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Form **990-EZ** (2010)

Part II Balance Sheets

Check if the organization used Schedule O to respond to any question in this Part II ☒

(See the instructions for Part II)		(A) Beginning of year	(B) End of year	
22	Cash, savings, and investments	66,677	22	65,407
23	Land and buildings		23	
24	Other assets (describe in Schedule O)		24	
25	Total assets	66,677	25	65,407
26	Total liabilities (describe in Schedule O)	862	26	879
27	Net assets or fund balances (line 27 of column (B) must agree with line 21) .	65,815	27	64,528

Part III Statement of Program Service Accomplishments

Check if the organization used Schedule O to respond to any question in this Part III ☒

What is the organization's primary exempt purpose?
TO PROVIDE SOCIAL AND EDUCATIONAL SUPPORT FOR THEIR MEMBERS WITH REGARDS TO THE AUCTIONEERING PROFESSION

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title

Expenses
(Required for section 501 (c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)

28	PROVIDING EDUCATIONAL AND SOCIAL SUPPORT TO THE AUCTIONEERING PROFESSION IN KENTUCKY (Grants \$ 3,000)	If this amount includes foreign grants, check here ☐	28a	71,822
29				
	(Grants \$)	If this amount includes foreign grants, check here ☐	29a	
30				
	(Grants \$)	If this amount includes foreign grants, check here ☐	30a	
31	Other program services (describe in Schedule O) (Grants \$)	If this amount includes foreign grants, check here ☐	31a	
32	Total program service expenses (add lines 28a through 31a)		32	71,822

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (See the instructions for Part IV)

Check if the organization used Schedule O to respond to any question in this Part IV ☐

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
See Additional Data Table				

Part V

Other Information (Note the statement requirements in the instructions for Part V.)

Check if the organization used Schedule O to respond to any question in this Part V ☐

		Yes	No
33	Did the organization engage in any significant activity not previously reported to the IRS? If "Yes," provide a detailed description of each activity in Schedule O	33	No
34	Were any significant changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the amended documents if they reflect a change to the organization's name. Otherwise, explain the change on Schedule O (see instructions)	34	No
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, explain in Schedule O why the organization did not report the income on Form 990-T		
a	Did the organization have unrelated business gross income of \$1,000 or more during the year from business activities (such as those reported on lines 2, 6a, and 7a, among others)?	35a	No
b	If "Yes" to line 35a, has the organization filed a Form 990-T for the year? If "No," provide an explanation in Schedule O	35b	
c	Was the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization subject to section 6033(e) notice, reporting, and proxy tax requirements during the year? If "Yes," complete Schedule C, Part III	35c	No
36	Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36	No
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions ☐ 37a		
b	Did the organization file Form 1120-POL for this year?	37b	No
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the tax year covered by this return?	38a	No
b	If "Yes," complete Schedule L, Part II and enter the total amount involved	38b	
39	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on line 9	39a	
b	Gross receipts, included on line 9, for public use of club facilities	39b	
40a	Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under section 4911 ☐ _____, section 4912 ☐ _____, section 4955 ☐ _____		
b	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it engage in an excess benefit transaction in a prior year that has not been reported on any of its prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b	No
c	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 . . . ☐ _____		
d	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ☐ _____		
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e	No
41	List the states with which a copy of this return is filed ☐ _____		
42a	The organization's books are in care of ☐ CHARLES WHITLEY Telephone no ☐ (270) 782-2773 195 EASTWIND PLACE Located at ☐ BOWLING GREEN, KY ZIP + 4 ☐ 42103		
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If "Yes," enter the name of the foreign country ☐ _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts .	42b	No
c	At any time during the calendar year, did the organization maintain an office outside of the U S ? If "Yes," enter the name of the foreign country ☐ _____	42c	No
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 —Check here ☐ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ☐ 43		
44a	Did the organization maintain any donor advised funds? If "Yes", Form 990 must be completed instead of Form 990-EZ.	44a	No
b	Did the organization operate one or more hospital facilities during the year? If "Yes," Form 990 must be completed instead of Form 990-EZ	44b	No
c	Did the organization receive any payments for indoor tanning services during the year?	44c	No
d	If "Yes" to line 44c, has the organization filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	44d	
45a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	45a	No
45b	Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," Form 990 and Schedule R may need to be completed instead of Form 990-EZ (see instructions)	45b	

		Yes	No
46	Did the organization engage, directly or indirectly, in political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I		No

Part VI

Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.

All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 47-49b and 52.

Check if the organization used Schedule O to respond to any question in this Part VI

		Yes	No
47	Did the organization engage in lobbying activities or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II		
48	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E		
49a	Did the organization make any transfers to an exempt non-charitable related organization?		
49b	If "Yes," was the related organization a section 527 organization?		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances

f Total number of other employees paid over \$100,000

51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None "

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation

d Total number of other independent contractors each receiving over \$100,000

52 Did the organization complete Schedule A? **NOTE:** All Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A

Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	***** Signature of officer	2012-09-15 Date			
	CHARLES WHITLEY EXECUTIVE DIRECTOR Type or print name and title				
Paid Preparer's Use Only	Preparer's signature	RYAN MCCOWAN CPA	Date 2012-08-28	Check if self-employed	Preparer's taxpayer identification number (See instructions)
	Firm's name (or yours if self-employed), address, and ZIP + 4	GREENE MCCOWAN & CO PLLC 605 N MAIN ST LONDON, KY 40741			EIN
					Phone no (606) 864-0414

May the IRS discuss this return with the preparer shown above? See instructions

Yes No

Additional Data

Software ID:
Software Version:
EIN: 62-1353007
Name: KENTUCKY AUCTIONEERS ASSN INC

Form 990-EZ, Special Condition Description:

Special Condition Description

Form 990EZ, Part IV - List of Officers, Directors, Trustees, and Key Employees

(A) Name and address	(B) Title and average hours per week devoted to position	(C) Compensation (If not paid, enter -0-.)	(D) Contributions to employee benefit plans & deferred compensation	(E) Expense account and other allowances
CHARLES WHITLEY 195 EASTWIND PLACE BOWLING GREEN, KY 42103	EXECUTIVE DI 10 00	0		
ROBERT ALEXANDER 1860 LONE OAK RD PADUCAH, KY 42003	DIRECTOR 2 00	0		
DANETTA FORD ALLEN PO BOX 1435 MT VERNON, KY 40456	PRESIDENT EL 2 00	0		
STEVE CHERRY 2435 FITZGERALD INDUSTRIAL DRIVE BOWLING GREEN, KY 42104	PRESIDENT 2 00	0		
TIM HALEY 218 CENTURY HOUSE LANE LEWISBURG, KY 42256	DIRECTOR 2 00	0		
PAUL MALONE 211 E FIRST ST CAMPBELLSVILLE, KY 42179	DIRECTOR 2 00	0		
DOYLE WILSON 124 MAIN STREET GAMALIEL, KY 42140	DIRECTOR 2 00	0		
KIMBERLY BOUCHEY 1053A LOVERS LANE BOWLING GREENE, KY 42103	DIRECTOR 2 00	0		
RICK WARDLOW 8018 SHADOWCREEK ROAD CRESTWOOD, KY 40014	DIRECTOR 2 00	0		
MURRAY MCCANDLESS 509 SPRING DRIVE ELIZABETHTOWN, KY 42701	DIRECTOR 2 00	0		
JEFF GERALDS 150 RHODES STREET GAMALIEL, KY 42140	VICE PRESIDE 2 00	0		
JOHN WAYNE KESSLER PO BOX 271 CAMPBELLSVILLE, KY 42719	DIRECTOR 2 00	0		

SCHEDULE O
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ****Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**
▶ **Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

2011**Open to Public
Inspection**Name of the organization
KENTUCKY AUCTIONEERS ASSN INC**Employer identification number**

62-1353007

Identifier	Return Reference	Explanation
OTHER REVENUE	FORM 990-EZ, PART I, LINE 8	OTHER INCOME 3,465 TOTAL 3,465
OTHER EXPENSES	FORM 990-EZ, PART I, LINE 16	SURPLUS AUCTION AUCTIONEER EXPENSES 6,115 0 CONVENTION CONVENTION EXPENSES 13,740 EXPENSES TRAVEL 2,122 MEETING EXPENSES 721 POSTAGE 553 PRINTING 439 MAGAZINE 6,375 MISCELLANEOUS 99 OFFICE SUPPLIES 7,083 PROFESSIONAL FEES 750 LEGISLATIVE AGENT 9,750 KAA AUXILIARY 120 DUES 1,739 MEALS 306 NAA FOUNDATION 5,550 TOTAL 55,462
OTHER LIABILITIES	FORM 990-EZ, PART II, LINE 26	PAYROLL TAXES DUE 862 879
PRIMARY EXEMPT PURPOSE	FORM 990-EZ, PART III	TO PROVIDE SOCIAL AND EDUCATIONAL SUPPORT FOR THEIR MEMBERS WITH REGARDS TO THE AUCTIONEERING PROFESSION

TY 2011 Compensation Explanation**Name:** KENTUCKY AUCTIONEERS ASSN INC**EIN:** 62-1353007

Person Name	Explanation
CHARLES WHITLEY	
ROBERT ALEXANDER	
DANETTA FORD ALLEN	
STEVE CHERRY	
TIM HALEY	
PAUL MALONE	
DOYLE WILSON	
KIMBERLY BOUCHEY	
RICK WARDLOW	
MURRAY MCCANDLESS	
JEFF GERALDS	
JOHN WAYNE KESSLER	