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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation ANNETTE J. HAGENS MEMORIAL FOUNDATION 02-40869		A Employer identification number 65-6248208
Number and street (or P.O. box number if mail is not delivered to street address) 800 S. OSPREY AVENUE		B Telephone number 941-366-3600
City or town, state, and ZIP code SARASOTA, FL 34236		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,333,905.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		31,493.	31,493.		STATEMENT 1
4 Dividends and interest from securities		103,219.	103,219.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		75,806.			
b Gross sales price for all assets on line 6a		218,661.			
7 Capital gain net income (from Part IV, line 2)			75,806.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		210,518.	210,518.		
13 Compensation of officers, directors, trustees, etc		82,866.	75,906.		6,960.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		1,465.	1,465.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		2,500.	2,500.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		26.	26.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		86,857.	79,897.		6,960.
25 Contributions, gifts, grants paid		186,078.			186,078.
26 Total expenses and disbursements. Add lines 24 and 25		272,935.	79,897.		193,038.
27 Subtract line 26 from line 12		<62,417.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			130,621.		
c Adjusted net income (if negative, enter -0-)				N/A	

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17

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		98,186.	36,680.	36,680.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		1,960.	0.	0.
	b	Investments - corporate stock STMT 7		2,002,042.	2,035,125.	2,343,034.
	c	Investments - corporate bonds STMT 8		924,137.	892,103.	954,191.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		3,026,325.	2,963,908.	3,333,905.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		3,026,325.	2,963,908.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		3,026,325.	2,963,908.	
	31	Total liabilities and net assets/fund balances		3,026,325.	2,963,908.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,026,325.
2	Enter amount from Part I, line 27a	2	<62,417.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,963,908.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,963,908.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 218,661.		142,855.	75,806.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e			75,806.	
2 Capital gain net income or (net capital loss)			2	75,806.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	246,811.	3,413,395.	.072307
2009	271,935.	3,262,506.	.083352
2008	229,331.	3,874,045.	.059197
2007	266,167.	4,697,489.	.056662
2006	226,169.	4,561,305.	.049584
2 Total of line 1, column (d)			2 .321102
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .064220
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 3,412,612.
5 Multiply line 4 by line 3			5 219,158.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,306.
7 Add lines 5 and 6			7 220,464.
8 Enter qualifying distributions from Part XII, line 4			8 193,038.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,612.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	2,612.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		5	2,612.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 720.	7	3,093.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 2,373.	9	
d Backup withholding erroneously withheld	6d	10	481.
7 Total credits and payments Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	481.		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>FL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► NORTHERN TRUST BANK OF FLORIDA N.A. Telephone no ► 941-329-2627 Located at ► 1515 RINGLING BLVD., SARASOTA, FL ZIP+4 ► 34236			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NORMAN J. SHEA, III, CPA	TRUSTEE			
800 S. OSPREY AVENUE				
SARASOTA, FL 34236	10.00	34,798.	0.	0.
MATT A. SPERLING	TRUSTEE			
800 S. OSPREY AVENUE				
SARASOTA, FL 34236	10.00	34,798.	0.	0.
THE NORTHERN TRUST COMPANY	TRUSTEE			
1515 RINGLING BLVD.				
SARASOTA, FL 34236	10.00	13,270.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,390,275.
b	Average of monthly cash balances	1b	74,306.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,464,581.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,464,581.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	51,969.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,412,612.
6	Minimum investment return. Enter 5% of line 5	6	170,631.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	170,631.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,612.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,612.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	168,019.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	168,019.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	168,019.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	193,038.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	193,038.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	193,038.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				168,019.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	7,323.			
b From 2007	33,247.			
c From 2008	36,967.			
d From 2009	110,090.			
e From 2010	77,519.			
f Total of lines 3a through e	265,146.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 193,038.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				168,019.
e Remaining amount distributed out of corpus	25,019.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	290,165.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	7,323.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	282,842.			
10 Analysis of line 9				
a Excess from 2007	33,247.			
b Excess from 2008	36,967.			
c Excess from 2009	110,090.			
d Excess from 2010	77,519.			
e Excess from 2011	25,019.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SARASOTA BALLET OF FLORIDA INC 5555 N TAMIAMI TRAIL SARASOTA, FL 34243	NONE	501(C)3	DROPOUT PREVENTION PROGRAM	5,000.
SECOND CHANCE LAST OPPORTUNITY 1933 DR MARTIN LUTHER KING JR WAY SARASOTA, FL 34234	NONE	501(C)3	LIFE MANAGEMENT & LEADERSHIP PROGRAM	5,000.
BIG BROTHERS BIG SISTERS OF THE SUNCOAST INC 101 W VENICE AVE, #34 VENICE, FL 34285	NONE	501(C)3	BIGS IN SCHOOL PROGRAM	2,500.
BOYS & GIRLS CLUB OF MANATEE COUNTY 6220 MANATEE AVE WEST, SUITE 201 BRADENTON, FL 34209	NONE	501(C)3	SUMMER CAMP SCHOLARSHIP PROGRAM	10,000.
BOYS & GIRLS CLUB OF SARASOTA COUNTY P.O. BOX 4068 SARASOTA, FL 34230	NONE	501(C)3	SCHOLARSHIP PROGRAM	20,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	186,078.
b Approved for future payment				
NONE				
Total			▶ 3b	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

Date 6/27/12

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

NORMAN J. SHEA, III

WTSke

6.25-12

P00456227

Firm's name ► SUPLEE & SHEA, P.A.

Firm's EIN ► 59-2213319

Firm's address ► 800 SOUTH OSPREY AVENUE
SARASOTA, FL 34236-7834

Phone no 941-366-3600

Form 990-PF (2011)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LOVELAND CENTER INC 157 S HAVANA ROAD VENICE, FL 34292	NONE	501(C)3	EMPLOYMENT PROGRAM	2,500.
BOYS & GIRLS CLUBS OF SARASOTA COUNTY P.O. BOX 4068 SARASOTA, FL 34230	NONE	501(C)3	TEEN PROGRAMMING AT 4 CLUBS	50,000.
FAMILY NETWORK ON DISABILITIES 6215 LORRAINE ROAD BRADENTON, FL 34202	NONE	501(C)3	RESPIRE SERVICES PROGRAM	2,500.
FIRST STEP OF SARASOTA INC 1970 MAIN ST, 5TH FLOOR SARASOTA, FL 34236	NONE	501(C)3	SCHOLARSHIP PROGRAM	5,000.
INSTRIDE THERAPY INC P.O. BOX 365 NOKOMIS, FL 34274	NONE	501(C)3	HIPPOTHERAPY SERVICES FOR PHYSICAL DISABILITIES	2,500.
MOST IMPROVED STUDENT INC 1515 RINGLING BLVD SARASOTA, FL 34236	NONE	501(C)3	SCHOLARSHIP PROGRAM	10,000.
SAMARITAN COUNSELING SERVICES 3224 BEE RIDGE RD SARASOTA, FL 34239	NONE	501(C)3	CLIENT ASSISTANCE FUND	5,000.
SARASOTA AREA SPORTS AUTHORITY P.O. BOX 663 SARASOTA, FL 34230	NONE	501(C)3	SCHOLARSHIP PROGRAM	1,000.
SARASOTA CHRISTIAN SCHOOL YOUTH BASKETBALL 5415 BAHIA VISTA ST SARASOTA, FL 34232	NONE	501(C)3	CAMPS & LEAGUES	2,500.
SARASOTA COUNTY PARKS 6700 CLARK ROAD SARASOTA, FL 34241	NONE	501(C)3	ADOPT A CAMPER PROGRAM	2,500.
Total from continuation sheets				143,578.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SARASOTA FAMILY YMCA 1991 MAIN STREET, SUITE 200 SARASOTA, FL 34236	NONE	501(C)3	STRONG KIDS CAMPAIGN	2,500.
SARASOTA HIGH SCHOOL BASKETBALL BOOSTER CLUB 100 SOUTH SCHOOL AVE SARASOTA, FL 34237	NONE	501(C)3	SCHOLARSHIPS FOR SUMMER CAMP	2,500.
SCS YOUTH BASKETBALL 5415 BAHIA VISTA ST SARASOTA, FL 34276	NONE	501(C)3	SCHOLARSHIP PROGRAM	2,500.
SUNCOAST YOUTH BASKETBALL ASSOCIATION 6503 WATERFORD CIRCLE SARASOTA, FL 34238	NONE	501(C)3	SCHOLARSHIPS FOR UNDERPRIVILEGED YOUNG PEOPLE	2,500.
WEST FLORIDA CHRISTIAN SCHOOL 4311 WILKINSON ROAD SARASOTA, FL 34233	NONE	501(C)3	HELP STUDENTS WITH TUITION	2,500.
TSIC OF SARASOTA COUNTY P.O. BOX 48186 SARASOTA, FL 34230	NONE	501(C)3	SPONSOR ONE STUDENT THROUGH SCHOOL & BEYOND	6,000.
THE FLORIDA CENTER FOR CHILD & FAMILY DEVELOPMENT INC 4620 17TH ST SARASOTA, FL 34235	NONE	501(C)3	EARLY INTERVENTION SERVICES	2,500.
THE LINDSEY MAIBACH SCHOLARSHIP FUND 2427 CARDWELL WAY SARASOTA, FL 34231	NONE	501(C)3	SCHOLARSHIP PROGRAM	2,500.
THE UPSIDE DOWN FOUNDATION INC P.O. BOX 17791 SARASOTA, FL 34276	NONE	501(C)3	PRACTICING GOOD DECISIONS READING PROGRAM	5,000.
UNITED COMMUNITY CENTERS INC P.O. BOX 1683 BRADENTON, FL 34206	NONE	501(C)3	SCHOLARSHIPS FOR SUMMER CAMP	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WELLNESS COMMUNITY SW FLORIDA 5481 COMMUNICATIONS PARKWAY SARASOTA, FL 34240	NONE	501(C)3	FAMILY CONNECTIONS YOUTH WITH CANCER	5,000.
SOUTH COUNTY FAMILY YMCA 701 CENTER ROAD VENICE, FL 34285	NONE	501(C)3	PRESCHOOL PROGRAM	5,000.
EASTER SEALS SOUTHWEST FLORIDA 350 BRADEN AVENUE SARASOTA, FL 34243	NONE	501(C)3	PURCHASE REPLACEMENT COMPUTER & IPADS	3,803.
CHILD PROTECTION CENTER INC 720 SOUTH ORANGE AVE SARASOTA, FL 24236	NONE	501(C)3	SEXUAL ABUSE TREATMENT PROGRAM	5,000.
SCHOOL DISTRICT OF MANATEE COUNTY 305 17TH STREET EAST PALMETTO, FL 34221	NONE	501(C)3	PURCHASE KINDLES & NINTENDOS FOR HANDICAPPED	2,775.
GIRLS INCORPORATED OF SARASOTA COUNTY 201 S TUTTLE AVE SARASOTA, FL 34237	NONE	501(C)3	SCHOLARSHIP PROGRAM	5,000.
Total from continuation sheets				

ANNETTE J. HAGENS MEMORIAL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FHLMC	P	06/30/97	01/18/11
b	EXXON MOBIL CORP	P	06/30/97	01/24/11
c	MCDONALDS CORP	P	05/05/03	01/24/11
d	US BANCORP	P	01/29/04	01/24/11
e	UNITED TECHNOLOGIES CORP	P	01/08/01	01/24/11
f	WELLS FARGO & CO	P	10/13/99	01/24/11
g	FHLMC	P	06/30/97	02/15/11
h	FHLMC	P	06/30/97	03/15/11
i	FHLMC	P	06/30/97	04/15/11
j	FHLMC	P	06/30/97	05/16/11
k	JOHNSON & JOHNSON	P	01/18/06	05/24/11
l	MEDCO HEALTH SOLUTIONS INC	P	02/06/07	05/24/11
m	MFB NORTHERN HI YIELD FXD INC	P	01/18/06	05/24/11
n	PEPSICO INC	P	08/01/01	05/24/11
o	PHILIP MORRIS INTERNATIONAL	P	VARIOUS	05/24/11

(a) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 209.		209.	0.
b 23,584.		9,127.	14,457.
c 22,585.		5,250.	17,335.
d 16,059.		16,776.	<717.>
e 24,409.		10,986.	13,423.
f 19,533.		12,581.	6,952.
g 175.		175.	0.
h 151.		151.	0.
i 195.		195.	0.
j 277.		277.	0.
k 13,203.		12,379.	824.
l 12,747.		6,042.	6,705.
m 29,999.		32,034.	<2,035.>
n 14,227.		8,774.	5,453.
o 13,999.		4,861.	9,138.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			0.
b			14,457.
c			17,335.
d			<717.>
e			13,423.
f			6,952.
g			0.
h			0.
i			0.
j			0.
k			824.
l			6,705.
m			<2,035.>
n			5,453.
o			9,138.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

ANNETTE J. HAGENS MEMORIAL FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MFC SECTOR SPDR TR SHS	P	05/16/08	05/24/11
b	FHLMC	P	06/30/97	06/15/11
c	FHLMC	P	06/30/97	07/15/11
d	FHLMC	P	06/30/97	08/15/11
e	FHLMC	P	06/30/97	09/15/11
f	FHLMC	P	06/30/97	10/17/11
g	FHLMC	P	06/30/97	11/15/11
h	CAPITAL GAINS DIVIDENDS			
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 24,930.		22,085.	2,845.
b 250.		250.	0.
c 172.		172.	0.
d 156.		156.	0.
e 140.		140.	0.
f 155.		155.	0.
g 80.		80.	0.
h 1,426.			1,426.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			2,845.
b			0.
c			0.
d			0.
e			0.
f			0.
g			0.
h			1,426.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	75,806.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST	31,493.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	31,493.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NORTHERN TRUST	104,645.	1,426.	103,219.
TOTAL TO FM 990-PF, PART I, LN 4	104,645.	1,426.	103,219.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,465.	1,465.		0.
TO FORM 990-PF, PG 1, LN 16B	1,465.	1,465.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,500.	2,500.		0.
TO FORM 990-PF, PG 1, LN 18	2,500.	2,500.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SERVICE CHARGES	26.	26.		0.	
TO FORM 990-PF, PG 1, LN 23	26.	26.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
FEDERAL HOME LN MTG CORP	X		0.	0.	
TOTAL U.S. GOVERNMENT OBLIGATIONS					
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
EXXON CORP			18,005.	50,856.
GENERAL ELECTRIC			16,116.	13,433.
INTEL CORP			35,788.	48,500.
MICROSOFT CORP			24,408.	38,940.
WELLS FARGO			27,258.	35,828.
SYSCO			19,835.	21,998.
MIDCAP SPDR TR UNIT			45,528.	79,770.
CISCO SYSTEMS			47,250.	36,160.
LOWES			27,431.	38,070.
PEPSICO			26,322.	39,810.
UNITED TECHNOLOGIES			21,236.	43,854.
ISHARES TR MSCI EAFE INDEX			580,373.	515,112.
ISHARES TR RUSSELL 2000			53,991.	81,059.
ALTRIA GROUP			10,436.	29,650.
3M COMPANY			35,967.	49,038.
MCDONALDS CORP			8,750.	50,165.
MEDCO HEALTH SOLUTIONS			11,849.	30,745.
ISHARES TR MSCI EMERGE MKT			232,656.	246,610.

U.S. BANCORP	41,940.	40,575.
VERIZON COMMUNICATIONS	38,246.	48,144.
JOHNSON & JOHNSON	36,604.	39,348.
MFC VANGUARD INFORMATION TECHNOLOGY	92,876.	104,329.
SCHLUMBERGER LTD	35,954.	37,571.
MFC SECTOR SPDR TR SHS	0.	0.
PHILIP MORRIS INTL INC	14,246.	47,088.
SPECTRA ENERGY CORP	35,280.	43,050.
MFC SPDR GOLD TR	117,266.	159,590.
MFO PIMCO FDS PAC INVT MGMT	72,993.	61,830.
ABBOTT LABORATORIES	26,985.	28,115.
MFC SELECT SECTOR SPDR TR FINANCIAL	21,585.	19,500.
MFC SELECT SECTOR SPDR TR UTILS	27,252.	32,382.
SPDR DOW JONES REIT ETF	90,421.	96,600.
TRAVELERS COS INC	22,234.	23,668.
NOVARTIS AG	30,557.	28,585.
BERKSHIRE HATHAWAY INC	20,789.	19,075.
CHEVRON CORP	23,571.	26,600.
EMERSON ELECTRIC CO	23,190.	18,636.
WALT DISNEY CO	19,937.	18,750.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,035,125.	2,343,034.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS	50,552.	50,880.
MFB NORTHERN HIGH YIELD FIXED INCOME FD	311,844.	319,158.
DU PONT EI DE NEMOURS & CO	23,390.	22,890.
DISNEY WALT CO NEW MEDIUM	51,602.	50,450.
LOWES COS INC	49,749.	51,633.
DEERE JOHN CAP CORP	50,019.	52,386.
VERIZON GLOBAL FDG CORP	49,795.	52,389.
ABBOTT LABS NT	49,660.	54,073.
CISCO SYS INC SR NT	50,460.	58,187.
HONEYWELL INTL INC	50,558.	58,137.
JOHNSON & JOHNSON	51,505.	60,360.
KIMBERLY-CLARK SR NT	52,985.	66,062.
NATIONAL RURAL UTILS COOP	49,984.	57,586.
TOTAL TO FORM 990-PF, PART II, LINE 10C	892,103.	954,191.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT	9
	PART XV, LINES 2A THROUGH 2D		

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ANNETTE J. HAGENS MEMORIAL FOUNDATION TRUST
1515 RINGLING BLVD.
SARASOTA, FL 34236

TELEPHONE NUMBER

941-329-2627

FORM AND CONTENT OF APPLICATIONS

DESIGNATED APPLICATION TO INCLUDE ORGANIZATION'S GENERAL INFORMATION &
PURPOSE OF FUNDS REQUESTED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS EXCEPT FOR THE GEOGRAPHICAL AREA OF SARASOTA AND MANATEE
COUNTIES.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. ANNETTE J. HAGENS MEMORIAL FOUNDATION	Employer identification number (EIN) or ☒ 65-6248208
	Number, street, and room or suite no. If a P.O. box, see instructions. 800 S. OSPREY AVENUE	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SARASOTA, FL 34236	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

NORTHERN TRUST BANK OF FLORIDA N.A.

- The books are in the care of ► **1515 RINGLING BLVD. - SARASOTA, FL 34236**
Telephone No. ► **941-329-2627** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2011** or
► ☐ tax year beginning , and ending .

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	3,093.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	720.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	2,373.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2012)