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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE SMIGEL FOUNDATION, INC.		A Employer identification number 58-2370216
Number and street (or P.O. box number if mail is not delivered to street address) POST OFFICE BOX 2466		B Telephone number (804) 695-0897
City or town, state, and ZIP code GLOUCESTER, VA 23061		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 217,626.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		28.	28.		STATEMENT 1
4 Dividends and interest from securities		5,758.	5,758.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-5,222.			
b Gross sales price for all assets on line 6a 395,997.					
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,211.	1,211.		STATEMENT 3
12 Total. Add lines 1 through 11		1,775.	6,997.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes STMT 4		793.	793.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		3,096.	3,096.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		3,889.	3,889.		0.
25 Contributions, gifts, grants paid		13,250.			13,250.
26 Total expenses and disbursements. Add lines 24 and 25		17,139.	3,889.		13,250.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		-15,364.			
b Net investment income (if negative, enter -0-)			3,108.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,242.	25,386.	25,386.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	227,076.	190,968.	191,640.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ PREPAID INCOME TAX)	0.	600.	600.	
16 Total assets (to be completed by all filers)	232,318.	216,954.	217,626.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	232,318.	216,954.		
30 Total net assets or fund balances	232,318.	216,954.		
31 Total liabilities and net assets/fund balances	232,318.	216,954.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	232,318.
2 Enter amount from Part I, line 27a	2	-15,364.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	216,954.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	216,954.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 395,997.		401,219.	-5,222.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			-5,222.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 -5,222.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	8,500.	239,996.	.035417
2009	13,135.	238,308.	.055118
2008	12,058.	249,220.	.048383
2007	14,380.	288,583.	.049830
2006	12,817.	260,210.	.049256
2 Total of line 1, column (d)			2 .238004
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .047601
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 228,090.
5 Multiply line 4 by line 3			5 10,857.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 31.
7 Add lines 5 and 6			7 10,888.
8 Enter qualifying distributions from Part XII, line 4			8 13,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	31.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	31.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	31.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 600.	7	600.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	569.
7 Total credits and payments. Add lines 6a through 6d		11	569.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 0. Refunded <input checked="" type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A		X	
14	The books are in care of ► JEFFREY A. SMIGEL Located at ► POST OFFICE BOX 2466, GLOUCESTER, VA	Telephone no. ► (804) 695-0897 ZIP+4 ► 23061		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFREY A. SMIGEL POST OFFICE BOX 2466 GLOUCESTER, VA 23061	PRESIDENT, TREASURER & TTEE	0.00	0.	0.
BARBARA E. SMIGEL POST OFFICE BOX 2466 GLOUCESTER, VA 23061	SECRETARY & TRUSTEE	0.00	0.	0.
JASON E. SMIGEL 2643 WEST STREET FALLS CHURCH, VA 22046	TRUSTEE	0.00	0.	0.
AUSTIN E. SMIGEL 127 HAMPTON DR WASHINGTON, NC 27889	TRUSTEE	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	216,246.
b	Average of monthly cash balances	1b	15,317.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	231,563.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	231,563.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,473.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	228,090.
6	Minimum investment return. Enter 5% of line 5	6	11,405.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,405.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	31.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	31.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,374.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,374.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,374.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	31.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,219.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				11,374.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			196.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 13,250.				
a Applied to 2010, but not more than line 2a			196.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				11,374.
e Remaining amount distributed out of corpus	1,680.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,680.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012 Subtract lines 7 and 8 from line 6a	1,680.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011	1,680.			

N/A

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

• Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARTS AT ABINGDON, WHITE MARSH, VA	NONE	EXEMPT	OPERATIONS	1,000.
BNAI BRITH JACOB, SAVANNAH, GA	NONE	EXEMPT	OPERATIONS	240.
NC ALLIANCE FOR PUBLIC SCHOOLS	NONE	EXEMPT	OPERATIONS	100.
COOK FOUNDATION	NONE	EXEMPT	OPERATIONS	2,850.
FAN FREE CLINIC	NONE	EXEMPT	OPERATIONS	100.
Total	SEE CONTINUATION SHEET(S)			▶ 3a 13,250.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	28.	
4 Dividends and interest from securities			14	5,758.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,211.	
8 Gain or (loss) from sales of assets other than inventory			14	-5,222.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,775.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	1,775.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | Yes | No |
|--|-----|----|
|--|-----|----|

[illegible]

- ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

May the IRS discuss this return with the preparer shown below (see instr)?

Title

PTIN

P00337143

Firm's EIN ► 58-1941470

Phone no. (912) 235-3410

123622
12-02-11

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CULMORE CLINIC	NONE	EXEMPT	OPERATIONS	500.
GLOUCESTER FIRE AND RESCUE	NONE	EXEMPT	OPERATIONS	100.
GM HUMANE SOCIETY, GLOUCESTER, VA	NONE	EXEMPT	OPERATIONS	1,650.
FOOD FOR THE HEART	NONE	EXEMPT	OPERATIONS OPERATIONS	100.
CROSS CREEK CHARTER SCHOOL	NONE	EXEMPT	OPERATIONS	200.
GLOUCESTER ARTS ON MAIN	NONE	EXEMPT	OPERATIONS	260.
COLLEGE OF WILLIAM AND MARY MUSCARELLE MUSEUM	NONE	EXEMPT	OPERATIONS	250.
GLOUCESTER MAIN ST	NONE	EXEMPT	OPERATIONS	50.
WARE ACADEMY	NONE	EXEMPT	OPERATIONS	4,750.
CONGRESSIONAL SCHOOLS OF VIRGINIA	NONE	EXEMPT	OPERATIONS	750.
Total from continuation sheets				8,960.

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MFS VALUE FD CL I - 0.3880 SHS	P	06/18/10	12/12/11
b PUTNAM INCOME FD CLY - 0.7290 SHS	P	06/30/10	01/21/11
c JANUS INTL EOTY FUND I - 0.4490 SHS	P	06/18/10	01/21/11
d PIMCO EM LOCAL BD FD P - 0.6210 SHS	P	09/28/10	01/21/11
e PIMCO UNCONSTRA BD CL P - 0.2310 SHS	P	06/30/10	01/21/11
f AMER GRW FD OF AMER F2 - 0.0460 SHS	P	06/18/10	01/21/11
g REVENUESHARES LARGE CAP - 18.0000 SHS	P	05/13/10	02/14/11
h FIRST TR ISE GLOBAL - 2.0000 SHS	P	12/28/10	02/14/11
i THORNBURG INTL VAL FD I - 173.0000 SHS	P	12/28/10	02/14/11
j JANUS OVERSEAS FD CL 1 - 52.0000 SHS	P	05/13/10	02/14/11
k PRDNTL JEN MID CAP GRW Z - 7.0000 SHS	P	05/19/09	02/14/11
l PRDNTL JEN NAT RES FD Z - 44.0000 SHS	P	09/28/10	02/14/11
m PRINCIPAL GLB DIV INC A - 2.0000 SHS	P	12/28/10	02/14/11
n CHN STRS REALTY INC I - 28.0000 SHS	P	04/14/10	02/14/11
o OPPENHMR DEV MRKTS CL Y - 0.3840 SHS	P	12/28/10	02/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9.		8.	1.
b 5.		5.	0.
c 5.		4.	1.
d 6.		7.	-1.
e 3.		3.	0.
f 1.		1.	0.
g 452.		400.	52.
h 90.		86.	4.
i 5,104.		4,920.	184.
j 2,739.		2,269.	470.
k 211.		131.	80.
l 2,646.		2,147.	499.
m 27.		27.	0.
n 343.		305.	38.
o 13.		14.	-1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			0.
c			1.
d			-1.
e			0.
f			0.
g			52.
h			4.
i			184.
j			470.
k			80.
l			499.
m			0.
n			38.
o			-1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OPPENHMR DEV MRKTS CLY - 207.0000 SHS	P	12/28/10	02/14/11
b	JPMORGAN US LCC PLUS SEL - 12.0000 SHS	P	02/03/10	02/14/11
c	ING VALUE CHOICE FD CL I - 150.0000 SHS	P	08/21/09	02/14/11
d	ING VALUE CHOICE FD CL I - 1.0000 SHS	P	08/26/09	02/14/11
e	ING VALUE CHOICE FD CL I - 1.0000 SHS	P	09/28/10	02/14/11
f	ING VALUE CHOICE FD CL I - 0.4070 SHS	P	09/28/10	02/14/11
g	ING VALUE CHOICE FD CL I - 134.0000 SHS	P	09/28/10	02/14/11
h	CR.SUISSE COM RTN STRT A - 0.2920 SHS	P	12/28/10	02/14/11
i	CR SUISSE COM RTN STRT A - 796.0000 SHS	P	12/28/10	02/14/11
j	GOLDM SCHS STL STRT PTI - 294.0000 SHS	P	09/28/10	02/14/11
k	GOLDM SCHS STL STRT PT I - 0.7820 SHS	P	12/28/10	02/14/11
l	GOLDM SCHS STL STRT PT I - 308.0000 SHS	P	12/28/10	02/14/11
m	PIMCO TOTAL RETURN FUND - 1.0000 SHS	P	12/31/10	02/14/11
n	PIMCO TOTAL RETURN FUND - 1.0000 SHS	P	12/31/10	02/14/11
o	PIMCO TOTAL RETURN FUND - 0.0400 SHS	P	01/31/11	02/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,030.		7,288.	-258.
b 261.		214.	47.
c 2,706.		1,919.	787.
d 18.		13.	5.
e 18.		15.	3.
f 7.		7.	0.
g 2,417.		2,167.	250.
h 3.		3.	0.
i 7,482.		7,299.	183.
j 2,361.		2,296.	65.
k 6.		6.	0.
l 2,473.		2,489.	-16.
m 11.		11.	0.
n 11.		11.	0.
o			0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-258.
b			47.
c			787.
d			5.
e			3.
f			0.
g			250.
h			0.
i			183.
j			65.
k			0.
l			-16.
m			0.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VIRTUS EMERGING MARKETS - 0.3430 SHS	P	09/28/10	02/14/11
b	VIRTUS EMERGING MARKETS - 547.0000 SHS	P	09/28/10	02/14/11
c	LSYLS INV GR BD FD CLY - 1.0000 SHS	P	12/28/10	02/14/11
d	LSYLS INVGR BD FD CLY - 11.0000 SHS	P	12/28/10	02/14/11
e	LSYLS INVGR BD FD CLY - 1.0000 SHS	P	12/28/10	02/14/11
f	LSYLS INVGR BD FD CLY - 12.0000 SHS	P	12/28/10	02/14/11
g	LSYLS INV GR BD FD CLY - 5.0000 SHS	P	12/28/10	02/14/11
h	LSYLS INV GR BD FD CLY - 0.6250 SHS	P	02/04/11	02/14/11
i	TMPLTN GLBL BD FD ADV CL - 174.0000 SHS	P	07/16/09	02/14/11
j	TMPLTN GLBL BD FD ADV CL - 183.0000 SHS	P	04/14/10	02/14/11
k	TMPLTN GLBL BD FD ADV CL - 1.0000 SHS	P	04/15/10	02/14/11
l	TMPLTN GLBL BD FD ADV CL - 0.4840 SHS	P	06/18/10	02/14/11
m	L SAYLES STRT INC CL Y - 14.0000 SHS	P	12/28/10	02/14/11
n	PERMANENT PORTFOLIO FUND - 1.0000 SHS	P	02/03/10	02/14/11
o	REVENUESHARES LARGE CAP - 189.0000 SHS	P	05/13/10	05/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3.		3.	0.
b 4,628.		4,786.	-158.
c 12.		12.	0.
d 133.		132.	1.
e 12.		12.	0.
f 146.		144.	2.
g 61.		60.	1.
h 8.		8.	0.
i 2,358.		2,060.	298.
j 2,480.		2,480.	0.
k 14.		14.	0.
l 7.		6.	1.
m 210.		205.	5.
n 46.		39.	7.
o 4,842.		4,203.	639.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			-158.
c			0.
d			1.
e			0.
f			2.
g			1.
h			0.
i			298.
j			0.
k			0.
l			1.
m			5.
n			7.
o			639.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a REVENUESHARES LARGE CAP - 204.0000 SHS	P	09/28/10	05/11/11
b FIRST TRJSE GLOBAL - 110.0000 SHS	P	12/28/10	05/11/11
c THORNBURG INTL VAL FD I - 10.0000 SHS	P	12/28/10	05/26/11
d PUTNAM CAPTL SPECTRUM Y - 28.0000 SHS	P	02/14/11	05/11/11
e PUTNAM CAPTL SPECTRUM Y - 15.0000 SHS	P	02/14/11	05/26/11
f JANUS OVERSEAS FD CL I - 2.0000 SHS	P	05/13/10	05/11/11
g JANUS OVERSEAS FD CL I - 91.0000 SHS	P	09/16/10	05/11/11
h JANUS OVERSEAS FD CL I - 1.0000 SHS	P	09/28/10	05/11/11
i JANUS OVERSEAS FD CL I - 0.1990 SHS	P	09/28/10	05/11/11
j JANUS OVERSEAS FD CL I - 142.0000 SHS	P	09/28/10	05/11/11
k PRDNTL JEN MID CAP GRW Z - 5.0000 SHS	P	05/19/09	05/26/11
l PRDNTL JEN NAT RES FD Z - 3.0000 SHS	P	09/28/10	05/26/11
m PRDNTL JEN NAT RES FD Z - 2.0000 SHS	P	12/28/10	05/26/11
n CHN STRS REALTY INC I - 18.0000 SHS	P	04/14/10	05/26/11
o PUTNAM VOYAGER FD CL Y - 210.0000 SHS	P	09/16/10	05/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,227.		4,327.	900.
b 4,698.		4,753.	-55.
c 300.		284.	16.
d 692.		650.	42.
e 369.		348.	21.
f 99.		87.	12.
g 4,507.		4,284.	223.
h 50.		47.	3.
i 10.		10.	0.
j 7,033.		6,815.	218.
k 156.		94.	62.
l 176.		146.	30.
m 118.		115.	3.
n 233.		196.	37.
o 5,334.		4,557.	777.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			900.
b			-55.
c			16.
d			42.
e			21.
f			12.
g			223.
h			3.
i			0.
j			218.
k			62.
l			30.
m			3.
n			37.
o			777.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUTNAM VOYAGER FD CL Y - 41.0000 SHS	P	12/28/10	05/11/11
b	PUTNAM VOYAGER FD CL Y - 21.0000 SHS	P	12/28/10	05/26/11
c	PIONEER STRAT INCO CL Y - 41.0000 SHS	P	02/03/10	05/26/11
d	MAINSTAY FLT RATE FD I - 15.0000 SHS	P	05/19/09	05/26/11
e	MAINSTAY LG CP GRTH CL I - 58.0000 SHS	P	05/19/09	05/26/11
f	JPMORGAN US LCC PLUS SEL - 10.0000 SHS	P	02/03/10	05/26/11
g	HARTFORD HIGH YIELD CL I - 293.0000 SHS	P	02/03/10	05/11/11
h	HARTFORD HIGH YIELD CL I - 22.0000 SHS	P	04/14/10	05/11/11
i	HARTFORD HIGH YIELD CL I - 1.0000 SHS	P	04/15/10	05/11/11
j	HARTFORD HIGH YIELD CL I - 320.0000 SHS	P	09/28/10	05/11/11
k	HARTFORD HIGH YIELD CL I - 0.7580 SHS	P	02/14/11	05/11/11
l	HARTFORD HIGH YIELD CL I - 15.0000 SHS	P	02/14/11	05/11/11
m	BLACKROCK STRATEGIC - 45.0000 SHS	P	09/16/10	05/26/11
n	HW LARGE CAP VALUE CL I - 293.0000 SHS	P	04/14/10	05/11/11
o	HW UXRGE CAP VALUE CL I - 1.0000 SHS	P	09/28/10	05/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,041.		1,011.	30.
b 518.		518.	0.
c 457.		430.	27.
d 143.		122.	21.
e 452.		287.	165.
f 213.		178.	35.
g 2,241.		2,057.	184.
h 168.		159.	9.
i 8.		7.	1.
j 2,448.		2,314.	134.
k 6.		6.	0.
l 115.		114.	1.
m 450.		453.	-3.
n 5,092.		4,667.	425.
o 17.		15.	2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			30.
b			0.
c			27.
d			21.
e			165.
f			35.
g			184.
h			9.
i			1.
j			134.
k			0.
l			1.
m			-3.
n			425.
o			2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	HW LARGE CAP VALUE CL I - 153.0000 SHS	P	09/28/10	05/11/11
b	HW LARGE CAP VALUE CLI - 1.0000 SHS	P	02/14/11	05/11/11
c	HW LARGE CAP VALUE CL I - 0.0510 SHS	P	02/14/11	05/11/11
d	HW LARGE CAP VALUE CL I - 827.0000 SHS	P	02/14/11	05/11/11
e	LSAYLESSTRINCCLY - 35.0000 SHS	P	12/28/10	05/26/11
f	PERMANENT PORTFOLIO FUND - 8.0000 SHS	P	02/03/10	05/26/11
g	ISHARES RUSSELL 1000 - 7.0000 SHS	P	05/13/10	05/26/11
h	ISHARES RUSSELL MIDCAP - 2.0000 SHS	P	05/11/11	05/26/11
i	ISHARES TRUST DOW JONES - 5.0000 SHS	P	05/11/11	05/26/11
j	ISHARES GOLD TR - 11.0000 SHS	P	09/16/10	05/26/11
k	ISHARES MSCI ACWI INDEX - 5.0000 SHS	P	05/11/11	05/26/11
l	ISHARE DIVERSIFIED ALT - 14.0000 SHS	P	05/11/11	05/26/11
m	PRINCIPAL GLB DIV INC A - 16.0000 SHS	P	12/28/10	05/26/11
n	OPPNHMR EO INCOME CL A - 20.0000 SHS	P	12/28/10	05/26/11
o	PUTNAM VOYAGER FD CL Y - 274.0000 SHS	P	12/28/10	07/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,659.		2,280.	379.
b 17.		17.	0.
c 1.		1.	0.
d 14,373.		14,382.	-9.
e 542.		512.	30.
f 387.		308.	79.
g 424.		364.	60.
h 218.		224.	-6.
i 265.		270.	-5.
j 163.		137.	26.
k 223.		231.	-8.
l 722.		728.	-6.
m 220.		212.	8.
n 520.		490.	30.
o 6,560.		5,511.	1,049.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			379.
b			0.
c			0.
d			-9.
e			30.
f			79.
g			60.
h			-6.
i			-5.
j			26.
k			-8.
l			-6.
m			8.
n			30.
o			1,049.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MAINSTAY LG CP GRTH CL I - 343.0000 SHS	P	05/19/09	07/27/11
b	JPMORGAN US LCC PLUS SEL - 227.0000 SHS	P	02/03/10	07/27/11
c	JPMORGAN US LCC PLUS SEL - 1.0000 SHS	P	09/28/10	07/27/11
d	JPMORGAN US LCC PLUS SEL - 104.0000 SHS	P	09/28/10	07/27/11
e	ISHARES.GOLD TR - 355.0000 SHS	P	09/16/10	08/23/11
f	ISHARES GOLDTR - 4.0000 SHS	P	02/14/11	08/23/11
g	ISHARE DIVERSIFIED ALT - 28.0000 SHS	P	05/11/11	08/23/11
h	PUTNAM VOYAGER FD CL Y. CXL - 274.0000 SHS	P	12/28/10	07/27/11
i	PUTNAM VOYAGER FD CLY - 1.0000 SHS	P	12/28/10	07/27/11
j	PUTNAM VOYAGER FD CL Y - 64.0000 SHS	P	12/28/10	07/27/11
k	PUTNAM VOYAGER FD CL Y - 209.0000 SHS	P	12/28/10	07/27/11
l	MAINSTAY FLT RATE FD I - 461.0000 SHS	P	05/19/09	08/23/11
m	MAINSTAY FLT RATE FD I - 41.0000 SHS	P	04/14/10	08/23/11
n	JPMORGAN US LCC PLUS SEL - 0.4720 SHS	P	09/28/10	08/19/11
o	OPPNHMR EO INCOME CL A - 87.0000 SHS	P	12/28/10	07/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,658.		1,695.	963.
b 4,815.		4,047.	768.
c 21.		18.	3.
d 2,206.		1,943.	263.
e 6,350.		4,420.	1,930.
f 72.		53.	19.
g 1,385.		1,456.	-71.
h 6,560.		6,760.	-200.
i 24.		25.	-1.
j 1,532.		1,579.	-47.
k 5,003.		5,156.	-153.
l 4,144.		3,757.	387.
m 369.		383.	-14.
n 9.		9.	0.
o 2,167.		2,130.	37.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			963.
b			768.
c			3.
d			263.
e			1,930.
f			19.
g			-71.
h			-200.
i			-1.
j			-47.
k			-153.
l			387.
m			-14.
n			0.
o			37.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	L SAYLES STRT INC CL Y - 92.0000 SHS	P	12/28/10	08/23/11
b	PERMANENT PORTFOLIO FUND - 38.0000 SHS	P	02/03/10	08/23/11
c	MAINSTAY FLT RATE FD I - 0.0130 SHS	P	06/18/10	09/23/11
d	ISHARES RUSSELL 1000 - 88.0000 SHS	P	05/13/10	10/05/11
e	ISHARES RUSSELL 1000 - 40.0000 SHS	P	09/28/10	10/05/11
f	ISHARES RUSSELL 1000 - 12.0000 SHS	P	02/14/11	10/05/11
g	ISHARES RUSSELL 1000 - 15.0000 SHS	P	02/14/11	10/05/11
h	ISHARES RUSSELL 1000 - 11.0000 SHS	P	05/11/11	10/05/11
i	ISHARES RUSSELL MIDCAP - 65.0000 SHS	P	05/11/11	10/04/11
j	ISHARES TRUST DOW JONES - 2.0000 SHS	P	05/11/11	10/05/11
k	VANGUARD DIVIDEND - 87.0000 SHS	P	08/23/11	10/05/11
l	VANGUARD DIVIDEND - 1.0000 SHS	P	10/04/11	10/05/11
m	ISHARES MSCI ACWI INDEX - 158.0000 SHS	P	05/11/11	10/04/11
n	ISHARE DIVERSIFIED ALT - 227.0000 SHS	P	05/11/11	10/04/11
o	ISHARE DIVERSIFIED ALT - 214.0000 SHS	P	05/11/11	10/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,363.		1,345.	18.
b 1,872.		1,463.	409.
c			0.
d 4,589.		4,582.	7.
e 2,086.		2,039.	47.
f 626.		732.	-106.
g 782.		916.	-134.
h 574.		683.	-109.
i 5,399.		7,264.	-1,865.
j 95.		108.	-13.
k 4,225.		4,369.	-144.
l 49.		47.	2.
m 5,302.		7,293.	-1,991.
n 11,052.		11,804.	-752.
o 10,469.		11,128.	-659.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			18.
b			409.
c			0.
d			7.
e			47.
f			-106.
g			-134.
h			-109.
i			-1,865.
j			-13.
k			-144.
l			2.
m			-1,991.
n			-752.
o			-659.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VANGUARD SHORT-TERM - 3.0000 SHS	P	08/23/11	10/04/11
b	(SHARES HIGH DIV EO FUND - 2.0000 SHS	P	08/23/11	10/04/11
c	ISHARES HIGH DIV EO FUND - 86.0000 SHS	P	08/23/11	10/05/11
d	STANDARD&POORS DEP RGPT - 95.0000 SHS	P	10/04/11	10/05/11
e	THORNBURG INTERNATIONAL - 0.8140 SHS	P	12/28/10	10/05/11
f	THORNBURG INTL VAL FD I - 1.0000 SHS	P	12/28/10	10/05/11
g	THORNBURG INTL VAL FD I - 43.0000 SHS	P	12/28/10	10/05/11
h	THORNBURG INTL VAL FD I - 41.0000 SHS	P	12/28/10	10/05/11
i	THORNBURG INTL VAL FD I - 0.0710 SHS	P	10/04/11	10/05/11
j	PUTNAM CAPTL SPECTRUM Y - 488.0000 SHS	P	02/14/11	10/05/11
k	PUTNAM CAPTL SPECTRUM Y - 1.0000 SHS	P	10/04/11	10/05/11
l	PUTNAM CAPTL SPECTRUM Y - 0.0240 SHS	P	10/04/11	10/05/11
m	PUTNAM CAPTL SPECTRUM Y - 26.0000 SHS	P	10/04/11	10/05/11
n	PRDNTL JEN MID CAP GRW Z - 34.0000 SHS	P	05/19/09	10/05/11
o	PRDNTL JEN MID CAP GRW Z - 470000 SHS	P	07/16/09	10/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 232.		235.	-3.
b 96.		100.	-4.
c 4,234.		4,290.	-56.
d 10,672.		10,240.	432.
e 19.		23.	-4.
f 23.		28.	-5.
g 993.		1,223.	-230.
h 947.		1,166.	-219.
i 2.		2.	0.
j 10,345.		11,322.	-977.
k 21.		22.	-1.
l 1.		1.	0.
m 551.		541.	10.
n 902.		636.	266.
o 1,246.		926.	320.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-3.
b			-4.
c			-56.
d			432.
e			-4.
f			-5.
g			-230.
h			-219.
i			0.
j			-977.
k			-1.
l			0.
m			10.
n			266.
o			320.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PRDNTL JEN MID CAP GRW Z - 1.0000 SHS	P	07/17/09	10/05/11
b	PRDNTL JEN MID CAP GRW Z - 1.0000 SHS	P	11/23/09	10/05/11
c	PRDNTL JEN MID CAP GRW Z - 1.0000 SHS	P	12/28/10	10/05/11
d	PRDNTL JEN MID CAP GRWZ - 76.0000 SHS	P	12/28/10	10/05/11
e	PRDNTL JEN MID CAP GRW Z - 0.9170 SHS	P	10/04/11	10/05/11
f	PRDNTL JEN MID CAP GRW Z - 3.0000 SHS	P	10/04/11	10/05/11
g	PRDNTL JEN NAT RES FD Z - 0.9670 SHS	P	12/28/10	10/04/11
h	PRDNTL JEN NAT RES FD Z - 59.0000 SHS	P	12/28/10	10/04/11
i	PRUDENTIAL JENNISON - 00010 SHS	P	12/28/10	10/04/11
j	PRDNTL JEN NAT RES FD Z - 0.8060 SHS	P	12/28/10	10/06/11
k	CHN STRS REALTY INC I - 9.0000 SHS	P	04/14/10	10/05/11
l	CHN STRS REALTY INC I - 169.0000 SHS	P	05/13/10	10/05/11
m	CHN STRS REALTY INC L - 1.0000 SHS	P	09/28/10	10/05/11
n	CHN STRS REALTY INC I - 407.0000 SHS	P	09/28/10	10/05/11
o	CHN STRS REALTY INC I - 0.0004 SHS	P	10/26/10	10/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 27.		20.	7.
b 27.		23.	4.
c 27.		26.	1.
d 2,016.		2,155.	-139.
e 24.		24.	0.
f 80.		78.	2.
g 40.		55.	-15.
h 2,431.		3,394.	-963.
i			0.
j 35.		46.	-11.
k 95.		98.	-3.
l 1,776.		1,867.	-91.
m 11.		11.	0.
n 4,278.		4,445.	-167.
o			0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			7.
b			4.
c			1.
d			-139.
e			0.
f			2.
g			-15.
h			-963.
i			0.
j			-11.
k			-3.
l			-91.
m			0.
n			-167.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHN STRS REALTY INC I - 1.0000 SHS	P	10/04/11	10/05/11
b	CHN STRS REALTY INC I - 0.8986 SHS	P	10/04/11	10/05/11
c	CHN STRS REALTY INC I - 24.0000 SHS	P	10/04/11	10/05/11
d	PUTNAM VOYAGER FD CL Y - 45.0000 SHS	P	12/28/10	10/04/11
e	PUTNAM VOYAGER FD CL Y - 1.0000 SHS	P	01/24/11	10/04/11
f	PUTNAM VOYAGER FD CL Y - 64.0000 SHS	P	01/24/11	10/04/11
g	PUTNAM VOYAGER FD CL Y - 1.0000 SHS	P	02/14/11	10/04/11
h	PUTNAM VOYAGER FD CL Y - 342.0000 SHS	P	02/14/11	10/04/11
i	PUTNAM VOYAGER FD CL Y - 0.1630 SHS	P	08/23/11	10/04/11
j	PRINCIPAL GLB DIV INC P - 21.0000 SHS	P	12/28/10	10/04/11
k	PRINCIPAL GLB DIV INC P - 164.0000 SHS	P	12/28/10	10/05/11
l	PRINCIPAL GLB DIV INC P - 0.1500 SHS	P	12/28/10	10/04/11
m	PRINCIPAL GLB DIV INC P - 0.1010 SHS	P	12/28/10	10/05/11
n	PIONEER STRAT INCO CL Y - 122.0000 SHS	P	02/03/10	10/04/11
o	PIONEER STRATEGIC - 0.8870 SHS	P	02/03/10	10/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11.		11.	0.
b 9.		10.	-1.
c 252.		255.	-3.
d 829.		1,110.	-281.
e 18.		24.	-6.
f 1,179.		1,317.	-138.
g 18.		25.	-7.
h 6,300.		9,046.	-2,746.
i 3.		3.	0.
j 253.		277.	-24.
k 1,978.		2,167.	-189.
l 2.		2.	0.
m 1.		1.	0.
n 1,285.		1,280.	5.
o 9.		9.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			-1.
c			-3.
d			-281.
e			-6.
f			-138.
g			-7.
h			-2,746.
i			0.
j			-24.
k			-189.
l			0.
m			0.
n			5.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIONEER STRAT INCO CLY - 0.0740 SHS	P	12/28/10	10/04/11
b	MAINSTAY LG CP GRTH CL I - 358.0000 SHS	P	05/19/09	10/05/11
c	MAINSTAY LG CP GRTH CL I - 1.0000 SHS	P	05/22/09	10/05/11
d	MAINSTAY LG CP GRTH CL I - 1.0000 SHS	P	12/28/10	10/05/11
e	MAINSTAY LG CP GRTH CL I - 1.0000 SHS	P	12/28/10	10/05/11
f	MAINSTAY LG CP GRTH CL I - 115.0000 SHS	P	12/28/10	10/05/11
g	MAINSTAY LG CP GRTH CL I - 183.0000 SHS	P	12/28/10	10/05/11
h	MAINSTAY LG CP GRTH CL I - 0.0850 SHS	P	10/04/11	10/05/11
i	BLACKROCK STRATEGIC - 1.0000 SHS	P	09/16/10	10/04/11
j	BLACKROCK STRATEGIC - 126.0000 SHS	P	09/16/10	10/04/11
k	BLACKROCK STRATEGIC - 0.3490 SHS	P	02/14/11	10/04/11
l	OPPNHMR EQ INCOME CL A - 390.0000 SHS	P	12/28/10	10/04/11
m	OPPNHMR EO INCOME CL A - 174.0000 SHS	P	02/14/11	10/04/11
n	OPPNHMR EO INCOME CL A - 0.2530 SHS	P	08/23/11	10/04/11
o	OPPNHMR EO INCOMECL A - 59.0000 SHS	P	08/23/11	10/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1.		1.	0.
b 2,402.		1,770.	632.
c 7.		5.	2.
d 7.		6.	1.
e 7.		7.	0.
f 772.		833.	-61.
g 1,228.		1,325.	-97.
h 1.		1.	0.
i 10.		10.	0.
j 1,198.		1,269.	-71.
k 3.		3.	0.
l 7,858.		9,547.	-1,689.
m 3,506.		4,472.	-966.
n 5.		6.	-1.
o 1,189.		1,263.	-74.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			632.
c			2.
d			1.
e			0.
f			-61.
g			-97.
h			0.
i			0.
j			-71.
k			0.
l			-1,689.
m			-966.
n			-1.
o			-74.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THORNBURG INTL VAL FD I - 73.0000 SHS	P	12/28/10	11/21/11
b	THORNBURG INTL VAL FD I - 0.1860 SHS	P	12/28/10	11/21/11
c	THORNBURG INTL VAL FD I - 1.0000 SHS	P	12/28/10	11/21/11
d	THORNBURG INTL VAL FD I - 43.0000 SHS	P	12/28/10	11/21/11
e	THORNBURG INTL VAL FD I - 1.0000 SHS	P	05/11/11	01/21/11
f	THORNBURG INTL VAL FD I - 157.0000 SHS	P	05/11/11	11/21/11
g	PUTNAM ABS RTRN 300 CL Y - 0.0580 SHS	P	10/05/11	11/21/11
h	PUTNAM ABS RTRN 300 CL Y - 389.0000 SHS	P	10/05/11	11/21/11
i	PUTNAM ABSOLUTE RETURN - 0.2930 SHS	P	10/05/11	11/21/11
j	PRDNTL JEN NAT RES FD Z - 102.0000 SHS	P	12/28/10	11/21/11
k	PRDNTL JEN NAT RES FD Z - 0.1930 SHS	P	12/28/10	11/21/11
l	PRINCIPAL GLB DIV INC P - 349.0000 SHS	P	12/28/10	11/21/11
m	PRINCIPAL GLB DIV INC P - 0.4058 SHS	P	12/28/10	11/21/11
n	PRINCIPAL GLB DIV INC P - 0.0002 SHS	P	06/21/11	11/21/11
o	PIONEER STRAT INCO CLY - 71.0000 SHS	P	02/03/10	11/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,765.		2,076.	-311.
b 5.		5.	0.
c 24.		30.	-6.
d 1,040.		1,216.	-176.
e 24.		30.	-6.
f 3,796.		4,806.	-1,010.
g 1.		1.	0.
h 3,999.		4,034.	-35.
i 3.		3.	0.
j 4,872.		5,867.	-995.
k 9.		11.	-2.
l 4,422.		4,611.	-189.
m 5.		5.	0.
n			0.
o 762.		745.	17.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-311.
b			0.
c			-6.
d			-176.
e			-6.
f			-1,010.
g			0.
h			-35.
i			0.
j			-995.
k			-2.
l			-189.
m			0.
n			0.
o			17.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PIONEER STRAT INCO CLY - 27.0000 SHS	P	04/14/10	11/21/11
b	PIONEER STRAT INCO CLY - 1.0000 SHS	P	04/15/10	11/21/11
c	PIONEER STRAT INCO CLY - 170.0000 SHS	P	06/29/10	11/21/11
d	PIONEER STRAT INCO CLY - 405.0000 SHS	P	06/30/10	11/21/11
e	PIONEER STRAT INCOCLY - 1.0000 SHS	P	12/28/10	11/21/11
f	PIONEER STRAT INCO CL Y - 500.0000 SHS	P	12/28/10	11/21/11
g	PIONEER STRAT INCO CL Y - 0.5510 SHS	P	10/05/11	11/21/11
h	PIONEER STRAT INCO CL Y - 1,236.0000 SHS	P	10/05/11	11/21/11
i	PIONEER STRATEGIC - 0.0380 SHS	P	10/05/11	11/21/11
j	MAINSTAY LG CP GRTH CL I - 620.0000 SHS	P	12/28/10	11/21/11
k	MAINSTAY LG CP GRTH CL 1 - 1.0000 SHS	P	12/28/10	11/21/11
l	MAINSTAY LG CP GRTH CL I - 115.0000 SHS	P	12/28/10	11/21/11
m	MAINSTAY LG CP GRTH CL I - 1.0000 SHS	P	02/14/11	11/21/11
n	MAINSTAY LG CP GRTH CL I - 224.0000 SHS	P	02/14/11	11/21/11
o	MAINSTAY LG CP GRTH CL I - 0.2650 SHS	P	10/04/11	11/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 290.		289.	1.
b 11.		11.	0.
c 1,824.		1,799.	25.
d 4,346.		4,281.	65.
e 11.		11.	0.
f 5,365.		5,445.	-80.
g 6.		6.	0.
h 13,262.		13,003.	259.
i			0.
j 4,352.		4,489.	-137.
k 7.		8.	-1.
l 807.		817.	-10.
m 7.		7.	0.
n 1,572.		1,749.	-177.
o 2.		2.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			0.
c			25.
d			65.
e			0.
f			-80.
g			0.
h			259.
i			0.
j			-137.
k			-1.
l			-10.
m			0.
n			-177.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE SMIGEL FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BLACKROCK STRATEGIC - 283.0000 SHS	P	09/16/10	11/21/11
b	BLACKROCK STRATEGIC - 1.0000 SHS	P	09/17/10	01/21/11
c	BLACKROCK STRATEGIC - 126.0000 SHS	P	09/17/10	11/21/11
d	BLACKROCK STRATEGIC - 1.0000 SHS	P	12/28/10	11/21/11
e	BLACKROCK STRATEGIC - 1,014.0000 SHS	P	12/28/10	11/21/11
f	BLACKROCK STRATEGIC - 7.0000 SHS	P	02/14/11	11/21/11
g	BLACKROCK STRATEGIC - 0.5340 SHS	P	10/05/11	11/21/11
h	BLACKROCK STRATEGIC - 2,114.0000 SHS	P	10/05/11	11/21/11
i	L SAYLES STRT INC CL Y - 1,024.0000 SHS	P	12/28/10	11/21/11
j	L SAYLES STRT INC CL Y - 12.0000 SHS	P	10/04/11	11/21/11
k	L SAYLES STRT INC CL Y - 1.0000 SHS	P	10/05/11	11/21/11
l	L SAYLES STRT INC CL Y - 0.4050 SHS	P	10/05/11	11/21/11
m	L SAYLES STRT INC CL Y - 1,055.0000 SHS	P	10/05/11	11/21/11
n	ISHARES DIVERSIFIED ALTERNATIVES TRUST			
o	ISHARES DIVERSIFIED ALTERNATIVES TRUST			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,697.		2,850.	-153.
b 10.		10.	0.
c 1,201.		1,266.	-65.
d 10.		10.	0.
e 9,663.		10,069.	-406.
f 67.		70.	-3.
g 5.		5.	0.
h 20,146.		20,062.	84.
i 14,735.		14,971.	-236.
j 173.		168.	5.
k 14.		14.	0.
l 6.		6.	0.
m 15,181.		14,907.	274.
n		1,096.	-1,096.
o		462.	-462.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-153.
b			0.
c			-65.
d			0.
e			-406.
f			-3.
g			0.
h			84.
i			-236.
j			5.
k			0.
l			0.
m			274.
n			-1,096.
o			-462.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ISHARES DIVERSIFIED ALTERNATIVES TRUST			
b	ISHARES DIVERSIFIED ALTERNATIVES TRUST	P		
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		693.	-693.
b	1,127.		1,127.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-693.
b			1,127.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-5,222.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	1
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SOURCE	AMOUNT
ISHARES DIVERSIFIED ALTERNATIVES TRUST	7.
MERRILL LYNCH	21.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	28.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH	5,758.	0.	5,758.
TOTAL TO FM 990-PF, PART I, LN 4	5,758.	0.	5,758.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ISHARES DIVERSIFIED ALTERNATIVES TRUST	1,211.	1,211.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,211.	1,211.	

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX	793.	793.		0.
TO FORM 990-PF, PG 1, LN 18	793.	793.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ML FIDUCIARY FEES	2,489.	2,489.		0.	
ACCOUNTING FEES	515.	515.		0.	
ISHARES DIVERSIFIED ALTERNATIVES TRUST	92.	92.		0.	
TO FORM 990-PF, PG 1, LN 23	3,096.	3,096.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE EQUITIES	190,968.	191,640.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	190,968.	191,640.		