



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



N/A

4942(1)(5)

(4) Gross investment income

[illegible]

SEE STATEMENT FOR LINE 2A

1072 RECEIVED
JAN 22 2013
OGDEN, UT
IRS-OSC

CLIENT CHRISTY

CHRISTY-HOUSTON FOUNDATION, INC.

62-1280998

STATEMENT 11 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
THOMAS E. HORD, III 124 NORTH HIGHLAND AVE MURFREESBORO, TN 37130	DIRECTOR 1.00	\$ 700.	\$ 6,326.	\$ 0.
TOTAL		\$ 15,500.	\$ 143,202.	\$ 0.

STATEMENT 12
FORM 990-PF, PART IX-A, LINE 1
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

DIRECT CHARITABLE ACTIVITIES	EXPENSES
NOT APPLICABLE - THE FOUNDATION ONLY MAKES GRANTS TO OTHER QUALIFYING CHARITABLE ORGANIZATIONS OR GOVERNMENTAL UNITS FOR USE IN OR FOR THE BENEFIT OF CITIZENS OF RUTHERFORD COUNTY, TENNESSEE.	

STATEMENT 13
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	CHRISTY-HOUSTON FOUNDATION GRANTS
NAME:	ROBERT B. MIFFLIN
CARE OF:	
STREET ADDRESS:	1296 DOW ST.
CITY, STATE, ZIP CODE:	MURFREESBORO, TN 37130
TELEPHONE:	
FORM AND CONTENT:	SEE ATTACHED FORMS
SUBMISSION DEADLINES:	NONE
RESTRICTIONS ON AWARDS:	GRANTS MUST BENEFIT CITIZENS OF RUTHERFORD OR NEIGHBORING COUNTIES

STATEMENT 14
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
COMMUNITY HELPERS 1453 HOPEWAY MURFREESBORO, TN, TN 37129	N/A	501C3	PRESCRIPTIONS FOR NEEDY	\$ 216,760.

GRANT TERMS AND CONDITIONS

MISSION STATEMENT

The mission of the Christy-Houston Foundation is to enhance the quality of life in Rutherford County, Tennessee, with emphasis on health care, through distribution of its grant resources to programs and projects that will accomplish such enhancement. ❖

GRANT CRITERIA

In the grant support of programs that focus on the several aspects of health care, as well as those concerned with other endeavors, the Foundation's goal is to achieve a significant and measurable impact. It is not a simple task for the Foundation to select from among many worthy causes those that hold the highest promise of improving the human condition. Thus, certain criteria must be applied in the grant distribution process, principle among which is the applicant's promise of a broad public benefit that extends beyond a localized or restricted purpose. Inflation, fluctuating market conditions, and any other adverse effect on the Foundation's investment earnings, makes those selections even more critical.

Since grant distribution funds are limited, the Board of Directors is regularly reminded that there are never sufficient resources with which to make a favorable response to all applications. Selections are generally made by applying answers to the questions listed below, information provided by the application materials, site visit, and other research efforts.

- * Is the applicant a 501 (c) (3) organization with public charity status?
- * Is the applicant subject to any of the Foundation's grant exclusions?
- * If the applicant is a charitable enterprise, making no charge for its services, who are its beneficiaries?
- * If the applicant makes a charge for its services, how is the income therefrom distributed?
- * Does the applicant have a track record of financial and managerial competence?
In what way does the applicant's services respond to basic human need?
- * In addition to this application, has applicant sought other sources of funding? What percent of total need is the Christy-Houston requested to fund?
- * Will the grant, if awarded, jeopardize the applicant's tax-exempt status?
- * Is this a repeat application, and if so, does it address "basic" human need?

BUILDING CONSTRUCTION OR RENOVATION

Eligible applications for grant funds with which to finance a building program must include the following information where applicable:

- ✦ Verification that the land of which the building is to be constructed is available and committed for immediate purchase and the purchase price.
- ✦ A copy of the Architect or Engineer's plans for construction of the project.
- ✦ A copy of the Construction Contract which includes the cost of the completed project, the expected beginning date of construction, and the estimated number of days to completion of the project.
- ✦ The Architect or Engineer's approved schedule of payments to the Contractor which shall determine distribution of the grant funds during the construction period.
- ✦ The Foundation may request a one page line item budget showing anticipated income and expenditures for operation of the organization's first two fiscal years following completion of the project for which the grant is awarded.

EXCLUSIONS

The Foundation does not ordinarily make grants to the following:

- ✦ Organizations fully supported by tax funds.
- ✦ Political entities or activities.
- ✦ Religious, athletic, or veteran's groups, except for specific programs of broad public interest and benefit.
- ✦ Private foundations.
- ✦ Historical societies or historical preservation.
- ✦ Endowments.
- ✦ Organizations concerned with specific diseases or medical specialties.

Notwithstanding these excluded areas, the Foundation will carefully review any proposal that brings expression to the Foundation's mission objectives.

The Foundation does not award grants for operating expenses, such as salaries, stipends, honoraria, or expendable supplies. The Board of Directors rejects dependency on the Foundation for future survival. Only those repeat applications that address basic human need will be considered for a subsequent grant; however, a period of, at least, one year must expire before a subsequent application will be reviewed.

Applicants are advised that no advantage is to be gained by addressing grant requests to or soliciting the support of individual Foundation Board members, and such activity is discouraged by Board sentiment. A complete copy of application materials is placed before Board members, and each application is considered on its own merit. Interviews will be conducted only upon invitation by the Foundation and then only after a review of the written materials.

The application, this booklet, and supporting documents must be returned to the Foundation office. *

The Christy-Houston Foundation was chartered as a private foundation on May 27, 1986. The Foundation is named in honor of Mr. Simeon B. Christy, the Chairman of the founding Board of Directors of Rutherford Hospital, Incorporated, a not-for-profit, private hospital, later to become Middle Tennessee Medical Center, Incorporated, and Mr. Frank K. Houston, who was its principle benefactor. The Foundation was originally funded by proceeds derived from the transfer of ownership of Middle Tennessee Medical Center, Incorporated, to the Baptist and Saint Thomas Hospitals of Nashville, Tennessee. The proceeds derived from the transfer of ownership are fully invested in securities managed by professionally recognized investment management firms, and grant awards are funded by the income earned through those investments. *



Christy-Houston Foundation

1296 Dow Street
Murfreesboro, TN 37130
Phone: 615-898-1140
Fax: 615-895-9524

Name of Organization: _____

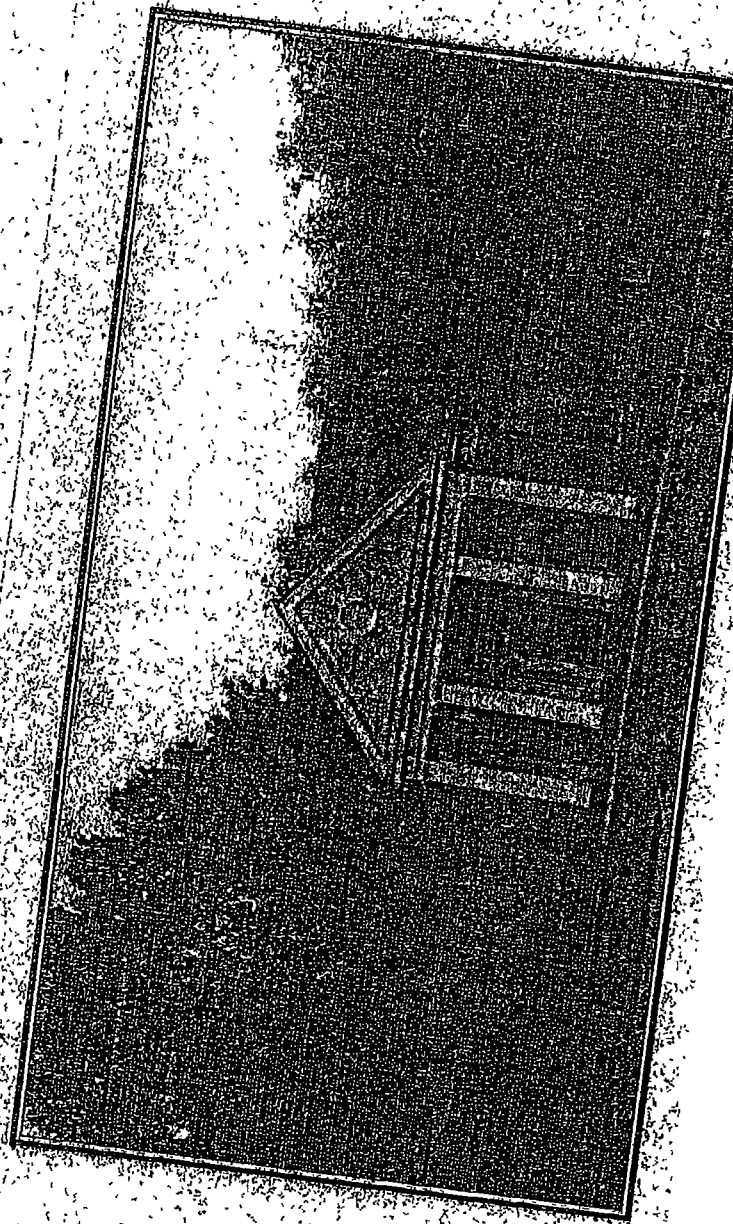
Name of Person Submitting Application: _____

Address: _____

Phone Number: _____

Amount of Grant Sought: _____

This booklet must be returned to the Foundation with the completed grant request.



NOTES

THE CHRISTY-HOUSTON FOUNDATION

The mission of the Christy-Houston Foundation is to enhance the quality of life in Rutherford County, Tennessee, with emphasis on health care, through distributions of its grant resources to programs and projects that will accomplish such enhancement.

In the grant support of programs focusing on the several aspects of health care, as well as those concerned with other endeavors, the Foundation's goal is to achieve a significant and measurable impact. It is not a simple task for the Foundation to select from among many worthy causes those that hold the highest promise of improving the human condition. Thus, certain criteria must be applied in the grant distribution process, principle among which is the applicant's promise of a broad public benefit that extends beyond a localized or restricted purpose. Inflation, fluctuating market conditions, and any other adverse effect on the Foundation's investment earnings, makes those selections even more critical.

Applications for grants are accepted at any time and are reviewed throughout the year. Major funding decisions, however, are ordinarily made in March of each year. Applications for grants must be in the Foundation office no later than January 31 to be considered for a grant in March.

The Foundation does not make grants to the following:

- Organizations whose programs or projects are clearly external to the foundation's grant goals and objectives.

- Organizations that do not have tax-exempt status.

- Organizations fully supported by tax funds.

- Legislative or lobbying efforts.

- Veteran's organizations.

- Religious organizations or religious efforts.

- Historical Societies or historical preservation.

- Payment of physicians' or surgeons' fee for service, except those incurred for radical or extraordinary treatment.

The Board of Directors rejects dependency on the Foundation for future survival. Only those repeat applications that address basic human need will be considered, and all such applications will be treated as new applications. The Foundation does not make grants for operating expenses, such as salaries, stipends, or expendable supplies.

The Foundation does not use a standard application form. The applicant for a grant should describe, in no more than five pages:

- The organization and its record of accomplishment.
- The objectives of the program and whom it would benefit.
- The amount of the grant sought in relation to total need.
- Exactly how Foundation funds would be used.
- The geographic area to be served.
- The proposed method by which the program's success will be evaluated.

A copy of the following documents must be included:

1. The most recently completed financial statement
2. The IRS letter describing the tax-exempt status
3. The most recently completed Form 990

The name, address, and telephone number of a contact person should be included.

Applicants are advised that no advantage is to be gained by addressing grant requests to, or soliciting the support of, individual Board members, and such activity is discouraged by Board sentiment. A complete copy of application materials is placed before Board members, and each application is considered on its own merit.

Formal application inquiries must be made at the Foundation office, and completed application materials must be returned to the same office.

The Christy-Houston Foundation
1296 Dow Street
Murfreesboro, Tennessee 37130

GRANT APPLICATION PROCEDURES

Applicants are required to have "Public Charity" status; that is, IRS designation as a 501 (c) (3) organization with co-responding classification as a 170 (b) (1) (A) (vi) or 509 (a)(1), (2) or (3) activity.

START-UP ORGANIZATIONS

1. Require that the applicant submit a copy of Part IV of applicant's Form 1023, "Application for Recognition of Exemption," in which the applicant has projected contributions reasonably anticipated over the first five years of applicant's existence. The total amount anticipated for four of those years can be applied to the 25% "Safe Harbor" rule in determining the dollar amount of an allowable grant.
2. Require a copy of the IRS "Advance Ruling" establishing the applicant as a Public Charity together with applicant's certification that the advance ruling has not been amended or revoked.
3. Require a copy of applicant's Form 990, in its entirety, for each of the applicant's projected four tax years together with applicant's certification that applicant's Public Charity status continues as such.

BUILDING CONSTRUCTION OR RENOVATION

In any application for grant funds with which to finance the cost of construction of a building, renovation of an existing building, or an addition to an existing building, and the project is to require the services of an engineer or an architect, and a construction contractor, the grant application must include:

1. The location, or proposed location, of the building and its projected cost.
2. The cost of the land on which a proposed building is to be located and evidence that the land is available for immediate purchase.
3. The engineer or architect's preliminary plans.
4. A projected three year income and expense operating budget identifying the applicant's projected source of income.

The actual distribution of grant funds shall be contingent upon:

1. Commitment to immediate purchase of the land.
2. The engineer or architect's final plan and specifications.

3. The construction contract which shall project the beginning date of construction and the estimated number of days to completion of the project.
4. The engineer or architect's approved schedule of payments to the contractor during the construction period, the distribution of grant funds to be in accordance with such schedule.

"UNUSUAL" GRANTS

Where a grant application is of such merit that the Board of Directors desires to award a grant that would otherwise place in jeopardy the applicant's Public Charity status, an "Unusual" Grant may be considered in which case certain questions designed by the Internal Revenue Service must be considered.

Assuming the applicant to be a 501 (c) (3) organization and designated as a 170 (b) (1) (A) (vi) or 509 (a)(1), (2), or (3) activity; i.e., "Public Charity:"

1. Did the Foundation create the applicant organization, or previously contribute a substantial part of the organization's support, or does the Foundation stand in a position of authority with respect to the organization?
2. Is the grant to be a bequest or an inter vivos transfer?
3. Is the grant to be distributed in the form of investment type assets, or assets that can be used by the organization in furtherance of its exempt purposes?
4. Has the organization carried on an active program of public solicitation and has it attracted significant public support in the past?
5. Can the organization reasonably be expected to attract a significant amount of public support after the grant is made?
6. Is the organization controlled by a representative governing body, rather than by the Foundation?
7. Has the Foundation placed material restrictions on the grant?
8. Has the organization excluded unusual grants in prior years in order to meet its one-third support test?
9. Are there any inter-locking Directorates between the organization and the Foundation?

GRANT TERMS AND CONDITIONS

1. Applicant must be classified by the Internal Revenue Service as a 501 (c) (3) organization with further designation as a 170 (b) (1) (A) (vi) or 509 (a)(1), (2), or (3) activity.
2. No part of the grant funds are to be used in any way that might influence or otherwise further a political process, nor are they to be used in any manner detrimental to the Foundation's reputation or contrary to its goals and objectives.
3. The grant recipient must certify that the grant award will not cause recipient to lose Public Charity status and must further certify that recipients most recent IRS Determination Letter is valid and has not been amended or revoked.
(Ref. IRC 170 (b) (1) (A) (VI) or 509 (a)(1), (2), or (3).
4. Unless otherwise provided, the grant funds are to be totally expended within one year of the date of receipt of the funds, and if not so expended, recipient must submit a written request for an extension which must include a summary progress report.
5. Any portion of the grant funds (1) not expended within the approved time period, or (2) any portion not expended for the stated purpose of the grant, or (3) if the purpose for the grant, as described in paragraph 1., hereof, is accomplished at a cost less than the grant award, all such excess funds, together with any interest earned on interim investment of the funds, will be returned to the Foundation upon request.

AFG 2

THE CHRISTY-HOUSTON FOUNDATION
GRANT TERMS AND CONDITIONS

Date _____

Dear _____:

The Christy-Houston Foundation ("the Foundation") is pleased to inform you that its Board of Directors has elected to award a grant of \$ _____ to your organization in response to your grant application dated _____.

Under provisions of Internal Revenue Service regulations, applicable to the Foundation, all grant funds must be expended for charitable, scientific, or educational purposes. No part of the funds can be used in any way that might influence or otherwise further a political process, nor will they be used in any manner detrimental to the Foundation's reputation or contrary to its goals and objectives. This grant is awarded only for the purpose(s) stated in your grant application as follows:

You should be prepared to certify that this grant will not cause your organization to lose "Public Charity" status. Ref. IRC 170 (b) (1) (A) (vi) or 509 (a) (1), (2), or (3). You must certify, and do certify, that your organization's most recent IRS Determination Letter, copy submitted with your grant application, has not been amended or revoked.

The Foundation will make the grant funds available upon your agreement with these Grant Terms and Conditions, or over a _____ year period beginning _____. The funds will be distributed in _____ increments, each distribution to be made upon your written request for payment. If the grant funds are distributed in a single payment, it is expected that the funds will be totally expended within one year of the date of receipt of the funds, and if not so expended, you will submit a written request for an extension which will include a summary progress report.

You will be required to furnish a written report to the Foundation upon completion of the grant period. If the grant period exceeds one year, interim reports are to be furnished at the close of each twelve month period in addition to the final report. The Foundation may conduct an evaluation of your progress made under this grant, which may include a visit from Foundation personnel to observe your program and to review financial records pertaining to the activities financed by this grant.

Any portion of the grant funds (1) not expended within the approved time period,

or (2) any portion not expended for the stated purpose of the grant, or (3) if the purpose of the grant, as stated herein, is accomplished at a cost less than the grant award, all such excess funds will be returned to the Foundation together with any income earned during interim investment of the funds.

No officer, director, or employee of the Christy-Houston Foundation is, or is expected to become, a founder, officer, director, trustee, or other management person, affiliated with your organization.

If this letter correctly sets forth your understanding of the terms and conditions of this grant, please sign and return the included copy of this letter to the Foundation.

On behalf of the Foundation's Board of Directors, may I extend every good wish for the continued success of your project.

Sincerely,

CHRISTY-HOUSTON FOUNDATION

James R. Arnhart
President

The undersigned understands and agrees to the Terms and Conditions of the grant.

Applicant Organization

Date _____

For the Applicant

7

APPLICANT PROFILE

APPLICANT _____ ADDRESS _____

GRANT REQUEST \$ _____ REPRESENTATIVE _____

GRANT TO BE USED FOR _____

By Internal Revenue Service Tax Determination Letter, dated _____
applicant is recognized as an organization described in IRS Code Sections:

_____ 501 (c) (3) _____ 509 (a) (2)

_____ 170 (b)(1)(A)(vi) _____ 509 (a) (3)

_____ 509 (a) (1) _____ Applicant is not recognized as a public
charity

If the applicant is recognized as a public charity, who benefits from the applicant's charitable services?

If the applicant has sources of income, how is such income distributed by the applicant?

Has the applicant sought other funding sources for this project?

What percent of the applicant's funding requirements is the Foundation requested to provide?

If this is an application to fund a building project, or to be used as "start-up" funds, have the required supporting documents been submitted?

Is the applicant subject to any or the Foundation's grant exclusions?

Applying the "Safe Harbor" rule, what is the maximum amount of the grant that could be awarded?

Is this a repeat application? _____, and if so, does it address basic human need?

701-111

ARTICLE I

NAME

The organization shall be known and designated as THE CHRISTY-HOUSTON FOUNDATION, INCORPORATED, hereinafter referred to as the "Corporation."

ARTICLE II

LOCATION

The principal office of the Corporation shall be located in Murfreesboro, Tennessee.

ARTICLE III

PURPOSE

The purposes for which the Corporation exists shall be as follows:

1. To devote the energies and resources of the Corporation to the enhancement of the quality of life, with emphasis on health care, through the rendering of financial support to educational, scientific, medical, and charitable activities, including grant support of physical facilities, either existing or to be constructed, that will accomplish such enhancement. Eligible grant applications pursuant to these purposes, submitted by resident charitable organizations in Rutherford County, Tennessee, will be accorded priority status.
2. To establish policies, plans, and procedures for the implementation of such purposes.
3. To conduct such other related activities as the Board of Directors may decide from time to time. Such activities, however, shall be limited to those that conform to the meaning and scope of Section 501 (c) (3) of the Internal Revenue Code, as amended.