



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending ,

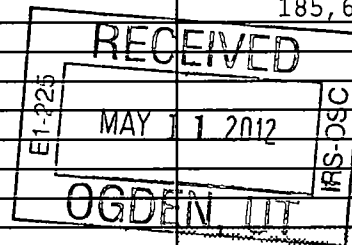
LUCY GOODING CHARITABLE FOUNDATION TRUST
POST OFFICE BOX 37349
JACKSONVILLE, FL 32236-7349A Employer identification number
59-2891582B Telephone number (see the instructions)
(904) 786-4796C If exemption application is pending, check here ☐G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year (from Part II, column (c), line 16)
J Accounting method: ☐ Cash ☐ Accrual
☒ Other (specify) MODIFIED CASH
(Part I, column (d) must be on cash basis)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

► \$ 86,413,164.

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments			N/A	
4 Dividends and interest from securities	2,198,678.	2,198,678.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	2,156,423.			
b Gross sales price for all assets on line 6a	33,157,038.			
7 Capital gain net income (from Part IV, line 2)		2,156,423.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	4,355,101.	4,355,101.		
13 Compensation of officers, directors, trustees, etc	247,500.	61,875.		185,625.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)				
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 1	145,413.	55,057.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 2	655,367.	644,552.		10,815.
24 Total operating and administrative expenses. Add lines 13 through 23	1,048,280.	761,484.		196,440.
25 Contributions, gifts, grants paid Part XV	3,987,923.			3,987,923.
26 Total expenses and disbursements. Add lines 24 and 25	5,036,203.	761,484.		4,184,363.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-681,102.			
b Net investment income (if negative, enter -0)		3,593,617.		
c Adjusted net income (if negative, enter -0)				

SCANNED MAY 14 2012
ADMINISTRATIVE EXPENSES

40-14 2

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	4,945,205.	4,376,741.	4,376,741.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch.)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)	12,483,159.	10,121,974.	10,533,089.
	b Investments — corporate stock (attach schedule)	53,995,253.	57,424,822.	63,143,279.
	c Investments — corporate bonds (attach schedule)	9,176,514.	7,995,492.	8,360,055.
	11 Investments — land, buildings, and equipment, basis			
L I A B I L I T I E S	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment, basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	80,600,131.	79,919,029.	86,413,164.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T A S S E T S F U N D A S E T S O R S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	117,858,491.	117,858,491.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	-37,258,360.	-37,939,462.	
	30 Total net assets or fund balances (see instructions)	80,600,131.	79,919,029.	
	31 Total liabilities and net assets/fund balances (see instructions)	80,600,131.	79,919,029.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	80,600,131.
2 Enter amount from Part I, line 27a	2	-681,102.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	79,919,029.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	79,919,029.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 3				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] —	2	2,156,423.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	— [If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8] —	3	-320,733.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	4,162,584.	84,862,493.	0.049051
2009	4,324,201.	78,620,603.	0.055001
2008	5,004,283.	89,139,916.	0.056140
2007	4,297,204.	100,824,254.	0.042621
2006	3,714,707.	94,450,916.	0.039329

2 Total of line 1, column (d)	2	0.242142
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048428
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	89,134,110.
5 Multiply line 4 by line 3	5	4,316,587.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	35,936.
7 Add lines 5 and 6	7	4,352,523.
8 Enter qualifying distributions from Part XII, line 4	8	4,184,363.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	71,872.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	71,872.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	71,872.
6 Credits/Payments.			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	87,000.	
b Exempt foreign organizations — tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	87,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,128.	
11 Enter the amount of line 10 to be. Credited to 2012 estimated tax Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) FL		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>BONNIE H. SMITH</u> Telephone no <u>(904) 786-4796</u> Located at <u>PO BOX 37349, JACKSONVILLE, FLORIDA</u> ZIP + 4 <u>32236-7349</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year .	N/A	☐	N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20__ , 20__ , 20__ , 20__		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

BAA

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ Nob If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BONNIE H. SMITH POST OFFICE BOX 37349 JACKSONVILLE, FL 32236-7349	Trustee 32.00	157,500.	0.	0.
ROBERT A. MILLS POST OFFICE BOX 37349 JACKSONVILLE, FL 32236-7349	Trustee 4.00	30,000.	0.	0.
WILFORD C. LYON, JR. POST OFFICE BOX 37349 JACKSONVILLE, FL 32236-7349	Trustee 4.00	30,000.	0.	0.
DAVID H. PEEK POST OFFICE BOX 37349 JACKSONVILLE, FL 32236-7349	Trustee 4.00	30,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 GRANT MAKING IS THE ONLY ACTIVITY.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.	1a	85,131,221.
a Average monthly fair market value of securities	1b	5,360,261.
b Average of monthly cash balances	1c	
c Fair market value of all other assets (see instructions)	1d	90,491,482.
d Total (add lines 1a, b, and c)		
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	90,491,482.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,357,372.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	89,134,110.
6 Minimum investment return. Enter 5% of line 5	6	4,456,706.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	4,456,706.
2a Tax on investment income for 2011 from Part VI, line 5	2a	71,872.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	71,872.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	4,384,834.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	4,384,834.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,384,834.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.	1a	4,184,363.
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1b	
b Program-related investments — total from Part IX-B	2	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes		
3 Amounts set aside for specific charitable projects that satisfy the:	3a	
a Suitability test (prior IRS approval required)	3b	
b Cash distribution test (attach the required schedule)	4	4,184,363.
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4		
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,184,363.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				4,384,834.
2 Undistributed income, if any, as of the end of 2011.				
a Enter amount for 2010 only			0.	
b Total for prior years. 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008	630,676.			
d From 2009	429,475.			
e From 2010				
f Total of lines 3a through e	1,060,151.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 4,184,363.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				4,184,363.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	200,471.			200,471.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	859,680.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	859,680.			
10 Analysis of line 9.				
a Excess from 2007				
b Excess from 2008	430,205.			
c Excess from 2009	429,475.			
d Excess from 2010				
e Excess from 2011				

N/A

- Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

See Statement for Line 2a

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 5				
Total			▶ 3a	3,987,923.
b Approved for future payment See Statement 6				
Total			▶ 3b	3,777,700.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	2,198,678.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,156,423.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				4,355,101.	
13 Total. Add line 12, columns (b), (d), and (e)				13	4,355,101.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
COVERED TRANSACTIONS								
POSTNL N.V. SHS REDEEMED	ADR	CUSIP Number	73753A103					
18 0000	Sale	03/18/11	06/08/11	177 15	466 72	0 00	(289 57)	
ROYAL DUTCH SHELL PLC SPONS ADR A REDEEMED		CUSIP Number	780259206					
11 0000	Sale	03/25/11	04/07/11	812 67	818 16	0 00	(5 49)	
TNT EXPRESS NV SHS REDEEMED	ADR	CUSIP Number	87262N109					
1186 0000	Sale	06/06/11	06/13/11	13,613 83	16,366 80	0.00	(2,752 97)	
Covered Short Term Capital Gains and Losses Subtotal				14,603.65	17,651.68	0.00	(3,048.03)	

NONCOVERED TRANSACTIONS

CHINA UNICOM HONG KONG LTD REDEEMED		CUSIP Number	16945R104					
471 0000	Sale	02/16/10	01/21/11	7,356 79	5,416 97	0 00	1,939 82	

Statement A



Taxpayer No.
59-2891582

Page
2

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
3043 0000	DANSKE BK A/S UNSPNS ADR PRIN PAYMENT	05/04/11	236363107	05/04/11	3,031 59	3,031 59	0.00	0 00	
157 0000	DESARROLLADORA SAB ADR REDEEMED	10/15/10	25030W100	06/30/11	3,981 39	5,234 13	0.00	(1,252.74)	
186 0000		10/15/10		07/01/11	4,684.13	6,200.94	0.00	(1,516.81)	
122.0000		10/15/10		07/05/11	3,002 95	4,067 29	0.00	(1,064 34)	
	Security Subtotal				11,668.47	15,502.36	0.00	(3,833.89)	
	MURATA MANUFACTURING CO LTD SHS REDEEMED								
2564 0000		04/06/10	626425102	02/14/11	46,922 86	37,283 96	0 00	9,638 90	
	NATL BK GREECE SA SPNADR REDEEMED								
2327.0000		10/04/10	633643408	06/21/11	3,280 32	4,774 53	0 00	(1,494 21)	
	PORSCHE AUTOMOBIL-UNSP ADR PRIN PAYMENT								
7648.0000		05/12/11	73328P106	05/12/11	6,353 31	6,353 31	0 00	0 00	
	Noncovered Short Term Capital Gains and Losses Subtotal				78,613.34	72,362.72	0.00	6,250.62	
	NET SHORT TERM CAPITAL GAINS AND LOSSES				93,216.99	90,014.40	0.00	3,202.59	

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

BNP PARIBAS SPONSORD ADR REDEEMED	290 0000	Sale	05/19/10	08/12/11	7,582 74	8,302 15	0 00	(719.41)	
-----------------------------------	----------	------	----------	----------	----------	----------	------	----------	--

Statement A

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BHP BILLITON LTD REDEEMED	ADR	09/25/08	088606108	11/15/11	4,421.26	3,591.82	0.00	829.44	
58 0000	Sale								
BANCO SANTANDER BRZL SA AMERICN DEPOSITORY SHARS REPRESENTING 1 ORDINARY		10/16/09	05967A107	06/30/11	13,674.54	16,280.44	0.00	(2,605.90)	
1181.0000	Sale								
CANON INC ADR REP5SH REDEEMED		01/15/08	138006309	04/25/11	20,462.87	20,480.69	0.00	(17.82)	
480 0000	Sale	01/29/08		04/25/11	5,243.62	5,500.73	0.00	(257.11)	
123 0000	Sale	01/29/08		04/26/11	3,824.80	3,980.21	0.00	(155.41)	
89 0000	Sale	01/30/08		04/26/11	4,641.35	4,547.12	0.00	94.23	
108.0000	Sale								
	Security Subtotal				34,172.64	34,508.75	0.00	(336.11)	
CHINA UNICOM HONG KONG LTD REDEEMED		02/16/10	16945R104	03/10/11	24,160.13	16,331.42	0.00	7,828.71	
1420 0000	Sale								
CHINA CONSTRUCT UNSPN AD REDEEMED		05/14/09	168919108	07/05/11	19,762.02	13,683.85	0.00	6,078.17	
1180 0000	Sale								
CHEUNG KONG HLDG ADR REDEEMED		11/17/05	166744201	11/16/11	4,335.20	3,756.13	0.00	579.07	
374 0000	Sale	01/15/08		11/16/11	672.31	994.70	0.00	(322.39)	
58 0000	Sale								
	Security Subtotal				5,007.51	4,750.83	0.00	256.68	
ENI S P A SPONSORED ADR REDEEMED		06/17/99	26874R108	09/28/11	999.47	691.78	0.00	307.69	
28 0000	Sale	01/30/02		09/28/11	1,784.78	1,295.99	0.00	488.79	
50 0000	Sale	11/06/02		09/28/11	1,713.39	1,290.24	0.00	423.15	
48 0000	Sale								
	Security Subtotal				4,497.64	3,278.01	0.00	1,219.63	

Statement A

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ESPRIT HOLDING LTD SP ADR								
	REDEEMED	CUSIP Number	29666V204					
1893 0000	Sale	08/31/09	11/11/11	4,728.05	22,619.89	0.00	(17,891.84)	
926 0000	Sale	10/12/09	11/11/11	2,312.83	12,363.28	0.00	(10,050.45)	
356 0000	Sale	11/10/09	11/11/11	889.17	4,801.37	0.00	(3,912.20)	
	Security Subtotal			7,930.05	39,784.54	0.00	(31,854.49)	
GAO GAZPROM SPON ADR								
	REDEEMED	CUSIP Number	368287207					
153 0000	Sale	12/13/07	04/25/11	5,144.23	8,750.22	0.00	(3,605.99)	
234 0000	Sale	12/13/07	04/26/11	3,907.93	6,691.35	0.00	(2,783.42)	
220 0000	Sale	01/08/08	04/26/11	3,674.13	6,501.87	0.00	(2,827.74)	
85 0000	Sale	01/15/08	04/26/11	1,419.56	2,500.39	0.00	(1,080.83)	
	Security Subtotal			14,145.85	24,443.83	0.00	(10,297.98)	
HOLCIM LTD SHS								
	REDEEMED	CUSIP Number	434741203					
698 0000	Sale	04/08/09	09/29/11	7,455.41	5,043.90	0.00	2,411.51	
HUTCHISON WHAMPOA ADR								
	REDEEMED	CUSIP Number	448415208					
6 0000	Sale	11/21/06	04/18/11	348.77	276.31	0.00	72.46	
140 0000	Sale	09/05/07	04/18/11	8,138.17	6,986.48	0.00	1,151.69	
50 0000	Sale	10/15/07	04/18/11	2,906.49	2,740.53	0.00	165.96	
480 0000	Sale	01/15/08	04/18/11	27,902.31	25,400.45	0.00	2,501.86	
	Security Subtotal			39,295.74	35,403.77	0.00	3,891.97	
IMPERIAL TOB GRP SPS ADR								
	REDEEMED	CUSIP Number	453142101					
141 0000	Sale	03/24/09	11/16/11	10,430.39	6,728.55	0.00	3,701.84	
NIPPON TEL&TEL SPDN ADR								
	REDEEMED	CUSIP Number	654624105					
78 0000	Sale	02/17/00	09/28/11	1,857.17	5,576.22	0.00	(3,719.05)	
82 0000	Sale	09/12/00	09/28/11	1,952.41	4,756.00	0.00	(2,803.59)	
	Security Subtotal			3,809.58	10,332.22	0.00	(6,522.64)	

State ment A

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NATL BK GREECE SA SPNADR								
	REDEEMED	CUSIP Number	633643408					
4825 0000	Sale	12/23/09	06/21/11	6,801 67	24,478.66	0.00	(17,676.99)	
2583 0000	Sale	01/14/10	06/21/11	3,641 18	12,335 62	0.00	(8,694 44)	
	Security Subtotal			10,442.85	36,814.28	0.00	(26,371.43)	
NATIONAL GRID PLC SP ADR								
	REDEEMED	CUSIP Number	636274300					
135 0000	Sale	05/29/08	08/12/11	6,541 32	9,390 14	0.00	(2,848.82)	
NOKIA CORP SPON ADR								
	REDEEMED	CUSIP Number	654902204					
78 0000	Sale	08/27/03	08/17/11	461 76	1,262 68	0.00	(800 92)	
285 0000	Sale	10/21/03	08/17/11	1,687 22	4,895 33	0.00	(3,208 11)	
325 0000	Sale	04/21/04	08/17/11	1,924 03	4,857 35	0.00	(2,933 32)	
129 0000	Sale	06/22/04	08/17/11	763 70	1,804 19	0.00	(1,040 49)	
386 0000	Sale	06/22/04	08/18/11	2,168 70	5,398 60	0.00	(3,229 90)	
170 0000	Sale	02/15/05	08/18/11	955 12	2,718 50	0.00	(1,763 38)	
425 0000	Sale	10/28/05	08/18/11	2,387 81	6,895 33	0.00	(4,507 52)	
880 0000	Sale	01/15/08	08/18/11	4,944 19	30,747 20	0.00	(25,803 01)	
312 0000	Sale	06/23/08	08/18/11	1,752 95	7,595 42	0.00	(5,842 47)	
	Security Subtotal			17,045.48	66,174.60	0.00	(49,129.12)	
POSTNL N.V. SHS ADR								
	REDEEMED	CUSIP Number	73753A103					
625 0000	Sale	01/15/08	06/08/11	6,150 69	23,329 27	0.00	(17,178.58)	
543 0000	Sale	11/18/08	06/08/11	5,343 72	10,400 77	0.00	(5,057 05)	
	Security Subtotal			11,494.41	33,730.04	0.00	(22,235.63)	
ROYAL DUTCH SHELL PLC SPONS ADR B								
	REDEEMED	CUSIP Number	780259107					
137 0000	Sale	04/20/06	08/12/11	9,008 41	9,902 17	0.00	(893 76)	
51 0000	Sale	01/15/08	08/12/11	3,353 50	4,035 12	0.00	(681 62)	
	Security Subtotal			12,361.91	13,937.29	0.00	(1,575.38)	
SWISSCOM AG ADR								
	REDEEMED	CUSIP Number	871013108					
106 0000	Sale	06/18/08	11/16/11	3,997 30	3,602 95	0.00	394 35	

Statement A

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SEVEN AND I HOLDINGS CO LTD SHS REDEEMED		CUSIP Number	81783HT05					
82 0000	Sale	04/08/09	11/16/11	4,414.80	3,691.70	0.00	723.10	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR REDEEMED		CUSIP Number	874060205					
1055 0000	Sale	05/28/08	05/23/11	24,399.46	30,417.12	0.00	(6,017.66)	
VODAFONE GROU PLC SP ADR REDEEMED		CUSIP Number	92857W209					
969 0000	Sale	01/15/08	10/11/11	25,996.99	34,651.44	0.00	(8,654.45)	
Noncovered Long Term Capital Gains and Losses Subtotal				313,040.02	454,873.64	0.00	(141,833.62)	
NET LONG TERM CAPITAL GAINS AND LOSSES				313,040.02	454,873.64	0.00	(141,833.62)	

Statement A

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
COVERED TRANSACTIONS								
AGNICO EAGLE MINES LTD REDEEMED		CUSIP Number	008474108					
997 0000 Sale		04/19/11	07/29/11	55,710.49	66,189.43	0.00	(10,478.94)	
96 0000 Sale		04/28/11	07/29/11	5,364.31	6,506.11	0.00	(1,141.80)	
319.0000 Sale		04/28/11	08/01/11	18,008.22	21,619.27	0.00	(3,611.05)	
Security Subtotal				79,083.02	94,314.81	0.00	(15,231.79)	
AON CORP REDEEMED		CUSIP Number	037389103					
406 0000 Sale		01/19/11	08/03/11	19,081.84	18,124.04	0.00	957.80	
CTRIPO COM INTL LTD ADR REDEEMED		CUSIP Number	22943F100					
685 0000 Sale		01/04/11	04/12/11	30,656.31	30,288.17	0.00	368.14	
CALPINE CORP REDEEMED		CUSIP Number	131347304					
47 0000 Sale		01/04/11	08/22/11	612.30	641.55	0.00	(29.25)	
1259 0000 Sale		01/04/11	08/23/11	16,194.71	17,185.35	0.00	(990.64)	
Security Subtotal				16,807.01	17,826.90	0.00	(1,019.89)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CREE INC								
REDEEMED								
542 0000	Sale	04/06/11	08/10/11	19,104 21	25,002 89	5,898.68 (W)	0 00	
98 0000	Sale	04/06/11	08/10/11	3,454 27	4,520.82	0 00	(1,066 55)	
472.0000	Sale	04/06/11	10/20/11	11,655 40	21,773 74	0 00	(10,118 34)	
337.0000	Sale	04/06/11	11/22/11	8,515 05	15,546 08	0 00	(7,031 03)	
542 0000	Sale	04/06/11	11/22/11	13,694 83	23,641 97	0 00 (Y)	(9,947 14)	
9 0000	Sale	04/25/11	11/22/11	227 41	361 27	0 00	(133 86)	
791.0000	Sale	04/25/11	11/23/11	19,051 26	31,751 85	0 00	(12,700 59)	
510 0000	Sale	05/12/11	11/23/11	12,283 38	21,330 55	0 00	(9,047 17)	
				87,985.81	143,929.17	5,898.68	(50,044.68)	
Security Subtotal								
DOLBY LABORATORIES INC								
CL A								
REDEEMED								
116.0000	Sale	08/30/10	02/18/11	5,993.88	7,635 09	0 00 (Y)	(1,641 21)	
191 0000	Sale	08/31/10	02/18/11	9,869 24	12,575 20	0 00 (Y)	(2,705 96)	
90 0000	Sale	08/31/10	02/18/11	4,650 42	5,403 31	0 00 (Y)	(752 89)	
118.0000	Sale	08/31/10	02/22/11	6,041 22	7,063 59	0 00 (Y)	(1,022 37)	
163 0000	Sale	09/01/10	02/22/11	8,345 08	10,024 90	0 00 (Y)	(1,679 82)	
				34,899.84	42,702.09	0 00	(7,802.25)	
Security Subtotal								
DOW CHEMICAL CO								
REDEEMED								
711 0000	Sale	07/25/11	09/23/11	16,689 91	25,621 60	8,931.69 (W)	0 00	
260.0000	Sale	07/25/11	09/23/11	6,103 20	9,369 36	3,266 16 (W)	0 00	
244 0000	Sale	07/25/11	09/26/11	5,767 34	8,792.78	3,025.44 (W)	0 00	
208 0000	Sale	07/25/11	09/26/11	4,916 42	7,495 49	0 00	(2,579 07)	
				33,476.87	51,279.23	15,223.29	(2,579.07)	
Security Subtotal								
FREEMPT-MCMRAN CPR & GLD								
REDEEMED								
89.0000	Sale	03/10/11	09/28/11	2,894 02	4,242 52	0 00	(1,348 50)	
102 0000	Sale	03/10/11	12/15/11	3,891 98	4,862.22	0 00	(970 24)	
				6,786.00	9,104.74	0 00	(2,318.74)	
Security Subtotal								
GOLDMAN SACHS GROUP INC								
REDEEMED								
91.0000	Sale	02/01/11	05/13/11	12,739 36	15,065 23	0 00	(2,325 87)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
GOLDCORP INC REDEEMED									
270.0000 Sale			07/29/11	09/28/11	12,050.17	12,976.01	925.84 (W)	0.00	
188.0000 Sale			07/29/11	09/28/11	8,390.48	9,035.15	0.00	(644.67)	
211.0000 Sale			07/29/11	10/04/11	8,884.22	10,140.51	0.00	(1,256.29)	
Security Subtotal					29,324.87	32,151.67	925.84	(1,900.96)	
GOOGLE INC CL A REDEEMED									
35.0000 Sale			10/19/11	12/20/11	22,031.90	20,595.05	0.00	1,436.85	
GILEAD SCIENCES INC COM REDEEMED									
597.0000 Sale			01/19/11	03/21/11	24,080.79	22,835.85	0.00	1,244.94	
1036.0000 Sale			01/19/11	08/04/11	39,106.90	39,628.03	0.00	(521.13)	
433.0000 Sale			01/25/11	08/04/11	16,344.87	16,505.96	0.00	(161.09)	
641.0000 Sale			02/17/11	08/04/11	24,196.45	25,214.89	0.00	(1,018.44)	
264.0000 Sale			02/18/11	08/04/11	9,965.47	10,391.04	0.00	(425.57)	
Security Subtotal					113,694.48	114,575.77	0.00	(881.29)	
HALLIBURTON COMPANY REDEEMED									
395.0000 Sale			06/02/11	09/23/11	12,547.01	19,723.93	0.00	(7,176.92)	
KINDER MORGAN INC DEL REDEEMED									
281.0000 Sale			02/15/11	09/12/11	6,935.57	8,693.30	1,757.73 (W)	0.00	
283.0000 Sale			02/15/11	09/13/11	7,035.64	8,755.17	1,719.54 (W)	0.00	
45.0000 Sale			02/15/11	09/13/11	1,118.74	1,392.17	273.42 (W)	0.00	
379.0000 Sale			02/15/11	12/07/11	11,572.62	11,725.12	152.50 (W)	0.00	
274.0000 Sale			02/15/11	12/08/11	8,175.70	8,476.74	301.04 (W)	0.00	
277.0000 Sale			02/15/11	12/21/11	8,513.13	8,569.55	56.42 (W)	0.00	
135.0000 Sale			02/15/11	12/21/11	4,149.00	4,176.49	27.49 (W)	0.00	
370.0000 Sale			02/15/11	12/22/11	11,407.92	11,446.69	38.77 (W)	0.00	
Security Subtotal					58,908.32	63,235.23	4,326.91	0.00	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
LABORATORY CP AMER HLDGS								
REDEEMED		CUSIP Number	50540R409					
184 0000 Sale		01/19/11	06/07/11	17,760 11	16,506 92	0.00	1,253 19	
206 0000 Sale		01/19/11	08/19/11	16,306 22	18,480 57	0.00	(2,174 35)	
16 0000 Sale		01/19/11	08/22/11	1,278 54	1,435 38	0.00	(156 84)	
Security Subtotal				35,344.87	36,422.87	0.00	(1,078.00)	
LOWE'S COMPANIES INC								
REDEEMED		CUSIP Number	548661107					
2055 0000 Sale		02/17/11	08/15/11	40,319 97	53,702 90	0.00	(13,382 93)	
526 0000 Sale		02/18/11	08/15/11	10,320 34	13,725 81	0.00	(3,405 47)	
790 0000 Sale		07/27/11	08/15/11	15,500 14	17,890 42	0.00	(2,390 28)	
Security Subtotal				66,140.45	85,319.13	0.00	(19,178.68)	
MANPOWERGROUP								
REDEEMED		CUSIP Number	56418H100					
85 0000 Sale		07/21/11	09/27/11	3,066 16	4,675 59	0.00	(1,609 43)	
134 0000 Sale		07/21/11	09/28/11	4,612 21	7,370 92	0.00	(2,758 71)	
310 0000 Sale		08/09/11	09/28/11	10,670 07	12,513 34	0.00	(1,843 27)	
Security Subtotal				18,348.44	24,559.85	0.00	(6,211.41)	
NOVARTIS ADR								
REDEEMED		CUSIP Number	66987V109					
287 0000 Sale		09/26/11	10/26/11	16,353 84	15,610 76	0.00	743 08	
279 0000 Sale		09/26/11	10/27/11	16,302 92	15,175 62	0.00	1,127 30	
27 0000 Sale		09/26/11	10/28/11	1,565 05	1,468 61	0.00	96 44	
Security Subtotal				34,221.81	32,254.99	0.00	1,966.82	
NVIDIA								
REDEEMED		CUSIP Number	67066G104					
940 0000 Sale		02/17/11	11/02/11	12,792 31	24,303 42	11,511 11 (W)	0 00	
283 0000 Sale		02/17/11	11/02/11	3,851 30	7,316 88	0.00	(3,465 58)	
Security Subtotal				16,643.61	31,620.30	11,511.11	(3,465.58)	
NETFLIX COM INC								
REDEEMED		CUSIP Number	64110L106					
79 0000 Sale		09/30/11	10/25/11	6,121 48	8,948 39	0.00	(2,826 91)	
107 0000 Sale		01/25/11	10/25/11	8,291 10	19,720 09	0.00	(11,428 99)	
108 0000 Sale		03/01/11	10/25/11	8,368 58	21,820 29	0.00	(13,451 71)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NETFLIX COM INC									
REDEEMED									
99 0000	Sale	07/27/11		10/25/11	7,671 20	26,953 50	0.00	(19,282.30)	
116 0000	Sale	09/15/11		10/25/11	8,988 48	19,890 90	0.00	(10,902.42)	
198 0000	Sale	09/23/11		10/25/11	15,342 41	25,713 05	0.00	(10,370 64)	
Security Subtotal					54,783.25	123,046.22	0.00	(68,262.97)	
ORACLE CORP \$0.01 DEL									
REDEEMED									
2010 0000	Sale	03/18/11		08/19/11	50,211 25	61,895 14	0.00	(11,683 89)	
RIVERBED TECHNOLOGY INC									
COM									
REDEEMED									
1687 0000	Sale	11/02/11		12/15/11	39,560 23	46,738 00	0.00	(7,177.77)	
193 0000	Sale	11/03/11		12/15/11	4,525 86	5,487 57	0.00	(961 71)	
Security Subtotal					44,086.09	52,225.57	0.00	(8,139.48)	
SAP AG SHS									
REDEEMED									
244 0000	Sale	11/04/11		12/21/11	12,704 81	14,884.22	2,179 41 (W)	0.00	
541 0000	Sale	11/04/11		12/21/11	28,169 28	33,001 49	0.00	(4,832 21)	
45 0000	Sale	11/04/11		12/22/11	2,382 43	2,745.04	0.00	(362.61)	
244 0000	Sale	11/04/11		12/22/11	12,918 07	16,585 05	0.00 (Y)	(3,666 98)	
Security Subtotal					56,174.59	67,215.80	2,179.41	(8,861.80)	
STATE STREET CORP									
REDEEMED									
429 0000	Sale	04/12/11		07/25/11	18,319 88	19,728 04	0.00	(1,408.16)	
322 0000	Sale	04/12/11		07/26/11	13,685 70	14,807 52	0.00	(1,121 82)	
430 0000	Sale	04/25/11		07/26/11	18,275 95	19,712 40	0.00	(1,436 45)	
Security Subtotal					50,281.53	54,247.96	0.00	(3,966 43)	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE									
REDEEMED									
385 0000	Sale	08/09/11		11/22/11	17,423 62	17,122 49	0.00	301 13	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SOUTHWESTERN ENERGY CO									
REDEEMED									
78 0000 Sale		01/03/11	845467109	06/02/11	3,305 99	2,975 04	0.00	330 95	
324 0000 Sale		01/03/11		06/03/11	13,607 35	12,357 88	0.00	1,249 47	
477 0000 Sale		01/25/11		06/03/11	20,033 04	18,371 23	0.00	1,661 81	
507 0000 Sale		02/10/11		06/03/11	21,292 99	18,701 66	0.00	2,591 33	
1470 0000 Sale		08/09/11		09/19/11	57,903 95	52,440 34	0.00	5,463 61	
Security Subtotal					116,143.32	104,846.15	0.00	11,297.17	
SPRINT NEXTEL CORP									
REDEEMED									
12548 0000 Sale		03/11/11	852061100	07/29/11	52,755 80	63,794 03	0.00	(11,038 23)	
4808 0000 Sale		03/21/11		07/29/11	20,214 37	20,738 35	0.00	(523 98)	
Security Subtotal					72,970.17	84,532.38	0.00	(11,562.21)	
THERMO FISHER SCIENTIFIC INC									
REDEEMED									
817 0000 Sale		08/04/11	883556102	12/07/11	38,398 34	44,601 83	0.00	(6,203 49)	
132 0000 Sale		08/04/11		12/08/11	6,127 17	7,206 17	0.00	(1,079 00)	
Security Subtotal					44,525.51	51,808.00	0.00	(7,282.49)	
TOYOTA MOTOR CORP ADR									
REDEEMED									
217 0000 Sale		04/06/11	892331307	11/02/11	14,099 50	16,827 16	0.00	(2,727 66)	
219 0000 Sale		04/06/11		11/03/11	14,213 75	16,982 24	0.00	(2,768 49)	
Security Subtotal					28,313.25	33,809.40	0.00	(5,496.15)	
UNITED PARCEL SVC CL B									
REDEEMED									
228 0000 Sale		01/25/11	911312106	08/09/11	14,231 64	16,611 60	0.00	(2,379 96)	
260 0000 Sale		07/27/11		08/09/11	16,229 08	18,232 45	0.00	(2,003 37)	
Security Subtotal					30,460.72	34,844.05	0.00	(4,383.33)	
VERIZON COMMUNICATIONS COM									
REDEEMED									
2363 0000 Sale		02/15/11	92343V104	03/11/11	84,616 94	85,169 85	0.00	(552 91)	

Statement B



Taxpayer No.
59-2891582

Page
7

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
----------	-------------------------	---------------------------------	-------------------------------	--------	------------	-------------	----------------	---------

Covered Short Term Capital Gains and Losses Subtotal				1,378,712.06	1,653,856.18	40,065.24	(235,078.88)	
--	--	--	--	--------------	--------------	-----------	--------------	--

NONCOVERED TRANSACTIONS

AON CORP REDEEMED CUSIP Number 037389103

347,000	Sale	12/21/10	03/14/11	17,911.80	15,828.30	0.00	2,083.50	
342,000	Sale	12/21/10	08/02/11	16,176.60	15,600.23	0.00	576.37	
277,000	Sale	12/22/10	08/02/11	13,102.10	12,646.85	0.00	455.25	
351,000	Sale	12/22/10	08/03/11	16,496.86	16,025.43	0.00	471.43	
Security Subtotal				63,687.36	60,100.81	0.00	3,586.55	

BANK NEW YORK MELLON CORP REDEEMED CUSIP Number 064058100

37,000	Sale	05/13/10	04/12/11	1,104.94	1,108.80	0.00	(3.86)	
192,000	Sale	05/26/10	04/12/11	5,733.77	5,296.38	0.00	437.39	
472,000	Sale	05/26/10	04/25/11	13,327.18	13,020.26	0.00	306.92	
672,000	Sale	08/06/10	04/25/11	18,974.29	17,058.25	0.00	1,916.04	
656,000	Sale	10/20/10	04/25/11	18,522.53	17,012.51	0.00	1,510.02	
526,000	Sale	11/05/10	04/25/11	14,851.91	14,761.09	0.00	90.82	
Security Subtotal				72,514.62	68,257.29	0.00	4,257.33	

BABCOCK (THE) AND WILCOX CO SHS REDEEMED CUSIP Number 05615F102

385,000	Sale	03/01/10	02/24/11	12,345.90	8,722.56	0.00	3,623.34	
174,000	Sale	03/01/10	02/25/11	5,525.57	3,942.15	0.00	1,583.42	
371,000	Sale	05/12/10	03/07/11	12,783.52	9,401.73	0.00	3,381.79	
95,000	Sale	05/18/10	03/07/11	3,273.42	2,128.26	0.00	1,145.16	
Security Subtotal				33,928.41	24,194.70	0.00	9,733.71	

CREDIT SUISSE GP SP ADR REDEEMED CUSIP Number 225401108

305,000	Sale	02/23/10	02/01/11	13,890.87	13,275.09	0.00	615.78	
93,000	Sale	02/23/10	02/10/11	4,019.38	4,047.82	0.00	(28.44)	
453,000	Sale	04/15/10	02/10/11	19,578.28	24,098.11	0.00	(4,519.83)	
20,000	Sale	05/13/10	02/10/11	864.38	860.60	0.00	3.78	

State ment B



Taxpayer No.
59-2891582

Page
8

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CREDIT SUISSE GP SP ADR									
REDEEMED									
342 0000	Sale	05/18/10	225401108	02/10/11	14,780.96	14,036.47	0.00	744.49	
441 0000	Sale	06/07/10		02/10/11	19,059.66	16,377.55	0.00	2,682.11	
Security Subtotal					72,193.53	72,695.64	0.00	(502.11)	
CALPINE CORP									
REDEEMED									
598 0000	Sale	09/23/10	131347304	08/19/11	7,930.65	7,460.05	0.00	470.60	
1019 0000	Sale	09/23/10		08/22/11	13,274.96	12,712.03	0.00	562.93	
43 0000	Sale	11/08/10		08/22/11	560.18	537.50	0.00	22.68	
1121 0000	Sale	11/09/10		08/22/11	14,603.77	14,121.69	0.00	482.08	
Security Subtotal					36,369.56	34,831.27	0.00	1,538.29	
DOLBY LABORATORIES INC									
CL A									
REDEEMED									
116 0000	Sale	08/30/10	25659T107	02/17/11	5,973.49	6,420.57	447.08 (W)	0.00	
191 0000	Sale	08/31/10		02/17/11	9,835.66	10,575.44	739.77 (W)	0.00	
90 0000	Sale	08/31/10		02/17/11	4,634.61	4,983.19	348.59 (W)	0.00	
118 0000	Sale	08/31/10		02/18/11	6,097.22	6,533.52	436.30 (W)	0.00	
154 0000	Sale	08/31/10		02/18/11	7,957.40	8,526.80	0.00	(569.40)	
163 0000	Sale	09/01/10		02/18/11	8,422.45	9,292.70	870.25 (W)	0.00	
3 0000	Sale	09/01/10		02/22/11	153.59	171.03	0.00	(17.44)	
232 0000	Sale	09/20/10		02/22/11	11,877.66	13,924.22	0.00	(2,046.56)	
Security Subtotal					54,952.08	60,427.47	2,841.99	(2,633.40)	
EQUINIX INC									
REDEEMED									
89 0000	Sale	06/15/10	29444U502	04/28/11	8,833.03	7,526.97	0.00	1,306.06	
79 0000	Sale	06/15/10		06/07/11	7,747.95	6,681.24	0.00	1,066.71	
114 0000	Sale	06/30/10		06/07/11	11,180.59	9,327.47	0.00	1,853.12	
Security Subtotal					27,761.57	23,535.68	0.00	4,225.89	
EOG RESOURCES INC									
REDEEMED									
162 0000	Sale	09/23/10	26875P101	09/23/11	11,946.23	14,515.75	0.00	(2,569.52)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
GOLDMAN SACHS GROUP INC REDEEMED								
75 0000 Sale		07/20/10	05/13/11	10,499.46	10,864.98	0.00	(365.52)	
GOOGLE INC CL A REDEEMED								
20 0000 Sale		08/06/10	01/04/11	12,033.96	9,965.24	0.00	2,068.72	
85 0000 Sale		08/06/10	02/15/11	53,117.89	42,352.25	0.00	10,765.64	
53 0000 Sale		10/15/10	02/15/11	33,120.58	31,726.03	0.00	1,394.55	
Security Subtotal				98,272.43	84,043.52	0.00	14,228.91	
INTERCONTINENTAL EXCHANGE INC REDEEMED								
207 0000 Sale		09/23/10	07/27/11	25,521.49	20,936.06	0.00	4,585.43	
INTRPUBLIC GRP OF CO REDEEMED								
1238 0000 Sale		06/18/10	03/01/11	15,613.59	10,309.07	0.00	5,304.52	
441 0000 Sale		06/21/10	03/01/11	5,561.88	3,744.66	0.00	1,817.22	
697 0000 Sale		06/21/10	03/10/11	8,853.61	5,918.44	0.00	2,935.17	
876 0000 Sale		06/21/10	03/11/11	11,122.36	7,438.38	0.00	3,683.98	
2570 0000 Sale		06/21/10	03/11/11	32,675.64	21,822.64	0.00	10,853.00	
Security Subtotal				73,827.08	49,233.19	0.00	24,593.89	
LAUDER ESTEE COS INC A REDEEMED								
199 0000 Sale		11/24/10	10/06/11	17,676.53	15,085.77	0.00	2,590.76	
215 0000 Sale		11/24/10	11/04/11	25,271.66	16,298.70	0.00	8,972.96	
Security Subtotal				42,948.19	31,384.47	0.00	11,563.72	
MEDCO HEALTH SOLUTIONS I REDEEMED								
244 0000 Sale		07/29/10	07/21/11	15,396.04	11,893.63	0.00	3,502.41	
NOBLE ENERGY INC REDEEMED								
198 0000 Sale		08/16/10	07/27/11	18,892.46	13,232.54	0.00	5,659.92	

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NETFLIX COM INC								
REDEEMED								
94,0000	Sale	04/06/10	02/17/11	22,116.06	7,839.93	0.00	14,276.13	
27,0000	Sale	05/18/10	02/17/11	6,352.49	2,759.39	0.00	3,593.10	
70,0000	Sale	07/22/10	06/07/11	18,471.98	7,264.10	0.00	11,207.88	
38,0000	Sale	07/22/10	07/13/11	11,378.26	3,943.37	0.00	7,434.89	
99,0000	Sale	12/10/10	10/25/11	7,671.20	19,380.40	0.00	(11,709.20)	
Security Subtotal				65,989.99	41,187.19	0.00	24,802.80	
NABORS INDUSTRIES LTD								
REDEEMED								
483,0000	Sale	03/01/10	02/24/11	13,386.91	10,763.22	0.00	2,623.69	
STATE STREET CORP								
REDEEMED								
928,0000	Sale	08/16/10	06/16/11	39,949.07	34,244.78	0.00	5,704.29	
378,0000	Sale	09/20/10	06/16/11	16,272.36	14,928.77	0.00	1,343.59	
112,0000	Sale	09/30/10	06/16/11	4,821.45	4,288.88	0.00	532.57	
253,0000	Sale	09/30/10	07/25/11	10,804.03	9,688.28	0.00	1,115.75	
375,0000	Sale	12/09/10	07/25/11	16,013.88	17,004.64	0.00	(990.76)	
Security Subtotal				87,860.79	80,155.35	0.00	7,705.44	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE								
REDEEMED								
486,0000	Sale	06/15/10	02/24/11	28,980.15	23,879.56	0.00	5,100.59	
SEMPRA ENERGY								
REDEEMED								
276,0000	Sale	03/18/10	01/04/11	14,515.26	13,990.11	0.00	525.15	
SOUTHWESTERN ENERGY CO								
REDEEMED								
402,0000	Sale	10/19/10	04/06/11	16,818.44	13,636.36	0.00	3,182.08	
1172,0000	Sale	10/19/10	06/02/11	49,674.61	39,755.77	0.00	9,918.84	
477,0000	Sale	12/21/10	06/02/11	20,217.40	16,889.04	0.00	3,328.36	
Security Subtotal				86,710.45	70,281.17	0.00	16,429.28	

Statement B



Taxpayer No
59-2891582

Page

11

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TEVA PHARMACTCL INDS ADR REDEEMED		CUSIP Number	881624209					
1001.0000 Sale		07/29/10	01/25/11	54,755.65	47,565.02	0.00	7,190.63	
154.0000 Sale		08/09/10	01/25/11	8,423.95	7,705.21	0.00	718.74	
208.0000 Sale		08/09/10	02/17/11	10,850.21	10,407.03	0.00	443.18	
278.0000 Sale		10/06/10	02/17/11	14,501.73	14,784.12	0.00	(282.39)	
177.0000 Sale		11/08/10	02/17/11	9,233.11	8,999.14	0.00	233.97	
178.0000 Sale		11/09/10	02/17/11	9,285.28	9,070.29	0.00	214.99	
350.0000 Sale		11/30/10	02/17/11	18,257.59	17,564.12	0.00	693.47	
Security Subtotal				125,307.52	116,094.93	0.00	9,212.59	
THERMO FISHER SCIENTIFIC, INC REDEEMED		CUSIP Number	883556102					
41.0000 Sale		05/13/10	01/20/11	2,263.80	2,210.72	0.00	53.08	
221.0000 Sale		07/14/10	01/20/11	12,202.46	11,054.62	0.00	1,147.84	
384.0000 Sale		07/29/10	01/20/11	21,202.47	17,306.73	0.00	3,895.74	
Security Subtotal				35,668.73	30,572.07	0.00	5,096.66	
UNITED PARCEL SVC CL B REDEEMED		CUSIP Number	911312106					
754.0000 Sale		09/02/10	08/09/11	47,064.30	50,697.75	0.00	(3,633.45)	
262.0000 Sale		11/18/10	08/09/11	16,353.91	17,947.50	0.00	(1,593.59)	
Security Subtotal				63,418.21	68,645.25	0.00	(5,227.04)	
VMWARE, INC REDEEMED		CUSIP Number	928563402					
161.0000 Sale		10/19/10	03/18/11	12,081.68	11,797.40	0.00	284.28	
WEYERHAEUSER CO REDEEMED		CUSIP Number	962166104					
107.0000 Sale		05/07/10	01/25/11	2,450.58	4,840.98	0.00	(2,390.40)	
216.0000 Sale		05/07/10	01/26/11	4,940.08	9,772.45	0.00	(4,832.37)	
411.0000 Sale		05/21/10	01/26/11	9,399.88	17,259.78	0.00	(7,859.90)	
96.0000 Sale		06/24/10	01/26/11	2,195.60	3,576.19	0.00	(1,380.59)	
281.0000 Sale		06/24/10	02/15/11	6,978.21	10,467.82	0.00	(3,489.61)	
551.0000 Sale		07/21/10	02/15/11	13,683.28	8,516.14	0.00	5,167.14	
753.0000 Sale		07/21/10	02/17/11	18,980.42	11,638.22	0.00	7,342.20	
407.0000 Sale		09/02/10	02/17/11	10,259.02	6,324.78	0.00	3,934.24	
Security Subtotal				68,887.07	72,396.36	0.00	(3,509.29)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Noncovered Short Term Capital Gains and Losses Subtotal				1,261,517.27	1,119,909.61	2,841.99	144,449.65	
NET SHORT TERM CAPITAL GAINS AND LOSSES				2,640,229.33	2,773,765.79	42,907.23	(90,629.23)	

LONG TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BABCOCK (THE) AND WILCOX CO SHS REDEEMED								
116 0000 Sale		05/18/10	08/10/11	2,380.28	3,000.91	620.63 (WY)	0.00	
54 0000 Sale		05/18/10	10/19/11	1,170.23	1,396.99	226.76 (WY)	0.00	
37 0000 Sale		05/18/10	10/19/11	801.83	969.78	167.95 (WY)	0.00	
116 0000 Sale		05/18/10	10/19/11	2,513.84	3,524.70	1,010.86 (WY)	0.00	
54 0000 Sale		05/18/10	10/20/11	1,159.00	1,387.12	0.00 (Y)	(228.12)	
37 0000 Sale		05/18/10	10/20/11	794.13	963.01	0.00 (Y)	(168.88)	
109 0000 Sale		05/18/10	10/20/11	2,339.47	3,292.06	0.00 (Y)	(952.59)	
7,0000 Sale		05/18/10	10/21/11	152.08	211.43	0.00 (Y)	(59.35)	
Security Subtotal				11,310.86	14,746.00	2,026.20	(1,408.94)	
DENBURY RES INC REDEEMED								
132 0000 Sale		09/08/08	04/06/11	3,241.58	3,267.28	25.70 (WY)	0.00	
132 0000 Sale		10/07/08	10/04/11	1,427.11	2,768.29	0.00 (Y)	(1,341.18)	
Security Subtotal				4,668.69	6,035.57	25.70	(1,341.18)	
FREEMPT-MCMRAN CPR & GLD REDEEMED								
282 0000 Sale		04/23/10	12/15/11	10,760.18	14,818.53	0.00 (Y)	(4,058.35)	
GOLDMAN SACHS GROUP INC REDEEMED								
147 0000 Sale		12/14/09	05/13/11	20,578.94	26,763.39	0.00 (Y)	(6,184.45)	
3 0000 Sale		04/15/10	05/13/11	419.97	603.41	0.00 (Y)	(183.44)	
Security Subtotal				20,998.91	27,366.80	0.00	(6,367.89)	

Statement B



Taxpayer No.
59-2891582

Page
13

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NABORS INDUSTRIES LTD								
REDEEMED		CUSIP Number		G6359F103				
526 0000	Sale	03/23/10	09/26/11	7,228.21	12,300.13	0.00 (Y)	(5,071.92)	
SHAW GROUP INC								
REDEEMED		CUSIP Number		820280105				
89 0000	Sale	08/11/08	01/20/11	3,455.49	4,582.92	0.00 (Y)	(1,127.43)	
77 0000	Sale	08/11/08	02/04/11	2,973.83	3,965.01	0.00 (Y)	(991.18)	
66 0000	Sale	10/20/09	10/14/11	1,378.09	1,921.96	543.87 (WY)	0.00	
26 0000	Sale	05/13/10	10/14/11	542.88	972.74	429.86 (WY)	0.00	
123 0000	Sale	06/30/10	10/14/11	2,568.27	4,352.69	1,784.42 (WY)	0.00	
Security Subtotal				10,918.56	15,795.32	2,758.15	(2,118.61)	
Covered Long Term Capital Gains and Losses Subtotal				65,885.41	91,062.35	4,810.05	(20,366.89)	

NONCOVERED TRANSACTIONS

AMAZON COM INC COM								
REDEEMED		CUSIP Number		023135106				
68 0000	Sale	10/02/08	05/05/11	13,402.54	4,564.94	0.00	8,837.60	
28 0000	Sale	10/24/08	05/05/11	5,518.70	1,382.94	0.00	4,135.76	
118 0000	Sale	10/24/08	06/07/11	22,206.64	5,828.10	0.00	16,378.54	
68 0000	Sale	10/24/08	09/15/11	15,328.47	3,358.57	0.00	11,969.90	
71 0000	Sale	10/24/08	10/19/11	17,097.24	3,506.74	0.00	13,590.50	
27 0000	Sale	10/24/08	10/24/11	6,430.21	1,333.55	0.00	5,096.66	
111 0000	Sale	09/08/09	10/24/11	26,435.31	8,833.74	0.00	17,601.57	
Security Subtotal				106,419.11	28,808.58	0.00	77,610.53	
ALLEGHENY TECH INC				CUSIP Number		01741R102		
REDEEMED		CUSIP Number		01741R102				
212 0000	Sale	02/02/10	09/23/11	7,938.74	9,406.88	0.00	(1,468.14)	
390 0000	Sale	02/02/10	09/26/11	14,720.93	17,305.12	0.00	(2,584.19)	
275 0000	Sale	02/02/10	10/04/11	8,861.81	12,202.33	0.00	(3,340.52)	
381 0000	Sale	03/01/10	10/04/11	12,277.64	17,250.23	0.00	(4,972.59)	
257 0000	Sale	05/07/10	10/04/11	8,281.77	13,369.60	0.00	(5,087.83)	
315 0000	Sale	07/20/10	10/04/11	10,150.80	14,893.86	0.00	(4,743.06)	
293 0000	Sale	08/09/10	10/04/11	9,441.87	14,443.79	0.00	(5,001.92)	
Security Subtotal				71,673.56	98,871.81	0.00	(27,198.25)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
APPLE INC REDEEMED								
31 0000	Sale	07/23/08	09/30/11	11,996.59	5,136.99	0.00	6,859.60	
33 0000	Sale	07/23/08	10/07/11	12,279.97	5,468.41	0.00	6,811.56	
32 0000	Sale	07/23/08	10/19/11	12,846.15	5,302.70	0.00	7,543.45	
7 0000	Sale	09/11/08	10/19/11	2,810.10	1,056.75	0.00	1,753.35	
68 0000	Sale	09/11/08	10/27/11	27,536.40	10,265.53	0.00	17,270.87	
Security Subtotal				67,469.21	27,230.38	0.00	40,238.83	
BARRICK GOLD CORPORATION REDEEMED								
445 0000	Sale	03/11/09	08/12/11	21,940.73	12,112.19	0.00	9,828.54	
4 0000	Sale	04/14/09	08/12/11	197.23	117.51	0.00	79.72	
282 0000	Sale	04/14/09	09/08/11	15,617.45	8,284.11	0.00	7,333.34	
74 0000	Sale	10/14/09	09/08/11	4,098.20	2,974.38	0.00	1,123.82	
392 0000	Sale	10/14/09	09/28/11	18,065.91	15,756.16	0.00	2,309.75	
Security Subtotal				59,919.52	39,244.35	0.00	20,675.17	
BANK NEW YORK MELLON CORP REDEEMED								
505 0000	Sale	10/23/09	01/19/11	16,082.42	15,027.84	0.00	1,054.58	
80 0000	Sale	11/12/09	01/19/11	2,547.72	2,232.16	0.00	315.56	
845 0000	Sale	12/14/09	04/12/11	25,234.52	22,841.53	0.00	2,392.99	
402 0000	Sale	11/12/09	04/12/11	12,005.05	11,216.60	0.00	788.45	
426 0000	Sale	12/01/09	04/12/11	12,721.77	11,298.84	0.00	1,422.93	
103 0000	Sale	12/15/09	04/12/11	3,075.92	2,770.75	0.00	305.17	
Security Subtotal				71,667.40	65,387.72	0.00	6,279.68	
BABCOCK (THE) AND WILCOX CO SHS REDEEMED								
279 0000	Sale	03/01/10	03/04/11	9,652.04	6,321.03	0.00	3,331.01	
184 0000	Sale	03/01/10	03/07/11	6,340.07	4,168.70	0.00	2,171.37	
170 0000	Sale	05/18/10	08/09/11	3,608.71	3,808.46	199.75 (W)	0.00	
37 0000	Sale	05/18/10	08/09/11	785.42	828.90	43.48 (W)	0.00	
343 0000	Sale	06/30/10	08/09/11	7,281.09	7,229.09	0.00	52.00	
90 0000	Sale	08/04/10	08/09/11	1,910.50	2,077.39	166.89 (W)	0.00	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BABCOCK (THE) AND WILCOX								
CO SHS REDEEMED			05615F102					
108 0000 Sale	08/04/10		10/19/11	2,340.49	2,492.87	152.38 (W)	0.00	
122 0000 Sale	08/04/10		10/21/11	2,650.67	2,816.02	0.00	(165.35)	
Security Subtotal				34,568.99	29,742.46	562.50	5,389.03	
CAMECO CORP								
COM REDEEMED			13321L108					
518 0000 Sale	03/17/09		08/09/11	11,416.50	8,471.53	0.00	2,944.97	
981 0000 Sale	03/18/09		08/09/11	21,620.82	15,686.19	0.00	5,934.63	
38 0000 Sale	06/17/09		08/09/11	837.51	947.90	0.00	(110.39)	
Security Subtotal				33,874.83	25,105.62	0.00	8,769.21	
CREDIT SUISSE GP SP ADR								
REDEEMED			225401108					
15 0000 Sale	10/07/09		02/01/11	683.15	854.84	0.00	(171.69)	
275 0000 Sale	10/08/09		02/01/11	12,524.55	15,818.85	0.00	(3,294.30)	
295 0000 Sale	11/12/09		02/01/11	13,435.43	16,412.68	0.00	(2,977.25)	
Security Subtotal				26,643.13	33,086.37	0.00	(6,443.24)	
CME GROUP INC								
REDEEMED			12572Q105					
70 0000 Sale	10/14/09		07/13/11	20,430.20	21,833.62	0.00	(1,403.42)	
71 0000 Sale	10/14/09		07/18/11	20,292.29	22,145.54	0.00	(1,853.25)	
43 0000 Sale	10/14/09		10/13/11	11,003.03	13,412.08	0.00	(2,409.05)	
31 0000 Sale	10/20/09		10/13/11	7,932.42	9,882.18	0.00	(1,949.76)	
Security Subtotal				59,657.94	67,273.42	0.00	(7,615.48)	
CALPINE CORP								
REDEEMED			131347304					
138 0000 Sale	12/09/09		03/14/11	2,140.18	1,561.57	0.00	578.61	
1130 0000 Sale	12/10/09		03/14/11	17,524.73	12,918.95	0.00	4,605.78	
1132 0000 Sale	12/10/09		03/17/11	16,700.98	12,941.82	0.00	3,759.16	
146 0000 Sale	12/10/09		06/07/11	2,230.01	1,669.17	0.00	560.84	
883 0000 Sale	01/22/10		06/07/11	13,487.04	10,048.01	0.00	3,439.03	
302 0000 Sale	03/30/10		06/07/11	4,612.79	3,637.50	0.00	975.29	
858 0000 Sale	03/30/10		08/19/11	11,378.74	10,334.35	0.00	1,044.39	
Security Subtotal				68,074.47	53,111.37	0.00	14,963.10	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CUMMINS INC REDEEMED									
190.0000	Sale	01/23/09	05/18/11	20,299.17	4,824.22	0.00	0.00	15,474.95	
154.0000	Sale	01/23/09	07/27/11	16,548.98	3,910.15	0.00	0.00	12,638.83	
10.0000	Sale	06/24/09	07/27/11	1,074.61	331.30	0.00	0.00	743.31	
316.0000	Sale	06/24/09	09/23/11	27,154.91	10,469.11	0.00	0.00	16,685.80	
Security Subtotal						19,534.78	0.00	45,542.89	
DENBURY RES INC REDEEMED									
588.0000	Sale	08/01/07	02/15/11	13,007.60	12,083.49	0.00	0.00	924.11	
354.0000	Sale	09/12/07	02/15/11	7,831.10	7,737.47	0.00	0.00	93.63	
132.0000	Sale	08/15/08	02/15/11	2,920.07	3,167.69	247.62	(W)	0.00	
305.0000	Sale	11/12/08	02/15/11	6,747.15	2,638.50	0.00	0.00	4,108.65	
628.0000	Sale	11/12/08	04/06/11	15,422.06	5,432.70	0.00	0.00	9,989.36	
840.0000	Sale	11/24/08	04/06/11	20,628.25	6,825.92	0.00	0.00	13,802.33	
504.0000	Sale	11/24/08	10/04/11	5,449.00	4,095.56	0.00	0.00	1,353.44	
Security Subtotal						41,981.33	247.62	30,271.52	
DEERE CO REDEEMED									
164.0000	Sale	02/23/10	03/10/11	14,371.04	9,191.28	0.00	0.00	5,179.76	
491.0000	Sale	02/23/10	09/23/11	33,111.57	27,517.80	0.00	0.00	5,593.77	
Security Subtotal						36,709.08	0.00	10,773.53	
DISNEY (WALT) CO COM STK REDEEMED									
307.0000	Sale	10/01/08	02/24/11	12,962.67	9,400.77	0.00	0.00	3,561.90	
115.0000	Sale	10/02/08	02/24/11	4,855.73	3,497.56	0.00	0.00	1,358.17	
415.0000	Sale	10/02/08	03/10/11	17,563.12	12,621.65	0.00	0.00	4,941.47	
345.0000	Sale	10/02/08	03/11/11	14,758.15	10,492.69	0.00	0.00	4,265.46	
65.0000	Sale	12/02/08	03/11/11	2,780.53	1,389.13	0.00	0.00	1,391.40	
444.0000	Sale	12/02/08	12/15/11	15,773.78	9,488.86	0.00	0.00	6,284.92	
Security Subtotal						46,890.66	0.00	21,803.32	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EQUINIX INC								
REDEEMED								
88 0000	Sale	01/22/10	04/28/11	8,733.77	8,852.28	0.00	(118.51)	
101 0000	Sale	01/26/10	04/28/11	10,023.98	10,069.45	0.00	(45.47)	
87 0000	Sale	06/30/10	12/15/11	8,546.67	7,118.33	0.00	1,428.34	
72 0000	Sale	10/06/10	12/15/11	7,073.11	5,112.81	0.00	1,960.30	
Security Subtotal				34,377.53	31,152.87	0.00	3,224.66	
EOG RESOURCES INC								
REDEEMED								
334 0000	Sale	09/23/10	12/15/11	31,383.71	29,927.53	0.00	1,456.18	
64 0000	Sale	09/23/10	12/21/11	6,274.50	5,734.62	0.00	539.88	
122 0000	Sale	10/11/10	12/21/11	11,960.78	12,160.15	0.00	(199.37)	
Security Subtotal				49,618.99	47,822.30	0.00	1,796.69	
FREEPORT-MCMRAN CPR & GLD								
REDEEMED								
162 0000	Sale	07/13/09	04/19/11	8,344.65	3,806.96	0.00	4,537.69	
462 0000	Sale	11/03/09	04/19/11	23,797.71	17,271.99	0.00	6,525.72	
213 0000	Sale	02/02/10	04/19/11	10,971.68	7,719.57	0.00	3,252.11	
45 0000	Sale	02/02/10	09/28/11	1,463.26	1,630.89	0.00	(167.63)	
282 0000	Sale	04/23/10	09/28/11	9,169.79	11,242.71	2,072.92 (W)	0.00	
132 0000	Sale	04/23/10	09/28/11	4,292.24	5,262.54	0.00	(970.30)	
18 0000	Sale	05/13/10	09/28/11	585.30	645.93	0.00	(60.63)	
368 0000	Sale	05/21/10	09/28/11	11,966.25	12,298.06	0.00	(331.81)	
542 0000	Sale	07/21/10	09/28/11	17,624.20	18,091.15	0.00	(466.95)	
Security Subtotal				88,215.08	77,969.80	2,072.92	12,318.20	
GOLDMAN SACHS GROUP INC								
REDEEMED								
64 0000	Sale	08/11/09	05/12/11	9,169.34	10,257.88	0.00	(1,088.54)	
147 0000	Sale	12/14/09	05/12/11	21,060.84	24,304.02	3,243.18 (W)	0.00	
3 0000	Sale	04/15/10	05/12/11	429.81	553.23	123.41 (W)	0.00	
127 0000	Sale	04/15/10	05/12/11	18,195.42	23,419.98	0.00	(5,224.57)	
39 0000	Sale	04/16/10	05/12/11	5,587.58	6,283.97	0.00	(696.39)	
150 0000	Sale	04/16/10	05/13/11	20,998.92	24,169.13	0.00	(3,170.21)	
Security Subtotal				75,441.91	88,988.21	3,366.59	(10,179.71)	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
INTERCONTINENTAL EXCHANGE INC REDEEMED									
		09/23/10	11/16/11	45865V100	21,473.21	17,699.57	0.00	3,773.64	
175.0000 Sale		09/23/10	11/17/11		2,306.63	1,921.67	0.00	384.96	
94.0000 Sale		09/23/10	12/15/11		11,004.74	9,507.20	0.00	1,497.54	
80.0000 Sale		10/06/10	12/15/11		9,365.75	8,846.41	0.00	519.34	
Security Subtotal					44,150.33	37,974.85	0.00	6,175.48	
INTRPUBLIC GRP OF CO REDEEMED									
		06/21/10	12/21/11	460690100	1,241.31	1,120.85	0.00	120.46	
132.0000 Sale		06/30/10	12/21/11		15,159.13	11,790.81	0.00	3,368.32	
1612.0000 Sale		06/30/10	12/22/11		2,674.75	2,077.29	0.00	597.46	
Security Subtotal					19,075.19	14,988.95	0.00	4,086.24	
INTUIT INC REDEEMED									
		03/02/10	05/05/11	461202103	6,044.88	3,715.98	0.00	2,328.90	
112.0000 Sale		03/02/10	05/06/11		12,210.93	7,431.96	0.00	4,778.97	
224.0000 Sale		03/02/10	10/06/11		14,620.22	10,185.77	0.00	4,434.45	
307.0000 Sale		03/03/10	10/06/11		952.46	666.33	0.00	286.13	
20.0000 Sale		03/03/10	10/26/11		15,948.45	10,028.33	0.00	5,920.12	
301.0000 Sale		03/03/10	11/02/11		17,360.99	11,094.47	0.00	6,266.52	
333.0000 Sale		03/03/10	12/15/11		569.62	366.48	0.00	203.14	
11.0000 Sale		05/26/10	12/15/11		14,033.39	9,455.76	0.00	4,577.63	
Security Subtotal					81,740.94	52,945.08	0.00	28,795.86	
JUNIPER NETWORKS INC REDEEMED									
		10/03/08	11/02/11	48203R104	24,034.15	19,903.21	0.00	4,130.94	
1040.0000 Sale		12/14/09	11/02/11		4,321.53	4,988.00	666.47 (W)	0.00	
187.0000 Sale					28,355.68	24,891.21	666.47	4,130.94	
Security Subtotal									

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
JOHNSON CONTROLS INC								
REDEEMED								
546 0000	Sale	07/22/09	01/03/11	21,650.28	13,595.02	0.00	8,055.26	
124 0000	Sale	07/22/09	04/06/11	5,102.19	3,087.51	0.00	2,014.68	
311.0000	Sale	07/23/09	04/06/11	12,796.63	7,718.37	0.00	5,078.26	
401 0000	Sale	07/23/09	07/13/11	16,615.48	9,951.98	0.00	6,663.50	
Security Subtotal				56,164.58	34,352.88	0.00	21,811.70	
LAUDER ESTEE COS INC A								
REDEEMED								
139.0000	Sale	11/24/10	12/05/11	16,016.09	10,537.30	0.00	5,478.79	
MONSANTO CO NEW DEL COM								
REDEEMED								
115.0000	Sale	03/17/09	02/17/11	8,522.91	9,512.22	0.00	(989.31)	
144.0000	Sale	04/14/09	02/17/11	10,672.17	11,769.60	0.00	(1,097.43)	
102.0000	Sale	05/28/09	02/17/11	7,559.46	8,104.18	0.00	(544.72)	
45.0000	Sale	05/28/09	07/27/11	3,341.50	3,575.37	0.00	(233.87)	
171.0000	Sale	01/05/10	07/27/11	12,697.70	14,592.80	0.00	(1,895.10)	
126.0000	Sale	03/01/10	07/27/11	9,356.20	8,894.40	0.00	461.80	
122.0000	Sale	03/01/10	08/01/11	8,811.70	8,612.04	0.00	199.66	
216.0000	Sale	03/18/10	08/01/11	15,601.05	15,576.04	0.00	25.01	
27.0000	Sale	05/13/10	08/01/11	1,950.13	1,500.57	0.00	449.56	
115.0000	Sale	05/18/10	08/01/11	8,306.13	6,343.43	0.00	1,962.70	
113.0000	Sale	05/18/10	08/19/11	7,486.13	6,233.10	0.00	1,253.03	
67.0000	Sale	05/28/10	08/19/11	4,438.69	3,391.21	0.00	1,047.48	
181.0000	Sale	05/28/10	10/07/11	12,909.34	9,161.32	0.00	3,748.02	
Security Subtotal				111,653.11	107,266.28	0.00	4,386.83	
MEDCO HEALTH SOLUTIONS I								
REDEEMED								
290.0000	Sale	09/02/08	01/03/11	17,973.52	13,938.82	0.00	4,034.70	
578.0000	Sale	09/02/08	03/17/11	31,278.33	27,781.52	0.00	3,496.81	
229.0000	Sale	04/30/09	03/17/11	12,392.28	9,990.54	0.00	2,401.74	
124.0000	Sale	04/30/09	07/21/11	7,824.21	5,409.72	0.00	2,414.49	
313.0000	Sale	01/26/10	07/21/11	19,749.82	19,604.66	0.00	145.16	
291.0000	Sale	04/20/10	07/21/11	18,361.66	18,699.83	0.00	(338.17)	
273.0000	Sale	07/09/10	07/21/11	17,225.89	15,412.02	0.00	1,813.87	
Security Subtotal				124,805.71	110,837.11	0.00	13,968.60	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MANPOWERGROUP								
REDEEMED								
620 0000	Sale	12/04/09	09/26/11	20,553 10	34,995 03	0.00	(14,441 93)	
294 0000	Sale	12/07/09	09/26/11	9,746 15	16,486 13	0.00	(6,739 98)	
39 0000	Sale	12/07/09	09/27/11	1,406 82	2,186 94	0.00	(780 12)	
305 0000	Sale	03/01/10	09/27/11	11,002 08	16,136 57	0.00	(5,134 49)	
387 0000	Sale	04/30/10	09/27/11	13,960 02	21,716 08	0.00	(7,756 06)	
262 0000	Sale	05/07/10	09/27/11	9,450 97	13,190 76	0.00	(3,739 79)	
17 0000	Sale	05/13/10	09/27/11	613 23	890 97	0.00	(277 74)	
Security Subtotal				66,732.37	105,602.48	0.00	(38,870.11)	
NATIONAL-OILWELL VARCO INC								
REDEEMED								
261 0000	Sale	02/19/09	02/15/11	20,442 41	7,104 58	0.00	13,337 83	
115 0000	Sale	02/19/09	03/04/11	9,308 69	3,130 37	0.00	6,178 32	
130 0000	Sale	04/24/09	03/04/11	10,522 87	4,114 29	0.00	6,408 58	
259 0000	Sale	04/24/09	04/06/11	20,599 58	8,196 94	0.00	12,402 64	
348 0000	Sale	04/24/09	09/23/11	18,490 59	11,013 64	0.00	7,476 95	
374 0000	Sale	12/01/09	09/23/11	19,872 07	16,396 20	0.00	3,475 87	
20 0000	Sale	04/20/10	09/23/11	1,062 68	885 46	0.00	177 22	
Security Subtotal				100,298.89	50,841.48	0.00	49,457.41	
NOBLE ENERGY INC								
REDEEMED								
222 0000	Sale	08/16/10	12/02/11	21,624 67	14,836 48	0.00	6,788 19	
NETFLIX COM INC								
REDEEMED								
11 0000	Sale	05/18/10	06/07/11	2,902 73	1,124 19	0.00	1,778 54	
97 0000	Sale	07/22/10	08/08/11	22,254 93	10,065 97	0.00	12,188 96	
6 0000	Sale	07/22/10	10/25/11	464 92	622 64	0.00	(157 72)	
Security Subtotal				25,622.58	11,812.80	0.00	13,809.78	
NABORS INDUSTRIES LTD								
REDEEMED								
31 0000	Sale	03/01/10	04/06/11	928 97	690 81	0.00	238 16	
787 0000	Sale	03/18/10	04/06/11	23,583 89	16,208 66	0.00	7,375 23	
550 0000	Sale	03/18/10	04/25/11	17,374 88	11,327 52	0.00	6,047 36	
281 0000	Sale	03/23/10	04/25/11	8,876 99	5,600 53	0.00	3,276 46	

Statement B



Taxpayer No
59-2891582

Page
21

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NABORS INDUSTRIES LTD							
REDEEMED	CUSIP Number	G6359F103					
526 0000 Sale	03/23/10	09/23/11	7,380.64	10,483.55	3,102.92 (W)	0.00	
282 0000 Sale	03/23/10	09/23/11	3,956.91	5,620.46	1,663.54 (W)	0.00	
28 0000 Sale	03/23/10	09/23/11	392.89	558.06	165.17 (W)	0.00	
179 0000 Sale	03/23/10	09/26/11	2,459.79	3,567.59	1,107.80 (W)	0.00	
28 0000 Sale	03/23/10	09/26/11	384.77	558.06	173.29 (W)	0.00	
28 0000 Sale	03/23/10	09/26/11	384.77	558.06	173.29 (W)	0.00	
Security Subtotal			65,724.50	55,173.30	6,386.01	16,937.21	
NETAPP INC							
REDEEMED	CUSIP Number	64110D104					
490 0000 Sale	05/19/09	08/01/11	22,676.27	8,920.21	0.00	13,756.06	
249 0000 Sale	05/19/09	11/02/11	9,960.57	4,532.92	0.00	5,427.65	
357 0000 Sale	02/02/10	11/02/11	14,280.84	10,875.83	0.00	3,405.01	
Security Subtotal			46,917.68	24,328.96	0.00	22,588.72	
OCCIDENTAL PETE CORP CAL							
REDEEMED	CUSIP Number	674599105					
223 0000 Sale	11/17/08	01/03/11	22,006.00	10,747.15	0.00	11,258.85	
4 0000 Sale	01/06/09	01/03/11	394.73	247.48	0.00	147.25	
91 0000 Sale	01/06/09	02/28/11	9,276.63	5,630.20	0.00	3,646.43	
102 0000 Sale	01/07/09	02/28/11	10,397.98	5,901.56	0.00	4,496.42	
93 0000 Sale	07/06/09	02/28/11	9,480.53	5,677.54	0.00	3,802.99	
229 0000 Sale	07/06/09	10/27/11	21,865.65	13,980.18	0.00	7,885.47	
81 0000 Sale	07/06/09	12/15/11	7,231.13	4,944.95	0.00	2,286.18	
154 0000 Sale	01/26/10	12/15/11	13,748.10	11,888.32	0.00	1,859.78	
Security Subtotal			94,400.75	59,017.38	0.00	35,383.37	
QUALCOMM INC							
REDEEMED	CUSIP Number	747525103					
83 0000 Sale	08/24/07	06/07/11	4,714.37	3,145.58	0.00	1,568.79	
110 0000 Sale	09/11/08	06/07/11	6,247.97	5,353.94	0.00	894.03	
98 0000 Sale	10/31/08	06/07/11	5,566.37	3,839.83	0.00	1,726.54	
17 0000 Sale	09/22/09	06/07/11	965.60	756.89	0.00	208.71	
Security Subtotal			17,494.31	13,096.24	0.00	4,398.07	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
RED HAT INC								
REDEEMED								
402.0000	Sale	06/26/09	09/23/11	16,999.12	7,935.20	0.00	9,063.92	
370.0000	Sale	06/26/09	10/13/11	17,075.24	7,303.54	0.00	9,771.70	
285.0000	Sale	06/26/09	10/19/11	13,142.21	5,625.70	0.00	7,516.51	
69.0000	Sale	01/22/10	10/19/11	3,181.80	1,957.92	0.00	1,223.88	
399.0000	Sale	01/22/10	10/24/11	18,880.64	11,321.86	0.00	7,558.78	
1.0000	Sale	03/26/10	10/24/11	47.32	28.40	0.00	18.92	
577.0000	Sale	03/26/10	11/02/11	27,747.63	16,384.26	0.00	11,363.37	
Security Subtotal					50,556.88	0.00	46,517.08	
SHAW GROUP INC								
REDEEMED								
166.0000	Sale	08/11/08	01/19/11	6,319.73	9,208.36	2,888.63 (W)	0.00	
283.0000	Sale	09/11/08	01/19/11	10,774.00	10,728.90	0.00	45.10	
190.0000	Sale	09/11/08	02/04/11	7,338.04	7,203.14	0.00	134.90	
130.0000	Sale	11/03/08	02/04/11	5,020.77	2,220.62	0.00	2,800.15	
122.0000	Sale	11/04/08	02/04/11	4,711.80	2,436.95	0.00	2,274.85	
138.0000	Sale	11/04/08	03/04/11	5,649.88	2,756.55	0.00	2,893.33	
145.0000	Sale	11/05/08	03/04/11	5,936.47	2,800.89	0.00	3,135.58	
18.0000	Sale	11/12/08	03/04/11	736.95	264.81	0.00	472.14	
246.0000	Sale	11/12/08	03/07/11	9,877.18	3,619.03	0.00	6,258.15	
326.0000	Sale	11/12/08	04/28/11	12,666.94	4,795.95	0.00	7,870.99	
357.0000	Sale	04/14/09	04/28/11	13,871.47	9,795.51	0.00	4,075.96	
201.0000	Sale	04/14/09	08/09/11	4,435.26	5,515.12	0.00	(1,079.86)	
414.0000	Sale	10/20/09	08/09/11	9,135.32	11,789.73	0.00	(2,654.41)	
66.0000	Sale	10/20/09	10/13/11	1,388.01	1,879.52	491.51 (W)	0.00	
26.0000	Sale	05/13/10	10/13/11	546.79	956.02	409.23 (W)	0.00	
257.0000	Sale	06/30/10	10/13/11	5,404.88	8,929.44	3,524.56 (W)	0.00	
91.0000	Sale	06/30/10	10/14/11	1,900.10	3,161.79	1,261.69 (W)	0.00	
Security Subtotal					88,062.33	8,575.62	26,226.88	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE								
REDEEMED								
515.0000	Sale	06/15/10	11/22/11	23,306.90	25,304.47	0.00	(1,997.57)	
363.0000	Sale	06/30/10	11/22/11	16,427.97	15,462.20	0.00	965.77	
304.0000	Sale	07/20/10	11/22/11	13,757.87	13,656.41	0.00	101.46	
Security Subtotal					54,423.08	0.00	(930.34)	

State ment + B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SEMPRA ENERGY REDEEMED								
81 0000	Sale	05/12/09	01/04/11	4,259 91	3,718 83	0 00	541 08	
271 0000	Sale	09/08/09	01/04/11	14,252 30	13,353 88	0 00	898 42	
451 0000	Sale	12/08/09	01/04/11	23,718 77	24,772 75	0 00	(1,053 98)	
	Security Subtotal			42,230.98	41,845.46	0.00	385.52	
SCHLUMBERGER LTD REDEEMED								
82 0000	Sale	06/24/09	04/06/11	7,593 18	4,441.01	0 00	3,152 17	
109 0000	Sale	08/05/09	04/06/11	10,093 39	5,929 53	0 00	4,163 86	
219 0000	Sale	08/05/09	04/19/11	18,842 01	11,913 47	0 00	6,928 54	
	Security Subtotal			36,528.58	22,284.01	0.00	14,244.57	
SALESFORCE COM INC REDEEMED								
150 0000	Sale	10/24/08	07/27/11	22,062 03	3,992.65	0 00	18,069 38	
2 0000	Sale	10/24/08	10/27/11	266 28	53 24	0 00	213 04	
126 0000	Sale	10/31/08	10/27/11	16,776 06	3,953.75	0 00	12,822 31	
43 0000	Sale	11/12/08	10/27/11	5,725 17	1,111 92	0 00	4,613 25	
191 0000	Sale	11/12/08	11/02/11	24,485 06	4,938 97	0 00	19,546 09	
	Security Subtotal			69,314.60	14,050.53	0.00	55,264.07	
THERMO FISHER SCIENTIFIC INC REDEEMED								
102 0000	Sale	05/21/08	01/19/11	5,709 51	6,065.06	0 00	(355 55)	
409 0000	Sale	10/16/08	01/19/11	22,894 03	17,279 96	0 00	5,614 07	
200 0000	Sale	11/12/08	01/19/11	11,195 13	6,740 00	0 00	4,455 13	
54 0000	Sale	04/24/09	01/19/11	3,022 69	1,800 64	0 00	1,222 05	
250 0000	Sale	04/24/09	01/20/11	13,803 68	8,336 30	0 00	5,467 38	
297 0000	Sale	11/12/09	01/20/11	16,398 77	13,319 80	0 00	3,078 97	
331 0000	Sale	01/05/10	01/20/11	18,276 08	15,730 31	0 00	2,545 77	
	Security Subtotal			91,299.89	69,272.07	0.00	22,027.82	
VMWARE, INC REDEEMED								
275 0000	Sale	07/02/09	02/28/11	22,980 68	7,762 81	0 00	15,217 87	
91 0000	Sale	07/02/09	03/01/11	7,550 56	2,568 79	0 00	4,981 77	
113 0000	Sale	07/02/09	03/18/11	8,479 68	3,189 81	0 00	5,289 87	

State ment B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
VMWARE, INC									
REDEEMED									
180 0000	Sale	10/02/09	928563402	03/18/11	13,507.46	7,026.19	0.00	6,481.27	
367 0000	Sale	10/05/09		03/18/11	27,540.22	14,491.62	0.00	13,048.60	
	Security Subtotal				80,058.60	35,039.22	0.00	45,019.38	
WEYERHAEUSER CO									
REDEEMED									
855.0000	Sale	12/01/09	962166104	01/10/11	17,742.15	33,733.88	0.00	(15,991.73)	
130 0000	Sale	12/14/09		01/10/11	2,697.63	5,464.05	0.00	(2,766.42)	
40 0000	Sale	12/15/09		01/10/11	830.05	1,777.62	0.00	(947.57)	
170 0000	Sale	12/15/09		01/25/11	3,893.43	7,554.87	0.00	(3,661.44)	
351.0000	Sale	01/05/10		01/25/11	8,038.80	15,605.22	0.00	(7,566.42)	
425 0000	Sale	01/22/10		01/25/11	9,733.59	17,539.55	0.00	(7,805.96)	
	Security Subtotal				42,935.65	81,675.19	0.00	(38,739.54)	
Noncovered Long Term Capital Gains and Losses Subtotal					2,640,307.13	2,044,622.66	21,877.73	617,562.20	
NET LONG TERM CAPITAL GAINS AND LOSSES					2,706,192.54	2,135,685.01	26,687.78	597,195.31	

Statement B

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
COVERED TRANSACTIONS								
ALLEGHENY TECH INC REDEEMED								
146 0000	Sale	03/14/11	10/05/11	4,809.95	9,323.57	0.00	(4,513.62)	
BAKER HUGHES INC REDEEMED								
196 0000	Sale	01/28/11	08/09/11	11,810.79	13,318.89	0.00	(1,508.10)	
804 0000	Sale	01/28/11	09/29/11	38,681.15	54,634.61	0.00	(15,953.46)	
Security Subtotal				50,491.94	67,953.50	0.00	(17,461.56)	
COGNIZANT TECH SOLUTIONS A REDEEMED								
167 0000	Sale	03/22/11	06/14/11	11,797.92	12,847.79	0.00	(1,049.87)	
126 0000	Sale	03/23/11	06/14/11	8,901.43	9,620.07	0.00	(718.64)	
119 0000	Sale	03/23/11	06/15/11	8,271.79	9,085.63	0.00	(813.84)	
290 0000	Sale	03/23/11	08/02/11	20,800.16	22,141.44	0.00	(1,341.28)	
98 0000	Sale	03/24/11	08/02/11	7,029.02	7,600.88	0.00	(571.86)	
57 0000	Sale	04/25/11	08/02/11	4,088.32	4,659.21	0.00	(570.89)	
82 0000	Sale	04/25/11	08/09/11	5,226.19	6,702.72	0.00	(1,476.53)	
248 0000	Sale	04/26/11	08/09/11	15,806.05	20,302.82	0.00	(4,496.77)	
5 0000	Sale	04/27/11	08/09/11	318.68	409.13	0.00	(90.45)	
Security Subtotal				82,239.56	93,369.69	0.00	(11,130.13)	

State men + C

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CATERPILLAR INC DEL REDEEMED									
241 0000 Sale		02/18/11	CUSIP Number 149123101	08/15/11	21,942.80	25,362.62	0.00	(3,419.82)	
DEVON ENERGY CORP NEW REDEEMED									
376 0000 Sale		03/07/11	CUSIP Number 25179M103	06/23/11	28,901.14	33,950.92	0.00	(5,049.78)	
25 0000 Sale		03/08/11		06/23/11	1,921.62	2,231.88	0.00	(310.26)	
118 0000 Sale		03/08/11		06/24/11	9,141.08	10,534.47	0.00	(1,393.39)	
187 0000 Sale		03/10/11		06/24/11	14,486.30	16,212.88	0.00	(1,726.58)	
Security Subtotal					54,450.14	62,930.15	0.00	(8,480.01)	
FLOWERVE CORP REDEEMED									
103 0000 Sale		02/03/11	CUSIP Number 34354P105	05/25/11	12,072.43	13,118.91	0.00	(1,046.48)	
107 0000 Sale		02/04/11		05/25/11	12,541.28	13,821.81	0.00	(1,280.53)	
55 0000 Sale		02/04/11		06/06/11	6,190.83	7,104.67	0.00	(913.84)	
132 0000 Sale		02/04/11		06/07/11	14,843.42	17,051.21	0.00	(2,207.79)	
75 0000 Sale		02/04/11		06/08/11	8,311.53	9,688.19	0.00	(1,376.66)	
6 0000 Sale		02/04/11		06/10/11	638.72	775.05	0.00	(136.33)	
101 0000 Sale		03/03/11		06/10/11	10,751.92	13,104.31	0.00	(2,352.39)	
54 0000 Sale		03/03/11		06/13/11	5,684.06	7,006.27	0.00	(1,322.21)	
113 0000 Sale		03/04/11		06/13/11	11,894.44	14,463.27	0.00	(2,568.83)	
Security Subtotal					82,928.63	96,133.69	0.00	(13,205.06)	
FIRST SOLAR INC REDEEMED									
227 0000 Sale		03/15/11	CUSIP Number 336433107	05/27/11	27,002.15	35,805.53	0.00	(8,803.38)	
167 0000 Sale		03/15/11		06/23/11	20,324.27	26,341.51	0.00	(6,017.24)	
181 0000 Sale		03/31/11		06/23/11	22,028.11	29,084.53	0.00	(7,056.42)	
7 0000 Sale		04/01/11		06/23/11	851.92	1,133.23	0.00	(281.31)	
21 0000 Sale		04/01/11		06/24/11	2,528.53	3,399.69	0.00	(871.16)	
Security Subtotal					72,734.98	95,764.49	0.00	(23,029.51)	
GILEAD SCIENCES INC COM REDEEMED									
752 0000 Sale		07/21/11	CUSIP Number 375558103	09/27/11	30,162.06	32,332.54	0.00	(2,170.48)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HEWLETT PACKARD CO DEL REDEEMED	1923.0000	Sale	02/09/11	428236103	06/23/11	66,951 03	93,269 35	0 00	(26,318 32)	
ILLUMINA INC COM REDEEMED	82 0000 142 0000 23 0000 406 0000	Sale Sale Sale Sale	01/12/11 01/12/11 01/13/11 01/13/11	452327109	10/03/11 10/04/11 10/04/11 12/12/11	3,141 01 5,389 45 872 94 11,026 63	5,482 53 9,494 15 1,585 79 27,992 69	0 00 0 00 0 00 0 00	(2,341 52) (4,104 70) (712 85) (16,966 06)	
Security Subtotal						20,430.03	44,555.16	0.00	(24,125.13)	
INTEL CORP REDEEMED	499 0000 835 0000	Sale Sale	05/18/11 05/19/11	458140100	11/04/11 11/04/11	11,867 03 19,857 68	11,910 73 19,487 73	0 00 0 00	(43 70) 369 95	
Security Subtotal						31,724.71	31,398.46	0.00	326.25	
JOHNSON AND JOHNSON COM REDEEMED	491 0000 477 0000 87 0000	Sale Sale Sale	05/12/11 05/12/11 06/23/11	478160104	11/04/11 12/02/11 12/02/11	31,365 76 30,314 82 5,529 12	32,678 50 31,746 74 5,663 38	0 00 0 00 0 00	(1,312 74) (1,431 92) (134 26)	
Security Subtotal						67,209.70	70,088.62	0.00	(2,878.92)	
KIMBERLY CLARK REDEEMED	343 0000 5 0000	Sale Sale	09/22/11 09/22/11	494368103	12/05/11 12/06/11	24,158 85 352 57	23,605 26 344 10	0 00 0 00	553 59 8 47	
Security Subtotal						24,511.42	23,949.36	0.00	562.06	
KOHL'S CORP WISC PV 1CT REDEEMED	285 0000	Sale	08/11/11	500255104	12/12/11	14,426 51	13,654 24	0 00	772 27	

Statement + G

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
LAS VEGAS SANDS CORP								
REDEEMED								
58 0000	Sale	06/07/11	10/03/11	2,147 18	2,348 61	201 42 (W)	0.00	
241 0000	Sale	06/07/11	10/03/11	8,921 91	9,758 86	836 95 (W)	0.00	
94 0000	Sale	06/07/11	10/03/11	3,479 92	3,806 36	0.00	(326 45)	
559 0000	Sale	06/07/11	11/29/11	24,980 22	22,635 69	0.00	2,344 53	
Security Subtotal				39,529.23	38,549.52	1,038.37	2,018.08	
MICROSOFT CORP								
REDEEMED								
786 0000	Sale	08/09/11	12/02/11	19,849 66	19,811 37	0.00	38 29	
NVIDIA								
REDEEMED								
896 0000	Sale	01/07/11	02/08/11	21,383 62	17,415 10	0.00	3,968 52	
937 0000	Sale	01/07/11	02/09/11	22,514 18	18,212 00	0.00	4,302 18	
1273 0000	Sale	01/07/11	03/10/11	23,279 66	24,742 67	0.00	(1,463 01)	
1592 0000	Sale	01/07/11	03/10/11	29,113 30	30,942 91	0.00	(1,829 61)	
Security Subtotal				96,290.76	91,312.68	0.00	4,978.08	
NETFLIX COM INC								
REDEEMED								
82 0000	Sale	04/26/11	09/29/11	9,137 85	19,099 42	0.00	(9,961 57)	
65 0000	Sale	07/26/11	09/29/11	7,243 43	16,614 31	0.00	(9,370 88)	
Security Subtotal				16,381.28	35,713.73	0.00	(19,332.45)	
PEPSICO INC								
REDEEMED								
834 0000	Sale	05/18/11	12/01/11	53,497 15	59,281 47	0.00	(5,784 32)	
POTASH CORP SASKATCHEWAN								
REDEEMED								
336 0000	Sale	01/06/11	08/22/11	17,368 55	18,587 44	0.00	(1,218 89)	
PROCTER & GAMBLE CO								
REDEEMED								
4 0000	Sale	05/12/11	12/05/11	258 98	265 71	0.00	(6 73)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ROCKWELL AUTOMATION INC									
REDEEMED									
113.0000 Sale		02/11/11	773903109	06/15/11	8,846.61	9,940.18	0.00	(1,093.57)	
23.0000 Sale		02/11/11		06/16/11	1,803.16	2,023.22	0.00	(220.06)	
37.0000 Sale		02/14/11		06/16/11	2,900.74	3,272.57	0.00	(371.83)	
253.0000 Sale		02/14/11		08/23/11	13,944.78	22,377.29	0.00	(8,432.51)	
268.0000 Sale		02/15/11		08/23/11	14,771.56	23,727.94	0.00	(8,956.38)	
95.0000 Sale		02/16/11		08/23/11	5,236.19	8,579.07	0.00	(3,342.88)	
Security Subtotal					47,503.04	69,920.27	0.00	(22,417.23)	
REPUBLIC SERVICES INC									
REDEEMED									
1114.0000 Sale		08/18/11	760759100	12/08/11	29,868.33	30,427.13	0.00	(558.80)	
906.0000 Sale		08/19/11		12/08/11	24,291.48	24,859.55	0.00	(568.07)	
Security Subtotal					54,159.81	55,286.68	0.00	(1,126.87)	
RAYTHEON CO DELAWARE NEW									
REDEEMED									
235.0000 Sale		05/18/11	755111507	10/28/11	10,192.74	11,580.40	0.00	(1,387.66)	
117.0000 Sale		05/19/11		10/28/11	5,074.69	5,810.77	0.00	(736.08)	
332.0000 Sale		05/19/11		12/02/11	15,083.16	16,488.68	0.00	(1,405.52)	
346.0000 Sale		05/20/11		12/02/11	15,719.21	17,140.63	0.00	(1,421.42)	
40.0000 Sale		05/23/11		12/02/11	1,817.25	1,976.94	0.00	(159.69)	
217.0000 Sale		05/23/11		12/05/11	9,904.25	10,724.87	0.00	(820.62)	
Security Subtotal					57,791.30	63,722.29	0.00	(5,930.99)	
SANDISK CORP INC									
REDEEMED									
288.0000 Sale		02/15/11	80004C101	06/24/11	11,856.57	14,800.09	0.00	(2,943.52)	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE									
REDEEMED									
178.0000 Sale		01/21/11	85590A401	05/18/11	10,185.99	11,039.79	0.00	(853.80)	
250.0000 Sale		01/21/11		11/23/11	11,016.64	15,505.33	0.00	(4,488.69)	
Security Subtotal					21,202.63	26,545.12	0.00	(5,342.49)	

Statement



Taxpayer No.
59-2891582

Page

6

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SINA CORPORATION								
REDEEMED								
160 0000	Sale	01/13/11	06/09/11	14,652.65	13,782.11	0.00	870.54	
164 0000	Sale	01/13/11	07/12/11	17,517.02	14,126.67	0.00	3,390.35	
96 0000	Sale	01/13/11	07/19/11	11,481.15	8,269.27	0.00	3,211.88	
62 0000	Sale	01/13/11	08/03/11	6,182.47	5,340.56	0.00	841.91	
34 0000	Sale	01/13/11	08/04/11	3,250.05	2,928.70	0.00	321.35	
93 0000	Sale	01/14/11	08/04/11	8,889.85	8,070.95	0.00	818.90	
256 0000	Sale	01/14/11	09/29/11	18,682.83	22,216.81	0.00	(3,533.98)	
158 0000	Sale	01/14/11	09/30/11	11,035.23	13,711.93	0.00	(2,676.70)	
43 0000	Sale	01/18/11	09/30/11	3,003.26	3,751.50	0.00	(748.24)	
				94,694.51	92,198.50	0.00	2,496.01	
Security Subtotal								
STRYKER CORP								
REDEEMED								
341 0000	Sale	05/12/11	08/04/11	17,392.24	21,430.01	0.00	(4,037.77)	
84 0000	Sale	05/12/11	08/11/11	3,888.40	5,278.95	0.00	(1,390.55)	
219 0000	Sale	05/13/11	08/11/11	10,137.65	13,853.46	0.00	(3,715.81)	
387 0000	Sale	05/13/11	08/18/11	17,364.62	24,480.77	0.00	(7,116.15)	
219 0000	Sale	05/16/11	08/18/11	9,826.50	14,002.69	0.00	(4,176.19)	
34 0000	Sale	05/16/11	08/19/11	1,516.46	2,173.93	0.00	(657.47)	
164 0000	Sale	05/19/11	08/19/11	7,314.71	10,495.02	0.00	(3,180.31)	
289 0000	Sale	05/20/11	08/19/11	12,889.97	18,409.04	0.00	(5,519.07)	
				80,330.55	110,123.87	0.00	(29,793.32)	
Security Subtotal								
TEXTRON INC								
REDEEMED								
732 0000	Sale	04/07/11	05/18/11	17,279.92	20,370.75	3,090.83 (W)	0.00	
94 0000	Sale	04/07/11	05/19/11	2,228.90	2,615.92	387.02 (W)	0.00	
330 0000	Sale	04/07/11	05/19/11	7,824.88	9,821.97	1,997.09 (WY)	0.00	
402 0000	Sale	04/07/11	05/23/11	8,989.43	11,964.95	0.00 (Y)	(2,975.52)	
94 0000	Sale	04/07/11	05/23/11	2,102.00	2,787.88	0.00 (Y)	(685.88)	
330 0000	Sale	04/07/11	05/23/11	7,379.38	10,597.25	0.00 (Y)	(3,217.87)	
182 0000	Sale	04/08/11	05/23/11	4,069.85	4,998.21	928.37 (W)	0.00	
70 0000	Sale	04/08/11	05/23/11	1,565.32	1,922.39	357.06 (W)	0.00	
351 0000	Sale	04/08/11	05/23/11	7,848.99	9,639.41	0.00	(1,790.42)	
369 0000	Sale	04/08/11	05/31/11	8,436.66	10,133.73	0.00	(1,697.07)	
447 0000	Sale	04/08/11	06/01/11	10,064.67	12,275.83	0.00	(2,211.16)	
182 0000	Sale	04/08/11	06/01/11	4,097.92	5,576.85	0.00 (Y)	(1,478.93)	

State ment c



Taxpayer No.
59-2891582

Page

1

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TEXTRON INC									
REDEEMED									
70 0000	Sale	04/08/11		06/01/11	1,576.13	2,181.34	0.00 (Y)	(605.21)	
34 0000	Sale	04/11/11		06/01/11	765.55	924.65	0.00	(159.10)	
Security Subtotal					84,229.60	105,811.13	6,760.37	(14,821.16)	
WATERS CORP									
REDEEMED									
149 0000	Sale	02/10/11		12/12/11	10,932.92	11,798.98	0.00	(866.06)	
193 0000	Sale	02/10/11		12/13/11	14,083.75	15,283.25	0.00	(1,199.50)	
25 0000	Sale	02/11/11		12/13/11	1,824.32	1,988.09	0.00	(163.77)	
Security Subtotal					26,840.99	29,070.32	0.00	(2,229.33)	
WAL-MART STORES INC									
REDEEMED									
1488 0000	Sale	06/23/11		12/05/11	86,690.26	78,935.87	0.00	7,754.39	
YUM BRANDS INC									
REDEEMED									
441 0000	Sale	05/20/11		09/30/11	21,838.99	24,831.83	0.00	(2,992.84)	
28 0000	Sale	05/23/11		09/30/11	1,386.61	1,565.70	0.00	(179.09)	
Security Subtotal					23,225.60	26,397.53	0.00	(3,171.93)	
Covered Short Term Capital Gains and Losses Subtotal					1,456,713.93	1,696,419.03	7,798.74	(231,906.36)	

NONCOVERED TRANSACTIONS

ADOBE SYS DEL PV\$ 0 001									
REDEEMED									
261 0000	Sale	11/11/10		04/26/11	8,627.86	7,786.33	0.00	841.53	
599 0000	Sale	11/12/10		04/26/11	19,801.13	17,674.81	0.00	2,126.32	
Security Subtotal					28,428.99	25,461.14	0.00	2,967.85	
AMERICAN TOWER CORP CL A									
REDEEMED									
573.0000	Sale	04/08/10		01/18/11	28,378.77	24,028.07	0.00	4,350.70	
140 0000	Sale	04/08/10		01/19/11	6,856.15	5,870.73	0.00	985.42	
489 0000	Sale	04/08/10		02/18/11	26,420.06	20,505.63	0.00	5,914.43	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMERICAN TOWER CORP CL A									
REDEEMED									
46,000	Sale	04/08/10	029912201	04/07/11	2,334.18	1,928.95	0.00	405.23	
277,000	Sale	04/09/10		04/07/11	14,055.85	11,788.57	0.00	2,267.28	
477,000	Sale	04/09/10		04/08/11	24,058.88	20,300.16	0.00	3,758.72	
77,000	Sale	06/04/10		04/08/11	3,883.73	3,193.54	0.00	690.19	
494,000	Sale	06/04/10		04/11/11	24,811.86	20,488.40	0.00	4,323.46	
Security Subtotal					130,799.48	108,104.05	0.00	22,695.43	
ALLEGHENY TECH INC									
REDEEMED									
87,000	Sale	10/18/10	01741R102	10/04/11	2,796.65	4,150.89	0.00	(1,354.24)	
409,000	Sale	10/19/10		10/04/11	13,147.52	19,096.25	0.00	(5,948.73)	
131,000	Sale	10/19/10		10/05/11	4,315.77	6,116.40	0.00	(1,800.63)	
Security Subtotal					20,259.94	29,363.54	0.00	(9,103.60)	
BORG WARNER INC COM									
REDEEMED									
120,000	Sale	07/14/10	099724106	05/16/11	8,816.54	5,100.86	0.00	3,715.68	
186,000	Sale	07/14/10		05/17/11	13,375.52	7,906.34	0.00	5,469.18	
280,000	Sale	07/14/10		05/24/11	18,612.50	11,902.02	0.00	6,710.48	
67,000	Sale	08/11/10		05/24/11	4,453.71	3,062.13	0.00	1,391.58	
36,000	Sale	08/11/10		05/25/11	2,402.56	1,645.33	0.00	757.23	
Security Subtotal					47,660.83	29,616.68	0.00	18,044.15	
BOEING COMPANY									
REDEEMED									
151,000	Sale	05/27/10	097023105	03/16/11	10,240.74	9,783.34	0.00	457.40	
CANADIAN NATURAL RES LTD									
REDEEMED									
571,000	Sale	10/21/10	136385101	10/07/11	17,064.92	20,375.74	0.00	(3,310.82)	
98,000	Sale	11/15/10		10/07/11	2,928.83	3,841.25	0.00	(912.42)	
189,000	Sale	11/16/10		10/07/11	5,648.47	7,195.97	0.00	(1,547.50)	
Security Subtotal					25,642.22	31,412.96	0.00	(5,770.74)	

Statement



Taxpayer No.
59-2891582

Page
9

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CHECK POINT SOFTWARE TECH								
REDEEMED								
249 0000 Sale		07/12/10	05/18/11	13,555.87	7,714.77	0.00	5,841.10	
86 0000 Sale		07/12/10	05/19/11	4,707.19	2,664.54	0.00	2,042.65	
88 0000 Sale		07/12/10	05/25/11	4,678.26	2,726.50	0.00	1,951.76	
241 0000 Sale		07/13/10	05/25/11	12,812.09	7,787.75	0.00	5,024.34	
102 0000 Sale		07/13/10	06/22/11	5,471.30	3,296.06	0.00	2,175.24	
309 0000 Sale		07/13/10	06/23/11	16,564.18	9,985.12	0.00	6,579.06	
513 0000 Sale		09/30/10	08/08/11	25,521.83	18,990.49	0.00	6,531.34	
155 0000 Sale		09/30/10	08/09/11	7,521.16	5,737.87	0.00	1,783.29	
Security Subtotal				90,831.88	58,903.10	0.00	31,928.78	
CTRIPO.COM INTL LTD ADR								
REDEEMED								
384 0000 Sale		11/23/10	02/10/11	15,873.99	17,803.66	0.00	(1,929.67)	
72 0000 Sale		11/23/10	02/11/11	2,982.75	3,338.19	0.00	(355.44)	
276 0000 Sale		11/23/10	02/14/11	11,183.85	12,796.38	0.00	(1,612.53)	
79 0000 Sale		11/24/10	02/14/11	3,201.18	3,781.62	0.00	(580.44)	
397 0000 Sale		11/24/10	02/16/11	15,718.48	19,003.83	0.00	(3,285.35)	
Security Subtotal				48,960.25	56,723.68	0.00	(7,763.43)	
CATERPILLAR INC DEL								
REDEEMED								
182 0000 Sale		07/27/10	05/12/11	19,632.60	12,586.30	0.00	7,046.30	
148 0000 Sale		07/27/10	05/12/11	16,019.82	10,235.01	0.00	5,784.81	
27 0000 Sale		07/27/10	05/16/11	2,867.52	1,867.20	0.00	1,000.32	
270 0000 Sale		07/28/10	05/16/11	28,675.23	18,747.58	0.00	9,927.65	
143 0000 Sale		07/28/10	06/13/11	13,669.38	9,929.28	0.00	3,740.10	
Security Subtotal				80,864.55	53,365.37	0.00	27,499.18	
CELGENE CORP COM								
REDEEMED								
764 0000 Sale		05/05/10	02/09/11	38,662.47	45,881.26	0.00	(7,218.79)	
374 0000 Sale		05/06/10	02/09/11	18,926.39	22,377.28	0.00	(3,450.89)	
46 0000 Sale		11/01/10	02/09/11	2,327.85	2,843.68	0.00	(515.83)	
50 0000 Sale		11/01/10	02/10/11	2,546.75	3,090.95	0.00	(544.20)	
196 0000 Sale		11/02/10	02/10/11	9,983.29	12,329.52	0.00	(2,346.23)	
106 0000 Sale		11/03/10	02/10/11	5,399.13	6,630.90	0.00	(1,231.77)	
Security Subtotal				77,845.88	93,153.59	0.00	(15,307.71)	

Statement 2



Taxpayer No.
59-2891582

Page
10

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CAMERON INTL CORP							
REDEEMED	CUSIP Number	13342B105					
453 0000 Sale	08/16/10	05/18/11	21,777.01	17,365.39	0.00	4,411.62	
387 0000 Sale	08/16/10	05/24/11	18,152.39	14,835.34	0.00	3,317.05	
594 0000 Sale	08/16/10	06/01/11	27,978.11	22,770.51	0.00	5,207.60	
Security Subtotal			67,907.51	54,971.24	0.00	12,936.27	
CME GROUP INC							
REDEEMED	CUSIP Number	12572Q105					
83 0000 Sale	12/01/10	10/25/11	21,702.25	24,823.50	0.00	(3,121.25)	
21 0000 Sale	12/01/10	10/26/11	5,519.59	6,280.65	0.00	(761.06)	
78 0000 Sale	12/02/10	10/26/11	20,501.35	24,182.47	0.00	(3,681.12)	
13 0000 Sale	12/02/10	10/27/11	3,553.35	4,030.41	0.00	(477.06)	
Security Subtotal			51,276.54	59,317.03	0.00	(8,040.49)	
CISCO SYSTEMS INC							
COM	CUSIP Number	17275R102					
REDEEMED							
1083 0000 Sale	03/19/10	02/11/11	20,318.20	28,348.82	0.00	(8,030.62)	
2105 0000 Sale	08/10/10	02/11/11	39,491.99	51,361.16	0.00	(11,869.17)	
Security Subtotal			59,810.19	79,709.98	0.00	(19,899.79)	
COCA COLA COM							
REDEEMED	CUSIP Number	191216100					
286 0000 Sale	01/13/10	01/06/11	18,038.61	16,332.80	0.00	1,705.81	
COLGATE PALMOLIVE							
REDEEMED	CUSIP Number	194162103					
367 0000 Sale	01/25/10	01/07/11	28,726.95	29,558.51	0.00	(831.56)	
CORNING INC							
REDEEMED	CUSIP Number	219350105					
2168 0000 Sale	05/28/10	05/18/11	44,065.92	37,811.00	0.00	6,254.92	
350 0000 Sale	06/11/10	05/18/11	7,113.97	6,401.26	0.00	712.71	
1609 0000 Sale	06/11/10	05/19/11	32,305.69	29,427.48	0.00	2,878.21	
Security Subtotal			83,485.58	73,639.74	0.00	9,845.84	

State ment



Taxpayer No.
59-2891582

Page
11

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EOG RESOURCES INC									
REDEEMED									
106 0000	Sale	10/14/10	05/24/11	26875P101	11,271.84	10,477.19	0.00	794.65	
39 0000	Sale	10/15/10	05/24/11		4,147.20	3,900.89	0.00	246.31	
164 0000	Sale	10/15/10	09/22/11		12,746.91	16,403.72	0.00	(3,656.81)	
Security Subtotal					28,165.95	30,781.80	0.00	(2,615.85)	
FREEMPT-MCMRAN CPR & GLD									
REDEEMED									
179 0000	Sale	10/01/10	04/14/11	35671D857	9,329.91	7,924.84	0.00	1,405.07	
562 0000	Sale	10/01/10	05/04/11		28,836.79	24,881.34	0.00	3,955.45	
337 0000	Sale	10/01/10	05/12/11		16,422.20	14,919.95	0.00	1,502.25	
247 0000	Sale	10/01/10	05/23/11		11,667.79	10,935.40	0.00	732.39	
89 0000	Sale	10/01/10	06/23/11		4,247.21	3,940.28	0.00	306.93	
299 0000	Sale	10/26/10	06/23/11		14,268.72	14,384.97	0.00	(116.25)	
255 0000	Sale	10/26/10	09/22/11		8,326.92	12,268.11	0.00	(3,941.19)	
37 0000	Sale	11/01/10	09/22/11		1,208.22	1,772.54	0.00	(564.32)	
1449 0000	Sale	11/01/10	09/29/11		45,524.09	69,416.59	0.00	(23,892.50)	
104 0000	Sale	11/09/10	09/29/11		3,267.43	5,577.03	0.00	(2,309.60)	
296 0000	Sale	11/15/10	09/29/11		9,299.61	15,396.11	0.00	(6,096.50)	
Security Subtotal					152,398.89	181,417.16	0.00	(29,018.27)	
F5 NETWORKS INC									
REDEEMED									
295 0000	Sale	08/17/10	03/22/11	315616102	27,616.36	25,875.19	0.00	1,741.17	
60 0000	Sale	08/18/10	03/22/11		5,616.89	5,314.46	0.00	302.43	
Security Subtotal					33,233.25	31,189.65	0.00	2,043.60	
LABORATORY CP AMER HLDGS									
REDEEMED									
493 0000	Sale	05/19/10	01/21/11	50540R409	44,243.83	38,238.31	0.00	6,005.52	
39 0000	Sale	05/19/10	01/24/11		3,504.81	3,024.94	0.00	479.87	
130 0000	Sale	05/20/10	01/24/11		11,682.73	9,922.08	0.00	1,760.65	
Security Subtotal					59,431.37	51,185.33	0.00	8,246.04	
MCDONALDS CORP									
REDEEMED									
307 0000	Sale	06/08/10	01/06/11	580135101	22,896.29	20,765.70	0.00	2,130.59	
141 0000	Sale	06/08/10	01/06/11		10,515.89	9,537.34	0.00	978.55	
197 0000	Sale	06/08/10	01/11/11		14,572.81	13,325.22	0.00	1,247.59	

State ment



Taxpayer No.
59-2891582

Page
12

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MCDONALDS CORP COM								
		CUSIP Number	580135101					
489.0000	Sale	06/08/10	01/12/11	35,998.69	33,076.30	0.00	2,922.39	
282.0000	Sale	06/08/10	01/13/11	20,593.49	19,074.68	0.00	1,518.81	
Security Subtotal				104,577.17	95,779.24	0.00	8,797.93	
NETFLIX COM INC								
		CUSIP Number	64110L106					
83.0000	Sale	08/06/10	02/14/11	20,411.88	9,768.98	0.00	10,642.90	
88.0000	Sale	08/06/10	03/01/11	17,989.18	10,357.47	0.00	7,631.71	
90.0000	Sale	08/06/10	03/09/11	17,360.67	10,592.86	0.00	6,767.81	
132.0000	Sale	08/06/10	06/07/11	35,049.59	15,536.20	0.00	19,513.39	
63.0000	Sale	08/06/10	06/16/11	15,884.14	7,415.01	0.00	8,469.13	
51.0000	Sale	08/06/10	07/05/11	14,657.49	6,002.62	0.00	8,654.87	
7.0000	Sale	08/06/10	07/13/11	2,082.19	823.89	0.00	1,258.30	
49.0000	Sale	09/01/10	07/13/11	14,575.37	6,495.47	0.00	8,079.90	
139.0000	Sale	12/09/10	09/29/11	15,489.78	26,382.66	0.00	(10,892.88)	
Security Subtotal				153,500.29	93,375.16	0.00	60,125.13	
NETAPP INC								
		CUSIP Number	64110D104					
167.0000	Sale	09/15/10	04/04/11	7,616.20	8,215.57	0.00	(599.37)	
1416.0000	Sale	09/15/10	04/05/11	65,236.14	69,660.12	0.00	(4,423.98)	
Security Subtotal				72,852.34	77,875.69	0.00	(5,023.35)	
NORDSTROM INC								
		CUSIP Number	655664100					
325.0000	Sale	09/14/10	05/18/11	14,995.08	11,476.72	0.00	3,518.36	
516.0000	Sale	09/14/10	06/23/11	23,494.78	18,221.51	0.00	5,273.27	
109.0000	Sale	09/14/10	06/24/11	4,916.44	3,849.12	0.00	1,067.32	
467.0000	Sale	09/15/10	06/24/11	21,064.06	16,550.16	0.00	4,513.90	
65.0000	Sale	09/15/10	06/27/11	2,918.15	2,303.55	0.00	614.60	
Security Subtotal				67,388.51	52,401.06	0.00	14,987.45	

Statement e

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PRICELINE COM INC								
REDEEMED								
35 0000	Sale	07/13/10	01/11/11	15,144.74	7,450.98	0.00	7,693.76	
64 0000	Sale	07/13/10	02/04/11	27,886.13	13,624.65	0.00	14,261.48	
141 0000	Sale	07/13/10	02/24/11	64,554.10	30,016.82	0.00	34,537.28	
Security Subtotal				107,584.97	51,092.45	0.00	56,492.52	
POTASH CORP SASKATCHEWAN								
REDEEMED								
221 0000	Sale	11/04/10	03/14/11	11,912.58	10,345.66	0.00	1,566.92	
382 0000	Sale	11/04/10	05/12/11	19,550.11	17,882.54	0.00	1,667.57	
621 0000	Sale	11/04/10	08/19/11	31,711.75	29,070.83	0.00	2,640.92	
339 0000	Sale	12/16/10	08/19/11	17,311.25	15,785.55	0.00	1,525.70	
270 0000	Sale	12/16/10	08/22/11	13,956.87	12,572.56	0.00	1,384.31	
Security Subtotal				94,442.56	85,657.14	0.00	8,785.42	
SANDISK CORP INC								
REDEEMED								
527 0000	Sale	12/06/10	06/23/11	22,515.85	25,136.00	0.00	(2,620.15)	
345 0000	Sale	12/07/10	06/23/11	14,739.98	16,689.17	0.00	(1,949.19)	
370 0000	Sale	12/07/10	06/24/11	15,232.38	17,898.53	0.00	(2,666.15)	
Security Subtotal				52,488.21	59,723.70	0.00	(7,235.49)	
SALESFORCE COM INC								
REDEEMED								
141 0000	Sale	05/21/10	05/18/11	18,534.19	11,555.68	0.00	6,978.51	
1 0000	Sale	11/09/10	07/28/11	145.18	114.25	0.00	30.93	
150 0000	Sale	11/09/10	07/29/11	21,628.58	17,138.16	0.00	4,490.42	
Security Subtotal				40,307.95	28,808.09	0.00	11,499.86	
STARBUCKS CORP								
REDEEMED								
396 0000	Sale	05/10/10	01/06/11	12,630.65	10,578.98	0.00	2,051.67	
TEXAS INSTRUMENTS								
REDEEMED								
755 0000	Sale	10/04/10	02/09/11	26,517.51	20,676.05	0.00	5,841.46	
1216 0000	Sale	10/04/10	02/10/11	42,553.83	33,300.77	0.00	9,253.06	
Security Subtotal				69,071.34	53,976.82	0.00	15,094.52	

State ment C



Taxpayer No.
59-2891582

Page
14

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TARGET CORP REDEEMED	COM			87612E106					
107.0000	Sale	07/26/10	03/07/11		5,496.39	5,615.51	0.00	(119.12)	
294.0000	Sale	07/26/10	03/08/11		15,116.63	15,429.53	0.00	(312.90)	
Security Subtotal					20,613.02	21,045.04	0.00	(432.02)	
VISA INC CL A SHRS REDEEMED				92826C839					
121.0000	Sale	05/19/10	01/13/11		8,670.71	8,853.88	0.00	(183.17)	
Noncovered Short Term Capital Gains and Losses Subtotal					1,948,137.32	1,743,157.94	0.00	204,979.38	
NET SHORT TERM CAPITAL GAINS AND LOSSES					3,404,851.25	3,439,576.97	7,798.74	(26,926.98)	

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ADOBE SYS DEL PV\$ 0.001 REDEEMED				00724F101					
464.0000	Sale	05/01/09	03/15/11		15,367.61	12,809.37	0.00	2,558.24	
380.0000	Sale	05/01/09	04/25/11		12,638.67	10,490.44	0.00	2,148.23	
344.0000	Sale	07/20/09	04/25/11		11,441.33	10,745.60	0.00	695.73	
444.0000	Sale	07/20/09	04/26/11		14,677.29	13,869.31	0.00	807.98	
Security Subtotal					54,124.90	47,914.72	0.00	6,210.18	
AMAZON COM INC COM REDEEMED				023135106					
78.0000	Sale	02/20/08	03/01/11		13,215.80	5,701.60	0.00	7,514.20	
100.0000	Sale	02/20/08	05/17/11		19,275.49	7,309.74	0.00	11,965.75	
57.0000	Sale	02/20/08	07/13/11		12,249.13	4,166.55	0.00	8,082.58	
156.0000	Sale	02/20/08	07/27/11		34,927.37	11,403.20	0.00	23,524.17	
279.0000	Sale	02/20/08	10/26/11		56,339.62	20,394.18	0.00	35,945.44	
22.0000	Sale	02/12/09	10/26/11		4,442.55	1,384.68	0.00	3,057.87	
130.0000	Sale	07/24/09	10/26/11		26,251.44	11,202.71	0.00	15,048.73	
26.0000	Sale	07/24/09	11/22/11		4,963.10	2,240.54	0.00	2,722.56	

Statement



Taxpayer No.
59-2891582

Page
15

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMAZON COM INC COM								
REDEEMED								
103 0000	Sale	09/09/10	11/22/11	19,661.55	14,387.03	0.00	5,274.52	
23 0000	Sale	09/14/10	11/22/11	4,390.45	3,362.21	0.00	1,028.24	
Security Subtotal				195,716.50	81,552.44	0.00	114,164.06	
ALLERGAN INC								
REDEEMED								
45 0000	Sale	11/02/09	05/18/11	3,778.85	2,553.53	0.00	1,225.32	
153 0000	Sale	11/02/09	05/19/11	12,830.54	8,681.98	0.00	4,148.56	
36 0000	Sale	11/04/09	05/19/11	3,018.96	2,090.67	0.00	928.29	
188 0000	Sale	11/04/09	05/24/11	15,440.08	10,917.95	0.00	4,522.13	
Security Subtotal				35,068.43	24,244.13	0.00	10,824.30	
ALLEGHENY TECH INC								
REDEEMED								
554 0000	Sale	05/05/10	05/18/11	36,953.03	27,977.11	0.00	8,975.92	
493 0000	Sale	05/05/10	05/19/11	32,406.64	24,896.60	0.00	7,510.04	
257 0000	Sale	05/05/10	06/23/11	15,405.87	12,978.55	0.00	2,427.32	
2 0000	Sale	05/05/10	06/24/11	121.61	101.00	0.00	20.61	
272 0000	Sale	05/05/10	09/22/11	10,330.47	13,736.05	0.00	(3,405.58)	
31 0000	Sale	05/05/10	10/04/11	996.51	1,565.51	0.00	(569.00)	
192 0000	Sale	05/10/10	10/04/11	6,171.93	10,465.46	0.00	(4,293.53)	
Security Subtotal				102,386.06	91,720.28	0.00	10,665.78	
AMGEN INC COM PV \$0.0001								
REDEEMED								
205 0000	Sale	06/29/09	03/31/11	10,991.85	10,824.37	0.00	167.48	
240 0000	Sale	06/29/09	04/01/11	12,818.77	12,672.43	0.00	146.34	
128 0000	Sale	01/27/10	04/01/11	6,836.69	7,294.08	0.00	(457.39)	
327 0000	Sale	01/27/10	04/15/11	18,195.86	18,634.10	0.00	(438.24)	
169 0000	Sale	02/09/10	04/15/11	9,403.98	9,735.28	0.00	(331.30)	
164 0000	Sale	02/09/10	04/18/11	9,018.71	9,447.25	0.00	(428.54)	
Security Subtotal				67,265.86	68,607.51	0.00	(1,341.65)	

Statement + a



Taxpayer No.
59-2891582

Page
16

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BOEING COMPANY REDEEMED									
413 0000	Sale	05/27/10	06/23/11	097023105	29,433.03	26,758.39	0.00	2,674.64	
223 0000	Sale	05/27/10	09/22/11		12,920.91	14,448.24	0.00	(1,527.33)	
8.0000	Sale	05/27/10	09/27/11		507.27	518.32	0.00	(11.05)	
499 0000	Sale	06/11/10	09/27/11		31,641.44	32,353.16	0.00	(711.72)	
Security Subtotal					74,502.65	74,078.11	0.00	424.54	
CITRIX SYSTEMS INC COM REDEEMED									
77 0000	Sale	10/09/09	05/18/11	177376100	6,282.09	3,214.75	0.00	3,067.34	
256 0000	Sale	10/09/09	05/20/11		21,580.36	10,688.00	0.00	10,892.36	
317 0000	Sale	10/09/09	06/17/11		23,577.41	13,234.75	0.00	10,342.66	
302 0000	Sale	10/09/09	08/11/11		18,765.21	12,608.50	0.00	6,156.71	
22 0000	Sale	10/23/09	08/11/11		1,367.01	866.29	0.00	500.72	
84 0000	Sale	10/23/09	08/12/11		5,163.96	3,307.67	0.00	1,856.29	
108 0000	Sale	10/23/09	10/27/11		8,176.96	4,252.72	0.00	3,924.24	
58 0000	Sale	10/23/09	10/27/11		4,363.45	2,283.86	0.00	2,079.59	
Security Subtotal					89,276.45	50,456.54	0.00	38,819.91	
CANADIAN NATURAL RES LTD REDEEMED									
154 0000	Sale	02/12/09	04/14/11	136385101	6,824.60	2,632.58	0.00	4,192.02	
512 0000	Sale	02/12/09	05/12/11		21,346.05	8,752.46	0.00	12,593.59	
514 0000	Sale	02/12/09	08/11/11		18,414.16	8,786.65	0.00	9,627.51	
1099 0000	Sale	02/12/09	10/06/11		33,110.58	18,787.03	0.00	14,323.55	
1 0000	Sale	02/12/09	10/07/11		29.88	17.09	0.00	12.79	
Security Subtotal					79,725.27	38,975.81	0.00	40,749.46	
CHECK POINT SOFTWARE TECH REDEEMED									
233 0000	Sale	07/13/10	08/05/11	M22465104	12,239.03	7,529.23	0.00	4,709.80	
288 0000	Sale	07/13/10	08/08/11		14,328.03	9,306.51	0.00	5,021.52	
Security Subtotal					26,567.06	16,835.74	0.00	9,731.32	
CATERPILLAR INC DEL REDEEMED									
326 0000	Sale	07/28/10	08/15/11	149123101	29,681.96	22,635.97	0.00	7,045.99	

Statement



Taxpayer No.
59-2891582

Page
17

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
COCA COLA COM									
REDEEMED									
477 0000	Sale	01/13/10	04/28/11	191216100	32,073.96	27,240.38	0.00	4,833.58	
97 0000	Sale	01/13/10	12/22/11		6,705.52	5,539.45	0.00	1,166.07	
339 0000	Sale	01/28/10	12/22/11		23,434.80	18,459.09	0.00	4,975.71	
Security Subtotal					62,214.28	51,238.92	0.00	10,975.36	
COLGATE PALMOLIVE									
REDEEMED									
283 0000	Sale	01/25/10	03/17/11	194162103	21,711.93	22,793.08	0.00	(1,081.15)	
98 0000	Sale	01/25/10	03/18/11		7,529.25	7,893.01	0.00	(363.76)	
Security Subtotal					29,241.18	30,686.09	0.00	(1,444.91)	
CUMMINS INC									
REDEEMED									
104 0000	Sale	05/01/09	05/12/11	231021106	11,795.26	3,539.93	0.00	8,255.33	
60 0000	Sale	07/21/09	05/12/11		6,804.96	2,442.13	0.00	4,362.83	
111 0000	Sale	05/13/11	07/21/09		12,444.13	4,517.94	0.00	7,926.19	
220 0000	Sale	07/21/09	05/16/11		24,325.70	8,954.49	0.00	15,371.21	
35 0000	Sale	07/21/09	05/17/11		3,814.45	1,424.58	0.00	2,389.87	
153 0000	Sale	07/21/09	05/23/11		15,852.88	6,227.43	0.00	9,625.45	
116 0000	Sale	04/29/10	05/23/11		12,019.18	8,813.08	0.00	3,206.10	
147 0000	Sale	04/29/10	08/09/11		13,295.35	11,168.29	0.00	2,127.06	
150 0000	Sale	04/29/10	08/10/11		13,155.98	11,396.22	0.00	1,759.76	
Security Subtotal					113,507.89	58,484.09	0.00	55,023.80	
DANAHER CORP DEL									
REDEEMED									
511 0000	Sale	02/12/09	01/27/11	235851102	23,800.59	14,133.65	0.00	9,666.94	
497 0000	Sale	02/12/09	01/28/11		22,925.28	13,746.43	0.00	9,178.85	
458 0000	Sale	02/12/09	01/31/11		21,075.07	12,667.73	0.00	8,407.34	
Security Subtotal					67,800.94	40,547.81	0.00	27,253.13	
DENBURY RES INC									
REDEEMED									
1730 0000	Sale	03/10/10	04/28/11	247916208	37,524.19	27,713.39	0.00	9,810.80	
E M C CORPORATION MASS									
REDEEMED									
773 0000	Sale	04/09/09	06/10/11	268648102	20,459.76	10,223.70	0.00	10,236.06	

Statement



Taxpayer No.
59-2891582

Page
18

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EXPRESS SCRIPTS INC COM REDEEMED	CUSIP Number	302182100					
297 0000 Sale	06/14/10	08/04/11	15,139.94	15,860.28	0.00	(720.34)	
EMERSON ELEC CO REDEEMED	CUSIP Number	291011104					
335 0000 Sale	04/09/09	05/12/11	18,193.06	10,702.38	0.00	7,490.68	
329 0000 Sale	04/30/09	05/12/11	17,867.22	11,169.12	0.00	6,698.10	
585 0000 Sale	09/10/09	05/12/11	31,769.99	23,105.57	0.00	8,664.42	
Security Subtotal			67,830.27	44,977.07	0.00	22,853.20	
JPMORGAN CHASE & CO REDEEMED	CUSIP Number	46625H100					
559 0000 Sale	03/13/09	06/23/11	22,267.45	13,322.65	0.00	8,944.80	
337 0000 Sale	06/10/09	06/23/11	13,424.20	11,904.93	0.00	1,519.27	
571 0000 Sale	04/15/10	06/23/11	22,745.47	27,378.71	0.00	(4,633.24)	
Security Subtotal			58,437.12	52,606.29	0.00	5,830.83	
MEAD JOHNSON NUTRITION CO REDEEMED	CUSIP Number	582839106					
227 0000 Sale	12/18/09	09/30/11	15,692.73	9,467.47	0.00	6,225.26	
NETFLIX COM INC REDEEMED	CUSIP Number	64110L106					
66 0000 Sale	09/01/10	09/15/11	11,271.18	8,749.01	0.00	2,522.17	
92 0000 Sale	09/01/10	09/29/11	10,252.22	12,195.58	0.00	(1,943.36)	
Security Subtotal			21,523.40	20,944.59	0.00	578.81	
ORACLE CORP \$0.01 DEL REDEEMED	CUSIP Number	68389X105					
255 0000 Sale	08/24/06	05/18/11	8,599.91	3,933.58	0.00	4,666.33	
894 0000 Sale	08/24/06	05/23/11	29,780.27	13,790.67	0.00	15,989.60	
Security Subtotal			38,380.18	17,724.25	0.00	20,655.93	
PROCTER & GAMBLE CO REDEEMED	CUSIP Number	742718109					
129 0000 Sale	06/25/09	09/26/11	8,070.01	6,687.25	0.00	1,382.76	
2 0000 Sale	07/07/09	09/26/11	125.12	104.46	0.00	20.66	
134 0000 Sale	07/07/09	09/27/11	8,444.13	6,998.48	0.00	1,445.65	
657 0000 Sale	07/07/09	10/28/11	42,429.04	34,313.47	0.00	8,115.57	

Statement



Taxpayer No.
59-2891582

Page
19

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PROCTER & GAMBLE CO REDEEMED									
36 0000	Sale	07/07/09	742718109	12/05/11	2,330.77	1,880.19	0.00	450.58	
452 0000	Sale	07/08/09		12/05/11	29,264.23	23,758.93	0.00	5,505.30	
Security Subtotal					90,663.30	73,742.78	0.00	16,920.52	
RANGE RESOURCES CORP DEL REDEEMED									
346 0000	Sale	02/12/09	75281A109	05/18/11	18,278.59	12,915.66	0.00	5,362.93	
ROCKWELL COLLINS INC REDEEMED									
254 0000	Sale	03/09/10	774341101	03/18/11	15,879.70	15,391.05	0.00	488.65	
117 0000	Sale	03/09/10		03/23/11	7,422.30	7,089.58	0.00	332.72	
335 0000	Sale	03/09/10		03/24/11	21,259.33	20,299.23	0.00	960.10	
212 0000	Sale	03/09/10		08/09/11	10,179.96	12,846.08	0.00	(2,666.12)	
132 0000	Sale	03/09/10		08/10/11	6,109.45	7,998.50	0.00	(1,889.05)	
481 0000	Sale	04/28/10		08/10/11	22,262.47	31,151.39	0.00	(8,888.92)	
147 0000	Sale	04/28/10		08/11/11	6,513.56	9,520.28	0.00	(3,006.72)	
4 0000	Sale	04/29/10		08/11/11	177.24	264.56	0.00	(87.32)	
Security Subtotal					89,804.01	104,560.67	0.00	(14,756.66)	
SCHLUMBERGER LTD REDEEMED									
217 0000	Sale	01/11/10	806857108	05/12/11	17,885.86	15,280.51	0.00	2,605.35	
SALESFORCE COM INC REDEEMED									
149 0000	Sale	05/21/10	79466L302	05/23/11	21,682.54	12,211.33	0.00	9,471.21	
6 0000	Sale	05/21/10		05/24/11	885.91	491.73	0.00	394.18	
188 0000	Sale	05/21/10		07/21/11	27,772.82	15,407.58	0.00	12,365.24	
132 0000	Sale	05/21/10		07/27/11	19,261.94	10,818.09	0.00	8,443.85	
22 0000	Sale	05/21/10		07/28/11	3,193.90	1,803.01	0.00	1,390.89	
Security Subtotal					72,797.11	40,731.74	0.00	32,065.37	

Statement C



Taxpayer No.
59-2891582

Page
20

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SOUTHWESTERN ENERGY CO									
REDEEMED			CUSIP Number	845467109					
753 0000 Sale		12/18/09		02/09/11	28,149.46	35,739.26	0.00	(7,589.80)	
201 0000 Sale		12/21/09		02/09/11	7,514.01	9,755.79	0.00	(2,241.78)	
163 0000 Sale		12/21/09		02/10/11	6,031.71	7,911.42	0.00	(1,879.71)	
Security Subtotal					41,695.18	53,406.47	0.00	(11,711.29)	
STARBUCKS CORP									
REDEEMED			CUSIP Number	855244109					
807 0000 Sale		05/10/10		05/18/11	28,939.27	21,558.68	0.00	7,380.59	
TARGET CORP									
COM			CUSIP Number	87612E106					
REDEEMED									
286 0000 Sale		10/09/09		03/07/11	14,691.27	14,271.40	0.00	419.87	
254 0000 Sale		11/18/09		03/07/11	13,047.50	12,234.80	0.00	812.70	
528 0000 Sale		01/22/10		03/07/11	27,122.37	26,759.04	0.00	363.33	
Security Subtotal					54,861.14	53,265.24	0.00	1,595.90	
3M COMPANY									
REDEEMED			CUSIP Number	88579Y101					
162 0000 Sale		12/14/09		01/31/11	14,226.38	13,272.85	0.00	953.53	
555 0000 Sale		12/14/09		01/31/11	48,738.56	45,437.29	0.00	3,301.27	
94 0000 Sale		12/14/09		12/02/11	7,513.85	7,695.69	0.00	(181.84)	
107 0000 Sale		06/10/10		12/02/11	8,553.00	8,277.34	0.00	275.66	
416 0000 Sale		06/10/10		12/05/11	33,773.89	32,181.05	0.00	1,592.84	
Security Subtotal					112,805.68	106,864.22	0.00	5,941.46	
UNITEDHEALTH GROUP INC									
REDEEMED			CUSIP Number	91324P102					
88 0000 Sale		12/16/09		05/18/11	4,452.75	2,819.12	0.00	1,633.63	
364 0000 Sale		12/16/09		05/19/11	18,375.72	11,660.92	0.00	6,714.80	
315 0000 Sale		12/16/09		11/07/11	14,267.27	10,091.19	0.00	4,176.08	
634 0000 Sale		01/21/10		11/07/11	28,715.72	21,659.72	0.00	7,056.00	
Security Subtotal					65,811.46	46,230.95	0.00	19,580.51	

State ment



Taxpayer No.
59-2891582

Page
2-1

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
V F CORPORATION REDEEMED								
132.0000	Sale	04/22/10	09/16/11	16,390.82	11,458.51	0.00	4,932.31	
24.0000	Sale	04/22/10	11/04/11	3,276.26	2,083.37	0.00	1,192.89	
94.0000	Sale	04/23/10	11/04/11	12,832.05	8,128.66	0.00	4,703.39	
Security Subtotal				32,499.13	21,670.54	0.00	10,828.59	
VISA INC CL A SHRS REDEEMED								
854.0000	Sale	04/13/09	01/13/11	61,196.51	51,834.38	0.00	9,362.13	
Noncovered Long Term Capital Gains and Losses Subtotal				1,989,304.26	1,499,557.04	0.00	489,747.22	
NET LONG TERM CAPITAL GAINS AND LOSSES				1,989,304.26	1,499,557.04	0.00	489,747.22	

Statement



Taxpayer No.
59-2891582

Page
1

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
COVERED TRANSACTIONS								
ALPHA NATURAL RESOURCES INC								
REDEEMED								
321 0000 Sale		04/28/11	08/09/11	9,150.66	18,090.50	0.00	(8,939.84)	
334 0000 Sale		04/29/11	08/09/11	9,521.26	19,009.68	0.00	(9,488.42)	
Security Subtotal				18,671.92	37,100.18	0.00	(18,428.26)	
AMERIPRISE FINL INC								
REDEEMED								
375 0000 Sale		02/04/11	08/24/11	16,185.84	21,793.31	5,607.47 (W)	0.00	
43 0000 Sale		02/04/11	08/24/11	1,855.98	2,498.97	0.00	(642.99)	
77 0000 Sale		08/09/11	08/24/11	3,323.50	3,290.56	0.00	32.94	
375 0000 Sale		02/04/11	08/25/11	16,208.32	21,632.95	0.00 (Y)	(5,424.63)	
Security Subtotal				37,573.64	49,215.79	5,607.47	(6,034.68)	
BASF SE SPONSORED ADR								
REDEEMED								
283 0000 Sale		03/25/11	09/12/11	16,716.57	23,606.33	0.00	(6,889.76)	
188 0000 Sale		04/07/11	09/12/11	11,105.01	17,010.13	0.00	(5,905.12)	
Security Subtotal				27,821.58	40,616.46	0.00	(12,794.88)	
COMCAST CORP NEW CL A								
REDEEMED								
536 0000 Sale		04/28/11	10/24/11	13,200.20	14,013.40	0.00	(813.20)	

Statement



Taxpayer No.
59-2891582

Page
2

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CITIGROUP INC COM NEW CASH IN LIEU									
	Cash/Lieu	02/22/11	05/16/11	172967424	8.40	9.47	0.00	(1.07)	
734 0000	Sale	02/22/11	08/09/11		22,149.93	34,781.44	0.00	(12,631.51)	
995.0000	Sale	05/10/11	08/09/11		30,026.14	44,043.68	0.00	(14,017.54)	
Security Subtotal					52,184.47	78,834.59	0.00	(26,650.12)	
HSBC HLDG PLC SP ADR REDEEMED									
305 0000	Sale	03/15/11	09/12/11	404280406	11,834.56	16,004.11	0.00	(4,169.55)	
295 0000	Sale	03/16/11	09/12/11		11,446.55	14,892.63	0.00	(3,446.08)	
Security Subtotal					23,281.11	30,896.74	0.00	(7,615.63)	
HUNTINGTON INGALLS INDS INC REDEEMED									
53 0000	Sale	01/26/11	04/06/11	446413106	2,118.77	2,123.27	0.00	(4.50)	
	Cash/Lieu	01/26/11	04/14/11		19.23	20.04	0.00	(0.81)	
Security Subtotal					2,138.00	2,143.31	0.00	(5.31)	
ILLINOIS TOOL WORKS INC REDEEMED									
407 0000	Sale	01/26/11	08/24/11	452308109	18,203.45	22,560.13	0.00	(4,356.68)	
115 0000	Sale	03/16/11	08/24/11		5,143.49	6,163.67	0.00	(1,020.18)	
608.0000	Sale	03/16/11	08/25/11		27,002.28	32,587.03	0.00	(5,584.75)	
Security Subtotal					50,349.22	61,310.83	0.00	(10,961.61)	
NORTHROP GRUMMAN CORP REDEEMED									
183 0000	Sale	01/26/11	08/24/11	666807102	9,646.56	11,440.17	0.00	(1,793.61)	
138.0000	Sale	01/26/11	08/25/11		7,184.17	8,627.01	0.00	(1,442.84)	
Security Subtotal					16,830.73	20,067.18	0.00	(3,236.45)	
PPG INDUSTRIES INC SHS REDEEMED									
268 0000	Sale	08/09/11	12/14/11	693506107	21,593.56	20,030.61	0.00	1,562.95	

Statement D



Taxpayer No.
59-2891582

Page
3

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
RESEARCH IN MOTION LTD									
REDEEMED									
472.0000	Sale	01/03/11	760975102	04/06/11	26,273.46	27,796.60	0.00	(1,523.14)	
25.0000	Sale	01/04/11		04/06/11	1,391.61	1,484.75	0.00	(93.14)	
Security Subtotal					27,665.07	29,281.35	0.00	(1,616.28)	
SUNTRUST BKS INC COM									
REDEEMED									
493.0000	Sale	04/28/11	867914103	08/24/11	9,174.65	13,818.44	0.00	(4,643.79)	
148.0000	Sale	04/29/11		08/24/11	2,754.26	4,169.10	0.00	(1,414.84)	
551.0000	Sale	04/29/11		08/25/11	10,733.55	15,521.45	0.00	(4,787.90)	
Security Subtotal					22,662.46	33,508.99	0.00	(10,846.53)	
TYCO INTL LTD NAMED-AKT									
REDEEMED									
616.0000	Sale	08/25/11	H89128104	12/14/11	27,750.21	24,856.46	0.00	2,893.75	
US BANCORP (NEW)									
REDEEMED									
170.0000	Sale	08/09/11	902973304	10/25/11	4,284.49	3,775.80	0.00	508.69	
ACE LIMITED									
REDEEMED									
231.0000	Sale	04/28/11	H0023R105	12/14/11	15,432.35	15,545.65	0.00	(113.30)	
20.0000	Sale	04/29/11		12/14/11	1,336.14	1,350.03	0.00	(13.89)	
Security Subtotal					16,768.49	16,895.68	0.00	(127.19)	
Covered Short Term Capital Gains and Losses Subtotal					362,775.15	462,547.37	5,607.47	(94,164.75)	

NONCOVERED TRANSACTIONS

ABBOTT LABS									
REDEEMED									
310.0000	Sale	06/21/10	002824100	06/20/11	16,093.93	15,161.39	0.00	932.54	

State ment + D



Taxpayer No.
59-2891582

Page

4

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BEST BUY CO INC REDEEMED								
238.0000	Sale	05/21/10	03/25/11	6,852.41	9,897.87	0.00	(3,045.46)	
25.0000	Sale	06/08/10	03/25/11	719.80	966.50	0.00	(246.70)	
Security Subtotal				7,572.21	10,864.37	0.00	(3,292.16)	
CITIGROUP INC COM NEW REDEEMED								
629.0000	Sale	12/17/10	08/09/11	18,981.34	29,312.66	0.00	(10,331.32)	
CISCO SYSTEMS INC COM REDEEMED								
63.0000	Sale	06/08/10	03/25/11	1,084.99	1,443.33	0.00	(358.34)	
HEWLETT PACKARD CO DEL REDEEMED								
26.0000	Sale	06/08/10	04/06/11	1,070.08	1,189.76	0.00	(119.68)	
INTEL CORP REDEEMED								
55.0000	Sale	06/08/10	02/15/11	1,178.36	1,107.70	0.00	70.66	
KELLOGG CO REDEEMED								
176.0000	Sale	03/05/10	02/04/11	9,414.15	9,305.07	0.00	109.08	
88.0000	Sale	08/13/10	02/04/11	4,707.08	4,478.00	0.00	229.08	
Security Subtotal				14,121.23	13,783.07	0.00	338.16	
KIMBERLY CLARK REDEEMED								
237.0000	Sale	06/01/10	02/25/11	15,387.09	14,551.32	0.00	835.77	
162.0000	Sale	06/21/10	02/25/11	10,517.76	10,224.57	0.00	293.19	
90.0000	Sale	08/24/10	02/25/11	5,843.21	5,841.13	0.00	2.08	
Security Subtotal				31,748.06	30,617.02	0.00	1,131.04	
MASTERCARD INC REDEEMED								
5.0000	Sale	06/08/10	01/03/11	1,101.74	988.80	0.00	112.94	

Statement D



Taxpayer No.
59-2891582

Page
5

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PACCAR INC REDEEMED								
231 0000	Sale	05/21/10	01/26/11	12,998.79	9,199.55	0.00	3,799.24	
PARKER HANNIFIN CORP REDEEMED								
354 0000	Sale	08/13/10	01/26/11	31,013.41	22,519.43	0.00	8,493.98	
PUB SVC ENTERPRISE GRP REDEEMED								
577 0000	Sale	07/28/10	02/16/11	18,579.39	19,845.40	0.00	(1,266.01)	
ROGERS COMMUNICATIONS B REDEEMED								
180 0000	Sale	02/26/10	02/15/11	6,329.50	5,935.95	0.00	393.55	
249 0000	Sale	02/26/10	02/16/11	8,665.73	8,211.40	0.00	454.33	
12 0000	Sale	06/08/10	02/16/11	417.62	409.92	0.00	7.70	
104.0000	Sale	08/13/10	02/16/11	3,619.43	3,667.02	0.00	(47.59)	
Security Subtotal				19,032.28	18,224.29	0.00	807.99	
STAPLES INC REDEEMED								
14 0000	Sale	06/08/10	03/15/11	278.55	296.52	0.00	(17.97)	
TYCO INTL LTD NAMEN-AKT REDEEMED								
216 0000	Sale	05/21/10	02/25/11	9,673.64	7,853.72	0.00	1,819.92	
VORNADO REALTY TRUST COM REIT REDEEMED								
15 0000	Sale	06/08/10	02/15/11	1,330.74	1,092.90	0.00	237.84	
Noncovered Short Term Capital Gains and Losses Subtotal				185,858.74	183,499.91	0.00	2,358.83	
NET SHORT TERM CAPITAL GAINS AND LOSSES				548,633.89	646,047.28	5,607.47	(91,805.92)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
----------	-------------------------	------------------------------	----------------------------	--------	------------	-------------	----------------	---------

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

ABBOTT LABS
REDEEMED
CUSIP Number 002824100

326 0000 Sale	10/20/08	02/04/11	15,000.50	18,764.56	0.00	(3,764.06)	
51 0000 Sale	06/08/10	06/20/11	2,647.71	2,359.26	0.00	288.45	
253 0000 Sale	10/20/08	06/20/11	13,134.72	14,562.68	0.00	(1,427.96)	
517 0000 Sale	04/23/09	06/20/11	26,840.52	22,118.14	0.00	4,722.38	
Security Subtotal			57,623.45	57,804.64	0.00	(181.19)	

AIR PRODUCTS&CHEM
REDEEMED
CUSIP Number 009158106

287 0000 Sale	10/20/08	06/20/11	26,138.97	17,727.99	0.00	8,410.98	
74 0000 Sale	10/20/08	06/21/11	6,811.50	4,570.98	0.00	2,240.52	
Security Subtotal			32,950.47	22,298.97	0.00	10,651.50	

AVALONBAY COMMUN INC
REDEEMED
CUSIP Number 053484101

253 0000 Sale	01/14/09	06/24/11	32,204.00	13,267.68	0.00	18,936.32	
---------------	----------	----------	-----------	-----------	------	-----------	--

ACCENTURE PLC SHS
REDEEMED
CUSIP Number G1151C101

290 0000 Sale	04/21/10	08/09/11	15,675.53	12,733.32	0.00	2,942.21	
---------------	----------	----------	-----------	-----------	------	----------	--

AMN ELEC POWER CO
REDEEMED
CUSIP Number 025537101

350 0000 Sale	04/16/09	03/15/11	12,244.76	9,475.73	0.00	2,769.03	
323 0000 Sale	04/16/09	03/16/11	11,140.48	8,744.74	0.00	2,395.74	
Security Subtotal			23,385.24	18,220.47	0.00	5,164.77	

APACHE CORP
REDEEMED
CUSIP Number 037411105

169 0000 Sale	10/20/08	10/24/11	16,403.20	13,739.09	0.00	2,664.11	
123 0000 Sale	10/20/08	10/25/11	11,712.92	9,999.46	0.00	1,713.46	
197 0000 Sale	08/03/09	10/25/11	18,759.74	17,159.88	0.00	1,599.86	
40 0000 Sale	08/03/09	12/14/11	3,580.76	3,484.24	0.00	96.52	
144 0000 Sale	10/01/09	12/14/11	12,890.78	12,989.58	0.00	(98.80)	
Security Subtotal			63,347.40	57,372.25	0.00	5,975.15	

Statement D



Taxpayer No
59-2891582

Page
7

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BEST BUY CO INC									
REDEEMED				086516101					
431 0000 Sale		10/20/08	03/25/11		12,409.20	10,740.52	0.00	1,668.68	
272 0000 Sale		05/15/09	03/25/11		7,831.33	9,673.60	0.00	(1,842.27)	
Security Subtotal					20,240.53	20,414.12	0.00	(173.59)	
CONOCOPHILLIPS									
REDEEMED				20825C104					
222 0000 Sale		04/21/10	10/24/11		15,982.03	12,630.65	0.00	3,351.38	
195 0000 Sale		04/21/10	10/25/11		13,954.44	11,094.49	0.00	2,859.95	
112 0000 Sale		04/21/10	12/13/11		7,822.45	6,372.22	0.00	1,450.23	
141 0000 Sale		04/21/10	12/14/11		9,802.16	8,022.17	0.00	1,779.99	
Security Subtotal					47,561.08	38,119.53	0.00	9,441.55	
CATERPILLAR INC DEL									
REDEEMED				149123101					
374 0000 Sale		11/10/09	03/15/11		37,794.74	22,413.41	0.00	15,381.33	
CISCO SYSTEMS INC COM									
REDEEMED				17275R102					
944 0000 Sale		11/20/08	03/25/11		16,257.54	14,228.44	0.00	2,029.10	
ERICSSON LM TEL CL B ADR									
REDEEMED				294821608					
1493 0000 Sale		06/23/09	04/28/11		22,836.04	14,070.63	0.00	8,765.41	
334 0000 Sale		06/23/09	04/29/11		5,099.31	3,147.75	0.00	1,951.56	
Security Subtotal					27,935.35	17,218.38	0.00	10,716.97	
GOLDMAN SACHS GROUP INC									
REDEEMED				38141G104					
63 0000 Sale		10/20/08	08/24/11		6,963.73	7,792.47	0.00	(828.74)	
95 0000 Sale		10/20/08	12/13/11		9,012.93	11,750.55	0.00	(2,737.62)	
3 0000 Sale		02/25/09	12/13/11		284.62	276.03	0.00	8.59	
22 0000 Sale		02/25/09	12/14/11		2,068.58	2,024.19	0.00	44.39	
Security Subtotal					18,329.86	21,843.24	0.00	(3,513.38)	

Statement D



Taxpayer No.
59-2891582

Page
8

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HESS CORP REDEEMED				42809H107					
235 0000	Sale	03/24/09	10/24/11		14,308.06	15,355.16	0.00	(1,047.10)	
198 0000	Sale	03/24/09	10/25/11		11,865.06	12,937.54	0.00	(1,072.48)	
301 0000	Sale	07/09/09	10/25/11		18,037.29	14,617.83	0.00	3,419.46	
Security Subtotal					44,210.41	42,910.53	0.00	1,299.88	
HEWLETT PACKARD CO REDEEMED	DEL			428236103					
1096 0000	Sale	10/20/08	04/06/11		45,107.86	45,132.52	0.00	(24.66)	
INTEL CORP REDEEMED				458140100					
774 0000	Sale	09/09/09	02/15/11		16,582.70	15,294.63	0.00	1,288.07	
389 0000	Sale	10/01/09	02/15/11		8,334.21	7,402.16	0.00	932.05	
Security Subtotal					24,916.91	22,696.79	0.00	2,220.12	
INTL BUSINESS MACHINES CORP IBM REDEEMED				459200101					
79 0000	Sale	10/20/08	08/09/11		13,239.49	7,314.56	0.00	5,924.93	
KELLOGG CO REDEEMED				487836108					
451 0000	Sale	11/30/09	02/04/11		24,123.75	23,670.87	0.00	452.88	
LINCOLN NTL CORP IND NPV REDEEMED				534187109					
268 0000	Sale	08/10/09	08/24/11		5,307.77	6,237.43	0.00	(929.66)	
645 0000	Sale	08/10/09	09/12/11		11,289.34	15,011.73	0.00	(3,722.39)	
34 0000	Sale	06/08/10	09/12/11		595.09	848.98	0.00	(253.89)	
509 0000	Sale	06/21/10	09/12/11		8,908.97	14,469.50	0.00	(5,560.53)	
Security Subtotal					26,101.17	36,567.64	0.00	(10,466.47)	
MASTERCARD INC REDEEMED				57636Q104					
149 0000	Sale	07/09/09	01/03/11		32,831.66	24,170.26	0.00	8,661.40	
49 0000	Sale	09/09/09	01/03/11		10,796.99	10,223.63	0.00	573.36	
Security Subtotal					43,628.65	34,393.89	0.00	9,234.76	

Statement



Taxpayer No.
59-2891582

Page
9

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
597 0000	MERCK AND CO INC SHS REDEEMED Sale	10/20/08	58933Y105	11/22/11	20,359.04	18,524.91	0.00	1,834.13	
164 0000	MCDONALDS CORP COM REDEEMED Sale	05/15/09	580135101	04/28/11	12,797.34	8,789.86	0.00	4,007.48	
148 0000	REDEEMED Sale	05/15/09		08/09/11	12,521.05	7,932.31	0.00	4,588.74	
266 0000	REDEEMED Sale	05/15/09		10/24/11	24,452.72	14,256.72	0.00	10,196.00	
83 0000	REDEEMED Sale	08/25/09		10/24/11	7,629.99	4,673.21	0.00	2,956.78	
99 0000	REDEEMED Sale	08/25/09		12/14/11	9,698.71	5,574.06	0.00	4,124.65	
277 0000	REDEEMED Sale	01/06/10		12/14/11	27,136.81	16,986.42	0.00	10,150.39	
86 0000	REDEEMED Sale	05/21/10		12/14/11	8,425.15	5,793.78	0.00	2,631.37	
Security Subtotal					102,661.77	64,006.36	0.00	38,655.41	
325 0000	NESTLE S A REP RG SH ADR REDEEMED Sale	10/20/08	641069406	05/10/11	19,921.60	12,966.40	0.00	6,955.20	
425 0000	REDEEMED Sale	10/20/08		12/14/11	22,981.27	16,956.06	0.00	6,025.21	
Security Subtotal					42,902.87	29,922.46	0.00	12,980.41	
338 0000	NORTHIN TRUST CORP REDEEMED Sale	01/14/09	665859104	02/04/11	17,550.55	15,884.07	0.00	1,666.48	
182 0000	REDEEMED Sale	01/14/09		02/07/11	9,491.70	8,552.96	0.00	938.74	
Security Subtotal					27,042.25	24,437.03	0.00	2,605.22	
183 0000	OCCIDENTAL PETE CORP CAL REDEEMED Sale	10/20/08	674599105	10/24/11	15,936.17	9,702.30	0.00	6,233.87	
348 0000	PACCAR INC REDEEMED Sale	10/26/09	693718108	01/26/11	19,582.59	13,859.38	0.00	5,723.21	
418 0000	PG&E CORP REDEEMED Sale	10/19/09	69331C108	03/15/11	18,019.76	17,958.41	0.00	61.35	

Statement



Taxpayer No.
59-2891582

Page
10

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PRUDENTIAL FINANCIAL INC REDEEMED			CUSIP Number	744320102					
376.0000 Sale		05/15/09	04/28/11	23,875.50	14,299.20	0.00	0.00	9,576.30	
61.0000 Sale		05/15/09	04/29/11	3,846.13	2,319.82	0.00	0.00	1,526.31	
321.0000 Sale		05/15/09	12/14/11	15,167.18	12,207.57	0.00	0.00	2,959.61	
Security Subtotal				42,888.81	28,826.59	0.00	0.00	14,062.22	
PEABODY ENERGY CORP COM REDEEMED			CUSIP Number	704549104					
298.0000 Sale		01/06/10	04/28/11	19,242.03	15,081.69	0.00	0.00	4,160.34	
175.0000 Sale		01/06/10	04/29/11	11,381.22	8,856.70	0.00	0.00	2,524.52	
107.0000 Sale		01/06/10	10/24/11	4,378.45	5,415.24	0.00	0.00	(1,036.79)	
172.0000 Sale		02/26/10	10/24/11	7,038.26	7,786.01	0.00	0.00	(747.75)	
81.0000 Sale		02/26/10	10/25/11	3,120.70	3,666.67	0.00	0.00	(545.97)	
Security Subtotal				45,160.66	40,806.31	0.00	0.00	4,354.35	
PNC FINCL SERVICES GROUP REDEEMED			CUSIP Number	693475105					
214.0000 Sale		04/23/09	10/24/11	11,742.10	8,487.65	0.00	0.00	3,254.45	
401.0000 Sale		04/23/09	10/25/11	21,653.90	15,904.42	0.00	0.00	5,749.48	
86.0000 Sale		04/29/09	10/25/11	4,643.99	3,510.71	0.00	0.00	1,133.28	
372.0000 Sale		04/29/09	12/14/11	20,022.26	15,185.86	0.00	0.00	4,836.40	
Security Subtotal				58,062.25	43,088.64	0.00	0.00	14,973.61	
PEPSICO INC REDEEMED			CUSIP Number	713448108					
65.0000 Sale		03/10/09	10/24/11	4,031.08	3,021.78	0.00	0.00	1,009.30	
209.0000 Sale		03/10/09	12/14/11	13,458.86	9,716.17	0.00	0.00	3,742.69	
Security Subtotal				17,489.94	12,737.95	0.00	0.00	4,751.99	
ROGERS COMMUNICATIONS B REDEEMED			CUSIP Number	775109200					
93.0000 Sale		02/02/10	02/15/11	3,270.23	2,871.74	0.00	0.00	398.49	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
STAPLES INC REDEEMED									
			CUSIP Number	855030102					
784 0000 Sale			10/20/08	03/15/11	15,598 40	13,484 72	0 00	2,113 68	
648 0000 Sale			12/05/08	03/15/11	12,892 55	11,370 97	0 00	1,521 58	
395 0000 Sale			08/25/09	03/15/11	7,858 89	8,674 99	0 00	(816 10)	
Security Subtotal					36,349.84	33,530.68	0.00	2,819.16	
TYCO INTL LTD NAMEN-AKT REDEEMED									
			CUSIP Number	H89128104					
557 0000 Sale			10/26/09	02/25/11	24,945 43	19,254 49	0 00	5,690 94	
TARGET CORP COM REDEEMED									
			CUSIP Number	87612E106					
425 0000 Sale			07/21/09	04/06/11	21,656 26	17,023 72	0 00	4,632 54	
35 0000 Sale			07/21/09	12/14/11	1,834 76	1,401 95	0 00	432 81	
357 0000 Sale			08/03/09	12/14/11	18,714 65	15,320 44	0 00	3,394 21	
134 0000 Sale			05/21/10	12/14/11	7,024 56	7,242 03	0 00	(217 47)	
Security Subtotal					49,230.23	40,988.14	0.00	8,242.09	
TRAVELERS COS INC REDEEMED									
			CUSIP Number	89417E109					
60 0000 Sale			10/20/08	02/15/11	3,557 37	2,169 00	0 00	1,388 37	
323 0000 Sale			10/20/08	02/16/11	19,227 66	11,676 46	0 00	7,551 20	
Security Subtotal					22,785.03	13,845.46	0.00	8,939.57	
UNITED STS STL CORP NEW REDEEMED									
			CUSIP Number	912909108					
640 0000 Sale			11/10/09	05/10/11	29,721 48	24,366 21	0 00	5,355 27	
US BANCORP (NEW) REDEEMED									
			CUSIP Number	902973304					
732 0000 Sale			05/15/09	04/28/11	18,669 45	12,928 80	0 00	5,740 65	
599 0000 Sale			05/15/09	10/24/11	15,303 07	10,579 72	0 00	4,723 35	
233 0000 Sale			01/06/10	10/24/11	5,952 62	5,528 09	0 00	424 53	
428 0000 Sale			01/06/10	10/25/11	10,786 80	10,154 60	0 00	632 20	
504 0000 Sale			03/22/10	10/25/11	12,702 22	13,163 67	0 00	(461 45)	
33 0000 Sale			06/08/10	10/25/11	831 69	750 09	0 00	81 60	
Security Subtotal					64,245.85	53,104.97	0.00	11,140.88	

Statement D



Taxpayer No.
59-2891582

Page
12

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
----------	-------------------------	---------------------------------	-------------------------------	--------	------------	-------------	----------------	---------

VORNADO REALTY TRUST COM
REIT
REDEEMED

450,000	Sale	10/19/09	02/15/11	39,921.93	28,277.60	0.00	11,644.33	
1,000	Sale	12/15/09	02/15/11	88.71	68.77	0.00	19.94	
Security Subtotal				40,010.64	28,346.37	0.00	11,664.27	

WAL-MART STORES INC
REDEEMED

615,000	Sale	10/20/08	08/09/11	30,547.57	33,376.05	0.00	(2,828.48)	
26,000	Sale	06/08/10	08/09/11	1,291.44	1,321.06	0.00	(29.62)	
40,000	Sale	06/21/10	08/09/11	1,986.84	2,059.85	0.00	(73.01)	
381,000	Sale	06/21/10	12/14/11	22,005.11	19,620.09	0.00	2,385.02	
137,000	Sale	07/28/10	12/14/11	7,912.60	7,032.28	0.00	880.32	
Security Subtotal				63,743.56	63,409.33	0.00	334.23	

WASTE MANAGEMENT INC NEW
REDEEMED

111,000	Sale	10/20/08	06/20/11	4,151.58	3,531.96	0.00	619.62	
407,000	Sale	12/05/08	06/20/11	15,222.48	11,584.89	0.00	3,637.59	
701,000	Sale	04/23/09	06/20/11	26,218.59	18,560.10	0.00	7,658.49	
Security Subtotal				45,592.65	33,676.95	0.00	11,915.70	

Noncovered Long Term Capital Gains and Losses Subtotal

1,404,634.48	1,145,885.93	0.00	258,748.55
--------------	--------------	------	------------

NET LONG TERM CAPITAL GAINS AND LOSSES

1,404,634.48	1,145,885.93	0.00	258,748.55
--------------	--------------	------	------------

Statement D

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES								
NONCOVERED TRANSACTIONS								
CISCO SYSTEMS INC COM CUSIP Number 17275R102								
REDEEMED								
647.0000	Sale	10/25/07	05/24/11	10,540.33	20,186.28	0.00	(9,645.95)	
2273.0000	Sale	02/07/08	05/24/11	37,029.65	52,280.59	0.00	(15,250.94)	
	Security Subtotal			47,569.98	72,466.87	0.00	(24,896.89)	
FOMENTO ECNMCO MEX SPADR SAB DE CV CUSIP Number 344419106								
REDEEMED								
554.0000	Sale	04/10/08	03/01/11	31,042.29	24,452.12	0.00	6,590.17	
708.0000	Sale	04/10/08	03/02/11	39,859.34	31,249.28	0.00	8,610.06	
33.0000	Sale	04/30/08	03/02/11	1,857.86	1,446.89	0.00	410.97	
	Security Subtotal			72,759.49	57,148.29	0.00	15,611.20	
HALLIBURTON COMPANY CUSIP Number 406216101								
REDEEMED								
846.0000	Sale	06/06/06	02/28/11	39,418.69	31,211.65	0.00	8,207.04	
922.0000	Sale	07/11/06	02/28/11	42,959.85	34,247.41	0.00	8,712.44	
809.0000	Sale	02/07/08	02/28/11	37,694.72	27,285.79	0.00	10,408.93	
	Security Subtotal			120,073.26	92,744.85	0.00	27,328.41	

Statement F



Taxpayer No.
59-2891582

Page
2

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
JOHNSON AND JOHNSON COM REDEEMED									
103,000	Sale	09/25/01	09/01/11	478160104	6,743.45	5,396.38	0.00	1,347.07	
105,000	Sale	04/22/02	09/01/11		6,874.39	6,683.25	0.00	191.14	
7,000	Sale	05/09/02	09/01/11		458.29	429.17	0.00	29.12	
5,000	Sale	05/10/02	09/01/11		327.35	310.05	0.00	17.30	
55,000	Sale	12/02/02	09/01/11		3,600.87	3,003.20	0.00	597.67	
10,000	Sale	12/03/02	09/01/11		654.70	561.60	0.00	93.10	
13,000	Sale	12/04/02	09/01/11		851.11	739.47	0.00	111.64	
5,000	Sale	12/06/02	09/01/11		327.35	276.50	0.00	50.85	
87,000	Sale	05/27/03	09/01/11		5,695.95	4,617.31	0.00	1,078.64	
24,000	Sale	03/04/04	09/01/11		1,571.30	1,273.67	0.00	297.63	
Security Subtotal					27,104.76	23,290.60	0.00	3,814.16	
MICROSOFT CORP REDEEMED									
5160,000	Sale	01/28/05	05/24/11	594918104	124,951.64	134,779.22	0.00	(9,827.58)	
MICROCHIP TECHNOLOGY INC REDEEMED									
405,000	Sale	01/11/07	09/01/11	595017104	13,206.40	14,532.66	0.00	(1,326.26)	
607,000	Sale	01/11/07	09/02/11		19,301.79	21,781.04	0.00	(2,479.25)	
59,000	Sale	06/09/08	09/02/11		1,876.13	2,051.64	0.00	(175.51)	
Security Subtotal					34,384.32	38,365.34	0.00	(3,981.02)	
NEWS CORP CL A REDEEMED									
684,000	Sale	05/27/03	09/01/11	65248E104	11,551.78	8,689.98	0.00	2,861.80	
142,000	Sale	12/21/04	09/01/11		2,398.17	2,648.30	0.00	(250.13)	
708,000	Sale	01/28/05	09/01/11		11,957.12	11,844.84	0.00	112.28	
Security Subtotal					25,907.07	23,183.12	0.00	2,723.95	
PRUDENTIAL FINANCIAL INC REDEEMED									
593,000	Sale	03/27/07	09/01/11	744320102	29,077.13	53,788.84	0.00	(24,711.71)	
39,000	Sale	08/17/07	09/01/11		1,912.33	3,431.11	0.00	(1,518.78)	
Security Subtotal					30,989.46	57,219.95	0.00	(26,230.49)	

Statement F



Taxpayer No.
59-2891582

Page
3

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PEPSICO INC REDEEMED									
130 0000	Sale	01/31/02	01/28/05	05/26/11	9,095.30	6,455.51	0.00	2,639.79	
168.0000	Sale			05/26/11	11,753.93	8,904.00	0.00	2,849.93	
Security Subtotal					20,849.23	15,359.51	0.00	5,489.72	
SCHLUMBERGER LTD REDEEMED									
716 0000	Sale	08/28/08		02/28/11	66,195.08	70,386.09	0.00	(4,191.01)	
SYSICO CORPORATION REDEEMED									
47 0000	Sale	11/02/04		02/28/11	1,306.90	1,606.89	0.00	(299.99)	
97 0000	Sale	11/03/04		02/28/11	2,697.22	3,336.19	0.00	(638.97)	
28 0000	Sale	12/21/04		02/28/11	778.58	1,049.24	0.00	(270.66)	
37 0000	Sale	12/22/04		02/28/11	1,028.83	1,415.67	0.00	(386.84)	
589.0000	Sale	01/28/05		02/28/11	16,378.03	21,015.52	0.00	(4,637.49)	
482.0000	Sale	01/28/05		03/01/11	13,469.86	17,197.76	0.00	(3,727.90)	
Security Subtotal					35,659.42	45,621.27	0.00	(9,961.85)	
Noncovered Long Term Capital Gains and Losses Subtotal					606,443.71	630,565.11	0.00	(24,121.40)	
NET LONG TERM CAPITAL GAINS AND LOSSES					606,443.71	630,565.11	0.00	(24,121.40)	

Statement E

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
COVERED TRANSACTIONS								
CENTURYLINK INC SHS REDEEMED		CUSIP Number	156700106					
236 0000 Sale		08/08/11	09/20/11	8,267.29	7,577.70	0.00	689.59	
275 0000 Sale		08/08/11	09/27/11	9,424.68	8,829.95	0.00	594.73	
Security Subtotal				17,691.97	16,407.65	0.00	1,284.32	
DIAMOND OFFSHORE DRLNG REDEEMED		CUSIP Number	25271C102					
189 0000 Sale		08/25/11	11/30/11	11,357.35	11,388.76	0.00	(31.41)	
Covered Short Term Capital Gains and Losses Subtotal				29,049.32	27,796.41	0.00	1,252.91	

Statement F

NONCOVERED TRANSACTIONS

BAXTER INTERNTL INC REDEEMED		CUSIP Number	071813109					
105 0000 Sale		05/18/10	03/02/11	5,483.01	4,562.32	0.00	920.69	
312 0000 Sale		05/19/10	03/02/11	16,292.39	13,300.62	0.00	2,991.77	
450 0000 Sale		05/19/10	03/03/11	24,202.93	19,183.59	0.00	5,019.34	
139 0000 Sale		05/19/10	03/04/11	7,467.90	5,925.60	0.00	1,542.30	
44 0000 Sale		05/19/10	03/08/11	2,335.94	1,875.73	0.00	460.21	
171 0000 Sale		05/20/10	03/08/11	9,078.34	7,144.45	0.00	1,933.89	

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS								
BAXTER INTERNTL INC CUSIP Number 071813109								
REDEEMED								
254 0000	Sale	05/20/10	03/09/11	13,475.28	10,612.22	0.00	2,863.06	
425 0000	Sale	05/20/10	03/11/11	22,171.23	17,756.67	0.00	4,414.56	
Security Subtotal				100,507.02	80,361.20	0.00	20,145.82	
Noncovered Short Term Capital Gains and Losses Subtotal								
				100,507.02	80,361.20	0.00	20,145.82	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				129,556.34	108,157.61	0.00	21,398.73	

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS								
ALTRIA GROUP INC CUSIP Number 02209S103								
REDEEMED								
171.0000	Sale	04/16/08	08/04/11	4,433.84	3,645.24	0.00	788.60	
649.0000	Sale	03/11/09	08/04/11	16,827.86	10,669.11	0.00	6,158.75	
226.0000	Sale	03/11/09	08/05/11	5,864.18	3,715.28	0.00	2,148.90	
693.0000	Sale	03/13/09	08/05/11	17,981.76	11,538.45	0.00	6,443.31	
282.0000	Sale	03/13/09	08/10/11	6,920.43	4,695.30	0.00	2,225.13	
525.0000	Sale	04/13/10	08/10/11	12,883.78	11,072.15	0.00	1,811.63	
900.0000	Sale	04/13/10	08/11/11	21,974.70	18,980.82	0.00	2,993.88	
Security Subtotal				86,886.55	64,316.35	0.00	22,570.20	
ANNUALY CAP MGMT INC CUSIP Number 035710409								
REDEEMED								
1310.0000	Sale	06/30/08	09/26/11	22,805.61	20,492.07	0.00	2,313.54	
750.0000	Sale	07/08/08	09/26/11	13,056.65	11,741.40	0.00	1,315.25	
854.0000	Sale	07/09/08	09/26/11	14,867.18	13,812.34	0.00	1,054.84	
96.0000	Sale	07/09/08	09/28/11	1,615.10	1,552.68	0.00	62.42	
1800.0000	Sale	07/15/08	09/28/11	30,283.16	25,930.26	0.00	4,352.90	
310.0000	Sale	07/17/08	09/28/11	5,215.44	4,494.75	0.00	720.69	
Security Subtotal				87,843.14	78,023.50	0.00	9,819.64	

Statement F



Taxpayer No.
59-2891582

Page
3

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMEREN CORP REDEEMED								
600 0000	Sale	08/28/07	11/28/11	19,143.65	30,052.86	0.00	(10,909.21)	
175 0000	Sale	09/11/07	11/28/11	5,583.57	8,852.59	0.00	(3,269.02)	
32 0000	Sale	09/14/07	11/28/11	1,021.00	1,662.26	0.00	(641.26)	
Security Subtotal				25,748.22	40,567.71	0.00	(14,819.49)	
CENTURYLINK INC SHS REDEEMED								
616 0000	Sale	02/23/09	08/30/11	21,740.62	15,712.11	0.00	6,028.51	
92 0000	Sale	02/26/09	08/30/11	3,246.98	2,331.63	0.00	915.35	
353 0000	Sale	02/26/09	08/31/11	12,644.67	8,946.36	0.00	3,698.31	
368 0000	Sale	03/05/09	08/31/11	13,181.99	8,995.45	0.00	4,186.54	
146 0000	Sale	03/05/09	09/20/11	5,114.50	3,568.85	0.00	1,545.65	
240 0000	Sale	03/11/09	09/20/11	8,407.39	5,922.11	0.00	2,485.28	
377 0000	Sale	03/12/09	09/20/11	13,206.62	9,564.31	0.00	3,642.31	
Security Subtotal				77,542.77	55,040.82	0.00	22,501.95	
CONOCOPHILLIPS REDEEMED								
551 0000	Sale	03/10/05	02/28/11	42,608.84	29,076.75	0.00	13,532.09	
DIAMOND OFFSHORE DRLNG REDEEMED								
37 0000	Sale	12/20/07	01/27/11	2,670.03	4,868.64	0.00	(2,198.61)	
70 0000	Sale	12/24/07	01/27/11	5,051.41	9,951.08	0.00	(4,899.67)	
130 0000	Sale	12/26/07	01/27/11	9,381.21	18,959.46	0.00	(9,578.25)	
200 0000	Sale	12/31/07	01/27/11	14,432.63	28,582.82	0.00	(14,150.19)	
28 0000	Sale	01/04/08	01/27/11	2,020.57	3,829.35	0.00	(1,808.78)	
172 0000	Sale	01/04/08	01/31/11	12,348.69	23,523.15	0.00	(11,174.46)	
134 0000	Sale	01/12/09	01/31/11	9,620.50	7,853.53	0.00	1,766.97	
216 0000	Sale	01/12/09	11/21/11	13,202.46	12,659.41	0.00	543.05	
11 0000	Sale	07/27/09	11/21/11	672.35	1,031.10	0.00	(358.75)	
144 0000	Sale	07/27/09	11/22/11	8,709.14	13,498.01	0.00	(4,788.87)	
150 0000	Sale	07/28/09	11/22/11	9,072.02	13,863.74	0.00	(4,791.72)	
35 0000	Sale	07/29/09	11/22/11	2,116.81	3,158.56	0.00	(1,041.75)	
65 0000	Sale	07/29/09	11/23/11	3,847.17	5,865.89	0.00	(2,018.72)	
140 0000	Sale	04/14/10	11/23/11	8,286.22	12,988.54	0.00	(4,702.32)	

Statement



Taxpayer No.
59-2891582

Page

4

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
DIAMOND OFFSHORE DRNG REDEEMED									
110 0000 Sale		04/14/10		11/30/11	6,610.09	10,205.29	0.00	(3,595.20)	
421 0000 Sale		05/14/10		11/30/11	25,298.62	30,030.35	0.00	(4,731.73)	
Security Subtotal					133,339.92	200,868.92	0.00	(67,529.00)	
HUNTINGTON INGALLS INDS INC CASH IN LIEU									
158 0000 Cash/Lieu		10/28/09		04/14/11	19.23	14.77	0.00	4.46	
19 0000 Sale		10/27/09		05/06/11	6,279.10	4,664.26	0.00	1,614.84	
75 0000 Sale		10/27/09		05/09/11	747.71	560.89	0.00	186.82	
		10/28/09		05/09/11	2,951.50	2,212.72	0.00	738.78	
Security Subtotal					9,997.54	7,452.64	0.00	2,544.90	
INTL BUSINESS MACHINES CORP IBM REDEEMED									
148 0000 Sale		04/02/09		11/28/11	26,888.99	14,947.99	0.00	11,941.00	
KRAFT FOODS INC VA CL A REDEEMED									
523 0000 Sale		04/24/07		11/04/11	18,381.00	17,464.02	0.00	916.98	
552 0000 Sale		05/01/07		11/04/11	19,400.22	18,484.11	0.00	916.11	
348 0000 Sale		05/01/07		11/07/11	12,301.66	11,653.02	0.00	648.64	
224 0000 Sale		05/09/07		11/07/11	7,918.32	7,334.19	0.00	584.13	
141 0000 Sale		05/09/07		11/07/11	4,958.25	4,616.61	0.00	341.64	
735 0000 Sale		05/09/07		11/08/11	25,913.10	24,065.29	0.00	1,847.81	
Security Subtotal					88,872.55	83,617.24	0.00	5,255.31	
LUBRIZOL CORP REDEEMED									
17 0000 Sale		01/14/10		03/15/11	2,275.26	1,328.66	0.00	946.60	
125 0000 Sale		01/15/10		03/15/11	16,729.86	9,745.46	0.00	6,984.40	
250 0000 Sale		01/19/10		03/15/11	33,459.74	19,993.74	0.00	13,465.96	
30 0000 Sale		01/20/10		03/15/11	4,015.17	2,457.91	0.00	1,557.26	
315 0000 Sale		01/20/10		03/16/11	42,198.57	25,808.01	0.00	16,390.56	
50 0000 Sale		01/21/10		03/16/11	6,698.19	4,226.53	0.00	2,471.66	
Security Subtotal					105,376.79	63,560.35	0.00	41,816.44	

Statement



Taxpayer No.
59-2891582

5

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Description		Cover of Short	Short Sale					
MARATHON OIL CORP REDEEMED		CUSIP Number	565849106					
495 0000 Sale		02/27/06	03/28/11	25,729.61	17,691.33	0.00	8,038.28	
MARATHON PETROLEUM CORP REDEEMED		CUSIP Number	56585A102					
385 0000 Sale		02/27/06	07/13/11	15,356.94	11,104.25	0.00	4,252.69	
371 0000 Sale		02/27/06	07/14/11	14,561.57	10,873.52	0.00	3,688.05	
190 0000 Cash/Lieu		02/27/06	07/15/11	7,391.73	5,480.02	0.00	1,911.71	
Cash/Lieu		02/27/06	07/15/11	19.88	14.42	0.00	5.46	
Security Subtotal				37,330.12	27,472.21	0.00	9,857.91	
NEW YORK CMNTY BANCORP REDEEMED		CUSIP Number	649445103					
285 0000 Sale		01/20/09	06/20/11	4,520.27	3,579.17	0.00	941.10	
183 0000 Sale		01/21/09	06/20/11	2,902.49	2,231.61	0.00	670.88	
976 0000 Sale		01/21/09	06/21/11	15,341.55	11,901.93	0.00	3,439.62	
6 0000 Sale		01/21/09	06/22/11	93.53	73.17	0.00	20.36	
683 0000 Sale		01/23/09	06/22/11	10,647.15	8,257.95	0.00	2,389.20	
562 0000 Sale		01/23/09	06/30/11	8,432.14	6,794.97	0.00	1,637.17	
55 0000 Sale		01/27/09	06/30/11	825.21	644.45	0.00	180.76	
355 0000 Sale		01/29/09	06/30/11	5,326.35	4,715.86	0.00	610.49	
584 0000 Sale		01/30/09	06/30/11	8,462.16	7,658.89	0.00	803.27	
281 0000 Sale		01/30/09	07/01/11	4,302.44	3,815.87	0.00	486.57	
1150 0000 Sale		02/04/09	07/01/11	17,607.90	14,460.33	0.00	3,147.57	
Security Subtotal				78,461.19	64,134.20	0.00	14,326.99	
PFIZER INC REDEEMED		CUSIP Number	717081103					
2618 0000 Sale		01/06/06	05/03/11	53,509.58	64,845.51	0.00	(11,335.93)	
852 0000 Sale		01/06/06	05/03/11	17,362.14	21,103.28	0.00	(3,741.14)	
1300 0000 Sale		04/21/06	05/03/11	26,491.54	32,373.38	0.00	(5,881.84)	
347 0000 Sale		04/26/06	05/03/11	7,071.21	8,664.28	0.00	(1,593.07)	
Security Subtotal				104,434.47	126,986.45	0.00	(22,551.98)	
REYNOLDS AMERICAN INC REDEEMED		CUSIP Number	761713106					
655 0000 Sale		02/27/06	05/23/11	25,618.72	17,356.27	0.00	8,262.45	

State ment F



Taxpayer No.
59-2891582

Page
6

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
V F CORPORATION								
REDEEMED								
375 0000	Sale	02/27/06	07/14/11	42,751.47	20,784.60	0.00	21,966.87	
213 0000	Sale	02/27/06	07/15/11	24,002.68	11,805.65	0.00	12,197.03	
245 0000	Sale	02/27/06	07/20/11	28,025.21	13,579.27	0.00	14,445.94	
197 0000	Sale	02/27/06	07/21/11	23,715.88	10,918.85	0.00	12,797.03	
Security Subtotal				118,495.24	57,088.37	0.00	61,406.87	
VERIZON COMMUNICATIONS COM								
REDEEMED								
1498 0000	Sale	02/27/06	05/03/11	56,500.63	46,051.70	0.00	10,448.93	
1402 0000	Sale	02/27/06	05/05/11	52,253.36	43,100.45	0.00	9,152.91	
Security Subtotal				108,753.99	89,152.15	0.00	19,601.84	
Noncovered Long Term Capital Gains and Losses Subtotal				1,183,928.65	1,037,353.25	0.00	146,575.40	
NET LONG TERM CAPITAL GAINS AND LOSSES				1,183,928.65	1,037,353.25	0.00	146,575.40	

State ment F



Taxpayer No.
59-2891582

Page
1

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction	Quantity	Description	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMERISOURCEBERGEN CORP REDEEMED	1446 0000	Sale	03/16/11	07/28/11	55,911 70	52,963 22	0 00	2,948 48	
APACHE CORP REDEEMED	256 0000	Sale	02/04/11	10/04/11	19,277 00	29,960 12	0 00	(10,683 12)	
CME GROUP INC REDEEMED	78 0000 135 0000 51.0000	Sale Sale Sale	01/18/11 01/18/11 01/25/11	10/11/11 10/17/11 10/17/11	20,305 31 34,678 72 13,100 86	24,479 75 42,368 79 15,541 61	0 00 0 00 0 00	(4,174 44) (7,690 07) (2,440 75)	
Security Subtotal						68,084.89	82,390.15	0.00	(14,305.26)
CITIGROUP INC COM NEW CASH IN LIEU		Cash/Lieu	05/06/11	05/16/11	33.59	37 08	0 00	(3.49)	
CHUBB CORP REDEEMED	694 0000	Sale	04/25/11	12/02/11	46,749 39	43,420 66	0 00	3,328.73	

SHORT TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

AMERISOURCEBERGEN CORP REDEEMED	CUSIP Number	03073E105
APACHE CORP REDEEMED	CUSIP Number	037411105
CME GROUP INC REDEEMED	CUSIP Number	12572Q105
CITIGROUP INC COM NEW CASH IN LIEU	CUSIP Number	172967424
CHUBB CORP REDEEMED	CUSIP Number	171232101

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
DOLLAR TREE INC									
	REDEEMED			256746108					
185 0000	Sale	04/15/11	09/16/11	14,028.31	10,490.54	0.00	0.00	3,537.77	
789 0000	Sale	04/18/11	09/16/11	59,828.88	44,676.57	0.00	0.00	15,152.31	
	Security Subtotal			73,857.19	55,167.11	0.00	0.00	18,690.08	
HARTFORD FINL SVCS GROUP									
	REDEEMED			416515104					
1095 0000	Sale	03/16/11	12/08/11	18,900.88	26,737.60	0.00	0.00	(7,836.72)	
INTL BUSINESS MACHINES									
	CORP IBM			459200101					
	REDEEMED								
114 0000	Sale	01/25/11	12/14/11	21,576.94	18,315.06	0.00	0.00	3,261.88	
INGERSOLL-RAND PLC									
	REDEEMED			G47791101					
1198 0000	Sale	02/17/11	07/29/11	44,834.05	56,094.55	0.00	0.00	(11,260.50)	
529 0000	Sale	04/07/11	07/29/11	19,797.34	25,663.22	0.00	0.00	(5,865.88)	
	Security Subtotal			64,631.39	81,757.77	0.00	0.00	(17,126.38)	
KANSAS CITY SOUTHERN									
	REDEEMED			485170302					
171 0000	Sale	03/24/11	12/07/11	11,423.43	9,181.62	0.00	0.00	2,241.81	
160 0000	Sale	03/24/11	12/08/11	10,653.18	8,590.99	0.00	0.00	2,062.19	
	Security Subtotal			22,076.61	17,772.61	0.00	0.00	4,304.00	
MICROSOFT CORP									
	REDEEMED			594918104					
12 0000	Sale	01/11/11	01/19/11	341.07	338.68	0.00	0.00	2.39	
973 0000	Sale	01/11/11	08/19/11	23,625.35	27,461.66	0.00	0.00	(3,836.31)	
	Security Subtotal			23,966.42	27,800.34	0.00	0.00	(3,833.92)	
QUEST DIAGNOSTICS INC									
	REDEEMED			74834L100					
162 0000	Sale	01/28/11	10/04/11	7,506.74	9,281.73	0.00	0.00	(1,774.99)	
306 0000	Sale	01/31/11	10/04/11	14,179.40	17,751.61	0.00	0.00	(3,572.21)	
482 0000	Sale	02/03/11	10/04/11	22,334.87	28,250.94	0.00	0.00	(5,916.07)	

Statement



Taxpayer No.
59-2891582

Page
3

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	QUEST DIAGNOSTICS INC REDEEMED			74834L100					
677 0000	Sale	02/07/11	10/04/11	10/04/11	31,370.76	39,683.64	0.00	(8,312.88)	
261.0000	Sale	02/08/11	10/04/11	10/04/11	12,094.20	15,337.04	0.00	(3,242.84)	
	Security Subtotal				87,485.97	110,304.96	0.00	(22,818.99)	
	ROSS STORES INC COM REDEEMED			778296103					
197 0000	Sale	03/25/11	10/03/11	10/03/11	15,447.55	13,902.47	0.00	1,545.08	
	SPECTRA ENERGY CORP REDEEMED			847560109					
697 0000	Sale	07/29/11	10/27/11	10/27/11	20,607.31	18,874.69	0.00	1,732.62	
	TRAVELERS COS INC REDEEMED			89417E109					
1102 0000	Sale	06/09/11	11/15/11	11/15/11	63,270.12	67,114.11	0.00	(3,843.99)	
	Covered Short Term Capital Gains and Losses Subtotal				601,876.95	646,517.95	0.00	(44,641.00)	

NONCOVERED TRANSACTIONS

	ARCH CAPITAL GRP LTD BM REDEEMED			G0450A105					
1.0000	Sale	09/02/10	01/19/11	01/19/11	88.40	80.85	0.00	7.55	
	AGRIUM INC REDEEMED			008916108					
65 0000	Sale	12/17/10	11/15/11	11/15/11	4,990.52	5,342.35	0.00	(351.83)	
	ANNALY CAP MGMT INC REDEEMED			035710409					
326 0000	Sale	07/22/10	01/19/11	01/19/11	5,755.55	5,782.62	0.00	(27.07)	
	AMERIPRISE FINL INC REDEEMED			03076C106					
100 0000	Sale	11/05/10	05/27/11	05/27/11	6,051.46	5,475.00	0.00	576.46	

Statement

b

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMGEN INC COM PV \$0.0001								
REDEEMED								
272 0000	Sale	04/14/10	01/12/11	15,295.03	16,568.47	0.00	(1,273.44)	
55 0000	Sale	11/05/10	01/12/11	3,092.74	3,027.20	0.00	65.54	
470 0000	Sale	11/15/10	01/12/11	26,428.94	25,725.45	0.00	703.49	
Security Subtotal				44,816.71	45,321.12	0.00	(504.41)	
APACHE CORP								
REDEEMED								
332 0000	Sale	12/14/10	10/04/11	24,999.84	38,938.42	0.00	(13,938.58)	
BCE INC								
REDEEMED								
255 0000	Sale	04/14/10	01/19/11	9,076.27	7,536.16	0.00	1,540.11	
60 0000	Sale	04/14/10	03/07/11	2,159.03	1,773.22	0.00	385.81	
601 0000	Sale	04/15/10	03/07/11	21,626.33	17,906.07	0.00	3,720.26	
679 0000	Sale	04/15/10	03/15/11	23,717.96	20,229.99	0.00	3,487.97	
819 0000	Sale	06/08/10	03/15/11	28,608.28	24,627.17	0.00	3,981.11	
345 0000	Sale	11/05/10	10/17/11	13,168.16	11,538.25	0.00	1,629.91	
Security Subtotal				98,356.03	83,610.86	0.00	14,745.17	
BEST BUY CO INC								
REDEEMED								
83 0000	Sale	05/04/10	01/19/11	2,893.32	3,730.96	0.00	(837.64)	
817 0000	Sale	05/04/10	03/24/11	24,835.09	36,725.21	0.00	(11,890.12)	
599 0000	Sale	05/11/10	03/24/11	18,208.35	26,954.76	0.00	(8,746.41)	
310 0000	Sale	05/28/10	03/24/11	9,423.36	13,042.32	0.00	(3,618.96)	
Security Subtotal				55,360.12	80,453.25	0.00	(25,093.13)	
CITIGROUP INC								
REDEEMED								
166.0000	Sale	12/07/10	01/19/11	796.78	767.82	0.00	28.96	
CB RICHARD ELLIS GR INC								
CL A								
REDEEMED								
295 0000	Sale	12/17/10	07/28/11	6,265.89	6,060.86	0.00	205.03	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
327 0000	CISCO SYSTEMS INC COM REDEEMED Sale	10/01/10	17275R102	03/15/11	5,676 42	7,167 41	0 00	(1,490 99)	
615 0000	COMERICA INC COM REDEEMED Sale	03/08/10	200340107	01/26/11	23,650 66	22,374 87	0 00	1,275 79	
620 0000		04/21/10		01/26/11	23,842 95	26,787 84	0 00	(2,944 89)	
64 0000		04/21/10		01/27/11	2,450 75	2,765 20	0 00	(314 45)	
212 0000		12/17/10		01/27/11	8,118 14	8,768 45	0 00	(650 31)	
	Security Subtotal				58,062.50	60,696.36	0.00	(2,633.86)	
	CUMMINS INC COM REDEEMED	11/05/10	231021106	03/01/11	6,543 83	6,236 75	0 00	307 08	
57 0000	DARDEN RESTAURANTS INC REDEEMED Sale	05/27/10	237194105	01/19/11	2,599 15	2,458 95	0 00	140 20	
170 0000	DREAMWORKS ANIMATION INC REDEEMED Sale	07/13/10	26153C103	05/20/11	4,256 30	5,315 75	0 00	(1,059 45)	
481 0000	DEERE CO REDEEMED Sale	05/18/10	244199105	05/13/11	42,448 31	28,454 37	0 00	13,993.94	
189 0000	DISNEY (WALT) CO COM STK REDEEMED Sale	12/17/10	254687106	12/14/11	6,739 07	6,997 88	0 00	(258.81)	
420 0000	E M C CORPORATION MASS REDEEMED Sale	11/05/10	268648102	06/09/11	11,309 17	9,051.00	0 00	2,258 17	
783 0000	EXXON MOBIL CORP COM REDEEMED Sale	12/01/10	30231G102	07/14/11	64,893 33	55,602 40	0 00	9,290 93	

Statement



Taxpayer No.
59-2891582

Page
6

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EOG RESOURCES INC									
REDEEMED									
180 0000	Sale	02/12/10		01/25/11	17,938.07	16,318.58	0.00	1,619.49	
105 0000	Sale	11/05/10		01/25/11	10,463.88	9,195.90	0.00	1,267.98	
Security Subtotal					28,401.95	25,514.48	0.00	2,887.47	
EXELIS INC SHS									
REDEEMED									
60 0000	Sale	12/17/10		11/07/11	663.52	721.88	0.00	(58.36)	
GENL DYNAMICS CORP COM									
REDEEMED									
63 0000	Sale	12/17/10		04/18/11	4,473.72	4,412.85	0.00	60.87	
HOSPIRA INC									
REDEEMED									
182 0000	Sale	05/18/10		01/19/11	10,265.44	9,631.99	0.00	633.45	
172 0000	Sale	05/18/10		01/28/11	9,388.63	9,102.76	0.00	285.87	
235 0000	Sale	05/18/10		01/31/11	12,938.50	12,436.90	0.00	501.60	
Security Subtotal					32,592.57	31,171.65	0.00	1,420.92	
HERTZ GLOBAL HOLDINGS INC									
REDEEMED									
1940 0000	Sale	05/07/10		01/07/11	27,490.69	23,630.75	0.00	3,859.94	
76 0000	Sale	05/10/10		01/07/11	1,076.96	968.08	0.00	108.88	
Security Subtotal					28,567.65	24,598.83	0.00	3,968.82	
HEWLETT PACKARD CO DEL									
REDEEMED									
740 0000	Sale	08/18/10		04/07/11	30,552.75	30,678.11	0.00	(125.36)	
590 0000	Sale	09/24/10		04/07/11	24,359.63	24,252.07	0.00	107.56	
130 0000	Sale	11/05/10		04/07/11	5,367.38	5,660.20	0.00	(292.82)	
191 0000	Sale	12/17/10		04/07/11	7,885.92	8,021.20	0.00	(135.28)	
Security Subtotal					68,165.68	68,611.58	0.00	(445.90)	
INTEL CORP									
REDEEMED									
269 0000	Sale	12/17/10		03/16/11	5,360.64	5,775.19	0.00	(414.55)	

Statement



Taxpayer No.
59-2891582

Page
7

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
INTL BUSINESS MACHINES CORP IBM REDEEMED								
		CUSIP Number	459200701					
24 0000	Sale	02/12/10	01/19/11	3,722.81	2,961.30	0.00	761.51	
50 0000	Sale	11/05/10	08/19/11	8,075.50	7,318.00	0.00	757.50	
161 0000	Sale	12/21/10	08/19/11	26,003.14	23,424.46	0.00	2,578.68	
32 0000	Sale	12/21/10	12/14/11	6,056.68	4,655.79	0.00	1,400.89	
Security Subtotal				43,858.13	38,359.55	0.00	5,498.58	
INTL PAPER CO REDEEMED								
		CUSIP Number	460146103					
765 0000	Sale	03/24/10	03/15/11	19,545.46	20,033.28	0.00	(487.82)	
ITT CORP SHS REDEEMED								
		CUSIP Number	450911201					
30 0000	Sale	12/17/10	11/07/11	604.42	594.83	0.00	9.59	
	Cash/Lieu	12/17/10	12/02/11	9.94	9.29	0.00	0.65	
Security Subtotal				614.36	604.12	0.00	10.24	
JOHNSON AND JOHNSON COM REDEEMED								
		CUSIP Number	478160104					
3 0000	Sale	06/29/10	01/19/11	187.55	177.35	0.00	10.20	
KANSAS CITY SOUTHERN REDEEMED								
		CUSIP Number	485170302					
71 0000	Sale	06/01/10	01/19/11	3,617.38	2,713.81	0.00	903.57	
174 0000	Sale	12/17/10	12/07/11	11,623.83	8,359.31	0.00	3,264.52	
Security Subtotal				15,241.21	11,073.12	0.00	4,168.09	
MERCCK AND CO INC SHS REDEEMED								
		CUSIP Number	58933Y105					
84 0000	Sale	03/19/10	01/19/11	2,838.80	3,201.59	0.00	(362.79)	
291 0000	Sale	03/19/10	02/04/11	9,555.15	11,091.23	0.00	(1,536.08)	
1210 0000	Sale	03/25/10	02/04/11	39,731.04	45,984.11	0.00	(6,253.07)	
924 0000	Sale	04/23/10	02/04/11	30,340.07	32,969.52	0.00	(2,629.45)	
Security Subtotal				82,465.06	93,246.45	0.00	(10,781.39)	

Statement



Taxpayer No.
59-2891582

Page
8

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NEWS CORP CL A REDEEMED									
3 0000	Sale	11/09/10	01/19/11	65248E104	42.68	42.75	0.00	(0.07)	
2314.0000	Sale	11/09/10	04/07/11		39,842.84	32,974.04	0.00	6,868.80	
1258.0000	Sale	11/10/10	04/07/11		21,660.46	17,919.58	0.00	3,740.88	
Security Subtotal					61,545.98	50,936.37	0.00	10,609.61	
ORACLE CORP \$0.01 DEL REDEEMED									
204 0000	Sale	12/17/10	03/16/11	68389X105	6,134.53	6,435.73	0.00	(301.20)	
PG&E CORP REDEEMED									
18.0000	Sale	05/05/10	01/19/11	69331C108	851.38	801.13	0.00	50.25	
105 0000	Sale	11/05/10	08/26/11		4,298.60	5,065.20	0.00	(766.60)	
Security Subtotal					5,149.98	5,866.33	0.00	(716.35)	
RALCORP HLDGS INC NEW REDEEMED									
22.0000	Sale	08/30/10	01/19/11	751028101	1,371.45	1,305.58	0.00	65.87	
683 0000	Sale	08/30/10	05/05/11		60,567.82	40,532.29	0.00	20,035.53	
283 0000	Sale	11/18/10	05/05/11		25,096.18	17,594.73	0.00	7,501.45	
215.0000	Sale	11/19/10	05/05/11		19,066.01	13,392.63	0.00	5,673.38	
Security Subtotal					106,101.46	72,825.23	0.00	33,276.23	
TJX COS INC NEW REDEEMED									
11 0000	Sale	10/25/10	01/19/11	872540109	511.71	502.48	0.00	9.23	
772 0000	Sale	10/25/10	10/03/11		42,561.24	35,264.65	0.00	7,296.59	
Security Subtotal					43,072.95	35,767.13	0.00	7,305.82	
UNILEVER NV NY REG SHS REDEEMED									
31.0000	Sale	03/05/10	01/19/11	904784709	951.99	965.57	0.00	(13.58)	
1399 0000	Sale	03/05/10	02/04/11		41,676.38	43,575.21	0.00	(1,898.83)	
161.0000	Sale	12/17/10	02/04/11		4,796.22	5,022.30	0.00	(226.08)	
Security Subtotal					47,424.59	49,563.08	0.00	(2,138.49)	

State ment



Taxpayer No.
59-2891582

Page
9

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
UNITED STS STL CORP NEW REDEEMED		CUSIP Number	912909108					
458 0000	Sale	08/04/10	03/24/11	24,374.02	21,903.53	0.00	2,470.49	
UNITED TECHS CORP COM REDEEMED		CUSIP Number	913017109					
95.0000	Sale	11/05/10	10/12/11	7,049.88	7,280.33	0.00	(230.45)	
VODAFONE GROU PLC SP ADR REDEEMED		CUSIP Number	92857W209					
32 0000	Sale	10/19/10	01/19/11	908.78	842.67	0.00	66.11	
WELLPOINT INC REDEEMED		CUSIP Number	94973V107					
2 0000	Sale	02/23/10	01/19/11	124.53	117.98	0.00	6.55	
Noncovered Short Term Capital Gains and Losses Subtotal				1,082,033.58	1,029,652.73	0.00	52,380.85	
NET SHORT TERM CAPITAL GAINS AND LOSSES				1,683,910.53	1,676,170.68	0.00	7,739.85	

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

AGRIUM INC REDEEMED		CUSIP Number	008916108					
339 0000	Sale	06/24/09	11/15/11	26,027.41	13,927.72	0.00	12,099.69	
329 0000	Sale	08/04/10	11/15/11	25,259.64	21,669.26	0.00	3,590.38	
55.0000	Sale	11/05/10	11/15/11	4,722.73	4,724.50	0.00	(501.77)	
Security Subtotal				55,509.78	40,321.48	0.00	15,188.30	
ANNALY CAP MGMT INC REDEEMED		CUSIP Number	035710409					
1447 0000	Sale	07/22/10	08/26/11	25,411.00	25,667.03	0.00	(256.03)	

State men + 9

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
AMERIPRISE FINL INC								
REDEEMED		CUSIP Number	03076C106					
54 0000 Sale		08/13/09	05/06/11	3,308.90	1,563.04	0.00	1,745.86	
772 0000 Sale		08/14/09	05/06/11	47,305.10	22,285.32	0.00	25,019.78	
598 0000 Sale		08/14/09	05/27/11	36,187.69	17,262.47	0.00	18,925.22	
Security Subtotal				86,801.69	41,110.83	0.00	45,690.86	
AT&T INC								
REDEEMED		CUSIP Number	00206R102					
172 0000 Sale		10/05/06	01/19/11	4,885.64	5,501.51	0.00	(615.87)	
AMGEN INC COM PV \$0 0001								
REDEEMED		CUSIP Number	031162100					
495 0000 Sale		10/13/08	01/12/11	27,834.71	25,234.96	0.00	2,599.75	
BCE INC								
REDEEMED		CUSIP Number	05534B760					
341 0000 Sale		06/08/10	10/17/11	13,015.48	10,253.80	0.00	2,761.68	
80 0000 Sale		06/14/10	10/17/11	3,053.48	2,433.00	0.00	620.48	
Security Subtotal				16,068.96	12,686.80	0.00	3,382.16	
CB RICHARD ELLIS GR INC								
REDEEMED		CUSIP Number	12497T101					
1656 0000 Sale		02/17/10	07/28/11	35,173.92	21,403.80	0.00	13,770.12	
540 0000 Sale		05/27/10	07/28/11	11,469.75	8,581.19	0.00	2,888.56	
1135 0000 Sale		05/28/10	07/28/11	24,107.74	18,172.26	0.00	5,935.48	
Security Subtotal				70,751.41	48,157.25	0.00	22,594.16	
CHEVRON CORP								
REDEEMED		CUSIP Number	166764100					
49 0000 Sale		05/04/07	01/19/11	4,563.28	3,915.05	0.00	648.23	
265 0000 Sale		05/04/07	07/14/11	28,134.03	21,173.25	0.00	6,960.78	
176 0000 Sale		05/04/07	10/27/11	19,182.01	14,062.23	0.00	5,119.78	
12 0000 Sale		05/04/07	11/15/11	1,236.66	958.79	0.00	277.87	
345 0000 Sale		10/19/07	11/15/11	35,554.01	31,439.40	0.00	4,114.61	
285 0000 Sale		12/03/07	11/15/11	29,370.71	24,952.63	0.00	4,418.08	
294 0000 Sale		12/07/07	11/15/11	30,298.22	26,833.88	0.00	3,464.34	
Security Subtotal				148,338.92	123,335.23	0.00	25,003.69	

Statement



Taxpayer No.
59-2891582

Page
//

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CHUBB CORP REDEEMED									
810,000	Sale	07/23/10	12/02/11	171232101	54,563.38	42,827.29	0.00	11,736.09	
45,000	Sale	11/05/10	12/02/11		3,031.29	2,664.90	0.00	366.39	
	Security Subtotal				57,594.67	45,492.19	0.00	12,102.48	
CISCO SYSTEMS INC COM REDEEMED									
38,000	Sale	10/20/09	01/19/11	17275R102	793.23	915.01	0.00	(121.78)	
1772,000	Sale	10/20/09	03/15/11		30,760.26	42,668.16	0.00	(11,907.90)	
	Security Subtotal				31,553.49	43,583.17	0.00	(12,029.68)	
CUMMINS INC COM REDEEMED									
26,000	Sale	04/24/08	01/19/11	231021106	2,946.78	1,422.51	0.00	1,524.27	
339,000	Sale	04/24/08	03/01/11		34,128.57	18,547.41	0.00	15,581.16	
	Security Subtotal				37,075.35	19,969.92	0.00	17,105.43	
DEVON ENERGY CORP NEW REDEEMED									
1,000	Sale	11/25/08	01/19/11	25179M103	83.54	73.00	0.00	10.54	
DREAMWORKS ANIMATION INC REDEEMED									
312,000	Sale	12/07/09	04/19/11	26153C103	8,219.04	12,036.68	0.00	(3,817.64)	
793,000	Sale	12/07/09	04/20/11		21,017.66	30,593.23	0.00	(9,575.57)	
38,000	Sale	03/30/10	04/20/11		1,007.16	1,500.19	0.00	(493.03)	
266,000	Sale	03/30/10	05/20/11		6,659.84	10,501.30	0.00	(3,841.46)	
615,000	Sale	03/31/10	05/20/11		15,397.76	24,280.14	0.00	(8,882.38)	
	Security Subtotal				52,301.46	78,911.54	0.00	(26,610.08)	
DEERE CO REDEEMED									
5,000	Sale	08/25/09	01/19/11	244199105	449.24	232.83	0.00	216.41	
106,000	Sale	08/25/09	05/13/11		9,354.51	4,935.94	0.00	4,418.57	
219,000	Sale	05/18/10	10/18/11		15,272.22	12,955.32	0.00	2,316.90	
66,000	Sale	05/28/10	10/18/11		4,602.59	3,810.50	0.00	792.09	
	Security Subtotal				29,678.56	21,934.59	0.00	7,743.97	

Statement



Taxpayer No.
59-2891582

Page
12

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
DISNEY (WALT) CO COM STK								
	REDEEMED	CUSIP Number	254687106					
12 0000	Sale	05/20/09	01/19/11	470.63	283 10	0.00	187 53	
203 0000	Sale	05/20/09	06/09/11	7 959 13	4 789 10	0.00	3 170 03	
1160 0000	Sale	08/13/09	06/09/11	45 480 75	30 181 81	0.00	15 298 94	
500 0000	Sale	08/13/09	12/14/11	17 828 20	13 009 40	0.00	4 818 80	
Security Subtotal				71 738.71	48 263.41	0.00	23 475.30	
E M C CORPORATION MASS								
	REDEEMED	CUSIP Number	268648102					
201 0000	Sale	08/27/09	01/19/11	4 897 36	3 144 79	0.00	1 752 57	
779 0000	Sale	08/27/09	06/09/11	20 975 80	12 188 00	0.00	8 787 80	
1665 0000	Sale	10/08/09	06/09/11	44 832 76	29 356 61	0.00	15 476 15	
Security Subtotal				70 705.92	44 689.40	0.00	26 016.52	
EXXON MOBIL CORP COM								
	REDEEMED	CUSIP Number	30231G102					
1 0000	Sale	12/02/09	01/19/11	78 82	75 63	0.00	3 19	
349 0000	Sale	12/02/09	07/14/11	28 924 35	26 396 44	0.00	2 527 91	
Security Subtotal				29 003.17	26 472.07	0.00	2 531.10	
EXELIS INC SHS								
	REDEEMED	CUSIP Number	30162A108					
495 0000	Sale	11/11/09	11/07/11	5 473 95	6 072 33	0.00	(598 38)	
724 0000	Sale	11/12/09	11/07/11	8 006 34	8 855 53	0.00	(849 19)	
505 0000	Sale	01/29/10	11/07/11	5 584 54	5 720 30	0.00	(135 76)	
95 0000	Sale	11/05/10	11/07/11	1 050 55	1 070 65	0.00	(20 10)	
Security Subtotal				20 115.38	21 718 81	0.00	(1 603.43)	
FORD MOTOR CO NEW								
	REDEEMED	CUSIP Number	345370860					
2132 0000	Sale	09/03/09	02/02/11	32 806 59	15 196 69	0.00	17 609 90	

Statement



Taxpayer No.
59-2891582

Page
13

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
GENL DYNAMICS CORP COM								
	REDEEMED	CUSIP Number	369550108					
22 0000	Sale	01/07/10	04/15/11	1,584.62	1,525.07	0.00	59.55	
295.0000	Sale	01/08/10	04/15/11	21,248.35	20,417.98	0.00	830.37	
86 0000	Sale	01/08/10	04/18/11	6,106.97	5,952.36	0.00	154.61	
306.0000	Sale	01/14/10	04/18/11	21,729.47	21,572.79	0.00	156.68	
Security Subtotal				50,669.41	49,468.20	0.00	1,201.21	
GOLDMAN SACHS GROUP INC								
	REDEEMED	CUSIP Number	38141G104					
26 0000	Sale	07/31/08	01/25/11	4,203.01	4,783.59	0.00	(580.58)	
136.0000	Sale	08/01/08	01/25/11	21,985.02	24,569.65	0.00	(2,584.63)	
333.0000	Sale	02/04/09	01/25/11	53,830.99	29,477.16	0.00	24,353.83	
Security Subtotal				80,019.02	58,830.40	0.00	21,188.62	
GENERAL ELECTRIC								
	REDEEMED	CUSIP Number	369604103					
407 0000	Sale	09/21/09	01/19/11	7,456.10	6,796.70	0.00	659.40	
1166 0000	Sale	09/21/09	02/02/11	24,157.89	19,471.62	0.00	4,686.27	
1499 0000	Sale	09/21/09	02/16/11	32,019.08	25,032.55	0.00	6,986.53	
395 0000	Sale	09/21/09	06/07/11	7,367.20	6,596.30	0.00	770.90	
2790 0000	Sale	10/14/09	06/07/11	52,036.69	46,944.54	0.00	5,092.15	
Security Subtotal				123,036.96	104,841.71	0.00	18,195.25	
HARTFORD FINL SVCS GROUP								
	REDEEMED	CUSIP Number	416515104					
1091 0000	Sale	11/17/10	12/07/11	20,158.45	25,758.51	0.00	(5,600.06)	
997.0000	Sale	11/17/10	12/08/11	17,209.28	23,539.17	0.00	(6,329.89)	
Security Subtotal				37,367.73	49,297.68	0.00	(11,929.95)	
HOSPIRA INC								
	REDEEMED	CUSIP Number	441060100					
231 0000	Sale	05/18/10	07/06/11	12,476.80	12,225.21	0.00	251.59	
260 0000	Sale	05/28/10	07/06/11	14,043.17	13,645.55	0.00	397.62	
Security Subtotal				26,519.97	25,870.76	0.00	649.21	

Statement



Taxpayer No.
59-2891582

Page
14

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HEWLETT PACKARD CO DEL REDEEMED									
125 0000	Sale	07/30/08	01/19/11	428236103	5,786.72	5,562.19	0.00	224.53	
145 0000	Sale	07/30/08	04/07/11		5,986.68	6,452.14	0.00	(465.46)	
1050 0000	Sale	07/15/09	04/07/11		43,351.88	40,661.46	0.00	2,690.42	
Security Subtotal					55,125.28	52,675.79	0.00	2,449.49	
INTEL CORP REDEEMED									
1 0000	Sale	10/08/09	01/19/11	458140100	20.96	19.68	0.00	1.28	
1464 0000	Sale	10/08/09	03/16/11		29,174.62	28,817.97	0.00	356.65	
Security Subtotal					29,195.58	28,837.65	0.00	357.93	
INTL BUSINESS MACHINES CORP IBM REDEEMED									
147 0000	Sale	02/12/10	07/18/11	459200101	25,620.86	18,137.93	0.00	7,482.93	
146 0000	Sale	05/28/10	07/18/11		25,446.56	18,268.66	0.00	7,177.90	
51 0000	Sale	06/25/10	07/18/11		8,888.88	6,520.82	0.00	2,368.06	
108 0000	Sale	06/25/10	08/19/11		17,443.08	13,808.81	0.00	3,634.27	
Security Subtotal					77,399.38	56,736.22	0.00	20,663.16	
INTL PAPER CO REDEEMED									
42 0000	Sale	09/09/09	01/19/11	460146103	1,191.94	978.41	0.00	213.53	
645 0000	Sale	09/09/09	03/15/11		16,479.49	15,025.53	0.00	1,453.96	
Security Subtotal					17,671.43	16,003.94	0.00	1,667.49	
ITT CORP SHS REDEEMED									
247 0000	Sale	11/11/09	11/07/11	450911201	4,976.36	4,993.55	0.00	(17.19)	
362 0000	Sale	11/12/09	11/07/11		7,293.29	7,297.05	0.00	(3.76)	
253 0000	Sale	01/29/10	11/07/11		5,097.25	4,723.70	0.00	373.55	
47 0000	Sale	11/05/10	11/07/11		946.92	872.94	0.00	73.98	
Security Subtotal					18,313.82	17,887.24	0.00	426.58	

Statement + 9

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
KANSAS CITY SOUTHERN REDEEMED									
664 0000	Sale	06/01/10	485170302	10/27/11	42,657.46	25,379.87	0.00	17,277.59	
65 0000	Sale	06/02/10		10/27/11	4,175.81	2,522.32	0.00	1,653.49	
305 0000	Sale	06/02/10		12/07/11	20,375.10	11,835.49	0.00	8,539.61	
	Security Subtotal				67,208.37	39,737.68	0.00	27,470.69	
METLIFE INC REDEEMED									
40 0000	Sale	12/10/09	59156R108	01/19/11	1,831.96	1,434.77	0.00	397.19	
614 0000	Sale	12/10/09		07/08/11	26,807.77	22,023.69	0.00	4,784.08	
	Security Subtotal				28,639.73	23,458.46	0.00	5,181.27	
MYLAN INC REDEEMED									
623 0000	Sale	01/26/09	628530107	12/22/11	13,321.54	7,036.60	0.00	6,284.94	
505 0000	Sale	01/27/09		12/22/11	10,798.35	5,743.87	0.00	5,054.48	
149 0000	Sale	09/22/10		12/22/11	3,186.06	2,735.24	0.00	450.82	
	Security Subtotal				27,305.95	15,515.71	0.00	11,790.24	
OCCIDENTAL PETE CORP CAL REDEEMED									
2 0000	Sale	06/29/09	674599105	01/19/11	197.49	132.54	0.00	64.95	
419 0000	Sale	06/29/09		12/14/11	37,897.69	27,766.50	0.00	10,131.19	
	Security Subtotal				38,095.18	27,899.04	0.00	10,196.14	
ORACLE CORP \$0 01 DEL REDEEMED									
692 0000	Sale	08/13/09	68389X105	03/07/11	22,180.52	15,159.58	0.00	7,020.94	
1073 0000	Sale	08/13/09		03/16/11	32,266.42	23,506.10	0.00	8,760.32	
	Security Subtotal				54,446.94	38,665.68	0.00	15,781.26	
PG&E CORP REDEEMED									
772 0000	Sale	05/05/10	69331C108	08/26/11	31,604.91	34,359.40	0.00	(2,754.49)	
160 0000	Sale	07/13/10		08/26/11	6,550.24	6,821.97	0.00	(271.73)	
	Security Subtotal				38,155.15	41,181.37	0.00	(3,026.22)	

Statement +



Taxpayer No.
59-2891582

Page
16

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PFIZER INC REDEEMED								
96 0000	Sale	02/03/09	01/19/11	1,757.21	1,467.04	0.00	290.17	
3309 0000	Sale	02/03/09	04/21/11	64,850.85	50,567.15	0.00	14,283.70	
	Security Subtotal			66,608.06	52,034.19	0.00	14,573.87	
TARGET CORP REDEEMED								
773 0000	Sale	10/12/09	04/15/11	38,946.00	38,944.90	0.00	1.10	
119 0000	Sale	10/12/09	12/22/11	6,113.02	5,995.40	0.00	117.62	
790 0000	Sale	03/26/10	12/22/11	40,582.23	42,713.88	0.00	(2,131.65)	
90 0000	Sale	11/05/10	12/22/11	4,623.29	4,947.30	0.00	(324.01)	
101 0000	Sale	12/17/10	12/22/11	5,188.37	5,930.35	0.00	(741.98)	
	Security Subtotal			95,452.91	98,531.83	0.00	(3,078.92)	
UNITED HEALTH GROUP INC REDEEMED								
235 0000	Sale	08/26/09	01/19/11	9,561.99	6,802.85	0.00	2,759.14	
645 0000	Sale	08/26/09	07/08/11	33,541.49	18,671.66	0.00	14,869.83	
	Security Subtotal			43,103.48	25,474.51	0.00	17,628.97	
UNITED STS STL CORP NEW REDEEMED								
440 0000	Sale	08/13/09	01/07/11	24,832.29	20,532.78	0.00	4,299.51	
226 0000	Sale	08/14/09	01/07/11	12,754.78	10,509.39	0.00	2,245.39	
254 0000	Sale	08/14/09	03/24/11	13,517.46	11,811.43	0.00	1,706.03	
	Security Subtotal			51,104.53	42,853.60	0.00	8,250.93	
UNITED TECHS CORP COM REDEEMED								
22 0000	Sale	07/09/09	01/19/11	1,756.23	1,095.38	0.00	660.85	
72 0000	Sale	07/09/09	05/06/11	6,502.11	3,584.86	0.00	2,917.25	
258 0000	Sale	03/02/10	05/06/11	23,299.26	18,044.62	0.00	5,254.64	
92 0000	Sale	03/02/10	10/12/11	6,827.24	6,434.52	0.00	392.72	
129 0000	Sale	03/03/10	10/12/11	9,572.98	9,035.48	0.00	537.50	
	Security Subtotal			47,957.82	38,194.86	0.00	9,762.96	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
WELLPOINT INC								
REDEEMED								
364 0000	Sale	02/23/10	07/08/11	28,045.11	21,471.92	0.00	6,573.19	
Noncovered Long Term Capital Gains and Losses Subtotal				1,969,700.76	1,613,788.32	0.00	355,912.44	
NET LONG TERM CAPITAL GAINS AND LOSSES				1,969,700.76	1,613,788.32	0.00	355,912.44	

Statement + G

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES								
NONCOVERED TRANSACTIONS								
225,000	TYCO INTL LTD NAMEN-AKT REDEEMED Sale	08/30/10	04/26/11	11,067.55	8,509.23	0.00	2,558.32	
	Noncovered Short Term Capital Gains and Losses Subtotal			11,067.55	8,509.23	0.00	2,558.32	I
	NET SHORT TERM CAPITAL GAINS AND LOSSES			11,067.55	8,509.23	0.00	2,558.32	ment

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS								
412,000	COSTCO WHOLESale CRP DEL REDEEMED Sale	03/07/05	08/29/11	31,990.94	18,498.84	0.00	13,492.10	State
58,000	58,000 Sale	03/07/05	08/30/11	4,500.43	2,604.21	0.00	1,896.22	
	Security Subtotal			36,491.37	21,103.05	0.00	15,388.32	

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MOODY'S CORP REDEEMED									
672.0000	Sale	03/07/05	02/09/11	615369105	20,249.46	28,943.04	0.00	(8,693.58)	
938.0000	Sale	03/07/05	02/10/11		28,448.24	40,399.66	0.00	(11,951.42)	
	Security Subtotal				48,697.70	69,342.70	0.00	(20,645.00)	
PFIZER INC REDEEMED									
2770.0000	Sale	03/04/09	09/27/11	717081103	49,894.77	34,987.59	0.00	14,907.18	
480.0000	Sale	09/16/09	09/27/11		8,646.02	7,832.64	0.00	813.38	
566.0000	Sale	01/19/10	09/27/11		10,195.12	11,274.32	0.00	(1,079.20)	
	Security Subtotal				68,735.91	54,094.55	0.00	14,641.36	
PROCTER & GAMBLE CO REDEEMED									
1125.0000	Sale	05/08/06	07/20/11	742718109	72,245.32	62,994.83	0.00	9,250.49	
400.0000	Sale	04/03/08	07/20/11		25,687.23	28,044.00	0.00	(2,356.77)	
	Security Subtotal				97,932.55	91,038.83	0.00	6,893.72	
SEALED AIR CORP (NEW) REDEEMED									
483.0000	Sale	03/07/05	06/14/11	81211K100	11,379.16	12,815.51	0.00	(1,436.35)	
TYCO INTL LTD NAMEN-AKT REDEEMED									
631.0000	Sale	03/07/05	04/26/11	H89128104	31,038.29	34,973.24	0.00	(3,934.95)	
112.0000	Sale	03/09/05	04/26/11		5,509.17	6,205.00	0.00	(695.83)	
	Security Subtotal				36,547.46	41,178.24	0.00	(4,630.78)	
	Noncovered Long Term Capital Gains and Losses Subtotal				299,784.15	289,572.88	0.00	10,211.27	
	NET LONG TERM CAPITAL GAINS AND LOSSES				299,784.15	289,572.88	0.00	10,211.27	

State ment + H

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
----------	-------------------------	------------------------------	----------------------------	--------	------------	-------------	----------------	---------

SHORT TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

AEGON N V NY REG SHS REDEEMED	954 0000 Sale	06/10/11	08/18/11	4,021 90	6,211 40	0.00	(2,189.50)	
ANGLO AMERN PLC ADR REDEEMED	259 0000 Sale	03/16/11	08/18/11	4,895.01	6,187 04	0.00	(1,292 03)	
BANCO BILBAO VIZCAYA ARGENTARIA S A ADR REDEEMED	627.0000 Sale	03/09/11	08/18/11	5,500 26	7,467 82	0.00	(1,967 56)	
BILLABONG INTL LTD-UNSP ADR REDEEMED	377 0000 Sale	04/29/11	08/18/11	3,845.32	5,527.84	0.00	(1,682.52)	
	584 0000 Sale	05/06/11	08/18/11	5,956 68	8,286.08	0.00	(2,329.40)	
	464 0000 Sale	06/07/11	08/18/11	4,732.72	6,083.97	0.00	(1,351 25)	
Security Subtotal				14,534.72	19,897.89	0.00	(5,363.17)	

BLUESCOPE STEEL LTD-UNSP ADR REDEEMED	534 0000 Sale	02/04/11	08/18/11	2,322.86	5,963 61	0.00	(3,640 75)	
---------------------------------------	---------------	----------	----------	----------	----------	------	------------	--

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
COCA-COLA WEST HOLDINGS CO LTD SHS REDEEMED	520,000	02/04/11	191235100	04/11/11	5,037.10	4,748.80	0.00	288.30	
CHINA RAILWAY GROUP LTD SHS REDEEMED	387,000	02/07/11	169445103	08/18/11	2,716.69	6,867.43	0.00	(4,150.74)	
CHINA DONGXIANG (GROUP) CO LTD SHS REDEEMED	664,000	01/27/11	169475100	08/18/11	7,204.26	14,876.26	0.00	(7,672.00)	
CARREFOUR SA SPONSORED ADR REDEEMED	885,000	05/20/11	144430204	08/18/11	5,522.30	7,640.12	0.00	(2,117.82)	
	259,000	07/29/11		08/18/11	1,616.13	1,755.35	0.00	(139.22)	
	263,000	08/01/11		08/18/11	1,641.09	1,778.43	0.00	(137.34)	
	296,000	08/02/11		08/18/11	1,847.02	1,950.73	0.00	(103.71)	
Security Subtotal					10,626.54	13,124.63	0.00	(2,498.09)	
COMMERZBANK A G SPNS ADR REDEEMED	221,000	04/14/11	202597308	08/18/11	6,415.53	11,927.56	0.00	(5,512.03)	
	1783,000	06/29/11		08/18/11	5,173.63	7,641.76	0.00	(2,468.13)	
	1469,000	07/26/11		08/18/11	4,262.53	5,722.64	0.00	(1,460.11)	
Security Subtotal					15,851.69	25,291.96	0.00	(9,440.27)	
DAIMLER A REDEEMED	125,000	02/28/11	D1668R123	08/18/11	6,441.13	8,852.78	0.00	(2,411.65)	
DEUTSCHE BK AG REG SHS REDEEMED	104,000	06/01/11	D18190898	08/18/11	4,159.93	6,127.53	0.00	(1,967.60)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
DANONE-SPONS REDEEMED	ADR	02/04/11	23636T100	05/10/11	10,907.61	9,656.73	0.00	1,250.88	
787 0000 Sale									
ERICSSON LM TEL CL B ADR			294821608						
SEK 10 NEW REDEEMED		07/29/11		08/18/11	5,891.43	7,155.22	0.00	(1,263.79)	
573 0000 Sale									
EAST JAPAN RY CO ADR			273202101						
REDEEMED		01/24/11		08/18/11	5,674.14	6,164.22	0.00	(490.08)	
572 0000 Sale									
ELECTRICITE DE FRANCE EDF SHS REDEEMED			285039103						
742 0000 Sale		05/10/11		08/18/11	4,184.81	6,034.83	0.00	(1,850.02)	
EASYJET PLC-SPON REDEEMED			277856100						
488 0000 Sale		03/02/11		08/18/11	10,345.40	11,401.68	0.00	(1,056.28)	
ESPRIT HOLDNG LTD SP ADR			29666V204						
REDEEMED		04/07/11		08/18/11	3,491.65	6,281.06	0.00	(2,789.41)	
665 0000 Sale		05/09/11		08/18/11	5,565.64	8,288.35	0.00	(2,722.71)	
1060 0000 Sale		05/23/11		08/18/11	6,017.21	8,802.20	0.00	(2,784.99)	
1146 0000 Sale									
Security Subtotal					15,074.50	23,371.61	0.00	(8,297.11)	
FOSTERS GROUP LTD ADR			350258307						
REDEEMED		01/12/11		04/07/11	1,434.06	1,329.40	0.00	104.66	
243 0000 Sale		01/13/11		04/07/11	6,780.82	6,315.82	0.00	465.00	
1149 0000 Sale									
Security Subtotal					8,214.88	7,645.22	0.00	569.66	
FRANCE TELECOM ADR			35177Q105						
REDEEMED		03/14/11		08/18/11	1,374.98	1,602.05	0.00	(227.07)	
75 0000 Sale		03/15/11		08/18/11	3,904.95	4,379.92	0.00	(474.97)	
213 0000 Sale									
Security Subtotal					5,279.93	5,981.97	0.00	(702.04)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
FOXCONN INTER-UNSPON ADR								
1023.0000	Sale	04/12/11	08/18/11	8,899.93	12,340.96	0.00	(3,441.03)	
FUJITSU LTD NEW ADR								
133.0000	Sale	01/05/11	08/18/11	3,298.33	4,570.53	0.00	(1,272.20)	
327.0000	Sale	01/06/11	08/18/11	8,109.44	11,134.78	0.00	(3,025.34)	
191.0000	Sale	01/24/11	08/18/11	4,736.72	6,302.16	0.00	(1,565.44)	
Security Subtotal				16,144.49	22,007.47	0.00	(5,862.98)	
HUANENG PWR INTL SP ADR								
270.0000	Sale	04/11/11	08/18/11	4,566.50	6,205.49	0.00	(1,638.99)	
145.0000	Sale	04/12/11	08/18/11	2,452.38	3,369.18	0.00	(916.80)	
107.0000	Sale	06/01/11	08/18/11	1,809.69	2,463.78	0.00	(654.09)	
105.0000	Sale	06/06/11	08/18/11	1,775.87	2,435.57	0.00	(659.70)	
Security Subtotal				10,604.44	14,474.02	0.00	(3,869.58)	
HSBC HLDG PLC SP ADR								
133.0000	Sale	03/25/11	08/18/11	5,587.69	6,948.61	0.00	(1,360.92)	
HUSQVARNA AB CL B ADR								
432.0000	Sale	04/26/11	08/18/11	4,116.88	6,726.28	0.00	(2,609.40)	
KIRIN HOLDINGS LTD SP AD								
795.0000	Sale	04/01/11	06/02/11	10,909.18	10,405.60	0.00	503.58	
125.0000	Sale	04/01/11	07/15/11	1,773.96	1,636.10	0.00	137.86	
453.0000	Sale	04/07/11	07/15/11	6,428.86	5,761.84	0.00	667.02	
1068.0000	Sale	04/07/11	08/18/11	13,531.30	13,584.22	0.00	(52.92)	
Security Subtotal				32,643.30	31,387.76	0.00	1,255.54	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
KONINKL PHIL E NY SH NEW									
274.0000	REDEEMED Sale	04/21/11	500472303	08/18/11	5,327.09	8,028.64	0.00	(2,701.55)	
359.0000	Sale	05/03/11		08/18/11	6,979.65	10,921.57	0.00	(3,941.92)	
335.0000	Sale	06/27/11		08/18/11	6,513.06	8,078.89	0.00	(1,565.83)	
	Security Subtotal				18,819.80	27,029.10	0.00	(8,209.30)	
KAO CORP SPD ADR									
155.0000	REDEEMED Sale	02/03/11	485537302	08/18/11	3,967.43	4,163.77	0.00	(196.34)	
165.0000	Sale	06/01/11		08/18/11	4,223.39	4,180.62	0.00	42.77	
62.0000	Sale	06/02/11		08/18/11	1,586.98	1,551.89	0.00	35.09	
	Security Subtotal				9,777.80	9,896.28	0.00	(118.48)	
LONMIN PLC SPON ADR									
216.0000	REDEEMED Sale	02/04/11	543360203	08/18/11	3,976.48	6,320.64	0.00	(2,344.16)	
609.0000	Sale	05/04/11		08/18/11	11,211.47	16,396.72	0.00	(5,185.25)	
87.0000	Sale	08/03/11		08/18/11	1,601.64	1,763.49	0.00	(161.85)	
200.0000	Sale	08/04/11		08/18/11	3,681.94	4,155.90	0.00	(473.96)	
	Security Subtotal				20,471.53	28,636.75	0.00	(8,165.22)	
LONZA GROUP AG SHS									
603.0000	REDEEMED Sale	01/14/11	54338V101	02/25/11	5,095.19	4,355.71	0.00	739.48	
137.0000	Sale	01/14/11		08/18/11	832.94	989.61	0.00	(156.67)	
1134.0000	Sale	01/20/11		08/18/11	6,894.59	8,189.63	0.00	(1,295.04)	
	Security Subtotal				12,822.72	13,534.95	0.00	(712.23)	
MITSUBISHI UFJ FINL GRP INC									
1489.0000	REDEEMED Sale	02/25/11	606822104	08/18/11	6,745.65	8,162.70	0.00	(1,417.05)	
MEDICEO PALTC H UNSP ADR									
701.0000	REDEEMED Sale	01/24/11	58502T107	08/18/11	6,217.75	7,493.69	0.00	(1,275.94)	
696.0000	Sale	02/04/11		08/18/11	6,173.41	6,543.86	0.00	(370.45)	
	Security Subtotal				12,391.16	14,037.55	0.00	(1,646.39)	

Statement I



Merrill Lynch
Bank of America Corporation

Taxpayer No.
59-2891582

Page
6

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MURRAY AND ROBERTS HOLDINGS LTD SHS REDEEMED									
	924 0000 Sale	01/18/11	08/18/11	626805204	3,437.21	5,427.21	0.00	(1,990.00)	
	1187 0000 Sale	01/20/11	08/18/11		4,415.55	6,797.00	0.00	(2,381.45)	
	991 0000 Sale	01/26/11	08/18/11		3,686.46	5,643.75	0.00	(1,957.29)	
	Security Subtotal				11,539.22	17,867.96	0.00	(6,328.74)	
NOKIA CORP SPON ADR REDEEMED									
	751 0000 Sale	03/16/11	08/18/11	654902204	4,430.91	6,090.61	0.00	(1,659.70)	
PACIFIC BASIN SHIP UNSP ADR REDEEMED									
	595 0000 Sale	01/18/11	08/18/11	69402P103	5,360.84	7,862.87	0.00	(2,502.03)	
	466 0000 Sale	02/07/11	08/18/11		4,198.59	6,167.04	0.00	(1,968.45)	
	Security Subtotal				9,559.43	14,029.91	0.00	(4,470.48)	
PANASONIC CORP SHS REDEEMED									
	233 0000 Sale	03/11/11	08/18/11	69832A205	2,361.78	2,962.46	0.00	(600.68)	
	445 0000 Sale	03/14/11	08/18/11		4,510.72	5,267.91	0.00	(757.19)	
	Security Subtotal				6,872.50	8,230.37	0.00	(1,357.87)	
ROYAL DUTCH SHELL PLC SPONS ADR A REDEEMED									
	11.0000 Sale	03/25/11	08/18/11	780259206	699.43	767.85	0.00	(68.42)	
RICOH CO LTD NEW ADR REDEEMED									
	181.0000 Sale	01/13/11	08/18/11	765658307	8,117.69	12,967.20	0.00	(4,849.51)	
	87 0000 Sale	02/03/11	08/18/11		3,901.87	5,712.92	0.00	(1,811.05)	
	33 0000 Sale	02/04/11	08/18/11		1,480.02	2,157.80	0.00	(677.78)	
	124 0000 Sale	03/17/11	08/18/11		5,561.30	6,602.93	0.00	(1,041.63)	
	82 0000 Sale	03/22/11	08/18/11		3,677.63	4,843.94	0.00	(1,166.31)	
	123.0000 Sale	06/06/11	08/18/11		5,516.46	6,551.93	0.00	(1,035.47)	
	Security Subtotal				28,254.97	38,836.72	0.00	(10,581.75)	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	SPN ADR	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ROCHE HLDG LTD REDEEMED	161 0000	Sale	07/15/11	08/18/11	771195104	6,605.72	6,725.68	0.00	(119.96)	
SOCIETE GENERAL REDEEMED	499 0000	Sale	05/10/11	08/18/11	83364L109	3,133.68	6,191.19	0.00	(3,057.51)	
SEKISUI HSE LD SPONS ADR REDEEMED	633 0000	Sale	01/18/11	03/15/11	816078307	5,941.47	6,539.02	597.55 (W)	0.00	
	16 0000	Sale	01/18/11	03/15/11		150.18	165.28	0.00	(15.10)	
	5 0000	Sale	01/18/11	08/18/11		41.74	51.65	0.00	(9.91)	
	950 0000	Sale	01/20/11	08/18/11		7,932.35	9,618.85	0.00	(1,686.50)	
	633 0000	Sale	01/26/11	08/18/11		5,285.46	6,942.43	0.00 (V)	(1,656.97)	
Security Subtotal						19,351.20	23,317.23	597.55	(3,368.48)	
SHIN-ETSU CHEM-UNSPON REDEEMED	816 0000	Sale	03/15/11	08/18/11	824551105	9,207.82	9,194.50	0.00	13.32	
SUMITOMO MITSUI-UNSPONS ADR REDEEMED	837 0000	Sale	03/01/11	08/18/11	86562M209	4,714.74	6,467.16	0.00	(1,752.42)	
SARAS SPA-UNSP REDEEMED	1073 0000	Sale	05/10/11	08/18/11	803249101	7,993.69	13,345.76	0.00	(5,352.07)	
	824 0000	Sale	05/11/11	08/18/11		6,138.68	10,166.43	0.00	(4,027.75)	
	641 0000	Sale	05/12/11	08/18/11		4,775.36	7,740.72	0.00	(2,965.36)	
	769 0000	Sale	06/13/11	08/18/11		5,728.95	8,744.84	0.00	(3,015.89)	
Security Subtotal						24,636.68	39,997.75	0.00	(15,361.07)	
SVENSKA C AKTI SPONS ADR REDEEMED	530 0000	Sale	05/10/11	08/18/11	869587402	6,624.87	8,152.25	0.00	(1,527.38)	
	410 0000	Sale	05/20/11	08/18/11		5,124.90	6,410.80	0.00	(1,285.90)	
	553 0000	Sale	07/26/11	08/18/11		6,912.37	8,329.01	0.00	(1,416.64)	
Security Subtotal						18,662.14	22,892.06	0.00	(4,229.92)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TESCO PLC SPNRD ADR									
	REDEEMED			881575302					
189.0000	Sale	01/20/11	08/18/11		3,500.21	3,640.22	0.00	(140.01)	
255.0000	Sale	01/21/11	08/18/11		4,722.51	4,931.24	0.00	(208.73)	
61.0000	Sale	02/03/11	08/18/11		1,129.69	1,214.80	0.00	(85.11)	
199.0000	Sale	02/04/11	08/18/11		3,685.41	3,925.43	0.00	(240.02)	
346.0000	Sale	02/18/11	08/18/11		6,407.82	7,029.72	0.00	(621.90)	
	Security Subtotal				19,445.64	20,741.41	0.00	(1,295.77)	
TEVA PHARMACTCL INDS ADR									
	REDEEMED			881624209					
203.0000	Sale	08/08/11	08/18/11		7,931.98	7,720.90	0.00	211.08	
TOLL HOLDINGS LTD-UNSP ADR									
	REDEEMED			88948U103					
560.0000	Sale	01/18/11	08/18/11		4,906.79	6,574.06	0.00	(1,667.27)	
828.0000	Sale	01/20/11	08/18/11		7,255.04	9,738.52	0.00	(2,483.48)	
521.0000	Sale	03/22/11	08/18/11		4,565.08	5,997.70	0.00	(1,432.62)	
	Security Subtotal				16,726.91	22,310.28	0.00	(5,583.37)	
TOKYO GAS CO LTD SHS									
	REDEEMED			889115101					
242.0000	Sale	01/13/11	08/18/11		11,071.28	10,548.51	0.00	522.77	
226.0000	Sale	01/20/11	08/18/11		10,339.31	9,883.73	0.00	455.58	
	Security Subtotal				21,410.59	20,432.24	0.00	978.35	
TOKYO ELECTRON LTD SHS									
	REDEEMED			889110102					
65.0000	Sale	03/15/11	08/18/11		5,914.88	7,103.59	0.00	(1,188.71)	
32.0000	Sale	03/23/11	08/18/11		2,911.94	3,644.80	0.00	(732.86)	
65.0000	Sale	08/04/11	08/18/11		5,914.90	6,463.92	0.00	(549.02)	
	Security Subtotal				14,741.72	17,212.31	0.00	(2,470.59)	
TOYODA GOSEI CO LTD ADR									
	REDEEMED			892316100					
131.0000	Sale	02/04/11	08/18/11		4,584.92	5,850.37	0.00	(1,265.45)	

Statement
I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TOYOTA MOTOR CORP ADR									
	REDEEMED			892331307					
88,000	Sale	02/03/11		08/18/11	6,281.86	7,433.76	0.00	(1,151.90)	
82,000	Sale	03/14/11		08/18/11	5,853.55	6,694.59	0.00	(841.04)	
100,000	Sale	03/15/11		08/18/11	7,138.49	7,851.07	0.00	(712.58)	
	Security Subtotal				19,273.90	21,979.42	0.00	(2,705.52)	
UNILEVER PLC NEW ADR									
	REDEEMED			904767704					
181,000	Sale	02/03/11		08/18/11	6,080.29	5,380.75	0.00	699.54	
427,000	Sale	05/20/11		08/18/11	14,344.12	13,615.66	0.00	728.46	
	Security Subtotal				20,424.41	18,996.41	0.00	1,428.00	
UBS AG REG									
	REDEEMED			H89231338					
426,000	Sale	01/05/11		08/18/11	5,739.58	6,991.21	0.00	(1,251.63)	
VIVENDI SHS UNSP ADR									
	REDEEMED			92852T201					
297,000	Sale	08/03/11		08/18/11	6,477.46	6,871.84	0.00	(394.38)	
Covered Short Term Capital Gains and Losses Subtotal					592,335.73	747,926.44	597.55	(154,993.16)	
NONCOVERED TRANSACTIONS									
ALLIANZ SE SPD ADR									
	REDEEMED			018805101					
301,000	Sale	11/09/10		08/18/11	3,094.22	3,822.34	0.00	(728.12)	
136,000	Sale	11/10/10		08/18/11	1,398.06	1,711.11	0.00	(313.05)	
	Security Subtotal				4,492.28	5,533.45	0.00	(1,041.17)	
ALSTOM SA-UNSPON ADR									
	REDEEMED			021244207					
2067,000	Sale	04/23/10		01/20/11	11,227.93	12,714.94	0.00	(1,487.01)	
337,000	Sale	04/29/10		01/20/11	1,830.59	2,012.39	0.00	(181.80)	
538,000	Sale	04/29/10		01/21/11	3,042.49	3,212.67	0.00	(170.18)	
815,000	Sale	05/18/10		01/21/11	4,608.98	4,110.04	0.00	498.94	
1363,000	Sale	09/24/10		08/18/11	5,860.79	6,648.31	0.00	(787.52)	
1,000	Sale	11/09/10		08/18/11	4.29	4.82	0.00	(0.53)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ALSTOM SA-UNSPON ADR REDEEMED									
647.0000 Sale			11/10/10	08/18/11	2,782.05	3,015.60	0.00	(233.55)	
1608.0000 Sale			11/11/10	08/18/11	6,914.28	7,440.22	0.00	(525.94)	
Security Subtotal					36,271.40	39,158.99	0.00	(2,887.59)	
BANCO BILBAO VIZCAYA ARGENTARIA S A ADR REDEEMED									
310.0000 Sale			11/11/10	01/26/11	3,733.48	3,408.70	0.00	324.78	
608.0000 Sale			11/11/10	08/18/11	5,333.57	6,685.46	0.00	(1,351.89)	
521.0000 Sale			12/30/10	08/18/11	4,570.38	5,201.66	0.00	(631.28)	
Security Subtotal					13,637.43	15,295.82	0.00	(1,658.39)	
BP PLC SPON ADR REDEEMED									
170.0000 Sale			11/12/10	08/18/11	6,691.86	7,365.32	0.00	(673.46)	
BANCO POPOLARE SOCIETA' COOPERATIVA SHS PRIN PAYMENT									
2319.0000 Prin Payment			02/18/11	02/18/11	1,285.90	1,285.90	0.00	0.00	
BUZZI UNICEM SPA SHS REDEEMED									
967.0000 Sale			04/16/10	03/01/11	6,818.38	6,948.00	0.00	(129.62)	
144.0000 Sale			04/16/10	03/09/11	1,076.39	1,034.65	0.00	41.74	
523.0000 Sale			04/26/10	03/09/11	3,909.40	4,031.91	0.00	(122.51)	
Security Subtotal					11,804.17	12,014.56	0.00	(210.39)	
CRDT AGRICOLE SA SHS ADR REDEEMED									
760.0000 Sale			12/30/10	08/18/11	3,328.74	4,870.76	0.00	(1,542.02)	
CELESIO AG SHS REDEEMED									
806.0000 Sale			07/28/10	03/01/11	4,373.03	3,702.44	0.00	670.59	
1284.0000 Sale			09/09/10	08/18/11	3,890.45	5,365.32	0.00	(1,474.87)	
Security Subtotal					8,263.48	9,067.76	0.00	(804.28)	

I State men +

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Coca-Cola West Holdings CO LTD SHS REDEEMED		CUSIP Number	191235100					
619 0000 Sale		08/12/10	04/07/11	5,733.81	5,409.81	0.00	324.00	
74 0000 Sale		08/12/10	04/11/11	716.81	646.73	0.00	70.08	
Security Subtotal				6,450.62	6,056.54	0.00	394.08	
China Railway Group Ltd SHS REDEEMED		CUSIP Number	16944S103					
440 0000 Sale		08/20/10	08/18/11	3,088.74	8,223.12	0.00	(5,134.38)	
Carrefour SA SPONSORED ADR REDEEMED		CUSIP Number	144430204					
621 0000 Sale		12/30/10	08/18/11	3,874.96	5,248.44	0.00	(1,373.48)	
151 0000 Sale		12/31/10	08/18/11	942.22	1,271.83	0.00	(329.61)	
Security Subtotal				4,817.18	6,520.27	0.00	(1,703.09)	
Commerzbank A G SPNS ADR PRIN PAYMENT		CUSIP Number	202597308					
2211 0000 Prin Payment		06/23/11	06/23/11	3,053.51	3,053.51	0.00	0.00	
Deutsche Tele AG SPN ADR REDEEMED		CUSIP Number	251566105					
642 0000 Sale		12/30/10	08/18/11	8,403.62	8,192.95	0.00	210.67	
Daiwa House Ind Ltd ADR REDEEMED		CUSIP Number	234062206					
46 0000 Sale		03/09/10	01/20/11	5,681.38	5,204.08	0.00	477.30	
Deutsche BK AG REG SHS REDEEMED		CUSIP Number	D18190898					
97 0000 Sale		10/01/10	07/26/11	5,399.99	5,328.74	0.00	71.25	
128 0000 Sale		10/01/10	08/18/11	5,119.90	7,031.75	0.00	(1,911.85)	
146 0000 Sale		12/08/10	08/18/11	5,839.88	7,503.06	0.00	(1,663.18)	
Security Subtotal				16,359.77	19,863.55	0.00	(3,503.78)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ENI S P A SPONSORED ADR REDEEMED			26874R108					
130.0000 Sale	12/03/10		08/18/11	4,765.72	5,480.90	0.00	(715.18)	
ERICSSON LM TEL CL B ADR SEK 10 NEW REDEEMED			294821608					
886.0000 Sale	11/11/10		08/18/11	9,109.59	9,246.47	0.00	(136.88)	
EUROPEAN AERONAUTIC DEFENCE AND SPACE ADR REDEEMED			29875W100					
241.0000 Sale	01/26/10		01/20/11	6,706.42	4,761.61	0.00	1,944.81	
EAST JAPAN RY CO ADR REDEEMED			273202101					
479.0000 Sale	10/01/10		08/18/11	4,751.59	4,933.27	0.00	(181.68)	
515.0000 Sale	12/03/10		08/18/11	5,108.70	5,251.40	0.00	(142.70)	
Security Subtotal				9,860.29	10,184.67	0.00	(324.38)	
ELECTRICITE DE FRANCE EDF SHS REDEEMED			285039103					
465.0000 Sale	11/12/10		01/26/11	4,040.77	4,141.06	0.00	(100.29)	
259.0000 Sale	11/12/10		08/18/11	1,460.73	2,306.52	0.00	(845.79)	
641.0000 Sale	12/03/10		08/18/11	3,615.17	5,379.59	0.00	(1,764.42)	
734.0000 Sale	12/07/10		08/18/11	4,139.68	6,234.60	0.00	(2,094.92)	
1147.0000 Sale	12/15/10		08/18/11	6,468.95	9,649.02	0.00	(3,180.07)	
Security Subtotal				19,725.30	27,710.79	0.00	(7,985.49)	
ESPRIT HOLDNG LTD SP ADR REDEEMED			29666V204					
522.0000 Sale	09/09/10		08/18/11	2,740.81	5,387.04	0.00	(2,646.23)	
72.0000 Sale	11/16/10		08/18/11	378.04	752.18	0.00	(374.14)	
490.0000 Sale	11/17/10		08/18/11	2,572.79	5,151.13	0.00	(2,578.34)	
673.0000 Sale	12/06/10		08/18/11	3,533.65	6,784.11	0.00	(3,250.46)	
599.0000 Sale	12/20/10		08/18/11	3,145.11	5,981.55	0.00	(2,836.44)	
Security Subtotal				12,370.40	24,056.01	0.00	(11,685.61)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Security Subtotal									
235 0000	Sale	10/01/10	05/09/11	368287207	3,616.22	2,490.79	0.00	1,125.43	
353 0000	Sale	10/01/10	08/18/11		4,077.21	3,741.48	0.00	335.73	
					7,693.43	6,232.27	0.00	1,461.16	
Security Subtotal									
135.0000	Sale	05/20/10	01/20/11	36160B105	5,387.59	4,215.89	0.00	1,171.70	
44 0000	Sale	07/08/10	01/20/11		1,755.96	1,383.12	0.00	372.84	
173.0000	Sale	07/08/10	01/21/11		6,947.50	5,438.17	0.00	1,509.33	
					14,091.05	11,037.18	0.00	3,053.87	
Security Subtotal									
Security Subtotal									
4909 0000	Sale	09/30/10	08/18/11	36468A106	4,958.01	6,925.62	0.00	(1,967.61)	
Security Subtotal									
9.0000	Sale	10/06/10	08/18/11	404280406	378.11	488.00	0.00	(109.89)	
224 0000	Sale	10/22/10	08/18/11		9,410.82	11,751.89	0.00	(2,341.07)	
					9,788.93	12,239.89	0.00	(2,450.96)	
Security Subtotal									
470.0000	Sale	11/17/10	08/18/11	448103101	4,479.01	6,302.23	0.00	(1,823.22)	
Security Subtotal									
472 0000	Sale	02/19/10	02/18/11	43731T102	7,111.67	7,742.41	0.00	(630.74)	
411.0000	Sale	09/09/10	08/18/11		3,390.68	5,542.25	0.00	(2,151.57)	
571.0000	Sale	11/30/10	08/18/11		4,710.66	7,234.00	0.00	(2,523.34)	
487 0000	Sale	12/20/10	08/18/11		4,017.69	5,814.39	0.00	(1,796.70)	
					19,230.70	26,333.05	0.00	(7,102.35)	
Security Subtotal									

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HEINEKEN NV REDEEMED	ADR		423012202						
173.0000	Sale	12/17/10	06/06/11		5,234.88	4,109.67	0.00	1,125.21	
133.0000	Sale	12/17/10	06/28/11		3,916.77	3,159.45	0.00	757.32	
171.0000	Sale	12/17/10	08/18/11		4,527.99	4,062.16	0.00	465.83	
Security Subtotal					13,679.64	11,331.28	0.00	2,348.36	
HUTCHISON WHAMPOA ADR REDEEMED	ADR		448415208						
39.0000	Sale	04/30/10	01/05/11		2,161.10	1,366.54	0.00	794.56	
64.0000	Sale	06/10/10	01/05/11		3,546.44	1,944.98	0.00	1,601.46	
117.0000	Sale	06/10/10	01/20/11		6,993.33	3,555.68	0.00	3,437.65	
Security Subtotal					12,700.87	6,867.20	0.00	5,833.67	
INPEX CORP SHS REDEEMED			45790H101						
96.0000	Sale	02/03/10	02/03/11		6,366.50	7,228.65	0.00	(862.15)	
52.0000	Sale	07/27/10	02/24/11		3,625.11	2,594.49	0.00	1,030.62	
Security Subtotal					9,991.61	9,823.14	0.00	168.47	
KONINKL PHIL E NY SH NEW REDEEMED			500472303						
166.0000	Sale	11/09/10	08/18/11		3,227.36	5,227.29	0.00	(1,999.93)	
297.0000	Sale	11/12/10	08/18/11		5,774.25	9,077.89	0.00	(3,303.64)	
186.0000	Sale	12/17/10	08/18/11		3,616.19	5,503.33	0.00	(1,887.14)	
Security Subtotal					12,617.80	19,808.51	0.00	(7,190.71)	
KAO CORP SPD ADR REDEEMED	ADR		485537302						
214.0000	Sale	09/16/10	08/18/11		5,477.61	5,074.69	0.00	402.92	
KDDI CORP SHS REDEEMED			48667L106						
512.0000	Sale	10/01/10	08/18/11		8,683.36	6,135.53	0.00	2,547.83	
MITSUBISHI UFJ FINL GRP INC REDEEMED			606822104						
967.0000	Sale	12/08/10	08/18/11		4,380.82	4,681.44	0.00	(300.62)	

I Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NOMURA RESH INST LTD ADR									
	REDEEMED			65538C107					
145 0000	Sale	09/08/10	01/18/11		6,545.90	5,846.57	0.00	699.33	
103 0000	Sale	09/08/10	04/28/11		4,365.06	4,153.09	0.00	211.97	
134 0000	Sale	09/08/10	05/02/11		5,671.51	5,403.04	0.00	268.47	
Security Subtotal					16,582.47	15,402.70	0.00	1,179.77	
NATL AUSTRALIA B ADR									
	REDEEMED			632525408					
280 0000	Sale	10/01/10	08/18/11		6,523.87	6,826.40	0.00	(302.53)	
348 0000	Sale	12/06/10	08/18/11		8,108.26	8,284.17	0.00	(175.91)	
Security Subtotal					14,632.13	15,110.57	0.00	(478.44)	
NINTENDO LTD ADR									
	REDEEMED			654445303					
170 0000	Sale	10/01/10	08/18/11		3,306.44	5,354.27	0.00	(2,047.83)	
NIPPON EXPRESS CO LTD SHS									
	REDEEMED			654563105					
345 0000	Sale	03/10/10	01/24/11		8,599.02	8,635.84	0.00	(36.82)	
NORSK HYDRO AS SPNRD ADR									
	REDEEMED			656531605					
241 0000	Sale	05/12/10	02/03/11		1,856.26	1,665.05	0.00	191.21	
501 0000	Sale	06/10/10	02/03/11		3,858.89	2,749.39	0.00	1,109.50	
180 0000	Sale	06/10/10	02/04/11		1,376.81	987.81	0.00	389.00	
379 0000	Sale	07/13/10	02/04/11		2,898.96	2,022.67	0.00	876.29	
788 0000	Sale	07/13/10	02/18/11		6,419.79	4,205.43	0.00	2,214.36	
Security Subtotal					16,410.71	11,630.35	0.00	4,780.36	
PEUGEOT CITROENS SPN ADR									
	REDEEMED			716825500					
243 0000	Sale	03/25/10	02/28/11		9,771.88	7,166.44	0.00	2,605.44	
PETROLEO BRAS VTG SPD ADR									
	REDEEMED			71654V408					
239 0000	Sale	10/01/10	08/18/11		6,585.12	8,711.14	0.00	(2,126.02)	

Statement 1

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PANASONIC CORP SHS									
	REDEEMED								
464 0000	Sale	12/30/10	08/18/11	69832A205	4,703.29	6,541.38	0.00	(1,838.09)	
QBE INS GROUP LTD									
	SPONSORED ADR								
	REDEEMED								
608 0000	Sale	09/03/10	08/18/11	74728G605	8,306.40	9,441.88	0.00	(1,135.48)	
489 0000	Sale	10/04/10	08/18/11		6,680.64	8,200.82	0.00	(1,520.18)	
626 0000	Sale	12/01/10	08/18/11		8,552.32	10,029.65	0.00	(1,477.33)	
Security Subtotal					23,539.36	27,672.35	0.00	(4,132.99)	
REXAM PLC- NEW NEW ADR									
	REDEEMED								
201 0000	Sale	12/17/10	05/04/11	761655406	6,358.72	5,050.57	0.00	1,308.15	
RICOH CO LTD NEW ADR									
	REDEEMED								
82 0000	Sale	12/03/10	08/18/11	765658307	3,677.62	6,051.78	0.00	(2,374.16)	
ROCHE HLDG LTD SPN ADR									
	REDEEMED								
186 0000	Sale	08/26/10	08/18/11	771195104	7,631.43	6,222.87	0.00	1,408.56	
237 0000	Sale	09/13/10	08/18/11		9,723.93	8,436.58	0.00	1,287.35	
Security Subtotal					17,355.36	14,659.45	0.00	2,695.91	
SECOM CO LTD ADR									
	REDEEMED								
412 0000	Sale	12/03/10	05/10/11	813113206	5,192.34	4,663.51	0.00	528.83	
SHIN-ETSU CHEM-UNSPON									
	REDEEMED								
800 0000	Sale	11/09/10	08/18/11	824551105	9,027.27	10,153.16	0.00	(1,125.89)	
916 0000	Sale	12/03/10	08/18/11		10,336.22	11,661.14	0.00	(1,324.92)	
Security Subtotal					19,363.49	21,814.30	0.00	(2,450.81)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
STANDARD BANK GROUP-UNSP REDEEMED	CUSIP Number	853118107					
78 0000 Sale	11/09/10	08/18/11	2,004.56	2,393.09	0.00	(388.53)	
218 0000 Sale	11/10/10	08/18/11	5,602.49	6,723.45	0.00	(1,120.96)	
222 0000 Sale	12/09/10	08/18/11	5,705.29	6,570.65	0.00	(865.36)	
146 0000 Sale	12/17/10	08/18/11	3,752.13	4,509.63	0.00	(757.50)	
Security Subtotal			17,064.47	20,196.82	0.00	(3,132.35)	
TESCO PLC SPNRD ADR REDEEMED	CUSIP Number	881575302					
156 0000 Sale	08/25/10	08/18/11	2,889.06	2,920.41	0.00	(31.35)	
456 0000 Sale	08/26/10	08/18/11	8,444.95	8,587.53	0.00	(142.58)	
Security Subtotal			11,334.01	11,507.94	0.00	(173.93)	
TEVA PHARMACTCL INDS ADR REDEEMED	CUSIP Number	881624209					
132 0000 Sale	08/26/10	08/18/11	5,157.73	6,600.16	0.00	(1,442.43)	
133 0000 Sale	11/03/10	08/18/11	5,196.81	6,847.53	0.00	(1,650.72)	
140 0000 Sale	12/08/10	08/18/11	5,470.32	6,877.18	0.00	(1,406.86)	
Security Subtotal			15,824.86	20,324.87	0.00	(4,500.01)	
TELENORTE LES PART SPADR PRIN PAYMENT	CUSIP Number	879246106					
579 0000 Prin Payment	04/18/11	04/18/11	0.48	0.48	0.00	0.00	
TOYODA GOSSEI CO LTD ADR REDEEMED	CUSIP Number	892316100					
119 0000 Sale	08/20/10	08/18/11	4,164.92	5,067.45	0.00	(902.53)	
UBS AG REG REDEEMED	CUSIP Number	H89231338					
419 0000 Sale	12/06/10	08/18/11	5,645.25	6,597.03	0.00	(951.78)	
UNITED MICROELECT SP ADR CORP REDEEMED	CUSIP Number	910873405					
2146 0000 Sale	09/29/10	08/18/11	4,018.75	5,955.36	0.00	(1,936.61)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description Date Acquired Date Liquidated Date of Short Short Sale Amount Cost Basis Adjustments Gain or (Loss) Remarks

UNITD OVERSEAS BK SPN ADR 911271302

REDEEMED									
22 0000 Sale	12/22/10	08/18/11	675 38	601.90	0.00	73 48			
244 0000 Sale	12/23/10	08/18/11	7,490.67	6,713.25	0.00	777.42			
Security Subtotal			8,166.05	7,315.15	0.00	850.90			

YAMATO HOLDINGS CO LTD 98463V105

SHS									
REDEEMED									
13 0000 Sale	08/06/10	02/18/11	613.93	482.00	0.00	131.93			
114 0000 Sale	09/10/10	02/18/11	5,383.70	4,357.75	0.00	1,025.95			
107 0000 Sale	10/22/10	02/18/11	5,053.14	4,099.73	0.00	953.41			
125 0000 Sale	10/22/10	04/01/11	5,792.45	4,789.40	0.00	1,003.05			
29 0000 Sale	10/22/10	04/07/11	1,330.68	1,111.14	0.00	219.54			
141 0000 Sale	12/03/10	04/07/11	6,469.91	5,550.49	0.00	919.42			
119 0000 Sale	12/03/10	04/11/11	5,488.99	4,684.46	0.00	804.53			
Security Subtotal			30,132.80	25,074.97	0.00	5,057.83			

Noncovered Short Term Capital Gains and Losses Subtotal

576,370.18 626,443.78 0.00 (50,073.60)

NET SHORT TERM CAPITAL GAINS AND LOSSES

1,168,705.91 1,374,370.22 597.55 (205,066.76)

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

AEGON N V NY REG SHS 007924103

REDEEMED									
159 0000 Sale	01/09/08	08/18/11	670 31	2,633.04	0.00	(1,962.73)			
56 0000 Sale	09/16/08	08/18/11	236.08	700.76	0.00	(464.68)			
821 0000 Sale	01/12/10	08/18/11	3,461.18	5,841.33	0.00	(2,380.15)			
1192 0000 Sale	02/05/10	08/18/11	5,025.26	6,762.34	0.00	(1,737.08)			
Security Subtotal			9,392.83	15,937.47	0.00	(6,544.64)			

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ALLIANZ SE SPD ADR								
REDEEMED			018805101					
153 0000 Sale	05/24/07	05/09/11		2,163.02	3,358.02	0.00	(1,195.00)	
176 0000 Sale	09/04/07	05/09/11		2,488.19	3,801.12	0.00	(1,312.93)	
9 0000 Sale	01/09/08	05/09/11		127.24	182.07	0.00	(54.83)	
623 0000 Sale	01/09/08	08/18/11		6,404.31	12,603.29	0.00	(6,198.98)	
649 0000 Sale	05/28/10	08/18/11		6,671.59	6,564.44	0.00	107.15	
Security Subtotal				17,854.35	26,508.94	0.00	(8,654.59)	
ANGLO AMERN PLC ADR								
REDEEMED			03485P201					
301 0000 Sale	10/16/08	08/18/11		5,688.79	3,294.90	0.00	2,393.89	
243 0000 Sale	10/17/08	08/18/11		4,592.61	2,630.69	0.00	1,961.92	
344 0000 Sale	05/21/10	08/18/11		6,501.47	6,132.08	0.00	369.39	
314 0000 Sale	07/19/10	08/18/11		5,934.49	5,634.60	0.00	299.89	
Security Subtotal				22,717.36	17,692.27	0.00	5,025.09	
ASTELLAS PHARMA INC SHS								
REDEEMED			04623U102					
131 0000 Sale	12/19/08	08/18/11		4,841.66	5,049.03	0.00	(207.37)	
91 0000 Sale	01/06/09	08/18/11		3,363.29	3,541.02	0.00	(177.73)	
136 0000 Sale	01/20/09	08/18/11		5,026.48	4,848.70	0.00	177.78	
Security Subtotal				13,231.43	13,438.75	0.00	(207.32)	
ALSTOM SA-UNSPON ADR								
REDEEMED			021244207					
742 0000 Sale	05/18/10	08/18/11		3,190.53	3,741.91	0.00	(551.38)	
1099 0000 Sale	05/28/10	08/18/11		4,725.61	5,290.15	0.00	(564.54)	
Security Subtotal				7,916.14	9,032.06	0.00	(1,115.92)	
BARCLAYS PLC ADR								
REDEEMED			06738E204					
217 0000 Sale	05/05/05	06/10/11		3,643.68	9,215.93	0.00	(5,572.25)	
104 0000 Sale	06/21/05	06/10/11		1,746.28	4,153.72	0.00	(2,407.44)	
155 0000 Sale	01/09/08	06/10/11		2,602.64	5,544.35	0.00	(2,941.71)	
Security Subtotal				7,992.60	18,914.00	0.00	(10,921.40)	

I
State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BP PLC REDEEMED	SPON ADR	CUSIP Number	055622104					
18 0000	Sale	02/13/07	08/18/11	708.54	1,127.60	0.00	(419.06)	
340 0000	Sale	01/09/08	08/18/11	13,383.67	24,214.80	0.00	(10,831.13)	
155 0000	Sale	01/17/08	08/18/11	6,101.38	10,117.42	0.00	(4,016.04)	
70 0000	Sale	07/28/08	08/18/11	2,755.46	4,338.90	0.00	(1,583.44)	
131 0000	Sale	10/03/08	08/18/11	5,156.65	6,465.83	0.00	(1,309.18)	
140 0000	Sale	02/11/09	08/18/11	5,510.92	6,160.00	0.00	(649.08)	
47 0000	Sale	05/10/10	08/18/11	1,850.09	2,304.18	0.00	(454.09)	
Security Subtotal				35,466.71	54,728.73	0.00	(19,262.02)	
BAE SYS PLC SPN ADR REDEEMED		CUSIP Number	05523RT07					
160 0000	Sale	07/28/09	08/18/11	2,687.94	3,382.63	0.00	(694.69)	
225 0000	Sale	07/30/09	08/18/11	3,779.92	4,586.47	0.00	(806.55)	
256 0000	Sale	08/12/09	08/18/11	4,300.72	5,578.88	0.00	(1,278.16)	
215 0000	Sale	10/13/09	08/18/11	3,611.93	4,334.77	0.00	(722.84)	
107 0000	Sale	10/13/09	08/18/11	1,797.58	2,157.30	0.00	(359.72)	
Security Subtotal				16,178.09	20,040.05	0.00	(3,861.96)	
BANCO POPOLARE SOCIETA' COOPERATIVA SHS REDEEMED		CUSIP Number	059633107					
2319 0000	Sale	07/24/09	08/18/11	1,831.97	7,633.21	0.00	(5,801.24)	
BUZZI UNICEM SPA SHS REDEEMED		CUSIP Number	12429UT01					
34 0000	Sale	04/26/10	08/18/11	154.69	262.11	0.00	(107.42)	
1108 0000	Sale	05/06/10	08/18/11	5,041.31	7,310.81	0.00	(2,269.50)	
Security Subtotal				5,196.00	7,572.92	0.00	(2,376.92)	
BLUESCOPE STEEL LTD-UNSP ADR REDEEMED		CUSIP Number	09625QT08					
529 0000	Sale	07/15/09	08/18/11	2,301.10	5,307.09	0.00	(3,005.99)	
355 0000	Sale	12/14/09	08/18/11	1,544.22	4,527.95	0.00	(2,983.73)	
Security Subtotal				3,845.32	9,835.04	0.00	(5,989.72)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BRIDGESTONE CORP ADR									
	REDEEMED			108441205					
129,000	Sale	01/26/10	01/26/10	03/09/11	5,511.18	4,299.13	0.00	1,212.05	
22,000	Sale	02/03/10	02/03/10	03/09/11	939.90	729.40	0.00	210.50	
163,000	Sale	02/03/10	02/03/10	03/14/11	6,501.82	5,404.20	0.00	1,097.62	
Security Subtotal					12,952.90	10,432.73	0.00	2,520.17	
CHINA MOBILE LTD SPN ADR									
	REDEEMED			16941M109					
179,000	Sale	09/11/08	09/11/08	08/18/11	8,545.31	8,604.44	0.00	(59.13)	
86,000	Sale	10/02/08	10/02/08	08/18/11	4,105.58	4,202.98	0.00	(97.40)	
Security Subtotal					12,650.89	12,807.42	0.00	(156.53)	
CRDT AGRICOLE SA SHS ADR									
	REDEEMED			225313105					
546,000	Sale	11/19/08	11/19/08	01/26/11	3,969.34	2,735.74	0.00	1,233.60	
941,000	Sale	11/19/08	11/19/08	08/18/11	4,121.49	4,714.88	0.00	(593.39)	
856,000	Sale	02/11/10	02/11/10	08/18/11	3,749.21	6,016.14	0.00	(2,266.93)	
Security Subtotal					11,840.04	13,466.76	0.00	(1,626.72)	
CELESIO AG SHS									
	REDEEMED			15100H109					
736,000	Sale	07/28/10	07/28/10	08/18/11	2,230.03	3,380.89	0.00	(1,150.86)	
CARREFOUR SA SHS									
	REDEEMED			144430105					
626,000	Sale	10/21/08	10/21/08	02/18/11	6,114.02	4,699.01	0.00	1,415.01	
CHUO MITSUI TR HOLDINGS INC SHS									
	REDEEMED			17133T100					
685,000	Sale	08/31/09	08/31/09	03/02/11	5,798.48	5,866.20	0.00	(67.72)	
CARREFOUR SA SPONSORED ADR									
	REDEEMED			144430204					
584,000	Sale	10/21/08	10/21/08	08/18/11	3,644.09	4,383.74	0.00	(739.65)	
445,000	Sale	12/08/08	12/08/08	08/18/11	2,776.74	3,321.39	0.00	(544.65)	
609,000	Sale	01/19/09	01/19/09	08/18/11	3,800.08	4,214.89	0.00	(414.81)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CARREFOUR SA SPONSORED ADR REDEEMED									
	637 0000 Sale	10/13/09	08/18/11	144430204	3,974.80	5,754.91	0.00	(1,780.11)	
	519 0000 Sale	05/28/10	08/18/11		3,238.50	4,339.67	0.00	(1,101.17)	
	Security Subtotal				17,434.21	22,014.60	0.00	(4,580.39)	
DBS GROUP HLDGS SPN ADR REDEEMED									
	118 0000 Sale	03/07/06	02/03/11	23304Y100	5,585.10	4,265.71	0.00	1,319.39	
	13 0000 Sale	03/07/06	08/03/11		646.08	469.95	0.00	176.13	
	48 0000 Sale	03/31/06	08/03/11		2,385.56	1,761.67	0.00	623.89	
	67 0000 Sale	03/31/06	08/18/11		3,000.20	2,459.00	0.00	541.20	
	42 0000 Sale	06/26/06	08/18/11		1,880.72	1,654.45	0.00	226.27	
	65 0000 Sale	04/09/07	08/18/11		2,910.64	3,639.78	0.00	(729.14)	
	140 0000 Sale	11/30/07	08/18/11		6,269.08	7,252.88	0.00	(983.80)	
	306 0000 Sale	01/09/08	08/18/11		13,702.42	16,073.07	0.00	(2,370.65)	
	193 0000 Sale	02/04/09	08/18/11		8,642.38	4,501.76	0.00	4,140.62	
	Security Subtotal				45,022.18	42,078.27	0.00	2,943.91	
DEUTSCHE TELE AG SPN ADR REDEEMED									
	18 0000 Sale	01/09/08	03/21/11	251566105	271.92	407.88	0.00	(135.96)	
	463 0000 Sale	03/08/10	03/21/11		6,994.50	6,161.65	0.00	832.85	
	312 0000 Sale	03/08/10	08/18/11		4,084.00	4,152.13	0.00	(68.13)	
	Security Subtotal				11,350.42	10,721.66	0.00	628.76	
DAIMLER A REDEEMED									
	70 0000 Sale	02/19/10	08/18/11	D1668R123	3,607.03	3,032.15	0.00	574.88	
DAIWA HOUSE IND LTD ADR REDEEMED									
	8 0000 Sale	08/28/09	01/18/11	234062206	992.26	869.46	0.00	122.80	
	21 0000 Sale	09/23/09	01/18/11		2,604.69	2,205.69	0.00	399.00	
	13 0000 Sale	09/24/09	01/18/11		1,612.43	1,370.89	0.00	241.54	
	19 0000 Sale	09/24/09	01/20/11		2,346.65	2,003.61	0.00	343.04	
	Security Subtotal				7,556.03	6,449.65	0.00	1,106.38	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
-------------------------	----------	---------------	-----------------	--------	------------	-------------	----------------	---------

DEUTSCHE LUFT AG SPN ADR

REDEEMED		CUSIP Number	251561304					
183 0000 Sale		08/18/09	01/05/11	4,064.63	2,696.07	0.00	1,368.56	
171.0000 Sale		08/18/09	01/06/11	3,861.68	2,519.27	0.00	1,342.41	
74.0000 Sale		10/13/09	01/06/11	1,671.14	1,294.41	0.00	376.73	
228.0000 Sale		10/13/09	01/07/11	5,086.13	3,988.20	0.00	1,097.93	
Security Subtotal				14,683.58	10,497.95	0.00	4,185.63	

ENI S P A SPONSORED ADR

REDEEMED		CUSIP Number	26874R108					
99 0000 Sale		05/05/05	02/03/11	4,866.11	5,077.74	0.00	(211.63)	
64.0000 Sale		09/05/07	02/03/11	3,145.77	4,373.43	0.00	(1,227.66)	
6.0000 Sale		01/09/08	02/03/11	294.92	443.94	0.00	(149.02)	
71.0000 Sale		01/09/08	08/18/11	2,602.80	5,253.29	0.00	(2,650.49)	
108.0000 Sale		01/17/08	08/18/11	3,959.20	7,407.41	0.00	(3,448.21)	
Security Subtotal				14,868.80	22,555.81	0.00	(7,687.01)	

E ON AG ADR

REDEEMED		CUSIP Number	268780103					
125.0000 Sale		11/28/08	02/03/11	4,198.67	4,329.62	0.00	(130.95)	
80 0000 Sale		11/28/08	08/18/11	1,624.76	2,770.95	0.00	(1,146.19)	
160 0000 Sale		12/09/08	08/18/11	3,249.53	5,328.69	0.00	(2,079.16)	
25 0000 Sale		12/10/08	08/18/11	507.74	854.89	0.00	(347.15)	
386 0000 Sale		02/11/09	08/18/11	7,839.51	12,064.93	0.00	(4,225.42)	
228.0000 Sale		04/03/09	08/18/11	4,630.59	6,509.58	0.00	(1,878.99)	
145 0000 Sale		07/24/09	08/18/11	2,944.89	5,232.38	0.00	(2,287.49)	
190 0000 Sale		03/24/10	08/18/11	3,858.83	6,708.98	0.00	(2,850.15)	
301.0000 Sale		07/08/10	08/18/11	6,113.21	8,685.51	0.00	(2,572.30)	
Security Subtotal				34,967.73	52,485.53	0.00	(17,517.80)	

ERICSSON LM TEL CL B ADR

REDEEMED		CUSIP Number	294821608					
570.0000 Sale		11/10/09	05/20/11	8,546.31	6,043.54	0.00	2,502.77	
152.0000 Sale		11/10/09	08/18/11	1,562.81	1,611.61	0.00	(48.80)	
702.0000 Sale		11/16/09	08/18/11	7,217.75	7,509.15	0.00	(291.40)	
565 0000 Sale		11/20/09	08/18/11	5,809.16	5,703.79	0.00	105.37	
Security Subtotal				23,136.03	20,868.09	0.00	2,267.94	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EUROPEAN AERONAUTIC DEFENCE AND SPACE ADR REDEEMED								
89 0000 Sale	01/13/10	01/20/11	29875W100	2,476.64	1,822.83	0.00	653.81	
223 0000 Sale	01/26/10	03/09/11		6,212.28	4,405.96	0.00	1,806.32	
41 0000 Sale	01/26/10	05/03/11		1,258.08	810.07	0.00	448.01	
407 0000 Sale	04/29/10	05/03/11		12,488.81	7,637.31	0.00	4,851.50	
Security Subtotal				22,435.81	14,676.17	0.00	7,759.64	
EAST JAPAN RY CO ADR REDEEMED								
1765 0000 Sale	02/03/10	08/18/11	273202101	17,508.46	20,040.16	0.00	(2,531.70)	
656 0000 Sale	02/12/10	08/18/11		6,507.39	7,114.65	0.00	(607.26)	
Security Subtotal				24,015.85	27,154.81	0.00	(3,138.96)	
ESPRIT HOLDING LTD SP ADR REDEEMED								
552 0000 Sale	11/25/09	08/18/11	29666V204	2,898.33	7,696.87	0.00	(4,798.54)	
146 0000 Sale	12/03/09	08/18/11		766.58	2,065.74	0.00	(1,299.16)	
205 0000 Sale	12/04/09	08/18/11		1,076.37	2,872.87	0.00	(1,796.50)	
411 0000 Sale	05/28/10	08/18/11		2,157.99	4,711.21	0.00	(2,553.22)	
Security Subtotal				6,899.27	17,346.69	0.00	(10,447.42)	
FOSTERS GROUP LTD ADR REDEEMED								
171 0000 Sale	11/11/09	04/01/11	350258307	1,005.81	910.40	0.00	95.41	
356 0000 Sale	12/21/09	04/01/11		2,093.98	1,694.52	0.00	399.46	
896 0000 Sale	12/22/09	04/01/11		5,270.26	4,305.64	0.00	964.62	
481 0000 Sale	03/11/10	04/01/11		2,829.25	2,396.15	0.00	433.10	
701 0000 Sale	03/11/10	04/07/11		4,136.94	3,492.10	0.00	644.84	
300 0000 Sale	03/24/10	04/07/11		1,770.44	1,442.31	0.00	328.13	
1295 0000 Sale	03/25/10	04/07/11		7,642.42	6,286.97	0.00	1,355.45	
Security Subtotal				24,749.10	20,528.09	0.00	4,221.01	
FRANCE TELECOM ADR REDEEMED								
42 0000 Sale	05/08/06	08/18/11	35177Q105	769.98	972.24	0.00	(202.26)	
113 0000 Sale	05/09/06	08/18/11		2,071.63	2,643.86	0.00	(572.23)	
93 0000 Sale	02/16/07	08/18/11		1,704.97	2,627.17	0.00	(922.20)	
90 0000 Sale	02/20/07	08/18/11		1,649.97	2,521.71	0.00	(871.74)	

I State men +

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction	Quantity	Description	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
			Cover of Short	Short Sale					
FRANCE TELECOM ADR									
REDEEMED			CUSIP Number	35177Q105					
284 0000 Sale			01/09/08	08/18/11	5,206.59	10,712.48	0.00	(5,505.89)	
245 0000 Sale			05/05/08	08/18/11	4,491.60	7,795.56	0.00	(3,303.96)	
239 0000 Sale			04/28/09	08/18/11	4,381.60	5,186.63	0.00	(805.03)	
20 0000 Sale			07/23/09	08/18/11	366.66	448.44	0.00	(81.78)	
246 0000 Sale			06/24/10	08/18/11	4,509.93	4,506.52	0.00	3.41	
Security Subtotal					25,152.93	37,414.61	0.00	(12,261.68)	
FINMECCANICA SPA SHS									
REDEEMED			CUSIP Number	318027208					
348 0000 Sale			03/19/09	08/18/11	1,103.13	2,047.01	0.00	(943.88)	
697 0000 Sale			02/03/10	08/18/11	2,209.45	4,960.76	0.00	(2,751.31)	
792 0000 Sale			03/01/10	08/18/11	2,510.59	5,141.82	0.00	(2,631.23)	
784 0000 Sale			03/26/09	08/18/11	2,485.23	4,931.12	0.00	(2,445.89)	
1012 0000 Sale			03/09/10	08/18/11	3,207.98	6,839.20	0.00	(3,631.22)	
794 0000 Sale			04/06/09	08/18/11	2,516.93	5,042.14	0.00	(2,525.21)	
1444 0000 Sale			04/14/09	08/18/11	4,577.39	9,096.19	0.00	(4,518.80)	
940 0000 Sale			03/25/10	08/18/11	2,979.76	6,330.34	0.00	(3,350.58)	
Security Subtotal					21,590.46	44,388.58	0.00	(22,798.12)	
GLAXOSMITHKLINE PLC ADR									
REDEEMED			CUSIP Number	37733W105					
154 0000 Sale			05/05/05	05/20/11	6,658.34	7,860.14	0.00	(1,201.80)	
31 0000 Sale			05/05/05	07/15/11	1,337.12	1,582.24	0.00	(245.12)	
63 0000 Sale			03/01/06	07/15/11	2,717.38	3,238.14	0.00	(520.76)	
63 0000 Sale			04/24/06	07/15/11	2,717.38	3,324.74	0.00	(607.36)	
8 0000 Sale			04/25/06	07/15/11	345.07	426.00	0.00	(80.93)	
27 0000 Sale			04/25/06	07/29/11	1,214.63	1,437.75	0.00	(223.12)	
66 0000 Sale			09/18/06	07/29/11	2,969.09	3,653.10	0.00	(684.01)	
72 0000 Sale			11/20/06	07/29/11	3,239.01	3,740.62	0.00	(501.61)	
90 0000 Sale			01/22/07	07/29/11	4,048.77	5,021.15	0.00	(972.38)	
84 0000 Sale			01/09/08	07/29/11	3,778.87	4,535.14	0.00	(756.27)	
284 0000 Sale			01/09/08	08/18/11	11,730.25	15,333.11	0.00	(3,602.86)	
198 0000 Sale			04/29/08	08/18/11	8,178.14	8,835.49	0.00	(657.35)	
Security Subtotal					48,934.05	58,987.62	0.00	(10,053.57)	

I State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
Security Subtotal							
162,000 Sale	10/18/07	05/09/11	2,492.87	3,811.89	0.00	(1,319.02)	
96,000 Sale	10/19/07	05/09/11	1,477.25	2,255.63	0.00	(778.38)	
264,000 Sale	01/09/08	05/09/11	4,062.46	7,629.60	0.00	(3,567.14)	
			8,032.58	13,697.12	0.00	(5,664.54)	
Security Subtotal							
1970,000 Sale	01/26/10	03/23/11	3,731.31	6,177.53	0.00	(2,446.22)	
1782,000 Sale	01/26/10	08/18/11	1,799.78	5,587.99	0.00	(3,788.21)	
3044,000 Sale	01/27/10	08/18/11	3,074.38	9,186.49	0.00	(6,112.11)	
2175,000 Sale	03/09/10	08/18/11	2,196.70	5,720.25	0.00	(3,523.55)	
471,000 Sale	07/19/10	08/18/11	475.70	857.22	0.00	(381.52)	
1946,000 Sale	07/20/10	08/18/11	1,965.42	3,477.11	0.00	(1,511.69)	
			13,243.29	31,006.59	0.00	(17,763.30)	
Security Subtotal							
8,000 Sale	11/17/09	08/18/11	111.11	120.66	0.00	(9.55)	
657,000 Sale	04/07/10	08/18/11	9,125.55	9,307.32	0.00	(181.77)	
380,000 Sale	03/09/10	08/18/11	5,278.10	5,471.39	0.00	(193.29)	
344,000 Sale	03/25/10	08/18/11	4,778.07	4,807.95	0.00	(29.88)	
424,000 Sale	05/05/10	08/18/11	5,889.26	5,851.75	0.00	37.51	
			25,182.09	25,559.07	0.00	(376.98)	
Security Subtotal							
16,000 Sale	12/11/07	08/08/11	716.81	1,375.90	0.00	(659.09)	
20,000 Sale	01/09/08	08/08/11	896.03	1,593.20	0.00	(697.17)	
159,000 Sale	01/09/08	08/18/11	6,679.98	12,665.94	0.00	(5,985.96)	
78,000 Sale	01/10/08	08/18/11	3,276.97	6,254.54	0.00	(2,977.57)	
29,000 Sale	01/11/08	08/18/11	1,218.36	2,296.66	0.00	(1,078.30)	
3,000 Sale	01/17/08	08/18/11	126.03	264.35	0.00	(138.32)	
7,000 Sale	07/10/08	08/18/11	294.08	601.20	0.00	(307.12)	
7,000 Sale	10/13/08	08/18/11	294.08	514.85	0.00	(220.77)	
12,000 Sale	01/15/09	08/18/11	504.15	613.80	0.00	(109.65)	
446,000 Sale	04/06/09	08/18/11	18,737.58	14,855.64	0.00	3,881.94	
289,000 Sale	04/08/09	08/18/11	12,141.62	5,342.90	0.00	6,798.72	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HSBC HLDG PLC SP ADR									
REDEEMED									
12.0000	Sale	07/10/09	08/18/11	404280406	504.15	571.60	0.00	(67.45)	
10.0000	Sale	10/08/09	08/18/11		420.12	576.40	0.00	(156.28)	
7.0000	Sale	01/15/10	08/18/11		294.08	435.20	0.00	(141.12)	
105.0000	Sale	04/01/10	08/18/11		4,411.31	5,412.65	0.00	(1,001.34)	
10.0000	Sale	05/06/10	08/18/11		420.12	547.50	0.00	(127.38)	
10.0000	Sale	07/08/10	08/18/11		420.12	484.00	0.00	(63.88)	
Security Subtotal					51,355.59	54,406.33	0.00	(3,050.74)	
HOME RETAIL GROUP PLC									
REDEEMED									
203.0000	Sale	02/19/10	08/18/11	43731T102	1,674.71	3,329.89	0.00	(1,655.18)	
250.0000	Sale	05/12/10	08/18/11		2,062.46	4,176.88	0.00	(2,114.42)	
Security Subtotal					3,737.17	7,506.77	0.00	(3,769.60)	
IBERDROLA S A ADR									
REDEEMED									
125.0000	Sale	06/23/09	03/25/11	450737101	4,321.80	3,924.84	0.00	396.96	
108.0000	Sale	06/23/09	08/18/11		3,033.66	3,391.06	0.00	(357.40)	
135.0000	Sale	07/24/09	08/18/11		3,792.07	4,427.24	0.00	(635.17)	
165.0000	Sale	11/10/09	08/18/11		4,634.76	6,335.42	0.00	(1,700.66)	
218.0000	Sale	05/03/10	08/18/11		6,123.51	6,892.55	0.00	(769.04)	
28.0000	Sale	07/12/10	08/18/11		786.51	6.72	0.00	779.79	
Security Subtotal					22,692.31	24,977.83	0.00	(2,285.52)	
INPEX CORP SHS									
REDEEMED									
22.0000	Sale	02/03/10	02/24/11	45790H101	1,533.69	1,656.56	0.00	(122.87)	
272.0000	Sale	07/27/10	08/18/11		4,243.11	3,392.80	0.00	850.31	
584.0000	Sale	07/29/10	08/18/11		9,110.23	7,117.69	0.00	1,992.54	
Security Subtotal					14,887.03	12,167.05	0.00	2,719.98	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
INV AB SHS REDEEMED	CL B	CUSIP Number	46145A106					
673.0000	Sale	05/12/09	04/11/11	8,335.89	5,137.15	0.00	3,198.74	
10.0000	Sale	05/12/09	08/18/11	90.49	76.33	0.00	14.16	
589.0000	Sale	05/22/09	08/18/11	5,330.35	4,682.26	0.00	648.09	
721.0000	Sale	11/16/09	08/18/11	6,524.93	6,897.52	0.00	(372.59)	
	Security Subtotal			20,281.66	16,793.26	0.00	3,488.40	
KOREA ELEC POWER SPN ADR REDEEMED		CUSIP Number	500631106					
359.0000	Sale	05/05/05	08/18/11	3,374.57	5,336.45	0.00	(1,961.88)	
263.0000	Sale	01/09/08	08/18/11	2,472.18	5,346.79	0.00	(2,874.61)	
	Security Subtotal			5,846.75	10,683.24	0.00	(4,836.49)	
KT CORP ADR REDEEMED		CUSIP Number	48268K101					
140.0000	Sale	05/08/07	08/18/11	2,412.19	3,261.64	0.00	(849.45)	
209.0000	Sale	01/09/08	08/18/11	3,601.06	5,210.37	0.00	(1,609.31)	
	Security Subtotal			6,013.25	8,472.01	0.00	(2,458.76)	
KAO CORP SPD ADR REDEEMED		CUSIP Number	485537302					
60.0000	Sale	03/25/09	08/18/11	1,535.77	1,219.63	0.00	316.14	
290.0000	Sale	03/26/09	08/18/11	7,422.93	5,979.96	0.00	1,442.97	
	Security Subtotal			8,958.70	7,199.59	0.00	1,759.11	
KB FINL GROUP INC SPN AD REDEEMED		CUSIP Number	48241A105					
91.0000	Sale	02/21/08	08/18/11	3,560.76	5,695.88	0.00	(2,135.12)	
65.0000	Sale	03/13/08	08/18/11	2,543.40	3,737.34	0.00	(1,193.94)	
41.0000	Sale	03/14/08	08/18/11	1,604.30	2,314.47	0.00	(710.17)	
38.0000	Sale	09/19/08	08/18/11	1,486.91	1,987.33	0.00	(500.42)	
37.0000	Sale	09/22/08	08/18/11	1,447.78	1,879.26	0.00	(431.48)	
21.0000	Sale	09/08/09	08/18/11	821.72	628.74	0.00	192.98	
	Security Subtotal			11,464.87	16,243.02	0.00	(4,778.15)	

I + Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
KDDI CORP SHS		CUSIP Number	48667L106					
REDEEMED								
77 0000 Sale		12/19/08	02/25/11	5,005.85	5,279.90	0.00	(274.05)	
31 0000 Sale		12/19/08	03/23/11	1,980.55	2,125.68	0.00	(145.13)	
75 0000 Sale		05/08/09	03/23/11	4,791.66	3,441.98	0.00	1,349.68	
34 0000 Sale		05/08/09	06/01/11	2,427.04	1,560.37	0.00	866.67	
50 0000 Sale		05/11/10	06/01/11	3,569.18	2,371.66	0.00	1,197.52	
160 0000 Sale		05/11/10	08/18/11	2,713.54	1,897.32	0.00	816.22	
Security Subtotal				20,487.82	16,676.91	0.00	3,810.91	
LONMIN PLC SPON ADR		CUSIP Number	54336Q203					
REDEEMED								
216 0000 Sale		08/12/09	08/18/11	3,976.48	5,082.20	0.00	(1,105.72)	
MTN GROUP LTD-SPONS ADR		CUSIP Number	62474M108					
REDEEMED								
247 0000 Sale		01/08/10	02/03/11	4,486.52	3,675.78	0.00	810.74	
320 0000 Sale		01/08/10	03/16/11	5,835.31	4,762.15	0.00	1,073.16	
208 0000 Sale		01/08/10	03/18/11	3,799.30	3,095.39	0.00	703.91	
Security Subtotal				14,121.13	11,533.32	0.00	2,587.81	
MITSUBISHI UFJ FINL GRP		CUSIP Number	606822104					
INC								
REDEEMED								
108 0000 Sale		03/08/07	08/18/11	489.27	1,301.93	0.00	(812.66)	
533 0000 Sale		04/10/07	08/18/11	2,414.65	6,231.04	0.00	(3,816.39)	
425 0000 Sale		07/31/07	08/18/11	1,925.38	4,575.76	0.00	(2,650.38)	
2333 0000 Sale		01/09/08	08/18/11	10,569.22	21,860.21	0.00	(11,290.99)	
1041 0000 Sale		11/26/08	08/18/11	4,716.05	5,550.61	0.00	(834.56)	
909 0000 Sale		03/19/09	08/18/11	4,118.05	4,843.61	0.00	(725.56)	
1524 0000 Sale		12/11/09	08/18/11	6,904.20	7,757.62	0.00	(853.42)	
Security Subtotal				31,136.82	52,120.78	0.00	(20,983.96)	
MUENCHENER RUECK-UNSPON		CUSIP Number	626188106					
REDEEMED								
225 0000 Sale		04/24/09	02/03/11	3,589.58	2,837.12	0.00	752.46	
62 0000 Sale		04/28/09	02/03/11	989.13	751.64	0.00	237.49	
15 0000 Sale		04/29/09	02/03/11	239.31	186.23	0.00	53.08	
282 0000 Sale		04/29/09	08/18/11	3,572.87	3,501.20	0.00	71.67	

I State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MUENCHENER RUECK-UNSPON									
REDEEMED									
305.0000	Sale	11/05/09		08/18/11	3,864.27	4,832.27	0.00	(968.00)	
535.0000	Sale	05/12/10		08/18/11	6,778.33	7,400.82	0.00	(622.49)	
	Security Subtotal				19,033.49	19,509.28	0.00	(475.79)	
MEDICEO PALTC H UNSP ADR									
REDEEMED									
47.0000	Sale	12/24/08		08/18/11	416.88	530.34	0.00	(113.46)	
216.0000	Sale	12/26/08		08/18/11	1,915.88	2,487.03	0.00	(571.15)	
431.0000	Sale	06/23/10		08/18/11	3,822.89	4,958.05	0.00	(1,135.16)	
	Security Subtotal				6,155.65	7,975.42	0.00	(1,819.77)	
NATL AUSTRALIA B ADR									
REDEEMED									
192.0000	Sale	01/09/08		05/09/11	5,744.01	5,970.05	0.00	(226.04)	
49.0000	Sale	04/17/08		05/09/11	1,465.92	1,306.39	0.00	159.53	
71.0000	Sale	04/18/08		05/09/11	2,124.09	1,865.39	0.00	258.70	
291.0000	Sale	01/08/10		08/18/11	6,780.17	7,243.86	0.00	(463.69)	
185.0000	Sale	04/18/08		08/18/11	4,310.41	4,860.52	0.00	(550.11)	
308.0000	Sale	07/22/09		08/18/11	7,176.26	5,635.66	0.00	1,540.60	
	Security Subtotal				27,600.86	26,881.87	0.00	718.99	
NINTENDO LTD ADR									
REDEEMED									
25.0000	Sale	05/22/09		08/18/11	486.24	839.50	0.00	(353.26)	
159.0000	Sale	05/27/09		08/18/11	3,092.49	5,346.12	0.00	(2,253.63)	
	Security Subtotal				3,578.73	6,185.62	0.00	(2,606.89)	
NOVARTIS ADR									
REDEEMED									
61.0000	Sale	04/04/07		08/18/11	3,399.59	3,363.97	0.00	35.62	
88.0000	Sale	08/15/07		08/18/11	4,904.34	4,625.02	0.00	279.32	
460.0000	Sale	01/09/08		08/18/11	25,636.32	26,749.00	0.00	(1,112.68)	
120.0000	Sale	04/23/09		08/18/11	6,687.73	4,402.22	0.00	2,285.51	
181.0000	Sale	06/10/09		08/18/11	10,087.35	7,200.04	0.00	2,887.31	
	Security Subtotal				50,715.33	46,340.25	0.00	4,375.08	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NOMURA HLDGS INC ADR									
REDEEMED									
99 0000	Sale	04/23/07	65535H208	08/18/11	407.87	1,978.29	0.00	(1,570.42)	
357 0000	Sale	04/24/07		08/18/11	1,470.81	7,137.72	0.00	(5,666.91)	
403 0000	Sale	07/19/07		08/18/11	1,660.32	7,176.06	0.00	(5,515.74)	
327 0000	Sale	08/09/07		08/18/11	1,347.21	6,106.46	0.00	(4,759.25)	
1198 0000	Sale	01/09/08		08/18/11	4,935.68	19,203.94	0.00	(14,268.26)	
Security Subtotal					9,821.89	41,602.47	0.00	(31,780.58)	
NOKIA CORP SPON ADR									
REDEEMED									
281 0000	Sale	06/18/08	654902204	02/03/11	3,130.30	7,135.07	0.00	(4,004.77)	
44 0000	Sale	07/09/08		02/03/11	490.16	1,104.86	0.00	(614.70)	
409 0000	Sale	07/09/08		08/18/11	2,413.09	10,270.15	0.00	(7,857.06)	
428 0000	Sale	09/11/08		08/18/11	2,525.19	8,594.41	0.00	(6,069.22)	
798 0000	Sale	10/02/08		08/18/11	4,708.19	13,901.80	0.00	(9,193.61)	
519 0000	Sale	02/24/09		08/18/11	3,062.09	5,138.10	0.00	(2,076.01)	
420 0000	Sale	07/24/09		08/18/11	2,477.99	5,494.52	0.00	(3,016.53)	
475 0000	Sale	10/20/09		08/18/11	2,802.49	6,307.76	0.00	(3,505.27)	
616 0000	Sale	11/04/09		08/18/11	3,634.39	8,048.59	0.00	(4,414.20)	
947 0000	Sale	12/03/09		08/18/11	5,587.29	12,094.14	0.00	(6,506.85)	
625 0000	Sale	05/12/10		08/18/11	3,687.49	6,900.94	0.00	(3,213.45)	
1083 0000	Sale	06/22/10		08/18/11	6,389.69	9,334.16	0.00	(2,944.47)	
Security Subtotal					40,908.36	94,324.50	0.00	(53,416.14)	
NORSK HYDRO AS SPNRD ADR									
REDEEMED									
870 0000	Sale	07/13/10	656531605	08/18/11	4,784.90	4,643.06	0.00	141.84	
849 0000	Sale	08/02/10		08/18/11	4,669.42	4,773.16	0.00	(103.74)	
Security Subtotal					9,454.32	9,416.22	0.00	38.10	
PETROCHINA CO LTD SP ADR									
REDEEMED									
49 0000	Sale	06/18/08	71646E100	08/18/11	5,905.85	6,593.39	0.00	(687.54)	
55 0000	Sale	01/16/09		08/18/11	6,629.03	4,360.30	0.00	2,268.73	
Security Subtotal					12,534.88	10,953.69	0.00	1,581.19	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
PETROLEO BRAS VTG SPD ADR									
	REDEEMED			71654V408					
110.0000	Sale	09/12/08	08/18/11		3,030.80	4,928.78	0.00	(1,897.98)	
59.0000	Sale	09/30/08	08/18/11		1,625.61	2,441.69	0.00	(816.08)	
	Security Subtotal				4,656.41	7,370.47	0.00	(2,714.06)	
PANASONIC CORP SHS									
	REDEEMED			69832A205					
318.0000	Sale	06/10/09	08/18/11		3,223.37	4,261.55	0.00	(1,038.18)	
97.0000	Sale	06/12/09	08/18/11		983.23	1,322.07	0.00	(338.84)	
222.0000	Sale	06/15/09	08/18/11		2,250.28	3,047.62	0.00	(797.34)	
354.0000	Sale	08/27/09	08/18/11		3,588.28	5,610.62	0.00	(2,022.34)	
402.0000	Sale	05/19/10	08/18/11		4,074.84	5,330.52	0.00	(1,255.68)	
	Security Subtotal				14,120.00	19,572.38	0.00	(5,452.38)	
QINETIQ GROUP PLC ADR									
	REDEEMED			74732F106					
399.0000	Sale	01/27/10	08/18/11		2,876.73	3,502.34	0.00	(625.61)	
1050.0000	Sale	01/28/10	08/18/11		7,570.36	9,137.73	0.00	(1,567.37)	
	Security Subtotal				10,447.09	12,640.07	0.00	(2,192.98)	
QBE INS GROUP LTD SPONSORED ADR									
	REDEEMED			74728G605					
332.0000	Sale	04/06/10	08/18/11		4,535.72	6,495.78	0.00	(1,960.06)	
269.0000	Sale	06/10/10	08/18/11		3,675.03	4,257.71	0.00	(582.68)	
	Security Subtotal				8,210.75	10,753.49	0.00	(2,542.74)	
RENTOKIL INTL SPNSRD ADR									
	REDEEMED			760125104					
215.0000	Sale	10/25/06	06/13/11		1,650.86	3,158.35	0.00	(1,507.49)	
426.0000	Sale	01/09/08	06/13/11		3,271.03	4,532.64	0.00	(1,261.61)	
	Security Subtotal				4,921.89	7,690.99	0.00	(2,769.10)	
RWE AG SPONSORED ADR									
	REDEEMED			74975E303					
70.0000	Sale	04/29/08	08/18/11		2,571.75	8,074.11	0.00	(5,502.36)	
36.0000	Sale	07/22/08	08/18/11		1,322.61	4,412.13	0.00	(3,089.52)	
62.0000	Sale	07/23/08	08/18/11		2,277.83	7,453.29	0.00	(5,175.46)	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
RWE AG SPONSORED ADR REDEEMED					74975E303					
64.0000 Sale		09/14/09	03/24/10		08/18/11	2,351.32	5,831.46	0.00	(3,480.14)	
66.0000 Sale					08/18/11	2,424.80	5,662.36	0.00	(3,237.56)	
Security Subtotal						10,948.31	31,433.35	0.00	(20,485.04)	
REXAM PLC- NEW NEW ADR REDEEMED					761655406					
193.0000 Sale		08/27/09			02/03/11	5,490.66	4,183.16	0.00	1,307.50	
29.0000 Sale		08/27/09			03/18/11	841.56	628.56	0.00	213.00	
165.0000 Sale		12/22/09			03/18/11	4,788.21	3,772.41	0.00	1,015.80	
157.0000 Sale		12/22/09			05/04/11	4,966.75	3,589.51	0.00	1,377.24	
174.0000 Sale		04/26/10			05/04/11	5,504.56	4,420.17	0.00	1,084.39	
Security Subtotal						21,591.74	16,593.81	0.00	4,997.93	
ROYAL DUTCH SHELL PLC SPONS ADR A REDEEMED					780259206					
28.0000 Sale		03/24/06			05/03/11	2,147.74	1,722.08	0.00	425.66	
51.0000 Sale		01/24/07			05/03/11	3,911.96	3,511.85	0.00	400.11	
130.0000 Sale		01/09/08			05/03/11	9,971.69	10,883.60	0.00	(911.91)	
116.0000 Sale		01/09/08			08/18/11	7,375.76	9,711.52	0.00	(2,335.76)	
115.0000 Sale		10/03/08			08/18/11	7,312.18	6,610.21	0.00	701.97	
310.0000 Sale		10/16/08			08/18/11	19,711.09	14,730.36	0.00	4,980.73	
209.0000 Sale		11/04/09			08/18/11	13,289.10	12,514.92	0.00	774.18	
Security Subtotal						63,719.52	59,684.54	0.00	4,034.98	
REED ELSEVIER P L C SPONSORED ADR NEW REDEEMED					758205207					
180.0000 Sale		08/14/09			08/18/11	5,484.92	5,120.27	0.00	364.65	
147.0000 Sale		05/12/10			08/18/11	4,479.36	4,438.77	0.00	40.59	
Security Subtotal						9,964.28	9,559.04	0.00	405.24	
RICOH CO LTD NEW ADR REDEEMED					765658307					
51.0000 Sale		01/20/06			08/18/11	2,287.30	4,427.45	0.00	(2,140.15)	
56.0000 Sale		01/09/08			08/18/11	2,511.55	5,028.80	0.00	(2,517.25)	
Security Subtotal						4,798.85	9,456.25	0.00	(4,657.40)	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
ROCHE HLDG LTD SPN ADR									
REDEEMED									
118.0000	Sale	02/05/09	08/18/11	771195104	4,841.44	3,643.61	0.00	1,197.83	
131.0000	Sale	02/06/09	08/18/11		5,374.82	4,089.47	0.00	1,285.35	
158.0000	Sale	02/09/09	08/18/11		6,482.61	4,988.27	0.00	1,494.34	
86.0000	Sale	03/06/09	08/18/11		3,528.51	2,422.99	0.00	1,105.52	
200.0000	Sale	06/10/09	08/18/11		8,205.84	6,609.30	0.00	1,596.54	
Security Subtotal					28,433.22	21,753.64	0.00	6,679.58	
SOCIETE GENERAL SPN ADR									
REDEEMED									
465.0000	Sale	06/03/09	01/24/11	83364L109	6,078.17	5,369.74	0.00	708.43	
350.0000	Sale	06/03/09	03/14/11		4,632.93	4,041.74	0.00	591.19	
87.0000	Sale	10/14/09	03/14/11		1,151.62	1,239.14	0.00	(87.52)	
239.0000	Sale	10/14/09	08/18/11		1,500.89	3,404.09	0.00	(1,903.20)	
220.0000	Sale	10/15/09	08/18/11		1,381.57	3,187.66	0.00	(1,806.09)	
234.0000	Sale	10/21/09	08/18/11		1,469.49	3,432.85	0.00	(1,963.36)	
275.0000	Sale	11/16/09	08/18/11		1,726.96	4,172.77	0.00	(2,445.81)	
242.0000	Sale	11/16/09	08/18/11		1,519.73	3,672.04	0.00	(2,152.31)	
465.0000	Sale	03/01/10	08/18/11		2,920.14	5,193.21	0.00	(2,273.07)	
783.0000	Sale	05/20/10	08/18/11		4,917.15	7,105.41	0.00	(2,188.26)	
20.0000	Sale	07/09/10	08/18/11		125.59	172.43	0.00	(46.84)	
Security Subtotal					27,424.24	40,991.08	0.00	(13,566.84)	
SK TELECOM ADR									
REDEEMED									
51.0000	Sale	08/10/05	08/18/11	78440P108	805.84	1,092.44	0.00	(286.60)	
152.0000	Sale	10/10/05	08/18/11		2,401.74	3,328.37	0.00	(926.63)	
162.0000	Sale	05/01/07	08/18/11		2,559.74	4,071.24	0.00	(1,511.50)	
173.0000	Sale	01/09/08	08/18/11		2,733.57	4,416.69	0.00	(1,683.12)	
Security Subtotal					8,500.89	12,908.74	0.00	(4,407.85)	
SECOM CO LTD ADR									
REDEEMED									
137.0000	Sale	07/27/09	05/03/11	813113206	1,712.47	1,492.59	0.00	219.88	
101.0000	Sale	07/27/09	05/04/11		1,260.46	1,100.38	0.00	160.08	
370.0000	Sale	07/27/09	05/06/11		4,681.19	4,031.08	0.00	650.11	
61.0000	Sale	03/29/10	05/06/11		771.77	641.64	0.00	130.13	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SECOM CO LTD	ADR	CUSIP Number	813113206				
REDEEMED							
539 0000 Sale	03/29/10	05/10/11	6,792.88	5,669.61	0.00	1,123.27	
600 0000 Sale	04/09/10	05/10/11	7,561.65	6,433.33	0.00	1,128.32	
Security Subtotal			22,780.42	19,368.63	0.00	3,411.79	
SANOFI-AVENTIS SPON	ADR	CUSIP Number	80105N105				
REDEEMED							
201 0000 Sale	01/09/08	05/20/11	7,725.29	9,744.48	0.00	(2,019.19)	
297 0000 Sale	01/09/08	08/18/11	10,336.14	14,398.57	0.00	(4,062.43)	
356 0000 Sale	04/29/08	08/18/11	12,389.45	13,858.62	0.00	(1,469.17)	
274 0000 Sale	06/11/08	08/18/11	9,535.70	9,174.94	0.00	360.76	
319 0000 Sale	07/09/08	08/18/11	11,101.80	11,292.63	0.00	(190.83)	
Security Subtotal			51,088.38	58,469.24	0.00	(7,380.86)	
SEVEN AND I HOLDINGS CO		CUSIP Number	81783H105				
LTD SHS							
REDEEMED							
110 0000 Sale	07/27/09	02/04/11	5,829.10	5,110.81	0.00	718.29	
11 0000 Sale	09/15/09	02/04/11	582.92	519.56	0.00	63.36	
91 0000 Sale	09/15/09	06/08/11	4,903.23	4,298.17	0.00	605.06	
84 0000 Sale	09/15/09	06/28/11	4,449.39	3,967.53	0.00	481.86	
14 0000 Sale	09/15/09	08/18/11	735.82	661.26	0.00	74.56	
169 0000 Sale	10/06/09	08/18/11	8,882.47	8,114.11	0.00	768.36	
159 0000 Sale	10/14/09	08/18/11	8,356.88	7,589.26	0.00	767.62	
183 0000 Sale	12/22/09	08/18/11	9,618.30	7,391.55	0.00	2,226.75	
Security Subtotal			43,358.11	37,652.25	0.00	5,705.86	
SHIN-ETSU CHEM-UNSPON		CUSIP Number	824551105				
REDEEMED							
868 0000 Sale	07/15/09	08/18/11	9,794.58	10,435.53	0.00	(640.95)	
392 0000 Sale	03/01/10	08/18/11	4,423.36	5,253.42	0.00	(830.06)	
Security Subtotal			14,217.94	15,688.95	0.00	(1,471.01)	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction	Quantity	Description	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SUMITOMO MITSUI-UNSPONS ADR									
REDEEMED			CUSIP Number	86562M209					
669 0000 Sale			01/09/08	08/18/11	3,768.40	10,472.94	0.00	(6,704.54)	
2185 0000 Sale			07/23/08	08/18/11	12,307.87	35,795.18	0.00	(23,487.31)	
1109 0000 Sale			04/24/09	08/18/11	6,246.88	6,872.03	0.00	(625.15)	
942 0000 Sale			02/02/10	08/18/11	5,306.18	6,180.28	0.00	(874.10)	
Security Subtotal					27,629.33	59,320.43	0.00	(31,691.10)	
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR									
REDEEMED			CUSIP Number	86562X106					
4 0000 Sale			08/31/09	08/18/11	13 11	17 13	0.00	(4 02)	
4832 0000 Sale			09/24/09	08/18/11	15,848.67	18,520.33	0.00	(2,671.66)	
Security Subtotal					15,861.78	18,537.46	0.00	(2,675.68)	
TOTAL S.A SP ADR									
REDEEMED			CUSIP Number	89151E109					
81 0000 Sale			05/05/05	02/03/11	4,807.93	4,550.37	0.00	257.56	
188 0000 Sale			05/05/05	08/18/11	8,797.12	10,561.34	0.00	(1,764.22)	
78 0000 Sale			02/21/06	08/18/11	3,649.87	4,957.38	0.00	(1,307.51)	
108 0000 Sale			02/23/07	08/18/11	5,053.66	7,506.76	0.00	(2,453.10)	
292 0000 Sale			01/09/08	08/18/11	13,663.61	24,911.89	0.00	(11,248.28)	
93 0000 Sale			09/18/08	08/18/11	4,351.77	5,589.37	0.00	(1,237.60)	
130 0000 Sale			10/03/08	08/18/11	6,083.12	7,623.73	0.00	(1,540.61)	
181 0000 Sale			06/08/10	08/18/11	8,469.58	8,069.67	0.00	399.91	
Security Subtotal					54,876.66	73,770.51	0.00	(18,893.85)	
TEVA PHARMACTCL INDS ADR									
REDEEMED			CUSIP Number	881624209					
97 0000 Sale			05/27/10	08/18/11	3,790.15	5,398.24	0.00	(1,608.09)	
89 0000 Sale			06/10/10	08/18/11	3,477.56	4,637.44	0.00	(1,159.88)	
Security Subtotal					7,267.71	10,035.68	0.00	(2,767.97)	
TELENORTE LES PART SPADR									
REDEEMED			CUSIP Number	879246106					
225 0000 Sale			01/09/08	08/18/11	2,901.11	4,891.31	0.00	(1,990.20)	
354 0000 Sale			05/12/10	08/18/11	4,564.43	5,166.20	0.00	(601.77)	
Security Subtotal					7,465.54	10,057.51	0.00	(2,591.97)	

I
Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TOKYO ELECTRON LTD SHS									
REDEEMED				889110102					
58 0000 Sale		03/12/10	08/18/11		5,277.89	7,561.04	0.00	(2,283.15)	
52 0000 Sale		08/02/10	08/18/11		4,731.91	5,683.45	0.00	(951.54)	
Security Subtotal					10,009.80	13,244.49	0.00	(3,234.69)	
TOYODA GOSEI CO LTD ADR									
REDEEMED				892316100					
239 0000 Sale		03/11/10	08/18/11		8,364.83	12,596.21	0.00	(4,231.38)	
TOYOTA MOTOR CORP ADR									
REDEEMED				892331307					
105 0000 Sale		04/23/07	08/18/11		7,495.39	13,119.40	0.00	(5,624.01)	
39 0000 Sale		10/19/07	08/18/11		2,784.00	4,168.69	0.00	(1,384.69)	
58 0000 Sale		12/20/07	08/18/11		4,140.31	6,131.51	0.00	(1,991.20)	
94 0000 Sale		01/09/08	08/18/11		6,710.16	9,782.55	0.00	(3,072.39)	
80 0000 Sale		01/10/08	08/18/11		5,710.78	8,363.10	0.00	(2,652.32)	
93 0000 Sale		04/07/08	08/18/11		6,638.78	9,070.14	0.00	(2,431.36)	
Security Subtotal					33,479.42	50,635.39	0.00	(17,155.97)	
UNILEVER PLC NEW ADR									
REDEEMED				904767704					
218 0000 Sale		01/09/08	08/08/11		6,860.35	7,885.06	0.00	(1,024.71)	
536 0000 Sale		01/09/08	08/18/11		18,005.71	19,387.13	0.00	(1,381.42)	
262 0000 Sale		08/15/08	08/18/11		8,801.30	7,280.27	0.00	1,521.03	
382 0000 Sale		04/30/09	08/18/11		12,832.43	7,542.32	0.00	5,290.11	
Security Subtotal					46,499.79	42,094.78	0.00	4,405.01	
UBS AG REG									
REDEEMED				H89231338					
236 0000 Sale		05/05/05	02/18/11		4,688.35	9,553.32	0.00	(4,864.97)	
71 0000 Sale		03/08/07	02/18/11		1,410.48	4,175.21	0.00	(2,764.73)	
9 0000 Sale		03/08/07	08/18/11		121.25	529.25	0.00	(408.00)	
69 0000 Sale		03/21/07	08/18/11		929.64	4,087.57	0.00	(3,157.93)	
82 0000 Sale		09/25/07	08/18/11		1,104.79	4,353.81	0.00	(3,249.02)	
220 0000 Sale		01/09/08	08/18/11		2,964.09	9,530.40	0.00	(6,566.31)	
167 0000 Sale		02/21/08	08/18/11		2,250.01	5,526.06	0.00	(3,276.05)	
43 0000 Sale		05/06/08	08/18/11		579.34	866.45	0.00	(287.11)	

State ment I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
UBS AG REG REDEEMED			H89231338					
251 0000 Sale	06/19/08		08/18/11	3,381.76	5,057.65	0.00	(1,675.89)	
425 0000 Sale	06/24/10		08/18/11	5,726.09	5,878.05	0.00	(151.96)	
Security Subtotal				23,155.80	49,557.77	0.00	(26,401.97)	
UNITED MICROELECT SP ADR CORP REDEEMED			910873405					
572 0000 Sale	05/05/05		08/18/11	1,071.16	2,080.72	0.00	(1,009.56)	
1349 0000 Sale	08/09/07		08/18/11	2,526.22	5,151.76	0.00	(2,625.54)	
1821 0000 Sale	01/09/08		08/18/11	3,410.12	5,365.36	0.00	(1,955.24)	
Security Subtotal				7,007.50	12,597.84	0.00	(5,590.34)	
UNIPOL GRUPPO FINANZIARIO SPA SHS REDEEMED			90914M107					
6426 0000 Sale	01/12/09		08/18/11	963.88	4,835.78	0.00	(3,871.90)	
2996 0000 Sale	04/06/09		08/18/11	449.39	1,153.85	0.00	(704.46)	
5249 0000 Sale	04/07/09		08/18/11	787.33	2,020.51	0.00	(1,233.18)	
11682 0000 Sale	08/19/09		08/18/11	1,752.26	6,531.79	0.00	(4,779.53)	
14236 0000 Sale	07/19/10		08/18/11	2,135.37	4,501.42	0.00	(2,366.05)	
Security Subtotal				6,088.23	19,043.35	0.00	(12,955.12)	
UNTD OVERSEAS BK SPN ADR REDEEMED			911271302					
174 0000 Sale	02/03/10		06/27/11	5,331.45	4,580.43	0.00	751.02	
116 0000 Sale	02/03/10		08/03/11	3,866.20	3,053.62	0.00	812.58	
68 0000 Sale	02/12/10		08/03/11	2,266.40	1,775.03	0.00	491.37	
285 0000 Sale	02/12/10		08/18/11	8,749.33	7,439.47	0.00	1,309.86	
Security Subtotal				20,213.38	16,848.55	0.00	3,364.83	
VODAFONE GROU PLC SP ADR REDEEMED			92857W209					
49 0000 Sale	07/28/08		02/03/11	1,404.20	1,272.06	0.00	132.14	
143 0000 Sale	10/16/08		02/03/11	4,097.99	2,796.62	0.00	1,301.37	
157 0000 Sale	10/16/08		08/18/11	4,215.65	3,070.42	0.00	1,145.23	

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B **2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
----------	-------------------------	---------------------------------	-------------------------------	--------	------------	-------------	----------------	---------

VODAFONE GROU PLC SP ADR CUSIP Number 92857W209

REDEEMED

409.0000	Sale	11/26/08	08/18/11	10,982.17	8,048.75	0.00	2,933.42	
300.0000	Sale	05/27/09	08/18/11	8,055.39	5,652.15	0.00	2,403.24	

Security Subtotal

28,755.40

20,840.00

0.00

7,915.40

VIVENDI SHS UNSP ADR CUSIP Number 92852T201

REDEEMED

55.0000	Sale	01/20/09	02/04/11	1,575.71	1,525.99	0.00	49.72	
17.0000	Sale	02/03/09	02/04/11	487.04	446.32	0.00	40.72	
129.0000	Sale	02/03/09	02/04/11	3,695.79	3,386.75	0.00	309.04	
17.0000	Sale	02/03/09	08/18/11	370.76	446.32	0.00	(75.56)	
197.0000	Sale	06/10/09	08/18/11	4,296.48	4,893.58	0.00	(597.10)	
24.0000	Sale	06/30/09	08/18/11	523.43	582.17	0.00	(58.74)	
281.0000	Sale	02/03/10	08/18/11	6,128.49	7,375.66	0.00	(1,247.17)	
239.0000	Sale	05/18/10	08/18/11	5,212.49	5,301.28	0.00	(88.79)	

Security Subtotal

22,290.19

23,958.07

0.00

(1,667.88)

Noncovered Long Term Capital Gains and Losses Subtotal

1,648,981.09

2,065,226.10

0.00

(416,245.01)

NET LONG TERM CAPITAL GAINS AND LOSSES

1,648,981.09

2,065,226.10

0.00

(416,245.01)

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
-------------------------------------	---------------------------------	-------------------------------	--------	------------	-------------	----------------	---------

SHORT TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

BHP BILLITON LTD ADR REDEEMED 394 0000 Sale	CUSIP Number 05/11/11	088606108 08/31/11	33,792.77	37,435.16	0.00	(3,642.39)	
FORTESCUE METAL GROUP LTD ADR REDEEMED 634.0000 Sale 257.0000 Sale	CUSIP Number 01/24/11 05/13/11	34959A107 10/24/11 10/24/11	14,597.69 5,917.37 20,515.06	20,729.45 8,625.23 29,354.68	0.00 0.00 0.00	(6,131.76) (2,707.86) (8,839.62)	
KONINKL PHIL E NY SH NEW REDEEMED 682 0000 Sale 232 0000 Sale	CUSIP Number 02/04/11 03/01/11	500472303 05/19/11 05/19/11	19,286.51 6,560.82 25,847.33	21,044.47 7,473.90 28,518.37	0.00 0.00 0.00	(1,757.96) (913.08) (2,671.04)	State ment +
PRECISION DRILLING CORP REDEEMED 452 0000 Sale	CUSIP Number 06/27/11	74022D308 10/31/11	5,366.99	6,049.80	0.00	(682.81)	
POSTNL N.V. SHS ADR REDEEMED 27 0000 Sale	CUSIP Number 03/18/11	73753A103 06/24/11	216.41	315.90	0.00	(99.49)	

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SOUFUND HOLDINGS LTD SHS CL A REDEEMED	CUSIP Number	836034108					
343 0000 Sale	01/18/11	05/16/11	7,344.11	7,082.98	0.00	261.13	
396 0000 Sale	01/18/11	05/17/11	8,211.89	8,177.43	0.00	34.46	
305 0000 Sale	01/18/11	05/18/11	6,415.65	6,298.27	0.00	117.38	
Security Subtotal			21,971.65	21,558.68	0.00	412.97	
TNT EXPRESS NV SHS ADR REDEEMED	CUSIP Number	87262N109					
1750 0000 Sale	06/06/11	07/18/11	17,409.02	24,150.00	0.00	(6,740.98)	
Covered Short Term Capital Gains and Losses Subtotal			125,119.23	147,382.59	0.00	(22,263.36)	

NONCOVERED TRANSACTIONS

ASAHI KASEI CORP ADR REDEEMED	CUSIP Number	043400100					
1094 0000 Sale	11/26/10	02/07/11	14,907.10	13,230.95	0.00	1,676.15	
ALCATEL LUCENT SPD ADR REDEEMED	CUSIP Number	013904305					
469 0000 Sale	05/27/10	03/03/11	2,503.01	1,194.17	0.00	1,308.84	
ARCELORMITTAL SA, LUXEMBOURG REDEEMED	CUSIP Number	03938L104					
586 0000 Sale	07/30/10	01/27/11	21,994.91	17,895.62	0.00	4,099.29	
CIE GENERALE DES ETABLISSEMENTS MICHELIN REDEEMED	CUSIP Number	59410T106					
1405 0000 Sale	12/08/10	10/07/11	16,885.53	20,426.31	0.00	(3,540.78)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CHINA INFORMATION TECH INC								
REDEEMED								
1439.0000 Sale	04/07/10	16950L109	03/24/11	3,883.79	10,043.36	0.00	(6,159.57)	
1469.0000 Sale	04/07/10		03/25/11	4,274.26	10,252.74	0.00	(5,978.48)	
296.0000 Sale	05/06/10		03/25/11	861.26	1,806.43	0.00	(945.17)	
1248.0000 Sale	05/06/10		03/28/11	3,761.40	7,616.29	0.00	(3,854.89)	
Security Subtotal				12,780.71	29,718.82	0.00	(16,938.11)	
ELDORADO GOLD CORP NEW								
REDEEMED								
774.0000 Sale	06/22/10	284902103	05/18/11	11,823.56	13,828.90	0.00	(2,005.34)	
FUJITSU LTD NEW ADR								
REDEEMED								
618.0000 Sale	03/16/10	359590304	02/02/11	19,309.78	19,123.21	0.00	186.57	
156.0000 Sale	04/30/10		02/02/11	4,874.32	5,392.55	0.00	(518.23)	
Security Subtotal				24,184.10	24,515.76	0.00	(331.66)	
GRAN TIERRA ENERGY INC								
REDEEMED								
665.0000 Sale	11/12/10	38500T101	03/28/11	5,400.96	5,101.55	0.00	299.41	
1169.0000 Sale	11/12/10		03/29/11	9,339.66	8,967.98	0.00	371.68	
582.0000 Sale	11/15/10		03/29/11	4,649.86	4,583.31	0.00	66.55	
227.0000 Sale	11/15/10		03/30/11	1,846.63	1,787.65	0.00	58.98	
Security Subtotal				21,237.11	20,440.49	0.00	796.62	
POSTNL N.V. SHS ADR								
REDEEMED								
23.0000 Sale	08/30/10	73753A103	06/24/11	184.34	285.74	0.00	(101.40)	
ROYAL DUTCH SHELL PLC SPONS ADR A								
REDEEMED								
410.0000 Sale	11/01/10	780259206	02/14/11	27,745.20	26,923.31	0.00	821.89	

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SONY CORP ADR NEW REDEEMED		CUSIP Number	835699307					
163 0000 Sale		10/29/10	02/04/11	5,800.66	5,516.78	0.00	283.88	
234 0000 Sale		11/01/10	02/04/11	8,327.35	7,711.35	0.00	616.00	
Security Subtotal				14,128.01	13,228.13	0.00	899.88	
TECK RESOURCES LTD CLS B REDEEMED		CUSIP Number	878742204					
409 0000 Sale		11/29/10	06/16/11	18,544.31	19,473.59	0.00	(929.28)	
TEVA PHARMACTCL INDS ADR REDEEMED		CUSIP Number	881624209					
450 0000 Sale		06/21/10	03/16/11	21,398.35	23,959.31	0.00	(2,560.96)	
VALEO SPONSORED ADR REDEEMED		CUSIP Number	919134304					
807 0000 Sale		10/22/10	09/12/11	17,357.51	22,313.07	0.00	(4,955.56)	
Noncovered Short Term Capital Gains and Losses Subtotal				225,673.75	247,434.17	0.00	(21,760.42)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				350,792.98	394,816.76	0.00	(44,023.78)	
LONG TERM CAPITAL GAINS AND LOSSES								
NONCOVERED TRANSACTIONS								
ANTOFAGASTA PLC SPN ADR REDEEMED		CUSIP Number	037189107					
755 0000 Sale		08/27/09	05/06/11	29,691.54	18,748.76	0.00	10,942.78	
ALCATEL LUCENT SPD ADR REDEEMED		CUSIP Number	013904305					
3031 0000 Sale		09/08/09	02/18/11	15,326.87	11,302.90	0.00	4,023.97	
1590 0000 Sale		09/08/09	03/03/11	8,485.66	5,929.27	0.00	2,556.39	
Security Subtotal				23,812.53	17,232.17	0.00	6,580.36	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
BEIJING ENTRPRSS SPO ADR									
	REDEEMED			07725Q200					
109.0000	Sale	01/27/10		02/07/11	6,025.12	7,891.16	0.00	(1,866.04)	
58.0000	Sale	01/27/10		02/07/11	3,206.03	4,182.96	0.00	(976.93)	
	Security Subtotal				9,231.15	12,074.12	0.00	(2,842.97)	
BASF SE SPONSORED ADR									
	REDEEMED			055262505					
268.0000	Sale	02/23/09		11/08/11	18,958.39	7,689.69	0.00	11,268.70	
BG GROUP PLC SPON ADR									
	REDEEMED			055434203					
23.0000	Sale	01/26/10		07/11/11	2,573.84	2,195.78	0.00	378.06	
48.0000	Sale	01/26/10		07/12/11	5,265.47	4,582.50	0.00	682.97	
	Security Subtotal				7,839.31	6,778.28	0.00	1,061.03	
BNP PARIBAS SPONSORD ADR									
	REDEEMED			05565A202					
231.0000	Sale	04/29/10		07/21/11	7,838.21	7,803.36	0.00	34.85	
137.0000	Sale	04/29/10		09/20/11	2,344.05	4,627.97	0.00	(2,283.92)	
759.0000	Sale	07/21/10		09/20/11	12,986.40	23,389.88	0.00	(10,403.48)	
	Security Subtotal				23,168.66	35,821.21	0.00	(12,652.55)	
BANK OF CHINA LTD ADR									
	REDEEMED			06426M104					
1953.0000	Sale	02/01/10		09/27/11	16,080.10	22,511.99	0.00	(6,431.89)	
420.0000	Sale	03/02/10		09/27/11	3,458.09	5,206.52	0.00	(1,748.43)	
	Security Subtotal				19,538.19	27,718.51	0.00	(8,180.32)	
BANCO SANTANDER BRZL SA									
	AMERICAN DEPOSITORY SHARS			05967A107					
	REPRESENTING 1 ORDINARY								
393.0000	Sale	12/14/09		07/28/11	3,614.42	5,360.17	0.00	(1,745.75)	
661.0000	Sale	02/09/10		07/28/11	6,079.23	7,543.79	0.00	(1,464.56)	
534.0000	Sale	03/22/10		07/28/11	4,911.21	6,570.55	0.00	(1,659.34)	
600.0000	Sale	04/27/10		07/28/11	5,518.22	6,638.52	0.00	(1,120.30)	
	Security Subtotal				20,123.08	26,113.03	0.00	(5,989.95)	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description Date Acquired Date Liquidated Amount Cost Basis Adjustments Gain or (Loss) Remarks

CANADIAN NATURAL RES LTD
REDEEMED CUSIP Number 136385101

654.0000 Sale 04/29/10 06/16/11 25,598.64 25,215.79 0.00 382.85

CNOOC LTD ADR
REDEEMED CUSIP Number 126132109

62.0000 Sale 12/01/08 04/27/11 15,428.63 5,048.16 0.00 10,380.47
2.0000 Sale 12/01/08 04/27/11 497.70 162.84 0.00 334.86
53.0000 Sale 12/01/08 05/24/11 12,312.05 4,315.35 0.00 7,996.70
77.0000 Sale 03/22/10 05/24/11 17,887.33 12,382.48 0.00 5,504.85
52.0000 Sale 04/29/10 05/24/11 12,079.76 9,211.16 0.00 2,868.60

Security Subtotal

58,205.47 31,119.99 0.00 27,085.48

CENOVUS ENERGY INC
REDEEMED CUSIP Number 15135U109

257.0000 Sale 04/26/10 07/11/11 9,463.64 7,631.79 0.00 1,831.85
391.0000 Sale 04/26/10 10/31/11 13,618.26 11,611.02 0.00 2,007.24
30.0000 Sale 05/03/10 10/31/11 1,044.89 883.95 0.00 160.94

Security Subtotal

24,126.79 20,126.76 0.00 4,000.03

DEUTSCHE BOERSE AG SHS
REDEEMED CUSIP Number 251542106

3599.0000 Sale 02/17/09 07/13/11 26,554.35 17,573.92 0.00 8,980.43
1683.0000 Sale 02/26/09 07/13/11 12,417.61 8,294.83 0.00 4,122.78
1189.0000 Sale 03/09/09 07/13/11 8,772.75 4,604.52 0.00 4,168.23
1000.0000 Sale 01/25/10 07/13/11 7,378.26 7,265.10 0.00 113.16

Security Subtotal

55,122.97 37,738.37 0.00 17,384.60

ELDORADO GOLD CORP NEW
REDEEMED CUSIP Number 284902103

821.0000 Sale 03/12/10 05/18/11 12,541.51 10,901.15 0.00 1,640.36

EXPERIAN PLC SP ADR
REDEEMED CUSIP Number 30215C101

860.0000 Sale 01/15/08 05/26/11 10,722.70 6,475.80 0.00 4,246.90

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HSBC HLDG PLC SP ADR								
6 0000	Sale	05/01/09	03/01/11	319 62	214.22	0.00	105 40	
93 0000	Sale	06/11/09	03/01/11	4,954.14	4,247.47	0.00	706.67	
134 0000	Sale	07/20/09	03/01/11	7,138.23	6,201.67	0.00	936.56	
238 0000	Sale	08/17/09	03/01/11	12,678.35	12,512.97	0.00	165.38	
9 0000	Sale	10/08/09	03/01/11	479 43	502.80	0.00	(23.37)	
8 0000	Sale	01/15/10	03/01/11	426.17	506.40	0.00	(80.23)	
Security Subtotal				25,995.94	24,185.53	0.00	1,810.41	
KDDI CORP SHS								
45 0000	Sale	06/23/09	01/18/11	2,540 52	2,359.53	0.00	180.99	
30 0000	Sale	06/23/09	01/19/11	1,708.29	1,573.02	0.00	135.27	
Security Subtotal				4,248.81	3,932.55	0.00	316.26	
MAKITA CORP SPOND ADR								
410 0000	Sale	05/06/10	11/15/11	14,464 89	12,399.79	0.00	2,065 10	
190 0000	Sale	05/06/10	11/16/11	6,520 00	5,746.25	0.00	773 75	
204 0000	Sale	05/19/10	11/16/11	7,000 44	5,820.26	0.00	1,180.18	
Security Subtotal				27,985.33	23,966.30	0.00	4,019.03	
NESTLE S A REP RG SH ADR								
284 0000	Sale	01/15/08	02/14/11	15,154.32	12,498.28	0.00	2,656 04	
30 0000	Sale	01/28/08	02/14/11	1,600.81	1,312.59	0.00	288 22	
Security Subtotal				16,755.13	13,810.87	0.00	2,944.26	
NOVO NORDISK A S ADR								
69 0000	Sale	10/16/08	03/18/11	8,549 64	3,301.07	0.00	5,248 57	
23 0000	Sale	11/21/08	03/18/11	2,849 89	1,017 87	0.00	1,832 02	
Security Subtotal				11,399.53	4,318.94	0.00	7,080.59	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction	Quantity	Description	Date Acquired	Date Liquidated	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
POSTNL N.V. SHS ADR REDEEMED			CUSIP Number	73753A103					
1160 0000 Sale			01/15/08	06/24/11	9,297.22	27,177.80	0.00	(17,880.58)	
319 0000 Sale			05/16/08	06/24/11	2,556.73	8,051.64	0.00	(5,494.91)	
221.0000 Sale			01/08/09	06/24/11	1,771.28	1,535.02	0.00	236.26	
Security Subtotal					13,625.23	36,764.46	0.00	(23,139.23)	
SOCIEDAD Q&M CHLE SPDADR REDEEMED			CUSIP Number	833635105					
485.0000 Sale			08/22/08	05/19/11	27,842.93	18,159.62	0.00	9,683.31	
SIEMENS AG ADR REDEEMED			CUSIP Number	826197501					
185.0000 Sale			07/30/08	06/27/11	24,499.07	22,370.61	0.00	2,128.46	
82.0000 Sale			09/09/08	06/27/11	10,859.05	8,062.07	0.00	2,796.98	
68.0000 Sale			11/21/08	06/27/11	9,005.07	3,399.97	0.00	5,605.10	
80 0000 Sale			04/30/09	06/27/11	10,594.20	5,391.66	0.00	5,202.54	
Security Subtotal					54,957.39	39,224.31	0.00	15,733.08	
SMITH-NPHW PLC SPDADR NEW REDEEMED			CUSIP Number	83175M205					
141 0000 Sale			01/15/09	01/10/11	7,673.54	4,876.29	0.00	2,797.25	
27 0000 Sale			03/02/09	01/10/11	1,469.41	941.30	0.00	528.11	
57.0000 Sale			03/02/09	01/11/11	3,024.51	1,987.18	0.00	1,037.33	
112.0000 Sale			03/02/09	03/22/11	6,273.81	3,904.63	0.00	2,369.18	
312 0000 Sale			03/25/09	03/22/11	17,477.07	10,205.43	0.00	7,271.64	
63.0000 Sale			04/03/09	03/22/11	3,529.03	2,038.03	0.00	1,491.00	
Security Subtotal					39,447.37	23,952.86	0.00	15,494.51	
SILVER WHEATON CORP REDEEMED			CUSIP Number	828336107					
229.0000 Sale			01/12/10	04/14/11	9,718.11	3,966.53	0.00	5,751.58	
675 0000 Sale			01/12/10	05/12/11	22,930.05	11,691.74	0.00	11,238.31	
Security Subtotal					32,648.16	15,658.27	0.00	16,989.89	

Statement

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SWEDBANK A B								
REDEEMED								
528.0000	Sale	08/21/09	03/31/11	8,988.12	4,616.64	0.00	4,371.48	
775.0000	Sale	11/11/09	03/31/11	13,192.81	7,518.51	0.00	5,674.30	
373.0000	Sale	11/11/09	04/01/11	6,408.69	3,618.58	0.00	2,790.11	
Security Subtotal				28,589.62	15,753.73	0.00	12,835.89	
UNILEVER NV NY REG SHS								
REDEEMED								
211.0000	Sale	06/18/08	02/14/11	6,195.07	6,305.36	0.00	(110.29)	
243.0000	Sale	06/18/08	02/15/11	7,166.32	7,261.62	0.00	(95.30)	
114.0000	Sale	08/29/08	02/15/11	3,361.98	3,159.49	0.00	202.49	
Security Subtotal				16,723.37	16,726.47	0.00	(3.10)	
Noncovered Long Term Capital Gains and Losses Subtotal				638,899.74	520,207.54	0.00	118,692.20	
NET LONG TERM CAPITAL GAINS AND LOSSES				638,899.74	520,207.54	0.00	118,692.20	

Statement I

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
-------------------------	----------	------------------------------	----------------------------	--------	------------	-------------	----------------	---------

SHORT TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

AMERICAN INTERNATIONAL GROUP INC REDEEMED	6405 0000	Sale	03/23/11	08/15/11	154,146.82	233,905.48	0.00	(79,758.66)
CENTURYLINK INC SHS CASH IN LIEU	2775 0000	Cash/Lieu Sale	03/10/11	04/19/11	32.62	26.93	0.00	5.69
			03/10/11	07/11/11	109,655.35	111,400.24	0.00	(1,744.89)
Security Subtotal					109,687.97	111,427.17	0.00	(1,739.20)
CLIFFS NATURAL RESOURCES INC REDEEMED	505 0000	Sale	06/01/11	09/06/11	37,553.70	44,635.94	7,082.24 (W)	0.00
	750 0000	Sale	06/01/11	09/06/11	55,772.83	66,291.00	0.00	(10,518.17)
Security Subtotal					93,326.53	110,926.94	7,082.24	(10,518.17)
OCCIDENTAL PETE CORP CAL REDEEMED	550 0000	Sale	08/24/11	10/17/11	45,596.22	45,189.49	0.00	406.73

State men + K

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	TEXAS INSTRUMENTS REDEEMED			882508104					
	2465.0000 Sale	03/17/11	11/15/11		77,325.56	81,468.25	0.00	(4,142.69)	
	1515.0000 Sale	08/24/11	11/15/11		47,524.65	39,253.65	0.00	8,271.00	
	Security Subtotal				124,850.21	120,721.90	0.00	4,128.31	
	Covered Short Term Capital Gains and Losses Subtotal				527,607.75	622,170.98	7,082.24	(87,480.99)	

NONCOVERED TRANSACTIONS

CITIGROUP FUNDING INC 17313YAJ0

FDIC TLGP GUARANTEED

02.250% DEC 10 2012

40000 0000 Sale

25000 0000 Sale

Security Subtotal

U S TREASURY NOTE

05 000% AUG 15 2011

REDEEMED

27000.0000 Redemption

CITIGROUP INC COM NEW

CASH IN LIEU

Cash/Lieu

5210.0000 Sale

1840.0000 Sale

2829.0000 Sale

Security Subtotal

MOTOROLA MOBILITY

HOLDINGS INC SHS

REDEEMED

3952.0000 Sale

505.0000 Sale

1281.0000 Sale

Cash/Lieu

Security Subtotal

CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
09/29/10	40,985.20	40,831.28	0.00	153.92	
12/16/10	25,615.75	25,448.86	0.00	166.89	
Security Subtotal	66,600.95	66,280.14	0.00	320.81	
CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
09/28/10	27,000.01	27,000.00	0.00	0.01	
CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
07/26/10	20.99	20.51	0.00	0.48	
06/28/10	215,534.08	208,019.67	0.00	7,514.41	
06/28/10	74,181.48	73,465.68	0.00	715.80	
07/26/10	114,054.04	116,031.43	0.00	(1,977.39)	
Security Subtotal	403,790.59	397,537.29	0.00	6,253.30	
CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
09/13/10	127,141.19	109,294.53	0.00	17,846.66	
09/14/10	16,246.53	14,102.23	0.00	2,144.30	
10/28/10	41,211.52	35,287.70	0.00	5,923.82	
10/28/10	26.23	20.78	0.00	5.45	
Security Subtotal	184,625.47	158,705.24	0.00	25,920.23	

State ment K



Merrill Lynch
Bank of America Corporation

Taxpayer No.
59-2891582

Page
3

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	SANDISK CORP INC								
	REDEEMED			80004C101					
3365 0000	Sale	08/09/10	03/28/11		153,215.60	155,072.66	0.00	(1,857.06)	
1974 0000	Sale	08/16/10	08/15/11		75,220.60	83,656.54	0.00	(8,435.94)	
	Security Subtotal				228,436.20	238,729.20	0.00	(10,293.00)	
	ACE LIMITED								
	REDEEMED			H0023R105					
1800 0000	Sale	07/06/10	03/21/11		111,707.12	90,548.28	0.00	21,158.84	
	WILLIAMS COMPANIES DEL								
	REDEEMED			969457100					
5249 0000	Sale	08/30/10	01/19/11		136,480.29	96,852.45	0.00	39,627.84	
2826 0000	Sale	08/31/10	01/19/11		73,479.40	51,332.32	0.00	22,147.08	
259 0000	Sale	08/31/10	07/18/11		7,772.82	4,704.55	0.00	3,068.27	
7043 0000	Sale	09/07/10	07/18/11		211,366.93	134,097.31	0.00	77,269.62	
447 0000	Sale	09/08/10	07/18/11		13,414.89	8,585.66	0.00	4,829.23	
	Security Subtotal				442,514.33	295,572.29	0.00	146,942.04	
	Noncovered Short Term Capital Gains and Losses Subtotal				1,464,674.67	1,274,372.44	0.00	190,302.23	
	NET SHORT TERM CAPITAL GAINS AND LOSSES				1,992,282.42	1,896,543.42	7,082.24	102,821.24	

LONG TERM CAPITAL GAINS AND LOSSES

NONCOVERED TRANSACTIONS

	CUSIP Number	17313YAJ0	
CITIGROUP FUNDING INC			
FDIC TLGP GUARANTEED			
02.250% DEC 10 2012			
176000 0000 Sale	11/03/09	08/25/11	180,334.88
			176,975.25
			0.00
			3,359.63
U S TREASURY NOTE			
05 0000% AUG 15 2011			
REDEEMED			
241000 0000 Redemption	11/03/09	08/15/11	240,999.99
			241,000.00
			0.00
			(0.01)

Statement K

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CENTURYLINK INC SHS									
	REDEEMED			156700106					
207 0000	Sale	10/15/08	04/07/11		8,393.68	3,495.61	0.00	4,898.07	
2187 0000	Sale	10/22/08	04/07/11		88,681.15	34,304.11	0.00	54,377.04	
1496 0000	Sale	03/27/09	04/07/11		60,661.64	34,702.89	0.00	25,958.75	
2000 0000	Sale	03/27/09	07/11/11		79,030.87	46,394.23	0.00	32,636.64	
708 0000	Sale	04/13/09	07/11/11		27,976.93	16,210.82	0.00	11,766.11	
	Security Subtotal				264,744.27	135,107.66	0.00	129,636.61	
CA INC									
	REDEEMED			12673P105					
6350 0000	Sale	09/21/07	05/09/11		153,996.60	164,470.08	0.00	(10,473.48)	
756 0000	Sale	11/01/07	05/09/11		18,334.08	20,034.61	0.00	(1,700.53)	
704 0000	Sale	10/15/08	05/09/11		17,073.02	11,216.97	0.00	5,856.05	
	Security Subtotal				189,403.70	195,721.66	0.00	(6,317.96)	
COMPUTER SCIENCE CRP									
	REDEEMED			205363104					
4130 0000	Sale	03/11/09	06/13/11		155,668.27	138,415.72	0.00	17,252.55	
DARDEN RESTAURANTS INC									
	REDEEMED			237194105					
1418 0000	Sale	04/22/09	05/09/11		68,503.25	57,726.93	0.00	10,776.32	
2360 0000	Sale	06/18/09	05/09/11		114,011.06	79,430.28	0.00	34,580.78	
	Security Subtotal				182,514.31	137,157.21	0.00	45,357.10	
EATON CORP									
	REDEEMED			278058102					
2310 0000	Sale	02/08/10	08/08/11		87,774.38	72,333.95	0.00	15,440.43	
2384 0000	Sale	02/09/10	08/08/11		90,586.21	75,840.76	0.00	14,745.45	
	Security Subtotal				178,360.59	148,174.71	0.00	30,185.88	
GAP INC DELAWARE									
	REDEEMED			364760108					
1010 0000	Sale	04/18/08	03/15/11		21,770.53	19,059.82	0.00	2,710.71	
4920 0000	Sale	10/15/08	03/15/11		106,050.53	65,803.03	0.00	40,247.50	
3170 0000	Sale	10/22/08	03/15/11		68,329.31	39,371.40	0.00	28,957.91	
	Security Subtotal				196,150.37	124,234.25	0.00	71,916.12	

Statement X

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
HUNTINGTON INGALLS INDS INC REDEEMED									
31,000	Sale	08/03/09	04/05/11	446413106	1,243.42	819.08	0.00	424.34	
420,000	Sale	08/04/09	04/05/11		16,846.47	11,328.03	0.00	5,518.44	
140,000	Sale	08/05/09	04/05/11		5,615.49	3,775.87	0.00	1,839.62	
	Cash/Lieu	08/05/09	04/14/11		25.63	18.02	0.00	7.61	
	Security Subtotal				23,731.01	15,941.00	0.00	7,790.01	
HERSHEY COMPANY REDEEMED									
1695,000	Sale	10/14/10	11/29/11	427866108	95,082.25	86,105.49	0.00	8,976.76	
INTL BUSINESS MACHINES CORP IBM REDEEMED									
965,000	Sale	02/13/07	01/03/11	459200101	142,437.77	94,753.55	0.00	47,684.22	
332,000	Sale	02/13/07	02/28/11		56,860.77	34,562.95	0.00	22,297.82	
1670,000	Sale	10/15/08	02/28/11		269,765.62	152,553.16	0.00	117,212.46	
385,000	Sale	10/22/08	02/28/11		62,191.48	32,432.40	0.00	29,759.08	
	Security Subtotal				531,255.64	314,302.06	0.00	216,953.58	
INTL PAPER CO REDEEMED									
4165,000	Sale	09/10/07	02/01/11	460146103	122,823.48	142,839.93	0.00	(20,016.45)	
915,000	Sale	10/15/08	02/01/11		26,982.84	17,631.23	0.00	9,351.61	
	Security Subtotal				149,806.32	160,471.16	0.00	(10,664.84)	
LIMITED BRANDS INC REDEEMED									
2260,000	Sale	06/01/10	10/03/11	532716107	84,774.59	57,277.67	0.00	27,496.92	
MOTOROLA SOLUTIONS INC REDEEMED									
2170,000	Sale	09/13/10	10/11/11	620076307	95,986.58	71,775.29	0.00	24,211.29	
MARATHON PETROLEUM CORP REDEEMED									
2605,000	Sale	07/08/09	07/08/11	56585A102	108,105.94	58,551.87	0.00	49,554.07	

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Description	Quantity	Transaction Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
OCCIDENTAL PETE CORP CAL									
REDEEMED									
560 0000 Sale		10/17/05	674599105	10/17/11	46,425.23	21,084.00	0.00	25,341.23	
2430 0000 Sale		10/15/08		10/17/11	201,452.37	109,464.22	0.00	91,988.15	
585 0000 Sale		10/22/08		10/17/11	48,497.79	26,671.73	0.00	21,826.06	
Security Subtotal					296,375.39	157,219.95	0.00	139,155.44	
RAYTHEON CO DELAWARE NEW									
REDEEMED									
385 0000 Sale		05/05/06	755111507	08/22/11	15,470.16	17,918.87	2,448.71 (W)	0.00	
1585.0000 Sale		05/05/06		08/22/11	63,688.83	73,769.86	0.00	(10,081.03)	
Security Subtotal					79,158.99	91,688.73	2,448.71	(10,081.03)	
ROSS STORES INC COM									
REDEEMED									
2995.0000 Sale		10/22/08	778296103	06/15/11	227,332.00	88,862.25	0.00	138,469.75	
SANDISK CORP INC									
REDEEMED									
501 0000 Sale		08/09/10	80004C101	08/15/11	19,090.94	23,088.08	0.00	(3,997.14)	
2018.0000 Sale		08/10/10		08/15/11	76,897.23	91,608.12	0.00	(14,710.89)	
Security Subtotal					95,988.17	114,696.20	0.00	(18,708.03)	
TJX COS INC NEW									
REDEEMED									
3005.0000 Sale		12/14/09	872540109	03/01/11	149,906.95	113,925.56	0.00	35,981.39	
514.0000 Sale		12/14/09		06/01/11	26,875.05	19,486.77	0.00	7,388.28	
1473.0000 Sale		12/15/09		06/01/11	77,017.41	56,088.75	0.00	20,928.66	
2367 0000 Sale		12/21/09		06/01/11	123,761.19	87,971.69	0.00	35,789.50	
Security Subtotal					377,560.60	277,472.77	0.00	100,087.83	
TEXAS INSTRUMENTS									
REDEEMED									
3605.0000 Sale		11/02/09	882508104	10/11/11	106,741.64	84,428.74	0.00	22,312.90	
4695 0000 Sale		11/02/09		11/15/11	147,279.31	109,956.43	0.00	37,322.88	
Security Subtotal					254,020.95	194,385.17	0.00	59,635.78	

State ment

LUCY GOODING CHARITABLE FDN

2011 TAX REPORTING STATEMENT

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
TARGET CORP	CUSIP Number	87612E106					
REDEEMED							
535.0000 Sale	03/01/10	08/02/11	27,208.18	27,847.28	639.10 (W)	0.00	
640.0000 Sale	03/01/10	08/02/11	32,548.11	33,312.65	0.00	(764.54)	
690.0000 Sale	03/02/10	08/02/11	35,090.93	35,714.54	0.00	(623.61)	
Security Subtotal			94,847.22	96,874.47	639.10	(1,388.15)	
TRAVELERS COS INC	CUSIP Number	89417E109					
REDEEMED							
1310.0000 Sale	09/29/05	11/15/11	75,272.72	58,056.07	0.00	17,216.65	
695.0000 Sale	10/15/08	11/15/11	39,934.77	24,279.13	0.00	15,655.64	
Security Subtotal			115,207.49	82,335.20	0.00	32,872.29	
ACE LIMITED	CUSIP Number	H0023R105					
REDEEMED							
145.0000 Sale	04/01/09	03/21/11	8,998.62	5,883.49	0.00	3,115.13	
204.0000 Sale	04/30/09	03/21/11	12,660.14	9,433.47	0.00	3,226.67	
1630.0000 Sale	05/13/09	03/21/11	101,157.00	68,095.21	0.00	33,061.79	
Security Subtotal			122,815.76	83,412.17	0.00	39,403.59	
WAL-MART STORES INC	CUSIP Number	931142103					
REDEEMED							
1845.0000 Sale	07/31/08	02/28/11	95,874.31	108,884.71	0.00	(13,010.40)	
800.0000 Sale	10/15/08	02/28/11	41,571.52	41,402.00	0.00	169.52	
540.0000 Sale	10/22/08	02/28/11	28,060.78	28,328.40	0.00	(267.62)	
330.0000 Sale	04/13/09	02/28/11	17,148.26	16,922.40	0.00	225.86	
Security Subtotal			182,654.87	195,537.51	0.00	(12,882.64)	
Noncovered Long Term Capital Gains and Losses Subtotal			4,522,880.15	3,443,695.42	3,087.81	1,082,272.54	
NET LONG TERM CAPITAL GAINS AND LOSSES			4,522,880.15	3,443,695.42	3,087.81	1,082,272.54	

X Statement

BONNIE H SMITH TTEE

2011 TAX REPORTING STATEMENT

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES								
NONCOVERED TRANSACTIONS								
	GOLDMAN SACHS GROUP INC	CUSIP Number	38141GAZ7					
GLB								
06 875% JAN 15 2011		03/07/02	01/18/11	750,000.00	750,000.00	0.00	0.00	
750000.0000	Redemption							
	TARGET CORP	CUSIP Number	87612EAC0					
06 350% JAN 15 2011		03/07/02	01/18/11	800,000.00	800,000.00	0.00	0.00	
800000.0000	Redemption							
	U.S. TREASURY NOTE	CUSIP Number	9128277B2					
05 000% AUG 15 2011		02/17/06	08/15/11	1,000,000.00	1,000,000.00	0.00	0.00	
1000000.0000	Redemption	05/22/06	08/15/11	300,000.00	300,000.00	0.00	0.00	
300000.0000	Redemption							
	Security Subtotal			1,300,000.00	1,300,000.00	0.00	0.00	
	FEDERAL NATL MTG ASSOC	CUSIP Number	31398ATL6					
NOTES								
03.625% AUG 15 2011		11/10/09	08/15/11	1,000,000.00	1,000,000.00	0.00	0.00	
1000000.0000	Redemption							
Long Term Capital Gains and Losses				total	3,850,000.00	0.00	0.00	

State ment 7

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

Statement 1
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX-2010	\$ 3,356.			
EXCISE TAX-2011	87,000.			
FOREIGN TAX WITHHELD	55,057.	\$ 55,057.		
Total	<u>\$ 145,413.</u>	<u>\$ 55,057.</u>		<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
D & O INSURANCE	\$ 2,297.			\$ 2,297.
INVESTMENT FEES	644,552.	\$ 644,552.		
OFFICE EXPENSE	323.			323.
PUBLICATIONS/MEMBERSHIPS	8,195.			8,195.
Total	<u>\$ 655,367.</u>	<u>\$ 644,552.</u>		<u>\$ 10,815.</u>

Statement 3
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	Statement A, p.02	Purchased	Various	Various
2	Statement A, p.06	Purchased	Various	Various
3	Statement B, p.12	Purchased	Various	Various
4	Statement B, p.24	Purchased	Various	Various
5	Statement C, p.14	Purchased	Various	Various
6	Statement C, p.21	Purchased	Various	Various
7	Statement D, p.05	Purchased	Various	Various
8	Statement D, p.12	Purchased	Various	Various
9	Statement E, p.03	Purchased	Various	Various
10	Statement F, p.02	Purchased	Various	Various
11	Statement F, p.06	Purchased	Various	Various
12	Statement G, p.09	Purchased	Various	Various
13	Statement G, p.09	Purchased	Various	Various
14	Statement H, p.01	Purchased	Various	Various
15	Statement H, p.02	Purchased	Various	Various
16	Statement I, p.18	Purchased	Various	Various
17	Statement I, p.39	Purchased	Various	Various
18	Statement J, p.04	Purchased	Various	Various
19	Statement J, p.09	Purchased	Various	Various
20	Statement K, p.03	Purchased	Various	Various
21	Statement K, p.07	Purchased	Various	Various
22	Statement L, p.01	Purchased	Various	Various

Client 1

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

5/06/12

12 35PM

Statement 3 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	93,217.		90,014.	3,203.				\$ 3,203.
2	313,040.		454,874.	-141,834.				-141,834.
3	2640229.		2730859.	-90,630.				-90,630.
4	2706193.		2108997.	597,196.				597,196.
5	3404851.		3431778.	-26,927.				-26,927.
6	1989304.		1499557.	489,747.				489,747.
7	548,634.		640,440.	-91,806.				-91,806.
8	1404635.		1145886.	258,749.				258,749.
9	606,444.		630,565.	-24,121.				-24,121.
10	129,556.		108,158.	21,398.				21,398.
11	1183929.		1037353.	146,576.				146,576.
12	1683911.		1676171.	7,740.				7,740.
13	1969701.		1613788.	355,913.				355,913.
14	11,068.		8,509.	2,559.				2,559.
15	299,784.		289,573.	10,211.				10,211.
16	1168706.		1373773.	-205,067.				-205,067.
17	1648981.		2065226.	-416,245.				-416,245.
18	350,793.		394,817.	-44,024.				-44,024.
19	638,900.		520,208.	118,692.				118,692.
20	1992282.		1889461.	102,821.				102,821.
21	4522880.		3440608.	1082272.				1082272.
22	3850000.		3850000.	0.				0.
Total								\$ 2156423.

Statement 4
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:
Name: LUCY GOODING CHARITABLE FOUNDATION TRUST
Care Of: BONNIE H SMITH, MANAGING TRUSTEE
Street Address: POST OFFICE BOX 37349
City, State, Zip Code: JACKSONVILLE, FL 32226-7349
Telephone: (904) 786-4796
Form and Content: SEE STATEMENT 7
Submission Deadlines: SEPTEMBER 30 OF GRANT YEAR
Restrictions on Awards: LIMITED TO DUVAL, CLAY, BAKER, NASSAU AND ST. JOHNS
COUNTIES IN FLORIDA WITH EMPHASIS ON ORGANIZATIONS THAT
PROVIDE DIRECT BASIC SERVICES TO CHILDREN

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

Statement 5
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ASS'N OF FUNDRAISING PROFESSIONALS PO BOX 43024 JACKSONVILLE, FL 32203	NONE		GENERAL SUPPORT	\$ 2,500.
BIG BROTHERS/BIG SISTERS 3100 UNIVERSITY BLVD. S # 120 JACKSONVILLE, FL 32216	NONE		MENTORING PROGRAMS	40,000.
THE BRIDGE OF NE FLORIDA 1824 PEARL STREET JACKSONVILLE, FL 32206	NONE		GENERAL SUPPORT-60K CAPITAL CAMPAIGN-200K	260,000.
CAMPS:"I AM SPECIAL" 134 E. CHURCH STREET JACKSONVILLE, FL 32202	NONE		CAMP FOR DISABLED CHILDREN	15,000.
CATHOLIC CHARITIES 134 E. CHURCH STREET JACKSONVILLE, FL 32202	NONE		EMERGENCY ASSISTANCE FUND	60,000.
DEPAUL SCHOOL 3044 SOUTH SAN PABLO ROAD JACKSONVILLE, FL 32224	NONE		GENERAL SUPPORT	20,000.
DOWN SYNDROME ASSOCIATION 4600 BEACH BLVD. JACKSONVILLE, FL 32207	NONE		COMPUTER CLASSES	18,000.
DOWNTOWN ECUMENICAL COUNCIL 215 OCEAN STREET JACKSONVILLE, FL 32202	NONE		FOOD PANTRY	30,000.
GIRL SCOUTS 1000 SHEARER STREET JACKSONVILLE, FL 32205	NONE		CLASSROOM SCOUTING & HOUSING PROJECT TROOPS	50,000.
HOPE HAVEN CLINIC 4600 BEACH BLVD. JACKSONVILLE, FL 32207	NONE		SUPPORT OPERATIONS IN LBG LEARNING CTR	50,000.
HUBBARD HOUSE PO BOX 4909 JACKSONVILLE, FL 32201	NONE		COUNSELING FOR CHILDREN	60,000.
JEWISH FAMILY & COMMUNITY 6262 DUPONT STATION CT, E. JACKSONVILLE, FL 32217	NONE		FOSTER CHILD PLACEMENT PROGRAM	25,000.

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
KIDS CAFE LUTHERAN SOC SVC 4615 PHILIPS HIGHWAY JACKSONVILLE, FL 32207	NONE		FOOD PROGRAM FOR CHILDREN	\$ 60,000.
N FL SCHOOL SPECIAL ED 223 MILL CREEK ROAD JACKSONVILLE, FL 32211	NONE		STUDENT SPEECH THERAPY	24,000.
SALVATION ARMY 328 N. OCEAN STREET JACKSONVILLE, FL 32202	NONE		AFTER SCHOOL PROGRAM, GENERAL SUPPORT	60,000.
ST. VINCENTS FOUNDATION 1800 BARRS STREET JACKSONVILLE, FL 32203	NONE		MOBILE HEALTH OUTREACH PROGRAM FOR CHILDREN	200,000.
SULZBACHER HOMELESS CENTER 611 E. ADAMS STREET JACKSONVILLE, FL 32202	NONE		HOMELESS SHELTER GENERAL SUPPORT	60,000.
COMMUNITY FOUNDATION 245 RIVERSIDE AVENUE, # 310 JACKSONVILLE, FL 32202	NONE		SUPPORT DONORS FORUM-35K, COMMUNITY SAFETY NET FUND-500K	535,000.
GIRLS ON THE RUN 2610 COUNTESS OF EGMONT ST FERNANDINA BEACH, FL 32034	NONE		AFTER SCHOOL PROGRAM	18,000.
JUNIOR ACHIEVEMENT 1801 ART MUSEUM DRIVE, #101 JACKSONVILLE, FL 32207	NONE		STUDENT FINANCE EDUCATION	45,000.
NONPROFIT CENTER 1300 RIVERPLACE BLVD., #320 JACKSONVILLE, FL 32207	NONE		GENERAL SUPPORT-37.5K ENDOWMENT FUND-50K	87,500.
WOLFSON CHILDREN'S HOSPITAL 841 PRUDENTIAL DRIVE #1300 JACKSONVILLE, FL 32207	NONE		PEDIATRIC NEUROSURGICAL CENTER	500,000.
UNITED WAY OF NE FLORIDA 1300 RIVERPLACE BLVD #500 JACKSONVILLE, FL 32203	NONE		FULL SERVICE SCHOOL PROGRAM	450,000.
ASS'N OF SMALL FOUNDATION 1720 NORTH STREET NW WASHINGTON, DC 20036	NONE		GENERAL SUPPORT	10,000.

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
MALI VAI WASHINGTON KIDS FND 2933 NORTH MYRTLE AVE, #101 JACKSONVILLE, FL 32209	NONE		AFTER SCHOOL PROGRAMS	\$ 35,000.
BOYS & GIRLS CLUBS 1300 RIVERPLACE BLVD, #310 JACKSONVILLE, FL 32207	NONE		AFTER SCHOOL PROGRAMS	70,000.
BETTY GRIFFIN HOUSE POST OFFICE BOX 3319 ST. AUGUSTINE, FL 32085	NONE		DOMESTIC VIOLENCE SHELTER	25,000.
COMMUNITY CONNECTIONS POST OFFICE BOX 41086 JACKSONVILLE, FL 32203	NONE		GENERAL SUPPORT	60,000.
BEACHES EMERGENCY ASSISTANCE MINISTRY P O BOX 51372 JACKSONVILLE BEACH, FL 32240	NONE		EMERGENCY HOUSING ASSISTANCE	35,000.
GIRLS INC 1627 ROGERO RD JACKSONVILLE, FL 32211	NONE		GENERAL SUPPORT	25,000.
GREENWOOD SCHOOL 9920 REGENCY SQ BLVD JACKSONVILLE, FL 32225	NONE		GUIDANCE COUNSELOR	20,000.
POLICE ATHLETIC LEAGUE PO BOX 12256 JACKSONVILLE, FL 32209	NONE		ROOF REPLACEMENT	143,005.
PRESBYTERIAN SOCIAL MINISTRY 1016 OAK ST JACKSONVILLE, FL 32204	NONE		CLOTHING WAREHOUSE	10,000.
PROJECT SOS 6850 BELFORT OAKS PL JACKSONVILLE, FL 32216	NONE		HEALTH & MENTORING PROGRAM	27,000.
QUIGLEY HOUSE PO BOX 142 ORANGE PARK, FL 32067	NONE		SUPPORT DOMESTIC VIOLENCE SHELTER	43,800.
DLC NURSE AND LEARN 4101-1 COLLEGE ST JACKSONVILLE, FL 32205	NONE		GENERAL SUPPORT	30,000.

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
BARNABUS CENTER, INC. 11 SOUTH 11TH STREET FERNANDINA BEACH, FL 32034	NONE		EMERGENCY HOUSING ASSISTANCE	\$ 30,000.
MICAH'S PLACE P.O. BOX 16287 FERNANDINA BEACH, FL 32035	NONE		DOMESTIC VIOLENCE SHELTER	25,000.
ALL SAINTS EARLY LEARNING 4171 HENDRICKS AVENUE JACKSONVILLE, FL 32207	NONE		SPECIAL NEEDS PRESCHOOL	14,445.
CARPENTER'S SHOP CENTER 1601 UNIVERSITY BLVD N JACKSONVILLE, FL 32211	NONE		AFTER SCHOOL PROGRAM	6,000.
SEAMARK RANCH 1 SAN JOSE PLACE, STE 31 JACKSONVILLE, FL 32257	NONE		OPERATING COSTS FOR YOUTH HOME	30,000.
MANAGED ACCESS TO CHILD HEALTH 910 N JEFFERSON ST JACKSONVILLE, FL 32209	NONE		MEDICAL INITIATIVE FOR HOMELESS CHILDREN	77,000.
METRO KIDS KONNECTION 5010 CLEVELAND RD, STE 246 JACKSONVILLE, FL 32209	NONE		AFTER SCHOOL AND SUMMER PROGRAM	16,173.
BOLLES SCHOOL 7400 SAN JOSE BLVD JACKSONVILLE, FL 32217	NONE		STUDENT FINANCIAL ASSISTANCE	40,000.
MANAGED ACCESS TO CHILD HEALTH 910 N JEFFERSON ST JACKSONVILLE, FL 32209	NONE		MEDICAL INITIATIVE FOR REFUGEE CHILDREN	25,000.
HAVEN HORSE RANCH 7333 COUNTY ROAD 208 ST. AUGUSTINE, FL 32033	NONE		THERAPEUTIC RIDING	3,000.
LIGHTHOUSE LEARNING CENTER 550 KINGSLEY AVENUE ORANGE PARK, FL 32073	NONE		PLAYGROUND COVERING AND EQUIPMENT	15,000.
LUTERAN SOCIAL SERVICES 4615 PHILIPS HIGHWAY JACKSONVILLE, FL 32207	NONE		SECOND HARVEST FOOD BANK	300,000.

Client 1

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

5/06/12

01 21PM

Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
TRINITY RESCUE MISSION 622 WEST UNION STREET JACKSONVILLE, FL 32202	NONE		CHILDREN PROGRAM SUPPLIES	\$ 2,500.
WOLFSON CHILDREN'S HOSPITAL 841 PRUDENTIAL DRIVE, #1300 JACKSONVILLE, FL 32207	NONE		MICROSCOPE	200,000.
Total				<u>\$ 3,987,923.</u>

Statement 6
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
BOLLES SCHOOL 7400 SAN JOSE BLVD JACKSONVILLE, FL 32217	NONE		STUDENT FINANCIAL ASSISTANCE	\$ 40,000.
UNITED WAY OF NE FLORIDA 1300 RIVERPLACE BLVD #500 JACKSONVILLE, FL 32203	NONE		FULL SERVICE SCHOOL SITE	1,440,000.
WOLFSON CHILDREN'S HOSPITAL 841 PRUDENTIAL DRIVE #1300 JACKSONVILLE, FL 32207	NONE		PEDIATRIC NEUROSURGICAL CENTER	1,000,000.
ST. VINCENTS FOUNDATION 1800 BARRS STREET JACKSONVILLE, FL 32203	NONE		MOBILE HEALTH OUTREACH PRGRAM FOR CHILDREN	400,000.
THE BRIDGE OF NE FLORIDA 1824 PEARL STREET JACKSONVILLE, FL 32206	NONE		EXPANDED AFTER SCHOOL PROGRAMS	150,000.
NONPROFIT CENTER 1300 RIVERPLACE BLVD., #320 JACKSONVILLE, FL 32207	NONE		ENDOWMENT FUND	50,000.
POLICE ATHLETIC LEAGUE 2165 WEST 33RD ST JACKSONVILLE, FL 32209	NONE		2 VANS & COOKERS	63,700.
COMMUNITY FOUNDATION 245 RIVERSIDE AVE, STE 310 JACKSONVILLE, FL 32202	NONE		SUPPORT FOR DONORS FORUM	350,000.

2011

Federal Statements

Page 8

Client 1

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

5/06/12

12 33PM

Statement 6 (continued)
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
MANAGED ACCESS TO CHILD HEA 910 N JEFFERSON STREET JACKSONVILLE, FL 32209	NONE		MEDICAL INITIATIVE FOR REFUGEE CHILDREN	\$ 50,000
MANAGED ACCESS TO CHILD HEALTH 910 N JEFFERSON ST JACKSONVILLE, FL 32209	NONE		MEDICAL INITIATIVE FOR HOMELESS CHILDREN	154,000
BIG BROTHERS/BIG SISTERS 3100 UNIVERSITY BLVD. S #120 JACKSONVILLE, FL 32216	NONE		EXPAND MENTORING PROGRAMS	80,000
Total				\$ <u>3,777,700</u>

The Lucy Gooding Charitable Foundation Trust

Bonnie H. Smith, Trustee

Post Office Box 37349

Jacksonville, FL 32236-7349

Phone no. (904) 786-4796 FAX no. (904) 786-4796

email: bhsmith@bellsouth.net

PLEASE DO NOT USE ANY POSTAL SERVICES THAT REQUIRE A SIGNATURE FOR RECEIPT.

REQUEST FOR GRANT

Name of Organization: _____

Contact Person and Title: _____

Address: _____

Phone number: _____ FAX Number: _____ Website: _____

(Including extension)

Current United Way Agency _____ Yes? Or _____ No? Jacksonville Children Commission _____ Yes? Or _____ No?

AMOUNT REQUESTED: _____

THE FOLLOWING ATTACHMENTS SHOULD BE INCLUDED WITH THIS APPLICATION:

1. A full description of the charitable purpose for which the funds are requested. This detail should not exceed two pages and should **indicate the number of recipients affected.**
2. A budget of the project to be funded. This budget detail should not exceed one page.
3. List any other funding sources for this request.
4. The names and affiliations of the Board of Directors or Trustees responsible for managing the organization.
5. A copy of the most recent letter of exemption from the Internal Revenue Service verifying that the organization is exempt from Federal Income Tax under Section 501(c)(3) of the Internal Revenue Code and classifying your organization as "not a private foundation" under Section 509(a) of the Internal Revenue Code.
6. A copy of the most current Internal Revenue Service Form 990, Return of Organization Exempt from Tax.
7. A copy of your financial statement for your most recent completed fiscal year-audited, if available.
8. A statement that the organization's tax exempt status under Internal Revenue Code Section 501(c)(3) and the status under Section 509(a) have not been revoked or modified.
9. A statement that the organization will furnish, upon request of this Foundation, reports showing how the grant funds were spent. The grant funds must be used solely for the purposes for which the grant is sought.
10. A statement regarding how you will evaluate your project? How will you measure results?
11. A statement providing some history, program activities, development efforts, objectives, etc. of the organization.

Grant requests must be received by SEPTEMBER 30 of the grant year. Only one copy is required. Grant requests will not be returned. Trustee action is final upon the application as presented.

Signature of individual authorized to act for
applicant organization

Print name and title/position

Date of Request

(Revised 10/19/2010)

Statement 17

2011

Supporting Detail

Page 1

Client 1

LUCY GOODING CHARITABLE FOUNDATION TRUST

59-2891582

5/06/12

12 42PM

FMV of Assets (990-PF)
US and state government obligations [O]

Worksheet 1

Total \$ 10,533,089.
\$ 10,533,089.

FMV of Assets (990-PF)
Corporate stock [O]

Worksheet 2 p. 10

Total \$ 63,143,279.
\$ 63,143,279.

FMV of Assets (990-PF)
Corporate bonds [O]

Worksheet 3

Total \$ 8,360,055.
\$ 8,360,055.

LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011
FIXED INCOME AND ACCRUED INTEREST

Government Bonds

Description	Symbol	Rating	Quantity	Price	Value	% of Portfolio	Accrued Interest
FED NAT'L MORTGAGE ASSOC GLOBAL NOTES 04 375% SEP 15 2012	31359MPF4 HAF61	AA+	1,000,000	102.915	1,041,910	1.21	12,760.41
FEDERAL HOME LN MTG CORP GLOBAL NOTES 04 500% JUL 15 2013	3134A4TZ7 HAKF8	AA+	700,000	106.358	758,944	0.88	14,437.50
FEDERAL HOME LN MTG CORP NOTES 04 875% NOV 15 2013	3134A4UK8 HAYS6	AA+	1,693,000	108.327	1,844,293	2.15	10,316.72
FEDERAL HOME LN MTG CORP NOTES 02 125% MAR 23 2012	3137EABY4 HCWW0	AA+	600,000	100.447	606,117	0.71	3,435.41
FEDERAL NAT'L MTG ASSOC NOTES 05.375% JUN 12 2017	31398ADM1 HAPS0	AA+	271,000	120.823	328,159	0.38	728.31
FEDERAL NAT'L MTG ASSOC NOTES 02 875% DEC 11 2013	31398AUJ9 H4Y52	AA+	1,499,000	104.795	1,573,152	1.83	2,274.52
U.S. TREASURY NOTE 3.625% FEB 15 2020 03.625% FEB 15 2020	912828MP2 H26C5	N/A	199,000	115.977	233,480	0.27	2,685.55
U.S. TREASURY NOTE 3.375% NOV 30 2012 03.375% NOV 30 2012	912828HK9 H27T0	N/A	1,300,000	102.918	1,341,530	1.56	3,596.31
U.S. TREASURY NOTE 2.375% AUG 31 2014 02.375% AUG 31 2014	912828LK4 H2715	N/A	1,667,000	105.344	1,769,245	2.06	13,160.82
U.S. TREASURY NOTE 3.500% MAY 15 2020 03.500% MAY 15 2020	912828ND8 H2754	N/A	241,000	115.039	278,287	0.32	1,042.79
U.S. TREASURY NOTE 2.625% AUG 15 2020 02.625% AUG 15 2020	912828NT3 H2776	N/A	126,000	107.852	137,125	0.16	1,231.32
U.S. TREASURY NOTE 1.250% SEP 30 2015 01.250% SEP 30 2015	912828NZ9 H2783	N/A	319,000	102.594	328,266	0.38	991.43
U.S. TREASURY NOTE 2.000% APR 30 2016 02.000% APR 30 2016	912828QF0 H28C2	N/A	212,000	105.594	224,558	0.26	698.90
U.S. TREASURY NOTE 2.125% AUG 15 2021 02.125% AUG 15 2021	912828RC6 H28F8	N/A	132,000	102.563	136,427	0.16	1,044.25
Total Government Bonds					10,601,493 - 68,404	12.35	68,404.24

Other Bonds

Description	Symbol	Rating	Quantity	Price	Value	% of Portfolio	Accrued Interest
SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 04 300% SEP 22 2019	RDS19 F7LY1	N/A	236,000	116.035	276,605	0.32	2,762.51
Total Other Bonds					276,605 - 2,763	0.32	2,762.51

	Market Value	Accrued Interest
TOTAL FIXED INCOME	19,065,626	172,481.68

273,842 to worksheet 3

Worksheet 1

DECEMBER 30, 2011

HOLDINGS -

EQUITIES

Stocks - Related

Description	Symbol	Rating	Quantity	Price	Value	% of Portfolio
ABBOTT LABS	ABT	A-2-7	2,677	56.230	150,528	0.18
ABERCROMBIE & FITCH CO	ANF	C-1-7	1,126	48.840	54,994	0.06
ACCENTURE PLC SHS	ACN	N/A	1,541	53.230	82,027	0.10
ACE LIMITED	ACE	B-1-7	610	70.120	42,773	0.05
ACTIVISION BLIZZARD INC	ATVI	C-2-9	28,485	12.320	350,935	0.41
AETNA INC NEW	AET	B-2-7	5,975	42.190	252,085	0.29
AGILENT TECHNOLOGIES INC	A	B-1-9	2,770	34.930	96,756	0.11
AIR PRODUCTS&CHEM	APD	B-1-7	1,598	85.190	136,134	0.16
AIRGAS INC COM	ARG	B-1-7	950	78.080	74,176	0.09
AKAMAI TECHNOLOGIES INC	AKAM		1,829	32.280	59,040	0.07
AKZO NOBEL N V SPNSD ADR	AKZOY	B-2-7	750	48.300	36,225	0.04
ALCATEL LUCENT SPD ADR	ALU	N/A	16,521	1.560	25,773	0.03
ALLERGAN INC	AGN	B-1-7	947	87.740	83,090	0.10
ALLSTATE CORP DEL COM	ALL	B-1-7	3,626	27.410	99,389	0.12
ALTERA CORP COM	ALTR	C-1-7	10,030	37.100	372,113	0.43
ALTRIA GROUP INC	MO	B-1-7	6,135	29.650	181,903	0.21
AMAZON COM INC COM	AMZN	C-1-9	1,200	173.100	207,720	0.24
AMER EXPRESS COMPANY	AXP	B-1-7	12,356	47.170	582,833	0.68
AMEREN CORP	AEE	B-3-7	2,777	33.130	92,002	0.11
AMERICA MOVIL SAB DE CV ADR	AMX	B-1-7	538	22.600	12,159	0.01
AMERIPRISE FINL INC	AMP		6,739	49.640	334,524	0.39
AMGEN INC COM PV \$0.0001	AMGN	B-2-7	9,284	64.210	596,126	0.70
AMN ELEC POWER CO	AEP	B-2-7	3,237	41.310	133,720	0.16
ANADARKO PETE CORP	APC	C-1-7	584	76.330	44,577	0.05
ANALOG DEVICES INC COM	ADI		5,845	35.780	209,134	0.24
ANHEUSER-BUSCH INBEV ADR	BUD	A-1-7	542	60.990	33,057	0.04
ANNALY CAP MGMT INC	NLY	B-2-8	9,359	15.960	149,370	0.17

Worksheet 2

1/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

AON CORP	AON	B-1-7	934	46.800	43,711	0.05
APACHE CORP	APA	B-1-7	889	90.580	80,526	0.09
APOLLO GLOBAL MGMT LLC CL						
A SHARES	APO	N/A	5,697	12.410	70,700	0.08
APPLE INC	AAPL	C-1-9	3,773	405.000	1,528,065	1.78
ARCH CAPITAL GRP LTD BM	ACGL	B-2-9	2,424	37.230	90,246	0.11
ARCOS DORADOS HOLDNG INC						
CL A SHARES	ARCO	C-1-7	1,740	20.530	35,722	0.04
ASML HLDG N.V. NEW YORK						
REGISTRY SHAR	ASML	B-1-7	1,709	41.790	71,419	0.08
ASTRAZENECA PLC SPND ADR	AZN	B-2-7	726	46.290	33,607	0.04
AT&T INC	T	A-2-7	17,690	30.240	534,946	0.62
AU OPTRONICS CORP ADR	AUO	C-3-9	1,658	4.320	7,163	0.01
AUST NW Z B G ADR	ANZBY	A-1-7	1,745	20.820	36,331	0.04
AUTOMATIC DATA PROC	ADP	N/A	1,456	54.010	78,639	0.09
AVALONBAY CMMUN INC REIT	AVB	B-1-7	458	130.600	59,815	0.07
BABCOCK (THE) AND WILCOX						
CO SHS	BWC		4,465	24.140	107,785	0.13
BANCO SANTANDER SA ADR	STD	B-1-7	4,223	7.520	31,757	0.04
BANK NEW YORK MELLON						
CORP	BK	N/A	12,751	19.910	253,872	0.30
BARCLAYS PLC ADR	BCS	C-1-7	2,754	10.990	30,266	0.04
BARRICK GOLD CORPORATION	ABX	C-1-7	3,303	45.250	149,461	0.17
BASF SE SPONSORED ADR	BASFY	A-2-7	384	69.730	26,776	0.03
BAXTER INTERNTL INC	BAX	B-1-7	865	49.480	42,800	0.05
BAYTEX ENERGY CORP SHS	BTE		657	55.890	36,720	0.04
BECTON DICKINSON CO	BDX		1,430	74.720	106,850	0.12
BED BATH & BEYOND INC	BBBY	B-1-9	2,430	57.970	140,867	0.16
BERKSHIRE HATHAWAY INC						
DEL CL B NEW	BRKB		2,600	76.300	198,380	0.23
BG GROUP PLC SPON ADR	BRGY	A-1-7	910	107.000	97,370	0.11
BHP BILLITON LTD ADR	BHP	B-2-7	1,606	70.630	113,432	0.13
BHP BILLITON PLC SP ADR	BBL	B-2-7	490	58.390	28,611	0.03
BIOGEN IDEC INC	BIIB	B-1-9	499	110.050	54,915	0.06
BLACKROCK INC	BLK	B-1-7	224	178.240	39,926	0.05
BNP PARIBAS SPONSORD ADR	BNPQY	B-2-8	1,330	19.650	26,135	0.03
BOEING COMPANY	BA	B-1-7	1,651	73.350	121,101	0.14
BORG WARNER INC COM	BWA	C-1-9	1,077	63.740	68,648	0.08
BOSTON PPTYS INC REIT	BXP	B-1-7	627	99.600	62,449	0.07
BRIDGESTONE CORP ADR	BRDCY	N/A	418	45.000	18,810	0.02
BUNZL PLC ADR	BZLFY		731	68.800	50,293	0.06
CA INC	CA		9,801	20.215	198,127	0.23
CAMECO CORP COM	CCJ	C-2-7	4,828	18.050	87,145	0.10
CANADIAN NATURAL RES LTD	CNQ	B-1-7	5,714	37.370	213,532	0.25
CANON INC ADR REP5SH	CAJ	B-1-7	759	44.040	33,426	0.04
CAPITAL ONE FINL	COF	C-1-7	11,887	42.290	502,701	0.59
CARDINAL HEALTH INC OHIO	CAH	B-1-7	11,317	40.610	459,583	0.54
CARNIVAL CORP PAIRED SHS	CCL	C-1-7	1,199	32.640	39,135	0.05
CATERPILLAR INC DEL	CAT	B-2-7	1,493	90.600	135,266	0.16

Worksheet 2

2/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

CELANESE CORP DEL SER A	CE	C-2-7	689	44.270	30,502	0.04
CENOVUS ENERGY INC	CVE	B-1-7	774	33.200	25,697	0.03
CERNER CORP COM	CERN	N/A	494	61.250	30,258	0.04
CHESAPEAKE ENERGY OKLA	CHK	N/A	3,300	22.290	73,557	0.09
CHEUNG KONG HLDG ADR	CHEUY		2,104	11.830	24,890	0.03
CHEVRON CORP	CVX	A-2-7	13,859	106.400	1,474,598	1.72
CHINA MINSHENG UNSP ADR	CMAKY		1,665	8.610	14,336	0.02
CHINA MOBILE LTD SPN ADR	CHL	C-2-7	1,366	48.490	66,237	0.08
CHUBB CORP	CB	A-2-7	6,011	69.220	416,081	0.49
CIE GENERALE DES						
ETABLISSEMENTS MICHELIN	MGDDY		2,400	11.720	28,128	0.03
CISCO SYSTEMS INC COM	CSCO	B-1-7	8,226	18.080	148,726	0.17
CITIGROUP INC COM NEW	C	C-1-7	7,197	26.310	189,353	0.22
CITRIX SYSTEMS INC COM	CTXS	B-2-9	1,439	60.720	87,376	0.10
CLIFFS NATURAL RESOURCES						
INC	CLF	C-1-7	3,269	62.350	203,822	0.24
CME GROUP INC	CME	N/A	426	243.670	103,803	0.12
COACH INC	COH	C-1-7	1,128	61.040	68,853	0.08
COCA COLA COM	KO	A-1-7	8,783	69.970	614,547	0.72
COMCAST CORP NEW CL A	CMCSA	B-1-7	918	23.710	21,766	0.03
COMERICA INC COM	CMA	B-2-7	4,844	25.800	124,975	0.15
COMPANHIA ENERG DE ADR	CIG	C-1-7	637	17.790	11,332	0.01
CONAGRA FOODS INC	CAG	B-1-7	9,615	26.400	253,836	0.30
CONOCOPHILLIPS	COP	A-2-7	17,515	72.870	1,276,318	1.49
CORUS ENTMT INC CL B N V	CJREF		1,401	20.152	28,233	0.03
COSTCO WHOLESALE CRP DEL	COST	B-1-7	4,645	83.320	387,021	0.45
COVIDIEN PLC SHS NEW	COV	B-1-7	2,302	45.010	103,613	0.12
CREDIT SUISSE GP SP ADR	CS	B-1-8	1,464	23.480	34,375	0.04
CROWN HLDGS INC	CCK	B-1-9	2,087	33.580	70,081	0.08
CSL LTD SHS	CMXHY		2,193	16.280	35,702	0.04
CTRIIP.COM INTL LTD ADR	CTRP	C-2-7	3,787	23.400	88,616	0.10
CUMMINS INC COM	CMI		1,005	88.020	88,460	0.10
CVS CAREMARK CORP	CVS	B-1-7	9,631	40.780	392,752	0.46
DANAHER CORP DEL COM	DHR	B-1-7	1,172	47.040	55,131	0.06
DANSKE BK A/S UNSPNS ADR	DNSKY		4,343	6.300	27,361	0.03
DARDEN RESTAURANTS INC	DRI	C-1-7	1,010	45.580	46,036	0.05
DEERE CO	DE	B-1-7	2,100	77.350	162,435	0.19
DELL INC	DELL	C-1-9	29,340	14.630	429,244	0.50
DENBURY RES INC	DNR		9,017	15.100	136,157	0.16
DEUTSCHE LUFT AG SPN ADR	DLAKY	C-3-8	1,989	11.780	23,430	0.03
DEUTSCHE TELE AG SPN ADR	DTEGY	B-1-7	2,879	11.450	32,965	0.04
DEVON ENERGY CORP NEW	DVN	B-2-7	4,140	62.000	256,680	0.30
DIAGEO PLC SPSD ADR NEW	DEO	A-1-7	1,952	87.420	170,644	0.20
DIRECTV GROUP HLDNGS CLA	DTV	B-2-9	2,215	42.760	94,713	0.11
DISCOVER FINL SVCS	DFS	C-1-7	18,110	24.000	434,640	0.51
DISH NETWORK CORPATION						
CLASS A	DISH	B-3-9	12,530	28.480	356,854	0.42
DISNEY (WALT) CO COM STK	DIS	B-2-7	7,845	37.500	294,188	0.34

Worksheet 2 3/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

DOVER CORP	DOV	B-1-7	3,970	58.050	230,459	0.27
DOW CHEMICAL CO	DOW	C-2-7	3,698	28.760	106,354	0.12
DR PEPPER SNAPPLE GROUP INC	DPS	A-2-7	9,398	39.480	371,033	0.43
E M C CORPORATION MASS	EMC	C-1-9	2,974	21.540	64,060	0.08
EAST JAPAN RY CO ADR	EJPRY		2,552	10.450	26,668	0.03
EASTMAN CHEMICAL CO COM	EMN	B-2-7	2,453	39.060	95,814	0.11
EDISON INTL CALIF	EIX	B-1-7	2,689	41.400	111,325	0.13
EDWARDS LIFESCIENCES CRP	EW	C-2-9	850	70.700	60,095	0.07
ELI LILLY & CO	LLY	A-1-7	4,487	41.560	186,480	0.22
ENCANA CORP	ECA	B-2-7	932	18.530	17,270	0.02
ENDO PHARMACEUTCLS HLDGS	ENDP	C-1-9	2,038	34.530	70,372	0.08
ENI S P A SPONSORED ADR	E	A-1-7	957	41.270	39,495	0.05
ENSCO PLC-SPON ADR	ESV	B-1-7	1,803	46.920	84,597	0.10
EOG RESOURCES INC	EOG	N/A	4,520	98.510	445,265	0.52
EQUINIX INC	EQIX	B-1-9	1,343	101.400	136,180	0.16
ERICSSON LM TEL CL B ADR						
SEK 10 NEW	ERIC	N/A	3,589	10.130	36,357	0.04
EURASIAN NATURAL RESOURCES CORP P	EURNY		2,629	4.660	12,251	0.01
EXPERIAN PLC SP ADR	EXPGY	B-1-7	4,706	13.570	63,860	0.07
EXPRESS SCRIPTS INC COM	ESRX	B-1-9	3,742	44.690	167,230	0.20
EXXON MOBIL CORP COM	XOM	A-1-7	11,711	84.760	992,624	1.16
FAMILY DOLLAR STORES	FDO	B-1-7	1,485	57.660	85,625	0.10
FIFTH THIRD BANCORP	FITB	B-1-7	2,067	12.720	26,292	0.03
FINMECCANICA SPA SHS	FINMY		6,633	1.780	11,807	0.01
FLUOR CORP NEW DEL COM	FLR	B-1-7	5,845	50.250	293,711	0.34
FOOT LOCKER INC N.Y. COM	FL	B-1-7	2,282	23.840	54,403	0.06
FOREST LABS INC	FRX	B-1-9	9,798	30.260	296,487	0.35
FRANKLIN RES INC	BEN	B-1-7	963	96.060	92,506	0.11
FREEPRT-MCMRAN CPR & GLD	FCX	C-1-7	16,098	36.790	592,245	0.69
FRESENIUS MEDICAL CARE AG & CO SPON ADR	FMS	A-1-7	787	67.980	53,500	0.06
FUJIFILM HLDGS CORP ADR	FUJIY	A-1-7	2,124	23.260	49,404	0.06
F5 NETWORKS INC COM	FFIV	C-2-9	619	106.120	65,688	0.08
GAFISA S A SPON ADR 1 ADR: 2 COMMON SHARES	GFA	C-1-7	1,747	4.600	8,036	0.01
GAP INC DELAWARE	GPS	B-2-7	10,260	18.550	190,323	0.22
GENERAL ELECTRIC	GE	B-2-7	27,452	17.910	491,665	0.57
GENL DYNAMICS CORP COM	GD	B-1-7	1,676	66.410	111,303	0.13
GILEAD SCIENCES INC COM	GILD	B-1-9	1,552	40.930	63,523	0.07
GIVAUDAN SA UNSP ADR	GVDNY		2,674	19.340	51,715	0.06
GLAXOSMITHKLINE PLC ADR	GSK	A-1-7	3,414	45.630	155,781	0.18
GLOBAL PMTS INC GEORGIA	GPN	N/A	1,629	47.380	77,182	0.09
GOLDCORP INC	GG	C-1-7	4,439	44.250	196,426	0.23
GOLDMAN SACHS GROUP INC	GS	C-2-7	1,311	90.430	118,554	0.14
GOOGLE INC CL A	GOOG	C-1-9	833	645.900	538,035	0.63
GREEN MOUNTN COFFEE ROS	GMCR	C-1-9	529	44.850	23,726	0.03

Worksheet 2 4/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

GRUPO TELEVISA SA ADR	TV	C-2-7	2,165	21.060	45,595	0.05
HALLIBURTON COMPANY	HAL	C-1-7	4,128	34.510	142,457	0.17
HARLEY DAVIDSON INC WIS	HOG		3,160	38.870	122,829	0.14
HARRIS CORP DEL	HRS		2,114	36.040	76,189	0.09
HENDERSON LD SPSD ADR	HLDCY	B-1-8	5,667	4.900	27,768	0.03
HERSHEY COMPANY	HSY	B-1-7	3,665	61.780	226,424	0.26
HERTZ GLOBAL HOLDINGS IN	HTZ		4,597	11.720	53,877	0.06
HESS CORP	HES	B-1-7	591	56.800	33,569	0.04
HEWLETT PACKARD CO DEL	HPQ	B-1-7	2,741	25.760	70,608	0.08
HOLCIM LTD SHS	HCMLY		2,546	10.600	26,988	0.03
HOLOGIC INC	HOLX		4,211	17.510	73,735	0.09
HONEYWELL INTL INC DEL	HON	B-1-7	5,245	54.350	285,066	0.33
HSBC HLDG PLC SP ADR	HBC	A-2-7	1,664	38.100	63,398	0.07
HUDSON CITY BANCORP INC	HCBK	B-2-8	15,188	6.250	94,925	0.11
HUMANA INC	HUM	B-1-7	466	87.610	40,826	0.05
IBERDROLA S A ADR	IBDRY		1,443	24.100	34,776	0.04
ILLUMINA INC COM	ILMN	C-2-9	7,673	30.480	233,873	0.27
IMPERIAL TOB GRP SPS ADR	ITYBY		1,218	75.340	91,764	0.11
INFORMA PLC UNSP ADR	IFPJY		2,754	11.160	30,735	0.04
INTEL CORP	INTC	B-1-7	17,173	24.250	416,445	0.49
INTERCONTINENTALEXCHANG E INC	ICE	N/A	623	120.550	75,103	0.09
INTL BUSINESS MACHINES CORP IBM	IBM	B-1-7	1,880	183.880	345,694	0.40
INTL PAPER CO	IP	C-1-7	22,745	29.600	673,252	0.78
INTL POWER PLC SPON ADR	IPRPY	A-1-8	740	52.200	38,628	0.05
INTRPUBLIC GRP OF CO	IPG	N/A	5,289	9.730	51,462	0.06
INTUIT INC COM	INTU	B-1-7	1,109	52.590	58,322	0.07
INTUITIVE SURGICAL INC NEW	ISRG	C-2-9	226	463.010	104,640	0.12
IRON MOUNTAIN INC NEW	IRM		4,917	30.800	151,444	0.18
JOHNSON AND JOHNSON COM	JNJ	A-2-7	19,720	65.580	1,293,238	1.51
JOHNSON CONTROLS INC	JCI	C-1-7	3,782	31.260	118,225	0.14
JPMORGAN CHASE & CO	JPM	B-1-7	22,115	33.250	735,324	0.86
JUNIPER NETWORKS INC	JNPR	C-1-9	10,117	20.410	206,488	0.24
JUPITER TELECOM CO ADR	JUPIY		954	67.680	64,567	0.08
KAO CORP SPD ADR	KCRPY	N/A	760	27.200	20,672	0.02
KDDI CORP SHS	KDDIY		2,968	16.100	47,785	0.06
KEYCORP NEW COM	KEY	B-2-7	4,301	7.690	33,075	0.04
KIMBERLY CLARK	KMB	A-1-7	1,995	73.560	146,752	0.17
KINDER MORGAN INC. DEL	KMI	C-2-7	4,645	32.170	149,430	0.17
KOHL'S CORP WISC PV 1CT	KSS	B-1-7	3,529	49.350	174,156	0.20
KONINKLIJKE AHOLD NV ADR	AHONY	A-3-7	3,932	13.450	52,885	0.06
KRAFT FOODS INC VA CL A	KFT	B-1-7	4,696	37.360	175,443	0.20
KROGER CO	KR	B-3-7	22,684	24.220	549,406	0.64
L OREAL CO ADR	LRLCY	A-2-7	1,297	20.830	27,017	0.03
L-3 COMMNCTNS HLDGS	LLL	B-2-7	4,082	66.680	272,188	0.32
LABORATORY CP AMER HLDGS	LH	B-2-9	1,152	85.970	99,037	0.12
LAM RESEARCH CORP COM	LRCX	C-3-9	2,512	37.020	92,994	0.11
LAS VEGAS SANDS CORP	LVS	C-1-9	2,016	42.730	86,144	0.10
LAUDER ESTEE COS INC A	EL	B-1-7	2,345	112.320	263,390	0.31

Worksheet 2 5/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

LIBERTY MEDIA CORP LIBERTY							
CAP COM SER A	LMCA		1,160	78.050	90,538	0 11	
LIMITED BRANDS INC	LTD	B-2-7	10,135	40.350	408,947	0 48	
LINDE AG SHS SP ADR	LNEGY		3,418	14.910	50,962	0.06	
LOCKHEED MARTIN CORP	LMT	B-2-7	7,283	80.900	589,195	0 69	
LOEWS CORP	L		5,725	37.650	215,546	0.25	
LORILLARD INC	LO	C-2-7	2,166	114.000	246,924	0.29	
LVMH MOET HENNESSY ADR	LVMUY		1,179	28.100	33,130	0 04	
LYONDELLBASELL INDUSTRIE	LYB	C-1-7	9,810	32.490	318,727	0.37	
MACQUARIE GOURP LTD ADR	MQBKY		877	24 300	21,311	0.03	
MACYS INC	M	C-1-7	680	32.180	21,882	0 03	
MARATHON OIL CORP	MRO	B-1-7	20,990	29.270	614,377	0 72	
MATTEL INC COM	MAT		3,327	27.760	92,358	0.11	
MC GRAW HILL COMPANIES	MHP	N/A	1,781	44.970	80,092	0.09	
MCDONALDS CORP COM	MCD	B-1-7	4,054	100.330	406,738	0 47	
MCKESSON CORPORATION							
COM	MCK	B-1-7	3,365	77 910	262,167	0 31	
MEAD JOHNSON NUTRTION CO	MJN	B-2-7	1,398	68.730	96,085	0 11	
MEDTRONIC INC COM	MDT	A-1-7	3,671	38.250	140,416	0 16	
MERCK AND CO INC SHS	MRK	A-1-7	14,133	37.700	532,814	0.62	
METLIFE INC COM	MET	B-1-7	6,319	31.180	197,026	0 23	
MICROSOFT CORP	MSFT	B-1-7	40,333	25.960	1,047,045	1.22	
MITSUBISHI CP SPNSRD ADR	MSBHY	B-1-7	1,023	40 070	40,992	0 05	
MITSUBISHI UFJ FINL GRP INC	MTU	B-1-7	10,832	4.190	45,386	0.05	
MONSANTO CO NEW DEL COM	MON	B-1-7	3,805	70.070	266,616	0.31	
MOTOROLA SOLUTIONS INC	MSI	N/A	9,178	46.290	424,850	0 50	
MTN GROUP LTD-SPONS ADR	MTNOY		2,529	17.700	44,763	0 05	
MUENCHENER RUECK-UNSPON	MURGY		1,350	12.280	16,578	0 02	
MURPHY OIL CORP	MUR		3,095	55.740	172,515	0 20	
MYLAN INC	MYL	C-1-9	2,402	21.460	51,547	0.06	
NABORS INDUSTRIES LTD	NBR		15,127	17.340	262,302	0 31	
NATIONAL GRID PLC SP ADR	NGG	A-1-7	1,389	48.480	67,339	0.08	
NATIONAL-OILWELL VARCO INC	NOV	B-1-7	2,949	67.990	200,503	0 23	
NESTLE S A REP RG SH ADR	NSRGY	A-1-7	5,087	57.710	293,571	0.34	
NETAPP INC	NTAP	C-2-9	1,726	36.270	62,602	0 07	
NETFLIX COM INC	NFLX	C-2-9	829	69 290	57,441	0.07	
NEW GOLD INC CDA	NGD	C-1-9	3,779	10.080	38,092	0 04	
NEWS CORP CL A	NWSA	B-1-7	21,224	17.840	378,636	0.44	
NEXEN INC CANADA COM	NXY	B-2-7	1,468	15.910	23,356	0.03	
NIPPON TELG&TEL SPDN ADR	NTT	A-1-7	1,198	25.330	30,345	0.04	
NIPPON YUSEN KABUSHIKI							
KAISHA SPON ADR	NPNYY		6,208	5 150	31,971	0 04	
NISSAN MTR LTD SPN ADR	NSANY	B-1-7	2,860	17.780	50,851	0.06	
NITTO DENKO CORP ADR	NDEKY		570	35.530	20,252	0 02	
NOBLE ENERGY INC	NBL	N/A	829	94.390	78,249	0.09	
NORDEA BANK AB-SPON ADR							
NORDEA BANK AB	NRBAY		4,548	7.820	35,565	0.04	
NORTHROP GRUMMAN CORP	NOC	B-2-7	6,809	58.480	398,190	0.46	

Worksheet 2 6/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

NOVARTIS ADR	NVS	A-2-7	2,309	57.170	132,006	0.15
NOVO NORDISK A S ADR	NVO	A-1-7	1,584	115.260	182,572	0.21
NRG ENERGY INC	NRG	B-1-9	10,445	18.120	189,263	0.22
NTT DOCOMO INC SPD ADR	DCM	A-1-7	3,290	18.350	60,372	0.07
NVIDIA	NVDA	C-1-9	9,534	13.860	132,141	0.15
NXP SEMICONDUCTORS N.V.	NXPI	C-1-9	1,160	15.370	17,829	0.02
OAO GAZPROM SPON ADR	OGZPY	C-2-7	711	10.681	7,594	0.01
OCCIDENTAL PETE CORP CAL	OXY	B-1-7	8,352	93.700	782,582	0.91
OGX PETROLEO E-SPON ADR	OGXPY		3,162	7.290	23,051	0.03
ORACLE CORP \$0.01 DEL	ORCL	B-1-7	9,638	25.650	247,215	0.29
PEABODY ENERGY CORP COM	BTU	N/A	986	33.110	32,646	0.04
PENN WEST PETE LTD NEW	PWE	N/A	1,099	19.800	21,760	0.03
PEPSICO INC	PEP	A-1-7	5,057	66.350	335,532	0.39
PETROLEO BRAS VTG SPD ADR	PBR	C-1-7	955	24.850	23,732	0.03
PETROFAC LTD-	POFCY		2,270	11.190	25,401	0.03
PFIZER INC	PFE	A-1-7	20,631	21.640	446,455	0.52
PG&E CORP	PCG	B-1-7	1,239	41.220	51,072	0.06
PHILIP MORRIS INTL INC	PM	B-1-7	13,237	78.480	1,038,840	1.21
PITNEY BOWES INC	PBI		4,638	18.540	85,989	0.10
PNC FINCL SERVICES GROUP	PNC	A-1-7	2,678	57.670	154,440	0.18
PORSCHE AUTOMOBIL-UNSP ADR	POAHY		10,047	5.250	52,747	0.06
POSCO SPN ADR	PKX	B-1-7	206	82.100	16,913	0.02
POTASH CORP						
SASKATCHEWAN	POT	N/A	901	41.280	37,193	0.04
PPL CORPORATION	PPL	B-1-7	1,745	29.420	51,338	0.06
PRAXAIR INC	PX	B-3-7	1,784	106.900	190,710	0.22
PRECISION CASTPARTS	PCP	B-1-7	804	164.790	132,491	0.15
PRECISION DRILLING CORP	PDS		1,129	10.260	11,584	0.01
PROCTER & GAMBLE CO	PG	A-2-7	4,216	66.710	281,249	0.33
PROGRESSIVE CRP OHIO	PGR	B-1-7	8,115	19.510	158,324	0.18
PRUDENTIAL FINANCIAL INC	PRU	B-1-7	4,104	50.120	205,692	0.24
PUB SVC ENTERPRISE GRP	PEG	B-2-7	1,684	33.010	55,589	0.07
QUALCOMM INC	QCOM	C-1-7	6,346	54.700	347,126	0.40
R R DONNELLEY SONS	RRD		5,968	14.430	86,118	0.10
RANGE RESOURCES CORP DEL	RRC	C-1-7	774	61.940	47,942	0.06
RAYTHEON CO DELAWARE						
NEW	RTN	A-2-7	5,520	48.380	267,058	0.31
RED HAT INC	RHT	C-2-9	2,909	41.290	120,113	0.14
REED ELSEVIER P L C						
SPONSORED ADR NEW	RUK	B-2-7	1,493	32.260	48,164	0.06
REPSOL YPF S A SPND ADR	REPY	A-1-7	1,086	30.510	33,134	0.04
REYNOLDS AMERICAN INC	RAI	B-2-7	7,856	41.420	325,396	0.38
RIO TINTO PLC SPNSRD ADR	RIO	B-1-7	2,315	48.920	113,250	0.13
ROCHE HLDG LTD SPN ADR	RHHBY	B-1-7	3,760	42.550	159,988	0.19
ROCKWELL AUTOMATION INC	ROK	C-1-7	1,135	73.370	83,275	0.10
ROSS STORES INC COM	ROST	B-2-7	7,306	47.530	347,254	0.41
ROYAL DUTCH SHELL PLC						
SPONS ADR B	RDSB	A-2-7	961	76.010	73,046	0.09

Worksheet 2 7/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

ROYAL DUTCH SHELL PLC						
SPONS ADR A	RDSA	A-2-7	4,353	73 090	318,161	0.37
SAFEWAY INC NEW	SWY	B-3-7	7,820	21.040	164,533	0.19
SAGE GROUP PLC UNSP ADR	SGPYY		1,663	18.180	30,233	0.04
SALESFORCE COM INC	CRM	C-1-9	1,671	101.460	169,540	0.20
SALZGITTER AG SHS	SZGPY		4,466	4.920	21,973	0.03
SANDISK CORP INC	SNDK	C-1-9	2,610	49.210	128,438	0.15
SANOFI ADR	SNY	A-1-7	4,372	36 540	159,753	0.19
SAP AG SHS	SAP	A-2-7	819	52.950	43,366	0 05
SARA LEE CORP COM	SLE	B-1-7	14,411	18 920	272,656	0.32
SCHLUMBERGER LTD	SLB	B-1-7	3,276	68 310	223,784	0.26
SCHNEIDER ELEC SA ADR	SBGSY		4,537	10 510	47,684	0.06
SEALED AIR CORP (NEW)	SEE	B-1-7	5,937	17.210	102,176	0.12
SEMPRA ENERGY	SRE	B-2-7	879	55.000	48,345	0.06
SEVEN AND I HOLDINGS CO						
LTD SHS	SVNDY		808	55.250	44,642	0 05
SGS SA ADR	SGSOY		2,999	16.570	49,693	0.06
SHAW GROUP INC	SHAW	C-1-9	4,271	26.900	114,890	0.13
SHIN-ETSU CHEM-UNSPON	SHECY		2,004	12.280	24,609	0 03
SHINHAN FINL GRP SP ADR	SHG	C-1-7	403	68.210	27,489	0.03
SIGMA ALDRICH CORP	SIAL	A-1-7	849	62 460	53,029	0.06
SILVER WHEATON CORP	SLW	C-1-7	1,088	28.960	31,508	0.04
SIMON PROPERTY GROUP DEL						
REIT	SPG	B-1-7	500	128.940	64,470	0 08
SOCIEDAD Q&M CHLE SPDADR	SQM	C-1-7	649	53.850	34,949	0 04
SODEXO ADR	SDXAY		729	71.950	52,452	0.06
SOUTHERN COMPANY	SO	A-1-7	1,991	46.290	92,163	0.11
SPECTRA ENERGY CORP	SE	B-1-7	1,773	30.750	54,520	0.06
SPRINT NEXTEL CORP	S	C-2-9	45,635	2.340	106,786	0.12
STARBUCKS CORP	SBUX	C-2-7	2,296	46.010	105,639	0 12
STARWOOD HOTELS AND						
RESORTS WORLDWIDE NE	HOT	C-1-8	1,881	47 970	90,232	0 11
STATOIL ASA SHS	STO	B-2-7	935	25.610	23,945	0 03
STEINHOFF INTL-UNSPON ADR	SNHFY		639	14.180	9,061	0 01
STERICYCLE INC COM	SRCL	N/A	345	77.920	26,882	0.03
SUBSEA 7 SA SPONSRD ADR	SUBCY	C-1-9	1,892	18.610	35,210	0.04
SUMITOMO CHEMICAL CO-UNS	SOMMY		2,347	18.060	42,387	0.05
SUN HG KAI PPTY SPSP ADR	SUHJY	B-1-7	1,622	12 500	20,275	0.02
SUNCORP GROUP LTD UNSP						
ADR	SNMYY		4,245	8.680	36,847	0 04
SUNTRUST BKS INC COM	STI	B-2-7	2,595	17.700	45,932	0.05
SWISSCOM AG ADR	SCMWY	A-1-7	1,726	37.920	65,450	0.08
SYMANTEC CORP COM	SYMC	B-2-9	23,565	15 650	368,792	0.43
TARGET CORP COM	TGT	B-2-7	8,601	51.220	440,543	0.51
TELEFONICA SA SPAIN ADR	TEF	A-1-8	1,644	17.190	28,260	0 03
TELSTRA CORP ADR	TLSYY	A-3-8	2,667	17.050	45,472	0.05
TEMPUR PEDIC INTL INC	TPX		318	52.530	16,705	0.02
TESCO PLC SPNRD ADR	TSCDY	A-1-7	2,576	18.840	48,532	0.06
TEXAS INSTRUMENTS	TXN	B-1-7	8,113	29.110	236,169	0.28

Worksheet 2 8/10

CONSOLIDATING HOLDINGS
**LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011**

THERMO FISHER SCIENTIFIC INC	TMO	A-1-9	1,888	44.970	84,903	0.10
TIGER BRANDS LTD ADR	TBLMY		359	30.850	11,075	0.01
TIME WARNER CABLE INC SHS	TWC	C-1-7	2,844	63.570	180,793	0.21
TIME WARNER INC SHS	TWX	B-2-7	5,691	36.140	205,673	0.24
TJX COS INC NEW	TJX	B-1-7	2,188	64.550	141,235	0.17
TORONTO DOMINION BANK	TD	B-1-7	422	74.810	31,570	0.04
TOTAL S A. SP ADR	TOT	A-2-7	8,398	51.110	429,222	0.50
TOYOTA MOTOR CORP ADR	TM	A-2-7	1,780	66.130	117,711	0.14
TRANSATLANTIC HLDGS INC	TRH		1,310	54.730	71,696	0.08
TRANSOCEAN LTD	RIG	C-2-8	681	38.390	26,144	0.03
TRAVELERS COS INC	TRV	B-1-7	6,916	59.170	409,220	0.48
TULLOW OIL PLC SHS	TUWOY		2,948	10.746	31,679	0.04
TURKIYE GRTI BK SPN ADR	TKGBY		4,658	3.110	14,486	0.02
TYCO INTL LTD NAMEN-AKT	TYC	C-1-7	1,402	46.710	65,487	0.08
TYSON FOODS INC CL A	TSN	C-1-7	4,050	20.640	83,592	0.10
UNILEVER NV NY REG SHS	UN	B-2-7	4,023	34.370	138,271	0.16
UNION PACIFIC CORP	UNP	B-1-7	1,784	105.940	188,997	0.22
UNITED TECHS CORP COM	UTX	B-1-7	3,569	73.090	260,858	0.30
UNITEDHEALTH GROUP INC	UNH	C-1-7	16,282	50.680	825,172	0.96
UNIVERSAL HEALTH SVCS B	UHS	C-1-7	1,043	38.860	40,531	0.05
UNUM GROUP	UNM	C-1-7	16,077	21.070	338,742	0.40
US BANCORP (NEW)	USB	A-1-7	1,366	27.050	36,950	0.04
V F CORPORATION	VFC	B-1-7	587	126.990	74,543	0.09
VALE SA	VALE	C-1-7	410	21.450	8,795	0.01
VALERO ENERGY CORP NEW	VLO	B-1-8	15,042	21.050	316,634	0.37
VALLOUREC SA SPONSORED ADR NEW	VLOWY		3,666	12.930	47,401	0.06
VERIFONE SYSTEMS INC	PAY		1,120	35.520	39,782	0.05
VERIZON COMMUNICATNS COM	VZ	A-2-7	8,445	40.120	338,813	0.40
VIACOM INC NEW CL B	VIAB	B-1-7	1,587	45.410	72,066	0.08
VODAFONE GROU PLC SP ADR	VOD	B-1-7	9,460	28.030	265,164	0.31
W R BERKLEY CORP	WRB	B-2-7	2,195	34.390	75,486	0.09
WAL-MART STORES INC	WMT	A-1-7	4,981	59.760	297,665	0.35
WALGREEN CO	WAG	B-2-7	3,336	33.060	110,288	0.13
WATERS CORP	WAT	B-1-9	939	74.050	69,533	0.08
WELLPOINT INC	WLP	B-1-7	6,616	66.250	438,310	0.51
WELLS FARGO & CO NEW DEL	WFC	B-1-7	29,446	27.560	811,532	0.95
WESTERN UN CO	WU	N/A	24,003	18.260	438,295	0.51
WEYERHAEUSER CO	WY	C-1-7	9,193	18.670	171,633	0.20
WHIRLPOOL CORP	WHR		1,433	47.450	67,996	0.08
WHITING PETROLEUM CORP	WLL	C-1-9	812	46.690	37,912	0.04
WILLIS GROUP HOLDINGS						
PUBLIC LIMITED COMPANY	WSH	C-2-7	1,623	38.800	62,972	0.07
WINDSTREAM CORP	WIN	C-1-7	6,163	11.740	72,354	0.08
WSTN DIGITAL CORP DEL	WDC	C-1-9	9,042	30.950	279,850	0.33
XEROX CORP	XRX		11,728	7.960	93,355	0.11
XILINX INC	XLNX	C-2-7	2,443	32.060	78,323	0.09

Worksheet 2 9/10

CONSOLIDATING HOLDINGS

LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011

XYLEM INC SHS ISSUED	XYL		1,879	25.950	48,760	0.06
YARA INTL ASA SP ADR	YARIY		1,348	39.500	53,246	0.06
YUM BRANDS INC	YUM	B-1-7	1,233	59.010	72,759	0.09
ZURICH FINL SVCS SPN ADR	ZFSVY		2,664	22.450	59,807	0.07
Total Stocks & Related					63,143,279	73.56

		Market Value
TOTAL	EQUITIES	<u>63,143,279</u>

LUCY GOODING CHARITABLE FOUNDATION
DECEMBER 30, 2011
FIXED INCOME AND ACCRUED INTEREST

HOLDINGS - FIXED INCOME

Corporate Bonds

Description	Symbol	Rating	Quantity	Price	Value	% of Portfolio	Accrued Interest
AT&T INC GLB 05 500% FEB 01 2018	T18 A1ET7	A-	229,000	115 753	270,287	0.32	5,212 93
AT&T INC GLB 05 100% SEP 15 2014	T14A E4C69	A-	200,000	110 144	223,263	0.26	2,975.00
BANK OF NEW YORK MELLON - 04 300% MAY 15 2014	BACZ14 A2CN7	A+	200,000	107 295	215,665	0.25	1,075 00
BERKSHIRE HATHAWAY FIN COMPANY GUARNT GLB 04 750% MAY 15 2012	084670AS7 A2BR3	AA+	300,000	101 390	305,951	0.36	1,781 25
CISCO SYSTEMS INC GLB 04 950% FEB 15 2019	CSCO19 B3K83	A+	235,000	115 923	276,781	0.32	4,362 19
CITIGROUP FUNDING INC FDIC TLGP GUARANTEED 02.250% DEC 10 2012	C 12B B3MF6	AA+	617,000	101 857	629,229	0.73	771 25
CONOCOPHILLIPS COMPANY GUARNT 04 600% JAN 15 2015	COP 15 B3LM5	A	200,000	110 599	225,415	0.26	4,216 67
CREDIT SUISSE FB USA INC BANK GUARANTEED GLB 04 875% JAN 15 2015	CS15 B2605	A+	725,000	104 482	773,694	0.90	16,199 22
GENERAL ELEC CAP CORP SER MTNA GLB 05 875% FEB 15 2012	GE12C BAE66	AA+	300,000	100 606	308,427	0.36	6,609 38
GENERAL ELEC CAP CORP GLB 02 800% JAN 08 2013	GENY13 B75E2	AA+	239,000	101 900	246,738	0.29	3,197 29
GENERAL ELECTRIC COMPANY GLB 05 000% FEB 01 2013	GE13 BAW13	AA+	300,000	104 210	318,838	0.37	6,208 33
GOLDMAN SACHS GROUP INC GLB 05 350% JAN 15 2016	GS16 B74M8	A-	253,000	102 515	265,567	0.31	6,203.77
HEWLETT-PACKARD CO GLB 06 125% MAR 01 2014	HPQ14 BA3U8	BBB+	250,000	107 825	274,624	0.32	5,061 63
IBM CORP GLB 04 750% NOV 29 2012	IBM12 BA8H9	A+	920,000	103 406	955,098	1.11	3,763 05
JPMORGAN CHASE & CO SUBORDINATED GLB 05 125% SEP 15 2014	JPM14A C02E5	A-	908,000	105.430	970,877	1.13	13,572 70
METLIFE INC GLB 05.000% JUN 15 2015	MET15 C59Y4	A-	200,000	108.939	218,295	0.25	416.67
PEPSICO INC GLB 04 650% FEB 15 2013	PEP13 D88J7	A-	300,000	104.625	319,106	0.37	5,231 25
PEPSICO INC SENIOR NOTES 05.000% JUN 01 2018	PEP18 D88P6	A-	232,000	116 175	270,460	0.32	934.44
SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 04 000% MAR 21 2014	RDS A14 E4GK6	N/A	200,000	107 484	217,168	0.25	2,200 00
UNITED PARCEL SERVICE GLB 04.500% JAN 15 2013	UPS13 E7B38	AA-	300,000	104.118	318,542	0.37	6,187 50
VERIZON COMMUNICATIONS GLB 05.250% APR 15 2013	VZ13A EHA92	A-	300,000	105 523	319,850	0.37	3,281 25
VERIZON COMMUNICATIONS GLB 03 000% APR 01 2016	VZ16 E73E1	A-	250,000	104.719	263,652	0.31	1,854 17
Total Corporate Bonds					8,187,528	9.54	101,314 92

- 101,315

8,086,213

273,842

8,360,055

from worksheet 1

Worksheet 3