Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

**2011**

**Open to Public Inspection**

**A For the 2011 calendar year, or tax year beginning and ending**

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending

C Name of organization: YMBL SUNSHINE FOUNDATION

Doing Business As

Number and street (or P O box if mail is not delivered to street address): 515 CONGRESS AVENUE — Room/suite: 2020

City or town, state or country, and ZIP + 4: AUSTIN, TX 78701

F Name and address of principal officer: DREW BIXBY
4713 FAIRVIEW DR., AUSTIN, TX 78731

D Employer identification number: 74-2679040

E Telephone number: 512-320-8000

G Gross receipts $ 489,024.

H(a) Is this a group return for affiliates? ☐ Yes ☒ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list. (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( )◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ NA

K Form of organization: ☐ Corporation ☐ Trust ☐ Association ☒ Other ▶ FOUND — L Year of formation 1998 — M State of legal domicile TX

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities: THE YMBL SUNSHINE FOUNDATION IS FORMED SOLELY TO SUPPORT THE AUSTIN YMBL SUNSHINE CAMPS AND

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 10 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 10 |
| 5 | Total number of individuals employed in calendar year 2011 (Part V, line 2a) | 5 | 0 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 0 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0. |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | 0. |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 492. | 8,543. |
| | 9 | Program service revenue (Part VIII, line 2g) | 0. | 0. |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d) | 37,185. | -5,996. |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 47,679. | 2,184. |
| | 12 | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 85,356. | 4,731. |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1-3) | 17,750. | 19,500. |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0. | 0. |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | 0. | 0. |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0. | 0. |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶ 0. | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) | 20,254. | 20,302. |
| | 18 | Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) | 38,004. | 39,802. |
| | 19 | Revenue less expenses. Subtract line 18 from line 12 | 47,352. | -35,071. |

| | | | Beginning of Current Year | End of Year |
|---|---|---|---|---|
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 842,156. | 807,085. |
| | 21 | Total liabilities (Part X, line 26) | 0. | 0. |
| | 22 | Net assets or fund balances. Subtract line 21 from line 20 | 842,156. | 807,085. |

RECEIVED OCT 2 6 2012 OGDEN, UT

SCANNED NOV 1 4 2012

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

▶ Signature of officer — Date 10/15/12

▶ DREW BIXBY, TREASURER
Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| ROBERT A. SCHRIBER | | 8-23-12 | | P00228487 |

Firm's name ▶ POWELL, EBERT & SMOLIK, P.C. — Firm's EIN ▶ 74-2619259

Firm's address ▶ 515 CONGRESS AVENUE, TWENTIETH FLOOR
AUSTIN 78701 — Phone no (512) 320-8000

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No



SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

90-17

19

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . [X]

1 Briefly describe the organization's mission:

THE YMBL SUNSHINE FOUNDATION EXISTS TO PROVIDE FINANCIAL SUPPORT AND SECURITY TO THE AUSTIN SUNSINE CAMPS (EIN: 74-6023176), A 501(C)(3) ORGANIZATION. ADDITIONALLY, THE FOUNDATION GRANTS POST SECONDARY SCHOOL SCHOLARSHIPS TO DESERVING STUDENTS WHO HAVE BEEN SERVED BY THE

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code ______ ) (Expenses $ 19,500. including grants of $ 19,500. ) (Revenue $ 19,500. )

THE GOLFSMITH - SUNSHINE CAMP SCHOLARSHIP FUNDS PROVIDES SCHOLARSHIPS FOR UNDERPRIVILEGED KIDS WHO HAVE ATTENDED AND WORKED AT THE SUNSHINE CAMP AND SEEK ASSISTANCE IN PURSUING HIGHER EDUCATION.

4b (Code ______ ) (Expenses $ 12,000. including grants of $ 12,000. ) (Revenue $ -25,496. )

THE GENERAL ENDOWMENT FUND EXISTS TO SUPPORT THE WORK OF THE AUSTIN SUNSHINE CAMPS.

4c (Code ______ ) (Expenses $ ______ including grants of $ ______ ) (Revenue $ ______ )

4d Other program services (Describe in Schedule O.)

(Expenses $ ______ including grants of $ ______ ) (Revenue $ ______ )

4e **Total program service expenses** ▶ 31,500.

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | X | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors*? | 2 | X | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | X |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | X |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | X |
| 9 | Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | X |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | X | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI* | 11a | | X |
| b | Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | X | |
| c | Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | X |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | X |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | | X |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | | X |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII* | 12a | | X |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional* | 12b | | X |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | X |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | | X |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV* | 15 | | X |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | X |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I* | 17 | | X |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | X |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | X |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | X |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | | X |
| 22 | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | X | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | | X |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25* | 24a | | X |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | X |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | 26 | | X |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | 27 | | X |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | X |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | | X |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | | X |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | | X |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | X |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I* | 33 | | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1* | 34 | X | |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | X |
| b | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | 35b | | X |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | X |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | X |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | X | |

## Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V ☐

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable | 1a | 0 | | | |
| b | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 0 | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | | | 2b | | |
| | **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions) | | | | | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year? | | | 3a | | X |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* | | | 3b | | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | | X |
| b | If "Yes," enter the name of the foreign country: ▶ | | | | | |
| | See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | X |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | X |
| c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | | | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible? | | | 6a | | X |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | | X |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | X |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | X |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | X |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | 7h | | |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | |
| 10 | **Section 501(c)(7) organizations.** Enter: | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | **Section 501(c)(12) organizations.** Enter: | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | 11b | | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |
| 13 | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? | | | 13a | | |
| | **Note.** See the instructions for additional information the organization must report on Schedule O. | | | | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | 13b | | | | |
| c | Enter the amount of reserves on hand | 13c | | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? | | | 14a | | X |
| b | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* | | | 14b | | |



132005
01-23-12

**Part VI Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI [X]

## Section A. Governing Body and Management

| | | | Yes | No |
|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year — 1a: 10. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent — 1b: 10 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | X | |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | X |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | X |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | X |
| 6 | Did the organization have members or stockholders? | 6 | | X |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | | X |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | X |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | |
| a | The governing body? | 8a | X | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | X | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* | 9 | | X |

## Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | X |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | X | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | X | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | | X |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* | 12c | | X |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | X | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | | X |
| b | Other officers or key employees of the organization | 15b | | X |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | X |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

## Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶ NONE

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
[ ] Own website [ ] Another's website [X] Upon request

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ▶
DREW BIXBY - 252-220-0021
4713 FAIRVIEW DR., AUSTIN, TX 78731

## Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII ☐

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

[X] Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| (1) KURT L. LEMKE<br>PRESIDENT | 2.00 | X | | | | | | 0. | 0. | 0. |
| (2) DREW BIXBY<br>TREASURER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (3) TIM TAYLOR<br>CHAIRMAN | 2.00 | X | | | | | | 0. | 0. | 0. |
| (4) ROY POOL<br>SECRETARY | 1.00 | X | | | | | | 0. | 0. | 0. |
| (5) TIM BROWN | 1.00 | X | | | | | | 0. | 0. | 0. |
| (6) FRANKLIN HALL | 1.00 | X | | | | | | 0. | 0. | 0. |
| (7) JIM KAIGNIN | 1.00 | X | | | | | | 0. | 0. | 0. |
| (8) TIM MOONEY | 1.00 | X | | | | | | 0. | 0. | 0. |
| (9) LEO WELDER | 1.00 | X | | | | | | 0. | 0. | 0. |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

| (A) Name and title | (B) Average hours per week (describe hours for related organizations in Schedule O) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| **1b Sub-total** ▶ | | | | | | | | 0. | 0. | 0. |
| **c Total from continuation sheets to Part VII, Section A** ▶ | | | | | | | | 0. | 0. | 0. |
| **d Total (add lines 1b and 1c)** ▶ | | | | | | | | 0. | 0. | 0. |

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 0

| | | Yes | No |
|---|---|---|---|
| 3 Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* | 3 | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* | 4 | | X |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* | 5 | | X |

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address NONE | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 0

**Part VIII Statement of Revenue**

| | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|---|
| **Contributions, Gifts, Grants and Other Similar Amounts** | 1 a Federated campaigns | 1a | | | | |
| | b Membership dues | 1b | | | | |
| | c Fundraising events | 1c | | | | |
| | d Related organizations | 1d 5,000. | | | | |
| | e Government grants (contributions) | 1e | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f 3,543. | | | | |
| | g Noncash contributions included in lines 1a-1f $ | | | | | |
| | h **Total.** Add lines 1a-1f ▶ | | 8,543. | | | |
| **Program Service Revenue** | | Business Code | | | | |
| | 2 a | | | | | |
| | b | | | | | |
| | c | | | | | |
| | d | | | | | |
| | e | | | | | |
| | f All other program service revenue | | | | | |
| | g **Total.** Add lines 2a-2f ▶ | | | | | |
| **Other Revenue** | 3 Investment income (including dividends, interest, and other similar amounts) ▶ | | 21,293. | 21,293. | | |
| | 4 Income from investment of tax-exempt bond proceeds ▶ | | | | | |
| | 5 Royalties ▶ | | | | | |
| | | (i) Real / (ii) Personal | | | | |
| | 6 a Gross rents | | | | | |
| | b Less: rental expenses | | | | | |
| | c Rental income or (loss) | | | | | |
| | d Net rental income or (loss) ▶ | | | | | |
| | 7 a Gross amount from sales of assets other than inventory | (i) Securities 457,004. / (ii) Other | | | | |
| | b Less: cost or other basis and sales expenses | 484,293. | | | | |
| | c Gain or (loss) | -27,289. | | | | |
| | d Net gain or (loss) ▶ | | -27,289. | -27,289. | | |
| | 8 a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18 | a | | | | |
| | b Less: direct expenses | b | | | | |
| | c Net income or (loss) from fundraising events ▶ | | | | | |
| | 9 a Gross income from gaming activities. See Part IV, line 19 | a | | | | |
| | b Less: direct expenses | b | | | | |
| | c Net income or (loss) from gaming activities ▶ | | | | | |
| | 10 a Gross sales of inventory, less returns and allowances | a | | | | |
| | b Less: cost of goods sold | b | | | | |
| | c Net income or (loss) from sales of inventory ▶ | | | | | |
| | Miscellaneous Revenue | Business Code | | | | |
| | 11 a UNREALIZED GAINS | 900099 | 2,184. | 2,184. | | |
| | b | | | | | |
| | c | | | | | |
| | d All other revenue | | | | | |
| | e **Total.** Add lines 11a-11d ▶ | | 2,184. | | | |
| | 12 **Total revenue.** See instructions ▶ | | 4,731. | -3,812. | 0. | 0. |

## Part IX Statement of Functional Expenses

*Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).*

Check if Schedule O contains a response to any question in this Part IX ☐

| | ***Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.*** | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|---|
| 1 | Grants and other assistance to governments and organizations in the United States See Part IV, line 21 | | | | |
| 2 | Grants and other assistance to individuals in the United States. See Part IV, line 22 | 19,500. | 19,500. | | |
| 3 | Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 | | | | |
| 4 | Benefits paid to or for members | | | | |
| 5 | Compensation of current officers, directors, trustees, and key employees | | | | |
| 6 | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 | Other salaries and wages | | | | |
| 8 | Pension plan accruals and contributions (include section 401(k) and section 403(b) employer contributions) | | | | |
| 9 | Other employee benefits | | | | |
| 10 | Payroll taxes | | | | |
| 11 | Fees for services (non-employees): | | | | |
| a | Management | | | | |
| b | Legal | | | | |
| c | Accounting | | | | |
| d | Lobbying | | | | |
| e | Professional fundraising services See Part IV, line 17 | | | | |
| f | Investment management fees | | | | |
| g | Other | | | | |
| 12 | Advertising and promotion | | | | |
| 13 | Office expenses | | | | |
| 14 | Information technology | | | | |
| 15 | Royalties | | | | |
| 16 | Occupancy | | | | |
| 17 | Travel | | | | |
| 18 | Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 | Conferences, conventions, and meetings | | | | |
| 20 | Interest | | | | |
| 21 | Payments to affiliates | 12,000. | 12,000. | | |
| 22 | Depreciation, depletion, and amortization | | | | |
| 23 | Insurance | | | | |
| 24 | Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a | ADVISORY FEES | 7,518. | | 7,518. | |
| b | FOREIGN TAXES WITHHELD | 623. | | 623. | |
| c | MISCELLANEOUS FEES | 161. | | 161. | |
| d | | | | | |
| e | All other expenses | | | | |
| 25 | **Total functional expenses.** Add lines 1 through 24e | 39,802. | 31,500. | 8,302. | 0. |
| 26 | **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

## Part X Balance Sheet

| | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | | 1 | |
| | 2 | Savings and temporary cash investments | 127,053. | 2 | 133,009. |
| | 3 | Pledges and grants receivable, net | | 3 | |
| | 4 | Accounts receivable, net | | 4 | |
| | 5 | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | 5 | |
| | 6 | Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) | | 6 | |
| | 7 | Notes and loans receivable, net | | 7 | |
| | 8 | Inventories for sale or use | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 9 | |
| | 10a | Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a | | | |
| | b | Less: accumulated depreciation — 10b | | 10c | |
| | 11 | Investments - publicly traded securities | 715,103. | 11 | 674,076. |
| | 12 | Investments - other securities. See Part IV, line 11 | 0. | 12 | |
| | 13 | Investments - program-related. See Part IV, line 11 | | 13 | |
| | 14 | Intangible assets | | 14 | |
| | 15 | Other assets. See Part IV, line 11 | | 15 | |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | 842,156. | 16 | 807,085. |
| Liabilities | 17 | Accounts payable and accrued expenses | | 17 | |
| | 18 | Grants payable | | 18 | |
| | 19 | Deferred revenue | | 19 | |
| | 20 | Tax-exempt bond liabilities | | 20 | |
| | 21 | Escrow or custodial account liability. Complete Part IV of Schedule D | | 21 | |
| | 22 | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 24 | |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | | 25 | |
| | 26 | **Total liabilities.** Add lines 17 through 25 | 0. | 26 | 0. |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117, check here ▶ ☐ and complete lines 27 through 29, and lines 33 and 34.** | | | |
| | 27 | Unrestricted net assets | | 27 | |
| | 28 | Temporarily restricted net assets | | 28 | |
| | 29 | Permanently restricted net assets | | 29 | |
| | | **Organizations that do not follow SFAS 117, check here ▶ ☒ and complete lines 30 through 34.** | | | |
| | 30 | Capital stock or trust principal, or current funds | 842,156. | 30 | 807,085. |
| | 31 | Paid-in or capital surplus, or land, building, or equipment fund | 0. | 31 | 0. |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | 0. | 32 | 0. |
| | 33 | Total net assets or fund balances | 842,156. | 33 | 807,085. |
| | 34 | Total liabilities and net assets/fund balances | 842,156. | 34 | 807,085. |

## Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 4,731. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 39,802. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | -35,071. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 842,156. |
| 5 | Other changes in net assets or fund balances (explain in Schedule O) | 5 | 0. |
| 6 | Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) | 6 | 807,085. |

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990: ☒ Cash ☐ Accrual ☐ Other | | | |
| | If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | X |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | | X |
| c | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. | | | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both: ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | X |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits. | 3b | | |

**SCHEDULE A**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**
**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047
**2011**
**Open to Public Inspection**

**Name of the organization**
YMBL SUNSHINE FOUNDATION

**Employer identification number**
74-2679040

**Part I** **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: ________
5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I  b ☐ Type II  c ☐ Type III - Functionally integrated  d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | 11g(i) | | |
| (ii) A family member of a person described in (i) above? | 11g(ii) | | |
| (iii) A 35% controlled entity of a person described in (i) or (ii) above? | 11g(iii) | | |

h Provide the following information about the supported organization(s).

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-9 above or IRC section (see instructions)) | (iv) Is the organization in col (i) listed in your governing document? Yes | No | (v) Did you notify the organization in col. (i) of your support? Yes | No | (vi) Is the organization in col (i) organized in the U.S ? Yes | No | (vii) Amount of support |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Total | | | | | | | | | |

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**



132021
01-24-12

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | 8,000. | 1,000. | 6,000. | 492. | 8,543. | 24,035. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 8,000. | 1,000. | 6,000. | 492. | 8,543. | 24,035. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 24,035. |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 8,000. | 1,000. | 6,000. | 492. | 8,543. | 24,035. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 31,842. | 15,150. | 29,050. | 27,415. | 21,293. | 124,750. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) | | | | | | |
| 11 **Total support.** Add lines 7 through 10 | | | | | | 148,785. |

12 Gross receipts from related activities, etc. (see instructions) | 12 |

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ ☐

## Section C. Computation of Public Support Percentage

14 Public support percentage for 2011 (line 6, column (f) divided by line 11, column (f)) | 14 | 16.15 %

15 Public support percentage from 2010 Schedule A, Part II, line 14 | 15 | 10.36 %

16a **33 1/3% support test - 2011.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support test - 2010.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

17a **10% -facts-and-circumstances test - 2011.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ X

b **10% -facts-and-circumstances test - 2010.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support** (Subtract line 7c from line 6.) | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) | | | | | | |
| 13 **Total support** (Add lines 9, 10c, 11, and 12.) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 Public support percentage for 2011 (line 8, column (f) divided by line 13, column (f)) | 15 | % |
| 16 Public support percentage from 2010 Schedule A, Part III, line 15 | 16 | % |

## Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 Investment income percentage for **2011** (line 10c, column (f) divided by line 13, column (f)) | 17 | % |
| 18 Investment income percentage from **2010** Schedule A, Part III, line 17 | 18 | % |

19a **33 1/3% support tests - 2011.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2010.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

PART II, SECTION C, LINE 17A, FACTS AND CIRCUMSTANCES TEST:

THE YMBL SUNSHINE FOUNDATION EXISTS TO GATHER PUBLIC SUPPORT FOR THE LONG TERM BENEFIT OF THE AUSTIN SUNSHINE CAMPS AND THE ECONOMICALLY DISADVANTAGED CHILDREN WHO ATTEND AND HAVE ATTENDED THE CAMPS. SUPPORT IS GATHERED FROM MEMBERS OF YMBL, ALUMNI OF YMBL, AUSTIN AND TEXAS BUSINESS ORGANIZATIONS, AND THE GENERAL PUBLIC TO ENSURE THE LONG TERM SUCCESS OF THE AUSTIN SUNSHINE CAMPS AND TO PROVIDE SCHOLARSHIPS TO CAMP PARTICIPANTS.

THE FOUNDATION MAINTAINS A CONTINUOUS AND BONA FIDE PLAN FOR THE SOLICITATION OF FUNDS, PRINCIPALLY THROUGH CONTACTS WITH THE MEMBERSHIP OF THE YOUNG MENS BUSINESS LEAGUE AND ALUMNI OF THAT GROUP. SUCH CONTACTS ALLOW THE FOUNDATION TO REACH THE GENERAL AUSTIN BUSINESS COMMUNITY AS WELL.

THE FOUNDATION IS GOVERNED BY A LARGE BOARD OF DIRECTORS THAT REPRESENT CURRENT AND FORMER YMBL MEMBERS WHO IN TURN CONTINUE TO ACT AS AMBASSADORS TO THE AUSTIN COMMUNITY. THE FOUNDATION SUPPORTS THE AUSTIN SUNSHINE CAMPS WHICH PROVIDES A SUMMER CAMP EXPERIENCE TO CHILDREN WHO WOULD OTHERWISE NOT HAVE THE OPPORTUNITY TO ATTEND CAMP. YEAR ROUND PROGRAMS ARE ALSO RUN BY THE CAMP TO SUPPORT DISADVANTAGED YOUTH.

**SCHEDULE D** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
**2011**
**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| YMBL SUNSHINE FOUNDATION | 74-2679040 |

**Part I** **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

**Part II** **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
- ☐ Preservation of land for public use (e.g., recreation or education)
- ☐ Protection of natural habitat
- ☐ Preservation of open space
- ☐ Preservation of an historically important land area
- ☐ Preservation of a certified historic structure

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

| | | | Held at the End of the Tax Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ ______

4 Number of states where property subject to conservation easement is located ▶ ______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ ______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III** **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

## Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table:

| | | | Amount |
|---|---|---|---|
| c | Beginning balance | 1c | |
| d | Additions during the year | 1d | |
| e | Distributions during the year | 1e | |
| f | Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

## Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|---|---|---|---|---|---|---|
| 1a | Beginning of year balance | 842,156. | 794,804. | 721,413. | | |
| b | Contributions | 8,543. | 492. | 6,000. | | |
| c | Net investment earnings, gains, and losses | -3,812. | 84,863. | 110,192. | | |
| d | Grants or scholarships | 19,500. | 17,750. | 23,629. | | |
| e | Other expenditures for facilities and programs | 12,000. | 12,000. | 12,000. | | |
| f | Administrative expenses | 8,302. | 8,253. | 7,172. | | |
| g | End of year balance | 807,085. | 842,156. | 794,804. | | |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ 100.00 %

b Permanent endowment ▶ %

c Temporarily restricted endowment ▶ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

| | | | Yes | No |
|---|---|---|---|---|
| (i) | unrelated organizations | 3a(i) | | X |
| (ii) | related organizations | 3a(ii) | | X |
| b | If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIV the intended uses of the organization's endowment funds.

## Part VI Land, Buildings, and Equipment.

See Form 990, Part X, line 10.

| | Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|---|
| 1a | Land | | | | |
| b | Buildings | | | | |
| c | Leasehold improvements | | | | |
| d | Equipment | | | | |
| e | Other | | | | |

**Total.** Add lines 1a through 1e. *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶ 0.

**Part VII Investments - Other Securities.** See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other | | |
| (A) | | |
| (B) | | |
| (C) | | |
| (D) | | |
| (E) | | |
| (F) | | |
| (G) | | |
| (H) | | |
| (I) | | |
| Total. (Col (b) must equal Form 990, Part X, col (B) line 12 ) ▶ | | |

**Part VIII Investments - Program Related.** See Form 990, Part X, line 13.

| (a) Description of investment type | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|---|---|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| (10) | | |
| Total. (Col (b) must equal Form 990, Part X, col (B) line 13 ) ▶ | | |

**Part IX Other Assets.** See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| (10) | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 15.)* ▶ | |

**Part X Other Liabilities.** See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|---|
| (1) Federal income taxes | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| (10) | |
| (11) | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 25 )* ▶ | |

2. FIN 48 (ASC 740) Footnote In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740)

## Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

| | | | |
|---|---|---|---|
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | 1 | |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | 2 | |
| 3 | Excess or (deficit) for the year. Subtract line 2 from line 1 | 3 | |
| 4 | Net unrealized gains (losses) on investments | 4 | |
| 5 | Donated services and use of facilities | 5 | |
| 6 | Investment expenses | 6 | |
| 7 | Prior period adjustments | 7 | |
| 8 | Other (Describe in Part XIV.) | 8 | |
| 9 | Total adjustments (net). Add lines 4 through 8 | 9 | |
| 10 | Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9 | 10 | |

## Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | | |
| a | Net unrealized gains on investments | 2a | | | |
| b | Donated services and use of facilities | 2b | | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIV.) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | |
| 3 | Subtract line **2e** from line **1** | | | 3 | |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1**: | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV.) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | |
| 5 | Total revenue. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12.)* | | | 5 | |

## Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | | | |
| a | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIV.) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | |
| 3 | Subtract line **2e** from line **1** | | | 3 | |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1**: | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV.) | 4b | | | |
| c | Add lines **4a** and **4b** | | | 4c | |
| 5 | Total expenses. Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)* | | | 5 | |

## Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

**SCHEDULE I**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

**Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990.**

OMB No 1545-0047
**2011**
**Open to Public Inspection**

Name of the organization: YMBL SUNSHINE FOUNDATION
**Employer identification number:** 74-2679040

**Part I** **General Information on Grants and Assistance**

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II** **Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Part II can be duplicated if additional space is needed ▶ ☐

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ ____

3 Enter total number of other organizations listed in the line 1 table ▶ ____

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|
| SCHOLARSHIP GRANTS | 9 | 19,500. | 0. | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part IV** **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

**SCHEDULE O** (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047
**2011**
**Open to Public Inspection**

| Name of the organization | Employer identification number |
|---|---|
| YMBL SUNSHINE FOUNDATION | 74-2679040 |

FORM 990, PART I, ITEM K, OTHER ORGANIZATION TYPE:

FOUNDATION

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

SCHOLARSHIPS FOR UNDERPRIVILEGED KIDS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AUSTIN SUNSHINE CAMPS WHEN THE STUDENTS WERE YOUNGER.

FORM 990, PART VI, SECTION A, LINE 2: THERE MAY BE BUSINESS RELATIONSHIPS AMONG THE VARIOUS VOLUNTEER DIRECTORS, OF WHICH THE FOUNDATION HAS NO KNOWLEDGE OR ANY REASON TO HAVE KNOWLEDGE.

FORM 990, PART VI, SECTION B, LINE 11: THE FOUNDATION WILL SUBMIT FORM 990 TO THE BOARD OF DIRECTORS PRIOR TO THE FILING OF THE FORM 990. WHILE NEITHER THE APPROVAL OF THE FORM 990 OR A REVIEW OF THE FORM 990 IS REQUIRED UNDER FEDERAL LAW, THE ORGANIZATION'S FORM 990 SHALL BE SUBMITTED TO EACH MEMBER OF THE BOARD OF DIRECTORS VIA EMAIL WITHIN 30 DAYS OF IT BEING FILED WITH THE IRS.

FORM 990, PART VI, SECTION C, LINE 19: THE FOUNDATION WILL FULLY COMPLY WITH LOCAL, STATE, AND FEDERAL PUBLIC DISCLOSURE REQUIREMENTS WITH RESPECT TO IRS FORMS 990, 990-T, 1023 AND 5227. THE FOUNDATION WILL FULFILL ALL REQUESTS FOR THE FORMS MADE IN WRITING OR IN PERSON, POST THEM ON THE FOUNDATION'S WEB SITE, OR SOME COMBINATION OF THESE AVENUES IN ORDER TO COMPLY WITH THE FEDERAL PUBLIC DISCLOSURE REQUIREMENTS.

Name of the organization: YMBL SUNSHINE FOUNDATION

Employer identification number: 74-2679040

THE FOUNDATION WILL FULLY COMPLY WITH LOCAL, STATE, AND FEDERAL REQUIREMENTS RELATING TO PROVIDING A COPY OF THE FOUNDATION'S CURRENT FINANCIAL STATEMENTS UPON WRITTEN REQUEST.

SCHEDULE R
(Form 990)
Department of the Treasury
Internal Revenue Service

# Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
2011
Open to Public Inspection

Name of the organization: YMBL SUNSHINE FOUNDATION

Employer identification number: 74-2679040

**Part I** **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

| (a) Name, address, and EIN of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part II** **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|
| YOUNG MEN'S BUSINESS LEAGUE - 74-2519557<br>PO BOX 684980<br>AUSTIN, TX 78768-4980 | CIVIC INVOLVEMENT | TEXAS | 501(C)(6) | | N/A | | X |
| AUSTIN SUNSHINE CAMPS - 74-6023176<br>PO BOX 684980<br>AUSTIN, TX 78768-4980 | COMMUNITY SERVICE | TEXAS | 501(C)(3) | | N/A | | X |
| | | | | | | | |
| | | | | | | | |

**Part III** **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | Yes | No | | Yes | No | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

**Part IV** **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership |
|---|---|---|---|---|---|---|---|
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

**Part V Transactions With Related Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

| **Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule. | | Yes | No |
|---|---|---|---|
| 1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | | |
| a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity | 1a | | X |
| b Gift, grant, or capital contribution to related organization(s) | 1b | X | |
| c Gift, grant, or capital contribution from related organization(s) | 1c | X | |
| d Loans or loan guarantees to or for related organization(s) | 1d | | X |
| e Loans or loan guarantees by related organization(s) | 1e | | X |
| f Sale of assets to related organization(s) | 1f | | X |
| g Purchase of assets from related organization(s) | 1g | | X |
| h Exchange of assets with related organization(s) | 1h | | X |
| i Lease of facilities, equipment, or other assets to related organization(s) | 1i | | X |
| j Lease of facilities, equipment, or other assets from related organization(s) | 1j | | X |
| k Performance of services or membership or fundraising solicitations for related organization(s) | 1k | | X |
| l Performance of services or membership or fundraising solicitations by related organization(s) | 1l | | X |
| m Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | 1m | | X |
| n Sharing of paid employees with related organization(s) | 1n | | X |
| o Reimbursement paid to related organization(s) for expenses | 1o | | X |
| p Reimbursement paid by related organization(s) for expenses | 1p | | X |
| q Other transfer of cash or property to related organization(s) | 1q | | X |
| r Other transfer of cash or property from related organization(s) | 1r | | X |

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

| (a) Name of other organization | (b) Transaction type (a-r) | (c) Amount involved | (d) Method of determining amount involved |
|---|---|---|---|
| (1) AUSTIN SUNSHINE CAMPS | B | 12,000. | CHECK |
| (2) YOUNG MENS BUSINESS LEAGUE | C | 5,000. | CHECK |
| (3) | | | |
| (4) | | | |
| (5) | | | |
| (6) | | | |

**Part VI Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under section 512-514) | (e) Are all partners sec 501(c)(3) orgs? Yes | (e) No | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) No | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? Yes | (j) No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |



132164
01-23-12

**Part VII** **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

UBS

UBS Financial Services Inc
98 San Jacinto Boulevard
Suite 600, San Jacinto Center
Austin TX 78701-4082

CNP7000173137 1211 X24 B8 0

# 2011 Year End Summary

**Account name:** YMBL SUNSHINE FOUNDATION
NFJ PIMCO BALANCED CAT 2
**Account number:** B8 00234 NG

**Your Financial Advisor:**
THE NEFF GROUP
Phone 512-474-2421/800-548-6166

YMBL SUNSHINE FOUNDATION
NFJ PIMCO BALANCED CAT 2
3933 SPICEWOOD SPRINGS ROAD
BUILDING D-600
AUSTIN TX 78759-8756

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | 240 46 |
| Long term | 5,964 04 |
| **Total** | **$6,204.50** |

## Realized gains and losses

Estimated 2011 gains and losses for transactions with trade dates through 12/30/11 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

### Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| AMERIPRISE FINANCIAL INC | FIFO | 6 000 | Aug 30, 10 | Feb 09, 11 | 367 18 | 260 04 | | | 107 14 |

*continued next page*

## Realized gains and losses (continued)

### Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| BAXTER INTL INC | FIFO | 31 000 | May 19, 10 | Mar 03, 11 | 1,669 90 | 1,328 79 | | | 341 11 |
| | FIFO | 24 000 | May 19, 10 | Mar 10, 11 | 1,255 31 | 1,028 74 | | | 226 57 |
| UNITED STATES TREAS BILL DUE 08/18/11 | FIFO | 4,000 000 | May 17, 11 | Jul 11, 11 | 3,999 92 | 3,999 69 | | | 0 23 |
| UNITED STATES TREAS BILL DUE 09/01/11 | FIFO | 11,000 000 | May 31, 11 | Sep 01, 11 | 11,000 00 | 10,998 45 | | | 1 55 |
| UNITED STATES TREAS BILL DUE 09/08/11 | FIFO | 11,000 000 | Mar 10, 11 | May 31, 11 | 10,998 49 | 10,992 53 | | | 5 96 |
| UNITED STATES TREAS BILL DUE 09/15/11 | FIFO | 1,000 000 | Mar 29, 11 | Sep 15, 11 | 1,000 00 | 999 25 | | | 0 75 |
| US TSY INFL PROT NOTE 02 375 % DUE 04/15/11 | FIFO | 1,000 000 | Apr 29, 10 | Apr 15, 11 | 1,112 06 | 1,147 08 | | -35 02 | |
| US TSY NOTE 02 375 % DUE 10/31/14 DTD 10/31/09 FC 04/30/10 | FIFO | 2,000 000 | Apr 15, 10 | Feb 10, 11 | 2,047 50 | 1,997 97 | | | 49 53 |
| | FIFO | 3,000 000 | Aug 20, 10 | Feb 10, 11 | 3,071 25 | 3,147 54 | | -76 29 | |
| US TSY NOTE 02 375 % DUE 07/31/17 DTD 07/31/10 FC 01/31/11 | FIFO | 6,000 000 | Aug 13, 10 | Feb 04, 11 | 5,822 81 | 6,117 19 | | -294 38 | |
| | FIFO | 3,000 000 | Aug 17, 10 | Feb 04, 11 | 2,911 41 | 3,063 52 | | -152 11 | |
| US TSY NOTE 02 500 % DUE 06/30/17 DTD 06/30/10 FC 12/31/10 | FIFO | 2,000 000 | Aug 19, 10 | Feb 09, 11 | 1,952 03 | 2,067 97 | | -115 94 | |
| VERIZON COMMUNICATIONS INC | FIFO | 5 000 | Aug 20, 10 | May 04, 11 | 188 55 | 146 51 | | | 42 04 |
| WELLS FARGO & CO NEW | FIFO | 15 000 | Aug 26, 10 | Feb 09, 11 | 491 78 | 352 46 | | | 139 32 |
| **Total** | | | | | **$47,888.19** | **$47,647.73** | | **-$673.74** | **$914.20** |
| **Net short-term capital gains and losses** | | | | | | | | | **$240.46** |

### Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ALLIANZ FIXED INCOME SHARES SERIES M | FIFO | 180 000 | Feb 23, 05 | Sep 07, 11 | 1,909 80 | 2,044 80 | | -135 00 | |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ALTRIA GROUP INC | FIFO | 15 000 | Mar 11, 09 | Aug 05, 11 | 385 32 | 247 51 | | | 137 81 |
| | FIFO | 32 000 | Mar 13, 09 | Aug 05, 11 | 822 03 | 535 35 | | | 286 68 |
| | FIFO | 3 000 | Mar 13, 09 | Aug 10, 11 | 73 63 | 50 19 | | | 23 44 |
| | FIFO | 45 000 | Jun 04, 09 | Aug 10, 11 | 1,104 37 | 765 82 | | | 338 55 |
| ANNALY CAPITAL MANAGEMENT INC REIT | FIFO | 15 000 | Jul 09, 08 | Sep 27, 11 | 259 16 | 238 44 | | | 20 72 |
| | FIFO | 27 000 | Jul 15, 08 | Sep 27, 11 | 466 49 | 393 46 | | | 73 03 |
| | FIFO | 33 000 | Jul 15, 08 | Sep 28, 11 | 556 30 | 480 90 | | | 75 40 |
| | FIFO | 45 000 | Jul 17, 08 | Sep 28, 11 | 758 59 | 652 30 | | | 106 29 |
| | FIFO | 20 000 | Mar 18, 09 | Sep 28, 11 | 337 16 | 287 74 | | | 49 42 |
| | FIFO | 3 000 | Mar 23, 09 | Sep 28, 11 | 50 57 | 42 92 | | | 7 65 |
| CENTURYLINK INC | FIFO | 9 000 | Feb 27, 09 | Aug 29, 11 | 318 48 | 244 38 | | | 74 10 |
| | FIFO | 1 000 | Mar 04, 09 | Aug 29, 11 | 35 39 | 25 24 | | | 10 15 |
| | FIFO | 13 000 | Mar 04, 09 | Aug 30, 11 | 460 51 | 328 05 | | | 132 46 |
| | FIFO | 13 000 | Mar 04, 09 | Sep 28, 11 | 440 66 | 328 06 | | | 112 60 |
| | FIFO | 22 000 | Mar 12, 09 | Sep 28, 11 | 745 73 | 504 30 | | | 241 43 |
| CHESAPEAKE ENERGY CORP OKLA | FIFO | 20 000 | Nov 10, 09 | Feb 10, 11 | 611 13 | 506 39 | | | 104 74 |
| | FIFO | 4 000 | Nov 10, 09 | Mar 18, 11 | 133 80 | 101 28 | | | 32 52 |
| CONOCOPHILLIPS | FIFO | 5 000 | Feb 23, 06 | Feb 09, 11 | 352 40 | 306 61 | | | 45 79 |
| | FIFO | 7 000 | Feb 27, 06 | Feb 09, 11 | 493 37 | 431 72 | | | 61 65 |
| | FIFO | 5 000 | Feb 27, 06 | Mar 18, 11 | 382 85 | 308 37 | | | 74 48 |
| DIAMOND OFFSHORE DRILLING INC | FIFO | 9 000 | Jan 12, 09 | Jan 28, 11 | 640 51 | 529 31 | | | 111 20 |
| | FIFO | 14 000 | Jul 28, 09 | Jan 28, 11 | 996 36 | 1,293 55 | | -297 19 | |
| | FIFO | 5 000 | Jul 28, 09 | Nov 23, 11 | 294 57 | 461 98 | | -167 41 | |
| | FIFO | 1 000 | Jul 28, 09 | Nov 25, 11 | 58 31 | 92 40 | | -34 09 | |
| | FIFO | 1 000 | May 17, 10 | Nov 25, 11 | 58 30 | 70 78 | | -12 48 | |
| | FIFO | 17 000 | May 17, 10 | Nov 28, 11 | 1,012 67 | 1,203 22 | | -190 55 | |
| | FIFO | 3 000 | May 17, 10 | Nov 30, 11 | 180 13 | 212 33 | | -32 20 | |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 5 000 | Jul 20, 10 | Nov 30, 11 | 300 21 | 320 90 | | -20 69 | |
| FNMA PL 889579 06 0000 DUE 05/01/38 | FIFO | 4,000 000 | Oct 14, 08 | Feb 10, 11 | 1,698 06 | 1,575 82 | | | 122 24 |
| | FIFO | 1,000 000 | Oct 20, 08 | Feb 10, 11 | 424 52 | 398 39 | | | 26 13 |
| | FIFO | 4,000 000 | Oct 20, 08 | Sep 08, 11 | 1,431 98 | 1,313 75 | | | 118 23 |
| FNMA PL 889697 06 0000 DUE 07/01/38 | FIFO | 9,000 000 | Oct 21, 08 | Sep 08, 11 | 3,332 50 | 3,077 01 | | | 255 49 |
| FNMA PL 992033 06 0000 DUE 10/01/38 | FIFO | 7,000 000 | Dec 11, 08 | Sep 08, 11 | 974 25 | 907 04 | | | 67 21 |
| FREEPORT-MCMORAN COPPER & GOLD INC | FIFO | 14 000 | Jan 11, 10 | Jun 30, 11 | 739 06 | 625 31 | | | 113 75 |
| HUNTINGTON INGALLS INDS INC | FIFO | 1 000 | Oct 23, 09 | May 10, 11 | 40 17 | 28 78 | | | 11 39 |
| | Adjustment | 0 666 | Oct 28, 09 | Mar 31, 11 | 26 56 | 19 45 | | | 7 11 |
| | FIFO | 5 000 | Oct 28, 09 | May 10, 11 | 200 87 | 147 09 | | | 53 78 |
| INTEL CORP | FIFO | 45 000 | Nov 11, 09 | Oct 25, 11 | 1,112 16 | 892 10 | | | 220 06 |
| INTL BUSINESS MACH | FIFO | 1 000 | Apr 07, 09 | Oct 25, 11 | 181 85 | 99 44 | | | 82 41 |
| KRAFT FOODS INC CL A | FIFO | 11 000 | Apr 23, 07 | Nov 07, 11 | 387 22 | 364 81 | | | 22 41 |
| | FIFO | 25 000 | May 02, 07 | Nov 07, 11 | 880 05 | 837 95 | | | 42 10 |
| | FIFO | 30 000 | May 10, 07 | Nov 07, 11 | 1,056 05 | 979 94 | | | 76 11 |
| | FIFO | 5 000 | Jun 04, 09 | Nov 07, 11 | 176 01 | 134 70 | | | 41 31 |
| LUBRIZOL CORP NEW **MERGER EFF 09/2011 ** | FIFO | 5 000 | Jan 26, 10 | Mar 15, 11 | 669 62 | 408 07 | | | 261 55 |
| | FIFO | 15 000 | Jan 27, 10 | Mar 15, 11 | 2,008 87 | 1,218 33 | | | 790 54 |
| MARATHON OIL CORP | FIFO | 18 000 | Apr 27, 05 | Feb 10, 11 | 830 82 | 426 42 | | | 404 40 |
| MARATHON PETROLEUM CO | FIFO | 3 500 | Apr 27, 05 | Jul 13, 11 | 143 19 | 65 31 | | | 77 88 |
| | FIFO | 22 500 | Nov 18, 08 | Jul 13, 11 | 920 52 | 451 67 | | | 468 85 |
| NEW YORK CMNTY BANCORP | FIFO | 20 000 | Jan 27, 09 | Jun 24, 11 | 299 41 | 239 93 | | | 59 48 |
| | FIFO | 55 000 | Jan 29, 09 | Jun 24, 11 | 823 36 | 718 94 | | | 104 42 |
| | FIFO | 20 000 | Feb 03, 09 | Jun 28, 11 | 294 34 | 261 41 | | | 32 93 |
| | FIFO | 15 000 | Feb 04, 09 | Jun 28, 11 | 220 75 | 188 69 | | | 32 06 |
| | FIFO | 5 000 | Feb 06, 09 | Jun 28, 11 | 73 59 | 63 35 | | | 10 24 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 25 000 | Jun 04, 09 | Jun 28, 11 | 367 92 | 266 49 | | | 101 43 |
| PFIZER INC | FIFO | 22 000 | Feb 23, 05 | Apr 26, 11 | 444 27 | 582 34 | | -138 07 | |
| | FIFO | 28 000 | Feb 23, 05 | May 03, 11 | 568 27 | 741 16 | | -172 89 | |
| | FIFO | 50 000 | Apr 24, 06 | May 03, 11 | 1,014 77 | 1,238 47 | | -223 70 | |
| | FIFO | 35 000 | Apr 27, 06 | May 03, 11 | 710 34 | 880 32 | | -169 98 | |
| | FIFO | 15 000 | May 03, 06 | May 03, 11 | 304 43 | 381 55 | | -77 12 | |
| REYNOLDS AMERN INC (HOLDING CO) | FIFO | 15 000 | Feb 23, 05 | May 27, 11 | 593 46 | 304 61 | | | 288 85 |
| TOTAL S A FRANCE SPON ADR | FIFO | 5 000 | Dec 21, 07 | Feb 09, 11 | 294 33 | 401 84 | | -107 51 | |
| | FIFO | 7 000 | Jan 03, 08 | Feb 09, 11 | 412 06 | 595 31 | | -183 25 | |
| US TSY INFL PROT NOTE 02 375 % DUE 04/15/11 | FIFO | 5,000 000 | Mar 25, 09 | Apr 15, 11 | 5,560 30 | 5,706 47 | | -146 17 | |
| | FIFO | 1,000 000 | Jun 04, 09 | Apr 15, 11 | 1,112 06 | 1,151 42 | | -39 36 | |
| US TSY NOTE 04 000 % DUE 02/15/15 DTD 02/15/05 FC 08/15/05 | FIFO | 3,000 000 | Sep 28, 09 | Feb 01, 11 | 3,293 91 | 3,227 81 | | | 66 10 |
| | FIFO | 1,000 000 | Jan 07, 10 | Feb 01, 11 | 1,097 97 | 1,067 54 | | | 30 43 |
| VERIZON COMMUNICATIONS INC | FIFO | 50 000 | Feb 23, 05 | May 04, 11 | 1,885 47 | 1,584 32 | | | 301 15 |
| | FIFO | 15 000 | Jun 04, 09 | May 04, 11 | 565 64 | 415 50 | | | 150 14 |
| VF CORP | FIFO | 7 000 | Feb 23, 05 | Apr 26, 11 | 734 09 | 417 03 | | | 317 06 |
| | FIFO | 22 000 | Feb 23, 05 | Jul 18, 11 | 2,490 75 | 1,310 66 | | | 1,180 09 |
| | FIFO | 1 000 | Feb 23, 05 | Jul 19, 11 | 113 84 | 59 58 | | | 54 26 |
| **Total** | | | | | **$54,748.46** | **$48,784.42** | | **-$2,147.66** | **$8,111.70** |
| **Net long-term capital gains or losses** | | | | | | | | | **$5,964.04** |
| **Net capital gains/losses:** | | | | | | | | | **$6,204.50** |

# 2011 Year End Summary

UBS

UBS Financial Services Inc
98 San Jacinto Boulevard
Suite 600, San Jacinto Center
Austin TX 78701-4082

ANP7000173193 1211 X24 B8 0

**Account name:** YMBL SUNSHINE FOUNDATION
RITTENHOUSE FINANCIAL SVCS INC
**Account number:** B8 00236 NG

**Your Financial Advisor:**
THE NEFF GROUP
Phone 512-474-2421/800-548-6166

YMBL SUNSHINE FOUNDATION
RITTENHOUSE FINANCIAL SVCS INC
3933 SPICEWOOD SPRINGS ROAD
BUILDING D-600
AUSTIN TX 78759-8756

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | -1,093 23 |
| Long term | 9,514 46 |
| **Total** | **$8,421.23** |

## Realized gains and losses

Estimated 2011 gains and losses for transactions with trade dates through 12/30/11 have been incorporated into this statement Please note that *gain or loss recognized on the sale or redemption of certain Structured* Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We *may not adjust gains and losses for all capital changes* Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

### Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| AMERIPRISE FINANCIAL INC | FIFO | 5 000 | Apr 01, 10 | Jan 19, 11 | 299 66 | 229 88 | | | 69 78 |

*continued next page*

UBS

**Account name:** YMBL SUNSHINE FOUNDATION
**Account number:** B8 00236 NG

# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ANADARKO PETROLEUM CORP | FIFO | 4 000 | May 20, 10 | Jan 19, 11 | 305 51 | 217 45 | | | 88 06 |
| BROADCOM CORP CL A | FIFO | 6 000 | Jun 24, 10 | Jan 19, 11 | 278 29 | 210 43 | | | 67 86 |
| CORNING INC | FIFO | 132 000 | Jul 09, 10 | Jun 21, 11 | 2,337 84 | 2,306 41 | | | 31 43 |
| FEDEX CORP | FIFO | 5 000 | Aug 10, 10 | Jan 19, 11 | 470 34 | 430 67 | | | 39 67 |
| | FIFO | 25 000 | Aug 10, 10 | May 03, 11 | 2,348 56 | 2,153 36 | | | 195 20 |
| HESS CORP | FIFO | 35 000 | Jan 18, 11 | Aug 12, 11 | 1,990 62 | 2,884 21 | | -893 59 | |
| JACOBS ENGINEERING GROUP INC | FIFO | 22 000 | Oct 08, 10 | Oct 03, 11 | 709 13 | 883 78 | | -174 65 | |
| LIFE TECHNOLOGIES CORP | FIFO | 35 000 | Jun 21, 11 | Oct 14, 11 | 1,322 17 | 1,849 06 | | -526 89 | |
| PETROLEO BRASILEIRO SA SPON ADR | FIFO | 9 000 | Jun 22, 10 | May 25, 11 | 305 54 | 342 24 | | -36 70 | |
| 3M CO | FIFO | 27 000 | Aug 10, 10 | Feb 07, 11 | 2,395 43 | 2,348 83 | | | 46 60 |
| **Total** | | | | | **$12,763.09** | **$13,856.32** | | **-$1,631.83** | **$538.60** |
| **Net short-term capital gains and losses** | | | | | | | | **-$1,093.23** | |

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ABB LTD SPON ADR | FIFO | 19 000 | May 14, 09 | Jan 19, 11 | 441 93 | 282 99 | | | 158 94 |
| AECOM TECHNOLOGY CORP | FIFO | 21 000 | May 14, 09 | Mar 17, 11 | 556 11 | 630 19 | | -74 08 | |
| | FIFO | 13 000 | Jun 04, 09 | Mar 17, 11 | 344 26 | 390 49 | | -46 23 | |
| | FIFO | 28 000 | Jul 09, 09 | Mar 17, 11 | 741 49 | 854 43 | | -112 94 | |
| | FIFO | 18 000 | Jul 09, 09 | Mar 18, 11 | 481 65 | 549 28 | | -67 63 | |
| AGCO CORP | FIFO | 7 000 | May 14, 09 | Jan 19, 11 | 363 22 | 173 66 | | | 189 56 |
| | FIFO | 18 000 | May 14, 09 | Dec 15, 11 | 737 04 | 446 56 | | | 290 48 |
| | FIFO | 9 000 | Jun 04, 09 | Dec 15, 11 | 368 52 | 272 72 | | | 95 80 |
| | FIFO | 20 000 | Sep 09, 10 | Dec 15, 11 | 818 94 | 752 34 | | | 66 60 |
| ALLERGAN INC | FIFO | 6 000 | Apr 09, 10 | Sep 07, 11 | 493 54 | 380 45 | | | 113 09 |
| AVON PRODUCTS INC | FIFO | 68 000 | Nov 13, 09 | Feb 07, 11 | 1,995 79 | 2,353 07 | | -357 28 | |
| CAMERON INTL CORP | FIFO | 10 000 | May 14, 09 | Sep 07, 11 | 499 50 | 280 68 | | | 218 82 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| CARNIVAL CORP NEW (PAIRED STOCK) | FIFO | 10 000 | Sep 25, 09 | Jan 19, 11 | 461 89 | 331 48 | | | 130 41 |
| CERNER CORP | FIFO | 11 000 | May 14, 09 | Sep 07, 11 | 722 91 | 303 44 | | | 419 47 |
| CISCO SYSTEMS INC | FIFO | 9 000 | Feb 08, 08 | Jul 08, 11 | 140 46 | 211 50 | | -71 04 | |
| | FIFO | 74 000 | Jan 14, 09 | Jul 08, 11 | 1,154 88 | 1,172 90 | | -18 02 | |
| | FIFO | 41 000 | Jun 04, 09 | Jul 08, 11 | 639 86 | 806 00 | | -166 14 | |
| COGNIZANT TECH SOLUTIONS CRP | FIFO | 19 000 | May 14, 09 | Aug 26, 11 | 1,150 66 | 472 32 | | | 678 34 |
| COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR | FIFO | 60 000 | May 14, 09 | Jan 18, 11 | 1,659 44 | 699 56 | | | 959 88 |
| | FIFO | 29 000 | May 14, 09 | Sep 07, 11 | 974 98 | 338 12 | | | 636 86 |
| DOLBY LABORATORIES INC CL A | FIFO | 17 000 | May 14, 09 | Mar 29, 11 | 836 01 | 648 49 | | | 187 52 |
| | FIFO | 16 000 | Jun 04, 09 | Mar 29, 11 | 786 83 | 590 38 | | | 196 45 |
| ECOLAB INC | FIFO | 45 000 | May 14, 09 | Feb 16, 11 | 2,229 56 | 1,669 95 | | | 559 61 |
| | FIFO | 14 000 | Jun 04, 09 | Feb 16, 11 | 693 64 | 525 97 | | | 167 67 |
| FLOWSERVE CORP | FIFO | 5 000 | May 14, 09 | Sep 07, 11 | 451 14 | 338 72 | | | 112 42 |
| FNMA NTS 04 500 % DUE 021511 DTD 012006 FC 02152006 | FIFO | 6,000 000 | Sep 12, 08 | Feb 15, 11 | 6,000 00 | 6,222 54 | | -222 54 | |
| FREDDIE MAC NTS 01 625 % DUE 042611 DTD 032709 FC 10262009 | FIFO | 5,000 000 | May 05, 09 | Apr 26, 11 | 5,000 00 | 5,033 86 | | -33 86 | |
| HALLIBURTON CO (HOLDING COMPANY) | FIFO | 26 000 | Mar 26, 09 | Dec 06, 11 | 916 26 | 459 86 | | | 456 40 |
| HEWLETT PACKARD CO | FIFO | 42 000 | May 14, 09 | Jan 03, 11 | 1,813 60 | 1,456 08 | | | 357 52 |
| | FIFO | 16 000 | Jun 04, 09 | Jan 03, 11 | 690 89 | 569 92 | | | 120 97 |
| INTEL CORP | FIFO | 9 000 | Feb 02, 07 | Apr 06, 11 | 178 33 | 189 98 | | -11 65 | |
| | FIFO | 87 000 | Jan 14, 09 | Apr 06, 11 | 1,723 87 | 1,152 75 | | | 571 12 |
| | FIFO | 26 000 | Jun 04, 09 | Apr 06, 11 | 515 18 | 415 90 | | | 99 28 |
| JACOBS ENGINEERING GROUP INC | FIFO | 40 000 | May 14, 09 | Oct 03, 11 | 1,289 32 | 1,604 96 | | -315 64 | |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| NIKE INC CL B | FIFO | 1 000 | Sep 29, 06 | Sep 07, 11 | 86 12 | 43 99 | | | 42 13 |
| | FIFO | 3 000 | Jan 14, 09 | Sep 07, 11 | 258 36 | 141 39 | | | 116 97 |
| PETROLEO BRASILEIRO SA SPON ADR | FIFO | 28 000 | May 20, 09 | May 25, 11 | 950 58 | 1,167 81 | | -217 23 | |
| | FIFO | 5 000 | Jun 04, 09 | May 25, 11 | 169 75 | 217 35 | | -47 60 | |
| PRICE T ROWE GROUP INC | FIFO | 29 000 | May 14, 09 | May 06, 11 | 1,817 03 | 1,078 43 | | | 738 60 |
| | FIFO | 15 000 | May 14, 09 | Aug 12, 11 | 767 40 | 557 81 | | | 209 59 |
| | FIFO | 22 000 | Jun 04, 09 | Aug 12, 11 | 1,125 53 | 929 24 | | | 196 29 |
| RED HAT INC | FIFO | 47 000 | May 14, 09 | Apr 06, 11 | 2,114 00 | 852 39 | | | 1,261 61 |
| | FIFO | 9 000 | Jun 04, 09 | Apr 06, 11 | 404 81 | 178 37 | | | 226 44 |
| SCHEIN HENRY INC | FIFO | 6 000 | May 14, 09 | Sep 07, 11 | 388 31 | 269 66 | | | 118 65 |
| TEXAS INSTRUMENTS | FIFO | 39 000 | May 14, 09 | Aug 26, 11 | 992 46 | 679 77 | | | 312 69 |
| THE DIRECTV GROUP CL A | FIFO | 11 000 | May 14, 09 | Jan 19, 11 | 462 36 | 273 78 | | | 188 58 |
| | FIFO | 34 000 | May 14, 09 | May 06, 11 | 1,654 30 | 846 24 | | | 808 06 |
| VISA INC CL A | FIFO | 15 000 | May 14, 09 | Jun 10, 11 | 1,123 77 | 969 55 | | | 154 22 |
| | FIFO | 6 000 | Jun 04, 09 | Jun 10, 11 | 449 51 | 422 97 | | | 26 54 |
| WAL MART STORES INC | FIFO | 29 000 | May 14, 09 | Feb 25, 11 | 1,498 81 | 1,419 21 | | | 79 60 |
| | FIFO | 8 000 | Jun 04, 09 | Feb 25, 11 | 413 47 | 404 31 | | | 9 16 |
| **Total** | | | | | **$52,548.27** | **$43,033.81** | | **-$1,761.88** | **$11,276.34** |
| **Net long-term capital gains or losses** | | | | | | | | | **$9,514.46** |
| **Net capital gains/losses:** | | | | | | | | | **$8,421.23** |

UBS

UBS Financial Services Inc
98 San Jacinto Boulevard
Suite 600, San Jacinto Center
Austin TX 78701-4082

CNP7000173163 1211 X24 B8 0

# 2011 Year End Summary

**Account name:** YMBL SUNSHINE FOUNDATION
BRANDES GLOBAL INVESTMENT
**Account number:** B8 00235 NG

**Your Financial Advisor:**
THE NEFF GROUP
Phone 512-474-2421/800-548-6166

YMBL SUNSHINE FOUNDATION
BRANDES GLOBAL INVESTMENT
3933 SPICEWOOD SPRINGS ROAD
BUILDING D-600
AUSTIN TX 78759-8756

## Summary of gains and losses

| | Amount ($) |
|---|---|
| Short term | -7,113 92 |
| Long term | -34,800 84 |
| **Total** | **$-41,914.76** |

## Realized gains and losses

Estimated 2011 gains and losses for transactions with trade dates through 12/30/11 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

*See Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

### Short-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ANTOFAGASTA PLC SPON ADR | FIFO | 61 000 | Apr 04, 11 | May 06, 11 | 2,383 70 | 2,838 33 | | -454 63 | |

*continued next page*

# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| ASTELLAS PHARMA INC ADR | FIFO | 70 000 | Jun 07, 10 | Apr 04, 11 | 2,624 94 | 2,260 06 | | | 364 88 |
| BANCO SANTANDER BRASIL ADS | FIFO | 175 000 | Apr 04, 11 | Jul 28, 11 | 1,641 08 | 2,108 23 | | -467 15 | |
| BANK OF AMER CORP | FIFO | 75 000 | Oct 29, 10 | Apr 04, 11 | 1,006 54 | 860 25 | | | 146 29 |
| BANK OF CHINA ADR | FIFO | 183 000 | Apr 04, 11 | Sep 27, 11 | 1,507 36 | 2,613 24 | | -1,105 88 | |
| BASF SE SPON ADR | FIFO | 19 000 | Apr 04, 11 | Nov 07, 11 | 1,309 47 | 1,674 66 | | -365 19 | |
| BB&T CORP | FIFO | 65 000 | Aug 11, 10 | Apr 04, 11 | 1,786 81 | 1,592 24 | | | 194 57 |
| BG GROUP PLC SPON ADR | FIFO | 7 000 | Apr 04, 11 | Jul 12, 11 | 768 99 | 877 38 | | -108 39 | |
| | FIFO | 2 000 | Apr 04, 11 | Dec 13, 11 | 209 91 | 250 68 | | -40 77 | |
| BHP BILLITON LTD SPON ADR | FIFO | 32 000 | May 11, 11 | Aug 31, 11 | 2,725 50 | 3,028 18 | | -302 68 | |
| BNP PARIBAS SA ADR | FIFO | 25 000 | Apr 04, 11 | Jul 21, 11 | 876 87 | 937 25 | | -60 38 | |
| | FIFO | 71 000 | Apr 04, 11 | Sep 20, 11 | 1,234 24 | 2,661 79 | | -1,427 55 | |
| BUNZL PLC NEW SPON ADR | FIFO | 5 000 | Apr 04, 11 | Dec 13, 11 | 329 44 | 305 75 | | | 23 69 |
| CANADIAN NAT RESOURCES LTD CAD | FIFO | 51 000 | Apr 04, 11 | Jun 16, 11 | 1,992 14 | 2,513 28 | | -521 14 | |
| CENOVUS ENERGY INC CAD | FIFO | 21 000 | Apr 04, 11 | Jul 12, 11 | 771 18 | 840 81 | | -69 63 | |
| | FIFO | 30 000 | Apr 04, 11 | Nov 01, 11 | 980 19 | 1,201 16 | | -220 97 | |
| | FIFO | 4 000 | Apr 04, 11 | Dec 13, 11 | 129 03 | 160 15 | | -31 12 | |
| CHESAPEAKE ENERGY CORP OKLA | FIFO | 20 000 | Apr 16, 10 | Feb 25, 11 | 693 68 | 477 67 | | | 216 01 |
| | FIFO | 80 000 | Apr 16, 10 | Apr 04, 11 | 2,689 61 | 1,910 66 | | | 778 95 |
| | FIFO | 25 000 | May 19, 10 | Apr 04, 11 | 840 50 | 556 77 | | | 283 73 |
| | FIFO | 25 000 | Jul 27, 10 | Apr 04, 11 | 840 50 | 529 26 | | | 311 24 |
| | FIFO | 25 000 | Nov 03, 10 | Apr 04, 11 | 840 50 | 549 22 | | | 291 28 |
| CNOOC LTD SPON ADR | FIFO | 4 000 | Apr 04, 11 | Apr 26, 11 | 1,019 11 | 1,072 68 | | -53 57 | |
| | FIFO | 14 000 | Apr 04, 11 | May 20, 11 | 3,249 85 | 3,754 38 | | -504 53 | |
| CORUS ENTERTAINMENT INC NON VTG CL B CAD | FIFO | 10 000 | Apr 04, 11 | Dec 13, 11 | 187 26 | 211.90 | | -24 64 | |
| CREDIT SUISSE GROUP SPON ADR | FIFO | 3 000 | Apr 04, 11 | Dec 13, 11 | 68 69 | 128 31 | | -59 62 | |
| DEUTSCHE BOERSE ADR | FIFO | 619 000 | Apr 04, 11 | Jul 12, 11 | 4,425 08 | 4,605 36 | | -180 28 | |

*continued next page*

## Realized gains and losses (continued)

### Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| DEUTSCHE TELEKOM AG DE SPON ADR | FIFO | 10 000 | Apr 13, 11 | Dec 13, 11 | 117 99 | 161 46 | | -43 47 | |
| ELDORADO GOLD CORP LTD NEW CAD | FIFO | 124 000 | Apr 04, 11 | May 17, 11 | 1,883 74 | 2,009 61 | | -125 87 | |
| ENI SPA IT SPON ADR | FIFO | 15 000 | May 06, 10 | Apr 04, 11 | 757 06 | 616 29 | | | 140 77 |
| | FIFO | 10 000 | May 07, 10 | Apr 04, 11 | 504 70 | 396 53 | | | 108 17 |
| EXPERIAN PLC SPON ADR | FIFO | 66 000 | Apr 04, 11 | May 26, 11 | 825 48 | 826 32 | | -0 84 | |
| | FIFO | 20 000 | Apr 04, 11 | Dec 13, 11 | 254 99 | 250 40 | | | 4 59 |
| FORTESCUE METALS GROUP LTD ADR | FIFO | 49 000 | Apr 04, 11 | Oct 24, 11 | 1,131 57 | 1,680 70 | | -549 13 | |
| | FIFO | 20 000 | May 12, 11 | Oct 24, 11 | 461 87 | 679 48 | | -217 61 | |
| FRANCE TELECOM SA SPON ADR | FIFO | 25 000 | Apr 12, 10 | Apr 04, 11 | 564 78 | 593 91 | | -29 13 | |
| | FIFO | 25 000 | Jun 16, 10 | Apr 04, 11 | 564 78 | 478 97 | | | 85 81 |
| | FIFO | 40 000 | Jun 28, 10 | Apr 04, 11 | 903 64 | 724 06 | | | 179 58 |
| HONDA MOTOR CO ADR JAPAN ADR | FIFO | 60 000 | Mar 24, 11 | Apr 04, 11 | 2,154 97 | 2,274 92 | | -119 95 | |
| INTESA SANPAOLO SPON ADR | FIFO | 35 000 | Dec 15, 10 | Apr 04, 11 | 632 09 | 602 57 | | | 29 52 |
| J SAINSBURY PLC SPON ADR | FIFO | 45 000 | Apr 14, 10 | Apr 04, 11 | 957 58 | 979 80 | | -22 22 | |
| JUPITER TELECOMMUNICATION CO LTD ADR | FIFO | 6 000 | Apr 04, 11 | Dec 13, 11 | 395 09 | 382 20 | | | 12 89 |
| KDDI CORP ADR | FIFO | 28 000 | Apr 04, 11 | Dec 13, 11 | 441 83 | 437 29 | | | 4 54 |
| KONINKLIJKE PHILIPS EL N V NEW 2000 SPON ADR | FIFO | 73 000 | Apr 04, 11 | May 20, 11 | 2,017 76 | 2,313 84 | | -296 08 | |
| LILLY ELI & CO | FIFO | 75 000 | Apr 23, 10 | Apr 04, 11 | 2,625 09 | 2,632 13 | | -7 04 | |
| LOWES COMPANIES INC | FIFO | 155 000 | Aug 03, 10 | Apr 04, 11 | 4,118 58 | 3,221 15 | | | 897 43 |
| MAKITA CORP ADR JAPAN ADR | FIFO | 62 000 | Apr 04, 11 | Nov 11, 11 | 2,180 40 | 2,868 12 | | -687 72 | |
| MASCO CORP | FIFO | 170 000 | Dec 22, 10 | Apr 04, 11 | 2,376 69 | 2,209 61 | | | 167 08 |

*continued next page*

# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| MICHELIN COMPAGNIE GENERALE ADR | FIFO | 112 000 | Apr 04, 11 | Oct 06, 11 | 1,327 24 | 1,960 00 | | -632 76 | |
| MICROSOFT CORP | FIFO | 40 000 | Dec 31, 10 | Apr 04, 11 | 1,022 01 | 1,107 97 | | -85 96 | |
| | FIFO | 25 000 | Mar 07, 11 | Apr 04, 11 | 638 76 | 653 72 | | -14 96 | |
| | FIFO | 5 000 | Mar 08, 11 | Apr 04, 11 | 127 75 | 128 50 | | -0 75 | |
| NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR | FIFO | 3 000 | Apr 04, 11 | Dec 13, 11 | 163 94 | 173 01 | | -9 07 | |
| NOVARTIS AG SPON ADR | FIFO | 6 000 | Apr 04, 11 | Dec 13, 11 | 325 61 | 325 61 | -1 81 | | |
| NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR | FIFO | 8 000 | Sep 22, 11 | Dec 13, 11 | 141 91 | 151 26 | | -9 35 | |
| PETROFAC LTD ADR | FIFO | 8 000 | Nov 01, 11 | Dec 13, 11 | 88 07 | 88 53 | | -0 46 | |
| PFIZER INC | FIFO | 55 000 | Jun 02, 10 | Apr 04, 11 | 1,126 42 | 821 91 | | | 304 51 |
| POSTNL N V SPON ADR | FIFO | 138 000 | Apr 04, 11 | Jun 24, 11 | 1,104 87 | 3,601 80 | | -2,496 93 | |
| PRECISION DRILLING CORP CAD | FIFO | 37 000 | Jun 24, 11 | Oct 28, 11 | 468 56 | 497 82 | | -29 26 | |
| REED ELSEVIER PLC NEW REPSTG 4 ORD SHS SPON ADR | FIFO | 6 000 | Apr 04, 11 | Dec 13, 11 | 188 21 | 211 94 | | -23 73 | |
| ROCHE HLDG LTD SPONS ADR SWITZ ADR | FIFO | 10 000 | Apr 04, 11 | Dec 13, 11 | 408 59 | 361 00 | | | 47 59 |
| SAGE GROUP PLC ADR | FIFO | 7 000 | Apr 04, 11 | Dec 13, 11 | 124 80 | 126 00 | | -1 20 | |
| SANOFI SPON ADR | FIFO | 25 000 | Dec 21, 10 | Apr 04, 11 | 891 00 | 807 95 | | | 83 05 |
| SEVEN & I HLDGS CO LTD ADR | FIFO | 35 000 | Jun 30, 10 | Apr 04, 11 | 1,735 96 | 1,617 83 | | | 118 13 |
| | FIFO | 25 000 | Jul 30, 10 | Apr 04, 11 | 1,239 98 | 1,195 61 | | | 44 37 |
| SGS SA ADR UNSPONSORED | FIFO | 7 000 | Apr 04, 11 | Dec 13, 11 | 111 71 | 127 54 | | -15 83 | |
| SIEMENS A G SPON ADR | FIFO | 32 000 | Apr 04, 11 | Jun 28, 11 | 4,123 54 | 4,452 99 | | -329 45 | |
| SILVER WHEATON CORP CAD | FIFO | 15 000 | Apr 04, 11 | Apr 14, 11 | 641 46 | 665 95 | | -24 49 | |
| | FIFO | 53 000 | Apr 04, 11 | May 13, 11 | 1,844 87 | 2,353 01 | | -508 14 | |

*continued next page*

# Realized gains and losses (continued)

## Short-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| SOCIEDAD QUIMICA I MINERA CHILE-ADR CHILE ADR | FIFO | 31 000 | Apr 04, 11 | May 20, 11 | 1,749 87 | 1,793 90 | | -44 03 | |
| SOUFUN HLDGS LTD ADR | FIFO | 87 000 | Apr 04, 11 | May 13, 11 | 2,006 76 | 1,594 71 | | | 412 05 |
| SWISS REINS CO SPON ADR | FIFO | 30 000 | May 04, 10 | Apr 01, 11 | 1,753 20 | 1,274 36 | | | 478 84 |
| TAKEDA PHARMACEUTICAL CO LTD SPON ADR | FIFO | 95 000 | Jan 06, 11 | Apr 04, 11 | 2,198 25 | 2,288 66 | | -90 41 | |
| TECK RESOURCES LTD CL B ORD CAD | FIFO | 31 000 | Apr 04, 11 | Jun 16, 11 | 1,379 07 | 1,754 58 | | -375 51 | |
| TESCO PLC SPONS ADR UNITED KINGDOM | FIFO | 8 000 | Apr 04, 11 | Dec 13, 11 | 143 35 | 152 88 | | -9 53 | |
| TNT EXPRESS N V ADR | FIFO | 138 000 | Jun 01, 11 | Jul 14, 11 | 1,389 16 | 1,904 40 | | -515 24 | |
| TOTAL S A FRANCE SPON ADR | FIFO | 25 000 | Apr 22, 10 | Apr 04, 11 | 1,541 72 | 1,436 62 | | | 105 10 |
| | FIFO | 10 000 | Dec 02, 10 | Apr 04, 11 | 616 69 | 498 91 | | | 117 78 |
| TOYOTA MOTOR CORP NEW JAPAN SPON ADR | FIFO | 10 000 | Jan 19, 11 | Apr 04, 11 | 797 92 | 851 49 | | -53 57 | |
| TULLOW OIL PLC ADR | FIFO | 12 000 | Nov 01, 11 | Dec 13, 11 | 125 99 | 131 98 | | -5 99 | |
| VALEO SPON ADR | FIFO | 63 000 | Apr 04, 11 | Sep 08, 11 | 1,503 74 | 1,903 23 | | -399 49 | |
| VODAFONE GROUP PLC NEW SPON ADR | FIFO | 19 000 | Apr 04, 11 | Dec 13, 11 | 521 53 | 550 59 | | -29 06 | |
| WILLIS GROUP HOLDINGS PLC | FIFO | 10 000 | Apr 04, 11 | Dec 13, 11 | 379 09 | 402 79 | | -23 70 | |
| WSTN DIGITAL CORP | FIFO | 100 000 | Mar 02, 11 | Apr 04, 11 | 3,752 92 | 2,993 23 | | | 759 69 |
| **Total** | | | | | **$100,707.37** | **$107,821.29** | | **-$13,826.05** | **$6,712.13** |
| **Net short-term capital gains and losses** | | | | | | | | **-$7,113.92** | |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| AEGON NV ADR N Y SHS NETHERLANDS ADR | FIFO | 350 000 | Jun 05, 08 | Apr 04, 11 | 2,719 96 | 5,259 70 | | -2,539 74 | |
| | FIFO | 12 000 | Sep 15, 08 | Apr 04, 11 | 93 26 | 139 19 | | -45 93 | |
| ALCATEL-LUCENT SPON ADR | FIFO | 90 000 | Mar 13, 01 | Feb 25, 11 | 429 44 | 3,292 26 | | -2,862 82 | |
| | FIFO | 15 000 | Apr 09, 01 | Feb 25, 11 | 71 57 | 432 41 | | -360 84 | |
| | FIFO | 45 000 | May 22, 01 | Feb 25, 11 | 214 72 | 1,399 28 | | -1,184 56 | |
| | FIFO | 50 000 | Oct 30, 01 | Feb 25, 11 | 238 58 | 712 58 | | -474 00 | |
| | FIFO | 55 000 | Oct 30, 01 | Mar 04, 11 | 290 79 | 783 83 | | -493 04 | |
| | FIFO | 40 000 | Feb 27, 02 | Mar 04, 11 | 211 48 | 559 18 | | -347 70 | |
| | FIFO | 115 000 | Sep 13, 04 | Mar 04, 11 | 608 01 | 1,449 46 | | -841 45 | |
| | FIFO | 5 000 | Sep 13, 04 | Mar 04, 11 | 28 00 | 63 02 | | -35 02 | |
| | FIFO | 120 000 | Jun 03, 05 | Mar 04, 11 | 671 98 | 1,740 98 | | -1,069 00 | |
| ASTRAZENECA PLC SPON ADR | FIFO | 15 000 | May 25, 07 | Apr 04, 11 | 703 04 | 802 21 | | -99 17 | |
| | FIFO | 50 000 | Nov 09, 07 | Apr 04, 11 | 2,343 45 | 2,251 59 | | | 91 86 |
| AT&T INC | FIFO | 54 000 | Sep 17, 08 | Apr 04, 11 | 1,659 97 | 1,558 94 | | | 101 03 |
| | FIFO | 55 000 | Jun 04, 09 | Apr 04, 11 | 1,690 71 | 1,340 08 | | | 350 63 |
| BANK OF AMER CORP | FIFO | 18 000 | Aug 01, 07 | Apr 04, 11 | 241 57 | 2,711 47 | | -2,469 90 | |
| | FIFO | 25 000 | Aug 16, 07 | Apr 04, 11 | 335 51 | 2,561 01 | | -2,225 50 | |
| | FIFO | 37 000 | Oct 10, 07 | Apr 04, 11 | 496 56 | 3,758 44 | | -3,261 88 | |
| | FIFO | 125 000 | Jul 03, 08 | Apr 04, 11 | 1,677 56 | 2,789 39 | | -1,111 83 | |
| BOSTON SCIENTIFIC CORP | FIFO | 150 000 | Sep 22, 06 | Apr 04, 11 | 1,072 63 | 2,215 11 | | -1,142 48 | |
| | FIFO | 210 000 | Apr 23, 07 | Apr 04, 11 | 1,501 68 | 3,342 80 | | -1,841 12 | |
| BRASIL TELECOM S A SPON ADR | FIFO | 57 000 | Nov 24, 09 | Apr 04, 11 | 632 68 | 953 33 | | -320 65 | |
| | FIFO | 3 000 | Nov 24, 09 | Apr 04, 11 | 33 30 | 48 75 | | -15 45 | |
| BRASIL TELECOM SA REPSTG PFD SHS SPON ADR | FIFO | 6 000 | Nov 24, 09 | Apr 04, 11 | 169 63 | 188 28 | | -18 65 | |
| | FIFO | 101 000 | Nov 24, 09 | Apr 04, 11 | 2,855 52 | 3,162 82 | | -307 30 | |
| CANON INC ADR JAPAN SPON ADR | FIFO | 20 000 | Feb 26, 09 | Apr 04, 11 | 872 38 | 511 57 | | | 360 81 |
| | FIFO | 5 000 | Mar 19, 09 | Dec 13, 11 | 220 94 | 141 48 | | | 79 46 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS) | FIFO | 85 000 | Apr 27, 00 | Apr 04, 11 | 1,339 87 | 616 25 | | | 723 62 |
| CHEVRON CORP | FIFO | 5 000 | Mar 18, 10 | Mar 31, 11 | 546 56 | 373 68 | | | 172 88 |
| | FIFO | 45 000 | Mar 18, 10 | Apr 04, 11 | 4,866 65 | 3,363 14 | | | 1,503 51 |
| CITIGROUP INC **REVERSE SPLIT EFF 05/2011** | FIFO | 419 000 | Jun 09, 09 | Apr 04, 11 | 1,843 90 | 1,222 85 | | | 621 05 |
| | FIFO | 240 000 | Dec 17, 09 | Apr 04, 11 | 1,056 17 | 753 41 | | | 302 76 |
| DELL INC | FIFO | 300 000 | Sep 22, 06 | Apr 04, 11 | 4,251 42 | 6,372 21 | | -2,120 79 | |
| DEUTSCHE TELEKOM AG DE SPON ADR | FIFO | 260 000 | Jun 09, 06 | Apr 04, 11 | 4,087 12 | 4,270 50 | | -183 38 | |
| | FIFO | 160 000 | Jun 04, 09 | Apr 04, 11 | 2,515 15 | 1,815 95 | | | 699 20 |
| DOW CHEMICAL | FIFO | 5 000 | Jan 10, 07 | Mar 23, 11 | 183 84 | 196 91 | | -13 07 | |
| | FIFO | 75 000 | May 06, 09 | Mar 23, 11 | 2,757 57 | 1,136 12 | | | 1,621 45 |
| ENI SPA IT SPON ADR | FIFO | 10 000 | Jul 14, 09 | Apr 04, 11 | 504 70 | 449 81 | | | 54 89 |
| | FIFO | 60 000 | Sep 01, 09 | Apr 04, 11 | 3,028 23 | 2,783 40 | | | 244 83 |
| | FIFO | 15 000 | Feb 01, 10 | Apr 04, 11 | 757 06 | 707 45 | | | 49 61 |
| | FIFO | 10 000 | Mar 11, 10 | Apr 04, 11 | 504 70 | 484 68 | | | 20 02 |
| FIFTH THIRD BANCORP | FIFO | 120 000 | Dec 28, 05 | Jan 28, 11 | 1,775 86 | 4,614 85 | | -2,838 99 | |
| FRANCE TELECOM SA SPON ADR | FIFO | 85 000 | Jun 04, 04 | Apr 04, 11 | 1,920 24 | 2,061 36 | | -141 12 | |
| | FIFO | 1 000 | Jul 20, 09 | Apr 04, 11 | 22 59 | 22 42 | | | 0 17 |
| | FIFO | 90 000 | Oct 26, 09 | Apr 04, 11 | 2,033 19 | 2,391 69 | | -358 50 | |
| FUJI FILM HOLDINGS CORP ADR | FIFO | 100 000 | Sep 10, 08 | Apr 04, 11 | 3,048 94 | 2,889 64 | | | 159 30 |
| GENL ELECTRIC CO | FIFO | 60 000 | Oct 02, 08 | Apr 04, 11 | 1,232 42 | 1,332 60 | | -100 18 | |
| | FIFO | 50 000 | Mar 17, 09 | Apr 04, 11 | 1,027 02 | 482 00 | | | 545 02 |
| | FIFO | 70 000 | Mar 04, 10 | Apr 04, 11 | 1,437 82 | 1,124 48 | | | 313 34 |
| GLAXO SMITHKLINE PLC ADR | FIFO | 15 000 | Feb 27, 04 | Apr 04, 11 | 588 15 | 639 21 | | -51 06 | |
| | FIFO | 60 000 | May 11, 07 | Apr 04, 11 | 2,352 60 | 3,388 59 | | -1,035 99 | |
| | FIFO | 35 000 | Mar 08, 11 | Apr 04, 11 | 1,372 35 | 1,337 74 | | | 34 61 |

*continued next page*

## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| INTEL CORP | FIFO | 95 000 | May 19, 06 | Apr 04, 11 | 1,851 58 | 1,739 59 | | | 111 99 |
| | FIFO | 120 000 | Oct 21, 08 | Apr 04, 11 | 2,338 83 | 1,863 90 | | | 474 93 |
| INTESA SANPAOLO SPON ADR | FIFO | 90 000 | Jun 04, 09 | Apr 04, 11 | 1,625 36 | 1,908 00 | | -282 64 | |
| J SAINSBURY PLC SPON ADR | FIFO | 60 000 | Sep 05, 08 | Apr 04, 11 | 1,276 77 | 1,357 29 | -80 53 | -80 52 | |
| | FIFO | 30 000 | Mar 29, 11 | Apr 04, 11 | 638 39 | 732 68 | 80 53 | -94 29 | |
| KEYCORP NEW | FIFO | 105 000 | Jun 13, 08 | Apr 04, 11 | 934 69 | 1,233 09 | | -298 40 | |
| KONINKLIJKE AHOLD NV NEW 2007 SPON ADR | FIFO | 10 000 | Dec 16, 03 | Dec 13, 11 | 125 89 | 91 68 | | | 34 21 |
| KROGER COMPANY | FIFO | 150 000 | Jan 08, 10 | Apr 04, 11 | 3,576 14 | 3,037 86 | | | 538 28 |
| L M ERICSSON TELEFON CO SEK 10 NEW 2002 ADR | FIFO | 167 000 | Jan 03, 08 | Apr 04, 11 | 2,137 87 | 1,931 26 | | | 206 61 |
| | FIFO | 12 000 | Jan 03, 08 | Dec 13, 11 | 114 35 | 138 77 | | -24 42 | |
| LILLY ELI & CO | FIFO | 30 000 | Oct 06, 09 | Apr 04, 11 | 1,050 04 | 989 88 | | | 60 16 |
| MARKS & SPENCER GROUP INC SPON ADR | FIFO | 15 000 | Jul 11, 03 | Apr 04, 11 | 164 25 | 160 94 | | | 3 31 |
| | FIFO | 180 000 | Dec 11, 03 | Apr 04, 11 | 1,970 95 | 1,752 76 | | | 218 19 |
| MARSH & MCLENNAN COS INC | FIFO | 75 000 | Jun 04, 09 | Apr 04, 11 | 2,268 06 | 1,451 81 | | | 816 25 |
| | FIFO | 25 000 | Nov 24, 09 | Apr 04, 11 | 756 02 | 568 99 | | | 187 03 |
| MERCK & CO INC NEW COM | FIFO | 89 000 | Jun 04, 09 | Apr 04, 11 | 2,962 93 | 2,369 87 | | | 593 06 |
| MICROSOFT CORP | FIFO | 25 000 | May 05, 06 | Apr 04, 11 | 638 76 | 595 46 | | | 43 30 |
| | FIFO | 140 000 | Jul 12, 06 | Apr 04, 11 | 3,577 04 | 3,200 40 | | | 376 64 |
| MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR | FIFO | 160 000 | Apr 18, 07 | Apr 04, 11 | 729 82 | 1,789 20 | | -1,059 38 | |
| | FIFO | 275 000 | Oct 17, 07 | Apr 04, 11 | 1,254 39 | 2,428 75 | | -1,174 36 | |
| MIZUHO FINANCIAL GROUP INC SPON ADR | FIFO | 320 000 | May 17, 07 | Apr 04, 11 | 1,021 03 | 4,000 38 | | -2,979 35 | |
| | FIFO | 360 000 | Feb 22, 08 | Apr 04, 11 | 1,148 66 | 2,898 11 | | -1,749 45 | |
| MOTOROLA MOBILITY HOLDINGS | FIFO | 23 000 | Jul 11, 07 | Jan 04, 11 | 757 16 | 1,373 91 | | -616 75 | |
| | FIFO | 0 749 | Jul 11, 07 | Jan 04, 11 | 24 86 | 44 79 | | -19 93 | |
| MOTOROLA SOLUTIONS INC | FIFO | 27 000 | Jul 11, 07 | Mar 04, 11 | 1,080 72 | 1,934 78 | | -854 06 | |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| MS&AD INS GROUP HLDGS ADR | FIFO | 270 000 | Nov 30, 06 | Apr 04, 11 | 3,010 44 | 5,432 99 | | -2,422 55 | |
| NIPPON TELEG & TEL CORP SPON ADR | FIFO | 35 000 | May 23, 07 | Apr 04, 11 | 787 31 | 823 28 | | -35 97 | |
| | FIFO | 100 000 | Oct 26, 07 | Apr 04, 11 | 2,249 45 | 2,295 91 | | -46 46 | |
| | FIFO | 55 000 | Jun 04, 09 | Apr 04, 11 | 1,237 20 | 1,093 95 | | | 143 25 |
| | FIFO | 30 000 | Jan 25, 10 | Apr 04, 11 | 674 84 | 658 77 | | | 16 07 |
| NISSAN MTR LTD SPONS ADR JAPAN ADR | FIFO | 115 000 | Jun 04, 09 | Apr 04, 11 | 1,997 51 | 1,444 40 | | | 553 11 |
| NOKIA CORP SPONS ADR FINLAND ADR | FIFO | 20 000 | Nov 18, 08 | Apr 04, 11 | 173 43 | 260 58 | | -87 15 | |
| | FIFO | 210 000 | Dec 11, 08 | Apr 04, 11 | 1,820 98 | 3,242 17 | | -1,421 19 | |
| PFIZER INC | FIFO | 20 000 | Nov 16, 05 | Apr 04, 11 | 409 61 | 434 40 | | -24 79 | |
| | FIFO | 90 000 | Jul 31, 08 | Apr 04, 11 | 1,843 23 | 1,695 22 | | | 148 01 |
| | FIFO | 85 000 | Jun 04, 09 | Apr 04, 11 | 1,740 83 | 1,249 50 | | | 491 33 |
| | FIFO | 73 000 | Oct 16, 09 | Apr 04, 11 | 1,495 06 | 1,289 18 | | | 205 88 |
| PNC FINANCIAL SERVICES GROUP | FIFO | 5 000 | Aug 29, 07 | Apr 04, 11 | 317 49 | 3,774 43 | | -3,456 94 | |
| | FIFO | 18 000 | May 27, 08 | Apr 04, 11 | 1,142 98 | 2,545 37 | | -1,402 39 | |
| | FIFO | 25 000 | Jun 12, 09 | Apr 04, 11 | 1,587 47 | 1,039 25 | | | 548 22 |
| PORTUGAL TELECOM SGPS S A 1 ADR = 1 ORD SPON ADR | FIFO | 165 000 | Feb 17, 04 | Apr 04, 11 | 1,948 41 | 1,906 21 | | | 42 20 |
| REGIONS FINANCIAL CORP | FIFO | 145 000 | Jun 04, 09 | Mar 03, 11 | 1,107 84 | 601 68 | | | 506 16 |
| SAFEWAY INC | FIFO | 20 000 | Feb 03, 04 | Apr 04, 11 | 469 62 | 458 51 | | | 11 11 |
| | FIFO | 75 000 | Nov 26, 04 | Apr 04, 11 | 1,761 07 | 1,470 00 | | | 291 07 |
| | FIFO | 60 000 | Dec 02, 09 | Apr 04, 11 | 1,408 86 | 1,349 31 | | | 59 55 |
| SANOFI SPON ADR | FIFO | 25 000 | Dec 08, 06 | Apr 04, 11 | 891 00 | 1,133 59 | | -242 59 | |
| | FIFO | 70 000 | Jun 25, 07 | Apr 04, 11 | 2,494 81 | 2,830 02 | | -335 21 | |

*continued next page*

## Realized gains and losses (continued)

### **Long-term capital gains and losses** (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| SONY CORP ADR NEW JAPAN ADR | FIFO | 60 000 | Sep 24, 08 | Apr 04, 11 | 1,893 01 | 1,921 03 | | -28 02 | |
| | FIFO | 50 000 | Dec 11, 08 | Apr 04, 11 | 1,577 51 | 1,059 85 | | | 517 66 |
| STMICROELECTRONICS N V EUR | FIFO | 120 000 | Mar 01, 05 | Apr 04, 11 | 1,497 75 | 2,165 74 | | -667 99 | |
| | FIFO | 150 000 | Oct 07, 05 | Apr 04, 11 | 1,872 19 | 2,590 29 | | -718 10 | |
| SUMITOMO MITSUI FINANCIAL SPON ADR | FIFO | 205 000 | Sep 14, 07 | Apr 04, 11 | 1,252 83 | 2,862 78 | | -1,609 95 | |
| SUNTRUST BANKS INC | FIFO | 25 000 | Apr 15, 09 | Jan 03, 11 | 751 85 | 365 99 | | | 385 86 |
| | FIFO | 25 000 | Sep 24, 09 | Jan 03, 11 | 751 85 | 572 85 | | | 179 00 |
| SUPERVALU INC | FIFO | 105 000 | Jan 04, 08 | Apr 04, 11 | 923 08 | 3,579 54 | | -2,656 46 | |
| SWISS REINS CO SPON ADR | FIFO | 40 000 | Jun 04, 09 | Apr 01, 11 | 2,337 61 | 1,394 00 | | | 943 61 |
| TELE NORTE LESTE PARTICIPACOES S A ADR | FIFO | 20 000 | Jul 11, 07 | Apr 04, 11 | 358 19 | 429 80 | | -71 61 | |
| TELEBRAS TELECOMUNICA-BRASILEIRAS SA REP 1 DEP SHR SPON ADR | FIFO | 20 000 | Apr 27, 00 | Feb 24, 11 | 143 65 | 1,369 38 | | -1,225 73 | |
| TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR | FIFO | 270 000 | Feb 07, 07 | Apr 04, 11 | 4,185 13 | 8,145 44 | | -3,960 31 | |
| | FIFO | 85 000 | Jun 04, 09 | Apr 04, 11 | 1,317 54 | 1,149 68 | | | 167 86 |
| | FIFO | 65 000 | Jan 22, 10 | Apr 04, 11 | 1,007 53 | 961 28 | | | 46 25 |
| TELEFONICA S A SPON ADR | FIFO | 24 000 | May 26, 00 | Apr 04, 11 | 617 75 | 425 86 | | | 191 89 |
| | FIFO | 60 000 | May 05, 09 | Apr 04, 11 | 1,544 36 | 1,185 16 | | | 359 20 |
| TELEFONOS DE MEXICO S A SPON ADR REPSTG SH ORD L MEXICO ADR | FIFO | 40 000 | Jun 08, 00 | Apr 04, 11 | 741 98 | 339 95 | | | 402 03 |
| | FIFO | 30 000 | Jul 16, 01 | Apr 04, 11 | 556 49 | 270 99 | | | 285 50 |
| TEXAS INSTRUMENTS | FIFO | 20 000 | Oct 23, 08 | Feb 17, 11 | 728 43 | 339 91 | | | 388 52 |
| | FIFO | 100 000 | Oct 23, 08 | Apr 04, 11 | 3,395 07 | 1,699 53 | | | 1,695 54 |
| TOKIO MARINE HOLDINGS INC SPON ADR | FIFO | 25 000 | Apr 27, 00 | Apr 04, 11 | 680 49 | 498 58 | | | 181 91 |
| | FIFO | 37 000 | Feb 26, 02 | Apr 04, 11 | 1,007 12 | 523 10 | | | 484 02 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| | FIFO | 25 000 | Nov 16, 09 | Apr 04, 11 | 680 49 | 681 44 | | -0 95 | |
| TOTAL S A FRANCE SPON ADR | FIFO | 60 000 | Mar 24, 10 | Apr 04, 11 | 3,700 12 | 3,382 91 | | | 317 21 |
| TOYOTA MOTOR CORP NEW JAPAN SPON ADR | FIFO | 25 000 | Jun 04, 09 | Apr 04, 11 | 1,994 81 | 1,993 50 | | | 1 31 |
| | FIFO | 20 000 | Oct 21, 09 | Apr 04, 11 | 1,595 85 | 1,585 07 | | | 10 78 |
| UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR | FIFO | 5 000 | Aug 30, 04 | Dec 13, 11 | 166 54 | 99 66 | | | 66 88 |
| VALERO ENERGY CORP NEW | FIFO | 30 000 | Mar 17, 09 | Jan 31, 11 | 761 51 | 530 39 | | | 231 12 |
| | FIFO | 25 000 | Apr 23, 09 | Jan 31, 11 | 634 60 | 520 00 | | | 114 60 |
| | FIFO | 30 000 | Jun 04, 09 | Mar 31, 11 | 899 91 | 560 94 | | | 338 97 |
| | FIFO | 70 000 | Jun 04, 09 | Apr 04, 11 | 2,107 76 | 1,308 86 | | | 798 90 |
| | FIFO | 5 000 | Jun 09, 09 | Apr 04, 11 | 150 55 | 91 84 | | | 58 71 |
| | FIFO | 80 000 | Nov 13, 09 | Apr 04, 11 | 2,408 87 | 1,362 38 | | | 1,046 49 |
| VERIZON COMMUNICATIONS INC | FIFO | 20 000 | Jul 18, 00 | Apr 04, 11 | 772 01 | 869 05 | | -97 04 | |
| | FIFO | 10 000 | May 23, 02 | Apr 04, 11 | 386 01 | 384 49 | | | 1 52 |
| | FIFO | 70 000 | Jun 04, 09 | Apr 04, 11 | 2,702 04 | 1,941 68 | | | 760 36 |
| VIVO PARTICIPACOES SA REPSTG PFD *MERGER EFF 06/2011* SPON ADR | FIFO | 1 000 | Apr 27, 00 | Apr 04, 11 | 42 07 | 5 94 | | | 36 13 |
| | FIFO | 2 000 | Apr 27, 00 | Apr 04, 11 | 84 14 | 154 03 | | -69 89 | |
| | FIFO | 1 000 | Dec 10, 04 | Apr 04, 11 | 42 07 | 10 61 | | | 31 46 |
| | FIFO | 50 000 | Jun 04, 09 | Apr 04, 11 | 2,103 45 | 984 00 | | | 1,119 45 |
| WELLS FARGO & CO NEW | FIFO | 42 000 | Jul 17, 08 | Apr 04, 11 | 1,333 93 | 2,335 54 | | -1,001 61 | |
| | FIFO | 55 000 | Jun 04, 09 | Apr 04, 11 | 1,746 81 | 1,364 00 | | | 382 81 |
| | FIFO | 30 000 | Sep 02, 09 | Apr 04, 11 | 952 80 | 793 51 | | | 159 29 |

*continued next page*

# Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| XEROX CORP | FIFO | 100 000 | Jul 26, 05 | Apr 04, 11 | 1,077 05 | 1,309 08 | | -232 03 | |
| | FIFO | 105 000 | Sep 09, 05 | Apr 04, 11 | 1,130 91 | 1,406 97 | | -276 06 | |
| **Total** | | | | | **$188,348.75** | **$223,149.59** | | **-$61,235.65** | **$26,434.81** |
| **Net long-term capital gains or losses** | | | | | | | | **-$34,800.84** | |
| **Net capital gains/losses:** | | | | | | | | **-$41,914.76** | |

## Gains and losses not calculated

| Security description | Method | Quantity or face value | Purchase date | Sale date | Sale amount ($) | Cost basis ($) | Wash sale cost basis adjustment($) | Loss ($) | Gain ($) |
|---|---|---|---|---|---|---|---|---|---|
| TIM PARTICIPACOES SA **EXCHANGE EFF 08/2011** ADR | FIFO | 1 000 | Aug 27, 04 | Apr 04, 11 | 44 57 | 0 00 | ---This information was unavailable--- | | |

Form **8868**
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing *(e-file)*.** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

| **Type or print** | Name of exempt organization or other filer, see instructions. | Employer identification number (EIN) or |
|---|---|---|
| | YMBL SUNSHINE FOUNDATION | [X] 74-2679040 |
| File by the due date for filing your return. See instructions | Number, street, and room or suite no. If a P.O. box, see instructions. 515 CONGRESS AVENUE, NO. 2020 | Social security number (SSN) [ ] |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. AUSTIN, TX 78701 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 01 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ DREW BIXBY 4713 FAIRVIEW DR. - AUSTIN, TX 78731
  Telephone No. ▶ 252-220-0021 FAX No. ▶ 877-759-7335
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2012, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2011 or
▶ [ ] tax year beginning ____________, and ending ____________.

**2** If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ 0. |
| **b** | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | $ 0. |
| **c** | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | $ 0. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.



123841
01-04-12

aan2c

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

| Type or print<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>YMBL SUNSHINE FOUNDATION | Employer identification number (EIN) or<br>[X] 74-2679040 |
|---|---|---|
| | Number, street, and room or suite no. If a P.O. box, see instructions.<br>515 CONGRESS AVENUE, NO. 2020 | Social security number (SSN)<br>[ ] |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>AUSTIN, TX 78701 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 1

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 990-EZ | 01 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ DREW BIXBY 4713 FAIRVIEW DR. - AUSTIN, TX 78731

  Telephone No. ▶ 252-220-0021 FAX No. ▶ 877-759-7335

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2012 .

5 For calendar year 2011 , or other tax year beginning ______________ , and ending ______________ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
TAXPAYER REQUIRES ADDITIONAL TIME TO COMPILE ALL INFORMATION NECESSARY FOR A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 0. |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 0. |
| c | **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | $ 0. |

### Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ TREASURER Date ▶



123842
01-06-12