797 26	4,761 87	35 39	0 00
Total Short Term Sales Reported on 1099-B Report on Form 8949, Part I, with Box A checked					1 **570,846 27**	2 **462,844 97**	**108 001 30**	**0 00**
Long Term 15% Sales Reported on 1099-B								
001055102	650 0000	AFLAC INC COM*	01/06/2011	06/23/2009	36,381 83	19,550 76	16 831 07	0 00
031162100	163 0000	AMGEN INC COM*	11/09/2011		9,411 03	9,188 79	222 24	0 00
037833100	184 0000	APPLE INC COM *	04/29/2011	02/06/2009	64,801 99	18,381 27	46 420 72	0 00
064058100	403 0000	BANK OF NEW YORK MELLON CORP COM*	10/06/2011	11/09/2005	7,358 83	13 664 53	-6 305 70	0 00
088606108	350 0000	BHP BILLITON LTD-SPON ADR*	01/20/2011		30,921 91	27 094 02	3 827 89	0 00
143658300	750 0000	CARNIVAL CORP PAIRED CTF 1 COM*	03/23/2011	02/24/2010	29,168 28	26,233 26	2 935 02	0 00
143658300	1250 0000	CARNIVAL CORP PAIRED CTF 1 COM*	09/14/2011	02/24/2010	41,192 46	43,722 09	2,529 63	0 00
168615102	1028 0000	CHICOS FAS INC COM	10/26/2011	08/18/2010	12,912 25	9 551 67	3 360 58	0 00
17275R102	1500 0000	CISCO SYSTEM INC COM*	02/10/2011		29,429 44	39 240 00	9 810 57	0 00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

2011 Tax Information Statement

Payer's Name and Address:
FROST NATIONAL BANK TRUSTEE
P O BOX 2950
SAN ANTONIO, TX 78299

Account Number F0571700
Recipient's Tax ID Number. 74-6367261
Payer's Federal ID Number: 74-6036463
Questions? (210)220-4997
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
LOUIS & MARY STUMBERG FOUNDATION
C/O ERNST & YOUNG
FROST BANK TOWER
SAN ANTONIO, TX 78205

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 9)	(Box 1a)	(Box 1b)	(Box 2)	(Box 3)		(Box 4)
192446102	340 0000	COGNIZANT TECH SOLUTIONS CL A*	05/24/2011		24,545.15	8,949 90	15,595 25	0 00
192446102	660 0000	COGNIZANT TECH SOLUTIONS CL A*	08/22/2011	10/10/2008	37,964 25	11,587 22	26,377 03	0 00
200340107	158 0000	COMERICA INC COM	09/21/2011	05/24/2010	3,794 52	6,027 88	-2,233 36	0 00
20825C104	1085 0000	CONOCOPHILLIPS COM*	05/04/2011		79,357 55	70,388 05	8,969 50	0 00
256135203	302 0000	DR REDDYS LABS LTD ADR	04/07/2011	03/15/2010	11,153.13	8,071 98	3,081 15	0 00
291011104	762 0000	EMERSON ELECTRIC CO COM*	08/17/2011		35,022 82	36,297 17	-1,274 35	0 00
26875P101	107 0000	EOG RESOURCES INC COM*	01/06/2011		9,923 22	6,890 81	3,032 41	0 00
38141G104	450 0000	GOLDMAN SACHS GROUP INC COM	09/01/2011		50,647 78	67,673 04	-17,025 26	0 00
406216101	703 0000	HALLIBURTON CO COM*	05/24/2011		33,132 91	26 739 00	6,393 91	0 00
406216101	1547 0000	HALLIBURTON CO COM*	09/23/2011		49,565 54	40,733 79	8,831 75	0 00
428236103	1250 0000	HEWLETT PACKARD CO COM *	02/23/2011		53,688 97	49,824 63	3,864 34	0 00
452308109	695 0000	ILLINOIS TOOL WORKS INC COM *	06/06/2011	02/22/2010	38,669 75	32,413 41	6,256 34	0 00
452308109	1055 0000	ILLINOIS TOOL WORKS INC COM *	07/26/2011	02/22/2010	55,431 82	49,203 09	6,228 73	0 00
464287804	441 0000	ISHARES S&P SMALLCAP 600 INDEX ETF*	10/13/2011		27,898 10	27 249 99	648 11	0 00
478160104	95 0000	JOHNSON & JOHNSON COM *	01/12/2011	11/15/2004	5,938 52	5,792 15	146 37	0 00
677862104	1000 0000	LUKOIL OIL CO SPONSORED ADR	03/31/2011	12/31/1987	71,368 62	1,000 00	70,368 62	0 00
565849106	187 0000	MARATHON OIL CORP COM*	08/16/2011	05/11/2009	5,048 20	3,477 25	1,570 95	0 00
56585A102	5000	MARATHON PETROLEUM CORP COM*	07/15/2011	05/11/2009	19 87	12 58	7 29	0 00

2011 Tax Information Statement

Payer's Name and Address
FROST NATIONAL BANK TRUSTEE
P O BOX 2950
SAN ANTONIO, TX 78299

Account Number: F0571700
Recipient's Tax ID Number 74-6367261
Payer's Federal ID Number 74-6036463
Questions? (210)220-4997

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address
LOUIS & MARY STUMBERG FOUNDATION
C/O ERNST & YOUNG
FROST BANK TOWER
SAN ANTONIO, TX 78205

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered *(Box 6 is checked)*		*(Box 9)*	*(Box 1a)*	*(Box 1b)*	*(Box 2)*	*(Box 3)*		*(Box 4)*
56585A102	93 0000	MARATHON PETROLEUM CORP COM*	10/05/2011	05/11/2009	2,916 41	2,339 60	576 81	0 00
58405U102	99 0000	MEDCO HEALTH SOLUTIONS INC COM*	03/23/2011	04/02/2009	5,192 75	4,243 14	949 61	0 00
64110L106	71 0000	NETFLIX INC COM	10/18/2011	08/20/2010	7,972 42	9,230 10	-1,257 68	0 00
62942M201	395 0000	NTT DOCOMO INC SPONSORED ADR	09/14/2011	07/22/2010	7,375 38	6,248 67	1,126 71	0 00
704326107	189 0000	PAYCHEX INC COM*	06/15/2011	12/14/2006	5,545 50	7,563 78	2,018 28	0 00
713448108	98 0000	PEPSICO INC COM*	02/18/2011	12/28/2004	6,218 06	5,110 89	1,107 17	0 00
742718109	184 0000	PROCTER & GAMBLE CO COM *	02/09/2011		11,791 45	9,923 73	1,867 72	0 00
772739207	28 0000	ROCK-TENN CO COM	12/13/2011	11/22/2010	1,552 25	1,506 90	45 35	0 00
772739207	96 0000	ROCK-TENN CO COM	12/15/2011	11/22/2010	5,265 22	5,166 52	98 70	0 00
772739207	9 0000	ROCK-TENN CO COM	12/16/2011	11/22/2010	496 88	484 36	12 52	0 00
786514208	224 0000	SAFEWAY INC COM NEW	07/27/2011		4,534 12	7,977 89	-3,443 77	0 00
806857108	150 0000	SCHLUMBERGER LIMITED COM *	02/18/2011	06/06/2008	14,239 25	15 746 52	-1 507 27	0 00
78440P108	445 0000	SK TELECOM CO LTD ADR	03/14/2011	02/04/2010	8,089 97	7,499 58	590 39	0 00
835699307	320 0000	SONY CORP SPONSORED ADR	09/22/2011		5,909 21	9,334 82	3,425 62	0 00
844741108	434 0000	SOUTHWEST AIRLINES CO COM*	04/14/2011	10/30/2008	5,019 46	4,863 06	156 40	0 00
844741108	657 0000	SOUTHWEST AIRLINES CO COM*	09/01/2011		5,630 45	4,683 52	946 93	0 00
855244109	434 0000	STARBUCKS CORP COM*	06/06/2011		15,551 27	5,599 97	9 951 30	0 00
87612E106	500 0000	TARGET CORP COM *	02/09/2011	08/06/2008	27,509 57	23 685 00	3 824 57	0 00
87612E106	1000 0000	TARGET CORP COM *	02/24/2011		50,582 34	46,508 32	4 074 02	0 00

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2011 Tax Information Statement

Account Number F0571700
Recipient's Tax ID Number 74-6367261
Payer's Federal ID Number 74-6036463
Questions? (210)220-4997
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
FROST NATIONAL BANK TRUSTEE
P O BOX 2950
SAN ANTONIO, TX 78299

Recipient's Name and Address
LOUIS & MARY STUMBERG FOUNDATION
C/O ERNST & YOUNG
FROST BANK TOWER
SAN ANTONIO, TX 78205

Cusip Number		Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 9)	(Box 1a)	(Box 1b)	(Box 2)	(Box 3)		(Box 4)
891027104	5000	TORCHMARK CORP COM	07/18/2011	12/11/2009	21 00	14 67	6 33	0 00
892356106	250 0000	TRACTOR SUPPLY CO COM	02/18/2011	12/31/1987	13,284 82	448 12	12,836 70	0 00
892356106	1500 0000	TRACTOR SUPPLY CO COM	03/24/2011	12/31/1987	83,972 58	2,688 75	81 283 83	0 00
89469A104	164 0000	TREEHOUSE FOODS INC COM	08/09/2011		8,745 41	4,748 35	3,997 06	0 00
907818108	439 0000	UNION PACIFIC CORP COM*	08/23/2011	03/25/2010	37,705 07	32,348 55	5,356 52	0 00
910047109	736 0000	UNITED CONTINENTAL HLDGS INC COM	01/05/2011	11/23/2009	18,698 28	9,262 38	9,435 90	0 00
084423102	336 0000	WR BERKLEY CORP COM	04/27/2011	07/07/2009	10,708 35	7,368 48	3,339 87	0 00
Total Long Term 15% Sales Reported on 1099-B Report on Form 8949, Part II, with Box A checked					**1,219,276 21**	**893,554 00**	**325,722 19**	**0 00**

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported The taxpayer is ultimately responsible for the accuracy of their own tax return

Form **8868**
(Rev January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions LOUIS H. AND MARY PATRICIA STUMBERG FOUNDATION	Employer identification number (EIN) or [X] 74-6367261
	Number, street, and room or suite no If a P O box, see instructions 227 W. OLMOS DRIVE	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code For a foreign address, see instructions SAN ANTONIO, TX 78212	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ DIANA M. STUMBERG

Telephone No ▶ 210 271-0448 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 12 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ [X] calendar year 20 11 or
▶ [ ] tax year beginning ________, 20 ____, and ending ________, 20 ____

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 12,600.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 10,100.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 2,500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions