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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

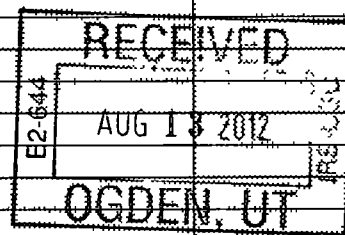
For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>ROGER B. SMITH FAMILY CHARITABLE TRUST</b>		A Employer identification number <b>58-6331843</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>3630 JAPPELOUP LANE</b>	Room/suite	B Telephone number <b>561-383-8407</b>
City or town, state, and ZIP code <b>WELLINGTON, FL 33414</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 1,004,016.</b> (Part I, column (d) must be on cash basis.)	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		46,429.	46,219.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		4,190.			
b Gross sales price for all assets on line 6a <b>6,067.</b>					
7 Capital gain net income (from Part IV, line 2)			4,190.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		50,619.	50,409.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees <b>STMT 2</b>		4,500.	2,250.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		4,500.	2,250.		0.
25 Contributions, gifts, grants paid		25,750.			25,750.
26 Total expenses and disbursements. Add lines 24 and 25		30,250.	2,250.		25,750.
27 Subtract line 26 from line 12		20,369.			
a Excess of revenue over expenses and disbursements			48,159.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED AUG 15 2012



**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	30,166.	39,360.	39,360.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 3	902,991.	915,932.	614,044.
	c Investments - corporate bonds STMT 4	442,365.	440,599.	350,612.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,375,522.	1,395,891.	1,004,016.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,375,522.	1,395,891.	
30 Total net assets or fund balances	1,375,522.	1,395,891.		
31 Total liabilities and net assets/fund balances	1,375,522.	1,395,891.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,375,522.
2 Enter amount from Part I, line 27a	2	20,369.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,395,891.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,395,891.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	<b>P</b>		
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 6,067.		1,877.	4,190.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			4,190.
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	4,190.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	72,761.	770,315.	.094456
2009	78,162.	834,024.	.093717
2008	59,190.	1,122,317.	.052739
2007	65,800.	1,483,055.	.044368
2006	96,981.	1,237,792.	.078350

<b>2</b> Total of line 1, column (d)	<b>2</b>	.363630
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.072726
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	869,677.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	63,248.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	482.
<b>7</b> Add lines 5 and 6	<b>7</b>	63,730.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	25,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	963.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	963.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	963.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,248.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,248.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	285.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> 285. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA, FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ ROGER B. SMITH Telephone no ▶ 561-383-8407 Located at ▶ 3630 JAPPELOUP LANE, WELLINGTON, FL ZIP+4 ▶ 33414			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROGER B. SMITH 3630 JAPPELOUP LANE WELLINGTON, FL 33414	TRUSTEE  1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	857,247.
b	Average of monthly cash balances	1b	25,674.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	882,921.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	882,921.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,244.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	869,677.
6	Minimum investment return. Enter 5% of line 5	6	43,484.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	43,484.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	963.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	963.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	42,521.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	42,521.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	42,521.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	25,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	25,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	25,750.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				42,521.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011.				
a From 2006	37,883.			
b From 2007				
c From 2008	5,094.			
d From 2009	37,387.			
e From 2010	34,823.			
f Total of lines 3a through e	115,187.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$	25,750.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				25,750.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	16,771.			16,771.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	98,416.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	21,112.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	77,304.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008	5,094.			
c Excess from 2009	37,387.			
d Excess from 2010	34,823.			
e Excess from 2011				

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(I)(3) or ☐ 4942(I)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities**

**Subtract line 2d from line 2c**

- 3** Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter  
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ROGER B. SMITH

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed.

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**9** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
BUDDIES THRU BULLIES, INC. P.O. BOX 15938 PLANTATION, FL 33318	NONE	PUBLIC CHARITY	UNRESTRICTED	250.
TRYON RIDING & HUNT CLUB, INC. PO BOX 1095 TRYON, NC 28782	NONE	PUBLIC CHARITY	UNRESTRICTED	15,000.
TRYON SEVENTH-DAY ADVENTIST CHURCH 2820 LYNN ROAD TRYON, NC 28782	NONE	CHURCH	UNRESTRICTED	500.
YOUNG LIFE P.O. BOX 2920 COLORADO SPRINGS, CO 80901-2920	NONE	PUBLIC CHARITY	UNRESTRICTED	10,000.
<b>Total</b>				<b>25,750.</b>
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>				<b>0.</b>



## Part XVII

### Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |  | Yes | No |
|---|--|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of   |  |     |    |
| (1) Cash  |  |     | X  |
| (2) Other assets  |  |     | X  |
| b Other transactions  |  |     |    |
| (1) Sales of assets to a noncharitable exempt organization  |  |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization  |  |     | X  |
| (3) Rental of facilities, equipment, or other assets  |  |     | X  |
| (4) Reimbursement arrangements  |  |     | X  |
| (5) Loans or loan guarantees  |  |     | X  |
| (6) Performance of services or membership or fundraising solicitations  |  |     | X  |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees  |  |     | X  |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |  |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

► TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name
----------------------------

Preparer's signature

Date	
------	--

Check ☐ self-employed

PTIN	
------	--

SCOTT F BERGER

SCOTT F BERGER

07/30/12

P00082698

Firm's name ▶ KAUFMAN, ROSSIN & CO., P.A.

Firm's EIN ▶ 59-1818353

Firm's address ► 225 N.E. MIZNER BLVD, SUITE 250  
BOCA RATON, FL 33432

Phone no 561-394-5100

Form 990-PF (2011)

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BEAR, STEARNS SECURITIES CORP. - DIVIDENDS	3,591.	0.	3,591.
BEAR, STEARNS SECURITIES CORP. - INTEREST	29,220.	0.	29,220.
BEAR, STEARNS SECURITIES CORP. - NONDIVIDEND DIST	210.	0.	210.
BEAR, STEARNS SECURITIES CORP. - OID	13,171.	0.	13,171.
SIX FLAGS ENTERTAINMENT CORPORATION	237.	0.	237.
TOTAL TO FM 990-PF, PART I, LN 4	46,429.	0.	46,429.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	4,500.	2,250.		0.	
TO FORM 990-PF, PG 1, LN 16B	4,500.	2,250.		0.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	3
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITIES	915,932.	614,044.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	915,932.	614,044.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	4
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE BONDS	440,599.	350,612.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	440,599.	350,612.	



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OFFICE SERVICING YOUR ACCOUNT  
J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9993  
(404) 642-4000  
US WATS (800) 444-2327

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Three Chase Manhattan Center  
Brooklyn, New York 11245-0001

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### PRIVILEGED ACCESS PLATINUM ACCOUNT

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STATEMENT PERIOD December 1, 2011  
THROUGH December 30, 2011

### Your Portfolio Holdings

The total cost basis for each security position and the unrealized gain/loss are provided solely for your convenience and may not be used for tax purposes or otherwise relied upon. If you have questions related to tax consequences, please consult your tax advisor. Unrealized gain/loss total reflects only those positions for which a cost basis is available or has been provided to J.P. Morgan Clearing Corp. (JPMCC). Unless the tax basis provided relates to a position purchased on the books of JPMCC, JPMCC has not and cannot validate the basis provided. Information on this statement related to cost and gain/loss calculations does not include adjustments for wash sales that may have occurred on the last business day of this statement period. These wash sale adjustments, if any, will be reflected on your next statement.

ACCOUNT NUMBER 848-01293 103  
TAXPAYER NUMBER On File  
LAST STATEMENT November 30, 2011

### CASH & MONEY MARKET FUNDS

DESCRIPTION	TYPE	QUANTITY	PRICE	MARKET VALUE	COST	UNREALIZED GAIN/LOSS
JPMORGAN TR I PRIME MONEY MKT FD RESV CL SECURITY SYMBOL IS JRVXX SECURITY NUMR A000082	CASH	39,360.47	1.00	39,360	39,360	
TOTAL CASH & MONEY MARKET FUNDS				\$39,360	\$39,360	\$0

### EQUITIES

#### Equities & Options

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
CALPINE CORPORATION SYMBOL: CPN	CASH	02/06/08	13,918	16.33	227,281		PH 114,899			LT
WTS CHARTER COMMUNICATIONS INC EXP 11/30/2014 SYMBOL: CHW	CASH	11/30/09	222	14.21	3,155		PH 64,246			LT
WTS CHARTER COMMUNICATIONS INC WT CH EXP 11/30/2014 SYMBOL: COMW	CASH	11/30/09	256	17.40	4,454		PH 34,276			LT

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J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9983  
(404) 842-4000  
US WATS (800) 444-2327

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### PRIVILEGED ACCESS PLATINUM ACCOUNT

STATEMENT PERIOD December 1, 2011  
THROUGH December 30, 2011

### Your Portfolio Holdings (continued)

ACCOUNT NUMBER 848-01293 103  
TAXPAYER NUMBER On File  
LAST STATEMENT November 30, 2011

#### Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
DELTA AIR LINES INC DEL COM NEW SYMBOL: DAL	CASH	02/13/02	5,579	8.09	45,134	26.53	Please provide this information	-85,323 LT		
		02/13/02	2,461		19,909	34.63	85,232	-2,171 LT		
		02/13/02	165		1,335	21.25	3,506	-19,085 LT		
		02/10/04	1,148		9,287	24.71	28,373	-1,013 LT		
		02/10/04	77		623	21.25	1,636	-18,329 LT		
		04/20/07	1,147		9,279	24.07	27,609	-1,013 LT		
		04/20/07	77		623	21.25	1,636	-1,013 LT		
		12/28/10	261		2,111	0.00	2,721	0.00		
		12/29/10	243		1,986	0.00	2,448	0.00		
FORD MOTOR CO PAR \$0.01 SYMBOL: F	CASH	07/16/03	2,439	10.76	26,244	11.11	27,097	-853 LT	488	1.86
FRONTIER COMMUNICATIONS CORP SYMBOL: FTR	CASH	06/18/02	360	5.15	1,854	5.25	Please provide this information	266 LT	270	14.56
		05/12/03	52		268	0.03	2	0.00		
		01/31/05	172		886	7.05	Please provide this information	-326 LT		
		08/28/06	84		433	8.04	1,212	-243 LT		
OWENS CORNING SYMBOL: OC	CASH	11/14/06	2,000	28.72	57,440	0.44	Please provide this information	0.00		
		02/13/07	1,972		56,636	0.00	53,577	888		
			28		804	31.72	888	-84 LT		
SIX FLAGS ENTERTAINMENT CORPORATION NEW SYMBOL: SIX	CASH	03/07/07	4,566	41.24	188,302	21.48	98,078	90,224	1,096	0.58
		03/07/07	610		25,156	21.48	13,103	12,054 LT		
		03/07/07	1,978		81,573	21.48		39,085 LT		
		03/07/07	1,978		81,573	21.48		39,085 LT		
TRUMP ENTERTAINMENT RESORTS INC FRAC CUSIP: JKJ181203	CASH	07/21/10	37,463	Unpriced	4,634		Please provide this information			
							224,146			

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OFFICE SERVICING YOUR ACCOUNT  
J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9983  
(404) 842-4000  
US WATS (800) 444-2327

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### PRIVILEGED ACCESS PLATINUM ACCOUNT

STATEMENT PERIOD December 1, 2011  
THROUGH December 30, 2011

### Your Portfolio Holdings (continued)

ACCOUNT NUMBER 848-01283 103  
TAXPAYER NUMBER On File  
LAST STATEMENT November 30, 2011

#### Equities & Options (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
TRUMP ENTMT RESORTS INC NEW CUSIP: 89816T202	CASH	07/19/10	99	Unpriced			Please see 1,898 formation	LT		
VERIZON COMMUNICATIONS SYMBOL: VZ	CASH	06/18/02	1,500	40 12	60,180	20.76	31,142	29,038	3,000	4.99
		05/12/03	220		8,826	0.73	160	8,666	LT	
		01/31/05	708		8,826	0.47	102	8,724	LT	
		08/28/06	352		28,405	28.10	19,898	8,507	LT	
OXFORD AUTOMOTIVE INC -RSTD- SECURITY NUM: 8304517	CASH	11/14/02	164	Unpriced		800.80	131,331	N/A	LT	
Total Equities & Options					\$614,044		\$287,648	\$118,409		\$4,854
TOTAL EQUITIES					\$614,044		\$287,648	\$118,409		\$4,854

618 678 = total fmv

915,932 = cost  
total

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J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9983  
(404) 842-4000  
US WATS (800) 444-2327

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### PRIVILEGED ACCESS PLATINUM ACCOUNT

STATEMENT PERIOD  
THROUGH  
December 1, 2011  
December 30, 2011

### Your Portfolio Holdings (continued)

#### FIXED INCOME

##### Corporate Bonds

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)	ACCRUED INTEREST
FINOVA GROUP INC 7.5% SR SEC NOTE MATURING 2009 WITH CONTINGENT INT DUE 2016 DATED DATE 08/22/01 BOOK ENTRY ONLY IN DEFAULT ORIGINAL ISSUE DISCOUNT DUE 11/15/2009 AMORTIZED AMOUNT = 43.323 FACTOR = .43323116 CUSIP: 317928AA7 RATING: MOODY N/A	CASH	02/13/02	100,000	Unpriced		2.76	2,763	N/A	LT		

SIX FLAGS INC SR NT - ESCROW CUSIP DATED DATE 06/01/04 BOOK ENTRY ONLY DUE 06/01/2014 9.825% JD 01 CUSIP: 830ESC8H4	CASH	05/07/10	100,000	Unpriced	32,125		98,087		LT	9.625	775
CLAIRES STORES INC SR PIK 9.62 5%15 DATED DATE 02/28/08 BOOK ENTRY ONLY PAR CALL 06/01/2013 DUE 06/01/2015 9.625% JD 01 CUSIP: 179584AF4 RATING: MOODY CAA3 S&P CCC	CASH	02/04/08	135,450	79.00	107,006	70.01	Please provide this information	94.83	13,037	12.18	1,050
		11/18/08	100,000		79,000		Please provide this information	94,826			
		06/22/09	5,188		4,099		Please provide this information	0.00	LT		
		11/30/09	5,740		4,310		Please provide this information	0.00	LT		
		06/01/10	6,037		4,769		Please provide this information	0.00	LT		
		12/01/10	6,350		5,017			0.00	LT		
		06/01/11	6,679		5,276			0.00	ST		

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J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9983  
(404) 842-4000  
US WATS (800) 444-2327

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### PRIVILEGED ACCESS PLATINUM ACCOUNT

STATEMENT PERIOD December 1, 2011  
THROUGH December 30, 2011

### Your Portfolio Holdings (continued)

#### Corporate Bonds (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)	ACCUMULATED INTEREST
CLAIRES STORES INC SR SUB NT DATED DATE 02/26/08 BOOK ENTRY ONLY PAR CALL 06/01/2015 DUE 08/01/2017 10.500% JD 01 CALL 06/01/12 105.250 CUSIP: 178584A46 RATING: MOODY CA S&P CCC	CASH	02/04/08	100,000	68.50	68,500	93.00	92,997	-24,497 LT	10,500	15.33	846
MGC COMMUNICATIONS INC -ESCROW- DATED DATE 03/24/00 BOOK ENTRY ONLY IN DEFAULT DUE 04/01/2020 13.000% CUSIP: 5527839H9 RATING: MOODY WR S&P D	CASH	03/07/01	100,000	Unpriced	54.03	54.03	54,030	N/A LT			
RUSSIAN FEDERATION REG S STEP CPN 7.50% THEREAFTER DATED DATE 08/25/00 DUE 03/31/2030 7.500% MS 31 AMORTIZED AMOUNT = 83,500 FACTOR = .83500000 CUSIP: X74344DM5 RATING: MOODY N/A S&P BBB	CASH	03/05/01	100,000	115.75	96,647	37.27	37,270	59,377 LT	6,263	6.48	1,566

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## J.P.Morgan Securities

OFFICE SERVICING YOUR ACCOUNT  
J.P. Morgan Securities LLC  
3424 Peachtree Road, N.E.  
Suite 1700  
Atlanta, Georgia 30326-9883  
(404) 842-4000  
US WATS (800) 444-2327

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9 of 10

### PRIVILEGED ACCESS PLATINUM ACCOUNT

### Your Portfolio Holdings (continued)

#### Corporate Bonds (Continued)

DESCRIPTION	ACCT TYPE	ACQUISITION DATE	QUANTITY	PRICE	MARKET VALUE	UNIT COST	COST	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)	ACCRUED INTEREST
BAND INTERNATIONAL LTD SR 3 DATED DATE 09/11/05 DUE 11/15/2035 3.250% FA 15 CUSIP: G1190WAC4	CASH	09/01/99	139,000	30.00	41,700	43.62	60,626	-18,926LT	4,518	10.83	1,694
Total Corporate Bonds					\$313,853		\$247,686	\$15,954	\$43,943		\$5,931
TOTAL FIXED INCOME					\$313,853		\$247,686	\$15,954	\$43,943		\$5,931

345 978 = total  
fmv

440,599 =  
cost total

\$5,931  
\$48,797  
\$967,257

YOUR PORTFOLIO HOLDINGS ACCRUED INTEREST  
YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME  
YOUR PRICED PORTFOLIO HOLDINGS

### Transaction Detail

#### DIVIDENDS / INTEREST / OTHER INCOME

#### DIVIDENDS

DATE	DESCRIPTION	SYMBOL/ACCRSP	QUANTITY	RATE/31	DEBIT AMOUNT	CREDIT AMOUNT
12/19/11	SIX FLAGS ENTERTAINMENT CORPORATION NEW REC 12/05/11 PAY 12/19/11	SIX	4,566	0.0600		273.96
12/30/11	FRONTIER COMMUNICATIONS CORP REC 12/08/11 PAY 12/30/11	FTR	360	0.1875		67.50
TOTAL DIVIDENDS (CREDIT)						\$341.46

Sub totals = 964,656 00  
cash 39,360 47  
1,004,016 47