



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE WINTHROP ROCKEFELLER FOUNDATION		A Employer identification number 71-0285871
Number and street (or P O box number if mail is not delivered to street address) 225 EAST MARKHAM STREET	Room/suite 200	B Telephone number (501) 376-6854
City or town, state, and ZIP code LITTLE ROCK, AR 72201		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 125,737,289. (Part I, column (d) must be on cash basis.)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	439,000.			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	474,371.	474,371.	0.	STATEMENT 1
	4 Dividends and interest from securities	2,338,308.	2,338,308.	0.	STATEMENT 2
	5a Gross rents	0.	0.	0.	
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	5,787,568.			
	b Gross sales price for all assets on line 6a 62,551,172.				
	7 Capital gain net income (from Part IV, line 2)		5,787,568.		
	8 Net short-term capital gain			0.	
	9 Income modifications			0.	
	10a Gross sales less returns and allowances 0.				
Operating and Administrative Expenses	b Less Cost of goods sold 0.				
	c Gross profit or (loss)	0.		0.	
	11 Other income	15,781.	23,267.	0.	STATEMENT 3
	12 Total. Add lines 1 through 11	9,055,028.	8,623,514.		
	13 Compensation of officers, directors, trustees, etc	502,267.	58,899.	0.	442,368.
	14 Other employee salaries and wages	370,324.	0.	0.	370,324.
	15 Pension plans, employee benefits	267,820.	10,227.	0.	257,593.
	16a Legal fees STMT 4	4,692.	0.	0.	4,692.
	b Accounting fees STMT 5	57,901.	13,000.	0.	44,901.
	c Other professional fees STMT 6	952,126.	771,288.	0.	180,838.
	17 Interest	16,599.	16,599.	0.	0.
	18 Taxes STMT 7	153,750.	18,732.	0.	0.
	19 Depreciation and depletion	79,416.	0.	0.	
	20 Occupancy	125,981.	0.	0.	125,981.
	21 Travel, conferences, and meetings	25,015.	755.	0.	24,260.
	22 Printing and publications	56,691.	0.	0.	56,691.
	23 Other expenses STMT 8	452,539.	90,028.	0.	322,087.
	24 Total operating and administrative expenses. Add lines 13 through 23	3,065,121.	979,528.	0.	1,830,735.
25 Contributions, gifts, grants paid	4,054,692.			5,652,319.	
26 Total expenses and disbursements. Add lines 24 and 25	7,119,813.	979,528.	0.	7,483,054.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,935,215.				
b Net investment income (if negative, enter -0-)		7,643,986.			
c Adjusted net income (if negative, enter -0-)			0.		

618
6

SCANNED AUG 22 2012

RECEIVED
 AUG 24 2012
 724
 OGDEN, UT

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	0.	0.	0.
	2 Savings and temporary cash investments	4,219,959.	4,324,961.	4,324,961.
	3 Accounts receivable ▶ 1,429.			
	Less: allowance for doubtful accounts ▶	1,493.	1,429.	1,429.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶	0.	0.	0.
	5 Grants receivable	0.	0.	0.
	6 Receivables due from officers, directors, trustees, and other disqualified persons	0.	0.	0.
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use	0.	0.	0.
	9 Prepaid expenses and deferred charges	0.	0.	0.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 11	88,126,741.	84,192,506.	84,192,506.
	c Investments - corporate bonds STMT 12	36,203,297.	31,388,042.	31,388,042.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶	0.	0.	0.	
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶ 497,135.				
Less: accumulated depreciation ▶ 182,546.	383,612.	314,589.	314,589.	
15 Other assets (describe ▶ STATEMENT 13)	5,626,678.	5,515,762.	5,515,762.	
16 Total assets (to be completed by all filers)	134,561,780.	125,737,289.	125,737,289.	
Liabilities	17 Accounts payable and accrued expenses	220,384.	183,843.	
	18 Grants payable	5,738,652.	4,141,511.	
	19 Deferred revenue	0.	0.	
	20 Loans from officers, directors, trustees, and other disqualified persons	0.	0.	
	21 Mortgages and other notes payable	0.	0.	
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	5,959,036.	4,325,354.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	128,582,899.	121,061,935.	
	25 Temporarily restricted	19,845.	350,000.	
	26 Permanently restricted	0.	0.	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	128,602,744.	121,411,935.		
31 Total liabilities and net assets/fund balances	134,561,780.	125,737,289.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	128,602,744.
2 Enter amount from Part I, line 27a	2	1,935,215.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	56,121.
4 Add lines 1, 2, and 3	4	130,594,080.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	9,182,145.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	121,411,935.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 62,551,172.		56,763,604.	5,787,568.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			5,787,568.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	5,787,568.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	7,415,301.	118,410,948.	.062623
2009	8,037,938.	106,264,684.	.075641
2008	9,439,112.	127,553,697.	.074001
2007	7,750,315.	145,834,976.	.053144
2006	7,413,466.	137,279,352.	.054003

2 Total of line 1, column (d)	2	.319412
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063882
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	125,708,607.
5 Multiply line 4 by line 3	5	8,030,517.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	76,440.
7 Add lines 5 and 6	7	8,106,957.
8 Enter qualifying distributions from Part XII, line 4	8	7,658,054.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	152,880.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	152,880.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	152,880.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	205,679.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	205,679.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	52,799.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>AR</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.WRFOUNDATION.ORG / WWW.GUIDESTAR.ORG</u>	13	X	
14	The books are in care of ► <u>ANDREA M. DOBSON</u> Telephone no. ► <u>(501) 376-6854</u> Located at ► <u>225 EAST MARKHAM STREET, STE 200, LITTLE ROCK, AR</u> ZIP+4 ► <u>72201</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		502,267.	83,612.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CORY ANDERSON - 225 EAST MARKHAM STREET, STE. 200, LITTLE ROCK, AR	VICE-PRESIDENT 40.00	137,392.	26,006.	0.
ANGELA KREMERS - 225 EAST MARKHAM STREET, STE. 200, LITTLE ROCK, AR	SENIOR PROGRAM ASSOCIATE 40.00	78,918.	13,135.	0.
RACHEL ROSENBERG - 225 EAST MARKHAM STREET, STE. 200, LITTLE ROCK, AR	PROGRAM ASSISTANT 40.00	60,399.	11,751.	0.
APRIL STEWARD - 225 EAST MARKHAM STREET, STE. 200, LITTLE ROCK, AR	ASSISTANT TO THE COFO 40.00	44,387.	10,871.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KR CAPITAL ADVISORS, INC. - 445 PARK AVENUE, STE. 1501, NEW YORK, NY 10022	INVESTMENT MANAGEMENT	158,508.
HEWITT ENNISKNUPP INC., AN AON COMPANY - 4130 PARKLAKE AVENUE, STE. 110, RALEIGH, NC 27612	INVESTMENT ADVISING	102,758.
ADVISORY RESEARCH, INC. 180 N. STETSON, STE. 5500, CHICAGO, IL 60601	INVESTMENT MANAGEMENT	94,629.
THE NORTHERN TRUST COMPANY 50 SOUTH LASALLE ST., CHICAGO, IL 60675	INVESTMENT CUSTODY/ MANAGEMENT SERVICES	78,768.
MARVIN AND PALMER - 1201 N. MARKET STREET, SUITE 2300, WILMINGTON, DE 19801	INVESTMENT MANAGEMENT	70,296.
Total number of others receiving over \$50,000 for professional services		1

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 18	
	119,628.
2 SEE STATEMENT 18	
	114,397.
3 SEE STATEMENT 18	
	105,209.
4 SEE STATEMENT 18	
	76,763.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 FUND FOR ARKANSAS' FUTURE CAPITAL CALL (INVESTMENT STILL HELD AS OF 12/31/2011)	100,000.
2 INVESTMENT IN ALT CONSULTING (PRI GRANTED IN 2011)	75,000.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	175,000.

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	123,105,586.
b Average of monthly cash balances	1b	4,517,365.
c Fair market value of all other assets	1c	0.
d Total (add lines 1a, b, and c)	1d	127,622,951.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	127,622,951.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,914,344.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	125,708,607.
6 Minimum investment return. Enter 5% of line 5	6	6,285,430.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	6,285,430.
2a Tax on investment income for 2011 from Part VI, line 5	2a	152,880.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	152,880.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	6,132,550.
4 Recoveries of amounts treated as qualifying distributions	4	134,371.
5 Add lines 3 and 4	5	6,266,921.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,266,921.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,483,054.
b Program-related investments - total from Part IX-B	1b	175,000.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,658,054.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,658,054.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				6,266,921.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007	519,313.			
c From 2008	2,717,413.			
d From 2009	2,272,839.			
e From 2010	1,380,544.			
f Total of lines 3a through e	6,890,109.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 7,658,054.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				6,266,921.
e Remaining amount distributed out of corpus	1,391,133.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	8,281,242.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	89,000.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	8,192,242.			
10 Analysis of line 9:				
a Excess from 2007	430,313.			
b Excess from 2008	2,717,413.			
c Excess from 2009	2,272,839.			
d Excess from 2010	1,380,544.			
e Excess from 2011	1,391,133.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 20	5,652,319.
Total			3a	5,652,319.
b Approved for future payment				
SEE STATEMENT 20 VARIOUS LITTLE ROCK, AR 72201			SEE STATEMENT 20	2,140,470.
Total			3b	2,140,470.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here 	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No		
	Signature of officer or trustee _____			Date _____		Title COFO		
Paid Preparer Use Only	Print/Type preparer's name MARY ELLEN VANGILDER		Preparer's signature _____		Date _____		Check ☐ if self-employed PTIN P00626271	
	Firm's name ▶ JPMS COX, PLLC						Firm's EIN ▶ 20-1776739	
	Firm's address ▶ 11300 CANTRELL ROAD, SUITE 301 LITTLE ROCK, AR 72212						Phone no. 501-227-5800	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Employer identification number

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.

▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TRUST U/W WINTHROP ROCKEFELLER 2230 COTTONDALE LANE, SUITE 6 LITTLE ROCK, AR 72202	\$ 89,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	THE KRESGE FOUNDATION 3215 W. BIG BEAVER ROAD TROY, MI 48084	\$ 350,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

THE WINTHROP ROCKEFELLER FOUNDATION**71-0285871****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ADVISORY RESEARCH - SHORT TERM (SEE STATEMENT 15A)	P		
b ADVISORY RESEARCH - LONG TERM (SEE STATEMENT 15A)	P		
c GMO - LONG TERM (SEE STATEMENT 15B)	P		
d HERNDON - SHORT TERM (SEE STATEMENT 15C)	P		
e INTECH - LONG TERM (SEE STATEMENT 15D)	P		
f KR CAPITAL - SHORT TERM (SEE STATEMENT 15E)	P		
g KR CAPITAL - LONG TERM (SEE STATEMENT 15E)	P		
h MARVIN & PALMER - SHORT TERM (SEE STATEMENT 15F)	P		
i MARVIN & PALMER - LONG TERM (SEE STATEMENT 15F)	P		
j PIMCO - SHORT TERM (SEE STATEMENT 15G)	P		
k PIMCO - LONG TERM (SEE STATEMENT 15G)	P		
l RANGER - SHORT TERM (SEE STATEMENT 15H)	P		
m RANGER - LONG TERM (SEE STATEMENT 15H)	P		
n WASHINGTON - SHORT TERM (SEE STATEMENT 15I)	P		
o WASHINGTON - LONG TERM (SEE STATEMENT 15I)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 920,696.		753,888.	166,808.
b 2,540,775.		1,892,425.	648,350.
c 1,900,000.		2,606,978.	-706,978.
d 11,280,551.		11,885,828.	-605,277.
e 525,250.		418,427.	106,823.
f 650,828.		764,993.	-114,165.
g 3,711,409.		2,915,270.	796,139.
h 6,073,656.		6,273,069.	-199,413.
i 3,634,713.		2,157,557.	1,477,156.
j 290,690.		295,477.	-4,787.
k 2,509,310.		2,508,365.	945.
l 2,980,524.		3,356,027.	-375,503.
m 146,799.		134,507.	12,292.
n 2,823,209.		2,498,657.	324,552.
o 3,089,171.		1,785,339.	1,303,832.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			166,808.
b			648,350.
c			-706,978.
d			-605,277.
e			106,823.
f			-114,165.
g			796,139.
h			-199,413.
i			1,477,156.
j			-4,787.
k			945.
l			-375,503.
m			12,292.
n			324,552.
o			1,303,832.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a DREIHAUS - SHORT TERM (SEE STATEMENT 15J)	P		
b DREIHAUS - LONG TERM (SEE STATEMENT 15J)	P		
c COMMUNITY - SHORT TERM (SEE STATEMENT 15K)	P		
d ARTISAN - SHORT TERM (SEE STATEMENT 15L)	P		
e ARTISAN - LONG TERM (SEE STATEMENT 15L)	P		
f MFB NTGI COMMON DAILY - SHORT TERM (SEE STMT 15M)	P		
g MFB NTGI COMMON DAILY - LONG TERM (SEE STMT 15M)	P		
h MFB NTGI-QM COM DAILY - SHORT TERM (SEE STMT 15M)	P		
i PASSTHROUGH: INTECH GROWTH FUND LT (SEE STMT 16A)	P		
j PASSTHROUGH: INTECH GROWTH FUND LT (SEE STMT 16A)	P		
k PASSTHROUGH: D.S.V. II, LP (SEE STMT 16B)	P		
l PASSTHROUGH: FUND FOR AR FUTURE LLC (SEE STMT 16C)	P		
m MFB NTGI-QM COM DAILY - LONG TERM (SEE STMT 15M)	P		
n PASSTHROUGH: ATLAS PIPELINE PTRS (SEE STMT 16D)	P		
o ATLAS PIPELINE PARTNERS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,554,313.		5,767,863.	786,450.
b 415,940.		201,950.	213,990.
c 1,000,000.		976,571.	23,429.
d 68,880.		66,385.	2,495.
e 1,931,120.		1,856,982.	74,138.
f 382,918.		306,723.	76,195.
g 3,265,113.		2,746,469.	518,644.
h 4,629,883.		4,571,161.	58,722.
i 226,675.			226,675.
j 680,479.			680,479.
k 2,963.			2,963.
l		92.	-92.
m 14,420.			14,420.
n		198.	-198.
o 37,498.		22,403.	15,095.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			786,450.
b			213,990.
c			23,429.
d			2,495.
e			74,138.
f			76,195.
g			518,644.
h			58,722.
i			226,675.
j			680,479.
k			2,963.
l			-92.
m			14,420.
n			-198.
o			15,095.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAPITAL GAINS DIVIDENDS			
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	263,389.		263,389.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			263,389.
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	5,787,568.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
ADVISORY RESEARCH	29.
ATLAS PIPELINES	46.
BLACKROCK	3.
BOND INDEX	349,043.
DRIEHAUS	5.
HERNDON	24.
ING	6.
KR CAPITAL	24.
MARVIN & PALMER	14.
NORTHERN TRUST - CASH	4.
PASSTHROUGH - DIAMOND STATE VENTURES II, LLC	77,560.
PASSTHROUGH - FUND FOR ARKANSAS' FUTURE	124.
PASSTHROUGH - INTECH RISK-MANAGED LARGE CAP GROWTH FUND	11.
PIMCO	17,985.
PROGRAM RELATED INVESTMENTS	1,445.
RANGER	6.
RUSSELL 2000	35.
SOUTHERN BANCORP	27,998.
WASHINGTON	9.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	474,371.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ADVISORY RESEARCH	105,153.	15,474.	89,679.
ARTISAN INTERNATIONAL	67,680.	0.	67,680.
BLACKROCK	362,356.	117,336.	245,020.
COMMUNITY CAPITAL	497,775.	68,088.	429,687.
DRIEHAUS	453.	0.	453.
GMO	150,701.	0.	150,701.
HERNDON	216,829.	2,693.	214,136.
ING CLARION	207,179.	0.	207,179.
KR CAPITAL	258,119.	577.	257,542.
MARVIN & PALMER	78,198.	0.	78,198.
NORTHERN TRUST - CASH	58,243.	58,240.	3.
PASSTHROUGH - DIAMOND STATE VENTURES II, LLC	1,336.	0.	1,336.
PASSTHROUGH - INTECH RISK-MANAGED LARGE CAP GROWTH FUND	164,782.	0.	164,782.
PIMCO	398,343.	0.	398,343.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

RANGER	16,514.	0.	16,514.
RUSSELL 2000	5,960.	0.	5,960.
WASHINGTON	12,076.	981.	11,095.
TOTAL TO FM 990-PF, PART I, LN 4	2,601,697.	263,389.	2,338,308.

FORM 990-PF	OTHER INCOME	STATEMENT	3
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NT BOND FUND TRUST MISCELLANEOUS INVESTMENT INCOME	4,758.	4,758.	0.
ROYALTY INCOME	10.	10.	0.
MISCELLANEOUS INCOME	16,167.	16,167.	0.
ING FOREIGN EXCHANGE AMORTIZATION/ACCRETION	-54.	-54.	0.
ATLAS PIPELINE PARTNERS	2,386.	2,386.	0.
DIAMOND STATE VENTURES II	-7,486.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	15,781.	23,267.	0.

FORM 990-PF	LEGAL FEES	STATEMENT	4
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WRIGHT, LINDSEY, & JENNINGS - GENERAL BUSINESS COUNSEL	4,036.	0.	0.	4,036.
HALL, ESTILL, HARDWICK, GABLE - HR LEGAL COUNSEL	656.	0.	0.	656.
TO FM 990-PF, PG 1, LN 16A	4,692.	0.	0.	4,692.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JPMS COX - AUDIT AND TAX PREPARATION SERVICES	48,211.	13,000.	0.	35,211.
LOVE AND ASSOCIATES - QUARTERLY INTERNAL AUDIT REVIEWS	2,255.	0.	0.	2,255.
JPMS COX - PAYROLL PROCESSING AND TIMEKEEPING SERVICES	1,183.	0.	0.	1,183.
PAYCHEX - PAYROLL PROCESSING AND TIMEKEEPING SERVICES	2,200.	0.	0.	2,200.
GUENTHER ACCOUNTING SOLUTIONS - PAYROLL PROCESSING AND TIMEKEEPING COMPLETE PAYROLL SERVICES - PAYROLL PROCESSING AND TIMEKEEPING SERVICES	3,378. 674.	0. 0.	0. 0.	3,378. 674.
TO FORM 990-PF, PG 1, LN 16B	57,901.	13,000.	0.	44,901.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT OVERSIGHT	87,932.	87,932.	0.	0.
INVESTMENT MGMT FEES - DRIEHAUS	18,388.	18,388.	0.	0.
MANAGEMENT FEES - REITS	46,683.	46,683.	0.	0.
INVESTMENT MGMT FEES - WASHINGTON	23,819.	23,819.	0.	0.
INVESTMENT MGMT FEES - MARVIN & PALMER	89,279.	89,279.	0.	0.
INVESTMENT MGMT FEES - INTECH	45,697.	45,697.	0.	0.
INVESTMENT MGMT FEES - KR CAPITAL	158,517.	158,517.	0.	0.
INVESTMENT MGMT FEES - NORTHERN TRUST	19,351.	19,351.	0.	0.
INVESTMENT MGMT FEES - ADVISORY RESEARCH	94,629.	94,629.	0.	0.
CUSTODIAL BANK FEES	95,078.	95,078.	0.	0.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

AGENCY FEES - DREIHAUS	54.	54.	0.	0.
ADVISORY FEES - KR CAPITAL	735.	735.	0.	0.
TRUSTEE FEES- NT BOND				
INDEX FUND	1,478.	1,478.	0.	0.
PROGRAM EVALUATION	20,380.	0.	0.	20,380.
CONSULTING FEES	71,513.	0.	0.	71,513.
CONSULTING FEES - PROGRAM	64,210.	0.	0.	64,210.
COMMUNICATIONS SUPPORT - PROGRAM	24,735.	0.	0.	24,735.
INVESTMENT MGMT FEES - HERNDON	45,615.	45,615.	0.	0.
INVESTMENT MGMT FEES - RANGER	44,033.	44,033.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	952,126.	771,288.	0.	180,838.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	135,018.	0.	0.	0.
FOREIGN TAXES	18,732.	18,732.	0.	0.
TO FORM 990-PF, PG 1, LN 18	153,750.	18,732.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	15,814.	0.	0.	15,814.
POSTAGE	4,017.	0.	0.	4,017.
DUES & SUBSCRIPTIONS	31,154.	0.	0.	31,154.
OFFICE EXPENSES	61,740.	0.	0.	61,740.
PROGRAM EXPENSES	180,603.	0.	0.	180,603.
ACCRUAL TO CASH CONVERSION PASSTHROUGH PORTFOLIO	0.	0.	0.	-39,418.
DEDUCTIONS	5,167.	4,161.	0.	0.
TRAINING	1,425.	0.	0.	1,425.
CONVENTIONS AND MEETINGS	5,705.	0.	0.	5,705.
PROFESSIONAL DEVELOPMENT	23,363.	0.	0.	23,363.
EMPLOYEE SEARCH EXPENSE	3,387.	0.	0.	3,387.
TRUSTEE OPERATIONS EXPENSE	31,344.	0.	0.	31,344.
PASSTHROUGH LOSSES - FUND FOR ARKANSAS FUTURE	55,484.	55,484.	0.	0.

PASSTHROUGH LOSSES - DIAMOND				
STATE VENTURES II, L.P.	29,945.	29,945.	0.	0.
COMMITTEE SUPPORT - TRUSTEE	2,933.	0.	0.	2,933.
BANK CHARGES	20.	0.	0.	20.
PASSTHROUGH LOSSES - ATLAS PIPELINE	438.	438.	0.	0.
TO FORM 990-PF, PG 1, LN 23	452,539.	90,028.	0.	322,087.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
-------------	--	-----------	---

DESCRIPTION	AMOUNT
FUND FOR ARKANSAS' FUTURE, LLC	55,871.
CASH VERSUS ACCRUAL BASIS ON INTEREST	250.
TOTAL TO FORM 990-PF, PART III, LINE 3	56,121.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	10
-------------	--	-----------	----

DESCRIPTION	AMOUNT
UNREALIZED GAINS/(LOSSES) ON INVESTMENTS	9,139,680.
DIAMOND STATE VENTURES II, L.P.	25,574.
ATLAS PIPELINE PARTNERS	16,891.
TOTAL TO FORM 990-PF, PART III, LINE 5	9,182,145.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ARTISAN - SEE STATMENT 21	4,074,323.	4,074,323.
KR CAPITAL - SEE STATEMENT 21	15,515,042.	15,515,042.
WASHINGTON - SEE STATEMENT 21	0.	0.
DREIHAUS - SEE STATEMENT 21	0.	0.
GMO INT'L FUND - SEE STATEMENT 21	3,818,625.	3,818,625.
MARVIN & PALMER - SEE STATEMENT 21	7,875,130.	7,875,130.
INTECH - SEE STATEMENT 21	8,355,777.	8,355,777.
ING CLARION REITS - SEE STATEMENT 21	5,880,213.	5,880,213.
ADVISORY RESEARCH EQUITIES - SEE STATEMENT 21	8,130,741.	8,130,741.
HERNDON CAPITAL EQUITIES - SEE STATEMENT 21	10,166,834.	10,166,834.
BLACKROCK EQUITY - SEE STATEMENT 21	11,597,475.	11,597,475.
RANGER EQUITIES - SEE STATEMENT 21	8,778,346.	8,778,346.
TOTAL TO FORM 990-PF, PART II, LINE 10B	84,192,506.	84,192,506.

FORM 990-PF	CORPORATE BONDS	STATEMENT	12
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NTGI BOND FUND - SEE STATEMENT 21	9,689,143.	9,689,143.
PIMCO FIXED INCOME FUND - SEE STATEMENT 21	9,812,359.	9,812,359.
CCM FIXED INCOME FUND - SEE STATEMENT 21	11,886,540.	11,886,540.
 TOTAL TO FORM 990-PF, PART II, LINE 10C	 31,388,042.	 31,388,042.

FORM 990-PF	OTHER ASSETS	STATEMENT	13
-------------	--------------	-----------	----

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
PROGRAM RELATED INVESTMENTS	5,429,837.	5,131,934.	5,131,934.
ACCRUED INTEREST RECEIVABLE	175,125.	187,173.	187,173.
WRCT IN LIEU OF GRANT RECEIVABLE	22,250.	22,250.	22,250.
OTHER ASSETS	-534.	-595.	-595.
GRANTS RECEIVABLE	0.	175,000.	175,000.
 TO FORM 990-PF, PART II, LINE 15	 5,626,678.	 5,515,762.	 5,515,762.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 14
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SHERECE WEST 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	PRESIDENT & CEO 40.00	229,502.	33,342.	0.
ANDREA DOBSON 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	COFO 40.00	179,744.	34,467.	0.
RALPH CHRISTY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.47	0.	0.	0.
RENE LAPORTE 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.62	0.	0.	0.
VICKI SAVIERS 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.97	0.	0.	0.
LISENNE ROCKEFELLER 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	VICE-CHAIRMAN 1.28	0.	0.	0.
CYNTHIA EAST 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.80	0.	0.	0.
J. BAXTER SHARP 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	CHAIR 3.01	0.	0.	0.
WILLIAM J. BYNUM 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.17	0.	0.	0.
DIANA GONZALES WORTHEN 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.63	0.	0.	0.
ANDRE GUERRERO 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.31	0.	0.	0.

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

YVETTE MURPHY-ERBY 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.14	0.	0.	0.
TOYCE NEWTON 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.21	0.	0.	0.
PLEDGER E. MONK, III 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 2.84	0.	0.	0.
PHILLIP N. BALDWIN 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	DIRECTOR 1.71	0.	0.	0.
REGAN GRUBER MOFFITT 225 EAST MARKHAM STREET, STE 200 LITTLE ROCK, AR 72201	BOARD SECRETARY 40.00	93,021.	15,803.	0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

502,267.	83,612.	0.
----------	---------	----

2011 Tax Information Statement

Account Number: 26-73308
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
5580 0000	879664100	TELLABS INC	07/16/2010	03/17/2011	27,853.70	42,176.99	-14,323.29	0.00
620 0000	04544X300	ASSISTED LIVING CONCEPTS INC NEW CL A NEW CL A NEW	Various	03/24/2011	23,633.26	19,103.00	4,530.26	0.00
1020 0000	084423102	BERKLEY W R CORP COM	03/31/2010	03/24/2011	30,898.16	26,753.17	4,144.99	0.00
1320 0000	127097103	CABOT OIL & GAS CORP CL A	03/15/2011	03/24/2011	65,844.82	61,386.34	4,458.48	0.00
3020 0000	254709108	DISCOVER FINL SVCS COM STK	Various	03/24/2011	71,681.94	52,170.92	19,511.02	0.00
2630 0000	307000109	FAMILY DOLLAR STORES INC	Various	03/24/2011	136,439.93	106,153.28	30,286.65	0.00
2950 0000	344849104	FOOT LOCKER INC	Various	03/24/2011	56,527.99	35,275.37	21,252.62	0.00
618 0000	483007704	KAISER ALUM CORP COM PAR \$0.01 COM PAR \$0.01	06/01/2010	03/24/2011	30,312.69	23,297.31	7,015.38	0.00
192 0000	483007704	KAISER ALUM CORP COM PAR \$0.01 COM PAR \$0.01	Various	03/24/2011	9,417.54	9,336.84	80.70	0.00
3020 0000	493267108	KEYCORP NEW	08/02/2010	03/24/2011	25,910.50	26,752.67	-842.17	0.00
2637 0000	608554200	MOLEX INC CLASS A	Various	03/24/2011	53,668.50	49,653.94	4,014.56	0.00
1203 0000	608554200	MOLEX INC CLASS A	Various	03/24/2011	24,483.58	23,198.79	1,284.79	0.00
3590 0000	848574109	SPIRIT AEROSYSTEMS HLDGS INC CL A	Various	03/24/2011	91,640.16	76,696.37	14,943.79	0.00
920 0000	878237106	TECH DATA CORP COM	07/29/2010	03/24/2011	45,192.75	36,365.11	8,827.64	0.00
1320 0000	344849104	FOOT LOCKER INC	08/25/2010	05/31/2011	32,747.25	15,780.99	16,966.26	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation

Statement 15A

Page 1 of 5

Account Number: 26-73308
Recipient's Tax ID Number: XX-XXX5871

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ 2nd TIN notice

TEP149183_23_458 12 of 22

Winthrop Rockefeller Foundation
Statement 15A
Page 2 of 5

2011 Tax Information Statement

Account Number: 26-73308
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
140 0000	04544X300	ASSISTED LIVING CONCEPTS INC NEV NEW CL A NEW CL A NEW	12/16/2008	03/24/2011	5,336.54	3,207.19	2,129.35	0 00
1640 0000	053807103	AVNET, INC	12/17/2008	03/24/2011	54,436.95	28,238.67	26,198.28	0 00
750 0000	127097103	CABOT OIL & GAS CORP CL A	10/27/2009	03/24/2011	37,411.83	31,673.25	5,738.58	0 00
5500 0000	247916208	DENBURY RES INC HLDG CO COM NEW	Various	03/24/2011	130,805.63	52,309.16	78,496.47	0 00
2210 0000	292562105	ENCORE WIRE CORP COM	12/17/2008	03/24/2011	52,427.70	39,287.17	13,140.53	0 00
1610 0000	316773100	FIFTH THIRD BANCORP	01/27/2010	03/24/2011	22,008.11	19,450.25	2,557.86	0 00
3840 0000	46146P102	INVESTORS BANCORP INC COM STK	12/17/2008	03/24/2011	55,254.23	49,587.07	5,667.16	0 00
3810 0000	527288104	LEUCADIA NATIONAL CORP	12/17/2008	03/24/2011	137,853.44	78,849.85	59,003.59	0 00
1720 0000	682680103	ONEOK INC NEW	12/17/2008	03/24/2011	112,568.73	49,491.45	63,077.28	0 00
1620 0000	716495106	PETROHAWK ENERGY CORP	01/07/2010	03/24/2011	36,521.87	42,298.04	-5,776.17	0 00
470 0000	75281A109	RANGE RES CORP	03/09/2010	03/24/2011	25,684.39	24,116.97	1,567.42	0 00
440 0000	754730109	RAYMOND JAMES FINANCIAL INC	08/27/2009	03/24/2011	16,410.89	10,135.97	6,274.92	0 00
610 0000	78440X101	SL GREEN RLTY CORP COM STK	12/16/2008	03/24/2011	43,492.47	13,218.64	30,273.83	0 00
5440 0000	879664100	TELLABS INC	09/25/2009	03/24/2011	28,040.47	36,858.72	-8,818.25	0 00
3190 0000	896522109	TRINITY INDS INC	Various	03/24/2011	109,979.19	49,198.50	60,780.69	0 00
4380 0000	902788108	UMB FINL CORP COM STK	Various	03/24/2011	165,449.56	195,203.87	-29,754.31	0 00
1190 0000	91879Q109	VAIL RESORTS INC COM	Various	03/24/2011	58,894.70	27,929.93	30,964.77	0 00
150 0000	G9618E107	WHITE MOUNTAINS INSURANCE GROUP COM STOCK	12/17/2008	03/24/2011	54,325.64	41,108.55	13,217.09	0 00
420 0000	344849104	FOOT LOCKER INC	08/13/2009	05/31/2011	10,419.58	4,835.17	5,584.41	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15A
 Page 3 of 5

TEP14915.21.07.13.02.22

2011 Tax Information Statement

Account Number: 26-73308
Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
490.0000	682680103	ONEOK INC NEW	12/17/2008	07/11/2011	36,277.72	14,099.31	22,178.41	0.00
470.0000	127097103	CABOT OIL & GAS CORP CL A	Various	07/12/2011	29,907.59	19,524.95	10,382.64	0.00
500.0000	030710107	#REORG/AMERON INTL CORP DEL COM CASH MRGR 10-5-11	12/17/2008	07/28/2011	42,482.48	32,382.60	10,099.88	0.00
360.0000	030710107	#REORG/AMERON INTL CORP DEL COM CASH MRGR 10-5-11	Various	08/04/2011	30,443.78	23,191.92	7,251.86	0.00
870.0000	127097103	CABOT OIL & GAS CORP CL A	Various	08/05/2011	58,272.18	32,059.55	26,212.63	0.00
750.0000	587118100	MENS WEARHOUSE INC	12/17/2008	08/05/2011	21,883.30	10,375.80	11,507.50	0.00
3880.0000	716495106	PETROHAWK ENERGY CORP	Various	08/05/2011	147,767.73	97,696.36	50,071.37	0.00
1870.0000	716495106	PETROHAWK ENERGY CORP	06/21/2010	08/08/2011	70,719.99	40,249.32	30,470.67	0.00
590.0000	030710107	#REORG/AMERON INTL CORP DEL COM CASH MRGR 10-5-11	Various	08/09/2011	49,359.22	35,316.90	14,042.32	0.00
880.0000	030710107	#REORG/AMERON INTL CORP DEL COM CASH MRGR 10-5-11	Various	08/11/2011	73,819.23	44,799.84	29,019.39	0.00
200.0000	127097103	CABOT OIL & GAS CORP CL A	Various	09/21/2011	14,488.44	6,453.18	8,035.26	0.00
820.0000	127097103	CABOT OIL & GAS CORP CL A	07/01/2009	10/21/2011	57,662.44	26,100.19	31,562.25	0.00
560.0000	127097103	CABOT OIL & GAS CORP CL A	Various	11/03/2011	46,158.68	17,596.85	28,561.83	0.00
3064.0000	472319102	JEFFERIES GROUP INC NEW	12/16/2008	11/03/2011	35,051.48	38,660.33	-3,608.85	0.00
5196.0000	472319102	JEFFERIES GROUP INC NEW	Various	11/03/2011	58,668.99	66,512.59	-7,843.60	0.00
1780.0000	127097103	CABOT OIL & GAS CORP CL A	05/25/2010	11/15/2011	67,237.43	24,054.89	43,182.54	0.00
470.0000	125581801	CIT GROUP INC NEW COM NEW COM NEW	10/27/2010	11/17/2011	14,886.96	20,334.93	-5,447.97	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation

Statement 15A

Page 4 of 5

2011 Tax Information Statement

Account Number.
Recipient's Tax ID Number:

26-73308
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1010 0000	682680103	ONEOK INC NEW	Various	12/09/2011	83,609 52	28,855 62	54,753 90	0 00
Total Long Term 15% Sales					2,540,774.63	1,892,423 98	648,350.65	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15A
Page 5 of 5

TEP/4918/23/4916/4/2

2011 Tax Information Statement

Account Number 26-17573
Recipient's Tax ID Number XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Long Term 15% Sales								
84973 1700 362007205		MFO_GMO INTL INSTRINSIC VALUE FD II	09/28/2005	03/28/2011	1,900,000 00	2,606,976.91	-706,976.91	0 00
Total Long Term 15% Sales					1,900,000 00	2,606,976.91	-706,976.91	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15B
Page 1 of 1

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
1895 0000	703481101	PATTERSON-UTI ENERGY INC COM	12/29/2010	01/04/2011	39,703 36	41,434.75	-1,731 39	0 00
3847 0000	703481101	PATTERSON-UTI ENERGY INC COM	12/29/2010	01/05/2011	79,087 98	84,115 81	-5,027.83	0 00
435 0000	125269100	CF INDS HLDGS INC COM	12/29/2010	01/10/2011	58,881 99	58,190 34	691 65	0 00
1577 0000	626717102	MURPHY OIL CORP	12/29/2010	01/31/2011	104,284 22	118,124 08	-13,839.86	0 00
2837 0000	445658107	J B HUNT TRANS SVCS INC	12/29/2010	02/01/2011	117,631 95	115,301 35	2,330 60	0 00
650 0000	411310105	HANSEN NATURAL CORP COM	12/29/2010	02/07/2011	36,338 14	34,136 05	2,202 09	0 00
434 0000	411310105	HANSEN NATURAL CORP COM	12/29/2010	02/08/2011	24,063 22	22,792 38	1,270 84	0 00
2854 0000	401617105	GUESS INC COM	12/29/2010	02/09/2011	130,875 64	134,274 99	-3,399 35	0 00
1842 0000	532457108	ELI LILLY & CO	12/29/2010	02/22/2011	63,331 53	64,801.56	-1,470 03	0 00
2772 0000	611742107	MONSTER WORLDWIDE INC COM	12/29/2010	02/28/2011	47,265 02	65,472 70	-18,207 68	0 00
2839 0000	78442P106	SLM CORPORATION SECURITIES	12/29/2010	02/28/2011	41,949 39	36,256 87	5,692 52	0 00
1462 0000	78442P106	SLM CORPORATION SECURITIES	12/29/2010	03/01/2011	21,159 41	18,671 20	2,488 21	0 00
884 0000	30249U101	FMC TECHNOLOGIES INC COM	12/29/2010	03/15/2011	80,291.46	77,797 83	2,493 63	0 00
1438 0000	62913F201	NII HLDGS INC COM NEW CL B NEW	12/29/2010	03/15/2011	54,583 13	63,326 64	-8,743 51	0 00
1961 0000	20825C104	CONOCOPHILLIPS	12/29/2010	03/21/2011	152,186 36	133,374 47	18,811 89	0 00
795 0000	411310105	HANSEN NATURAL CORP COM	12/29/2010	03/21/2011	43,852 94	41,751 02	2,101 92	0 00
796 0000	411310105	HANSEN NATURAL CORP COM	12/29/2010	03/22/2011	43,690 09	41,803 53	1,886 56	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 1 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
592 0000	315616102	F5 NETWORKS INC	12/29/2010	03/25/2011	56,877 73	79,712 62	-22,834 89	0 00
733 0000	38141G104	GOLDMAN SACHS GROUP INC	12/29/2010	04/04/2011	116,634 04	123,936 59	-7,302 55	0 00
1860 0000	058498106	BALL CORPORATION	12/29/2010	04/05/2011	67,290 15	64,523 77	2,766 38	0 00
1487 0000	448579102	HYATT HOTELS CORP COM CL A COM CL A	12/29/2010	04/05/2011	62,721 64	68,649 73	-5,928 09	0 00
1838 0000	V7780T103	ROYAL CARIBBEAN CRUISES LTD	12/29/2010	04/05/2011	74,526 15	85,806 29	-11,280 14	0 00
286 0000	008252108	AFFILIATED MANAGERS GROUP	12/29/2010	04/11/2011	30,409 30	28,483 57	1,925 73	0 00
3155 0000	78442P106	SLM CORPORATION SECURITIES	12/29/2010	04/11/2011	47,718 77	40,292 51	7,426 26	0 00
377 0000	008252108	AFFILIATED MANAGERS GROUP	12/29/2010	04/12/2011	39,276 65	37,546 52	1,730 13	0 00
3154 0000	78442P106	SLM CORPORATION SECURITIES	12/29/2010	04/12/2011	46,645 18	40,279 73	6,365 45	0 00
383 0000	008252108	AFFILIATED MANAGERS GROUP	12/29/2010	04/13/2011	39,635 75	38,144 08	1,491 67	0 00
382 0000	008252108	AFFILIATED MANAGERS GROUP	12/29/2010	04/14/2011	39,264 75	38,044 49	1,220 26	0 00
2890 0000	032095101	AMPHENOL CORP NEW CL A	12/29/2010	04/14/2011	150,481 71	153,838 75	-3,357 04	0 00
1954 0000	88023U101	TEMPUR-PEDIC INTL INC COM	12/29/2010	04/14/2011	112,558 98	79,508 85	33,050 13	0 00
768 0000	928563402	VMWARE INC CL A COM CL A COM	12/29/2010	04/14/2011	66,079 37	69,241 88	-3,162 51	0 00
972 0000	948626106	WEIGHT WATCHERS INTL INC NEW	04/05/2011	04/14/2011	69,963 51	71,957 65	-1,994 14	0 00
2550 0000	20854P109	CONSOL ENERGY INC COM	12/29/2010	04/19/2011	124,054 86	122,909 75	1,145 11	0 00
2872 0000	565849106	MARATHON OIL CORP	12/29/2010	04/19/2011	146,159 01	105,961 29	40,197 72	0 00
2578 0000	580037109	MCDERMOTT INTL INC COM	Various	04/19/2011	58,501 94	54,756 26	3,745 68	0 00
1724 0000	86764P109	SUNOCO INC	12/29/2010	04/19/2011	71,447 73	69,018 45	2,429 28	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 2 of 16

TEP155003 14 700 12 01 38

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
461 0000	233293109	DPL INC	04/19/2011	04/20/2011	13,855 82	12,721 16	1,134 66	0 00
453 0000	580037109	MCDERMOTT INTL INC COM	01/31/2011	04/20/2011	10,418 53	9,365 73	1,052 80	0 00
2124 0000	580037109	MCDERMOTT INTL INC COM	12/29/2010	04/20/2011	48,849 78	43,286 70	5,563 08	0 00
1724 0000	86764P109	SUNOCO INC	12/29/2010	04/20/2011	72,023 88	69,018 44	3,005 44	0 00
1776 0000	191216100	COCA COLA CO	12/29/2010	04/21/2011	120,168 60	116,361 21	3,807 39	0 00
1870 0000	228368106	CROWN HLDGS INC COM 144A	04/05/2011	04/21/2011	70,519 52	72,256 24	-1,736 72	0 00
5496 0000	219350105	CORNING INC	12/29/2010	04/25/2011	111,384 18	106,457 52	4,926 66	0 00
1884 0000	435758305	HOLLY CORP	12/29/2010	05/02/2011	108,045 51	76,321 22	31,724 29	0 00
261 0000	863236105	STRAYER ED INC COM	Various	05/02/2011	32,204 80	37,516 46	-5,311 66	0 00
498 0000	902681105	UGI CORP NEW COM	12/29/2010	05/02/2011	16,344 90	15,866 28	478 62	0 00
907 0000	G3682E127	FRONTLINE LTD COM	04/11/2011	05/02/2011	19,468 74	21,421 16	-1,952 42	0 00
262 0000	863236105	STRAYER ED INC COM	Various	05/03/2011	32,173 33	34,912 62	-2,739 29	0 00
493 0000	902681105	UGI CORP NEW COM	12/29/2010	05/03/2011	16,148 89	15,706 98	441 91	0 00
921 0000	G3682E127	FRONTLINE LTD COM	04/12/2011	05/03/2011	19,707 09	21,373 28	-1,666 19	0 00
501 0000	902681105	UGI CORP NEW COM	12/29/2010	05/04/2011	16,186 90	15,961 86	225 04	0 00
920 0000	G3682E127	FRONTLINE LTD COM	04/13/2011	05/04/2011	19,284 94	21,349 43	-2,064 49	0 00
572 0000	20605P101	CONCHO RES INC COM STK	02/01/2011	05/05/2011	53,869 29	55,480 63	-1,611 34	0 00
501 0000	902681105	UGI CORP NEW COM	12/29/2010	05/05/2011	16,033 04	15,961 86	71 18	0 00
1852 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	12/29/2010	05/16/2011	137,502 43	136,795 57	706 86	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15C
 Page 3 of 16

2011 Tax Information Statement

Account Number: 26-84432
Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
864 0000	212015101	CONTINENTAL RES INC COM	02/01/2011	05/16/2011	51,647 36	55,923 96	-4,276 60	0 00
1824 0000	366651107	GARTNER INC COM	12/29/2010	05/16/2011	69,455 67	61,133 37	8,322 30	0 00
387 0000	38141G104	GOLDMAN SACHS GROUP INC	12/29/2010	05/16/2011	54,674 45	65,434 46	-10,760 01	0 00
958 0000	478160104	JOHNSON & JOHNSON	01/04/2011	05/16/2011	63,668 70	60,619 46	3,049 24	0 00
1362 0000	478160104	JOHNSON & JOHNSON	12/29/2010	05/16/2011	90,518 55	84,833 12	5,685 43	0 00
6386 0000	595112103	MICRON TECHNOLOGY INC	04/21/2011		67,869 74	74,198 93	-6,329 19	0 00
5012 0000	00130H105	AES CORP	12/29/2010		63,017 17	61,155 93	1,861 24	0 00
1578 0000	30161N101	EXELON CORP	04/20/2011		66,320 96	64,094 57	2,226 39	0 00
1456 0000	H2906T109	GARMIN LTD COMMON STOCK	12/29/2010	05/23/2011	49,675 73	43,951 40	5,724 33	0 00
5012 0000	00130H105	AES CORP	12/29/2010	05/24/2011	62,449 32	61,155 92	1,293 40	0 00
1507 0000	099724106	BORG WARNER AUTOMOTIVE INC	12/29/2010	05/24/2011	100,124 05	108,864 47	-8,740 42	0 00
1456 0000	H2906T109	GARMIN LTD COMMON STOCK	12/29/2010	05/24/2011	48,745 21	43,951 40	4,793 81	0 00
1004 0000	91307C102	UTD THERAPEUTICS CORP DEL COM STK	12/29/2010	05/27/2011	64,977 03	63,523 08	1,453 95	0 00
910 0000	25271C102	DIAMOND OFFSHORE DRILLING INC COM	Various	06/06/2011	63,678 21	70,294 98	-6,616 77	0 00
1317 0000	25490A101	DIRECTV COM CL A COM CL A	05/23/2011	06/06/2011	63,042 25	65,736 47	-2,694 22	0 00
355 0000	29266R108	ENERGIZER HLDGS INC COM	12/29/2010	06/06/2011	25,626 78	26,373 62	-746 84	0 00
295 0000	29266R108	ENERGIZER HLDGS INC COM	12/29/2010	06/07/2011	21,436 36	21,916 11	-479 75	0 00
371 0000	29266R108	ENERGIZER HLDGS INC COM	12/29/2010	06/08/2011	26,260 33	27,562 30	-1,301 97	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
Statement 15C
Page 4 of 16

10/15/2011 14:22:14

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5084.0000	46612J507	JDS UNIPHASE CORP COM PAR \$0 001 COM PAR \$0 001	12/29/2010	06/08/2011	88,567.16	73,310.26	15,256.90	0.00
377.0000	29266R108	ENERGIZER HLDGS INC COM	12/29/2010	06/09/2011	26,547.64	28,008.05	-1,460.41	0.00
377.0000	29266R108	ENERGIZER HLDGS INC COM	12/29/2010	06/10/2011	26,372.37	28,008.04	-1,635.67	0.00
3425.0000	219350105	CORNING INC	12/29/2010	06/13/2011	62,454.36	66,342.25	-3,887.89	0.00
1699.0000	532457108	ELI LILLY & CO	05/16/2011	06/13/2011	63,159.61	66,626.12	-3,466.51	0.00
304.0000	690768403	OWENS ILLINOIS INC COM NEW	12/29/2010	06/13/2011	8,869.51	9,332.71	-463.20	0.00
754.0000	690768403	OWENS ILLINOIS INC COM NEW	05/03/2011	06/13/2011	21,998.73	23,511.61	-1,512.88	0.00
229.0000	863236105	STRAYER ED INC COM	Various	06/13/2011	29,771.02	27,805.83	1,965.19	0.00
1843.0000	92343E102	VERISIGN INC	12/29/2010	06/13/2011	61,366.48	55,409.61	5,956.87	0.00
1058.0000	690768403	OWENS ILLINOIS INC COM NEW	12/29/2010	06/14/2011	31,201.20	32,480.28	-1,279.08	0.00
227.0000	863236105	STRAYER ED INC COM	Various	06/14/2011	28,554.94	26,821.40	1,733.54	0.00
231.0000	863236105	STRAYER ED INC COM	01/10/2011	06/15/2011	28,970.35	27,071.42	1,898.93	0.00
230.0000	863236105	STRAYER ED INC COM	Various	06/16/2011	28,098.77	26,667.84	1,430.93	0.00
4739.0000	35914P105	FRONTIER OIL CORP	Various	07/01/2011	0.00	132,592.22	-132,592.22	0.00
1793.0000	054303102	AVON PRODS INC	12/29/2010	07/05/2011	49,732.74	52,260.57	-2,527.83	0.00
1226.0000	05615F102	BABCOCK & WILCOX CO NEW COM STK	Various	07/05/2011	32,864.74	41,749.27	-8,884.53	0.00
1664.0000	345838106	FOREST LABS INC	12/29/2010	07/05/2011	66,017.43	53,594.78	12,422.65	0.00
978.0000	494368103	KIMBERLY CLARK CORP	06/06/2011	07/05/2011	65,227.43	64,804.63	422.80	0.00
1793.0000	054303102	AVON PRODS INC	12/29/2010	07/06/2011	49,303.68	52,260.57	-2,956.89	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15C
 Page 5 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
913 0000	05615F102	BABCOCK & WILCOX CO NEW COM STK	Various	07/06/2011	24,311 44	30,344 68	-6,033 24	0 00
1664 0000	345838106	FOREST LABS, INC	12/29/2010	07/06/2011	65,261 99	53,594 78	11,667 21	0 00
979 0000	494368103	KIMBERLY CLARK CORP	06/13/2011	07/06/2011	65,143 46	63,752 48	1,390 98	0 00
1925 0000	05615F102	BABCOCK & WILCOX CO NEW COM STK	Various	07/07/2011	51,128 94	60,329 81	-9,200 87	0 00
1060 0000	05615F102	BABCOCK & WILCOX CO NEW COM STK	12/29/2010	07/07/2011	28,154 12	26,987 18	1,166 94	0 00
1443 0000	302182100	EXPRESS SCRIPTS INC CL A	12/29/2010	07/08/2011	78,390 48	78,580 73	-190 25	0 00
2122 0000	086516101	BEST BUY INC	Various	07/14/2011	63,236 50	68,179 05	-4,942 55	0 00
1163 0000	19122T109	COCA-COLA ENTERPRISES INC NEW COM	05/02/2011	07/14/2011	32,837 37	33,433 81	-596 44	0 00
859 0000	25271C102	DIAMOND OFFSHORE DRILLING INC COM	05/16/2011	07/14/2011	58,733 17	60,704 50	-1,971 33	0 00
1450 0000	49460W208	#REORG/KINETIC CONCEPTS INC COM NEW COM NEW CASH MERGER 11/07/2011	12/29/2010	07/14/2011	98,921 88	60,645 82	38,276 06	0 00
1163 0000	19122T109	COCA-COLA ENTERPRISES INC NEW COM	Various	07/15/2011	32,720 49	33,433 30	-712 81	0 00
1998 0000	49460W208	#REORG/KINETIC CONCEPTS INC COM NEW COM NEW CASH MERGER 11/07/2011	12/29/2010	07/15/2011	136,114 13	83,565 75	52,548 38	0 00
11429 0000	682189105	ON SEMICONDUCTOR CORP COM	Various	07/25/2011	103,869 31	128,751 18	-24,881 87	0 00
4306 0000	G7945M107	SEAGATE TECHNOLOGY PLC COM USD0 00001	04/14/2011	07/25/2011	63,208 28	73,242 48	-10,034 20	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 6 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1241 0000	690768403	OWENS ILLINOIS INC.COM NEW	12/29/2010	07/27/2011	32,055 15	38,098 33	-6,043 18	0 00
9300	436106108	HOLLYFRONTIER CORP.COM	07/25/2011	07/28/2011	67 62	70 23	-2 61	0 00
205 0000	690768403	OWENS ILLINOIS INC.COM NEW	12/29/2010	07/28/2011	4,933 82	6,293 44	-1,359 62	0 00
1035 0000	690768403	OWENS ILLINOIS INC.COM NEW	05/02/2011	07/28/2011	24,909 80	31,691 90	-6,782 10	0 00
2212 0000	086516101	BEST BUY INC	06/13/2011	08/09/2011	54,049 84	64,028 99	-9,979 15	0 00
1139 0000	19122T109	COCA-COLA ENTERPRISES INC NEW COM	Various	08/09/2011	28,261 80	32,070 28	-3,808 48	0 00
710 0000	194162103	COLGATE PALMOLIVE CO	05/16/2011	08/09/2011	58,041 74	61,325 82	-3,284 08	0 00
6616 0000	421933102	HEALTH MANAGEMENT ASSOC INC CLASS A	05/16/2011	08/09/2011	46,020 67	73,624 83	-27,604 16	0 00
1873 0000	58933Y105	MERCK & CO INC	07/14/2011	08/09/2011	57,008 90	68,248 56	-11,239 66	0 00
825 0000	778296103	ROSS STORES INC	06/13/2011	08/09/2011	57,521 44	63,134 94	-5,613 50	0 00
1549 0000	913903100	UNIVERSAL HEALTH SVCS INC CLASS B	12/29/2010	08/09/2011	57,393 83	65,956 42	-8,562 59	0 00
910 0000	G94368100	WARNER CHILCOTT PLC.COM USD0 01	07/15/2011	08/09/2011	15,880 74	21,746 00	-5,865 26	0 00
1138 0000	19122T109	COCA-COLA ENTERPRISES INC NEW COM	04/19/2011	08/10/2011	28,352 61	31,643 80	-3,291 19	0 00
924 0000	G94368100	WARNER CHILCOTT PLC.COM USD0 01	Various	08/10/2011	15,434 84	22,070 95	-6,636 11	0 00
924 0000	G94368100	WARNER CHILCOTT PLC.COM USD0 01	07/14/2011	08/11/2011	15,786 88	22,061 05	-6,274 17	0 00
800 0000	481165108	JOY GLOBAL INC	Various	08/22/2011	56,472 27	74,734 17	-18,261 90	0 00
5094 0000	049513104	ATEL CORP.COM	03/25/2011	08/26/2011	45,468 67	68,870 88	-23,402 21	0 00
992 0000	057224107	BAKER HUGHES INC	03/15/2011	08/26/2011	55,721 94	70,116 05	-14,394 11	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 7 of 16

179155000, 14, 705 17 of 38

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1239.0000	406216101	HALLIBURTON CO	12/29/2010	08/26/2011	51,263.87	49,663.33	1,600.54	0.00
801.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	07/25/2011	08/26/2011	59,754.41	76,145.70	-16,391.29	0.00
1594.0000	92342Y109	VERIFONE SYSTEMS INC COM	06/30/2011	08/26/2011	52,531.65	71,667.52	-19,135.87	0.00
1376.0000	92927K102	WABCO HLDGS INC COM STK	12/29/2010	08/26/2011	59,682.57	83,399.22	-23,716.65	0.00
347.0000	025816109	AMERICAN EXPRESS CO	01/04/2011	08/29/2011	16,867.83	15,299.51	1,568.32	0.00
882.0000	025816109	AMERICAN EXPRESS CO	12/29/2010	08/29/2011	42,874.43	37,755.86	5,118.57	0.00
704.0000	93317Q105	WALTER INDS INC	12/29/2010	08/31/2011	57,979.40	83,910.60	-25,931.20	0.00
344.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/06/2011	13,468.82	12,889.92	578.90	0.00
477.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/07/2011	19,523.85	17,873.52	1,650.33	0.00
773.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/08/2011	31,947.55	28,964.85	2,982.70	0.00
773.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/09/2011	31,081.65	28,964.85	2,116.80	0.00
531.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/12/2011	20,904.79	19,896.95	1,007.84	0.00
542.0000	050095108	ATWOOD OCEANICS INC	12/29/2010	09/13/2011	21,467.39	20,309.12	1,158.27	0.00
1956.0000	025816109	AMERICAN EXPRESS CO	12/29/2010	09/19/2011	94,928.53	83,730.69	11,197.84	0.00
1962.0000	219350105	CORNING INC	Various	09/19/2011	26,292.06	36,077.49	-9,785.43	0.00
2426.0000	219350105	CORNING INC	12/29/2010	09/19/2011	32,509.95	46,991.62	-14,481.67	0.00
1701.0000	406216101	HALLIBURTON CO	12/29/2010	09/19/2011	65,262.88	68,181.87	-2,918.99	0.00
2062.0000	436106108	HOLLYFRONTIER CORP COM	Various	09/19/2011	66,036.14	77,840.99	-11,804.85	0.00
2565.0000	713448108	PEPSICO INC	Various	09/19/2011	154,659.08	168,219.12	-13,560.04	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 8 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
277 0000	64110L106	NETFLIX INC COM STK	02/28/2011	09/20/2011	37,233 84	56,811 95	-19,578 11	0 00
6066 0000	580037109	MCDERMOTT INTL INC COM	Various	09/22/2011	69,562 93	108,122.35	-38,559 42	0 00
999.0000	93317Q105	WALTER INDS INC	12/29/2010	09/22/2011	61,941 30	119,072 01	-57,130 71	0 00
1116 0000	002824100	ABBOTT LABORATORIES	07/14/2011	09/26/2011	56,386 82	59,398 54	-3,011 72	0 00
1312 0000	002824100	ABBOTT LABORATORIES	Various	10/03/2011	66,288 31	67,909.81	-1,621 50	0 00
939 0000	713448108	PEPSICO INC	01/31/2011	10/03/2011	56,938 08	60,397 98	-3,459 90	0 00
1378 0000	713448108	PEPSICO INC	12/29/2010	10/03/2011	83,557 70	90,372 68	-6,814 98	0 00
989 0000	690768403	OWENS ILLINOIS INC COM NEW	Various	10/10/2011	15,831 80	28,008 76	-12,176 96	0 00
1155 0000	988498101	YUM! BRANDS INC COM	09/19/2011	10/10/2011	58,470 75	62,652 05	-4,181 30	0 00
991 0000	690768403	OWENS ILLINOIS INC COM NEW	Various	10/11/2011	15,761 35	26,236 48	-10,475 13	0 00
991 0000	690768403	OWENS ILLINOIS INC COM NEW	07/05/2011	10/12/2011	16,392 80	26,044.37	-9,651 57	0 00
216.0000	001055102	AFLAC INC	12/29/2010	10/14/2011	8,814 92	12,268 52	-3,453 60	0 00
85.0000	002896207	ABERCROMBIE & FITCH CO CL A	05/16/2011	10/14/2011	5,920 13	6,200 89	-280 76	0 00
190 0000	015351109	ALEXION PHARMACEUTICALS INC	12/29/2010	10/14/2011	12,687 29	7,704 82	4,982 47	0 00
138 0000	021441100	ALTERA CORP	04/21/2011	10/14/2011	4,847 95	6,345 88	-1,497 93	0 00
485 0000	02209S103	ALTRIA GROUP INC	09/19/2011	10/14/2011	13,360 62	12,992 23	368 39	0 00
218 0000	02209S103	ALTRIA GROUP INC	09/19/2011	10/14/2011	6,010 25	5,839 81	170 44	0 00
76 0000	023135106	AMAZON COM INC	12/29/2010	10/14/2011	18,339 96	13,773 65	4,566 31	0 00
468 0000	02503X105	AMERICAN CAP AGY CORP COM REIT FUND	07/06/2011	10/14/2011	12,871 76	14,135 33	-1,263 57	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15C
 Page 9 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
609 0000	035710409	ANNALY MTG MGMT INC COM	03/21/2011	10/14/2011	9,724 02	10,981 43	-1,257 41	0 00
61 0000	037411105	APACHE CORP	10/03/2011	10/14/2011	5,453 90	4,747 43	706 47	0 00
445 0000	03748R101	APT INVT & MGMT CO CL A	06/08/2011	10/14/2011	10,186 34	11,574 94	-1,388 60	0 00
35 0000	037833100	APPLE COMPUTER INC	07/06/2011	10/14/2011	14,577 22	12,275 03	2,302 19	0 00
78 0000	037833100	APPLE COMPUTER INC	07/06/2011	10/14/2011	32,486 37	27,355 79	5,130 58	0 00
575 0000	049513104	ATMEL CORP COM	09/19/2011	10/14/2011	5,770 18	5,911 23	-141 05	0 00
252 0000	054303102	AVON PRODS INC	10/11/2011	10/14/2011	5,587 23	5,333 08	254 15	0 00
182 0000	057224107	BAKER HUGHES INC	09/19/2011	10/14/2011	10,055 48	10,473 75	-418 27	0 00
81 0000	097023105	BOEING CO	05/24/2011	10/14/2011	5,145 83	6,120 78	-974 95	0 00
65 0000	125269100	CF INDS HLDGS INC COM	12/29/2010	10/14/2011	9,556 11	8,695 11	861 00	0 00
124 0000	149123101	CATERPILLAR INC	12/29/2010	10/14/2011	10,258 32	11,648 52	-1,390 20	0 00
137 0000	150870103	CELANESE CORP DEL COM SER A STK	04/05/2011	10/14/2011	5,427 90	6,390 58	-962 68	0 00
112 0000	166764100	CHEVRONTEXACO CORP	04/14/2011	10/14/2011	11,142 66	11,744 87	-602 21	0 00
168 0000	166764100	CHEVRONTEXACO CORP	04/14/2011	10/14/2011	16,717 48	17,617 30	-899 82	0 00
2861 0000	16934Q109	CHIMERA INVT CORP COM STK	04/05/2011	10/14/2011	8,024 66	11,583 05	-3,558 39	0 00
65 0000	18683K101	CLIFFS NAT RES INC COM STK	04/26/2011	10/14/2011	4,159 27	6,383 98	-2,224 71	0 00
206 0000	18683K101	CLIFFS NAT RES INC COM STK	04/26/2011	10/14/2011	13,180 30	20,232 31	-7,052 01	0 00
263 0000	189754104	COACH INC	12/29/2010	10/14/2011	16,193 59	14,672 03	1,521 56	0 00
124 0000	189754104	COACH INC	12/29/2010	10/14/2011	7,626 04	6,917 61	708 43	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15C
 Page 10 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
85 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	09/28/2011	10/14/2011	6,098.63	5,607.41	491.22	0.00
105 0000	194162103	COLGATE PALMOLIVE CO	09/07/2011	10/14/2011	9,651.41	9,556.99	94.42	0.00
151 0000	194162103	COLGATE PALMOLIVE CO	09/07/2011	10/14/2011	13,899.30	13,743.85	155.45	0.00
894 0000	219350105	CORNING INC	07/06/2011	10/14/2011	12,163.26	16,367.53	-4,204.27	0.00
111 0000	231021106	CUMMINS ENGINE INC	12/29/2010	10/14/2011	10,672.66	12,264.35	-1,591.69	0.00
60 0000	231021106	CUMMINS ENGINE INC	12/29/2010	10/14/2011	5,763.48	6,629.38	-865.90	0.00
172 0000	25271C102	DIAMOND OFFSHORE DRILLING INC COM	08/29/2011	10/14/2011	10,195.24	10,941.44	-746.20	0.00
224 0000	25490A101	DIRECTV COM CL A COM CL A	12/29/2010	10/14/2011	10,501.02	9,011.39	1,489.63	0.00
144 0000	278058102	EATON CORP	12/29/2010	10/14/2011	6,070.93	7,315.12	-1,244.19	0.00
216 0000	278265103	EATON VANCE CORP	12/29/2010	10/14/2011	5,158.09	6,641.85	-1,483.76	0.00
398 0000	278265103	EATON VANCE CORP	12/29/2010	10/14/2011	9,494.10	12,238.22	-2,744.12	0.00
153 0000	29264F205	ENDO PHARMACEUTICALS HLDGS INC COM	05/27/2011	10/14/2011	4,505.84	6,346.87	-1,841.03	0.00
385 0000	29264F205	ENDO PHARMACEUTICALS HLDGS INC COM	05/27/2011	10/14/2011	11,347.73	15,970.88	-4,623.15	0.00
67 0000	29444U502	EQUINIX INC COM NEW COM NEW	04/21/2011	10/14/2011	6,497.53	6,272.13	225.40	0.00
123 0000	302182100	EXPRESS SCRIPTS INC CL A	12/29/2010	10/14/2011	4,963.47	6,698.15	-1,734.68	0.00
127 0000	302182100	EXPRESS SCRIPTS INC CL A	12/29/2010	10/14/2011	5,116.73	6,915.98	-1,799.25	0.00
257 0000	30231G102	EXXON MOBIL CORP	04/14/2011	10/14/2011	19,935.23	21,454.08	-1,518.85	0.00
245 0000	30231G102	EXXON MOBIL CORP	04/14/2011	10/14/2011	18,995.88	20,452.33	-1,456.45	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 11 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
488 0000	314211103	FEDERATED INVS INC PA CL B	01/05/2011	10/14/2011	8,746 30	12,976 85	-4,230 55	0 00
110 0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	12/29/2010	10/14/2011	3,995 62	6,547 39	-2,551 77	0 00
137 0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	12/29/2010	10/14/2011	4,974 44	8,154 48	-3,180 04	0 00
155 0000	375558103	GILEAD SCIENCES INC	05/16/2011	10/14/2011	6,249 55	6,307 99	-58 44	0 00
277 0000	375558103	GILEAD SCIENCES INC	05/16/2011	10/14/2011	11,173 43	11,272 99	-99 56	0 00
11 0000	38259P508	GOOGLE INC CL A	08/29/2011	10/14/2011	6,510 77	5,918 39	592 38	0 00
148 0000	393122106	GREEN MTN COFFEE ROASTERS	12/29/2010	10/14/2011	13,606 04	4,764 12	8,841 92	0 00
97 0000	427866108	HERSHEY FOODS CORP	08/26/2011	10/14/2011	5,815 03	5,547 55	267 48	0 00
397 0000	436106108	HOLLYFRONTIER CORP COM	05/23/2011	10/14/2011	13,350 25	11,904 00	1,446 25	0 00
641 0000	458140100	INTEL CORP	12/29/2010	10/14/2011	14,993 02	13,489 85	1,503 17	0 00
116 0000	459200101	INTL BUSINESS MACHINES CORP	04/14/2011	10/14/2011	21,855 37	19,117 55	2,737 82	0 00
69 0000	459200101	INTL BUSINESS MACHINES CORP	04/14/2011	10/14/2011	13,026 94	11,371 65	1,655 29	0 00
57 0000	481165108	JOY GLOBAL INC	08/09/2011	10/14/2011	4,438 71	4,312 77	125 94	0 00
235 0000	485170302	KANSAS CITY SOUTHERN INDS INC NEW	12/29/2010	10/14/2011	13,552 51	11,284 44	2,268 07	0 00
166 0000	487836108	KELLOGG CO	05/16/2011	10/14/2011	9,043 58	9,517 91	-474 33	0 00
171 0000	487836108	KELLOGG CO	05/16/2011	10/14/2011	9,310 77	9,804 59	-493 82	0 00
165 0000	532457108	ELI LILLY & CO	05/23/2011	10/14/2011	6,319 39	6,339 25	-19 86	0 00
242 0000	532457108	ELI LILLY & CO	Various	10/14/2011	9,257 65	9,453 43	-195 78	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15C
 Page 12 of 16

15P155688 14 770 22 of 36

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
147.0000	539830109	LOCKHEED MARTIN CORP	06/13/2011	10/14/2011	11,197.48	11,563.53	-366.05	0.00
432.0000	565849106	MARATHON OIL CORP	09/19/2011	10/14/2011	10,420.97	10,807.78	-386.81	0.00
20.0000	57636Q104	MASTERCARD INC CL A	12/29/2010	10/14/2011	6,806.86	4,515.60	2,291.26	0.00
648.0000	594918104	MICROSOFT CORP COM	12/29/2010	10/14/2011	17,632.06	18,228.11	-596.05	0.00
525.0000	594918104	MICROSOFT CORP COM	12/29/2010	10/14/2011	14,324.98	14,768.15	-443.17	0.00
492.0000	68389X105	ORACLE CORPORATION	04/14/2011	10/14/2011	15,483.19	16,586.65	-1,103.46	0.00
268.0000	703481101	PATTERSON-UTI ENERGY INC COM	12/29/2010	10/14/2011	4,982.15	5,859.90	-877.75	0.00
211.0000	718172109	PHILIP MORRIS INTERNATIONAL	12/29/2010	10/14/2011	14,185.36	12,380.19	1,805.17	0.00
180.0000	718172109	PHILIP MORRIS INTERNATIONAL	12/29/2010	10/14/2011	12,086.76	10,561.30	1,525.46	0.00
26.0000	741503403	PRICELINE COM INC COM	12/29/2010	10/14/2011	12,894.71	10,496.54	2,398.17	0.00
734.0000	749660106	RPC INC COM	08/31/2011	10/14/2011	14,068.52	19,010.30	-4,941.78	0.00
71.0000	778296103	ROSS STORES INC	08/22/2011	10/14/2011	5,984.47	5,040.96	943.51	0.00
357.0000	872540109	TJX COS INC NEW	12/29/2010	10/14/2011	20,431.85	15,982.89	4,448.96	0.00
108.0000	88579Y101	3M CO	12/29/2010	10/14/2011	8,478.91	9,376.48	-897.57	0.00
96.0000	904311107	UNDER ARMOUR INC CL A	08/09/2011	10/14/2011	7,092.34	5,664.94	1,427.40	0.00
87.0000	911312106	UNITED PARCEL SERVICE INC CL B	05/02/2011	10/14/2011	5,961.13	6,518.28	-557.15	0.00
361.0000	930059100	WADDELL & REED FINL INC CL A	12/29/2010	10/14/2011	9,070.74	13,050.19	-3,979.45	0.00
178.0000	941848103	WATERS CORP	05/16/2011	10/14/2011	13,755.52	17,329.90	-3,574.38	0.00
65.0000	941848103	WATERS CORP	05/16/2011	10/14/2011	5,023.10	6,328.33	-1,305.23	0.00
346.0000	958102105	WESTERN DIGITAL CORP COM	12/29/2010	10/14/2011	9,809.36	11,635.77	-1,826.41	0.00

This is important tax information and is being furnished to you.



Winthrop Rockefeller Foundation
 Statement 15C
 Page 13 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1107 0000	97381W104	WINDSTREAM CORP COM STK	12/29/2010	10/14/2011	13,025 48	15,506 08	-2,480 60	0 00
109 0000	988498101	YUM! BRANDS INC COM	04/19/2011	10/14/2011	5,646 20	5,515 87	130 33	0 00
122 0000	988498101	YUM! BRANDS INC COM	Various	10/14/2011	6,323 00	6,515 88	-192 88	0 00
191 0000	G1151C101	ACCENTURE PLC SHS CL A NEW	07/06/2011	10/14/2011	11,158 00	11,817 07	-659 07	0 00
202 0000	G1151C101	ACCENTURE PLC SHS CL A NEW	07/06/2011	10/14/2011	11,804 85	12,497 64	-692 79	0 00
157 0000	G4412G101	HERBALIFE LTD COM STK	03/22/2011	10/14/2011	9,036 77	6,302 13	2,734 64	0 00
284 0000	G4412G101	HERBALIFE LTD COM STK	03/22/2011	10/14/2011	16,331 36	11,400 03	4,931 33	0 00
58 0000	N22717107	CORE LABORATORIES NV NLG0 03	06/14/2011	10/14/2011	5,978 52	6,043 43	-64 91	0 00
117 0000	N22717107	CORE LABORATORIES NV NLG0 03	06/14/2011	10/14/2011	12,048 42	12,191 06	-142 64	0 00
82 0000	P31076105	COPA HOLDINGS SA COM STK	09/19/2011	10/14/2011	5,488 97	5,745 63	-256 66	0 00
279 0000	P31076105	COPA HOLDINGS SA COM STK	09/19/2011	10/14/2011	18,688 85	19,549 14	-860 29	0 00
4057 0000	219350105	CORNING INC	Various	10/17/2011	53,163 52	59,724 84	-6,561 32	0 00
597 0000	481165108	JOY GLOBAL INC	08/09/2011	10/17/2011	45,247 13	45,170 63	76 50	0 00
566 0000	749660106	RPC INC COM	Various	10/17/2011	10,382 90	14,301 65	-3,918 75	0 00
566 0000	749660106	RPC INC COM	Various	10/18/2011	10,380 92	14,126 63	-3,745 71	0 00
565 0000	749660106	RPC INC COM	08/29/2011	10/19/2011	10,208 39	13,889 11	-3,680 72	0 00
566 0000	749660106	RPC INC COM	Various	10/20/2011	9,900 16	13,072 06	-3,171 90	0 00
566 0000	749660106	RPC INC COM	Various	10/21/2011	9,982 91	12,738 97	-2,756 06	0 00
2256 0000	278265103	EATON VANCE CORP	Various	10/24/2011	56,463 21	69,370 42	-12,907 21	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 14 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
385 0000	29264F205	ENDO PHARMACEUTICALS HLDGS INC COM	12/29/2010	10/24/2011	11,190 15	13,638 24	-2,448 09	0 00
1219 0000	29264F205	ENDO PHARMACEUTICALS HLDGS INC COM	05/27/2011	10/24/2011	35,430 65	50,567 53	-15,136 88	0 00
1157 0000	302182100	EXPRESS SCRIPTS INC CL A	12/29/2010	10/24/2011	46,766 78	63,006 17	-16,239 39	0 00
127 0000	302182100	EXPRESS SCRIPTS INC CL A	10/10/2011	10/24/2011	5,133 43	5,194 54	-61 11	0 00
1547 0000	393122106	GREEN MTN COFFEE ROASTERS	12/29/2010	10/24/2011	111,715 54	49,797 93	61,917 61	0 00
2805 0000	703481101	PATTERSON-UTI ENERGY INC COM	Various	10/24/2011	55,675 65	61,332 16	-5,656 51	0 00
486 0000	749660106	RPC INC COM	09/19/2011	10/24/2011	8,691 02	10,884 17	-2,193 15	0 00
503 0000	941848103	WATERS CORP	05/16/2011	10/24/2011	41,752 07	48,971 58	-7,219 51	0 00
178 0000	941848103	WATERS CORP	12/29/2010	10/24/2011	14,775 08	14,052 37	722 71	0 00
875 0000	749660106	RPC INC COM	Various	10/25/2011	15,356 04	19,347 96	-3,991 92	0 00
526 0000	023135106	AMAZON COM INC	12/29/2010	10/26/2011	106,843 48	95,328 19	11,515 29	0 00
934 0000	749660106	RPC INC COM	Various	10/26/2011	15,959 04	19,241 33	-3,282 29	0 00
1365 0000	749660106	RPC INC COM	Various	10/27/2011	25,222 12	25,581 00	-358 88	0 00
1199 0000	749660106	RPC INC COM	Various	10/28/2011	22,456 23	21,705 51	750 72	0 00
2535 0000	532457108	ELI LILLY & CO	Various	10/31/2011	94,954 45	97,238 72	-2,284 27	0 00
6713 0000	458140100	INTEL CORP	12/29/2010	11/02/2011	159,250 77	141,275 08	17,975 69	0 00
1134 0000	88579Y101	3M CO	12/29/2010	11/07/2011	89,707 32	98,453 09	-8,745 77	0 00
978 0000	G1151C101	ACCENTURE PLC SHS CL A NEW	Various	11/07/2011	56,550 98	59,957 87	-3,406 89	0 00
167 0000	037833100	APPLE COMPUTER INC	Various	11/11/2011	64,662 19	58,408 16	6,254 03	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 15 of 16

2011 Tax Information Statement

Account Number: 26-84432
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
171 0000	487836108	KELLOGG CO	12/29/2010	11/11/2011	8,492.94	8,766.09	-273.15	0.00
1566 0000	487836108	KELLOGG CO	Various	11/11/2011	77,777.49	88,761.47	-10,983.98	0.00
2590 0000	054303102	AVON PRODS INC	Various	11/14/2011	46,175.17	57,577.52	-11,402.35	0.00
1122 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	Various	11/14/2011	19,011.36	20,789.16	-1,777.80	0.00
2550 0000	054303102	AVON PRODS INC	Various	11/15/2011	44,728.95	53,294.90	-8,565.95	0.00
1106 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	Various	11/15/2011	18,412.22	19,911.74	-1,499.52	0.00
1106 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	11/09/2011	11/16/2011	17,830.25	19,562.70	-1,732.45	0.00
1105 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	Various	11/17/2011	17,098.33	19,390.03	-2,291.70	0.00
892 0000	002896207	ABERCROMBIE & FITCH CO CL A	05/16/2011	11/18/2011	42,925.97	65,072.92	-22,146.95	0.00
1106 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	Various	11/18/2011	16,909.86	19,343.07	-2,433.21	0.00
1106 0000	G94368100	WARNER CHILCOTT PLC COM USD0 01	Various	11/21/2011	16,323.24	19,066.19	-2,742.95	0.00
1130 0000	436106108	HOLLYFRONTIER CORP COM	05/23/2011	12/05/2011	27,319.59	33,882.91	-6,563.32	0.00
1131 0000	436106108	HOLLYFRONTIER CORP COM	Various	12/06/2011	26,668.23	33,162.95	-6,494.72	0.00
1624 0000	375558103	GILEAD SCIENCES INC	Various	12/09/2011	63,348.58	66,039.14	-2,690.56	0.00
6023 0000	049513104	ATMEL CORP COM	09/19/2011	12/12/2011	51,650.44	61,918.85	-10,268.41	0.00
1510 0000	278058102	EATON CORP	12/29/2010	12/12/2011	65,215.04	76,707.17	-11,492.13	0.00
6784 0000	594918104	MICROSOFT CORP COM	Various	12/12/2011	172,372.69	190,832.56	-18,459.87	0.00
911 0000	911312106	UNITED PARCEL SERVICE INC CL B	05/02/2011	12/12/2011	65,175.87	68,254.67	-3,078.80	0.00
Total Short Term Sales					11,280,550.81	11,885,828.41	-605,277.60	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15C
 Page 16 of 16

2011 Tax Information Statement

Account Number: 26-30657
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Long Term 15% Sales								
215 7800	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	06/30/2011	3,893 98	2,826.56	1,067 42	0 00
211 9700	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	07/29/2011	3,762 62	2,776 66	985 96	0 00
234.5600	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	08/31/2011	3,931 19	3,072 57	858 62	0 00
225 6100	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	09/30/2011	3,462 64	2,955 33	507 31	0 00
30453.6500	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	10/17/2011	500,000.00	398,921.16	101,078 84	0 00
202 8000	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	10/31/2011	3,451 28	2,656 54	794 74	0.00
200 4700	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	11/30/2011	3,398 16	2,626.01	772 15	0.00
197 9100	471994947	CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH	04/01/2005	12/30/2011	3,350 40	2,592 48	757 92	0 00
Total Long Term 15% Sales					525,250.27	418,427.31	106,822.96 ✓	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation

Statement 15D

Page 1 of 1

2011 Tax Information Statement

Account Number: 26-24178
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
2300 0000	143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]	03/01/2011	03/24/2011	88,977 70	93,759.73	-4,782 03	0 00
800 0000	450911102	ITT INDS INC	03/01/2011	03/24/2011	46,421 26	45,634 08	787 18	0 00
1600 0000	500458401	ADR KOMATSU LTD SPONSORED ISIN US5004584018	Various	03/24/2011	53,281 37	49,689 24	3,592 13	0 00
700 0000	963320106	WHIRLPOOL CORP	11/18/2010	03/24/2011	56,165 10	53,429 04	2,736 06	0 00
5700 0000	984121103	XEROX CORP	12/21/2010	03/24/2011	58,311 58	67,861.35	-9,549 77	0 00
1300 0000	G47791101	INGERSOLL-RAND PLC COM STK	10/13/2010	03/24/2011	61,554 72	50,902 67	10,652 05	0 00
5100 0000	963320106	WHIRLPOOL CORP	Various	10/28/2011	270,221 36	386,917 52	-116,696 16	0 00
300 0000	963320106	WHIRLPOOL CORP	10/19/2011	10/28/2011	15,895 37	16,799 40	-904 03	0 00
Total Short Term Sales					650,828 46	764,993 03	-114,164 57	0 00
Long Term 15% Sales								
1700 0000	74834L100	QUEST DIAGNOSTICS INC	Various	01/26/2011	97,913.69	83,455 61	14,458 08	0 00
7000 0000	126650100	CVS CORP	Various	02/09/2011	231,861 44	198,558 70	33,302 74	0 00
4200 0000	126650100	CVS CORP	Various	02/10/2011	138,774 99	118,049 99	20,725 00	0 00
1400 0000	126650100	CVS CORP	Various	02/11/2011	46,152 64	38,956 88	7,195 76	0 00
1400 0000	126650100	CVS CORP	Various	02/14/2011	45,844 07	38,358 45	7,485 62	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15E
 Page 1 of 4

2011 Tax Information Statement

Account Number: 26-24178
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5200 0000	126650100	CVS CORP	Various	02/15/2011	170,862 47	138,027 00	32,835 47	0 00
400 0000	74834L100	QUEST DIAGNOSTICS INC	05/09/2007	03/01/2011	22,473 32	19,630 08	2,843 24	0 00
700 0000	278058102	EATON CORP	12/29/2003	03/02/2011	38,147 79	18,895 64	19,252 15	0 00
700 0000	278058102	EATON CORP	12/29/2003	03/03/2011	38,675 86	18,895 64	19,780 22	0 00
2100 0000	000375204	ABB LTD SPONSORED ADR	02/25/2008	03/24/2011	49,789 41	50,298 36	-508 95	0 00
1900 0000	00846U101	AGILENT TECHNOLOGIES INC	08/04/2005	03/24/2011	82,697 62	47,521 65	35,175 97	0 00
500 0000	009158106	AIR PRODUCTS & CHEMICALS INC COM	02/05/2010	03/24/2011	44,275 24	34,050 40	10,224 84	0 00
1100 0000	01741R102	ALLEGHENY TECHNOLOGIES INC	Various	03/24/2011	70,241 46	77,061 02	-6,819 56	0 00
500 0000	037411105	APACHE CORP	06/07/2006	03/24/2011	61,775 31	30,883 60	30,891 71	0 00
900 0000	053015103	AUTOMATIC DATA PROCESSING INC	Various	03/24/2011	44,676 58	38,082 18	6,594 40	0 00
500 0000	057224107	BAKER HUGHES INC	01/31/2008	03/24/2011	35,390 22	32,538 70	2,851 52	0 00
1700 0000	060505104	BK AMER CORP COM	12/26/2003	03/24/2011	22,707 99	67,194 20	-44,486 21	0 00
1500 0000	071813109	BAXTER INTL INC	03/18/2009	03/24/2011	78,474 74	77,847 15	627 59	0 00
3000 0000	13342B105	CAMERON INT'L CORP COM	Various	03/24/2011	173,137 77	51,624 83	121,512 94	0 00
1200 0000	254687106	WALT DISNEY CO	Various	03/24/2011	50,935 78	22,667 58	28,268 20	0 00
1200 0000	278058102	EATON CORP	12/29/2003	03/24/2011	62,754 59	32,392 53	30,362 06	0 00
700 0000	38141G104	GOLDMAN SACHS GROUP INC	Various	03/24/2011	111,363 80	63,202 06	48,161 74	0 00
1700 0000	428236103	HEWLETT PACKARD CO COM	06/13/2005	03/24/2011	72,189 45	40,619 46	31,569 99	0 00
1200 0000	469814107	JACOBS ENGR GROUP INC	07/28/2009	03/24/2011	57,477 09	48,383 52	9,093 57	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15E
 Page 2 of 4

2011 Tax Information Statement

Account Number 26-24178
 Recipient's Tax ID Number XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
600 0000	539830109	LOCKHEED MARTIN CORP	Various	03/24/2011	48,704 30	45,073 36	3,630 94	0 00
2500 0000	55616P104	MACYS INC COM STK	Various	03/24/2011	57,519 14	88,661 51	-31,142 37	0 00
1500 0000	59156R108	METLIFE INC	01/21/2010	03/24/2011	66,494 32	55,990 05	10,504 27	0 00
1700 0000	594918104	MICROSOFT CORP COM	06/16/2004	03/24/2011	43,543 98	46,355 94	-2,811 96	0 00
700 0000	61166W101	MONSANTO CO COM	Various	03/24/2011	49,265 39	48,101 93	1,163 46	0 00
1200 0000	654106103	NIKE INC CLASS B	12/26/2003	03/24/2011	92,165 55	40,675 56	51,489 99	0 00
500 0000	74834L100	QUEST DIAGNOSTICS INC	05/09/2007	03/24/2011	26,954 23	24,537 60	2,416 63	0 00
1100 0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	Various	03/24/2011	95,478 93	48,438 23	47,040 70	0 00
800 0000	88579Y101	3M CO	12/29/2003	03/24/2011	73,583 94	67,191 60	6,392 34	0 00
1700 0000	903293405	USG CORP NEW	Various	03/24/2011	27,061 95	59,415 58	-32,353 63	0 00
800 0000	907818108	UNION PAC CORP COM	Various	03/24/2011	75,393 99	45,068 04	30,325 95	0 00
800 0000	911312106	UNITED PARCEL SERVICE INC CL B	07/26/2006	03/24/2011	57,382 33	55,301 20	2,081 13	0 00
800 0000	913017109	UNITED TECHNOLOGIES CORP	12/14/2006	03/24/2011	65,587 70	49,648 88	15,938 82	0 00
1300 0000	92927K102	WABCO HLDGS INC COM STK	07/18/2007	03/24/2011	76,118 60	70,650 19	5,468 41	0 00
2100 0000	949746101	WELLS FARGO & CO NEW	Various	03/24/2011	65,420 67	61,505 70	3,914 97	0 00
1300 0000	G1151C101	ACCENTURE PLC SHS CL A NEW	02/27/2009	03/24/2011	66,256 99	38,211 55	28,045 44	0 00
200 0000	74834L100	QUEST DIAGNOSTICS INC	05/09/2007	04/21/2011	11,622 23	9,815 04	1,807 19	0 00
900 0000	74834L100	QUEST DIAGNOSTICS INC	05/09/2007	05/31/2011	52,282 24	44,167 68	8,114 56	0 00
600 0000	74834L100	QUEST DIAGNOSTICS INC	05/09/2007	06/08/2011	34,886 20	29,445 12	5,441 08	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15E
 Page 3 of 4

2011 Tax Information Statement

Account Number 26-24178
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
900 0000	74834L100	QUEST DIAGNOSTICS INC	Various	06/14/2011	53,513 51	44,151 02	9,362 49	0 00
400 0000	74834L100	QUEST DIAGNOSTICS INC	12/17/2008	06/15/2011	23,813 86	19,496 76	4,317 10	0 00
1300 0000	74834L100	QUEST DIAGNOSTICS INC	Various	06/16/2011	77,515 30	63,328 02	14,187 28	0 00
2450 0000	74834L100	QUEST DIAGNOSTICS INC	Various	06/17/2011	148,751 59	114,287 24	34,464 35	0 00
1300 0000	654106103	NIKE INC CLASS B	12/26/2003	06/28/2011	112,900 36	44,065 19	68,835 17	0 00
800 0000	654106103	NIKE INC CLASS B	12/26/2003	08/05/2011	65,149 78	27,117 04	38,032 74	0 00
1300 0000	428236103	HEWLETT PACKARD CO COM	06/13/2005	08/16/2011	42,594 46	31,061 94	11,532 52	0 00
5400 0000	428236103	HEWLETT PACKARD CO COM	Various	09/21/2011	127,067 10	128,673 22	-1,606 12	0 00
5400 0000	428236103	HEWLETT PACKARD CO COM	Various	09/22/2011	125,787 34	127,639 53	-1,852 19	0 00
Total Long Term 15% Sales					3,711,409 30	2,915,269.95	796,139 35	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15E
 Page 4 of 4

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
1400.0000	418056107	HASBRO INC	06/10/2010	01/04/2011	63,978.63	56,201.18	7,777.45	0.00
800.0000	654106103	NIKE INC CLASS B	04/06/2010	01/04/2011	67,498.53	59,240.88	8,257.65	0.00
800.0000	740189105	PRECISION CASTPARTS CORP	01/12/2010	01/04/2011	111,267.23	90,416.00	20,851.23	0.00
900.0000	315616102	F5 NETWORKS INC	08/12/2010	01/24/2011	95,460.71	75,056.31	20,404.40	0.00
2000.0000	058498106	BALL CORPORATION	Various	01/26/2011	141,004.69	133,863.52	7,141.17	0.00
4600.0000	983919101	XILINX INC	07/30/2010	01/26/2011	147,370.12	127,731.42	19,638.70	0.00
200.0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	05/18/2010	02/03/2011	14,986.69	9,888.92	5,097.77	0.00
60.0000	38259P508	GOOGLE INC CL A	Various	02/04/2011	36,593.27	30,969.46	5,623.81	0.00
1000.0000	966837106	WHOLE FOODS MKT INC	12/02/2010	02/04/2011	52,667.48	48,704.90	3,962.58	0.00
1900.0000	00971T101	AKAMAI TECHNOLOGIES INC	Various	02/10/2011	76,598.73	79,063.37	-2,464.64	0.00
800.0000	654106103	NIKE INC CLASS B	Various	02/10/2011	69,724.11	58,555.94	11,168.17	0.00
2000.0000	00971T101	AKAMAI TECHNOLOGIES INC	05/05/2010	02/28/2011	75,284.15	76,441.60	-1,157.45	0.00
425.0000	169656105	CHIPOTLE MEXICAN GRILL INC COM	12/06/2010	02/28/2011	103,966.72	102,378.47	1,588.25	0.00
1500.0000	231021106	CUMMINS ENGINE INC	Various	02/28/2011	151,443.24	102,295.60	49,147.64	0.00
300.0000	928563402	VMWARE INC CL A COM CL A COM	05/06/2010	03/01/2011	24,609.76	18,014.76	6,595.00	0.00
2100.0000	988498101	YUM! BRANDS INC COM	Various	03/03/2011	105,916.92	102,817.02	3,099.90	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15F
 Page 1 of 10

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1900.0000	74144T108	PRICE T ROWE GROUP INC	01/04/2011	03/09/2011	126,909.39	123,107.84	3,801.55	0.00
1300.0000	418056107	HASBRO INC	06/10/2010	03/18/2011	60,008.66	52,186.81	7,821.85	0.00
700.0000	654108103	NIKE INC CLASS B	05/21/2010	03/18/2011	54,021.67	49,577.29	4,444.38	0.00
100.0000	015351109	ALEXION PHARMACEUTICALS INC	11/30/2010	03/24/2011	9,458.83	7,722.78	1,736.05	0.00
500.0000	02209S103	ALTRIA GROUP INC	03/01/2011	03/24/2011	12,939.75	12,715.40	224.35	0.00
200.0000	023135106	AMAZON COM INC	04/15/2010	03/24/2011	34,332.94	29,191.50	5,141.44	0.00
200.0000	032095101	AMPHENOL CORP NEW CL A	07/30/2010	03/24/2011	10,835.79	8,972.12	1,863.67	0.00
200.0000	125269100	CF INDS HLDGS INC COM	11/05/2010	03/24/2011	24,890.02	24,737.80	152.22	0.00
600.0000	13342B105	CAMERON INT'L CORP COM	11/09/2010	03/24/2011	35,099.33	27,936.78	7,162.55	0.00
200.0000	149123101	CATERPILLAR INC	11/04/2010	03/24/2011	21,729.58	16,544.76	5,184.82	0.00
300.0000	18683K101	CLIFFS NAT RES INC COM STK	01/26/2011	03/24/2011	28,413.44	25,739.16	2,674.28	0.00
500.0000	189754104	COACH INC	12/02/2010	03/24/2011	25,854.50	28,413.70	-2,559.20	0.00
200.0000	22160K105	COSTCO WHSL CORP NEW	11/30/2010	03/24/2011	14,376.72	13,472.40	904.32	0.00
200.0000	231021106	CUMMINS ENGINE INC	04/09/2010	03/24/2011	20,695.60	13,251.88	7,443.72	0.00
300.0000	244199105	DEERE & CO	10/13/2010	03/24/2011	27,878.73	22,647.39	5,231.34	0.00
500.0000	268648102	EMC CORP MASS	03/01/2011	03/24/2011	13,494.74	13,494.75	-0.01	0.00
300.0000	302182100	EXPRESS SCRIPTS INC CL A	04/21/2010	03/24/2011	16,190.90	15,545.19	645.71	0.00
400.0000	30249U101	FMC TECHNOLOGIES INC COM	12/02/2010	03/24/2011	37,139.29	35,324.76	1,814.53	0.00
600.0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	11/05/2010	03/24/2011	32,436.40	31,639.59	796.81	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15F
 Page 2 of 10

TEP15507 2-14-12 4:28

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200.0000	428236103	HEWLETT PACKARD CO COM	02/11/2011	03/24/2011	8,581.84	9,699.72	-1,117.88	0.00
200.0000	452327109	ILLUMINA INC	11/29/2010	03/24/2011	13,083.75	12,143.82	939.93	0.00
600.0000	461202103	INTUIT	09/24/2010	03/24/2011	30,597.43	27,296.82	3,300.61	0.00
300.0000	481165108	JOY GLOBAL INC	Various	03/24/2011	28,741.13	20,511.32	8,229.81	0.00
100.0000	518439104	LAUDER ESTEE COS INC CL A	12/01/2010	03/24/2011	9,201.84	7,673.11	1,528.73	0.00
400.0000	61166W101	MONSANTO CO COM	01/04/2011	03/24/2011	28,056.46	27,515.40	541.06	0.00
500.0000	637071101	NATIONAL OILWELL INC	11/05/2010	03/24/2011	39,714.54	29,421.00	10,293.54	0.00
500.0000	67066G104	NVIDIA CORP	02/03/2011	03/24/2011	9,654.91	12,560.45	-2,905.54	0.00
800.0000	68389X105	ORACLE CORPORATION	01/26/2011	03/24/2011	25,615.51	26,060.00	-444.49	0.00
500.0000	704549104	PEABODY ENERGY CORP COM	03/01/2011	03/24/2011	36,200.30	32,760.00	3,440.30	0.00
500.0000	747525103	QUALCOMM INC	03/01/2011	03/24/2011	26,714.49	29,466.80	-2,752.31	0.00
300.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	02/11/2011	03/24/2011	26,389.50	27,203.73	-814.23	0.00
400.0000	855244109	STARBUCKS CORP	11/18/2010	03/24/2011	15,063.71	12,269.76	2,793.95	0.00
200.0000	863667101	STRYKER CORP	03/01/2011	03/24/2011	12,137.77	12,616.56	-478.79	0.00
1000.0000	871503108	SYMANTEC CORP	02/04/2011	03/24/2011	17,929.76	18,125.20	-195.44	0.00
200.0000	886547108	TIFFANY & CO NEW	12/03/2010	03/24/2011	11,957.77	12,498.10	-540.33	0.00
400.0000	91324P102	UNITEDHEALTH GROUP INC	03/09/2011	03/24/2011	17,475.66	17,712.68	-237.02	0.00
200.0000	966387102	WHITING PETROLEUM CORP	03/03/2011	03/24/2011	13,739.74	13,636.48	103.26	0.00
200.0000	988498101	YUM! BRANDS INC. COM	10/13/2010	03/24/2011	10,360.80	9,588.44	772.36	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15F
 Page 3 of 10

TEP15637 2.15.13.4.78

2011 Tax Information Statement

Account Number
Recipient's Tax ID Number:

26-30655
XX-XXX5871

Recipient's Name and Address.
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
900.0000	Y0486S104	AVAGO TECHNOLOGIES LTD	10/26/2010	03/24/2011	27,851.59	20,678.22	7,173.37	0.00
2200.0000	747525103	QUALCOMM INC	Various	04/05/2011	116,659.58	121,997.80	-5,338.22	0.00
700.0000	518439104	LAUDER ESTEE COS INC CL A	Various	04/06/2011	66,529.80	52,799.52	13,730.28	0.00
3100.0000	189754104	COACH INC	Various	04/07/2011	159,681.96	171,256.74	-11,574.78	0.00
600.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	02/11/2011	04/20/2011	52,519.15	54,407.46	-1,888.31	0.00
1300.0000	428236103	HEWLETT PACKARD CO COM	02/11/2011	05/03/2011	52,056.71	63,048.18	-10,991.47	0.00
2500.0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	Various	05/10/2011	128,817.27	115,941.77	12,875.50	0.00
2300.0000	30249U101	FMC TECHNOLOGIES INC COM	Various	05/18/2011	96,947.50	101,528.99	-4,581.49	0.00
2900.0000	02209S103	ALTRIA GROUP INC	08/27/2010	05/25/2011	80,303.81	65,309.16	14,994.65	0.00
2100.0000	02209S103	ALTRIA GROUP INC	03/01/2011	05/25/2011	58,151.03	53,404.68	4,746.35	0.00
3100.0000	855244109	STARBUCKS CORP	11/18/2010	05/25/2011	111,903.19	95,090.64	16,812.55	0.00
800.0000	461202103	INTUIT	Various	06/15/2011	39,941.16	36,308.40	3,632.76	0.00
1700.0000	461202103	INTUIT	Various	06/16/2011	83,565.29	71,599.87	11,965.42	0.00
200.0000	46120E602	INTUITIVE SURGICAL INC COM NEW STK	04/12/2011	07/07/2011	73,710.90	73,460.30	250.60	0.00
200.0000	46120E602	INTUITIVE SURGICAL INC COM NEW STK	04/06/2011	07/08/2011	73,252.69	73,006.72	245.97	0.00
4700.0000	67066G104	NVIDIA CORP	02/03/2011	07/14/2011	67,730.39	118,068.23	-50,337.84	0.00
100.0000	244199105	DEERE & CO	10/13/2010	07/19/2011	8,040.64	7,549.13	491.50	0.00
1100.0000	244199105	DEERE & CO	05/18/2011	07/19/2011	88,446.99	94,154.06	-5,707.08	0.00
200.0000	032095101	AMPHENOL CORP NEW CL A	07/30/2010	07/26/2011	10,166.80	8,972.12	1,194.68	0.00

This is important tax information and is being furnished to you.

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
2000 0000	863667101	STRYKER CORP	03/01/2011	07/26/2011	110,757 67	126,165 60	-15,407 93	0 00
1200 0000	18683K101	CLIFFS NAT RES INC COM STK	01/26/2011	08/16/2011	90,907 13	102,956 64	-12,049 51	0 00
1900 0000	H27013103	WEATHERFORD INTL LTD	07/28/2011	09/14/2011	30,836 02	42,095 64	-11,259 62	0 00
2000.0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	Various	09/15/2011	84,233 78	109,445 84	-25,212 06	0 00
2000 0000	452327109	ILLUMINA INC	Various	09/15/2011	99,524 48	120,548 59	-21,024 11	0 00
3000 0000	H27013103	WEATHERFORD INTL LTD	07/28/2011	09/15/2011	49,316 05	66,466 80	-17,150 75	0 00
1300.0000	966387102	WHITING PETROLEUM CORP	03/03/2011	09/19/2011	57,363 08	88,637 12	-31,274 04	0 00
200.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	08/16/2011	09/22/2011	12,189 26	15,844 96	-3,655 70	0 00
1600.0000	02076X102	ALPHA NAT RES INC COM STK	Various	09/28/2011	31,554 59	87,447 64	-55,893 05	0 00
3700 0000	704549104	PEABODY ENERGY CORP COM	Various	10/04/2011	116,727 94	216,146 69	-99,418 75	0 00
200 0000	704549104	PEABODY ENERGY CORP COM	03/01/2011	10/04/2011	6,309 62	13,104 00	-6,794 38	0 00
200 0000	015351109	ALEXION PHARMACEUTICALS INC	09/06/2011	10/17/2011	13,160 75	11,647 96	1,512 79	0 00
50.0000	037833100	APPLE COMPUTER INC	07/19/2011	10/17/2011	20,926 35	18,768 21	2,158 14	0 00
100 0000	09062X103	BIAGEN IDEC INC COM STK TO BIAGEN IDEC SEC#2005597 EFF 11/13/03	09/22/2011	10/17/2011	10,159 30	9,457 53	701 77	0 00
100 0000	125269100	CF INDS HLDGS INC COM	05/19/2011	10/17/2011	14,552 22	13,966 57	585 65	0 00
200.0000	13342B105	CAMERON INT'L CORP COM	11/09/2010	10/17/2011	9,626 82	9,312 26	314 56	0 00
100 0000	149123101	CATERPILLAR INC	11/04/2010	10/17/2011	8,184 24	8,272 38	-88 14	0 00
100.0000	189754104	COACH INC	11/18/2010	10/17/2011	6,067 38	5,437 33	630 05	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15F
 Page 5 of 10

TEP153017 2.47 15 of 28

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number

26-30655
XX-XXX5871

Recipient's Name and Address
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 8038
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100 0000	191216100	COCA COLA CO	09/19/2011	10/17/2011	6,716.37	7,031.86	-315.49	0.00
100 0000	22160K105	COSTCO WHSL CORP NEW	11/30/2010	10/17/2011	8,218.34	6,736.20	1,482.14	0.00
100 0000	231021106	CUMMINS ENGINE INC	07/07/2011	10/17/2011	9,267.32	10,904.61	-1,637.29	0.00
100 0000	30249U101	FMC TECHNOLOGIES INC COM	12/16/2010	10/17/2011	4,205.42	4,412.29	-206.87	0.00
200 0000	444859102	HUMANA INC	06/13/2011	10/17/2011	14,964.41	15,761.04	-796.63	0.00
50 0000	46120E602	INTUITIVE SURGICAL INC COM NEW STK	09/15/2011	10/17/2011	19,404.38	19,606.63	-202.25	0.00
100 0000	61166W101	MONSANTO CO COM	01/04/2011	10/17/2011	7,255.36	6,878.85	376.51	0.00
200 0000	637071101	NATIONAL OILWELL INC	Various	10/17/2011	12,744.10	10,725.54	2,018.56	0.00
200 0000	68389X105	ORACLE CORPORATION	01/26/2011	10/17/2011	6,256.88	6,515.00	-258.12	0.00
100 0000	740189105	PRECISION CASTPARTS CORP	09/15/2011	10/17/2011	16,212.19	16,885.27	-673.08	0.00
200 0000	747525103	QUALCOMM INC	02/03/2011	10/17/2011	10,710.79	10,892.86	-182.07	0.00
700 0000	871503108	SYMANTEC CORP	06/16/2011	10/17/2011	12,372.26	13,049.68	-677.42	0.00
100 0000	886547108	TIFFANY & CO NEW	12/03/2010	10/17/2011	7,072.36	6,249.05	823.31	0.00
200 0000	91324P102	UNITEDHEALTH GROUP INC	03/09/2011	10/17/2011	9,378.82	8,856.34	522.48	0.00
200 0000	92553P201	VIACOM INC	04/13/2011	10/17/2011	8,475.84	9,460.82	-984.98	0.00
100 0000	928563402	VMWARE INC CL A COM CL A COM	04/20/2011	10/17/2011	8,985.33	9,646.59	-661.26	0.00
200 0000	988498101	YUM! BRANDS INC COM	09/20/2011	10/17/2011	10,254.80	11,105.18	-850.38	0.00
400 0000	Y0486S104	AVAGO TECHNOLOGIES LTD	09/28/2011	10/17/2011	14,070.89	13,280.00	790.89	0.00
4800 0000	H27013103	WEATHERFORD INTL LTD	Various	10/24/2011	72,434.44	106,306.46	-33,872.02	0.00
2600 0000	30249U101	FMC TECHNOLOGIES INC COM	Various	10/25/2011	117,916.31	114,373.99	3,542.32	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15F
Page 6 of 10

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
800 0000	544147101	LORILLARD INC COM STK	05/25/2011	10/27/2011	90,235 54	91,029 44	-793 90	0 00
2100 0000	438516106	HONEYWELL INTL INC	03/29/2011	11/03/2011	112,266 15	123,900 00	-11,633 85	0 00
4500 0000	92553P201	VIACOM INC	Various	11/08/2011	187,763 39	203,416 19	-15,652 80	0 00
1700 0000	393122106	GREEN MTN COFFEE ROASTERS	11/09/2011	11/10/2011	70,973 97	115,145 42	-44,171 45	0 00
300 0000	125269100	CF INDS HLDGS INC COM	05/19/2011	11/21/2011	44,553 76	41,899 71	2,654 05	0 00
1200 0000	91324P102	UNITEDHEALTH GROUP INC	03/09/2011	11/21/2011	53,124 41	53,138 04	-13 63	0 00
400 0000	928563402	VMWARE INC CL A COM CL A COM	04/20/2011	11/21/2011	37,313 84	38,586 36	-1,272 52	0 00
1300 0000	Y0486S104	AVAGO TECHNOLOGIES LTD	09/28/2011	11/21/2011	37,802 62	43,160 00	-5,357 38	0 00
13700 0000	871503108	SYMANTEC CORP	Various	12/01/2011	222,434 40	251,182 88	-28,748 48	0 00
1200 0000	015351109	ALEXION PHARMACEUTICALS INC	Various	12/07/2011	76,809 08	69,015 57	7,793 51	0 00
1100 0000	747525103	QUALCOMM INC	Various	12/09/2011	59,868 33	60,695 71	-827 38	0 00
700 0000	928563402	VMWARE INC CL A COM CL A COM	Various	12/13/2011	65,157 26	67,395 23	-2,237 97	0 00
1900 0000	G1151C101	ACCENTURE PLC SHS CL A NEW	04/13/2011	12/20/2011	103,255 41	106,781 33	-3,525 92	0 00
1600 0000	68389X105	ORACLE CORPORATION	01/26/2011	12/21/2011	40,213 78	52,120 00	-11,906 22	0 00
Total Short Term Sales					6,073,655 85	6,273,068 53	-199,412 70	0 00
Long Term 15% Sales								
1700 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	Various	02/03/2011	127,386 88	33,789 19	93,597 69	0 00
1100 0000	79466L302	SALESFORCE COM INC COM STK	Various	02/03/2011	147,968 05	56,988 65	90,979 40	0 00
175 0000	38259P508	GOOGLE INC CL A	03/04/2009	02/04/2011	106,730 36	56,059 41	50,670 95	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15F
 Page 7 of 10

2011 Tax Information Statement

Account Number. 26-30655
Recipient's Tax ID Number XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1000 0000	79466L302	SALESFORCE COM INC COM STK	08/12/2009	02/28/2011	130,200 79	48,026 10	82,174 69	0 00
700 0000	928563402	VMWARE INC CL A COM CL A COM	09/10/2009	03/01/2011	57,422 76	26,957 14	30,465 62	0 00
100 0000	654106103	NIKE INC CLASS B	11/16/2009	03/18/2011	7,717 38	6,593 97	1,123 41	0 00
50 0000	037833100	APPLE COMPUTER INC	04/13/2009	03/24/2011	17,206 67	6,027 62	11,179 05	0 00
100 0000	126408103	CSX CORP	06/12/2009	03/24/2011	7,883 85	3,617 25	4,266 60	0 00
500 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	01/29/2009	03/24/2011	39,730 74	9,789 35	29,941 39	0 00
600 0000	64110D104	NETAPP INC COM STK	01/28/2009	03/24/2011	29,183 44	9,703 32	19,480 12	0 00
200 0000	654106103	NIKE INC CLASS B	11/16/2009	03/24/2011	15,323 71	13,187 94	2,135 77	0 00
100 0000	740189105	PRECISION CASTPARTS CORP	04/30/2009	03/24/2011	14,430 72	7,683 83	6,746 89	0 00
300 0000	907818108	UNION PAC CORP COM	09/01/2009	03/24/2011	28,532 45	17,808 21	10,724 24	0 00
200 0000	913017109	UNITED TECHNOLOGIES CORP	12/08/2009	03/24/2011	16,523 68	13,642 06	2,881 62	0 00
200 0000	928563402	VMWARE INC CL A COM CL A COM	09/10/2009	03/24/2011	16,045 69	7,702 04	8,343 65	0 00
1200 0000	654106103	NIKE INC CLASS B	11/16/2009	03/29/2011	91,359 88	79,127 64	12,232 24	0 00
1600 0000	913017109	UNITED TECHNOLOGIES CORP	Various	03/29/2011	134,188 30	107,396 28	26,792 02	0 00
800 0000	037833100	APPLE COMPUTER INC	Various	04/07/2011	269,913 62	93,305 09	176,608 53	0 00
200 0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	09/18/2009	04/20/2011	17,506 38	12,331 14	5,175 24	0 00
600 0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	Various	05/31/2011	51,324 45	33,640 74	17,683 71	0 00
2100 0000	302182100	EXPRESS SCRIPTS INC CL A	Various	06/13/2011	117,939 82	108,529 37	9,410 45	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
Statement 15F
Page 8 of 10

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
50 0000	023135106	AMAZON COM INC	04/15/2010	07/13/2011	10,717.60	7,297.87	3,419.73	0.00
2000 0000	032095101	AMPHENOL CORP NEW CL A	05/18/2010	07/26/2011	101,668.05	89,467.60	12,200.45	0.00
3100 0000	64110D104	NETAPP INC COM STK	Various	07/27/2011	147,134.95	49,922.82	97,212.13	0.00
125 0000	023135106	AMAZON COM INC	04/15/2010	07/28/2011	28,001.34	18,244.69	9,756.65	0.00
150 0000	023135106	AMAZON COM INC	Various	08/09/2011	30,152.66	15,817.00	14,335.66	0.00
300 0000	18683K101	CLIFFS NAT RES INC COM STK	05/18/2010	08/16/2011	22,726.78	15,992.01	6,734.77	0.00
600 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	01/29/2009	09/02/2011	37,026.72	11,747.22	25,279.50	0.00
1200 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	Various	09/06/2011	71,072.83	23,363.38	47,709.45	0.00
3300 0000	126408103	CSX CORP	06/12/2009	09/08/2011	67,160.63	39,789.75	27,370.88	0.00
1800 0000	192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	Various	09/08/2011	111,180.08	33,744.06	77,436.02	0.00
75 0000	023135106	AMAZON COM INC	03/05/2009	09/19/2011	17,694.17	4,870.18	12,823.99	0.00
1400 0000	18683K101	CLIFFS NAT RES INC COM STK	Various	09/20/2011	101,327.25	70,033.88	31,293.37	0.00
2600 0000	64110D104	NETAPP INC COM STK	Various	09/20/2011	92,988.77	37,746.70	55,242.07	0.00
800 0000	808857108	SCHLUMBERGER LTD ISIN #AN8088571086	05/05/2009	09/22/2011	48,757.06	42,619.20	6,137.86	0.00
50 0000	023135106	AMAZON COM INC	03/05/2009	09/26/2011	11,439.08	3,246.79	8,192.29	0.00
100 0000	023135106	AMAZON COM INC	03/05/2009	10/17/2011	24,203.04	6,493.58	17,709.46	0.00
100 0000	244199105	DEERE & CO	10/13/2010	10/17/2011	6,946.37	7,549.13	-602.76	0.00
100 0000	461202103	INTUIT	07/21/2010	10/17/2011	5,227.40	3,760.51	1,466.89	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15F
 Page 9 of 10

TEP10002 2.01.04.08

2011 Tax Information Statement

Account Number: 26-30655
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200.0000	481165108	JOY GLOBAL INC	04/14/2010	10/17/2011	15,188.71	12,686.68	2,502.03	0.00
100.0000	907818108	UNION PAC CORP COM	09/01/2009	10/17/2011	8,948.33	5,936.07	3,012.26	0.00
2300.0000	244199105	DEERE & CO	Various	11/02/2011	169,255.36	161,263.27	7,992.09	0.00
1200.0000	806857108	SCHLUMBERGER LTD ISIN #AN8068571086	Various	11/03/2011	89,749.15	60,743.60	29,005.55	0.00
500.0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	Various	11/09/2011	20,209.71	19,565.11	644.60	0.00
900.0000	481165108	JOY GLOBAL INC	04/14/2010	11/09/2011	77,181.70	57,090.06	20,091.64	0.00
1600.0000	35671D857	FREEPORT MCMORAN C & G CL B COM STK	09/07/2010	11/16/2011	62,369.04	62,316.64	52.40	0.00
800.0000	637071101	NATIONAL OILWELL INC	10/21/2010	11/21/2011	52,293.47	38,730.72	13,562.75	0.00
2900.0000	Y0486S104	AVAGO TECHNOLOGIES LTD	10/26/2010	11/21/2011	84,328.93	66,629.82	17,699.11	0.00
2600.0000	Y0486S104	AVAGO TECHNOLOGIES LTD	Various	11/29/2011	75,392.84	59,479.17	15,913.67	0.00
525.0000	023135106	AMAZON COM INC	Various	12/08/2011	101,381.65	33,849.74	67,531.91	0.00
2700.0000	Y0486S104	AVAGO TECHNOLOGIES LTD	Various	12/09/2011	81,610.52	61,216.64	20,393.88	0.00
600.0000	481165108	JOY GLOBAL INC	Various	12/13/2011	51,430.05	37,269.39	14,160.67	0.00
100.0000	928563402	VMWARE INC CL A COM CL A COM	09/10/2009	12/13/2011	9,308.18	3,851.02	5,457.16	0.00
725.0000	023135106	AMAZON COM INC	03/04/2009	12/14/2011	126,271.76	46,148.13	80,123.63	0.00
2300.0000	13342B105	CAMERON INT'L CORP COM	Various	12/19/2011	106,920.81	105,182.69	1,738.12	0.00
1900.0000	637071101	NATIONAL OILWELL INC	10/21/2010	12/19/2011	122,928.02	91,985.46	30,942.56	0.00
Total Long Term 15% Sales					3,634,712.63	2,157,556.92	1,477,155.72	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15F
 Page 10 of 10

2011 Tax Information Statement

Account Number: 26-32668
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
10810 6500	693390700	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	Various	03/29/2011	117,403.66	117,388.27	15.39	0.00
16179 8800	693390700	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	Various	10/13/2011	173,286.51	178,089.15	-4,802.64	0.00
Total Short Term Sales					290,690.17	295,477.42	-4,787.25	0.00
Long Term 15% Sales								
154935 2100	693390700	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	06/30/2005	03/29/2011	1,682,596.34	1,674,237.74	8,358.60	0.00
77190.8000	693390700	MFO PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	06/30/2005	10/13/2011	826,713.48	834,127.70	-7,414.22	0.00
Total Long Term 15% Sales					2,509,309.82	2,508,365.44	944.38	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15G
 Page 1 of 1

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
284 0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	06/28/2011	9,576 73	10,226 52	-649 79	0 00
2338 0000	45071R109	IXIA COM	06/21/2011	06/28/2011	29,469 92	29,398 46	71 46	0 00
215 0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	06/29/2011	7,164 91	7,741 91	-577 00	0 00
2335 0000	45071R109	IXIA COM	06/21/2011	06/29/2011	29,351 78	29,360 74	-8 96	0 00
136 0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	06/30/2011	4,570 99	4,897 21	-326 22	0 00
155 0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	06/30/2011	5,215 13	5,581 38	-366 25	0 00
560 0000	45071R109	IXIA COM	06/21/2011	06/30/2011	7,142 04	7,041 54	100 50	0 00
603 0000	45071R109	IXIA COM	06/21/2011	06/30/2011	7,657 95	7,582 24	75 71	0 00
176 0000	87424N104	TALEO CORP COM CL A COM CL A	Various	07/05/2011	6,520 99	6,286 42	234 57	0 00
527 0000	87424N104	TALEO CORP COM CL A COM CL A	06/21/2011	07/05/2011	19,433 59	18,800 29	633 30	0 00
2 0000	87424N104	TALEO CORP COM CL A COM CL A	01/03/2011	07/06/2011	73 25	57 53	15 72	0 00
351 0000	87424N104	TALEO CORP COM CL A COM CL A	Various	07/06/2011	12,857 23	10,732 04	2,125 19	0 00
476 0000	87424N104	TALEO CORP COM CL A COM CL A	06/21/2011	07/06/2011	17,459 53	16,980 91	478 62	0 00
470 0000	556269108	MADDEN STEVEN LTD COM	Various	07/07/2011	19,044 03	17,055 41	1,988 62	0 00
1340 0000	556269108	MADDEN STEVEN LTD COM	06/21/2011	07/07/2011	54,309 15	48,584 27	5,724 88	0 00
1246 0000	83616T108	SOURCEFIRE INC COM	Various	07/07/2011	38,586 38	34,776 59	3,809 79	0 00
326 0000	83616T108	SOURCEFIRE INC COM	06/21/2011	07/07/2011	10,109 06	9,081 77	1,027 29	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15H
 Page 1 of 14

2011 Tax Information Statement

Account Number
Recipient's Tax ID Number

26-41409
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
807.0000	87424N104	TALEO CORP COM CL A COM CL A	01/03/2011	07/07/2011	29,774 50	23,212 95	6,561 55	0 00
351.0000	87424N104	TALEO CORP COM CL A COM CL A	01/03/2011	07/07/2011	12,903 04	10,096 34	2,806 70	0 00
486.0000	83616T108	SOURCEFIRE INC COM	06/21/2011	07/08/2011	14,578 31	13,539 09	1,039.22	0 00
852.0000	83616T108	SOURCEFIRE INC COM	06/21/2011	07/08/2011	25,622 80	23,735 19	1,887.61	0 00
640.0000	16115Q308	CHART INDS INC COM PAR \$0 01 COM PAR \$0.01	Various	07/20/2011	38,811 47	30,544 95	8,266 52	0 00
352.0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	Various	07/21/2011	22,614.73	19,654 69	2,960 04	0 00
372.0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	07/22/2011	24,149.56	20,744 13	3,405 43	0 00
476.0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	07/22/2011	30,897 70	26,543 56	4,354 14	0 00
874.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	Various	07/27/2011	22,862 19	20,968 96	1,893 23	0 00
1086.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	06/21/2011	07/27/2011	28,360 34	25,519 28	2,841.06	0 00
5635.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	07/28/2011	66,730.07	80,758 61	-14,028 54	0 00
390.0000	862685104	STRATASYS INC COM	07/07/2011	07/28/2011	10,390 88	14,464 98	-4,074 10	0 00
123.0000	15135B101	CENTENE CORP DELAWARE COM	Various	07/29/2011	4,205 54	4,246 09	-40 55	0 00
691.0000	15135B101	CENTENE CORP DELAWARE COM	06/21/2011	07/29/2011	22,664.98	23,689 18	-1,024 20	0 00
2382.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	07/29/2011	27,663 77	34,137 89	-6,474 12	0 00
698.0000	862685104	STRATASYS INC COM	Various	07/29/2011	17,771 09	25,843 30	-8,072 21	0 00
1562.0000	862685104	STRATASYS INC COM	Various	07/29/2011	40,101 08	56,516 72	-16,415 64	0 00
276.0000	15135B101	CENTENE CORP DELAWARE COM	06/21/2011	08/01/2011	8,966 78	9,461 96	-495 18	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
Statement 15H
Page 2 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
595.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/01/2011	6,949.46	8,527.31	-1,577.85	0.00
151.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/01/2011	1,761.42	2,164.07	-402.65	0.00
1496.0000	G87210103	UTI WORLDWIDE INC ORD NPV	Various	08/02/2011	23,128.31	29,510.10	-6,381.79	0.00
1761.0000	G87210103	UTI WORLDWIDE INC ORD NPV	06/21/2011	08/03/2011	27,279.83	34,737.21	-7,457.38	0.00
441.0000	29275Y102	ENERSYS COM	Various	08/04/2011	11,701.26	14,280.35	-2,579.09	0.00
353.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/04/2011	10,046.86	12,711.13	-2,664.27	0.00
347.0000	691497309	OXFORD INDS INC COM	06/21/2011	08/04/2011	12,416.08	11,475.94	940.14	0.00
159.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/04/2011	1,689.79	2,278.73	-588.94	0.00
664.0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	Various	08/04/2011	10,050.71	11,431.97	-1,381.26	0.00
1273.0000	G87210103	UTI WORLDWIDE INC ORD NPV	06/21/2011	08/04/2011	18,798.16	25,110.99	-6,312.83	0.00
522.0000	29275Y102	ENERSYS COM	06/21/2011	08/05/2011	13,461.49	16,876.79	-3,415.30	0.00
783.0000	29275Y102	ENERSYS COM	06/21/2011	08/05/2011	19,918.90	25,315.18	-5,396.28	0.00
7.0000	29275Y102	ENERSYS COM	06/21/2011	08/05/2011	184.05	226.32	-42.27	0.00
705.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/05/2011	18,493.48	25,386.26	-6,892.78	0.00
90.0000	691497309	OXFORD INDS INC COM	06/21/2011	08/05/2011	3,057.74	2,976.47	81.27	0.00
317.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/05/2011	3,272.84	4,543.12	-1,270.28	0.00
475.0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	06/21/2011	08/05/2011	7,015.61	7,991.54	-975.93	0.00
1426.0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	06/21/2011	08/05/2011	21,425.95	23,991.45	-2,565.50	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15H
 Page 3 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1140.0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	06/21/2011	08/08/2011	16,482.14	19,179.70	-2,697.56	0.00
852.0000	29275Y102	ENERSYS COM.	06/21/2011	08/09/2011	20,124.45	27,546.02	-7,421.57	0.00
12.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/09/2011	294.86	432.11	-137.25	0.00
705.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/09/2011	17,039.52	25,386.25	-8,346.73	0.00
663.0000	868157108	SUPERIOR ENERGY SVCS INC COM	06/28/2011	08/09/2011	20,328.31	23,815.76	-3,487.45	0.00
1487.0000	868157108	SUPERIOR ENERGY SVCS INC COM	06/28/2011	08/09/2011	46,628.00	53,414.82	-6,786.82	0.00
920.0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	06/21/2011	08/09/2011	12,646.53	15,478.36	-2,831.83	0.00
85.0000	29275Y102	ENERSYS COM.	06/21/2011	08/10/2011	1,921.62	2,748.14	-826.52	0.00
244.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/10/2011	5,847.06	8,786.16	-2,939.10	0.00
1461.0000	29275Y102	ENERSYS COM.	06/21/2011	08/11/2011	28,966.54	47,235.59	-18,269.05	0.00
705.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/11/2011	17,222.40	25,386.26	-8,163.86	0.00
796.0000	36237H101	G-III APPAREL GROUP LTD COM	06/21/2011	08/12/2011	19,580.50	28,663.08	-9,082.58	0.00
323.0000	50077C106	KRATON PERFORMANCE POLYMERS INC COM STK	06/21/2011	08/18/2011	7,067.55	12,114.03	-5,046.48	0.00
275.0000	89236Y104	TPC GROUP INC COM	Various	08/18/2011	8,861.94	10,394.23	-1,532.29	0.00
892.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	06/21/2011	08/18/2011	18,915.92	20,960.59	-2,044.67	0.00
84.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	06/21/2011	08/18/2011	1,773.54	1,973.87	-200.33	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15H
 Page 4 of 14

2011 Tax Information Statement

Account Number 26-41409
 Recipient's Tax ID Number XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
55 0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	06/21/2011	08/18/2011	1,163.15	1,292.41	-129.26	0.00
645.0000	50077C106	KRATON PERFORMANCE POLYMERS INC COM STK	06/21/2011	08/19/2011	13,792.09	24,190.55	-10,398.46	0.00
275.0000	89236Y104	TPC GROUP INC COM	06/21/2011	08/19/2011	8,498.16	10,366.78	-1,868.62	0.00
1165.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	06/21/2011	08/19/2011	24,149.87	27,375.66	-3,225.79	0.00
842 0000	50077C106	KRATON PERFORMANCE POLYMERS INC COM STK	06/21/2011	08/22/2011	17,824.71	31,578.98	-13,754.27	0.00
218 0000	89236Y104	TPC GROUP INC COM	06/21/2011	08/22/2011	6,520.32	8,218.03	-1,697.71	0.00
794 0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/23/2011	6,470.58	11,379.29	-4,908.71	0.00
127 0000	89236Y104	TPC GROUP INC COM	06/21/2011	08/23/2011	3,812.69	4,787.57	-974.88	0.00
758 0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/24/2011	6,358.67	10,863.36	-4,504.69	0.00
45 0000	89236Y104	TPC GROUP INC COM	06/21/2011	08/24/2011	1,389.45	1,696.38	-306.93	0.00
397.0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/25/2011	3,322.87	5,689.65	-2,366.78	0.00
159 0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/26/2011	1,317.91	2,278.72	-960.81	0.00
1428 0000	742962103	PRIVATEBANCORP INC COM	06/21/2011	08/26/2011	11,775.77	20,465.53	-8,689.76	0.00
795 0000	742962103	PRIVATEBANCORP INC COM	Various	08/29/2011	6,830.42	11,365.33	-4,534.91	0.00
74 0000	50077C106	KRATON PERFORMANCE POLYMERS INC COM STK	06/21/2011	09/06/2011	1,618.87	2,775.35	-1,156.48	0.00
130.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/02/2011	09/06/2011	1,364.91	1,979.34	-614.43	0.00
519 0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/06/2011	5,411.66	7,953.44	-2,541.78	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15H
 Page 5 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
189.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/06/2011	5,282.54	7,124.81	-1,862.27	0.00
65.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/03/2011	09/07/2011	716.58	978.88	-262.30	0.00
649.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/07/2011	7,159.95	9,870.55	-2,710.60	0.00
273.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/07/2011	7,759.66	10,291.39	-2,531.73	0.00
856.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/08/2011	9,373.02	12,816.41	-3,443.39	0.00
387.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/03/2011	09/08/2011	4,200.76	5,828.10	-1,627.34	0.00
309.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/08/2011	8,724.45	11,648.49	-2,924.04	0.00
118.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/08/2011	3,254.51	4,448.29	-1,193.78	0.00
811.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/04/2011	09/09/2011	8,471.54	11,769.80	-3,298.26	0.00
325.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/09/2011	3,396.05	4,748.47	-1,352.42	0.00
133.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/09/2011	3,467.84	5,013.75	-1,545.91	0.00
649.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/04/2011	09/12/2011	6,886.46	9,418.74	-2,532.28	0.00
65.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	08/04/2011	09/12/2011	695.43	943.32	-247.89	0.00
232.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/12/2011	5,834.87	8,745.79	-2,910.92	0.00
257.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/13/2011	2,779.82	3,601.02	-821.20	0.00
282.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/13/2011	7,023.07	10,630.66	-3,607.59	0.00
137.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/13/2011	3,415.70	5,164.54	-1,748.84	0.00
428.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/14/2011	4,638.96	5,859.95	-1,220.99	0.00
162.0000	89236Y104	TPC GROUP INC COM	06/21/2011	09/14/2011	4,124.36	6,106.98	-1,982.62	0.00
329.0000	72346Q104	PINNACLE FINL PARTNERS INC COM	Various	09/15/2011	3,700.15	4,333.93	-633.78	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 6 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
57.0000	89236Y104	TPC GROUP INC.COM	06/21/2011	09/15/2011	1,450.91	2,148.75	-697.84	0.00
328.0000	72346Q104	PINNACLE FINL PARTNERS INC.COM	Various	09/16/2011	3,689.46	4,187.19	-497.73	0.00
297.0000	72346Q104	PINNACLE FINL PARTNERS INC.COM	Various	09/19/2011	3,221.34	3,771.59	-550.25	0.00
867.0000	989922109	ZOLL MED CORP	06/21/2011	09/19/2011	32,569.79	48,727.06	-16,157.27	0.00
145.0000	989922109	ZOLL MED CORP	06/21/2011	09/19/2011	5,453.21	8,149.28	-2,696.07	0.00
405.0000	72346Q104	PINNACLE FINL PARTNERS INC.COM	Various	09/20/2011	4,406.92	5,079.04	-672.12	0.00
830.0000	989922109	ZOLL MED CORP	06/21/2011	09/20/2011	31,100.66	46,647.59	-15,546.93	0.00
193.0000	989922109	ZOLL MED CORP	Various	09/21/2011	7,046.83	10,843.75	-3,796.92	0.00
26.0000	488879107	KENEXA CORP.COM	07/08/2011	09/22/2011	426.31	720.85	-294.54	0.00
551.0000	488879107	KENEXA CORP.COM	Various	09/22/2011	8,911.08	14,765.67	-5,854.59	0.00
1117.0000	488879107	KENEXA CORP.COM	Various	09/22/2011	17,980.56	31,597.89	-13,617.33	0.00
450.0000	78505P100	SXC HEALTH SOLUTIONS CORP.COM	06/21/2011	09/22/2011	24,936.09	25,093.71	-157.62	0.00
1091.0000	488879107	KENEXA CORP.COM	06/21/2011	09/23/2011	17,930.89	28,179.83	-10,248.94	0.00
1117.0000	488879107	KENEXA CORP.COM	06/21/2011	09/26/2011	18,592.22	28,851.39	-10,259.17	0.00
208.0000	488879107	KENEXA CORP.COM	06/21/2011	09/27/2011	3,728.32	5,372.51	-1,644.19	0.00
559.0000	488879107	KENEXA CORP.COM	06/21/2011	09/27/2011	10,062.02	14,438.61	-4,376.59	0.00
236.0000	488879107	KENEXA CORP.COM	06/21/2011	09/28/2011	4,101.06	6,095.73	-1,994.67	0.00
652.0000	488879107	KENEXA CORP.COM	06/21/2011	09/29/2011	10,602.74	16,840.73	-6,237.99	0.00
793.0000	488879107	KENEXA CORP.COM	Various	09/30/2011	12,631.61	20,453.85	-7,822.24	0.00
100.0000	78505P100	SXC HEALTH SOLUTIONS CORP.COM	06/21/2011	09/30/2011	5,677.41	5,576.38	101.03	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 7 of 14

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number

26-41409
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
274 0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	10/05/2011	13,858.07	15,279.28	-1,421.21	0.00
1079 0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	10/05/2011	54,653.11	60,169.13	-5,516.02	0.00
183 0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	10/05/2011	9,266.03	10,204.77	-938.74	0.00
490 0000	89469A104	TREEHOUSE FOODS INC COM	06/21/2011	10/05/2011	29,599.69	29,262.73	336.96	0.00
455 0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	10/06/2011	24,203.30	25,372.52	-1,169.22	0.00
149 0000	78505P100	SXC HEALTH SOLUTIONS CORP COM	06/21/2011	10/06/2011	8,033.18	8,308.80	-275.62	0.00
476 0000	89469A104	TREEHOUSE FOODS INC COM	06/21/2011	10/06/2011	28,792.68	28,426.65	366.03	0.00
163 0000	89469A104	TREEHOUSE FOODS INC COM	06/21/2011	10/06/2011	10,164.30	9,734.34	429.96	0.00
551 0000	89469A104	TREEHOUSE FOODS INC COM	06/21/2011	10/07/2011	34,127.23	32,905.64	1,221.59	0.00
2284 0000	868157108	SUPERIOR ENERGY SVCS INC COM	06/21/2011	10/10/2011	52,132.20	81,251.86	-29,119.66	0.00
2006 0000	868157108	SUPERIOR ENERGY SVCS INC COM	Various	10/10/2011	46,187.66	71,476.64	-25,288.98	0.00
90 0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER1/27/2012	Various	10/19/2011	3,388.67	2,866.30	522.37	0.00
720 0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER1/27/2012	06/21/2011	10/19/2011	26,514.93	22,796.26	3,718.67	0.00
467 0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER1/27/2012	06/21/2011	10/19/2011	17,115.22	14,785.90	2,329.32	0.00
364 0000	09180C106	BJS RESTAURANTS INC COM	Various	10/27/2011	19,371.56	17,530.52	1,841.04	0.00
273 0000	205306103	COMPUTER PROGRAMS & SYS INC COM	Various	10/27/2011	19,077.61	18,198.93	878.68	0.00
196 0000	40425J101	HMS HLDGS CORP COM	06/21/2011	10/27/2011	5,163.50	4,799.25	364.25	0.00
393 0000	40425J101	HMS HLDGS CORP COM	Various	10/27/2011	10,501.27	9,644.41	856.86	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15H
Page 8 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
638 0000	556269108	MADDEN STEVEN LTD COM	06/21/2011	10/27/2011	23,259.24	23,131.91	127.33	0.00
396 0000	747582104	QUALITY SYS INC COM STK	Various	10/27/2011	15,012.07	16,213.94	-1,201.87	0.00
564 0000	747582104	QUALITY SYS INC COM STK	Various	10/27/2011	21,684.42	23,151.24	-1,466.82	0.00
456 0000	09180C106	BJS RESTAURANTS INC COM	06/21/2011	10/28/2011	24,185.96	21,923.59	2,262.37	0.00
462 0000	556269108	MADDEN STEVEN LTD COM	06/21/2011	10/28/2011	17,123.93	16,750.70	373.23	0.00
553 0000	043176106	ARUBA NETWORKS INC COM	Various	11/11/2011	13,047.78	14,822.26	-1,774.48	0.00
1344 0000	043176106	ARUBA NETWORKS INC COM	06/21/2011	11/11/2011	31,557.85	35,904.88	-4,347.03	0.00
610 0000	043176106	ARUBA NETWORKS INC COM	06/21/2011	11/14/2011	14,373.03	16,296.12	-1,923.09	0.00
1581 0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER1/27/2012	06/21/2011	11/15/2011	67,593.56	50,056.77	17,536.79	0.00
408 0000	824889109	SHOE CARNIVAL INC COM	Various	11/15/2011	10,308.21	13,407.38	-3,099.17	0.00
627 0000	398905109	GROUP 1 AUTOMOTIVE INC	Various	11/16/2011	29,109.17	24,115.37	4,993.80	0.00
910 0000	691497309	OXFORD INDS INC COM	Various	11/16/2011	36,448.07	33,322.71	3,125.36	0.00
155 0000	69840W108	PANERA BREAD CO CL A	Various	11/16/2011	21,128.00	18,934.90	2,193.10	0.00
693 0000	720279108	PIER 1 IMPORTS INC COM	09/19/2011	11/16/2011	9,339.59	8,162.16	1,177.43	0.00
1677 0000	720279108	PIER 1 IMPORTS INC COM	Various	11/16/2011	22,632.69	19,654.47	2,978.22	0.00
316 0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER1/27/2012	Various	11/16/2011	13,511.90	9,477.72	4,034.18	0.00
58 0000	824889109	SHOE CARNIVAL INC COM	Various	11/16/2011	1,454.78	1,877.25	-422.47	0.00
231 0000	398905109	GROUP 1 AUTOMOTIVE INC	06/21/2011	11/17/2011	10,526.67	8,801.58	1,725.09	0.00
162 0000	398905109	GROUP 1 AUTOMOTIVE INC	06/21/2011	11/17/2011	7,340.23	8,172.54	-1,167.69	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 9 of 14

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number:

26-41409
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1076.0000	76657R106	#REORG/RIGHTNOW TECHNOLOGIES CASH MERGER 11/27/2012	Various	11/17/2011	45,998.22	31,465.07	14,533.15	0.00
6.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/17/2011	139.18	174.38	-35.20	0.00
231.0000	824889109	SHOE CARNIVAL INC COM	Various	11/17/2011	5,357.02	6,950.73	-1,593.71	0.00
351.0000	824889109	SHOE CARNIVAL INC COM	Various	11/17/2011	8,104.25	11,295.68	-3,191.43	0.00
232.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/18/2011	4,990.54	6,742.55	-1,752.01	0.00
317.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/18/2011	6,781.74	9,212.87	-2,431.13	0.00
681.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/21/2011	13,829.07	19,791.70	-5,962.63	0.00
340.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/21/2011	6,823.18	9,881.32	-3,058.14	0.00
680.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/21/2011	13,739.13	19,762.63	-6,023.50	0.00
598.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/22/2011	12,523.02	17,379.49	-4,856.47	0.00
143.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/22/2011	3,002.68	4,155.97	-1,153.29	0.00
335.0000	824889109	SHOE CARNIVAL INC COM	06/21/2011	11/23/2011	7,071.04	9,736.00	-2,664.96	0.00
158.0000	937303105	WA BKG CO OAK HBR WASH COM	Various	11/28/2011	1,721.28	2,110.00	-388.72	0.00
485.0000	691497309	OXFORD INDS INC COM	Various	11/29/2011	17,257.47	16,723.67	533.80	0.00
46.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/29/2011	482.00	613.92	-131.92	0.00
43.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/29/2011	466.87	573.88	-107.01	0.00
870.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/29/2011	9,117.42	11,611.07	-2,493.65	0.00
196.0000	691497309	OXFORD INDS INC COM	06/21/2011	11/30/2011	7,397.31	6,482.09	915.22	0.00
1401.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/30/2011	15,403.70	18,697.83	-3,294.13	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15H
Page 10 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
237.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/30/2011	2,602.20	3,163.02	-560.82	0.00
158.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	11/30/2011	1,733.70	2,108.68	-374.98	0.00
196.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/01/2011	7,315.07	6,482.09	832.98	0.00
27.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/01/2011	1,003.41	892.94	110.47	0.00
16.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/01/2011	182.22	213.54	-31.32	0.00
294.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/02/2011	10,758.54	9,723.13	1,035.41	0.00
263.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/02/2011	9,649.60	8,697.90	951.70	0.00
493.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/02/2011	18,105.17	16,304.43	1,800.74	0.00
55.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/02/2011	630.64	734.03	-103.39	0.00
96.0000	221006109	CORVEL CORP COM	Various	12/05/2011	4,488.21	4,426.70	61.51	0.00
159.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/05/2011	3,961.74	3,914.31	47.43	0.00
196.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/05/2011	7,435.36	6,482.08	953.28	0.00
249.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/05/2011	9,440.63	8,234.90	1,205.73	0.00
583.0000	896818101	TRIUMPH GROUP INC NEW	06/21/2011	12/05/2011	33,503.78	28,308.37	5,195.41	0.00
157.0000	896818101	TRIUMPH GROUP INC NEW	Various	12/05/2011	9,096.24	7,631.03	1,465.21	0.00
475.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/05/2011	5,467.14	6,339.38	-872.24	0.00
241.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/05/2011	2,771.74	3,216.40	-444.66	0.00
17.0000	221006109	CORVEL CORP COM	06/21/2011	12/06/2011	793.09	782.04	11.05	0.00
83.0000	221006109	CORVEL CORP COM	06/21/2011	12/06/2011	3,868.23	3,818.19	50.04	0.00
173.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/06/2011	4,302.83	4,258.96	43.87	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15H
 Page 11 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
637.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/06/2011	15,816.40	15,681.85	134.55	0.00
322.0000	691497309	OXFORD INDS INC COM	06/21/2011	12/06/2011	12,616.78	10,649.14	1,967.64	0.00
49.0000	691497309	OXFORD INDS INC COM	Various	12/06/2011	1,921.01	1,613.68	307.33	0.00
53.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/06/2011	609.10	707.34	-98.24	0.00
158.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/06/2011	1,799.58	2,108.68	-309.10	0.00
19.0000	221006109	CORVEL CORP COM	06/21/2011	12/07/2011	872.90	874.04	-1.14	0.00
104.0000	221006109	CORVEL CORP COM	06/21/2011	12/07/2011	4,773.77	4,784.24	-10.47	0.00
827.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/07/2011	20,584.62	20,359.33	225.29	0.00
317.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/07/2011	3,494.19	4,230.70	-736.51	0.00
377.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/07/2011	4,139.38	5,031.47	-892.09	0.00
99.0000	221006109	CORVEL CORP COM	06/21/2011	12/08/2011	4,416.68	4,554.23	-137.55	0.00
80.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/08/2011	1,928.57	1,969.46	-40.89	0.00
159.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/08/2011	1,699.04	2,122.02	-422.98	0.00
321.0000	221006109	CORVEL CORP COM	06/21/2011	12/09/2011	14,139.75	14,766.74	-626.99	0.00
339.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/09/2011	8,290.63	8,345.60	-54.97	0.00
319.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/09/2011	3,539.84	4,257.40	-717.56	0.00
162.0000	221006109	CORVEL CORP COM	06/21/2011	12/12/2011	7,094.70	7,452.38	-357.68	0.00
165.0000	221006109	CORVEL CORP COM	06/21/2011	12/12/2011	7,209.54	7,590.38	-380.84	0.00
327.0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/12/2011	7,919.35	8,050.18	-131.83	0.00
122.0000	777779307	ROSETTA RES INC COM	06/21/2011	12/12/2011	5,639.12	5,672.87	-34.75	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 12 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1047 0000	777779307	ROSETTA RES INC COM	06/21/2011	12/12/2011	48,297.91	48,684.43	-386.52	0.00
651.0000	777779307	ROSETTA RES INC COM	Various	12/12/2011	29,289.55	30,340.25	-1,050.70	0.00
159.0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/12/2011	1,728.87	2,122.02	-393.15	0.00
18 0000	221006109	CORVEL CORP COM	06/21/2011	12/13/2011	796.55	828.04	-31.49	0.00
135 0000	436893200	HOME BANCSHARES INC COM	06/21/2011	12/13/2011	3,293.99	3,323.47	-29.48	0.00
66 0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/13/2011	717.57	880.84	-163.27	0.00
96 0000	221006109	CORVEL CORP COM	06/21/2011	12/14/2011	4,207.88	4,416.22	-208.34	0.00
852 0000	937303105	WA BKG CO OAK HBR WASH COM	06/21/2011	12/14/2011	9,099.18	11,370.85	-2,271.67	0.00
75 0000	221006109	CORVEL CORP COM	06/21/2011	12/15/2011	3,245.33	3,450.17	-204.84	0.00
37 0000	221006109	CORVEL CORP COM	06/21/2011	12/15/2011	1,602.86	1,702.09	-99.23	0.00
16 0000	221006109	CORVEL CORP COM	06/21/2011	12/15/2011	695.01	736.04	-41.03	0.00
22 0000	221006109	CORVEL CORP COM	06/21/2011	12/16/2011	953.25	1,012.05	-58.80	0.00
Total Short Term Sales					2,980,524.48	3,356,027.21	-375,502.73	0.00
Long Term 15% Sales								
248 0000	45071R109	IXIA COM	Various	06/30/2011	3,149.54	2,396.93	752.61	0.00
3406 0000	45071R109	IXIA COM	Various	06/30/2011	43,115.04	32,523.16	10,591.88	0.00
1376 0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	Various	08/09/2011	18,914.81	13,428.84	5,485.97	0.00
979 0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	Various	08/10/2011	13,611.67	7,776.24	5,835.43	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 13 of 14

2011 Tax Information Statement

Account Number: 26-41409
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
975 0000	29275Y102	ENERSYS COM	Various	08/11/2011	17,708 97	21,723 55	-4,014 58	0 00
1074 0000	29275Y102	ENERSYS COM	Various	08/11/2011	21,293 67	24,261 09	-2,967 42	0 00
262 0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	Various	08/19/2011	5,431 13	5,922 48	-491 35	0 00
178.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	07/30/2010	08/22/2011	3,567 05	4,014 33	-447 28	0 00
994 0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	Various	08/22/2011	20,007 04	22,460 11	-2,453 07	0 00
Total Long Term 15% Sales					146,798 92	134,506.73	12,292 19	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15H
 Page 14 of 14

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
170.0000	14754D100	CASH AMER INVTS INC	04/20/2010	02/04/2011	6,895.88	7,136.77	-240.89	0.00
109.0000	14754D100	CASH AMER INVTS INC	04/20/2010	02/04/2011	4,430.80	4,575.92	-145.12	0.00
779.0000	292764107	ENERNOC INC COM	Various	02/04/2011	18,795.59	22,578.39	-3,782.80	0.00
744.0000	292764107	ENERNOC INC COM	Various	02/04/2011	17,954.16	23,129.77	-5,175.61	0.00
330.0000	14754D100	CASH AMER INVTS INC	Various	02/07/2011	13,417.44	13,838.20	-420.76	0.00
257.0000	292764107	ENERNOC INC COM	11/10/2010	02/07/2011	6,219.00	6,448.59	-229.59	0.00
479.0000	14754D100	CASH AMER INVTS INC	Various	02/08/2011	19,363.62	19,831.27	-467.65	0.00
201.0000	14754D100	CASH AMER INVTS INC	12/08/2010	02/09/2011	8,152.86	7,613.86	539.00	0.00
747.0000	22410J106	CRACKER BARREL OLD CTRY STORE INC COM COM	Various	02/16/2011	39,295.47	39,116.60	178.87	0.00
213.0000	22410J106	CRACKER BARREL OLD CTRY STORE INC COM COM	04/21/2010	02/17/2011	11,172.99	11,087.35	85.64	0.00
102.0000	011659109	ALASKA AIR GROUP INC COM	03/15/2010	02/18/2011	6,535.44	4,145.21	2,390.23	0.00
324.0000	011659109	ALASKA AIR GROUP INC COM	Various	02/18/2011	20,735.24	13,134.33	7,600.91	0.00
596.0000	011659109	ALASKA AIR GROUP INC COM	Various	02/22/2011	36,113.56	23,946.88	12,166.68	0.00
964.0000	168905107	CHILDRENS PL RETAIL STORES INC COM	Various	03/08/2011	42,283.80	52,355.38	-10,071.58	0.00
299.0000	482423100	KBW INC COM STK	Various	03/08/2011	7,896.35	7,676.91	219.44	0.00

This is important tax information and is being furnished to you.

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number

26-29063
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
145.0000	482423100	KBW INC COM STK	Various	03/09/2011	3,764.11	3,641.53	122.58	0.00
208.0000	482423100	KBW INC COM STK	06/04/2010	03/09/2011	5,402.70	5,318.23	84.47	0.00
291.0000	482423100	KBW INC COM STK	06/04/2010	03/09/2011	7,548.13	7,440.41	107.72	0.00
215.0000	482423100	KBW INC COM STK	06/07/2010	03/10/2011	5,508.47	5,328.71	179.76	0.00
378.0000	482423100	KBW INC COM STK	06/07/2010	03/10/2011	9,726.17	9,368.61	357.56	0.00
2457.0000	749388104	RC2 CORP COM	Various	03/11/2011	68,821.47	58,141.67	10,679.80	0.00
1451.0000	74834T103	QUEST SOFTWARE INC COM	Various	03/22/2011	35,515.15	29,048.45	6,466.70	0.00
514.0000	74834T103	QUEST SOFTWARE INC COM	Various	03/23/2011	12,215.54	10,235.33	1,980.21	0.00
123.0000	8676EP108	SUNOPTA INC COM	11/10/2010	03/23/2011	828.73	915.45	-86.72	0.00
300.0000	00738A106	ADTRAN INC COM	Various	03/24/2011	12,887.75	8,759.08	4,128.67	0.00
388.0000	024835100	AMERN CAMPUS CMNTYS INC COM	Various	03/24/2011	12,176.09	11,584.73	591.36	0.00
415.0000	035623107	ANN INC COM	02/02/2011	03/24/2011	11,326.81	9,429.50	1,897.41	0.00
323.0000	04351G101	ASCENA RETAIL GROUP INC COM	08/18/2010	03/24/2011	10,089.71	7,290.82	2,798.89	0.00
291.0000	046224101	ASTEC INDS INC COM	03/09/2011	03/24/2011	10,209.45	10,235.63	-26.18	0.00
433.0000	067806109	BARNES GROUP INC	12/22/2010	03/24/2011	9,215.06	9,123.91	91.15	0.00
385.0000	126804301	CABELAS INC COM STK	03/08/2011	03/24/2011	9,610.41	10,188.22	-577.81	0.00
327.0000	144577103	CARRIZO OIL & GAS INC COM	Various	03/24/2011	11,164.87	7,532.89	3,631.98	0.00
36.0000	147528103	CASEYS GEN STORES INC	11/22/2010	03/24/2011	1,325.85	1,416.23	-90.38	0.00
46.0000	163072101	CHEESECAKE FACTORY INC COM	11/22/2010	03/24/2011	1,320.63	1,441.13	-120.50	0.00
203.0000	179895107	CLARCOR INC COM	06/21/2010	03/24/2011	8,908.31	7,942.70	965.61	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 151
Page 2 of 14

TEP155071 3.04.10.01.00

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
271 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	02/04/2011	03/24/2011	8,108 92	6,711 26	1,397 66	0 00
339 0000	210313102	CONSTANT CONTACT INC COM STK	Various	03/24/2011	11,845 11	10,126 55	1,718 56	0 00
319 0000	23251P102	CYBERONICS INC COM	09/22/2010	03/24/2011	9,890 04	7,817 64	2,072 40	0 00
141 0000	252603105	DIAMOND FOODS INC COM	11/12/2010	03/24/2011	7,627 76	6,438 19	1,189 57	0 00
884 0000	29426L108	EPICOR SOFTWARE CORP	Various	03/24/2011	9,457 99	10,025 49	-567 50	0 00
197 0000	3623E0209	#REORG/GT SOLAR NAME CHANGE GT ADVANCED TECHNOLOGIES 2814A61 EFF 8/8/2011	11/23/2010	03/24/2011	2,132 44	1,401 20	731 24	0 00
254 0000	371532102	GENESCO INC COM	Various	03/24/2011	9,510 66	8,466 73	1,043 93	0 00
211 0000	420877201	HAYNES INTL INC COM NEW COM NEW	03/18/2011	03/24/2011	11,147 46	10,907 07	240 39	0 00
431 0000	421924309	HEALTHSOUTH CORP COM NEW STK	12/22/2010	03/24/2011	10,527 48	9,217 11	1,310 37	0 00
592 0000	440694305	HORSEHEAD HLDG CORP COM STK	Various	03/24/2011	9,975 24	10,076 65	-101 41	0 00
311 0000	443320106	HUB GROUP INC CL A	Various	03/24/2011	10,917 38	11,225 11	-307 73	0 00
853 0000	45071R109	IXIA COM	03/25/2010	03/24/2011	12,711 58	8,300 97	4,410 61	0 00
329 0000	457667103	#REORG/INSITUFORM TECHNOLOG NAME CHANGE AEGION CORPORATION 2D1EAN1 10/26/2011	12/16/2010	03/24/2011	8,622 53	9,004 70	-382 17	0 00
1560 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	Various	03/24/2011	16,986 51	8,017 19	8,969 32	0 00
353 0000	48273U102	K12 INC COM STOCK USD 0001	11/10/2010	03/24/2011	11,584 56	8,834 03	2,750 53	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 151
 Page 3 of 14

ITEM 155037 3.08.11 of 30

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
319 0000	483548103	KAMAN CORP COM	03/11/2011	03/24/2011	10,226 56	10,219 61	6 95	0 00
585 0000	493732101	KFORCE COM INC	Various	03/24/2011	10,254.73	7,602 38	2,652.35	0 00
422 0000	574795100	MASIMO CORP COM STK	03/30/2010	03/24/2011	13,443 39	11,248 03	2,195 36	0 00
1007 0000	587200106	MENTOR GRAPHICS CORP COM	Various	03/24/2011	15,030 59	9,030 47	6,000 12	0 00
221 0000	610236101	MONRO MUFFLER BRAKE INC COM	Various	03/24/2011	7,049 39	5,716 73	1,332 66	0 00
287 0000	610236101	MONRO MUFFLER BRAKE INC COM	01/05/2011	03/24/2011	9,154 63	9,235 14	-80.51	0 00
352 0000	665531109	NORTHERN OIL & GAS INC NEV COM STK	02/04/2011	03/24/2011	9,199.59	9,463 24	-263 65	0 00
447 0000	67072V103	NXSTAGE MED INC COM STK	02/16/2011	03/24/2011	9,582 95	9,990 49	-407 54	0 00
593.0000	69366A100	PSS WORLD MEDICAL INC	02/15/2011	03/24/2011	15,783 39	14,966.07	817 32	0 00
360 0000	705573103	PEGASYS INC COM	Various	03/24/2011	12,369.58	11,818 71	550 87	0 00
154 0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	Various	03/24/2011	10,724.21	4,541 51	6,182 70	0 00
274 0000	727493108	PLANTRONICS INC NEW	07/27/2010	03/24/2011	9,782 21	8,314 26	1,467 95	0 00
372.0000	743312100	PROGRESS SOFTWARE CORP	03/22/2011	03/24/2011	10,359 48	10,300 50	58 98	0 00
477 0000	747277101	QLOGIC CORP	10/06/2010	03/24/2011	8,386 49	8,099 75	286 74	0 00
164.0000	747582104	QUALITY SYS INC COM STK	04/27/2010	03/24/2011	13,354 74	11,084 15	2,270 59	0 00
1544 0000	8676EP108	SUNOPTA INC COM	Various	03/24/2011	10,343 83	11,486 24	-1,142 41	0 00
1539.0000	8676EP108	SUNOPTA INC COM	11/10/2010	03/24/2011	10,309 41	11,454 32	-1,144 91	0 00
212 0000	8676EP108	SUNOPTA INC COM	11/09/2010	03/24/2011	1,420 96	1,577 07	-156 11	0 00
318 0000	87424N104	TALEO CORP COM CL A COM CL A	01/03/2011	03/24/2011	11,003 53	9,147 11	1,856 42	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 151
 Page 4 of 14

170156037 3 2012 00

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
254.0000	880349105	TENNECO INC	Various	03/24/2011	10,728.24	8,261.19	2,467.05	0.00
394.0000	88224Q107	TX CAP BANCSHARES INC COM	Various	03/24/2011	9,936.20	10,133.61	-197.41	0.00
379.0000	88830R101	TITAN MACHY INC COM	02/18/2011	03/24/2011	10,151.47	9,995.59	155.88	0.00
376.0000	90384S303	ULTA SALON COSMETICS & FRAGRANCE INC COMSTK	Various	03/24/2011	17,953.46	10,453.53	7,499.93	0.00
221.0000	922417100	VEECO INSTRS INC DEL COM	Various	03/24/2011	11,015.48	9,477.02	1,538.46	0.00
351.0000	928645100	VOLCANO CORP COM STK	Various	03/24/2011	8,832.99	8,531.60	301.39	0.00
339.0000	928708106	VOLTERRA SEMICONDUCTOR CORP COM	08/02/2010	03/24/2011	7,947.19	7,687.20	259.99	0.00
171.0000	8676EP108	SUNOPTA INC COM	11/11/2010	03/25/2011	1,147.38	1,213.26	-65.88	0.00
1014.0000	8676EP108	SUNOPTA INC COM	Various	03/25/2011	6,891.22	7,412.47	-521.25	0.00
171.0000	8676EP108	SUNOPTA INC COM	11/11/2010	03/28/2011	1,180.73	1,213.26	-32.53	0.00
1515.0000	8676EP108	SUNOPTA INC COM	11/11/2010	03/28/2011	10,436.94	10,749.08	-312.14	0.00
325.0000	47758P307	#REORG/JO-ANN STORES INC COM STK CASH MERGER 3-18-2011	12/02/2010	03/29/2011	19,825.00	14,549.05	5,275.95	-0.00
369.0000	747277101	QLOGIC CORP	10/06/2010	04/05/2011	6,625.12	6,265.84	359.28	0.00
636.0000	747277101	QLOGIC CORP	10/06/2010	04/05/2011	11,428.70	10,799.66	629.04	0.00
1098.0000	747277101	QLOGIC CORP	10/06/2010	04/06/2011	19,929.64	18,644.70	1,284.94	0.00
814.0000	880349105	TENNECO INC	10/19/2010	04/14/2011	32,525.67	26,308.32	6,217.35	0.00
289.0000	880349105	TENNECO INC	Various	04/15/2011	11,553.12	9,320.79	2,232.33	0.00
1522.0000	665531109	NORTHERN OIL & GAS INC NEV COM STK	Various	05/13/2011	30,415.51	40,910.52	-10,495.01	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 151
 Page 5 of 14

12/15/2011 3:11:13 PM

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number:

26-29063
XX-XXX5871

Recipient's Name and Address
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
3819 0000	29426L108	EPICOR SOFTWARE CORP	Various	05/17/2011	47,732.50	43,002.93	4,734.57	0.00
6880 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	Various	06/16/2011	100,448.00	34,991.03	65,456.97	0.00
392 0000	000361105	AAR CORP	Various	06/21/2011	10,361.96	6,120.11	4,241.85	0.00
192 0000	024835100	AMERN CAMPUS CMNTYS INC COM	08/16/2010	06/21/2011	6,687.71	5,171.13	1,516.58	0.00
2063 0000	035623107	ANN INC COM	Various	06/21/2011	56,487.75	48,168.99	8,318.76	0.00
1381 0000	04351G101	ASCENA RETAIL GROUP INC COM	Various	06/21/2011	45,611.64	30,989.30	14,622.34	0.00
1223 0000	046224101	ASTEC INDS INC COM	Various	06/21/2011	43,795.08	42,674.88	1,120.20	0.00
3486 0000	059692103	BANCORPSOUTH INC COM	Various	06/21/2011	43,075.69	53,516.88	-10,441.19	0.00
1931 0000	067806109	BARNES GROUP INC	Various	06/21/2011	45,797.77	40,652.64	5,145.13	0.00
1092 0000	091935502	#REORG/BLACKBOARD INC CASH MERGER 09/19/2010 10/05/2011	Various	06/21/2011	44,199.97	44,459.32	-259.35	0.00
2023 0000	126804301	CABELAS INC COM STK	Various	06/21/2011	51,528.88	52,966.21	-1,437.33	0.00
2757 0000	129603106	CALGON CARBON CORP	Various	06/21/2011	46,027.24	46,006.64	20.60	0.00
1447 0000	14457	ARRIZO OIL & GAS INC COM	Various	06/21/2011	52,862.56	32,977.91	19,884.65	0.00
1130 0000	147528103	CASEYS GEN STORES INC	11/22/2010	06/21/2011	51,061.89	44,453.75	6,608.14	0.00
3441 0000	150838100	CELADON GROUP INC COM	Various	06/21/2011	48,210.66	53,943.81	-5,733.15	0.00
1442 0000	163072101	CHEESECAKE FACTORY INC COM	Various	06/21/2011	43,571.54	45,132.39	-1,560.85	0.00
273 0000	163072101	CHEESECAKE FACTORY INC COM	05/24/2011	06/21/2011	8,248.98	8,307.91	-58.93	0.00
85 0000	179895107	CLARCOR INC COM	06/21/2010	06/21/2011	3,852.72	3,325.76	526.96	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 151
Page 6 of 14

TEMP-58307 3 22 11 4 30

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
404 0000	179895107	CLARCOR INC COM	04/05/2011	06/21/2011	18,311.77	18,389.39	-77.62	0.00
1463 0000	210313102	CONSTANT CONTACT INC COM STK	Various	06/21/2011	34,437.93	43,091.65	-8,653.72	0.00
1411 0000	23251P102	CYBERONICS INC COM	Various	06/21/2011	35,169.95	34,456.88	713.07	0.00
395 0000	23251P102	CYBERONICS INC COM	Various	06/21/2011	9,845.60	12,514.07	-2,668.47	0.00
6228 0000	3623E0209	#REORG/GT SOLAR NAME CHANGE GT ADVANCED TECHNOLOGIES 2814A61 EFF 8/8/2011	11/23/2010	06/21/2011	86,044.30	44,297.89	41,746.41	0.00
1120 0000	371532102	GENESCO INC COM	Various	06/21/2011	52,051.02	36,618.35	15,432.67	0.00
886 0000	420877201	HAYNES INTL INC COM NEW COM NEW	Various	06/21/2011	49,941.30	44,193.84	5,747.46	0.00
1917 0000	421924309	HEALTHSOUTH CORP COM NEW STK	12/22/2010	06/21/2011	49,322.37	40,995.81	8,326.56	0.00
2795 0000	428291108	HEXCEL CORP NEW COM	Various	06/21/2011	58,040.60	53,786.03	4,254.57	0.00
2490 0000	440694305	HORSEHEAD HLDG CORP COM STK	Various	06/21/2011	28,591.68	40,177.25	-11,585.57	0.00
928 0000	450828108	IBERIABANK CORP COM	Various	06/21/2011	53,301.60	53,651.52	-349.92	0.00
1470 0000	457667103	#REORG/INSITUFORM TECHNOLOG NAME CHANGE AEGION CORPORATION 2D1EAN1 10/26/2011	Various	06/21/2011	28,230.39	40,144.62	-11,914.23	0.00
267 0000	457667103	#REORG/INSITUFORM TECHNOLOG NAME CHANGE AEGION CORPORATION 2D1EAN1 10/26/2011	04/05/2011	06/21/2011	5,127.56	7,064.82	-1,937.26	0.00
1251 0000	45839M103	#REORG/INTERACTIVE STOCK MERGER INTERACTIVE INTELLIGEN 2C1BA21 7-1-2011	Various	06/21/2011	41,822.70	46,228.78	-4,406.08	0.00
2226 0000	470355207	JAMES RIV COAL CO COM NEW STK	Various	06/21/2011	42,623.97	44,285.74	-1,661.77	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 151
 Page 7 of 14

TEP155007 3.715430

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1340 0000	483548103	KAMAN CORP COM	Various	06/21/2011	45,338 42	42,519 73	2,818 69	0 00
418 0000	55405W104	MYR GROUP INC DEL COM STK	11/10/2010	06/21/2011	9,094 83	6,534 26	2,560 57	0 00
7553 0000	559181102	MAGMA DESIGN AUTOMATION INC COM	Various	06/21/2011	58,709 56	47,406 81	11,302 75	0 00
278 0000	574795100	MASIMO CORP COM STK	08/04/2010	06/21/2011	8,536 36	6,707 42	1,828 94	0 00
50 5000	610236101	MONRO MUFFLER BRAKE INC COM	06/28/2010	06/21/2011	1,673 71	1,270 58	403 13	0 00
1926 0000	67072V103	NXSTAGE MED INC COM STK	Various	06/21/2011	38,442 33	42,999 54	-4,557 21	0 00
517 0000	69366A100	PSS WORLD MEDICAL INC	Various	06/21/2011	14,017 07	13,047 49	969 58	0 00
1566 0000	743312100	PROGRESS SOFTWARE CORP	Various	06/21/2011	37,592 08	43,330 62	-5,738 54	0 00
2585 0000	88830R101	TITAN MACHY INC COM	Various	06/21/2011	76,907 78	67,877 62	9,230 16	0 00
1616 0000	90384S303	ULTA SALON COSMETICS & FRAGRANCE INC COM STK	Various	06/21/2011	99,102 94	37,477 78	61,625 16	0 00
139 0000	934390402	WARNACO GROUP INC COM NEW COM NEW	04/04/2011	06/21/2011	7,186 16	8,135 54	-949 38	0 00
Total Short Term Sales					2,823,208 61	2,498,656 64	324,551 97	0 00
Long Term 15% Sales								
1647 0000	45666Q102	INFORMATICA CORP	Various	01/03/2011	74,418 59	21,659 88	52,758 71	0 00
589 0000	91307C102	UTD THERAPEUTICS CORP DEL COM STK	Various	01/06/2011	39,024 12	20,944 91	18,079 21	0 00
110 0000	91307C102	UTD THERAPEUTICS CORP DEL COM STK	06/08/2009	01/06/2011	7,284 96	4,521 69	2,763 27	0 00
166 0000	91307C102	UTD THERAPEUTICS CORP DEL COM STK	Various	01/07/2011	10,975 23	5,532 33	5,442 90	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15i
 Page 8 of 14

1755587 3 74 16 04 30

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
537 0000	594972408	MICROSTRATEGY INC CL A NEW	Various	01/26/2011	57,220 01	39,614 81	17,605 20	0 00
968 0000	057741100	#REORG BALDOR ELEC CO COM CASH MERGER EFF 01-27-2011	Various	01/27/2011	61,468 00	28,721 18	32,746 82	0 00
344 0000	365558105	GARDNER DENVER MACHY INC	Various	03/08/2011	25,255 47	13,502 59	11,752 88	0 00
28 0000	892356106	TRACTOR SUPPLY CO	Various	03/08/2011	1,531 44	675 49	855 95	0 00
432 0000	892356106	TRACTOR SUPPLY CO	11/24/2009	03/08/2011	23,562 42	10,401 93	13,160 49	0 00
439 0000	365558105	GARDNER DENVER MACHY INC	Various	03/09/2011	32,034 70	16,696 08	15,338 62	0 00
342 0000	365558105	GARDNER DENVER MACHY INC	Various	03/09/2011	24,936 45	13,242 85	11,693 60	0 00
969 0000	892356106	TRACTOR SUPPLY CO	11/24/2009	03/09/2011	52,972 18	23,332 12	29,640 06	0 00
659 0000	030111108	AMERICAN SUPERCONDUCTOR CORP SHS	Various	03/23/2011	15,470 58	16,230 03	-759 45	0 00
465 0000	679580100	OLD DOMINION FGHT LINE INC COM	Various	03/23/2011	15,843 13	10,262 06	5,581 07	0 00
463 0000	000361105	AAR CORP	Various	03/24/2011	12,638 03	11,820 73	817 30	0 00
899 0000	030111108	AMERICAN SUPERCONDUCTOR CORP SHS	Various	03/24/2011	21,074 58	20,082 73	991 85	0 00
295 0000	148888103	CATALYST HEALTH SOLUTIONS INC COM STK	Various	03/24/2011	15,696 44	8,762 21	6,934 23	0 00
372 0000	204166102	COMMVAULT SYS INC COM STK	Various	03/24/2011	14,745 16	5,360 68	9,384 48	0 00
577 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	02/19/2010	03/24/2011	17,265 12	8,485 77	8,779 35	0 00
193 0000	205306103	COMPUTER PROGRAMS & SYS INC COM	Various	03/24/2011	11,730 91	9,429 41	2,301 50	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 151
 Page 9 of 14

TEP15587 3.15.17.030

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
146.0000	252603105	DIAMOND FOODS INC COM	12/09/2008	03/24/2011	7,898.24	3,775.80	4,122.44	0.00
169.0000	29100P102	#REORG/EMERGENCY MED SVCS CORP CL A CASHMERGER EFF 5/25/2011	Various	03/24/2011	10,691.74	5,667.40	5,024.34	0.00
479.0000	29275Y102	ENERSYS COM	Various	03/24/2011	17,537.86	11,556.11	5,981.75	0.00
390.0000	366651107	GARTNER INC COM	Various	03/24/2011	15,671.14	6,876.45	8,794.69	0.00
217.0000	397624107	GREIF INC	Various	03/24/2011	13,982.67	13,153.82	828.85	0.00
209.0000	40425J101	HMS HLDGS CORP COM	Various	03/24/2011	16,881.58	6,000.84	10,880.74	0.00
213.0000	405024100	HAEMONETICS CORP MASS COM	Various	03/24/2011	13,412.35	11,518.20	1,894.15	0.00
776.0000	421906108	HEALTHCARE SVCS GROUP INC COM	Various	03/24/2011	13,355.48	10,691.17	2,664.31	0.00
67.0000	48273U102	K12 INC COM STOCK USD 0001	05/27/2009	03/24/2011	2,198.77	1,203.68	995.09	0.00
1156.0000	492914106	KEY ENERGY SVCS INC	06/30/2009	03/24/2011	17,158.98	6,728.38	10,430.60	0.00
638.0000	500643200	KORN / FERRY INTL COM NEW	Various	03/24/2011	13,191.22	11,253.80	1,937.42	0.00
549.0000	55405W104	MYR GROUP INC DEL COM STK	Various	03/24/2011	13,256.61	9,566.96	3,689.65	0.00
95.0000	587200106	MENTOR GRAPHICS CORP COM	12/02/2009	03/24/2011	1,417.98	800.54	617.44	0.00
487.0000	653656108	ADR NICE SYS LTD SPONSORED ADR	Various	03/24/2011	17,563.90	11,066.93	6,496.97	0.00
297.0000	679580100	OLD DOMINION FGHT LINE INC COM	Various	03/24/2011	10,160.70	6,549.73	3,610.97	0.00
288.0000	679580100	OLD DOMINION FGHT LINE INC COM	12/23/2009	03/24/2011	9,819.08	6,294.11	3,524.97	0.00
379.0000	695156109	PACKAGING CORP AMER COM ISIN US6951561090	12/29/2004	03/24/2011	10,455.26	8,871.10	1,584.16	0.00
169.0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	02/12/2010	03/24/2011	11,768.78	3,807.94	7,960.84	0.00

This is important tax information and is being furnished to you.

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
181 0000	727493108	PLANTRONICS INC NEW	Various	03/24/2011	6,461 97	3,407 08	3,054 89	0 00
299 0000	739276103	POWER INTEGRATIONS INC	Various	03/24/2011	11,600 97	8,167 01	3,433 96	0 00
656 0000	816850101	SEMTECH CORP	Various	03/24/2011	15,848 32	11,553 33	4,294 99	0 00
402 0000	81725T100	SENSIENT TECHNOLOGIES CORP COMMON	Various	03/24/2011	14,166 24	12,762 66	1,403 58	0 00
461 0000	88162G103	TETRA TECH INC NEW COM	Various	03/24/2011	11,255 69	10,833 37	422 32	0 00
548 0000	882681109	TEXAS ROADHOUSE INC COMMON STOCK	05/27/2009	03/24/2011	8,893 86	6,425 47	2,468 39	0 00
213 0000	89469A104	TREEHOUSE FOODS INC COM	Various	03/24/2011	11,768 77	4,422 78	7,345 99	0 00
377 0000	922207105	#REORG/VARIAN SEMICONDUCTOR CASH MERGER 11/10/2011	Various	03/24/2011	18,033 86	11,301 26	6,732 60	0 00
195 0000	934390402	WARNACO GROUP INC COM NEW COM NEW	04/08/2008	03/24/2011	10,503 89	8,374 90	2,128 99	0 00
406 0000	978097103	WOLVERINE WORLD WIDE INC COM	12/29/2004	03/24/2011	14,305 05	8,477 55	5,827 50	0 00
1272 0000	47758P307	#REORG/JO-ANN STORES INC COM STK CASH MERGER 3-18-2011	Various	03/29/2011	77,592 00	18,660 20	58,931 80	0 00
912 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	Various	04/04/2011	27,307 76	13,320 21	13,987 55	0 00
290 0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	Various	04/04/2011	24,407 24	6,484 57	17,922 67	0 00
917 0000	587200106	MENTOR GRAPHICS CORP COM	Various	05/12/2011	13,924 83	7,648 68	6,276 15	0 00
151 0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	Various	05/13/2011	14,825 21	3,254 86	11,570 35	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 151
 Page 11 of 14

TEP:55863 3/7/10 30

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number

26-29063
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
276 0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	Various	05/24/2011	28,132 57	5,891 85	22,240 72	0 00
754 0000	29100P102	#REORG/EMERGENCY MED SVCS CORP CL A CASHMERGER EFF 5/25/2011	Various	05/26/2011	48,256.00	22,542 17	25,713 83	0.00
1590 0000	000361105	AAR CORP	Various	06/21/2011	42,029 40	36,268.92	5,760 48	0.00
1307 0000	00738A106	ADTRAN INC COM	Various	06/21/2011	49,853 70	36,378.09	13,475 61	0.00
1472 0000	024835100	AMERN CAMPUS CMNTYS INC COM	Various	06/21/2011	51,272 47	38,591 62	12,680 85	0.00
776.0000	179895107	CLARCOR INC COM	Various	06/21/2011	35,173.10	29,958 89	5,214 21	0.00
1591 0000	204166102	COMMVault SYS INC COM STK	Various	06/21/2011	64,846 68	19,604 88	45,241 80	0.00
2748 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	Various	06/21/2011	85,163 72	38,977 44	46,186 28	0.00
1231 0000	252603105	DIAMOND FOODS INC COM	Various	06/21/2011	89,475.42	29,157 06	60,318 36	0.00
1737 0000	366651107	GARTNER INC COM	Various	06/21/2011	67,090.33	30,450 69	36,639 64	0.00
928.0000	397624107	GREIF INC	Various	06/21/2011	59,728 90	48,941 45	10,787 45	0.00
911.0000	405024100	HAEMONETICS CORP MASS COM	Various	06/21/2011	58,332 84	46,855 77	11,477 07	0.00
3320 0000	421906108	HEALTHCARE SVCS GROUP INC COM	Various	06/21/2011	53,454 97	39,234 95	14,220 02	0.00
1805 0000	48273U102	K12 INC COM STOCK USD.0001	Various	06/21/2011	59,530 26	31,981.90	27,548 36	0.00
5004 0000	492914106	KEY ENERGY SVCS INC	Various	06/21/2011	85,070 95	28,655.26	56,415 69	0.00
2489.0000	493732101	KFORCE COM INC	Various	06/21/2011	33,004 50	32,038.17	966 33	0.00
2726.0000	500643200	KORN / FERRY INTL COM NEW	Various	06/21/2011	60,481 01	44,936 15	15,544 86	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 151
Page 12 of 14

2011 Tax Information Statement

Account Number: 26-29063
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1942 0000	55405W104	MYR GROUP INC DEL COM STK	Various	06/21/2011	42,253.98	32,718.29	9,535.69	0.00
1442 0000	574795100	MASIMO CORP COM STK	Various	06/21/2011	44,278.51	37,637.60	6,640.91	0.00
3798 0000	587200106	MENTOR GRAPHICS CORP COM	Various	06/21/2011	47,405.04	31,482.14	15,922.90	0.00
2164 5000	610236101	MONRO MUFFLER BRAKE INC COM	Various	06/21/2011	71,737.47	35,146.13	36,591.34	0.00
2108 0000	653656108	ADR NICE SYS LTD SPONSORED ADR	Various	06/21/2011	71,872.63	45,427.93	26,444.70	0.00
1252 0000	679580100	OLD DOMINION FGHT LINE INC COM	Various	06/21/2011	45,281.64	27,113.42	18,168.22	0.00
2042 0000	69366A100	PSS WORLD MEDICAL INC	Various	06/21/2011	55,363.36	23,731.70	31,631.66	0.00
1642 0000	695156109	PACKAGING CORP AMER COM ISIN US6951561090	Various	06/21/2011	44,602.07	32,320.25	12,281.82	0.00
1540 0000	705573103	PEGASYS INC COM	Various	06/21/2011	61,976.60	45,564.82	16,411.78	0.00
663 0000	71715N106	#REORG/PHARMASSET INC COM CASH MERGER 1/18/2012	Various	06/21/2011	73,495.10	13,963.49	59,531.61	0.00
1924 0000	727493108	PLANTRONICS INC NEW	Various	06/21/2011	67,712.37	35,332.88	32,379.49	0.00
1296 0000	739276103	POWER INTEGRATIONS INC	Various	06/21/2011	46,333.04	28,947.42	17,385.62	0.00
2808 0000	816850101	SEMTECH CORP	Various	06/21/2011	70,674.70	48,153.29	22,521.41	0.00
1721 0000	81725T100	SENSIENT TECHNOLOGIES CORP COMMON	Various	06/21/2011	63,273.65	46,402.93	16,870.72	0.00
1964 0000	88162G103	TETRA TECH INC NEW COM	Various	06/21/2011	44,005.37	43,215.30	790.07	0.00
1614 0000	922207105	#REORG/VARIAN SEMICONDUCTOR CASH MERGER 11/10/2011	Various	06/21/2011	98,912.08	33,477.76	65,434.32	0.00
939 0000	922417100	VEECO INSTRS INC DEL COM	06/18/2010	06/21/2011	47,466.56	39,371.05	8,095.51	0.00
1502 0000	928645100	VOLCANO CORP COM STK	Various	06/21/2011	45,375.13	35,567.53	9,807.60	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15I
 Page 13 of 14

10/15/2011 3:10:11 PM

2011 Tax Information Statement

Account Number:
Recipient's Tax ID Number:

26-29063
XX-XXX5871

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
847.0000	934390402	WARNACO GROUP INC COM NEW COM NEW	Various	06/21/2011	43,789 07	29,543 29	14,245 78	0 00
Total Long Term 15% Sales					3,089,170 71	1,785,338 85	1,303,831 86	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
Statement 151
Page 14 of 14

1/17/2012 3:00:22 PM

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
1809 0000	Y2109Q101	DRYSHIPS INC COM USD0.01	Various	01/03/2011	9,361.59	10,161.51	-799.92	0.00
3744 0000	Y2109Q101	DRYSHIPS INC COM USD0.01	11/18/2010	01/03/2011	19,401.82	21,464.05	-2,062.23	0.00
54 0000	032332504	AMTECH SYS INC COM PAR \$0.01 NEW STK	Various	01/04/2011	1,312.59	806.41	506.18	0.00
307 0000	032332504	AMTECH SYS INC COM PAR \$0.01 NEW STK	08/20/2010	01/04/2011	7,456.41	4,400.88	3,055.53	0.00
171 0000	44980X109	IPG PHOTONICS CORP COM	08/31/2010	01/04/2011	5,118.08	3,754.36	1,363.72	0.00
144 0000	44980X109	IPG PHOTONICS CORP COM	08/31/2010	01/04/2011	4,332.22	3,161.57	1,170.65	0.00
1471 0000	50015Q100	KODIAK OIL & GAS CORP COM	Various	01/04/2011	9,276.70	5,117.93	4,158.77	0.00
1812 0000	682128103	OMNIVISION TECHNOLOGIES INC COM	Various	01/04/2011	51,323.12	41,837.46	9,485.66	0.00
943 0000	75025N102	#REORG/RADIANT SYS INC CASH MERGER 08/24/2011	Various	01/04/2011	17,851.43	16,799.01	1,052.42	0.00
638 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	Various	01/05/2011	17,667.96	10,749.13	6,918.83	0.00
164 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	07/20/2010	01/05/2011	4,494.08	2,683.43	1,810.65	0.00
1703 0000	82705T102	SILICON IMAGE INC COM STK	Various	01/05/2011	12,743.33	7,209.45	5,533.88	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 1 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
236 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	07/20/2010	01/06/2011	6,309 28	3,861 53	2,447 75	0.00
569 0000	20453E109	#REORG/COMPLETE CSH AND STK MERGER SUPERIOR ENERGY 2850735 2/8/2012	07/20/2010	01/06/2011	14,751 93	9,310 21	5,441 72	0.00
268 0000	682128103	OMNIVISION TECHNOLOGIES INC COM	03/31/2010	01/06/2011	7,627 44	4,688 90	2,938 54	0.00
558 0000	682128103	OMNIVISION TECHNOLOGIES INC COM	Various	01/06/2011	16,096 85	10,055 38	6,041 47	0.00
416 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	Various	01/07/2011	10,416 63	5,961 23	4,455 40	0.00
72 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	09/01/2010	01/07/2011	1,794 76	1,031 04	763 72	0.00
2677 0000	390124105	GREAT BASIN GOLD LTD COM STK	10/25/2010	01/07/2011	6,876 82	7,248 25	-371 43	0.00
1239 0000	50015Q100	KODIAK OIL & GAS CORP COM	03/29/2010	01/07/2011	7,215 80	4,038 52	3,177 28	0.00
6705 0000	50015Q100	KODIAK OIL & GAS CORP COM	Various	01/07/2011	40,447 89	21,624 59	18,823 30	0.00
30 0000	682128103	OMNIVISION TECHNOLOGIES INC COM	03/31/2010	01/07/2011	846 27	524 88	321 39	0.00
961 0000	682128103	OMNIVISION TECHNOLOGIES INC COM	03/31/2010	01/07/2011	26,543 81	16,813 55	9,730 26	0.00
1211 0000	82705T102	SILICON IMAGE INC COM STK	Various	01/07/2011	8,756 46	4,896 88	3,859 58	0.00
1205 0000	86858W101	SUPPORT COM INC COM	Various	01/07/2011	7,803 31	6,392 08	1,411 23	0.00
1904 0000	50015Q100	KODIAK OIL & GAS CORP COM	03/17/2010	01/10/2011	11,880 75	5,731 42	6,149 33	0.00
3395 0000	50015Q100	KODIAK OIL & GAS CORP COM	Various	01/10/2011	21,064 26	10,260 84	10,803 42	0.00
240 0000	82705T102	SILICON IMAGE INC COM STK	08/17/2010	01/10/2011	1,650 93	965 78	685 15	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 2 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
998 0000	75604L105	REALD INC COM	Various	01/11/2011	25,526 50	18,003.05	7,523 45	0 00
168 0000	832154108	SMITH MICRO SOFTWARE INC	11/24/2010	01/13/2011	2,345 41	2,401 16	-55 75	0 00
1570 0000	832154108	SMITH MICRO SOFTWARE INC	Various	01/13/2011	21,752 77	22,475 70	-722 93	0 00
373 0000	31787A507	FINISAR CORPORATION COMMON STOCK	12/09/2010	01/14/2011	12,785 14	9,381 41	3,403 73	0 00
133 0000	227048109	CROCS INC COM	08/17/2010	01/19/2011	2,136 20	1,787 56	348 64	0 00
1470 0000	227046109	CROCS INC COM	Various	01/19/2011	23,185 57	19,723 24	3,462 33	0 00
1910 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	12/06/2010	01/19/2011	16,276 51	15,891 58	384 93	0 00
2666 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	Various	01/19/2011	22,493 40	21,415 93	1,077 47	0 00
609 0000	470355207	JAMES RIV COAL CO COM NEW STK	11/18/2010	01/19/2011	13,958 86	11,771 55	2,187 31	0 00
1115 0000	470355207	JAMES RIV COAL CO COM NEW STK	Various	01/19/2011	25,440 69	21,505 84	3,934 85	0 00
3859 0000	Y2109Q101	DRYSHIPS INC COM USD0 01	Various	01/19/2011	19,664 69	21,405 11	-1,740 42	0 00
354 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STKCASH MERGER 6-8-2011	12/02/2010	01/20/2011	18,059 81	14,185 00	3,874 81	0 00
141 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STKCASH MERGER 6-8-2011	12/02/2010	01/21/2011	8,540 91	5,649 96	2,890 95	0 00
3504 0000	470355207	JAMES RIV COAL CO COM NEW STK	Various	01/21/2011	76,624 34	66,237 54	10,386 80	0 00
2702 0000	Y2109Q101	DRYSHIPS INC COM USD0 01	11/22/2010	01/21/2011	13,431 11	14,939 82	-1,508 71	0 00
1494 0000	832154108	SMITH MICRO SOFTWARE INC	Various	01/25/2011	18,326 70	21,212 17	-2,885 47	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 3 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
248 0000	832154108	SMITH MICRO SOFTWARE INC	11/23/2010	01/25/2011	3,028.02	3,456.31	-428.29	0.00
4506 0000	Y2109Q101	DRYSHIPS INC COM USD0.01	Various	01/25/2011	20,984.93	24,481.77	-3,496.84	0.00
1802 0000	Y2109Q101	DRYSHIPS INC COM USD0.01	11/12/2010	01/25/2011	8,468.51	9,660.54	-1,192.03	0.00
14484 0000	747906204	QUANTUM CORP DSSG COM	Various	01/27/2011	41,800.02	63,257.98	-21,457.96	0.00
712 0000	23334L102	DSW INC CL A CL A	Various	01/28/2011	23,678.89	23,473.36	205.53	0.00
8812 0000	13123E500	CALLIDUS SOFTWARE INC COM STK	Various	02/01/2011	57,048.65	41,481.71	15,566.94	0.00
815 0000	13123E500	CALLIDUS SOFTWARE INC COM STK	01/06/2011	02/01/2011	5,276.29	4,536.62	739.67	0.00
1167 0000	227046109	CROCS INC COM	08/06/2010	02/01/2011	19,760.31	15,573.89	4,186.42	0.00
460 0000	29266S106	ENDOLOGIX INC COM	Various	02/01/2011	2,879.72	2,974.83	-95.11	0.00
4411 0000	29266S106	ENDOLOGIX INC COM	Various	02/01/2011	27,369.72	30,876.32	-3,506.60	0.00
298 0000	29266S106	ENDOLOGIX INC COM	01/10/2011	02/01/2011	1,849.05	1,965.85	-116.80	0.00
89 0000	29266S106	ENDOLOGIX INC COM	01/10/2011	02/01/2011	550.01	587.11	-37.10	0.00
3097 0000	390124105	GREAT BASIN GOLD LTD COM STK	09/14/2010	02/01/2011	8,262.32	7,694.19	568.13	0.00
2428 0000	390124105	GREAT BASIN GOLD LTD COM STK	Various	02/01/2011	6,444.02	6,572.72	-128.70	0.00
1437 0000	15670R107	CEPHEID INC COM	Various	02/03/2011	34,691.67	28,510.76	6,180.91	0.00
7536 0000	390124105	GREAT BASIN GOLD LTD COM STK	Various	02/03/2011	19,752.97	17,211.60	2,541.37	0.00
479 0000	413086109	HARMAN INTERNATIONAL INDUSTRIES	11/10/2010	02/03/2011	23,279.43	19,597.14	3,682.29	0.00
69 0000	413086109	HARMAN INTERNATIONAL INDUSTRIES	11/10/2010	02/03/2011	3,366.46	2,822.97	543.49	0.00
435 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STK CASH MERGER 6-8-2011	12/02/2010	02/03/2011	26,132.37	17,430.73	8,701.64	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 4 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
3757 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	Various	02/03/2011	33,118 07	28,433.64	4,684 43	0.00
523 0000	46121Y102	INTREPID POTASH INC.COM	11/22/2010	02/03/2011	19,340 58	16,367 80	2,972.78	0 00
5249 0000	G93205113	VANTAGE DRILLING CO COM STK	12/14/2010	02/03/2011	9,689 98	11,490 96	-1,800 98	0 00
2905 0000	103304101	BOYD GAMING CORP COM	Various	02/08/2011	35,744 76	35,097 57	647 19	0 00
155 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	09/01/2010	02/09/2011	4,194 64	2,219 59	1,975 05	0 00
36 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	08/23/2010	02/09/2011	978 71	513 74	464 97	0 00
246 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	Various	02/09/2011	6,643 94	3,513.07	3,130 87	0 00
4667 0000	032515108	ANADIGICS INC COM	Various	02/09/2011	31,393 36	31,790 81	-397 45	0 00
5195 0000	390124105	GREAT BASIN GOLD LTD COM STK	Various	02/09/2011	13,925 44	11,249 91	2,675 53	0 00
383 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STKCASH MERGER 6-8-2011	Various	02/09/2011	22,213 30	15,345 15	6,868.15	0 00
41 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STKCASH MERGER 6-8-2011	12/01/2010	02/09/2011	2,432 22	1,642.28	789 94	0 00
86 0000	880349105	TENNECO INC	08/16/2010	02/09/2011	3,596 02	2,274 51	1,321.51	0 00
540 0000	880349105	TENNECO INC	Various	02/09/2011	22,553 85	14,206 09	8,347 76	0 00
7137 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/09/2011	15,470 56	21,892 24	-6,421.68	0 00
4669 0000	G93205113	VANTAGE DRILLING CO COM STK	12/14/2010	02/09/2011	8,731 32	10,221 23	-1,489 91	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 5 of 15

TEP:55508 40 1065 13 6 30

FORM 990-PF

STATEMENT 17

PART II, LINE 14

FIXED ASSET DETAIL

	COST	ACCUMULATED DEPRECIATION
OFFICE EQUIPMENT & FURNISHINGS		
Reception Quoin Side Chairs	1,600	467
Reception Quoin Side Chairs	1,600	467
Reception Side Table	597	174
Reception Side Table	597	174
Reception Coffee Table	732	214
Reception Occasional table under TV	596	174
Reception Sofa	2,742	800
Reception Kathryn Guest Chair	749	219
Reception Kathryn Guest Chair	749	219
Reception Overhead Cabinet	2,379	694
Reception Lateral Files & Modular Top	1,929	563
Reception Desk	2,563	683
4 stacking seminar chairs	1,392	406
22 seminar chairs	8,864	2,585
Conference room Visual Board	665	194
65" TV	5,081	2,964
TV/DVD stand	5,623	3,280
DVD/VCR Combo	631	368
Hallway Modular Mobile Ottoman	1,963	573
Breakroom 4 tall stools	1,046	305
Breakroom 2 tables	916	267
Breakroom catering cart	611	178
Breakroom Hannah "Izzy" chairs	1,058	309
RICOH Copier	10,658	6,217
5 drawer wall file	1,251	365
5 drawer wall file	1,251	365
2 drawer laterals with common top	2,465	719
Off 205 Combination Cabinet	979	286
Off 205 Overhead Storage Unit	1,617	472
Off 205 Credenza & Desk Unit	2,338	682
Off 205 Side chairs	709	207
Off 206 Credenza & Desk Unit	2,247	655
Off 206 Double credenza unit	1,286	375
Off 206 Double Overhead unit	1,618	472
Off 206 Overhead storage unit	1,617	472
Off 207 2 side chairs	709	207
Off 207 Double Overhead unit	1,617	472
Off 207 Credenza & Desk Unit	2,643	771
Off 207 2 drawer credenza	643	188
Off 207 Combination Cabinet	979	286
Off 209 5 side chairs	1,772	517
Off 209 Credenza & Desk Unit	2,671	779
Off 209 2 drawer credenza	643	188
Off 209 Combination Cabinet	979	286
Off 209 Overhead storage unit	1,617	472
Off 210 5 side chairs	1,772	517
Off 210 Credenza & desk unit	2,296	670
Off 210 Bookcase	402	117
Off 210 Combination Cabinet	979	286
Off 210 Overhead storage unit	1,567	457
Off 210 Small meeting table	286	83
Off 209 Small meeting table	286	83
211 Team Room 2 drawer lateral	712	208
211 Team Room Easel (rolling)	584	170
Off 212 Credenza & Desk unit	2,319	676
Off 212 Credenzas (2 2drawer w/ common top)	1,643	479
Off 212 Combination Cabinet	1,038	303
Off 212 Overhead Storage unit	1,617	472
Off 212 Side chairs (2)	709	207
Off 213 Alina Lounge Chairs (2)	3,146	918
Off 213 Side Chairs (5)	1,772	517
Off 213 Combination Cabinet	1,038	303
Off 213 Overhead Unit 60"	834	243
Off 213 Credenza & Desk	2,296	670
Off 213 Credenzas (2drawer) & top	1,713	500
Off 214 Side Chair (2)	709	207
Off 214 Box file & Desk	1,980	578
Off 214 Combination box file	718	209
Off 214 Overhead units	1,617	472
Off 214 3 drawer files with common top	2,160	630
Off 215 Side chairs (5)	1,772	517
Off 215 Combo box file & desk	2,226	649
Off 215 Credenzas & common top	1,713	500
Off 215 Overhead unit	1,707	498
Off 215 Small Meeting Table	286	83
Off 213 Small Meeting Table	286	83
Off 216 IKON copier/Printer	-	0
Off 216 IKON copier/Printer software	-	(0)
Off 216 High Back Chair	486	142

THE WINTHROP ROCKEFELLER FOUNDATION

71-0285871

FORM 990-PF

STATEMENT 17

PART II, LINE 14

FIXED ASSET DETAIL

	COST	ACCUMULATED DEPRECIATION
Off 216 44" fireproof file drawer	2,513	2,429
Off 216 44" fireproof file drawer	2,513	2,429
Off 216 31" fireproof file drawer	1,670	1,615
Off 216 Wall storage & files with common top	2,919	851
Off 216 Center lateral files with common top	3,255	949
Off 218 Library Side chairs (6)	2,126	620
Off 218 Library Bookcases (2)	664	194
Rectangular Conference Tables (9)	5,903	1,722
Polygon Conference Tables (4)	3,286	958
217 Executive Hallway table	408	119
217 EH Tablet Chairs - Blue fabric	3,142	916
217 EH Tablet Chairs - Blue fabric	4,117	1,201
211 Team Rm Jenny Tablet Chairs - sand fabric	1,418	414
208 Prog Hall Tablet Chairs - Brown pattern fab	4,117	1,201
Shoretel Telephone System & Cabling	29,898	8,719
Shoretel Call Manager - add'l licenses	1,057	282
Shoretel Conference Phone	1,208	664
Office side chairs (4)	1,628	393
Inner Plan final payment	990	256
Art Work for offices	11,700	2,535
Christmas decorations	1,459	608
Reception desk additions	4,250	722
Shoretel Maintenance contract	6,530	2,503
Microphones for Conference Room	1,596	558
Conference Phone	1,432	310
Sharp MX 4101 Copier	10,393	1,213
TOTAL	239,859	78,551

TENANT IMPROVEMENTS 1615

Feature Wall*	5,844	1,510
Sound Masking System	6,798	1,983
Entrance Sign in Lobby	2,166	632
Draper Shades	18,679	4,949
Payments to Baldwin Shell**	66,804	19,485
Net TI	100,291	28,558

COMPUTER SOFTWARE & HARDWARE

Document Manager	2,000	2,000
Sharp Video Data Projector	3,419	3,419
ACER Laptop (include installation)	1,990	1,990
No August depreciation - 8/17		
President Dell Notebook/ Travel	2,711	2,711
Sr. Program Assoc. Dell Notebook	2,490	2,490
Program Associate Dell Notebook	-	-
Travel / COFO Dell Notebook	2,490	2,490
Customiser Module for GIFTS	3,945	3,945
Dell Laptop - conf room	1,950	1,625
Dell Laptop - Sr. Program Assoc - Ed	1,950	1,625
Wireless Router	2,212	1,966
2010 Server (paid for August - October)	38,254	17,002
New PC WRFLITPC25	2,465	822
New PC WRFLITPC26	2,014	671
New PC WRFLITPC27	2,014	671
New PC WRFLITPC28	2,465	822
New PC WRFLITPC29	2,014	671
New PC WRFLITPC30	2,014	671
New PC WRFLITPC31	2,014	671
New PC WRFLITPC32	2,014	671
New PC WRFLITPC33	2,508	836
New PC WRFLITPC34	2,465	822
	85,401	48,593

WEBSITE

	71,584	26,844
--	---------------	---------------

GRAND TOTAL

	497,135	182,547
--	----------------	----------------

Place Based Change Initiative – \$119,628

The Winthrop Rockefeller Foundation's (WRF) support for local community change strategies is integral to our goal of increasing educational attainment and economic mobility in select communities in Arkansas. Technical assistance has been provided to two Arkansas communities engaged in these change efforts. WRF has also coordinated a network of community change organizations as a strategy to bring more efficiency to these efforts across the state.

- *Organizations Engaged:* City of Jonesboro, Mississippi County Arkansas Economic Opportunity Coalition, Northeastern Arkansas Community College, Mississippi County PRIDE, Arkansas State University, Houseaboutit, Inc., Rural Community Alliance, Arkansas Public Policy Panel, Arkansas State University – Mountain Home, Ozarka Community College, Pine Bluff 20/20, Delta Citizens Alliance, Mid-South Delta LISC, Lee County Family Resource Center, Northwest Arkansas Workers Justice Alliance, American Red Cross in Arkansas, Arkansas Foodbank
- *Convenings:* Place Based Learning Convening - November 2011
- *Research Produced:* Reflections on the Winthrop Rockefeller Foundation Place-based Community Change Learning Exchange.

Moving the Needle Working Group - \$114,397

Winthrop Rockefeller Foundation's Moving the Needle Working Group is an innovative effort to strengthen the state's nonprofit infrastructure by providing consistent learning and collaboration opportunities for a group of highly motivated leaders. The Working Group is composed of direct services providers, advocacy groups, government entities and social entrepreneurs who have coalesced over the last two years specifically around the Foundation's "Moving the Needle" goals. The group meets regularly via webinar and in person to hear from experts in policy making, organizational development, community development and infrastructure improvement. The group employs an organic process by which individual members partner to develop issue or geographically specific projects and proposals for federal, state or local funding. The goal of the working group is two-fold: to energize and build capacity for local leaders and to support the implementation of WRF's Moving the Needle strategic plan.

- *Organizations Engaged:* Southern Bancorp, McGehee Desha Alumni Center, Houseaboutit Inc., Central Arkansas Development Council, Arkansas Coalition for Excellence, Pulaski Technical Community College, Delta Community Development and Law Center, University of Arkansas at Little Rock Institute of Criminal Justice, Arkansas Advocates for Children and Families, University of Central Arkansas Center for

FORM 990-PF, PART IX-A**SUMMARY OF DIRECT CHARITABLE ACTIVITIES****STATEMENT 18**

Community Development, Youth Strategies Inc., City Youth Ministries, Mississippi County Arkansas Economic Opportunity Coalition

- *Convenings:* Working Group Roll-out Retreat- May 2011; Technical Assistance/Learning Webinars – Feb, March, April, July, August, 2011; Working Group Strategic Planning Meeting – September, 2011; Moving the Needle Convening – October, 2011,
- *Research Produced:* None

Nonprofit Infrastructure - \$105,209

WRF's strategic plan includes a specific goal in support of stronger, more effective nonprofit organizations across the state. Support includes providing a variety of opportunities for organizations to access strategic planning and executive coaching resources. WRF works closely with the Arkansas Coalition for Excellence, the Arkansas Division of Community Service and Nonprofit Support, and individual consultants to ensure that all grantees (and the sector in general) have easy access to organizational development support. Our investment in nonprofit infrastructure clearly supports our other goals around poverty reduction, community change and educational achievement by ensuring that the organizations providing services and advocacy leadership in those areas are working at maximum efficiency.

- *Organizations engaged:* Arkansas Coalition for Excellence, Arkansas Division of Community Service and Nonprofit Support, BGACDC, McGehee Desha Alumni Community Center, Mid-Delta Community Consortium, Central Arkansas Development Council, Houseaboutit, Inc., Access Consortium, Arkansas Opportunity to Learn Campaign
- *Convenings:* Biennial Grantee Convening – Moving the Needle in Action: AR Grade Level Reading Campaign – September 2011; Nonprofit Advocacy and Lobbying – October, 2011;
- *Research Produced:* N/A

Marginalized Males Workforce & Education Consortium - \$76,763

The Winthrop Rockefeller Foundation's investments in the Marginalized Males Workforce and Education Consortium are creating a seamless pipeline of support from community to college campuses for African American, Latino and low income males across the state. The Consortium currently consists of six higher education institutions and five community based organizations. Through the consortium, WRF provides a mechanism through which participating organizations can share resources, best practices and resources in a way that strengthens individual programs and also strengthens the ability of Arkansas' higher education system to address the needs of marginalized males. The consortium, with WRF support, also provides nationwide exposure to Arkansas' unique approach to closing the

opportunity gap that exists between low and moderate income communities and college campuses. Consortium strategies include a web-based information sharing portal, regular in-face convenings and ongoing support for program development.

- *Organizations Engaged:* : University of Arkansas at Little Rock, Henderson State University, Pulaski Technical College, Arkansas State University-Jonesboro, Philander Smith College, Arkansas Baptist College, City-Youth Ministries, Boys and Girls Club of Central Arkansas, STAND Foundation, Positive Atmosphere Reaches Kids, Pfiefer Kiwanis Camp
- *Convenings:* African American Male Initiative Conference- February 2011; MMWEC Planning Retreat – July 2011; MMWEC Advisory Team Meeting-September 2011
- *Research Produced:* None

PART XV, LINES 2A THROUGH 2D

Name and Address of Person to Whom Application Should be Submitted:

Online at www.wrfoundation.org
225 East Markham Street, Suite 200
Little Rock, AR 72201

Telephone Number

(501) 376-6854

Form and Content of Applications**Review our Mission and Moving the Needle strategic plan goals**

To determine if your grant proposal is consistent with WRF's focus, review our Mission and Vision and Moving the Needle strategic plan goals.

Visit the website to view an extended version of the five-year strategic plan.

To receive a grant, your organization must meet the following basic eligibility requirements:

- You are a tax-exempt, 501(c)(3) nonprofit organization OR a government agency, school, college, or university
- The initiative that you are proposing directly or significantly has an impact within the state of Arkansas

Who Should Apply

Tax-exempt, 501(c)(3) nonprofit organizations working to improve the lives of Arkansans are eligible to apply for funding. Government agencies, schools, colleges, and universities seeking funding that will be specifically earmarked for charitable purposes are also eligible. The Foundation makes grants in Arkansas and, on a limited basis, to regional or national organizations doing work in Arkansas.

You may want to review our recent grantees to learn more about what we fund.

Priority Issues for WRF

Moving The Needle (MTN) is a multi-year strategic plan that builds on WRF's 35-year history of grantmaking. Under MTN, WRF has the following strategic goals:

- Reduce the number of Arkansas families living below 200 percent of the federal poverty line.
- Increase high school, vocational, and college graduation rates in the state.
- Increase educational attainment and economic mobility in select communities in Arkansas.
- Show that strategic support and good grantmaking practices can help select grantees achieve MTN goals within the parameters of their missions.

The ambitious MTN agenda requires WRF to focus on a few goals and, in collaboration with other public and private donors, devote resources strategically to a mix of research,

PART XV, LINES 2A THROUGH 2D

advocacy, and direct service projects. While we encourage new ideas, unsolicited proposals will be considered only if they are securely aligned with the strategies and goals of MTN.

In addition to the guidelines listed above, MTN and WRF's grantmaking will be guided by the following principles:

- WRF will focus its efforts on people and communities with the least wealth and opportunity.
- WRF will be held accountable to the highest standards of integrity and openness.
- WRF will use its resources to understand the problems contributing to poverty in Arkansas and develop long-term, system-focused solutions to those problems.

Complete and submit the online application

The Foundation accepts online application submissions. The application begins with a short eligibility quiz found on the Foundation's website, www.wrfoundation.org. If basic eligibility is met, the applicant will be given the opportunity to complete the application and attach a line item project budget, an organization budget, and a board of directors list. By creating a user ID and password, the applicant may save a partial application and return at a later time to complete the application and submit it to WRF. While the online application process is intended to be user-friendly, applicants may contact WRF Program Staff at 501-376-6854 for clarification.

Application Review and Approval – Submission Deadlines

Applications are accepted and reviewed on an ongoing basis. An initial review by WRF program staff determines fit with the Foundation's vision, mission, and funding priorities. The review and selection process takes an average of three to six months from the time the completed application and related documents are received. Grant seekers are encouraged to begin the application process at least six months before the proposed funding would go into effect.

Organizations whose applications receive favorable initial review may be invited to submit more detailed proposals and can receive guidance in the subsequent proposal preparation process from WRF program staff. Funding recommendations are presented to the WRF Board of Directors at their quarterly meetings, in March, June, September, and December. All funding decisions are made by the WRF Board, which may decide to award all, none, or some portion of the requested funds.

Restrictions and Limitations on Awards:

We do not make grants to:

- Individuals
- Support capital expenditures (construction, major renovations, equipment, or supplies)
- Provide operating support to cover budget shortfalls
- Support fundraising campaigns
- Support basic scientific research
- Support endowments
- Support initiatives that do not directly or significantly has an impact within the state of Arkansas

Grant Description	Title	2011 Grants Approved	2011 Grants Paid	Future payments on grants approved in 2011
Advocates for Community and Rural Education dba Rural Community Alliance - Fox, AR <i>Public Charity</i> To support efforts to engage Arkansas citizens in the Formula Fairness Campaign focused on ensuring that rural communities receive a more equitable share of federal Title I education funds	Formula Fairness Campaign		\$ 3,830	\$ -
Advocates for Community and Rural Education dba Rural Community Alliance - Fox, AR <i>Public Charity</i> To support the implementation of resident-focused community development processes in low-wealth communities across Arkansas	Mission Critical	\$ 75,000	\$ 75,000	\$ -
American Red Cross of Greater Arkansas - Little Rock, AR <i>Public Charity</i> To support disaster relief assistance for Arkansas communities affected by tornados and flooding	Disaster Relief	\$ 25,000	\$ 25,000	\$ -
Arkansas Advanced Initiative for Math and Science, Inc - Little Rock, AR <i>Public Charity</i> To support the development and provision of high-quality advanced placement Math and Science programs in high-need Arkansas high schools	AAIMS AP Expansion Program		\$ 65,000	
Arkansas Advanced Initiative for Math and Science, Inc - Little Rock, AR <i>Public Charity</i> To support the strategic implementation of the Common Core State Standards Initiative (CCSSI)	AAIMS Common Core State Standards Initiative	\$ 250,000	\$ 103,000	\$ 147,000
Arkansas Advocates for Children and Families - Little Rock, AR <i>Public Charity</i> To support AACF's mission to support low income children and families through research, education, communications, coalition-building, and policy advocacy.	Mission Critical	\$ 250,000	\$ 125,000	\$ 125,000
Arkansas Association of Two-Year Colleges <i>Public Charity</i> To establish the Center for Student Success that will focus on public policy development and disseminate lessons learned related to the removal of critical barriers to student success, and support the efforts of Arkansas two-year colleges to access federal and state resources	Center for Student Success		\$ 100,000	\$ -
Arkansas Baptist College - Little Rock, AR <i>College/University</i> To support the development of a campus-based, male-focused recruitment and retention program, and build a framework for a state-level "best practices" learning community specific to the issue of marginalized males and higher education	Marginalized Males Workforce and Education Consortium	\$ -	\$ 42,000	\$ -
Arkansas Coalition for Excellence - Little Rock, AR <i>Public Charity</i> To support ACE's mission to strengthen Arkansas nonprofits	Strengthening Nonprofit Excellence in Arkansas	\$ 150,000	\$ 75,000	\$ 75,000
Arkansas Coalition of Housing and Neighborhood Growth for Empowerment (ACHANGE) <i>Public Charity</i> To support the development of affordable housing policies, a stronger affordable housing advocacy network, service provider best practices, and affordable housing options across the state	ACHANGE		\$ 34,000	\$ - \$ - \$ -
Arkansas Department of Higher Education Little Rock, AR <i>State Agency</i> To support the placement of three Career Coaches in high need Pulaski County high schools	Works Career Coach Program	\$ 258,500	\$ 84,500	\$ 174,000
Arkansas Humanities Council - Little Rock, AR <i>Public Charity</i> To support the Raising Education Achievement and Competence in the Humanities (REACH) mini-grant program for professional development of humanities teachers in Arkansas	Raising Education Achievement and Competence in the Humanities Program	\$ 300,000	\$ 75,000	\$ 225,000
Arkansas Hunger Relief Alliance - Little Rock, AR <i>Public Charity</i>	Disaster Relief	\$ 25,000	\$ 25,000	\$ -

Grant Description	Title	2011 Grants Approved	2011 Grants Paid	Future payments on grants approved in 2011
To support disaster relief assistance for Arkansas communities affected by tornados and flooding				
Arkansas Policy Foundation - Little Rock, AR <i>Public Chanty</i> To support a study that explores how the federal Earned Income Tax Credit (EITC) positively impacts Arkansas' income measures, and how increases in EITC participation could improve these measures	EITC Evaluation	\$ 7,500	\$ 7,500	\$ -
Arkansas Public Policy Panel - Little Rock, AR <i>Public Chanty</i> To support APPP's mission to engage low wealth communities in community development and public policy advocacy	Mission Critical	\$ 286,000	\$ 143,000	\$ 143,000
Arkansas Public Policy Panel - Little Rock, AR <i>Public Chanty</i> To support grassroots organizing and community building in immigrant communities through the Arkansas United Community Coalition	United Arkansas Community Coalition	\$ 30,000	\$ -	\$ 30,000
Arkansas Rice Depot, Inc. - Little Rock, AR <i>Public Chanty</i> To support disaster relief assistance for Arkansas communities affected by tornados and flooding	Disaster Relief	\$ 25,000	\$ 25,000	\$ -
Arkansas Single Parent Scholarship Fund - Springdale, AR <i>Public Chanty</i> To provide operational and developmental support for the Poverty to Prosperity Project	Poverty to Prosperity Project		\$ 100,000	\$ -
Arkansas State University - Jonesboro, AR <i>College/University</i> To support the development of an African-American male-focused recruitment and retention program, and a state-level "best practices" learning community specific to the issue of marginalized males and higher education	Marginalized Males Workforce and Education Consortium	\$ 150,000	\$ 25,000	\$ 125,000
Arkansas State University - Jonesboro, AR <i>College/University</i> To provide matching funds for the Arkansas Out of School Network in support of a \$75,000 grant received from the C S Mott Foundation	Arkansas Out of School Network	\$ 75,000	\$ -	\$ 75,000
Arkansas State University Mountain Home - Mountain Home, AR <i>College/University</i> To support the expansion of 15 Volunteer Income Tax Assistance (VITA) sites as a model for more efficient and effective VITA site implementation	Volunteer Income Tax Assistance Expansion	\$ -	\$ 150,000	\$ -
Arkansas State University Mountain Home - Mountain Home, AR <i>College/University</i> To support the expansion of Volunteer Income Tax Assistance (VITA) sites in Baxter, Jefferson, Mississippi, Polk and Pulaski Counties	Volunteer Income Tax Assistance Expansion	\$ 145,000	\$ -	\$ 145,000
Association of Latino Professionals in Finance and Accounting - Los Angeles, CA <i>Public Chanty</i> To support integration and secondary educational supports for the growing Latino population in Northwest Arkansas	ALPHA Institute	\$ 50,000	\$ 50,000	\$ -
Boys, Girls, Adults Community Development Center - Marvell, AR <i>Public Chanty</i> To support BGACDC's mission to build community competency in youth development, intergenerational community development, economic development, housing, and leadership development	Mission Critical	\$ 211,000	\$ 105,500	\$ 105,500

Grant Description	Title	2011 Grants Approved	2011 Grants Paid	Future payments on grants approved in 2011
Boys and Girls Clubs of Central Arkansas - Little Rock, AR <i>Public Charity</i> To support the development of community-based programs and a state-level "best practices" learning community to increase college retention and graduation rates among marginalized males in the state of Arkansas	Marginalized Males Workforce and Education Consortium	\$ 150,000	\$ 75,000	\$ 75,000
Catholic Diocese of Little Rock - Little Rock, AR <i>Public Charity</i> To support immigrant integration services in Arkansas through the provision of direct services	Catholic Charities Immigration Services	\$ 75,000	\$ 75,000	\$ -
The Center for Leadership Innovation, Inc. (TCLI) <i>Public Charity</i> To support TCLI's partnership with the Arkansas Coalition for Excellence (ACE) and One Community! Una Comunidad! to establish the Arkansas Latino Nonprofit Leadership Academy	Latino Non-profit Leadership Academy	\$ -	\$ 60,000	\$ -
Central Arkansas Development Council - Benton, AR <i>Public Charity</i> To support the expansion of Volunteer Income Tax Assistance sites in Central Arkansas	Asset Development for Arkansas Families	\$ 50,758	\$ 50,758	\$ -
City Youth Ministries, Inc. <i>Public Charity</i> To support the implementation of City Youth's college readiness programs focused on African American males and support the development of a state level "best practices" learning community specific to the issue of marginalized males and higher education	Marginalized Males Workforce and Education Consortium		\$ 50,452	\$ -
Clinton School District No. 1 - Clinton, AR <i>School District</i> To support the Arkansas School of the 21 st Century Leadership Council	Arkansas 21C Network	\$ 50,000	\$ 50,000	\$ -
Desha County - McGehee Desha Alumni Community Center - Arkansas City, AR <i>County Agency</i> To support MDACC's mission to provide educational programs and workforce training to residents of Desha County and neighboring counties	Incubating Ideas, Strengthening Organizations	\$ 9,450	\$ -	\$ 9,450
Economic Opportunity Agency of Washington County, Inc - Springdale, AR <i>Public Charity</i> To support the expansion of Volunteer Income Tax Assistance sites in Northwest Arkansas	Northwest Arkansas Tax Center - VITA Site Expansion	\$ 50,000	\$ 50,000	\$ -
Foodbank of North Central Arkansas <i>Public Charity</i> To support the implementation of resident-focused community development processes in low-wealth communities across Arkansas	Arkansas Communities Connecting Effective Services for Success	\$ 70,000	\$ 70,000	\$ -
Henderson State University - Arkadelphia, AR <i>College/University</i> To support the development of an African-American male-focused recruitment and retention program, and a state-level "best practices" learning community specific to the issue of marginalized males and higher education	Marginalized Males Workforce and Education Consortium	\$ 135,000	\$ 45,000	\$ 90,000
Houseaboutit - North Little Rock, AR <i>Public Charity</i> To support the implementation of targeted community and economic development plans for rural communities across the Arkansas Delta	Small Cities Economic Empowerment Project	\$ -	\$ 18,750	\$ -
The Jones Center for Families, Inc - Springdale, AR <i>Public Charity</i> To enhance the Jones Center's efforts to develop critical community, business, and philanthropic partnerships in support of its mission to strengthen families in Northwest Arkansas		\$ -	\$ 100,000	\$ -
Kiwanis Activities, Inc. - Little Rock, AR <i>Public Charity</i>	Marginalized Males Workforce and Education Consortium	\$ 150,000	\$ 50,000	\$ 100,000

Grant Description	Title	2011 Grants Approved	2011 Grants Paid	Future payments on grants approved in 2011
To support the development of a community-based program and a state-level "best practices" learning community to increase college retention and graduation rates for marginalized males in the state of Arkansas				
Main Street Dumas, Inc - Dumas, AR <i>Public Charity</i> To support the development of the Delta Technology and Education Center in Dumas, Arkansas.	Delta Technology Education Center	\$ 75,000	\$ 75,000	\$ -
MDC, Inc. - Chapel Hill, NC <i>Public Charity</i> To enable four Arkansas two-year institutions to participate in the "Achieving the Dream" national demonstration project to measurably improve student outcomes	Achieving the Dream	\$ -	\$ 170,094	\$ -
Mid-Delta Community Consortium <i>Public Charity</i> To support the Arkansas Delta Freedom Schools Collaborative in its efforts to improve high school graduation and college attendance rates in four Arkansas Delta communities	Delta Freedom Schools	\$ -	\$ 200,000	\$ -
Migration Policy Institute - Washington, D.C. <i>Public Charity</i> To update A Profile of Immigrants in Arkansas, the WRF funded study released in 2007 that provided the first comprehensive picture of the demographics and economic impact of immigrant families in the state	Immigrant Study	\$ 259,000	\$ 102,400	\$ 156,600
Mississippi County Arkansas Economic Opportunity Commission - Blytheville, AR <i>Public Charity</i> To support DCDLC's mission to increase the scale, efficiency, and consistency of affordable housing production across the Delta areas of Arkansas, Louisiana, and Mississippi	Delta Community Development and Law Center	\$ 39,920	\$ -	\$ 39,920
National Park Community College - Hot Springs, AR <i>University / College</i> To participate in the "Achieving the Dream" national demonstration project to measurably improve student outcomes.	Achieving the Dream		\$ 100,000	\$ -
National Center for Responsive Philanthropy - Washington, D.C. <i>Public Charity</i> To support research on foundation funding to nonprofits in Arkansas for advocacy, community organizing, and civic engagement that benefits vulnerable or marginalized populations.	Grant making for Community Impact Project in the American South	\$ 30,000	\$ 30,000	\$ -
Quachita Technical College - Malvern, AR <i>University / College</i> To participate in the "Achieving the Dream" national demonstration project to measurably improve student outcomes.	Achieving the Dream		\$ 100,000	\$ -
P.A.R.K. Foundation - Little Rock, AR <i>Public Charity</i> To enhance P A R K 's existing college readiness programs focused on African American males and support the development of a state level "best practices" learning community specific to the issue of marginalized males and higher education	Marginalized Males Workforce and Education Consortium	\$ -	\$ 50,000	\$ -
Philander Smith College - Little Rock, AR <i>University / College</i> To support the development of a campus-based, male-focused recruitment and retention program, and build a framework for a state level "best practices" learning community specific to the issue of marginalized males and higher education.	Marginalized Males Workforce and Education Consortium	\$ -	\$ 50,000	\$ -

Grant Description	Title	2011 Grants Approved	2011 Grants Paid	Future payments on grants approved in 2011
Phillips Community College of the University of Arkansas - Helena, AR <i>University / College</i> To participate in the "Achieving the Dream" national demonstration project to measurably improve student outcomes.	Achieving the Dream		\$ 100,000	\$ -
Pulaski Technical College Foundation - North Little Rock, AR <i>Public Charity</i> To participate in the "Achieving the Dream" national demonstration project to measurably improve student outcomes.	Achieving the Dream		\$ 100,000	\$ -
The Schott Foundation for Public Education <i>Public Charity</i> To support Arkansas's participation in the multi-state, multi-year Opportunity to Learn Campaign coordinated by The Schott Foundation for Public Education	Opportunity to Learn Campaign	\$ -	\$ 100,000	\$ -
The Stand Foundation, Inc. - North Little Rock, AR <i>Public Charity</i> To support the development of leadership and civic engagement training programs for marginalized males in partnership with state institutions of higher education	Marginalized Males Workforce and Education Consortium	\$ -	\$ 40,000	\$ -
University of Arkansas at Little Rock - Little Rock, AR <i>University / College</i> To support the development of a campus-based, male-focused recruitment and retention program, and build a framework for a state-level "best practices" learning community specific to the issue of marginalized males and higher education.	Marginalized Males Workforce and Education Consortium	\$ -	\$ 50,000	\$ -
University of Arkansas at Little Rock - Little Rock, AR <i>University / College</i> To expand Reading Recovery, a research based K-3 comprehensive literacy intervention as part of the Arkansas Campaign for Grade Level Reading.	Arkansas Grade Level Reading	\$ 400,000	\$ 150,000	\$ 250,000
University of Arkansas Community College of Morrilton - Morrilton, AR <i>University / College</i> To support the Conway County School Counts! Initiative, which is focused on increasing high school graduation, college-going, and college graduation rates for Conway County K-12 students.	Conway County School Counts! Initiative	\$ -	\$ 40,000	\$ -
University of Arkansas - Fayetteville, AR <i>University / College</i> To support, in partnership with the School of Social Work and School of Journalism, a pilot demonstration of independent qualitative and quantitative data gathering tools in support of the WRF Grantee Outcomes Assessment Learning System (GOALS)	Moving the Needle Outcomes Assessment: Independent Documentation Pilot	\$ 100,000	\$ 50,000	\$ 50,000
University of Arkansas for Medical Sciences - Little Rock, AR <i>College/University</i> To support design and construction of a new cancer treatment center for the Winthrop P Rockefeller Cancer Institute.	Construction Support for the Winthrop P. Rockefeller Cancer Institute	\$ -	\$ 1,867,735	\$ -
University of Arkansas Winthrop Rockefeller Center - Morrilton, AR <i>College/University</i> To support design and construction of a new cancer treatment center for the Winthrop P Rockefeller Cancer Institute.	Winthrop Rockefeller Centennial Celebration	\$ 25,000	\$ 25,000	\$ -
Women's Foundation of Arkansas <i>Public Charity</i> To support the organizational development of the Women's Foundation of Arkansas to reduce poverty among women and girls in Arkansas		\$ -	\$ 25,750	\$ -
Unrestricted Grants Adjustments Refunds		\$ 73,050 \$ - \$ (486)	\$ 93,050	
TOTAL		4,054,692	5,652,319	2,140,470

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Summary - Taxable

Cost Method HIGH COST

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total	Market value incl accruals	%
Equities								
Common stock								
Australia - AUD	6,921 44	584,575 00	454,031 80	40,463 27	90,079 93	130,543 20	591 496 44	502%
Brazil - BRL	0 00	28,410 13	29,317 10	2,774 86	- 3,681 83	- 906 97	28,410 13	024%
Canada - CAD	727 09	203 423 53	129,363 68	65,654 70	8,405 15	74,059 85	204,150 62	173%
Canada - USD	0 00	494,905 28	469,283 78	25 621 50	0 00	25,621 50	494 905 28	420%
China - HKD	0 00	132,714 45	157 284 95	- 24 680 21	109 71	- 24,570 50	132,714 45	113%
France - EUR	0 00	228,711 18	210 299 72	20 209 57	- 1,798 11	18,411 46	228,711 18	194%
Germany - EUR	0 00	33 731 13	32,074 62	4,687 75	- 3,031 24	1,656 51	33,731 13	029%
Hong Kong - HKD	7,730 15	566,370 33	495,498 84	70 500 65	370 84	70,871 49	574,100 48	488%
Hong Kong - USD	0 00	63 296 68	50,732 49	12,564 19	0 00	12,564 19	63,296 68	054%
Japan - JPY	2,728 47	524,955 81	492,431 64	- 32 814 47	65,338 64	32,524 17	527 684 28	448%
Japan - USD	0 00	309 422 00	393,224 00	- 83,802 00	0 00	- 83,802 00	309,422 00	263%
Netherlands - EUR	0 00	17 885 98	27,175 89	- 7,588 22	- 1,701 69	- 9,289 91	17,885 98	015%
Netherlands - USD	0 00	208,300 60	172,845 24	35 455 36	0 00	35,455 36	208,300 60	177%
Singapore - SGD	197 67	222,692 09	249 188 05	- 30,561 73	4,065 77	- 26 495 96	222,889 76	189%
Sweden - SEK	0 00	28,877 87	17,704 57	8,987 59	2,185 71	11,173 30	28,877 87	025%
Switzerland - CHF	0 00	44 123 62	36,750 06	8,755 65	- 1,382 09	7 373 56	44,123 62	037%
Switzerland - USD	0 00	303,163 00	275,448 76	27,714 24	0 00	27,714 24	303,163 00	258%
United Kingdom - GBP	779 34	270,824 70	240,462 98	34 071 34	- 3,709 62	30,361 72	271,604 04	231%
United States - USD	84,921 13	52,079,923 26	43,445,728 36	8,634,194 90	0 00	8,634,194 90	52 164,844 39	44 307%
Total common stock	104,005.29	56,346,306.64	47,378,846.53	8,812,208 94	155,251.17	8,967,460.11	56,450,311 93	47 947%

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Summary - Taxable

Cost Method HIGH COST

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total	Market value incl accruals	%
<i>Equities</i>								
Funds - common stock								
International Region - USD	0 00	7,892,948 05	7,859,960 18	32,987 87	0 00	32,987 87	7,892,948 05	6 704%
United States - USD	0 00	19,953 252 00	19,034,854 45	918,397 55	0 00	918,397 55	19 953 252 00	16 948%
Total funds - common stock	0.00	27,846,200.05	26,894,814 63	951,385 42	0.00	951,385 42	27,846,200.05	23 652%
Total equities	104,005.29	84,192,506.69	74,273,661 16	9,763,594 36	155,251.17	9,918,845 53	84,296,511 98	71 599%
<i>Fixed Income</i>								
Funds - government agencies								
United States - USD	54,029 60	19,501,502 48	18,758 261 73	743 240 75	0 00	743,240 75	19,555,532 08	16 610%
Total funds - government agencies	54,029 60	19,501,502.48	18,758,261 73	743,240 75	0.00	743,240.75	19,555,532.08	16 610%
Funds - municipal/provincial bond								
United States - USD	32,087 34	11,886,540 35	11,557,448 54	329,091 81	0 00	329,091 81	11,918,627 69	10 123%
Total funds - municipal/provincial bond	32,087 34	11,886,540.35	11,557,448.54	329,091.81	0.00	329,091.81	11,918,627 69	10 123%
Total fixed income	86,116 94	31,388,042.83	30,315,710.27	1,072,332.56	0.00	1,072,332.56	31,474,159 77	26 733%
<i>All Other</i>								
Recoverable taxes								
Recoverable taxes - all currencies	383 24	0 00	0 00	0 00	0 00	0 00	383 24	000%
Total recoverable taxes	383.24	0 00	0 00	0.00	0 00	0.00	383.24	000%
Total all other	383.24	0 00	0 00	0.00	0 00	0.00	383.24	000%

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Summary - Taxable

Cost Method HIGH COST

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total	Market value incl accruals	%
Cash and Cash Equivalents								
Cash								
Cash - all currencies	0 00	180 22	179 59	0 00	0 63	0 63	180 22	000%
Total cash	0 00	180 22	179 59	0 00	0 63	0 63	180 22	000%
Invested cash								
Invested cash - all currencies	0 60	85,225 60	85 225 60	0 00	0 00	0 00	85,226 20	072%
Total invested cash	0 60	85,225 60	85,225 60	0 00	0 00	0 00	85,226 20	072%
Funds - short term investment								
Funds - Short Term Investment - all currencies	17 15	1 971,776 12	1 971,776 12	0 00	0 00	0 00	1,971,793 27	1 675%
Total funds - short term investment	17 15	1,971,776 12	1,971,776 12	0 00	0 00	0 00	1,971,793 27	1 675%
Currency								
Currency - all currencies	- 3,350 40	0 00	0 00	0 00	0 00	0 00	- 3 350 40	- 003%
Total currency	- 3,350 40	0 00	0 00	0 00	0 00	0 00	- 3,350 40	- .003%
Total cash and cash equivalents	- 3,332 65	2,057,181 94	2,057,181 31	0 00	0 63	0 63	2,053,849 29	1.744%

Adjustments To Cash

Pending foreign exchange purchases

Pending foreign exchange purchases - all currencies	0 00	44,118 71	44,070 56	0 00	48 15	48 15	44 118 71	037%
Total pending foreign exchange purchases	0 00	44,118 71	44,070 56	0 00	48 15	48 15	44,118 71	.037%

Pending foreign exchange sales

Pending foreign exchange sales - all currencies	0 00	- 44,298 50	- 44,070 56	0 00	- 227 94	- 227 94	- 44,298 50	- 038%
Total pending foreign exchange sales	0 00	- 44,298 50	- 44,070 56	0 00	- 227 94	- 227 94	- 44,298 50	- 038%

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2014

Account number WROCK
Account Name

◆ Asset Summary - Taxable

Cost Method HIGH COST

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total	Market value incl accruals	%
Adjustments To Cash								
Pending trade purchases								
Pending trade purchases - all currencies	0.00	- 119,050.68	- 119,003.67	0.00	- 47.01	- 47.01	- 119,050.68	- .101%
Total pending trade purchases	0.00	- 119,050.68	- 119,003.67	0.00	- 47.01	- 47.01	- 119,050.68	- .101%
Pending trade sales								
Pending trade sales - all currencies	0.00	29,226.57	28,965.77	0.00	260.80	260.80	29,226.57	.025%
Total pending trade sales	0.00	29,226.57	28,965.77	0.00	260.80	260.80	29,226.57	.025%
Total adjustments to cash	0.00	- 90,003.90	- 90,037.90	0.00	34.00	34.00	- 90,003.90	- .076%
Total unrealized gain for listed securities						15,964,456.59		
Total unrealized loss for listed securities						- 4,973,278.50		
Total unrealized gain for non-listed securities						309.85		
Total unrealized loss for non-listed securities						- 275.22		
Grand Total by Lots		117,547,727.56	106,556,514.84	10,835,926.92	155,285.80	10,991,212.72	117,547,727.56	
Grand Total	187,172.82	117,547,727.56	106,556,514.84	10,835,926.92	155,285.80	10,991,212.72	117,734,908.38	100.000%

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR	Accrued	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price	income/expense				Translation	
Acquisition Date	Local cost/share						
Common stock							
Australia - AUD							
DEXUS PROPERTY GP NPV (STAPLED)	62,457 000	1,453 32	53 145 66	27,933 42	10 971 66	14,240 58	25,212 24
SEDOL B033YN6	0 830						
23 Apr 09	36 857 000	0 65	31 362 21	16 966 38	6 801 44	7,594 39	14,395 83
4 Mar 09	25,600 000	0 67	21,783 45	10 967 04	4 170 22	6,646 19	10,816 41
GOODMAN GROUP NPV (STAPLED UNITS)	105 935 000	1,661 67	61,904 60	37 623 20	17,471 80	6 809 60	24,281 40
SEDOL B03FYZ4	0 570						
10 Aug 09	39,528 000	0 40	23,098 74	13 255 32	6,889 10	2 954 32	9,843 42
10 Aug 09	14 745 000	0 52	8,616 45	6,423 99	760 70	1,431 76	2,192 46
4 Mar 09	29 662 000	0 21	17,333 40	3 993 63	10,919 56	2,420 21	13,339 77
18 Oct 11	9 100 000	0 62	5,317 72	5,744 73	- 428 42	1 41	- 427 01
19 Oct 11	6 562 000	0 62	3,834 59	4,162 62	- 352 74	24 71	- 328 03
17 Oct 11	4,500 000	0 62	2 629 64	2,872 62	- 224 33	- 18 65	- 242 98
17 Oct 11	1,400 000	0 62	818 11	893 42	- 69 51	- 5 80	- 75 31
19 Oct 11	438 000	0 62	255 95	276 87	- 22 56	1 64	- 20 92
GPT GROUP NPV (STAPLED SECURITIES)	18 240 000	0 00	57,407 92	34,508 61	16 065 63	6,833 68	22,899 31
SEDOL 6365866	3 070						
27 Oct 10	6,260 000	2 75	19,702 50	17,003 75	2,027 21	671 54	2 698 75
18 May 09	6,560 000	1 75	20,646 71	8,682 32	8,877 41	3,086 98	11,964 39
9 Jun 10	2,900 000	2 72	9,127 35	6,451 07	1,038 27	1,638 01	2,676 28
4 Mar 09	2,520 000	1 47	7,931 36	2,371 47	4,122 74	1,437 15	5 559 89
INVESTA OFFICE FD UNITS NPV (STAPLED)	57 400 000	488 66	35 307 89	29,483 84	442 97	5,381 08	5,824 05
SEDOL 6205694	0 600						
4 Feb 10	6,400 000	0 61	3,936 77	3 421 68	- 34 82	549 91	515 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Shares/PA	Local cost/share	Accrued income/expense	Market value	Cost	Market	Translation	Total
Acquisition Date		Local market price							
Common stock									
15 Sep 09		6 400 000	0 60		3,936 77	3,278 22	31 55	627 00	658 55
22 Sep 09		5,173 000	0 62		3,182 01	2,743 77	- 87 10	525 34	438 24
5 Feb 10		4 600 000	0 59		2,829 55	2,372 14	38 73	418 68	457 41
15 Sep 09		4 000 000	0 60		2,460 48	2,075 78	- 12 31	397 01	384 70
3 Feb 10		3,900 000	0 60		2,398 97	2,054 51	16 42	328 04	344 46
4 Jun 10		3,821 000	0 58		2,350 38	1,871 74	76 51	402 13	478 64
1 Jun 10		3,000 000	0 56		1,845 36	1,416 76	113 67	314 93	428 60
28 May 10		2 600 000	0 58		1,599 31	1 261 46	66 20	271 65	337 85
1 Jun 10		2 600 000	0 56		1,599 31	1,227 64	98 78	272 89	371 67
12 Oct 09		1,900 000	0 59		1,168 73	1,013 84	19 41	135 48	154 89
3 Mar 10		1,667 000	0 62		1,025 40	932 39	- 32 87	125 88	93 01
3 Feb 10		1,700 000	0 59		1,045 71	893 00	10 13	142 58	152 71
3 Jun 10		1,700 000	0 59		1,045 70	832 50	21 12	192 08	213 20
2 Jun 10		1 700 000	0 56		1,045 71	802 17	68 25	175 29	243 54
2 Jun 10		900 000	0 57		553 60	428 92	30 96	93 72	124 68
9 Oct 09		780 000	0 59		479 80	418 74	4 72	56 34	61 06
9 Oct 09		760 000	0 59		467 49	405 36	7 61	54 52	62 13
3 Mar 10		700 000	0 62		430 58	390 32	- 12 43	52 69	40 26
12 Oct 09		690 000	0 59		424 43	368 31	6 90	49 22	56 12
28 May 10		500 000	0 57		307 56	240 50	15 27	51 79	67 06
12 Oct 09		430 000	0 59		264 51	229 52	4 31	30 68	34 99
13 Oct 09		407 000	0 62		250 35	229 05	- 8 45	29 75	21 30
13 Oct 09		250 000	0 62		153 78	140 69	- 5 19	18 28	13 09
13 Oct 09		240 000	0 62		147 63	135 02	- 4 93	17 54	12 61
9 Oct 09		230 000	0 59		141 48	122 67	2 31	16 50	18 81
1 Jun 10		179 000	0 56		110 10	84 07	7 35	18 68	26 03

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Translation	Total
Acquisition Date										
Common stock										
12 Oct 09		110 000		0 59		67 67	58 72	1 09	7 86	8 95
3 Mar 10		33 000		0 62		20 30	18 35	- 0 52	2 47	1 95
9 Oct 09		30 000		0 59		18 45	16 00	0 30	2 15	2 45
MIRVAC GROUP STAPLED SECURITIES		29,446 000			513 54	35,621 89	26,256 31	3,831 60	5,533 98	9,365 58
SEDOL 6161978		1 180								
4 Mar 09		11,000 000		0 79		13 307 10	5,572 46	4,357 64	3,377 00	7,734 64
30 Nov 10		4,100 000		1 29		4,959 91	5,056 10	- 451 98	355 79	- 96 19
23 Nov 10		3,000 000		1 29		3,629 21	3,822 19	- 342 72	149 74	- 192 98
19 Nov 10		2,600 000		1 28		3,145 32	3,298 15	- 277 54	124 71	- 152 83
24 Nov 10		2,500 000		1 28		3,024 34	3,130 03	- 259 94	154 25	- 105 69
6 Nov 08		3,211 000		0 90		3,884 46	2 024 66	921 73	938 07	1,859 80
29 Nov 10		1,300 000		1 28		1,572 66	1,603 45	- 133 03	102 24	- 30 79
22 Nov 10		1,000 000		1 30		1,209 73	1,278 23	- 121 74	53 24	- 68 50
4 Feb 09		735 000		1 00		889 16	471 04	139 18	278 94	418 12
STOCKLAND NPV (STAPLED)		27 260 000			2,804 25	89 150 78	74,097 93	3,645 31	11 407 54	15,052 85
SEDOL 6850856		3 190								
15 May 09		8,560 000		2 70		27,994 52	17,453 03	4 300 10	6,241 39	10 541 49
5 Dec 11		4,127 000		3 49		13 496 89	14,763 88	- 1,253 32	- 13 67	- 1,266 99
4 Mar 09		3 600 000		2 61		11,773 40	5 988 98	2 155 00	3,629 42	5 784 42
19 Dec 11		2,465 000		3 38		8,061 51	8,317 77	- 473 98	217 72	- 256 26
21 Dec 11		1,762 000		3 40		5,762 42	6,043 26	- 385 47	104 63	- 280 84
20 Dec 11		1,241 000		3 34		4,058 55	4,118 26	- 193 90	134 19	- 59 71
15 May 09		1,300 000		2 87		4,251 51	2,821 70	420 74	1,009 07	1 429 81
6 Dec 11		889 000		3 42		2,907 37	3,126 21	- 206 53	- 12 31	- 218 84
5 Dec 11		626 000		3 48		2,047 27	2,235 19	- 185 86	- 2 06	- 187 92

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAF	Accrued	Unrealized gain/loss	
Asset ID	Local market price	income/expense	Market	Translation
Acquisition Date	Local cost/share	Market value	Cost	Total
Common stock				
6 Dec 11	634 000	3 42	2,073 42	2,228 51
7 Dec 11	471 000	3 45	1 540 35	1,659 60
22 Dec 11	449 000	3 25	1,468 41	1 470 18
20 Dec 11	324 000	3 34	1,059 60	1 073 99
21 Dec 11	282 000	3 40	922 25	967 03
19 Dec 11	277 000	3 35	905 90	926 88
8 Dec 11	158 000	3 50	516 72	567 11
7 Dec 11	95 000	3 47	310 69	336 35
WESTFIELD GROUP NPV STAPLED UNITS	20,340 000	0 00	162,858 56	144,948 26
SEDOL B01BTX7	7 810			- 11,624 04
4 Feb 09	4,600 000	8 30	36,831 34	24,582 91
4 Mar 09	3,600 000	7 91	28,824 52	18,180 17
12 Aug 11	2,300 000	7 53	18,415 67	17,798 31
14 Jun 11	1,600 000	8 77	12,810 90	14,831 08
16 Aug 11	1,500 000	8 07	12,010 22	12 679 67
15 Aug 11	1,500 000	7 70	12 010 21	11,935 10
4 Nov 09	1,100 000	9 43	8,807 50	9,352 58
29 Oct 09	900 000	9 59	7,206 13	7,784 30
12 Jun 09	800 000	9 24	6 405 45	6,038 02
15 Jun 11	800 000	8 85	6,405 45	7,566 29
27 May 10	640 000	9 67	5 124 36	5,152 19
15 Jun 11	700 000	8 84	5,604 77	6,616 68
5 Feb 10	200 000	9 57	1,601 36	1,667 75
12 Aug 11	100 000	7 43	800 68	763 21

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
WESTFIELD RETAIL T UNITS NPV (STAPLED)	SEDOL B624885		34 934 000 2 490		0 00	89,177 70	79,180 23	- 341 66	10,339 13	9,997 47
7 Dec 10			11 400 000	2 75		29,101 33	31,019 26	- 3,038 69	1,120 76	- 1,917 93
4 Feb 09			4 600 000	2 20		11,742 64	6,517 46	1,365 72	3,859 46	5 225 18
12 Aug 11			3,700 000	2 30		9,445 17	8,723 62	737 26	- 15 71	721 55
8 Nov 10			2,894 000	2 75		7 387 65	8,068 73	- 771 40	90 32	- 681 08
4 Mar 09			3 600 000	2 10		9 189 89	4,819 94	1,448 99	2,920 96	4,369 95
15 Aug 11			2 800 000	2 41		7 147 70	6,973 99	225 02	- 51 31	173 71
4 Nov 09			1,100 000	2 50		2,808 02	2,479 57	- 10 22	338 67	328 45
27 May 10			900 000	2 57		2 297 47	1 922 98	- 70 91	445 40	374 49
29 Oct 09			900 000	2 54		2,297 48	2,063 78	- 49 62	283 32	233 70
27 May 10			800 000	2 56		2,042 20	1,707 44	- 60 72	395 48	334 76
12 Jun 09			800 000	2 45		2 042 19	1,600 82	32 83	408 54	441 37
8 Jul 10			740 000	2 60		1 889 04	1,651 76	- 83 80	321 08	237 28
30 Oct 09			500 000	2 60		1 276 37	1,188 72	- 56 47	144 12	87 65
5 Feb 10			200 000	2 54		510 55	442 16	- 9 65	78 04	68 39
Total AUD					6,921 44	584,575 00	454,031 80	40,463 27	90,079 93	130,543 20
Total Australia					6,921 44	584,575 00	454,031 80	40,463 27	90,079 93	130,543 20
Brazil - BRL										
BR MALLS PARTICIPA COM NPV	SEDOL B1RYG58		1,600 000 18 120		0 00	15,543 22	17 279 06	630 38	- 2,366 22	- 1,735 84
11 May 11			1,100 000	17 20		10,685 97	11 764 34	542 55	- 1,620 92	- 1,078 37
12 May 11			300 000	17 84		2,914 35	3,310 72	45 25	- 441 62	- 396 37
11 May 11			200 000	17 72		1,942 90	2,204 00	42 58	- 303 68	- 261 10

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
SONAE SIERRA BRASIL SEDOL B67HNG2	1,000 000 24 000		0 00	12,866 91	12,038 04	2,144 48	- 1,315 61	828 87
2 Feb 11	1 000 000	20 00		12,866 91	12 038 04	2,144 48	- 1,315 61	828 87
Total BRL			0 00	28,410 13	29,317 10	2,774 86	- 3,681 83	- 906 97
Total Brazil			0 00	28,410 13	29,317 10	2,774 86	- 3,681 83	- 906 97
Canada - CAD								
CALLOWAY REAL ESTA TRUST UNITS SEDOL 2065029	1,600 000 26 770		202 70	42 064 33	24 591 54	15 717 42	1,755 37	17,472 79
18 Dec 08	700 000	10 68		18 403 14	6,206 84	11,063 13	1,133 17	12,196 30
17 Sep 10	300 000	23 89		7,887 06	6 982 93	847 89	56 24	904 13
13 Sep 10	200 000	24 66		5,258 04	4,775 55	415 42	67 07	482 49
16 Sep 10	200 000	24 28		5,258 05	4,733 53	489 65	34 87	524 52
22 Jan 09	100 000	11 95		2,629 02	939 10	1,455 43	234 49	1,689 92
23 Jan 09	93 000	12 04		2 444 98	886 56	1,344 95	213 47	1,558 42
22 Jan 09	4 000	11 93		105 17	37 49	58 32	9 36	67 68
26 Jan 09	3 000	12 30		78 87	29 54	42 63	6 70	49 33
CDN REAL EST INV T TRUST UNITS NPV SEDOL 2173052	300 000 35 400		35 35	10,429 66	5 095 35	4,272 07	1,062 24	5 334 31
5 Feb 09	200 000	20 97		6,953 11	3,417 90	2 833 33	701 88	3 535 21
3 Feb 09	100 000	20 75		3 476 55	1,677 45	1 438 74	360 36	1,799 10
COMINAR REAL ESTAT TRUST UNITS SEDOL 2419927	800 000 22 030		0 00	17 308 13	15 038 07	1,868 24	401 82	2,270 06
8 Jul 10	600 000	19 17		12 981 10	10 935 99	1 684 24	360 87	2,045 11
13 Sep 10	100 000	21 12		2,163 51	2,045 37	89 42	28 72	118 14

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
15 Sep 10			100 000	21 07		2,163 52	2,056 71	94 58	12 23	106 81
H&R REAL ESTATE IN STAPLED UT(1 REIT UT&1 FIN SEDOL B3DJHT2			1,000 000 23 260		0 00	22 843 11	16,430 19	5,870 76	542 16	6,412 92
8 Jul 10			1,000 000	17 28		22,843 11	16,430 19	5 870 76	542 16	6,412 92
PRIMARIS RETAIL INVT TR SEDOL 2920991			600 000 20 610		59 87	12,144 37	5,203 14	5,770 08	1,171 15	6 941 23
22 Jan 09			200 000	10 84		4,048 12	1 702 96	1,919 94	425 22	2 345 16
15 Jan 09			200 000	10 80		4,048 12	1,737 02	1,926 86	384 24	2 311 10
23 Jan 09			100 000	10 84		2,024 06	857 88	959 59	206 59	1,166 18
12 Jan 09			100 000	10 80		2 024 07	905 28	963 69	155 10	1,118 79
RIOCAN REAL ESTATE TRUST UNITS NPV SEDOL 2229610			3 800 000 26 430		429 17	98,633 93	63 005 39	32,156 13	3,472 41	35,628 54
8 Jul 10			1,100 000	19 42		28,551 93	20 304 27	7,577 66	670 00	8,247 66
18 Dec 08			1 000 000	13 59		25,956 29	11 284 31	12,611 83	2,060 15	14,671 98
19 Feb 10			600 000	18 84		15 573 78	10 856 81	4,469 61	247 36	4,716 97
24 Feb 10			400 000	18 94		10 382 52	7,217 30	2,942 30	222 92	3,165 22
23 Feb 10			200 000	19 00		5,191 26	3 643 17	1,459 90	88 19	1,548 09
19 Feb 10			200 000	18 83		5,191 26	3,615 92	1,492 95	82 39	1,575 34
16 Sep 10			100 000	22 14		2,595 63	2,158 83	420 90	15 90	436 80
13 Sep 10			100 000	21 89		2,595 63	2 120 45	445 40	29 78	475 18
24 Feb 10			100 000	18 94		2,595 63	1,804 33	735 58	55 72	791 30
Total CAD					727 09	203,423 53	129,363 68	65,654 70	8,405 15	74,059 85

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Shares/PAR	Accrued	Market value	Cost	Market	Unrealized gain/loss	Total
Acquisition Date		Local market price	income/expense				Translation	
Common stock								
Canada - USD								
BROOKFIELD OFFICE PROPERTIES INC COM	SEDOL 2126197	4 700 000	0 00	73,508 00	70,843 75	2,664 25	0 00	2,664 25
		15 640						
8 Jul 10		1,500 000	13 79	23,460 00	20,679 17	2 780 83	0 00	2,780 83
4 Jan 11		700 000	17 55	10,948 00	12,287 29	- 1,339 29	0 00	- 1,339 29
24 Mar 10		500 000	15 40	7,820 00	7,701 08	118 92	0 00	118 92
18 Mar 10		400 000	15 14	6 256 00	6 057 35	198 65	0 00	198 65
19 Mar 10		300 000	15 24	4 692 00	4 572 19	119 81	0 00	119 81
18 Mar 10		200 000	15 11	3,128 00	3 021 36	106 64	0 00	106 64
28 May 10		200 000	14 74	3,128 00	2,947 49	180 51	0 00	180 51
2 Jun 10		200 000	14 46	3,128 00	2,892 54	235 46	0 00	235 46
1 Jun 10		200 000	14 42	3,128 00	2,883 87	244 13	0 00	244 13
5 Jan 11		100 000	17 53	1,564 00	1,753 16	- 189 16	0 00	- 189 16
24 Mar 10		100 000	15 35	1 564 00	1,535 26	28 74	0 00	28 74
23 Mar 10		100 000	15 33	1 564 00	1,533 03	30 97	0 00	30 97
23 Mar 10		100 000	15 29	1,564 00	1 528 53	35 47	0 00	35 47
1 Jun 10		100 000	14 51	1,564 00	1,451 43	112 57	0 00	112 57
SXC HEALTH SOLUTIONS CORP COM	CUSIP 78505P100	7,461 000	0 00	421,397 28	398,440 03	22,957 25	0 00	22,957 25
		56 480						
21 Jun 11		3 020 000	55 76	170,569 60	168,406 65	2,162 95	0 00	2,162 95
11 Nov 11		1 211 000	51 19	68 397 28	61 991 70	6,405 58	0 00	6 405 58
4 Aug 11		517 000	54 23	29,200 16	28 035 05	1,165 11	0 00	1,165 11
5 Aug 11		743 000	52 07	41,964 64	38,	18	0 00	3,276 18
8 Aug 11		131 000	46 80	7,398 88	6 100 00	1,298 88	0 00	1,298 88
9 Aug 11		9 000	47 83	508 32	430 48	77 84	0 00	77 84

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
27 Oct 11	623 000	46 19		35,187 04	28,778 49	6 408 55	0 00	6,408 55
28 Oct 11	207 000	46 35		11,691 36	9,594 31	2,097 05	0 00	2,097 05
5 Dec 11	320 000	57 00		18,073 60	18 238 88	- 165 28	0 00	- 165 28
6 Dec 11	680 000	56 10		38,406 40	38 145 14	261 26	0 00	261 26
Total USD			0 00	494,905 28	469,283 78	25,621 50	0 00	25,621 50
Total Canada			727 09	698,328 81	598,647 46	91,276 20	8,405 15	99,681 35
China - HKD								
CHINA OVERSEAS LAND & INVESTMNT HKD0 10 SEDOL 6192150	33 000 000 12 980		0 00	55,151 55	67,680 42	- 12,527 87	- 1 00	- 12,528 87
16 Aug 10	14 000 000	16 14		23,397 63	29,080 71	- 5,703 12	20 04	- 5,683 08
11 Aug 10	7,000 000	16 55		11,698 81	14,917 65	- 3,214 42	- 4 42	- 3,218 84
12 Nov 10	7,000 000	16 42		11,698 81	14,828 11	- 3,098 65	- 30 65	- 3,129 30
17 Oct 11	5,000 000	13 77		8 356 30	8,853 95	- 511 68	14 03	- 497 65
CHINA RESOURCES LAND LTD HKD0 10 SEDOL 6193766	13 000 000 12 480		0 00	20,889 45	19,019 41	1,832 36	37 68	1,870 04
14 Nov 11	3,000 000	11 82		4,820 64	4,557 53	255 66	7 45	263 11
15 Nov 11	2,000 000	11 66		3,213 76	2,995 60	212 12	6 04	218 16
16 Nov 11	2,000 000	11 46		3 213 77	2,944 55	263 13	6 09	269 22
16 Nov 11	2,000 000	11 40		3,213 76	2,928 63	279 08	6 05	285 13
17 Nov 11	1,000 000	11 18		1 606 88	1,436 87	167 24	2 77	170 01
17 Nov 11	1,000 000	11 16		1,606 88	1,434 45	169 66	2 77	172 43
18 Nov 11	1,000 000	10 60		1,606 88	1,361 19	242 44	3 25	245 69
18 Nov 11	1,000 000	10 59		1,606 88	1,360 59	243 03	3 26	246 29

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Asset ID	Acquisition Date									
Common stock										
LONGFOR PROPERTIES HKD0 10'REGS'		36,000 000		0 00	40 697 35	49,709 67	- 9,053 14	40 82	- 9 012 32	
SEDOL B56KLY9		8 780								
21 Apr 11		3,000 000	13 31		3,391 45	5,136 64	- 1 748 97	3 78	- 1 745 19	
17 Oct 11		4,000 000	9 65		4,521 92	4,963 30	- 449 24	7 86	- 441 38	
20 Apr 11		3,000 000	12 67		3,391 45	4 885 70	- 1,501 27	7 02	- 1,494 25	
18 Apr 11		2,000 000	12 93		2 260 96	3 325 64	- 1,067 87	3 19	- 1,064 68	
19 Apr 11		2,000 000	12 58		2 260 97	3 235 40	- 978 85	4 42	- 974 43	
24 Oct 11		2,500 000	8 99		2 826 20	2 889 26	- 68 55	5 49	- 63 06	
20 Oct 11		2,500 000	8 52		2,826 20	2,739 89	82 94	3 37	86 31	
1 Dec 10		2 000 000	10 12		2,260 97	2,606 31	- 345 03	- 0 31	- 345 34	
15 Dec 10		1 800 000	11 01		2,034 87	2,549 97	- 517 13	2 03	- 515 10	
7 Dec 10		1 000 000	11 19		1,130 48	1,441 39	- 310 14	- 0 77	- 310 91	
8 Dec 10		1,000 000	11 16		1,130 48	1,437 99	- 306 85	- 0 66	- 307 51	
6 Dec 10		1,000 000	10 85		1,130 48	1,398 41	- 267 07	- 0 86	- 267 93	
3 Dec 10		1,000 000	10 72		1,130 48	1,380 17	- 249 94	0 25	- 249 69	
2 Dec 10		1 000 000	10 36		1,130 48	1,333 71	- 203 51	0 28	- 203 23	
24 Nov 10		1 000 000	10 34		1,130 49	1 333 11	- 201 16	- 1 46	- 202 62	
30 Nov 10		1 000 000	9 99		1,130 48	1 285 96	- 155 30	- 0 18	- 155 48	
29 Nov 10		1,000 000	9 98		1,130 48	1 285 99	- 154 89	- 0 62	- 155 51	
19 Oct 11		1,000 000	8 98		1 130 48	1,154 71	- 25 94	1 71	- 24 23	
21 Oct 11		1,000 000	8 68		1,130 48	1 115 24	13 35	1 89	15 24	
10 Dec 10		800 000	10 58		904 39	1,088 69	- 185 09	0 79	- 184 30	
14 Dec 10		700 000	11 03		791 34	992 89	- 202 92	1 37	- 201 55	
9 Dec 10		500 000	10 88		565 24	700 31	- 135 17	0 10	- 135 07	
25 Oct 11		500 000	9 15		565 24	588 28	- 23 92	0 88	- 23 04	

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transtation	Total
Common stock								
21 Oct 11	500 000	8 67		565 24	557 50	6 79	0 95	7 74
13 Dec 10	200 000	11 01		226 10	283 21	- 57 41	0 30	- 57 11
SOHO CHINA LIMITED HKD0 02 SEDOL B27WLD2	24 000 000 5 170		0 00	15 976 10	20,875 45	- 4,931 56	32 21	- 4,899 35
26 May 11	3,500 000	6 80		2 329 85	3,057 50	- 734 01	6 36	- 727 65
2 Jun 11	3,300 000	6 74		2,196 71	2,858 81	- 666 43	4 33	- 662 10
3 Jun 11	3,300 000	6 70		2,196 72	2 840 52	- 648 87	5 07	- 643 80
31 May 11	2,300 000	6 73		1,531 04	1,989 06	- 461 72	3 70	- 458 02
1 Jun 11	1,900 000	6 84		1,264 77	1,671 01	- 408 57	2 33	- 406 24
25 May 11	1 500 000	6 88		998 51	1,326 24	- 329 52	1 79	- 327 73
27 May 11	1,200 000	6 79		798 81	1,046 31	- 250 13	2 63	- 247 50
19 May 11	1,000 000	6 92		665 67	889 44	- 224 73	0 96	- 223 77
20 May 11	1,000 000	6 91		665 67	888 78	- 223 87	0 76	- 223 11
23 May 11	1,000 000	6 82		665 67	877 96	- 213 05	0 76	- 212 29
18 May 11	1,000 000	6 76		665 67	869 09	- 204 38	0 96	- 203 42
13 May 11	1 000 000	6 66		665 67	857 09	- 192 06	0 64	- 191 42
16 May 11	1 000 000	6 64		665 67	853 82	- 188 83	0 68	- 188 15
17 May 11	1,000 000	6 61		665 67	849 82	- 185 39	1 24	- 184 15
Total HKD			0 00	132,714 45	157,284 95	- 24,680 21	109 71	- 24,570 50
Total China			0 00	132,714 45	157,284 95	- 24,680 21	109 71	- 24,570 50
France - EUR								
ICADE NPV (POST MERGER) SEDOL 4554406	380 000 60 790		0 00	29,987 52	39,134 42	- 8,127 03	- 1,019 87	- 9,146 90
13 Jan 11	50 000	75 69		3,945 73	4 943 03	- 967 03	- 30 27	- 997 30

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PAR	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Transtation	Total
Common stock												
21 Feb 11			41 000		80 96		3 235 49	4,526 45	- 1 073 38	- 217 58		- 1,290 96
12 Jan 11			30 000		75 38		2 367 44	2,924 73	- 568 26	10 97		- 557 29
10 Feb 11			20 000		81 11		1 578 29	2,223 54	- 527 56	- 117 69		- 645 25
3 Feb 11			20 000		79 81		1 578 29	2,204 15	- 493 77	- 132 09		- 625 86
18 Jan 11			20 000		76 42		1 578 29	2,030 84	- 405 71	- 46 84		- 452 55
14 Jan 11			20 000		76 15		1 578 29	2,030 87	- 398 82	- 53 76		- 452 58
12 Jan 11			20 000		75 27		1 578 29	1,946 90	- 375 91	7 30		- 368 61
8 Feb 11			10 000		81 01		789 15	1,097 13	- 262 50	- 45 48		- 307 98
25 Jan 11			10 000		78 89		789 14	1,078 77	- 234 91	- 54 72		- 289 63
24 Jan 11			10 000		78 02		789 15	1,059 85	- 223 66	- 47 04		- 270 70
21 Jan 11			10 000		77 26		789 14	1,036 24	- 213 83	- 33 27		- 247 10
20 Jan 11			10 000		76 52		789 15	1,032 42	- 204 13	- 39 14		- 243 27
19 Jan 11			10 000		76 46		789 15	1,023 88	- 203 42	- 31 31		- 234 73
19 Jan 11			10 000		76 43		789 14	1,023 46	- 203 02	- 31 30		- 234 32
18 Jan 11			10 000		76 41		789 15	1,015 39	- 202 82	- 23 42		- 226 24
17 Jan 11			10 000		76 30		789 14	1,019 48	- 201 31	- 29 03		- 230 34
20 Jan 11			10 000		76 29		789 15	1,029 38	- 201 21	- 39 02		- 240 23
17 Jan 11			10 000		75 95		789 14	1,014 80	- 196 76	- 28 90		- 225 66
12 Jan 11			10 000		75 30		789 15	973 84	- 188 35	3 66		- 184 69
12 Jan 11			10 000		75 30		789 14	973 79	- 188 30	3 65		- 184 65
11 Jan 11			10 000		74 84		789 15	968 42	- 182 41	3 14		- 179 27
10 Jan 11			10 000		74 38		789 14	965 60	- 176 38	- 0 08		- 176 46
21 Feb 11			5 000		80 84		394 58	551.22	- 130 16	- 26 48		- 156 64
21 Feb 11			4 000		80 71		315 65	440 24	- 103 42	- 21 17		- 124 59

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
KLEPIERRE EUR1 40 SEDOL 7582556			460 000 22 040		0 00	13,161 16	17 665 72	- 3,597 37	- 907 19	- 4 504 56
22 Feb 11			60 000	27 83		1,716 67	2,282 53	- 450 90	- 114 96	- 565 86
16 Feb 11			50 000	28 29		1,430 56	1,911 20	- 405 40	- 75 24	- 480 64
23 May 11			50 000	28 16		1,430 57	1,992 47	- 397 05	- 164 85	- 561 90
22 Feb 11			50 000	27 86		1,430 56	1,904 29	- 377 81	- 95 92	- 473 73
16 Feb 11			40 000	28 26		1,144 45	1,527 40	- 322 83	- 60 12	- 382 95
22 Feb 11			40 000	27 91		1,144 45	1,525 95	- 304 65	- 76 85	- 381 50
15 Feb 11			30 000	28 16		858 33	1,137 25	- 238 40	- 40 52	- 278 92
15 Feb 11			30 000	27 98		858 34	1,130 00	- 231 41	- 40 25	- 271 66
23 Feb 11			29 000	28 04		829 72	1,113 44	- 225 75	- 57 97	- 283 72
21 Feb 11			20 000	28 32		572 23	772 26	- 162 92	- 37 11	- 200 03
21 Feb 11			10 000	28 30		286 11	385 89	- 81 22	- 18 56	- 99 78
21 Feb 11			10 000	28 29		286 11	385 78	- 81 12	- 18 55	- 99 67
23 May 11			10 000	28 14		286 12	398 28	- 79 22	- 32 94	- 112 16
23 May 11			10 000	28 14		286 11	398 25	- 79 18	- 32 96	- 112 14
22 Feb 11			10 000	27 98		286 11	382 47	- 77 10	- 19 26	- 96 36
22 Feb 11			10 000	27 79		286 11	379 92	- 74 67	- 19 14	- 93 81
23 Feb 11			1 000	28 00		28 61	38 34	- 7 74	- 1 99	- 9 73
MERCIALYS EUR1 SEDOL B0LMF87			732 000 24 900		0 00	23,661 12	24,747 19	- 310 14	- 775 93	- 1,086 07
3 Mar 09			300 000	25 17		9,697 18	9,506 11	- 104 92	295 99	191 07
31 Aug 09			60 000	25 64		1,939 44	2 211 51	- 57 89	- 214 18	- 272 07
1 Sep 09			30 000	25 54		969 71	1,099 46	- 24 86	- 104 89	- 129 75
28 Aug 09			30 000	25 52		969 72	1,089 12	- 24 02	- 95 38	- 119 40

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Local market price	Shares/PAK	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Acquisition Date											
Common stock											
7 Sep 09		20 000		25 54		646 48	727 00	- 16 58	- 63 94		- 80 52
28 Aug 09		20 000		25 54		646 48	726 70	- 16 57	- 63 65		- 80 22
28 Jul 10		20 000		25 34		646 48	658 00	- 11 38	- 0 14		- 11 52
4 Sep 09		20 000		25 34		646 48	722 51	- 11 32	- 64 71		- 76 03
27 Jul 10		20 000		25 23		646 48	652 82	- 8 63	2 29		- 6 34
2 Sep 09		20 000		25 09		646 47	717 76	- 4 94	- 66 35		- 71 29
9 Oct 09		22 000		22 42		711 13	728 19	70 82	- 87 88		- 17 06
10 Sep 09		10 000		25 65		323 24	373 99	- 9 71	- 41 04		- 50 75
9 Sep 09		10 000		25 63		323 24	372 05	- 9 51	- 39 30		- 48 81
11 Sep 09		10 000		25 61		323 24	373 04	- 9 26	- 40 54		- 49 80
8 Sep 09		10 000		25 59		323 24	366 83	- 8 93	- 34 66		- 43 59
2 Aug 10		10 000		25 58		323 24	333 23	- 8 80	- 1 19		- 9 99
1 Sep 09		10 000		25 45		323 24	365 15	- 7 08	- 34 83		- 41 91
11 Aug 10		10 000		25 43		323 24	332 79	- 6 85	- 2 70		- 9 55
27 Aug 09		10 000		25 40		323 24	361 45	- 6 47	- 31 74		- 38 21
27 Aug 09		10 000		25 38		323 24	361 17	- 6 20	- 31 73		- 37 93
20 Aug 10		10 000		25 37		323 24	326 46	- 6 15	2 93		- 3 22
20 Aug 10		10 000		25 33		323 23	325 95	- 5 64	2 92		- 2 72
17 Aug 10		10 000		25 32		323 24	325 20	- 5 41	3 45		- 1 96
12 Aug 10		10 000		25 29		323 24	326 29	- 5 12	2 07		- 3 05
3 Sep 09		10 000		25 20		323 24	358 78	- 3 88	- 31 66		- 35 54
28 Jul 10		10 000		25 19		323 24	327 12	- 3 80	- 0 08		- 3 88
30 Jul 10		10 000		25 01		323 24	327 28	- 1 45	- 2 59		- 4 04
31 Dec 09		10 000		24 56		323 24	351 23	4 41	- 32 40		- 27 99

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PAK	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Common stock												
SILIC EUR4	SEDOL 7595747		200 000			0 00	19,454 08	24,847 84	- 3 504 72	- 1,889 04		- 5 393 76
			74 930									
16 Jun 11			50 000		99 75		4,863 52	7,127 59	- 1,610 97	- 653 10		- 2,264 07
27 Jan 10			48 000		85 31		4,668 98	5,756 28	- 646 69	- 440 61		- 1,087 30
24 Feb 10			20 000		82 76		1,945 40	2,246 12	- 203 23	- 97 49		- 300 72
15 Mar 10			10 000		87 02		972 71	1,197 02	- 156 88	- 67 43		- 224 31
26 Jan 10			10 000		85 24		972 70	1 206 71	- 133 89	- 100 12		- 234 01
21 Jan 10			10 000		85 13		972 71	1 201 62	- 132 39	- 96 52		- 228 91
22 Jan 10			10 000		85 13		972 70	1,196 46	- 132 37	- 91 39		- 223 76
19 Jan 10			10 000		85 03		972 70	1,223 07	- 131 07	- 119 30		- 250 37
20 Jan 10			10 000		85 03		972 71	1,214 31	- 131 08	- 110 52		- 241 60
23 Feb 10			10 000		82 75		972 70	1,124 64	- 101 50	- 50 44		- 151 94
22 Feb 10			10 000		82 50		972 71	1,114 77	- 98 26	- 43 80		- 142 06
27 Jan 10			1 000		85 17		97 27	119 73	- 13 29	- 9 17		- 22 46
27 Jan 10			1 000		85 02		97 27	119 52	- 13 10	- 9 15		- 22 25
UNIBAIL-RODAMCO SE EUR5	SEDOL 7076242		790 000			0 00	142,447 30	103 904 55	35,748 83	2,793 92		38 542 75
			138 900									
3 Mar 09			200 000		61 13		36,062 61	14,702 04	20,192 42	1,168 15		21,360 57
28 Jul 10			50 000		127 48		9 015 65	8,160 76	741 27	113 62		854 89
26 Jul 10			50 000		126 48		9,015 65	7,972 07	805 84	237 74		1,043 58
13 Aug 10			40 000		123 48		7,212 52	6,238 97	800 82	172 73		973 55
22 Jul 10			37 000		123 32		6,671 58	5,749 45	748 11	174 02		922 13
19 Jan 09			60 000		66 61		10,818 79	5,226 43	5 630 80	- 38 44		5 592 36
27 Jul 10			30 000		127 67		5,409 39	4 882 10	437 26	90 03		527 29
11 Aug 10			21 000		125 49		3 786 57	3,405 21	365 66	15 70		381 36

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name◆ **Asset Detail - Taxable**

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
30 Jul 10	20 000	128 52		3,606 26	3 321 58	269 62	15 06	284 68
30 Jul 10	20 000	127 82		3,606 26	3 303 42	287 63	15 21	302 84
28 Jul 10	20 000	127 31		3,606 26	3 259 77	301 04	45 45	346 49
30 Jul 10	20 000	127 15		3,606 26	3 285 78	305 13	15 35	320 48
12 Aug 10	20 000	122 77		3 606 27	3 117 36	418 85	70 06	488 91
12 Aug 10	20 000	122 11		3,606 26	3 100 40	435 93	69 93	505 86
21 Jul 10	20 000	117 63		3 606 26	2 980 42	552 15	73 69	625 84
21 Jul 10	20 000	117 58		3,606 26	2,978 97	553 61	73 68	627 29
21 Jul 10	20 000	117 35		3,606 26	2,973 08	559 54	73 64	633 18
22 Jul 10	19 000	121 81		3,425 95	2,915 62	421 45	88 88	510 33
11 Aug 10	15 000	126 34		2 704 69	2 449 06	244 56	11 07	255 63
11 Aug 10	14 000	126 05		2 524 38	2 280 43	233 57	10 38	243 95
22 Jul 10	14 000	124 78		2 524 39	2 201 52	256 69	66 18	322 87
27 Jul 10	10 000	127 44		1,803 13	1,624 36	148 76	30 01	178 77
26 Jul 10	10 000	126 31		1,803 13	1,592 17	163 45	47 51	210 96
26 Jul 10	10 000	125 90		1,803 13	1,586 95	168 72	47 46	216 18
12 Aug 10	10 000	123 16		1,803 13	1,563 74	204 33	35 06	239 39
12 Aug 10	10 000	121 97		1,803 13	1,548 35	219 83	34 95	254 78
21 Jul 10	10 000	117 19		1,803 13	1,484 54	281 79	36 80	318 59
Total EUR			0 00	228,711 18	210,299 72	20,209 57	- 1,798 11	18,411 46
Total France			0 00	228,711 18	210,299 72	20,209 57	- 1,798 11	18,411 46
Germany - EUR								
GSW IMMOBILIEN AG NPV (BR) SEDOL B3RQZG2	1,160 000 22 400		0 00	33,731 13	32,074 62	4,687 75	- 3,031 24	1,656 51

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
14 Apr 11	900 000	19 00		26,170 70	24,800 13	3,972 34	- 2,601 77	1 370 57
13 Oct 11	260 000	20 28		7 560 43	7 274 49	715 41	- 429 47	285 94
Total EUR			0 00	33,731 13	32,074 62	4,687 75	- 3,031 24	1,656 51
Total Germany			0 00	33,731 13	32,074 62	4,687 75	- 3,031 24	1,656 51
Hong Kong - HKD								
CHEUNG KONG(HLDGS) HKD0 50 SEDOL 6190273	2,300 000 92 400		0 00	27 363 33	24,800 69	2,512 24	50 40	2,562 64
17 Jun 11	1,000 000	113 48		11,897 10	14,549 49	- 2,713 93	61 54	- 2,652 39
4 Mar 09	1,300 000	61 18		15 466 23	10,251 20	5,226 17	- 11 14	5,215 03
GREAT EAGLE HLDGS HKD0 50 SEDOL 6387406	4 400 000 15 240		0 00	8 633 89	9,255 25	- 603 77	- 17 59	- 621 36
29 May 09	1,800 000	16 26		3 532 05	3,775 12	- 236 25	- 6 82	- 243 07
27 May 09	1,200 000	15 81		2 354 70	2,447 54	- 88 22	- 4 62	- 92 84
18 Aug 09	400 000	16 09		784 90	830 11	- 43 54	- 1 67	- 45 21
14 Aug 09	300 000	17 22		588 67	666 54	- 76 50	- 1 37	- 77 87
13 Aug 09	200 000	17 55		392 45	452 84	- 59 47	- 0 92	- 60 39
17 Aug 09	200 000	16 72		392 45	431 47	- 38 14	- 0 88	- 39 02
19 Aug 09	200 000	16 42		392 45	423 77	- 30 49	- 0 83	- 31 32
12 Aug 09	100 000	17 66		196 22	227 86	- 31 16	- 0 48	- 31 64
HANG LUNG PROPERTIES HKD1 SEDOL 6030506	21,500 000 22 100		0 00	61,178 64	64,915 27	- 3,885 38	148 75	- 3,736 63
3 Apr 09	4 500 000	19 13		12 804 83	11,107 39	1,721 11	- 23 67	1,697 44
4 Mar 09	3,000 000	14 89		8 536 55	5,759 34	2 783 48	- 6 27	2,777 21
23 Aug 11	1,300 000	27 18		3,699 18	4,530 57	- 850 50	19 11	- 831 39

-- Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Common stock												
16 Sep 11			1,302 000		25 77		3 704 86	4 305 90	- 614 76	13 72		- 601 04
19 Aug 11			1,200 000		26 10		3 414 62	4,017 20	- 618 40	15 82		- 602 58
22 Aug 11			1,100 000		26 05		3,130 07	3,675 33	- 559 70	14 44		- 545 26
24 Aug 11			1,000 000		27 43		2,845 52	3,518 58	- 686 90	13 84		- 673 06
16 Sep 11			855 000		25 87		2,432 92	2,838 38	- 414 51	9 05		- 405 46
25 Aug 11			740 000		27 78		2,105 68	2,636 60	- 540 77	9 85		- 530 92
26 Aug 11			700 000		27 79		1,991 87	2,495 54	- 512 78	9 11		- 503 67
29 Aug 11			600 000		28 14		1 707 31	2 165 07	- 466 33	8 57		- 457 76
12 Sep 11			600 000		26 57		1,707 31	2,045 75	- 345 10	6 66		- 338 44
14 Sep 11			500 000		25 95		1 422 76	1 662 63	- 247 86	7 99		- 239 87
8 Sep 11			400 000		27 95		1,138 20	1,434 07	- 301 15	5 28		- 295 87
15 Sep 11			427 000		26 06		1,215 04	1,426 00	- 217 72	6 76		- 210 96
19 Sep 11			427 000		25 03		1,215 04	1,371 38	- 161 32	4 98		- 156 34
7 Sep 11			337 000		27 76		958 94	1,200 18	- 245 67	4 43		- 241 24
20 Sep 11			356 000		24 86		1,013 00	1,135 17	- 126 35	4 18		- 122 17
1 Sep 11			286 000		29 10		813 82	1,068 57	- 257 93	3 18		- 254 75
21 Sep 11			254 000		24 47		722 76	797 52	- 77 45	2 69		- 74 76
1 Sep 11			199 000		29 31		566 26	748 84	- 184 80	2 22		- 182 58
6 Sep 11			182 000		26 88		517 88	627 83	- 111 98	2 03		- 109 95
21 Sep 11			200 000		24 28		569 11	623 23	- 56 24	2 12		- 54 12
25 Aug 11			160 000		27 61		455 28	566 60	- 113 43	2 11		- 111 32
7 Sep 11			152 000		27 79		432 52	541 79	- 111 27	2 00		- 109 27
31 Aug 11			108 000		28 50		307 31	394 98	- 89 06	1 39		- 87 67
2 Sep 11			100 000		28 33		284 55	363 96	- 80 26	0 85		- 79 41
2 Sep 11			100 000		28 21		284 56	362 33	- 78 62	0 85		- 77 77
29 Aug 11			100 000		28 17		284 55	361 33	- 78 21	1 43		- 76 78

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
26 Aug 11	100 000	27 81		284 55	356 71	- 73 45	1 29	- 72 16
26 Aug 11	100 000	27 78		284 55	356 40	- 73 15	1 30	- 71 85
31 Aug 11	92 000	28 30		261 79	334 01	- 73 41	1 19	- 72 22
1 Sep 11	23 000	29 16		65 45	86 09	- 20 89	0 25	- 20 64
KERRY PROPERTIES HKD1 SEDOL: 6486314	9 600 000 25 700		0 00	31,766 80	43 853 76	- 12 172 82	85 86	- 12,086 96
19 Apr 11	3,000 000	39 03		9 927 12	15,056 67	- 5,150 10	20 55	- 5,129 55
8 Jul 10	2,600 000	34 62		8 603 51	11,555 82	- 2,986 22	33 91	- 2,952 31
21 Apr 11	1 000 000	40 96		3 309 04	5,269 46	- 1,964 29	3 87	- 1,960 42
20 Apr 11	1 000 000	39 52		3 309 04	5,081 09	- 1,779 35	7 30	- 1,772 05
1 Dec 11	500 000	27 41		1,654 52	1,762 41	- 109 91	2 02	- 107 89
29 Nov 11	500 000	26 90		1 654 52	1,725 80	- 77 25	5 97	- 71 28
28 Nov 11	500 000	26 74		1,654 53	1,715 17	- 67 20	6 56	- 60 64
30 Nov 11	500 000	26 30		1 654 52	1,687 34	- 38 50	5 68	- 32 82
LINK R/EST INVEST NPV SEDOL: B0PB4M7	27,200 000 28 600		2,291 48	100,162 23	69,000 83	31,103 69	57 71	31,161 40
3 Apr 09	6,000 000	15 31		22,094 61	11,856 38	10 263 50	- 25 27	10 238 23
19 Dec 08	6 000 000	12 97		22 094 61	10 040 30	12 075 64	- 21 33	12 054 31
18 Feb 11	2,500 000	24 84		9,206 09	7,974 18	1 210 14	21 77	1,231 91
8 Feb 11	2,200 000	24 85		8,101 36	7,023 69	1,062 43	15 24	1 077 67
11 Feb 11	1,800 000	24 49		6,628 38	5,657 34	953 67	17 37	971 04
17 Feb 11	1,300 000	24 89		4,787 16	4,154 10	620 26	12 80	633 06
10 Feb 11	1,200 000	24 80		4,418 93	3,821 82	587 70	9 41	597 11
14 Feb 11	1,100 000	24 57		4 050 67	3,466 76	570 95	12 96	583 91
19 May 11	1 000 000	24 86		3 682 44	3,197 31	481 67	3 46	485 13

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
16 May 11	1 000 000	24 63		3,682.43	3,168.51	511.39	2.53	513.92
13 May 11	1 000 000	24.49		3,682.44	3,150.36	529.74	2.34	532.08
8 Oct 07	1,200 000	16.99		4,418.92	2,629.41	1,793.16	- 3.65	1,789.51
15 Feb 11	800 000	24.76		2,945.95	2,541.08	395.95	8.92	404.87
16 Feb 11	100 000	24.91		368.24	319.59	47.49	1.16	48.65
SINO LAND CO HKD1 SEDOL 6810429	27 700 000 11 060		0.00	39 446.09	41,989.34	- 2,570.80	27.55	- 2,543.25
9 Nov 10	7,000 000	16.91		9,968.33	15,271.63	- 5,272.93	- 30.37	- 5,303.30
18 Nov 11	4 000 000	10.33		5,696.18	5,305.70	377.79	12.69	390.48
16 Nov 11	3 000 000	10.62		4,272.14	4,094.15	169.53	8.46	177.99
17 Nov 11	2 000 000	10.59		2,848.09	2,720.88	121.96	5.25	127.21
22 Nov 11	2,000 000	10.05		2 848.10	2,581.16	259.41	7.53	266.94
23 Nov 11	2,000 000	9.72		2,848.09	2,495.45	344.62	8.02	352.64
30 Dec 11	1,000 000	11.16		1,424.05	1,435.58	- 12.57	1.04	- 11.53
29 Dec 11	1,000 000	10.93		1,424.04	1,406.73	16.18	1.13	17.31
14 Nov 11	1,000 000	10.86		1,424.05	1,396.10	25.66	2.29	27.95
28 Dec 11	1,000 000	10.83		1,424.05	1 392.73	29.46	1.86	31.32
21 Nov 11	1,000 000	10.11		1,424.04	1 297.74	122.92	3.38	126.30
20 Dec 11	1,000 000	10.10		1 424.05	1 297.03	124.12	2.90	127.02
21 Nov 11	1,000 000	10.08		1,424.05	1,294.46	126.21	3.38	129.59
9 Nov 10	700 000	0.00		996.83	0.00	996.84	- 0.01	996.83
SUN HUNG KAI PROP HKD50 SEDOL 6859927	19 600 000 97 350		5,438.67	245,675.07	195,682.35	50,017.41	- 24.69	49 992.72
6 Apr 09	3,000 000	82.23		37,603.33	31,829.50	5,841.66	- 67.83	5 773.83
4 Mar 09	3 000 000	58.34		37 603.32	22,558.11	15 069.75	- 24.54	15,045.21

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
19 Dec 08	2,200 000	69 68		27,575 78	19 780 65	7,837 15	- 42 02	7,795 13
29 Jun 07	1,500 000	94 39		18 801 66	18,113 67	572 20	115 79	687 99
14 Nov 11	1,000 000	103 02		12,534 44	13,242 86	- 730 07	21 65	- 708 42
15 Nov 11	1,000 000	100 95		12,534 45	12 971 99	- 463 69	26 15	- 437 54
28 Dec 11	1,000 000	98 86		12,534 44	12 711 61	- 194 02	16 85	- 177 17
29 Dec 11	1,000 000	98 07		12,534 44	12 617 33	- 93 05	10 16	- 82 89
10 Dec 08	1,500 000	62 32		18,801 66	12 060 60	6,766 30	- 25 24	6,741 06
23 Dec 08	1,300 000	65 78		16,294 78	11,032 94	5,284 07	- 22 23	5,261 84
3 Apr 09	1,000 000	78 58		12,534 44	10,138 84	2 417 20	- 21 60	2,395 60
26 Nov 08	1,000 000	56 09		12,534 44	7,231 68	5 312 91	- 10 15	5,302 76
26 Sep 08	600 000	86 21		7,520 67	6,654 53	860 23	5 91	866 14
6 Jan 09	500 000	73 48		6 267 22	4 738 04	1,536 77	- 7 59	1,529 18
WHARF(HLDGS) HKD1 SEDOL 6435576	11,538 000 35 100		0 00	52,144 28	46 001 35	6,100 08	42 85	6,142 93
8 Jul 10	3 000 000	39 98		13,558 06	15,398 20	- 1 885 34	45 20	- 1,840 14
22 Sep 08	1,800 000	26 70		8,134 83	6 178 12	1,947 84	8 87	1,956 71
19 Dec 08	2,000 000	21 46		9 038 70	5,537 75	3,512 72	- 11 77	3,500 95
7 May 09	1,500 000	27 87		6,779 03	5,393 55	1,396 90	- 11 42	1,385 48
8 Jul 10	1 099 876	36 50		4 970 73	5,154 75	- 198 26	14 24	- 184 02
5 May 09	1,200 000	27 25		5,423 22	4,218 71	1,213 50	- 8 99	1,204 51
6 May 09	262 000	28 15		1,184 07	951 50	234 60	- 2 03	232 57
19 Dec 08	199 977	36 50		903 77	937 22	- 36 05	2 60	- 33 45
22 Sep 08	179 980	36 50		813 39	843 51	- 32 44	2 32	- 30 12
7 May 09	149 983	36 50		677 83	702 92	- 27 04	1 95	- 25 09
5 May 09	119 987	36 50		542 26	562 34	- 21 63	1 55	- 20 08

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
6 May 09	26 197	36 50		118 39	122 78	- 4 72	0 33	- 4 39
Total HKD			7,730 15	566,370 33	495,498 84	70,500 65	370 84	70,871 49
Hong Kong - USD								
HONGKONG LAND HLD ORD USD0 10(SINGAPORE REG) SEDOL 6434915	13,942 000 4 540		0 00	63,296 68	50,732 49	12 564 19	0 00	12,564 19
3 Jul 08	1,700 000	4 02		7,718 00	6 832 68	885 32	0 00	885 32
8 Jul 10	1,342 000	5 03		6 092 68	6,752 98	- 660 30	0 00	- 660 30
2 Jul 08	1,300 000	4 18		5 902 00	5,429 33	472 67	0 00	472 67
30 Jun 08	1,100 000	4 20		4 994 00	4,617 37	376 63	0 00	376 63
4 Mar 09	2 000 000	1 84		9,080 00	3,689 08	5 390 92	0 00	5 390 92
10 Jul 09	1 000 000	3 34		4 540 00	3,336 19	1 203 81	0 00	1,203 81
1 Jul 09	900 000	3 49		4,086 00	3,141 69	944 31	0 00	944 31
2 Jul 09	800 000	3 47		3,632 00	2,774 94	857 06	0 00	857 06
9 Jul 08	600 000	4 28		2,724 00	2,568 88	155 12	0 00	155 12
8 Jul 09	700 000	3 33		3,178 00	2,334 35	843 65	0 00	843 65
8 Jul 08	500 000	4 31		2,270 00	2,152 50	117 50	0 00	117 50
6 Jul 09	600 000	3 43		2 724 00	2 055 48	668 52	0 00	668 52
1 Jul 08	400 000	4 23		1 816 00	1,691 45	124 55	0 00	124 55
7 Jul 09	500 000	3 38		2 270 00	1,689 74	580 26	0 00	580 26
9 Jul 09	500 000	3 33		2 270 00	1,665 83	604 17	0 00	604 17
Total USD			0 00	63,296 68	50,732 49	12,564 19	0 00	12,564 19
Total Hong Kong			7,730 15	629,667 01	546,231 33	83,064 84	370 84	83,435 68

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 26 of 132

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
Japan - JPY								
ADVANCE RES INV REIT SEDOL B62WZV2	4 000 148,500 000		0 00	7,720 30	7 585 11	- 281 54	416 73	135 19
22 Mar 11	2 000	166,618 50		3,860 15	4,107 70	- 470 98	223 43	- 247 55
16 Mar 11	1 000	148,468 00		1,930 08	1,836 79	0 42	92 87	93 29
15 Mar 11	1 000	133 957 00		1 930 07	1,640 62	189 02	100 43	289 45
FRONTIER R/EST INV FRONTIER R/EST INVEST(REIT) SEDOL B020QY3	3 000 624,000 000		670 85	24,330 65	16,319 73	4,787 95	3,222 97	8,010 92
19 Dec 08	1 000	535,817 00		8,110 22	6 006 92	1 146 13	957 17	2,103 30
17 Dec 08	1 000	513 754 00		8 110 21	5 702 99	1 432 88	974 34	2,407 22
4 Mar 09	1 000	454 044 00		8,110 22	4 609 82	2,208 94	1,291 46	3,500 40
JAPAN REAL ESTATE INVESTMENT CO SEDOL 6397580	5 000 600,000 000		0 00	38 991 42	37,039 47	- 6,994 66	8,946 61	1,951 95
4 Mar 09	2 000	699 913 50		15,596 57	14,212 16	- 2,597 18	3 981 59	1 384 41
19 Jun 09	1 000	729 233 00		7,798 28	7,570 55	- 1,679 66	1,907 39	227 73
6 Jan 10	1 000	704 944 00			700 94	- 1,363 97	1,461 32	97 35
8 Jan 10	1 000	704,165 00		7,798 28	7,555 82	- 1 353 85	1 596 31	242 46
JAPAN RETAIL FUND REIT SEDOL 6513342	18 000 114 000 000		0 00	26,670 13	22,681 73	1,526 85	2 461 55	3,988 40
26 Jan 10	12 000	106,862 25		17,780 09	14,228 54	1 113 24	2 438 31	3,551 55
7 Sep 11	5 000	107 640 00		7,408 37	6 979 19	413 31	15 87	429 18
8 Sep 11	1 000	113,977 00		1,481 67	1,474 00	0 30	7 37	7 67

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Translation	Total
Asset ID	Acquisition Date								
Common stock									
KENEDIX REALTY INV REIT SEDOL B0C5Q59	5 000 224,000 000			579 95	14,556 80	17,299 97	- 5,265 28	2,522 11	- 2,743 17
26 Jun 09	2 000		333,743 00		5,822 72	6 928 44	- 2,852 69	1,746 97	- 1,105 72
27 Jul 09	1 000		324,983 00		2,911 36	3,431 53	- 1,312 49	792 32	- 520 17
30 Aug 11	1 000		269 483 00		2,911 36	3,500 46	- 591 15	2 05	- 589 10
24 Aug 11	1 000		263 159 00		2,911 36	3 439 54	- 508 95	- 19 23	- 528 18
MITSUBISHI ESTATE CO LTD SEDOL 6596729	10,300 000 1 150 000			0 00	153,951 13	129 078 19	5 536 65	19,336 29	24,872 94
4 Mar 09	6 000 000		965 23		89 680 27	58,799 01	14,408 53	16 472 73	30,881 26
16 Mar 11	1 000 000		1,430 07		14 946 71	17,692 34	- 3,640 14	894 51	- 2 745 63
31 Mar 11	1,000 000		1 395 49		14,946 71	16 802 97	- 3,190 63	1,334 37	- 1,856 26
16 Aug 11	700 000		1,235 67		10,462 70	11 278 06	- 779 45	- 35 91	- 815 36
12 Aug 11	600 000		1 194 84		8,968 03	9,337 15	- 349 70	- 19 42	- 369 12
15 Aug 11	400 000		1,204 89		5,978 68	6,277 90	- 285 34	- 13 88	- 299 22
20 Jan 09	300 000		1,247 21		4 484 02	4,139 20	- 379 04	723 86	344 82
18 Aug 11	200 000		1,210 82		2 989 34	3,162 86	- 158 09	- 15 43	- 173 52
17 Aug 11	100 000		1,218 85		1,494 67	1,588 70	- 89 49	- 4 54	- 94 03
MITSUI FUDOSAN CO LTD NPV SEDOL 6597603	11,000 000 1 122 000			0 00	160,410 71	159,802 55	- 16,897 18	17,505 34	608 16
4 Mar 09	4 000 000		973 03		58,331 17	39,515 86	7,744 80	11,070 51	18,815 31
22 Oct 10	1 900 000		1,594 77		27 707 30	37,320 56	- 11,674 76	2 061 50	- 9 613 26
20 Jan 09	1,000 000		1,228 58		14,582 79	13,591 22	- 1,385 21	2,376 78	991 57
17 Oct 11	841 000		1,334 04		12,264 13	14 501 78	- 2,317 75	80 10	- 2,237 65
16 Aug 11	800 000		1,271 84		11,666 23	13,266 42	- 1,557 94	- 42 25	- 1 600 19
12 Aug 11	600 000		1,220 68		8,749 68	9 539 03	- 769 52	- 19 83	- 789 35

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
13 Dec 10	400 000	1,523 00		5 833 12	7,258 41	- 2 084 72	659 43	- 1,425 29
15 Aug 11	400 000	1,233 93		5 833 11	6,429 24	- 581 92	- 14 21	- 596 13
14 Dec 10	300 000	1 555 66		4,374 84	5,576 83	- 1,690 89	488 90	- 1,201 99
13 Dec 10	200 000	1 522 10		2,916 56	3,627 07	- 1 040 03	329 52	- 710 51
19 Dec 08	200 000	1 433 57		2 916 56	3,214 29	- 809 91	512 18	- 297 73
17 Oct 11	159 000	1 334 04		2 318 66	2,741 72	- 438 19	15 13	- 423 06
17 Aug 11	100 000	1,242 33		1,458 28	1,619 30	- 156 40	- 4 62	- 161 02
18 Aug 11	100 000	1,225 67		1,458 28	1 600 82	- 134 74	- 7 80	- 142 54
NIPPON ACCOMMODATI REIT SEDOL B182BB2	2 000 518 000 000		0 00	13 465 04	12,136 52	- 4,219 39	5 547 91	1,328 52
29 Jun 07	1 000	859,763 00		6 732 52	6,991 93	- 4,441 94	4 182 53	- 259 41
11 Aug 09	1 000	500,877 00		6,732 52	5,144 59	222 55	1,365 38	1,587 93
NIPPON BUILDING FD REIT SEDOL 6396800	4 000 630,000 000		912 59	32 752 79	39,246 46	- 8,162 84	1,669 17	- 6,493 67
19 Aug 11	1 000	815,359 00		8,188 20	10,648 54	- 2 409 14	- 51 20	- 2,460 34
22 Mar 11	1 000	813,236 00		8,188 20	10,024 48	- 2 381 54	545 26	- 1,836 28
17 Mar 11	1 000	772,484 00		8,188 20	9,631 97	- 1,851 89	408 12	- 1,443 77
1 Oct 10	1 000	746,970 00		8,188 19	8,941 47	- 1 520 27	766 99	- 753 28
NOMURA RL EST INC NPV SEDOL B1CWJM5	2,000 000 1,146 000		0 00	29,789 45	35,215 90	- 7,804 73	1,378 28	- 6,426 45
25 Oct 11	300 000	1,292 42		4 468 42	5,094 28	- 570 91	- 54 95	- 625 86
12 Jan 11	200 000	1,629 69		2,978 94	3 906 02	- 1 257 32	330 24	- 927 08
14 Jan 11	200 000	1,617 28		2,978 95	3 905 77	- 1 225 06	298 24	- 926 82
7 Jan 11	200 000	1,589 48		2,978 94	3 819 94	- 1 152 78	311 78	- 841 00
24 Oct 11	200 000	1,307 91		2,978 95	3 435 98	- 420 86	- 36 17	- 457 03

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
26 Oct 11	200 000	1,289 34		2 978 94	3,403 30	- 372 60	- 51 76	- 424 36
21 Oct 11	200 000	1,286 19		2 978 94	3,346 39	- 364 40	- 3 05	- 367 45
13 Jan 11	100 000	1,621 03		1,489 48	1,946 01	- 617 41	160 88	- 456 53
11 Jan 11	100 000	1,595 64		1,489 47	1,928 97	- 584 40	144 90	- 439 50
6 Jan 11	100 000	1 569 39		1 489 47	1,883 80	- 550 29	155 96	- 394 33
5 Jan 11	100 000	1 535 64		1 489 47	1,872 16	- 506 42	123 73	- 382 69
21 Oct 11	100 000	1,286 25		1,489 48	1,673 28	- 182 28	- 1 52	- 183 80
TOKYO TATEMONO CO NPV SEDOL 6895426	1,000 000 233 000		0 00	3 028 33	2,963 19	30 48	34 66	65 14
28 Dec 11	1,000 000	230 66		3 028 33	2,963 19	30 48	34 66	65 14
UNITED URBAN INVES REIT SEDOL 6723839	17 000 87,300 000		565 08	19,289 06	12,062 82	4,929 22	2,297 02	7,226 24
28 Jan 09	6 000	63,282 67		6,807 90	4 272 73	1 872 94	662 23	2 535 17
3 Feb 09	6 000	61,055 00		6 807 90	4 077 13	2,046 66	684 11	2,730 77
28 Apr 09	5 000	71,764 00		5 673 26	3 712 96	1,009 62	950 68	1,960 30
Total JPY			2,728 47	524,955 81	492,431 64	- 32,814 47	65,338 64	32,524 17
Japan - USD								
ADR KOMATSU LTD SPONSORED ISIN US5004584018 CUSIP 500458401	13,100 000 23 620		0 00	309 422 00	393,224 00	- 83,802 00	0 00	- 83,802 00
10 Feb 11	7,000 000	30 23		165 340 00	211,602 30	- 46,262 30	0 00	- 46,262 30
14 Feb 11	5,400 000	30 64		127,548 00	165,458 70	- 37,910 70	0 00	- 37,910 70
19 Oct 11	700 000	23 09		16 534 00	16,163 00	371 00	0 00	371 00
Total USD			0 00	309,422 00	393,224 00	- 83,802 00	0 00	- 83,802 00

-- Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
Total Japan			2,728 47	834,377 81	885,655 64	- 116,616 47	65,338 64	- 51,277 83
Netherlands - EUR								
CORIO NV EUR10 SEDOL 4929286	410 000 33 605		0 00	17 885 98	27,175 89	- 7,588 22	- 1,701 69	- 9,289 91
9 Nov 10	250 000	48 07		10,906 08	16,705 62	- 4 695 08	- 1,104 46	- 5,799 54
28 Feb 11	60 000	48 01		2,617 46	3,960 20	- 1,122 09	- 220 65	- 1,342 74
24 Feb 11	50 000	46 92		2,181 22	3,228 70	- 864 37	- 183 11	- 1 047 48
25 Feb 11	40 000	47 49		1,744 97	2,619 84	- 721 17	- 153 70	- 874 87
1 Mar 11	10 000	47 90		436 25	661 53	- 185 51	- 39 77	- 225 28
Total EUR			0 00	17,885 98	27,175 89	- 7,588 22	- 1,701 69	- 9,289 91
Netherlands - USD								
CORE LABORATORIES NV NLG0 03 CUSIP N22717107	1,828 000 113 950		0 00	208,300 60	172,845 24	35,455 36	0 00	35,455 36
29 Dec 10	660 000	89 44		75,207 00	59,030 53	16,176 47	0 00	16,176 47
16 May 11	355 000	93 94		40,452 25	33,348 74	7,103 51	0 00	7,103 51
17 May 11	356 000	95 02		40,566 20	33 825 41	6,740 79	0 00	6,740 79
13 Jun 11	316 000	101 10		36,008 20	31,948 77	4 059 43	0 00	4,059 43
14 Jun 11	141 000	104 20		16,066 95	14,691 79	1,375 16	0 00	1,375 16
Total USD			0 00	208,300 60	172,845 24	35,455 36	0 00	35,455 36
Total Netherlands			0 00	226,186 58	200,021 13	27,867 14	- 1,701 69	26,165 45
Singapore - SGD								
ASCENDAS R/EST INV NPV (REIT) SEDOL 6563875	9,000 000 1 830		0 00	12,701 96	14 270 97	- 986 36	- 582 65	- 1 569 01

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
1 Apr 11	6 000 000	1 96		8,467 98	9,340 96	- 612 62	- 260 36	- 872 98
12 Aug 11	2 000 000	1 99		2,822 65	3,283 58	- 246 27	- 214 66	- 460 93
12 Aug 11	1,000 000	2 00		1,411 33	1,646 43	- 127 47	- 107 63	- 235 10
CAPITACOMMERCIAL NPV (REIT) SEDOL B011YD2	50,000 000 1 055		0 00	40 681 76	43,544 68	- 5,597 87	2,734 95	- 2,862 92
3 Nov 09	5,200 000	1 10		4,230 90	4,087 48	- 172 91	316 33	143 42
6 Nov 09	3 400 000	1 08		2,766 36	2,636 10	- 69 48	199 74	130 26
23 Sep 10	2 400 000	1 45		1,952 73	2 622 34	- 728 67	59 06	- 669 61
29 Jun 10	2 400 000	1 22		1,952 72	2,111 10	- 306 62	148 24	- 158 38
21 Jun 10	2 400 000	1 19		1,952 73	2,056 09	- 241 24	137 88	- 103 36
12 Nov 09	2 200 000	1 10		1,789 99	1,746 30	- 77 79	121 48	43 69
9 Jul 10	1 800 000	1 32		1,464 55	1,718 03	- 367 10	113 62	- 253 48
29 Jun 10	1,900 000	1 23		1,545 90	1 678 27	- 250 21	117 84	- 132 37
13 Nov 09	2,100 000	1 10		1,708 64	1 669 44	- 80 42	119 62	39 20
8 Jul 10	1,700 000	1 30		1 383 18	1 588 98	- 314 68	108 88	- 205 80
18 Jun 10	1,800 000	1 19		1 464 54	1,533 20	- 182 81	114 15	- 68 66
4 Nov 09	1,900 000	1 09		1,545 91	1,483 16	- 57 19	119 94	62 75
29 Sep 10	1,400 000	1 46		1 139 09	1,551 28	- 440 00	27 81	- 412 19
5 Nov 09	1,800 000	1 08		1,464 54	1,397 47	- 39 84	106 91	67 07
3 Nov 09	1 700 000	1 10		1,383 18	1,335 33	- 55 50	103 35	47 85
11 Nov 09	1,700 000	1 09		1 383 18	1,337 39	- 49 46	95 25	45 79
6 Jul 10	1,400 000	1 22		1,139 09	1 227 70	- 181 11	92 50	- 88 61
7 Jul 10	1,300 000	1 26		1,057 72	1,178 96	- 202 25	81 01	- 121 24
28 Jun 10	1,300 000	1 21		1,057 73	1,134 37	- 159 75	83 11	- 76 64
10 Nov 09	1,400 000	1 08		1,139 09	1,093 35	- 30 23	75 97	45 74

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Accrued	Market value	Cost	Unrealized gain/loss	
Asset ID	Local market price	income/expense			Market	Translation
Acquisition Date	Local cost/share					Total
Common stock						
31 Dec 10	1 000 000	1 50	813 63	1,164 08	- 343 04	- 350 45
2 Jul 10	1,200 000	1 23	976 36	1,056 64	- 162 51	- 80 28
28 Sep 10	800 000	1 46	650 91	884 52	- 250 60	- 233 61
30 Sep 10	800 000	1 45	650 91	882 44	- 245 07	- 231 53
25 Jun 10	900 000	1 18	732 27	764 46	- 89 75	- 32 19
17 Jun 10	800 000	1 18	650 91	679 63	- 79 61	- 28 72
24 Jun 10	800 000	1 18	650 91	679 92	- 79 43	- 29 01
28 Sep 10	600 000	1 46	488 18	664 24	- 188 82	- 176 06
9 Nov 09	600 000	1 07	488 18	462 83	- 9 06	25 35
22 Jun 10	500 000	1 18	406 82	430 22	- 49 30	- 23 40
30 Jun 10	400 000	1 20	325 45	343 08	- 45 61	- 17 63
1 Jul 10	300 000	1 21	244 09	260 64	- 36 54	- 16 55
30 Jun 10	100 000	1 20	81 37	85 64	- 11 27	- 4 27
CAPITALAND LTD NPV SEDOL 6309303	40 200 000 2 210	0 00	68 516 56	86,505 41	- 20 518 07	2,529 22 - 17 988 85
16 Jun 10	3 300 000	3 82	5 624 49	9,033 30	- 4,099 90	691 09 - 3,408 81
31 Oct 11	4 000 000	2 74	6,817 57	8,824 95	- 1,628 62	- 378 76 - 2,007 38
20 Jul 10	2,800 000	3 82	4,772 30	7,752 16	- 3 467 72	487 86 - 2,979 86
15 Jun 10	2,700 000	3 76	4,601 86	7,284 55	- 3,233 81	551 12 - 2,682 69
19 Jul 10	2,400 000	3 78	4,090 54	6,593 68	- 2 900 80	397 66 - 2,503 14
1 Nov 11	3,010 000	2 67	5,130 22	6 425 10	- 1,072 15	- 222 73 - 1,294 88
31 Oct 11	2,000 000	2 74	3,408 78	4,410 40	- 812 33	- 189 29 - 1,001 62
1 Nov 11	1,990 000	2 67	3 391 74	4,249 36	- 710 32	- 147 30 - 857 62
19 Dec 08	4,000 000	1 30	6,817 57	3,394 81	2,807 24	615 52 3,422 76
22 Jul 10	1,300 000	3 84	2,215 71	3,636 10	- 1,637 86	217 47 - 1,420 39

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID								Translation	
Acquisition Date									
Common stock									
22 Jul 10		1,300 000	3 84		2,215 71	3,635 58	- 1 637 31	217 44	- 1,419 87
6 Jan 09		3 200 000	1 30		5,454 06	2,715 85	2 245 79	492 42	2 738 21
11 Apr 11		1,000 000	3 50		1,704 39	2,787 38	- 997 86	- 85 13	- 1,082 99
7 Apr 11		1,000 000	3 40		1,704 39	2,700 03	- 919 53	- 76 11	- 995 64
12 Apr 11		1,000 000	3 40		1,704 39	2 706 40	- 916 43	- 85 58	- 1,002 01
31 Oct 11		1,000 000	2 74		1,704 40	2,208 40	- 409 23	- 94 77	- 504 00
21 Apr 11		500 000	3 45		852 19	1,391 83	- 477 75	- 61 89	- 539 64
22 Jul 10		400 000	3 84		681 76	1,118 98	- 504 16	66 94	- 437 22
15 Apr 11		400 000	3 43		681 75	1 100 52	- 377 64	- 41 13	- 418 77
13 Apr 11		400 000	3 43		681 76	1,090 69	- 376 80	- 32 13	- 408 93
18 Apr 11		400 000	3 41		681 76	1 097 81	- 371 56	- 44 49	- 416 05
9 Dec 08		900 000	1 30		1 533 95	763 83	631 62	138 50	770 12
14 Apr 11		300 000	3 43		511 32	819 87	- 282 56	- 25 99	- 308 55
17 Dec 08		700 000	1 30		1,193 07	594 09	491 26	107 72	598 98
18 Dec 08		200 000	1 30		340 88	169 74	140 36	30 78	171 14
CAPITAMALL TRUST NPV (REIT)		32 796 000		197 67	42 997 88	40,060 99	3 992 45	- 1,055 56	2,936 89
SEDOL 6420129		1 700							
1 Nov 11		7 900 000	1 79		10,357 46	11,325 68	- 575 60	- 392 62	- 968 22
19 Aug 11		2,000 000	1 74		2,622 14	2,864 44	- 60 96	- 181 34	- 242 30
29 Jun 07		3,541 417	0 82		4 643 05	1,915 06	2,409 98	318 01	2,727 99
22 Aug 11		1,600 000	1 73		2,097 71	2,297 52	- 40 35	- 159 46	- 199 81
24 Aug 11		1,500 000	1 78		1,966 61	2 216 22	- 92 30	- 157 31	- 249 61
14 Sep 11		1,400 000	1 87		1 835 50	2 113 36	- 187 87	- 89 99	- 277 86
23 Aug 11		1,200 000	1 75		1,573 28	1,739 44	- 47 58	- 118 58	- 166 16
12 Sep 11		1,100 000	1 87		1,442 18	1,678 22	- 145 61	- 90 43	- 236 04

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR	Accrued	Market value	Cost	Unrealized gain/loss	
Asset ID	Local market price	income/expense			Market	Translation
Acquisition Date	Local cost/share					Total
Common stock						
25 Aug 11	1 100 000	1 82	1,442 18	1,658 36	- 99 61	- 216 18
26 Aug 11	1 100 000	1 81	1,442 18	1 649 98	- 97 49	- 207 80
8 Sep 11	900 000	1 92	1 179 96	1,429 12	- 153 68	- 249 16
15 Sep 11	900 000	1 87	1 179 96	1,351 35	- 120 35	- 171 39
13 Sep 11	900 000	1 86	1 179 97	1,353 89	- 110 94	- 173 92
22 Jan 08	1 839 840	0 82	2 412 16	994 91	1,252 03	1,417 25
8 Jul 08	1,799 958	0 82	2,359 87	973 35	1,224 89	1,386 52
7 Sep 11	700 000	1 91	917 75	1,105 00	- 113 63	- 187 25
1 Jul 08	1,169 973	0 82	1,533 92	632 68	796 18	901 24
31 Aug 11	500 000	1 85	655 53	767 73	- 58 53	- 112 20
29 Aug 11	400 000	1 83	524 43	608 96	- 41 64	- 84 53
9 Sep 11	300 000	1 92	393 32	475 52	- 51 42	- 82 20
16 Sep 11	300 000	1 92	393 32	462 96	- 50 55	- 69 64
3 Jul 08	449 990	0 82	589 97	243 34	306 23	346 63
12 Sep 11	100 000	1 87	131 11	152 62	- 13 28	- 21 51
19 Dec 08	94 822	0 82	124 32	51 28	64 53	73 04
GLOBAL LOGISTIC PR NPV SEDOL B4KJWS6	42,700 000 1 755	0 00	57,793 93	64 806 00	- 7,451 88	- 7,012 07
11 Oct 10	42 700 000	1 98	57,793 93	64,806 00	- 7,451 88	- 7,012 07
Total SGD		197 67	222,692 09	249,188 05	- 30,561 73	- 26,495 96
Total Singapore		197 67	222,692 09	249,188 05	- 30,561 73	- 26,495 96
Sweden - SEK						
CASTELLUM AB NPV SEDOL B0XP0T0	1,360 000 85 300	0 00	16 922 38	8,976 99	6,218 03	7,945 39

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Accrued	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price	income/expense				Translation	
Acquisition Date	Local cost/share						
Common stock							
3 Mar 09	500 000	50 67	6,221 46	2,756 88	2,526 00	938 58	3 464 58
13 May 09	210 000	54 14	2 613 02	1,456 13	954 66	202 23	1 156 89
14 May 09	200 000	53 45	2,488 58	1,357 25	929 27	202 06	1,131 33
22 May 09	140 000	53 95	1,742 01	992 56	640 28	109 17	749 45
28 Apr 09	120 000	53 69	1,493 16	792 37	553 25	147 54	700 79
27 Oct 11	50 000	88 64	622 14	673 95	- 24 38	- 27 43	- 51 81
15 May 09	50 000	54 11	622 15	342 81	227 50	51 84	279 34
7 May 09	40 000	54 13	497 71	273 03	181 89	42 79	224 68
29 Apr 09	40 000	53 83	497 72	263 05	183 66	51 01	234 67
13 May 09	10 000	53 84	124 43	68 96	45 90	9 57	55 47
HUFVUDSTADEN AB SER'ANPV SEDOL 4449137	1,170 000 70 050	0 00	11,955 49	8,727 58	2 769 56	458 35	3,227 91
22 Jan 10	500 000	54 08	5,109 19	3,747 96	1,164 72	196 51	1,361 23
25 Jan 10	210 000	53 74	2,145 85	1,561 10	499 72	85 03	584 75
26 Jan 10	190 000	53 30	1,941 49	1,400 70	464 18	76 61	540 79
22 Jan 10	140 000	53 78	1,430 58	1,043 64	332 22	54 72	386 94
22 Jan 10	90 000	53 77	919 65	670 77	213 72	35 16	248 88
20 Jan 10	30 000	53 77	306 55	227 55	71 26	7 74	79 00
20 Jan 10	10 000	53 77	102 18	75 86	23 74	2 58	26 32
Total SEK		0 00	28,877 87	17,704 57	8,987 59	2,185 71	11,173 30
Total Sweden		0 00	28,877 87	17,704 57	8,987 59	2,185 71	11,173 30
Switzerland - CHF							
PSP SWISS PROPERTY CHF0 10 (REGD) SEDOL B012877	130 000 78 600	0 00	10,927 17	12,537 86	437 04	- 2,047 73	- 1,610 69

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
23 Aug 11	20 000	75 85		1 681 10	1 930 92	58 89	- 308 71	- 249 82
4 Aug 11	10 000	77 17		840 56	1 007 16	15 25	- 181 85	- 166 60
4 Aug 11	10 000	76 88		840 55	1 003 31	18 41	- 181 17	- 162 76
24 Aug 11	10 000	76 78		840 55	972 96	19 45	- 151 86	- 132 41
23 Aug 11	10 000	75 97		840 55	967 03	28 12	- 154 60	- 126 48
22 Aug 11	10 000	75 46		840 55	959 72	33 55	- 152 72	- 119 17
5 Aug 11	10 000	74 73		840 55	970 78	41 43	- 171 66	- 130 23
19 Aug 11	10 000	73 83		840 56	933 41	50 98	- 143 83	- 92 85
19 Aug 11	10 000	73 71		840 55	931 83	52 31	- 143 59	- 91 28
18 Aug 11	10 000	73 54		840 55	927 48	54 17	- 141 10	- 86 93
5 Aug 11	10 000	73 35		840 55	952 95	56 11	- 168 51	- 112 40
25 Aug 11	7 000	77 87		588 39	686 66	5 49	- 103 76	- 98 27
25 Aug 11	3 000	77 70		252 16	293 65	2 88	- 44 37	- 41 49
SWISS PRIME SITE CHF18 80 (REGD) SEDOL: B083BH4	440 000 70 550		0 00	33,196 45	24,212 20	8,318 61	665 64	8,984 25
27 Oct 09	70 000	54 16		5,281 25	3,750 31	1,227 18	303 76	1,530 94
4 Aug 11	30 000	70 19		2,263 40	2,747 95	11 64	- 496 19	- 484 55
5 Aug 11	20 000	68 58		1,508 93	1,781 76	42 23	- 315 06	- 272 83
24 Jun 09	30 000	45 60		2,263 39	1,275 30	800 56	187 53	988 09
19 Aug 11	20 000	67 75		1 508 93	1,713 06	59 85	- 263 98	- 204 13
2 Dec 09	20 000	54 08		1,508 93	1 086 41	352 35	70 17	422 52
25 Jun 09	20 000	46 00		1,508 93	847 68	524 99	136 26	661 25
14 Jul 09	20 000	45 97		1,508 93	844 88	525 63	138 42	664 05
9 Jul 09	20 000	45 96		1,508 93	840 91	526 04	141 98	668 02
22 Jun 09	20 000	45 17		1,508 93	831 76	542 87	134 30	677 17

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
5 Aug 11	10 000	68 73		754 46	892 93	19 43	- 157 90	- 138 47
18 Aug 11	10 000	67 49		754 47	851 22	32 73	- 129 48	- 96 75
27 Oct 09	10 000	53 83		754 46	532 50	178 85	43 11	221 96
26 Oct 09	10 000	53 78		754 47	535 20	179 29	39 98	219 27
30 Nov 09	10 000	53 70		754 46	535 73	180 22	38 51	218 73
1 Dec 09	10 000	53 41		754 47	533 61	183 28	37 58	220 86
30 Jun 09	10 000	46 27		754 46	426 08	259 60	68 78	328 38
8 Jul 09	10 000	46 14		754 47	425 14	261 08	68 25	329 33
15 Jul 09	10 000	46 13		754 46	422 95	261 18	70 33	331 51
26 Jun 09	10 000	46 07		754 47	417 89	261 81	74 77	336 58
6 Jul 09	10 000	46 06		754 46	423 47	261 94	69 05	330 99
7 Jul 09	10 000	46 03		754 47	421 52	262 26	70 69	332 95
10 Jul 09	10 000	45 90		754 46	423 21	263 61	67 64	331 25
13 Jul 09	10 000	45 84		754 47	421 55	264 22	68 70	332 92
23 Jun 09	10 000	45 24		754 46	416 08	270 71	67 67	338 38
19 Jun 09	10 000	44 58		754 46	411 59	277 72	65 15	342 87
18 Jun 09	10 000	43 68		754 47	401 51	287 34	65 62	352 96
Total CHF			0 00	44,123 62	36,750 06	8,755 65	- 1,382 09	7,373 56
Switzerland - USD								
ADR ABB LTD SPONSORED ADR CUSIP 000375204	16 100 000 18 830		0 00	303,163 00	275,448 76	27,714 24	0 00	27,714 24
25 Feb 08	1,000 000	23 95		18,830 00	23,951 60	- 5 121 60	0 00	- 5,121 60
17 Mar 08	600 000	23 76		11,298 00	14,257 98	- 2 959 98	0 00	- 2 959 98
19 Aug 08	1,400 000	23 55		26,362 00	32,972 24	- 6,610 24	0 00	- 6 610 24
20 Aug 08	700 000	23 43		13 181 00	16,398 27	- 3 217 27	0 00	- 3,217 27

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
11 Sep 08	700 000	20 84		13 181 00	14,586 18	- 1,405 18	0 00	- 1,405 18
14 Oct 08	1,400 000	17 16		26,362 00	24,029 46	2,332 54	0 00	2,332 54
15 Oct 08	1 400 000	15 52		26 362 00	21,723 94	4,638 06	0 00	4 638 06
16 Oct 08	1,400 000	14 79		26 362 00	20,703 48	5,658 52	0 00	5,658 52
17 Oct 08	1,000 000	14 53		18 830 00	14,531 50	4,298 50	0 00	4,298 50
17 Dec 08	2,500 000	14 59		47 075 00	36,475 00	10,600 00	0 00	10,600 00
27 Feb 09	2,700 000	12 26		50 841 00	33,100 92	17,740 08	0 00	17,740 08
24 Mar 09	400 000	14 27		7,532 00	5,708 64	1 823 36	0 00	1,823 36
19 Oct 11	900 000	18 90		16,947 00	17,009 55	- 62 55	0 00	- 62 55
Total USD			0 00	303,163 00	275,448 76	27,714 24	0 00	27,714 24
Total Switzerland			0 00	347,286 62	312,198 82	36,469 89	- 1,382 09	35,087 80
United Kingdom - GBP								
BRITISH LAND CO ORD GBP0 25 SEDOL: 0136701	8,476 000 4 625		0 00	60,923 05	49 152 25	11,456 10	314 70	11,770 80
8 Jul 10	1,000 000	4 60		7,187 71	6,986 45	45 71	155 55	201 26
28 Jun 07	1,599 673	2 25		11,497 99	5 045 81	5,904 38	547 80	6,452 18
13 Sep 11	700 000	5 06		5 031 40	5,599 39	- 475 51	- 92 48	- 567 99
12 Sep 11	580 000	5 08		4,168 88	4 682 19	- 409 04	- 104 27	- 513 31
14 Sep 11	380 000	4 99		2,731 33	2,991 68	- 213 18	- 47 17	- 260 35
19 Dec 08	599 878	2 25		4,311 75	1,892 18	2,214 14	205 43	2,419 57
30 Jul 09	310 000	4 30		2,228 19	2,187 96	156 09	- 115 86	40 23
3 Aug 09	260 000	4 32		1,868 80	1,861 32	123 97	- 116 49	7 48
9 Sep 11	200 000	5 19		1,437 55	1,668 64	- 176 17	- 54 92	- 231 09
12 Feb 10	197 000	4 58		1,415 98	1,408 15	13 96	- 6 13	7 83

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WRCKK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
28 Nov 07	399 918	2 25		2 874 49	1,261 45	1,476 09	136 95	1,613 04
29 Jul 09	200 000	4 16		1,437 54	1,369 39	145 35	- 77 20	68 15
2 Oct 07	333 266	2.25		2 395 42	1,051 21	1,230 08	114 13	1,344 21
13 Aug 10	159 000	4 52		1,142.85	1,119 88	25 95	- 2 98	22 97
14 Oct 09	133 000	4 71		955 97	991 98	- 16 78	- 19 23	- 36 01
29 Jul 09	150 000	4 16		1,078 15	1,028 09	108 03	- 57 97	50 06
30 Jul 09	120 000	4 26		862 53	838 72	68 22	- 44 41	23 81
13 Sep 11	90 000	5 05		646 89	717 95	- 59 19	- 11 87	- 71 06
25 Nov 08	199 959	2.25		1 437 25	630 73	738 05	68 47	806 52
14 Aug 09	116 000	3 66		833 78	704 17	174 25	- 44 64	129 61
29 Jul 09	100 000	4 16		718 77	685 66	71 77	- 38 66	33 11
12 Sep 11	80 000	5 05		575 01	642 51	- 53 18	- 14 32	- 67 50
12 Sep 11	80 000	5 05		575 02	642 41	- 53 09	- 14 30	- 67 39
3 Aug 09	90 000	4 32		646 90	643 96	43 23	- 40 29	2 94
9 Sep 11	60 000	5.22		431 26	503 19	- 55 37	- 16 56	- 71 93
30 Jun 08	133 306	2 25		958 16	420 48	492 03	45 65	537 68
15 Oct 09	55 000	4 69		395 33	411 94	- 5 98	- 10 63	- 16 61
13 Sep 11	50 000	5 05		359 38	399 38	- 33 39	- 6 61	- 40 00
13 Sep 11	50 000	5 04		359 39	398 48	- 32 51	- 6 58	- 39 09
14 Sep 11	20 000	4 97		143 75	157 00	- 10 77	- 2 48	- 13 25
30 Jul 09	20 000	4 17		143 76	136 78	14 22	- 7 24	6 98
4 Aug 09	10 000	4 32		71 87	73 12	4 74	- 5 99	- 1 25
DERWENT LONDON ORD GBP0 05 SEDOL 0265274	2 010 000 15 600		0 00	48,730 36	41,101 61	7,902 96	- 274 21	7,628 75
25 Jan 10	390 000	13 37		9,455 14	8,407 57	1,351 82	- 304 25	1,047 57

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 40 of 132

Portfolio Statement

31 Dec 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID								Translation	
Acquisition Date									
Common stock									
8 Jul 10	400 000	13 02			9,697 59	7,914 79	1,606 56	176 24	1,782 80
21 Jan 10	100 000	13 51			2 424 40	2,199 48	324 38	- 99 46	224 92
22 Jan 10	70 000	13 46			1,697 07	1,524 27	232 55	- 59 75	172 80
14 Apr 10	60 000	14 35			1,454 64	1,322 57	117 01	15 06	132 07
21 Jan 10	60 000	13 47			1,454 64	1,315 10	199 00	- 59 46	139 54
29 Dec 08	100 000	7 44			2,424 39	1,093 74	1,268 68	61 97	1,330 65
12 Apr 10	50 000	14 23			1,212 20	1,092 46	106 39	13 35	119 74
19 Apr 10	50 000	14 18			1,212 20	1,092 60	109 98	9 62	119 60
8 Apr 10	50 000	14 14			1 212 20	1,074 88	113 50	23 82	137 32
27 Jan 10	47 000	13 56			1,139 46	1,028 95	149 19	- 38 68	110 51
13 Apr 10	39 000	14 33			945 52	860 37	76 76	8 39	85 15
21 Jan 10	40 000	13 45			969 76	875 60	133 75	- 39 59	94 16
22 Jan 10	40 000	13 40			969 76	866 93	136 80	- 33 97	102 83
25 Jan 10	40 000	13 34			969 75	860 11	140 77	- 31 13	109 64
23 Dec 08	60 000	7 53			1,454 64	665 25	752 93	36 46	789 39
14 Apr 10	30 000	14 34			727 32	661 20	58 59	7 53	66 12
20 Apr 10	30 000	14 30			727 32	656 39	60 66	10 27	70 93
12 Apr 10	30 000	14 23			727 32	655 64	63 67	8 01	71 68
9 Apr 10	30 000	14 16			727 32	647 87	66 94	12 51	79 45
19 Apr 10	30 000	14 14			727 32	653 72	67 85	5 75	73 60
13 Apr 10	29 000	14 32			703 07	639 30	57 55	6 22	63 77
26 Jan 10	30 000	13 36			727 32	649 52	104 51	- 26 71	77 80
19 Apr 10	20 000	14 14			484 88	435 54	45 51	3 83	49 34
9 Apr 10	20 000	14 13			484 88	430 96	45 59	8 33	53 92
9 Apr 10	20 000	14 13			484 88	430 90	45 66	8 32	53 98
8 Apr 10	20 000	14 11			484 88	428 90	46 47	9 51	55 98

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
27 Jan 10	17 000	13 54		412 14	371 61	54 50	- 13 97	40 53
14 Apr 10	10 000	14 36		242 44	220 65	19 27	2 52	21 79
22 Apr 10	10 000	14 35		242 44	221 06	19 38	2 00	21 38
14 Apr 10	10 000	14 30		242 44	219 78	20 16	2 50	22 66
20 Apr 10	10 000	14 27		242 44	218 38	20 64	3 42	24 06
9 Apr 10	10 000	14 13		242 44	215 49	22 78	4 17	26 95
8 Apr 10	10 000	14 10		242 44	214 38	23 31	4 75	28 06
8 Apr 10	10 000	14 09		242 44	214 22	23 47	4 75	28 22
21 Jan 10	10 000	13 47		242 44	219 20	33 15	- 9 91	23 24
22 Jan 10	10 000	13 41		242 44	216 84	34 09	- 8 49	25 60
27 Jan 10	6 000	13 52		145 46	131 02	19 37	- 4 93	14 44
24 Dec 08	10 000	7 51		242 44	110 41	125 66	6 37	132 03
13 Apr 10	2 000	14 28		48 49	43 96	4 11	0 42	4 53
GREAT PORTLAND ESTATES ORD GBPO 125 SEDOL B01FLL1	5 690 000 3 230		282 98	28,562 34	27,752 09	1,444 54	- 634 29	810 25
16 Nov 09	420 000	2 95		2 108 29	2,063 89	184 70	- 140 30	44 40
8 Apr 10	340 000	3 16		1 706 71	1 634 08	36 42	36 21	72 63
19 Apr 10	320 000	3 25		1 606 32	1 599 89	- 7 65	14 08	6 43
12 Nov 09	340 000	2 85		1,706 72	1 607 16	200 31	- 100 75	99 56
26 Apr 10	260 000	3 27		1,305 13	1,306 99	- 17 86	16 00	- 1 86
16 Nov 09	270 000	2 96		1 355 33	1 332 74	113 19	- 90 60	22 59
17 Nov 09	240 000	2 93		1,204 74	1,179 90	111 47	- 86 63	24 84
12 Nov 09	230 000	2 84		1,154 54	1,084 13	138 38	- 67 97	70 41
12 Apr 10	180 000	3 24		903 55	896 17	- 3 56	10 94	7 38
13 Apr 10	170 000	3.23		853 36	844 13	1 00	8 23	9 23

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Common stock												
20 Apr 10			160 000		3.24		803 16	793 12	- 2 38	12.42		10 04
13 Nov 09			170 000		2.91		853 35	819 11	83 95	- 49 71		34 24
13 Nov 09			170 000		2.91		853 36	817 98	85 01	- 49 63		35 38
22 Apr 10			150 000		3.28		752 96	757 10	- 10 97	6 83		- 4 14
22 Apr 10			130 000		3.27		652 57	655 02	- 8 36	5 91		- 2 45
16 Nov 09			140 000		2.97		702 76	693 14	56 74	- 47 12		9 62
8 Apr 10			130 000		3.16		652 57	624 57	14 16	13 84		28 00
27 Apr 10			118 000		3.23		592 33	589 09	0 34	2 90		3 24
10 Nov 09			140 000		2.66		702 76	624 20	123 53	- 44 97		78 56
13 Nov 09			110 000		2.91		552 17	530 32	54 04	- 32 19		21 85
26 Apr 10			90 000		3.27		451 78	452 04	- 5 80	5 54		- 0 26
26 Apr 10			90 000		3.26		451 78	450 69	- 4 43	5 52		1 09
11 Nov 09			100 000		2.84		501 97	474 21	61 37	- 33 61		27 76
27 Apr 10			79 000		3.22		396 56	393 51	1 12	1 93		3 05
9 Apr 10			80 000		3.18		401 58	387 72	6 37	7 49		13 86
10 Nov 09			90 000		2.66		451 78	401 35	79 34	- 28 91		50 43
10 Nov 09			90 000		2.66		451 78	401 14	79 54	- 28 90		50 64
19 Apr 10			70 000		3.25		351 38	350 40	- 2 10	3 08		0 98
14 Apr 10			70 000		3.22		351 38	345 92	1 53	3 93		5 46
19 Apr 10			60 000		3.24		301 18	299 79	- 1 25	2 64		1 39
9 Apr 10			60 000		3.19		301 19	291 89	3 65	5 65		9 30
10 Nov 09			70 000		2.67		351 38	313 04	60 89	- 22 55		38 34
14 Apr 10			50 000		3.22		250 99	247 75	0 42	2 82		3 24
14 Apr 10			50 000		3.22		250 99	247 65	0 52	2 82		3 34
26 Apr 10			40 000		3.27		200 79	201 09	- 2 77	2 47		- 0 30
19 Apr 10			40 000		3.25		200 79	200 38	- 1 35	1 76		0 41

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR			Accrued	Unrealized gain/loss			
Asset ID	Local market price	Local cost/share	income/expense	Market value	Cost	Market	Translation	Total
Acquisition Date								
Common stock								
12 Apr 10	40 000	3.24		200 78	199 10	- 0 75	2 43	1 68
22 Apr 10	30 000	3.26		150 60	150 85	- 1 61	1 36	- 0 25
19 Apr 10	30 000	3.24		150 59	149 93	- 0 66	1 32	0 66
20 Apr 10	30 000	3.24		150 59	148 72	- 0 45	2 32	1 87
12 Apr 10	30 000	3.24		150 59	149 10	- 0 32	1 81	1 49
13 Apr 10	30 000	3.23		150 60	149 31	- 0 18	1 47	1 29
15 Apr 10	20 000	3.25		100 39	100 47	- 0 60	0 52	- 0 08
14 Apr 10	20 000	3.22		100 40	98 88	0 39	1 13	1 52
8 Apr 10	20 000	3 18		100 39	96 68	1 57	2 14	3 71
8 Apr 10	20 000	3 16		100 40	95 95	2 31	2 14	4 45
8 Apr 10	20 000	3 15		100 39	95 89	2 38	2 12	4 50
12 Nov 09	20 000	2 82		100 40	93 55	12 71	- 5 86	6 85
23 Apr 10	10 000	3 28		50 19	50 42	- 0 73	0 50	- 0 23
20 Apr 10	10 000	3.22		50 20	49 33	0 10	0 77	0 87
20 Apr 10	10 000	3.22		50 20	49 30	0 12	0 78	0 90
14 Apr 10	10 000	3.22		50 20	49 43	0 20	0 57	0 77
13 Apr 10	10 000	3.22		50 19	49 50	0 22	0 47	0 69
13 Apr 10	10 000	3.22		50 20	49 50	0 22	0 48	0 70
27 Apr 10	3 000	3.21		15 06	14 88	0 11	0 07	0 18
HAMMERSON ORD GBP0 25 SEDOL 0406501	10,982 000 3 600		0 00	61,441 66	63,550 82	- 1,308 99	- 800 17	- 2,109 16
7 Jul 11	4,500 000	4 66		25,176 42	33 503 41	- 7,413 73	- 913 26	- 8 326 99
17 Oct 11	510 000	4.21		2 853 33	3 394 70	- 485 93	- 55 44	- 541 37
18 Oct 11	407 000	4 17		2,277 07	2 679 45	- 362 22	- 40 16	- 402 38
10 Oct 11	390 000	4 02		2,181 95	2 446 01	- 252 15	- 11 91	- 264 06

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
13 Oct 11			334 000	4 10		1,868 65	2,161 67	- 261 09	- 31 93	- 293 02
28 Jun 07			911 430	1 50		5,099 24	1,994 31	2 974 55	130 38	3,104 93
12 Oct 11			300 000	4 09		1,678 42	1,915 28	- 227 29	- 9 57	- 236 86
17 Oct 11			280 000	4 20		1,566 54	1,857 33	- 260 47	- 30 32	- 290 79
11 Oct 11			280 000	4 01		1 566 53	1,760 81	- 179 67	- 14 61	- 194 28
31 Oct 07			699 890	1 50		3,915 72	1,531 45	2 284 18	100 09	2,384 27
18 Oct 11			247 000	4 18		1,381 90	1,628 54	- 222 22	- 24 42	- 246 64
18 Oct 11			226 000	4 13		1,264 42	1,472 72	- 186 23	- 22 07	- 208 30
12 Oct 11			190 000	4 09		1,063 00	1 212 63	- 143 57	- 6 06	- 149 63
2 Oct 07			419 934	1 50		2,349 43	918 87	1,370 51	60 05	1,430 56
11 Oct 11			150 000	4 01		839 22	943 00	- 95 97	- 7 81	- 103 78
19 Dec 08			400 801	1 50		2,242 38	877 01	1,308 06	57 31	1,365 37
13 Oct 11			126 000	4 10		704 94	815 42	- 98 44	- 12 04	- 110 48
10 Oct 11			90 000	3 99		503 53	561 18	- 54 92	- 2 73	- 57 65
29 Dec 08			195 969	1 50		1,096 40	428 80	639 57	28 03	667 60
17 Oct 11			70 000	4 20		391 63	463 94	- 64 72	- 7 59	- 72 31
17 Oct 11			60 000	4 20		335 69	397 96	- 55 78	- 6 49	- 62 27
23 Dec 08			153 976	1 50		861 46	336 92	502 52	22 02	524 54
11 Oct 11			20 000	3 99		111 89	124 98	- 12 04	- 1 05	- 13 09
10 Oct 11			10 000	3 99		55 95	62 23	- 5 99	- 0 29	- 6 28
10 Oct 11			10 000	3 98		55 95	62 20	- 5 95	- 0 30	- 6 25
LAND SECURITIES GP ORD GBP0 10 SEDOL 3180943			4 436 000 6 355		496 36	43 811 29	17,362 15	25,197 56	1,251 58	26,449 14
3 Mar 09			1 437 375	2 70		14,195 96	5 625 77	8,164 63	405 56	8,570 19
19 Dec 08			687 440	2 70		6,789 36	2 690 59	3,904 82	193 95	4 098 77

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 45 of 132

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
5 Mar 09	434 924	2 70		4,295 45	1 702 26	2 470 46	122 73	2,593 19
13 Nov 07	374 967	2 70		3,703 28	1,467 59	2,129 91	105 78	2,235 69
28 Jun 07	251 404	2 70		2 482 95	983 97	1 428 03	70 95	1,498 98
19 Feb 09	187 483	2 70		1,851 64	733 80	1 064 96	52 88	1,117 84
20 Sep 07	187 484	2 70		1,851 65	733 80	1 064 96	52 89	1,117 85
18 Feb 09	124 989	2 70		1,234 43	489 19	709 97	35 27	745 24
30 Jun 08	124 989	2 70		1,234 43	489 20	709 96	35 27	745 23
2 Oct 08	124 989	2 70		1,234 43	489 20	709 97	35 26	745 23
31 Oct 07	124 989	2 70		1,234 42	489 19	709 97	35 26	745 23
29 Jan 09	124 989	2 70		1,234 43	489 20	709 97	35 26	745 23
27 Jan 09	124 989	2 70		1,234 43	489 19	709 96	35 28	745 24
23 Jan 09	62 494	2 70		617 21	244 60	354 99	17 62	372 61
22 Sep 08	62 495	2 70		617 22	244 60	355 00	17 62	372 62
SAFESTORE HLDGS ORD GBP0 01 SEDOL B1N7Z09	9,300 000 1 000		0 00	14,453 13	23,555 07	- 6 344 99	- 2,756 95	- 9,101 94
28 Jun 07	3,600 000	1 87		5,594 76	13 446 88	- 4,870 10	- 2,982 02	- 7,852 12
8 Jul 10	5,700 000	1 17		8,858 37	10,108 19	- 1,474 89	225 07	- 1,249 82
SEGR0 PLC ORD GBP0 10 SEDOL B5ZN1N8	3,982 000 2 085		0 00	12,902 87	17 988 99	- 4 275 84	- 810 28	- 5,086 12
10 Nov 09	460 000	3 48		1,490 54	2 682 87	- 999 05	- 193 28	- 1,192 33
9 Jul 09	513 000	2 29		1 662 27	1,889 75	- 163 28	- 64 20	- 227 48
10 Nov 09	240 000	3 48		777 67	1 396 81	- 518 51	- 100 63	- 619 14
17 Oct 11	300 000	2 41		972 09	1,140 12	- 149 42	- 18 61	- 168 03
18 Oct 11	270 000	2 38		874 88	1,014 26	- 124 17	- 15 21	- 139 38
13 Nov 09	170 000	3 73		550 85	1,049 57	- 435 02	- 63 70	- 498 72

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

Page 49 of 2,975

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PAR	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Common stock												
19 Oct 11			242 000		2 39		784 16	906 40	- 116 51	- 5 73		- 122 24
11 Nov 09			150 000		3 63		486 04	909 97	- 359 44	- 64 49		- 423 93
18 Oct 11			230 000		2 37		745 27	858 31	- 100 17	- 12 87		- 113 04
17 Oct 11			200 000		2 40		648 06	758 98	- 98 53	- 12 39		- 110 92
10 Nov 09			110 000		3 48		356 43	641 38	- 238 74	- 46 21		- 284 95
19 Oct 11			158 000		2 39		511 97	590 83	- 75 13	- 3 73		- 78 86
10 Jul 09			146 000		2 25		473 08	533 16	- 37 33	- 22 75		- 60 08
11 Nov 09			80 000		3 66		259 23	489 89	- 195 94	- 34 72		- 230 56
17 Oct 11			120 000		2 39		388 83	453 68	- 57 44	- 7 41		- 64 85
10 Nov 09			80 000		3 50		259 23	468 90	- 175 89	- 33 78		- 209 67
12 Nov 09			70 000		3 74		226 82	434 57	- 180 51	- 27 24		- 207 75
6 Jul 09			106 000		2 34		343 47	404 89	- 41 53	- 19 89		- 61 42
8 Jul 09			80 000		2 32		259 22	299 76	- 28 59	- 11 95		- 40 54
10 Nov 09			40 000		3 48		129 61	232 96	- 86 56	- 16 79		- 103 35
22 Jun 09			60 000		2 29		194 42	226 28	- 19 57	- 12 29		- 31 86
17 Oct 11			40 000		2 39		129 61	151 32	- 19 24	- 2 47		- 21 71
17 Oct 11			40 000		2 39		129 62	151 18	- 19 10	- 2 46		- 21 56
27 Jul 09			29 000		2 10		93 97	99 96	- 0 68	- 5 31		- 5 99
22 Jun 09			25 000		2 29		81 00	93 99	- 7 87	- 5 12		- 12 99
11 Nov 09			10 000		3 56		32 41	59 61	- 22 98	- 4 22		- 27 20
2 Jul 09			13 000		2 31		42 12	49 59	- 4 64	- 2 83		- 7 47
Total GBP						779 34	270,824 70	240,462 98	34,071 34	- 3,709 62		30,361 72
Total United Kingdom						779 34	270,824 70	240,462 98	34,071 34	- 3,709 62		30,361 72

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 47 of 132

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
United States - USD								
#REORG/AMERICAN TOWER MANDATORY EXC AMERICAN TOWER REIT 2F14A31 1/3/2012 CUSIP 029912201	1 058 000 60 010		0 00	63,490 58	59,862 91	3,627 67	0 00	3,627 67
2 Nov 11	1 058 000	56 58		63 490 58	59 862 91	3,627 67	0 00	3,627 67
ACACIA RESH CORP AR ACACIA TECHNOLOGIES COM AR ACACIA TECHNOLOGIES COM CUSIP 003881307	7 620 000 36 510		0 00	278,206 20	256 716 02	21,490 18	0 00	21,490 18
21 Jun 11	7,590 000	33 68		277 110 90	255,635 72	21,475 18	0 00	21 475 18
28 Jun 11	30 000	36 01		1 095 30	1,080 30	15 00	0 00	15 00
ACCENTURE PLC SHS CL A NEW CUSIP G1151C101	13,637 000 53 230		0 00	725,897 51	452,267 56	273,629 95	0 00	273 629 95
19 Mar 02	340 780	25 87		18 139 72	8,815 26	9,324 46	0 00	9,324 46
8 Jun 11	1,258 000	55 75		66 963 34	70,138 53	- 3,175 19	0 00	- 3,175 19
20 Mar 02	26 210	25 91		1,395 16	679 21	715 95	0 00	715 95
14 Jul 11	824 000	61 04		43,861 52	50 299 43	- 6,437 91	0 00	- 6,437 91
2 Apr 02	117 970	26 00		6,279 54	3,067 23	3,212 31	0 00	3,212 31
26 Aug 11	1,055 000	51 58		56,157 65	54,418 80	1,738 85	0 00	1,738 85
16 May 02	694 660	20 00		36,976 75	13,893 20	23 083 55	0 00	23,083 55
3 Jun 02	471 840	20 46		25,116 04	9,652 29	15,463 75	0 00	15 463 75
26 Jun 03	183 500	17 99		9,767 71	3,300 38	6,467 33	0 00	6,467 33
23 Sep 03	471 840	21 00		25,116 05	9,908 64	15,207 41	0 00	15 207 41
26 Dec 03	1,793 200	26 09		95,452 03	46,792 30	48,659 73	0 00	48 659 73
29 Dec 03	2,700 000	26 08		143,721 00	70,421 40	73,299 60	0 00	73,299 60
5 May 05	1,200 000	22 09		63,876 00	26,508 72	37,367 28	0 00	37 367 28

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
6 May 05	600 000	21 95		31,938 00	13 170 54	18,767 46	0 00	18,767 46
27 Feb 09	300 000	29 39		15,969 00	8,818 05	7 150 95	0 00	7 150 95
8 Apr 09	1,000 000	27 47		53,230 00	27,471 20	25,758 80	0 00	25,758 80
19 Oct 11	600 000	58 19		31 938 00	34 912 38	- 2,974 38	0 00	- 2,974 38
ADVISORY BRD CO COM CUSIP 00762W107	1,280 000 74 210		0 00	94 988 80	78 991 02	15,997 78	0 00	15 997 78
19 Sep 11	97 000	61 40		7,198 37	5,956 13	1,242 24	0 00	1,242 24
20 Sep 11	93 000	62 55		6,901 53	5,817 15	1,084 38	0 00	1,084 38
20 Sep 11	92 000	61 90		6,827 32	5,694 87	1 132 45	0 00	1 132 45
21 Sep 11	105 000	61 29		7,792 05	6,434 94	1 357 11	0 00	1,357 11
22 Sep 11	163 000	59 74		12 096 23	9,738 13	2 358 10	0 00	2,358 10
23 Sep 11	59 000	60 00		4,378 39	3,540 22	838 17	0 00	838 17
26 Sep 11	25 000	62 90		1,855 25	1,572 40	282 85	0 00	282 85
26 Sep 11	53 000	62 02		3,933 13	3,287 06	646 07	0 00	646 07
27 Sep 11	148 000	66 47		10 983 08	9,837 25	1,145 83	0 00	1 145 83
28 Sep 11	20 000	65 51		1,484 20	1,310 29	173 91	0 00	173 91
29 Sep 11	98 000	63 41		7,272 58	6,214 15	1 058 43	0 00	1 058 43
30 Sep 11	15 000	64 53		1,113 15	968 01	145 14	0 00	145 14
3 Oct 11	24 000	59 92		1,781 04	1,438 13	342 91	0 00	342 91
4 Oct 11	151 000	58 96		11 205 71	8,903 17	2,302 54	0 00	2,302 54
5 Oct 11	137 000	60 43		10 166 77	8,279 12	1 887 65	0 00	1,887 65
AFLAC INC COM CUSIP 001055102	2,268 000 43 260		0 00	98,113 68	128,752 04	- 30,638 36	0 00	- 30,638 36
29 Dec 10	2 006 000	56 80		86,779 56	113,938 19	- 27,158 63	0 00	- 27,158 63
5 Jan 11	262 000	56 54		11 334 12	14 813 85	- 3 479 73	0 00	- 3,479 73

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
AGILENT TECHNOLOGIES INC COM CUSIP 00846U101	14,600 000 34 930		0 00	509,978 00	318 440 63	191,537 37	0 00	191,537 37
4 Aug 05	1 500 000	25 01		52 395 00	37,517 09	14 877 91	0 00	14,877 91
5 Aug 05	2 100 000	24 56		73 353 00	51,579 64	21,773 36	0 00	21,773 36
8 Aug 05	1,400 000	24 25		48,902 00	33 945 75	14,956 25	0 00	14,956 25
9 Aug 05	1,100 000	24 16		38,423 00	26 574 43	11,848 57	0 00	11,848 57
10 Aug 05	2 200 000	24 16		76 846 00	53 145 34	23,700 66	0 00	23,700 66
11 Aug 05	600 000	24 63		20,958 00	14,780 27	6,177 73	0 00	6,177 73
17 Dec 08	2 100 000	16 20		73 353 00	34 018 95	39,334 05	0 00	39,334 05
27 Feb 09	2 400 000	13 99		83 832 00	33 576 00	50,256 00	0 00	50 256 00
24 Mar 09	400 000	15 95		13 972 00	6 379 24	7,592 76	0 00	7,592 76
19 Oct 11	800 000	33 65		27 944 00	26 923 92	1,020 08	0 00	1,020 08
AIR PROD & CHEM INC COM CUSIP 009158106	3 700 000 85 190		2,146 00	315 203 00	256,825 02	58 377 98	0 00	58,377 98
5 Feb 10	200 000	67 68		17 038 00	13,535 66	3,502 34	0 00	3 502 34
5 Feb 10	3,200 000	68 10		272,608 00	217 922 56	54,685 44	0 00	54 685 44
19 Oct 11	300 000	84 56		25,557 00	25,366 80	190 20	0 00	190 20
ALEXION PHARMACEUTICALS INC COM CUSIP 015351109	4 590 000 71 500		0 00	328,185 00	195 463 43	132,721 57	0 00	132,721 57
30 Nov 10	800 000	38 61		57,200 00	30,891 12	26 308 88	0 00	26,308 88
29 Dec 10	1 990 000	40 55		142 285 00	80,697 89	61,587 11	0 00	61 587 11
1 Dec 10	800 000	38 25		57,200 00	30,800 96	26,599 04	0 00	26 599 04
5 Apr 11	800 000	52 27		57,200 00	41,819 32	15,380 68	0 00	15,380 68
2 Sep 11	200 000	57 27		14,300 00	11,454 14	2,845 86	0 00	2,845 86

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
ALLEGHENY TECHNOLOGIES INC COM CUSIP: 01741R102	8,700 000 47 800		0 00	415,860 00	308,914 70	106,945 30	0 00	106,945 30
31 Jan 08	800 000	69 31		38,240 00	55,445 84	- 17,205 84	0 00	- 17,205 84
10 Mar 08	300 000	68 26		14,340 00	20,477 49	- 6 137 49	0 00	- 6,137 49
1 May 08	400 000	68 45		19 120 00	27,379 04	- 8 259 04	0 00	- 8,259 04
5 Jun 08	600 000	67 40		28 680 00	40,437 06	- 11,757 06	0 00	- 11,757 06
9 Sep 08	400 000	37 89		19 120 00	15,155 24	3,964 76	0 00	3 964 76
15 Oct 08	2,100 000	22 71		100 380 00	47,688 27	52,691 73	0 00	52 691 73
21 Oct 08	600 000	24 63		28,680 00	14 780 34	13,899 66	0 00	13,899 66
17 Dec 08	1,400 000	25 00		66,920 00	35,005 04	31,914 96	0 00	31 914 96
27 Feb 09	1,400 000	20 09		66,920 00	28,131 04	38,788 96	0 00	38,788 96
24 Mar 09	200 000	22 41		9,560 00	4,482 44	5,077 56	0 00	5,077 56
19 Oct 11	500 000	39 87		23 900 00	19,932 90	3,967 10	0 00	3,967 10
ALLOT COMMUNICATIONS LTD COM STK CUSIP: M0854Q105	13,399 000 15 200		0 00	203,864 80	202 099 24	1,565 56	0 00	1,565 56
21 Jun 11	11,250 000	15 79		171,000 00	177 642 27	- 6,642 27	0 00	- 6,642 27
28 Jun 11	50 000	17 91		760 00	895 50	- 135 50	0 00	- 135 50
5 Oct 11	193 000	10 30		2,933 60	1 988 07	945 53	0 00	945 53
6 Oct 11	299 000	10 51		4 544 80	3 142 34	1,402 46	0 00	1,402 46
6 Oct 11	7 000	10 40		106 40	72 77	33 63	0 00	33 63
7 Oct 11	256 000	10 60		3 891 20	2,714 68	1,176 52	0 00	1,176 52
10 Oct 11	253 000	11 40		3,845 60	2,884 63	960 97	0 00	960 97
11 Oct 11	652 000	11 26		9 910 40	7,341 26	2,569 14	0 00	2,569 14
12 Oct 11	170 000	12 05		2,584 00	2,047 99	536 01	0 00	536 01
12 Oct 11	75 000	12 17		1,140 00	912 93	227 07	0 00	227 07

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
13 Oct 11	74 000	12 24		1,124 80	905 86	218 94	218 94
14 Oct 11	120 000	12 92		1,824 00	1,550 94	273 06	273 06
ALTERA CORP COM CUSIP 021441100	1,446 000 37 100		0 00	53,646 60	66,493 73	- 12,847 13	- 12,847 13
21 Apr 11	1 446 000	45 98		53,646 60	66,493 73	- 12,847 13	- 12,847 13
ALTRIA GROUP INC COM CUSIP 02209S103	12 860 000 29 650		5,272 60	381,299 00	339 488 52	41,810 48	41,810 48
21 Nov 11	3,800 000	27 34		112,670 00	103 893 14	8,776 86	8,776 86
29 Dec 10	5,563 000	24 78		164,942 95	137 849 47	27,093 48	27,093 48
15 Dec 11	1,700 000	29 18		50,405 00	49 607 70	797 30	797 30
19 Sep 11	1,797 000	26 79		53,281 05	48,138 21	5,142 84	5,142 84
AMAZON COM INC COM CUSIP 023135106	618 000 173 100		0 00	106,975 80	70 848 67	36,127 13	36,127 13
4 Mar 09	350 000	63 65		60,585 00	22 278 41	38,306 59	38,306 59
29 Dec 10	268 000	181 23		46,390 80	48 570 26	- 2,179 46	- 2,179 46
AMERCO COM CUSIP 023586100	1,585 000 88 400		1,585 00	140,114 00	81,221 43	58,892 57	58,892 57
16 Dec 08	640 000	36 66		56 576 00	23,464 00	33,112 00	33 112 00
17 Dec 08	395 000	37 49		34 918 00	14,808 27	20,109 73	20,109 73
20 Sep 11	34 000	66 43		3,005 60	2,258 65	746 95	746 95
21 Sep 11	66 000	64 62		5,834 40	4,265 00	1,569 40	1,569 40
29 Sep 11	30 000	62 80		2,652 00	1 883 95	768 05	768 05
19 Dec 11	420 000	82 24		37,128 00	34,541 56	2,586 44	2,586 44

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
AMERICAN CAP AGY CORP COM REIT FUND CUSIP 02503X105	4 903 000 28 080		6 864 20	137 676 24	142,755 97	- 5,079 73	0 00	- 5,079 73
5 Jul 11	1 124 000	29 89		31,561 92	33,597 37	- 2,035 45	0 00	- 2,035 45
6 Jul 11	656 000	30 20		18,420 48	19,813 63	- 1 393 15	0 00	- 1,393 15
9 Aug 11	3 123 000	28 61		87,693 84	89,344 97	- 1,651 13	0 00	- 1,651 13
AMERICAS CAR-MART INC COM CUSIP 03062T105	2,860 000 39 180		0 00	112,054 80	85,872 85	26,181 95	0 00	26,181 95
21 Jun 11	2,850 000	30 01		111,663 00	85,538 25	26,124 75	0 00	26,124 75
28 Jun 11	10 000	33 46		391 80	334 60	57 20	0 00	57 20
ANNALY CAP MGMT INC COM CUSIP 035710409	6,384 000 15 960		3,638 88	101,888 64	114,671 10	- 12,782 46	0 00	- 12,782 46
28 Feb 11	3 545 000	17 91		56 578 20	63,478 54	- 6,900 34	0 00	- 6,900 34
21 Mar 11	2,839 000	18 03		45,310 44	51,192 56	- 5,882 12	0 00	- 5 882 12
APACHE CORP COM CUSIP 037411105	4 688 000 90 580		0 00	424 639 04	321,769 71	102,869 33	0 00	102 869 33
20 Oct 05	1,300 000	60 65		117,754 00	78,846 82	38,907 18	0 00	38 907 18
3 Oct 11	634 000	77 83		57,427 72	49,342 13	8 085 59	0 00	8 085 59
7 Jun 06	200 000	61 77		18,116 00	12,353 44	5 762 56	0 00	5,762 56
31 Oct 11	554 000	101 38		50,181 32	56,164 30	- 5 982 98	0 00	- 5,982 98
8 Jun 06	1,200 000	58 92		108,696 00	70,706 04	37,989 96	0 00	37,989 96
27 Feb 09	600 000	60 03		54 348 00	36,016 98	18,331 02	0 00	18,331 02
19 Oct 11	200 000	91 70		18,116 00	18,340 00	- 224 00	0 00	- 224 00
APARTMENT INVT & MGMT CO CL A CUSIP 03748R101	4,663 000 22,910		0 00	106,829 33	120,111 74	- 13 282 41	0 00	- 13,282 41

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
6 Jun 11	685 000	25 65		15,693 35	17,569 57	- 1,876 22	0 00	- 1,876 22
7 Jun 11	1,460 000	25 90		33,448 60	37,817 36	- 4,368 76	0 00	- 4,368 76
8 Jun 11	533 000	26 01		12,211 03	13,863 92	- 1,652 89	0 00	- 1,652 89
9 Jun 11	992 000	25 89		22,726 72	25,685 36	- 2,958 64	0 00	- 2,958 64
10 Jun 11	993 000	25 35		22,749 63	25,175 53	- 2,425 90	0 00	- 2,425 90
APPLE INC COM STK CUSIP 037833100	1,937 000 405 000		0 00	784,485 00	563,618 39	220 866 61	0 00	220,866 61
2 Apr 09	400 000	113 26		162 000 00	45,302 88	116 697 12	0 00	116 697 12
29 Dec 10	895 000	326 27		362,475 00	292 008 79	70,466 21	0 00	70,466 21
25 May 11	300 000	336 83		121,500 00	101 049 09	20,450 91	0 00	20,450 91
5 Jul 11	117 000	348 72		47,385 00	40,800 71	6 584 29	0 00	6,584 29
19 Jul 11	225 000	375 36		91,125 00	84,456 92	6 668 08	0 00	6,668 08
APPROACH RES INC COM STK CUSIP 03834A103	10 390 000 29 410		0 00	305,569 90	224,144 58	81,425 32	0 00	81,425 32
21 Jun 11	8 760 000	21 35		257,631 60	187,006 50	70,625 10	0 00	70,625 10
28 Jun 11	1 630 000	22 78		47,938 30	37,138 08	10,800 22	0 00	10,800 22
ARIBA INC COM NEW CUSIP 04033V203	4,890 000 28 080		0 00	137 311 20	161,932 85	- 24,621 65	0 00	- 24,621 65
21 Jun 11	4 870 000	33 11		136,749 60	161 251 45	- 24,501 85	0 00	- 24,501 85
28 Jun 11	20 000	34 07		561 60	681 40	- 119 80	0 00	- 119 80
ARUBA NETWORKS INC COM CUSIP 043176106	4,660 000 18 520		0 00	86,303 20	116,493 04	- 30,189 84	0 00	- 30 189 84
21 Jun 11	2,643 000	26 71		48,948 36	70 607 59	- 21,659 23	0 00	- 21,659 23
3 Aug 11	1 700 000	23 41		31,484 00	39 797 34	- 8 313 34	0 00	- 8,313 34

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
26 Aug 11	317 000	19 21		5,870 84	6,088 11	- 217 27	0 00	- 217 27
ASHLAND INC NEW COM CUSIP 044209104	7,477 000 57 160		0 00	427,385 32	404,145 59	23 239 73	0 00	23,239 73
3 Aug 11	2,600 000	56 98		148,616 00	148,142 54	473 46	0 00	473 46
12 Dec 11	1,077 000	54 39		61,561 32	58,583 20	2,978 12	0 00	2,978 12
5 Aug 11	800 000	54 07		45,728 00	43,255 52	2,472 48	0 00	2,472 48
8 Aug 11	500 000	50 44		28 580 00	25,221 70	3 358 30	0 00	3,358 30
16 Aug 11	600 000	54 19		34,296 00	32,511 60	1,784 40	0 00	1,784 40
19 Oct 11	400 000	48 90		22,864 00	19 558 88	3,305 12	0 00	3 305 12
21 Nov 11	1 500 000	51 25		85,740 00	76 872 15	8,867 85	0 00	8 867 85
ASSISTED LIVING CONCEPTS INC NEV NEW CL A NEW CL A NEW CUSIP 04544X300	10,344 000 14 890		0 00	154,022 16	92 312 43	61,709 73	0 00	61,709 73
16 Dec 08	3,056 000	11 45		45,503 84	35,004 19	10 499 65	0 00	10,499 65
2 Mar 09	6,288 000	6 93		93 628 32	43,604 14	50,024 18	0 00	50,024 18
31 Aug 11	1,000 000	13 70		14,890 00	13,704 10	1 185 90	0 00	1,185 90
ATLAS AIR WORLDWIDE HLDGS INC COM NEW STK CUSIP 049164205	5,300 000 38 430		0 00	203,679 00	224 735 91	- 21,056 91	0 00	- 21,056 91
18 Aug 11	396 000	41 27		15,218 28	16,343 91	- 1,125 63	0 00	- 1,125 63
22 Aug 11	133 000	41 58		5,111 19	5,529 68	- 418 49	0 00	- 418 49
29 Aug 11	64 000	46 76		2 459 52	2,992 63	- 533 11	0 00	- 533 11
30 Aug 11	163 000	47 90		6,264 09	7,807 04	- 1,542 95	0 00	- 1,542 95
1 Sep 11	517 000	49 77		19,868 31	25 730 78	- 5,862 47	0 00	- 5,862 47
2 Sep 11	377 000	47 02		14,488 11	17 726 20	- 3,238 09	0 00	- 3,238 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Accrued	Market value	Cost	Unrealized gain/loss	
Asset ID	Local market price	Income/expense			Market	Translation
Acquisition Date	Local cost/share					Total
Common stock						
6 Sep 11	397 000	43 43	15,256 71	17,241 16	- 1,984 45	0 00
7 Sep 11	22 000	45 28	845 46	996 13	- 150 67	0 00
8 Sep 11	120 000	45 40	4,611 60	5,447 57	- 835 97	0 00
9 Sep 11	51 000	43 78	1,959 93	2,232 73	- 272 80	0 00
12 Sep 11	36 000	43 39	1,383 48	1,562 03	- 178 55	0 00
13 Sep 11	69 000	44 71	2,651 67	3,085 24	- 433 57	0 00
14 Sep 11	17 000	44 92	653 31	763 68	- 110 37	0 00
15 Sep 11	250 000	46 84	9 607 50	11,709 85	- 2,102 35	0 00
16 Sep 11	25 000	46 91	960 75	1,172 71	- 211 96	0 00
19 Sep 11	75 000	45 32	2,882 25	3,398 95	- 516 70	0 00
20 Sep 11	238 000	44 43	9,146 34	10,574 36	- 1,428 02	0 00
21 Sep 11	114 000	39 42	4,381 02	4,493 31	- 112 29	0 00
21 Sep 11	466 000	38 29	17,908 38	17,845 19	63 19	0 00
15 Nov 11	516 000	38 32	19,829 88	19 772 50	57 38	0 00
16 Nov 11	243 000	38 97	9,338 49	9 470 00	- 131 51	0 00
16 Nov 11	425 000	38 89	16 332 75	16,528 25	- 195 50	0 00
21 Nov 11	198 000	38 46	7,609 14	7,615 26	- 6 12	0 00
21 Nov 11	202 000	38 14	7,762 86	7,704 14	58 72	0 00
22 Nov 11	186 000	37 59	7,147 98	6,992 61	155 37	0 00
AUTOMATIC DATA PROCESSING INC COM CUSIP 053015103	7,400 000 54 010		2 923 00	399,674 00	288,554 14	111,119 86
13 Aug 09	1,500 000	38 56	81,015 00	57 837 30	23,177 70	0 00
14 Aug 09	2,600 000	38 48	140,426 00	100,050 86	40,375 14	0 00
17 Aug 09	2,900 000	37 91	156 629 00	109 930 30	46,698 70	0 00
19 Oct 11	400 000	51 84	21,604 00	20,735 68	868 32	0 00

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
AVALONBAY CMNTYS REIT SEDOL 2131179	1 354 000 130 600		1,208 45	176 832 40	112,229 32	64,603 08	0 00	64,603 08
13 Jan 10	200 000	79 80		26,120 00	15,960 60	10,159 40	0 00	10,159 40
14 Jun 11	100 000	132 42		13,060 00	13,242 34	- 182 34	0 00	- 182 34
3 Nov 11	100 000	127 74		13,060 00	12,773 82	286 18	0 00	286 18
4 Aug 11	100 000	126 08		13,060 00	12,608 47	451 53	0 00	451 53
30 Nov 10	100 000	110 39		13,060 00	11,038 54	2,021 46	0 00	2,021 46
3 Feb 09	200 000	51 68		26 120 00	10,335 72	15,784 28	0 00	15,784 28
3 Mar 09	200 000	40 59		26,120 00	8,118 00	18 002 00	0 00	18,002 00
15 Jan 10	100 000	79 50		13,060 00	7,950 28	5 109 72	0 00	5,109 72
11 Jun 08	79 340	96 66		10,361 80	7,668 87	2,692 93	0 00	2,692 93
17 Aug 11	50 000	128 25		6,530 00	6,412 50	117 50	0 00	117 50
20 Nov 08	100 000	48 12		13,060 00	4,811 89	8,248 11	0 00	8,248 11
30 Jan 09	24 660	53 05		3,220 60	1,308 29	1,912 31	0 00	1,912 31
AVNET INC COM CUSIP 053807103	9 370 000 31 090		0 00	291,313 30	169,318 89	121,994 41	0 00	121,994 41
16 Dec 08	2,870 000	16 79		89,228 30	48,178 98	41,049 32	0 00	41 049 32
17 Dec 08	3 190 000	17 22		99,177 10	54,927 65	44,249 45	0 00	44,249 45
2 Mar 09	2 110 000	16 32		65,599 90	34,430 98	31,168 92	0 00	31,168 92
31 Aug 11	1,200 000	26 48		37,308 00	31,781 28	5,526 72	0 00	5 526 72
BABCOCK & WILCOX CO NEW COM STK CUSIP 05615F102	14,900 000 24 140		0 00	359 686 00	392,886 95	- 33,200 95	0 00	- 33,200 95
7 Jul 11	7,900 000	27 34		190,706 00	216,008 12	- 25,302 12	0 00	- 25 302 12
8 Jul 11	600 000	27 28		14 484 00	16,369 68	- 1 885 68	0 00	- 1,885 68
11 Jul 11	1 100 000	26 84		26,554 00	29,523 67	- 2,969 67	0 00	- 2,969 67

-- Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Acquisition Date							Translation	
Common stock									
12 Jul 11		1,900 000	26 75		45,866 00	50 830 70	- 4,964 70	0 00	- 4 964 70
18 Jul 11		800 000	24 77		19,312 00	19 815 60	- 503 60	0 00	- 503 60
19 Jul 11		1,000 000	24 95		24,140 00	24,949 90	- 809 90	0 00	- 809 90
5 Aug 11		700 000	22.66		16,898 00	15 863 33	1,034 67	0 00	1,034 67
19 Oct 11		900 000	21 70		21,726 00	19 525 95	2 200 05	0 00	2 200 05
BAKER HUGHES INC COM		6 306 000		0 00	306 723 84	245 984 51	60,739 33	0 00	60,739 33
CUSIP 057224107		48 640							
19 Aug 02		394 740	28 97		19,200 15	11,434 75	7,765 40	0 00	7,765 40
19 Sep 11		944 000	57 55		45 916 16	54 325 41	- 8 409 25	0 00	- 8,409 25
22 Jan 03		657 890	30 20		31,999 77	19 867 09	12,132 68	0 00	12,132 68
28 Sep 11		962 000	50 17		46,791 68	48 260 85	- 1 469 17	0 00	- 1,469 17
23 Jan 03		105 270	30 66		5 120 33	3,227 79	1,892 54	0 00	1,892 54
23 Jan 03		52 630	30 46		2 559 92	1,603 28	956 64	0 00	956 64
14 Mar 03		276 310	29 00		13,439 72	8,012 41	5,427 31	0 00	5,427 31
17 Mar 03		78 950	29 49		3,840 13	2,328 16	1,511 97	0 00	1,511 97
17 Mar 03		65 790	29 08		3,200 03	1,913 38	1,286 65	0 00	1,286 65
26 Dec 03		600 000	31 91		29,184 00	19,147 20	10,036 80	0 00	10 036 80
26 Dec 03		468 420	32 13		22,783 95	15,051 27	7,732 68	0 00	7,732 68
31 Jan 08		100 000	65 08		4,864 00	6 507 74	- 1,643 74	0 00	- 1,643 74
17 Dec 08		600 000	31 20		29,184 00	18,720 00	10,464 00	0 00	10,464 00
27 Feb 09		700 000	29 73		34,048 00	20,811 98	13,236 02	0 00	13,236 02
24 Mar 09		100 000	33 76		4,864 00	3,375 50	1,488 50	0 00	1,488 50
19 Oct 11		200 000	56 99		9 728 00	11,397 70	- 1,669 70	0 00	- 1,669 70
BANK OF AMERICA CORP		13,557 000		0 00	75,376 92	327,751 99	- 252 375 07	0 00	- 252 375 07
CUSIP 060505104		5 560							

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Acquisition Date							Translation	
Common stock									
26 Dec 03		1,799 170	39 53		10,003 39	71 113 99	- 61,110 60	0 00	- 61,110 60
21 Apr 05		801 440	38 62		4,456 01	30 955 20	- 26,499 19	0 00	- 26,499 19
22 Apr 05		2,955 310	37 59		16 431 52	111,088 15	- 94 656 63	0 00	- 94 656 63
25 Apr 05		601 080	37 60		3,342 00	22,602 00	- 19 260 00	0 00	- 19,260 00
7 Oct 08		1,900 000	22 00		10 564 00	41,800 00	- 31 236 00	0 00	- 31,236 00
17 Dec 08		2,200 000	15 00		12,232 00	33,000 00	- 20,768 00	0 00	- 20,768 00
27 Feb 09		2,200 000	4 22		12,232 00	9,273 00	2,959 00	0 00	2,959 00
24 Mar 09		400 000	7 90		2 224 00	3,160 00	- 936 00	0 00	- 936 00
19 Oct 11		700 000	6 80		3 892 00	4,759 65	- 867 65	0 00	- 867 65
BAXTER INTL INC COM CUSIP 071813109		11,400 000 49 480		3,819 00	564 072 00	495,902 57	68,169 43	0 00	68,169 43
26 Dec 03		800 000	29 94		39,584 00	23,953 84	15,630 16	0 00	15,630 16
28 Jan 04		1,100 000	28 96		54,428 00	31,851 05	22 576 95	0 00	22 576 95
29 Jan 04		1,700 000	27 69		84 116 00	47,080 65	37,035 35	0 00	37,035 35
27 Feb 09		600 000	51 74		29 688 00	31,042 98	- 1,354 98	0 00	- 1,354 98
18 Mar 09		700 000	51 90		34,636 00	36,328 67	- 1,692 67	0 00	- 1,692 67
19 Mar 09		200 000	50 19		9,896 00	10 038 04	- 142 04	0 00	- 142 04
19 Mar 09		700 000	50 88		34,636 00	35 618 73	- 982 73	0 00	- 982 73
24 Mar 09		100 000	50 57		4,948 00	5,056 88	- 108 88	0 00	- 108 88
23 Apr 10		3,500 000	49 77		173,180 00	174,192 55	- 1 012 55	0 00	- 1 012 55
26 Apr 10		1,400 000	47 92		69,272 00	67,083 38	2 188 62	0 00	2,188 62
19 Oct 11		600 000	56 09		29 688 00	33,655 80	- 3 967 80	0 00	- 3 967 80
BE AEROSPACE INC COM CUSIP 073302101		5 070 000 38 710		0 00	196,259 70	197 400 68	- 1,140 98	0 00	- 1,140 98
21 Jun 11		5 050 000	38 93		195,485 50	196,599 69	- 1,114 19	0 00	- 1,114 19

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
28 Jun 11	20 000	40 05		774 20	800 99	- 26 79	0 00	- 26 79
BERKLEY W R CORP COM CUSIP 084423102	5,880 000 34 390		0 00	202,213 20	143 444 32	58,768 88	0 00	58,768 88
23 Mar 09	1 340 000	22 71		46,082 60	30,430 19	15,652 41	0 00	15,652 41
24 Mar 09	1,460 000	22 84		50,209 40	33,339 98	16,869 42	0 00	16,869 42
9 Dec 09	680 000	24 60		23 385 20	16,730 04	6 655 16	0 00	6 655 16
31 Mar 10	1 240 000	26 23		42 643 60	32,523 46	10,120 14	0 00	10,120 14
12 Apr 10	1 160 000	26 22		39 892 40	30,420 65	9,471 75	0 00	9,471 75
BIOMEN IDEC INC COM STK CUSIP 09062X103	3,400 000 110 050		0 00	374,170 00	293,790 30	80,379 70	0 00	80,379 70
13 Apr 11	1,600 000	79 45		176 080 00	127,118 40	48,961 60	0 00	48,961 60
8 Sep 11	1,200 000	91 61		132 060 00	109,926 72	22,133 28	0 00	22,133 28
22 Sep 11	600 000	94 58		66,030 00	56,745 18	9,284 82	0 00	9,284 82
BLIS RESTAURANTS INC COM CUSIP 09180C106	2,930 000 45 320		0 00	132 787 60	135,014 70	- 2,227 10	0 00	- 2 227 10
21 Jun 11	1 220 000	48 08		55 290 40	58,655 23	- 3,364 83	0 00	- 3,364 83
3 Aug 11	70 000	46 04		3 172 40	3,222 94	- 50 54	0 00	- 50 54
4 Aug 11	916 000	46 12		41 513 12	42,243 45	- 730 33	0 00	- 730 33
5 Aug 11	323 000	43 66		14,638 36	14,103 15	535 21	0 00	535 21
8 Aug 11	41 000	42 26		1,858 12	1,732 79	125 33	0 00	125 33
9 Aug 11	309 000	41 77		14,003 88	12,907 89	1,095 99	0 00	1,095 99
10 Aug 11	51 000	42 14		2,311 32	2,149 25	162 07	0 00	162 07
BOEING CO COM CUSIP 097023105	845 000 73 350		0 00	61,980 75	63,852 60	- 1,871 85	0 00	- 1,871 85

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
24 May 11	845 000	75 57		61,980 75	63 852 60	- 1,871 85	0 00	- 1,871 85
BRAVO BRIO RESTAURANT GROUP INC COM STOCK CUSIP 10567B109	4 960 000 17 150		0 00	85,064 00	108,309 12	- 23,245 12	0 00	- 23,245 12
21 Jun 11	4 000 000	21 95		68,600 00	87,809 22	- 19,209 22	0 00	- 19,209 22
28 Jun 11	10 000	24 71		171 50	247 10	- 75 60	0 00	- 75 60
4 Aug 11	438 000	21 53		7,511 70	9,428 26	- 1,916 56	0 00	- 1,916 56
4 Aug 11	350 000	21 41		6,002 50	7,492 70	- 1,490 20	0 00	- 1,490 20
5 Aug 11	162 000	20 57		2,778 30	3,331 84	- 553 54	0 00	- 553 54
BRE PPTYS INC COM CL A SEDOL 2075426	1,500 000 50 480		0 00	75,720 00	49 363 80	26,356 20	0 00	26,356 20
30 Apr 10	300 000	41 86		15,144 00	12 559 17	2,584 83	0 00	2,584 83
14 Jun 11	200 000	49 77		10,096 00	9 954 04	141 96	0 00	141 96
3 Mar 09	500 000	18 17		25,240 00	9,082 75	16,157 25	0 00	16 157 25
18 Dec 08	200 000	31 15		10,096 00	6,230 00	3,866 00	0 00	3 866 00
10 Jun 08	100 000	47 94		5 048 00	4,793 79	254 21	0 00	254 21
12 Jun 08	100 000	47 19		5,048 00	4,719 21	328 79	0 00	328 79
17 Feb 09	100 000	20 25		5 048 00	2,024 84	3,023 16	0 00	3,023 16
BSTN PPTYS INC SEDOL 2019479	1 650 000 99 600		907 50	164,340 00	111,786 66	52,553 34	0 00	52,553 34
4 May 10	200 000	80 76		19 920 00	16,151 38	3,768 62	0 00	3,768 62
3 Mar 09	400 000	33 33		39 840 00	13 333 20	26,506 80	0 00	26,506 80
11 Oct 11	100 000	89 27		9,960 00	8 926 79	1 033 21	0 00	1,033 21
18 Dec 08	150 000	59 16		14,940 00	8,874 00	6 066 00	0 00	6 066 00
4 Aug 10	100 000	85 16		9,960 00	8 516 16	1,443 84	0 00	1,443 84

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 Dec 2010

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Translation	Total
Common stock												
3 Aug 10			100 000		84 17		9,960 00	8,417 05	1,542 95	0 00		1,542 95
4 May 10			100 000		80 97		9 960 00	8,096 64	1,863 36	0 00		1,863 36
5 May 10			100 000		80 78		9,960 00	8 077 83	1,882 17	0 00		1 882 17
5 May 10			100 000		80 68		9,960 00	8 068 47	1,891 53	0 00		1 891 53
6 May 10			100 000		79 08		9,960 00	7,908 37	2,051 63	0 00		2 051 63
28 May 10			100 000		77 20		9,960 00	7,720 04	2 239 96	0 00		2,239 96
1 Jun 10			100 000		76 97		9,960 00	7 696 73	2 263 27	0 00		2,263 27
CABOT CORP COM			4,930 000			0 00	158,450 20	156,815 76	1 634 44	0 00		1,634 44
CUSIP 127055101			32 140									
15 Nov 11			356 000		33 16		11 441 84	11,805 07	- 363 23	0 00		- 363 23
18 Nov 11			505 000		31 41		16,230 70	15,861 65	369 05	0 00		369 05
21 Nov 11			359 000		30 99		11,538 26	11 126 20	412 06	0 00		412 06
22 Nov 11			158 000		30 40		5,078 12	4,802 44	275 68	0 00		275 68
22 Nov 11			367 000		30 46		11,795 38	11,180 54	614 84	0 00		614 84
23 Nov 11			184 000		30 09		5 913 76	5,536 47	377 29	0 00		377 29
28 Nov 11			310 000		30 55		9 963 40	9,470 22	493 18	0 00		493 18
29 Nov 11			241 000		30 29		7 745 74	7,299 87	445 87	0 00		445 87
7 Dec 11			1,190 000		33 68		38,246 60	40,076 82	- 1,830 22	0 00		- 1,830 22
15 Dec 11			1,260 000		31 47		40,496 40	39,656 48	839 92	0 00		839 92
CABOT OIL & GAS CORP COM			3,480 000			0 00	264,132 00	101,627 83	162,504 17	0 00		162 504 17
CUSIP 127097103			75 900									
25 Jun 09			1,260 000		30 69		95 634 00	38,672 17	56,961 83	0 00		56,961 83
7 Jul 09			1 190 000		28 35		90,321 00	33,742 21	56,578 79	0 00		56,578 79
8 Jul 09			810 000		27 69		61,479 00	22 428 74	39,050 26	0 00		39,050 26
25 May 10			220 000		30 84		16,698 00	6 784 71	9,913 29	0 00		9,913 29

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
CADENCE DESIGN SYS INC COM CUSIP 127387108	5,978 000 10 400		0 00	62,171 20	62,877 20	- 706 00	- 706 00
24 Oct 11	5,978 000	10 52		62,171 20	62,877 20	- 706 00	- 706 00
CAMERON INTL CORP COM STK CUSIP 133428105	26,279 000 49 190		0 00	1 292,664 01	476,516 63	816,147 38	816,147 38
26 Dec 03	7,611 120	11 68		374,391 00	88,884 56	285,506 44	285 506 44
21 Oct 10	2 300 000	42 74		113 137 00	98 312 81	14,824 19	14,824 19
11 Nov 11	1,179 000	52 07		57,995 01	61,386 29	- 3 391 28	- 3,391 28
26 Dec 03	4 755 540	11 71		233 925 01	55,703 78	178,221 23	178,221 23
29 Dec 03	9,133 340	11 71		449,268 99	106,908 48	342 360 51	342,360 51
19 Oct 11	1,300 000	50 25		63,947 00	65,320 71	- 1,373 71	- 1,373 71
CAMPBELL SOUP CO COM CUSIP 133428105	3,457 000 33 240		1,002 53	114,910 68	116,029 41	- 1,118 73	- 1,118 73
31 Oct 11	695 000	33 50		23 101 80	23,284 59	- 182 79	- 182 79
1 Nov 11	1,043 000	32 95		34 669 32	34,361 74	307 58	307 58
7 Nov 11	859 000	33 88		28,553 16	29,103 26	- 550 10	- 550 10
8 Nov 11	860 000	34 05		28,586 40	29,279 82	- 693 42	- 693 42
CARNIVAL CORP COM PAIRED CUSIP 143658300	17,500 000 32 640		0 00	571 200 00	500,716 52	70,483 48	70,483 48
22 May 08	700 000	37 95		22,848 00	26,566 26	- 3,718 26	- 3,718 26
23 May 08	2,200 000	37 37		71,808 00	82,210 26	- 10 402 26	- 10 402 26
23 May 08	600 000	37 04		19,584 00	22,223 88	- 2,639 88	- 2,639 88
9 Jun 08	1,300 000	36 83		42 432 00	47,877 44	- 5,445 44	- 5,445 44
11 Jun 08	600 000	36 36		19 584 00	21,818 58	- 2 234 58	- 2,234 58

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PAR	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price						Translation	
Acquisition Date								
Common stock								
16 Jun 08	1,000 000	36 07		32,640 00	36 066 80	- 3,426 80	0 00	- 3,426 80
31 Oct 08	4,600 000	24 01		150,144 00	110 464 86	39,679 14	0 00	39 679 14
17 Dec 08	2,400 000	22 22		78 336 00	53,328 00	25,008 00	0 00	25 008 00
27 Feb 09	2,500 000	19 52		81,600 00	48,800 00	32,800 00	0 00	32,800 00
24 Mar 09	400 000	23 24		13,056 00	9 297 92	3 758 08	0 00	3,758 08
1 Mar 11	200 000	40 77		8,528 00	8,153 02	- 1 625 02	0 00	- 1,625 02
19 Oct 11	1,000 000	33 91		32,640 00	33,909 50	- 1 269 50	0 00	- 1,269 50
CARPENTER TECH CORP COM CUSIP 144285103	1,415 000 51 480		0 00	72,844 20	82,931 17	- 10,086 97	0 00	- 10,086 97
27 Oct 11	1,415 000	58 61		72,844 20	82,931 17	- 10,086 97	0 00	- 10,086 97
CASEYS GEN STORES INC COM CUSIP 147528103	4 850 000 51 510		0 00	249 823 50	137 270 44	112 553 06	0 00	112,553 06
23 Mar 09	1 190 000	26 09		61,296 90	31,052 46	30,244 44	0 00	30 244 44
1 Apr 09	1,230 000	26 23		63,357 30	32 262 04	31,095 26	0 00	31,095 26
13 Apr 09	1,100 000	26 89		56,661 00	29 574 38	27,086 62	0 00	27,086 62
19 Jun 09	500 000	26 77		25,755 00	13,386 70	12,368 30	0 00	12,368 30
24 Mar 11	830 000	37 34		42 753 30	30,994 86	11,758 44	0 00	11,758 44
CATALYST HEALTH SOLUTIONS INC COM STK CUSIP 14888B103	4,750 000 52 000		0 00	247 000 00	219,536 61	27,463 39	0 00	27 463 39
29 Apr 08	62 000	27 67		3,224 00	1,715 66	1,508 34	0 00	1,508 34
29 Apr 08	211 000	27 51		10,972 00	5,804 67	5,167 33	0 00	5,167 33
30 Apr 08	376 000	28 14		19,552 00	10,581 39	8,970 61	0 00	8,970 61
30 Apr 08	167 000	27 99		8 684 00	4,674 50	4,009 50	0 00	4 009 50
1 May 08	82 000	28 05		4,264 00	2 299 70	1,964 30	0 00	1,964 30
2 May 08	16 000	28 29		832 00	452 64	379 36	0 00	379 36

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
15 May 08	63 000	29 61		3,276 00	1 865 52	1,410 48	0 00	1 410 48
16 May 08	91 000	29 29		4,732 00	2,665 55	2,066 45	0 00	2,066 45
3 Mar 09	209 000	18 71		10,868 00	3,910 39	6,957 61	0 00	6 957 61
21 Jun 11	2,353 000	54 53		122 356 00	128,300 14	- 5,944 14	0 00	- 5 944 14
28 Jun 11	10 000	55 09		520 00	550 90	- 30 90	0 00	- 30 90
16 Nov 11	800 000	51 26		41,600 00	41,007 60	592 40	0 00	592 40
17 Nov 11	310 000	50 67		16,120 00	15,707 95	412 05	0 00	412 05
CATERPILLAR INC COM CUSIP 149123101	3 597 000 90 600		0 00	325,888 20	262,769 51	63,118 69	0 00	63,118 69
18 Sep 09	1,700 000	53 70		154,020 00	91 295 44	62,724 56	0 00	62,724 56
29 Dec 10	1,297 000	93 94		117,508 20	121,839 79	- 4,331 59	0 00	- 4,331 59
4 Nov 10	600 000	82 72		54,360 00	49,634 28	4,725 72	0 00	4,725 72
CELANESE CORP DEL COM SER A STK CUSIP 150870103	1 435 000 44 270		0 00	63,527 45	66,937 88	- 3,410 43	0 00	- 3 410 43
5 Apr 11	1,435 000	46 65		63,527 45	66 937 88	- 3,410 43	0 00	- 3,410 43
CENTENE CORP DEL COM CUSIP 15135B101	3 800 000 39 590		0 00	150,442 00	133 771 80	16,670 20	0 00	16 670 20
21 Jun 11	2 940 000	34 28		116,394 60	100 790 42	15,604 18	0 00	15,604 18
5 Dec 11	236 000	38 64		9,343 24	9,118 43	224 81	0 00	224 81
6 Dec 11	624 000	38 24		24,704 16	23 862 95	841 21	0 00	841 21
CEVA INC COM CUSIP 157210105	5 090 000 30 260		0 00	154 023 40	139,362 91	14,660 49	0 00	14 660 49
21 Jun 11	3 580 000	27 96		108,330 80	100 086 00	8,244 80	0 00	8 244 80
28 Jun 11	20 000	29 80		605 20	596 00	9 20	0 00	9 20

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
15 Aug 11	190 000	26 96		5 749 40	5,121 75	627 65	0 00	627 65
16 Aug 11	95 000	26 45		2 874 70	2,512 31	362 39	0 00	362 39
17 Aug 11	190 000	26 33		5,749 40	5,002 38	747 02	0 00	747 02
22 Aug 11	321 000	24 41		9,713 46	7,836 19	1,877 27	0 00	1 877 27
23 Aug 11	97 000	26 31		2 935 22	2,551 82	383 40	0 00	383 40
23 Aug 11	164 000	25 42		4 962 64	4,168 63	794 01	0 00	794 01
24 Aug 11	290 000	26 56		8 775 40	7,701 10	1 074 30	0 00	1,074 30
25 Aug 11	143 000	26 48		4,327 18	3,786 73	540 45	0 00	540 45
CF INDS HLDGS INC COM CUSIP 125269100	2 186 000 144 980		0 00	316 926 28	277,300 34	39 625 94	0 00	39,625 94
5 Nov 10	1,500 000	123 69		217 470 00	185,533 50	31 936 50	0 00	31,936 50
29 Dec 10	686 000	133 77		99,456 28	91 766 84	7 689 44	0 00	7,689 44
CHART INDS INC COM PAR \$0 01 COM PAR \$0 01 CUSIP 16115Q308	1,590 000 54 070		0 00	85,971 30	75,729 04	10 242 26	0 00	10 242 26
21 Jun 11	1,590 000	47 63		85,971 30	75,729 04	10,242 26	0 00	10,242 26
CHEVRON CORP COM CUSIP 166764100	4 131 000 106 400		0 00	439,538 40	400,139 29	39 399 11	0 00	39 399 11
3 Nov 11	1,200 000	105 67		127,680 00	126 809 16	870 84	0 00	870 84
29 Dec 10	1,359 000	91 99		144,597 60	125,014 14	19 583 46	0 00	19,583 46
29 Dec 10	1,284 000	91 99		136,517 60	118,114 90	18,502 70	0 00	18,502 70
14 Apr 11	288 000	104 86		30,643 20	30,201 09	442 11	0 00	442 11
CHIMERA INVT CORP COM STK CUSIP 16934Q109	49 568 000 2 510		5,452 48	124,415 68	176,159 46	- 51,743 78	0 00	- 51,743 78
4 Apr 11	10 780 000	3 95		27,057 80	42,620 89	- 15,563 09	0 00	- 15,563 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
5 Apr 11	2 692 000	4 05		6,756 92	10,898 83	- 4,141 91	0 00	- 4,141 91
11 Apr 11	8,256 000	3 95		20,722 56	32,642 57	- 11,920 01	0 00	- 11,920 01
12 Apr 11	8,256 000	3 95		20 722 56	32,570 75	- 11,848 19	0 00	- 11,848 19
17 Oct 11	4 896 000	2 89		12,288 96	14,158 25	- 1,869 29	0 00	- 1,869 29
18 Oct 11	4,891 000	2 91		12,276 41	14,236 72	- 1,960 31	0 00	- 1,960 31
19 Oct 11	4,899 000	2 96		12,296 49	14,499 08	- 2,202 59	0 00	- 2 202 59
20 Oct 11	4,898 000	2 97		12,293 98	14 532 37	- 2,238 39	0 00	- 2 238 39
CHIPOTLE MEXICAN GRILL INC COM STK								
CUSIP: 169656105	179 000		0 00	60,455 46	60 458 50	- 3 04	0 00	- 3 04
12 Dec 11	337 740							
	179 000	337 76		60,455 46	60 458 50	- 3 04	0 00	- 3 04
CHUBB CORP COM								
CUSIP: 171232101	884 000		344 76	61,190 48	60 535 08	655 40	0 00	655 40
24 Oct 11	69 220							
	884 000	68 48		61,190 48	60,535 08	655 40	0 00	655 40
CIT GROUP INC NEW COM NEW COM NEW								
CUSIP: 125581801	7,850 000		0 00	273 729 50	308,606 51	- 34,877 01	0 00	- 34 877 01
	34 870							
26 Mar 10	2 490 000	38 89		86,826 30	96,835 85	- 10,009 55	0 00	- 10,009 55
31 Mar 10	1,260 000	38 93		43,936 20	49,048 15	- 5,111 95	0 00	- 5,111 95
5 Apr 10	1,270 000	38 93		44,284 90	49,436 53	- 5,151 63	0 00	- 5,151 63
21 Apr 10	460 000	39 00		16,040 20	17,939 63	- 1,899 43	0 00	- 1 899 43
20 Jul 10	690 000	36 16		24,060 30	24,950 54	- 890 24	0 00	- 890 24
22 Jul 10	290 000	37 22		10,112 30	10,794 76	- 682 46	0 00	- 682 46
27 Oct 10	80 000	43 27		2,789 60	3,461 26	- 671 66	0 00	- 671 66
8 Nov 10	1,310 000	42 85		45 679 70	56,139 79	- 10 460 09	0 00	- 10 460 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
CLIFFS NAT RES INC COM STK CUSIP 18683K101	2,838 000 62 350		0 00	176,949 30	200,370 01	- 23,420 71	- 23,420 71
29 Dec 10	1,276 000	78 71		79,558 60	100 430 00	- 20,871 40	- 20 871 40
26 Apr 11	473 000	98 22		29,491 55	46,455 74	- 16 964 19	- 16 964 19
3 Oct 11	1,089 000	49 11		67 899 15	53,484 27	14,414 88	14,414 88
COACH INC COM CUSIP 189754104	7,644 000 61 040		1,719 90	486,589 76	442,071 24	24 518 52	24,518 52
18 Nov 10	1,200 000	54 37		73,248 00	65,247 96	8 000 04	8,000 04
29 Dec 10	1,417 000	55 79		86,493 68	79,050 46	7,443 22	7,443 22
16 Aug 11	300 000	52 92		18,312 00	15 876 72	2,435 28	2,435 28
29 Dec 10	2,627 000	55 79		160,352 08	146,552 98	13 799 10	13,799 10
3 Nov 11	400 000	65 65		24,416 00	26 258 20	- 1,842 20	- 1,842 20
11 Nov 11	1,700 000	64 17		103 768 00	109 084 92	- 5,316 92	- 5,316 92
COCA COLA CO COM CUSIP 191216100	2,400 000 69 970		0 00	167,928 00	160 820 34	7,107 66	7 107 66
19 Sep 11	1,100 000	70 32		76,967 00	77 350 46	- 383 46	- 383 46
4 Oct 11	1,300 000	64 21		90,961 00	83 469 88	7,491 12	7 491 12
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL ACL A CUSIP 192446102	885 000 64 310		0 00	56,914 35	58,383 01	- 1,468 66	- 1,468 66
28 Sep 11	885 000	65 97		56,914 35	58,383 01	- 1,468 66	- 1,468 66
COLGATE-PALMOLIVE CO COM CUSIP 194162103	2 673 000 92 390		0 00	246,958 47	225 263 54	21,694 93	21,694 93
29 Dec 10	1,231 000	80 71		113,732 09	99,352 53	14 379 56	14,379 56

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
16 May 11	132 000	86 37		12,195 48	11,401 42	794 06	0 00	794 06
13 Jun 11	406 000	85 42		37,510 34	34,679 79	2,830 55	0 00	2,830 55
26 Aug 11	481 000	87 64		44,439 59	42,153 20	2,286 39	0 00	2,286 39
6 Sep 11	340 000	88 59		31,412 60	30,122 03	1,290 57	0 00	1,290 57
7 Sep 11	83 000	91 02		7,668 37	7,554 57	113 80	0 00	113 80
COMCAST CORP NEW CL A SPL CL A SPL CUSIP 20030N200	4,000 000 23 560		450 00	94,240 00	92 304 40	1,935 60	0 00	1,935 60
29 Mar 11	4 000 000	23 08		94 240 00	92,304 40	1,935 60	0 00	1,935 60
COMCAST CORP NEW-CL A CUSIP 20030N101	2 497 000 23 710		280 91	59 203 87	61,730 58	- 2 526 71	0 00	- 2 526 71
24 Oct 11	2,497 000	24 72		59,203 87	61,730 58	- 2 526 71	0 00	- 2 526 71
COMMONWEALTH REIT CUSIP 203233101	7,540 000 16 640		0 00	125,465 60	202,310 59	- 76,844 99	0 00	- 76,844 99
28 Jan 11	3,650 000	26 32		60,736 00	96,074 57	- 35,338 57	0 00	- 35,338 57
24 Feb 11	176 000	27 56		2,928 64	4,850 56	- 1,921 92	0 00	- 1,921 92
24 Feb 11	575 000	27 58		9 568 00	15,856 95	- 6,288 95	0 00	- 6,288 95
25 Feb 11	1,019 000	28 39		16,956 16	28,930 23	- 11 974 07	0 00	- 11,974 07
7 Mar 11	2,120 000	26 70		35,276 80	56 598 28	- 21,321 48	0 00	- 21,321 48
COMPUTER PROGRAMS & SYS INC COM CUSIP 205306103	2,167 000 51 110		0 00	110,755 37	116 503 91	- 5,748 54	0 00	- 5,748 54
23 Nov 09	110 000	45 84		5,622 10	5,042 20	579 90	0 00	579 90
27 Nov 09	203 000	46 49		10,375 33	9,437 12	938 21	0 00	938 21
30 Nov 09	196 000	45 88		10,017 56	8,992 15	1 025 41	0 00	1,025 41
1 Dec 09	47 000	47 25		2,402 17	2,220 82	181 35	0 00	181 35

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
3 Dec 09	15 000	48 83		766 65	732 52	34 13	0 00	34 13
11 Feb 10	269 000	36 59		13 748 59	9,842 12	3,906 47	0 00	3,906 47
21 Jun 11	1,180 000	59 80		60,309 80	70,563 10	- 10,253 30	0 00	- 10,253 30
16 Aug 11	147 000	65 81		7,513 17	9,673 88	- 2,160 71	0 00	- 2 160 71
CONSTANT CONTACT INC COM STK CUSIP 210313102	6 490 000 23 210		0 00	150,632 90	138,779 84	11 853 06	0 00	11,853 06
27 Oct 11	1,351 000	21 35		31,356 71	28 848 17	2,508 54	0 00	2,508 54
27 Oct 11	546 000	21 62		12,672 66	11,802 45	870 21	0 00	870 21
28 Oct 11	746 000	19 81		17,314 66	14,776 40	2,538 26	0 00	2,538 26
28 Oct 11	541 000	19 82		12,556 61	10,723 81	1,832 80	0 00	1,832 80
31 Oct 11	422 000	20 20		9,794 62	8,523 05	1,271 57	0 00	1 271 57
1 Nov 11	237 000	19 55		5,500 77	4,633 35	867 42	0 00	867 42
1 Nov 11	303 000	19 23		7,032 63	5 826 93	1,205 70	0 00	1,205 70
3 Nov 11	14 000	20 91		324 94	292 80	32.14	0 00	32.14
11 Nov 11	1,802 000	22 88		41,824 42	41,226 52	597 90	0 00	597 90
14 Nov 11	528 000	22 97		12 254 88	12,126 36	128 52	0 00	128 52
COPA HOLDINGS SA COM STK CUSIP P31076105	3 785 000 58 670		0 00	222 065 95	229,450 93	- 7 384 98	0 00	- 7 384 98
29 Dec 10	3,200 000	58 89		187 744 00	188,460 80	- 716 80	0 00	- 716 80
19 Sep 11	585 000	70 07		34 321 95	40,990 13	- 6,668 18	0 00	- 6 668 18
CORNING INC COM CUSIP 219350105	5,307 000 12 980		0 00	68,884 86	65 094 20	3,790 66	0 00	3,790 66
9 Aug 11	797 000	13 93		10,345 06	11,103 64	- 758 58	0 00	- 758 58
3 Oct 11	4 510 000	11 97		58,539 80	53,990 56	4,549 24	0 00	4 549 24

-- Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
CORVEL CORP COM CUSIP 221006109	2,130 000 51 710		0 00	110,142 30	97,715 88	12 426 42	12,426 42
21 Jun 11	1,900 000	46 00		98,249 00	87 404 40	10,844 60	10 844 60
5 Oct 11	122 000	44 43		6,308 62	5 420 39	888 23	888 23
6 Oct 11	46 000	44 88		2 378 66	2,064 27	314 39	314 39
7 Oct 11	62 000	45 59		3,206 02	2,826 82	379 20	379 20
COSTCO WHOLESALE CORP NEW COM CUSIP 22160K105	2 600 000 83 320		0 00	216 632 00	188,750 05	27,881 95	27,881 95
29 Nov 10	700 000	66 99		58,324 00	46,890 55	11,433 45	11,433 45
30 Nov 10	1,000 000	67 36		83,320 00	67,362 00	15,958 00	15,958 00
15 Dec 11	900 000	82 78		74,988 00	74,497 50	490 50	490 50
CUMMINS INC CUSIP 231021106	4,865 000 88 020		0 00	428,217 30	454 971 83	- 26,754 53	- 26,754 53
9 Apr 10	300 000	66 26		26,406 00	19 877 82	6,528 18	6,528 18
29 Dec 10	1,102 000	110 49		96,998 04	121 759 53	- 24,761 49	- 24,761 49
21 May 10	1,000 000	64 94		88,020 00	64,944 00	23,076 00	23,076 00
9 Aug 11	691 000	87 80		60,821 82	60,670 01	151 81	151 81
7 Jul 11	1,200 000	109 05		105,624 00	130 855 32	- 25,231 32	- 25,231 32
14 Nov 11	572 000	99 41		50,347 44	56 865 15	- 6,517 71	- 6,517 71
DAWSON GEOPHYSICAL CO COM CUSIP 239359102	3,100 000 39 530		0 00	122,543 00	119,320 46	3,222 54	3,222 54
27 Jul 11	702 000	40 48		27,750 06	28,417 03	- 666 97	- 666 97
28 Jul 11	27 000	39 97		1,067 31	1 079 06	- 11 75	- 11 75
28 Jul 11	395 000	40 10		15 614 35	15 839 11	- 224 76	- 224 76

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
1 Aug 11	193 000	39 97		7,629 29	7,713 86	- 84 57	0 00	- 84 57
2 Aug 11	178 000	39 98		7,036 34	7,116 37	- 80 03	0 00	- 80 03
2 Aug 11	355 000	40 02		14 033 15	14 207 77	- 174 62	0 00	- 174 62
3 Aug 11	100 000	39 36		3,953 00	3 936 14	16 86	0 00	16 86
4 Aug 11	134 000	37 02		5,297 02	4 960 64	336 38	0 00	336 38
5 Aug 11	92 000	35 76		3,636 76	3 290 11	346 65	0 00	346 65
8 Aug 11	194 000	34 15		7,668 82	6 624 13	1,044 69	0 00	1,044 69
12 Dec 11	301 000	35 16		11 898 53	10,584 00	1,314 53	0 00	1,314 53
13 Dec 11	120 000	36 89		4 743 60	4,426 20	317 40	0 00	317 40
13 Dec 11	195 000	36 94		7,708 35	7,203 24	505 11	0 00	505 11
14 Dec 11	114 000	34 41		4,506 42	3,922 80	583 62	0 00	583 62
DDR CORP COM SEDOL: B6S2DF5	4,500 000 12.170		360 00	54 765 00	55,436 09	- 671 09	0 00	- 671 09
4 May 10	700 000	12 74		8 519 00	8,917 37	- 398 37	0 00	- 398 37
18 Mar 10	500 000	12 83		6 085 00	6,416 85	- 331 85	0 00	- 331 85
4 May 10	500 000	12 74		6,085 00	6,367 55	- 282 55	0 00	- 282 55
8 Mar 10	400 000	11 55		4,868 00	4,618 48	249 52	0 00	249 52
5 May 10	300 000	12 74		3,651 00	3,821 25	- 170 25	0 00	- 170 25
19 Mar 10	300 000	12 61		3,651 00	3,782 31	- 131 31	0 00	- 131 31
9 Mar 10	300 000	11 56		3,651 00	3,468 54	182 46	0 00	182 46
8 Mar 10	300 000	11 54		3,651 00	3,462 75	188 25	0 00	188 25
4 May 10	200 000	12 74		2 434 00	2 547 48	- 113 48	0 00	- 113 48
5 May 10	200 000	12 68		2,434 00	2,536 26	- 102 26	0 00	- 102 26
10 Mar 10	200 000	11 63		2 434 00	2,326 80	107 20	0 00	107 20
9 Mar 10	200 000	11 55		2,434 00	2,310 40	123 60	0 00	123 60

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
3 May 10	100 000	12.90		1,217 00	1,290 25	- 73 25	0 00	- 73 25
6 May 10	100 000	12.45		1,217 00	1,244 94	- 27 94	0 00	- 27 94
10 Mar 10	100 000	11.63		1,217 00	1,163 45	53 55	0 00	53 55
11 Mar 10	100 000	11.61		1,217 00	1,161 41	55 59	0 00	55 59
DENBURY RES INC HLDG CO COM NEW CUSIP: 247916208	12,225 000 15 100		0 00	184,597 50	95,900 39	88,697 11	0 00	88,697 11
16 Dec 08	608 310	9 24		9,185 48	5 622 67	3,562 81	0 00	3,562 81
9 Jan 09	4,053 790	8 47		61,212 23	34 354 97	26,857 26	0 00	26,857 26
16 Jan 09	4 191 190	7 48		63,286 97	31,338 20	31 948 77	0 00	31,948 77
2 Mar 09	2 301 710	5 12		34,755 82	11,775 15	22 980 67	0 00	22,980 67
29 Sep 11	1 070 000	11 97		16,157 00	12,809 40	3,347 60	0 00	3,347 60
DIAMOND OFFSHORE DRILLING INC COM CUSIP: 25271C102	1,800 000 55 260		0 00	99,468 00	113,418 80	- 13,950 80	0 00	- 13,950 80
29 Aug 11	827 000	63 61		45,700 02	52,607 95	- 6,907 93	0 00	- 6,907 93
19 Sep 11	973 000	62 50		53,767 98	60,810 85	- 7,042 87	0 00	- 7,042 87
DIRECTV COM CL A COM CL A CUSIP: 25490A101	2 346 000 42 760		0 00	100,314 96	94,378 17	5 936 79	0 00	5,936 79
29 Dec 10	2 346 000	40 23		100,314 96	94,378 17	5,936 79	0 00	5,936 79
DISCOVER FINL SVCS COM STK CUSIP: 254709108	11,380 000 24 000		1,138 00	273 120 00	178,240 40	94,879 60	0 00	94 879 60
26 Apr 10	1,560 000	16 18		37,440 00	25,236 43	12,203 57	0 00	12 203 57
27 Apr 10	3 340 000	15 64		80,160 00	52,248 96	27,911 04	0 00	27,911 04
28 Apr 10	2,210 000	15 45		53,040 00	34,149 36	18,890 64	0 00	18,890 64
30 Apr 10	1,000 000	15 77		24,000 00	15,773 50	8,226 50	0 00	8,226 50

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
4 May 10	3,270 000	15 55		78 480 00	50 832 15	27 647 85	0 00	27,647 85
EATON CORP COM CUSIP 278058102	9,200 000 43 530		0 00	400,476 00	202,130 81	198,345 19	0 00	198,345 19
17 Dec 08	1,800 000	21 23		78,354 00	38,212 02	40,141 98	0 00	40,141 98
27 Feb 09	1,800 000	18 19		78 354 00	32,733 99	45,620 01	0 00	45 620 01
24 Mar 09	400 000	19 02		17,412 00	7,608 08	9 803 92	0 00	9,803 92
26 Mar 09	4 000 000	19 42		174,120 00	77,675 60	96,444 40	0 00	96,444 40
22 Sep 11	700 000	35 19		30,471 00	24,636 22	5 834 78	0 00	5,834 78
19 Oct 11	500 000	42 53		21,765 00	21,264 90	500 10	0 00	500 10
EATON VANCE CORP COM NON VTG CUSIP 278265103	4,175 000 23 540		0 00	98,697 00	128,177 52	- 29 480 52	0 00	- 29 480 52
29 Dec 10	3,687 000	30 75		87,160 68	113,372 67	- 26 211 99	0 00	- 26 211 99
5 Jan 11	488 000	30 34		11,536 32	14,804 85	- 3 268 53	0 00	- 3 268 53
ELECTR ARTS COM CUSIP 285512109	2 761 000 20 600		0 00	56,876 60	59 656 65	- 2 780 05	0 00	- 2 780 05
12 Dec 11	2,761 000	21 61		56,876 60	59 656 65	- 2 780 05	0 00	- 2,780 05
ELI LILLY & CO COM CUSIP 532457108	3 187 000 41 560		0 00	132 451 72	116,078 17	16,373 55	0 00	16 373 55
29 Dec 10	988 000	35 18		41,061 28	34,757 84	6 303 44	0 00	6,303 44
15 Jul 11	742 000	38 23		30,837 52	28,363 33	2 474 19	0 00	2,474 19
28 Nov 11	1 457 000	36 35		60,552 92	52,957 00	7 595 92	0 00	7 595 92
EMC CORP COM CUSIP 268648102	4,600 000 21 540		0 00	99,084 00	124,151 70	- 25 067 70	0 00	- 25,067 70
1 Mar 11	4,600 000	26 99		99,084 00	124,151 70	- 25 067 70	0 00	- 25 067 70

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
ENCORE WIRE CORP COM CUSIP 292562105	7 570 000 25 900		0 00	196,063 00	132,851 48	63,211 52	0 00	63,211 52
16 Dec 08	4,190 000	17 37		108,521 00	72,765 22	35,755 78	0 00	35,755 78
17 Dec 08	3,380 000	17 78		87 542 00	60,086 26	27,455 74	0 00	27,455 74
ENDO PHARMACEUTICALS HLDGS INC COM CUSIP 29264F205	4,030 000 34 530		0 00	139,155 90	142,758 72	- 3 602 82	0 00	- 3,602 82
29 Dec 10	4,030 000	35 42		139,155 90	142,758 72	- 3 602 82	0 00	- 3,602 82
EQTY RESDNTL EFF 5/15/02 SEDOL 2319157	3,000 000 57 030		1,702 50	171,090 00	95,179 83	75,910 17	0 00	75 910 17
9 Mar 10	400 000	38 03		22,812 00	15 212 44	7,599 56	0 00	7 599 56
3 Mar 09	800 000	16 91		45,624 00	13 530 80	32 093 20	0 00	32,093 20
13 Jan 10	300 000	33 58		17,109 00	10 074 36	7,034 64	0 00	7,034 64
24 Mar 10	200 000	39 43		11,406 00	7,886 32	3,519 68	0 00	3,519 68
9 Mar 10	200 000	37 97		11,406 00	7,593 12	3,812 88	0 00	3,812 88
8 Mar 10	200 000	37 65		11,406 00	7,530 38	3,875 62	0 00	3,875 62
22 Feb 10	200 000	35 91		11 406 00	7,181 12	4,224 88	0 00	4,224 88
19 Feb 10	200 000	35 30		11 406 00	7,060 40	4,345 60	0 00	4,345 60
25 Mar 10	100 000	39 71		5,703 00	3,970 65	1,732 35	0 00	1,732 35
24 Mar 10	100 000	39 36		5,703 00	3,936 06	1,766 94	0 00	1,766 94
10 Mar 10	100 000	38 36		5,703 00	3,835 85	1 867 15	0 00	1,867 15
10 Mar 10	100 000	38 21		5,703 00	3,820 80	1 882 20	0 00	1,882 20
19 Feb 10	100 000	35 48		5,703 00	3,547 53	2,155 47	0 00	2,155 47
EQUINIX INC COM NEW COM NEW CUSIP 29444U502	705 000 101 400		0 00	71,487 00	65,997 80	5,489 20	0 00	5,489 20

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
21 Apr 11	705 000	93 61		71,487 00	65,997 80	5,489 20	0 00	5,489 20
ESSEX PPTY TR REIT SEDOL 2316619	600 000 140 510		624 00	84,306 00	81,772 63	2,533 37	0 00	2,533 37
27 Oct 11	100 000	146 25		14,051 00	14,624 83	- 573 83	0 00	- 573 83
24 Oct 11	100 000	139 88		14,051 00	13,987 75	63 25	0 00	63 25
25 Oct 11	100 000	139 56		14,051 00	13,955 59	95 41	0 00	95 41
1 Dec 11	100 000	133 77		14,051 00	13,377 07	673 93	0 00	673 93
18 Oct 11	100 000	130 33		14,051 00	13,032 72	1,018 28	0 00	1,018 28
17 Oct 11	100 000	127 95		14,051 00	12 794 67	1,256 33	0 00	1,256 33
EXELIS INC CUSIP 30162A108	6,500 000 9 050		671 45	58,825 00	87,642 50	- 28 817 50	0 00	- 28,817 50
1 Mar 11	4 600 000	13 96		41,630 00	64,198 32	- 22 568 32	0 00	- 22,568 32
15 Mar 11	900 000	13 55		8 145 00	12,190 66	- 4,045 66	0 00	- 4,045 66
17 Aug 11	700 000	11 33		6,335 00	7 930 88	- 1,595 88	0 00	- 1,595 88
19 Oct 11	300 000	11 08		2,715 00	3 322 64	- 607 64	0 00	- 607 64
EXPRESS SCRIPTS INC COM CUSIP 302182100	4,032 000 44 690		0 00	180 190 08	170,518 25	9,671 83	0 00	9 671 83
10 Oct 11	1,336 000	40 90		59,705 84	54 644 94	5,060 90	0 00	5 060 90
17 Oct 11	1,384 000	40 01		61,850 96	55 378 96	6,472 00	0 00	6 472 00
9 Dec 11	1,312 000	46 11		58 633 28	60,494 35	- 1,861 07	0 00	- 1,861 07
EXXON MOBIL CORP COM CUSIP 30231G102	6,259 000 84 760		0 00	530 512 84	475,152 32	55,360 52	0 00	55,360 52
19 Dec 11	1,000 000	79 83		84,760 00	79 831 60	4,928 40	0 00	4,928 40
29 Dec 10	1,462 000	73 58		123,919 12	107,567 82	16,351 30	0 00	16,351 30

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
29 Dec 10	2,816 000	73 58		238,684 16	207,189 45	31,494 71	0 00	31,494 71
15 Mar 11	774 000	81 76		65,604 24	63,283 32	2,320 92	0 00	2,320 92
14 Apr 11	207 000	83 48		17,545 32	17 280 13	265 19	0 00	265 19
FAMILY DLR STORES INC COM CUSIP 307000109	4,941 000 57 660		889 38	284,898 06	202 234 48	82 663 58	0 00	82,663 58
14 Jul 10	2,210 000	36 98		127 428 60	81,732 43	45 696 17	0 00	45,696 17
15 Jul 10	1,060 000	37 74		61 119 60	40,008 32	21 111 28	0 00	21,111 28
16 Jul 10	170 000	38 19		9 802 20	6,493 12	3,309 08	0 00	3,309 08
2 May 11	290 000	54 04		16,721 40	15 672 76	1,048 64	0 00	1,048 64
8 Aug 11	901 000	46 61		51,951 66	41,997 05	9,954 61	0 00	9,954 61
31 Aug 11	310 000	52 68		17,874 60	16,330 80	1,543 80	0 00	1,543 80
FEDERAL RLTY INVT TR SH BEN INT NEW SH BEN INT NEW SEDOL 2333931	800 000 90 750		552 00	72,600 00	40 355 90	32,244 10	0 00	32,244 10
3 Mar 09	400 000	39 16		36 300 00	15,665 60	20 634 40	0 00	20,634 40
18 Dec 08	200 000	64 21		18,150 00	12,842 00	5,308 00	0 00	5,308 00
9 Nov 09	100 000	65 98		9,075 00	6,597 74	2,477 26	0 00	2,477 26
16 Jan 09	100 000	52 51		9 075 00	5,250 56	3,824 44	0 00	3,824 44
FEDT INVESTORS INC CL B CUSIP 314211103	5,109 000 15 150		0 00	77,401 35	134 574 91	- 57,173 56	0 00	- 57,173 56
29 Dec 10	5,020 000	26 34		76 053 00	132,208 23	- 56,155 23	0 00	- 56,155 23
5 Jan 11	89 000	26 59		1,348 35	2,366 68	- 1,018 33	0 00	- 1,018 33
FINISH LINE INC CL A CUSIP 317923100	8,330 000 19 285		0 00	160,644 05	190,248 32	- 29,604 27	0 00	- 29 604 27
21 Jun 11	6,510 000	23 19		125,545 35	150,943 85	- 25,398 50	0 00	- 25,398 50

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
28 Jun 11	30 000	21 68		578 55	650 40	- 71 85	0 00	- 71 85
7 Jul 11	381 000	23 15		7,347 58	8,820 38	- 1,472 80	0 00	- 1,472 80
7 Jul 11	525 000	23 37		10,124 63	12,266 63	- 2,142 00	0 00	- 2,142 00
8 Jul 11	184 000	23 05		3,548 44	4,241 44	- 693 00	0 00	- 693 00
6 Sep 11	700 000	19 04		13 499 50	13,325 62	173 88	0 00	173 88
FMC TECHNOLOGIES INC COM CUSIP 30249U101	1,600 000 52 230		0 00	83 568 00	79,190 40	4,377 60	0 00	4 377 60
19 Dec 11	1,600 000	49 49		83,568 00	79,190 40	4 377 60	0 00	4,377 60
FOOT LOCKER INC COM CUSIP 344849104	14,780 000 23 840		0 00	352,355 20	161,131 22	191,223 98	0 00	191,223 98
16 Jun 09	3,710 000	10 35		88,446 40	38,412 60	50,033 80	0 00	50,033 80
19 Jun 09	3 680 000	10 94		87,731 20	40,248 16	47,483 04	0 00	47,483 04
29 Jun 09	3 430 000	10 75		81,771 20	36,881 76	44,889 44	0 00	44,889 44
13 Aug 09	3,960 000	11 51		94 406 40	45 588 70	48,817 70	0 00	48 817 70
FREEPORT-MCMORAN COPPER & GOLD INC CUSIP 35671D857	3,995 000 36 790		0 00	146,976 05	203,403 70	- 56 427 65	0 00	- 56,427 65
29 Dec 10	1,329 000	59 52		48,893 91	79,104 41	- 30,210 50	0 00	- 30,210 50
5 Jul 11	1,258 000	53 66		46,281 82	67,503 53	- 21,221 71	0 00	- 21,221 71
5 Dec 11	1,408 000	40 34		51,800 32	56 795 76	- 4,995 44	0 00	- 4 995 44
GARTNER INC COM CUSIP 366651107	1,543 000 34 770		0 00	53,650 11	61 797 15	- 8,147 04	0 00	- 8,147 04
2 Nov 11	1,543 000	40 05		53,650 11	61,797 15	- 8,147 04	0 00	- 8,147 04
GENERAL GROWTH PPTYS INC NEW COM SEDOL B56VLR5	6,343 000 15 020		634 30	95,271 86	93,519 45	1,752 41	0 00	1,752 41

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
16 Nov 10	6,199 570	14 75		93,117 54	91,443 66	1,673 88	0 00	1,673 88
27 Jan 11	143 430	14 47		2,154 32	2 075 79	78 53	0 00	78 53
GENESCO INC COM CUSIP 371532102	2,660 000 61 740		0 00	164,228 40	150 255 36	13,973 04	0 00	13,973 04
4 Oct 11	343 000	52 79		21,176 82	18 107 76	3,069 06	0 00	3,069 06
5 Oct 11	360 000	54 36		22 226 40	19,567 80	2,658 60	0 00	2,658 60
6 Oct 11	361 000	55 42		22,288 14	20 005 54	2 282 60	0 00	2,282 60
7 Oct 11	356 000	55 74		21,979 44	19 844 19	2,135 25	0 00	2 135 25
29 Nov 11	242 000	56 57		14 941 08	13 688 83	1,252 25	0 00	1,252 25
30 Nov 11	243 000	58 19		15 002 82	14 140 22	862 60	0 00	862 60
1 Dec 11	328 000	59 27		20 250 72	19 439 51	811 21	0 00	811 21
2 Dec 11	202 000	59 48		12 471 48	12 014 48	457 00	0 00	457 00
5 Dec 11	121 000	59 92		7,470 54	7,250 79	219 75	0 00	219 75
6 Dec 11	104 000	59 58		6 420 96	6,196 24	224 72	0 00	224 72
GILEAD SCIENCES INC CUSIP 375558103	2,898 000 40 930		0 00	118,615 14	114,039 73	4,575 41	0 00	4 575 41
14 Feb 11	1,636 000	38 46		66,961 48	62 918 76	4,042 72	0 00	4,042 72
19 Apr 11	1,262 000	40 51		51 653 66	51,120 97	532 69	0 00	532 69
GOLDMAN SACHS GROUP INC COM CUSIP 38141G104	5 400 000 90 430		0 00	488 322 00	434,453 44	53 868 56	0 00	53,868 56
18 Jun 02	2,205 130	75 79		199 409 91	167,124 60	32,285 31	0 00	32,285 31
7 Jan 03	512 820	72 27		46,374 31	37,063 60	9,310 71	0 00	9,310 71
8 Jul 03	307 690	87 87		27,824 41	27,036 72	787 69	0 00	787 69
19 Aug 03	890 250	88 23		80,505 31	78 549 61	1,955 70	0 00	1,955 70
29 Aug 03	135 290	87 89		12,234 27	11,891 18	343 09	0 00	343 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
24 Sep 03	148 820	86 10		13,457 79	12,812 85	644 94	0 00	644 94
17 Dec 08	900 000	76 30		81,387 00	68,670 99	12,716 01	0 00	12,716 01
19 Oct 11	300 000	104 35		27,129 00	31,303 89	- 4,174 89	0 00	- 4,174 89
GOOGLE INC CL A CL A CUSIP 38259P508	762 000 645 900		0 00	492,175 80	465 485 67	26,690 13	0 00	26,690 13
1 Dec 11	160 000	611 92		103,344 00	97,907 20	5,436 80	0 00	5,436 80
29 Aug 11	111 000	538 04		71 694 90	59 721 98	11,972 92	0 00	11 972 92
9 Dec 11	300 000	625 91		193,770 00	187,773 87	5,996 13	0 00	5 996 13
12 Dec 11	91 000	623 36		58,776 90	56,726 17	2,050 73	0 00	2,050 73
13 Dec 11	100 000	633 56		64,590 00	63,356 45	1,233 55	0 00	1,233 55
GROUP 1 AUTOMOTIVE INC COM CUSIP 398905109	2,870 000 51 800		0 00	148,666 00	108,779 03	39,886 97	0 00	39,886 97
21 Jun 11	2,246 000	38 10		116,342 80	85,577 27	30,765 53	0 00	30,765 53
5 Oct 11	624 000	37 18		32,323 20	23,201 76	9,121 44	0 00	9,121 44
HALLIBURTON CO COM CUSIP 406216101	2,997 000 34 510		0 00	103,426 47	113,614 31	- 10,187 84	0 00	- 10 187 84
7 Nov 11	1,533 000	37 40		52,903 83	57 340 64	- 4 436 81	0 00	- 4,436 81
14 Nov 11	1,464 000	38 44		50,522 64	56,273 67	- 5 751 03	0 00	- 5,751 03
HCP INC COM REIT SEDOL 2417578	2 900 000 41 430		0 00	120,147 00	104 472 96	15,674 04	0 00	15,674 04
22 Mar 11	1,500 000	36 90		62,145 00	55,350 00	6,795 00	0 00	6,795 00
14 Dec 10	377 000	32 00		15 619 11	12,064 00	3,555 11	0 00	3,555 11
20 Sep 11	200 000	37 66		8,286 00	7,531 56	754 44	0 00	754 44
10 Oct 11	200 000	36 74		8,286 00	7,348 22	937 78	0 00	937 78

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account Number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
5 Aug 10	200 000	35 34		8,286 00	7 067 40	1,218 60	0 00	1 218 60
27 Aug 10	200 000	34 95		8,286 00	6,989 92	1,296 08	0 00	1,296 08
11 Feb 11	100 000	36 83		4 143 00	3 683 21	459 79	0 00	459 79
11 Oct 11	100 000	36 55		4 143 00	3 654 83	488 17	0 00	488 17
15 Dec 10	23 000	34 08		952 89	783 82	169 07	0 00	169 07
HEALTH CARE REIT INC COM SEDOL 2440961	2,100 000 54 530		0 00	114,513 00	106 041 43	8,471 57	0 00	8 471 57
2 Mar 11	600 000	49 25		32 718 00	29,550 00	3,168 00	0 00	3,168 00
4 Nov 11	400 000	50 00		21,812 00	20 000 00	1,812 00	0 00	1 812 00
19 Jul 11	200 000	52 97		10,906 00	10 594 12	311 88	0 00	311 88
15 Jun 11	200 000	51 07		10,906 00	10,213 72	692 28	0 00	692 28
21 Jun 11	100 000	53 27		5,453 00	5,327 34	125 66	0 00	125 66
20 Jun 11	100 000	52 96		5,453 00	5,296 46	156 54	0 00	156 54
17 Jun 11	100 000	52 04		5,453 00	5,203 70	249 30	0 00	249 30
14 Jun 11	100 000	51 54		5,453 00	5,153 53	299 47	0 00	299 47
16 Jun 11	100 000	51 48		5,453 00	5,148 11	304 89	0 00	304 89
16 Aug 11	100 000	47 78		5,453 00	4,777 87	675 13	0 00	675 13
15 Aug 11	100 000	47 77		5,453 00	4,776 58	676 42	0 00	676 42
HERBALIFE LTD COM STK CUSIP G4412G101	4,621 000 51 670		0 00	238 767 07	166,311 05	72,456 02	0 00	72,456 02
29 Dec 10	1,658 000	34 61		85,668 86	57,380 40	28 288 46	0 00	28,288 46
29 Dec 10	1,800 000	34 61		93,006 00	62,301 42	30,704 58	0 00	30 704 58
21 Mar 11	1,058 000	40 09		54,666 86	42,414 43	12 252 43	0 00	12,252 43
22 Mar 11	105 000	40 14		5 425 35	4,214 80	1,210 55	0 00	1,210 55

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
HERSHEY CO FORMERLY HERSHEY FOODS CORP TO 04/19/2005 COM CUSIP: 427866108	1,014 000 61 780		0 00	62 644 92	57,991 87	4,653 05	4 653 05
26 Aug 11	1,014 000	57 19		62 644 92	57,991 87	4,653 05	4 653 05
HIBBETT SPORTS INC COM STK CUSIP: 428567101	2,890 000 45 180		0 00	130,570 20	127,402 96	3 167 24	3,167 24
15 Nov 11	519 000	43 84		23,448 42	22,754 72	693 70	693 70
16 Nov 11	710 000	43 62		32 077 80	30,967 08	1,110 72	1 110 72
17 Nov 11	771 000	43 61		34 833 78	33,621 85	1,211 93	1 211 93
29 Nov 11	471 000	44 21		21,279 78	20,821 26	458 52	458 52
30 Nov 11	419 000	45 91		18,930 42	19,238 05	- 307 63	- 307 63
HIGHWOODS PPTYS INC COM SEDOL 2420640	600 000 29 670		0 00	17,802 00	11,602 01	6,199 99	6,199 99
3 Mar 09	300 000	17 77		8,901 00	5,329 59	3,571 41	3,571 41
27 May 09	200 000	21 50		5,934 00	4,300 00	1,634 00	1,634 00
18 Feb 09	100 000	19 72		2,967 00	1,972 42	994 58	994 58
HMS HLDGS CORP COM CUSIP: 40425J101	7 841 000 31 980		0 00	250,755 18	146 861 57	103,893 61	103,893 61
13 Nov 08	1,545 000	8 50		49,409 10	13,127 76	36 281 34	36,281 34
13 Nov 08	195 000	8 46		6,236 10	1,649 64	4,586 46	4,586 46
14 Nov 08	30 000	8 51		959 40	255 29	704 11	704 11
14 Nov 08	162 000	8 54		5,180 76	1 383 49	3,797 27	3,797 27
17 Dec 08	777 000	9 56		24,848 46	7,427 34	17,421 12	17,421 12
21 Jun 11	3,452 000	24 49		110,394 96	84,525 65	25,869 31	25,869 31
4 Aug 11	918 000	23 02		29,357 64	21 129 27	8,228 37	8,228 37

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
4 Aug 11	336 000	23 01		10,745 28	7 732 48	3,012 80	0 00	3,012 80
5 Aug 11	426 000	22 61		13,623 48	9 630 65	3,992 83	0 00	3,992 83
HOLLYFRONTIER CORP COM CUSIP 436106108	1,900 000 23 400		190 00	44,460 00	53,586 59	- 9,126 59	0 00	- 9,126 59
16 May 11	953 200	28 38		22,304 88	27,047 72	- 4,742 84	0 00	- 4,742 84
17 May 11	946 800	28 03		22,155 12	26,538 87	- 4,383 75	0 00	- 4,383 75
HOME BANCSHARES INC COM CUSIP 436893200	7,240 000 25 910		0 00	187,588 40	172,446 65	15,141 75	0 00	15,141 75
21 Jun 11	5 223 000	24 62		135,327 93	128 581 34	6 746 59	0 00	6,746 59
28 Jun 11	30 000	23 65		777 30	709 50	67 80	0 00	67 80
5 Oct 11	444 000	21 47		11,504 04	9 534 46	1 969 58	0 00	1,969 58
6 Oct 11	685 000	21 61		17,748 35	14,802 37	2,945 98	0 00	2,945 98
7 Oct 11	178 000	21 62		4,611 98	3,849 04	762 94	0 00	762 94
10 Oct 11	247 000	21 62		6 399 77	5 340 58	1,059 19	0 00	1 059 19
11 Oct 11	166 000	22 03		4 301 06	3 656 81	644 25	0 00	644 25
12 Oct 11	33 000	22 65		855 03	747 47	107 56	0 00	107 56
13 Oct 11	71 000	22 57		1 839 61	1,602 34	237 27	0 00	237 27
14 Oct 11	68 000	22 38		1,761 88	1,521 95	239 93	0 00	239 93
17 Oct 11	95 000	22 11		2 461 45	2 100 79	360 66	0 00	360 66
HOST HOTELS & RESORTS INC REIT SEDOL 2567503	8,464 000 14 770		413 20	125,013 28	65,813 30	59,199 98	0 00	59 199 98
3 Mar 09	4 100 000	3 46		60 557 00	14,167 96	46,389 04	0 00	46,389 04
5 Dec 11	900 000	14 64		13,293 00	13,175 37	117 63	0 00	117 63
18 Dec 08	1 064 000	7 63		15,715 28	8 113 00	7,602 28	0 00	7,602 28
5 Jan 11	300 000	18 40		4 431 00	5,518 65	- 1,087 65	0 00	- 1,087 65

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
4 Jan 11	300 000	18 03		4 431 00	5,409 39	- 978 39	0 00	- 978 39
3 Nov 11	300 000	14 50		4,431 00	4 349 10	81 90	0 00	81 90
4 Nov 11	300 000	14 45		4 431 00	4,336 35	94 65	0 00	94 65
29 Dec 11	200 000	14 72		2 954 00	2,943 12	10 88	0 00	10 88
23 Jan 09	400 000	5 68		5,908 00	2,272 04	3 635 96	0 00	3,635 96
4 Jan 11	100 000	17 95		1,477 00	1 794 50	- 317 50	0 00	- 317 50
2 Nov 11	100 000	14 26		1,477 00	1,425 53	51 47	0 00	51 47
23 Jan 09	200 000	5 67		2,954 00	1,133 32	1,820 68	0 00	1,820 68
26 Jan 09	100 000	5 96		1,477 00	596 07	880 93	0 00	880 93
23 Jan 09	100 000	5 79		1,477 00	578 90	898 10	0 00	898 10
HUB GROUP INC CL A CUSIP 443320106	3,520 000 32 430		0 00	114,153 60	120,634 36	- 6,480 76	0 00	- 6,480 76
24 May 10	239 000	30 51		7,750 77	7,292 70	458 07	0 00	458 07
24 May 10	10 000	30 52		324 30	305 18	19 12	0 00	19 12
25 May 10	783 000	29 62		25,392 69	23,195 59	2,197 10	0 00	2,197 10
26 May 10	253 000	30 48		8,204 79	7,711 26	493 53	0 00	493 53
8 Dec 10	101 000	36 02		3,275 43	3,637 91	- 362 48	0 00	- 362 48
21 Jun 11	2,124 000	36 78		68,881 32	78 119 72	- 9,238 40	0 00	- 9 238 40
28 Jun 11	10 000	37 20		324 30	372 00	- 47 70	0 00	- 47 70
HUMANA INC COM CUSIP 444859102	3 000 000 87 610		750 00	262 830 00	235,683 12	27,146 88	0 00	27,146 88
12 May 11	800 000	78 41		70,088 00	62 729 92	7,358 08	0 00	7,358 08
13 May 11	800 000	78 28		70,088 00	62 625 92	7,462 08	0 00	7 462 08
13 Jun 11	1,400 000	78 81		122,654 00	110,327 28	12,326 72	0 00	12 326 72

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 84 of 132

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
HYATT HOTELS CORP COM CL A COM CL A CUSIP 448579102	6,870,000 37,640		0.00	258,586.80	254,530.06	4,056.74	0.00	4,056.74
12 Mar 10	2,890,000	33.34		108,779.60	96,338.15	12,441.45	0.00	12,441.45
5 Apr 10	860,000	39.11		32,370.40	33,630.90	- 1,260.50	0.00	- 1,260.50
14 Apr 10	1,470,000	40.36		55,330.80	59,325.53	- 3,994.73	0.00	- 3,994.73
8 Nov 10	890,000	41.55		33,499.60	36,978.97	- 3,479.37	0.00	- 3,479.37
10 Nov 10	260,000	41.56		9,786.40	10,805.31	- 1,018.91	0.00	- 1,018.91
31 Aug 11	500,000	34.90		18,820.00	17,451.20	1,368.80	0.00	1,368.80
IMPAX LABORATORIES INC COM CUSIP 45256B101	5,220,000 20,170		0.00	105,287.40	107,943.90	- 2,656.50	0.00	- 2,656.50
21 Jun 11	5,200,000	20.68		104,884.00	107,532.50	- 2,648.50	0.00	- 2,648.50
28 Jun 11	20,000	20.57		403.40	411.40	- 8.00	0.00	- 8.00
INGERSOLL-RAND PLC COM STK CUSIP G47791101	9,700,000 30,470		0.00	295,559.00	372,770.28	- 77,211.28	0.00	- 77,211.28
13 Oct 10	3,900,000	39.23		118,833.00	152,981.01	- 34,148.01	0.00	- 34,148.01
14 Oct 10	3,100,000	38.92		94,457.00	120,644.25	- 26,187.25	0.00	- 26,187.25
15 Oct 10	1,400,000	38.49		42,658.00	53,885.58	- 11,227.58	0.00	- 11,227.58
18 Oct 10	700,000	38.56		21,329.00	26,990.04	- 5,661.04	0.00	- 5,661.04
19 Oct 11	600,000	30.45		18,282.00	18,269.40	12.60	0.00	12.60
INPHI CORP CUSIP 45772F107	13,400,000 11,960		0.00	160,264.00	175,867.50	- 15,603.50	0.00	- 15,603.50
28 Jun 11	1,820,000	17.00		21,767.20	30,945.64	- 9,178.44	0.00	- 9,178.44
30 Jun 11	917,000	17.36		10,967.32	15,922.51	- 4,955.19	0.00	- 4,955.19
7 Jul 11	350,000	17.80		4,186.00	6,230.88	- 2,044.88	0.00	- 2,044.88

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
7 Jul 11	360 000	17 80		4,305 60	6,408 00	- 2,102 40	0 00	- 2,102 40
7 Jul 11	204 000	17 77		2 439 84	3,624 06	- 1,184 22	0 00	- 1,184 22
13 Jul 11	151 000	16 55		1,805 96	2 498 36	- 692 40	0 00	- 692 40
13 Jul 11	241 000	16 35		2,882 36	3 939 27	- 1,056 91	0 00	- 1,056 91
14 Jul 11	34 000	16 48		406 64	560 31	- 153 67	0 00	- 153 67
14 Jul 11	370 000	16 37		4 425 20	6,057 34	- 1,632 14	0 00	- 1 632 14
15 Jul 11	130 000	16 47		1 554 80	2,141 23	- 586 43	0 00	- 586 43
25 Jul 11	469 000	17 86		5,609 24	8,377 28	- 2,768 04	0 00	- 2,768 04
4 Aug 11	1 134 000	11 90		13,562 64	13 495 28	67 36	0 00	67 36
5 Aug 11	485 000	10 66		5,800 60	5,168 40	632 20	0 00	632 20
9 Aug 11	413 000	9 71		4 939 48	4,011 59	927 89	0 00	927 89
9 Aug 11	551 000	9 28		6,589 96	5,110 53	1,479 43	0 00	1,479 43
9 Aug 11	1 000	9 20		11 96	9 20	2 76	0 00	2 76
15 Aug 11	580 000	10 34		6,936 80	5,994 76	942 04	0 00	942 04
23 Aug 11	27 000	10 30		322 92	277 97	44 95	0 00	44 95
23 Aug 11	888 000	10 87		10,620 48	9,650 34	970 14	0 00	970 14
23 Aug 11	684 000	10 85		8,180 64	7 420 51	760 13	0 00	760 13
22 Sep 11	608 000	8 53		7,271 68	5 187 52	2,084 16	0 00	2,084 16
22 Sep 11	365 000	8 65		4,365 40	3 158 02	1,207 38	0 00	1 207 38
23 Sep 11	138 000	8 94		1,650 48	1 233 94	416 54	0 00	416 54
27 Oct 11	1,278 000	11 41		15,284 88	14 581 85	703 03	0 00	703 03
28 Oct 11	550 000	12 00		6,578 00	6,600 77	- 22 77	0 00	- 22 77
1 Nov 11	439 000	10 97		5,250 44	4,814 38	436 06	0 00	436 06
3 Nov 11	171 000	11 39		2,045 16	1 947 52	97 64	0 00	97 64
4 Nov 11	42 000	11 91		502 32	500 04	2 28	0 00	2 28

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
INTEL CORP COM CUSIP 458140100	12,400 000 24 250		0 00	300,700 00	301,123 61	- 423 61	- 423 61
15 Nov 11	3 900 000	25 47		94 575 00	99,340 02	- 4 765 02	- 4,765 02
22 Nov 11	2,200 000	23 31		53 350 00	51,285 74	2,064 26	2,064 26
29 Nov 11	5 500 000	23 73		133,375 00	130,494 65	2,880 35	2 880 35
9 Dec 11	800 000	25 00		19,400 00	20,003 20	- 603 20	- 603 20
INTERNATIONAL BUSINESS MACHS CORP COM CUSIP 459200101	3 233 000 183 880		0 00	594,484 04	520,097 12	74,386 92	74 386 92
26 Jul 11	600 000	183 30		110,328 00	109,980 78	347 22	347 22
29 Dec 10	791 000	146 85		145,449 08	116,157 16	29,291 92	29,291 92
16 Aug 11	500 000	171 19		91,940 00	85,596 90	6,343 10	6 343 10
29 Dec 10	967 000	146 85		177 811 96	142,002 50	35 809 46	35,809 46
3 Nov 11	200 000	187 59		36,776 00	37,518 64	- 742 64	- 742 64
14 Apr 11	175 000	164 81		32,179 00	28 841 14	3 337 86	3,337 86
INTUIT COM CUSIP 461202103	2 200 000 52 590		0 00	115,698 00	82,731 22	32,966 78	32 966 78
21 Jul 10	2,200 000	37 61		115 698 00	82,731 22	32,966 78	32,966 78
INTUITIVE SURGICAL INC COM NEW STK CUSIP 46120E602	550 000 463 010		0 00	254,655 50	200 786 47	53 869 03	53,869 03
4 Feb 11	150 000	330 07		69 451 50	49,510 83	19 940 67	19,940 67
5 Apr 11	150 000	354 95		69,451 50	53,242 52	16,208 98	16 208 98
15 Sep 11	250 000	392 13		115,752 50	98,033 12	17,719 38	17 719 38
INVESTORS BANCORP INC COM STK CUSIP 46146P102	12 270 000 13 480		0 00	165,399 60	137,407 19	27,992 41	27 992 41

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
16 Dec 08	7 340 000	12.81		98,943 20	94,058 43	4 884 77	0 00	4 884 77
17 Dec 08	1 450 000	12.91		19,546 00	18,724 28	821 72	0 00	821 72
2 Mar 09	3 480 000	7 08		46,910 40	24,624 48	22,285 92	0 00	22,285 92
IPC THE HOSPITALIST CO INC STK CUSIP 44984A105	5,320 000 45 720		0 00	243 230 40	244,787 09	- 1,556 69	0 00	- 1,556 69
21 Jun 11	3,760 000	46 19		171,907 20	173 671 14	- 1,763 94	0 00	- 1,763 94
28 Jun 11	20 000	46 62		914 40	932 40	- 18 00	0 00	- 18 00
21 Jul 11	24 000	51 23		1,097 28	1,229 46	- 132 18	0 00	- 132 18
21 Jul 11	71 000	51 08		3,246 12	3 626 45	- 380 33	0 00	- 380 33
22 Jul 11	139 000	51 67		6 355 08	7,182 67	- 827 59	0 00	- 827 59
22 Jul 11	47 000	51 89		2 148 84	2,438 96	- 290 12	0 00	- 290 12
25 Jul 11	113 000	51 97		5,166 36	5,872 86	- 706 50	0 00	- 706 50
25 Jul 11	306 000	51 74		13,990 32	15 832 23	- 1,841 91	0 00	- 1,841 91
27 Jul 11	141 000	50 23		6 446 52	7 082 68	- 636 16	0 00	- 636 16
28 Jul 11	39 000	50 05		1,783 08	1 951 83	- 168 75	0 00	- 168 75
6 Sep 11	42 000	36 71		1,920 24	1 541 82	378 42	0 00	378 42
7 Sep 11	89 000	38 71		4 069 08	3,445 00	624 08	0 00	624 08
8 Sep 11	46 000	38 22		2 103 12	1 757 93	345 19	0 00	345 19
8 Sep 11	210 000	38 84		9,601 20	8,155 52	1 445 68	0 00	1,445 68
9 Sep 11	140 000	36 91		6,400 80	5,167 83	1,232 97	0 00	1,232 97
12 Sep 11	117 000	36 67		5 349 24	4 290 88	1,058 36	0 00	1,058 36
13 Sep 11	16 000	37 96		731 52	607 43	124 09	0 00	124 09
ITT CORPORATION W/I CUSIP 450911201	3,250 000 19 330		295 75	62,822 50	67,702 62	- 4 880 12	0 00	- 4,880 12
1 Nov 11	3,250 000	20 83		62,822 50	67,702 62 **	- 4,880 12	0 00	- 4,880 12

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account Number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
JACOBS ENGR GROUP INC COM CUSIP 469814107	9,300 000 40 580		0 00	377,394 00	352,764 95	24 629 05	0 00	24,629 05
28 Jul 09	500 000	40 32		20 290 00	20 159 80	130 20	0 00	130 20
29 Jul 09	1,700 000	39 14		68,986 00	66 534 26	2,451 74	0 00	2,451 74
18 Nov 09	3 100 000	38 92		125,798 00	120,650 76	5,147 24	0 00	5,147 24
19 Nov 09	1 700 000	37 14		68,986 00	63,133 24	5 852 76	0 00	5,852 76
20 Nov 09	900 000	36 18		36,522 00	32,559 75	3,962 25	0 00	3,962 25
11 Dec 09	900 000	34 50		36,522 00	31,047 39	5,474 61	0 00	5,474 61
19 Oct 11	500 000	37 36		20,290 00	18,679 75	1,610 25	0 00	1,610 25
JOY GLOBAL INC COM CUSIP 481165108	1 500 000 74 970		0 00	112 455 00	80,020 29	32,434 71	0 00	32,434 71
16 Apr 10	600 000	61 85		44 982 00	37,111 26	7 870 74	0 00	7,870 74
21 May 10	900 000	47 68		67,473 00	42,909 03	24 563 97	0 00	24,563 97
KAISER ALUM CORP COM PAR \$0 01 COM PAR \$0 01 CUSIP 483007704	5 490 000 45 880		0 00	251 881 20	173,922 53	77,958 67	0 00	77,958 67
16 Dec 08	1,060 000	22 00		48,632 80	23,319 89	25,312 91	0 00	25,312 91
17 Dec 08	2,110 000	23 52		96,806 80	49,625 93	47,180 87	0 00	47,180 87
1 Jun 10	332 000	37 70		15,232 16	12 515 70	2,716 46	0 00	2,716 46
8 Jun 10	620 000	34 83		28 445 60	21,593 17	6,852 43	0 00	6,852 43
29 Mar 11	1,368 000	48 88		62 763 84	66,867 84	- 4,104 00	0 00	- 4,104 00
KELLOGG CO COM USD0 25 CUSIP 487836108	1,787 000 50 570		0 00	90,368 59	91 608 23	- 1,239 64	0 00	- 1,239 64
29 Dec 10	1,787 000	51 26		90,368 59	91,608 23	- 1 239 64	0 00	- 1,239 64

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2010

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Accrued	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price	income/expense				Translation	
Acquisition Date	Local cost/share						
Common stock							
KEYCORP NEW COM CUSIP 493267108	20,710 000 7 690	0 00	159,259 90	176,377 39	- 17,117 49	0 00	- 17 117 49
30 Jul 10	4,940 000	8 46	37,988 60	41,808 21	- 3 819 61	0 00	- 3,819 61
2 Aug 10	1,830 000	8 86	14,072 70	16,211 06	- 2 138 36	0 00	- 2,138 36
5 Aug 10	5,140 000	8 48	39,526 60	43 589 77	- 4 063 17	0 00	- 4,063 17
9 Aug 10	3,010 000	8 33	23,146 90	25 063 07	- 1,916 17	0 00	- 1,916 17
10 Aug 10	2,250 000	8 42	17,302 50	18,949 05	- 1 646 55	0 00	- 1,646 55
22 Dec 10	3,540 000	8 69	27,222 60	30,756 23	- 3,533 63	0 00	- 3 533 63
KIMCO RLTY CORP COM SEDOL 2491594	2,500 000 16 240	475 00	40,600 00	44,230 42	- 3,630 42	0 00	- 3 630 42
12 Jan 11	400 000	17 93	6,496 00	7,171 08	- 675 08	0 00	- 675 08
20 Apr 11	300 000	18 59	4,872 00	5 575 65	- 703 65	0 00	- 703 65
21 Apr 11	200 000	18 70	3,248 00	3 740 22	- 492 22	0 00	- 492 22
20 Apr 11	200 000	18 54	3,248 00	3,708 10	- 460 10	0 00	- 460 10
12 Jan 11	200 000	17 99	3,248 00	3,597 50	- 349 50	0 00	- 349 50
1 Dec 10	200 000	16 77	3,248 00	3,354 92	- 106 92	0 00	- 106 92
24 Nov 10	200 000	16 55	3,248 00	3,310 96	- 62 96	0 00	- 62 96
13 Jan 11	100 000	17 97	1,624 00	1,796 69	- 172 69	0 00	- 172 69
7 Dec 10	100 000	17 74	1,624 00	1 773 51	- 149 51	0 00	- 149 51
6 Dec 10	100 000	17 42	1,624 00	1,741 79	- 117 79	0 00	- 117 79
8 Dec 10	100 000	17 37	1,624 00	1 736 84	- 112 84	0 00	- 112 84
9 Dec 10	100 000	17 20	1,624 00	1,720 42	- 96 42	0 00	- 96 42
2 Dec 10	100 000	17 08	1,624 00	1,707 96	- 83 96	0 00	- 83 96
29 Nov 10	100 000	16 64	1,624 00	1,664 19	- 40 19	0 00	- 40 19
23 Nov 10	100 000	16 31	1,624 00	1,630 59	- 6 59	0 00	- 6 59

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
KRATON PERFORMANCE POLYMERS INC COM STK CUSIP 50077C106	5,160 000 20 300		0 00	104,748 00	168,760 76	- 64,012 76	0 00	- 64,012 76
21 Jun 11	3,666 000	37 50		74,419 80	137,492 34	- 63,072 54	0 00	- 63 072 54
5 Dec 11	318 000	21 22		6,455 40	6,747 96	- 292 56	0 00	- 292 56
6 Dec 11	801 000	20 88		16,260 30	16,726 08	- 465 78	0 00	- 465 78
7 Dec 11	375 000	20 79		7,612 50	7,794 38	- 181 88	0 00	- 181 88
KS CY SOUTHN CUSIP 485170302	2,464 000 68 010		0 00	167 576 64	118,318 57	49 258 07	0 00	49,258 07
29 Dec 10	2,464 000	48 02		167,576 64	118,318 57	49,258 07	0 00	49 258 07
LAS VEGAS SANDS CORP COM STK CUSIP 517834107	1,277 000 42 730		0 00	54,566 21	61,532 88	- 6,966 67	0 00	- 6,966 67
2 Nov 11	1,277 000	48 19		54,566 21	61,532 88	- 6,966 67	0 00	- 6,966 67
LEUCADIA NATL CORP COM CUSIP 527288104	7,990 000 22 740		0 00	181 692 60	150,897 69	30 794 91	0 00	30,794 91
16 Dec 08	3,660 000	19 81		83 228 40	72,495 82	10,732 58	0 00	10,732 58
17 Dec 08	1,600 000	20 70		36,384 00	33,112 80	3 271 20	0 00	3,271 20
13 Mar 09	2,230 000	13 60		50,710 20	30,325 32	20,384 88	0 00	20,384 88
31 Aug 11	500 000	29 93		11 370 00	14,963 75	- 3 593 75	0 00	- 3,593 75
LIBERTY PPTY TR SH BEN INT SEDOL 2513681	2,500 000 30 880		1,187 50	77 200 00	61,759 41	15,440 59	0 00	15,440 59
18 Dec 08	500 000	21 67		15 440 00	10,834 00	4,606 00	0 00	4,606 00
12 Mar 08	300 000	30 13		9,264 00	9,037 53	226 47	0 00	226 47
3 Mar 09	500 000	17 72		15 440 00	8,862 40	6,577 60	0 00	6,577 60
9 Nov 09	200 000	30 16		6,176 00	6 031 78	144 22	0 00	144 22

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
13 Mar 08	200 000	29 87		6,176 00	5,974 62	201 38	0 00	201 38
17 Mar 08	200 000	29 80		6,176 00	5 960 06	215 94	0 00	215 94
10 Nov 09	100 000	30 12		3,088 00	3 012 46	75 54	0 00	75 54
14 Mar 08	100 000	29 81		3,088 00	2 981 49	106 51	0 00	106 51
30 Jun 10	100 000	29 23		3,088 00	2 923 42	164 58	0 00	164 58
7 Jan 09	100 000	21 49		3,088 00	2 149 12	938 88	0 00	938 88
8 Jan 09	100 000	21 46		3,088 00	2,146 33	941 67	0 00	941 67
18 Feb 09	100 000	18 46		3,088 00	1,846 20	1 241 80	0 00	1,241 80
LKQ CORP COM LKQ CORP CUSIP 501889208	2 027 000 30 080		0 00	60 972 16	60,400 95	571 21	0 00	571 21
12 Dec 11	2 027 000	29 80		60 972 16	60,400 95	571 21	0 00	571 21
LOCKHEED MARTIN CORP COM CUSIP 539830109	6 140 000 80 900		0 00	496,726 00	462 387 30	34,338 70	0 00	34,338 70
23 Jul 09	2 000 000	75 12		161,800 00	150,242 80	11,557 20	0 00	11,557 20
6 Jun 11	864 000	77 33		69,897 60	66,813 81	3,083 79	0 00	3,083 79
24 Jul 09	800 000	75 01		64,720 00	60,005 20	4,714 80	0 00	4 714 80
13 Jun 11	676 000	78 66		54,688 40	53,176 53	1,511 87	0 00	1,511 87
24 Jul 09	200 000	74 04		16,180 00	14,808 00	1,372 00	0 00	1,372 00
29 Jul 09	400 000	74 34		32,360 00	29,735 56	2,624 44	0 00	2,624 44
18 Aug 09	500 000	74 03		40,450 00	37,014 90	3,435 10	0 00	3,435 10
22 Oct 09	500 000	70 64		40,450 00	35,318 80	5 131 20	0 00	5,131 20
19 Oct 11	200 000	76 36		16,180 00	15 271 70	908 30	0 00	908 30
LORILLARD INC COM STK CUSIP 544147101	450 000 114 000		0 00	51,300 00	49 469 98	1,830 02	0 00	1 830 02
25 May 11	50 000	113 79		5,700 00	5,689 34	10 66	0 00	10 66

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account Number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
28 Jun 11	400 000	109 45		45,600 00	43 780 64	1,819 36	0 00	1,819 36
LUFKIN INDS INC COM CUSIP: 549764108	2,420 000 67 310		0 00	162,890 20	138 202 28	24,687 92	0 00	24,687 92
9 Aug 11	497 000	62 81		33,453 07	31 216 12	2,236 95	0 00	2,236 95
9 Aug 11	753 000	64 23		50 684 43	48,366 70	2 317 73	0 00	2 317 73
10 Oct 11	1,170 000	50 10		78 752 70	58,619 46	20 133 24	0 00	20 133 24
MACERICH CO REIT SEDOL: 2543967	2,376 000 50 600		0 00	120,225 60	73,159 24	47 066 36	0 00	47 066 36
22 Oct 09	800 000	29 00		40,480 00	23 200 00	17,280 00	0 00	17,280 00
17 Oct 08	400 000	34 46		20,240 00	13,782 96	6 457 04	0 00	6,457 04
31 Dec 09	246 690	35 91		12,482 51	8,859 30	3 623 21	0 00	3,623 21
28 Oct 09	200 000	28 68		10,120 00	5,735 28	4 384 72	0 00	4,384 72
30 Oct 08	200 000	25 71		10 120 00	5,141 58	4 978 42	0 00	4,978 42
17 Feb 10	100 000	33 69		5,060 00	3,369 14	1,690 86	0 00	1,690 86
17 Feb 10	100 000	33 68		5,060 00	3,368 12	1,691 88	0 00	1,691 88
16 Oct 08	100 000	32 94		5,060 00	3 293 77	1,766 23	0 00	1,766 23
22 Oct 09	100 000	30 26		5 060 00	3,025 51	2,034 49	0 00	2,034 49
21 Dec 09	51 020	30 16		2,581 62	1,538 62	1,043 00	0 00	1,043 00
21 Sep 09	32 830	28 51		1 661 19	936 04	725 15	0 00	725 15
22 Jun 09	45 460	19 99		2 300 28	908 92	1,391 36	0 00	1,391 36
MACYS INC COM STK CUSIP: 55616P104	19,000 000 32 180		1,900 00	611,420 00	359,972 48	251,447 52	0 00	251,447 52
6 Jun 06	1,400 000	35 46		45 052 00	49,637 42	- 4 585 42	0 00	- 4 585 42
8 Jun 06	2,400 000	35 41		77 232 00	84 987 36	- 7,755 36	0 00	- 7,755 36
17 Dec 07	2,300 000	27 94		74 014 00	64,271 66	9,742 34	0 00	9,742 34

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 Dec 2011

Account number: 11111111
Account name: WINSTOCK

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
19 Dec 07	1 100 000	26 79		35,398 00	29 473 18	5,924 82	0 00	5 924 82
14 Oct 08	2 700 000	11 19		86,886 00	30 217 32	56,668 68	0 00	56,668 68
15 Oct 08	1,000 000	10 31		32,180 00	10 314 80	21,865 20	0 00	21 865 20
17 Dec 08	3 300 000	8 61		106 194 00	28 409 04	77,784 96	0 00	77 784 96
27 Feb 09	3,200 000	7 95		102 976 00	25 448 96	77,527 04	0 00	77 527 04
24 Mar 09	500 000	9 15		16,090 00	4 576 40	11,513 60	0 00	11 513 60
19 Oct 11	1 100 000	29 67		35,398 00	32 636 34	2 761 66	0 00	2 761 66
MADDEN STEVEN LTD COM CUSIP 556269108	3 600 000 34 500		0 00	124 200 00	127 883 35	- 3,683 35	0 00	- 3 683 35
21 Jun 11	2 560 000	36 26		88,320 00	92 817 71	- 4,497 71	0 00	- 4 497 71
6 Sep 11	526 000	32 95		18,147 00	17 333 38	813 62	0 00	813 62
7 Sep 11	454 000	34 48		15,663 00	15,654 78	8 22	0 00	8 22
8 Sep 11	60 000	34 62		2,070 00	2,077 48	- 7 48	0 00	- 7 48
MARATHON OIL CORP COM CUSIP 565849106	4 524 000 29 270		0 00	132 417 48	107,033 38	25,384 10	0 00	25,384 10
19 Sep 11	1 959 000	25 02		57,339 93	49,010 26	8,329 67	0 00	8,329 67
26 Sep 11	2,565 000	22 62		75,077 55	58,023 12	17,054 43	0 00	17,054 43
MARKETAXESS HLDGS INC COM STK CUSIP 57060D108	6,290 000 30 110		0 00	189,391 90	155,425 81	33,966 09	0 00	33,966 09
21 Jun 11	4,710 000	23 56		141,818 10	110,965 25	30,852 85	0 00	30,852 85
28 Jun 11	20 000	24 98		602 20	499 60	102 60	0 00	102 60
11 Nov 11	1,560 000	28 18		46,971 60	43,960 96	3 010 64	0 00	3,010 64
MASTERCARD INC CL A CUSIP 57636Q104	987 000 372 820		0 00	367,973 34	334,308 15	33,665 19	0 00	33,665 19

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
22 Nov 11	150 000	355 76		55,923 00	53,363 52	2 559 48	0 00	2,559 48
29 Dec 10	212 000	225 78		79,037 84	47,865 36	31 172 48	0 00	31,172 48
1 Dec 11	325 000	379 47		121 166 50	123,326 45	- 2,159 95	0 00	- 2,159 95
13 Dec 11	125 000	371 95		46 602 50	46,494 20	108 30	0 00	108 30
15 Dec 11	175 000	361 48		65 243 50	63,258 62	1,984 88	0 00	1,984 88
MAXIMUS INC COM CUSIP 577933104	5,650 000 41 350		0 00	233,627 50	225,270 64	8,356 86	0 00	8 356 86
21 Jun 11	4,860 000	40 02		200,961 00	194 492 93	6,468 07	0 00	6,468 07
28 Jun 11	20 000	40 94		827 00	818 80	8 20	0 00	8 20
4 Aug 11	199 000	38 62		8,228 65	7,685 68	542 97	0 00	542 97
5 Aug 11	542 000	39 03		22,411 70	21,152 69	1 259 01	0 00	1,259 01
8 Aug 11	17 000	38 85		702 95	660 49	42 46	0 00	42 46
9 Aug 11	12 000	38 34		496 20	460 05	36 15	0 00	36 15
MC DONALDS CORP COM CUSIP 580135101	1 100 000 100 330		0 00	110,363 00	105,061 61	5,301 39	0 00	5,301 39
16 Nov 11	700 000	93 87		70,231 00	65,709 77	4 521 23	0 00	4 521 23
15 Dec 11	400 000	98 38		40,132 00	39,351 84	780 16	0 00	780 16
MCKESSON CORP CUSIP 58155Q103	937 000 77 910		187 40	73,001 67	79 224 10	- 6,222 43	0 00	- 6,222 43
27 Oct 11	937 000	84 55		73 001 67	79,224 10	- 6 222 43	0 00	- 6,222 43
MEN S WEARHOUSE INC COMMOM CUSIP 587118100	7,380 000 32 410		0 00	239,185 80	100 719 50	138,466 30	0 00	138,466 30
16 Dec 08	2,730 000	13 33		88,479 30	36,389 54	52,089 76	0 00	52,089 76
17 Dec 08	4,650 000	13 83		150,706 50	64,329 96	86,376 54	0 00	86 376 54

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
METLIFE INC COM CUSIP: 59156R108	12,900 000 31 180		0 00	402,222 00	450,211 38	- 47,989 38	0 00	- 47,989 38
1 Sep 09	4,400 000	35 90		137,192 00	157,952 96	- 20 760 96	0 00	- 20,760 96
1 Oct 09	800 000	36 65		24 944 00	29,316 72	- 4,372 72	0 00	- 4 372 72
2 Oct 09	1,100 000	35 45		34 298 00	38,991 81	- 4,693 81	0 00	- 4,693 81
21 Jan 10	700 000	37 33		21,826 00	26,128 69	- 4,302 69	0 00	- 4 302 69
22 Jan 10	1,100 000	36 65		34,298 00	40,313 02	- 6,015 02	0 00	- 6,015 02
22 Feb 10	2,200 000	35 41		68,596 00	77,910 14	- 9,314 14	0 00	- 9 314 14
23 Feb 10	600 000	34 77		18,708 00	20,860 20	- 2 152 20	0 00	- 2,152 20
22 Sep 11	1,400 000	28 07		43,652 00	39 298 14	4 353 86	0 00	4,353 86
19 Oct 11	600 000	32 40		18,708 00	19 439 70	- 731 70	0 00	- 731 70
MICROSOFT CORP COM CUSIP: 594918104	18,801 000 25 960		0 00	488,073 96	462,313 46	25,760 50	0 00	25,760 50
16 Jun 04	8,200 000	27 27		212,872 00	223,599 24	- 10 727 24	0 00	- 10,727 24
29 Dec 10	600 000	28 13		15,576 00	16,877 88	- 1 301 88	0 00	- 1,301 88
17 Dec 08	1,900 000	19 99		49,324 00	37,981 00	11,343 00	0 00	11,343 00
19 Apr 11	2 528 000	25 08		65,626 88	63,403 00	2,223 88	0 00	2,223 88
27 Feb 09	2,200 000	16 32		57,112 00	35,912 58	21 199 42	0 00	21 199 42
26 Aug 11	2,373 000	25 29		61,603 08	60,014 83	1,588 25	0 00	1,588 25
24 Mar 09	300 000	18 05		7,788 00	5,415 00	2,373 00	0 00	2,373 00
19 Oct 11	700 000	27 30		18,172 00	19,109 93	- 937 93	0 00	- 937 93
MOLEX INC CL A CUSIP: 608554200	11 733 000 19 780		2,346 60	232 078 74	178,884 28	53,194 46	0 00	53 194 46
22 Jan 09	1,520 000	12 17		30,065 60	18,492 62	11,572 98	0 00	11,572 98
2 Mar 09	1,420 000	9 65		28,087 60	13 699 59	14,388 01	0 00	14,388 01

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
22 Apr 09	2,250 000	14 48		44 505 00	32,574 60	11,930 40	0 00	11,930 40
25 Aug 09	2,260 000	17 13		44 702 80	38,708 38	5,994 42	0 00	5,994 42
29 Jul 10	99 000	17 05		1,958 22	1,687 46	270 76	0 00	270 76
29 Jul 10	40 000	16 91		791 20	676 56	114 64	0 00	114 64
11 Aug 10	1,281 000	16 23		25 338 18	20,789 48	4,548 70	0 00	4,548 70
22 Dec 10	2,033 000	18 83		40 212 74	38,279 97	1,932 77	0 00	1,932 77
23 Aug 11	830 000	16 84		16 417 40	13,975 62	2,441 78	0 00	2,441 78
MONSANTO CO NEW COM CUSIP 61166W101	8 900 000 70 070		0 00	623,623 00	567,838 37	55,784 63	0 00	55,784 63
2 Nov 09	3 000 000	68 07		210 210 00	204,200 10	6,009 90	0 00	6,009 90
22 Nov 10	2,200 000	60 55		154 154 00	133,199 44	20 954 56	0 00	20,954 56
3 Nov 09	900 000	67 86		63,063 00	61,075 17	1,987 83	0 00	1,987 83
4 Jan 11	1 200 000	68 79		84,084 00	82 546 20	1 537 80	0 00	1,537 80
17 Jun 10	500 000	50 01		35,035 00	25 003 80	10,031 20	0 00	10 031 20
21 Jun 10	800 000	49 90		56,056 00	39 916 96	16,139 04	0 00	16,139 04
19 Oct 11	300 000	72 99		21,021 00	21 896 70	- 875 70	0 00	- 875 70
NATIONAL OILWELL VARCO COM STK CUSIP 637071101	1,600 000 67 990		0 00	108,784 00	77,363 64	31 420 36	0 00	31,420 36
14 Oct 10	1,500 000	48 35		101,985 00	72,522 30	29,462 70	0 00	29,462 70
21 Oct 10	100 000	48 41		6,799 00	4,841 34	1,957 66	0 00	1,957 66
NIKE INC CL B CUSIP 654106103	9,600 000 96 370		3,456 00	925,152 00	450,843 16	474,308 84	0 00	474,308 84
13 Mar 00	600 000	14 42		57,822 00	8,653 89	49,168 11	0 00	49 168 11
25 Oct 11	1,200 000	94 90		115 644 00	113,881 32	1,762 68	0 00	1,762 68
13 Mar 00	50 000	13 92		4,818 50	696 10	4,122 40	0 00	4,122 40

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued Income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
2 Nov 11	800 000	94 75		77 096 00	75,802 88	1 293 12	0 00	1,293 12
14 Mar 00	50 000	14 59		4,818 50	729 39	4 089 11	0 00	4,089 11
12 May 03	100 000	26 94		9,637 00	2,694 08	6,942 92	0 00	6,942 92
12 May 03	100 000	26 80		9,637 00	2,680 49	6,956 51	0 00	6,956 51
12 May 03	25 000	27 18		2 409 25	679 38	1,729 87	0 00	1,729 87
13 May 03	37 500	27 48		3,613 87	1,030 63	2,583 24	0 00	2,583 24
27 May 03	75 000	26 95		7 227 75	2,021 62	5,206 13	0 00	5,206 13
27 May 03	25 000	26 75		2,409 25	668 72	1,740 53	0 00	1,740 53
28 May 03	25 000	27 33		2,409 25	683 29	1,725 96	0 00	1,725 96
29 May 03	50 000	27 44		4,818 50	1,371 78	3,446 72	0 00	3,446 72
11 Jun 03	100 000	27 69		9,637 00	2,769 34	6,867 66	0 00	6,867 66
13 Jun 03	50 000	27 49		4,818 50	1,374 73	3,443 77	0 00	3,443 77
13 Jun 03	112 500	27 44		10 841 63	3,087 17	7,754 46	0 00	7,754 46
26 Jun 03	125 000	28 52		12,046 25	3,564 38	8,481 87	0 00	8,481 87
5 Dec 03	200 000	32 72		19,274 00	6,544 40	12,729 60	0 00	12,729 60
8 Dec 03	275 000	32 69		26,501 75	8,989 05	17,512 70	0 00	17,512 70
26 Dec 03	1,600 000	33 90		154,192 00	54,234 08	99,957 92	0 00	99,957 92
29 Dec 03	3,600 000	33 83		346,932 00	121,791 60	225,140 40	0 00	225,140 40
19 Oct 11	400 000	92 24		38,548 00	36,894 84	1,653 16	0 00	1,653 16
NOBLE ENERGY INC COM CUSIP 655044105	648 000 94 390		0 00	61,164 72	61,599 72	- 435 00	0 00	- 435 00
11 Nov 11	648 000	95 06		61,164 72	61,599 72	- 435 00	0 00	- 435 00
NUANCE COMMUNICATIONS INC COM CUSIP 67020Y100	2,600 000 25 160		0 00	65,416 00	67,334 18	- 1,918 18	0 00	- 1,918 18
24 Oct 11	1,400 000	26 12		35,224 00	36,561 98	- 1,337 98	0 00	- 1,337 98

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Winthrop Rockefeller Foundation
Statement 21
Page 98 of 132

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Transtation	Total
Common stock								
25 Oct 11	1,200 000	25 64		30,192 00	30,772 20	- 580 20	0 00	- 580 20
OCEANEERING INTL INC COM CUSIP 675232102	1,100 000 46 130		0 00	50,743 00	52,447 12	- 1,704 12	0 00	- 1,704 12
9 Dec 11	1,100 000	47 68		50,743 00	52 447 12	- 1,704 12	0 00	- 1,704 12
OMNICELL INC COM CUSIP 68213N109	5,162 000 16 520		0 00	85,276 24	86,436 75	- 1,160 51	0 00	- 1,160 51
1 Dec 11	635 000	16 30		10,490 20	10,353 23	136 97	0 00	136 97
5 Dec 11	644 000	16 99		10,638 88	10,940 72	- 301 84	0 00	- 301 84
6 Dec 11	714 000	16 86		11,795 28	12,039 47	- 244 19	0 00	- 244 19
7 Dec 11	476 000	16 87		7,863 52	8,027 93	- 164 41	0 00	- 164 41
8 Dec 11	635 000	16 64		10,490 20	10,568 05	- 77 85	0 00	- 77 85
8 Dec 11	794 000	16 87		13 116 88	13,394 78	- 277 90	0 00	- 277 90
9 Dec 11	635 000	16 71		10,490 20	10,610 60	- 120 40	0 00	- 120 40
12 Dec 11	317 000	16 51		5,236 84	5,235 16	1 68	0 00	1 68
13 Dec 11	312 000	16 88		5,154 24	5,266 81	- 112 57	0 00	- 112 57
ONEOK INC COM STK CUSIP 682680103	2,540 000 86 690		0 00	220,192 60	71 895 71	148,296 89	0 00	148,296 89
16 Dec 08	2,540 000	28 31		220,192 60	71 895 71	148,296 89	0 00	148,296 89
OPNET TECHNOLOGIES INC COM ISIN US6837571081 CUSIP 683757108	4,300 000 36 670		0 00	157,681 00	161,382 25	- 3,701 25	0 00	- 3,701 25
21 Jun 11	2,530 000	38 50		92,775 10	97,410 95	- 4 635 85	0 00	- 4,635 85
28 Jun 11	10 000	40 30		366 70	403 00	- 36 30	0 00	- 36 30
22 Sep 11	558 000	35 46		20 461 86	19,788 58	673 28	0 00	673 28
23 Sep 11	393 000	35 28		14 411 31	13 866 45	544 86	0 00	544 86

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
26 Sep 11	192 000	35 65		7,040 64	6 845 36	195 28	0 00	195 28
27 Sep 11	384 000	37 71		14 081 28	14,482 33	- 401 05	0 00	- 401 05
28 Sep 11	104 000	36 58		3,813 68	3,803 84	9 84	0 00	9 84
28 Sep 11	52 000	38 01		1,906 84	1,976 51	- 69 67	0 00	- 69 67
29 Sep 11	77 000	36 43		2,823 59	2 805 23	18 36	0 00	18 36
ORACLE CORP COM CUSIP 68389X105	10,353 000 25 650		0 00	265,554 45	303 230 27	- 37,675 82	0 00	- 37 675 82
9 Feb 10	3 500 000	23 47		89 775 00	82,128 55	7 646 45	0 00	7 646 45
29 Dec 10	3,896 000	31 66		99,932 40	123,347 36	- 23,414 96	0 00	- 23 414 96
26 Jan 11	1,700 000	32 58		43,605 00	55,377 50	- 11,772 50	0 00	- 11,772 50
14 Apr 11	1,257 000	33 71		32,242 05	42,376 86	- 10,134 81	0 00	- 10,134 81
PANERA BREAD CO CL A CUSIP 69840W108	655 000 141 450		0 00	92,649 75	79,754 18	12,895 57	0 00	12,895 57
21 Jun 11	655 000	121 76		92,649 75	79 754 18	12 895 57	0 00	12,895 57
PEBBLEBROOK HOTEL TR COM STK SEDOL B4XBV9	1,200 000 19 180		144 00	23,016 00	22,521 48	494 52	0 00	494 52
23 Jul 10	600 000	17 00		11,508 00	10,200 00	1,308 00	0 00	1,308 00
8 Dec 09	400 000	20 00		7,672 00	8,000 00	- 328 00	0 00	- 328 00
1 Apr 11	100 000	21 61		1,918 00	2 161 48	- 243 48	0 00	- 243 48
1 Apr 11	100 000	21 60		1,918 00	2,160 00	- 242 00	0 00	- 242 00
PENNEY J C CO INC COM CUSIP 708160106	8 680 000 35 150		0 00	305,102 00	271,547 03	33,554 97	0 00	33,554 97
26 Jan 11	2,990 000	32 21		105,098 50	96,295 34	8,803 16	0 00	8,803 16
28 Jan 11	1,500 000	32 29		52,725 00	48,441 90	4,283 10	0 00	4,283 10

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
2 Feb 11	1,800 000	31 06		63 270 00	55,902 78	7 367 22	0 00	7,367 22
11 Feb 11	750 000	36 24		26 362 50	27,179 33	- 816 83	0 00	- 816 83
12 Aug 11	188 000	26 34		6,608 20	4 951 98	1,656 22	0 00	1 656 22
15 Aug 11	172 000	26 81		6,045 80	4 612 11	1,433 69	0 00	1 433 69
16 Aug 11	549 000	26 56		19 297 35	14,579 35	4,718 00	0 00	4,718 00
17 Aug 11	231 000	25 91		8,119 65	5,984 24	2,135 41	0 00	2,135 41
31 Aug 11	500 000	27 20		17 575 00	13,600 00	3 975 00	0 00	3,975 00
PHILIP MORRIS INTL COM STK NPV CUSIP 718172109	4 096 000 78 480		3,153 92	321 454 08	240,328 30	81,125 78	0 00	81,125 78
29 Dec 10	2 034 000	58 67		159 628 32	119,342 72	40 285 60	0 00	40 285 60
29 Dec 10	2,062 000	58 67		161 825 76	120,985 58	40,840 18	0 00	40 840 18
PIER 1 IMPORTS INC COM CUSIP 720279108	15,880 000 13 930		0 00	221 208 40	178,309 23	42,899 17	0 00	42,899 17
21 Jun 11	12,030 000	11 55		167 577 90	138,994 95	28,582 95	0 00	28,582 95
28 Jun 11	50 000	11 52		696 50	576 00	120 50	0 00	120 50
5 Oct 11	2,064 000	10 04		28 751 52	20,732 47	8 019 05	0 00	8,019 05
6 Oct 11	1,552 000	10 35		21,619 36	16 063 82	5 555 54	0 00	5,555 54
7 Oct 11	184 000	10 55		2 563 12	1,941 99	621 13	0 00	621 13
PLUM CREEK TIMBER CO INC COM CUSIP 729251108	8,500 000 36 560		0 00	310,760 00	283 468 76	27 291 24	0 00	27 291 24
16 Dec 08	2,830 000	34 44		103 464 80	97,453 60	6,011 20	0 00	6,011 20
17 Dec 08	4,100 000	35 89		149,896 00	147,140 39	2 755 61	0 00	2,755 61
2 Mar 09	1,570 000	24 76		57,399 20	38,874 77	18,524 43	0 00	18,524 43

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued Income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
POST PPTYS INC REIT SEDOL 2705262	900 000 43 720		198 00	39 348 00	34,231 49	5,116 51	0 00	5,116 51
29 Nov 11	600 000	37 75		26 232 00	22,647 84	3,584 16	0 00	3,584 16
2 Dec 11	100 000	40 21		4,372 00	4,020 92	351 08	0 00	351 08
28 Nov 11	100 000	37 86		4 372 00	3,786 16	585 84	0 00	585 84
28 Nov 11	100 000	37 77		4 372 00	3,776 57	595 43	0 00	595 43
PRECISION CASTPARTS CORP COM CUSIP 740189105	2,100 000 164 790		63 00	346 059 00	231,586 19	114,472 81	0 00	114 472 81
28 Apr 09	700 000	71 23		115,353 00	49,861 35	65,491 65	0 00	65 491 65
30 Apr 09	600 000	76 84		98,874 00	46,102 98	52,771 02	0 00	52,771 02
15 Sep 11	600 000	168 85		98,874 00	101,311 62	- 2 437 62	0 00	- 2 437 62
27 Oct 11	200 000	171 55		32,958 00	34,310 24	- 1,352 24	0 00	- 1,352 24
PRICELINE COM INC COM NEW STK CUSIP 741503403	269 000 467 710		0 00	125 813 99	108,598 82	17 215 17	0 00	17,215 17
29 Dec 10	269 000	403 71		125,813 99	108 598 82	17,215 17	0 00	17,215 17
PROLOGIS INC COM SEDOL B44WZD7	5,290 000 28 590		0 00	151,241 10	112 759 70	38,481 40	0 00	38,481 40
26 Oct 10	624 960	27 55		17 867 61	17,220 00	647 61	0 00	647 61
8 Apr 09	1,116 000	14 78		31,906 44	16,500 00	15 406 44	0 00	15 406 44
23 Jun 11	400 000	33 50		11,436 00	13,400 00	- 1,964 00	0 00	- 1,964 00
6 Dec 10	300 000	31 10		8 577 00	9,330 06	- 753 06	0 00	- 753 06
3 Mar 09	700 000	10 40		20 013 00	7,278 81	12,734 19	0 00	12,734 19
21 Jan 11	199 120	33 21		5,692 84	6,612 58	- 919 74	0 00	- 919 74
7 Dec 10	200 000	31 73		5,718 00	6 345 14	- 627 14	0 00	- 627 14

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
4 Jan 11	178 560	32 89		5,105 03	5,872 80	- 767 77	0 00	- 767 77
3 Mar 09	446 400	12 03		12,762 57	5,371 30	7,391 27	0 00	7 391 27
18 Feb 09	267 840	15 47		7,657 55	4 144 44	3,513 11	0 00	3,513 11
27 Oct 10	133 920	29 54		3,828 77	3 955 47	- 126 70	0 00	- 126 70
20 Jan 11	100 000	33 11		2,859 00	3 310 80	- 451 80	0 00	- 451 80
3 Dec 10	100 000	30 79		2,859 00	3 078 66	- 219 66	0 00	- 219 66
1 Dec 10	100 000	29 70		2,859 00	2,970 04	- 111 04	0 00	- 111 04
4 Jan 11	89 280	32 84		2,552 52	2,932 00	- 379 48	0 00	- 379 48
25 Mar 09	200 000	12 15		5,718 00	2,430 00	3,288 00	0 00	3,288 00
8 Apr 09	133 920	14 99		3 828 77	2,007 60	1,821 17	0 00	1,821 17
PROSPERITY BANCSHARES INC COM CUSIP 743606105	5,990 000 40 350		1,168 05	241,696 50	260,035 82	- 18 339 32	0 00	- 18,339 32
21 Jun 11	5,130 000	43 82		206 995 50	224,785 65	- 17 790 15	0 00	- 17,790 15
28 Jun 11	20 000	43 40		807 00	867 96	- 60 96	0 00	- 60 96
4 Aug 11	840 000	40 93		33 894 00	34,382 21	- 488 21	0 00	- 488 21
PUB STORAGE COM SEDOL 2852533	1,100 000 134 460		0 00	147,906 00	99,025 48	48,880 52	0 00	48,880 52
3 Mar 09	300 000	49 96		40,338 00	14 986 92	25,351 08	0 00	25,351 08
16 Aug 11	100 000	120 54		13,446 00	12,053 79	1,392 21	0 00	1,392 21
11 May 11	100 000	119 25		13,446 00	11,924 95	1,521 05	0 00	1,521 05
2 Aug 11	100 000	117 69		13 446 00	11,769 02	1,676 98	0 00	1,676 98
2 Aug 11	100 000	117 03		13,446 00	11,703 28	1,742 72	0 00	1,742 72
3 Aug 11	100 000	116 05		13 446 00	11,604 72	1,841 28	0 00	1,841 28
8 Jul 10	100 000	90 52		13 446 00	9,051 75	4,394 25	0 00	4,394 25
8 Jul 10	100 000	90 35		13,446 00	9,034 54	4,411 46	0 00	4,411 46

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
23 Oct 08	100 000	68 97		13,446 00	6,896 51	6,549 49	0 00	6,549 49
QUALCOMM INC COM CUSIP 747525103	3,800 000 54 700		0 00	207,860 00	205,778 14	2,081 86	0 00	2,081 86
3 Feb 11	2 000 000	54 46		109 400 00	108,928 60	471 40	0 00	471 40
20 Sep 11	1,800 000	53 81		98,460 00	96 849 54	1,610 46	0 00	1,610 46
QUALITY SYS INC COM STK CUSIP 747582104	2,220 000 36 990		388 50	82,117 80	77,608 22	4,509 58	0 00	4,509 58
27 Apr 10	844 000	33 79		31,219 56	28,521 42	2,698 14	0 00	2,698 14
27 Apr 10	58 000	33 70		2,145 42	1,954 68	190 74	0 00	190 74
1 Jun 10	272 000	28 51		10 061 28	7,753 59	2 307 69	0 00	2,307 69
2 Jun 10	224 000	28 42		8,285 76	6,365 98	1,919 78	0 00	1,919 78
15 Aug 11	162 000	40 64		5,992 38	6,583 89	- 591 51	0 00	- 591 51
16 Aug 11	296 000	40 35		10,949 04	11,942 76	- 993 72	0 00	- 993 72
17 Aug 11	154 000	40 83		5,696 46	6,287 32	- 590 86	0 00	- 590 86
18 Aug 11	210 000	39 04		7,767 90	8,198 58	- 430 68	0 00	- 430 68
RANGE RES CORP COM CUSIP 75281A109	3,310 000 61 940		0 00	205,021 40	168,094 11	36,927 29	0 00	36 927 29
1 Mar 10	890 000	51 08		55,126 60	45,465 21	9,661 39	0 00	9 661 39
4 Mar 10	960 000	49 80		59,462 40	47,810 78	11 651 62	0 00	11 651 62
9 Mar 10	520 000	51 31		32,208 80	26 682 60	5,526 20	0 00	5,526 20
12 Mar 10	940 000	51 21		58 223 60	48,135 52	10,088 08	0 00	10 088 08
RAYMOND JAMES FNCL INC COM STK CUSIP 754730109	6 010 000 30 960		781 30	186,069 60	136 266 47	49,803 13	0 00	49,803 13
24 Aug 09	1,840 000	23 01		56 966 40	42,340 79	14,625 61	0 00	14,625 61

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account Number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
27 Aug 09	30 000	23 04		928 80	691 09	237 71	0 00	237 71
31 Aug 09	1,880 000	22 75		58,204 80	42 774 89	15,429 91	0 00	15,429 91
8 Sep 09	2,260 000	22 33		69,969 60	50,459 70	19 509 90	0 00	19 509 90
ROSETTA RES INC COM CUSIP 777779307	3,020 000 43 500		0 00	131,370 00	139,467 37	- 8,097 37	0 00	- 8,097 37
21 Jun 11	2,580 000	46 50		112 230 00	119,967 35	- 7,737 35	0 00	- 7,737 35
27 Oct 11	359 000	43 94		15,616 50	15,773 49	- 156 99	0 00	- 156 99
28 Oct 11	81 000	46 01		3,523 50	3,726 53	- 203 03	0 00	- 203 03
ROSS STORES INC COM CUSIP 778296103	1,486 000 47 530		0 00	70,629 58	52,752 55	17 877 03	0 00	17 877 03
22 Aug 11	1,486 000	35 50		70,629 58	52,752 55	17,877 03	0 00	17,877 03
RPC INC COM CUSIP 749660106	2 781 000 18 250		0 00	50,753 25	58 285 61	- 7,532 36	0 00	- 7,532 36
7 Nov 11	695 000	20 69		12,683 75	14 380 59	- 1,696 84	0 00	- 1,696 84
8 Nov 11	695 000	21 23		12,683 75	14 757 00	- 2,073 25	0 00	- 2,073 25
9 Nov 11	695 000	20 86		12,683 75	14,496 38	- 1 812 63	0 00	- 1 812 63
10 Nov 11	696 000	21 05		12,702 00	14,651 64	- 1,949 64	0 00	- 1 949 64
SALESFORCE COM INC COM STK CUSIP 79466L302	470 000 101 460		0 00	47,686 20	61,656 48	- 13,970 28	0 00	- 13,970 28
11 Nov 11	470 000	131 18		47 686 20	61,656 48	- 13,970 28	0 00	- 13 970 28
SANDISK CORP COM CUSIP 80004C101	5 600 000 49 210		0 00	275,576 00	275,247 33	328 67	0 00	328 67
21 Oct 11	3,400 000	49 06		167,314 00	166,787 68	526 32	0 00	526 32
27 Oct 11	700 000	52 08		34 447 00	36,458 45	- 2,011 45	0 00	- 2 011 45

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK1
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
21 Dec 11	1,500 000	48 00		73,815 00	72 001 20	1,813 80	0 00	1,813 80
SCHLUMBERGER LTD COM COM CUSIP 806857108	8,400 000 68 310		2 100 00	573,804 00	274,750 91	299 053 09	0 00	299,053 09
17 Mar 99	560 000	26 21		38,253 60	14 677 83	23 575 77	0 00	23,575 77
27 Nov 01	840 000	23 70		57,380 40	19,908 00	37,472 40	0 00	37,472 40
26 Dec 03	3,800 000	27 46		259 578 00	104,351 80	155,226 20	0 00	155,226 20
29 Dec 03	600 000	27 74		40 986 00	16,644 60	24,341 40	0 00	24,341 40
17 Dec 08	700 000	42 69		47,817 00	29,884 47	17,932 53	0 00	17,932 53
27 Feb 09	1,400 000	38 50		95,634 00	53 904 06	41,729 94	0 00	41,729 94
19 Oct 11	500 000	70 76		34,155 00	35 380 15	- 1 225 15	0 00	- 1,225 15
SCHNITZER STL INDS INC CL A CUSIP 806882106	3,300 000 42 280		0 00	139,524 00	107,488 84	32,035 16	0 00	32 035 16
16 Dec 08	690 000	34 86		29,173 20	24,050 85	5,122 35	0 00	5,122 35
17 Dec 08	450 000	37 56		19,026 00	16,903 89	2 122 11	0 00	2,122 11
2 Mar 09	1,200 000	25 02		50,736 00	30,020 04	20,715 96	0 00	20,715 96
8 Apr 09	660 000	34 31		27,904 80	22 647 31	5,257 49	0 00	5,257 49
31 Aug 11	300 000	46 22		12,684 00	13,866 75	- 1 182 75	0 00	- 1,182 75
SERVICESTOURCE INTERNATIONAL CUSIP 81763U100	5 940 000 15 690		0 00	93 198 60	84,397 60	8,801 00	0 00	8,801 00
15 Nov 11	4,286 000	14 02		67 247 34	60 097 86	7,149 48	0 00	7,149 48
16 Nov 11	1,654 000	14 69		25,951 26	24 299 74	1,651 52	0 00	1,651 52
SIGNATURE BK NY N Y COM CUSIP 82669G104	3,590 000 59 990		0 00	215,364 10	201 326 48	14,037 62	0 00	14,037 62
21 Jun 11	3,170 000	55 65		190,168 30	176 413 95	13 754 35	0 00	13,754 35

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
28 Jun 11	10 000	55 83		599 90	558 25	41 65	0 00	41 65
5 Dec 11	77 000	59 07		4,619 23	4,548 01	71 22	0 00	71 22
6 Dec 11	333 000	59 48		19,976 67	19,806 27	170 40	0 00	170 40
SIMON PROPERTY GROUP INC COM SEDOL 2812452	2,783 000 128 940		0 00	358 840 02	145,891 22	212,948 80	0 00	212 948 80
7 May 09	607 290	50 00		78 303 97	30,364 50	47,939 47	0 00	47 939 47
3 Mar 09	900 000	29 46		116 046 00	26,517 96	89,528 04	0 00	89 528 04
20 Sep 11	100 000	120 24		12,894 00	12,024 44	869 56	0 00	869 56
1 Aug 11	100 000	119 49		12,894 00	11 949 00	945 00	0 00	945 00
2 Aug 11	100 000	117 21		12,894 00	11,721 39	1,172 61	0 00	1,172 61
15 Aug 11	100 000	115 66		12,894 00	11,566 02	1,327 98	0 00	1,327 98
14 Jun 11	100 000	113 21		12,894 00	11,321 27	1,572 73	0 00	1,572 73
18 Feb 09	300 000	35 22		38 682 00	10,567 14	28,114 86	0 00	28,114 86
4 Mar 09	300 000	29 18		38 682 00	8,752 59	29 929 41	0 00	29 929 41
1 Jun 10	100 000	85 38		12 894 00	8,537 63	4 356 37	0 00	4 356 37
18 Mar 09	75 710	33 94		9,762 05	2 569 28	7,192 77	0 00	7,192 77
SL GREEN RLTY CORP COM STK SEDOL 2096847	4,620 000 66 640		1,130 00	307,876 80	89 138 79	218,738 01	0 00	218,738 01
16 Dec 08	440 000	21 67		29,321 60	9 534 76	19,786 84	0 00	19,786 84
6 Dec 11	200 000	67 71		13,328 00	13,541 22	- 213 22	0 00	- 213 22
2 Mar 09	2,680 000	10 53		178 595 20	28,216 11	150,379 09	0 00	150,379 09
29 Dec 11	100 000	66 65		6,664 00	6,665 05	- 1 05	0 00	- 1 05
3 Feb 09	400 000	15 50		26 656 00	6,199 72	20,456 28	0 00	20,456 28
1 Jun 10	100 000	61 73		6 664 00	6,173 04	490 96	0 00	490 96
9 Mar 10	100 000	57 49		6,664 00	5,749 21	914 79	0 00	914 79

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
8 Mar 10	100 000	57 49		6,664 00	5 749 00	915 00	0 00	915 00
12 May 09	200 000	20 75		13,328 00	4 150 00	9,178 00	0 00	9,178 00
3 Mar 09	300 000	10 54		19,992 00	3,160 68	16,831 32	0 00	16,831 32
SOURCEFIRE INC COM CUSIP 83616T108	5 720 000 32 380		0 00	185,213 60	159,348 91	25,864 69	0 00	25,864 69
21 Jun 11	5,720 000	27 86		185 213 60	159,348 91	25,864 69	0 00	25,864 69
SPIRIT AEROSYSTEMS HLDGS INC CL A CUSIP 848574109	15,106 000 20 780		0 00	313,902 68	271,635 78	42,266 90	0 00	42,266 90
26 Aug 10	1,990 000	19 16		41,352 20	38,129 79	3,222 41	0 00	3 222 41
31 Aug 10	1,970 000	19 49		40,936 60	38,392 35	2,544 25	0 00	2 544 25
10 Sep 10	200 000	19 74		4,156 00	3,948 36	207 64	0 00	207 64
10 Sep 10	200 000	19 70		4,156 00	3,940 34	215 66	0 00	215 66
14 Sep 10	270 000	19 93		5 610 60	5,380 18	230 42	0 00	230 42
14 Sep 10	528 000	19 69		10,971 84	10,398 75	573 09	0 00	573 09
21 Sep 10	1,052 000	20 23		21,860 56	21,281 01	579 55	0 00	579 55
27 Oct 10	100 000	21 27		2 078 00	2,127 29	- 49 29	0 00	- 49 29
4 Nov 10	1,580 000	18 86		32,832 40	29,801 96	3,030 44	0 00	3,030 44
12 Nov 10	2,720 000	18 14		56,521 60	49 350 86	7,170 74	0 00	7,170 74
8 Aug 11	2,376 000	15 56		49,373 28	36 969 61	12,403 67	0 00	12 403 67
16 Aug 11	210 000	15 65		4,363 80	3,285 64	1,078 16	0 00	1 078 16
17 Aug 11	146 000	15 65		3,033 88	2,285 38	748 50	0 00	748 50
18 Aug 11	514 000	14 89		10,680 92	7 655 88	3,025 04	0 00	3,025 04
23 Aug 11	1,250 000	14 95		25 975 00	18,688 38	7,286 62	0 00	7,286 62
STARBUCKS CORP COM CUSIP 855244109	2,200 000 46 010		0 00	101,222 00	99,134 20	2 087 80	0 00	2,087 80

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
20 Dec 11	2,200 000	45 06		101,222 00	99 134 20	2,087 80	0 00	2,087 80
STARWOOD HOTELS & RESORTS WORLDWIDE INC COM STK SEDOL B12GHV2	1 100 000 47 970		0 00	52,767 00	45,051 09	7,715 91	0 00	7,715 91
19 Feb 10	800 000	38 07		38,376 00	30,455 36	7,920 64	0 00	7 920 64
2 Dec 11	200 000	48 70		9,594 00	9 740 00	- 146 00	0 00	- 146 00
29 Dec 11	100 000	48 56		4,797 00	4 855 73	- 58 73	0 00	- 58 73
SVB FINL GROUP COM CUSIP 78486Q101	3,820 000 47 690		0 00	182,175 80	196 522 53	- 14,346 73	0 00	- 14,346 73
21 Jun 11	1,610 000	57 98		76,780 90	93 345 95	- 16,565 05	0 00	- 16,565 05
28 Jun 11	10 000	58 43		476 90	584 30	- 107 40	0 00	- 107 40
28 Nov 11	16 000	42 87		763 04	685 89	77 15	0 00	77 15
29 Nov 11	47 000	42 87		2,241 43	2,014 66	226 77	0 00	226 77
30 Nov 11	315 000	46 10		15 022 35	14,520 74	501 61	0 00	501 61
1 Dec 11	482 000	46 29		22,986 58	22,313 76	672 82	0 00	672 82
2 Dec 11	236 000	46 46		11,254 84	10,964 18	290 66	0 00	290 66
5 Dec 11	482 000	47 31		22,986 58	22,805 59	180 99	0 00	180 99
6 Dec 11	146 000	47 42		6 962 74	6,923 32	39 42	0 00	39 42
6 Dec 11	316 000	47 10		15,070 04	14 882 11	187 93	0 00	187 93
7 Dec 11	160 000	46 76		7,630 40	7,482 03	148 37	0 00	148 37
SYNCHRONOSS TECHNOLOGIES INC COM STK CUSIP 87157B103	7,140 000 30 210		0 00	215,699 40	204 732 31	10,967 09	0 00	10,967 09
21 Jun 11	4 360 000	30 14		131,715 60	131,408 19	307 41	0 00	307 41
28 Jun 11	20 000	31 59		604 20	631 80	- 27 60	0 00	- 27 60
15 Aug 11	47 000	29 72		1,419 87	1,397 00	22 87	0 00	22 87

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
15 Aug 11	11 000	29 70		332 31	326 65	5 66	0 00	5 66
15 Aug 11	233 000	29 60		7,038 93	6,897 55	141 38	0 00	141 38
16 Aug 11	187 000	29 07		5 649 27	5,436 95	212 32	0 00	212 32
17 Aug 11	187 000	28 17		5,649 27	5,268 48	380 79	0 00	380 79
17 Aug 11	257 000	28 09		7,763 97	7,219 52	544 45	0 00	544 45
18 Aug 11	157 000	25 46		4,742 97	3,997 96	745 01	0 00	745 01
18 Aug 11	67 000	25 81		2,024 07	1,729 45	294 62	0 00	294 62
19 Aug 11	111 000	25 54		3,353 31	2,834 83	518 48	0 00	518 48
22 Aug 11	363 000	25 27		10 966 23	9,174 10	1,792 13	0 00	1,792 13
6 Sep 11	712 000	24 38		21 509 52	17,359 77	4,149 75	0 00	4 149 75
7 Sep 11	188 000	26 10		5,679 48	4,907 36	772 12	0 00	772 12
7 Sep 11	189 000	25 84		5,709 69	4,882 93	826 76	0 00	826 76
9 Sep 11	51 000	24 70		1,540 71	1,259 77	280 94	0 00	280 94
TANGER FACTORY OUTLET CTRS INC COM SEDOL 2874582	1,200 000 29 320		0 00	35,184 00	19,634 92	15 549 08	0 00	15,549 08
9 Aug 07	400 000	18 30		11,728 00	7,318 82	4,409 18	0 00	4,409 18
3 Mar 09	400 000	13 14		11,728 00	5,254 94	6,473 06	0 00	6 473 06
29 Feb 08	200 000	17 73		5,864 00	3,545 22	2,318 78	0 00	2 318 78
17 Jan 08	200 000	17 58		5 864 00	3,515 94	2,348 06	0 00	2 348 06
TAUBMAN CTRS INC COM SEDOL 2872252	1,000 000 62 100		0 00	62,100 00	26,749 38	35,350 62	0 00	35,350 62
17 Aug 09	300 000	28 38		18,630 00	8,512 74	10 117 26	0 00	10,117 26
3 Mar 09	400 000	14 54		24,840 00	5 817 80	19,022 20	0 00	19 022 20
26 Oct 11	100 000	57 97		6,210 00	5,797 18	412 82	0 00	412 82
15 Jan 10	100 000	33 97		6,210 00	3,397 12	2,812 88	0 00	2,812 88

*** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2010

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
8 Feb 10	100 000	32 25		6,210 00	3,224 54	2 985 46	0 00	2,985 46
TEAVANA HLDGS INC COM COM CUSIP 87819P102	3 820 000 18 780		0 00	71,739 60	90,828 00	- 19 088 40	0 00	- 19,088 40
27 Oct 11	732 000	24 53		13,746 96	17,953 47	- 4 206 51	0 00	- 4,206 51
27 Oct 11	681 000	24 76		12,789 18	16 859 65	- 4,070 47	0 00	- 4 070 47
28 Oct 11	373 000	24 21		7 004 94	9,030 59	- 2,025 65	0 00	- 2 025 65
31 Oct 11	651 000	22 89		12 225 78	14,900 02	- 2,674 24	0 00	- 2 674 24
31 Oct 11	93 000	22 72		1,746 54	2,112 96	- 366 42	0 00	- 366 42
1 Nov 11	598 000	23 21		11,230 44	13 881 43	- 2 650 99	0 00	- 2,650 99
2 Nov 11	239 000	23 42		4,488 42	5,597 14	- 1 108 72	0 00	- 1,108 72
3 Nov 11	164 000	23 15		3,079 92	3,796 01	- 716 09	0 00	- 716 09
3 Nov 11	107 000	23 12		2,009 46	2,473 84	- 464 38	0 00	- 464 38
4 Nov 11	182 000	23 20		3 417 96	4,222 89	- 804 93	0 00	- 804 93
TECH DATA CORP COM CUSIP 878237106	5 500 000 49 410		0 00	271,755 00	207,164 46	64 590 54	0 00	64,590 54
7 Jul 10	1 060 000	36 52		52,374 60	38 713 53	13 661 07	0 00	13 661 07
16 Jul 10	1 000 000	38 23		49 410 00	38 230 30	11,179 70	0 00	11 179 70
20 Jul 10	1,010 000	37 82		49,904 10	38,198 30	11 705 80	0 00	11,705 80
29 Jul 10	160 000	39 53		7,905 60	6,324 37	1,581 23	0 00	1,581 23
11 Aug 10	1,050 000	38 20		51,880 50	40,105 07	11 775 43	0 00	11,775 43
30 Aug 10	690 000	36 70		34 092 90	25,325 21	8,767 69	0 00	8 767 69
2 Sep 10	530 000	38 24		26,187 30	20,267 68	5 919 62	0 00	5,919 62
TIFFANY & CO COM CUSIP 886547108	1,700 000 66 260		493 00	112,642 00	106,233 85	6,408 15	0 00	6,408 15
3 Dec 10	1 700 000	62 49		112,642 00	106 233 85	6,408 15	0 00	6,408 15

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
TJX COS INC COM NEW	CUSIP 872540109	29 Dec 10	3 741 000 64 550		0 00	241,481 55	167,484 57	73,996 98	0 00	73,996 98
			3,741 000	44 77		241,481 55	167,484 57	73,996 98	0 00	73,996 98
TPC GROUP INC COM	CUSIP 89236Y104	21 Jun 11	4,500 000 23 330		0 00	104 985 00	153,197 20	- 48,212 20	0 00	- 48,212 20
			3 318 000	37 70		77,408 94	125 079 91	- 47,670 97	0 00	- 47 670 97
		5 Dec 11	242 000	24 14		5 645 86	5,842 94	- 197 08	0 00	- 197 08
		6 Dec 11	316 000	24 23		7,372 28	7,656 74	- 284 46	0 00	- 284 46
		7 Dec 11	158 000	23 56		3,686 14	3,723 16	- 37 02	0 00	- 37 02
		8 Dec 11	158 000	22 67		3,686 14	3 581 86	104 28	0 00	104 28
		9 Dec 11	71 000	24 08		1,656 43	1 709 72	- 53 29	0 00	- 53 29
		12 Dec 11	237 000	23 64		5,529 21	5 602 87	- 73 66	0 00	- 73 66
TREEHOUSE FOODS INC COM	CUSIP 89469A104	17 Jan 08	3 820 000 65 380		0 00	249,751 60	185 942 22	63 809 38	0 00	63,809 38
			536 000	20 70		35,043 68	11 096 54	23,947 14	0 00	23,947 14
		17 Jan 08	373 000	20 66		24,386 74	7,705 43	16 681 31	0 00	16 681 31
		21 Jun 11	2,071 000	59 72		135 401 98	123,679 84	11,722 14	0 00	11,722 14
		28 Jun 11	20 000	55 12		1 307 60	1,102 40	205 20	0 00	205 20
		18 Aug 11	217 000	52 10		14,187 46	11,306 26	2,881 20	0 00	2,881 20
		19 Aug 11	347 000	51 54		22,686 86	17,885 87	4 800 99	0 00	4 800 99
		22 Aug 11	256 000	51 43		16,737 28	13,165 88	3,571 40	0 00	3,571 40
TRINITY IND INC COM	CUSIP 896522109	16 Dec 08	9,440 000 30 060		0 00	283,766 40	118,497 92	165,268 48	0 00	165,268 48
			3,780 000	14 44		113,626 80	54 577 16	59,049 64	0 00	59,049 64

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock										
2 Mar 09			4,390 000	6 61		131,963 40	29,031 07	102,932 33	0 00	102,932 33
31 Aug 11			1,270 000	27 47		38,176 20	34,889 69	3,286 51	0 00	3,286 51
TRIUMPH GROUP INC NEW COM			4,680 000		0 00	273,546 00	227,243 90	46,302 10	0 00	46,302 10
CUSIP 896818101			58 450							
21 Jun 11			4,680 000	48 56		273,546 00	227,243 90	46,302 10	0 00	46,302 10
TX CAP BANCSHARES INC COM			7 050 000		0 00	215,800 50	188 563 47	27,237 03	0 00	27,237 03
CUSIP 88224Q107			30 610							
8 Mar 11			656 000	25 67		20,080 16	16 838 21	3,241 95	0 00	3,241 95
9 Mar 11			195 000	25 71		5 968 95	5 013 35	955 60	0 00	955 60
10 Mar 11			803 000	25 21		24,579 83	20 245 08	4,334 75	0 00	4,334 75
21 Jun 11			1,876 000	25 95		57,424 36	48 682 12	8,742 24	0 00	8 742 24
28 Jun 11			10 000	25 46		306 10	254 60	51 50	0 00	51 50
28 Jul 11			156 000	27 50		4,775 16	4,290 27	484 89	0 00	484 89
28 Jul 11			25 000	27 54		765 25	688 41	76 84	0 00	76 84
29 Jul 11			79 000	27 34		2,418 19	2,159 89	258 30	0 00	258 30
29 Jul 11			298 000	27 35		9,121 78	8,151 64	970 14	0 00	970 14
29 Jul 11			47 000	27 34		1,438 67	1,284 91	153 76	0 00	153 76
1 Aug 11			324 000	27 60		9,917 64	8,941 46	976 18	0 00	976 18
2 Aug 11			231 000	27 55		7,070 91	6,363 24	707 67	0 00	707 67
2 Aug 11			326 000	27 46		9,978 86	8,952 91	1,025 95	0 00	1,025 95
3 Aug 11			307 000	27 38		9,397 27	8,405 57	991 70	0 00	991 70
4 Aug 11			232 000	27 10		7,101 52	6,288 24	813 28	0 00	813 28
5 Aug 11			125 000	26 11		3 826 25	3 263 46	562 79	0 00	562 79
20 Oct 11			550 000	26 56		16,835 50	14,607 07	2 228 43	0 00	2,228 43
5 Dec 11			21 000	29 77		642 81	625 12	17 69	0 00	17 69

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
6 Dec 11	317 000	30 18		9,703 37	9,568 39	134 98	0 00	134 98
7 Dec 11	472 000	29 53		14,447 92	13,939 53	508 39	0 00	508 39
UDR INC COM STK SEDOL 2727910	4 101 000 25 100		0 00	102,935 10	53 254 46	49 680 64	0 00	49,680 64
3 Mar 09	2 100 000	7 39		52,710 00	15 522 36	37,187 64	0 00	37 187 64
13 Jul 11	300 000	25 00		7,530 00	7 500 00	30 00	0 00	30 00
2 Aug 11	200 000	25 78		5,020 00	5,156 18	- 136 18	0 00	- 136 18
31 Dec 09	300 000	16 49		7,530 00	4,945 56	2 584 44	0 00	2 584 44
8 Sep 10	200 000	20 35		5,020 00	4,070 00	950 00	0 00	950 00
18 Dec 08	199 010	14 93		4,995 15	2,970 32	2,024 83	0 00	2,024 83
3 Aug 11	100 000	25 33		2,510 00	2,532 59	- 22 59	0 00	- 22 59
14 Feb 11	100 000	24 17		2,510 00	2,416 98	93 02	0 00	93 02
29 Jan 09	201 990	11 71		5,069 95	2,365 95	2,704 00	0 00	2,704 00
22 Oct 09	100 000	14 62		2,510 00	1 461 90	1,048 10	0 00	1,048 10
21 Oct 09	100 000	14 42		2,510 00	1,442 28	1,067 72	0 00	1,067 72
21 Oct 09	100 000	14 39		2,510 00	1,438 76	1,071 24	0 00	1,071 24
21 Oct 09	100 000	14 32		2,510 00	1,431 58	1,078 42	0 00	1,078 42
ULTRA PETE CORP COM NPV CUSIP 903914109	3,510 000 29 630		0 00	104,001 30	175,459 71	- 71,458 41	0 00	- 71,458 41
7 Jan 10	620 000	52 82		18,370 60	32 750 32	- 14,379 72	0 00	- 14,379 72
12 Jan 10	740 000	50 80		21,926 20	37,595 11	- 15,668 91	0 00	- 15,668 91
21 Jan 10	1,060 000	51 48		31,407 80	54,565 73	- 23,157 93	0 00	- 23,157 93
7 May 10	620 000	43 33		18,370 60	26,864 54	- 8,493 94	0 00	- 8,493 94
17 Jun 10	470 000	50 39		13,926 10	23,684 01	- 9 757 91	0 00	- 9,757 91

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
UNDER ARMOR INC CL A CUSIP 904311107 9 Aug 11	1,008 000 71 790 1 008 000		0 00	72,364 32	59 481 88	12,882 44 0 00	12,882 44
UNION PAC CORP COM CUSIP 907818108 3 Oct 07	8 400 000 105 940 2 100 000		5 040 00	889,896 00	432,668 51	457,227 49 0 00	457,227 49
8 Jul 09	900 000	48 32		95,346 00	43,486 65	51 859 35 0 00	51,859 35
17 Dec 08	700 000	47 88		74,158 00	33,518 03	40,639 97 0 00	40,639 97
9 Jul 09	800 000	49 79		84,752 00	39,832 96	44 919 04 0 00	44 919 04
5 Feb 09	1 600 000	46 01		169,504 00	73,618 72	95,885 28 0 00	95,885 28
1 Sep 09	700 000	59 36		74,158 00	41,552 49	32,605 51 0 00	32,605 51
27 Feb 09	1,000 000	37 78		105 940 00	37,780 00	68,160 00 0 00	68 160 00
24 Mar 09	200 000	42.94		21,188 00	8,588 18	12,599 82 0 00	12 599 82
19 Oct 11	400 000	91 80		42,376 00	36,719 20	5 656 80 0 00	5,656 80
UNITED PARCEL SVC INC CL B CUSIP 911312106 26 Jul 06	6 000 000 73 190 900 000		0 00	439,140 00	361,905 82	77,234 18 0 00	77 234 18
27 Jul 06	600 000	69 13		65,871 00	62 213 85	3,657 15 0 00	3,657 15
3 Aug 06	1,200 000	67 07		81,342 36	40,243 44	3,670 56 0 00	3 670 56
8 Aug 06	900 000	67 79		65,871 00	87,828 00	6,485 64 0 00	6 485 64
17 Dec 08	900 000	66 37		65,871 00	59 730 75	6,140 25 0 00	6,140 25
27 Feb 09	900 000	52 18		65,871 00	46,964 97	18,906 03 0 00	18,906 03
24 Mar 09	1 000 000	41 05		73,190 00	41,045 00	32 145 00 0 00	32,145 00
19 Oct 11	200 000	47 76		14 638 00	9,551 90	5 086 10 0 00	5 086 10
	300 000	69 38		21,957 00	20,813 55	1,143 45 0 00	1,143 45

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK1
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
UNITED TECHNOLOGIES CORP COM CUSIP 913017109	7 400 000 73 090		0 00	540,866 00	431,033 85	109,832 15	0 00	109,832 15
14 Dec 06	3 500 000	62 06		255,815 00	217 213 85	38,601 15	0 00	38 601 15
16 Jul 08	600 000	60 16		43,854 00	36,096 18	7 757 82	0 00	7 757 82
17 Dec 08	900 000	50 98		65,781 00	45,879 03	19,901 97	0 00	19,901 97
27 Feb 09	1,100 000	40 95		80,399 00	45,039 50	35,359 50	0 00	35,359 50
24 Mar 09	200 000	43 57		14,618 00	8,714 30	5 903 70	0 00	5,903 70
22 Sep 11	700 000	69 27		51,163 00	48,487 11	2 675 89	0 00	2,675 89
19 Oct 11	400 000	74 01		29 236 00	29 603 88	- 367 88	0 00	- 367 88
UNITEDHEALTH GROUP INC COM CUSIP 91324P102	3,700 000 50 680		0 00	187,516 00	162,042 05	25,473 95	0 00	25,473 95
7 Mar 11	2,600 000	43 59		131,768 00	113,332 18	18 435 82	0 00	18,435 82
9 Mar 11	1,100 000	44 28		55,748 00	48,709 87	7,038 13	0 00	7,038 13
USG CORP COM NEW CUSIP 903293405	12,600 000 10 160		0 00	128,016 00	284,208 15	- 156 192 15	0 00	- 156,192 15
29 Jan 08	4 400 000	34 36		44,704 00	151,197 20	- 106,493 20	0 00	- 106,493 20
30 Jan 08	1,200 000	34 23		12,192 00	41 076 48	- 28,884 48	0 00	- 28,884 48
13 Feb 08	400 000	34 16		4 064 00	13 663 84	- 9 599 84	0 00	- 9 599 84
14 Feb 08	400 000	34 20		4,064 00	13 680 16	- 9 616 16	0 00	- 9 616 16
15 Feb 08	300 000	33 74		3,048 00	10,122 36	- 7 074 36	0 00	- 7 074 36
19 Feb 08	200 000	33 74		2 032 00	6 747 42	- 4 715 42	0 00	- 4 715 42
17 Dec 08	1,800 000	8 71		18,288 00	15,678 00	2,610 00	0 00	2,610 00
27 Feb 09	1,900 000	5 76		19,304 00	10,950 46	8 353 54	0 00	8,353 54
24 Mar 09	300 000	8 71		3,048 00	2,613 78	434 22	0 00	434 22
22 Jul 10	1 000 000	12 56		10,160 00	12,563 80	- 2 403 80	0 00	- 2,403 80

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
19 Oct 11	700 000	8 45		7,112 00	5,914 65	1,197 35	1 197 35
VAIL RESORTS INC COM CUSIP 91879Q109	5,410 000 42 360		811 50	229,167 60	114,191 10	114 976 50	114 976 50
16 Dec 08	1,710 000	22 64		72,435 60	38 715 26	33,720 34	33,720 34
17 Dec 08	1,780 000	23 43		75 400 80	41,703 62	33 697 18	33,697 18
2 Mar 09	1,920 000	17 59		81,331 20	33,772 22	47,558 98	47,558 98
VALERO ENERGY CORP COM STK NEW CUSIP 91913Y100	2 209 000 21 050		0 00	46,499 45	57,193 44	- 10 693 99	- 10,693 99
7 Nov 11	2,209 000	25 89		46,499 45	57 193 44	- 10,693 99	- 10 693 99
VENTAS INC REIT SEDOL 2927925	2,186 000 55 130		0 00	120,514 18	77,023 94	43,490 24	43 490 24
8 Sep 11	200 000	54 12		11,026 00	10,823 56	202 44	202 44
3 Mar 09	500 000	21 47		27,565 00	10,732 85	16,832 15	16,832 15
7 Sep 11	200 000	53 52		11 026 00	10 704 50	321 50	321 50
18 Dec 08	314 640	33 02		17,346 10	10 390 00	6,956 10	6,956 10
21 Aug 07	235 380	34 32		12,976 50	8,079 23	4,897 27	4,897 27
3 Mar 09	235 980	25 08		13,009 58	5,917 32	7,092 26	7,092 26
6 Sep 11	100 000	51 69		5,513 00	5 168 82	344 18	344 18
10 Oct 11	100 000	50 46		5,513 00	5 045 63	467 37	467 37
11 Oct 11	100 000	50 19		5,513 00	5,019 15	493 85	493 85
18 Dec 08	100 000	28 73		5,513 00	2,872 67	2,640 33	2 640 33
18 Feb 09	100 000	22 70		5 513 00	2,270 21	3,242 79	3,242 79
VERIFONE SYSTEMS INC COM CUSIP 92342Y109	1,413 000 35 520		0 00	50,189 76	59,462 29	- 9 272 53	- 9,272 53

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
12 Dec 11	1,413 000	42 08		50,189 76	59,462 29	- 9,272 53	0 00	- 9 272 53
VMWARE INC CL A COM CL A COM CUSIP 928563402	2,618 000 83 190		0 00	217,791 42	138,267 61	79,523 81	0 00	79,523 81
9 Sep 09	1,200 000	37 87		99,828 00	45 441 48	54 386 52	0 00	54,386 52
11 Nov 11	618 000	100 35		51,411 42	62 017 97	- 10,606 55	0 00	- 10,606 55
10 Sep 09	800 000	38 51		66,552 00	30 808 16	35,743 84	0 00	35,743 84
VORNADO RLTY TR COM SEDOL 2933632	1 976 000 76 860		0 00	151 875 36	78 031 59	73,843 77	0 00	73,843 77
3 Mar 09	1,100 000	30 47		84,546 00	33,521 73	51,024 27	0 00	51 024 27
18 Dec 08	400 000	60 73		30,744 00	24,290 00	6,454 00	0 00	6 454 00
22 Apr 09	200 000	43 00		15,372 00	8 600 00	6,772 00	0 00	6,772 00
22 Apr 09	100 000	45 18		7 686 00	4 518 22	3,167 78	0 00	3,167 78
18 Feb 09	100 000	37 97		7 686 00	3 797 34	3,888 66	0 00	3,888 66
12 Jun 09	27 900	48 10		2,144 39	1,341 96	802 43	0 00	802 43
12 Mar 09	31 690	32 07		2,435 70	1,016 41	1,419 29	0 00	1 419 29
14 Sep 09	10 770	54 30		827 78	584 76	243 02	0 00	243 02
14 Oct 09	5 640	64 04		433 49	361 17	72.32	0 00	72 32
WABCO HLDGS INC COM STK CUSIP 92927K102	10,666 000 43 400		0 00	462 904 40	274 287 36	188,617 04	0 00	188,617 04
26 Dec 03	5 300 000	29 72		230 020 00	157 536 36	72,483 64	0 00	72,483 64
30 Dec 03	666 670	29 73		28,933 48	19,819 44	9 114 04	0 00	9,114 04
18 Jul 07	399 330	54 35		17,330 92	21,702 11	- 4,371 19	0 00	- 4 371 19
17 Dec 08	1 600 000	16 46		69,440 00	26,332 00	43,108 00	0 00	43,108 00
27 Feb 09	1,800 000	10 26		78,120 00	18,459 00	59,661 00	0 00	59,661 00
24 Mar 09	300 000	12 24		13,020 00	3,672.75	9,347 25	0 00	9,347 25

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock								
19 Oct 11	600 000	44 61		26,040 00	26,765 70	- 725 70	0 00	- 725 70
WABTEC CORP COM CUSIP 929740108	2,050 000 69 950		0 00	143,397 50	134,540 10	8 857 40	0 00	8,857 40
21 Jun 11	2,040 000	65 63		142,698 00	133,888 80	8,809 20	0 00	8,809 20
28 Jun 11	10 000	65 13		699 50	651 30	48 20	0 00	48 20
WADDELL & REED FINL INC CL A COM CUSIP 930059100	3,780 000 24 770		945 00	93 630 60	136,647 37	- 43,016 77	0 00	- 43,016 77
29 Dec 10	3 780 000	36 15		93 630 60	136,647 37	- 43 016 77	0 00	- 43 016 77
WAL-MART STORES INC COM CUSIP 931142103	1 400 000 59 760		0 00	83 664 00	81,297 02	2,366 98	0 00	2 366 98
19 Dec 11	1,400 000	58 07		83,664 00	81,297 02	2,366 98	0 00	2,366 98
WALT DISNEY CO CUSIP 254687106	9,600 000 37 500		5,760 00	360,000 00	186,013 11	173,986 89	0 00	173,986 89
5 Feb 09	7,600 000	18 85		285 000 00	143,291 16	141,708 84	0 00	141,708 84
27 Feb 09	1,300 000	16 89		48,750 00	21 957 00	26,793 00	0 00	26,793 00
24 Mar 09	200 000	18 55		7,500 00	3 710 00	3,790 00	0 00	3,790 00
19 Oct 11	500 000	34 11		18,750 00	17,054 95	1,695 05	0 00	1,695 05
WATERS CORP COM CUSIP 941848103	1,871 000 74 050		0 00	138,547 55	147,707 78	- 9,160 23	0 00	- 9,160 23
29 Dec 10	1,871 000	78 95		138,547 55	147,707 78	- 9,160 23	0 00	- 9,160 23
WATSON PHARMACEUTICALS INC COM CUSIP 942683103	878 000 60 340		0 00	52,978 52	61,527 61	- 8,549 09	0 00	- 8,549 09
24 Oct 11	878 000	70 08		52,978 52	61,527 61	- 8,549 09	0 00	- 8,549 09

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market Translation	Total
Common stock							
WELLS FARGO & CO NEW COM STK CUSIP 949746101	15 400 000 27 560		0 00	424,424 00	351 139 73	73 284 27	73,284 27
26 Dec 03	7,600 000	29 14		209,456 00	221,470 46	- 12 014 46	- 12,014 46
29 Dec 03	400 000	29 19		11,024 00	11,674 60	- 650 60	- 650 60
27 Feb 09	2,100 000	12 73		57,876 00	26 733 00	31,143 00	31,143 00
18 Mar 09	4 000 000	15 29		110,240 00	61,140 40	49,099 60	49,099 60
24 Mar 09	400 000	17 12		11,024 00	6 847 72	4,176 28	4,176 28
19 Oct 11	900 000	25 86		24,804 00	23 273 55	1,530 45	1,530 45
WESTN DIGITAL CORP COM CUSIP 958102105	3,629 000 30 950		0 00	112,317 55	122 041 10	- 9,723 55	- 9,723 55
29 Dec 10	3 629 000	33 63		112,317 55	122 041 10	- 9,723 55	- 9,723 55
WHITE MOUNTAINS INSURANCE GROUP COM STOCK CUSIP G9618E107	735 000 453 460		0 00	333,293 10	176,259 80	157,033 30	157,033 30
16 Dec 08	280 000	263 66		126,968 80	73 825 19	53,143 61	53,143 61
17 Dec 08	215 000	274 06		97 493 90	58,922 25	38,571 65	38,571 65
2 Mar 09	110 000	197 03		49 880 60	21,673 30	28,207 30	28,207 30
16 Mar 09	130 000	167 99		58 949 80	21,839 06	37,110 74	37,110 74
WHOLE FOODS MKT INC COM CUSIP 966837106	844 000 69 580		0 00	58,725 52	62,140 60	- 3,415 08	- 3,415 08
24 Oct 11	844 000	73 63		58,725 52	62,140 60	- 3 415 08	- 3,415 08
WINDSTREAM CORP COM STK CUSIP 97381W104	11,600 000 11 740		2,900 00	136,184 00	154,793 48	- 18,609 48	- 18,609 48
29 Dec 10	6,540 000	14 01		76 779 60	91,607 74	- 14,828 14	- 14 828 14

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
19 Apr 11	5,060 000	12.49		59,404 40	63,185 74	- 3,781 34	0 00	- 3,781 34
WOLVERINE WORLD WIDE INC COM CUSIP 978097103	6 131 000 35 640		735 72	218 508 84	210,317 54	8,191 30	0 00	8 191 30
29 Dec 04	1,446 000	20 88		51,535 44	30,193 45	21,341 99	0 00	21,341 99
3 Mar 09	292 000	14 59		10,406 88	4,260 28	6 146 60	0 00	6,146 60
21 Jun 11	3 092 000	40 53		110,198 88	125,326 48	- 15,127 60	0 00	- 15,127 60
28 Jun 11	20 000	41 42		712 80	828 40	- 115 60	0 00	- 115 60
12 Jul 11	197 000	39 71		7,021 08	7,822 63	- 801 55	0 00	- 801 55
12 Jul 11	1,084 000	38 64		38,633 76	41,886 30	- 3,252 54	0 00	- 3,252 54
XEROX CORP COM CUSIP 984121103	45,700 000 7 960		1 942 25	363,772 00	483 295 74	- 119,523 74	0 00	- 119,523 74
22 Sep 10	12,500 000	10 26		99 500 00	128,202 50	- 28,702 50	0 00	- 28 702 50
23 Sep 10	2,500 000	10 25		19,900 00	25 622 75	- 5 722 75	0 00	- 5,722 75
28 Sep 10	2,700 000	10 34		21,492 00	27 927 18	- 6,435 18	0 00	- 6,435 18
29 Sep 10	2,700 000	10 38		21,492 00	28,013 31	- 6,521 31	0 00	- 6,521 31
30 Sep 10	1 300 000	10 33		10,348 00	13,434 98	- 3,086 98	0 00	- 3 086 98
6 Oct 10	2 000 000	10 71		15 920 00	21,420 20	- 5 500 20	0 00	- 5 500 20
7 Oct 10	1,400 000	10 68		11,144 00	14,952 00	- 3,808 00	0 00	- 3 808 00
21 Dec 10	2,200 000	11 91		17,512 00	26 192 10	- 8 680 10	0 00	- 8 680 10
22 Dec 10	8,400 000	11 82		66 864 00	99,293 04	- 32,429 04	0 00	- 32 429 04
26 Jan 11	7,400 000	10 60		58 904 00	78,425 94	- 19,521 94	0 00	- 19 521 94
19 Oct 11	2,600 000	7 62		20,696 00	19,811 74	884 26	0 00	884 26
XYLEM INC W/1 CUSIP 98419M100	6 500 000 25 690		657 80	166,985 00	202 873 58	- 35,888 58	0 00	- 35,888 58
1 Mar 11	4 600 000	32 31		118,174 00	148,605 33	- 30,431 33	0 00	- 30,431 33

*** Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number: WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method: HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Common stock								
15 Mar 11	900 000	31 35		23,121 00	28 218 75	- 5,097 75	0 00	- 5 097 75
17 Aug 11	700 000	26 23		17,983 00	18 358 29	- 375 29	0 00	- 375 29
19 Oct 11	300 000	25 64		7,707 00	7,691 21	15 79	0 00	15 79
YUM BRANDS INC COM CUSIP 988498101	6,523 000 59 010		0 00	384,922 23	335,015 12	49 907 11	0 00	49,907 11
12 Oct 10	1,200 000	47 79		70,812 00	57,352 32	13,459 68	0 00	13,459 68
12 Apr 11	1,305 000	50 18		77,008 05	65,478 64	11 529 41	0 00	11,529 41
13 Oct 10	600 000	47 94		35,406 00	28,765 32	6 640 68	0 00	6,640 68
19 Apr 11	1,118 000	50 60		65,973 18	56 575 61	9,397 57	0 00	9 397 57
20 Sep 11	1,700 000	55 53		100,317 00	94 394 03	5,922 97	0 00	5,922 97
3 Nov 11	600 000	54 08		35,406 00	32 449 20	2,956 80	0 00	2,956 80
3M CO COM CUSIP 88579Y101	5 950 000 81 730		0 00	486,293 50	371 695 03	114,598 47	0 00	114,598 47
12 Sep 02	90 000	61 05		7,355 70	5,494 52	1,861 18	0 00	1,861 18
13 Sep 02	33 000	60 71		2,697 09	2,003 55	693 54	0 00	693 54
17 Sep 02	90 000	58 23		7,355 70	5,240 99	2,114 71	0 00	2,114 71
18 Sep 02	12 000	58 18		980 76	698 16	282 60	0 00	282 60
31 Dec 02	30 000	61 03		2 451 90	1,830 75	621 15	0 00	621 15
6 Feb 03	45 000	61 46		3,677 85	2,765 62	912 23	0 00	912 23
6 May 03	81 000	61 38		6,620 13	4,971 63	1,648 50	0 00	1 648 50
7 May 03	16 500	60 91		1,348 55	1 004 98	343 57	0 00	343 57
26 Jun 03	37 500	65 17		3,064 87	2 443 69	621 18	0 00	621 18
4 Dec 03	67 500	81 19		5,516 78	5 480 22	36 56	0 00	36 56
5 Dec 03	97 500	81 02		7,968 67	7,899 12	69 55	0 00	69 55
29 Dec 03	250 000	83 99		20 432 50	20,997 37	- 564 87	0 00	- 564 87

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Shares/PA	Accrued	Market value	Cost	Unrealized gain/loss	
Asset ID	Local market price	income/expense			Market	Translation
Acquisition Date	Local cost/share					Total
Common stock						
4 Aug 05	800 000	73 37	65,384 00	58,696 48	6,687 52	6,687 52
15 Aug 05	300 000	72.28	24,519 00	21,683 13	2,835 87	2,835 87
17 Aug 05	200 000	71 42	16,346 00	14 283 90	2,062 10	2,062 10
11 Jul 06	600 000	72 84	49,038 00	43,705 98	5,332 02	5,332 02
17 Dec 08	1,200 000	58 80	98,076 00	70,554 00	27 522 00	27,522 00
27 Feb 09	1,500 000	45 64	122 595 00	68,466 45	54,128 55	54,128 55
24 Mar 09	200 000	49 20	16,346 00	9,840 52	6 505 48	6 505 48
19 Oct 11	300 000	78 78	24,519 00	23,633 97	885 03	885 03
5TH 3RD BANCORP COM CUSIP 316773100	14,010 000 12 720	1 120 80	178,207 20	149,545 84	28,661 36	28,661 36
3 Dec 09	4,080 000	10 56	51,897 60	43,064 81	8 832 79	8,832 79
7 Dec 09	4 180 000	10 41	53,169 60	43,528 43	9 641 17	9,641 17
8 Dec 09	4,330 000	10 33	55,077 60	44,718 94	10,358 66	10,358 66
27 Jan 10	300 000	12 08	3 816 00	3,624 27	191 73	191 73
25 Jul 11	1,120 000	13 04	14 246 40	14,609 39	- 362 99	- 362 99
Total USD		84,921 13	52,079,923 26	43,445,728 36	8,634,194 90	8,634,194 90
Total United States		84,921 13	52,079,923 26	43,445,728 36	8,634,194 90	8,634,194 90
Total common stock		104,005.29	56,346,306.64	47,378,846.53	8,812,208 94	155,251 17

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Funds - common stock								
International Region - USD								
MFO ARTISAN FDS INC INTL FD INSTL SHS CUSIP 04314H402	204,431 670 19 930		0 00	4,074,323 18	3,074,757 29	999 565 89	0 00	999,565 89
24 Oct 01	68 385 270	17 65		1,362 918 43	1,207,000 00	155,918 43	0 00	155,918 43
15 Nov 01	1,844 760	17 87		36,766 07	32,965 88	3,800 19	0 00	3,800 19
14 Nov 02	6,624 290	14 86		132 022 10	98,436 99	33,585 11	0 00	33,585 11
13 Nov 03	9 402 110	17 61		187,384 05	165,571 09	21 812 96	0 00	21,812 96
17 Dec 08	9,333 480	15 09		186,016 25	140,842 24	45,174 01	0 00	45,174 01
17 Dec 08	1,700 980	15 09		33,900 53	25,667 84	8,232 69	0 00	8,232 69
17 Dec 08	4,081 730	15 09		81,348 88	61,593 37	19 755 51	0 00	19,755 51
18 Dec 08	33 783 780	14 80		673 310 73	500,000 00	173,310 73	0 00	173,310 73
3 Mar 09	65,733 670	11 79		1,310 072 05	775,000 00	535,072 05	0 00	535,072 05
15 Dec 11	3,541 600	19 11		70 584 09	67,679 88	2,904 21	0 00	2,904 21
MFO GMO INTL INSTRINSIC VALUE FD II CUSIP 362007205	203,768 670 18 740		0 00	3,818 624 87	4,785 202 89	- 966,578 02	0 00	- 966 578 02
28 Sep 05	83 675 450	30 68		1,568 077 93	2,567 162 85	- 999,084 92	0 00	- 999,084 92
27 Dec 05	2,057 070	30 44		38 549 50	62,617 10	- 24 067 60	0 00	- 24,067 60
27 Dec 05	2,290 650	30 44		42,926 78	69,727 47	- 26 800 69	0 00	- 26,800 69
27 Dec 05	5 688 960	30 44		106,611 11	173,171 83	- 66 560 72	0 00	- 66,560 72
14 Jul 08	13 912 970	25 92		260,729 06	360 624 20	- 99,895 14	0 00	- 99,895 14
14 Jul 08	3,949 580	25 92		74,015 13	102,373 04	- 28 357 91	0 00	- 28,357 91
18 Dec 08	7,350 900	17 27		137,755 87	126,949 95	10 805 92	0 00	10,805 92
18 Dec 08	19,803 120	17 27		371,110 47	342,000 00	29,110 47	0 00	29 110 47
3 Mar 09	45,801 520	13 10		858,320 48	600,000 00	258,320 48	0 00	258,320 48
15 Jul 09	3,824 780	17 96		71,676 38	68,693 03	2 983 35	0 00	2,983 35

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description Asset ID Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Funds - common stock								
18 Dec 09	4 961 160	20 01		92,972 14	99,272 80	- 6 300 66	0 00	- 6,300 66
15 Jul 10	1 036 710	19 22		19,427 94	19 925 52	- 497 58	0 00	- 497 58
17 Dec 10	2,612 290	21 30		48,954 31	55,641 75	- 6,687 44	0 00	- 6 687 44
12 Jul 11	3 830 130	21 83		71,776 63	83 611 72	- 11 835 09	0 00	- 11,835 09
19 Dec 11	2,973 380	17 97		55 721 14	53 431 63	2,289 51	0 00	2,289 51
Total USD			0 00	7,892,948 05	7,859,960 18	32,987 87	0 00	32,987 87
Total International Region			0 00	7,892,948 05	7,859,960 18	32,987 87	0 00	32,987 87
United States - USD								
CF JANUS INVT FD JANUS INTECH RISK-MANAGED LARGE CAP GROWTH CUSIP 471994947	493 581 490 16 929		0 00	8 355 777 37	6,372,501 80	1,983 275 57	0 00	1,983,275 57
1 Apr 05	465,483 420	13 10		7,880,108 77	6,097,501 80	1,782,606 97	0 00	1,782 606 97
27 Feb 09	28 098 070	9 79		475,668 60	275 000 00	200 668 60	0 00	200,668 60
MFO BLACKROCK GLOBAL ALLOCATION FD INC INSTL CL CUSIP 09251T509	635,826 460 18 240		0 00	11,597,474 63	12,662,352 65	- 1,064,878 02	0 00	- 1,064 878 02
29 Mar 11	578,553 620	20 05		10,552,818 03	11,600,000 00	- 1,047,181 97	0 00	- 1 047 181 97
21 Jul 11	5 149 040	20 35		93,918 49	104,783 00	- 10,864 51	0 00	- 10,864 51
19 Oct 11	10,741 140	18 62		195 918 39	200 000 00	- 4,081 61	0 00	- 4,081 61
20 Oct 11	26,896 180	18 59		490,586 32	500 000 00	- 9,413 68	0 00	- 9,413 68
19 Dec 11	6,839 070	17 78		124,744 64	121,598 72	3,145 92	0 00	3 145 92
19 Dec 11	7,647 410	17 78		139,488 76	135,970 93	3,517 83	0 00	3,517 83

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Equities

Description	Asset ID	Acquisition Date	Shares/PAK Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Funds - common stock										
Total USD					0 00	19,953,252 00	19,034,854 45	918,397 55	0 00	918,397 55
Total United States					0 00	19,953,252 00	19,034,854 45	918,397 55	0 00	918,397 55
Total funds - common stock					0 00	27,846,200 05	26,894,814.63	951,385.42	0.00	951,385.42
Total equities					104,005.29	84,192,506 69	74,273,661 16	9,763,594 36	155,251 17	9,918,845 53

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account Number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description	Shares/PA	Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Acquisition Date							Translation	
Funds - government agencies									
United States - USD									
MFB NTGI COMMON DAILY AGGREGATE BOND	863 021 580			25 515 17	9,689 143 27	9 338 095 11	351 048 16	0 00	351,048 16
INDEX FUND - LENDING	11 227								
CUSIP 003999AU3									
Issue Date 5 Feb 04									
1 Apr 02	428 886 900		1,089 65		4 815,113 22	4,673,381 68	141,731 54	0 00	141,731 54
1 Aug 03	8 037 890		1,087 00		90 241 40	87,371 92	2,869 48	0 00	2,869 48
2 Sep 03	6 966 350		1 088 90		78,211 21	75,856 24	2 354 97	0 00	2,354 97
30 Apr 04	8 007 690		1 079 43		89,902 34	86,437 12	3 465 22	0 00	3,465 22
28 May 04	7,380 930		1 071 18		82,865 70	79,062 72	3 802 98	0 00	3 802 98
30 Jun 04	6,939 570		1,074 67		77,910 55	74,577 15	3,333 40	0 00	3,333 40
30 Jul 04	8,198 120		1,080 64		92 040 29	88,591 96	3,448 33	0 00	3,448 33
18 Mar 05	6,792 210		1,086 79		76 256 14	73,817 18	2,438 96	0 00	2,438 96
5 Apr 05	8,232 490		1,086 67		92 426 17	89,459 79	2,966 38	0 00	2,966 38
5 Oct 05	7,592 070		1,086 56		85,236 17	82,492 64	2,743 53	0 00	2,743 53
3 Nov 05	7,510 220		1 072 05		84,317 24	80,513 61	3 803 63	0 00	3 803 63
5 Dec 05	8 463 300		1,074 98		95,017 47	90 979 16	4 038 31	0 00	4,038 31
5 Jan 06	7,688 590		1,087 00		86 319 80	83,574 95	2,744 85	0 00	2,744 85
31 Jan 06	7,892 630		1,079 90		88,610 56	85,232 47	3 378 09	0 00	3,378 09
28 Feb 06	7 721 940		1,079 65		86 694 22	83,370 14	3 324 08	0 00	3 324 08
31 Mar 06	8,457 660		1,066 05		94 954 15	90,162 53	4,791 62	0 00	4,791 62
28 Apr 06	7,769 710		1,060 55		87,230 54	82,401 76	4,828 78	0 00	4,828 78
9 May 06	60,913 710		1,059 13		683,878 22	645,155 10	38,723 12	0 00	38,723 12
31 May 06	9,973 610		1,055 33		111,973 71	105,254 45	6,719 26	0 00	6,719 26
30 Jun 06	8,895 010		1,054 19		99,864 28	93,769 94	6,094 34	0 00	6,094 34

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Funds - government agencies								
31 Jul 06	8,503 690	1,064 78		95,470 93	90,545 52	4,925 41	0 00	4,925 41
31 Aug 06	9 778 970	1 075 31		109,788 49	105,154 22	4,634 27	0 00	4,634 27
29 Sep 06	9,155 320	1,080 27		102,786 77	98,902 54	3,884 23	0 00	3,884 23
31 Oct 06	10 045 120	1,082 66		112,776 56	108,754 68	4,021 88	0 00	4,021 88
29 Dec 06	8,792 330	1,081 61		98,711 49	95,098 43	3,613 06	0 00	3,613 06
31 Jan 07	9,271 500	1,077 87		104,091 13	99 934 67	4,156 46	0 00	4,156 46
30 Mar 07	9 152 620	1 087 43		102,756 46	99,528 05	3,228 41	0 00	3,228 41
30 Apr 07	8,868 290	1,088 79		99 564 29	96,556 93	3 007 36	0 00	3,007 36
31 May 07	10 043 220	1,076 84		112,755 23	108,149 33	4 605 90	0 00	4,605 90
27 Jun 07	63,105 820	1,072 06		708,489 04	676,531 63	31 957 41	0 00	31,957 41
29 Jun 07	9,515 360	1,069 56		106,828 94	101 772 32	5,056 62	0 00	5,056 62
31 Jul 07	11 001 350	1,074 66		123,512 15	118 226 83	5,285 32	0 00	5,285 32
31 Aug 07	10 238 020	1,084 50		114,942 25	111 031 35	3,910 90	0 00	3,910 90
28 Sep 07	9,204 390	1,088 46		103,337 69	100,186 34	3 151 35	0 00	3,151 35
31 Jul 08	8,792 860	1,087 67		98 717 44	95,637 37	3,080 07	0 00	3,080 07
30 Sep 08	9,232 560	1,076 16		103 653 95	99,356 80	4 297 15	0 00	4 297 15
31 Oct 08	9,913 430	1,049 43		111 298 08	104,034 28	7,263 80	0 00	7 263 80
28 Nov 08	8,709 710	1,084 66		97 783 92	94,470 37	3,313 55	0 00	3,313 55
31 Oct 11	2,545 360	1,123 46		28 576 76	28,596 22	- 19 46	0 00	- 19 46
30 Nov 11	2,558 390	1,117 78		28 723 05	28,597 18	125 87	0 00	125 87
30 Dec 11	2,272 670	1,125 00		25 515 27	25,567 54	- 52 27	0 00	- 52 27
MFO PIMCO FDS PAC INVT MGMT SER TOTAL	902,700 940		28,514 43	9,812,359 21	9,420,166 62	392,192 59	0 00	392,192 59
RETURN FD INSTL CL	10 870							
CUSIP 693390700								
30 Jun 05	147 255 820	1,080 61		1,600,670 77	1,591,253 86	9,416 91	0 00	9,416 91
30 Jun 05	229 850	1 080 58		2,498 47	2,483 72	14 75	0 00	14 75

-- Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2010

Account number: WROCK
Account Name:

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description	Shares/PAF	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price						Translation	
Acquisition Date								
Funds - government agencies								
29 Jul 05	6 148 320	1,068 60		66,832 24	65,701 24	1,131 00	0 00	1,131 00
31 Aug 05	6,068 200	1,079 60		65,961 33	65,512 56	448 77	0 00	448 77
30 Sep 05	6 665 310	1,064 61		72,451 92	70,959 24	1,492 68	0 00	1,492 68
31 Oct 05	6,277 680	1,051 61		68,238 38	66,016 43	2,221 95	0 00	2,221 95
30 Nov 05	7,046 190	1,051 60		76,592 09	74,098 08	2,494 01	0 00	2,494 01
14 Dec 05	40 120	1,046 49		436 11	419 85	16 26	0 00	16 26
14 Dec 05	15 121 920	1,046 61		164,375 27	158,266 80	6,108 47	0 00	6,108 47
30 Dec 05	7,862 450	1 049 60		85,464 83	82 524 62	2,940 21	0 00	2,940 21
31 Jan 06	6,654 260	1 047 61		72,331 81	69 710 38	2 621 43	0 00	2,621 43
28 Feb 06	6,587 050	1 047 73		71,601 23	69 014 45	2 586 78	0 00	2,586 78
31 Mar 06	8,212 010	1 032 85		89,264 55	84,817 79	4,446 76	0 00	4,446 76
28 Apr 06	6,946 420	1,030 00		75,507 58	71,548 13	3,959 45	0 00	3,959 45
10 May 06	48 685 490	1,027 00		529,211 27	500,000 00	29,211 27	0 00	29,211 27
31 May 06	7,841 090	1 023 00		85 232 65	80,214 37	5 018 28	0 00	5,018 28
30 Jun 06	8,663 630	1 018 00		94,173 66	88,195 78	5 977 88	0 00	5,977 88
31 Jul 06	7 630 510	1,030 00		82,943 64	78,594 24	4 349 40	0 00	4,349 40
31 Aug 06	8 180 920	1,041 00		88,926 60	85,163 37	3,763 23	0 00	3,763 23
29 Sep 06	8 466 530	1,045 00		92,031 18	88,475 27	3,555 91	0 00	3,555 91
31 Oct 06	8,170 570	1,047 00		88,814 09	85,545 91	3,268 18	0 00	3,268 18
30 Nov 06	8,319 920	1,055 00		90,437 53	87,775 11	2 662 42	0 00	2,662 42
13 Dec 06	7 448 240	1,046 00		80,962 37	77,908 61	3,053 76	0 00	3 053 76
29 Dec 06	8 990 450	1,038 00		97,726 20	93,320 90	4,405 30	0 00	4,405 30
31 Jan 07	7 904 230	1,032 00		85,918 98	81,571 66	4,347 32	0 00	4,347 32
28 Feb 07	8 180 000	1,045 00		88,916 60	85,480 95	3,435 65	0 00	3 435 65
30 Mar 07	9,184 570	1,043 00		99,836 28	95,795 08	4,041 20	0 00	4 041 20
30 Apr 07	8,440 410	1,041 00		91,747 26	87,864 67	3,882 59	0 00	3,882 59

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WR06K
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description	Shares/PA	Local cost/share	Accrued	Market value	Cost	Market	Unrealized gain/loss	Total
Asset ID	Local market price		income/expense				Translation	
Acquisition Date								
Funds - government agencies								
31 May 07	9,623 990	1,022 00		104,612 78	98 357 20	6,255 58	0 00	6,255 58
28 Jun 07	87,364 270	1 013 00		949 649 61	885,000 00	64 649 61	0 00	64,649 61
29 Jun 07	9 321 740	1,016 00		101,327 31	94,708 89	6,618 42	0 00	6 618 42
31 Jul 07	9 192 690	1,024 00		99,924 54	94,133 12	5,791 42	0 00	5,791 42
31 Aug 07	10,962 180	1,033 00		119 158 89	113 239 36	5,919 53	0 00	5,919 53
28 Sep 07	8 660 210	1,049 00		94,136 48	90 845 60	3,290 88	0 00	3,290 88
31 Oct 07	10,159 760	1,056 00		110,436 59	107 287 10	3,149 49	0 00	3,149 49
30 Nov 07	10,286 850	1,076 00		111,818 06	110,686 53	1,131 53	0 00	1 131 53
12 Dec 07	14,100 050	1,062 00		153,267 54	149,742 54	3 525 00	0 00	3 525 00
31 Dec 07	9,052 860	1,069 00		98,404 59	96,775 05	1 629 54	0 00	1 629 54
30 May 08	9,090 610	1,077 00		98 814 93	97,905 91	909 02	0 00	909 02
30 Jun 08	8,931 910	1,063 00		97,089 86	94 946 22	2,143 64	0 00	2,143 64
31 Jul 08	8,691 680	1,060 00		94,478 56	92,131 78	2,346 78	0 00	2,346 78
29 Aug 08	8,575 600	1 066 00		93,216 77	91,415 88	1,800 89	0 00	1,800 89
30 Sep 08	7,974 690	1 028 00		86,684 88	81,979 85	4,705 03	0 00	4,705 03
31 Oct 08	9,430 240	1 014 00		102,506 71	95,622 60	6 884 11	0 00	6 884 11
28 Nov 08	8,330 200	1,030 00		90,549 28	85,801 02	4 748 26	0 00	4 748 26
10 Dec 08	36,431 000	990 00		396,004 97	360,666 86	35 338 11	0 00	35 338 11
10 Dec 08	63,959 130	990 00		695,235 74	633,195 38	62,040 36	0 00	62 040 36
31 Dec 08	9,350 220	1,014 00		101,636 89	94,811 23	6,825 66	0 00	6,825 66
30 Jan 09	9 369 960	1,015 00		101,851 46	95,105 12	6,746 34	0 00	6,746 34
27 Feb 09	9,820 020	1 001 00		106,743 61	98,298 40	8,445 21	0 00	8,445 21
31 Mar 09	7,832 700	1,013 00		85,141 45	79,345 29	5,796 16	0 00	5 796 16
30 Apr 09	7 775 210	1,022 00		84,516 54	79 462 69	5,053 85	0 00	5,053 85
29 May 09	7,584 060	1,043 00		82,438 73	79 101 69	3,337 04	0 00	3,337 04
30 Jun 09	7,554 820	1,045 00		82,120 90	78 947 91	3,172 99	0 00	3,172 99

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - government agencies								
31 Jul 09	7,835 940	1 063 00		85 176 67	83,296 02	1,880 65	0 00	1,880 65
31 Aug 09	7,590 150	1,078 00		82 504 93	81,821 77	683 16	0 00	683 16
31 Dec 09	5 092 700	1,080 00		55 357 65	55 001 19	356 46	0 00	356 46
8 Dec 10	54 644 260	1,080 00		593,983 10	590 157 98	3 825 12	0 00	3,825 12
8 Dec 10	24 985 970	1,080 00		271,597 49	269 848 48	1,749 01	0 00	1 749 01
30 Sep 11	2,721 450	1,079 00		29,582 16	29 364 41	217 75	0 00	217 75
31 Oct 11	2,518 760	1,091 00		27,378 92	27,479 64	- 100 72	0 00	- 100 72
30 Nov 11	2,664 850	1,078 00		28,966 92	28,727 04	239 88	0 00	239 88
28 Dec 11	5,374 830	1,083 00		58,424 41	58,209 37	215 04	0 00	215 04
30 Dec 11	2 623 220	1,087 00		28,514 40	28,514 43	- 0 03	0 00	- 0 03
Total USD			54,029 60	19,501,502 48	18,758,261 73	743,240 75	0 00	743,240 75
Total United States			54,029 60	19,501,502 48	18,758,261 73	743,240 75	0 00	743,240 75
Total funds - government agencies			54,029 60	19,501,502 48	18,758,261 73	743,240 75	0 00	743,240 75
Funds - municipal/provincial bond								
United States - USD								
MFO COMMUNITY REINVESTMENT ACT QUALIFIEDINVT FD INSTL SHS CUSIP 204029201	1,073 761 550 11 070		32,087 34	11,886,540 35	11,557 448 54	329 091 81	0 00	329 091 81
Issue Date 2 Sep 08								
21 Dec 10	486,584 590	1,077 00		5,386,491 41	5,240,516 07	145,975 34	0 00	145,975 34
22 Dec 10	558,139 540	1,075 00		6,178,604 70	6,000,000 00	178,604 70	0 00	178,604 70
31 Dec 10	3,374 800	1,074 00		37,359 04	36,245 38	1,113 66	0 00	1,113 66
31 Jan 11	3,429 000	1,072 00		37,959 03	36,758 89	1,200 14	0 00	1 200 14

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

Portfolio Statement

31 DEC 2011

Account number WROCK
Account Name

◆ Asset Detail - Taxable

Cost Method HIGH COST

Fixed Income

Description Asset ID Acquisition Date	Shares/PA Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Translation	Total
Funds - municipal/provincial bond								
28 Feb 11	3,469 650	1,071 00		38,409 03	37,159 93	1,249 10	0 00	1,249 10
31 Mar 11	3,395 170	1 070 00		37,584 53	36,328 28	1,256 25	0 00	1,256 25
31 Oct 11	2 910 250	1,109 00		32,216 47	32,274 63	- 58 16	0 00	- 58 16
30 Nov 11	2 902 490	1,111 00		32,130 56	32,246 63	- 116 07	0 00	- 116 07
15 Dec 11	6 139 570	1,109 00		67,965 04	68,087 87	- 122 83	0 00	- 122 83
15 Dec 11	517 900	1,109 00		5,733 15	5,743 52	- 10 37	0 00	- 10 37
30 Dec 11	2 898 590	1,107 00		32,087 39	32,087 34	0 05	0 00	0 05
Total USD			32,087 34	11,886,540 35	11,557,448 54	329,091 81	0 00	329,091 81
Total United States			32,087 34	11,886,540 35	11,557,448 54	329,091 81	0 00	329,091 81
Total funds - municipal/provincial bond			32,087 34	11,886,540.35	11,557,448.54	329,091.81	0 00	329,091 81
Total fixed income			86,116 94	31,388,042 83	30,315,710.27	1,072,332 56	0 00	1,072,332 56

** - Lot Level Information Not Verified

Northern Trust

Generated by Northern Trust from periodic data on 20 Jan 12

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
850 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	Various	02/10/2011	21,010 23	12,073 12	8,937 11	0 00
9 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	08/23/2010	02/10/2011	226 42	128 43	97 99	0 00
241 0000	032332504	AMTECH SYS INC COM PAR \$0.01 NEW STK	08/23/2010	02/10/2011	5,833.16	3,439 19	2,393 97	0 00
66.0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	08/24/2010	02/10/2011	1,646 27	935 91	710 36	0 00
6624 0000	032515108	ANADIGICS INC COM	Various	02/10/2011	41,972 83	38,964 37	3,008 46	0 00
2045 0000	032515108	ANADIGICS INC COM	Various	02/10/2011	13,095 71	12,287 06	808 65	0 00
1932 0000	98974P100	ZIX CORP COM	12/07/2010	02/11/2011	8,079 85	8,212.16	-132 31	0 00
582 0000	98974P100	ZIX CORP COM	12/07/2010	02/11/2011	2,396 80	2,473 85	-77 05	0 00
1169 0000	032332504	AMTECH SYS INC COM PAR \$0 01 NEW STK	Various	02/14/2011	29,076 67	16,069 19	13,007.48	0 00
425 0000	444398101	#REORG/HUGHES COMMUNICATIONS INC COM STKCASH MERGER 6-8-2011	12/01/2010	02/14/2011	25,231.34	17,023 65	8,207 69	0 00
3265.0000	98974P100	ZIX CORP COM	Various	02/14/2011	13,587 03	13,302 38	284 65	0 00
2442 0000	98974P100	ZIX CORP COM	11/02/2010	02/15/2011	9,695 28	9,808 34	-113 06	0 00
3036 0000	98974P100	ZIX CORP COM	Various	02/15/2011	12,157 12	12,067 14	89 98	0 00
428 0000	98974P100	ZIX CORP COM	11/02/2010	02/15/2011	1,718 72	1,719 07	-0 35	0 00
1224 0000	45885A300	INTERNAP DELAWARE INC COM PAR \$ 001 COM STK	Various	02/16/2011	9,444 57	8,738.72	705 85	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 6 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
272.0000	45885A300	INTERNAP DELAWARE INC COM PAR \$ 001 COM STK	01/11/2011	02/16/2011	2,102.89	1,942.03	160.86	0.00
190.0000	45885A300	INTERNAP DELAWARE INC COM PAR \$ 001 COM STK	01/12/2011	02/16/2011	1,462.02	1,356.32	105.70	0.00
2179.0000	45885A300	INTERNAP DELAWARE INC COM PAR \$ 001 COM STK	01/11/2011	02/16/2011	16,799.76	15,557.62	1,242.14	0.00
3116.0000	03070L300	AMERIGON INC COM	Various	02/17/2011	38,589.35	34,287.69	4,301.66	0.00
4063.0000	03070L300	AMERIGON INC COM	Various	02/17/2011	50,864.94	39,635.31	11,229.63	0.00
538.0000	98974P100	ZIX CORP COM	11/01/2010	02/17/2011	2,155.82	2,096.87	58.95	0.00
4008.0000	98974P100	ZIX CORP COM	Various	02/17/2011	15,759.15	15,797.04	-37.89	0.00
292.0000	03070L300	AMERIGON INC COM	09/21/2010	02/18/2011	3,631.53	2,718.40	913.13	0.00
398.0000	03070L300	AMERIGON INC COM	09/21/2010	02/18/2011	4,895.27	3,705.22	1,190.05	0.00
421.0000	98974P100	ZIX CORP COM	10/29/2010	02/18/2011	1,585.03	1,625.01	-39.98	0.00
304.0000	98974P100	ZIX CORP COM	11/01/2010	02/18/2011	1,192.05	1,184.85	7.20	0.00
3893.0000	98974P100	ZIX CORP COM	Various	02/18/2011	14,976.86	15,150.98	-174.12	0.00
1548.0000	98974P100	ZIX CORP COM	10/29/2010	02/22/2011	5,835.84	5,975.08	-139.24	0.00
3071.0000	98974P100	ZIX CORP COM	10/29/2010	02/22/2011	11,580.20	11,853.66	-273.46	0.00
3236.0000	585464100	MELCO CROWN ENTERTAINMENT LTD	01/03/2011	02/23/2011	21,596.64	21,834.26	-237.62	0.00
68.0000	585464100	MELCO CROWN ENTERTAINMENT LTD	01/03/2011	02/23/2011	466.47	458.82	7.65	0.00
23.0000	585464100	MELCO CROWN ENTERTAINMENT LTD	01/03/2011	02/23/2011	158.46	155.19	3.27	0.00
1596.0000	74972L102	RSC HLDGS INC COM	Various	02/23/2011	20,415.31	15,742.61	4,672.70	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 7 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
380 0000	74972L102	RSC HLDGS INC COM	12/15/2010	02/23/2011	4,784 60	3,752 77	1,031 83	0 00
2017 0000	74972L102	RSC HLDGS INC COM	Various	02/23/2011	25,396 15	21,278 85	4,117 30	0 00
1420 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/23/2011	3,060 47	4,200 14	-1,139 67	0 00
651 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	04/12/2010	02/23/2011	1,373 58	1,957 10	-583 52	0 00
447 0000	192422103	COGNEX CORP	Various	02/24/2011	12,167 02	14,788 76	-2,621 74	0 00
316 0000	192422103	COGNEX CORP	02/10/2011	02/24/2011	8,611 87	11,024 99	-2,413 12	0 00
6069 0000	390124105	GREAT BASIN GOLD LTD COM STK	08/30/2010	02/24/2011	15,396 75	13,076 27	2,320 48	0 00
556 0000	413086109	HARMAN INTERNATIONAL INDUSTRIES	11/10/2010	02/24/2011	26,370 96	22,747 40	3,623 56	0 00
255 0000	413086109	HARMAN INTERNATIONAL INDUSTRIES	11/10/2010	02/24/2011	12,073 99	10,432 71	1,641 28	0 00
248 0000	458665106	INTERFACE INC CL A	02/07/2011	02/24/2011	3,867 78	4,336 06	-468 28	0 00
12 0000	458665106	INTERFACE INC CL A	02/07/2011	02/24/2011	187 79	209 81	-22 02	0 00
939 0000	458665106	INTERFACE INC CL A	02/07/2011	02/24/2011	14,702 19	16,417 57	-1,715 38	0 00
3100 0000	720279108	PIER 1 IMPORTS INC COM	Various	02/24/2011	28,662 35	29,883 42	-1,221 07	0 00
424 0000	880349105	TENNECO INC	07/28/2010	02/24/2011	16,971 03	10,383 13	6,587 90	0 00
233 0000	880349105	TENNECO INC	Various	02/24/2011	9,361 76	6,005 47	3,356 29	0 00
2698 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	04/09/2010	02/24/2011	5,645 45	7,972 35	-2,326 90	0 00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 8 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
261.0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	04/09/2010	02/24/2011	547.46	771.23	-223.77	0.00
1258.0000	227046109	CROCS INC COM	Various	02/25/2011	22,098.48	14,844.74	7,253.74	0.00
968.0000	227046109	CROCS INC COM	07/26/2010	02/25/2011	17,856.73	11,679.62	6,177.11	0.00
1278.0000	227046109	CROCS INC COM	Various	02/25/2011	22,508.09	15,983.20	6,524.89	0.00
1278.0000	390124105	GREAT BASIN GOLD LTD COM STK	08/30/2010	02/25/2011	3,299.61	2,753.58	546.03	0.00
4780.0000	390124105	GREAT BASIN GOLD LTD COM STK	08/30/2010	02/25/2011	12,417.24	10,298.99	2,118.25	0.00
2346.0000	45885A300	INTERNAP DELAWARE INC COM PAR \$.001 COM STK	Various	02/25/2011	16,473.29	16,659.34	-186.05	0.00
2277.0000	45885A300	INTERNAP DELAWARE INC COM PAR \$.001 COM STK	Various	02/25/2011	15,900.22	15,285.86	614.36	0.00
812.0000	880349105	TENNECO INC	07/27/2010	02/25/2011	32,658.09	19,810.20	12,847.89	0.00
412.0000	880349105	TENNECO INC	Various	02/25/2011	16,525.00	10,086.61	6,438.39	0.00
2350.0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/25/2011	4,838.08	5,764.02	-925.94	0.00
3621.0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/25/2011	7,454.76	8,598.45	-1,143.69	0.00
1083.0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/25/2011	2,202.46	2,494.59	-292.13	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 9 of 15

12/15/2012 4:10:08 PM

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1187 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	09/20/2010	02/28/2011	2,441 13	2,634 00	-192 87	0.00
1192 0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	02/28/2011	2,453 68	2,672 25	-218.57	0.00
2059 0000	803893106	SATCON TECH CORP COM	08/06/2010	03/01/2011	6,791 47	7,365 78	-574 31	0.00
3035 0000	803893106	SATCON TECH CORP COM	Various	03/01/2011	10,277 22	11,120 19	-842.97	0.00
3836 0000	803893106	SATCON TECH CORP COM	Various	03/02/2011	13,894 49	13,557 56	336.93	0.00
1404 0000	803893106	SATCON TECH CORP COM	Various	03/02/2011	5,071 85	4,782 82	289.03	0.00
208 0000	803893106	SATCON TECH CORP COM	08/11/2010	03/02/2011	786.64	706.38	80.26	0.00
14086.0000	918076100	#REORG/UTSTARCOM STOCK MERGER UTSTARCOM HOLDINGS 2C18AM1 6-24-2011	Various	03/02/2011	29,302 53	29,804 71	-502 18	0.00
1824 0000	74365A101	PROTALIX BIOTHERAPEUTICS INC COM STK	Various	03/03/2011	11,987 27	18,703 59	-6,716 32	0.00
11070 0000	803893106	SATCON TECH CORP COM	Various	03/03/2011	41,995 44	36,223 49	5,771 95	0.00
3142 0000	720279108	PIER 1 IMPORTS INC COM	Various	03/07/2011	29,048 49	29,030 96	17.53	0.00
9607 0000	13123E500	CALLIDUS SOFTWARE INC COM STK	Various	03/08/2011	63,453 01	42,314 67	21,138.34	0.00
6541 0000	023111206	ADR AMARIN CORP PLC SPONSORED ADR NEW	Various	03/11/2011	47,846 49	57,651.90	-9,805 41	0.00
15750 0000	054540109	AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095	Various	03/11/2011	39,146 45	50,658 91	-11,512 46	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 10 of 15

TEP:SKA 40 1000 16 40

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
4726.0000	054540109	AXCELIS TECHNOLOGIES INC COM STK ISIN# US0545401095	Various	03/11/2011	11,746.42	15,922.82	-4,176.40	0.00
2022.0000	126804301	CABELAS INC COM STK	Various	03/11/2011	53,207.90	55,305.23	-2,097.33	0.00
3003.0000	144577103	CARRIZO OIL & GAS INC COM	Various	03/11/2011	100,853.82	95,058.65	5,795.17	0.00
2372.0000	161150308	CHART-INDS INC COM PAR \$0.01 COM PAR \$0.01	Various	03/11/2011	102,318.42	80,080.39	22,238.03	0.00
1044.0000	161150308	CHART-INDS INC COM PAR \$0.01 COM PAR \$0.01	Various	03/11/2011	45,033.91	40,366.45	4,667.46	0.00
1741.0000	172755100	CIRRUS LOGIC INC COM	Various	03/11/2011	36,516.76	41,134.08	-4,617.32	0.00
1894.0000	19239V302	COGENT COMMUNICATIONS GROUP INC COM NEW COM NEW	Various	03/11/2011	27,320.42	26,456.22	864.20	0.00
4811.0000	19239V302	COGENT COMMUNICATIONS GROUP INC COM NEW COM NEW	Various	03/11/2011	69,397.32	68,153.62	1,243.70	0.00
1555.0000	192422103	COGNEX CORP.	Various	03/11/2011	41,727.61	49,028.05	-7,300.44	0.00
3372.0000	20564W105	COMSCORE INC COM	Various	03/11/2011	92,902.51	61,233.76	31,668.75	0.00
3587.0000	23251P102	CYBERONICS INC COM	Various	03/11/2011	115,552.97	77,898.28	37,654.69	0.00
1840.0000	232572107	CYMER INC	Various	03/11/2011	85,972.35	93,610.14	-7,637.79	0.00
2026.0000	23334L102	DSW INC CL A CL A	Various	03/11/2011	83,647.34	64,057.50	19,589.84	0.00
3588.0000	253017107	DICE HLDGS INC COM STK	Various	03/11/2011	50,045.66	44,528.44	5,517.22	0.00
2411.0000	253017107	DICE HLDGS INC COM STK	Various	03/11/2011	33,628.78	33,550.71	78.07	0.00
3155.0000	267888105	DYNAMIC MATLS CORP COM 8-15-97	Various	03/11/2011	76,849.47	71,635.41	5,214.06	0.00
527.0000	267888105	DYNAMIC MATLS CORP COM 8-15-97	Various	03/11/2011	12,836.66	11,502.50	1,334.16	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 11 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5036 0000	29089Q105	EMERGENT BIOSOLUTIONS INC COM	Various	03/11/2011	114,843.76	95,675.57	19,168.19	0.00
10659 0000	302633102	FSI INTL INC COM	Various	03/11/2011	38,465.07	49,384.30	-10,919.23	0.00
7964 0000	302633102	FSI INTL INC COM	Various	03/11/2011	28,739.64	34,455.90	-5,716.26	0.00
3380 0000	31787A507	FINISAR CORPORATION COMMON STOCK	Various	03/11/2011	78,363.79	81,469.81	-3,106.02	0.00
1623 0000	34415V109	ADR FOCUS MEDIA HLDG LTD SPONSORED ADR	01/05/2011	03/11/2011	46,701.30	37,654.09	9,047.21	0.00
2225 0000	393657101	GREENBRIER COS INC COM STK	Various	03/11/2011	55,546.05	47,042.32	8,503.73	0.00
814 0000	413086109	HARMAN INTERNATIONAL INDUSTRIES	11/10/2010	03/11/2011	40,166.05	33,302.86	6,863.19	0.00
2629 0000	428291108	HEXCEL CORP NEW COM	Various	03/11/2011	49,726.57	52,498.22	-2,771.65	0.00
2854 0000	44980X109	IPG PHOTONICS CORP COM	Various	03/11/2011	152,469.31	59,523.88	92,945.43	0.00
5392 0000	45071R109	IXIA COM	Various	03/11/2011	89,154.99	68,208.11	20,946.88	0.00
2653 0000	45245E109	IMAX CORP COM	Various	03/11/2011	74,562.84	72,510.52	2,052.32	0.00
1200 0000	458665108	INTERFACE INC CL A	02/03/2011	03/11/2011	19,793.61	20,136.48	-342.87	0.00
4629 0000	45885A300	INTERNAP DELAWARE INC COM PAR \$ 001 COM STK	Various	03/11/2011	29,787.02	29,124.99	662.03	0.00
5538 0000	45928H106	#REORG/INTERNATIONAL COAL GROUP INC NEW COM CASH MERGER 6-15-2011	Various	03/11/2011	54,022.15	40,500.24	13,521.91	0.00
775 0000	46121Y102	INTREPID POTASH INC COM	Various	03/11/2011	26,392.11	24,080.03	2,312.08	0.00
1197 0000	488152208	KELLY SVCS INC CL A	02/18/2011	03/11/2011	24,065.21	27,052.21	-2,987.00	0.00
1186 0000	500643200	KORN / FERRY INTL COM NEW	12/08/2010	03/11/2011	23,630.59	24,113.63	-483.04	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 12 of 15

TEP15003 40 10/21/2011

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
1200.0000	535555106	LINDSAY MANUFACTURING COMPANY COMMON	Various	03/11/2011	84,279.69	74,380.09	9,899.60	0.00
533.0000	535555106	LINDSAY MANUFACTURING COMPANY COMMON	Various	03/11/2011	37,434.23	38,782.83	-1,348.60	0.00
1109.0000	585464100	MELCO CROWN ENTERTAINMENT LTD	01/03/2011	03/11/2011	8,123.27	7,482.75	640.52	0.00
2225.0000	624580106	MOVADO GROUP INC	Various	03/11/2011	30,105.10	35,369.92	-5,264.82	0.00
10192.0000	64045Y108	NEO MATL TECHNOLOGIES INC COM STK	Various	03/11/2011	87,496.63	88,421.51	-924.88	0.00
1892.0000	68375Q403	OPLINK COMMUNICATIONS INC COM NEW	Various	03/11/2011	40,913.72	53,988.89	-13,075.17	0.00
3626.0000	70336T104	PATRIOT COAL CORP-STOCK DISTRIBUTION	Various	03/11/2011	81,819.12	95,240.88	-13,421.76	0.00
3148.0000	720279108	PIER 1 IMPORTS INC COM	Various	03/11/2011	29,197.13	27,927.38	1,269.75	0.00
8691.0000	723655106	PIONEER DRILLING CO COM STK	Various	03/11/2011	104,787.99	74,141.94	30,626.05	0.00
3713.0000	74365A101	PROTALIX BIOTHERAPEUTICS INC COM STK	Various	03/11/2011	23,124.47	30,924.25	-7,799.78	0.00
8109.0000	74972L102	RSC HLDGS INC COM	Various	03/11/2011	106,788.76	77,004.17	29,784.59	0.00
1970.0000	770196103	ROBBINS & MYERS INC COM	Various	03/11/2011	81,211.68	84,089.37	-2,877.69	0.00
5004.0000	800907206	SANMINA-SCI CORP COM NEW COM NEW	Various	03/11/2011	70,528.02	77,225.39	-6,697.37	0.00
13877.0000	825211105	SHORETEL INC COM	Various	03/11/2011	97,389.19	96,731.87	657.32	0.00
10666.0000	82705T102	SILICON IMAGE INC COM STK	Various	03/11/2011	94,862.23	39,591.59	55,270.64	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15J
 Page 13 of 15

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
5993 0000	84763A108	SPECTRUM PHARMACEUTICALS INC COM	Various	03/11/2011	46,954.24	42,001.56	4,952.68	0.00
1779 0000	84763A108	SPECTRUM PHARMACEUTICALS INC COM	Various	03/11/2011	13,938.19	11,713.62	2,224.57	0.00
3321 0000	86074Q102	STILLWATER MNG CO COM	Various	03/11/2011	72,711.88	79,285.15	-6,573.27	0.00
15688 0000	86858W101	SUPPORT COM INC COM	Various	03/11/2011	86,426.29	70,172.55	16,253.74	0.00
3582 0000	87157B103	SYNCHRONOSS TECHNOLOGIES INC COM STK	Various	03/11/2011	112,354.46	94,001.19	18,353.27	0.00
3899 0000	88632Q103	TIBCO SOFTWARE INC COM	Various	03/11/2011	93,359.75	94,624.78	-1,265.03	0.00
7498 0000	88830M102	TITAN INTL INC ILL COM	Various	03/11/2011	173,463.89	92,540.95	80,922.94	0.00
4383 0000	88830R101	TITAN MACHY INC COM	Various	03/11/2011	116,307.97	89,211.09	27,096.88	0.00
975 0000	904311107	UNDER ARMOUR INC CL A	Various	03/11/2011	67,688.06	34,773.92	32,914.14	0.00
2621 0000	911363109	UNITED RENTALS INC	Various	03/11/2011	79,611.34	76,472.86	3,138.48	0.00
967 0000	91347P105	UNVL DISPLAY CORP COM	Various	03/11/2011	38,916.16	40,357.76	-1,441.60	0.00
2673 0000	928241108	VIOPHARMA INC COM STK	12/15/2010	03/11/2011	50,665.73	46,855.82	3,809.91	0.00
1072 0000	928241108	VIOPHARMA INC COM STK	Various	03/11/2011	20,319.36	18,635.19	1,684.17	0.00
4576 0000	92911K100	VOYAGER OIL & GAS INC COM	Various	03/11/2011	23,913.61	23,494.25	419.36	0.00
7865 0000	929566107	WABASH NATL CORP COM	Various	03/11/2011	82,149.98	94,450.73	-12,300.75	0.00
1650 0000	929566107	WABASH NATL CORP COM	01/26/2011	03/11/2011	17,234.26	20,336.58	-3,102.32	0.00
932 0000	989922109	ZOLL MED CORP	Various	03/11/2011	39,996.01	32,027.82	7,968.19	0.00
1926 0000	G10082140	ENERGY XXI (BERMUDA) COM STK USD \$0.005	Various	03/11/2011	61,139.69	65,470.66	-4,330.97	0.00

This is important tax information and is being furnished to you.

2011 Tax Information Statement

Account Number: 26-29064
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
33330 0000	G93205113	VANTAGE DRILLING CO COM STK	Various	03/11/2011	63,727.91	69,153.96	-5,426.05	0.00
8700.0000	G93205113	VANTAGE DRILLING CO COM STK	01/03/2011	03/11/2011	16,634.65	17,718.42	-1,083.77	0.00
Total Short Term Sales					6,554,313.28	5,767,862.96	786,450.30	0.00
Long Term 15% Sales								
1664.0000	073302101	BE AEROSPACE INC	Various	01/12/2011	65,146.49	22,161.75	42,984.74	0.00
671 0000	073302101	BE AEROSPACE INC	07/14/2009	01/13/2011	26,076.89	8,839.45	17,237.44	0.00
2059.0000	640268108	NEKTAR THERAPEUTICS COM	05/21/2009	02/01/2011	23,209.01	13,484.79	9,724.22	0.00
3305 0000	15670R107	CEPHEID INC COM	Various	03/11/2011	86,445.04	50,758.53	35,686.51	0.00
2722.0000	34415V109	ADR FOCUS MEDIA HLDG LTD SPONSORED ADR	09/24/2009	03/11/2011	78,324.66	28,337.41	49,987.25	0.00
8866 0000	585464100	MELCO CROWN ENTERTAINMENT LTD	03/05/2010	03/11/2011	64,942.19	39,552.12	25,390.07	0.00
1673 0000	989922109	ZOLL MED CORP	Various	03/11/2011	71,795.41	38,815.65	32,979.76	0.00
Total Long Term 15% Sales					415,939.69	201,949.70	213,989.99	0.00

This is important tax information and is being furnished to you.

Winthrop Rockefeller Foundation
 Statement 15J
 Page 15 of 15

2011 Tax Information Statement

Account Number: 26-84430
Recipient's Tax ID Number: XX-XXX5871
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
WINTHROP ROCKEFELLER FOUNDATION
ANDREA DOBSON
225 EAST MARKHAM STREET
SUITE 200
LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
90334 2400	204029201	MFO COMMUNITY REINVESTMENT ACT QUALIFIED INVT FD INSTL SHS	Various	10/17/2011	1,000,000.00	976,571.21	23,428.79	0.00
Total Short Term Sales					1,000,000.00	976,571.21	23,428.79	0.00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
Statement 15K
Page 1 of 1

2011 Tax Information Statement

Account Number: 26-08667
 Recipient's Tax ID Number: XX-XXX5871

Recipient's Name and Address:
 WINTHROP ROCKEFELLER FOUNDATION
 ANDREA DOBSON
 225 EAST MARKHAM STREET
 SUITE 200
 LITTLE ROCK, AR 72201-1636

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

2011 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
3080 4900	04314H402	MFO ARTISAN FDS INC INTL FD INSTL SHS	12/16/2010	03/28/2011	68,879 76	66,384 64	2,495.12	0 00
Total Short Term Sales					68,879 76	66,384 64	2,495 12	0 00
Long Term 15% Sales								
86364 9500	04314H402	MFO ARTISAN FDS INC INTL FD INSTL SHS	Various	03/28/2011	1,931,120 25	1,856,981 56	74,138 69	0 00
Total Long Term 15% Sales					1,931,120.25	1,856,981 56	74,138 69	0 00

This is important tax information and is being furnished to you

Winthrop Rockefeller Foundation
 Statement 15L
 Page 1 of 1

30-6133442

JSA
1F0971 2 000

30-6133442

JSA
1F0970 2 000

FEDERAL COMMON TRUST FUNDS
=====SHORT-TERM COMMON TRUST FUNDS

MFB NTGI COMMON DAILY AGGREGATE BOND INDEX	75,096.63
MFB NTGI-QM COM DAILY RUSSELL 2000 GROWTH E	10,090.44

TOTAL SHORT-TERM COMMON TRUST FUNDS (ROUNDED)	85,187.00
---	-----------

LONG-TERM COMMON TRUST FUNDS

15% RATE COMMON TRUST FUNDS

MFB NTGI COMMON DAILY AGGREGATE BOND INDEX	472,934.39
MFB NTGI-QM COM DAILY RUSSELL 2000 GROWTH E	14,419.66

TOTAL 15% RATE COMMON TRUST FUNDS	487,354.00
TOTAL LONG-TERM COMMON TRUST FUNDS (ROUNDED)	487,354.00

	Russell 2000	Bond Index
Short Term	48,631 (pg 9)	1,098 (pg 9)
Long Term		45,710 (pg 10)
S/T Trust Funds	10,090	75,097
L/T Trust Funds	14,420	472,934
Total	73,141	594,839

✓