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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning 01-01-2011, and ending 12-31-2011

**G** Check all that apply     Initial return     Initial return of a former public charity     Final return  
 Amended return     Address change     Name change

Name of foundation    OSCAR AND MONA SOKOL FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)    1525 W WT HARRIS BLVD D1114-044    Room/suite

City or town, state, and ZIP code    CHARLOTTE, NC 28288

**H** Check type of organization     Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust     Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16)    **\$ 2,644,731**    **J** Accounting method     Cash     Accrual  
 Other (specify)    (Part I, column (d) must be on cash basis.)

**Part I**    **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
3	Interest on savings and temporary cash investments	65	65		
4	Dividends and interest from securities. . . . .	93,383	93,357		
5a	Gross rents . . . . .				
b	Net rental income or (loss) . . . . .				
6a	Net gain or (loss) from sale of assets not on line 10	72,577			
b	Gross sales price for all assets on line 6a    609,962				
7	Capital gain net income (from Part IV, line 2) . . . . .		72,577		
8	Net short-term capital gain . . . . .			0	
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .		-4,136		
12	<b>Total.</b> Add lines 1 through 11 . . . . .	166,025	161,863		

Revenue	13	Compensation of officers, directors, trustees, etc	58,984	42,728	16,256
Operating and Administrative Expenses	14	Other employee salaries and wages . . . . .		0	0
	15	Pension plans, employee benefits . . . . .		0	0
	16a	Legal fees (attach schedule) . . . . .			0
	b	Accounting fees (attach schedule) . . . . .	3,018	0	3,018
	c	Other professional fees (attach schedule) . . . . .			0
	17	Interest . . . . .			0
	18	Taxes (attach schedule) (see page 14 of the instructions)	1,817	1,124	0
	19	Depreciation (attach schedule) and depletion . . . . .	0	0	
	20	Occupancy . . . . .			
	21	Travel, conferences, and meetings . . . . .		0	0
	22	Printing and publications . . . . .		0	0
	23	Other expenses (attach schedule) . . . . .		470	
	24	<b>Total operating and administrative expenses.</b>			
		Add lines 13 through 23 . . . . .	63,819	44,322	0
	25	Contributions, gifts, grants paid . . . . .	150,001		150,001
	26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	213,820	44,322	0
	27	Subtract line 26 from line 12			
	a	<b>Excess of revenue over expenses and disbursements</b>	-47,795		
	b	<b>Net investment income</b> (if negative, enter -0-) . . . . .		117,541	
	c	<b>Adjusted net income</b> (if negative, enter -0-) . . . . .		0	

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing . . . . .					
	2 Savings and temporary cash investments . . . . .		103,120	58,470	58,470	
	3 Accounts receivable ►					
	Less allowance for doubtful accounts ►			0	0	
	4 Pledges receivable ►					
	Less allowance for doubtful accounts ►					
	5 Grants receivable . . . . .					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .					
	7 Other notes and loans receivable (attach schedule) ►					
	Less allowance for doubtful accounts ►	0				
	8 Inventories for sale or use . . . . .					
	9 Prepaid expenses and deferred charges . . . . .					
	10a Investments—U S and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule) . . . . .		1,145,719	1,251,589		
	c Investments—corporate bonds (attach schedule) . . . . .	2,224,772	1,084,167	1,065,763		
	11 Investments—land, buildings, and equipment basis ►					
Less accumulated depreciation (attach schedule) ►						
12 Investments—mortgage loans . . . . .						
13 Investments—other (attach schedule) . . . . .	270,000	270,000	268,909			
14 Land, buildings, and equipment basis ►						
Less accumulated depreciation (attach schedule) ►						
15 Other assets (describe ►) . . . . .						
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		2,597,892	2,558,356	2,644,731		
Liabilities	17 Accounts payable and accrued expenses . . . . .					
	18 Grants payable . . . . .					
	19 Deferred revenue . . . . .					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule) . . . . .					
	22 Other liabilities (describe ►) . . . . .					
	23 Total liabilities (add lines 17 through 22) . . . . .		0			
	<b>Foundations that follow SFAS 117, check here ►</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24 Unrestricted . . . . .					
	25 Temporarily restricted . . . . .					
	26 Permanently restricted . . . . .					
	<b>Foundations that do not follow SFAS 117, check here ►</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	27 Capital stock, trust principal, or current funds . . . . .		2,597,892	2,558,356		
	28 Paid-in or capital surplus, or land, bldg , and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances (see page 17 of the instructions) . . . . .		2,597,892	2,558,356			
31 Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .		2,597,892	2,558,356			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .		1	2,597,892
2	Enter amount from Part I, line 27a . . . . .		2	-47,795
3	Other increases not included in line 2 (itemize) ►		3	10,892
4	Add lines 1, 2, and 3 . . . . .		4	2,560,989
5	Decreases not included in line 2 (itemize) ►		5	2,633
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .		6	2,558,356

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	72,577
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			<b>3</b>	
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	213,955	2,770,302	0 077232
2009	149,405	2,697,776	0 055381
2008	184,536	3,129,565	0 058965
2007	161,643	3,572,235	0 04525
2006	163,899	3,390,237	0 048344

<b>2</b> <b>Total</b> of line 1, column (d).	<b>2</b>	0 285172
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 057034
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	<b>4</b>	2,816,546
<b>5</b> Multiply line 4 by line 3.	<b>5</b>	160,640
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	1,175
<b>7</b> Add lines 5 and 6.	<b>7</b>	161,815
<b>8</b> Enter qualifying distributions from Part XII, line 4.	<b>8</b>	169,275

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	1,175
Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2. . . . .	3	1,175
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	1,175
6	<b>Credits/Payments</b>		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	636
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	636
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	539
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	
11	Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	0

**Part VII-A Statements Regarding Activities**

		<b>Yes</b>	<b>No</b>
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	1b	No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> SC		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). . . . .	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	Yes	
Website address ►				
14	The books are in care of ►WELLS FARGO BANK NA	Telephone no ►(843) 937-4614		
	Located at ►16 BROAD ST D3600-021 CHARLESTON SC	ZIP +4 ►29401		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ►	15		
16	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►	16		No
At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .				
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	□ Yes	✓ No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	□ Yes	✓ No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	✓ Yes	□ No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	✓ Yes	□ No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	□ Yes	✓ No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . .	□ Yes	✓ No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ►		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? . . . . .	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? . . . . .	2a	
	► If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions) . . . . .	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	3a	
	► Yes	✓ No	
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011). . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? . . . . .	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
	<i>If "Yes" to 6b, file Form 8870.</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation <b>(If not paid, enter -0-)</b>	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WELLS FARGO BANK NA 1525 W WT HARRIS BLVD D1114-040 CHARLOTTE, NC 28288	CO-TRUSTEE 40	24,457		
CHARLES S GOLDBERG 38 SUSSES ROAD CHARLESTON, SC 29407	CO-TRUSTEE 20	11,509		
IVAN N NOSSOKOFF PO BOX 542 CHARLESTON, SC 29402	CO-TRUSTEE 20	11,509		
MAURICE H WENTRAB 3186 STANYARNE DRIVE CHARLESTON, SC 29414	CO-TRUSTEE 20	11,509		

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>			<input checked="" type="checkbox"/>	0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE"**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and

1	
2	
All other program-related investments. See page 24 of the instructions	
3	
<b>Total</b>	Add lines 1 through 3

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	2,754,262
b	Average of monthly cash balances. . . . .	1b	105,176
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	<b>2,859,438</b>
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	2,859,438
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	42,892
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,816,546
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	140,827

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	140,827
2a	Tax on investment income for 2011 from Part VI, line 5. . . . .	2a	1,175
b	Income tax for 2011 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,175
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	139,652
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	139,652
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	139,652

**Part XII Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	169,275
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	169,275
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	1,175
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	168,100

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				139,652
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only. . . . .			37,073	
<b>b</b> Total for prior years 2009, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006. . . . .	0			
<b>b</b> From 2007. . . . .	0			
<b>c</b> From 2008. . . . .	0			
<b>d</b> From 2009. . . . .	0			
<b>e</b> From 2010. . . . .	0			
<b>f Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ► \$ _____ 169,275				
<b>a</b> Applied to 2010, but not more than line 2a			37,073	
<b>b</b> Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . . .	0			
<b>d</b> Applied to 2011 distributable amount. . . . .			132,202	
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . . .		0		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .			0	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. . . . .				7,450
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007. . . . .	0			
<b>b</b> Excess from 2008. . . . .	0			
<b>c</b> Excess from 2009. . . . .	0			
<b>d</b> Excess from 2010. . . . .	0			
<b>e</b> Excess from 2011. . . . .	0			

**Part XIV** **Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9)

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)**

## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )
  
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed  
IVAN N NOSSOKOFF  
C/O WELLS FARGO BANK 16 BROAD ST D3  
CHARLESTON, SC 29401  
(843) 937-4614
- b** The form in which applications should be submitted and information and materials they should include  
WRITTEN FORM, DOLLAR AMOUNT REQUESTED, REASON FOR REQUEST, FINANCIAL STATEMENT IF APPLICABLE, NAME  
ADDRESS, PHONE NUMBER IF ENTITY, PHONE NUMBER OF CONTACT PERSON
- c** Any submission deadlines  
February 15th
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other  
factors  
NONE

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b> See Additional Data Table				
<b>Total</b>			► 3a	150,001
<b>b Approved for future payment</b>				
<b>Total</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1 Program service revenue</b>					
a					
b					
c					
d					
e					
f					
<b>g Fees and contracts from government agencies</b>					
<b>2 Membership dues and assessments.</b>					
<b>3 Interest on savings and temporary cash investments</b>			14	65	
<b>4 Dividends and interest from securities.</b>			14	93,383	
<b>5 Net rental income or (loss) from real estate</b>					
a Debt-financed property.					
b Not debt-financed property.					
<b>6 Net rental income or (loss) from personal property</b>					
<b>7 Other investment income.</b>					
<b>8 Gain or (loss) from sales of assets other than inventory</b>			18	72,577	
<b>9 Net income or (loss) from special events</b>					
<b>10 Gross profit or (loss) from sales of inventory.</b>					
<b>11 Other revenue a</b>					
b					
c					
d					
e					
<b>12 Subtotal Add columns (b), (d), and (e).</b>				166,025	
<b>13 Total Add line 12, columns (b), (d), and (e).</b>					166,025
(See worksheet in line 13 instructions on page 28 to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a Transfers from the reporting foundation to a noncharitable exempt organization or**

**b** Other transactions

- (1) Sales of assets to a noncharitable exempt organization. . . . .
- (2) Purchases of assets from a noncharitable exempt organization. . . . .
- (3) Rental of facilities, equipment, or other assets. . . . .
- (4) Reimbursement arrangements. . . . .
- (5) Loans or loan guarantees. . . . .
- (6) Performance of services or membership or fundraising solicitations. . . . .

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . .  Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship	
<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.</p>			
<p>***** Signature of officer or trustee</p>	<p>2012-04-25 Date</p>	<p>***** Title</p>	
<p><b>Sign Here</b>  <b>Paid Preparer's Use Only</b></p>	<p>Preparer's Signature</p>	<p>Date</p>	<p>PTIN</p>
	<p>Firm's name</p>	<p><input type="checkbox"/> Check if self-employed</p>	<p>EIN</p>
	<p>Firm's address</p>	<p>Phone no</p>	

May the IRS discuss this return with the preparer shown above? See instructions . . . . .  Yes  No

## Additional Data

**Software ID:**

**Software Version:**

**EIN:** 57-6139525

**Name:** OSCAR AND MONA SOKOL FOUNDATION

### Form 990PF - Special Condition Description:

**Special Condition Description**

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
1051 625 COHEN & STEERS INSTL RLTY SHRS #1263		2010-07-12	2011-08-01
522 375 DREYFUS/THE BOSTON CO S/C - I #6941		2010-07-12	2011-06-03
1578 947 EII INTERNATIONAL PROPERTY-I #30		2010-07-12	2011-06-03
2321 9 EII INTERNATIONAL PROPERTY-I #30		2010-07-12	2011-08-01
19017 094 FEDERATED STRAT VAL DIV FD IS #662		2010-07-12	2011-06-03
2903 543 OAKMARK INTERNATIONAL FD CL I		2010-07-12	2011-06-03
277 ISHARES MIDCAP INDEX FD		2010-07-12	2011-06-03
17796 61 PIMCO FOREIGN BOND FUND-INST		2010-07-12	2011-06-03
7793 345 PIMCO FOREIGN BOND (UNHEDGED) #1853		2011-06-03	2011-08-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e) Gross sales price</b>	<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>
44,000		34,115	9,885
30,000		22,927	7,073
30,000		23,384	6,616
44,000		34,387	9,613
89,000		75,118	13,882
59,000		48,721	10,279
30,007		23,071	6,936
186,331		189,000	-2,669
89,000		86,662	2,338

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))</b>
<b>(i) F M V as of 12/31/69</b>	<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (i) over col (j), if any</b>	
			9,885
			7,073
			6,616
			9,613
			13,882
			10,279
			6,936
			-2,669
			2,338

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ORT ATLANTA 2200 CENTURY PARKWAY SUITE 550 ATLANTA, SC 30345	NONE	PUBLIC CHARITY	GENERAL PURPOSE	563
JUVENILE DIABETES FOUNDATION 3525 PEIDMONT ROAD SUITE 300 ATLANTA, GA 30346	NONE	PUBLIC CHARITY	GENERAL PURPOSE	750
YASCHIKARNOLD JEWISH STUDIES PROGRAM 66 GEORGE STREET CHARLESTON, SC 29424	NONE	PUBLIC CHARITY	GENERAL PURPOSE	2,625
BBY0712 WALKERS LANDING CHARLESTON, SC 29412	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,500
JEWISH COMMUNITY CENTER 1645 WALLENBERG BLVD CHARLESTON, SC 29407	NONE	PUBLIC CHARITY	GENERAL PURPOSE	41,250
BIRTH SHOLOM BETH ISRAEL 182 RUTHLEDGE AVENUE CHARLESTON, SC 29403	NONE	PUBLIC CHARITY	GENERAL PURPOSE	7,500
KAHAOL KADOSH BETH SYNAGOGUE 90 HASSELL STREET CHARLESTON, SC 29401	NONE	PUBLIC CHARITY	GENERAL PURPOSE	750
ADDLESTONE HEBREW ACEDEMY 1639 WALLENBERG BLVD CHARLESTON, SC 29407	NONE	PUBLIC CHARITY	GENERAL PURPOSE	37,500
EMANU EL SYNAGOGUE FIVE WINDSOR DR CHARLESTON, SC 29407	NONE	PUBLIC CHARITY	GENERAL PURPOSE	5,625
RONALD MCDONALD HOUSE 81 GADEDEN STREET CHARLESTON, SC 29401	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,500
JCC HOUSING CORPSHERMAN HOUSE 1635 WALLENGERR BLVD CHARLESTON, SC 27407	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,500
LOWCOUNTRY FOOD BANK 2864 AZALEA DRIVE CHARLESTON, SC 29405	NONE	PUBLIC CHARITY	GENERAL PURPOSE	750
CRISIS MINISTRIES 573 MEETING STREET BOX 20038 CHARLESTON, SC 29413	NONE	PUBLE CHARITY	GENERAL PURPOSE	750
HADASSH 1221 WATERFORNT DRIVE MT PLEASANT, SC 29464	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,125
CHARLESTON JEWISH FDN 1645 WALLENBERG BLVD CHARLESTON, SC 29407	NONE	PUBLIC CHARITY	GENERAL PURPOSE	44,250
NATIONAL COUNCIL JEWISH WOMEN PO BOX 1870 FOLLY BEACH, SC 29439	NONE	PUBLIC CHARITY	GENERAL PURPOSE	750
MUSC CHILDREN'S HOSPITAL 59 BEE STREET MSC 201 CHARLESTON, SC 29424	NONE	PUBLIC CHARITY	GENERAL PURPOSE	1,313
<b>Total . . . . .</b>			<b>► 3a</b>	<b>150,001</b>

## TY 2011 Accounting Fees Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION

**EIN:** 57-6139525

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC)	3,018			3,018

## TY 2011 Investments Corporate Bonds Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION

**EIN:** 57-6139525

Name of Bond	End of Year Book Value	End of Year Fair Market Value
04315J860 ARTIO GLOBAL HIGH	135,000	125,050
256210105 DODGE & COX INCOME	168,000	167,622
693390700 PIMCO TOTAL RETURN	163,329	159,475
957663107 WESTERN ASSET	257,000	256,679
693391559 PIMCO EMERGING	143,000	143,695
693390882 PIMCO FOREIGN		
26203E836 DREYFUS/THE BOSTON		
314172560 FEDERATED STRATEGIC		
411511504 HARBOR CAPITAL APPR		
464287499 ISHARES TR RUSSELL		
413838202 OAKMARK FUNDS		
780905782 ROYCE SPEICAL		
784924425 SSGA EMERGING MRKTS		
411511306 HARBOR INTERN.		
19247U106 COHEN & STEERS INST		
26852M105 ELL INTERNATIONAL		
722005667 PIMCO STRAT.		
277911491 EATON VANCE FLOATING	59,500	58,179
722005220 PIMCO FOREIGN BOND (	158,338	155,063

## TY 2011 Investments Corporate Stock Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION

**EIN:** 57-6139525

Name of Stock	End of Year Book Value	End of Year Fair Market Value
19247U106 COHEN & STEERS INSTL	73,885	89,920
26203E836 DREYFUS/THE BOSTON C	17,573	21,333
26852M105 EII INTERNATIONAL PR	50,228	45,718
314172560 FEDERATED STRAT VAL	167,882	206,559
411511306 HARBOR INTERNATION F	148,500	152,126
411511504 HARBOR CAPITAL APRCT	243,000	291,316
413838202 OAKMARK INTERNATIONA	99,779	98,411
464287499 ISHARES TR RUSSELL M	85,372	100,881
722005667 PIMCO COMMODITY REAL	111,000	95,607
780905782 ROYCE SPECIAL EQUITY	40,500	46,468
784924425 SSGA EMERGING MARKET	108,000	103,250

## TY 2011 Investments - Other Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION**EIN:** 57-6139525

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
RSG992209 ROBECO-SAGE	AT COST	270,000	268,909

## TY 2011 Other Decreases Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION**EIN:** 57-6139525

Description	Amount
MUTUAL FUND POSTING DATE AFTER TYE	2,633

## TY 2011 Other Expenses Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION

**EIN:** 57-6139525

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ARDEN-SAGE K1 NET ST CAPITAL L		470		0

## TY 2011 Other Income Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION**EIN:** 57-6139525

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ARDEN-SAGE K1 NET ST CAPITAL LOSS		-1,748	
ARDEN-SAGE K1 NET LT CAPITAL LOSS		-2,389	
ARDEN-SAGE K1 OTHER PORTFOLIO INCOME		1	

**TY 2011 Other Increases Schedule****Name:** OSCAR AND MONA SOKOL FOUNDATION**EIN:** 57-6139525

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	10,891
ROUNDING	1

## TY 2011 Taxes Schedule

**Name:** OSCAR AND MONA SOKOL FOUNDATION**EIN:** 57-6139525

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	57	0		0
FEDERAL ESTIMATES - PRINCIPAL	636	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,080	1,080		0
FOREIGN TAXES ON NONQUALIFIED	44	44		0