Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning , 2011, and ending ,

Collis Family Foundation
2700 Island Ledge Cove
Austin, TX 78746

A Employer identification number 74-2982785

B Telephone number (see the instructions) 512-415-2344

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 869,092.

J Accounting method ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck ► [X] if the foundn is **not** req to att Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	25,540.	25,540.	25,540.	
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	61,505.			
b Gross sales price for all assets on line 6a 1,936,293.				
7 Capital gain net income (from Part IV, line 2)		61,505.		
8 Net short-term capital gain			0.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 **Total.** Add lines 1 through 11	87,045.	87,045.	25,540.	
ADMINISTRATIVE EXPENSES 13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 1	910.	500.		410.
c Other prof fees (attach sch) See St 2	9,662.	9,662.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 3	1,614.	80.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 4	110.	110.		
24 **Total operating and administrative expenses.** Add lines 13 through 23	12,296.	10,352.		410.
25 Contributions, gifts, grants paid Part XV	44,859.			44,859.
26 **Total expenses and disbursements.** Add lines 24 and 25	57,155.	10,352.	0.	45,269.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	29,890.			
b **Net investment income** (if negative, enter -0-)		76,693.		
c **Adjusted net income** (if negative, enter -0-)			25,540.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	56,979.	65,494.	65,494.
	3 Accounts receivable. ►			
	Less· allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) Statement 5	777,263.	630,985.	650,457.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) Statement 6		149,815.	152,562.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► See Statement 7)	2,113.	579.	579.
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	836,355.	846,873.	869,092.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	836,355.	846,873.	
	30 Total net assets or fund balances (see instructions)	836,355.	846,873.	
	31 Total liabilities and net assets/fund balances (see instructions)	836,355.	846,873.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	836,355.
2	Enter amount from Part I, line 27a	2	29,890.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	866,245.
5	Decreases not included in line 2 (itemize) ► See Statement 8	5	19,372.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	846,873.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	See Statement 9			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	61,505.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-29,655.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	14,590.	855,484.	0.017055
2009	43,043.	782,872.	0.054981
2008	325,202.	1,126,406.	0.288708
2007	302,009.	1,621,590.	0.186243
2006	186,139.	1,718,132.	0.108338

2	**Total** of line 1, column (d)	2	0.655325
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.131065
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	854,936.
5	Multiply line 4 by line 3	5	112,052.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	767.
7	Add lines 5 and 6	7	112,819.
8	Enter qualifying distributions from Part XII, line 4	8	45,269.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instrs) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		1	1,534.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,534.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,534.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a 2,113.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	2,113.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►		9	0.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►		10	579.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ►	579. Refunded ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► TX			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses* See Statement 10	10	X	

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Donald Collis Telephone no ► 512-415-2344

Located at ► 2700 Island Ledge Cove Austin Tx ZIP + 4 ► 78746

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Donald Collis 2700 Island Ledge Cove Austin, TX 78746	President 1.00	0.	0.	0.
Margaret Collis 2700 Island Ledge Cove Austin, TX 78746	Sec/Treas 1.00	0.	0.	0.
David Collis 2700 Island Ledge Cove Austin, TX 78746	Director 1.00	0.	0.	0.
Christopher Collis 2700 Island Ledge Cove Austin, TX 78746	Director 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.			
a Average monthly fair market value of securities		1a	842,433.
b Average of monthly cash balances		1b	25,522.
c Fair market value of all other assets (see instructions)		1c	
d Total (add lines 1a, b, and c)		1d	867,955.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	867,955.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	13,019.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	854,936.
6 **Minimum investment return.** Enter 5% of line 5		6	42,747.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	42,747.
2a Tax on investment income for 2011 from Part VI, line 5	2a 1,534.		
b Income tax for 2011. (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	1,534.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	41,213.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	41,213.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	41,213.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	45,269.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	45,269.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	45,269.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				41,213.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years: 20 ___, 20 ___, 20 ___		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007 216,577.				
c From 2008 269,278.				
d From 2009 4,411.				
e From 2010				
f **Total** of lines 3a through e	490,266.			
4 Qualifying distributions for 2011 from Part XII, line 4 ► $ 45,269.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				41,213.
e Remaining amount distributed out of corpus	4,056.			
5 Excess distributions carryover applied to 2011 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	494,322.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	494,322.			
10 Analysis of line 9				
a Excess from 2007 216,577.				
b Excess from 2008 269,278.				
c Excess from 2009 4,411.				
d Excess from 2010				
e Excess from 2011 4,056.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Statement 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include·

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Statement 12				
Total			► 3a	44,859.
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14		
4 Dividends and interest from securities			14	25,540.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	61,505.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				87,045.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 87,045.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 11/15/12 ▶ President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Steve Shook	Steve Shook	11-15-12	X	P01056097

Firm's name ▶ Steve Shook, CPA — Firm's EIN ▶

Firm's address ▶ 6836 Austin Center Blvd. Ste 245, Austin, TX 78731 — Phone no (512) 481-1936

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax preparation fees	$ 910.	$ 500.		$ 410.
Total	$ 910.	$ 500.	$ 0.	$ 410.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Agency fees	$ 9,662.	$ 9,662.		
Total	$ 9,662.	$ 9,662.	$ 0.	$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal excise tax	$ 1,534.			
Foreign tax expense	80.	$ 80.		
Total	$ 1,614.	$ 80.	$ 0.	$ 0.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Expenses from Schedule K-1	$ 110.	$ 110.		
Total	$ 110.	$ 110.	$ 0.	$ 0.

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
Salient Capital Managed - Public Sec	Cost	$ 630,985.	$ 650,457.
	Total	$ 630,985.	$ 650,457.

Statement 6
Form 990-PF, Part II, Line 13
Investments - Other

Other Investments	Valuation Method	Book Value	Fair Market Value
Invest - GreenHaven Continuous Com Indx	Cost	$ 149,815.	$ 152,562.
	Total	$ 149,815.	$ 152,562.

Statement 7
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Federal excise tax refund	$ 579.	$ 579.
Total	$ 579.	$ 579.

Statement 8
Form 990-PF, Part III, Line 5
Other Decreases

Adjustment to book basis	$ 19,372.
Total	$ 19,372.

Statement 9
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	ML, Various ST see stmt 13	Purchased	1/01/2011	6/30/2011
2	ML, Various LT see stmt 13	Purchased	6/30/2009	6/30/2011
3	ML, Various ST see stmt 13	Purchased	1/01/2011	6/30/2011
4	ML, Various LT see stmt 13	Purchased	6/30/2009	6/30/2011
5	Capital loss from pass-through entity	Purchased	1/01/2011	6/30/2011
6	Capital Gain Dividends			

Statement 9 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1	1153003.		1176900.	-23,897.				$ -23,897.
2	369,863.		336,460.	33,403.				33,403.
3	135,630.		132,169.	3,461.				3,461.
4	277,628.		220,040.	57,588.				57,588.
5	0.		9,219.	-9,219.				-9,219.
6								169.
							Total	$ 61,505.

Statement 10
Form 990-PF, Part VII-A, Line 10
Substantial Contributors During the Tax Year

Name of Substantial Contributor	Address of Substantial Contributor
Donald and Margaret Collis	2700 Island Ledge Cove Austin, Tx 78746

Statement 11
Form 990-PF, Part XV, Line 1a
Foundation Managers - 2% or More Contributors

Donald Collis
Margaret Collis

Statement 12
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Helping Hand Home for Children 3804 Avenue B Austin, Tx 78751	None	509(a)(1)	Shelter & support for abused children	$ 10,000.
First Tee of Greater Austin 5501 Ed Bluestein Blvd. Austin, Tx 78723	None	509(a)(1)	Development programs for youth through sporting activities	1,125.

Statement 12 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Hospice Austin 4107 Spicewood Springs Rd., Ste. 10 Austin, Tx 78759	None	509(a)(1)	End-of-life support for families and patients	$ 675.
Salvation Army 501 E. 8th Street Austin, Tx 78701	None	509(a)(1)	Clothing and goods distribution programs for those in need	100.
Mobile Loaves & Fishes 903 S. Capital Texas Hwy Austin, Tx 78746	None	509(a)(1)	Provide mobile outreach for homeless	250.
God of Hope Ministry 4220 Monterey Oaks Blvd. Austin, Tx 78749	None	509(a)(1)	Faith based programs for incarcerated persons	31,609.
Seton Fund 1201 West 38th St. Austin, Tx 78705	None	509(a)(2)	Fund development for healthcare programs	1,000.
Christian Motorcylclists Assoc. P.O. Box 9 Hatfield, AR 71945	None	509(a)(1)	Ministry programs	100.
			Total	$ 44,859.

Fidelity INVESTMENTS

2011 Informational Tax Reporting Statement

COLLIS FAMILY FOUNDATION

Account No 678-066230 Customer Service 713-993-4675
Recipient ID No **-***2786 Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
ALPINE GLOBAL PREMIER PPTYS FD COM SHS O 02083A103	08/09/11	10/21/11 Short-Term	672 000 Sale	3,873 23	4,129 38	-256 15		
AMERICAN CAP AGY CORP COM 02503X105	08/10/11	10/21/11 Short-Term	429 000 Sale	11,912 39	12,441 43	-529 04		
ANNALY CAPITAL MANAGEMENT INC COM 035710409	05/20/10	10/21/11 Long-Term	625 000 Sale	9,953 79	9,649 68	304 11		
	07/29/10	10/21/11 Long-Term	331 000 Sale	5,271 53	5,777 34	-505 81		
	11/03/10	10/21/11 Short-Term	45 000 Sale	716 67	792 90	-76 23		
	07/06/11	10/21/11 Short-Term	58 000 Sale	923 71	1,065 17	-141 46		
Sub Totals				**16,865.70**	**17,285.09** z			
				Short-Term Losses		-217 69		
				Long-Term Gains		304 11		
				Long-Term Losses		-505 81		
AQR MULTI STRGY ALTERNATIVE CL I 00203H792	10/21/11	11/30/11 Short-Term	7,784 033 Sale	76,507 04	76,060 00	447 04		
ARTISAN INTL VALUE FUND INV CL 04314H881	various	10/21/11 Long-Term	1,497 004 Sale	38,158 63	36,600 15	1,558 48		
	various	10/21/11 Short-Term	363 015 Sale	9,253 25	8,875 32	377 93		
Sub Totals				**47,411.88**	**45,475.47** z			
				Short-Term Gains		377 93		
				Long-Term Gains		1,558 48		
ARTISAN MID CAP VALUE 04314H709	various	10/21/11 Short-Term	272 287 Sale	5,649 95	5,117 69	532 26		

Statement 13

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Fidelity INVESTMENTS

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Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
ARTISAN MID CAP VALUE 04314H709	01/11/10	10/21/11 Long-Term	636 506 Sale	13,207 50	11,963 27	1,244 23		
Sub Totals				**18,857.45**	**17,080.96 z**			
				Short-Term Gains		532 26		
				Long-Term Gains		1,244 23		
BARCLAYS BK PLC IPATH INDEX LKD SECS TO 06738C794	10/21/11	11/30/11 Short-Term	1,150 000 Sale	39,358 51	38,347 61	1,010 90		
COHEN & STEERS QUALITY INCOME RLTY FD IN 19247L106	10/03/11	10/21/11 Short-Term	530 000 Sale	4,358 40	3,978 09	380 31		
CUSHING MLP TOTAL RETURN FD COM SHS 231631102	06/18/10	10/21/11 Long-Term	560 000 Sale	5,266 47	3,918 43	1,348 04		
	09/29/10	10/21/11 Long-Term	383 000 Sale	3,601 89	2,847 14	754 75		
	07/06/11	10/21/11 Short-Term	780 000 Sale	7,335 44	7,819 50	-484 06		
Sub Totals				**16,203.80**	**14,585.07 z**			
				Short-Term Losses		-484 06		
				Long-Term Gains		2,102 79		
DELAWARE EMERGING MARKETS CLASS A 245914841	various	10/21/11 Short-Term	1,166 364 Sale	15,186 06	18,365 00	-3,178 94		
DFA EMERGING MARKETSSMALL CAP 233203611	10/21/11	11/30/11 Short-Term	2,020 140 Sale	38,130 24	38,231 05	-100 81		
DIMENSIONAL ADVISOR EMERGING MKTS VALUE 233203587	10/21/11	11/30/11 Short-Term	1,399 414 Sale	38,543 86	38,200 00	343 86		
DIMENSIONAL ADVISOR US LARGE CAPITAL VAL 233203827	10/21/11	11/30/11 Short-Term	4,020 032 Sale	76,893 21	76,270 00	623 21		

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	**Federal Income Tax Withheld (4)**
DIMENSIONAL INV'T GRP INC INT'L VALUE 25434D203	10/21/11	11/30/11 Short-Term	7,322 797 Sale	112,028 79	114,685 00	-2,656 21		
DOUBLELINE TOTAL RT BOND FD CL N 258620202	10/29/10	10/10/11 Short-Term	310 903 Sale	3,479 00	3,444 56	34 44		
	various	10/21/11 Short-Term	2,215 245 Sale	24,722 13	24,543 17	178 96		
Sub Totals				**28,201.13**	**27,987.73** z			
				Short-Term Gains		213 40		
ETFS PLATINUM TR SH BEN INT 26922V101	03/09/11	10/21/11 Short-Term	59 000 Sale	8,822 57	10,512 52	-1,689 95		
FRANKLIN CONVERTIBLESECURITIES A 353612104	07/26/11	10/21/11 Short-Term	736 829 Sale	10,286 13	11,664 00	-1,377 87		
GUGGENHEIM MULTI ASSET INCOME ETF 18383M506	10/22/10	10/21/11 Short-Term	835 000 Sale	16,728 57	16,326 87	401 70		
	02/04/11	10/21/11 Short-Term	92 000 Sale	1,843 15	1,887 75	-44 60		
Sub Totals				**18,571.72**	**18,214.62** z			
				Short-Term Gains		401 70		
				Short-Term Losses		-44 60		
HOMESTEAD SMALL COMPANY STOCK FD 437769508	various	10/21/11 Short-Term	1,098 370 Sale	23,682 58	24,891 10	-1,208 52		
HOTHKIS AND WILEY HIGH YIELD FUND CL I 44134R735	10/21/11	11/30/11 Short-Term	4,770 863 Sale	56,524 73	57,260 35	-735 62		
INVESCO CONVERTIBLE SECURITIES FUND CL A 00888W403	07/26/11	10/21/11 Short-Term	562 934 Sale	10,543 75	11,664 00	-1,120 25		

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Recipient ID No **-***2786 Payer's Fed ID Number 04-3523567

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
INVESCO VAN KAMPEN DYNAMIC CR OPPORTUNIT 46132R104	08/09/11	10/21/11 Short-Term	1,548 000 Sale	16,625 46	16,877 38	-251 92		
ISHARES BARCLAYS 20+YEAR TREAS BOND FD 464287432	10/21/11	11/30/11 Short-Term	660 000 Sale	78,146 53	74,858 37	3,288 16		
ISHARES S&P NATL AMTFREE MUNI BND FND 464288414	10/21/11	11/30/11 Short-Term	350 000 Sale	37,172 64	37,032 66	139 98		
ISHARES SILVER TR ISHARES 46428Q109	12/10/10	10/21/11 Short-Term	274 000 Sale	8,364 24	7,646 02	718 22		
ISHARES TR RUSSELL 1000 INDEX FD 464287622	01/11/10	10/21/11 Long-Term	761 000 Sale	52,099 72	48,093 68	4,006 04		
	08/04/10	10/21/11 Long-Term	207 000 Sale	14,171 67	12,837 79	1,333 88		
	02/04/11	10/21/11 Short-Term	237 000 Sale	16,225 54	17,276 52	-1,050 98		
Sub Totals				**82,496.93**	**78,207.99** z			
				Short-Term Losses		-1,050 98		
				Long-Term Gains		5,339 92		
ISHARES TRUST S&P GLOBAL MATERIALS INDEX 464288695	10/21/11	11/30/11 Short-Term	315 000 Sale	18,864 89	18,719 31	145 58		
IVA WORLDWIDE FUND CL A 45070A107	various	10/21/11 Short-Term	113 206 Sale	1,878 09	1,721 45	156 64		
	07/27/10	10/21/11 Long-Term	1,155 991 Sale	19,177 89	17,578 41	1,599 48		
Sub Totals				**21,055.98**	**19,299.86** z			
				Short-Term Gains		156 64		
				Long-Term Gains		1,599 48		

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Fidelity INVESTMENTS

2011 Informational Tax Reporting Statement

COLLIS FAMILY FOUNDATION

Account No **678-066230** Customer Service 713-993-4675
Recipient ID No ****-***2785** Payer's Fed ID Number 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
IVY MID CAP GROWTH CL A 466000577	05/03/11	10/21/11 Short-Term	1,098 818 Sale	18,119 51	20,394 06	-2,274 55		
JANUS INTL EQUITY CLASS I 47103A534	various	10/21/11 Short-Term	1,378 241 Sale	14,137 77	14,580 41	-442 64		
	01/11/10	10/21/11 Long-Term	3,247 758 Sale	33,314 98	34,358 03	-1,043 05		
Sub Totals				**47,452.75**	**48,938.44** z			
				Short-Term Losses		-442 64		
				Long-Term Losses		-1,043 05		
JPMORGAN CHASE & CO ALERIAN MLP INDEX ET 46625H365	08/12/09	10/10/11 Long-Term	40 000 Sale	1,368 97	981 18	387 79		
	08/12/09	11/30/11 Long-Term	538 000 Sale	19,850 32	13,196 94	6,653 38		
	10/09/09	11/30/11 Long-Term	13 000 Sale	479 65	332 54	147 11		
	01/11/10	11/30/11 Long-Term	452 000 Sale	16,677 22	13,315 92	3,361 30		
	10/21/11	11/30/11 Short-Term	565 000 Sale	20,846 52	20,714 68	131 84		
Sub Totals				**59,222.68**	**48,541.26** z			
				Short-Term Gains		131 84		
				Long-Term Gains		10,549 58		
JPMORGAN HIGH YIELD BOND FUND CLASS A 4812C0779	07/22/11	10/21/11 Short-Term	2,263 471 Sale	17,451 36	18,651 00	-1,199 64		
LOOMIS SAYLES INVST GRADE BOND CL A 543487144	various	10/21/11 Long-Term	1,069 191 Sale	13,044 14	10,953 83	2,090 31		

Statement 13

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Fidelity INVESTMENTS

2011 Informational Tax Reporting Statement

COLLIS FAMILY FOUNDATION

Account No 678-066230 Customer Service 713-993-4675
Recipient ID No **-***2785 Payer's Fed ID Number 04-3523567

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
LOOMIS SAYLES INVST GRADE BOND CL A 543487144	various	10/21/11 Short-Term	50 572 Sale	616 97	518 14	98 83		
Sub Totals				**13,661.11**	**11,471.97 z**			
				Short-Term Gains		98 83		
				Long-Term Gains		2,090 31		
LORD ABBETT FLOATING RATE FD CL A 543916191	07/06/11	10/21/11 Short-Term	2,076 907 Sale	18,650 62	19,336 00	-685 38		
LORD ABBETT SHORT DURATION INCOME CL A 543916100	10/08/09	10/10/11 Long-Term	362 806 Sale	1,629 00	1,656 86	-27 86		
	various	10/21/11 Long-Term	2,680 147 Sale	12,114 24	12,239 72	-125 48		
	various	10/21/11 Short-Term	77 374 Sale	349 75	353 35	-3 60		
Sub Totals				**14,092.99**	**14,249.93 z**			
				Short-Term Losses		-3 60		
				Long-Term Losses		-153 34		
MAINSTAY HIGH YIELD CORP CLASS A 56062F772	various	10/21/11 Long-Term	2,333 051 Sale	13,461 72	12,914 75	546 97		
	various	10/21/11 Short-Term	71 203 Sale	410 83	394 13	16 70		
Sub Totals				**13,872.55**	**13,308.88 z**			
				Short-Term Gains		16 70		
				Long-Term Gains		546 97		
MARKET VECTORS ETF TR GOLD MINERS ETF FD 57060U100	10/21/11	11/30/11 Short-Term	330 000 Sale	19,498 45	17,893 57	1,604 88		

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
MUTUALHEDGE FRONTIERLEGENDS FUND CL I 66537X555	10/21/11	11/30/11 Short-Term	7,250 000 Sale	74,955 00	76,207 50	-1,252 50		
NUVEEN PREFERRED SECURITIES FUND CL A 670700103	07/26/11	10/21/11 Short-Term	1,390 167 Sale	21,408 57	23,327 00	-1,918 43		
OPPENHEIMER DEV MARKETS FD CLASS A 683974109	02/07/11	10/21/11 Short-Term	450 730 Sale	13,841 92	15,680 90	-1,838 98		
OPPENHEIMER SENIOR FLOATING RATE CL A 68381K101	various	10/21/11 Short-Term	2,015 825 Sale	16,106 44	16,881 49	-775 05		
PRINCIPAL PREFERRED SECURITIES FD CL A 74254T252	07/26/11	10/21/11 Short-Term	2,293 707 Sale	21,790 22	23,327 00	-1,536 78		
PROSHARES SHORT S&P 500 PROSHARES 74347R503	12/06/10	10/21/11 Short-Term	212 000 Sale	8,864 99	9,585 49	-720 50		
	12/16/10	10/21/11 Short-Term	21 000 Sale	878 14	935 12	-56 98		
Sub Totals				**9,743.13**	**10,520.61** z			
			Short-Term Losses			-777 48		
PRUDENTIAL JENNISON SMALL COMPANY CL A 74441N101	various	10/21/11 Short-Term	370 047 Sale	7,071 60	6,454 36	617 24		
	01/11/10	10/21/11 Long-Term	878 705 Sale	16,792 05	15,326 38	1,465 67		
Sub Totals				**23,863.65**	**21,780.74** z			
			Short-Term Gains			617 24		
			Long-Term Gains			1,465 67		
PRUDENTIAL SHORT TERM CORP BOND CL A 74441R102	10/08/09	10/10/11 Long-Term	158 156 Sale	1,784 00	1,806 84	-22 84		

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
PRUDENTIAL SHORT TERM CORP BOND CL A 74441R102	various	10/21/11 Long-Term	1,078 730 Sale	12,254 38	12,323 85	-69 47		
	various	10/21/11 Short-Term	20 047 Sale	227 73	229 01	-1 28		
Sub Totals				**14,266.11**	**14,359.70** z			
			Short-Term Losses			-1 28		
			Long-Term Losses			-92 31		
SENTINEL SHORT MATURITY GOV'T CL A 817270853	04/20/10	10/10/11 Long-Term	221 537 Sale	2,047 00	2,053 86	-6 86		
	various	10/21/11 Long-Term	1,337 146 Sale	12,328 48	12,396 63	-68 15		
	10/28/10	10/21/11 Short-Term	4 507 Sale	41 56	41 81	-0 25		
Sub Totals				**14,417.04**	**14,492.30** z			
			Short-Term Losses			-0 25		
			Long-Term Losses			-75 01		
SPDR GOLD TR GOLD SHS 78463V107	03/11/10	10/10/11 Long-Term	19 000 Sale	3,071 80	2,046 73	1,025 07		
	03/11/10	10/21/11 Long-Term	117 000 Sale	18,706 75	12,603 54	6,103 21		
Sub Totals				**21,778.55**	**14,650.27** z			
			Long-Term Gains			7,128 28		
SPDR SER TR S&P DIVID ETF 78464A763	10/22/10	10/21/11 Short-Term	321 000 Sale	16,890 76	16,504 08	386 68		
	02/04/11	10/21/11 Short-Term	47 000 Sale	2,473 10	2,479 30	-6 20		
Sub Totals				**19,363.86**	**18,983.38** z			
			Short-Term Gains			386 68		
			Short-Term Losses			-6 20		

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
TEMPLETON GLOBAL BOND CLASS A 880208103	various	10/21/11 Long-Term	1,456 467 Sale	18,817 54	18,901 69	-84 15		
	various	10/21/11 Short-Term	44 113 Sale	569 95	572 49	-2 54		
Sub Totals				**19,387.49**	**19,474.18** z			
				Short-Term Losses		-2 54		
				Long-Term Losses		-84 15		
VAN ECK GLOBAL HARD ASSETS CLASS A 921075750	various	10/21/11 Long-Term	247 725 Sale	11,212 04	9,785 24	1,426 80		
	various	10/21/11 Short-Term	144 104 Sale	6,522 14	5,692 17	829 97		
Sub Totals				**17,734.18**	**15,477.41** z			
				Short-Term Gains		829 97		
				Long-Term Gains		1,426 80		
VANGUARD INTL EQUITYINDEX FDS MSCI EMERG 922042858	02/04/11	10/21/11 Short-Term	495 000 Sale	19,545 29	23,300 05	-3,754 76		

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2011 Proceeds from Broker and Barter Exchange Transactions

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) §	Cost or Other Basis (3) æ	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
WISDOMTREE TR EMERGING MARKETS LOCAL DEB 97717X867	10/21/11	11/30/11 Short-Term	775 000 Sale	38,147 51	38,643 79	-496 28		
WISDOMTREE TR EMERGING MKTS SMALLCAP DIV 97717W281	10/27/10	10/21/11 Short-Term	452 000 Sale	19,005 07	23,499 21	-4,494 14		
TOTALS				**1,522,865.89**	**1,513,360.70** z			**0.00**
SHORT-TERM TOTALS				**1,153,002.62**	**1,176,900.28** z			
			Gains			12,465 33		
			Losses			-36,363 09		
			Disallowed Losses				0 00	
LONG-TERM TOTALS				**369,863.37**	**336,460.42** z			
			Gains			35,356 62		
			Losses			-1,953 67		
			Disallowed Losses				0 00	

§ Gross proceeds less commissions
æ Cost or other basis provided may include adjustments including but not limited to dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium
z Totals of Cost or Other Basis include all tax lots with known basis Totals do not include tax lots with unknown basis

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

For securities bought in a foreign currency, we have provided estimated cost basis in United States Dollars (USD) by converting the foreign currency cost into USD based on exchange rates on the trade date of the purchase For securities sold (or redeemed) in a foreign currency, we have provided the USD proceeds by converting the foreign currency proceeds into USD based on exchange rates on the trade date of the sale If you acquired the foreign currency cost, or sold the foreign currency proceeds in exchange for USD in a separate currency transaction linked to the security transaction, then the trade date exchange rate we used is the spot rate at the time of the linked currency transaction Otherwise, the trade date exchange rate we used is the end-of-day exchange rate For tax reporting purposes, you may be required to determine your actual USD cost basis, proceeds, and gain/loss based on the exchange rates on the settlement dates of the applicable transactions Consult your tax advisor for more information

We are required to convert a purchase or sale in foreign currency into its United States dollar (USD) equivalent at the time of the transaction and to use that USD equivalent amount as the cost basis or gross proceeds as applicable For securities directly bought or sold in a foreign currency in a single transaction in your account, we have converted the foreign currency into USD on the trade date of the purchase or sale using the end-of-day exchange rate If you acquired the foreign currency cost, or sold the foreign currency proceeds in exchange for USD in a separate currency transaction linked to the security transaction, the conversion was made using the spot rate at the time of the linked currency transaction In some cases, you may be required to use different currency conversion conventions than those applied here

Certain tax rules may require you to treat as ordinary income/loss all or a portion of your realized gain/loss from any debt instruments that are denominated in a currency other than U S dollars (USD) or that make a payment calculated by reference to the value of a currency other than USD Consult your tax advisor for more information

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