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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation

LICHTERMAN LOEWENBERG FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

P O BOX 6

Room/suite

City or town, state, and ZIP code

MEMPHIS, TN 38101

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, col (c), line 16)

\$ 3,661,780. (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash ☐ Accrual☐ Other (specify)

A Employer identification number

62-6048265

B Telephone number

(901)525-1200

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,  
check here and attach computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

1 Contributions, gifts, grants, etc., received

83,576.

N/A

2 Check ☐ if the foundation is not required to attach Sch B3 Interest on savings and temporary  
cash investments

4 Dividends and interest from securities

92,660.

92,660.

STATEMENT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

-61,042.

b Gross sales price for all  
assets on line 6a

479,891.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns  
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

115,194.

92,660.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 2

3,440.

688.

688.

c Other professional fees

17 Interest

18 Taxes

STMT 3

2,998.

1,240.

0.

19 Depreciation and depletion

280.

0.

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 4

31,162.

23,988.

3,033.

24 Total operating and administrative  
expenses Add lines 13 through 23

37,880.

25,916.

3,721.

25 Contributions, gifts, grants paid

184,112.

184,112.

26 Total expenses and disbursements.  
Add lines 24 and 25

221,992.

25,916.

187,833.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-106,798.

b Net investment income (if negative, enter -0-)

66,744.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	295,131.	253,087.	253,087.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	948,462.	914,305.	1,082,902.
	c Investments - corporate bonds			
11 Investments - land, buildings and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 6	2,454,158.	2,423,632.	2,325,791.	
14 Land, buildings, and equipment: basis ▶ 3,047.				
Less accumulated depreciation STMT 7 ▶ 3,047.	71.	0.	0.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	3,697,822.	3,591,024.	3,661,780.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,697,822.	3,591,024.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	3,697,822.	3,591,024.		
31 Total liabilities and net assets/fund balances	3,697,822.	3,591,024.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,697,822.
2 Enter amount from Part I, line 27a	2	-106,798.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,591,024.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,591,024.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 479,891.		540,933.	-61,042.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			-61,042.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-61,042.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	135,224.	3,482,595.	.038829
2009	121,314.	3,088,541.	.039279
2008	225,791.	3,683,396.	.061300
2007	218,596.	4,540,012.	.048149
2006	219,445.	4,239,604.	.051761

2 Total of line 1, column (d)	2	.239318
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047864
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,701,401.
5 Multiply line 4 by line 3	5	177,164.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	667.
7 Add lines 5 and 6	7	177,831.
8 Enter qualifying distributions from Part XII, line 4	8	187,833.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	667.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	667.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	667.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	1,160.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	300.
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6d		
b Exempt foreign organizations - tax withheld at source	7	1,460.	
c Tax paid with application for extension of time to file (Form 8868)	8		
d Backup withholding erroneously withheld	9		
7 Total credits and payments. Add lines 6a through 6d	10	793.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	11	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input checked="" type="checkbox"/> 793. Refunded <input checked="" type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2011)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>FREDRIKA L FELT</u> Telephone no. ► <u>901/774-0400</u> Located at ► <u>677 PHELAN AVENUE, MEMPHIS, TN</u> ZIP+4 ► <u>38126</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15   <u>N/A</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Form 990-PF (2011)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870.

**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A****Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B****Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2011)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,180,481.
b	Average of monthly cash balances	1b	266,676.
c	Fair market value of all other assets	1c	310,611.
d	<b>Total</b> (add lines 1a, b, and c)	1d	3,757,768.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,757,768.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	56,367.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,701,401.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	185,070.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	185,070.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	667.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	667.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	184,403.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	184,403.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	184,403.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	187,833.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	187,833.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	667.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	187,166.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				184,403.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			16,688.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 187,833.				
<b>a</b> Applied to 2010, but not more than line 2a			16,688.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				171,145.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				13,258.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ALLIANCE FOR NONPROFIT EXCELLENCE 5100 POPLAR AVE, SUITE 502 MEMPHIS, TN 38137	N/A	PUBLIC	PROGRAM RELATED	500.
ARTS MEMPHIS 575 SOUTH MENDENHALL MEMPHIS, TN 38117	N/A	PUBLIC	PROGRAM RELATED	2,100.
BODINE SCHOOL 2432 YESTER OAKS DR GERMANTOWN, TN 38139	N/A	PUBLIC	PROGRAM RELATED	500.
BORNBLUM SOLOMON SCHECHTER SCHOOL 6641 HUMPHREYS BLVD. MEMPHIS, TN 38120	N/A	PUBLIC	PROGRAM RELATED	1,000.
CAMP GOODTIMES P.O. BOX 38173 GERMANTOWN, TN 38183	N/A	PUBLIC	PROGRAM RELATED	1,500.
<b>Total SEE CONTINUATION SHEET(S)</b>				<b>184,112.</b>
<b>b Approved for future payment</b>				
MEMPHIS JEWISH COMMUNITY CENTER (2007 - 7 YR PLEDGE FOR \$125,000) 6560 POPLAR AVENUE GERMANTOWN, TN 38138	N/A	PUBLIC	PROGRAM RELATED	35,715.
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE NASHVILLE, TN 37240	N/A	PUBLIC	PROGRAM RELATED	2,000.
<b>Total</b>				<b>37,715.</b>





**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

**LICHTERMAN LOEWENBERG FOUNDATION**

Employer identification number

**62-6048265**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)**

Name of organization

Employer identification number

**LICHTERMAN LOEWENBERG FOUNDATION****62-6048265****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>1</b>	<b>FELT, JOEL &amp; FREDRIKA</b> <b>P.O. BOX 6</b> <b>MEMPHIS, TN 38101</b>	\$ <b>25,750.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<b>2</b>	<b>FELT, W. ROSS &amp; ABIGAIL</b> <b>5050 POPLAR AVE SUITE 2115</b> <b>MEMPHIS, TN 38157</b>	\$ <b>4,750.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<b>3</b>	<b>LEACH, JOHN &amp; LISA</b> <b>2013 GOODVIEW DR</b> <b>GERMANTOWN, TN 38139</b>	\$ <b>100.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<b>4</b>	<b>LICHTERMAN, BARRY</b> <b>P.O. BOX 6</b> <b>MEMPHIS, TN 38101</b>	\$ <b>50.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<b>5</b>	<b>LICHTERMAN, JOHN D</b> <b>4153 KRITER LN</b> <b>MEMPHIS, TN 38117</b>	\$ <b>1,000.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<b>6</b>	<b>LICHTERMAN, PAT</b> <b>P.O. BOX 6</b> <b>MEMPHIS, TN 38101</b>	\$ <b>300.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization <b>LICHTERMAN LOEWENBERG FOUNDATION</b>	Employer identification number <b>62-6048265</b>
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**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>7</u>	<u>LOEWENBERG, HARRY &amp; CINDY</u> <u>2355 S STRATHMORE CR</u> <u>MEMPHIS, TN 38112</u>	\$ <u>1,500.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<u>8</u>	<u>LOEWENBERG, JAN</u> <u>P.O. BOX 6</u> <u>MEMPHIS, TN 38101</u>	\$ <u>500.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<u>9</u>	<u>LOEWENBERG, WILLIAM I &amp; BETTY</u> <u>P.O. BOX 6</u> <u>MEMPHIS, TN 38101</u>	\$ <u>24,906.</u>	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<u>10</u>	<u>MARKELL, HERMAN</u> <u>199 CHEROKEE DRIVE</u> <u>MEMPHIS, TN 38111</u>	\$ <u>10,000.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<u>11</u>	<u>MARKELL, ILENE &amp; LEWIS, BENJAMIN</u> <u>339 S. GROVE PARK RD.</u> <u>MEMPHIS, TN 38117</u>	\$ <u>1,100.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
<u>12</u>	<u>MARKELL, JOANNE</u> <u>P.O. BOX 6</u> <u>MEMPHIS, TN 38101</u>	\$ <u>11,500.</u>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

**LICHTERMAN LOEWENBERG FOUNDATION****62-6048265****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<b>13</b>	<b>NADEL, GLEN &amp; TRACY</b> <b>5291 BROOKE FARM DR</b> <b>DUNWOODY, GA 30338</b>	\$ <b>1,000.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<b>14</b>	<b>STERNBERG, ALLAN &amp; SARA</b> <b>9435 KIRKSIDE ROAD</b> <b>LOS ANGELES, CA 90035</b>	\$ <b>200.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<b>15</b>	<b>WETTER, JAMES &amp; ALISON</b> <b>2867 KEASLER CIR., W.</b> <b>GERMANTOWN, TN 38139</b>	\$ <b>820.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
<b>16</b>	<b>FELT, TUVYA</b> <b>P.O. BOX 6</b> <b>MEMPHIS, TN 38101</b>	\$ <b>100.</b>	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Employer identification number

62-6048265

[illegible]

Name of organization

Employer identification number

**LICHTERMAN LOEWENBERG FOUNDATION****62-6048265****Part III**

*Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ► \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CATHOLIC DIOCESE OF MEMPHIS 5825 SHELBY OAKS DRIVE MEMPHIS, TN 38134	N/A	PUBLIC	PROGRAM RELATED	500.
CHRISTIAN BROS. UNIVERSITY 650 EAST PARKWAY SOUTH MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	5,000.
CHURCH HEALTH CENTER 1210 PEABODY AVE MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	1,000.
EMMANUEL EPISCOPAL CENTER P.O. BOX 550 MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	1,000.
EXCHANGE CLUB FAMILY CENTER 2180 UNION AVE MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	500.
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	N/A	PUBLIC	PROGRAM RELATED	3,000.
GIRL SCOUTS HEART OF THE SOUTH PO BOX 240246 MEMPHIS, TN 38124	N/A	PUBLIC	PROGRAM RELATED	250.
HABITAT FOR HUMANITY 169 SCOTT ST MEMPHIS, TN 38112	N/A	PUBLIC	PROGRAM RELATED	1,000.
HARWOOD CENTER 711 JEFFERSON AVE MEMPHIS, TN 38105	N/A	PUBLIC	PROGRAM RELATED	7,500.
GREATER MEMPHIS GREENLINE PO BOX 171445 MEMPHIS, TN 38187	N/A	PUBLIC	PROGRAM RELATED	3,500.
Total from continuation sheets				178,512.

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HASC, BROOKLYN NY 1311 55TH STREET BROOKLYN, NY 11219	N/A	PUBLIC	PROGRAM RELATED	1,000.
JEWISH FAMILY SERVICE 6560 POPLAR AVENUE GERMANTOWN, TN 38138	N/A	PUBLIC	PROGRAM RELATED	1,000.
HEBREW SENIOR LIFE- BOSTON 1200 CENTRE STREET ROSLINDALE, MA 02131	N/A	PUBLIC	PROGRAM RELATED	250.
ITN MEMPHIS 561 SOUTH PRESCOTT MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	1,250.
LEVITT SHELL 1930 POPLAR AVENUE MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	3,500.
LIGHT OF CAMBODIAN CHILDREN INC 9 CENTRAL STREET, SUITE 203 LOWELL, MA 01852	N/A	PUBLIC	PROGRAM RELATED	500.
LITERACY MID-SOUTH 902 S. COOPER MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	250.
MARGOLIN HEBREW ACADEMY 390 SOUTH WHITE STATION RD MEMPHIS, TN 38117	N/A	PUBLIC	PROGRAM RELATED	700.
MEMPHIS FAMILY SHELTER PO BOX 22891 MEMPHIS, TN 38122	N/A	PUBLIC	PROGRAM RELATED	500.
MEMPHIS JEWISH COMMUNITY CENTER 6560 POPLAR AVENUE GERMANTOWN, TN 38138	N/A	PUBLIC	PROGRAM RELATED	17,857.
Total from continuation sheets				

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEMPHIS JEWISH FEDERATION 6560 POPLAR AVENUE GERMANTOWN, TN 38138	N/A	PUBLIC	PROGRAM RELATED	47,500.
MEMPHIS JEWISH HOME 36 BAZEBERRY RD CORDOVA, TN 38018	N/A	PUBLIC	PROGRAM RELATED	500.
MEMPHIS LIBRARY FOUNDATION 3030 POPLAR AVE MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	1,000.
MEMPHIS PREP PROGRAM 613 UNIVERSITY STREET MEMPHIS, TN 38107	N/A	PUBLIC	PROGRAM RELATED	250.
METROPOLITAN INTER-FAITH ASSOCIATION PO BOX 3130 MEMPHIS, TN 38173	N/A	PUBLIC	PROGRAM RELATED	3,500.
MID-SOUTH FOOD BANK 239 SOUTH DUDLEY MEMPHIS, TN 38104	N/A	PUBLIC	PROGRAM RELATED	1,000.
MIDSOUTH RAPTOR CENTER 1176 DEARING ROAD MEMPHIS, TN 38117	N/A	PUBLIC	PROGRAM RELATED	250.
NATIONAL ORNAMENTAL METAL MUSEUM 374 METAL MUSEUM DRIVE MEMPHIS, TN 38106	N/A	PUBLIC	PROGRAM RELATED	250.
NORRIS ELEMENTARY SCHOOL 1490 NORRIS RD MEMPHIS, TN 38106	N/A	PUBLIC	PROGRAM RELATED	3,055.
PINK PALACE MUSEUM 3500 CENTRAL AVE MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	8,000.
Total from continuation sheets				

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RHODES COLLEGE 2000 N PARKWAY MEMPHIS, TN 38112	N/A	PUBLIC	PROGRAM RELATED	250.
SHELBY COUNTY DRUG COURT FOUNDATION 870 E. MCLEMORE MEMPHIS, TN 38106	N/A	PUBLIC	PROGRAM RELATED	1,000.
ST ANNE'S SCHOOL 670 S HIGHLAND STREET MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	2,500.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	N/A	PUBLIC	PROGRAM RELATED	1,000.
U OF M LOEWENBURG SCHOOL OF NURSING 100 BILLY MAC JONES MEMPHIS, TN 38152	N/A	PUBLIC	PROGRAM RELATED	50,000.
TWO-TEN FOOTWEAR FOUNDATION 1466 MAIN ST WALTHAM, MA 02451	N/A	PUBLIC	PROGRAM RELATED	1,000.
UNITED CEREBRAL PALSY 4189 LEROY AVE MEMPHIS, TN 38108	N/A	PUBLIC	PROGRAM RELATED	750.
VANDERBILT UNIVERSITY 2301 VANDERBILT PLACE NASHVILLE, TN 37240	N/A	PUBLIC	PROGRAM RELATED	1,000.
WOLF RIVER CONSERVANCY PO BOX 11031 MEMPHIS, TN 38111	N/A	PUBLIC	PROGRAM RELATED	1,000.
YOUTH DIMENSIONS 3385 AUSTIN PEAY HIGHWAY MEMPHIS, TN 38128	N/A	PUBLIC	PROGRAM RELATED	4,650.
Total from continuation sheets				



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a CAPITAL GAINS DIVIDENDS</b>		P	VARIOUS	VARIOUS
<b>b FIDELITY ST- SEE ATTACHED</b>		P	VARIOUS	VARIOUS
<b>c FIDELITY LT- SEE ATTACHED</b>		P	VARIOUS	VARIOUS
<b>d SPENCE LIMITED PASS THRU- ST</b>		P	VARIOUS	VARIOUS
<b>e SPENCE LIMITED PASS THRU- LT</b>		P	VARIOUS	VARIOUS
<b>f 350 SH COCACOLA</b>		D	09/12/11	09/12/11
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,335.			12,335.
b 71,979.		71,369.	610.
c 368,769.		405,082.	-36,313.
d 2,934.			2,934.
e		39,576.	-39,576.
f 23,874.		24,906.	-1,032.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			12,335.
b			610.
c			-36,313.
d			2,934.
e			-39,576.
f			-1,032.
g			
h			
i			
j			
k			
l			
m			
n			
o			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	-61,042.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

## 2011 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	FURNITURE, FIXTURES & EQUIPMENT	010199	2000DB	5.00	17	2,093.			2,093.	2,093.		0.
2	DELL COMPUTER	120106	2000DB	5.00	17	745.			745.	674.		71.
3	COMPUTER	021611	2000DB	5.00	19B	209.		209.				209.
	* TOTAL 990-PF PG 1 DEPR					3,047.		209.	2,838.	2,767.	0.	280.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
FIDELITY INVESTMENTS - DIVIDENDS	86,896.	0.	86,896.	
FIDELITY INVESTMENTS -NON DIVIDEND DISTRIBUTION	1,289.	0.	1,289.	
MORGAN STANLEY SMITH BARNEY DIVIDENDS	1.	0.	1.	
SPENCE LIMITED PARTNERS - PASS-THROUGH DIVIDENDS	4,367.	0.	4,367.	
SPENCE LIMITED PARTNERS - PASS-THROUGH INTEREST	107.	0.	107.	
TOTAL TO FM 990-PF, PART I, LN 4	92,660.	0.	92,660.	

FORM 990-PF	ACCOUNTING FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	3,440.	688.		688.
TO FORM 990-PF, PG 1, LN 16B	3,440.	688.		688.

FORM 990-PF	TAXES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,240.	1,240.		0.
EXCISE TAXES ON INVESTMENT INCOME	1,508.	0.		0.
TENNESSEE SECRETARY OF STATE FEES	250.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,998.	1,240.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BOOKKEEPING	12,133.	6,067.		3,033.	
INVESTMENT MANAGEMENT FEES	13,025.	13,025.		0.	
MISCELLANEOUS	1,108.	0.		0.	
PASS-THROUGH DEDUCTIONS - SPENCE LIMITED PARTNERS	4,896.	4,896.		0.	
TO FORM 990-PF, PG 1, LN 23	31,162.	23,988.		3,033.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
PUBLICLY TRADED STOCKS	914,305.	1,082,902.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	914,305.	1,082,902.		

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
DODGE & COX FUNDS	COST	465,910.	478,894.	
LONGLEAF PARTNERS	COST	246,681.	230,270.	
SPENCE LIMITED PARTNERS	COST	449,144.	310,611.	
T ROWE PRICE FUNDS	COST	92,385.	77,714.	
PERMANENT PORT FAMILY OF FDS	COST	110,386.	127,666.	
FIDELITY GNMA FUND	COST	425,037.	439,840.	
PIMCO TOTAL RETURN	COST	113,817.	113,541.	
PIMCO LOW DURATION	COST	106,641.	107,251.	
VANGUARD FUNDS	COST	399,680.	427,236.	
ANNALY CAPITAL MANAGEMENT	COST	13,951.	12,768.	
TOTAL TO FORM 990-PF, PART II, LINE 13		2,423,632.	2,325,791.	

FORM 990-PF		DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT		STATEMENT	7
DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE		
FURNITURE, FIXTURES & EQUIPMENT	2,093.	2,093.	0.		
DELL COMPUTER	745.	745.	0.		
COMPUTER	209.	209.	0.		
TOTAL TO FM 990-PF, PART II, LN 14	3,047.	3,047.	0.		

FORM 990-PF		PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS		STATEMENT	8
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT	
FREDRIKA L FELT 677 PHELAN AVENUE MEMPHIS, TN 38126	PRESIDENT 0.00	0.	0.	0.	
JOHN LICHTERMAN 677 PHELAN AVENUE MEMPHIS, TN 38126	VICE PRES 0.00	0.	0.	0.	
HERBERT H LICHTERMAN, JR 677 PHELAN AVENUE MEMPHIS, TN 38126	VICE PRES 0.00	0.	0.	0.	
A BARRY LICHTERMAN 677 PHELAN AVENUE MEMPHIS, TN 38126	TREASURER 0.00	0.	0.	0.	
WILLIAM IRA LOEWENBERG 677 PHELAN AVENUE MEMPHIS, TN 38126	SECRETARY 0.00	0.	0.	0.	
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.	

# **Depreciation and Amortization 990-PF** (Including Information on Listed Property)

Department of the Treasury  
Internal Revenue Service (99)  
Name(s) shown on return

▶ See separate instructions.

▶ Attach to your tax return.

Business or activity to which this form relates

Identifying number

LICHTERMAN LOEWENBERG FOUNDATION

FORM 990-PF PAGE 1

62-6048265

## **Part I Election To Expense Certain Property Under Section 179** Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2012. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

## **Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	209.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

## **Part III MACRS Depreciation (Do not include listed property) (See instructions)**

### **Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2011	17	71.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

### **Section B - Assets Placed in Service During 2011 Tax Year Using the General Depreciation System**

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	/		27 5 yrs	MM	S/L	
	/		27 5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs	MM	S/L	
	/			MM	S/L	

### **Section C - Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System**

20a	Class life				S/L	
b	12-year		12 yrs.		S/L	
c	40-year	/	40 yrs	MM	S/L	

## **Part IV Summary (See instructions)**

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr	22	280.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

**Part V****Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
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**25** Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use**25****26** Property used more than 50% in a qualified business use

		%						
		%						
		%						

**27** Property used 50% or less in a qualified business use.

		%			S/L -		
		%			S/L -		
		%			S/L -		

**28** Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1**28****29** Add amounts in column (i), line 26. Enter here and on line 7, page 1**29****Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
<b>30</b> Total business/investment miles driven during the year ( <b>do not</b> include commuting miles)						
<b>31</b> Total commuting miles driven during the year						
<b>32</b> Total other personal (noncommuting) miles driven						
<b>33</b> Total miles driven during the year. Add lines 30 through 32						
<b>34</b> Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
<b>35</b> Was the vehicle used primarily by a more than 5% owner or related person?						
<b>36</b> Is another vehicle available for personal use?						

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

<b>37</b> Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
<b>38</b> Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
<b>39</b> Do you treat all use of vehicles by employees as personal use?		
<b>40</b> Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
<b>41</b> Do you meet the requirements concerning qualified automobile demonstration use?		

**Note:** If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles**Part VI** Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
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**42** Amortization of costs that begins during your 2011 tax year:


**43** Amortization of costs that began before your 2011 tax year**43****44** Total. Add amounts in column (f). See the instructions for where to report**44**

LICHTERMAN LOEWENBERG FOUNDATION

62-6048265

990-PF (2011), PART IV

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) \$	Cost or Other Basis (3) \$ Provided to IRS/ Not Provided (6) \$	Gain/Loss (-) p	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
CISCO SYS INC 17275R102	08/13/10	01/28/11 Short-Term	200,000 Sale	4,278.91	4,271.88	7.05		
	08/13/10	01/28/11 Short-Term	600,000 Sale	12,838.74	12,823.95	12.79		
	11/23/10	01/28/11 Short-Term	500,000 Sale	10,697.29	9,632.40	1,064.89		
Sub Totals				27,812.94	26,728.21 z			
DODGE & COX BALANCE			Short-Term Gains					
256201104	03/25/03	10/04/11 Long-Term	802,697 Sale	50,000.00	51,584.06	-1,584.06		
DODGE & COX INTERNATL STOCK FUND	various	12/30/11 Long-Term	3,401,034 Sale	99,446.23	103,348.96	-3,902.73		
256206103								
DPL INC CASH PAYMENT OF \$30.00 PER SHARE	03/28/11	11/28/11 Short-Term	300,000 Merger	9,000.00	8,088.82	900.18		
233293109	03/28/11	11/28/11 Short-Term	700,000 Merger	21,000.00	18,907.95	2,092.05		
Sub Totals				30,000.00	27,007.77 z			
C EXELIS INC COM USD0.01			Short-Term Gains					
30162A108	08/25/10	11/22/11 Long-Term	200,000 Sale	1,912.31	2,042.79	-130.48		
C	08/25/10	11/22/11 Long-Term	500,000 Sale	4,799.90	5,106.99	-307.09		
Sub Totals				6,712.21	7,149.78 z			
EXELON CORP			Long-Term Losses					
30161N101	10/01/09	01/28/11 Long-Term	300,000 Sale	12,940.40	14,631.40	-1,691.00		
Sub Totals								



LICHTERMAN LOEWENBERG FOUNDATION

62-6048265

990-PF (2011), PART IV

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) \$	Cost or Other Basis (3) as Provided to IRS/ Not Provided (6) b	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
EXELON CORP 30161N101	10/01/09	03/28/11 Long-Term	200 000 Sale	8,074.11	9,754.27 N	-1,680.16		
	10/01/09	03/28/11 Long-Term	100 000 Sale	4,041.02	4,877.13 N	-836.11		
	01/20/10	03/28/11 Long-Term	400 000 Sale	16,164.09	19,268.00 N	-3,103.91		
<b>Sub Totals</b>				<b>41,219.62</b>	<b>48,530.80</b> z	<b>-7,311.18</b>		
FAIRHOLME FUND 304871106	various	10/04/11 Long-Term	1,576 375 Sale	36,686.01	50,830.69 N	-14,132.68		
HUDSON CITY BANCORP INC 443683107	11/09/10	04/21/11 Short-Term	1,500 000 Sale	14,152.82	17,632.95 N	-3,480.13		
HUNTINGTON INGALLS INDS INC COM 446413106	04/05/11	04/05/11 Short-Term	0 000 Cash In Lieu	13.37	0 00 N	13.37		
	09/17/09	06/22/11 Long-Term	83 000 Sale	3,023.98	2,503.05 N	520.93		
<b>Sub Totals</b>				<b>3,037.35</b>	<b>2,503.05</b> z	<b>13.37</b>		
JOHNSON & JOHNSON 478160104	06/09/04	01/26/11 Long-Term	100 000 Sale	6,066.04	5,687.89 N	378.15		
	06/09/04	01/26/11 Long-Term	100 000 Sale	6,074.08	5,687.89 N	386.19		
	08/09/04	04/01/11 Long-Term	700 000 Sale	41,431.25	39,815.22 N	1,616.03		
<b>Sub Totals</b>				<b>63,571.37</b>	<b>61,191.00</b> z	<b>2,380.37</b>		

LICHTERMAN LOEWENBERG FOUNDATION

62-6048265

990-PF (2011), PART IV

Description (9) CUSIP	Date of Acquisition (1b)	Date of Sale or Exchange (1a) Type of Gain or Loss (8)	Quantity Event	Sales Price of Stocks, Bonds etc (2) \$	Cost or Other Basis (3) \$ Provided to IRS/ Not Provided (6) b	Gain/Loss (-)	Wash Sale Loss Disallowed (5)	Federal Income Tax Withheld (4)
LONGLEAF PARTNERS 543069108	various	10/04/11 Long-Term	2,041 650 Sale	50,000.00	58,288.73 N	-8,288.73		
MEDTRONIC INC 585055108	06/09/04	01/26/11 Long-Term	100 000 Sale	3,792.42	4,988.80 N	-1,196.38		
	06/09/04	01/26/11 Long-Term	200 000 Sale	7,576.90	9,977.60 N	-2,400.70		
Sub Totals								
				11,369.32	14,988.40 z	-3,597.08		
C XYLEM INC COM USD 01 98419M100	08/25/10	11/22/11 Long-Term	700 000 Sale	16,728.72	16,688.93 N	39.79		
TOTALS				440,748.59	476,451.33 z			0.00
SHORT-TERM TOTALS								
			Gains	71,979.13	71,368.93 z	4,090.33		
			Losses			-3,480.13		
			Disallowed Losses				0.00	
LONG-TERM TOTALS								
			Gains	368,769.48	405,032.40 z	2,941.09		
			Losses			-39,254.03		
			Disallowed Losses				0.00	