Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation: RICHARD H SIMONS CHARITABLE TRUST

A Employer identification number: 59-7034786

Number and street (or P O box number if mail is not delivered to street address): C/O ROBERT M KRAMER ESQ, 4000 HOLLYWOOD BLVD

Room/suite: 485 SOUTH

B Telephone number (see instructions): 954-966-2112

City or town, state, and ZIP code: HOLLYWOOD FL 33021

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 4,099,435

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	19,755	19,755		
	4 Dividends and interest from securities	30,010	30,010		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	230,566			
	b Gross sales price for all assets on line 6a 2,545,783				
	7 Capital gain net income (from Part IV, line 2)		230,566		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	90,000	90,000		
	12 **Total.** Add lines 1 through 11	370,331	370,331		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	204,000	40,800		163,200
	14 Other employee salaries and wages	3,600	720		2,880
	15 Pension plans, employee benefits	36,476	7,295		29,181
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	10,000	2,000		8,000
	c Other professional fees (attach schedule)	23,346	4,669		18,677
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,855	1,971		7,884
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	4,396	879		3,517
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	291,673	58,334		233,339
	25 Contributions, gifts, grants paid	125,455			125,455
	26 **Total expenses and disbursements.** Add lines 24 and 25	417,128	58,334		358,794
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-46,797			
	b **Net investment income** (if negative, enter -0-)		311,997		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	174,697	1,591,419	1,591,419
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	319,439		
	c	Investments—corporate bonds (attach schedule)	379,397	210,263	412,420
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,154,609	2,177,638	2,094,017
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ PREPAID TAXES)	1,137	1,579	1,579
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,029,279	3,980,899	4,099,435
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,029,279	3,980,899	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,029,279	3,980,899	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,029,279	3,980,899	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,029,279
2	Enter amount from Part I, line 27a	2	-46,797
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,982,482
5	Decreases not included in line 2 (itemize) ▶ TAX PAYMENTS	5	1,583
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,980,899

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PER SCHEDULE ATTACHED	P	VARIOUS	VARIOUS
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,545,783		2,315,217	230,566
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				230,566
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	230,566
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	332,941	4,042,239	0 082365
2009	318,339	4,247,999	0 074939
2008	367,126	4,970,815	0 073856
2007	383,777	4,501,309	0 085259
2006	427,791	4,405,125	0 097112

2 Total of line 1, column (d)	2	0.413531
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 082706
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	3,997,138
5 Multiply line 4 by line 3	5	330,587
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,120
7 Add lines 5 and 6	7	333,707
8 Enter qualifying distributions from Part XII, line 4	8	358,794

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	3,120
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	3,120
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	3,120
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 1,579		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	1,579
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	1,541
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶	Refunded ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT M KRAMER, ESQ Telephone no ▶ 954-966-2112

Located at ▶ 4000 HOLLYWOOD BOULEVARD SUITE 485 SOUTH HOLLYWOOD FL ZIP+4 ▶ 33021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*	☐ Yes ☐ No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT M KRAMER 4000 HOLLYWOOD BLVD #485 SOUTH HOLL	TRUSTEE 15HOURS/WK	204,000	34,001	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000				▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2 NOT APPLICABLE	
All other program-related investments See instructions 3 NOT APPLICABLE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,008,506
b	Average of monthly cash balances	1b	1,049,502
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,058,008
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,058,008
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	60,870
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,997,138
6	**Minimum investment return.** Enter 5% of line 5	6	199,857

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	199,857
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,120		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,120
3	Distributable amount before adjustments Subtract line 2c from line 1			3	196,737
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	196,737
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	196,737

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	358,794
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	358,794
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,120
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	355,674

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					196,737
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only					
b Total for prior years 20____, 20____, 20____					
3 Excess distributions carryover, if any, to 2011					
a From 2006	214,413				
b From 2007	170,448				
c From 2008	121,407				
d From 2009	107,848				
e From 2010	133,987				
f Total of lines 3a through e		748,103			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 358,794					
a Applied to 2010, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions)					
c Treated as distributions out of corpus (Election required—see instructions)					
d Applied to 2011 distributable amount					196,737
e Remaining amount distributed out of corpus		162,057			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		910,160			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount—see instructions					
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		214,413			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a		695,747			
10 Analysis of line 9					
a Excess from 2007	170,448				
b Excess from 2008	121,407				
c Excess from 2009	107,848				
d Excess from 2010	133,987				
e Excess from 2011	162,057				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PER SCHEDULE ATTACHED				125,455
Total ▶ 3a				125,455
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				19,755	
4 Dividends and interest from securities				30,010	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a ANNUITY INCOME				90,000	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				139,765	

13 **Total.** Add line 12, columns (b), (d), and (e) . 13 139,765

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 05.09.2012 Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT WEINER	ROBERT WEINER	5/2/2012		P00547298
Firm's name ▶ ROBERT L WEINER CPA			Firm's EIN ▶ 59-2731051	
Firm's address ▶ P O BOX 39756, FORT LAUDERDALE, FL 33339-9756			Phone no (954) 561-2040	

Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
		90,000	90,000	
1	ANNUITY INCOME	90,000	90,000	
2				
3				
4				
5				
6				
7				
8				
9				
10				

Line 16b (990-PF) - Accounting Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		10,000	2,000		8,000
1	ROBERT L. WEINER CPA	10,000	2,000		8,000
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		23,346	4,669		18,677
1	NFP	23,346	4,669		18,677
2					
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		9,855	1,971		7,884
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	Payroll taxes	9,855	1,971		7,884
5					
6					
7					
8					
9					
10					

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			319,439		350,934	
1						
2						
3	NFP SECURITIES		319,439		350,934	
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				379,397	210,263	462,140	412,420
1	NFP SECURITIES STATEMENT			379,397	210,263	462,140	412,420
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13							
14							
15							
16							
17							

Part II, Line 13 (990-PF) - Investments - Other

	Item or Category	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
			3,154,609	2,177,638	2,094,017
1	MUTUAL FUNDS	AT COST	1,394,890	417,919	412,531
2	ANNUITIES	AT COST	1,759,719	1,759,719	1,681,486
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 15 (990-PF) - Other Assets

		1,137	1,579	1,579
	Description	Beginning Balance	Ending Balance	Fair Market Value
1	PREPAID TAXES	1,137	1,579	1,579
2				
3				
4				
5				
6				
7				
8				
9				
10				

RICHARD H SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF GRANTS PAID
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER, 2011

GRANTEE:	**AMOUNT**
ANTI-DEFAMATION LEAGUE	$5,030
BAPTIST/SOUTH MIAMI HOSPITAL	$5,000
BOYS AND GIRLS CLUBS OF MIAMI	$5,610
CHILDREN'S BEREAVEMENT	$915
CORAL GABLES MUSEUM	$500
DAVID POSNACK JEWISH COMMUNITY CENTER	$5,500
DIABETES RESEARCH INSTITUTE	$1,200
FAIRCHILD TROPICAL GARDEN	$48,000
HADASSAH	$400
JEWISH FEDERATION	$3,000
JOE DIMAGGIO CHILDREN'S HOSPITAL	$300
ORGANIZATION FOR TROPICAL STUDIES	$35,000
TEMPLE JUDEA	$3,500
THE CROHNS AND COLITIS FOUNDATION	$250
TYLER GOLDBERG FOUNDATION	$250
UNITED WAY	$11,000
	$125,455

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

SHARES	DESCRIPTION	PROCEEDS	BASIS	GAIN/ LOSS
16.000	MEAD JOHNSON NUTRITION CO	$982	$681	$301
2.000	TRANSOCEAN LIMITED COM	$159	$168	-$9
973.795	RS EMERGING MARKETS FUND	$26,536	$22,222	$4,314
35.000	ALTERRA CAPITAL HOLDINGS INC	$756	$821	-$65
13.000	ACCENTURE PLC CLS A	$626	$392	$234
19 000	TSAKOS ENERGY NAVIGATION	$190	$652	-$462
16.000	WILLIS GROUP HOLDINGS PLC	$552	$481	$71
7.000	CORE LABORATORIES NV	$618	$600	$18
27.000	ORTHOFIX INTL N V	$799	$1,313	-$514
8.000	STEINER LEISURE COM	$376	$232	$144
50.000	ULTRAPETROL BAHAMAS LTD	$318	$822	-$504
10.000	ABBOTT LABORATORIES	$484	$448	$36
8 000	AKZO NOBEL NV	$474	$430	$44
107.000	ALCATEL-LUCENT SPON	$315	$401	-$86
12.000	ANDERSONS INC	$451	$429	$22
19.000	ANHEUSER-BUSCH INBEV	$1,069	$714	$355
17.000	ANNTAYLOR STORES	$424	$313	$111
18 000	ANTOFAGASTA ADR	$876	$459	$417
114 000	ANWORTH MTG ASSET CORP	$788	$711	$77
11 000	APACHE CORP	$1,344	$862	$482
15 000	ARCELORMITTAL NY REGISTRY SHARES	$547	$461	$86
33.000	ARM HOLDINGS PLC	$724	$601	$123
29.000	ASAH KASEI CORP	$376	$350	$26
17 000	ASSOCIATED ESTATES REALTY CORP	$259	$195	$64
3.000	BG GROUP ADR	$308	$290	$18
17.000	BANCO SANTANDER BRASIL	$228	$231	-$3
51.000	BANK OF CHINA LTD BEJING	$681	$627	$54
23 000	BARRETT BILL CORP	$907	$779	$128
2 000	BEIJING ENTERPRISE HLDGS SPONS	$125	$145	-$20
27 000	BELDEN INC	$982	$1,448	-$466
30.000	BIOMED RLTY TR INC	$554	$198	$356
18 000	BLACKBAUD INC	$472	$484	-$12
61 000	BORGWARNER INC	$4,458	$2,712	$1,746
5.000	BUNZL ADR	$289	$314	-$25
9.000	CEC ENTERTAINMENT INC	$347	$228	$119
7.000	C H ROBINSON WORLDWIDE INC	$560	$469	$91
2.000	CNOOC LIMITED ADS	$479	$156	$323
12 000	CRA INTL INC	$278	$487	-$209
58 000	CAL DIVE INTL INC	$339	$417	-$78
26 000	CALAVO GROWERS INC	$587	$442	$145
18.000	CANADIAN NATURAL RESOURCES	$795	$697	$98
14.000	CATERPILLAR INC	$1,314	$1,011	$303
23 000	CATO CORP	$618	$338	$280
22.000	CENTENE CORP	$573	$525	$48
18.000	CENOVUS ENERGY INC	$602	$528	$74
16.000	CENTRAL GARDEN AND PET CO	$163	$180	-$17

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

8 000	CHEVRON CORP	$731	$604	$127
22.000	CHILDREN'S PLACE	$1,043	$400	$643
74 000	CHINA INFORMATION TECHNOLOGY INC	$381	$519	-$138
48.000	COGDELL SPENCER INC	$289	$323	-$34
21.000	COLE KENNETH PRODTNS INC	$268	$362	-$94
16.000	COMMSCOPE INC	$502	$718	-$216
2.000	CONOCOPHILLIPS	$135	$115	$20
26.000	CORUS ENTERTAINMENT	$581	$481	$100
82.000	COTT CORPORATION	$707	$671	$36
9 000	CREDIT SUISSE	$373	$444	-$71
12 000	DEERE AND COMPANY	$1,005	$949	$56
39.000	DISNEY WALT CO	$1,551	$991	$560
11.000	ECOLAB INC	$547	$537	$10
42 000	ELDORADO GOLD CORP	$742	$559	$183
20 000	ELIZABETH ARDEN INC	$466	$466	$0
6 000	PERRY ELLIS INTL INC	$157	$139	$18
25.000	EMCOR GROUP INC	$712	$732	-$20
13 000	EMERSON ELECTRIC CO	$739	$474	$265
13.000	ENPRO INDS INC	$543	$493	$50
36.000	EXPEDITORS INTL WASH INC	$1,962	$1,575	$387
77.000	EXPERIAN PLC	$945	$619	$326
7.000	EXXON MOBIL CORP	$521	$502	$19
48 000	F N B CORPORATION	$486	$474	$12
40.000	FINISH LINE INC	$659	$466	$193
27.000	FIRST CASH FINL SVCS	$869	$433	$436
33.000	FIRST MIDWEST BANCORP INC	$402	$296	$106
4 000	FRANKLIN RES INC	$441	$287	$154
16.000	FRESENIUS MEDICAL CARE AG AND CO	$893	$891	$2
16.000	FUJITSU ADR	$548	$496	$52
37.000	GAFISA SA	$537	$487	$50
11.000	GENERAL CABLE CORP	$373	$641	-$268
65.000	GENERAL ELECTRIC CO	$1,204	$2,295	-$1,091
21.000	GEORESOURCES INC	$537	$462	$75
22 000	GIVAUDAN AG	$448	$272	$176
1.000	GOLDMAN SACHS GROUP INC	$174	$122	$52
70.000	GRAN TIERRA ENERGY	$564	$539	$25
22 000	GROUP 1 AUTOMOTIVE INC	$925	$606	$319
10 000	HCC INS HLDGS INC	$294	$250	$44
10.000	HANOVER INS GROUP INC	$462	$448	$14
65.000	HECLA MINING CO	$677	$414	$263
848.864	ING GLOBAL REAL ESTATE FUND	$13,947	$10,458	$3,489
12.000	INTEL CORP	$252	$198	$54
1 000	INTUITIVE SURGICAL INC	$272	$254	$18
13.000	INTUIT INC	$637	$479	$158
8 000	INVESTMENT TECH GROUP	$130	$151	-$21
40 000	JAKKS PACIFIC INC	$752	$591	$161
3 000	JOHNSON AND JOHNSON	$190	$167	$23
49 000	JOHNSON CTLS INC	$1,982	$1,734	$248
5.000	JUPITER TELECOMMUNICATIONS	$336	$267	$69

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

20 000	KAO CORP	$531	$434	$97
22 000	KAPSTONE PAPER AND PACKAGING	$339	$201	$138
7 000	KDDI CORPORATION UNSP	$404	$365	$39
36 000	KONINKLIJKE AHOLD NV	$463	$385	$78
8.000	LVMH MOET HENNESSY LOUIS VUITTON	$251	$179	$72
10 000	LIFEPOINT HOSPS INC	$371	$343	$28
37 000	LINDE AG	$532	$458	$74
27.000	MADDEN STEVEN LTD	$1,131	$405	$726
16.000	MAKITA CORP	$663	$489	$174
10.000	MANHATTAN ASSOCIATES	$304	$150	$154
17.000	MARRIOTT INTL INC	$705	$625	$80
18.000	MARTEN TRANS LTD	$382	$357	$25
8.000	MCDONALDS CORP	$596	$477	$119
17 000	MEDICIS PHARMACEUTICAL CORP	$449	$386	$63
10.000	MEDITRONIC INC	$365	$344	$21
37.000	MICHELIN	$528	$538	-$10
41 000	MICROSOFT CORP	$1,142	$859	$283
42 000	MTN GROUP LTD	$816	$647	$169
24 000	NATIONAL FINL PARTNERS CORP	$317	$232	$85
37 000	NET 1 UEPS TECHNOLOGIES INC	$445	$421	$24
80 000	NEW GOLD INC	$749	$700	$49
6 000	NEXTERA ENERGY INC	$315	$347	-$32
11.000	NOVO-NORDISK AS	$1,267	$678	$589
12.000	OM GROUP INC	$454	$346	$108
12 000	OIL STS INTL INC	$746	$439	$307
12 000	P S BUSINESS PARKS INC	$670	$736	-$66
16.000	PACWEST BANCORP DEL COM	$336	$843	-$507
20 000	PARAMETRIC TECHNOLOGY CORP	$459	$237	$222
90 000	PFIZER INC	$1,618	$2,314	-$696
5 000	POTASH CORP OF SASKATCHEWAN COM	$801	$475	$326
6.000	PRECISION CASTPARTS CORP	$854	$870	-$16
19.000	T ROWE PRICE GROUP INC	$1,230	$1,118	$112
4.000	PRICELINE COM INC	$1,702	$694	$1,008
21 000	QUALCOMM INC	$1,095	$898	$197
13.000	REED ELSEVIER ADR	$431	$386	$45
42 000	RETAIL OPPORTUNITY INVTS CORP	$418	$417	$1
18.000	RIO TINTO ADR	$1,252	$1,260	-$8
50 000	ROCHE HOLDINGS AG SPN	$1,775	$1,952	-$177
14 000	ROCK-TENN CO	$776	$601	$175
20 000	ROFIN SINAR TECH INC	$732	$745	-$13
10 000	ROYAL DUTCH SHELL	$663	$657	$6
16.000	SAGE GROUP	$273	$240	$33
16 000	SAP AG SPON	$798	$926	-$128
52 000	SCHAWK INC	$1,061	$791	$270
44 000	SCHNEIDER ELECTRIC UNSPON	$663	$475	$188
5.000	SCHNITZER STL INDS	$339	$312	$27
119 000	SCHWAB CHARLES CORP	$2,087	$2,210	-$123
38.000	SGA SA UNSP	$620	$485	$135

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

39 000 SILVER WHEATON CORPORATION	$1,392	$667	$725
18 000 SOCIEDAD QUIMICA Y MINERAL DE CHILE	$1,031	$598	$433
10 000 SODEXO SPONS	$681	$495	$186
22 000 SOUTH JERSEY INDS INC	$1,157	$809	$348
41.000 STERIS CORP	$1,499	$1,130	$369
32 000 SUN HUNG KAI PROPERTIES	$555	$483	$72
87 000 SUNTRUST BANKS INC	$2,604	$2,254	$350
54 000 SWEDBANK AB	$758	$578	$180
36 000 SYNIVERSE HLDGS INC	$1,111	$588	$523
10 000 TECK RESOURCES LIMITED CLASS B	$630	$492	$138
15 000 TESO	$304	$334	-$30
3 000 TEVA PHARMACEUTICAL INDUSTRIES	$156	$139	$17
29 000 THOMPSON CREEK METALS CO INC	$427	$342	$85
104 000 TURKIYE GARANTI BANKASI	$551	$535	$16
27.000 US BANCORP DEL COM	$718	$911	-$193
25 000 UNILEVER NV EURO	$770	$724	$46
20 000 UNION PACIFIC CORP	$1,860	$1,384	$476
20 000 VALE SA SPONS	$718	$610	$108
19 000 VALEO ADR	$528	$527	$1
12 000 VERIZON COMMUNICATIONS	$450	$431	$19
4.000 VODAFONE GROUP	$109	$109	$0
46 000 WASTE CONNECTIONS INC	$1,275	$982	$293
80 000 WELLS FARGO AND CO	$2,574	$1,637	$937
20 000 WESTAR ENERGY INC	$506	$547	-$41
26.000 WOLVERINE WORLD WIDE INC	$807	$771	$36
21.000 YUM BRANDS INC	$1,017	$720	$297
10.000 ZOLL MEDICAL CORP	$405	$148	$257
21.000 SEAGATE TECHNOLOGY PLC COM	$307	$311	-$4
1 000 AMAZON.COM INC	$185	$47	$138
7.000 AMAZON.COM INC	$1,297	$328	$969
1.000 GOOGLE INC	$615	$686	-$71
3 000 ILLUMINA INC	$197	$135	$62
5.000 MICROSOFT CORP	$142	$127	$15
6 000 MICROSOFT CORP	$170	$152	$18
14 000 PACCAR INC	$791	$817	-$26
3 000 QUALCOMM INC	$155	$131	$24
1.000 FREEPORT MCMORAN COPPER AND GOLD	$119	$78	$41
1 000 GOLDMAN SACHS GROUP	$171	$122	$49
6 000 INTEL CORP	$127	$99	$28
6.000 MEDTRONIC INC	$223	$206	$17
2 000 QUALCOMM INC	$103	$111	-$8
7.000 VERIZON COMMUNICATIONS	$250	$255	-$5
7.000 KOHLS CORP	$361	$378	-$17
4.000 PACCAR INC	$225	$195	$30
2.000 F5 NETWORKS INC	$220	$272	-$52
2.000 FREEPORT MCMORAN COPPER AND GOLD	$221	$156	$65
3.000 F5 NETWORKS INC	$328	$408	-$80
4 000 ORACLE CORPORATION	$130	$80	$50

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

53.000	STMICROELECTRONICS NY SHS	$648	$575	$73
56 000	STORA ENSO DYJ	$663	$572	$91
4 000	ATT INC	$110	$122	-$12
3 000	DISNEY WALT CO	$116	$75	$41
2.000	EQUITY RESIDENTIAL	$106	$103	$3
5.000	GENERAL ELECTRIC CO	$101	$163	-$62
6 000	MICROSOFT CORP	$166	$152	$14
2.000	SCHLUMBERGER LIMITED	$174	$118	$56
4 000	VERIZON COMMUNICATIONS	$143	$131	$12
2 000	VISA INC	$139	$150	-$11
1 000	AMAZON COM	$175	$47	$128
3 000	HALLIBURTON CO	$140	$108	$32
4.000	FREEPORT MCMORAN COPPER AND GOLD	$224	$235	-$11
67.000	AEGON NV AMER REGD CERT	$504	$423	$81
3.000	FREEPORT MCMORAN COPPER AND GOLD	$161	$177	-$16
6 000	KOHLS CORP	$317	$324	-$7
15 000	PACCAR INC	$772	$619	$153
51 000	SOCIETE GENERALE SPON	$655	$573	$82
25.000	MICROSOFT CORP	$677	$633	$44
2 000	NEXTERA ENERGY INC	$109	$116	-$7
7.000	ORACLE CORPORATION	$231	$150	$81
8.000	WELLS FARGO AND CO	$266	$239	$27
1.000	APACHE CORP	$121	$86	$35
4.000	ORACLE CORPORATION	$132	$125	$7
2 000	WAL-MART STORES INC	$109	$99	$10
4 000	IDEXX LABS CORP	$300	$175	$125
25.000	GRUPO TELEVISA SA	$605	$576	$29
4.000	IDEXX LABS CORP	$315	$175	$140
25.000	PFIZER INC	$481	$309	$172
11.000	SWISS REINSURANCE COMPANY	$671	$600	$71
2.000	CNOOC LIMITED	$467	$156	$311
7 000	MEDTRONIC INC	$279	$241	$38
10 000	CREE INC	$469	$675	-$206
11 000	URBAN OUTFITTERS INC	$345	$394	-$49
9 000	ILLUMINA INC	$598	$404	$194
5 000	JOY GLOBAL INC	$480	$438	$42
20 000	PFIZER INC	$401	$285	$116
48.000	SHAW GROUP	$1,500	$1,793	-$293
3.000	AFLAC INC	$156	$133	$23
45.000	DEUTSCHE TELEKOM AG	$689	$567	$122
27 000	TNT NV	$702	$977	-$275
15 000	TARGET CORP	$751	$882	-$131
31 000	DCT INDL TR INC	$172	$169	$3
34 000	FELCOR LODGING TRUST INC	$207	$205	$2
13.000	IRON MOUNTAIN INC	$427	$321	$106
8.000	MONSANTO CO	$545	$559	-$14

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E.I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

13 000	NII HLDGS INC	$509	$568	-$59
1 000	PUBLIC STORAGE COM	$111	$68	$43
20 000	MERCK AND CO	$734	$380	$354
5 000	MICROSOFT CORP	$130	$143	-$13
5.000	PFIZER INC	$103	$100	$3
22.000	AGILENT TECH INC	$1,140	$754	$386
20.000	ALTERA CORP	$930	$533	$397
25.000	PFIZER INC	$515	$498	$17
30.000	TRANSOCEAN LIMITED	$2,070	$2,543	-$473
35 000	NIELSON HOLDINGS NV	$1,086	$901	$185
40.000	ABB LTD	$1,031	$706	$325
112 000	ACTIVISION BLIZZARD INC	$1,272	$1,043	$229
21.000	AGILENT TECH INC	$1,031	$544	$487
16 000	AIR PRODUCTS AND CHEMICALS	$1,475	$1,385	$90
18.000	AMERICA MOVIL SAB	$931	$921	$10
30 000	BANCO SANTANDER BRASIL	$323	$384	-$61
59.000	BANK NEW YORK MELLON CORP	$1,611	$1,776	-$165
36 000	BAXTER INTL INC	$2,122	$1,672	$450
37.000	BECTON DICKINSON CO	$3,206	$2,509	$697
8.000	BLOCK H&R INC	$128	$177	-$49
84.000	BLOUNT INTL INC	$1,386	$1,069	$317
120.000	CVS CAREMARK CORP	$4,590	$3,802	$788
53.000	COCA COLA	$3,544	$2,827	$717
14 000	DEVON ENERGY CORP	$1,165	$1,361	-$196
25 000	DISNEY WALT CO	$1,029	$1,025	$4
20.000	EXPEDIA INC	$547	$425	$122
9.000	GOOGLE INC	$4,690	$4,471	$219
43 000	GRUPO TELEVISA SA	$986	$816	$170
25.000	HARRIS CORP	$1,207	$772	$435
75 000	HEWLETT-PACKARD CO	$2,700	$2,879	-$179
22 000	IDEXX LABS CORP	$1,703	$873	$830
20.000	INTEL CORP	$453	$465	-$12
4.000	INTL BUSINESS MACH	$671	$479	$192
23.000	IRON MOUNTAIN INC	$756	$597	$159
74.000	JOHNSON AND JOHNSON	$4,893	$4,634	$259
120.000	KRAFT FOODS INC	$4,130	$3,795	$335
12 000	LOCKHEED MARTIN CORP	$937	$847	$90
4.000	MARKEL CORP	$1,649	$1,910	-$261
128 000	MERCK AND CO	$4,696	$3,095	$1,601
117.000	MICROSOFT CORP	$2,830	$3,365	-$535
26.000	MONSANTO CO	$1,788	$1,812	-$24
16.000	OCCIDENTAL PETROLEUM CORP	$1,664	$1,149	$515
24.000	PFIZER INC	$495	$419	$76
30.000	SAP AG	$1,802	$1,132	$670
65.000	SCHWAB CHARLES CORP NEW	$1,136	$976	$160
18 000	SHERWIN WILLIAMS CO	$1,507	$1,206	$301
45.000	SIGMA ALDRICH CORP	$3,085	$2,082	$1,003
36 000	TENARIS S A	$1,687	$1,390	$297
118.000	TEXAS INSTRUMENTS INC	$4,041	$3,166	$875

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

22.000	TRANSATLANTIC HLDGS	$993	$1,450	-$457
70 000	WELLS FARGO AND CO	$1,930	$1,363	$567
66.000	YUM BRANDS INC	$3,682	$2,021	$1,661
20 000	GENERAL MOTORS CO	$637	$761	-$124
3.000	NETFLIX COMM INC	$702	$544	$158
6 000	FLUOR CORP	$406	$427	-$21
1495.073	CREDIT SUISSE	$14,248	$13,841	$407
663 957	FMI FOCUS FUND	$21,671	$20,337	$1,334
2191.351	MANAGERS REAL ESTATE SECURITIES FUND	$20,182	$18,253	$1,929
10 000	DEUTSCHE BANK AG	$580	$545	$35
5 000	COVDIEN PLC	$276	$179	$97
17 000	CHECK POINT SOFTWARE	$905	$807	$98
3 000	AVAGO TECHNOLOGIES LTD	$102	$95	$7
274.410	INVESCO DEVELOPING MARKETS	$9,050	$9,179	-$129
35 000	AT&T INC	$1,088	$867	$221
12.000	ABBOTT LABORATORIES	$636	$543	$93
10.000	AKZO NOBEL NV	$693	$636	$57
88.000	ALCATEL LUCENT	$488	$509	-$21
6 000	ALLERGAN INC	$490	$418	$72
62.000	ALLIANZ SE	$828	$731	$97
7 000	AMAZON.COM INC	$1,344	$328	$1,016
6 000	AMERICAN EXPRESS CO	$303	$276	$27
3 000	AMGEN INC	$180	$163	$17
12.000	ANADARKO PETE	$925	$903	$22
29.000	ANGLO AMERICAN ADR	$677	$750	-$73
8.000	APACHE CORP	$987	$866	$121
8.000	APPLE INC	$2,693	$1,329	$1,364
7.000	ARCHER DANIELS MIDLAND	$217	$257	-$40
12 000	ASTAZENECA ADR	$614	$561	$53
11 000	BASF SF	$950	$300	$650
17 000	BNP PARIBAS	$628	$566	$62
3 000	BAIDU INC	$391	$310	$81
76 000	BANCO SANTANDER	$850	$794	$56
31.000	BANK OF AMERICA CORP	$354	$358	-$4
23 000	BANK NEW YORK MELLON CORP	$631	$645	-$14
44 000	BARCLAYS ADR	$781	$764	$17
13.000	BHP BILLITON LIMITED	$1,195	$759	$436
11.000	BOEING INC	$839	$747	$92
15.000	BROADCOM CORP	$501	$649	-$148
4.000	CVS CAREMARK CORP	$153	$122	$31
10.000	CANON INC	$456	$358	$98
7 000	CARNIVAL CORP	$268	$285	-$17
68 000	CARREFOUR UNSP ADR	$582	$573	$9
3.000	CATEPILLAR INC	$310	$190	$120
55.000	CEMEX SAB	$454	$577	-$123
8.000	CERNER CORP	$963	$764	$199
18 000	CHEVRON CORP	$1,859	$1,561	$298
21.000	CHINA MOBILE LTD	$944	$1,154	-$210
7.000	CHINA PETROLEUM AND CHEM	$672	$697	-$25
2 000	CHUBB CORP	$128	$102	$26

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E.I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

7 000	CITIGROUP INC	$283	$315	-$32
17.000	COCA COLA CORP	$1,138	$1,075	$63
10.000	COGNIZANT TECH SOLUTIONS CORP	$734	$758	-$24
9 000	CONOCO PHILLIPS	$648	$519	$129
37.000	CORNING INC	$723	$758	-$35
3 000	COSTCO WHOLESALE CORP	$240	$149	$91
12.000	COVANCE INC	$687	$647	$40
8.000	CTRIP COM	$343	$354	-$11
17.000	DBS GROUP	$800	$776	$24
42 000	DAI NIPPON PRINTING CO	$471	$570	-$99
28 000	DANAHER CORP	$1,504	$1,318	$186
72 000	DELTA AIRLINES INC	$762	$935	-$173
14 000	DENDREON CORP	$572	$494	$78
98.000	DEUTSCSHE BOERSE AG	$756	$486	$270
1 000	DEVON ENERGY CORP	$83	$92	-$9
11.000	DIAGEO ADR	$899	$679	$220
43.000	DISNEY WALT CO	$1,770	$1,134	$636
10.000	DOW CHEMICAL CO	$364	$302	$62
24.000	E ON AG	$682	$724	-$42
1.000	EATON CORP	$50	$54	-$4
12.000	ECOLAB INC	$634	$568	$66
26 000	ENCANA CORP	$885	$767	$118
7.000	EQUITY RESIDENTIAL	$417	$360	$57
15 000	EXPRESS SCRIPTS INC	$901	$843	$58
9.000	EXXON MOBIL	$741	$645	$96
28.000	FORD MOTOR CO	$405	$496	-$91
5.000	FRANKLIN RES INC	$617	$359	$258
11.000	FREEPORT MCMORAN COPPER AND GOLD	$547	$648	-$101
20.000	GDF SUEZ	$723	$725	-$2
15 000	GENERAL DYNAMICS CORP	$1,057	$923	$134
82 000	GENERAL ELECTRIC	$1,579	$1,729	-$150
19.000	GLAXOSMITHKLINE	$820	$740	$80
5.000	GOLDMAN SACHS GROUP	$685	$612	$73
3.000	GOOGLE INC	$1,562	$1,859	-$297
19 000	HCC INS HLDGS NC	$612	$478	$134
35.000	HSBC HOLDINGS PLC	$1,786	$1,816	-$30
30 000	HALLIBURTON CO HOLDING CO	$1,476	$1,171	$305
6 000	HOME DEPOT INC	$219	$208	$11
14 000	HONDA MOTOR CORP	$527	$547	-$20
548.894	IVY INTERNATIONAL CORE EQUITY CL	$9,287	$9,183	$104
21.000	JPMORGAN CHASE	$890	$889	$1
17.000	JEFFERIES GROUP INC	$371	$451	-$80
15.000	JOHNSON AND JOHNSON	$994	$838	$156
7.000	JOHNSON CTLS INC	$264	$198	$66
14.000	JUNIPER NETWORKS INC	$513	$536	-$23
19.000	PHILIPS ELECTRONICS	$512	$580	-$68
13 000	KUBOTA CORP	$568	$616	-$48
5.000	KYOCERA CORP	$512	$513	-$1
38.000	LG DISPLAY CO	$632	$664	-$32

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H SIMONS CHARITABLE TRUST
E I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

5.000	L-3 COMMUNICATIONS HLDGS INC	$410	$379	$31
15 000	LAS VEGAS SANDS CORP	$612	$712	-$100
20 000	LINCARE HLDGS	$598	$445	$153
8.000	LOCKHEED MARTIN CORP	$625	$681	-$56
10.000	MAGNA INTERNATIONAL INC	$485	$583	-$98
9 000	MANPOWERGROUP INC	$544	$579	-$35
3 000	MARATHON OIL CORP	$158	$144	$14
14 000	MASSEY ENERGY CO	$884	$778	$106
10 000	MCDONALDS CORP	$828	$601	$227
8 000	MERCK AND CO	$294	$273	$21
16.000	MICROSOFT CORP	$387	$408	-$21
36 000	MICRON TECHNOLOGY	$345	$310	$35
13 000	MITSUBISHI CORP	$644	$731	-$87
108.000	MITSUBISHI UFJ FINANCIAL GROUP	$490	$573	-$83
15 000	MOLSON COORS BREWING CO	$689	$702	-$13
21.000	MOODYS CORP	$798	$564	$234
12 000	NATIONAL GRID	$608	$543	$65
27 000	NESTLE SA	$1,687	$1,159	$528
12 000	NETAPP INC	$624	$694	-$70
4 000	NEWMONT MINING CORP	$224	$227	-$3
11 000	NEXTERA ENERGY INC	$629	$633	-$4
8.000	NIKE INC CLASS B	$668	$476	$192
30.000	NIPPON TEL AND TEL	$699	$682	$17
68 000	NOKIA OYJ	$556	$729	-$173
61 000	NOMURA HOLDINGS NC	$293	$386	-$93
13 000	NORDSTROM INC	$589	$291	$298
5 000	NORFOLK SOUTHERN CORP	$359	$198	$161
13 000	NOVARTIS AG	$803	$687	$116
13 000	OCCIDENTAL PETROLEUM	$1,350	$1,231	$119
84.000	ORACLE CORP	$2,785	$2,688	$97
6 000	PG AND E CORFP	$256	$254	$2
7 000	POSCO	$701	$779	-$78
11 000	PATTERSON COS INC	$386	$314	$72
2.000	PEABODY ENERGY CORP	$117	$126	-$9
1 000	PEPSICO INC	$70	$53	$17
20 000	PETROLEO BRASILEIRO SA PETROBRAS	$678	$742	-$64
46 000	PFIZER INC	$946	$998	-$52
5 000	PHILIP MORRIS INTL INC	$346	$232	$114
36 000	PROCTOR AND GAMBLE CO	$2,377	$2,159	$218
19 000	PRUDENTIAL FINL INC	$1,175	$1,176	-$1
34.000	QUALCOMM INC	$1,943	$1,428	$515
24.000	RED HAT INC	$1,037	$1,093	-$56
1496.106	RIDGEWORTH SMALL CAP VALUE EQUITY	$21,170	$20,362	$808
2 000	ROSS STORES INC	$162	$94	$68
11 000	ROYAL DUTCH SHELL	$765	$733	$32
7.000	ST JUDE MEDICAL INC	$346	$358	-$12
7 000	SALESFORCE COM INC	$1,062	$711	$351
23.000	SANDFI SPONSORED	$877	$755	$122
11 000	SCHLUMBERGER LIMITED	$927	$650	$277
8.000	SEMPRA ENERGY	$436	$375	$61

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

6 000	SIEMENS AG	$763	$670	$93
3 000	SIMON PPTY GRP INC	$343	$171	$172
14.000	SMITH AND NEPHEW	$767	$623	$144
16 000	SONY CORP	$443	$544	-$101
7 000	STANLEY BLACK AND DECKER INC	$504	$528	-$24
15.000	STARBUCKS CORP	$542	$488	$54
7.000	STARWOOD HOTELS AND RESORTS	$404	$434	-$30
14.000	SUNLIFE FINANCIAL INC	$427	$462	-$35
29.000	SYMANTIC CORP	$552	$508	$44
5 000	SYNGENTA	$334	$322	$12
24.000	TELEFONICA SA	$566	$536	$30
10.000	TEREX CORP	$279	$374	-$95
22.000	TEVA PHARMACEUTICAL INDUSTRIES	$1,086	$1,021	$65
9.000	TEXAS INSTRUMENTS	$309	$215	$94
8.000	TIM HORTONS INC	$374	$328	$46
2 000	TIME WARNER CABLE INC	$152	$142	$10
11 000	TORONTO-DOMINION BANK	$949	$749	$200
22 000	TOTAL ADR	$1,223	$1,463	-$240
3050 648	PMC DIVERSIFIED EQUITY FUND	$58,786	$58,786	$0
16 000	US BANCORP DEL COMM	$398	$498	-$100
25.000	UNILEVER PLC	$790	$759	$31
15 000	UNITED CONTINENTAL HOLDINGS INC	$379	$390	-$11
20.000	UNITED PARCEL SERVICE	$1,455	$1,351	$104
4 000	UNITED HEALTH GROUP	$192	$134	$58
1.000	VENTAS INC	$55	$53	$2
9.000	VERIFONE SYSTEMS INC	$417	$511	-$94
16 000	VERIZON COMMUNICATIONS	$582	$605	-$23
10.000	VERTEX PHARMACEUTICALS	$560	$555	$5
43.000	VINCI ADR	$654	$576	$78
12.000	VISA INC	$946	$879	$67
7.000	VMWARE INC	$675	$665	$10
47.000	VODAFONE GROUP	$1,299	$1,297	$2
5.000	WAL-MART STORES INC	$271	$249	$22
2 000	WASTE MANAGEMENT INC	$76	$60	$16
22.000	WELLS FARGO AND CO	$608	$657	-$49
397.829	WELLS FARGO ADV EMERGING MRKTS	$8,967	$9,162	-$195
5.000	WESTPAC BANKING CORP	$578	$611	-$33
13.000	WHOLE FOODS MKT INC	$779	$638	$141
38.000	WISCONSIN ENERGY CP	$1,183	$1,047	$136
1.000	GOOGLE INC	$517	$556	-$39
1726.060	VANGUARD 500 INDEX FD	$214,498	$93,732	120766
6559.942	VANGUARD TOTAL STOCK MARKET	$223,218	$196,112	27106
3226.518	VANGUARD VALUE INDEX	$72,483	$64,465	8018
3732.301	CALAMOS GROWTH AND INCOME CL A	$125,165	$109,968	$15,197
17331.701	CALAMOS MARKET NEUTRAL INCOME CL A	$213,336	$234,160	-$20,824
7425 397	FIRST EAGLE OVERSEAS	$174,629	$121,128	$53,501
8190.721	GOLDMAN SACHS STRUCTURED SMALL CAP	$233,746	$358,899	-$125,153
14508.089	MUTUAL SERIES GLOBAL DISCOVERY	$458,004	$365,106	$92,898
4026.975	VANGUARD GNMA INVESTOR	$44,320	$44,103	$217
4073 957	VANGUARD SHORT TERM TREASURY	$43,941	$43,884	$57

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
E I. # 59-7034786
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2011
(UNAUDITED)

3807.376	VANGUARD INTERMED TERM INVESTOR	$44,377	$44,059	$318
4888 678	DOUBLELINE TOTAL RT BOND FD	$53,971	$54,362	-$391
3330.000	TEMPLETON GLOBAL BOND	$46,603	$46,532	$71
40000 000	METLIFE INC NOTE CALL	$40,000	$38,285	$1,715
	TRADES PENDING SETTLEMENT AND OTHER ADJUSTMENT INCLUDING DISALLOWED SHORT TERM LOSSES			$383
		$2,545,783	$2,315,600	$230,566

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Info the end of this statement for more information

CASH AND CASH EQUIVALENTS - 63.81% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income

Bank Deposits

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC) Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income
BANK DEPOSIT SWEEP PROGRAM Estimated Annual Yield 0 01%	QPRMQ CASH	1,454,320 1	$1 00	$1,454,320 10	$1,404,575 85	
Total Cash and Cash Equivalents				**$1,454,320.10**		

HOLDINGS > FIXED INCOME - 18.09% of Total Account Value

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/11	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)

Corporate Bonds

Statement for the Period December 1, 2011 to December 31, 2011

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742

NFP Securities, Inc.

FINRA / SIPC / Registered Investment Adviser

RICHARD H SIMONS
CHARITABLE TRUST
E.I. # 59-7034786
FORM 990PF ATTACHMENT

HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/11	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
MORGAN STANLEY NT 6 600% 04/01/2012 MAKE WHOLE MOODY'S A2 /S&P A- CPN PMT SEMI-ANNUAL ON OCT 01, APR 01 CONTINUOUSLY CALLABLE SUBJECT TO MAKE WHOLE CALL Accrued Interest $660 00	617446HC6 CASH	40,000	$100 832	$40,332 80	$40,259.20	$2,640 00	$36,436 80		
Adjusted Cost Basis							$36,436 80	D	$3,896 00
PRUDENTIAL FINL INC FR 5.15000% 01/15/2013 MOODY'S Baa2 /S&P A CPN PMT SEMI-ANNUAL ON MAR 30, SEP 30 Next Interest Payable 03/30/12 Accrued Interest $520 72	744320BE4 CASH	40,000	$103 53	$41,412.00	$41,530 40	$2,060 00	$33,938 80		
Adjusted Cost Basis							$33,938 80	D	$7,473.20
JPMORGAN CHASE & CO SUB NT 5 12500% 09/15/2014 MOODY'S A1 /S&P A- CPN PMT SEMI-ANNUAL ON MAR 15, SEP 15 Next Interest Payable 03/15/12 Accrued Interest $603 61	46625HBV1 CASH	40,000	$105 43	$42,172 00	$41,825.20	$2,050 00	$35,836 00		
Adjusted Cost Basis							$35,836.00	D	$6,336 00
WACHOVIA BK NATL ASSN MTN SUB 4 80000% 11/01/2014 FR MOODY'S A1 /S&P A+ CPN PMT SEMI-ANNUAL ON MAY 01, NOV 01 Next Interest Payable 05/01/12 Accrued Interest $320.00	92976GAB7 CASH	40,000	$105 081	$42,032 40	$42,391.20	$1,920 00	$33,661 20		
Adjusted Cost Basis							$33,661 20	D	$8,371 20

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HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/11	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
GOLDMAN SACHS GROUP NOTES 5.50% 11/15/2014 MOODY'S A1 /S&P A- CPN PMT SEMI-ANNUAL ON MAY 15, NOV 15 Next Interest Payable: 05/15/12 Accrued Interest $281 11	38141GCM4 CASH	40,000	$103 027	$41,210 80	$41,270 80	$2,200.00	$34,036 80		
Adjusted Cost Basis							$34,036 80	D	$7,174 00
CITIGROUP INC GLBL SB NT 4.875% 05/07/2015 ISIN #US172967BW09 SEDOL #2655118 MOODY'S Baa1 /S&P BBB+ CPN PMT SEMI-ANNUAL ON NOV 07, MAY 07 Next Interest Payable. 05/07/12 Accrued Interest $219.37	172967BW0 CASH	30,000	$98 7732	$29,631 96	$29,692 98	$1,462 50	$24,272.10		
Adjusted Cost Basis							$24,272 10	D	$5,359 86
CREDIT SUISSE USA INC 5.12500% 08/15/2015 GLBL SR NT MOODY'S Aa1 /S&P A+ CPN PMT SEMI-ANNUAL ON FEB 15, AUG 15 Next Interest Payable. 02/15/12 Accrued Interest $774.44	22541LBK8 CASH	40,000	$105 336	$42,134 40	$42,014 00	$2,050 00	$34,936 80		
Adjusted Cost Basis							$34,936 80	D	$7,197 60
SUNTRUST BK ATLANTA MDTMSBNTBE 5.00000% 09/01/2015 CALL MOODY'S Baa1 /S&P BBB CPN PMT SEMI-ANNUAL ON MAR 01, SEP 01 Next Interest Payable 03/01/12 Accrued Interest $666 67	86787GAG7 CASH	40,000	$104 099	$41,639.60	$42,583 20	$2,000 00	$32,563 20		
Adjusted Cost Basis							$32,563 20	D	$9,076 40

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HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/11	Estimated Current Market Value	Estimated Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
KRAFT FOODS INC NT 6 50000% 08/11/2017 ISIN #US50075NAS36 MOODY'S Baa2 /S&P BBB- CPN PMT SEMI-ANNUAL ON FEB 11, AUG 11 Next Interest Payable 02/11/12 Accrued Interest $1011 11	50075NAS3 CASH	40,000	$118 957	$47,582 80	$46,911 60	$2,600 00	$35,688 80		
Adjusted Cost Basis							$35,688 80	D	$11,894 00
GENL ELEC CAP CORP MTN 5.625% 09/15/2017 ISIN #US36962G3H54 MOODY'S Aa2 /S&P AA+ CPN PMT SEMI-ANNUAL ON MAR 15, SEP 15 Next Interest Payable 03/15/12 Accrued Interest $662 50	36962G3H5 CASH	40,000	$110 678	$44,271 20	$43,917 60	$2,250 00	$34,406 80		
Adjusted Cost Basis							$34,406 80	D	$9,864.40
Total Corporate Bonds		390,000		$412,419.96		$21,232.50	$335,777.30		$76,642.66
Total Fixed Income		390,000		$412,419.96		$21,232.50	$335,777.30		$76,642.66

HOLDINGS > MUTUAL FUNDS - 18.10% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
Fixed Income									
ARTIO GLOBAL HIGH INCOME FUND CL I Estimated Yield 8 10% Dividend Option Cash Capital Gain Option Cash	JHYIX CASH	4,808 824	$9.30	$44,722 06	$45,154 86	$3,624 27	$50,701 80	I	($5,979 74)

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HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
DOUBLELINE CORE FIXED INCOME FD CL N Estimated Yield 4 83% Dividend Option Cash Capital Gain Option Cash	DLFNX CASH	4,755.253	$10 95	$52,070 02	$51,832 26	$2,517 61	$50,691.00	I	$1,379 02
DOUBLELINE TOTAL RT BOND FD CL N Estimated Yield 8.00% Dividend Option Cash Capital Gain Option Cash	DLTNX CASH	2,709 254	$11.02	$29,855 98	$29,991 44	$2,388 69	$30,126.90	I	($270 92)
HARBOR REAL RETURN FD INSTL CLASS SHS Estimated Yield 2 66% Dividend Option Cash Capital Gain Option Cash	HARRX CASH	3,110 958	$10.71	$33,318.36	$35,464 92	$888 89	$33,801 80	I	($483 44)
TEMPLETON GLOBAL BOND ADVISOR CLASS Estimated Yield 5.12% Dividend Option Cash Capital Gain Option Cash	TGBAX CASH	1,508 082	$12 37	$18,654.97	$19,137 56	$955.97	$21,073 14	I	($2,418.17)
VANGUARD GNMA INVESTOR CL Estimated Yield 3.20% Dividend Option Cash Capital Gain Option Cash	VFIIX CASH	5,232 02	$11 07	$57,918 46	$58,389.34	$1,856 48	$57,300 11	I	$618 35
VANGUARD INTERMED TERM INVESTOR CL Estimated Yield 2 07% Dividend Option Cash Capital Gain Option Cash	VFITX CASH	4,955.027	$11 70	$57,973.82	$59,856.73	$1,202.90	$57,339.16	I	$634 66
VANGUARD INTERMED TERM TAX EXEMPT INV Estimated Yield 3.51% Dividend Option Cash Capital Gain Option Cash	VWITX CASH	2,189 373	$14.03	$30,716 90	$30,213.35	$1,080.96	$29,682 80	I	$1,034 10

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HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/11	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
VANGUARD SHORT TERM TREASURY INVESTOR Estimated Yield 0 69% Dividend Option Cash Capital Gain Option Cash	VFISX CASH	5,339.228	$10 79	$57,610 27	$57,823 84	$399 79	$57,513 01	I	$97.26
VANGUARD SHORT TERM TAX EXEMPT INVESTOR Estimated Yield 1 22% Dividend Option Cash Capital Gain Option Cash	VWSTX CASH	1,864 991	$15 92	$29,690 66	$29,653.36	$362 55	$29,688 80	I	$1 86
Total Fixed Income				$412,531.50		$15,278.11	$417,918.52		($5,387.02)
Total Mutual Funds				$412,531.50		$15,278.11	$417,918.52		($5,387.02)
Total Securities				$824,951.46		$36,510.61	$753,695.82		$71,255.64
TOTAL PORTFOLIO VALUE				$2,279,271.56		$36,510.61	$753,695.82		$71,255.64

Activity

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for your tax reporting purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information

TRADING

Settlement Date	Account Type	Transaction	Description	Quantity	Amount	Total Cost Basis	Realized Gain (Loss)

Redemptions

SIMONS TRUST			
990PF COMPUTATIONS			
2011			
FMV		CASH	INVEST
JAN		$137,029	$3,887,856
FEB		$115,941	$3,889,491
MAR		$111,044	$3,893,011
APR		$121,386	$3,892,875
MAY		$478,921	$3,552,318
JUN		$1,711,175	$2,467,692
JUL		$1,722,194	$2,426,186
AUG		$1,705,614	$2,426,186
SEPT		$1,685,311	$2,426,186
OCT		$1,652,197	$2,426,186
NOV		$1,561,792	$2,426,186
DEC		$1,591,419	$2,387,901
		$12,594,023	$36,102,074
AVERAGE		$1,049,502	$3,008,506

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