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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                 |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>GENE &amp; FLORENCE MONDAY FOUNDATION, INC.</b>                                                                                                                                                                                                                                        |                                                                                                                                                                                                 | A Employer identification number<br><b>62-1518306</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>1810 AILOR AVENUE</b>                                                                                                                                                                                                    | Room/suite                                                                                                                                                                                      | B Telephone number<br><b>(865) 525-0238</b>                                                                                                                                  |
| City or town, state, and ZIP code<br><b>KNOXVILLE, TN 37921</b>                                                                                                                                                                                                                                                 |                                                                                                                                                                                                 | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                                                                 | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br><input checked="" type="checkbox"/> \$ <b>4,131,393.</b>                                                                                                                                                                   | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  | 222,340.                           |                           | N/A                     |                                                             |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B                                                                 |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                            |  | 169,400.                           | 169,400.                  |                         | STATEMENT 1                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 76,681.                            |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                       |  | 235,653.                           |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 76,681.                   |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 468,421.                           | 246,081.                  |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                                                                                              |  | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                                |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                 |  |                                    |                           |                         |                                                             |
| 16a Legal fees                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                   |  |                                    |                           |                         |                                                             |
| c Other professional fees                                                                                                                           |  |                                    |                           |                         |                                                             |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes                                                                                                                                            |  | 4,576.                             | 0.                        |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                       |  |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                |  |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses                                                                                                                                   |  | 8,475.                             | 0.                        |                         | 0.                                                          |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 13,051.                            | 0.                        |                         | 0.                                                          |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 200,000.                           |                           |                         | 200,000.                                                    |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 213,051.                           | 0.                        |                         | 200,000.                                                    |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | 255,370.                           |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 246,081.                  |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |

| Part II Balance Sheets      |                                                                                                                                          | Attached schedules and amounts in the description column should be for end-of-year amounts only |            | Beginning of year | End of year    |                       |
|-----------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|------------|-------------------|----------------|-----------------------|
|                             |                                                                                                                                          |                                                                                                 |            | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1                                                                                                                                        | Cash - non-interest-bearing                                                                     |            | 3,971.            | 3,971.         | 3,971.                |
|                             | 2                                                                                                                                        | Savings and temporary cash investments                                                          |            | 123,004.          | 29,296.        | 29,296.               |
|                             | 3                                                                                                                                        | Accounts receivable ▶                                                                           |            |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                             | 4                                                                                                                                        | Pledges receivable ▶                                                                            |            |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                             | 5                                                                                                                                        | Grants receivable                                                                               |            |                   |                |                       |
|                             | 6                                                                                                                                        | Receivables due from officers, directors, trustees, and other disqualified persons              |            |                   |                |                       |
|                             | 7                                                                                                                                        | Other notes and loans receivable ▶                                                              |            |                   |                |                       |
|                             |                                                                                                                                          | Less: allowance for doubtful accounts ▶                                                         |            |                   |                |                       |
|                             | 8                                                                                                                                        | Inventories for sale or use                                                                     |            |                   |                |                       |
|                             | 9                                                                                                                                        | Prepaid expenses and deferred charges                                                           |            |                   |                |                       |
|                             | 10a                                                                                                                                      | Investments - U.S. and state government obligations                                             |            |                   |                |                       |
|                             | b                                                                                                                                        | Investments - corporate stock                                                                   | STMT 4     | 3,293,865.        | 3,140,401.     | 3,140,401.            |
|                             | c                                                                                                                                        | Investments - corporate bonds                                                                   | STMT 5     | 753,158.          | 957,725.       | 957,725.              |
| 11                          | Investments - land, buildings, and equipment basis ▶                                                                                     |                                                                                                 |            |                   |                |                       |
|                             | Less: accumulated depreciation ▶                                                                                                         |                                                                                                 |            |                   |                |                       |
| 12                          | Investments - mortgage loans                                                                                                             |                                                                                                 |            |                   |                |                       |
| 13                          | Investments - other                                                                                                                      |                                                                                                 |            |                   |                |                       |
| 14                          | Land, buildings, and equipment: basis ▶                                                                                                  |                                                                                                 |            |                   |                |                       |
|                             | Less: accumulated depreciation ▶                                                                                                         |                                                                                                 |            |                   |                |                       |
| 15                          | Other assets (describe ▶)                                                                                                                |                                                                                                 |            |                   |                |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers)                                                                                      |                                                                                                 | 4,173,998. | 4,131,393.        | 4,131,393.     |                       |
| Liabilities                 | 17                                                                                                                                       | Accounts payable and accrued expenses                                                           |            |                   |                |                       |
|                             | 18                                                                                                                                       | Grants payable                                                                                  |            |                   | 10,000.        |                       |
|                             | 19                                                                                                                                       | Deferred revenue                                                                                |            |                   |                |                       |
|                             | 20                                                                                                                                       | Loans from officers, directors, trustees, and other disqualified persons                        |            |                   |                |                       |
|                             | 21                                                                                                                                       | Mortgages and other notes payable                                                               |            |                   |                |                       |
|                             | 22                                                                                                                                       | Other liabilities (describe ▶)                                                                  |            |                   |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22)                                                                                       |                                                                                                 | 0.         | 10,000.           |                |                       |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                 |            |                   |                |                       |
|                             | 24                                                                                                                                       | Unrestricted                                                                                    |            | 4,173,998.        | 4,121,393.     |                       |
|                             | 25                                                                                                                                       | Temporarily restricted                                                                          |            |                   |                |                       |
|                             | 26                                                                                                                                       | Permanently restricted                                                                          |            |                   |                |                       |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.                         |                                                                                                 |            |                   |                |                       |
|                             | 27                                                                                                                                       | Capital stock, trust principal, or current funds                                                |            |                   |                |                       |
|                             | 28                                                                                                                                       | Paid-in or capital surplus, or land, bldg., and equipment fund                                  |            |                   |                |                       |
|                             | 29                                                                                                                                       | Retained earnings, accumulated income, endowment, or other funds                                |            |                   |                |                       |
| 30                          | <b>Total net assets or fund balances</b>                                                                                                 |                                                                                                 | 4,173,998. | 4,121,393.        |                |                       |
| 31                          | <b>Total liabilities and net assets/fund balances</b>                                                                                    |                                                                                                 | 4,173,998. | 4,131,393.        |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                               |   |                   |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 4,173,998.        |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 255,370.          |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.                |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 4,429,368.        |
| 5 | Decreases not included in line 2 (itemize) ▶ <b>UNREALIZED GAIN/LOSS ON INVESTMENTS</b>                                                                       | 5 | 307,975.          |
| 6 | <b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>                                                  | 6 | <b>4,121,393.</b> |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a 76 SH DAVIS NEW YORK VENTURE A                                                                                                  | P                                                | 12/03/10                             | 02/24/11                         |
| b 266 SH DAVIS NEW YORK VENTURE A                                                                                                  | P                                                | VARIOUS                              | 02/24/11                         |
| c 6392 SH DAVIS NEW YORK VENTURE A                                                                                                 | P                                                | 04/17/09                             | 02/24/11                         |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 2,662.              |                                            | 2,497.                                          | 165.                                         |
| b 9,319.              |                                            | 8,170.                                          | 1,149.                                       |
| c 223,672.            |                                            | 148,305.                                        | 75,367.                                      |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 165.                                                                                            |
| b                         |                                      |                                                 | 1,149.                                                                                          |
| c                         |                                      |                                                 | 75,367.                                                                                         |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 |                                                                                                 |

|                                                                                                                                                                                   |   |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                               | 2 | 76,681. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 } | 3 | N/A     |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2010                                                              | 198,686.                              | 3,990,227.                                | .049793                                                  |
| 2009                                                              | 230,752.                              | 4,168,571.                                | .055355                                                  |
| 2008                                                              | 237,898.                              | 4,659,357.                                | .051058                                                  |
| 2007                                                              | 253,851.                              | 5,048,924.                                | .050278                                                  |
| 2006                                                              | 247,768.                              | 5,036,870.                                | .049191                                                  |

|                                                                                                                                                                                                                         |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                           | 2 | .255675    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          | 3 | .051135    |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5                                                                                                                                          | 4 | 4,090,301. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             | 5 | 209,158.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | 6 | 2,461.     |
| 7 Add lines 5 and 6                                                                                                                                                                                                     | 7 | 211,619.   |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | 8 | 200,000.   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |        |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |        |        |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1      | 4,922. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |        |        |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2      | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3      | 4,922. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4      | 0.     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5      | 4,922. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |        |        |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011                                                                                                                                                                    | 6a | 7,526. |        |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |        |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |        |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |        |        |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 7,526. |        |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |        |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |        |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 2,604. |        |
| 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 2,604. Refunded <input type="checkbox"/>                                                                                                 | 11 | 0.     |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| 2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                     |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                              |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                            |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                      |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?       | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                     | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>TN</u>                                                                                                                                                                                                                            |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      |     | X  |

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**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |                                                 |     |         |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                           | 11                                              | X   |         |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                          | 12                                              |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► N/A                                                                                                                                                                                      | 13                                              | X   |         |
| 14 | The books are in care of ► KENNETH W. HOLBERT<br>Located at ► 1810 AILOR AVENUE, KNOXVILLE, TN                                                                                                                                                                                                                                    | Telephone no. ► (865) 525-0238<br>ZIP+4 ► 37921 |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year<br>► 15 N/A                                                                                                                                   |                                                 |     |         |
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16                                              | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Yes                                                                 | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                       | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                     | N/A                                                                 |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                                                                        |                                                                     | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                      | N/A                                                                 |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                          |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) | N/A                                                                 |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?                                                                                                                                                                                                                                                              |                                                                     | X  |

Form 990-PF (2011)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

**5b**

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870

**X****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7b****Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 6      |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000**0**

Form 990-PF (2011)





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 4,074,865. |
| b | Average of monthly cash balances                                                                            | 1b | 77,725.    |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 4,152,590. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 4,152,590. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 62,289.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 4,090,301. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 204,515.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |          |
|----|----------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 204,515. |
| 2a | Tax on investment income for 2011 from Part VI, line 5                                             | 2a | 4,922.   |
| b  | Income tax for 2011. (This does not include the tax from Part VI.)                                 | 2b |          |
| c  | Add lines 2a and 2b                                                                                | 2c | 4,922.   |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 199,593. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                  | 5  | 199,593. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.       |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 199,593. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 200,000. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 200,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.       |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 200,000. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7                                                                                                                       |               |                            |             | 199,593.    |
| 2 Undistributed income, if any, as of the end of 2011                                                                                                                      |               |                            |             |             |
| a Enter amount for 2010 only                                                                                                                                               |               |                            | 67,895.     |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2011:                                                                                                                         |               |                            |             |             |
| a From 2006                                                                                                                                                                |               |                            |             |             |
| b From 2007                                                                                                                                                                |               |                            |             |             |
| c From 2008                                                                                                                                                                |               |                            |             |             |
| d From 2009                                                                                                                                                                |               |                            |             |             |
| e From 2010                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| 4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 200,000.                                                                                                   |               |                            |             |             |
| a Applied to 2010, but not more than line 2a                                                                                                                               |               |                            | 67,895.     |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2011 distributable amount                                                                                                                                     |               |                            |             | 132,105.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 0.            |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012                                                              |               |                            |             | 67,488.     |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2007                                                                                                                                                         |               |                            |             |             |
| b Excess from 2008                                                                                                                                                         |               |                            |             |             |
| c Excess from 2009                                                                                                                                                         |               |                            |             |             |
| d Excess from 2010                                                                                                                                                         |               |                            |             |             |
| e Excess from 2011                                                                                                                                                         |               |                            |             |             |

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

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**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                       | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution                 | Amount   |
|---------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------|----------|
| Name and address (home or business)                                             |                                                                                                           |                                |                                                  |          |
| a Paid during the year                                                          |                                                                                                           |                                |                                                  |          |
| BOYS & GIRLS CLUB OF THE TN VALLEY<br>220 CARRICK ST.<br>KNOXVILLE, TN 37917    | NONE                                                                                                      | PUBLIC CHARITY                 | ENHANCE THE LIVES OF YOUTHS                      | 15,000.  |
| BOYS & GIRLS CLUB OF THE TN VALLEY<br>120 CARRICK STREET<br>KNOXVILLE, TN 37917 | NONE                                                                                                      | PUBLIC CHARITY                 | ACTIVITIES BUILDING AT CASWELL AVE CLUB          | 10,000.  |
| CHILD & FAMILY TN<br>901 EAST SUMMIT HILL DRIVE<br>KNOXVILLE, TN 37915          | NONE                                                                                                      | PUBLIC CHARITY                 | SHELTER TO DOM. VIOLENCE VICTIMS                 | 7,500.   |
| EMERALD YOUTH FOUNDATION<br>1718 N. CENTRAL STREET<br>KNOXVILLE, TN 37917       | NONE                                                                                                      | PUBLIC CHARITY                 | HELP THE CHRISTIAN URBAN YOUTH MINISTRIES        | 5,000.   |
| FAMILY CARE FOUNDATION<br>1373 MARRON VALLEY ROAD<br>KNOXVILLE, TN 37917        | NONE                                                                                                      | PUBLIC CHARITY                 | HUMANITARIAN RELIEF WORLDWIDE FOR NEEDY FAMILIES | 15,000.  |
| Total                                                                           |                                                                                                           |                                | SEE CONTINUATION SHEET(S) ▶ 3a                   | 200,000. |
| b Approved for future payment                                                   |                                                                                                           |                                |                                                  |          |
| NONE                                                                            |                                                                                                           |                                |                                                  |          |
|                                                                                 |                                                                                                           |                                |                                                  |          |
|                                                                                 |                                                                                                           |                                |                                                  |          |
|                                                                                 |                                                                                                           |                                |                                                  |          |
| Total                                                                           |                                                                                                           |                                | ▶ 3b                                             | 0.       |





**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2011**

Name of the organization

Employer identification number

GENE &amp; FLORENCE MONDAY FOUNDATION, INC.

62-1518306

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

GENE &amp; FLORENCE MONDAY FOUNDATION, INC.

62-1518306

**Part I****Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                    | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                                  |
|------------|----------------------------------------------------------------------|----------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | GFM, INC.<br>P.O. BOX 1<br>KNOXVILLE, TN 37901                       | \$ 11,411.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 2          | ROBERT N. STRONG HOME TRUST<br>1810 AILOR AVE<br>KNOXVILLE, TN 37921 | \$ 18,500.                 | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
| 3          | ROBERT N. STRONG HOME TRUST<br>1810 AILOR AVE<br>KNOXVILLE, TN 37921 | \$ 192,428.                | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution.) |
|            |                                                                      | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                      | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |
|            |                                                                      | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution.)            |



Employer identification number

62-1518306

## Part II

[illegible]

Name of organization

Employer identification number

GENE &amp; FLORENCE MONDAY FOUNDATION, INC.

62-1518306

**Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-----------------|------------------------------------------|
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |
|                           |                                         |                 |                                          |

# GENE AND FLORENCE MONDAY FOUNDATION

SUITE 500, 612 GAY STREET  
POST OFFICE BOX 1  
KNOXVILLE, TENNESSEE 37901

## GRANT APPLICATION FORM

ORGANIZATION: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
NAME OF CONTACT \_\_\_\_\_  
PERSON: \_\_\_\_\_ TITLE \_\_\_\_\_ TELEPHONE \_\_\_\_\_

GRANT REQUESTED IN THE AMOUNT OF \$ \_\_\_\_\_ IS TO BE USED FROM \_\_\_\_\_ TO \_\_\_\_\_ FOR  
SERVICES IN THE FOLLOWING CATEGORY (PLEASE CHECK)

- \_\_\_\_\_ A CHARITABLE
- \_\_\_\_\_ PROMOTE NON-SMOKING, NON-DRINKING, NO DRUGS PROGRAMS
  - \_\_\_\_\_ ASSIST NEEDY WITH FOOD, CLOTHING, SHELTER AND EMPLOYMENT
  - \_\_\_\_\_ ENCOURAGE CHILDREN & YOUTH IN BASIC MORAL VALUES
  - \_\_\_\_\_ HELP RELIEVE SUFFERING BEYOND OUR IMMEDIATE GEOGRAPHICAL AREA
  - \_\_\_\_\_ OTHER (Specify) \_\_\_\_\_
- \_\_\_\_\_ B RELIGIOUS
- \_\_\_\_\_ C EDUCATIONAL

PLEASE ATTACH TO THIS APPLICATION THE FOLLOWING INFORMATION IN SUPPORT OF YOUR REQUEST

- 1 A PROGRAM DESCRIPTION INCLUDING NEED FOR FUNDS, OF HOW THESE FUNDS WILL BE USED AND THE POPULATION TO BE HELPED
- 2 BUDGET FOR THE PROGRAM
- 3 CURRENT FINANCIAL REPORT
- 4 AUDIT FOR MOST RECENT FISCAL YEAR
- 5 LIST OF BOARD MEMBERS AND OFFICERS OF THE APPLICANT ORGANIZATION
- 6 COPY OF MINUTES OF BOARD MEETING AUTHORIZING SUBMISSION OF APPLICATION
- 7 COPY OF LETTER OF DETERMINATION OF TAX EXEMPT STATUS UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE.
- 8 COPY OF IRS REPORT 990, "REPORT OF ORGANIZATION EXEMPT FROM INCOME TAX" FOR PREVIOUS FISCAL YEAR
- 9 COPY OF SOLICITATION PERMITS FROM CITY OF KNOXVILLE AND TENNESSEE SECRETARY OF STATE (IF APPROPRIATE)
- 10 MOST RECENT ANNUAL REPORT

## UNDERSTANDING AND COMMITMENT

IN MAKING THIS APPLICATION WE UNDERSTAND AND AGREE THAT SHOULD OUR REQUEST BE APPROVED WE WILL

- A REPAY ANY AMOUNT NOT USED FOR THE PURPOSES OF THE GRANT
- B SUBMIT FULL AND COMPLETE ANNUAL REPORTS ON THE MANNER IN WHICH THE FUNDS ARE SPENT AND THE PROGRESS OF THE GRANT
- C KEEP RECORDS OF RECEIPTS AND EXPENDITURES AND MAKE BOOKS AND RECORDS AVAILABLE TO THE GRANTOR AT REASONABLE TIMES
- D NOT USE ANY OF THE FUNDS TO  
INFLUENCE LEGISLATION,  
INFLUENCE THE OUTCOME OF ELECTIONS,  
CARRY ON VOTER REGISTRATION DRIVES,  
MAKE GRANTS TO INDIVIDUALS OR OTHER ORGANIZATIONS, OR  
UNDERTAKE ANY NON-EXEMPT ACTIVITY

## CERTIFICATION

THIS IS TO CERTIFY THAT THE INFORMATION INCLUDED IN THIS REQUEST IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE. IF APPROVED, THE GRANT WILL BE USED AS APPLIED FOR

DATE \_\_\_\_\_

SIGNATURE \_\_\_\_\_

TITLE \_\_\_\_\_

## NOTICE

GRANT APPLICATIONS SHOULD BE RECEIVED BY JULY 1 IN ORDER TO BE CONSIDERED FOR FUNDING  
IN THE NEXT CALENDAR YEAR

GENE AND FLORANCE MONDAY FOUNDATION, INC.  
FORM 990-PF, PART II, LINES 10a AND 10b  
YEAR END - DECEMBER 31, 2011

December 1 - December 31, 2011

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# **ASSET DETAILS**

This section shows the cash equivalents and/or securities in your account. It reflects market values as of the close of business December 31, 2011

## **CASH EQUIVALENTS**

|                                  | Approximate<br>Current<br>Value | Anticipated<br>Annualized<br>Income | Current<br>Yield<br>Percent |
|----------------------------------|---------------------------------|-------------------------------------|-----------------------------|
| CASH BALANCE                     | \$1,225.00                      | N/A                                 |                             |
| FEDERATED GOVERNMENT<br>CASH SER | \$28,070.97                     | \$2.81                              | 0.010%                      |
| <b>Total Cash Equivalents</b>    | <b>\$29,295.97</b>              | <b>\$2.81</b>                       |                             |

## **ASSETS HELD AT HILLIARD LYONS**

|                                                                                                                 | Symbol/CUSIP<br>Rating                | Quantity | Average<br>Unit Cost | Cost        | Approximate<br>Current<br>Price | Approximate<br>Current<br>Value | Unrealized<br>Gain/(Loss) | Anticipated<br>Annualized<br>Income | Current<br>Yield<br>Percent |
|-----------------------------------------------------------------------------------------------------------------|---------------------------------------|----------|----------------------|-------------|---------------------------------|---------------------------------|---------------------------|-------------------------------------|-----------------------------|
| <b>TAXABLE FIXED INCOME</b>                                                                                     |                                       |          |                      |             |                                 |                                 |                           |                                     |                             |
| BANK AMERICA CORP<br>SENIOR NOTE B/E<br>CPN 4.875% DUE 09/15/12<br>DTD 09/25/02 FC 03/15/03                     | 060505AR5<br>S&P: A-<br>Moody: BAA1   | 50,000   | \$99.5341            | \$49,767.05 | \$100.8600                      | \$50,430.00                     | \$662.95                  | \$2,437.50                          | 4.833%                      |
| GOLDMAN SACHS<br>NOTE B/E<br>CPN 5.250% DUE 10/15/13<br>DTD 10/14/03 FC 04/15/04                                | 38141GDQ4<br>S&P: A-<br>Moody: A1     | 50,000   | \$101.9750           | \$50,987.50 | \$102.3500                      | \$51,175.00                     | \$187.50                  | \$2,625.00                          | 5.129%                      |
| MORGAN STANLEY<br>GLOBAL SUBORDINATE NOTE<br>SEMI<br>CPN 4.750% DUE 04/01/14<br>DTD 03/30/04 FC 10/01/04        | 61748AAE6<br>S&P: BBB+<br>Moody: A3   | 50,000   | \$99.1410            | \$49,570.50 | \$98.6400                       | \$49,320.00                     | (\$250.50)                | \$2,375.00                          | 4.815%                      |
| WELLS FARGO & COMPANY<br>NEW SUBORDINATE NOTE B/E<br>CPN 5.000% DUE 11/15/14<br>DTD 11/06/02 FC 05/15/03        | 949746CR0<br>S&P: A<br>Moody: A3      | 50,000   | \$100.0160           | \$50,008.00 | \$107.0030                      | \$53,501.50                     | \$3,493.50                | \$2,500.00                          | 4.672%                      |
| KEY BANK NA<br>MEDIUM TERM SUBORDINATED<br>BANK NOTE B/E<br>CPN 4.950% DUE 09/15/15<br>DTD 09/14/05 FC 03/15/06 | 49327XAA8<br>S&P: BBB+<br>Moody: BAA1 | 50,000   | \$96.0780            | \$48,039.00 | \$105.2030                      | \$52,601.50                     | \$4,562.50                | \$2,475.00                          | 4.705%                      |
| BEAR STEARNS COMPANIES<br>INC GLOBAL NOTE B/E<br>CPN 5.300% DUE 10/30/15<br>DTD 10/31/05 FC 04/30/06            | 073902KF4<br>S&P: A<br>Moody: AA3     | 50,000   | \$99.4376            | \$49,718.80 | \$107.5390                      | \$53,769.50                     | \$4,050.70                | \$2,650.00                          | 4.928%                      |

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## ASSET DETAILS Continued

### ASSETS HELD AT HILLIARD LYONS Continued

| TAXABLE FIXED INCOME                                                                                                        | Symbol/CUSIP | Rating                   | Quantity | Average Unit Cost | Cost        | Approximate Current Price | Approximate Current Value | Unrealized Gain/(Loss) | Anticipated Annualized Income | Current Yield Percent |
|-----------------------------------------------------------------------------------------------------------------------------|--------------|--------------------------|----------|-------------------|-------------|---------------------------|---------------------------|------------------------|-------------------------------|-----------------------|
| CATERPILLAR FINL SVCS<br>CORP MEDIUM TERM NOTE<br>B/E<br>CPN 5.500% DUE 03/15/16<br>DTD 03/10/06 FC 09/15/06                | 14912L2Y6    | S&P: A<br>Moody: A2      | 50,000   | \$98.9270         | \$49,463.50 | \$115.0300                | \$57,515.00               | \$8,051.50             | \$2,750.00                    | 4.781%                |
| AMERN EXPR CENTURION BK<br>SALT LAKE CITY UT CD<br>FDIC ACT/365 SEMI<br>CPN 3.500% DUE 12/02/16<br>DTD 12/02/09 FC 06/02/10 | 02585T4U5    |                          | 50,000   | \$100.0160        | \$50,008.00 | \$105.2000                | \$52,600.00               | \$2,592.00             | \$1,750.00                    | 3.326%                |
| TARGET CORP NOTE<br>CPN 5.375% DUE 05/01/17<br>DTD 05/01/07 FC 11/01/07                                                     | 87612EAP1    | S&P: A+<br>Moody: A2     | 50,000   | \$102.2220        | \$51,111.00 | \$116.9000                | \$58,450.00               | \$7,339.00             | \$2,687.50                    | 4.597%                |
| BB&T CORP<br>SUBORDINATE NOTE B/E<br>CPN 4.900% DUE 06/30/17<br>DTD 06/30/05 FC 12/30/05                                    | 054937AG2    | S&P: BBB+<br>Moody: A3   | 50,000   | \$97.7150         | \$48,857.50 | \$106.4320                | \$53,216.00               | \$4,358.50             | \$2,450.00                    | 4.603%                |
| AT&T INC<br>GLOBAL NOTE<br>CPN 5.500% DUE 02/01/18<br>DTD 02/01/08 FC 08/01/08                                              | 00206RAJ1    | S&P: A-<br>Moody: A2     | 50,000   | \$100.5603        | \$50,280.15 | \$115.7200                | \$57,860.00               | \$7,579.85             | \$2,750.00                    | 4.752%                |
| GENERAL ELECTRIC CAP<br>CORP MEDIUM TERM NOTE<br>B/E<br>CPN 5.625% DUE 05/01/18<br>DTD 04/21/08 FC 11/01/08                 | 36962G3U6    | S&P: AA+<br>Moody: AA2   | 50,000   | \$97.0505         | \$48,525.25 | \$112.0000                | \$56,000.00               | \$7,474.75             | \$2,812.50                    | 5.022%                |
| ABN AMRO BK NV AMSTERDAM<br>BRANCH SUBORDINATED NOTE<br>B/E<br>CPN 4.650% DUE 06/04/18<br>DTD 12/04/03 FC 06/04/04          | 00080QAB1    | S&P: BBB-<br>Moody: A3   | 25,000   | \$101.0601        | \$25,265.03 | \$91.0850                 | \$22,771.25               | (\$2,493.78)           | \$1,162.50                    | 5.105%                |
| BANK AMERICA CORP<br>SUBORDINATED NOTE B/E<br>CPN 5.490% DUE 03/15/19<br>DTD 03/15/07 FC 09/15/07                           | 060505DB7    | S&P: BBB+<br>Moody: BAA2 | 25,000   | \$103.3860        | \$25,846.50 | \$86.1910                 | \$21,547.75               | (\$4,298.75)           | \$1,372.50                    | 6.369%                |
| DISNEY WALT COMPANY NEW<br>MEDIUM TERM NOTES B/E<br>CPN 5.500% DUE 03/15/19<br>DTD 03/16/09 FC 09/15/09                     | 25468PCX0    | S&P: A<br>Moody: A2      | 50,000   | \$104.2400        | \$52,120.00 | \$120.2500                | \$60,125.00               | \$8,005.00             | \$2,750.00                    | 4.573%                |

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**ASSET DETAILS Continued**  
**ASSETS HELD AT HILLIARD LYONS Continued**

| TAXABLE FIXED INCOME                                                                                                                                | Symbol/CUSIP                        | Quantity | Average Unit Cost | Cost                | Approximate Current Price | Approximate Current Value | Unrealized Gain/(Loss) | Anticipated Annualized Income | Current Yield Percent |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------|-------------------|---------------------|---------------------------|---------------------------|------------------------|-------------------------------|-----------------------|
| EMERSON ELECTRIC COMPANY<br>NOTE B/E<br>CPN 5.000% DUE 04/15/19<br>DTD 04/17/09 FC 10/15/09                                                         | 291011BA1<br>S&P: A<br>Moody: A2    | 50,000   | \$101.1180        | \$50,559.00         | \$117.4670                | \$58,733.50               | \$8,174.50             | \$2,500.00                    | 4.255%                |
| JPMORGAN CHASE & COMPANY<br>NOTE B/E<br>CPN 4.250% DUE 10/15/20<br>DTD 10/21/10 FC 04/15/11                                                         | 46625HHU7<br>S&P: A<br>Moody: AA3   | 25,000   | \$97.8010         | \$24,450.25         | \$100.4600                | \$25,115.00               | \$664.75               | \$1,062.50                    | 4.230%                |
| GENL ELECTRIC CAP CORP<br>MEDIUM TERM NOTE B/E<br>CPN 4.825% DUE 01/07/21<br>DTD 01/07/11 FC 07/07/11                                               | 36962G47<br>S&P: AA+<br>Moody: AA2  | 25,000   | \$100.8140        | \$25,203.50         | \$102.5500                | \$25,637.50               | \$434.00               | \$1,156.25                    | 4.509%                |
| NUCOR CORP<br>NOTE B/E<br>CPN 4.125% DUE 09/15/22<br>DTD 09/21/10 FC 03/15/11<br>CALL 03/15/22 @ 100.000                                            | 670346AL9<br>S&P: A<br>Moody: A2    | 25,000   | \$100.3210        | \$25,080.25         | \$107.9100                | \$26,977.50               | \$1,897.25             | \$1,031.25                    | 3.822%                |
| GOLDMAN SACHS GROUP INC<br>MEDIUM TERM NOTE B/E<br>CPN 5.000% DUE 03/15/23<br>DTD 03/23/11 FC 04/15/11                                              | 38141EY94<br>S&P: A-<br>Moody: A1   | 25,000   | \$100.0360        | \$25,009.00         | \$95.3160                 | \$23,829.00               | (\$1,180.00)           | \$1,250.00                    | 5.245%                |
| TENNESSEE VALLEY AUTH<br>ELECTRONOTES B/E SEMI<br>SURVIVOR OPTION<br>CPN 4.875% DUE 07/15/24<br>DTD 07/02/09 FC 01/15/10<br>CALL 07/15/12 @ 100.000 | 88059TES6<br>S&P: NR<br>Moody: AAA  | 25,000   | \$104.0360        | \$26,009.00         | \$101.4960                | \$25,374.00               | (\$635.00)             | \$1,218.75                    | 4.803%                |
| BARCLAYS BANK PLC<br>GLOBAL MEDIUM TERM NOTE<br>SER A B/E STEP<br>CPN 4.000% DUE 09/30/25<br>DTD 09/30/10 FC 03/30/11<br>CALL 03/30/12 @ 100.000    | 06740PNU1<br>S&P: AA-<br>Moody: AA3 | 25,000   | \$95.2860         | \$23,821.50         | \$84.7030                 | \$21,175.75               | (\$2,645.75)           | \$1,000.00                    | 4.722%                |
| <b>Total Taxable Fixed Income</b>                                                                                                                   |                                     |          |                   | <b>\$899,700.28</b> |                           | <b>\$957,724.75</b>       | <b>\$58,024.47</b>     | <b>\$44,768.25</b>            |                       |

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## ASSET DETAILS Continued

### ASSETS HELD AT HILLIARD LYONS Continued

| MUTUAL FUNDS                               | Symbol/CUSIP | Quantity   | Average Unit Cost | Cost                  | Approximate Current Price | Approximate Current Value | Unrealized Gain/(Loss) | Anticipated Annualized Income |
|--------------------------------------------|--------------|------------|-------------------|-----------------------|---------------------------|---------------------------|------------------------|-------------------------------|
| EURO PACIFIC GROWTH FD<br>CLA              | AEPGX        | 4,968,728  | \$41.4728         | \$206,067.41          | \$35.1600                 | \$174,700.51              | (\$31,366.90)          | \$2,832.17                    |
| EURO PACIFIC GROWTH FD M<br>CLA            | AEPGX        | 5,487,589  | \$36.9545         | \$202,791.30          | \$35.1600                 | \$192,943.62              | (\$9,847.68)           | \$3,127.92                    |
| GROWTH FUND AMER INC<br>CLA                | AGTHX        | 4,944,086  | \$23.0321         | \$113,873.04          | \$28.7300                 | \$142,043.59              | \$28,170.55            | \$1,211.30                    |
| GROWTH FUND AMER INC M<br>CLA              | AGTHX        | 15,935,685 | \$26.6736         | \$425,062.31          | \$28.7300                 | \$457,832.23              | \$32,769.92            | \$3,904.24                    |
| INVESTMENT CO AMERICA<br>CLA               | AIVSX        | 5,825,864  | \$22.3694         | \$130,321.10          | \$27.0900                 | \$157,822.38              | \$27,501.28            | \$3,029.44                    |
| INVESTMENT CO AMERICA M<br>CLA             | AIVSX        | 14,486,489 | \$31.2439         | \$452,615.50          | \$27.0900                 | \$392,438.98              | (\$60,176.52)          | \$7,532.97                    |
| NEW PERSPECTIVE FD INC M<br>CLA            | ANWPX        | 9,258,479  | \$26.3891         | \$244,323.01          | \$26.1600                 | \$242,201.81              | (\$2,121.20)           | \$2,684.95                    |
| SMALLCAP WORLD FD INC<br>CLA               | SMCWX        | 2,643,396  | \$38.1260         | \$100,782.38          | \$33.1800                 | \$87,707.87               | (\$13,074.51)          | \$1,377.20                    |
| SMALLCAP WORLD FD INC M<br>CLA             | SMCWX        | 4,761,038  | \$30.4816         | \$145,124.48          | \$33.1800                 | \$157,971.24              | \$12,846.76            | \$2,480.50                    |
| <b>Total Mutual Funds</b>                  |              |            |                   | <b>\$2,020,980.53</b> |                           | <b>\$2,095,662.23</b>     | <b>(\$15,298.30)</b>   | <b>\$28,180.69</b>            |
| <b>Total Assets held at Hilliard Lyons</b> |              |            |                   | <b>\$2,920,660.81</b> |                           | <b>\$2,963,386.98</b>     | <b>\$42,726.17</b>     | <b>\$72,946.94</b>            |

Shared held in GFM, Inc, a corporation FMV at 12/31/11 = \$1,134,739

|                            | Cost                  | Approximate Current Value | Unrealized Gain/(Loss) | Anticipated Annualized Income |
|----------------------------|-----------------------|---------------------------|------------------------|-------------------------------|
| <b>Total Account Value</b> | <b>\$2,920,660.81</b> | <b>\$2,992,682.95</b>     | <b>\$42,726.17</b>     | <b>\$72,946.75</b>            |

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                          | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                | Amount   |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------|----------|
| FOUNTAIN CITY ART CENTER<br>213 HOTEL AVENUE<br>KNOXVILLE, TN 37918                       | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDING A VARIETY OF<br>ART TO THE PUBLIC.                       | 1,000.   |
| KNOXVILLE ACADEMY OF MEDICINE<br>115 SUBURBAN ROAD<br>KNOXVILLE, TN 37923                 | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE HEALTH CARE<br>FOR UNINSURED LOW<br>INCOME RESIDENTS       | 10,000.  |
| KNOXVILLE HABITAT FOR HUMANITY<br>1501 WASHINGTON AVE<br>KNOXVILLE, TN 37917              | NONE                                                                                                               | PUBLIC CHARITY                       | FUNDING FOR SIMPLE,<br>DECENT AFFORDABLE<br>HOUSING FOR LOW Income | 16,000.  |
| KNOXVILLE LEADERSHIP FOUNDATION<br>901 E. SUMMIT HILL DRIVE<br>KNOXVILLE, TN 37915        | NONE                                                                                                               | PUBLIC CHARITY                       | PROMOTE LEADERSHIP IN<br>THE KNOXVILLE AREA                        | 7,500.   |
| LADIES OF CHARITY<br>1031 N. CENTRAL STREET<br>KNOXVILLE, TN 37917                        | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE PROPER<br>NUTRITION TO HUNGRY<br>ADULTS AND CHILDREN       | 5,000.   |
| MENTAL HEALTH ASSOCIATION OF EAST TN<br>P.O. BOX 32731<br>KNOXVILLE, TN 37931             | NONE                                                                                                               | PUBLIC CHARITY                       | YOUTH SUICIDE<br>PREVENTION PROGRAM                                | 5,000.   |
| OLD GRAY CEMETARY<br>PO BOX 806<br>KNOXVILLE, TN 37901                                    | NONE                                                                                                               | PUBLIC CHARITY                       | TO SUPPORT THE<br>CEMETARY                                         | 2,900.   |
| SECOND HARVEST FOOD BANK OF EAST TN<br>922 DELAWARE AVE<br>KNOXVILLE, TN 37921            | NONE                                                                                                               | PUBLIC CHARITY                       | SUPPORT NEEDY WITH<br>FOOD, CLOTHING, AND<br>SHELTER               | 10,000.  |
| SHANGRI-LA THERAPEUTIC ACADEMY OF<br>RIDING<br>11800 HIGHWAY 11E<br>LENOIR CITY, TN 37772 | NONE                                                                                                               | PUBLIC CHARITY                       | HORSE RELATED<br>ACTIVITIES FOR PERSONS<br>WITH DISABILITIES       | 5,000.   |
| SOAR YOUTH MINISTRIES<br>P.O. BOX 51611<br>KNOXVILLE, TN 37950                            | NONE                                                                                                               | PUBLIC CHARITY                       | SUPPORT MIDDLE SCHOOL<br>"PILOT" PROGRAM                           | 15,000.  |
| Total from continuation sheets                                                            |                                                                                                                    |                                      |                                                                    | 147,500. |



**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                                           | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution                                                                 | Amount  |
|------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------------------------------------------------------|---------|
| VOLUNTEER MINISTRY CENTER<br>103 S. GAY STREET<br>KNOXVILLE, TN 37901                                      | NONE                                                                                                               | PUBLIC CHARITY                       | SUPPORT PROGRAMS FOR<br>THE HOMELESS                                                                | 15,000. |
| BIG BROTHERS BIG SISTERS OF EAST<br>TENNESSEE<br>119 W. SUMMITT HILL DRIVE, STE 101<br>KNOXVILLE, TN 37902 | NONE                                                                                                               | PUBLIC CHARITY                       | ENABLE YOUTH TO<br>REALIZE THEIR<br>POTENTIAL                                                       | 7,500.  |
| CHERISH THE CHILD FOUNDATION<br>449 MCCARN CIRCLE<br>SEVIERVILLE, TN 37864                                 | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE ASSISTANCE AND<br>INCREASED AWARENESS<br>FOR THE SMOKY MOUNTAIN<br>CHILDRENS HOME           | 5,000.  |
| HAVEN HOUSE<br>101 W. BROADWAY, SUITE 209<br>MARYVILLE, TN 37801                                           | NONE                                                                                                               | PUBLIC CHARITY                       | INTERVENTION AND<br>PREVENTION OF DOMESTIC<br>VIOLENCE                                              | 3,000.  |
| JUNIOR ACHIEVEMENT OF EAST TENNESSEE<br>2135 NORTH CHARLES G. SEVIERS BLVD<br>CLINTON, TN 37716            | NONE                                                                                                               | PUBLIC CHARITY                       | TO INSPIRE AND PREPARE<br>YOUNG PEOPLE TO<br>SUCCEED IN A GLOBAL<br>ECONOMY.                        | 2,500.  |
| LOST SHEEP MINISTRY<br>1444 BRED A DRIVE<br>KNOXVILLE, TN 37918                                            | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE SUPPORT TO<br>COMMUNITY                                                                     | 2,100.  |
| OPEN ARMS MINISTRY<br>P.O. BOX 422<br>CARRYVILLE, TN 37714                                                 | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE SUPPORT TO<br>COMMUNITY                                                                     | 10,000. |
| THE PHILADELPHIANS PRISON MINISTRY<br>4531 OLD BROADWAY<br>KNOXVILLE, TN 37918                             | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE CHRISTIAN<br>SUPPORT AND OUTREACH<br>TO PRISONERS                                           | 5,000.  |
| TENNESSEE BAPTIST ADULT HOMES<br>P.O. BOX 728<br>BRENTWOOD, TN 37024                                       | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE RESIDENTIAL<br>AND PROGRAM SERVICES<br>TO SENIOR AND<br>DEVELOPMENTALLY<br>DISABLED ADULTS. | 10,000. |
| YOUTH TRANSITIONS, INC<br>301 CHESIRE DRIVE<br>KNOXVILLE, TN 37919                                         | NONE                                                                                                               | PUBLIC CHARITY                       | PROVIDE TRAINING TO<br>DEVELOPMENTALLY<br>DISABLED STUDENTS                                         | 3,500.  |
| Total from continuation sheets                                                                             |                                                                                                                    |                                      |                                                                                                     |         |

## Part XV

## 3

**Total from continuation sheets**

| FORM 990-PF                      | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT                  | 1                    |
|----------------------------------|----------------------------------------|----------------------------|----------------------|
| SOURCE                           | GROSS AMOUNT                           | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
| GFM, INC.                        | 106,589.                               | 0.                         | 106,589.             |
| HILLIARD LYONS                   | 62,811.                                | 0.                         | 62,811.              |
| TOTAL TO FM 990-PF, PART I, LN 4 | 169,400.                               | 0.                         | 169,400.             |

| FORM 990-PF                 | TAXES                        |                                   | STATEMENT                     | 2                             |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
| EXCISE TAX                  | 2,908.                       | 0.                                |                               | 0.                            |
| FOREIGN TAX ON DIVIDENDS    | 1,668.                       | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 4,576.                       | 0.                                |                               | 0.                            |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 3 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| ANNUAL REPORT FEE           | 20.                          | 0.                                |                               | 0.                            |   |
| ATTORNEY FEES               | 5,815.                       | 0.                                |                               | 0.                            |   |
| ACCOUNTING FEES             | 2,640.                       | 0.                                |                               | 0.                            |   |
| TO FORM 990-PF, PG 1, LN 23 | 8,475.                       | 0.                                |                               | 0.                            |   |

| FORM 990-PF                             | CORPORATE STOCK | STATEMENT            | 4 |
|-----------------------------------------|-----------------|----------------------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET<br>VALUE |   |
| GFM, INC.                               | 1,134,739.      | 1,134,739.           |   |
| HILLIARD LYONS - MUTUAL FUNDS           | 2,005,662.      | 2,005,662.           |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 3,140,401.      | 3,140,401.           |   |

| FORM 990-PF                             | CORPORATE BONDS | STATEMENT         | 5 |
|-----------------------------------------|-----------------|-------------------|---|
| DESCRIPTION                             | BOOK VALUE      | FAIR MARKET VALUE |   |
| HILLIARD LYONS - FIXED INCOME           | 957,725.        | 957,725.          |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 957,725.        | 957,725.          |   |

| FORM 990-PF | PART VIII - LIST OF OFFICERS, DIRECTORS<br>TRUSTEES AND FOUNDATION MANAGERS | STATEMENT | 6 |
|-------------|-----------------------------------------------------------------------------|-----------|---|
|-------------|-----------------------------------------------------------------------------|-----------|---|

| NAME AND ADDRESS                                                       | TITLE AND<br>AVRG HRS/WK         | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|------------------------------------------------------------------------|----------------------------------|-------------------|------------------------------|--------------------|
| RON CUNNINGHAM<br>P.O. BOX 1<br>KNOXVILLE, TN 37901                    | PRESIDENT<br>1.00                | 0.                | 0.                           | 0.                 |
| WILLIAM E. MONDAY III<br>208 BUSBEE ROAD<br>KNOXVILLE, TN 37920        | VICE PRESIDENT/ DIRECTOR<br>1.00 | 0.                | 0.                           | 0.                 |
| KENNETH W. HOLBERT<br>P.O. BOX 1<br>KNOXVILLE, TN 37901                | TREASURER<br>1.00                | 0.                | 0.                           | 0.                 |
| JOAN VESTAL ELLIS<br>7914 GLEASON DR, APT. 1053<br>KNOXVILLE, TN 37919 | SECRETARY<br>1.00                | 0.                | 0.                           | 0.                 |
| JAMES S. MONDAY<br>208 BUSBEE ROAD<br>KNOXVILLE, TN 37920              | DIRECTOR<br>0.00                 | 0.                | 0.                           | 0.                 |
| ROBERT W. MONDAY<br>902 KERMIT DRIVE<br>KNOXVILLE, TN 37912            | DIRECTOR<br>0.00                 | 0.                | 0.                           | 0.                 |
| JOHN LACY, MD<br>1932 ALCOA HWY<br>KNOXVILLE, TN 37920                 | DIRECTOR<br>0.00                 | 0.                | 0.                           | 0.                 |
| JAMES STOGNER<br>1044 CRAIGLAND COURT<br>KNOXVILLE, TN 37919           | DIRECTOR<br>0.00                 | 0.                | 0.                           | 0.                 |

GENE & FLORENCE MONDAY FOUNDATION, INC.

62-1518306

WILLIAM E. MONDAY IV                      DIRECTOR  
208 BUSBEE ROAD                              0.00  
KNOXVILLE, TN 37920

0.                      0.                      0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.                      0.                      0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 7

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

RON CUNNINGHAM, PRESIDENT  
P.O. BOX 1  
KNOXVILLE, TN 37901

TELEPHONE NUMBER

865-525-0238

FORM AND CONTENT OF APPLICATIONS

SEE THE ATTACHED GRANT APPLICATION FORM.

ANY SUBMISSION DEADLINES

APPLICATIONS ARE DUE JULY 1, BUT ARE ACCEPTED IF REC'D BEFORE THE GRANTS COMMITTEE MEETING IN SEPT.

RESTRICTIONS AND LIMITATIONS ON AWARDS

AWARDS MUST BE USED FOR CHARITABLE, RELIGIOUS OR EDUCATIONAL PURPOSES. SEE THE ATTACHED GRANT APPLICATION FORM.

# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

|                                                                                     |                                                                                                                         |                                                                                                      |
|-------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions. | Name of exempt organization or other filer, see instructions.<br><br><b>GENE &amp; FLORENCE MONDAY FOUNDATION, INC.</b> | Employer identification number (EIN) or<br><br><input checked="" type="checkbox"/> <b>62-1518306</b> |
|                                                                                     | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>1810 AILOR AVENUE</b>                      | Social security number (SSN)<br><input type="checkbox"/>                                             |
|                                                                                     | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>KNOXVILLE, TN 37921</b>  |                                                                                                      |

Enter the Return code for the return that this application is for (file a separate application for each return)

**0 4**

| Application Is For                       | Return Code | Application Is For       | Return Code |
|------------------------------------------|-------------|--------------------------|-------------|
| Form 990                                 | 01          | Form 990-T (corporation) | 07          |
| Form 990-BL                              | 02          | Form 1041-A              | 08          |
| Form 990-EZ                              | 01          | Form 4720                | 09          |
| Form 990-PF                              | 04          | Form 5227                | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                | 12          |

**KENNETH W. HOLBERT**

- The books are in the care of ► **1810 AILOR AVENUE - KNOXVILLE, TN 37921**  
Telephone No. ► **(865) 525-0238** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.  
► ☒ calendar year **2011** or  
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

|                                                                                                                                                                                             |           |                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                  | <b>3a</b> | \$ <b>7,526.</b> |
| <b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | <b>3b</b> | \$ <b>7,526.</b> |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions             | <b>3c</b> | \$ <b>0.</b>     |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)